

# Five-flavour scheme predictions for $t\bar{t}b\bar{b}$ at next-to-leading order accuracy in MG5\_aMC@NLO

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*based on:*  
*R. Frederix, TM [EPJC 84, 763 \(2024\)](#)*

17th International Workshop on Top Quark Physics (TOP2024)  
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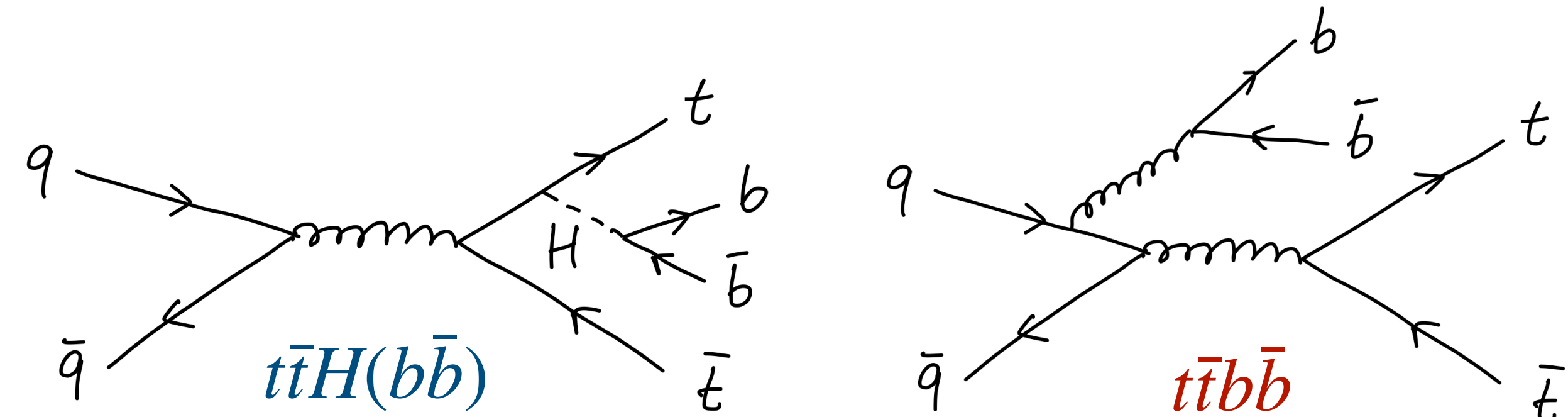
# Role of the $t\bar{t}b\bar{b}$ process in the physics analyses

$t\bar{t}b\bar{b}$  represents a significant background in measurements probing the top Yukawa coupling

## ▶ $t\bar{t}H(\rightarrow b\bar{b})$ analyses

latest  $t\bar{t}H(\rightarrow b\bar{b})$  from ATLAS [PLB 849 \(2024\)](#)  
and CMS [arXiv:2407.10896](#)

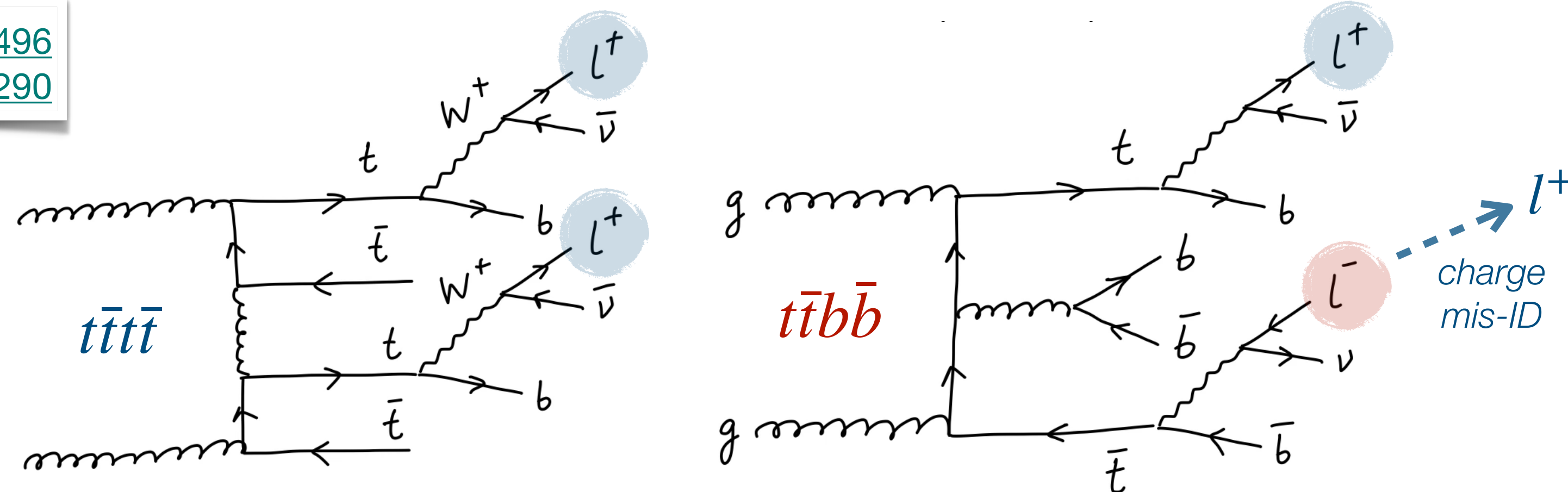
- $t\bar{t}b\bar{b}$  is the dominant background
- modelling uncertainty is currently a limitation



## ▶ 4-top analyses

latest  $t\bar{t}t\bar{t}$  from ATLAS [EPJC 83 \(2023\) 6, 496](#)  
and CMS [PLB 847 \(2023\) 138290](#)

- $t\bar{t}$ +jets (with additional  $b$ -jets) is the main source of fake/non-prompt and charge-mis-identification backgrounds



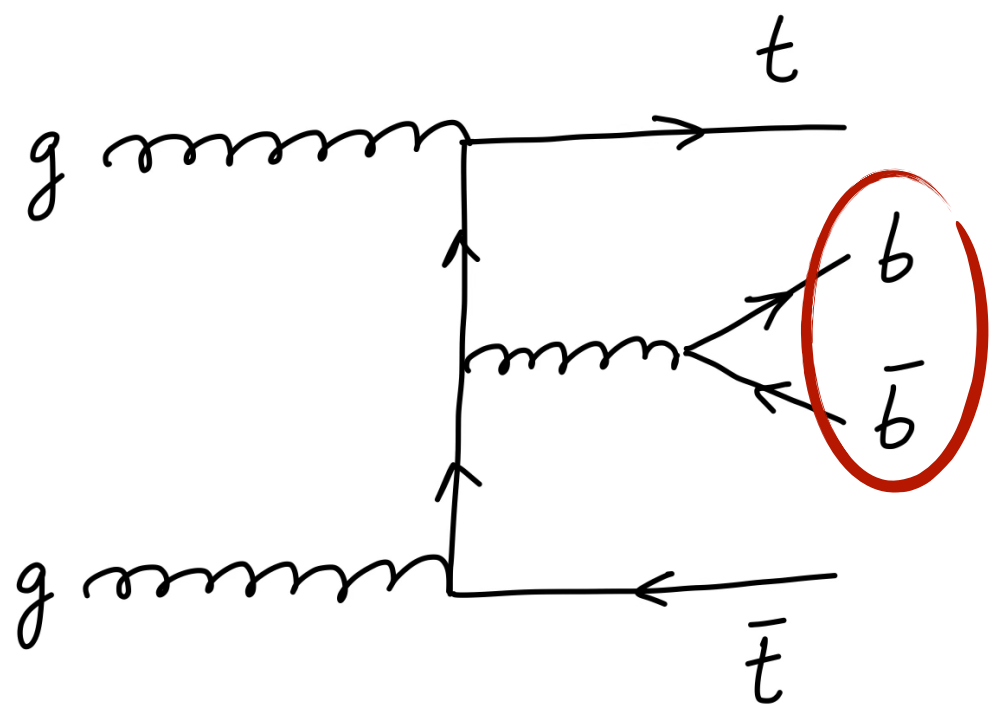
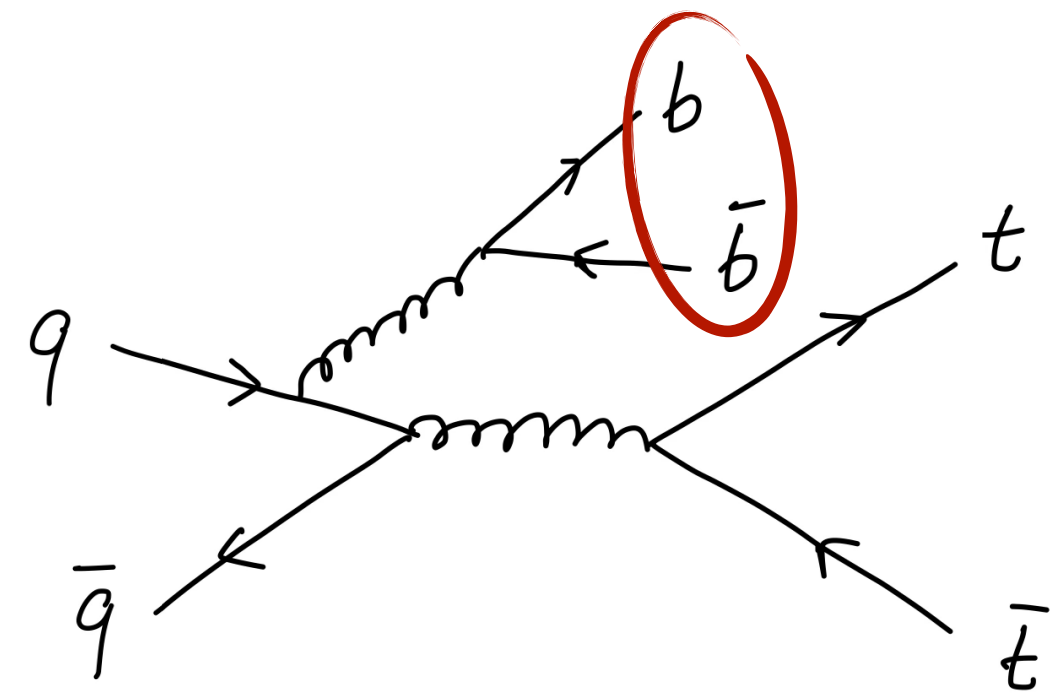
# Simulation of the $t\bar{t}b\bar{b}$ process

▶ **Two primary theoretical frameworks:** four-flavour scheme (4FS) and five-flavour scheme (5FS)

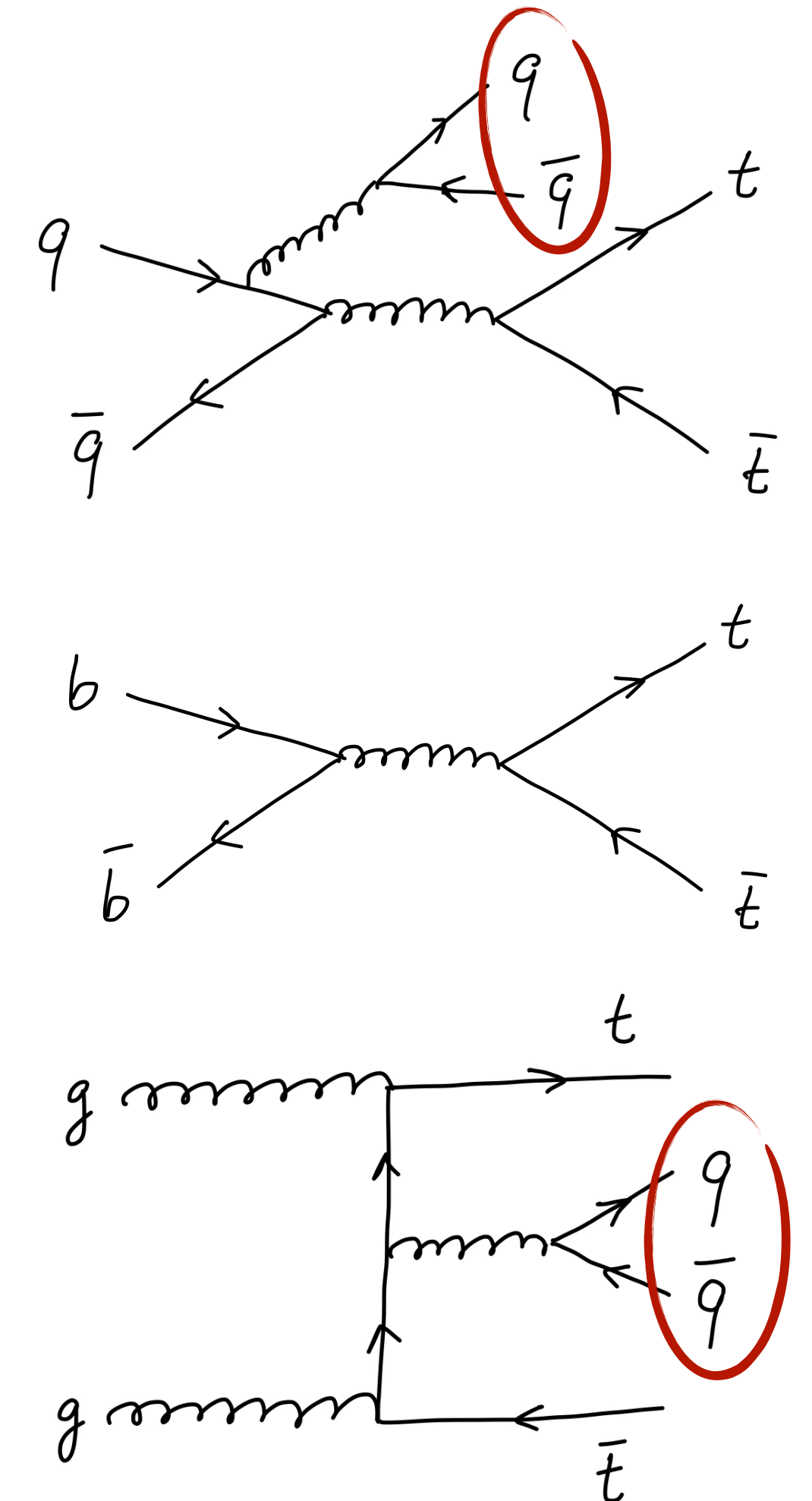
▶ **Alternative:** “fusion” method (or variable flavor number scheme)

[Höche, Krause, Siebert \(2019\)](#)  
[Ferencz, Höche, Katzy, Siebert \(2024\)](#)

- Merges aspects of both the 4FS and 5FS calculations
- Currently, the additional jets are only computed at leading order



	4FS	5FS
<b><math>b</math>-quarks in the matrix element</b>	massive	massless
<b><math>b</math>-quarks included in the PDF?</b>	no	yes
<b>renormalisation scheme</b>	on-shell	$\overline{\text{MS}}$
<b>final state</b>	exclusively $t\bar{t}b\bar{b}$	inclusive $t\bar{t}$ + jets



