

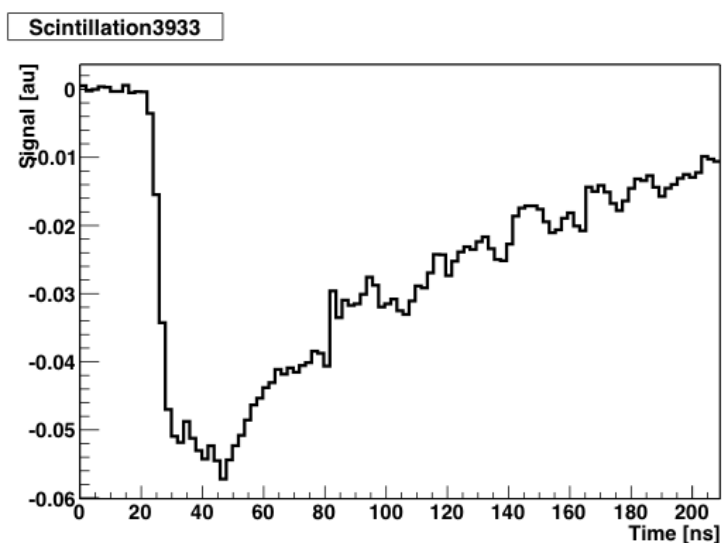
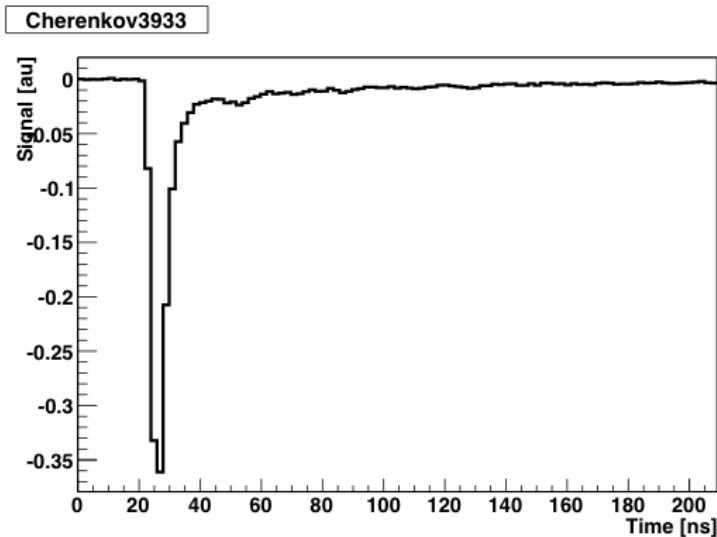
# Exploiting Cherenkov in Calorimetry

Nural Akchurin  
TTU

# Start with an Example : BSO

Six distinct features distinguish Cherenkov radiation from scintillation:

1. Directionality (Cherenkov cone,  $\theta_c = \cos^{-1}(1/\beta n(\lambda))$ , vs isotropic emission)
2. Emission/wavelength spectrum ( $1/\lambda^2$  vs scintillator specific)
3. Cherenkov threshold ( $T = (\gamma - 1)mc^2$ , for  $n=2$  electrons:  $\sim 80$  keV, protons:  $\sim 140$  MeV)
4. Timing (Prompt vs  $\sim$ several ns)
5. Polarization (linearly polarized vs unpolarized)
6. Cherenkov light is feeble (scintillation is often not)



# How (EM) Many Cherenkov Photons? (I)

We need realistic light yield estimates in order to set the scale for the calorimeter performance:

$$\frac{dE}{dx} = \frac{Z^2}{2} \left( \frac{e^2}{\hbar c} \right) \left( \frac{mc^2}{e^2} \right) \left[ \frac{(hf_1)^2 - (hf_2)^2}{mc^2} \right] \sin^2 \theta_C$$

$$400 \text{ nm} < \lambda < 700 \text{ nm} \quad n = 1.46$$

Critical values are the following:

1. 500 eV/cm in the form of Cherenkov photons
2. Consider photon energy range from 1.7 eV to 3.0 eV
3. 160 to 250 Cherenkov photons are produced per cm
4. Minimally ionizing particle loses 4.5 MeV/cm in quartz

# How (EM) Many Cherenkov Photons? (II)

Take 1 GeV electron/gamma shower in a block of quartz:

1. Assume tracks as a collection of MIPs
2.  $(1,000 \text{ MeV}/4.5 \text{ MeV/cm}) = 222 \text{ cm}$  total track length
3.  $(222 \text{ cm})(\sim 200 \text{ photons/cm}) = 44,000$  Cherenkov photons per GeV
4. Not all charged particles are above the Cherenkov threshold ( $T=190 \text{ keV}$ )
5. Cuts down to  $\sim 70\%$ , *i.e.* 31,000 photons

There are three other major factors if quartz fiber calorimeter is considered:

1. Packing fraction:  $\sim 1\%$  by volume
2. Fiber trapping efficiency: typically  $\sim 1\%$  (depending on fiber 0.5 to 3%)
3. Detection of these photons by a bi-alkaline PMT results in  $\sim 20\%$  signal
4. Therefore, we are left with  $\sim 0.62 \text{ p.e. per GeV}$

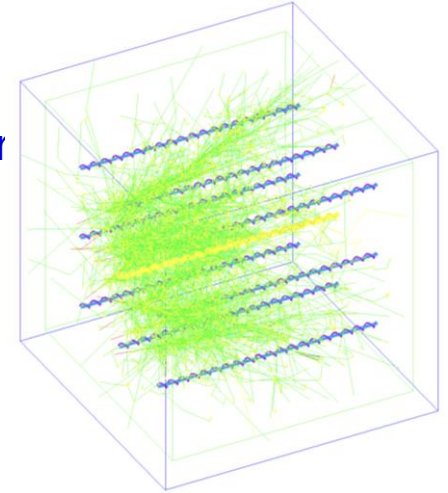
# QQ, QP, Plastic, and Air-clad Fibers

Optical fiber is an electromagnetic waveguide and light propagation through this waveguide is complex but can be solved for exactly. Depending on the refractive indices of the core and clad material and the geometry, the relevant features for calorimetry can be optimized. CMS HF hosts QP fibers.

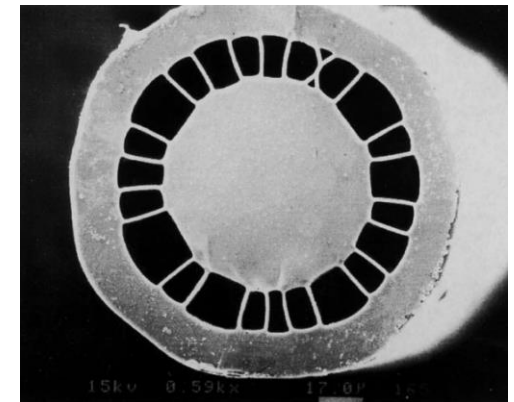
If the radiation damage were not an issue, the choice of fiber would be easy.

$$f_{\text{trap}} \approx \left( \frac{\text{NA}}{2n_{\text{core}}} \right)^2$$

$$\text{NA} \approx \sqrt{n_{\text{core}}^2 - n_{\text{clad}}^2}$$

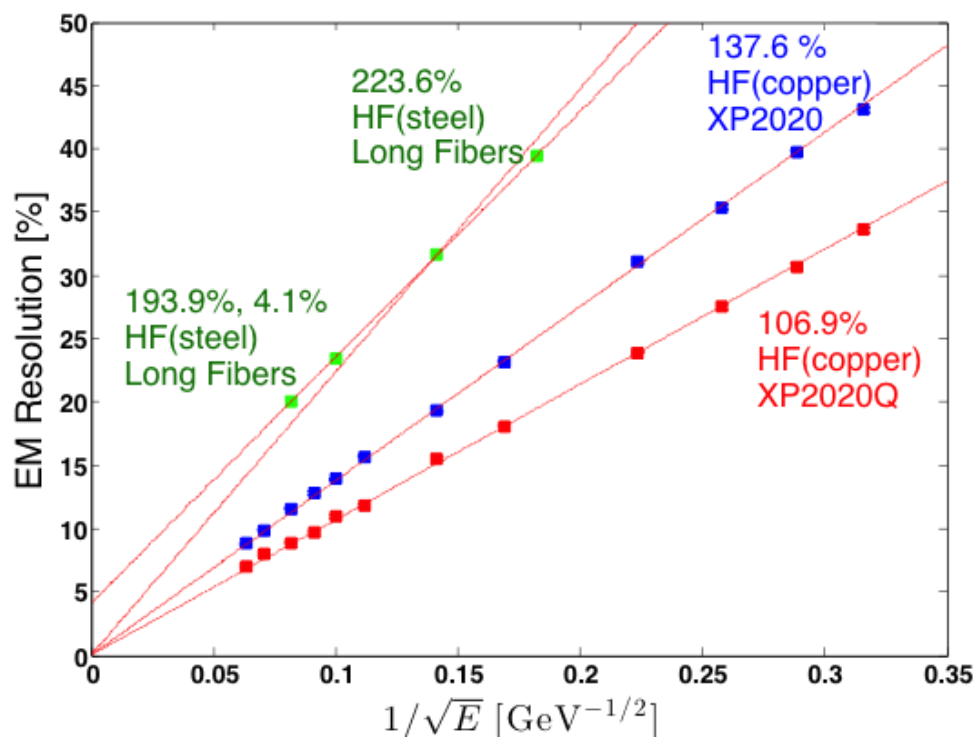


	QQ	QP	Plastic	Air-clad
NA	0.22	0.37	0.55	~0.9
$n_{\text{core}}$	1.46	1.46	1.5	1.46
$f_{\text{trap}}$	0.57%	1.61%	3.36%	9.5%



Plastic (PS) NA = 0.72 but suffers from short attenuation length ( $\lambda_{\text{att}} \sim 3 \text{ m}$ )

# QQ, QP and Plastic Fibers and EM Energy Resolution -



EM energy resolution is essentially scales with  $1/\sqrt{E}$ , thus it is dominated by Poisson photoelectron statistics in quartz fiber calorimeters

The constant term arises from non-uniformities in packing fractions (see CMS HF(steel), when summed linearly, it is  $\sim 4\%$ )

At 100 GeV, practically achievable energy resolutions range 10% to 23% with quartz fibers alone

# QQ, QP and Plastic Fibers and EM Energy Resolution - II

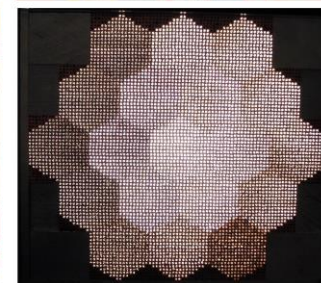
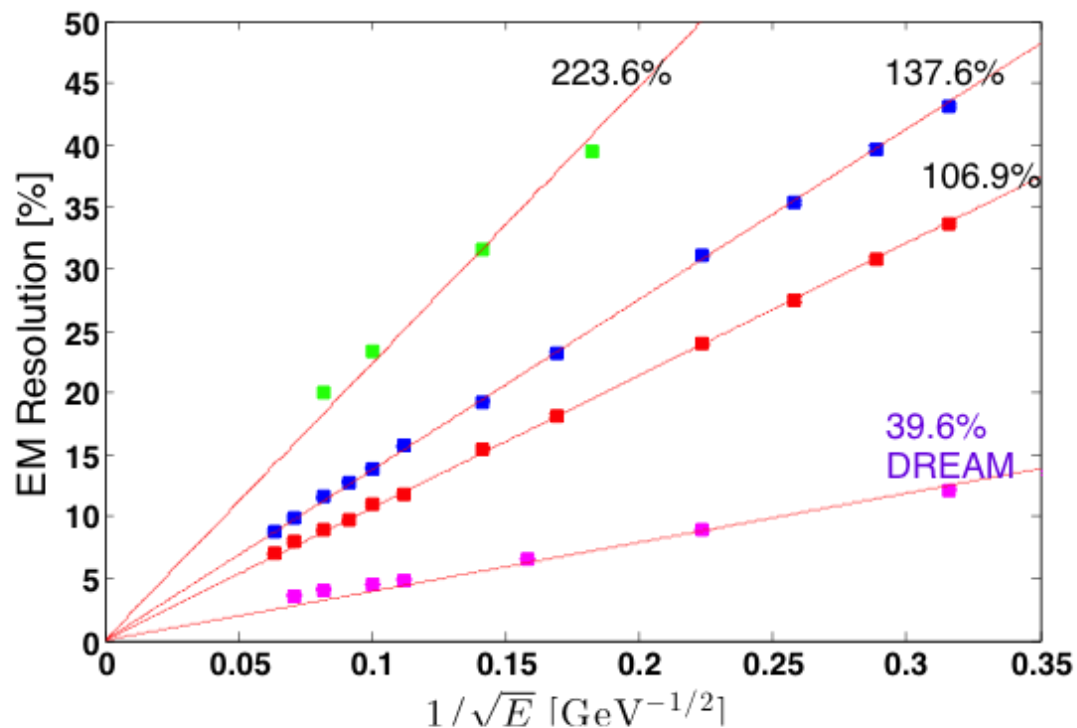
Calorimeter	Fiber	Packing	Fiber-to-fiber dist (mm)	Fiber core dia (um)	Light yield (p.e./GeV)
CMS HF(copper)	QQ	1.5%	2.3	300	0.53
CMS HF(steel-L)	QP	0.57%	~7.1	600	0.25
DREAM	QP	12.6%	~4	600	8
	P	12.6%	~4	600	18

