MULTI-EMISSION KERNELS FOR PARTON SHOWERS

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Disentangle short and long distance physics

 Processes with colored partons result in high multiplicity events

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- Impossible to do in fixed order



Disentangle short and long distance physics

- Processes with colored partons result in high multiplicity events
- Impossible to do in fixed order
- Luckily, we can disentangle process into different energy regimes (factorization):
 - Hard interaction $Q \approx \mathcal{O}(100 \,\mathrm{GeV}$ to TeV)
 - Parton Shower $Q \rightarrow \mu \approx \mathcal{O}(1 \text{ GeV})$
 - Hadronization $\mu \to \Lambda < \mathcal{O}(1 \text{ GeV})$



...and current status

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- Lack of systematic expansion: no formal estimate for accuracy/precision



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- Partons showers make up bigger part of uncertainty budget
- Lack of systematic expansion: no formal estimate for accuracy/precision
- **Unfortunate reality:** estimation of PS error often just from comparing different MC generators
- Although we know:

different types of showers give different levels of accuracy depending on observable: **global vs. non-global**

 "Ultimate" goal: formal tools to show accuracy of PS, eventually Next-to-Leading-Log @ Next-to-Leading-Colour accurate shower for all global and non-global observables



Parton Shower Activity

Progress in improving the PS accuracy

Assessing the logarithmic accuracy of a shower

Herwig [1904.11866, 2107.04051], Deductor [2011.04777], Forshaw, Holguin, Plätzer [2003.06400] PanScales [1805.09327, 2002.11114], Alaric [2110.05964], ...

• Triple collinear / double soft splittings

Dulat, Höche, Krauss, Gellersen, Prestel [1705.00982, 1705.00742, 1805.03757, 2110.05964] Li & Skands [1611.00013], Löschner, Plätzer, Simpson Dore [2112.14454], ...

Matching to fixed-order see Alexander's talk

NLO; i.e. Frixione & Webber [0204244], Nason [0409146], ... NNLO; i.e. UNNLOPS [1407.3773], MiNNLOps [1908.06987], Vincia [2108.07133], ... NNNLO; Prestel [2106.03206], Bertone, Prestel [2202.01082]

Colour (and spin) correlations see Simon's talk

Forshaw, Holguin, Plätzer, Sjödahl [1201.0260, 1808.00332, 1905.08686, 2007.09648, 2011.15087] Deductor [0706.0017, 1401.6364, 1501.00778, 1902.02105], Herwig [1807.01955], Plätzer & Ruffa [2012.15215] PanScales [2011.10054, 2103.16526, 2111.01161], ...

Electroweak corrections

Vincia [2002.09248, 2108.10786], Pythia [1401.5238], Herwig [2108.10817], ...

Super-active field of research:

taken from Melissa van Bleekveld's talk at the CERN workshop on parton showers for future colliders.

Soft and collinear factorization

• Leading contributions from emissions in **soft and collinear regions**:

$$\frac{1}{(q_i + q_j)^2} = \frac{1}{2q_i^0 q_j^0 (1 - \cos \theta_{ij})}$$

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$$\langle m+1 \,|\, m+1 \rangle \simeq \begin{cases} 4\pi \mu^{2\varepsilon} \alpha_{S} \langle m \,|\, \hat{P}^{(ij)} \frac{1}{q_{i} \cdot q_{j}} \,|\, m \rangle \;, \quad (q_{i}, q_{j}) \text{ collinear} \\ -8\pi \mu^{2\varepsilon} \alpha_{S} \sum_{k,i} \langle m \,|\, \mathbf{T}_{i} \cdot \mathbf{T}_{k} \frac{q_{i} \cdot q_{k}}{(q_{i} \cdot q_{j})(q_{k} \cdot q_{j})} \,|\, m \rangle \;, \quad q_{j} \text{ soft} \end{cases}$$



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• Example for **splitting function**:

$$\langle s \,|\, \hat{P}_{qq}(z) \,|\, s' \rangle = \delta_{s,s'} C_F \left[\frac{1+z^2}{1-z} - \varepsilon(1-z) \right]$$

$$q_{i}^{\mu} = zp^{\mu} + k_{\perp}^{\mu} - \frac{k_{\perp}^{2}}{z} \frac{n^{\mu}}{2p \cdot n}$$
$$q_{j}^{\mu} = (1 - z)p^{\mu} - k_{\perp}^{\mu} - \frac{k_{\perp}^{2}}{1 - z} \frac{n^{\mu}}{2p \cdot n}$$

