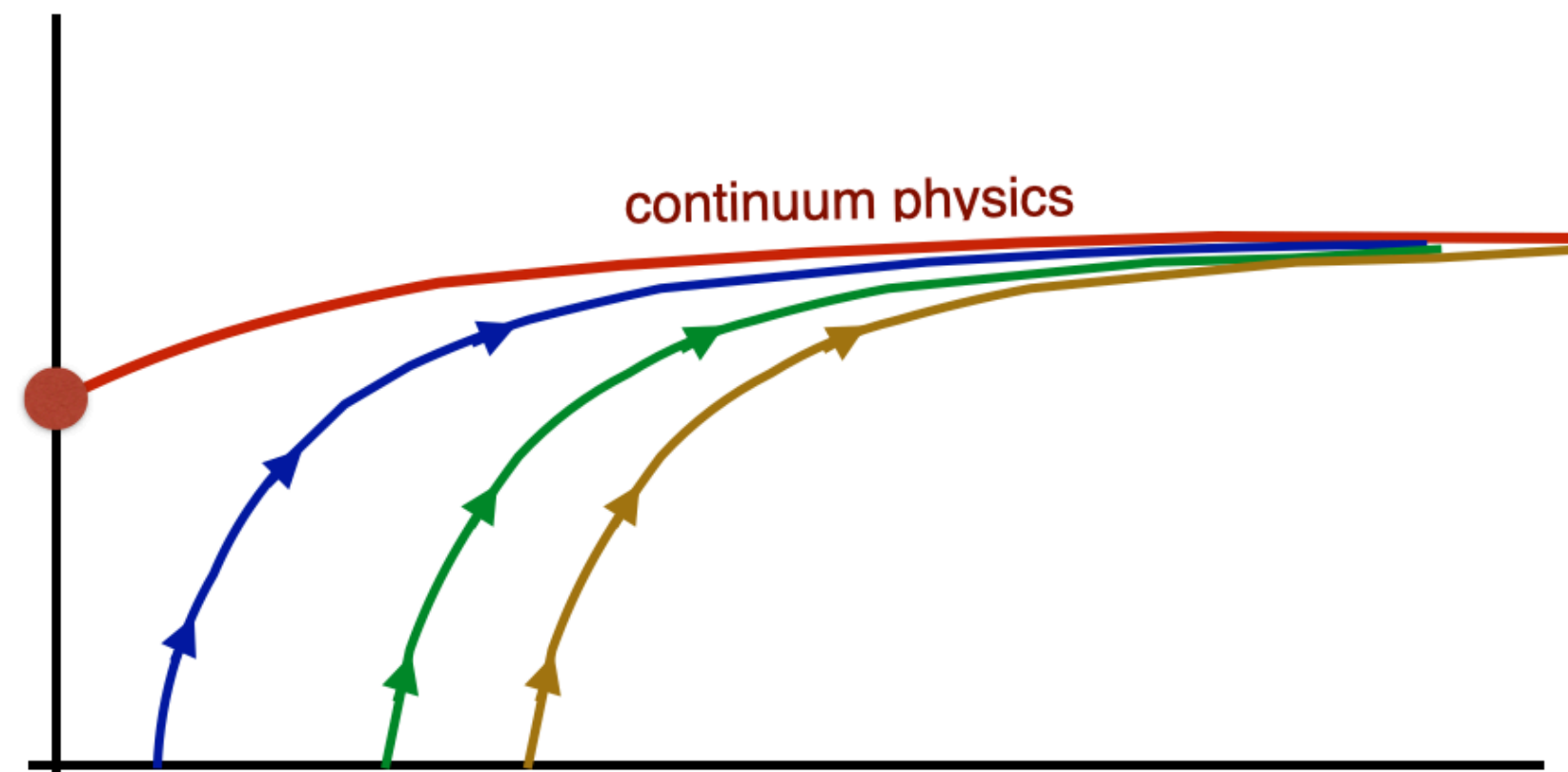


# Lattice Studies of Conformal and Near-conformal Systems

Anna Hasenfratz  
University of Colorado Boulder

Composite Higgs - Busan Workshop

*February 2024*



# Beyond the Standard Model

Composite Higgs models are attractive:

- describe EW symmetry breaking
- explain/predict Higgs mass
- BSM spectrum/dark matter/etc

Most (all?) feasible models require properties that only strongly coupled, near-conformal systems can satisfy

Lattice studies are well suited to

- identify suitable systems
- describe their nonperturbative properties

# Composite Higgs models

Broadly, there are two (times two) possibilities:

Higgs:

(A) Higgs is the  $\sigma$  isosinglet scalar, dilaton of broken scale symmetry

- $f_{PS} = vev$  of standard model : predictive
- very long “walking scaling” is needed - does it exist?

(B) Higgs is pseudo Nambu-Goldstone boson : naturally light

- $f_{PS} = vev/\sin(\chi)$  : less predictive

Fermion masses (two more):

(A) generated by  $(\bar{\psi}\psi)(\bar{\Psi}\Psi)$  interaction: very long “walking scaling” is needed

(B) “partial compositeness” : generated by  $(\psi)(\Psi\Psi\Psi)$  : large anomalous dimension for  $\Psi\Psi\Psi$  is needed

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# Composite Higgs models

## Questions for Lattice :

is the system conformal/near conformal? (RG  $\beta$  function)

(A) Higgs is the  $\sigma$  isosinglet scalar, dilaton of broken scale symmetry

-  $f_{PS} = vev$  of standard model : predictive

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# Composite Higgs models

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What are the anomalous dimensions? (RG  $\gamma$  function)

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# What is Near-Conformal : Phases of gauge-fermion systems

$SU(N_c)$  gauge with  $N_f$  fundamental flavors

$$\beta = \mu^2 \frac{dg^2}{d\mu^2} = b_0 g^4 + b_1 g^6 + \dots$$

The coefficients of  $\beta(g^2)$  are known perturbatively up to 5 loops

$$b_0 = \frac{1}{16\pi^2} \left( -\frac{11}{3} N_c + \frac{2}{3} N_f \right), \quad b_1 = \frac{1}{(16\pi^2)} \left( -\frac{34}{3} N_c^2 + N_f \left( \frac{10}{3} N_c + \frac{N_c^2 - 1}{N_c} \right) \right)$$

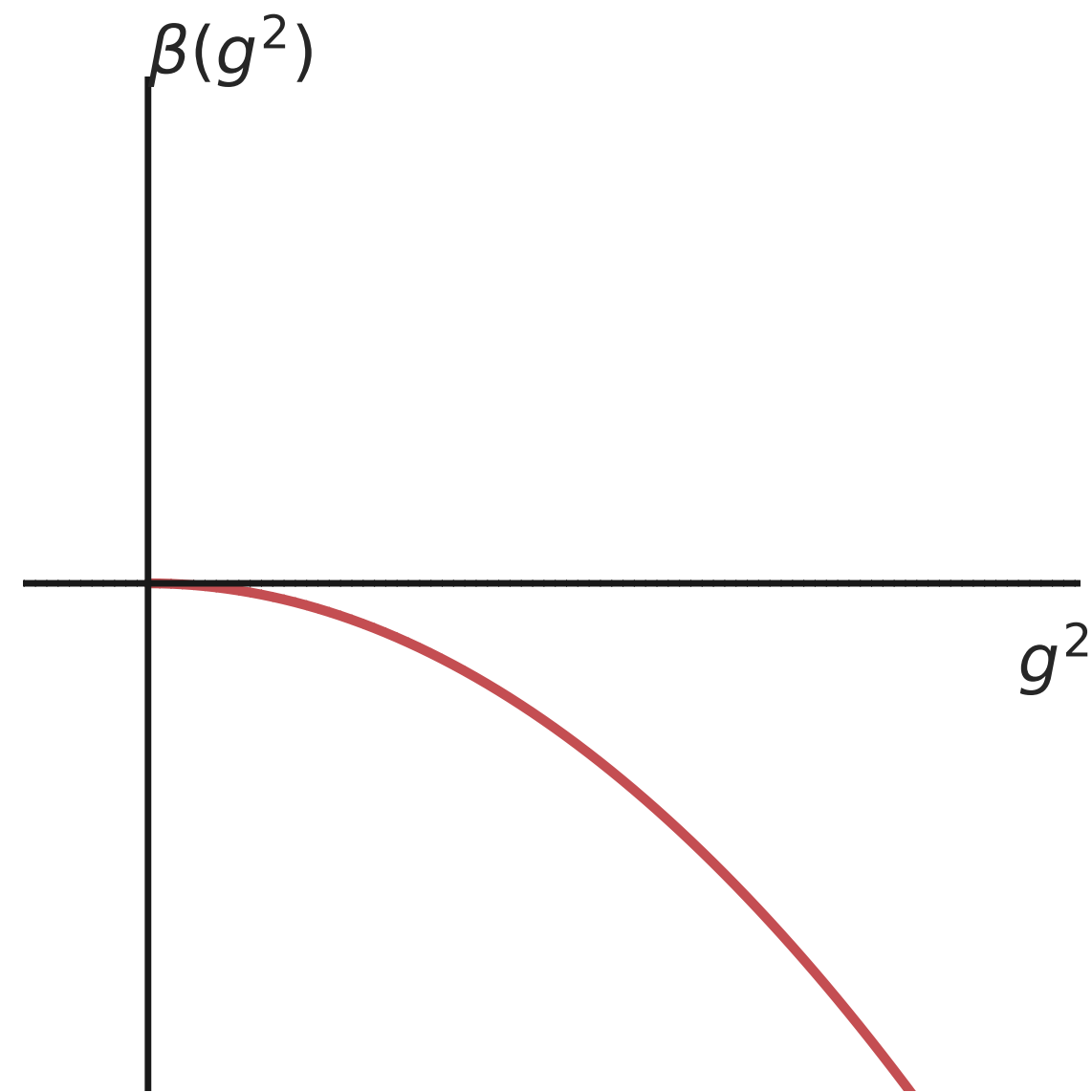
$b_2, b_3, \dots$  depend on the RG scheme

# Conformal or chirally broken?

SU(3) gauge +  $N_f$  fermions

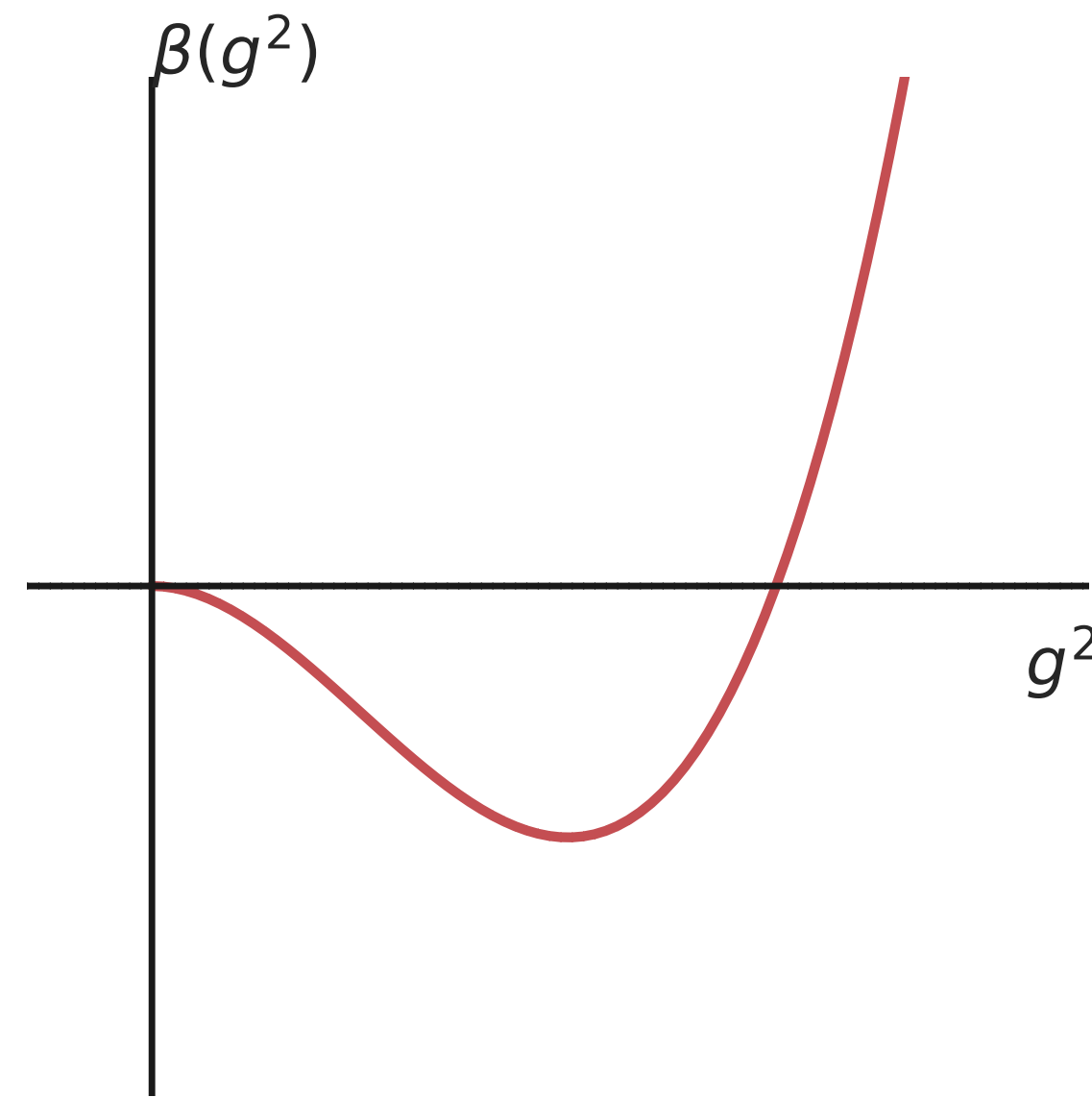
small  $N_f$  ( $< 8$ )

Confining



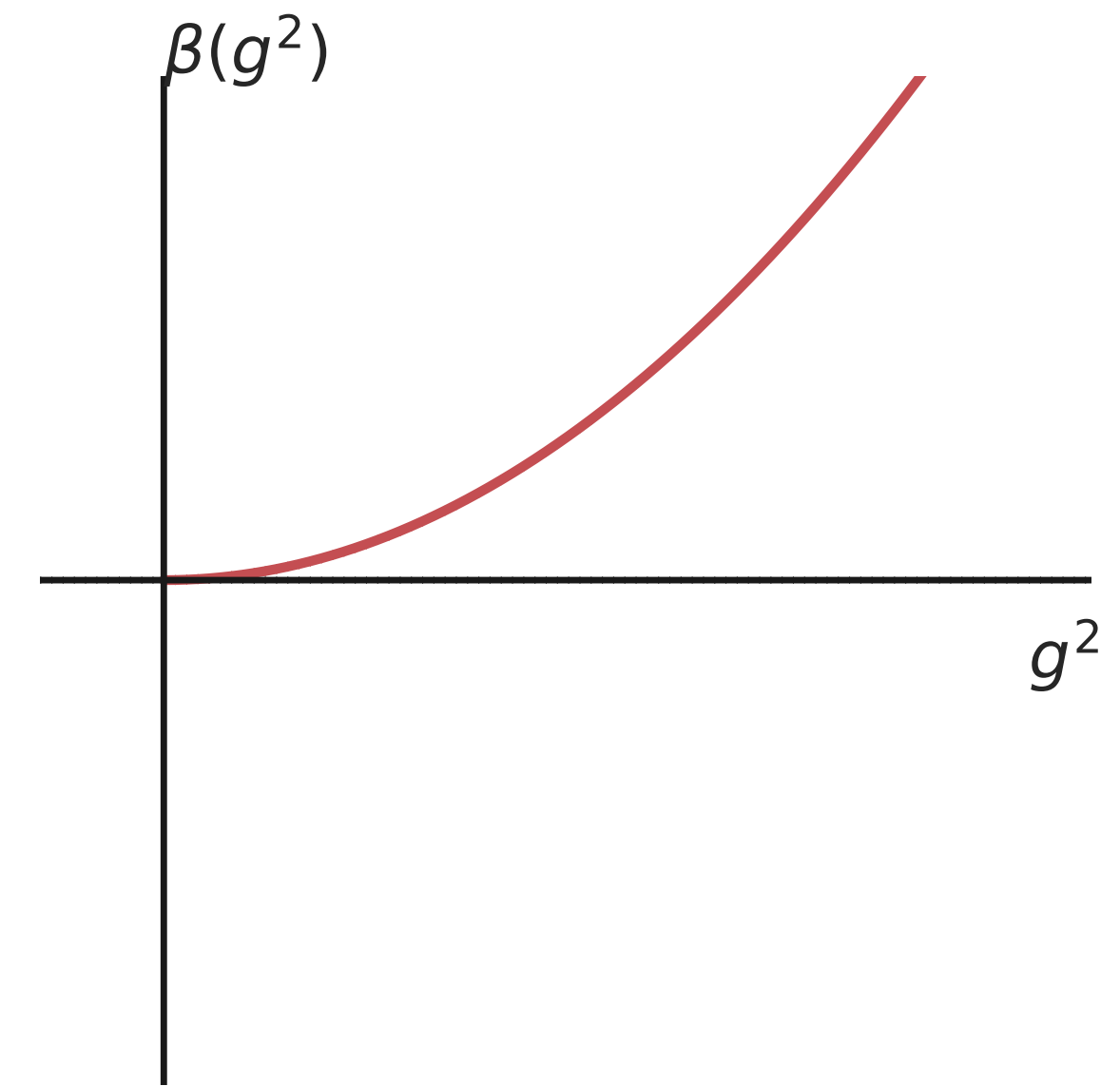
$N^* < N_f < N^{IF}$

Conformal



$N_f > N^{IF} = 16.5$

Infrared free



**Perturbatively:** the IR fixed point emerges at  $g_0^2 = \infty$  at  $N_f = N^*$ , moves to  $g_0^2 = 0$  as  $N_f \rightarrow N^{IF}$

