



DPF-PHENOM 2024  
Pittsburgh



# Tests of Lepton Flavor Universality in tree-level B Meson Decays at LHCb

Alex Fernez

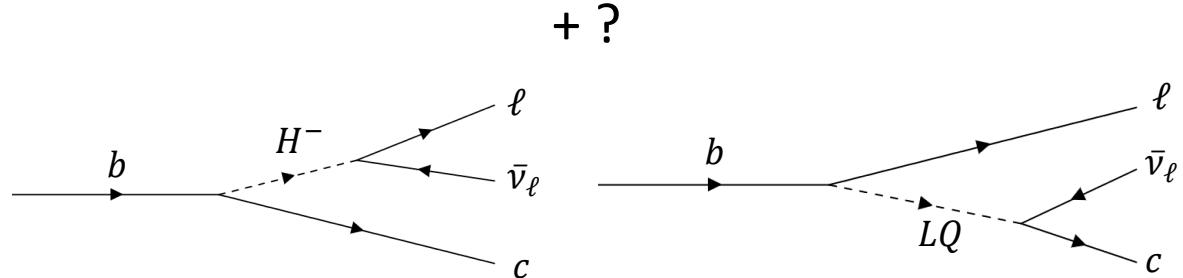
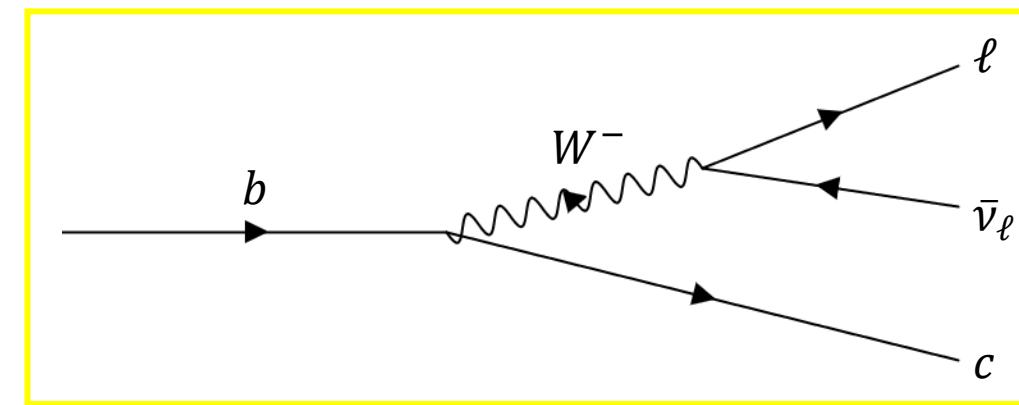
On behalf of the LHCb Collaboration  
University of Maryland

May 16 2024

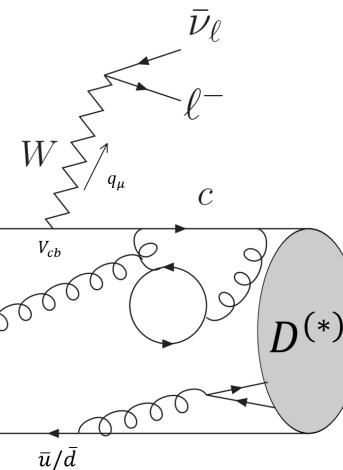


# Lepton Flavor Universality

- SM electroweak couplings identical between lepton generations, only broken by Higgs Yukawa → differences driven by  $m_\ell$ 
  - Deviations from LFU provide signatures of new physics
- $b \rightarrow c\tau\bar{\nu}_\tau$ 
  - $\text{BF}(B \rightarrow D^{(*)}l\bar{\nu}_\ell) \sim 0.1$
  - Theoretically fairly clean
  - Very sensitive to NP because of third-generation fermions
- Experimental observables
  - Angular distributions
  - LFU ratios of BFs



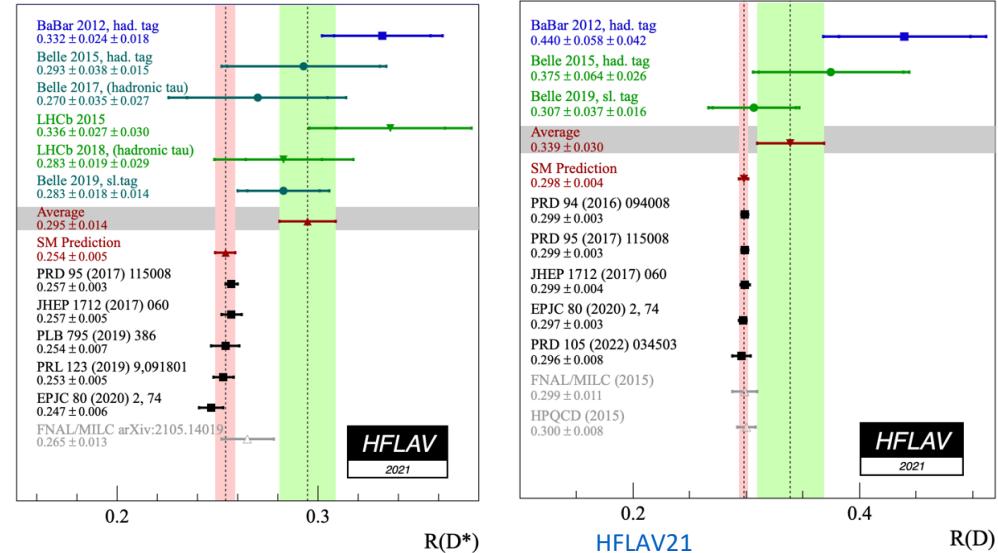
## BF Ratio Measurements Make for Clean Observables

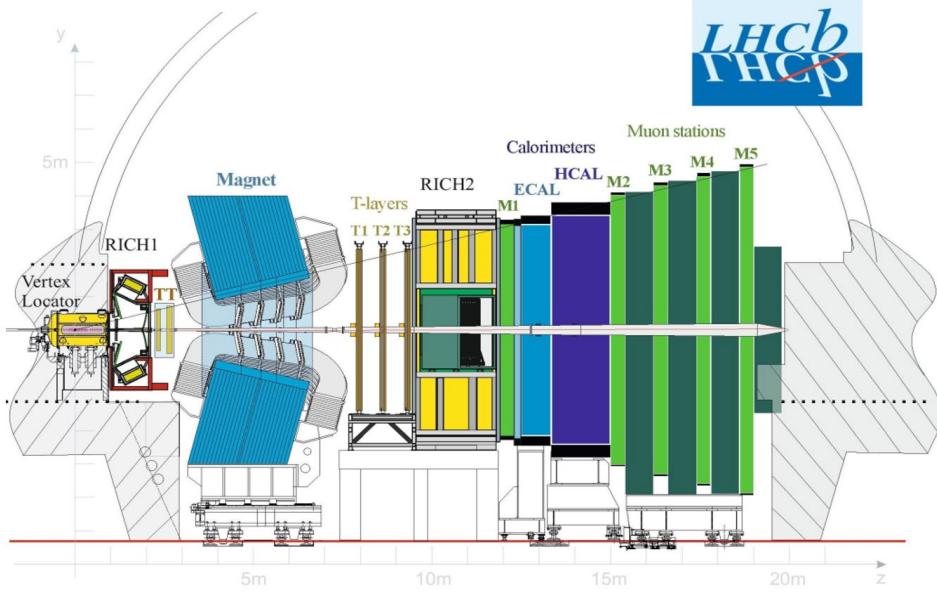


$$\frac{d\Gamma(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}{dq^2} \propto |V_{cb}|^2 f(q^2, m_\ell) \rightarrow \text{hadronic effects/form factors}$$

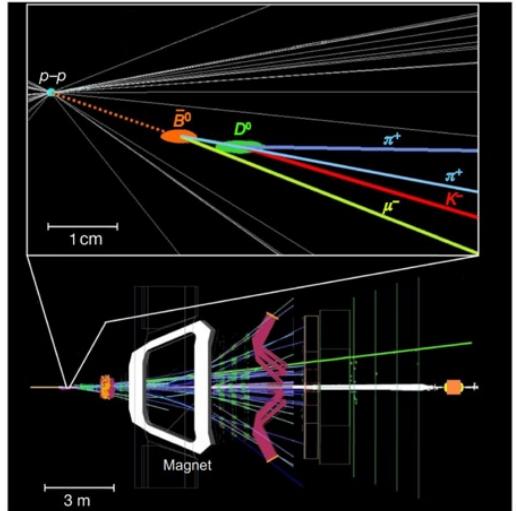
$$R(D^{(*)}) = \frac{\int_{m_\tau^2}^{(m_B - m_{D^{(*)}})^2} dq^2 \frac{d\Gamma(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{dq^2}}{\int_{m_\mu^2}^{(m_B - m_{D^{(*)}})^2} dq^2 \frac{d\Gamma(\bar{B} \rightarrow D^{(*)} \mu \bar{\nu}_\mu)}{dq^2}} = \frac{\Gamma(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{\Gamma(\bar{B} \rightarrow D^{(*)} \mu \bar{\nu}_\mu)}$$

- Dominant theory uncertainties on  $\Gamma(\bar{B} \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)$  due to  $V_{cb}$ , hadronic FFs
  - Mostly cancel in ratio!
- Experimental systematic uncertainties reduced via ratios of efficiencies





[Nature 546, 227–233 \(2017\)](#)



	Run 1	Run 2
Completion date	2012	2018
Center-of-mass energy	7/8 TeV	13 TeV
$b\bar{b}$ cross section [nb]	$(3.0/3.4) \times 10^5$	$5.6 \times 10^5$
Integrated luminosity [ $\text{fb}^{-1}$ ]	3	6
$B^0$ mesons [ $10^9$ ]	170	580
$B^+$ mesons [ $10^9$ ]	170	580
$B_s$ mesons [ $10^9$ ]	40	140
$\Lambda_b$ baryons [ $10^9$ ]	90	300
$B_c$ mesons [ $10^9$ ]	1.3	4.4

- Forward detector, but captures 25%  $b\bar{b}$ 
  - Mostly  $gg \rightarrow b\bar{b}$
  - $B^{0,+}$  and  $B_c, B_s, \Lambda_b$
- Strengths:
  - **Vertexing:** VELO 8.2 mm from PV, resolution < 300  $\mu\text{m}$
  - **Tracking:** 95% charged tracks ( $p > 5 \text{ GeV}$ ) reconstructed, < 1% resolution
  - **PID:** approx. 97% for  $e, \mu$ , 3%  $\pi^+$  mis-ID, good separation between  $\pi^+, K^+, p$
- Challenging environment

