

## The Neutrino Landscape



**Srubabati Goswami,  
ANRF J.C. Bose Fellow**

17th International Conference on interconnections between particle physics and cosmology, 14-18 October, Hyderabad, India

---

# Three fundamental questions

---

- ❖ Do neutrinos have mass ? If so how small ? (Pauli, Fermi, 1930s)

Planck data =>  $\sum m_i \leq 0.26eV$

- ❖ Do neutrinos of different flavour oscillate amongst one another ? (Pontecorvo, Maki, Nakagawa, Sakata, 1960s)

Neutrino oscillations observed => neutrino mass and flavour mixing

- ❖ Are neutrinos their own antiparticles ? (Majorana 1930s)

Can be tested in neutrino less double beta decay

- ❖ The three issues are interconnected and may throw light on the physics beyond the Standard Model

# The neutrino mass matrix

- ❖ The neutrino mass matrix at low energy

$$m_\nu = U_{PMNS}^* \text{Diag}(m_1, m_2, m_3) U_{PMNS}^\dagger$$

- ❖ Mixing matrix relating the flavour and mass states

$$U_{PMNS} = R_{23}(\theta_{23}) R_{13}(\theta_{13}, \delta) R_{12}(\theta_{12}) P(\sigma, \rho)$$

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} P(\sigma, \rho)$$

---

# Parameters of the neutrino mass matrix

---

- ❖ **9 unknown parameters for three neutrino flavours**

  - 3 masses**  $m_1, m_2, m_3$

  - 3 mixing angles**  $\theta_{12}, \theta_{13}, \theta_{23}$

  - 3 phases**  $\delta, \alpha, \beta$

- ❖ **Oscillation experiments sensitive to**

  - 2 mass squared differences**  $\Delta m_{21}^2 = m_2^2 - m_1^2, \Delta m_{31}^2 = m_3^2 - m_1^2$

  - 3 mixing angles**  $\theta_{12}, \theta_{13}, \theta_{23}$

  - 1 Dirac phase**  $\delta$

- ❖ **Absolute neutrino mass comes from**

  - Tritium Beta-decay**

  - Neutrino-less double beta decay**

  - Cosmology**

- ❖ **Majorana CP phases from lepton number violating processes**

# Oscillation Probability (vacuum)

If neutrinos have Mass: :

Flavour states  $\nu_\alpha = \sum_i U_{\alpha i} \nu_i$  Mass states

$$P_{\alpha\beta} = \delta_{\alpha\beta} - 4 \sum_{i < j} \text{Re}(U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} U_{\beta i}) \sin^2 \Delta_{ij} + 2 \sum_{i > j} \text{Im}(U_{\alpha i}^* U_{\beta j}^* U_{\alpha j} U_{\beta i}) \sin 2\Delta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \end{pmatrix}$$

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$P(\nu_e \rightarrow \nu_\mu) = \sin^2 2\theta \sin^2(\Delta m^2 L / 4E)$$

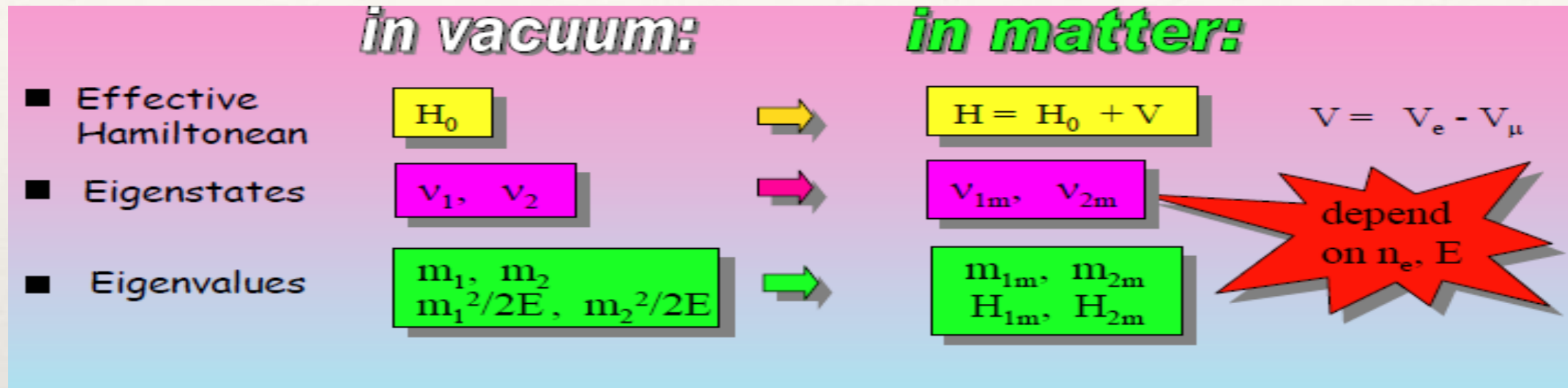
- Neutrino Oscillation requires
  - Non-zero neutrino mass
  - Non-zero mixing angles
  - Oscillation effect  $\Delta m^2 \sim E/L$

$$\bar{\nu} : U \rightarrow U^*$$

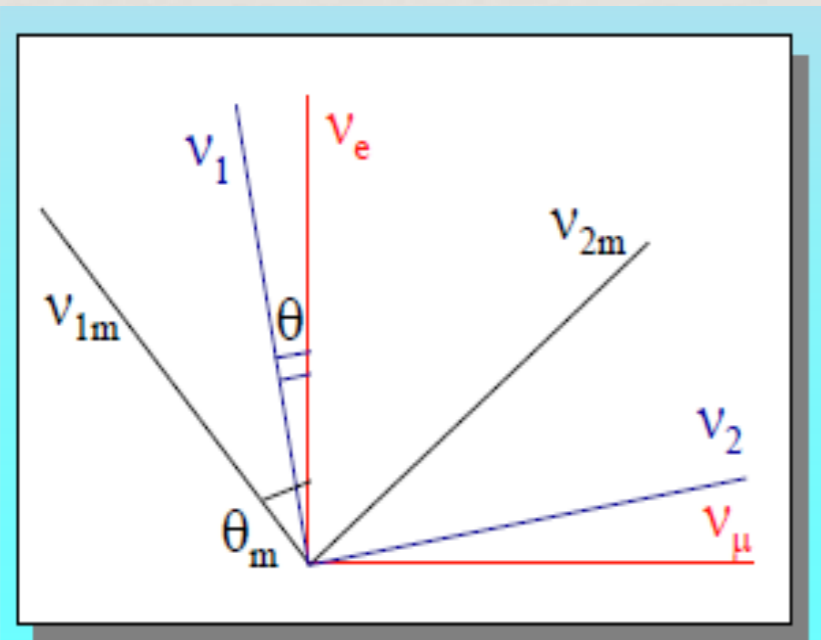
Not sensitive to the **sign** of  $\Delta m^2$   
 Not sensitive to the **octant** of  $\theta$

Not sensitive to absolute masses

# Matter Effect



Courtesy: A. Yu. Smirnov



Mixing angle in matter is defined with respect to the matter eigenstates

**The eigenvalues and the mixing angle are determined by diagonalizing the effective Hamiltonian in matter**

# Matter Effect

- In matter, only  $\nu_e$ 's undergoes Charged current interaction  $\rightarrow$  an effective potential of  $\sqrt{2}G_F N_e$

Effective mixing angle  $\theta_M$  in matter

$$\tan 2\theta_M = \frac{\Delta m^2 \sin 2\theta}{\Delta m^2 \cos 2\theta - 2\sqrt{2}G_F n_e E}$$

$$\Delta m^2 \cos 2\theta = 2\sqrt{2}G_F n_e E, \theta_M \rightarrow \pi/4 \quad \text{MSW Resonance}$$

L. Wolfenstein, PRD 17, 1978 S.P. Mikheyev, A.Yu. Smirnov, SJNP 42, 1985

$$\Delta m_m^2 = [(\Delta m^2 \cos 2\theta - 2\sqrt{2}G_F n_e E)^2 + \Delta m^2 \sin^2 2\theta]^{1/2}$$

For antineutrinos potential changes sign

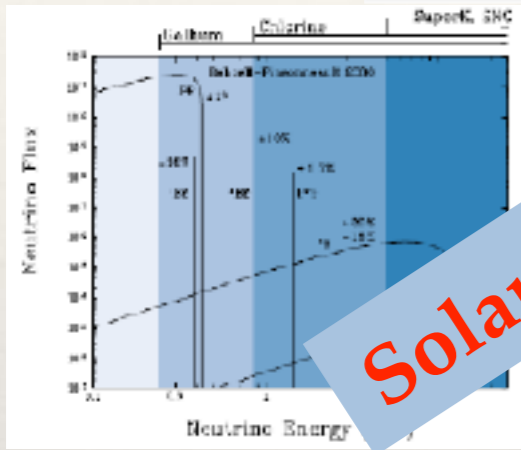
Resonance for  $\Delta m^2 < 0$

**Matter effect is sensitive to the ordering of the mass states**

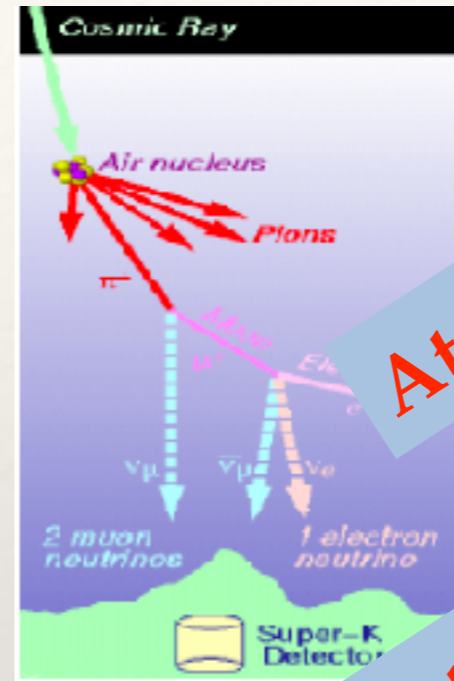
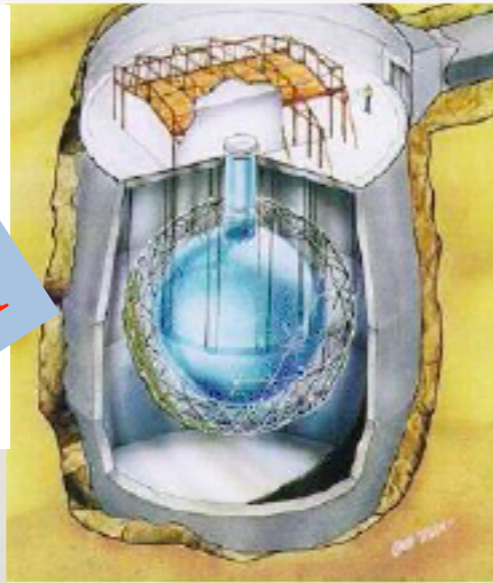
- Probabilities are obtained by solving propagation equation in matter
- Depends on density profile of matter

# Neutrino Oscillation: Evidences

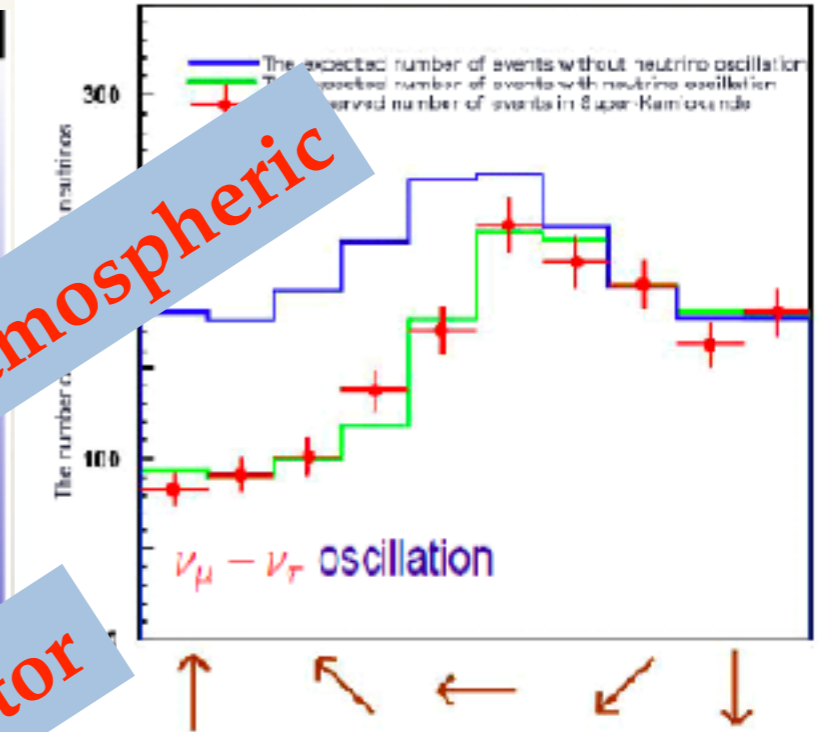
$$\frac{CC}{NC} = \frac{\nu_e}{\nu_e + \nu_\mu + \nu_\tau} < 1$$