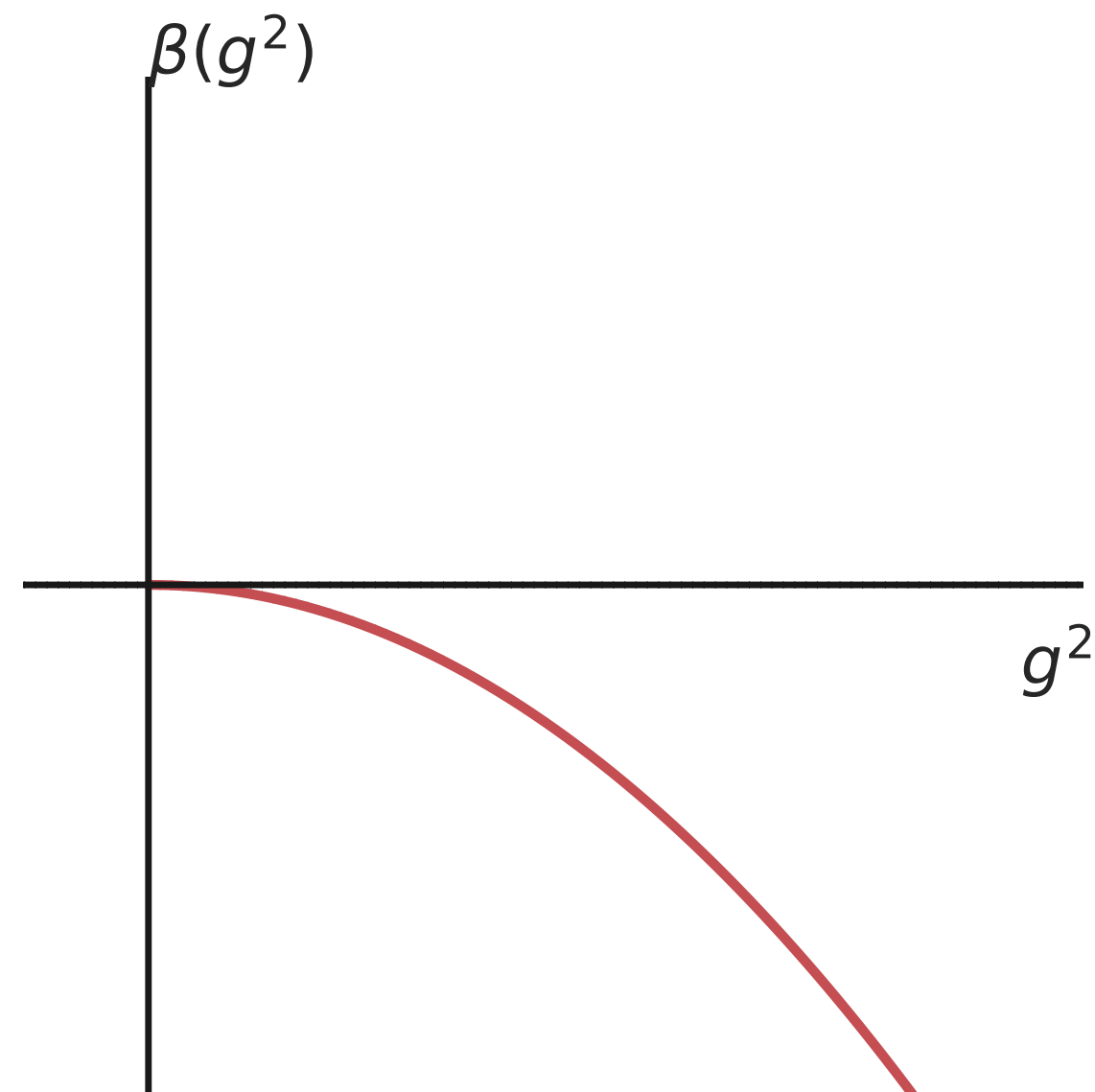


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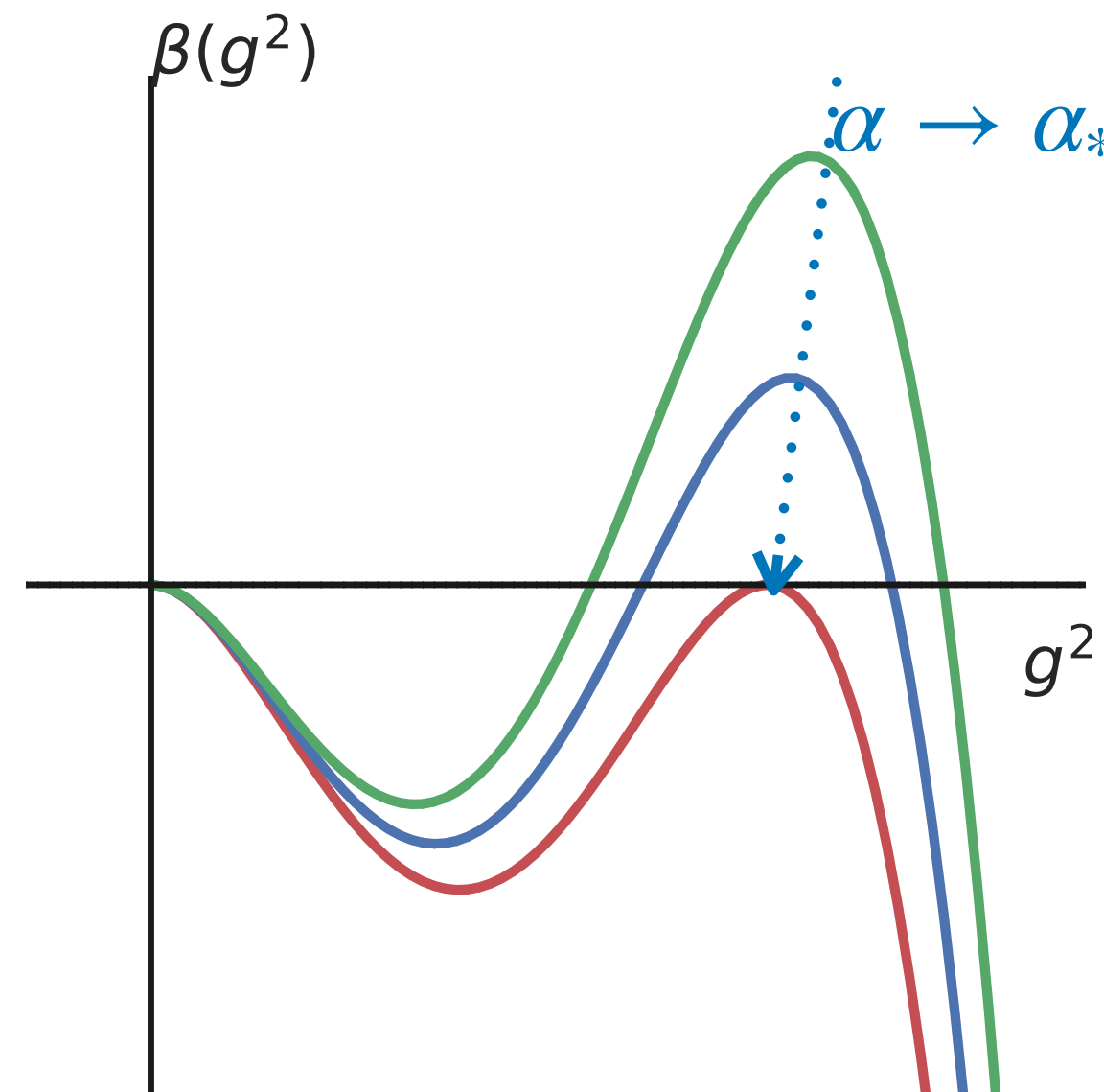
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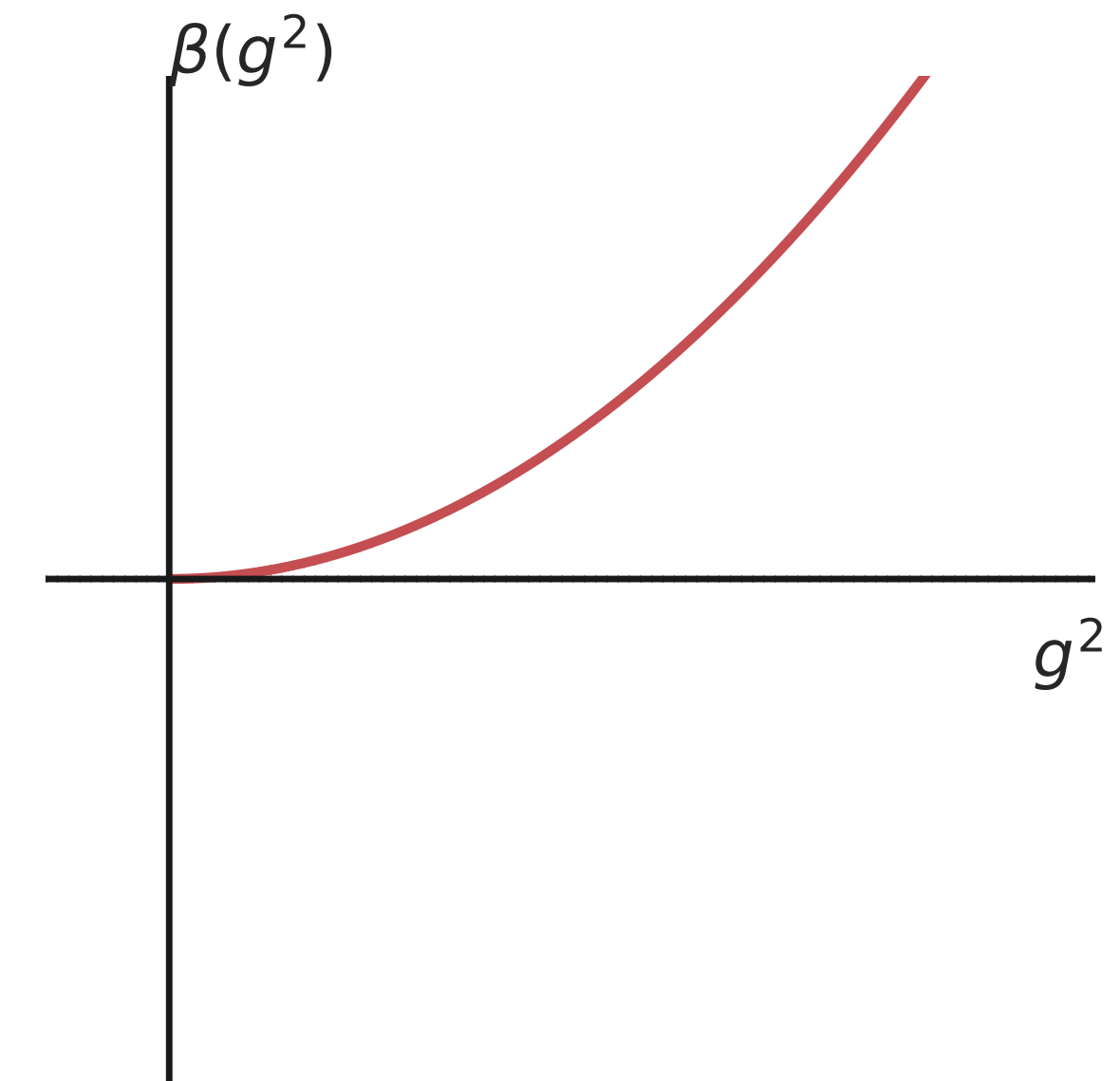
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**Nonperturbatively:** the IR fixed point could emerge at finite  $g_*^2$  e.g.

$$\beta(g) \sim (\alpha - \alpha_*) - (g - g_*)^2$$

Kaplan et al PRD80,125005 (2009)

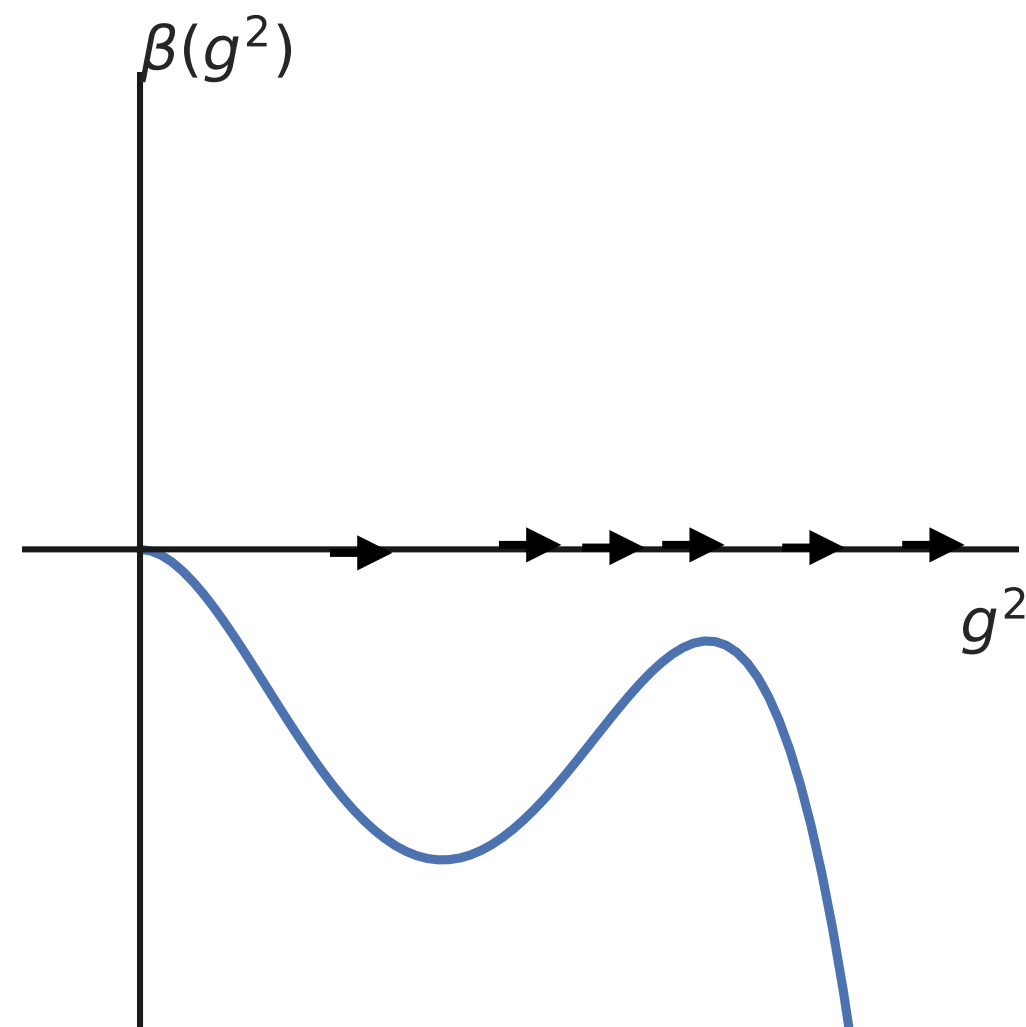
L. Vecchi PRD82, 045013 (2010)

Gorbenko et al JHEP10, 108 (2018)

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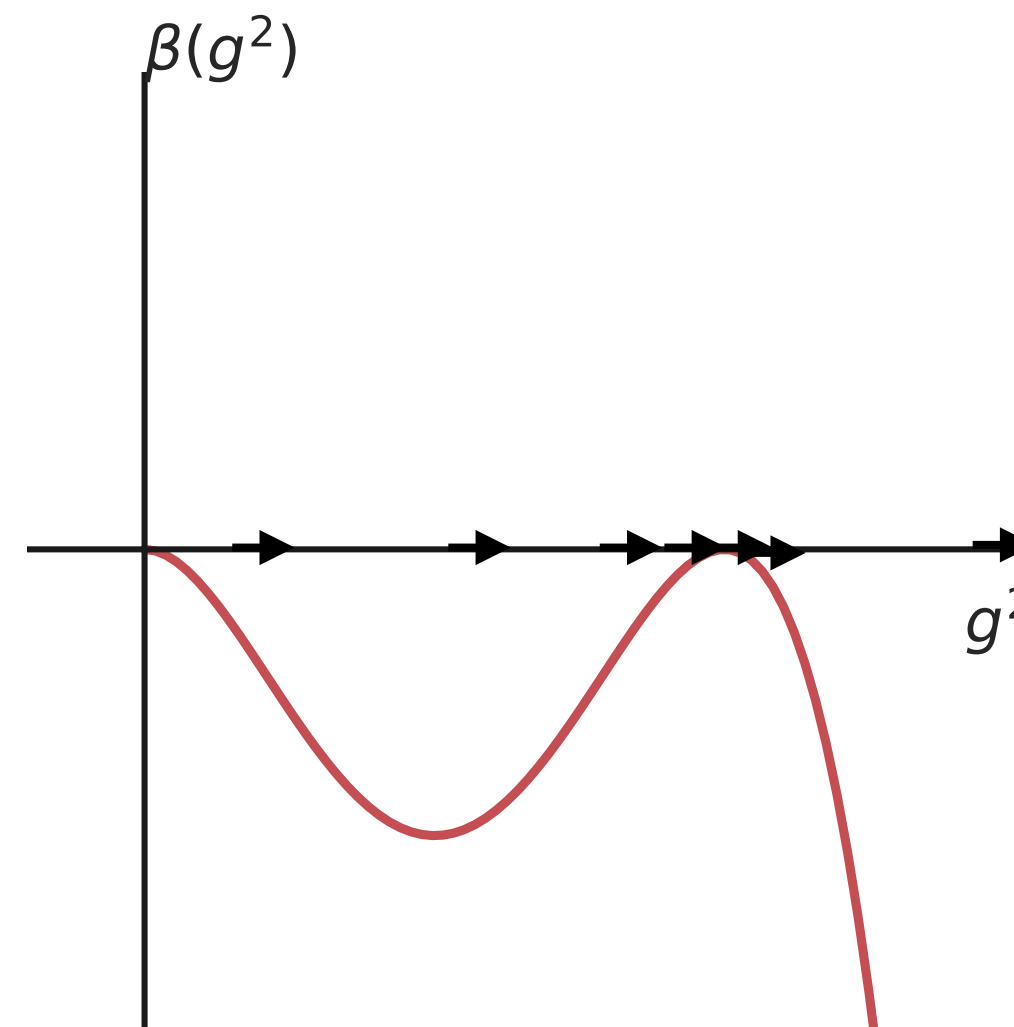
Walking



**Walking:**

Is it "walking" slow enough?

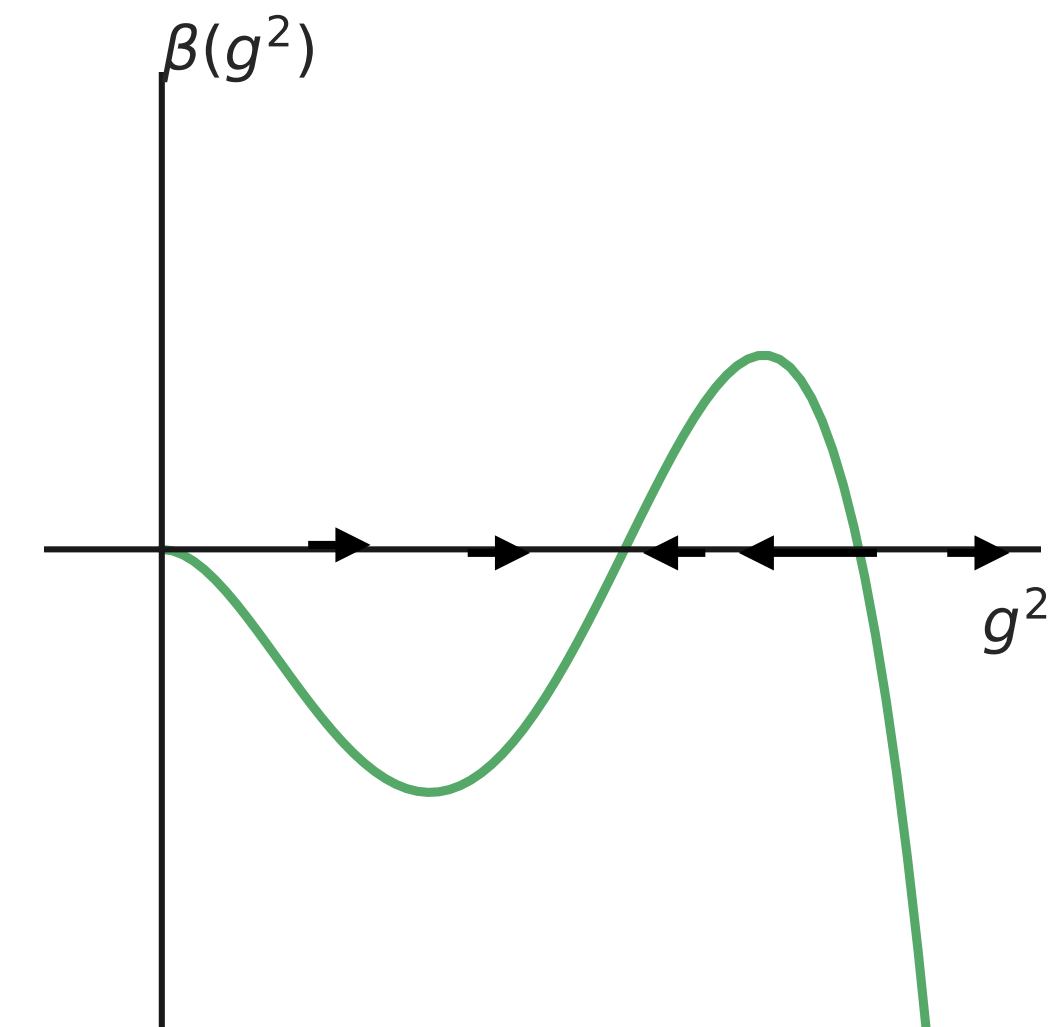
conformal sill



**At the sill:**

- Could be mass-split
- or use the strong coupling phase(?)

conformal



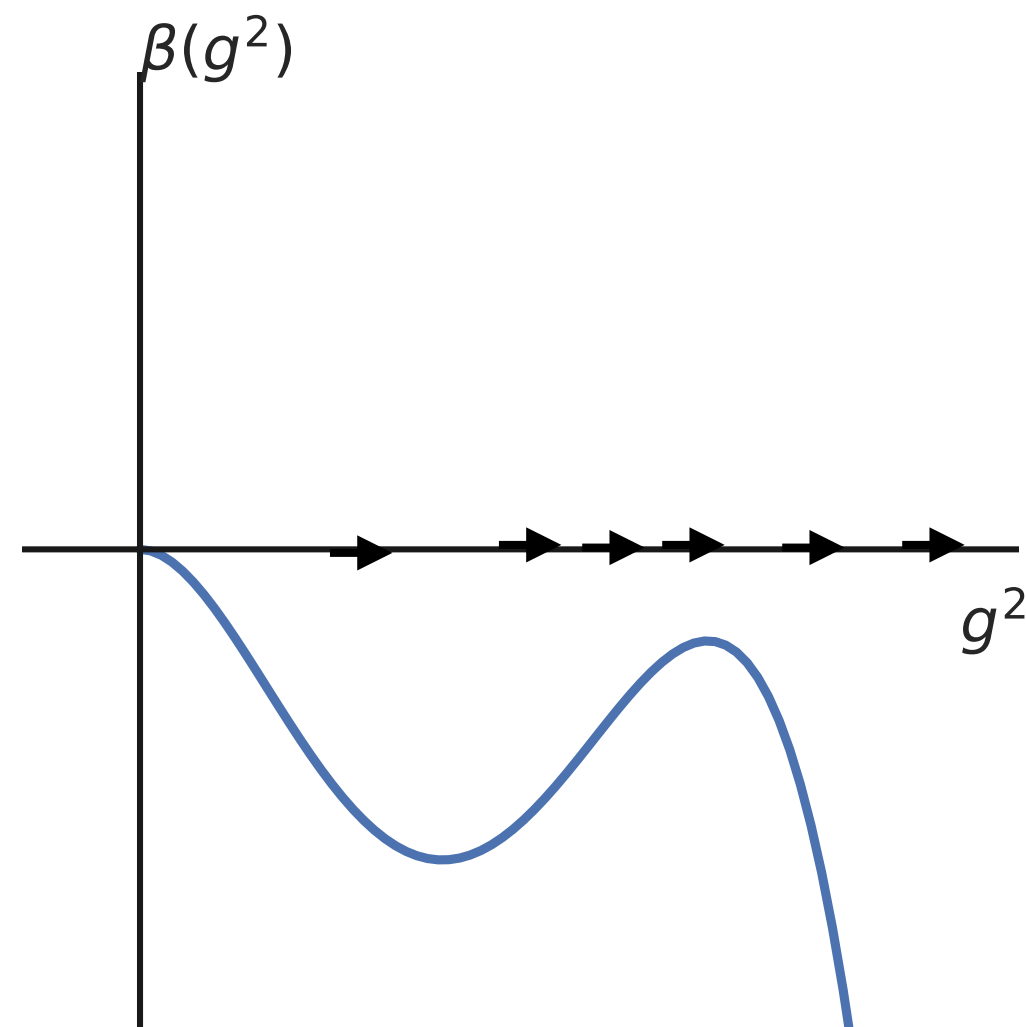
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- Give mass to some flavors;
- When decouple,  $\chi_{SB}$
- Heavy mass controls "walking" and **continuum limit**

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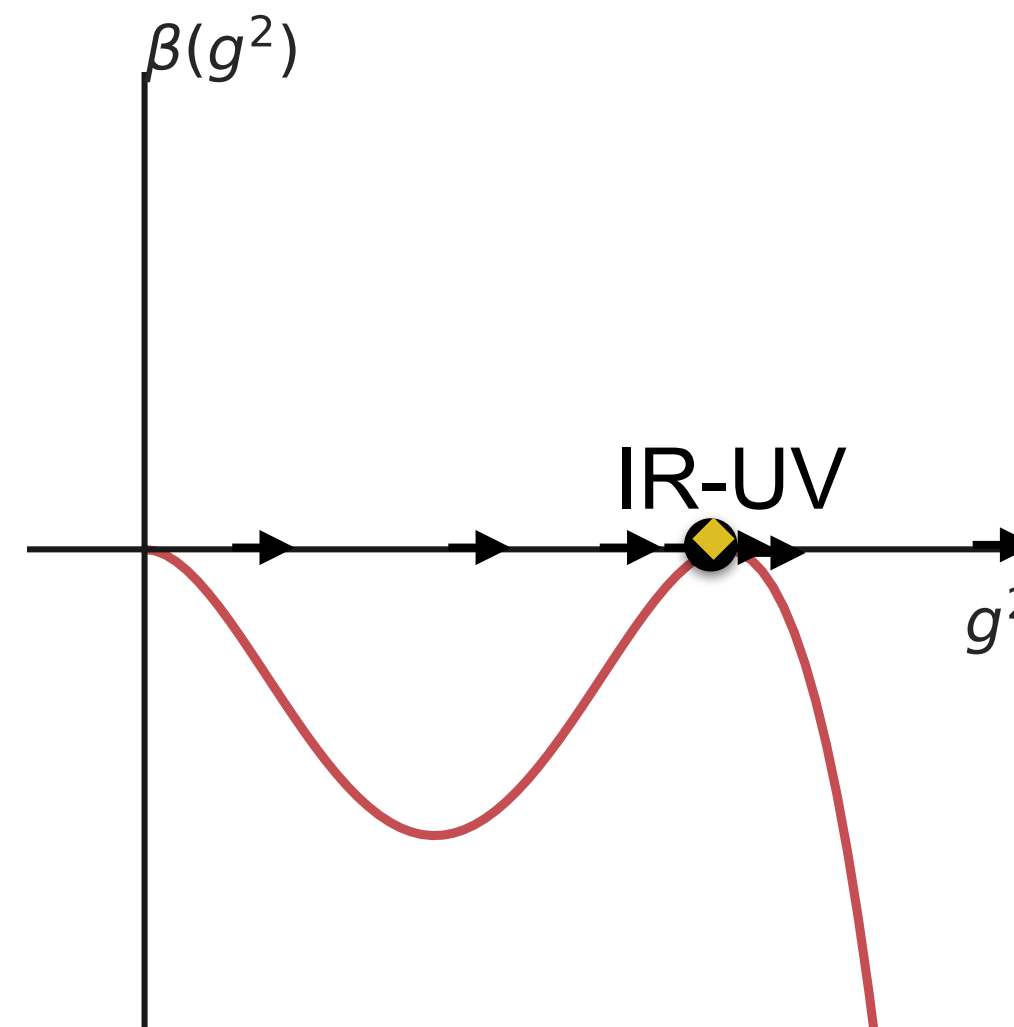
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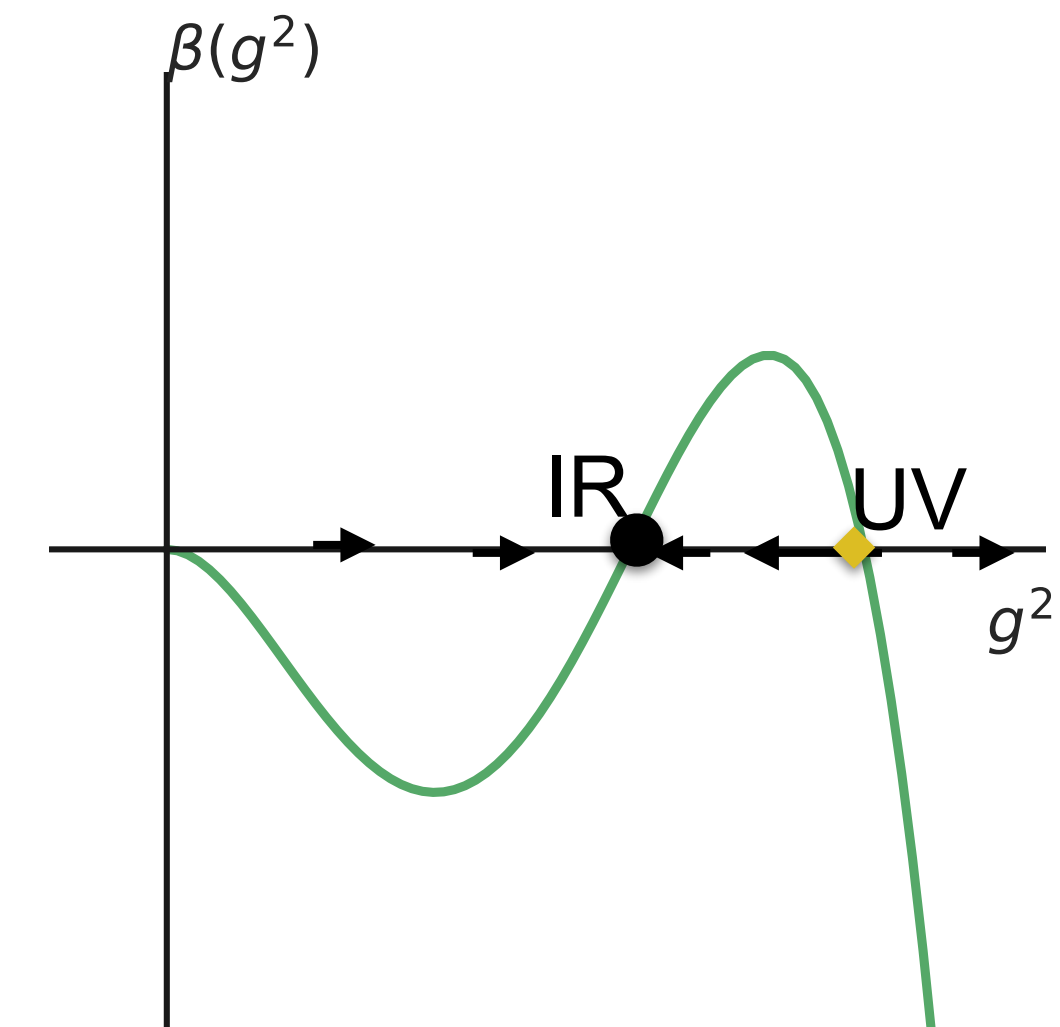
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# Lattice studies:

We need to determine RG properties ( $\beta$  and  $\gamma$  functions) of the most promising models nonperturbatively

Simple enough,

yet after 15+ years the opening of the conformal window is still debated ....

