

# Probing new physics with high energy appearance events @ NOvA

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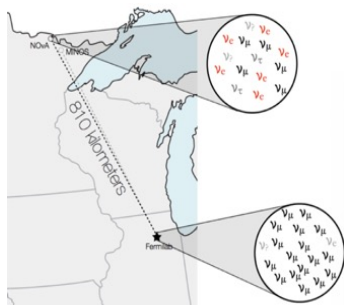


- ① Non-standard interactions (NSIs).
- ② Constraining matter NSI parameters with NOvA HE events.
- ③ Environmental neutrino decoherence.
- ④ Constraining decoherence parameters with NOvA HE events.
- ⑤ Conclusions

# NOvA experiment

- NOvA : **NuMI Off-axis  $\nu_e$  Appearance Experiment** with a baseline of 810 km.
- $\nu_\mu$  beam produced : **Fermilab's NuMI beam facility** directed at an off-axis angle : 14.6 milli radians.
- Two identical liquid scintillator detectors.
- **Primary Objective** : To determine three-flavour neutrino oscillation parameters.
- NOvA uses  $1 < E_\nu < 4$  GeV  $\nu_e$  events to achieve this goal.

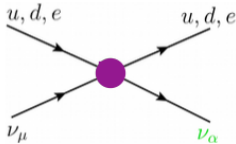
**In this work, we consider  $4 < E_\nu < 20$  GeV  $\nu_e$  events (NOvA side band events) to study the sub-leading effects.**



# Non-standard interactions (NSIs)

# Non-standard interactions (NSIs)

- NSI speculated by L. Wolfenstein, in his seminal paper [[Phys. Rev. D17, 2369 \(1978\)](#)], before the discovery of neutrino oscillations.



- Standard NC interaction :  $\nu_\alpha + f \rightarrow \nu_\alpha + f$
- Non-Standard NC interaction :  $\nu_\alpha + f \rightarrow \nu_\beta + f$
- The effective four fermion Lagrangian density

$$\mathcal{L}_{\text{NSI}}^{\text{NC}} = -2\sqrt{2}G_F\epsilon_{\alpha\beta}^{fC}(\bar{\nu}_\alpha\gamma^\rho P_L\nu_\beta)(\bar{f}\gamma_\rho P_C f) + \text{h.c.} \quad (1)$$

- The effective Hamiltonian

$$H_{\text{eff}} \simeq \frac{1}{2E}U \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2)U^\dagger + V. \quad (2)$$

- The matter potential  $V$

$$V = 2\sqrt{2}G_F N_e(r)E \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu}e^{i\phi_{e\mu}} & \epsilon_{e\tau}e^{i\phi_{e\tau}} \\ \epsilon_{e\mu}e^{-i\phi_{e\mu}} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau}e^{i\phi_{\mu\tau}} \\ \epsilon_{e\tau}e^{-i\phi_{e\tau}} & \epsilon_{\mu\tau}e^{-i\phi_{\mu\tau}} & \epsilon_{\tau\tau} \end{pmatrix}, \quad (3)$$

# Modified Appearance probability $P_{\mu e}$

- The Appearance probability for (NH) : expressed in terms of  $s_{13}$ ,  $r = \Delta m_{21}^2 / \Delta m_{31}^2$  and  $\epsilon_{e\tau}$  (small parameters),  $\epsilon_{ee}$  :

$$\begin{aligned} P_{\mu e} &= x^2 f^2 + 2xyfg \cos(\Delta + \delta_{CP}) + y^2 g^2 + \\ &+ 4\hat{A}\epsilon_{e\tau}s_{23}c_{23}(xf[f \cos(\phi_{e\tau} + \delta) - g \cos(\Delta + \delta + \phi_{e\tau})] \\ &- yg[g \cos \phi_{e\tau} - f \cos(\Delta - \phi_{e\tau})]) \\ &+ \mathcal{O}(s_{13}^2\epsilon_{e\tau}, s_{13}\epsilon_{e\tau}^2, \epsilon_{e\tau}^3) + \mathcal{O}(\epsilon_{e\mu}) + h.o. \end{aligned} \quad (4)$$