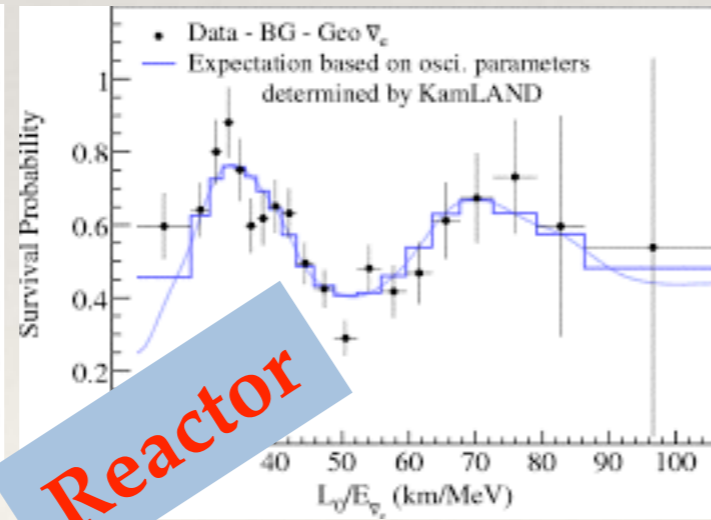
**Solar**



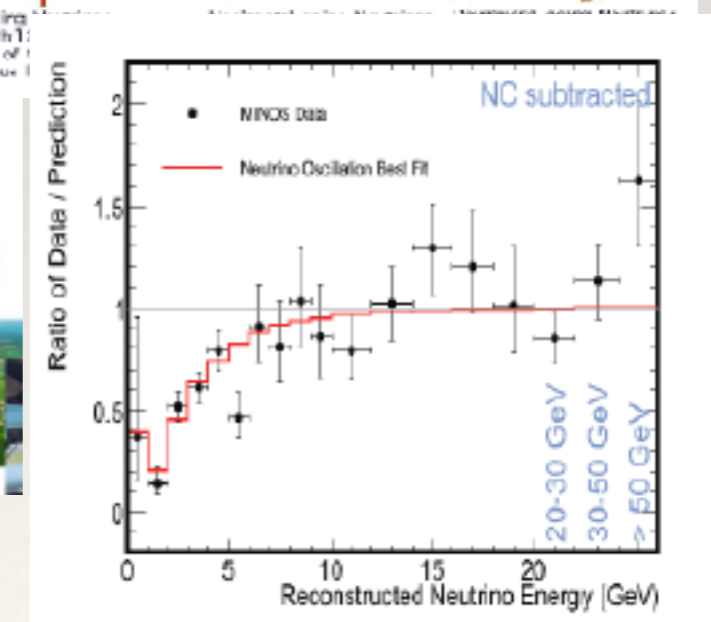
**Atmospheric**



**Accelerator**

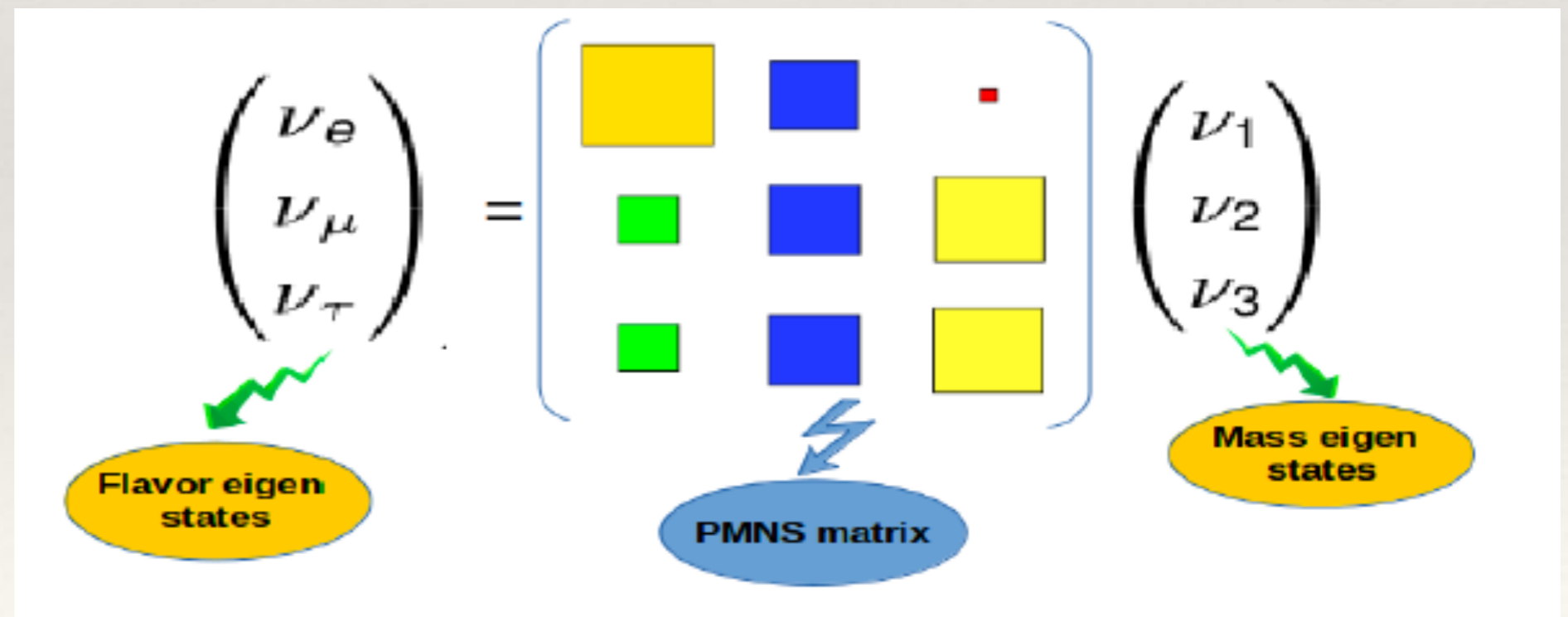
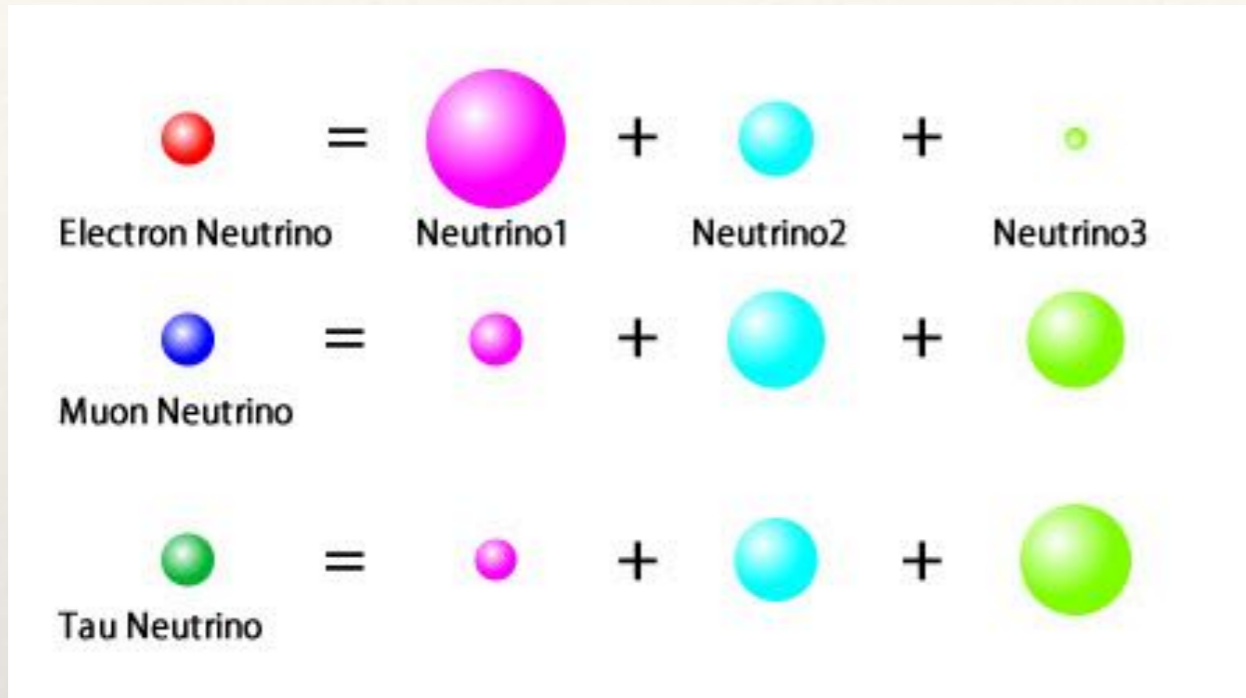


**Reactor**





# Three Neutrino Mixing



# Three Neutrino Paradigm

- Measurement of non-zero  $\theta_{13}$  in reactor experiments  $\rightarrow$  three neutrino picture

$$\begin{array}{c}
 \text{Atm +LBL} \qquad \qquad \qquad \text{Sol+KL} \\
 \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & e^{-i\delta} s_{13} \\ & 1 \\ -e^{i\delta} s_{13} & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} \\ -s_{12} & c_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \\
 c_{12} = \cos\theta_{12} \text{ etc., } \delta \text{ CP-violating phase}
 \end{array}$$

- $\Delta m_{21}^2, \theta_{12}, \theta_{13}$  Solar + KamLAND
- $\Delta m_{31}^2, \theta_{13}$  Reactor
- $\Delta m_{31}^2, \theta_{23}, \theta_{13}, \delta_{CP}$  Atm + LBL

Global analysis

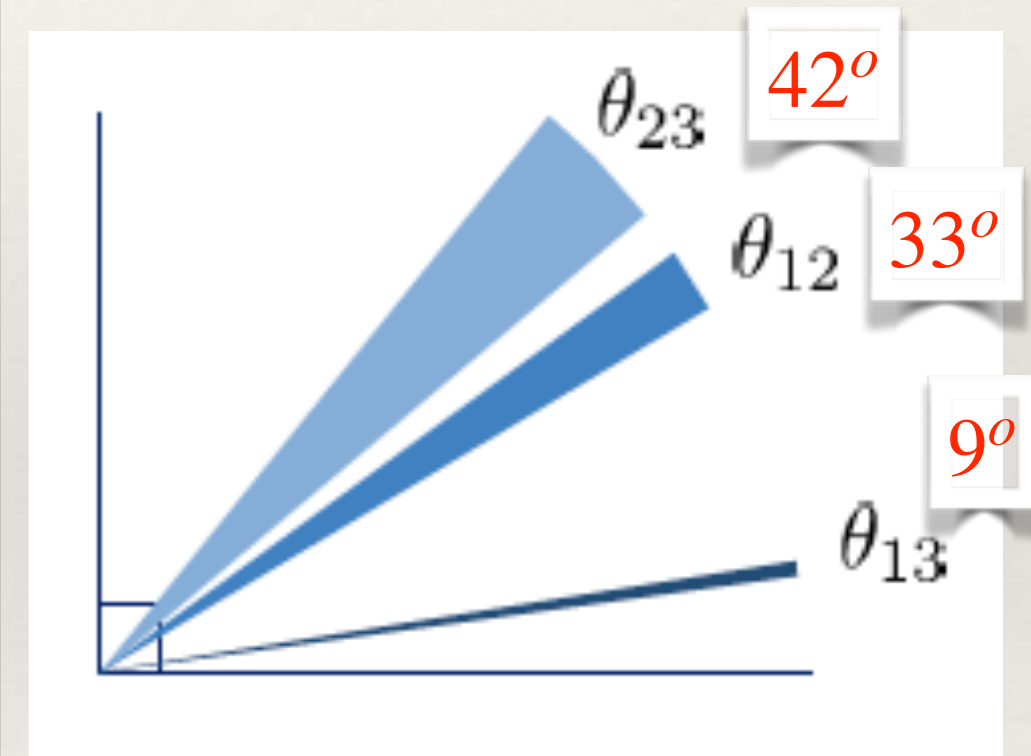
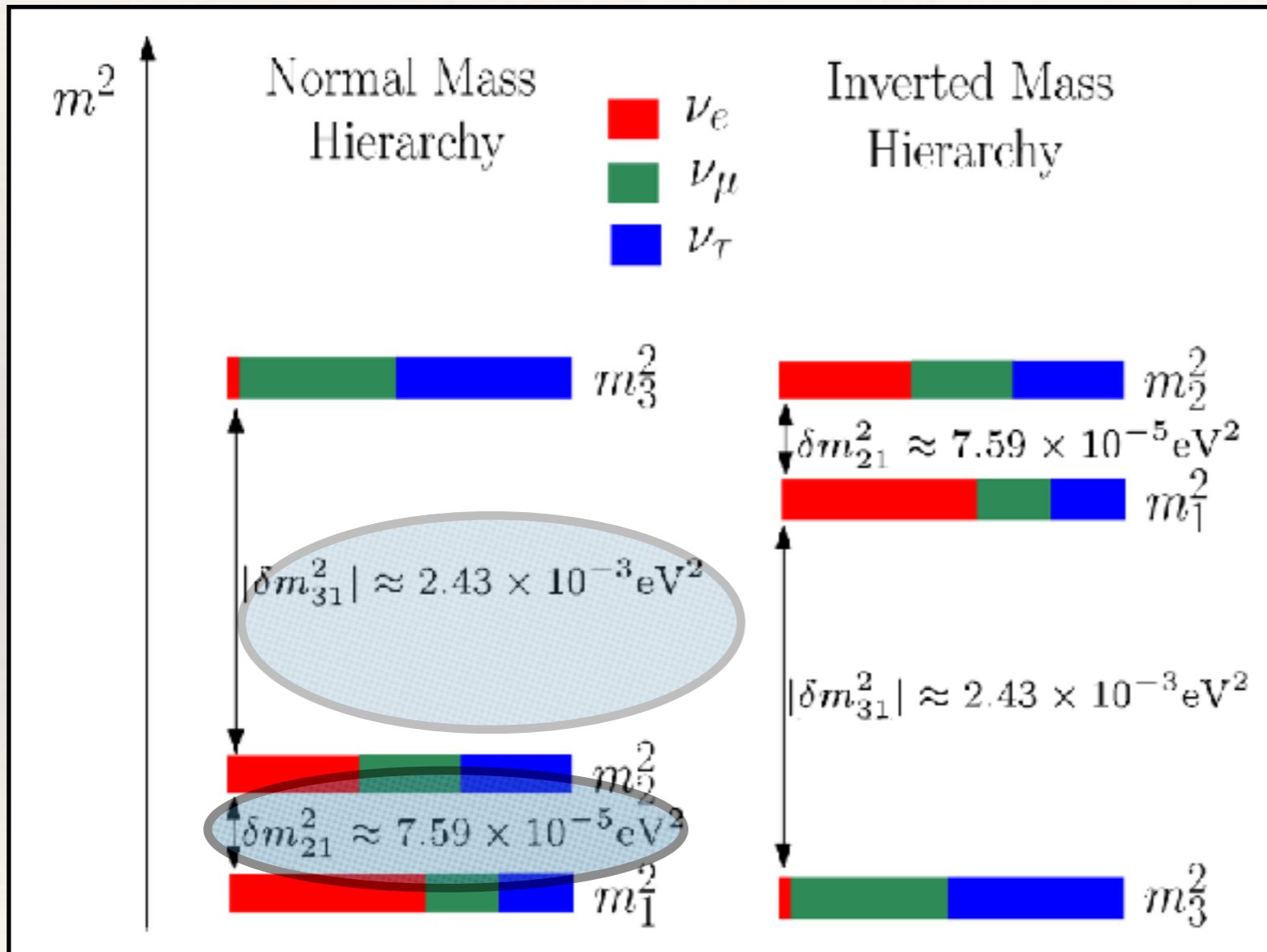
combines statistics

Interplay among different sectors because of  $\theta_{13}$

Tensions in the data

Complementarity and Synergy

# Neutrino Oscillation Parameters



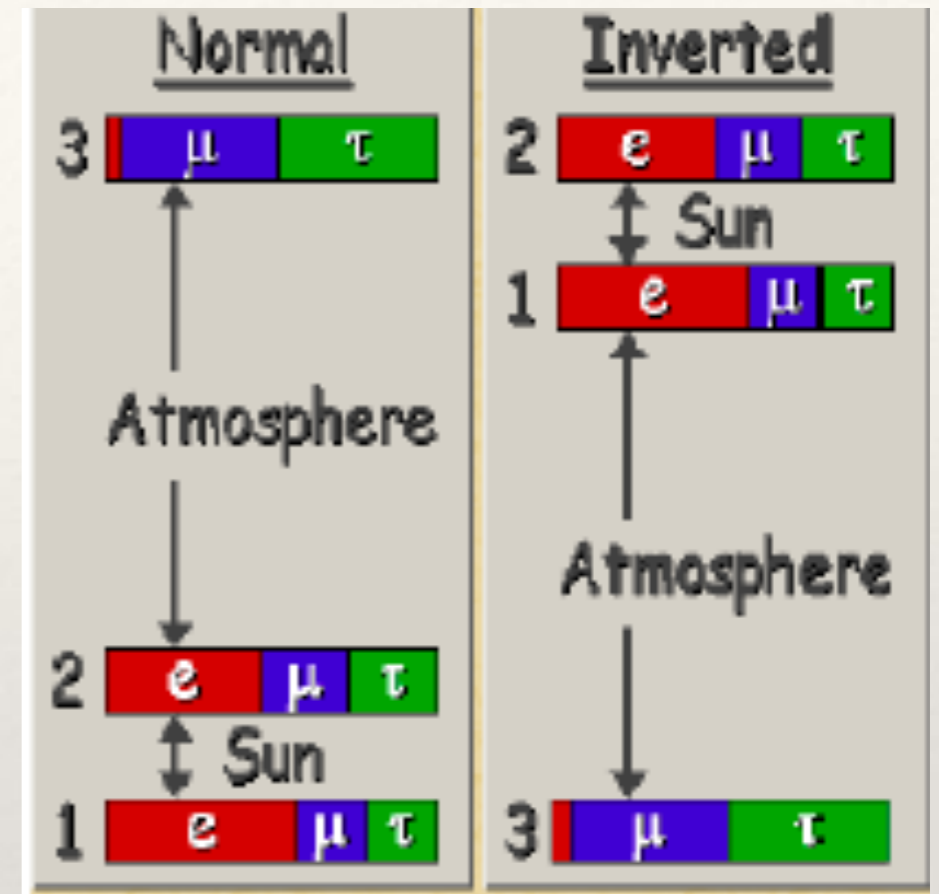
# Neutrino Oscillation : from discovery to precision

	Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 7.0$ )	
	bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
$\sin^2 \theta_{12}$	$0.304^{+0.012}_{-0.012}$	$0.269 \rightarrow 0.343$	$0.304^{+0.013}_{-0.012}$	$0.269 \rightarrow 0.343$
$\theta_{12}/^\circ$	$33.45^{+0.77}_{-0.75}$	$31.27 \rightarrow 35.87$	$33.45^{+0.78}_{-0.75}$	$31.27 \rightarrow 35.87$
$\sin^2 \theta_{23}$	$0.450^{+0.019}_{-0.016}$	$0.408 \rightarrow 0.603$	$0.570^{+0.016}_{-0.022}$	$0.410 \rightarrow 0.613$
$\theta_{23}/^\circ$	$42.1^{+1.1}_{-0.9}$	$39.7 \rightarrow 50.9$	$49.0^{+0.9}_{-1.3}$	$39.8 \rightarrow 51.6$
$\sin^2 \theta_{13}$	$0.02246^{+0.00062}_{-0.00062}$	$0.02060 \rightarrow 0.02435$	$0.02241^{+0.00074}_{-0.00062}$	$0.02055 \rightarrow 0.02457$
$\theta_{13}/^\circ$	$8.62^{+0.12}_{-0.12}$	$8.25 \rightarrow 8.98$	$8.61^{+0.14}_{-0.12}$	$8.24 \rightarrow 9.02$
$\delta_{CP}/^\circ$	$230^{+36}_{-25}$	$144 \rightarrow 350$	$278^{+22}_{-30}$	$194 \rightarrow 345$
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$	$7.42^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.04$
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.510^{+0.027}_{-0.027}$	$+2.430 \rightarrow +2.593$	$-2.490^{+0.026}_{-0.028}$	$-2.574 \rightarrow -2.410$

NuFIT 5.1 (2021)

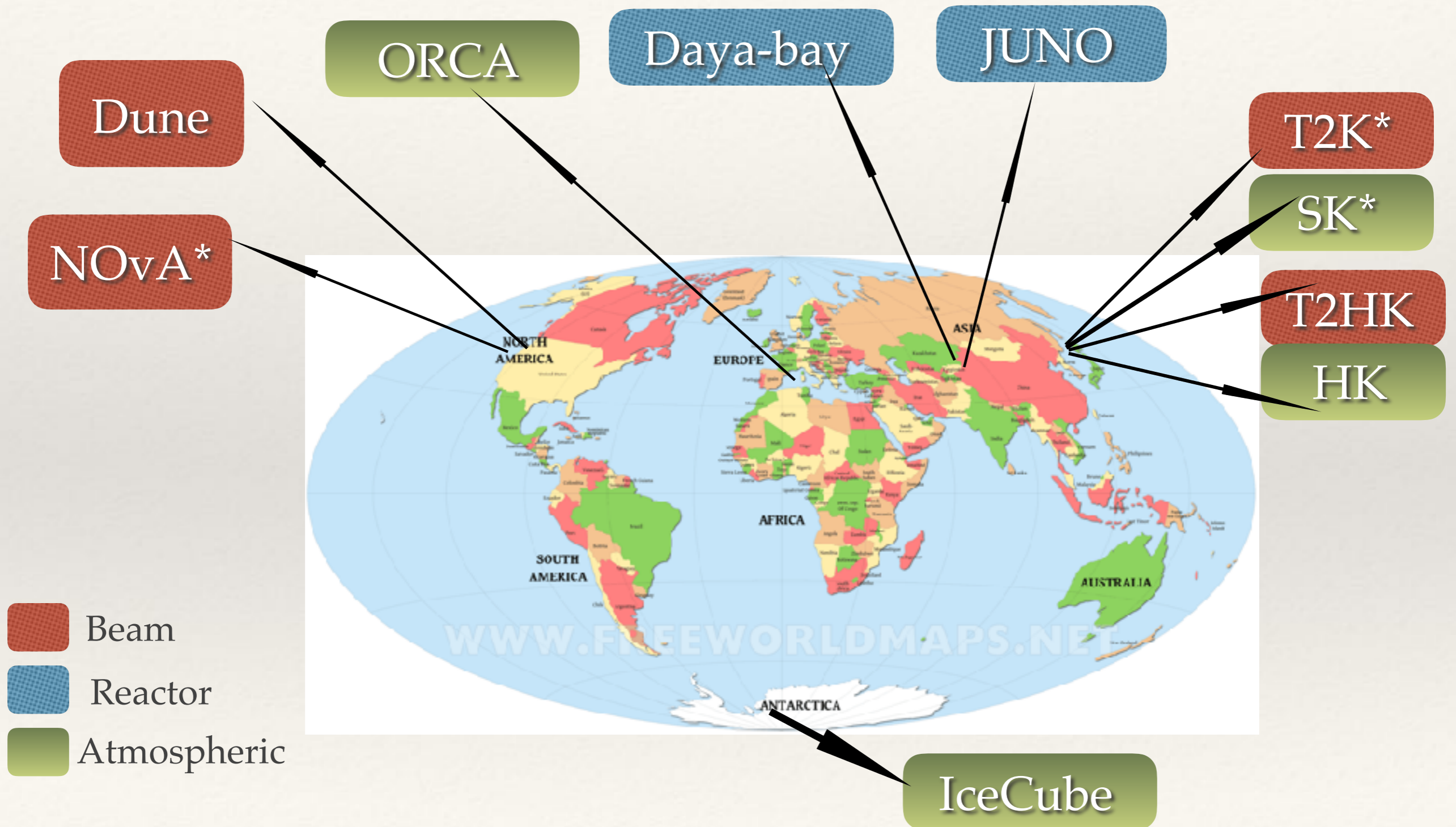
# Oscillation parameters we do not know

- ❖ The neutrino mass ordering i.e. if the third state is above or below the first two states
- ❖ The octant of the 2-3 mixing angle i.e. if  $\theta_{23} > 45^\circ$  or  $< 45^\circ$
- ❖ The value of the CP phase



**Why these parameters are particularly difficult to determine ?**

# Current and future oscillation experiments



# Long baseline experiments : salient features

Expt	Baseline	E (GeV)	Details
T2K	295 km, Tokai to Kamioka	0.6	0.76 MW Super Kamiokande  Water Cerenkov
NOVA	810 km, FNAL to ASH River	1.7	0.7 MW 14 kt T ASD
DUNE	1300 km FNAL to South Dakota	0.5-8	1.2 MW Liquid Argon 10kt/40 kt
T2HK	295 km JPARC to Kamioka	0.6	1.3 MW , 187 kt X2 Hyper Kamiokande
T2HKK	295km, 1100 km	0.6	HK, Water Cerenkov in Korea
ESSnuSB	540 km , Lund to Gopenberg	0.3	5 MW 500 kt Water Cerenkov,



High Intensity Beams, bigger detectors

# Atmospheric neutrino detectors: salient features

	Prototype	Salient features
Magnetized IRON	ICAL@INO	50 kt, muon energy and direction measurement, <b>charge id</b> , neutrino energy reconstruction
Water Cherenkov	Hyper Kamiokande	Megaton, no charge id, both electron and muon energy and direction
Water Cherenkov (Mediterranean)	ORCA	Multi- Megaton, tracks and showers, no charge id
ICE Cherenkov (Southpole)	PINGU IceCube	Multi megaton, tracks and showers , no charge id
Liquid Argon	DUNE	Liquid Argon, both muon and electron events Charge id for both ??

