

ATHEXIS

Athens, June 2024

Non-adiabatic Inflation

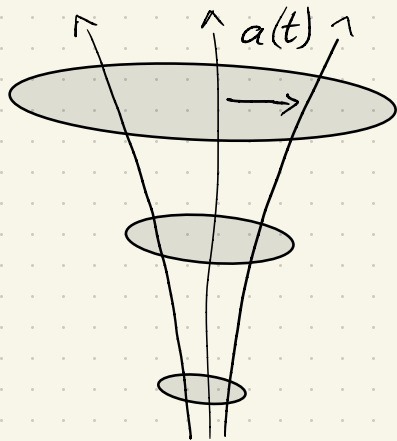
David Wands

ICG, Portsmouth

arXiv: 2311.03281 Jackson, Assadullahi, Gow, Koyama, Vennin & Wands

(and astro-ph/0101406 Leach, Sasaki, Wands & Liddle)

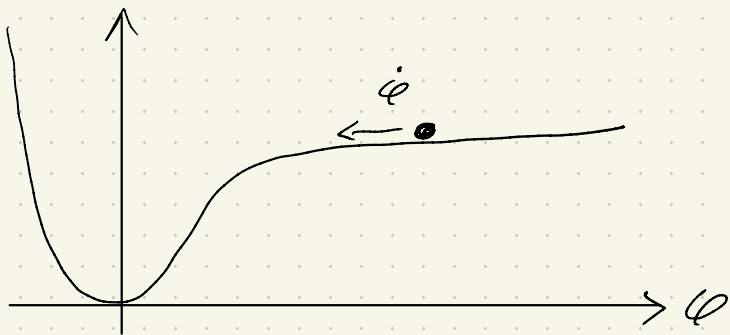
classical inflation:



FLRW
spacetime:

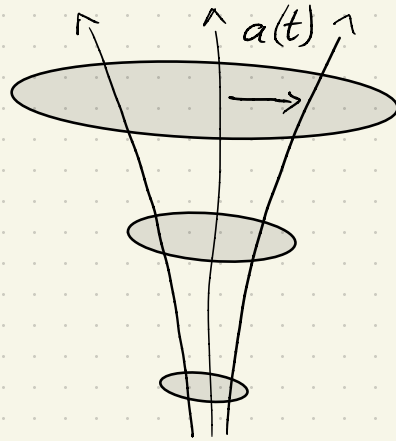
adiabatic Hubble expansion
($\Delta Q = 0$) $H = \dot{a}/a$

single scalar field, $\varphi(t)$
potential energy, $V(\varphi)$



$$\left. \begin{aligned} H^2 &= \frac{8\pi G}{3} \left(V + \frac{1}{2} \dot{\varphi}^2 \right) \\ \ddot{\varphi} + 3H\dot{\varphi} + V'(\varphi) &= 0 \end{aligned} \right\}$$

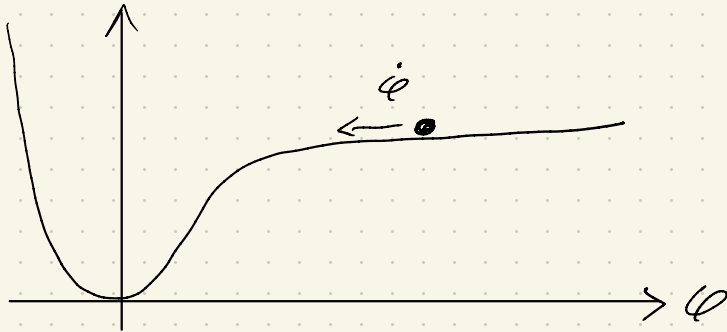
classical inflation:



FLRW
spacetime:

adiabatic Hubble expansion
($\Delta Q = 0$) $H = \dot{a}/a$

single scalar field, $\phi(t)$
potential energy, $V(\phi)$



inflation:

$$\ddot{a} = \frac{d}{dt}(aH) > 0$$

when $V > \dot{\phi}^2$

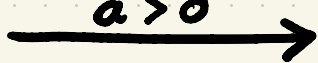
quantum fluctuations

sub-Hubble

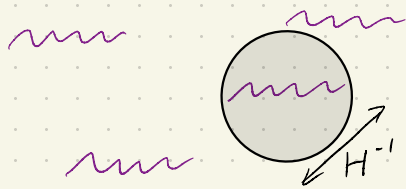
UV

$$k > aH$$

$$\ddot{a} > 0$$



underdamped oscillations
of quantum field, $\hat{\delta\phi}$



perturbative QFT

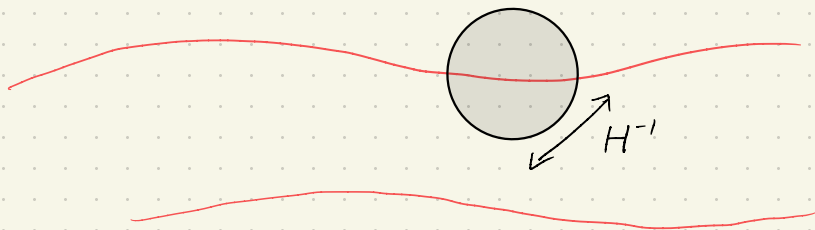
comoving waves $\propto e^{i\vec{k}\cdot\vec{x}}$

super-Hubble

IR

$$k < aH$$

overdamped squeezed state
 \approx classical random field, ϕ

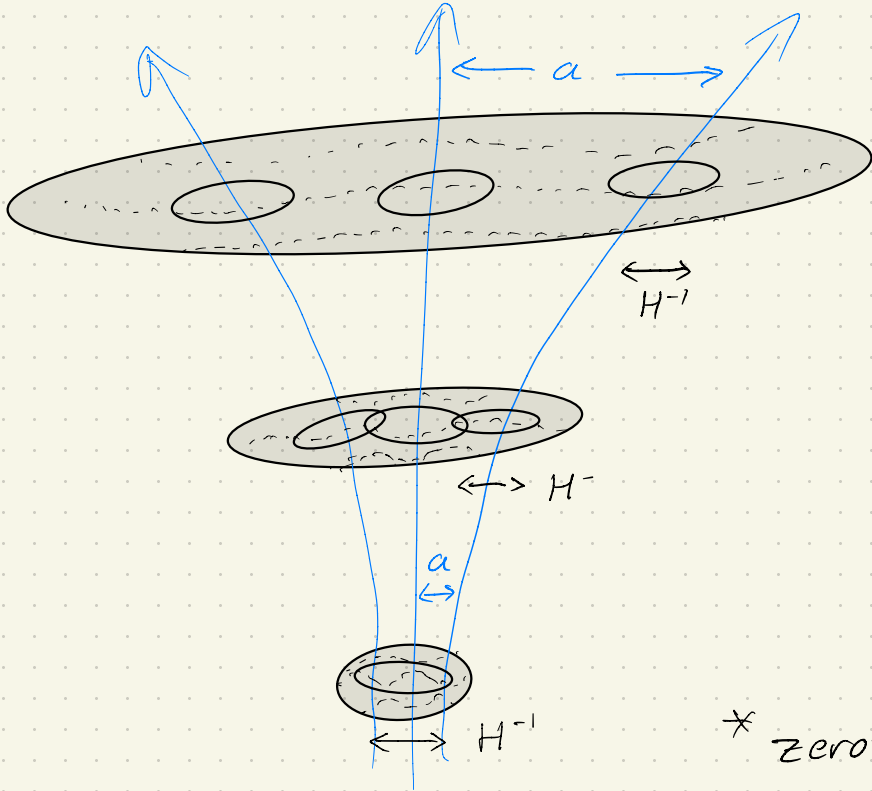


separate universe approach

non-perturbative

(stochastic, SN, etc...)

Separate universes



coarse-grained fields ($k < aH$)

