

Quark helicity PDFs of proton from lattice QCD

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for the ANL/BNL collaboration

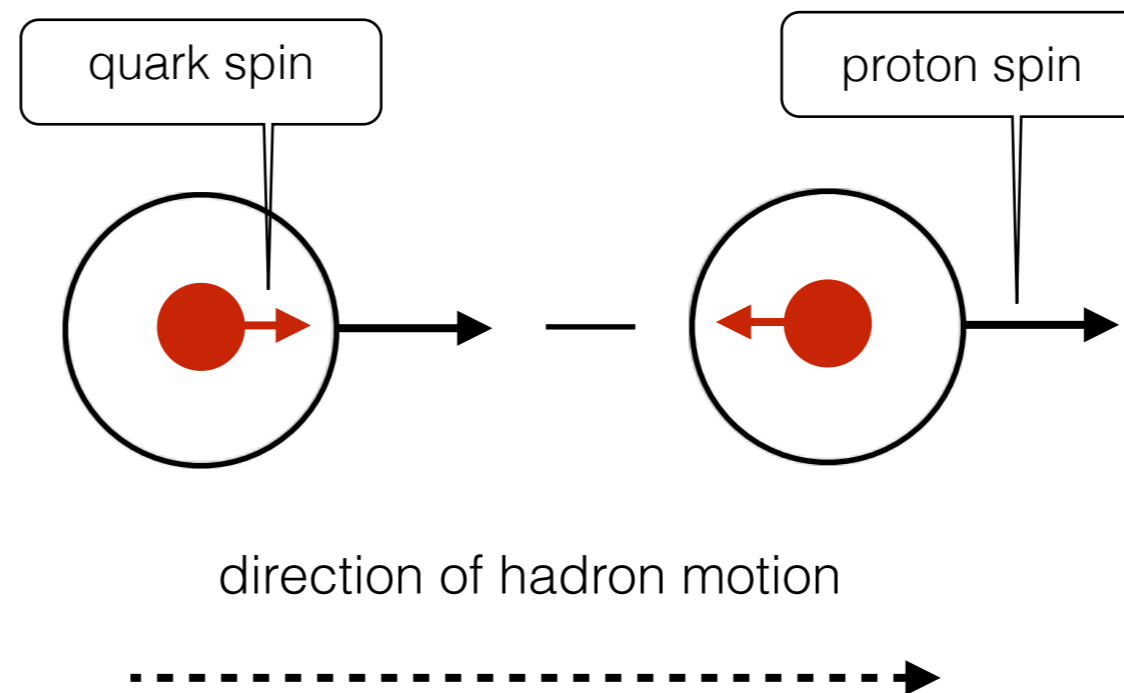
BNL \longrightarrow CCNU

The 4th EIC-Asia Workshop

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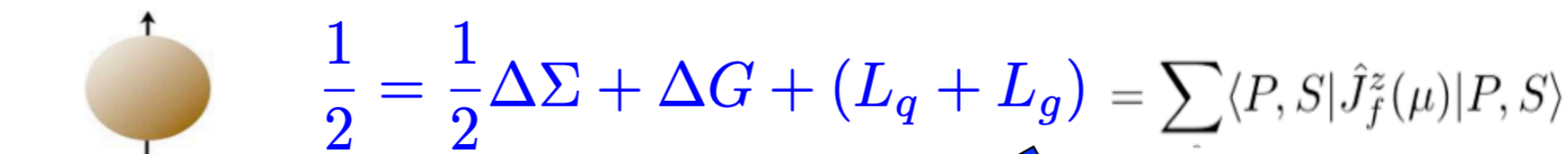
What is quark helicity PDF?

The probability of finding a parton (constituent quark) with momentum fraction x in a longitudinally polarized fast-moving proton



Why is it important?

Jaffe-Manohar/Ji spin sum rule:



$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + (L_q + L_g) = \sum \langle P, S | \hat{J}_f^z(\mu) | P, S \rangle$$

[Jaffe and Manohar, NPB 337, 509 (1990)]

[Ji, PRL. 78, 610 (1997)]

Proton Spin

Quark helicity
Best known

$$\frac{1}{2} \int dx (\Delta u + \Delta \bar{u} + \Delta d + \Delta \bar{d} + \Delta s + \Delta \bar{s})$$

~ 30%

Spin "puzzle"

Gluon helicity
Start to know

$$\Delta G = \int dx \Delta g(x)$$

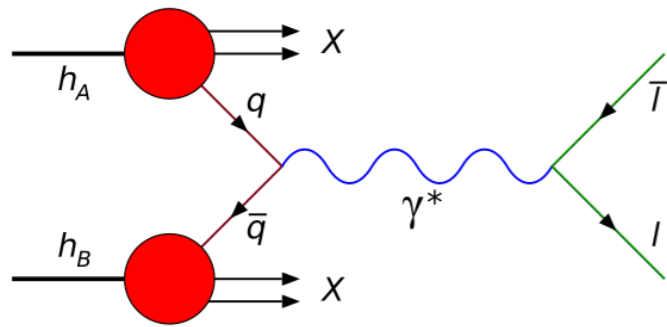
~ 20% (with RHIC data)

Orbital Angular Momentum
of quarks and gluons
Little known

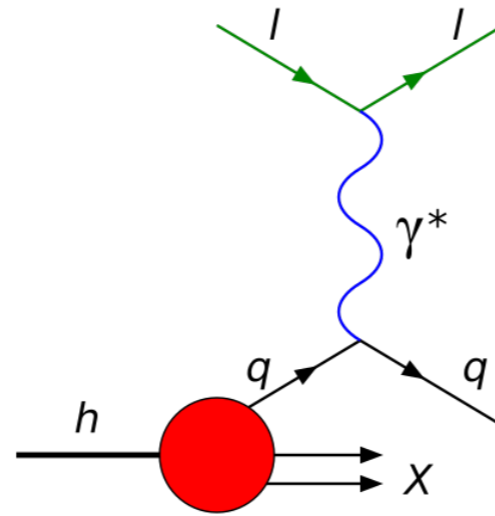
Image courtesy of Jian-Wei Qiu

Quark model assumes spin of proton (1/2) equals spin of u+u+d,
but European Muon Collaboration (EMC) found quarks only contribute < 30%

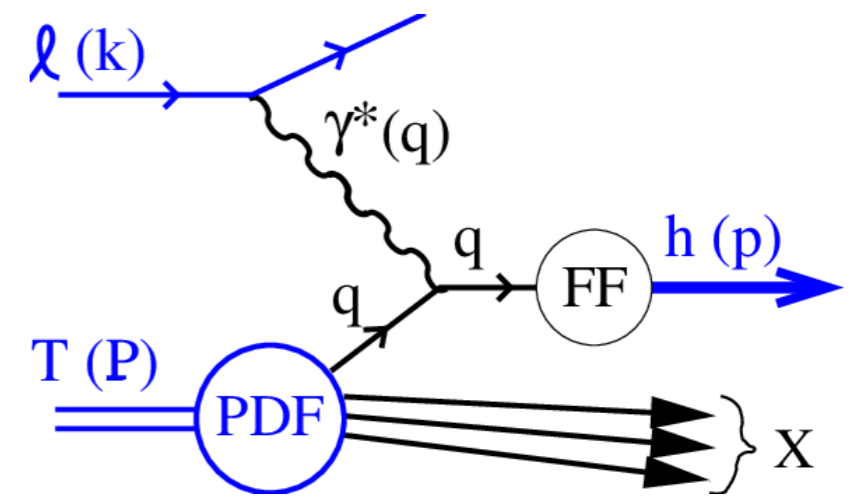
How to access it?



Drell-Yan



DIS



SIDIS

Measure structure functions (via longitudinal spin asymmetries) in processes like polarized lepton/hadron scattering off a polarized target proton

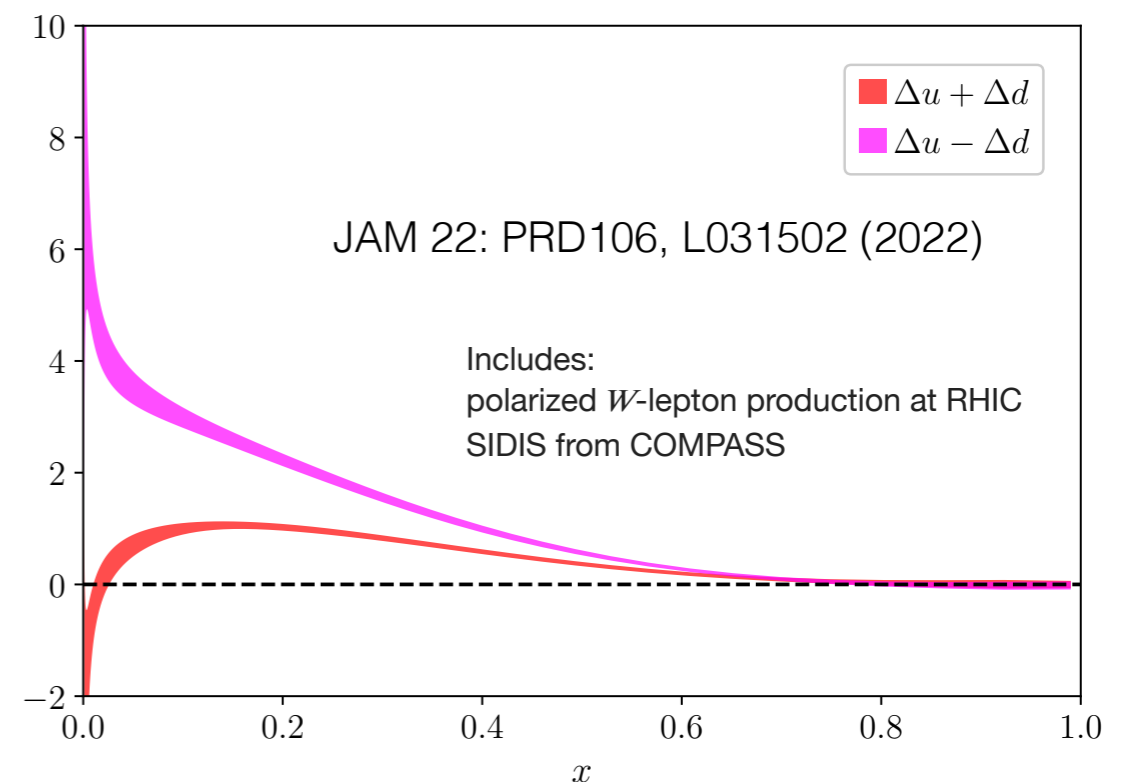
Existing experimental efforts in last 3 decades:

p-p collision at RHIC;

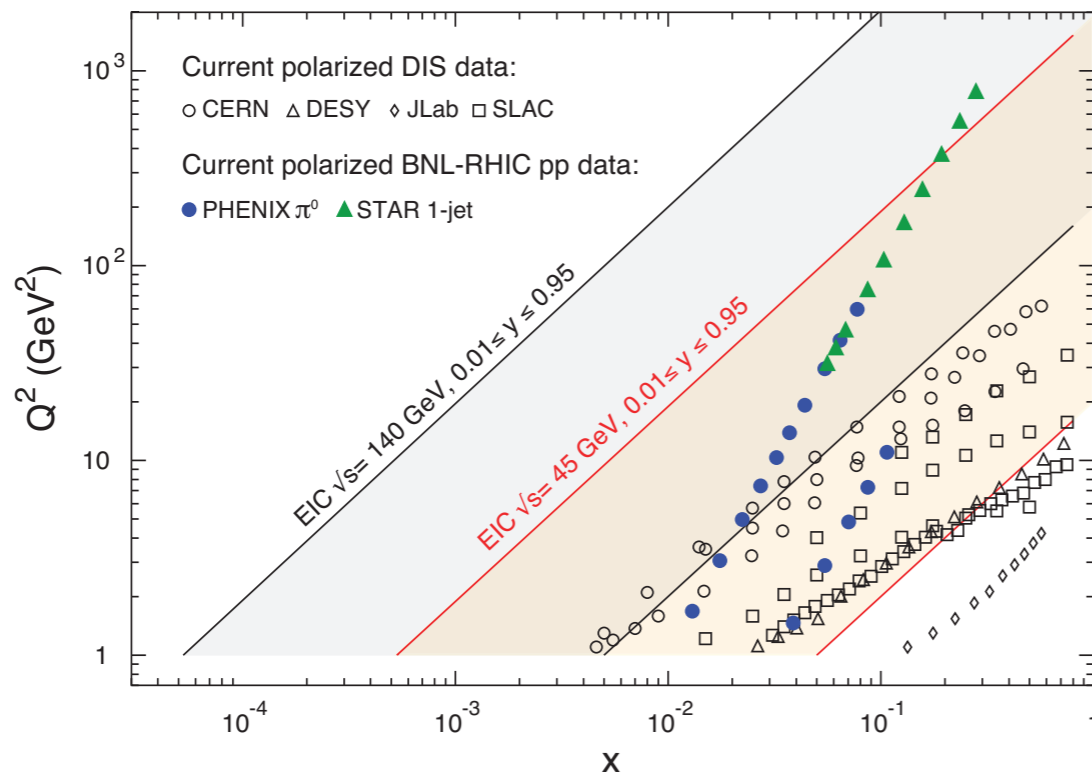
Open-charm muon production at COMPASS;

Neg./pos. pion/kaon production at HERMES...

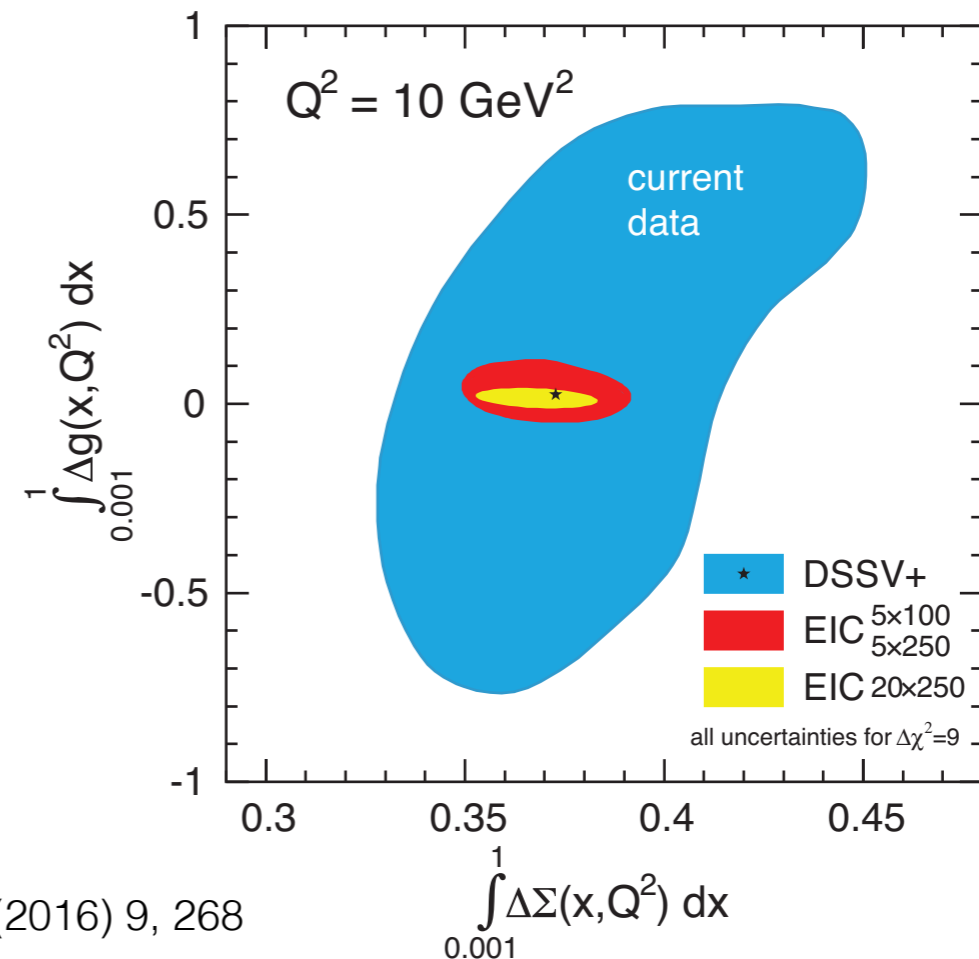
Global analysis of the experimental data



Why EIC?



EIC White Paper: EPJA 52 (2016) 9, 268



- High luminosity provides more precise statistical measurements
- High polarization capacities of electron and nucleon give more access to the spin-dependent observables
- Unprecedented low- x reach for polarized DIS experiment

Status of lattice determinations

LQCD provides *ab initio* determination from first-principle!

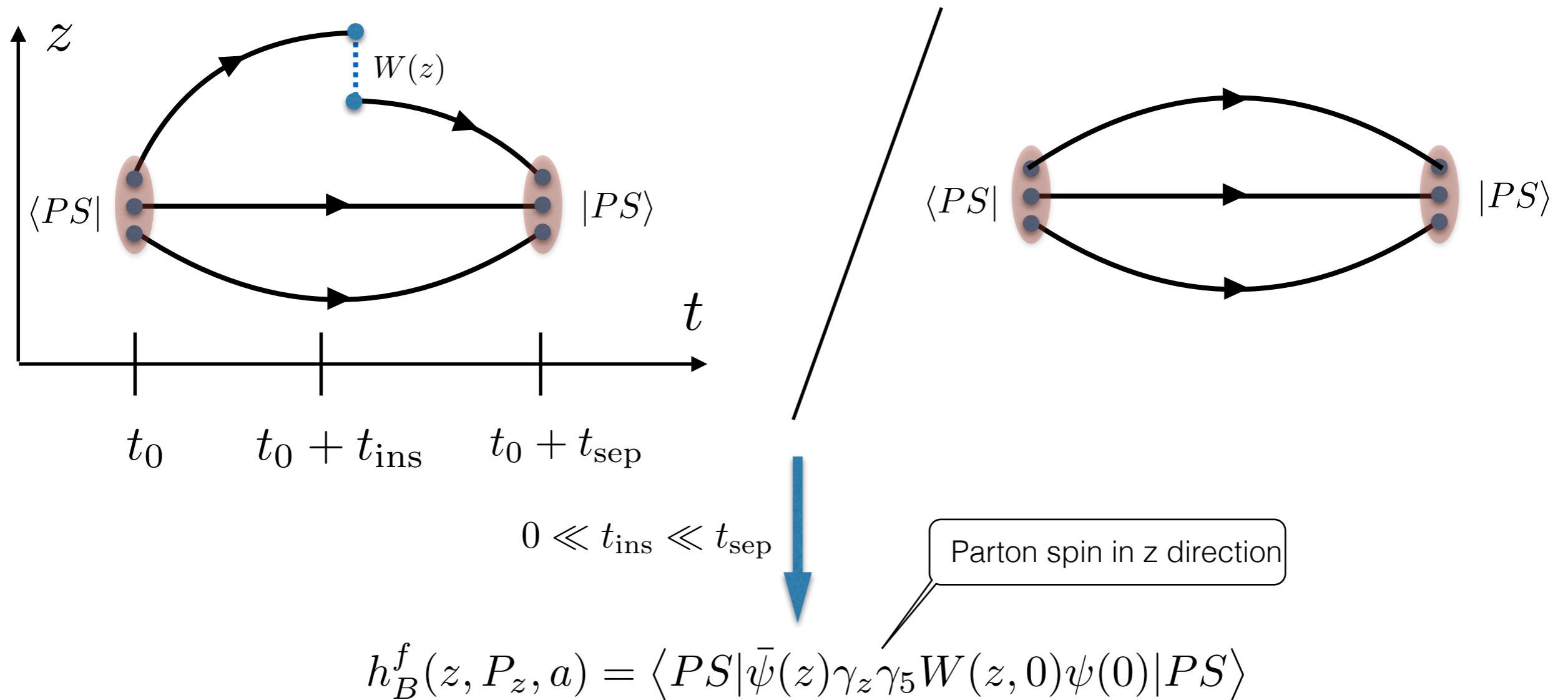
| | m_π [MeV] | a [fm] | P_z [GeV] |
|-----------------------------|---------------|----------|-------------|
| LP ³ Coll. 18' | physical | 0.09 | 3.0 |
| Gao, <i>et al.</i> , PRD20' | 310 | 0.042 | 2.77 |
| HadStruc Coll. 22' | 358 | 0.094 | 2.5 |
| Holligan and Lin, 24' | 315 | 0 | 1.75 |
| This work | physical | 0.076 | 1.53 |

Having both continuum limit and physical mass limit is still challenging!

- Theoretical aspects
- Lattice techniques and setup
- Results via pseudo-PDF approach
 - Mellin moments
 - Light cone PDF
- Results via quasi-PDF approach
 - Light cone PDF
- Comparison with global fit results and discussions

All results are preliminary!

Matrix element corresponding to quark helicity



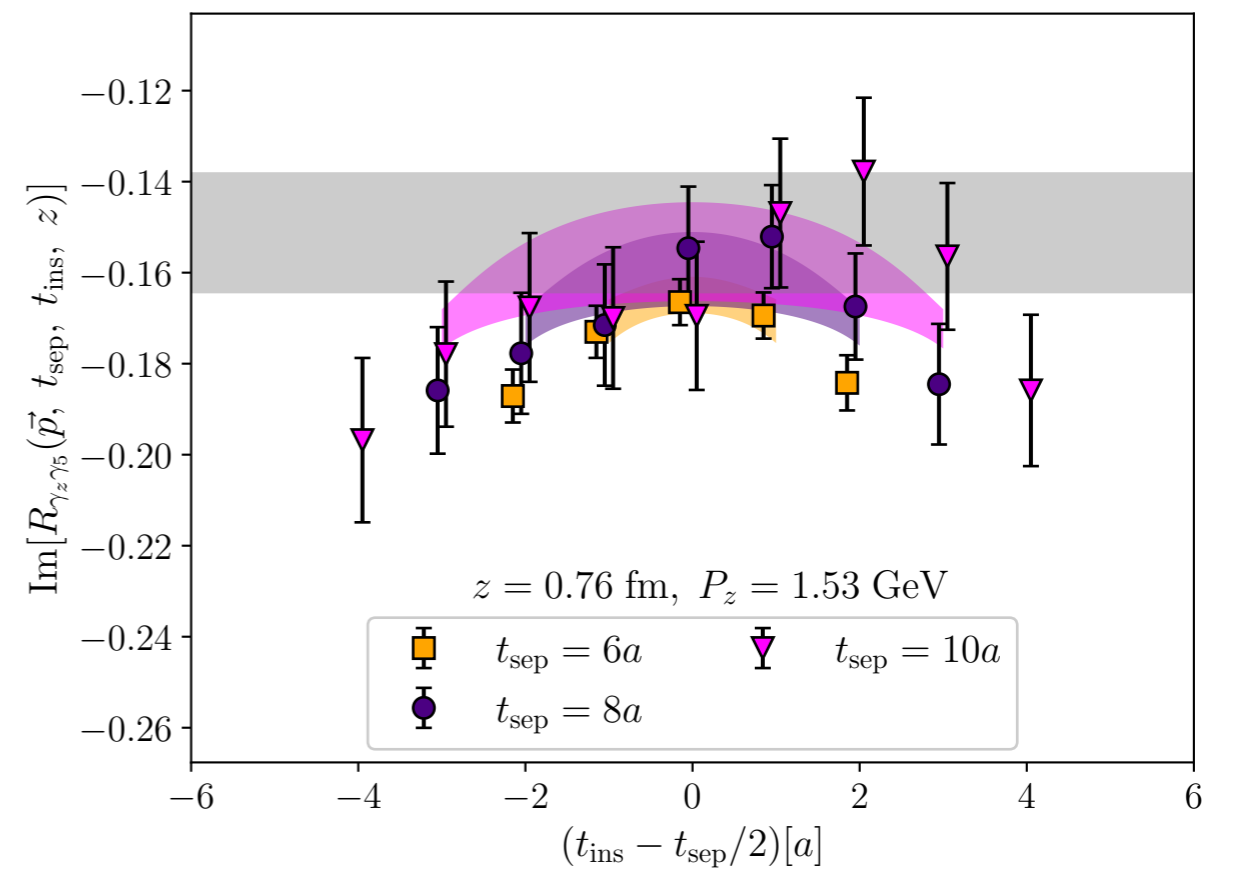
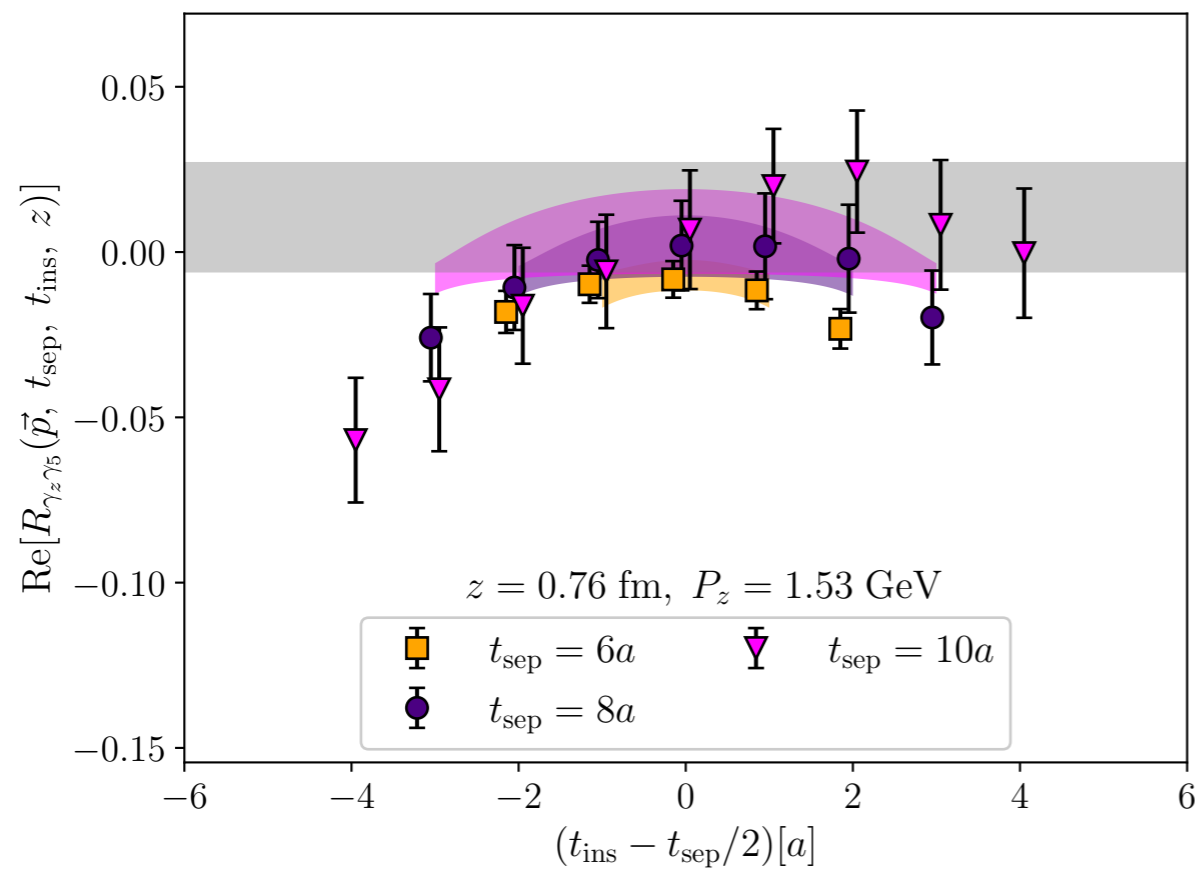
$$f = u - d, u + d$$

