

Heavy Flavour Physics

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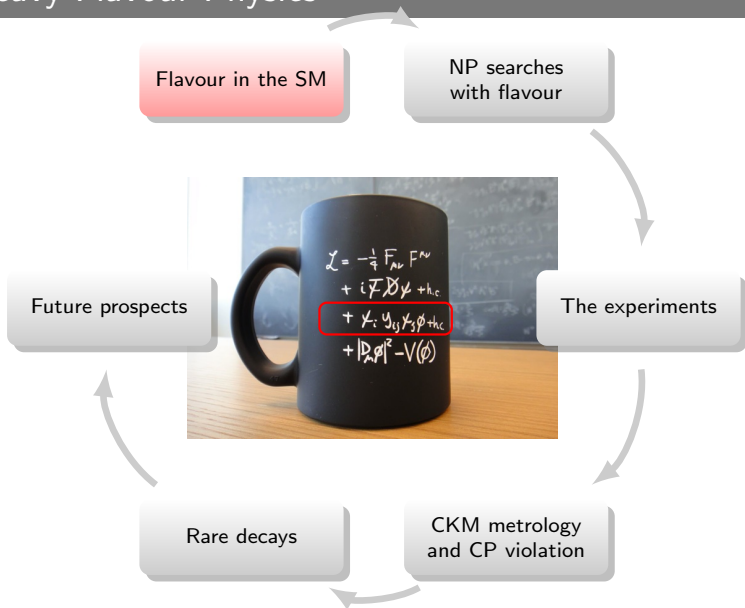
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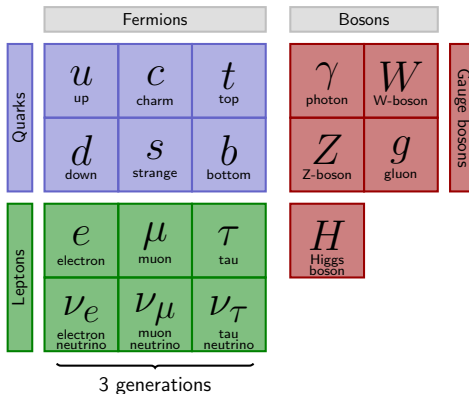


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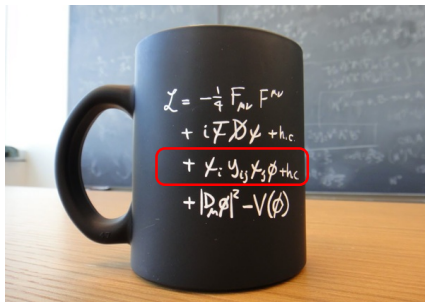


What is flavour?



- Fundamental matter comes in 3 generations in the SM
(Open question: Why 3?)
- Flavour is the feature that distinguishes the generations
- Flavour physics studies phenomenology of flavour transitions to
 - 1 Determine SM parameters precisely
 - 2 Search for physics beyond the SM in precision measurements

The SM Lagrangian: Where is the Flavour?



- Flavour structure of the SM determined by the **Yukawa terms**: coupling of fermions to Higgs
- After EWSB (put in Higgs expectation ν) for the quark fields:

$$\mathcal{L}_{\text{Yukawa}}^{\text{quarks}} = -\frac{\nu}{\sqrt{2}} \bar{d}_{Li} Y_{d,ij} d_{Rj} - \frac{\nu}{\sqrt{2}} \bar{u}_{Li} Y_{u,ij} u_{Rj} + h.c.$$

- $Y_{d,ij}$, $Y_{u,ij}$ complex 3×3 matrices in generation space, not diagonal!

Mass and weak eigenstates

- Mass eigenstates u^m, d^m obtained by diagonalisation of $Y_{u,d}$ via unitary transformations $V_{(u,d)(L,R)}$ with $VV^\dagger = 1$:

$$d_L = V_{dL} d_L^m \quad d_R = V_{dR} d_R^m \quad u_L = V_{uL} u_L^m \quad u_R = V_{uR} u_R^m$$

- Yukawa terms in mass basis then diagonal with $M_d = \text{diag}(m_d, m_s, m_b)$ and $M_u = \text{diag}(m_u, m_c, m_t)$

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- Yukawa terms contain 6 mass parameters from quark sector, 3 (+3 for $m_\nu \neq 0$) from lepton sector
- Spanning several orders of magnitude. Open question: Why?



Mass and weak eigenstates

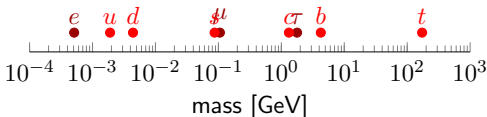
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Charged current and CP violation

- Up- and down-type quarks cannot be diagonalised with same matrix ($V_{dA} \neq V_{uA}$) \rightarrow net effect on flavour structure of charged current

$$\begin{aligned}
 \mathcal{L}_{\text{CC}}^{\text{quarks}} &= -\frac{g}{\sqrt{2}} \left(\bar{u}_{Li} \gamma^\mu W_\mu^+ d_{Li} + \bar{d}_{Li} \gamma^\mu W_\mu^- u_{Li} \right) \\
 &= -\frac{g}{\sqrt{2}} \left(\bar{u}_{Li}^m V_{uL}^\dagger V_{dL} \gamma^\mu W_\mu^+ d_{Lj}^m + \bar{d}_{Li}^m V_{dL}^\dagger V_{uL} \gamma^\mu W_\mu^- u_{Lj}^m \right) \\
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 \end{aligned}$$

with Cabbibo-Kobayashi-Maskawa matrix $V_{\text{CKM}} = V_{uL}^\dagger V_{dL}$

- Applying the CP operation (Charge and parity conjugation) results in

$$\begin{aligned}
 \mathcal{L}_{\text{CC}}^{\text{quarks,CP}} &= -\frac{g}{\sqrt{2}} \left(\bar{d}_{Lj}^m V_{\text{CKM},ij} \gamma^\mu W_\mu^- u_{Li}^m + \bar{u}_{Li}^m V_{\text{CKM},ij}^* \gamma^\mu W_\mu^+ d_{Lj}^m \right) \\
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- Invariant only if $V_{\text{CKM}} = V_{\text{CKM}}^*$, i.e. all CKM elements are real
- CP violation possible if CKM elements complex

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The CKM matrix

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad \begin{aligned} V_{ub} &= |V_{ub}|e^{-i\gamma} \\ V_{td} &= |V_{td}|e^{-i\beta} \\ V_{ts} &= |V_{ts}|e^{-i\beta_s} \end{aligned}$$

- V_{CKM} product of unitary matrices \rightarrow unitary itself: $V_{\text{CKM}}V_{\text{CKM}}^\dagger = 1$
- Complex $n \times n$ matrix: n^2 real parameters, n^2 complex phases
- Unitarity cond.: $n(n-1)/2$ real param., $n(n+1)/2$ complex phases
- After removal of 5 unobservable quark phases \rightarrow 4 free parameters:
3 Euler angles $\theta_{12}, \theta_{13}, \theta_{23}$, 1 phase δ

$$V_{\text{CKM}} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

where $s_{ij} = \sin \theta_{ij}$ and $c_{ij} = \cos \theta_{ij}$

CKM hierarchy and Wolfenstein parameterisation

- Wolfenstein parameterisation uses the parameters λ , A , ρ and η , with η responsible for imaginary entries in V_{CKM}

$$s_{12} = \lambda \quad s_{23} = A\lambda^2 \quad s_{13}e^{+i\delta} = A\lambda^3(\rho + i\eta)$$

- parameter $\lambda \approx 0.22$ plays the role of an expansion parameter
- Up to $\mathcal{O}(\lambda^4)$ the CKM matrix in the Wolfenstein param. given by


$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4(1 + 4A^2) & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 + \frac{1}{2}A\lambda^4(1 - 2(\rho + i\eta)) & 1 - \frac{1}{2}A^2\lambda^4 \end{pmatrix}$$

- Diagonal elements close to 1, off-diagonal transitions suppressed $|V_{us}|, |V_{cd}| \sim \lambda$, $|V_{cb}|, |V_{ts}| \sim \lambda^2$ and $|V_{ub}|, |V_{td}| \sim \lambda^3$.
- Imaginary part relative to CKM element largest for V_{ub}

Flavour sector in the SM

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad V_{\text{PMNS}} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

- Majority of SM parameters in the flavour sector, in total 13 (20 for $m_\nu \neq 0$) of 19 (26):
 - 6 quark masses
 - 3 quark mixing angles, 1 mixing phase: CKM matrix
 - 3(+3) lepton masses
 - (+3 lepton mixing angles, +1 mixing phase: PMNS matrix¹)
- Many open question:

Why these values? Hierarchical structure? Relations between mixing parameters and masses? Relations between CKM and PMNS matrix?
- If you can answer any of these: 

¹Pontecorvo, Maki, Nakagawa, Sakata

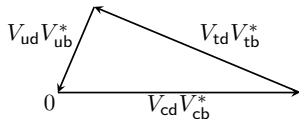
The unitarity triangle(s)

- Unitarity condition $V_{CKM}V_{CKM}^\dagger = 1$ results in 3 equations for the off-diagonal elements²:

$$V_{ud}V_{ub}^* + V_{cd}V_{cb}^* + V_{td}V_{tb}^* = 0$$

$$V_{us}V_{ub}^* + V_{cs}V_{cb}^* + V_{ts}V_{tb}^* = 0$$

$$V_{ud}V_{us}^* + V_{cd}V_{cs}^* + V_{td}V_{ts}^* = 0$$



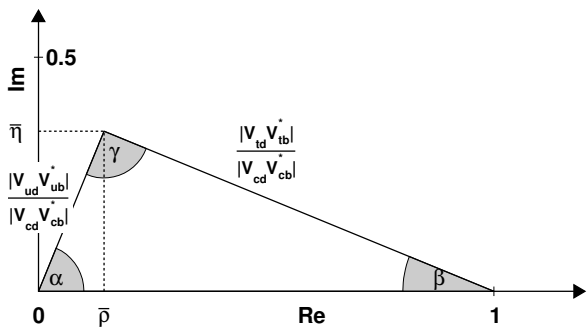
- sum of 3 numbers can be visualized as triangle in the complex plane
- one side of each triangle normalised to coincide with the real axis

$$\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*} + \frac{V_{cd}V_{cb}^*}{V_{cd}V_{cb}^*} + \frac{V_{td}V_{tb}^*}{V_{cd}V_{cb}^*} = 0 \leftarrow \text{The } B^0 \text{ unitarity triangle}$$

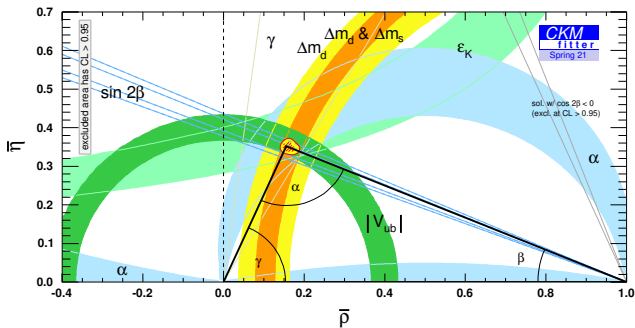
$$\frac{V_{us}V_{ub}^*}{V_{cs}V_{cb}^*} + \frac{V_{cs}V_{cb}^*}{V_{cs}V_{cb}^*} + \frac{V_{ts}V_{tb}^*}{V_{cs}V_{cb}^*} = 0$$

$$\frac{V_{ud}V_{us}^*}{V_{cd}V_{cs}^*} + \frac{V_{cd}V_{cs}^*}{V_{cd}V_{cs}^*} + \frac{V_{td}V_{ts}^*}{V_{cd}V_{cs}^*} = 0$$

²Other 3 off-diagonal elements result in 3 equations which are complex conjugates.

Overconstrain the B^0 unitarity triangle

- Vertices at $(0, 0)$, $(1, 0)$, $(\bar{\rho}, \bar{\eta})$ with $\bar{\rho} + i\bar{\eta} = -(V_{ud}V_{ub}^*)/(V_{cd}V_{cb}^*)$
 - Angles: $\alpha = \arg\left(-\frac{V_{td}V_{tb}^*}{V_{ud}V_{ub}^*}\right)$, $\beta = \arg\left(-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*}\right)$, $\gamma = \arg\left(-\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}\right)$
 - Sides from CP conserving obs.
 - Angles from CPV observables
- top left: $B \rightarrow \pi \ell^- \bar{\nu}_\ell$
 top right: B^0 and B_s^0 mixing
 norm: $B \rightarrow D \ell^- \bar{\nu}_\ell$
- α : $B \rightarrow \pi\pi, \rho\pi, \rho\rho$
 β : $B^0 \rightarrow J/\psi K_s^0$
 γ : $B \rightarrow DK$

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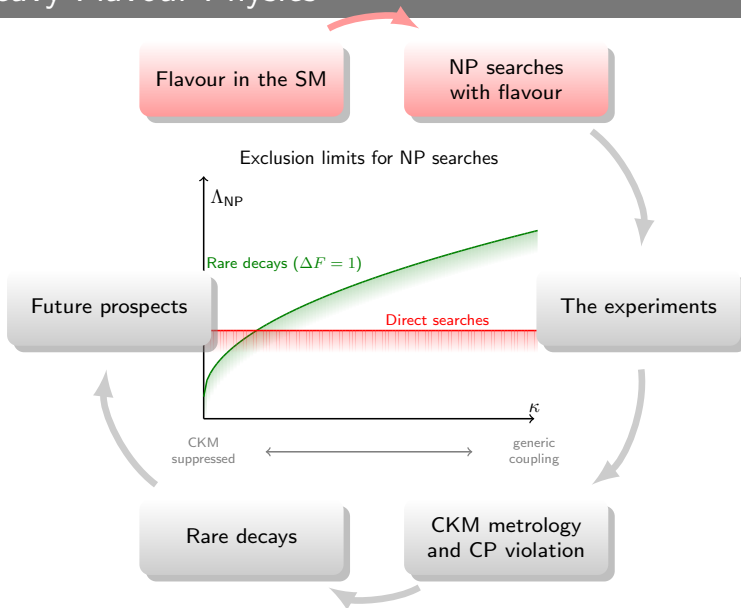
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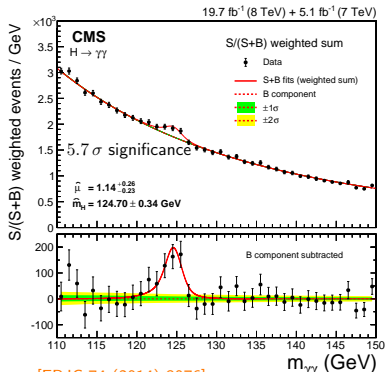
Heavy Flavour Physics



Direct searches for NP

clear signature in direct search for $H \rightarrow \gamma\gamma$ (SM)

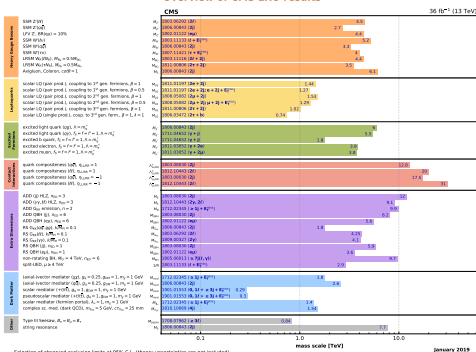
Selection of exclusion limits from direct searches for NP



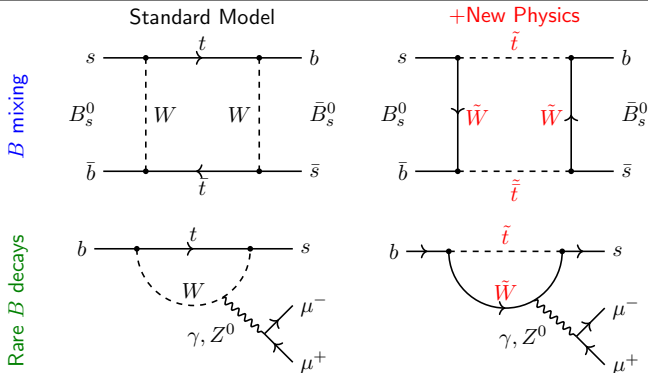
[EPJC 74 (2014) 3076]

- Direct on-shell production of new heavy particles
- Observation of new particles via their decay products
- Limited by beam energy (LHC Run 2 $\sqrt{s} = 13$ TeV)
- Direct searches so far did not result in signs for NP
- Maybe NP is too heavy for current direct searches?

Overview of CMS EXO results



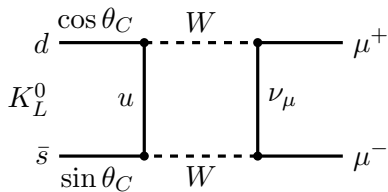
NP searches with precision flavour observables



- Precisely measure processes known in the SM
- Detect virtual contributions of heavy NP particles
- Circumvents possible limitation by beam energy
- Particularly sensitive: Processes that are heavily suppressed in the SM, e.g. B mixing and rare decays (*Flavour changing neutral currents*)
- Complementary to direct searches, historically often precedes direct obs.



Historical example: Prediction of the c quark

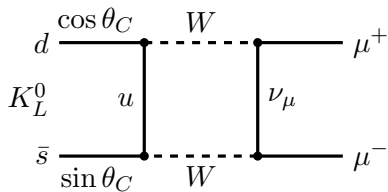


$$\mathcal{A} \propto + \cos \theta_C \sin \theta_C \frac{m_u^2}{m_W^2}$$

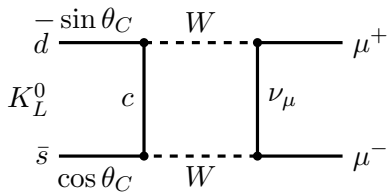
- Decay $K_L^0 \rightarrow \mu^+ \mu^-$ should have significant branching fraction in three quark model (left diagram)
- But experimentally³: $\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-) = (6.84 \pm 0.11) \times 10^{-9}$
- Prediction: Existence of c -quark, results in additional diagram (right)!
- Additional diagram leads to partial cancellation through GIM (Glashow, Iliopoulos, Maiani) mechanism [PRD 7 (1970) 2]
- c -quark directly observed (through J/ψ) by Richter and Ting in 1974

³Limit at the time $\mathcal{B}(K_L^0 \rightarrow \mu^+ \mu^-) < 1.5 \times 10^{-6}$ [RMP 42 (1970) 87]

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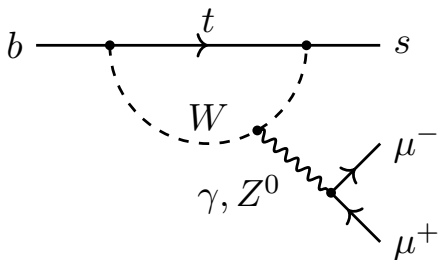
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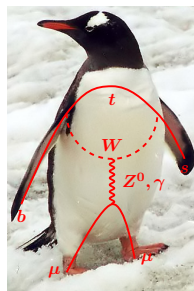
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Rare B decays as sensitive probes for New Physics

Rare decays in the SM



Penguin decays

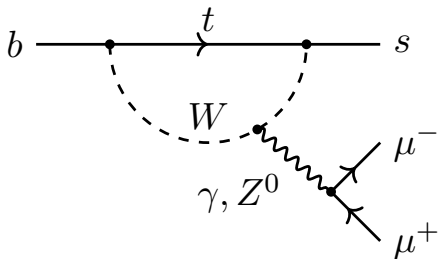


[Ellis et al, Nucl.Phys. B131 (1977) 285]

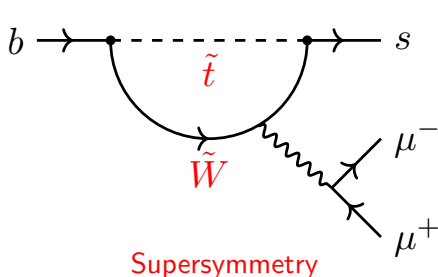
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- In the SM: Only allowed via quantum fluctuations (loop suppressed)
- New heavy particles can significantly contribute to rare processes
- Search for deviations of observables from their SM predictions

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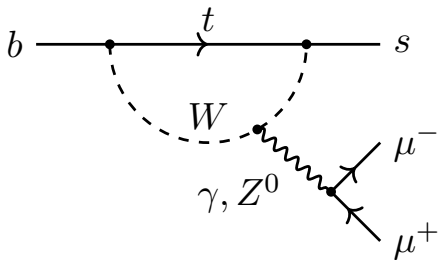
Possible contributions from NP



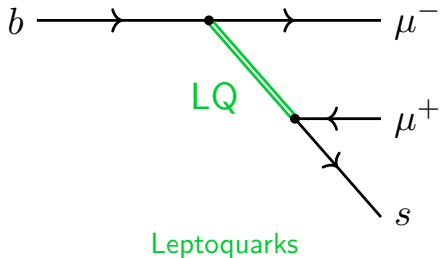
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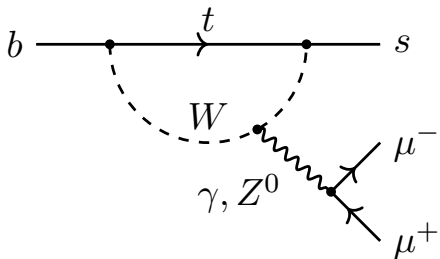
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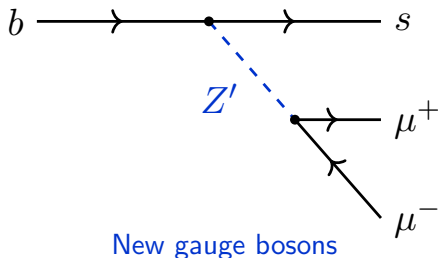
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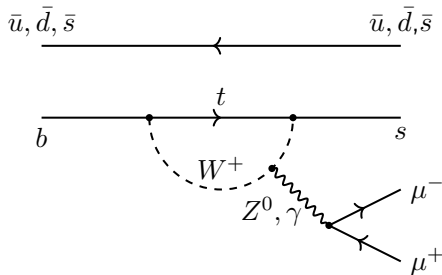