**Path length — 10- 10000 km,  
matter effects important**



# Degeneracy problem

- ❖ The main problem in determination of hierarchy, octant and  $\delta_{CP}$  in LBL experiments is due to presence of degeneracies
- ❖ Degeneracy  $\rightarrow$  different set of parameters giving the same probability  $\rightarrow$  equally good fit to the data

❖

**Hierarchy -  $\delta_{CP}$  degeneracy**

$$P_{\mu e}(\Delta, \delta_{CP}) = P_{\mu e}(-\Delta, \delta'_{CP})$$

Minakata, NunoKawa, 2001

**Intrinsic octant degeneracy**

$$P_{\mu\mu}(\theta_{23}) = P_{\mu\mu}(\theta_{23} - \pi/2 - \theta_{23})$$

Fogli and Lisi, 1996

**Octant -  $\delta_{CP}$  degeneracy**

$$P_{\mu e}(\theta_{23}, \delta_{CP}) = P_{\mu e}(\theta'_{23}, \delta'_{CP})$$

Gandhi, Ghosal, Goswami, Shankar 2005

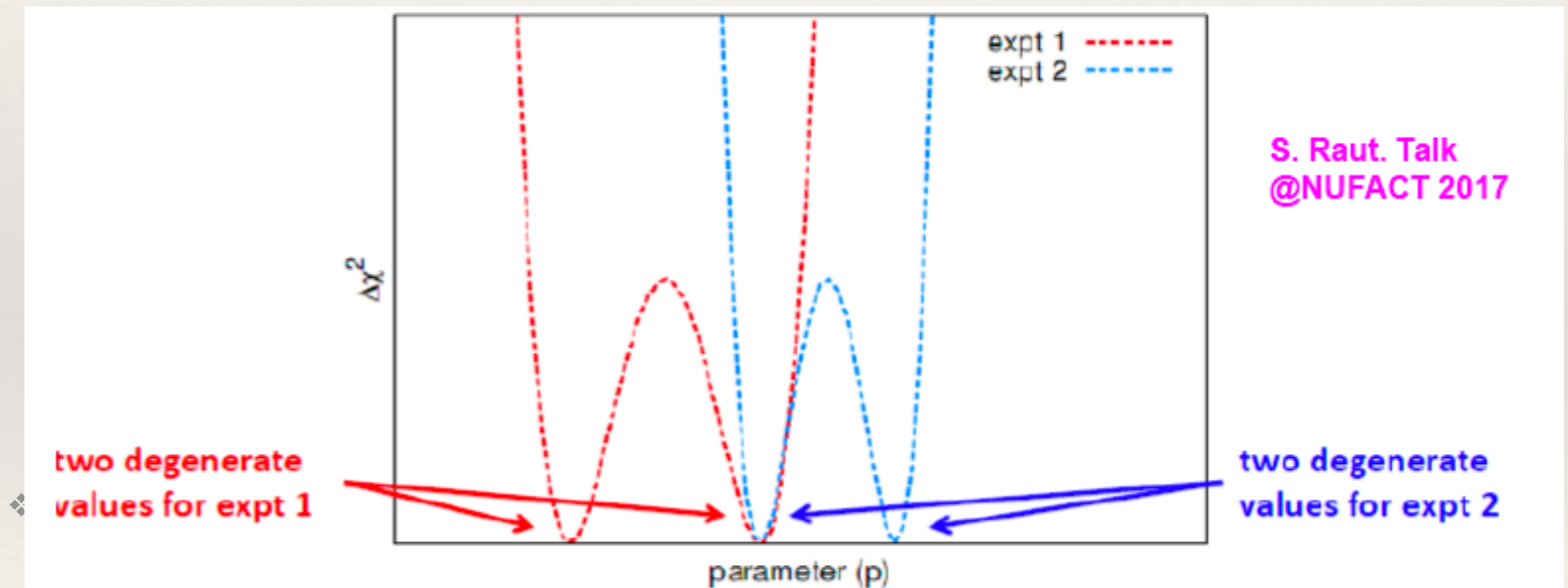
**Comprehensive Approach**

$$P_{\mu e}(\theta_{23}, \Delta, \delta_{CP}) = P_{\mu e}(\theta'_{23}, -\Delta', \delta'_{CP}) \Rightarrow \text{generalized (hierarchy - } \theta_{23} - \delta_{CP}) \text{ degeneracy.}$$

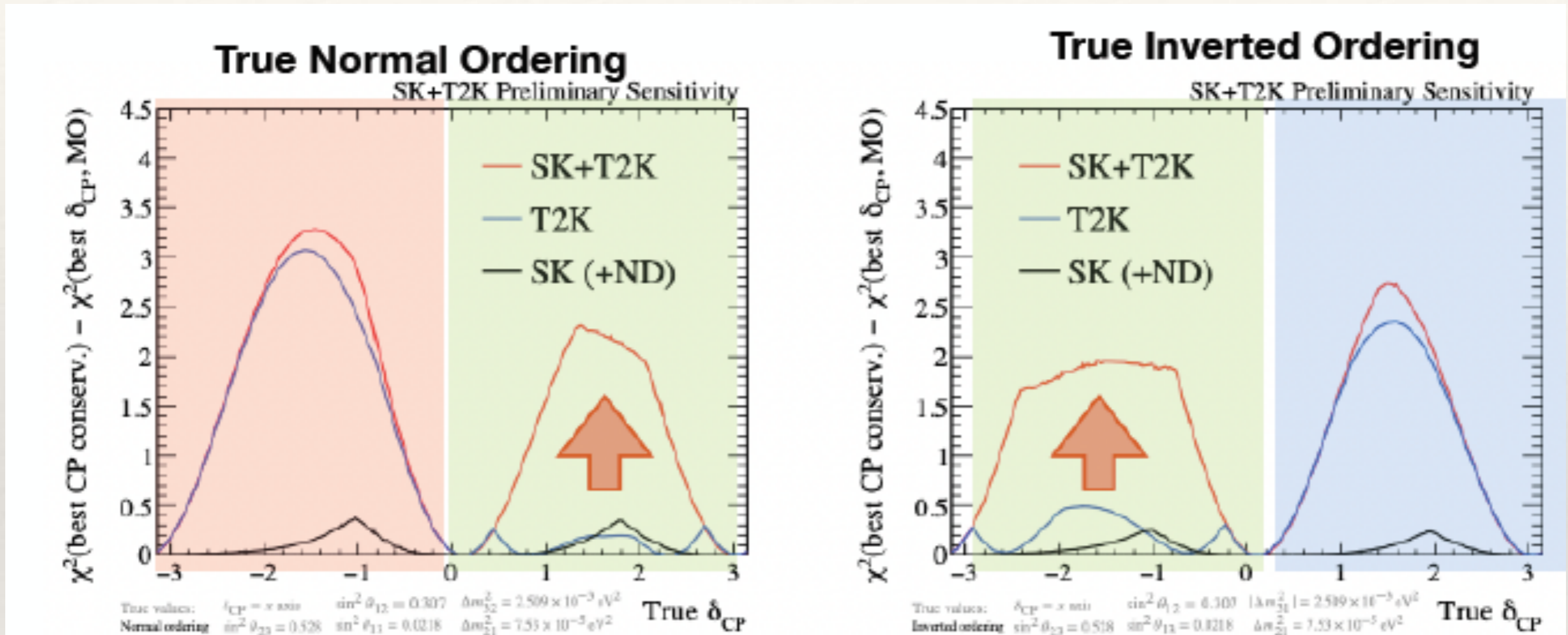
Ghosh, Ghoshal, Goswami, Nath, Raut, 2015

# Synergy between experiments

- ❖ Different experiments have different  $L$ ,  $E$  dependence and therefore the dependence on the oscillation probability on a given parameter can be different



