

# Implications of $A_4$ modular symmetry on neutrino masses, mixing and leptogenesis

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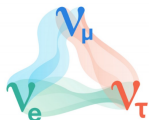
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# Neutrinos: What we know



Flavor Eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{\text{PMNS}} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Mixing Matrix



Mass Eigenstates

$$U_{\text{PMNS}} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \quad \begin{array}{l} c_{ij} = \cos \theta_{ij} \\ s_{ij} = \sin \theta_{ij} \end{array}$$

Measured from  
the following  
neutrino sources



Solar



Reactor



Accelerator



Atmospheric

# Current values of Neutrino Oscillation Parameters

		NuFIT 5.2 (2022)			
		Normal Ordering (best fit)		Inverted Ordering ( $\Delta\chi^2 = 2.3$ )	
		bfp $\pm 1\sigma$	$3\sigma$ range	bfp $\pm 1\sigma$	$3\sigma$ range
without SK atmospheric data	$\sin^2 \theta_{12}$	$0.303^{+0.012}_{-0.011}$	$0.270 \rightarrow 0.341$	$0.303^{+0.012}_{-0.011}$	$0.270 \rightarrow 0.341$
	$\theta_{12}/^\circ$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$	$33.41^{+0.75}_{-0.72}$	$31.31 \rightarrow 35.74$
	$\sin^2 \theta_{23}$	$0.572^{+0.018}_{-0.023}$	$0.406 \rightarrow 0.620$	$0.578^{+0.016}_{-0.021}$	$0.412 \rightarrow 0.623$
	$\theta_{23}/^\circ$	$49.1^{+1.0}_{-1.3}$	$39.6 \rightarrow 51.9$	$49.5^{+0.9}_{-1.2}$	$39.9 \rightarrow 52.1$
	$\sin^2 \theta_{13}$	$0.02203^{+0.00056}_{-0.00059}$	$0.02029 \rightarrow 0.02391$	$0.02219^{+0.00060}_{-0.00057}$	$0.02047 \rightarrow 0.02396$
	$\theta_{13}/^\circ$	$8.54^{+0.11}_{-0.12}$	$8.19 \rightarrow 8.89$	$8.57^{+0.12}_{-0.11}$	$8.23 \rightarrow 8.90$
	$\delta_{CP}/^\circ$	$197^{+42}_{-25}$	$108 \rightarrow 404$	$286^{+27}_{-32}$	$192 \rightarrow 360$
	$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$	$7.41^{+0.21}_{-0.20}$	$6.82 \rightarrow 8.03$
	$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.511^{+0.028}_{-0.027}$	$+2.428 \rightarrow +2.597$	$-2.498^{+0.032}_{-0.025}$	$-2.581 \rightarrow -2.408$

# Neutrino Mass Generation: Quick Review

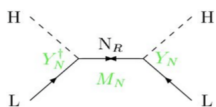
- Neutrino oscillation experiments provided compelling evidences for  $m_\nu \neq 0$
- Massive neutrinos cannot be implemented in the SM through the Yukawa int, as there are no RH neutrinos.
- However, neutrino mass can arise at higher order: (dim-5 Weinberg operator)

$$\mathcal{O}_5 = \frac{Y_{ij}^\nu}{\Lambda} (\bar{L}_{L_i} \tilde{H})(\tilde{H}^T \bar{L}_{L_j}^C) + h.c. \implies (m_\nu)_{ij} = \frac{Y_{ij}^\nu}{2\Lambda} v^2$$

- **Shortcoming of Weinberg operator** is that it violates  $L$  by two units, hence leading to Majorana mass term, but neutrinos could also be Dirac type
- One of the most viable theoretical frameworks used to yield neutrino masses is the **see-saw mechanism**.

# Seesaw Mechanisms

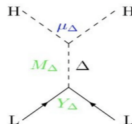
Right-handed singlet:  
(type-I seesaw)



$$m_\nu = Y_N^T \frac{1}{M_N} Y_N v^2$$

Minkowski; Gellman, Ramon, Slansky;  
Yanagida; Glashow; Mohapatra, Senjanovic

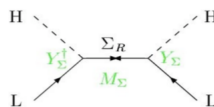
Scalar triplet:  
(type-II seesaw)



$$m_\nu = Y_\Delta \frac{\mu_\Delta}{M_\Delta^2} v^2$$

Magg, Wetterich; Lazarides, Shafi;  
Mohapatra, Senjanovic; Schechter, Valle

Fermion triplet:  
(type-III seesaw)



$$m_\nu = Y_\Sigma^T \frac{1}{M_\Sigma} Y_\Sigma v^2$$

Foot, Lew, He, Joshi; Ma; Ma, Roy; T.H., Lin,  
Notari, Papucci, Strumia; Bajc, Nemevsek,  
Senjanovic; Dorsner, Fileviez-Perez;....

