

# Measurements in photo-induced and diffractive processes

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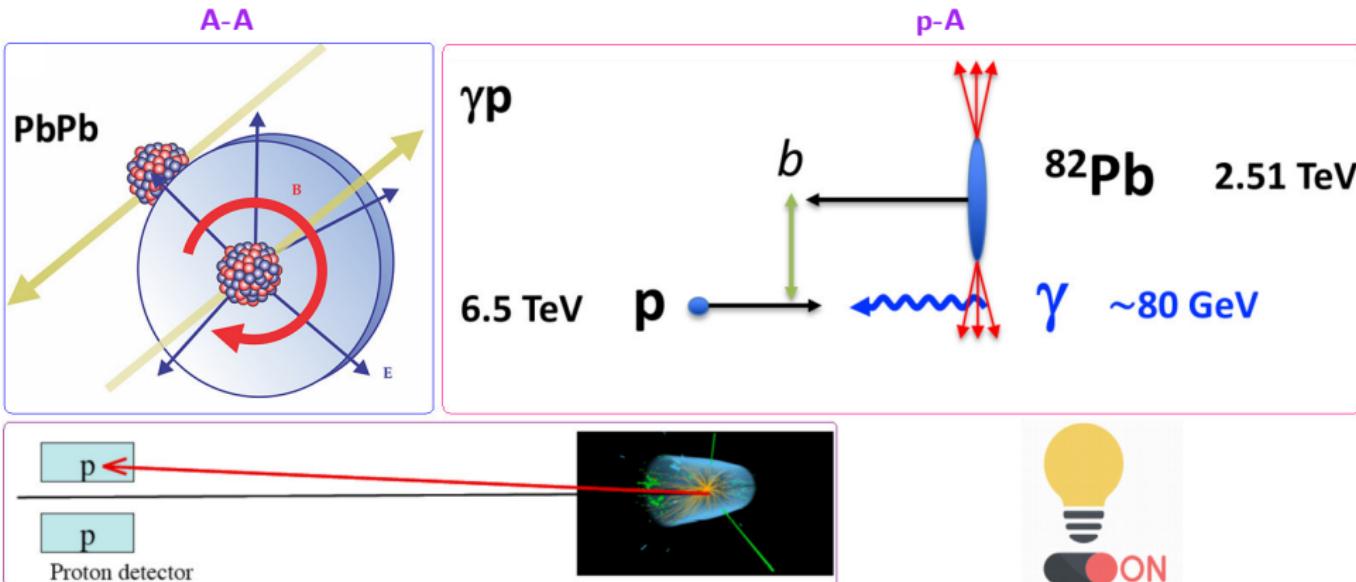
## Ultraperipheral collisions (UPCs) and the photon flux

# Intact protons or lead nuclei; source of photo-induced processes

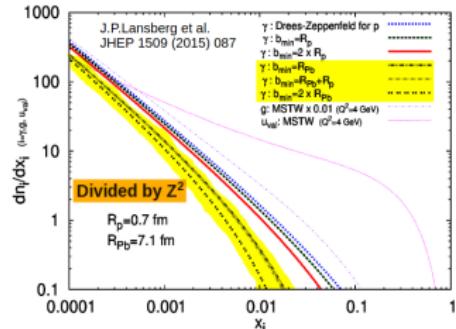
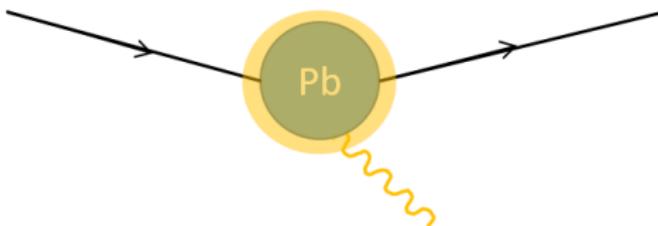
## ► Ultraperipheral collisions (UPC's) with large impact parameters; ( $b > 2R_A$ )

→  $\gamma$ -proton/Pb and  $\gamma\gamma$  initial state processes

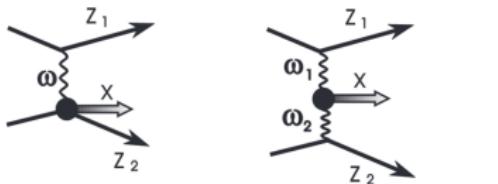
→ Photon source (Pb or p) does not dissociate



# Equivalent Photon Approximation; Intact protons or lead nuclei;



- ▶ Equivalent Photon Approximation (EPA)<sup>1,2</sup>; cross-section can be factorized in terms of equivalent flux of photons with energy  $E_\gamma$  into colliding hadron
- ▶ Flux of quasireal photons, with intensity proportional to the square of its electric charge,  $Z^2$ 
  - Weizsäcker – Williams power-law photon flux
  - Proton flux: further corrections proposed: Nucl. Phys. B 974, 115645 (2022)



<sup>1</sup> arXiv:nucl-ex/0502005v2 [here](#)

<sup>2</sup> doi:10.1103/PhysRevD.88.054025 [here](#)

# EPA fluxes implemented in simulations packages

## ► PYTHIA8

- EPA and radial parameters in Nucleus2gamma object
- Exclusive and semiexclusive processes
- Available softQCD processes to simulate MB events within photoproduction

## ► STARLIGHT

- $\gamma\gamma$  and  $\gamma p$  interactions between nuclei and protons
- Variety of final states to  $\mu^+\mu^-$ ,  $\tau^+\tau^-$ ,  $e^+e^-$ ,  $\rho^0$ ,  $J/\psi$ ,  $\nu$  ..

## ► Madgraph

- EPA flux for proton, with exclusive and semiexclusive production at NLO

## ► Gamma-UPC

- Exclusive  $\gamma\gamma$  processes with variable A number of nucleons and EPA models
  - Improved Weizsaecker-Williams Approx [hep-ph/9310350]
  - Effective W/Z/A Approx [2111.02442]
  - edff [2207.03012]
  - chff [2207.03012]

# Photon from Pb nuclei with energy up to $\sim 80$ GeV

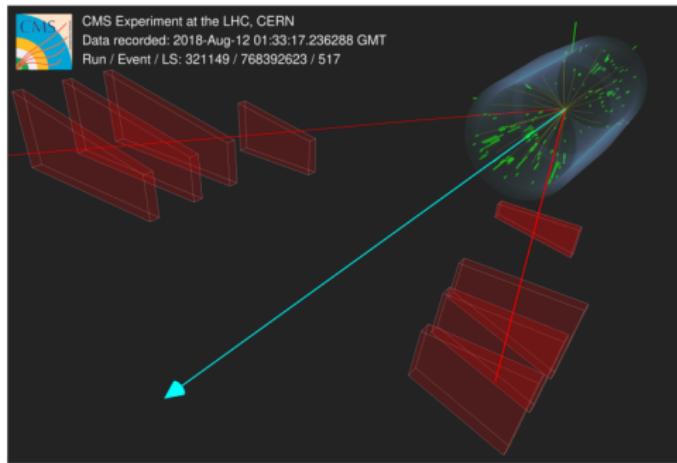
- ▶ Photon energies for lead ion at 2.76 TeV and proton at 7 TeV can reach values up to 80 GeV and 2.45 TeV respectively
- ▶ Photon energies at LHC, HL-LHC and FCC energies; larger reach for proton flux