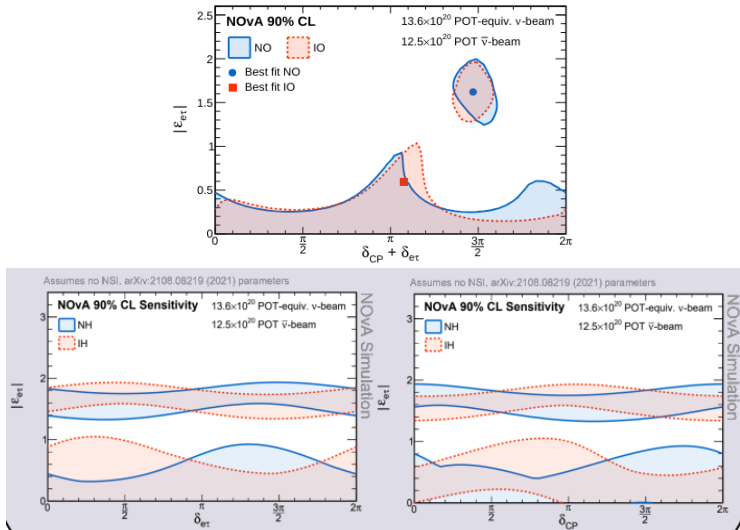
$$x = 2s_{13}s_{23}, \quad y = rc_{23} \sin 2\theta_{12}, \quad \Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad \hat{A} = \frac{A}{\Delta m_{31}^2},$$

$$f, \bar{f} = \frac{\sin[\Delta(1 \mp \hat{A}(1 + \epsilon_{ee}))]}{(1 \mp \hat{A}(1 + \epsilon_{ee}))}, \quad g = \frac{\sin[\hat{A}(1 + \epsilon_{ee})\Delta]}{\hat{A}(1 + \epsilon_{ee})}$$

[Liao, Marfatia, Whisnant, Phys. Rev. D 93, 093016]

- For IH :  $\Delta \rightarrow -\Delta$  and  $\hat{A} \rightarrow -\hat{A}$

# NOvA collaboration results (arXiv: 2403.07266)



NOvA Collaboration: fig. 4 in ref. [arxiv:2403.07266](https://arxiv.org/abs/2403.07266) (upper). On behalf of the NOvA collaboration: figure from ref. [FERMILAB-POSTER-22-033-ND](https://arxiv.org/abs/2108.08219) (lower).

## Our work

Constraining NSI parameters using NOvA  
HE events



# Parameters

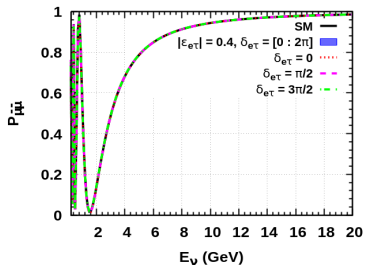
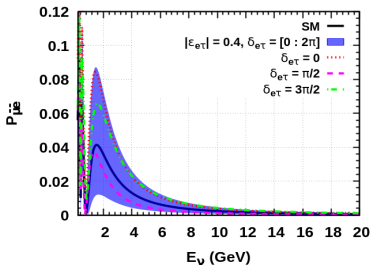
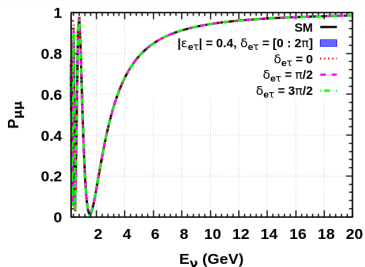
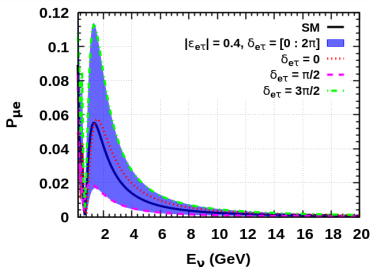
- Simulation details and oscillation parameters are taken from ref. *Phys. Rev. D 106 (3) (2022) 032004*.
  - Run time : 6 years for  $\nu$  and 3 years for  $\bar{\nu}$  .
  - Exposure :  $13.6 \times 10^{20}$  POT for  $\nu$  and  $12.5 \times 10^{20}$  POT for  $\bar{\nu}$  .
  - Target volume (FD) : 14 kton.
  - Baseline : 810 km.
  - Earth's crust density :  $2.84 \text{ gm/cm}^3$  .

