



FERMILAB-SLIDES-24-0276-PPD



# Latest Three-Flavor Neutrino Oscillation Results from NOvA

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On behalf of the NOvA Collaboration

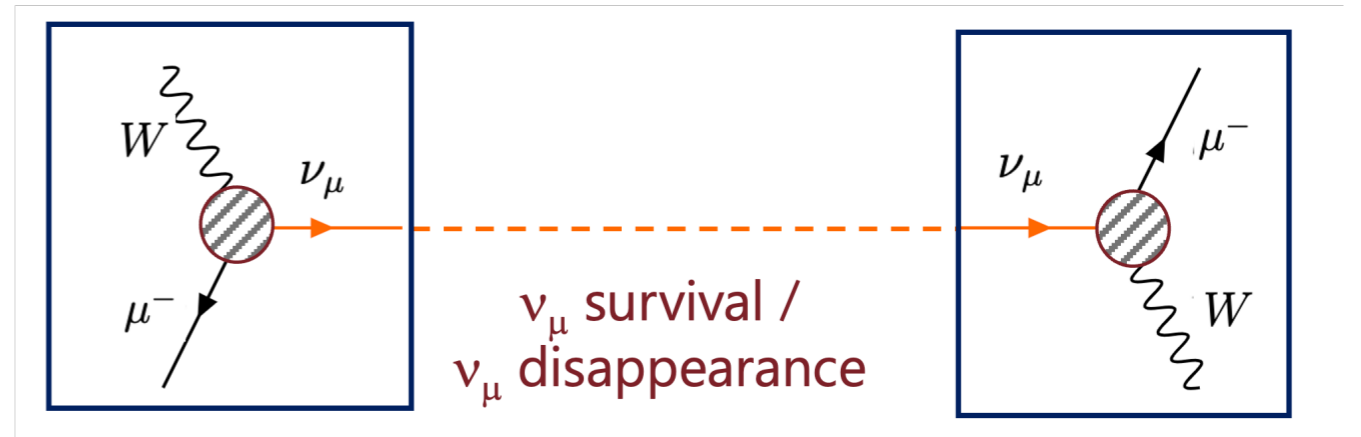
PPC 2024  
October 14-18, 2024  
IIT Hyderabad

# Neutrino Mixing and Oscillations

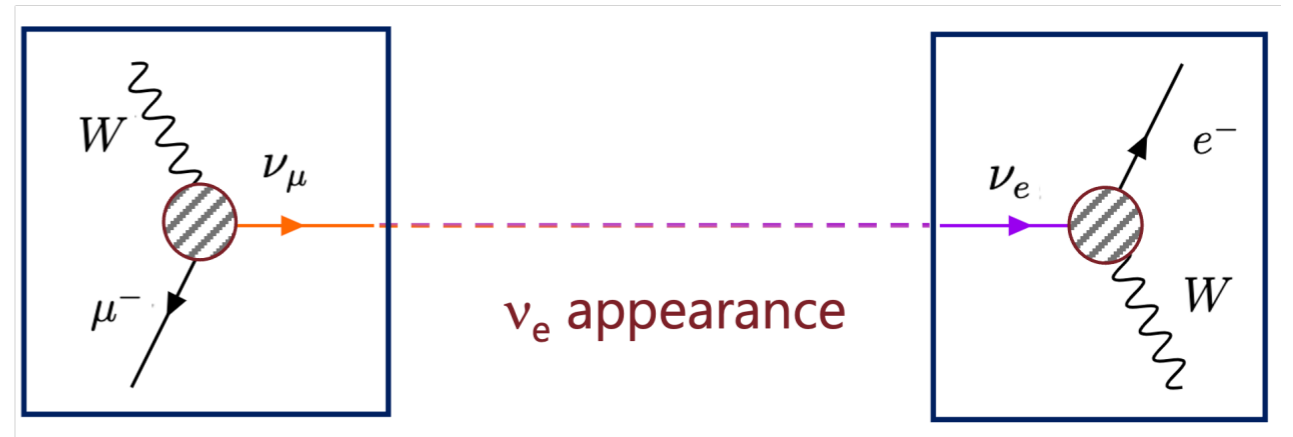
- Neutrinos are fundamental particles
  - \* tiny non-zero masses
  - \* comes in three flavors  $\nu_e, \nu_\mu, \nu_\tau$
- Flavor eigenstates are mixed with the mass eigenstates by a unitary matrix
- Neutrinos oscillate between flavor eigenstates
- The oscillation probability is given by

$$P(\nu_\alpha \rightarrow \nu_\beta) = \left| \sum_i U_{\alpha i}^* e^{-i \frac{m_i^2 L}{2E}} U_{\beta i} \right|^2$$

- Neutrino oscillations let us probe the elements of the mixing matrix



Sensitive to  $\Delta m_{32}^2$  and mixing angle  $\theta_{23}$   
(resolution of octant degeneracy)



Sensitive to the CP-violating phase  $\delta_{CP}$ , mixing angle  $\theta_{13}$  (although mild) and mass ordering

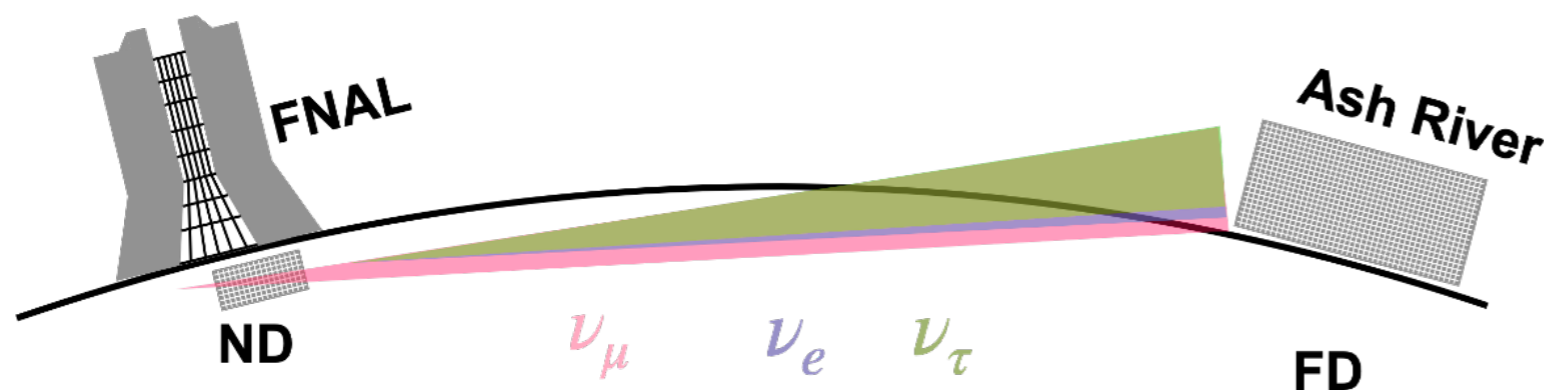
# The NOvA Experiment

- **NuMI Off-axis  $\nu_e$  Appearance Experiment**

- NuMI: Neutrinos at the Main Injector
- Off-axis: Detectors situated 14.6 mrad off-axis to beam direction
- $\nu_\mu(\bar{\nu}_\mu)$  dis-appearance and  $\nu_e(\bar{\nu}_e)$  appearance
- Functionally identical liquid scintillation detectors. ND and FD located at 1km and 810km from the beam source

- **Primary Goals:**

- Measure neutrino oscillation parameters
- Resolve neutrino mass ordering
- Resolve octant degeneracy
- Measure  $\delta_{CP}$ , the CP-violating phase



Check out Prof. Bipul Bhuyan's talk from Plenary-I for the details

## Beyond Neutrino Oscillations

- Non-standard interactions
- Neutrino cross-sections
- Sterile neutrinos
- Magnetic monopoles
- Dark matter
- And many more!

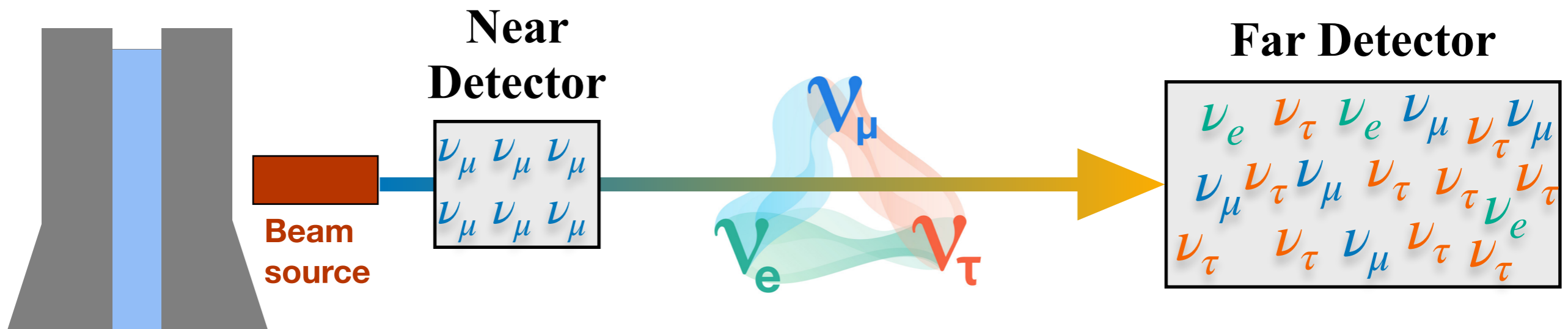
The NuMI beam line at Fermilab provides an intense  $\nu/\bar{\nu}$  beam

# How to Measure Neutrino Oscillations?

Credits: Alex Himmel

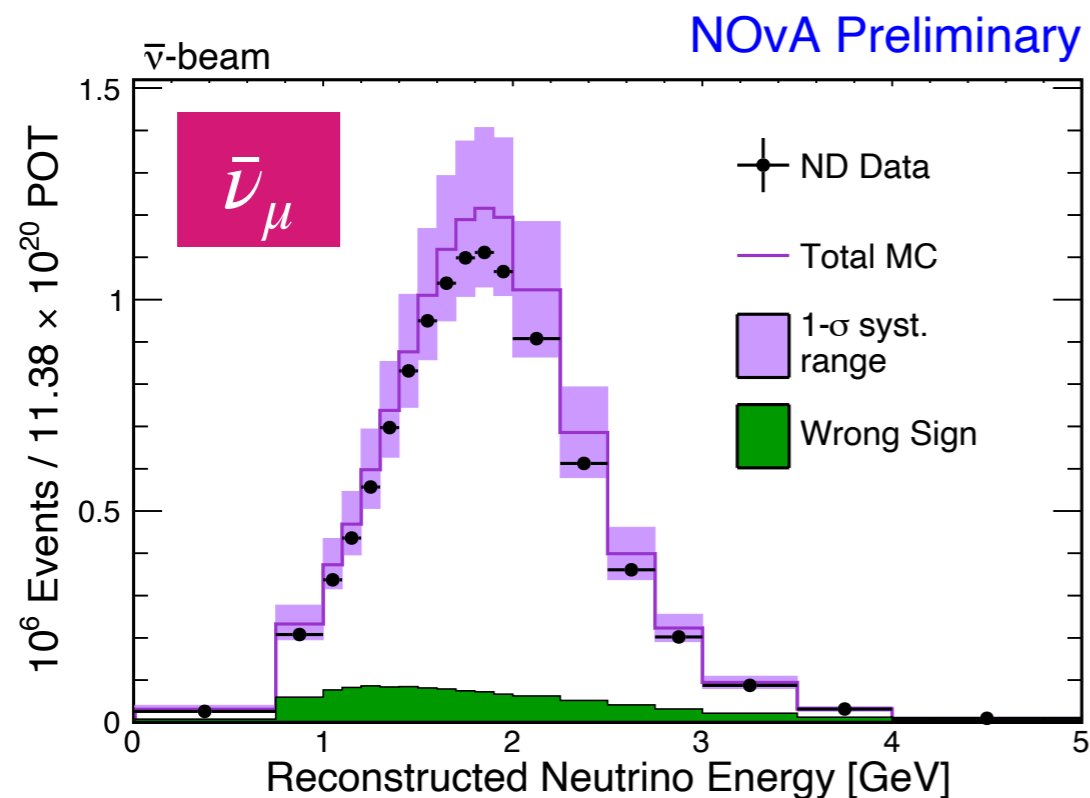
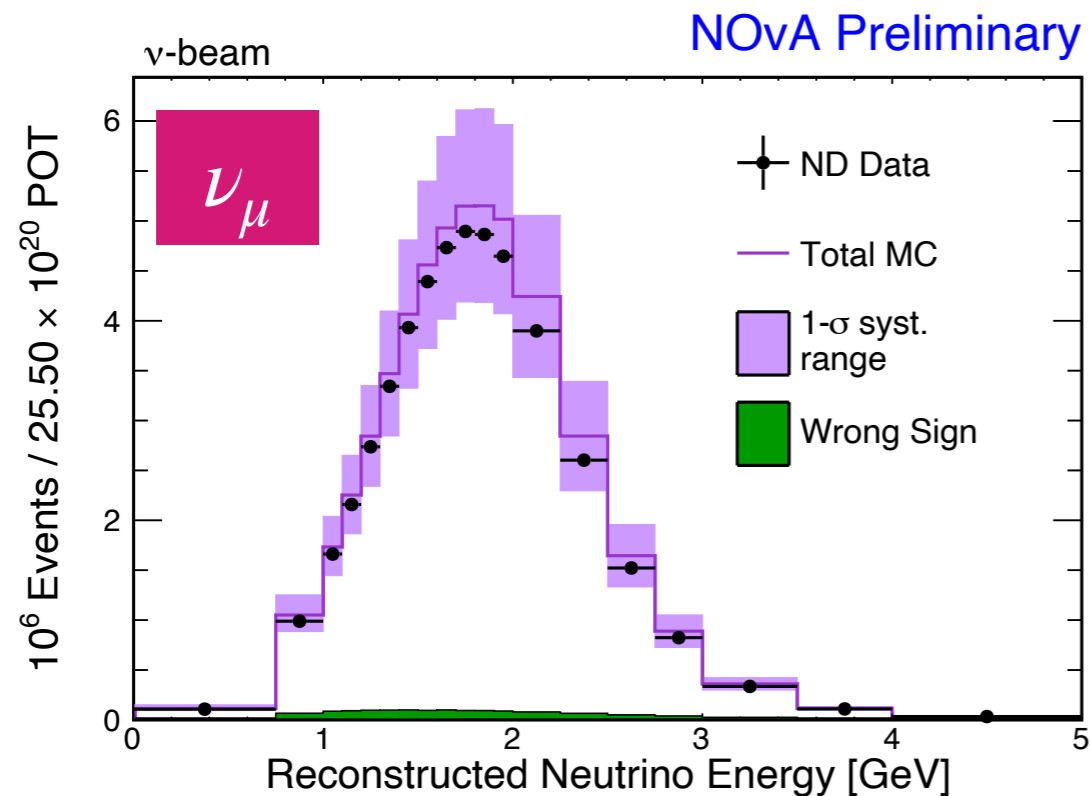
Observe how flavor changes with energy over a long distance, while mitigating uncertainties related to neutrino flux, interaction cross sections, and detector performance.