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- Use this to define Sudakov form factor/splitting kernel $\Delta(t_0,t)$ in PS emission probability :

$$dP(1st \text{ emission at } t) = \frac{dt}{t} \int_{z_{-}}^{z_{+}} \frac{\alpha(z,t)}{2\pi} \hat{P}(z,t) dz \times \exp[-\Delta(t_{0},t)]$$

 $q_{i}^{\mu} = zp^{\mu} + k_{\perp}^{\mu} - \frac{k_{\perp}^{2}}{z} \frac{n^{\mu}}{2p \cdot n}$ $q_{j}^{\mu} = (1 - z)p^{\mu} - k_{\perp}^{\mu} - \frac{k_{\perp}^{2}}{1 - z} \frac{n^{\mu}}{2p \cdot n}$

Splitting kernel example: Catani-Seymour

• Can capture soft and collinear limits simultaneously using **Catani-Seymour-dipole** kernel (e.g. by inference of large N_C -limit to construct kernel)

$$\mathscr{D}_{ij,k}(p_1,\ldots,p_{m+1}) = -\frac{1}{2q_i \cdot q_j} \mathscr{M} \{ \Psi | \frac{\mathbf{T}_{ij} \cdot \mathbf{T}_k}{\mathbf{T}_{ij}^2} \mathbf{V}_{ij,k} | \Psi \rangle_m, \quad |\Psi\rangle = |1,\ldots,\widetilde{ij},\ldots,\widetilde{k},\ldots,m+1\rangle$$



[Catani, Seymour '97]

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and e.g.
$$\langle s | \mathbf{V}_{ij,k} | s' \rangle = 8\pi\mu^{2\varepsilon}\alpha_S C_F \left[\frac{2}{1 - \tilde{z}_i(1 - y_{ij,k})} - (1 + \tilde{z}_i) - \varepsilon(1 - \tilde{z}_i) \right] \delta_{ss'}$$



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and e.g. $\left\langle s \left| \mathbf{V}_{ij,k} \right| s' \right\rangle = 8\pi \mu^{2\varepsilon} \alpha_S C_F \left[\frac{2}{1 - \widetilde{z}_i (1 - y_{ij,k})} - (1 + \widetilde{z}_i) - \varepsilon (1 - \widetilde{z}_i) \right] \delta_{ss'}$



• Reproduce both limits with smooth interpolation.

$$\frac{1}{q_i \cdot q_j} \mathbf{V}_{ij,k} \to \begin{cases} 8\pi\mu^{2\varepsilon} \alpha_S \frac{1}{q_i \cdot q_j} \hat{P}^{(ij)} , & (q_i, q_j) \text{ collinear} \\ 16\pi\mu^{2\varepsilon} \alpha_S \frac{1}{q_i \cdot q_j} \mathbf{T}_{ij}^2 \frac{q_i \cdot q_k}{(q_i + q_k) \cdot q_j} , & q_j \text{ soft, non-singular in } (j \parallel k) \end{cases}$$

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$$\frac{1}{q_{i} \cdot q_{j}} \mathbf{V}_{ij,k} \rightarrow \begin{cases} 8\pi\mu^{2\varepsilon} \alpha_{S} \frac{1}{q_{i} \cdot q_{j}} \hat{P}^{(ij)}, \quad (q_{i}, q_{j}) \text{ collinear} \\ 16\pi\mu^{2\varepsilon} \alpha_{S} \frac{1}{q_{i} \cdot q_{j}} \mathbf{T}_{ij}^{2} \frac{q_{i} \cdot q_{k}}{(q_{i} + q_{k}) \cdot q_{j}}, \quad q_{j} \text{ soft, non-singular in } (j \parallel k) \end{cases}$$
Soft result is **partitioned**:
$$\frac{q_{i} \cdot q_{k}}{(q_{i} \cdot q_{j})(q_{k} \cdot q_{j})} = \frac{q_{k} \cdot q_{j} + q_{i} \cdot q_{j}}{q_{k} \cdot q_{j} + q_{i} \cdot q_{j}} \frac{q_{i} \cdot q_{k}}{(q_{i} \cdot q_{j})(q_{k} \cdot q_{j})} = \frac{q_{i} \cdot q_{k}}{q_{k} \cdot q_{j} + q_{i} \cdot q_{j}} \frac{q_{i} \cdot q_{k}}{(q_{i} \cdot q_{j})(q_{k} \cdot q_{j})} = \frac{q_{i} \cdot q_{k}}{q_{i} \cdot q_{j}(q_{i} + q_{k}) \cdot q_{j}} + \frac{q_{i} \cdot q_{k}}{q_{k} \cdot q_{j}(q_{i} + q_{k}) \cdot q_{j}}$$