Scaling approximately holds (ignore other contributions for now). For example,

CMS HF(copper) to DREAM QP:  $(12.6/1.5)(1.61/0.57)(0.53)=12$  vs 8

CMS HF(steel-L) to DREAM QP:  $(12.6/0.57)(0.25)=6$  vs 8

# QQ, QP and Plastic Fibers and EM Energy Resolution - III

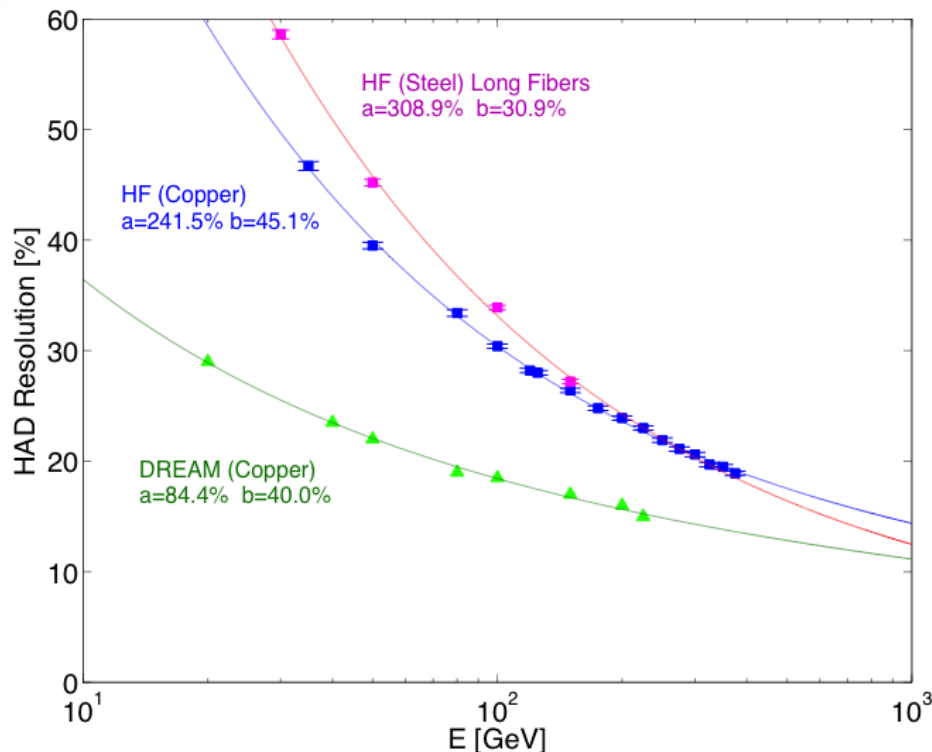


40%/sqrt{E} EM resolution is clearly achievable with Cherenkov photons and can be further improved (perhaps 15-20%/sqrt{E}) in several ways:

1. Improve NA or  $f_{\text{trap}}$  ( $\sim 2x$ ) [new optical structures...]
2. Improve QE ( $\sim 2x$ ) [SiPMs vs PMTs ...]
3. Increase packing fraction (see RD52 prototypes)
4. Improve sampling frequency



# Quartz Fiber/Cherenkov Hadronic Energy Resolution



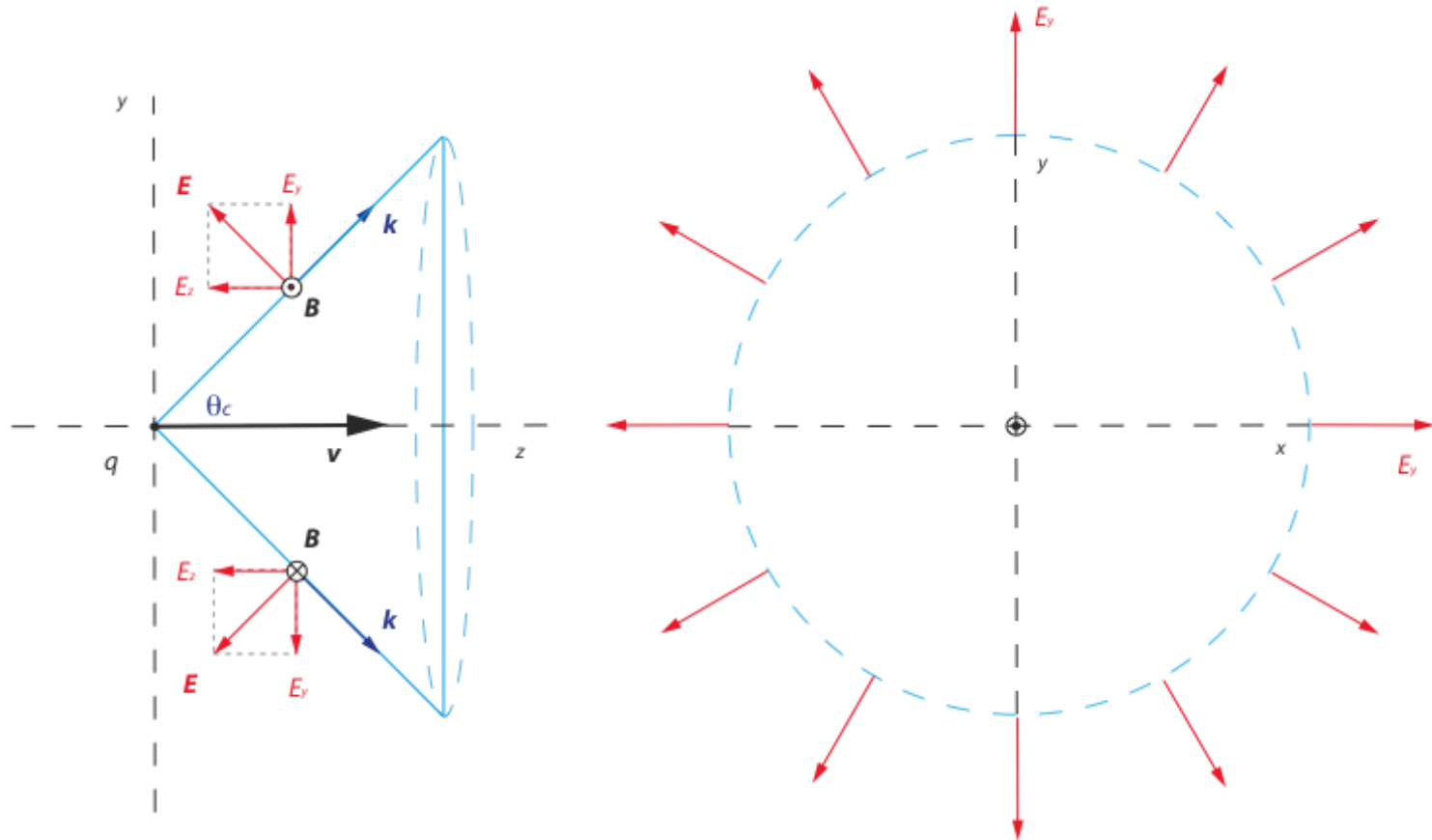
$$\left(\frac{\sigma}{E}\right) = \frac{a}{\sqrt{E}} \oplus b \left(\frac{E}{E_0}\right)^c$$

For illustration purposes,  
assume  $c = -0.18-19$   
and  $E_0 = 0.7 \text{ GeV}$

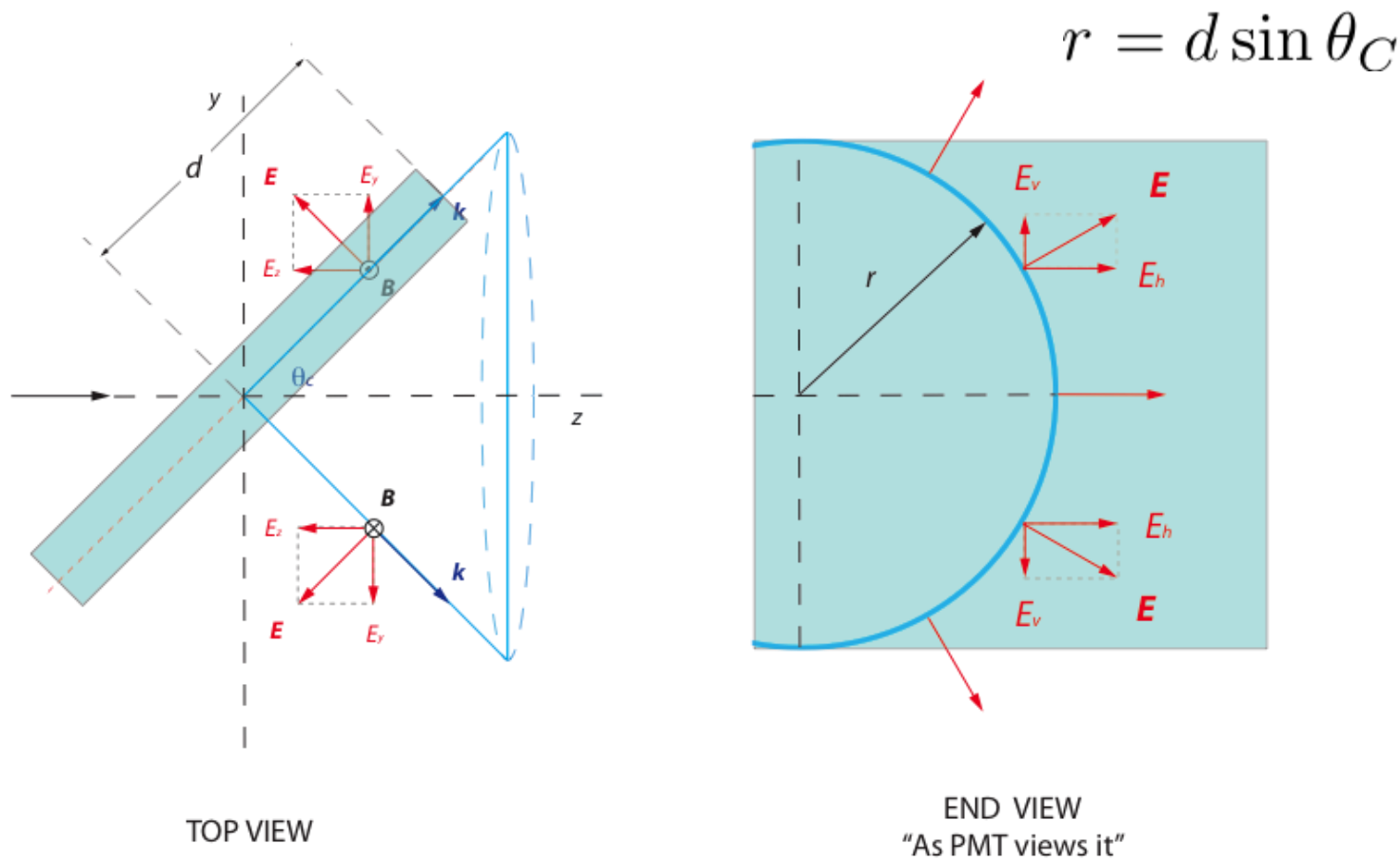
Hadronic energy resolution of highly non-compensating calorimeters behaves significantly differently than typical scintillation-based calorimeters

At high energies ( $\sim 1 \text{ TeV}$ ), most quartz Cherenkov calorimeters will perform similarly but at low energies, the situation is more complicated