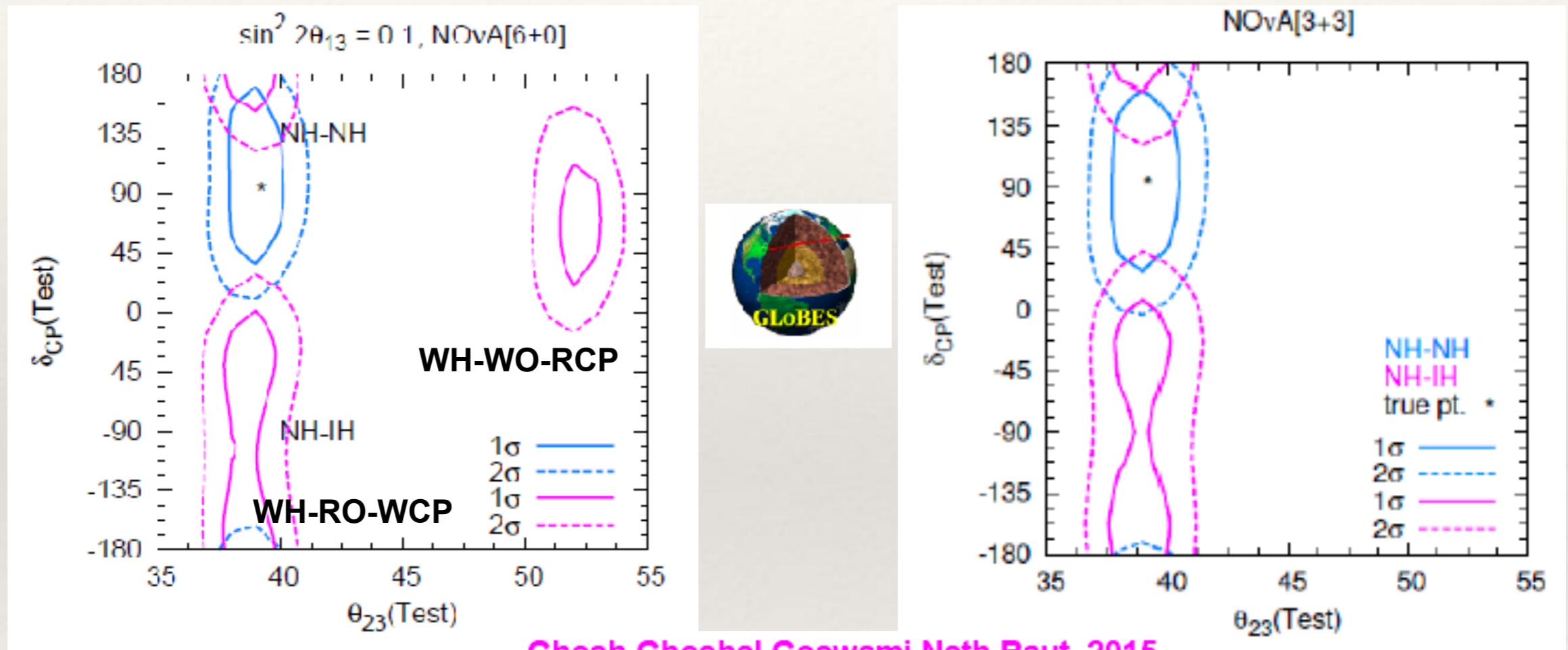
# T2K+SK



Can resolve  
hierarchy -CP degeneracy

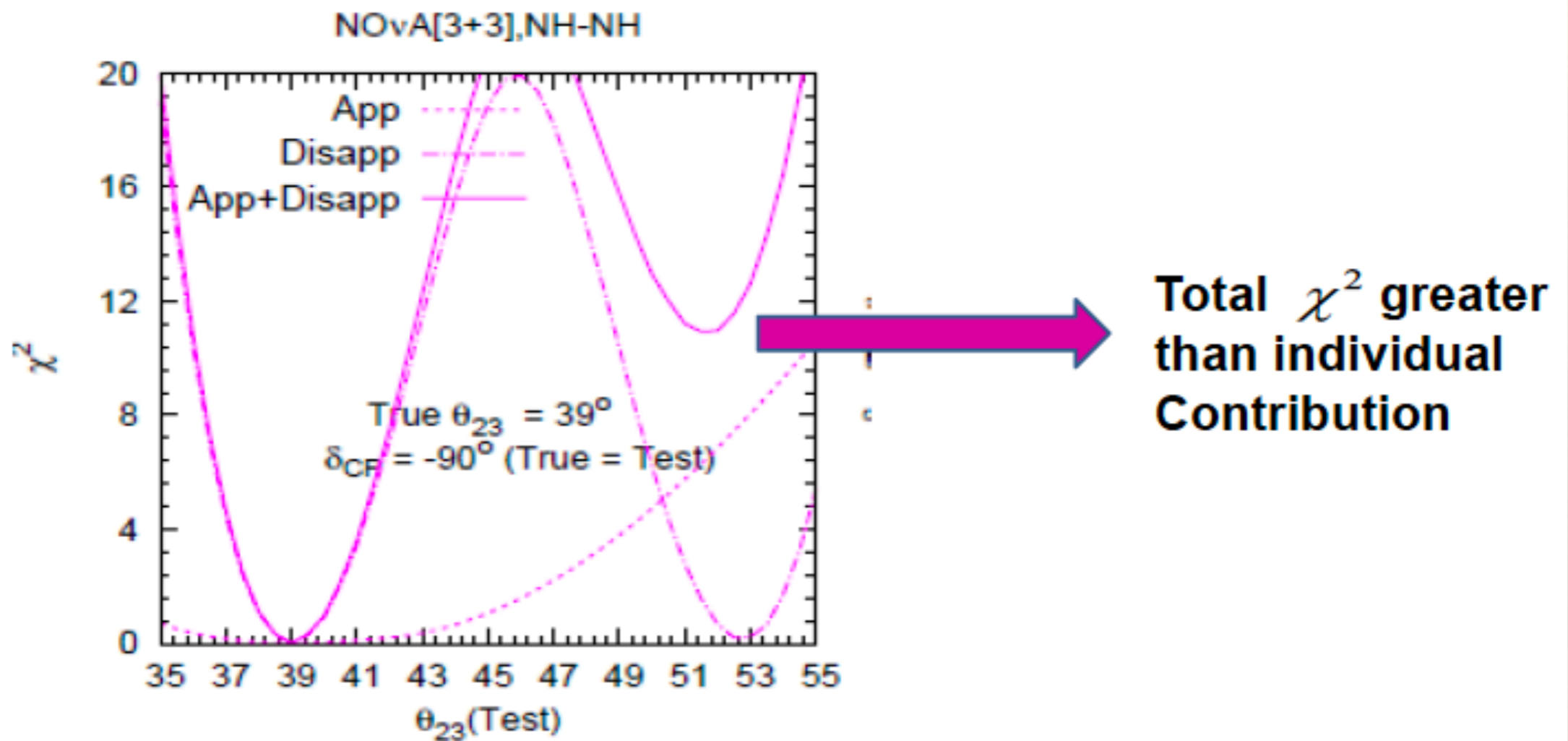
Claudio Giganti, Neutrino 2024

# Synergy between neutrinos and antineutrinos



Combination of neutrino and antineutrino run removes wrong octant solutions

# Synergy between channels



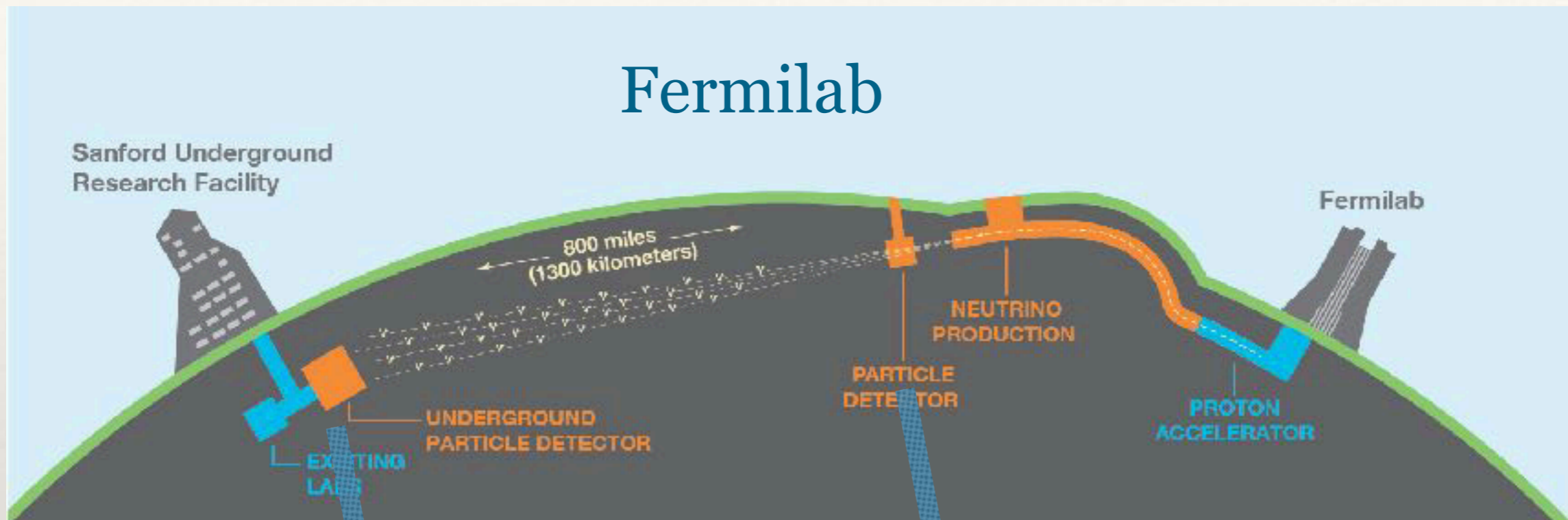
---

# Additional challenges

---

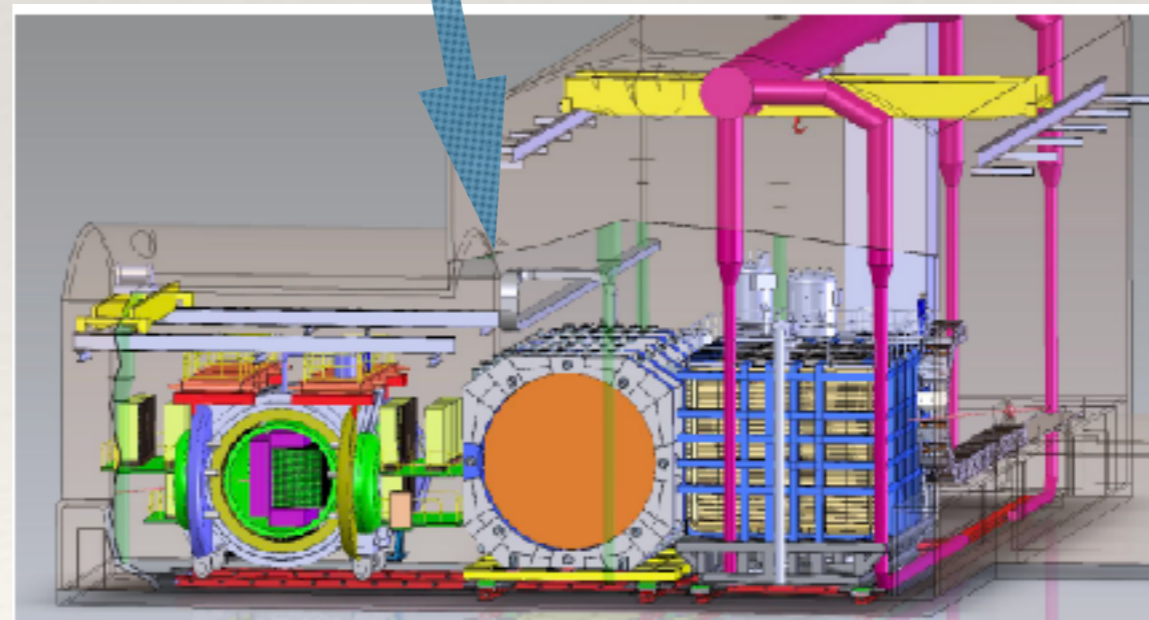
- ❖ CP violation is manifest in differences between neutrino and antineutrino probabilities
- ❖ The difference is at the level of few percents
- ❖ Needs large data samples
- ❖ Systematic uncertainties play important role for precision measurements
- ❖ **Near detectors** are helpful in reducing these

# DUNE



Far Detector

Liquid Argon Time  
Projection Chamber



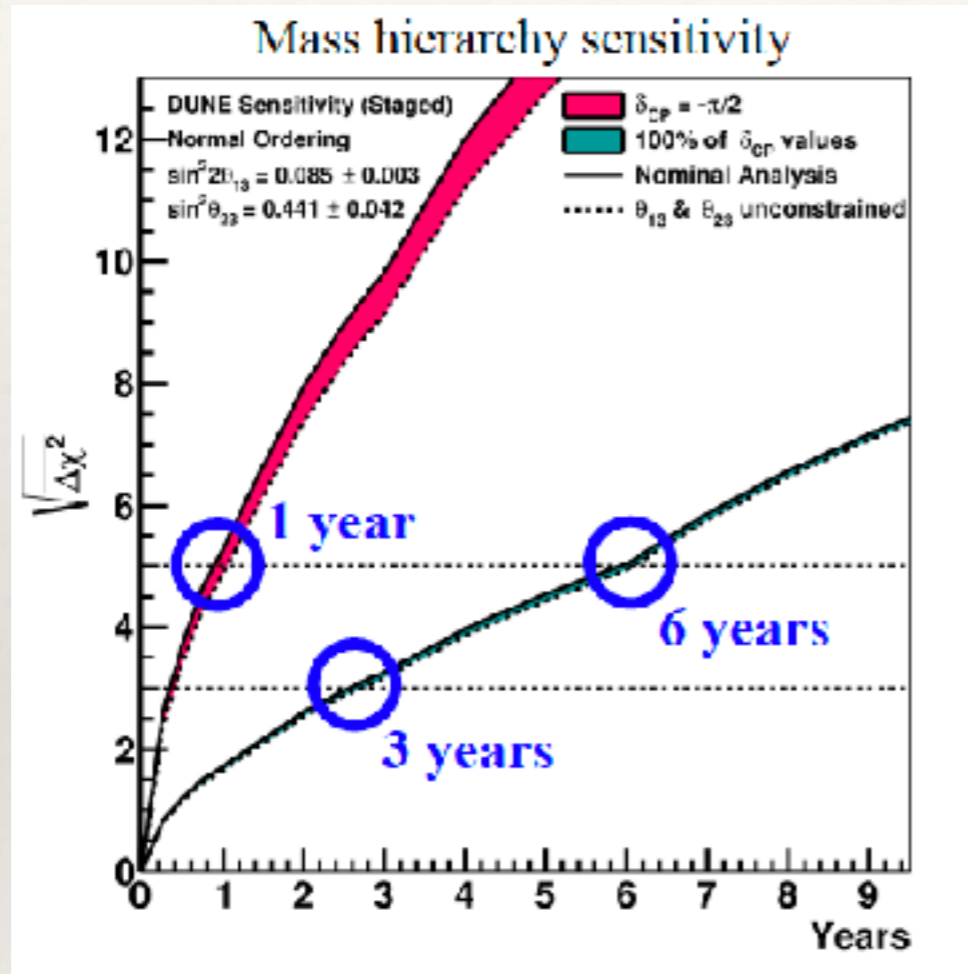
SAND

ND-GAr

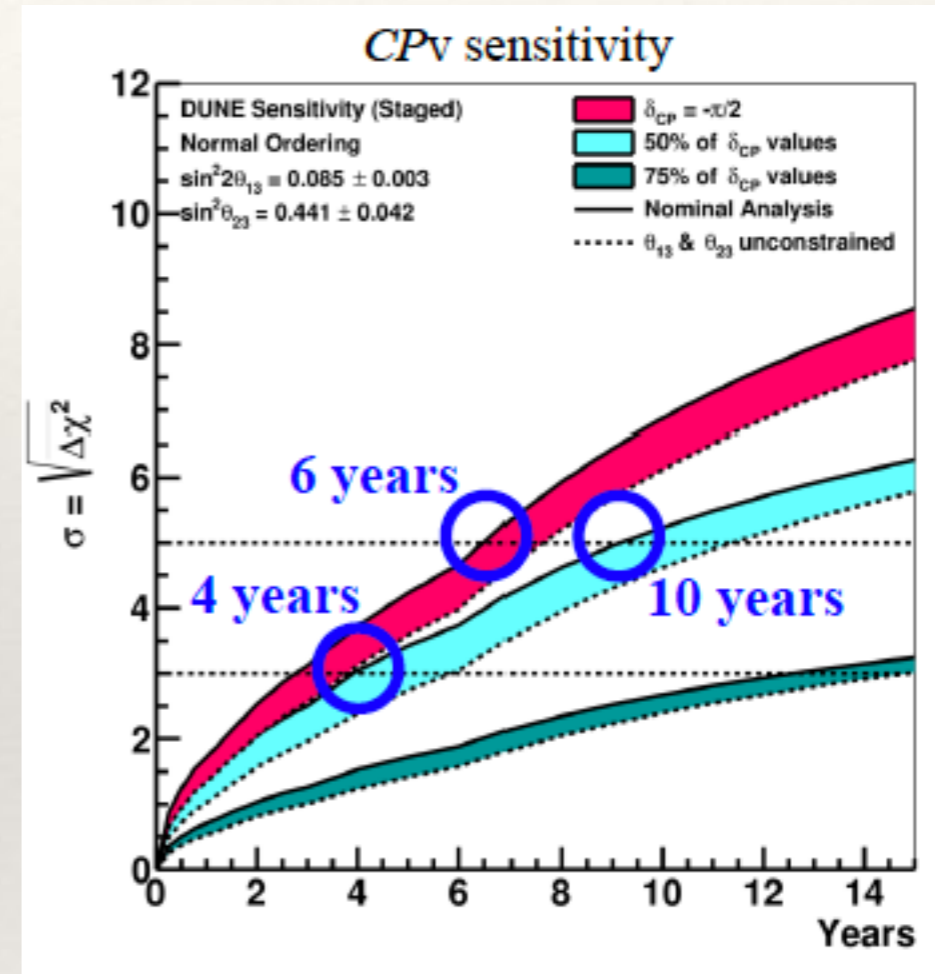
ND-LAr

DUNE PRISM

# Mass hierarchy and CP with DUNE



Hierarchy sensitivity due to enhanced matter effects

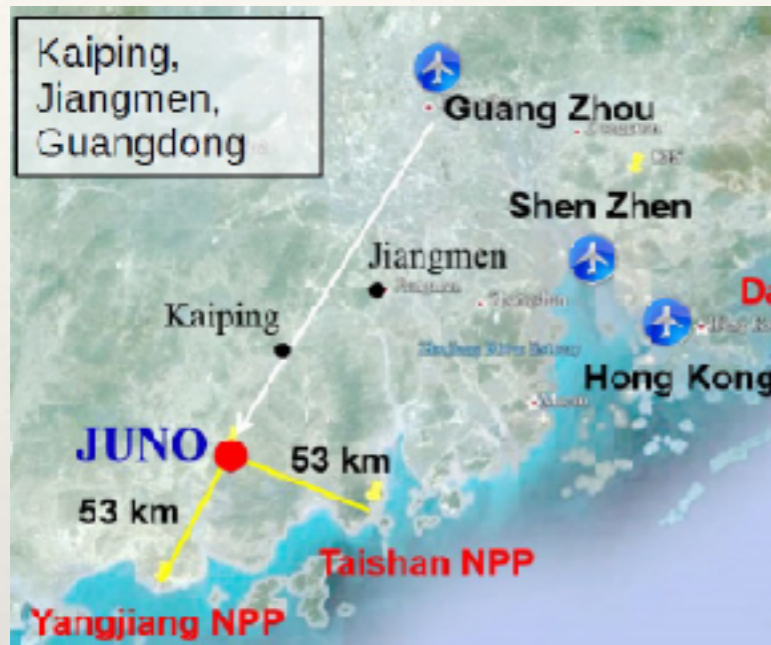


Matter effects help in removing wrong hierarchy-wrong CP solutions

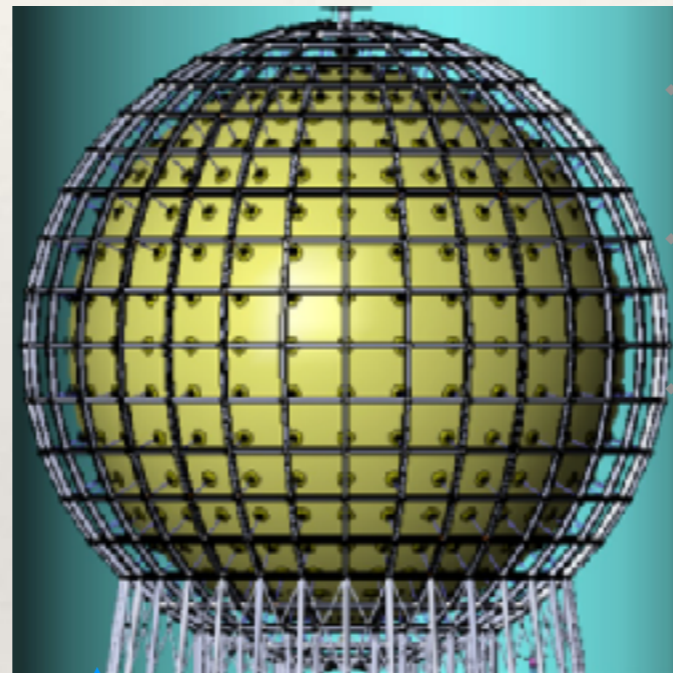
From: R. Patterson's slides



# JUNO: Jianmen Underground Neutrino Observatory

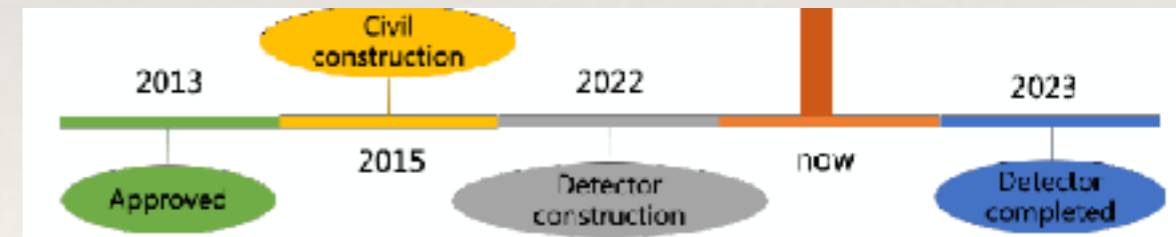
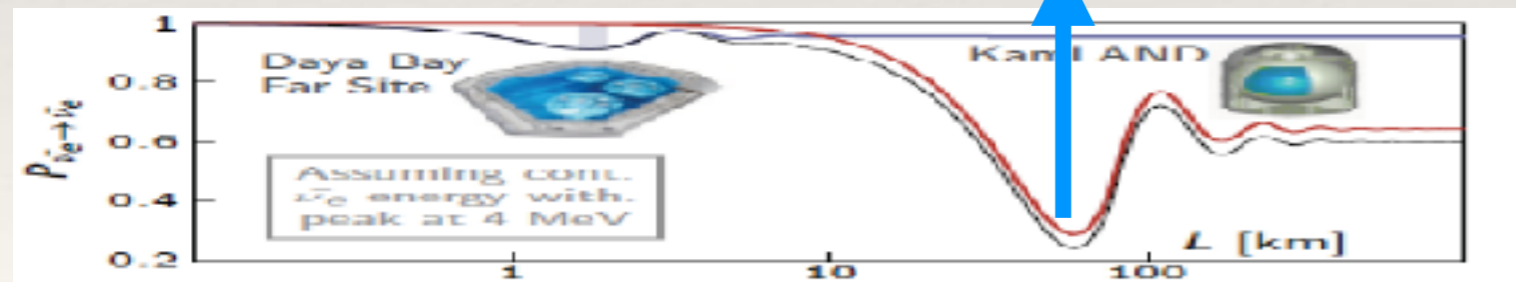


- ❖ Reactor Antineutrino Experiment
- ❖ 20 kt Liquid Scintillator detector



- ❖ Determination of mass Hierarchy
- ❖ Precision of osc. parameters
- ❖ Solar, supernova, atmospheric and geo-neutrinos

- ❖ Detector at 53 km from the two reactors



Expected to complete detector construction in 2023

# JUNO: Hierarchy and Precision

$$P_R(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \left\{ \begin{array}{l} \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21} \\ + \sin^2 2\theta_{13} \sin^2 \theta_{12} \left( \cos 2\Delta_{31} \sin^2 \Delta_{21} - \frac{1}{2} \sin 2\Delta_{31} \sin 2\Delta_{21} \right) \end{array} \right\}$$

Precision of  $\theta_{12}$

Bandyopadhyay, Choubey, S.G. 2003

Petcov, Piai, 2001,  
Choubey, Petcov, Piai, 2003

Hierarchy sensitivity

Distortions in the energy spectrum

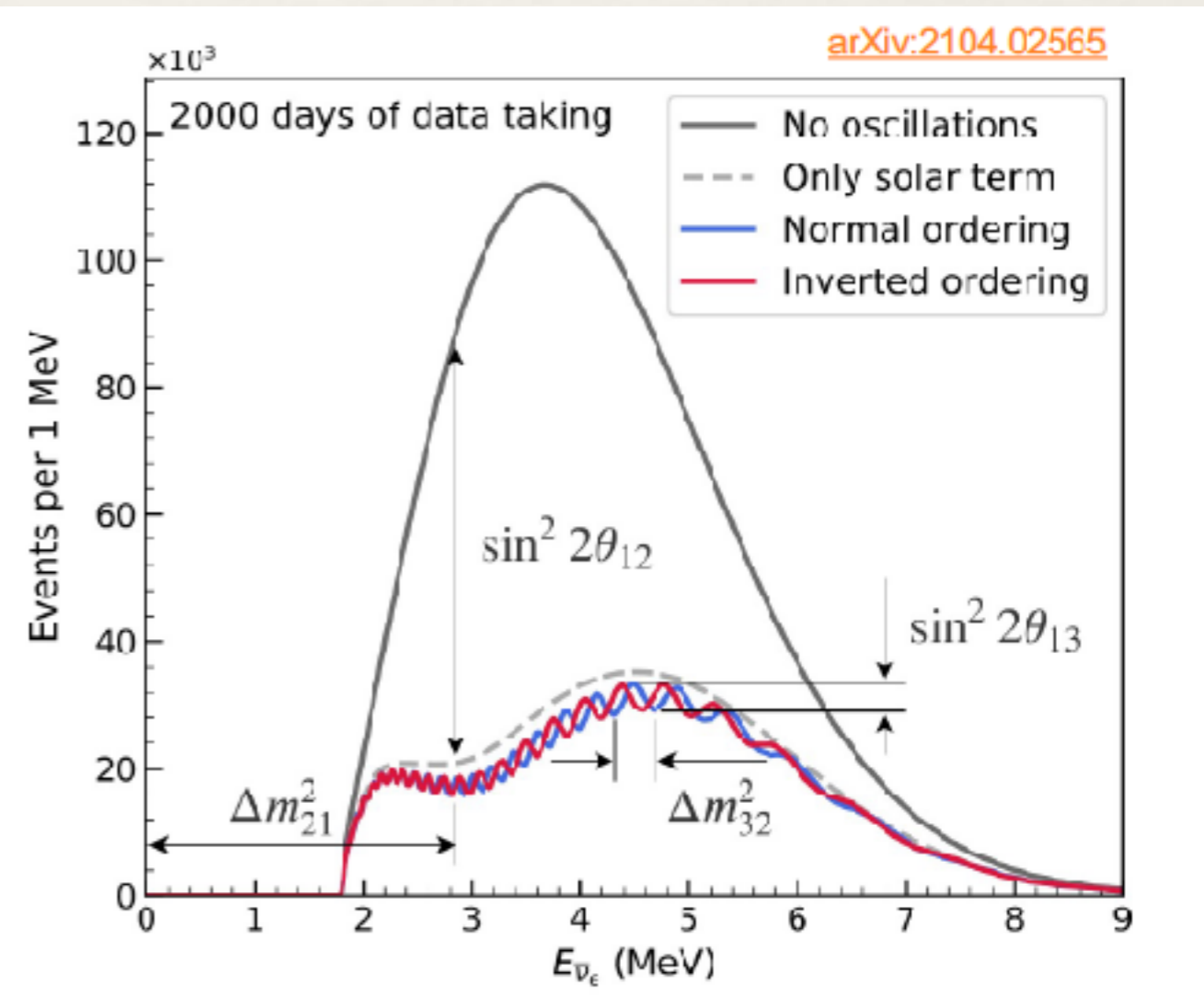
Better than 3% energy resolution needed

$3\sigma$  hierarchy sensitivity in 6 years

Precision of oscillation parameters

	$\Delta m_{31}^2$	$\Delta m_{21}^2$	$\sin^2 \theta_{12}$	$\sin^2 \theta_{13}$
JUNO 6 years	$\sim 0.2\%$	$\sim 0.3\%$	$\sim 0.5\%$	$\sim 12\%$
PDG2020	1.4%	2.4%	4.2%	3.2%

