

# Electroweak metastability and Higgs Inflation



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17<sup>th</sup> International Workshop on  
Top Quark Physics

September 22 to 27  
Saint-Malo, France

# PLAN

1) Review of stability/metastability of the Higgs potential in the SM

→ focus on the shape of the SM Higgs potential around “criticality”

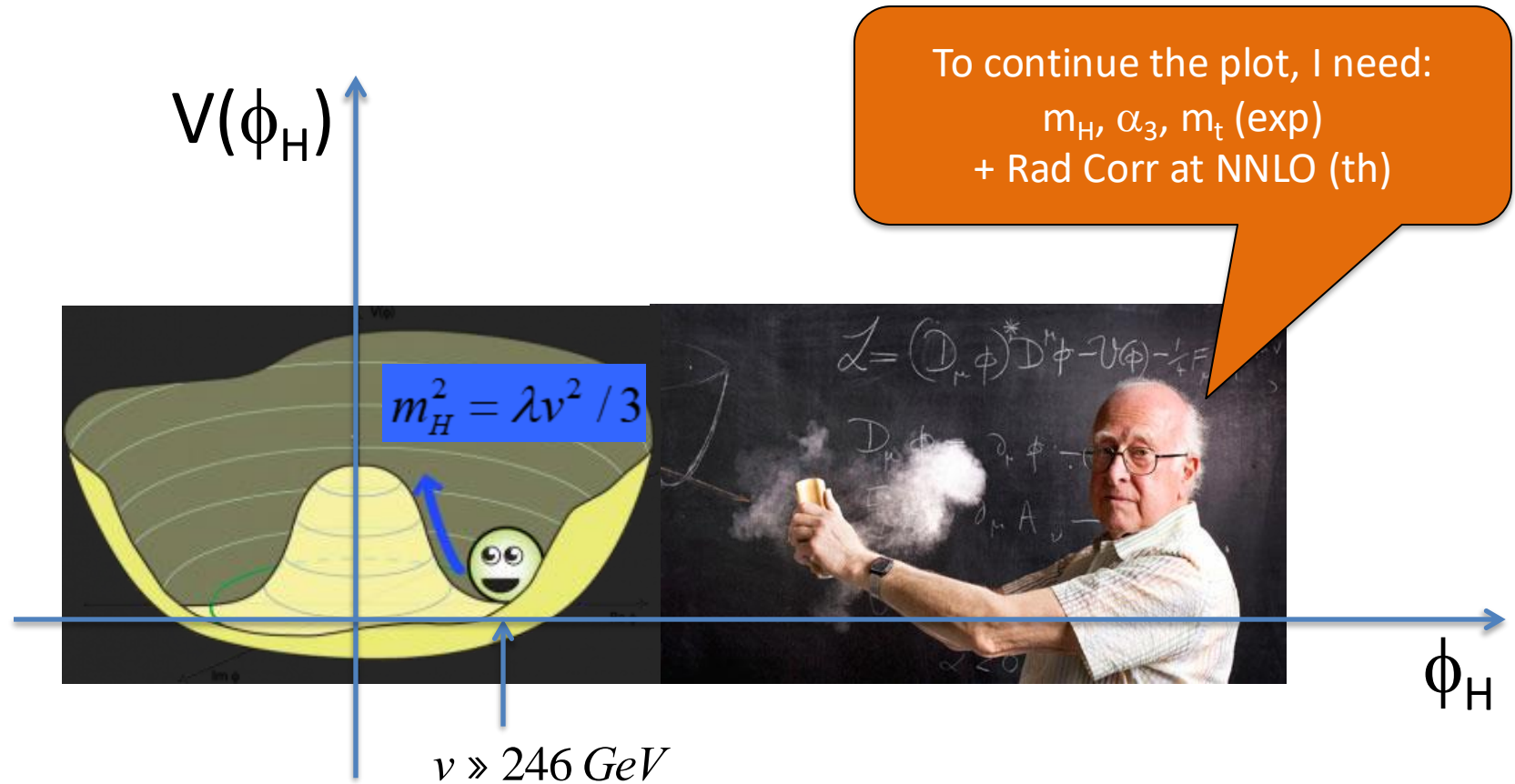
2) Review of inflation and why BSM physics is needed

3) Higgs inflation, i.e. adding a non-minimal coupling to gravity

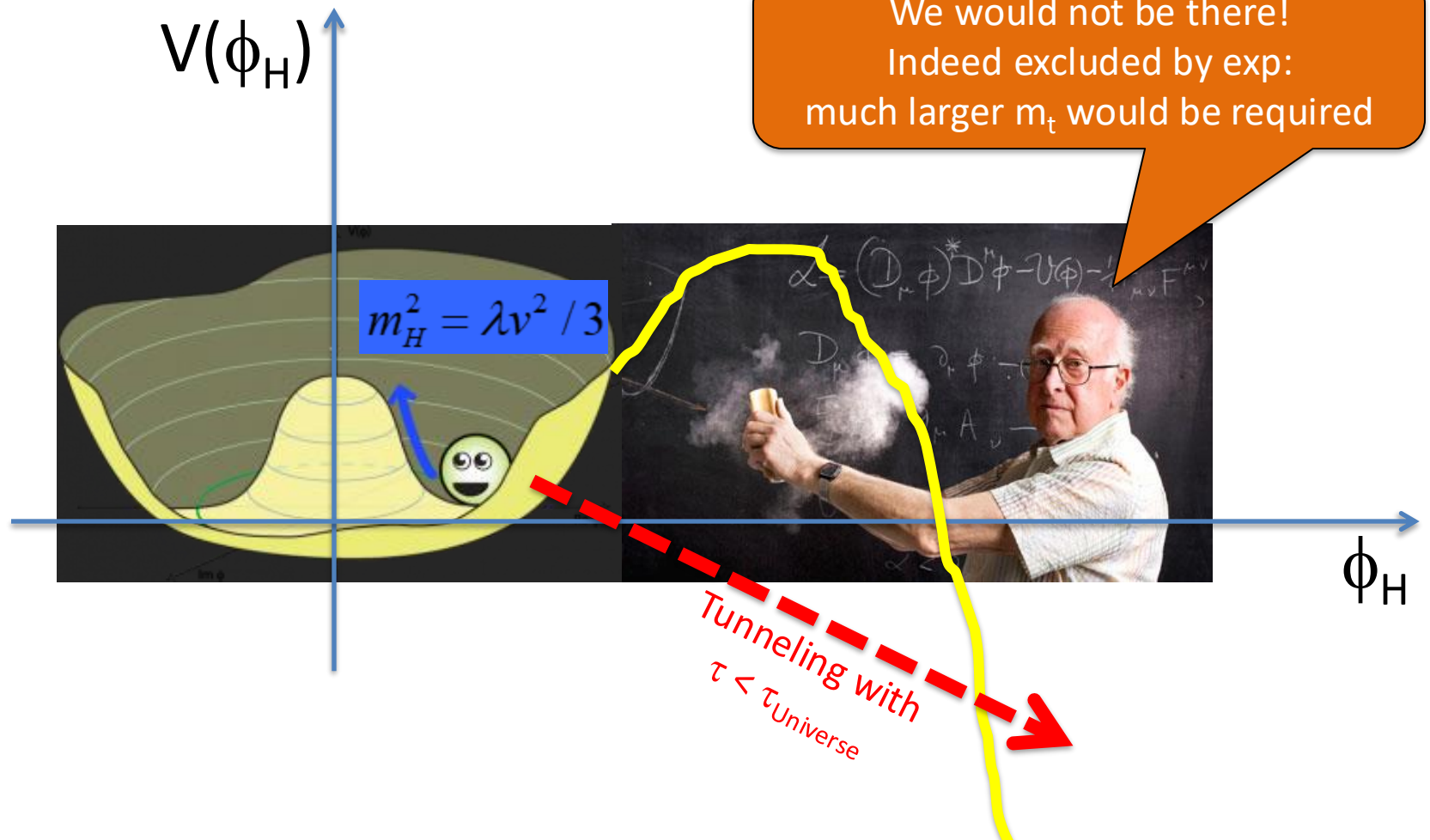
→ works also for some metastable configurations! [Masina Quiros 2403.02461]

4) Conclusions and perspectives

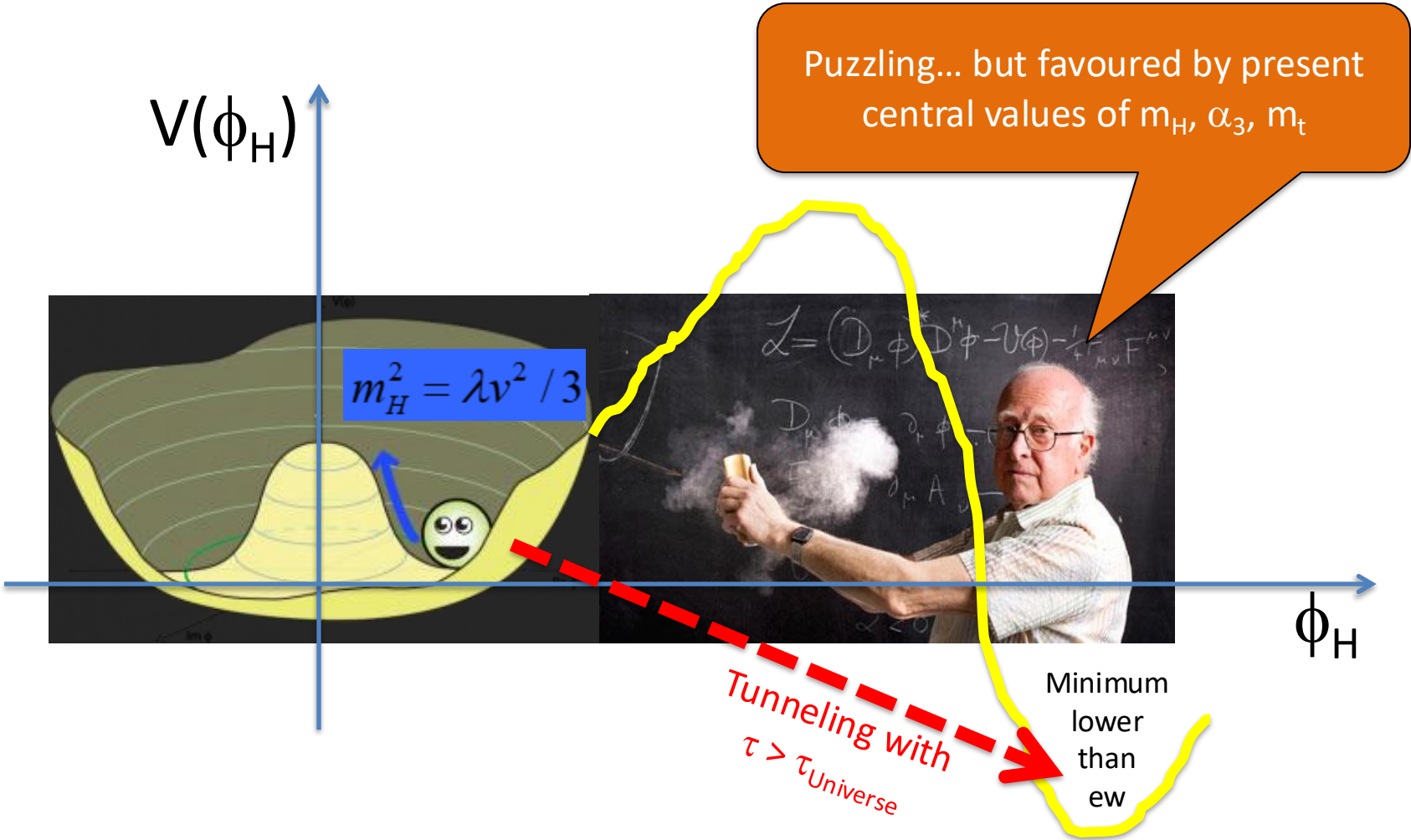
Consider the Higgs doublet  $H = (0, (\phi_H + v)/\sqrt{2})$  and the SM Higgs effective potential