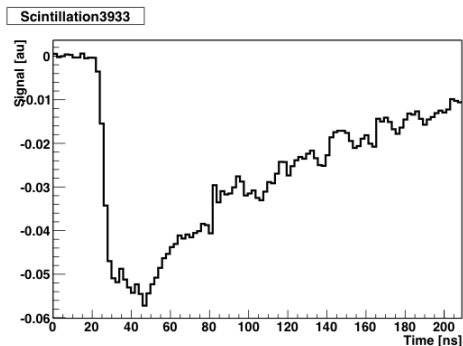
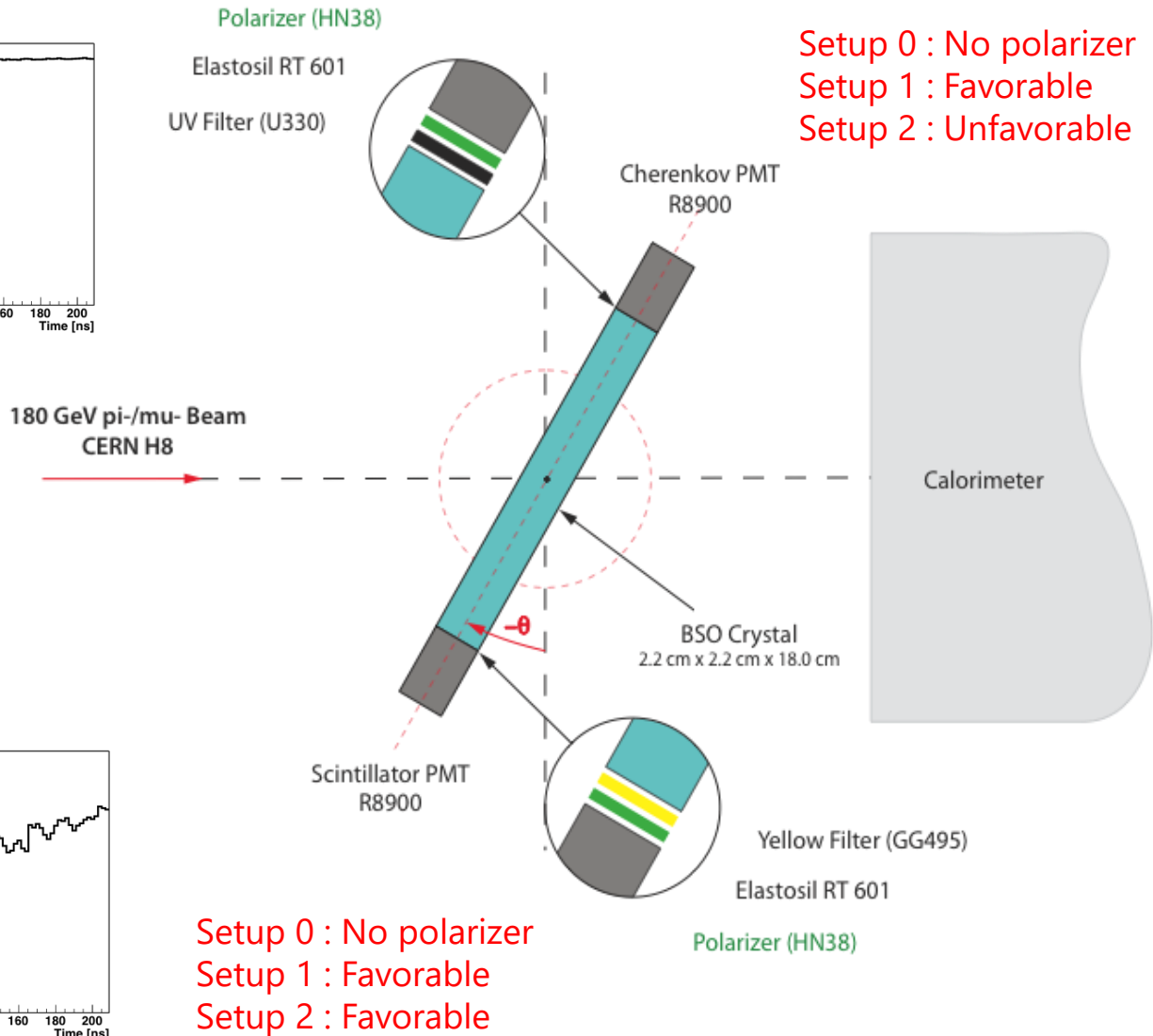
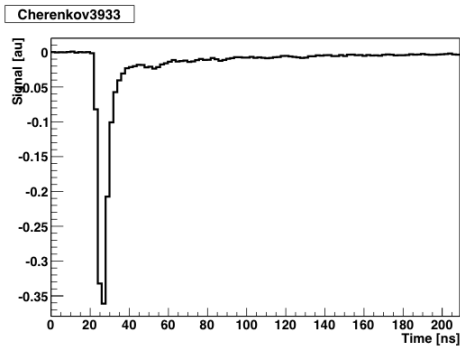
# Cherenkov Radiation and Polarization - I



# Cherenkov Radiation and Polarization - II



# Measuring Cherenkov Polarization in Three Steps



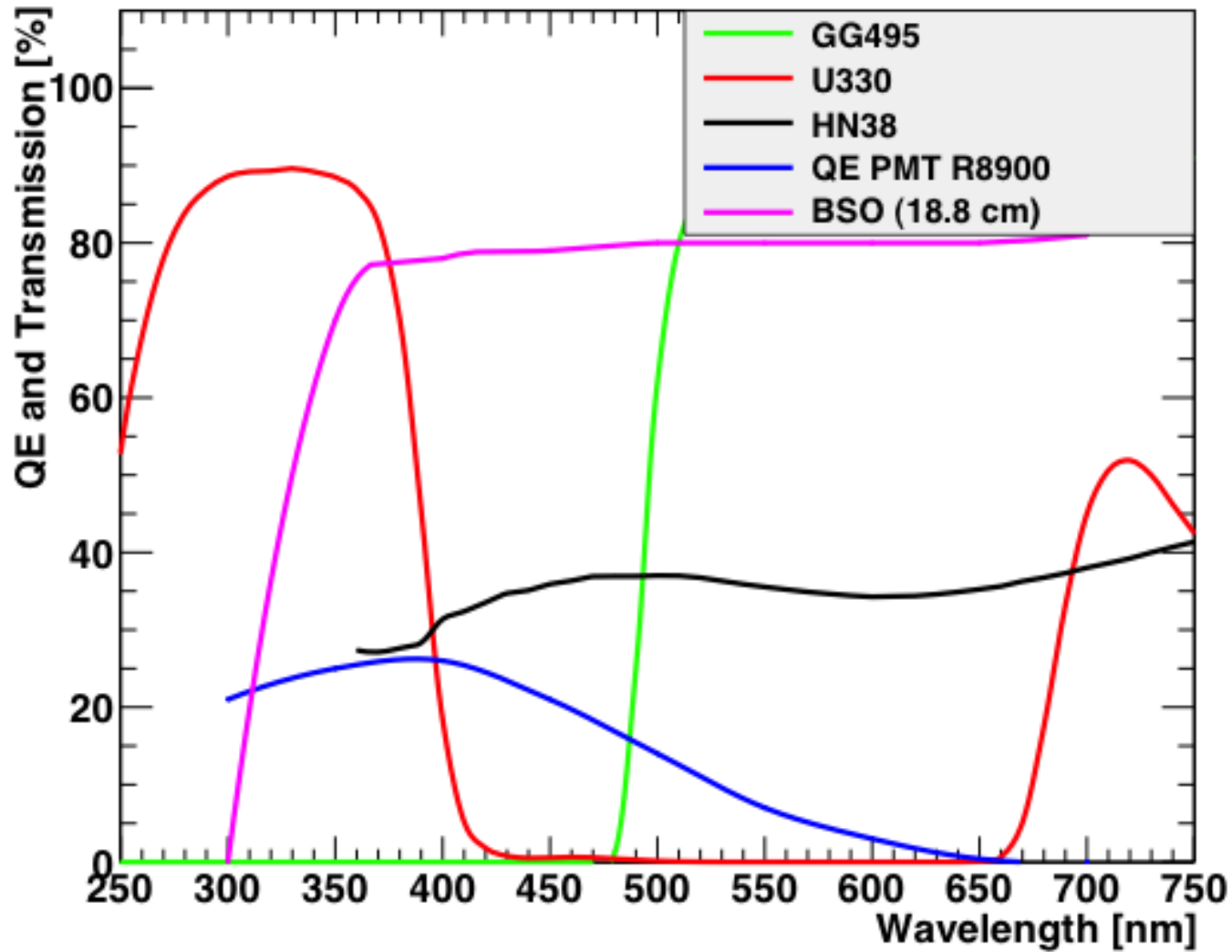
# BSO ( $\text{Bi}_4\text{Si}_3\text{O}_{12}$ ) Crystal - I

	<b>BSO</b>	<b>BGO</b>	<b>PWO</b>
Density ( $\text{g/cm}^3$ )	6.80	7.13	8.28
Radiation length (mm)	11.5	11.2	8.9
Decay time (ns)	~100	~300	~10
Peak emission (nm)	480	480	410-500
Relative light output	0.04	0.1	0.01
Refractive index	2.06	2.15	2.20
Cherenkov angle ( $^\circ$ )	61	62	63

Any transparent medium with a refractive index will generate Cherenkov light when a relativistic charged particle traverses it. BSO crystal is used as an example because the spectral characteristics proved convenient.

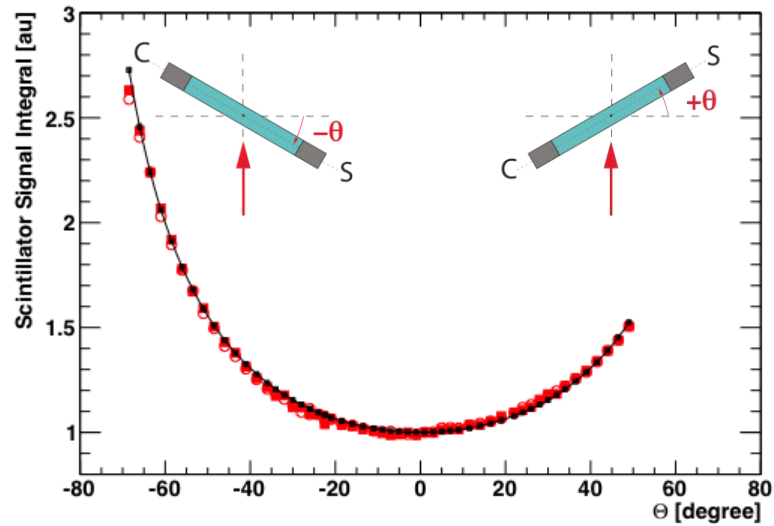
# BSO ( $\text{Bi}_4\text{Si}_3\text{O}_{12}$ ) Crystal - II