Table: A. Paoloni, 2021



---

# Beyond vanilla oscillations

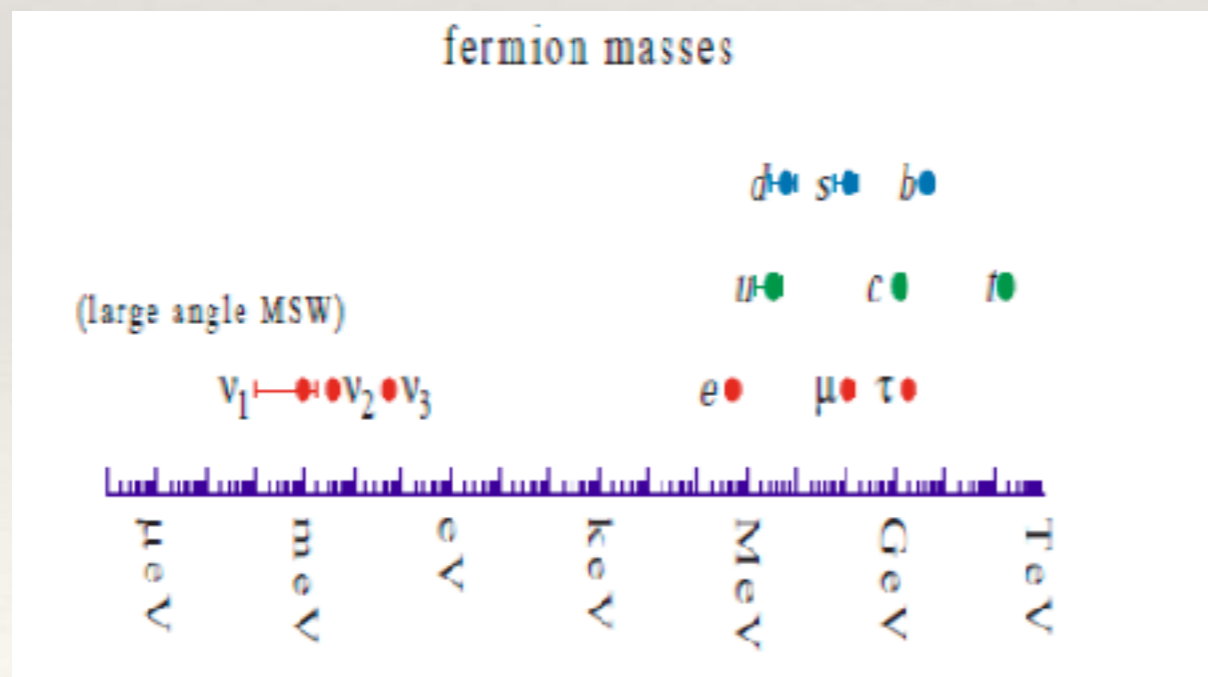
---

- ❖ Possibility of probing new physics beyond the SM in neutrino oscillation experiments
- ❖ Sterile neutrinos, non-standard interactions, non-unitarity of neutrino mixing matrices, CPT violation, long range force, unstable neutrinos ....
- ❖ Sub-leading effect
- ❖ **Changes the oscillation probability**
- ❖ **Impact on the 3-neutrino picture — extra parameters and degeneracies**
- ❖ **Constraining new physics parameters**
- ❖ **Unique signatures of BSM physics in neutrino experiments ?**



# Neutrinos have mass

- ❖ Neutrino Oscillation Experiments => neutrinos have mass and mixing
- ❖ Cosmological bound on neutrino mass  $\Sigma m_\nu < 0.26 \text{ eV}$  (90% C.L.)
- ❖ => **Neutrino masses are small**
- ❖ Neutrino mass and mixing => **physics beyond the Standard Model**

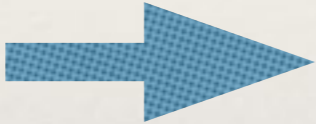
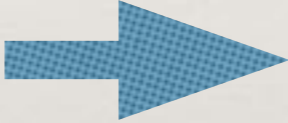


=> Much smaller than the other fermion masses

---

# Simplest way to give mass to neutrinos

---

- ❖ Add a right handed neutrino to the Standard Model
- ❖ Mass generation by Higgs Mechanism
- ❖  $m_\nu = Y_\nu v$    $y_\nu \sim 10^{-12} - 10^{-13}$
- ❖ In comparison  $m_e = Y_e v$    $Y_e \sim 10^{-6}$
- ❖ Too small to be interesting

---

# The effective Lagrangian

---

$$\mathcal{L}_{eff} = \mathcal{L}_{SM} + \frac{1}{M} \mathcal{L}^{d=5} + \frac{1}{M^2} \mathcal{L}^{d=6} + \dots$$

$$\mathcal{L}^{d=5} = c_5 \bar{L}^c L H H$$

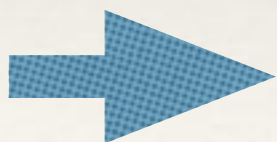
Weinberg Operator

Neutrino Mass  
Lepton Number Violation

$$\mathcal{L}^{d=6} = c_6 \bar{L}^c L \bar{L}^c L$$

Lepton Flavour Violation,  
NSI

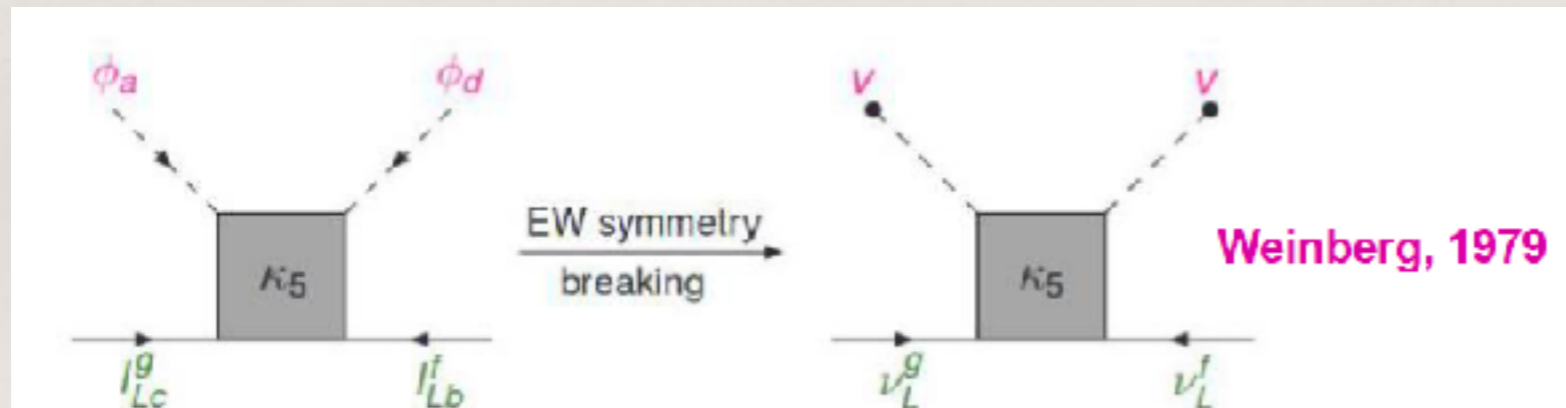
Non- Renormalizable Operators  $\rightarrow$  what is the UV completion ?



New Physics at a high scale

# Seesaw Mechanism

- ❖ A natural way to explain small neutrino masses is via **seesaw mechanism**
- ❖ **Relates smallness of neutrino masses with new physics at a high scale**
- ❖ Tree level exchange of some heavy particle gives rise than effective dimension 5 operator at the low scale



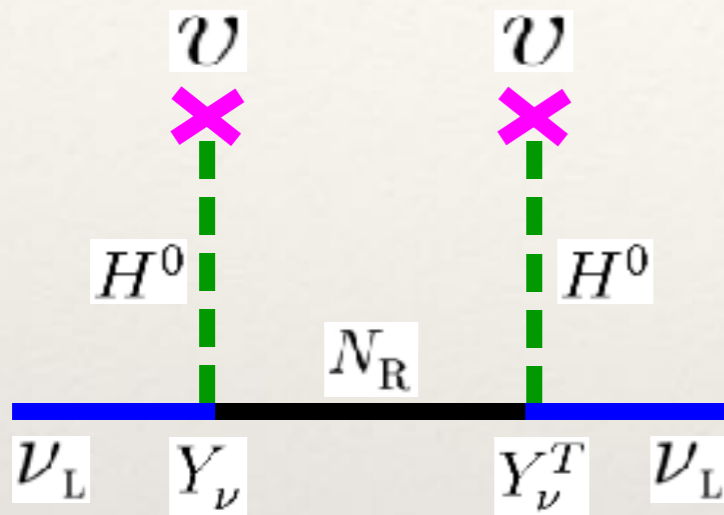
$$\mathcal{L}^{d=5} = c_5 \bar{L}^c L H H$$

$$m_\nu \sim c_5 v^2 / M$$

- ❖ Violation of lepton number  $\Rightarrow$  **Majorana nature of neutrinos**
- ❖ LNV decays of heavy mediators  $\Rightarrow$  **leptogenesis**

# Three types of seesaw

Type-I seesaw



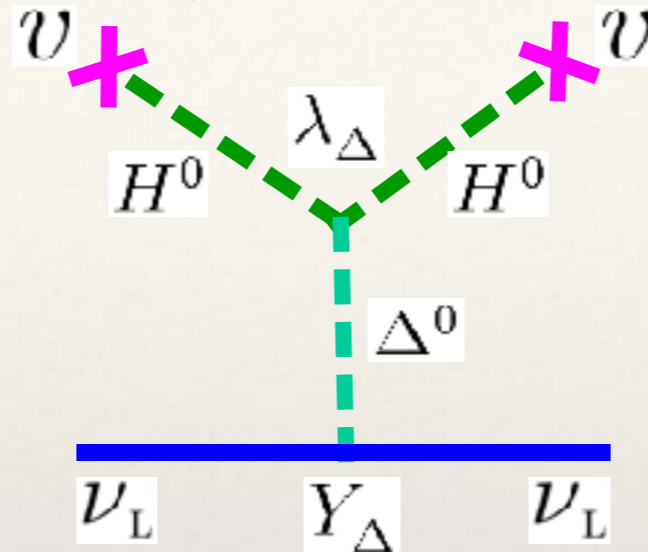
$$M_\nu \approx -v^2 Y_\nu \frac{1}{M_R} Y_\nu^T$$

Right handed neutrinos

SO(10) GUTS

Left Right Models

Type-II seesaw

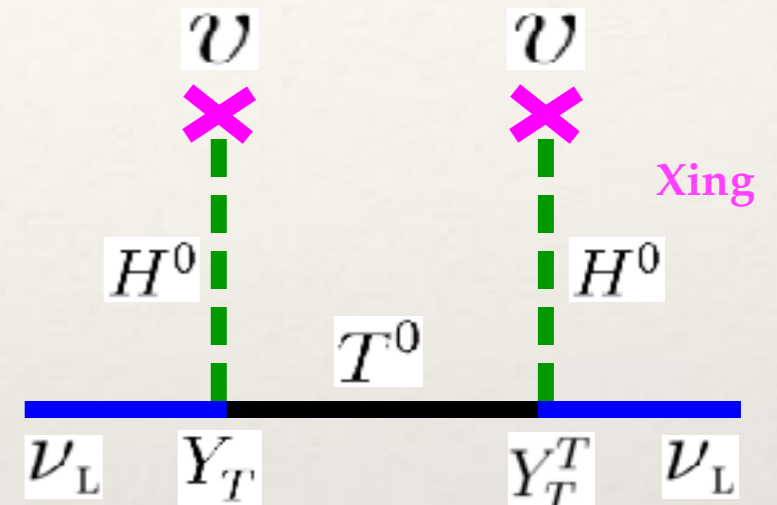


$$M_\nu \approx \lambda_\Delta Y_\Delta \frac{v^2}{M_\Delta}$$

Triplet Higgs

Left-Right Models

Type-III seesaw



$$M_\nu \approx -v^2 Y_T \frac{1}{M_T} Y_T^T$$

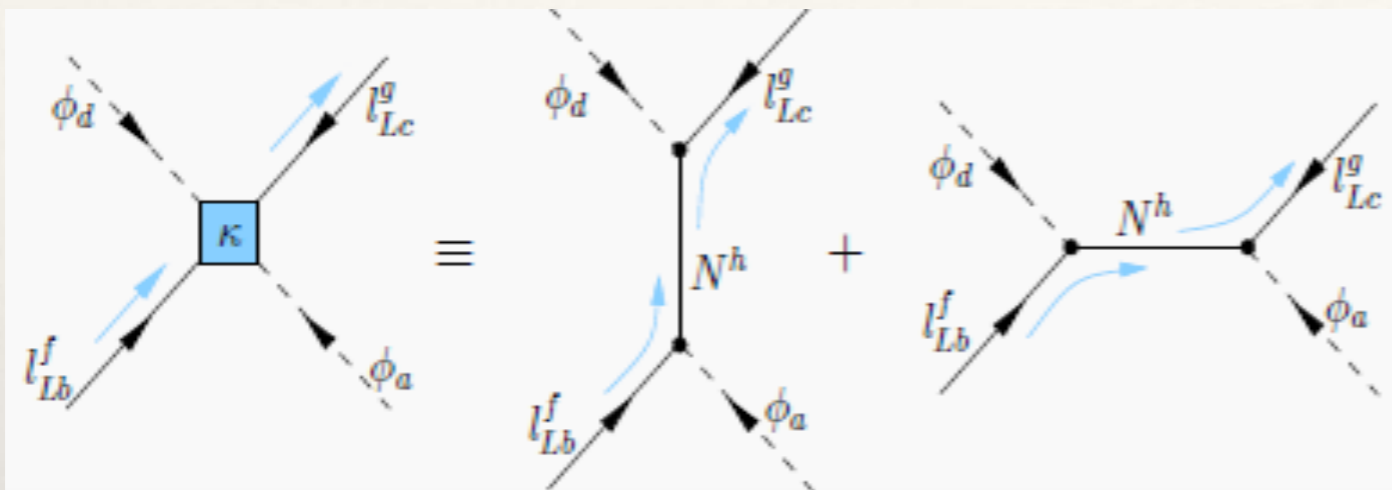
Triplet Fermions

SU(5) GUTS



# Type-I seesaw

$$\mathcal{L} = \mathcal{L}_{SM} + (Y_\nu)\overline{N}_R\tilde{\phi}^\dagger l_L + \frac{1}{2}\overline{N}_R^c(M_R)_{ij}N_R + \text{h.c}$$



$$\kappa = Y_\nu^T M_R^{-1} Y_\nu \text{ (at } M_R) \quad m_\nu = \kappa v^2$$

$$M_\nu = m_D^T M_R^{-1} m_D, \quad m_D = v Y_\nu$$

$$m_\nu \sim 0.01 \text{ eV for } M_R \sim 10^{16} \text{ GeV, } m_D \sim 100 \text{ GeV, } Y_\nu \sim 1$$

Cannot be tested directly.