Credits: Adam Lister





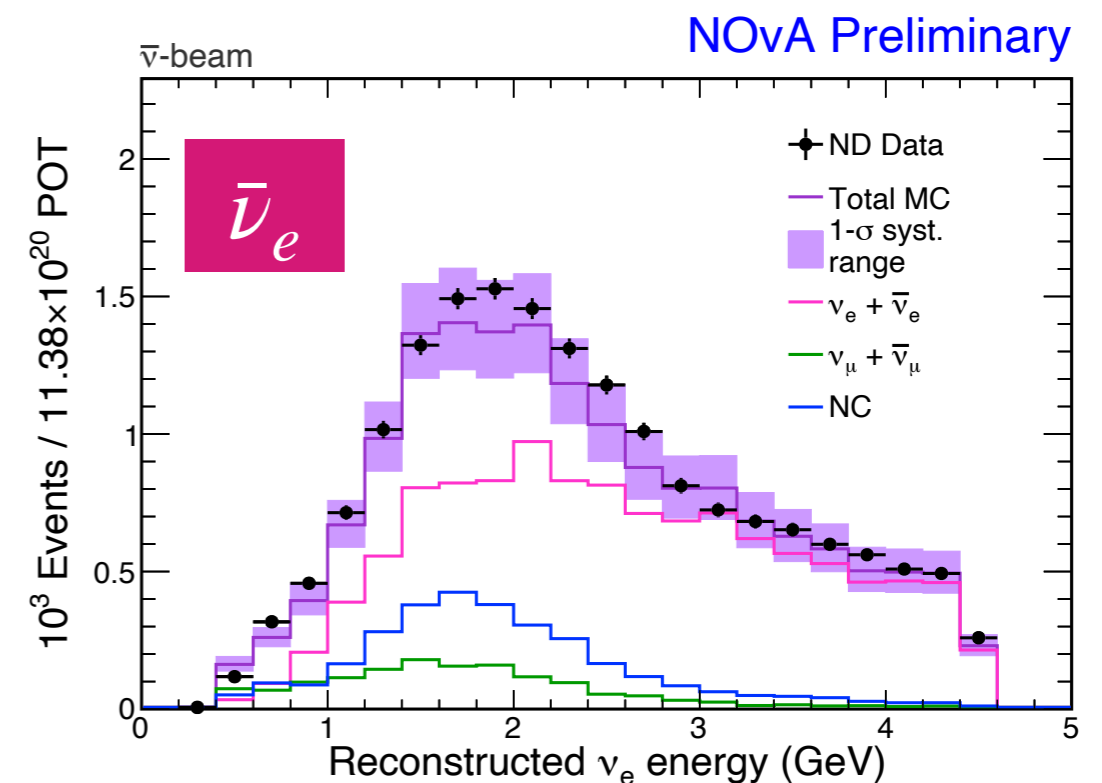
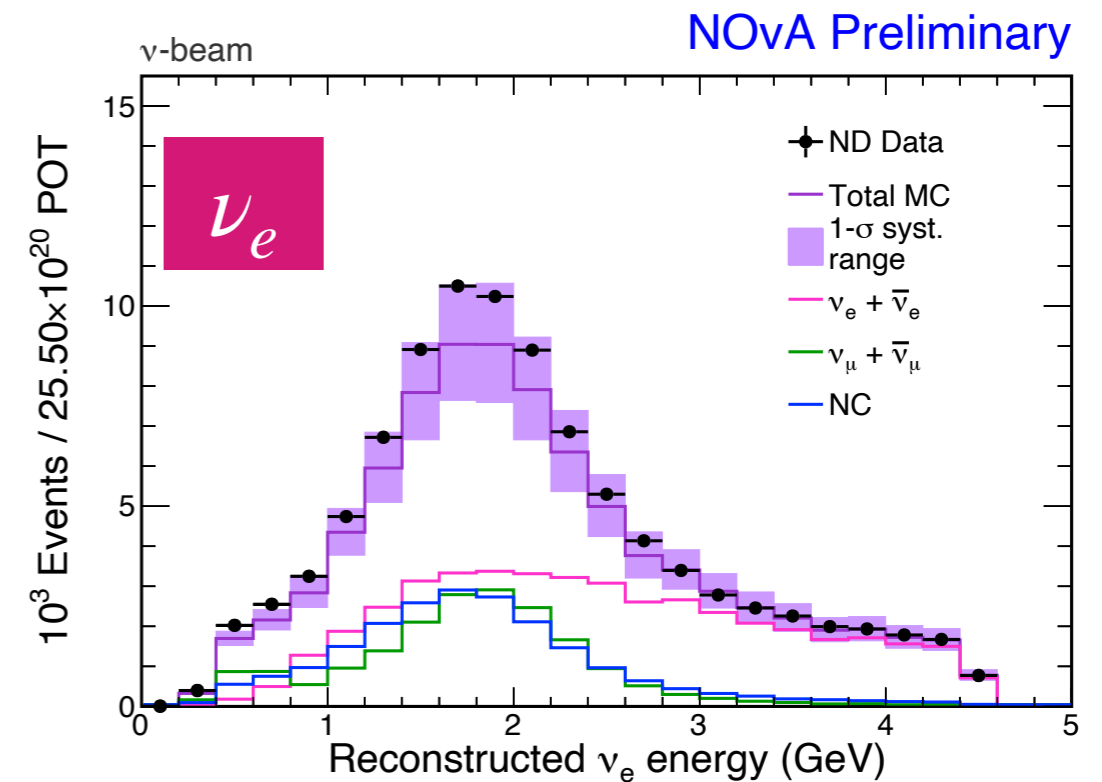
# Near Detector Spectra



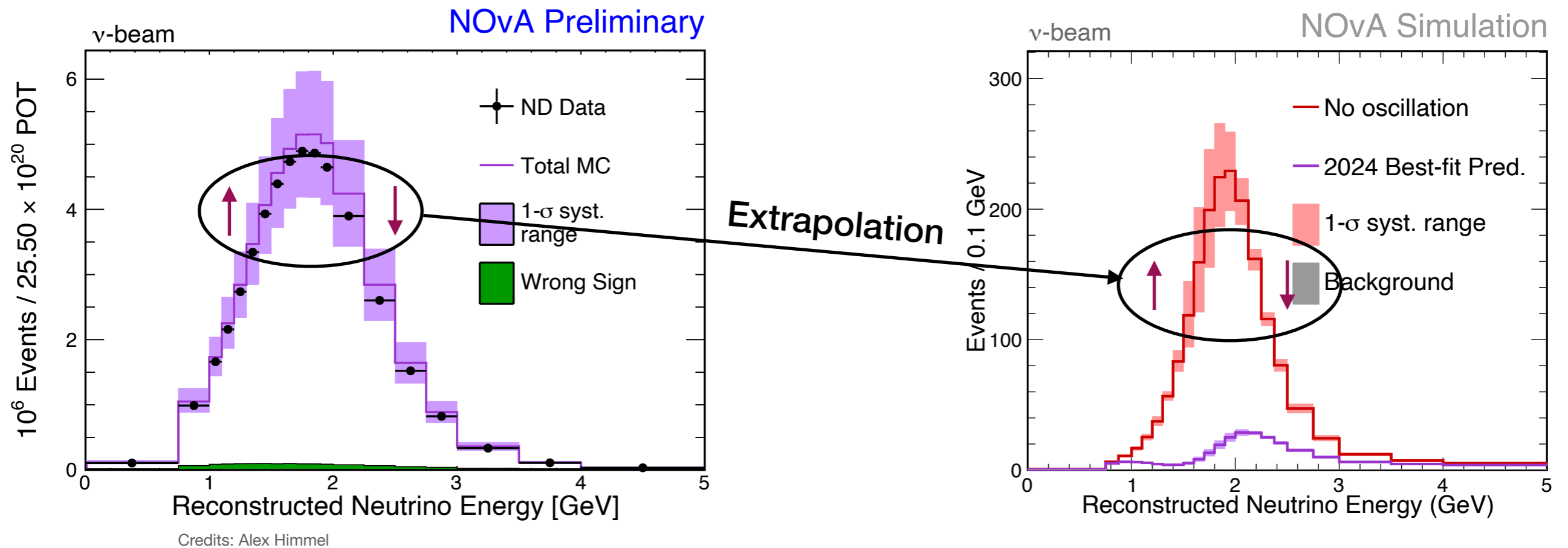
- The observed un-oscillated  $\nu_\mu/\bar{\nu}_\mu$  candidates at the Near Detector
- We use this sample in predicting both the  $\nu_\mu$  and  $\nu_e$  signal events at the Far Detector

# Near Detector Spectra

- The observed  $\nu_e/\bar{\nu}_e$  events at the Near Detector
- Dominant background: beam  $\nu_e/\bar{\nu}_e$  events
- We use these samples in predicting the background events for the  $\nu_e$  appearance analysis