# Scenario 1: INSTABILITY

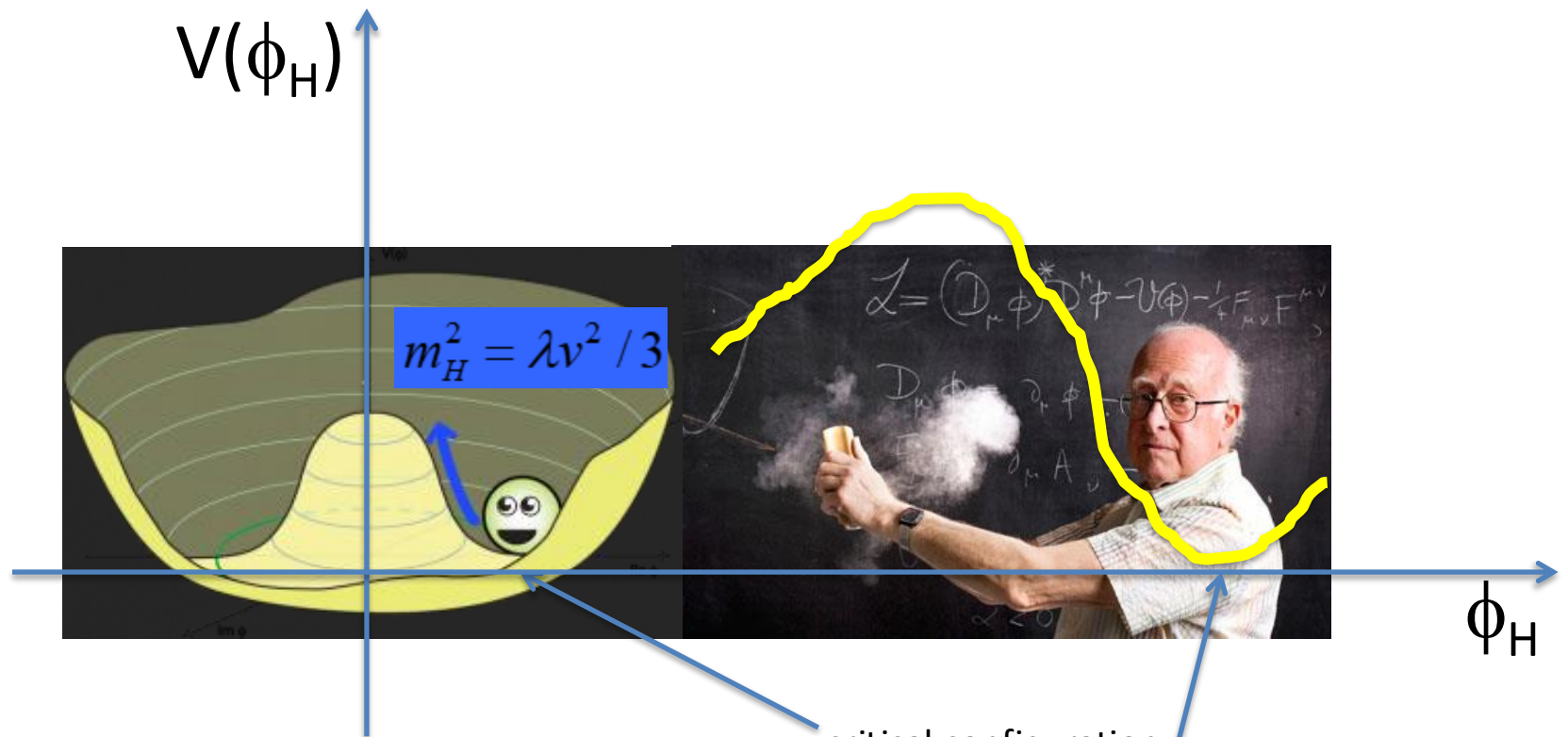


# Scenario 2: METASTABILITY



# Scenario 3: STABILITY

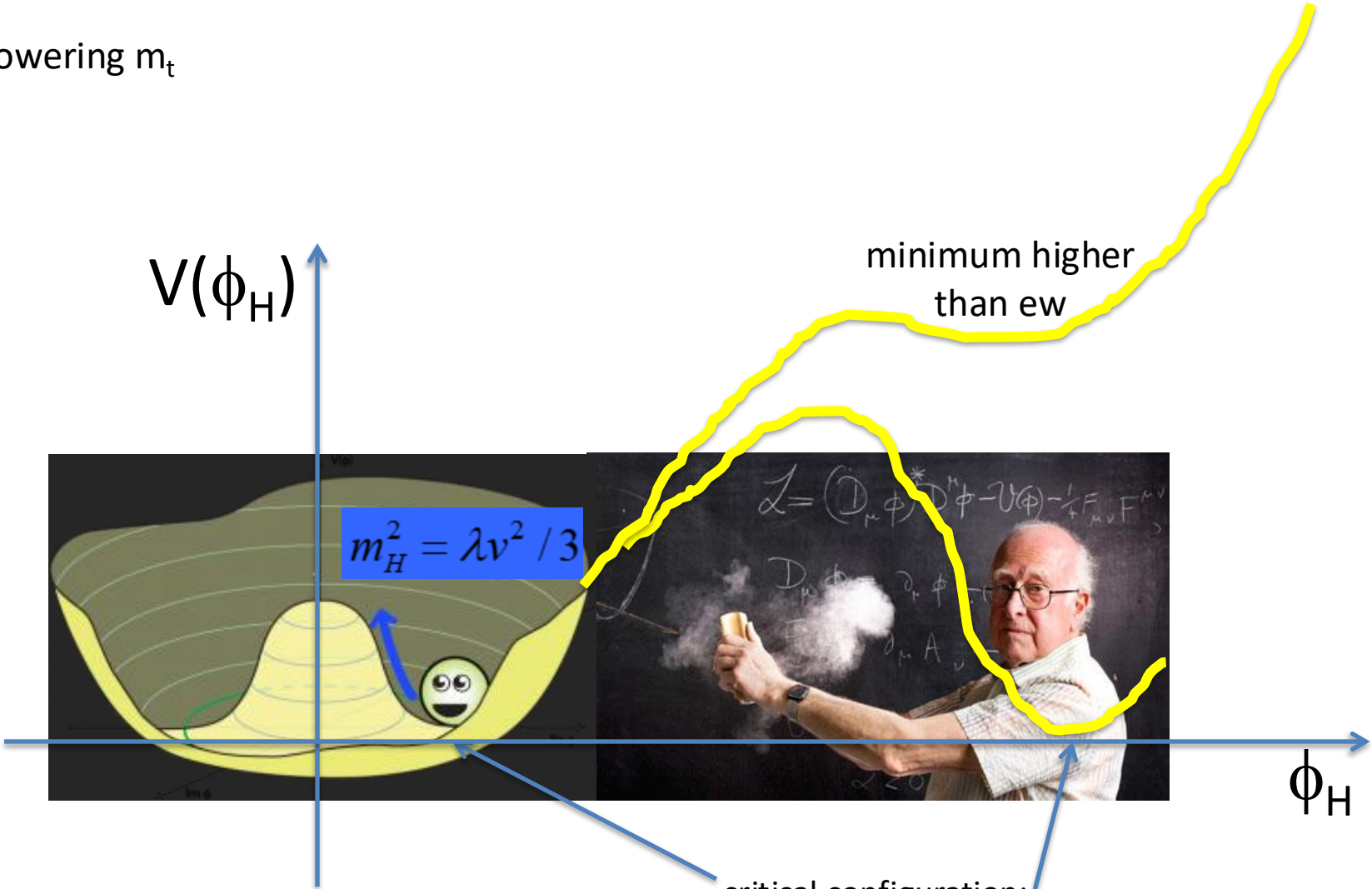
... Lowering  $m_t$



critical configuration:  
minimum degenerate with ew  
[Froggatt Nielsen '96]