Filter Transmission and QE Curves

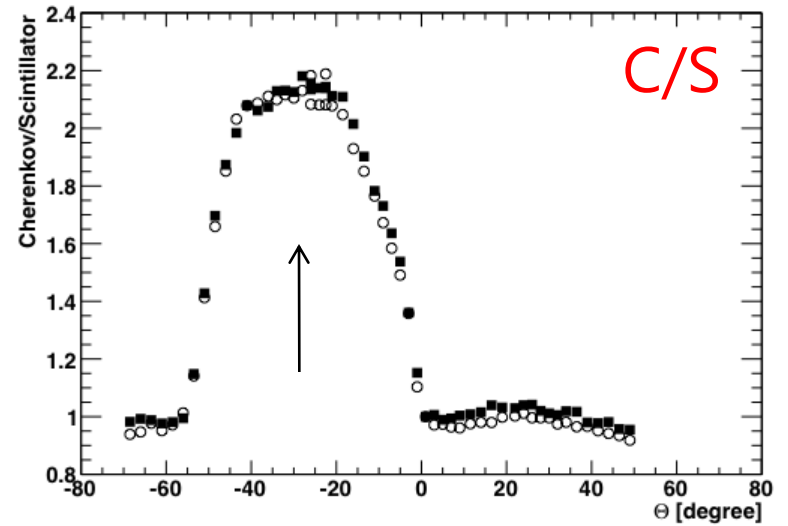


# Setup 0 : No Polarizers

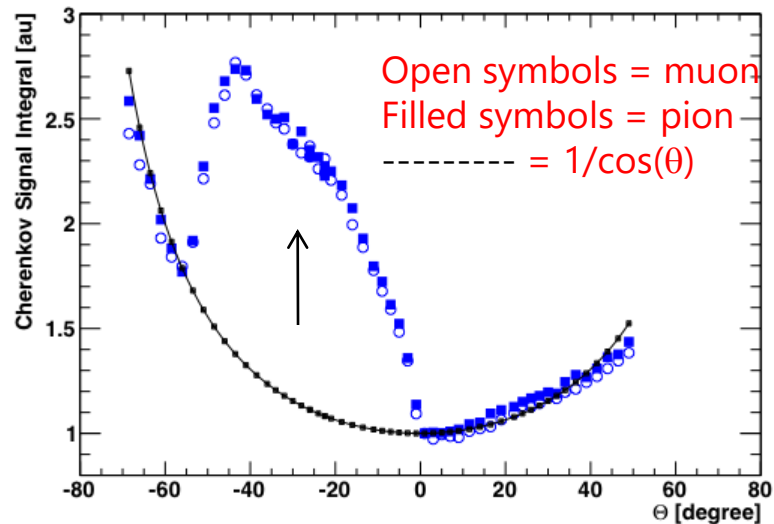
SETUP0 Scintillator



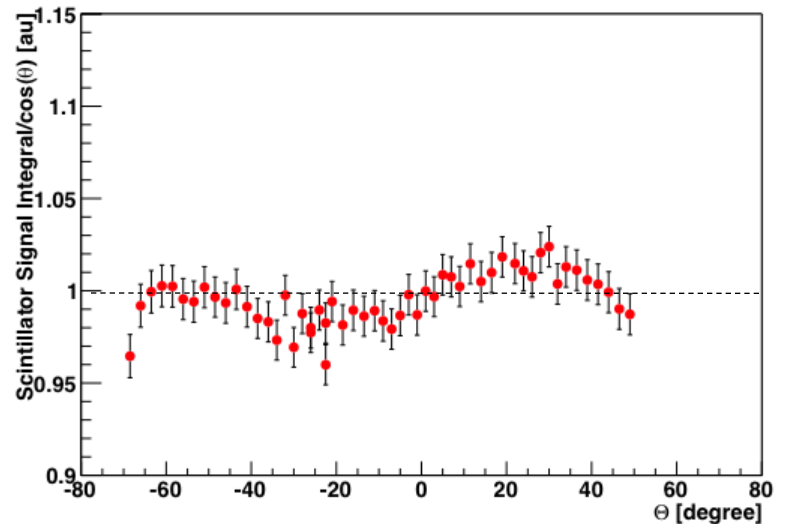
SETUP0 Cherenkov over Scintillator



SETUP0 Cherenkov



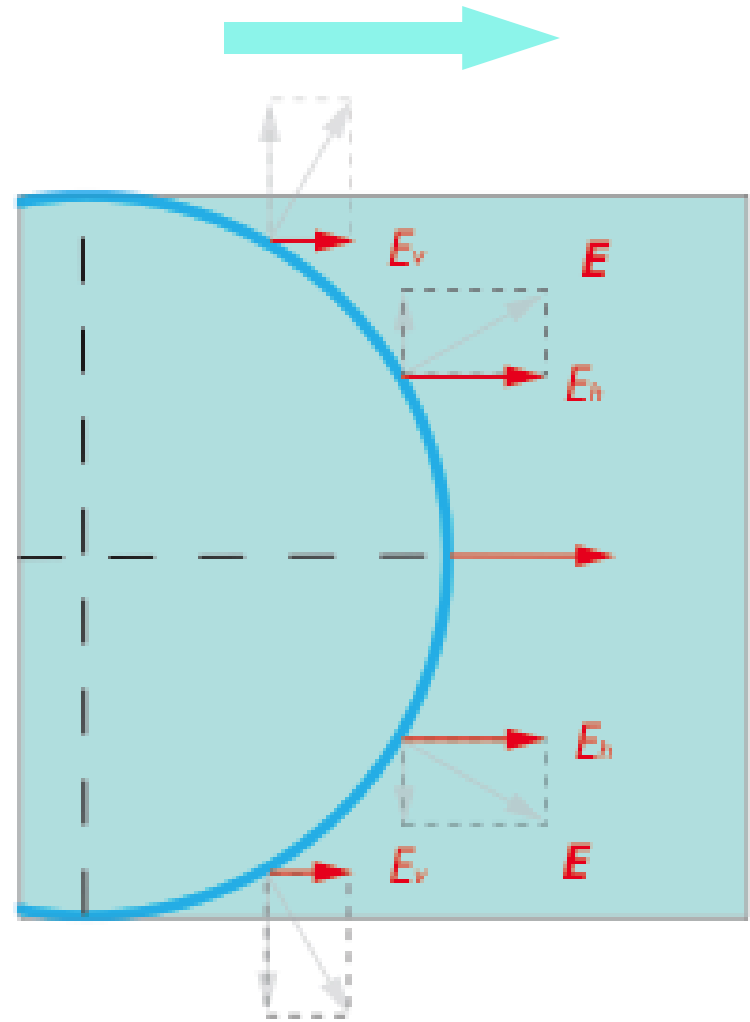
SETUP0 Scintillator



# Setup 1 : Favorable Polarization Selection

The polarizers at both Cherenkov and scintillation side are oriented such that the horizontal components are transmitted as shown on the right.

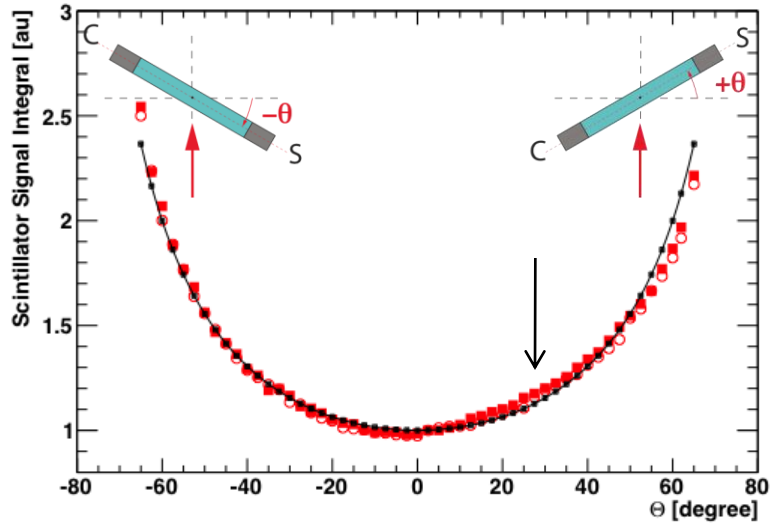
The vertical components are blocked.



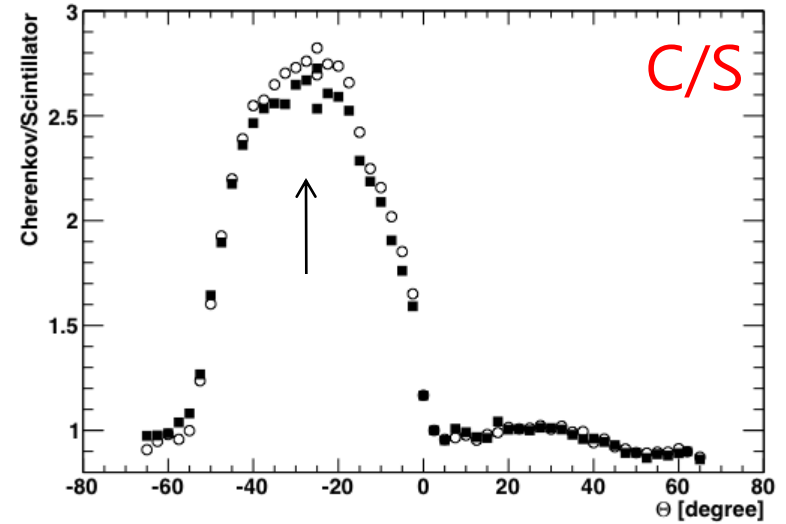


# Setup 1 : C (Favorable) & S (Favorable)

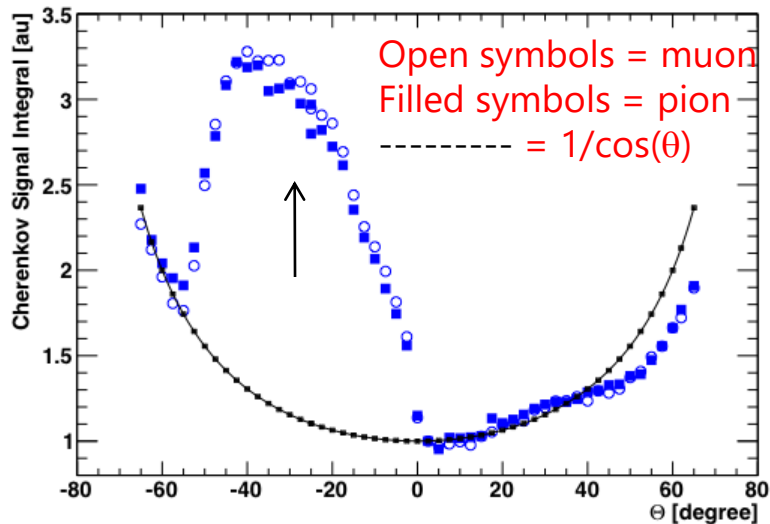
SETUP1 Scintillator



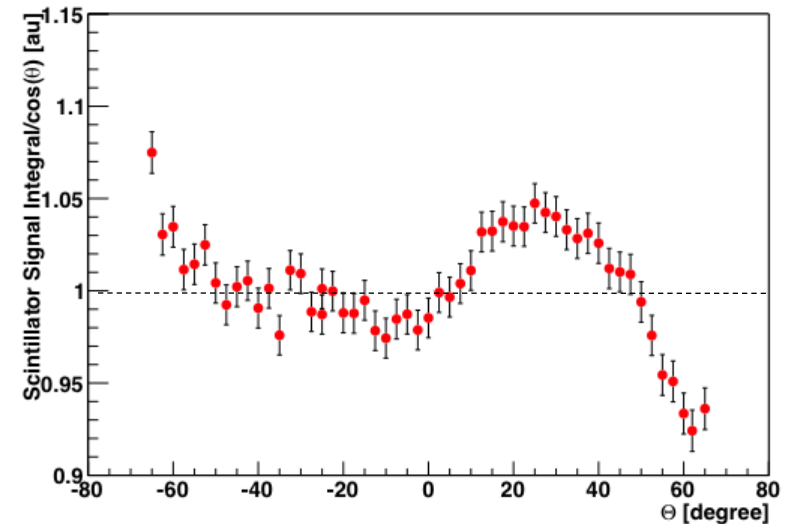
SETUP1 Cherenkov over Scintillator



SETUP1 Cherenkov



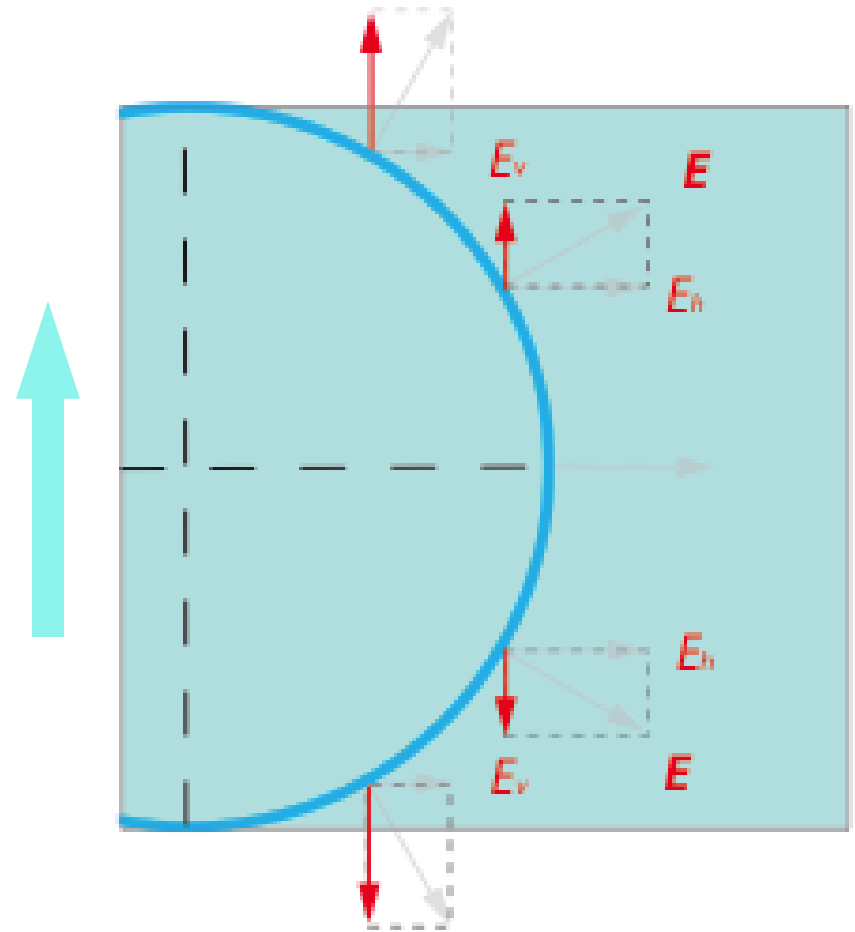
SETUP1 Scintillator



# Setup 2 : Unfavorable Polarization Selection

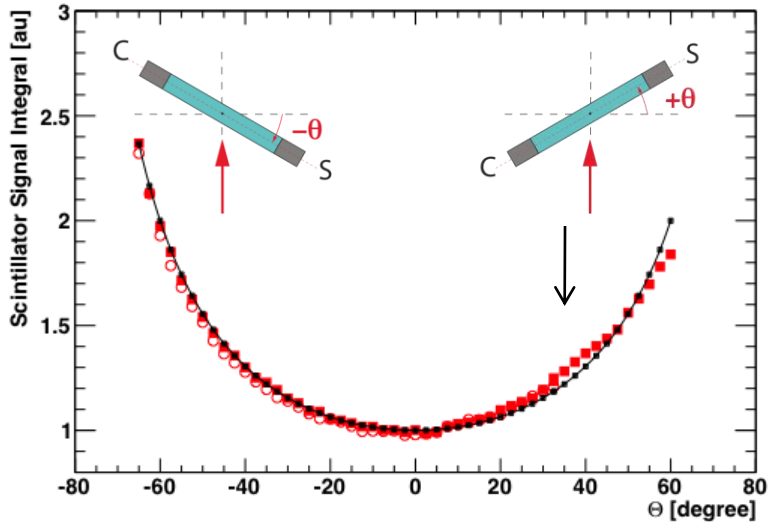
The polarizer at Cherenkov side is oriented such that the vertical components are transmitted which on average add to zero as shown on the right.

