

The effective landscape of new physics

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JOHANNES GUTENBERG
UNIVERSITÄT MAINZ



QCD@LHC, Freiburg, Oct 8th, 2024

Outline

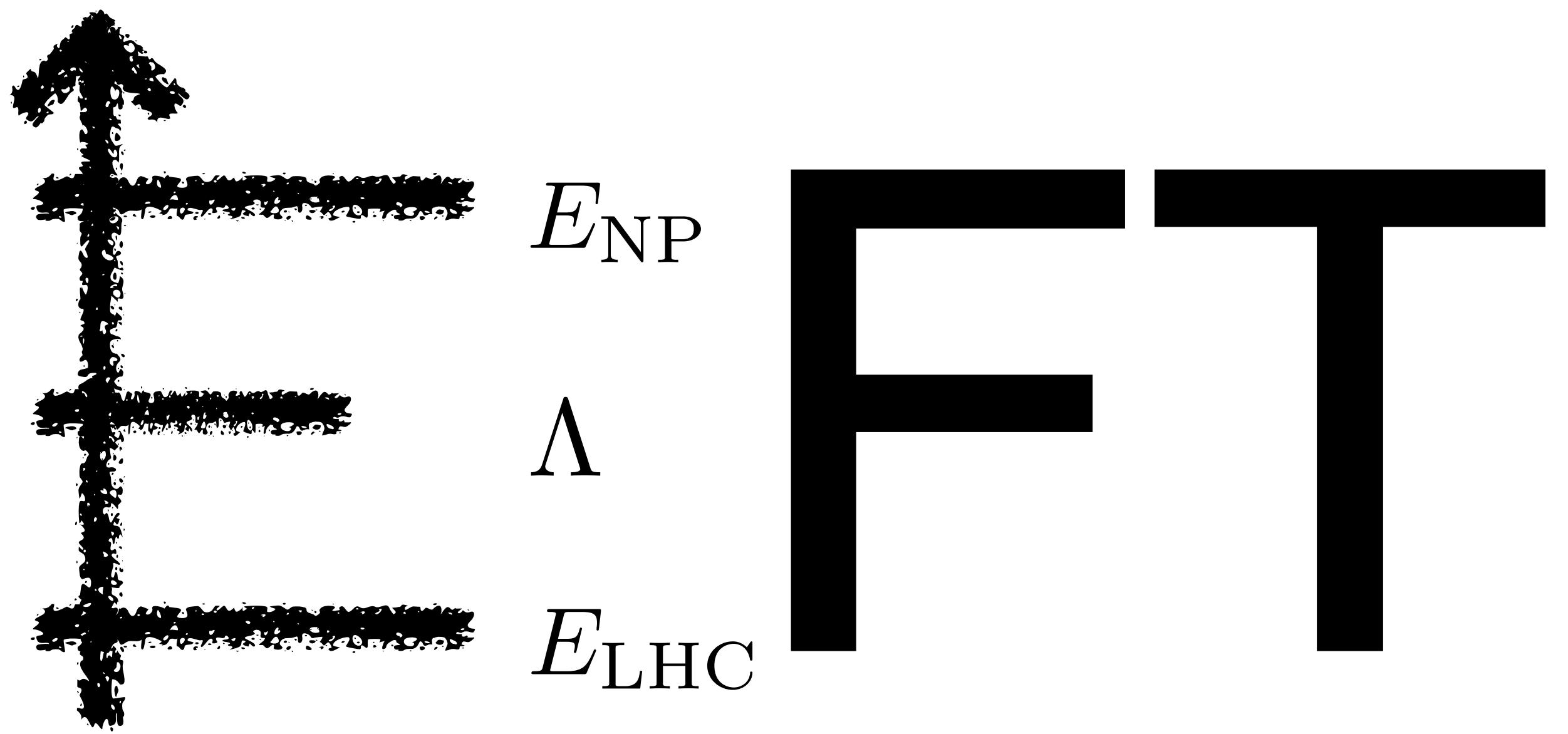
- Intro to effective field theory (EFT) and global fits
- How do we improve global EFT fits?
 - Are we ready for a global fit starting from an operator set defined by symmetries?
- SMEFT@NLO: Curse or blessing?

More SMEFT talks
[\[Marco Vitti\]](#)
[\[Nathan Readioff\]](#)

Effective field theory - EFT

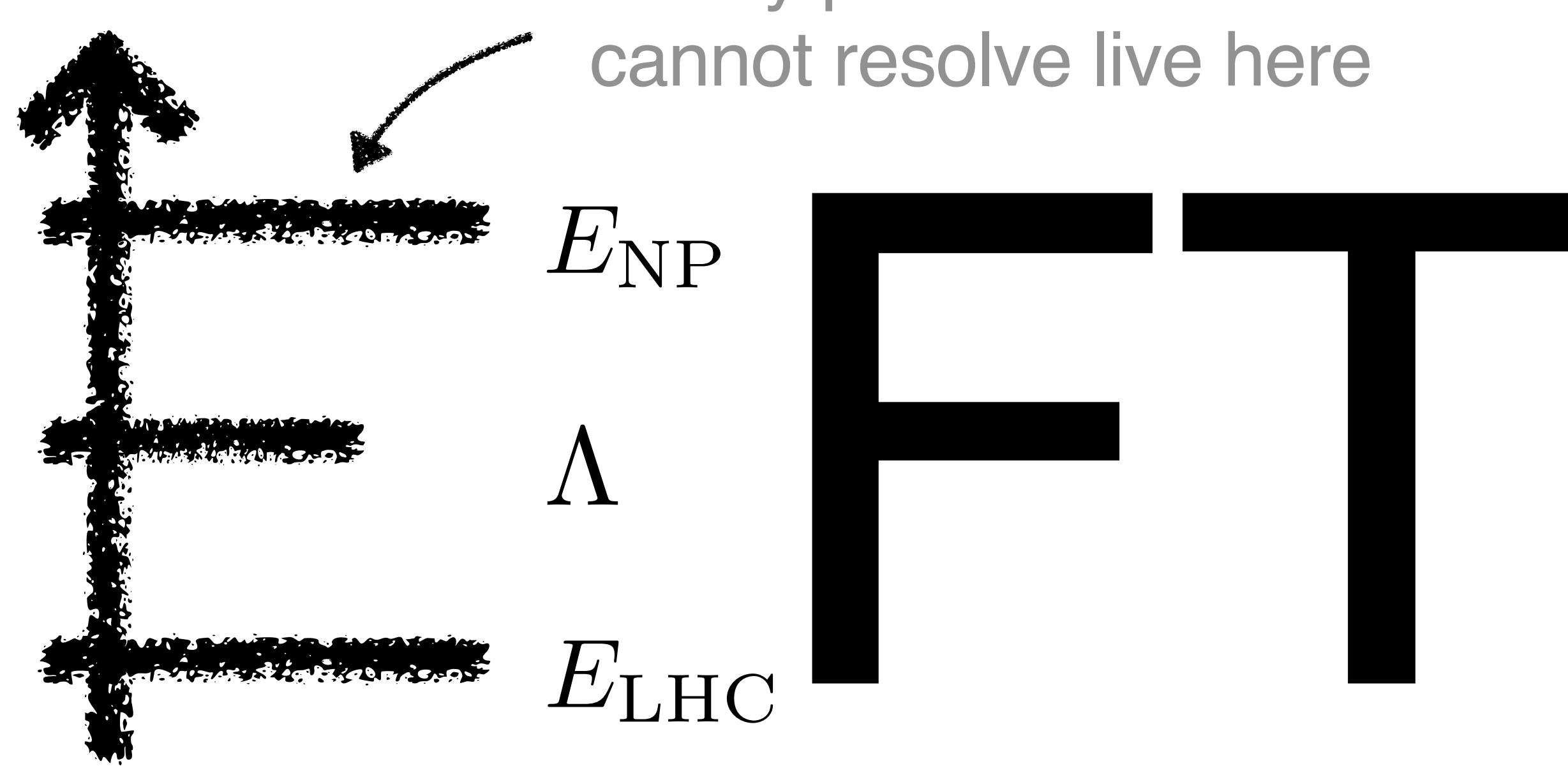
E F T

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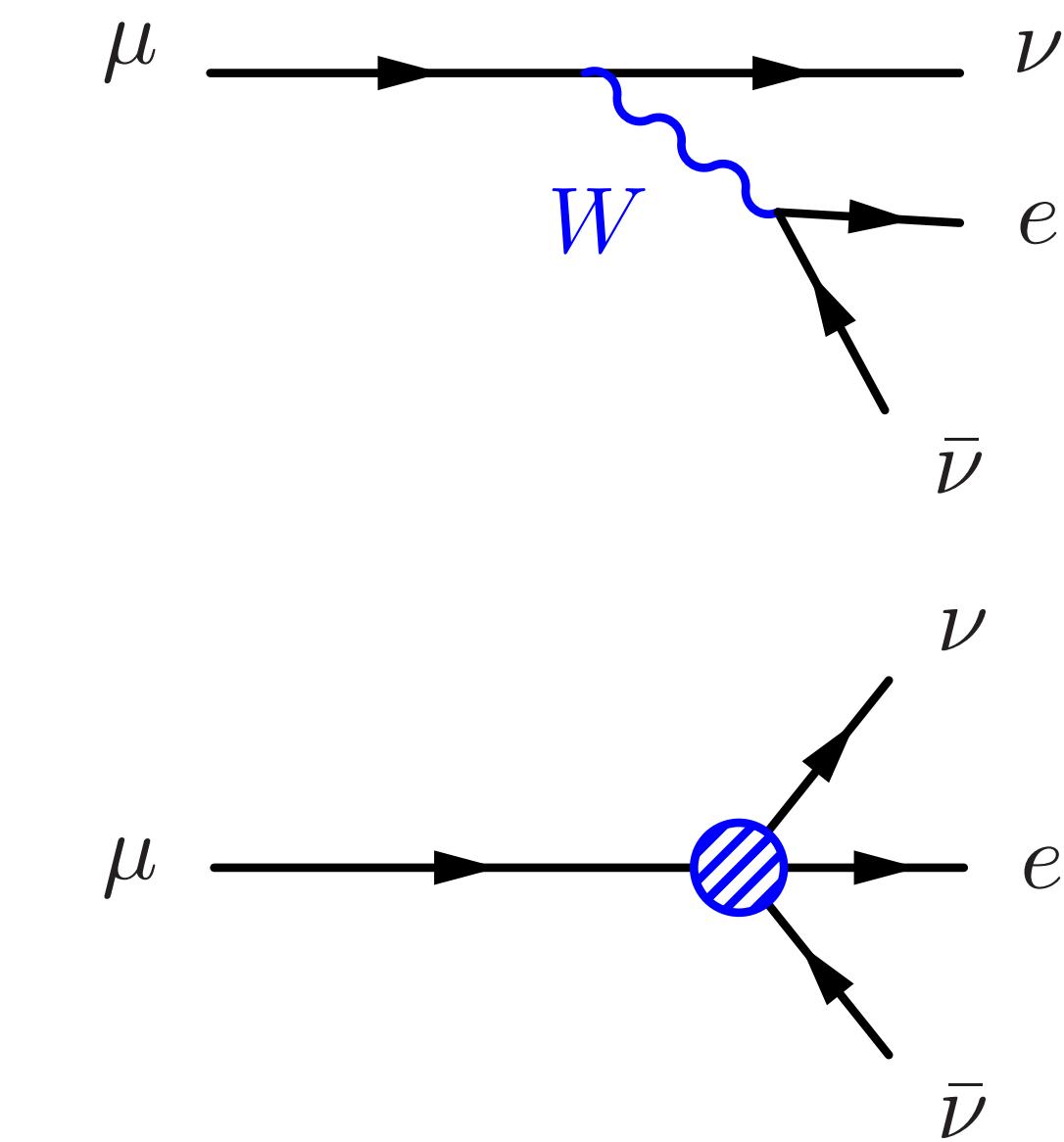


Hierarchy of scales

Effective field theory - EFT



Hierarchy of scales

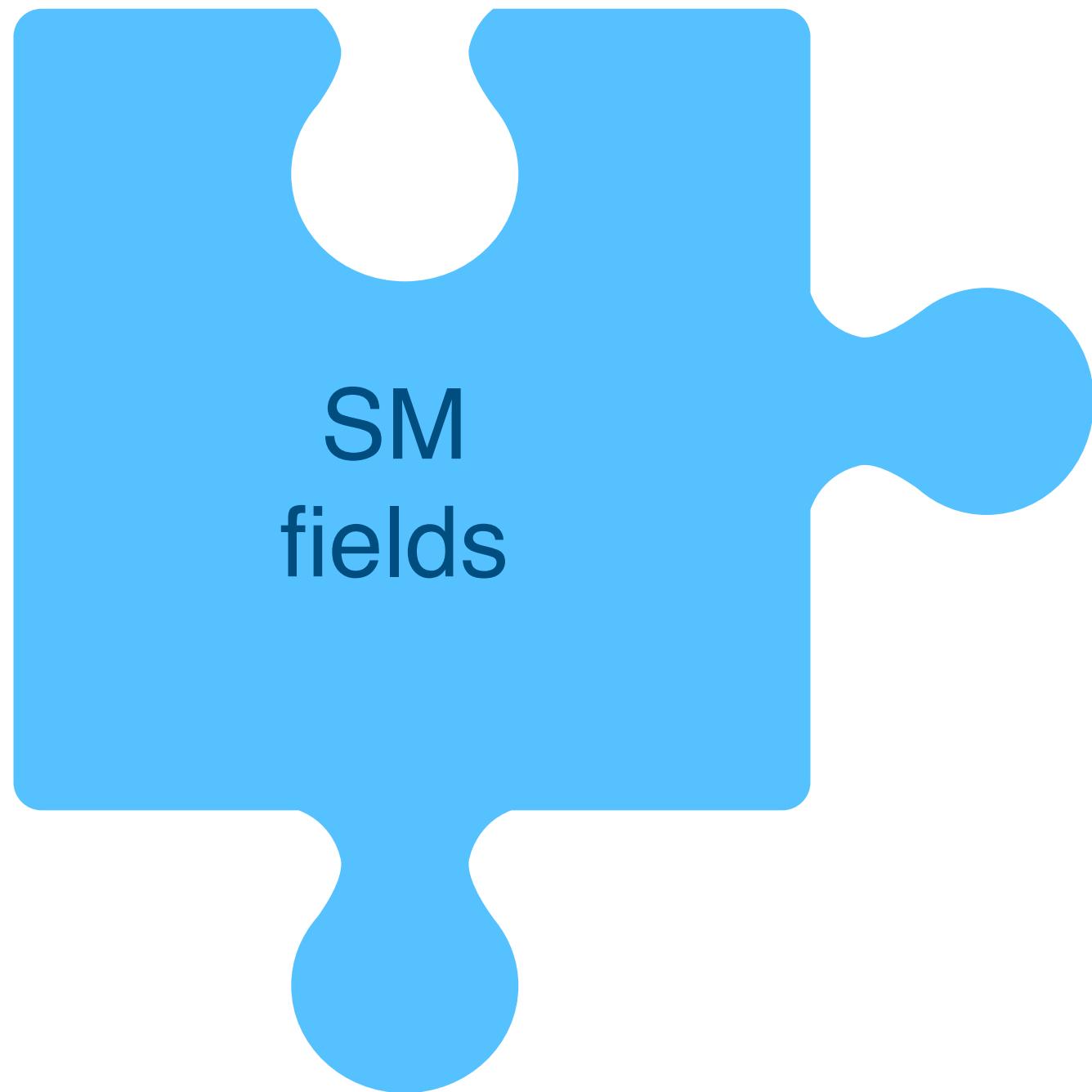


Describe NP by higher-order interactions of SM fields

EFTs from the bottom-up

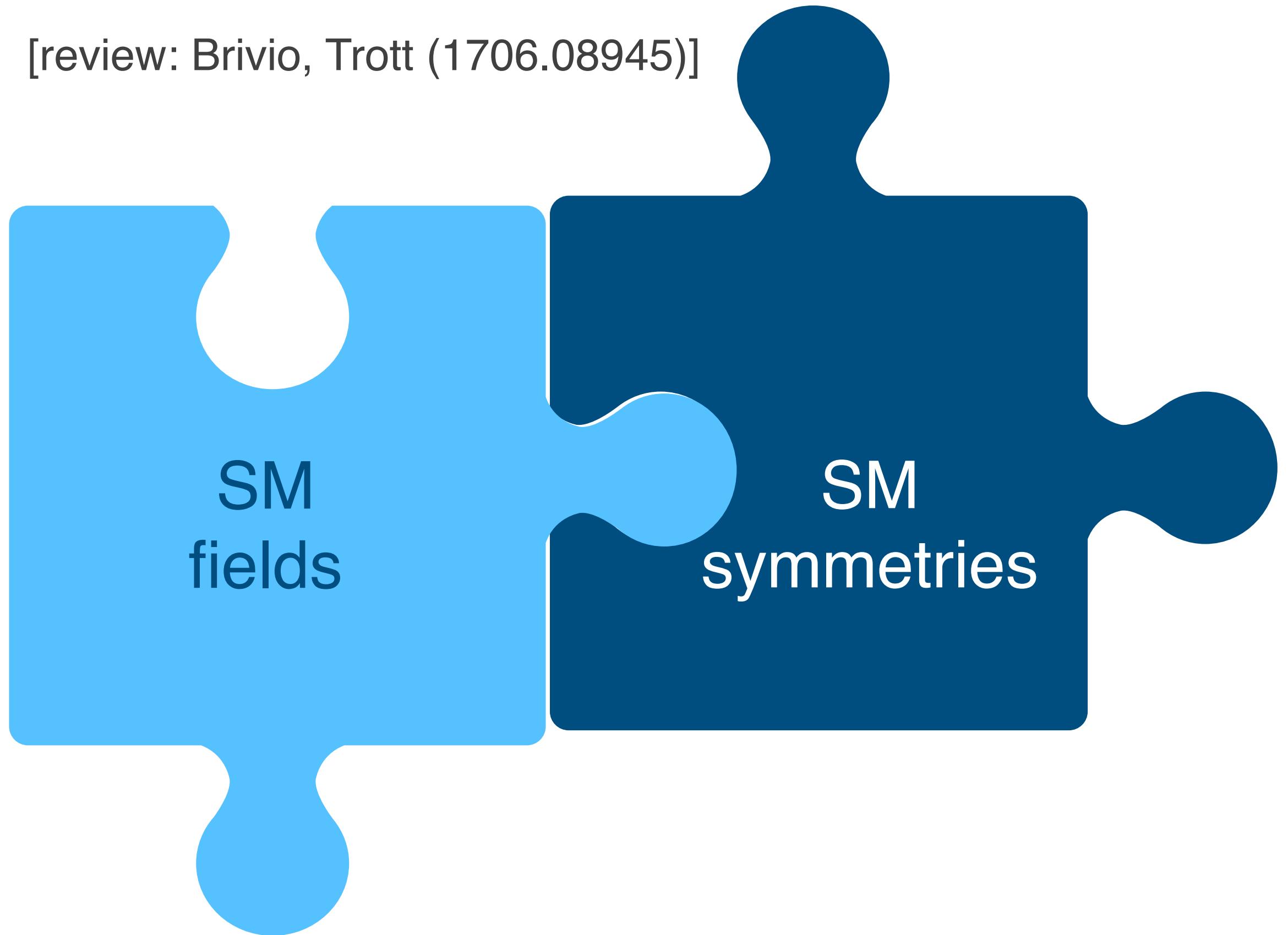
[review: Brivio, Trott (1706.08945)]

At low energies, the SM does
a very good job.



