



17<sup>th</sup> International Workshop on  
Top Quark Physics

September 22 to 27  
Saint-Malo, France



Universidad de Oviedo

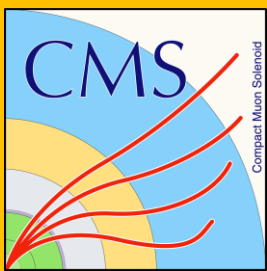
# RECENT MEASUREMENTS OF TOP CROSS SECTIONS AT CMS

23/09/2024

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(on behalf of the CMS Collaboration)

Universidad de Oviedo - Université Catholique de Louvain - University of Kansas



# OVERVIEW

- **CMS Collaboration** has a comprehensive program of top quark pair and single production cross section measurements at all LHC energies.
- **This talk** presents two recent results made public in 2024.
- **More  $t\bar{t}$**  differential cross sections will be presented in [Olaf's talk](#).

➤  $t\bar{t}$  @ 5.02 TeV

[\[CMS-PAS-TOP-23-005\]](#)

Interesting scenario: low pile-up ( $\sim 2$  int. per crossing)

Most precise CMS measurement of  $t\bar{t}$  at that energy

➤  $tW$  @ 13.6 TeV

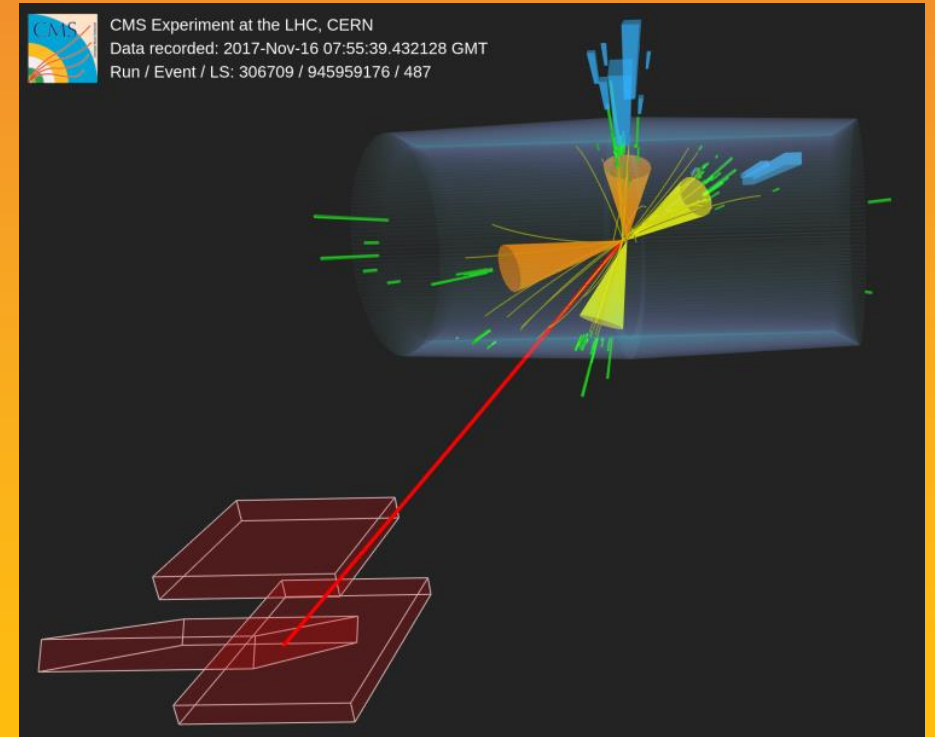
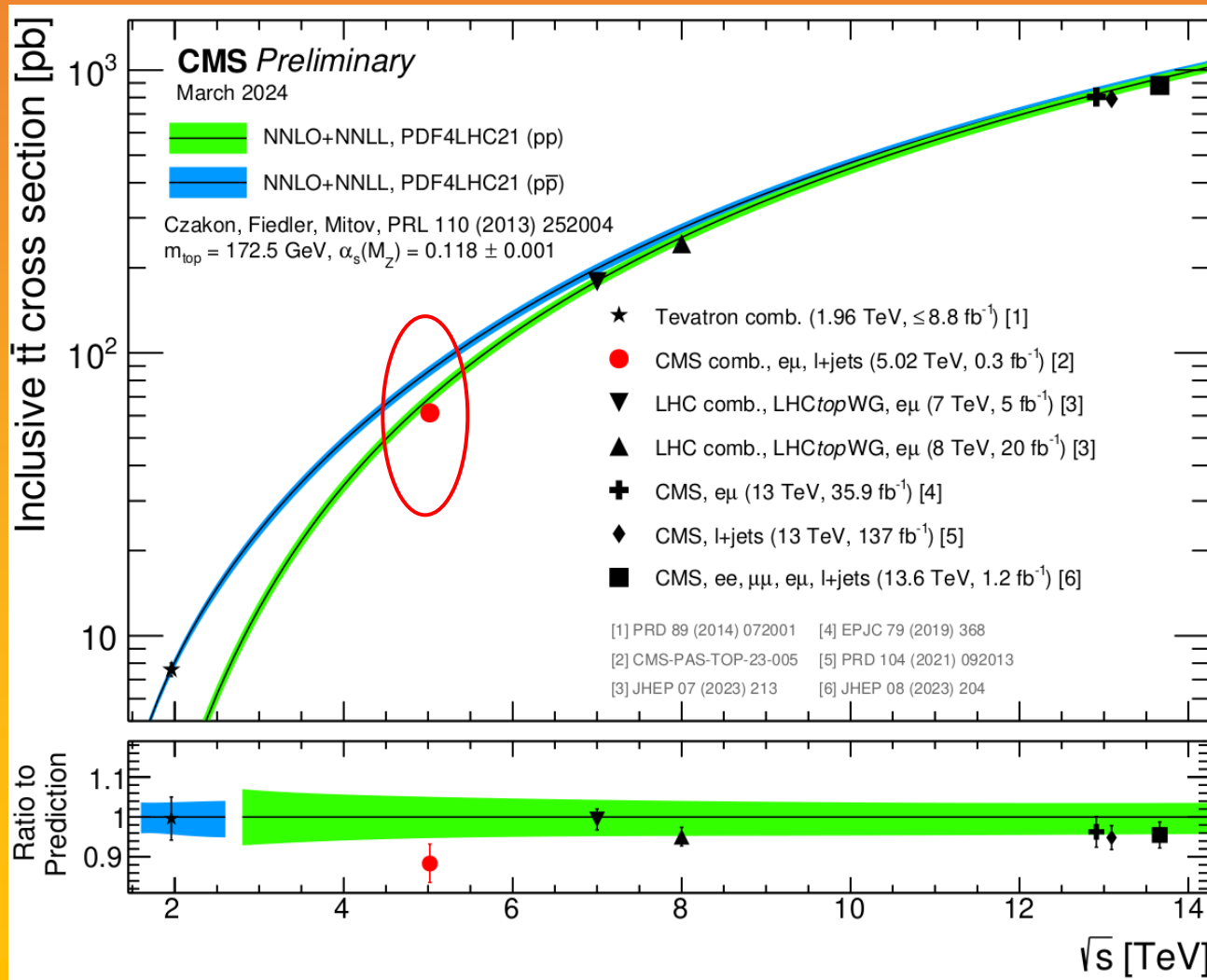
[\[arxiv:2409.06444](#)

[\(submitted to JHEP\)\]](#)

First single-top LHC result from Run 3

Both inclusive and differential cross section measurements

# $t\bar{t}$ @ 5.02 TeV



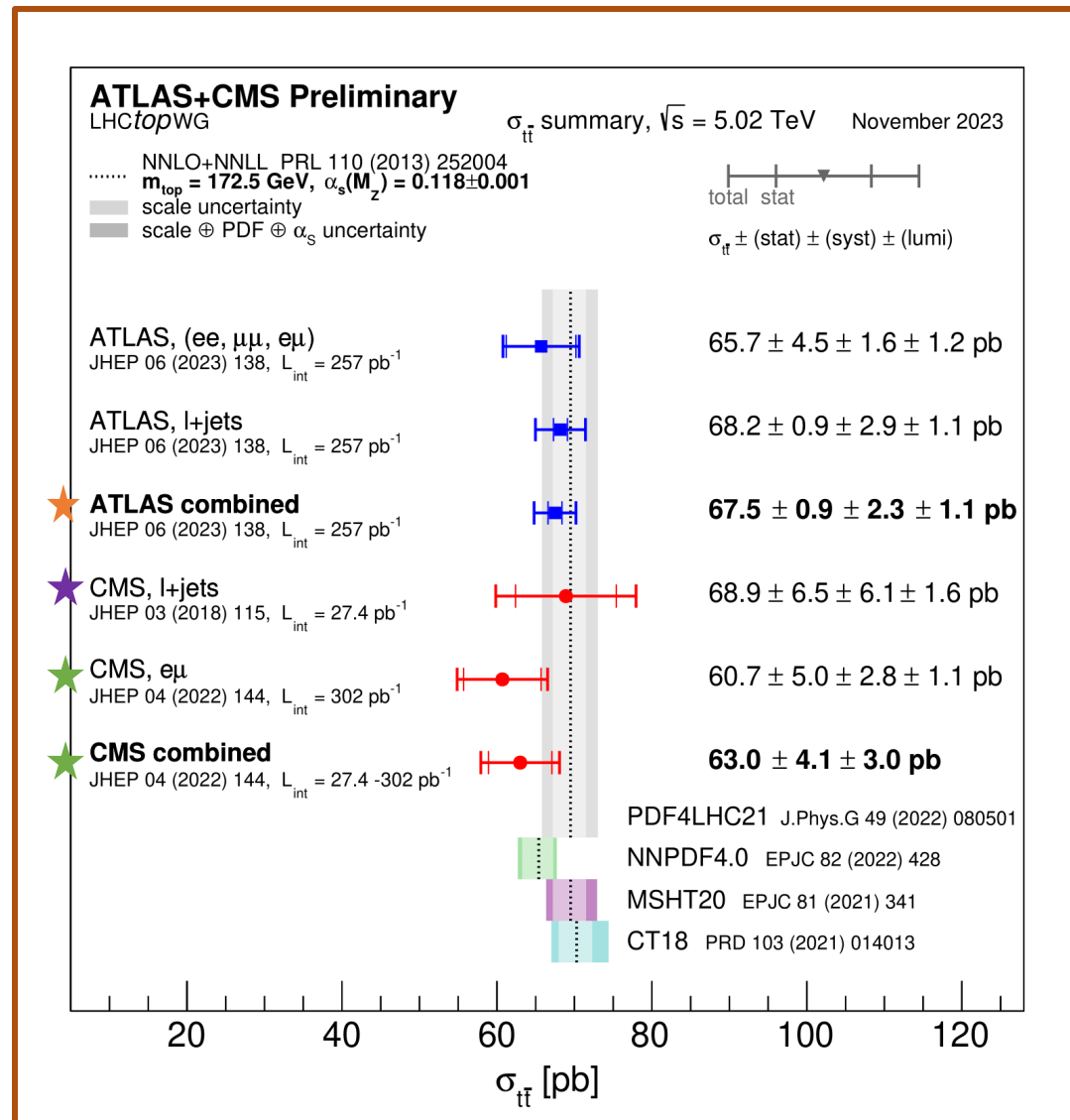
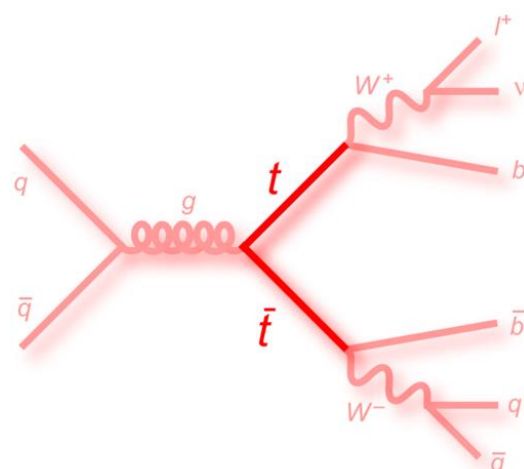
# MOTIVATION

**Goal:** measure the  $t\bar{t}$  cross section at 5.02 TeV in the **semileptonic** final state with the 2017 data  $302 \text{ pb}^{-1}$ .