Courtesy: T. Hambye

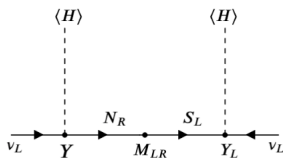
# Modified Type-I Seesaw : Linear Seesaw

- The SM is extended by three RH ( $N_{R_i}$ ) and three sterile ( $S_{L_i}$ ) singlet neutrinos
- The Yukawa interaction becomes

$$-\mathcal{L}_{linear} = Y \bar{N}_R \tilde{H} L + M_{LR} \bar{N}_R S_L + Y_L \bar{L}^c \tilde{H} S_L + h.c.$$

- The mass matrix for linear seesaw in the basis  $(\nu_L, N_R, S_L^c)$

$$M_{linear} = \begin{pmatrix} 0 & m_D & M_{LS} \\ m_D^T & 0 & M_{LR} \\ M_{LS}^T & M_{LR}^T & 0 \end{pmatrix}$$



- The mass formula for light neutrinos evolves from the above mass matrix for  $M_{LR} \gg M_D, M_{LS}$

$$m_{linear} = m_D M_{LR}^{-1} M_{LS}^T + \text{transpose}$$

# Radiative Neutrino mass generation (Scotogenic Model)

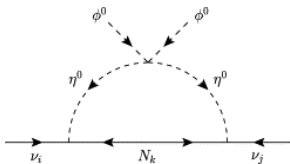
- SM is extended by: (a) 3 RHN fields  $N_k$  (b) Inert scalar doublet  $\eta = (\eta^+, \eta^0)^T$  E. Ma, PRD 73, 077301 (2006)
- The structure of light neutrino mass would be

$$m_\nu \sim \frac{1}{16\pi^2} (\text{favour structure}) \times (\text{mass scale}) \times (\text{loop function})$$

- The active neutrino mass matrix obtained from the diagram

$$(\mathcal{M}_\nu)_{\alpha\beta} \simeq \sum_{k=1}^3 \frac{\lambda_5 h_{k\alpha} h_{k\beta} v^2}{16\pi^2} M_k \left[ 1 - \frac{M_k^2}{(m_0^2 - M_k^2)} \ln \left( \frac{m_0^2}{M_k^2} \right) \right]$$

where  $m_{\eta_R}^2 - m_{\eta_I}^2 = \lambda_5 v^2 \ll m_0^2 = (m_{\eta_R}^2 + m_{\eta_I}^2)/2$ .



# $A_4$ Discrete Flavor Symmetry

- Since indication of CP violation in lepton sector has been observed at T2K and NOvA, we are in the era to develop Flavor theory of leptons
- $A_4$  models are attractive, because  $A_4$  is the minimal group which has a triplet as irreducible reps:  $(\mathbf{3}, \mathbf{1}, \mathbf{1}', \mathbf{1}'')$ .
- $A_4$  product rule:  $3 \times 3 = 1 + 1' + 1'' + 3 + 3$
- It enables us to explain the flavour symmetry:, e.g.,  $y_\alpha \bar{L}_\alpha H_{\alpha R}$   
 $\mathbf{3} : (L_e, L_\mu, L_\tau), \quad \mathbf{1} : e_R, \quad \mathbf{1}' : \mu_R, \quad \mathbf{1}'' : \tau_R$
- However, it yields a vanishing reactor mixing angle  $\theta_{13}$
- Inclusion of simple perturbation by introducing the flavon fields (SM singlet scalars, but transform nontrivially under flavor group) can generate nonzero  $\theta_{13}$
- The flavons play a crucial role in determining the flavour structure due to their particular vacuum alignment.



# Modular Symmetry

- The full modular group  $\Gamma \equiv SL(2, Z)$  is the group of  $2 \times 2$  matrices, with integer entries and determinant 1.
- The *Principal congruence subgroup of level  $N$* , (normal subgroup of  $\Gamma$ )

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, Z), \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$

- Action of the modular group on  $\tau$ , varying in the upper half complex plane  $\mathcal{H} = \{\tau \in \text{Im}\tau > 0\}$ , has the form:

$$\tau \longrightarrow \frac{a\tau + b}{c\tau + d}, \quad \{a, b, c, d \text{ integers, } ad - bc = 1\}$$

- It can be generated by  $S$  and  $T$ , satisfying the relations  $S^2 = (ST)^3 = \mathbb{1}$

$$S: \tau \rightarrow -\frac{1}{\tau}, \quad (\text{duality}) \quad T: \tau \rightarrow \tau + 1, \quad (\text{discrete shift symmetry})$$

# Important features of Modular Symmetry

- Holomorphic functions which transform as

$$f(\tau) \rightarrow (c\tau + d)^k f(\tau)$$

under the modular transformation are called modular forms of weight  $k$ .

- The superpotential should be invariant under modular symmetry, i.e., it should have vanishing modular weight
- The modular symmetry is broken by the vacuum expectation value of  $\tau$
- The Yukawa couplings are functions of modulus  $\tau$ , and transform nontrivially under the modular symmetry
- They can be expressed in terms of Dedekind eta function  $\eta(\tau)$

$$\eta(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1 - q^n), \quad q = e^{2\pi i\tau}.$$

- $\Gamma_1$  is the trivial group,  $\Gamma_2 \cong S_3$ ,  $\Gamma_3 \cong A_4$ ,  $\Gamma_4 \cong S_4$ , and  $\Gamma_5 \cong A_5$ .

