# Resurgence and Nonperturbative Physics

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Applications of Quantum Field Theory to Hermitian and non-Hermitian Systems

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# Physical Motivation: Decoding the QFT Path Integral

- $\bullet$  path integral: the foundation of QFT
- theoretical challenges for conventional QFT methods:
  - ▶ high density ("sign problems")
  - ▶ high perturbative orders and highly nonlinear processes
  - non-equilibrium processes
  - strong fields & large gradients: short time/distance scales
  - coherence and decoherence
  - radiation reaction
  - quantum control & optimization
- "resurgence" unifies perturbative+nonperturbative QFT

# Airy: "Spurious Rainbows", and the Airy Function (1830s)



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(Mika-Pekka Markkanen, via Wikimedia Commons)

#### Airy and Rainbows: The Original "Sign Problem"

(Airy, 1836)

"On the intensity of light in the neighbourhood of a caustic"

Ai 
$$(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} d\phi \, e^{i\left(\frac{1}{3}\phi^3 + x\,\phi\right)}$$



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#### Stokes: Solution of The Original "Sign Problem" (Stokes, 1850)



Ai 
$$(x) \sim \begin{cases} \frac{\sin\left(\frac{2}{3} (-x)^{3/2} + \frac{\pi}{4}\right)}{\sqrt{\pi} (-x)^{1/4}} & , \quad x \to -\infty \end{cases}$$

"Stokes, by mathematical supersubtlety, transformed Airy's integral into a form by which the light at any point of any of those thirty bands, and any desired greater number of them, could be calculated with but little labour" Lord Kelvin in Stokes's Obituary, 1903

# The Stokes Phenomenon

(Stokes, 1857)

"On the discontinuity of arbitrary constants which appear in divergent developments"



• real physics is often governed by complex saddle points

• Stokes phenomenon: as (the phase of) an external parameter varies, the saddle points move and the steepest descents contours are deformed. At certain phases, these contours jump and a saddle can appear or disappear

# The Stokes Phenomenon in QFT

- basic feature of amplitude or S-matrix computations
- basic feature of QFT path integral

$$\int \mathcal{D}\phi \, \exp\left[rac{i}{\hbar} S[\phi; m, g, \mu, B, E, \lambda, au, T, ...]
ight]$$

• generator of perturbative (loop, gradient, ...) expansions

$$\sum_n a_n \, \hbar^n$$

• generator of nonperturbative (saddle) expansions

$$\sum_{\text{saddles}} e^{\frac{i}{\hbar}S_c} \det\left(\frac{\delta^2 S}{\delta\phi^2}\right) \sum (\text{fluctuations})$$

- these expansions look different, but they must agree !
- <u>how</u> they agree = resurgence

# **Resurgent Trans-Series**

Resurgence: 'new' idea in mathematics (Écalle 1980s; Dingle 1960s; Stokes 1850s) resurgence = unification of perturbative & non-perturbative physics

• perturbative series expansion  $\longrightarrow trans-series$  expansion

$$f(g) = \sum_{p=0}^{\infty} \sum_{k=0}^{\infty} \sum_{l=1}^{k-1} c_{k,l,p} \underbrace{(g)^p}_{\text{perturbative fluctuations}} \underbrace{\left( \exp\left[-\frac{S}{g}\right] \right)^k}_{\text{instantons}} \underbrace{\left( \ln\left[g\right] \right)^l}_{\text{logarithms}}$$

- trans-series 'well-defined under analytic continuation'
- perturbative and non-perturbative physics entwined
- ODEs, PDEs, difference equations, fluid mechanics, QM, Matrix Models, QFT, Chern-Simons, String Theory, ...
- "non-perturbative completion" (see Daniele Dorigoni's talk)
- define the path integral constructively as a trans-series

# "Resurgence"

resurgent functions display at each of their singular points a behaviour closely related to their behaviour at the origin. Loosely speaking, these functions resurrect, or surge up - in a slightly different guise, as it were - at their singularities J. Écalle



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Question: can we take advantage of this for QFT ?