EFTs from the bottom-up

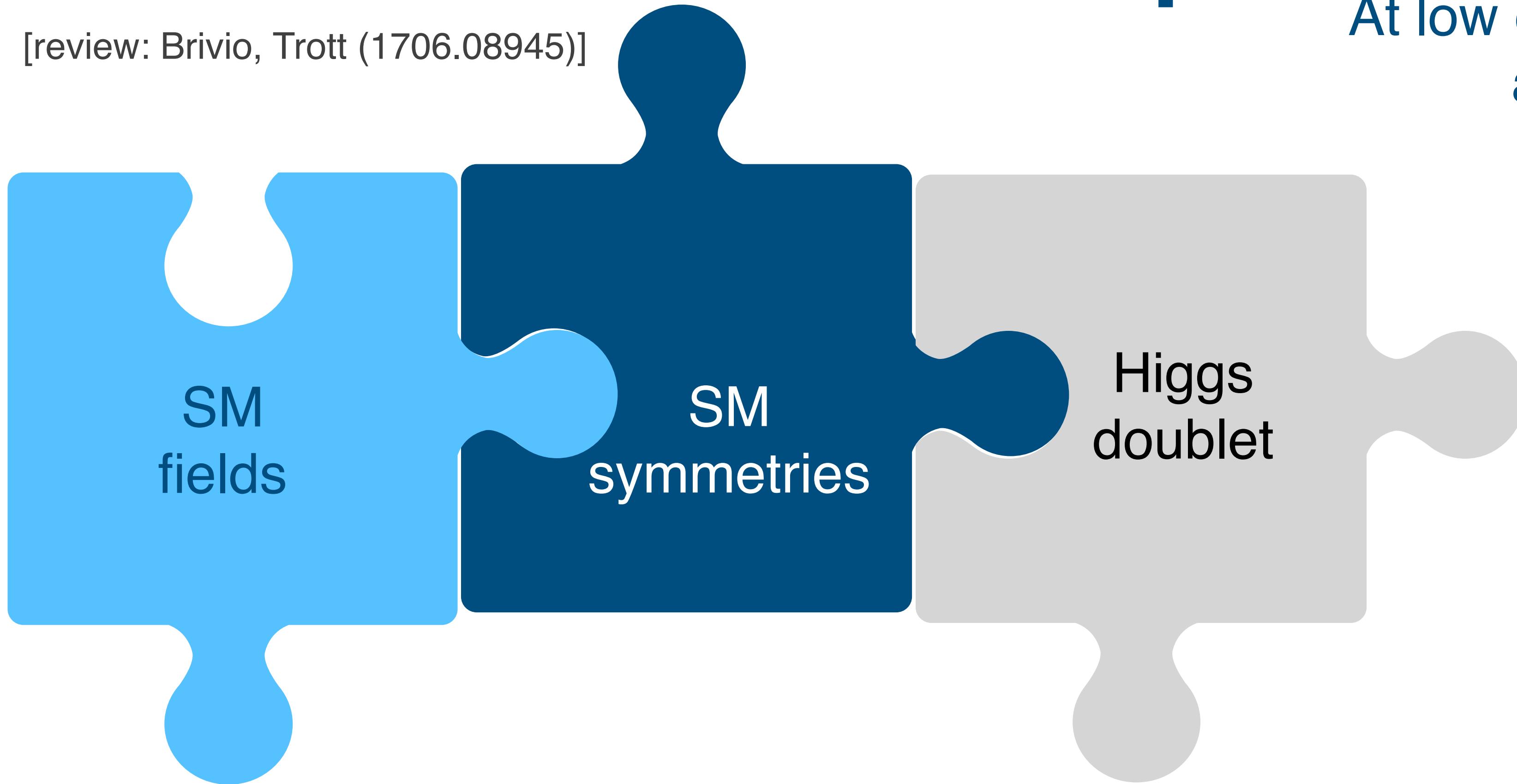
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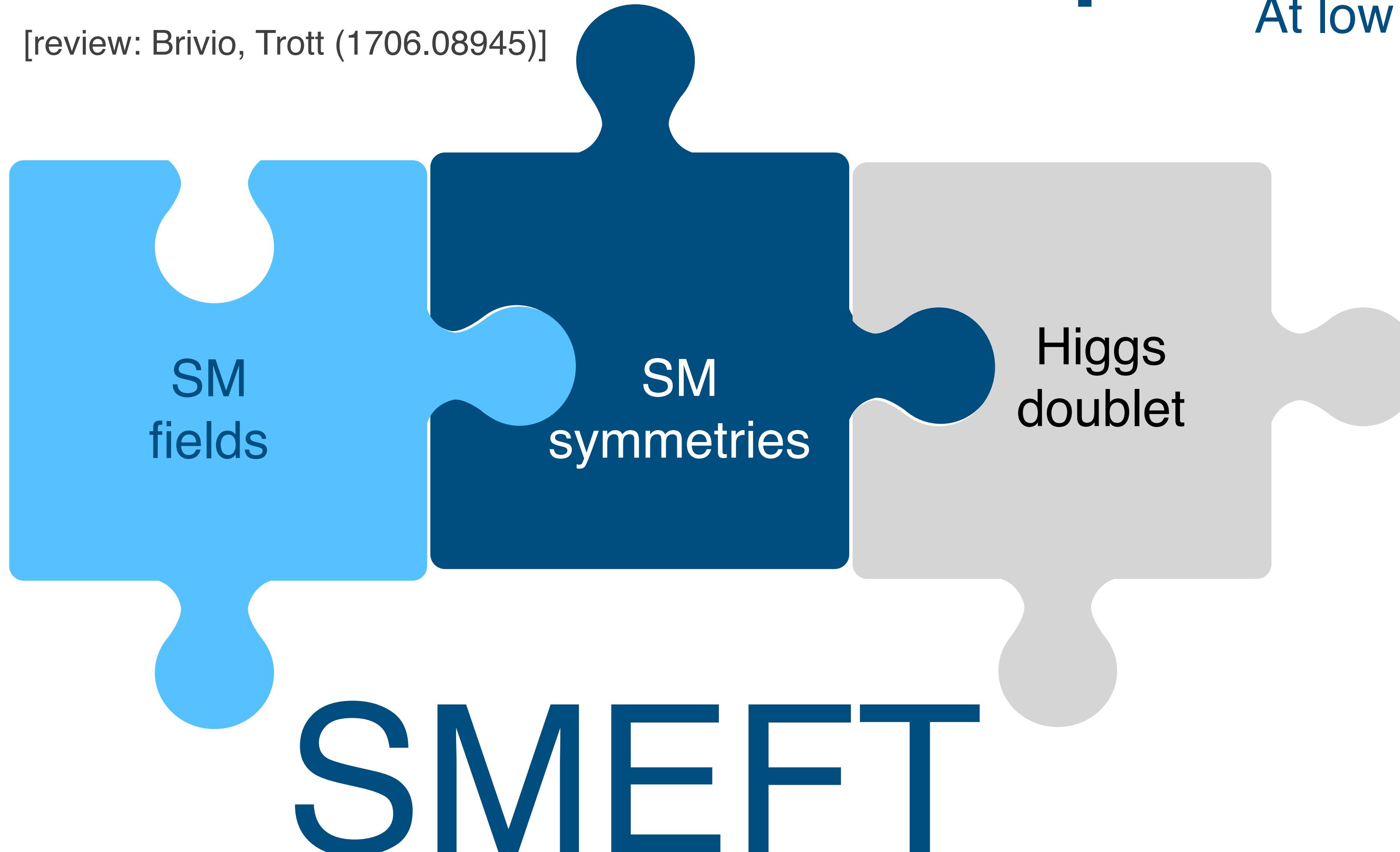
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EFTs from the bottom-up

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At low energies, the SM does a very good job.

- **Minimal assumptions** on high-scale physics
- **Universal language** for data interpretation

Standard Model effective field theory (SMEFT)

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} \mathcal{O}_i^{(6)} + \sum_j \frac{c_j^{(8)}}{\Lambda^4} \mathcal{O}_j^{(8)} + \dots$$

Odd dimensions violate
lepton or baryon number

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Wilson coefficients Operators Dimension

Odd dimensions violate lepton or baryon number

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Diagram illustrating the construction of SMEFT:

- Wilson coefficients** (c_i) point to the term $\sum_i \frac{c_i}{\Lambda^2} \mathcal{O}_i^{(6)}$.
- Operators** ($\mathcal{O}_i^{(6)}$ and $\mathcal{O}_j^{(8)}$) point to their respective terms.
- Dimension** ((6) and (8)) points to the dimension of each operator.

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Wilson coefficients Operators Dimension

A diagram illustrating the construction of the SMEFT Lagrangian. It shows the sum of two terms. The first term is the Standard Model Lagrangian plus a sum over index i of Wilson coefficients c_i divided by Λ^2 times an operator $\mathcal{O}_i^{(6)}$. The second term is a sum over index j of Wilson coefficients $c_j^{(8)}$ divided by Λ^4 times an operator $\mathcal{O}_j^{(8)}$. A large red 'X' is drawn over the second term, indicating it is disallowed due to odd dimensions violating lepton or baryon number.

2499 operators at D6

Many of these are different flavor combinations
of the same structure

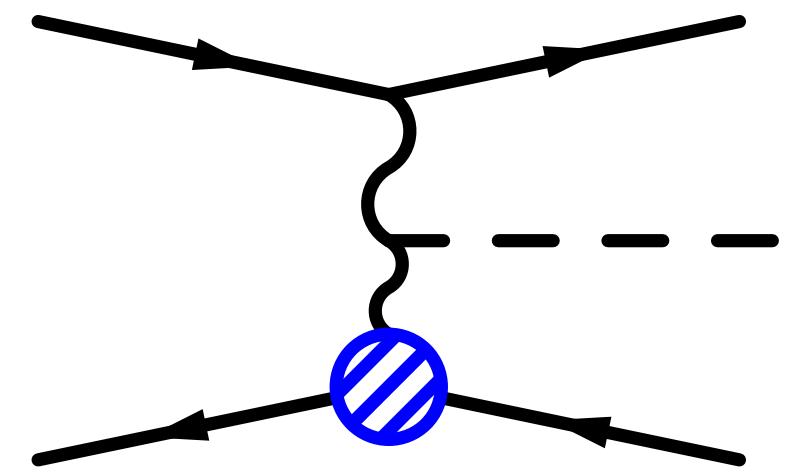
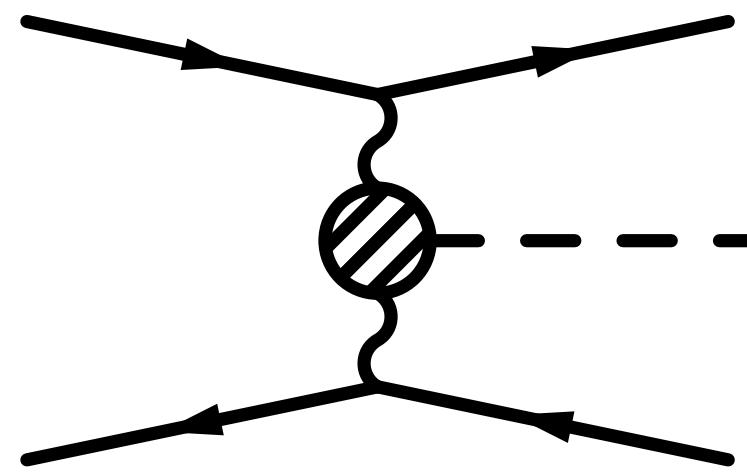
Reduce number of dof with flavor assumptions

$$\mathcal{O}_{dH}^{ij} = (H^\dagger H)(\bar{q}_i H d_j)$$

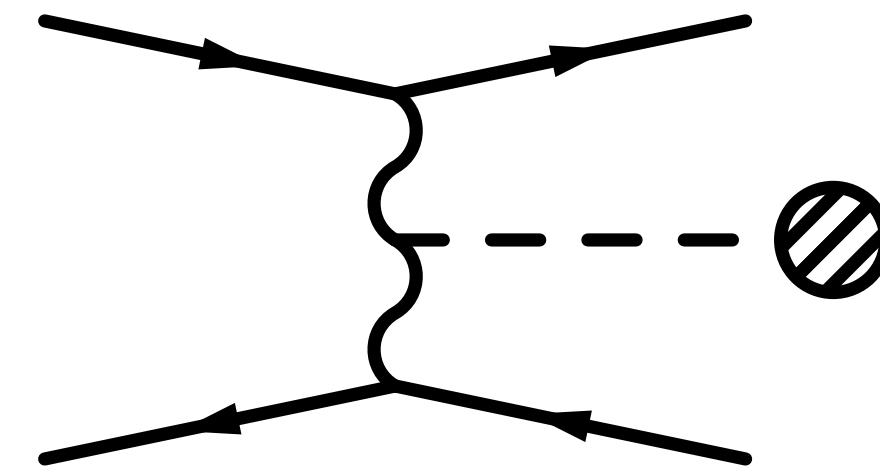
$$3 \times 3 + \text{h.c.} = 18 \text{ Flav. combinations}$$

Why global fits?

One observable can be influenced by many operators

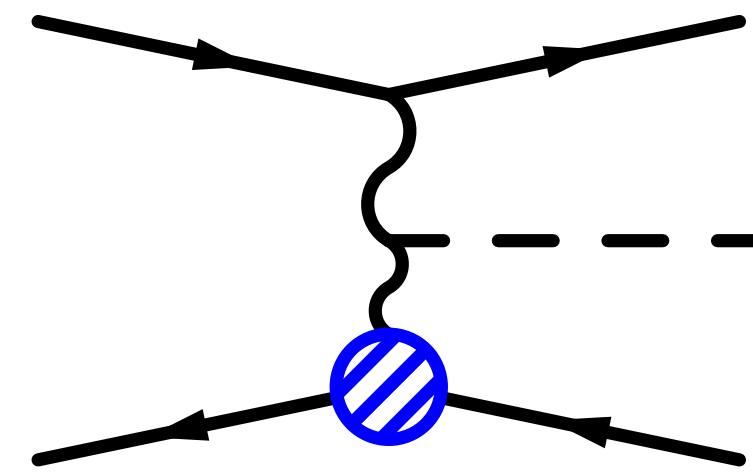
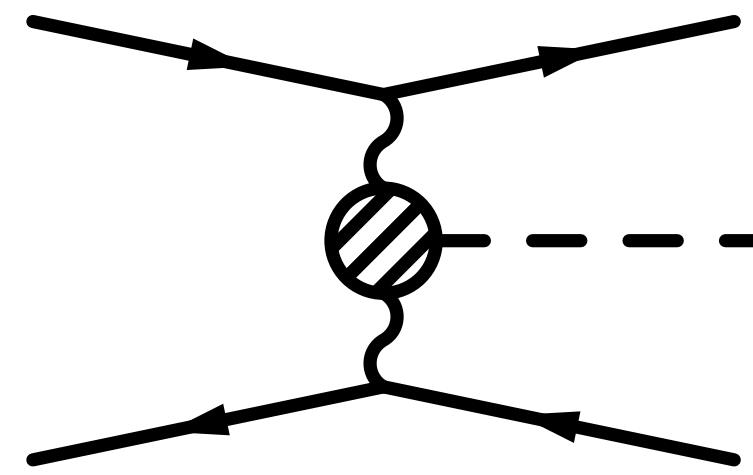


Higgs decay

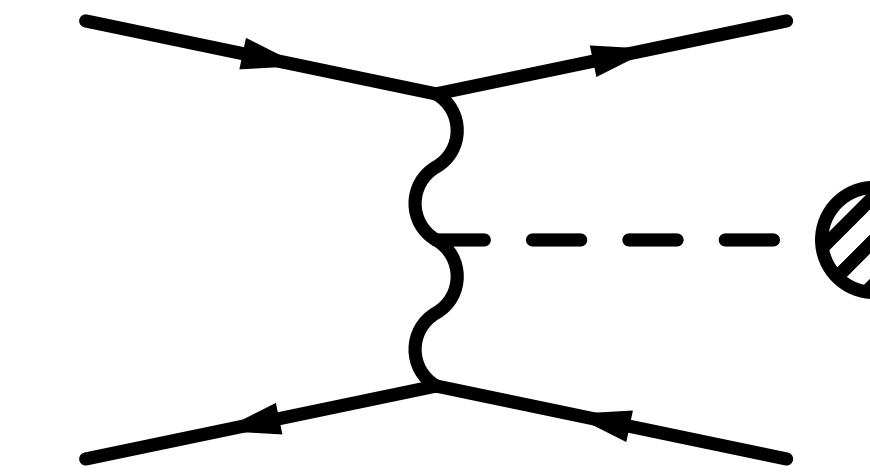


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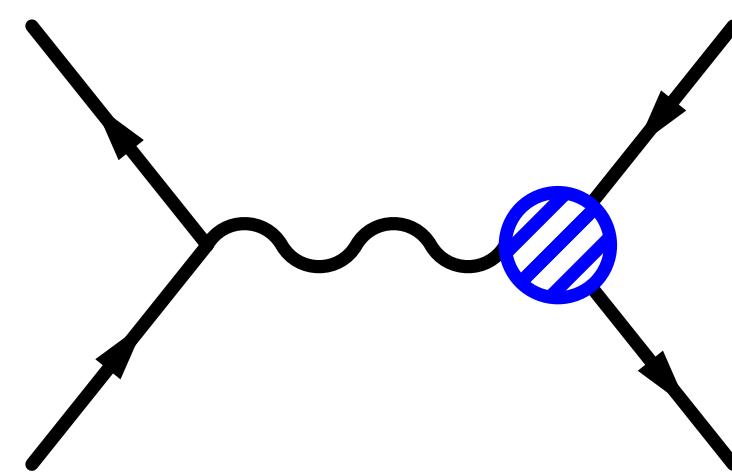
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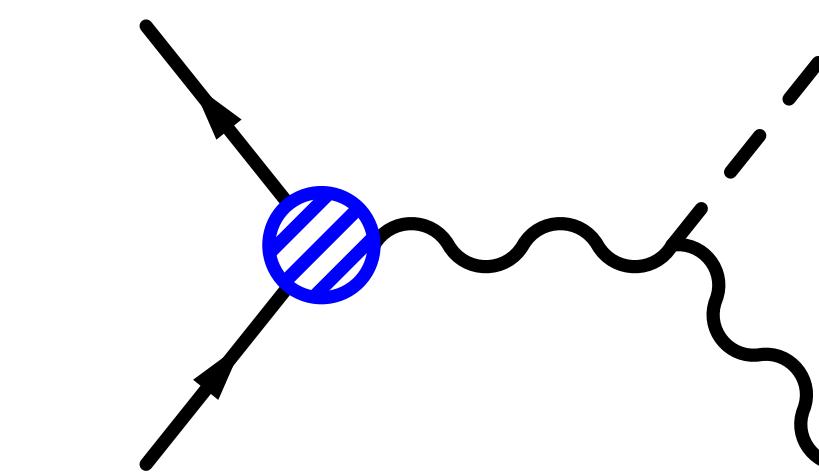
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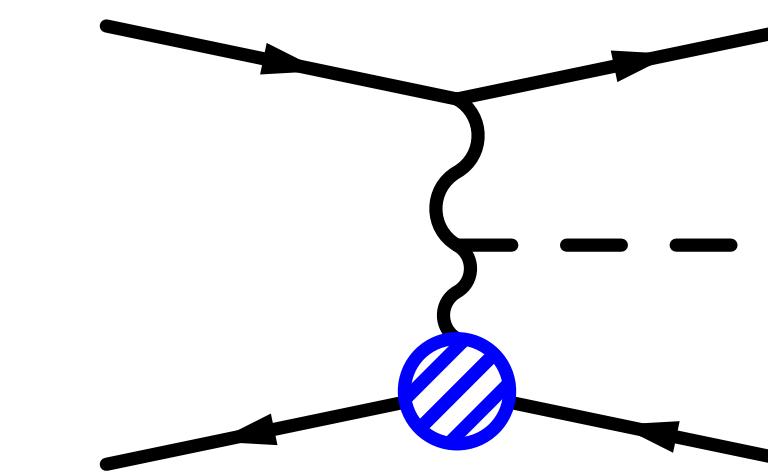
One operator can contribute to many different observables