# Linear Seesaw with $A_4$ Modular symmetry

- Particle spectrum enriched by six extra heavy fermion fields ( $N_{R_i}$  and  $S_{L_i}$ ) and one weighton ( $\rho$ )
- A global  $U(1)_X$  symmetry is imposed to avoid certain unwanted terms in the superpotential

Fields	$e_R^c$	$\mu_R^c$	$\tau_R^c$	$L_L$	$N_R$	$S_L^c$	$H_{u,d}$	$\rho$
$SU(2)_L$	1	1	1	2	1	1	2	1
$U(1)_Y$	1	1	1	$-\frac{1}{2}$	0	0	$\frac{1}{2}, -\frac{1}{2}$	0
$U(1)_X$	1	1	1	-1	1	-2	0	1
$A_4$	1	$1'$	$1''$	$1, 1'', 1'$	3	3	1	1
$k_I$	1	1	1	-1	-1	-1	0	0

MKB, SM, SS, [RM](#), Phys. Dark Univ. 36, 101027 (2022)

# Model Framework

- The importance of  $A_4$  modular symmetry is that less no. of flavon fields are required, as Yukawa couplings have the non-trivial group transformation

Yukawa coupling	$A_4$	$k_l$
$\mathbf{Y}$	<b>3</b>	<b>2</b>

- The Yukawa coupling  $\mathbf{Y} = (y_1, y_2, y_3)$  with weight 2, which transforms as a triplet of  $A_4$  can be expressed in terms  $\eta(\tau)$  and its derivative

$$y_1(\tau) = \frac{i}{2\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} - \frac{27\eta'(3\tau)}{\eta(3\tau)} \right),$$
$$y_2(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega^2 \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right),$$
$$y_3(\tau) = \frac{-i}{\pi} \left( \frac{\eta'(\tau/3)}{\eta(\tau/3)} + \omega \frac{\eta'((\tau+1)/3)}{\eta((\tau+1)/3)} + \omega^2 \frac{\eta'((\tau+2)/3)}{\eta((\tau+2)/3)} \right).$$

# Masses for the Charged leptons

- The relevant superpotential term for charged leptons is given by

$$\mathcal{W}_{M_\ell} = y_\ell^{ee} L_{eL} H_d e_R^c + y_\ell^{\mu\mu} L_{\mu L} H_d \mu_R^c + y_\ell^{\tau\tau} L_{\tau L} H_d \tau_R^c.$$

- The charged lepton mass matrix takes the form:

$$M_\ell = \begin{pmatrix} y_\ell^{ee} v_d / \sqrt{2} & 0 & 0 \\ 0 & y_\ell^{\mu\mu} v_d / \sqrt{2} & 0 \\ 0 & 0 & y_\ell^{\tau\tau} v_d / \sqrt{2} \end{pmatrix} = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix}.$$

# Dirac and pseudo Dirac mass terms for Neutral fermions

- The RH  $N_{R_i}$ 's transform as triplets under  $A_4$  modular group with  $U(1)_X$  charge of 1 and modular weight  $-1$ .
- The Yukawa couplings to transform as triplets under the  $A_4$  with modular weight 2, so one can write invariant Dirac superpotential as

$$\mathcal{W}_D = \alpha_D L_{e_L} H_u (Y_{N_R})_1 + \beta_D L_{\mu_L} H_u (Y_{N_R})_{1'} + \gamma_D L_{\tau_L} H_u (Y_{N_R})_{1''}.$$

- The resulting Dirac neutrino mass matrix is found to be

$$M_D = \frac{v_u}{\sqrt{2}} \begin{bmatrix} \alpha_D & 0 & 0 \\ 0 & \beta_D & 0 \\ 0 & 0 & \gamma_D \end{bmatrix} \begin{bmatrix} y_1 & y_3 & y_2 \\ y_2 & y_1 & y_3 \\ y_3 & y_2 & y_1 \end{bmatrix}_{LR}.$$

# Dirac and pseudo Dirac mass terms for Neutral fermions

- As we also have the extra sterile fermions  $S_{Li}$ , the pseudo-Dirac term is allowed, and the corresponding superpotential is given as

$$\mathcal{W}_{LS} = \left[ \alpha'_D L_{eL} H_u (YS_L^c)_1 + \beta'_D L_{\mu L} H_u (YS_L^c)_{1'} + \gamma'_D L_{\tau L} H_u (YS_L^c)_{1''} \right] \frac{\rho^3}{\Lambda^3},$$

- The flavor structure for the pseudo-Dirac neutrino mass matrix takes the form,

$$M_{LS} = \frac{v_u}{\sqrt{2}} \left( \frac{v_\rho}{\sqrt{2}\Lambda} \right)^3 \begin{bmatrix} \alpha'_D & 0 & 0 \\ 0 & \beta'_D & 0 \\ 0 & 0 & \gamma'_D \end{bmatrix} \begin{bmatrix} y_1 & y_3 & y_2 \\ y_2 & y_1 & y_3 \\ y_3 & y_2 & y_1 \end{bmatrix}_{LR}.$$

# Mixing between heavy Neutral fermions $N_R$ and $S_L^c$

- One can have the interactions leading to the mixing between these additional fermion field as

$$\mathcal{W}_{M_{RS}} = [\alpha_{NS} Y(S_L^c N_R)_{\text{sym}} + \beta_{NS} Y(S_L^c N_R)_{\text{Anti-sym}}] \rho$$

- Using  $\langle \rho \rangle = v_\rho / \sqrt{2}$ , the resulting mass matrix is

$$M_{RS} = \frac{v_\rho}{\sqrt{2}} \left( \frac{\alpha_{NS}}{3} \begin{bmatrix} 2y_1 & -y_3 & -y_2 \\ -y_3 & 2y_2 & -y_1 \\ -y_2 & -y_1 & 2y_3 \end{bmatrix} + \beta_{NS} \begin{bmatrix} 0 & y_3 & -y_2 \\ -y_3 & 0 & y_1 \\ y_2 & -y_1 & 0 \end{bmatrix} \right).$$

- $\alpha_{NS}/3 \neq \beta_{NS}$ , otherwise  $M_{RS}$  becomes singular
- The masses for the heavy fermions in the basis  $(N_R, S_L^c)^T$ , can be written as

$$M_{Hf} = \begin{pmatrix} 0 & M_{RS} \\ M_{RS}^T & 0 \end{pmatrix}.$$

- Therefore, one can have six doubly degenerate mass eigenstates for the heavy superfields upon diagonalization.