Resurgence: generic large-order/low-order duality

- general feature of exponential integrals: e.g. Airy
- expansions about the two saddles are explicitly related

$$T_r^{\pm} = (\pm 1)^r \frac{\Gamma\left(r + \frac{1}{6}\right) \Gamma\left(r + \frac{5}{6}\right)}{(2\pi) \left(\frac{4}{3}\right)^r r!} = \left\{1, \pm \frac{5}{48}, \frac{385}{4608}, \pm \frac{85085}{663552}, \dots\right\}$$

• large order behavior of fluctuation coefficients:

$$T_r^+ \sim \frac{(r-1)!}{(2\pi) \left(\frac{4}{3}\right)^r} \left( 1 - \left(\frac{4}{3}\right) \frac{5}{48} \frac{1}{(r-1)} + \left(\frac{4}{3}\right)^2 \frac{385}{4608} \frac{1}{(r-1)(r-2)} - \dots \right)^{\frac{1}{2}}$$

- generic in nonlinear ODEs, PDEs, difference eqs, ...
- similar behavior in QM, matrix models, QFT ...

#### Borel summation: from series to transseries

• Borel transform of series, where  $c_n \sim n!$ ,  $n \to \infty$ 

$$f(g) \sim \sum_{n=0}^{\infty} c_n g^n \longrightarrow \mathcal{B}[f](t) = \sum_{n=0}^{\infty} \frac{c_n}{n!} t^n$$

new series has finite radius of convergence (singularities)

• Borel summation of original asymptotic series:

$$\mathcal{S}f(g) = \frac{1}{g} \int_0^\infty \mathcal{B}[f](t) e^{-t/g} dt$$

• the singularities of  $\mathcal{B}[f](t)$  provide a physical encoding of the global asymptotic behavior of f(g)

• Borel singularities = non-perturbative physical objects

• resurgence: perturbative sector encodes the non-perturbative sectors via the Borel transform

# Resurgence in Infinite Dimensions: the QM Path Integral





#### Resurgence in Infinite Dimensions: the QM Path Integral



$$E(\hbar, N) = E_{\text{pert}}(\hbar, N) \pm \frac{\hbar}{\sqrt{2\pi}} \frac{1}{N!} \left(\frac{S}{\hbar}\right)^{N+\frac{1}{2}} e^{-S/\hbar} \mathcal{P}_{\text{inst}}(\hbar, N) + \dots$$

• one-instanton fluctuation factor:

$$\mathcal{P}_{\text{inst}}(\hbar, N) = \frac{\partial E_{\text{pert}}}{\partial N} \exp\left[S \int_0^{\hbar} \frac{d\hbar}{\hbar^3} \left(\frac{\partial E_{\text{pert}}(\hbar, N)}{\partial N} - \hbar + \frac{\left(N + \frac{1}{2}\right)\hbar^2}{S}\right)\right]$$

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• the entire trans-series can be decoded in terms of the perturbative series Alvarez/Casares, GD/Ünsal, ...

#### Nonlinear Stokes Phenomenon in the Mathieu Spectrum



- nonlinear Stokes transition: real/complex instantons (Başar/GD/Ünsal 1603.04924, 1501.05671)
- cf. Nekrasov partition function for  $\mathcal{N} = 2$  SU(2) SYM

Gross-Witten-Wadia = 2d U(N) Lattice Gauge Theory

$$Z(t,N) = \int_{U(N)} DU \, \exp\left[\frac{N}{t} \mathrm{tr}\left(U + U^{\dagger}\right)\right]$$

- 't Hooft coupling  $t = g^2 N$
- 3rd order phase transition at  $N = \infty$ , t = 1 (universal)



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# $\label{eq:Transmutation} Transmutation of the GWW Trans-series \qquad {\tt Ahmed \& GD: 1710.01812}$

- "order parameter"  $\Delta(t, N) \equiv \langle \det U \rangle$  satisfies a nonlinear ODE
- Rossi equation (Painlevé III):

$$t^{2}\Delta'' + t\Delta' + \frac{N^{2}\Delta}{t^{2}}\left(1 - \Delta^{2}\right) = \frac{\Delta}{1 - \Delta^{2}}\left(N^{2} - t^{2}\left(\Delta'\right)^{2}\right)$$

