

Resonant emittance mixing of flat beams in plasma accelerators

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Linear colliders use flat beams to avoid beamstrahlung



Linear colliders use flat beams to avoid beamstrahlung



Beams focus each other at IP

Particles are deflected → emit synchrotron radiation, thereby losing energy

significant energy spread → luminosity spectrum

Beamstrahlung scales with¹ $\sim 1/(\sigma_x + \sigma_y)$

[1] Schroeder et al, JINST 2022

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Luminosity scales with² $\sim 1/(\sigma_x \sigma_y)$ or $\sim 1/\sqrt{\epsilon_x \epsilon_y}$

\rightarrow Flat beams with $\sigma_x \gg \sigma_y$ minimize beamstrahlung while allowing for high luminosity

[1] Schroeder et al, JINST 2022

[2] Schulte, RAST 2016

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Damping rings produce flat beams automatically

Due to asymmetric focusing of quadrupoles, it is easier to focus flat beams at IP³

[1] Schroeder et al, JINST 2022

[2] Schulte, RAST 2016

[3] Raubenheimer, SLAC PUB 1993

Acceleration of flat beams in plasma accel. largely unknown

Key R&D challenges according to ESPP

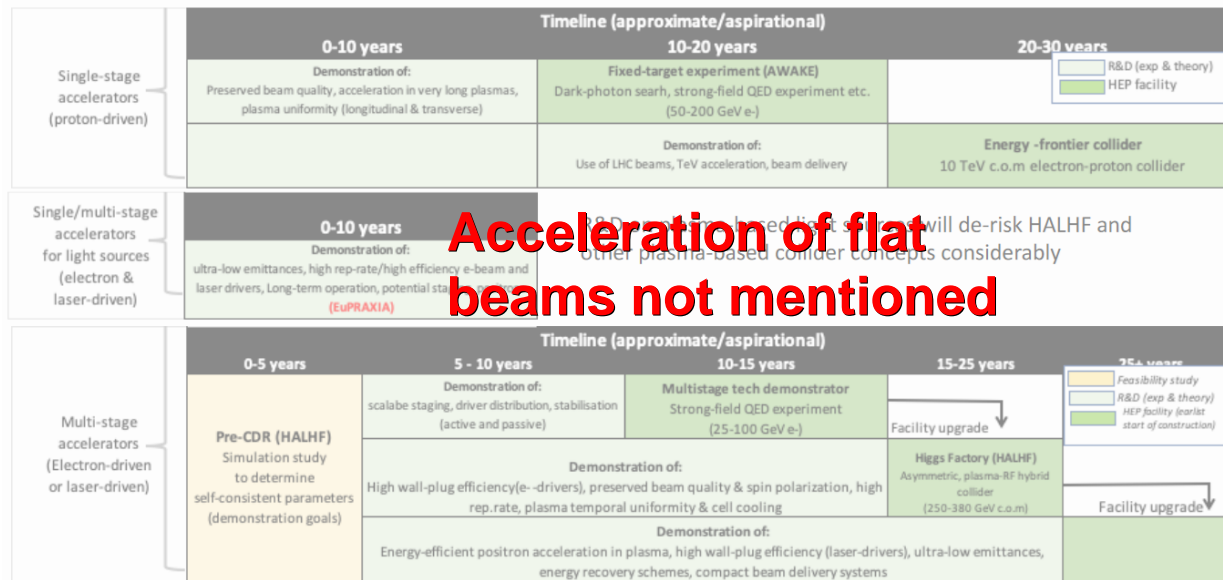
	Timeline (approximate/aspirational)			
	0-10 years	10-20 years	20-30 years	
Single-stage accelerators (proton-driven)	Demonstration of: Preserved beam quality, acceleration in very long plasmas, plasma uniformity (longitudinal & transverse)	Fixed-target experiment (AWAKE) Dark-photon search, strong-field QED experiment etc. (50-200 GeV e-)	Energy -frontier collider 10 TeV c.o.m electron-proton collider	
Single/multi-stage accelerators for light sources (electron & laser-driven)	Demonstration of: ultra-low emittances, high rep-rate/high efficiency e-beam and laser drivers, Long-term operation, potential start of construction (EuPRAXIA)	R&D on plasma-based light sources will de-risk HALHF and other plasma-based collider concepts considerably		
Multi-stage accelerators (Electron-driven or laser-driven)	Timeline (approximate/aspirational)			
	0-5 years	5-10 years	10-15 years	15-25 years
	Pre-CDR (HALHF) Simulation study to determine self-consistent parameters (demonstration goals)	Demonstration of: scalabe staging, driver distribution, stabilisation (active and passive)	Multistage tech demonstrator Strong-field QED experiment (25-100 GeV e-)	Facility upgrade Higgs Factory (HALHF) Asymmetric, plasma-RF hybrid collider (250-380 GeV c.o.m)
	Demonstration of: High wall-plug efficiency(e--drivers), preserved beam quality & spin polarization, high rep. rate, plasma temporal uniformity & cell cooling		Facility upgrade	
	Demonstration of: Energy-efficient positron acceleration in plasma, high wall-plug efficiency (laser-drivers), ultra-low emittances, energy recovery schemes, compact beam delivery systems			Facility upgrade

Acceleration of flat beams not mentioned



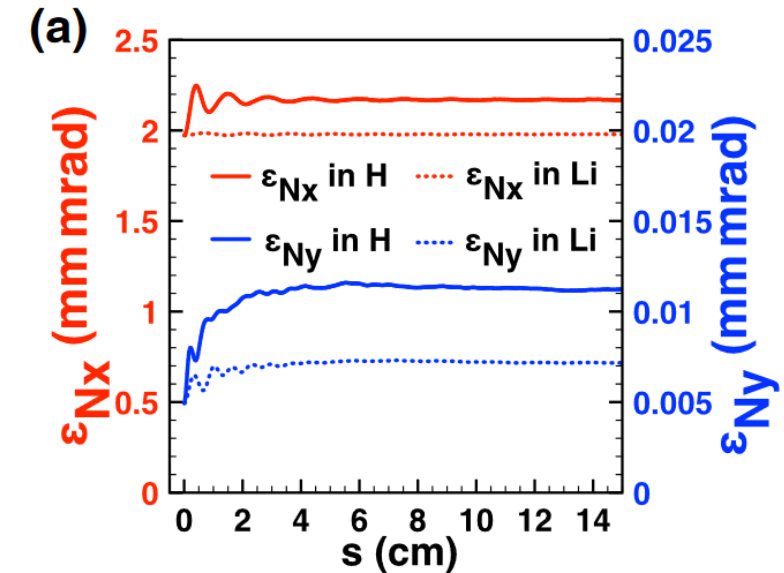
Acceleration of flat beams in plasma accel. largely unknown

Key R&D challenges according to ESPP



Acceleration of flat beams not mentioned

Acceleration of a flat electron beam in the blowout regime with ion motion¹



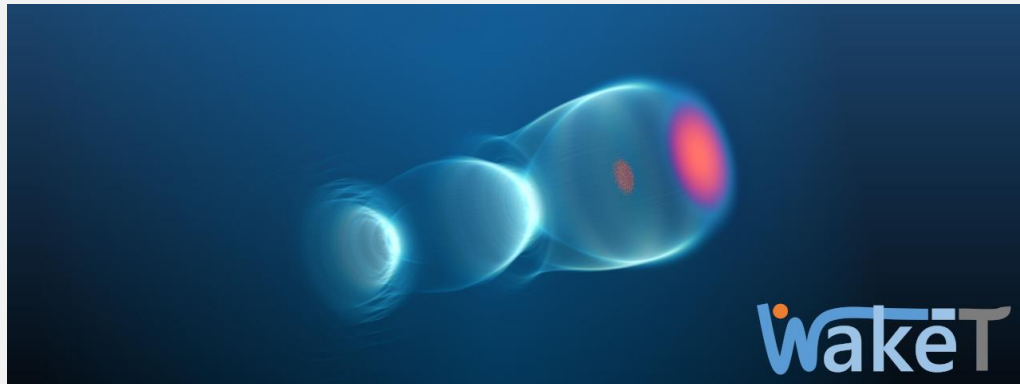
Flat beams are generally not considered a problem in plasma accelerators

[1] An et al, PRL 2017

Open-source tools allow for modeling collider-relevant beams

WakeT



- 2D (axisymmetric) quasistatic code for laser/beam-driven plasma acceleration, incl. ion motion

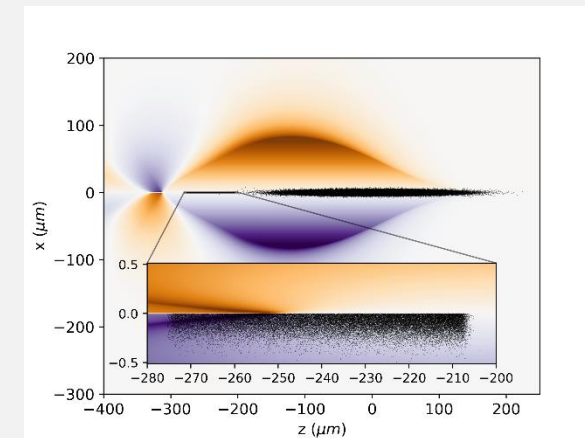


A. Ferran Pousa et al., J. Phys.: Conf. Ser. (2019)
A. Ferran Pousa, et al. Proc. IPAC23, 1533
<https://github.com/AngelFP/Wake-T>



HiPACE++

- GPU-capable multi-physics 3D quasistatic particle-in-cell code (laser-driven or beam-driven)
- Collaboration   BERKELEY LAB



S. Diederichs et al., *Comput. Phys. Comm.* 278: 108421 (2022)
<https://agenda.infn.it/event/35577/contributions/208606>
<https://github.com/Hi-PACE/hipace>



Let's model a collider: HALHF

Drive bunch:

Charge: 4.28 nC

Length (rms): 42 μm

Emittance (x, y): free parameter

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

Emittance (x, y): 160 μm , 0.54 μm

Plasma:

Argon, ionized to first level

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 5 m (first stage 2.5)

Stages: 16

Foster et al, NJP 2023

Let's model a collider: HALHF

Drive bunch:

Charge: 4.28 nC

Length: (rms): 42 μm

Emittance (x, y): free parameter

Large emittance: \rightarrow significant head erosion over 5m

Small emittance: \rightarrow significant ion motion

trade-off: $\epsilon_{[x,y]} = [60, 60] \mu\text{m}$

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

Emittance (x, y): 160 μm , 0.54 μm

Plasma:

Argon, ionized to first level

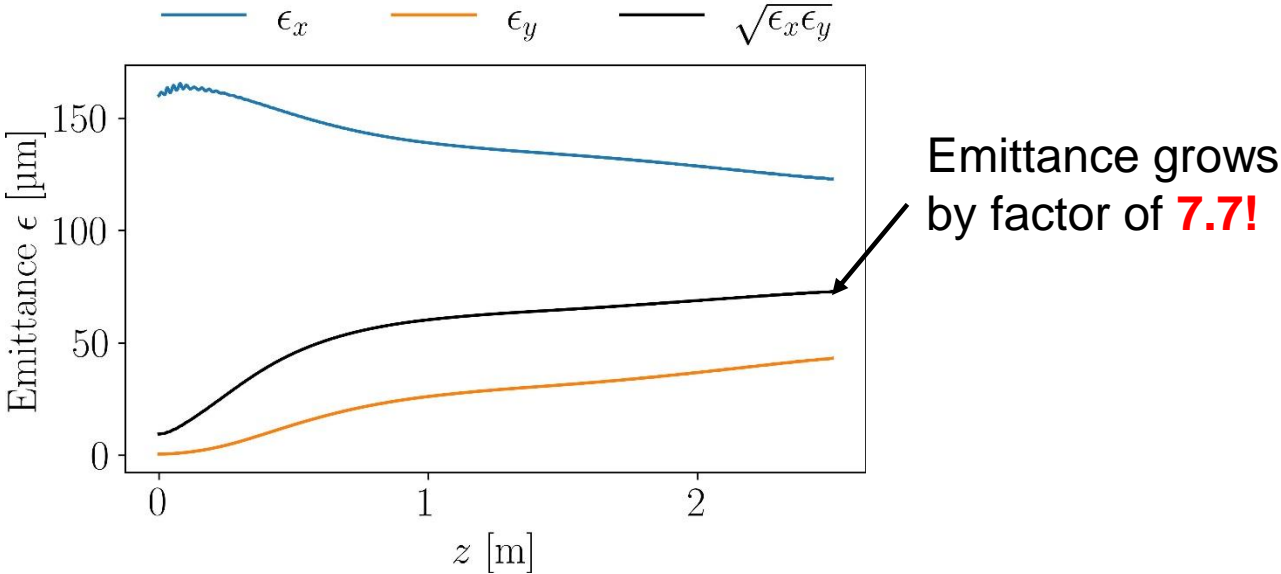
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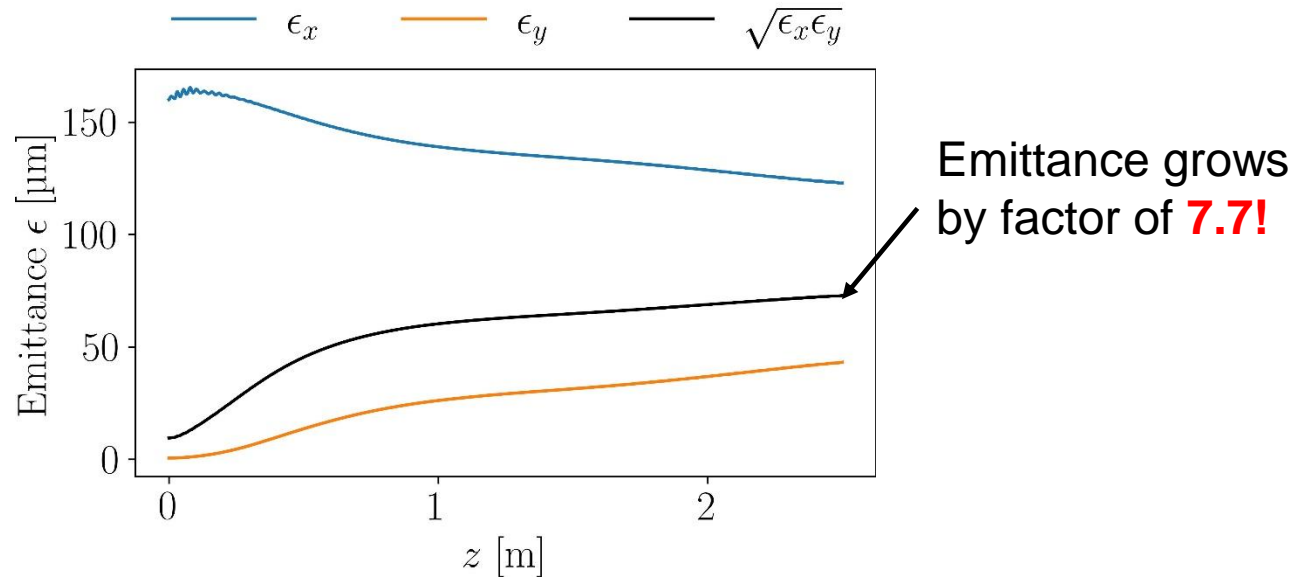
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Foster et al, NJP 2023

Drastic emittance growth in argon



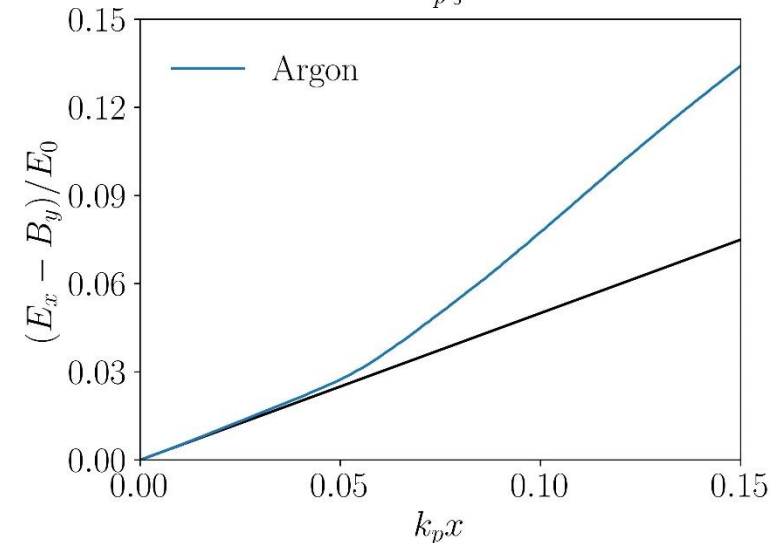
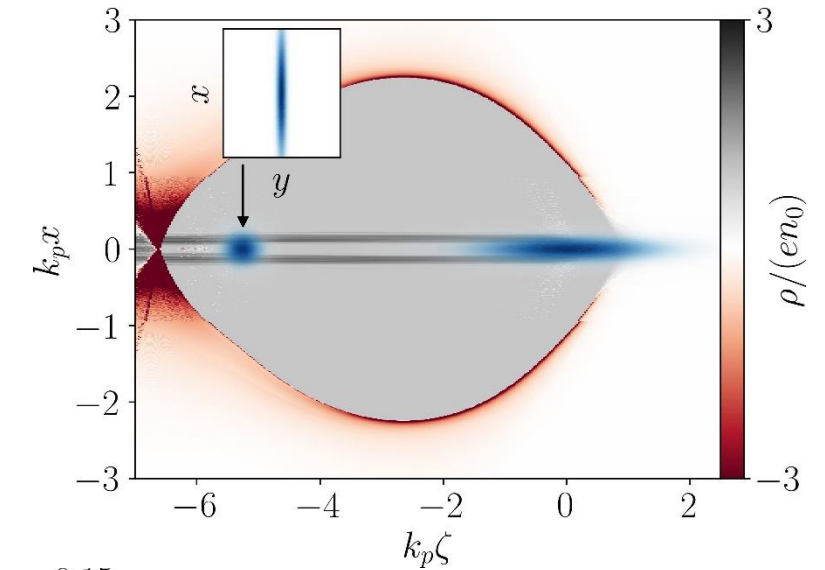
Drastic emittance growth in argon due to ionization



Intense space charge fields ionize Argon to higher levels

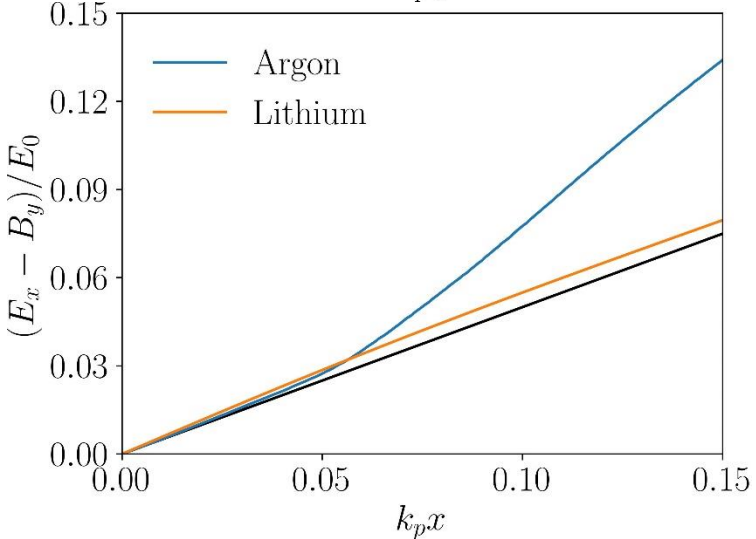
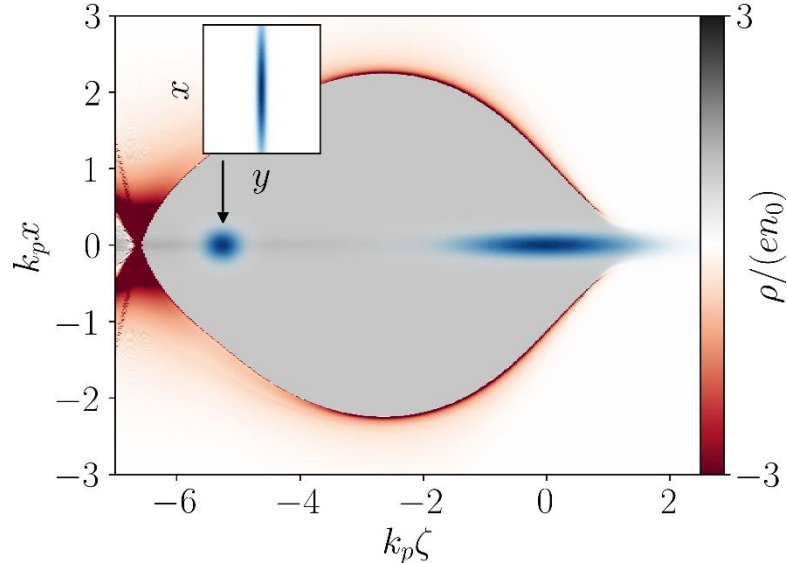
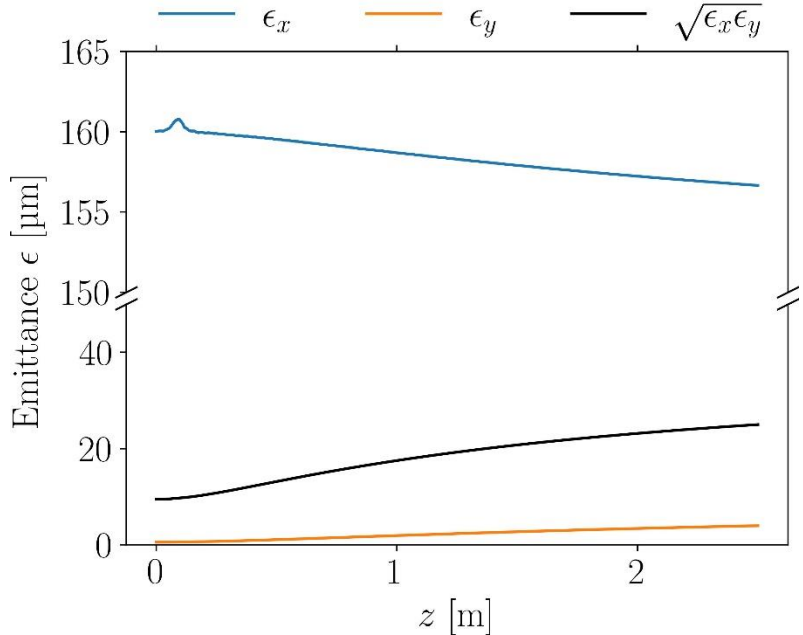
Final witness beam (500 GeV) ionizes Argon to **5th level**

Witness beam must not ionize plasma further,
high ionization states also increase ion motion
(charge-mass-ratio)