System	$\sqrt{s_{\text{NN}}}$	$\mathcal{L}_{\text{int}}$	$E_{\text{beam1}} + E_{\text{beam2}}$	$\gamma_L$	$R_A$	$E_\gamma^{\max}$	$\sqrt{s_{\gamma\gamma}^{\max}}$
Pb-Pb	5.52 TeV	$5 \text{ nb}^{-1}$	$2.76 + 2.76 \text{ TeV}$	2960	7.1 fm	80 GeV	160 GeV
Xe-Xe	5.86 TeV	$30 \text{ nb}^{-1}$	$2.93 + 2.93 \text{ TeV}$	3150	6.1 fm	100 GeV	200 GeV
Kr-Kr	6.46 TeV	$120 \text{ nb}^{-1}$	$3.23 + 3.23 \text{ TeV}$	3470	5.1 fm	136 GeV	272 GeV
Ar-Ar	6.3 TeV	$1.1 \text{ pb}^{-1}$	$3.15 + 3.15 \text{ TeV}$	3390	4.1 fm	165 GeV	330 GeV
Ca-Ca	7.0 TeV	$0.8 \text{ pb}^{-1}$	$3.5 + 3.5 \text{ TeV}$	3760	4.1 fm	165 GeV	330 GeV
O-O	7.0 TeV	$12.0 \text{ pb}^{-1}$	$3.5 + 3.5 \text{ TeV}$	3760	3.1 fm	240 GeV	490 GeV
p-Pb	8.8 TeV	$1 \text{ pb}^{-1}$	$7.0 + 2.76 \text{ TeV}$	7450, 2960	0.7, 7.1 fm	2.45 TeV, 130 GeV	2.6 TeV
p-p	14 TeV	$150 \text{ fb}^{-1}$	$7.0 + 7.0 \text{ TeV}$	7450	0.7 fm	2.45 TeV	4.5 TeV
Pb-Pb	39.4 TeV	$110 \text{ nb}^{-1}$	$19.7 + 19.7 \text{ TeV}$	21 100	7.1 fm	600 GeV	1.2 TeV
p-Pb	62.8 TeV	$29 \text{ pb}^{-1}$	$50. + 19.7 \text{ TeV}$	53 300, 21 100	0.7, 7.1 fm	15.2 TeV, 600 GeV	15.8 TeV
p-p	100 TeV	$1 \text{ ab}^{-1}$	$50. + 50. \text{ TeV}$	53 300	0.7 fm	15.2 TeV	30.5 TeV

H.-S. Shao and D. d'Enterria, JHEP 2209 (2022) 248 arXiv:2207.03012[hep-ph]

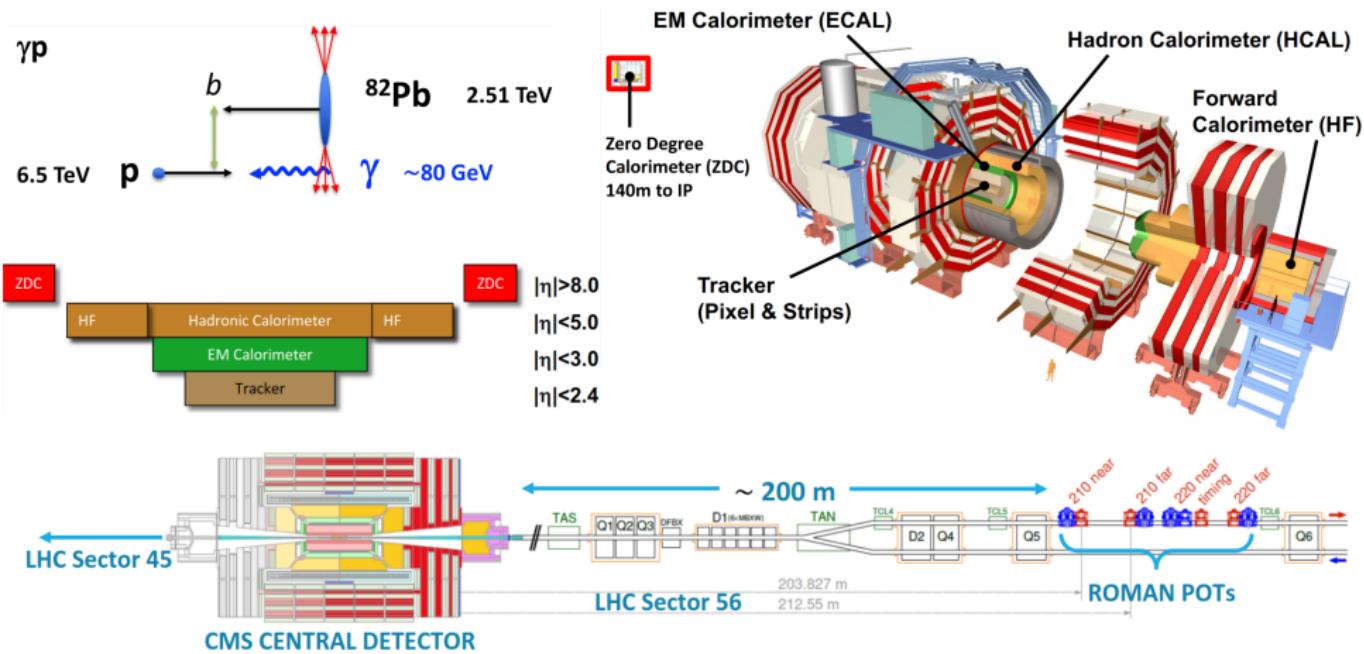
# CMS experiment and forward detectors

PROTONS THAT DO NOT BREAK  
UP AT THE LHC



# CMS forward detectors in Run 2

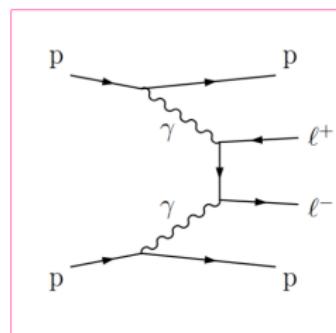
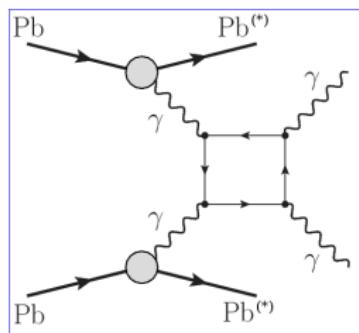
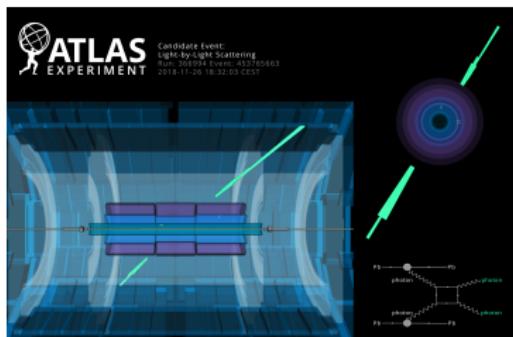
- Zero Degree (ZDC) and Hadronic Forward (HF) calorimeters for Pb and protons respectively
- CMS Particle Flow energies to constrain activity as a function of  $\eta$
- CT-PPS spectrometer for pp runs



# Evidence of Photo-induced processes in PbPb / pp at the LHC

## ► Evidence of light-by-light scattering

CMS-FSQ-16-012 Phys. Lett. B 797 (2019) 134826



## ► Exclusive production of lepton pairs

- Scattered protons measured at CMS-TOTEM precision proton spectrometer (CT-PPS)
- Observed for first time at the LHC in pp collisions at  $\sqrt{s} = 13$  TeV

JHEP07 (2018) 153

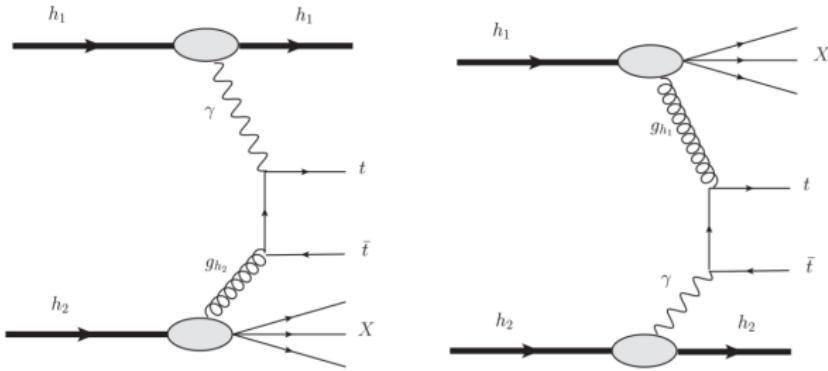
- Light-by-light with protons

## Top quark photoproduction search

# Factorization and photon flux probability distribution

- Equivalent photon flux,  $dN/d\omega$ , associated to one of the hadrons

- $W_{\gamma p}$  c.m.s photon-hadron energy,  $W_{\gamma p}^2 = 2\omega\sqrt{s}$
- Considering and adding over all possibilities of  $\omega$ , the  $\gamma$  energy
- **Intact recoiled hadron and rapidity gap appears in final state**



$$\sigma(h_1 + h_2 \rightarrow h \otimes t\bar{t} + X)$$

$$= \int d\omega \frac{dN}{d\omega} \Big|_{h_1} \sigma_{\gamma h_2 \rightarrow t\bar{t}X}(W_{\gamma h_2}) \\ + \int d\omega \frac{dN}{d\omega} \Big|_{h_2} \sigma_{\gamma h_1 \rightarrow t\bar{t}X}(W_{\gamma h_1}),$$