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Observables in rare semileptonic B decays



Quarks are bound in hadrons, mesons ($q\bar{q}$) and baryons (qqq)

- Many decay modes allows to check consistency
- Different spin configurations allow complementary probes
- Need to account for QCD, affects observables differently

1 Branching fraction measurements

Directly affected by hadronic uncertainties

2 Angular distributions, CP asymmetries, Isospin asymmetries

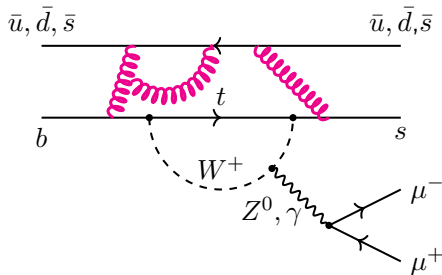
Observables with reduced hadronic uncertainties (relative measurements)

3 Tests of Lepton Universality

full cancellation of hadronic uncertainties

Increasing theoretical precision

Observables in rare semileptonic B decays



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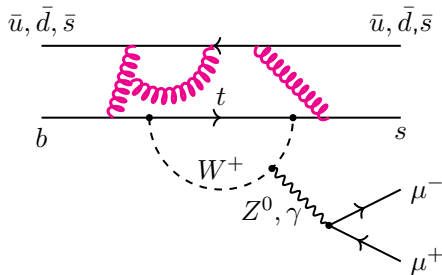
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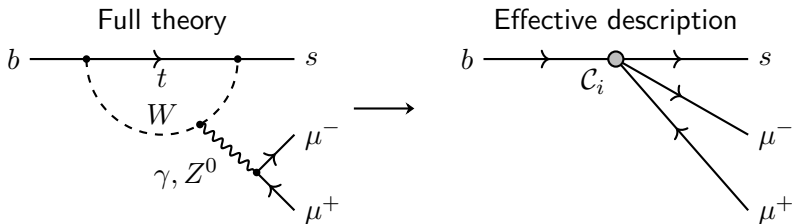
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Increasing theoretical precision



Rare B decays in effective field theory



- Model-independent description in effective field theory

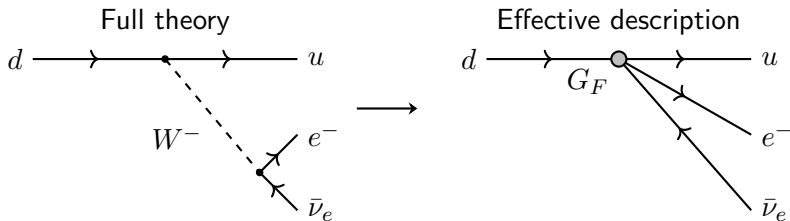
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i C_i O_i$$

Effective coupling
"Wilson coefficient"
short distance physics

Local operator with
specific Lorentz structure
long distance physics

- Analogous to β -decay: Integrate out heavy degrees of freedom
→ point-interaction with Fermi-coupling G_F

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NP contributions and reach with indirect searches

- NP can contribute to different operators \mathcal{O}_i depending on its type

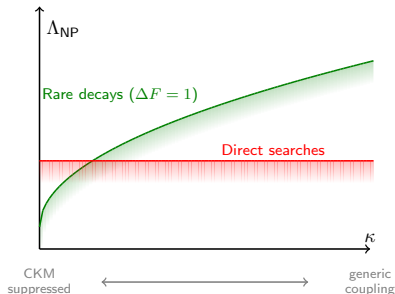
$$\mathcal{H}_{\text{eff}} = - \underbrace{\frac{4G_F}{\sqrt{2}} V_{\text{tb}} V_{\text{ts}}^*}_{\sim 1/(35 \text{ TeV})^2} \frac{e^2}{16\pi^2} \sum_i \mathcal{C}_i \mathcal{O}_i \quad \Delta\mathcal{H}_{\text{NP}} = \frac{\kappa}{\Lambda_{\text{NP}}^2} \mathcal{O}_i$$

κ ← Flavour-viol. coupling
 Λ_{NP}^2 ← NP scale

$$\Rightarrow \Lambda_{\text{NP}} \sim 35 \text{ TeV} \sqrt{\kappa / \Delta\mathcal{C}_i}$$

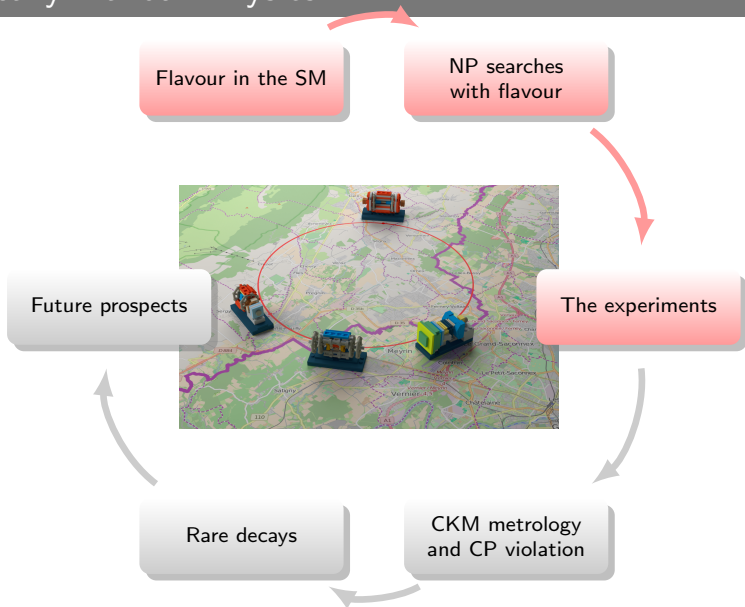
- NP reach not limited by \sqrt{s} , complementarity with direct searches

Exclusion limits for NP searches

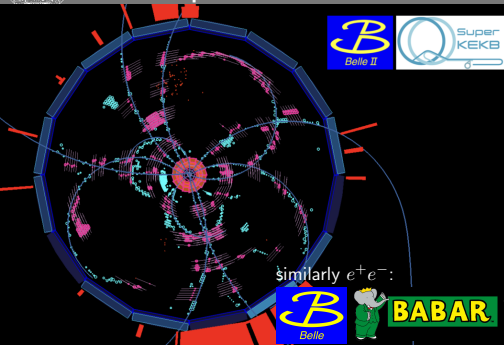


NP Scenario	Coupling κ
Tree-level generic	1
Tree-level CKM suppressed	$V_{\text{tb}} V_{\text{ts}}$
Loop-level generic	$\frac{1}{16\pi^2}$
Loop-level CKM suppressed	$\frac{V_{\text{tb}} V_{\text{ts}}}{16\pi^2}$

Heavy Flavour Physics



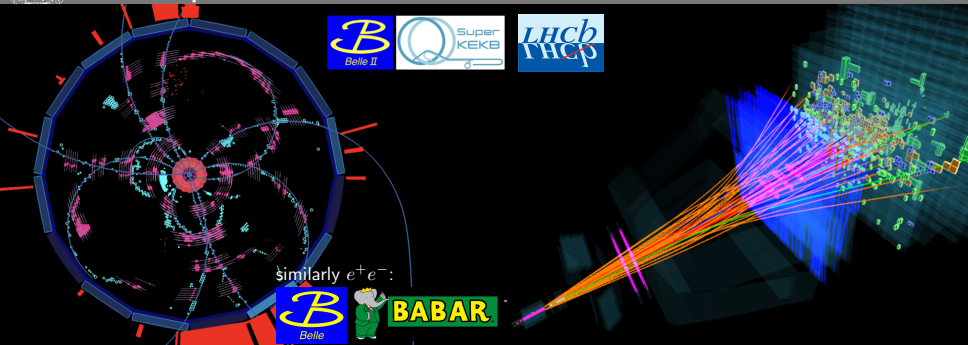
The experiments: e^+e^- machines and hadron colliders



- Low multiplicity e^+e^- environment
 $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$
- Well def. initial state with known energy
- Full event reconstruction possible
- Inclusive reconstruction possible
- e/μ experimentally similar
- Leading with challenging signatures involving τ, ν, π^0

- High multiplicity hadronic environment
 $pp \rightarrow X + b\bar{b}$
- Large $b\bar{b}$ ($c\bar{c}$) prod. cross-section
- All b -hadrons: $B^0, B^+, B_s^0, B_c^+, \Lambda_b^0, \dots$
- Initial state kinematics not known
- Trigger and reconstruction challenging (particularly for ATLAS/CMS)
- Leading for charged track final states, in particular involving μ

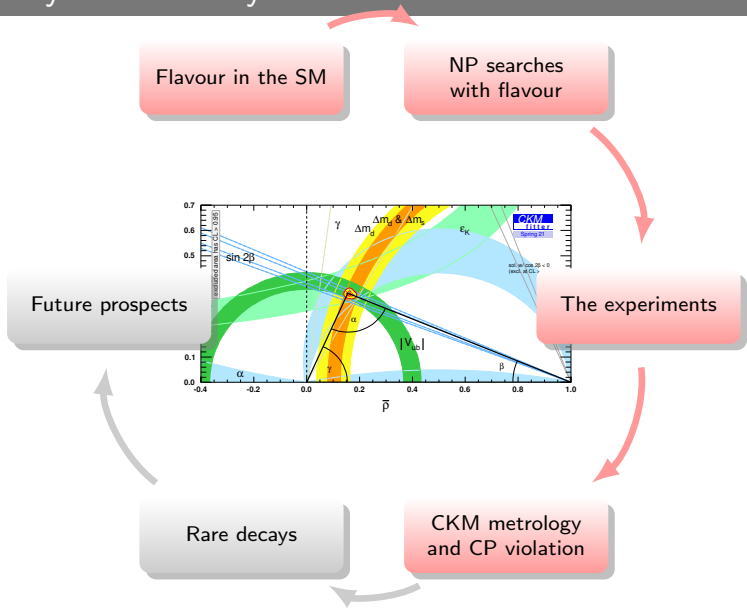
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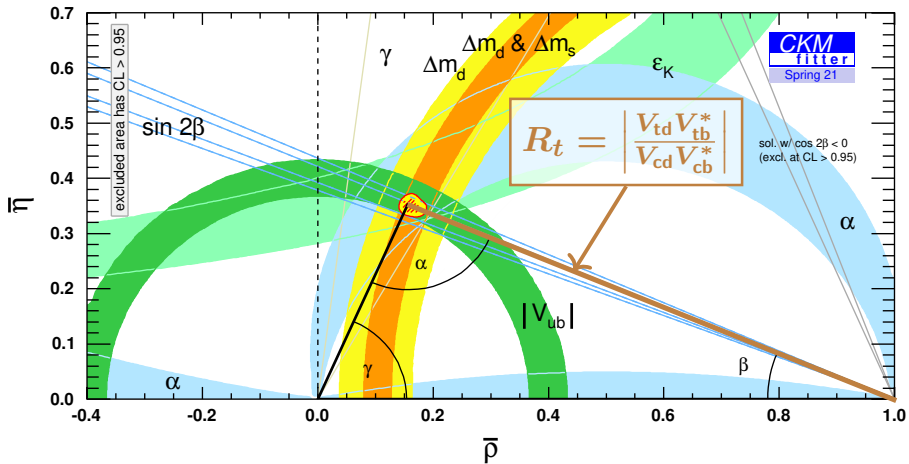


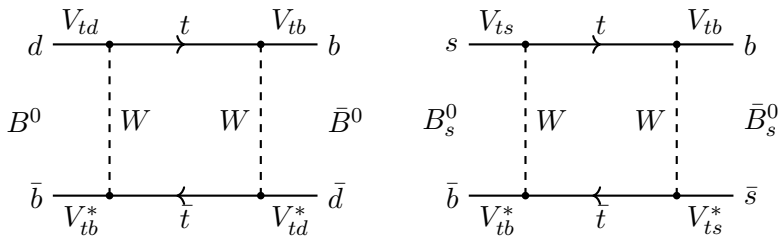
- | | |
|--|--|
| <ul style="list-style-type: none"> ■ Low multiplicity e^+e^- environment
$e^+e^- \rightarrow \Upsilon(4S) \rightarrow B\bar{B}$ ■ Well def. initial state with known energy ■ Full event reconstruction possible ■ Inclusive reconstruction possible ■ e/μ experimentally similar ■ Leading with challenging signatures involving τ, ν, π^0 | <ul style="list-style-type: none"> ■ High multiplicity hadronic environment
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|--|--|



Heavy Flavour Physics

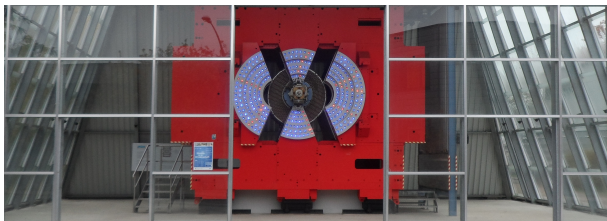






- Neutral B mesons oscillate $B^0 \leftrightarrow \bar{B}^0$ via loop-level diagrams
- Sensitive to size of CKM matrix elements $|V_{td}|$ and $|V_{ts}|$
 → determines top right side of CKM triangle
- SM diagrams dominated by top-quark contributions
 → gives information on top mass

History: Discovery of B^0 mixing by ARGUS at DESY

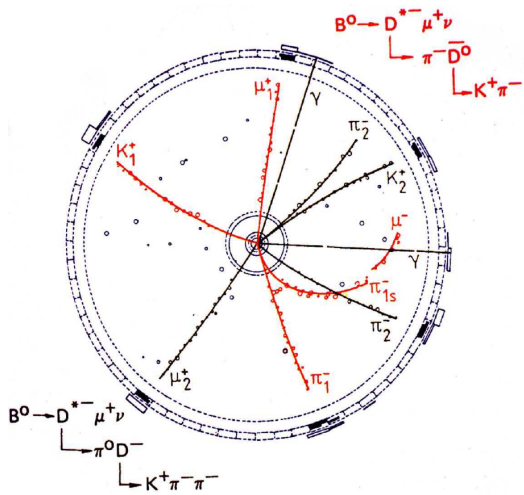


- Production of $B^0\bar{B}^0$ pairs via $e^+e^- \rightarrow \Upsilon(4S) \rightarrow B^0\bar{B}^0$
- Dominant semileptonic decays

unmixed	mixed
$B^0 \rightarrow D^{(*)-} \ell^+ \nu_\ell$	$B^0 \rightarrow \bar{B}^0 \rightarrow D^{(*)+} \ell^- \bar{\nu}_\ell$
$\bar{B}^0 \rightarrow D^{(*)+} \ell^- \bar{\nu}_\ell$	$\bar{B}^0 \rightarrow B^0 \rightarrow D^{(*)-} \ell^+ \nu_\ell$
- Same-sign high momentum lepton events sign of $B^0 \leftrightarrow \bar{B}^0$ mixing
- ARGUS finds [PLB 192 (1987) 245]
 - 24.8 like-sign lepton pairs (4σ)
 - 4.1 reconstructed B^0 (\bar{B}^0) and additional fast ℓ^+ (ℓ^-) (3σ)
 - One fully reconstructed “golden” event



Golden fully reconstructed event



- Observation of B^0 mixing
- Also set lower limit for top mass $m_t > 50 \text{ GeV}$

Precision measurement of B^0 mixing by LHCb

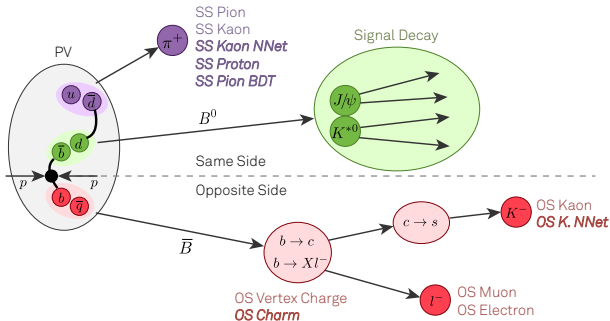
- Precision mixing measurement using $B^0 \rightarrow D^{(*)-} \mu^+ \nu_\mu$ events [\[see backup\]](#)

$$N^{\text{unmix}} = N(B^0 \rightarrow D^{(*)-} \mu^+ \nu_\mu X)(t) \propto e^{-\Gamma dt} [1 + \cos(\Delta m dt)]$$

$$N^{\text{mix}} = N(B^0 \rightarrow \bar{B}^0 \rightarrow D^{(*)+} \mu^- \bar{\nu}_\mu X)(t) \propto e^{-\Gamma dt} [1 - \cos(\Delta m dt)]$$

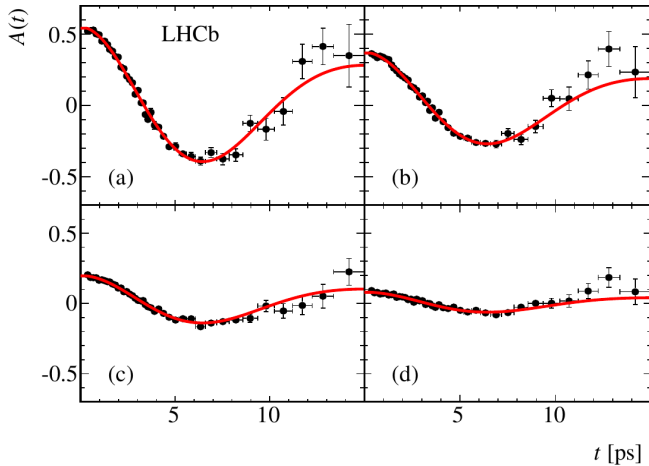
$$\mathcal{A}(t) = \frac{N^{\text{unmix}} - N^{\text{mix}}}{N^{\text{unmix}} + N^{\text{mix}}} = \cos(\Delta m dt)$$

- Production flavour determined using flavour tagging algorithms, exploiting $B^0(\bar{B}^0)$ hadronisation process





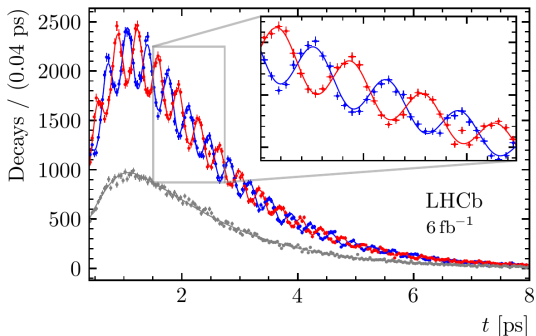
Precision measurement of B^0 mixing by LHCb II



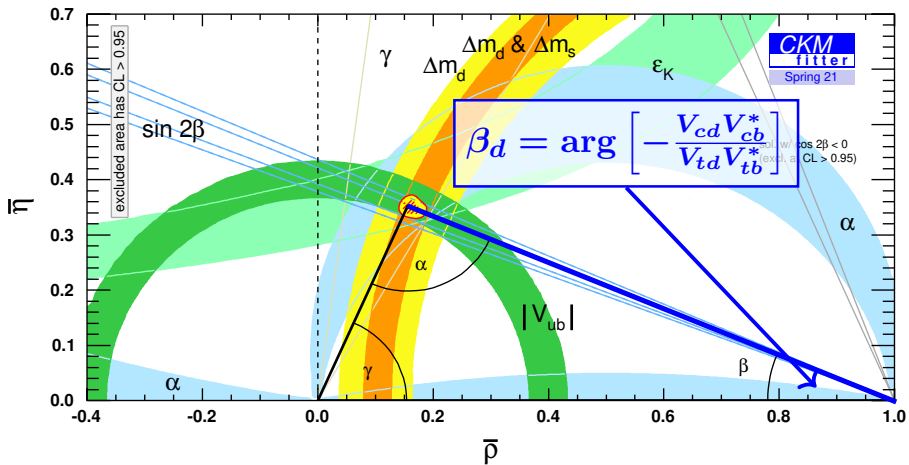
- Time-dep. asymmetry $\mathcal{A}(t)$, in different tagging categories (a)-(e)
- Most precise measurement of B^0 mixing frequency [EPJC 76 (2016) 412]
 $\Delta m_d = (505.0 \pm 2.1_{\text{stat.}} \pm 1.0_{\text{syst.}}) \text{ ns}^{-1}$

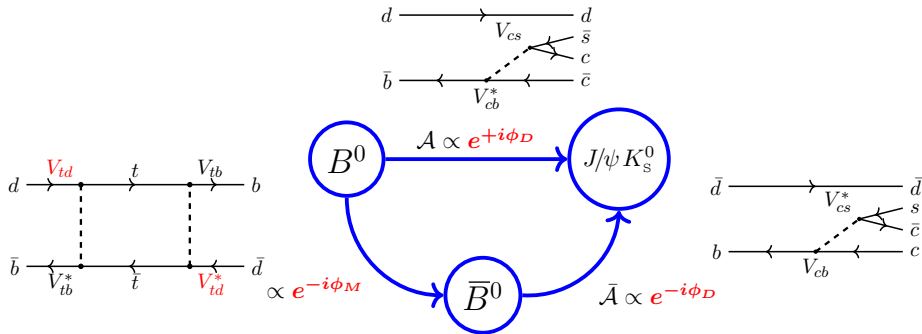
Precision measurement of B_s^0 mixing by LHCb

— $B_s^0 \rightarrow D_s^- \pi^+$ — $\bar{B}_s^0 \rightarrow B_s^0 \rightarrow D_s^- \pi^+$ — Untagged