follow same evolution locally
as homogeneous FLRW cosmology

$\varphi(\vec{x})$ and $H(\vec{x})$

if spatial gradients*
and anisotropy

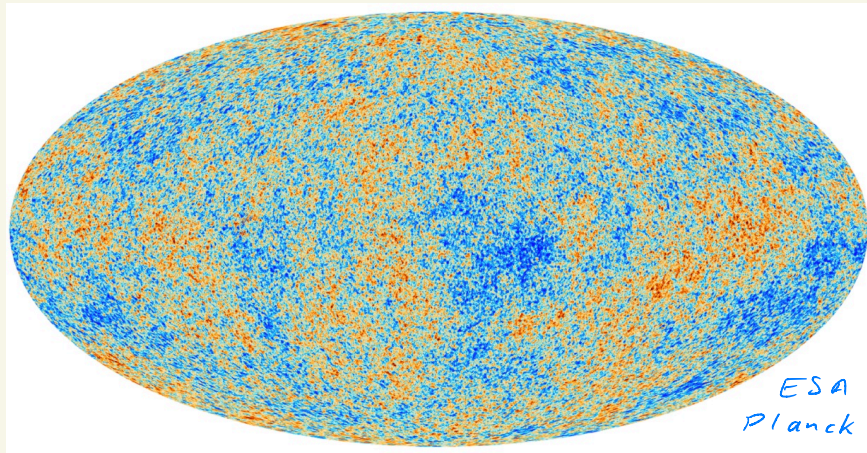
negligible

* zeroth-order in gradient expansion
Salopek & Bond (1990)

slow-roll inflation:

- **adiabatic quantum vacuum** \rightarrow **adiabatic density perturbations**

$$\langle R^2 \rangle = \left(\frac{H}{\dot{\phi}} \right)^2 \langle 0 | \delta \hat{\phi}^2 | 0 \rangle_{\text{BD}} \rightarrow \langle R^2 \rangle = \left(\frac{H}{\dot{\phi}} \right)^2 \langle \delta \rho^2 \rangle$$



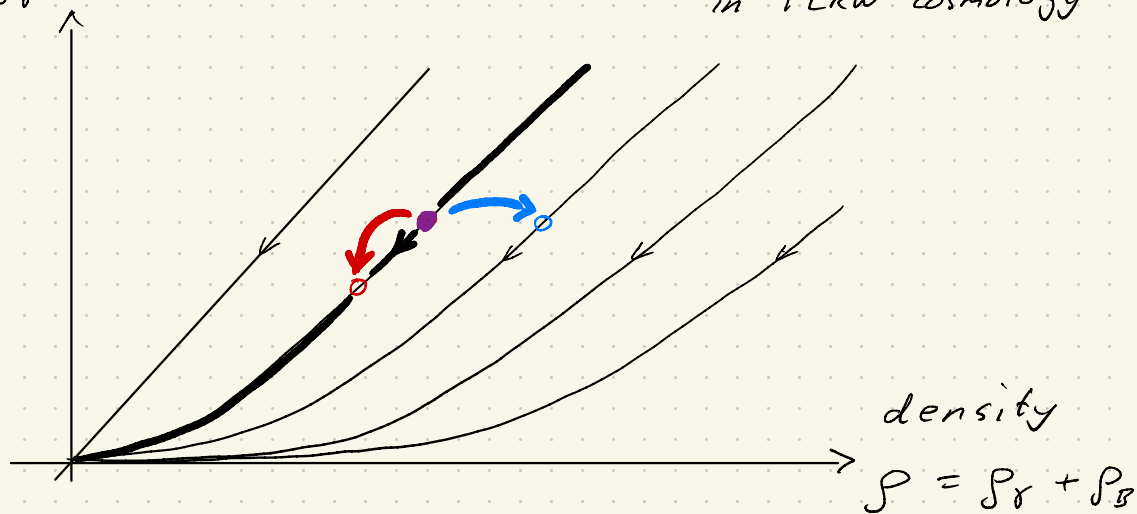
$$\frac{\Delta T}{T} \sim \frac{2}{5} R$$

pressure

$$P = \frac{1}{3} \rho_{\gamma}$$

photon - baryon phase space

in FLRW cosmology



adiabatic perturbation

$$R = H \frac{\delta P}{\dot{P}} = H \frac{\delta P}{\dot{P}}$$

$$\Rightarrow \delta \left(\frac{n_{\gamma}}{n_B} \right) = 0$$

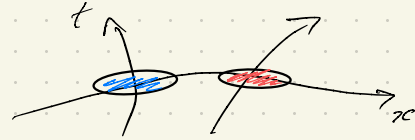
non-adiabatic perturbation

$$S = 3H \left(\frac{\delta P}{\dot{P}} - \frac{\delta P}{\dot{P}} \right)$$

a.k.a. isocurvature,
entropy perturbation.

scalar field phase space

$$\ddot{\varphi} + 3H\dot{\varphi} + \frac{dV}{d\varphi} = 0$$



two regimes

(i) quasi-equilibrium:
 $|\ddot{\varphi}| \ll |3H\dot{\varphi}|$

$$\dot{\varphi} \approx -\frac{V'}{3H} \rightarrow \text{slow roll attractor, } \dot{\varphi}(\varphi)$$