$e^+ e^- \rightarrow f\bar{f}$



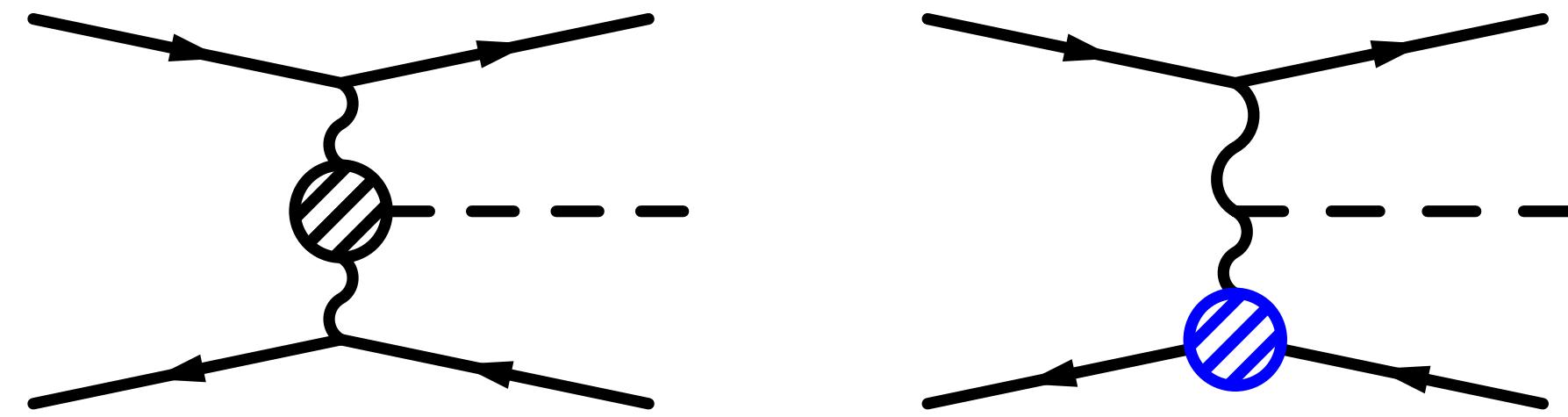
Zh production



Weak boson fusion
Higgs production

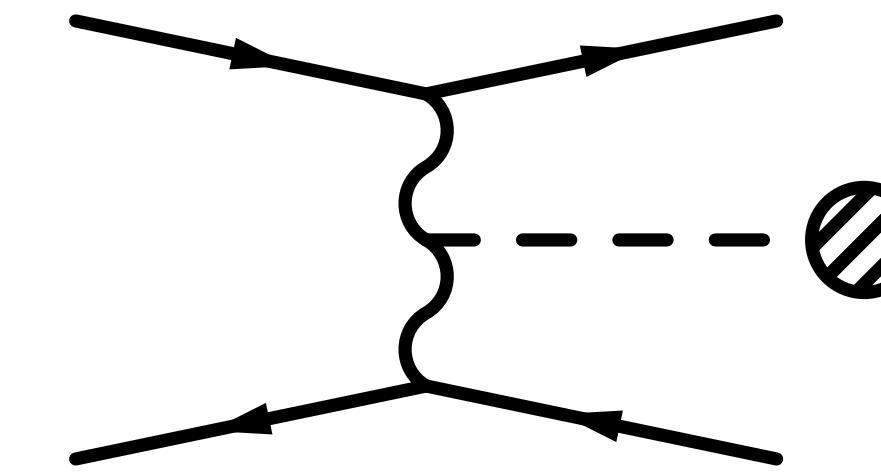
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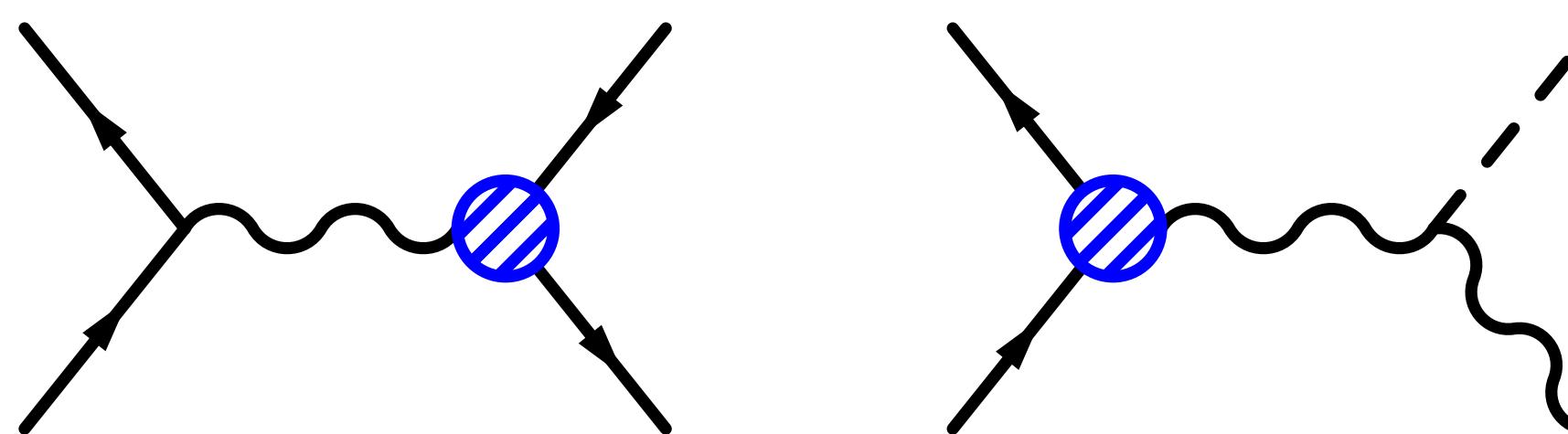
Need a **global analysis** of all EFT coefficients to map all direction of new fundamental physics

Higgs decay



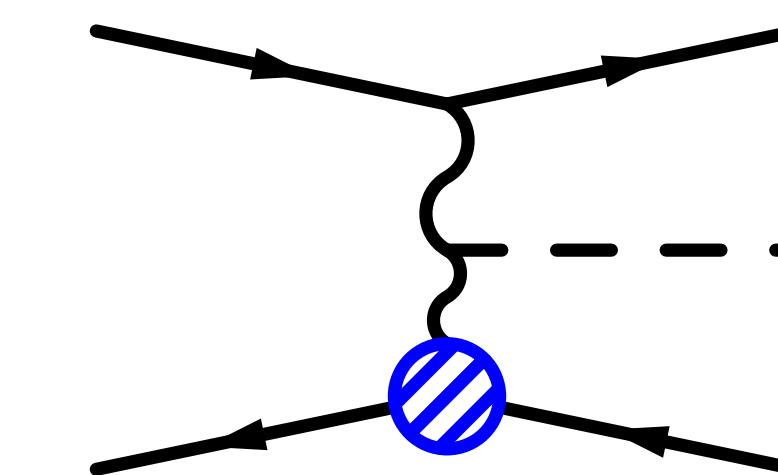
Global in ...

One operator can contribute to many different observables



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... the operator set

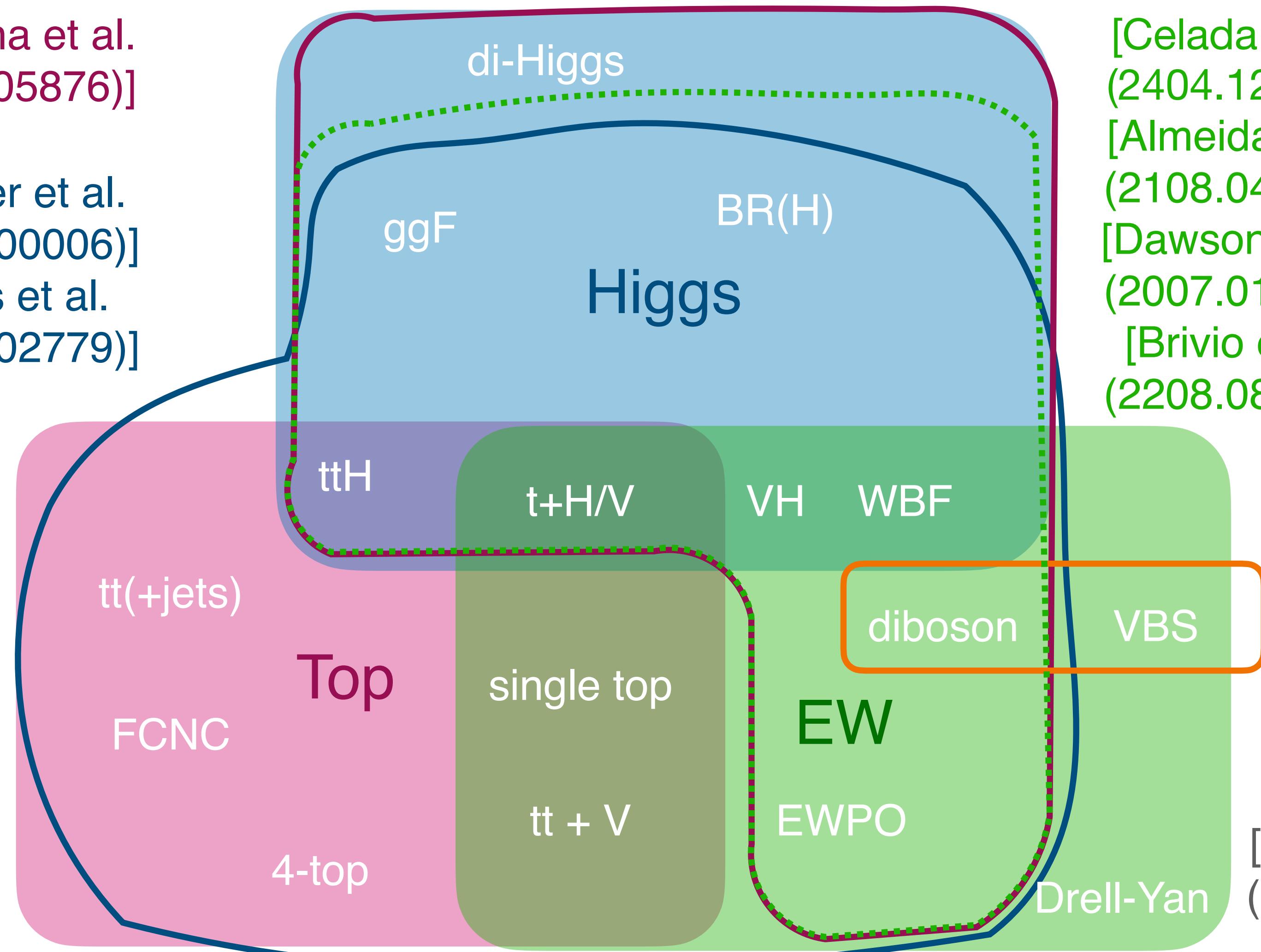
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Confronting the SMEFT with data

[Anisha et al.
(2111.05876)]

[Ethier et al.
(2105.00006)]

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[Celada et al.
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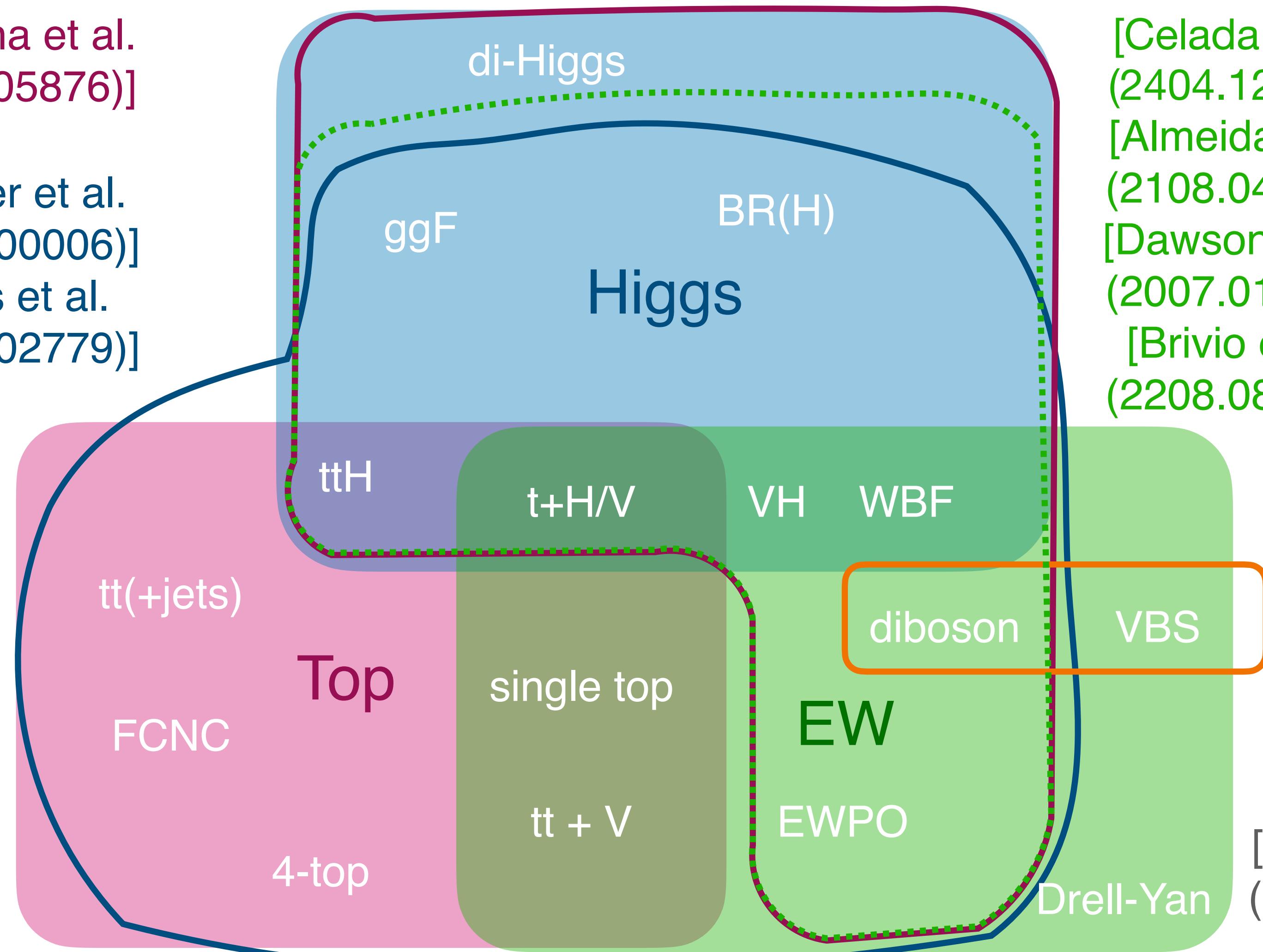
LHC+flavor
[Bruggisser et al.
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Operator sets
defined by the
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Future directions in SMEFT (fits)

Generality

Relax (flavor)
assumptions [Faroughy et al. (2005.05366)]
[Greljo et al. (2203.09561)]

Combine more
datasets

Precision

Future directions in SMEFT (fits)

$$\mathcal{A} = \mathcal{A}_{\text{SM}} + a_i \frac{C_i^{(6)}}{\Lambda^2} + b_{jk} \frac{C_j^{(6)} C_k^{(6)}}{\Lambda^4} + c_l \frac{C_l^{(8)}}{\Lambda^4} + \frac{1}{16\pi^2} \left[d_m \frac{C_m^{(6)}}{\Lambda^2} + e_n \frac{C_n^{(6)}}{\Lambda^2} \log\left(\frac{\mu^2}{\Lambda^2}\right) \right] + \dots$$

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Dim6^2 effects
Dim8 effects

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SMEFT@NLO
RG effects

[Degrande et al. (2008.11743)]

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Generality

Are we ready for a global fit starting from an operator set defined by symmetries?

