

# EFT matching from analyticity and unitarity

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[2308.00035]  
with Stefano De Angelis

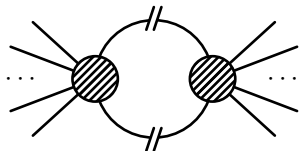


# Analyticity and unitarity of on-shell amplitudes

bypass unphysical fields, operators, Lagrangians  
avoid gauge and field redefinition redundancies

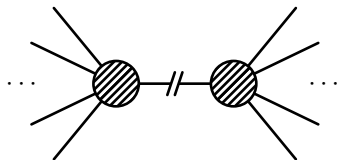
loops cut into lower loops

+ rational terms



trees cut into smaller trees

+ contact terms



recursive construction from the simplest amplitudes

# SMEFT applications

- on-shell anomalous dimensions

[Cheung, Shen '15], [Azatov et al. '16], [Bern et al. '19, '20], [Jiang et al. '20], [Elias Miró et al. '20, '21]  
[Baratella et al. '20, '20, '21], [Accettulli Huber, De Angelis '21], [Delle Rose et al. '22], [Baratella '22]  
[Machado, Renner, Sutherland '22], [Chala '23]

- on-shell operator enumeration

[Shadmi, Weiss '18], [Ma, Shu, Xiao '19], [Falkowski '19], [GD, Machado '19]  
[Li, Ren, et al. '20, '20], [Harlander, Kempkens, Schaaf '23]

- on-shell massive amplitudes

[Aoude, Machado '19], [GD, Kitahara, Shadmi, Weiss '19], [GD et al. '20]  
[Balkin et al. '21], [Dong, Ma, Shu, Zheng '21, '22], [De Angelis '22]  
[Bradshaw, Chang, Chen, Liu, Luty '22, '23], [Liu, Ma, Shadmi, Waterbury '23]

## Loop matching from cuts?

[Delle Rose, von Harling, Pomarol '22] examined on-shell the magic zeros found by [Arkani-Hamed, Harigaya '21] in  $(g - 2)_\mu$

finding that the rational terms of loops  
do not contribute to the matching

# Lessons from positivity

- Unitarity  $S \cdot S^\dagger = \mathbb{I}$  with  $S = 1 + i\mathcal{A}$ :

$$\mathcal{A}^\dagger(+i\epsilon) \stackrel{CPT}{=} \mathcal{A}(-i\epsilon) \quad \text{sum over intermediate state } X$$

$$(\mathcal{A} - \mathcal{A}^\dagger)/i = \mathcal{A} \cdot \mathcal{A}^\dagger$$

disc. known in 4-point amp.  $\quad$  positive elastic forward elem.  $\sim \int dX |\mathcal{A}_{ab \rightarrow X}|^2$

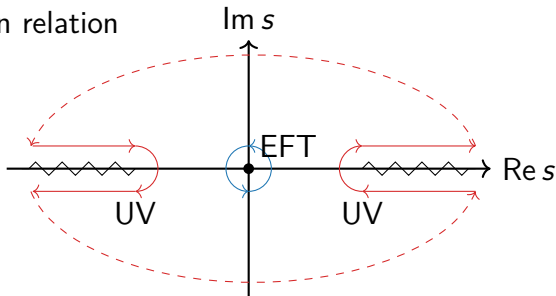
- EFT coefficients

as zero-momentum residues of the *subtracted* amplitude

$$c_n = \text{Res}_{s=0} \frac{\mathcal{A}(s)}{s^{n+1}} \quad \text{e.g. } \mathcal{A}_{ab \rightarrow ab}^{\text{EFT, tree}}(s) = \sum_k c_k s^k$$

$$= \frac{1}{2\pi i} \oint_{s=0} ds \frac{\mathcal{A}(s)}{s^{n+1}}$$

- Dispersion relation



vanishes  
by Froissart  
for  $n \geq 2$

$$c_n = \frac{1}{2\pi} \int_{\Lambda^2}^{\infty} \frac{ds}{s^{n+1}} \left[ \text{Disc } \mathcal{A}_{ab \rightarrow ab}^{\text{UV}} + (-1)^n \text{Disc } \mathcal{A}_{a\bar{b} \rightarrow a\bar{b}}^{\text{UV}} \right] + C_{\infty}$$

$\geq 0$                        $\geq 0$

$\geq 0$       for  $n$  even  $\geq 2$

→ Low-energy EFT coefficients related to UV discontinuities at least for four-point (elastic and forward) amplitudes