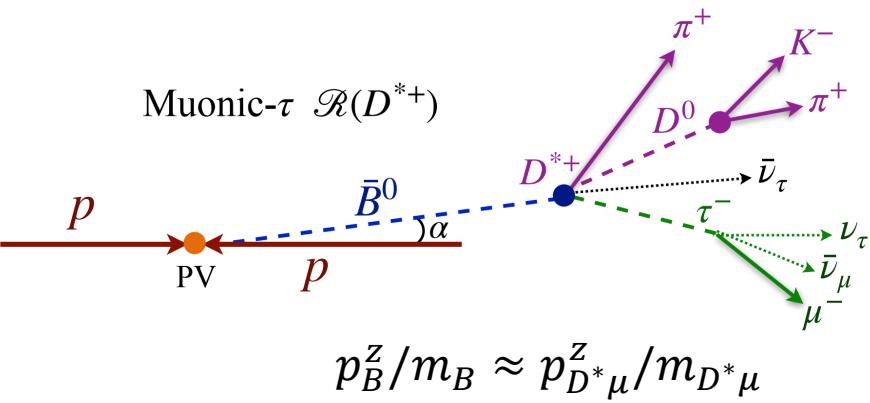


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No tagging methods available → take advantage of **large boost**

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Muonic  $\tau \rightarrow \mu \nu_\tau \bar{\nu}_\mu$ : rest frame approximation

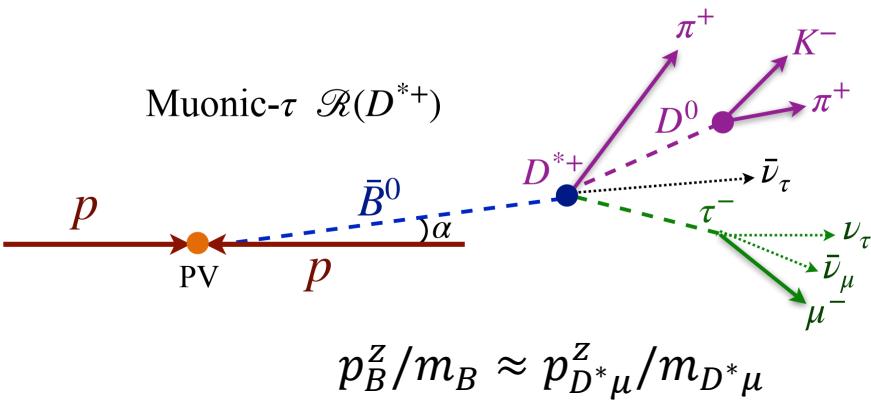
- Pros: larger BF, same visible final states for  $\mu/\tau$

$$\text{Direct measurement of } R(D^{(*)}) = \frac{N_{sig}}{N_{norm}} \frac{\epsilon_{norm}}{\epsilon_{sig}} \frac{1}{BF(\tau \rightarrow \mu \nu_\tau \bar{\nu}_\mu)}$$

- Con: 3 missing neutrinos
  - Worse resolution,  
more backgrounds

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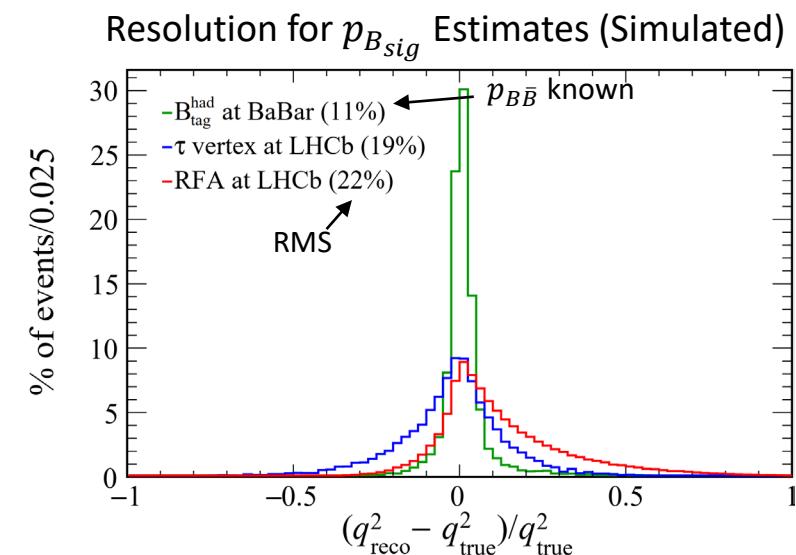
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[Rev. Mod. Phys. 94, 015003 \(2022\)](#)

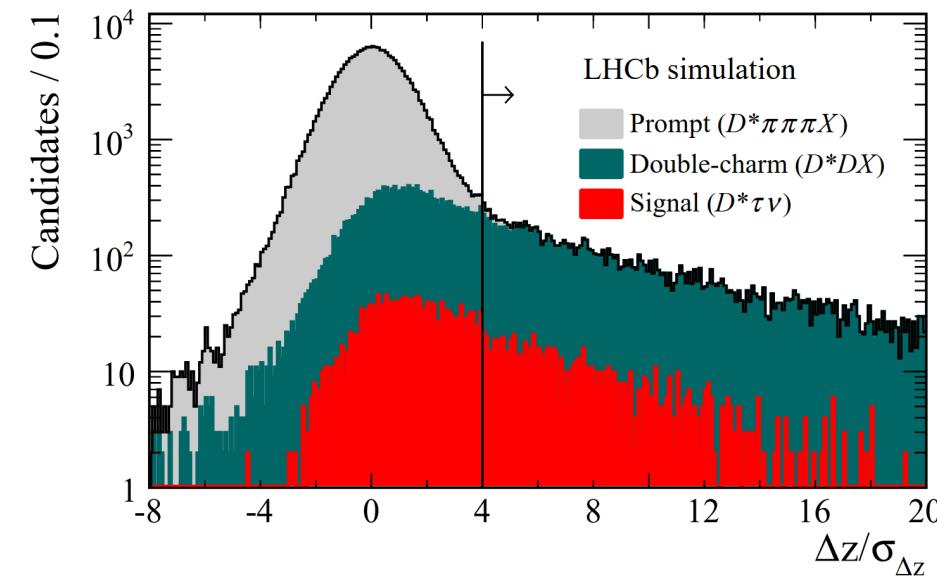
**Hadronic  $\tau \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$ :  $\tau$  vertex reconstruction**

- Pros: better resolution, purity
- Con: requires external BF to cancel  $\sigma$  in  $R(D^{(*)})$



# Hadronic $\tau$ : $R(D^*)$

- Run 1: 7/8 TeV 3  $\text{fb}^{-1}$  collected 2011/12, Run 2: 13 TeV 2  $\text{fb}^{-1}$  collected 2015/16
- $\tau \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau, D^{*+} \rightarrow D^0 \pi^+, D^0 \rightarrow K^- \pi^+$
- $R(D^*) = \frac{\Gamma(\bar{B} \rightarrow D^{*+} \tau \bar{\nu})}{\Gamma(\bar{B} \rightarrow D^{*+} \pi^- \pi^+ \pi^-)} \frac{\Gamma(\bar{B} \rightarrow D^{*+} \mu \bar{\nu})}{\Gamma(\bar{B} \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}$   
 $\uparrow$  external, irreducible  $\sigma$
- Separate  $B \rightarrow D^* 3\pi(X)$  by  $\tau$  flight distance
- Run 1:  $R(D^*) = 0.291 \pm 0.019 \text{ (stat)} \pm 0.026 \text{ (sys)} \pm 0.013 \text{ (ext)}$  [Phys. Rev. D 97 \(2018\) 072013](#)  
Run 2:  $R(D^*) = 0.247 \pm 0.015 \text{ (stat)} \pm 0.015 \text{ (sys)} \pm 0.012 \text{ (ext)}$  [Phys. Rev. D 108 \(2023\) 012018](#)
  - Most precise  $R(D^*)$
  - Major systematics scale with more data/MC but need other measurements for  $\sigma_{ext}$

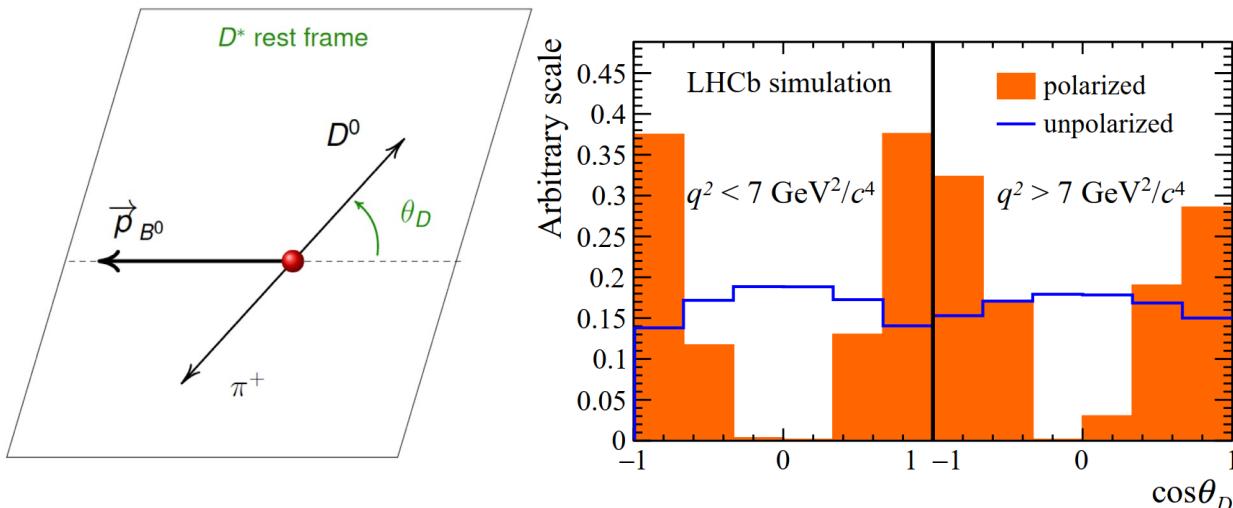


Hadronic  $\tau$ :  $F_L^{D^*}$ 

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- $\tau \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$ ,  $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^0 \rightarrow K^- \pi^+$

$$F_L^{D^*}(q^2) = \frac{d\Gamma_L/dq^2}{d\Gamma/dq^2}$$

- Signal yields split into **unpolarized/polarized**
  - Modeled by MC
- Results consistent with SM and Belle results, dominant systematic is MC stats:
  - $q^2 < 7$ :  $F_L^{D^*} = 0.51 \pm 0.07(\text{stat}) \pm 0.03(\text{sys})$
  - $q^2 > 7$ :  $F_L^{D^*} = 0.35 \pm 0.08(\text{stat}) \pm 0.02(\text{sys})$
  - Average over  $q^2$ :  
$$F_L^{D^*} = 0.43 \pm 0.06(\text{stat}) \pm 0.03(\text{sys})$$