But we are on the verge (perhaps even beyond) of success:

- improved action that reduce lattice artifacts (**Essential!**)
- we have better RG methods

# Taming lattice artifacts with PV bosons

AH, Shamir, Svetitsky, PRD104, 074509 (2021)

$$S = \frac{6}{g_0^2} \sum_p \text{ReTr} V_{\square} + \frac{1}{2} \sum_{n,\mu} \left( \bar{\psi}_n \gamma_{\mu}(n) U_{\mu}(n) \psi_{n+\mu} + cc \right) + am_f \sum_n \bar{\psi}_n \psi_n$$

Integrate out the fermions: an effective gauge action (hopping expansion)

$$S_{eff}^{(f)} = \frac{N_s}{(2am_f)^4} \sum_p \text{ReTr} V_{\square} + c \frac{N_s}{(2am_f)^6} \sum_{6link} \text{ReTr} V_{6-link} \dots$$

Bare gauge coupling  $\beta = 6/g_0^2$  decreases to compensate, leading to rough gauge configurations, large cutoff effects

# Taming lattice artifacts with PV bosons

AH, Shamir, Svetitsky, PRD104, 074509 (2021)

## ➔ Compensate with **heavy Pauli-Villars bosons**

-same interaction as fermions but with *bosonic statistics*

$$S_{eff}^{(PV)} = -\frac{N_s}{(2am_f)^4} \sum_p \text{ReTr} V_{\square} - c \frac{N_s}{(2am_f)^6} \sum_{6link} \text{ReTr} V_{6-link} \dots$$

$-S_{eff}^{(PV)} < 0 \longrightarrow \beta = 6/g_0^2$  increases;

- Keep  $am_{PV} \sim \mathcal{O}(1)$  fixed: in the IR ( $a \rightarrow 0$ ) the PV bosons decouple  
(does not change physics)

- range of effective gauge action is  $\sim \exp(-2am_{PV})$

Similar to PV regulators  
in continuum

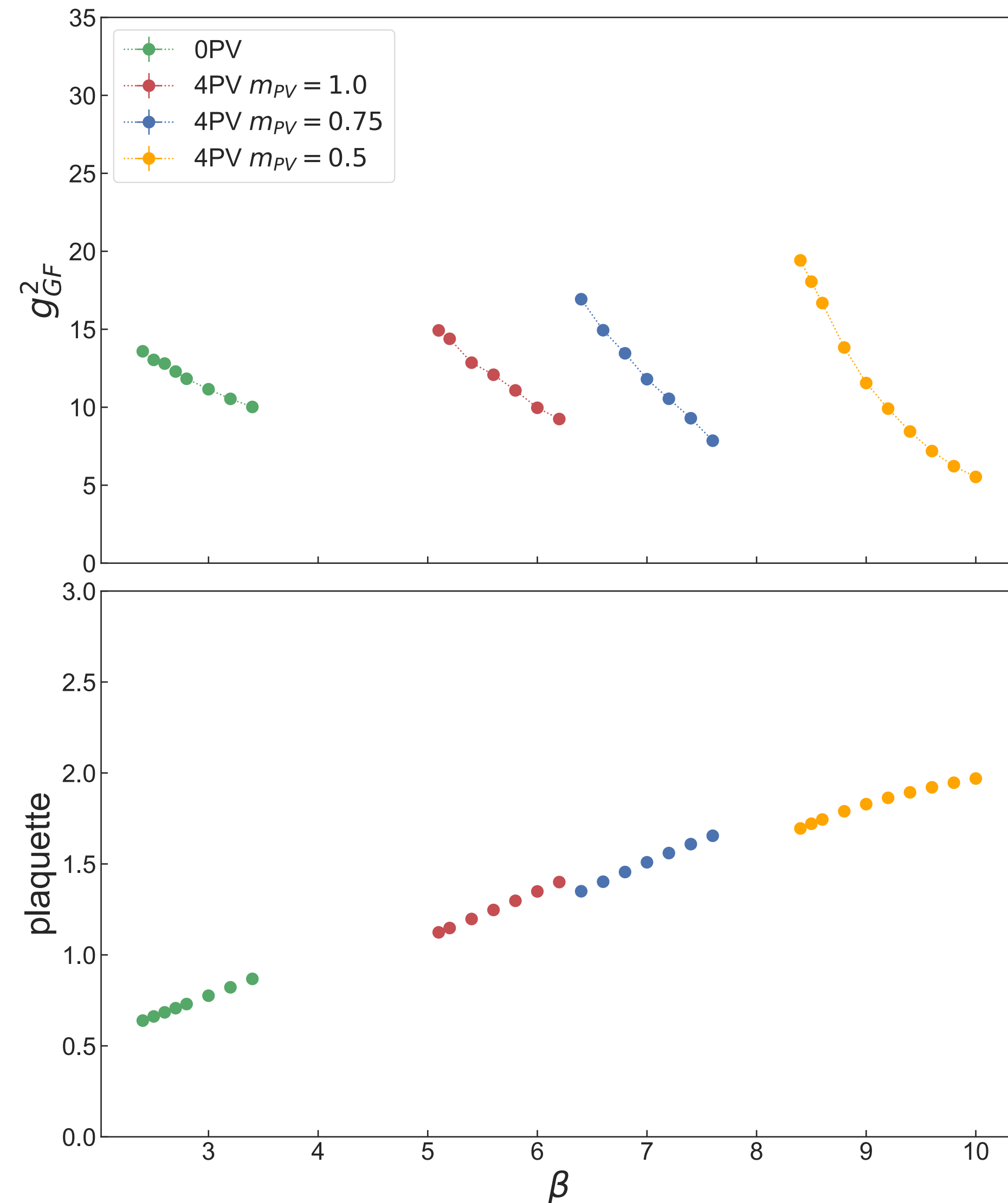
## ➔ The PV action is just an “improved gauge action” :

- add as many PV as you want

- use any lattice action that you want (ex. naive fermions)

# Example: SU(3) with $N_f = 12$ fundamental flavors

AH, Shamir, Svetitsky, PRD104, 074509 (2021)



Compare different PV improvements  
plaquette value signals UV fluctuations  
at fixed physics ( $g^2$ )

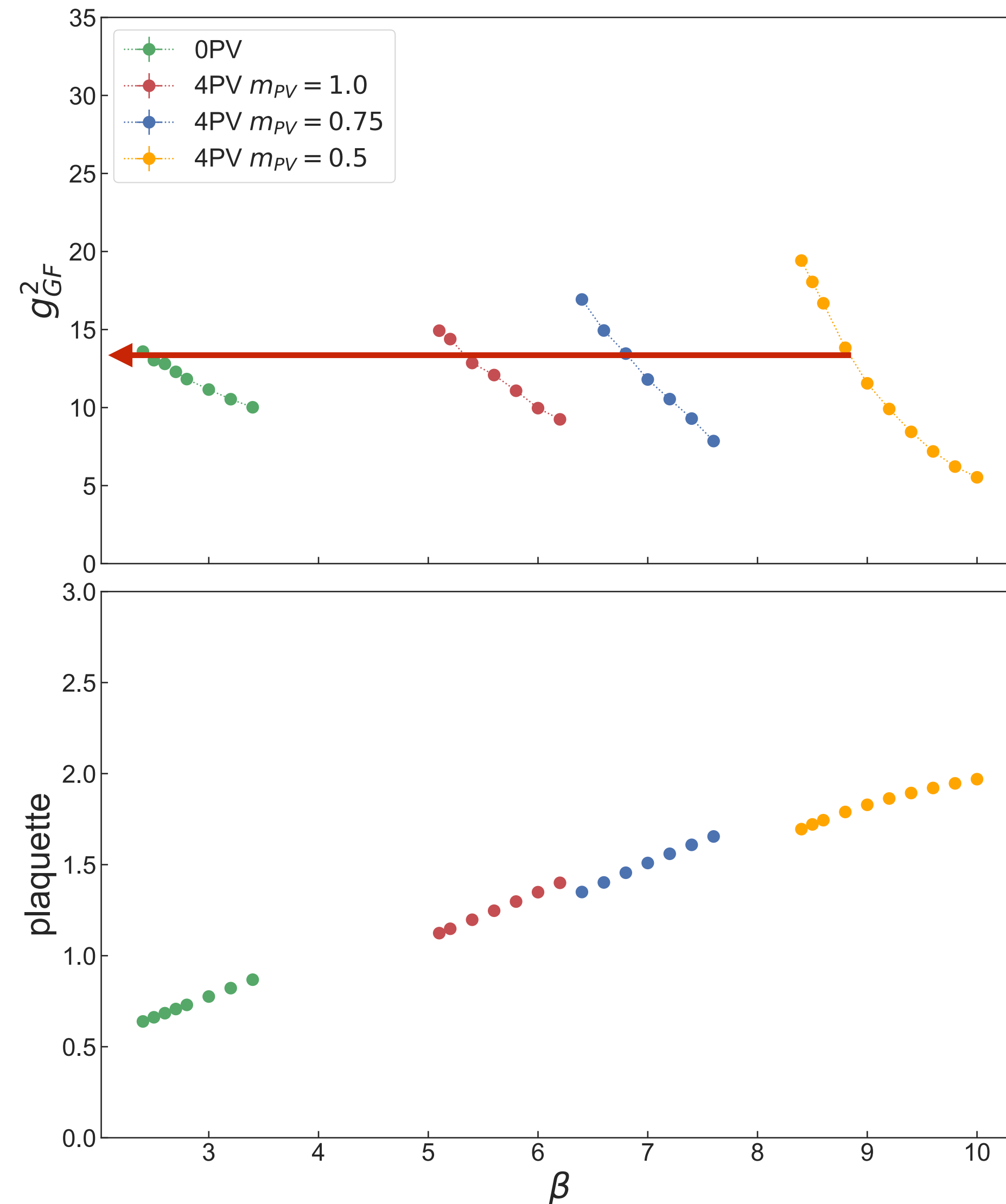
With PV improvement UV fluctuation significantly  
decrease  $\rightarrow$  more reliable continuum limit

- plaquette is determined by thin link  $\beta = 6/g^2$
- accessible parameter space in  $g^2$  opens up



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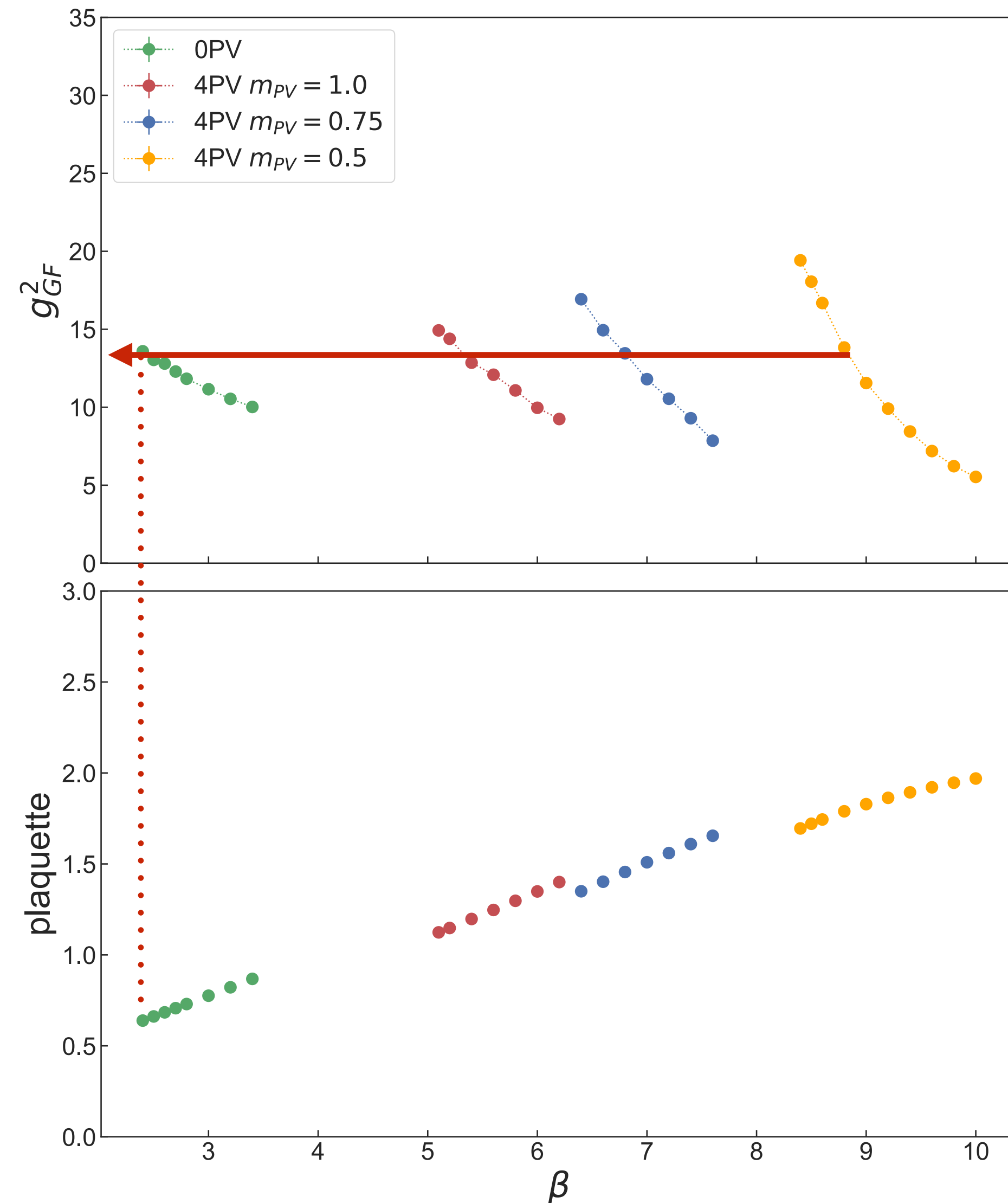
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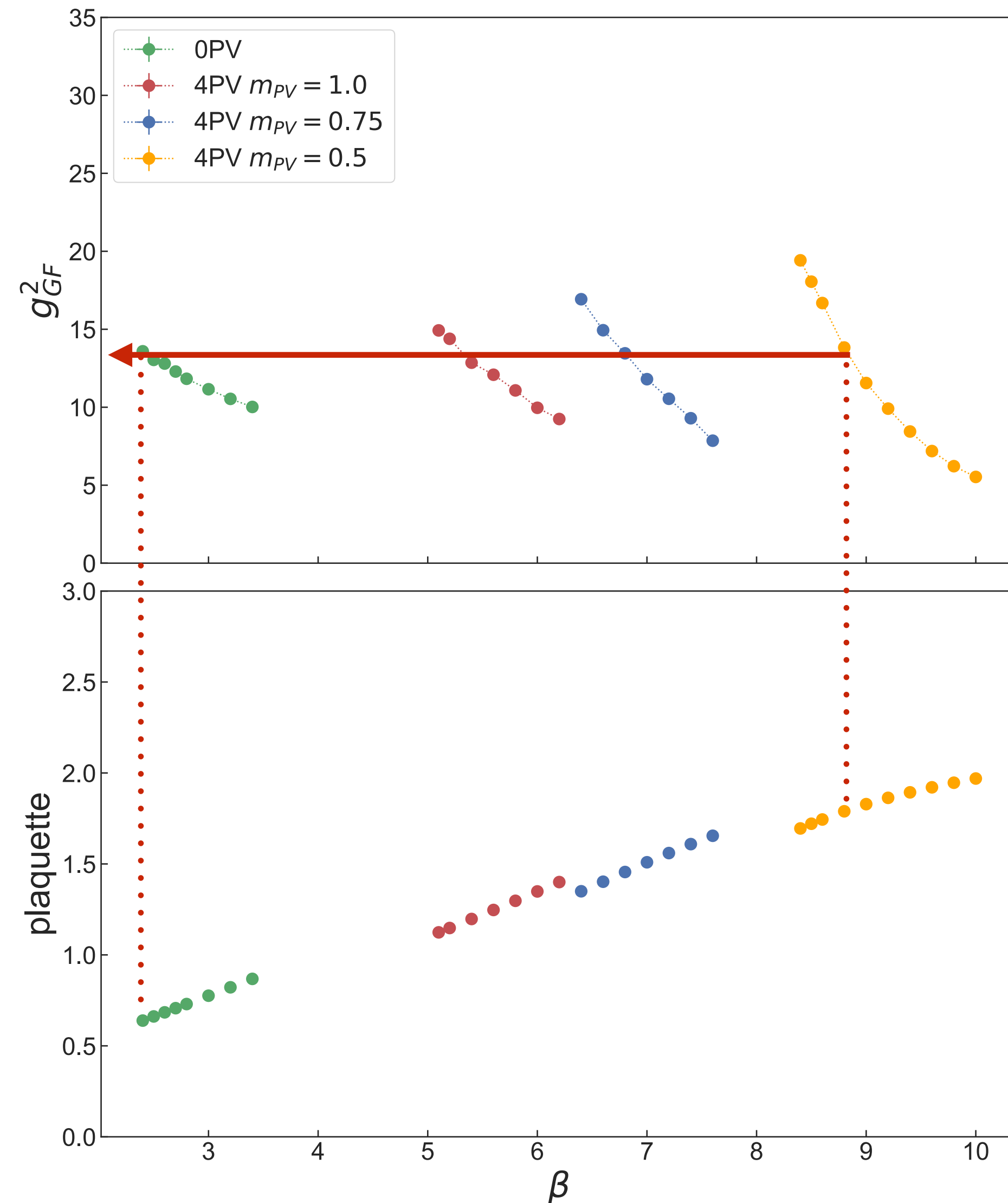
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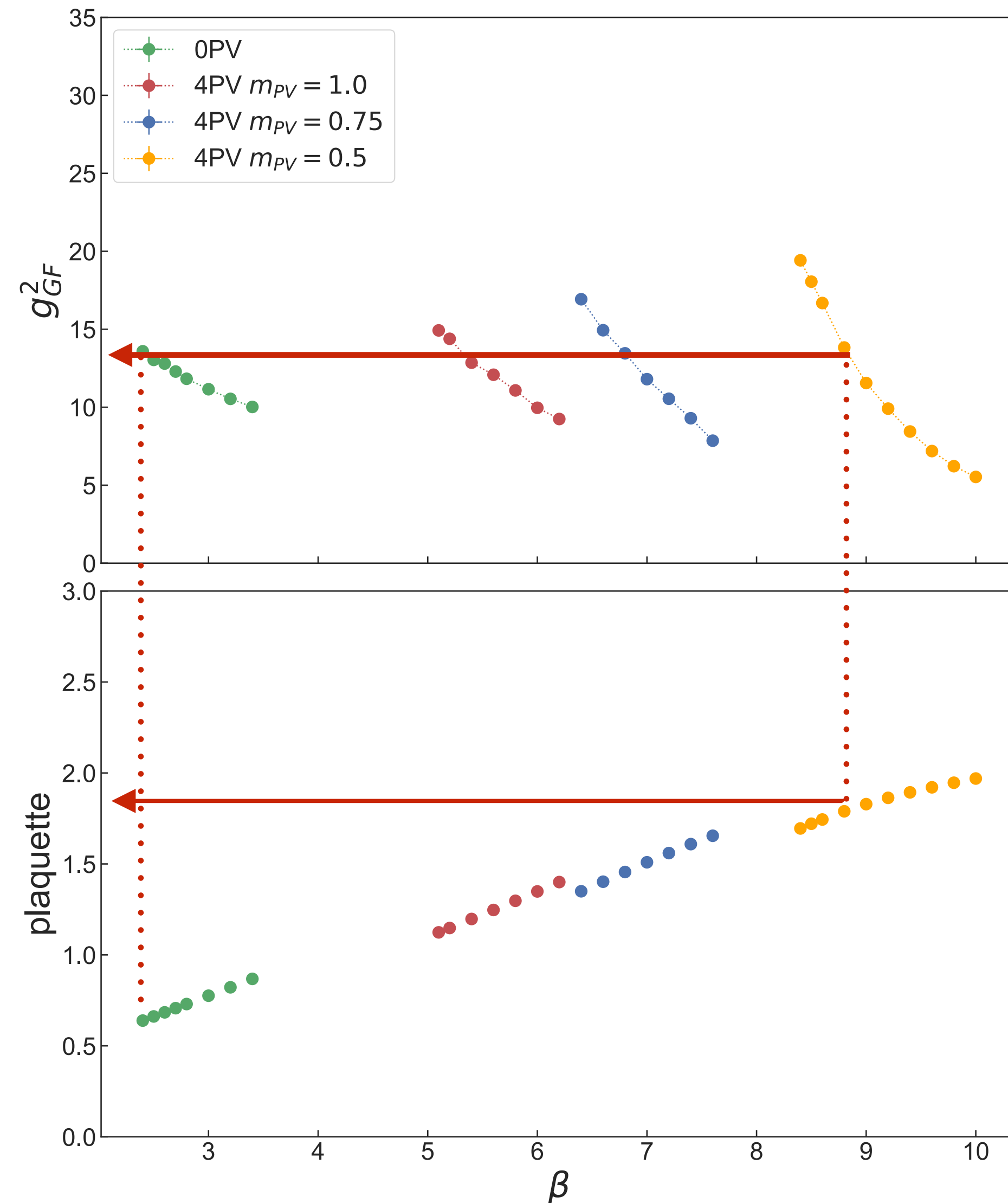
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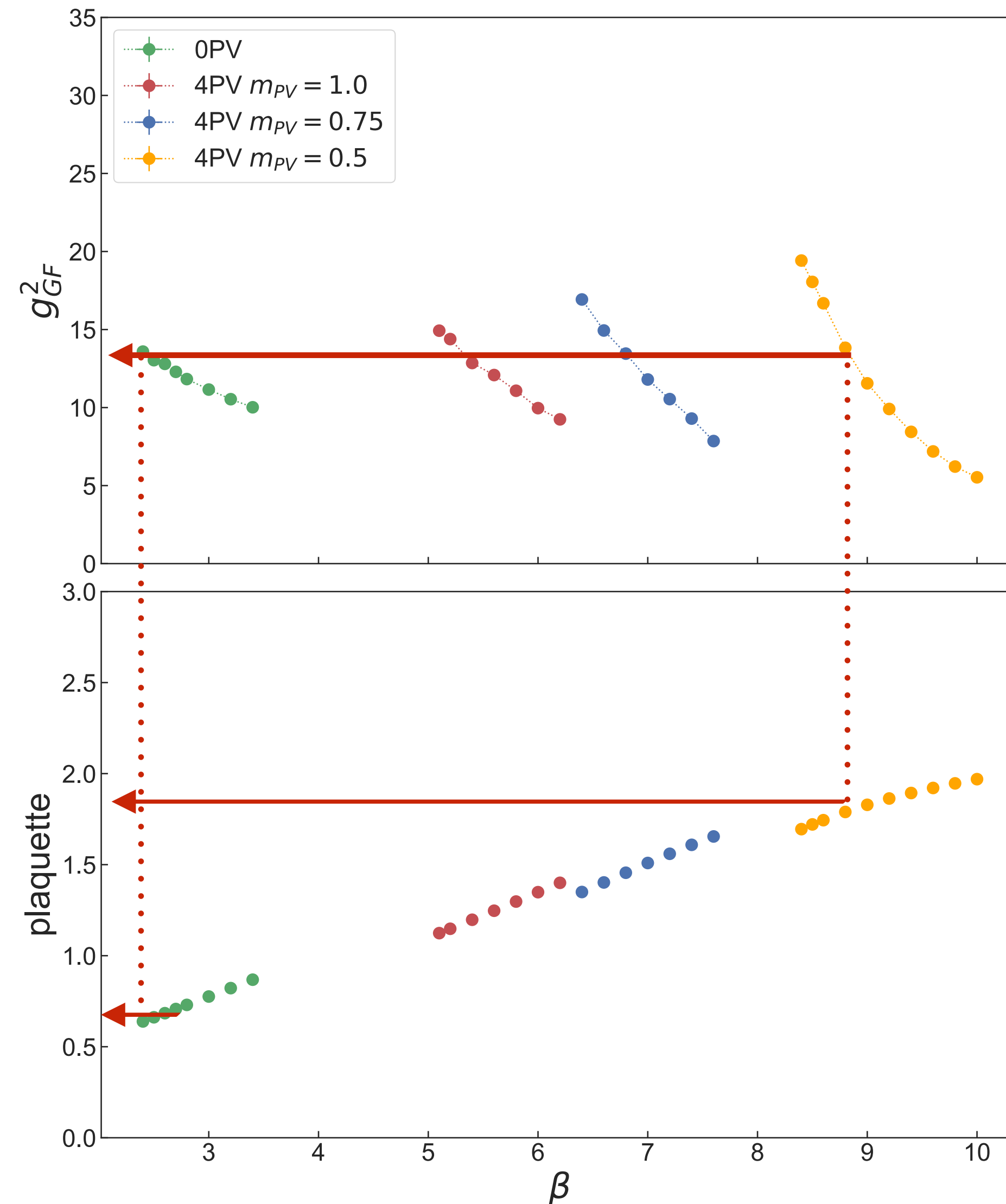
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# RG Method: Gradient flow

