

# Formation of (anti)nuclei in high-energy collisions

F. Bellini (University and INFN, Bologna)

---

Physics at AMBER international workshop 2024

Chateau de Bossey, 18 March 2024



ALMA MATER STUDIORUM  
UNIVERSITÀ DI BOLOGNA



CosmicAntiNuclei



European Research Council  
Established by the European Commission

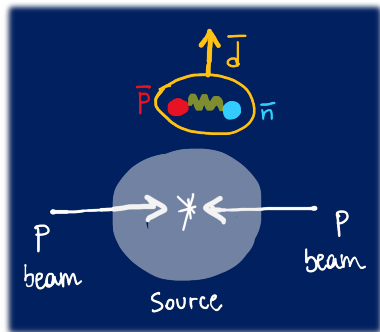




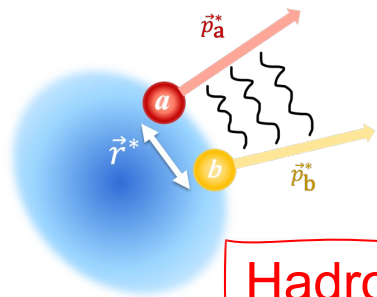
# Light **(anti)nuclei** as a laboratory



- Bound by the nuclear interaction as a “residual” strong interaction → fundamental QCD
- Properties of nuclei with hyperons (hypernuclei) give access to the strength of the Y-N interaction → relevant for neutron stars (*but not the topic of this talk*)
- LHC as a factory of antinuclei + favourable experimental conditions → unprecedentedly large data samples and precision at the TeV energy scale
- Antideuteron and antihelium proposed as smoking guns for DM due to low astrophysical background → searches for cosmic antinuclei



Production mechanisms



Hadron-hadron interactions



Input for astrophysics

# Antinuclei from **Dark Matter source**



## 1. $\bar{p}, \bar{n}$ are produced by **WIMP annihilation into SM channels**

Model ingredients:

- particle physics model

e.g. *M. Korsmeier, et al, PRD 97 (2018) 103011:  $\langle\sigma v\rangle_{\chi\chi\rightarrow b\bar{b}} \sim 3 \times 10^{-26} \text{ cm}^3/\text{s}$ ,  $30 < m_{\text{DM}} < 100 \text{ GeV}$*

- DM density in a given point of the Galaxy

*M. Tanabashi et al. (PDG), PRD 98 (2018) 030001:  $\rho_{\text{DM}}^{\text{local}} \sim 0.4 \text{ GeV}/\text{cm}^3$*

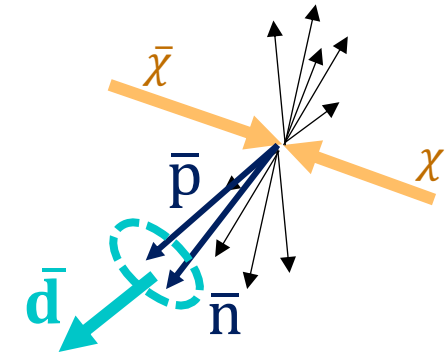
$$q_{\text{DM}}(E_{\bar{D}}, \vec{x}) = \frac{1}{2} \left( \frac{\rho(\vec{x})}{m_{\text{DM}}} \right)^2 \langle\sigma v\rangle_{b\bar{b}} \frac{dN_{\bar{D}}^{b\bar{b}}}{dE_{\bar{D}}}$$

## 2. $\bar{d}$ and ${}^3\bar{\text{He}}$ produced via **coalescence** of anti-nucleons

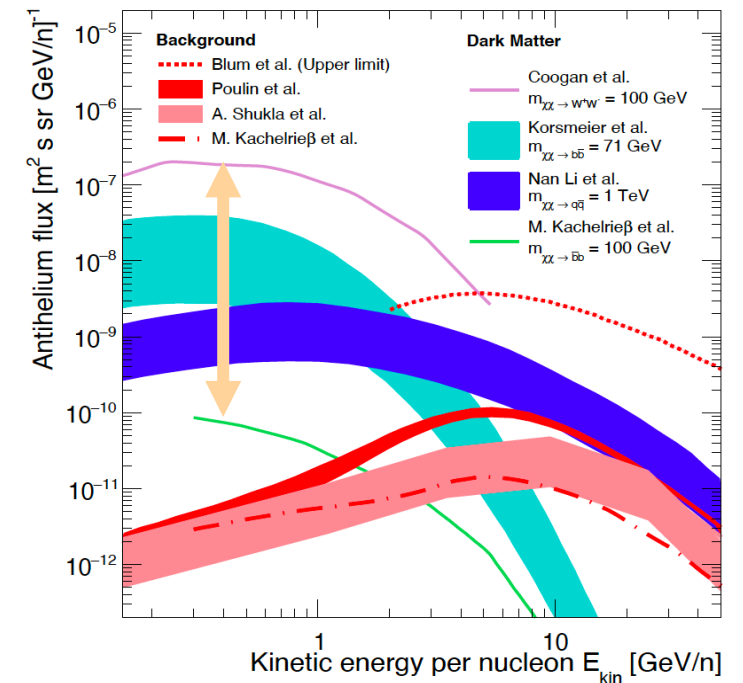
$$E_A \frac{dN_A}{d^3P_A} = B_A \left( E_p \frac{dN_p}{d^3P_p} \right)^Z \left( E_n \frac{dN_n}{d^3P_n} \right) \Big|_{P_p=P_n=P_A/A}^N$$

Model ingredients.

- antinucleon cross section
- coalescence probability  $B_A$  or momentum  $p_0$   
e.g. *tuned to e+e- data from ALEPH, PLB 639 (2006) 129*
- model and size of the particle-emitting source (?)



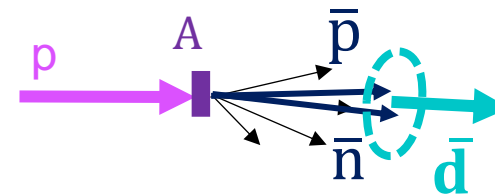
*P. von Doentchem et al., JCAP08(2020)035*





## Production by **spallation** reactions of primary CR with ISM

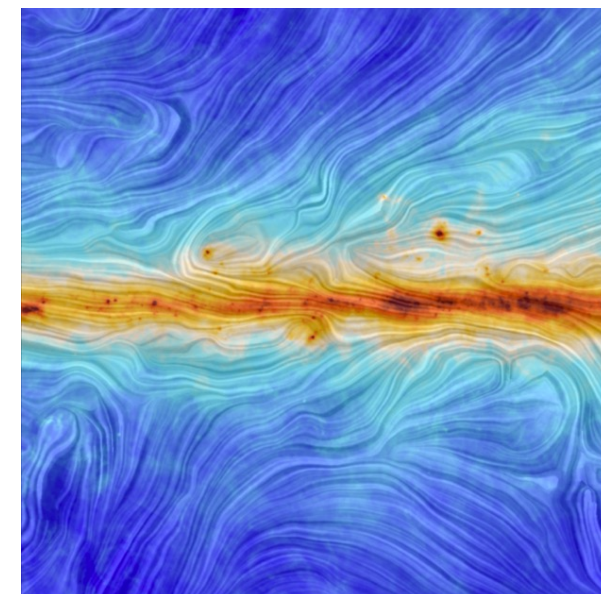
1.  $\bar{p}$ ,  $\bar{n}$  are produced in pp, p-He, p-A reactions
  - threshold: cm frame  $\sqrt{s} \geq 6 m_p \rightarrow$  lab frame/Galaxy:  $E_{\text{kin}} \geq 17 m_p$
2.  $\bar{d}$  and  ${}^3\bar{\text{He}}$  **produced by coalescence**
  - same as the DM signal, but different  $\bar{p}$ ,  $\bar{n}$  distributions
  - coalescence momentum unknown *typically tuned to pp data e.g. ALICE*



## Flux calculations sensitive to the astrophysical details

### **→ model dependency:**

- Acceleration by Super Novae remnants
- Diffusion in the galactic magnetic field ( $\sim \mu\text{Gauss}$ )
- Energy loss / gain (for loosely bound nuclei, break-up dominates)
- Solar modulations (matter mostly at low  $E$ , where DM signal prominent)



Copyright: ESA/Planck Collaboration, 2016

# Antinuclei from **Cosmic Ray source**



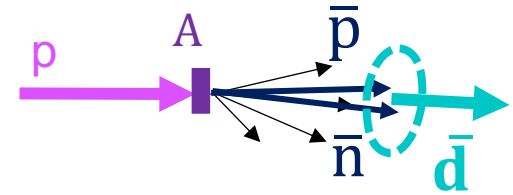
**Production** by **spallation** reactions of primary CR with ISM

1.  $\bar{p}$ ,  $\bar{n}$  are produced in pp, p-He, p-A reactions
  - threshold: cm frame  $\sqrt{s} \geq 6 m_p \rightarrow$  lab frame
2.  $\bar{d}$  and  ${}^3\bar{\text{He}}$  **produced by coalescence**
  - same as the DM signal, but different  $\bar{p}$ ,  $\bar{n}$  c
  - coalescence momentum unknown *typically tuned to*

MEASURE at  
FXT EXP.

CONSTRAIN w/  
LHC DATA

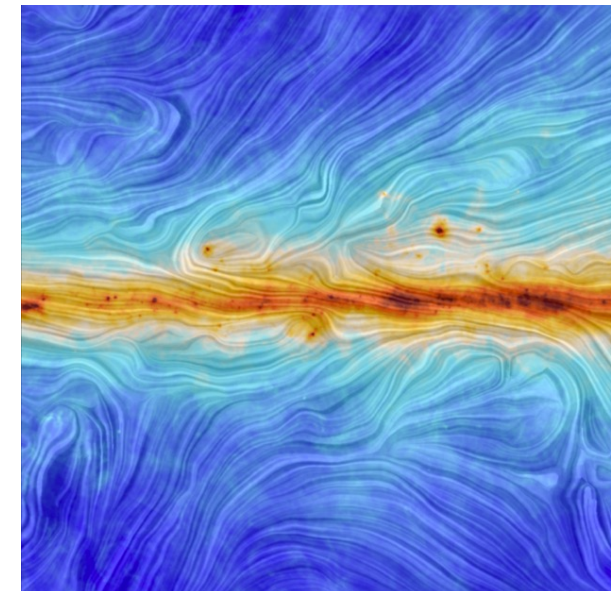
TUNE ON ASTRO  
DATA



**Flux calculations sensitive to the astrophysical parameters**

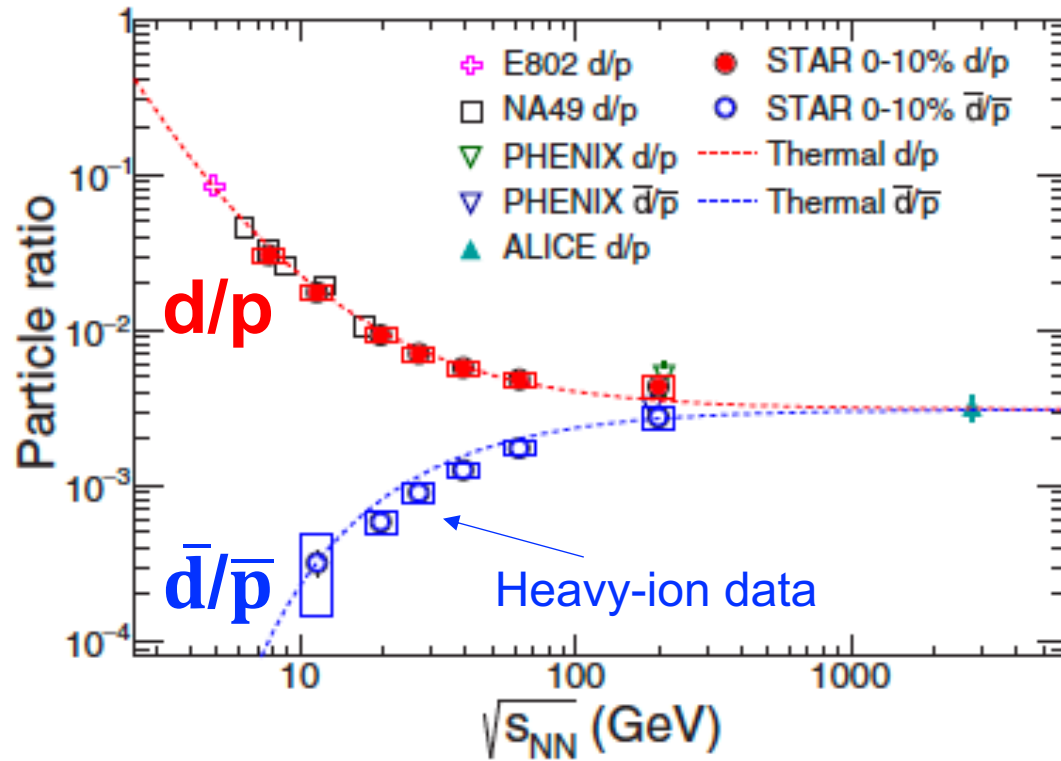
**→ model dependency:**

- Acceleration by Super Novae remnants
- Diffusion in the galactic magnetic field ( $\sim \mu\text{Gauss}$ )
- Energy loss / gain (for loosely bound nuclei, break-up dominates)
- Solar modulations (matter mostly at low  $E$ , where DM signal prominent)