[Submitted to Phys. Rev. D](#)

# Muonic $\tau$ Analyses: $R(D^{*,0})$ , $R(D^{*,+})$

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- $R(D^{*,+})$  Run 2: 13 TeV 2  $\text{fb}^{-1}$  collected in 2015/16
- Reconstruct as  $\tau \rightarrow \mu \nu_\tau \bar{\nu}_\mu$ ,
  - $R(D^{*,0})$ :  $D^{*+} \rightarrow D^0 \pi_{slow}^+$ ,  $D^0 \rightarrow K^- \pi^+$
  - $R(D^{*,+})$ :  $D^{*+} \rightarrow D^+ \pi^0$ ,  $D^+ \rightarrow K^- \pi^+ \pi^+$ 
    - $D^+ \rightarrow K^- \pi^+ \pi^+ \approx 10\%$  vs  $D^0 \rightarrow K^- \pi^+ \approx 4\%$
    - $D^*$  feed-down significantly reduced
      - $D^{*0} \rightarrow D^+ \pi^-$  is kinematically forbidden
      - BDT trained to reject additional  $\pi^0$  to reduce  $D^{*+} \rightarrow D^+ \pi^0$  → Prioritize  $R(D^+)$

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- Susceptible to partially reconstructed backgrounds
  - Excited  $1P$  (and higher)  $D^{**}$ : Measure FFs in (control) data
  - Double charm: Find  $DD$  shape corrections in control regions

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- Double charm: Find  $DD$  shape corrections in control regions

- BDT developed to identify extra charged tracks not isolated from  $B$  decay
  - With PID info, used to define control samples that fix bkg modeling

Signal Sample  
(No extra tracks from  $B$ )  
 $D^{(*)} \mu^-$

1 Extra  $\pi$  Sample  
(Enriched in  $D^{**}$ )  
 $D^{(*)} \mu^- \pi^-$

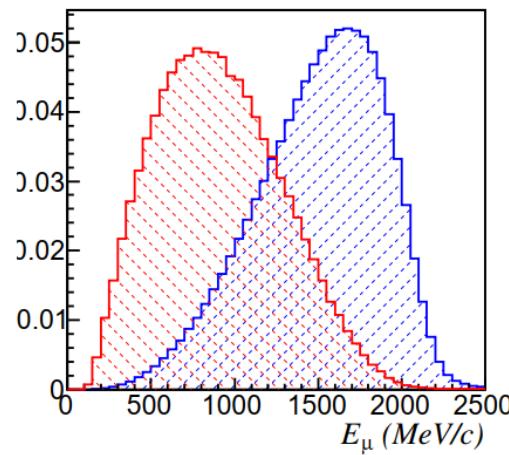
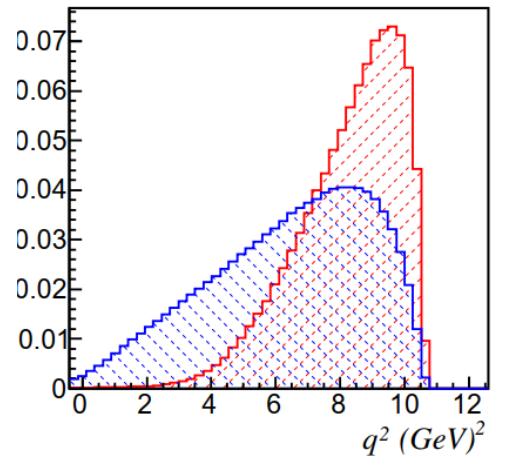
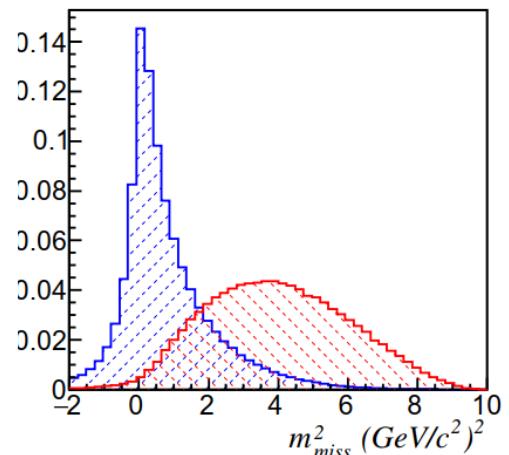
2 Extra  $\pi$  Sample  
(Enriched in  $D_H^{**}$ )  
 $D^{(*)} \mu^- \pi^+ \pi^-$

1 Extra  $K$  Sample  
(Enriched in  $DD$ )  
 $D^{(*)} \mu^- K^\pm$

Muonic  $\tau$  Analyses:  $R(D^{*,0})$ ,  $R(D^{*,+})$ 

- Yields from multidimensional maximum likelihood template fits (signal and control) to data
  - MC templates: signal, normalization, partially reconstructed bkg
  - Data templates:  $\mu$  and  $\pi_{slow}$  combinatorial, misidentified  $\mu$
  - Discriminating variables:
    - $q^2 = (p_B - p_{D^{(*)}})^2$
    - $m_{miss}^2 = (p_B - (p_{D^{(*)}} + p_\mu))^2$
    - $E_\mu^*$  ( $B$  rest frame)

Rest frame approx. kinematics  
for simulated reconstructed  $D^* \mu$   
signal vs normalization



# Muonic $\tau$ Results: $R(D^{*,0})$ , $R(D^{*,+})$

## Run 1 Muonic $R(D^{*,0})$

$$R(D^0) = 0.441 \pm 0.060(\text{stat}) \pm 0.066(\text{sys})$$

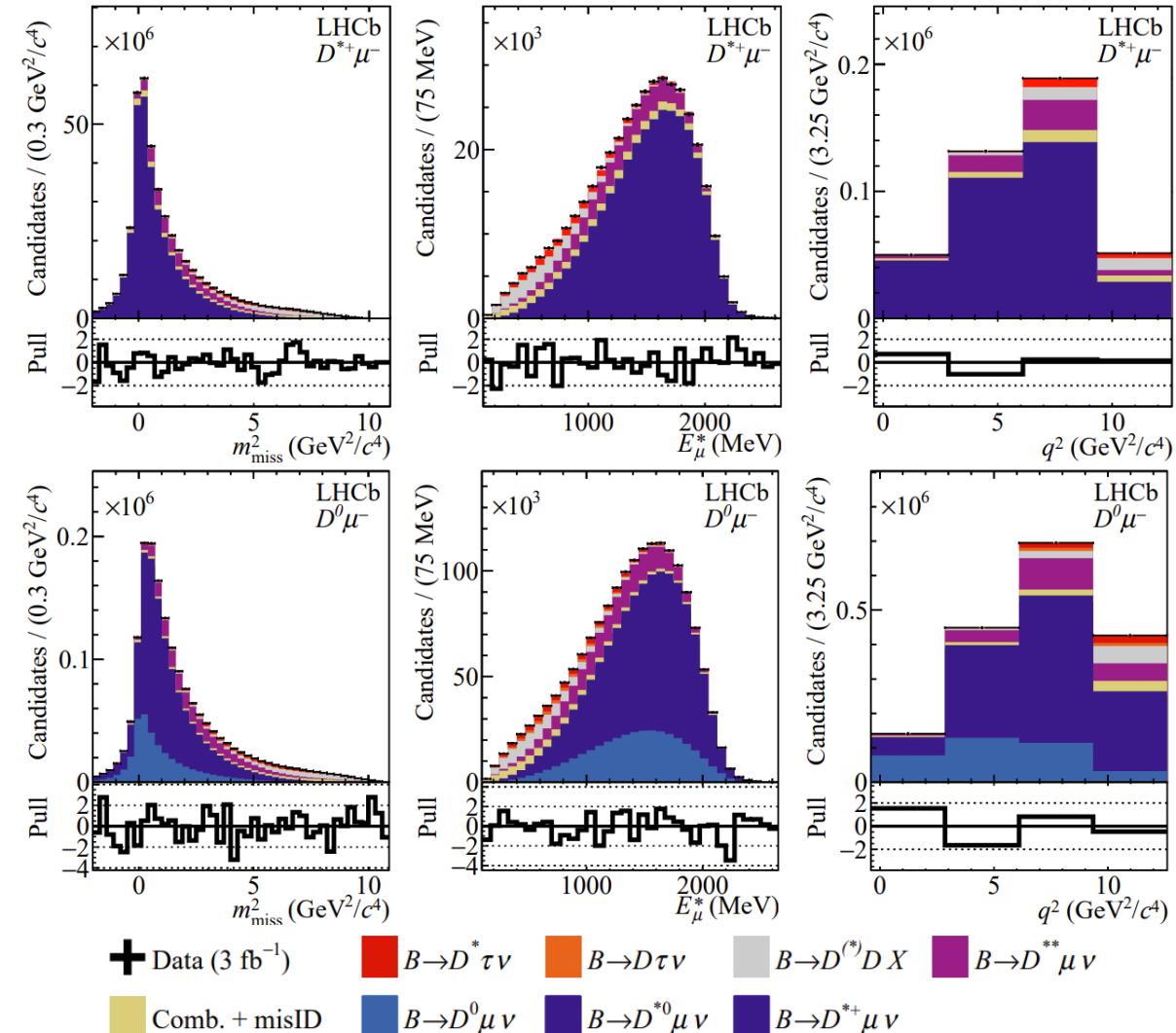
$$R(D^*) = 0.281 \pm 0.018(\text{stat}) \pm 0.024(\text{sys})$$

## Run 2 Muonic $R(D^{*,+})$

$$R(D^0) = 0.441 \pm 0.060(\text{stat}) \pm 0.066(\text{sys})$$

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- Main **systematics** due to  $DD$  modeling, FFs, size of simulated samples: all *scale with data*