Both isovector and isoscalar sector
Ignore disconnected diagrams

$$P_\mu = (P_0, 0, 0, P_z) \quad \text{Hadron momentum in z direction}$$

Joint fit for the bare matrix element

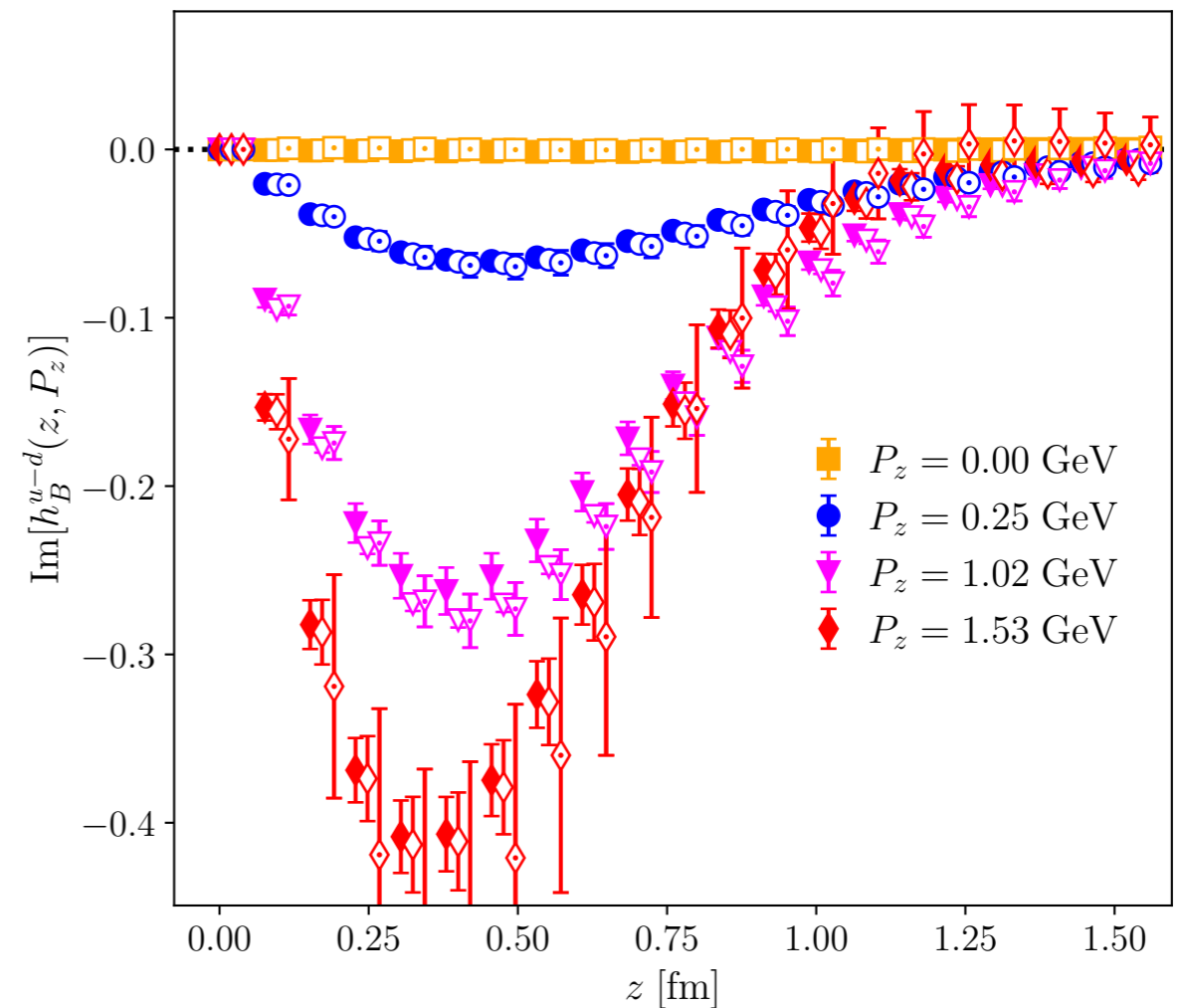
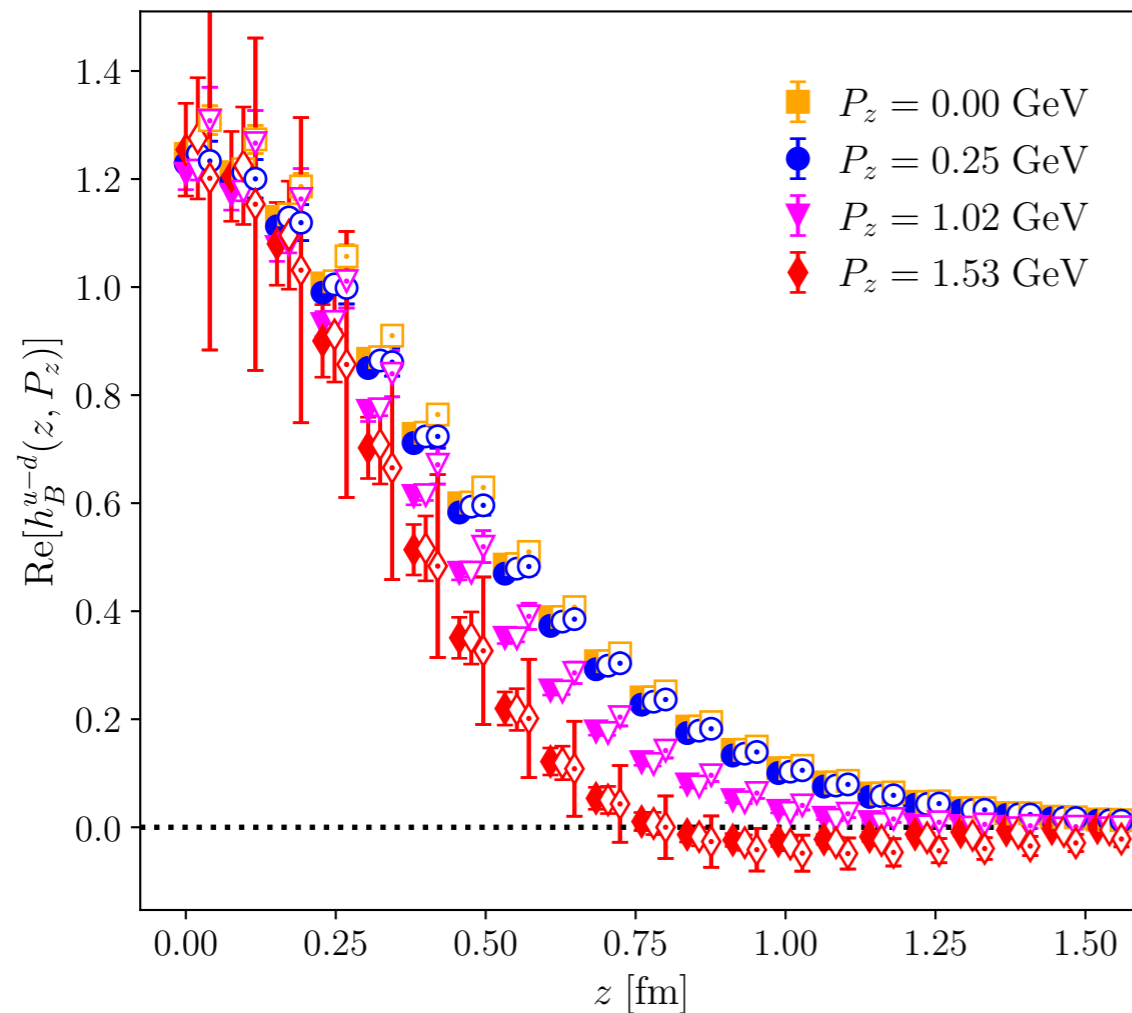
$$R(t_{\text{ins}}, t_{\text{sep}}, P_z) = h_B(z, P_z) \frac{1 + c_1(z, P_z)(e^{-\Delta E t_{\text{ins}}} + e^{-\Delta E(t_{\text{sep}} - t_{\text{ins}})})}{1 + c_2 e^{-\Delta E t_{\text{sep}}}}$$



Good control of excited-state contamination

Joint fit v.s. summation method

$$S(t_{\text{sep}}, P_z, z, n_{\text{exc}}) = \sum_{t_{\text{ins}}=t_0+n_{\text{exc}}}^{t_{\text{sep}}-n_{\text{exc}}} R(t_{\text{ins}}, t_{\text{sep}}, P_z, z) = B_0 + t_{\text{sep}} h_B(z, P_z)$$



Filled points: joint fit using $t_{\text{sep}}/a=[6,8,10]$

Open points: summation method using $t_{\text{sep}}/a=[6,8,10]$

Open points with dot inside: summation method using $t_{\text{sep}}/a=[8,10,12]$

Consistent among different extraction strategies
Clear momentum dependence

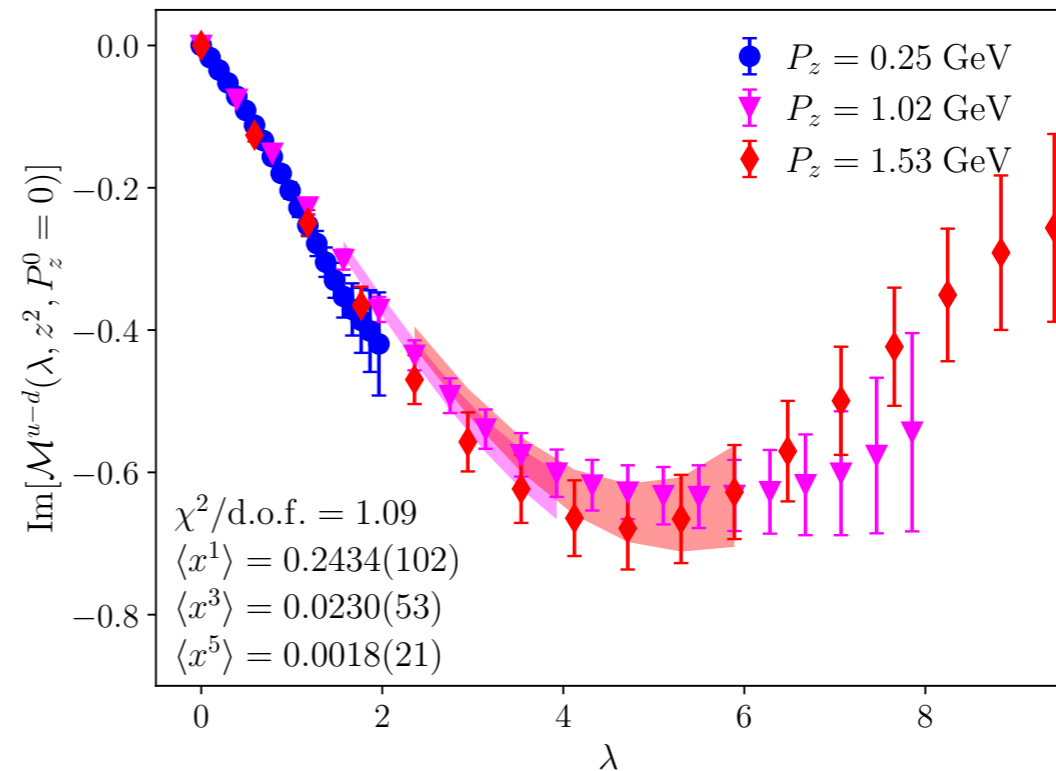
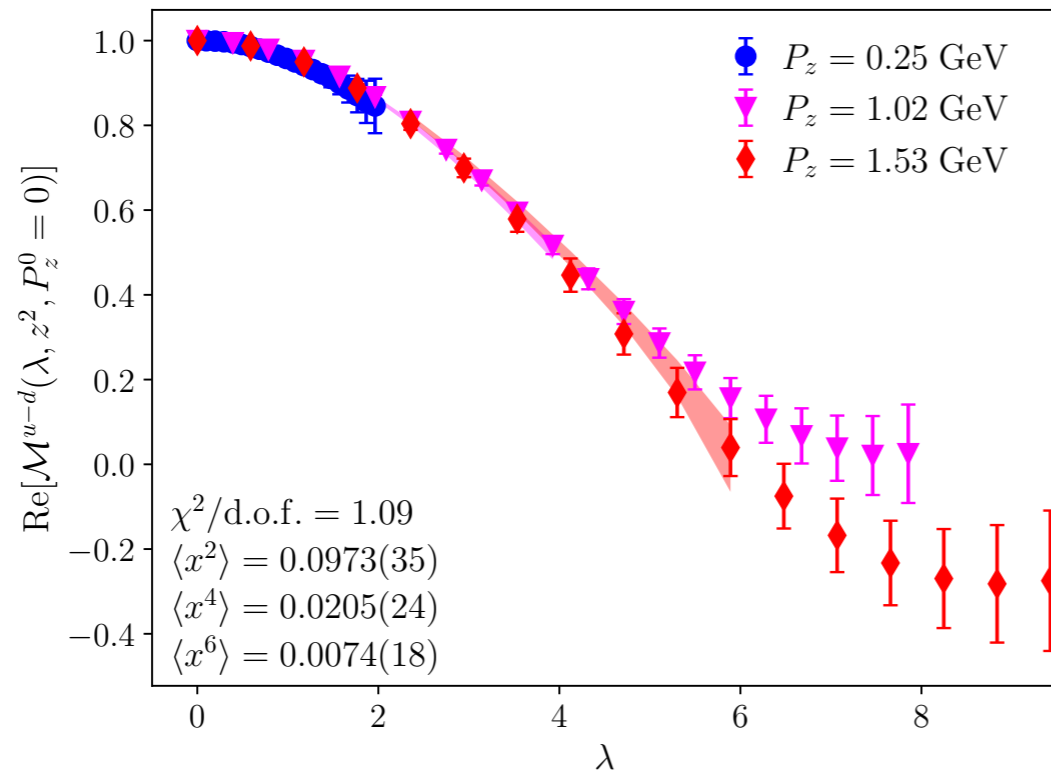
Fit Mellin moments from reduced ITD

Operator product expansion (OPE) approximation inspired model:

$$\frac{h_B(z, P_z)}{h_B(z, P_z^0)} \frac{h_B(0, P_z^0)}{h_B(0, P_z)} = \mathcal{M}(\lambda, z^2, P_z^0) = \frac{\sum_{n=0} C_n(\mu^2 z^2) \frac{(-i\lambda)^n}{n!} \langle x^n \rangle(\mu)}{\sum_{n=0} C_0(\mu^2 z^2) \frac{(-i\lambda_0)^n}{n!} \langle x^n \rangle(\mu)} + \mathcal{O}(\Lambda_{\text{QCD}}^2 z^2)$$

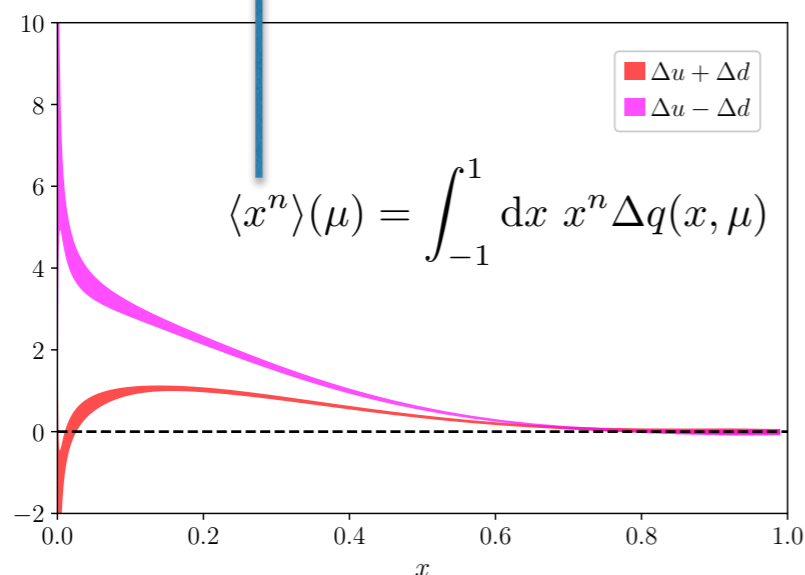
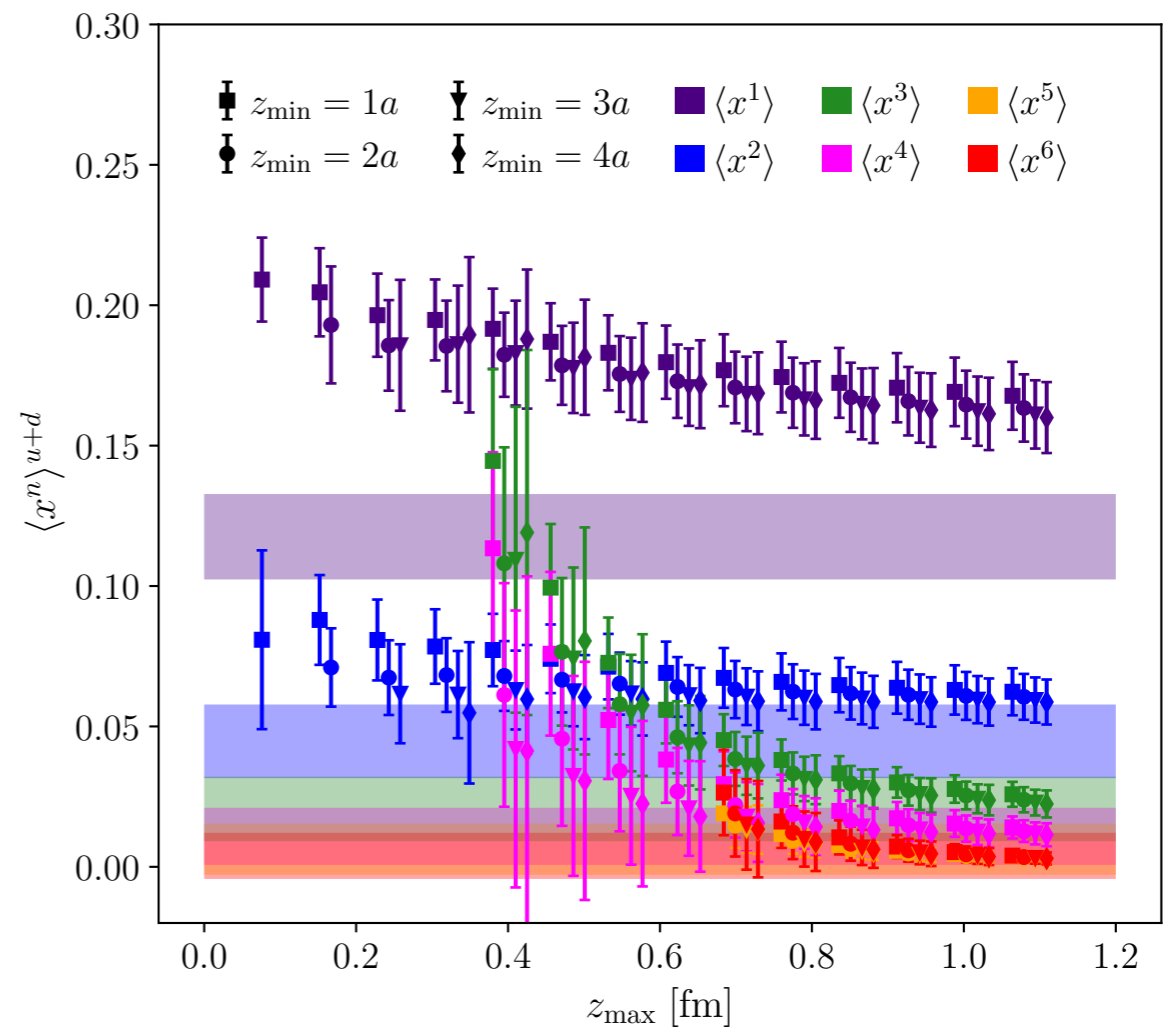
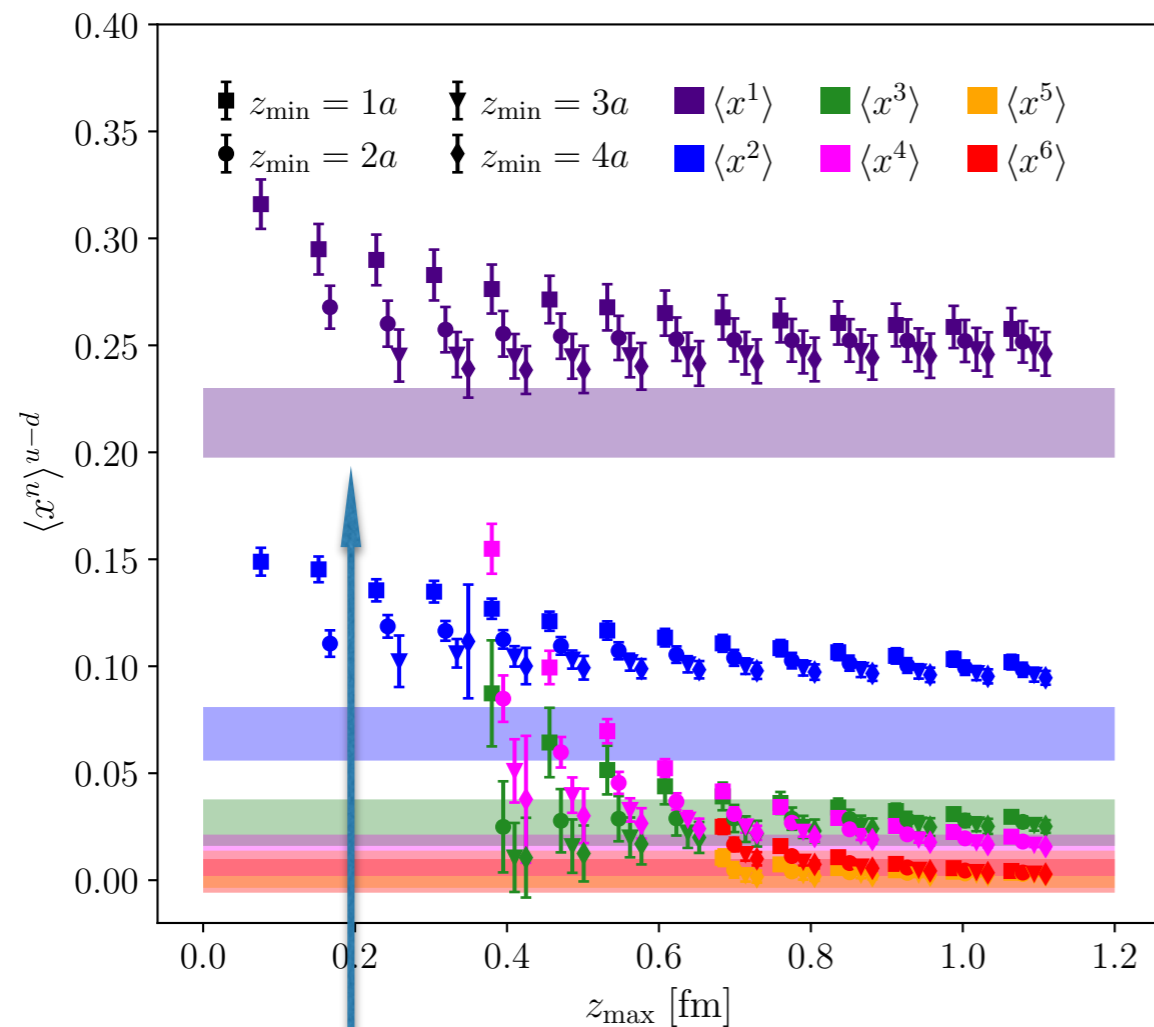
Lattice data as input (points)