➤ **Previous measurements overview:**

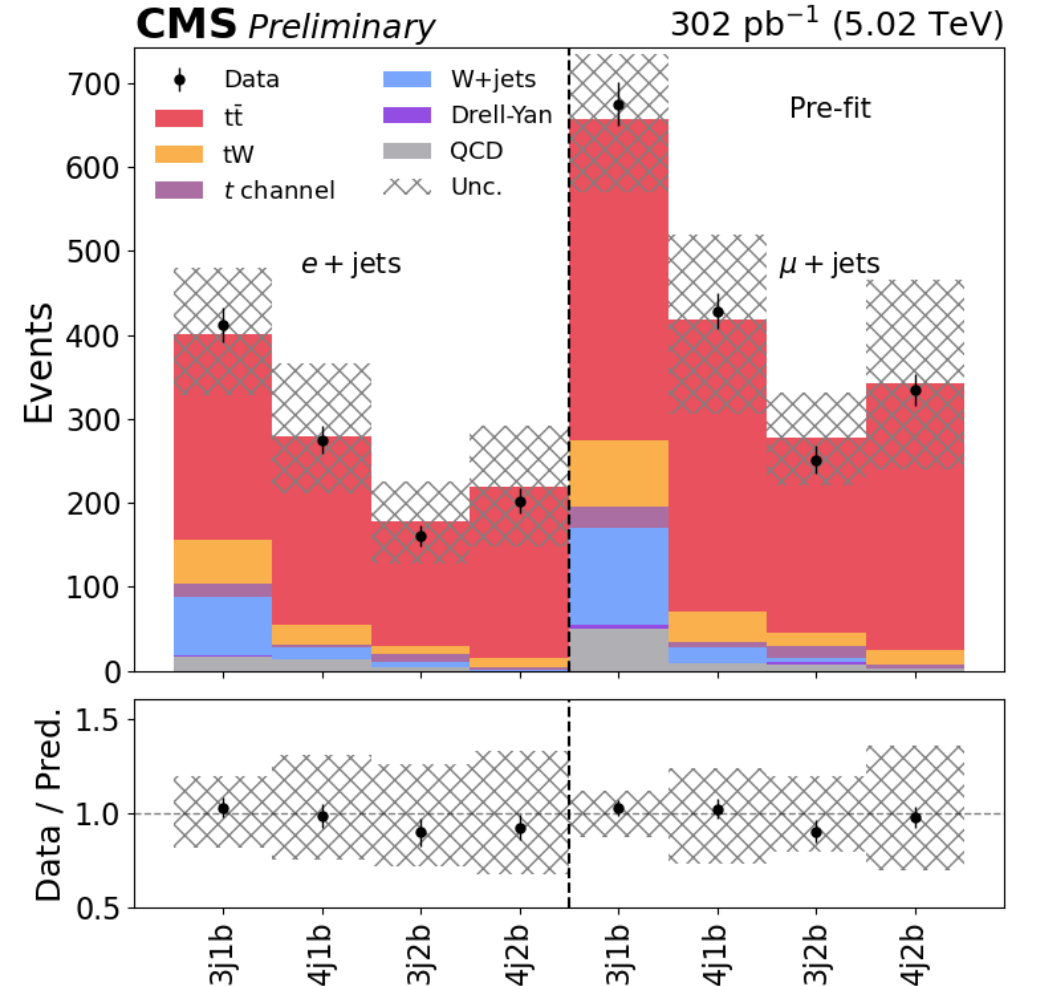
- [JHEP 03 \(2018\) 115](#) :  $27 \text{ pb}^{-1}$  (2015 data).  
Statistically dominated. Total uncertainty: 12%. ★
- [JHEP 04 \(2022\) 144](#) :  $302 \text{ pb}^{-1}$ . Uncertainty still dominated by statistics. **Combined with  $\ell$ +jets from 2015**. Total uncertainty: 8%. ★
- [JHEP 06 \(2023\) 138](#) (ATLAS): Combination **dilepton+single lepton** using the 2017 dataset. Uncertainty: 4%. ★

➤ This analysis combines  $\ell$ +jets with dilepton ★



# EVENT SELECTION

- **Exactly 1 lepton (electron or muon)** ( $p_T > 20$  GeV,  $|\eta| < 2.4$ ). Veto on sub-leading lepton of opposite flavour,  $p_T > 10$  GeV.
- **At least 3 jets** ( $p_T > 25$  GeV,  $|\eta| < 2.4$ ).
- **MET > 30 GeV.**
- Events are further categorized into **8 categories** depending on the **number of jets and b-tagged jets, and the lepton flavour** (electron or muon). Among those:
  - All are **signal-dominated**
  - $\ell + 3j \geq 2b$  and  $\ell + 4j \geq 2b$  are purest in **signal** (89% of total MC)
  - $\ell + 3j1b$  provide the greatest contribution from **tW** and **W+jets** backgrounds (12% and 18% of total MC)



- **4 main backgrounds: Single top (tW + t-channel), W+jets, QCD multijets (cut in MET > 30GeV to suppress it, estimated from data) and Drell-Yan.**

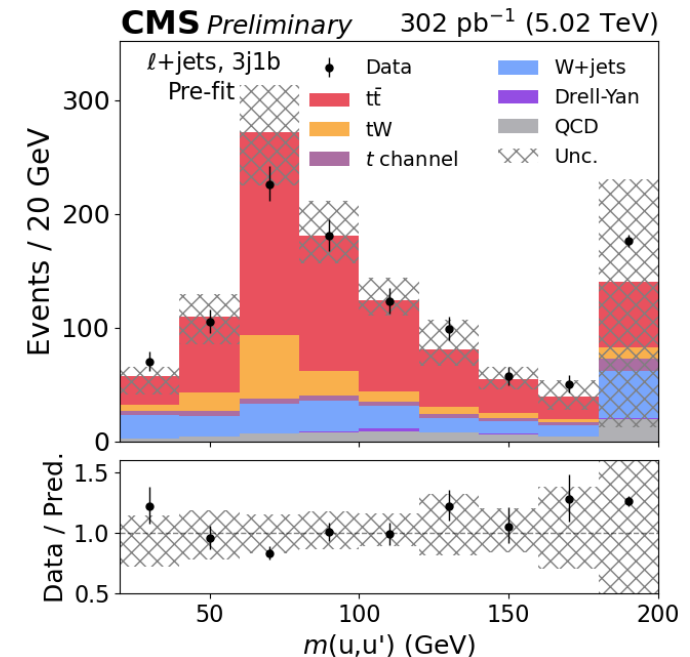
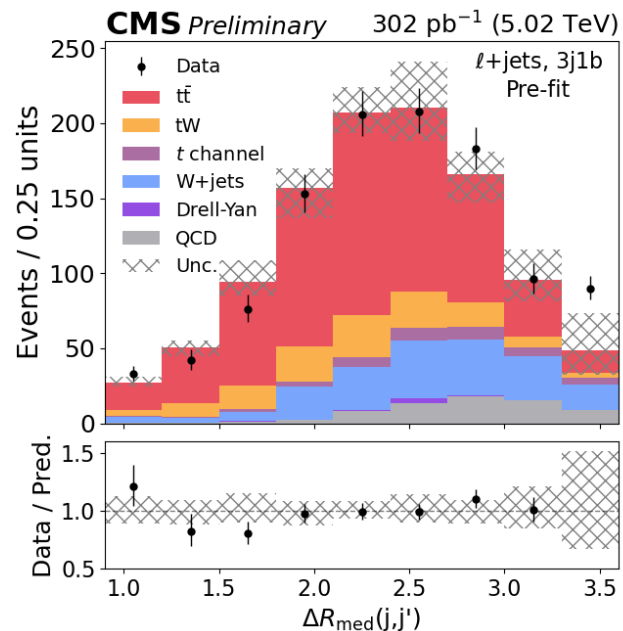
# ANALYSIS STRATEGY

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- Different observables were tested:  $m_{\tau}$ ,  $m(j,j')$ ,  $\Delta R(j, j')$ ,  $m(b,\ell)$ ...
- Finally, **median( $\Delta R(j, j')$ )** shape is used in the fits in every region except the **3j1b category**, where an **MVA** is trained to further separate  $t\bar{t}$  from W+jets.

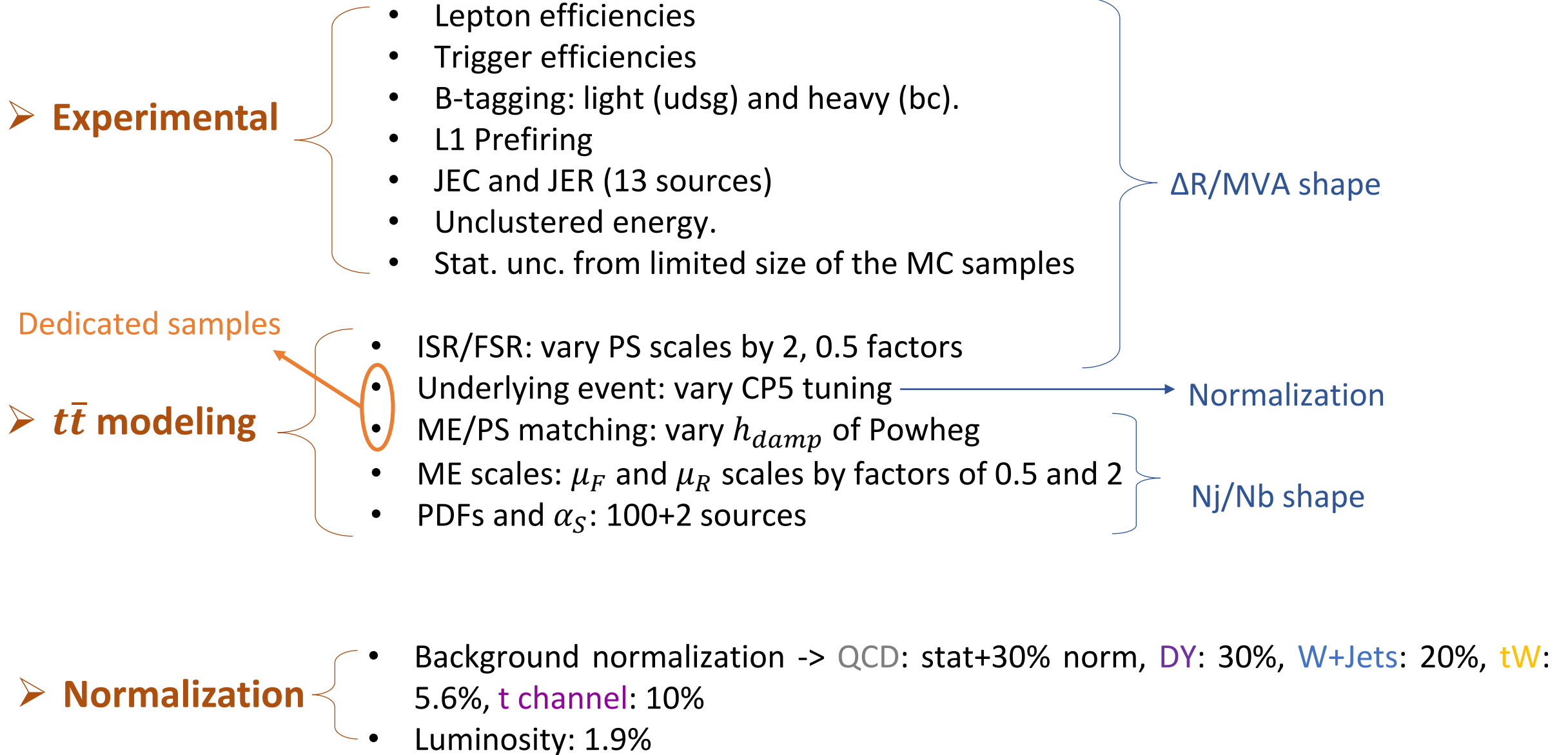
# ANALYSIS STRATEGY

- Different observables were tested:  $m_{\tau}$ ,  $m(j, j')$ ,  $\Delta R(j, j')$ ,  $m(b, \ell)$ ...
- Finally,  $\text{median}(\Delta R(j, j'))$  shape is used in the fits in every region except the **3j1b** category, where an **MVA** is trained to further separate  $t\bar{t}$  from W+jets.
- **MVA details:**
  - Model: random forest trained with Sklearn. 500 trees with max depth 6.
  - Signal:  $t\bar{t}$  sample. Background: W+jets sample.
  - Division of samples: 70% for train and 30% for test
  - 8 input variables: median  $\Delta R(j, j')$ ,  $m(u, u')$ ,  $\Delta R(u, u')$ ,  $\min m(j, j')$ ,  $m(b, \ell)$ ,  $H_{\tau}$ ,  $\Delta R(b, \ell)$ ,  $j_0 p_t$ .