# Scenario 3: STABILITY

... Lowering  $m_t$



minimum higher than ew

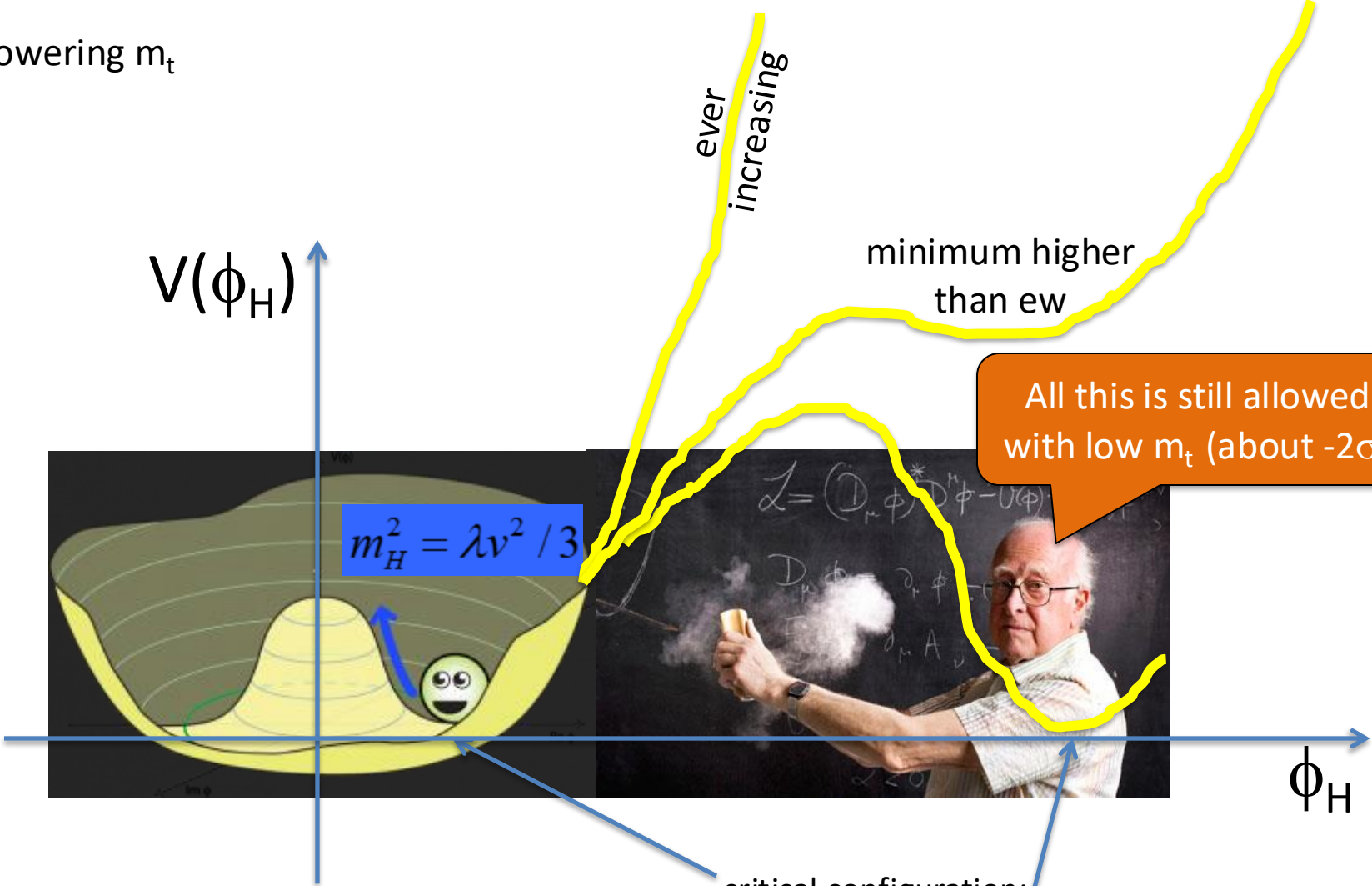
$$m_H^2 = \lambda v^2 / 3$$

critical configuration:  
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[Froggatt Nielsen '96]



# Scenario 3: STABILITY

... Lowering  $m_t$



$V(\phi_H)$

$$m_H^2 = \lambda v^2 / 3$$

ever increasing

minimum higher than ew

All this is still allowed with low  $m_t$  (about  $-2\sigma$ )

$\phi_H$

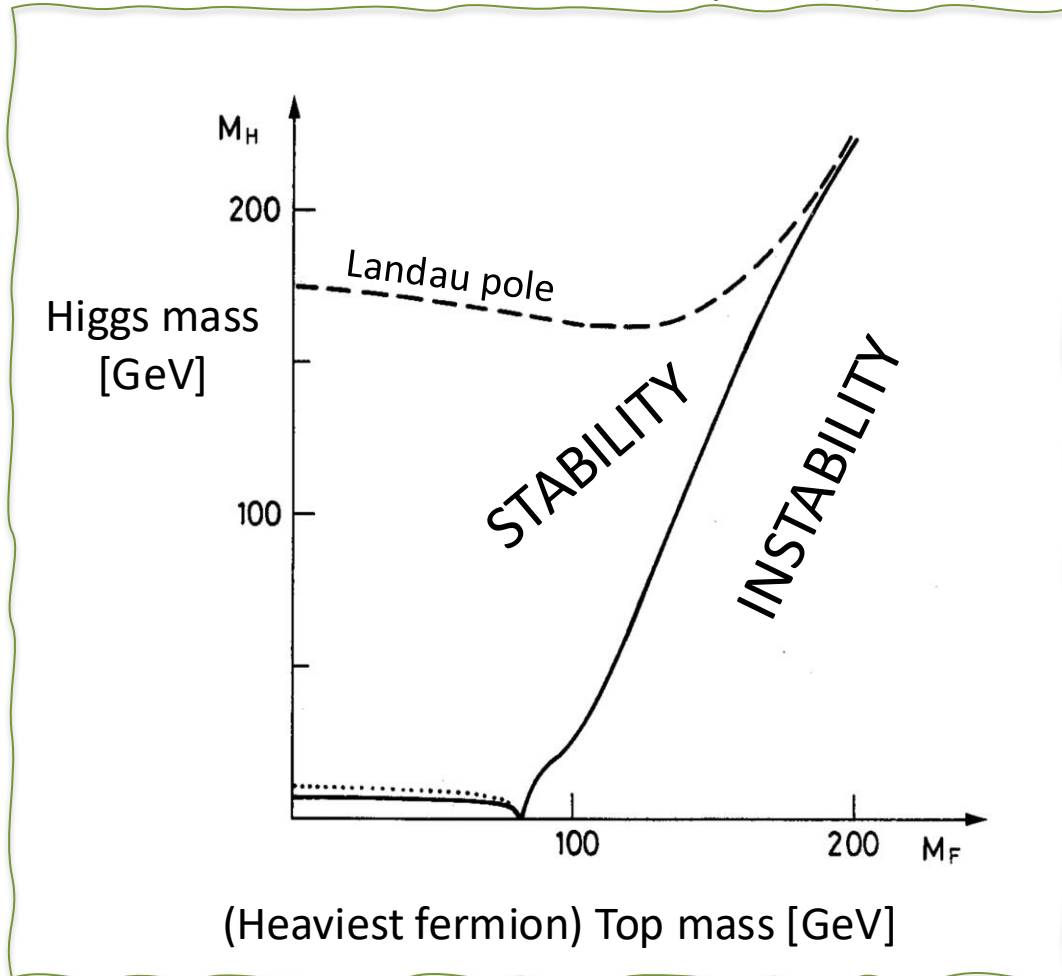
critical configuration: minimum degenerate with ew [Froggatt Nielsen '96]



The program of discriminating the scenarios started in fall 70s [Cabibbo...]  
(after top prediction in '73, but much before its discovery in '95!)

Plot from:

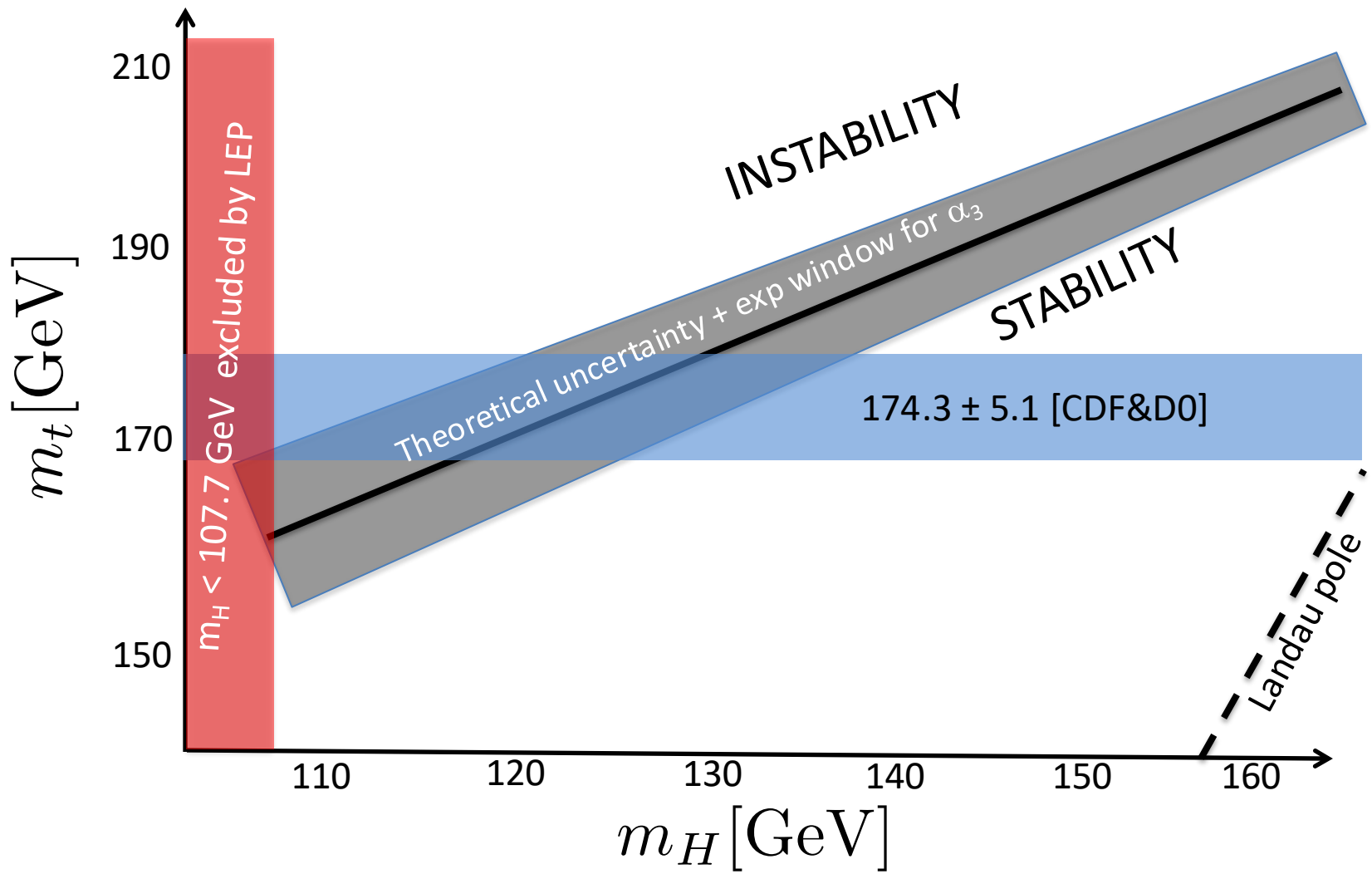
Cabibbo Maiani Parisi Petronzio *Nucl.Phys.B* 158 (1979) 295



To be or not to be (stable),  
that is the question...