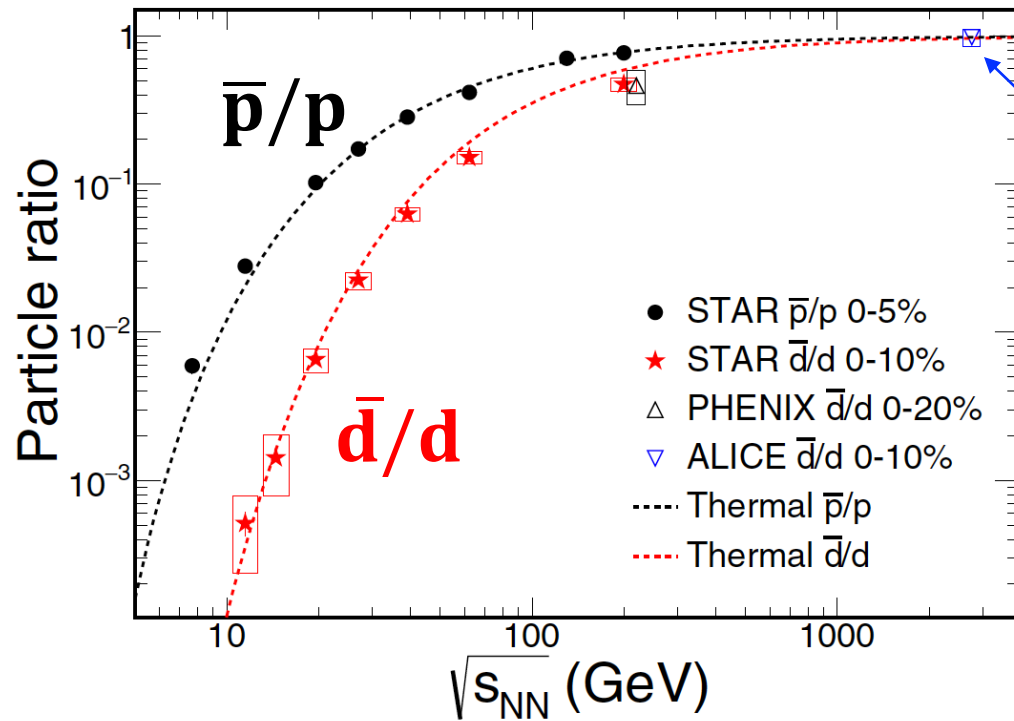
Copyright: ESA/Planck Collaboration, 2016

# Setting the stage: **antinuclei at LHC energies**



The production of **antideuteron relative to antiproton increases** with the CoM energy, while **d/p decreases**.

# Setting the stage: **antinuclei at LHC energies**



The production of antideuteron relative to antiproton increases with the CoM energy, while  $d/p$  decreases.

**At the LHC, at central rapidity nuclei and antinuclei are produced with same abundances**

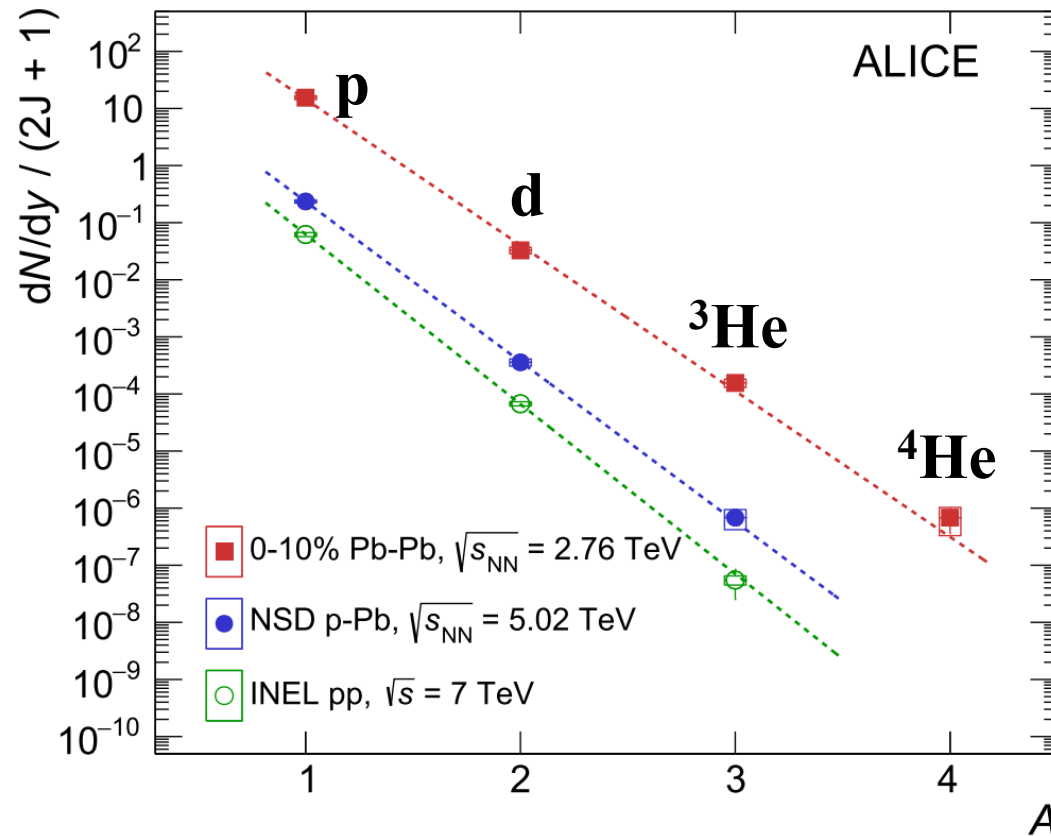
$$\bar{d}/d \sim \bar{p}/p \sim 1$$

In thermal models, (anti)nuclei are originating from a thermal source, the antimatter/matter ratio depends on  $\mu_B$  and  $T$

$$\frac{n_{\bar{p}}}{n_p} = \exp(-2\mu_B/T) \quad \frac{n_{\bar{d}}}{n_d} = \exp(-4\mu_B/T)$$



# Setting the stage: **antinuclei at LHC energies**



**LHC is an antinucleus factory.**  
**Production is still rare but large integrated luminosities allow for precision measurements (Run 2 + ongoing Run 3!)**

The production of antideuteron relative to antiproton increases with the CoM energy, while d/p decreases.

At the LHC, at central rapidity nuclei and antinuclei are produced with same abundances

$$\bar{d}/d \sim \bar{p}/p \sim 1$$

The **penalty factor** for increasing the mass number by one unit at the LHC is  
~ 1/350 in **central Pb-Pb** collisions  
~ 1/600 in **p-Pb** collisions  
~ **1/10<sup>3</sup>** in **pp** collisions



# Setting the stage: **final state of high-energy collisions**



## High-energy proton-proton collisions

- Complex final-state involving multi-parton interactions, color reconnection...
- Small source ( $R \sim 1$  fm)
- Up to  $\langle dN_{ch}/d\eta \rangle \sim 40$  at the top LHC energy

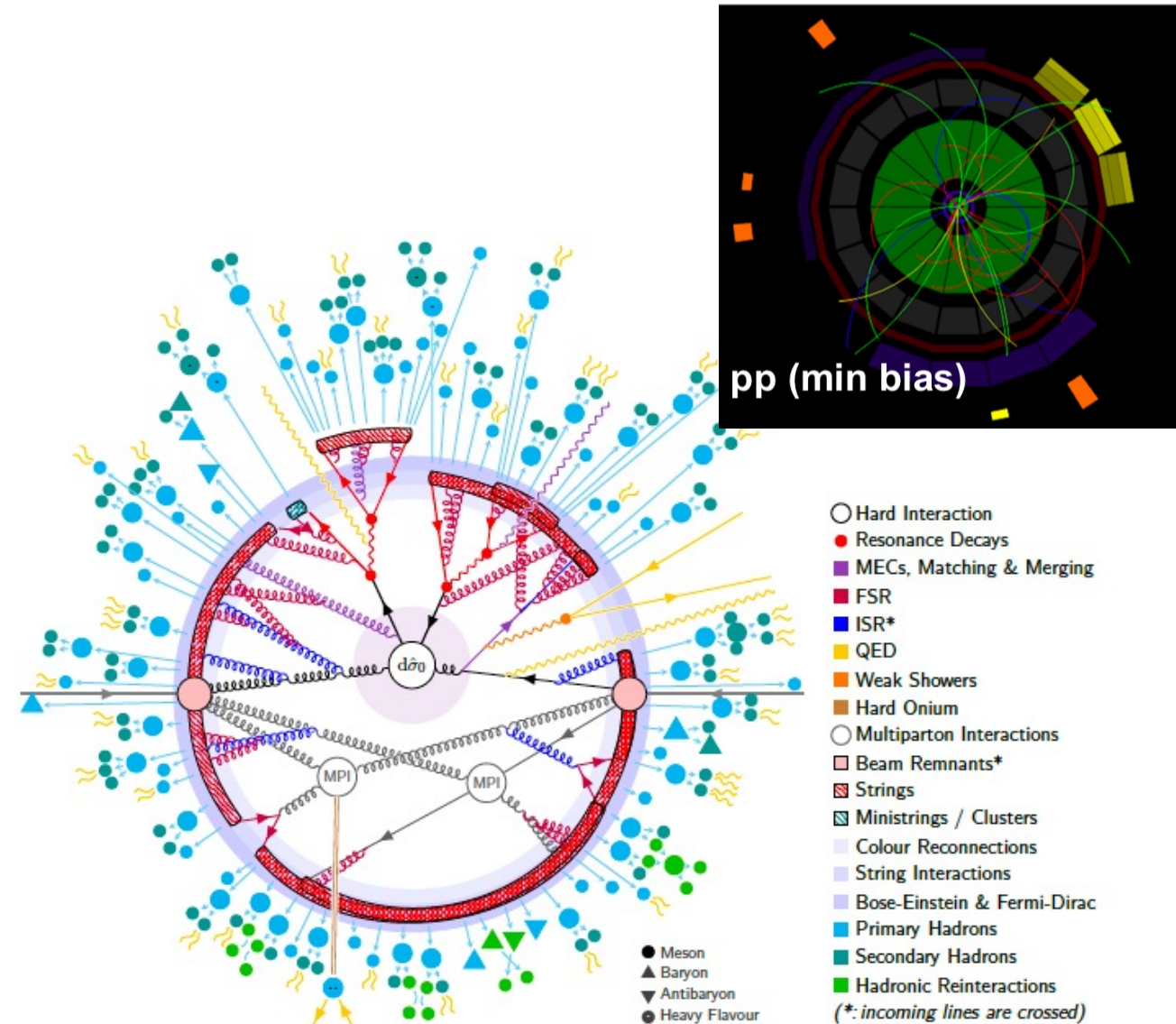


Figure from C. Bielrich et al, [arXiv:2203.11601](https://arxiv.org/abs/2203.11601)

# Setting the stage: **final state of high-energy collisions**



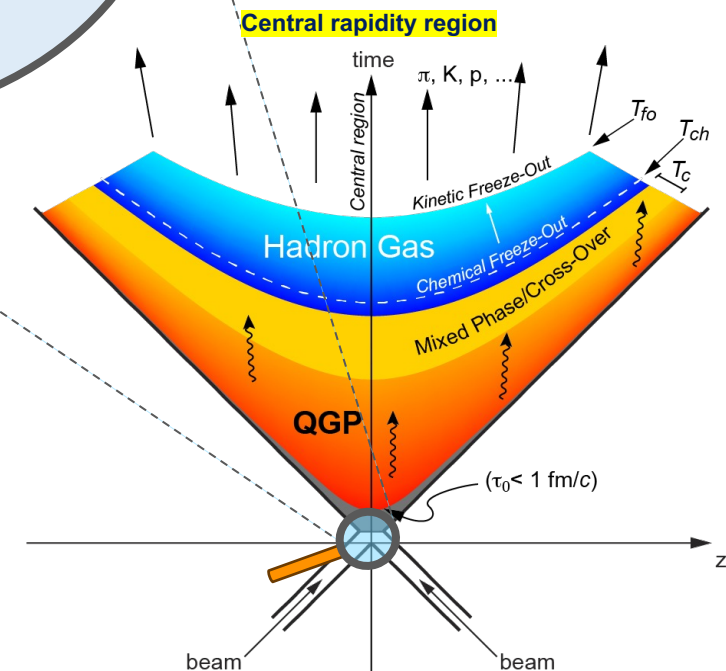
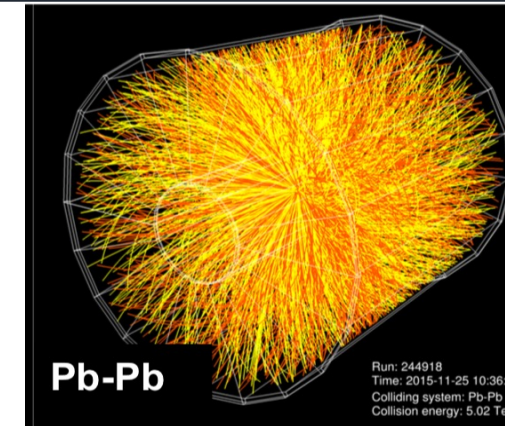
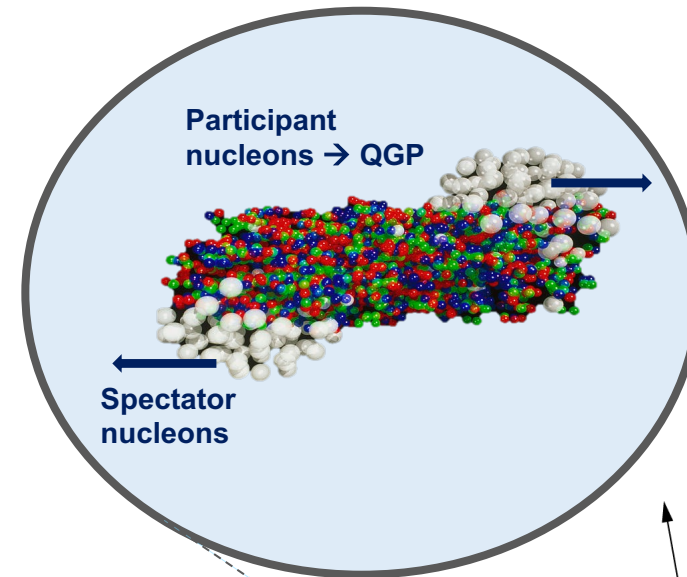
## High-energy proton-proton collisions