# UNCERTAINTIES



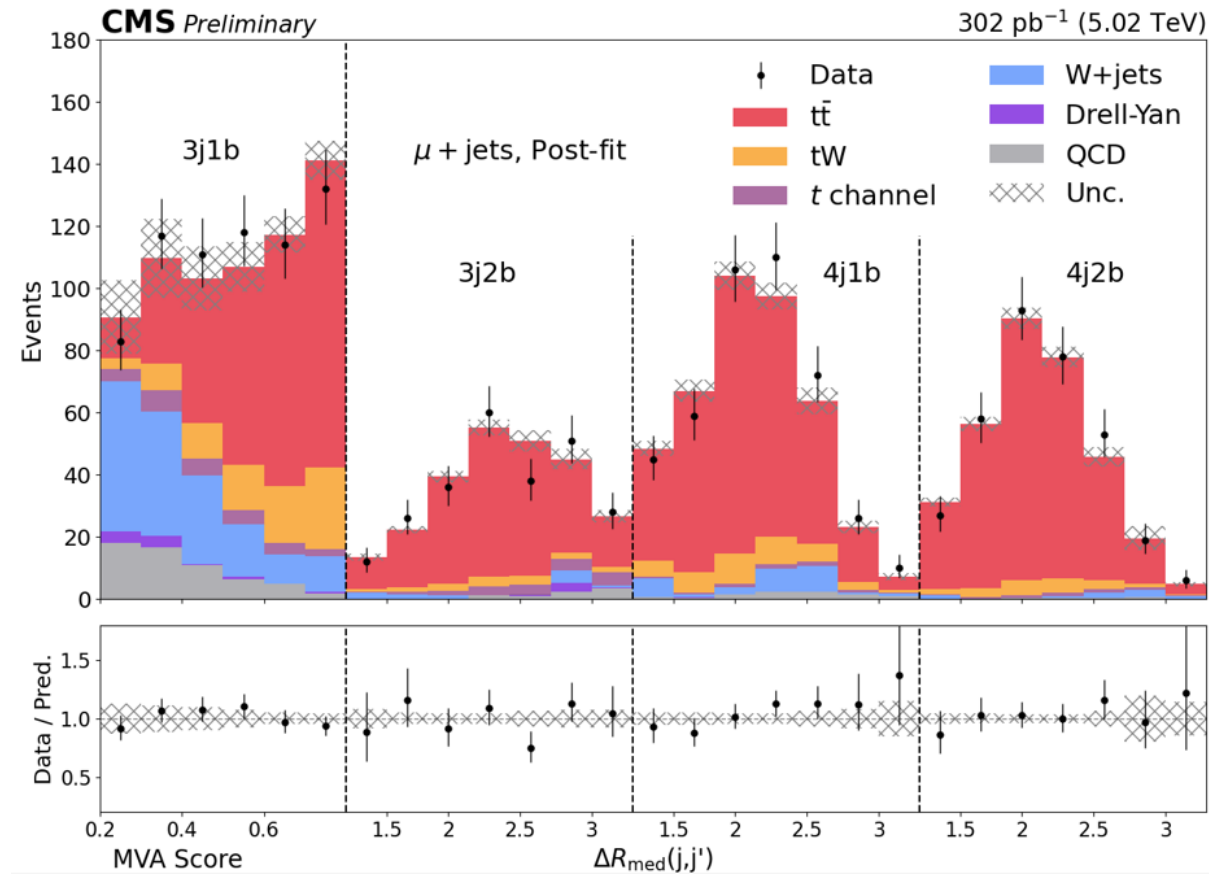
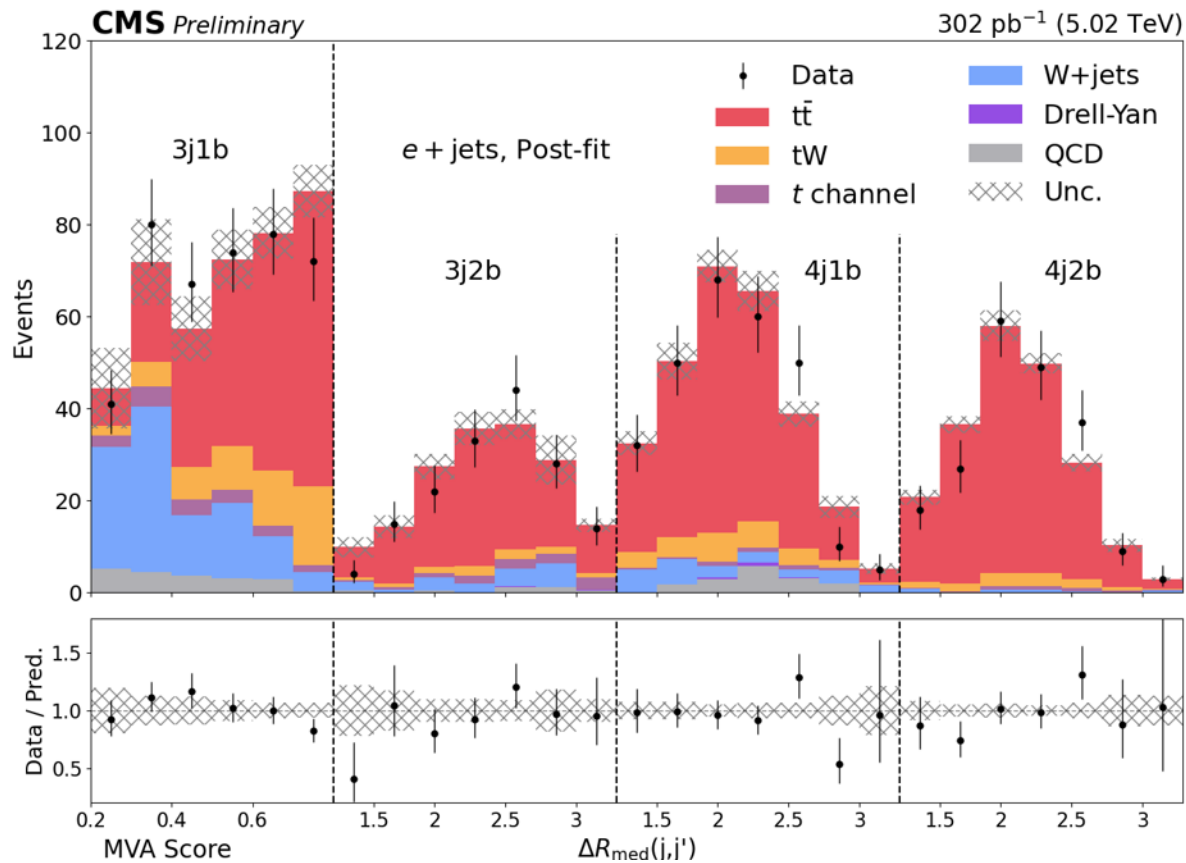


# CROSS SECTION MEASUREMENT

➤ Final distribution of 27 bins x 2 (e/μ) = 54 bins:

**median( $\Delta R(j, j')$ ) + MVA Score (3j1b category)**

➤ A maximum-likelihood fit is done simultaneously to the distributions.



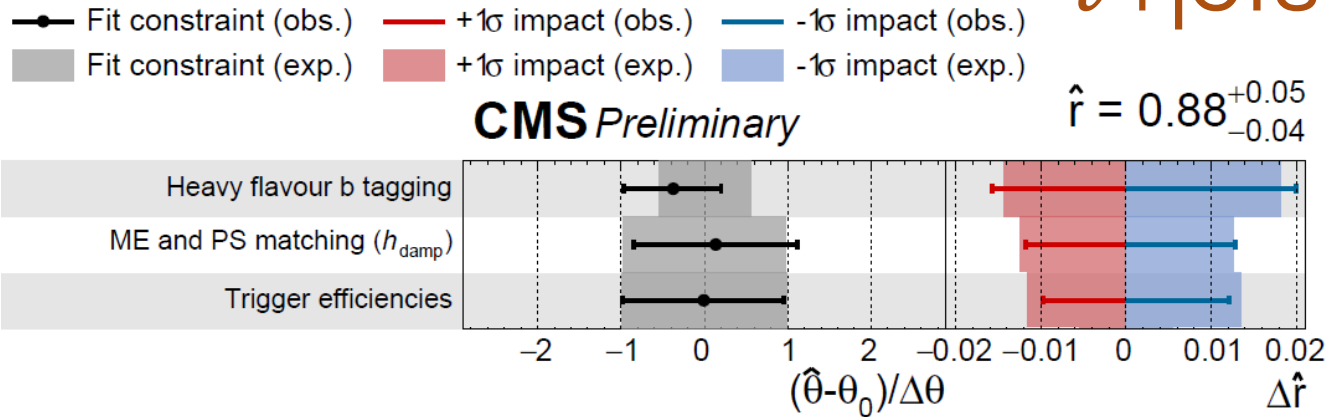
Postfit distributions

# OBSERVED RESULTS

SM prediction:  $\sigma_{t\bar{t}}^{SM} = 69.5_{-2.3}^{+2.0} (scales) \pm 2.9 (PDFs + \alpha_s) pb$

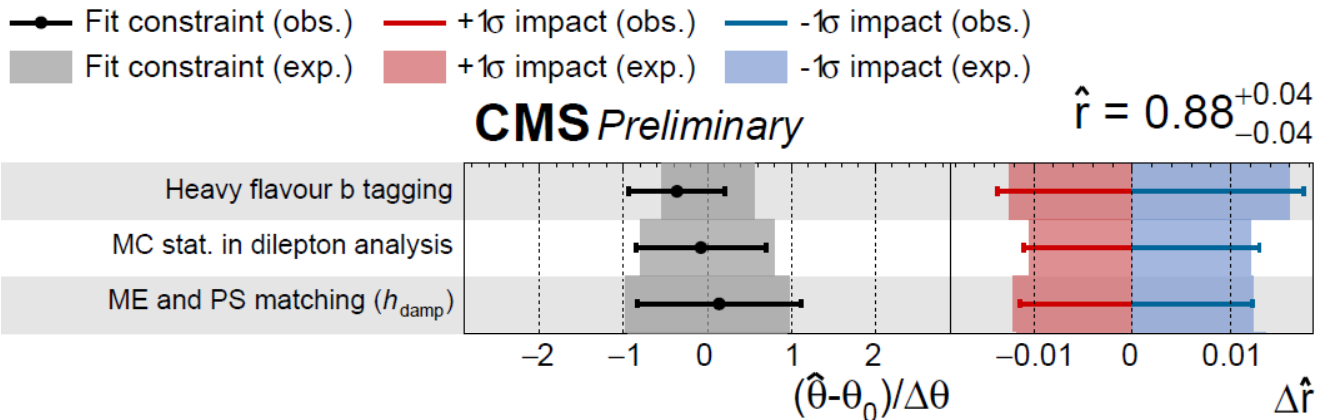
[LHCTopWG recomb.](#)

## $\ell$ +jets result



$$\sigma_{t\bar{t}} = 61.4 \pm 1.6 (stat)_{-2.6}^{+2.7} (syst) \pm 1.2 (lumi) pb$$

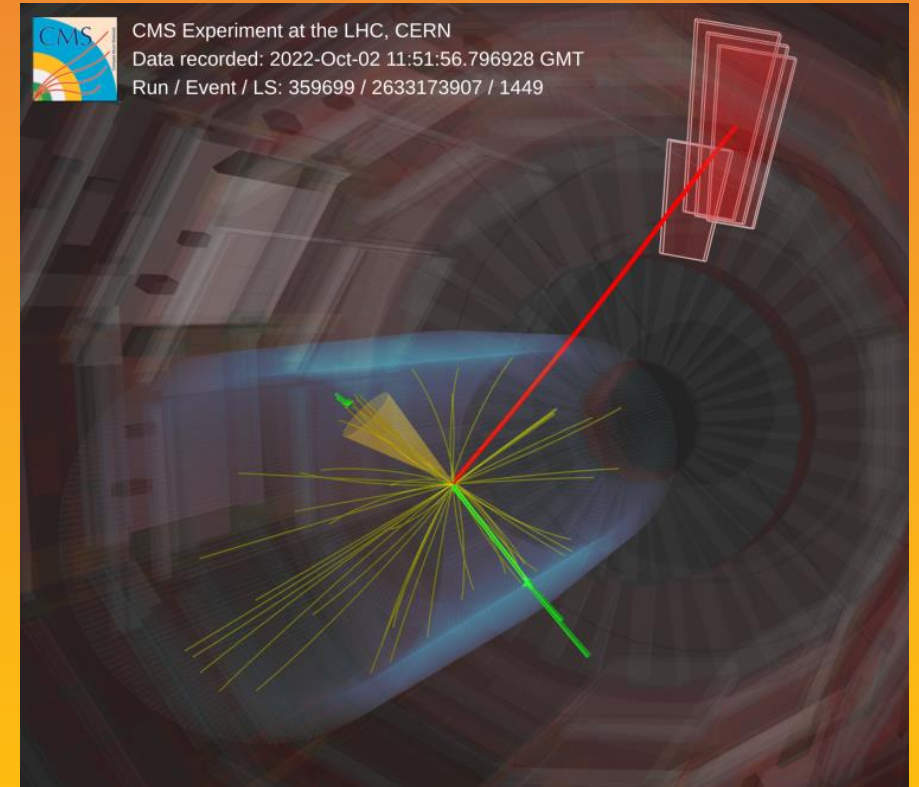
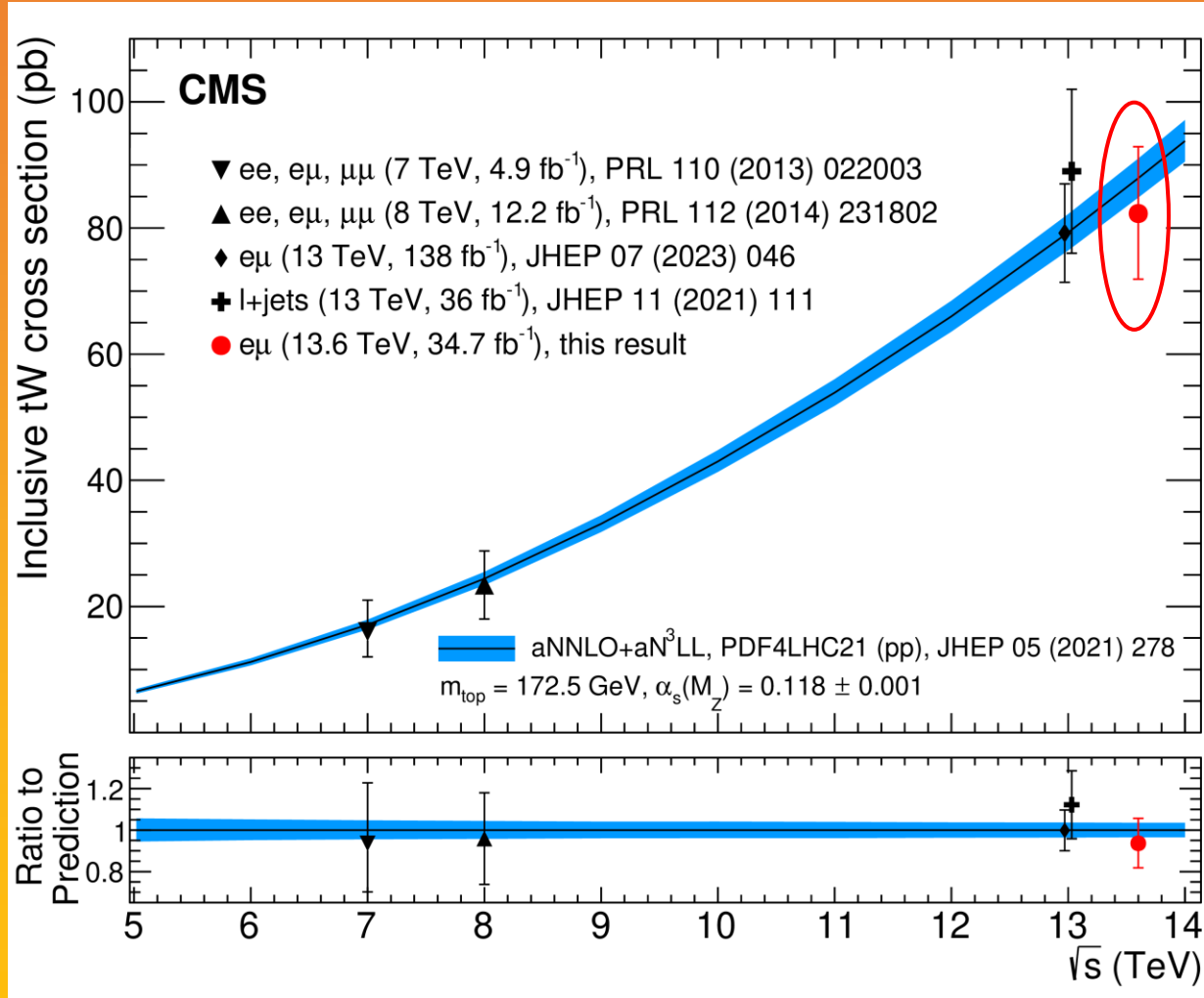
## Comb. with dilep result



$$\sigma_{t\bar{t}} = 61.2_{-1.5}^{+1.6} (stat)_{-2.3}^{+2.6} (syst) \pm 1.2 (lumi) pb$$