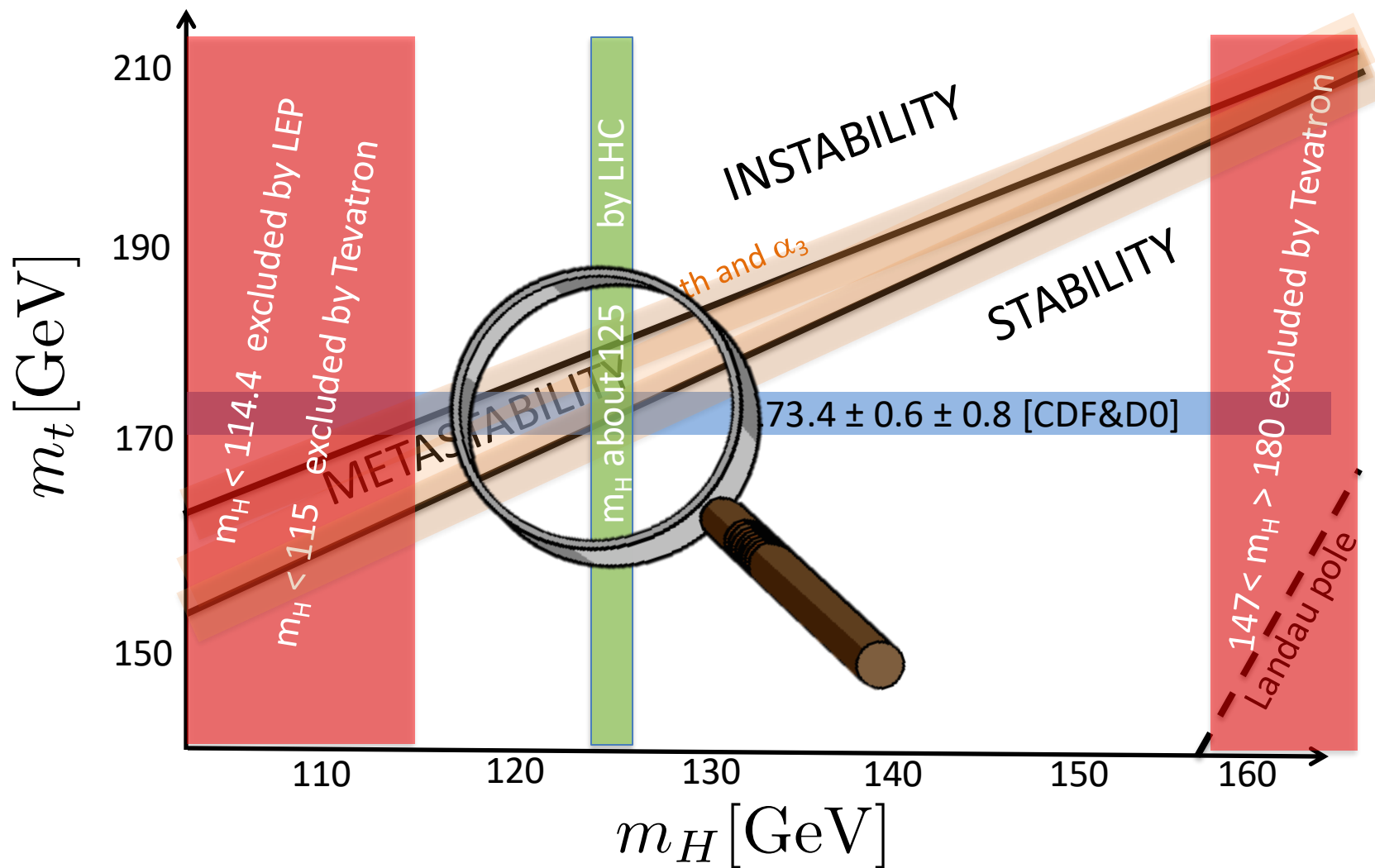


How the program evolved: **PDG 2000**  
[Casas Espinosa Quiros, Hambye Riesselman, ...]



# How the program evolved: PDG 2012

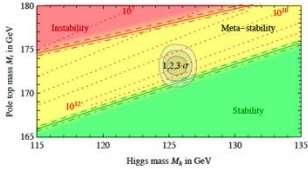
calculation was performed at NLO



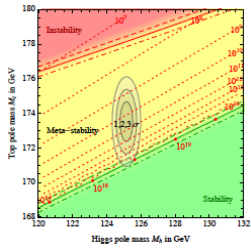
Huge activity followed to improve exp data and push theoretical calculation at NNLO

Many groups at work, essentially agreeing: here just a selection of few plots and refs

FIRST NNLO by  
Degrassi et al,  
arXiv:1205.6497

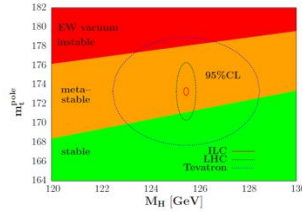


Buttazzo et al,  
arXiv:1307.3536

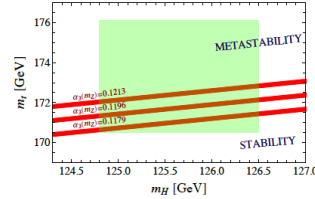


Franceschini et al,  
arXiv:2203.17197

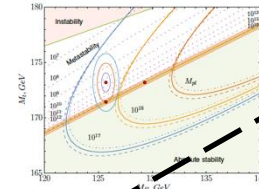
Alekhin et al,  
arXiv:1207.0980



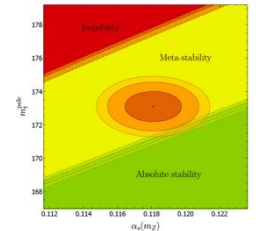
Masina,  
arXiv:1209.0393



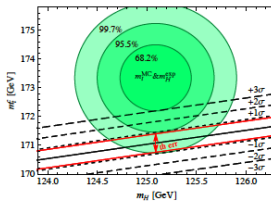
Bednyakhov et al,  
arXiv:1507.08833



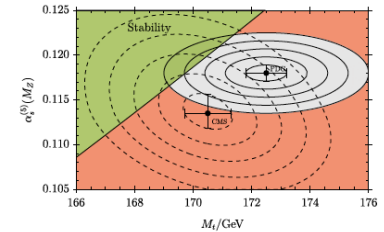
Andreassen et al,  
arXiv:1707.08124



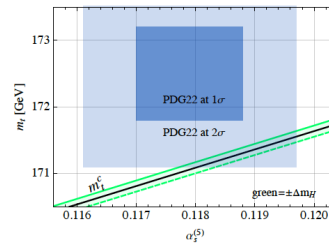
Masina,  
arXiv:1805.02160



Hiller et al,  
arXiv:2401.08811



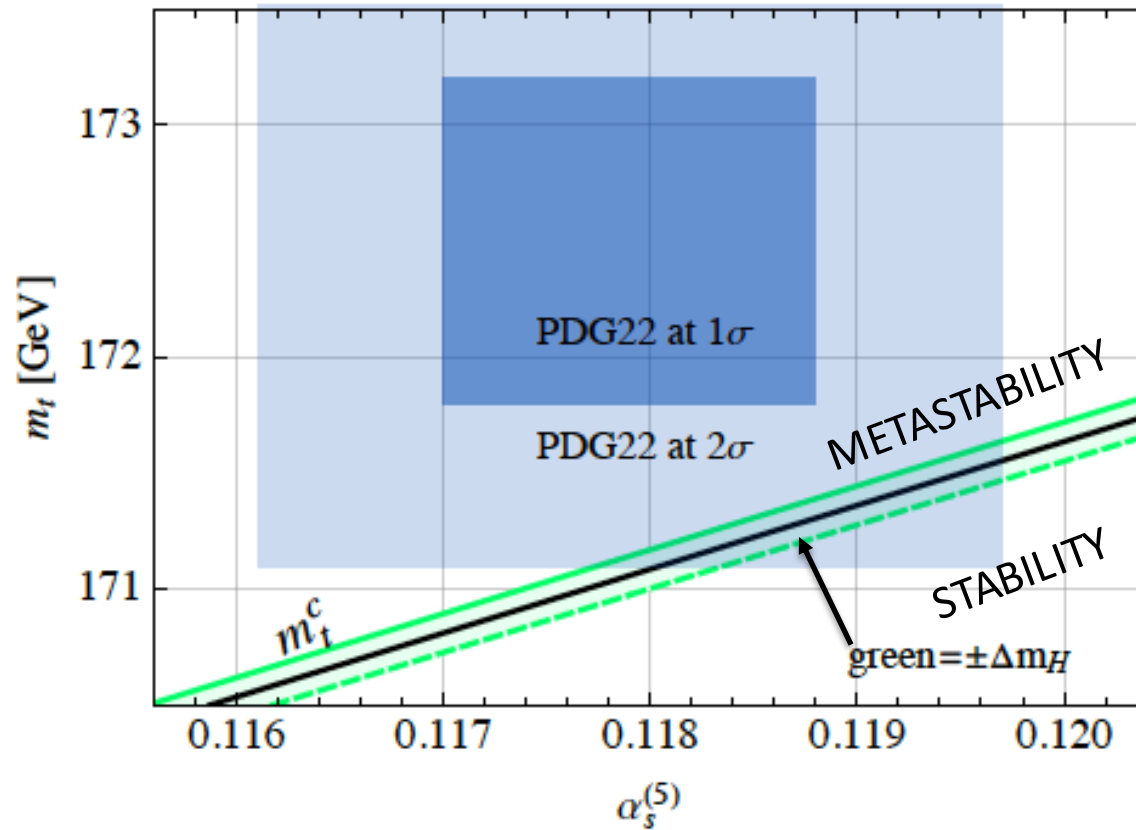
Masina Quiros,  
arXiv:2403.02461



$m_H$  well known, trade for  $\alpha_3$ - $m_t$  plane

time

From our most recent analysis [using PDG22 data]