Minkowski, 1977  
 Yanagida, 1979  
 Glashow, 1979  
 Gelman, Ramond, Slansky, 1979  
 Mohapatra, Senjanovic, 1980

---

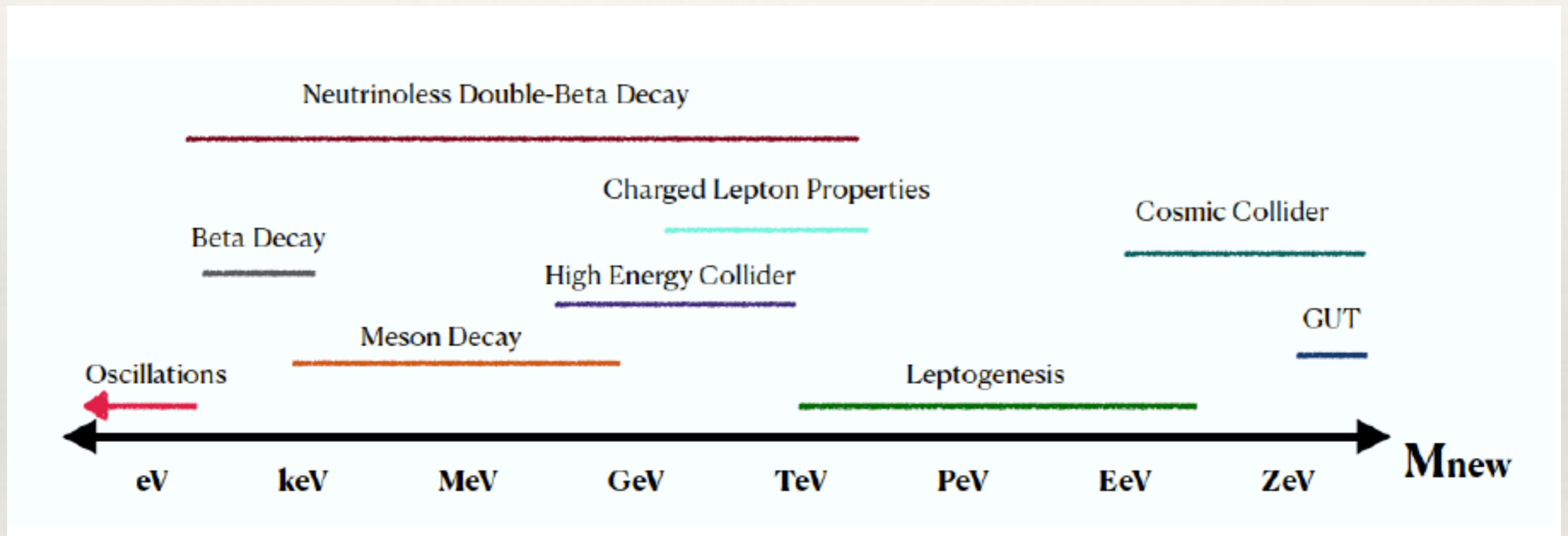
# TeV scale seesaw

---

- ❖ GUT scale seesaw no testability at colliders  $\rightarrow$  **TeV Scale seesaw**
- ❖ Extra singlets — **inverse/linear seesaw**
- ❖ Scale of LNV different than scale of mass generation
- ❖ Large light-heavy mixing  $\Rightarrow$  **testability at colliders**
- ❖ Large light-heavy mixing  $\Rightarrow$  **Lepton flavour violation**
- ❖ Stability of electroweak vacuum
- ❖ **Combined constraints** on model parameters

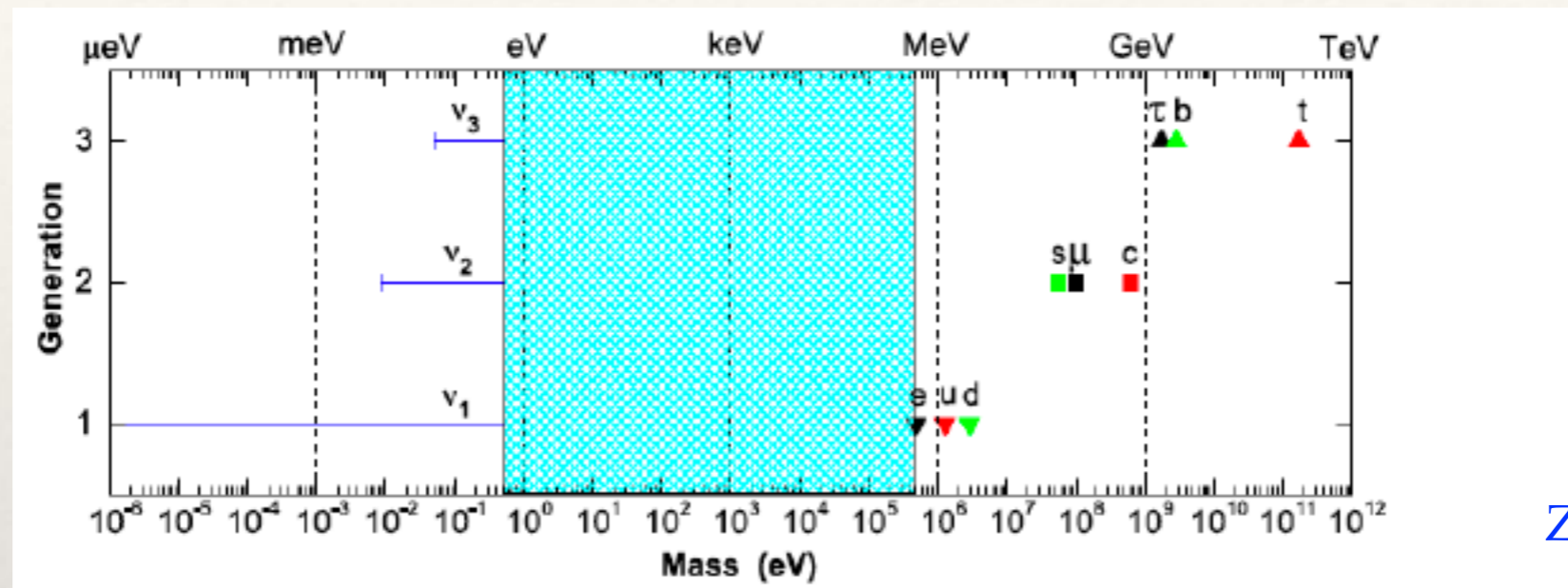
**Other possibilities : Radiative mass models, higher dimensional models**

# What is the scale of new physics ?



Ack: Andre De Gouvea , Snowmass 2022

# Fermion mass spectrum



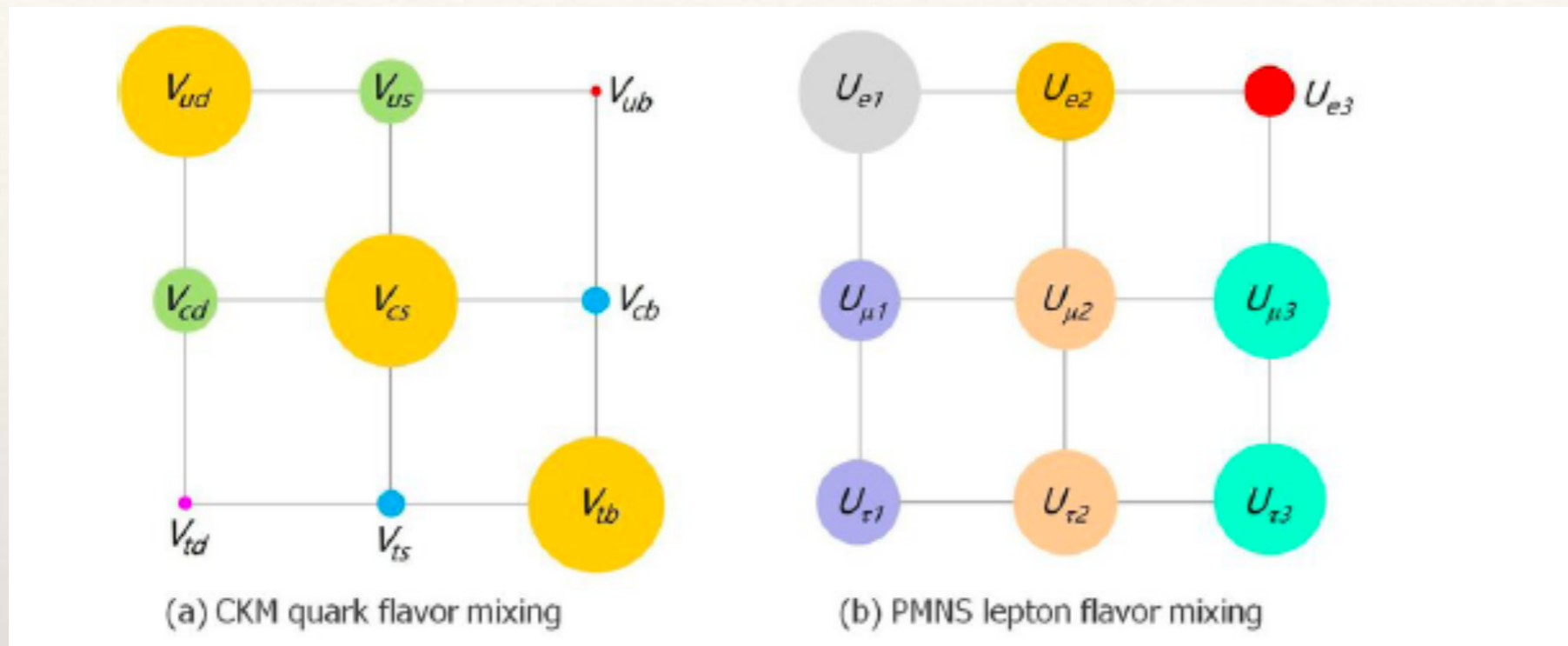
Neutrino masses  $\ll$  quarks and charged lepton masses

Hierarchy of neutrino masses not strong  $m_3/m_2 \leq 6$

Inverted hierarchy, quasi-degeneracy

→ No analogue in the quark sector

# The flavour puzzle



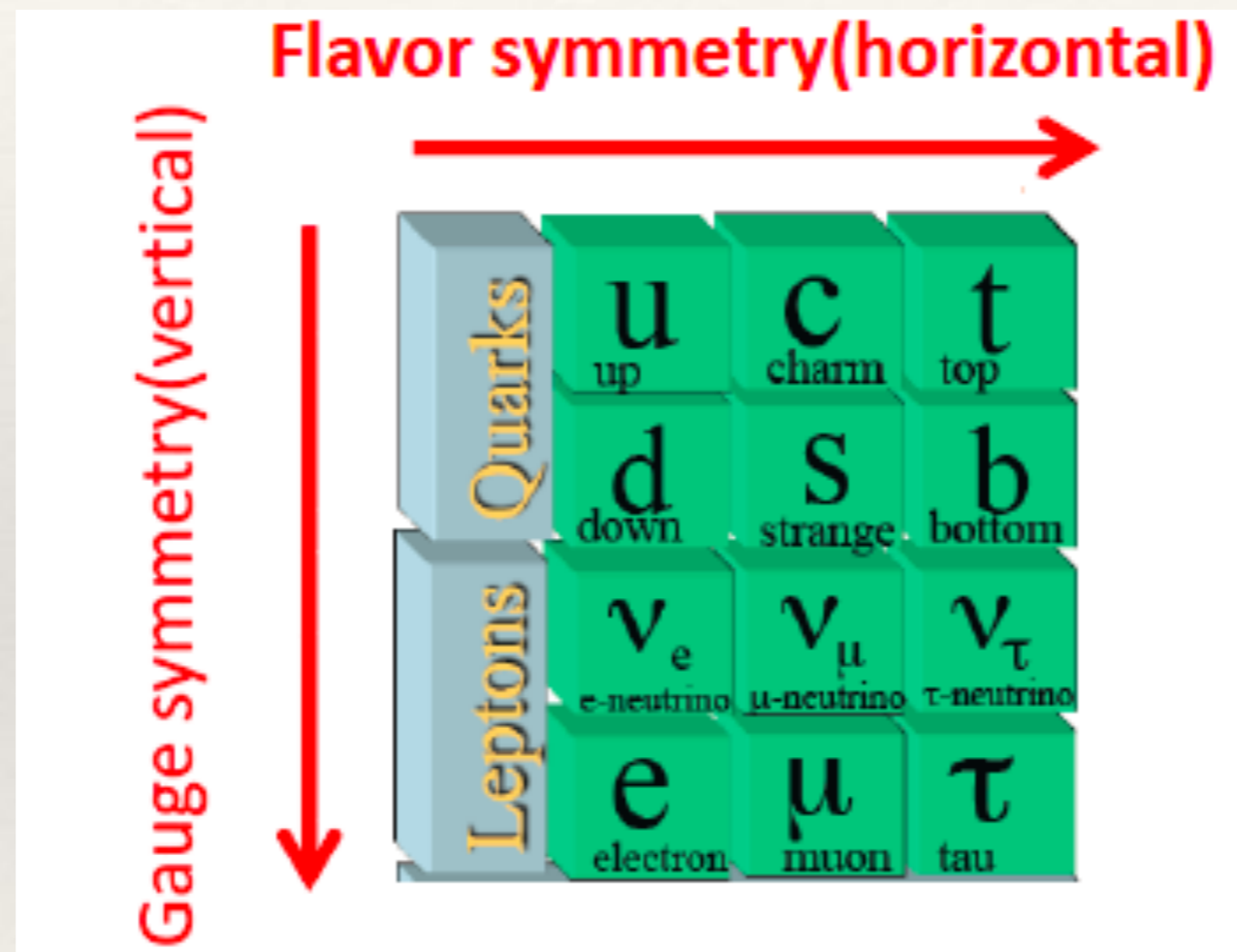
Quark Mixing angles    Neutrino mixing angles

$$\begin{aligned} \theta_{12} &= 13^\circ \\ \theta_{23} &= 2.3^\circ \\ \theta_{13} &= 0.2^\circ \\ \delta_{CP} &= 68.5^\circ \end{aligned}$$

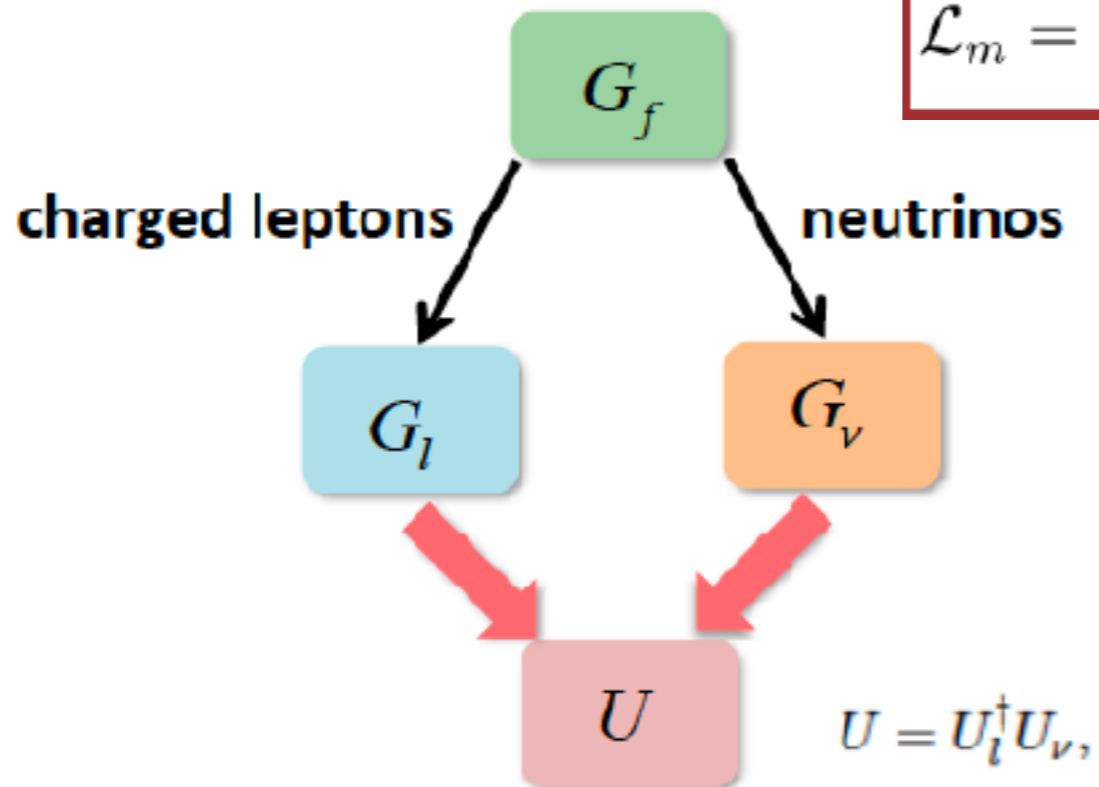
$$\begin{aligned} \theta_{12} &= 33.5^\circ \\ \theta_{23} &= 49^\circ \\ \theta_{13} &= 8.5^\circ \\ \delta_{CP} &= 250^\circ \end{aligned}$$

$$|U^{\text{Current}}|_{3\sigma}^2 = \begin{pmatrix} [0.606, 0.742] & [0.265, 0.337] & [0.020, 0.024] \\ [0.051, 0.270] & [0.198, 0.484] & [0.392, 0.620] \\ [0.028, 0.469] & [0.098, 0.685] & [0.140, 0.929] \end{pmatrix},$$

# Flavour symmetry approach



# Residual symmetries



$$\mathcal{L}_m = -Y_{ij}^e(\langle\Phi_e\rangle)\bar{L}_i H e_{Rj} - \frac{1}{2}Y_{ij}^\nu(\langle\Phi_\nu\rangle)\bar{L}_i^c H H^T L_j + \dots$$

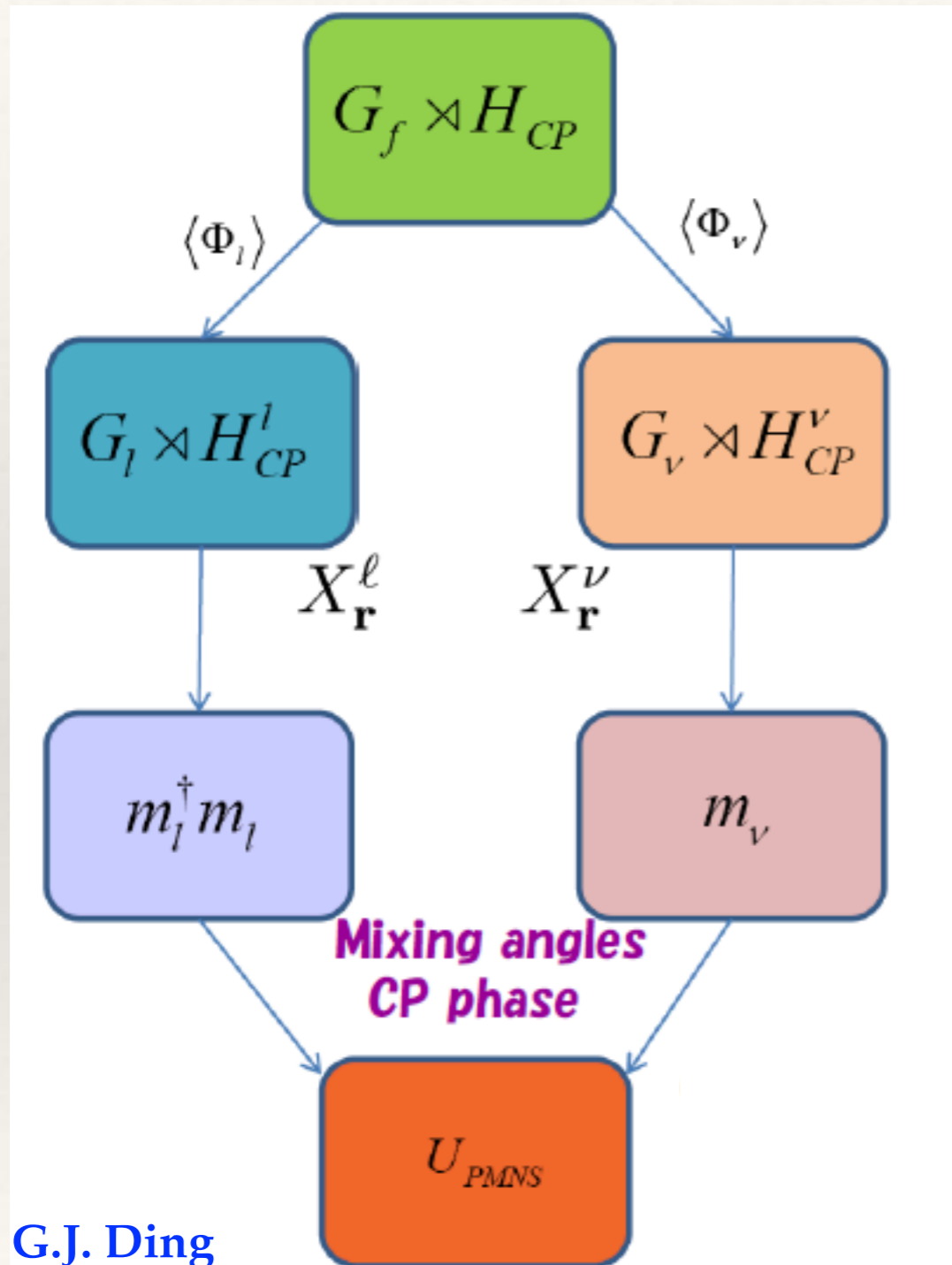
Lepton mixing from mismatch of  $G_l$  and  $G_\nu$

