High-density QCD: a paradigm for PT symmetry in field theory

Michael C. Ogilvie, Washington University

Applications of Field Theory to Hermitian and Non-Hermitian Systems KIngs College, London, September 2024

Collaborators

Washington
University in St.Louis

Marc Winstel

Zohar Nussinov

Moses Schindler

IOWA STATE UNIVERSITY

Leandro Medina

Rob Pisarski

Fabian Rennecke

UNIVERSITÄT BIELEFELD

Semeon Valgushev

Laurin Pannullo

Stella Schindler & Frodo

Outline

- QCD at nonzero temperature and density
- The sign problem at nonzero density
- Exotic dispersion relations and inhomogeneous phases
- Experimental signatures

The Phase Diagram of QCD: Here be dragons

The Phases of QCD: relevant areas of physics

- **Nuclear Physics**
- **Particle Physics**
- **Astrophysics**
- *Many Body Physics*
- *Condensed Matter*

The Phases of QCD: research synergy

The Phases of QCD: a synergistic research area

THE THREE KINDS OF SCIENTIFIC RESEARCH:

WE APPLIED A STANDARD THEORY TO NOVEL CIRCUMSTANCES AND GOT SOME SURPRISING RESULTS.

CIRCUMSTANCES AND GOT SOME **INTRIGUING RESULTS.**

WE APPLIED A NOVEL FINALLY, A MAP THEORY TO STANDARD OF EVERY TREE. Ment

The Phases of QCD: difficult problems in fundamental physics

- Quark confinement
- Chiral symmetry breaking
- Quark-hadron duality
- Non-hermiticity and sign problems
	- **Exotic dispersion relations** and inhomogeneous phases

The sign problem in QCD at $\mu_B \neq 0$: introduction

- Covariant derivative is non-Hermitian when $\mu_q\neq 0$ but sign problem occurs when gauge field $A_{\nu}\neq 0$ as well
- Functional determinants become complex.
- The Wilson line, or Polyakov loop, measures the free energy of a static quark, and is an order parameter for the deconfinement transition

$$
D_{\nu} = \partial_{\nu} + iA_{\nu} + \mu_q \delta_{4\nu}
$$

det $(-\mu, A) =$ det $(\mu, A)^*$

$$
W(\vec{x}) = tr \mathcal{P} \exp \left[i \int_0^{\beta} dx_{\nu} A
$$

The essence of the sign problem: when $\mu_q\neq 0$ the imaginary part of nontrivially winding quark paths are not cancelled by antiquarks

0

Note: This sign problem may be *NP*

The sign problem in QCD at $\mu_B \neq 0$: a formal proof

$$
D_{\nu} = \partial_{\nu} + iA_{\nu} + \mu_q \delta_{4\nu}
$$

$$
Tr \log \left[-D^2 + m^2 \right] = -\int_0^\infty \frac{dT}{T} e^{-Tm^2} \int \left[dx_\nu \right] \exp \left[-\int_0^T d\tau \dot{x}_\nu^2 \right] \, tr \, \mathcal{P} \exp \left[\int dx_\nu \left(iA_\nu + \delta_{4\nu} \mu_q \right) \right]
$$

Sign problem for complex representations $SU(N)$ with $N \geq 3$

$$
e^{n\beta\mu_q} tr W[x_\nu] \to \frac{1}{2} \left[e^{n\beta\mu_q} W[x_\nu] + e^{-n\beta\mu_q} W^*[x_\nu] \right] \qquad \qquad \det \left(-\mu_q, A \right) = \det \left(\mu_q, A \right)^*
$$

$$
Tr \log \left[-D^2 + m^2 \right] = -\int_0^\infty \frac{dT}{T} e^{-Tm^2} \int \left[dx_\nu \right] \exp \left[-\int_0^T d\tau \dot{x}_\nu^2 \right] e^{n\beta \mu_q} W \left[x_\nu \right] \qquad n = \text{winding number}
$$
\nof the path $x_\nu(\tau)$

time

Back to basics: a simple non-Hermitian mass mixing model

A novel algorithm for scalar fields related to Kramers-Wannier duality for the Ising model can be used to simulate this model.