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Combine more datasets



SMEFT@NLO
RG effects

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Precision

SMEFT flavor assumptions

More flavor symmetries:

[Faroughy, Isidori, Wilsch, Yamamoto ([2005.05366](#))]

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Assume an **exact** $U(3)^5$ symmetry

$$U(3)^5 = U(3)_\ell \times U(3)_q \times U(3)_e \times U(3)_u \times U(3)_d \quad + \text{no CP odd interactions}$$

Same couplings for top, charm, up quark.

$$\mathcal{O}_{dH} = (H^\dagger H)(\bar{q}_i H d_j) \quad \text{Operator is forbidden under } U(3)^5 \text{ symmetry}$$

Left with **41** operators

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Minimal flavor violation (MFV)

In the SM, the Yukawas Y_e , Y_u , Y_d are the only sources of the breaking of this symmetry

[Gerard ([1983](#))]

[Chivukula, Georgi ([1987](#))]

[D'Ambrosio, Giudice, Isidori, Strumia ([hep-ph/0207036](#))]

$$\begin{aligned} \mathcal{O}_{dH} &= \sum_{ij} (H^\dagger H) (\bar{q}_i H \textcolor{red}{Y_d} d_j) \\ &\rightarrow (H^\dagger H) ((\bar{q} \Omega_q^\dagger)_i H (\textcolor{red}{\Omega_d Y_q \Omega_d^\dagger}) (\Omega_d d)_j) \end{aligned}$$

Warsaw basis

[Grzadkowski et al. (1008.4884)]

1 : X^3		2 : H^6		3 : $H^4 D^2$		5 : $\psi^2 H^3 + \text{h.c.}$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_H	$(H^\dagger H)^3$	$Q_{H\square}$	$(H^\dagger H) \square (H^\dagger H)$	Q_{eH}	$(H^\dagger H)(\bar{l}_p e_r H)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$			Q_{HD}	$(H^\dagger D_\mu H)^* (H^\dagger D_\mu H)$	Q_{uH}	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$
Q_W	$\epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					Q_{dH}	$(H^\dagger H)(\bar{q}_p d_r H)$
$Q_{\widetilde{W}}$	$\epsilon^{IJK} \widetilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$						
4 : $X^2 H^2$		6 : $\psi^2 X H + \text{h.c.}$		7 : $\psi^2 H^2 D$			
Q_{HG}	$H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hl}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r)$		
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	$Q_{Hl}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$		
Q_{HW}	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G_{\mu\nu}^A$	Q_{He}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$		
$Q_{H\widetilde{W}}$	$H^\dagger H \widetilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W_{\mu\nu}^I$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$		
Q_{HB}	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$		
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$	Q_{Hu}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$		
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$Q_{H\widetilde{W}B}$	$H^\dagger \tau^I H \widetilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$		
8 : $(\bar{L}L)(\bar{L}L)$		Plus more four-fermion operators					
$Q_{\ell\ell}$			$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$				

Warsaw basis under $U(3)^5$

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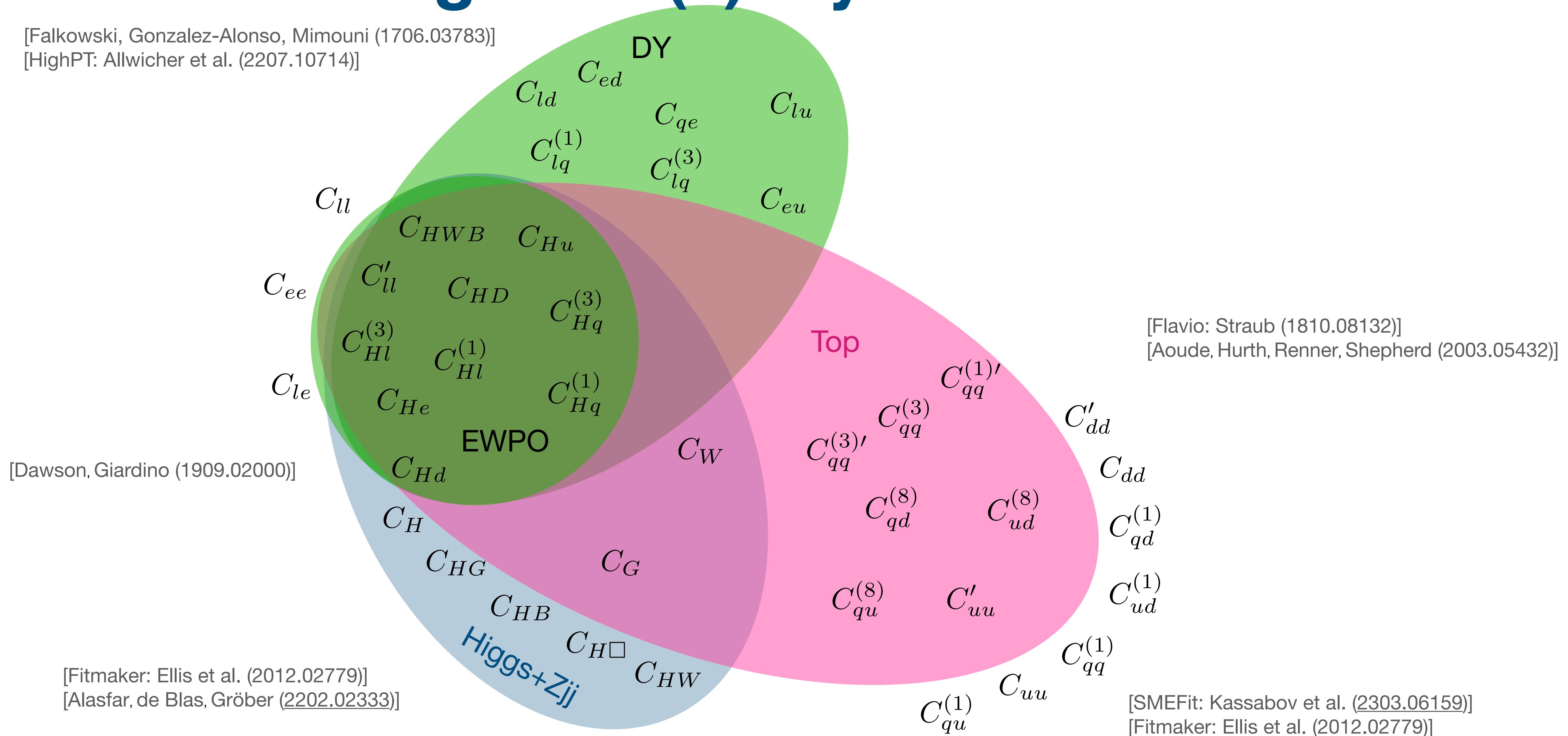
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Q_{HW}	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G_{\mu\nu}^A$	Q_{He}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$		
Q_{HW}	$H^\dagger H \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W_{\mu\nu}^I$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$	δ_{pr}	
Q_{HB}	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_r \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$		
Q_{HB}	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$	Q_{Hu}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$		
Q_{HWB}	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$	Q_{Hd}	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$		
Q_{HWB}	$H^\dagger \tau^I H \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	$Q_{Haa} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$		
8 : $(\bar{L}L)(\bar{L}L)$				Plus more four-fermion operators			
$Q_{\ell\ell}$		$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$					

41 parameters in total

Constraining the $U(3)^5$ symmetric SMEFT

[Falkowski, Gonzalez-Alonso, Mimouni (1706.03783)]

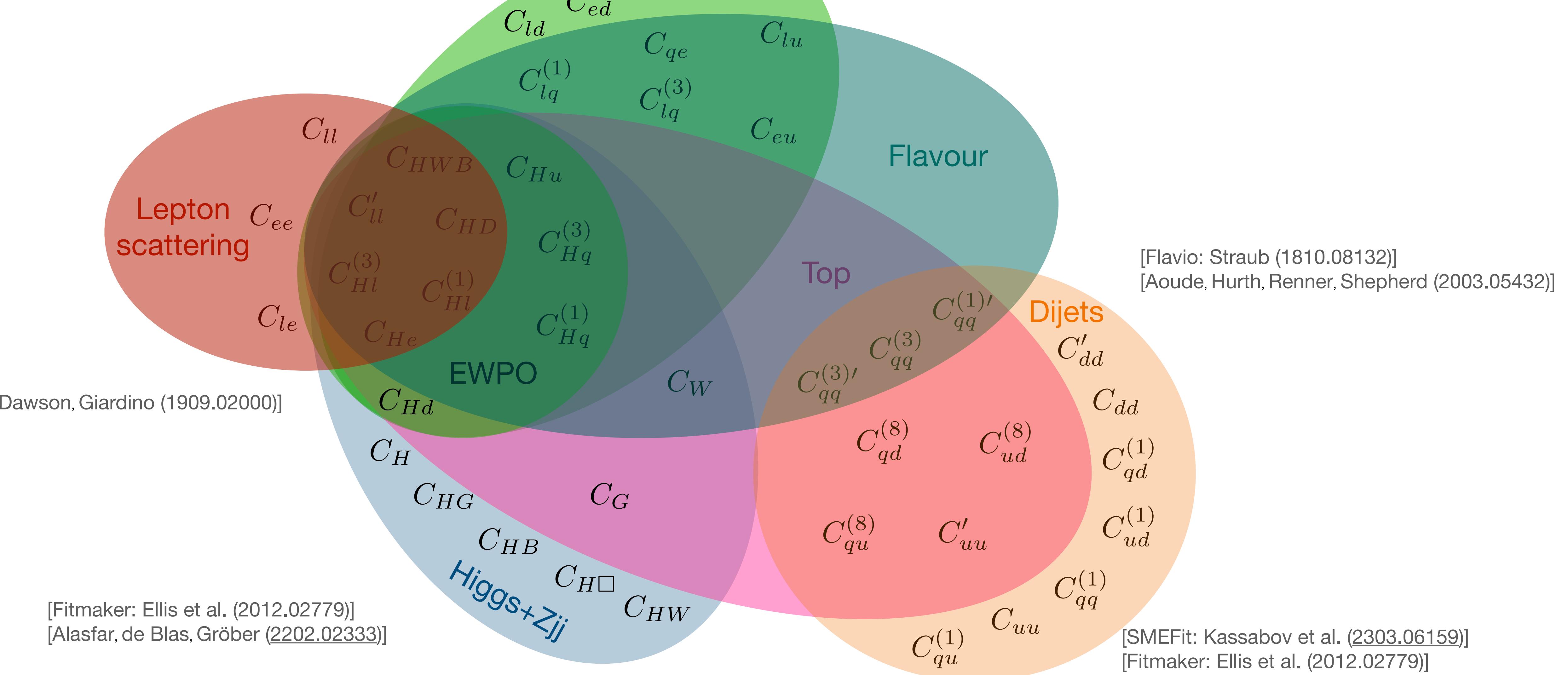
[HighPT: Allwicher et al. (2207.10714)]



Constraining the $U(3)^5$ symmetric SMEFT

[Falkowski, Gonzalez-Alonso, Mimouni (1706.03783)]

[HighPT: Allwicher et al. (2207.10714)]



[Dawson, Giardino (1909.02000)]

[Fitmaker: Ellis et al. (2012.02779)]

[Alasfar, de Blas, Gröber (2202.02333)]

[Flavio: Straub (1810.08132)]

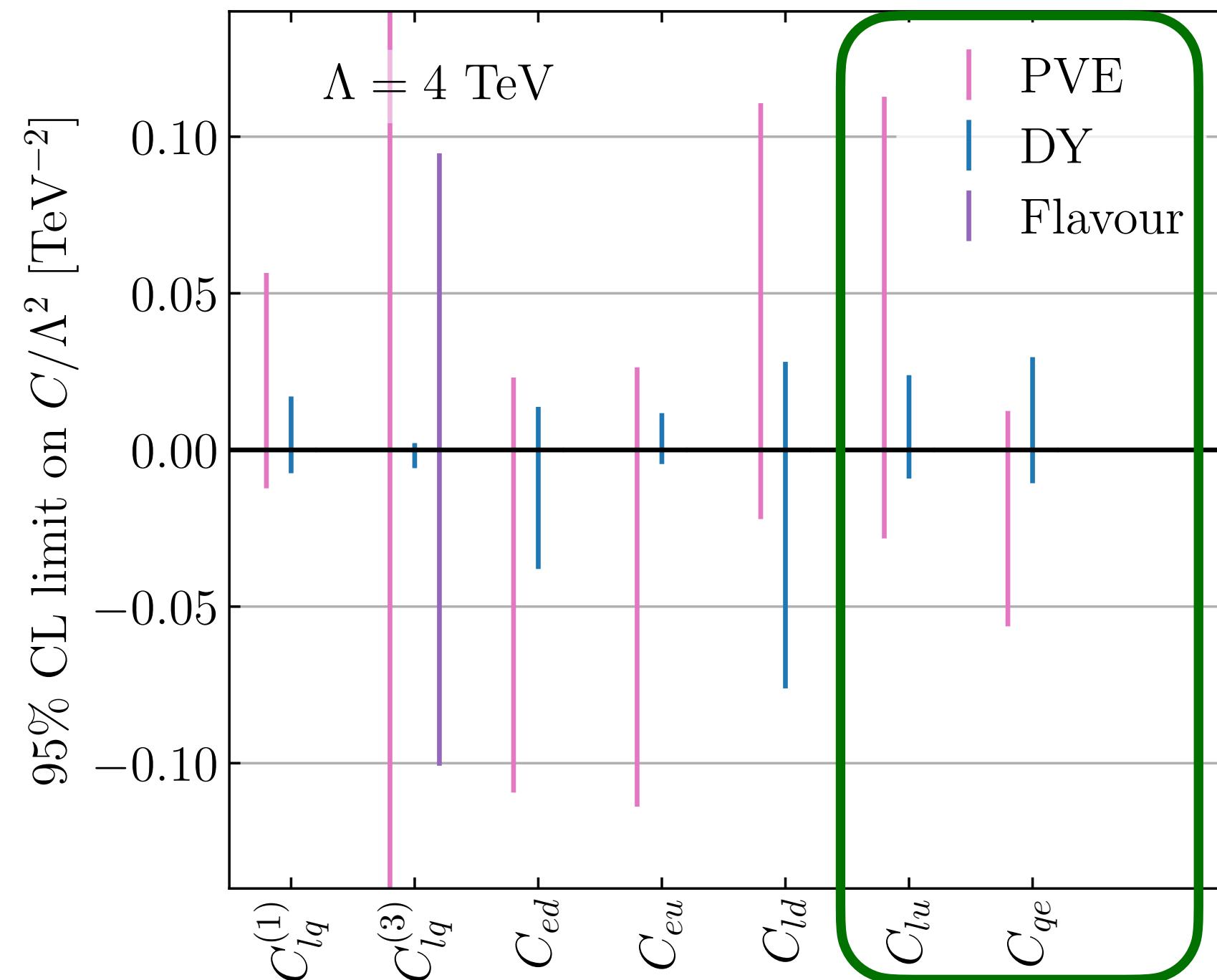
[Aoude, Hurth, Renner, Shepherd (2003.05432)]

[SMEFit: Kassabov et al. (2303.06159)]

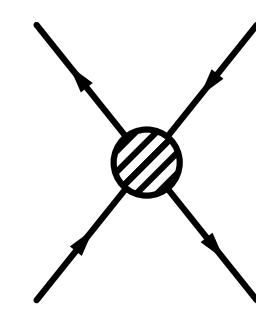
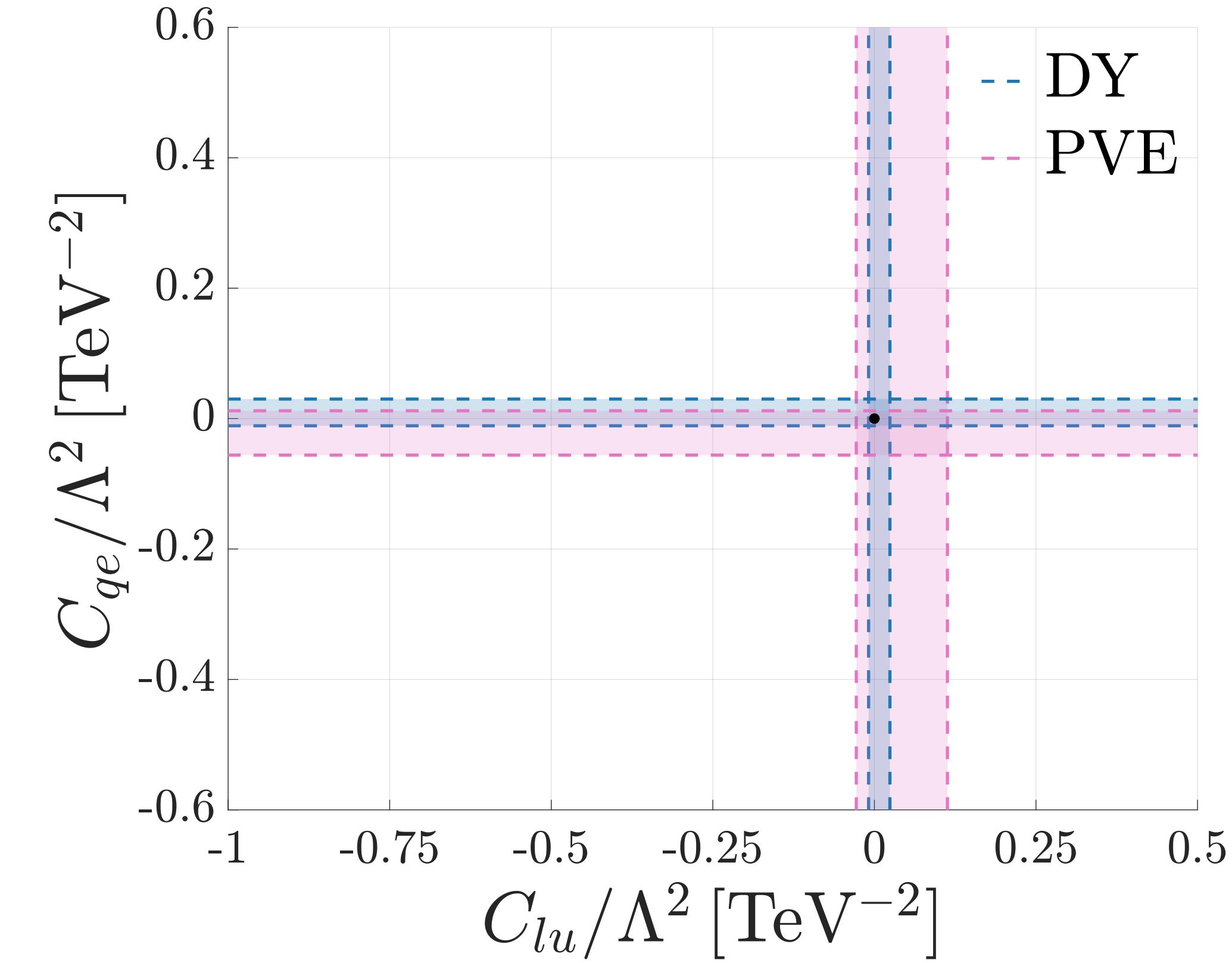
[Fitmaker: Ellis et al. (2012.02779)]

Combining sectors: Drell-Yan vs parity violation experiments

Single-parameter fits



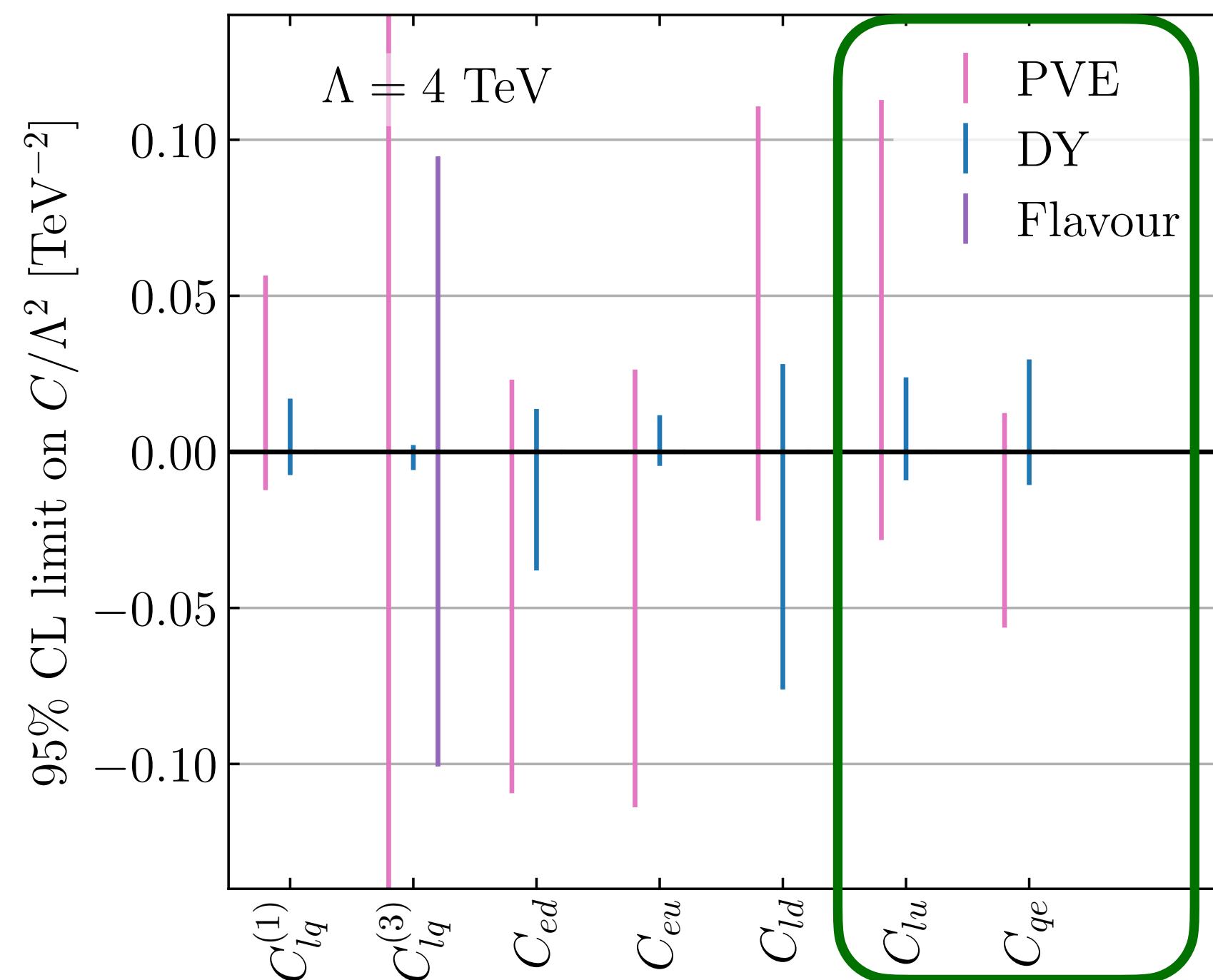
[Bartocci, AB, Hurth (2311.04963)]