The horizontal components are blocked.

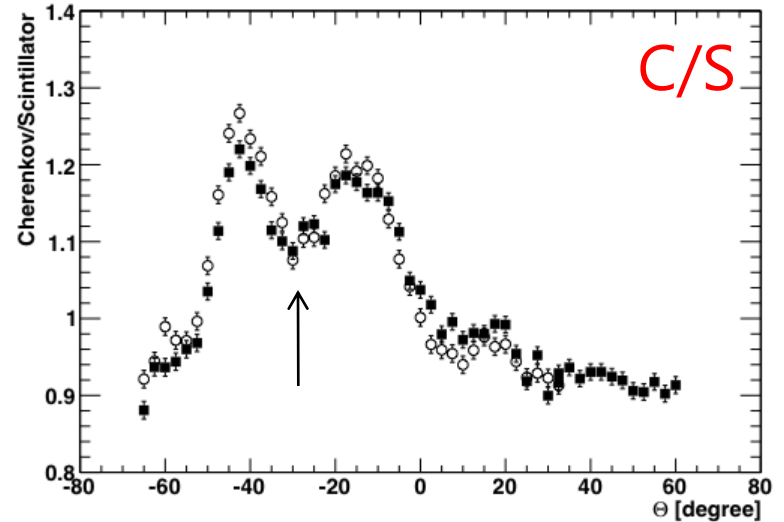


# Setup 2 : C (Unfavorable) & S (Favorable)

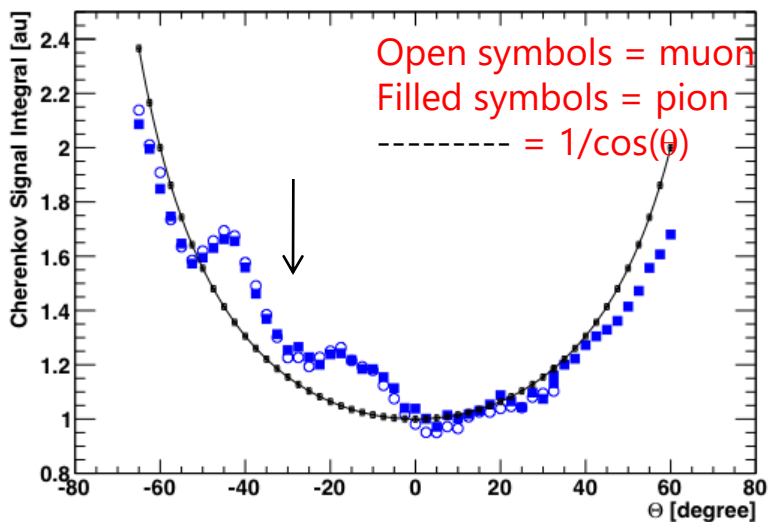
SETUP2 Scintillator



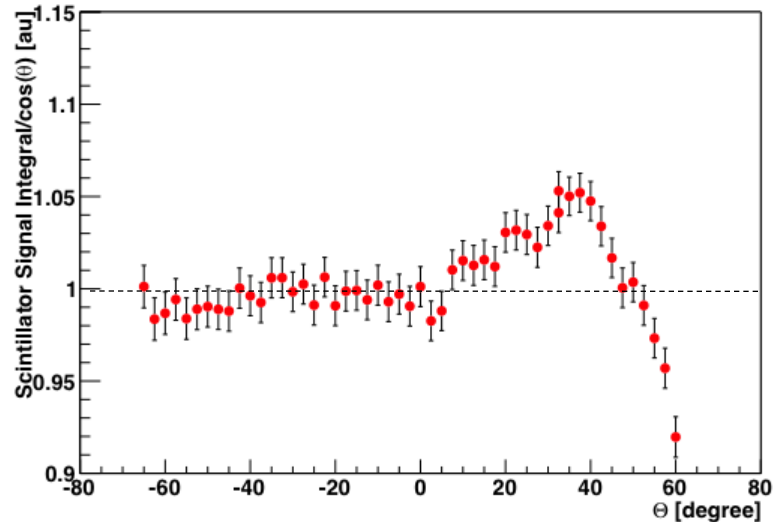
SETUP2 Cherenkov over Scintillator



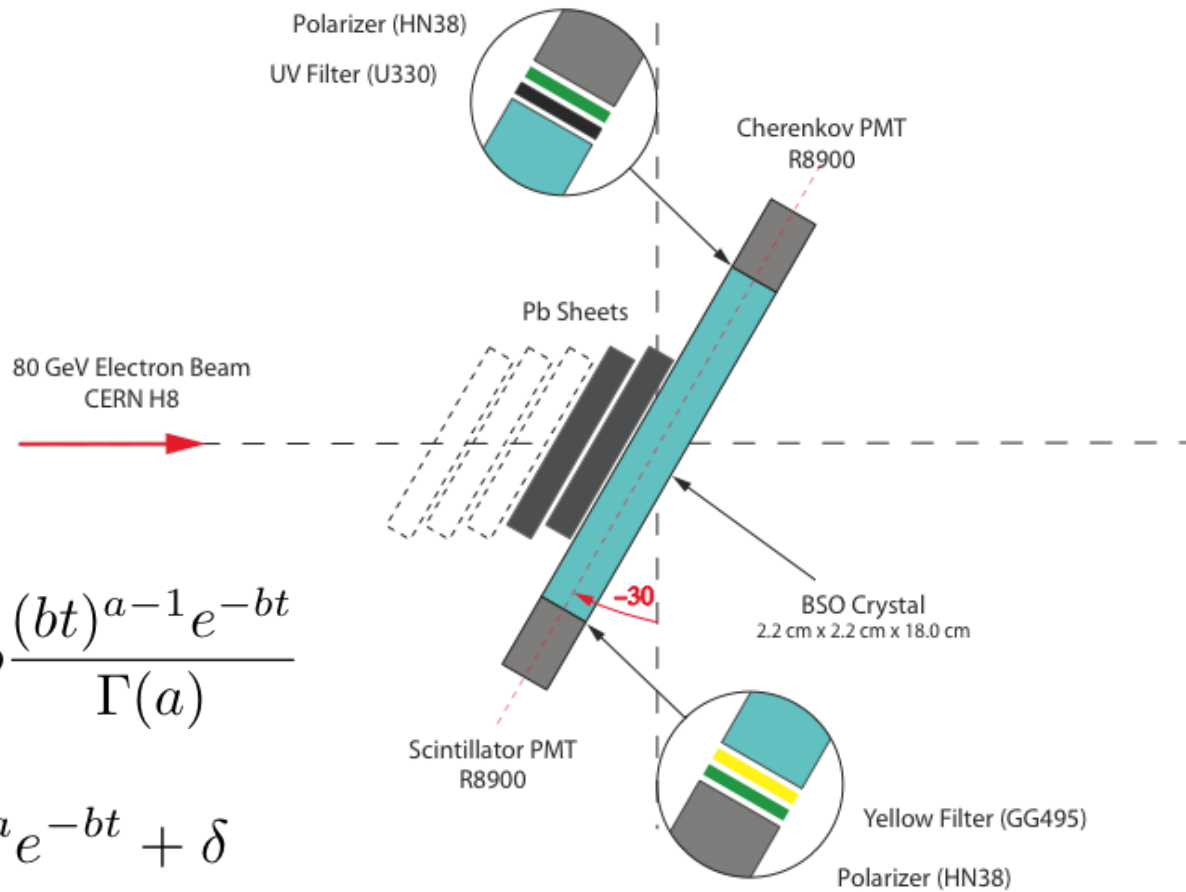
SETUP2 Cherenkov



SETUP2 Scintillator



# Polarization Profile in EM Showers

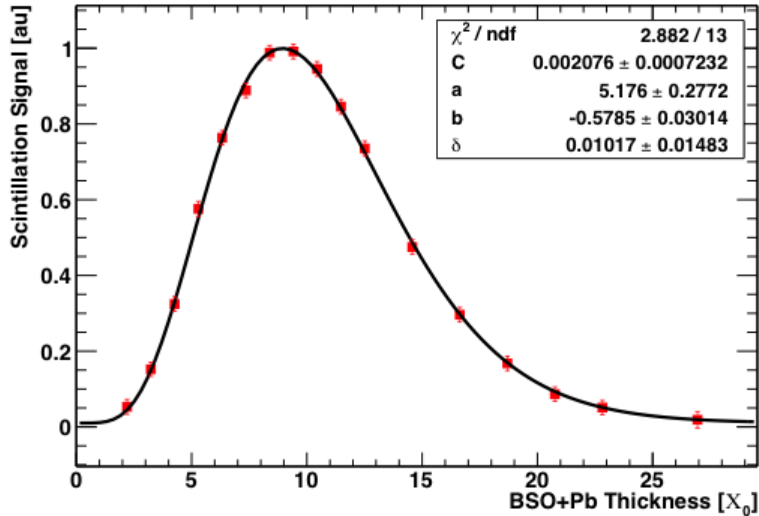


$$\frac{dE}{dt} = E_0 b \frac{(bt)^{a-1} e^{-bt}}{\Gamma(a)}$$

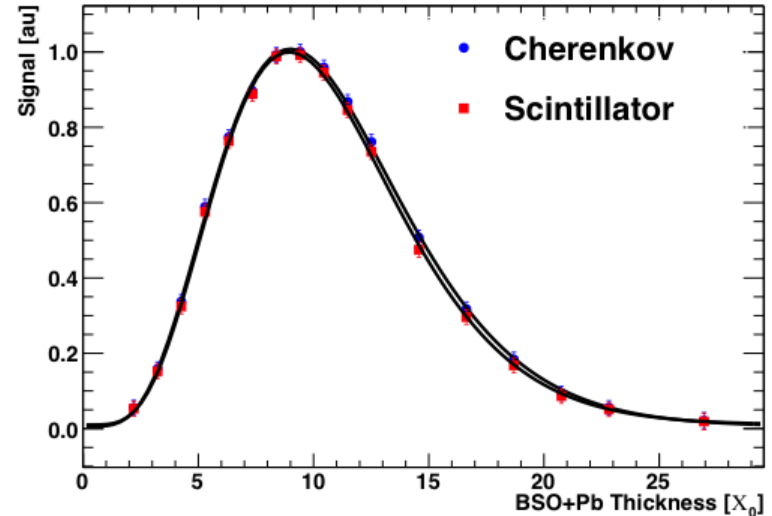
$$\frac{dE}{dt} = Ct^a e^{-bt} + \delta$$

# Unfavorable Polarizer Orientation

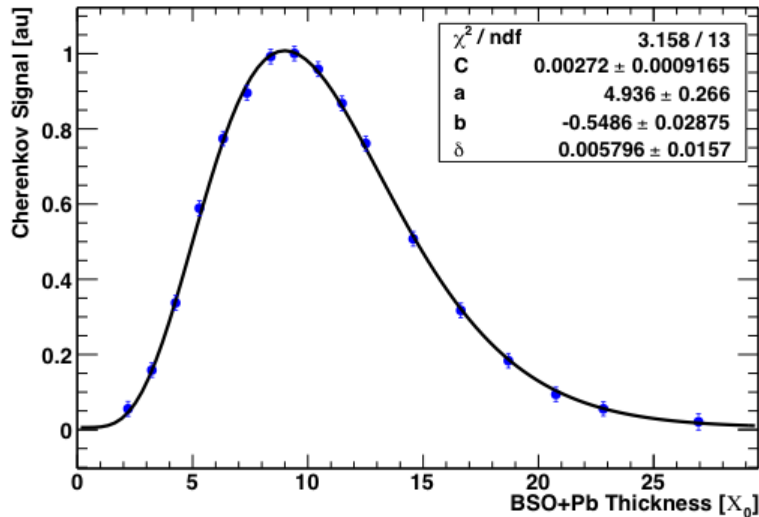
Unfavorable - BSO Scintillation



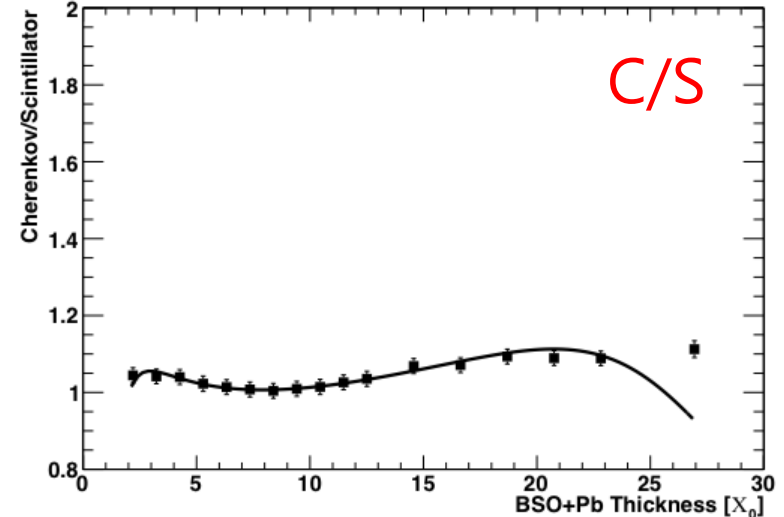
Unfavorable - BSO Scintillator and Cherenkov