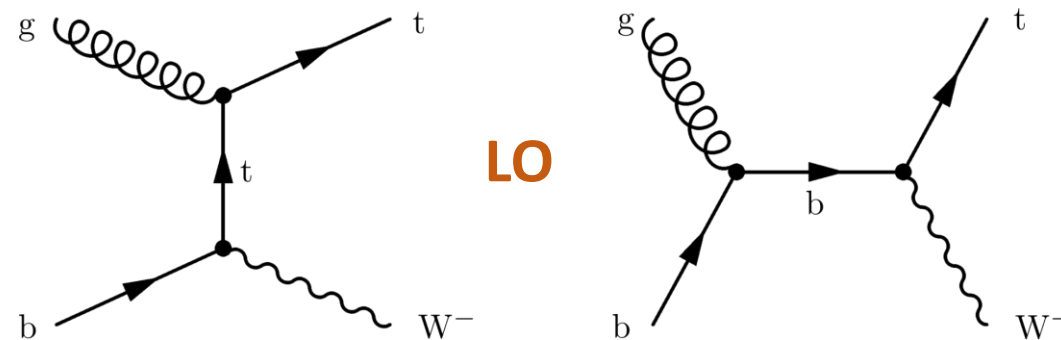
5.5% unc -> 5.1% unc

# $tW$ @ 13.6 TeV



# MOTIVATION

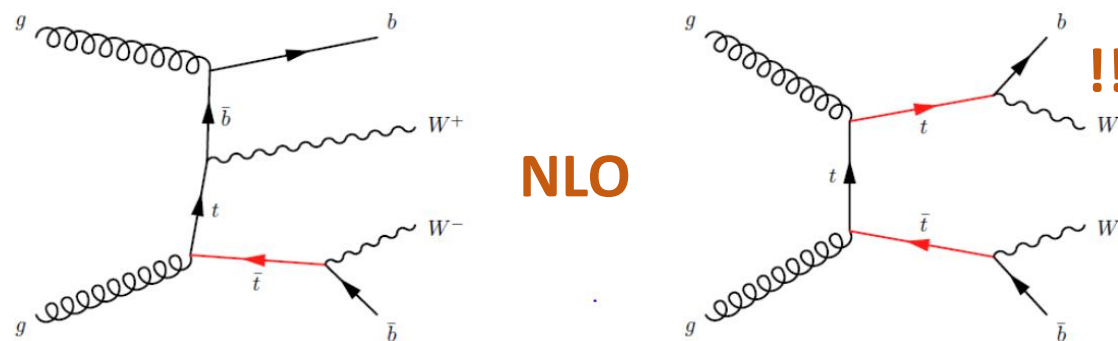
**Goal:** perform the first **inclusive and differential** cross section measurements **at 13.6 TeV** of the  $tW$  process using **Run 3** data (2022 data  $34.7 \text{ fb}^{-1}$ ).



➤ **Other measurements overview:**

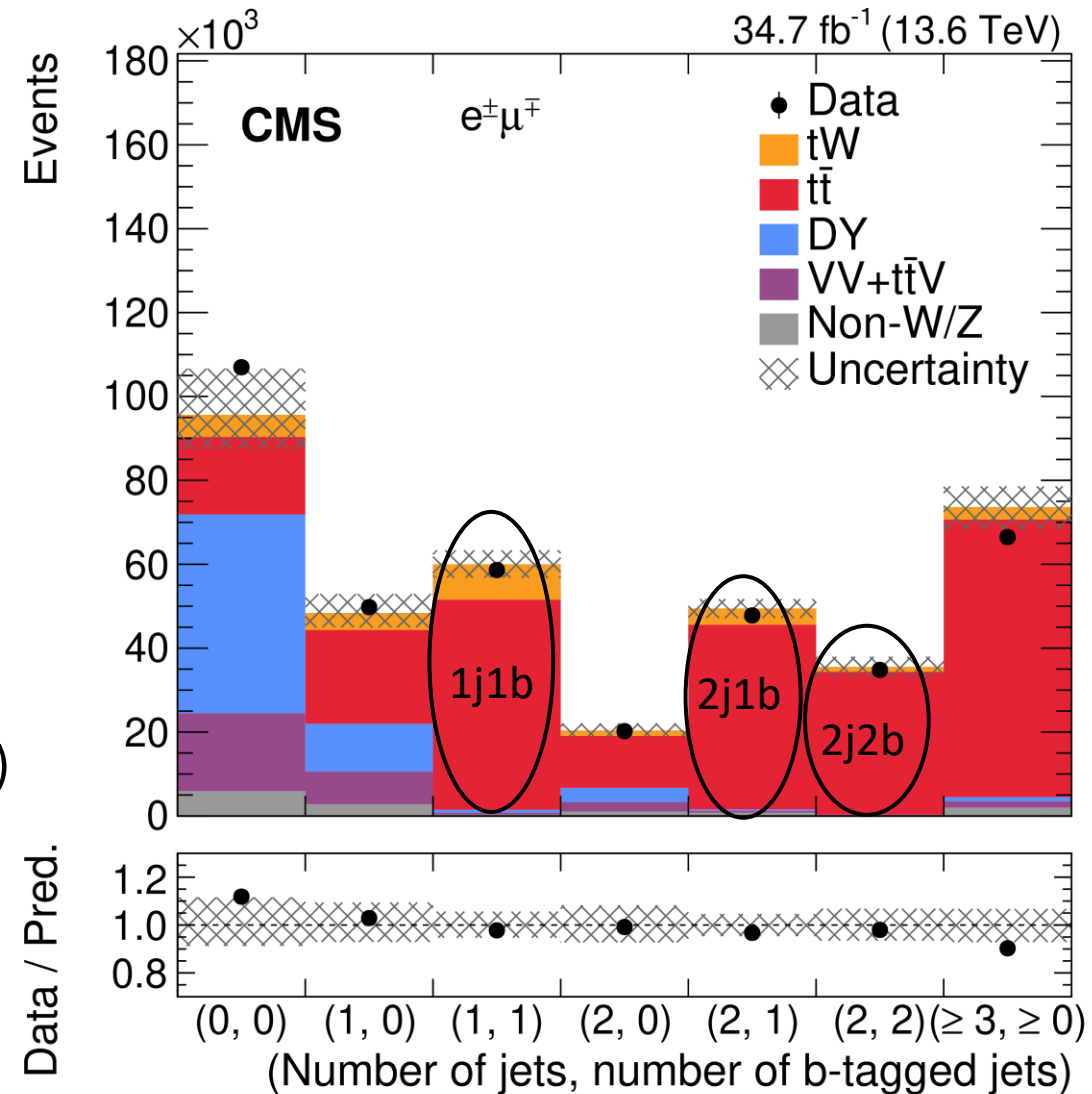
- [JHEP 07 \(2023\) 046](#): Inclusive and differential cross section measurements of  $tW$  using full Run 2.
- [CMS-PAS-TOP-19-003](#): Differential cross section measurements of  $tW$  using 2016 data.
- [JHEP 10 \(2018\) 117](#): Inclusive cross section measurement of  $tW$  using 2016 data
- [ArXiv 2407.15594](#): Inclusive cross section measurement of  $tW$  using full Run 2 (ATLAS).

➤ **Main challenge:**  $tW$  interferes with  $t\bar{t}$  at NLO in QCD, and largely dominates the signal contribution. **Diagram Removal** (DR, for the nominal sample) and **Diagram Subtraction** (DS, for differential measurements comparisons) schemes used to avoid double counting of diagrams.



# EVENT SELECTION

- **At least 2 leptons opposite charge and flavour ( $e^\pm \mu^\mp$ )** ( $p_T > 20$  GeV,  $|\eta| < 2.4$ ). Leading lepton  $p_T > 25$  GeV.
- **Jets** ( $p_T > 30$  GeV,  $|\eta| < 2.4$ ).
- **$m(\ell_1, \ell_2) > 20$  GeV.**
- **Categories** depending on the **number of jets and b-tagged jets**. Among those, used are **1j1b**, **2j1b** (SRs) and **2j2b** ( $t\bar{t}$  CR)



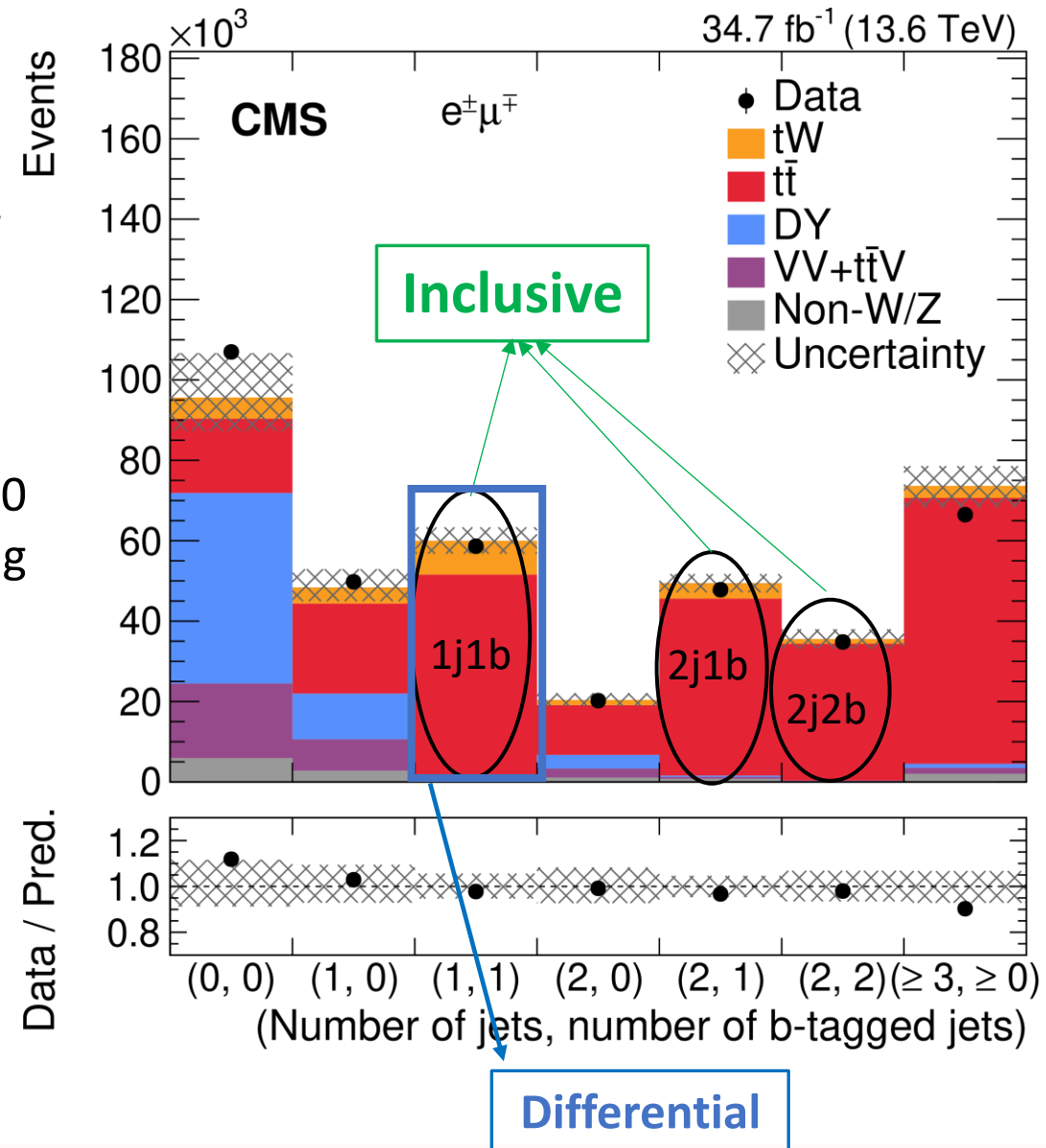
# ANALYSIS STRATEGY

➤ **Inclusive:** ML fit to 3 distributions:

- **1j1b:** Random Forest MVA discriminating  $tW$  vs  $t\bar{t}$  vs  $DY$
- **2j1b:** Random Forest MVA discriminating  $tW$  vs  $t\bar{t}$  vs  $Non - W/Z$  ( $t\bar{t}$  semileptonic)
- **2j2b:** subleading jet  $p_T$

➤ **Differential:** **1j1b** region, **veto** on low energy jets ( $p_T < 30$  GeV), signal extraction via **bkg subtraction**, unfolding using **Tunfold**. Study of:

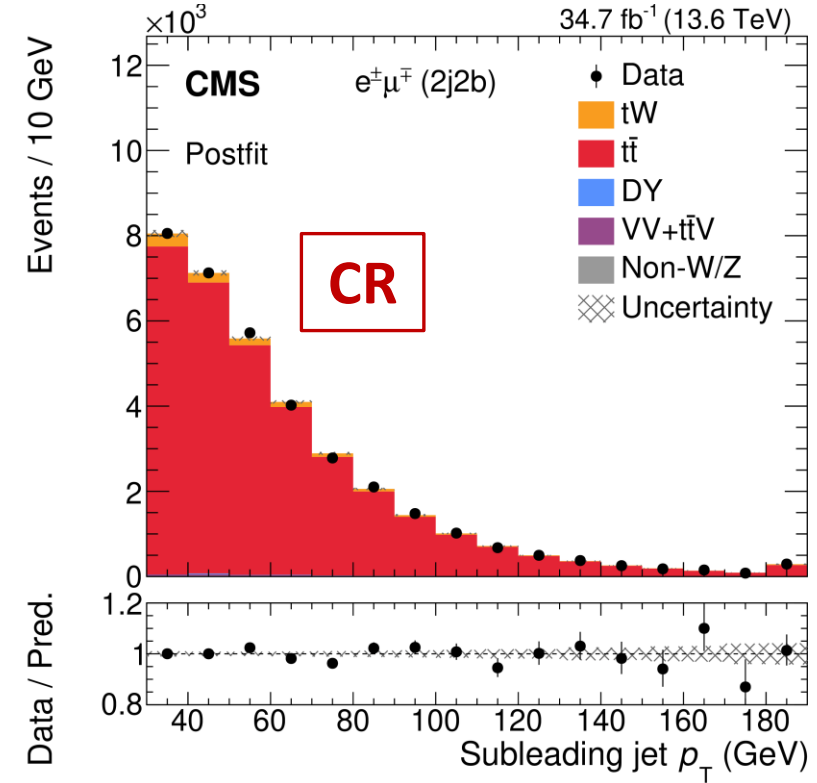
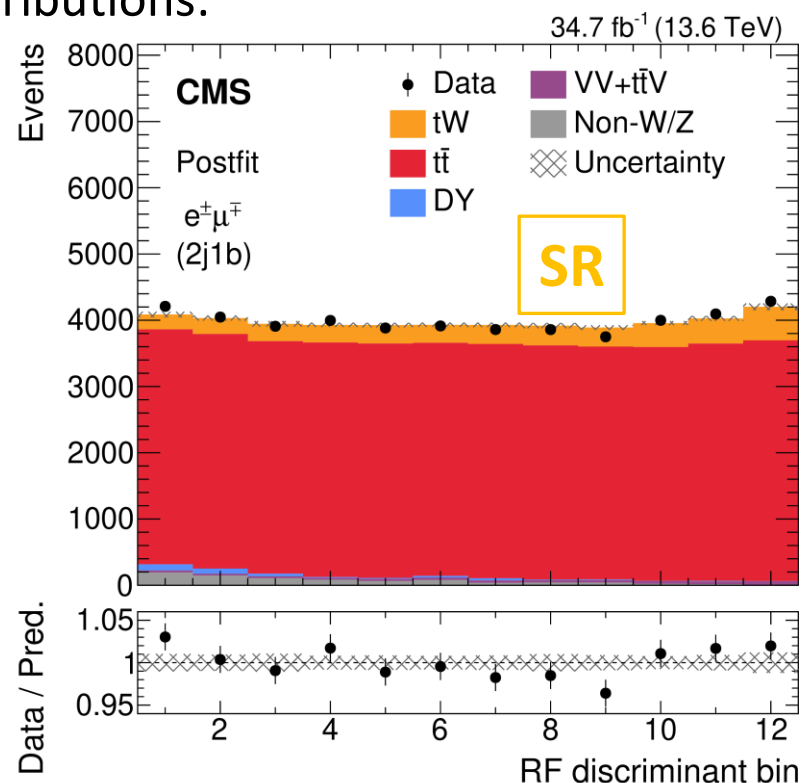
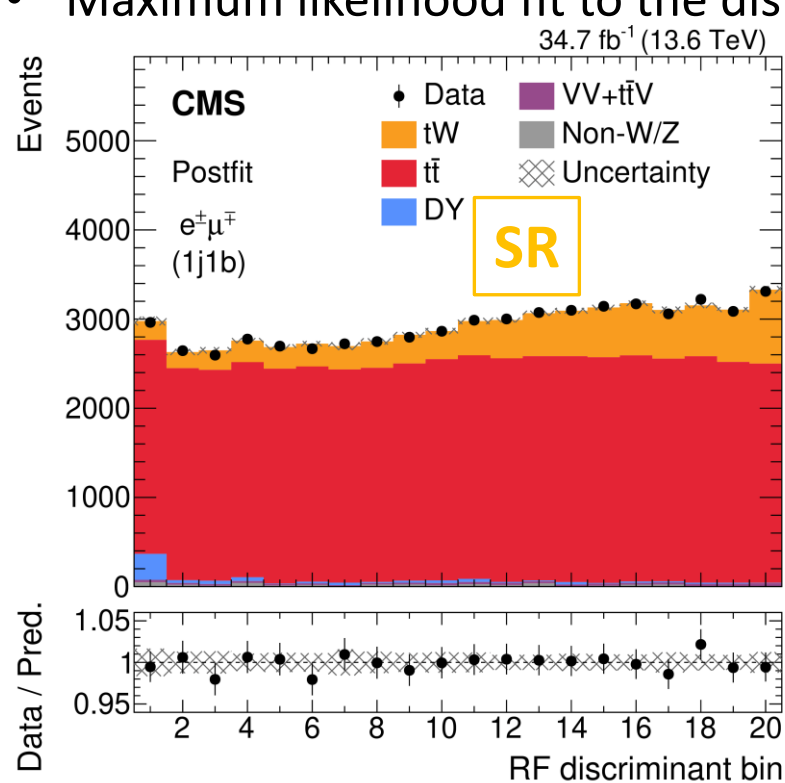
- $p_T$  of leading lepton
- $p_T$  of jet
- $\Delta\phi(e, \mu)$
- $p_z(e, \mu, jet)$
- $m(e, \mu, jet)$
- $m_T(e, \mu, jet, p_T^{miss})$





# INCLUSIVE MEASUREMENT

- For each RF, 8 kinematic observables are chosen in two independent trainings according to:
  - Discriminating power
  - Data/MC agreement (GoF test with p-value>5%)
- The most discriminating (1j1b) are:  $p_T(\text{loose } j_0), p_T(\ell_0), p_T(e^\pm, \mu^\mp, j), m(e^\pm, \mu^\mp)$ .
- Maximum likelihood fit to the distributions:





# UNCERTAINTIES I

## ➤ Experimental (shape)

- Lepton efficiencies
- Trigger efficiencies
- Electron scale and smearing: e momenta varied from e scale and smearing corrections
- B-tagging and mistagging
- JEC and JER: 20 groups of sources
- Unclustered energy: from calorimeters, into the momentum resolution of PF candidates
- Pile-up reweighting:  $\pm 4.6\%$  variation in pp inelastic cross section

## ➤ Normalization

- Background normalization ->  $t\bar{t}$ : 3.5%,  $VV + t\bar{t}V$ : 15%,  $DY$ : 10%, Non-W/Z ( $W+jets$ ,  $t\bar{t}$  semileptonic): 15%
- Luminosity: 1.4%

# UNCERTAINTIES II

## ➤ Modeling (shape)

- PDFs and  $\alpha_s$ : 100+2 sources. 2 nuisances
- Underlying Event: vary CP5 tuning. Dedicated samples
- Color Reconnection: 3 models, nuisance per model
- $m_{top}$ :  $\pm 3$  GeV varied samples, extrapolated to  $\pm 0.33$  GeV
- FSR: vary PS scales by 2, 0.5 factors

Correlated  $tW$  &  $t\bar{t}$

- ISR: vary PS scales by 2, 0.5 factors
- ME scales:  $\mu_F$  and  $\mu_R$  scales by factors of 0.5 and 2

Uncorrelated  $tW$  &  $t\bar{t}$

- Top quark  $pT$  modeling: difference reweighted & unweighted
- ME/PS matching: vary  $h_{damp}$  of Powheg. Dedicated samples

Only  $t\bar{t}$

- DS: Dedicated samples

Only  $tW$

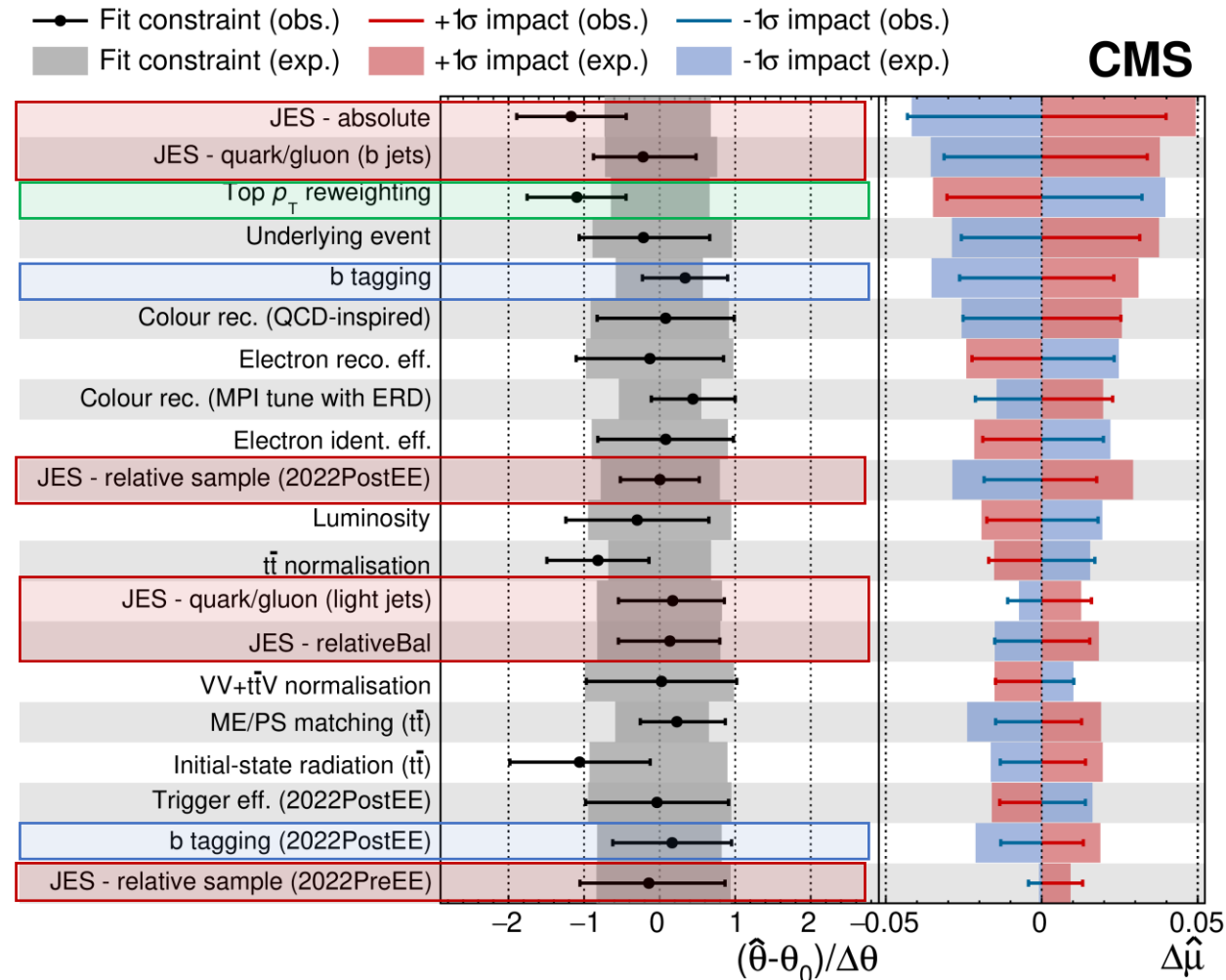
# INCLUSIVE MEASUREMENT

$$\sigma_{tW}^{SM} = 87.9_{-1.9}^{+2.0}(\text{scale}) \pm 2.4 (\text{PDFs} + \alpha_s) \text{ pb}$$

↙ aN<sup>3</sup>LO, [LHCTopWG Recomm.](#)