- NLO cross-sections for  $t\bar{t}$  photoproduction

$$\sigma_{t\bar{t}} \approx 0.70\text{pb} \ (\times 2) \approx 1.40\text{pb}$$

$$\sigma_{tW} \approx 0.51\text{pb} \ (\times 2) \approx 1.02\text{pb}$$

- Anomalous couplings can be probed

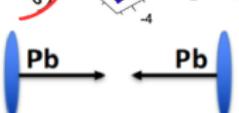
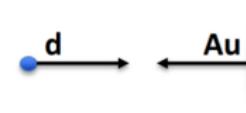
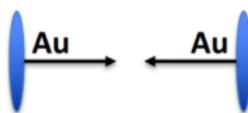
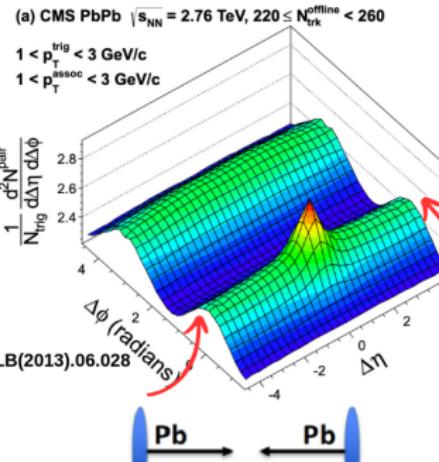
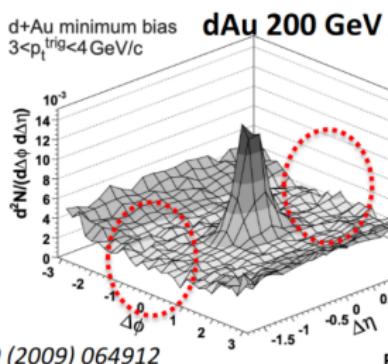
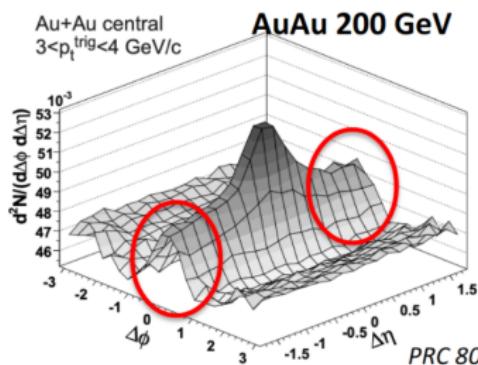
## Collectivity probes in small systems

# Collectivity and ridge in nuclear collisions AA

## ► Emerges in the two-particle correlation functions

- Long-range spatial correspondence → [collective behaviour of final-state particles]
- Observed in large collision systems (AA) → [ridge-like shape in data]
- First probes over smaller collision systems (dAu)

## ► Evidence of collectivity and one of the features of QGP; Relativistic fluid dynamics



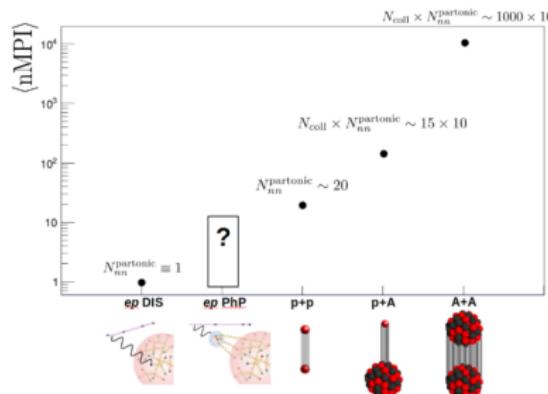
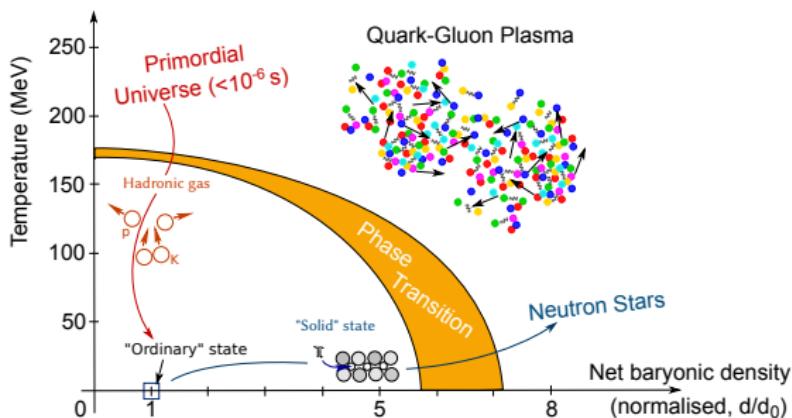
# Quark gluon plasma (QGP) and collectivity

## ► Medium properties and hydrodynamic behavior

→ Look into smaller systems

## ► Initial and final state effects

- Long range correlations induced by color fluctuations? (CGC)
- gluon saturation in the initial state?

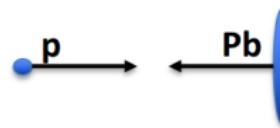
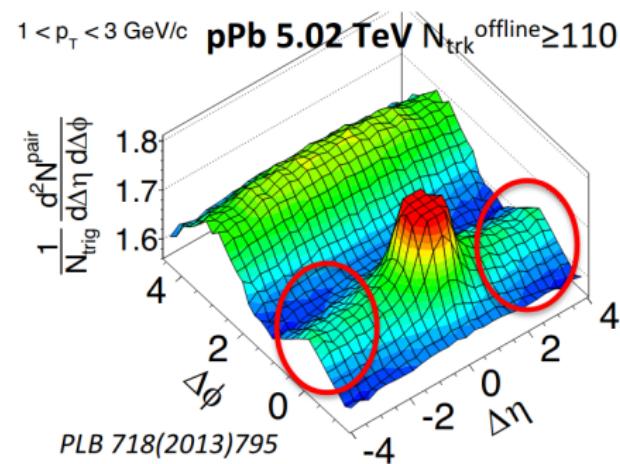
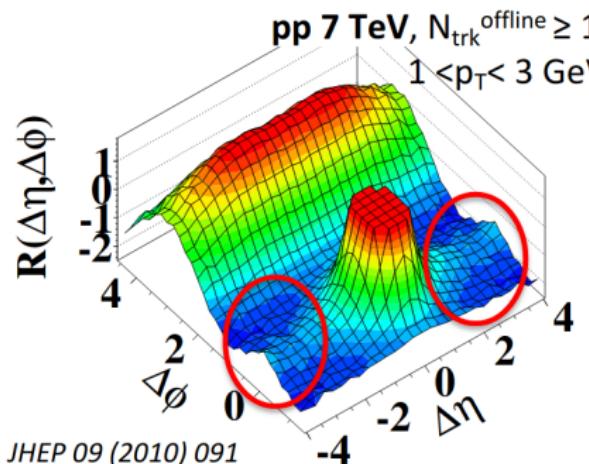


<https://cds.cern.ch/record/2025215>

D. Gangadharan, QM2022

# Unexpected signs of collectivity seen in pp and pPb at the LHC

- Too small and simple to develop QGP-like collective behaviour?  
→ Minimal size and conditions for collectivity to emerge
- Initial (CGC) effective model or Final (QGP) state effect?
  - how small the interaction region can be until description of soft QCD breaks down?