Luscher *JHEP* 08 (2010) 071

Gradient flow (GF) is a continuous, invertible smoothing transformation

GF resembles RG block spin, but it is not an RG transformation

However

- in infinite volume
- for *local* operators

it can be *interpreted* as

continuous real space RG with  $\mu \propto 1/\sqrt{8t}$

- $g_{GF}^2 = \mathcal{N} t^2 \langle E(t) \rangle \implies \beta_{GF}(a; g_{GF}^2) = -t \frac{dg_{GF}^2(a; t)}{dt}$
- $\mathcal{O} = \bar{\psi}(x)\Gamma\psi(x)$  or  $G_{\mathcal{O}}(x_4, t) = \langle \mathcal{O}(\bar{p} = 0, x_4; \mathbf{t}) \mathcal{O}(\bar{p} = 0, 0; \mathbf{t} = \mathbf{0}) \rangle,$   
 $\implies t \frac{d \log G_{\mathcal{O}}(t, x_4)}{dt} = d_{\mathcal{O}} + \gamma_{\mathcal{O}}(t) + \eta_{\psi}(t)$
- remove  $\eta_{\psi}$  by dividing with the vector correlator

A. Carosso, AH, E. Neil,  
PRL 121,201601 (2018)

Sonoda, H., Suzuki,  
H. PTEP,023B05 (2021)

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# The continuous $\beta$ function (CBF)

GF renormalized coupling:  $g_{GF}^2(t) = \mathcal{N}t^2\langle E(t)\rangle$

- $\langle E \rangle \propto (\square U - 1)$  or (Clover) etc RG  $\beta$  function :

$$\beta(g_{GF}) = -t \frac{dg_{GF}^2}{dt}$$

AH, O. Witzel, *Phys.Rev.D* 101 (2020) 3

Fodor et al, EPJ Web Conf. 175, 08027 (2018)

The RG picture is valid only

- in infinite volume limit : extrapolate in  $(a/L)^4 \rightarrow 0$  while  $\sqrt{8t} \ll L$
- in  $am_f = 0$  chiral limit : extrapolate  $am_f \rightarrow 0$  (only in confining regime)

*Continuum limit :*

- $t/a^2 \rightarrow \infty$  while keeping  $g_{GF}^2$  (or  $t$ ) fixed

Same approach as  $N_f = 0, 2$

AH, C. Peterson, O. Witzel, J. VanSickle  
*Phys.Rev.D* 108 (2023) 1



# Lattice results

Some recent results:

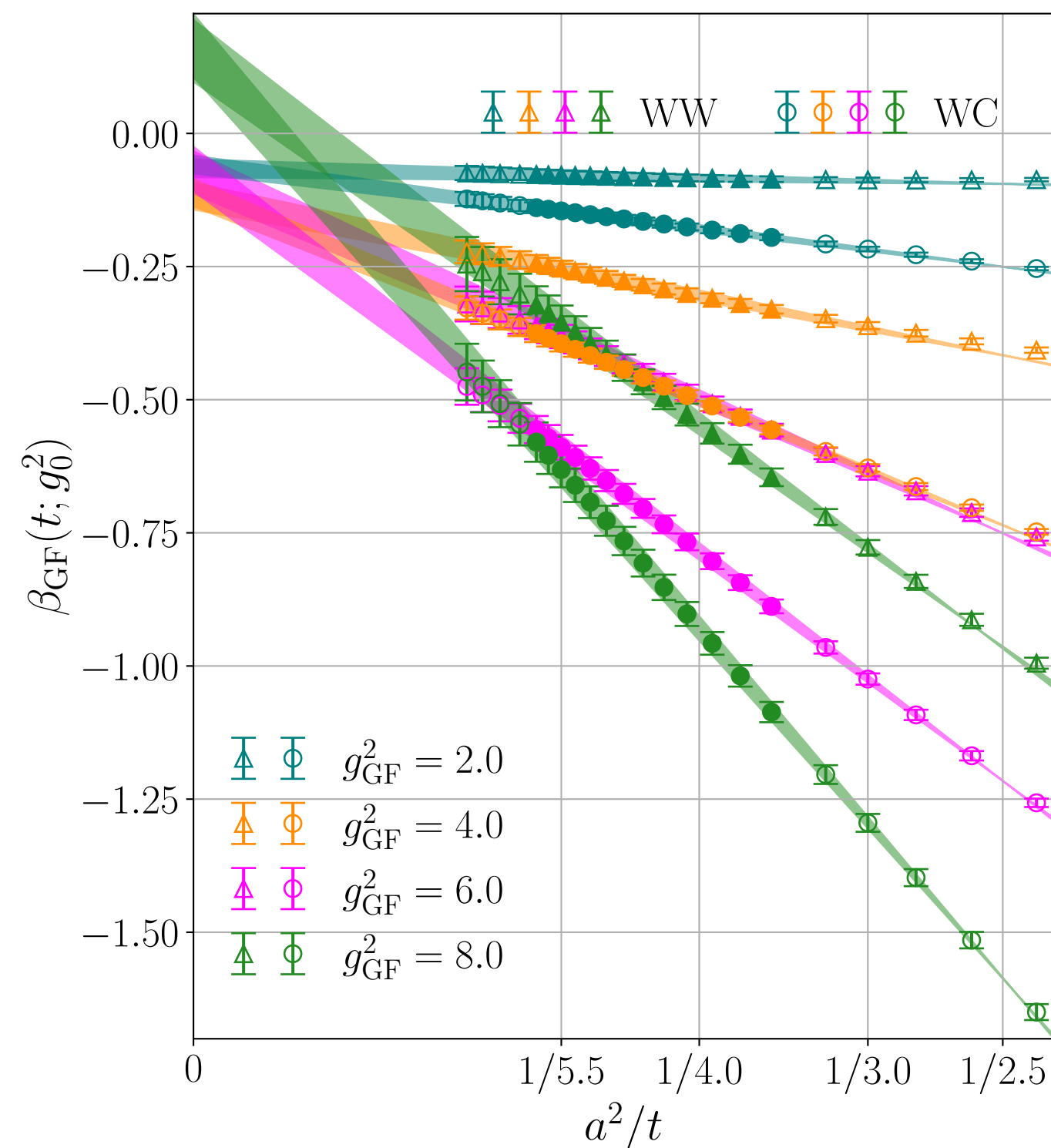
- SU(3)  $N_f = 12$  fundamental flavors with staggered fermions -  $\beta$  function
- SU(3)  $N_f = 10$  fundamental flavors with Wilson fermions -  $\beta$  and  $\gamma_m$  functions
- SU(4) 4+4 sextet+fundamental flavors, Wilson fermions -  $\beta$  and  $\gamma_m$  and  $\gamma_{chimera}$
- SU(3)  $N_f = 8$  fundamental flavors with staggered fermions -
- could that be the opening of the conformal window?



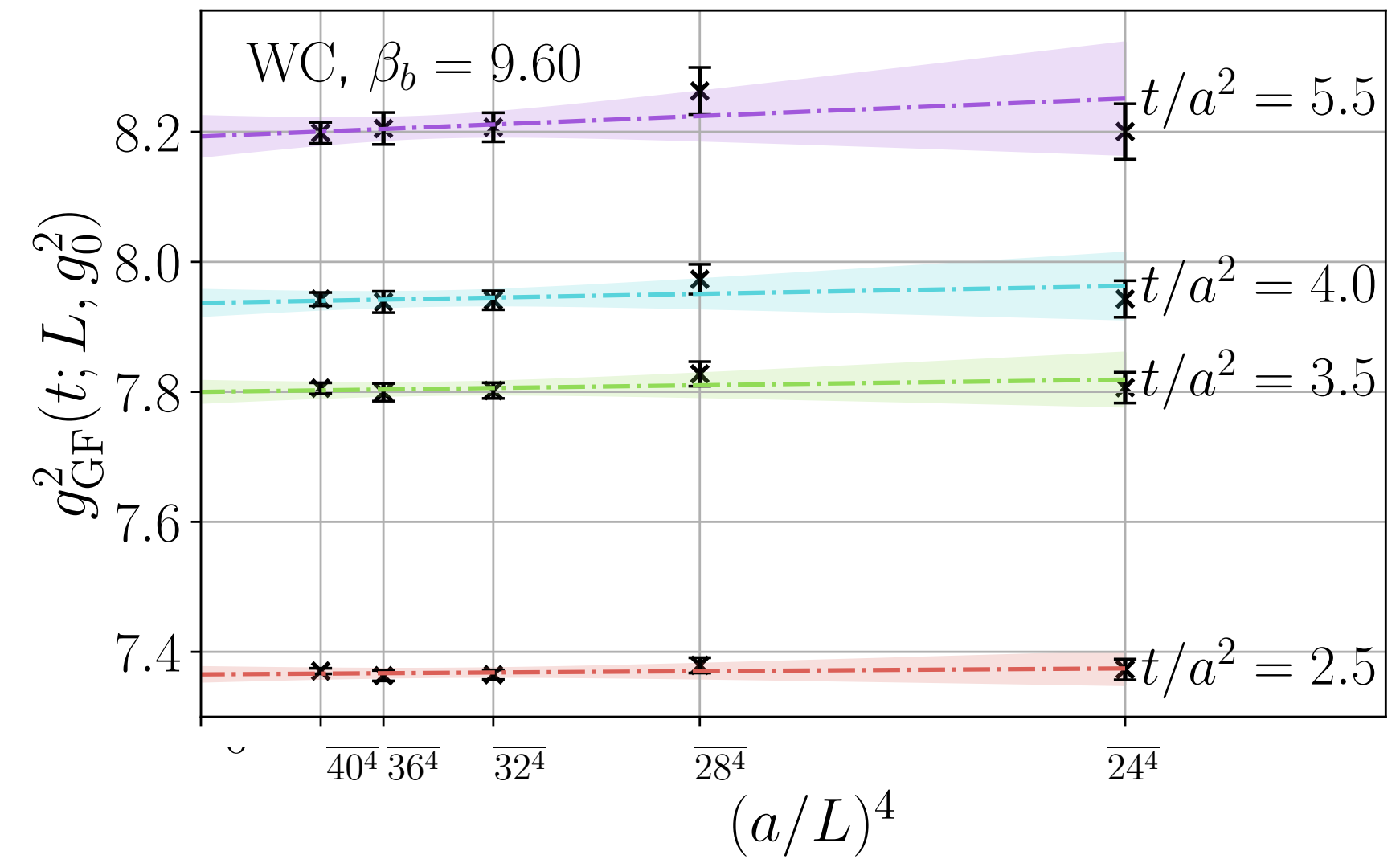
# $N_f = 12$ fundamental flavors

A.H., C. Peterson, in preparation

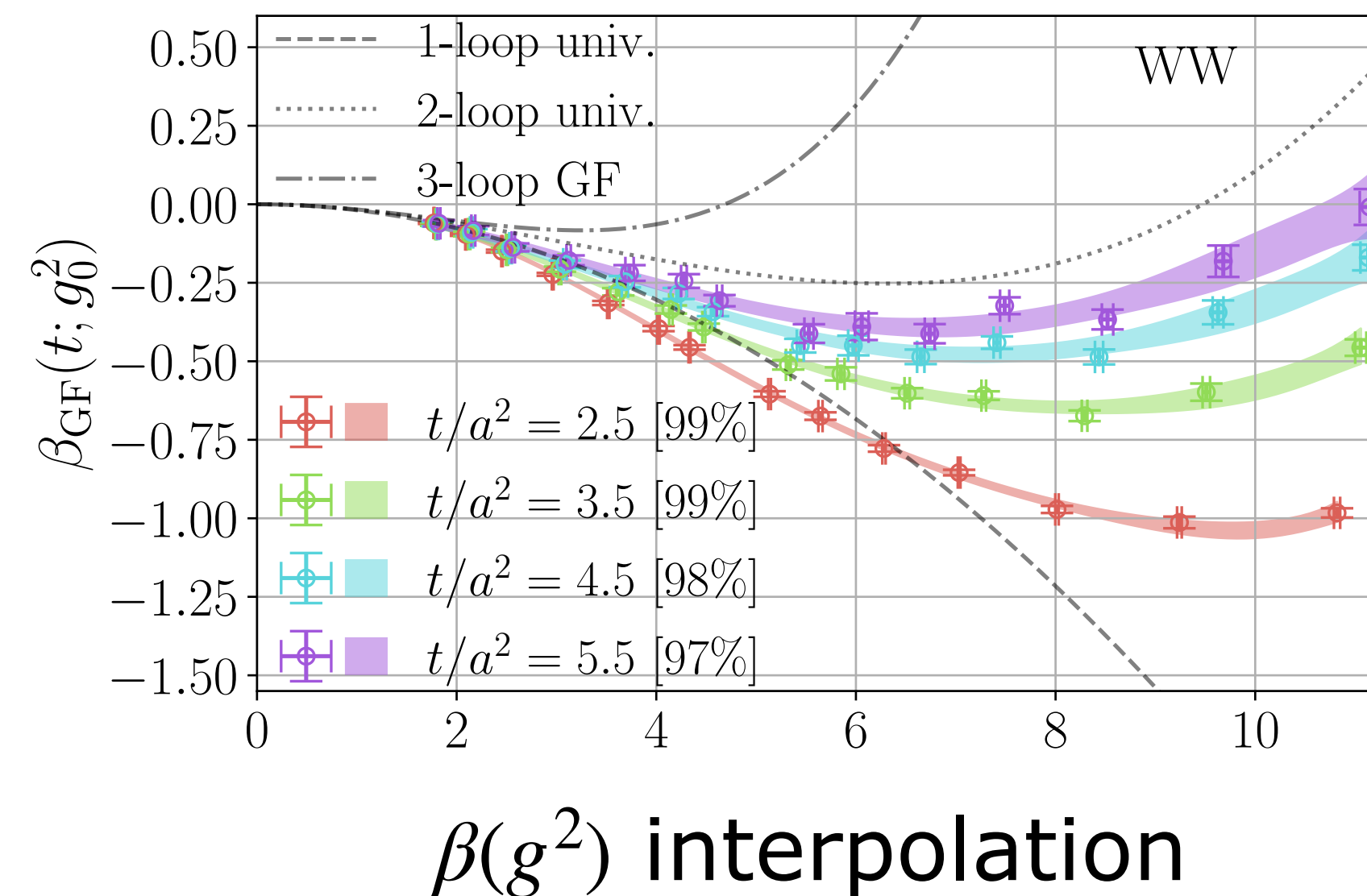
New simulations with PV action :  
 small cutoff effects  
 stable extrapolations



$a^2/t \rightarrow 0$  continuum limit



Volume up to  $L=40$ ; mild dependence

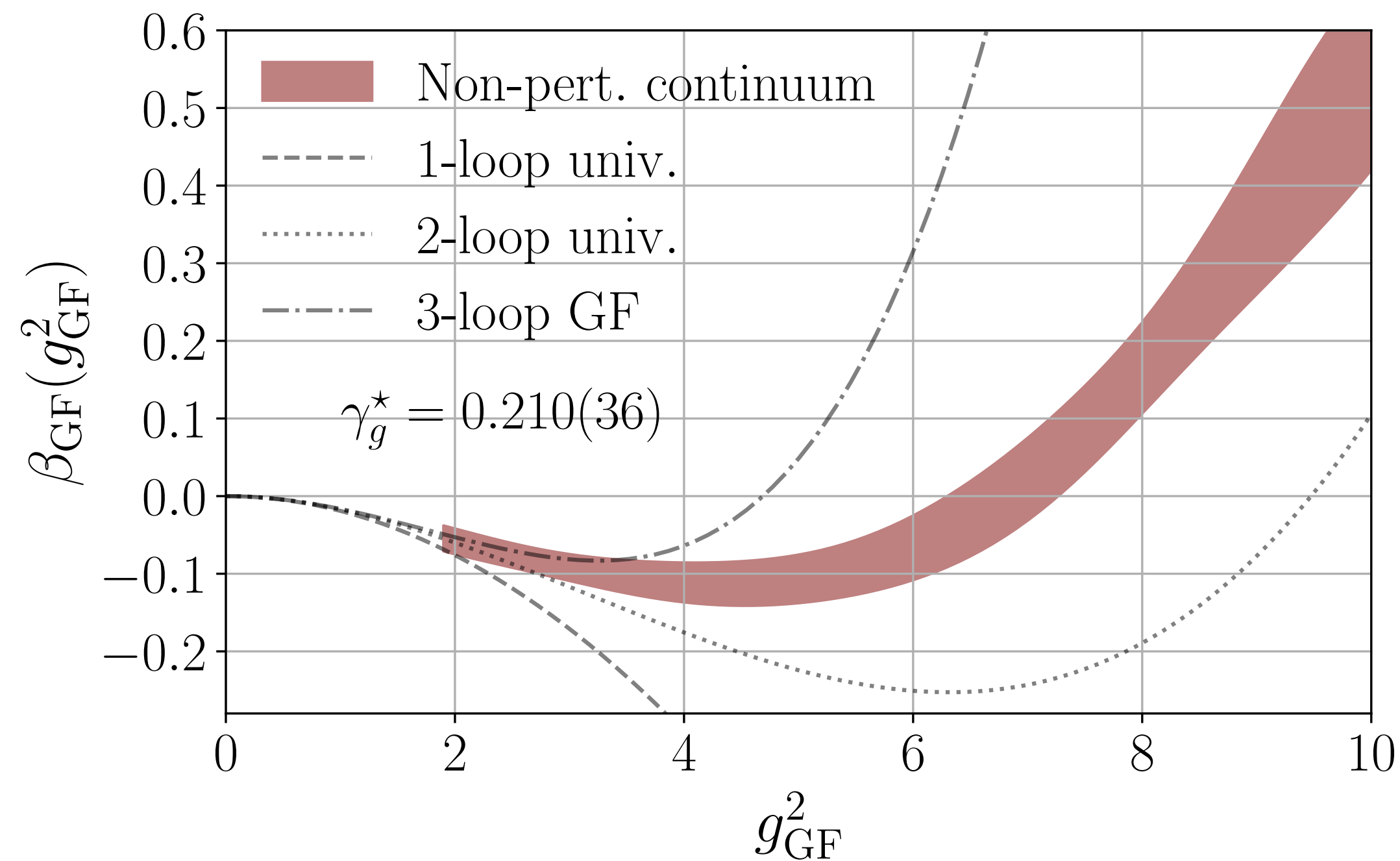


# $N_f = 12$ fundamental flavors (staggered)

A.H., C. Peterson, in preparation

New simulations with PV action :

- weak coupling matches 2-loop/3-loop GF prediction
- stable IRFP consistent with old (no PV, step scaling) result
- slope  $\gamma_{IRFP}^* = 0.210(36)$  is consistent, with old result, close to perturbative prediction



$g_{IRFP}^2 \approx 7$  : not even strongly coupled

Interpret it

either:

- PV action has the same IR as no PV

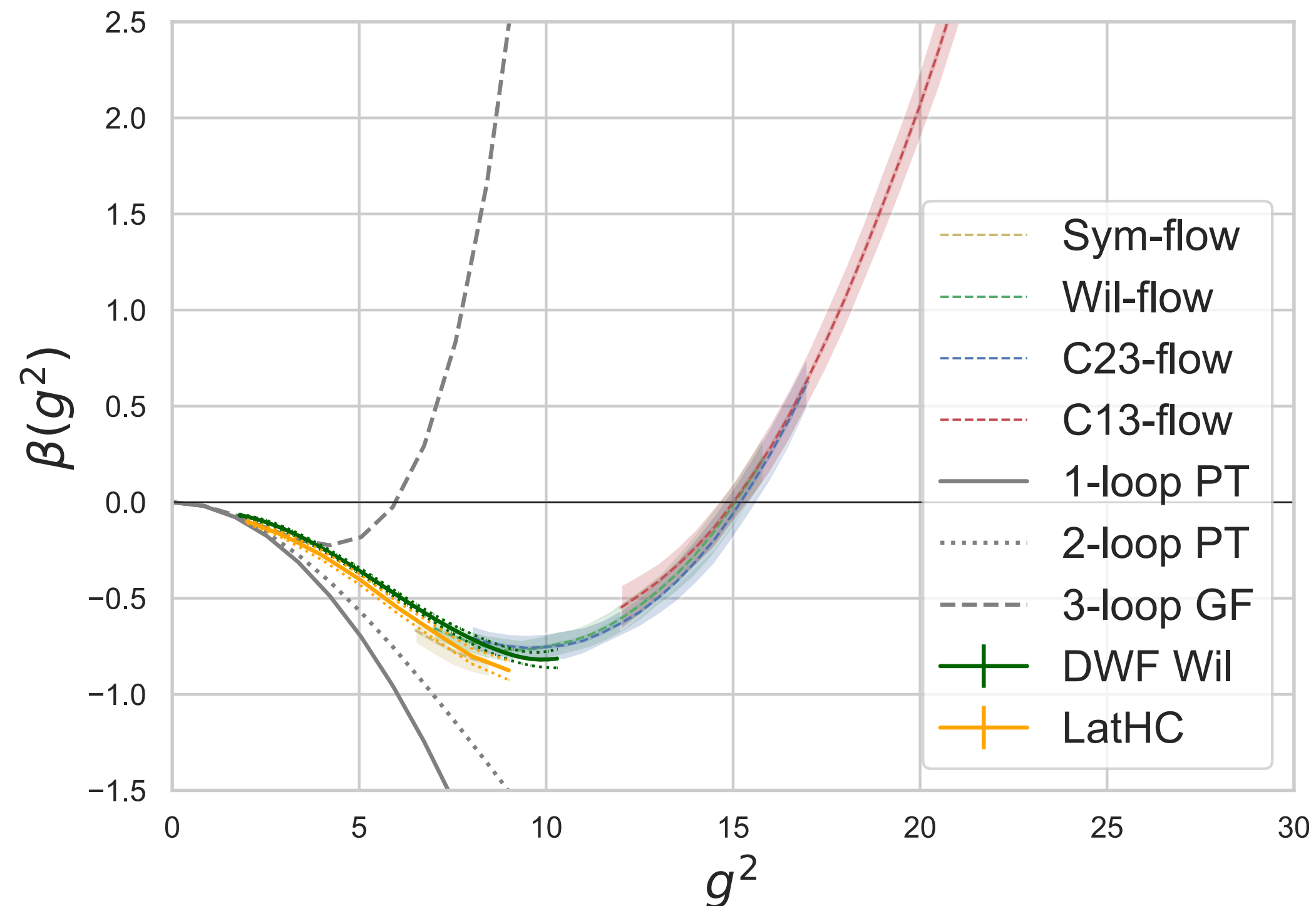
or:

- old simulations and analysis were correct

A.H., D. Schaich, *JHEP* 02 (2018) 132

# $N_f = 10$ fundamental flavors (Wilson fermions)

A.H.,Neil, Shamir, Svetitsky, Witzel,  
*Phys.Rev.D* 108 (2023) 7



**Prior** simulations:

- staggered (LatHC)
- domain wall (Boulder)

limited to  $g^2 \lesssim 10$

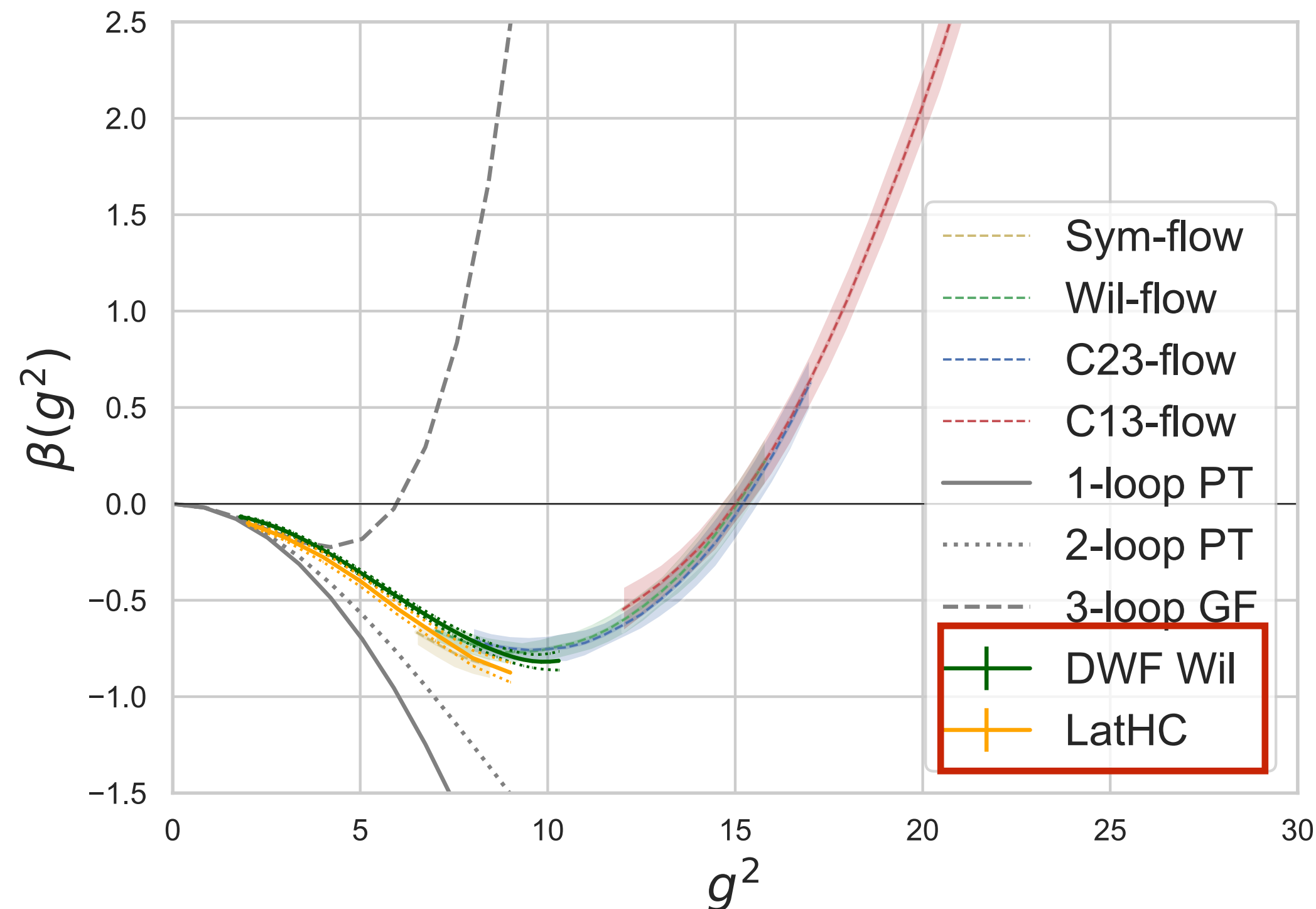
## New simulations

- add PV bosons : opens parameter space from  $g^2 \approx 10$  to  $g^2 \gtrsim 25$
- use several gradient flow actions: find RT close to simulation action (but Gaussian FP to IRFP is *universal*)

$g_{IRFP}^2 \approx 15$  : getting strongly coupled

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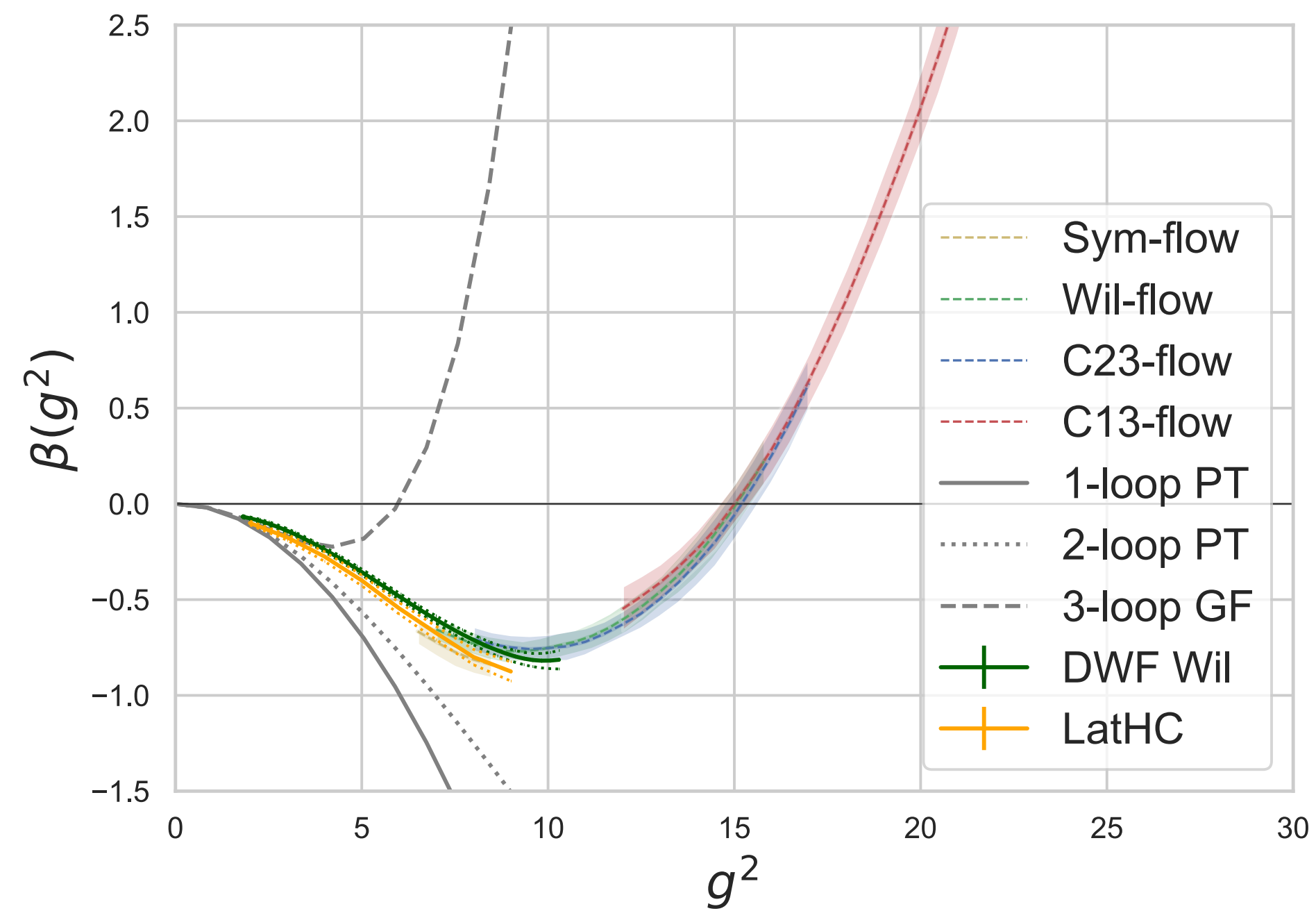
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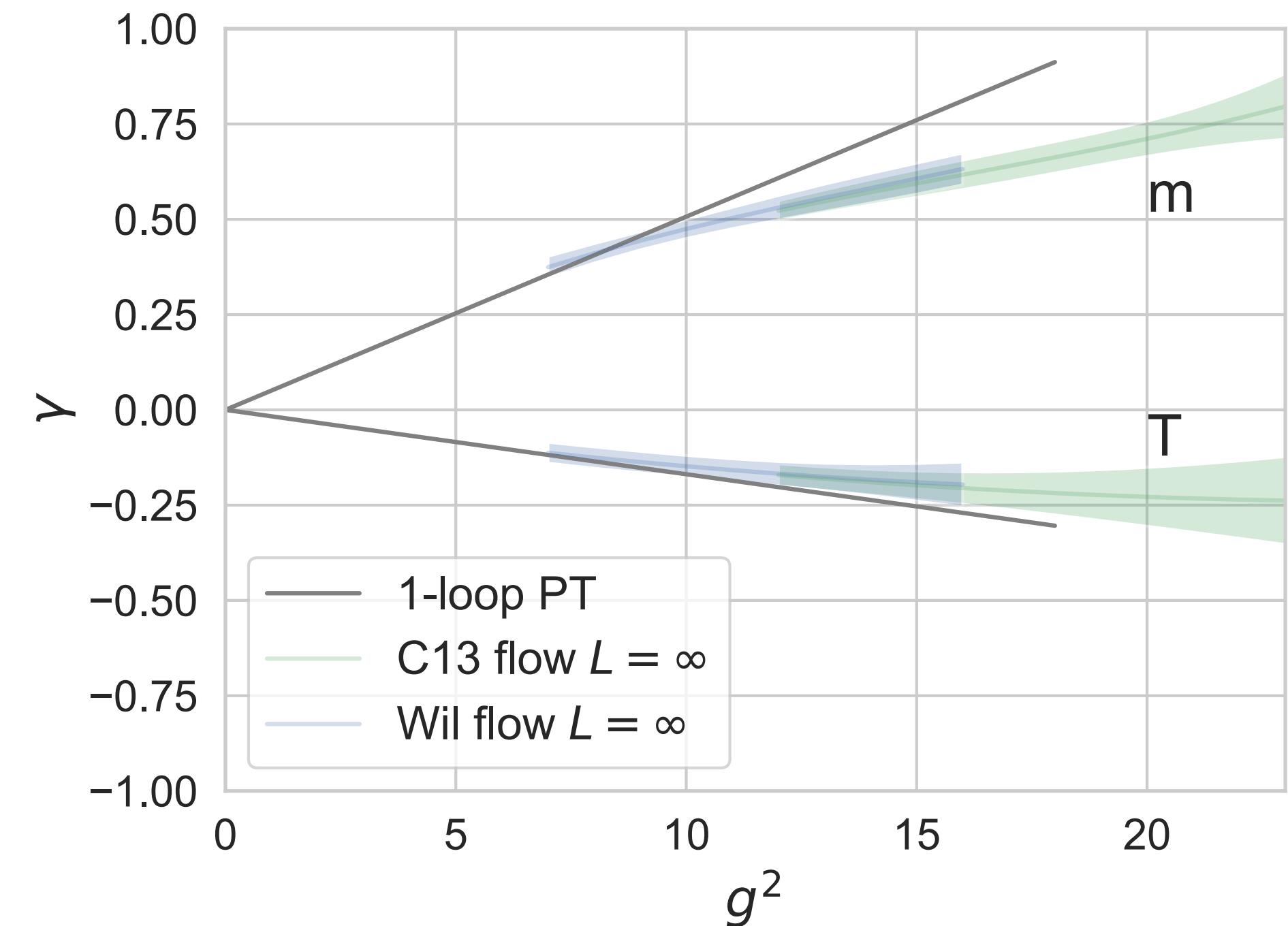
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# $N_f = 10$ fundamental flavors - anomalous

A.H.,Neil, Shamir, Svetitsky, Witzel,  
*Phys.Rev.D* 108 (2023) 7



IRFP at  $g^2 \simeq 15$

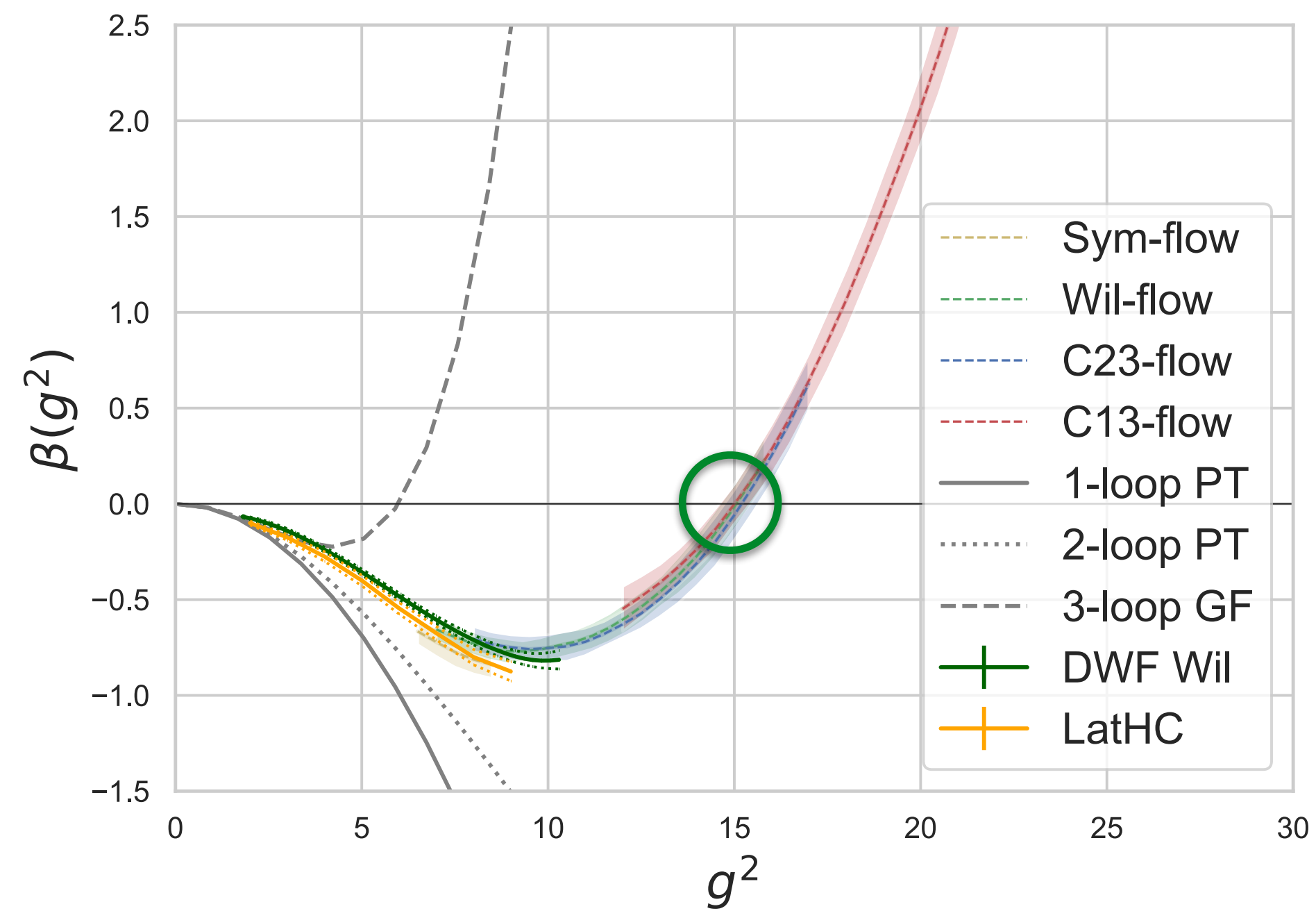


Anomalous dimension  $\gamma_m^* \simeq 0.60$   
(not even close to the conformal sill)

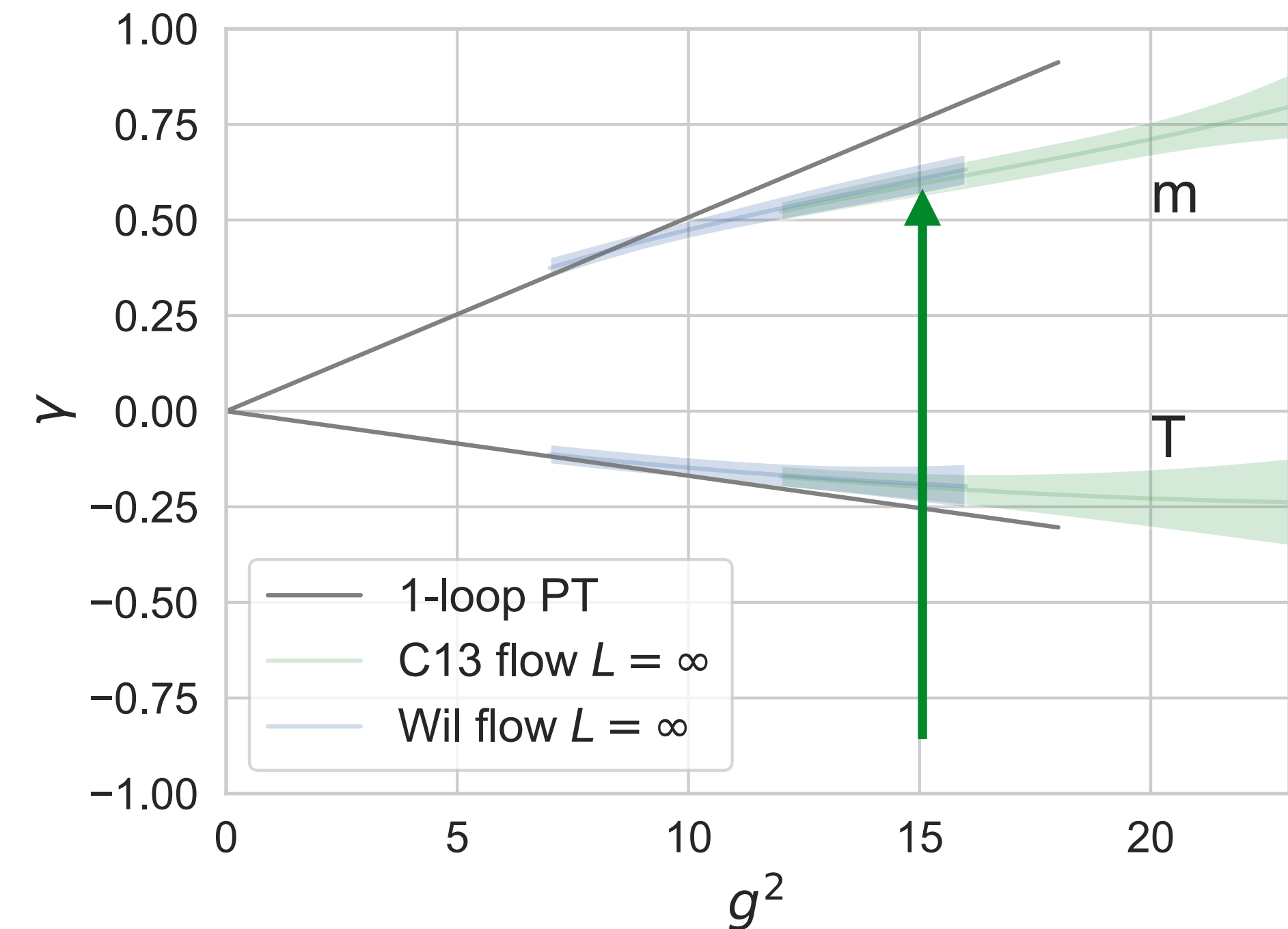


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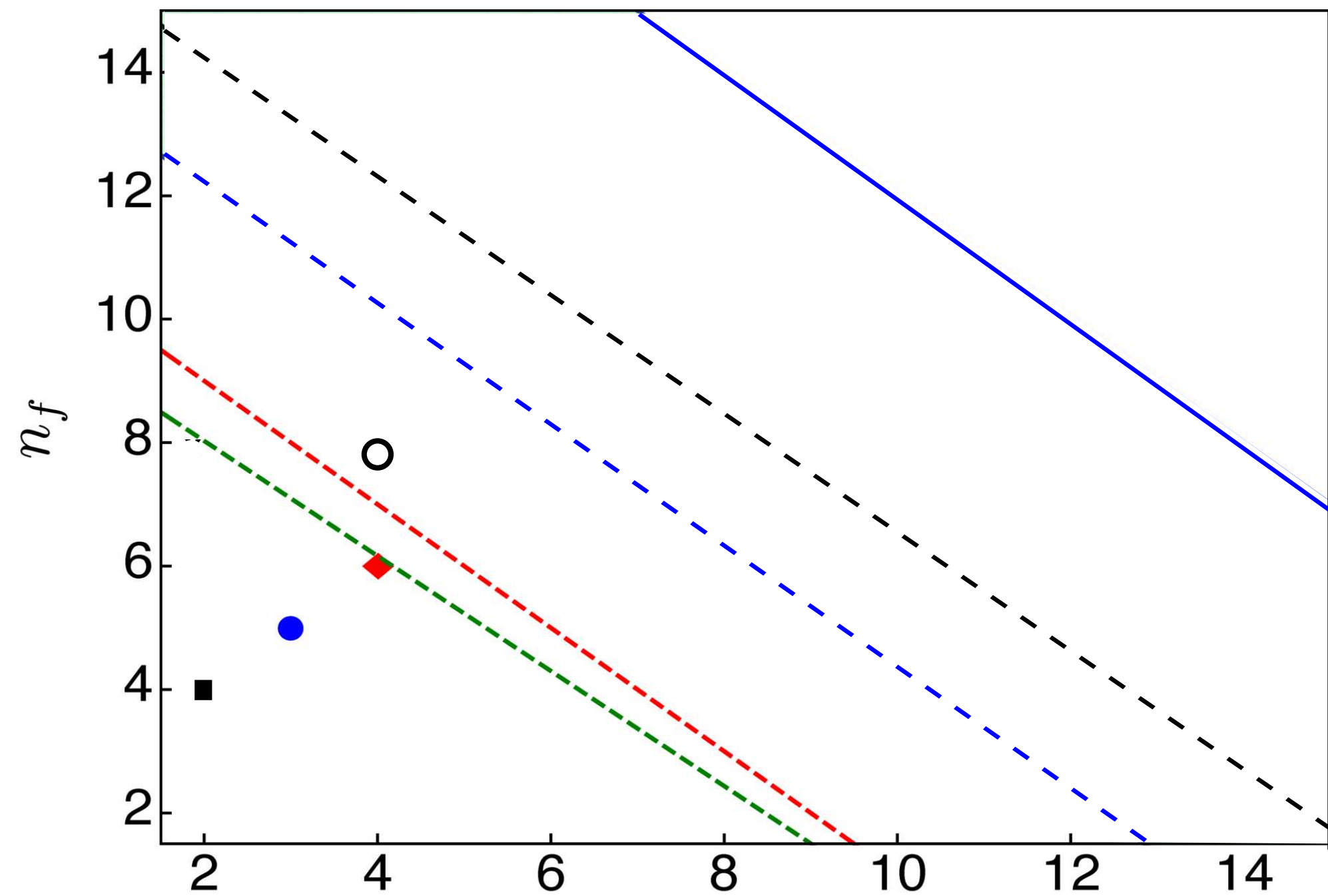
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# Composite Higgs+Partial composite top in $SU(4)$ 2-rep model

