

# Chiral Nelson–Barr Models: Quality and Cosmology

Phys. Rev. D 107 (2023), 11 [arXiv:2212.03882]

Samuel Homiller  
Harvard University

In collaboration with Pouya Asadi, Qianshu Lu & Matthew Reece

**DPF-Pheno, PITT/CMU, May 15, 2024**

# The Strong CP Problem

We know CP is violated in the quark sector of the Standard Model (e.g. in the Kaon system) — parameterized by  $\delta_{\text{CKM}} \sim 65^\circ$ .

There is another CP violating parameter in the SM — the  $\theta$ -term:

$$\frac{\theta}{16\pi^2} \int d^4x \text{tr} G_{\mu\nu} \tilde{G}^{\mu\nu}$$

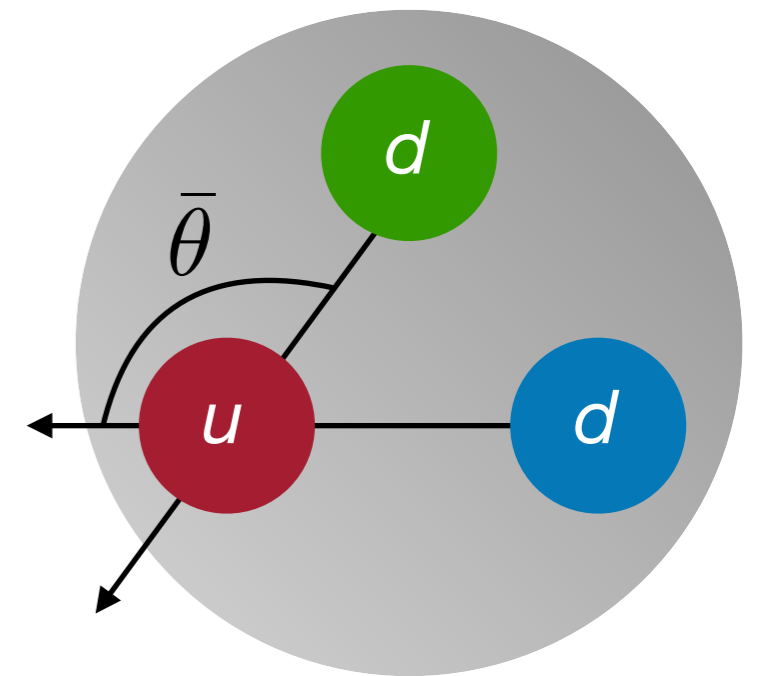
Due to the chiral anomaly, the *invariant* angle is:

$$\bar{\theta} \equiv \theta + \arg \det (m_u m_d)$$

This leads to an electric dipole moment for the neutron. Experimentally:

$$\bar{\theta} \lesssim 10^{-10}$$

Begging for a dynamical or symmetry-based explanation!



# Is CP a Spontaneously Broken Symmetry?

Some hints in the SM:

- CP Violation only observed in flavor-changing processes.
- Running of  $\bar{\theta}$  arises only at seven loops in the SM.  
finite contributions appear at four loops, (Khriplovich, 1986)

Moreover, there are arguments that (3+1)-dimensional CP can arise from the spacetime symmetries of superstring theory (Strominger-Witten, 1985) (c.f. Dine, Leigh, MacIntire, 1992 and Choi, Kaplan, Nelson, 1993)

Formally, we say a theory has an *exact* parity symmetry if it can be defined on *non-orientable* manifolds.

(CP is simply parity combined with an internal symmetry)

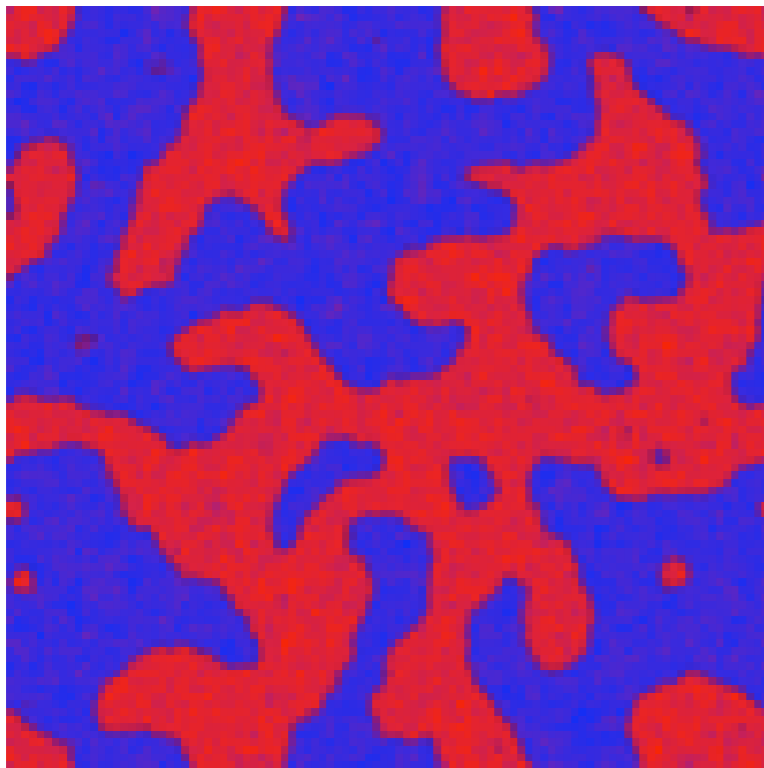
This careful definition allows us to derive important phenomenological consequences...