- Complex final-state involving multi-parton interactions, color reconnection...
- Small source ( $R \sim 1$  fm)
- Up to  $\langle dN_{ch}/d\eta \rangle \sim 40$  at the top LHC energy

## High-energy heavy-ion collisions

- production of a quark-gluon plasma (QGP)
- fast, collective expansion and cooling  
→ hadronisation
- Spatially extended system ( $R \sim$  few fm)
- Up to  $\langle dN_{ch}/d\eta \rangle \sim 2000$  at the LHC

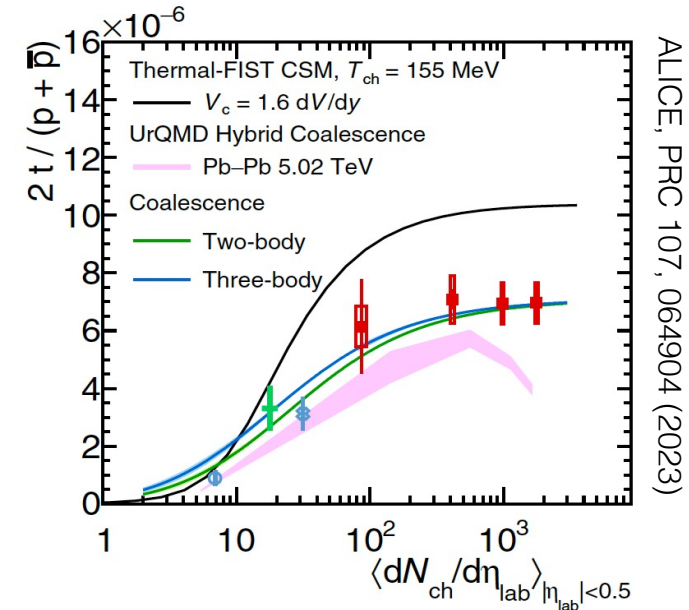
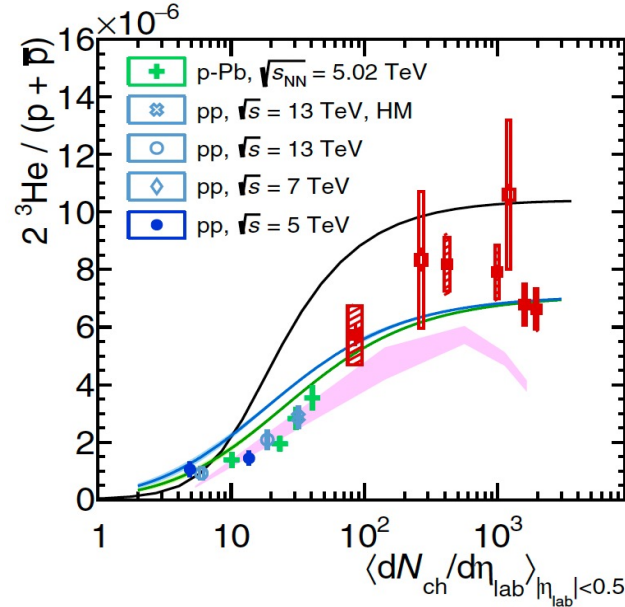
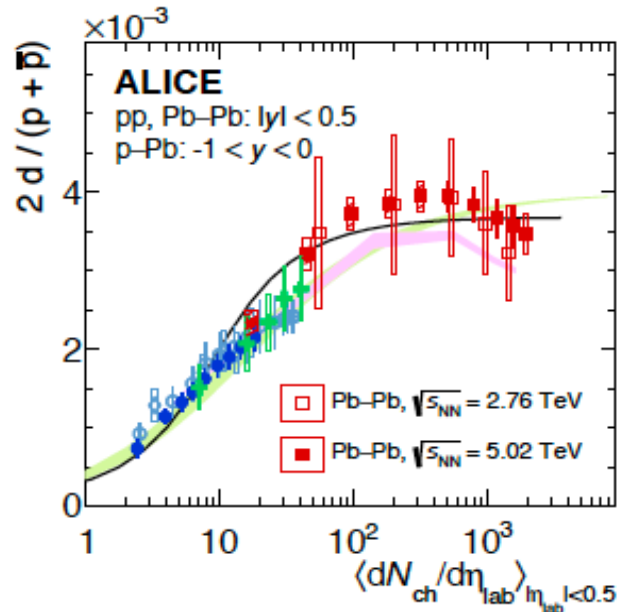
→ (anti)nuclei are produced after hadronisation in a hot ( $T \sim 100-155$  MeV) and crowded environment



# System-size dependence of **d**, **<sup>3</sup>He** and **t** production at the LHC



Production of light (anti)nuclei at the LHC depends on final-state multiplicity  $\Leftrightarrow$  system size ( $R \sim \langle dN/d\eta \rangle^{1/3}$ )



ALICE, PRC 107, 064904 (2023)

- **d** reproduced by models of coalescence (analytical, UrQMD hybrid) and statistical hadronisation (but no momentum distribution)
- Tensions for **A=3** nuclei, where more data and higher precision are advocated  
 → **LHC Run3 ongoing!**



# Enhanced deuteron production **in jets**

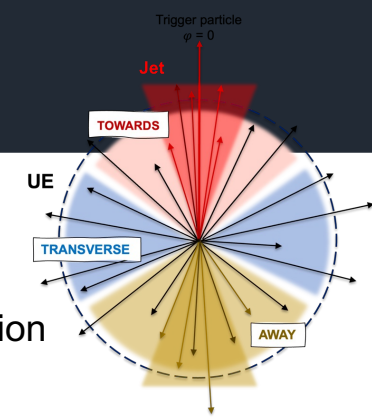


Enhanced d coalescence probability in jets wrt UE observed in pp collisions,  
 → due to reduced distance in phase space of nucleons within jets

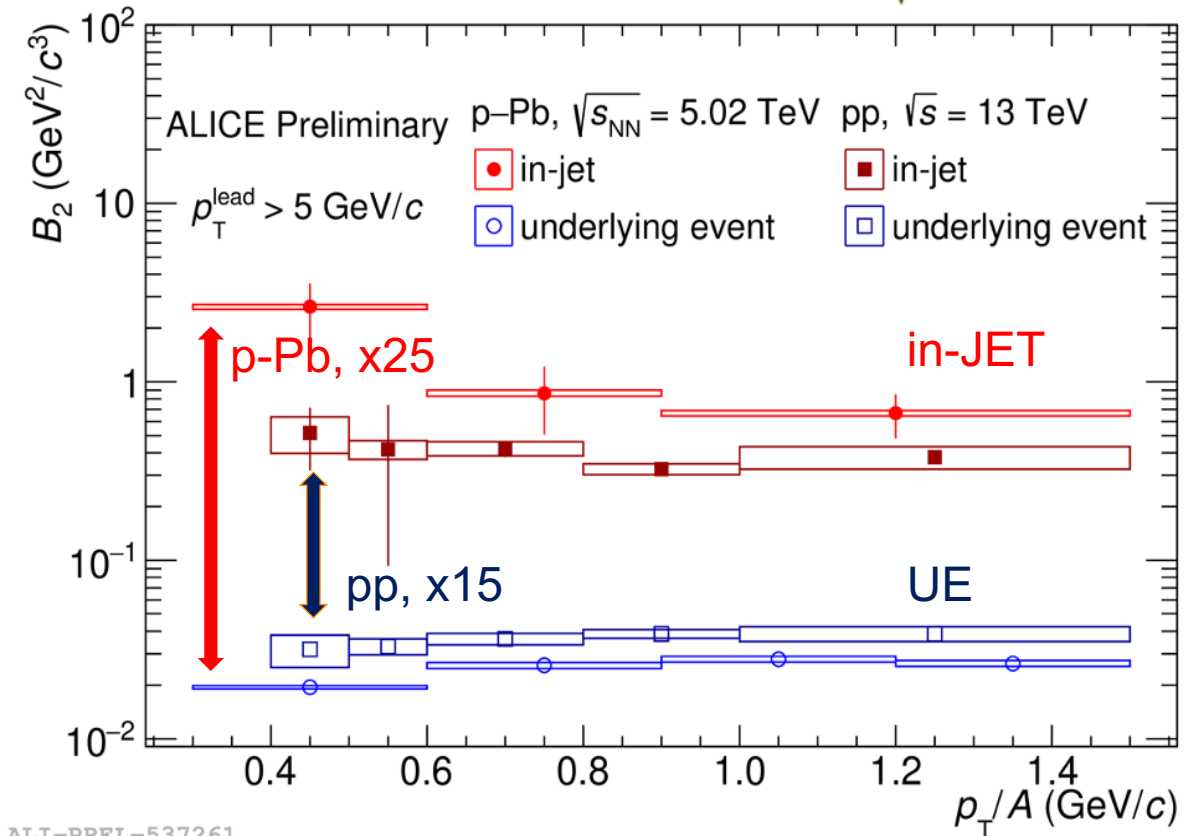
Larger enhancement in p-Pb wrt pp  
 → *particle composition in jets and broader source in UE?*

## Wishlist:

- Higher precision with run3 data
- Measurements with full jet reconstruction



In-jet vs UE classification based on  $\Delta\phi$



ALI-PREL-537261

pp: ALICE, PRL 131 (2023) 4, 042301, p-Pb: ALICE@Quark Matter 2024



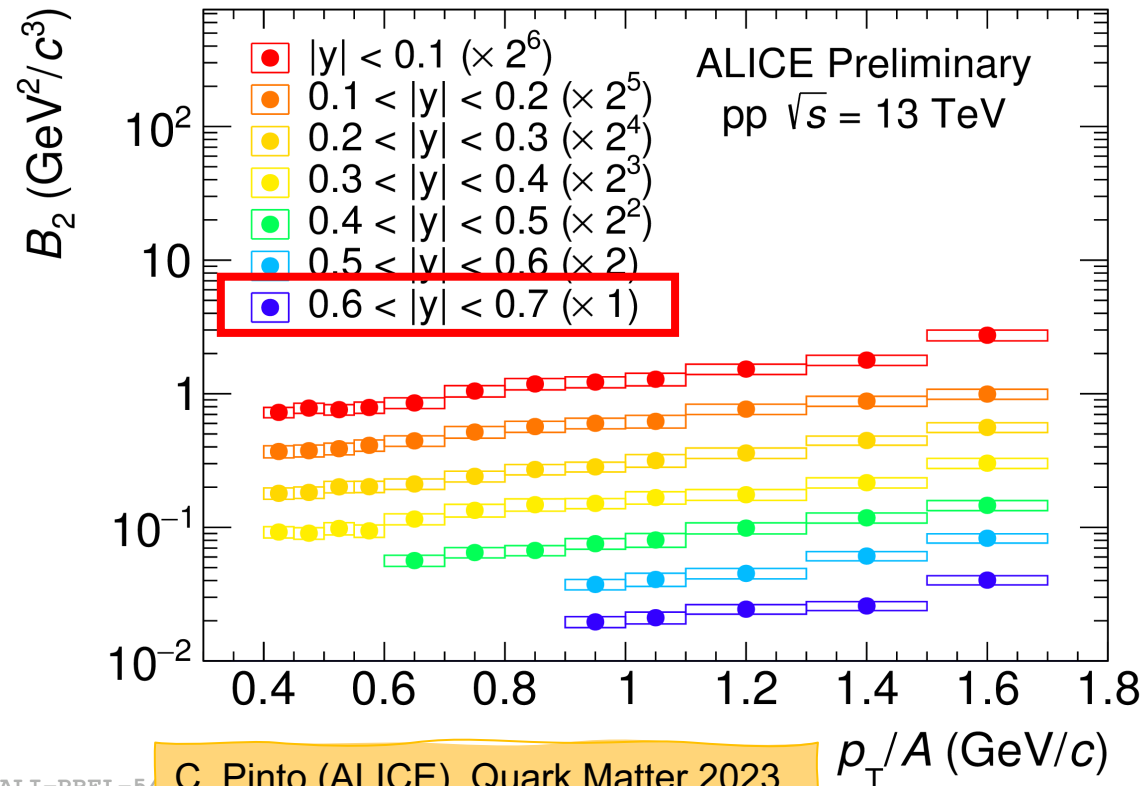
# Rapidity dependence of $\bar{d}$ production



ALICE measurements used as input to extract expected flux of cosmic antideuterons