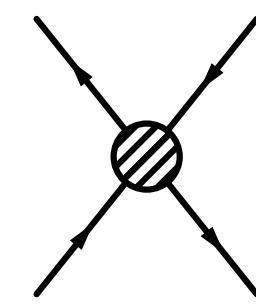
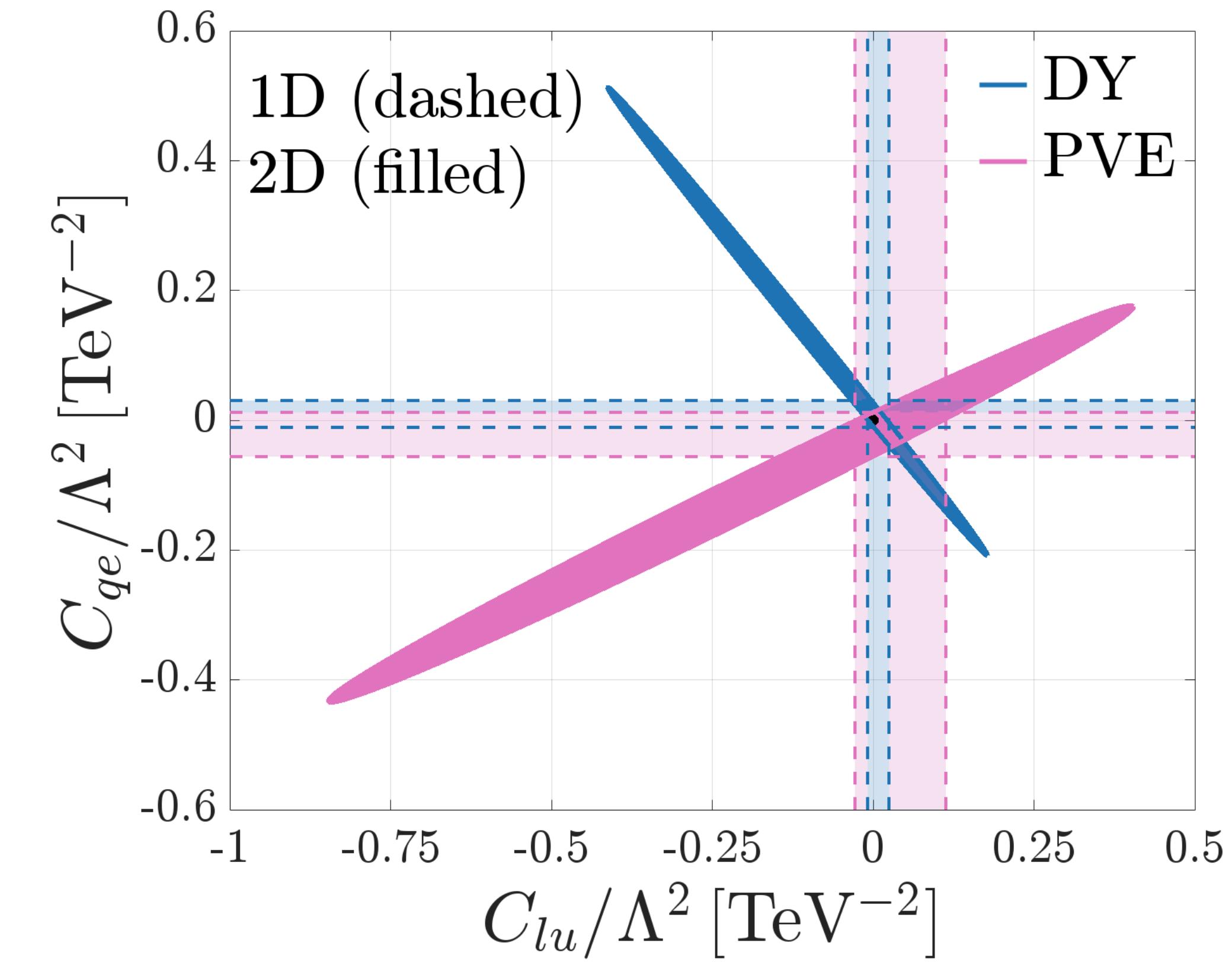
Energy growth is similar for all
Wilson coefficients in Drell-Yan (DY)

Combining sectors: Drell-Yan vs parity violation experiments

Single-parameter fits



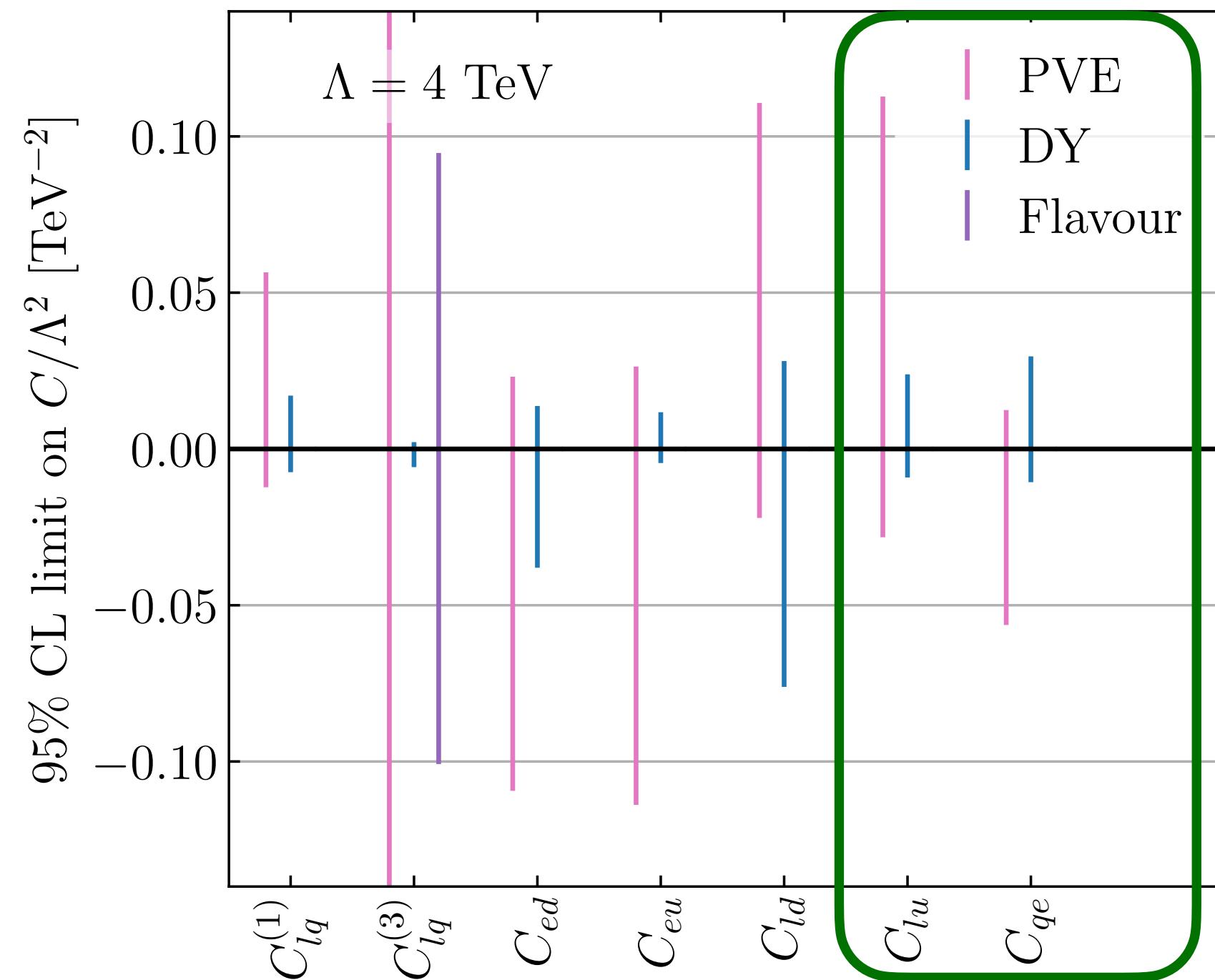
[Bartocci, AB, Hurth (2311.04963)]



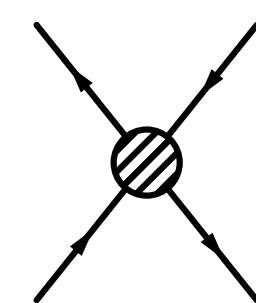
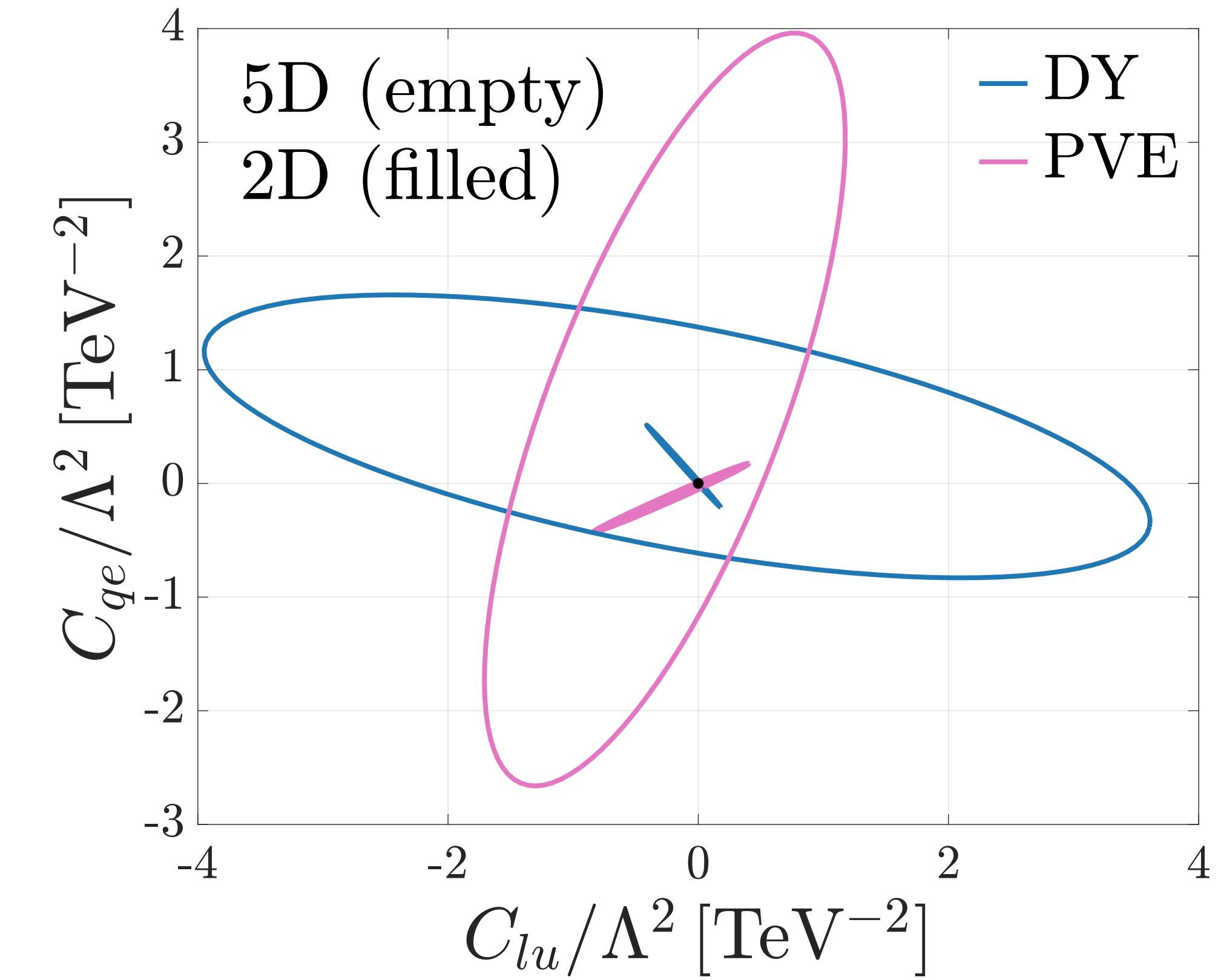
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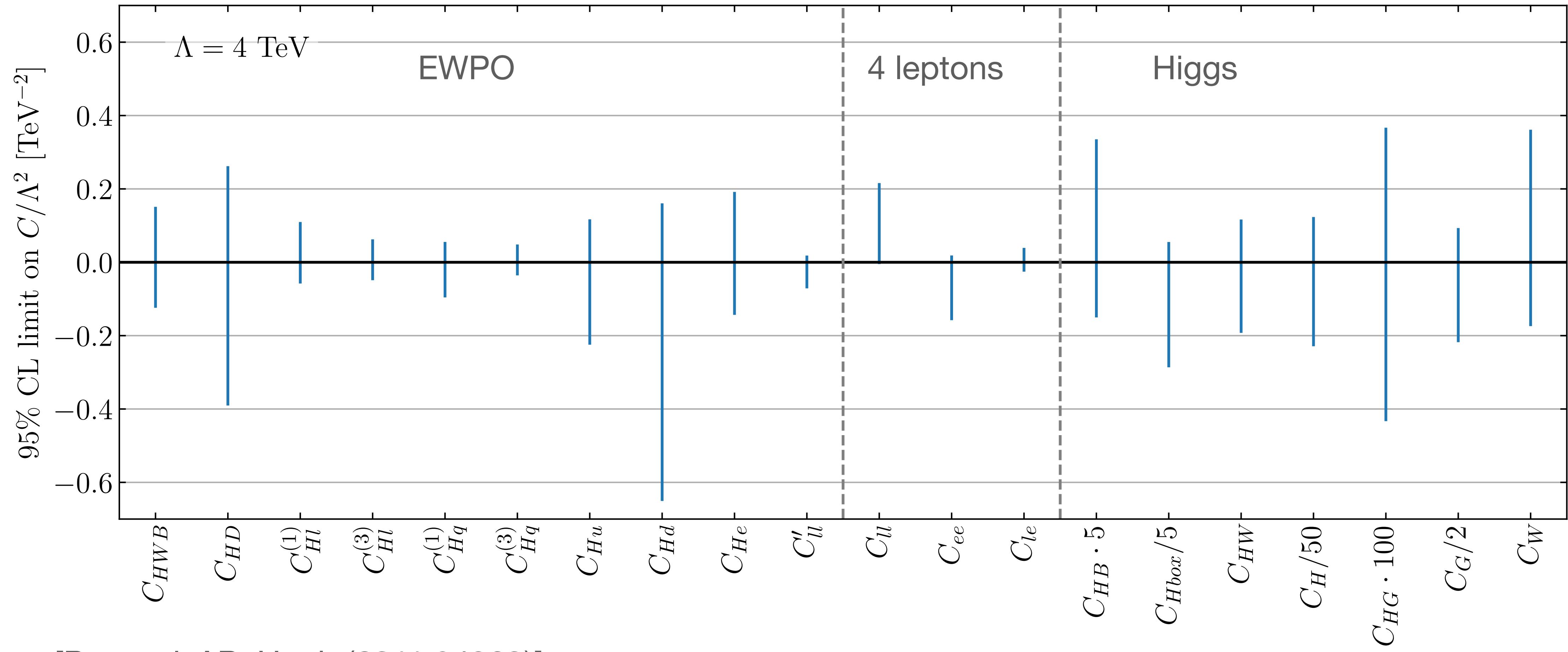


[Bartocci, AB, Hurth (2311.04963)]



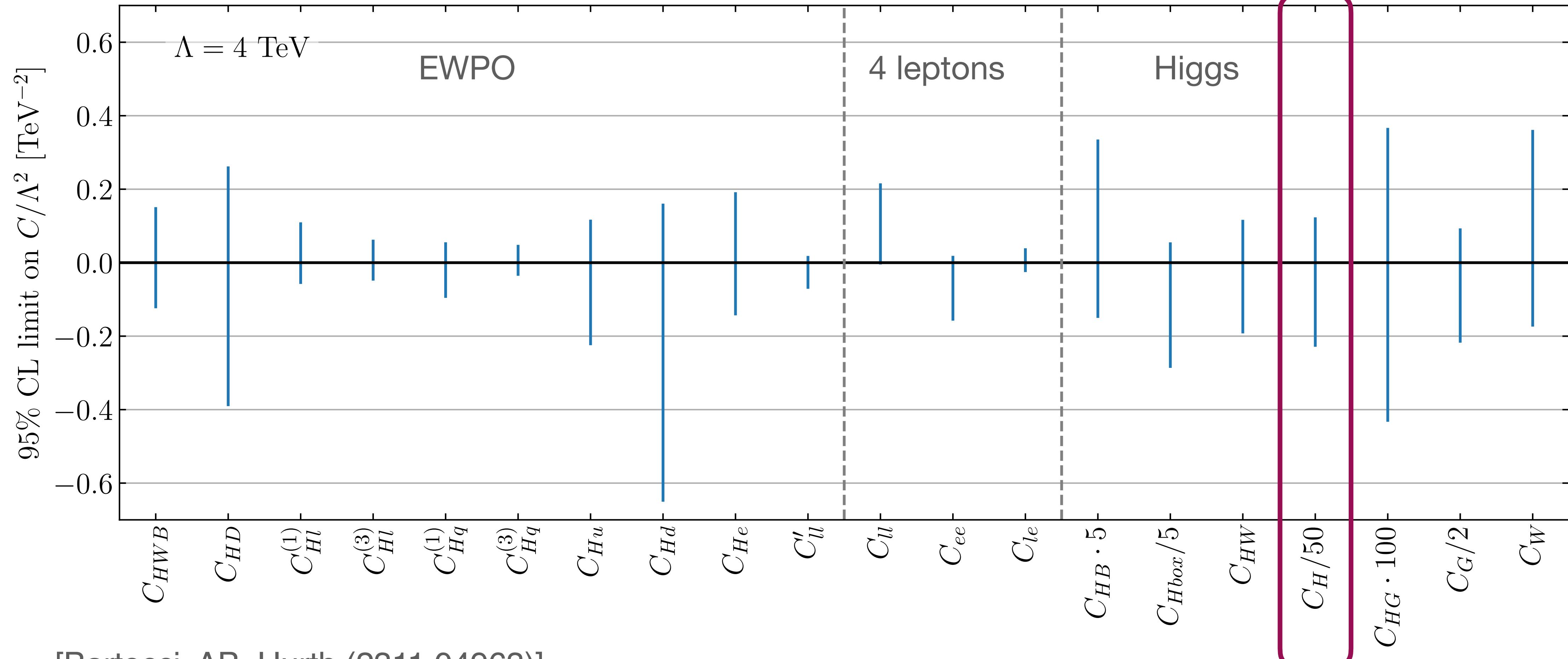
Energy growth is similar for all
Wilson coefficients in Drell-Yan (DY)