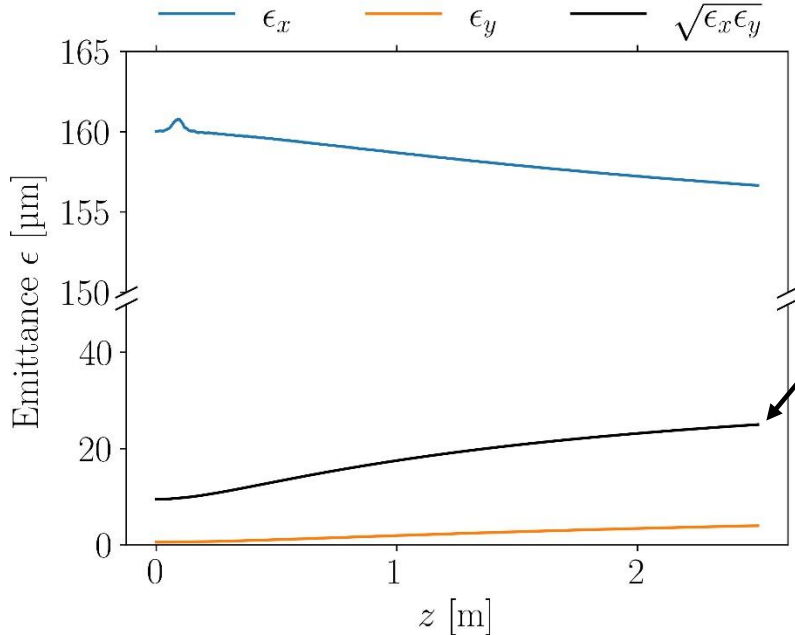
Choice of plasma species: ion motion vs ionization

Using lithium to avoid ionization



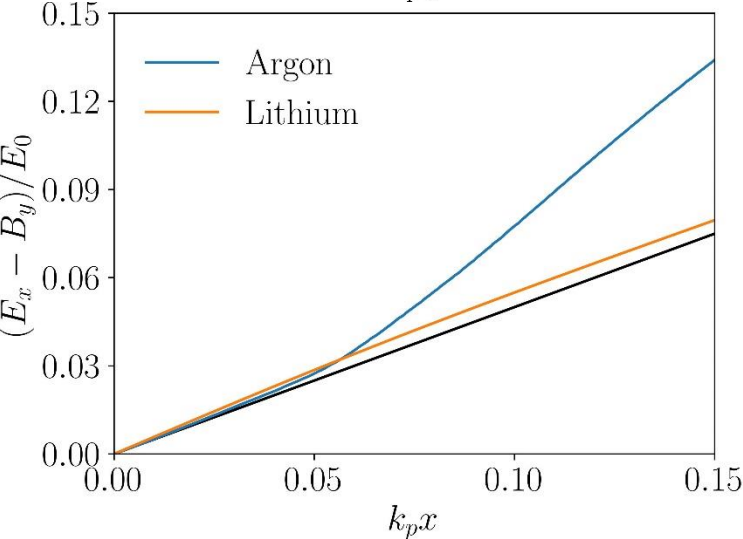
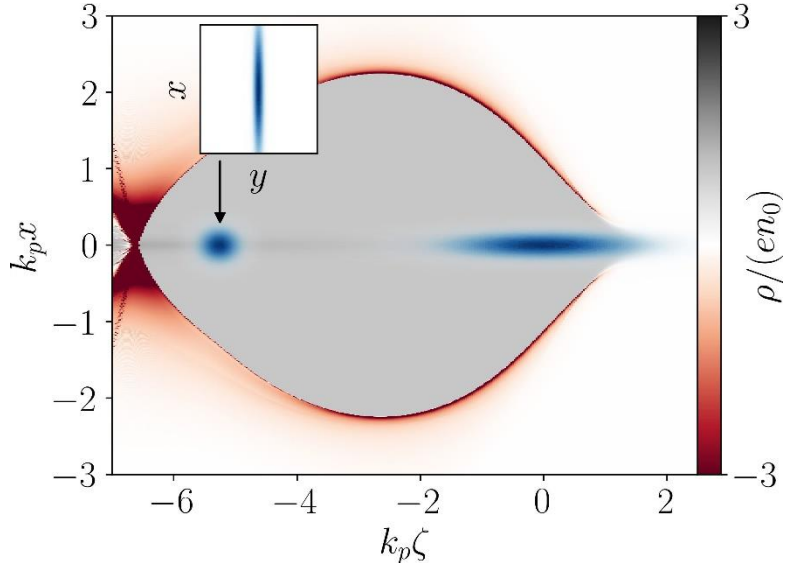
Still significant emittance growth for mild ion motion

Using lithium to avoid ionization



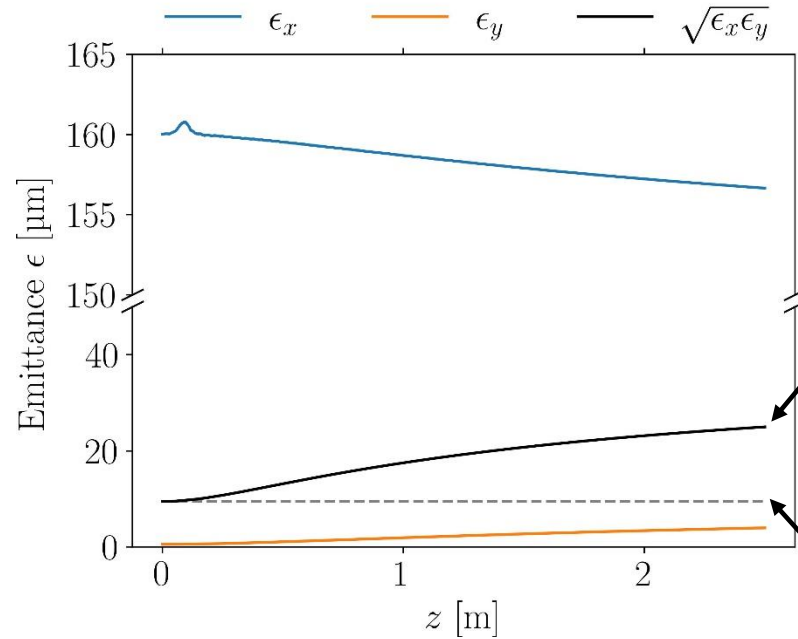
Emittance grows by factor of **2.6!**

Only mild drive beam ion motion present



Ion motion not a problem per se

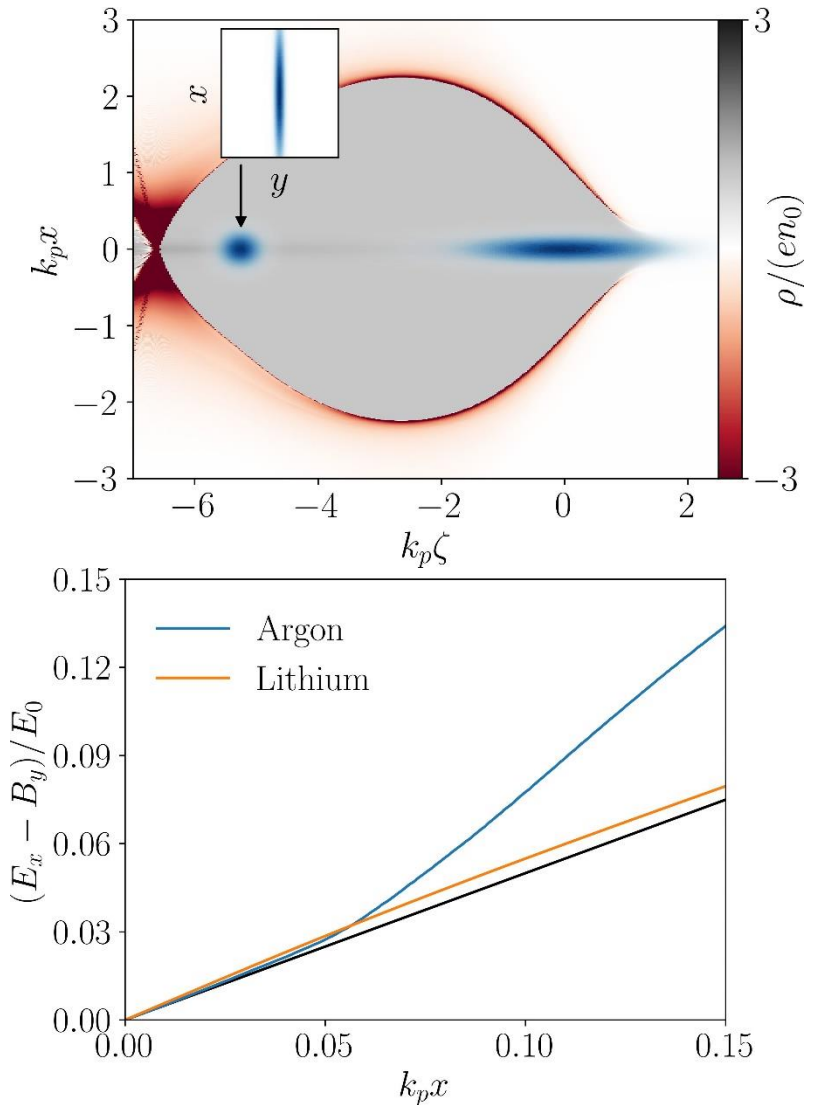
Using lithium to avoid ionization



Emittance grows by factor of **2.6!**

Emittance of round witness beam grows by only **0.3%**

Only mild **drive beam ion motion** present



Ion motion leads to *coupled*, nonlinear transverse wakefields

Transverse wakefields for nonrelativistic ion motion

$$\frac{W_r}{E_0} = \frac{k_p r}{2} \left[1 + Z_i \frac{m}{M_i} \frac{n_{b,0}}{n_0} \frac{(k_p \zeta)^2}{2} H\left(\frac{r^2}{2\sigma_x^2}\right) \right] \quad \text{with } H(q) = (1 - e^{-q})/q$$

Benedetti et al. PRAB 2017

Ion motion leads to *coupled, nonlinear* transverse wakefields

Transverse wakefields for nonrelativistic ion motion

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Length scale L

Benedetti et al. PRAB 2017

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Length scale L

approximated to

$$\frac{E_x - B_y}{E_0} = \frac{k_p x}{2} \left[1 + \alpha_x H\left(\frac{r^2}{2L_x^2}\right) \right]$$

$$\frac{E_y + B_x}{E_0} = \frac{k_p y}{2} \left[1 + \alpha_y H\left(\frac{r^2}{2L_y^2}\right) \right]$$

Coupled, nonlinear wakefields

$$r = \sqrt{x^2 + y^2}$$

Benedetti et al. PRAB 2017

Emittance mixing depends on nonlinearity strength

3D particle tracking using Wake-T

Drive beam ion motion regime

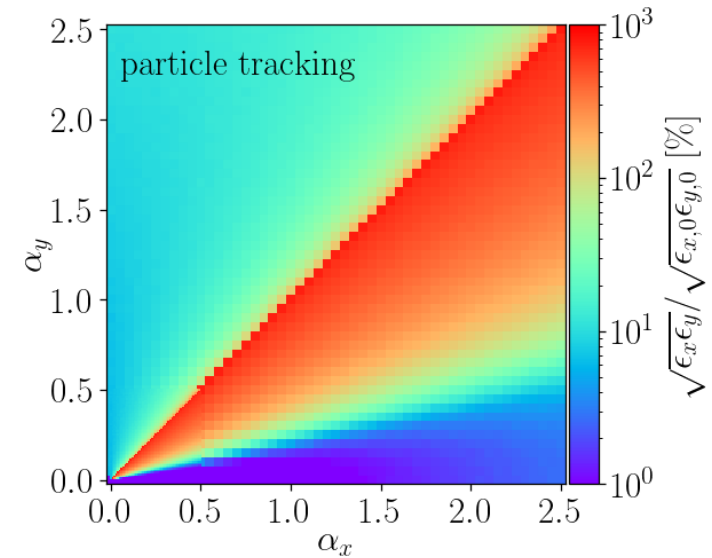
Initial HALHF witness beam

$$\alpha_{[x,y]} = (0, 2.5) \times (0, 2.5)$$

$$L_{[x,y]} = \sigma_{d,x} = 6 \mu m$$

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Diederichs et al.