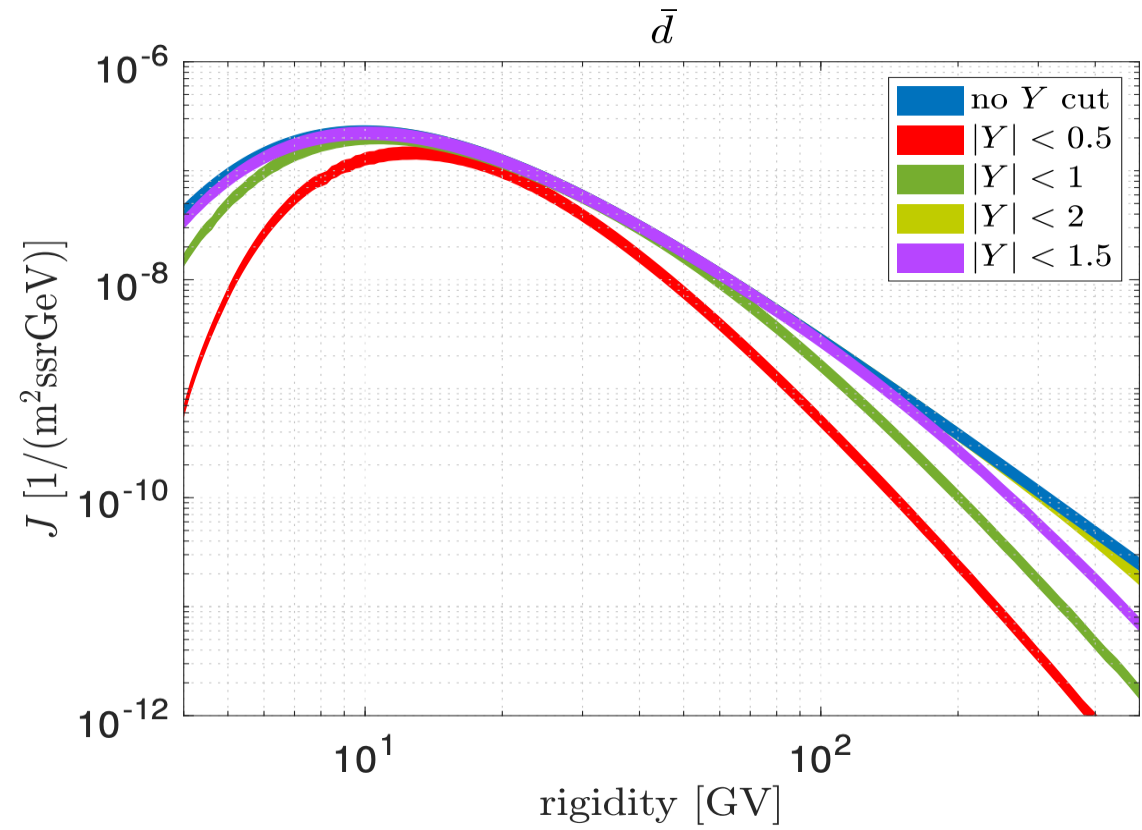
→ Most of the flux is for  $|y| < 2$

At ALICE, rapidity reach is limited by current detector acceptance → ALICE 3 after Run 4



ALI-PREL-54

C. Pinto (ALICE), Quark Matter 2023



K. Blum, arxiv:2306.13165

# Coalescence momentum



Production depends on the **coalescence probability**  $B_A$ ,

$$E_A \frac{d^3 N_A}{dp_A^3} = B_A \left( E_p \frac{d^3 N_p}{dp_p^3} \right)^Z \Big|_{\vec{p}_p = \frac{\vec{p}_A}{A}} \left( E_n \frac{d^3 N_n}{dp_n^3} \right)^N \Big|_{\vec{p}_n = \frac{\vec{p}_A}{A}}$$

Nucleus distributions

Nucleon distributions

For nuclei that are large w.r.t. the source, the phase space is reduced to the momentum space  $\rightarrow$  **coalescence momentum**  $p_0$

- $p_0$  **a priori unknown**
- “spherical” approach:  $|\vec{p}_n - \vec{p}_p| < p_0$

$$B_A = \left( \frac{4\pi}{3} p_0^3 \right)^{(A-1)} \frac{1}{A!} \frac{M}{m^A}$$

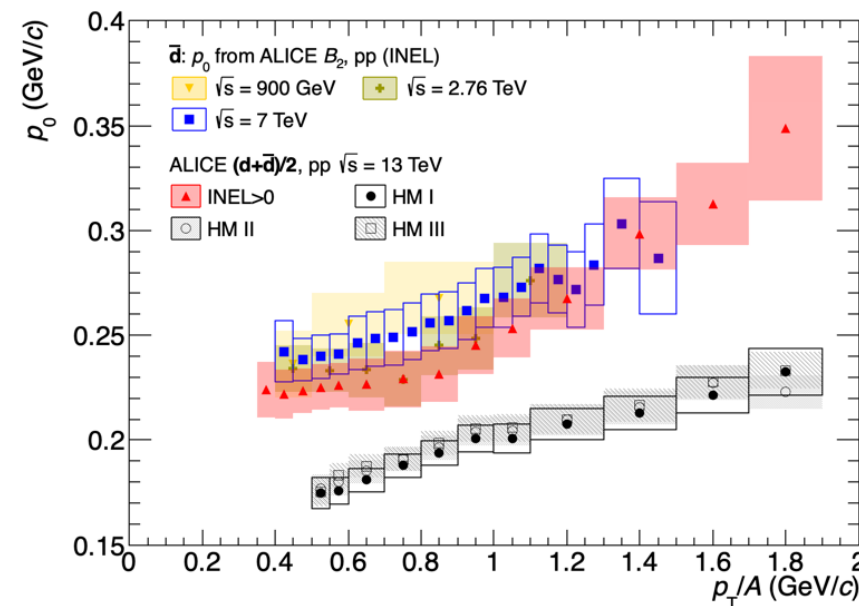
Coalescence momentum

Nucleus mass

Nucleon mass

In most astrophysical and CR applications,  $p_0$  is tuned on data, assumed to be momentum-independent but process-dependent.

Butler and Pearson, PR 129, 836 (1963);  
 Kapusta, PRC 21, 1301 (1980);  
 Sato and Yazaki, PLB 98, 153 (1981);  
 Nagle et al., PRC 53, 367 (1996);  
 Scheibl and Heinz, PRC 59, 1585 (1999);  
 Blum et al., PRD 96, 103021 (2017) + ...



# Coalescence probability in **state-of-the art models**



Coalescence is a quantum-mechanical multi-body problem: state-of-the-art models based on the Wigner formalism.

Analytical\* calculations show that probability depends on

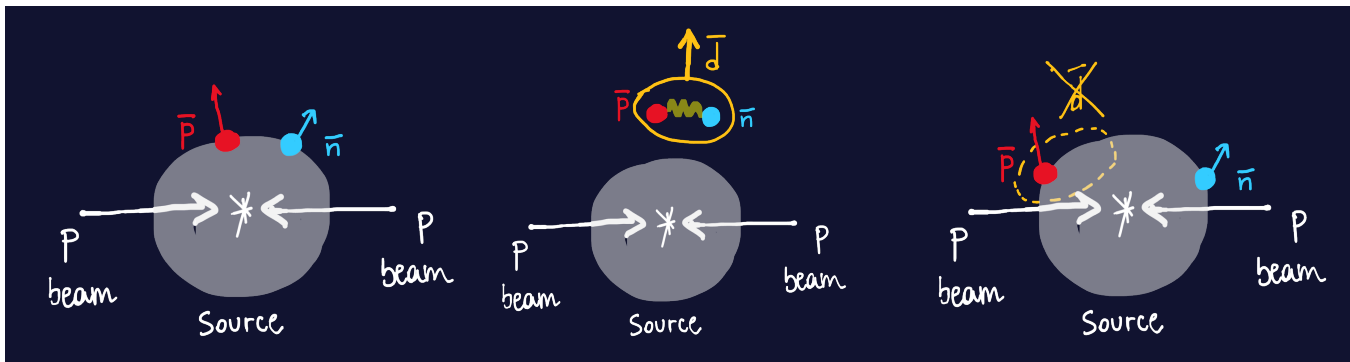
- the (transverse) **momentum**
- the **nucleus size and wavefunction** (\*gaussian)
- the **size of the nucleon source**

$$B_A = \frac{2J_A + 1}{2^A} \frac{1}{\sqrt{A}} \frac{1}{m_T^{A-1}} \left( \frac{2\pi}{R^2 + \left(\frac{r_A}{2}\right)^2} \right)^{3/2(A-1)}$$

Nucleon transverse mass  
(including momentum)

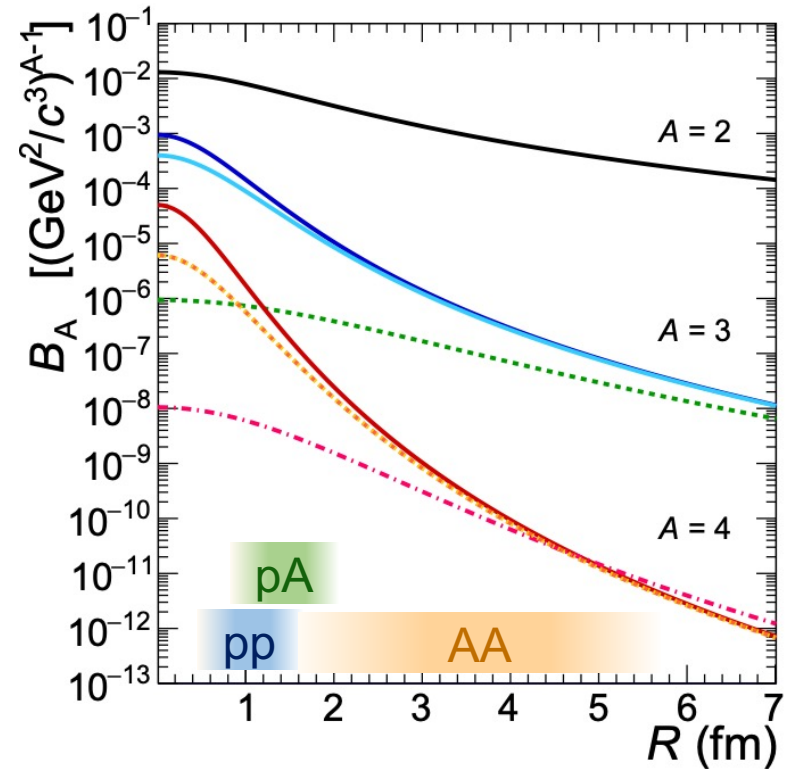
Source radius

Nucleus radius

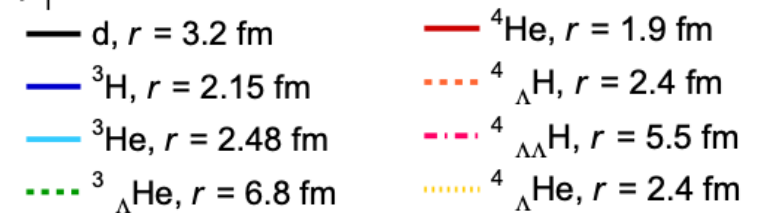


\*R. Scheibl, U. Heinz, PRC 59, 1585-1602 (1999)

\*F. Bellini and A. Kalweit, PRC 99, 054905 (2019)



Source radius



# Coalescence probability in **state-of-the art models**



Coalescence is a quantum-mechanical multi-body problem: state-of-the-art models based on the Wigner formalism.