Parameters	True values	$3\sigma$ ranges
$\sin^2 \theta_{12}$	0.307	Fixed
$\sin^2 \theta_{13}$	0.021	[0.02 : 0.02405]
$\sin^2 \theta_{23}$ NH (IH)	0.57 (0.56)	[0.38 : 0.64]
$\delta_{CP}$ NH (IH)	$0.82\pi$ ( $1.52\pi$ )	[0 : $2\pi$ ]
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	7.53	Fixed
$\frac{\Delta m_{32}^2}{10^{-3} \text{ eV}^2}$ NH (IH)	2.41 (-2.45)	[ $\pm 2.29$ : $\pm 2.54$ ]

Table: Standard oscillation parameters

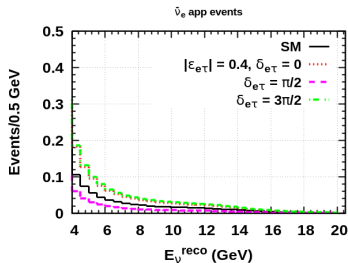
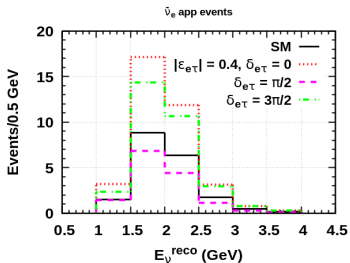
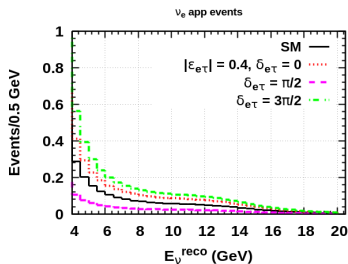
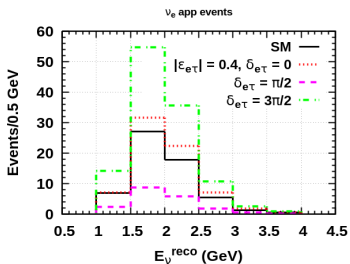
- NSI bounds :  $|\epsilon_{e\tau}| \leq 0.4$  and  $|\epsilon_{e\mu}| \leq 0.3$  (arxiv:2403.07266)

# Oscillation probability in the presence of NSI (non-zero $\epsilon_{e\tau}$ )



Oscillation probability when only  $|\epsilon_{e\tau}|e^{i\phi_{e\tau}}$  is non zero.

# Corresponding Event rates



Number of events when only  $|\epsilon_{e\tau}|e^{i\phi_{e\tau}}$  is non zero.

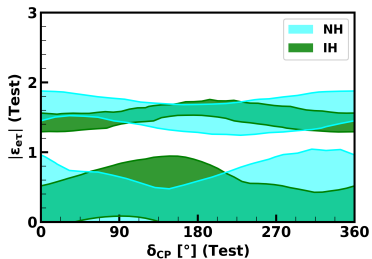
- NSI

Cases		$\nu_e$ -app events			$\bar{\nu}_e$ -app events		
		1 - 4 GeV	1 - 20 GeV	Excess	1 - 4 GeV	1 - 20 GeV	Excess
SM		59.0	61.0	2.0	19.0	19.6	0.6
$ \epsilon_{e\tau}  = 0.4$	$\delta_{e\tau} = 0$	70.0	73	3.0	36.3	37.4	1.1
	$\delta_{e\tau} = \pi/2$	19.0	20.0	1.0	14.1	14.4	0.3
	$\delta_{e\tau} = 3\pi/2$	118.3	122.3	4.0	31.3	32.4	1.1

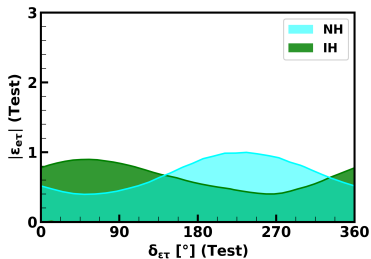
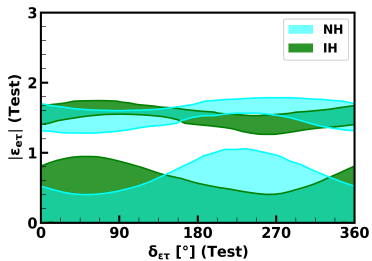
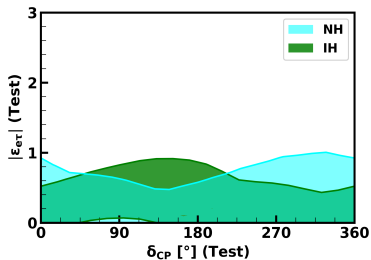
Table: Excess events at HE considering  $\epsilon_{e\tau} \neq 0$ .