LO global fit - Higgs/gauge interactions



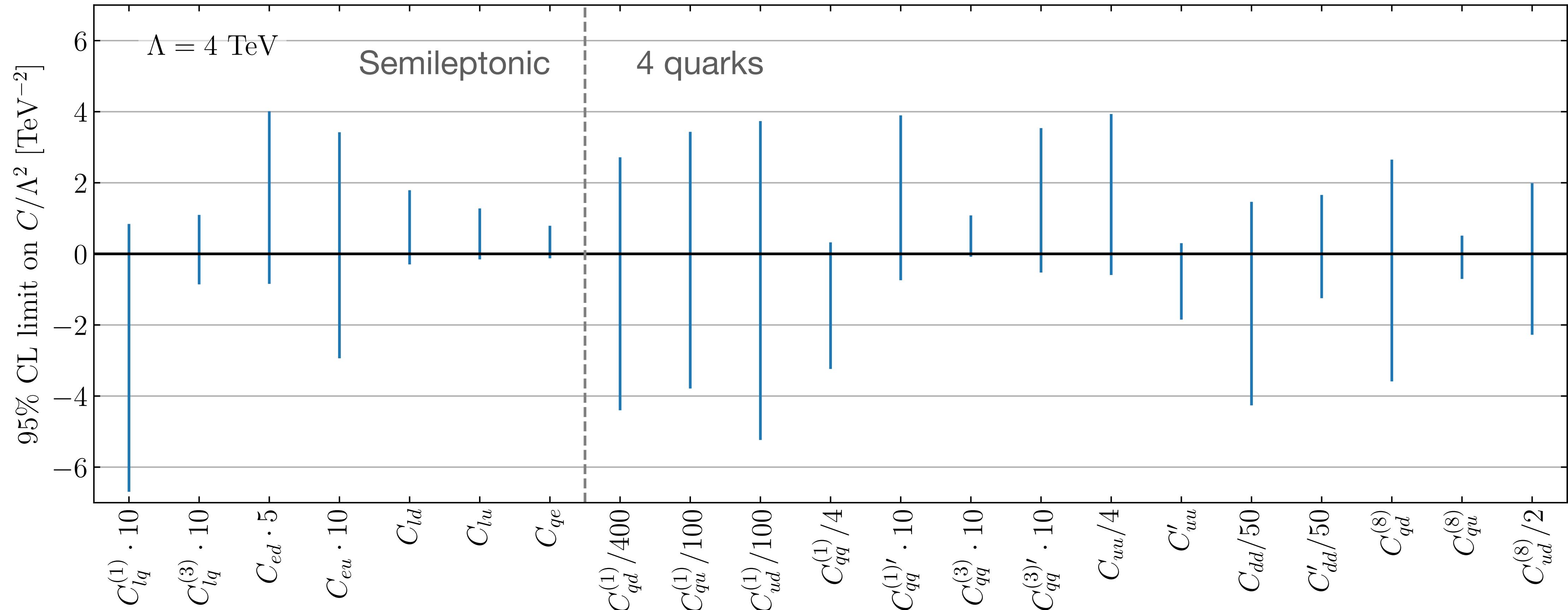
[Bartocci, AB, Hurth (2311.04963)]

LO global fit - Higgs/gauge interactions



[Bartocci, AB, Hurth (2311.04963)]

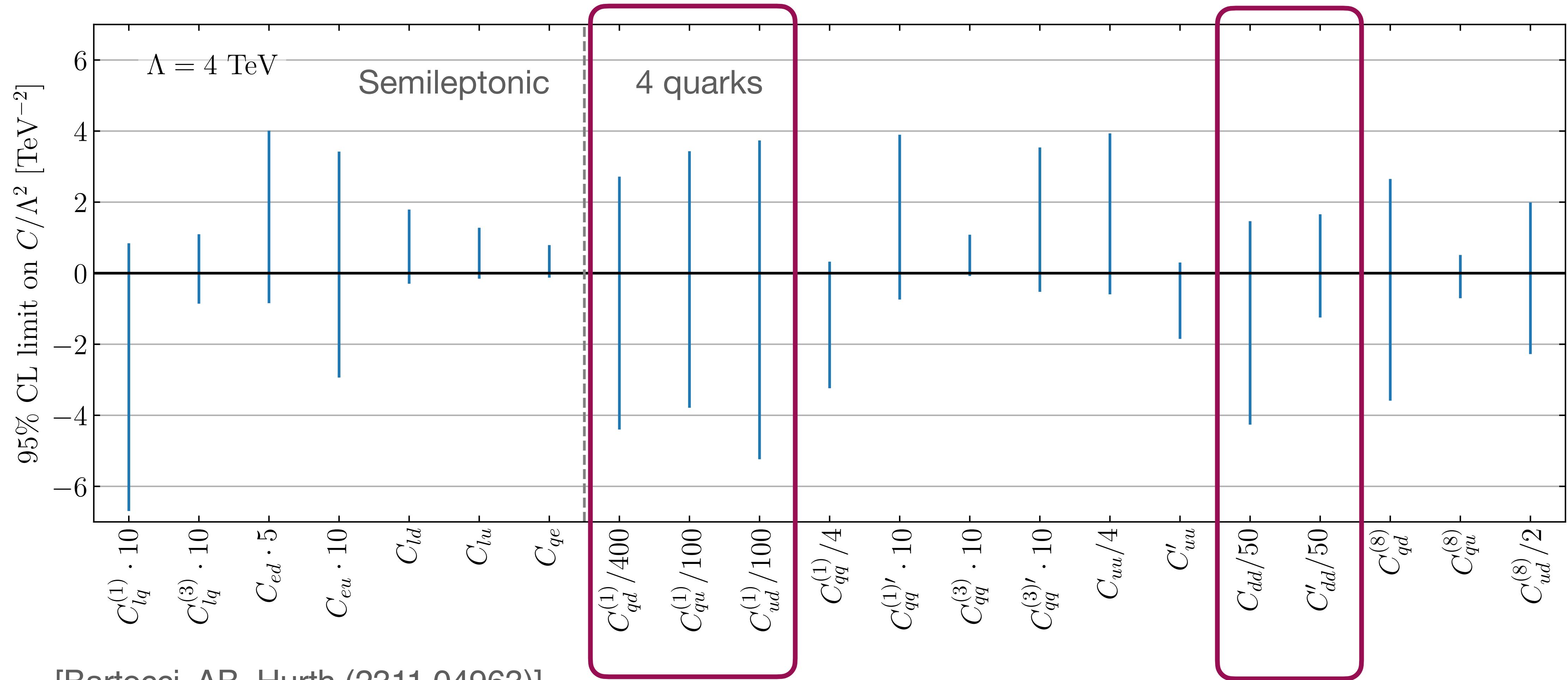
LO global fit - 2



[Bartocci, AB, Hurth (2311.04963)]

LO global fit - 2

Do not interfere with
dominant SM diagram in
dijet(+photon) production



NLO to the rescue?

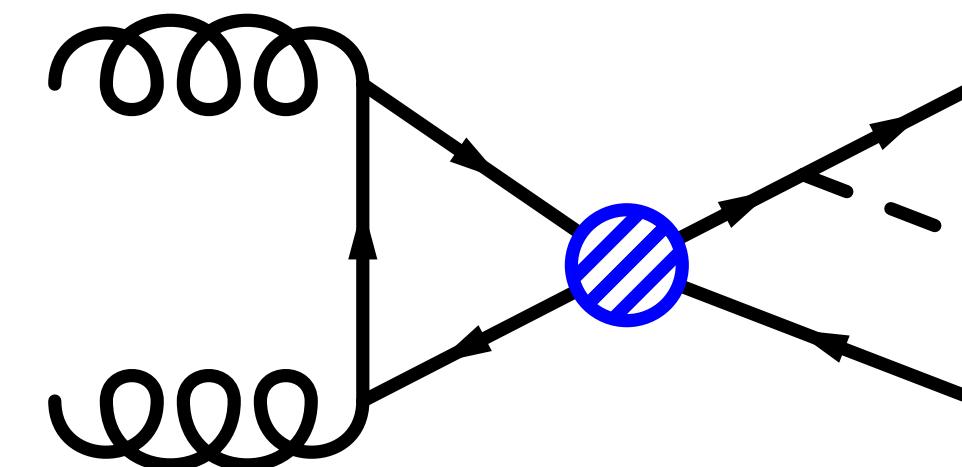
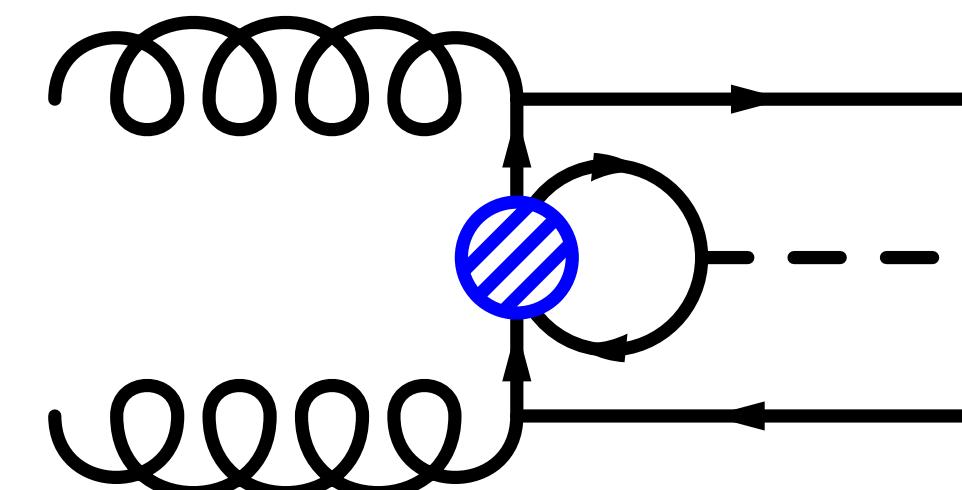
Additional sensitivity from next-to-leading-order (NLO) SMEFT effects

Higgs: $C_{qu}^{(1)}$

Top: $C_{qd}^{(1)}, C_{ud}^{(1)}$

$t\bar{t}h$

$\bar{t}t$

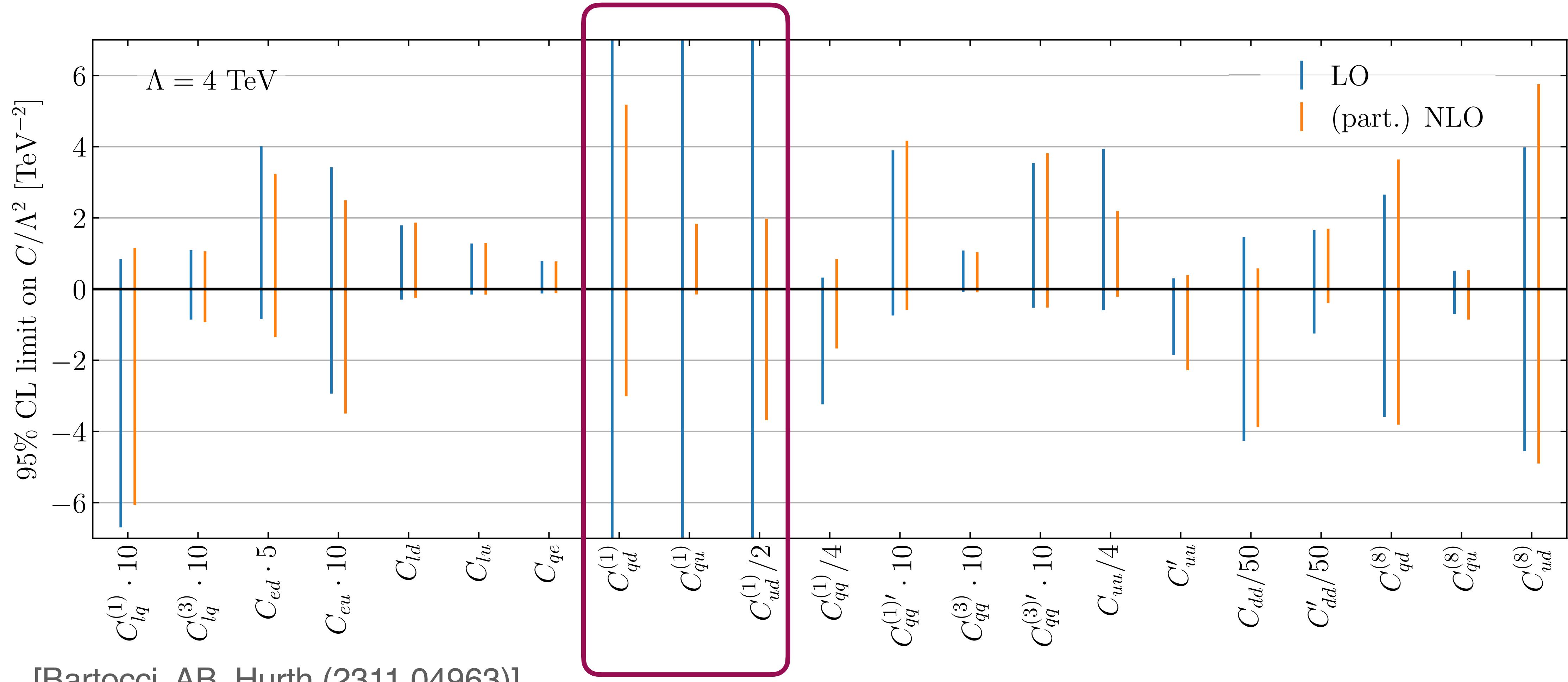


[Alasfar, de Blas, Gröber ([2202.02333](#))]

[SMEFit: Kassabov et al. ([2303.06159](#))]

(Partial) NLO fit

Partial: Not all observables are available at NLO



SMEFT@NLO: Blessing & curse

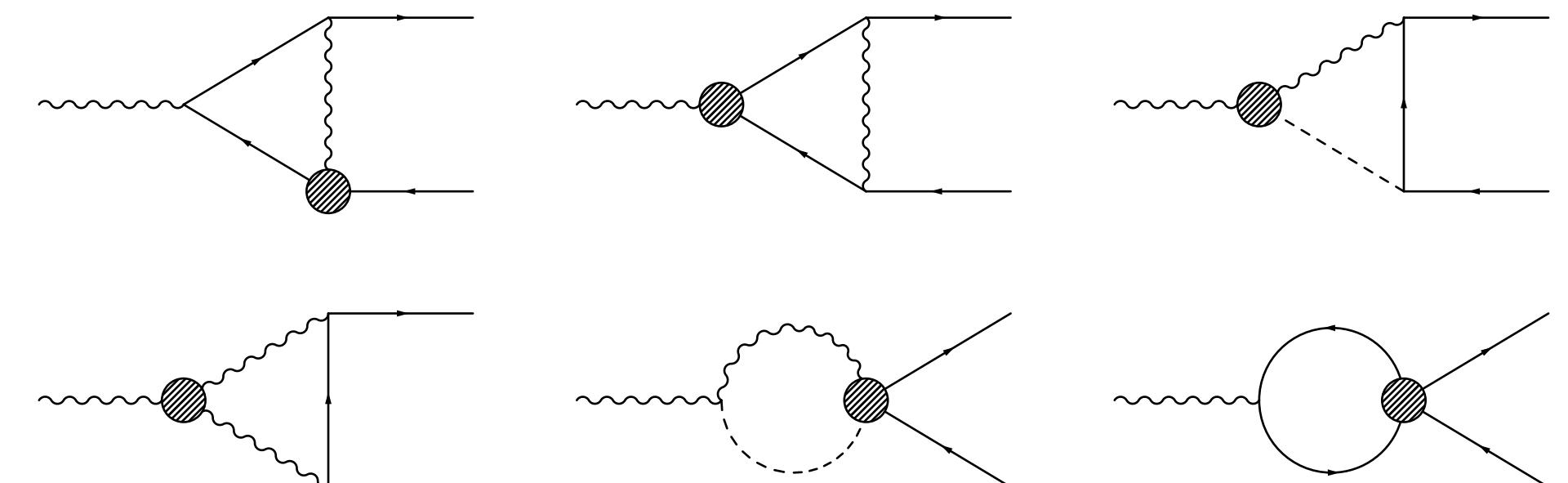
EWPO: 10 ops @LO, 32 ops @NLO ($U(3)^5$ sym)

[Dawson, Giardino (1909.02000)], [AB, Pecjak, Scott, Smith (2305.03763)]

$$\delta\Gamma(Z \rightarrow l^+l^-)^{LO} = \frac{v^2}{\Lambda^2} \left\{ -0.1408\mathcal{C}_{\phi e} + 0.191\mathcal{C}_{\phi l}^{(1)} - 0.037\mathcal{C}_{\phi l}^{(3)} + 0.114\mathcal{C}_{ll} - 0.057\mathcal{C}_{\phi D} - 0.0713\mathcal{C}_{\phi WB} \right\} \text{GeV}$$

$$\begin{aligned} \delta\Gamma(Z \rightarrow l^+l^-)^{NLO} = \frac{v^2}{\Lambda^2} \left\{ -0.1596\mathcal{C}_{\phi e} + 0.1834\mathcal{C}_{\phi l}^{(1)} - 0.0221\mathcal{C}_{\phi l}^{(3)} + 0.0985\mathcal{C}_{ll} - 0.0508\mathcal{C}_{\phi D} - 0.0349\mathcal{C}_{\phi WB} - 0.0001\mathcal{C}_{\phi W} - 0.0002\mathcal{C}_{ed} - 0.0005\mathcal{C}_{ee} + 0.0035\mathcal{C}_{eu} - 0.0002\mathcal{C}_{\phi d} - 0.0042\mathcal{C}_{\phi q}^{(1)} + 0.0032\mathcal{C}_{\phi q}^{(3)} + 0.0049\mathcal{C}_{\phi u} + 0.0002\mathcal{C}_{ld} + 0.0001\mathcal{C}_{le} + 0.0034\mathcal{C}_{lq}^{(1)} - 0.0031\mathcal{C}_{lq}^{(3)} - 0.0045\mathcal{C}_{lu} - 0.0001\mathcal{C}_{\phi \square} - 0.0027\mathcal{C}_{qe} - 0.0007\mathcal{C}_{uB} - 0.0007\mathcal{C}_{uW} - 0.0001\mathcal{C}_W \right\} \text{GeV} \end{aligned}$$