(ii) free evolution:
 $|V'| \ll |3H\dot{\varphi}|$

$$\ddot{\varphi} \approx -3H\dot{\varphi} \rightarrow \text{ultra-slow roll}$$

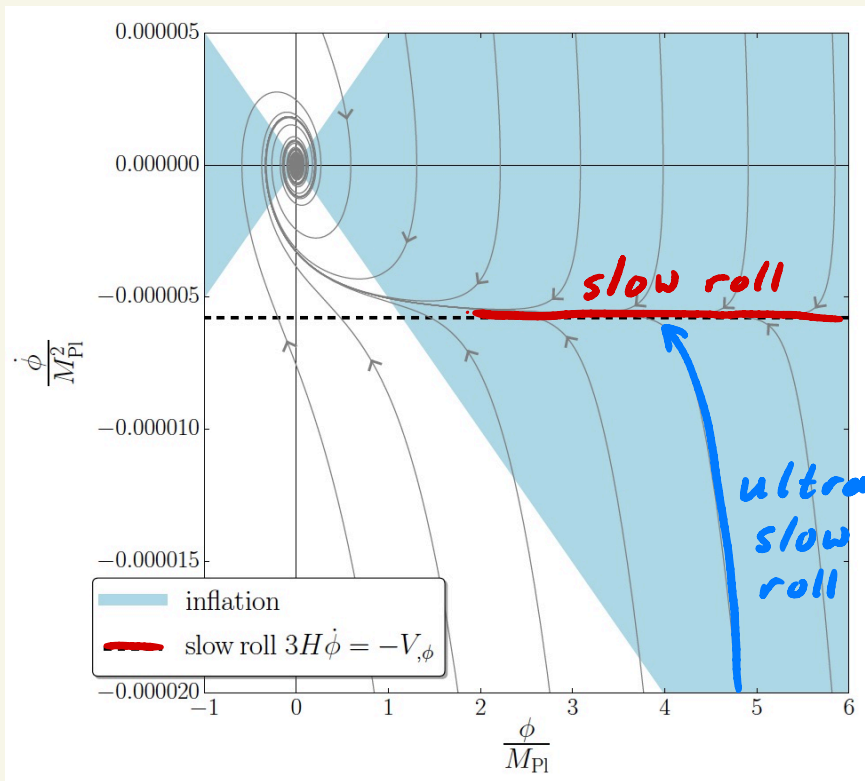
$$\varphi \approx D \int \frac{dt}{a^3}$$

transient/decaying solution
as $a \rightarrow \infty$

single-field inflation phase space

Grain & Vennin (2017)

$$V = \frac{1}{2} m^2 \phi^2$$



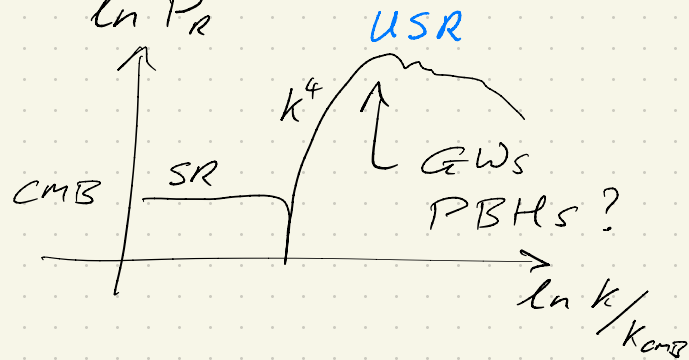
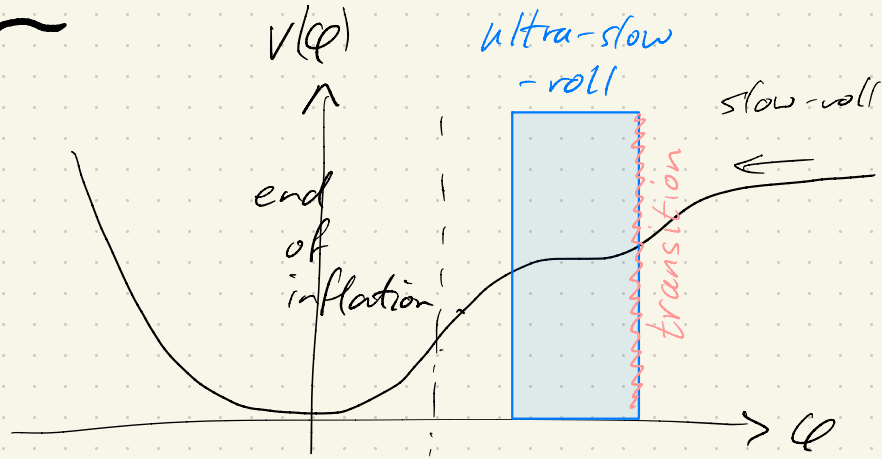
Ultra-slow-roll inflation