# Simulation of the $t\bar{t}b\bar{b}$ process in the 4FS

- ▶ 4FS calculations are usually the most precise at fixed order
  - $b$ -quark mass effects taken into account
  - The process can be generated down to any energies
- ▶ Calculation with a certain number of jets at fixed order is reliable only if there are no scale hierarchies
  - $t\bar{t}b\bar{b}$  production is a multi-scale process
  - Large mass difference between the top and bottom quarks → **large logarithms**  $\log^n(m_b/\sqrt{s})$
  - Difficult to choose optimal renormalisation and factorisation scales
    - Need a very small  $\mu_R$  and a small  $\mu_F \neq \mu_R$
- ▶ Challenges arise when matching to a parton shower:
  - Parton shower radiation can produce additional  $b$ -quarks
  - Jets generated by the shower can be harder than the matrix-element-level bottom quarks
  - We need only the subleading  $b$ -quarks to come from the parton shower, but not the leading ones
    - Not fully understood how the parton shower radiation should be constrained

[Bredenstein, Denner, Dittmaier, Pozzorini \(2008\)](#)  
[Bredenstein, Denner, Dittmaier, Pozzorini \(2009\)](#)  
[Bevilacqua, Czakon, Papadopoulos, Pittau, Worek \(2009\)](#)  
[Buccioni, Kallweit, Pozzorini, Zoller \(2019\)](#)  
[Bredenstein, Denner, Dittmaier, Pozzorini \(2010\)](#)  
[Denner, Lang, Pellen \(2021\)](#)  
[Bevilacqua, Bi, Hartanto, Kraus, Lupattelli, Worek \(2021\)](#)  
[Bevilacqua, Bi, Hartanto, Kraus, Lupattelli, Worek \(2023\)](#)

see the discussion in the LHC Higgs  
Xsec WG report [arXiv:1610.07922](#)

[Cascioli, Maierhöfer, Moretti, Pozzorini, Siegert \(2014\)](#)  
[Ježo, Lindert, Moretti, Pozzorini \(2018\)](#)



# Simulation of the $t\bar{t}b\bar{b}$ process in the 5FS

- ▶ Generate an inclusive  $t\bar{t}$  + jets sample, select  $b$ -jets after parton showering

[Frixione, Nason, Webber \(2003\)](#)

[Frixione, Nason, Ridolfi \(2007\)](#)

[Hoeche, Krauss, Maierhoefer, Pozzorini, Schonherr, Siegert \(2015\)](#)

[Mazzitelli, Monni, Nason, Re, Wieseemann, Zanderighi \(2022\)](#)

- ▶ Massless  $b$ -quarks → **large logarithms do not arise in the matrix element**

- ▶ Large scale hierarchies between the top quarks and the jets can be resummed by a multi-jet merging procedure

- For example, FxFx merging in MadGraph5\_aMC@NLO

[Frederix, Frixione \(2012\)](#)

- ▶ **Accurate parton-shower approximation for all softer jets**

- hardest parton shower jets are always softer than the softest matrix element jets, which is not always the case in the 4FS

$$p_T(\text{PS jets}) < \mu_Q < p_T(\text{ME jets})$$

*merging scale* (arrow pointing to  $\mu_Q$ )

*except for jets coming from the higher-multiplicity sample* (arrow pointing to the inequality between  $p_T(\text{PS jets})$  and  $\mu_Q$ )

- ▶  $b$ -quark mass effects:

- Important in the collinear/IR region ← incorporated into parton shower splitting functions
- Missing in the matrix element, but they are less relevant for the hard  $b$ -quarks

# Simulation of the $t\bar{t}b\bar{b}$ process in the 5FS

- ▶ But generating  $t\bar{t} + 0,1,2$  jets @ NLO accuracy requires substantial computing resources

[Hoeche, Krauss, Schonherr, Siegert \(2013\)](#)

[Frederix, Frixione \(2012\)](#)

[Plätzer \(2013\)](#)

- ▶ Selection efficiency of  $t\bar{t}b\bar{b}$  is low (percent level)
  - $gg \rightarrow t\bar{t}gg$  dominates

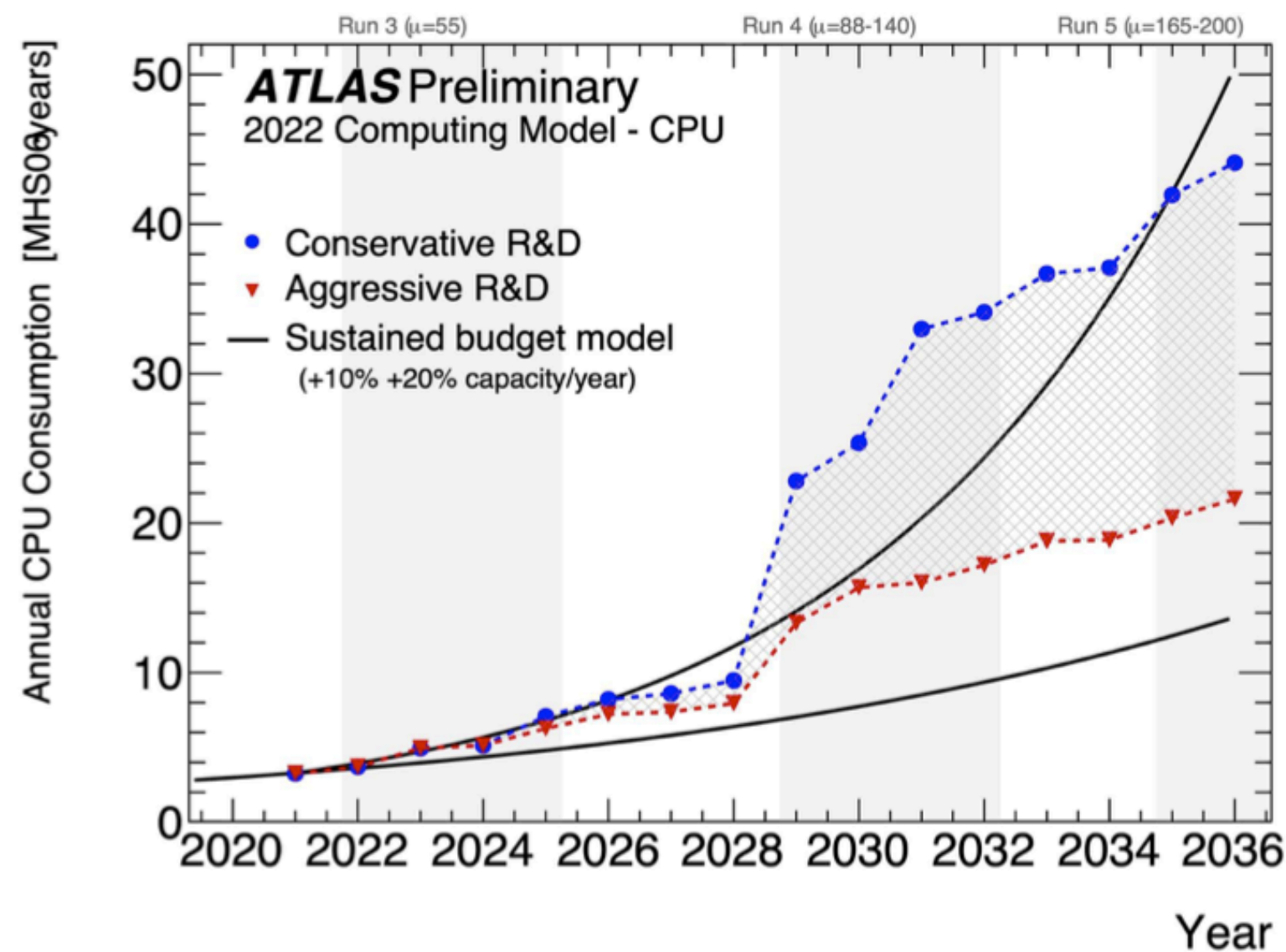
number of instructions to calculate a process in MadGraph5\_aMC@NLO

	$gg \rightarrow t\bar{t}$	$gg \rightarrow t\bar{t}gg$	$gg \rightarrow t\bar{t}ggg$
madevent	13G	470G	11T
matrix1	3.1G (23%)	450G (96%)	11T (>99%)
└ ext	450M (3.4%)	3.3G (<1%)	7.3G (<1%)
└ int	1.9G (14%)	160G (35%)	2T (19%)
└ amp	530M (4.0%)	210G (44%)	5.5T (51%)

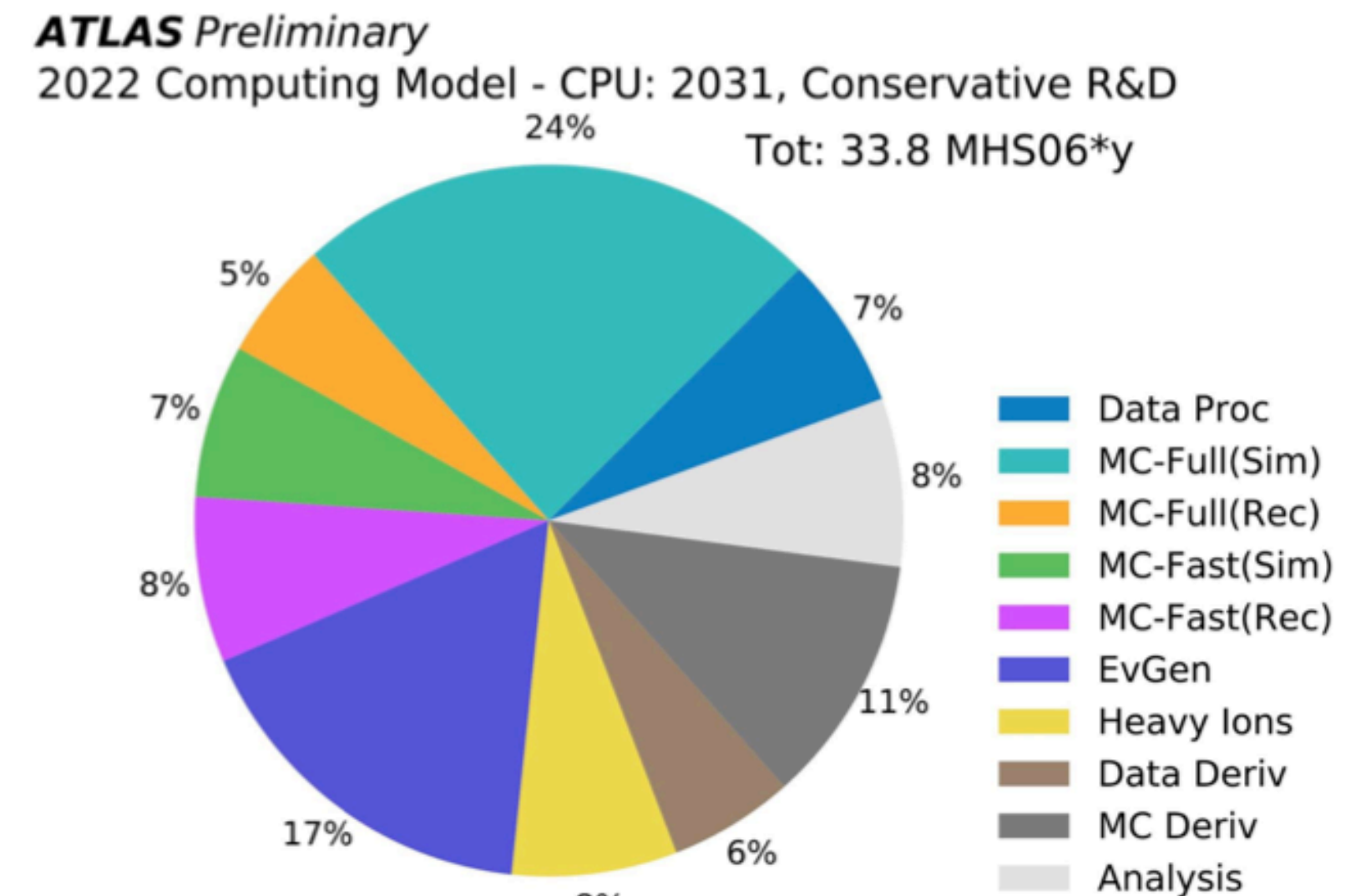
from [O. Mattelaer's talk](#)

## 5FS approach is computationally demanding!

- This will become even more relevant when producing Monte-Carlo for the HL-LHC era



[CERN-LHCC-2022-005](#)

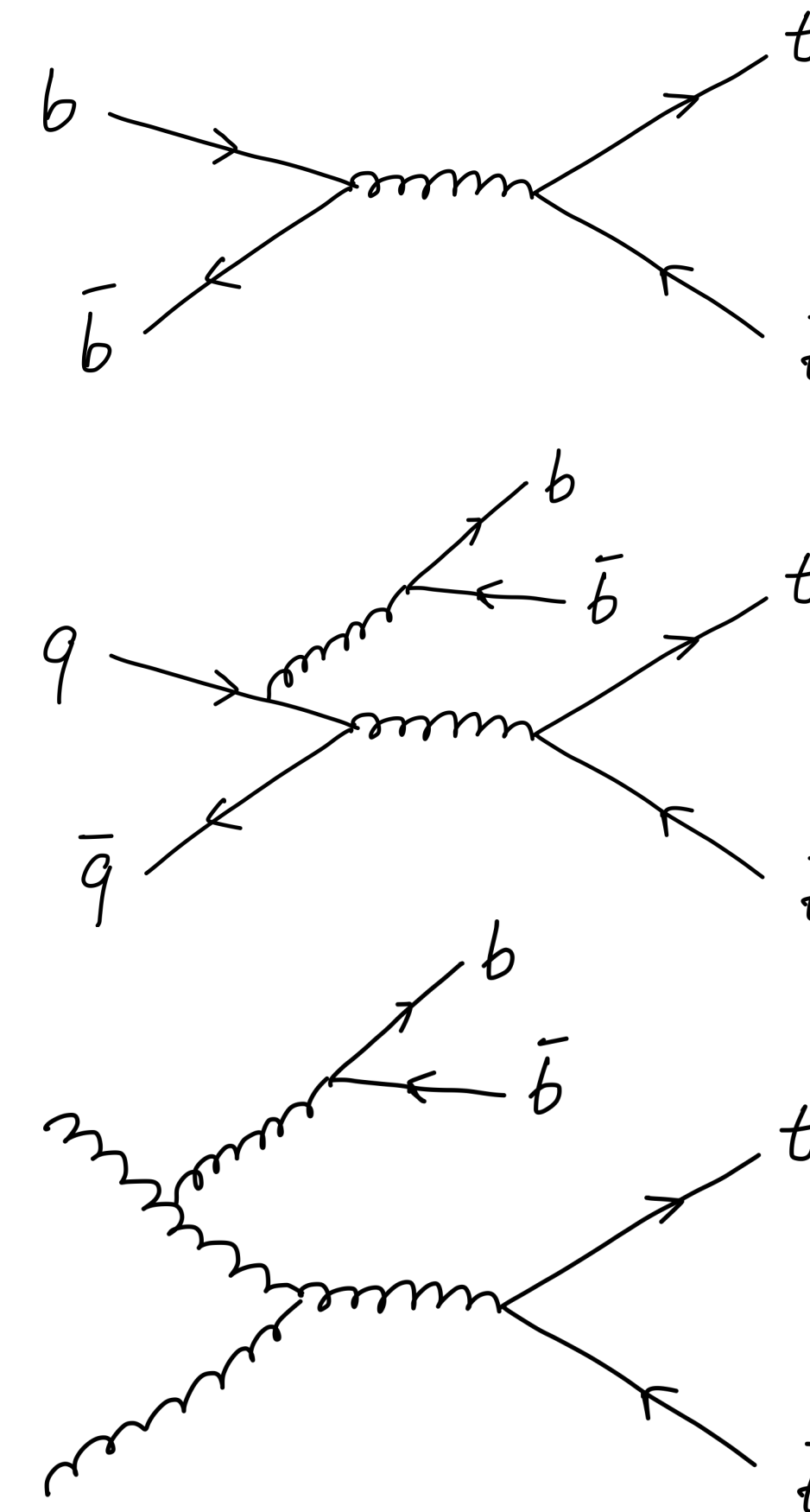


# $b$ -flavour enhancement in the matrix element

✓ We proposed a novel method to enhance the  $b$ -jet selection efficiency in the 5FS approach