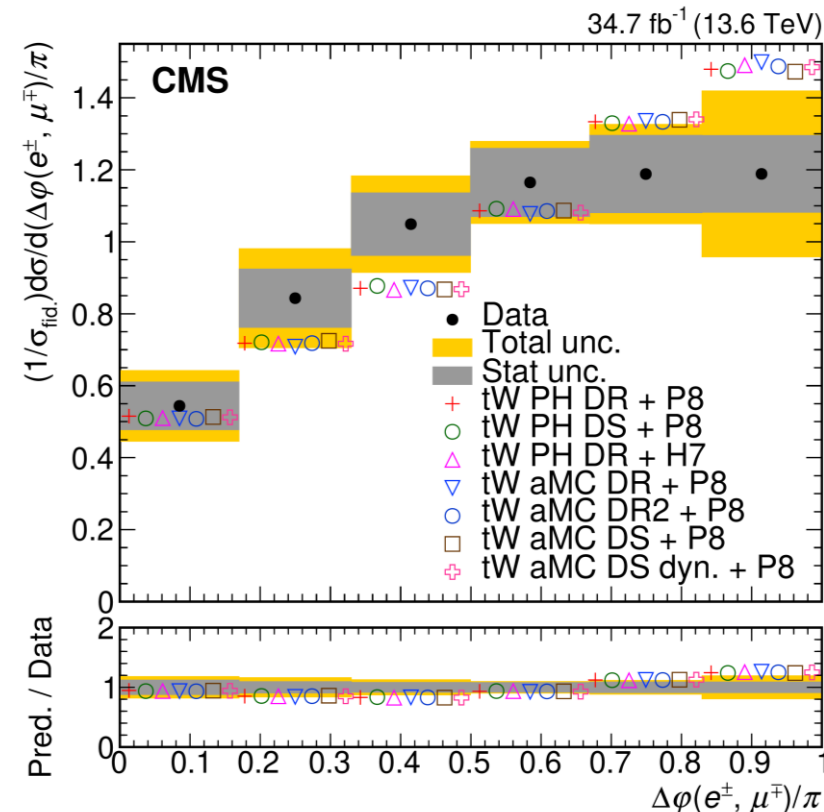
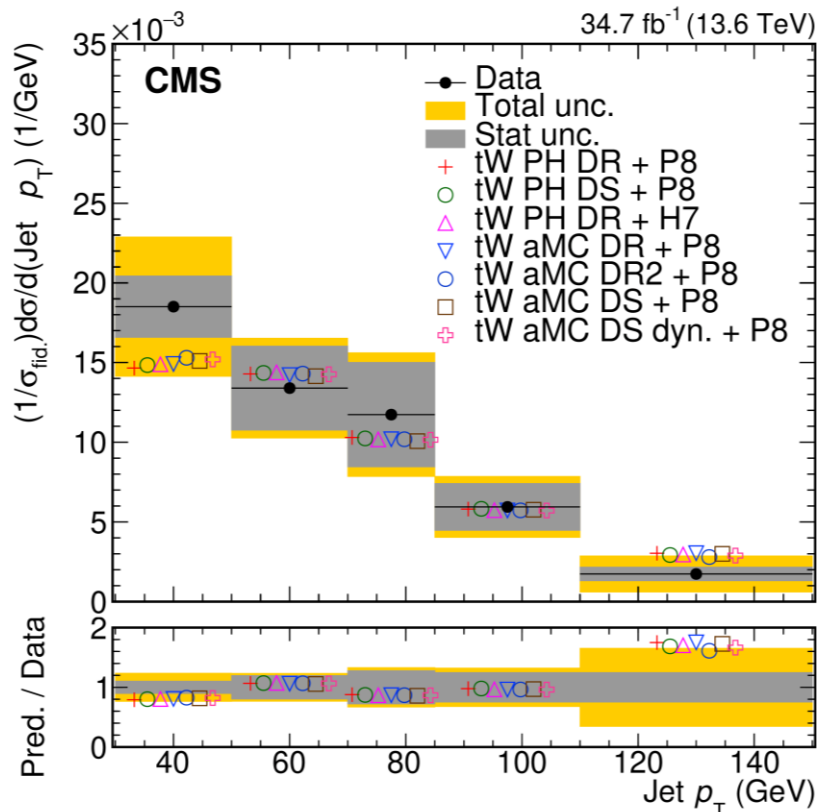
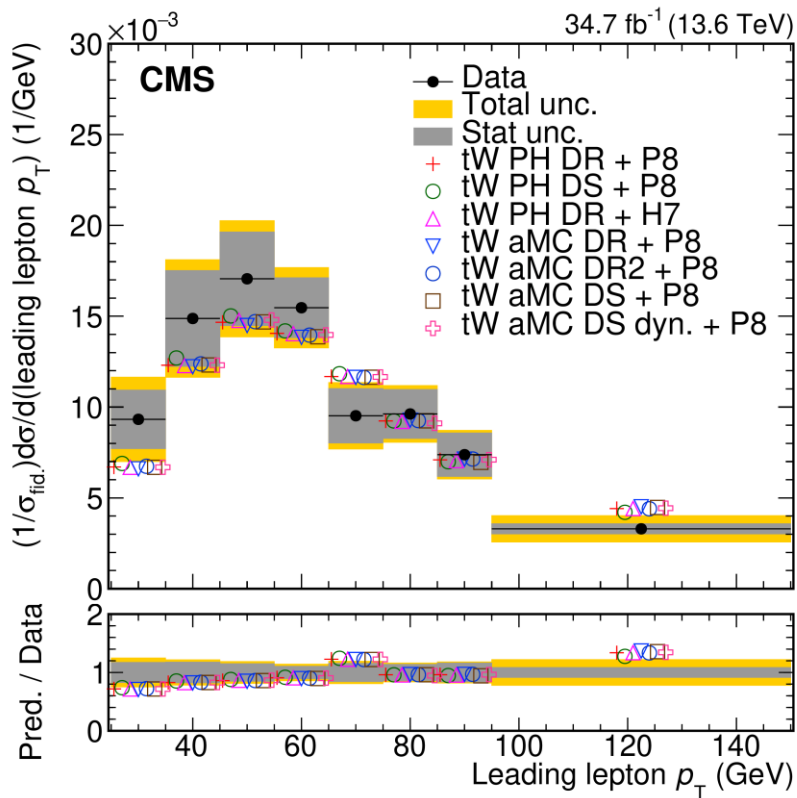
$$\sigma_{tW}^{obs} = 82.3 \pm 2.1 (\text{stat})_{-9.7}^{+9.9}(\text{syst}) \pm 3.3 (\text{lumi}) \text{ pb}$$

- Measurement dominated by **systematic** uncertainties.
- Main difference between  $tW$  and  $t\bar{t}$  is the **additional b jet** in  $t\bar{t}$ , thus:
  - The leading uncertainties are the ones associated with **energy of jets** and **b tagging**.
  - In addition, **Top  $p_T$  reweighting**. Accounting for mismodelling of  $p_T$  spectrum in PowHeg sample. More in [Olaf's talk](#).



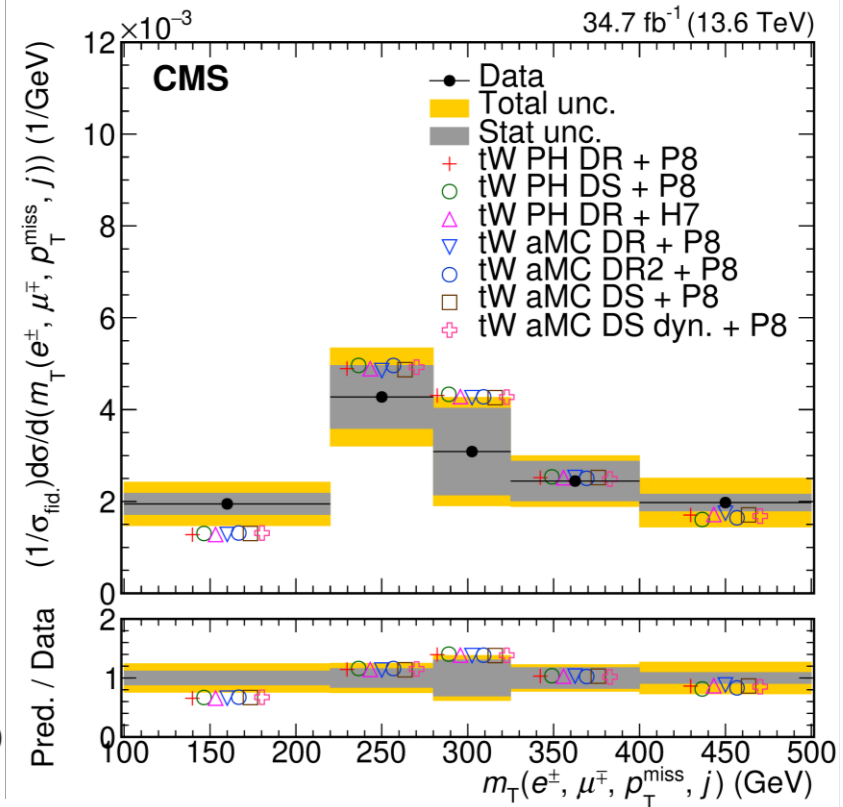
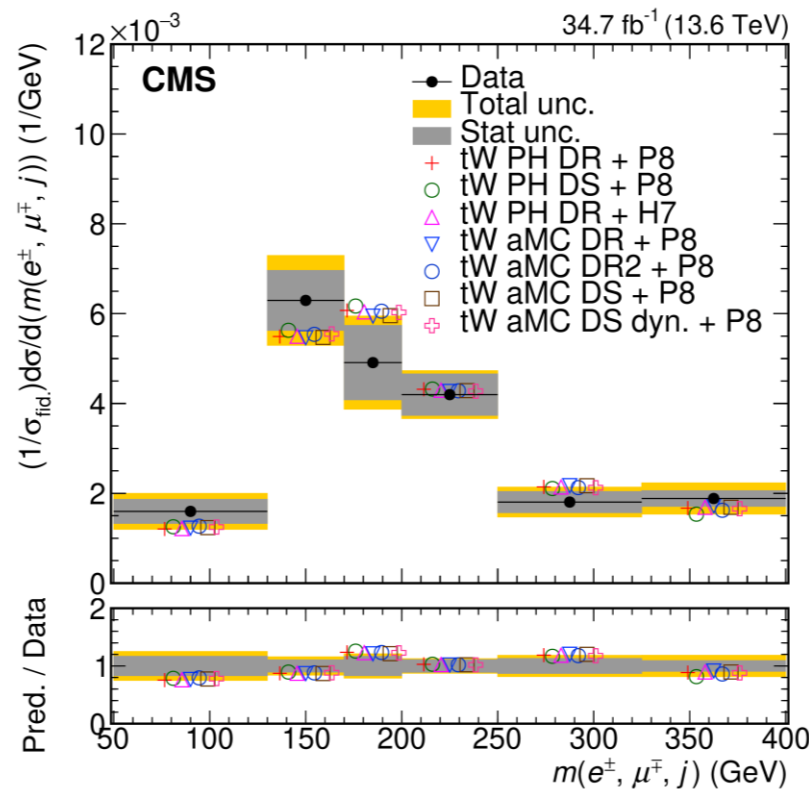
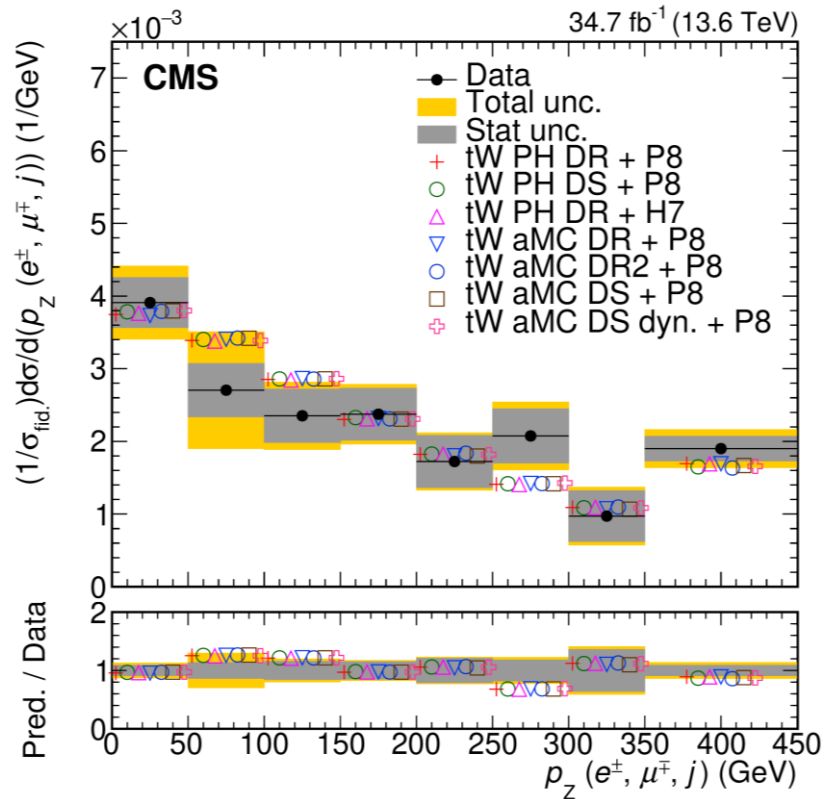
# DIFFERENTIAL MEASUREMENT

- Results normalized to the fiducial cross section and bin width
- Good agreement between measurements and predictions from different event generators:
  - POWHEG vs MADGRAPH5\_aMC@NLO
  - PYTHIA8 vs HERWIG7
- Different schemes to treat the interference between  $tW$  and  $t\bar{t}$



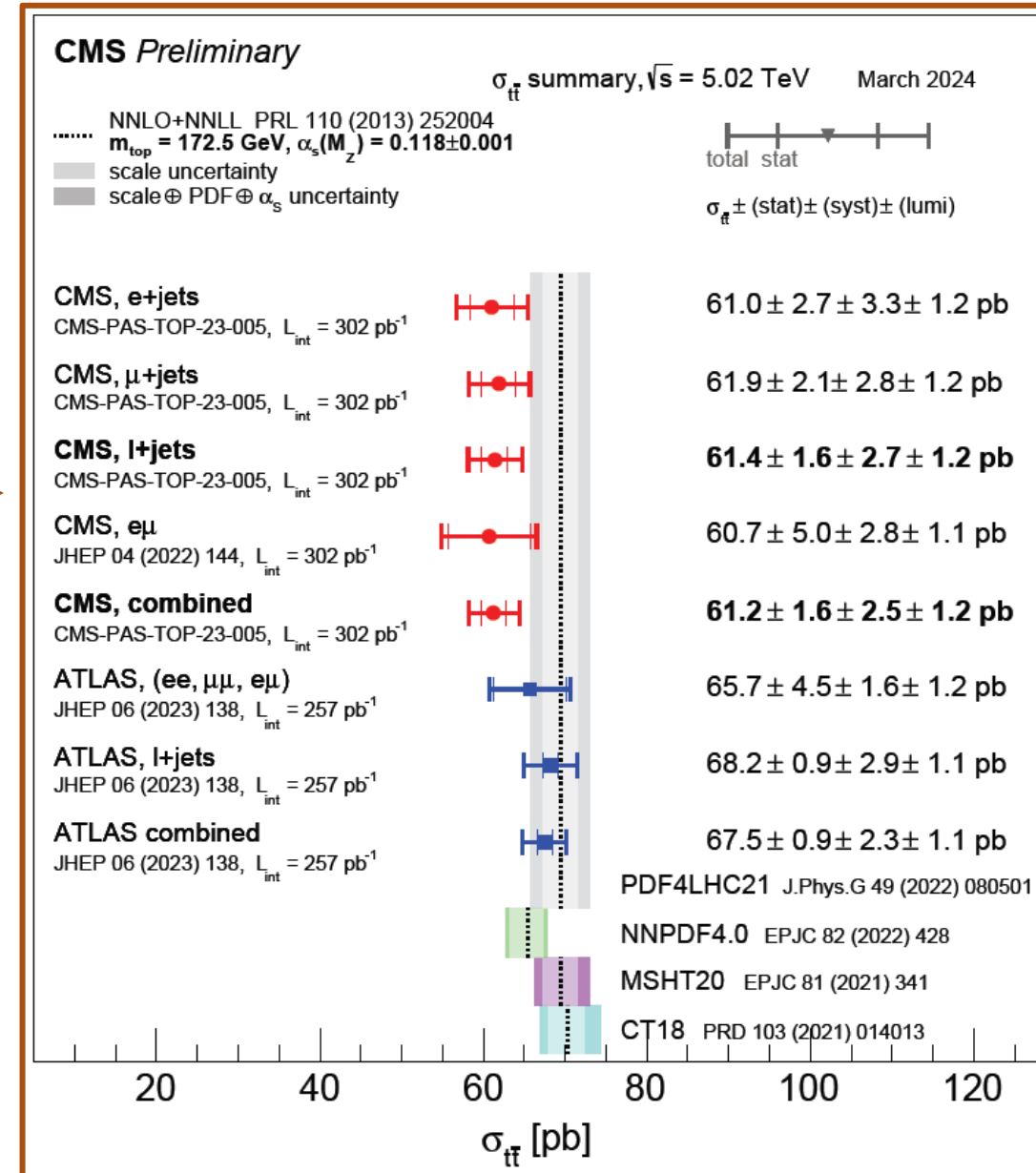
# DIFFERENTIAL MEASUREMENT

- A  $\chi^2$  GoF test is performed for the differential distributions to compare observed result with different MC generators.
- Performed using the full covariance matrix as well as statistical uncertainties of the predictions.
- Compute p-values of the test, being almost all above 0.9-0.95.