e.g. inflection point

primordial curvature
power spectrum

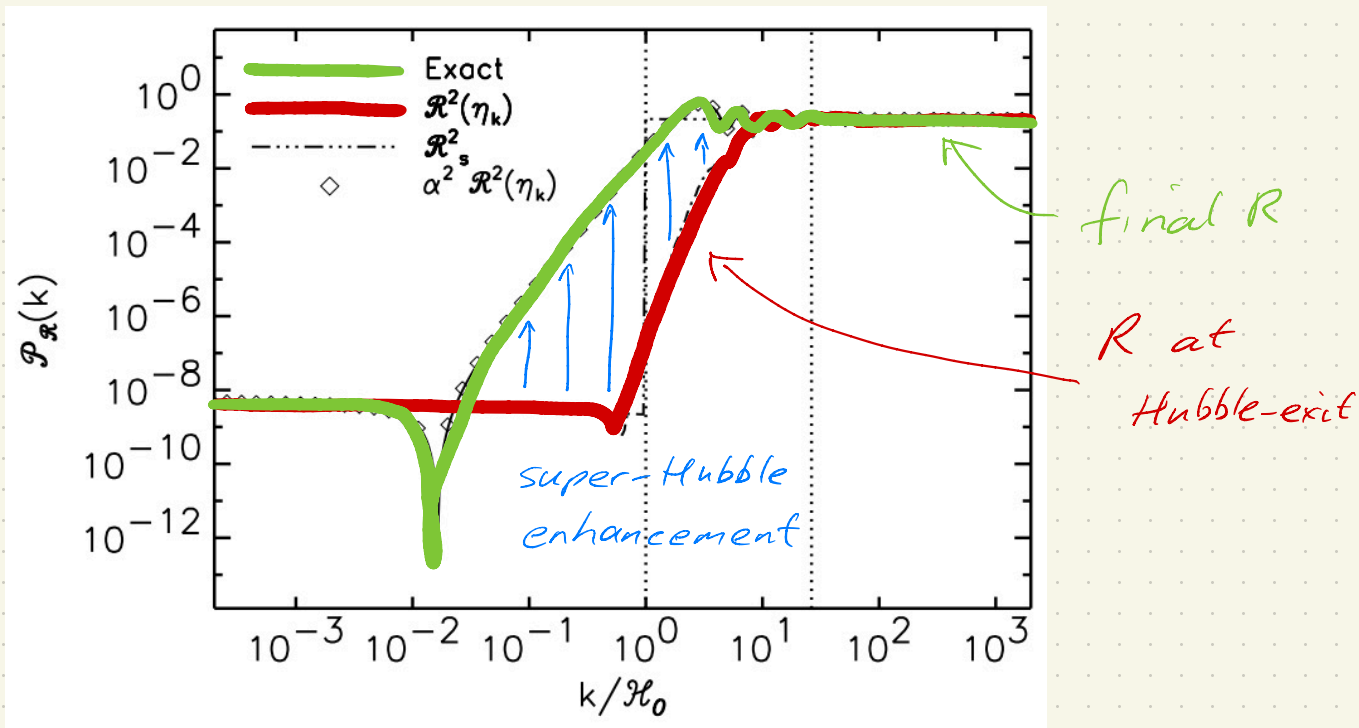
$$P_R(k) = \left(\frac{H}{\dot{\varphi}}\right)^2 P_{\text{sc}}(k)$$

↑ boosted as $\left(\frac{\dot{\varphi}}{H}\right)^2 \rightarrow 0$



Enhancement of superhorizon scale inflationary curvature perturbations *

Samuel M. Leach¹, Misao Sasaki², David Wands³ and Andrew R. Liddle¹



* linear perturbations

arXiv:astro-ph/0101406v2 21 Feb 2001

non-adiabatic pressure in single-field inflation

curvature evolution : $\dot{R} = -\frac{3H^2}{2\dot{V}} \delta P_{nad}$

FLRW cosmology : $\rho = \frac{1}{2} \dot{\varphi}^2 + V(\varphi)$, $P = \frac{1}{2} \dot{\varphi}^2 - V(\varphi)$

non-adiabatic perturbation : $\delta P_{nad} = \delta P - \left(\frac{\dot{P}}{\dot{\rho}}\right) \delta \rho$
 $= 0$ if \exists attractor $\dot{\varphi} = \dot{\varphi}(\varphi)$