R. Frederix, TM  
[EPJC 84, 763 \(2024\)](#)

- ▶ Augment the generation probability of bottom quark flavour in the short-distance event generation
  - During phase-space integration and unweighting, multiply the weight of each contribution containing external  $b$ -quarks by  $w_{\text{enh}}$
  - For bottom quarks can be generated in the initial or final state
    - $gg \rightarrow t\bar{t}b\bar{b}(g)$
    - $gb \rightarrow t\bar{t}bg(\rightarrow b\bar{b})$
    - $bb \rightarrow t\bar{t}q\bar{q}(g)$
    - ...
- ▶ To compensate for this and to preserve the cross-section, multiply the weight of events with external  $b$ -quarks by  $1/w_{\text{enh}}$



*examples of the enhanced subprocesses*



# $b$ -flavour enhancement in the matrix element

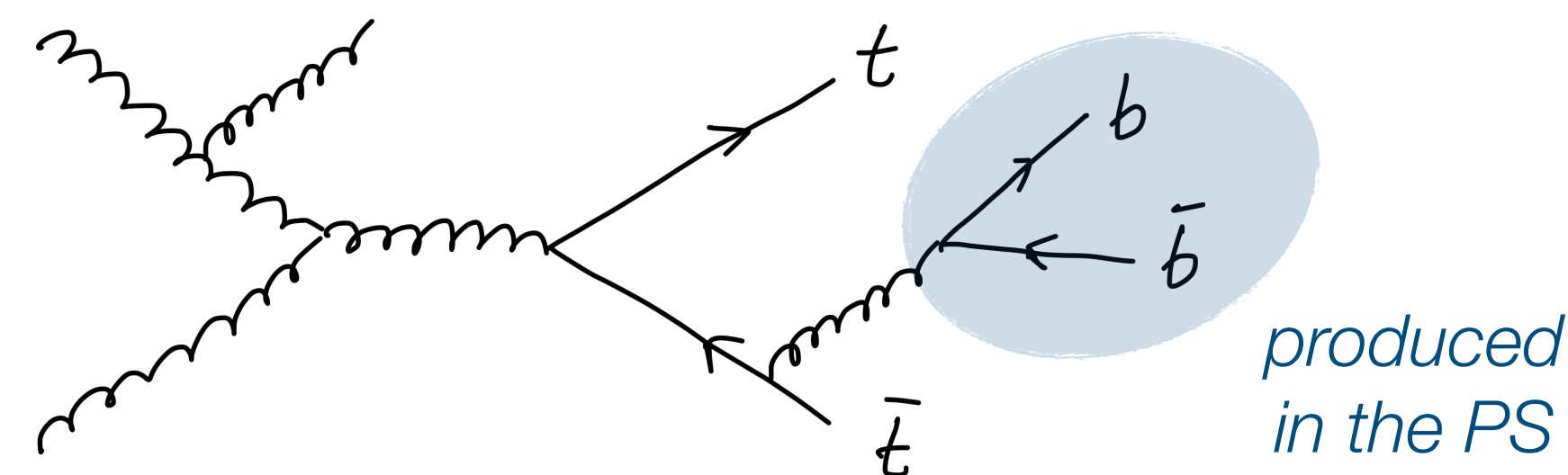
✓ We proposed a novel method to enhance the  $b$ -jet selection efficiency in the 5FS approach

R. Frederix, TM  
[EPJC 84, 763 \(2024\)](#)

- ▶ This procedure is implemented in the MadGraph5\_aMC@NLO
  - enhancement factor  $w_{\text{enh}}$  can be set by a new parameter, `bfLav_enhancement`, in the runcard file
  - **The new feature will become part of an upcoming release**

\* **NB:** hard processes like  $gg \rightarrow t\bar{t}gg$  which can yield a  $t\bar{t}b\bar{b}$  event after a  $g \rightarrow b\bar{b}$  splitting in the parton shower will not get enhanced  $\Rightarrow$  the fraction of  $t\bar{t}b\bar{b}$  events is increased by a factor smaller than  $w_{\text{enh}}$

- also, too high enhancement factors ( $>100$ ) cause instabilities which result in large statistical fluctuations

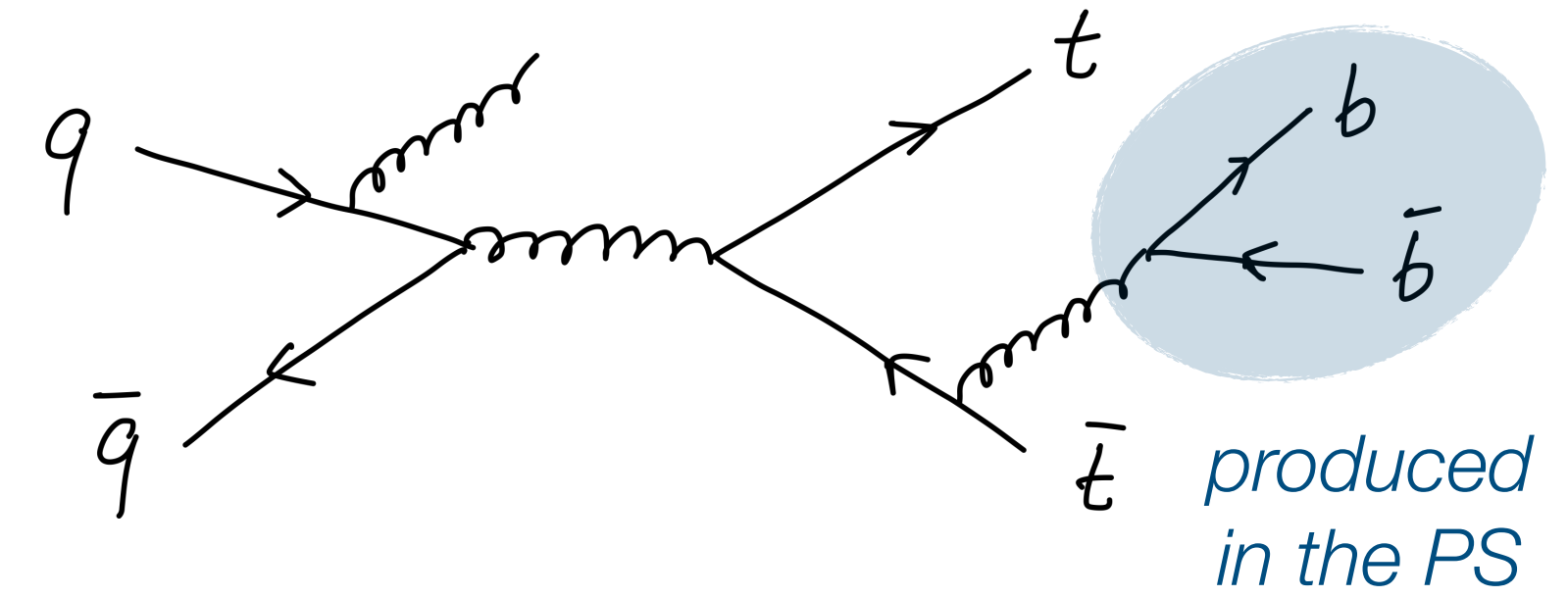


*this diagram is not enhanced*  
*— can we enhance it in the PS?*

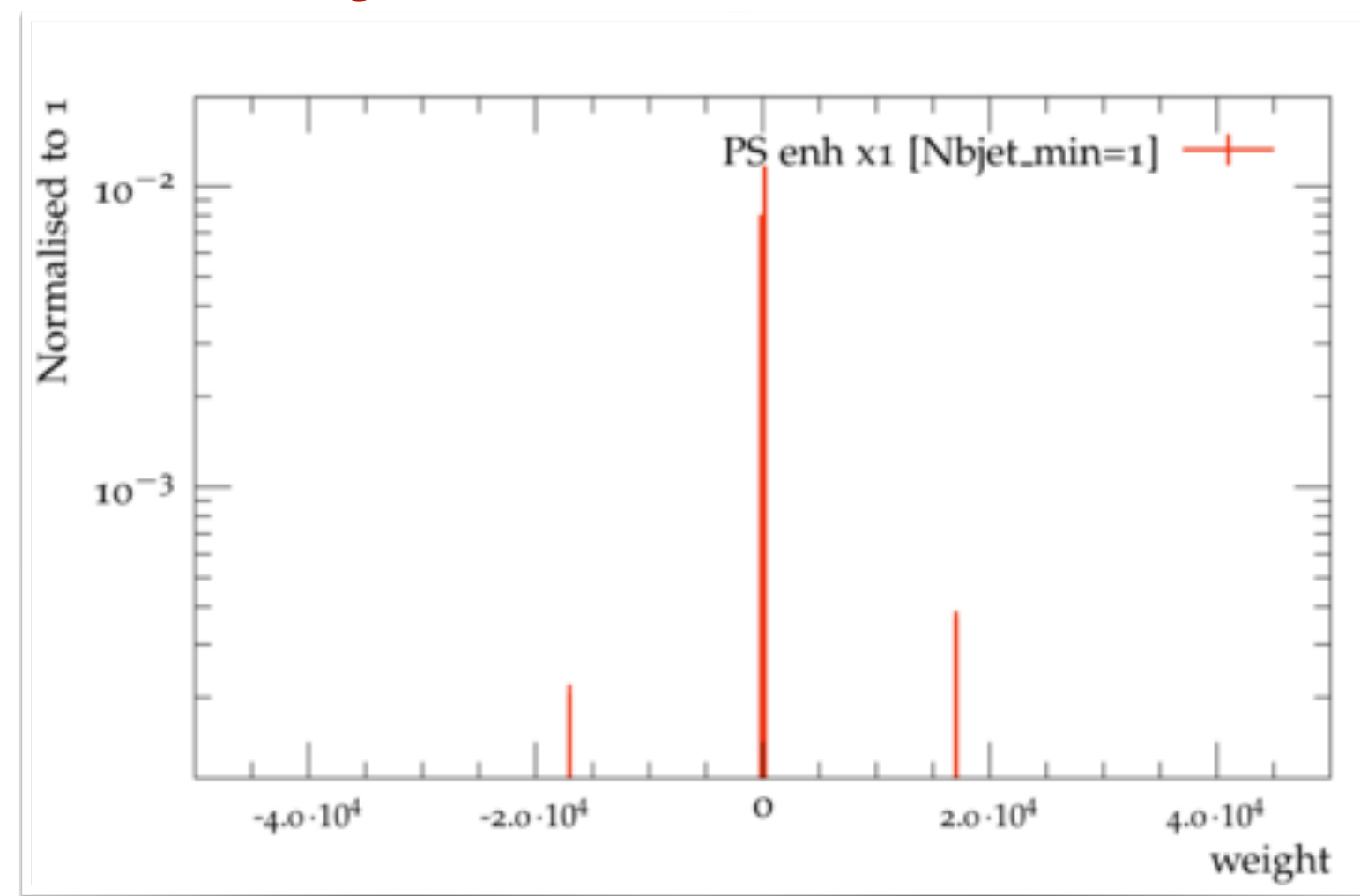


# $b$ -flavour enhancement in the parton shower?

- ▶ A similar biasing strategy can be potentially applied in the parton shower
- ▶ Pythia8 has a built-in mechanism for enhancing splitting probabilities, in particular  $g \rightarrow b\bar{b}$  ones
  - In versions  $\geq 8.311$
- ▶ In practice:
  - Even moderate enhancement in the PS causes significant widening of the event weight distribution
  - **Large weights deteriorate the statistics**  $\rightarrow$  cancels the improvement from the  $b$ -enhancement completely

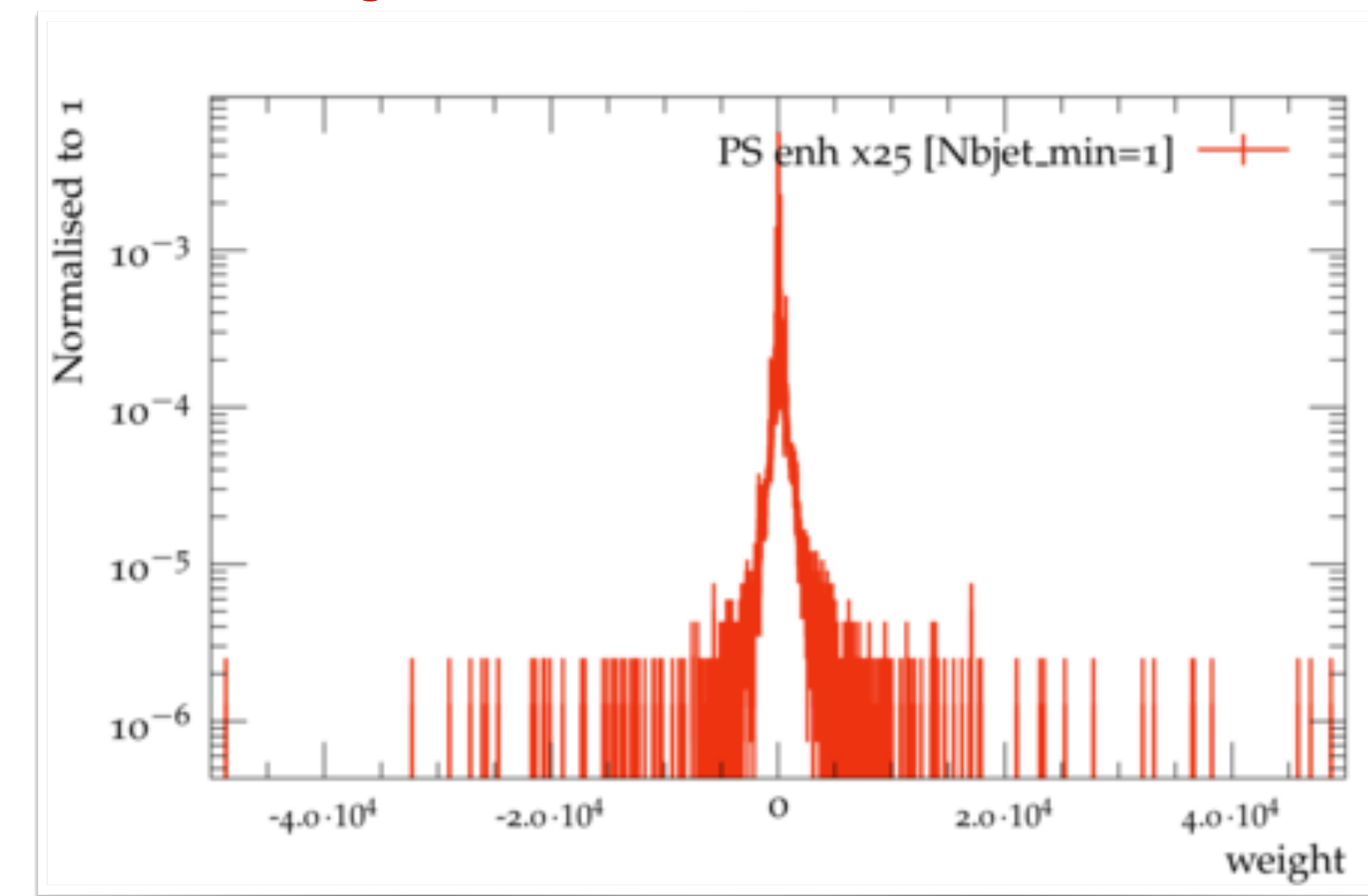


*event weights w/o enhancement in the PS*



$g \rightarrow b\bar{b}$   
enhancement  
 $\rightarrow$

*event weights with enhancement in the PS*



*Generation setup for the 5FS sample and comparison to the 4FS*

- ▶ **MadGraph5\_aMC@NLO  $t\bar{t}$  + 0,1,2 jets @NLO sample, FxFx merged**
- ▶ **Enhancement factor  $w_{\text{enh}} = 100$**
- ▶ **Renormalisation/factorisation scales:** central values for are taken from the FxFx merging
  - 7-point variations
- ▶ **Merging scale:** 40 GeV
  - variations: 70 and 100 GeV
- ▶ **Shower starting scale:**  $H_T/2$ 
  - variation:  $H_T/4$
- ▶ Generation-level cut of 20 GeV on jet  $p_T$
- ▶ **Matched to the Pythia8 parton shower**