Analytical\* calculations show that probability depends on

- the (transverse) **momentum**
- the **nucleus size and wavefunction** (\*gaussian)
- the **size of the nucleon source**

$$\mathcal{B}_2(p) \approx \frac{2(2s_d + 1)}{m(2s_N + 1)^2} (2\pi)^3 \int d^3\mathbf{r} |\phi_d(\mathbf{r})|^2 \mathcal{S}_2(\mathbf{r}).$$

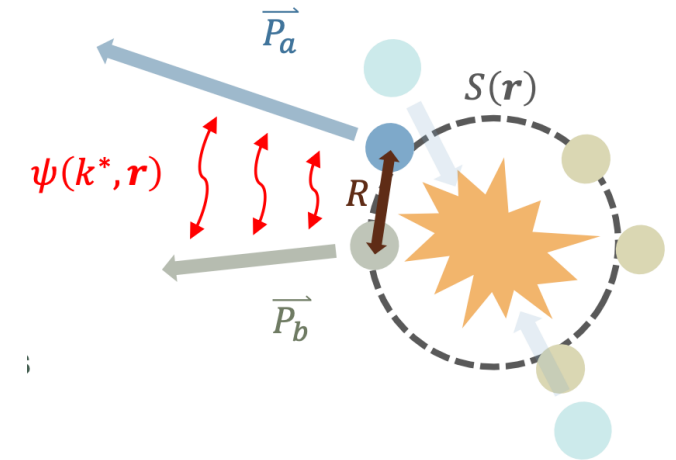
Coalescence probability (d case)

Nucleus wave function  
 $\Leftrightarrow$  Form factor

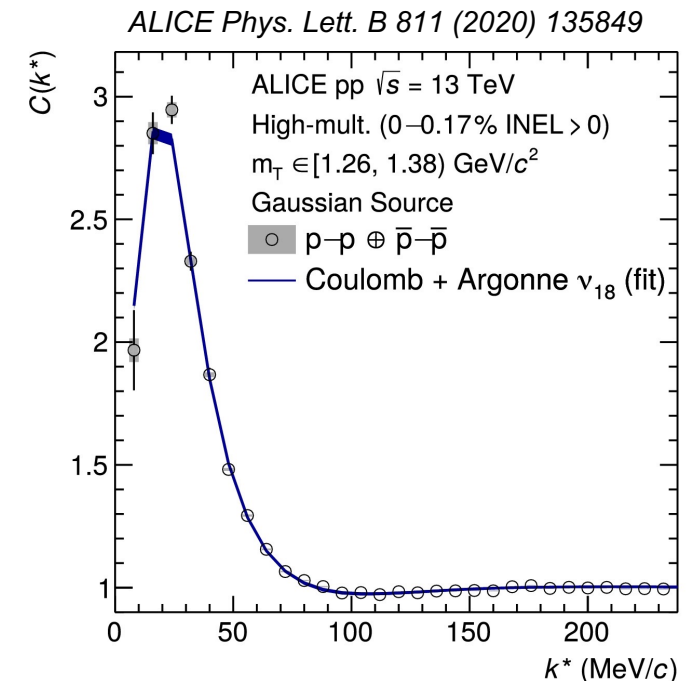
Source function  
 $\Leftrightarrow$  Momentum correlation function

The size of the source can be extracted with **femtoscopy** i.e. measure the two-particle momentum correlation function and fitting it with a known interaction potential

*L. Fabbietti, et al., Ann.Rev.Nucl.Part.Sci. 71 (2021) 377-402*



Credits: ALICE/TUM





# Motivation for a **coalescence afterburner**



Recap:

- ✓ Multi-differential and high-precision data from ALICE at the LHC available
- ✓ Recent developments in coalescence modelling in relation to the source
- ✓ Possibility to gain new insights into formation mechanisms for light (anti)nuclei
- ✓ Possible applications to CR physics

add the fact that the **production of light nuclear bound states is not modelled in Monte Carlo event generators commonly-used in HEP, like PYTHIA 8.3\*\* or EPOS.**

→ **Motivation to apply coalescence as an afterburner to particle production from MC generators**

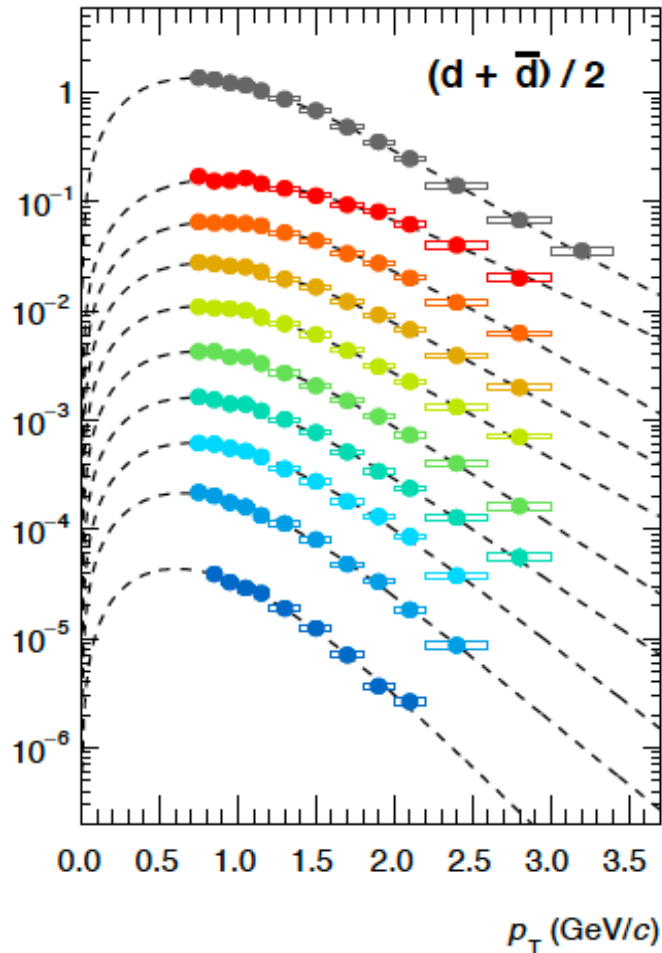
Focus on (anti)**deuteron**: simplest system, high-precision multi-differential data

Focus on **pp collisions**: relevance for cosmic ray antinuclei

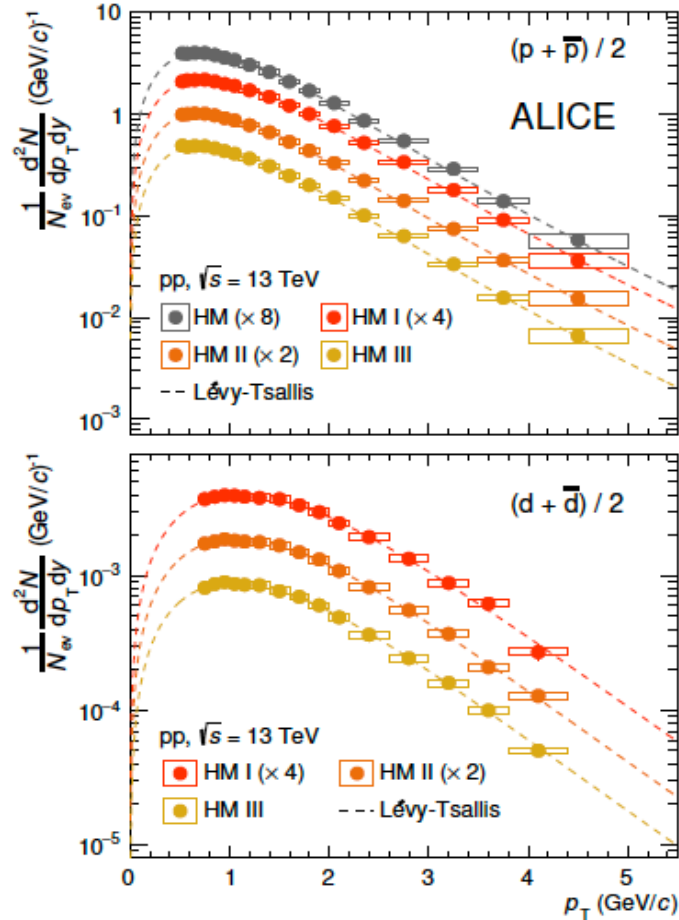
Validation with precise ALICE high-energy pp data

Inspired by *Kachelriess et al., EPJA 57, 167 (2021)*

# Data: p, d and baryon source in high-multiplicity pp collisions

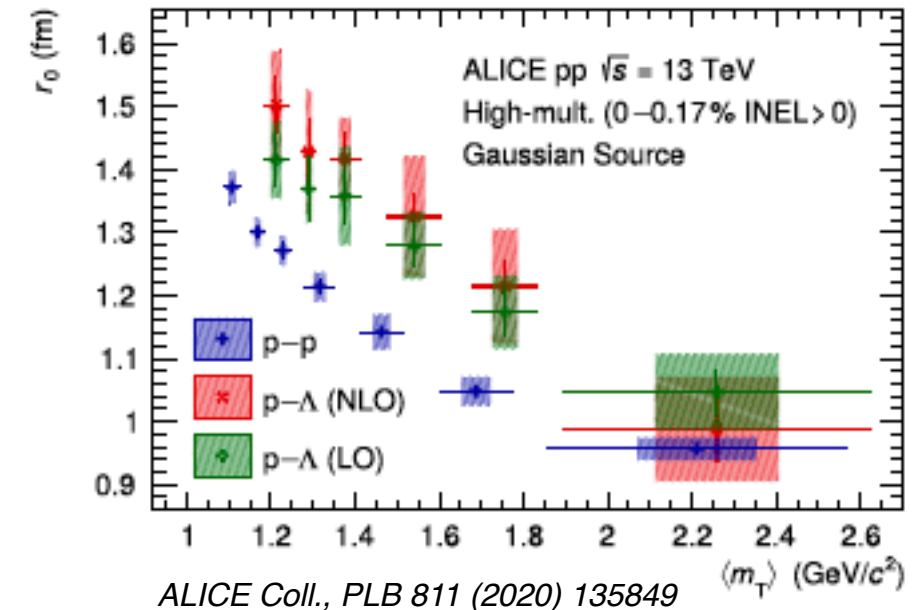


ALICE Coll. JHEP 01 (2022) 106, EPJC 82, 289 (2022)



**ONLY event class** in which measurement of p, d production AND baryon source radius are available **simultaneously**

- investigate the d wave function
- validate the coalescence afterburner with an improved source model.



ALICE Coll., PLB 811 (2020) 135849

# Forming **deuteron**



The momentum-dependent production (or spectrum) of a d can be obtained by projecting the final-state density matrix of the nucleus onto the initial-state density matrix for a proton-neutron pair.

*M. Mahlein, FB, L. Fabbietti et al, EPJC 83 (2023) 804, K.Blum et al., PRC 103, 014907 (2021)*

The nucleus wavefunction is  $\phi_d(\mathbf{r}_d, \mathbf{p}_d) \propto \varphi_d e^{i\mathbf{p}_d \cdot \mathbf{r}_d}$

$$\mathbf{r} = \mathbf{r}_p - \mathbf{r}_n$$

$$\mathbf{q} = (\mathbf{p}_p - \mathbf{p}_n)/2.$$

$$\mathbf{r}_d = \mathbf{r}_p + \mathbf{r}_n$$

$r_0$  = size parameter of the source

$$\frac{d^3 N_d}{dp_d^3}(\mathbf{p}_d) = \left[ \frac{3}{8} \right] \int \frac{d^3 r_d d^3 r d^3 q}{(2\pi)^6} \mathcal{D}(\mathbf{r}, \mathbf{q}) W_{pn}(\mathbf{p}_d/2 + \mathbf{q}, \mathbf{p}_d/2 - \mathbf{q}, \mathbf{r}_p, \mathbf{r}_n)$$

Spin and isospin factor

Deuteron Wigner function

Proton-neutron Wigner function

assuming that

1. the nucleon momenta are independent from their positions within the particle emitting source, factorize space and momentum term
2. The spatial distributions of protons and neutrons are not correlated

$$H_{pn}(\mathbf{r}_p, \mathbf{r}_n) G_{pn}(\mathbf{p}_d/2 + \mathbf{q}, \mathbf{p}_d/2 - \mathbf{q})$$

Includes single-particle momentum distributions and their correlation  
→ from MC generator

$$H_{pn}(\mathbf{r}, \mathbf{r}_d; r_0) = \frac{1}{(2\pi r_0)^3} \exp\left(-\frac{r^2 - r_d^2}{4r_0^2}\right)$$

# Forming deuteron: **nucleon source**



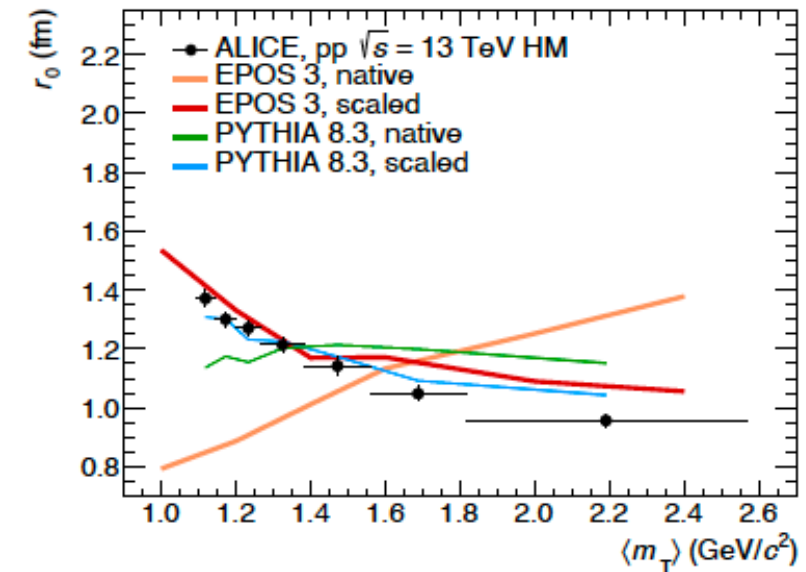
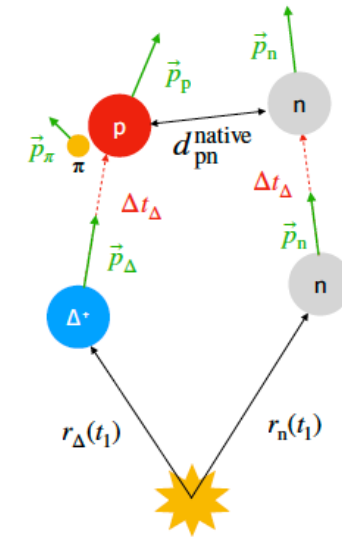
$$H_{pn}(\mathbf{r}, \mathbf{r}_d; r_0) = \frac{1}{(2\pi r_0)^3} \exp\left(-\frac{r^2 - r_d^2}{4r_0^2}\right)$$

Contributions to the source term:

- **prompt** nucleon emission
- **delayed** nucleons from strong **resonance decay** (e.g.  $\Delta$ ,  $N^*$ , ..., lifetimes  $\sim 10^{-23}\text{s} \sim \text{fm}/c$ )