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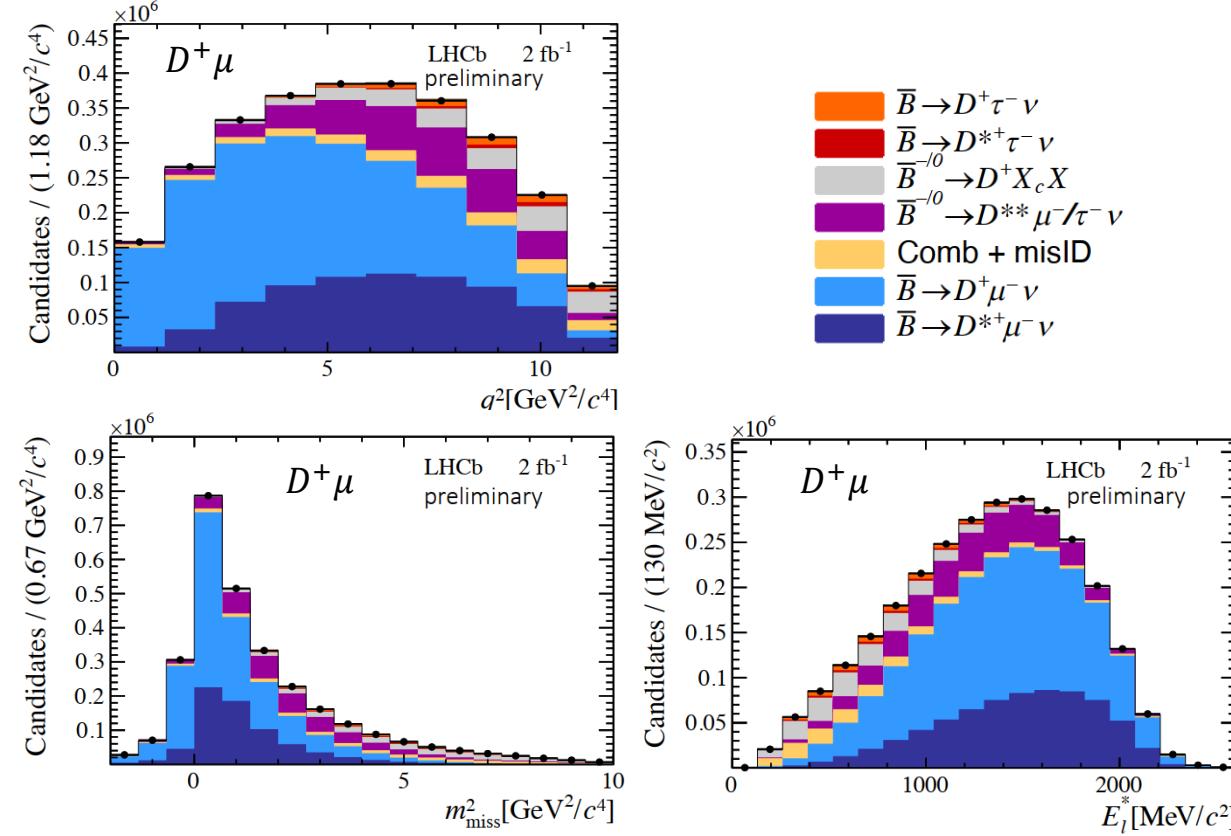
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LHCb-PAPER-2024-007

# Current Status of $R(D^{(*)})$

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## Run 2 Muonic $R(D^{*,+})$

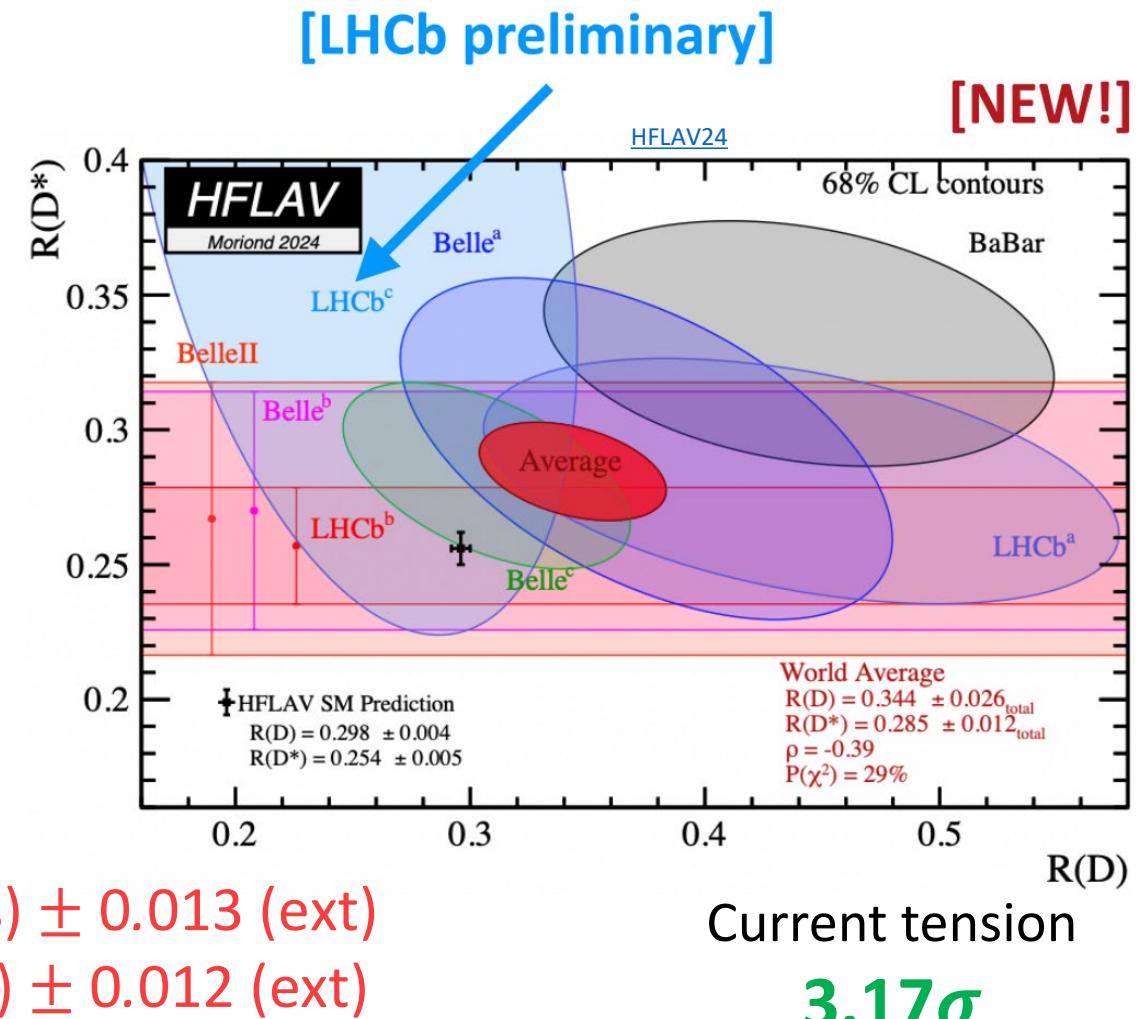
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## Run 1,2 Hadronic $R(D^{*,+})$

$$\text{Run 1: } R(D^*) = 0.291 \pm 0.019 \text{ (stat)} \pm 0.026 \text{ (sys)} \pm 0.013 \text{ (ext)}$$

$$\text{Run 2: } R(D^*) = 0.247 \pm 0.015 \text{ (stat)} \pm 0.015 \text{ (sys)} \pm 0.012 \text{ (ext)}$$



# Future of LFUV at LHCb

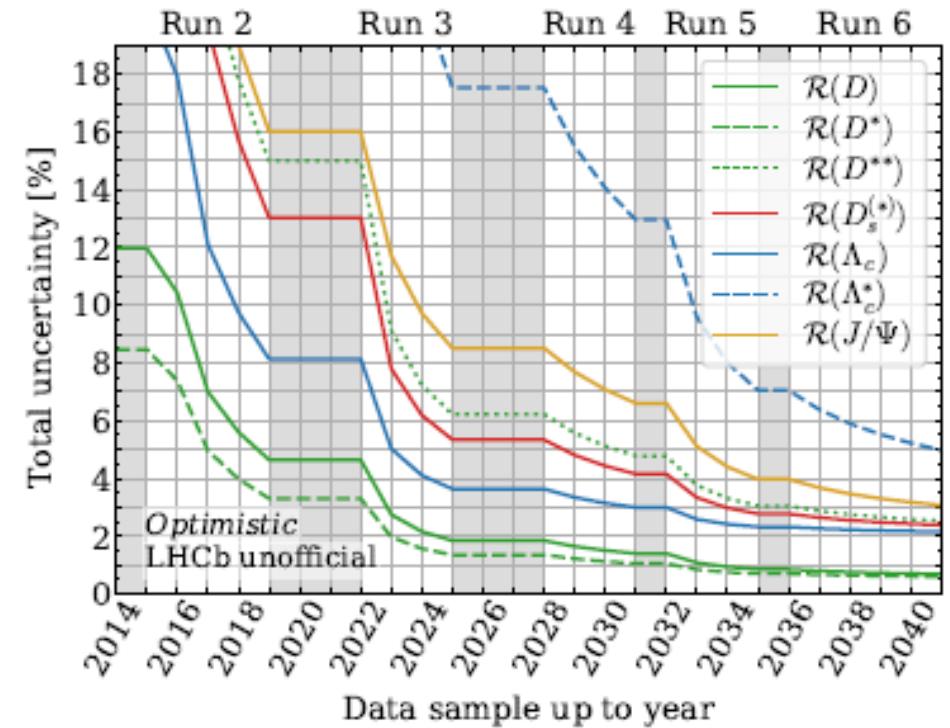
- Anomalies for  $b \rightarrow c\tau\bar{\nu}_\tau$  remain, but to confirm anomalies and constrain NP, beyond  $R(D^{(*)})$ :
  - Different decay channels
    - $R(J/\psi)$  with  $B_c \rightarrow J/\psi [\rightarrow \mu\mu]\tau [\rightarrow \mu\bar{\nu}_\mu\nu_\tau]\bar{\nu}_\tau$
    - Run 1  $\rightarrow 1.8\sigma$  tension, Run 2 ongoing
    - $\Lambda_b \rightarrow \Lambda_c\tau\nu$ ,  $B^0 \rightarrow D^{**}\tau\nu$ ,  $B_s \rightarrow D_s^{(*)}\tau\nu$
  - Different measurements
    - Angular analysis of  $B^0 \rightarrow D^*\ell\nu$
    - Kinematic distributions
- Collider upgrades  $\rightarrow$  expect  $\sim 100x$  more data
  - Computing will pose a major challenge
    - Places great importance on fast sim
      - $R(D^{*,+})$ : first analysis to use tracker-only simulation
        - 8x faster MC, 40% less storage
    - Many systematics driven by data, could get  $\sigma_{R(D^{(*)})} \sim 3\%$