*taking an envelope as  
a total uncertainty*

- ▶ **Not including:**
  - hadronisation
  - underlying events
  - top quark decay

*to reduce the generation time  
and to simplify the analysis,  
and because we focus on the  
differences in the ME*

## Truth-level analysis in Rivet:

- ▶ anti- $k_T$  jets ( $R > 0.4$ )
  - $p_T > 25$  GeV
  - $|\eta| < 2.5$
- ▶ jets containing at least one bottom quark are identified as  $b$ -jets
- ▶ consider two scenarios:
  - at least 1  $b$ -jet
  - at least 2  $b$ -jets



- ▶ **MadGraph5\_aMC@NLO+Pythia8** NLO+PS  $t\bar{t}b\bar{b}$  sample

- ▶ **Renormalisation/factorisation scales:**

- central values:

$$\mu_R = (E_{T,t}E_{T,\bar{t}}E_{T,b}E_{T,\bar{b}})^{1/4}$$

$$\mu_F = \frac{1}{2}(E_{T,t} + E_{T,\bar{t}} + E_{T,b} + E_{T,\bar{b}})$$

following the recommendations in  
the LHC Higgs Xsec WG report  
[arXiv:1610.07922](#)

- 7-point variations

- ▶ **Shower starting scale:**  $H_T/2$

- ▶ Generation-level cut of 20 GeV on jet  $p_T$

- ▶ **Matched to the Pythia8 parton shower**

- ▶ **Not including:**

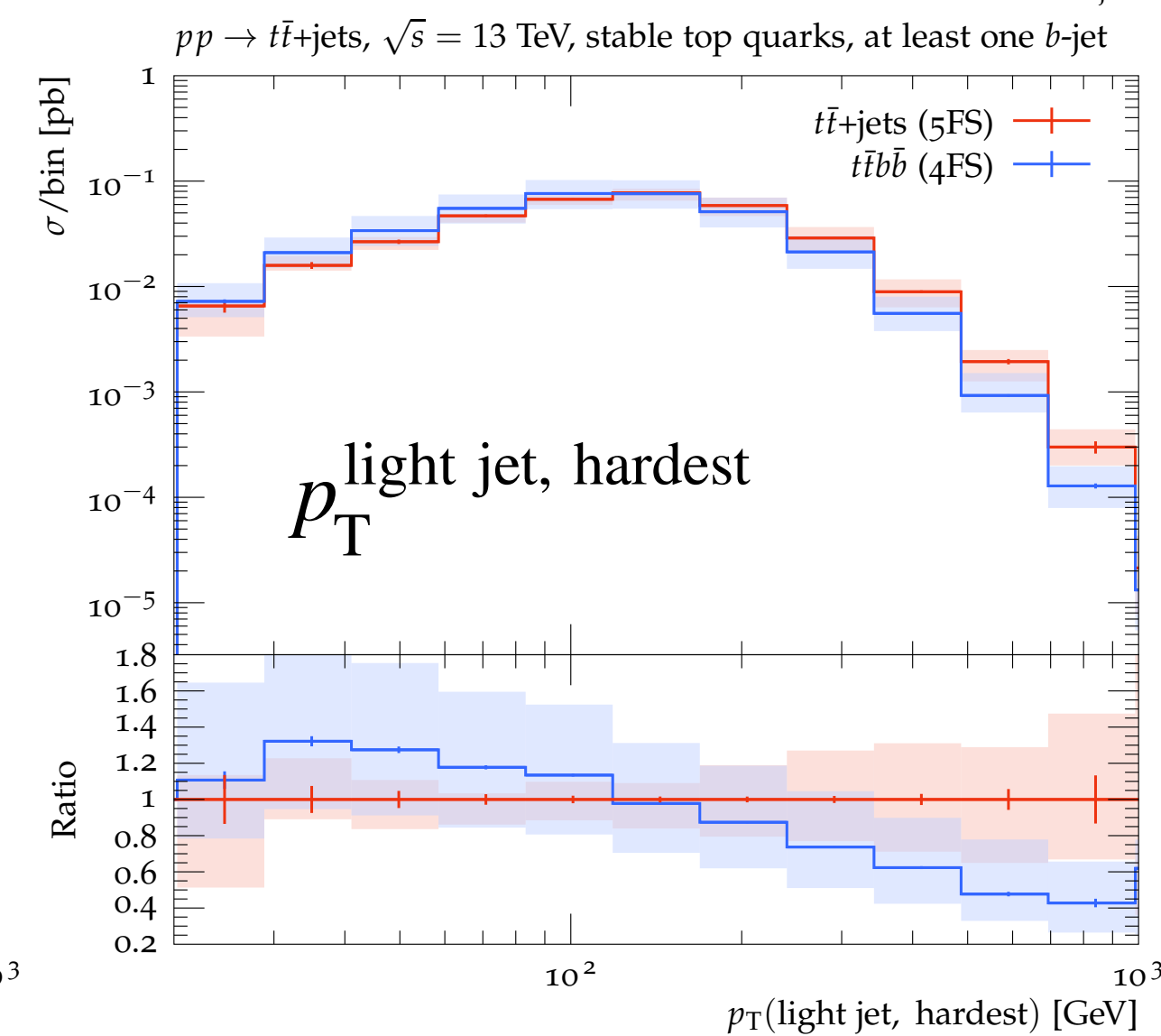
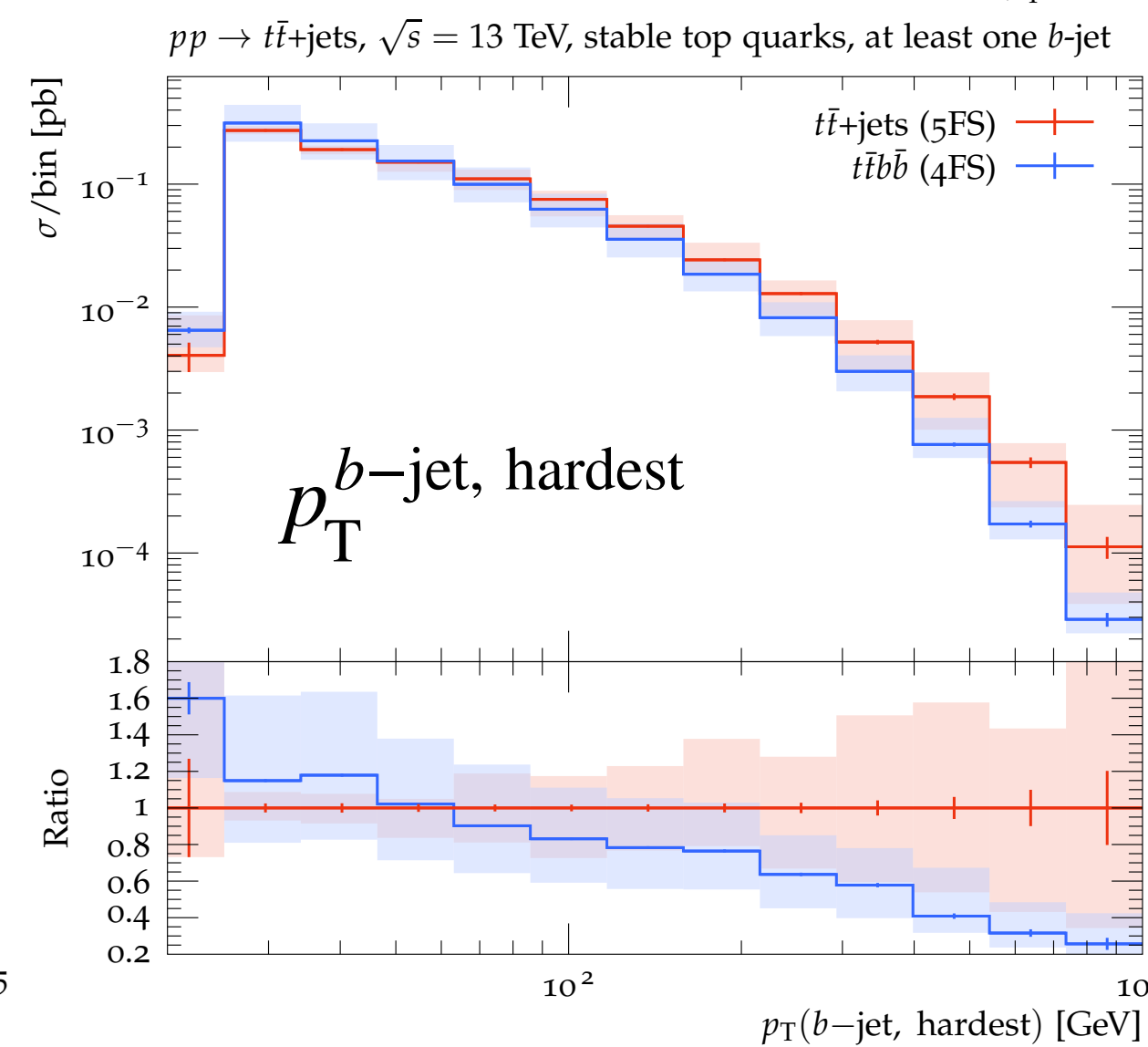
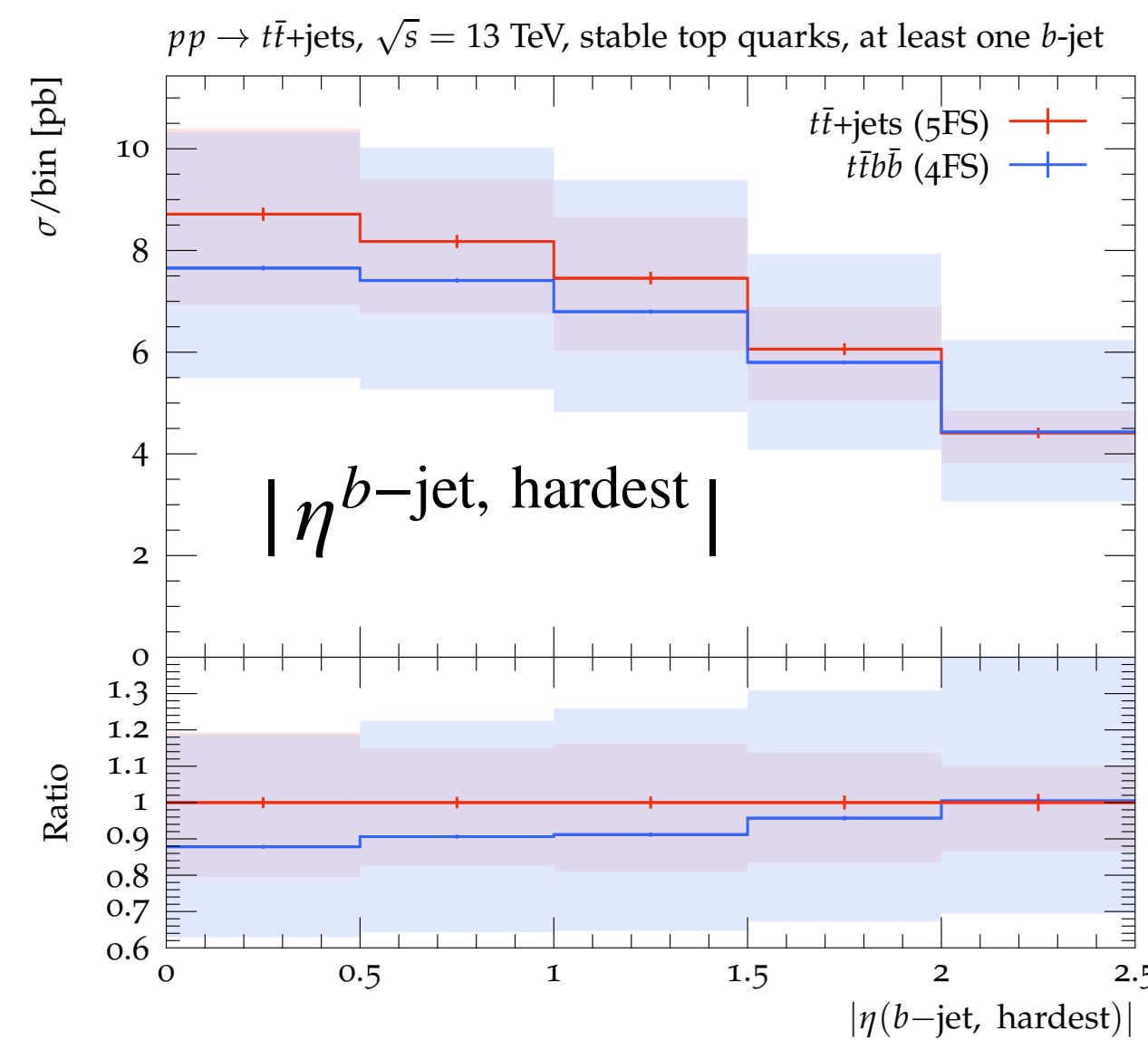
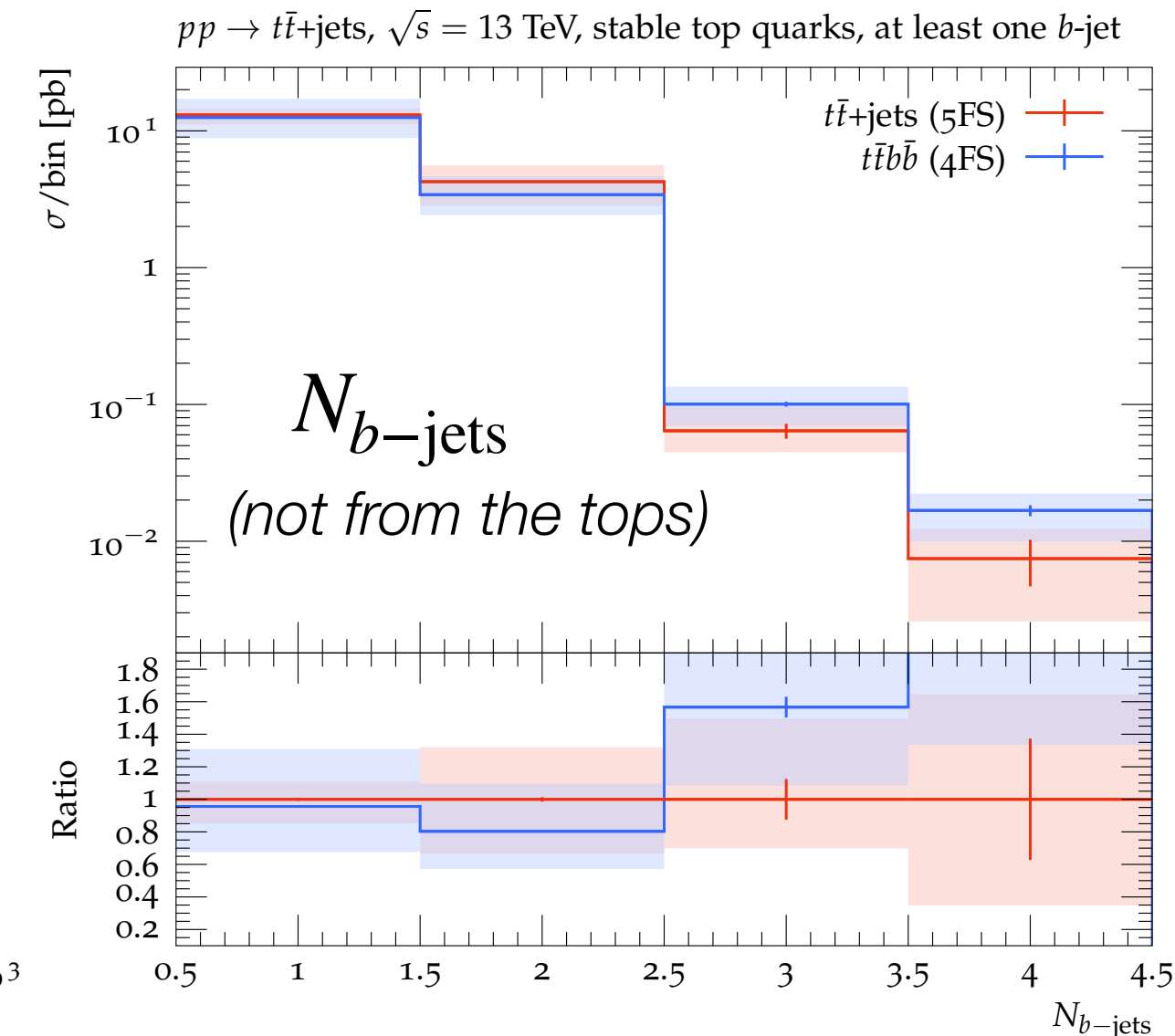
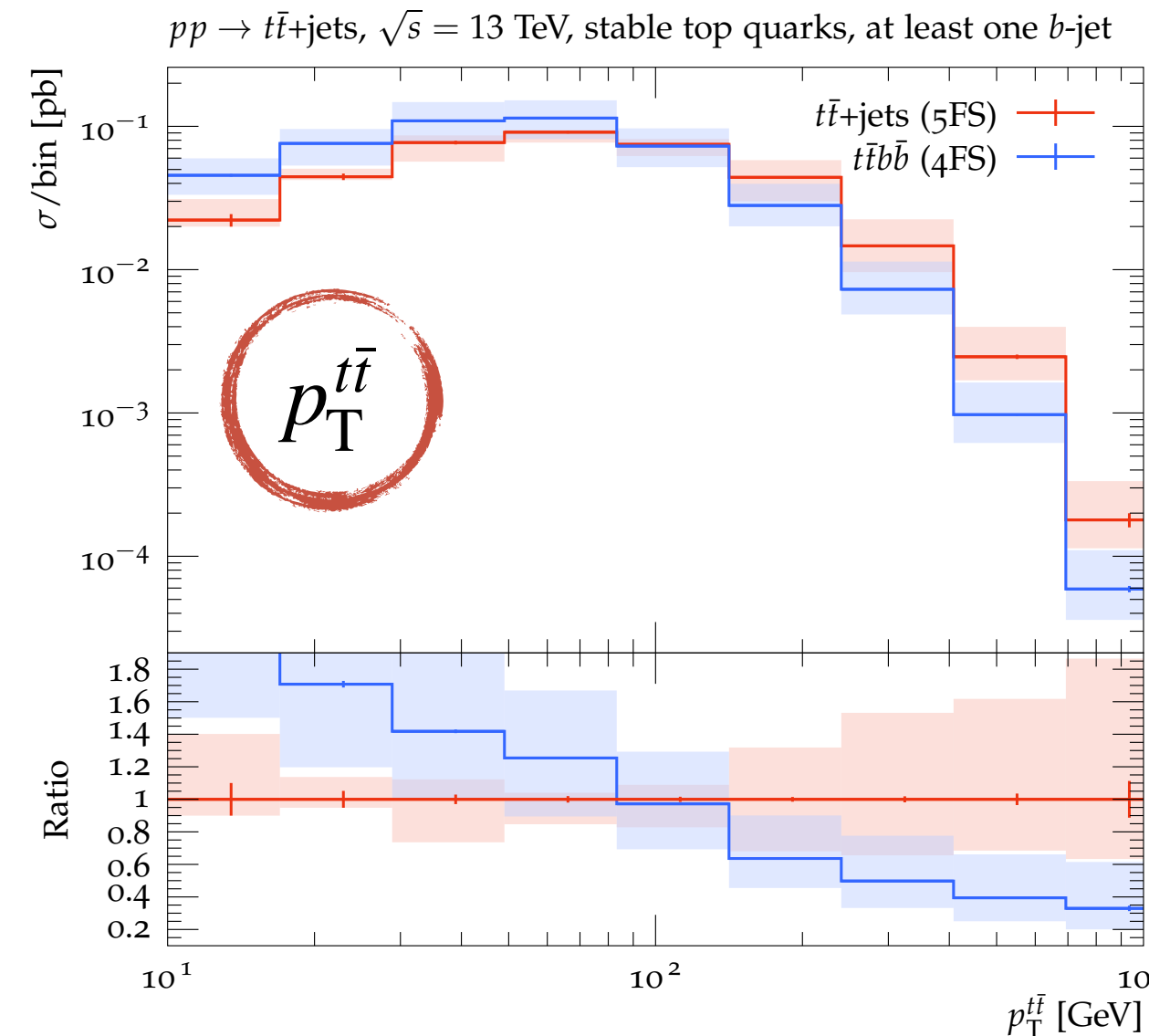
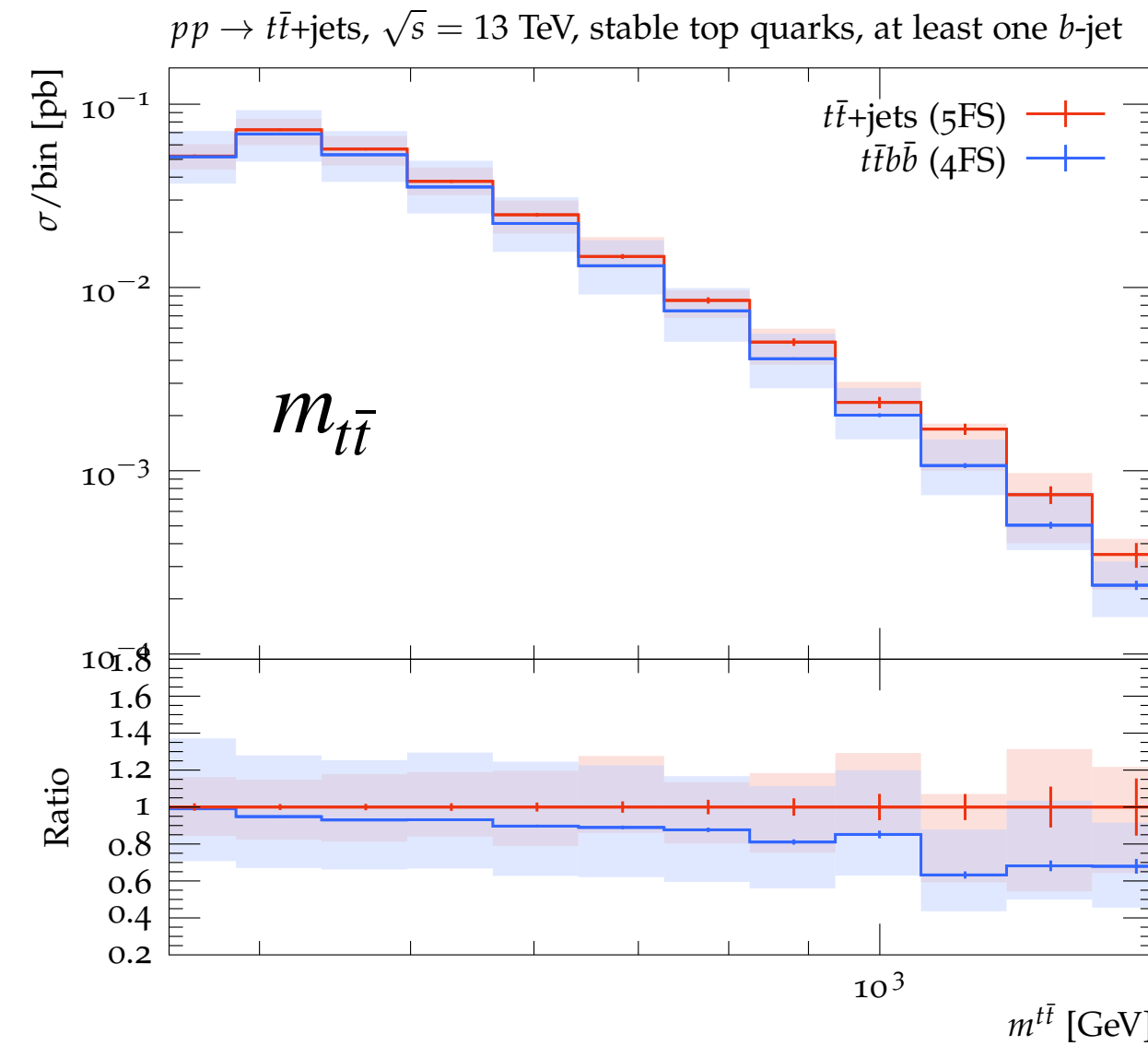
- shower starting scale uncertainty
- matching scheme uncertainty
- hadronisation
- underlying events
- top quark decay