# Linear Seesaw mechanism for light neutrino Masses

- Within the present model invoked with  $A_4$  modular symmetry, the complete  $9 \times 9$  mass matrix in the flavor basis of  $(\nu_L, N_R, S_L^c)^T$  is

$$\mathbb{M} = \left( \begin{array}{c|ccc} & \nu_L & N_R & S_L^c \\ \hline \nu_L & 0 & M_D & M_{LS} \\ N_R & M_D^T & 0 & M_{RS} \\ S_L^c & M_{LS}^T & M_{RS}^T & 0 \end{array} \right).$$

- The linear seesaw mass formula for light neutrinos is given with the assumption  $M_{RS} \gg M_D, M_{LS}$  as,

$$m_\nu = M_D M_{RS}^{-1} M_{LS}^T + \text{transpose.}$$

- We numerically diagonalize the neutrino mass matrix through the relation  $U^\dagger \mathcal{M} U = \text{diag}(m_1^2, m_2^2, m_3^2)$ , where  $\mathcal{M} = m_\nu m_\nu^\dagger$  and  $U$  is a unitary matrix

$$\sin^2 \theta_{13} = |U_{13}|^2, \quad \sin^2 \theta_{12} = \frac{|U_{12}|^2}{1 - |U_{13}|^2}, \quad \sin^2 \theta_{23} = \frac{|U_{23}|^2}{1 - |U_{13}|^2}.$$

# Numerical Analysis

- To fit to the current neutrino oscillation data, we chose the following ranges for the model parameters:

$$\begin{aligned} \text{Re}[\tau] \in [-0.5, 0.5], \quad \text{Im}[\tau] \in [1, 2], \quad \{\alpha_D, \beta_D, \gamma_D\} \in 10^{-5} [0.1, 1], \\ \{\alpha'_D, \beta'_D, \gamma'_D\} \in 10^{-2} [0.1, 1], \quad \alpha_{NS} \in [0, 0.5], \quad \beta_{NS} \in [0, 0.0001], \\ \nu_\rho \in [10, 100] \text{ TeV}, \quad \Lambda \in [100, 1000] \text{ TeV}. \end{aligned}$$

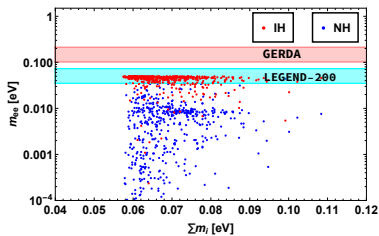
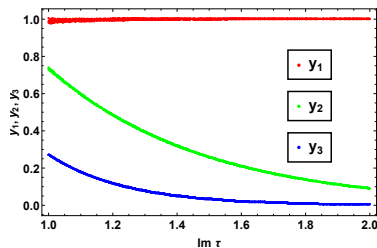
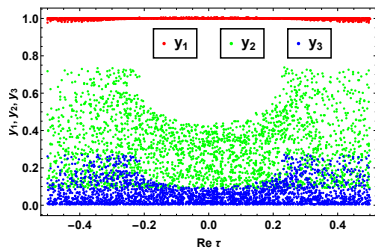
- The input parameters are randomly scanned over to obtain the allowed parameter space satisfying the neutrino oscillation data and  $\sum m_i < 0.12$  eV
- The typical range of  $\tau$  is found to be:

$$-0.5 \lesssim \text{Re}[\tau] \lesssim 0.5 \quad \text{and} \quad 1 \lesssim \text{Im}[\tau] \lesssim 2 \quad \text{for NO.}$$

- Yukawa couplings as function of  $\tau$  are found to vary in the region:

$$0.99 \lesssim y_1(\tau) \lesssim 1, \quad 0.1 \lesssim y_2(\tau) \lesssim 0.8 \quad \text{and} \quad 0.01 \lesssim y_3(\tau) \lesssim 0.3.$$

# Some Results



# Baryon Asymmetry of the Universe

- Understanding the origin of neutrino mass and BAU are two major challenges in Particle Physics
- Leptogenesis plays a vital role in relating these two issues
- The BAU is parametrized in terms of the following quantity:

$$\eta \equiv \frac{n_b - n_{\bar{b}}}{n_\gamma} = (6.04 \pm 0.08) \times 10^{-10}$$

- An alternative way to express matter-antimatter asymmetry is to use the ratio

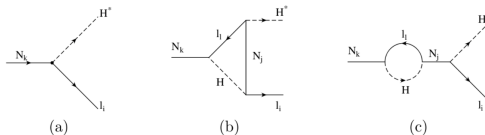
$$Y_B = \frac{n_b - n_{\bar{b}}}{s}$$

- The two formulations in terms of  $Y_B$  and  $\eta$ , at the present time, are easily related:

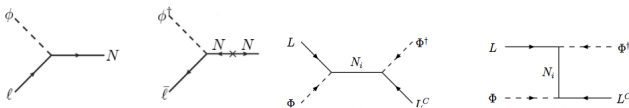
$$Y_B = \frac{n_\gamma^0}{s^0} \eta = 0.142 \eta = (8.77 \pm 0.24) \times 10^{-11}.$$