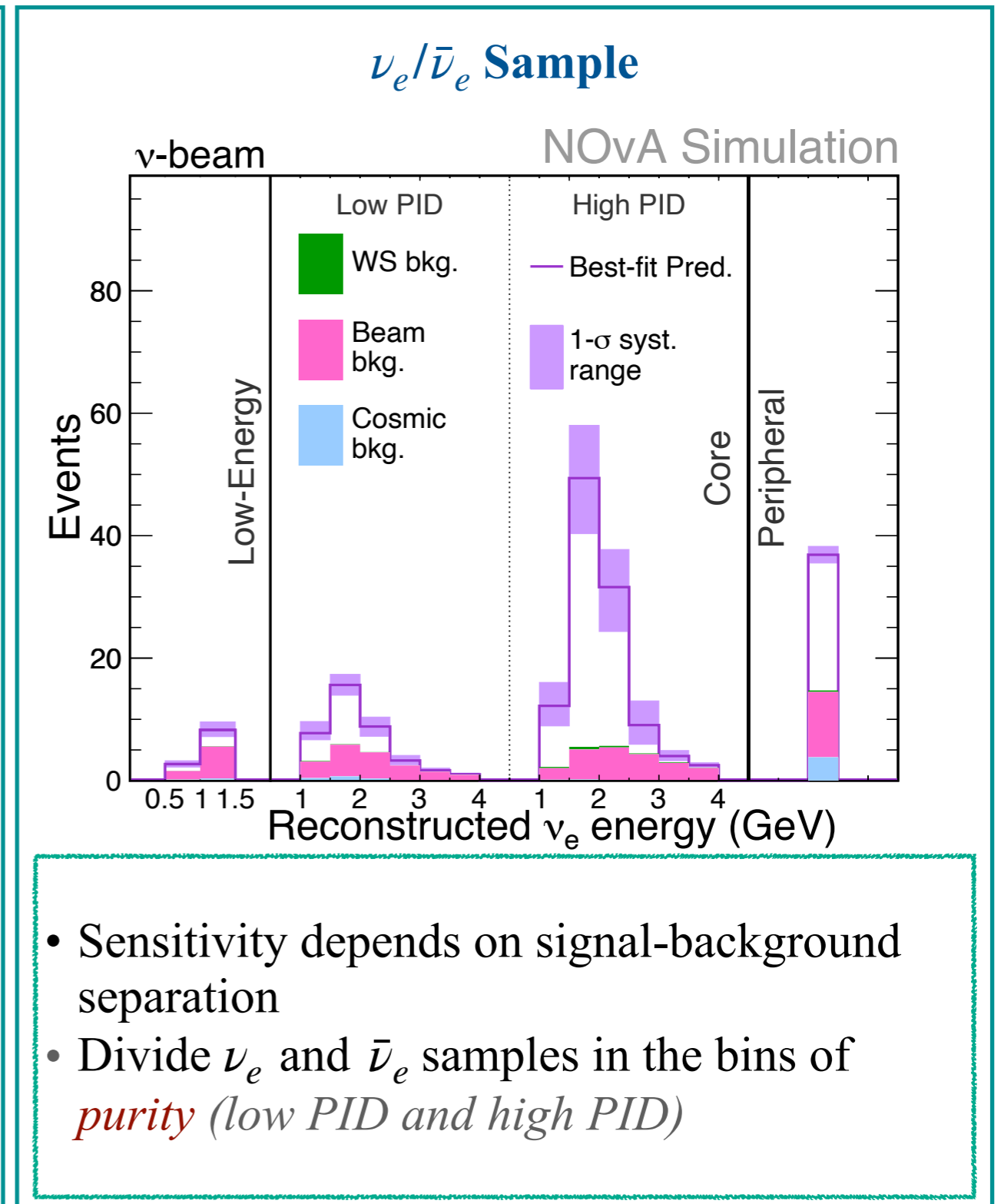
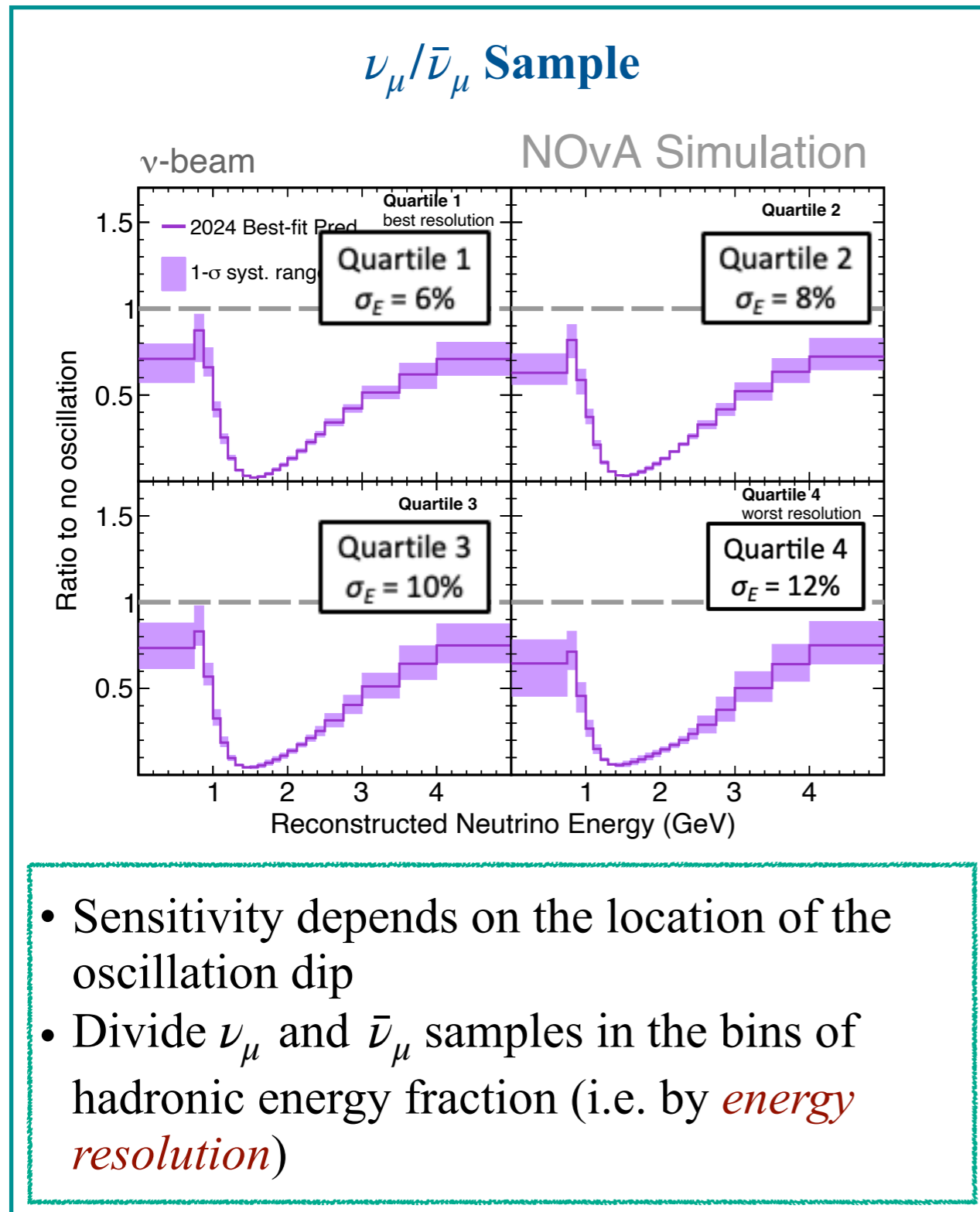


# Extrapolation: Mitigating Corrections



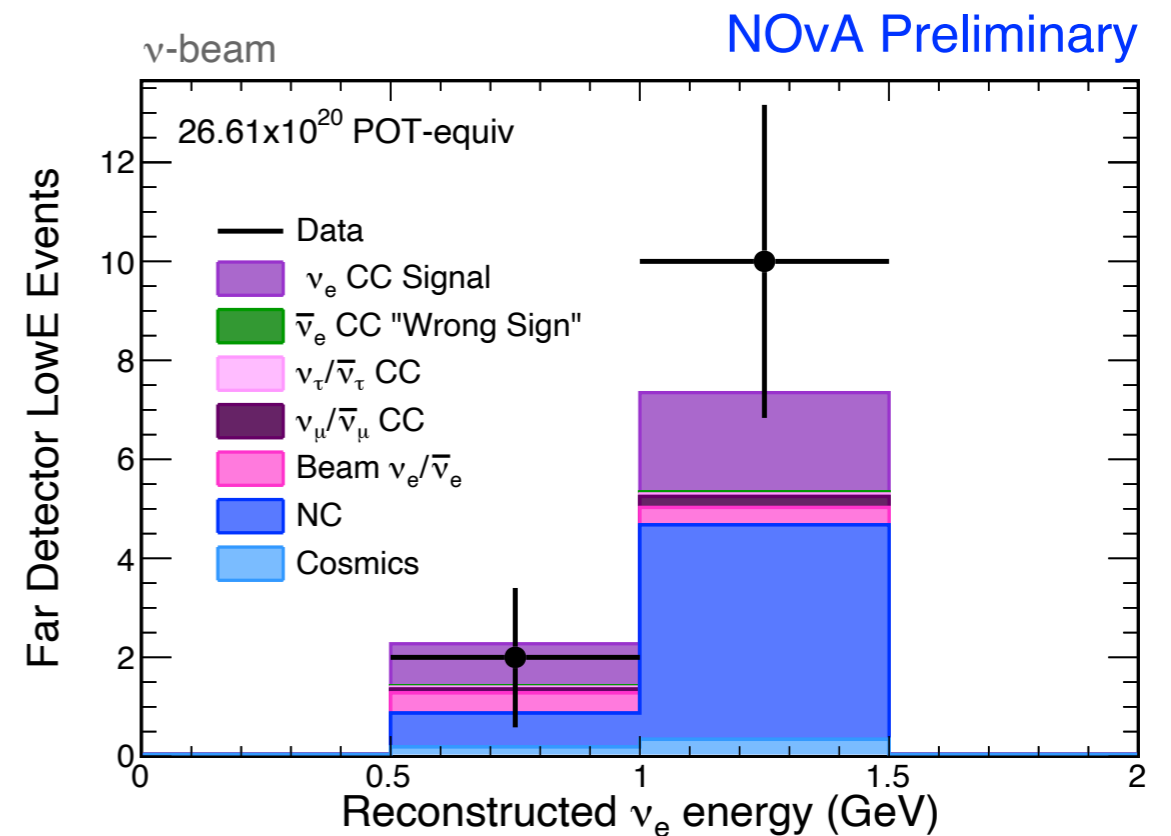
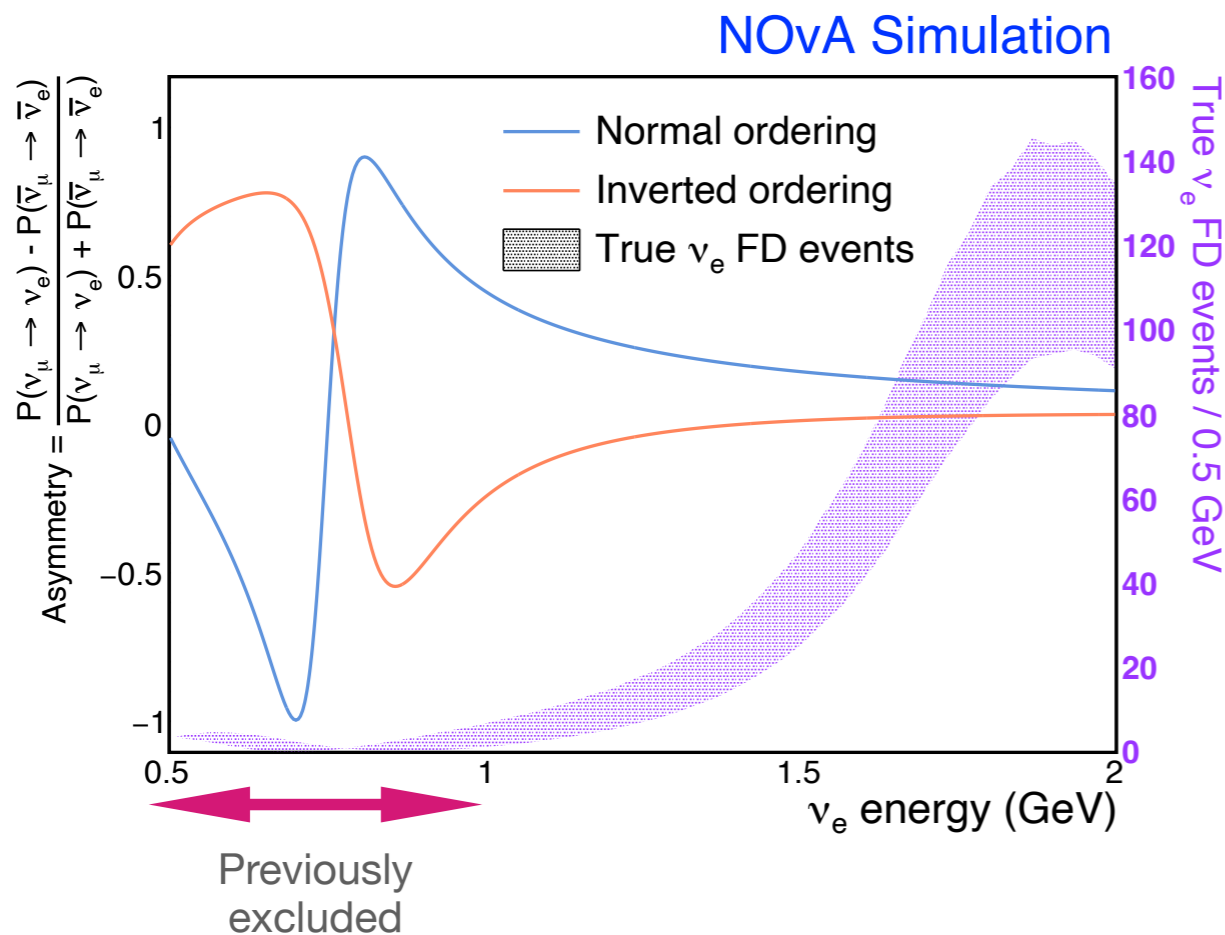
- Correct FD simulations by observing the differences in the ND data and simulations
  - Takes into account Far/Near transformation, oscillations, and detector acceptance
- Significantly reduces the impact of systematic uncertainties
  - e.g. uncertainty on neutrino cross-sections goes down from  $\sim 15\%$  to  $\sim 4-5\%$

# Enhancing Sensitivity to Oscillations



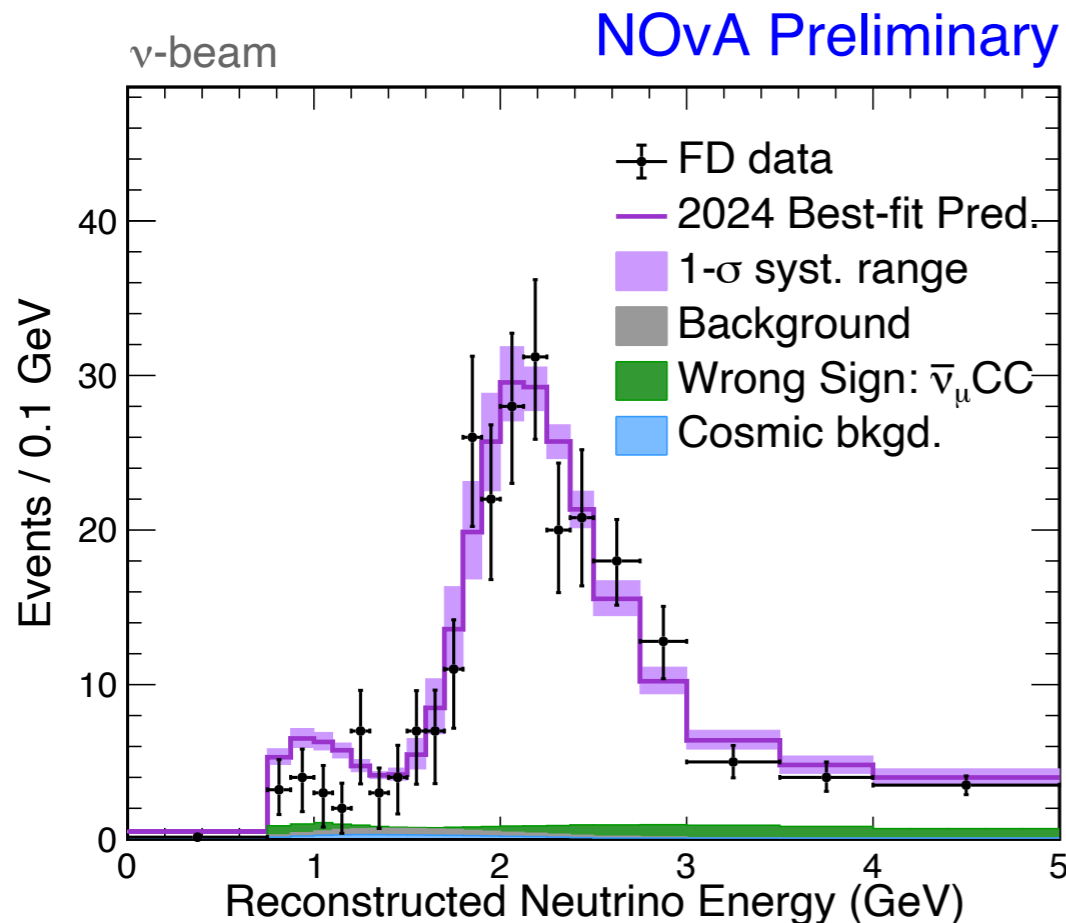
# New Low Energy $\nu_e$ Sample

- **Developed a new selection to retain  $\nu_e$  events in the low energy region** where neutrino-anti neutrino asymmetry is maximal
- Improves sensitivity to mass orderings by  $\sim$ few percent (depending on the oscillation parameters)
- No low energy events for the anti-neutrino beam mode