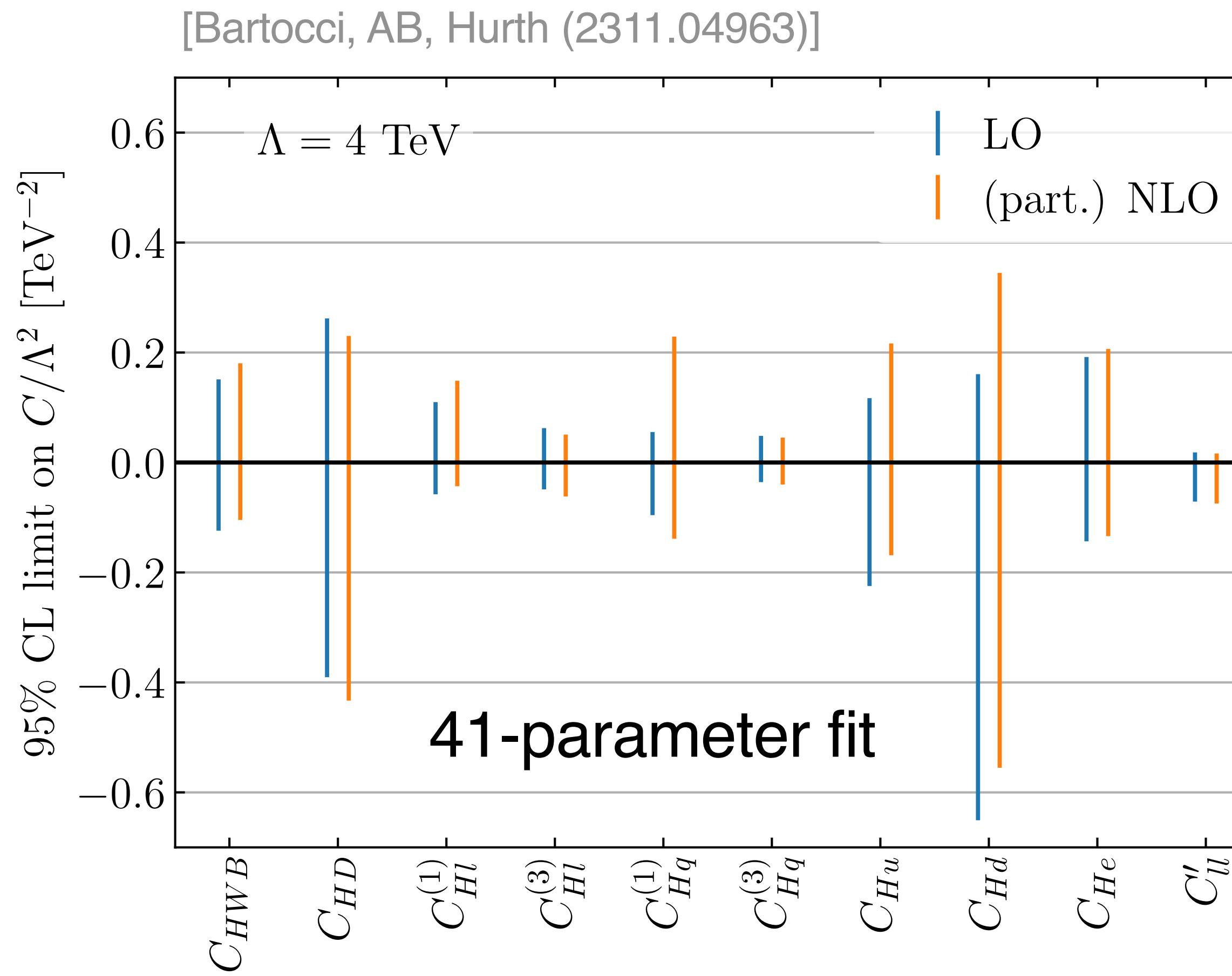
More degrees of freedom contribute to each observable at NLO



precision & degeneracies

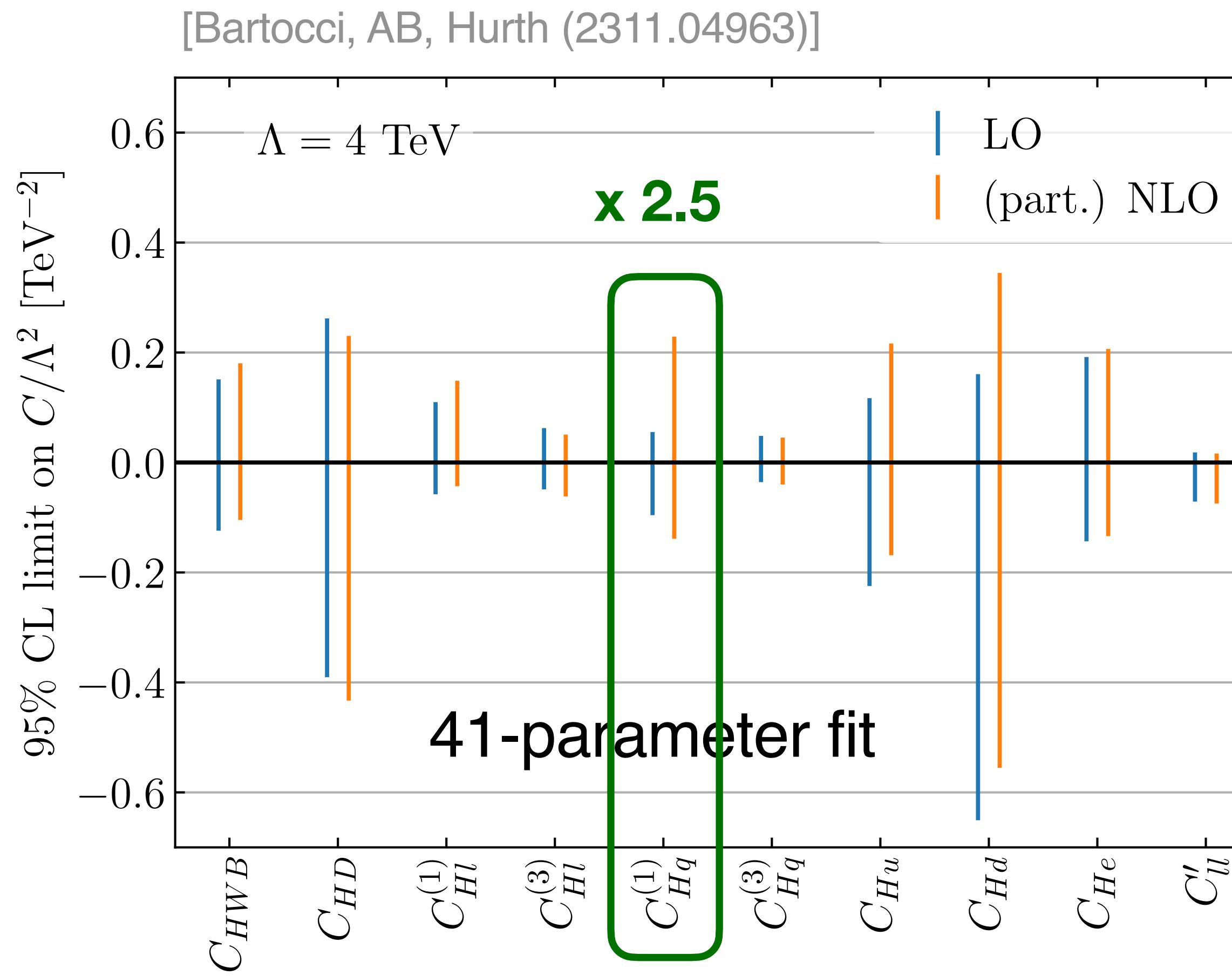
NLO degeneracies

Higgs self coupling [Alasfar, de Blas, Gröber (2202.02333)]



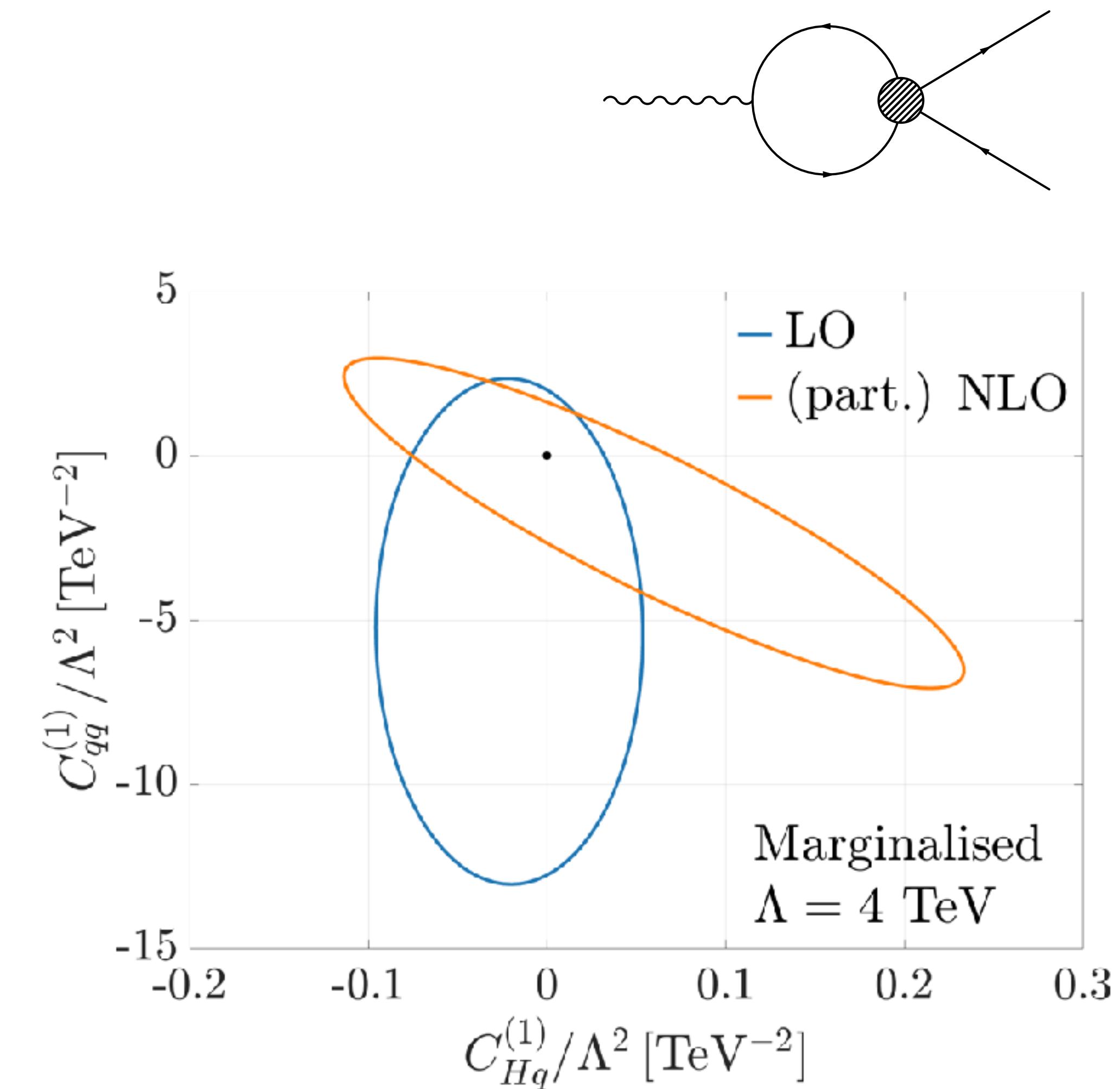
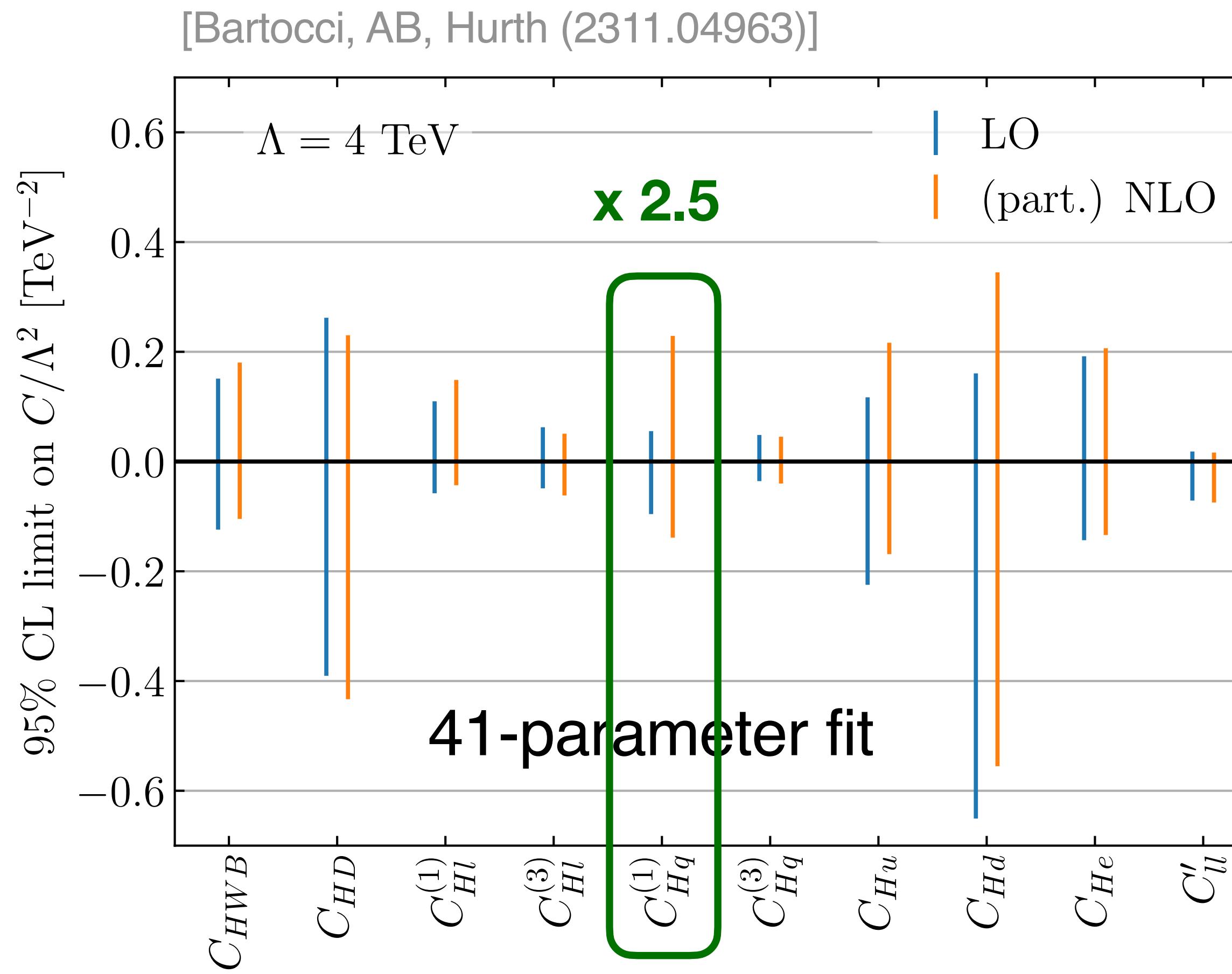
NLO degeneracies

Higgs self coupling [Alasfar, de Blas, Gröber (2202.02333)]



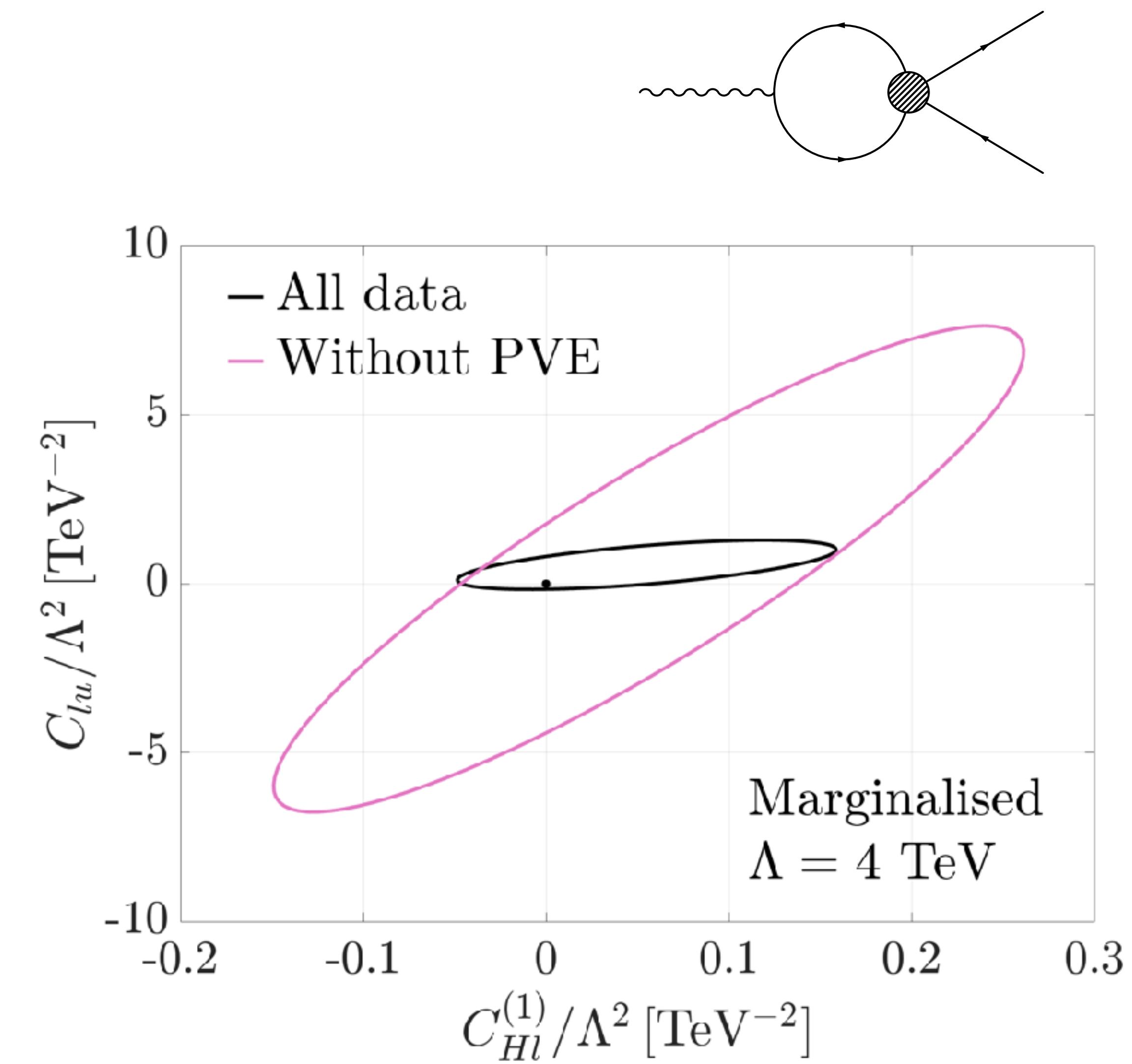
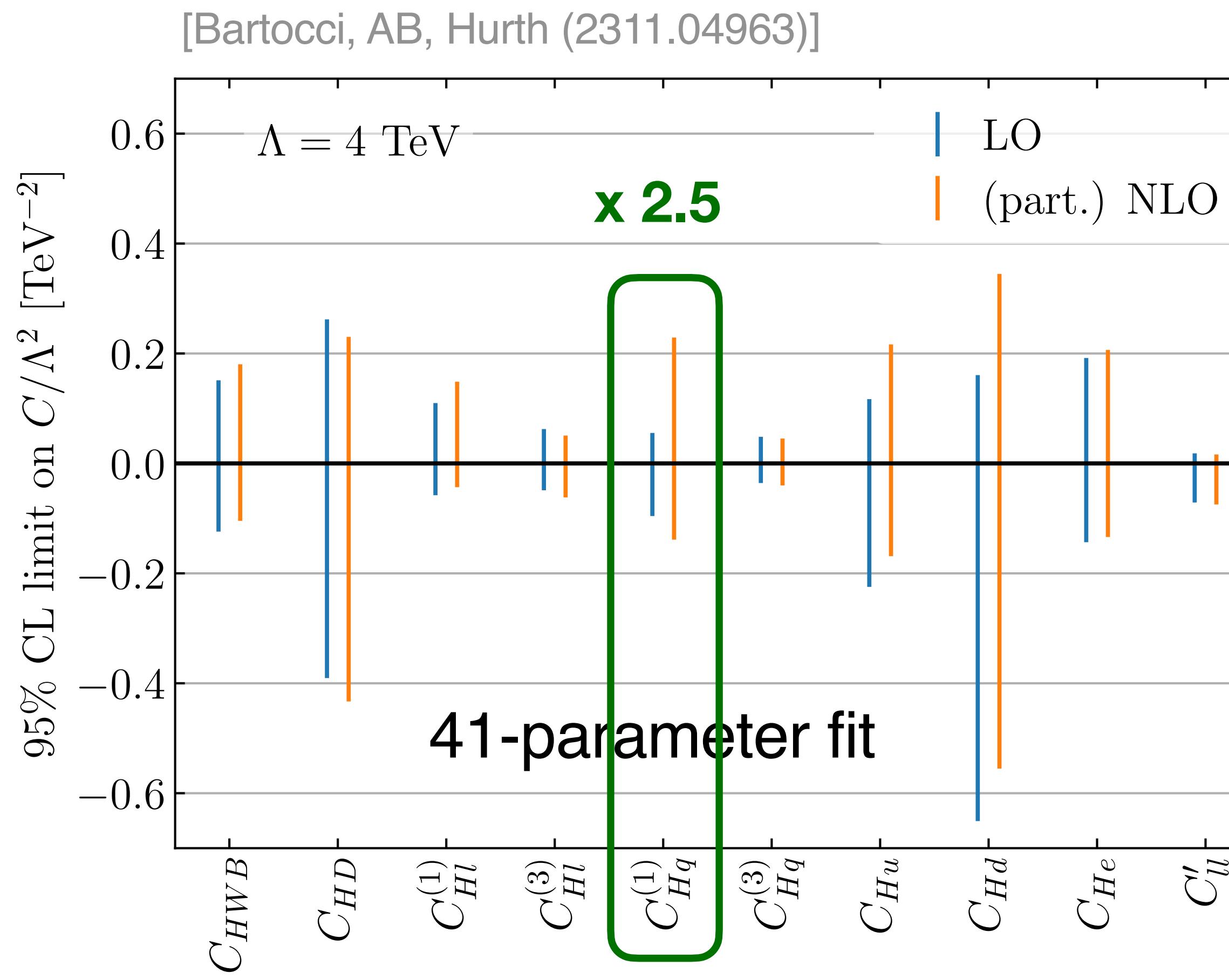
NLO degeneracies