- B_s^0 mixing much faster than B^0 mixing as $|V_{ts}| \sim \lambda^2 \gg |V_{td}| \sim \lambda^3$
- B_s^0 mixing first observed CDF [PRL 97 (2006) 242003]
- Most precise Δm_s measurement by LHCb [Nature Phys. 18 (2022) 1]
 $\Delta m_s = (17.7683 \pm 0.0051_{\text{stat.}} \pm 0.0032_{\text{syst.}}) \text{ ps}^{-1}$
- SM predictions are much less precise than experiment, theory limited

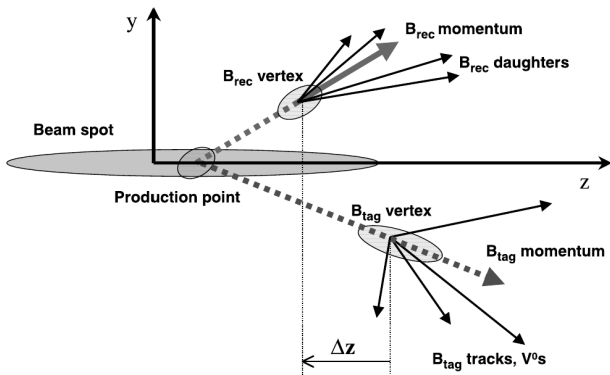
CP-violating B^0 mixing phase: β_d 

 β_d determination with $B^0 \rightarrow J/\psi K_S^0$ 

- Observables are squares of QM amplitudes $\mathcal{A}\bar{\mathcal{A}} = |\mathcal{A}|^2 e^{i\phi} e^{-i\phi} = |\mathcal{A}|^2$
 \rightarrow Phases can only be measured through interference effects
- Here phase difference $\phi_M - 2\phi_D = 2\beta_d$ with $\beta_d = \arg \left[-\frac{V_{cd}V_{cb}^*}{V_{td}V_{tb}^*} \right]$
- Time-dep. CPV in interference between mixing and decay [see backup]

$$\mathcal{A}_{\text{CP}}(t) = \frac{\Gamma(\bar{B}^0 \rightarrow J/\psi K_S^0, t) - \Gamma(B^0 \rightarrow J/\psi K_S^0, t)}{\Gamma(\bar{B}^0 \rightarrow J/\psi K_S^0, t) + \Gamma(B^0 \rightarrow J/\psi K_S^0, t)} = \sin(\Delta m_d t) \sin(2\beta_d)$$

Measurement of β_d at B factories



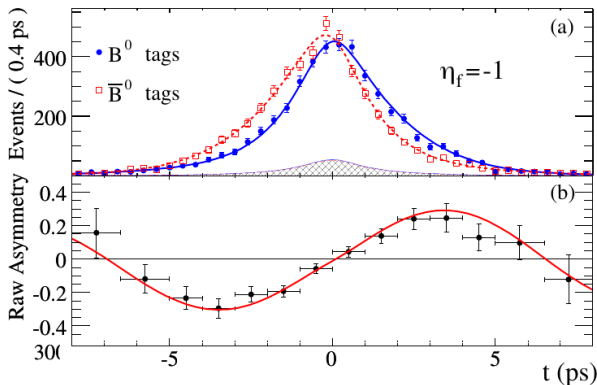
- At B factories: $B^0\bar{B}^0$ system correlated until decay

- Perform analysis dependent on $\Delta t = t_{sig} - t_{tag}$:

$$\mathcal{A}_{CP}(\Delta t) = \frac{\Gamma(\bar{B}^0 \rightarrow J/\psi K_S^0, \Delta t) - \Gamma(B^0 \rightarrow J/\psi K_S^0, \Delta t)}{\Gamma(\bar{B}^0 \rightarrow J/\psi K_S^0, \Delta t) + \Gamma(B^0 \rightarrow J/\psi K_S^0, \Delta t)} = \sin(\Delta m_d \Delta t) \sin(2\beta_d)$$

- Observation of CPV in the B system by BaBar [PRL 87 (2001) 091801] and Belle [PRL 87 (2001) 091802]

Precise β_d measurements from the B -factories



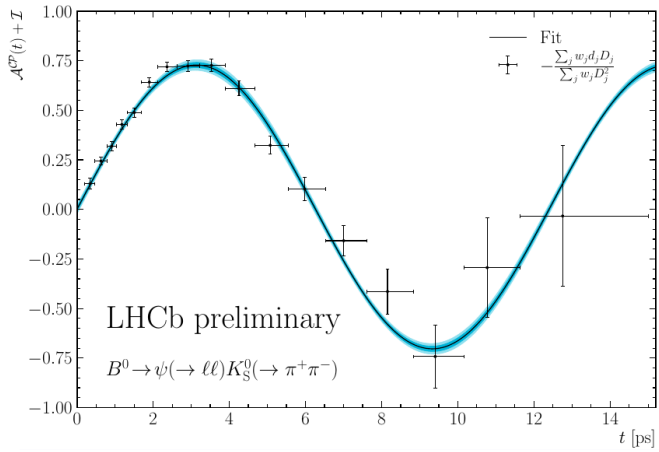
- Top: \bar{B}^0 and B^0 tag, Bottom: $\mathcal{A}_{\text{CP}}^{\text{raw}}(\Delta t)$ [PRD 79 (2009) 072009]
- Precise legacy measurements from the B -factories

$$\sin 2\beta_d = 0.687 \pm 0.028_{\text{stat.}} \pm 0.012_{\text{syst.}} \quad \text{BaBar [PRD 79 (2009) 072009]}$$

$$\sin 2\beta_d = 0.667 \pm 0.023_{\text{stat.}} \pm 0.012_{\text{syst.}} \quad \text{Belle [PRL 108 (2012) 171802]}$$



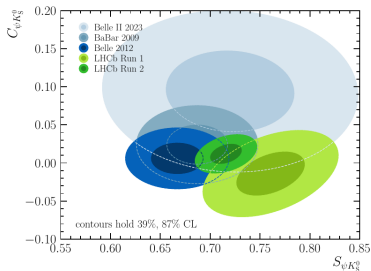
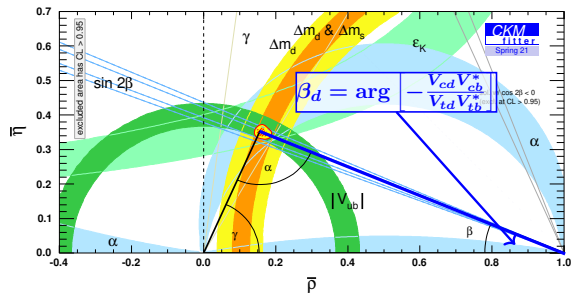
Most precise single β_d measurement by LHCb



- Most precise single measurement using LHCb Run 2 data

$$\sin 2\beta_d = 0.714 \pm 0.015(\text{stat}) \pm 0.007(\text{syst}) \quad (\text{LHCb-PAPER-2023-013, prelim.})$$

B^0 mixing phase: β_d world average



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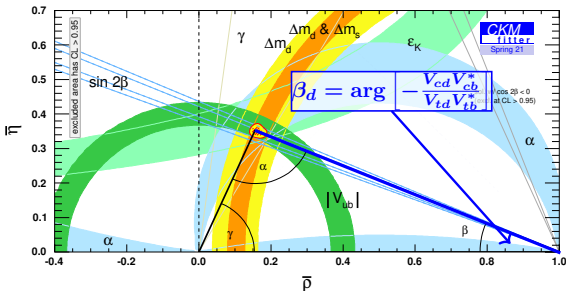
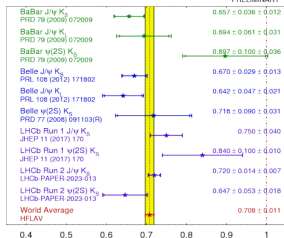
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- Run 1+2 combination from LHCb

$$\sin 2\beta_d = 0.723 \pm 0.014(\text{stat+syst}) \quad (\text{LHCb-PAPER-2023-013, prelim.})$$

- Prelim. world average $\sin 2\beta_d = 0.708 \pm 0.011$ (HFLAV)

B^0 mixing phase: β_d world average


 $\sin(2\beta) \equiv \sin(2\phi_1)$ **HFLAV** Summer 2023 PRELIMINARY


- Precise legacy measurements from the B -factories

$$\sin 2\beta_d = 0.687 \pm 0.028_{\text{stat.}} \pm 0.012_{\text{syst.}} \quad \text{BaBar [PRD 79 (2009) 072009]}$$

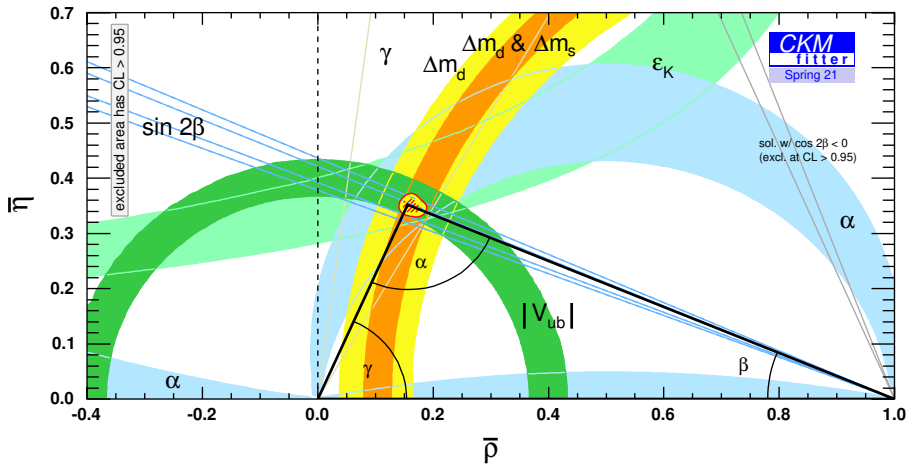
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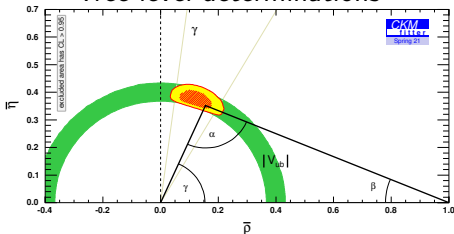
Global combination of CKM measurements



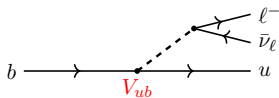
- Overall good compatibility of measurements

NP searches with CKM measurements: Tree vs. Loop

Tree-level determinations

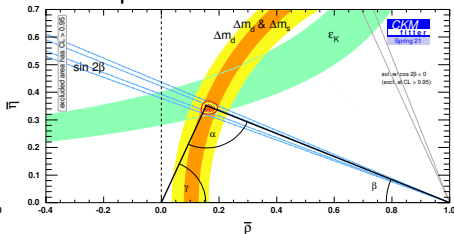


e.g. $|V_{ub}|/|V_{cb}|$, angle γ

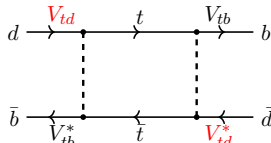


SM contribution dominant

Loop-level determinations



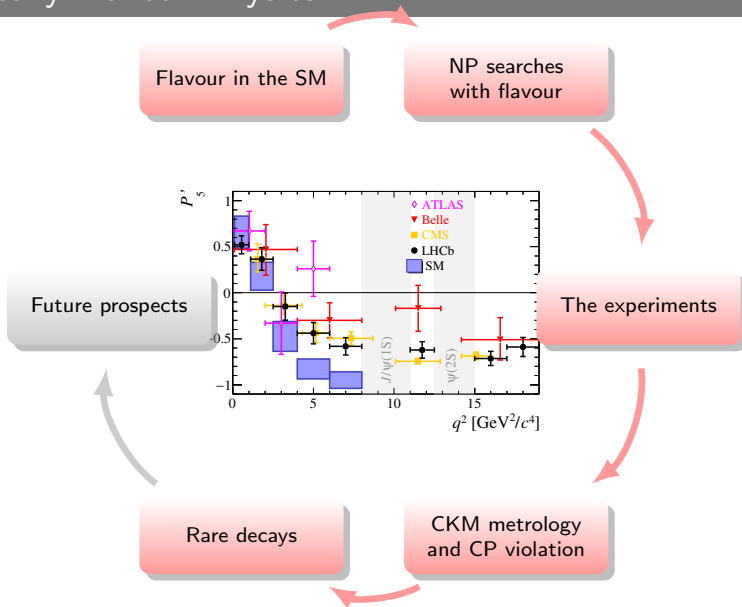
e.g. $\Delta m_{(s)}$, $\sin 2\beta_d$

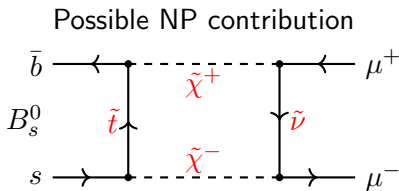
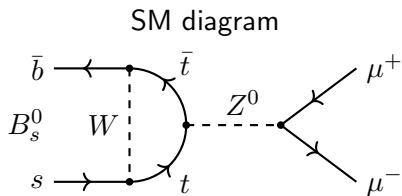


NP contributions could be sign.

- Consistency between tree- and loop-level measurements, but still room for NP
- Need to improve precision, particularly for tree-level determinations
Aim: $\sigma(\gamma) < 1\%$ in LHCb Upgrade II

Heavy Flavour Physics



The very rare decay $B_s^0 \rightarrow \mu^+ \mu^-$ 

- Loop-, helicity- and CKM suppressed
- Purely leptonic final state, theoretically and experimentally very clean
- Precise SM prediction [PRL 112 (2014) 101801] [JHEP 10 (2019) 232]

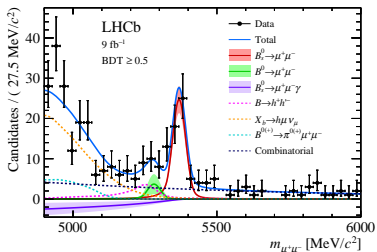
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.66 \pm 0.14) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.03 \pm 0.05) \times 10^{-10}$$

- Very sensitive to new scalar sector (e.g. extended Higgs sector, SUSY)

Measurements of $B_{(s)}^0 \rightarrow \mu^+ \mu^-$

[PRL 128 (2022) 041801] [PRD 105 (2022) 012010]



- Recent LHCb measurement [PRL 128 (2022) 041801] [PRD 105 (2022) 012010]

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46+0.15}_{-0.43-0.11}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.2^{+0.8}_{-0.7} \pm 0.1) \times 10^{-10} \quad (\mathcal{B} < 2.6 \times 10^{-10} \text{ @ 95\% CL})$$

- New precise CMS result (full Run 2) [PLB 842 (2023) 137955]

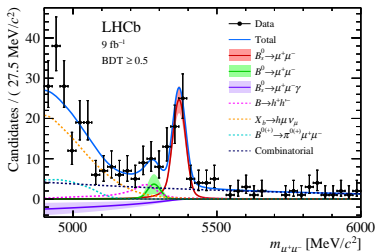
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.83^{+0.38}_{-0.36}(\text{stat})^{+0.19}_{-0.16}(\text{syst})^{+0.14}_{-0.13}(f_s/f_u)) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (0.37^{+0.75+0.08}_{-0.67-0.09}) \times 10^{-10} \quad (\mathcal{B} < 1.9 \times 10^{-10} \text{ @ 95\% CL})$$

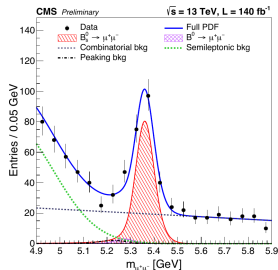
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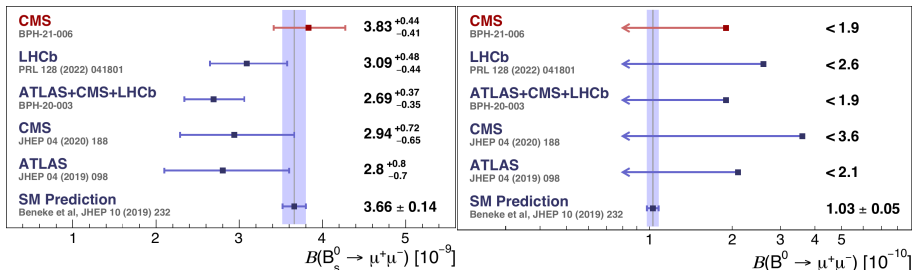
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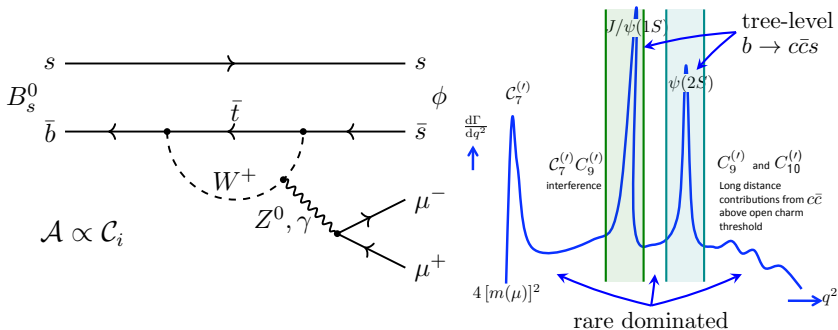
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$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.83^{+0.38}_{-0.36}(\text{stat})^{+0.19}_{-0.16}(\text{syst})^{+0.14}_{-0.13}(f_s/f_u)) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (0.37^{+0.75+0.08}_{-0.67-0.09}) \times 10^{-10} \quad (\mathcal{B} < 1.9 \times 10^{-10} \text{ @ 95\% CL})$$

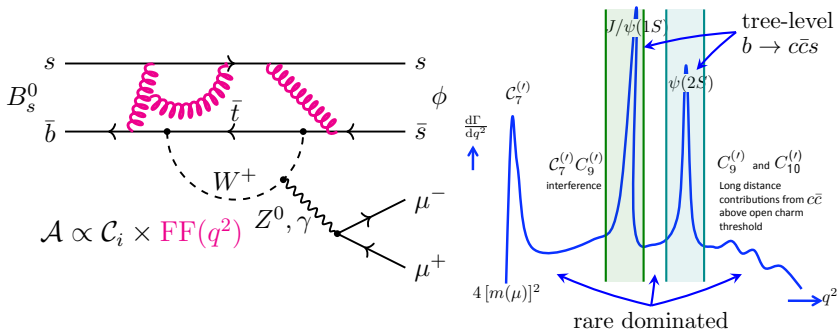
- Overall good agreement with SM prediction

Branching fraction of $B_s^0 \rightarrow \phi \mu^+ \mu^-$



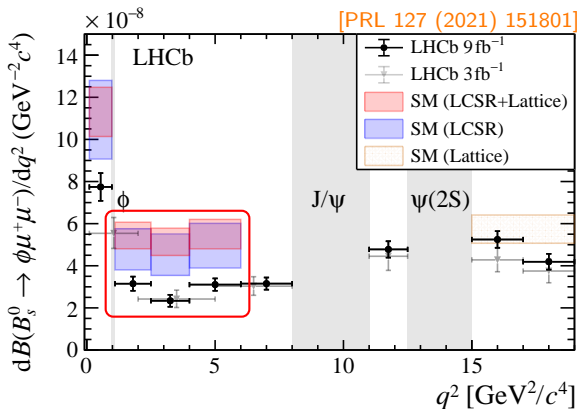
- \mathcal{B} of semileptonic $b \rightarrow s \mu^+ \mu^-$ decays can also be affected by NP
- Central: $q^2 = m(\ell^+ \ell^-)^2$, different operators contribute depending on q^2
- At $q^2 = m_{J/\psi}^2$ important tree-level $b \rightarrow c\bar{c}s$ normalisation mode $B_s^0 \rightarrow J/\psi \phi$
- SM predictions directly affected by significant **form factor** uncertainties

Low q^2 : LCSRs [\[PRD 71 \(2005\) 014029\]](#) [\[JHEP 08 \(2016\) 98\]](#) [\[PRD 75 \(2007\) 054013\]](#) [\[JHEP 09 \(2010\) 089\]](#) High q^2 : Lattice [\[PRD 89 \(2014\) 094501\]](#) [\[PRD 88 \(2013\) 054509\]](#)

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$B_s^0 \rightarrow \phi \mu^+ \mu^-$ branching fraction


SM LCSR

[JHEP 08 (2016) 098]

[EPJC 75 (2015) 8]

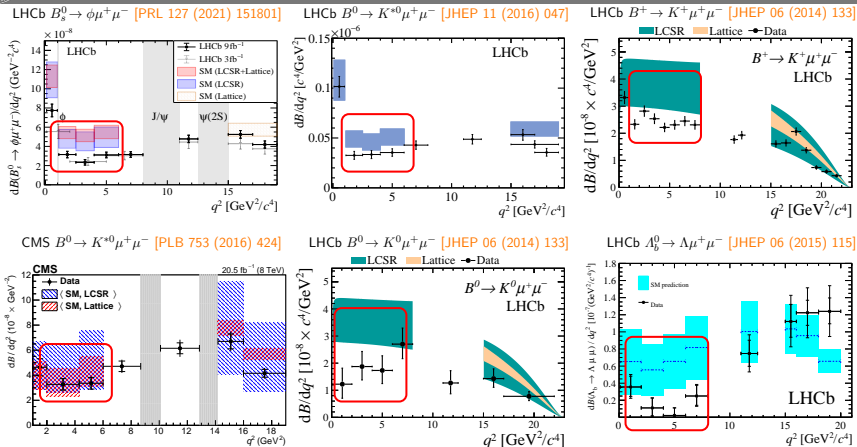
SM LCSR+Lattice

[PRL 112 (2014) 212003]