 $\bullet$  non-perturbative large N effects from the ODE

$$\Delta(t,N) = \sum_{n} \frac{c_n^{(0)}(t)}{N^{2n}} + e^{-NS(t)} \sum_{n} \frac{c_n^{(1)}(t)}{N^n} + e^{-2NS(t)} \sum_{n} \frac{c_n^{(2)}(t)}{N^n} + \dots$$

- all physical observables inherit this trans-series structure
- phase transition = nonlinear Stokes phenomenon
- <u>universal</u> reduction to Painlevé II across phase transition

#### Resurgence: Large N at Strong 't Hooft Coupling

• large N trans-series at strong coupling (t > 1)

$$\Delta(t,N) \approx \sigma_{\text{strong}} J_N\left(\frac{N}{t}\right) \sim \sigma_{\text{strong}} \frac{\sqrt{t} e^{-NS_{\text{strong}}(t)}}{\sqrt{2\pi N} (t^2 - 1)^{1/4}} \sum_{n=0}^{\infty} \frac{U_n(t)}{N^n} + \dots$$

 $\bullet$  strong-coupling large N instanton action

$$S_{\text{strong}}(t) = \operatorname{arccosh}(t) - \sqrt{1 - \frac{1}{t^2}}$$

• nonlinearity  $\Rightarrow$  trans-series with all odd powers of

$$\sigma_{\rm strong} \frac{e^{-NS_{\rm strong}(t)}}{\sqrt{S'_{\rm strong}(t)}}$$

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#### Resurgence: Large N at Weak 't Hooft Coupling

• large N trans-series at weak-coupling (t < 1)

$$\Delta(t,N) \sim \sqrt{1-t} \sum_{n=0}^{\infty} \frac{d_n^{(0)}(t)}{N^{2n}} - \frac{\sigma_{\text{weak}}}{2\sqrt{2\pi N}} \frac{t \, e^{-NS_{\text{weak}}(t)}}{(1-t)^{1/4}} \sum_{n=0}^{\infty} \frac{d_n^{(1)}(t)}{N^n} + \dots$$

 $\bullet$  weak-coupling large N instanton action

$$S_{\text{weak}}(t) = \frac{2\sqrt{1-t}}{t} - 2 \operatorname{arctanh}\left(\sqrt{1-t}\right)$$

• large-order growth of perturbative coefficients ( $\forall t < 1$ ):

$$d_n^{(0)}(t) \sim \frac{-1}{\sqrt{2}(1-t)^{3/4}\pi^{3/2}} \frac{\Gamma(2n-\frac{5}{2})}{(S_{\text{weak}}(t))^{2n-\frac{5}{2}}} \left[ 1 + \frac{(3t^2-12t-8)}{96(1-t)^{3/2}} \frac{S_{\text{weak}}(t)}{(2n-\frac{7}{2})} + \frac{1}{2} \frac{S_{\text{weak}}(t)}{(2n-\frac$$

• (parametric) resurgence relations, for all t:

$$\sum_{n=0}^{\infty} \frac{d_n^{(1)}(t)}{N^n} = 1 + \frac{(3t^2 - 12t - 8)}{96(1 - t)^{3/2}} \frac{1}{N} + \dots$$

## Resurgence in GWW: double-scaling limit = Painlevé II

• uniform limit of Bessel function:

$$\lim_{N \to \infty} J_N(N - N^{1/3}\kappa) = \left(\frac{2}{N}\right)^{1/3} \operatorname{Ai}\left(2^{1/3}\kappa\right)$$

• scaling of  $J_N(N/t)$  as  $t \to 1$ :  $N \to \infty$  with x fixed

$$t \sim 1 + \frac{x}{(2N^2)^{1/3}}$$
;  $\Delta(t, N) = \left(\frac{2t}{N}\right)^{1/3} y(x)$ 