A.H.,Neil, Shamir, Svetitsky, Witzel,  
*Phys.Rev.D* 107 (2023) 11, 114504

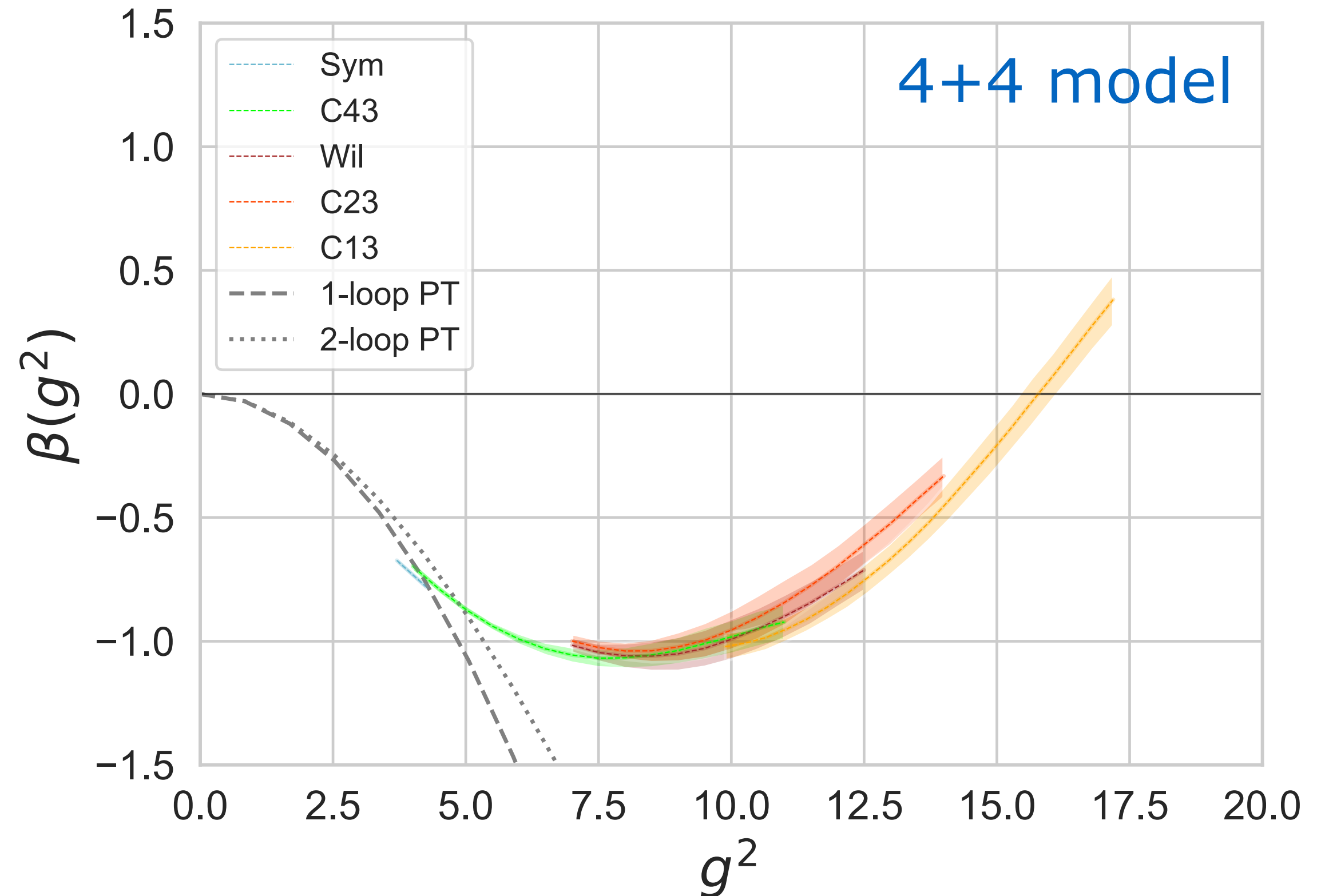


Theory space:  $N_f$

sextet (composite Higgs)  
+fundamental( chimera baryon)

black square: 2+2 model :old

open circle: 4+4 model : new

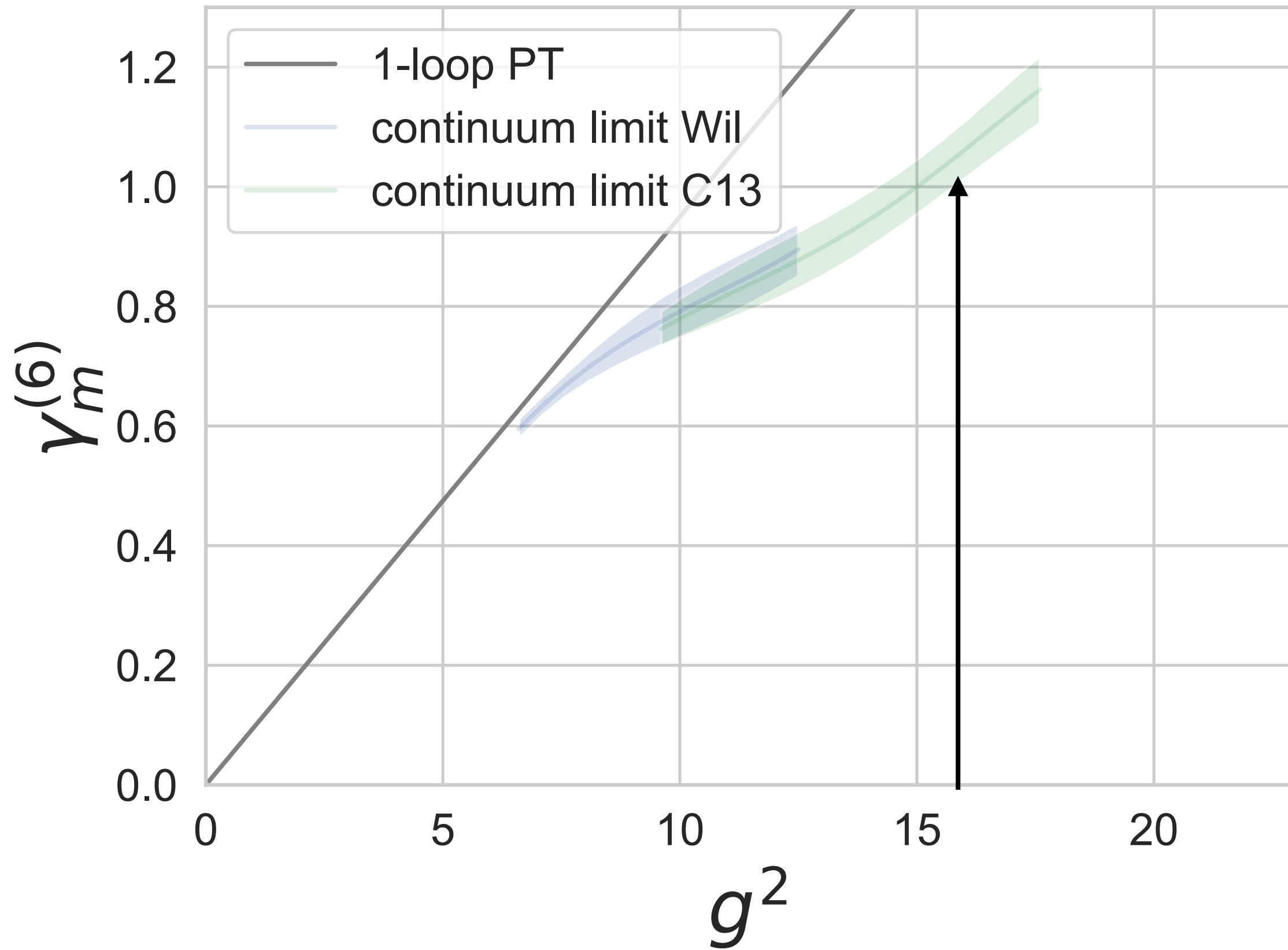


Simulations: Wilson fermions +  
PV boson and several GF action

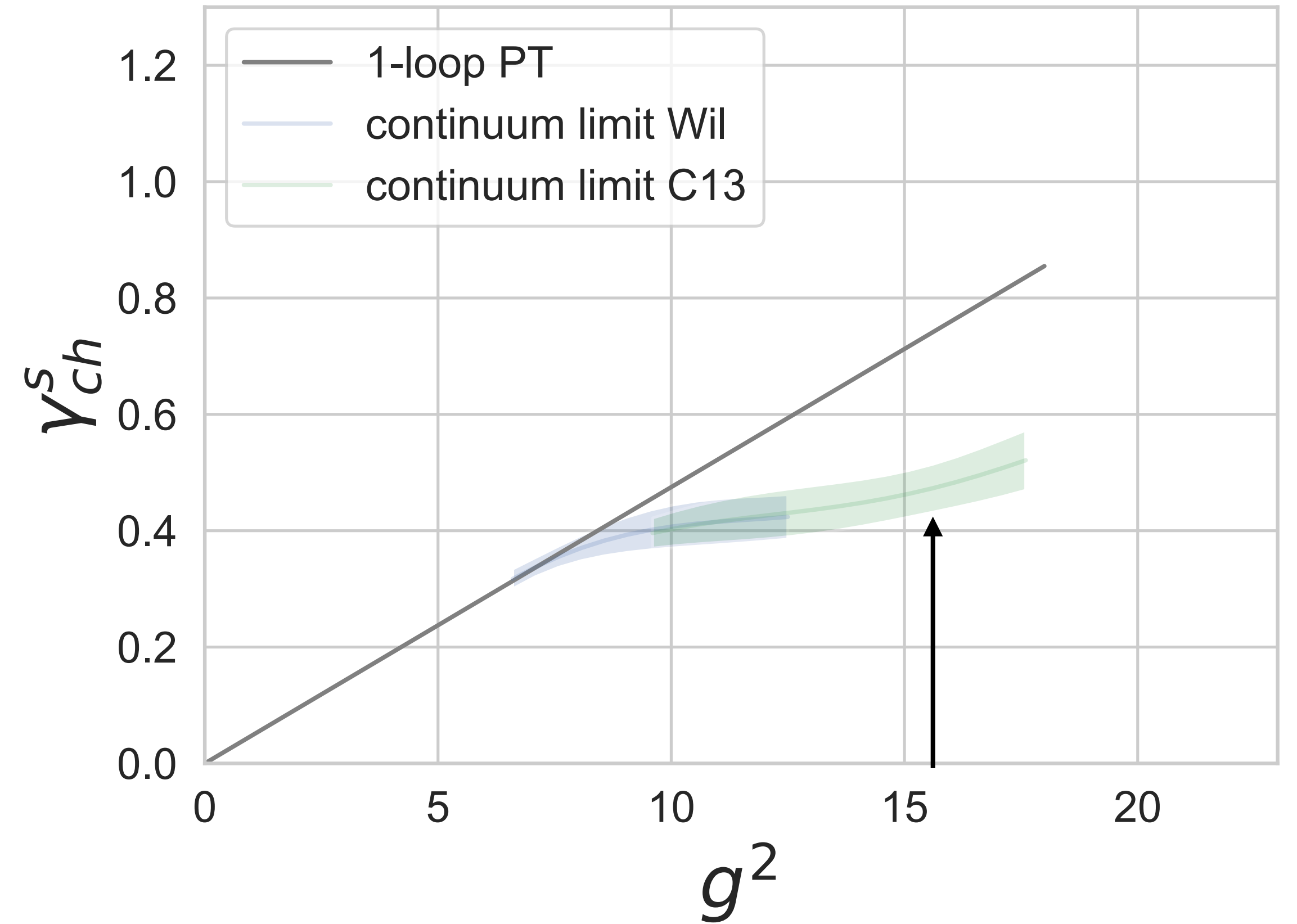
IRFP at  $g^2 \simeq 16$

# Composite Higgs+Partial composite top in a 2-rep model

A.H.,Neil, Shamir, Svetitsky, Witzel,  
*Phys.Rev.D* 107 (2023) 11, 114504



**Mass anomalous dimension:**  
not far from the conformal sill



**Chimera anomalous dimension:**  
but partial compositeness is not supported

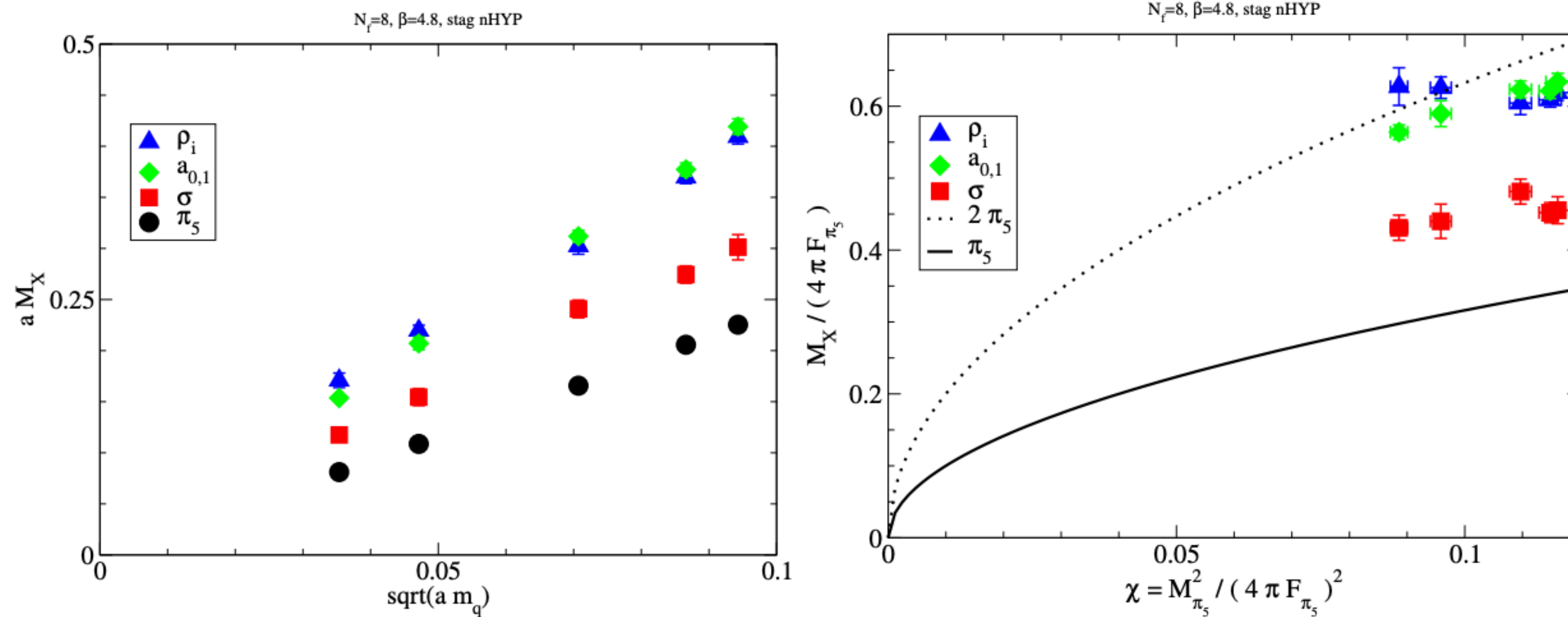


# $N_f = 8$ fundamental - staggered fermions

A.H. PRD 106 (2022) 014513

Despite of “common knowledge” (belief?), there is no evidence that SU(3) with 8 fundamental fermions is chirally broken

LSD Collaboration  
e-Print: 2306.06095,  
*Phys.Rev.D* 108 (2023) 9



Compare to Maurizio's plot yesterday

FIG. 11. Two different presentations of the spectrum from Tab. IX. On the left, in units of the lattice spacing  $a$  vs. a chiral expansion parameter assuming conformal symmetry and  $\gamma^* \approx 1$ . On the right, in units of the chiral breaking scale  $4\pi \hat{F}_{\pi_5}$  vs. a chiral expansion parameter assuming spontaneous chiral symmetry breaking. The dotted line on the right indicates the energy threshold for decays to two pions.

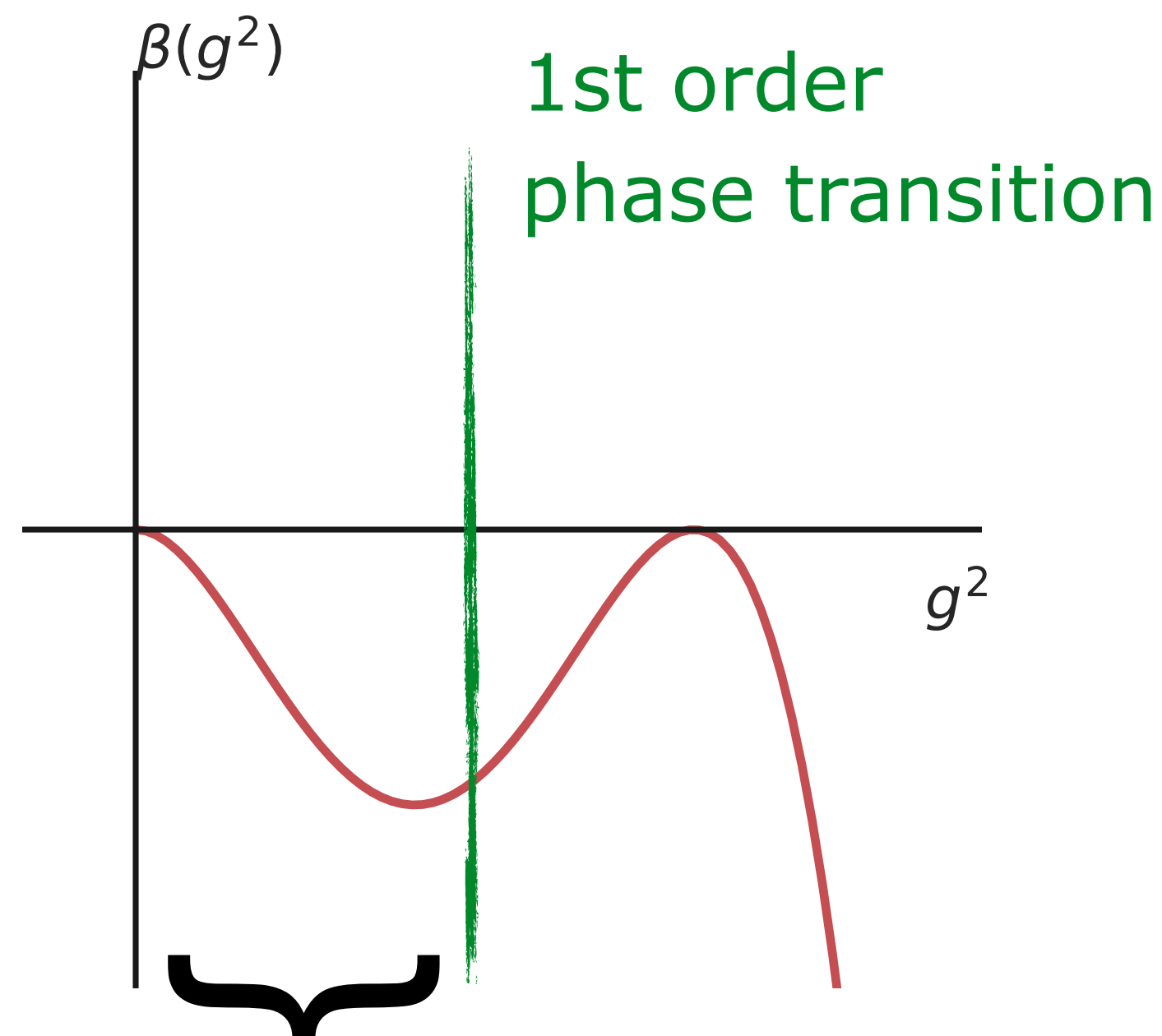
# $N_f = 8$ fundamental - staggered fermions

A.H. PRD 106 (2022) 014513

Despite of “common knowledge” (belief?), there is no evidence that SU(3) with 8 fundamental fermions is chirally broken

LSD Collaboration  
e-Print: 2306.06095,  
*Phys.Rev.D* 108 (2023) 9

Most simulations are limited by a lattice first-order bulk transition



Simulations probe only weak coupling regime  
Properties of IRFP/walking is not observable

# $N_f = 8$ fundamental - staggered fermions

A.H. PRD 106 (2022) 014513

Despite of “common knowledge” (belief?), there is no evidence that SU(3) with 8 fundamental fermions is chirally broken

LSD Collaboration  
e-Print: 2306.06095,  
*Phys.Rev.D* 108 (2023) 9

Most simulations are limited by a lattice first-order bulk transition  
PV improved actions reach stronger couplings and show a different picture

$N_f = 8$  with staggered fermions (Dirac-Kaehler!) is special:

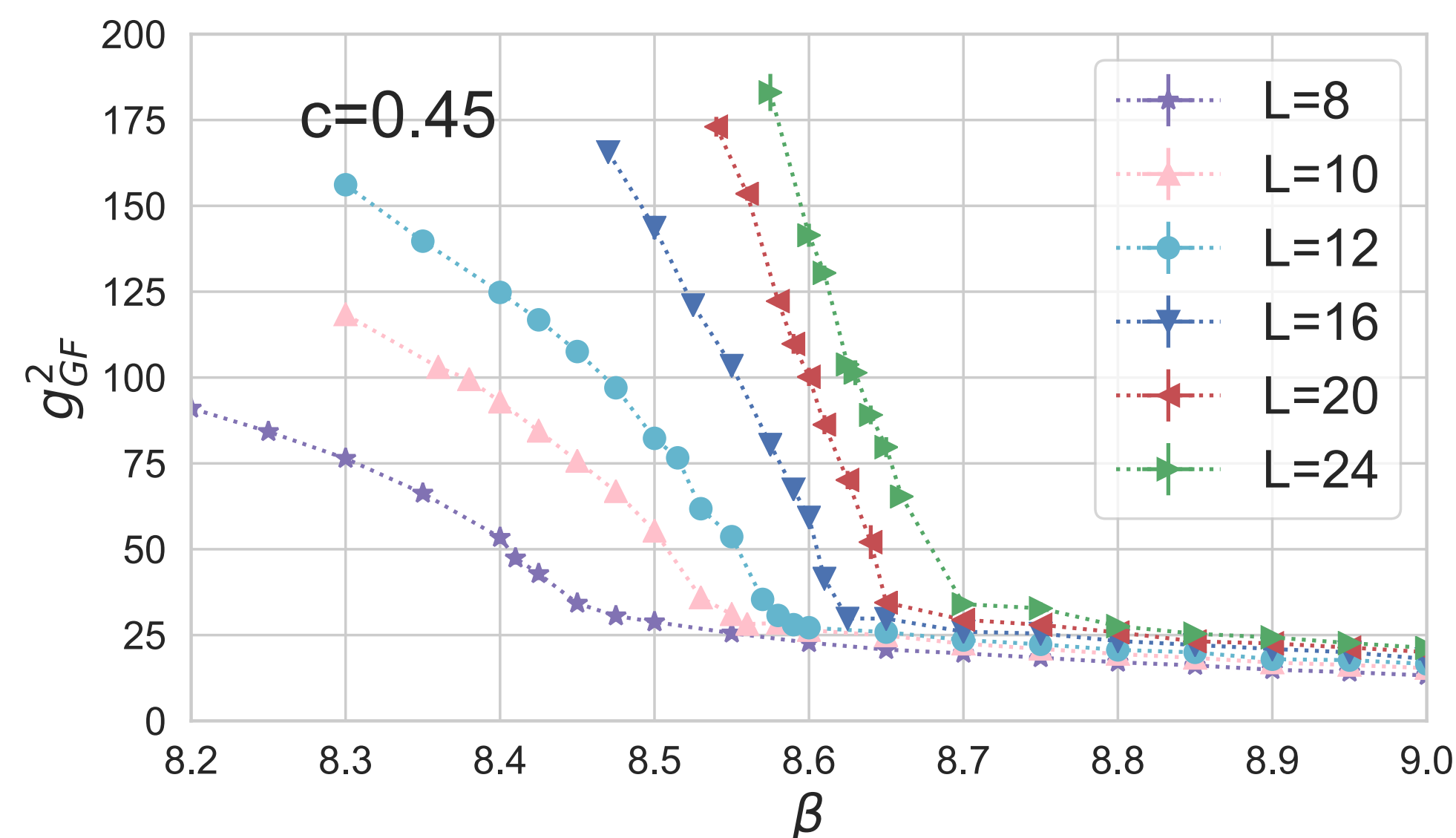
- free of all 't Hooft anomalies
- does not have to satisfy anomaly matching
  - > no spontaneous chiral symmetry breaking necessary

Catterall et al PRD104,014503 (2021)  
Catterall PRD107,014501 (2022)  
Catterall [2311.02487](#)  
(D. Tong in continuum+ lots of stat. mech)