Disorder lines mark the boundary between exponential decay of propagators and sinusoidally-modulated exponential decay. The appearance of disorder lines and regions of sinusoidal modulation follows directly in *PT* -symmetric theories from the existence of conjugate eigenvalue pairs. Spectral positivity is violated in both cases.

Ogilvie and Medina, Lattice 2018, 1811.11112

d=1: transition between 2 real eigenvalues and a complex conjugate pair

$$
mass\n2 - ig\phi\chi
$$
\nmass\n
$$
M = \begin{pmatrix} m_{\phi}^2 & -ig \\ -ig & m_{\chi}^2 \end{pmatrix}
$$
\n
$$
M = \sigma_3 M^* \sigma_3
$$
\nmatrix

A ϕ^4 mass mixing model with $Z(2)$ and PT symmetry

$$
S(\phi, \chi) = \sum_{x} \left[\frac{1}{2} (\nabla_{\mu} \phi)^2 + U(\phi) + h\phi + \frac{1}{2}(\phi) \right]
$$

Z(2) symmetry for $h = 0$: $(\phi, \chi) \rightarrow (-\phi, -\chi)$ *PT* symmetry: $\chi \rightarrow -\chi$ and $i \rightarrow -i$

Assuming constant vev's ϕ_0 and χ_0 , we can solve the tree-level equation to find the phase diagram. Stability of any solution is determined from the mass matrix, which is \overline{PT} symmetric. The eigenvalues are either both real or form a conjugate pair. $\mathscr{M} = \begin{cases}$ *U* ′′ (ϕ_0) −*ig* $-i$ g m_{χ}^2 $\mathscr{M} = \sigma_3 \mathscr{M}^* \sigma_3$

Schindler, Schindler and Ogilvie 1906.07288; PRD 102 (2020)

 $(\nabla_{\mu} \chi)^2 +$ 1 2 *m*2 *^χ χ*² − *igϕχ* \mathbf{l}

where $U(\phi) = \lambda(\phi^2 - \nu^2)^2$

This is a model of a scalar field ϕ in a double well mixing with another particle χ .

Equivalent forms of the ϕ^4 mass-mixing model

- **Original complex form with manifest PT symmetry** $S(\phi, \chi) = \sum$ $\frac{1}{x}$ \lfloor 1 2 $(\nabla_{\mu}\phi)^{2} + U(\phi) + h\phi +$ 1 2 $(\nabla_{\mu} \chi)^2 +$
- \cdot **Nonlocal real action ("attractive vs. repulsive" forces): Yukawa-frustrated** ϕ^4

• **Local real action**

• **Derivative expansion of** *Seff*

$$
+\frac{1}{2}m_{\chi}^2\chi^2 - ig\phi\chi\bigg]
$$

 $(x)\Delta(x-y)\phi(y)$

Integration over ϕ gives a term which acts to restore symmetry

$$
S_{\text{eff}} = \sum_{x} \left[\frac{1}{2} (\partial_{\mu} \phi(x))^{2} + U(\phi) + h\phi \right] + \frac{g^{2}}{2} \sum_{x,y} \phi(x)
$$

$$
S_{\text{eff}} \approx \sum_{x} \left[\frac{1}{2} (\partial_{\mu} \phi(x))^{2} + U(\phi) + h\phi \right] + \frac{g^{2}}{2m_{\chi}^{2}} \sum_{x} \left[\phi(x)^{2} - \frac{1}{m_{\chi}^{2}} (\partial_{\mu} \phi(x))^{2} + \dots \right]
$$

$$
\tilde{S} = \sum_{x} \left[\frac{1}{2} \left(\nabla_{\mu} \phi(x) \right)^2 + U(\phi) + h\phi + \frac{1}{2} \pi_{\mu}^2(x) + \frac{\left(\nabla \cdot \pi - g\phi \right)^2}{2m_{\chi}^2} \right]
$$