← *expected to be sizeable,  
(see the LHCHSWG report)  
but is non-trivial to assess exactly*

## Truth-level analysis in Rivet:

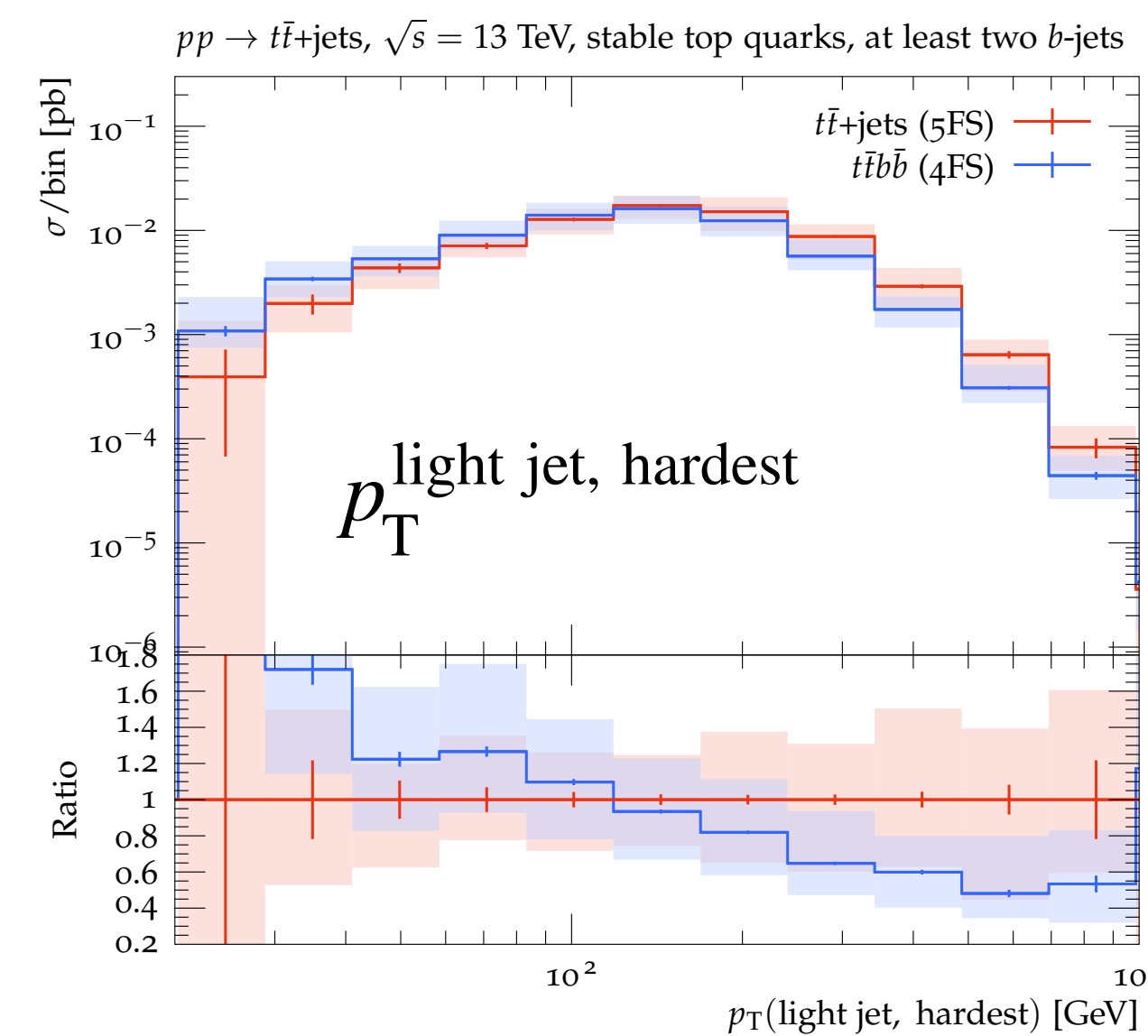
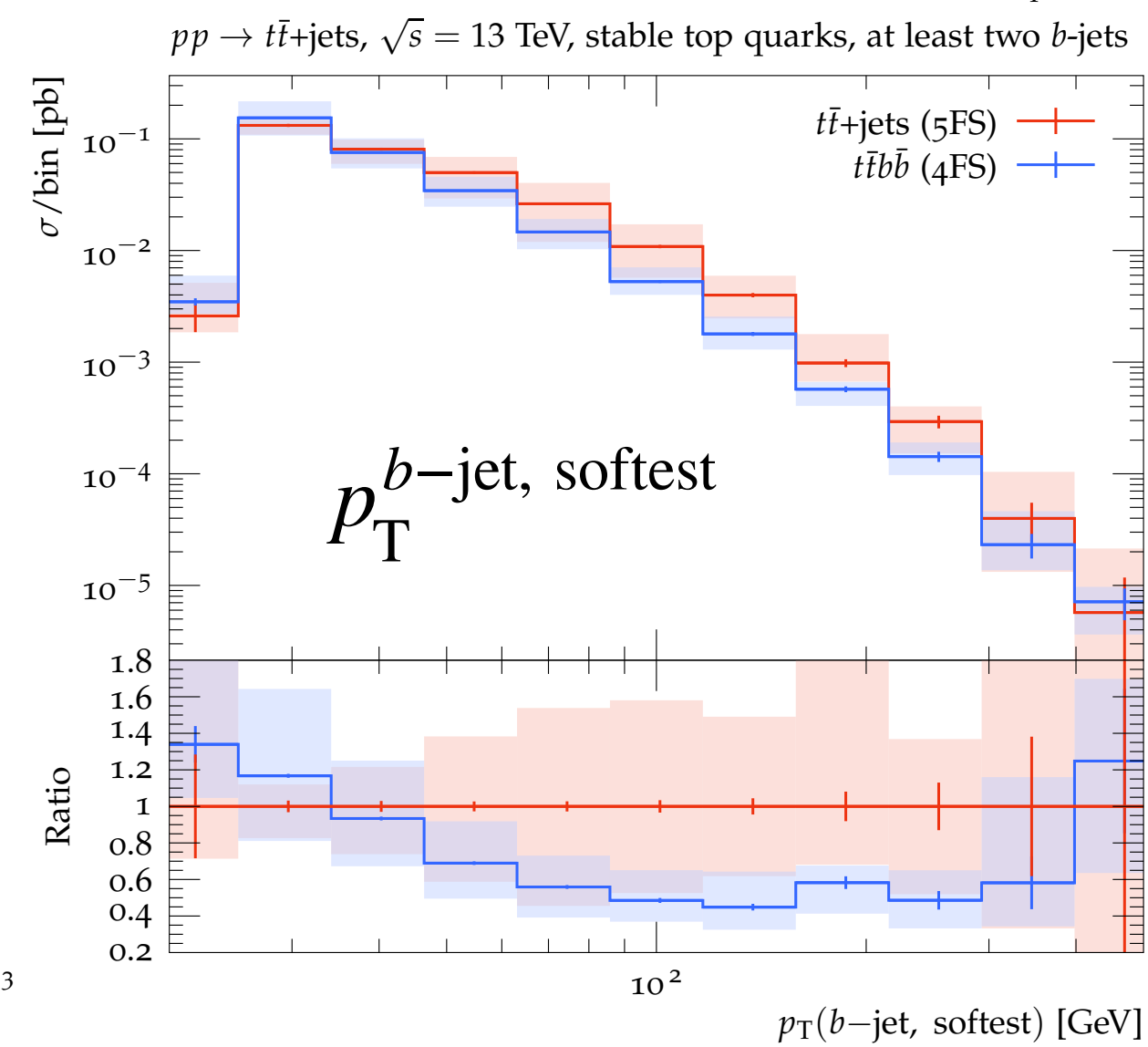
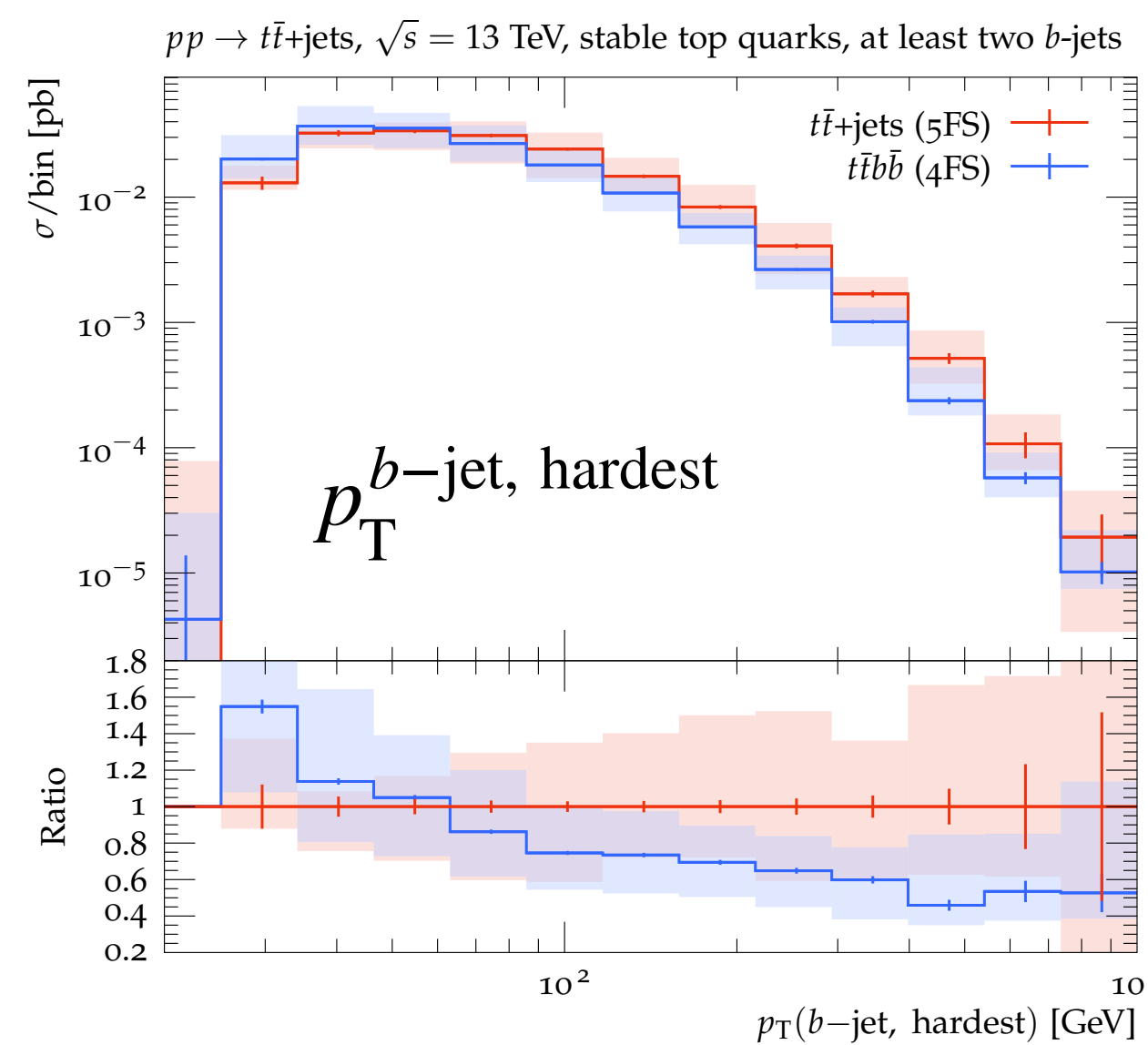
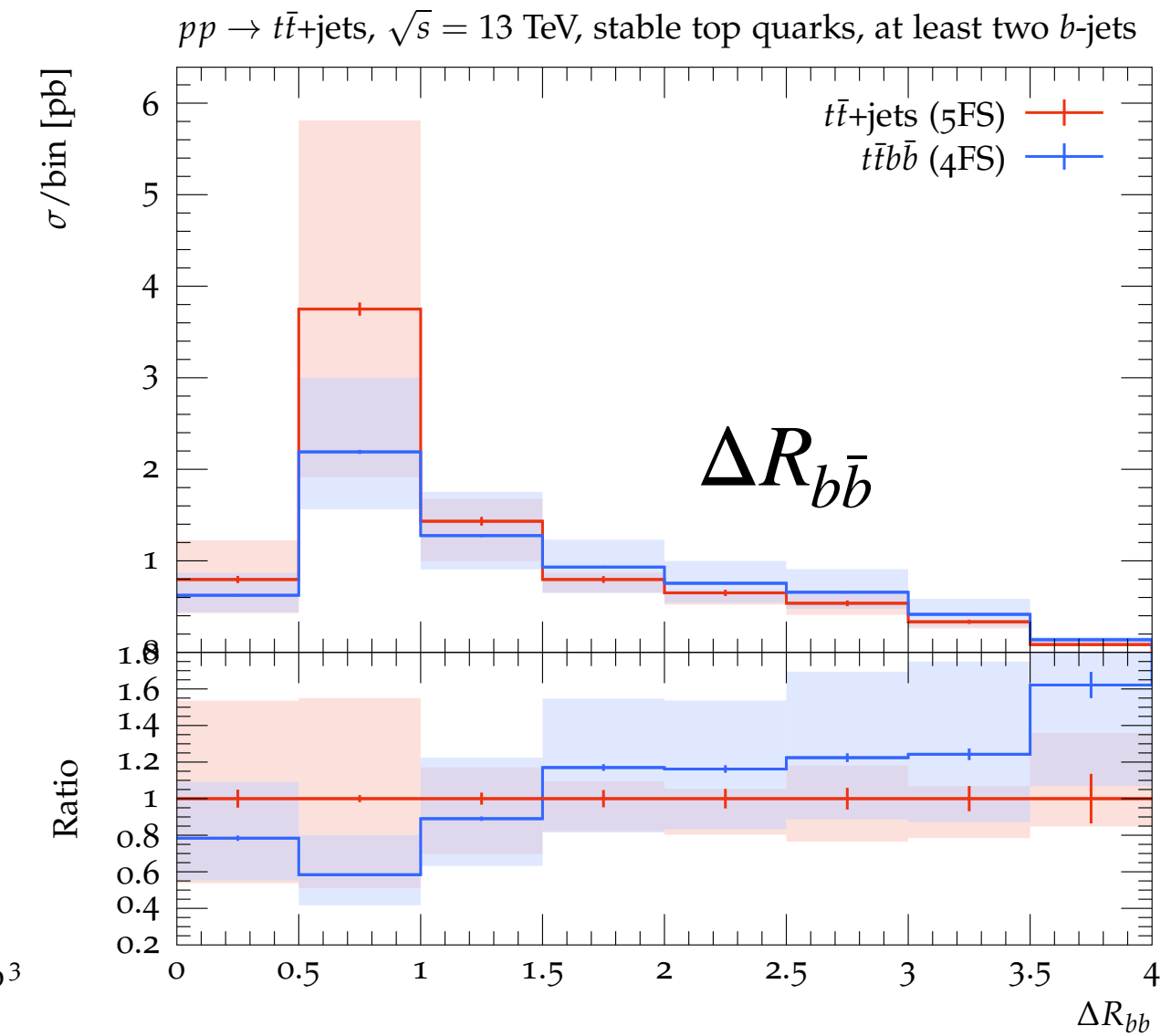
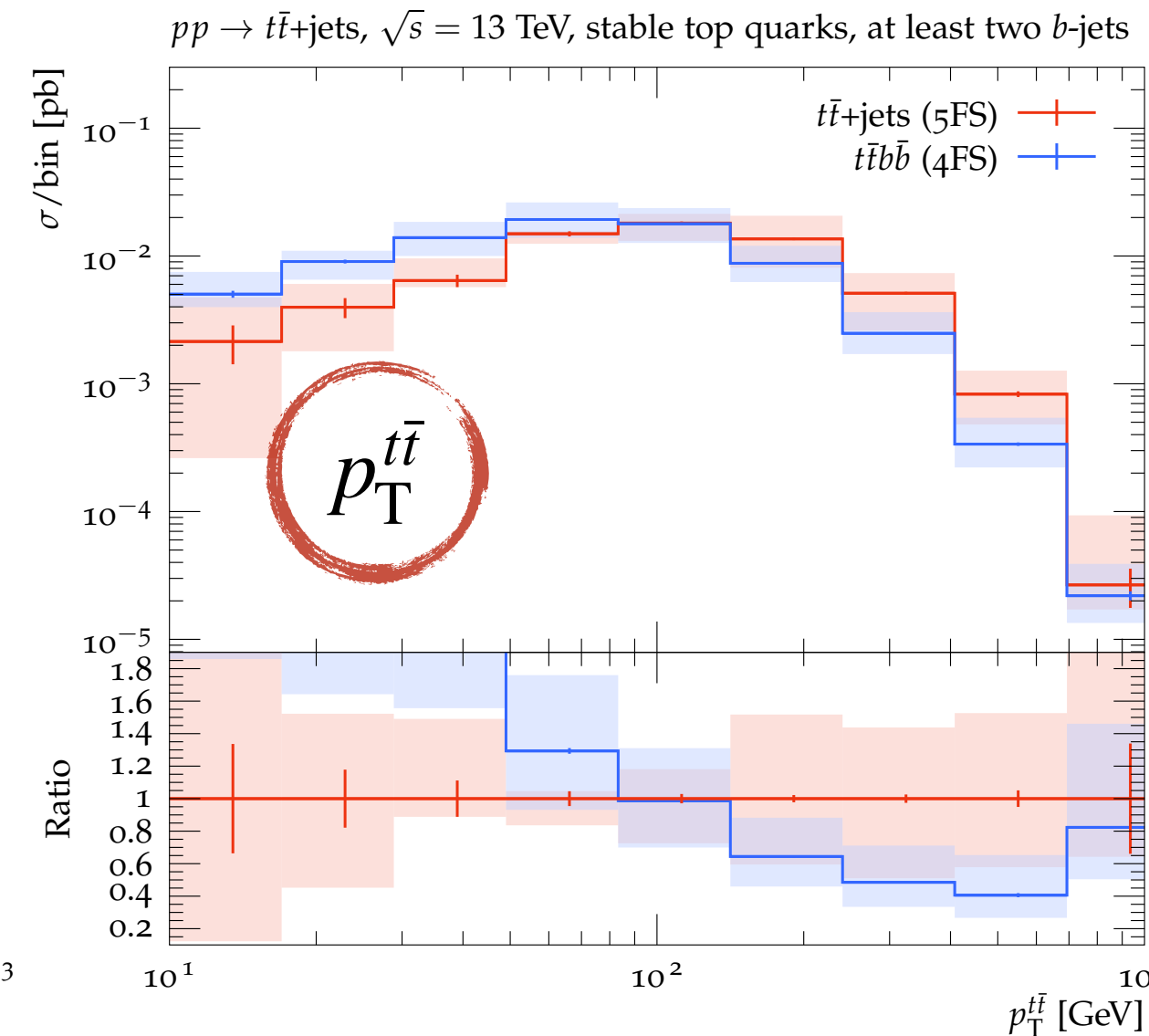
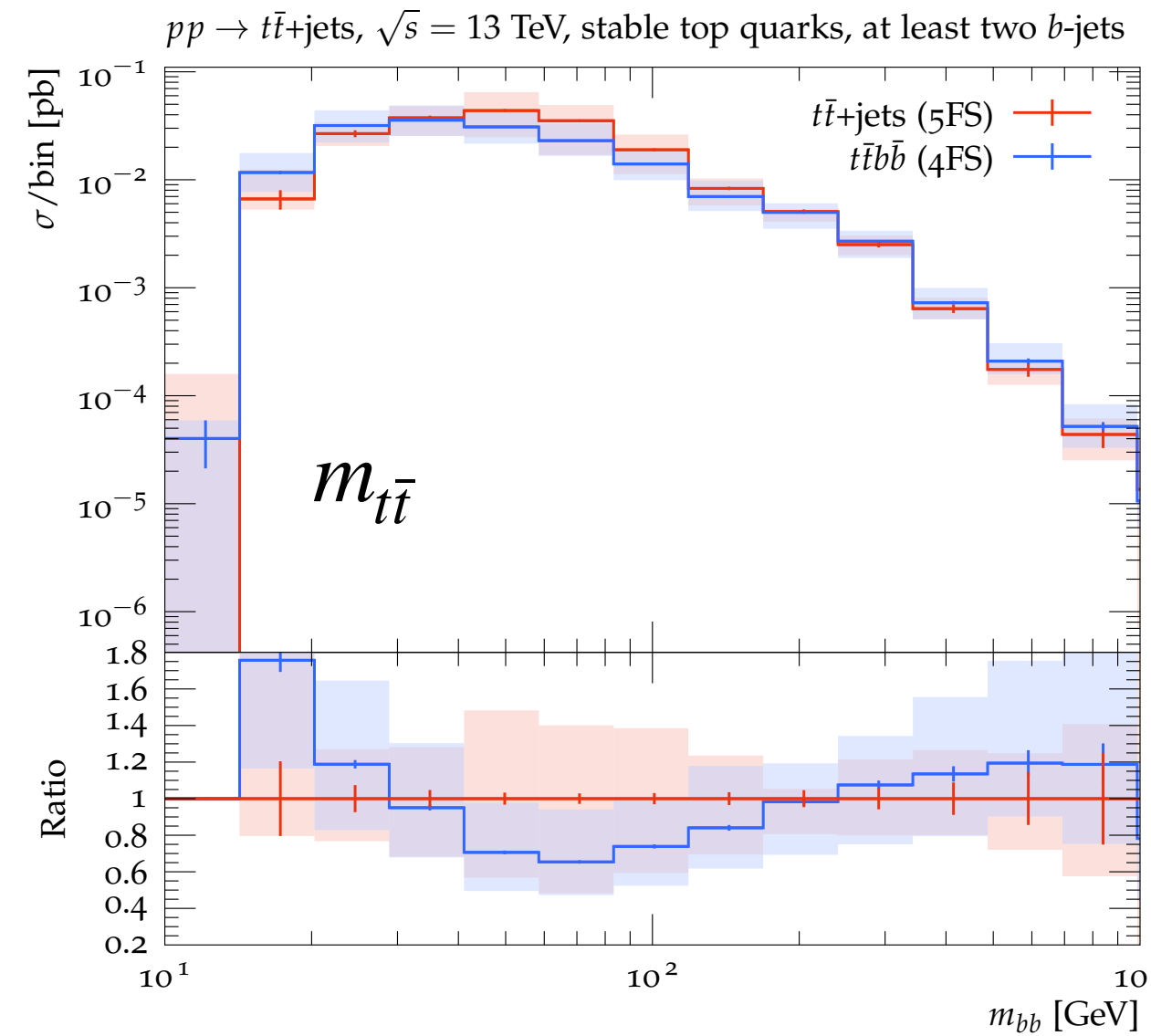
- ▶ anti- $k_T$  jets ( $R > 0.4$ )
  - $p_T > 25$  GeV
  - $|\eta| < 2.5$
- ▶ jets containing at least one bottom quark are identified as  $b$ -jets
- ▶ consider two scenarios:
  - at least 1  $b$ -jet
  - at least 2  $b$ -jets