[PoS Lattice 2014 372]

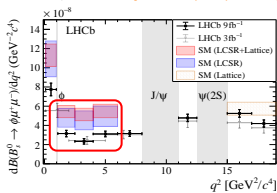
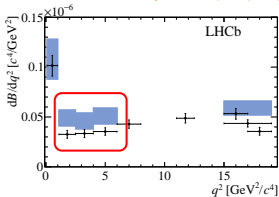
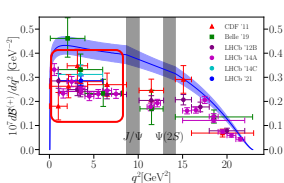
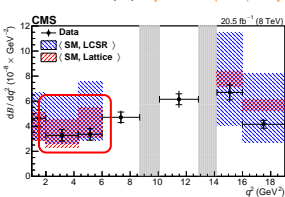
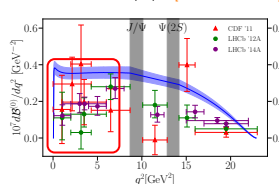
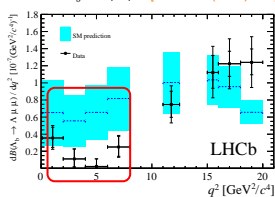
- Recent LHCb measurement using full Run 1+2 sample [PRL 127 (2021) 151801]
- $dB(B_s^0 \rightarrow \phi \mu^+ \mu^-, 1.1 < q^2 < 6 \text{ GeV}^2/c^4) = (2.88 \pm 0.21)^{-8} \text{ GeV}^2/c^4$
- Tension with SM at **3.6 σ (LCSR+Lattice)** and **1.8 σ (LCSR only)**

Low \mathcal{B} also found for other $b \rightarrow s\mu^+\mu^-$ decays

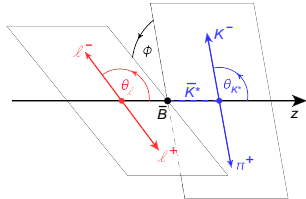
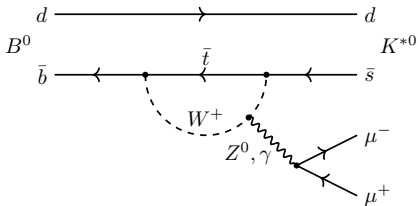


- Data consistently below SM predictions (particularly at low q^2)
- Tensions at $1-3\sigma$ level, SM predictions exhibit sizeable had. uncertainties
- Exciting recent developments on non-local corrections [JHEP 09 (2022) 133] and new results from Lattice QCD [HPQCD, PRD 107 (2023) 1]

Low \mathcal{B} also found for other $b \rightarrow s \mu^+ \mu^-$ decays

LHCb $B_s^0 \rightarrow \phi \mu^+ \mu^-$ [PRL 127 (2021) 151801]LHCb $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ [JHEP 11 (2016) 047]Lattice $B^+ \rightarrow K^{*+} \mu^+ \mu^-$ [arXiv:2207.13371]CMS $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ [PLB 753 (2016) 424]Lattice $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ [arXiv:2207.13371]LHCb $\Lambda_b^0 \rightarrow \Lambda \mu^+ \mu^-$ [JHEP 06 (2015) 115]

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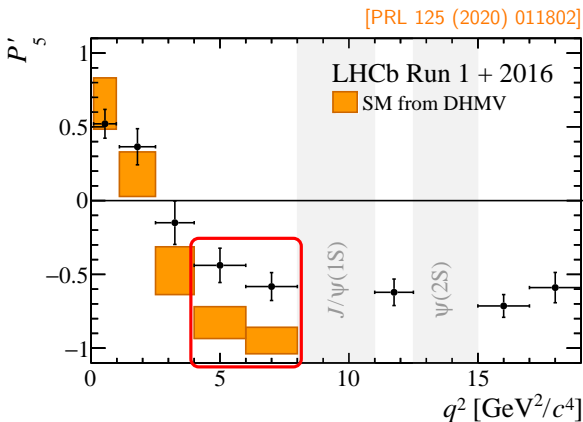
Angular analysis of $B^0 \rightarrow K^{*0}[\rightarrow K^+\pi^-]\mu^+\mu^-$ 

- Decay fully described by three helicity angles $\vec{\Omega} = (\theta_\ell, \theta_K, \phi)$ and $q^2 = m_{\mu\mu}^2$

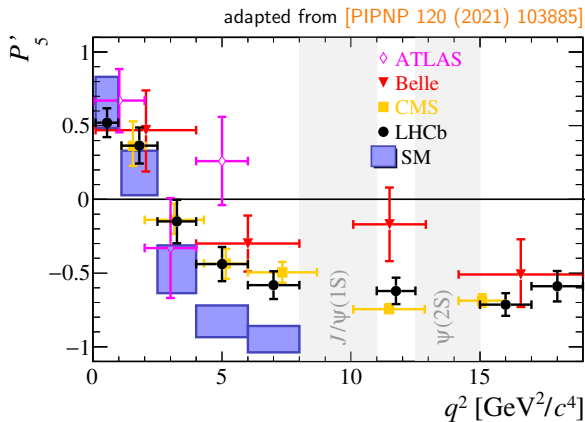
$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} = \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \right. \\ \left. + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

- Angular observables F_L, A_{FB}, S_i sensitive to NP contributions
- Perform ratios of observables where **form factors** cancel at leading order

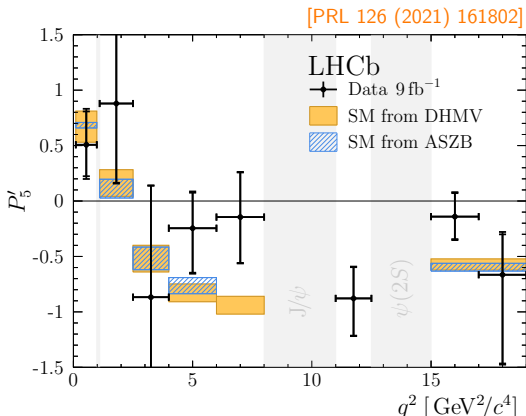
Example: $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}} \quad \left[\text{S. Descotes-Genon et al., JHEP, 05 (2013) 137} \right]$

Angular observable P'_5 from $B^0 \rightarrow K^{*0}\mu^+\mu^-$ 

- In q^2 bins $[4.0, 6.0]$ and $[6.0, 8.0]$ GeV^2/c^4 local tensions of 2.5σ and 2.9σ
- Global $B^0 \rightarrow K^{*0}\mu^+\mu^-$ analysis finds deviation corresponding to 3.3σ
- [LHCb, PRL 125 (2020) 011802] consistent with [Belle, PRL 118 (2017) 111801] [CMS-PAS-BPH-21-002] [ATLAS, JHEP 10 (2018) 047]

Angular observable P'_5 from $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ 

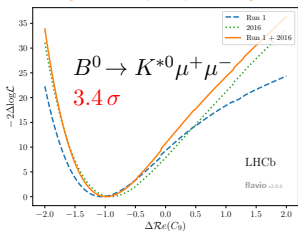
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Angular observable P'_5 from $B^+ \rightarrow K^{*+}(\rightarrow K_S^0\pi^+)\mu^+\mu^-$ 

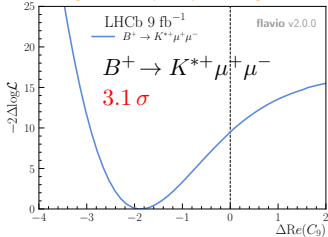
- Recent LHCb measurement using Run 1+2 data [PRL 126 (2021) 161802]
- Global tension corresponding to 3.1σ , consistent with $B^0 \rightarrow K^{*0}\mu^+\mu^-$
- Angular analysis ($F_L + A_{FB}$) also by CMS [JHEP 04 (2021) 124]

Consistency of $b \rightarrow s\mu^+\mu^-$ angular analyses

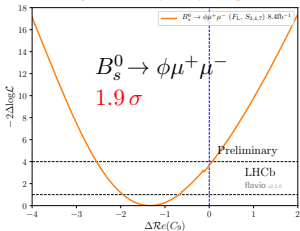
[PRL 125 (2020) 011802]



[PRL 126 (2021) 161802]



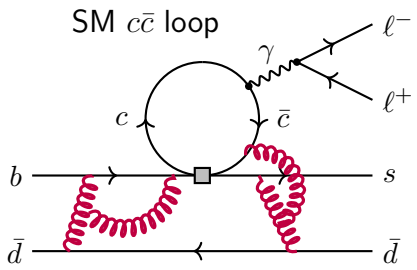
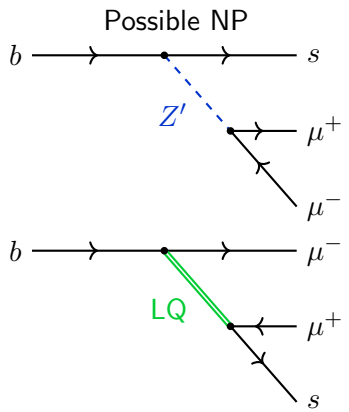
[JHEP 11 (2021) 043]



- Use flavio [arXiv:1810.08132] to determine tension with SM hypothesis
- Variation of vector coupling $\text{Re}(C_9)$ results in improved description of data
- Consistent trend for $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ [PRL 125 (2020) 011802], $B^+ \rightarrow K^{*+} \mu^+ \mu^-$ [PRL 126 (2021) 161802] and $B_s^0 \rightarrow \phi \mu^+ \mu^-$ [JHEP 11 (2021) 043] angular observables
- However, interpretation not clear due to significant hadronic uncertainties



New Physics or hadronic effect?

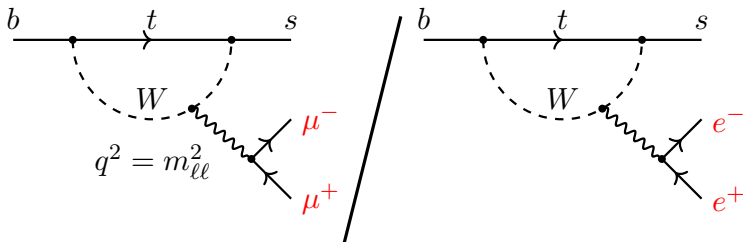


- Tensions received significant attention in theory community
- Possible explanations for shift in C_9
 - NP e.g. Z' [Gauld et al.] [Buras et al.] [Altmannsofer et al.] [Crivellin et al.]
 - Hadronic *charm-loop* contributions
- Look for clean observables without *charm-loop* pollution!
 - Lepton Flavour Universality tests

Leptoquarks [Hiller et al.] [Biswas et al.] [Buras et al.] [Gripaios et al.]



Lepton Flavour Universality tests in $b \rightarrow sl\bar{l}$ decays



- Lepton flavour universality central property of SM
- Testable using ratios of branching fractions of rare $b \rightarrow sl^+l^-$ decays:

$$R_{K,K^*} = \frac{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} \mu^+ \mu^-)}{\mathcal{B}(B^{(+,0)} \rightarrow K^{(+,*0)} e^+ e^-)}$$

- Exactly unity in SM, differences only through lepton mass effects
- Dominant SM uncertainty: QED corrections $\mathcal{O}(1\%)$ [EPJC 76 (2016) 440]
- Hadronic uncertainties (form-factors and $c\bar{c}$ -loop) cancel in the ratio

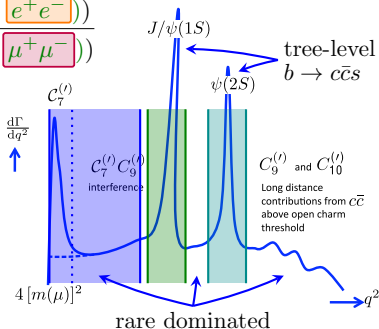
Analysis strategy: Double ratio (Example: R_K)

- Analysis strategy: Double ratio of rare modes $B^+ \rightarrow K^+ \ell^+ \ell^-$ with resonant decays $B^+ \rightarrow K^+ J/\psi (\rightarrow \ell^+ \ell^-)$:

$$r_{J/\psi}^{-1} = 1 \text{ [PRD 88 (2013) 3]}$$

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}$$

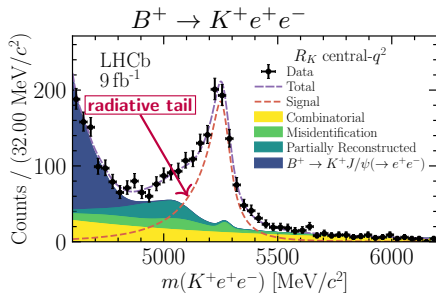
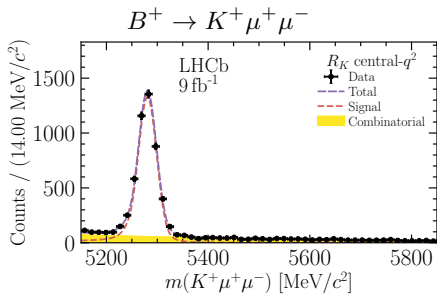
- Electron and Muon reconstruction very different at LHCb
- Efficiencies from corrected simulation
- Double ratio cancels most experimental systematic effects in efficiency ratios



- Important cross-checks: $r_{J/\psi} = \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}$ and

$$R_{\psi(2S)} = \frac{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow \mu^+ \mu^-))}{\mathcal{B}(B^+ \rightarrow K^+ \psi(2S) (\rightarrow e^+ e^-))} \times \frac{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow e^+ e^-))}{\mathcal{B}(B^+ \rightarrow K^+ J/\psi (\rightarrow \mu^+ \mu^-))}$$

Experimental challenges for electron modes at LHCb

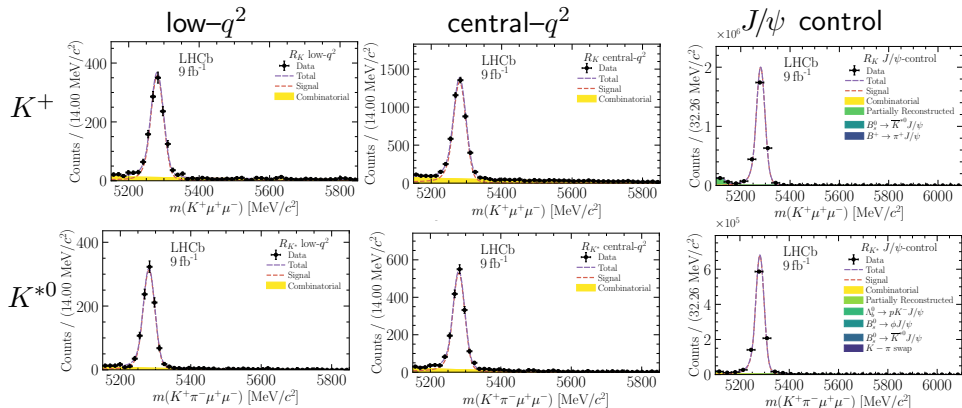


[arXiv:2212.09152] [arXiv:2212.09153]

Experimental Challenges for electron modes:

- 1 Low e trigger efficiencies due to higher thresholds compared to muons
- 2 Electrons strongly emit **Bremsstrahlung** traversing material
Brem- γ recovery has limited efficiency and degrades mass resolution
- 3 Contribution from several background sources, bkg. modeling critical

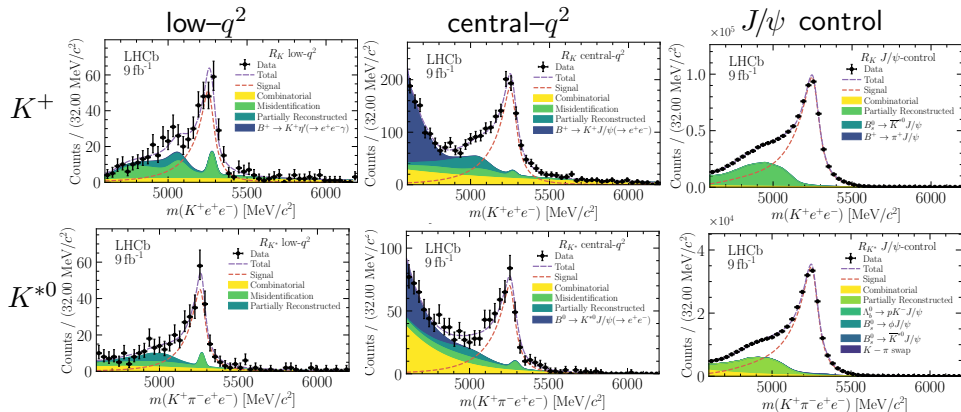
Muon mode fits



- Muon mode is very clean!
- Muon branching fraction compatible with published results

[JHEP 06 (2014) 133] [JHEP 11 (2016) 047]

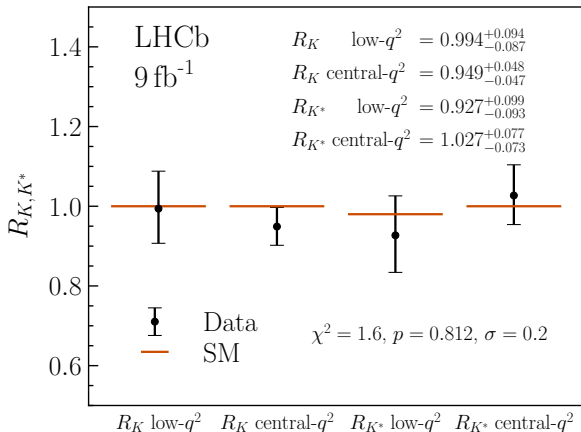
Electron mode fits



- Brems. tails from J/ψ entering rare modes constrained in sim. fit
- Partially reconstructed bgk. from $K^{*0}e^+e^-$ constrained in $K^+e^+e^-$

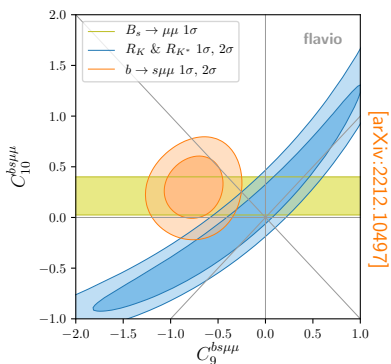
R_K and R_{K^*} results

[arXiv:2212.09152] [arXiv:2212.09153]



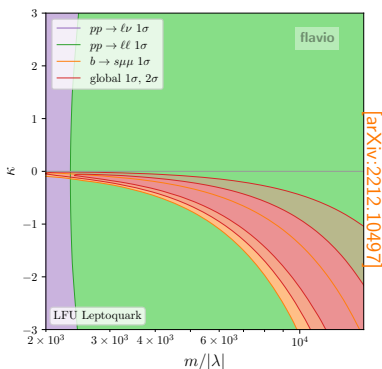
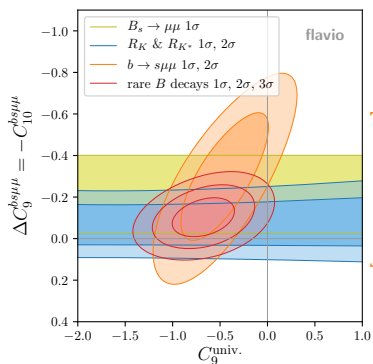
- Most precise test of LFU in $b \rightarrow s \ell^+ \ell^-$ transitions
- Compatible with the SM at 0.2σ using simple χ^2 test

Interpretation in global fits



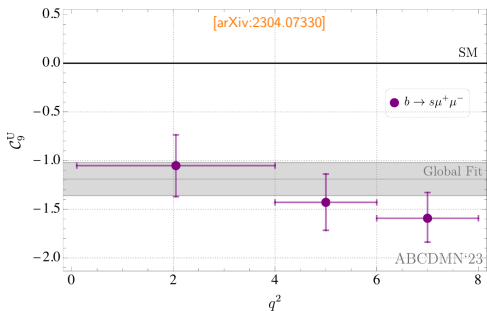
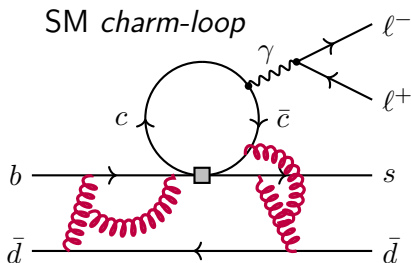
- $b \rightarrow s\ell^+\ell^-$ data can be interpreted using *global fits* of Wilson coefficients
- Assuming NP only in muon-sector ($\mathcal{R}e(C_9^{bs\mu\mu})$ and $\mathcal{R}e(C_{10}^{bs\mu\mu})$) reveals tension between $b \rightarrow s\mu^+\mu^-$ angular and \mathcal{B} measurements and R_{K,K^*}
- Can be resolved in presence of LFU NP which does not affect R_{K,K^*}
- Data prefers negative C_9^{univ} , tension depends on hadronic uncertainties

Interpretation in global fits



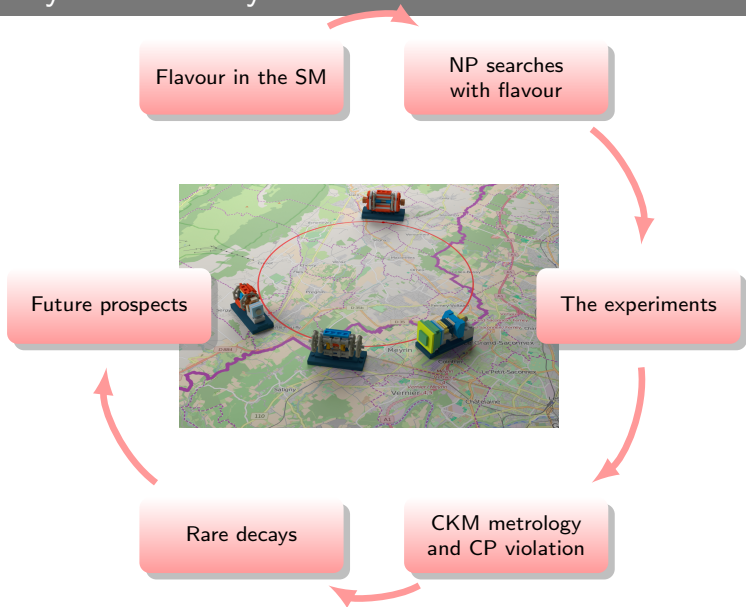
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Disentangling hadronic contributions from potential NP