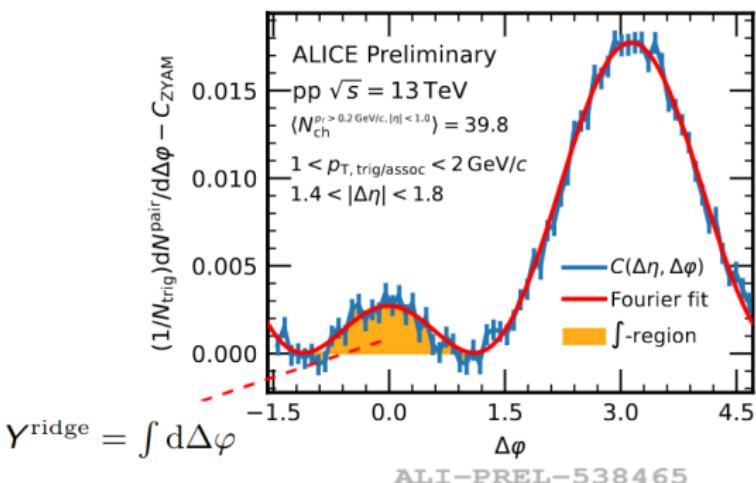
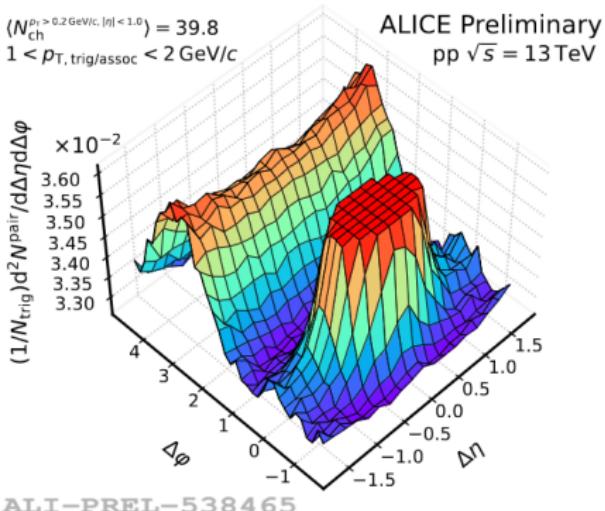


DOI: 10.1016/j.physletb.2011.01.024

# Collectivity signatures at low proton-proton multiplicity

- Significant near-side ridge at very low pp multiplicity → Identify emergence mechanisms

- Down to a range from  $\sim 8$  to 20
- Compatible with CMS results

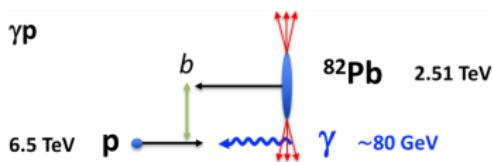
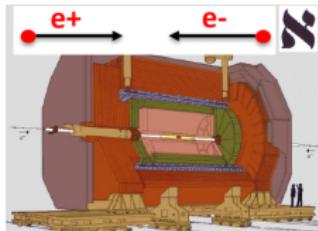


# Recent collectivity probes with small systems

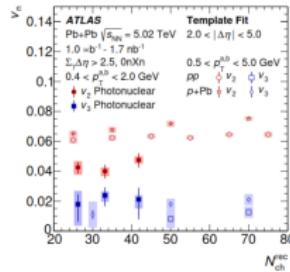
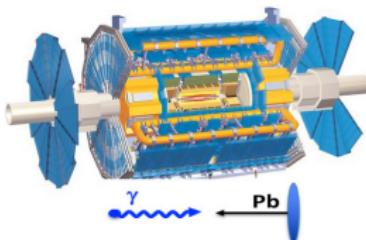
- ▶  $e^+e^- \rightarrow$  ALEPH (91 GeV, 208 GeV) and Belle (10.52 GeV)
- ▶  $ep \rightarrow$  ZEUS and H1 at HERA (318 GeV)
- ▶  $\gamma p$

→ ZEUS (318 GeV [ep]) ([JHEP 12 \(2021\) 102](#))

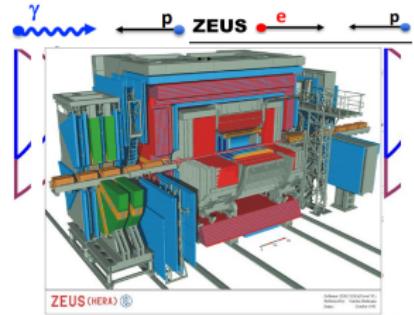
→ CMS (8.16 TeV [pPb]) ([PLB 844 \(2023\) 137905](#))



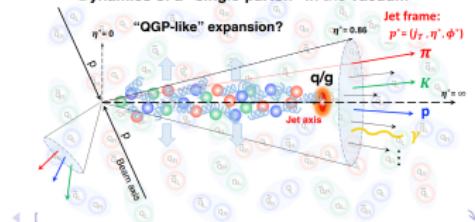
- ▶  $\gamma Pb \rightarrow$  ATLAS (5.02 TeV [PbPb])



- ▶ Inside jets → CMS (13 TeV [pp]) ([HIN-21-013-PAS](#))



Dynamics of a "single-parton" in the vacuum



# No sign of significative ridge in $e^+e^-$ , $ep$ and $\gamma$ -Pb

- ▶ No significant near side ridge so far

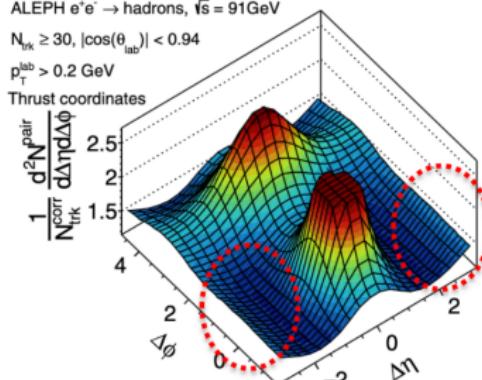
- Very low multiplicities for ee, ep and  $\gamma$ p systems
- Higher multiplicity in  $\gamma$ Pb allowing nonflow subtraction

ALEPH  $e^+e^- \rightarrow$  hadrons,  $\sqrt{s} = 91\text{ GeV}$

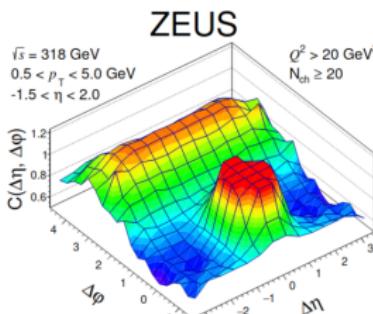
$N_{\text{trk}} \geq 30$ ,  $|\cos(\theta_{\text{lab}})| < 0.94$

$p_T^{\text{lab}} > 0.2\text{ GeV}$

Thrust coordinates



PRL 123 (2019) 212002



ZEUS

$\sqrt{s} = 318\text{ GeV}$

$0.5 < p_T < 5.0\text{ GeV}$

$-1.5 < \eta < 2.0$

$Q^2 > 20\text{ GeV}^2$

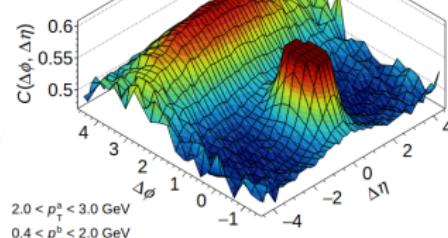
$N_{\text{ch}} \geq 20$

ATLAS

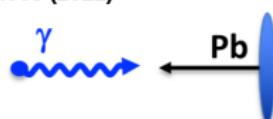
Pb+Pb,  $1.0\text{ }\mu\text{b}^{-1} \cdot 1.7\text{ nb}^{-1}$

$\sqrt{s_{\text{NN}}} = 5.02\text{ TeV}$

$\sum_i \Delta\eta > 2.5$



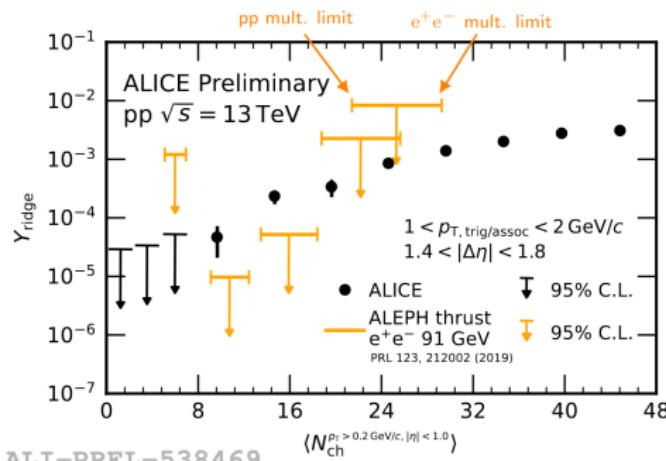
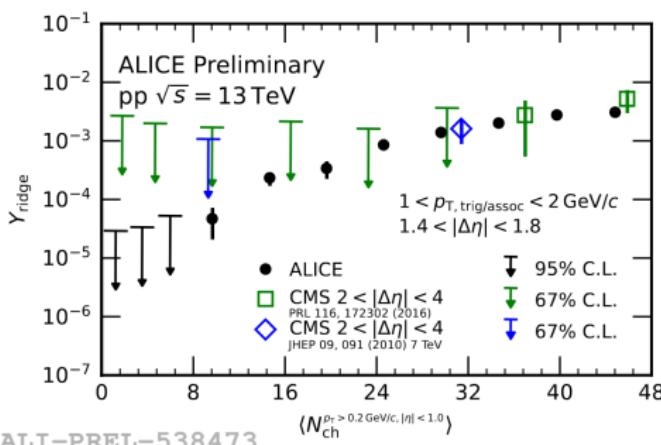
PRC 104, 014903 (2021)



