# Implications of SMEFT for semileptonic processes

Based on : arXiv:2404.10061

In collaboration with Prof. Amol Dighe, and Dr. Rick S. Gupta.

PPC 2024, IIT Hyderabad

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# Motivation:

Standard Model Effective Field Theory (SMEFT) :

$$
\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{SM} + \frac{1}{\Lambda} C^{(5)} O^{(5)} + \frac{1}{\Lambda^2} \sum_{i} C_i^{(6)} O_i^{(6)} + \mathcal{O}\left(\frac{1}{\Lambda^3}\right).
$$

- Includes SM fields only.
- Follows  $SU(3)_C \times SU(2)_L \times U(1)_Y$ .
- **•** Electroweak (EW) symmetry linearly realized.

X  $U(1)_Y$ .<br>
y linearly realized.<br>
upling measurements can allow more generally realized.<br>
d in a  $SU(2)_L$ -doublet:  $\longrightarrow$  More generally realized.<br>
2 07 (2012) 101]<br>
P 10 (2019) 255] Current uncertainties in Higgs coupling measurements can allow more generalized EFTs e.g. Higgs Effective Field Therory (HEFT). In HEFT:

- $SU(2)_L \times U(1)_Y$  non-linearly realized.
- $\bullet$  Higgs boson is not embedded in a  $SU(2)_L$ -doublet:  $\longrightarrow$  More general coupling of Higgs.
- $\bullet$  HEFT  $\supset$  SMEFT  $\supset$  SM

*[G. Buchalla and O. Cata, JHEP 07 (2012) 101] [A. Falkowski, R. Rattazzi, JHEP 10 (2019) 255]*

- In the energy scale much below the EW symmetry breaking, the relevant EFT is Low Energy Effective Field Theory (LEFT)
- $\bullet$  LEFT can be derived from HEFT by integrating out the heavier particles  $W^{\pm}$ ,  $Z$ , Higgs and top quark.

# HEFT, SMEFT and LEFT



- $\bullet$  More number of operator in HEFT/LEFT than in SMEFT  $\implies$  relations among HEFT/LEFT WCs
- Relations among HEFT/LEFT WCs  $\implies$  indirect bounds
- $\bullet$  Violation of these relations  $\implies$  physics beyond SMEFT

among LEFT/HEFT Wilson coefficients<br>
Son LEFT Wilson coefficients<br>
Notice and the physics signals.<br>
The physics signals.  $\bullet$  SMEFT-predicted relations among LEFT/HEFT Wilson coefficients

• SMEFT-predicted constraints on LEFT Wilson coefficients

• SMEFT-predicted hints of possible new physics signals.

An example derivation of relations among *U*(1)*em* invariant operators:





$$
C_{lq}^{(1)\alpha\beta ij}O_{lq}^{(1)\alpha\beta ij}
$$
  
= 
$$
C_{lq}^{(1)\alpha\beta ij}(\bar{l}^{\alpha}\gamma_{\mu}l^{\beta})(\bar{u}_{L}^{i}\gamma^{\mu}u_{L}^{j} + \bar{d}_{L}^{i}\gamma^{\mu}d_{L}^{j})
$$

Matching among SMEFT and HEFT:

 $[\mathbf{c}_{\nu uLL}^V]^{\alpha\beta ij} = (\frac{[\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} + [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}}{\mathcal{C}_{\ell q}}), \quad [\mathbf{c}_{\epsilon uLL}^V]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} - [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}),$  $[c_{\nu dLL}^V]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} - [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}) , \quad [c_{\nu dLL}^V]^{\alpha\beta ij} = ([\mathcal{C}_{\ell q}^{(1)}]^{\alpha\beta ij} + [\mathcal{C}_{\ell q}^{(3)}]^{\alpha\beta ij}) ,$  $[c_{LL}^{V}]^{\alpha\beta ij} = 2 [C_{\ell q}^{(3)}]^{\alpha\beta ij}$ . *[J. Aebischer, A. Crivellin, M. Fael and C. Greub, JHEP 05 (2016) 037] [E.E. Jenkins, A.V. Manohar and P. Stoffer, JHEP 03 (2018) 016]* 

$$
uLi \rightarrow SLuj uLj , \t uRi \rightarrow SRuk uRj ,\ndLi \rightarrow SLdij dLj , \t dRi \rightarrow SRdk uRj ,\t VCKM = (SLu)\dagger SLd .
$$