Derivative expansion shows Lifshitz instability for large *g*

This local real form can be simulated using standard methods

Stability of homogeneous phases

Nonlocal real action ("attractive vs. repulsive" forces): Yukawa-frustrated ϕ^4 **with** χ **integrated out**

$$
S_{\text{eff}} = \sum_{x} \left[\frac{1}{2} (\partial_{\mu} \phi(x))^{2} + U(\phi) + h\phi \right] + \frac{g^{2}}{2} \sum_{x,y} q
$$

$$
G_{\phi\phi}^{-1}(q) = q^{2} + U''(\phi_{0}) + \frac{g^{2}}{q^{2} + m^{2}} > 0
$$

required for all q for stability of homogeneous solution ϕ_0

Parameters $U''(\phi_0) = -5$ $m^2 = 1$

 $g = 4$ (blue) stable (orange) critical *g* = 3 $g = 2.5$ (green) unstable $g = 2$ (red) unstable

 $\phi(x)\Delta(x-y)\phi(y)$ where $U(\phi) = \lambda(\phi^2 - v^2)^2$

Moatons

Bodiam Castle in East Sussex

By WyrdLight.com, CC BY-SA 3.0, https:// commons.wikimedia.org/w/index.php? curid=7910287

Stability of homogeneous phases: another approach

The vev's $\phi_0^{}$ and $\chi_0^{}$ are determined at tree level from the static field equations. The stability of a solution against fluctuations is determined by the eigenvalues of $q^2 + M$.

In particular, the stability at $q = 0$ is determined by the sign of $\det(\mathcal{M})$

Eigenvalues of \mathscr{M} $\lambda_1 > 0$ and $\lambda_2 > 0$ $\lambda_1 < 0$ and $\lambda_2 > 0$ $\lambda_1 = \lambda_2^*$ 2 $\lambda_1 < 0$ and $\lambda_2 < 0$ **Propagators** Exponential Decay

 $\mathscr{M} = \begin{cases}$ *U* ′′ (ϕ_0) −*ig* $-i$ g m_{χ}^2

 $\mathscr{M} = \sigma_3 \mathscr{M}^* \sigma_3$

PT symmetry of the mass matrix \mathscr{M}

Exponential Growth of ϕ_0 mode

Sinusoidally Modulated Exponential Decay

Exponential Growth of some $p \neq 0$ modes

Phase

Normal

Unstable

PT Broken

Inhomogeneous Lifshitz Phase

Schindler, Schindler, Ogilvie, J. Phys. CS 2038 (2021, 2106.0709

The normal to complex boundary is a disorder line, not a phase transition

Phase diagram of the ϕ^4 mass-mixing model

Inhomogeneous behavior in simulations of the ϕ^4 mass-mixing model 4

Schindler, Schindler, Ogilvie, J. Phys. CS 2038 (2021), 2106.0709; see e.g. Muratov, PRE 66 (2002) for the Coulomb case ($m_\chi^{}=0$)

$\phi(x)$ in coordinate space

Inhomogeneous behavior in simulations of the ϕ^4 mass-mixing model 4

Schindler, Schindler, Ogilvie, J. Phys. CS 2038 (2021), 2106.0709; see e.g. Muratov, PRE 66 (2002) for the Coulomb case ($m_\chi^{}=0$)

 $\phi(x)$ in coordinate space $\phi(p)$ in momentum space \widetilde{b} (*p*)

Inhomogeneous behavior in simulations of the ϕ^4 mass-mixing model 4

 $g=1.0$ g=1.4

Stability of inhomogeneous phases in the ϕ^4 mass-mixing model

The inhomogeneous phases represent stable equilibrium behavior

Measurement

0.70 -3 0.90 1.10 64 1.30 1.50 1000 1.70 500 200

Heavy fermions at nonzero temperature and density

$$
S = \frac{1}{2\beta} \sum_{x} \left\{ \frac{1}{g_{\chi}^{2}} \left[(\nabla \chi)^{2} + m_{\chi}^{2} \chi^{2} \right] + \frac{1}{g_{\phi}^{2}} [(\nabla \phi)^{2} + m_{\phi}^{2} \phi^{2}] \right\} - \sum_{x} \log \left[1 + z e^{\beta \chi(x) + i\beta \phi(x)} \right]
$$

- First used by Fisher and Park (1999) to study an $i\phi^3$ transition for $z < 0$
- Same symmetries as the mass-mixing model
- Model of a gas of fermions interacting via attractive and repulsive Yukawa interactions mediated by χ and ϕ respectively; equivalent to a generalized Ising model
-