# SUMMARY

- Most precise CMS result for the  $t\bar{t}$  inclusive cross section at 5.02 TeV! Means great improvement with respect to previous results:
  - previous  $\ell$ +jets CMS result ([JHEP 03 \(2018\) 115](#)): **13%  $\rightarrow$  5.5%**.
  - previous dilepton &  $\ell$ +jets CMS result ([JHEP 04 \(2022\) 144](#)): **8.4%  $\rightarrow$  5.1%**.
- Result consistent with SM prediction:  $\longrightarrow$
- First inclusive and differential cross section measurements of the  $tW$  process at 13.6 TeV at LHC.
- Measured inclusive cross section of  $\sigma_{tW}^{obs} = 82.3 \pm 2.1 (stat)_{-9.7}^{+9.9} (syst) \pm 3.3 (lumi) pb$  in agreement with SM prediction of  $\sigma_{tW}^{SM} = 87.9_{-1.9}^{+2.0} (scale) \pm 2.4 (PDFs + \alpha_s) pb$ .
- Differential measurements also compatible with SM expectations with different generators and schemes.







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# THANKS FOR YOUR ATTENTION!

Any question?





Back up

- **DATA:**
  - **2017** data, for an integrated luminosity of **302 pb<sup>-1</sup>**
  - Usage of **single-lepton** triggers
  - Mean number of pp interactions per bunch crossing  $\approx 2$  -> **low PU**.

➤ **MC:**

Process	Generator + Parton Shower	Cross section, $\sigma_{\text{norm}}$ (pb)	Order of $\sigma_{\text{norm}}$ approximation
$t\bar{t}$	POWHEG + PYTHIA 8	69.5	NNLO+NNLL [28, 29]
$t$ channel	POWHEG + PYTHIA 8	30.3	Approximate NNLO [37]
$tW$	POWHEG + PYTHIA 8	6.54	Approximate NNLO [38]
$W$ +jets	MADGRAPH5_aMC@NLO + PYTHIA 8	21159	NNLO[QCD]+NLO[EW] [39]
Drell-Yan	MADGRAPH5_aMC@NLO + PYTHIA 8	3647	NNLO[QCD]+NLO[EW] [39]

➤ **Corrections:**

- **Lepton** (e and  $\mu$ ), **Trigger**, **B-tag SFs + JECs**.

# QCD ESTIMATION

## 1) Define a control region: “non-iso”

We invert the lepton MVA and the isolation requirements to obtain a region enriched in QCD events.

Estimation of QCD in the CR as:  $N^{\text{non-iso}}(\text{QCD}) = N^{\text{non-iso}}(\text{obs}) - N^{\text{non-iso}}(\text{MC})$

## 2) Calculate the extrapolation factor using low-MET events: $\text{MET} < 20 \text{ GeV}$

Assuming that the reconstruction of a QCD lepton does not depend on MET, we compute the extrapolation factor from the control region to our signal region using low-MET events.

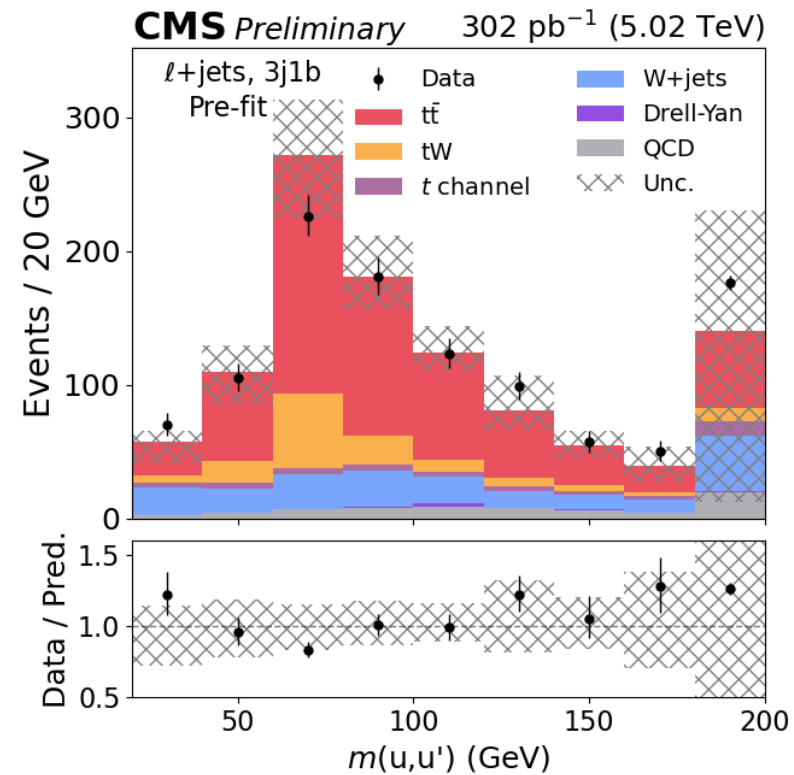
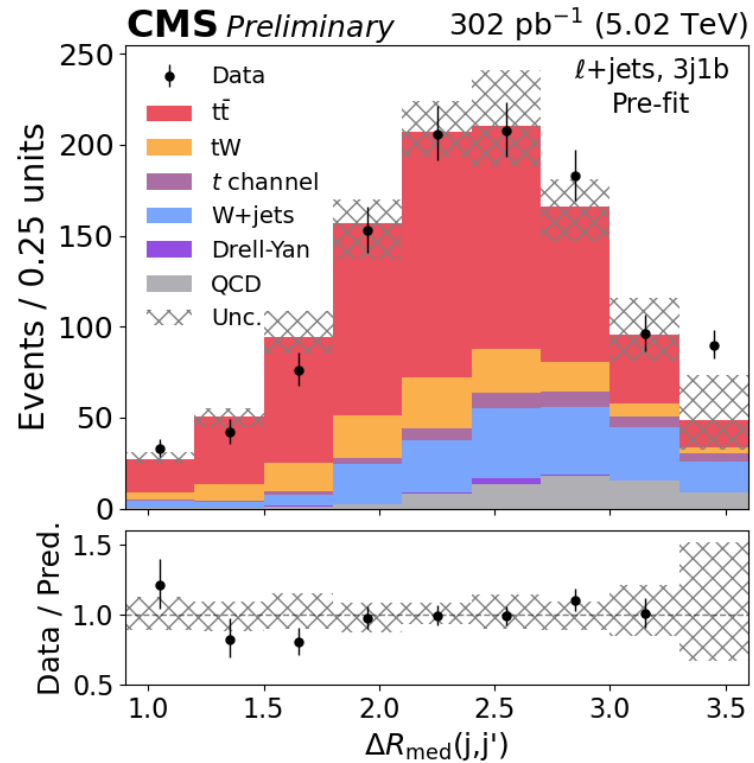
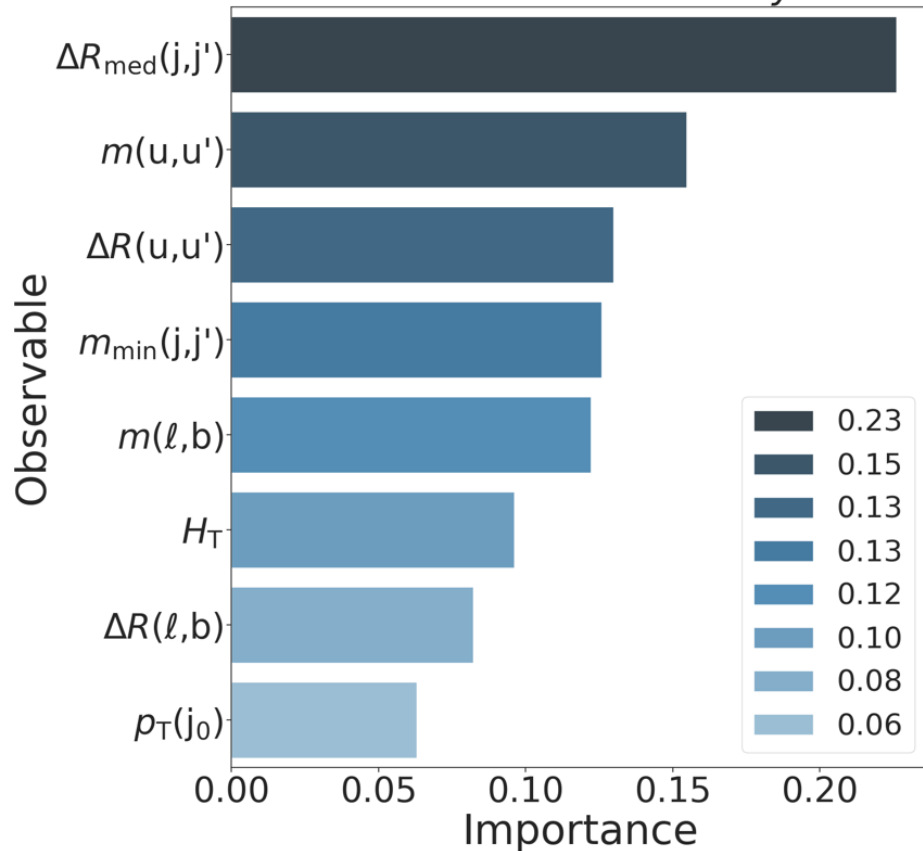
## 3) QCD estimation in the signal region:

$$N^{\text{SR}}(\text{QCD}) = \left( N^{\text{non-iso}}(\text{obs}) - N^{\text{non-iso}}(\text{MC}) \right) \times \frac{N_{\text{low } \cancel{E}_T}^{\text{SR}}(\text{obs}) - N_{\text{low } \cancel{E}_T}^{\text{SR}}(\text{MC})}{N_{\text{low } \cancel{E}_T}^{\text{non-iso}}(\text{obs}) - N_{\text{low } \cancel{E}_T}^{\text{non-iso}}(\text{MC})}$$