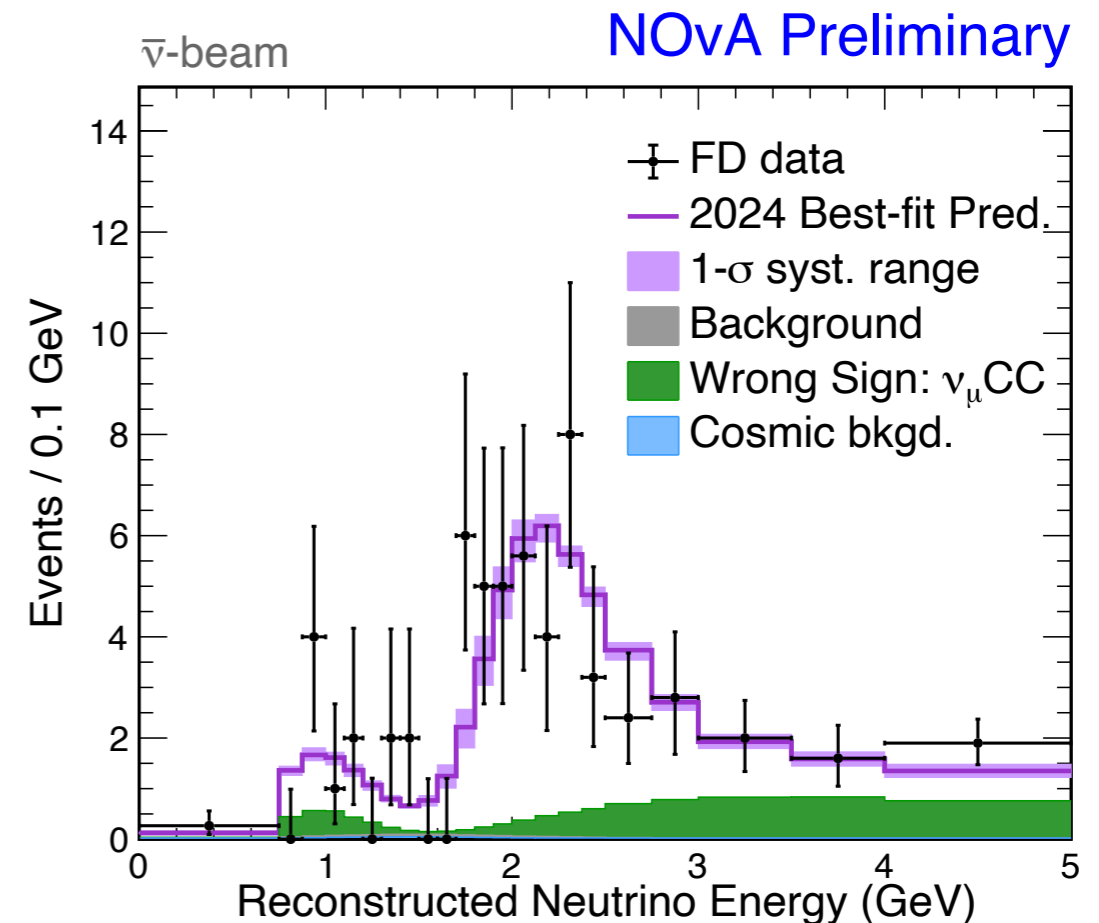


# Far Detector $\nu_\mu(\bar{\nu}_\mu)$ Observations

- Observed  $\nu_\mu(\bar{\nu}_\mu)$  candidates from 10 years of NOvA Data (neutrino beam exposure of  $26.6 \times 10^{20}$  POT and anti-neutrino beam exposure of  $12.5 \times 10^{20}$  POT)



**384  $\nu_\mu$  data candidates**  
(11.3 background)

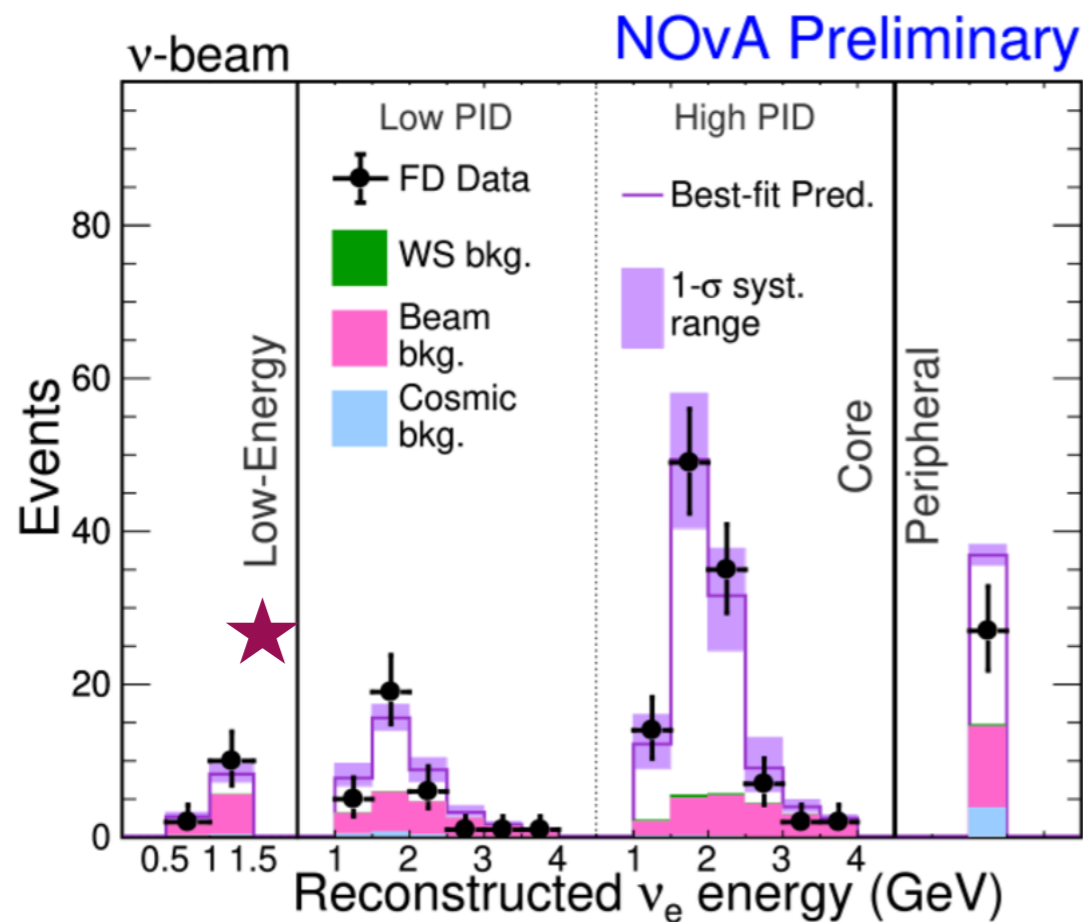


**106  $\bar{\nu}_\mu$  data candidates**  
(1.7 background)

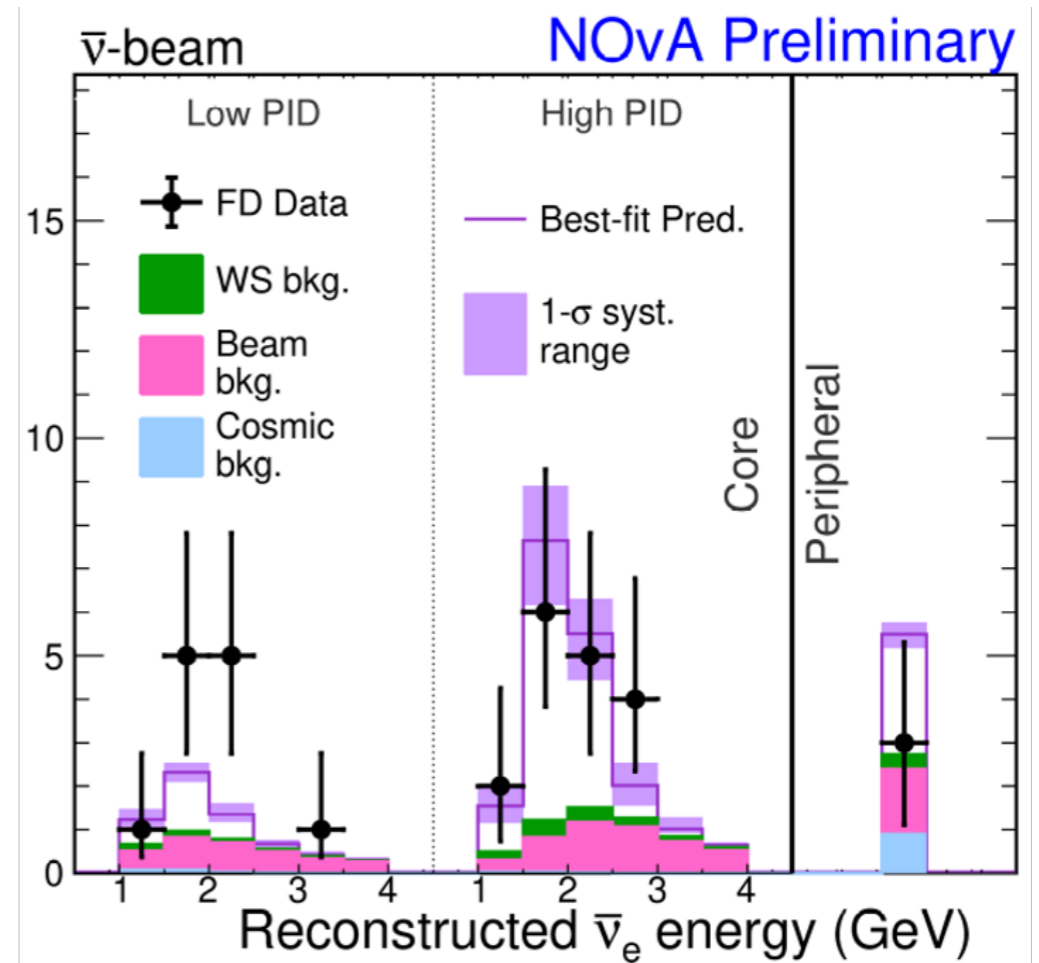


# Far Detector $\nu_e(\bar{\nu}_e)$ Observations

- Observed  $\nu_e(\bar{\nu}_e)$  candidates from 10 years of NOvA Data (neutrino beam exposure of  $26.6 \times 10^{20}$  POT and anti-neutrino beam exposure of  $12.5 \times 10^{20}$  POT)