# 2D sensitivity of $\epsilon_{e\tau}$ versus $\delta_{CP}$ and $\delta_{e\tau}$ (95% CL)

Including 1-5 GeV events

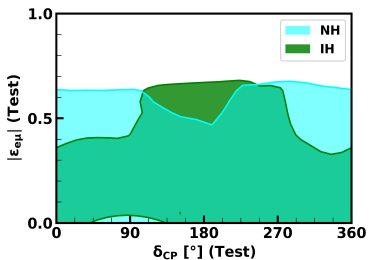


High energy events(1-20 GeV)

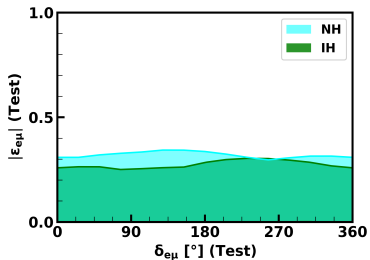
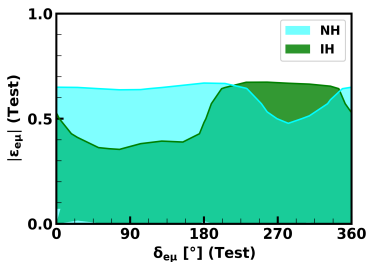
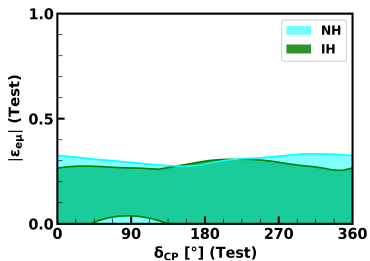


# 2D sensitivity of $\epsilon_{e\mu}$ versus $\delta_{CP}$ (95% CL)

Including 1-5 GeV events



High energy events(1-20 GeV)



- The degenerate band observed around higher values of  $\epsilon_{e\tau} > 1$  in the left side plot disappears when we consider the high energy events (1-20 GeV).
- Same conclusion can be drawn when the true hierarchy is assumed to be inverted hierarchy.
- Our conclusions agree with the conclusion drawn in the recent NOvA NSI paper (arXiv: 2403.07266) where the authors mention “*Analyzing a **wider range of neutrino energies**, and possibly combining with measurements from other experiments, is being explored to increase sensitivity to the upper contour in the future.*”

**Effect of environmental decoherence**  
**@**  
**NOvA HE**



# Environmental decoherence

- Neutrino system interacts with the stochastic environment.

- $$\frac{d\tilde{\rho}_m(t)}{dt} = -i [H, \tilde{\rho}_m(t)] + \mathcal{D} [\tilde{\rho}_m(t)] .$$

- **Assumptions:**

- (a) complete positivity,
- (b) trace preserving conditions,
- (c) increasing von Neumann entropy,
- (d) energy conservation of the neutrino system.

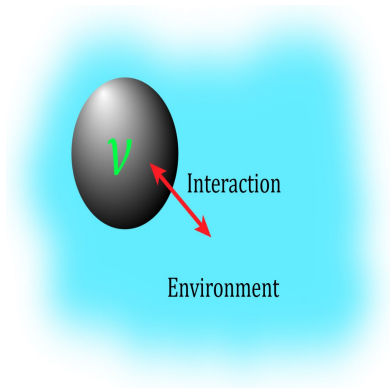


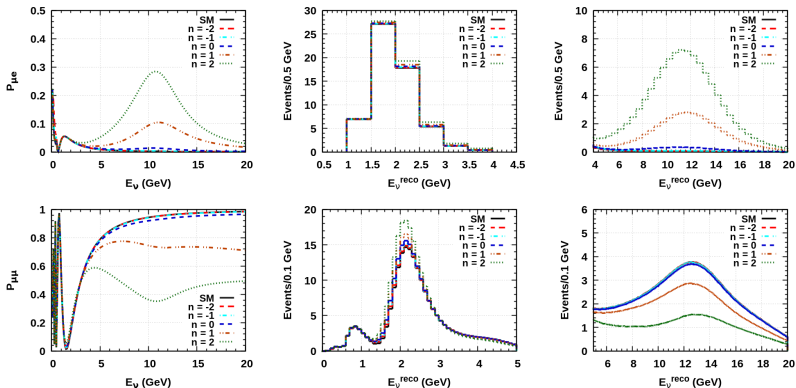
Fig 2. Neutrino system as an open quantum system.