Fisher and Park, PR E 60 (1999); Glaser et al., EPL, 78 (2007); Shin et al. Soft Matter, 2009; Nishimura, Ogilvie, Pangeni, Phys. Rev. D 95, 076003 (2017), 1612.09575; Schindler, Schindler,

Ogilvie, J. Phys. CS 2038 (2021, 2106.0709

• The log term is essentially a heavy quark determinant, with $z = \exp\left(\beta \mu - \beta M\right)$. The role of the Polyakov loop in the fermion determinant is played by $\exp\big(\beta \chi(x) + i\beta \phi(x)\big)$

Phase diagram of heavy fermion model

Criterion for stability against patterns valid in lattice model and continuum: $1 + \beta \chi_{Q} V$ \widetilde{V} $q_q(k) > 0$

Schindler, Schindler, Ogilvie, J. Phys. CS 2038 (2021, 2106.0709

Complex *Z*(3) lattice field theories: phase structure

Complex $Z(3)$ spin model with Z_c a real chemical potential

 $\frac{C}{\lambda}$ *Z*(3) spin model with an Z_{χ} imaginary chemical potential

Δ

In $d \geq 3$ $Z(3)$ *chiral spin models* have an intricate phase structure, the **Devil's Flower** with an infinite number of inhomogeneous phases with repeating structures such as $\ldots 012012\ldots$ or $\ldots 021021\ldots$ along the chiral direction similar to chiral spirals. This behavior is closely related to the Devil's Staircase of the Frenkel-Kontorova model.

Complex and chiral are dual to one another $(J, \mu) \leftrightarrow (\tilde{J}, \tilde{\mu})$, in an extension of Kramer-Wannier duality: spin-spin in d=2, spin-gauge in d=3, gauge-gauge in d=4. This duality maps a complex lattice model into a real one.

Yeomans and Fisher, J. Phys. C 14 (1981)

Meisinger and Ogilvie, PoS Lattice2013

Complex *Z*(3) lattice field theories: the Migdal-Kadanoff real-space renormalization group

 $R(\omega z) = \omega^p R(z)$ $\omega \in Z(3)$ $R(z^*) = R^*(z)$ $z = \exp(-3J/2 + i\theta)$

The MKRG shows the devil's flower structure for $z' = R(z)$ chiral Z(3) spin models.

Schindler and Ogilvie, in preparation

The MKRG respects duality, and can be applied to all chiral and complex Z(3) models

3d $Z(3)$ complex gauge theory $3d Z(3)$ complex spin theory

After a study of all models with $d\leq 4$, we find that the only ones with devil's flowers are dual to chiral spin models. All others have four homogeneous phases in their complex form. This is explained by Elitzur's theorem and the need for a scalar order parameter in the dual chiral form.

Models and Mechanisms for QCD: Nambu-Jona Lasinio models at finite density

- Four-fermion models: non-renormalizable in d=4 and sensitive to regulator
- Inhomogeneous phases for $\mu \neq 0$ known; see Buballa (1406.1367; Prog. Part. Nucl. Phys. 81 (2015) for a review
- d=2 kinks, chiral spirals
- Lifshitz instability found in many models
- Sinusoidal modulation of correlation functions expected for $\mu \neq 0$ (relativistic Friedel oscillations: Kapusta and Tolmela, PRD 37 (1988)
- Mass mixing scenario occurs in a d=2+1 model: Winstel, PRD 110 (2024) 2403.07430

Mixing of $\sigma - \omega_3$ inverse propagators in a $d = 2 + 1$ model. Winstel, 2403.07430

Models and Mechanisms for QCD: Polyakov loop models

This class of models treats confinement effects but not chiral symmetry. They are often based on dimensional reduction at strong coupling to a 3d spin model of Polyakov loops *W*(*x*)

> A nonzero *z* leads to complex conjugate mass pairs in Polyakov loop correlation functions in both the $(3,\bar{3})$ and $(6,\bar{6})$ representations

 $\langle W \rangle$ < $\langle W^* \rangle$ for $z < 1$ implying $F_Q > F_{\bar Q}$. This behavior reverses at $z = 1$ due to a particle-hole *z* → *z*^{−1} symmetry of this model.