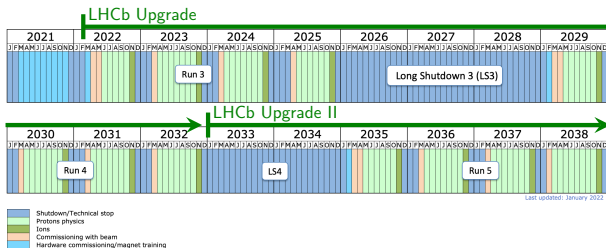
- Hadronic contributions affect angular observables and branching fractions disentangling them from NP requires work from theory and experiment
- Progress on theory side:
 - Form-factors are systematically improved on the lattice [PRD 107 (2023) 1]
 - Recent more precise estimation of charm-loop effect [JHEP 09 (2022) 133]
 - ... but still a lot of discussion, see e.g. [arXiv:2212.10516]
- Experimental approaches exploiting q^2 -dependence underway:
 - charm-loop rises towards $c\bar{c}$ -resonances
 - NP q^2 -independent

Heavy Flavour Physics





Outlook

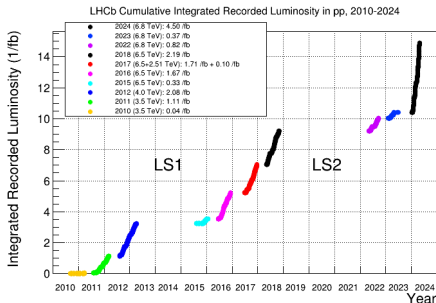


	Integrated luminosity [fb^{-1}]	
	LHCb	ATLAS/CMS
Run 1	3	25
Run 2	9	+140
Run 3	23	+300
Run 4	50	+300/year
Run 5(+)	300	+300/year

- Measurements largely statistically dominated → requires more data
- Updates with the full Run 1+2 data still ongoing
- Run 3 started in 2022 with upgraded LHCb detector [Upgrade TDR]
- Unprecedented precision in the HL-LHC era following LS3 [Yellow Report 7 (2019) 867]
- LHCb Upgrade II installation during LS4 [arXiv:1808.08865] → 300 fb^{-1} [arXiv:1808.08865]
- Belle II has already taken $> 500 \text{ fb}^{-1}$, aims for 50 ab^{-1}
- Belle II will deliver important complementary results



Outlook



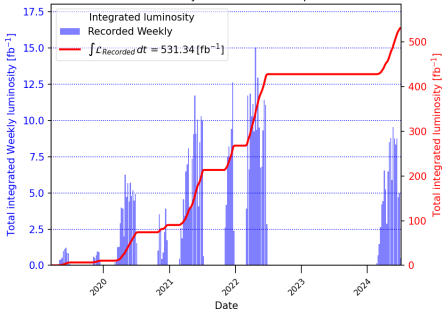
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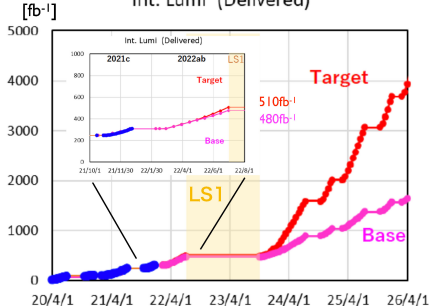


Outlook

Belle II Online luminosity Exp: 7-33 - All runs



Int. Lumi (Delivered)

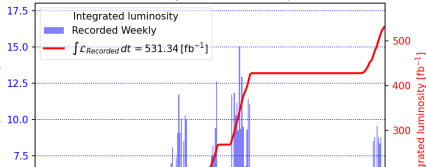


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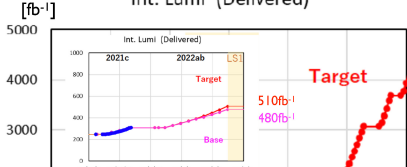


Outlook

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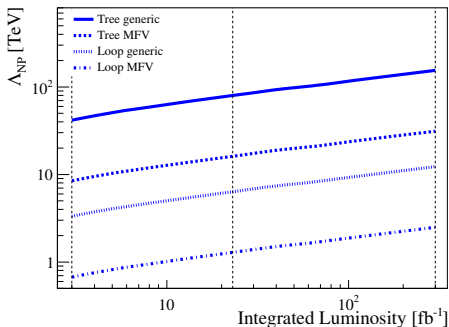


Future prospects [arXiv:1808.08865] [PTEP 12 (2019) 123C01]

observable	LHCb 2025	Belle II	LHCb Upgrade II
$\sin 2\beta_d(J/\psi K_s^0)$	0.011	0.005	0.003
γ	1.5°	1.0°	0.35°
$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$	34%	-	10%
$R_K(1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.025	0.036	0.007
$R_{K^*}(1 < q^2 < 6 \text{ GeV}^2/c^4)$	0.031	0.032	0.008

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NP reach of rare decays in the LHCb Upgrade(s)

 Λ_{NP} exclusion limits with $R_{K^{(*)}}$ 

[Upgrade II Physics case] [Physics of the HL-LHC WG 4]

$\int \mathcal{L} dt$	3 fb^{-1}	23 fb^{-1}	300 fb^{-1}
R_K and R_{K^*} measurements			
$\sigma(\mathcal{C}_9)$	0.44	0.12	0.03
$\Lambda_{\text{NP}}^{\text{tree generic}}$ [TeV]	40	80	155
$\Lambda_{\text{NP}}^{\text{tree MFV}}$ [TeV]	8	16	31
$\Lambda_{\text{NP}}^{\text{loop generic}}$ [TeV]	3	6	12
$\Lambda_{\text{NP}}^{\text{loop MFV}}$ [TeV]	0.7	1.3	2.5
$B^0 \rightarrow K^{*0} \mu^+ \mu^-$ angular analysis			
$\sigma^{\text{stat}}(S_i)$	0.034–0.058	0.009–0.016	0.003–0.004
$\sigma(\mathcal{C}'_{10})$	0.31	0.15	0.06
$\Lambda_{\text{NP}}^{\text{tree generic}}$ [TeV]	50	75	115
$\Lambda_{\text{NP}}^{\text{tree MFV}}$ [TeV]	10	15	23
$\Lambda_{\text{NP}}^{\text{loop generic}}$ [TeV]	4	6	9
$\Lambda_{\text{NP}}^{\text{loop MFV}}$ [TeV]	0.8	1.2	1.9

- $\sigma(\mathcal{C}_i)$ from Flavio [arXiv:1810.08132] using extrapol. $\sigma_{\text{exp.}}$ of current measurements
- Exclusion limits for NP scale⁴ $\Lambda_{\text{NP}} \propto \sqrt{1/\sigma(\mathcal{C}_{\text{NP}})} \propto \sqrt[4]{\int \mathcal{L} dt}$
- Precision flavour observables probe scales far beyond $\sqrt{s} = 14 \text{ TeV}$

⁴Naive scaling: Assume identical scaling of syst. uncertainties

Conclusions

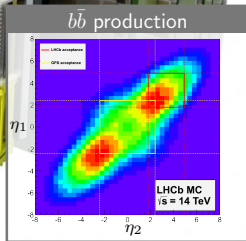
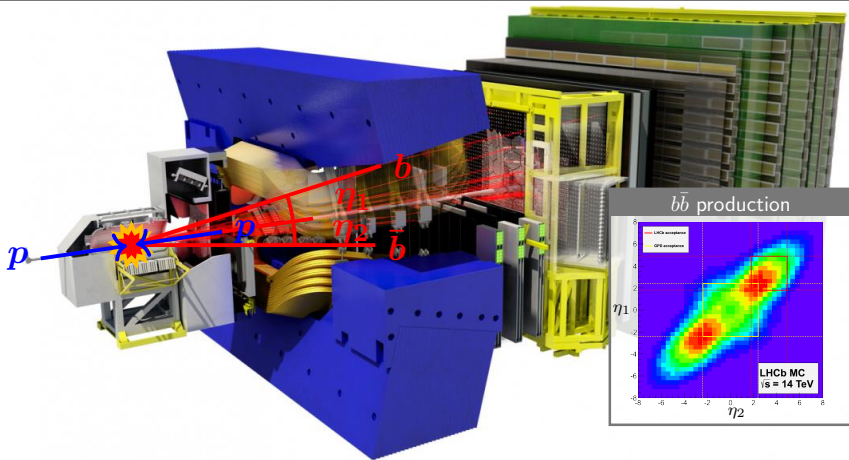
- Heavy flavour physics an extremely interesting field, allows for powerful tests of the SM through precision measurements
- These indirect searches for NP can reach high NP scales (up to ~ 100 TeV), complementary to direct searches
- Most measurements in excellent agreement with SM, but some tensions exist
- However, tensions in \mathcal{B} and angular observables not theoretically clean, Progress requires synergistic work between experiment and theory!
 - Work ongoing to improve theory predictions
 - Measurements statistically limited, updates ongoing
- LHC Run 3 just started, will allow for unprecedented reach with brand new LHCb detector
- Belle 2 will provide important additional and complementary information





Backup

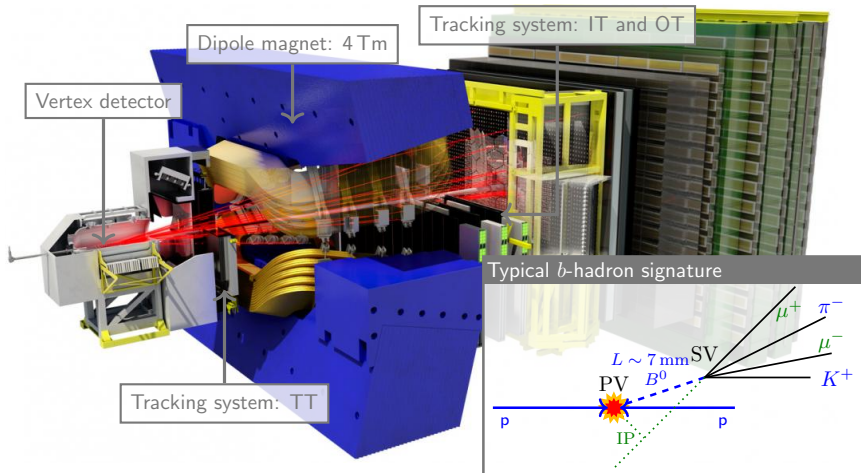
The LHC as heavy flavour factory



- $b\bar{b}$ produced in forward/backward dir. → Forward spectrometer $2 < \eta < 5$
- Large $b\bar{b}$ ($c\bar{c}$) production cross-sections allows precision measurements of rare decays
- Run 1: 3 fb^{-1} , Run 2: 6 fb^{-1}

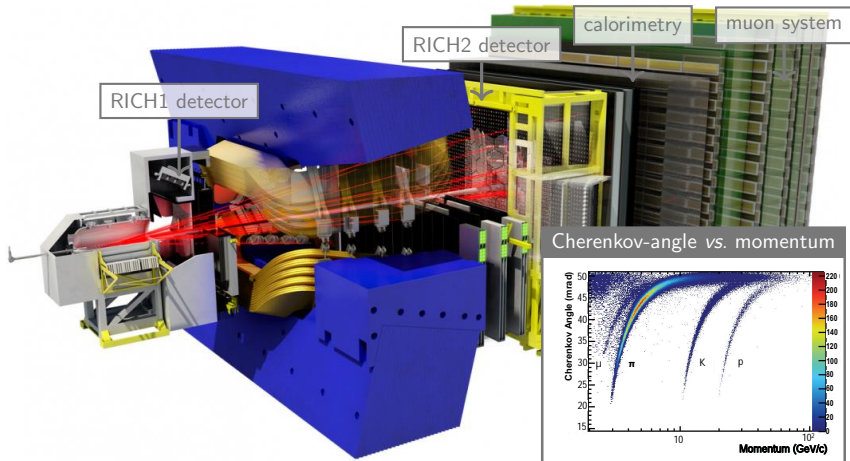
	$\sqrt{s} = 7 \text{ TeV}$	$\sqrt{s} = 13 \text{ TeV}$
$\sigma_{b\bar{b}}^{\text{acc.}} [\mu\text{b}]$	75.3 ± 14.1	135.8 ± 14.1
$\sigma_{c\bar{c}}^{\text{acc.}} [\mu\text{b}]$	1419 ± 134	2940 ± 241
Refs.	[PLB 694:209 (2010)] [NPB 871 (2013) 1-20]	[JHEP 10 (2015) 172] [arXiv:1510.01707]

The LHCb detector: Tracking



- Excellent *impact parameter* resolution $\sim 20 \mu\text{m}$
 → Identify secondary vertices from heavy flavour decays
- Momentum resolution $\frac{\Delta p}{p} \sim 0.5 - 1\%$ → Low combinatorial background

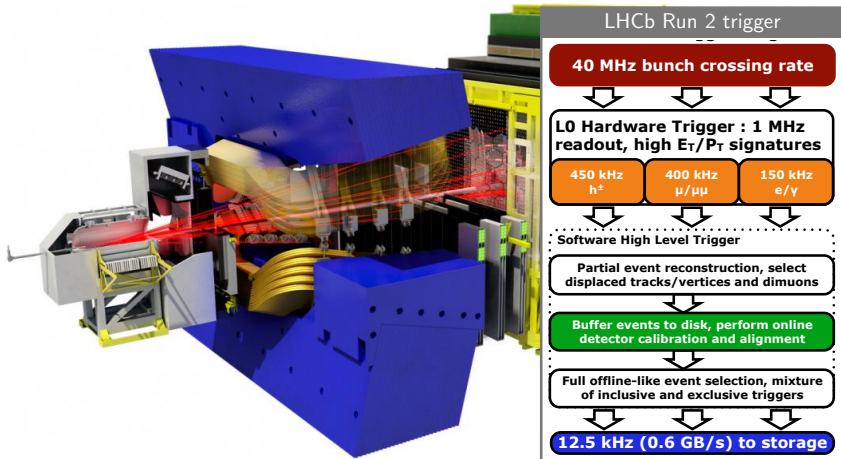
The LHCb detector: Particle identification



- Good $K\pi$ separation through RICH detectors: $\epsilon_{K \rightarrow K} \sim 95\%$, $\epsilon_{\pi \rightarrow K} \sim 5\%$
- Excellent muon identification: $\epsilon_{\mu \rightarrow \mu} \sim 97\%$, $\epsilon_{\pi \rightarrow \mu} \sim 1 - 3\%$

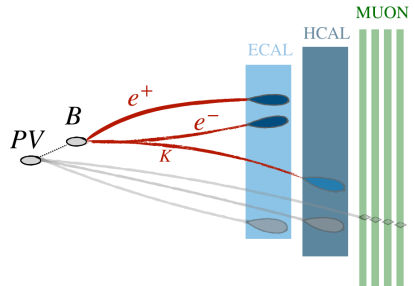
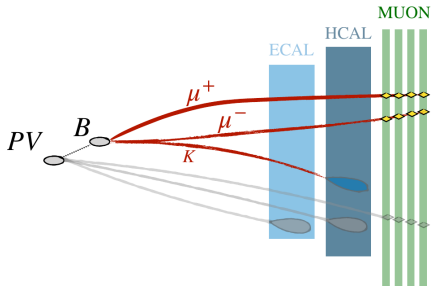
→ Reject backgrounds from misidentified B decays (peaking backgrounds)

The LHCb detector: Flexible trigger system



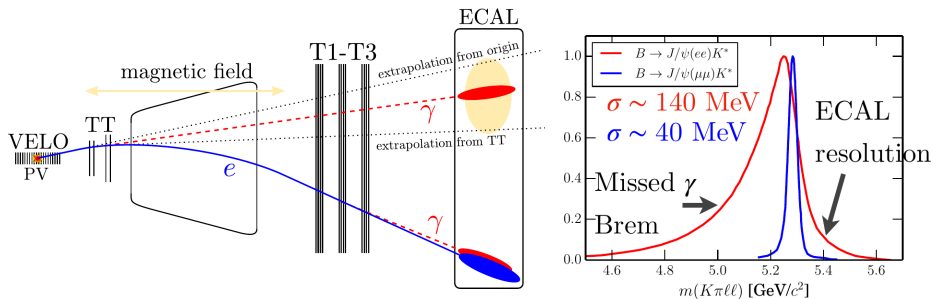
- Low trigger thresholds ($p_T(\mu) > 1.76 \text{ GeV}/c$ in 2012) and high efficiencies:
 $\epsilon_{B \rightarrow \mu\mu X}^{\text{trig}} \sim 90\%$, $\epsilon_{\text{had.}}^{\text{trig}} \sim 30\%$
- Run 2: Full online detector calibration and alignment
- LHCb Upgrade: L0 (hardware) replaced, full software trigger

Experimental challenge: 1. Electron trigger



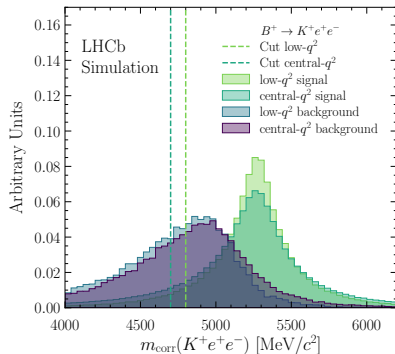
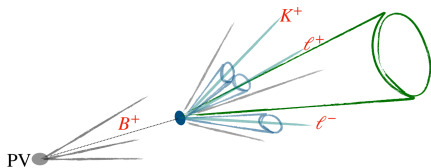
- Trigger signatures for muon and electron modes very different
- Lower L0 p_T thresholds for muons (1.5–1.8 GeV/ c) compared to electrons (2.5–3.0 GeV) → challenging for e^+e^- modes
- Combine exclusive trigger categories to improve ϵ for electron modes:
 - 1 Trigger on rest of event (independent of signal)
 - 2 Trigger on e/μ from signal

Experimental challenge: 2. Bremsstrahlung



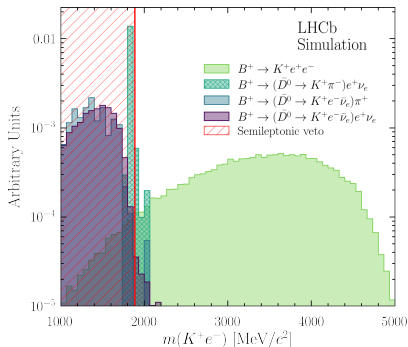
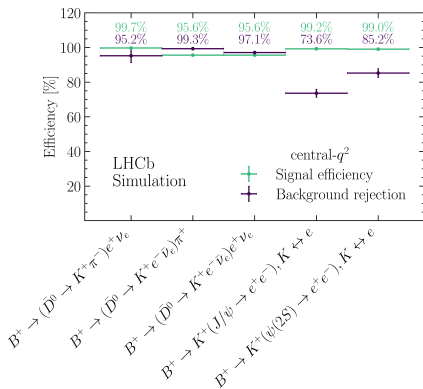
- Correct electron momentum by adding matching photons ($E_T > 75 \text{ MeV}/c^2$) reconstructed in the ECAL
- Bremsstrahlung recovery $\sim 50\%$ efficient, well simulated
- Bremsstrahlung reconstruction impacts momentum resolution
 \rightarrow higher background pollution and more sensitive to bkg. modeling

Experimental challenge: 3. Background suppression



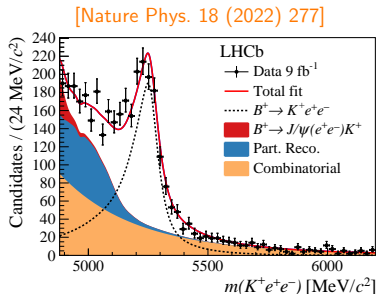
- Combinatorial backgrounds: suppressed using multivariate classifier using kinematic and vertex quality information
- Partially reconstructed:
 - 1 MVA using track/vertex isolation
 - 2 Corrected mass exploiting PV/SV
- Specific backgrounds: vetos combining PID and kinematic criteria

Experimental challenge: 3. Background suppression



- Combinatorial backgrounds: suppressed using multivariate classifier using kinematic and vertex quality information
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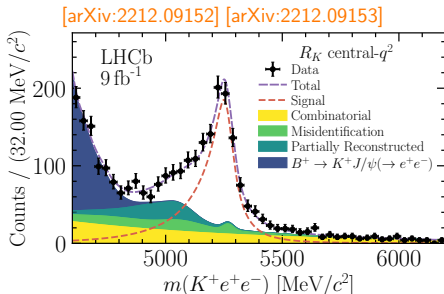
Difference to previous R_K analysis



$$R_K = 0.846_{-0.039-0.012}^{+0.042+0.013}$$

[Nature Phys. 18 (2022) 277]

→

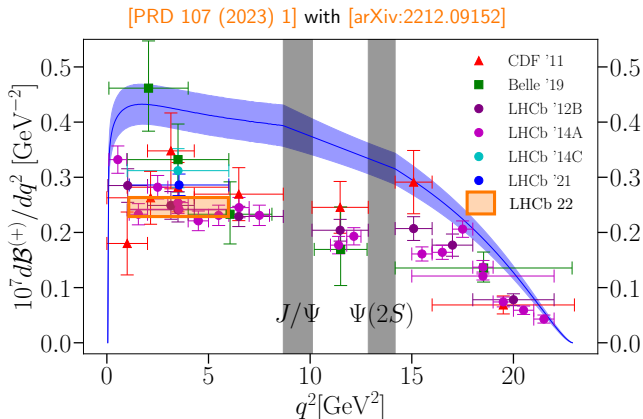


$$R_K = 0.949_{-0.041-0.022}^{+0.042+0.022}$$

[arXiv:2212.09152] [arXiv:2212.09153]