	Run 1	Run 2	Runs 3–4	Runs 5–6
Completion date	2012	2018	2031	2041
Center-of-mass energy	7/8 TeV	13 TeV	14 TeV	14 TeV
$b\bar{b}$ cross section [nb]	$(3.0/3.4) \times 10^5$	$5.6 \times 10^5$	$6.0 \times 10^5$	$6.0 \times 10^5$
Integrated luminosity [ $\text{fb}^{-1}$ ]	3	6	40	300
$B^0$ mesons [ $10^9$ ]	170	580	4,200	32,000
$B^+$ mesons [ $10^9$ ]	170	580	4,200	32,000
$B_s$ mesons [ $10^9$ ]	40	140	1,000	7,600
$\Lambda_b$ baryons [ $10^9$ ]	90	300	2,200	16,000
$B_c$ mesons [ $10^9$ ]	1.3	4.4	32	240

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[Rev. Mod. Phys. 94 \(2022\) 015003](#)



**Many exciting results to come!**  
*Stay tuned*



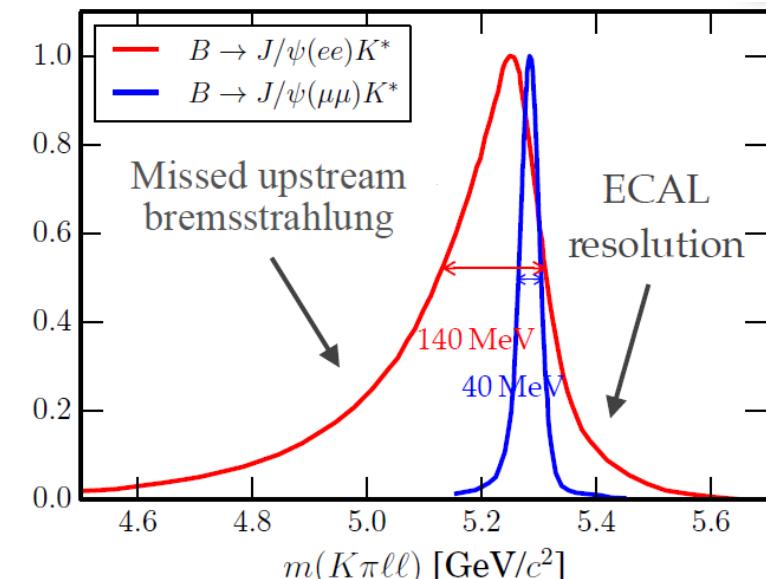
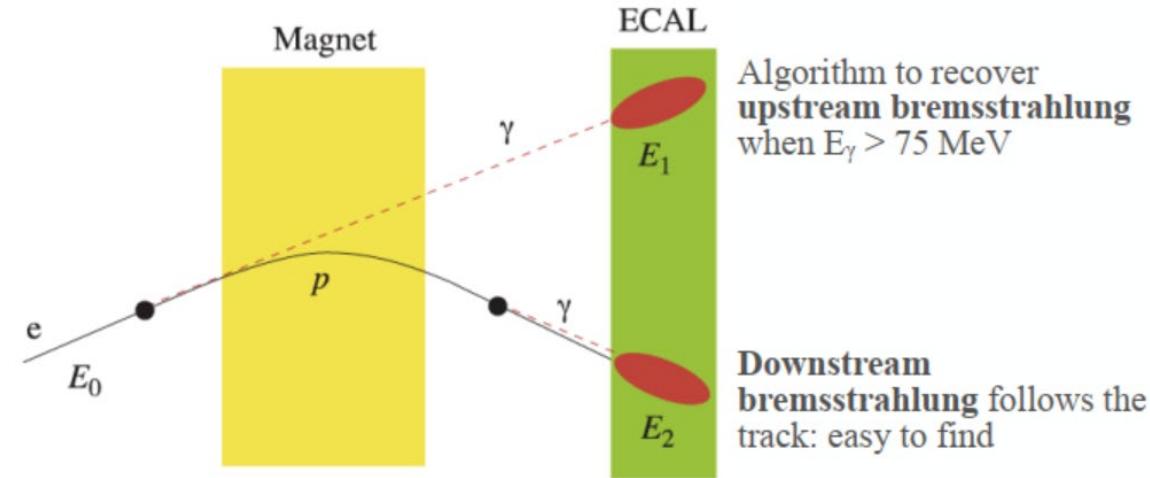
# Thank you!



# Backup

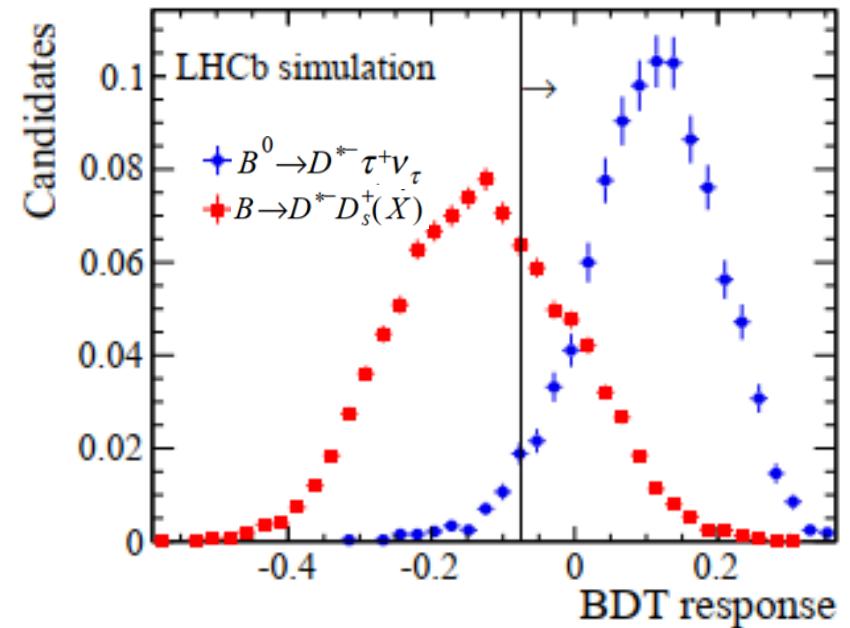
# $e$ , Neutral Reconstruction at LHCb

- At LHCb,  $e$  reconstruction very difficult
  - Lots of material  $\rightarrow$  lots of brem.
  - Relatively low calorimeter granularity
  - Results in  $e$ :
    - Worse mass resolution
    - More difficult to trigger on
  - Inspires e.g. the measurement of  $R(K^{(*)}) = \frac{\Gamma(B \rightarrow K^{(*)}\mu^-\mu^+)}{\Gamma(B \rightarrow K^{(*)}e^-e^+)} \text{ as a double ratio involving } B \rightarrow K^{(*)}J/\psi(\rightarrow l^-l^+)$ ,  
 $R(K^{(*)}) = \frac{\Gamma(B \rightarrow K^{(*)}\mu^-\mu^+)}{\Gamma(B \rightarrow K^{(*)}J/\psi[\rightarrow \mu^-\mu^+])} \frac{\Gamma(B \rightarrow K^{(*)}J/\psi[\rightarrow e^-e^+])}{\Gamma(B \rightarrow K^{(*)}e^-e^+)}$  in order to cancel uncertainties due to electrons in the second ratio
- Neutral particle reconstruction also difficult, mainly because of low calorimeter granularity



# Handling Double Charm Bkg for Hadronic $\tau$

- After cutting out  $B \rightarrow D^* 3\pi X$ , remaining bkg dominated by double-charm
  - BFs not well-measured, and  $D_s \rightarrow 3\pi X$  kinematics closely resemble signal
    - $D_s \rightarrow 3\pi X$  often accompanied by more neutral particles → rejected by a neutral isolation BDT, “anti- $D_s$  BDT”
      - Includes input variables related to energy of neutral particles deposited in calorimeters in cones around the  $3\pi$  direction
    - Make use of different resonant structure between  $\tau \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau$  vs  $D_s \rightarrow 3\pi X$ 
      - Perform separate fit in control sample with low anti- $D_s$  BDT score to correct corresponding simulation
      - Control samples used to correct decay fractions and yields of double charm



[Phys. Rev. D 108 \(2023\) 012018](#)