Hamilton - Jacobi approach Salopek + Bond (1990)

$$\dot{R} \propto k^2 \Phi \rightarrow 0 \text{ as } k \rightarrow 0$$

finite Bardeen potential \Rightarrow attractor $H(\varphi)$ on large scales

but $\delta P_{nad} \neq 0$ in USR!

gauge dependence of large-scale limit

Artigas et al, in preparation

in longitudinal gauge

Bardeen potential $\Phi \rightarrow \Phi_0$ as $k \rightarrow 0$

$\Rightarrow \delta P_{\text{rad}} \rightarrow 0$ "

note: δP_{rad} is gauge invariant

so this is a physical restriction

in spatially flat gauge

allows $\delta P_{\text{rad}} \neq 0$ as $k \rightarrow 0$

necessary for stochastic ultra-slow roll

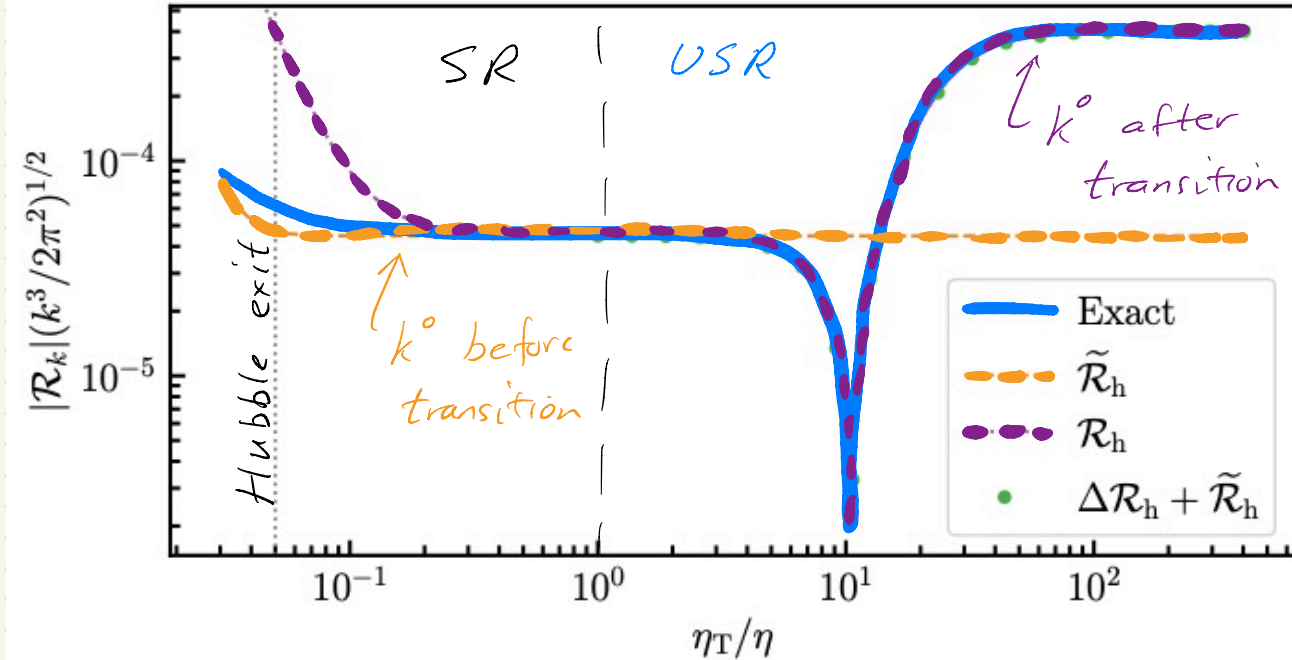
validity of separate universe approach?

stochastic inflation uses local FRW eqns on super- H scales

- ✓ **slow roll** 0^{th} -order gradient expansion sufficient
only **adiabatic** noise
- ✓ **ultra-slow roll** 0^{th} -order gradient expansion sufficient
with **non-adiabatic** noise