# CP Domain Walls

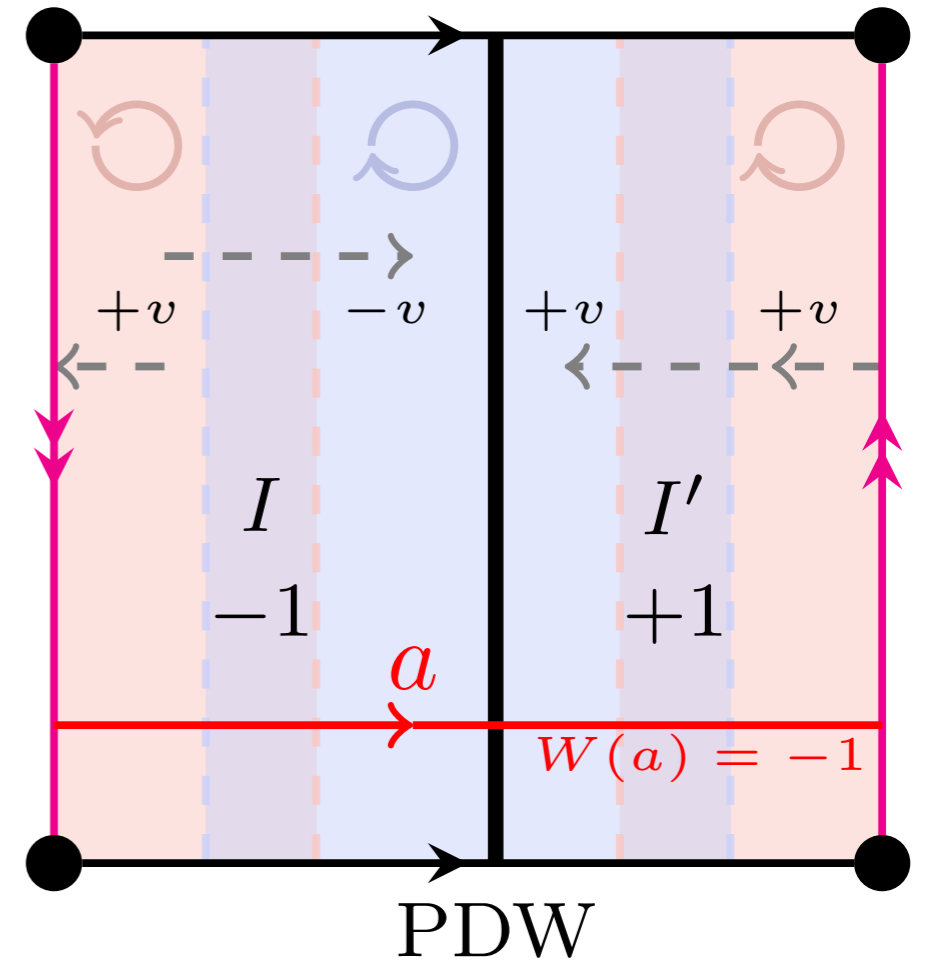
J. McNamara and M. Reece, [2212.00039]

As with any  $Z_2$  symmetry, we can think about *Parity (or CP) Domain Walls*, which interpolate between the distinct vacua.

These form in Cosmology via the Kibble–Zurek mechanism at scale of CP breaking.



[1010.2328]



In contrast to ordinary discrete symmetries these domain walls *cannot* be dynamically destroyed.

(Key fact: vortices with “parity winding” do not exist, as all closed 1-manifolds are orientable)

⇒ To avoid DWs dominating the energy density, they must be *inflated away*.

# The Nelson–Barr Mechanism

A. Nelson (*PLB* 136, 1984), S. Barr (*PRL* 53, 1984)

Challenge: spontaneously break CP, generating an  $\mathcal{O}(1)$  CKM phase (not suppressed by powers of  $v/\Lambda_{\text{CP}}$ ) without large corrections to  $\bar{\theta}$ .