To obtain a **realistic modelling of the source**

- **resonance cocktail**, hence prompt/feeddown fractions tuned based on statistical—hadronisation model
- **$m_T$  scaling** of the p-n distance after time equalization, based on the available ALICE data





# Forming deuteron: **nucleon spectrum**



$$H_{pn}(\mathbf{r}, \mathbf{r}_d; r_0) = \frac{1}{(2\pi r_0)^3} \exp\left(-\frac{r^2 - r_d^2}{4r_0^2}\right)$$

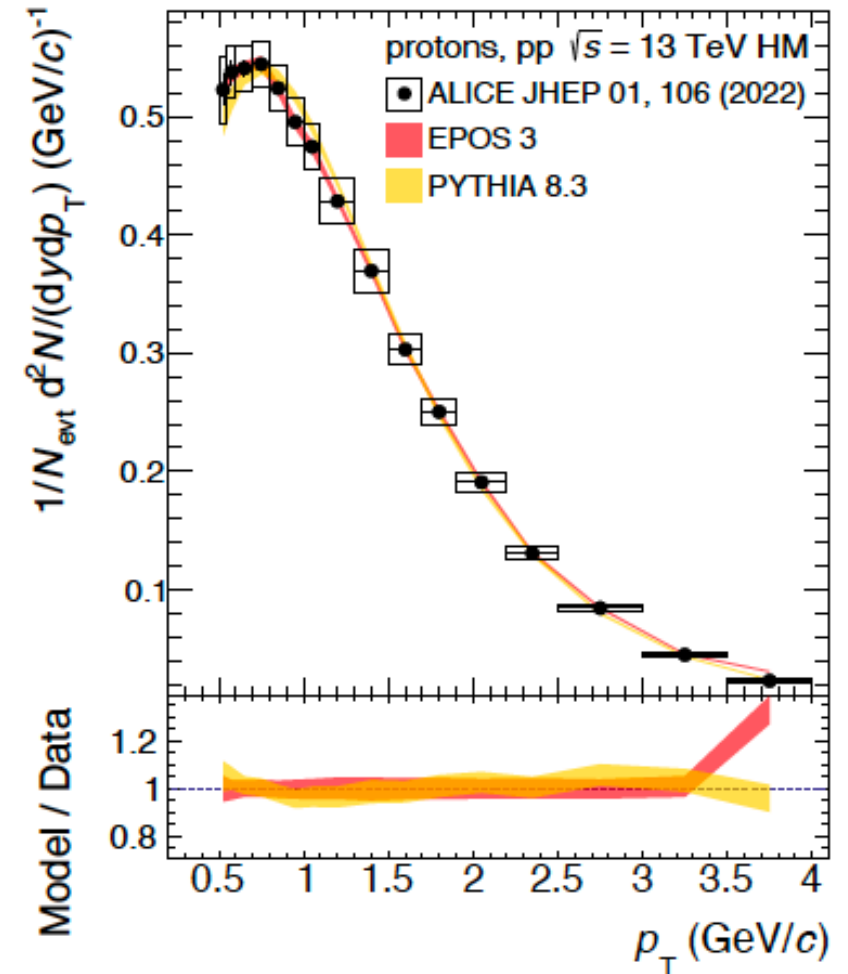
Contributions to the source term:

- **prompt** nucleon emission
- **delayed** nucleons from strong **resonance decay** (e.g.  $\Delta$ ,  $N^*$ , ..., lifetimes  $\sim 10^{-23}\text{s} \sim \text{fm}/c$ )

To obtain a **realistic modelling of the source**

- **resonance cocktail**, hence prompt/feeddown fractions tuned based on statistical—hadronisation model
- **$m_T$  scaling** of the p-n distance after time equalization, based on the available ALICE data

**Nucleon spectra are scaled to reproduce ALICE data.**



# Forming deuteron: **wavefunction**



$$\frac{d^3 N_d}{dp_d^3}(\mathbf{p}_d) = \frac{3}{8} \int \frac{d^3 r_d d^3 r d^3 q}{(2\pi)^6} \mathcal{D}(\mathbf{r}, \mathbf{q}) \mathcal{W}_{pn}(\mathbf{p}_d/2 + \mathbf{q}, \mathbf{p}_d/2 - \mathbf{q}, \mathbf{r}_p, \mathbf{r}_n)$$

Spin and isospin factor

Deuteron Wigner function

Proton–neutron Wigner function

## Deuteron Wigner function:

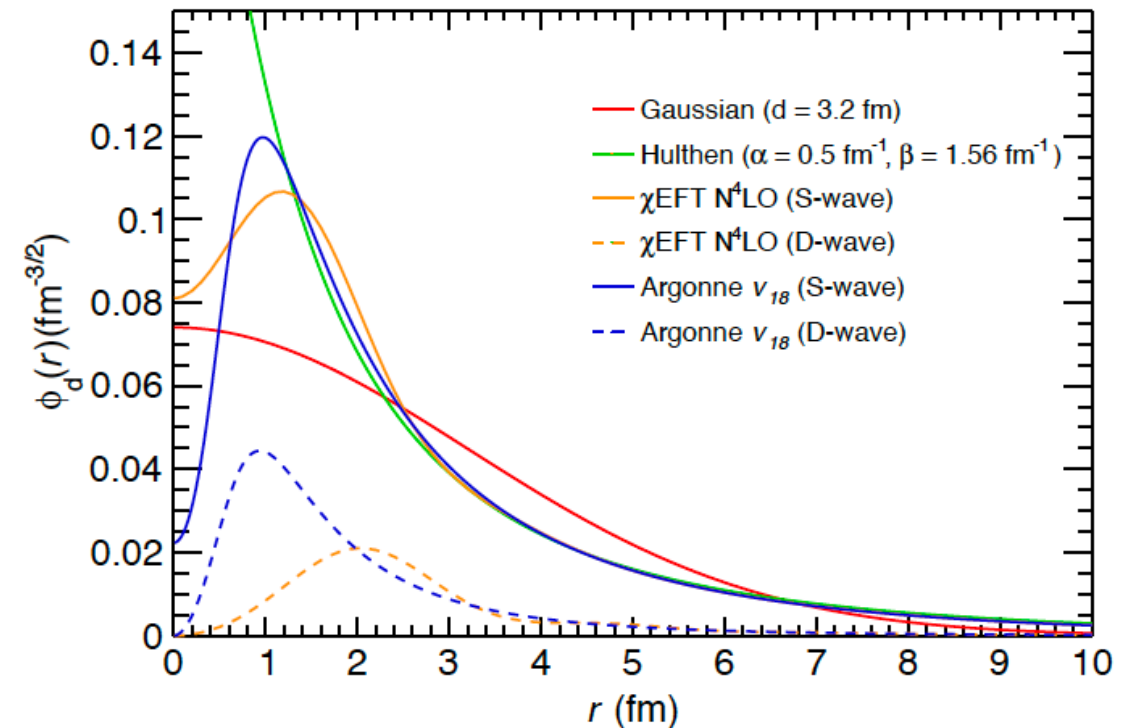
- Analytical solution only for **gaussian** wavefunction

$$\varphi_d(\mathbf{r}) = (\pi d^2)^{-3/4} e^{-r^2/2d^2} \quad \mathcal{D}(\mathbf{r}, \mathbf{q}) = 8e^{-r^2/d^2} e^{-q^2 d^2}$$

- Numerical solutions for other wavefunctions

\* obtained for the first time in [EPJC 83 \(2023\) 804](#)

- Hulthen**  
Heinz and Jacak, *Ann. Rev. Nucl. Part. Sci.* 49, 529 (1999)
- Argonne v18** \*  
Wiringa et al., *Phys. Rev. C* 51, 38 (1995)
- $\chi_{\text{EFT}} \text{N}^4\text{LO}$**  \*  
Entem et al, *Phys. Rev. C* 96, 024004 (2017)



# Forming deuteron: **results**



**Input #1:** (anti)proton and (anti)neutron  $p_T$  distributions

**Input #2:** baryon source size and its  $m_T$  dependence

**Input #3:** hypothesis for deuteron internal wavefunction

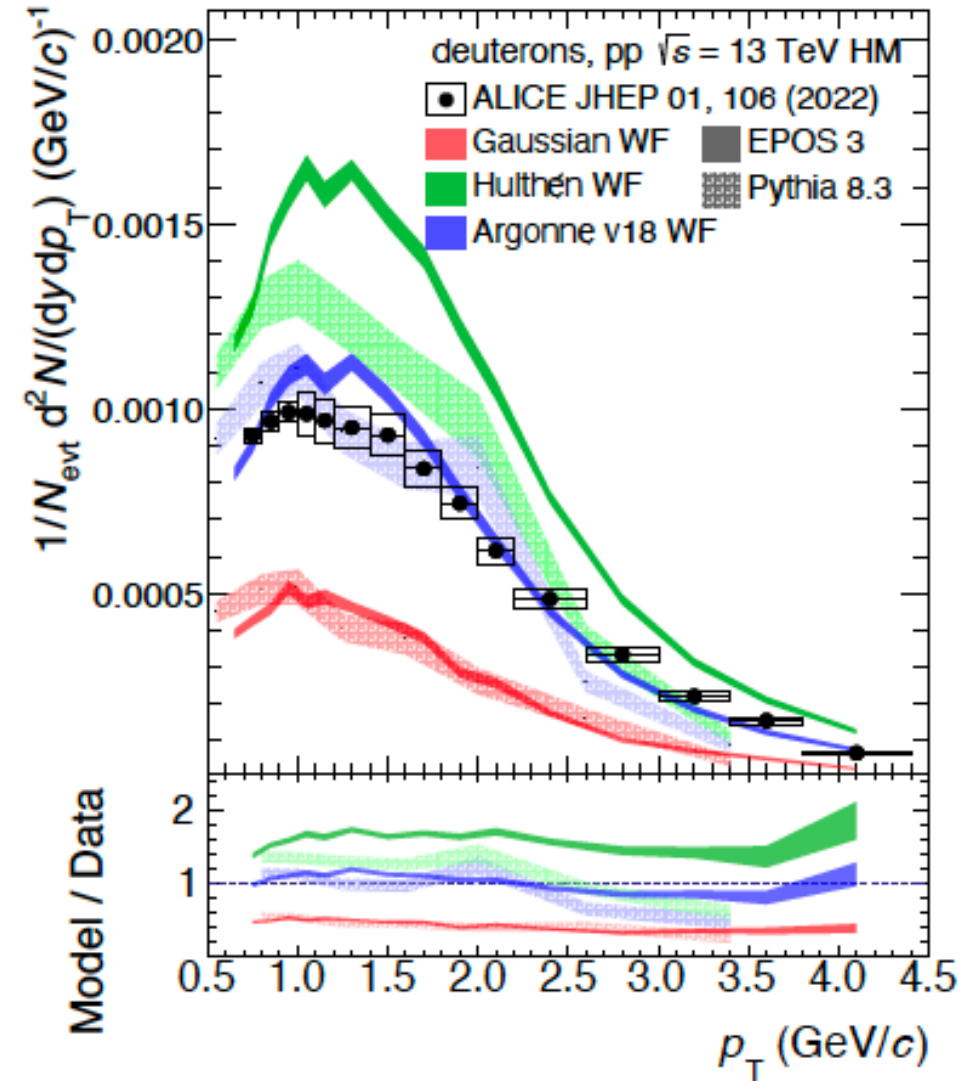
## **Mechanism:** coalescence

- for each p-n pair, the source is remodelled
- the coalescence weight is computed
- coalescence is implemented as a statistical rejection method

**Output:** (anti)deuteron  $p_T$  distribution compared to ALICE data

Good agreement with data:

**given the correct source size, nucleon spectra, and a realistic wavefunction, it is possible to predict deuteron yields using no free parameters.**





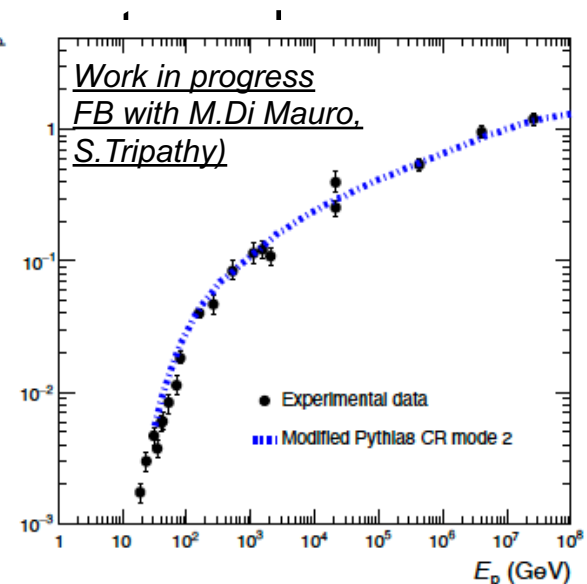
## Precision measurements of light (anti)nuclei at the LHC by ALICE

- $\sqrt{s} = 0.9$  to 13.6 TeV
  - Midrapidity ( $|y| < 0.5$ )
  - pp, p-Pb, Xe-Xe, Pb-Pb
  - *Analysis of A=3 and A=4 in pp ongoing at the LHC Run 3*
- More from LHCb in previous talk*



## Progress in modelling - one example today

- state-of-the-art coalescence model combined to measurements of the p,  $\bar{d}$   
→ **realistic model for (anti)deuteron production event-by-event**
- Ongoing developments **for astrophysical applications**
  - **tune** PYTHIA generator to reproduce  $\bar{p}$  as a function of energy
  - Improve coalescence modelling for both DM and CR production
  - Extend to A=3 (non trivial!)