Invariance of the Lagrangian under  $G_f$  requires flavons

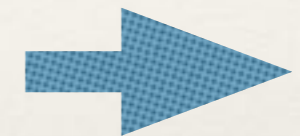
Vacuum alignment of flavons

$G_f$	Continuous	Discrete
Abelian	U(1)	$Z_n$
Non-Abelian	U(2), SU(3), SO(3) ...	$A_4, S_4, A_5, \Delta(6n^2), \dots$

# Generalized CP invariance and flavour symmetry



CP invariance



$$X_{\mathbf{r}}^{\nu T} m_{\nu LL} X_{\mathbf{r}}^\nu = m_{\nu LL}^*$$

$$X_{\mathbf{r}}^{l\dagger} (m_\ell^\dagger m_\ell) X_{\mathbf{r}}^l = (m_\ell^\dagger m_\ell)^*$$

Predictions for mixing angle  
and CP phases

Courtesy: G.J. Ding



# Generalized $\mu - \tau$ symmetry

$$\nu_e \rightarrow \nu_e^c, \quad \nu_\mu \rightarrow \nu_\tau^c, \quad \nu_\tau \rightarrow \nu_\mu^c$$

$$\nu_e \rightarrow \nu_e, \quad \nu_\mu \rightarrow \nu_\tau, \quad \nu_\tau \rightarrow \nu_\mu$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \mapsto \begin{pmatrix} \nu_e^c \\ \nu_\tau^c \\ \nu_\mu^c \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} \nu_e^c \\ \nu_\mu^c \\ \nu_\tau^c \end{pmatrix}.$$

$$\theta_{23} = \pi/4, \quad \theta_{13} = 0$$

Generalized CP invariance

$$S^T M_0 S = M_0^*,$$

$$M_0 = \begin{pmatrix} a & d & d^* \\ d & c & b \\ d^* & b & c^* \end{pmatrix},$$

$$U_0 = \begin{pmatrix} u_1 & u_2 & u_3 \\ v_1 & v_2 & v_3 \\ v_1^* & v_2^* & v_3^* \end{pmatrix},$$

$$|U_{\mu i}| = |U_{\tau i}| \quad \text{where } i = 1, 2, 3.$$

$$\theta_{23} = \frac{\pi}{4}, \quad s_{13} \cos \delta_{\text{CP}} = 0,$$

$$|U_{\mu i}| = |U_{\tau i}| \iff \begin{cases} \theta_{23} = \frac{\pi}{4}, \theta_{13} = 0; \\ \text{or} \\ \theta_{23} = \frac{\pi}{4}, \delta = \pm \frac{\pi}{2}. \end{cases}$$

# Modular invariance approach

arXiv > hep-ph > arXiv:1706.08749

High Energy Physics – Phenomenology

[Submitted on 27 Jun 2017 (v1), last revised 29 Sep 2017 (this version, v2)]

**Are neutrino masses modular forms?**

Ferruccio Feruglio

The Yukawa couplings are functions of modular forms

Flavour symmetry broken by the VEV of a single scalar field — the modulus  $\tau$

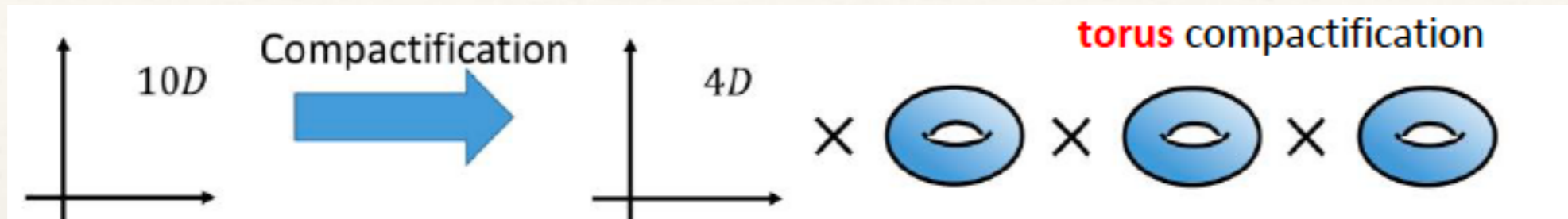
No other flavons or complexity of vacuum alignment

Minimal, predictive



Close to 200 papers ...

Ding and King 2311.09282 (review)

# Modular Symmetries



$$S = \int d^4x d^6y \mathcal{L}_{10D} \rightarrow \int d^4x \mathcal{L}_{\text{eff}}$$


 $\mathcal{L}_{\text{eff}}$  depends on the structure of 

The shape of torus is represented by a modulus  $\tau \in \mathbb{C}$ .



$$\tau = \tau_1$$



$$\tau = \tau_2$$

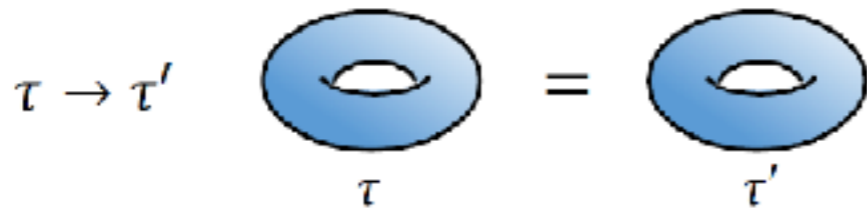
The different value of  $\tau$  realize the different shape of  $T^2$

$$\mathcal{L}_{\text{eff}} \supset Y(\tau)_{ij} \phi \bar{\psi}_i \psi_j + \dots$$

Tanimoto  
Penedo

# Modular transformation

Modular transformation



Specific transformations which does not change  $T^2$

$$\tau' = \frac{a\tau + b}{c\tau + d}$$

$$SL(2, \mathbb{Z}) = \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{Z}, \det \gamma = 1 \right\}$$

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z}), \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

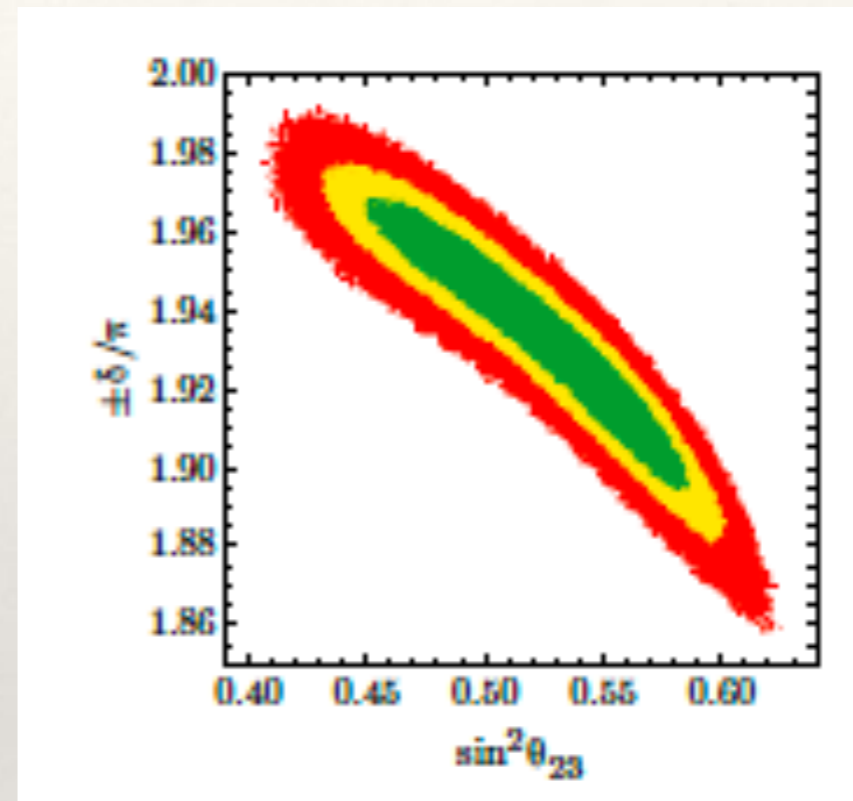
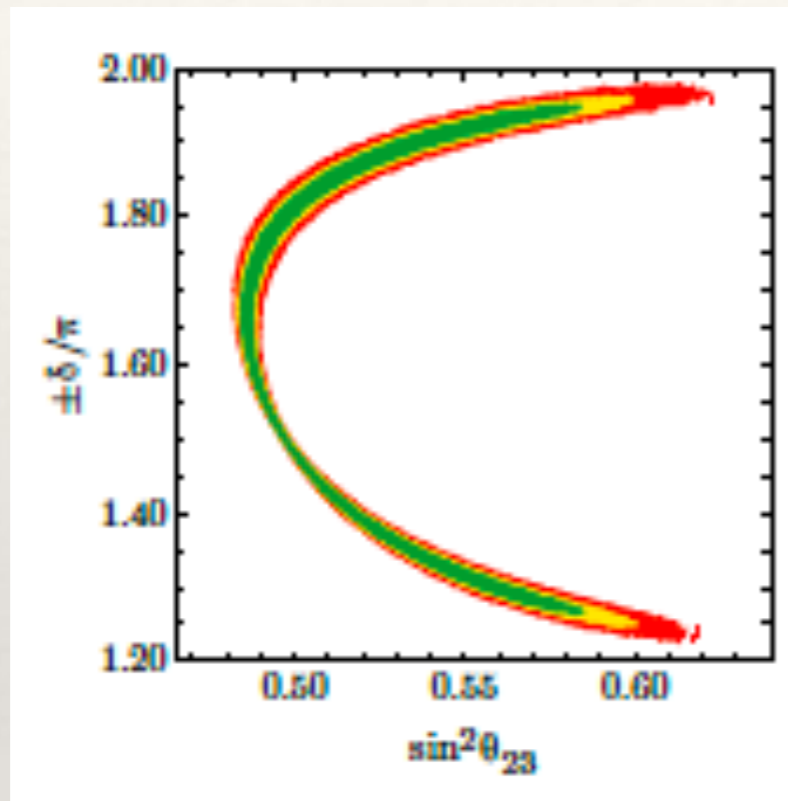
$\Gamma_N \equiv \Gamma / \Gamma(N)$  quotient group finite group of level  $N$

$$\Gamma_2 \simeq S_3 \quad \Gamma_3 \simeq A_4 \quad \Gamma_4 \simeq S_4 \quad \Gamma_5 \simeq A_5$$

Penedo

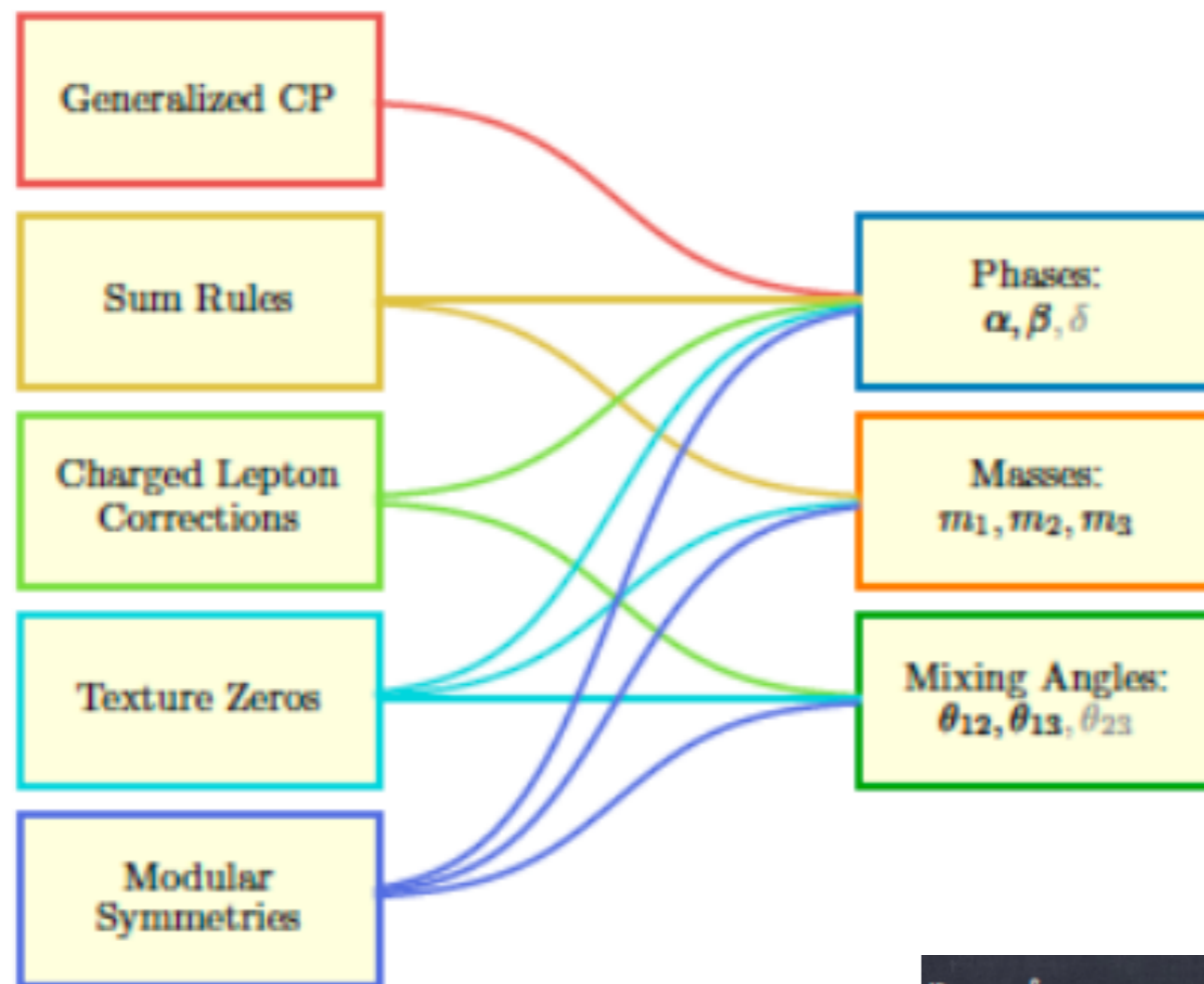
# Results from a model based on modular $S_4$

P. P. Novichkov , J. T. Penedo , S. T. Petcov. , A. V. Titov, JHEP 04 (2019) 005



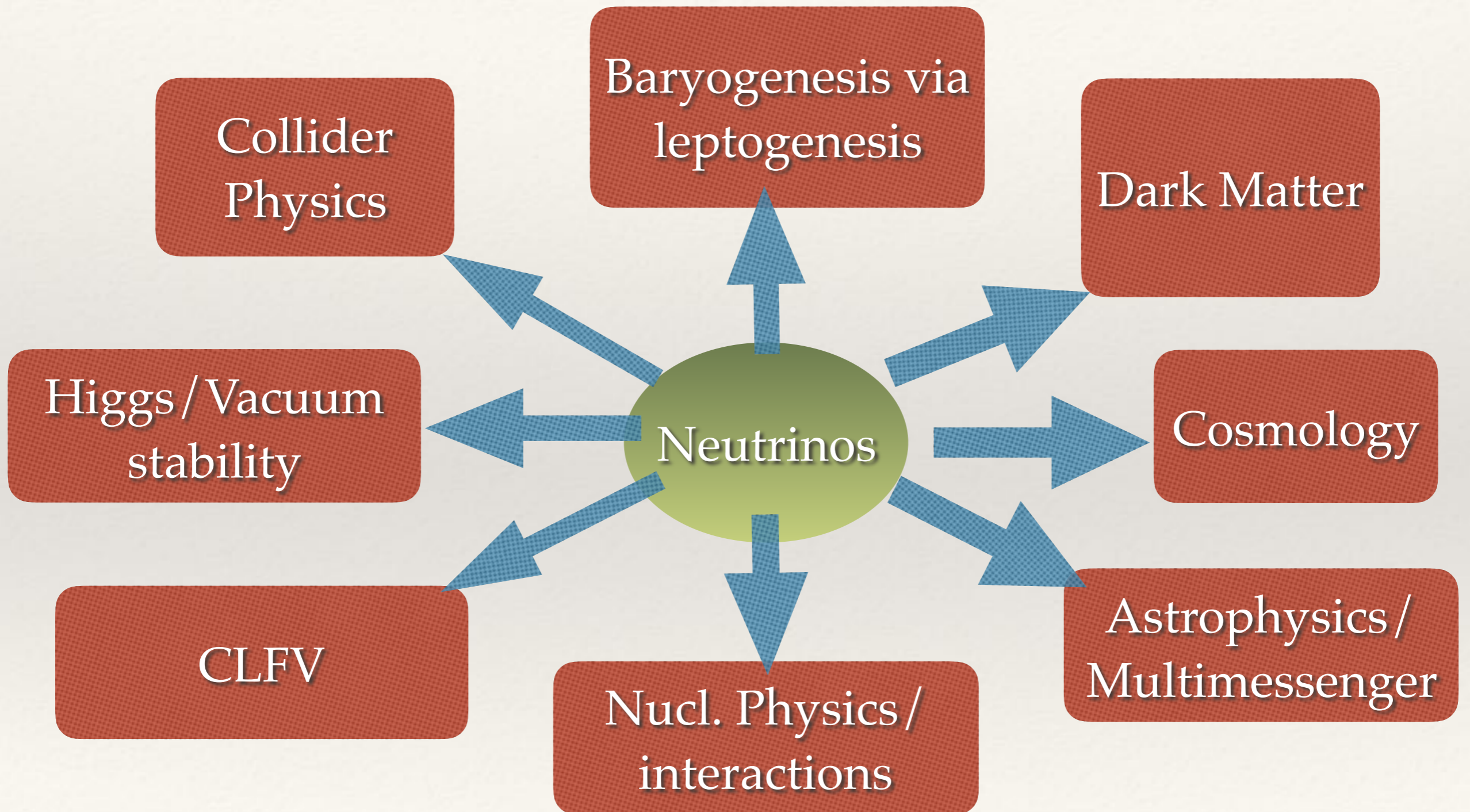
Correlation between  $\theta_{23}$  and  $\delta$