Nishimura, Ogilvie and Pangeni, PRD 93 (2016), 1512.09131; see Akerlund et al., JHEP 10 (2016) 1602.02925 for Z(3)

Models and Mechanisms for QCD: PNJL models

 $\Omega(\sigma, \omega_0, W, W^*) = V_\chi(\sigma) + U_{gauge}(W, W^*) - \frac{T}{\gamma} \ln \det \mathcal{D}(\sigma, W, W^*)$

- PNJL models include both confinement and chiral symmetry effects. They have the same issues as NJL models plus sensitivity to confinement physics
- Free energy dominated by a complex saddle point with W and W^* real and unequal
- Sinusoidal modulation is seen but Lifshitz transition unclear

Figures show the critical line and disorder line in the $\mu-T$ plane for two different PNJL models; the contours show the imaginary part of the screening length. From Nishimura, Ogilvie, Pangeni PRD 91(2014) 1411.4959

Models and Mechanisms for QCD: PQM_V model

Polyakov Quark model with vector (ω_0) repulsion

**Polyakov Quark model with
vector (ω₀) repulsion
Vector (ω₀) repulsion
Haensch, Rennecke,von Smekal 2308.16244

$$
+ \ln \det \mathcal{D}_{\text{vac}}(\sigma) \bigg] .
$$**

Experimental Signatures: HBT Interferometry of Moatons

$$
E^{2} = Z(p)p^{2} + m^{2} = \left(1 - \frac{\lambda^{2}}{p^{2} + M^{2}}\right)p^{2} + m^{2}
$$

$$
E^{2} \approx m^{2} + \left(1 - \frac{\lambda^{2}}{M^{2}}\right)p^{2} + \frac{\lambda^{2}}{M^{4}}p^{4} + O(p^{6})
$$

Rennecke and Pisarski PoS CPOD2021, 2110.02625; Rennecke, Pisarski and Rischke 2301.11484; PRD 107 (2023)

Experimental Signatures: Dilepton production

Hayashi and Tsue, 2407.08523

$$
\pi^+ + \pi^- \to \gamma \to l^+ + l^-
$$

Dilepton production in a background chiral spiral of wave number *q*:

- Spike at threshold is a van Hove singularity: density of states diverges at a nontrivial extremum of the energy
- Direct consequence of exotic dispersion relation and non-Hermitian physics.
- Very general behavior: independent of any particular model or mechanism and an underlying inhomogeneous phase is not necessary

Nussinov, Ogilvie, Pannullo, Pisarski, Rennecke, Schindler, Winstel, Valgushev, in preparation

$$
\langle \sigma + i\pi_3 \rangle = \sigma_0 \exp(i\vec{q} \cdot \vec{r})
$$

Conclusions

• High-density QCD is an important area of fundamental physics where non-

• Rich phase structure possible, with many models exhibiting exotic dispersion relations, and some have inhomogeneous phases due to a Lifshitz transition

- Hermitian behavior occurs.
-
- exotic dispersion relations.
- physics.

• Potential experimental signals seem to be a generic feature of phases with

• Lessons are relevant for non-Hermitian field theories in other areas of particle

Additional Slides

An algorithm for simulating some complex actions: Ogilvie and Medina, Lattice 2018, 1811.11112

$$
\exp\left[-\frac{1}{2}\left(\partial_{\mu}\chi(x)\right)^{2}\right] = \int d\pi_{\mu}(x)\exp\left[\frac{1}{2}\pi_{\mu}(x)^{2} + i\pi_{\mu}(x)\partial_{\mu}(x)\right]
$$

$$
\exp\left[-V\left(\chi(x)\right)\right] = \int d\tilde{\chi}(x)\exp\left[-\tilde{V}\left(\tilde{\chi}(x)\right) + i\tilde{\chi}(x)\chi(x)\right]
$$