Resulting relations among HEFT/LEFT *LLLL* Wilson Coefficients



*[S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061]*

- These relations are independent of any assumptions for the flavor structure in NP.
- We derive 17 classes of such relations (2223 relations with explicit flavor indices).
- In the scenario when SMEFT only contains four-fermionic operators i.e. the 'UV4f' scenario, the above relations will be applicable for WCs in LEFT as well.

$$
uLi \to SLuijuLj , \t uRi \to SRuijuRj ,\ndLi \to SLdijdLj , \t dRi \to SRdijdRj ,\t VCKM = (SLu)\daggerSLd .
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$$
V_{ik}^\dagger \, [\hat{\mathbf{c}}_{euLL}^V]^{22kl} \, V_{\ell j} = [\hat{\mathbf{c}}_{\nu dLL}^V]^{22ij}
$$

• Six WCs on each sides, 3 complex and 3 real, total 18 parameters.

We take the 9 whose direct bounds are the best and find indirect bounds for the others.

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\nthe 9 whose direct bounds are the best and find indirect bounds for the other  
\n
$$
Y_1 = a_1X_1 + b_1X_2 + c_1X_3 + d_1X_4 + e_1X_5 + f_1X_6 + g_1X_7 + h_1X_8 + i_1X_9
$$
  
\n $Y_2 = a_2X_1 + b_2X_2 + c_2X_3 + d_2X_4 + e_2X_5 + f_2X_6 + g_2X_7 + h_2X_8 + i_2X_9$   
\n $Y_3 = a_3X_1 + b_3X_2 + c_3X_3 + d_3X_4 + e_3X_5 + f_3X_6 + g_3X_7 + h_3X_8 + i_3X_9$   
\n $Y_4 = a_4X_1 + b_4X_2 + c_4X_3 + d_4X_4 + e_4X_5 + f_4X_6 + g_4X_7 + h_4X_8 + i_4X_9$   
\n $Y_5 = a_5X_1 + b_5X_2 + c_5X_3 + d_5X_4 + e_5X_5 + f_5X_6 + g_5X_7 + h_5X_8 + i_5X_9$   
\n $Y_6 = a_6X_1 + b_6X_2 + c_6X_3 + d_6X_4 + e_6X_5 + f_6X_6 + g_6X_7 + h_6X_8 + i_6X_9$   
\n $Y_7 = a_7X_1 + b_7X_2 + c_7X_3 + d_7X_4 + e_7X_5 + f_7X_6 + g_7X_7 + h_7X_8 + i_7X_9$   
\n $Y_8 = a_8X_1 + b_8X_2 + c_8X_3 + d_8X_4 + e_8X_5 + f_8X_6 + g_8X_7 + h_8X_8 + i_8X_9$   
\n $Y_9 = a_9X_1 + b_9X_2 + c_9X_3 + d_9X_4 + e_9X_5 + f_9X_6 + g_9X_7 + h_9X_8 + i_9X_9$   
\nbe best direct bounds are there for the following WCs

In this case the best direct bounds are there for the following WCs

$$
\mathbf{R} \rightarrow \mathbf{R} \math
$$





# ${\sf SMEFT}$  predictions: Indirect bounds on  $(\bar{\mu}\gamma^\sigma\mu)(\bar{u}\gamma_\sigma u)$ ,  $(\bar{\nu}\gamma^\sigma\nu)(\bar{d}\gamma_\sigma d)$



# SMEFT predictions: Indirect bounds on  $(\bar{\nu}\gamma^{\sigma}\nu)(\bar{u}\gamma_{\sigma}u)$



# SMEFT predictions: Indirect bounds on  $(\bar{\mu}\gamma^{\sigma}\nu)(\bar{u}\gamma_{\sigma}d)$



Indirect bounds on  $C_{LL}^{\times}$ 

- The indirect bounds are derived from leading order matching at dimension 6
- The relations and hence the indirect bounds will get modified when
	- RG running and one loop matching are included,
	- large contributions from dimension 8 operators are considered.
- The indirect bounds do not depend on any NP flavour assumption.
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etting the indirect bounds can be iterate • In principle the method of getting the indirect bounds can be iterated to get stronger constraints. Here we presented the bounds at the leading order only.