<https://arxiv.org/abs/2403.05871>

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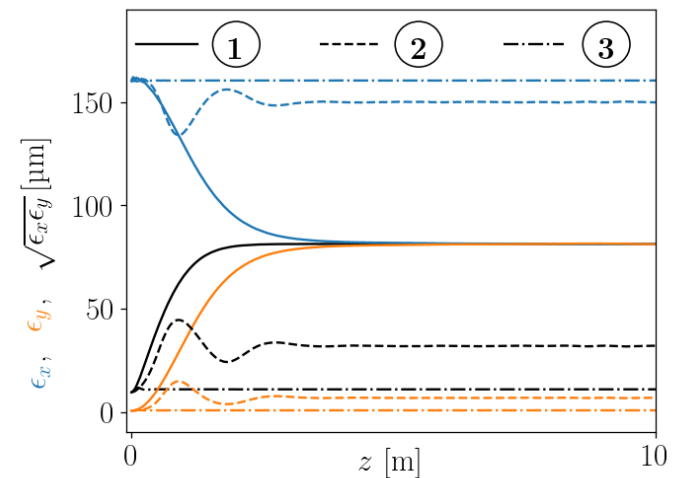
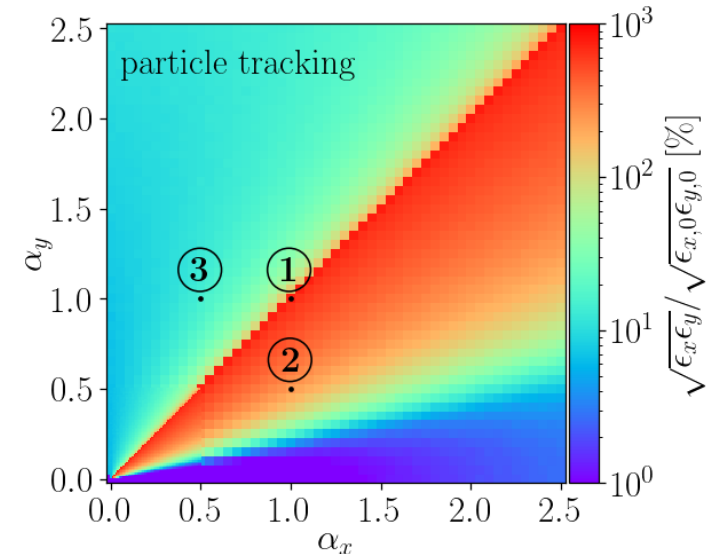
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Severe emittance mixing for ① $\alpha_{[x,y]} = [1.0, 1.0]$

Moderate mixing for ② $\alpha_{[x,y]} = [1.0, 0.5]$

No mixing for ③ $\alpha_{[x,y]} = [0.5, 1.0]$



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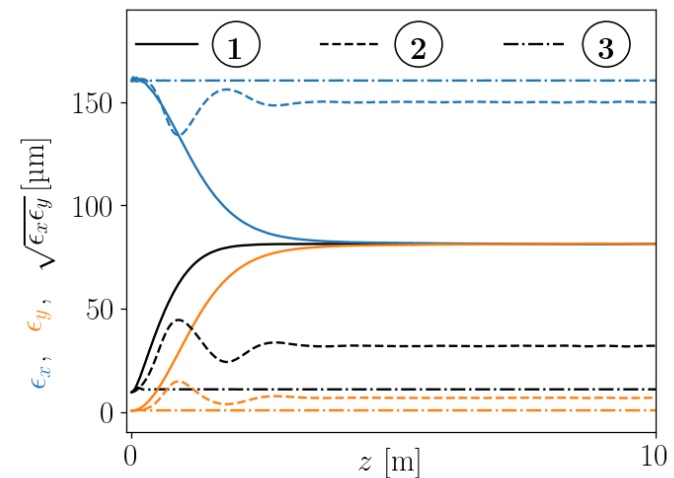
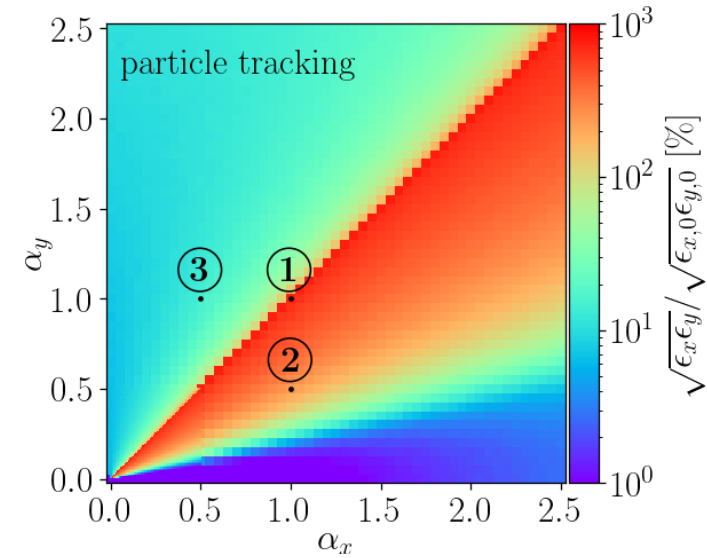
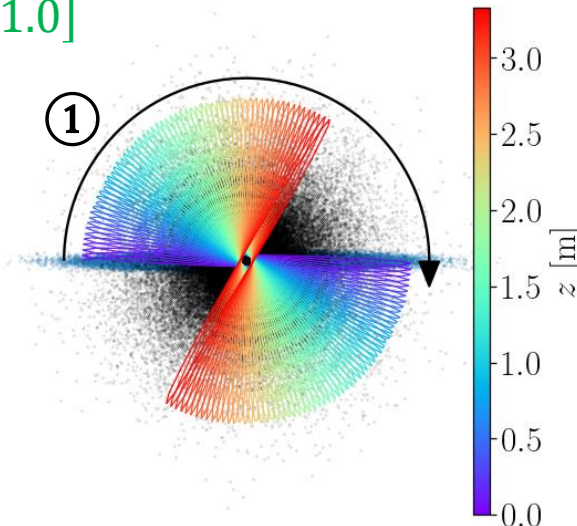
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Emittance mixing occurs when there are resonant particles with (almost) the same betatron period in x and y

Theory based on **action-angle variables**

$$\frac{E_x - B_y}{E_0} = \frac{k_p x}{2} \left[1 + \alpha_x H \left(\frac{r^2}{2L_x^2} \right) \right]$$

$$\frac{E_y + B_x}{E_0} = \frac{k_p y}{2} \left[1 + \alpha_y H \left(\frac{r^2}{2L_y^2} \right) \right]$$

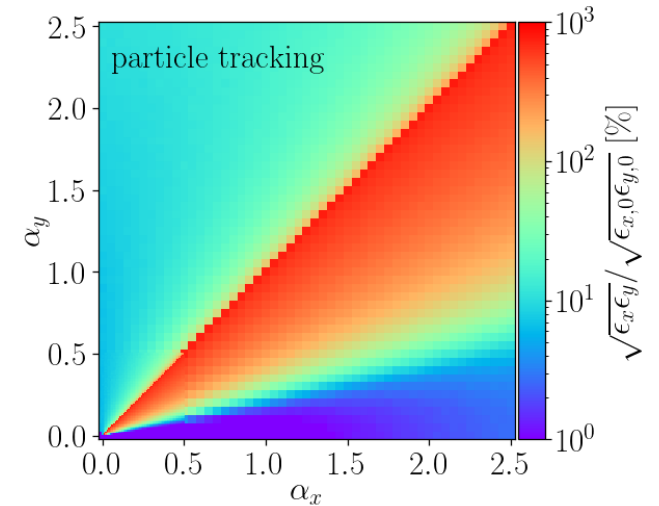
Resonant particles: $k_{\beta,x} \simeq k_{\beta,y}$

Emittance at saturation:

$$\epsilon_{w,x}^* \simeq (1 - \eta_r) \epsilon_{w,x} + \frac{1}{2} \eta_r j_{x,0}^{(r)} \quad \leftarrow \text{Average action of resonant particles}$$

$$\epsilon_{w,y}^* \simeq (1 - \eta_r) \epsilon_{w,y} + \frac{1}{2} \eta_r \frac{\alpha_y L_x^2}{\alpha_x L_y^2} j_{x,0}^{(r)}$$

Fraction of resonant particles



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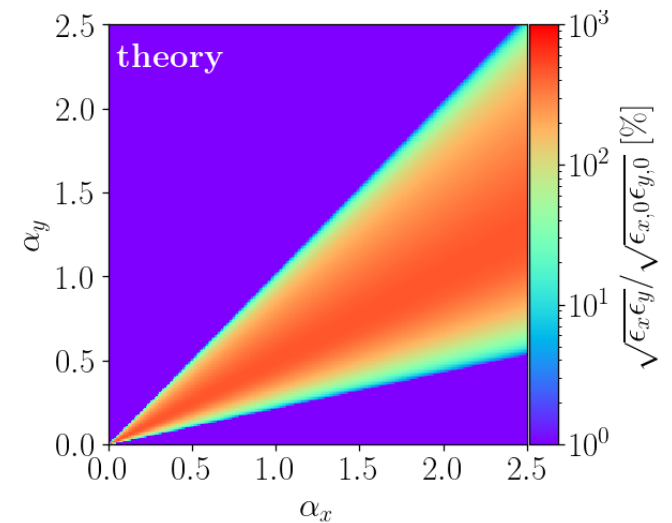
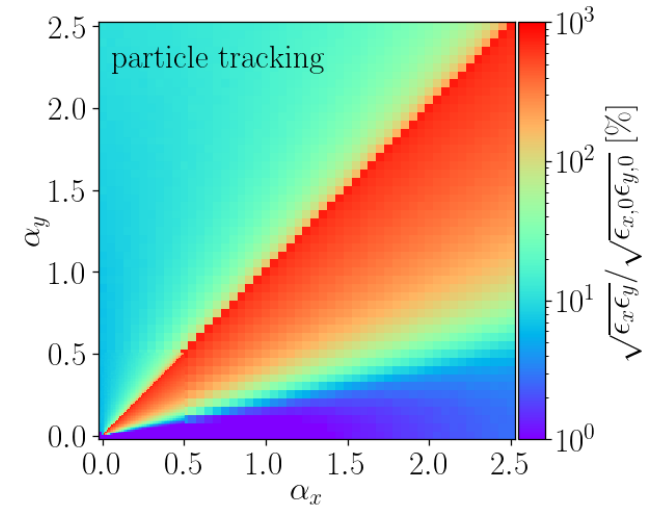
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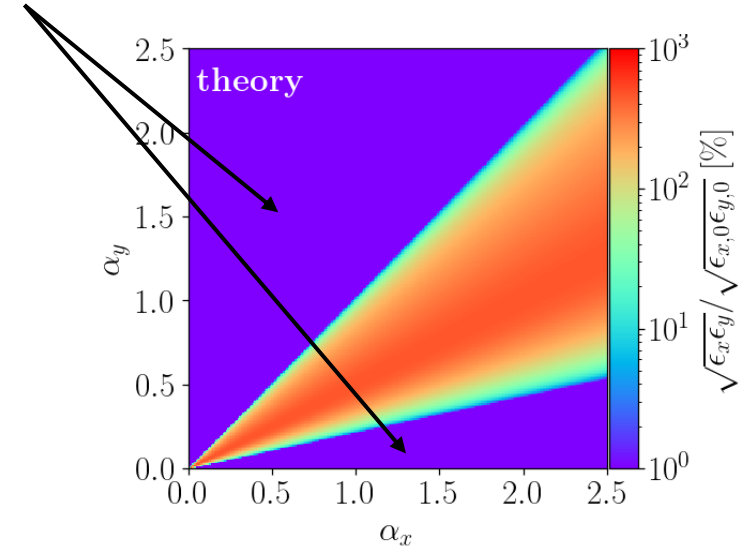
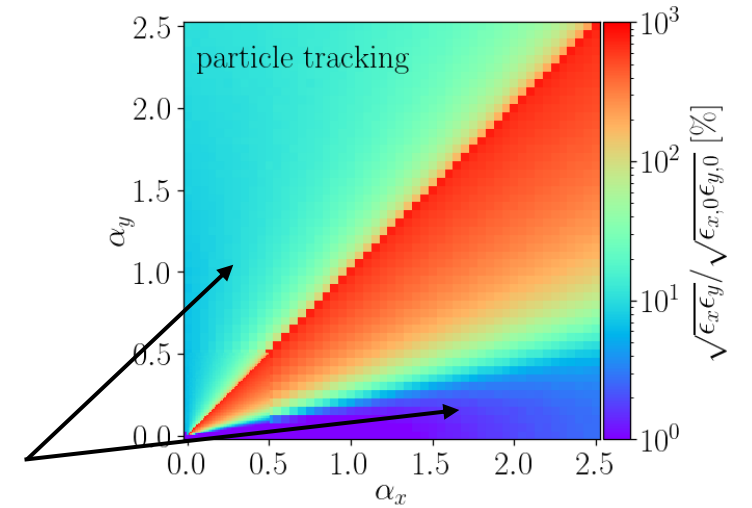
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Fraction of resonant particles