 $\Delta$  PIII equation  $\longrightarrow \frac{d^2y}{dx^2} = x y(x) + 2 y^3(x)$  (PII)

• Painlevé II = "nonlinear Airy equation"

• the immediate vicinity of the physical phase transition region is described by the Hastings-McLeod Painlevé II solution

#### Gross-Witten-Wadia Phase Transition and Lee-Yang zeros

Lee-Yang: complex zeros of Z(t, N) pinch real axis at phase transition point in the thermodynamic  $(N \to \infty)$  limit

 $t = 0 t = 1 t = \infty$   $t = 1 + \kappa N^{-2/3}$ 

• double-scaling: bridge across transition (nonlinear Airy)



GWW zeros (Kolbig)

Painlevé II (Novokshenov; Huang) = > = - ? ? ?

# Resurgence Extrapolation and Continuation in QFT

• idea: "reconstruct" non-perturbative physics from a "reasonable" amount of perturbative input information

• the key to a more accurate analytic continuation from the original series is a more accurate analytic continuation of its Borel transform, especially near its singularities

• <u>technical problem</u>: given a finite number (possibly small) of terms in a perturbative expansion, which is presumably asymptotic, what is the most effective way to analytically continue the truncated Borel transform?

[new optimal methods: O. Costin, GD 2003.07451, 2009.01962, 2108.01145]

#### Analytic Continuation of Painlevé I tritronquée (Costin, GD: 1904.11593)



- 5-fold symmetry:  $y(x) \approx \sqrt{x} \mathcal{P}\left(\frac{4}{5}x^{5/4}; \{2, g_3\}\right)$  (Boutroux)
- tritronquée: poles only in  $\frac{2\pi}{5}$  wedge (Dubrovin et al)

$$y(x) \approx \frac{1}{(x - x_{\text{pole}})^2} + \frac{x_{\text{pole}}}{10}(x - x_{\text{pole}})^2 + \frac{1}{6}(x - x_{\text{pole}})^3 + \frac{h_{\text{pole}}(x - x_{\text{pole}})^4}{300}(x - x_{\text{pole}})^6 + \dots$$

• Q: does the expansion as  $x \to +\infty$  "know" this ?

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#### Transmutation: Asymptotic Series to Meromorphic Function

$$y(x) = \frac{1}{(x - x_{\text{pole}})^2} + \frac{x_{\text{pole}}}{10}(x - x_{\text{pole}})^2 + \frac{1}{6}(x - x_{\text{pole}})^3 + \frac{h_{\text{pole}}(x - x_{\text{pole}})^4}{300}(x - x_{\text{pole}})^6 + \dots$$

• Resurgent extrapolation of y(x) near 1st pole:

- exact non-perturbative connection formulas satisfied to high precision (O.Costin & GD: 1904.11593)
  - extracted from a completely different expansion at  $x \to +\infty$

#### Heisenberg-Euler Effective Action

#### Folgerungen aus der Diracschen Theorie des Positrons.

Von W. Heisenberg und H. Euler in Leipzig.

Mit 2 Abbildungen. (Eingegangen am 22. Dezember 1935.)

Aus der Diracschen Theorie des Positrons folgt, da jedes elektromagnetische Feld zur Paarerzeugung neigt, eine Abänderung der Maxwellschen Gleichungen des Vakuums. Diese Abänderungen werden für den speziellen Fall berechnet, in dem keine wirklichen Elektronen und Positronen vorhanden sind, und in dem sich das Feld auf Strecken der Compton-Wellenlänge nur wenig ändert. Es ergibt sich für das Feld eine Lagrange-Funktion:

$$\begin{split} \mathfrak{L} &= \frac{1}{2} \left( \mathfrak{E}^2 - \mathfrak{B}^2 \right) + \frac{e^2}{h c} \int\limits_{0}^{\infty} e^{-\eta} \frac{\mathrm{d} \eta}{\eta^3} \left\{ i \eta^9 \left( \mathfrak{E} \mathfrak{B} \right) \cdot \frac{\cos \left( \frac{\eta}{|\mathfrak{E}_k|} \left| \mathfrak{E}^2 - \mathfrak{B}^2 + 2 i \left( \mathfrak{E} \mathfrak{B} \right) \right| \right) + \mathrm{konj}}{\cos \left( \frac{\eta}{|\mathfrak{E}_k|} \left| \frac{\mathfrak{E}^2 - \mathfrak{B}^2 + 2 i \left( \mathfrak{E} \mathfrak{B} \right) \right| \right) - \mathrm{konj}} \right. \\ &+ \left| \mathfrak{E}_k \right|^2 + \frac{\eta^2}{3} \left( \mathfrak{E}^2 - \mathfrak{B}^2 + 2 i \left( \mathfrak{E} \mathfrak{B} \right) \right) - \mathrm{konj}}{\left| \mathfrak{E}_k \right|} \\ &\left| \mathfrak{E}_k \right| = \frac{m^2 c^2}{e \hbar} = \frac{1}{\pi^{13} t^2} \frac{e}{(e^2 m c^2)^2} = \, _{\ast} \mathrm{Kritische} \, \mathrm{Feldstarke}^* . \end{split}$$

- the first (non-perturbative) QFT computation
- paradigm of "effective field theory" (non-linear)
- compute:  $\ln \det (D \!\!\!/ + m)$  ,  $D \!\!\!/ := \partial \!\!\!/ + ieA \!\!\!/$
- generating function for multi-leg one-loop amplitudes

#### Resurgent Extrapolation of Heisenberg-Euler GD/Harris 2101.10409

$$\begin{split} \mathcal{L}^{(1)}\left(\frac{eB}{m^2}\right) &= -\frac{B^2}{2} \int_0^\infty \frac{dt}{t^2} \left(\coth t - \frac{1}{t} - \frac{t}{3}\right) e^{-m^2 t/(eB)} \\ &\sim \frac{B^2}{\pi^2} \left(\frac{eB}{m^2}\right)^2 \sum_{n=0}^\infty (-1)^n \frac{\Gamma(2n+2)}{\pi^{2n+2}} \zeta(2n+4) \left(\frac{eB}{m^2}\right)^{2n} , \quad eB \ll m^2 \\ &\sim \frac{1}{3} \cdot \frac{B^2}{2} \left(\ln \left(\frac{eB}{\pi m^2}\right) - \gamma + \frac{6}{\pi^2} \zeta'(2)\right) + \dots , \quad eB \gg m^2 \end{split}$$



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• exponentially suppressed terms are also accessible

• also at 2 loop (no Borel representation)

### Inhomogeneous Fields: further divergence

• EFT expansion grows rapidly: one of many pages at 6th order

$$\begin{array}{l} & \displaystyle \frac{96}{64} F_{ab}F_{ab}F_{ab}F_{ba}F_$$

Fliegner et al, hep-th/9707189

### Inhomogeneous Background Fields GD & Z.Harris: 2212.04599

• precise comparison: test method on soluble cases

$$B(x) = B \operatorname{sech}^{2}(x/\lambda)$$
  $E(t) = E \operatorname{sech}^{2}(t/\tau)$ 

- analytic continuations:  $B^2 \mapsto -E^2$ ,  $\lambda^2 \mapsto -\tau^2$
- Keldysh inhomogeneity parameter

$$\gamma = \frac{\ell_B^2}{\lambda_C \lambda} = \frac{m}{eB\lambda} \mapsto \frac{m}{eE\tau}$$

- exact Dirac spectrum, so can be solved in various ways
- $\bullet$  weak B field expansion

$$rac{S(B,\lambda)}{L^2\lambda T} = rac{m^4}{\pi^2} \sum_{n\geq 0} a_n(\gamma) \left(rac{B}{m^2}
ight)^{2n+4}$$

- $a_n(\gamma)$ : polynomial in inhomogeneity parameter  $\gamma$
- three independent Borel singularities can be seen in the large order growth of the perturbative coefficients  $a_n(\gamma)_{*} \in \mathbb{R}^{+} \times \mathbb{R}^{+} = \mathbb{R}^{+} \otimes \mathbb{R}^{+}$