- Different selection allows for statistical scatter of ± 0.033
- Shift of ~ 0.1 due to pollution by residual misidentified backgrounds present and not accounted for in [Nature Phys. 18 (2022) 277]
 - Tighter particle identification cuts: Shift of +0.064
 - Explicit inclusion of residual misid. backgrounds: Shift of +0.038

One remark on $B^+ \rightarrow K^+ e^+ e^-$ branching fraction

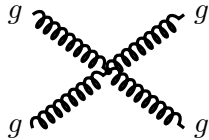
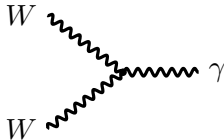


- Scaling R_{K,K^*} with measured muon \mathcal{B} yields [JHEP 06 (2014) 133]
 $d\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-) / dq^2 = (25.5^{+1.3}_{-1.2} \pm 1.1) \times 10^{-9} \text{ GeV}^{-2}$
- Electron \mathcal{B} consistent with muons, also below SM prediction



Terms in the SM Lagrangian

The SM Lagrangian:

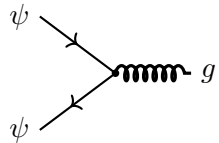
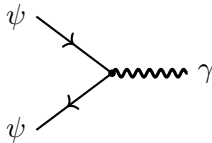


$$\mathcal{L} = - \underbrace{\frac{1}{4} F_{\mu\nu} F^{\mu\nu}}_{\text{self-int.}} + \underbrace{i\bar{\psi} \not{D} \psi}_{\text{kinetic}} + \underbrace{\psi_i Y_{ij} \psi_j \phi}_{\text{Yukawa}} + h.c. + \underbrace{|D_\mu \phi|^2 - V(\phi)}_{\text{Higgs}}$$

- Self-interaction/kinetic term for the gauge bosons (electroweak gauge bosons, gluons)
- Kinetic term for the fermions, interactions with the gauge bosons
- Yukawa-term: Couplings of the fermions to the Higgs
- Higgs potential and self coupling

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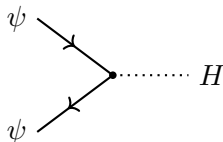
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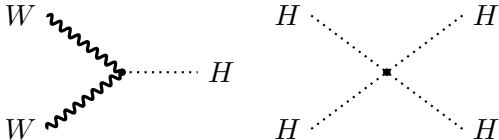
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The particle content

Fermions

Quarks			q	T	T_3	Y	
q_{Li}	$\begin{pmatrix} u \\ d \end{pmatrix}_L$	$\begin{pmatrix} c \\ s \end{pmatrix}_L$	$\begin{pmatrix} t \\ b \end{pmatrix}_L$	$+\frac{2}{3}$	$\frac{1}{2}$	$+\frac{1}{2}$	$+\frac{1}{3}$
u_{Ri}	u_R	c_R	t_R	$+\frac{2}{3}$	0	0	$+\frac{4}{3}$
d_{Ri}	d_R	s_R	b_R	$-\frac{1}{3}$	0	0	$-\frac{2}{3}$
Leptons			q	T	T_3	Y	
ℓ_{Li}	$\begin{pmatrix} \nu_e \\ e \end{pmatrix}_L$	$\begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}_L$	$\begin{pmatrix} \nu_\tau \\ \tau \end{pmatrix}_L$	0	$\frac{1}{2}$	$+\frac{1}{2}$	-1
e_{Ri}	e_R	μ_R	τ_R	-1	0	0	-2

- q el. charge
- T weak isospin, T_3 third component
- Y weak hypercharge, $Y = 2(q - T_3)$

In detail: the kinetic term

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{kinetic}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}$$

$$\mathcal{L}_{\text{kinetic}}^{\text{quarks}} = \sum_{i=1}^3 i\bar{q}_{Li}\not{D}_q q_{Li} + i\bar{u}_{Ri}\not{D}_u u_{Ri} + i\bar{d}_{Ri}\not{D}_d d_{Ri} \quad \text{with} \quad (1)$$

$$D_{q\mu} = \partial_\mu + ig_s T^a G_\mu^a + ig\frac{1}{2}\tau^a W_\mu^a + ig'\frac{1}{2}Y B_\mu$$

$$D_{u\mu} = \partial_\mu + ig_s T^a G_\mu^a + ig'\frac{1}{2}Y B_\mu$$

$$D_{d\mu} = \partial_\mu + ig_s T^a G_\mu^a + ig'\frac{1}{2}Y B_\mu, \quad (2)$$

- $D_{q\mu}$ cov. derivatives arising from invariance under local gauge trans.⁵
- T^a generators of $SU(3)_C$, 3×3 Gell-Mann matrices
- τ^a generators of $SU(2)_L$, Pauli matrices

$$\tau^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \tau^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \tau^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (3)$$

- couplings to gauge bosons *flavour-universal* and *flavour-diagonal*

⁵Reminder: $\not{D}_q = \gamma^\mu D_{q\mu}$

For completeness: the kinetic term for leptons

$$\mathcal{L}_{\text{kinetic}}^{\text{leptons}} = \sum_{i=1}^3 i\bar{\ell}_{Li}\mathcal{D}\ell_{Li} + i\bar{e}_{Ri}\mathcal{D}_e e_{Ri} \quad \text{with} \quad (4)$$

$$\begin{aligned} D_{\ell\mu} &= \partial_{\mu} & + ig\frac{1}{2}\tau^a W_{\mu}^a + ig'\frac{1}{2}Y B_{\mu} \\ D_{e\mu} &= \partial_{\mu} & + ig'\frac{1}{2}Y B_{\mu}. \end{aligned} \quad (5)$$

- Analogous to the kinetic term for quarks
- No coupling to gluons



For completeness: Higgs term

- Higgs potential

$$\mathcal{L}_{\text{Higgs}} = \mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2 \quad (6)$$

with $\lambda > 0$ for vacuum stability and $\mu^2 > 0$ to achieve non-zero vacuum expectation value $\langle \phi \rangle = (0, v/\sqrt{2})$

- Gauge bosons acquire mass through the cov. derivatives

$$\mathcal{L}_{\text{kinetic}}^{\text{Higgs}} = (D^\mu \phi)^\dagger (D_\mu \phi). \quad (7)$$

Central for Flavour Physics: Yukawa couplings

- Couplings of quarks to Higgs central to flavour physics

$$\mathcal{L}_{\text{Yukawa}}^{\text{quarks}} = \sum_{i,j=1}^3 -\bar{q}_{Li} Y_{u,ij} \tilde{\phi} u_{Rj} - \bar{q}_{Li} Y_{d,ij} \phi d_{Rj} + h.c., \quad (8)$$

- $Y_{u,ij}$ and $Y_{d,ij}$ a priori arbitrary complex 3×3 Yukawa matrices
- Yukawa interactions can be expressed in different bases:
 - mass basis, where the Yukawa interactions are diagonal
 - interaction basis (as in Eq. 33), where the W interactions are diagonal
- Rotation between these two bases \rightarrow CKM matrix

Yukawa interactions in the mass basis

- Replace Higgs field with exp. value (note $\tilde{\phi} = i\tau^2\phi^\dagger$, $\langle\tilde{\phi}\rangle = (v/\sqrt{2}, 0)$)

$$\begin{aligned}\mathcal{L}_{\text{Yukawa}}^{\text{quarks}} &= \sum_{i,j=1}^3 -\bar{q}_{Li}Y_{u,ij}\tilde{\phi}u_{Rj} - \bar{q}_{Li}Y_{d,ij}\phi d_{Rj} + h.c., \\ &= \sum_{i,j=1}^3 -\bar{d}_{Li}M_{d,ij}d_{Rj} - \bar{u}_{Li}M_{u,ij}u_{Rj} + h.c.\end{aligned}\quad (9)$$

with the mass matrices $M_{d,ij} = \frac{v}{\sqrt{2}}Y_{d,ij}$ and $M_{u,ij} = \frac{v}{\sqrt{2}}Y_{u,ij}$

- Diagonalise the mass matrices using unitary matrices V_{dL} and V_{dR} (V_{uL} and V_{uR})

$$M_d^{\text{diag}} = V_{dL}^\dagger M_d V_{dR} = \begin{pmatrix} m_d & & \\ & m_s & \\ & & m_b \end{pmatrix}, \quad M_u^{\text{diag}} = V_{uL}^\dagger M_u V_{uR} = \begin{pmatrix} m_u & & \\ & m_c & \\ & & m_t \end{pmatrix}.\quad (10)$$

Yukawa interactions in the mass basis II

- Diagonalisation results in mass eigenstates (superscript m)
- Mass eigenstates are connected to the interaction eigenstates via

$$\begin{aligned}
 d_{Li} &= V_{dL,ij} \bar{d}_{Lj}^m & d_{Ri} &= V_{dR,ij} d_{Rj}^m \\
 u_{Li} &= V_{uL,ij} u_{Lj}^m & u_{Ri} &= V_{uR,ij} u_{Rj}^m,
 \end{aligned} \tag{11}$$

- In the mass basis the Yukawa interactions become diagonal

$$\mathcal{L}_M^{\text{quarks}} = \sum_{i=1}^3 -\bar{d}_{Li}^m M_{d,ii}^{\text{diag}} d_{Ri}^m - \bar{u}_{Li}^m M_{u,ii}^{\text{diag}} u_{Ri}^m + h.c. \tag{12}$$

W^\pm interactions in the mass basis

- W^\pm interactions in Eq. 33 in the interaction basis
- Writing the term instead in the mass basis results in

$$\begin{aligned}
 \mathcal{L}_{W^\pm}^{\text{quarks}} &= \sum_{i=1}^3 i\bar{q}_{Li}\gamma^\mu i g \frac{1}{2} [\tau^1 W_\mu^1 + \tau^2 W_\mu^2] q_{Li} \\
 &= \sum_{i=1}^3 i\bar{q}_{Li}\gamma^\mu i g \frac{1}{2} \left[W_\mu^1 \begin{pmatrix} d_{Li} \\ u_{Li} \end{pmatrix} + W_\mu^2 \begin{pmatrix} -id_{Li} \\ iu_{Li} \end{pmatrix} \right] \\
 &= \frac{g}{\sqrt{2}} \sum_{i=1}^3 -\bar{u}_{Li}\gamma^\mu W_\mu^+ d_{Li} - \bar{d}_{Li}\gamma^\mu W_\mu^- u_{Li} \\
 &= \frac{g}{\sqrt{2}} \sum_{i,j,k=1}^3 -\bar{u}_{Li}^m \underbrace{V_{uL,ij}^\dagger V_{dL,jk}}_{V_{\text{CKM},ik}} \gamma^\mu W_\mu^+ d_{Lk}^m - \bar{d}_{Li}^m V_{dL,ij}^\dagger V_{uL,jk} \gamma^\mu W_\mu^- u_{Lk}^m
 \end{aligned} \tag{13}$$

where $W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp iW_\mu^2)$ was used



The CKM matrix

- CKM (Cabibbo Kobayashi Maskawa) matrix is defined as

$$V_{\text{CKM},ik} = V_{uL,ij}^\dagger V_{dL,jk} \quad (14)$$

- The CKM matrix describes the misalignment of the left-handed up- and down-type mass eigenstates
- The off-diagonal elements result in flavour violating transitions between the different generation in the charged weak interaction
- The CKM matrix elements are denoted as

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \quad (15)$$

- CKM element V_{ub} gives coupling strength of the b to the u -quark



The Unitarity of the CKM matrix

- CKM matrix is a product of unitary matrices, therefore unitary itself
- Unitarity condition

$$V_{\text{CKM}} V_{\text{CKM}}^\dagger = 1 \quad (16)$$

- General complex $n \times n$ matrices can be described by n^2 real parameters and n^2 complex phases
- Unitarity condition reduces the number of free parameters to $n(n-1)/2$ real parameters, $n(n+1)/2$ phases
- $n = 3$: 3 real parameters, 6 phases
- For the CKM matrix, 5 of the phases can be absorbed as unphysical (unobservable) quark phases
- In total, the CKM matrix is therefore given by 3 real parameters and 1 complex phase

The CKM matrix: PDG parameterisation

- Standard PDG parameterisation with three (real) Euler angles θ_{12} , θ_{23} , θ_{13} and one complex phase δ

$$\begin{aligned}
 V_{\text{CKM}} &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \\
 &= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}.
 \end{aligned} \tag{17}$$

where $s_{ij} = \sin \theta_{ij}$ and $c_{ij} = \cos \theta_{ij}$

- Experimentally, it is known that the CKM matrix is hierarchical with

$$s_{12} \ll s_{23} \ll s_{13} \ll 1 \tag{18}$$

- PDG parameterisation is exact, but hierarchical nature more clear in Wolfenstein parameterisation



The CKM matrix: Wolfenstein parameterisation

- Wolfenstein parameterisation uses the parameters λ , A , ρ and η , with η responsible for imaginary entries in V_{CKM}

$$s_{12} = \lambda \quad (19)$$

$$s_{23} = A\lambda^2 \quad (20)$$

$$s_{13}e^{+i\delta} = A\lambda^3(\rho + i\eta) \quad (21)$$

- parameter $\lambda \approx 0.22$ plays the role of an expansion parameter
- Up to $\mathcal{O}(\lambda^4)$ the CKM matrix in the Wolfenstein param. given by

$$V_{\text{CKM}} = \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 - \frac{1}{8}\lambda^4(1 + 4A^2) & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 + \frac{1}{2}A\lambda^4(1 - 2(\rho + i\eta)) & 1 - \frac{1}{2}A^2\lambda^4 \end{pmatrix} \quad (22)$$

- Diagonal elements close to 1, off-diagonal transitions suppressed $|V_{us}|, |V_{cd}| \sim \lambda$, $|V_{cb}|, |V_{ts}| \sim \lambda^2$ and $|V_{ub}|, |V_{td}| \sim \lambda^3$.
- Imaginary part relative to CKM element largest for V_{ub}



Symmetries

- Symmetries play a central role in physics, instructive to study SM Lagrangian under the discrete transformations C, P and T.

$$\text{Parity (space inversal) :} \quad \text{P : } \psi(r, t) \rightarrow \gamma^0 \psi(-r, t) \quad (23)$$

$$\text{Charge conjugation :} \quad \text{C : } \psi \rightarrow i(\bar{\psi} \gamma^0 \gamma^2)^T \quad (24)$$

$$\text{Time reversal :} \quad \text{T : } \psi(r, t) \rightarrow \gamma^1 \gamma^3 \psi(r, -t) \quad (25)$$

- P transforms left-handed fermions to right-handed fermions
- C transforms left-handed quarks to right-handed antiquarks
- Lagrangian not invariant under C and P (left-handed and right-handed fields with different representations in the SM)
- combined CP operation central for Flavour Physics

CP operation on Lagrangian

- Apply CP operation on Eq. 13

$$\begin{aligned}
 \mathcal{L}_{W^\pm}^{\text{quarks}} &= \frac{g}{\sqrt{2}} \sum_{i,j=1}^3 -\bar{u}_{Li}^m V_{\text{CKM},ij} \gamma^\mu W_\mu^+ d_{Lj}^m - \bar{d}_{Li}^m V_{\text{CKM},ij}^\dagger \gamma^\mu W_\mu^- u_{Lj}^m \\
 &= \frac{g}{\sqrt{2}} \sum_{i,j=1}^3 -\bar{u}_{Li}^m V_{\text{CKM},ij} \gamma^\mu W_\mu^+ d_{Lj}^m - \bar{d}_{Lj}^m V_{\text{CKM},ij}^* \gamma^\mu W_\mu^- u_{Li}^m \\
 &\xrightarrow{\text{CP}} \frac{g}{\sqrt{2}} \sum_{i,j=1}^3 -\bar{d}_{Lj}^m V_{\text{CKM},ij} \gamma^\mu W_\mu^- u_{Li}^m - \bar{u}_{Li}^m V_{\text{CKM},ij}^* \gamma^\mu W_\mu^+ d_{Lj}^m \\
 &= \frac{g}{\sqrt{2}} \sum_{i,j=1}^3 -\bar{u}_{Li}^m V_{\text{CKM},ij}^* \gamma^\mu W_\mu^+ d_{Lj}^m - \bar{d}_{Lj}^m V_{\text{CKM},ij} \gamma^\mu W_\mu^- u_{Li}^m. \quad (26)
 \end{aligned}$$

- Invariant under the CP transformation only if $V_{\text{CKM}}^* = V_{\text{CKM}}$,
i.e. all CKM matrix elements are real.
- CP-violation has been experimentally established in the K , D , B systems
- Any local lorentz-invariant QFT conserves CPT

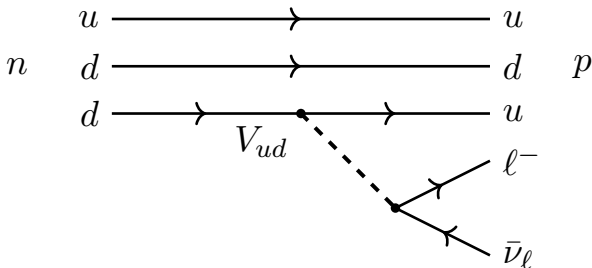
A measure for CP violation: The Jarlskog invariant

- Any non-trivial phase δ leads to CP violation
- Phase δ is not convention independent as quarks can be rephased
- A convention-independent measure of CP-violation is given by the Jarlskog-invariant, defined by

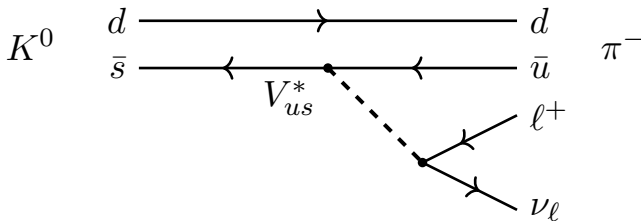
$$\text{Im} (V_{ij} V_{kl} V_{il}^* V_{kj}^*) = J \sum_{m,n} \epsilon_{ikm} \epsilon_{jln} \quad (27)$$

$$\begin{aligned} \xrightarrow{\text{e.g.}} J &= \text{Im} (V_{us} V_{cb} V_{ub}^* V_{cs}^*) \\ &= s_{12} c_{13} s_{23} c_{13} s_{13} \sin \delta c_{12} c_{23} \approx A^2 \lambda^6 \eta \end{aligned} \quad (28)$$

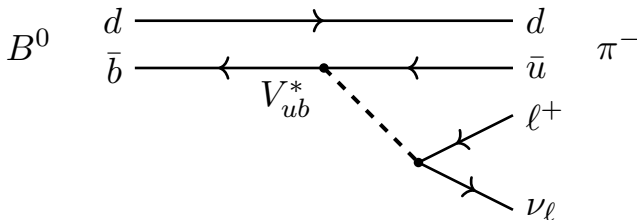
- Experimentally $J = (3.18 \pm 0.15) \times 10^{-5}$

CKM element magnitudes: $|V_{ud}|$ 

- $|V_{ud}|$ is determined most precisely in nuclear β decays
- The current world average is $|V_{ud}| = 0.97420 \pm 0.00021$

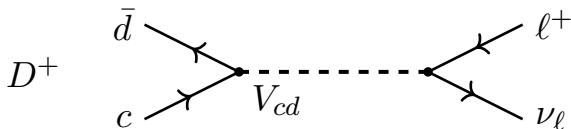
CKM element magnitudes: $|V_{us}|$ 

- $|V_{us}|$ is determined in (semi)leptonic kaon decays
- A combined average yields $|V_{us}| = 0.2243 \pm 0.0005$

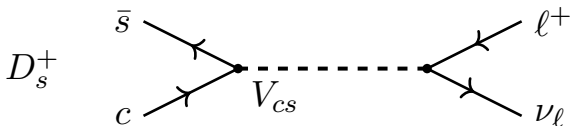
CKM element magnitudes: $|V_{ub}|$ 

- $|V_{ub}|$ can be determined in exclusive or inclusive decays of B mesons to light mesons⁶
- Some tension between inclusive/exclusive determination ($\approx 3\sigma$)
- Average yields $|V_{ub}| = (3.94 \pm 0.36) \times 10^{-3}$

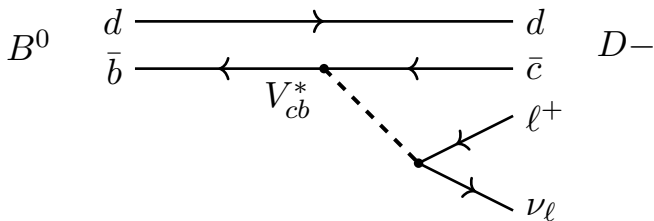
⁶Inclusive here means to include all $b \rightarrow u\ell\nu$ decays, exclusive refers to the analysis of specific decay modes like $B^0 \rightarrow \pi^- \ell^+ \nu_\ell$

CKM element magnitudes: $|V_{cd}|$ 

- $|V_{cd}|$ is determined in (semi)leptonic decays of charmed D mesons
- Current world average from direct measurements is $|V_{cd}| = 0.218 \pm 0.004$.

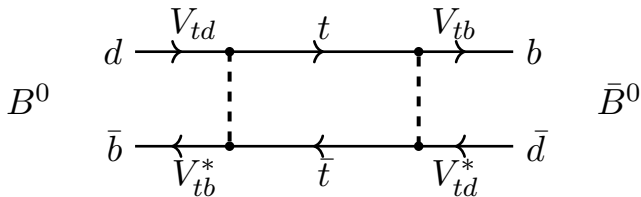
CKM element magnitudes: $|V_{cs}|$ 

- $|V_{cs}|$ is extracted from semileptonic decays of D mesons to strange mesons and leptonic decays of D_s^+ mesons
- Average yields $|V_{cs}| = 0.997 \pm 0.017$.