Best illustrated via the minimal model by Bento, Branco and Parada:

Introduce a set of vector-like quarks  $D, \bar{D}$  transforming like  $\bar{d}$ , along with  $N$  (pseudo)scalars,  $\eta_a$ .

Impose CP and a  $Z_N$  symmetry which acts as:

$$\eta_a \rightarrow e^{2\pi i k/N} \eta_a, \quad D \rightarrow e^{-2\pi i k/N} D, \quad \bar{D} \rightarrow e^{2\pi i k/N} \bar{D}$$

The allowed down-type mass terms are:

$$\mathcal{L} \supset \mu_D D \bar{D} + (\lambda_d)^i_j Q_i H^c \bar{d}^j - f_i^a \eta_a D \bar{d}^i + \text{h. c.}$$

$$\mu_D, f, \lambda_d \in \mathbb{R}$$

Note the  $Q_i H^c \bar{D}$  term is forbidden by the  $Z_N$ .

# The Nelson–Barr Mechanism

A. Nelson (*PLB* 136, 1984), S. Barr (*PRL* 53, 1984)

The resulting mass matrix is:

$$\mathcal{L} \supset (Q \quad D) \underbrace{\begin{pmatrix} \lambda_d v / \sqrt{2} & 0 \\ \sum_a f_i^a \langle \eta_a \rangle & \mu_D \end{pmatrix}}_{\equiv F_i} \begin{pmatrix} \bar{d} \\ \bar{D} \end{pmatrix}$$

*vanishes by CP symmetry*

$$\implies \bar{\theta} = \cancel{\theta}_0 + \arg \det \mathcal{M}_0 = 0$$

The effective mixing matrix for the down-type quarks, however, is:

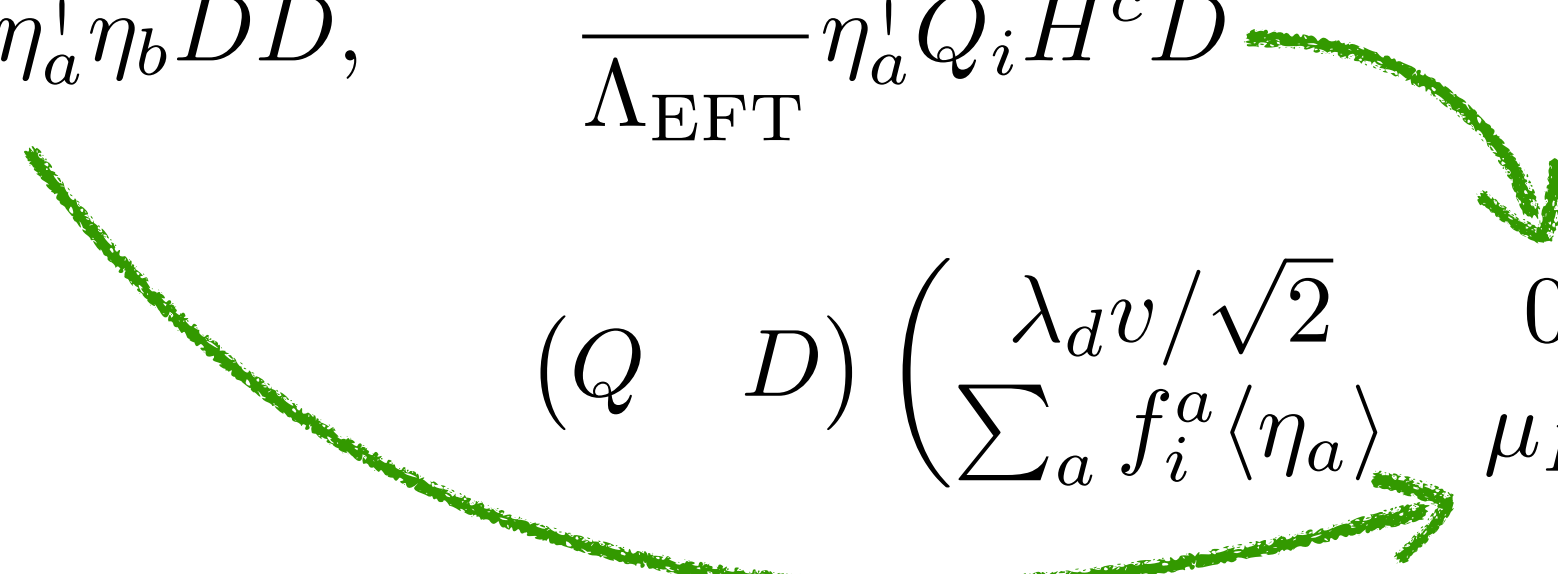
$$(m_0^2)^i_j = (m_d)^i_k \underbrace{\left( \delta_l^k + \frac{F^\dagger{}^k F_l}{F_p F^\dagger{}^p + \mu_D^2} \right)}_{\text{An } \mathcal{O}(1) \text{ complex phase!}} (m_d^T)^l_j$$