Safe parameter space without mixing



Flat drive beams break the resonance and prevent mixing in the first 6 stages

Drive bunch:

Charge: 4.28 nC

Length (rms): 42 μm

Emittance (x, y): 60 μm 60 μm
24 μm 150 μm

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

Emittance (x, y): 160 μm , 0.54 μm

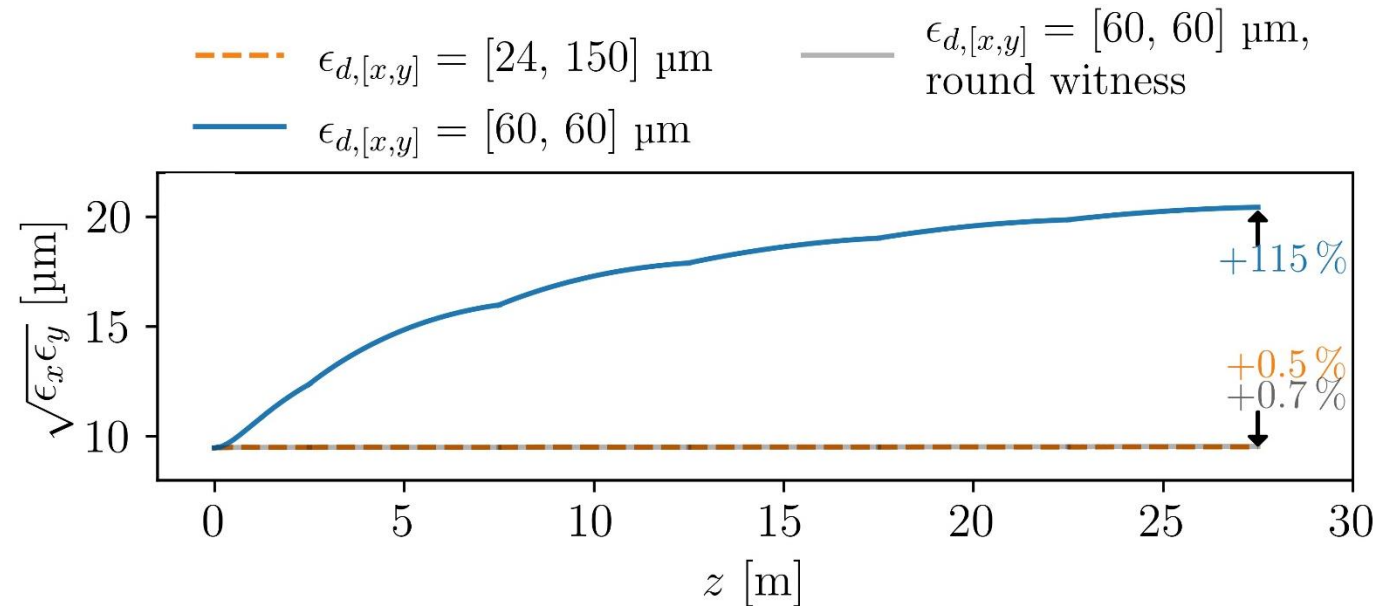
Plasma:

Sodium, ionized to first level

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 5 m (first stage 2.5)

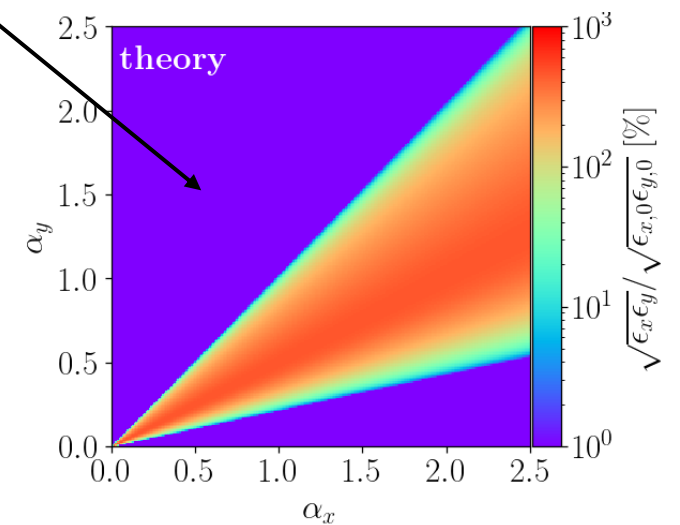
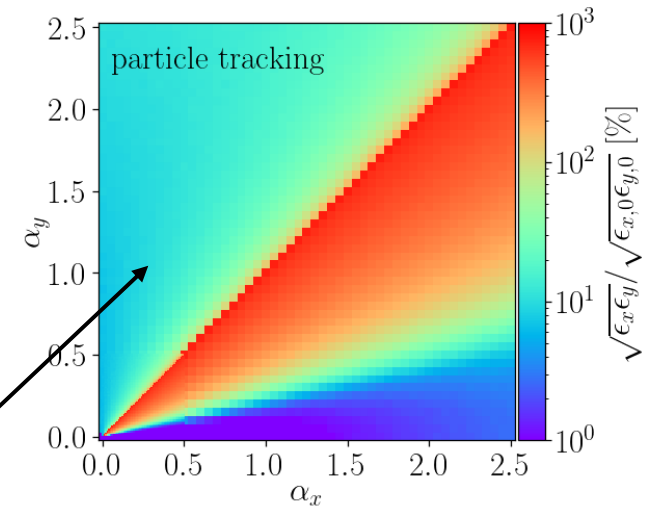
Stages: 6, no interstage modeling



Are flat beams always in the safe parameter space?

Flat beams with $\sigma_x \gg \sigma_y$ should cause ion motion
with $\alpha_x \ll \alpha_y$ and $L_x > L_y$

Safe parameter space without mixing



Are flat beams always in the safe parameter space?

Particle tracking using Wake-T

Witness beam ion motion regime

Initial HALHF witness beam

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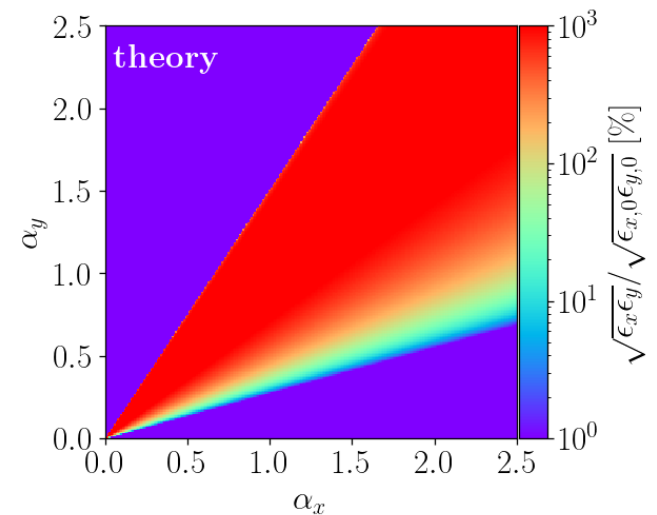
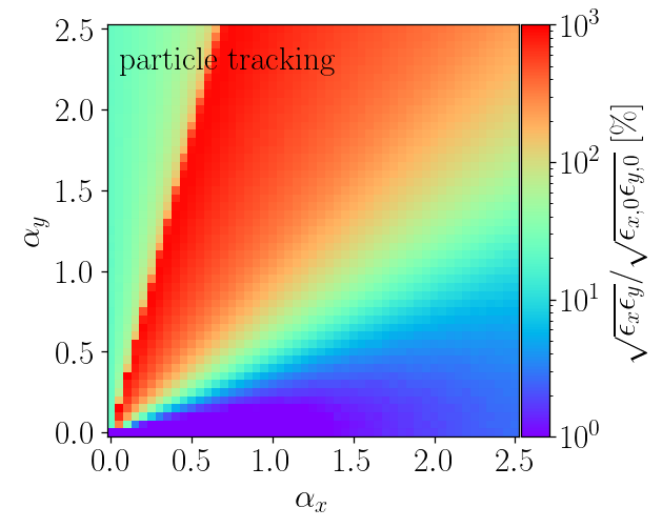
$$L_x = \sigma_{d,x} = 6 \mu m$$

$$L_y = 0.5 L_x = 3 \mu m$$

$\alpha_{[x,y]}$ and $L_{[x,y]}$ cannot be chosen freely in a real accelerator

Also, feedback of witness beam on ions neglected

Self-consistent PIC simulations required



Witness beam ion motion regime exhibits some emittance mixing

Drive bunch:

Charge: 4.28 nC

Length (rms): 42 μm

Emittance (x, y): 2.8 mm

rigid

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

Emittance (x, y): 5 μm , 35 nm

Plasma:

Hydrogen

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 77.5 m

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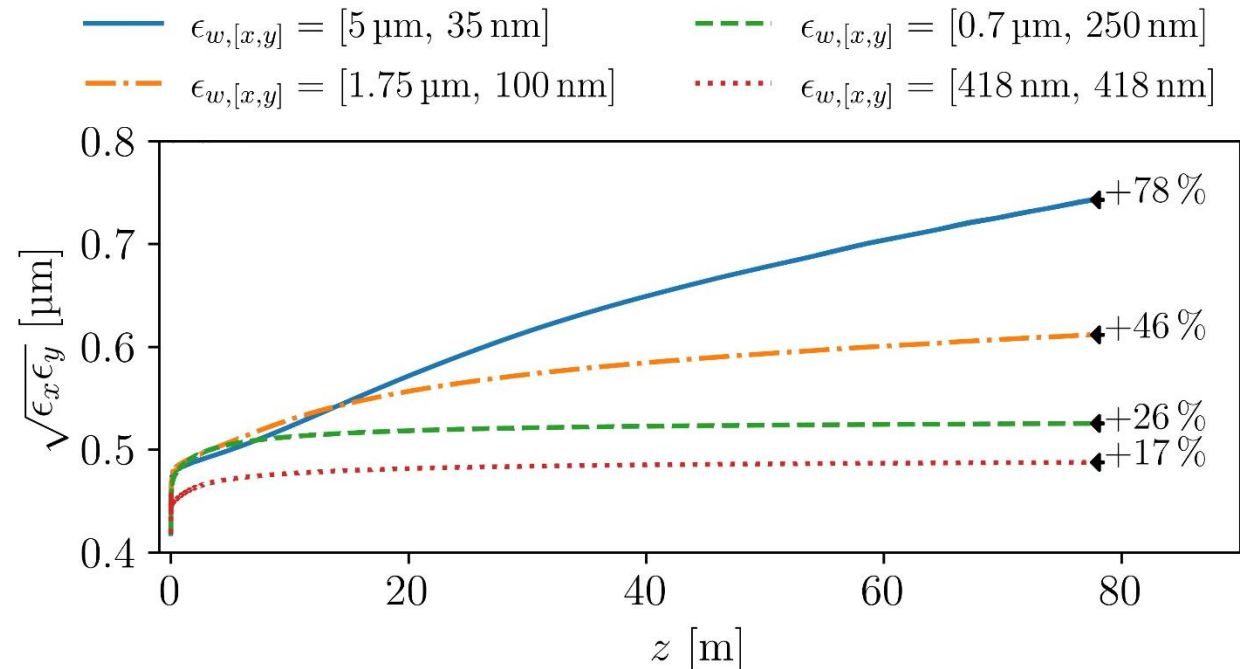
Emittance (x, y): 5 μm , 35 nm

Plasma:

Hydrogen

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 77.5 m



Recap: two different relevant regimes

1. **Axisymmetric, coupled**, nonlinear wakefields can cause severe emittance mixing:
e.g., due to drive beam ion motion, ionization, ~~parabolic plasma channels~~

This symmetry must be avoided! ~~Flat drivers, laser drivers~~

2. **Non-axisymmetric, coupled**, nonlinear wakefields still cause some degree of emittance mixing
e.g., due to witness beam ion motion

Effect must be considered in design

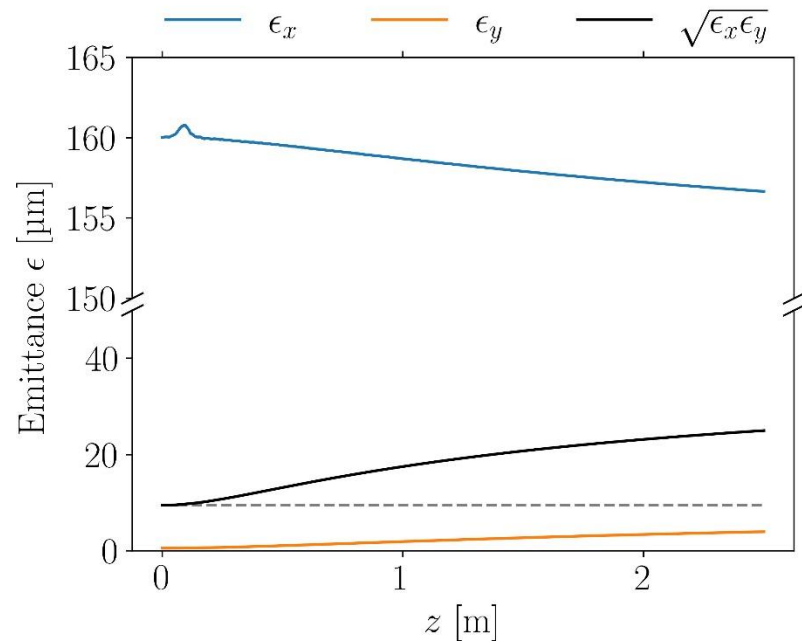
Identification of optimal parameter space needs to be addressed

Hosing needs to be re-evaluated for flat beams

Preliminary results

Drive beam ion motion regime:

First stage of HALHF, lithium, round driver



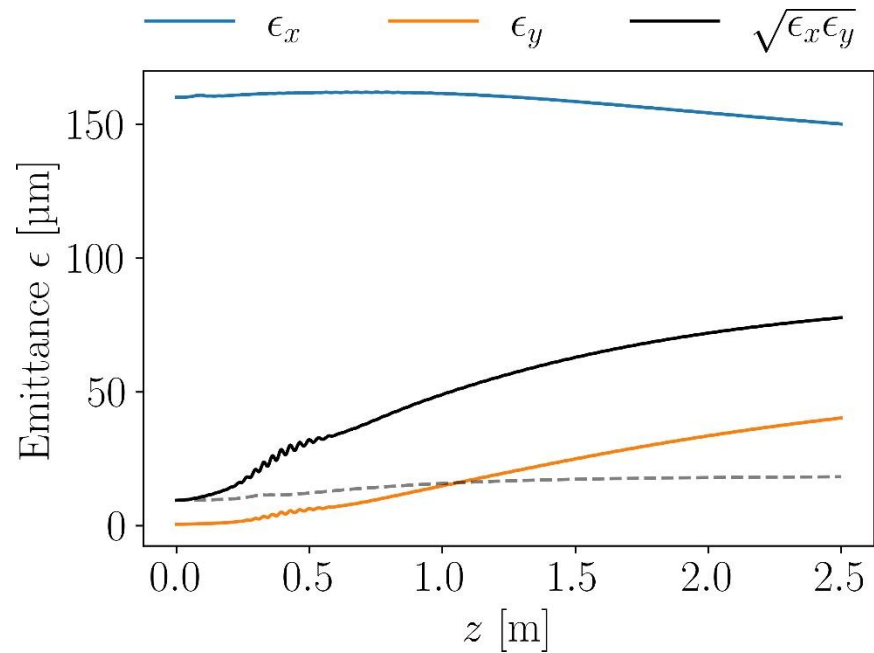
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Preliminary results

Drive beam ion motion regime:

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Offset in x and y by $1\mu\text{m}$



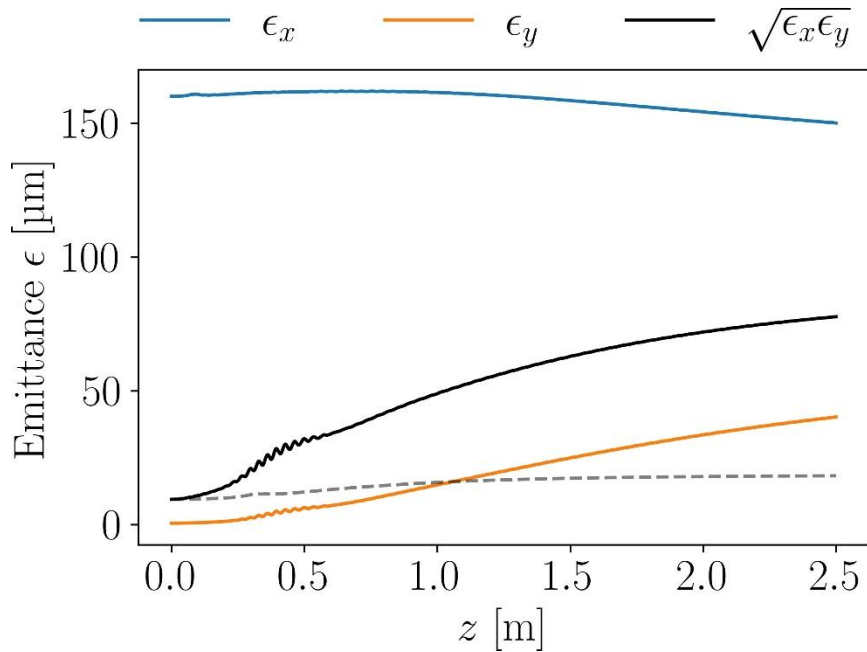
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Preliminary results

Drive beam ion motion regime:

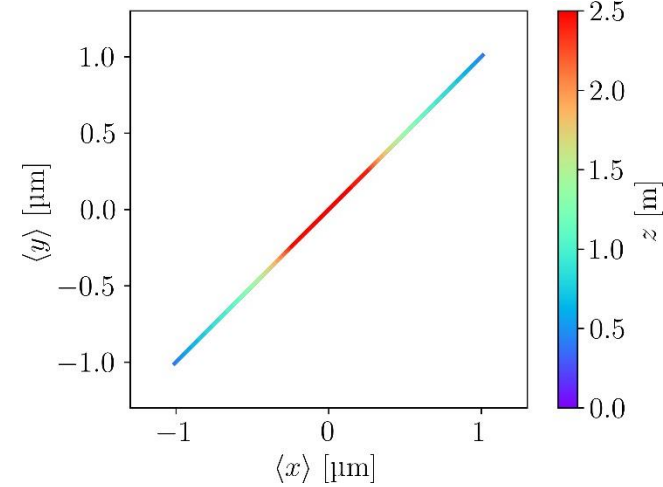
First stage of HALHF, lithium, round driver