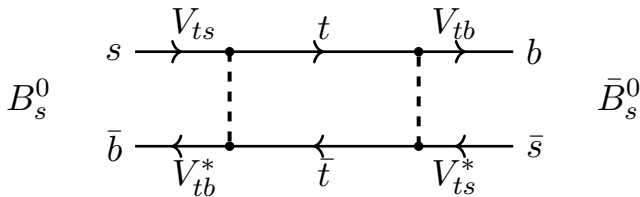
CKM element magnitudes: $|V_{cb}|$ 

- $|V_{cb}|$ can be determined using exclusive or inclusive decays of B mesons to charm mesons⁷
- Combination yields $|V_{cb}| = (42.2 \pm 0.8) \times 10^{-3}$

⁷Inclusive here means to include all $b \rightarrow c\ell\nu$ decays, whereas exclusive refers to the analysis of specific decay modes like $B^0 \rightarrow D^+\ell^-\nu_\ell$

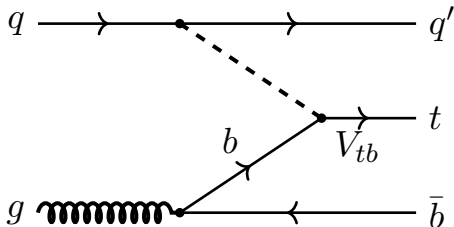
CKM element magnitudes: $|V_{td}|$ 

- $|V_{td}|$ is determined in B^0 mixing
- World average $|V_{td}| = (8.1 \pm 0.5) \times 10^{-3}$.

CKM element $|V_{ts}|$ 

- $|V_{ts}|$ is determined in B_s^0 mixing
- World average $|V_{ts}| = (39.4 \pm 2.3) \times 10^{-3}$

CKM element $|V_{tb}|$



- $|V_{tb}|$ can be determined from the single-top production cross-section
- World average $V_{tb} = 1.019 \pm 0.025$

Resulting CKM matrix from direct measurements

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.97420 \pm 0.00021 & 0.2243 \pm 0.0005 & 0.00394 \pm 0.00036 \\ 0.218 \pm 0.004 & 0.997 \pm 0.017 & 0.0422 \pm 0.0008 \\ 0.0081 \pm 0.0005 & 0.0394 \pm 0.0023 & 1.019 \pm 0.025 \end{pmatrix} \quad (29)$$

- Shows hierarchical nature of CKM elements
- Note that the CKM matrix in SM determined by only 4 parameters
 - PDG convention: 3 Euler angles, 1 complex phase
 - Wolfenstein param.: λ, A, ρ, η
- Assuming unitarity, CKM elements can be determined more precisely in a global fit (later)

Phases of CKM matrix elements I

- Information on phases of CKM elements is obtained from measuring CP violating quantities
- Will discuss these measurements in detail later, here mostly for completeness

ϵ quantifies CP violation in $K^0 \leftrightarrow \bar{K}^0$ -mixing,
i.e. $\mathcal{P}(K^0 \rightarrow \bar{K}^0) \neq \mathcal{P}(\bar{K}^0 \rightarrow K^0)$
 $|\epsilon| = (2.228 \pm 0.011) \times 10^{-3}$

ϵ' describes CP violation in decay,
i.e. $\mathcal{P}(K^0 \rightarrow f) \neq \mathcal{P}(\bar{K}^0 \rightarrow \bar{f})$
 $\text{Re}(\epsilon'/\epsilon) = (1.67 \pm 0.23) \times 10^{-3}$

angle β appears in $B^0 \leftrightarrow \bar{B}^0$ mixing
 flagship measurement of B -factories (using $B^0 \rightarrow J/\psi K_S^0$),
 discovery mode for CPV in the B sector
 world average $\sin 2\beta = 0.691 \pm 0.017$

Phases of CKM matrix elements II

angle γ can be determined in tree-level $B^\pm \rightarrow DK^\pm$ decays

$$\text{world average } \gamma = (73.5^{+4.2}_{-5.1})^\circ$$

angle β_s appears in $B_s^0 \leftrightarrow \bar{B}_s^0$ mixing

time-dependent angular analysis of $B_s^0 \rightarrow J/\psi \phi$ decays

$$\text{world average } -2\beta_s = (-0.021 \pm 0.031) \text{ rad}$$

angle α appears in $B^0 \leftrightarrow \bar{B}^0$ mixing

from time-dependent $b \rightarrow u\bar{u}d$ decays ($B \rightarrow \pi\pi$)

$$\text{world average } \alpha = (84.5^{+5.9}_{-5.2})^\circ$$

Global fits of CKM parameters

- Global fits [CKMfitter] [UTfit] of all experimental inputs allow to determine the four CKM parameters assuming CKM unitarity
- For Wolfenstein parameterisation

$$\begin{aligned} \lambda &= 0.22453 \pm 0.00044 & A &= 0.836 \pm 0.015 \\ \bar{\rho} &= 0.122^{+0.018}_{-0.017} & \bar{\eta} &= 0.355^{+0.012}_{-0.011} \end{aligned} \quad (30)$$

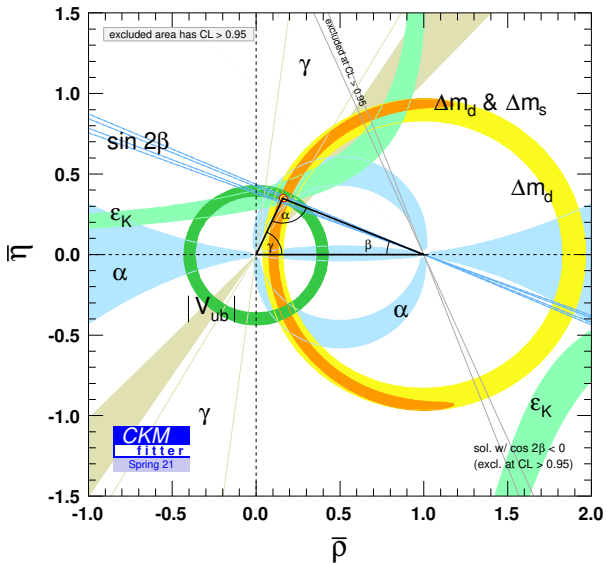
- Resulting magnitudes of the CKM matrix elements determined to be

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.97446 \pm 0.00010 & 0.22452 \pm 0.00044 & 0.00365 \pm 0.00012 \\ 0.22438 \pm 0.00044 & 0.97359^{+0.00010}_{-0.00011} & 0.04214 \pm 0.00076 \\ 0.00896^{+0.00024}_{-0.00023} & 0.04133 \pm 0.00074 & 0.999105 \pm 0.000032 \end{pmatrix} \quad (31)$$

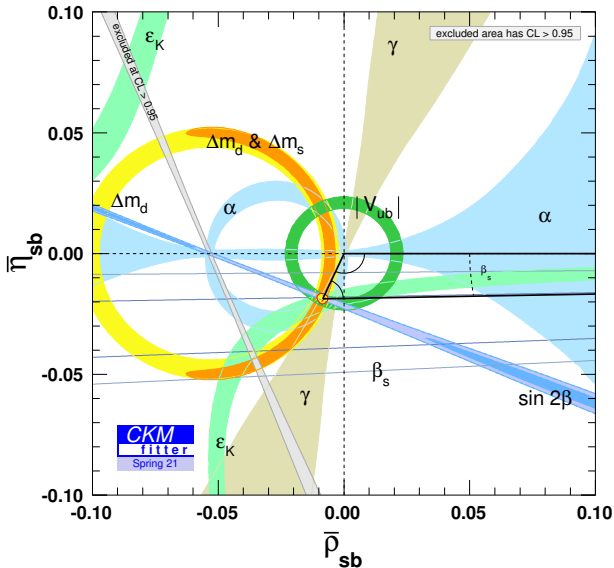
- Compare direct measurements

$$|V_{\text{CKM}}| = \begin{pmatrix} 0.97420 \pm 0.00021 & 0.2243 \pm 0.0005 & 0.00394 \pm 0.00036 \\ 0.218 \pm 0.004 & 0.997 \pm 0.017 & 0.0422 \pm 0.0008 \\ 0.0081 \pm 0.0005 & 0.0394 \pm 0.0023 & 1.019 \pm 0.025 \end{pmatrix} \quad (32)$$

Resulting CKM triangles: B^0 triangle



Resulting CKM triangles: B_s^0 triangle





Flavour Changing Neutral Currents

- Flavour Changing Neutral currents (quark which undergoes transition to a different quark of same charge) central for Flavour Physics
- Return to the kinetic term for quarks in the SM

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{kinetic}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}}$$

$$\mathcal{L}_{\text{kinetic}}^{\text{quarks}} = \sum_{i=1}^3 i\bar{q}_{Li}\not{D}_q q_{Li} + i\bar{u}_{Ri}\not{D}_u u_{Ri} + i\bar{d}_{Ri}\not{D}_d d_{Ri} \quad \text{with} \quad (33)$$

$$\begin{aligned} D_{q\mu} &= \partial_\mu + ig_s T^a G_\mu^a + ig\frac{1}{2}\tau^a W_\mu^a + ig'\frac{1}{2}Y B_\mu \\ D_{u\mu} &= \partial_\mu + ig_s T^a G_\mu^a + ig'\frac{1}{2}Y B_\mu \\ D_{d\mu} &= \partial_\mu + ig_s T^a G_\mu^a + ig'\frac{1}{2}Y B_\mu, \end{aligned} \quad (34)$$

- Neutral current interactions

$$\mathcal{L}_{Z,\gamma} = \sum_i -\bar{q}_{Li}\gamma^\mu \left(g\frac{1}{2}\tau^3 W_\mu^3 + g'\frac{1}{2}Y B_\mu \right) q_{Li} - \bar{u}_{Ri}\gamma^\mu g'\frac{1}{2}Y B_\mu u_{Ri} - \bar{d}_{Ri}\gamma^\mu g'\frac{1}{2}Y B_\mu d_{Ri} \quad (35)$$

Flavour Changing Neutral Currents II

$$\begin{aligned}
 \mathcal{L}_{Z,\gamma} &= \sum_i -\bar{q}_{Li}\gamma^\mu \left(g\frac{1}{2}\tau^3 W_\mu^3 + g'\frac{1}{2}Y B_\mu\right) q_{Li} - \bar{u}_{Ri}\gamma^\mu g'\frac{1}{2}Y B_\mu u_{Ri} - \bar{d}_{Ri}\gamma^\mu g'\frac{1}{2}Y B_\mu d_{Ri} \\
 &= \sum_i -\bar{u}_{Li}^m V_{uL}^\dagger \gamma^\mu \left(g\frac{1}{2}W_\mu^3 + g'\frac{1}{2}Y B_\mu\right) V_{uL} u_{Li}^m - \bar{d}_{Li}^m V_{dL}^\dagger \gamma^\mu \left(-g\frac{1}{2}W_\mu^3 + g'\frac{1}{2}Y B_\mu\right) V_{dL} d_{Li}^m \\
 &\quad - \bar{u}_{Ri}^m V_{uR}^\dagger \gamma^\mu g'\frac{1}{2}Y B_\mu V_{uR} u_{Ri}^m - \bar{d}_{Ri}^m V_{dR}^\dagger \gamma^\mu g'\frac{1}{2}Y B_\mu V_{dR} d_{Ri}^m
 \end{aligned}$$

- where we put in the mass eigenstates and

$$\begin{aligned}
 \mathcal{L}_{Z,\gamma} &= \sum_i -\bar{u}_{Li}^m \gamma^\mu \left(g\frac{1}{2}\sin\theta_W + g'\frac{1}{2}Y\cos\theta_W\right) A_\mu u_{Li}^m - \bar{u}_{Li}^m \gamma^\mu \left(g\frac{1}{2}\cos\theta_W - g'\frac{1}{2}Y\sin\theta_W\right) Z_\mu^0 u_{Li}^m \\
 &\quad - \bar{d}_{Li}^m \gamma^\mu \left(-g\frac{1}{2}\sin\theta_W + g'\frac{1}{2}Y\cos\theta_W\right) A_\mu d_{Li}^m - \bar{d}_{Li}^m \gamma^\mu \left(-g\frac{1}{2}\cos\theta_W - g'\frac{1}{2}Y\sin\theta_W\right) Z_\mu^0 d_{Li}^m \\
 &\quad - \bar{u}_{Ri}^m \gamma^\mu g'\frac{1}{2}Y\cos\theta_W A_\mu u_{Ri}^m + \bar{u}_{Ri}^m \gamma^\mu g'\frac{1}{2}Y\sin\theta_W Z_\mu^0 u_{Ri}^m \\
 &\quad - \bar{d}_{Ri}^m \gamma^\mu g'\frac{1}{2}Y\cos\theta_W A_\mu d_{Ri}^m + \bar{d}_{Ri}^m \gamma^\mu g'\frac{1}{2}Y\sin\theta_W Z_\mu^0 d_{Ri}^m
 \end{aligned}$$

- where we replaced B_μ, W_μ^3 by A_μ, Z_μ

$$\begin{pmatrix} A_\mu \\ Z_\mu^0 \end{pmatrix} = \begin{pmatrix} \cos\theta_W & \sin\theta_W \\ -\sin\theta_W & \cos\theta_W \end{pmatrix} \begin{pmatrix} B_\mu \\ W_\mu^3 \end{pmatrix} \rightarrow \begin{pmatrix} B_\mu \\ W_\mu^3 \end{pmatrix} = \begin{pmatrix} \cos\theta_W & -\sin\theta_W \\ \sin\theta_W & \cos\theta_W \end{pmatrix} \begin{pmatrix} A_\mu \\ Z_\mu^0 \end{pmatrix}$$

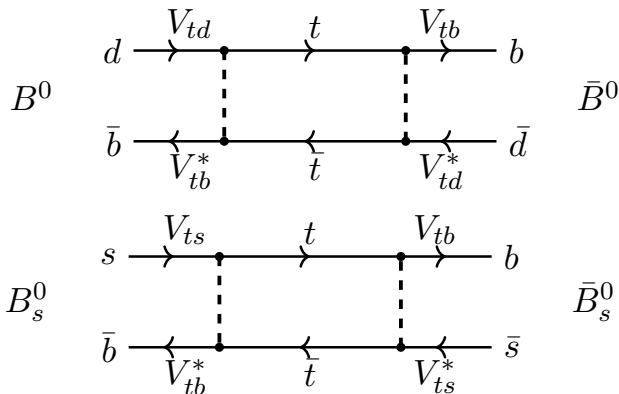
Flavour Changing Neutral Currents III

- Finally remember for Weinberg angle θ_W : $g \sin \theta_W = g' \cos \theta_W = e$

$$\begin{aligned} \mathcal{L}_{Z,\gamma} = \sum_i & - \left(+\frac{2}{3}e\right) \bar{u}_{Li}^m \gamma^\mu A_\mu u_{Li}^m - \frac{g}{\cos \theta_W} \left(+\frac{1}{2} - \frac{2}{3} \sin^2 \theta_W\right) \bar{u}_{Li}^m \gamma^\mu Z_\mu^0 u_{Li}^m \\ & - \left(-\frac{1}{3}e\right) \bar{d}_{Li}^m \gamma^\mu A_\mu d_{Li}^m - \frac{g}{\cos \theta_W} \left(-\frac{1}{2} + \frac{1}{3} \sin^2 \theta_W\right) \bar{d}_{Li}^m \gamma^\mu Z_\mu^0 d_{Li}^m \\ & - \left(+\frac{2}{3}e\right) \bar{u}_{Ri}^m \gamma^\mu A_\mu u_{Ri}^m - \frac{g}{\cos \theta_W} \left(-\frac{2}{3} \sin^2 \theta_W\right) \bar{u}_{Ri}^m \gamma^\mu Z_\mu^0 u_{Ri}^m \\ & - \left(-\frac{1}{3}e\right) \bar{d}_{Ri}^m \gamma^\mu A_\mu d_{Ri}^m - \frac{g}{\cos \theta_W} \left(+\frac{1}{3} \sin^2 \theta_W\right) \bar{d}_{Ri}^m \gamma^\mu Z_\mu^0 d_{Ri}^m \end{aligned}$$

- Interaction moderated by A_μ/Z_μ flavour diagonal
- Photon A_μ couples to el. charge
- Coupling strength of Z_μ proportional to $T_3 - q \sin^2 \theta_W$
- No Flavour Changing Neutral Currents at tree-level in the SM
→ Only allowed at loop-level
- Important example: Neutral meson mixing

Neutral Meson Mixing



- Only allowed at loop-level as FCNCs are forbidden at tree-level
- Above examples are for $B^0 \leftrightarrow \bar{B}^0$ and $B_s^0 \leftrightarrow \bar{B}_s^0$ mixing
Principle the same for $K^0 \leftrightarrow \bar{K}^0$ and $D^0 \leftrightarrow \bar{D}^0$ (details differ)
- We will derive the general case ($M^0 \leftrightarrow \bar{M}^0$ mixing)



Decay Amplitudes and Definitions

- General decay amplitudes for a meson⁸ M and CP-conjugate \bar{M} to the final state f and CP-conjugate \bar{f}

$$\begin{aligned}
 A_f &= \langle f | \mathcal{H} | M \rangle \\
 \bar{A}_f &= \langle f | \mathcal{H} | \bar{M} \rangle \\
 A_{\bar{f}} &= \langle \bar{f} | \mathcal{H} | M \rangle \\
 \bar{A}_{\bar{f}} &= \langle \bar{f} | \mathcal{H} | \bar{M} \rangle
 \end{aligned} \tag{36}$$

with interaction Hamiltonian \mathcal{H}

- For neutral mesons we define

$$\begin{aligned}
 \text{CP} | M^0 \rangle &= - | \bar{M}^0 \rangle \\
 \text{CP} | \bar{M}^0 \rangle &= - | M^0 \rangle
 \end{aligned} \tag{37}$$

where an arbitrary non-physical phase factor has been omitted.

- If the final state is a CP-eigenstate we have (η_f CP-eigenvalue)

$$\begin{aligned}
 \text{CP} | f \rangle &= \eta_f | \bar{f} \rangle \\
 \text{CP} | \bar{f} \rangle &= \eta_f | f \rangle,
 \end{aligned} \tag{38}$$

⁸charged or neutral

Phenomenological Schrödinger equation

- Time development for flavour eigenstates M^0 and \bar{M}^0 given by phenomenological Schrödinger equation

$$\begin{aligned}
 i \frac{\partial}{\partial t} \begin{pmatrix} |M^0\rangle \\ |\bar{M}^0\rangle \end{pmatrix} &= \begin{pmatrix} M - \frac{i}{2}\Gamma \\ \end{pmatrix} \begin{pmatrix} |M^0\rangle \\ |\bar{M}^0\rangle \end{pmatrix} \\
 &= \begin{pmatrix} M_{11} - \frac{i}{2}\Gamma_{11} & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{21} - \frac{i}{2}\Gamma_{21} & M_{22} - \frac{i}{2}\Gamma_{22} \end{pmatrix} \begin{pmatrix} |M^0\rangle \\ |\bar{M}^0\rangle \end{pmatrix} \quad (39)
 \end{aligned}$$

- with hermitean matrices M and Γ ($M_{21} = M_{12}^*$, $\Gamma_{21} = \Gamma_{12}^*$), off-diagonal elements responsible for mixing
- From CPT invariance we have $\Gamma_{11} = \Gamma_{22} = \Gamma$ and $M_{11} = M_{22} = M$

$$i \frac{\partial}{\partial t} \begin{pmatrix} |M^0\rangle \\ |\bar{M}^0\rangle \end{pmatrix} = \begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} \begin{pmatrix} |M^0\rangle \\ |\bar{M}^0\rangle \end{pmatrix} \quad (40)$$



Diagonalisation I

- Diagonalisation of the Hamiltonian results in mass eigenstates

$$\begin{aligned}
 |M_L\rangle &= p|M^0\rangle + q|\overline{M}^0\rangle \\
 |M_H\rangle &= p|M^0\rangle - q|\overline{M}^0\rangle
 \end{aligned}
 \tag{41}$$

with $|p|^2 + |q|^2 = 1$, M_L (M_H) light (heavy) mass eigenstate

- Mass eigenstates develop in time according to

$$\begin{aligned}
 |M_L(t)\rangle &= e^{-im_L t} e^{-\frac{\Gamma_L}{2}t} |M_L\rangle \\
 |M_H(t)\rangle &= e^{-im_H t} e^{-\frac{\Gamma_H}{2}t} |M_H\rangle
 \end{aligned}
 \tag{42}$$

- With Eq. 41 eigenvectors of Hamiltonian are $(p, q)^T$ and $(p, -q)^T$

Diagonalisation II

- Hamiltonian can be diagonalised by matrix V with eigenvectors as columns, *i.e.*

$$\begin{pmatrix} m_L - \frac{i}{2}\Gamma_L & 0 \\ 0 & m_H - \frac{i}{2}\Gamma_H \end{pmatrix} = V^{-1} \begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} V \quad (43)$$

$$V = \begin{pmatrix} p & p \\ q & -q \end{pmatrix} \quad \text{and} \quad V^{-1} = -\frac{1}{2pq} \begin{pmatrix} -q & -p \\ -q & p \end{pmatrix} = \frac{1}{2pq} \begin{pmatrix} q & p \\ q & -p \end{pmatrix}$$