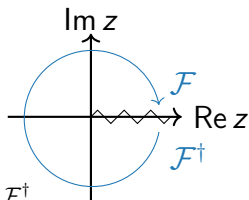
# Lessons from anomalous dimensions

- In a massless theory, any  $(\log \mu^2)$  comes with a  $(-\log s_I)$
- A dilation  $z^{D/2}$  with  $D \equiv \sum_i p_i^\mu \frac{\partial}{\partial p_i^\mu}$  captures all Mandelstam logs in a single  $(-\log z)$  and disregards logs of  $s_I/s_J$  ratios

- Form factors  $\mathcal{F} \equiv \text{out} \langle p_1, \dots, p_m | \mathcal{L}(q) | 0 \rangle_{\text{in}}$  have all  $s_I \equiv (\sum_{i \in I} p_i^\mu)^2$  Mandelstams positive
  - momentum influx
- Dilated form factors  $\hat{\mathcal{F}}(z) \equiv z^{D/2} \mathcal{F}$  only have singularities at positive  $z$ 's
  - at  $\sum_k \alpha_k m_k^2 / \sum_I \alpha_I s_I$  in Feynman parameterisation

- Going around the unit circle takes  $\mathcal{F}^\dagger$  to  $\mathcal{F}$ :

$$(e^{-2\pi i})^{D/2} \mathcal{F}^\dagger = \mathcal{F}$$



- Unitarity is  $\mathcal{F} = S \cdot \mathcal{F}^\dagger$  or  $(\mathcal{F} - \mathcal{F}^\dagger)/i = \mathcal{A} \cdot \mathcal{F}^\dagger$

- So  $D \sim -\mu \frac{\partial}{\partial \mu}$  is the phase of the  $S$  matrix:

$$e^{-\pi i D} \mathcal{F}^\dagger = S \cdot \mathcal{F}^\dagger$$

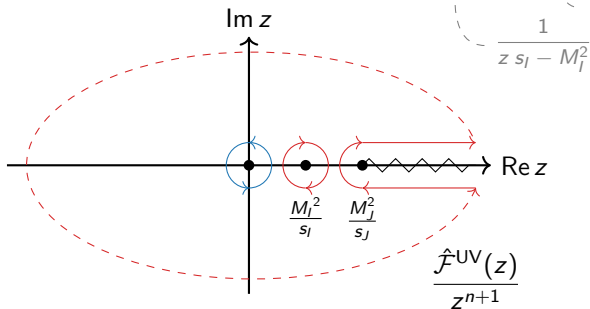
→ The analytic structure in  $z$  of  $m$ -point form factors is under control

(amplitudes are obtained after crossing and  $q \rightarrow 0$ )

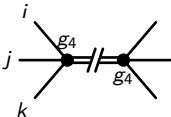


# Massless EFT matching

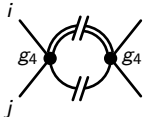
- equate  $\mathcal{F}^{\text{EFT}}$  and  $\mathcal{F}^{\text{UV}}$  order by order in the zero-momentum expansion
- dilate (with  $z^{D/2}$ ) and enforce  $\text{Res}_{z=0} \frac{\hat{\mathcal{F}}^{\text{EFT}}(z)}{z^{n+1}} = \text{Res}_{z=0} \frac{\hat{\mathcal{F}}^{\text{UV}}(z)}{z^{n+1}}$
- **EFT:**  $\text{Res}_{z=0} \frac{\hat{\mathcal{F}}^{\text{EFT}}(s)}{z^{n+1}} = c_n \text{poly}_n(s_l)$  with  $\mathcal{F}_{\text{tree}}^{\text{EFT}}(s) = \sum_k c_k \text{poly}_k(s_l)$
- **UV:**  $\text{Res}_{z=0} \frac{\hat{\mathcal{F}}^{\text{UV}}(z)}{z^{n+1}} = \oint_{z=0} dz \frac{\hat{\mathcal{F}}^{\text{UV}}(z)}{z^{n+1}} = \left[ \sum \text{Res} + \int \text{Disc} + \int_{\infty} \right] \frac{\hat{\mathcal{F}}^{\text{UV}}(z)}{z^{n+1}}$