# The Nelson–Barr “Quality Problem”

The Nelson–Barr mechanism ensures that  $\Delta\bar{\theta} \equiv 0$  at the *renormalizable* level, but irrelevant operators can spoil this solution.

(Similar in spirit to the Axion Quality Problem)

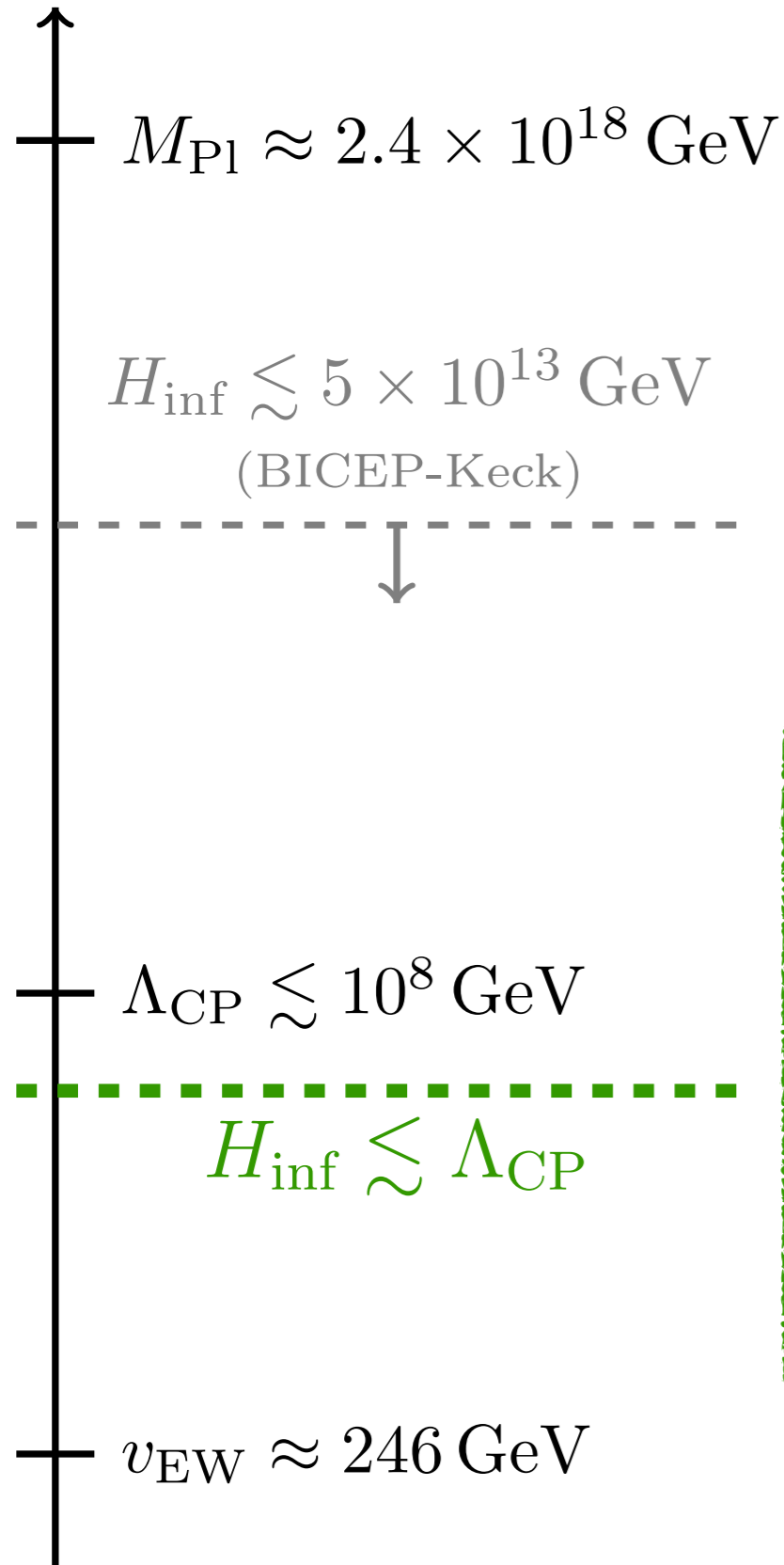
In our minimal model, we have already at dimension-5:

$$\frac{1}{\Lambda_{\text{EFT}}} \eta_a^\dagger \eta_b D \bar{D}, \quad \frac{1}{\Lambda_{\text{EFT}}} \eta_a^\dagger Q_i H^c \bar{D}$$

$$(Q \quad D) \begin{pmatrix} \lambda_d v / \sqrt{2} & 0 \\ \sum_a f_i^a \langle \eta_a \rangle & \mu_D \end{pmatrix} \begin{pmatrix} \bar{d} \\ \bar{D} \end{pmatrix}$$