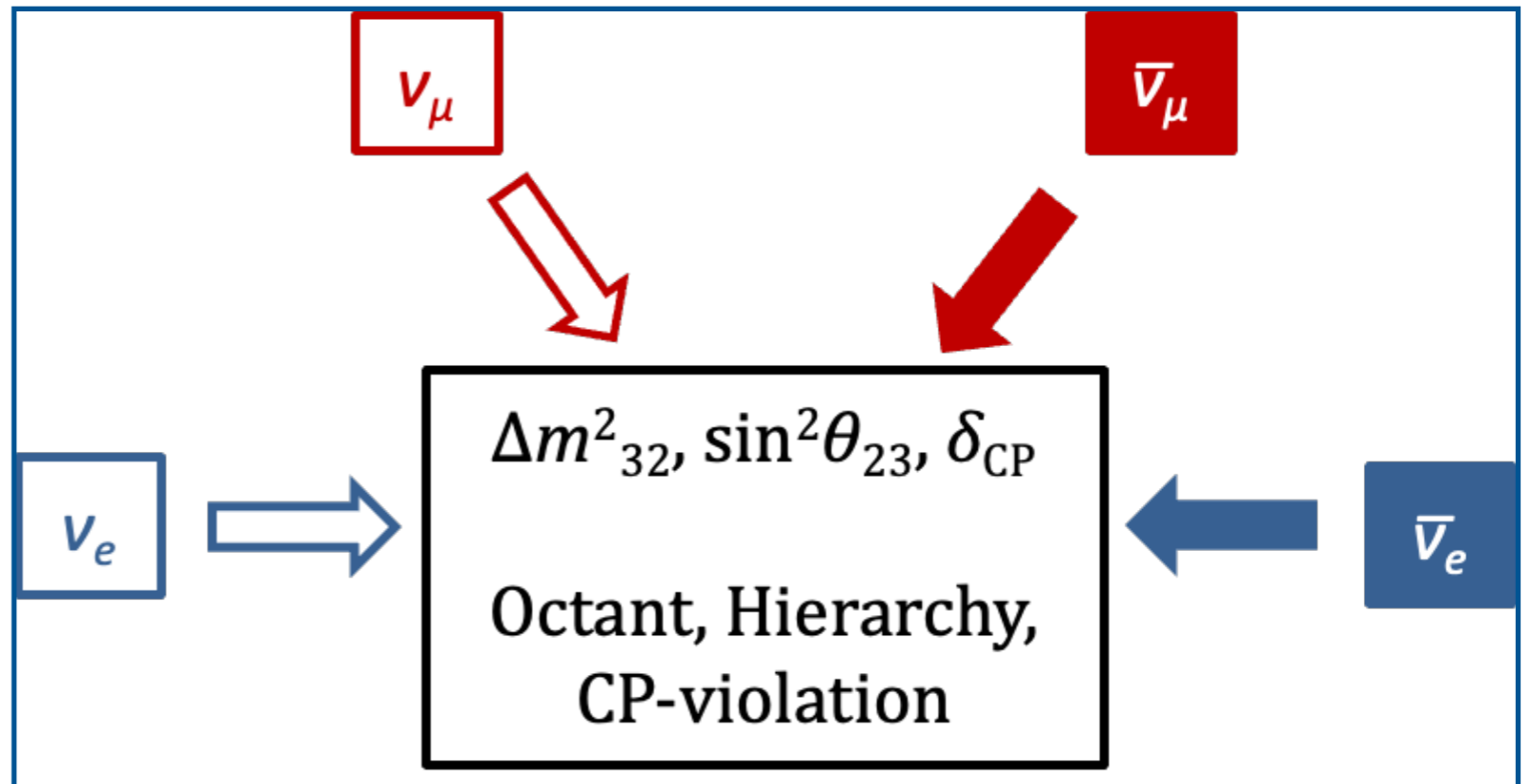
**181  $\nu_e$  data candidates**  
(61.7 background)



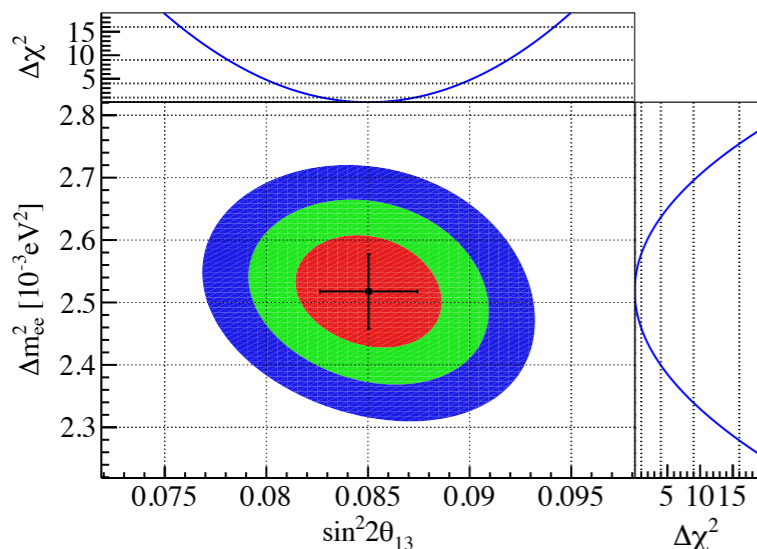
**32  $\bar{\nu}_e$  data candidates**  
(12.2 background)

# Fitting Procedure

- We perform a joint fit to  $\nu_\mu/\bar{\nu}_\mu$  disappearance and  $\nu_e/\bar{\nu}_e$  appearance data to extract oscillation parameters
- External constraints on solar parameters
- Reactor constraints on  $\theta_{13}$ :
  - Unconstrained
  - Daya Bay 1D reactor constraint:  
 $\sin^2 2\theta_{13} = 0.0851 \pm 0.0024$
  - Daya Bay 2D ( $\Delta m_{32}^2, \theta_{13}$ ) constraint



Phys. Rev. Lett. 130, 161802



## Bayesian

**Markov Chain Monte Carlo**  
(marginalization)

**Bayesian Credible Intervals**

(technique described in [arXiv:2311.07835](https://arxiv.org/abs/2311.07835))

## Frequentist

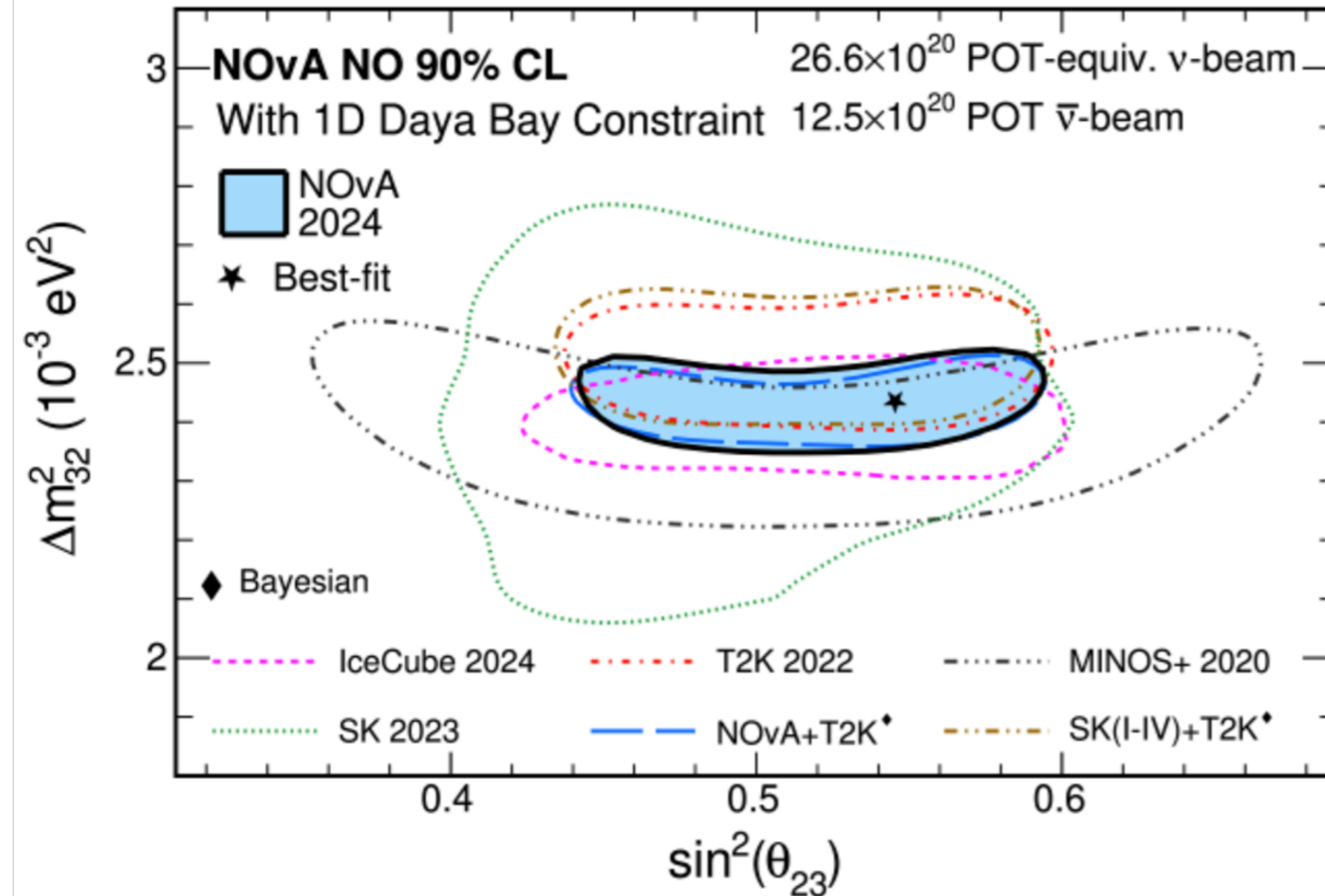
$\chi^2$  **Minimization**  
(profiled Feldman-Cousins)

**Frequentist Confidence Regions**

(technique described in [arXiv:2207.14353](https://arxiv.org/abs/2207.14353))

# Results: I

## NOvA Preliminary



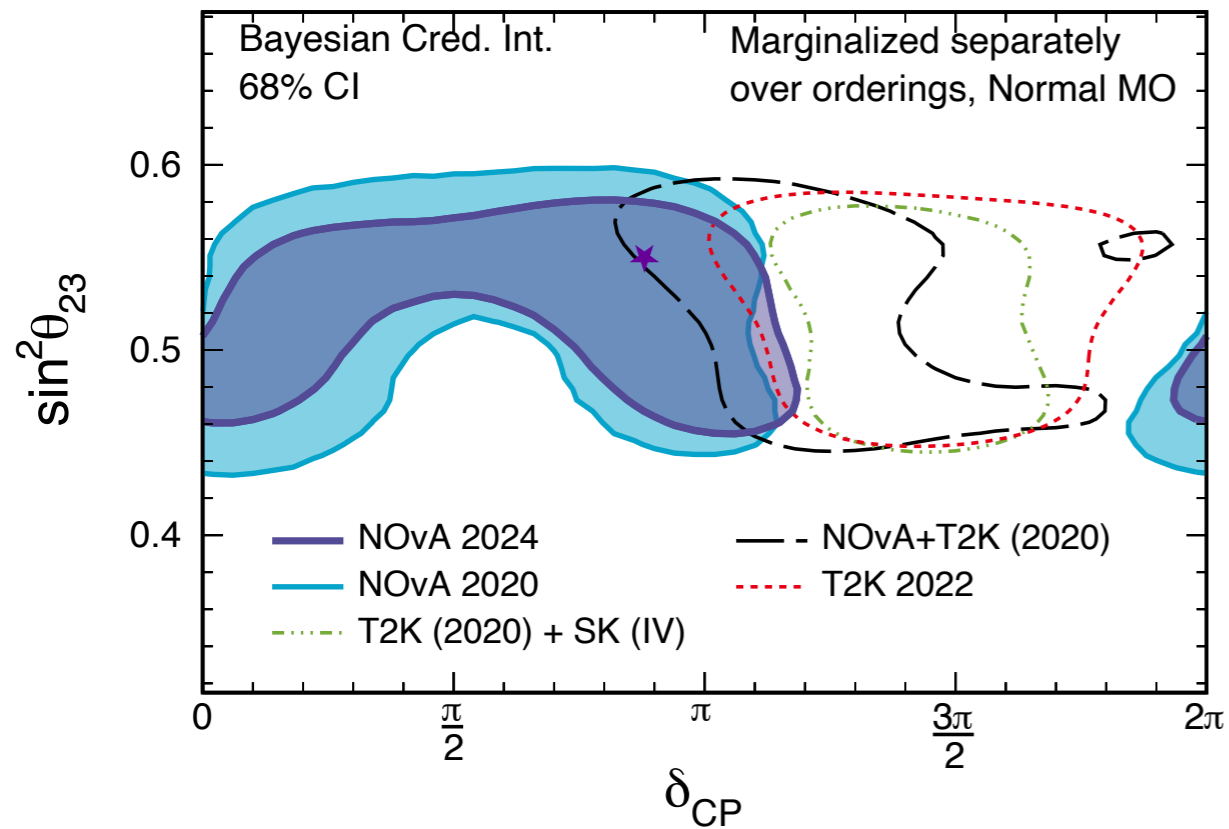
### Frequentist results (w/ Daya Bay 1D θ<sub>13</sub> constraint)

	Normal MO		Inverted MO	
$\Delta m_{32}^2 / 10^{-3} \text{ eV}^2$	+2.433	+0.035 -0.036	-2.473	+0.035 -0.035
$\sin^2 \theta_{23}$	<b>0.546</b>	+0.032 -0.075	0.539	+0.028 -0.075
$\delta_{CP}$	<b>0.88 π</b>		1.51 π	
Rejection significance (σ)			1.36	