# ANALYSIS STRATEGY: MVA IN 3J1B

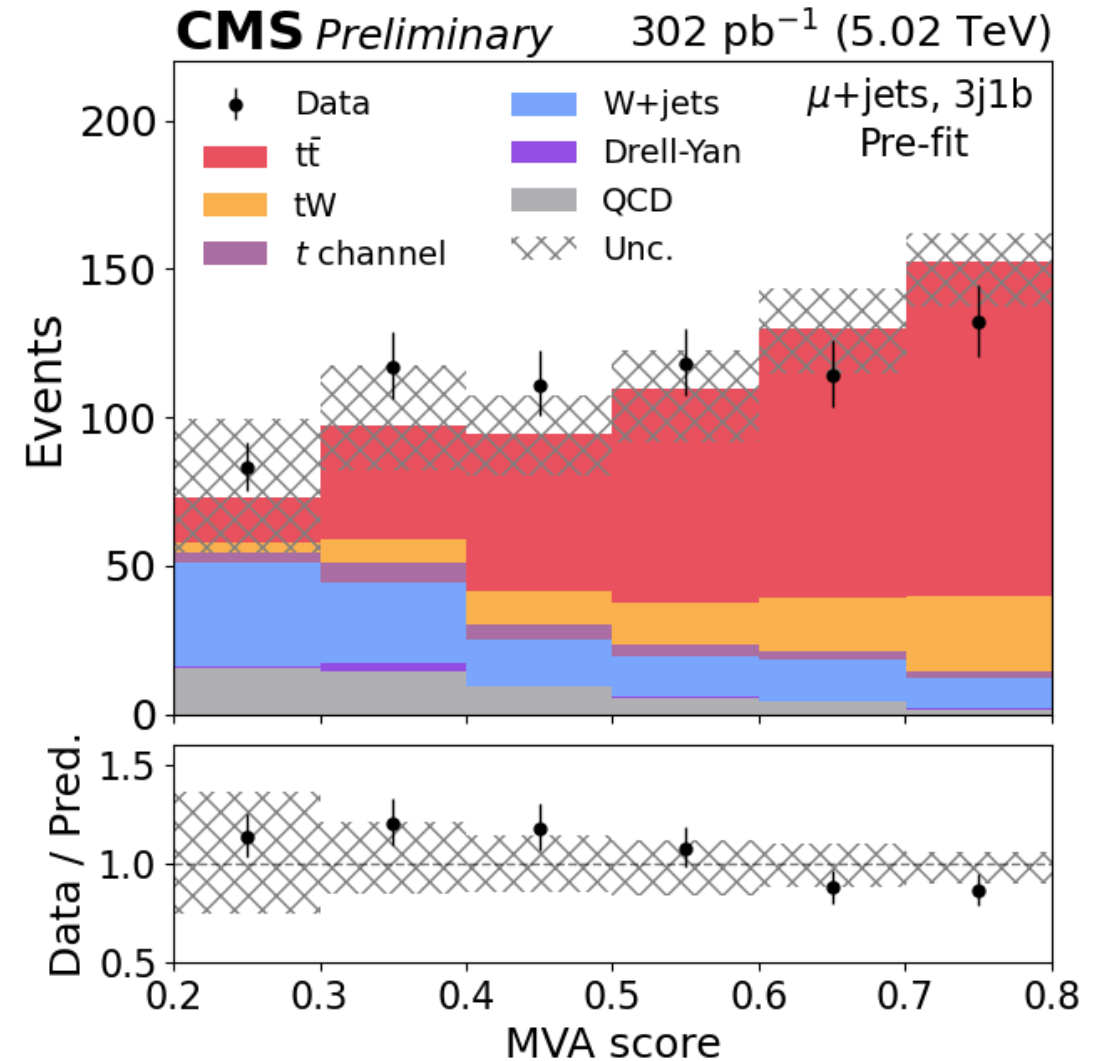
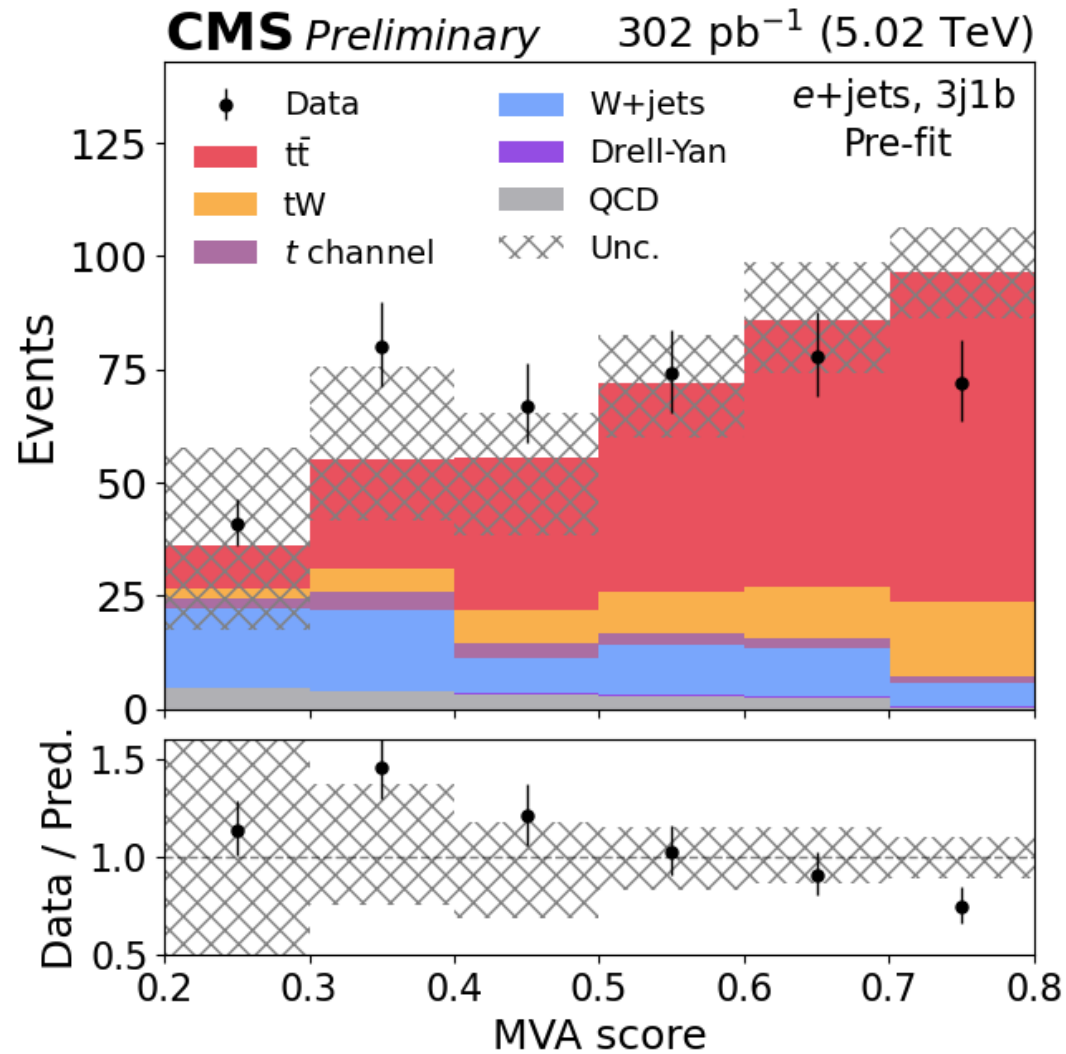
➤ The most important variables:

**CMS Simulation Preliminary**



# ANALYSIS STRATEGY: MVA IN 3J1B

➤ Obtaining the following discriminants:



# CORRELATION SCHEME FOR FINAL COMBINATION WITH DILEPTON RESULT

Source	Correlation with $2\ell$
Electron efficiency	100%
Muon efficiency	100%
Trigger efficiency	100%
b-tagging	0% not included in dilep
JES	0% in dilep 1 source, in $\ell$ +jets splitted
JER	100%
Unclustered energy	0% (not included in dilep)
L1 prefiring	100%
Final-state radiation	100%
Initial-state radiation	100%
$\mu_R, \mu_F$ scales	100%
PDF $\oplus\alpha_S(m_Z)$	0% in dilep 1 source, in $\ell$ +jets splitted
$h_{\text{damp}}$	100%
Underlying event tune	100%
tW	100%
QCD	0% not included in dilep
Drell–Yan	100%
W+jets	100%
t channel	0% not included in dilep
Integrated luminosity	100%

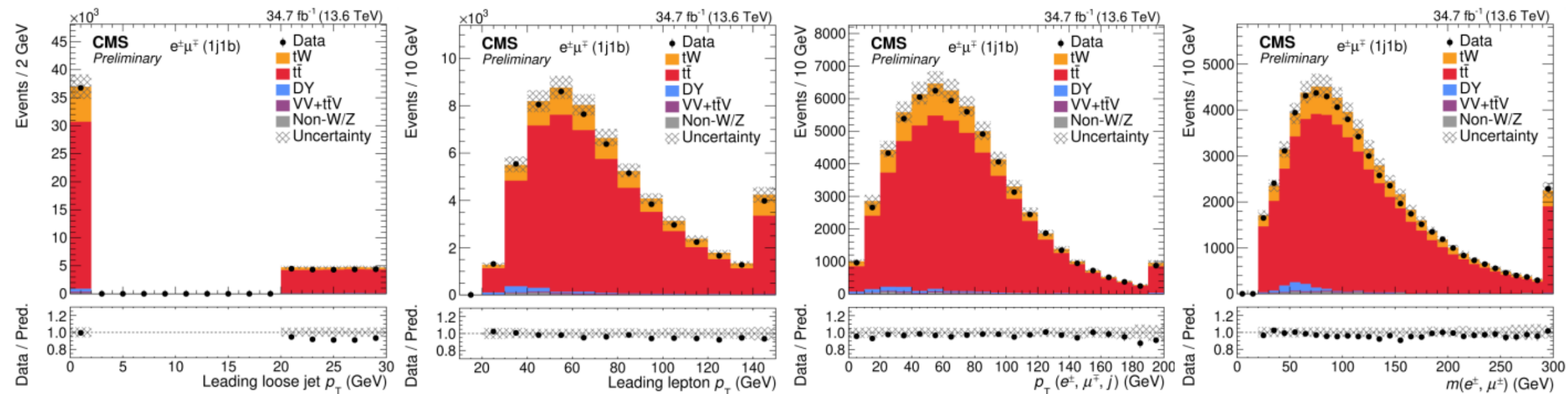
# Summary of previous results

		Stat.	Syst.	Lumi	Total
Dilep 2015	CMS	24.7%	5.2%	2.6%	25%
Semilep 2015	CMS	9.4%	8.8%	2.3%	13.1%
Comb. dilep+semilep 2015	CMS	8.8%	8%	2.3%	12.1%
Semilep 2017	CMS	2.6%	4.4%	1.9%	5.5%
	ATLAS	1.3%	4.3%	1.6%	4.5%
Dilep 2017	CMS	8.2%	4.6%	1.9%	9.6%
	ATLAS	6.8%	2.5%	1.8%	7.5%
Comb. dilep 2017 + semilep 2015	CMS	6.5%	4.7%		8.4%
Comb. dilep + semilep 2017	CMS	2.4%	4.1%	1.9%	5.1%
	ATLAS	1.3%	3.4%	1.6%	3.9%

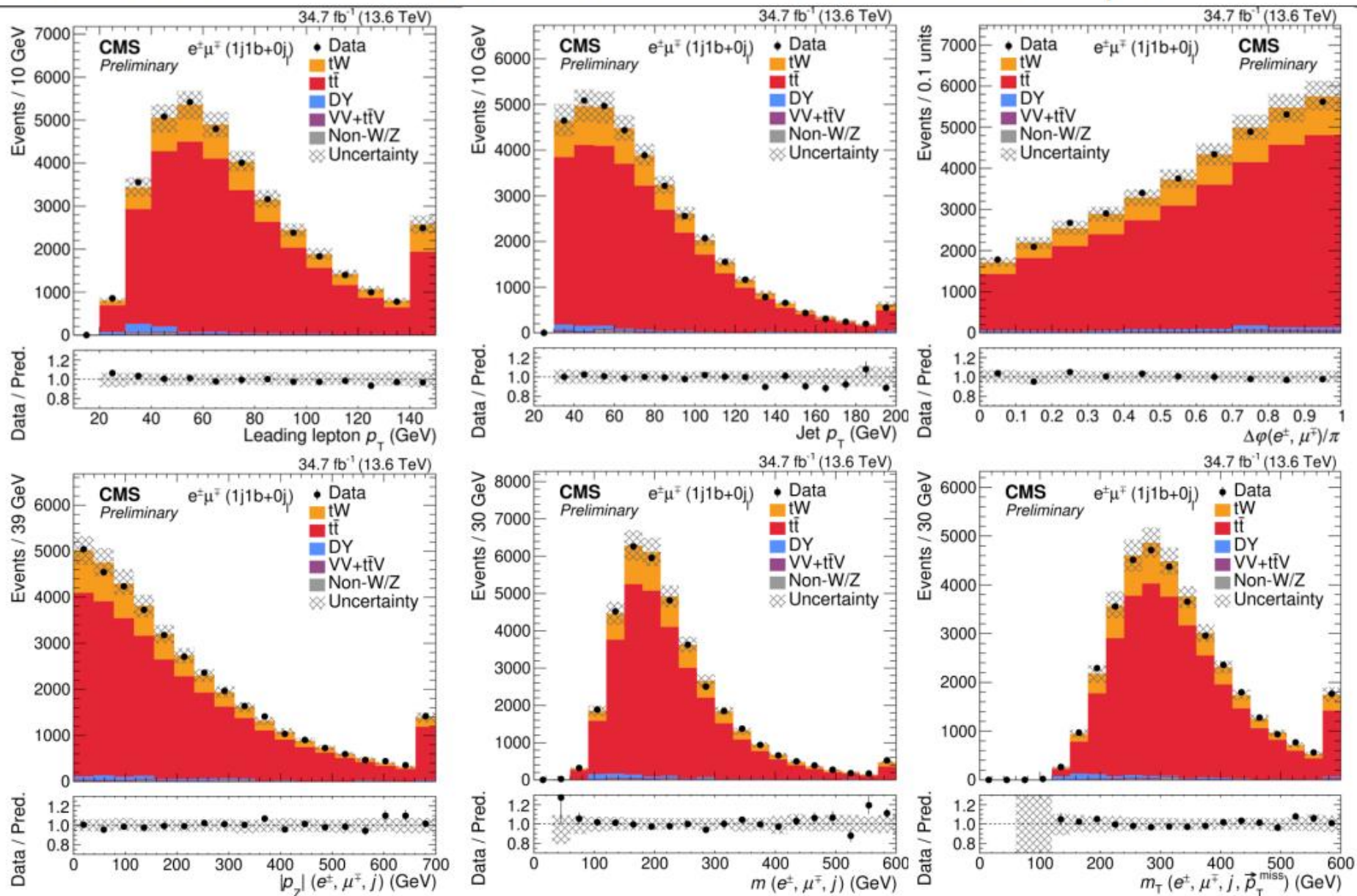


- The matrix element for the final state  $WWbb$ :  $|\mathcal{M}_{WWb\bar{b}}|^2 = |\mathcal{M}_{\text{singly}}|^2 + |\mathcal{M}_{\text{doubly}}|^2 + 2\text{Re}(\mathcal{M}_{\text{singly}}^* \mathcal{M}_{\text{doubly}})$
- Besides the nominal sample of  $tW$  generated with powheg-pythia8 with the **DR** method we consider (for the differential measurement comparisons):
  - Powheg DS-pythia8, Powheg DR-Herwig7, amcatnlo DR-pythia8, amcatnlo DR2-pythia8, amcatnlo DS-pythia8 and amcatnlo DS dyn.-pythia8.

- For the RF in the 1j1b region the **four most discriminating variables** are:



# Differential measurements - data/MC comparison



# Differential measurements – GOF test

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- We perform a  $\chi^2$  GOF test for the differential distributions to compare the observed result with the different MC generators.
- Performed using the full covariance matrix as well as statistical uncertainties of the predictions.
- We tabulate the p-values of the test:

Variable	PH DR + P8	PH DS + P8	PH DR + H7
Leading lepton $p_T$	0.96	0.98	0.96
Jet $p_T$	0.96	0.97	0.97
$\Delta\phi(e^\pm, \mu^\mp)/\pi$	0.94	0.94	0.93
$p_z(e^\pm, \mu^\mp, j)$	0.96	0.96	0.96
$m_T(e^\pm, \mu^\mp, j, \vec{p}_T^{\text{miss}})$	0.78	0.75	0.79
$m(e^\pm, \mu^\mp, j)$	0.95	0.93	0.95

Variable	aMC DR + P8	aMC DR2 + P8	aMC DS + P8	aMC DS dyn. + P8
Leading lepton $p_T$	0.94	0.96	0.95	0.96
Jet $p_T$	0.96	0.98	0.97	0.99
$\Delta\phi(e^\pm, \mu^\mp)/\pi$	0.93	0.93	0.94	0.93
$p_z(e^\pm, \mu^\mp, j)$	0.96	0.96	0.96	0.96
$m_T(e^\pm, \mu^\mp, j, \vec{p}_T^{\text{miss}})$	0.80	0.77	0.80	0.79
$m(e^\pm, \mu^\mp, j)$	0.96	0.95	0.96	0.96