- ▶ For most of the variables, 4FS and 5FS predictions are compatible within the uncertainty bands
- ▶ 5FS uncertainty is more reliable than the 4FS one, since the 4FS matching uncertainty is expected to be significant but is not included
- ▶ The  $p_T^{t\bar{t}}$  differs quite a lot, the 5FS predicts a much harder spectrum than 4FS
  - ➔ We investigated it further, see next slides



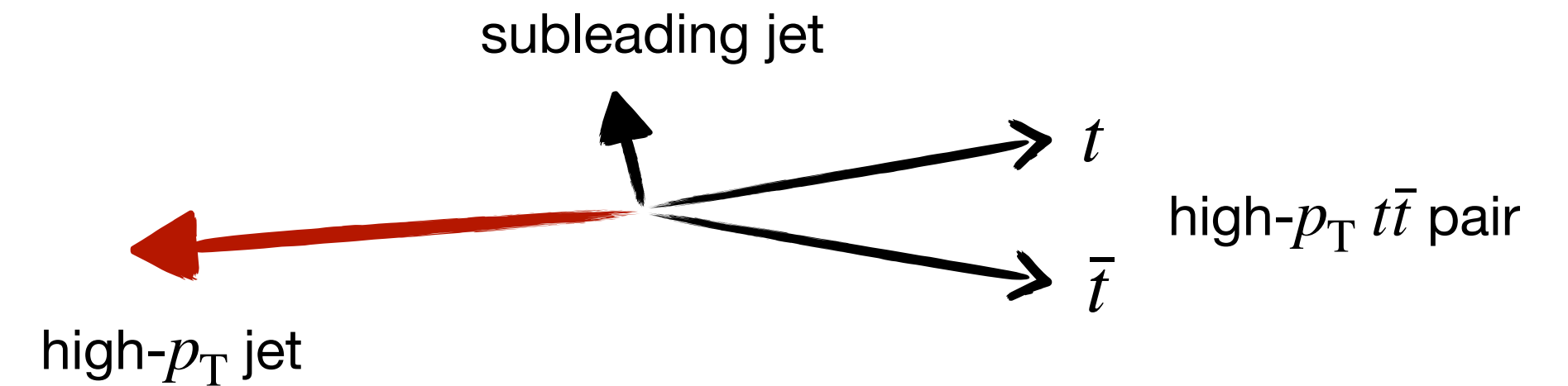
# 5FS vs 4FS: at least 2 $b$ -jets scenario

- ▶ Similar picture as for the  $\geq 1$   $b$ -jet selection
- ▶  $p_T^{t\bar{t}}$  spectrum differs again
- ▶ The rest of the variables are in agreement



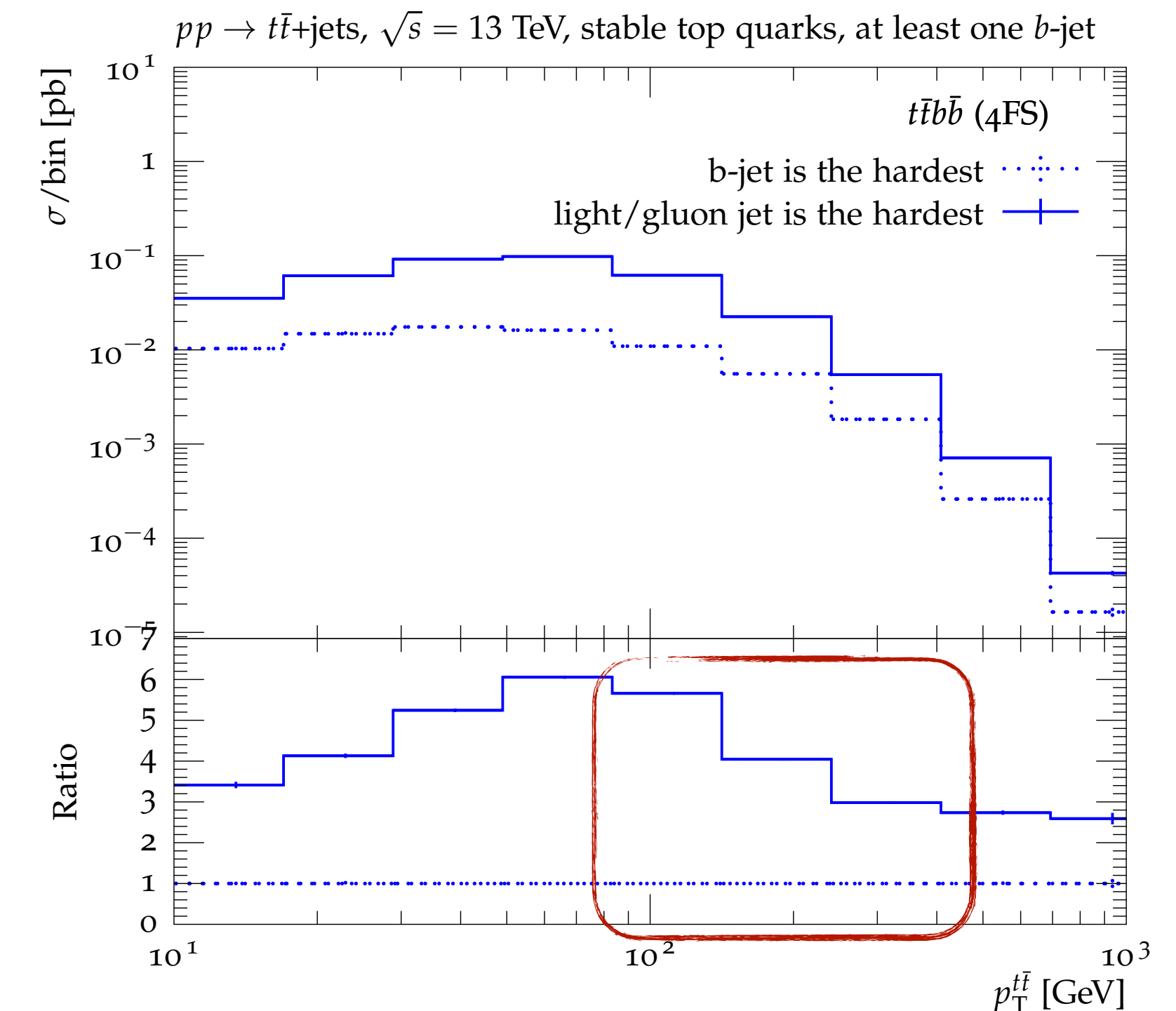
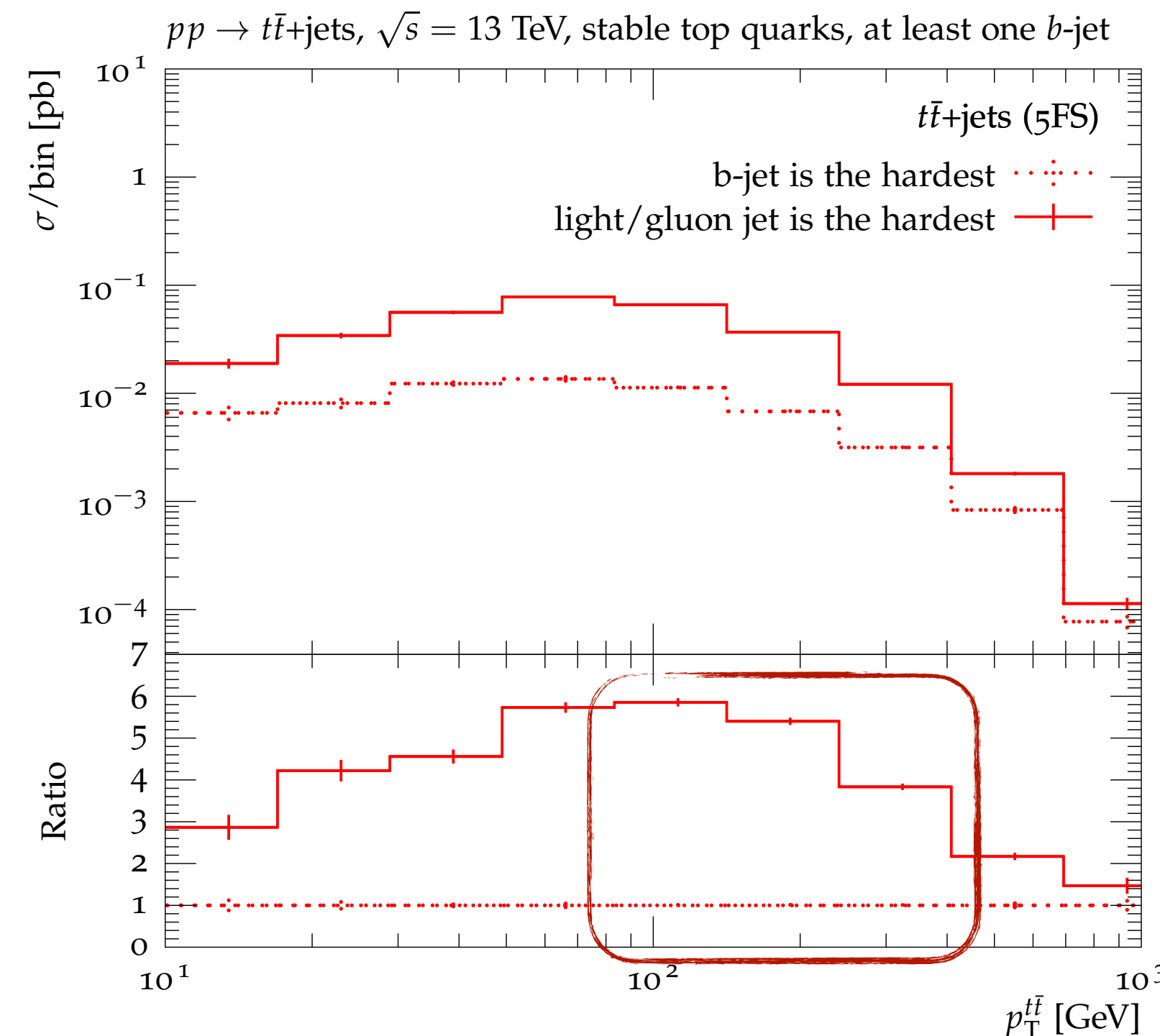