Mellin moments as fit parameters (bands)



Including first 6 Mellin moments describes the data well
Controlled precision for the first 2 moments

Compare to global fit results



Stable results when using different data sets
Larger than JAM 22 results by 1-2 σ

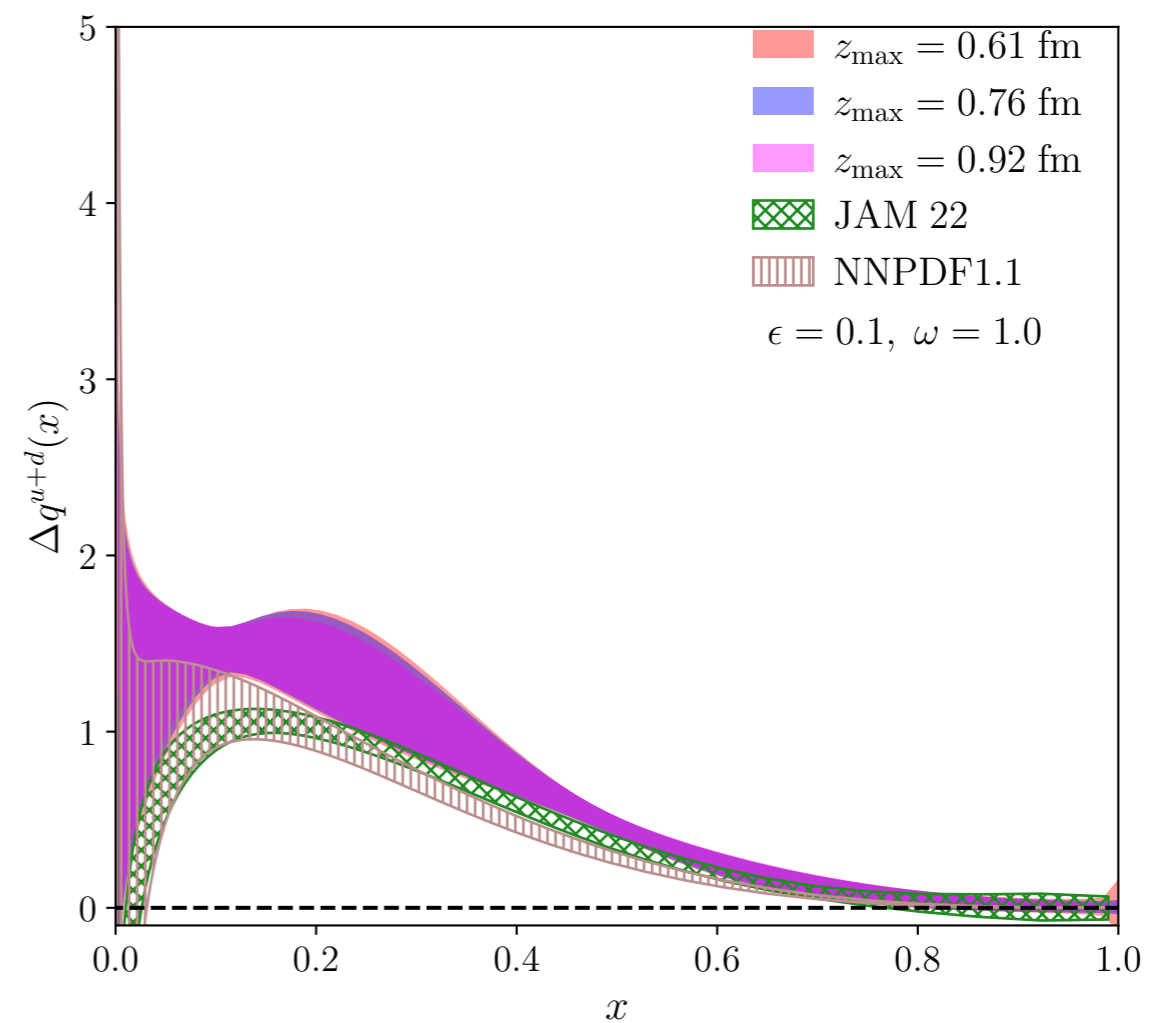
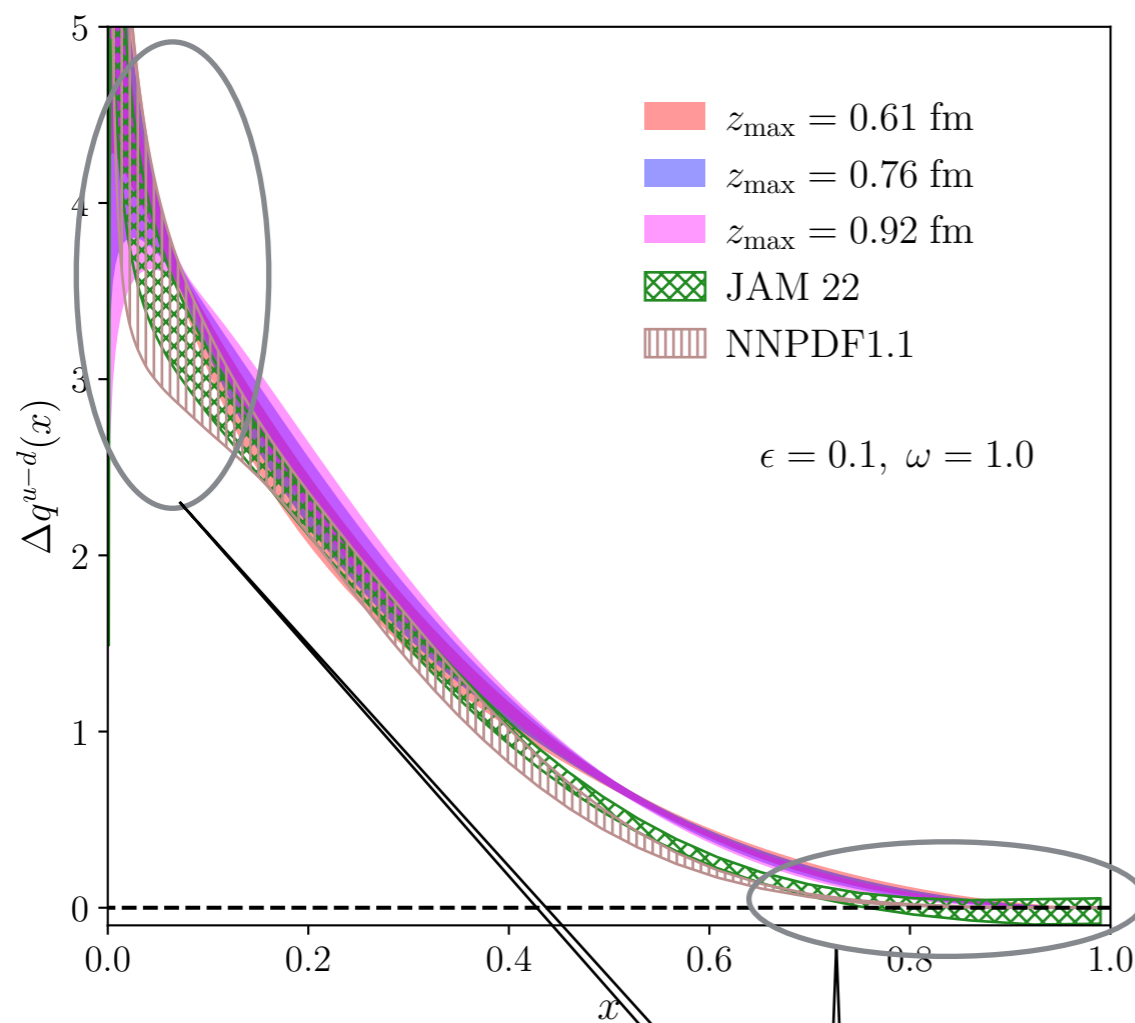
Light cone PDF from reduced ITD using DNN

$$\mathcal{M}_{\text{DNN}}(z, P_z, \mu, P_z^0 = 0) = \int_{-1}^1 d\alpha \frac{\mathcal{C}(\alpha, \mu^2 z^2)}{C_0(\mu^2 z^2)} \int_0^1 dx e^{-ix\alpha\lambda} \Delta q(x, \mu) = \int_0^1 dx \Delta q(x, \mu) \bar{\mathcal{C}}(x\lambda, \mu^2 z^2)$$

Input data

Target solution as output

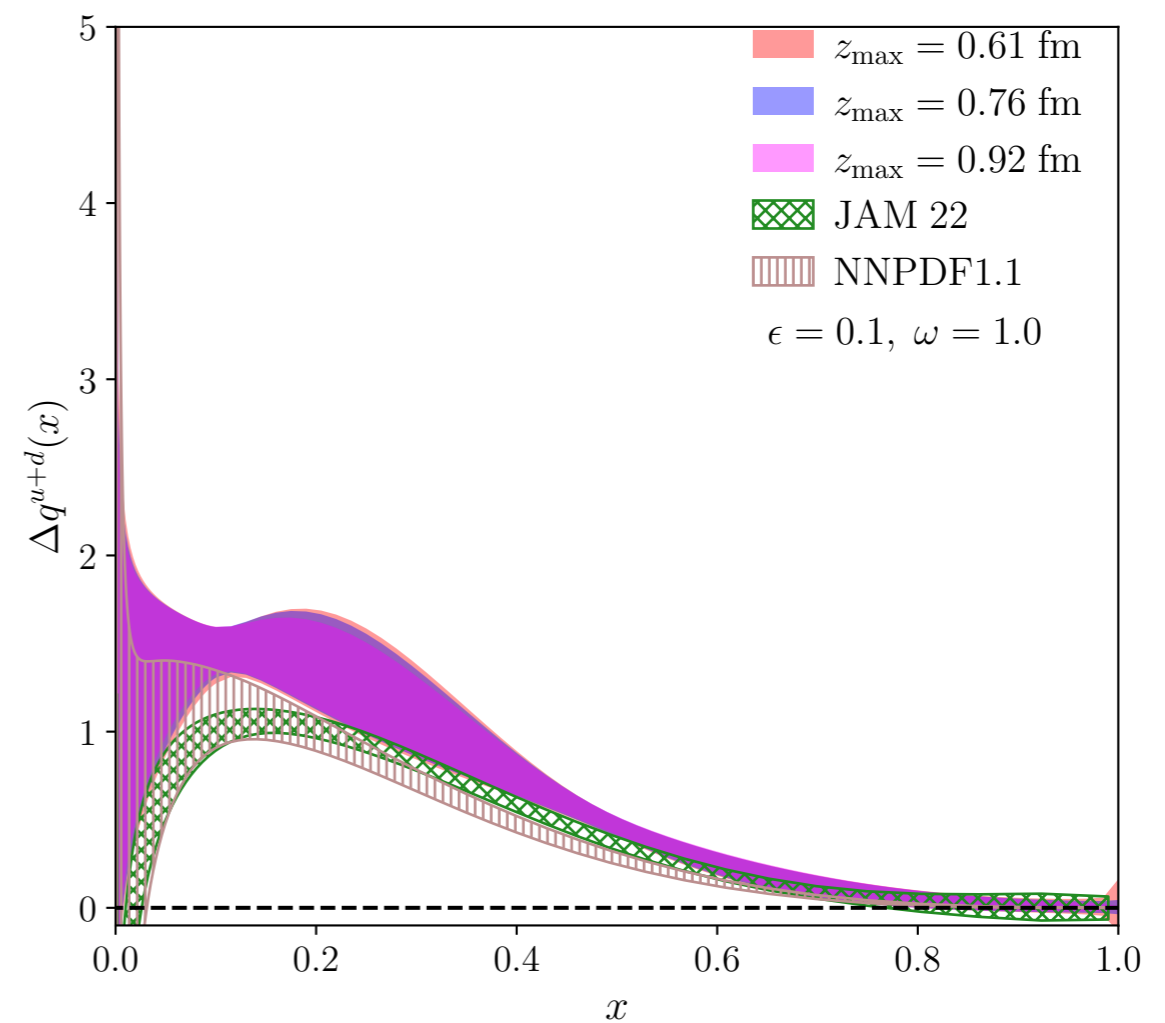
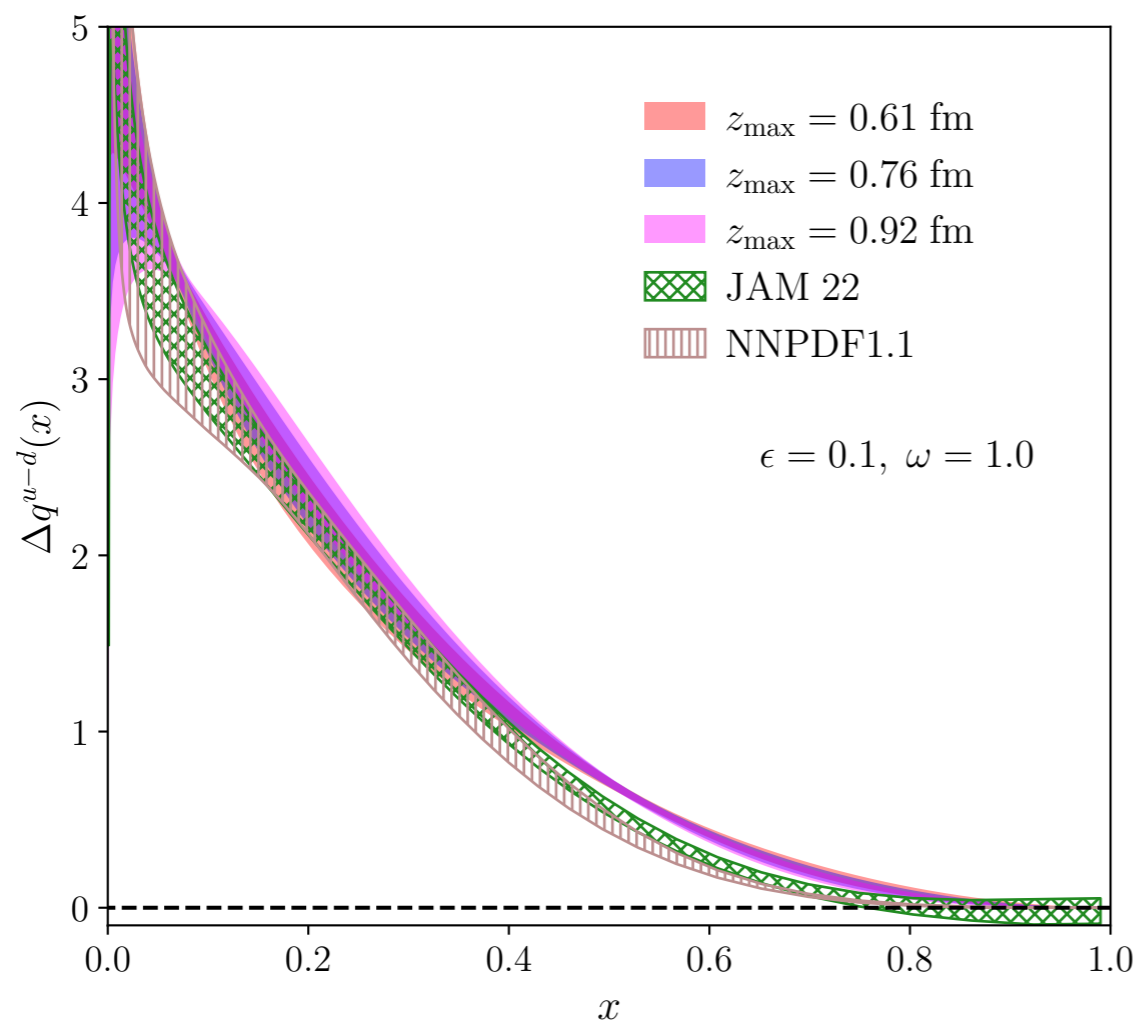
Known convolution kernel



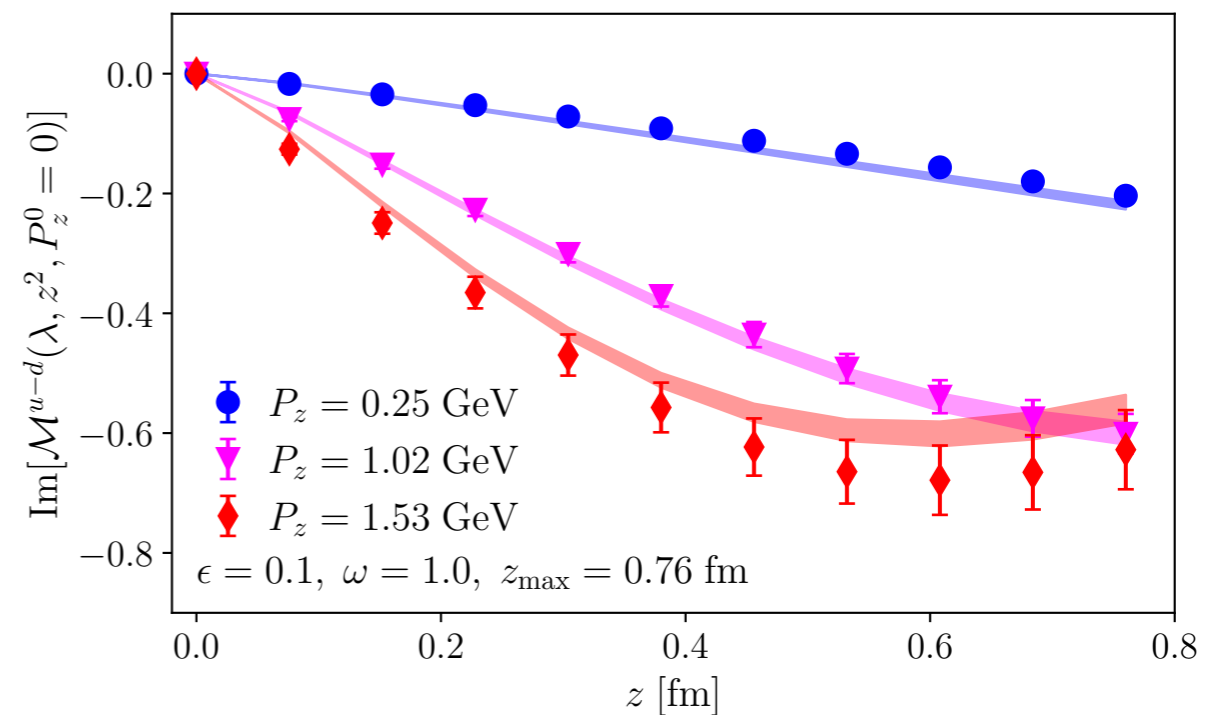
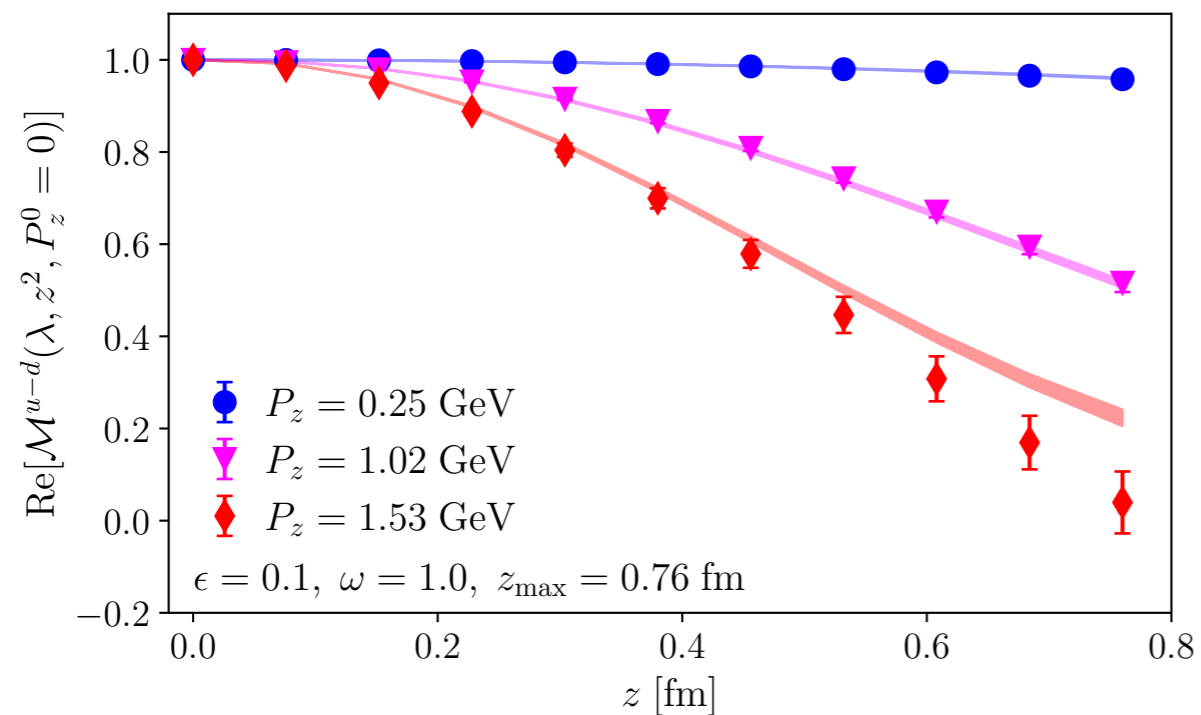
$$\Delta q(x) = Ax^\alpha (1-x)^\beta [1 + \epsilon \sin(f_{\text{DNN}}(x, \theta))]$$

Light cone PDF from reduced ITD using DNN

Stable results when fit with different data sets or regularization parameters
Good agreement with global analysis JAM 22 and NNPDF1.1 at moderate x



DNN fitted reduced ITD

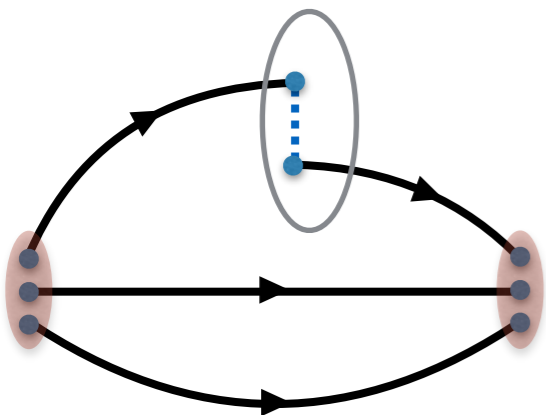


DNN fits dominated by data at small momentum
Slight tension between small momentum and large momentum

Quasi-PDF method: Hybrid scheme renorm.

$$h_R(z, P_z) = \begin{cases} \frac{h_B(z, P_z, a)}{h_B(z, P_z=0, a)} & |z| \leq z_s \quad \text{Ratio scheme renorm.} \\ \frac{h_B(z, P_z, a)}{h_B(z_s, P_z=0, a)} e^{(\delta m + \bar{m}_0)(z - z_s)} & |z| \geq z_s \quad \text{Self renorm.} \end{cases}$$

Other renormalizations distort IR behavior of the bi-local operator at large z !