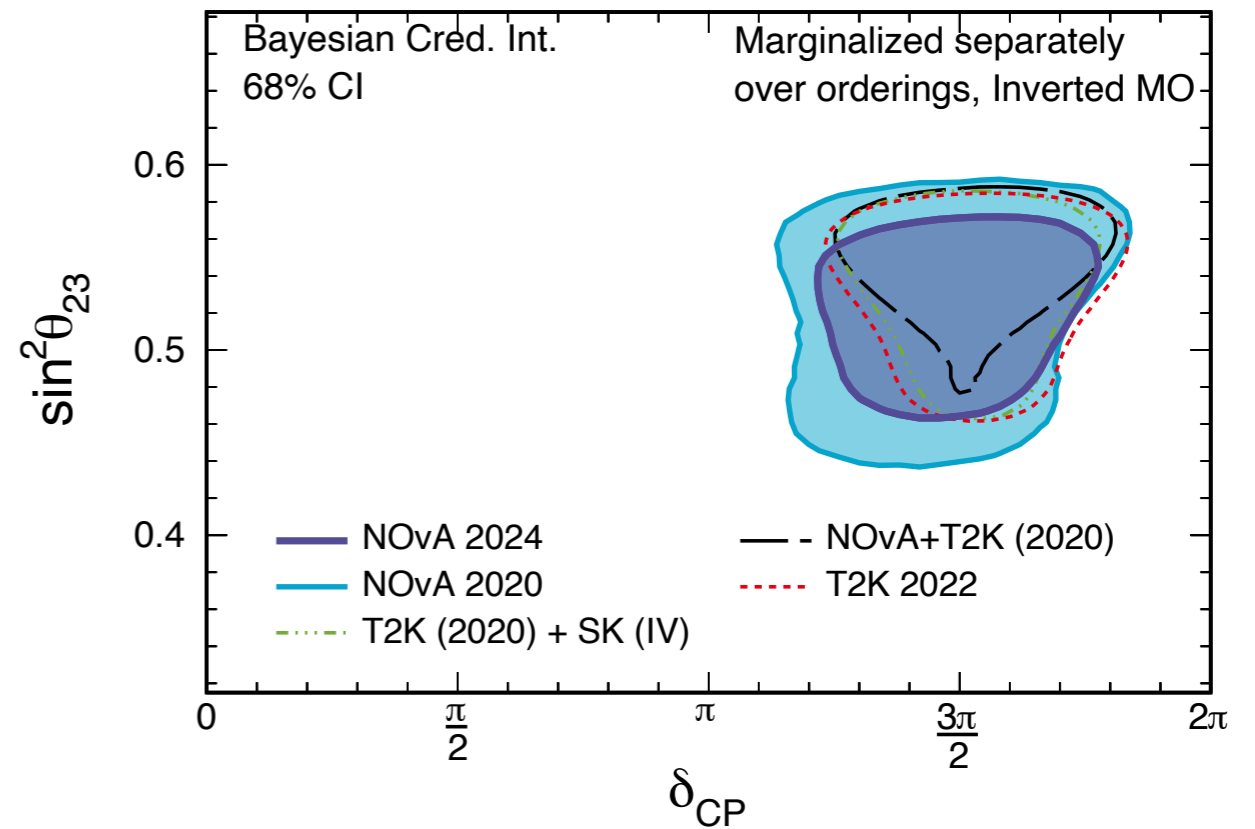
- NOvA's measurements consistent with the rest of the accelerator and atmospheric experiments
- $\Delta m_{32}^2$  best-fit lies in the normal mass ordering (NO)
- $\sin^2(\theta_{23})$  best-fit value lies in the upper octant

# Results: II

NOvA Preliminary



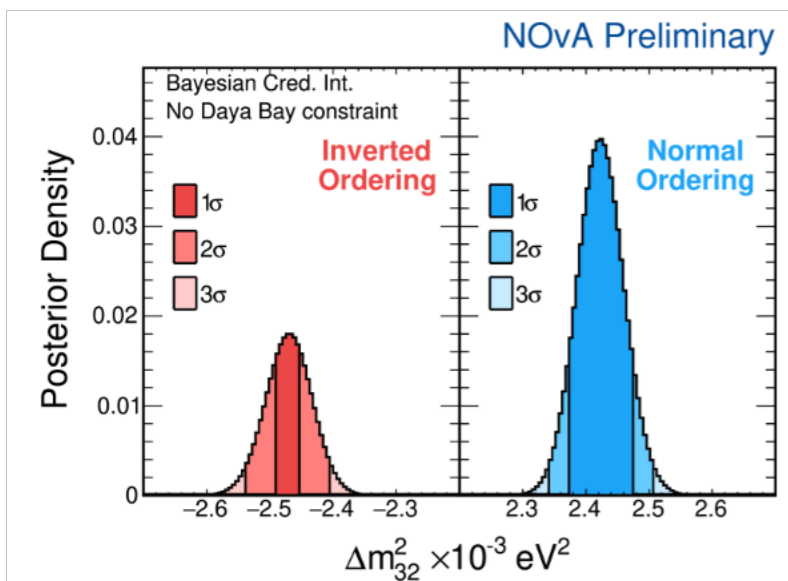
NOvA Preliminary



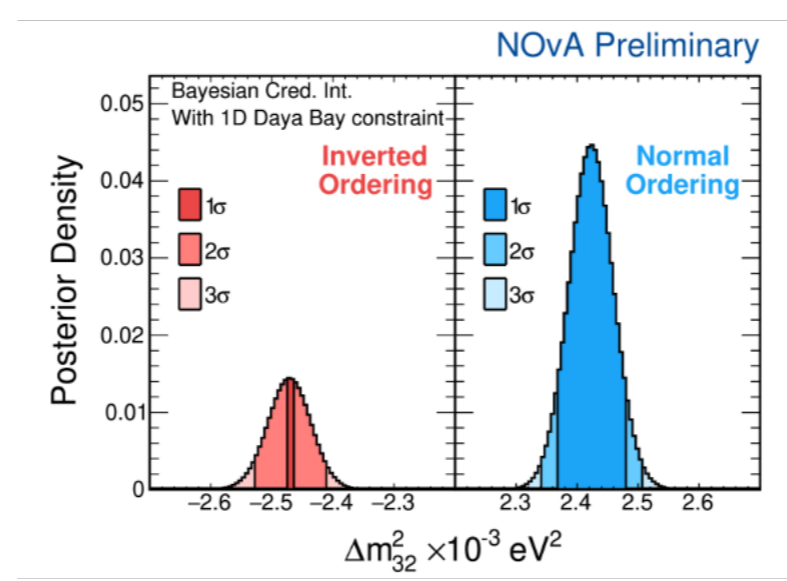
- NOvA data disfavors  $\delta_{CP} = 3\pi/2$  in NO and  $\delta_{CP} = \pi/2$  in IO
- The new NOvA measurements of  $\delta_{CP}$  are consistent with our previous (2020) analysis
- The T2K, joint NOvA+T2K results favor different  $\delta_{CP}$  regions in NO, same in IO

# Synergy With Reactor Measurements

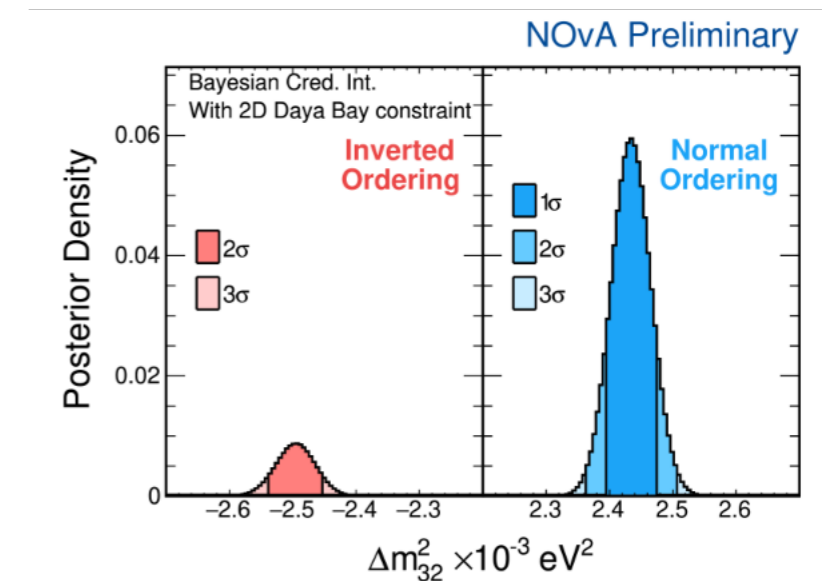
- **NOvA data has a mild preference for the normal mass ordering**
- **Preference enhances with 1D and 2D reactor constraints**



No reactor constrains



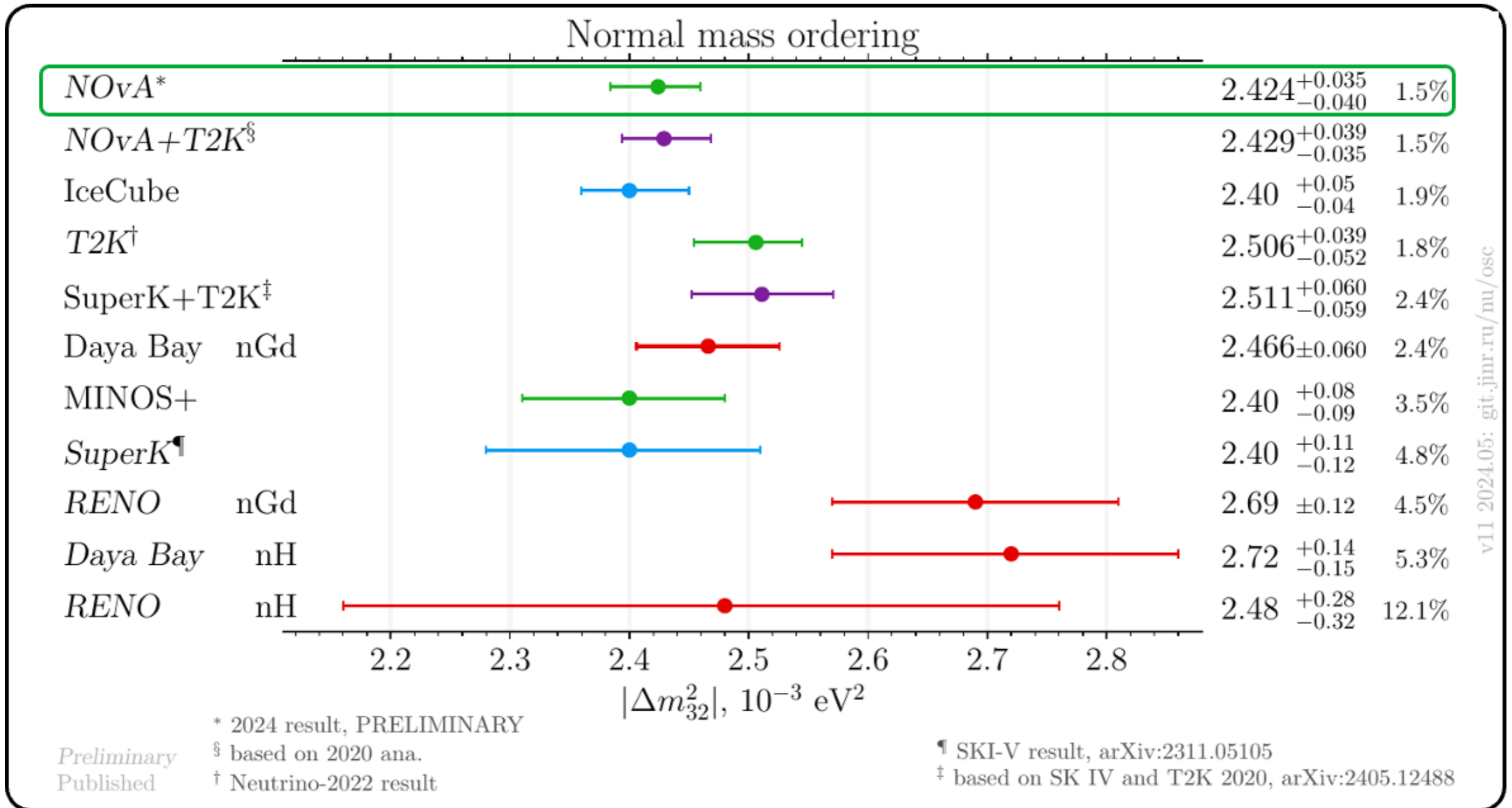
Daya Bay 1D constraint



Daya Bay 2D constraint

	No Constraint		1D Constraint		2D Constraint	
	Prob	BF	Prob	BF	Prob	BF
Normal Ordering Preference	69%	2.2	76%	3.2	87%	6.8

# Results Contd.



**NOvA produced the most precise (~1.5%) measurement of  $\Delta m_{32}^2$ .**



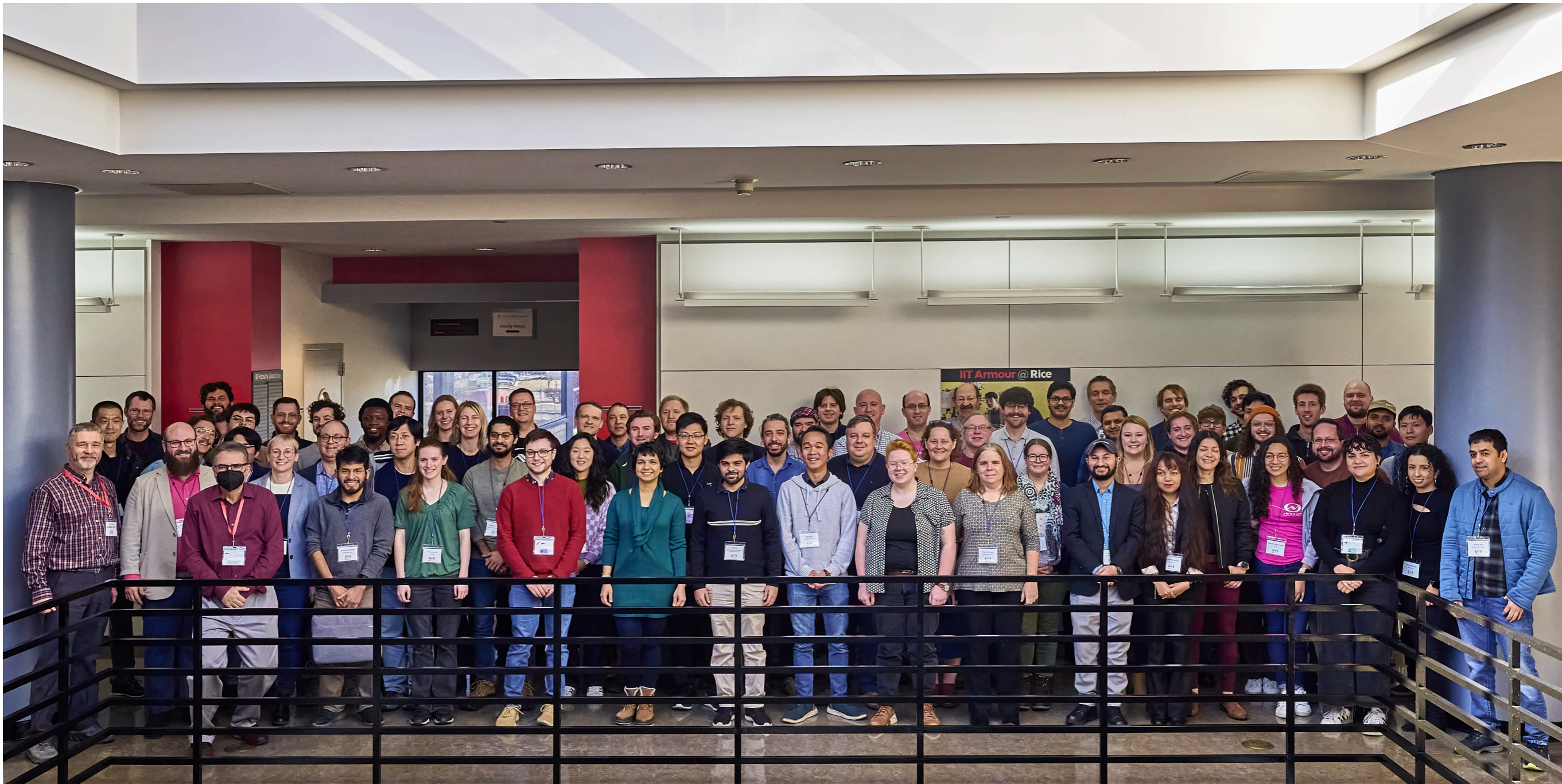
# Conclusions

- \* Latest three-flavor neutrino oscillation results from 10 years of NOvA data with doubled neutrino beam dataset (compared to 2020)
- \* NOvA data prefers upper octant with reactor constraints on  $\theta_{13}$  (prob=69%)
- \* Mild preference to normal mass ordering (posterior prob. = 87%)
- \* The most precise single experiment measurement of  $\Delta m_{32}^2$  (precision=1.5%)
- \* Frequentist best-fit values