# Leptogenesis in 3 Basic Steps

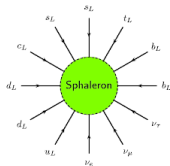
- 1 Generation of lepton asymmetry by the decay of heavy Majorana neutrino



- 2 Partial washout of the asymmetry due to inverse decays and scattering

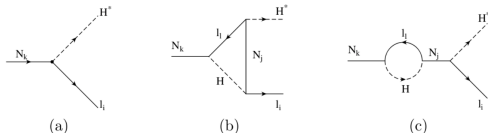


- 3 Conversion of the residual lepton asymmetry to baryon asymmetry



# Resonant Leptogenesis

- For quasi-degenerate heavy Majorana neutrinos, i.e.,  $M_{N_i} - M_{N_j} \ll M_{N_i}$ , the self energy ( $\varepsilon$ ) contribution to the CP asymmetry becomes dominant



- Resonant leptogenesis occurs when  $M_{N_i} - M_{N_j} \sim \Gamma_{N_i}$ , in this case CP asymmetry can become very large (even order 1)
- The  $\varepsilon$ -type CP asymmetry,

$$\varepsilon_{N_i} = \frac{\text{Im}(h^{\nu\dagger} h^\nu)_{ij}^2}{(h^{\nu\dagger} h^\nu)_{ii}(h^{\nu\dagger} h^\nu)_{jj}} \frac{(m_{N_i}^2 - m_{N_j}^2) m_{N_i} \Gamma_{N_j}^{(0)}}{(m_{N_i}^2 - m_{N_j}^2)^2 + m_{N_i}^2 \Gamma_{N_j}^{(0)2}}$$

- $\mathcal{O}(1)$  CP asymmetries are possible when,

$$m_{N_2} - m_{N_1} \sim \frac{1}{2} \Gamma_{N_{1,2}}^{(0)}, \quad \frac{\text{Im}(h^{\nu\dagger} h^\nu)_{ij}^2}{(h^{\nu\dagger} h^\nu)_{ii}(h^{\nu\dagger} h^\nu)_{jj}} \sim 1$$

# Resonant Leptogenesis in the present framework

- Six heavy states with doubly degenerate masses for each pair, obtained by diagonalization of the heavy fermion mass matrix

$$M_{Hf} = \begin{pmatrix} 0 & M_{RS} \\ M_{RS}^T & 0 \end{pmatrix}$$

- But one can introduce a higher dimensional mass term for the heavy RH neutrinos ( $N_R$ ) as

$$L_M = -\alpha_R Y N_R^c N_R^c \frac{\rho^2}{\Lambda}$$

- The lightest pair, assumed to be in the TeV scale, dominantly contribute to the CP asymmetry, i.e., the contribution from one loop self energy dominates over the vertex diagram.

# One Flavor Approximation

- The evolution of lepton asymmetry can be deduced from the Boltzmann equations.
- Sakharov criteria demand the decay of parent fermion to be out of equilibrium to generate the lepton asymmetry.
- To impose this condition, one has to compare the Hubble rate with the decay rate

$$K = \frac{\Gamma_{N_1^-}}{H(T = M_1^-)} .$$

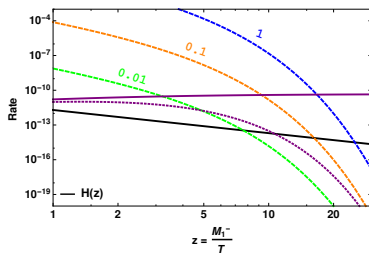
- The Boltzmann equations for the evolution of the number densities of RH fermions, in terms of yield parameter

$$\frac{dY_{N^-}}{dz} = -\frac{z}{sH(M_1^-)} \left[ \left( \frac{Y_{N^-}}{Y_{N^-}^{\text{eq}}} - 1 \right) \gamma_D + \left( \left( \frac{Y_{N^-}}{Y_{N^-}^{\text{eq}}} \right)^2 - 1 \right) \gamma_S \right],$$
$$\frac{dY_{B-L}}{dz} = -\frac{z}{sH(M_1^-)} \left[ \epsilon_{N^-} \left( \frac{Y_{N^-}}{Y_{N^-}^{\text{eq}}} - 1 \right) \gamma_D - \frac{Y_{B-L}}{Y_\ell^{\text{eq}}} \frac{\gamma_D}{2} \right]$$



# One Flavor Approximation

Figure: Interaction rates with Hubble expansion.



- Decay ( $\Gamma_D$ ) in Purple solid line and inverse decay  $\left( \Gamma_D \frac{Y_{\ell}^{\text{eq}}}{Y_{N_1^-}^{\text{eq}}} \right)$  dotted purple line with the coupling strength  $\sim 10^{-6}$ .
- The scattering rate  $\left( \frac{\gamma_S}{s Y_{N_1^-}^{\text{eq}}} \right)$  for  $N_1^- N_1^- \rightarrow \rho\rho$  is projected for various set of values for coupling, consistent with neutrino oscillation study.

# One Flavor Approximation

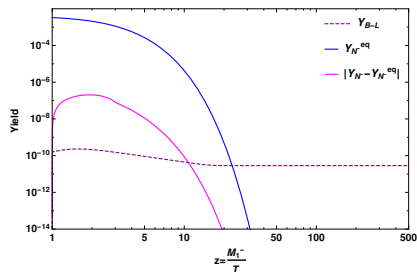


Figure: Evolution of  $Y_{B-L}$  (dashed) as a function of  $z = M_1^-/T$ .