Catani, Seymour '97]

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- Correct LL@Leading Color (LC) for non-global, but issues in NLL@LC and LL@NLC for global observables
- Kernel carries non-trivial color structure $\mathbf{T}_{ij}\cdot\mathbf{T}_k$ which enters exponential
 - ➡ Difficult to deal with in MC
 - → $1/N_c$ effects possibly become comparable to sub-leading logs, i.e. ~10% effects



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- Want: algorithmic construction of kernel



Towards high accuracy

- Goal: construct (multi-)emission kernels algorithmically, inspired by Catani-Seymour dipoles, i.e. smooth interpolation between collinear and soft:
 - ➡ Organize kernels into collinear sectors
 - ➡ Partition soft contributions into those sectors
 - ➡ Allow for general momentum mapping
 - ➡ Adapts to momentum mapping, e.g. transverse recoil scheme

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 $\Delta_n \sim \exp\left[-\sum_c \int d\Phi_n \,\mathscr{K}_n^{(c)}\right]$ sum over collinear sectors

Multi-emission kernel

factorized emission phase space

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- Possibility to study the difference between iterating the single- vs. multi-emission approximation.



Multi-emission kernel

 $\mathrm{d}\Phi_n \mathscr{K}_n^{(c)}$

factorized emission phase space



[S. Dore, ML, S. Plätzer; arXiv:2112.14454]

• Partitioning algorithms

- ➡ two options: fractional and subtractive
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 - Parameterization of how collinear limit is approached and transverse recoil is spread for multiple emissions



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Multi-Emission Kernels Results

- Partitioning algorithms
 - ➡ two options: fractional and subtractive
 - ➡ spread soft contributions over kernels
- Momentum mapping
 - Parameterization of how collinear limit is approached and transverse recoil is spread for multiple emissions
- Amplitude level power counting
 - extract leading soft/collinear contributions



Squaring amplitudes Uniform power counting



Want to know which amplitudes are relevant for soft/collinear limits when squaring:

Determine squared amp (i.e. diff. xsec), but keep control at amplitude level

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- 1. Carry out **spin/helicity sums** to replace spinors/ polarization vectors
- 2. Introduce **projectors** to disentangle amplitude and conjugate amplitude

$$\begin{aligned}
\underbrace{\begin{array}{c} \sum_{\substack{i=1\\i=1\\i\neq i}} \left(\begin{array}{c} \sum_{\substack{j=1\\i\neq i}} \overline{u}_{j}(s) \right)_{\mu} \left(\left(s\right)_{\mu} \left(s\right)_{\mu$$



$$d_{\mu\nu}(q) = -\eta^{\mu\nu} + \frac{n^{\mu}q^{\nu} + n^{\nu}q^{\mu}}{n \cdot q}$$
Squaring amplitudes Uniform power counting

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- Determine squared amp (i.e. diff. xsec), but keep control at amplitude level
- 1. Carry out **spin/helicity sums** to replace spinors/ polarization vectors
- 2. Introduce **projectors** to disentangle amplitude and conjugate amplitude
- 3. Can now study soft/collinear scaling of internal and external lines on same footing at amplitude level



 $\sum_{\substack{s, \lambda}} \left(\begin{array}{c} & & & \\ & & \\ \end{array} \right)_{\mu} \left(\begin{array}{c} & \\$



• Decompose momenta into forward, backward and transverse direction:

 $q_{i}^{\mu} = z_{i} \, \frac{p_{i}^{\mu}}{p_{i}} + y_{i}^{\mu} n^{\mu} + k_{\perp,i}^{\mu}$





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• Can decompose quark and gluon lines on same footing leading to **effective Feynman rules**:







• Create table of soft and collinear scaling of lines

Scaling of hard lines:					Scaling	Scaling of emissions:		
	h	h+c	h+s	h+c+s		s	с	S+0
⊶⊥⊸	λ	λ	λ	λ (bal.)	Ļ	1 λ	λ	λ
	0	λ	λ	λ (unbal.)				
•	λ^2	λ^2	λ	λ (bal.)	Ŷ	1	λ^2	λ
	0	λ^2	λ	λ (unbal.)	Å			



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• Determine **leading amplitudes** (table shows scaling of amplitude numerator)





 One emission kernel as partitioned collection of leading amplitudes



- One emission kernel as partitioned collection of leading amplitudes
- Lightcone gauge: leading collinear from selfenergy-like diagrams



Soft singular $\mathbb{U}_{(ij)} = \mathbb{P}_{(ij)}$ ~ ⊡ <u>_</u>0 Leading collinear

$$\langle s | \hat{P}_{qq}(z) | s' \rangle = \delta_{s,s'} C_F \left[(d-2)(1-z) + \frac{4z^2}{1-z} + 4z \right]$$

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- Cross-talk between soft divergent contributions
 - Soft singular term from splitting function cancels
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- Cross-talk between soft divergent contributions
 - **Soft singular** term from splitting function cancels
 - Eikonal remains
- Kernel depends on momentum mapping, e.g. transverse recoil distribution



Dependence on transverse recoil



- Assignment of transverse recoil is not unique
 - ➡ Choice needs to be translated to PS

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- Form of our kernel changes for different choices of transverse recoil!