#### Resurgence for Inhomogeneous Background Fields

• large order growth of  $a_n(\gamma)$ :  $|t_1| = 1/(\sqrt{1+\gamma^2}+1)$ 

$$a_n(\gamma) \sim (-1)^n \Gamma(2n + \frac{3}{2}) \frac{3\sqrt{2\pi}}{|t_1|^{2n+3/2}} (1+\gamma^2)^{5/4} \\ \times \left[1 - \frac{5}{4} \frac{(1-\frac{3}{4}\gamma^2)}{\sqrt{1+\gamma^2}} \frac{|t_1|}{(n+\frac{1}{4})} + \frac{105}{32} \frac{(1+\frac{1}{4}\gamma^2)^2}{(1+\gamma^2)} \frac{|t_1|^2}{(n+\frac{1}{4})(n-\frac{1}{4})} + \ldots\right]$$

• non-perturbative imaginary part

$$\frac{\mathrm{Im}S(E,\tau)}{L^3\tau} \sim \frac{m^4}{8\pi^3} \left(\frac{E}{m^2}\right)^{5/2} (1+\gamma^2)^{5/4} \exp\left(-\frac{\pi m^2}{E}\frac{2}{\sqrt{1+\gamma^2}+1}\right)$$

$$\times \left[1 - \frac{5}{4} \frac{\left(1 - \frac{3}{4}\gamma^2\right)}{\sqrt{1 + \gamma^2}} \left(\frac{E}{\pi m^2}\right) + \frac{105}{32} \frac{\left(1 + \frac{1}{2}\gamma^2 + \frac{1}{16}\gamma^4\right)}{\left(1 + \gamma^2\right)} \left(\frac{E}{\pi m^2}\right)^2 + \dots\right]$$

• & all Borel singularities & all multi-instantons

Resurgent Extrapolation for Inhomogeneous Background Fields

- analytic continuation:  $B \to i E$  and  $\lambda \to i \tau$
- weak B field to strong E field (+ strong inhomogeneity)
- input: just 15 perturbative input terms



(blue=exact; blue shaded=extrapolation; orange=WKB; green =LCFA)

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- accurate agreement over many orders of magnitude
- far superior to WKB or LCFA

# Resurgence in Chern-Simons Theory (Costin, GD,Gruen, Gukov 2310.12317)

- Chern-Simons = topological quantum field theory
- sensitive probe of the topology of its 3-manifold

resurgence: decode topological information from perturbative data

	Topology			Resurgence	
	flat connection			path integral sa	ıddle
	Chern-Simons invariant			Borel singular	ity
	Adjoint Reidemeister torsion			residue	
Exact CS Invariant		Normalized CS Invariant	Padé-Borel	Padé-Conformal -Borel	Singularity Elimination
-0.002943401		1	1	1	1
-0.485874320		165.072391	not resolved	not resolved	161.05
0.053933576		-18.323554	not resolved	absent	absent
$0.123303626 \\ \pm 0.03542464i$		$^{-41.891542}_{\mp 12.03527i}$	$-42 \mp 12i$	$^{-41.8814}_{\mp 12.0371i}$	$-41.891542 \\ \mp 12.03527$
0.235	159766	-79.893881	not resolved	not resolved	-79.89
-0.171882873		58.3960000	not resolved	58.3754	58.3960000

• resurgent continuation across the natural boundary (!)

# Conclusions

• nonperturbative QFT requires new theoretical ideas and methods

• Resurgence systematically unifies perturbative and non-perturbative analysis, via trans-series, which 'encode' analytic continuation information

• resurgent extrapolation: strong-field and non-perturbative and non-adiabatic information can be decoded efficiently from perturbative data

- $\bullet$  QM, matrix models, Chern-Simons, ...  $\checkmark$
- 2d sigma models  $\checkmark$
- $\bullet$  integrable/localizable SUSY QFT  $\checkmark$
- 4d QFT ? [13 loop for  $O(N) \phi^4$  (Borinsky, Panzer, Balduf,...)]