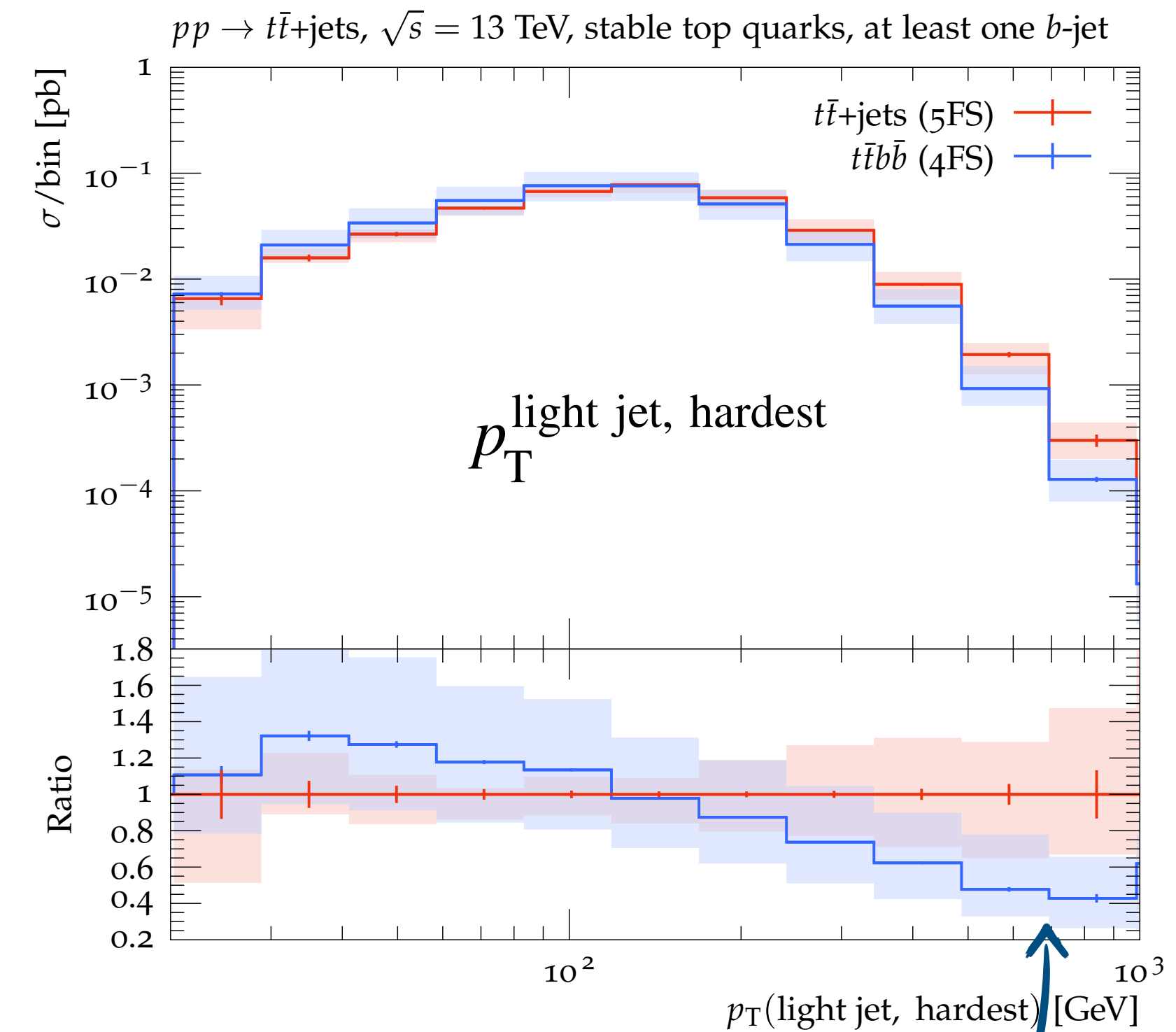
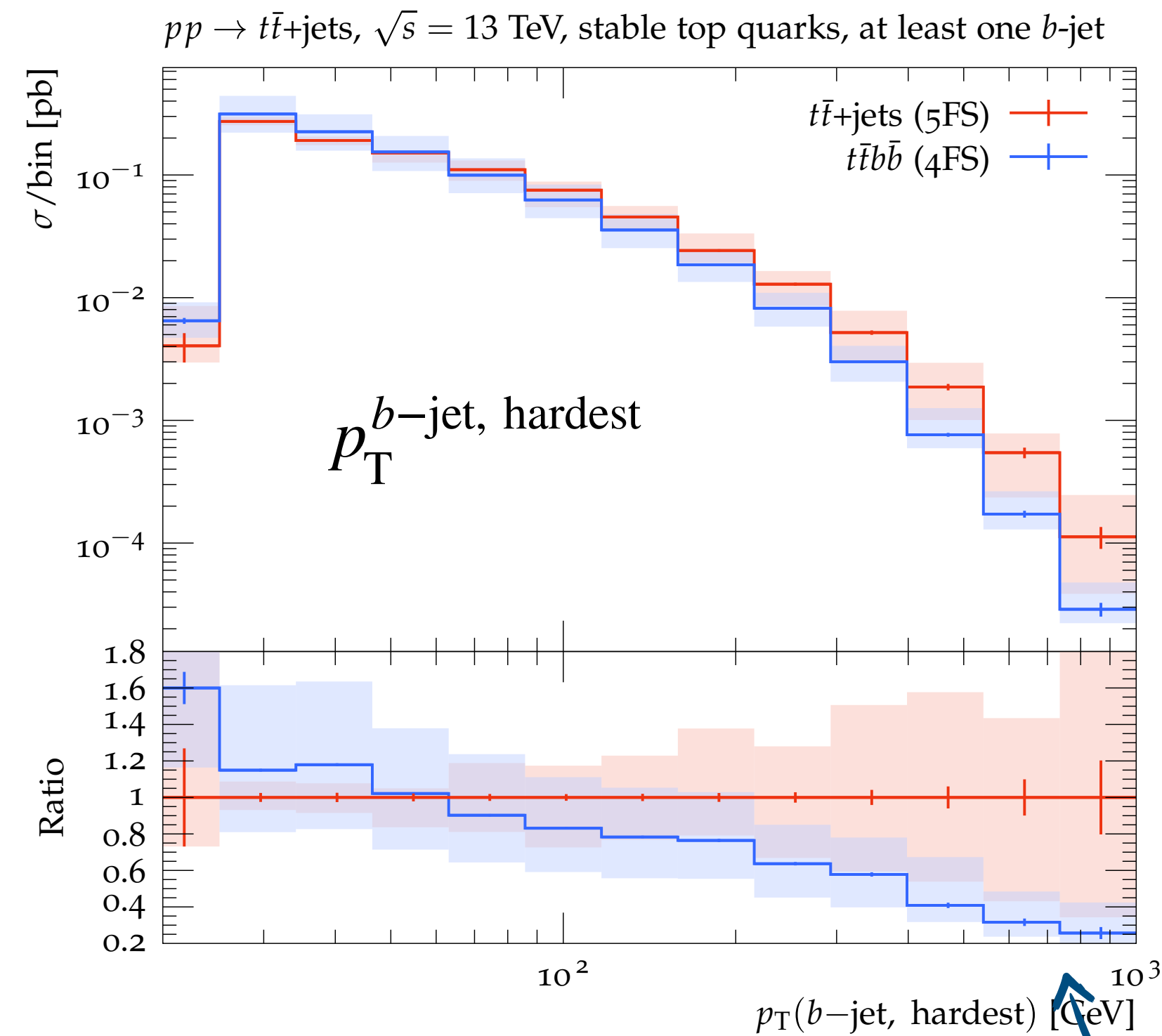
- ▶ At large  $p_T^{t\bar{t}}$ , it is kinematically most-likely that the  $t\bar{t}$  pair recoils against a single hard jet
- ▶ If the hardest jet is a light jet:
  - 5FS: described at NLO (most likely it is a gluon jet)
  - 4FS: described at LO or by the PS
    - No  $t\bar{t}gg$  events from the ME
    - There is no hard gluon to recoil from



at least 1  $b$ -jet selection

- ▶ For high  $p_T^{t\bar{t}}$ , the fraction of events with the hardest jet being light-flavoured is indeed larger in the 5FS
- ▶ But after  $p_T^{t\bar{t}} \sim 500$  GeV the situation is opposite — why?
  - Let's look again at the jet  $p_T$  distributions...

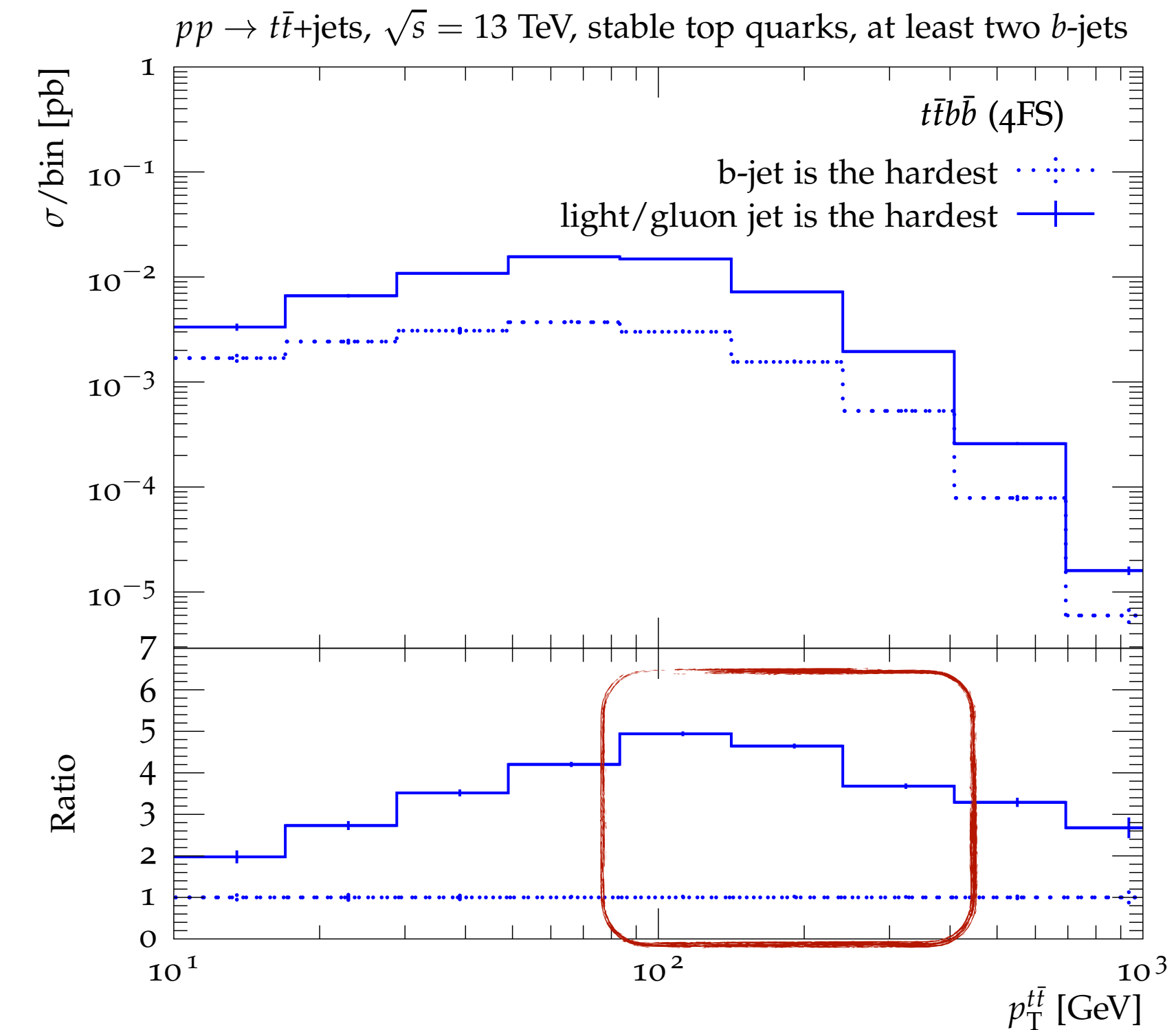
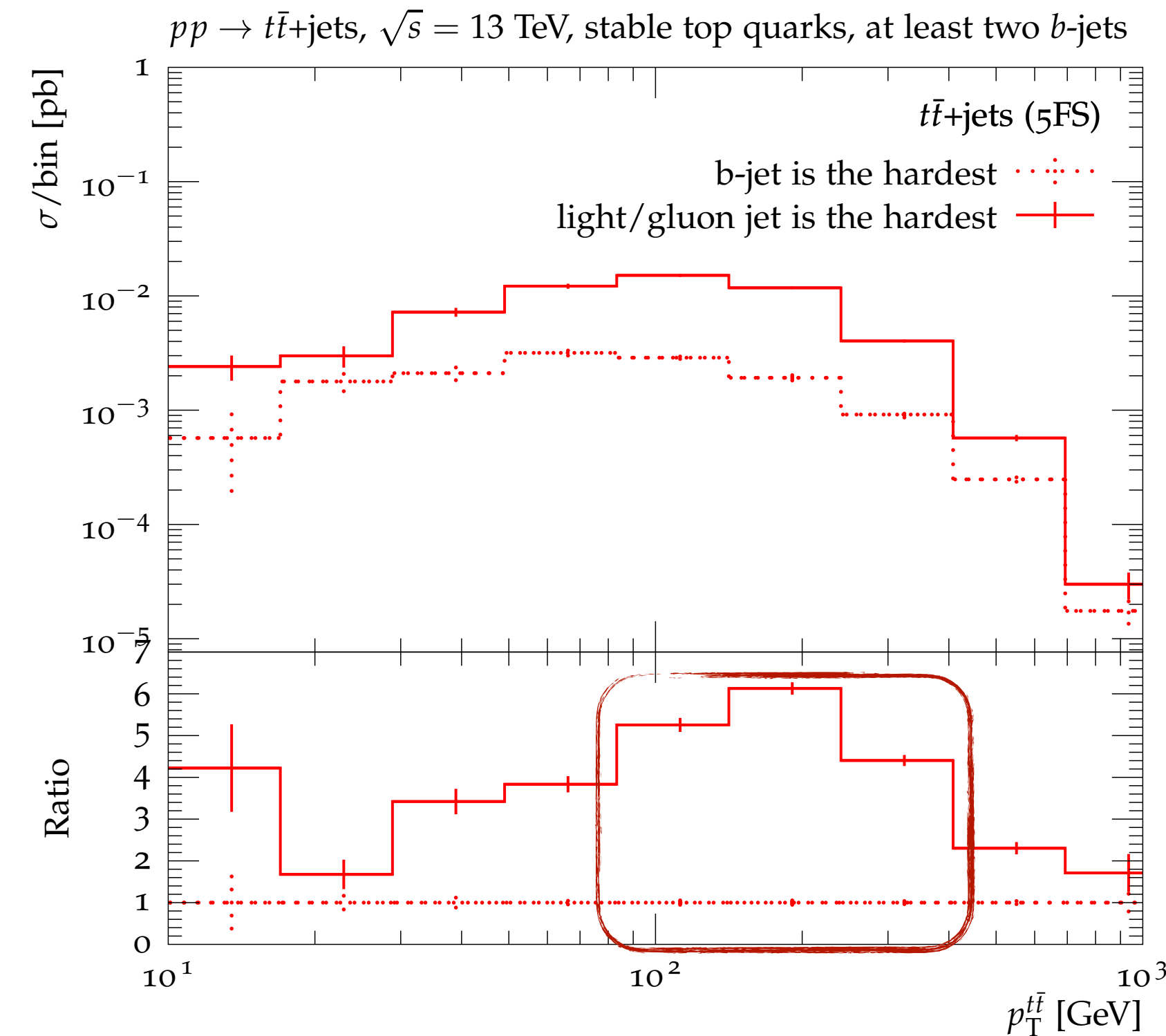




at very high  $p_T$ , the ratio of the 5FS over the 4FS predictions is larger for  $b$ -jets than for light jets

at least 2  $b$ -jets selection

- ▶ The difference in the fraction of the hardest light jets in even more pronounced in the  $\geq 2$   $b$ -jet selection



- ➔ The reason for the large 5FS–4FS difference in the  $p_T^{t\bar{t}}$  spectrum at large momenta is
  - The correlation between  $p_T^{t\bar{t}}$  and  $p_T^{\text{light jet, hardest}}$
  - Expected 5FS–4FS difference between the fraction of events with the hardest jet being light-flavoured



# To summarise:

- ▶  $t\bar{t}b\bar{b}$  production serves as a significant background process across various high-energy physics phenomena
- ▶ 5FS calculation of  $t\bar{t}b\bar{b}$  at NLO yields the most accurate prediction for this process to date
  - no large logarithms appearing in the matrix element calculation
  - no complications when matching to a parton shower
- ▶ We compute the  $t\bar{t}$  + jets process with up to 2 jets at NLO using the FxFx merging prescription and match it to the Pythia8 shower
- ▶ To improve the efficiency of selecting events with additional  $b$ -jets we enhance the probability of producing short-distance events with additional  $b$ -quarks using a newly implemented feature in the MadGraph5\_aMC@NLO generator
  - This makes producing the  $t\bar{t}b\bar{b}$  in the 5FS at NLO more viable, given the computational demands of the 5FS approach
- \* *Similar heavy-flavour enhancement could also be applied to the “fusion” method in Sherpa*
  - *Which might help in increasing the accuracy of the computation for the additional jets*

**BACK-UP**



# Alternative approach: Sherpa fusion

- ▶  $t\bar{t}b\bar{b}$  merged with  $t\bar{t}$ +jets in a variable flavour number scheme
- ▶ Should have at least the same precision as 5FS, if computed at the same order
- ▶ Up to now, the additional jets in the 5FS component are only computed at LO
- ▶ Similar 4FS vs 5FS differences as we see in MadGraph+Pythia8