- The time development for M^0 and \bar{M}^0 is given by⁹

$$\begin{aligned} |M^0(t)\rangle &= \frac{1}{2p} [|M_L(t)\rangle + |M_H(t)\rangle] \\ |\bar{M}^0(t)\rangle &= \frac{1}{2q} [|M_L(t)\rangle - |M_H(t)\rangle]. \end{aligned} \quad (44)$$

⁹From Ansatz Eq. 41

Time development for M^0 and \overline{M}^0

- Inserting the time-development of $|M_L\rangle$ and $|M_H\rangle$ we find

$$\begin{aligned}
 |M^0(t)\rangle &= \frac{1}{2p} [|M_L(t)\rangle + |M_H(t)\rangle] \\
 &= \frac{1}{2} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} + e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) |M^0\rangle + \frac{q}{2p} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} - e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) |\overline{M}^0\rangle \\
 &= g_+(t) |M^0\rangle + \frac{q}{p} g_-(t) |\overline{M}^0\rangle \\
 |\overline{M}^0(t)\rangle &= \frac{1}{2q} [|M_L(t)\rangle - |M_H(t)\rangle] \\
 &= \frac{p}{2q} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} - e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) |M^0\rangle + \frac{1}{2} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} + e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) |\overline{M}^0\rangle \\
 &= \frac{p}{q} g_-(t) |M^0\rangle + g_+(t) |\overline{M}^0\rangle \tag{45}
 \end{aligned}$$

with

$$g_{\pm}(t) = \frac{1}{2} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} \pm e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) \tag{46}$$

Time development for M^0 and \bar{M}^0 II

- The following expressions are useful for transition probabilities:

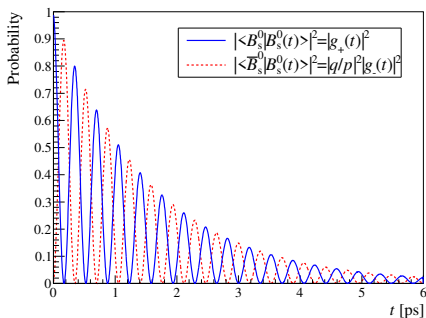
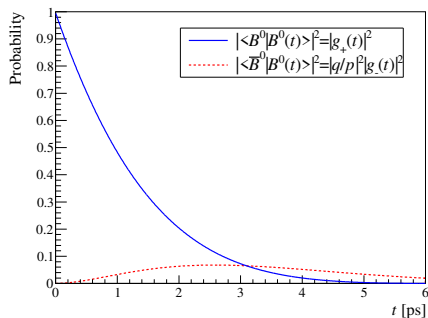
$$\begin{aligned}
 g_{\pm}(t) &= \frac{1}{2} \left(e^{-im_L t} e^{-\frac{\Gamma_L}{2} t} \pm e^{-im_H t} e^{-\frac{\Gamma_H}{2} t} \right) \\
 |g_{\pm}(t)|^2 &= \frac{1}{2} e^{-\Gamma t} \left(+ \cosh \frac{\Delta\Gamma}{2} t \pm \cos \Delta m t \right) \\
 g_+(t)g_-^*(t) &= \frac{1}{2} e^{-\Gamma t} \left(- \sinh \frac{\Delta\Gamma}{2} t - i \sin \Delta m t \right), \quad (47)
 \end{aligned}$$

where we used the definitions

$$\begin{aligned}
 \Gamma &= \frac{\Gamma_L + \Gamma_H}{2} & \Delta\Gamma &= \Gamma_L - \Gamma_H \\
 M &= \frac{m_L + m_H}{2} & \Delta m &= m_H - m_L. \quad (48)
 \end{aligned}$$

- We then have for the transition probabilities

$$\begin{aligned}
 |\langle M^0 | M^0(t) \rangle|^2 &= |g_+(t) \langle M^0 | M^0 \rangle + \frac{q}{p} g_-(t) \langle M^0 | \bar{M}^0 \rangle|^2 = |g_+(t)|^2 \\
 |\langle \bar{M}^0 | M^0(t) \rangle|^2 &= |g_+(t) \langle \bar{M}^0 | M^0 \rangle + \frac{q}{p} g_-(t) \langle \bar{M}^0 | \bar{M}^0 \rangle|^2 = \left| \frac{q}{p} \right|^2 |g_-(t)|^2
 \end{aligned}$$

Resulting transition probabilities in the B system

- Using the experimental world averages

$$\tau(B^0) = 1.52 \text{ ps}, \Delta\Gamma_d = 0, \Delta m_d = 0.5064 \text{ ps}^{-1} \text{ and}$$

$$\tau(B_s^0) = 1.527 \text{ ps}, \Delta\Gamma_s/\Gamma_s = 0.132, \Delta m_s = 17.757 \text{ ps}^{-1}$$

Solving Diagonalisation problem

- Diagonalisation problem (Eq. 43)

$$\begin{pmatrix} m_L - \frac{i}{2}\Gamma_L & 0 \\ 0 & m_H - \frac{i}{2}\Gamma_H \end{pmatrix} = V^{-1} \begin{pmatrix} M - \frac{i}{2}\Gamma & M_{12} - \frac{i}{2}\Gamma_{12} \\ M_{12}^* - \frac{i}{2}\Gamma_{12}^* & M - \frac{i}{2}\Gamma \end{pmatrix} V$$

- Solving explicitly yields

$$\frac{q}{p} = -\sqrt{\frac{M_{12}^* - \frac{i}{2}\Gamma_{12}^*}{M_{12} - \frac{i}{2}\Gamma_{12}}} \quad (49)$$

$$\begin{aligned} m_{L(H)} - \frac{i}{2}\Gamma_{L(H)} &= M - \frac{i}{2}\Gamma \mp \sqrt{(M_{12} - \frac{i}{2}\Gamma_{12})(M_{12}^* - \frac{i}{2}\Gamma_{12}^*)} \\ &= M - \frac{i}{2}\Gamma \mp \sqrt{|M_{12}|^2 - \frac{1}{4}|\Gamma_{12}|^2 - i|M_{12}||\Gamma_{12}|\cos(\phi_\Gamma - \phi_M)} \end{aligned} \quad (50)$$

where $\phi_\Gamma = \arg(\Gamma_{12})$ and $\phi_M = \arg(M_{12})$

- Rewriting Eq. 50 in terms of Δm and $\Delta\Gamma$, squaring and taking Re/Im:

$$\Delta m^2 - \frac{1}{4}\Delta\Gamma^2 = +4|M_{12}|^2 - |\Gamma_{12}|^2 \quad (51)$$

$$\Delta m\Delta\Gamma = -4|M_{12}||\Gamma_{12}|\cos(\phi_\Gamma - \phi_M). \quad (52)$$

Approximations in the B system

- In the B system we have experimentally $\Gamma_{12} \ll M_{12}$ and we can expand in $|\Gamma_{12}|/|M_{12}|$

$$\Delta m \approx 2|M_{12}| \quad \text{and} \quad \Delta\Gamma \approx -2|\Gamma_{12}| \cos(\phi_\Gamma - \phi_M) \quad (53)$$

- Also q/p (Eq. 49) can be expanded in $|\Gamma_{12}|/|M_{12}|$

$$\begin{aligned} \frac{q}{p} &= - \sqrt{\frac{M_{12}^* \left(1 - \frac{i}{2} \frac{|\Gamma_{12}|}{|M_{12}|} e^{-i(\phi_\Gamma - \phi_M)}\right)}{M_{12} \left(1 - \frac{i}{2} \frac{|\Gamma_{12}|}{|M_{12}|} e^{+i(\phi_\Gamma - \phi_M)}\right)}} \\ &= -e^{-i\phi_M} \left[1 - \frac{1}{2} \sin(\phi_\Gamma - \phi_M) \frac{|\Gamma_{12}|}{|M_{12}|} + \mathcal{O}\left(\frac{|\Gamma_{12}|^2}{|M_{12}|^2}\right) \right] \\ &\approx -e^{-i\phi_M}. \end{aligned} \quad (54)$$

i.e. $|q/p| = 1$ and q/p only determined by mixing phase ϕ_M

Time dependent decay rates I

- We can now write down time-dependent decay rates of (produced) M^0 and \bar{M}^0 to final states f and \bar{f} , accounting for $M^0 \leftrightarrow \bar{M}^0$ mixing
- Before we do we define a central quantity for CP-violation

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f}. \quad (55)$$

- We then have for the decay rate of the process $M^0 \rightarrow f$

$$\begin{aligned} \frac{d\Gamma(M^0 \rightarrow f)}{dt\mathcal{N}_f} &= |\langle f|M^0(t)\rangle|^2 = \left| g_+(t)\langle f|M^0\rangle + \frac{q}{p}g_-(t)\langle f|\bar{M}^0\rangle \right|^2 \\ &= \left(g_+(t)A_f + \frac{q}{p}g_-(t)\bar{A}_f \right) \left(g_+(t)A_f + \frac{q}{p}g_-(t)\bar{A}_f \right)^* \\ &= |A_f|^2 \left[|g_+(t)|^2 + |\lambda_f|^2|g_-(t)|^2 + \lambda_f^*g_+(t)g_-^*(t) + \lambda_f g_+^*(t)g_-(t) \right] \\ &= \frac{1}{2}|A_f|^2 e^{-\Gamma t} \left[(1 + |\lambda_f|^2) \cosh\left(\frac{\Delta\Gamma}{2}t\right) + (1 - |\lambda_f|^2) \cos(\Delta mt) \right. \\ &\quad \left. - 2 \sinh\left(\frac{\Delta\Gamma}{2}t\right) \operatorname{Re}\lambda_f - 2 \sin(\Delta mt) \operatorname{Im}\lambda_f \right] \end{aligned} \quad (56)$$



Time dependent decay rates II

- And for the decay of a produced $\overline{M}^0 \rightarrow f$

$$\begin{aligned}
 \frac{d\Gamma(\overline{M}^0 \rightarrow f)}{dt\mathcal{N}_f} &= \left| \langle f | \overline{M}^0(t) \rangle \right|^2 = \left| \frac{p}{q} g_-(t) \langle f | M^0 \rangle + g_+(t) \langle f | \overline{M}^0 \rangle \right|^2 \\
 &= |A_f|^2 \left| \frac{p}{q} \right|^2 \left[|g_-(t)|^2 + |\lambda_f|^2 |g_+(t)|^2 + \lambda_f^* g_+^*(t) g_-(t) + \lambda_f g_+(t) g_-^*(t) \right] \\
 &= \frac{1}{2} |A_f|^2 \left| \frac{p}{q} \right|^2 e^{-\Gamma t} \left[(1 + |\lambda_f|^2) \cosh\left(\frac{\Delta\Gamma}{2}t\right) - (1 - |\lambda_f|^2) \cos(\Delta m t) \right. \\
 &\quad \left. - 2 \sinh\left(\frac{\Delta\Gamma}{2}t\right) \operatorname{Re}\lambda_f + 2 \sin(\Delta m t) \operatorname{Im}\lambda_f \right]. \tag{57}
 \end{aligned}$$

- For the decays to the CP-conjugated final state \bar{f} replace $A_f \rightarrow A_{\bar{f}}$, $\bar{A}_f \rightarrow \bar{A}_{\bar{f}}$ and $\lambda_f \rightarrow \lambda_{\bar{f}} = \frac{q}{p} \bar{A}_{\bar{f}}/A_{\bar{f}}$

Prerequisites for CP violation I

- CP violation can only be observed if there are two amplitudes interfering with different *strong* and *weak* phases
- *weak phases* are phases caused by complex CKM matrix elements, which are complex-conjugated under CP
- *strong phases* are phases that do not change sign under CP (QCD or simple time evolution)
- For a process with two contributing amplitudes a_1 and a_2

$$\begin{aligned}A_f &= |a_1|e^{i(\delta_1+\phi_1)} + |a_2|e^{i(\delta_2+\phi_2)} \\ \bar{A}_{\bar{f}} &= |a_1|e^{i(\delta_1-\phi_1)} + |a_2|e^{i(\delta_2-\phi_2)}.\end{aligned}\tag{58}$$

- Physically observable: squares of amplitudes

Prerequisites for CP violation II

- Squaring amplitudes results in

$$\begin{aligned}
 |A_f|^2 &= \left(|a_1|e^{i(\delta_1+\phi_1)} + |a_2|e^{i(\delta_2+\phi_2)} \right) \left(|a_1|e^{-i(\delta_1+\phi_1)} + |a_2|e^{-i(\delta_2+\phi_2)} \right) \\
 &= |a_1|^2 + |a_2|^2 + |a_1||a_2|e^{+i(+\delta_1-\delta_2+\phi_1-\phi_2)} + |a_1||a_2|e^{-i(+\delta_1-\delta_2+\phi_1-\phi_2)} \\
 &= |a_1|^2 + |a_2|^2 + 2|a_1||a_2| \cos(\Delta\delta + \Delta\phi) \tag{59}
 \end{aligned}$$

$$\begin{aligned}
 |\bar{A}_{\bar{f}}|^2 &= \left(|a_1|e^{i(\delta_1-\phi_1)} + |a_2|e^{i(\delta_2-\phi_2)} \right) \left(|a_1|e^{-i(\delta_1-\phi_1)} + |a_2|e^{-i(\delta_2-\phi_2)} \right) \\
 &= |a_1|^2 + |a_2|^2 + |a_1||a_2|e^{+i(+\delta_1-\delta_2-\phi_1+\phi_2)} + |a_1||a_2|e^{-i(+\delta_1-\delta_2-\phi_1+\phi_2)} \\
 &= |a_1|^2 + |a_2|^2 + 2|a_1||a_2| \cos(\Delta\delta - \Delta\phi), \tag{60}
 \end{aligned}$$

- with the phase differences $\Delta\delta = \delta_1 - \delta_2$ and $\Delta\phi = \phi_1 - \phi_2$
- $|A_f|^2 \neq |\bar{A}_{\bar{f}}|^2$ if $\Delta\delta \neq 0$ and $\Delta\phi \neq 0$



Types of CP violation

- When studying decays of neutral mesons, mixing amplitudes and decay amplitudes can give rise to CP-violating effects
- This gives rise to three types of CP violation:
 - 1 CP violation in decay
 - 2 CP violation in mixing
 - 3 CP violation in interference between mixing and decay

1. CP violation in decay

- CPV in decay occurs when $|\bar{A}_{\bar{f}}/A_f| \neq 1$,
i.e. the amplitudes for the process $M \rightarrow f$
and its CP conjugate $\bar{M} \rightarrow \bar{f}$ differ
- CP violation then manifests itself as asymmetry

$$\begin{aligned}
 \mathcal{A}_{\text{CP}}^{\text{dir}} &= \frac{\Gamma(M^- \rightarrow f^-) - \Gamma(M^+ \rightarrow f^+)}{\Gamma(M^- \rightarrow f^-) + \Gamma(M^+ \rightarrow f^+)} \\
 &= \frac{|\bar{A}_{\bar{f}}|^2 - |A_f|^2}{|\bar{A}_{\bar{f}}|^2 + |A_f|^2} = \frac{|\bar{A}_{\bar{f}}/A_f|^2 - 1}{|\bar{A}_{\bar{f}}/A_f|^2 + 1}.
 \end{aligned} \tag{61}$$

- This type of CP violation is also called *direct* CP violation.
- The strong phase contributing is due to rescattering
- Only type of CP violation possible for charged meson decays

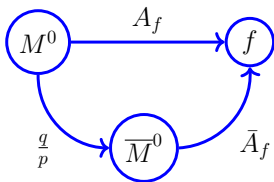
2. CP violation in mixing

- CP violation in mixing occurs when $|q/p| \neq 1$
- In this case $\mathcal{P}(M^0 \rightarrow \bar{M}^0) \neq \mathcal{P}(\bar{M}^0 \rightarrow M^0)$.
- The resulting asymmetry assuming no direct CP violation, *i.e.* $A_f = \bar{A}_{\bar{f}}$ and $A_{\bar{f}} = \bar{A}_f = 0$, is given by

$$\begin{aligned}
 \mathcal{A}_{\text{CP}}^{\text{mix}} &= \frac{\Gamma(\bar{M}^0 \rightarrow f) - \Gamma(M^0 \rightarrow \bar{f})}{\Gamma(\bar{M}^0 \rightarrow f) + \Gamma(M^0 \rightarrow \bar{f})} \\
 &= \frac{\left| \frac{p}{q} g_-(t) A_f \right|^2 - \left| \frac{q}{p} g_-(t) \bar{A}_{\bar{f}} \right|^2}{\left| \frac{p}{q} g_-(t) A_f \right|^2 + \left| \frac{q}{p} g_-(t) \bar{A}_{\bar{f}} \right|^2} = \frac{1 - \left| \frac{q}{p} \right|^4}{1 + \left| \frac{q}{p} \right|^4}. \quad (62)
 \end{aligned}$$

- Here, the strong phase is due to the time evolution of the oscillation ($\exp(iEt)$).

3. CPV in interference between mixing and decay



- Can occur when the direct decay $M^0 \rightarrow f$ interferes with mixing from M^0 to \bar{M}^0 followed by the decay $\bar{M}^0 \rightarrow f$
- If λ_f (Eq. 56 and 57) has a non-trivial phase, *i.e.* $\text{Im}(\lambda_f) = \text{Im}(q/p \bar{A}_f/A_f) \neq 0$, this gives rise to this type of CPV

$$\begin{aligned}
 \mathcal{A}_{\text{CP}}(t) &= \frac{\Gamma(\bar{M}^0 \rightarrow f)(t) - \Gamma(M^0 \rightarrow f)(t)}{\Gamma(\bar{M}^0 \rightarrow f)(t) + \Gamma(M^0 \rightarrow f)(t)} \\
 &= \frac{-(1 - |\lambda_f|^2) \cos(\Delta m t) + 2 \sin(\Delta m t) \text{Im} \lambda_f}{(1 + |\lambda_f|^2) \cosh(\frac{\Delta \Gamma}{2} t) - 2 \sinh(\frac{\Delta \Gamma}{2} t) \text{Re} \lambda_f}. \quad (63)
 \end{aligned}$$

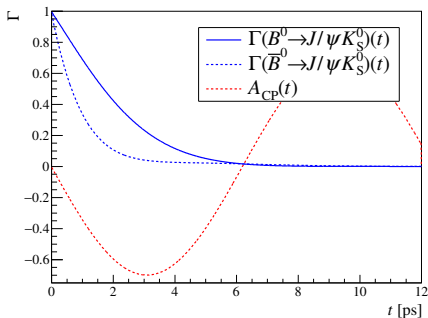
- Strong phase is due to the time evolution of the oscillation.

CPV in interference between mixing and decay (example)

- For $\Delta\Gamma = 0$ and $|\lambda_f| = 1$ (B^0 system) the asymmetry simplifies to

$$\mathcal{A}_{\text{CP}}(t) = \sin(\Delta mt) \text{Im}\lambda_f. \quad (64)$$

- Example: time-dependent CP-asymmetry in the decay $B^0 \rightarrow J/\psi K_S^0$, where $\Delta\Gamma_d = 0$ and $\text{Im}(\lambda_f) = -\sin(2\beta_d)$.



B-mixing and the CKM triangle I

- Δm_d and Δm_s constrain unitarity triangle (UT)

$$\Delta m_d = \frac{G_F^2}{6\pi^2} M_W^2 \eta_B M_{B_d} f_{B_d}^2 \hat{B}_{B_d} (V_{tb} V_{td}^*)^2 S(x_t) \quad (65)$$

$$\Delta m_s = \frac{G_F^2}{6\pi^2} M_W^2 \eta_B M_{B_s} f_{B_s}^2 \hat{B}_{B_s} (V_{tb} V_{ts}^*)^2 S(x_t), \quad (66)$$

- Δm_d and Δm_s theory dominated, dominant uncertainty from decay constant and bag factor:

$$f_{B_d} \sqrt{\hat{B}_{B_d}} = (225 \pm 9) \text{ MeV} \quad f_{B_s} \sqrt{\hat{B}_{B_s}} = (274 \pm 8) \text{ MeV} \quad (67)$$

$$\xi = \frac{f_{B_s} \sqrt{\hat{B}_{B_s}}}{f_{B_d} \sqrt{\hat{B}_{B_d}}} = 1.206 \pm 0.017 \quad (68)$$

- $B_{(s)}^0$ mixing measurements used to determine length of right leg of UT

$$R_t = \left| \frac{V_{td} V_{tb}^*}{V_{cd} V_{cb}^*} \right| = \frac{1}{\lambda} \frac{|V_{td}|}{|V_{cb}|} \quad (69)$$

B-mixing and the CKM triangle II

- From Δm_d can determine $|V_{td}|$ and thus R_t , remaining dependency on $|V_{cb}|$ and uncertainty from $f_{B_d}\sqrt{B_{B_d}}$ is significant.
- Instead, we can use both Δm_d and Δm_s according to

$$R_t = \left| \frac{V_{td}V_{tb}^*}{V_{cd}V_{cb}^*} \right| = \left| \frac{V_{td}}{V_{ts}} \right| \left| \frac{V_{ts}V_{tb}^*}{V_{cd}V_{cb}^*} \right| = \left| \frac{V_{td}}{V_{ts}} \right| \frac{1}{\lambda} \frac{|V_{ts}|}{|V_{cb}|}$$

$$\approx \frac{1}{\lambda} \left| \frac{V_{td}}{V_{ts}} \right| \quad \text{using} \quad (70)$$

$$\left| \frac{V_{ts}}{V_{cb}} \right| = \frac{|-A\lambda^2 + \frac{1}{2}A\lambda^4(1 - 2(\rho + i\eta))|}{|A\lambda^2|}$$

$$= |-1 + \frac{1}{2}\lambda^2(1 - 2(\rho + i\eta))| \approx 1. \quad (71)$$

- Due to the reduced theory uncertainty on $|V_{td}/V_{ts}|$ and no dependency on $|V_{cb}|$, this results in a more precise determination of R_t