Dependence on transverse recoil

 $q_i = z_i p^{\mu} + y_i n^{\mu} + k_{\perp,i}^{\mu}$ $Q Q Q Q q_j = z_j p^{\mu} + y_j n^{\mu} + k_{\perp,j}^{\mu}$ q_k

- Assignment of transverse recoil is not unique
 - Choice needs to be translated to PS
- Form of our kernel changes for different choices of transverse recoil!
- Still leads to the same splitting functions etc.



Triple collinear limit

Reproduction of the triple collinear limit using our power counting when tracing:

Triple collinear limit

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Triple collinear limit

Reproduction of the triple collinear limit using our power counting when tracing:



➡ "Non-iterated" topologies included

Triple collinear limit

Reproduction of the **triple collinear limit** using our power counting when tracing:



- "Non-iterated" topologies included
- Exhibition of factorization for two collinear gluons

Double soft decomposition



Double soft decomposition



• Decomposition of the **two emission soft gluon current** squared:

$$\begin{split} \mathcal{S}_{ij}(q_1, q_2) &= \mathcal{P}\left(B_{l21i}^{(6)}\right) \times 2S_{ij}(S_{j1} + 2S_{j2})N_{j12} \\ &- \mathcal{P}\left(X_{i12j}^{(1)}\right) \times S_{ij}^2 \\ &+ \mathcal{P}\left(A_{i12j}^{(3)}\right) \times 2S_{ij}(S_{j1} - 3S_{j2})N_{i12}N_{j12} \\ &- \mathcal{P}\left(A_{i12j}^{(2)}\right) \times 2S_{ij}^2N_{i12}N_{j12} \\ &+ \mathcal{P}\left(A_{i12j}^{(5)}\right) \times 2(1 - \varepsilon)(S_{i1}S_{j2} + S_{i2}S_{j1})N_{i12}N_{j12} + (1 \leftrightarrow 2). \end{split}$$



Double soft decomposition



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Can partition this topology-wise using our partitioning algorithms



• Parton Showers are indispensable for **understanding collider pheno**, but can be limiting factor in analyses **uncertainty budget**

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 - Density-operator formalism to study iterative behavior of emissions
 - ➡ Set of **power counting rules** to single out leading amplitudes
 - Two partitioning algorithms to separate overlapping singularities
 - Momentum mapping for exposing collinear and soft factorization for multiple emission

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Thank you!



Al imagination of a "future parton shower"

Contact

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...and hadronization models

• Fixed order results for jet observables can deviate significantly from data (even at lepton colliders)



Fig. 5.13. (1-T), where T is the thrust, in e^+e^- annihilation.



• Emission of partons and subsequent hadronization can not be neglected

Fig. 5.13. $(1-T)_r$, where T is the thrust, in e^+e^- annihilation.

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ρ

 Fixed order results for jet observables can deviate **significantly from data** (even at lepton colliders)

Why Parton Showers?

...and hadronization models

- Emission of partons and subsequent hadronization can not be neglected
- May even change qualitative behavior of distributions.







• Attaching emissions to internal lines is sub-leading in soft/collinear limits



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- Powerful result:
 - Attaching soft/collinear emissions to all external legs leads to gauge-invariant set of contributions and color charge conservation

$$\sum_{i \in \text{ext.}} \mathbf{T}_i | \mathcal{M}(p_1, \dots, p_m) \rangle = 0$$

Sidenote: [S. Weinberg, PRB, 1964]

- Attaching emissions to internal lines is sub-leading in soft/collinear limits
- Powerful result:
 - Attaching soft/collinear emissions to all external legs leads to gauge-invariant set of contributions and color charge conservation

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• Work in **lightcone gauge**: more complicated gluon propagator, but decouple ghost contributions (only physical polarization propagate)