## Observed excess in  $B \to K \nu \nu$ :



$$
[C_{LL}^V]^{\alpha\beta i3} = V_{i2} ([C_{edLL}^V]^{\alpha\beta 23} - [C_{\nu dLL}^V]^{\alpha\beta 23}) V_{3j}^{\dagger}.
$$

 $\Rightarrow$  Possible excess in  $b \rightarrow c \ell \nu$ ,  $b \rightarrow u \ell \nu$ 

*[R. Bause, H. Gisbert, and G. Hiller, PhysRevD.109.015006] [S. Bhattacharya, S. Jahedi, S. Nandi and A. Sarkar, arXiv:2312.14872] [S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061]*

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 ${\bf R}({\bf D}^{(*)})$  annomalies:



$$
[C_{LL}^V]^{3323} = V_{cd} \left[ [C_{edLL}^V]^{3313} - [C_{\nu dLL}^V]^{3313} \right] + V_{cs} \left[ [C_{edLL}^V]^{3323} - [C_{\nu dLL}^V]^{3323} \right] + V_{cs} \left[ [C_{edLL}^V]^{3333} - [C_{\nu dLL}^V]^{3333} \right]
$$

- Possible NP in  $b \to d\tau\tau$ ,  $b \to s\tau\tau$ ,  $b \to d\nu\nu$  and  $b \to s\nu\nu$
- These possible NP effects can manifest in  $B \to \tau\tau$ ,  $B_s \to \tau\tau$ ,  $B \to K^{(*)}\tau\tau$ ,  $B \to K^{(*)}\nu\nu$  etc.

*[R. Alonso, B. Grinstein and J. Martin Camalich, JHEP10(2015)184]*

*[A. Crivellin, D. M¨uller and T. Ota, JHEP09(2017)040]*

*[A. Greljo, J. Salko, A. Smolkovic and P. Stangl, JHEP 11 (2023) 023]*

*[S. Karmakar, A. Dighe, R. S. Gupta, arXiv:2404.10061]*

SMEFT predictions for correlated LEFT WCs were explored earlier in:

*- [R. Bause, H. Gisbert, M. Golz and G. Hiller, Eur.Phys.J.C 82(2022)164]*

*- [J. Fuentes-Martin, A. Greljo, J. Martin Camalich and J.D. Ruiz-Alvarez, JHEP 11 (2020) 080]*

*- [A. Greljo, J. Salko, A. Smolkoviˇc and P. Stangl, JHEP 05 (2023) 087]*

*- [A. Greljo, J. Salko, A. Smolkovic and P. Stangl, JHEP 11 (2023) 023]*

*- And others ...*

Our focus for this analysis:

- Classification of the correlations in LEFT space of WCs.
- LEFT WCs were explored earlier in:<br>
and G. Hiller, Eur.Phys.J.C 82(2022)16<br>
Martin Camalich and J.D. Ruiz-Alvare.<br>
ič and P. Stangl, JHEP 05 (2023) 087]<br>
ic and P. Stangl, JHEP 11 (2023) 023]<br>
ic and P. Stangl, JHEP 11 (20 Exploration of relations among all semileptonic LEFT WCs in a systematic manner. (Connecting *B*, *D*, *K* semileptonic decays, high- $p<sub>T</sub>$  dilepton and single-lepton searches, neutrino oscillations, top decays etc.)
- Full CKM expansion is considered.
- Relations and indirect bounds on WCs are calculated independent of any UV flavor assumption.
- The relations are based on leading order matching to SMEFT.
- Effects from dimension-8 and higher will break the relations.
- One-loop matching and RG running effects will modify the relations.
- So, any signal showing deviations from the mentioned relations may not necessarily mean signal beyond SMEFT.
- eading order matching to SMEFT.<br>
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unting is required to consider the effect A more systematic power-counting is required to consider the effects of small CKM elements and weaker direct bounds.