Multiplicative renormalization:

$$h_B(z, P_z) = Z_A e^{-\delta m \cdot z} e^{-\bar{m}_0 \cdot z} h_R(z, P_z)$$

log. divergence from quark-WL vertex

Linear divergence from WL self-energy

Renormalon from scheme-dependence of δm

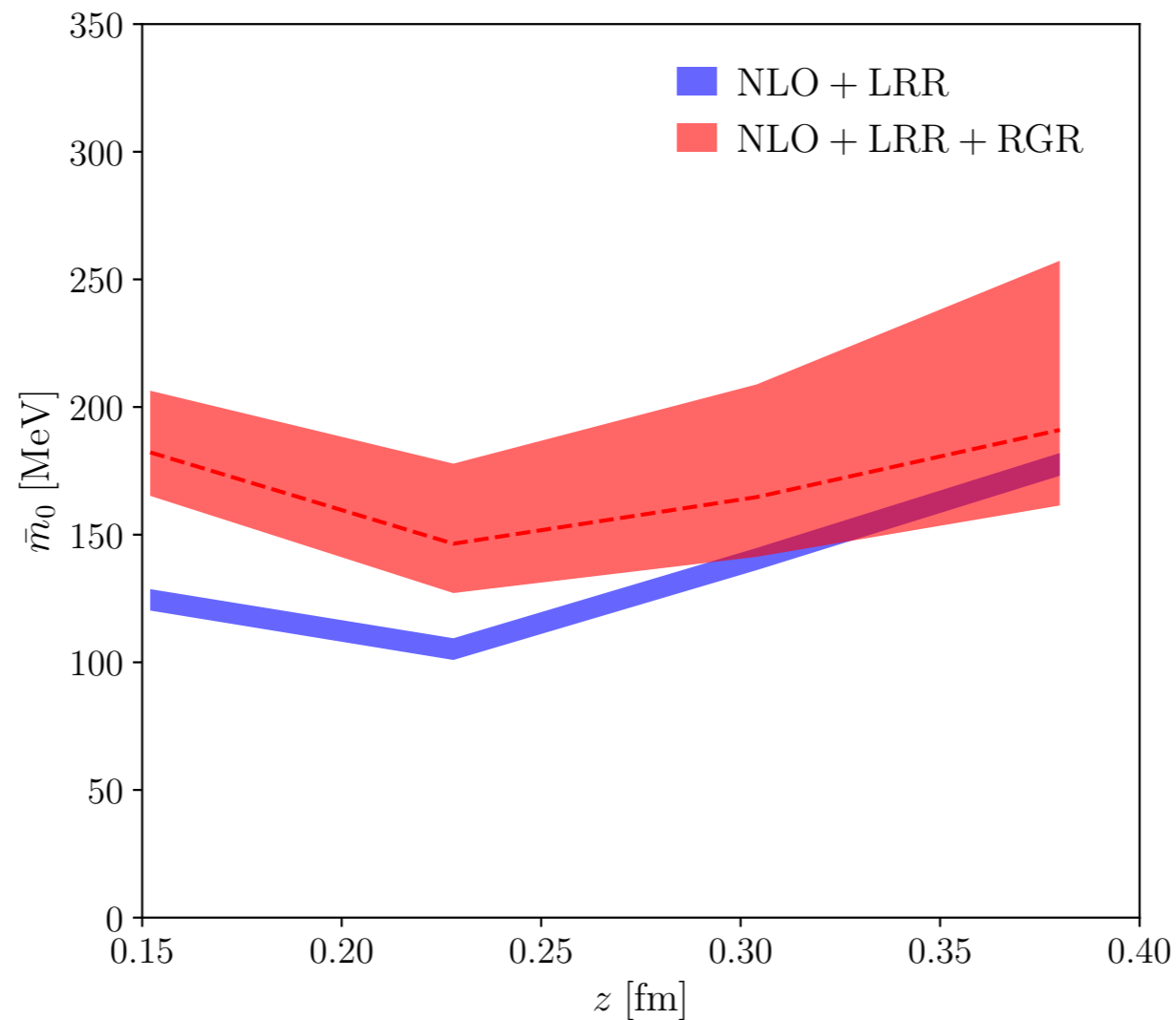
fixing to $\overline{\text{MS}}$ scheme : $h(z, P_z = 0) \rightarrow C_0$

$$\frac{h_B(z, P_z, a)}{h_B(z - a, P_z, a)} e^{a\delta m} = e^{-a\bar{m}_0} \frac{C'_{0, \text{PV}}(\alpha_s(2\kappa e^{-\gamma_E}/z))}{C'_{0, \text{PV}}(\alpha_s(2\kappa e^{-\gamma_E}/(z - a)))} e^{K(2\kappa e^{-\gamma_E}/z, 2\kappa e^{-\gamma_E}/(z - a))}$$

$C_0 \rightarrow C'_0$: LRR the logs for \bar{m}_0

RGR : $\mu = 2 \text{ GeV} \rightarrow \mu = 2\kappa e^{-\gamma_E}/z$

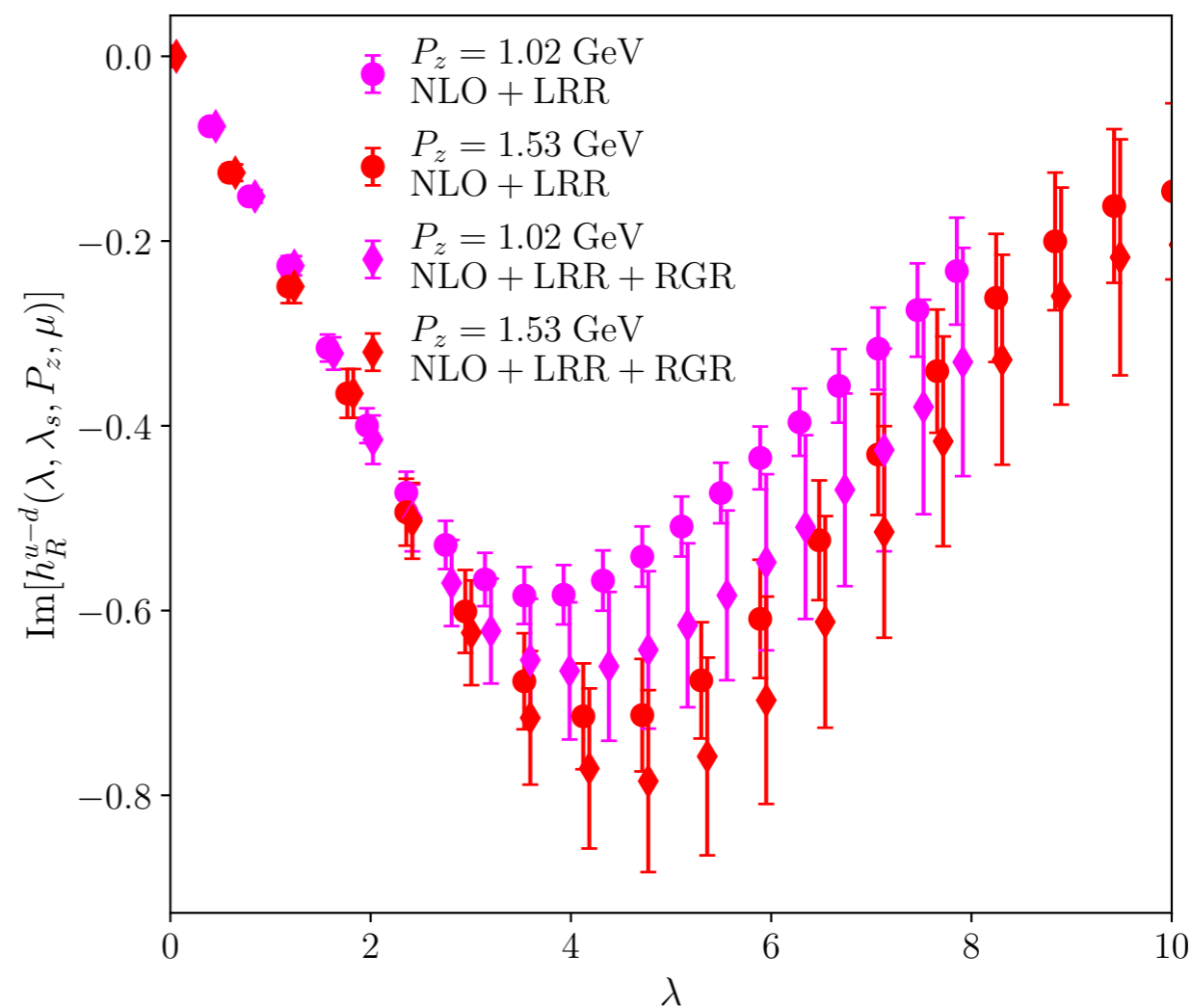
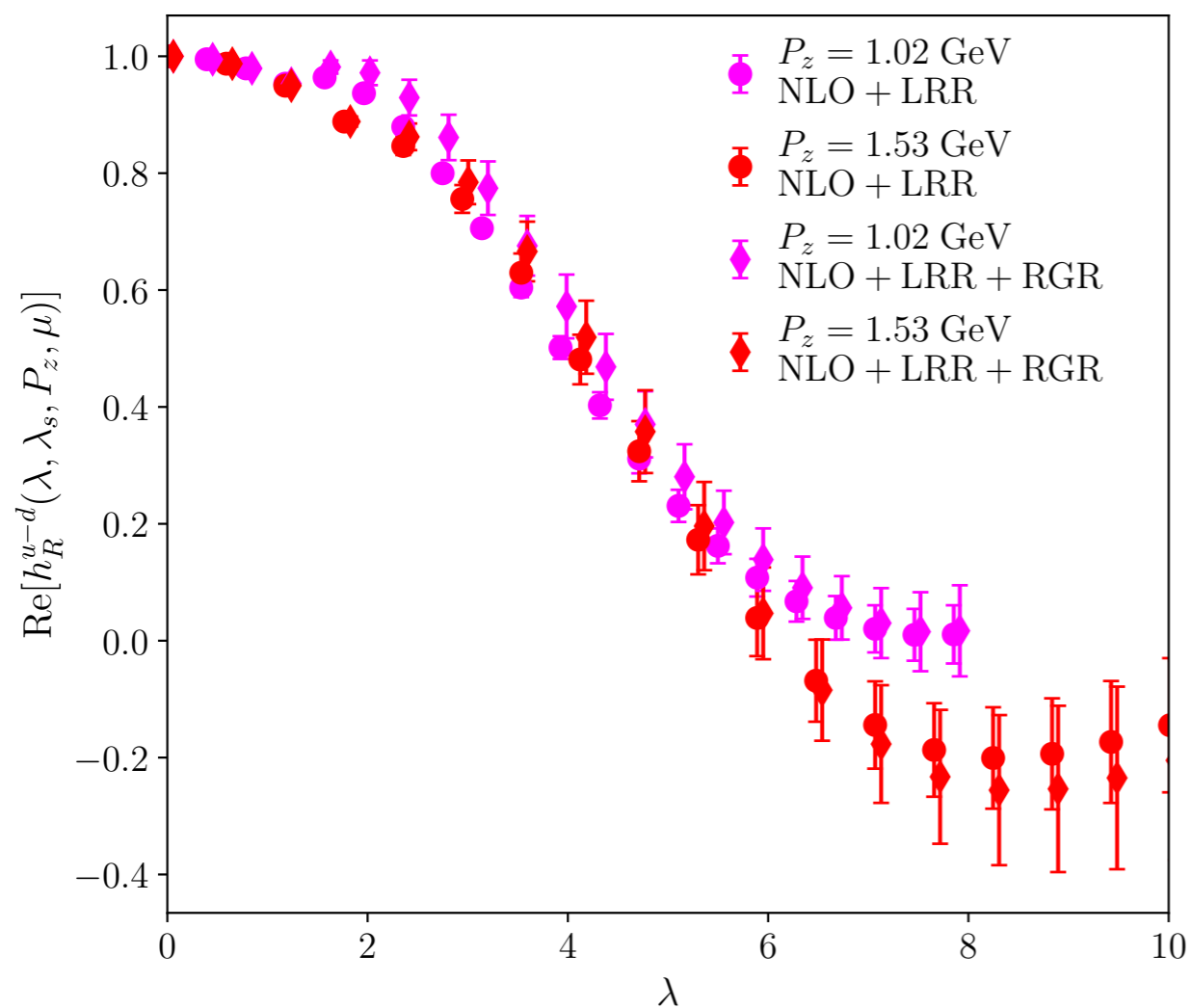
Quasi-PDF method: determination of renormalon



Plateau $\sim z = 3a$: controlled discretization effects and high twist effects

$$h_R(z, P_z) = \begin{cases} \frac{h_B(z, P_z, a)}{h_B(z, P_z=0, a)} & |z| \leq z_s \\ \frac{h_B(z, P_z, a)}{h_B(z_s, P_z=0, a)} e^{(\delta m + \bar{m}_0)(z - z_s)} & |z| \geq z_s \end{cases}$$

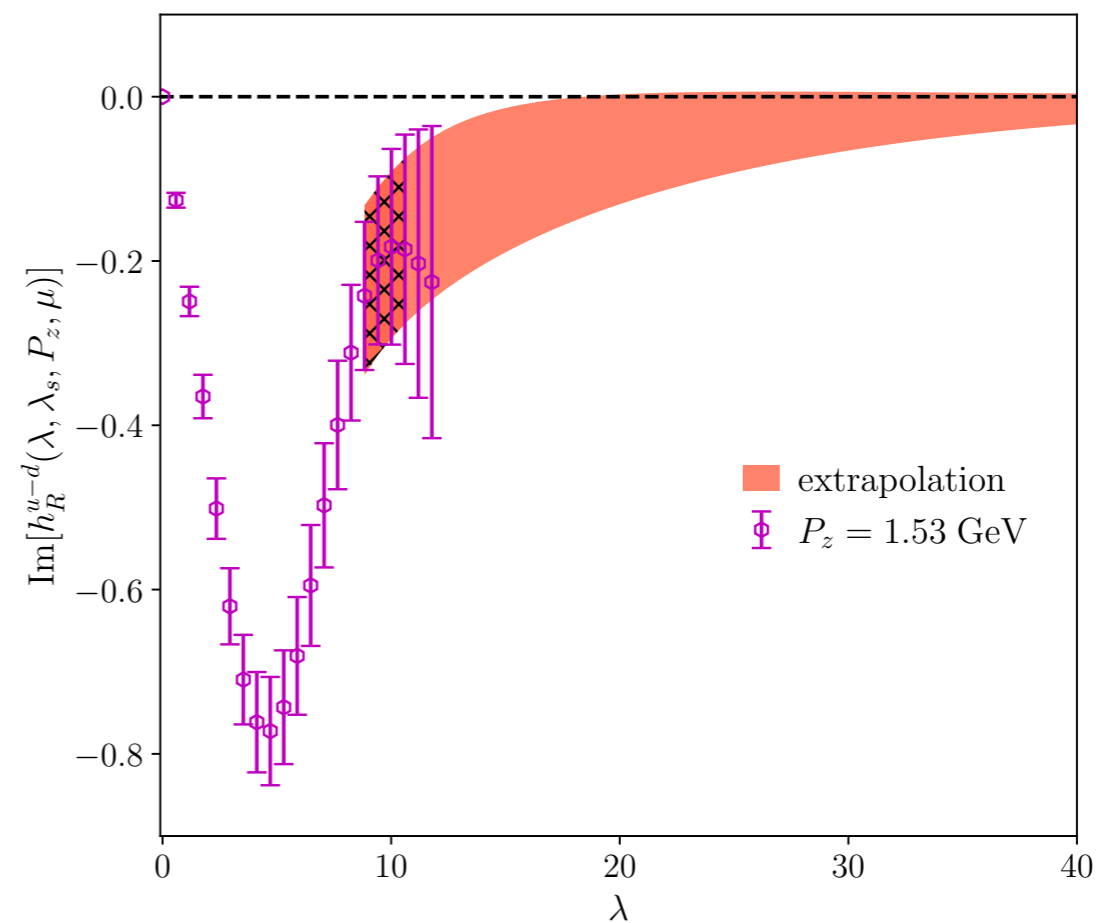
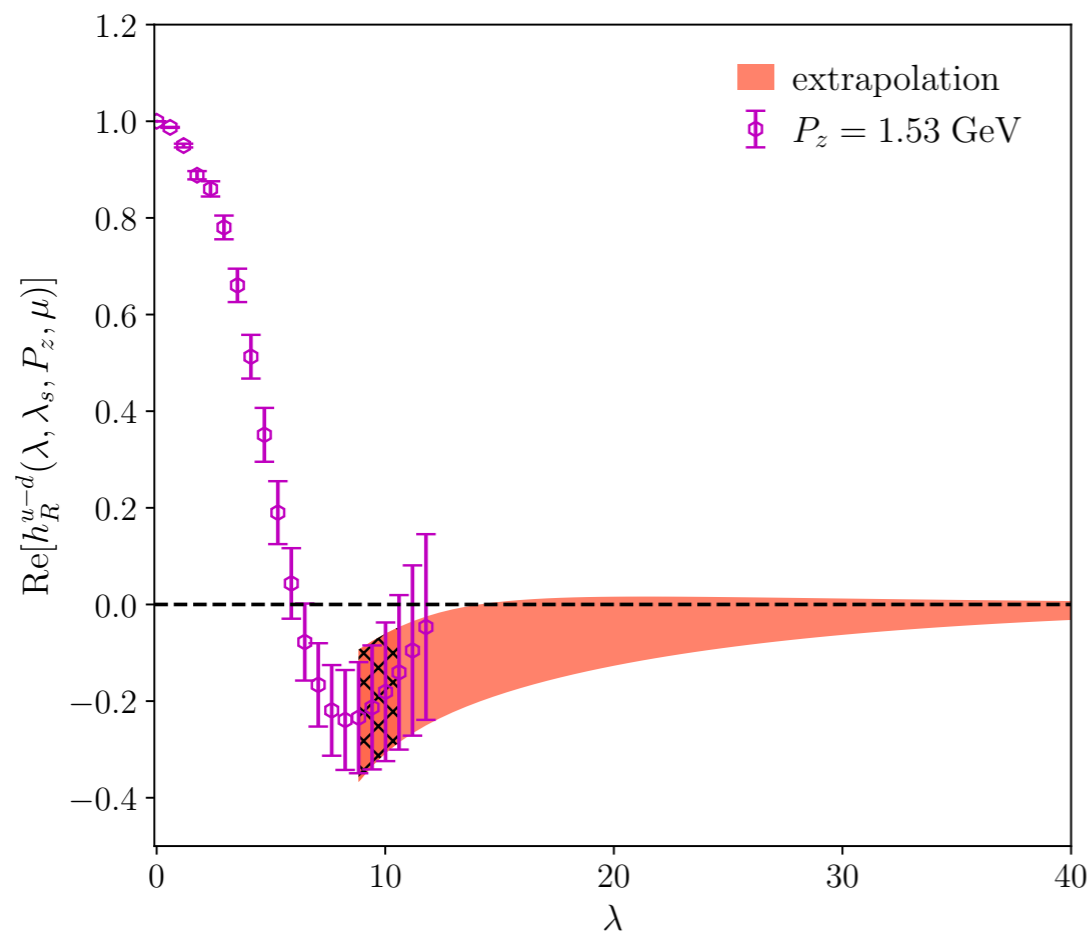
Renormalized matrix elements in Hybrid scheme



RGR introduces a small correction within 1σ statistical error
Larger error size when with RGR, from scale variation
Visible momentum dependence

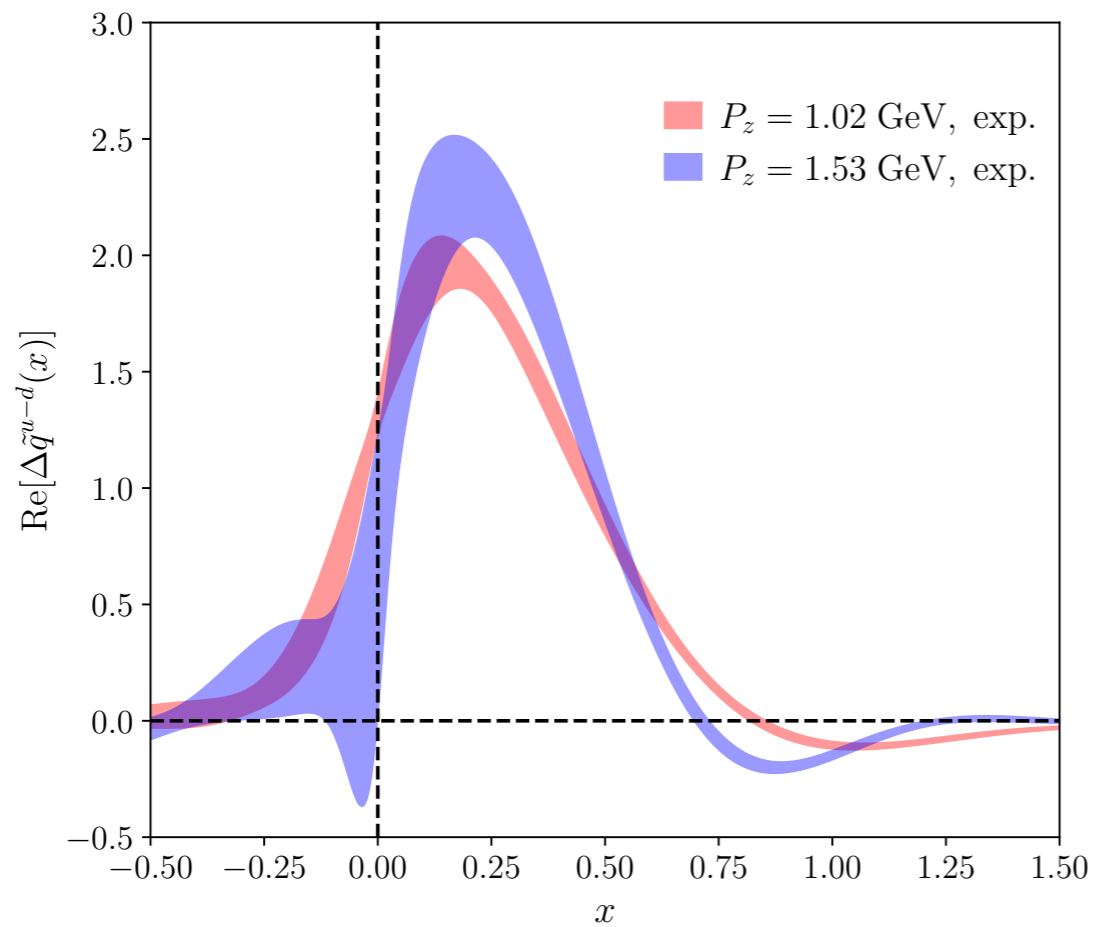
Large lambda extrapolation

$$h_R^{\text{extra}}(\lambda, \lambda_s, P_z, \mu) = \frac{Ae^{-m_{\text{eff}}\lambda/P_z}}{|\lambda|^d}$$

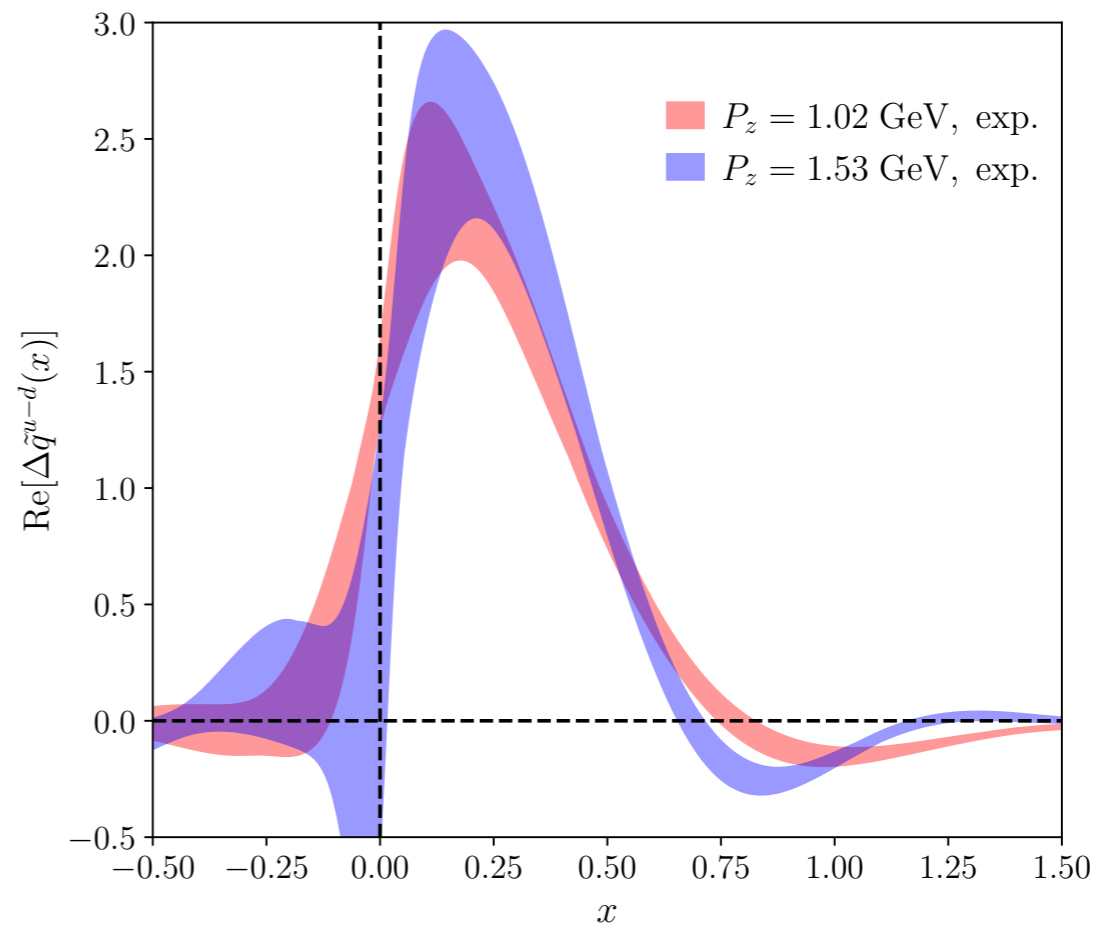


$$\Delta\tilde{q}(y, \mu) = \int \frac{d\lambda}{2\pi} e^{iy\lambda} h_R(\lambda, \lambda_s, P_z, \mu) \dashrightarrow \text{Quasi-PDF}$$