These contribute  $\Delta\bar{\theta} \sim \Lambda_{\text{CP}} / \Lambda_{\text{EFT}}$  — this sets an **upper bound** on the scale of spontaneous CP breaking!

$$\Lambda_{\text{EFT}} = M_{\text{Pl}} \implies \Lambda_{\text{CP}} \lesssim 10^8 \text{ GeV}$$

# Late-Time Spontaneous CP Breaking?



To avoid Domain Wall problem, Inflation must occur **after** spontaneous CP breaking:

$$H_{\text{inf}}, T_{\text{reh}} < \Lambda_{\text{CP}} \lesssim 10^8 \text{ GeV}$$

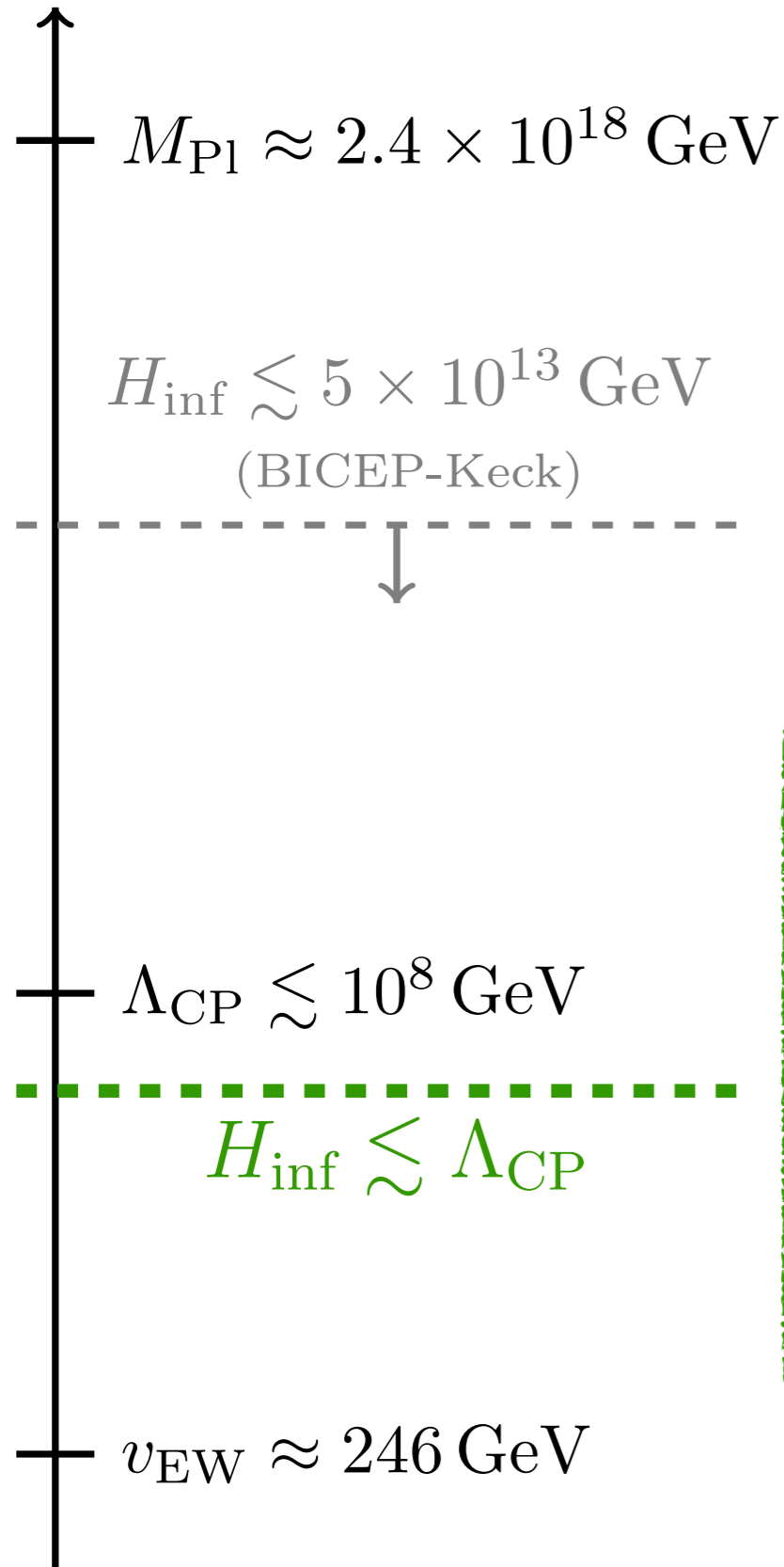
This constrains a lot of potential dynamics and signatures in the early Universe!