DOI: 10.1016/j.physletb.2011.01.024

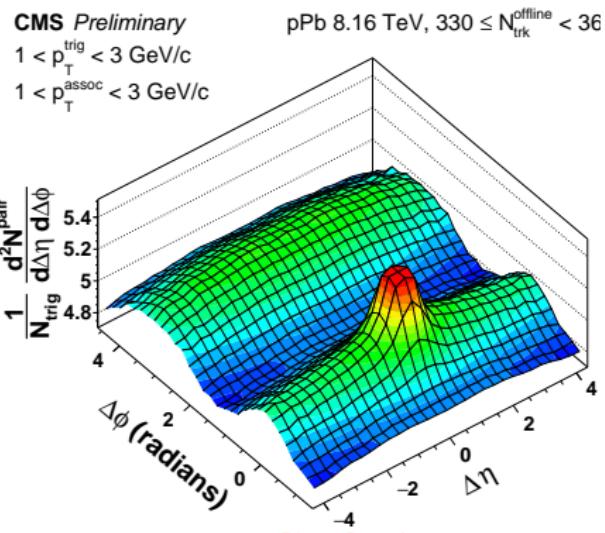
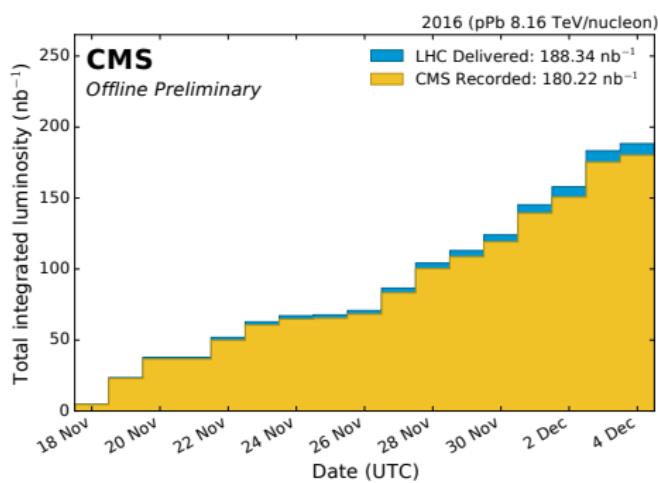
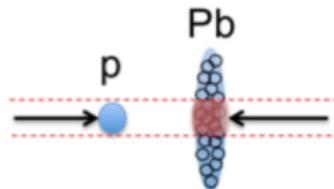
# Studying ridge significance $e^+e^-$ vs proton-proton

- ▶ First probes investigating significance of near side ridge at low multiplicity  
→ CMS results consistent with ALICE recent observations
- ▶ First direct comparisons between ee and pp systems  
→ ee upper limits point to lower significance if any



# Confirming collectivity features with proton-lead (pPb) at 8 TeV

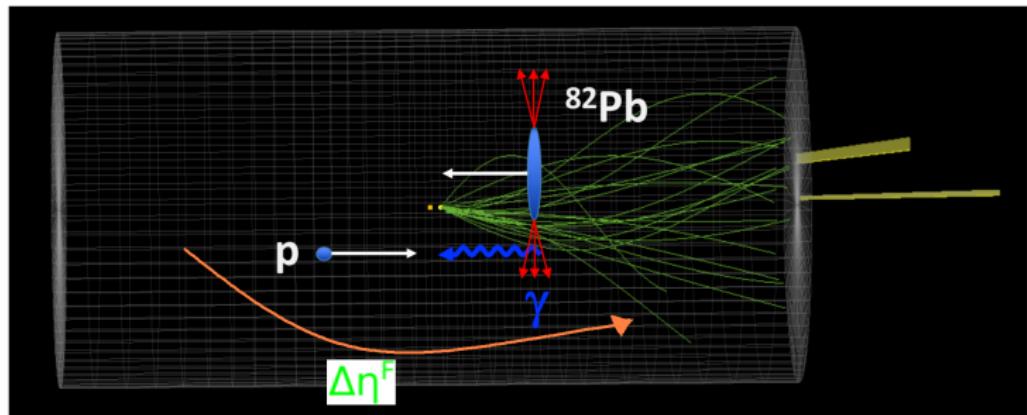
- Most energetic pPb collisions so far at the LHC
- Consistent results at 5.02 and 8.16 TeV



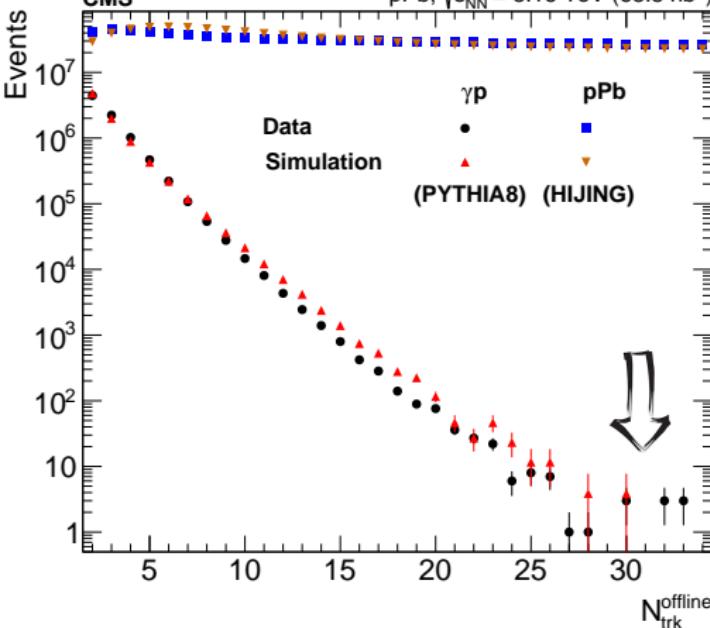
# $\gamma p$ interactions within ultraperipheral pPb collisions at 8.16 TeV

## Event selection

- HF calorimeter measures activity on the proton side while no neutrons are detected by ZDC calorimeter consistent with intact Pb nucleus source of the  $\gamma$  flux.
- Particle flow energies and track objects used to isolate events with large forward rapidity gap ( $\Delta\eta^F$ )  $\rightarrow 5.0 < \Delta\eta^F < 7.5$



# Limited multiplicity of charged particles in $\gamma p$ interactions



- For first time using PYTHIA8 to model  $\gamma$  flux from Pb nuclei
  - $\gamma p$  data consistent in  $N_{\text{trk}}^{\text{offline}}$  with prediction
- Mean  $p_T$  and  $N_{\text{trk}}^{\text{offline}}$  are smaller for  $\gamma p$  sample than for  $p\text{Pb}$  (same multiplicity range).
  - $p\text{Pb}$  events simulated with HIJING

Sample	$2 \leq N_{\text{trk}}^{\text{off}} < 35$
$\gamma p$ -enhanced	2.9
$\gamma p$ -simulated	2.9
MB	16.6
MB-simulated	15.7

## Two-dimensional (2D) angular correlation distribution

$$\frac{1}{N_{\text{trig}}} \frac{d^2 N^{\text{pair}}}{d\Delta\eta d\Delta\phi} = B(0, 0) \frac{S(\Delta\eta, \Delta\phi)}{B(\Delta\eta, \Delta\phi)}$$