Unfavorable - BSO Cherenkov

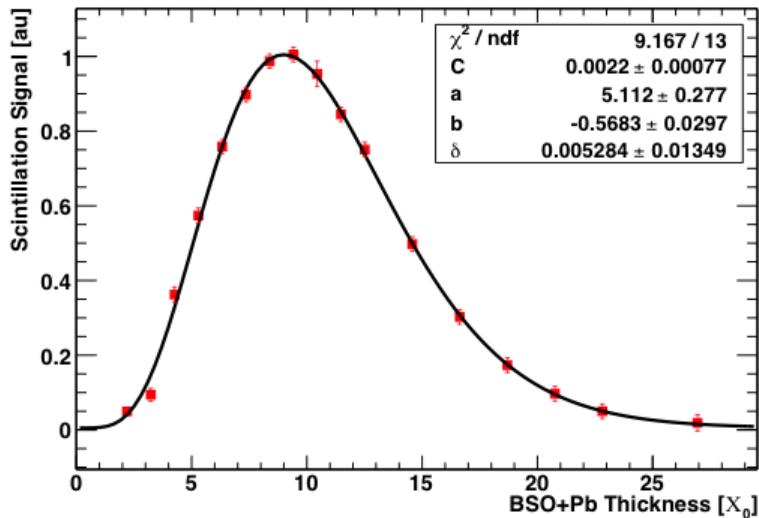


UNFAVORABLE Cherenkov over Scintillator

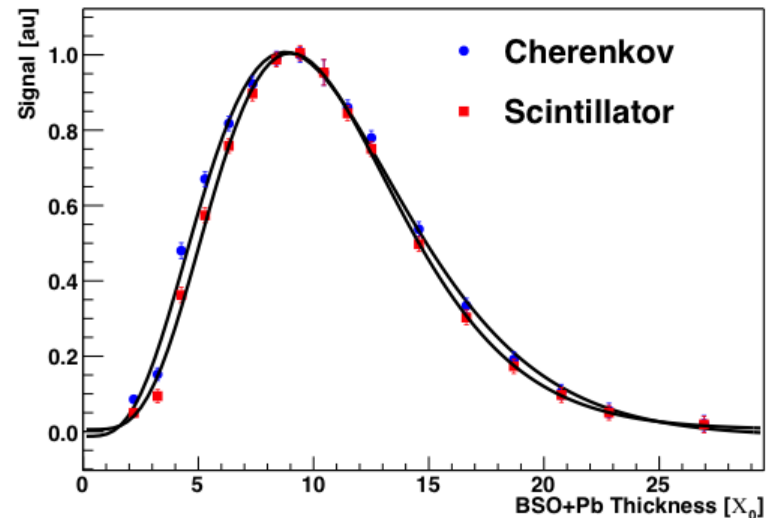


# Favorable Polarizer Orientation

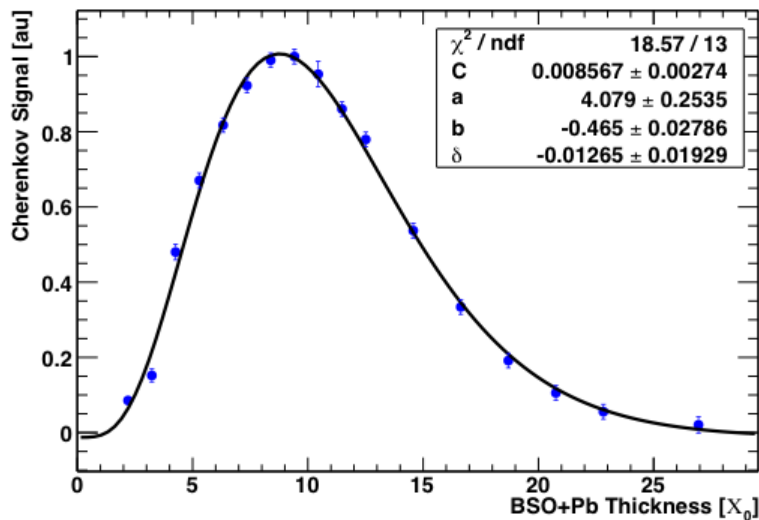
Favorable - BSO Scintillation



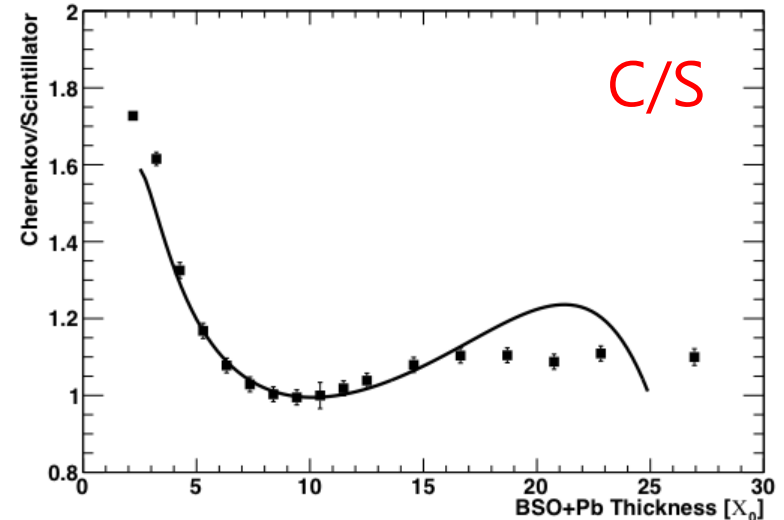
Favorable - BSO Scintillator and Cherenkov



Favorable - BSO Cherenkov



FAVORABLE Cherenkov over Scintillator



# Longitudinal Cherenkov Polarization Profile

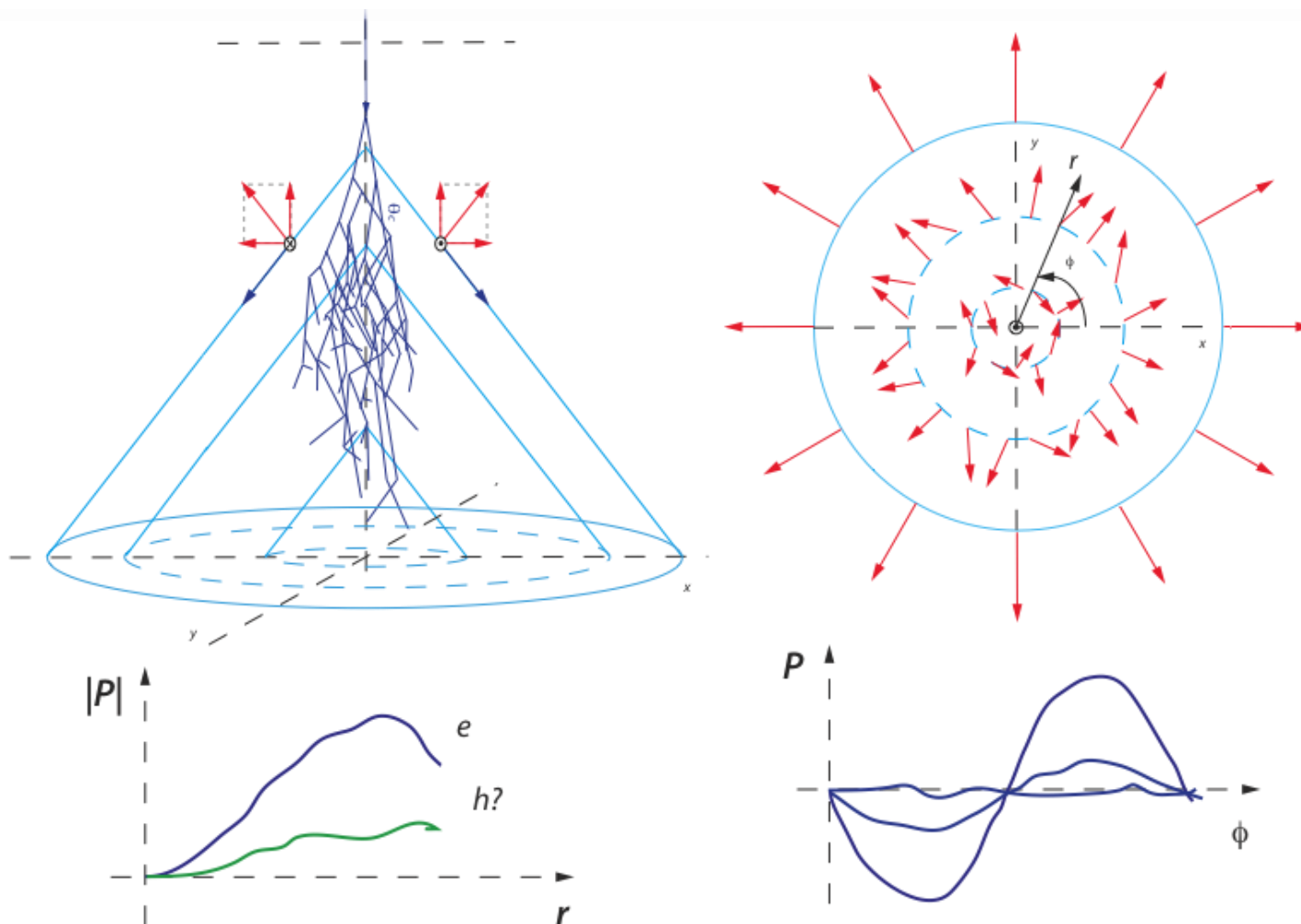
	<i>a</i>	<i>b</i>
Favorable (Sci)	$5.11 \pm 0.28$	$0.568 \pm 0.029$
Favorable (Che)	$4.08 \pm 0.25$	$0.465 \pm 0.028$
Unfavorable (Sci)	$5.18 \pm 0.28$	$0.579 \pm 0.030$
Unfavorable (Che)	$4.94 \pm 0.27$	$0.549 \pm 0.029$

Polarization of Cherenkov light in the earlier stages of the em shower development ( $< 7 X_0$ ) is preserved and the effect is relatively large

After the shower maximum, as expected Cherenkov polarization has no unique orientation

This aspect of Cherenkov radiation maybe useful in different applications in calorimetry if polarization can be measured per event, *etc.*

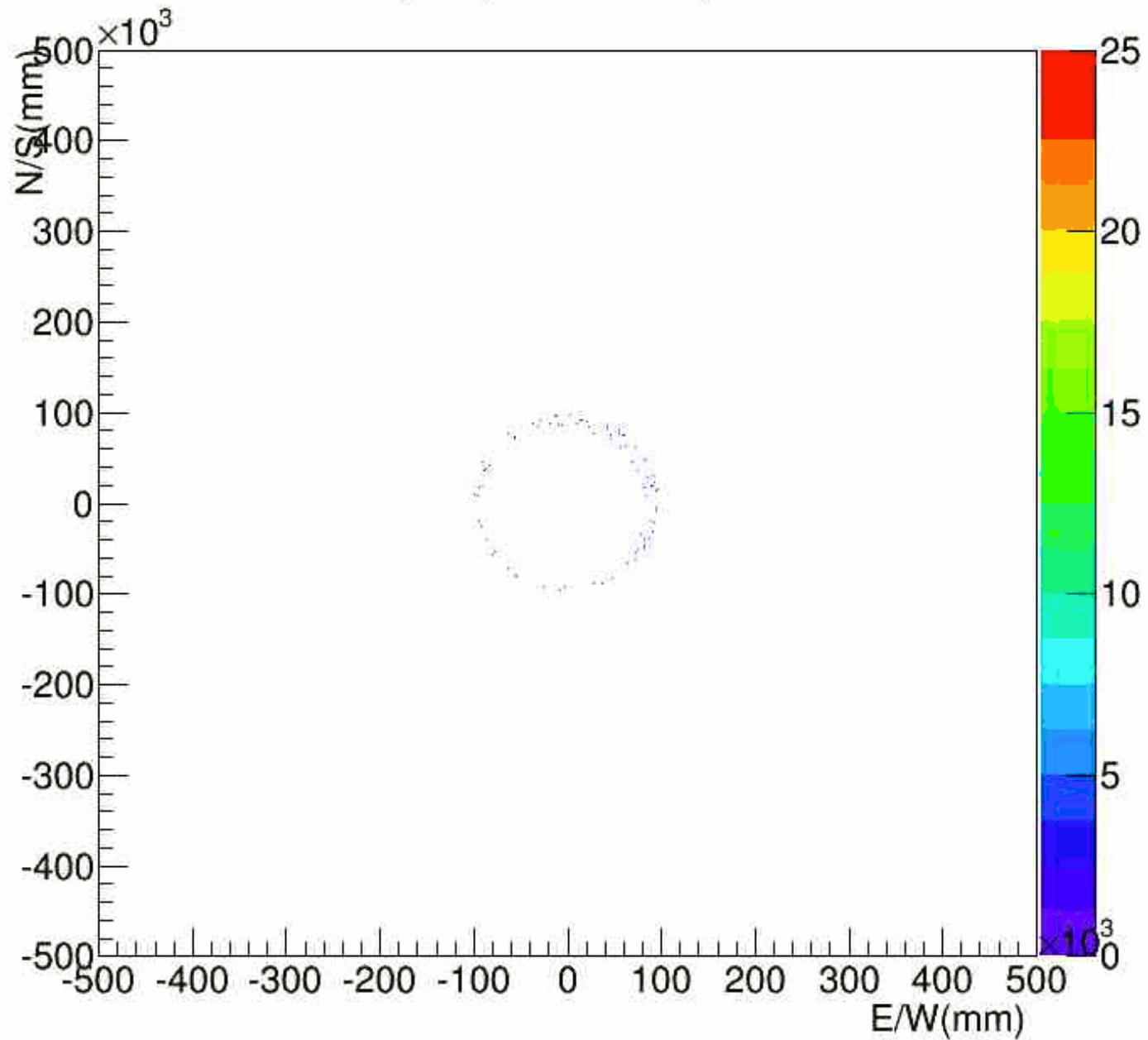
# A Possible Application – Air Calorimeter Example ?