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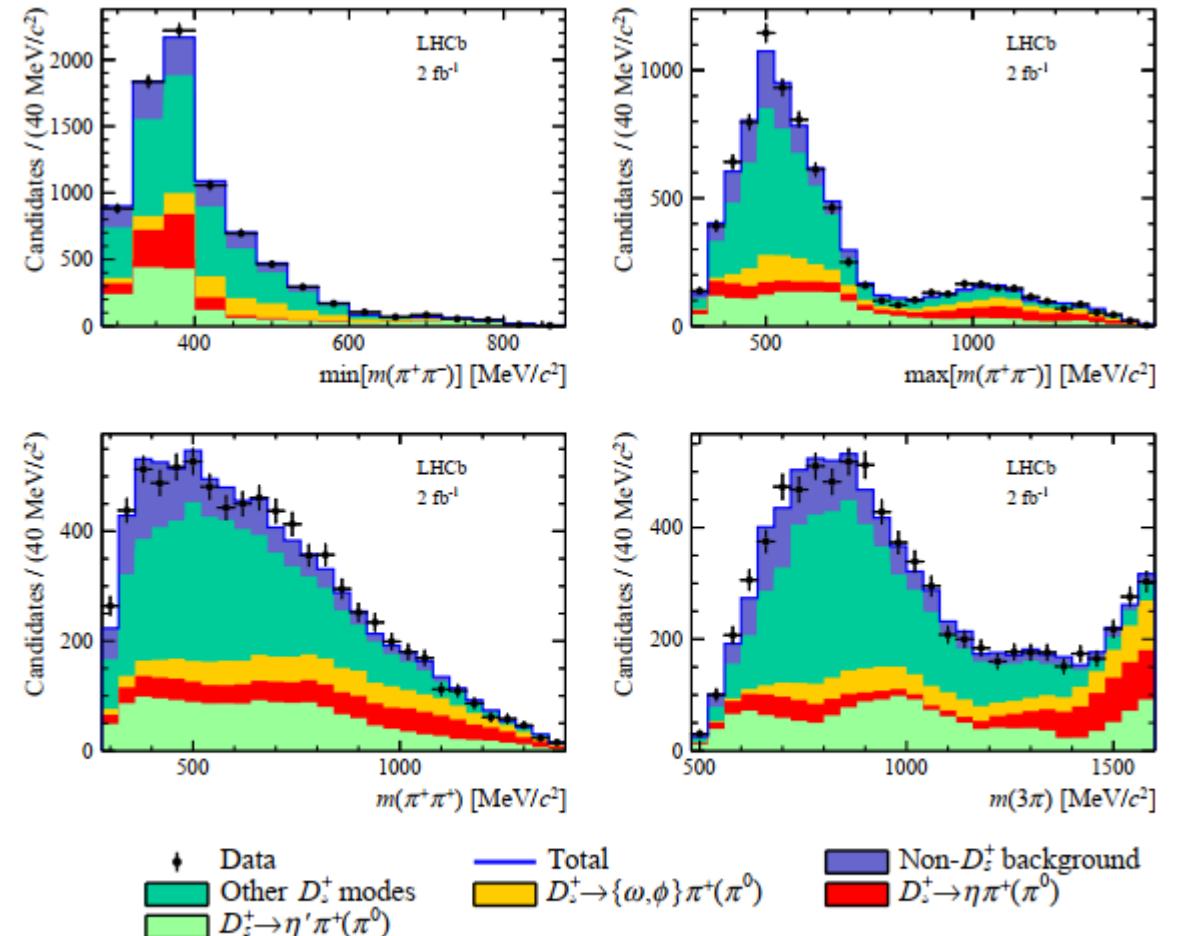
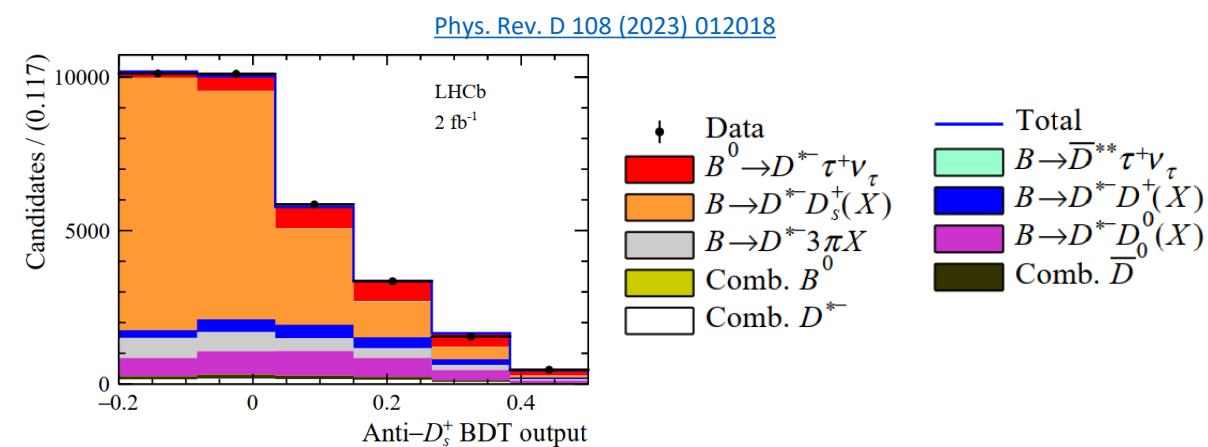
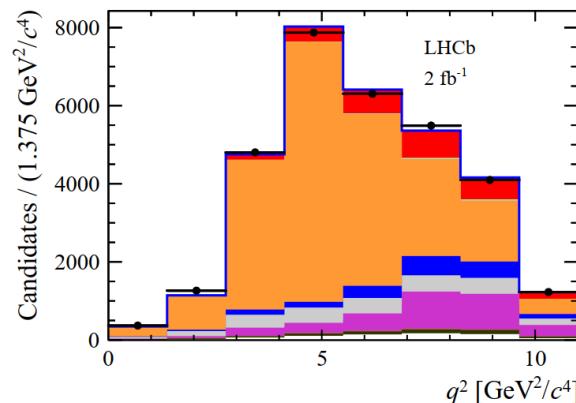
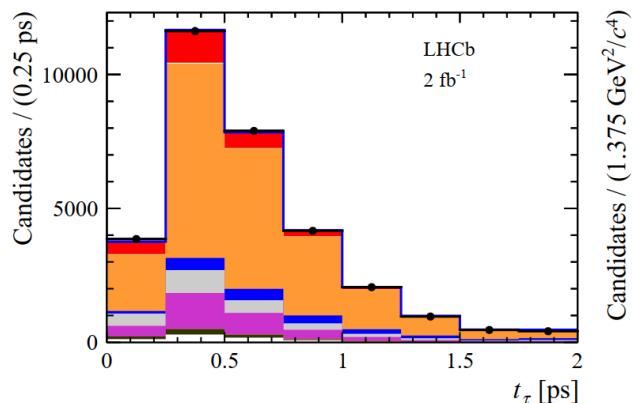
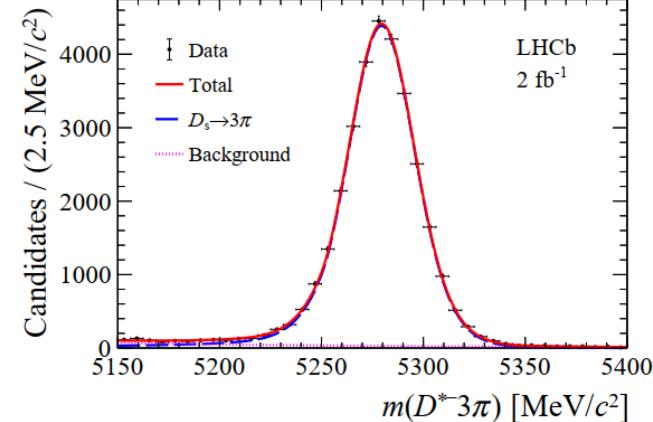


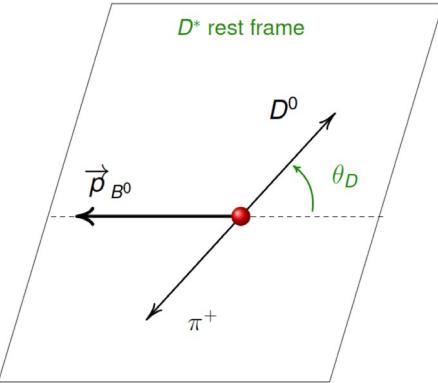
Figure 1: Projections of the  $D_s^+ \rightarrow 3\pi X$  components for the variables:  $\min[m(\pi^+\pi^-)]$ ,  $\max[m(\pi^+\pi^-)]$ ,  $m(\pi^+\pi^+)$  and  $m(3\pi)$  in the fit to the control data samples.

# Run 1,2 Hadronic $R(D^*)$ : Fit and Results

- Signal fit variables:  $q^2$ ,  $t_\tau$ , anti- $D_s$  BDT
  - $t_\tau$  useful to distinguish from double charm since  $\tau$  lifetime is 3x longer than  $D$
- Normalization yield found from unbinned fit to  $m(D^*3\pi)$ 
  - Sample reverses  $3\pi$  detachment selection
- Run 1:  $R(D^*) = 0.291 \pm 0.019 \text{ (stat)} \pm 0.026 \text{ (sys)} \pm 0.013 \text{ (ext)}$   
 Run 2:  $R(D^*) = 0.247 \pm 0.015 \text{ (stat)} \pm 0.015 \text{ (sys)} \pm 0.012 \text{ (ext)}$ 
  - Most precise  $R(D^*)$
  - Major systematics can be scaled down with more data + MC but external  $\sigma$  needs other measurements (Belle II)



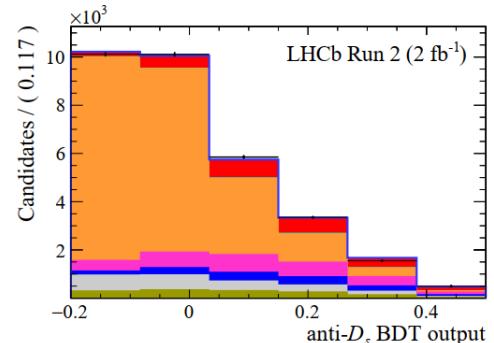
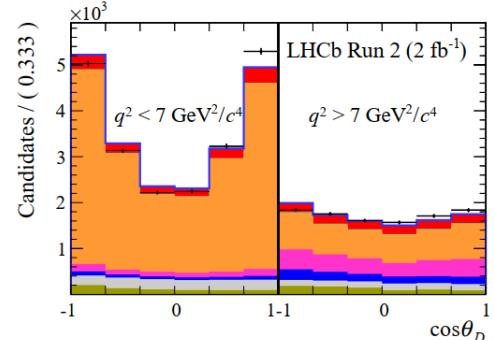
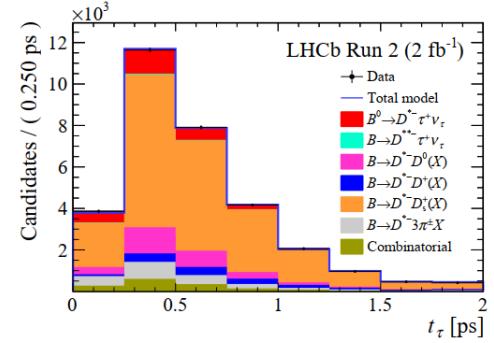
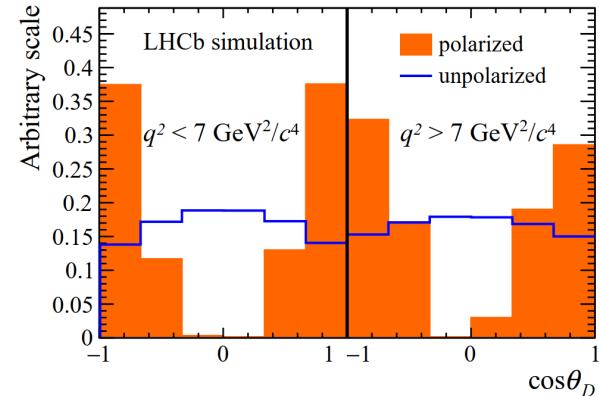
# Run 1+2 $D^*$ Polarization