- $\frac{B(0,0)}{B(\Delta\eta, \Delta\phi)}$  is the pair acceptance correction to the signal distribution  
→ Correction for tracking inefficiency is applied to each charged particle

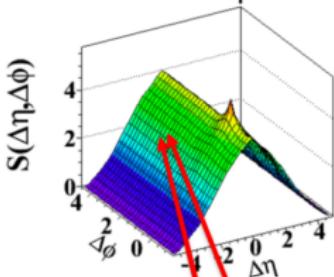
Signal pair distribution:

$$S(\Delta\eta, \Delta\phi) = \frac{1}{N_{\text{trig}}} \frac{d^2 N^{\text{same}}}{d\Delta\eta d\Delta\phi}$$

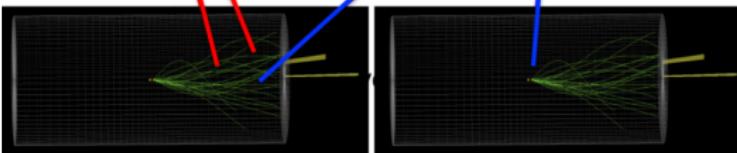
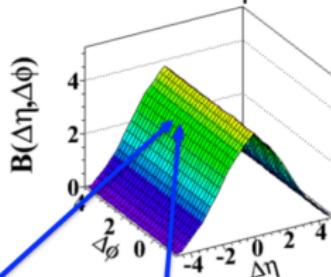
Background pair distribution:

$$B(\Delta\eta, \Delta\phi) = \frac{1}{N_{\text{trig}}} \frac{d^2 N^{\text{mix}}}{d\Delta\eta d\Delta\phi}$$

same event pairs

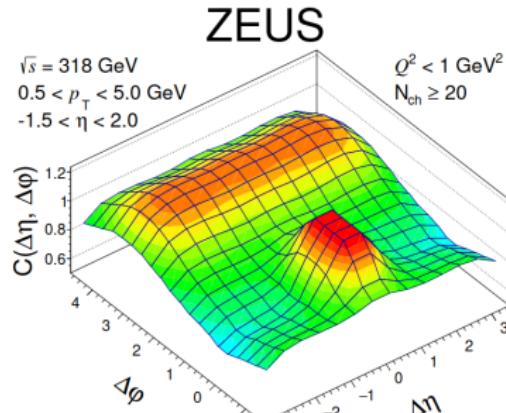
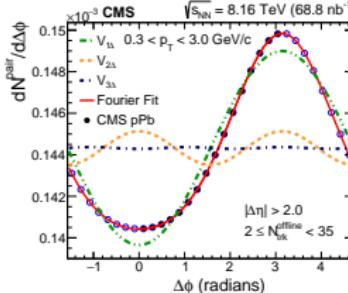
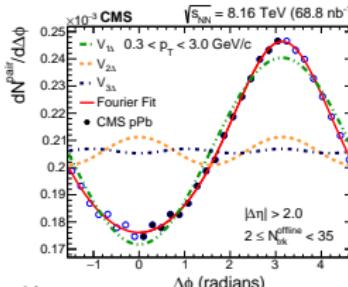
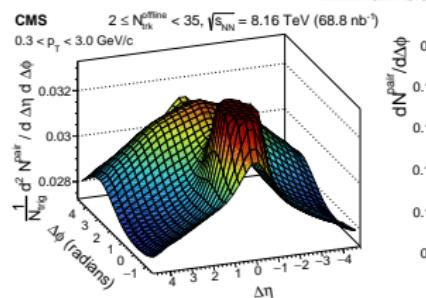
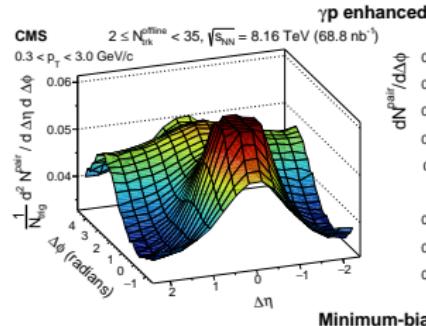


mixed event pairs



# One-dimensional (1D) projection and decomposition

- No ridge so far for  $\gamma p$  system in CMS and ZEUS probes
- Fitted over the  $\Delta\phi$  range  $[0, \pi]$  to a Fourier decomposition series  $\propto 1 + \sum_n 2V_n \Delta \cos(n\Delta\phi)$



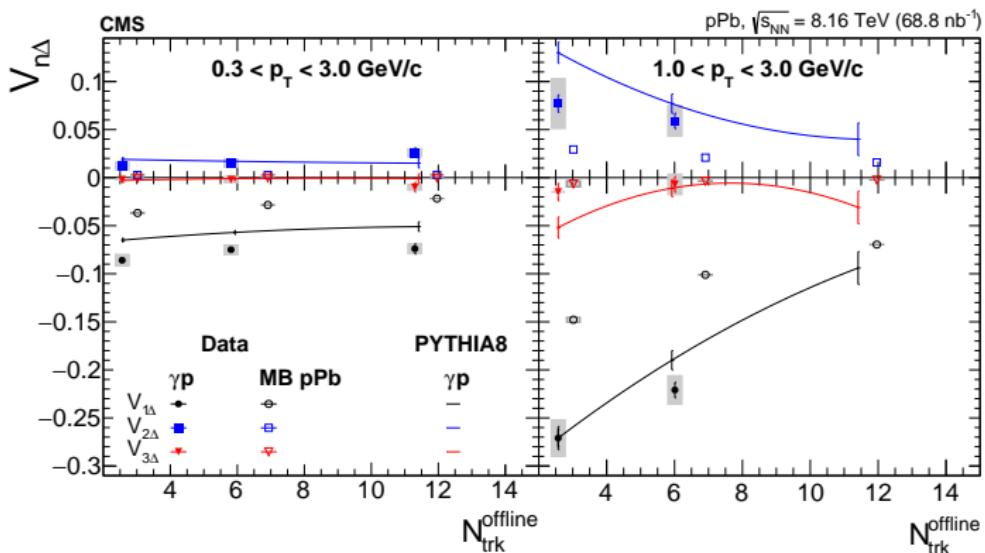
(a) Photoproduction.

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# $V_{n\Delta}$ measurements with $|\eta| > 2.0$

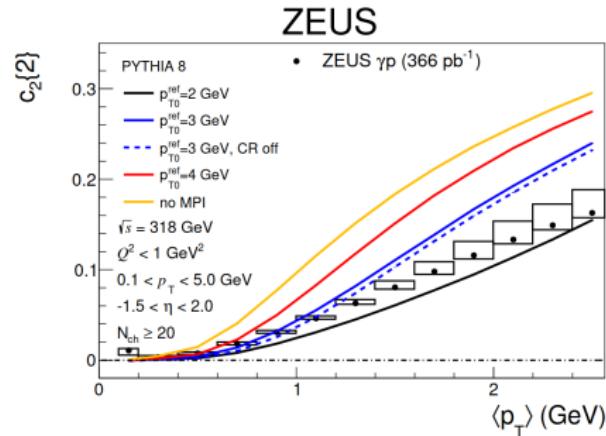
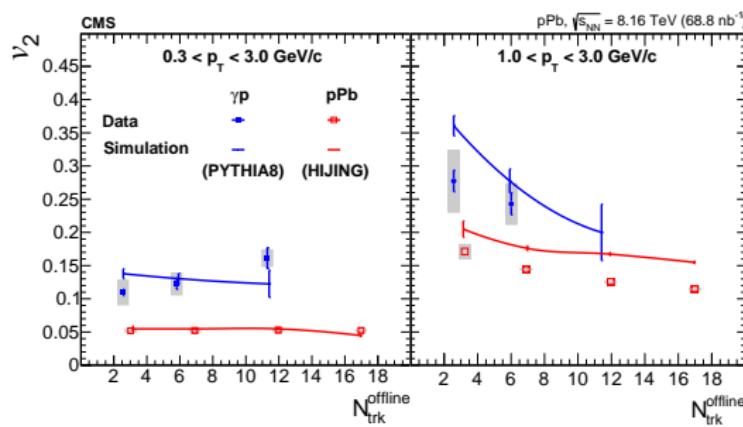
- $\gamma p$  data measurements are consistent with model predictions that have no collective effects