**CRUCIAL EXPERIMENTAL INPUT:  $\bar{p}$  yields and spectra,  $\bar{d}$  at low energy**



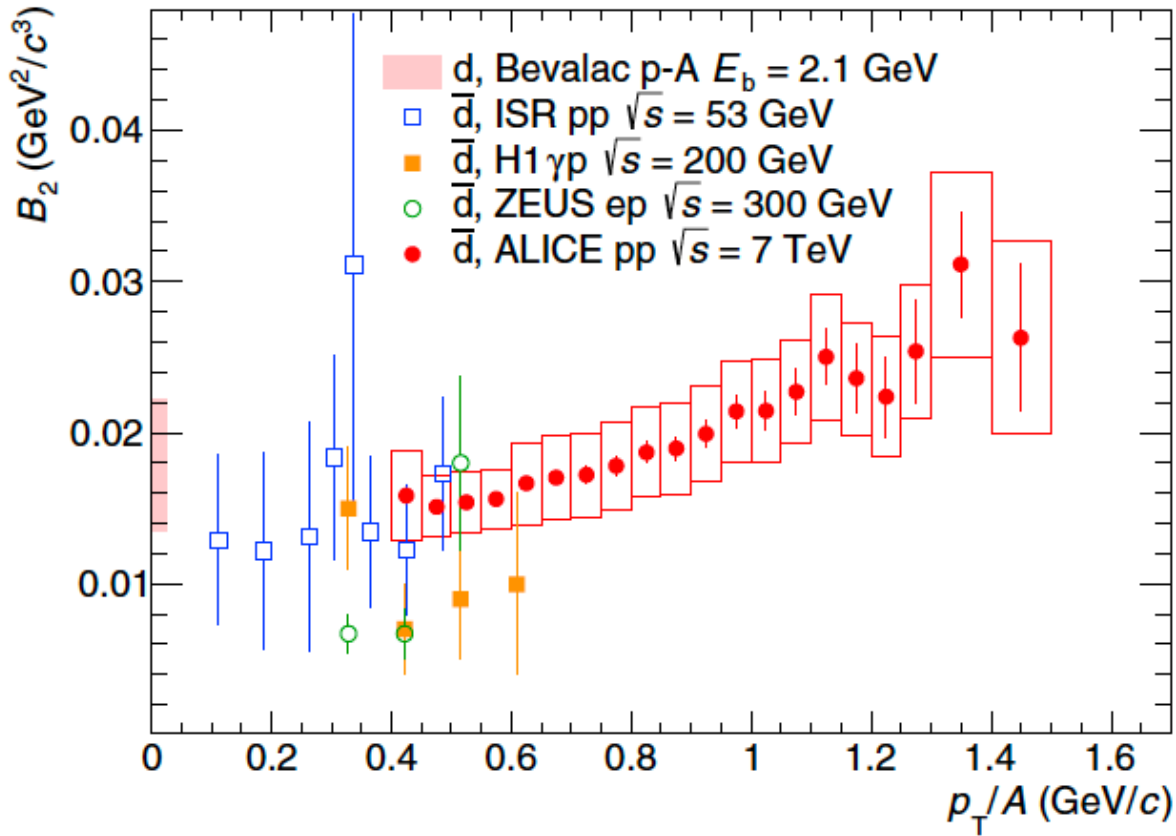


*Thank you!*

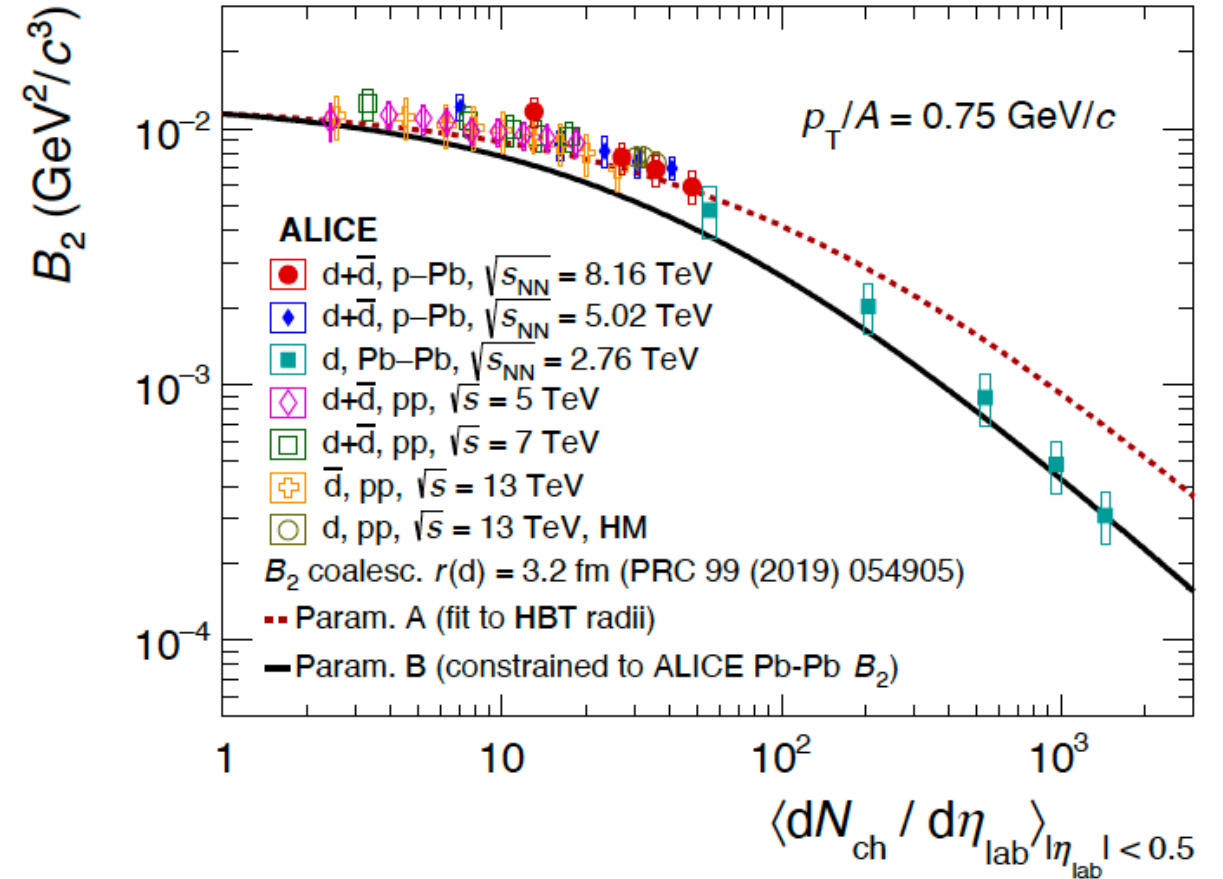
Contact: [fbellini@cern.ch](mailto:fbellini@cern.ch)



# Coalescence parameter $B_2$

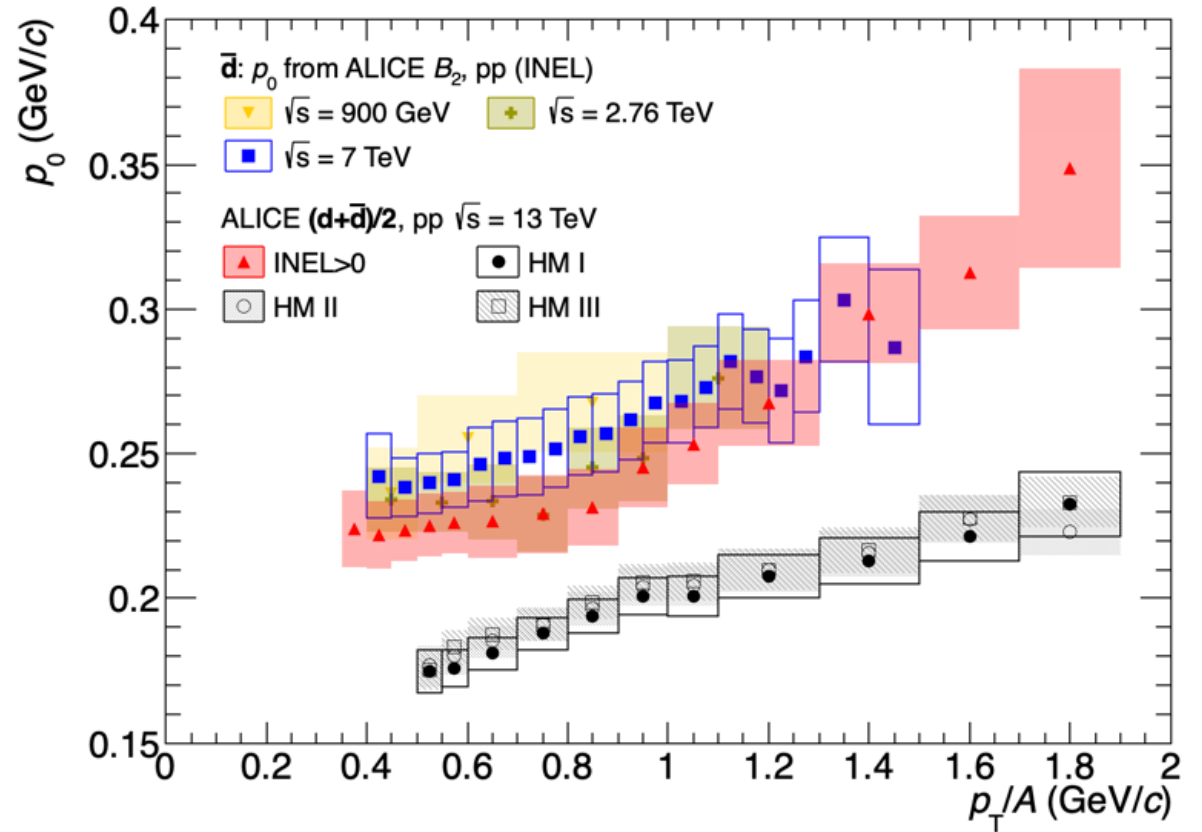
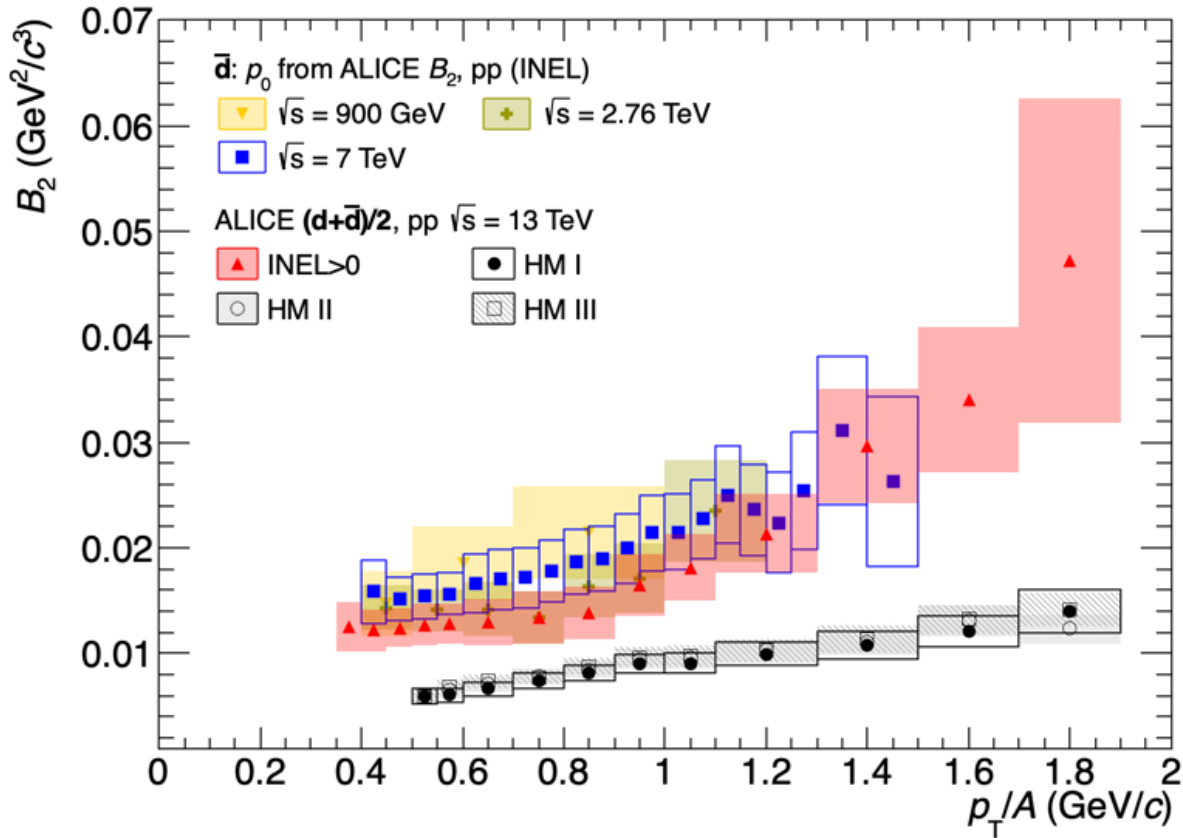


Physical Review C 97, 024615 (2018)