The separate-universe approach and sudden transitions during inflation

Joseph H. P. Jackson,^a Hooshyar Assadullahi,^{a,b} Andrew D. Gow,^a Kazuya Koyama,^a Vincent Vennin,^{c,a} David Wands^a



arXiv:2311.03281v2

* linear perturbations

validity of separate universe approach?

stochastic inflation uses local FRW eqns on super-H scales

✓ **slow roll** 0^{th} -order gradient expansion sufficient
only adiabatic noise

! **SR \rightarrow USR transition** requires 0^{th} + 2^{nd} order gradients
can only apply separate universes **piecewise**

✓ **ultra-slow roll** 0^{th} -order gradient expansion sufficient
with non-adiabatic noise

challenges for stochastic USR inflation

- Bunch-Davies vacuum not valid after transition (Pattison et al 2021)
- Hamilton-Jacobi, $H(\mathcal{P})$ attractor, (Rigopoulos & Wilkins, 2022)
 - cannot describe non-adiabatic modes on super-Hubble scales
- waiting until transients decay ("frozen noise", Tomberg 2023)
 - cannot model quantum diffusion during USR phase
- allow **non-adiabatic noise** (non BD vacuum) **in USR**
 - + **discrete stochastic kick at transition** (non-Markovian?)
 - Jackson et al, in progress
- full numerical GR? Launay et al 2024

conclusions:

sudden transitions \rightarrow non-adiabatic inflation
(diabatic?)

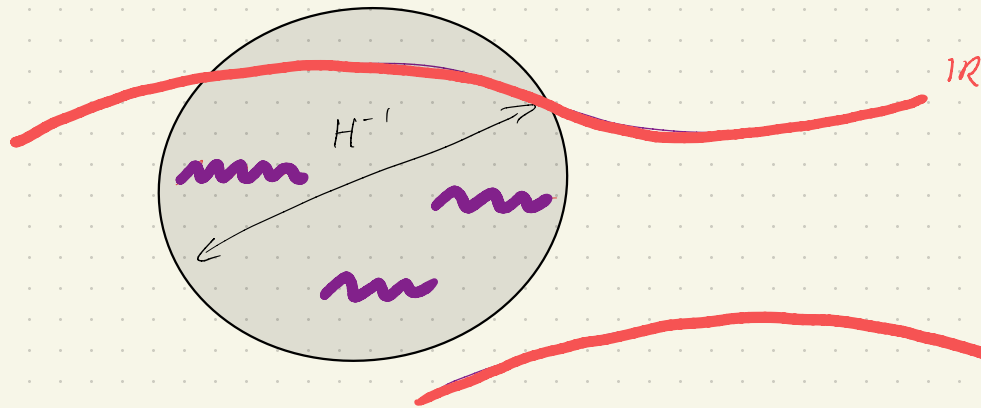
- particle production on sub-Hubble scales
- non-adiabatic perturbations on super-Hubble scales
 - \rightarrow enhanced density perturbations

challenging to model non-perturbative fluctuations

most nonlinear studies invoke large scale limit
but gradient terms important at transition ---

Stochastic inflation

- effective theory for quantum fields in inflating spacetime
- stochastic behaviour emerges from coarse-graining at Hubble scale
 $H = \dot{a}/a$



inflation: $\ddot{a} > 0$
 $\Rightarrow \frac{d}{dt}(aH) > 0$

noise: $\langle \hat{\xi}_\varphi^2 \rangle \sim \frac{d \ln \tilde{k}}{dN} \times P_{\delta\varphi}(\tilde{k})$, $\tilde{k} \approx aH$

Stochastic Ultra-Slow-Roll Inflation

Pattison et al (2021)

UV : linear mode functions on sub-Hubble scales

stochastic noise* as modes cross coarse-graining scale

IR : coarse-grained field obeys Langevin equations
for \mathcal{Q} and $\Pi = a^3 \dot{\mathcal{Q}}$

$$\frac{d\mathcal{Q}}{dN} = \frac{\pi}{a^3 H} + \int \hat{\mathcal{M}}_{\mathcal{Q}}$$