Building blocks of parton showers

Attaching emissions

• Additional propagator factors from attaching emissions lead to enhanced soft and collinear regions in phase space:

$$\frac{1}{(q_i + q_j)^2} = \frac{1}{2q_i^0 q_j^0 (1 - \cos \theta_{ij})}$$



$$dP(\text{1st emission at } t) = \frac{dt}{t} \int_{z_{-}}^{z_{+}} \frac{\alpha(z,t)}{2\pi} \hat{P}(z,t) dz \times \exp[-\Delta(t_{0},t)]$$
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schematically for one emission (where
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- Large logarithms for $\mu^2 \ll Q^2$, $\,t \ll t_0$ may add significant correction to fixed order result σ_0
- Job of the parton shower: reproduce this behavior by generating emissions according to an appropriate probability distribution

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Multiple emissions

QED example

• Single collinear photon emission gives **factorized result**:

$$\sigma_{2+1} = \sigma_2(t_0) \int_{t_0}^t dt' \frac{1}{t'} \int_{z_-}^{z_+} dz \frac{\alpha}{2\pi} \hat{P}_{\gamma}(z) = \sigma_2(t_0) \int_{t_0}^t dt' W(t')$$

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• Attach a collinear emission to each external charged line:

$$W_{n} = \int \left(\left| O_{n} \right|^{2} + \left| O_{n} \right|^{2} \right) d\Phi_{n} / \left| O_{n} \right|^{2} = 2 \int_{t_{0}}^{t} dt' W(t').$$

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- Attach a collinear emission to each external charged line:
- Now attach second emission:

where we use
$$\int_{t_0}^{t} dt_1 \dots \int_{t_0}^{t_{n-1}} dt_n W(t_1) \dots W(t_n) = \frac{1}{n!} \left(\int_{t_0}^{t} dt' W(t') \right)^n$$

ed
$$W_{\lambda} = \int \left(\left| \begin{array}{c} \left| \end{array}\right| \right|^{2} + \left| \begin{array}{c} \left| \begin{array}{c} \left| \begin{array}{c} \left| \end{array}\right| \right|^{2} \right| \right| \right| \right|^{2} \right|^{2} \right) d\Phi_{\lambda} / \left| \begin{array}{c} \left| \end{array}\right| \right|^{2} + \left| \begin{array}{c} \left| \begin{array}{c} \left| \right| \right| \right|^{2} \right| \right| \right| \right|^{2} \right|^{2} \right) d\Phi_{\lambda} d\Phi_{\lambda} d\Phi_{\lambda} / \left| \begin{array}{c} \left| \begin{array}{c} \left| \begin{array}{c} \left| \begin{array}{c} \left| \right| \right| \right|^{2} \right| \right|^{2} \right|^{2} \right|^{2} \\ = 2^{1} \int_{t_{0}}^{t} dt_{\lambda} \int_{t_{0}}^{t_{1}} dt_{\lambda} W(t_{\lambda}) W(t_{\lambda}) = \frac{2^{1}}{2!} \left(\int dt W(t) \right)^{2} \\ \end{bmatrix}$$

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• Note: **no interferences**, iteration taken in probabilistic manner. Want to check this approximation explicitly

Sudakov Form Factor

Iterating the single emission result

• Generalize to *n* emissions by induction:

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• Where we defined the Sudakov Form Factor:

$$\Delta(t_0, t) = \exp\left[-\int_{t_0}^t \mathrm{d}t' W(t')\right]$$

Sudakov Form Factor

... and how to interpret it

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• Sum over all possibilities for emissions:

$$\sigma_{all} = \sigma_2 + \sigma_{>2} = \sigma_2 + \sigma_2 \left(\frac{1}{\Delta^2(t_0, t)} - 1 \right) \Longrightarrow \Delta^2(t_0, t) = \frac{\sigma_2}{\sigma_{all}} = \frac{\sigma_2}{\left| \begin{array}{c} \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1 \\ \sigma_1 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_1 \\ \sigma_2 \\ \sigma_2 \\ \sigma_1 \\ \sigma_1$$

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- So $\Delta(t_0, t)$ corresponds to the **probability of having no emissions** on **one leg** in the range $t \rightarrow t_0$ (hard scale to lower resolution scale)
 - \rightarrow Note: we find $\Delta^2 = \text{Prob.}^2$ because we studied two independent charged legs

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 - → Note: we find Δ^2 = Prob.² because we studied two independent charged legs
- Result: **probability density to sample over** (what e.g. HERWIG does to determine how to emit partons):

$$dP(1st \text{ emission at } t) = \frac{dt}{t} \int_{z_{-}}^{z_{+}} \frac{\alpha(z,t)}{2\pi} \hat{P}(z,t) dz \times \exp[-\Delta(t_{0},t)]$$