**Inflation** (assuming single-field):

- The tensor-to scalar ratio is bounded by  $H_{\text{inf}}$  to be  $r \lesssim 2 \times 10^{-13}$  (current bound is 0.036)
- The slow-roll parameter  $\epsilon$  is likewise constrained to be  $r/16 \lesssim 10^{-14}$  — the potential must be *extremely* flat (fine-tuning problem)



# Late-Time Spontaneous CP Breaking?



To avoid Domain Wall problem, Inflation must occur **after** spontaneous CP breaking:

$$H_{\text{inf}}, T_{\text{reh}} < \Lambda_{\text{CP}} \lesssim 10^8 \text{ GeV}$$

This constrains a lot of potential dynamics and signatures in the early Universe!

## Baryogenesis:

- Many models involve asymmetric decays of a heavy state that thermalized with the SM bath — mass must be *lower* than  $T_{\text{reh}}$
- Concretely, in thermal leptogenesis, this is the “Davidson–Ibarra bound”:

$$\varepsilon \lesssim \frac{3}{8\pi} \frac{M_N m_\nu}{v^2} \implies T_{\text{reh}} \gtrsim 10^{8-10} \text{ GeV}$$

# Chiral Nelson–Barr Models

One way of ameliorating this tension is to introduce a new symmetry that forbids the dimension-5 operators. This works if  $D, \bar{D}$  transform *chirally* under a new  $U(1)_X$ :

$$D \rightarrow e^{-i\alpha_X} D, \quad \bar{D} \rightarrow e^{-5i\alpha_X} \bar{D}$$

We must also introduce a new  $U(1)_X$ -charged scalar  $\rho$ , whose vev plays the role of the vector-like mass,  $\mu_D$ :

$$\mathcal{L} \supset -y_D \rho D \bar{D} \quad \mu_D = y_D \langle \rho \rangle$$

The rest of the Nelson–Barr mechanism is entirely unchanged, and the  $U(1)_X$  can replace the  $Z_N$ .

# Chiral Nelson–Barr Models

All the mixed anomalies involving  $U(1)_X$  can be eliminated by introducing a single extra set of chiral fermions,  $B, \bar{B}$ :

	$SU(3)_c$	$SU(2)_L$	$U(1)_Y$	$U(1)_X$	
$D$	<b>3</b>	–	$-1/3$	$-1$	} Vector-like under the SM, and “pairwise” vector-like under $U(1)_X$
$\bar{D}$	<b><math>\bar{3}</math></b>	–	$+1/3$	$-5$	
$B$	<b>3</b>	–	$+1/3$	$+1$	
$\bar{B}$	<b><math>\bar{3}</math></b>	–	$-1/3$	$+5$	
$\rho$	–	–	0	$+6$	
$\eta_a$	–	–	0	$+2$	

This works because we take  $X$  to be a linear combination of hypercharge and SM Baryon number:  $X = -4Y + (B - L)$ . This naturally extends the charge assignments to the rest of the SM.

# Chiral Nelson–Barr Models

With these assignments, there are no additional renormalizable interactions other than:

$$\mathcal{L} \supset -y_D \rho D \bar{D} - y_B \rho^\dagger B \bar{B} + \text{h. c.}$$

**All** possible dimension-5 operators are forbidden, but the quality problem arises again at dimension-6:

$$\eta_a^\dagger \eta_b \rho D \bar{D}, \quad \eta_a^\dagger \rho Q_i H^c \bar{D}, \quad \eta_a \eta_b \eta_c^\dagger D \bar{d}_j$$

A rough estimate is that these operators contribute:

$$\Delta \bar{\theta} \simeq \frac{1}{y_D} \frac{\Lambda_{\text{CP}}^2}{\Lambda_{\text{EFT}}^2} \implies \Lambda_{\text{CP}} \lesssim 10^{13} \text{ GeV}$$

High enough to recover most of the  
Cosmology we're interested in!