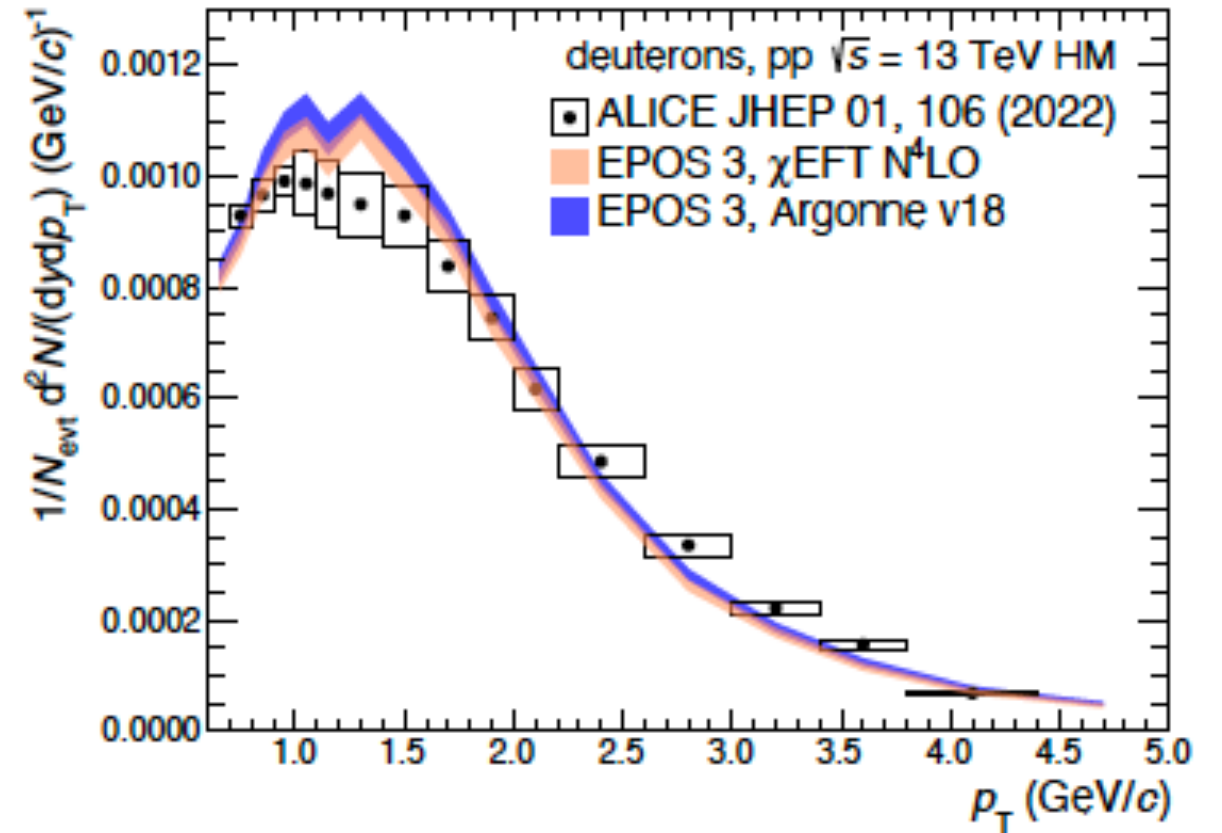
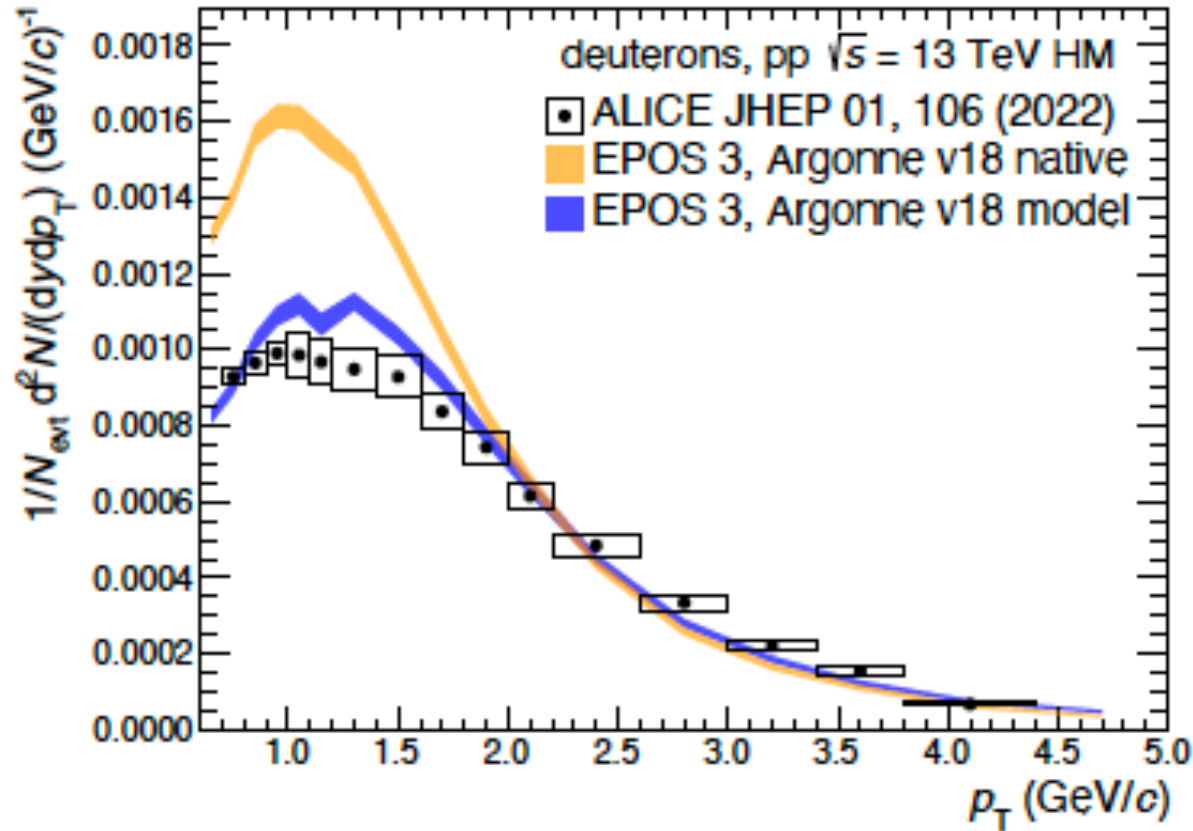
Phys. Lett. B 846 (2023) 137795

# Simple coalescence: from $B_2$ to $p_0$



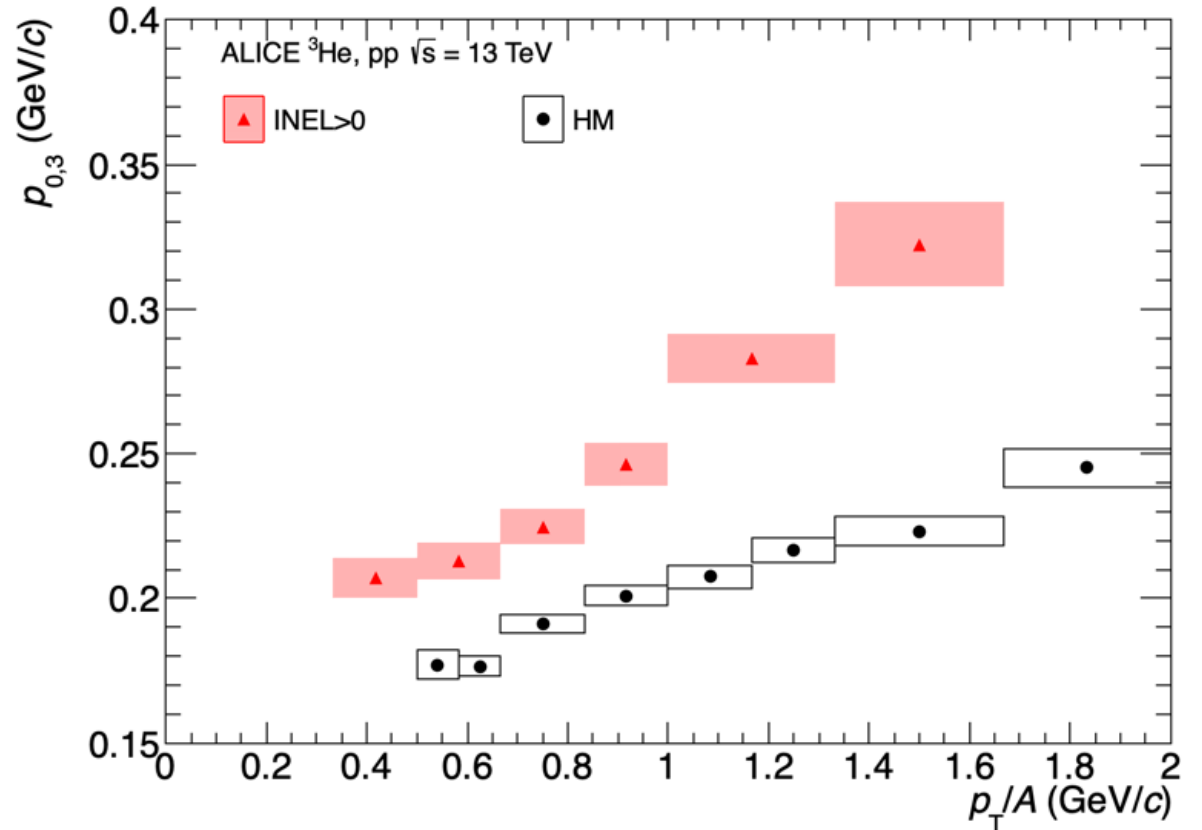
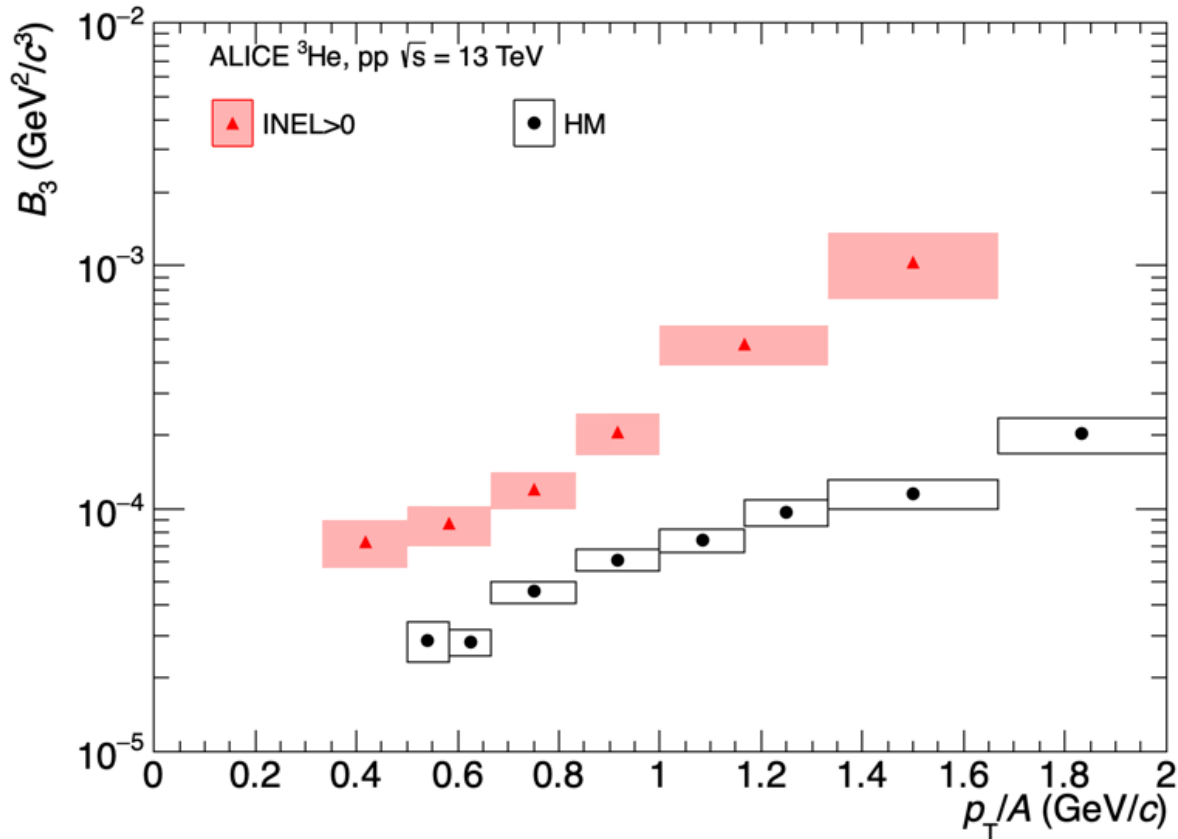
$$B_2 = \frac{m_D}{m_p m_n} \frac{\pi p_c^3}{6}$$

# Afterburner - Comparison to data for different w.f.





# Simple coalescence: from $B_3$ to $p_{0,3}$



$$B_3 = \frac{m_{\text{He}}}{m_p^2 m_n} \left( \frac{\pi}{6} p_c^3 \right)^2$$

We observe that  $p_0$  and  $p_{0,3}$  are rather similar: in this picture, the penalty factor of the  $A=3$  is dominantly due to the numerosity of final-state nucleons