	Frequentist results (w/ Daya Bay 1D $\theta_{13}$ constraint)			
	Normal MO		Inverted MO	
$\Delta m_{32}^2 / 10^{-3} \text{ eV}^2$	+2.433	+0.035 -0.036	-2.473	+0.035 -0.035
$\sin^2\theta_{23}$	<b>0.546</b>	+0.032 -0.075	0.539	+0.028 -0.075
$\delta_{\text{CP}}$	<b>0.88 <math>\pi</math></b>		1.51 $\pi$	
Rejection significance ( $\sigma$ )			1.36	



# The NOvA Collaboration



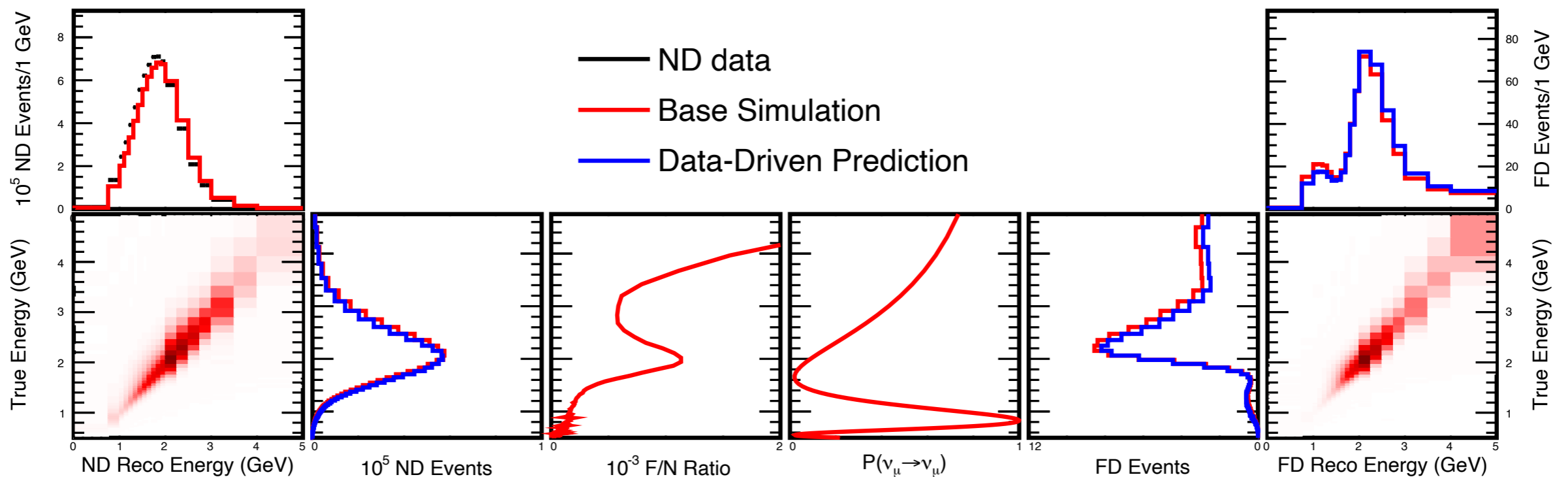
**Thank you for your attention!**



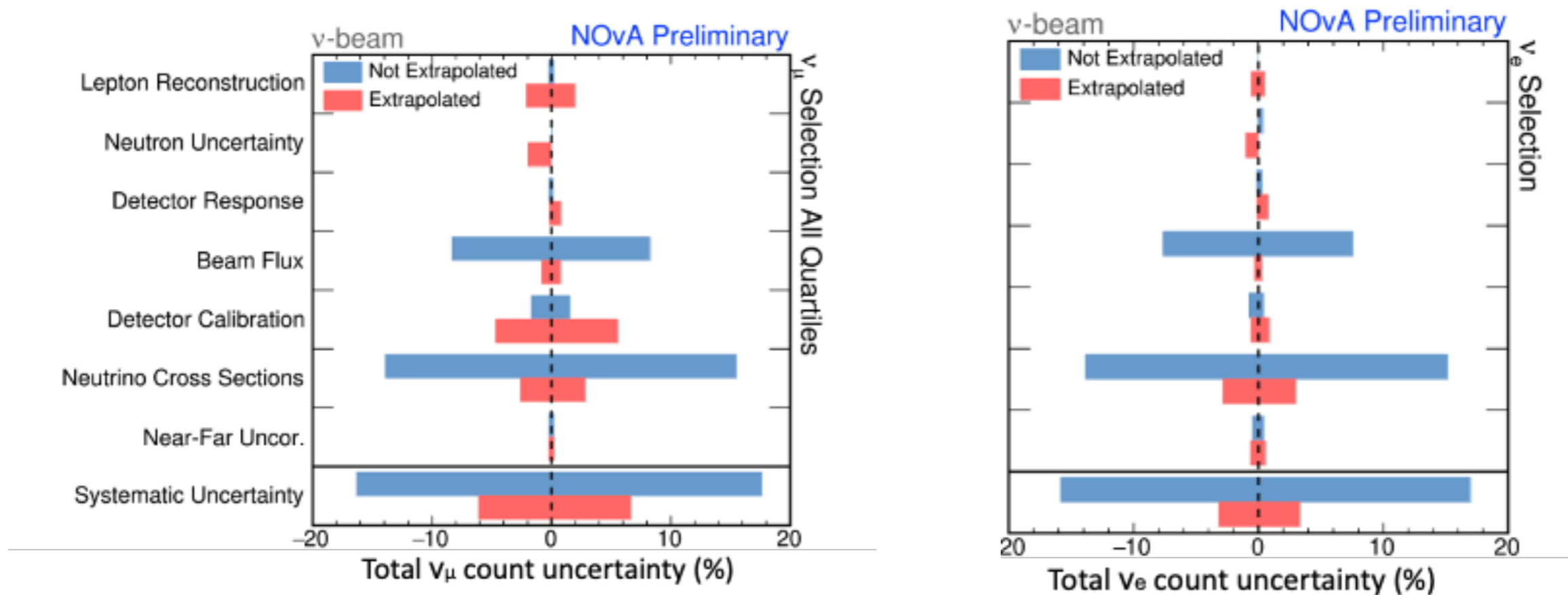
# Back Up

# Near-to-Far Extrapolation

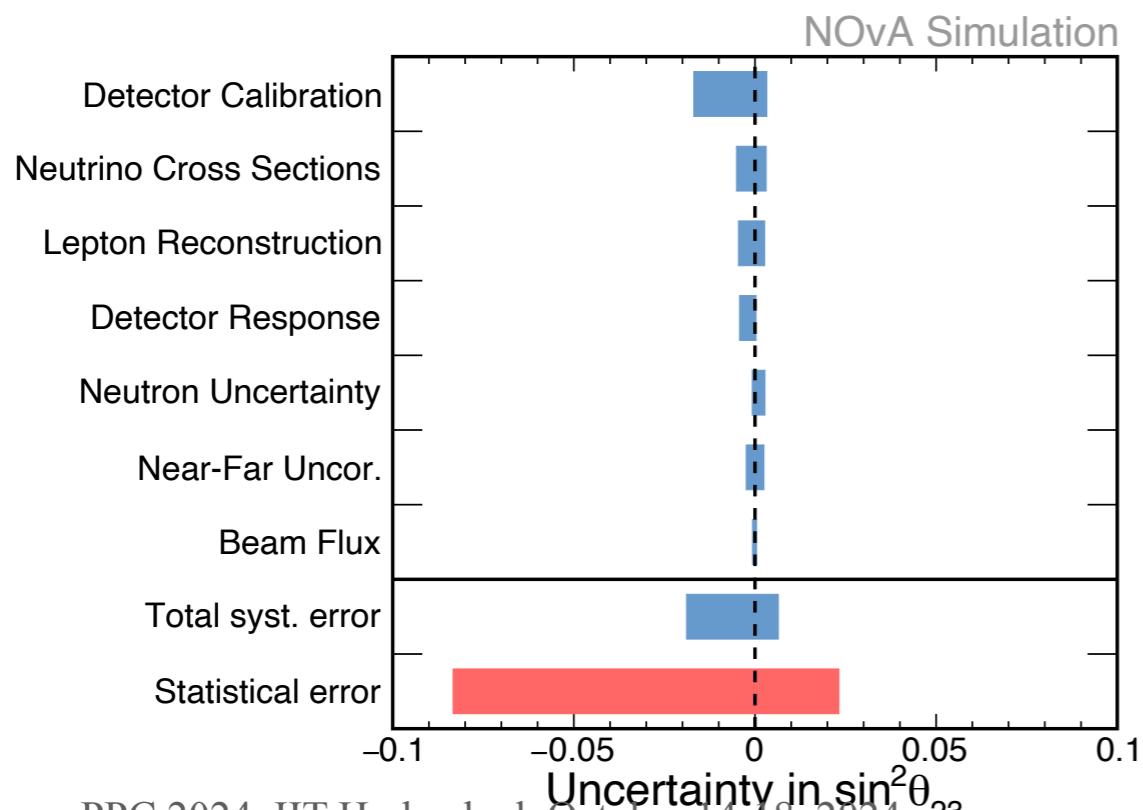
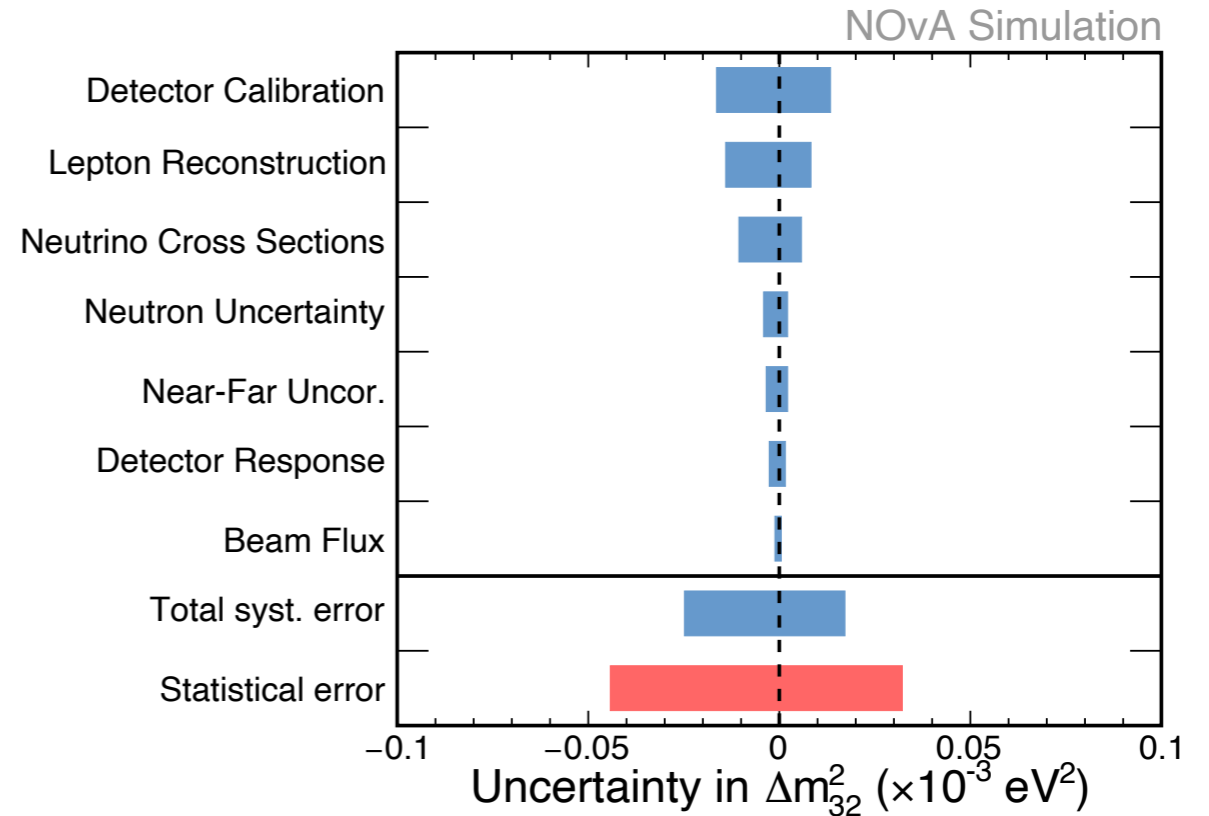
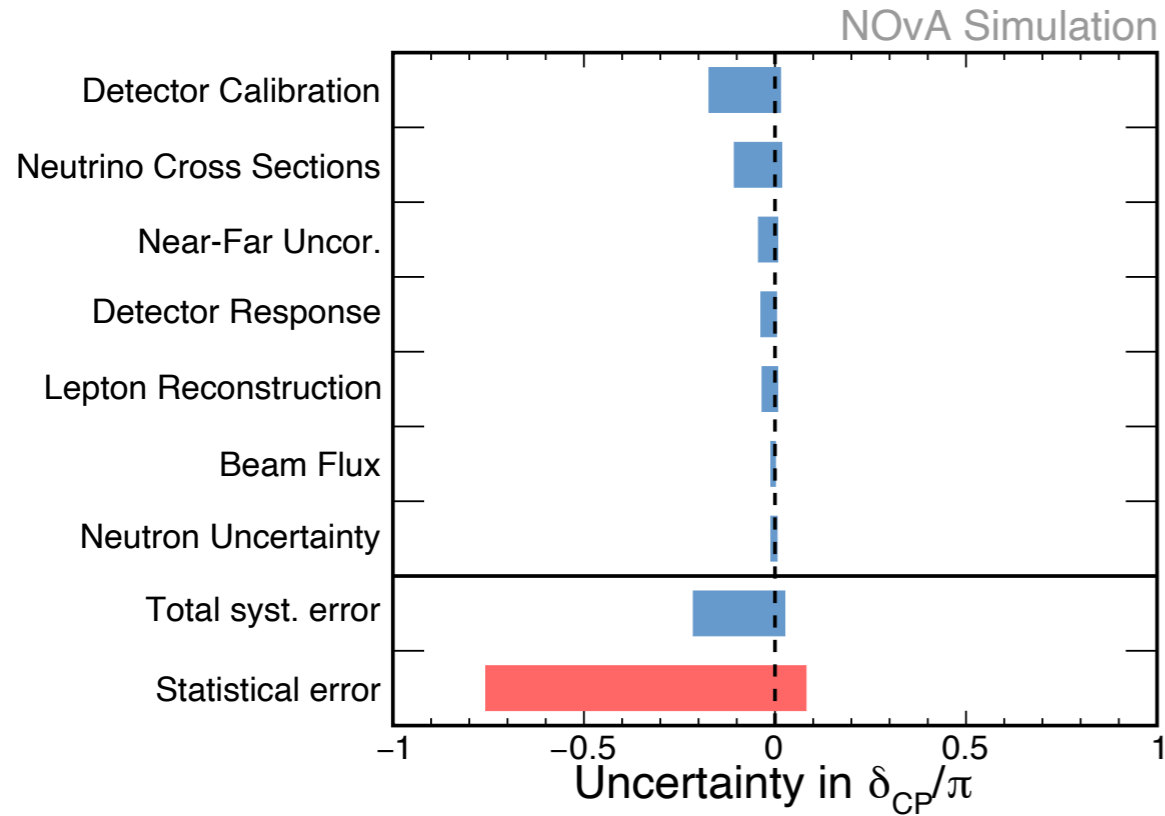
- Functionally identical detectors cancel out systematic uncertainties on the best fit neutrino oscillation parameters
- The near detector (ND) data-MC differences are extrapolated in true energy bins to provide data-driven predictions of un-oscillated  $\nu_\mu$  ( $\bar{\nu}_\mu$ ) and oscillated  $\nu_e$  ( $\bar{\nu}_e$ ) events at the far detector (FD)
- The  $\nu_\mu$  ( $\bar{\nu}_\mu$ ) extrapolation is divided into 4 hadronic energy fraction quartiles to improve the sensitivity of the experiment
- Extrapolation is further divided into 3 bins of final state lepton transverse momentum ( $p_t$ ) which takes into account the neutrino interaction mis-modeling and the differences in ND and FD