- The obtained lepton asymmetry gets converted to the observed baryon asymmetry through sphaleron transition

$$Y_B = \left( \frac{8N_f + 4N_H}{22N_f + 13N_H} \right) Y_{B-L} \sim \mathcal{O}(10^{-10}).$$

# Flavor Consideration

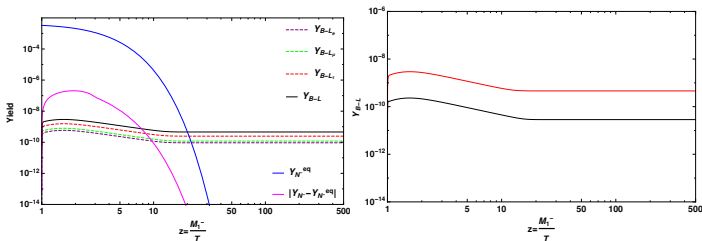
- One flavor approximation is reasonable at high scale ( $T > 10^{12}$  GeV), where all the Yukawa interactions are out of equilibrium.
- But for temperatures below  $10^{12}$  GeV, various Yukawa couplings come into equilibrium  $\implies$  flavor effects play a crucial role in generating the final lepton asymmetry.
- For temperatures below  $10^5$  GeV, all the Yukawa interactions are in equilibrium and the asymmetry is stored in the individual lepton sector.
- The Boltzmann equation for generating lepton asymmetry in each flavor is

$$\frac{dY_{B-L\alpha}^\alpha}{dz} = -\frac{z}{sH(M_1^-)} \left[ \epsilon_{N^-}^\alpha \left( \frac{Y_{N^-}}{Y_{N^-}^{eq}} - 1 \right) \gamma_D - \left( \frac{\gamma_D^\alpha}{2} \right) \frac{A_{\alpha\alpha} Y_{B-L\alpha}^\alpha}{Y_\ell^{eq}} \right],$$

where

$$\gamma_D^\alpha = s Y_{N^-}^{eq} \Gamma_{N^-}^\alpha \frac{K_1(z)}{K_2(z)}, \quad \gamma_D = \sum_\alpha \gamma_D^\alpha$$

# Flavor Consideration



**Figure:** Left panel displays yield with inclusion of flavor effects. Right panel shows the enhancement in the yield due to three-flavor case over one-flavor approximation.

- The enhancement is because, in one flavor approximation the decay of heavy fermion to a specific lepton flavor final state ( $N \rightarrow \ell_\alpha H$ ) can get washed out by the inverse decays of any flavor ( $\ell_\beta + H \rightarrow N$ ) unlike the flavored case

# Probing the Model in upcoming LBL Expts

- Due to the predictive features of the model, it can be probed in the forthcoming neutrino oscillation experiments: DUNE and T2HK
- T2HK and DUNE are expected to precisely measure  $\delta_{\text{CP}}$  as well as the octant of  $\theta_{23}$ . Additionally, they will also determine the true nature of neutrino mass ordering
- It should be mentioned here that the current measurements of  $\theta_{23}$  and  $\delta_{\text{CP}}$  are very weak.
- Hence, a large number of models are currently allowed, which predict a wide range of values regarding these two parameters.
- However, with the future measurements of these parameters by T2HK and DUNE, we expect to rule out many such models.

RM et al, JHEP 09, 144 (2023)

# Numerical Analysis

- The input parameters are randomly scanned over and the parameter space for the allowed regions is initially filtered by:
  - the observed  $3\sigma$  limit of  $\Delta m_{21}^2$  &  $\Delta m_{31}^2$ , the mixing angles and the observed sum of active neutrino masses
$$0.058 \leq \Sigma m_{\nu_i} \leq 0.12 \text{ eV}$$
- The best-fit values of the input parameters are obtained by utilizing the chi-square minimization technique,

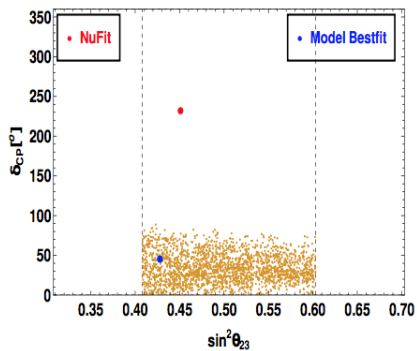
$$\chi^2 = \sum_i \left( \frac{T_i(z) - E_i}{\sigma_i} \right)^2,$$

where  $E_i$  is the experimental best-fit values of oscillation parameters from NuFIT and  $T_i(z)$  is the theoretical predictions for the corresponding oscillation parameter as a function of  $z$  ( $z$  indicates input parameters in the model)

- These calculations yield a cumulative  $\chi^2|_{\min}$ , which yields the best-fit values of model parameters

# Results

The model predicts NO and constraints the  $\delta_{CP}$  value



Range obtained for  $\delta_{CP} \in [0^\circ, 89^\circ]$

# Numerical Analysis

- Next, we study the capability of T2HK and DUNE to constrain the model, using the  $\chi^2$  analysis
- Defining the Poisson  $\chi^2$  as