$p_T$ range	$2 \leq N_{\text{trk}}^{\text{offline}} < 5$	$5 \leq N_{\text{trk}}^{\text{offline}} < 10$	$10 \leq N_{\text{trk}}^{\text{offline}} < 35$
$0.3 < p_T < 3.0 \text{ GeV}/c$	$V_{1\Delta}$ $-0.086 \pm 0.006$	$-0.075 \pm 0.005$	$-0.074 \pm 0.007$
	$V_{2\Delta}$ $0.012 \pm 0.004$	$0.015 \pm 0.004$	$0.026 \pm 0.006$
	$V_{3\Delta}$ $-0.002 \pm 0.001$	$-0.002 \pm 0.004$	$-0.010 \pm 0.006$
$1.0 < p_T < 3.0 \text{ GeV}/c$	$2 \leq N_{\text{trk}}^{\text{offline}} < 5$ $V_{1\Delta}$ $-0.271 \pm 0.021$	$5 \leq N_{\text{trk}}^{\text{offline}} < 35$ $-0.221 \pm 0.017$	
	$V_{2\Delta}$ $0.077 \pm 0.027$	$0.059 \pm 0.017$	
	$V_{3\Delta}$ $-0.015 \pm 0.009$	$-0.007 \pm 0.013$	



## $v_2$ elliptic anisotropy measurements with $|\eta| > 2.0$

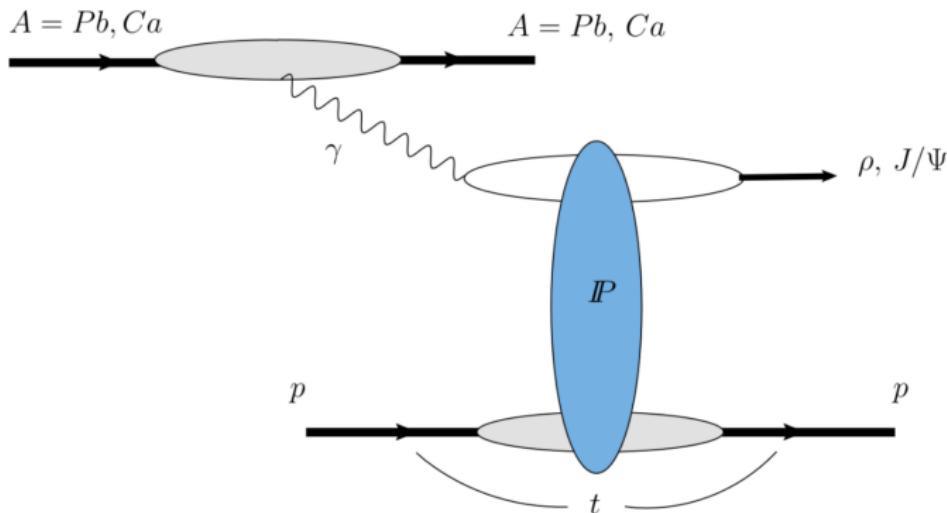
- At a given  $p_T$  and track multiplicity,  $v_2$  is larger for  $\gamma p$ -enhanced events than for MB pPb interactions
  - The magnitudes of both  $V_{1\Delta}$  and  $V_{2\Delta}$  ( $v_2$ ) increase with  $p_T$   
→ Similar response to  $p_T$  increase seen by ZEUS over  $c_2\{2\}$
- Predictions from the PYTHIA8 model describe well the  $\gamma p$  data within uncertainties



## Exclusive photoproduction

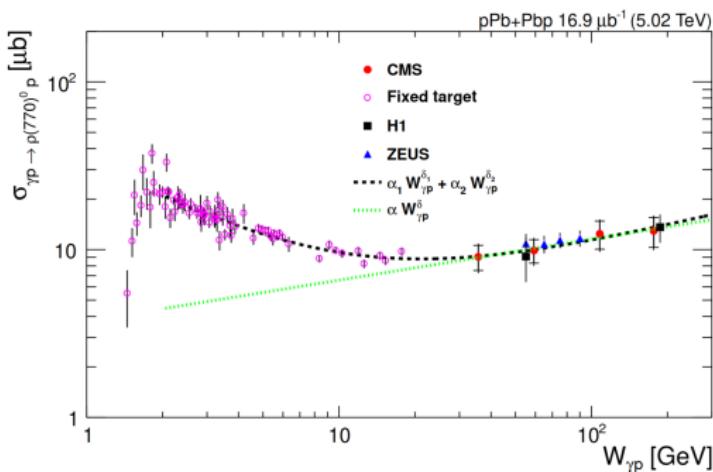
# Exclusive vector meson photoproduction in p A collisions

- $J/\psi$  and  $\rho$  mesons much lighter than the top quark
- Exclusive vector meson photoproduction cross section
  - Photon flux associated to A ion Nucleus
  - Driven by the gluon content of the target (proton or nucleus)



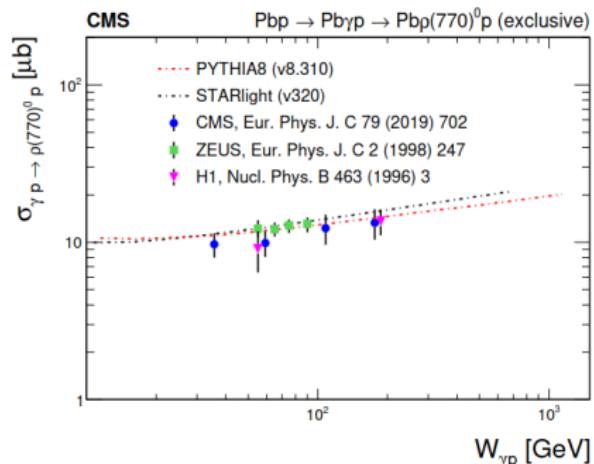
# Exclusive $\rho^0(770)$ photoproduction

- Extending to pPb collisions at 8.16 TeV; master student
- Evolution of  $\sigma_{\gamma p}$  as a function of  $W_{\gamma p}$  invariant mass
  - Extend the range for  $W_{\gamma p}$  limit and precision)



Eur. Phys. J. C 79 (2019) 702

CMS-HIN-23-011, CERN-EP-2024-057, <https://arxiv.org/abs/2405.10785>



## Summary and Outlook

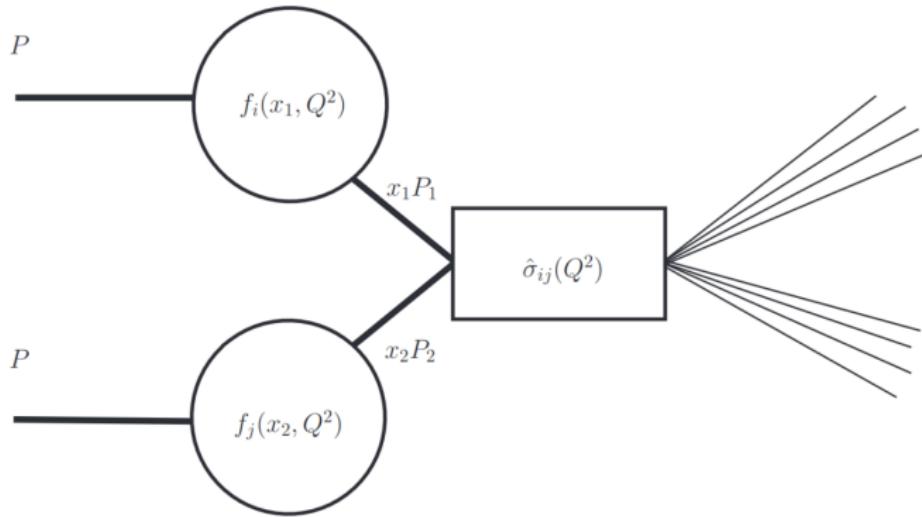
- ▶ Several phenomena in photo-induced and diffractive processes yet to be studied
- ▶ Predictions from the PYTHIA8 model describe well the  $\gamma p$  system within uncertainties
  - This suggests the data are dominated by noncollective effects
  - Within the present experimental sensitivity, no significant collectivity signal is observed
  - Limited multiplicity and response to  $p_T$  consistent with what has been seen by ZEUS in  $\gamma p$  and  $e p$  studies
- ▶ For small systems there is need to identify mechanisms responsible for observed trends and values over distinct angular coefficients
  - Confirmation over  $p p$  collective features and significant ridge down to  $N_{\text{trk}} \sim 10$
  - Significant  $v_2$  and observed by ATLAS after non flow subtraction