It may be possible to perform this measurement in fully sampling large media (e.g. air, ice, big crystals). The polarization component of Cherenkov light adds another (independent) feature that is worth exploring. This approach will enable us to measure the early part of the shower and effectively longitudinally segment the early part of the shower (by polarization).

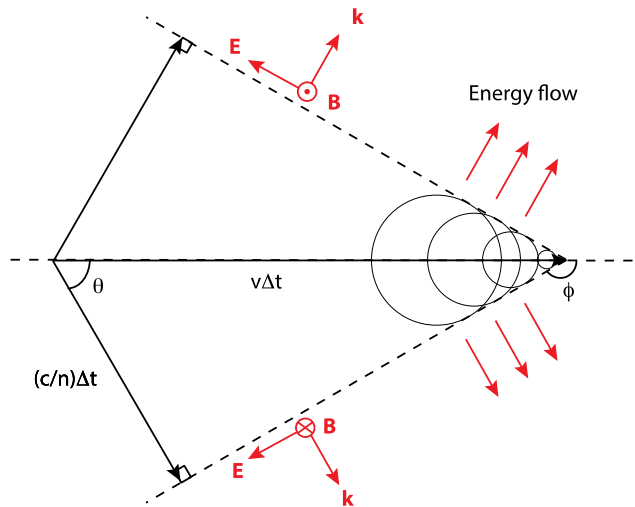


Close Scatterplot (m<sup>2</sup> Bin Size) Time=0 ns

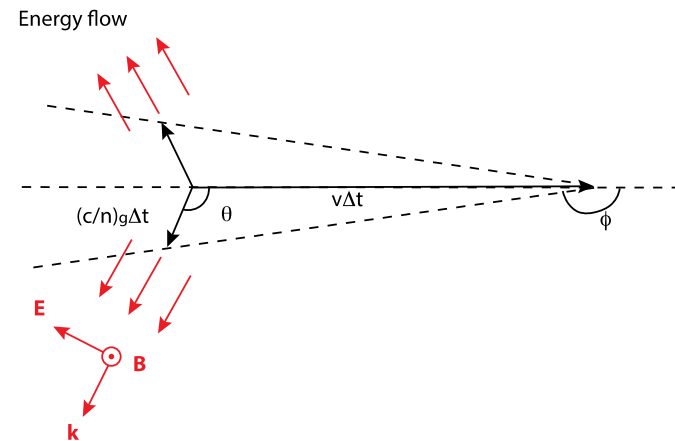


# Flipped Cherenkov Radiation - I

“Normal” Cherenkov



“Flipped” Cherenkov



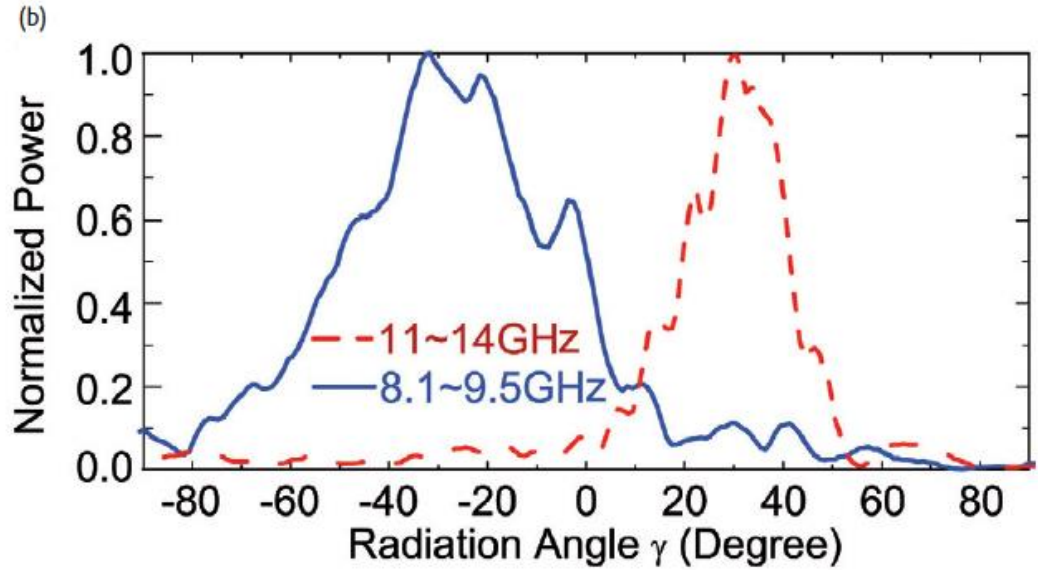
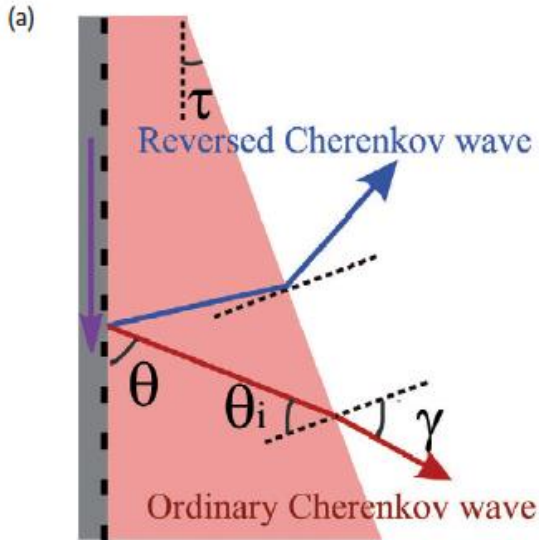
In normal Cherenkov radiation, the real part of the refractive index is positive ( $n=2$  above,  $\theta \sim 60^\circ$ , e.g. BSO) and the group and phase velocities of the wave coincide

If the refractive index is negative (LHM), the Cherenkov angle ( $\theta \sim 115^\circ$  above) is large and the photons and charged particles go in opposite directions. In such dispersive media, the phase and group velocities are different ( $n=c/(\omega/k) = -\sqrt{\mu_r \epsilon_r} \sim -2.38$ ) and  $n_g=c/(d\omega/dk) \sim 6.57$  and the energy flows with the group velocity

$\phi$  satisfies the condition  $\tan(180-\phi) = [(n/n_g)\sin\theta \cos\theta] / [1-(n/n_g)\cos^2\theta]$

# Flipped Cherenkov Radiation - II

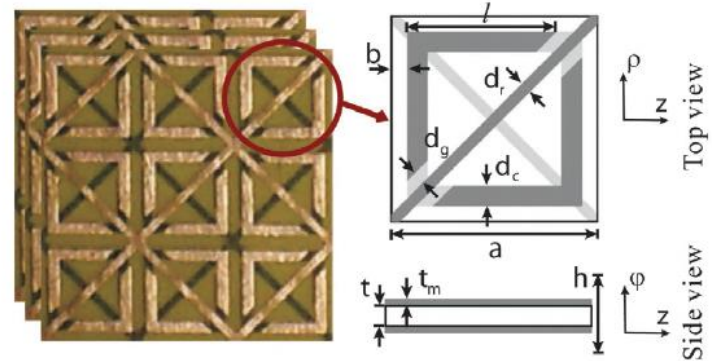
S. Xi et al PRL 103 194801 (2009)



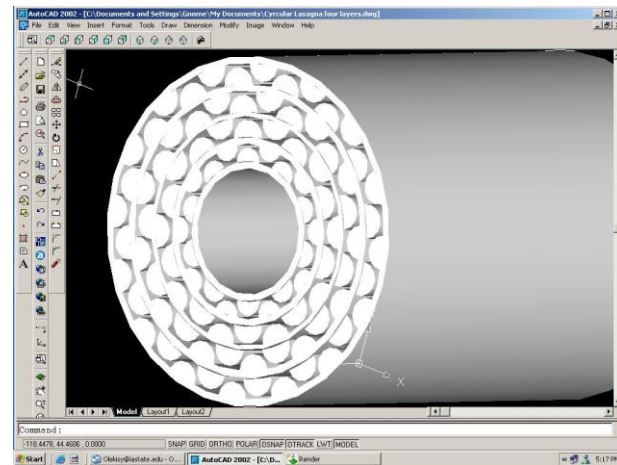
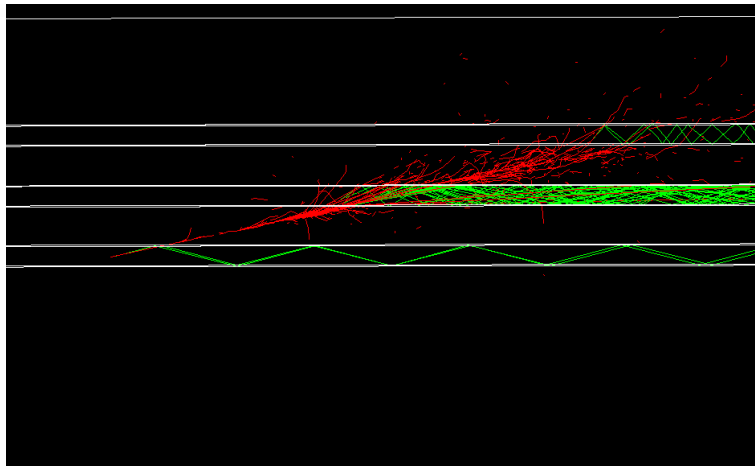
H. Chen et al Materials Today 14 1-2 (2011)

The effect is demonstrated in microwaves (~8-12 GHz) with metamaterials where the feature size is measured in mm (much smaller than the wavelength). In the optical region where Cherenkov photons are more abundant and potentially useful in HEP, the feature size needs to be in nm

If such metamaterials are realized, flipped Cherenkov effect might prove useful for calorimetry (readout upstream)



# Cherenkov Light in Gas



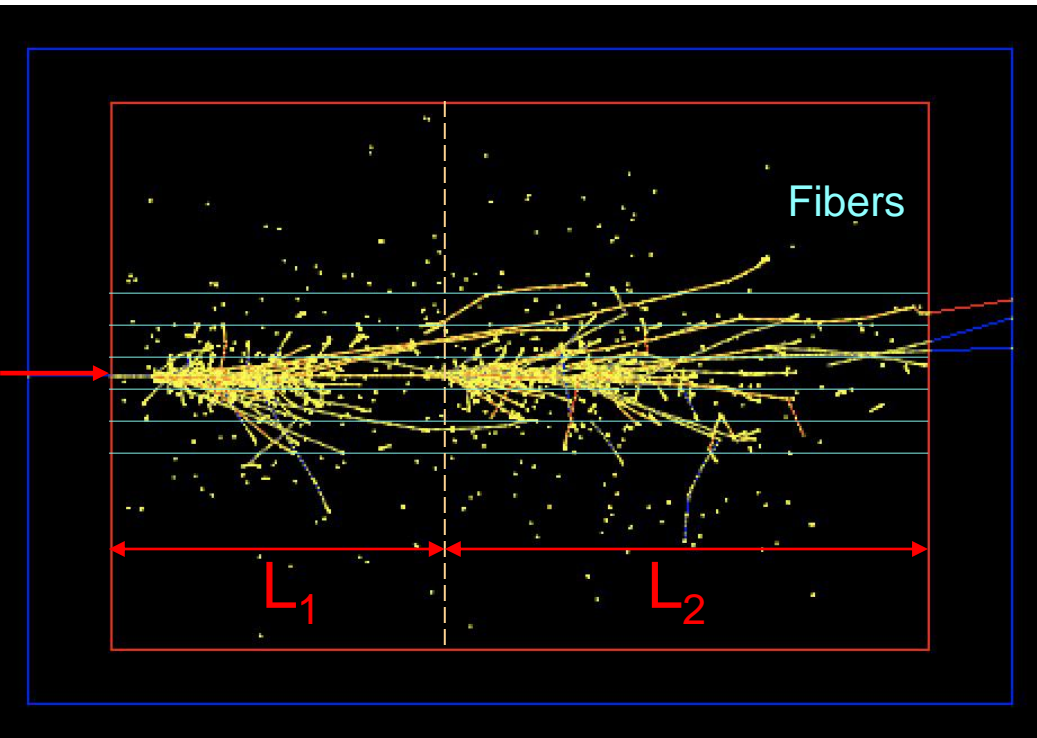
Index of refraction for gasses is in general small  $n=1+\delta$  ( $\delta \sim 10^{-3}$ ). Example:  $\delta_{\text{C}_4\text{H}_8}=0.00258$ . This has the following consequences:

1. Cherenkov angle is small:  $\sin \theta_c \sim \sqrt{2\delta} \sim 0.05$  which means particles and light come out of the detector at the  $\sim$ same time and time spread is small
2. The Cherenkov threshold is high:  $E_{\text{th}} \sim m_e/\sqrt{2\delta} \sim 11.2$  MeV which means decay products from radioactivation do not generate signal/noise

If high quality (>95%?) reflective surfaces can be made (a few meters long) for UV+VIS, a calorimeter based on gas Cherenkov would be very radiation hard (indestructible?)