# Oscillation probability in the presence of decoherence

- $P_{\alpha\beta}(t) = \text{Tr}[\tilde{\rho}_\alpha(t)\tilde{\rho}_\beta(0)]$  .
- $P_{\alpha\beta}(L) = \delta_{\alpha\beta} - 2 \sum_{j>k} \text{Re} \left( \tilde{U}_{\beta j} \tilde{U}_{\alpha j}^* \tilde{U}_{\alpha k} \tilde{V}_{\beta k}^* \right)$   
 $+ 2 \sum_{j>k} \text{Re} \left( \tilde{U}_{\beta j} \tilde{U}_{\alpha j}^* \tilde{U}_{\alpha k} \tilde{U}_{\beta k}^* \right) \exp(-\Gamma_{jk}L) \cos \left( \frac{\tilde{\Delta}m_{jk}^2 L}{2E} \right)$   
 $+ 2 \sum_{j>k} \text{Im} \left( \tilde{U}_{\beta j} \tilde{U}_{\alpha j}^* \tilde{U}_{\alpha k} \tilde{U}_{\beta k}^* \right) \exp(-\Gamma_{jk}L) \sin \left( \frac{\tilde{\Delta}m_{jk}^2 L}{2E} \right)$  .
- Damping of interference terms by a factor  $e^{-\Gamma L}$  in the oscillation probability.
- Energy dependency on  $\Gamma$  :

$$\Gamma_{jk}(E_\nu) = \Gamma_0 \left( \frac{E_\nu}{\text{GeV}} \right)^n ; n = 0, \pm 1, \pm 2 .$$

# Oscillation probability and event rate in the presence of decoherence



$\Gamma_{21} = \Gamma_{31} = \Gamma_{32} = 1.0 \times 10^{-23} \text{ GeV}$ .  $\nu_e$  appearance probability and event rate (upper row). Disappearance probability and event rate (lower row).

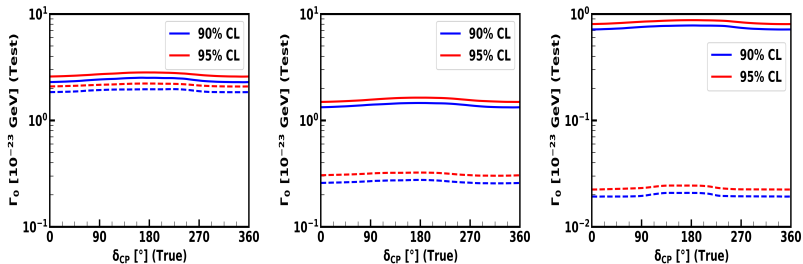
- Decoherence ( $\Gamma_{21} = \Gamma_{31} = \Gamma_{32} = 1.0 \times 10^{-23}$  GeV)

Cases	$\nu_e$ -app events			$\nu_\mu$ -disapp events		
	1 - 4 GeV	1 - 20 GeV	Excess events (4 - 20 GeV)	0 - 5 GeV	0 - 20 GeV	Excess events (5 - 20 GeV)
SM	59.0	61.0	2.0	215.0	589.8	374.8
$n = -2$	59.1	61.2	2.1	218.0	592.7	374.7
$n = -1$	59.3	61.7	2.4	220.8	594.9	374.1
$n = 0$	59.7	66.0	6.3	225.9	592.3	366.4
$n = 1$	60.7	101.0	40.3	234.8	529.8	295.0
$n = 2$	63.0	165.9	102.9	250.1	423.8	173.7

Table: Excess #events at HE considering  $\Gamma_{ij} \neq 0$ .

# Constraining $\Gamma$ for power law dependency $n \geq 0$

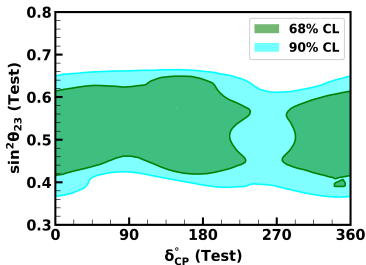
- Since  $n \geq 0$  have significant contribution to modify probability and number of events, we analyse the upper bounds for  $n \geq 0$ .
- In all the three cases ( $n = 0$  (left plot),  $n = 1$  (middle plot) and  $n = 2$  (right plot)), we see that the dashed lines corresponding to 1-20 GeV events impose tighter bounds on the decoherence parameter  $\Gamma$ .