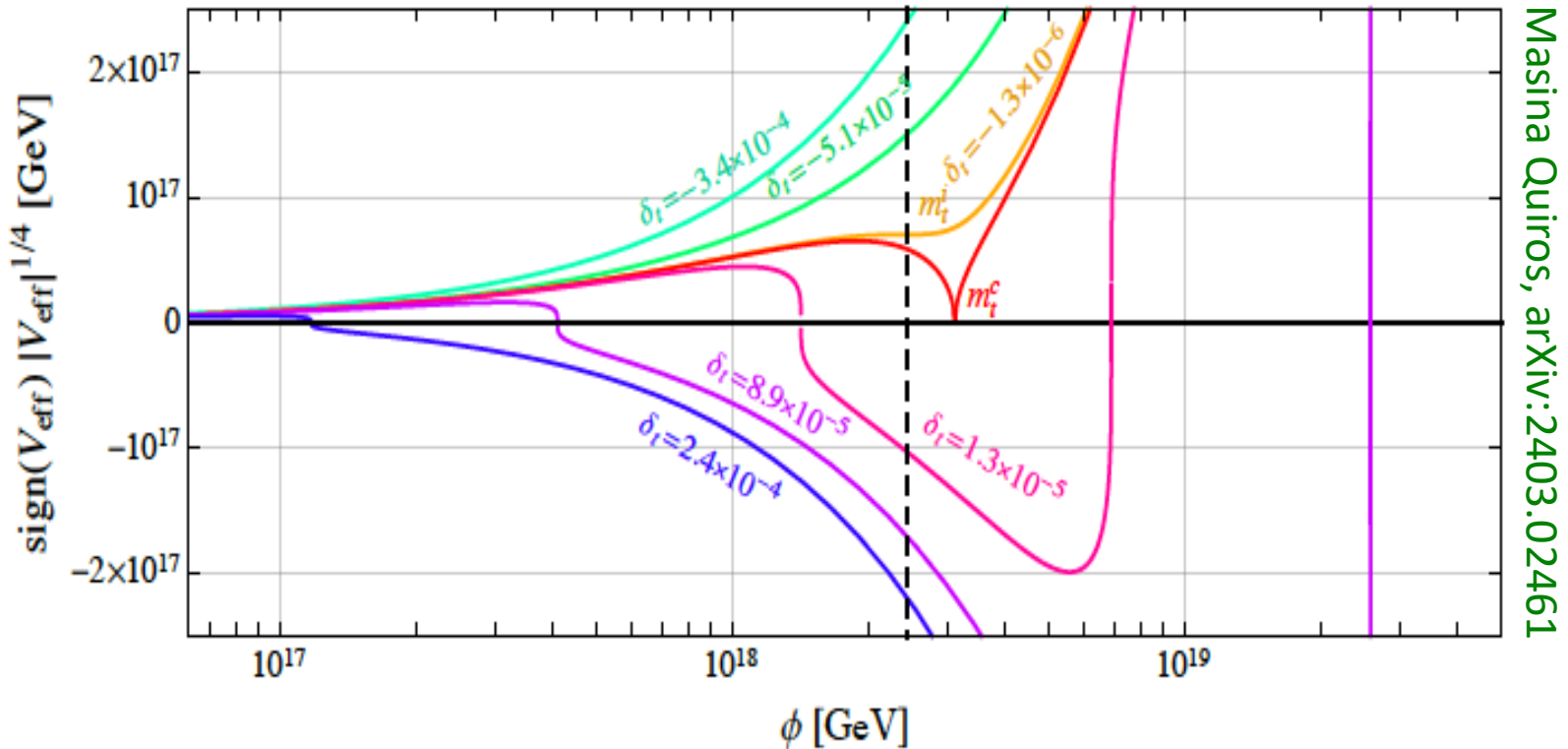
Masina Quiros, arXiv:2403.02461

Prospects to discriminate metastability/stability discussed later in this mini-workshop

Focus on the shape of the Higgs potential around criticality ( $m_t^c \leftrightarrow 2 \text{ deg vacua}$ )

$$m_t = m_t^c (1 + \delta_t)$$

A fractional deviation  $\delta_t = 10^{-4}$  from  $m_t^c$  (i.e.  $\pm 0.017 \text{ GeV}$  from  $m_t$ ) has a drastic effect!



Masina Quiros, arXiv:2403.02461

The Higgs is the ONLY elementary scalar found...  
could it be involved in primordial inflation?

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3) Higgs inflation, i.e. adding a non-minimal coupling to gravity

→ works also for some metastable configurations! [Masina Quiros 2403.02461]

4) Conclusions and perspectives



Basic idea of inflation: introduce a homogeneous scalar «inflaton» field  $\phi$

if, for some reason, there has been a period in which the Hubble rate  $H$  was dominated by a **positive** nearly constant potential  $V(\phi)$

$$\left(\frac{\dot{a}(t)}{a(t)}\right)^2 = H(t)^2 \approx \frac{8\pi}{3} \frac{V(\phi)}{M_P^2} > 0$$

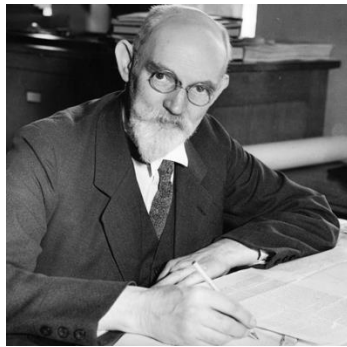
$V$  acts as "dark energy"

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V acts as "dark energy"



$$a(t) \propto e^{H t}$$

**EXPONENTIAL EXPANSION**

of the scale factor for an empty (no matter) de Sitter universe

Can explain:  
flatness, isotropy and  
homogeneity, ...

... but the inflationary period should end (after about  $N=60$  e-folds),  
leading also to matter production via (re)heating

Dynamics of the inflaton is of Klein-Gordon type (new inflation type)

typically negligible  $\ddot{\phi} = -3H\dot{\phi} - V'(\phi)$  ← like a ball rolling down a hill  
Hubble damping

Flat hill

→ slow-roll conditions

$$\epsilon = \frac{M_P^2}{2} \left( \frac{V'}{V} \right)^2 < 1 \quad \eta = M_P^2 \frac{V''}{V} < 1$$

leads to *nearly scale invariant* ( $k=aH$ ) density perturbations (seeds for LSS formation)

See PDG22 reviews  
for defs:

$$\Delta_R^2(k) = \Delta_R^2(k_*) \left( \frac{k}{k_*} \right)^{\text{small}}$$

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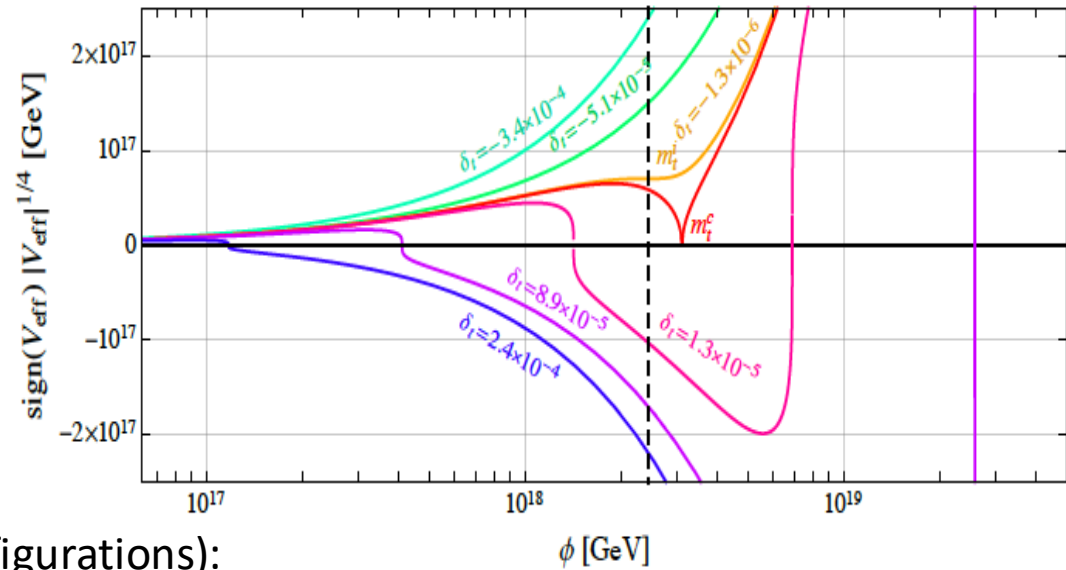
See PDG22 reviews  
for defs and exp data:

$$\Delta_R^2(k) = \Delta_R^2(k_*) \left( \frac{k}{k_*} \right)^{n_s - 1} = -0.035 \pm 0.005$$

$2.1 \times 10^{-9} \cong \frac{2}{3\pi^2 r} \frac{V(\phi)}{M_P^4}$  where  $r \approx 16\epsilon_* < 0.036$

# Is it possible to exploit the SM Higgs potential for inflation?

Given  $V_{\text{eff}} > 0$ , would you need stability or even metastability would work?