Unpolarized signal fraction      Polarized signal fraction

$$\frac{d^2\Gamma}{dq^2 d\cos\theta_D} = a_{\theta_D}(q^2) + c_{\theta_D}(q^2) \cos^2 \theta_D$$

$$F_L^{D^*}(q^2) = \frac{d\Gamma_L/dq^2}{d\Gamma/dq^2} = \frac{a_{\theta_D} + c_{\theta_D}}{3a_{\theta_D} + c_{\theta_D}}$$



- Run 1+2 combined: separate fits, combined in result
- Background rejection and separation almost identical to hadronic  $R(D^*)$ , but fit to  $\cos(\theta_D)$  in low/high  $q^2$ 
  - Signal template split into polarized and unpolarized
    - Relative amount floated in the fit
- Results are consistent with SM and Belle results, dominant systematic is MC stats:
  - $q^2 < 7$ :  $F_L^{D^*} = 0.51 \pm 0.07(\text{stat}) \pm 0.03(\text{sys})$
  - $q^2 > 7$ :  $F_L^{D^*} = 0.35 \pm 0.08(\text{stat}) \pm 0.02(\text{sys})$
  - Average over  $q^2$ :  $F_L^{D^*} = 0.43 \pm 0.06(\text{stat}) \pm 0.03(\text{sys})$

# Systematic Uncertainties for Hadronic $\tau$



- $R(D^*)$

Source	systematic uncertainty (%)
PDF shapes uncertainty (size of simulation sample)	2.0
Fixing $B \rightarrow D^{*-}D_s^+(X)$ bkg model parameters	1.1
Fixing $B \rightarrow D^{*-}D^0(X)$ bkg model parameters	1.5
Fractions of signal $\tau^+$ decays	0.3
Fixing the $\bar{D}^{**}\tau^+\nu_\tau$ and $D_s^{**+}\tau^+\nu_\tau$ fractions	$^{+1.8}_{-1.9}$
Knowledge of the $D_s^+ \rightarrow 3\pi X$ decay model	1.0
Specifically the $D_s^+ \rightarrow a_1 X$ fraction	1.5
Empty bins in templates	1.3
Signal decay template shape	1.8
Signal decay efficiency	0.9
Possible contributions from other $\tau^+$ decays	1.0
$B \rightarrow D^{*-}D^+(X)$ template shapes	$^{+2.2}_{-0.8}$
$B \rightarrow D^{*-}D^0(X)$ template shapes	1.2
$B \rightarrow D^{*-}D_s^+(X)$ template shapes	0.3
$B \rightarrow D^{*-}3\pi X$ template shapes	1.2
Combinatorial background normalisation	$^{+0.5}_{-0.6}$
Preselection efficiency	2.0
Kinematic reweighting	0.7
Vertex error correction	0.9
PID efficiency	0.5
Signal efficiency (size of simulation sample)	1.1
Normalisation mode efficiency (modelling of $m(3\pi)$ )	1.0
Normalisation efficiency (size of simulation sample)	1.1
Normalisation mode PDF choice	1.0
Total systematic uncertainty	$^{+6.2}_{-5.9}$
Total statistical uncertainty	5.9

- $F_L^{D^*}$

Source	low- $q^2$	high- $q^2$	whole $q^2$ range
Fit validation	0.003	0.002	0.003
FF model	0.007	0.003	0.005
FF parameters	0.013	0.006	0.011
Limited template statistics	0.027	0.017	0.019
Fraction of signal $\tau^+ \rightarrow \pi^+\pi^-\pi^+\pi^0\nu_\tau$ decays	0.001	0.001	0.001
Fraction of $D^{**}$ feed-down	0.001	0.004	0.003
Signal selection	0.005	0.004	0.005
Bin migration	0.008	0.006	0.007
$F_L^{D^*}$ in simulation	0.007	0.003	0.007
$D_s^+$ decay model	0.008	0.009	0.009
Shape of $\cos\theta_D$ template in $D^{*-}D_s^+$ decays	0.002	0.001	0.002
Shape of $\cos\theta_D$ template in $D^{*-}D_s^{*+}$ decays	0.007	0.002	0.004
Shape of $\cos\theta_D$ template in $D^{*-}D_s^+X$ decays	0.007	0.006	0.007
Shape of $\cos\theta_D$ template in $D^{*-}D^+X$ decays	0.002	0.002	0.003
Shape of $\cos\theta_D$ template in $D^{*-}D^0X$ decays	0.002	0.002	0.003
$F_L^{D^*}$ integration method	-	-	0.002
Total	0.036	0.023	0.029

- Theoretical FF measurements use combination of lattice (small recoil), QCD sum rules/light cone sum rules (large recoil)
- Muonic  $R(D^{*,+})$  first to use HAMMER/RooHammerModel to implement FF reweighting and template  $\pm 1\sigma$  variations
  - HAMMER (Helicity Amplitude Module for Matrix Element Reweighting) an example of software that helps meet computing challenges: already difficult to generate enough MC to fit assuming SM, and would be prohibitively difficult to re-generate MC for every NP scenario

$R(D^{*,0})$  Run 1 FF parametrizations (values floated in fit)

$B \rightarrow D$ : BCL [PRD 92 \(2015\) 054510](#)

$B \rightarrow D^*$ : BGL [PRD 94 \(2016\) 094008](#)

$B \rightarrow D^{**}$ : BLR [PRD 95 \(2017\) 014022](#)

$R(D^{*,+})$  Run 2 FF parametrizations (values floated in fit)

$B \rightarrow D$ : BGL [PRD 94 \(2016\) 094008](#)

$B \rightarrow D^*$ : BGL [Eur. Phys. J. C 82, 1141 \(2022\)](#)

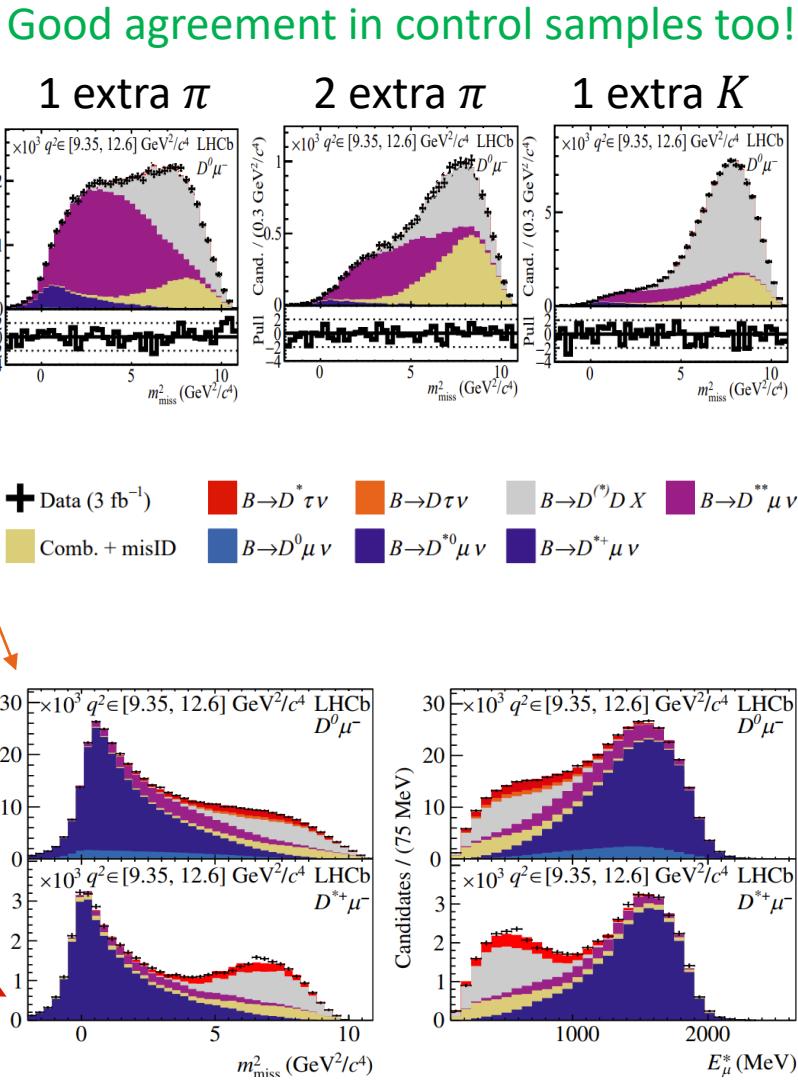
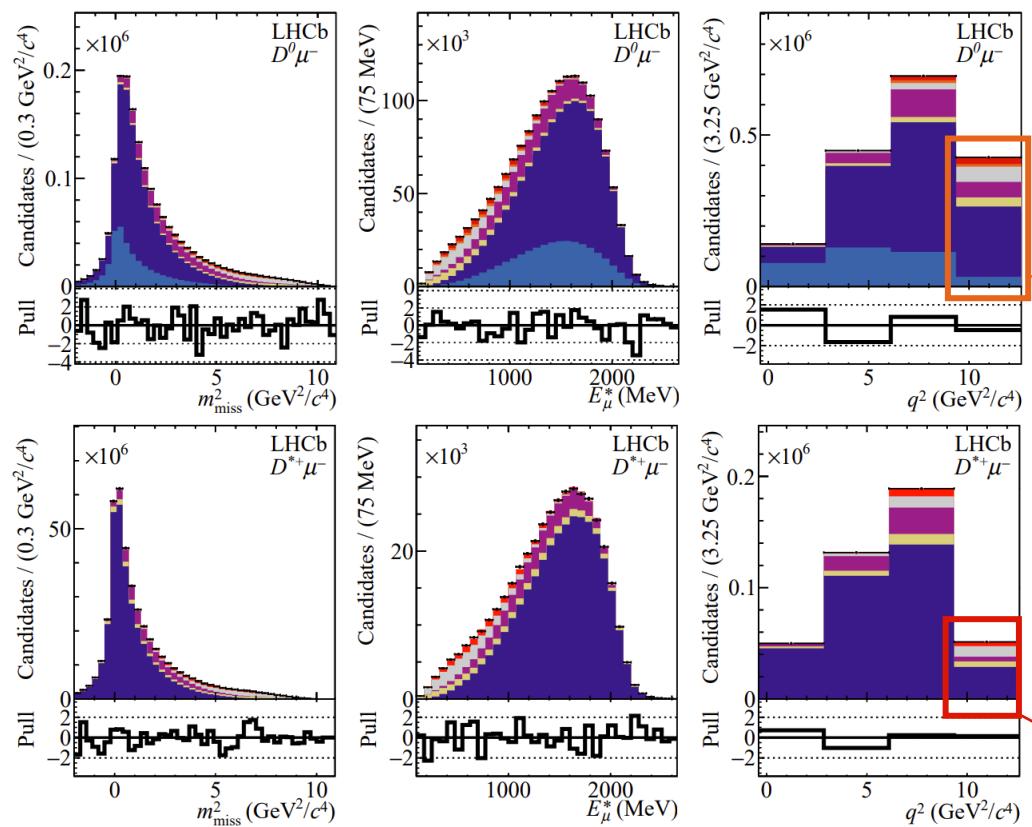
$B \rightarrow D^{**}$ : BLR [PRD 95 \(2017\) 014022](#)