# Longitudinal Segmentation with Timing

Fibers generate and efficiently transport fast Cherenkov radiation. With appropriate timing (sampling), it may be possible to effectively segment the calorimeter in depth

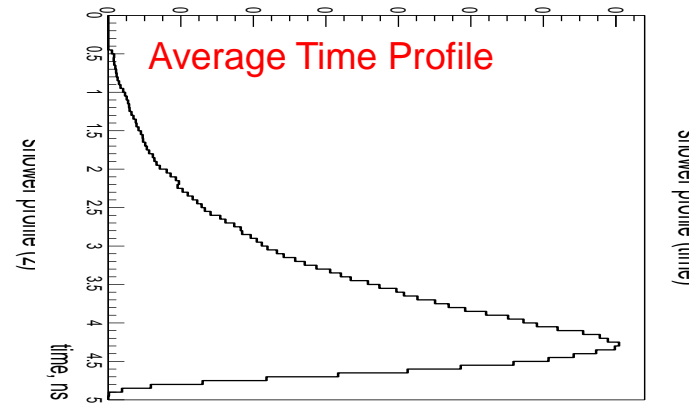
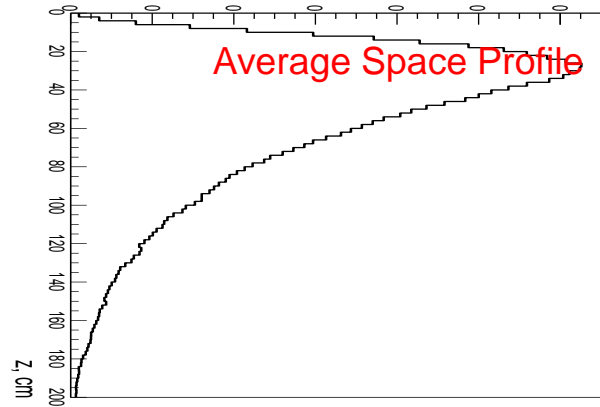
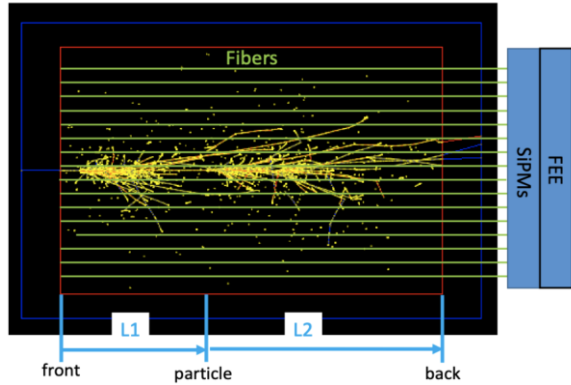


Signal time =  $L_1/c + L_2/(c/n)$ ,  
 $(c/n)$  = velocity of light in fiber ( $n \sim 1.45$ )  
 $\sim 20$  cm/ns or  $\sim 1$  cm/50 ps

There is significant savings in channel count (and calibration) as one fiber represents many channels along the depth of calorimeter.



# Simulations/Estimates with Cherenkov Photons

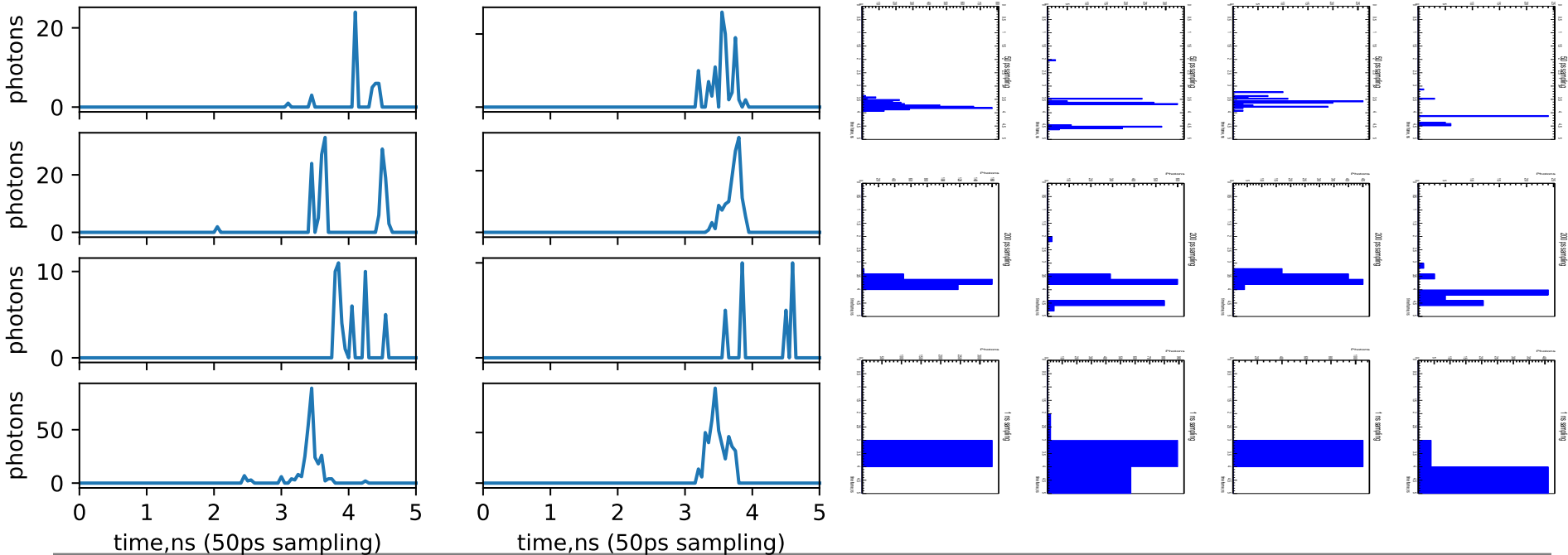


50 GeV  $\pi^+$  in 1.2 cm x 1.2 cm tower

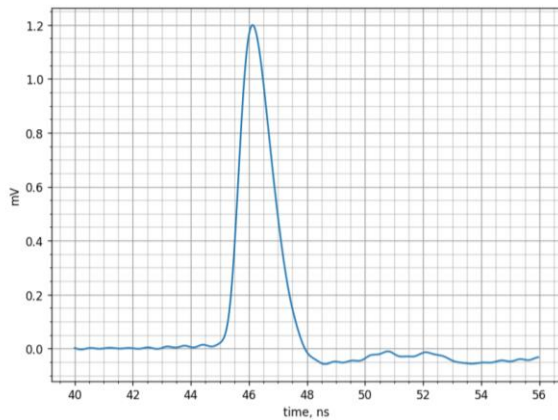
0.05 ns  
(1 cm)

0.2 ns  
(4 cm)

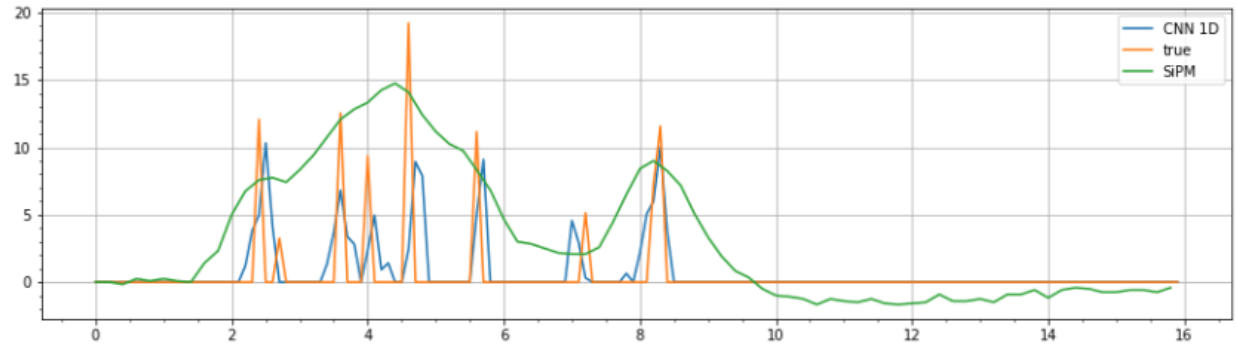
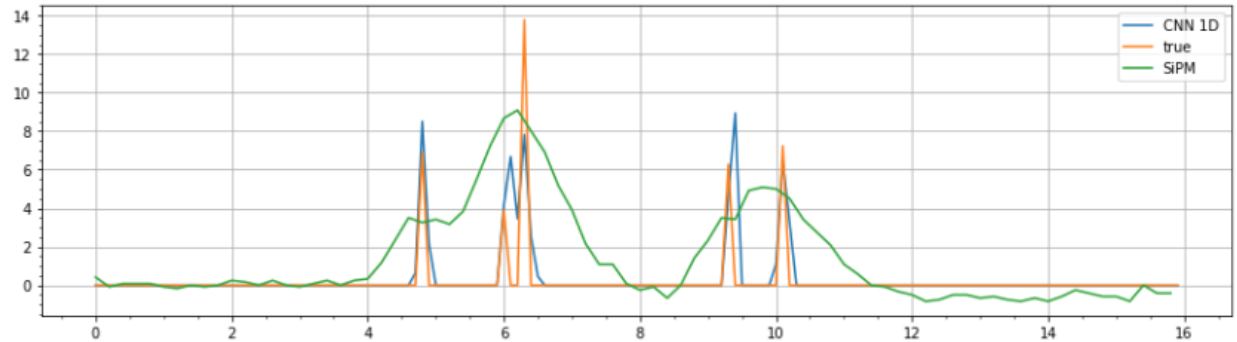
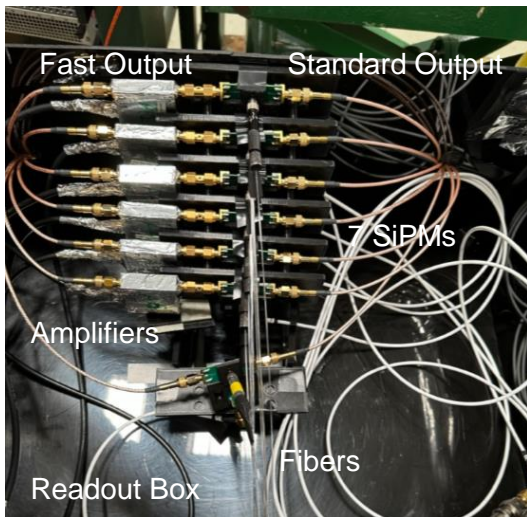
1 ns  
(20 cm)



# Deconvolution of Cherenkov Pulse Train with CNN



Single Cherenkov photon SiPM pulse obtained in 2023 beam test



It seems possible to deconvolve overlapping SiPM (Cherenkov) pulses to reveal the true distribution of shower components using CNN:

Green: Convolved SiPM pulse train

Blue: Deconvolved SiPM pulse train

Orange: True pulse train

# Conclusions

Cherenkov radiation is rich in interesting features, and many can be exploited for calorimetry

- Polarization adds another interesting one but need to figure out how to take advantage of it for HEP calorimetry
- Fast signals lend themselves for effective longitudinal segmentation, and better energy and timing resolution
- Metamaterials might open new possibilities in calorimetry