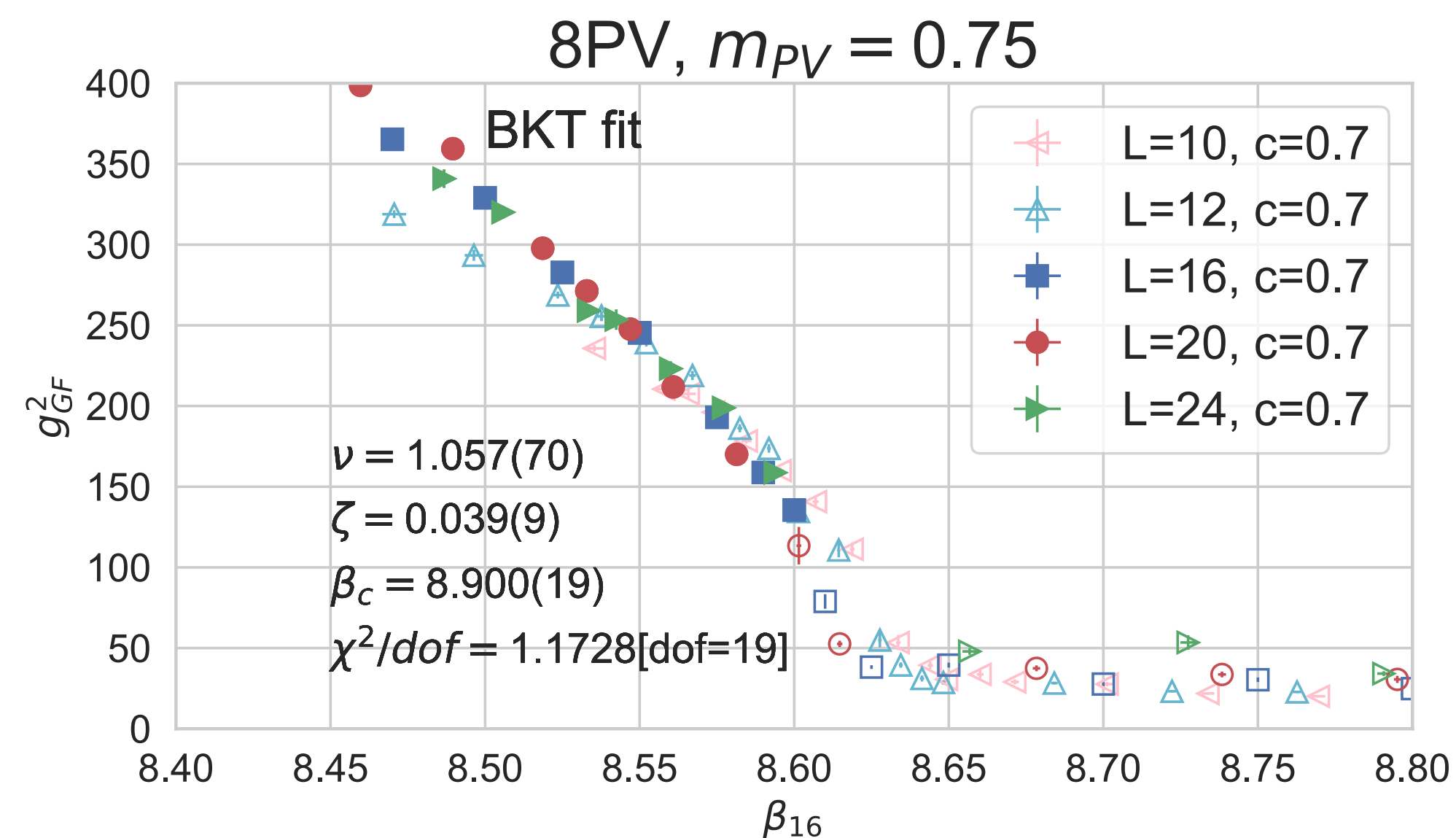
# $N_f = 8$ : order of phase transition

A.H. PRD 106 (2022) 014513

- Simulations with improved gauge action show a phase transition with 8 flavors
- **Finite size scaling** from strong coupling might suggest **BKT\*** transition:  $\xi \propto e^{-\zeta(\beta-\beta_c)^{-\nu}}$



renormalized coupling at  $\mu = c/L$



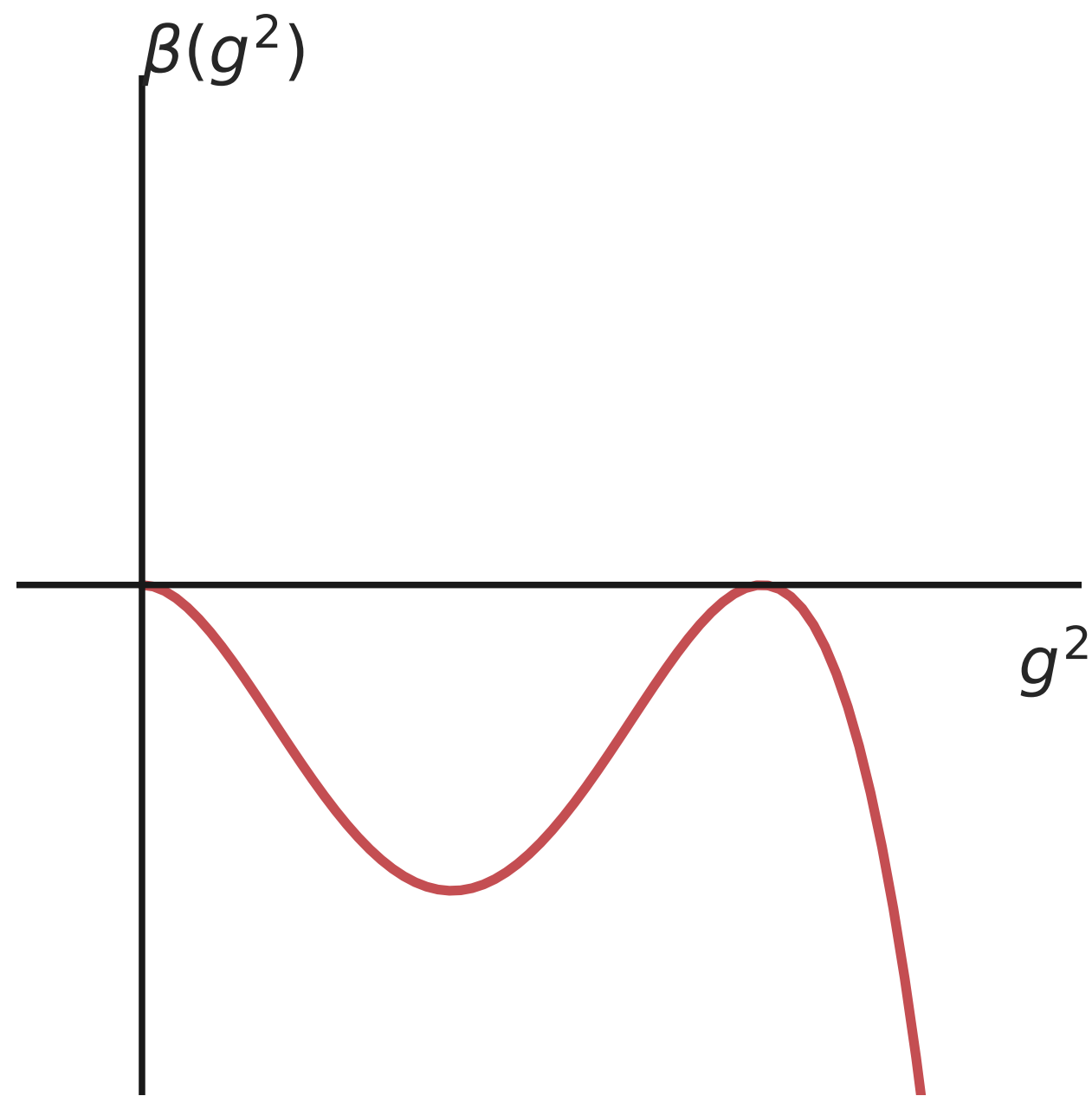
**Finite size scaling**/curve collapse of renormalized coupling

\*Berezinsky, Kosterlitz, Thouless

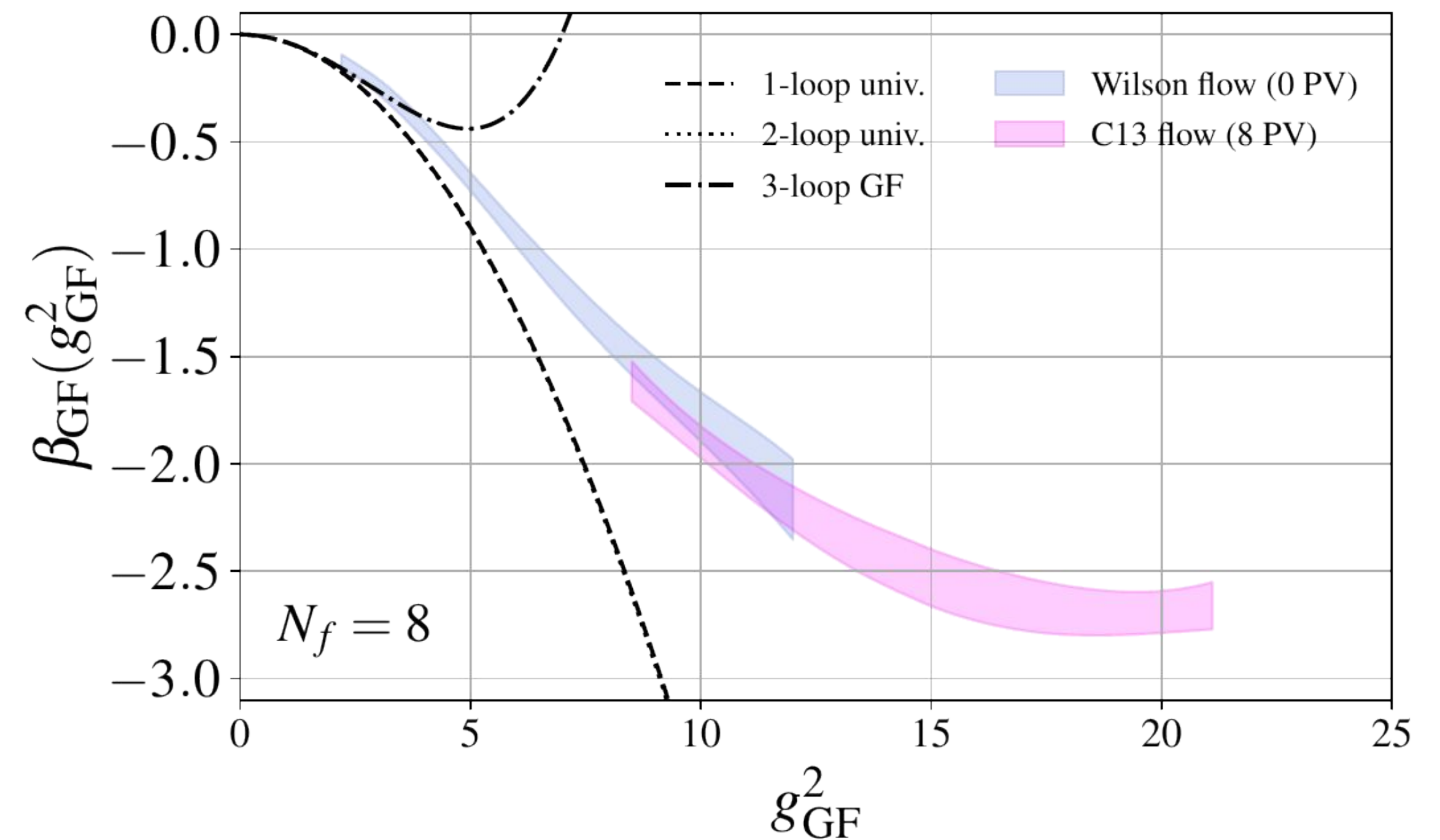
# $N_f = 8$ : $\beta$ function

A.H., C. Peterson, in prep

If the phase transition is BKT, this could indicate the opening of the conformal window



If at the sill



Preliminary numerical result  
(blue: no PV)

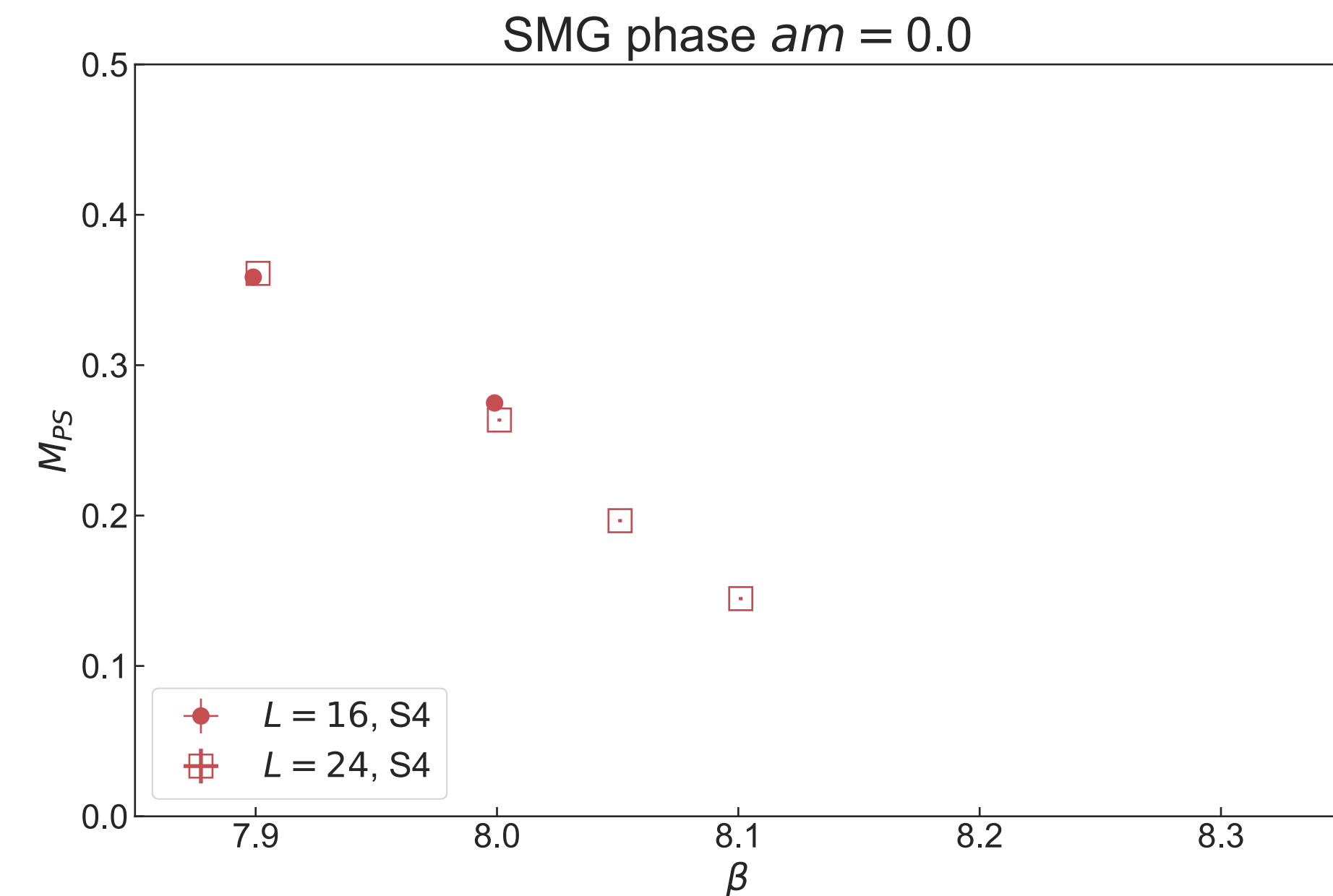
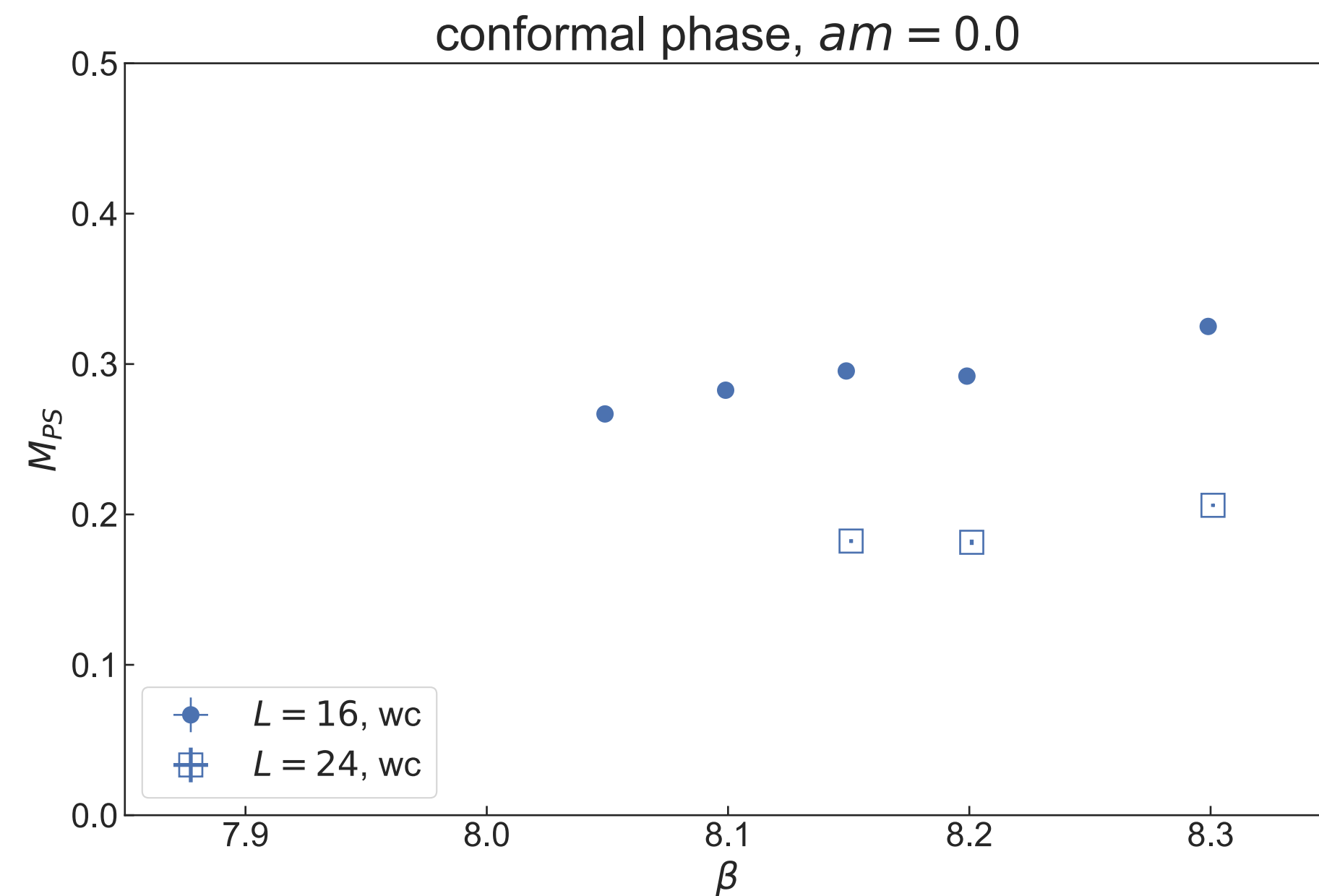


# $N_f = 8$ : spectrum

Two phases: weak coupling: conformal  
strong coupling: chirally symmetric but gapped

Cheng et al *Phys.Rev.D* 85 (2012)  
A.H. PRD 106 (2022) 014513

pseudo scalar mass at  $m_f = 0$ :



Weak coupling:  $M_H \propto 1/L \rightarrow 0$  as  $L \rightarrow \infty$   
(conformal)

SMG: Volume independent  
PS is massive even when  $L \rightarrow \infty$

# Symmetric mass generation

SMG is a new paradigm:

SMG phase is confining, gapped, but chirally symmetric

- spectrum is parity doubled
- possible only without 't Hooft anomalies
- $N_f = 8$  continuum or 2 sets of staggered fields are anomaly free - could be SMG

Ayyar, Chandrasekharan

PRD91,065035 (2015)

Catterall et al PRD104,014503 (2021)

Catterall PRD107,014501 (2022)

A.H. PRD 106 (2022) 014513

D. Tong, JHEP 007(2022)001

Wu, Young,



# Summary: Composite Higgs and (near-)conformal systems

Lattice simulations have come a long way:

- gauge action improvement: Pauli-Villars fields
- renormalization group  $\beta$  and  $\gamma$  functions paint a consistent picture