# Uncertainties on FD Predictions



# Uncertainties on Oscillation Parameters

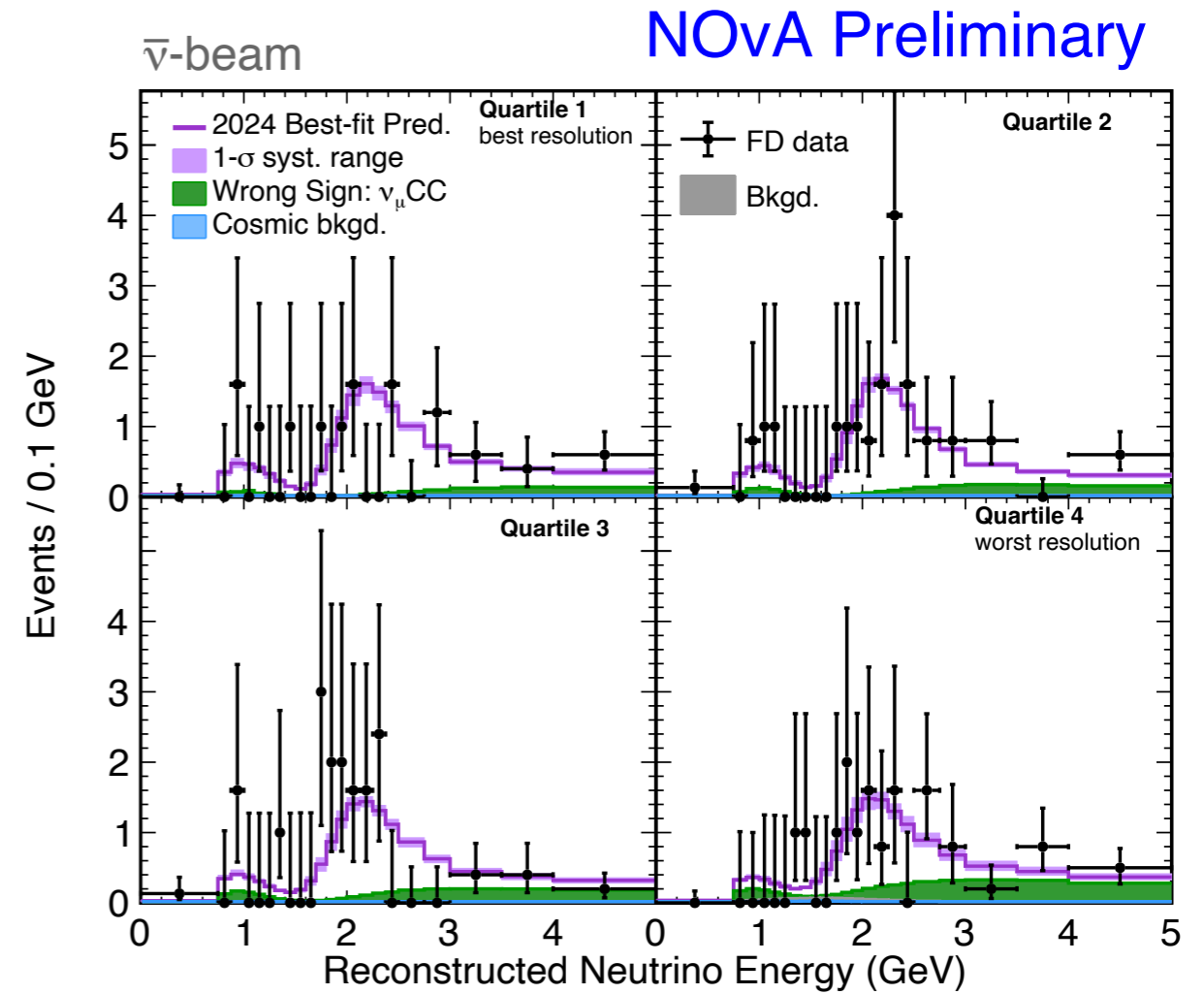
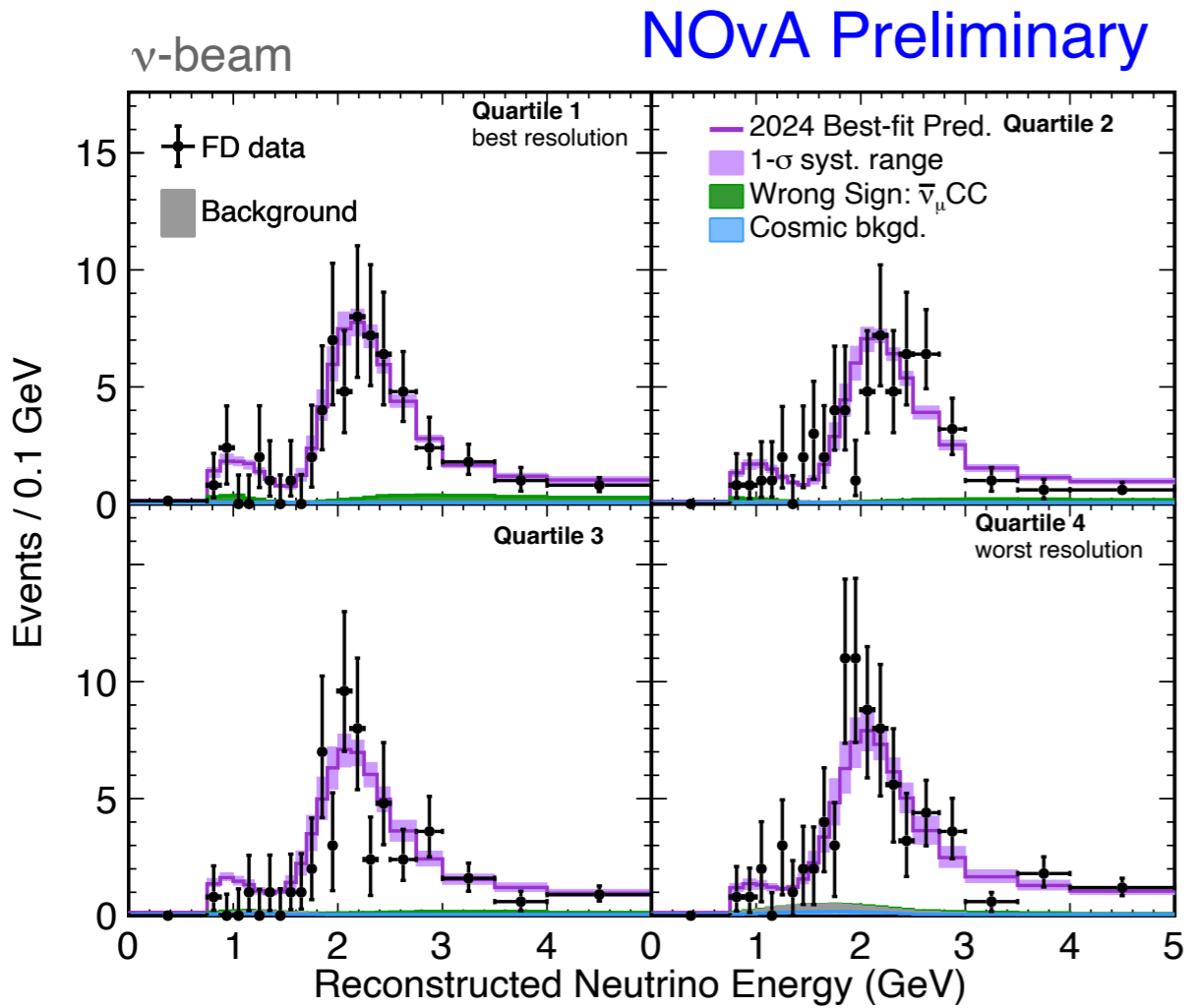


Source of Uncertainty	$\sin^2 \theta_{23}$	$\delta_{CP}/\pi$	$ \Delta m_{32}^2  (\times 10^{-3} \text{ eV}^2)$
Beam Flux	+0.00042 / -0.00069	+0.0012 / -0.011	+0.00053 / -0.0012
Detector Calibration	+0.0033 / -0.017	+0.014 / -0.17	+0.013 / -0.016
Detector Response	+0.00031 / -0.0043	+0.004 / -0.037	+0.0016 / -0.0026
Lepton Reconstruction	+0.0027 / -0.0046	+0.007 / -0.034	+0.0083 / -0.014
Near-Far Uncor.	+0.0025 / -0.0024	+0.0072 / -0.043	+0.0022 / -0.0034
Neutrino Cross Sections	+0.0031 / -0.0051	+0.018 / -0.11	+0.0058 / -0.011
Neutron Uncertainty	+0.0028 / -0.00075	+0.0056 / -0.011	+0.0022 / -0.0041
Systematic Uncertainty	+0.0067 / -0.019	+0.027 / -0.21	+0.017 / -0.024
Statistical Uncertainty	+0.023 / -0.083	+0.081 / -0.76	+0.032 / -0.044

**Table:** Summary of uncertainties on Ana2024 frequentist joint best-fit point, evaluated at the NOvA best-fit values i.e.  $\sin^2 \theta_{23} = 0.55$ ,  $\delta_{CP}/\pi = 0.88$ , and  $|\Delta m_{32}^2| (\times 10^{-3} \text{ eV}^2) = 2.43$ .



# FD $\nu_{\mu}(\bar{\nu}_{\mu})$ Events By Quartiles



# Ratios to No Oscillations