Start from top to bottom  
(from stable to metastable configurations):

- ever increasing: too steep, no slow roll
- inflection point: a bit of slow roll, but not for enough efolds  
[see e.g. Isidori Rychkov Strumia Tetradis, 0712.0242]
- Higgs trapped in shallow vacuum (old inflation type) + another field as inflaton:  
leads to too large  $r$  [Masina, 1805.02160]
- critical & → metastable configurations: do not work

## Why shallow vacuum does not work:

[Masina, 1805.02160]

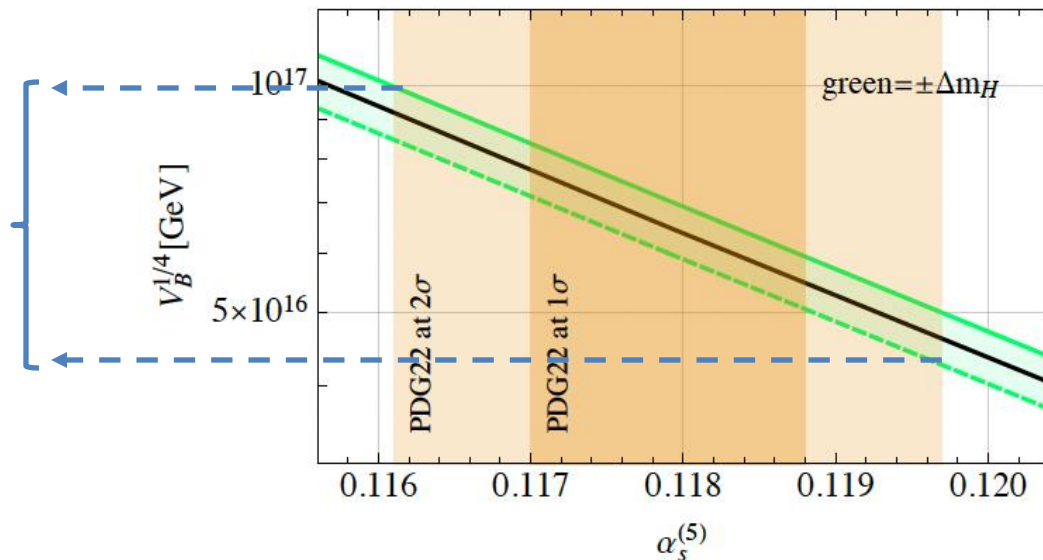
Call  $V_B^{1/4}$  the height of the barrier between the ew and «high scale» minimum

$$\frac{V_B^{1/4}}{M_P} \approx \left( \frac{3\pi^2}{2} r \Delta_R^2 \right)^{1/4} \approx 1.3 \times 10^{-2} r^{1/4}$$

exp lim on  $r < 0.036 \rightarrow V_B^{1/4} < 2.5 \times 10^{16} \text{ GeV}$

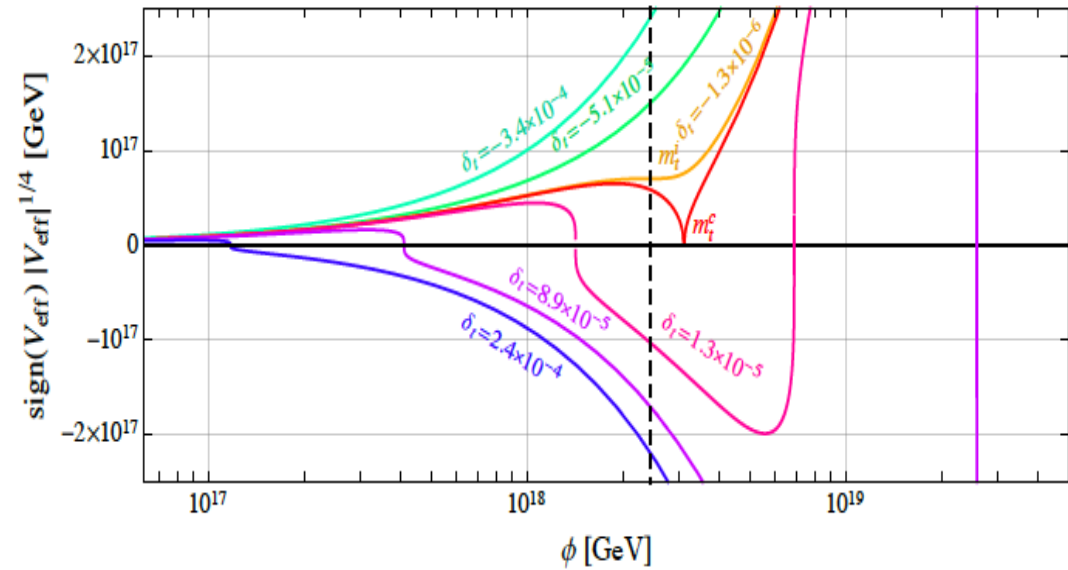
...too small wrt  
SM prediction!

SM prediction:  
 $5 \times 10^{16} - 10^{17}$



Masina Quiros, arXiv:2403.02461

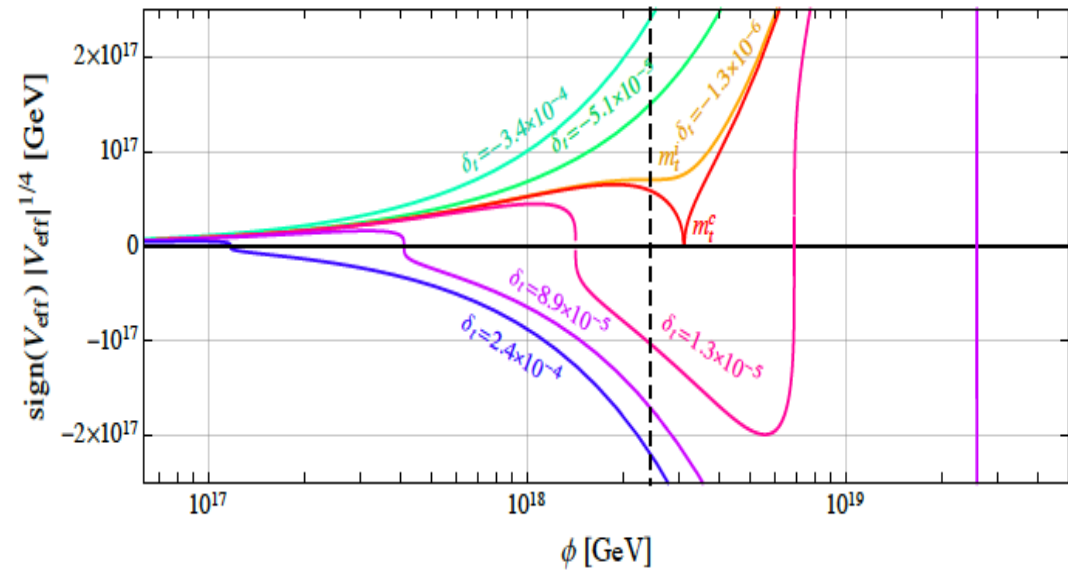
GENERAL LESSON:  
need new physics BSM



For instance: something that «flattens» the Higgs potential at some  $\phi$ ,  
so that the Higgs itself is the inflaton?



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For instance: something that «flattens» the Higgs potential at some  $\phi$ ,  
so that the Higgs itself is the inflaton?

Bezrukov and Shaposhnikov  
[Phys. Lett. B659, 703 (2008), arXiv:0710.3755]



Considered stable configurations, and showed this happens  
by adding a non-minimal coupling of the Higgs with gravity!

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2) Review of inflation and why BSM physics is needed

3) Higgs inflation, i.e. adding a non-minimal coupling to gravity [NON TECHNICAL]

→ works also for some metastable configurations! [Masina Quiros 2403.02461]

4) Conclusions and perspectives

$\xi$ =non-minimal coupling  
of Higgs with gravity

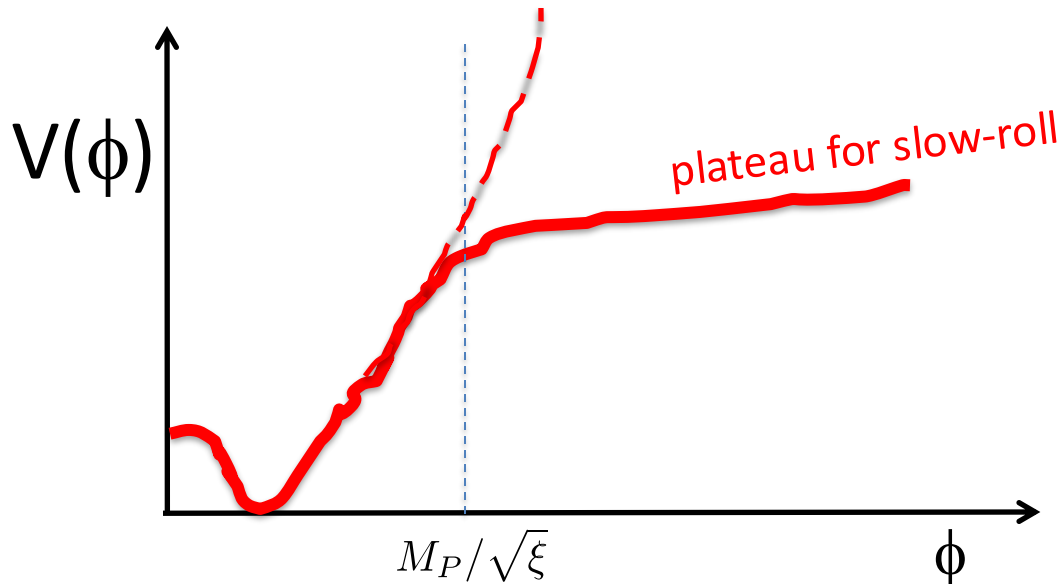
$$1 + \frac{\xi\phi^2}{M_P^2}$$

SM Higgs potential

$$S = \int d^4x \sqrt{-g} \left( -\frac{M_P^2}{2} f(\phi) R + \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi) \right)$$

upon conformal transformation to Einstein frame and  
redefinition of Higgs field to have canonical kinetic term

$$S_E = \int d^4x \sqrt{-\hat{g}} \left( -\frac{M^2}{2} \hat{R} + \frac{\partial_\mu \chi \partial^\mu \chi}{2} - V_E(\chi(h)) \right)$$



$$\frac{\frac{\lambda}{4} \phi^4}{\left(1 + \frac{\xi\phi^2}{M_P^2}\right)^2}$$

Higgs potential flattened  
(also including NNLO) at  
 $\phi > M_P/\sqrt{\xi}$

Metric formalism

predictions for  $N=60$ :  $n_s - 1 = -2/N \approx -0.033$ ,  $r = 12/N^2 \approx 0.0033$