Offset in x and y by $1\mu\text{m}$

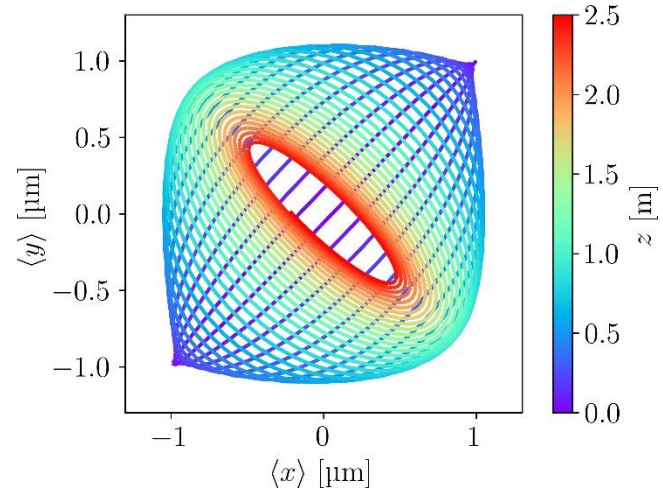


Misaligned flat beams exhibit collective **angular momentum!**

Round witness beam

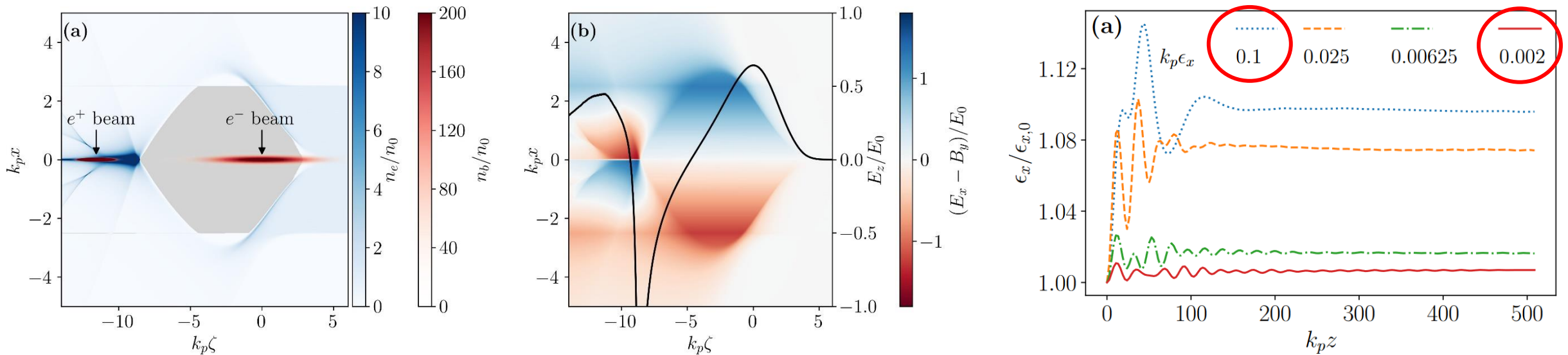


Flat witness beam



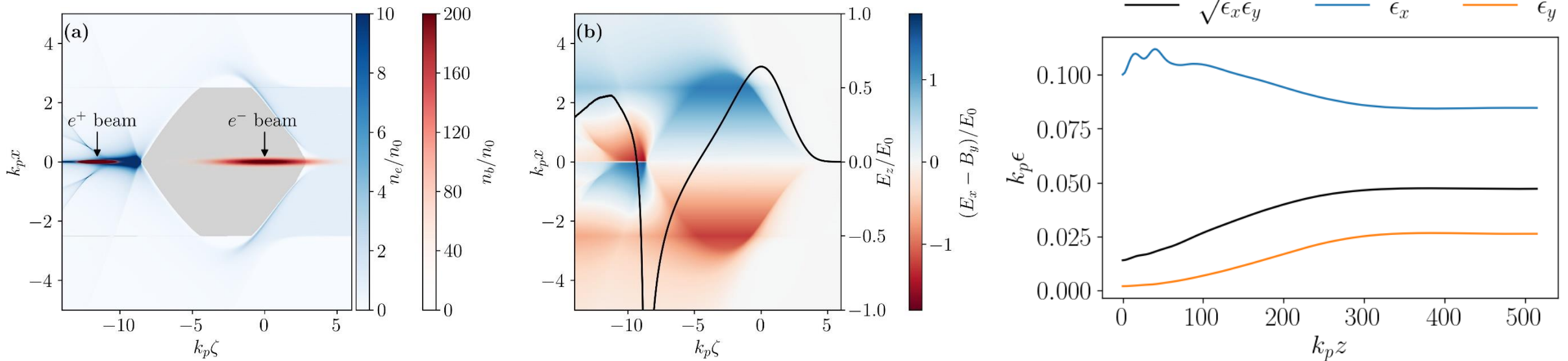
Almost all plasma-based positron acceleration schemes rely on coupled, nonlinear transverse wakefields

Positron acceleration in a plasma column enables emittance preserving acceleration of round beams



Almost all plasma-based positron acceleration schemes rely on coupled, nonlinear transverse wakefields

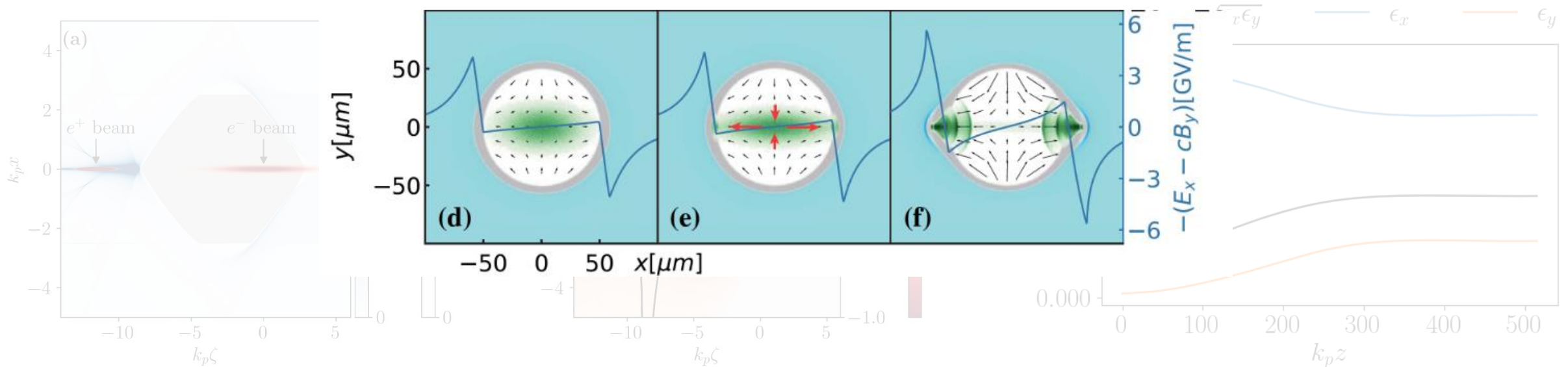
Positron acceleration in a plasma column enables ~~emittance-preserving acceleration of *flat beams*~~



Only **hollow core plasma channels** do not exhibit coupled nonlinear transverse wakefields, **all other positron acceleration schemes do!**

Almost all plasma-based positron acceleration schemes rely on coupled, nonlinear transverse wakefields

Positron acceleration in a plasma column enables emittance preserving acceleration of round beams



Flat beams in hollow core plasma channels induce **quadrupole field**¹

Acceleration of flat beams needs to be evaluated with detailed modeling

[1] Zhou et al, PRL 2021

Acceleration of flat beams in plasmas is nontrivial

Coupled, nonlinear transverse wakefields lead to emittance exchange for resonant particles with the same betatron frequency in x and y

Resonance can be mitigated by flat drivers or laser drivers

Coupled, nonlinearities are must be considered for collider relevant parameters:

- possible better parameter spaces?
- plasma element important: *ion motion* vs *ionization*

Hosing needs to be reconsidered. Coupling of transverse planes increases emittance growth

Positron acceleration of flat beams even more challenging in plasmas

Flat beams in **hollow core plasma channels** induce **quadrupole moment**

Round beams fully avoid emittance mixing

Diederichs et al.
<https://arxiv.org/abs/2403.05871>

Acknowledgements



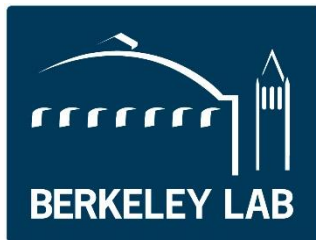
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Backup

Flat drive beams break the resonance and prevent mixing in the first 6 stages

Drive bunch:

Charge: 4.28 nC

Length (rms): 42 μm

Emittance (x, y): 60 μm 60 μm
 24 μm 150 μm

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

Emittance (x, y): 160 μm , 0.54 μm

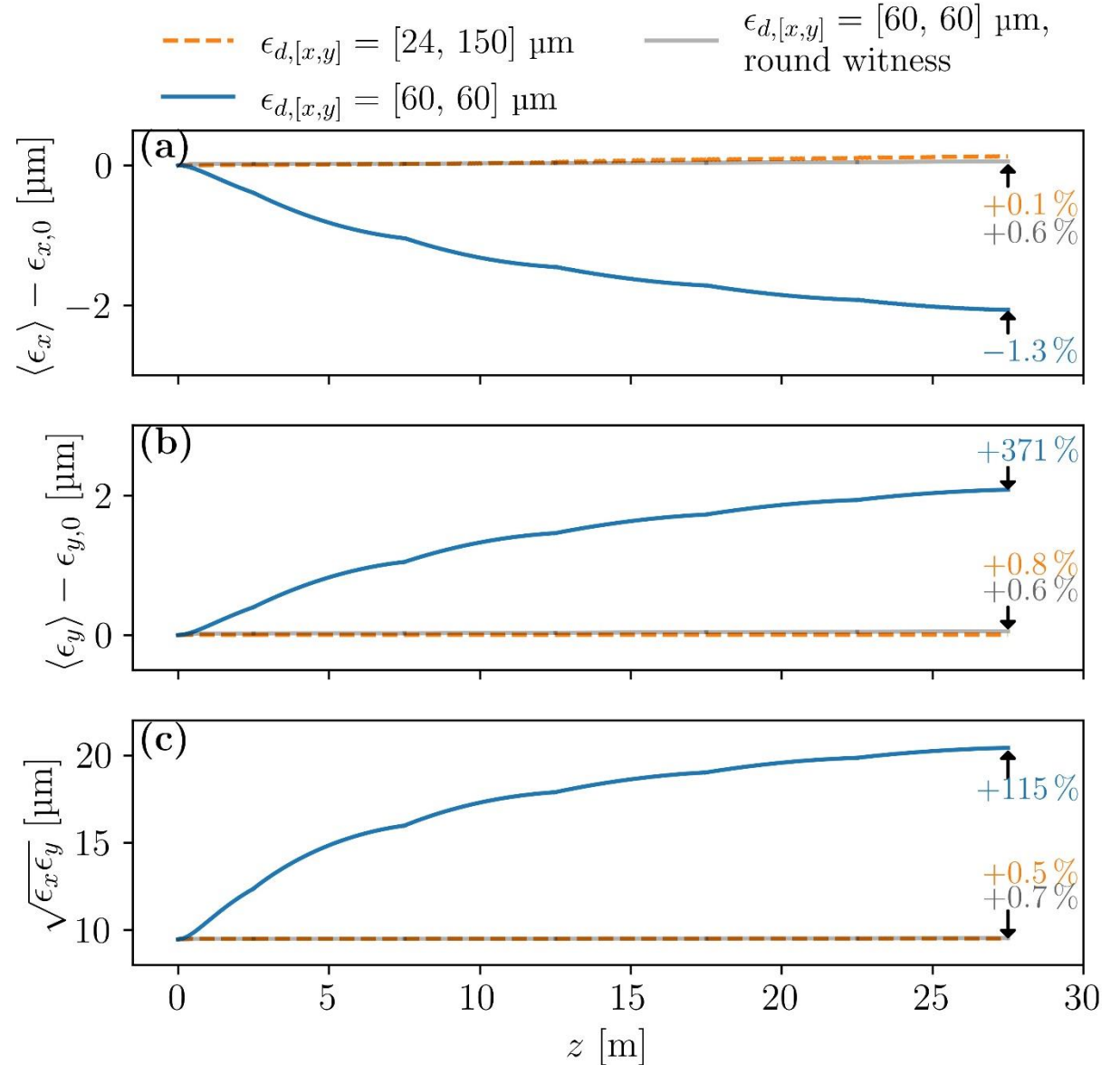
Plasma:

Sodium, ionized to first level

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 5 m (first stage 2.5)

Stages: 6, no interstage modeling



Witness beam ion motion regime exhibits some emittance mixing

Drive bunch:

Charge: 4.28 nC

Length (rms): 42 μm

Emittance (x, y): 2.8 mm

rigid

Witness bunch:

Charge: 1.6 nC,

Length (rms): 18 μm

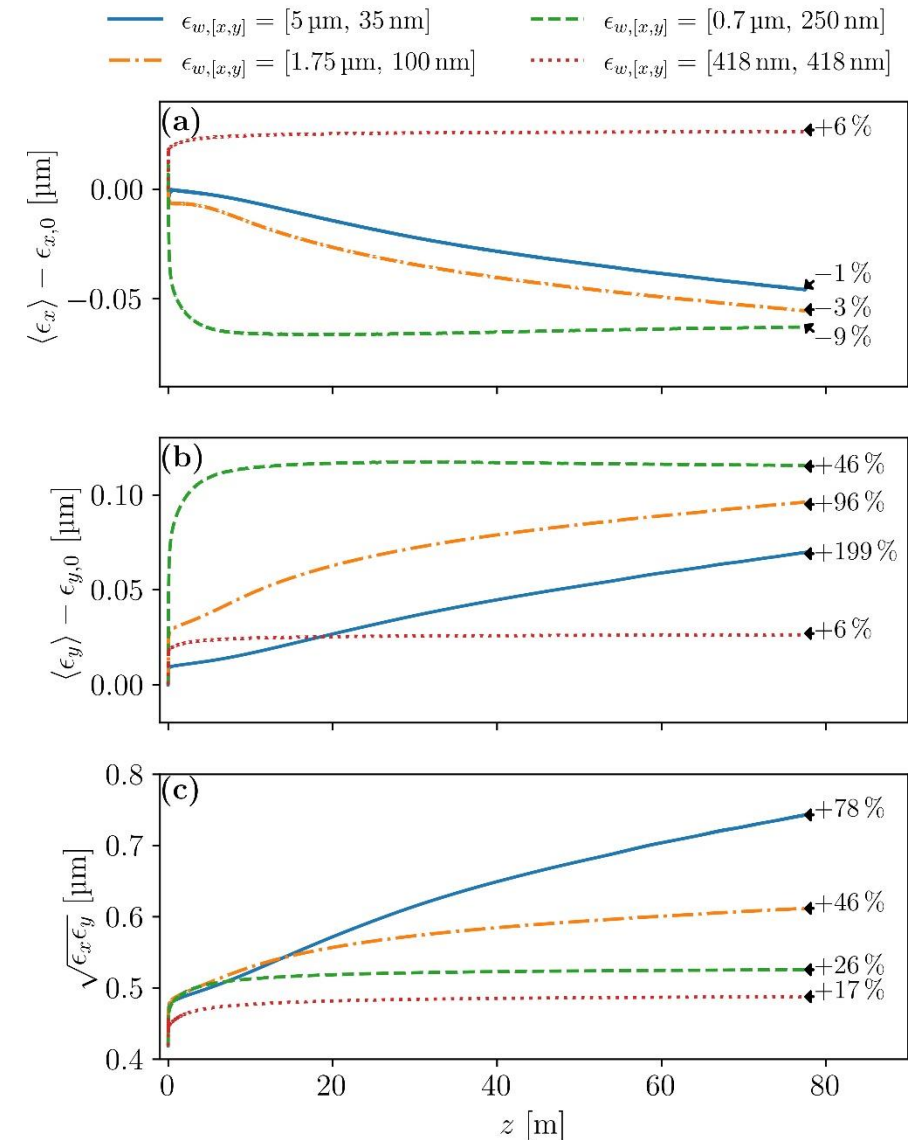
Emittance (x, y): 5 μm , 35 nm

Plasma:

Hydrogen

$n_0 = 7 \times 10^{15} \text{ cm}^{-3}$

Length: 77.5 m

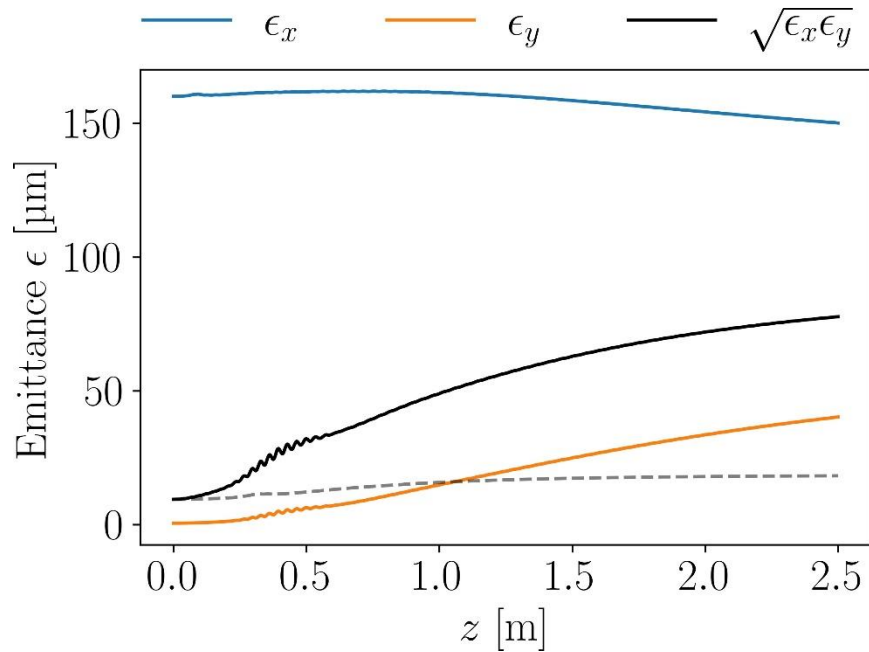


Hosing needs to be re-evaluated for flat beams

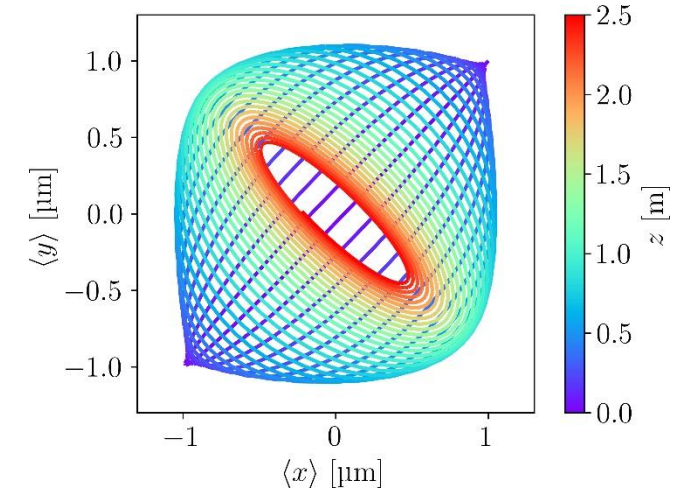
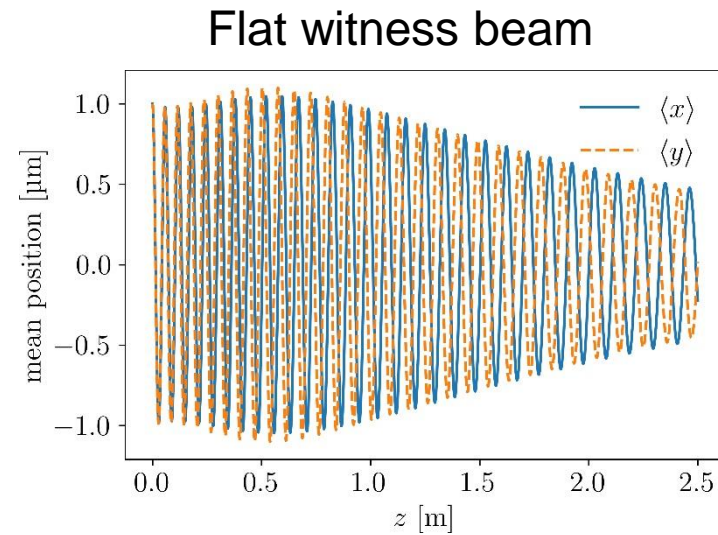
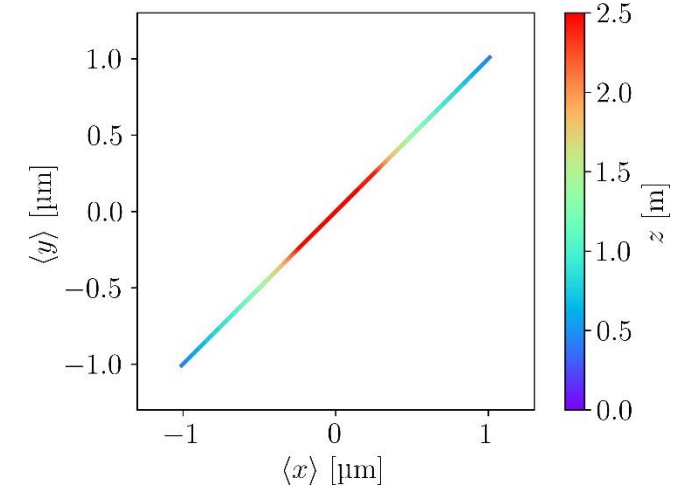
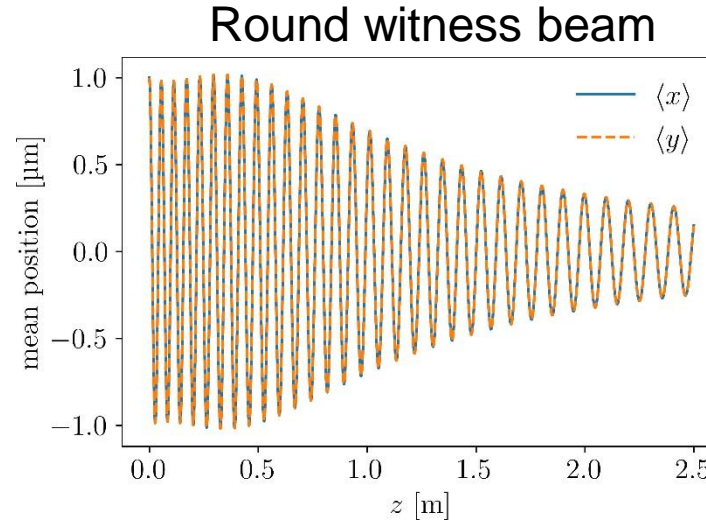
Preliminary results

Drive beam ion motion regime:
First stage of HALHF, lithium, round driver

Offset in x and y by 1 μm



Misaligned flat beams exhibit collective **angular momentum!**



Hosing needs to be re-evaluated for flat beams

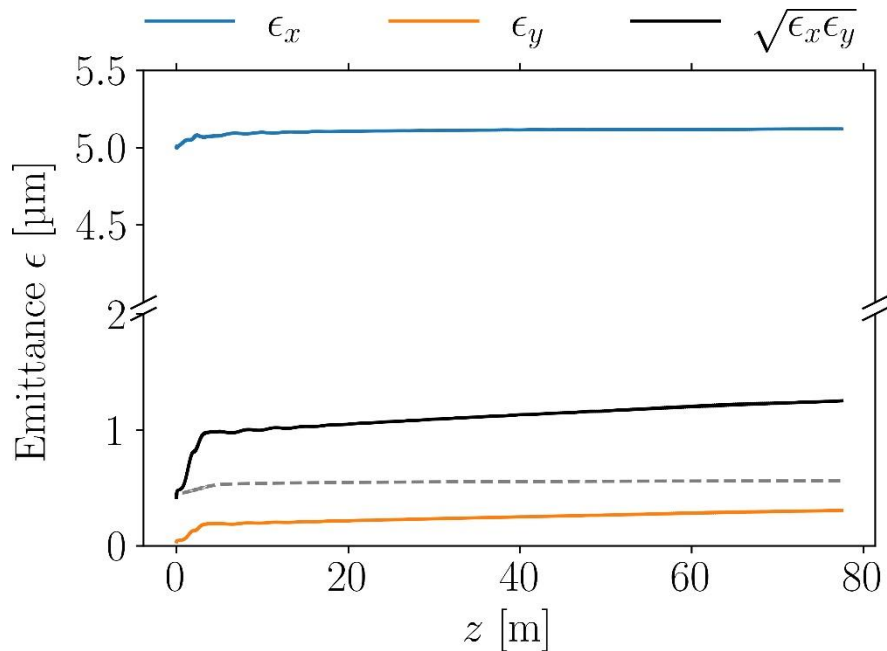
Preliminary results

Witness beam ion motion regime:

77.5 m stage, hydrogen, rigid driver

$$\epsilon_{[x,y]} = [5 \mu\text{m}, 35 \text{ nm}]$$

Offset in x and y by $0.1 \mu\text{m}$



Hosing is strongly damped by strong ion motion¹