Higgs self coupling [Alasfar, de Blas, Gröber (2202.02333)]



NLO degeneracies

Higgs self coupling [Alasfar, de Blas, Gröber (2202.02333)]



Renormalisation group evolution

+

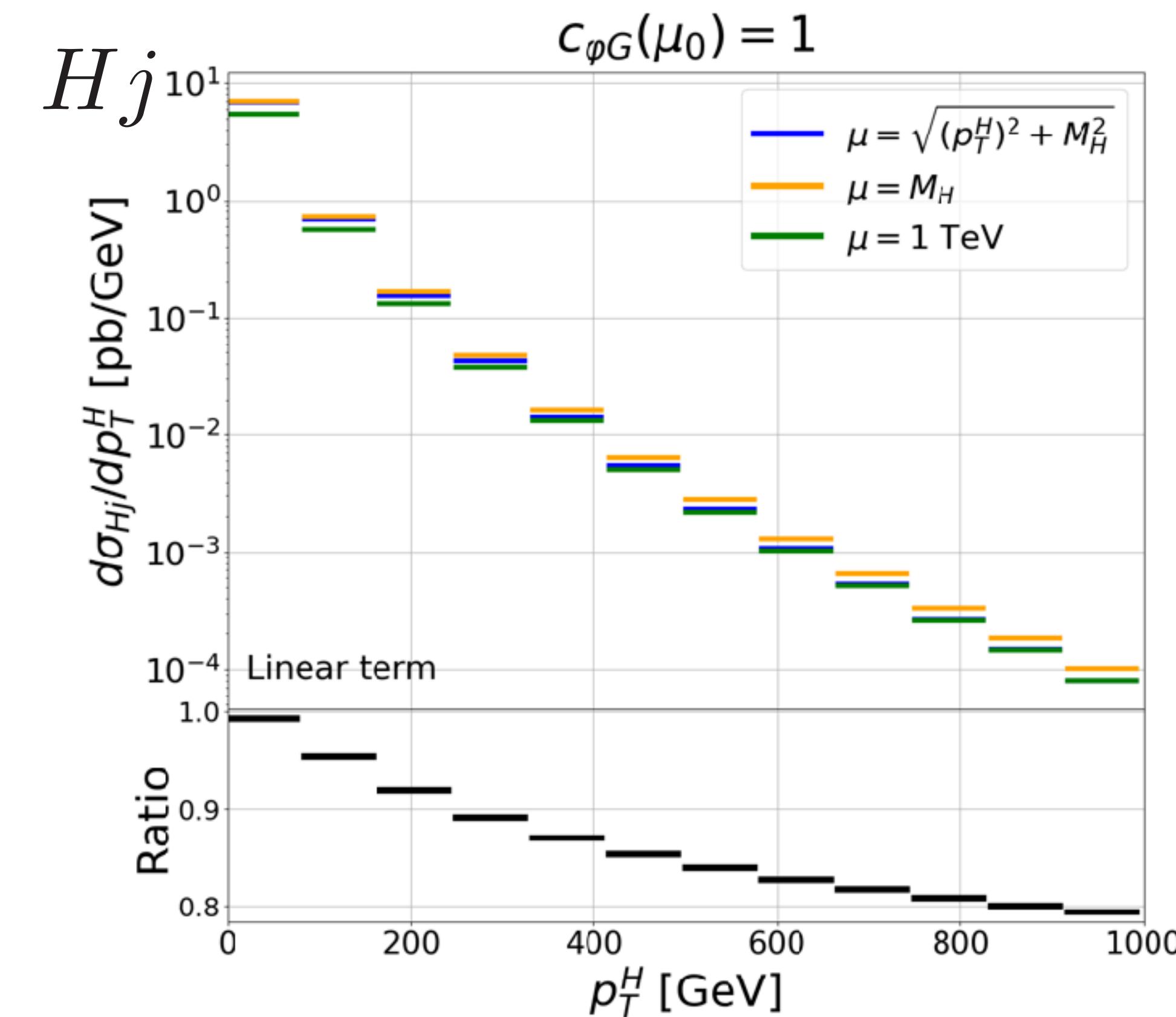
$C(\Lambda)$

+

$C(\mu_{\text{EW}})$

+

$C(m_b)$



[Maltoni, Ventura, Vryonidou (2406.06670)]

RG effects particularly
relevant for differential
distributions

[Alasfar, de Blas, Gröber (2202.02333)]

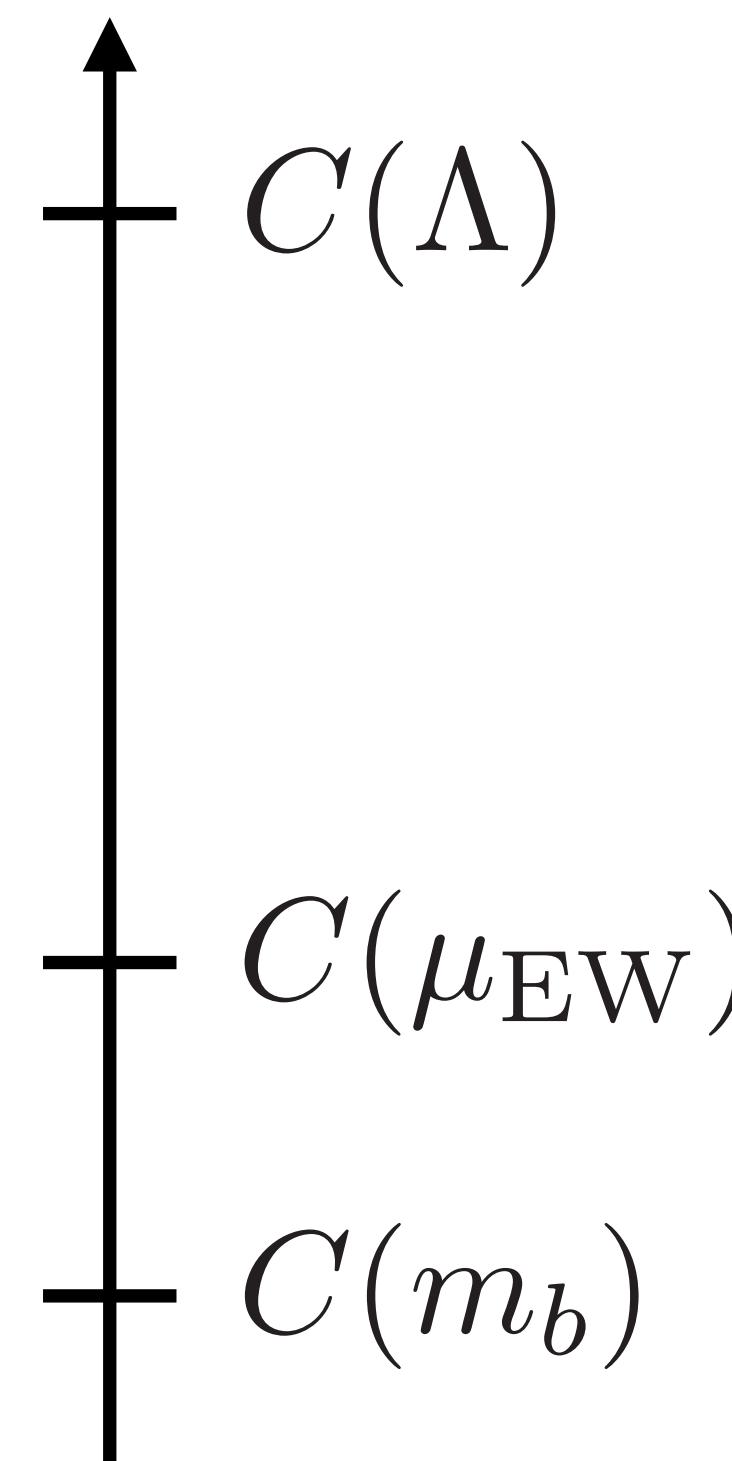
[Aoude, Maltoni, Mattelaer, Severi, Vryonidou (2212.05067)]

[Di Noi, Gröber (2312.11327)]

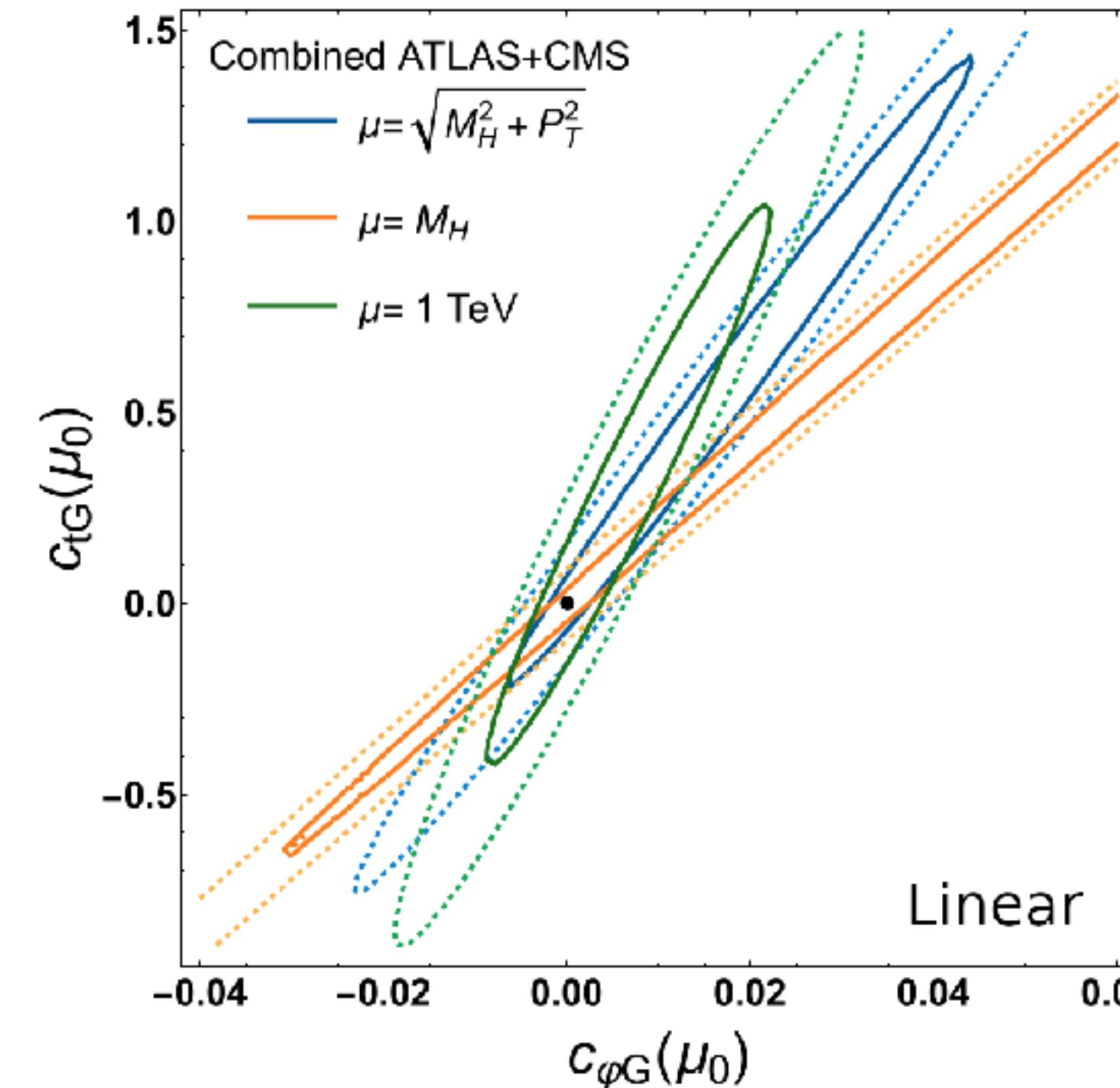
[Di Noi, Gröber, Mandal (2408.03252)]

[Heinrich, Lang (2409.19578)]

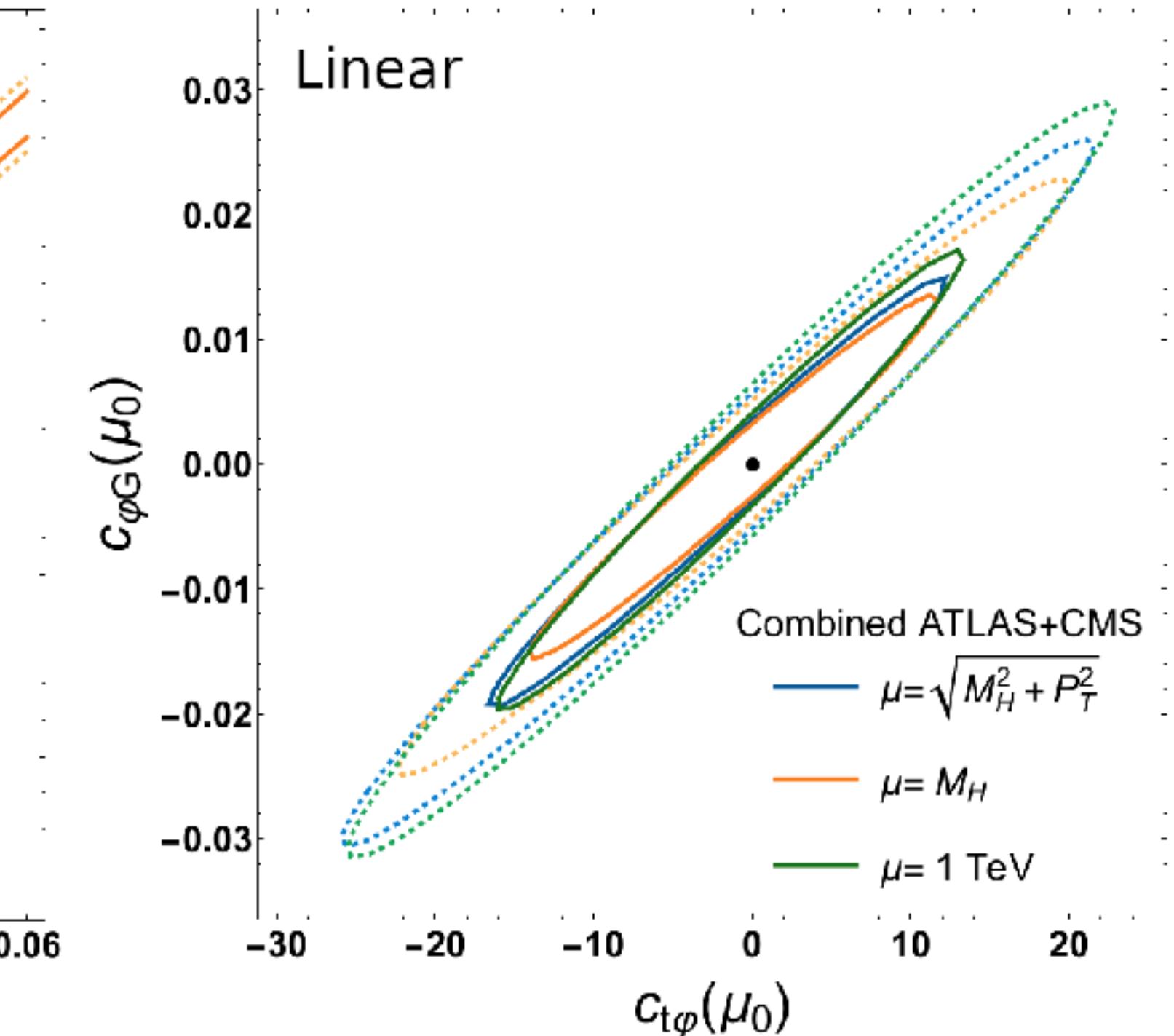
Renormalisation group evolution



Running affects
correlations



[Maltoni, Ventura, Vryonidou (2406.06670)]



[Alasfar, de Blas, Gröber (2202.02333)]

[Aoude, Maltoni, Mattelaer, Severi, Vryonidou (2212.05067)]

[Di Noi, Gröber (2312.11327)]

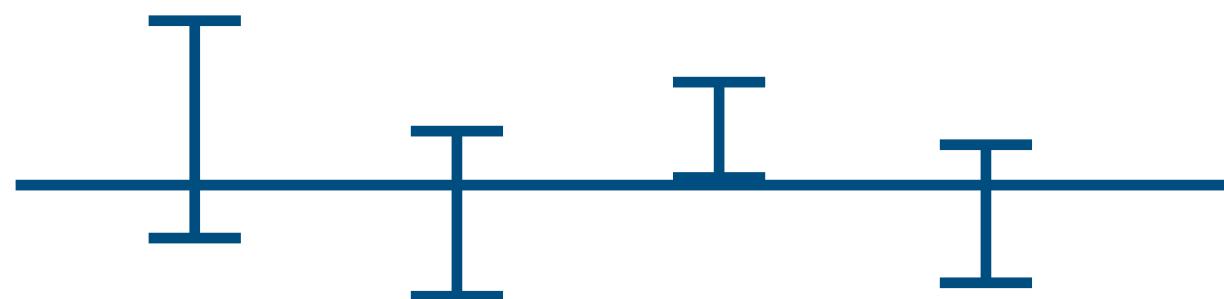
Will be interesting to study effects on global fits

[Di Noi, Gröber, Mandal (2408.03252)]

[Heinrich, Lang (2409.19578)]

Conclusions

- Fitting symmetry-motivated operator sets is becoming a reality
- Degeneracies in NLO SMEFT predictions are manageable with current data
- Will be interesting to include RG effects in global fits



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Thank you for your attention!