## Simplest $\Phi\phi^3$ example



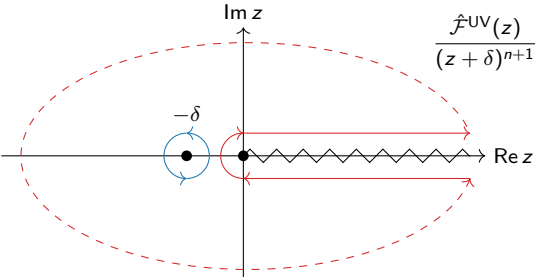
$$\begin{aligned}
 & : \sum_{ijk \text{ channels}} \text{Res}_{z=M^2/s_{ijk}} \frac{|\mathcal{A}(\phi\phi\phi \rightarrow \Phi)|^2}{z s_{ijk} - M^2} \frac{1}{z^{n+1}} \\
 & = \frac{g_4^2}{M^2} \sum_{\text{channels}} \left( \frac{s_{ijk}}{M^2} \right)^n
 \end{aligned}$$



$$\begin{aligned}
 & : \frac{1}{2\pi} \sum_{\text{channels}} \int_{M^2/s_{ij}}^{\infty} \frac{dz}{z^{n+1}} \int d\text{LIPS} |\mathcal{A}(\phi\phi \rightarrow \phi\Phi)|^2 \\
 & = \frac{1}{2\pi} \sum_{\text{channels}} \int_{M^2/s_{ij}}^{\infty} \frac{dz}{z^{n+1}} \frac{1}{8\pi} \left( 1 - \frac{M^2}{z s_{ij}} \right) g_4^2 \\
 & = \frac{g_4^2}{16\pi^2 n(n+1)} \sum_{\text{channels}} \left( \frac{s_{ij}}{M^2} \right)^n \quad \text{for } n > 0
 \end{aligned}$$

- use amplitudes instead of form factors in practice
- all EFT orders obtained at once
- nothing to know about, or compute in, the EFT
- fewer legs and loops

## Massless cut subtlety

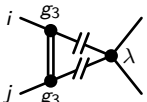


$\frac{\hat{f}^{UV}(z)}{(z + \delta)^{n+1}}$

$-\delta$

$\text{Im } z$

$\text{Re } z$



$$\begin{aligned}
 &: \int_0^\infty \frac{dz}{z^{n+1}} \int d\text{LIPS}_d \frac{\lambda g_3^2}{(l - p_i)^2 - M^2} \\
 &= \frac{\lambda g_3^2 M^{d-6}}{8(4\pi)^{\frac{d}{2}-2}} \left(\frac{s_{ij}}{M^2}\right)^n \frac{(-1)^{n+1} n! \csc \frac{\pi d}{2}}{\Gamma[\frac{d}{2} + n]} \\
 &= \frac{\lambda g_3^2}{16\pi^2 M^2} \frac{(-1)^n}{n+1} \left(\frac{s_{ij}}{M^2}\right)^n \left(\frac{1}{\epsilon} + H_{n+1} + \log \frac{\mu^2}{M^2} + \mathcal{O}(\epsilon)\right)
 \end{aligned}$$

Note phase-space integrals can be complicated,  
 unlike the hard region expansion ( $s_I \ll l^2 \sim M^2$ ) at low EFT order

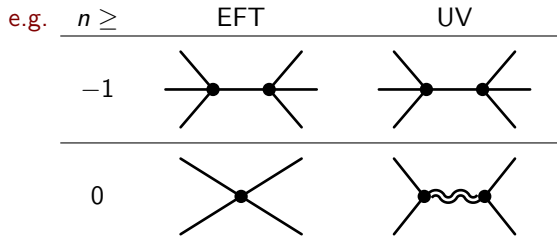
## Dimreg's power

- Loop contributions in the massless EFT lead to scaleless  $\int_0^\infty dz z^{\alpha+\epsilon} = 0$  for suitable  $\epsilon$ 's.
  - The tree-level EFT amplitude is extracted.
- The soft region ( $l^2 \sim s_l \ll M^2$ ) of UV loops is similarly scaleless.
  - The  $z$  dispersion extracts the hard region of UV loops.
- Loop contributions at infinity lead to scaleless  $\lim_{|z| \rightarrow \infty} |z|^{\alpha+\epsilon} = 0$  for a suitable  $\epsilon$ .
  - The boundary is tree-level exact.

# Scarce boundary terms

multiplicity  $\cdot$  sum of coupling dim.

- no matching information unless  $n \geq \min(4 - m - [c_{\text{EFT}}])/2$
- no boundary term unless  $n \leq \min(4 - m - [c_{\text{UV}}])/2$   
 $\rightarrow \max[c_{\text{UV}}] \leq 4 - m - 2n \leq \max[c_{\text{EFT}}]$
- but  $\max[c_{\text{UV}}] \geq \max[c_{\text{EFT}}]$  by definition  
 $\rightarrow$  boundary needed only for  $\max[c_{\text{EFT}}] = \max[c_{\text{UV}}] (= 4 - m - 2n)$



# EFT matching from unitarity and analyticity

provides an alternative to diagrammatic and functional methods

does not require any knowledge about the massless EFT

only uses UV residues and cuts (barring a tree boundary)

extracts all orders of the tree EFT amplitude at once

may lead to new insight and computations