# Run 1 Muonic $R(D^*, 0)$ : Results

$$R(D^0) = 0.441 \pm 0.060(\text{stat}) \pm 0.066(\text{sys})$$

$$R(D^*) = 0.281 \pm 0.018(\text{stat}) \pm 0.024(\text{sys})$$

- 2 fitters used for added consistency check
- Main systematics due to  $DD$  modeling, FFs, size of simulated samples
  - Scale with data

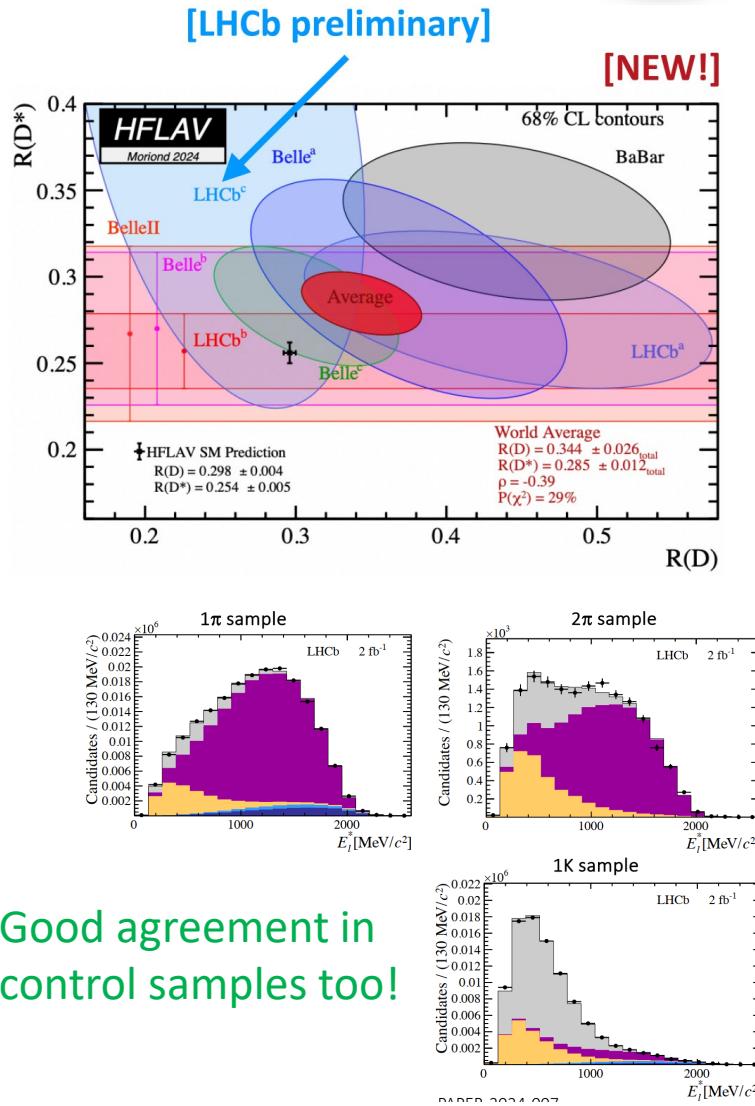
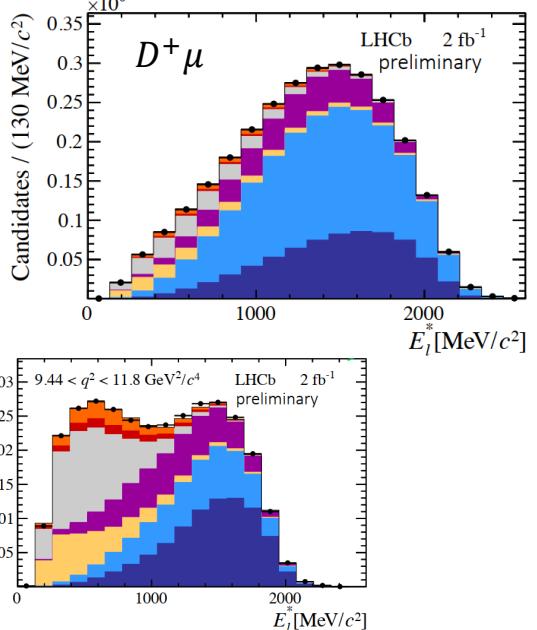
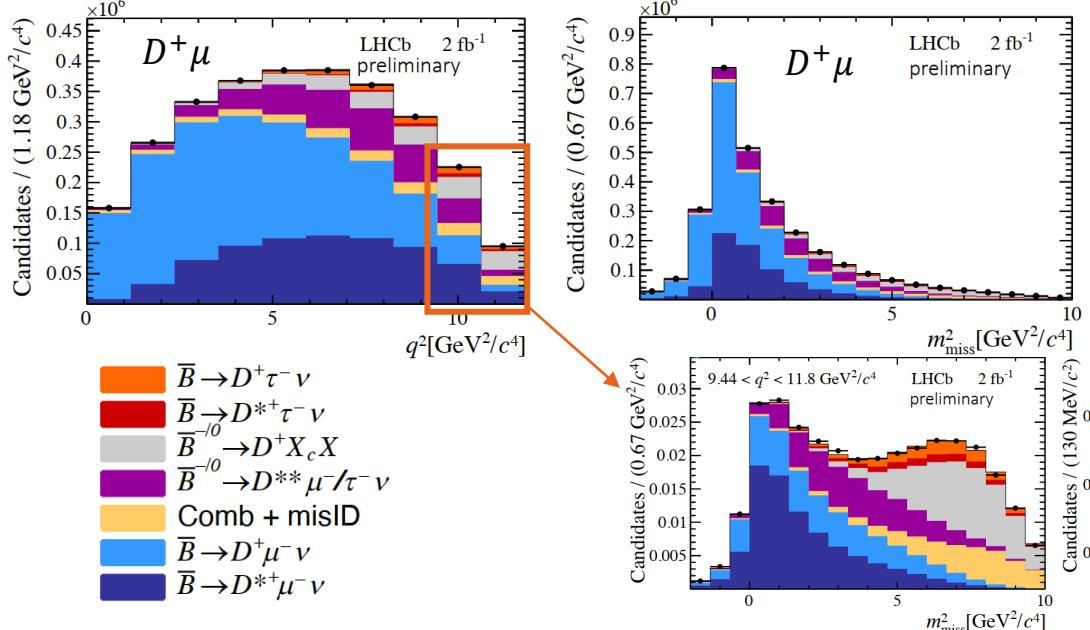


# Run 2 Muonic $R(D^{*,+})$ : Results

$$R(D^+) = 0.249 \pm 0.043(\text{stat}) \pm 0.047(\text{sys})$$

$$R(D^*) = 0.402 \pm 0.081(\text{stat}) \pm 0.085(\text{sys})$$

- Similar to  $R(D^{*,0})$ , main systematics due to  $DD$  modeling, FFs, size of simulated samples



Good agreement in control samples too!

# Systematic Uncertainties for Muonic $\tau$



- $R(D^{*,0})$

Internal fit uncertainties	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D^0)} (\times 10^{-2})$
Statistical uncertainty	1.8	6.0
Simulated sample size	1.5	4.5
$B \rightarrow D^{(*)}DX$ template shape	0.8	3.2
$\bar{B} \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ form factors	0.7	2.1
$\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu$ form factors	0.8	1.2
$\mathcal{B} [\bar{B} \rightarrow D^*D_s^- (\rightarrow \tau^-\bar{\nu}_\tau)X]$	0.3	1.2
MisID template	0.1	0.8
$\mathcal{B} (\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)$	0.5	0.5
Combinatorial	< 0.1	0.1
Resolution	< 0.1	0.1
Additional model uncertainty	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D^0)} (\times 10^{-2})$
$B \rightarrow D^{(*)}DX$ model uncertainty	0.6	0.7
$\bar{B}_s^0 \rightarrow D_s^{**}\mu^-\bar{\nu}_\mu$ model uncertainty	0.6	2.4
Baryonic backgrounds	0.7	1.2
Coulomb correction to $\mathcal{R}(D^{*+})/\mathcal{R}(D^{*0})$	0.2	0.3
Data-simulation corrections	0.4	0.8
MisID template unfolding	0.7	1.2
Normalization uncertainties	$\sigma_{\mathcal{R}(D^*)} (\times 10^{-2})$	$\sigma_{\mathcal{R}(D^0)} (\times 10^{-2})$
Data-simulation corrections	$0.4 \times \mathcal{R}(D^*)$	$0.6 \times \mathcal{R}(D^0)$
$\tau^- \rightarrow \mu^-\nu\bar{\nu}$ branching fraction	$0.2 \times \mathcal{R}(D^*)$	$0.2 \times \mathcal{R}(D^0)$
Total systematic uncertainty	2.4	6.6
Total uncertainty	3.0	8.9

- $R(D^{(*)+})$

Source	$\mathcal{R}(D^+)$	$\mathcal{R}(D^*)$
Form factors	0.023	0.035
$B \rightarrow D^{**}$	0.024	0.025
$B \rightarrow D^+ X_c X$	0.020	0.034
Misidentification	0.019	0.012
Simulation size	0.009	0.030
Combinatorial background	0.005	0.020
Data/simulation agreement	0.016	0.011
Muon identification	0.008	0.027
Multiple candidates	0.007	0.017
Total systematic uncertainty	0.047	0.086