[as Starobinski  
inflation]

Substituting  $r$  prediction in previous formula

pink line ← 
$$-2.5 \approx \log_{10} \left( \frac{3\pi^2 r \Delta_R^2}{2} \right)^{1/4} = \log_{10} \frac{V_i^{1/4}}{M_P}$$
 →  $V_i^{1/4} \approx 7.6 \times 10^{15} \text{ GeV}$   
hence pred for  $\xi$

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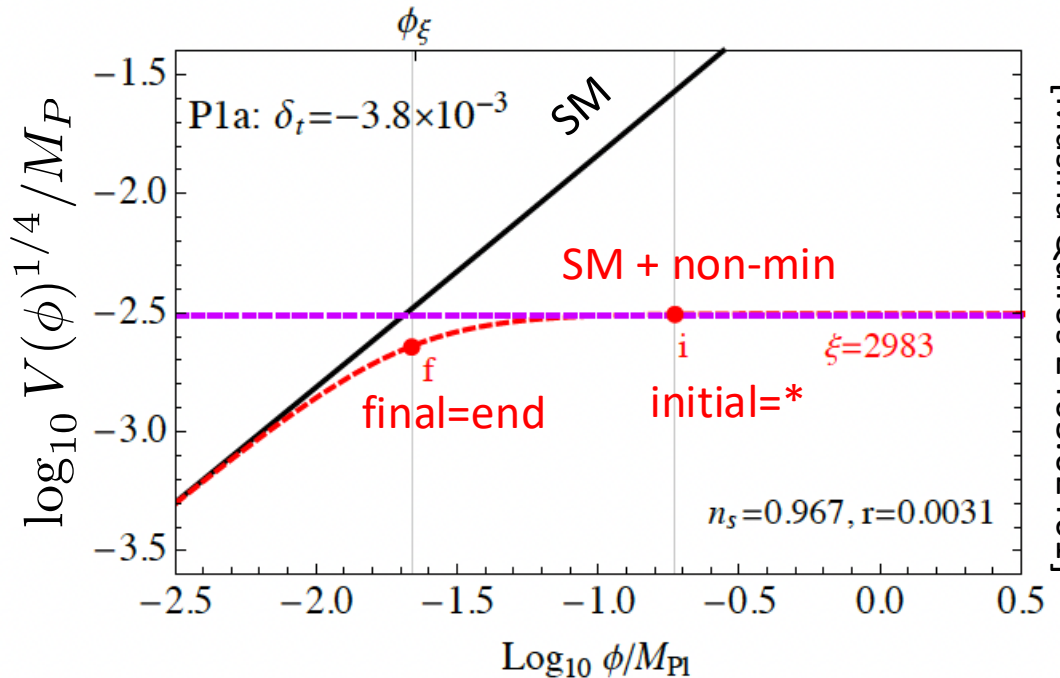
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EVER INCREASING  
 (STABLE CONFIGURATIONS)  
 + non-min coupling

Well known that it works  
 with large  $\xi = \mathcal{O}(3000)$

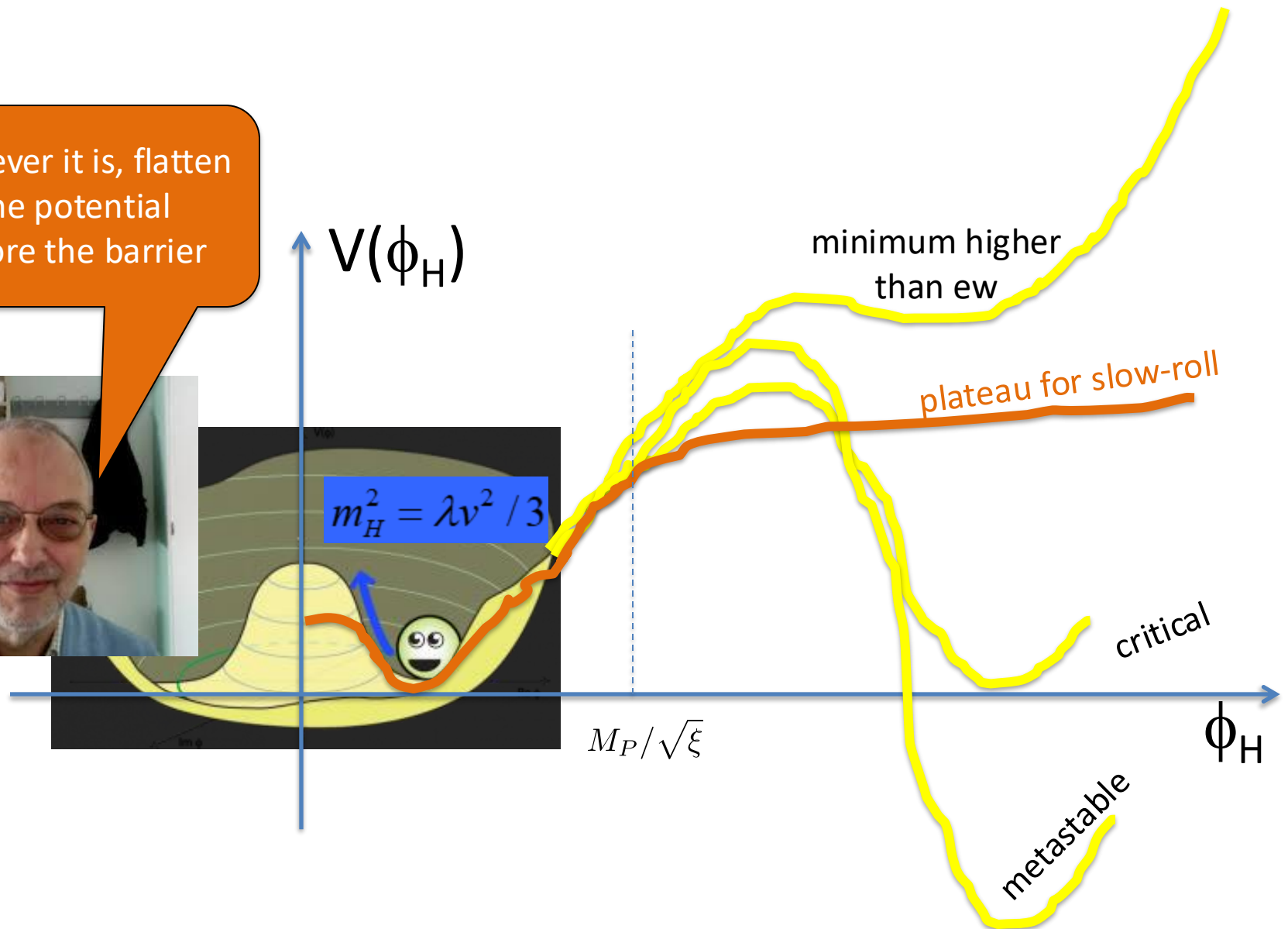


[Masina Quiros 2403.02461]

# WHAT ABOUT OTHER CONFIGURATIONS + non-min?

[Masina Quiros 2403.02461]

whatever it is, flatten  
the potential  
before the barrier



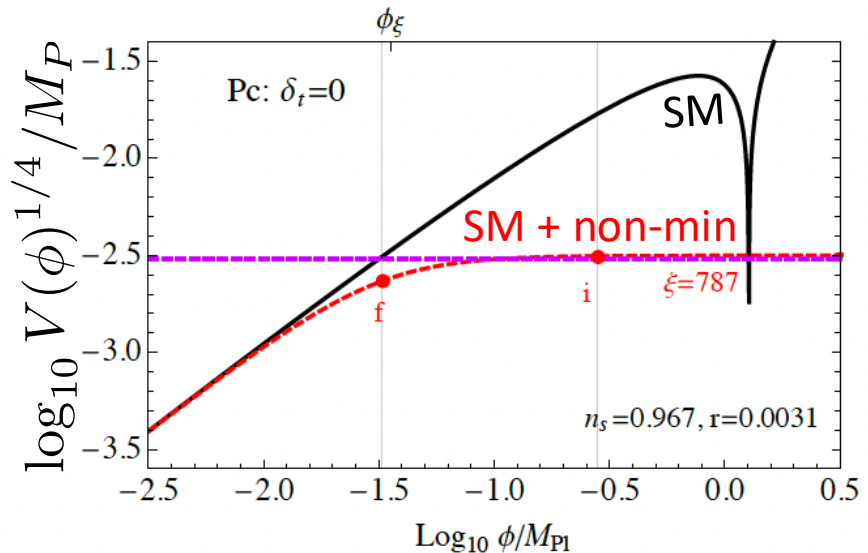
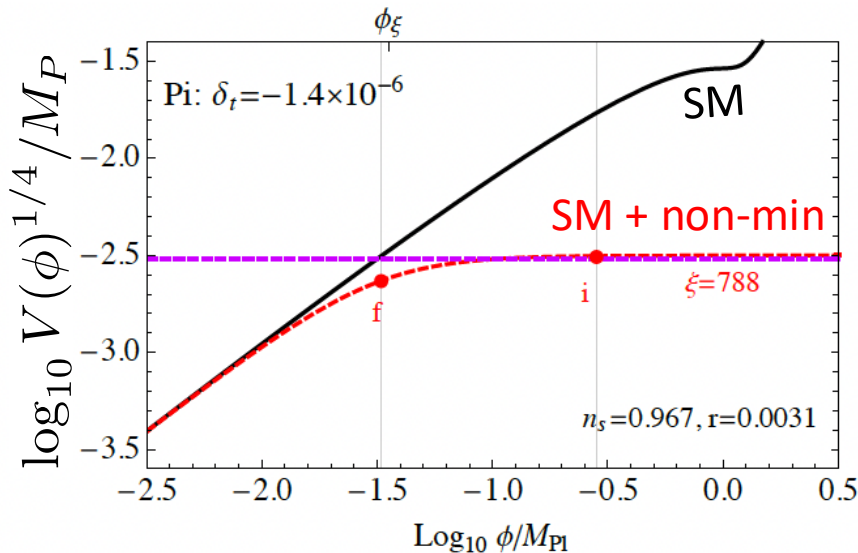
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 hence pred for  $\xi$

from INFLECTION POINT and SHALLOW MINIMUM to CRITICAL CONFIG + non-min



[Masina Quiros 2403.02461]