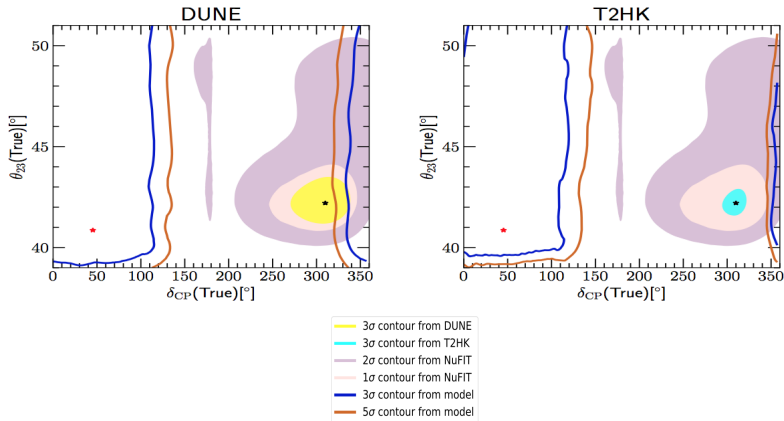
$$\chi^2 = 2 \sum_j \left[ N_j^{\text{th}} - N_j^{\text{true}} - N_j^{\text{true}} \ln \left( \frac{N_j^{\text{th}}}{N_j^{\text{true}}} \right) \right],$$

where  $j$  is the number of energy bins,  $N_j^{\text{th}}$  ( $N_j^{\text{true}}$ ) is the number of events in the test (true) spectrum.

- The current values of the oscillation parameters are taken as the true and the values of predicted from the models are as test.
- For each set of true parameters, we minimize the  $\chi^2$  w.r.t. all sets of predicted parameters.
- We find the  $\chi_{\text{min}}^2$  for all sets of true parameters and calculate  $\Delta\chi^2$  as  $\chi^2 - \chi_{\text{min}}^2$ .



# Results



The  $5\sigma$  allowed region is well separated from the  $3\sigma$  allowed region for T2HK, but for DUNE, they are consistent.

# Scoto-seesaw with $A_4$ modular symmetry PLB 853, 138635 (2024)

- To date, the only measured neutrino mass parameters are:  $|\Delta m_{32}^2|$  and  $|\Delta m_{21}^2|$ , that might suggest that the origins of these two scales stem from separate mechanisms
- In the scoto-seesaw setup, the neutrino masses will be generated at tree level from type-I seesaw and from scoto-loop
- Two implement scoto-seesaw, new superfields added are:  $N_{R_1}$ ,  $N_{R_2}$ ,  $f$ , which are  $SU(2)_L$  singlets and the scalar doublet  $\eta$ .

	Fermions					Scalars			Yukawa couplings					
Fields	$L_\ell$	$\ell_R^c$	$N_{R_1}$	$N_{R_2}$	$f$	$H_{u,d}$	$\eta$	$\eta'$	$Y_1^{(4)}$	$Y_{1'}^{(4)}$	$Y_1^{(8)}$	$Y_{1'}^{(8)}$	$Y_{1''}^{(8)}$	$Y_1^{(10)}$
$SU(2)_L$	2	1	1	1	1	2	2	2	–	–	–	–	–	–
$U(1)_Y$	-1/2	1	0	0	0	$\pm 1/2$	1/2	-1/2	–	–	–	–	–	–
$A_4$	1, 1', 1''	1, 1'', 1'	1	1'	1	1	1	1	1	1'	1	1'	1''	1
$k_I$	0	0	4	4	5	0	3	3	4	4	8	8	8	10

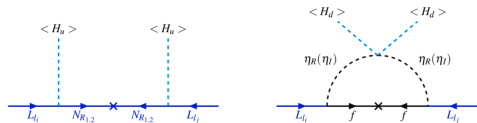
# Neutrino Mass generation

- The neutrino mass will have contributions from both tree and loop levels
- The super potential contributing to the tree level mass generation is

$$\mathcal{W}_\nu^T = \alpha_T \left( Y_1^{(4)} L_e H_u N_{R_1} + Y_{1'}^{(4)} L_\tau H_u N_{R_1} + Y_{1'}^{(4)} L_\mu H_u N_{R_2} + Y_1^{(4)} L_\tau H_u N_{R_2} \right) + \kappa_1 Y_1^{(8)} N_{R_1} N_{R_1} + \kappa_2 Y_{1''}^{(8)} N_{R_2} N_{R_2}.$$

giving rise to the Dirac and Majorana mass matrices  $M_D$  and  $M_R$  as:

$$M_D = \begin{pmatrix} Y_1^{(4)} & 0 \\ 0 & Y_{1'}^{(4)} \\ Y_{1'}^{(4)} & Y_1^{(4)} \end{pmatrix} \alpha_T v_u, \quad M_R = \begin{pmatrix} \kappa_1 Y_1^{(8)} & 0 \\ 0 & \kappa_2 Y_{1''}^{(8)} \end{pmatrix},$$



- Considering the type-I seesaw formula, the light neutrino mass matrix is

$$(M_\nu)_{\text{tree}} = -M_D M_R^{-1} M_D^T .$$

Using the expressions of  $M_D$  and  $M_R$ , one can have

$$(M_\nu)_{\text{tree}} = -(\alpha_T v_u)^2 \begin{pmatrix} A & 0 & p\sqrt{AB} \\ 0 & B & r\sqrt{AB} \\ * & * & p^2 B + r^2 A \end{pmatrix} ,$$