quasi-PDF in x -space



NLO+LRR



NLO+LRR+RGR

Imaginary parts are zero within errors

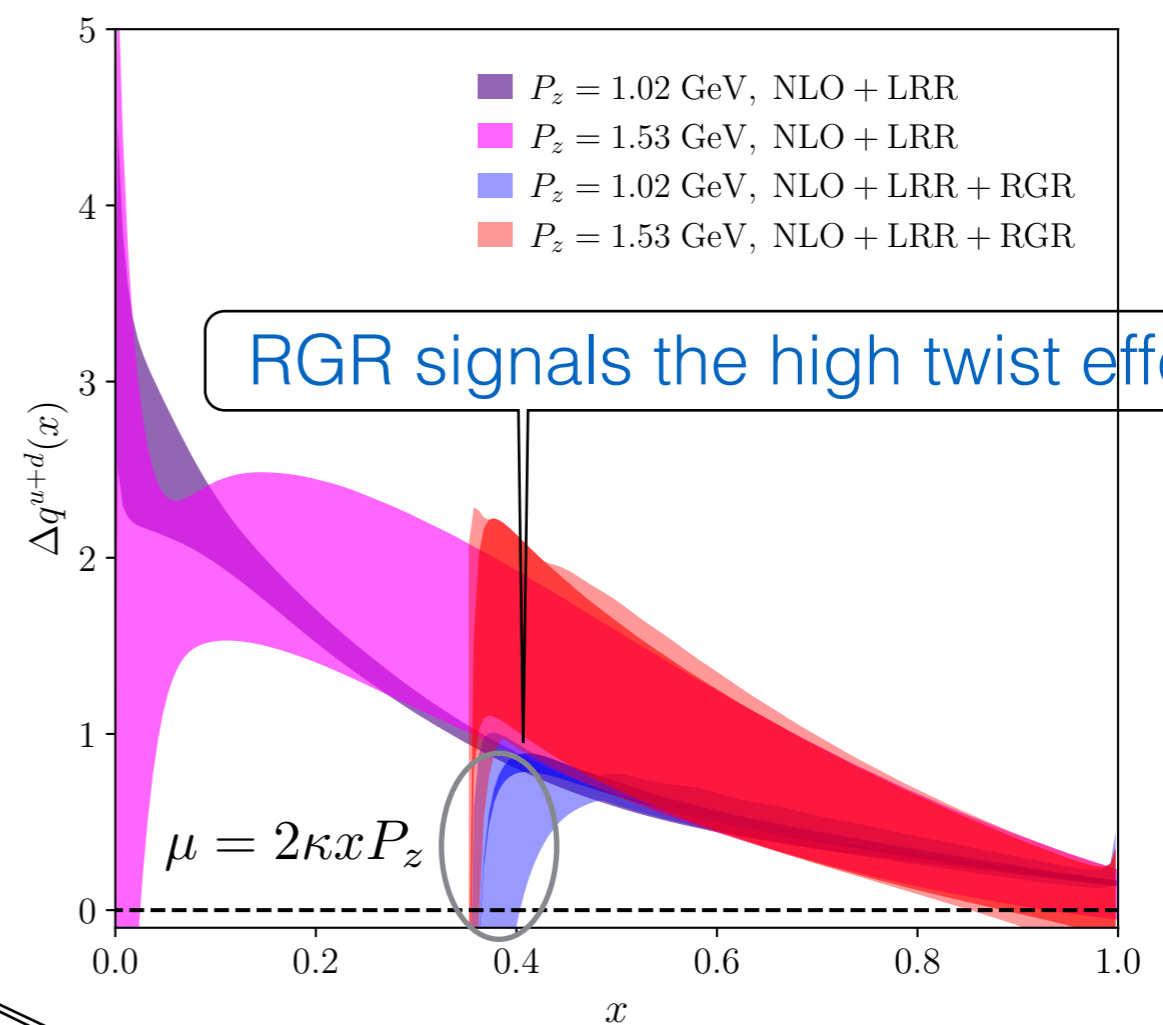
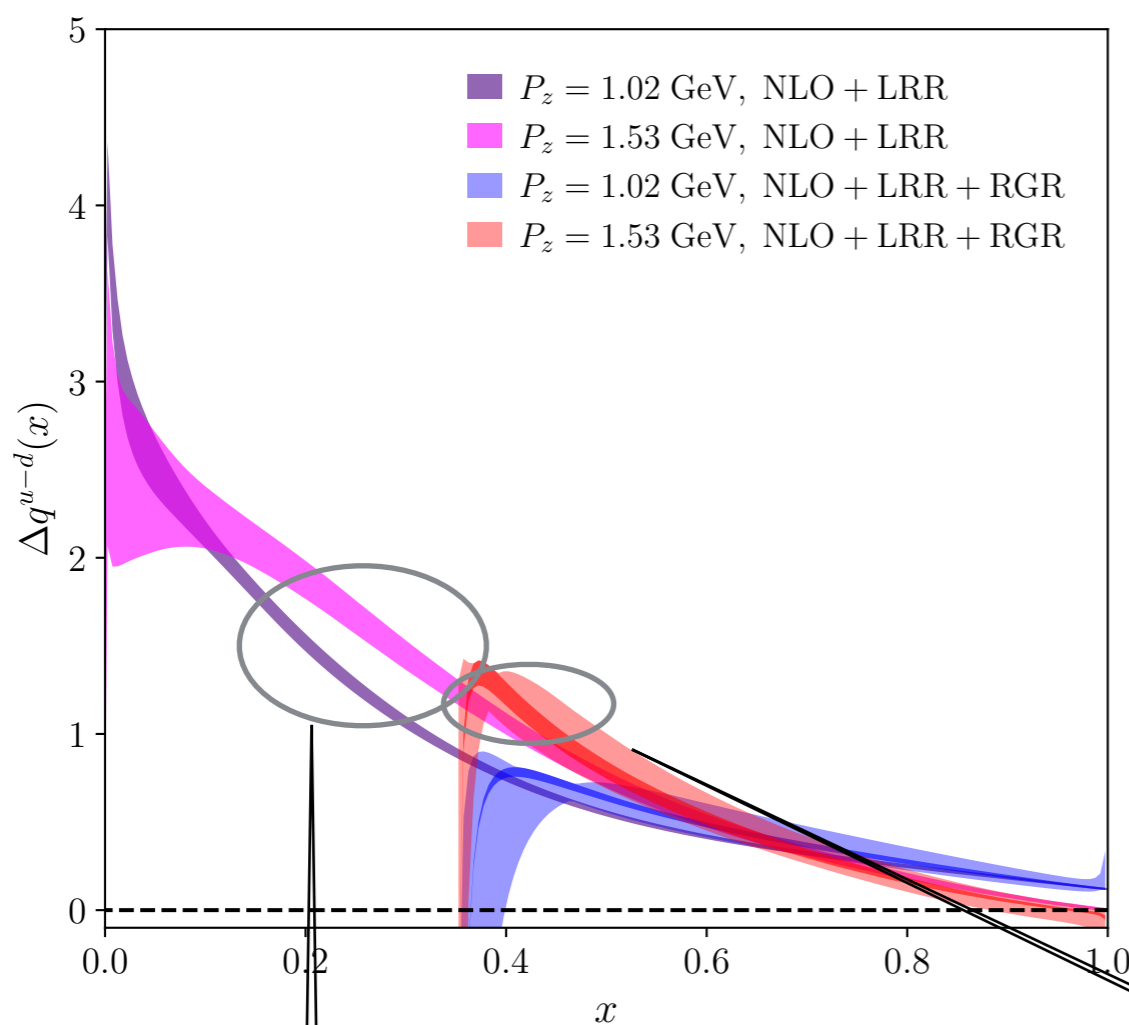
Visible momentum dependence

RGR induces a small enhancement and the error grows

Perturbative matching

$$\Delta q(x, \mu) = \int_{-\infty}^{+\infty} \frac{dy}{|y|} \mathcal{C}^{-1} \left(\frac{x}{y}, \mu, z_s, P_z \right) \Delta \tilde{q}(y, \mu) + \mathcal{O} \left(\frac{\Lambda_{\text{QCD}}^2}{(xP_z)^2}, \frac{\Lambda_{\text{QCD}}^2}{((1-x)P_z)^2} \right)$$

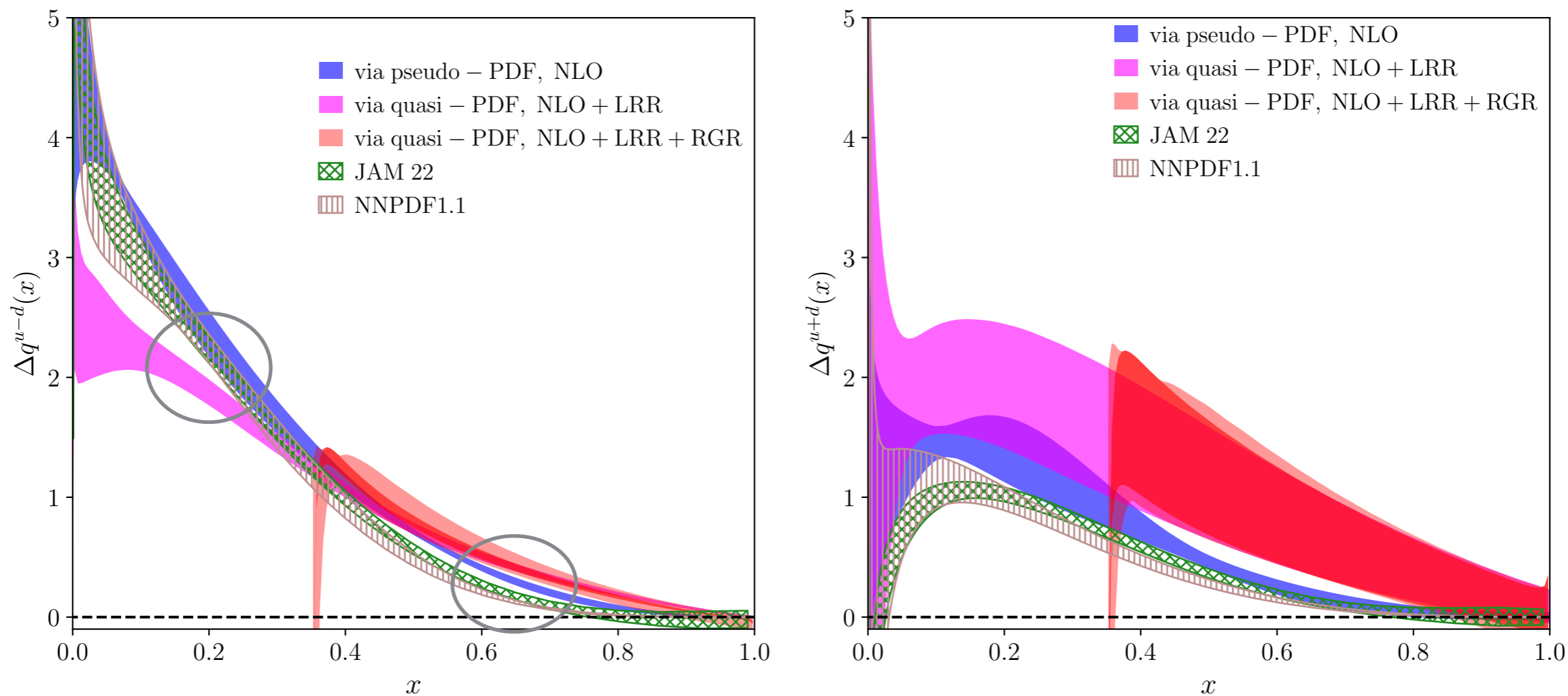
Taylor expansion: $\mathcal{C}^{-1} \left(\frac{x}{y}, \mu, z_s, P_z \right) = \delta \left(\frac{x}{y} - 1 \right) - \alpha_s \mathcal{C}^{(1)} \left(\frac{x}{y}, \mu, z_s, P_z \right) + \mathcal{O}(\alpha_s^2)$



Strong momentum dependence for u-d

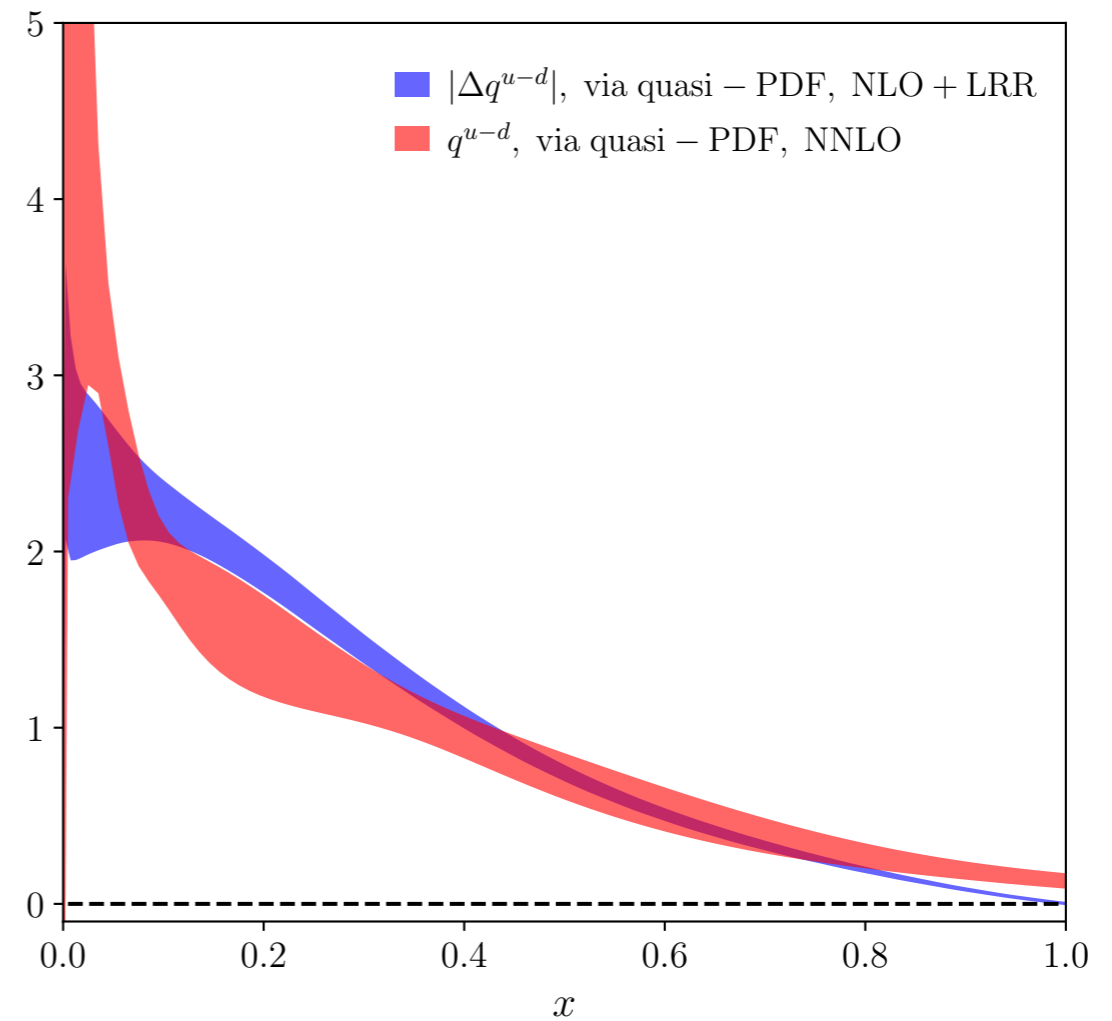
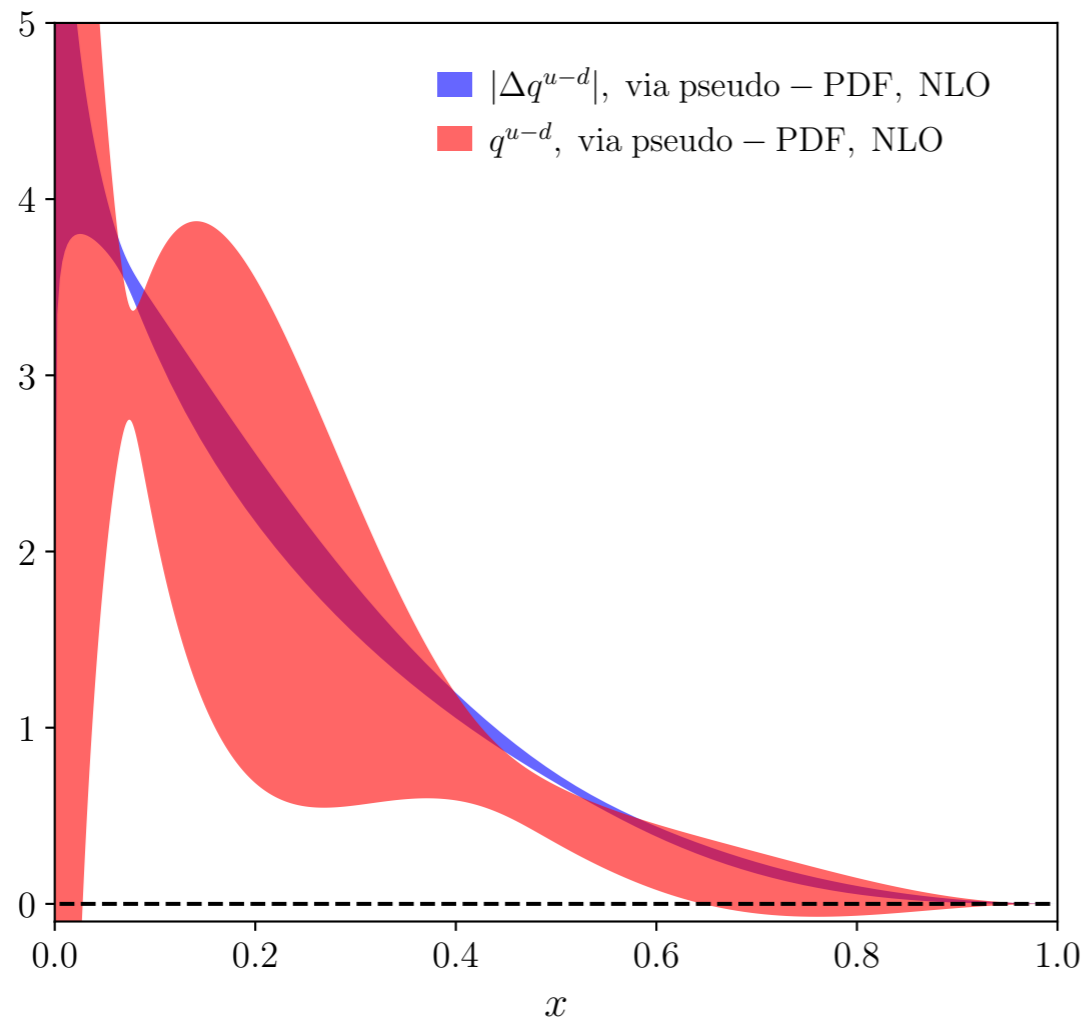
Small enhancement from RGR

Complete comparison



Visible difference between pseudo-PDF approach and quasi-PDF approach
Better agreement with global fit results for pseudo-PDF approach than quasi-PDF approach (need to investigate further)

Soffer bound (I)

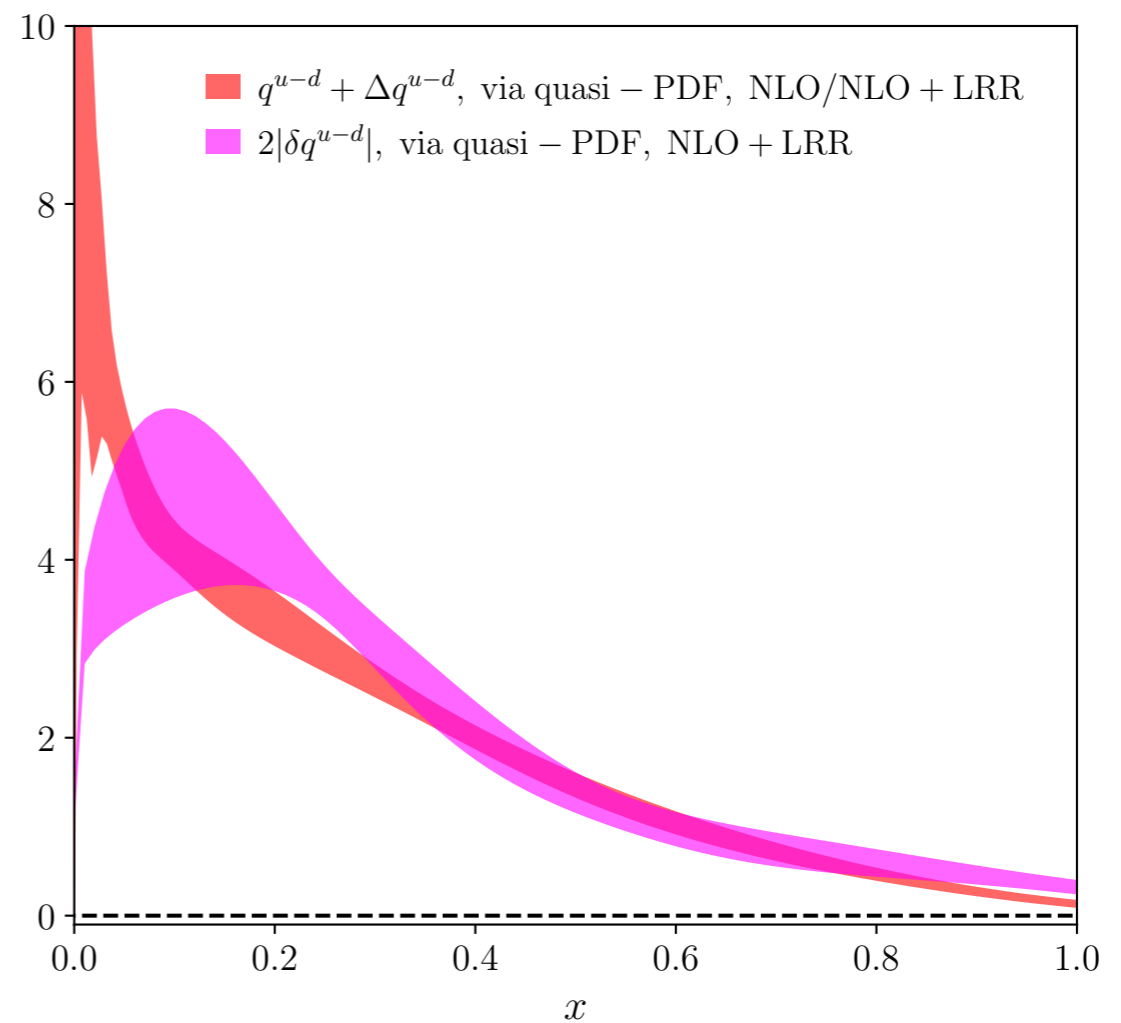
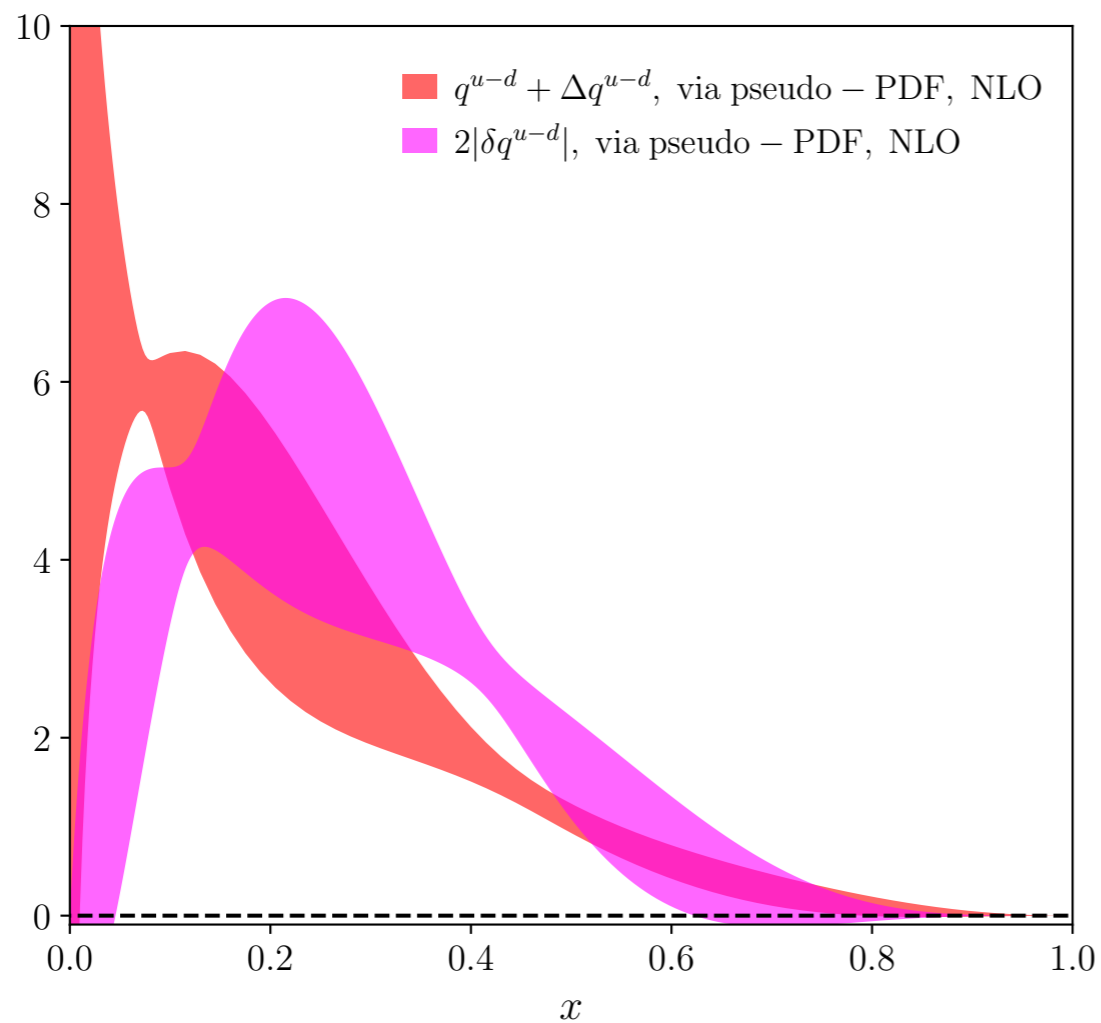