They all work with  $\xi$  about 800



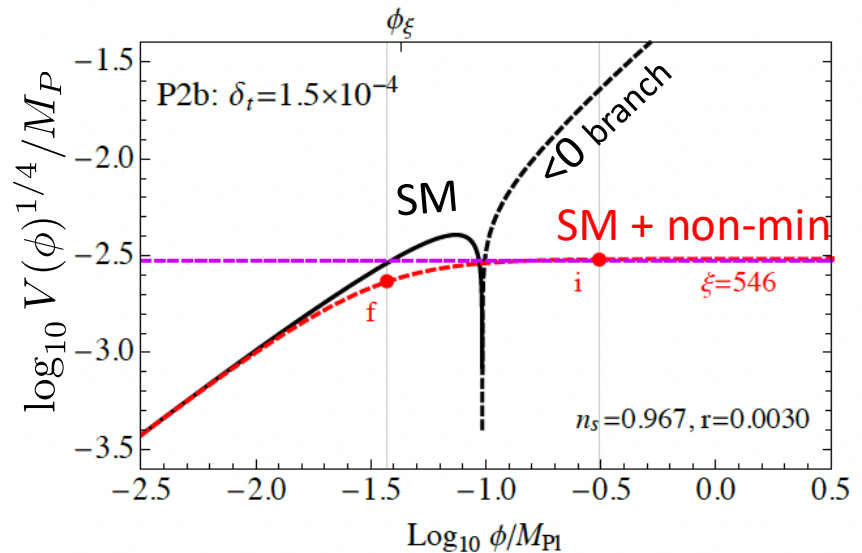
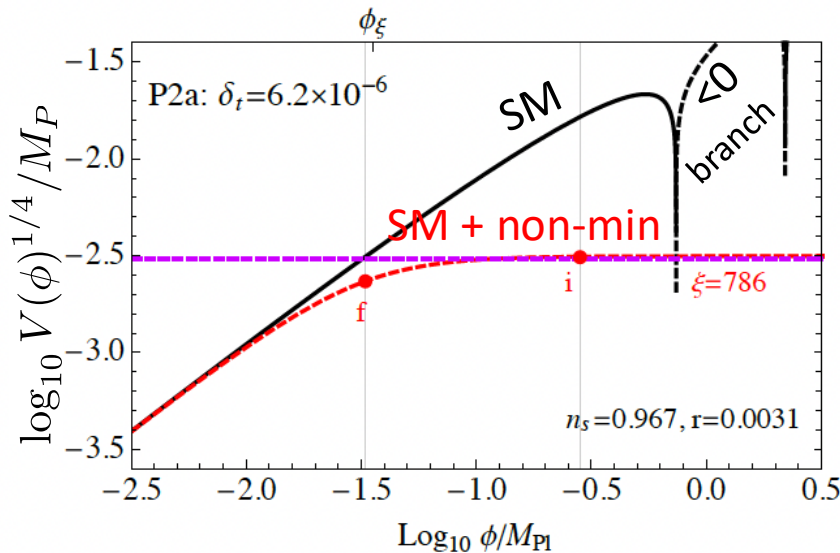
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METASTABLE CONFIGURATIONS + non-min



[Masina Quiros 2403.02461]

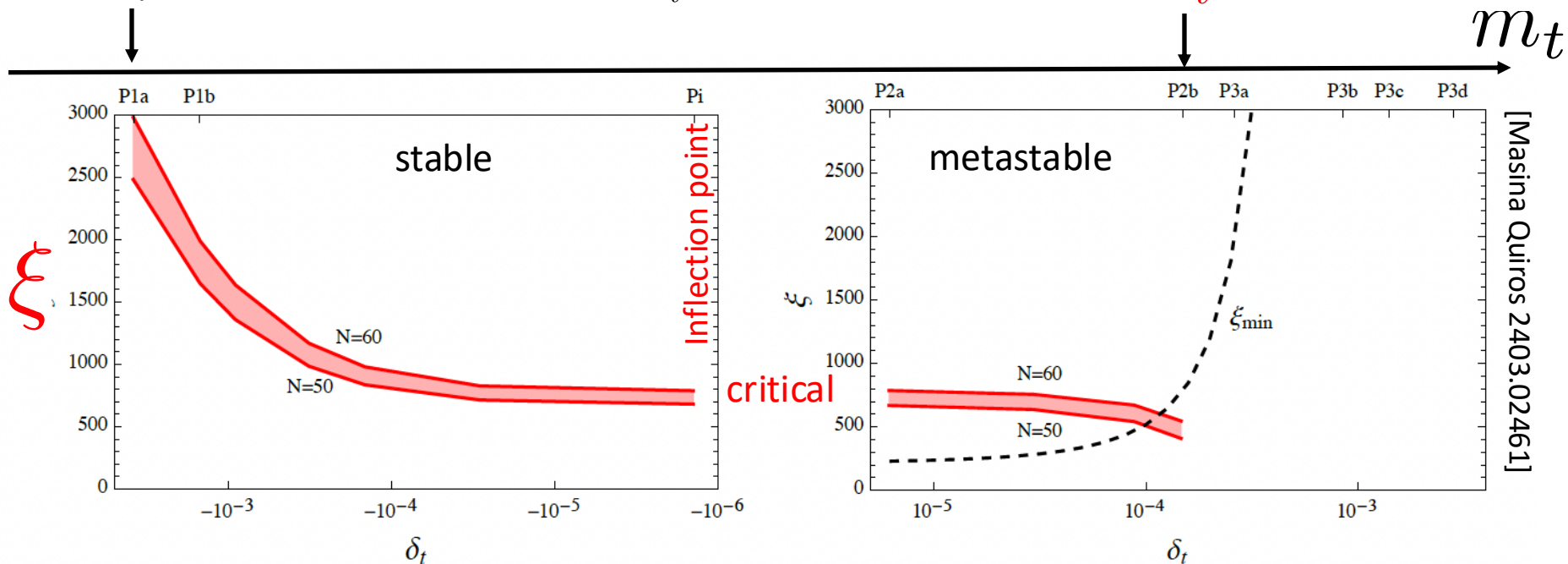
$\xi$  down to 550 ... but stops working when the barrier gets below than pink line

# RESULTS for central $m_H$ and $\alpha_3$

$$m_t^c - 0.65 \text{ GeV}$$

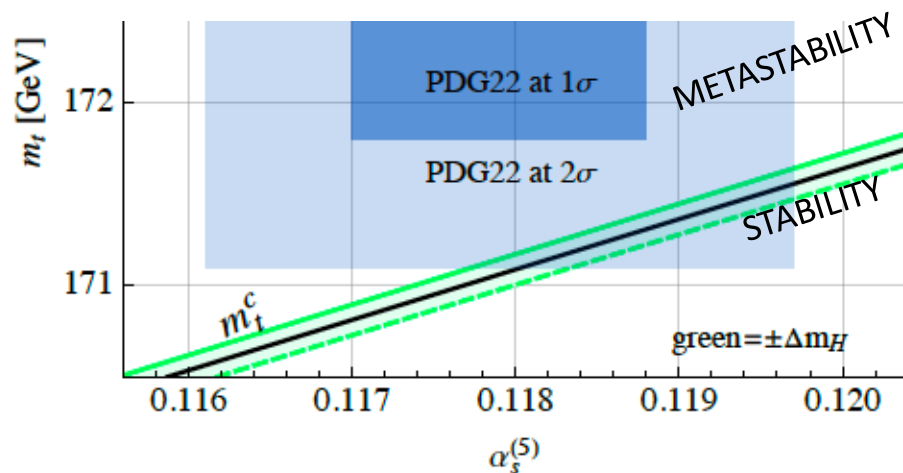
$$m_t^c \approx 171.0549 \text{ GeV}$$

$$m_t^c + 0.03 \text{ GeV}$$



[Masina Quiros 2403.02461]

→ Higgs inflation allowed also in a very small (nearly invisible) portion of the metastable region



# NO ROSES WITHOUT A THORN...

## 1) The issue of **UNITARITY**

Calculation reliable during inflation as now there is agreement that the cutoff  $\Lambda$  at  $\phi > M_P/\sqrt{\xi}$  satisfies

$$M_P/\sqrt{\xi} \sim \Lambda > H_f \sim M_P/\xi$$

[Mikura Tada 2110.03925,  
Ito Khater Rasanen 2111.05621  
Kharnanas et al 2203.09534]

→ calculation reliable even for reheating for  $\xi < 10^3$  [Sfakianakis et al 1810.01304]

## 2) Which **FORMALISM**?

Ongoing debate on how to couple matter to gravity:

Palatini (or else) formalism? [see e.g. Gialamas et al 2303.14148]

Palatini: same prediction for  $n_s=1-2/N$ , but a different one for  $r$

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# CONCLUSIONS: TAKE-HOME MESSAGES

- 1) Intriguing *coincidence* for the values of  $m_t$ ,  $m_H$  and  $\alpha_3$  suggests Higgs potential might be close to *criticality*
- 2) Need BSM for inflation: conservative possibility is a non-minimal coupling with gravity, so called *Higgs-inflation*
- 3) Higgs-inflation works even (better) for *slightly metastable* configurations:
  - up to  $m_t = m_t^c + 0.03 \text{ GeV}$
  - with smaller value of  $\xi$ , down to 500  
(welcome to avoid unitary issues even at reheating)

PROSPECTS: TOP physicists, keep working hard!