$$\frac{d\Pi}{dN} = -\frac{a^3 V'}{H} + \int \hat{\mathcal{M}}_{\Pi}$$

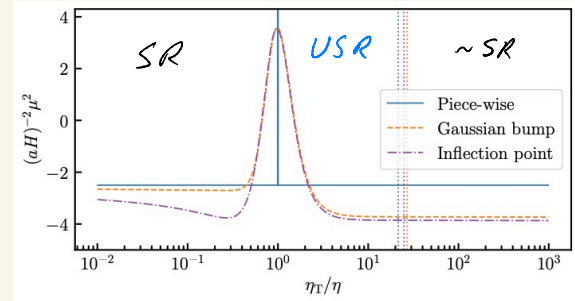
* squeezed state
→ correlated
noise
but not adiabatic
in USR

non-adiabatic transition SR \rightarrow USR

Jackson et al
(2023)

mode equation $u_k'' + \left(k^2 - \frac{z''}{z}\right) u_k = 0$

$$u_k = z R_k$$



general solution in SR / USR limit

$$\Rightarrow u_k \approx \frac{1}{\sqrt{2k}} \left\{ \alpha_k \left(1 - \frac{i}{k\eta}\right) e^{-ik\eta} + \beta_k \left(1 + \frac{i}{k\eta}\right) e^{ik\eta} \right\}$$

before transition: BD vacuum, $\alpha_k = 1$, $\beta_k = 0$

after transition: particle production on sub-Hubble scales
non-adiabatic perturbations on super-Hubble scales

$$\alpha_k \neq 0, \quad \beta_k \propto \left(\frac{k_\tau}{k}\right)^3 \quad \text{for } k \ll k_\tau$$

Classical δN

- $R = \delta N = N(\varphi + \delta\varphi) - N(\varphi)$

single kick about classical

$$N(\varphi) = \int_{\varphi_{\text{end}}}^{\varphi} H dt$$

- linear: $\delta N = \frac{H}{\dot{\varphi}} \delta\varphi$

$$\Rightarrow P_R(k) = \left(\frac{H}{\dot{\varphi}}\right)^2 P_{\delta\varphi}(k)$$

$$= \left(\frac{H^2}{2\pi\dot{\varphi}}\right)_{k=aH}^2 \quad \text{in slow-roll}$$

→ nearly scale invariant
primordial density perturbations
seen in CMB

- non-linear expansion $N = \bar{N} + N' \delta\varphi + \frac{1}{2} N'' \delta\varphi^2 + \dots$
gives perturbative non-Gaussianity

Classical δN

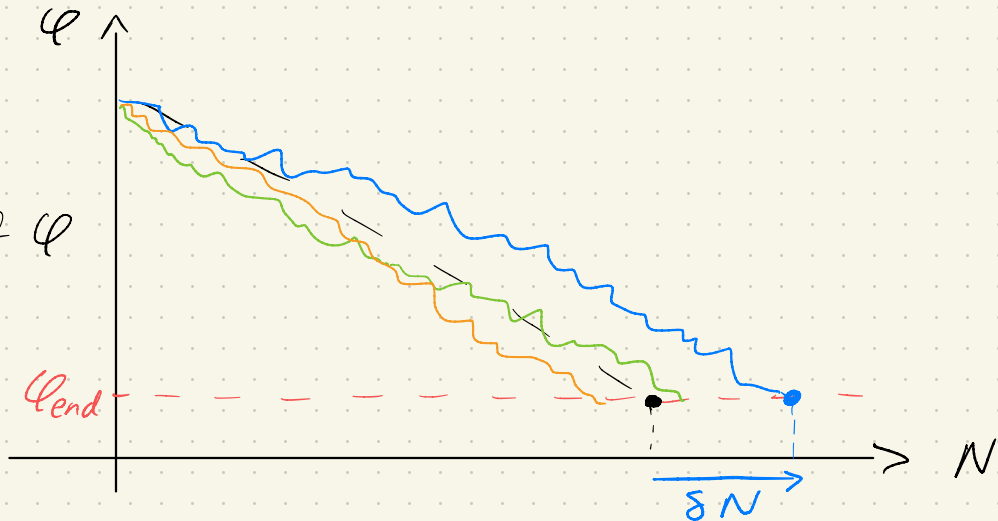
$$- R = \delta N = N(\varphi + \delta\varphi) - N(\varphi)$$

single kick about classical
 $N(\varphi) = \int_{\varphi_{\text{end}}}^{\varphi} H dt$

Stochastic δN

stochastic evolution of φ

calculate first
passage time
to cross φ_{end}



→ non-perturbative $\delta N = N - \langle N \rangle$

- large excursions in δN give rise to PBHs

e.g. Gow et al, arXiv:2211.08348