[J. Soffer, PRL 74, 1292 (1995)]

$$q(x) \geq |\Delta q(x)|$$

Soffer bound I respected within error

Soffer bound (II)



[J. Soffer, PRL 74, 1292 (1995)]

$$q(x) + \Delta q(x) \geq 2|\delta q(x)|$$

Soffer bound II not violated by 1-2 σ

Conclusion

- Computed quark helicity matrix elements for both the isoscalar & isovector sectors
- Computed the Mellin moments (controlled precision for the first two)
- Computed the light cone PDF using both pseudo-PDF and quasi-PDF approach
- Adopted advanced reconstruction technique in the pseudo-PDF approach and state-of-the-art renormalization in the quasi-PDF approach
- Studied various systematics
- Examined the Soffer bound

- Push to larger momentum to suppress the high-twist effects
- Add more statistics to control the statistical uncertainties
- Add more lattice spacings for the continuum limit

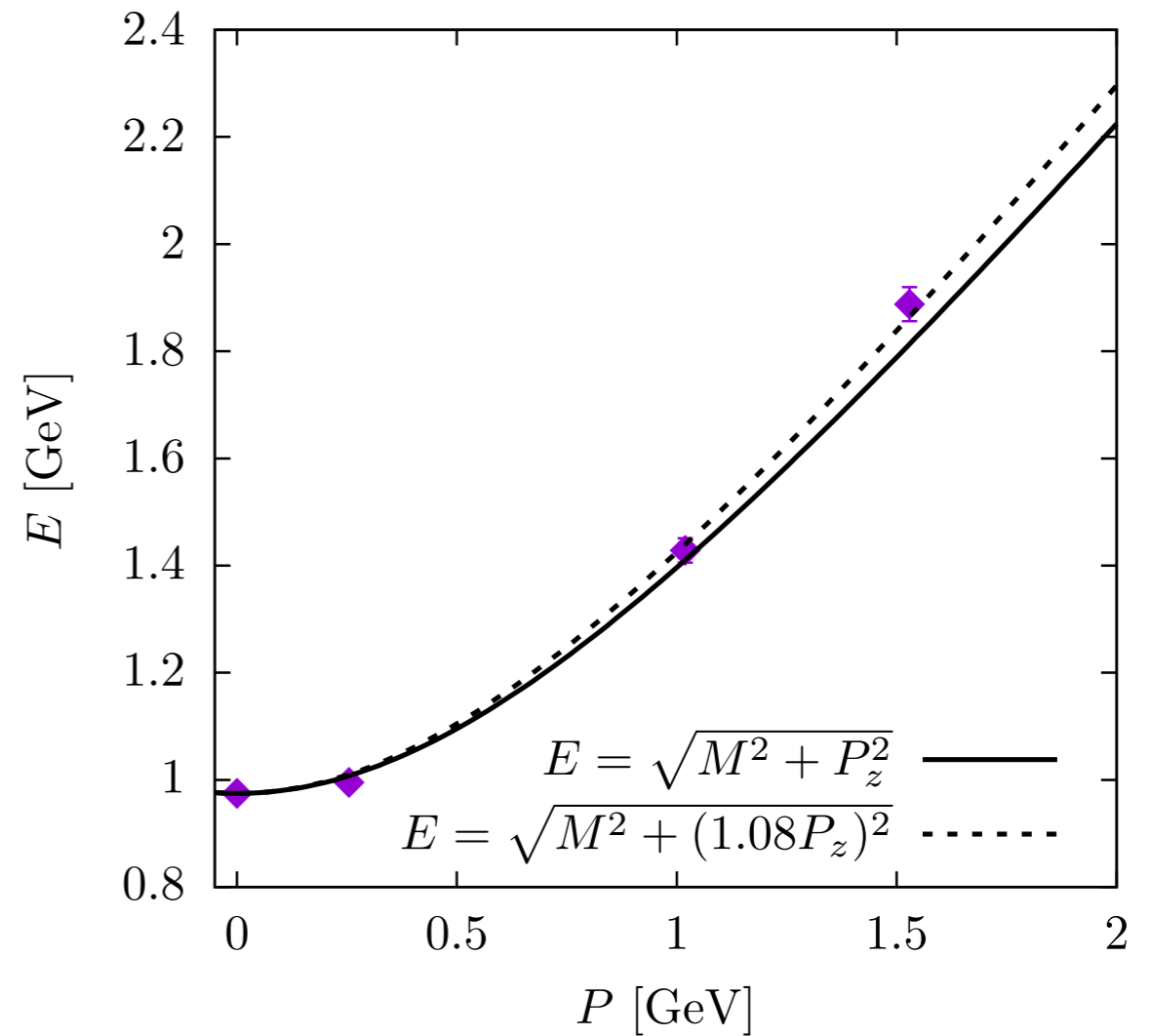
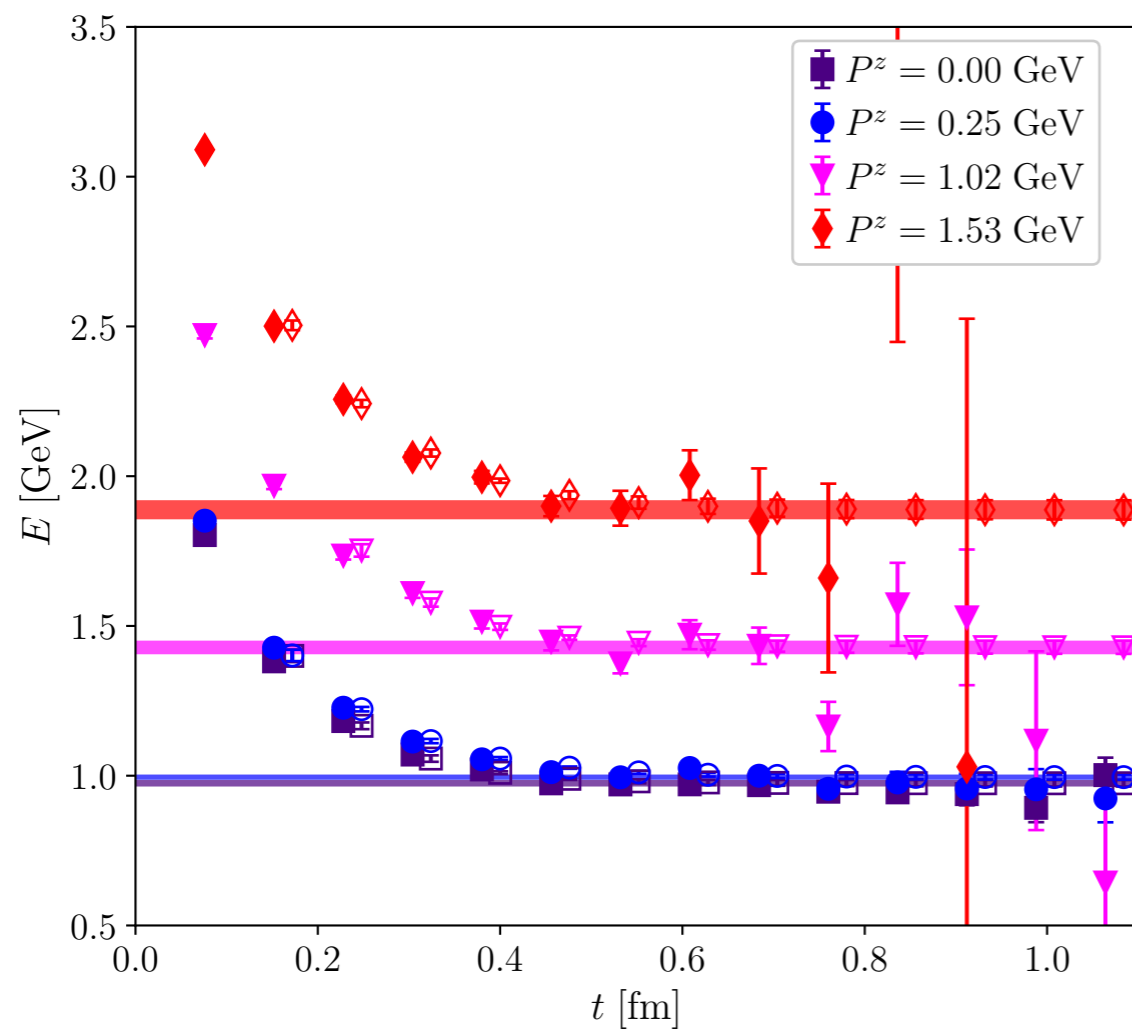
Backup: Lattice setup

| Ensembles $a, L_t \times L_s^3$ | m_π (GeV) | N_{cfg} | n_z | k_z | t_{sep}/a | (#ex,#sl) |
|--|------------------|------------------|-------|-------|--------------------|-----------|
| $a = 0.076 \text{ fm}$ 64×64^3 | 0.14 | 350 | 0 | 0 | 6 | (1, 16) |
| | | | 0 | 0 | 8,10 | (1, 32) |
| | | | 0 | 0 | 12 | (2, 64) |
| | | | 1 | 0 | 6,8,10,12 | (1, 32) |
| | | | 4 | 2 | 6 | (1, 32) |
| | | | 4 | 2 | 8,10,12 | (4, 128) |
| | | | 6 | 3 | 6 | (1, 20) |
| | | | 6 | 3 | 8 | (4, 100) |
| | | | 6 | 3 | 10,12 | (5, 140) |

Clover-wilson valence on HISQ sea

Momentum smearing to improve SNR

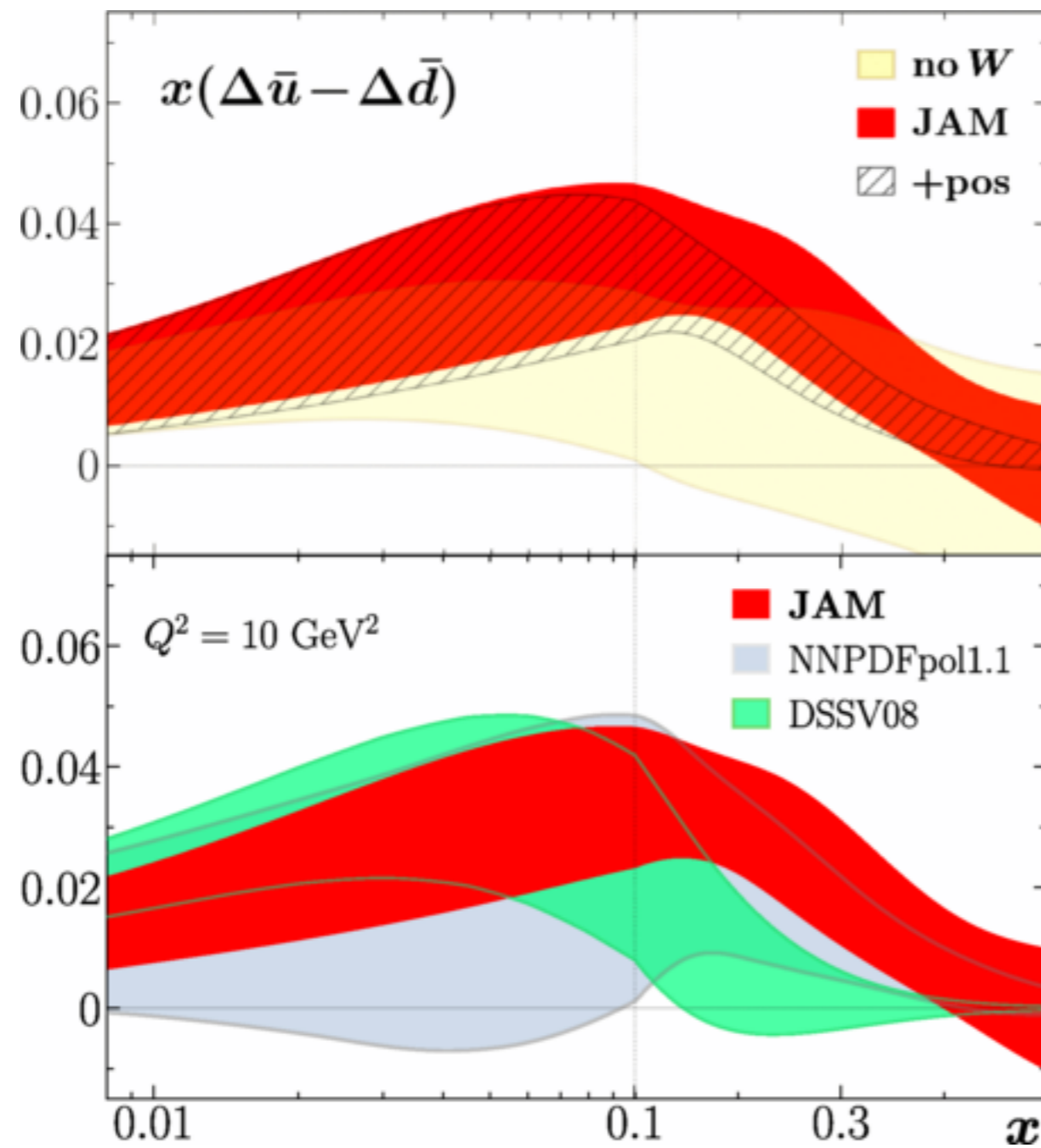
Backup: Sanity check of the joint fit



Filled points: effective mass from 2pt
Open points: effective mass from jointly-fit 2pt
Bands: ground-state mass from joint fit of R and 2pt

Good control of lattice discretization effects

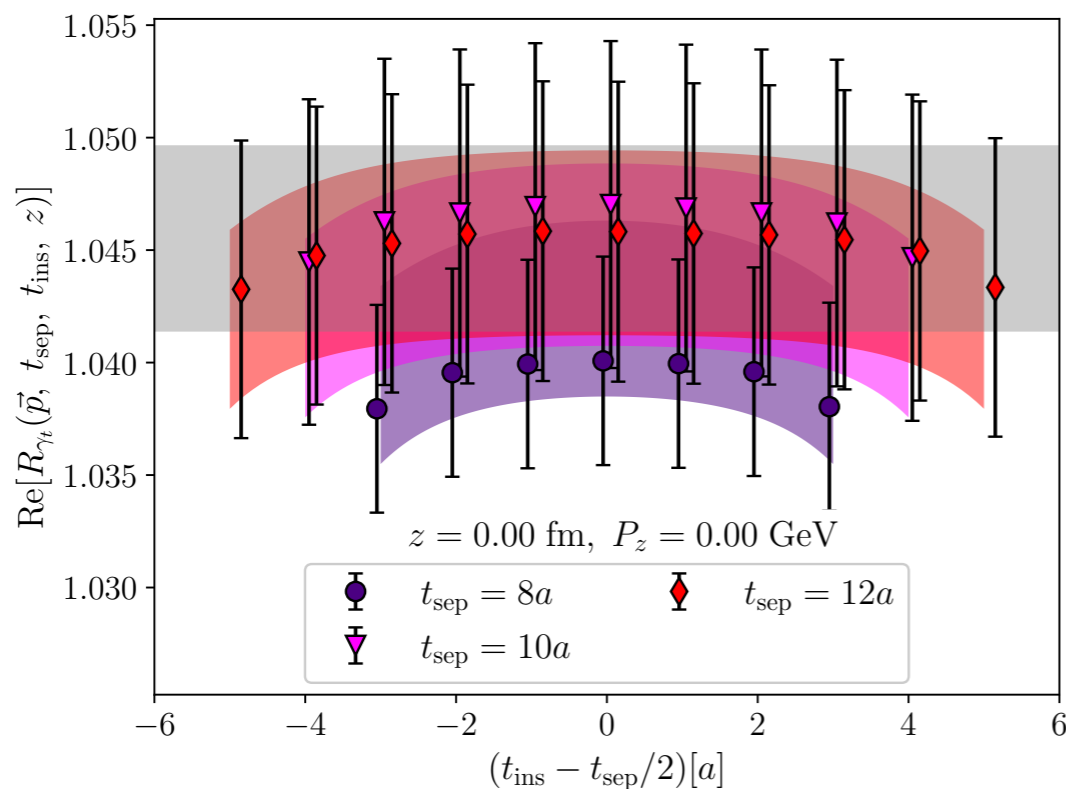
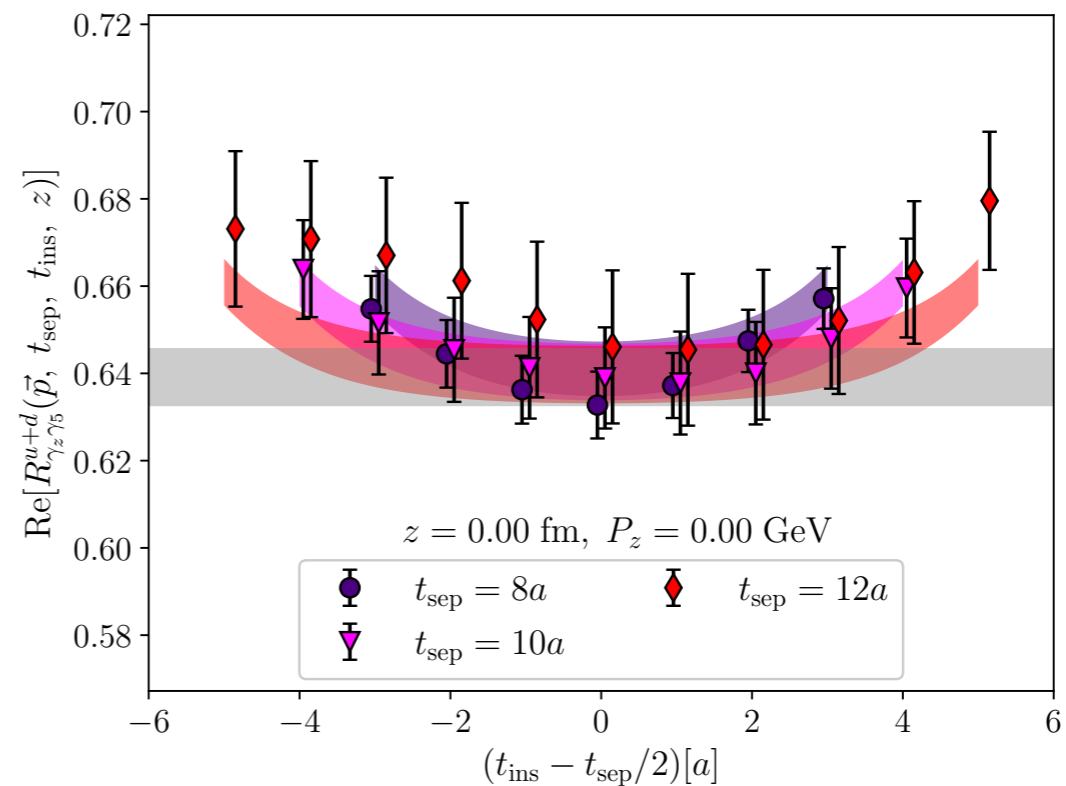
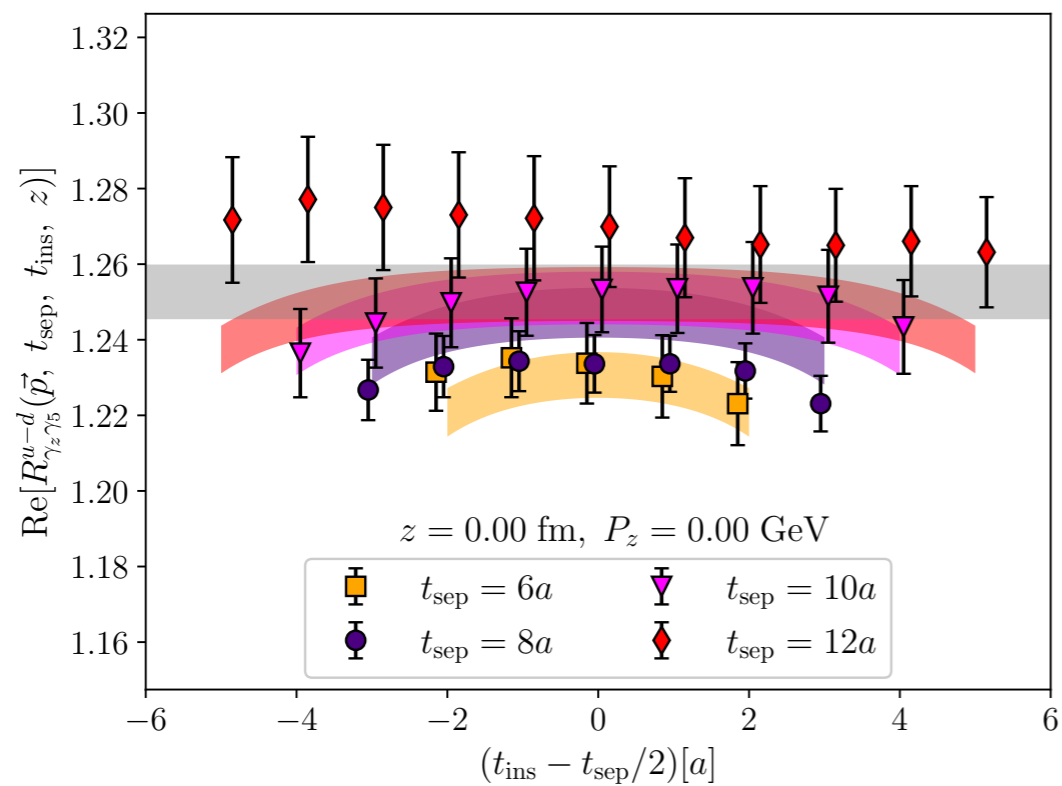
Backup:



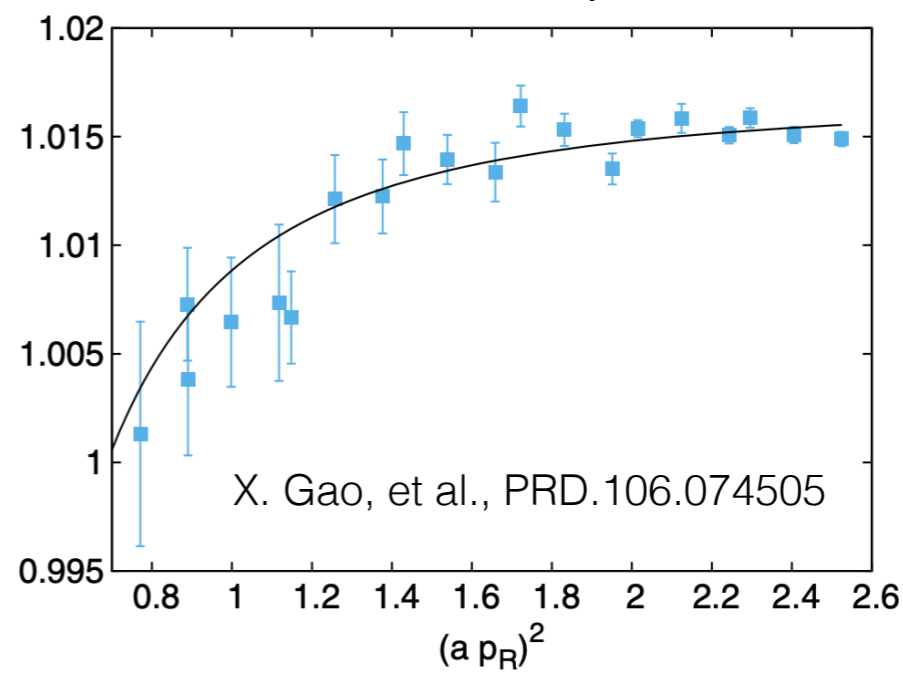
JAM 22: PRD106, L031502 (2022)