# Summary and Conclusions

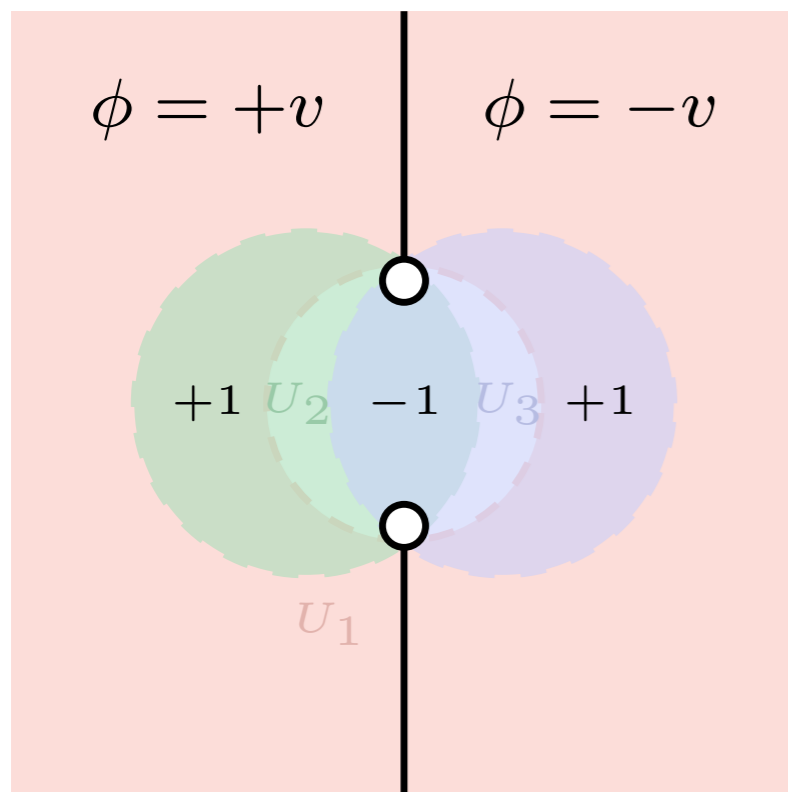
- An exact, spontaneously broken CP symmetry is an interesting alternative to axion solutions of the strong CP problem.
- Spontaneous CP breaking leads to exactly stable domain walls, which puts important constraints on our Cosmological history, especially when combined with the “quality” problem in Nelson–Barr models.
- Additional chiral symmetries can relax the quality problem and allow for high-scale inflation.
- Lots of open model-building questions!
  - Are models of baryogenesis compatible with Spontaneous CP breaking?
  - What about complete models of flavor?
  - Are there other ways of avoiding constraints from the CP domain walls? (Sequestered breaking?)

# Backup

# Details on Topological Stability of CP Domain Walls

J. McNamara and M. Reece, [arXiv:2212.00039]

Treating parity as a gauge symmetry, the choice of background field is *fixed* by the choice of manifold. If parity is spontaneously broken by a pseudoscalar field, Domain Walls arise as a *topological requirement*:



Question of stability boils down to whether there exist *vortex* solutions, which insert a twist (gauge transformation), and allow the domain walls to end.

This amounts to a codimension-2 boundary condition in the (semiclassical) gravitational path integral, but requires a “parity Wilson line” with  $W_P(C) = -1$ .

All closed 1-manifolds are orientable, so no such boundary condition exists.