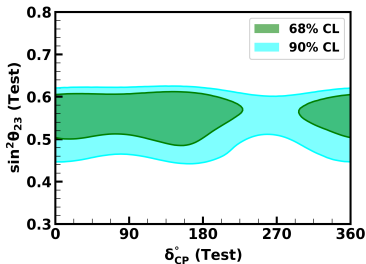
Constraining  $\Gamma$  for 1 - 5 GeV (solid lines) and 1- 20 GeV (dashed lines). In left for  $n = 0$ , middle  $n = 1$  and right for  $n = 2$ . Marginalized over  $\delta_{CP}$ ,  $\theta_{23}$ ,  $\Delta m_{31}^2$ .

# 2D sensitivity of $\theta_{23}$ versus $\delta_{CP}$

Standard NOvA framework.



Including high energy events  
(up to 20 GeV).



$\Gamma_{21} = \Gamma_{31} = \Gamma_{32} = 1.0 \times 10^{-23} \text{ GeV}$  in true for  $n = 2$ . Marginalized over  $\Delta m_{31}^2$ ,  $\theta_{13}$  and  $\Gamma$ .

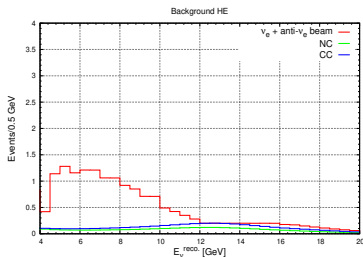
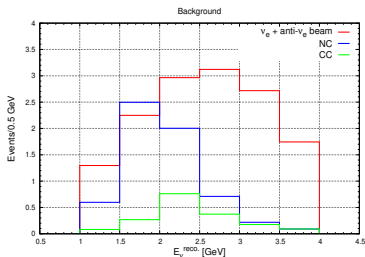
- Considering 1-20 GeV events has provided tighter bounds on decoherence parameter  $\Gamma$ .
- The 2D sensitivity of  $\theta_{23}$  versus  $\delta_{CP}$  shows, how the measurement of  $\theta_{23}$  and  $\delta_{CP}$  gets effected in the presence of non-zero decoherence in nature.

**Thank you!**



**Back up!**

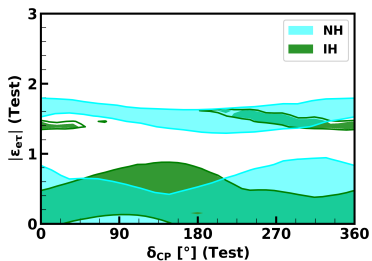
# Background events



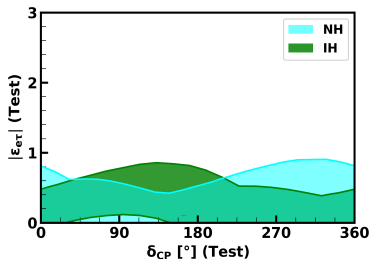
- Beam and NC backgrounds significant at 1-4 GeV.
- At high energy beam backgrounds are significant.

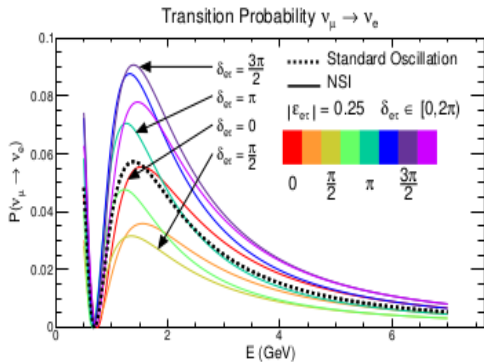
# 2D sensitivity of $\epsilon_{e\tau}$ versus $\delta_{CP}$ (90% CL)

Including 1-5 GeV events



High energy events (1-20 GeV)





NOvA Collaboration: fig. 1 in ref. *arxiv:2403.07266*

- The effective Hamiltonian

$$H_{eff} \simeq \frac{1}{2E} U \text{diag}(0, \Delta m_{21}^2, \Delta m_{31}^2) U^\dagger + V. \quad (5)$$

- The matter potential  $V$

$$V = 2\sqrt{2}G_F N_e(r) E \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} e^{i\phi_{e\mu}} & \epsilon_{e\tau} e^{i\phi_{e\tau}} \\ \epsilon_{e\mu} e^{-i\phi_{e\mu}} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} e^{i\phi_{\mu\tau}} \\ \epsilon_{e\tau} e^{-i\phi_{e\tau}} & \epsilon_{\mu\tau} e^{-i\phi_{\mu\tau}} & \epsilon_{\tau\tau} \end{pmatrix}, \quad (6)$$