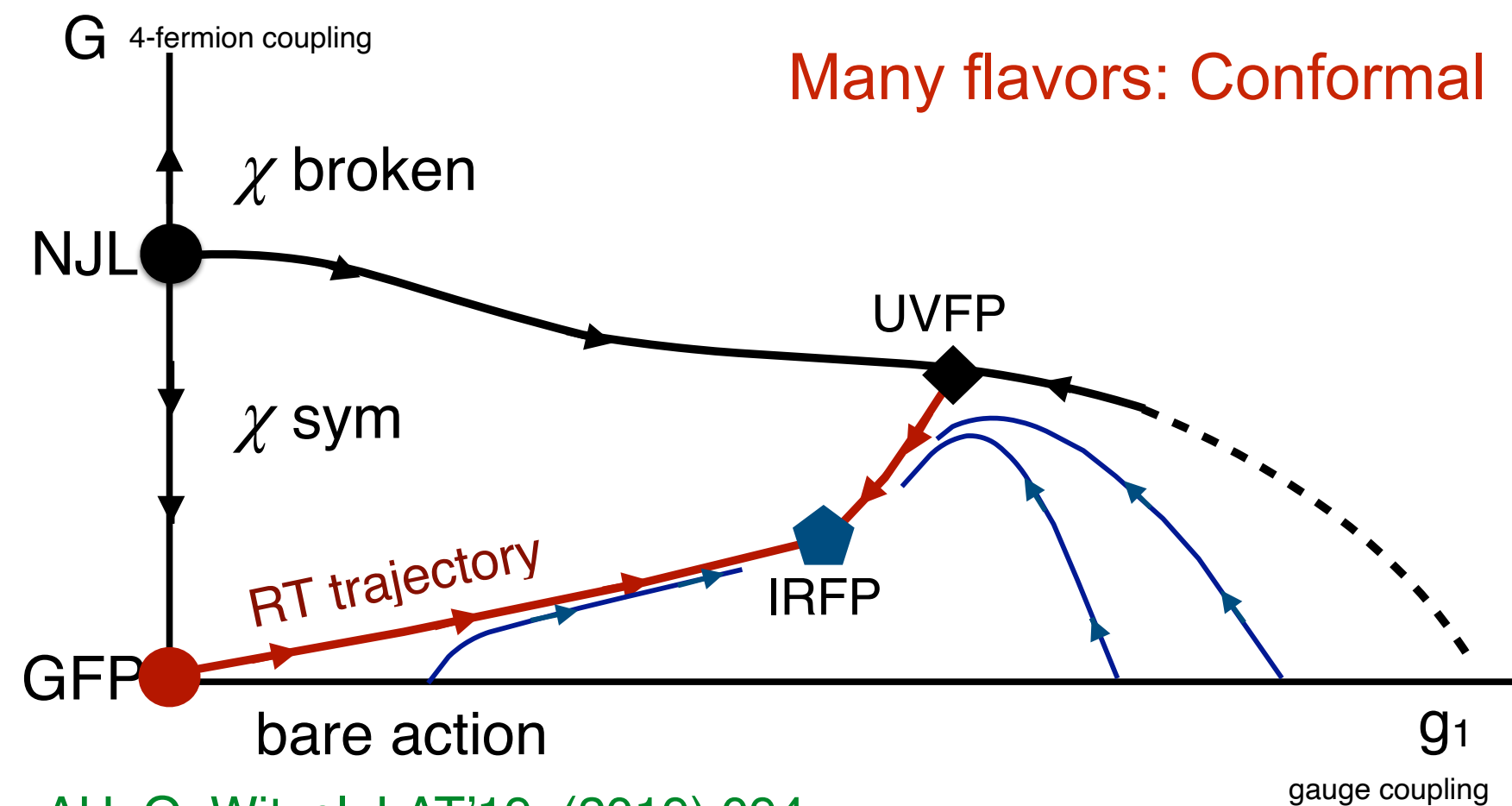
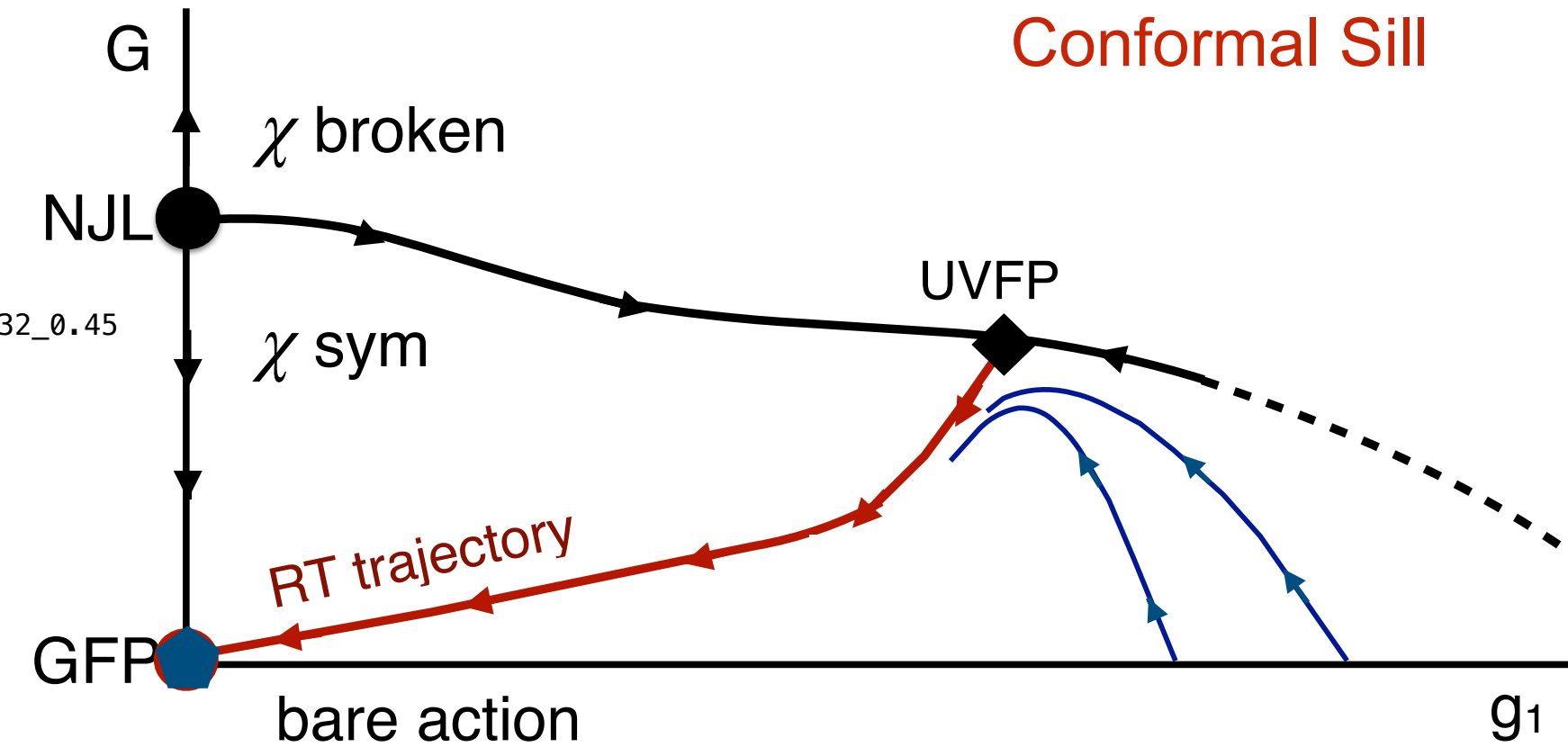
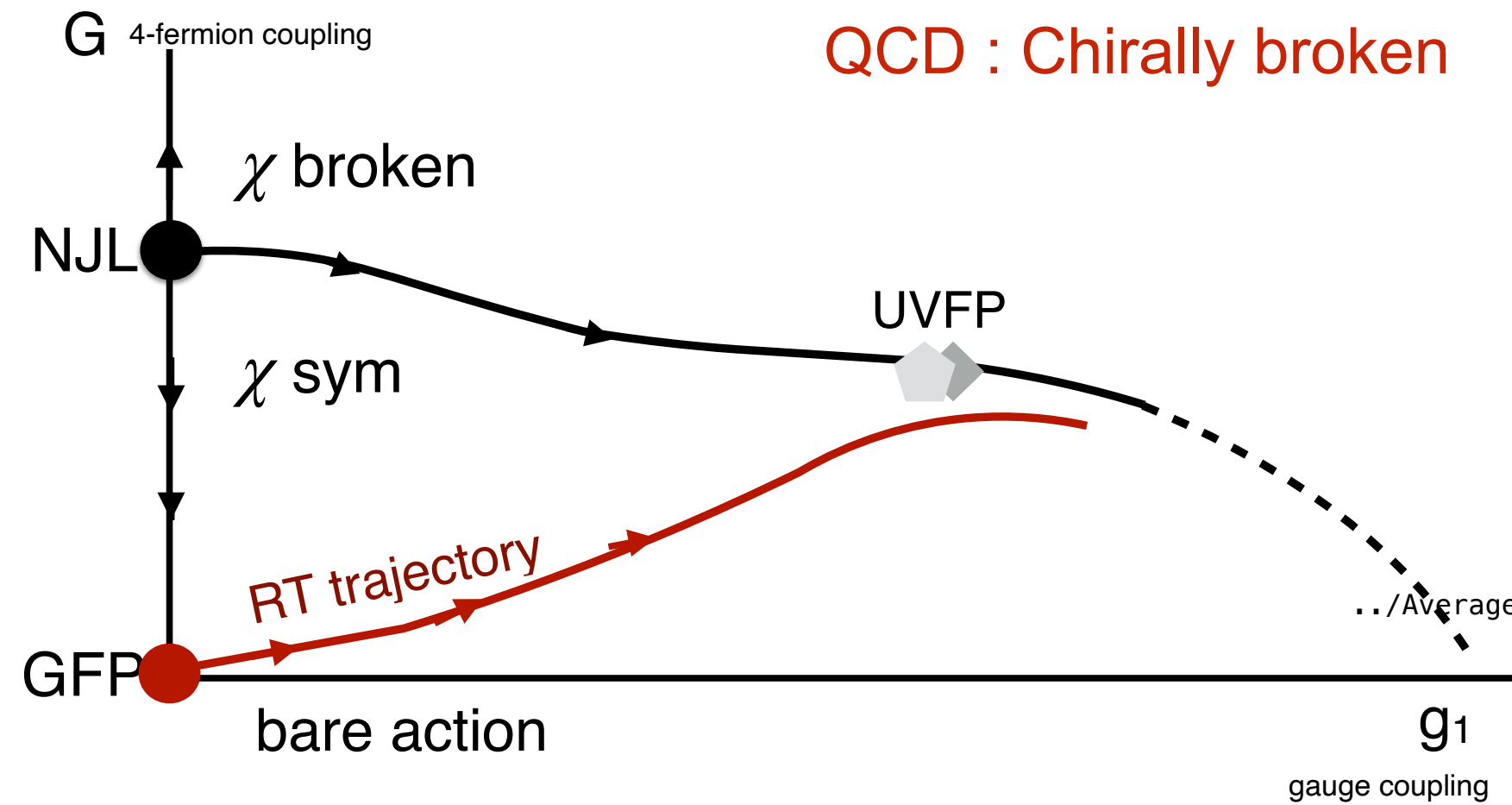
Theoretical developments - SMG - point beyond the lattice

# EXTRA SLIDES

# Gauge-fermion systems with 4-fermion interaction

- Quantum effects generate new interaction
- **Conjectured** phase diagram in the extended parameter space

Kaplan et al, *Phys.Rev.D* 80 (2009) 125005  
 Gorbenko et al, *JHEP* 10 (2018) 108



Staying within gauge-fermion systems we can explore the RT up to a possible IRFP

- RG  $\beta(g^2)$  function
- anomalous dimension  $\gamma_\phi(g^2)$

# Staggered fermions

Becher, Joos 1982

are Kaehler-Dirac fermions distributed in a  $2^4$  hypercube

$$S = \frac{1}{2} \sum_{n,\mu} (\bar{\chi}_n \alpha_\mu(n) U_\mu(n) \chi_{n+\mu} + cc) + m \sum_n \bar{\chi}_n \chi_n, \quad \alpha_\mu(n) = (-1)^{n_0 + \dots + n_{\mu-1}}$$

$\chi$  : 1-component fermion

1 set of staggered fermions  $\equiv$  4 Dirac flavors in flat space,  $g_0^2 = 0$

2 sets of **massless** staggered fermions  $\equiv$  4 sets of reduced staggered  
 $\equiv$  16 Weyl fermions

Catterall et al 2101.01026

Massless staggered fermions suffer from  $Z_4$  gauge anomaly - cancelled when 2 staggered species are present

—> 2 staggered species could exhibit symmetric mass generation : mass without spontaneous symmetry breaking

# S4 phase gapped, chiral symmetric

Zero momentum correlators  $C(t) = \sum_{\bar{x}, \bar{y}} \langle O_S(\bar{x}, t=0) O_S(\bar{y}, t) \rangle$

“Pion states” : spin  $\otimes$  taste in terms of 1-component fields

pseudoscalar :  $P1 = \gamma_5 \otimes \gamma_5$  :  $\mathcal{O}_S = \sum_{\bar{x}} \bar{q}(\bar{x}) q(\bar{x}) (-1)^{x_1+x_2+x_3}$

scalar :  $S1 = \gamma_0 \gamma_5 \otimes \gamma_0 \gamma_5$  :  $\mathcal{O}_S = \sum_{\bar{x}} \bar{q}(\bar{x}) q(\bar{x})$

pseudoscalar :  $P2 = \gamma_5 \otimes \gamma_i \gamma_5$  :  $\mathcal{O}_S = \sum_{\bar{x}} \bar{q}(\bar{x}) U_i(\bar{x}) q(\bar{x} + i) (-1)^{x_1+x_2+x_3}$

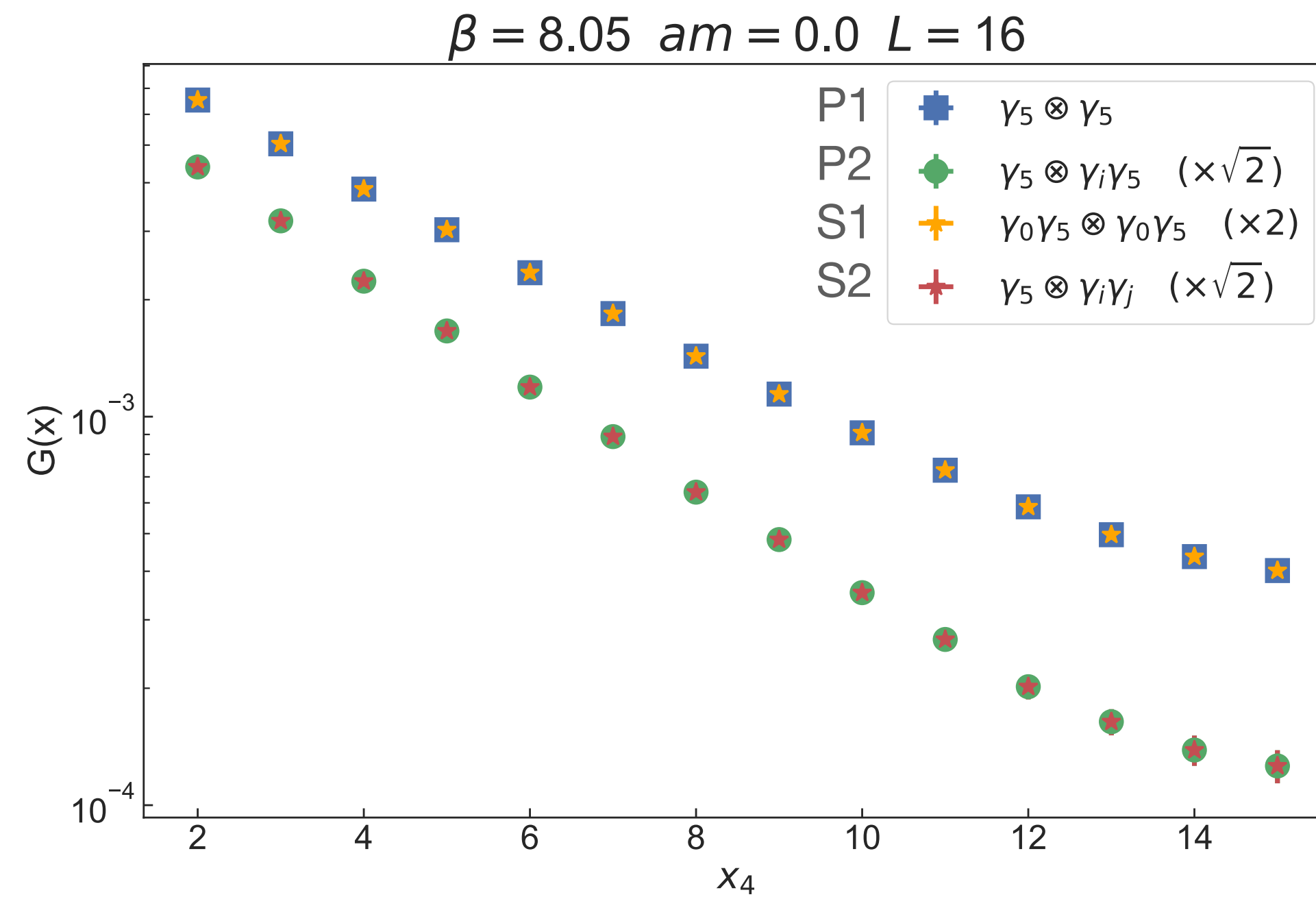
scalar :  $S2 = \gamma_0 \gamma_5 \otimes \gamma_0 \gamma_i \gamma_5$  :  $\mathcal{O}_S = \sum_{\bar{x}} \bar{q}(\bar{x}) U_i(\bar{x}) q(\bar{x} + i)$

(all four operators couple to scalar and pseudoscalar, but mostly to one only)



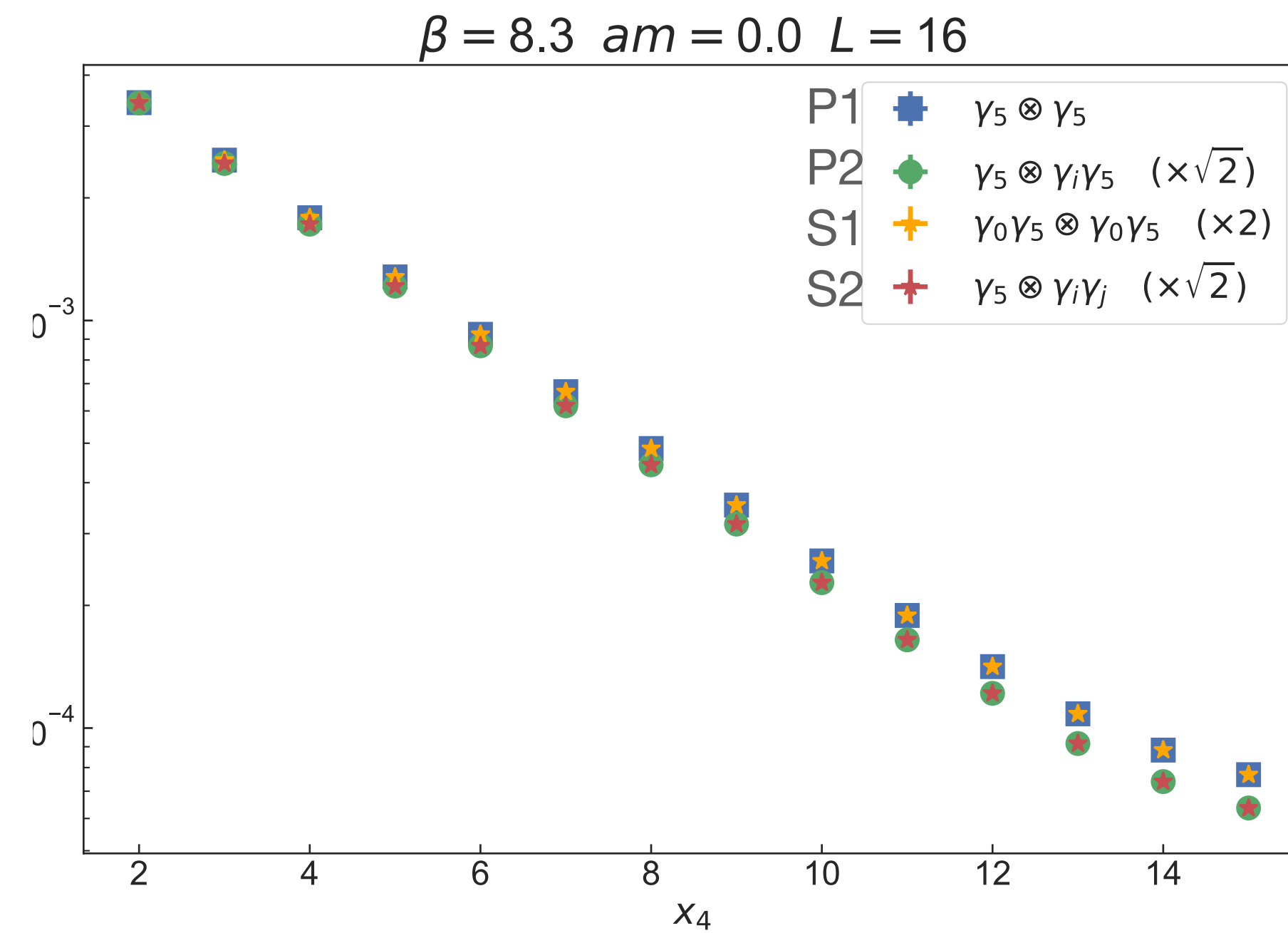
# S4 phase chiral symmetric

“Pion” correlators



## S4 phase

- chirally symmetric ( $P = S$ )
- P1-P2, S1-S2 are broken



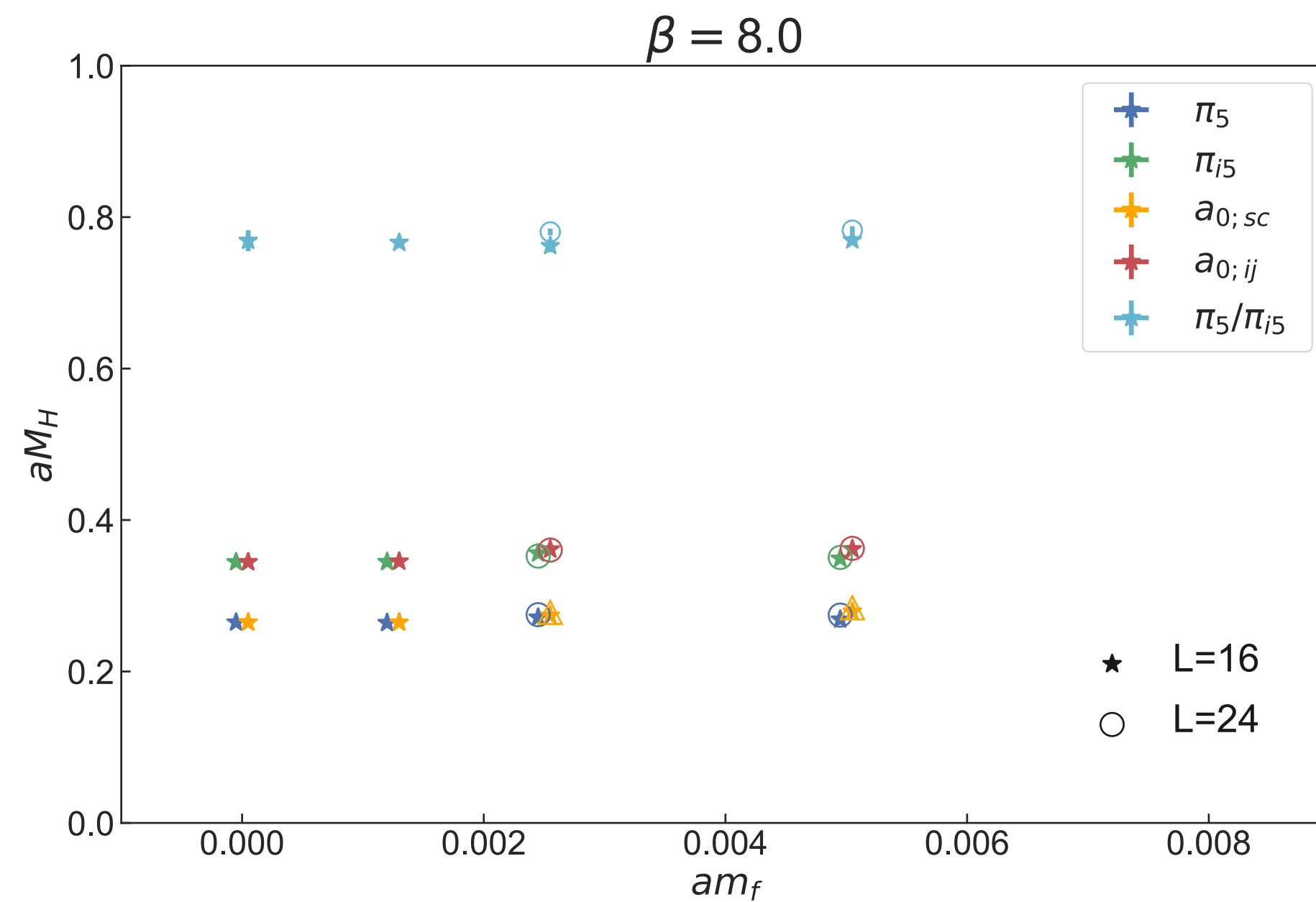
## Conformal phase

- chirally symmetric ( $P = S$ )
- P1,P2, S1,S2 are nearly degenerate (good taste symmetry)



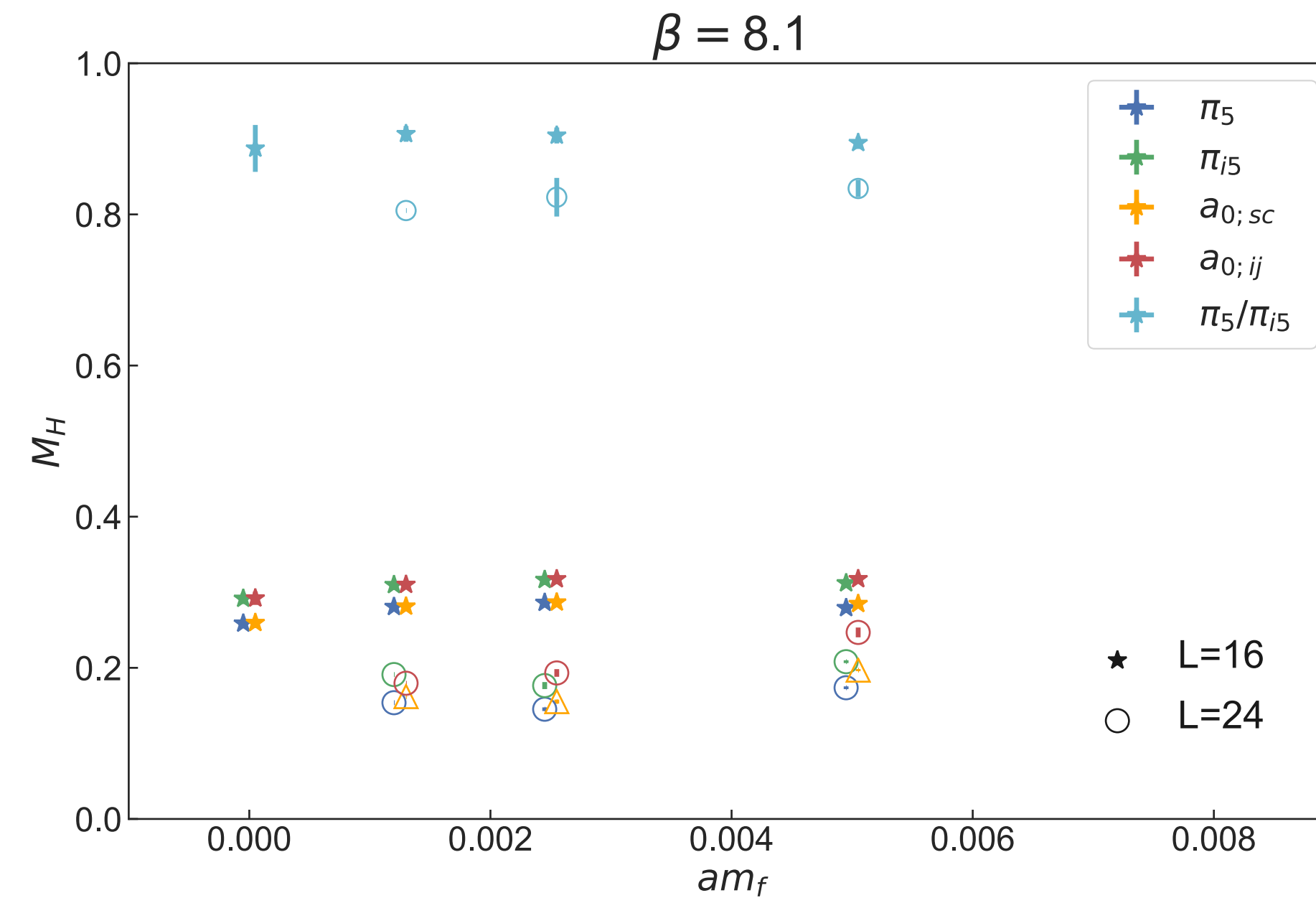
# S4 phase gapped

“Pion” masses



**S4 phase** : mesons are massive

- nearly constant in fermion mass
- nearly independent of volume



**Conformal phase** : mesons are massive

- due to finite volume!
- all masses vanish in the infinite volume chiral limit