# A snapshot of different methods



Denton and Geherlin, 2308.09737

# Neutrino Connections



# Future Goals in Neutrino Physics

- ❖ Determination of hierarchy, octant and CP phase
  - ❖ Synergy between different experiments
  - ❖ Signatures of sterile neutrinos
  - ❖ Precise Measurement of neutrino cross-sections
  - ❖ Nature of neutrinos , absolute neutrino mass
  - ❖ Probing new physics in oscillation experiments
  - ❖ Search for Dark Matter in neutrino facilities
  - ❖ Probing BSM physics through new interactions
  - ❖ Neutrinos and Multimessenger Astronomy
  - ❖ Origin of neutrino masses and mixing
- } Immediate Goals
- } Ongoing
- } Emerging Goals
- } Continuing



---

---

**Neutrino physics — still a vast landscape**

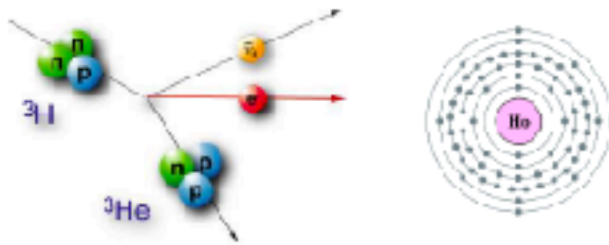


# Absolute neutrino mass

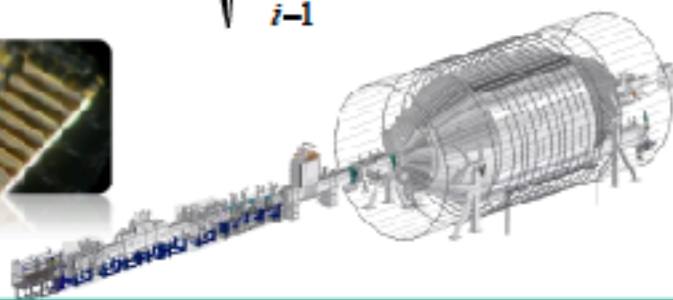
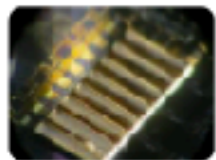
Information can come from three different sectors

## kinematics of weak decays

- $\beta$ -decay:  ${}^3\text{H}$ , EC:  ${}^{163}\text{Ho}$
- **model-independent**

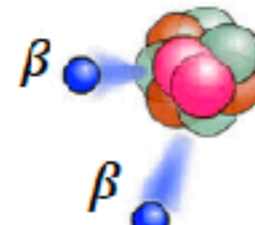


$$m(\nu_e) = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 \cdot m_i^2}$$

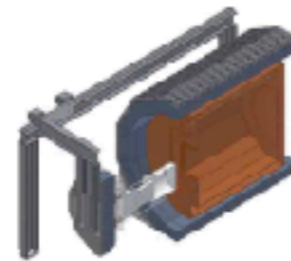
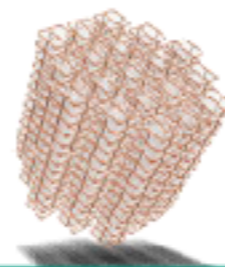


## search for $0\nu\beta\beta$ -decay

- $\beta\beta$ -decay:  ${}^{76}\text{Ge}$ ,  ${}^{136}\text{Xe}$ , ...
- **model-dependent** ( $\alpha_i$ )

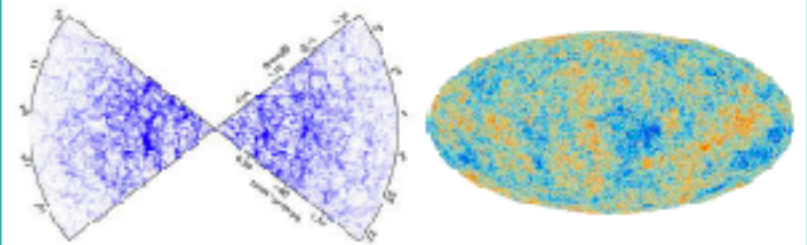


$$\langle m_{\beta\beta} \rangle = \left| \sum_{i=1}^3 U_{ei}^2 \cdot m_i \right|$$

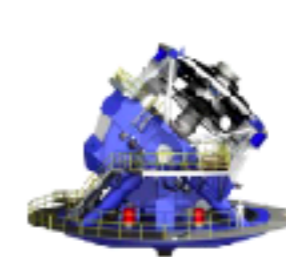


## large-scale structures

- CMB, galaxy surveys, ...
- **model-dependent** ( $H_0$ )



$$m_{tot} = \sum_{i=1}^3 m_i$$

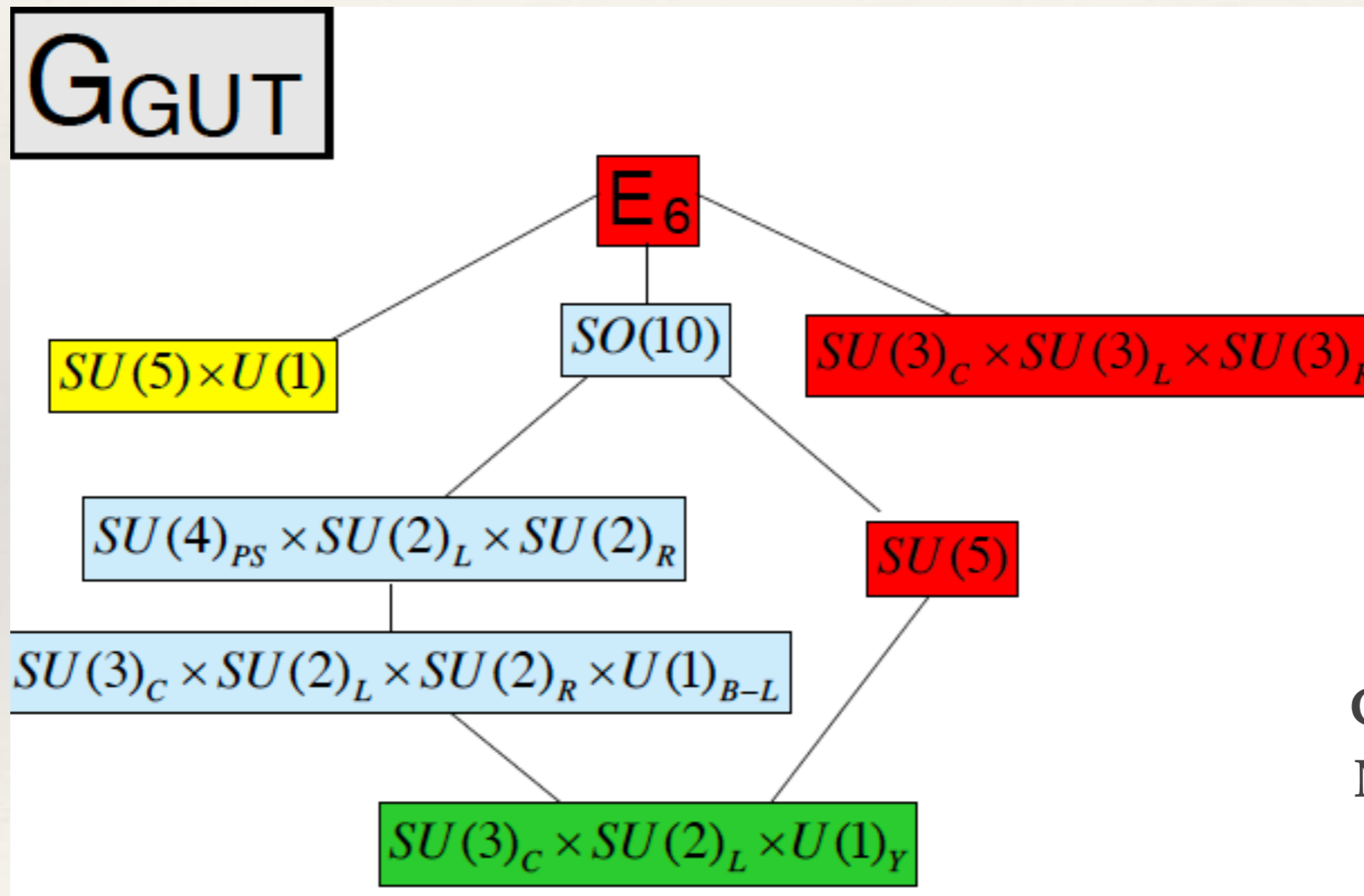


# Grand Unified Theories

$G_{\text{SM}} \subset G$   
 $G = SU(5), SU(6), SO(10), \dots$

Quark-lepton unification

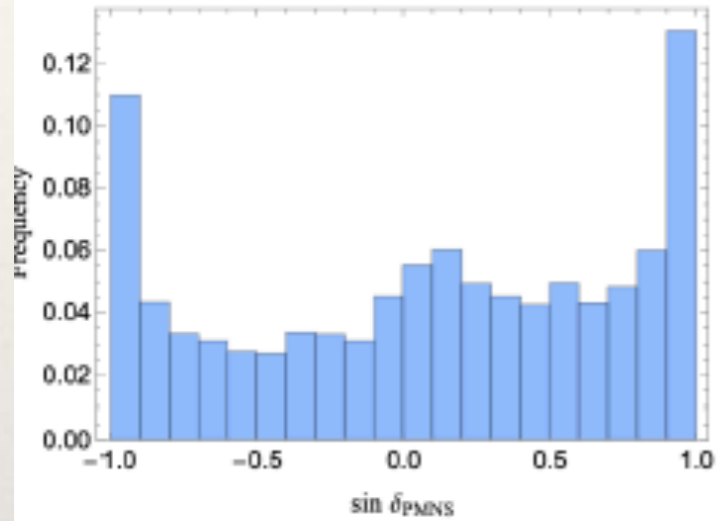
$$\psi_i \ni (Q_i, u_i^c, d_i^c, L_i, e_i^c, \dots)$$



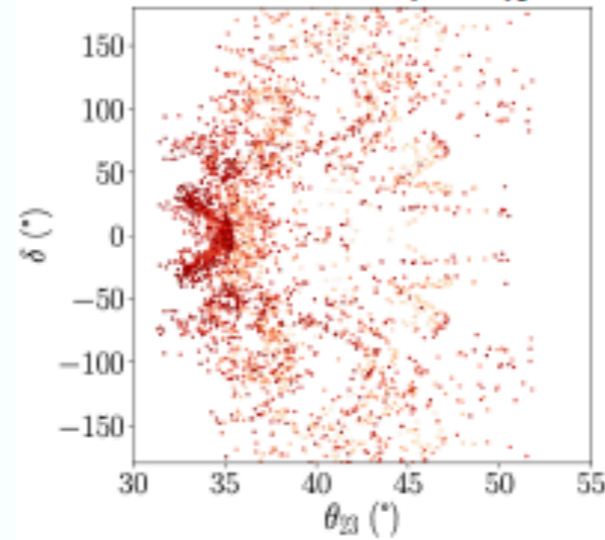
GUT X flavour  
 Modular GUT

# Prediction of CP phase from SO(10)

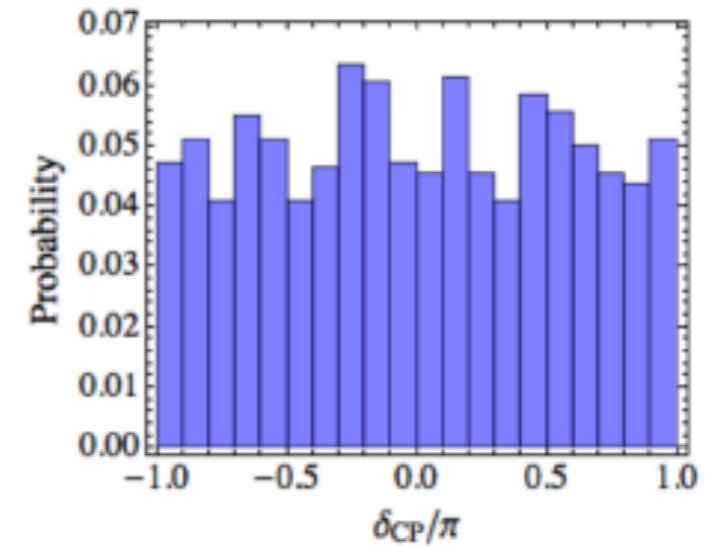
[V.S. Mummidi, KMP (2021)]



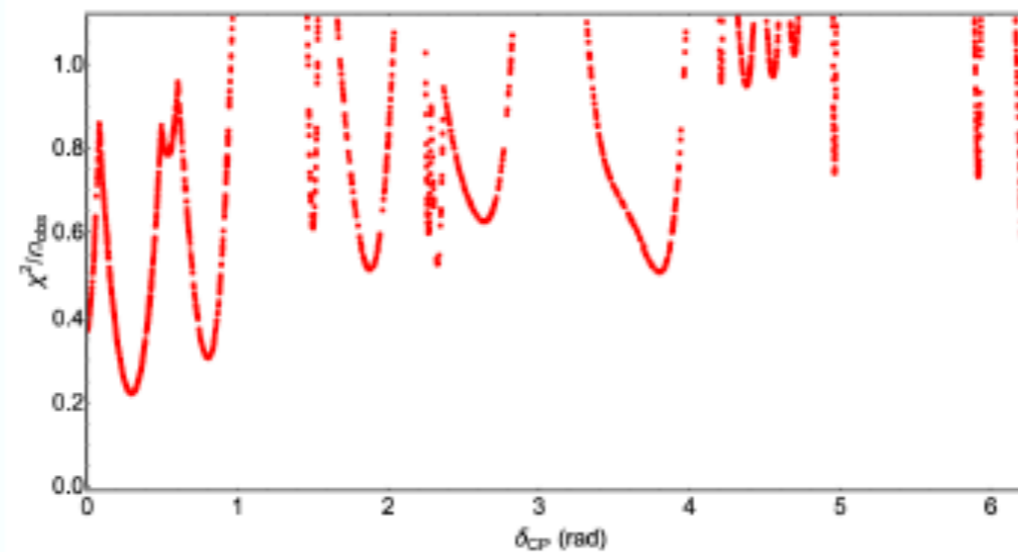
[B. Fu, S.F. King, L. Marsili, S. Pascoli, J. Turner, Y.L. Zhou (2022)]



[F. Feruglio, D. Vicino, KMP (2014)]



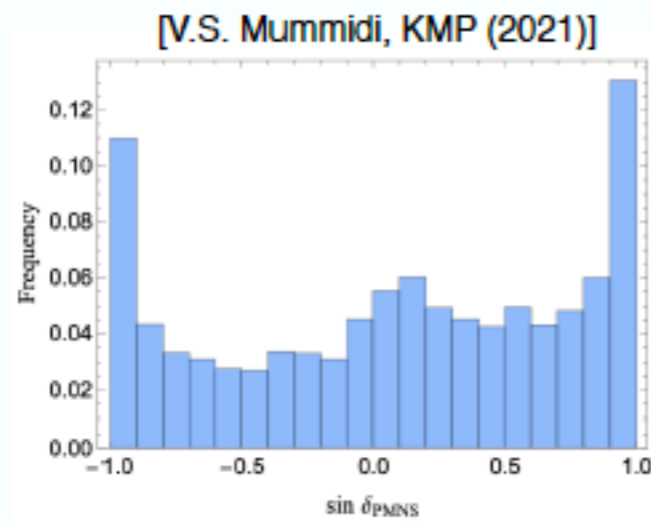
[K.S. Babu, B. Bajc, S. Saad (2018)]



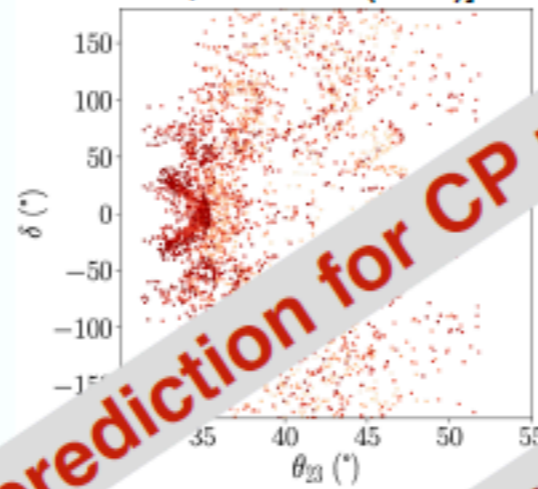
Courtesy: K.M. Patel

# Prediction of CP phase from SO(10)

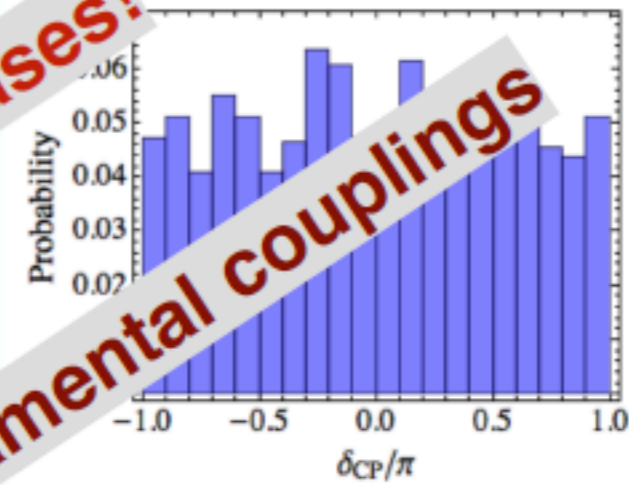
## - Predictions for CP?



[B. Fu, S.F. King, L. Marsili, S. Pascoli, J. Turner, Y.L. Zhou (2022)]



[E. Feruglio, D. Vicino, KMP (2014)]



**No specific prediction for CP phases!**  
**Large number of phases in fundamental couplings**

[K.S. Babu, B. P. ... (2018)]