- The neutrino mass term can also be generated at one loop level through the scotogenic process due to inert doublet  $\eta$  and the fermion  $f$  in the loop.
- The superpotential becomes

$$\mathcal{W}_\nu^L = \beta_L \left( Y_1^{(8)} L_e \eta f + Y_{1''}^{(8)} L_\mu \eta f + Y_{1'}^{(8)} L_\tau \eta f \right) + \kappa_S Y_1^{(10)} f f ,$$

- The neutrino masses generated effectively at the one loop level are as follows:

$$\left(M_\nu^{ij}\right)_{\text{loop}} = \mathcal{F}(m_{\eta_R}, m_{\eta_I}, M_f) M_f \mathbf{h}^i \mathbf{h}^j,$$

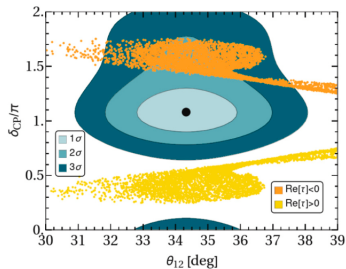
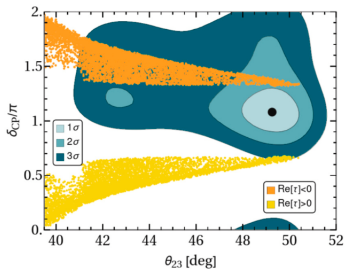
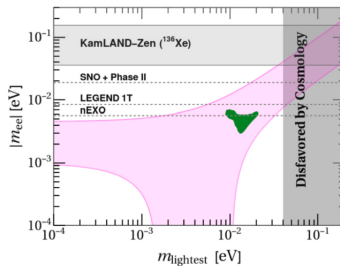
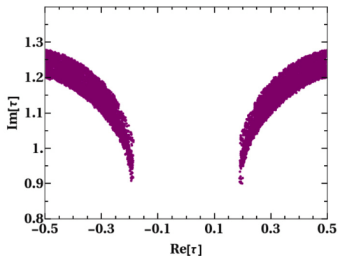
- Hence, the neutrino mass matrix at the loop level evolves as

$$(M_\nu)_{\text{loop}} = \beta_L^2 M_f \begin{pmatrix} \left(Y_1^{(8)}\right)^2 & \left(Y_1^{(8)} Y_{1''}^{(8)}\right) & \left(Y_1^{(8)} Y_{1'}^{(8)}\right) \\ * & \left(Y_{1''}^{(8)}\right)^2 & \left(Y_{1''}^{(8)} Y_{1'}^{(8)}\right) \\ * & * & \left(Y_{1'}^{(8)}\right)^2 \end{pmatrix} \mathcal{F}(m_{\eta_R}, m_{\eta_I}, M_f).$$

- The total contribution of neutrino mass matrix becomes

$$M_\nu = (M_\nu)_{\text{tree}} + (M_\nu)_{\text{loop}}.$$

# Some Results



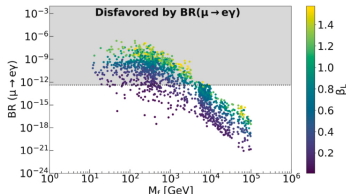
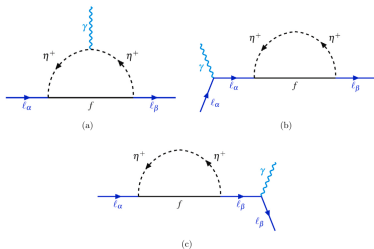
# LFV decay: $\mu \rightarrow e\gamma$

- The BR for the rare decay  $\mu \rightarrow e\gamma$

$$\text{BR}(\mu \rightarrow e\gamma) = \frac{3(4\pi)^3\alpha}{4G_F^2} |\mathcal{A}_1|^2 \text{BR}(\mu \rightarrow e\bar{\nu}_e\nu_\mu), \quad (1)$$

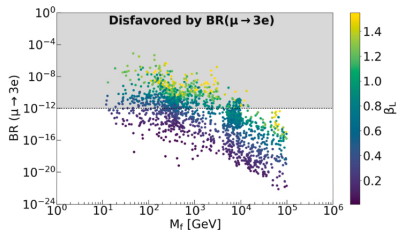
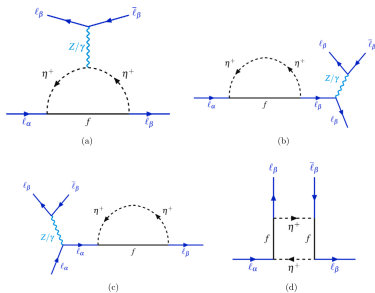
- $\mathcal{A}_1$  is the dipole contribution, expressed as

$$\mathcal{A}_1 = \beta_L^2 \frac{Y_1^{(8)*} Y_{1''}^{(8)}}{32\pi^2} \frac{1}{m_{\eta^+}^2} \mathcal{G}_1(x), \quad x = M_f^2/m_{\eta^+}^2 \quad (2)$$



# LFV decay: $\mu \rightarrow 3e$

The three body LFV decay  $\ell_\alpha \rightarrow \ell_\beta \bar{\ell}_\beta \ell_\beta$  can proceed through penguin and box diagrams





# Conclusion

- The modular  $A_4$  flavor symmetry is quite successful in accommodating the observed neutrino oscillation data.
- The important aspect of modular symmetry is that the Yukawa couplings to transform non-trivially under modular  $A_4$  group, which replaces the role of conventional flavon fields.
- Leptogenesis can be explained through the decay of lightest heavy fermion eigenstate
- Scoto-seesaw can also be implemented using modular symmetry.

Thank you for your attention !