# Loop Corrections in the BBP Model

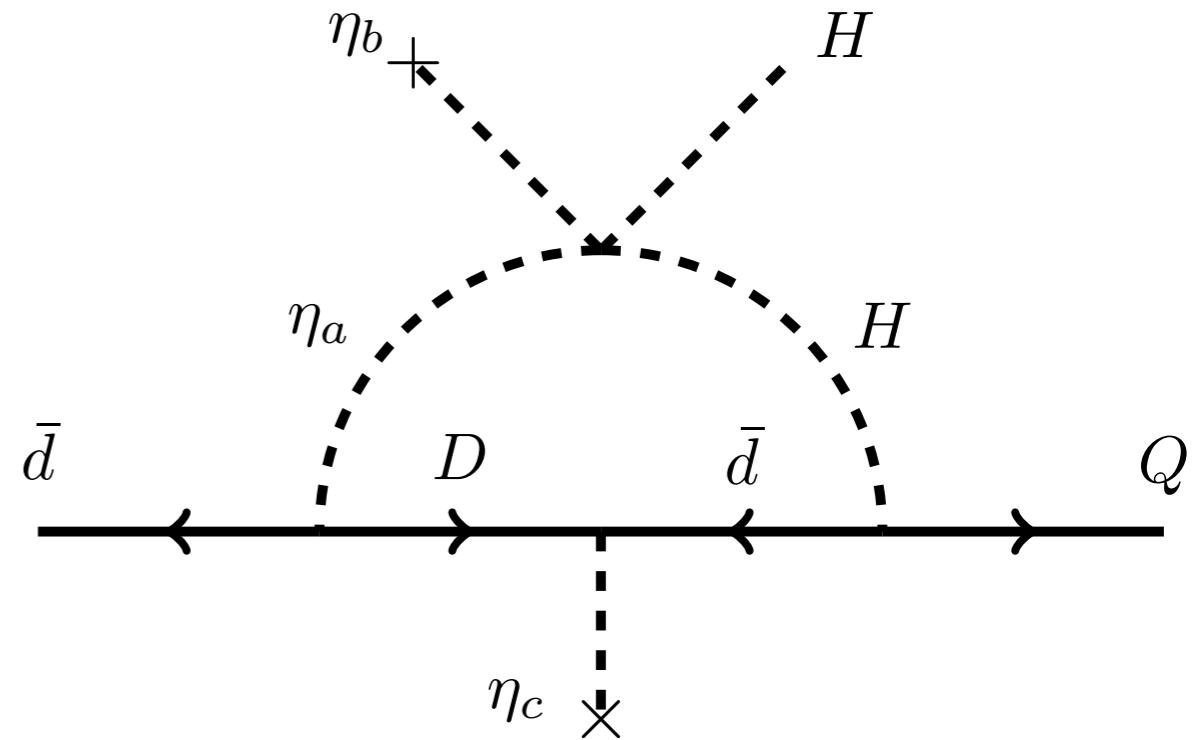
Warning: this minimal example requires some fine-tuning:

$$\mathcal{L} \supset \underbrace{\lambda_{ab} \eta_a \eta_b^\dagger H^\dagger H}_{\text{Correction to the Higgs mass}} + \gamma_{abcd} \eta_a \eta_b^\dagger \eta_c \eta_d^\dagger$$

Correction to the Higgs mass  $\propto \Lambda_{\text{CP}}^2$

Also corrections to  $\bar{\theta}$  at one-loop:

$$\Delta \bar{\theta} \sim \frac{1}{8\pi^2} f_k f_k \lambda \frac{\Lambda_{\text{CP}}^2}{m_\eta^2} \log \left( \frac{v^2}{\Lambda_{\text{CP}}^2} \right)$$



These could be ameliorated e.g., with supersymmetry, (see Dine, Draper [1506.05433])

We assume  $\lambda \lesssim 10^{-8}$  to ignore these loop corrections. This is radiatively safe for  $f \sim 0.1$ .



# Flavor Ansätze and the Quality Problem

A more careful analysis of the dimension-5 contributions to  $\Delta\bar{\theta}$  reveals a dependence on the flavor-structure of the new couplings to  $D, \bar{D}$ . E.g.,

$$\mathcal{L} \supset \frac{h_a^i}{\Lambda_{\text{EFT}}} \eta_a^\dagger Q_i H^c \bar{D} \quad \Longrightarrow \quad \Delta\bar{\theta} \sim \frac{\langle \eta_a \rangle \langle \eta_b \rangle}{\mu_D \Lambda_{\text{EFT}}} (f_i^b (\lambda_d^{-1})^i_j h_a^j)$$

Treating the couplings  $f_i, h^j$  as spurions under the SM flavor group,  $SU(3)_Q \times SU(3)_u \times SU(3)_d$ , we see that  $f_i^b h_a^j \sim (\bar{\mathbf{3}}_Q, \mathbf{3}_d) \sim (\lambda_d)_i^j$

This lets us consider simple flavor Ansätze:

- “Minimal Flavor Violation”:  $f_i^b (\lambda_d^{-1})^i_j h_a^j \sim 1$ ,  
recover  $\Lambda_{\text{CP}} \lesssim 10^8$  GeV bound
- New flavor-aligned spurion,  $f_i^b (\lambda_d^{-1})^i_j h_a^j \lesssim 1/y_d \approx 10^5$ ,  
requires  $\Lambda_{\text{CP}} \sim 1 - 10$  TeV?

# Sequestered CP Breaking?

Another possibility: break CP first in a sequestered hidden sector ( $\Lambda_{\text{CP}} \lesssim 10^8 \text{ GeV}$  constraint doesn't apply), then inflate away the stable domain walls

Subsequently, small interactions with the visible sector make *effective* explicit CPV

$\implies$  visible sector CP breaking gives *unstable* domain walls

Challenges:

- Keep explicit  $\bar{\theta}$  term small enough?
- Short enough lifetime for DWs?
- Gravitational Wave signatures?