Non-zero contribution to spin
from antiquarks

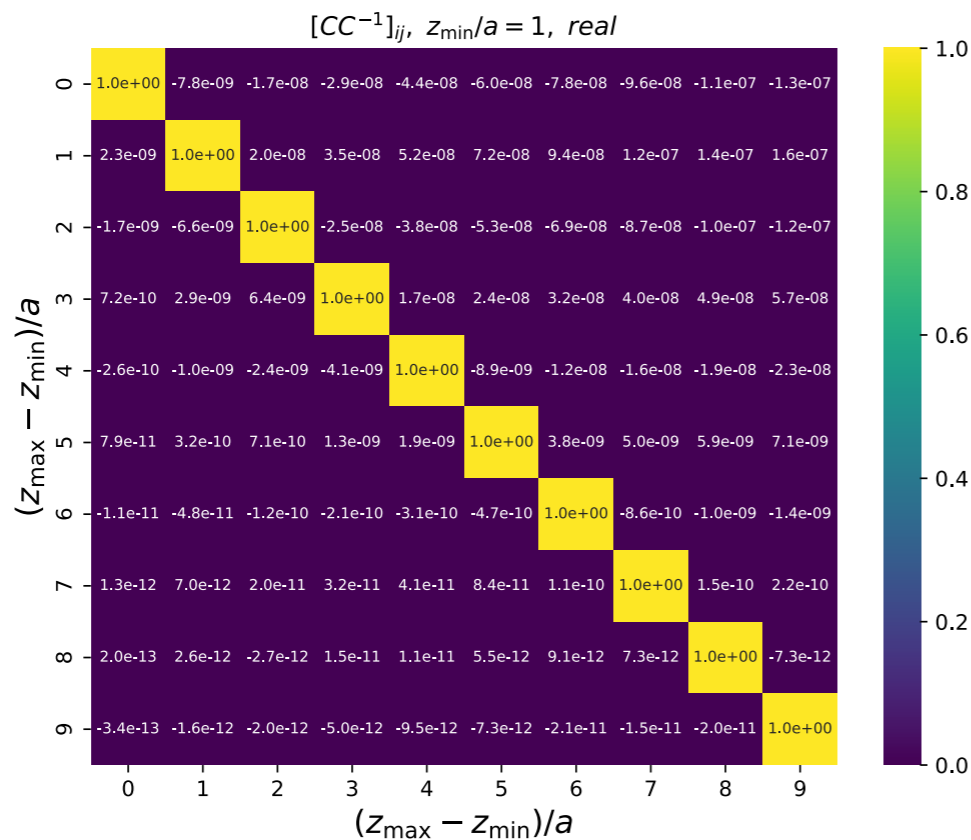
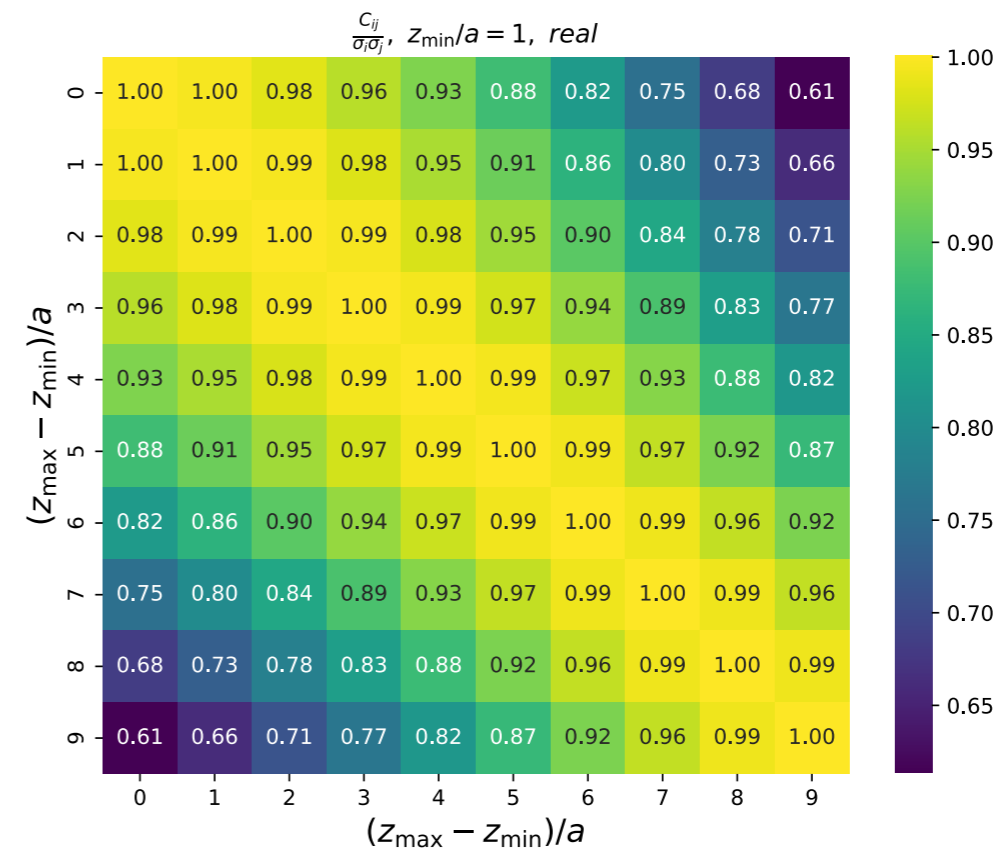
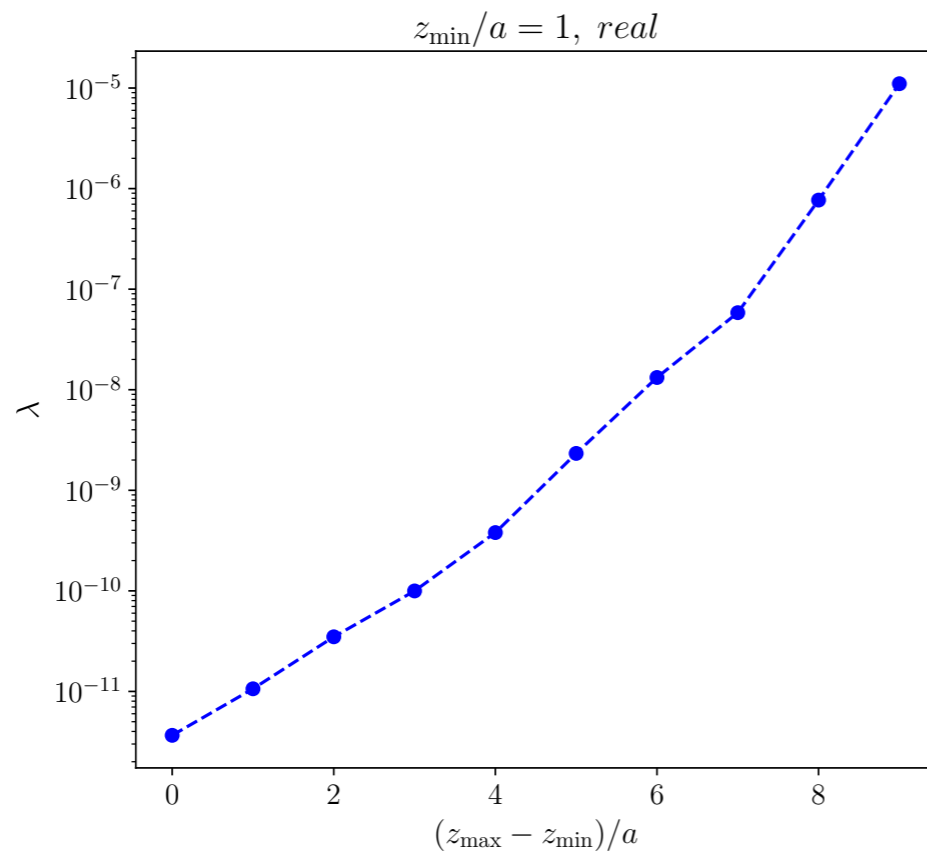
Renormalized axial-vector charge



$$g_A^R = Z_A g_A^B = \frac{Z_A}{Z_V} \frac{g_A^B}{g_V^B}$$



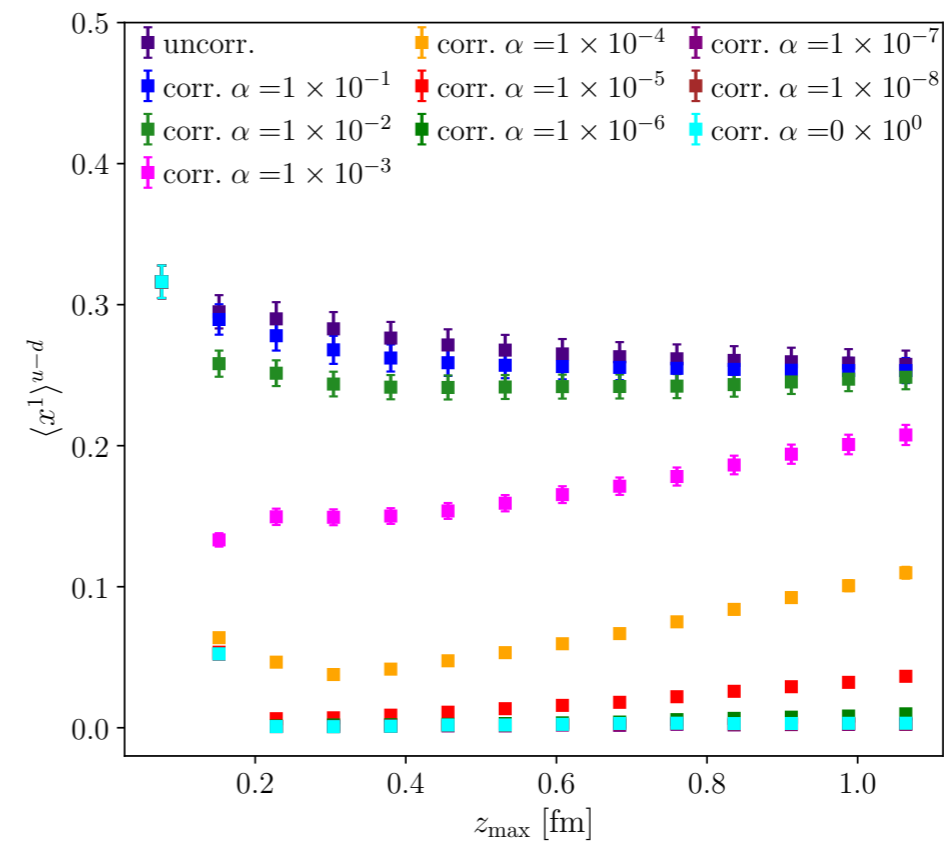
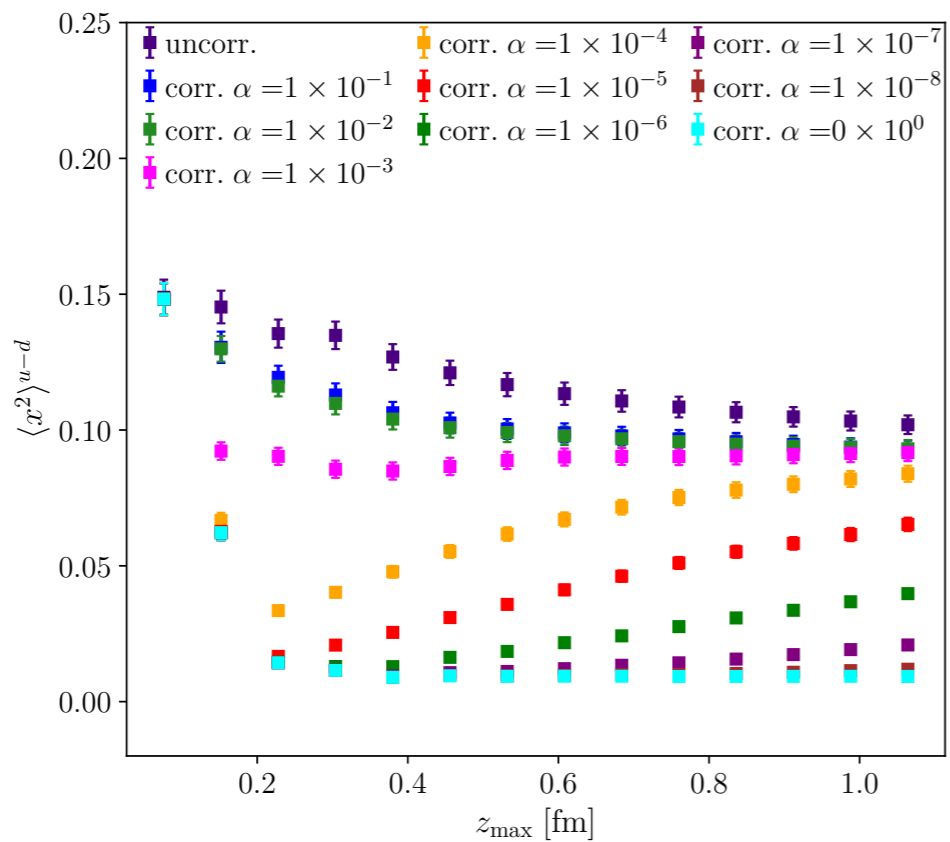
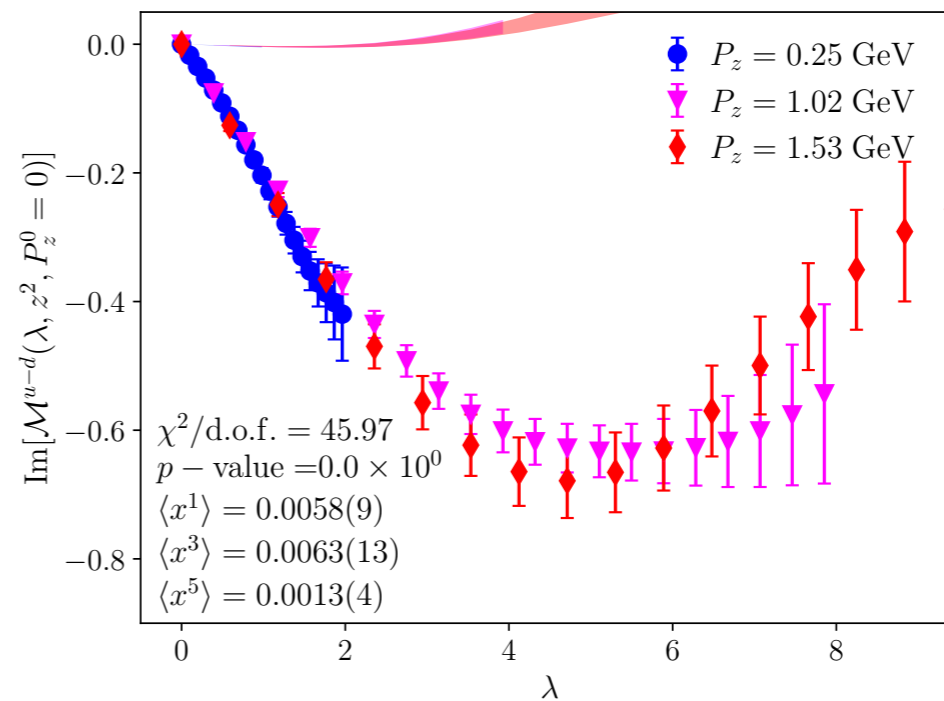
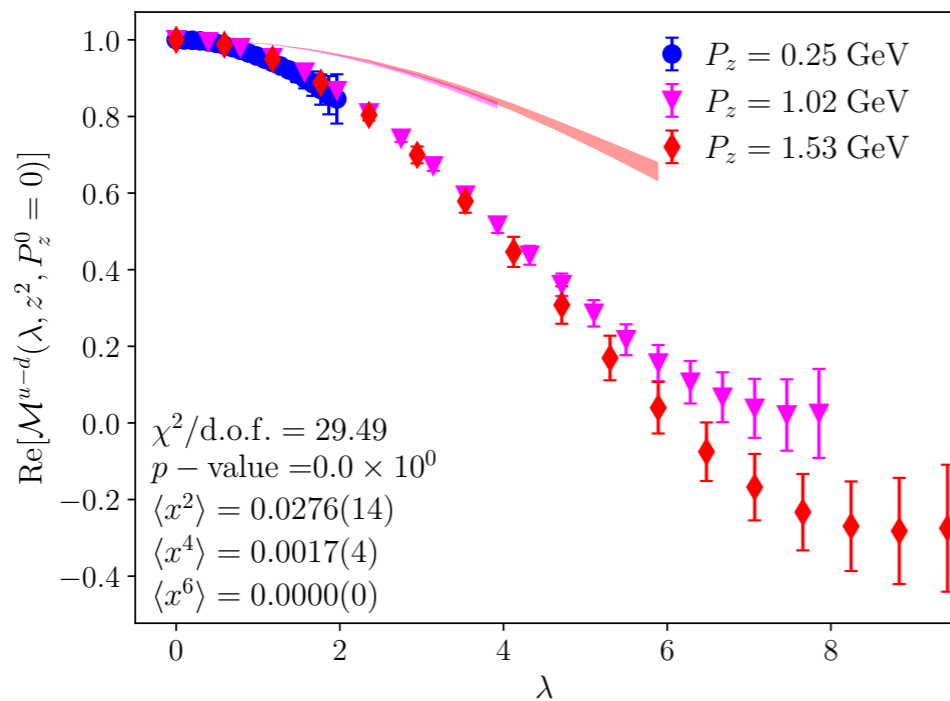
Backup: covariance matrix (I)



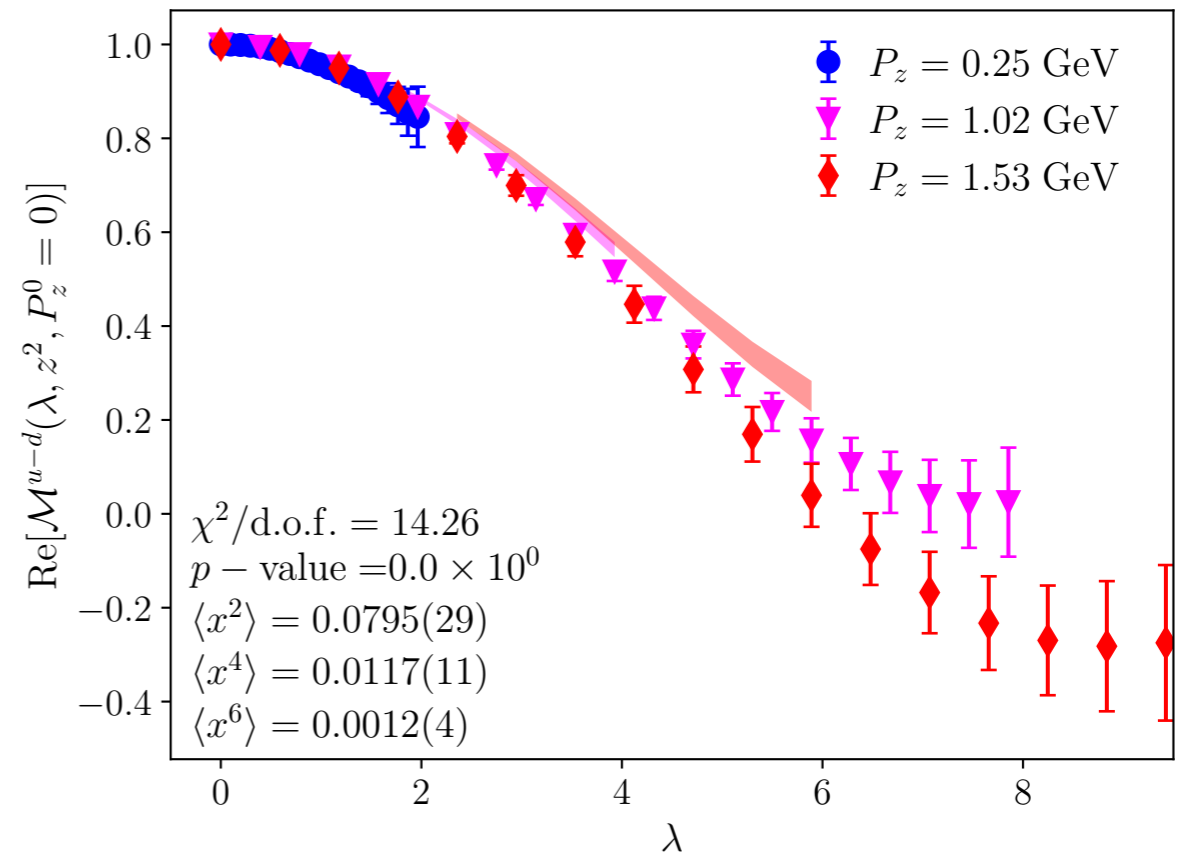
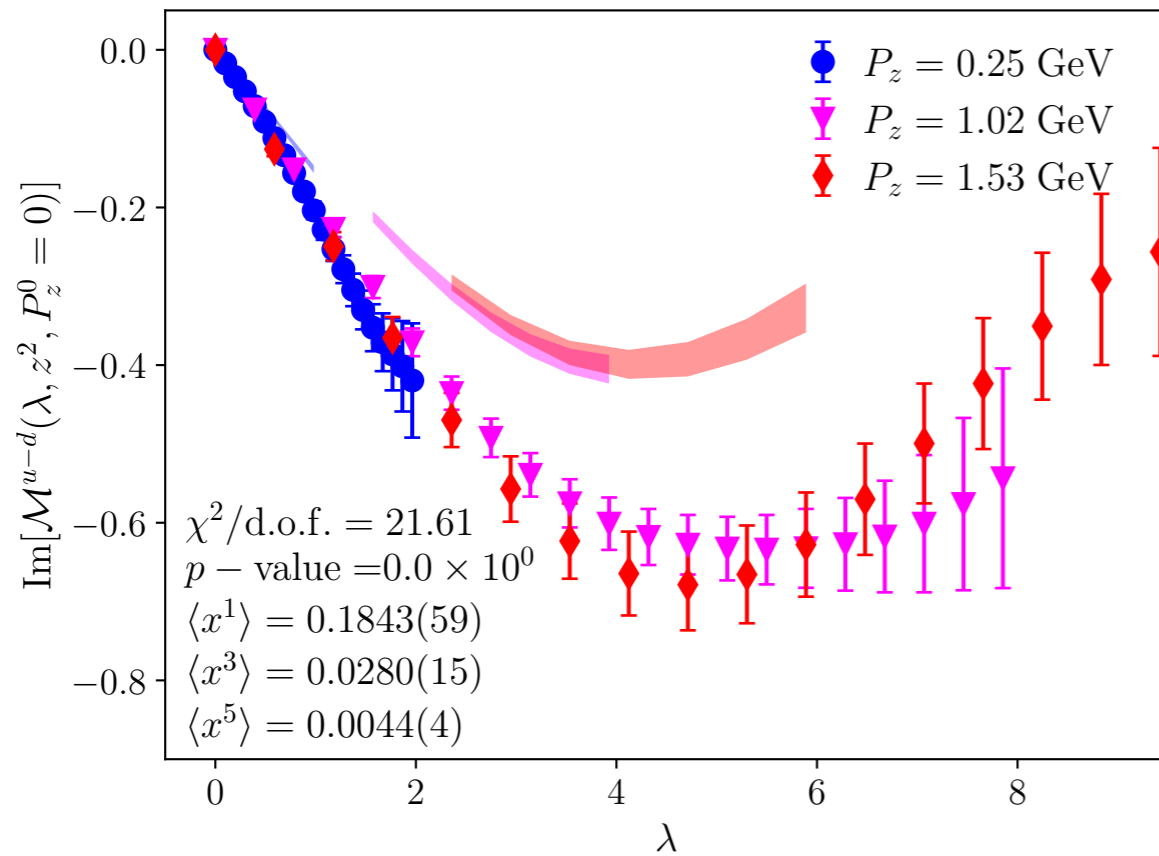
$$z_{\min} = 1a, z_{\max} = 10a$$

Strong correlation, particularly at shorter distances!