# Summary and outlook

- We find 17 classes (2223 with generation indices) of relations among LEFT WCs based on the  $SU(2)_L \times U(1)_Y$  invariance of SMEFT.
- Based on these relations, we find indirect bounds on WCs which are in some cases weakly constrained in direct experiments.
- The relations and the indirect bounds do not depend on any NP flavour assumption.
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y di-neutrino operators e.g.  $(\bar{\nu}\gamma_{\mu}\nu)(\bar{d}\gamma_{\mu})$ <br>
better compared to the direct available<br>  $B \rightarrow K \nu \nu$ , we expect enhanced branch<br> Our indirect bounds on many di-neutrino operators e.g.  $(\bar{\nu}\gamma_\mu\nu)(\bar{d}\gamma_\mu d)$ ,  $(\bar{\nu}\gamma_\mu\nu)(\bar{u}\gamma_\mu u)$ ,  $(\bar{\nu}\gamma_{\mu}\nu)(\bar{s}\gamma_{\mu}s)$  etc., are much better compared to the direct available bounds from atmospheric neutrino oscillations.
- From the observed excess in  $B \to K\nu\nu$ , we expect enhanced branching ratios for dilepton top decays and charged-current semileptonic *B* decays.
- From  $R(D^{(*)})$ , we predict enhancement for di-tauon and di-neutrino  $B$  decays.
- A generalized power counting can be implemented to consider the effects of RG running, one-loop matching and suppressed CKM elements.
- Calculation of indirect bounds can be extended to include, scalar, tensor and right handed vector operators.
- It might be interesting to look at a similar analysis for four-quark operators.

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# Thank you for your attention!







 $B \to K^*$  form factors from *[A. Bharucha, D.M. Straub and R. Zwicky - 2015]*  $\Lambda_b \to \Lambda_c$  form factors from *[W. Detmold, C. Lehner and S. Mein - 2015]* 

EFT for processes involving  $b \rightarrow s\tau\tau$  channel

$$
\mathcal{H}^{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} \bigg( \sum_i C_i O_i + \sum_j C'_j O'_j \bigg),
$$
scalar operators are  

$$
V_S^{(i)} = \left[ \bar{s} P_R(L) b \right] [\ell \ell], \quad O_P^{(i)} = \left[ \bar{s} P_R(L) b \right] [\ell \gamma_5 \ell].
$$

$$
-C_P, \quad \text{and} \quad C'_S = C'_P.
$$

$$
[O. \text{ Catà and } M. \text{ Jung, } Ph_2 \text{:}
$$
parameterized as  

$$
\mathcal{C}_S + C_P \equiv \Delta C, \quad C'_S - C'_P \equiv \Delta C'.
$$
canarios  
at only in vector operators,

where the scalar and pseudoscalar operators are

$$
O_S^{(\prime)} = \left[\bar s P_R(L) b\right] [\ell \ell], \quad O_P^{(\prime)} = \left[\bar s P_R(L) b\right] [\ell \gamma_5 \ell].
$$

SMEFT predictions :  $C_S = -C_P$ , and  $C'_S = C'_P$ .

*[O. Cat`a and M. Jung, PhysRevD.92.055018]*

Non-SMEFT effect can be parameterized as

$$
\mathcal{C}_S + \mathcal{C}_P \equiv \Delta \mathcal{C} , \quad \mathcal{C}'_S - \mathcal{C}'_P \equiv \Delta \mathcal{C}' .
$$

We consider the following scenarios

 $\bullet$  SM,

- 2 VA: where NP is present only in vector operators,
- **3** SP: where NP is present only in scalar operators with,  $\Delta C^{(l)} = 0$
- $\bullet$   $\widetilde{\mathrm{SP}}$ : where NP is present only in scalar operators with  $\Delta \mathcal{C}^{(l)} \neq 0$ .