If *dual weight positivity* holds the functional integral is manifestly positive and the dual action S is simulatable by standard methods. $\tilde{w}[\tilde{\chi}(x)] \equiv \exp[-V]$ \widetilde{V} $\left[\left(\tilde{\chi}(x)\right)\right] \geq 0$ $\widetilde{\widetilde{\bm{\mathsf{S}}}}$

$$
S(\chi) = \sum_{x} \left[\frac{1}{2} (\partial_{\mu} \chi(x))^{2} + V(\chi(x)) - ih(x)\chi(x) \right]
$$

PT symmetry: $V(\chi)^{*} = V(-\chi) \Rightarrow \tilde{V}(\tilde{\chi}) \in \mathbb{R}$

$$
\tilde{S} = \sum_{x} \left[\frac{1}{2} \pi_{\mu}^{2}(x) + \tilde{V}(\partial \cdot \pi(x) - h(x)) \right]
$$

Mixing model: Phase diagram as a function of g^2

$$
m_{\chi} \neq 0
$$

Mixing model: phase diagram in the Coulomb limit *m^χ* → 0

"avoided critical point"

Imaginary Yukawa coupling (ICY)

$$
V(\phi, \chi) = m_{\phi}^2 \phi^2 / 2 + m_{\chi}^2 \chi^2 / 2 - ig \chi \phi^2
$$

$$
\tilde{V}(\phi, \pi_{\mu}) = m_{\phi}^2 \phi^2 / 2 + (\partial \cdot \pi - g \phi^2)^2 / 2m_{\chi}^2
$$

- No sign of any complex mass pairs in d=1,2 or 3.
- This model goes smoothly into a model in a scaled limit where and m_χ go to infinity. ϕ^4 model in a scaled limit where g

Computational complexity

The well-known work of Troyer and Wiese (PRL 2005) shows that the sign problem of fermionic many-body systems is NP-hard by showing its equivalence to finding the ground state of a randombond Ising model

It has been proposed that scalar field theory models with long-range interactions (Schmalian and Wolynes, PRL 2001) and higher-derivative interactions (Westfahl et al, Chem. Phys. Lett 2002) can model glassy behavior, a prototypical NP-hard problem.

Computational complexity in such systems has its origins in the complexity of the ground states and equilibrium states of the systems, in particular in spatial structure.

$$
S_{\text{eff}} = \sum_{x} \left[\frac{1}{2} (\partial_{\mu} \phi(x))^{2} + \lambda (\phi^{2} - v^{2})^{2} + h\phi \right] + \frac{g^{2}}{2} \sum_{x,y} \phi(x) \Delta(x - y) \phi(y) \qquad \tilde{\Delta}(k) = \frac{1}{k^{2}}
$$

Z(N) spin models and pattern formation

Chiral *Z***(3) Devil's Flower Basic model**

 $\Delta = \frac{1}{2}$ Yeomans and Fisher, 1984

Chemical potential $\Rightarrow e^{\mu}z_jz_i^*$ *j*+ *d* + $e^{-\mu}z_j^*z_{j} + d$

 $Chiral Z(N) model$ *z** *j*+ *d* + *e*−2*πi*Δ/*Nz** *^j zj*⁺ *d*

The chiral model has an intricate low-temperature (large J) structure with patterned phases. These may be commensurate or incommensurate, depending on d. Lattice duality maps between classes of Hamiltonians, complex and real, with non-Hermitian transfer matrices. The 2d case is clear: we are looking at the universality class of 2d Z(N) parafermions and the patterned behavior in the chiral model corresponds to states with nonzero N-ality realized as kinks.

 $\overline{2}$ $\overline{2}$ $\langle j\nu \rangle$ $\left(z_j z_j^* \right)$ *j*+*ν* **Basic model** $\mathscr{H} = -\frac{1}{2}\sum_{j}^{n}\left(z_{j}z_{j+\hat{\nu}}^{*}+z_{j}^{*}z_{j+\hat{\nu}}\right)$

$$
J \to \tilde{J} = \frac{N^2}{4\pi^2 J} \qquad \mu \to \tilde{\mu} = -\frac{2\pi i J \mu}{N}
$$

The Villain action Z(N) model has a simple dual form in all d.

Meisinger and Ogilvie, 1306.1495, 1311.5515

Complex *Z*(*N*) models and the real-space renormalization group

Ogilvie, Schindler, Schindler, in preparation