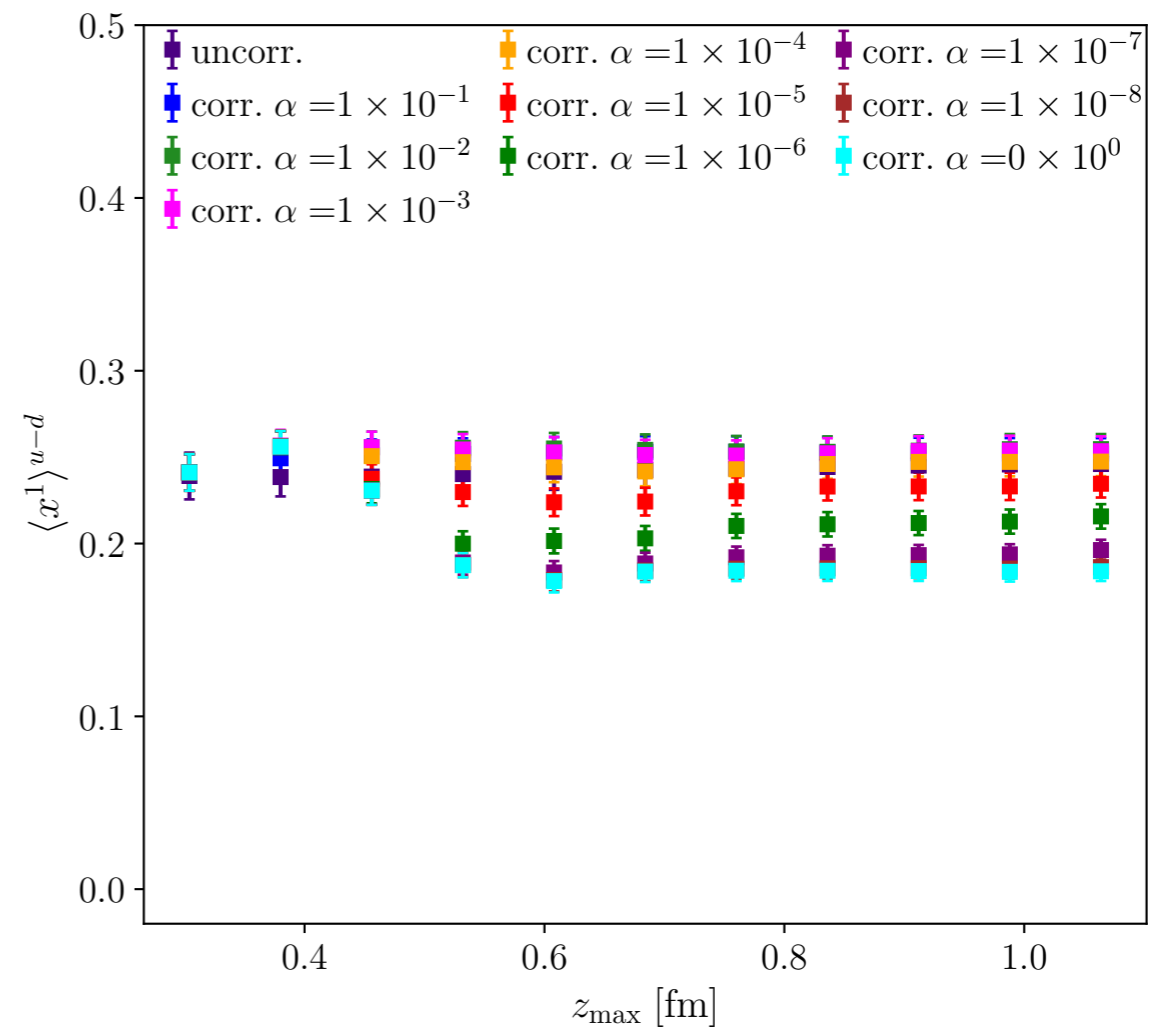
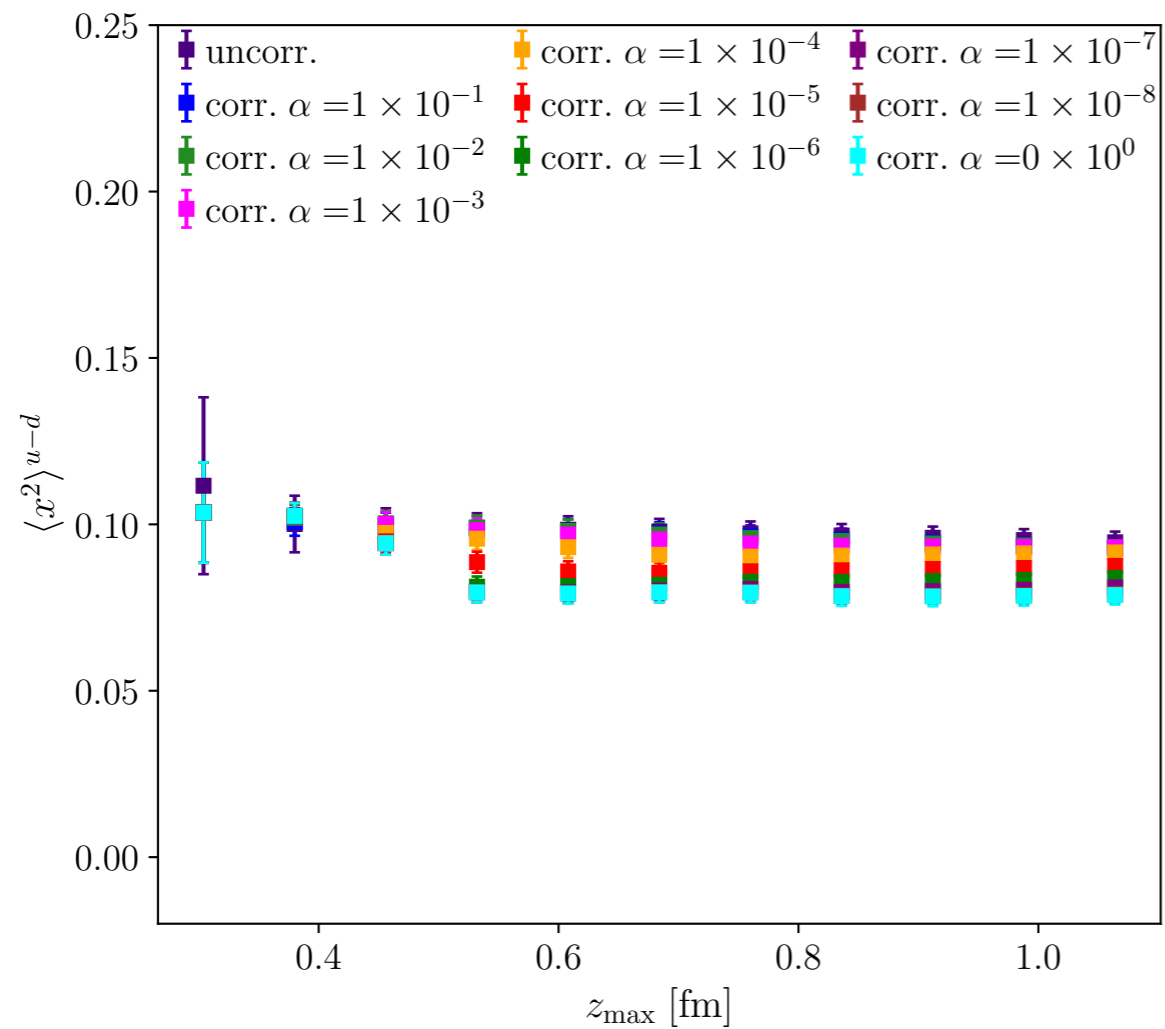
Backup: covariance matrix (II)



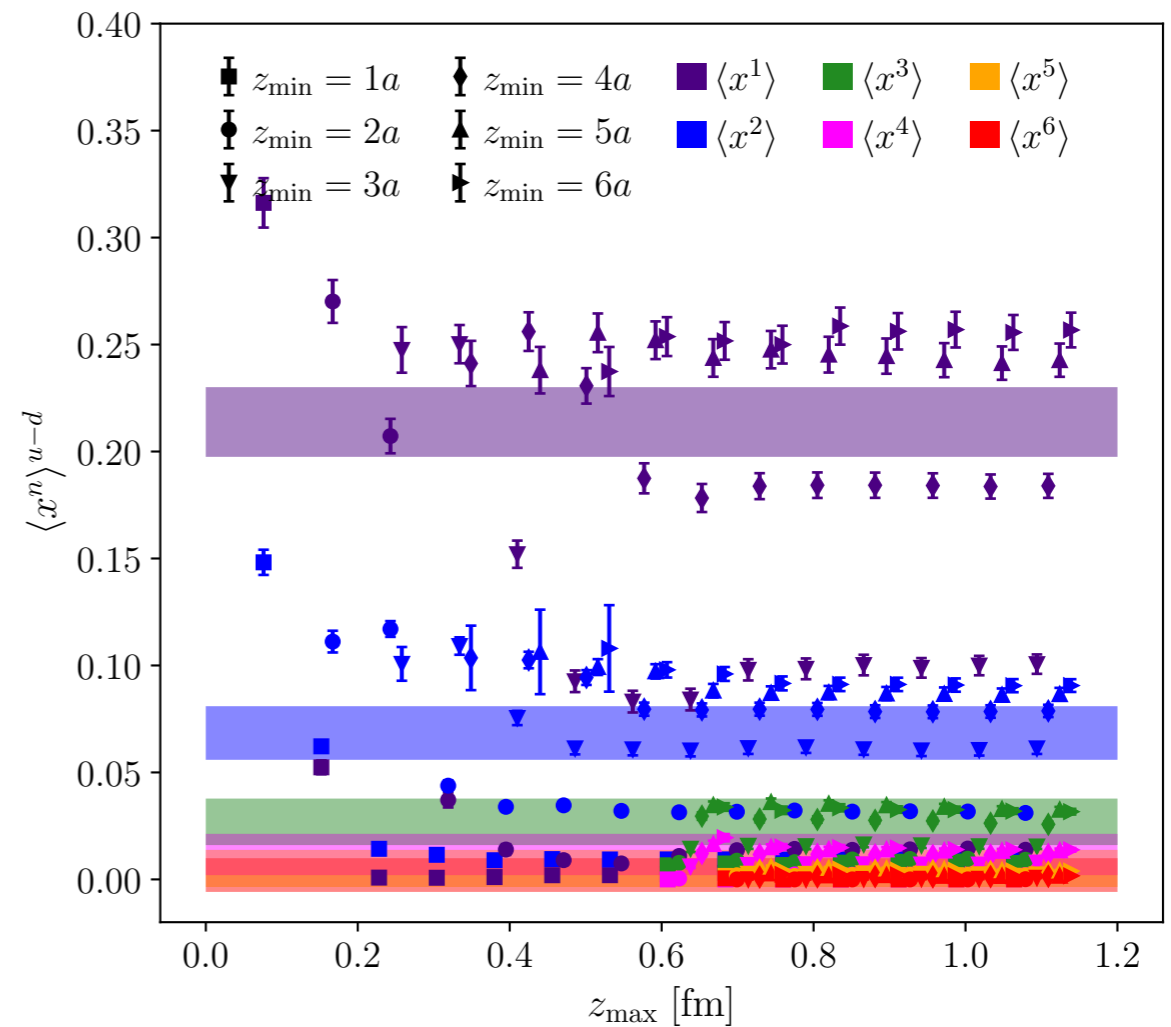
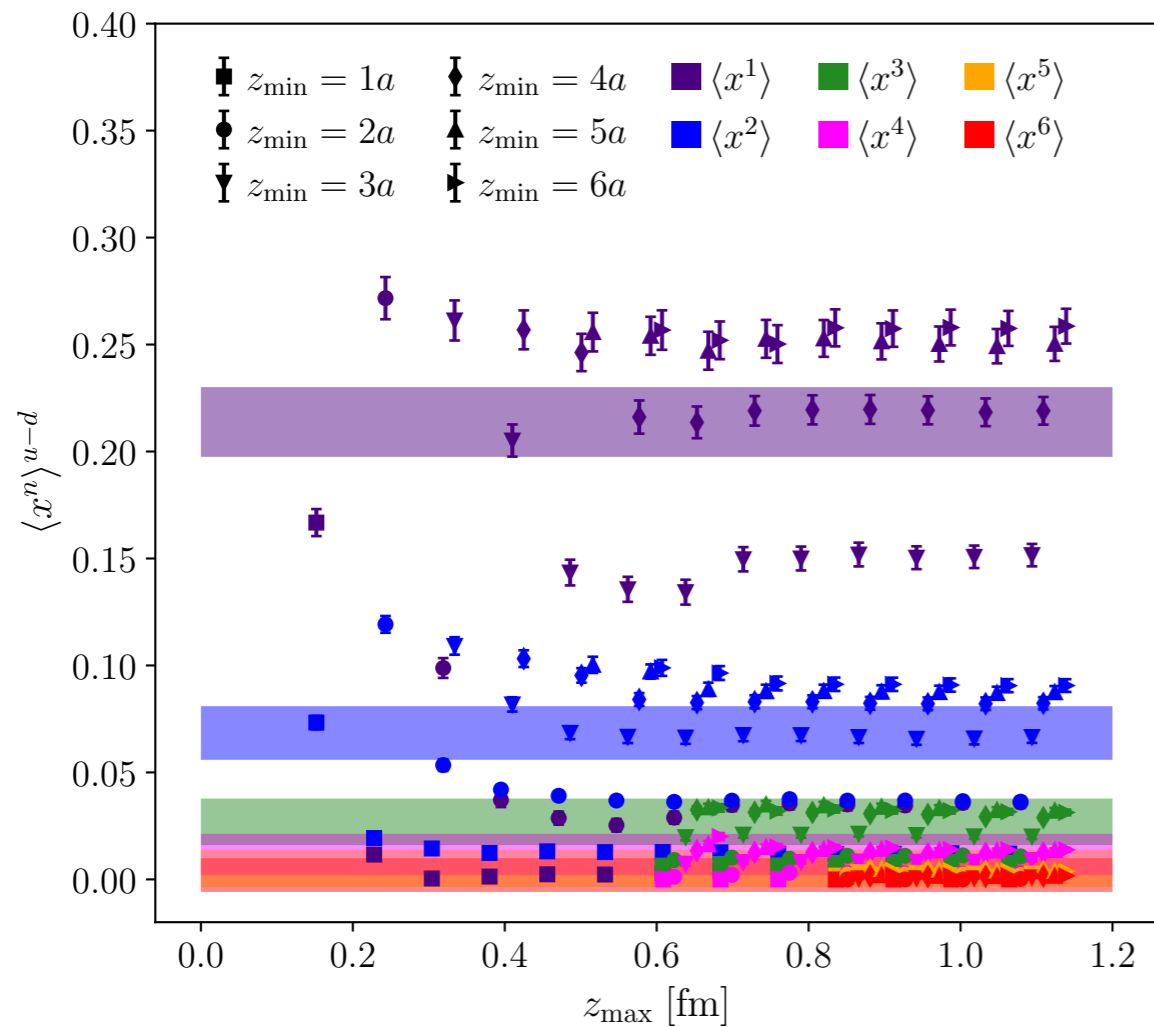
Backup: covariance matrix (III)



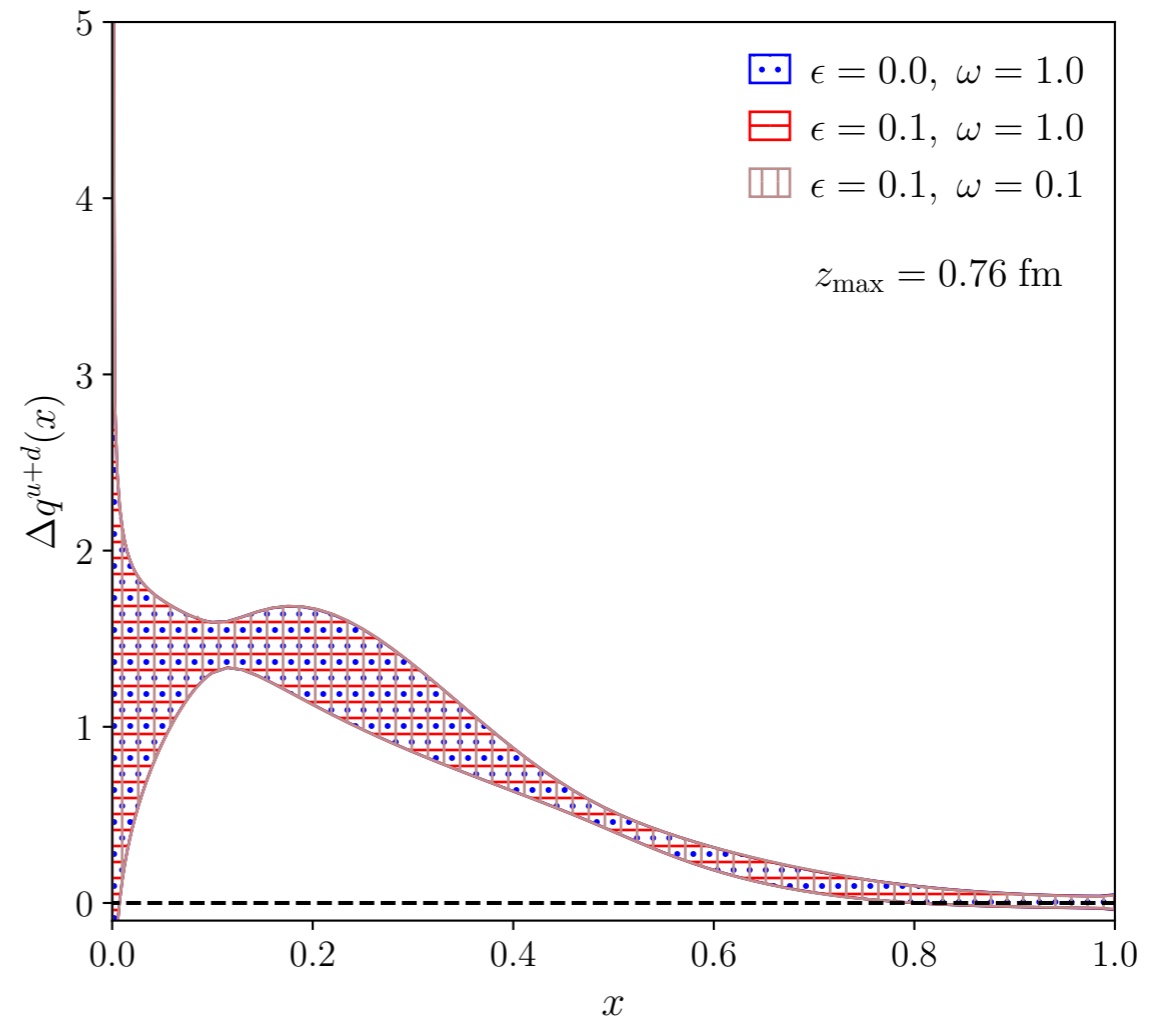
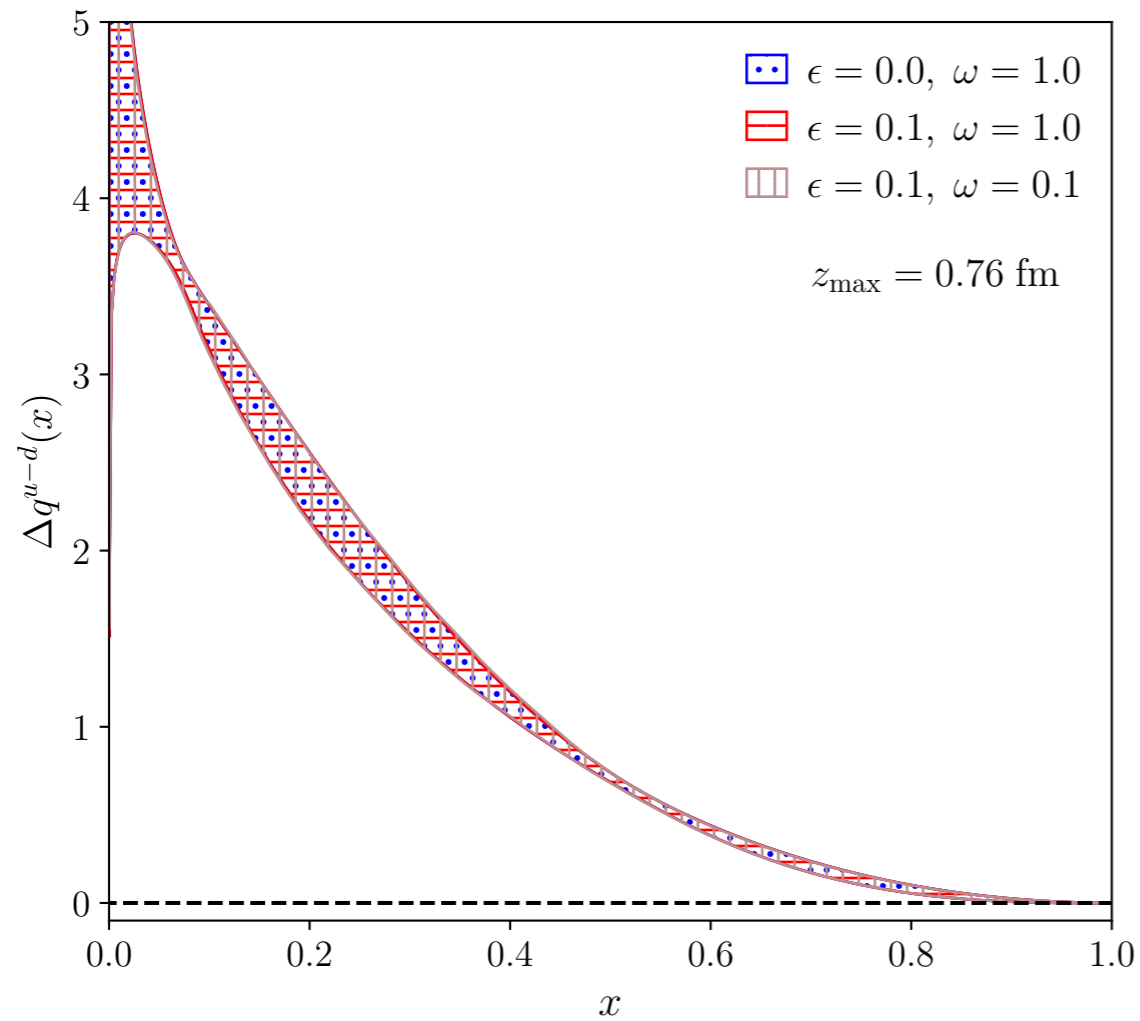
Backup: covariance matrix (IV)



Backup: covariance matrix (V)



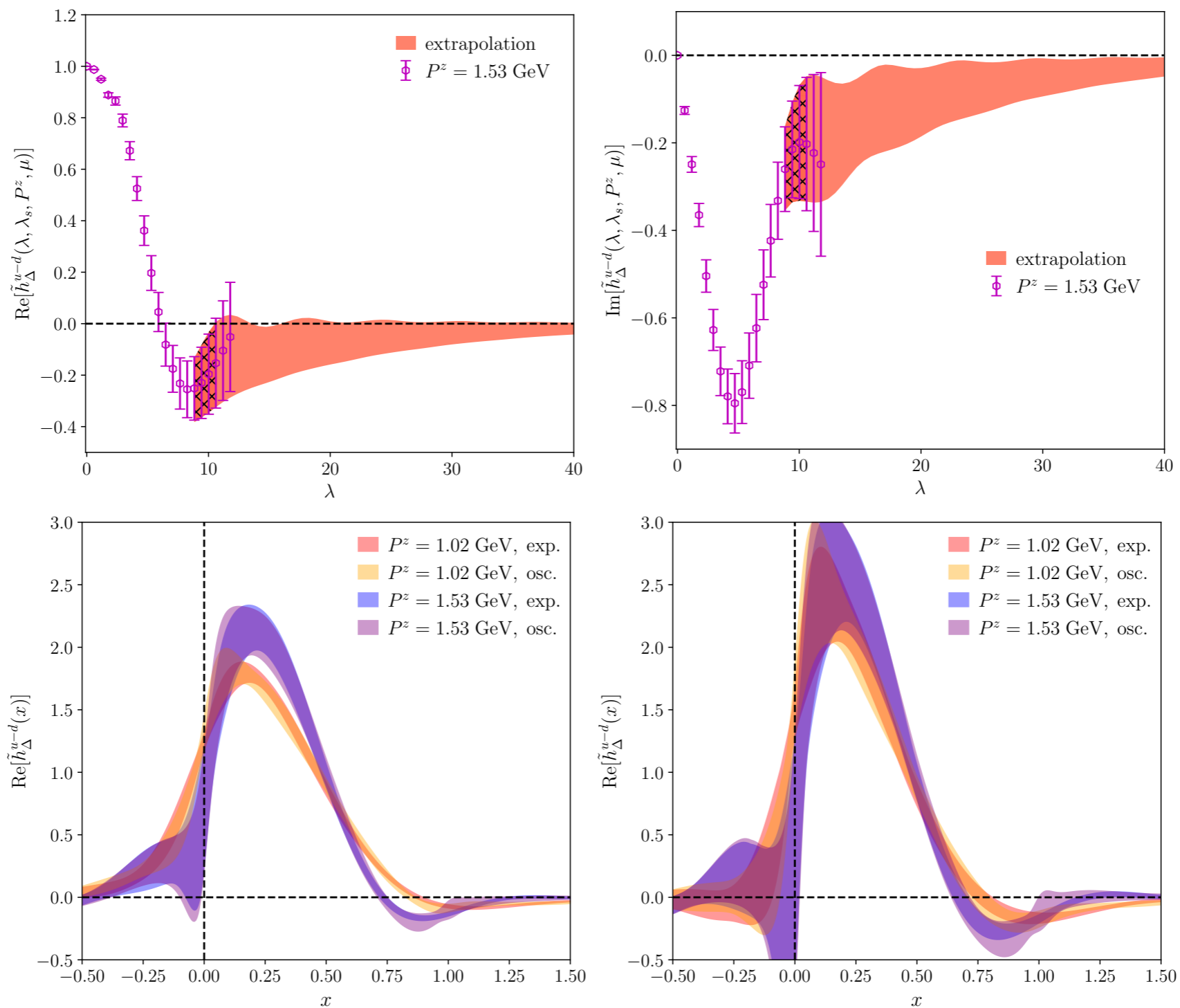
Backup: dependence on DNN parameters



$$\text{Loss} = \frac{\eta}{2} \boldsymbol{\theta} \cdot \boldsymbol{\theta} + \omega \|\nabla f_{\text{DNN}}\| + \frac{\chi^2}{2}$$

Backup: decaying model

$$\left[\frac{c_1}{(i\lambda)^a} + e^{-i\lambda} \frac{c_2}{(-i\lambda)^b} \right] e^{-\lambda/\lambda_0}$$



Backup: RI-MOM

Euclidean state with momentum squared $-p^2 \gg \Lambda_{\text{QCD}}^2$ in a fixed gauge, and then defines $\overline{\text{MS}}$ operators as,

$$O_{\overline{\text{MS}}}(z, \mu) \equiv Z_{\overline{\text{MS}}}(z, -p^2, \mu) \frac{O(z, a)}{Z(z, -p^2, a)}, \quad (13)$$

where $Z_{\overline{\text{MS}}}$ converts the RI/MOM renormalized result to the $\overline{\text{MS}}$ scheme. The gauge and $-p^2$ dependences cancel between two Z -factors. The r.h.s. has a proper continuum limit $a \rightarrow 0$ without divergences.

However, while the RI/MOM approach is justified for local operators, it has potential problems when applied to nonlocal ones. For instance, when z becomes large, $Z_{\overline{\text{MS}}}(z, -p^2, \mu^2)$ contains IR logarithms of z and the perturbative calculation of z -dependence is not reliable. Moreover, although the RI/MOM factor $Z(z, -p^2, a)$ helps to cancel the lattice UV divergences, the composite operator at large- z contains non-perturbative physics as well. Therefore, both Z -factors contain non-cancelling non-perturbative effects which alter the IR properties of $O(z)$. Thus, the RI/MOM renormalization scheme is not reliable at large- z . Moreover, when gluon distributions are involved, it requires external off-shell gluon states which bring in potential mixing with gauge-variant operators and make things much more complicated [67].

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