# Parameter space for  $SP$  and  $SP$  scenarios for  $b \rightarrow s\tau\tau$



Projected bounds for the complex parameters  $C_{S-}$ ,  $C_{P-}$ ,  $C_{S+}$ , and  $C_{P+}$  from the expected upper bound on  $B(B_s \to \tau\tau)$  and the expected measurement of  $B(B^+ \to K^+\tau\tau)$  at HL-LHC/FCC-ee. Note that the SP regions (red) are subsets of  $\widetilde{\text{SP}}$  region (cyan), i.e. all the red regions have cyan regions underneath.

# Angular distribution  $B \to K^* \tau \tau$



$$
I(q^2, \theta_l, \theta_V, \phi)
$$
  
=  $I_1^s \sin^2 \theta_V + I_1^c \cos^2 \theta_V$   
+  $(I_2^s \sin^2 \theta_V + I_2^c \cos^2 \theta_V) \cos 2\theta_l$   
+  $I_3 \sin^2 \theta_V \sin^2 \theta_l \cos 2\phi$   
+  $I_4 \sin 2\theta_V \sin 2\theta_l \cos \phi$   
+  $I_5 \sin 2\theta_V \sin \theta_l \cos \phi$   
+  $(I_6^s \sin^2 \theta_V + I_6^c \cos^2 \theta_V) \cos \theta_l$ 

+ 
$$
I_7 \sin 2\theta_V \sin \theta_l \sin \phi
$$
 +  $I_8 \sin 2\theta_V \sin 2\theta_l \sin \phi$   
+  $I_9 \sin^2 \theta_V \sin^2 \theta_l \sin 2\phi$ ,

*[J. Gratrex, M. Hopfer and R. Zwicky , Phys.Rev.D 93(2016)054008]*

$$
\mathcal{C}_S + \mathcal{C}_P \equiv \Delta \mathcal{C} , \quad \mathcal{C}'_S - \mathcal{C}'_P \equiv \Delta \mathcal{C}' .
$$

$$
S_i^{(a)} = \frac{(I_i^{(a)} + \bar{I}_i^{(a)})}{d(\Gamma + \bar{\Gamma})/dq^2},
$$
  
\n
$$
A_{FB} = \frac{3}{8}(2S_6^s + S_6^c), \quad F_L = S_1^c.
$$



Beyond-SMEFT effects in  $B \to K^{*0} \tau^+ \tau^-$  angular observables



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# Beyond-SMEFT effects in  $B \to K^{*0} \tau^+ \tau^-$  angular observables



*[S. Karmakar, A. Dighe, arXiv:2408.13069]*





 $O_V^{LR} \equiv (\bar{\tau}\gamma^\mu P_L \nu_\tau)(\bar{c}\gamma_\mu P_R b)$ 

- Large contribution coming from  $O_V^{LR}$  would imply effects beyond SMEFT.
- $\bullet$  Our goal is to find angular observables in  $\Lambda_b \to \Lambda_c (\to \Lambda \pi) \tau \nu_\tau$  that can distinguish effects of large  $O_V^{LR}$ .

*[C.P. Burgess, S. Hamoudou, J. Kumar and D. London, PhysRevD.105.073008]*

Parameter space for new physics WCs in  $b \to c\tau\nu$ 

$$
\mathcal{L}_{\text{eff}} = \frac{4 G_F V_{cb}}{\sqrt{2}} \left[ (1 + g_L) \mathcal{O}_V^{LL} + \frac{g_R \mathcal{O}_V^{LR}}{g_R \mathcal{O}_V} + g_S \mathcal{O}_S + g_P \mathcal{O}_P + g_T \mathcal{O}_T \right].
$$



The red, cyan and blue regions are allowed at  $1\sigma$ ,  $1.64\sigma$  and  $2\sigma$ , respectively (5 d.o.f). The black dots represent the best-fit values of the NP parameters. The dashed (gray, black, green) contours indicate the allowed values of *s<sup>L</sup>* and *s*<sub>*R*</sub> corresponding to the upper bound  $\mathcal{B}(B_c \to \tau \bar{\nu}_{\tau}) < (60\%, 30\%, 10\%).$ 

# Beyond-SMEFT effects in angular observables in  $\Lambda_b \to \Lambda_c (\to \Lambda \pi) \tau \bar{\nu}_{\tau}$



*[S. Karmakar, S. Chattopadhyay, A. Dighe, PhysRevD.110.015010]*

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