Thanks

# Backup

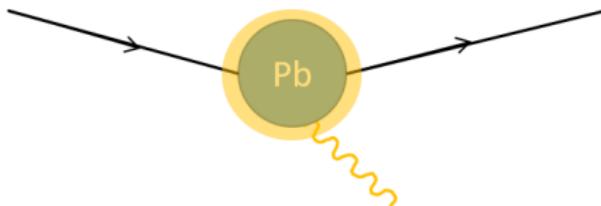
# Factorization method for cross-section calculation

- In 'hadronic' interactions **factorization method** is used to obtain total  $\sigma$  for a process
  - Considering and adding over all possibilities of  $x$  fraction of total hadron (proton) energy



$$\sigma^{t\bar{t}}(\sqrt{s}, m_t) = \sum_{i,j=q,\bar{q},g} \int dx_i dx_j f_i(x_i, \mu^2) \bar{f}_j(x_j, \mu^2) \times \hat{\sigma}_{ij \rightarrow t\bar{t}}(\rho, m_t^2, x_i, x_j, \alpha_s(\mu^2), \mu^2)$$

# Photon flux, $dN/d\omega$ from nuclei



Analytic approximation for equivalent photon flux from nuclei

$$\frac{dN}{d\omega} \Big|_A = \frac{2Z^2 \alpha_{em}}{\pi \omega} \left[ \bar{\eta} K_0(\bar{\eta}) K_1(\bar{\eta}) - \frac{\bar{\eta}^2}{2} \mathcal{U}(\bar{\eta}) \right]$$

where  $K_0(\eta)$  and  $K_1(\eta)$  are the modified Bessel functions

$$\bar{\eta} = \omega(R_{h_1} + R_{h_2})/\gamma_L \quad \text{and} \quad \mathcal{U}(\bar{\eta}) = K_1^2(\bar{\eta}) - K_0^2(\bar{\eta})$$

- $\gamma_L$  is the lorentz boost of a single beam
  - $R_p = 0.6\text{fm}$  and  $R_A = 1.2A^{1/3}$
  - Absortive corrections can be disregarded at  $b > R_{h1} + R_{h2}$
  - At  $b < R_{h1} + R_{h2}$  the photon flux is zero

# Photon flux, $dN/d\omega$ from proton



Analytic approximation for equivalent photon flux from proton

$$\frac{dN}{d\omega} \Big|_p = \frac{\alpha_{\text{em}}}{2\pi\omega} \left[ 1 + \left( 1 - \frac{2\omega}{\sqrt{s}} \right)^2 \right] \times \left( \ln \Omega - \frac{11}{6} + \frac{3}{\Omega} - \frac{3}{2\Omega^2} + \frac{1}{3\Omega^3} \right)$$

with the notation  $\Omega = 1 + [(0.71 \text{ GeV}^2)/Q_{\min}^2]$

$$Q_{\min}^2 = \omega^2 / [\gamma_L^2 (1 - 2\omega/\sqrt{s})] \approx (\omega/\gamma_L)^2$$

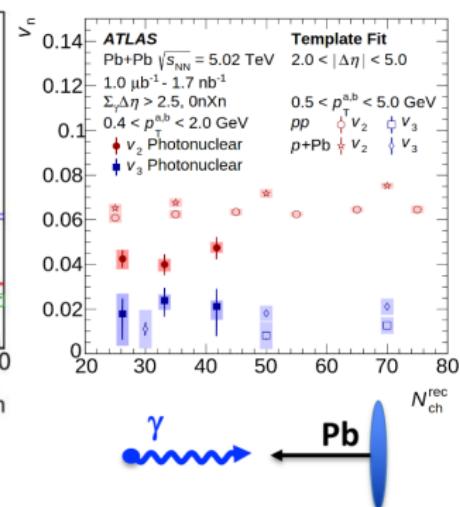
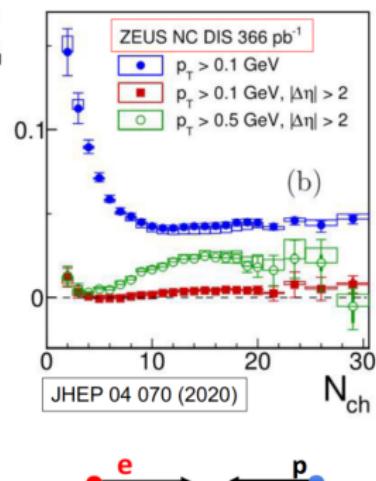
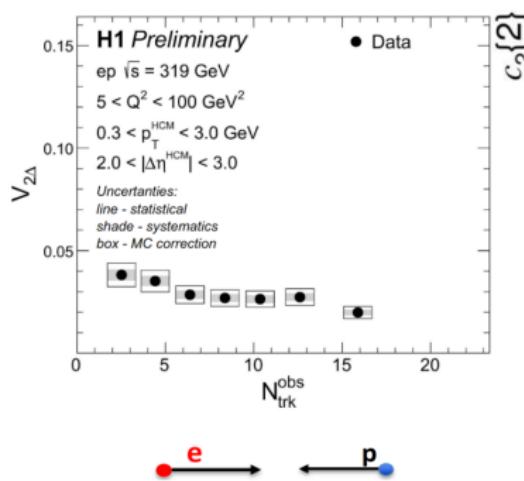
- $\gamma_L$  is the lorentz boost of a single beam
  - Derived from Weizsäcker – Williams method
  - Using elastic proton form factor

PHYSICAL REVIEW D 88, 054025 (2013)

# Studying azimuthal correlations $e p$ vs $\gamma p$

- Significant correlation coefficients as a function of multiplicity

- Very low multiplicities for  $e e$ ,  $e p$  and  $\gamma p$  systems
- Higher multiplicity in  $\gamma Pb$  allowing nonflow subtraction



ATLAS: PRC 104, 014903 (2021)

H1: QM 2022, Chuan Sun

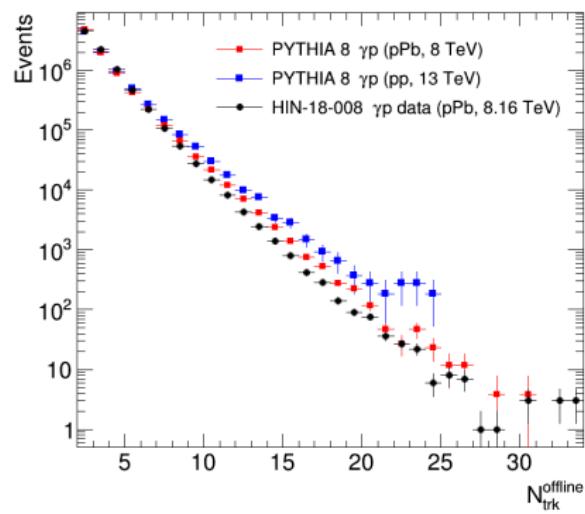
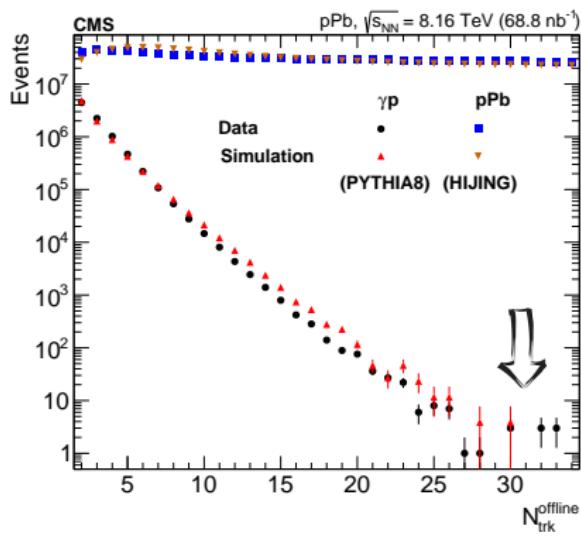
# $\gamma p$ from pp and pPb; is track multiplicity consistent?

- ▶ EPA flux from proton instead of Pb nuclei
- ▶ Multiplicity distribution

$\langle N_{\text{trk}} \rangle$  PYTHIA8 pp sim) = 3.026 (1.5M events)

$\langle N_{\text{trk}} \rangle$  (PYTHIA8 pPb sim) = 2.89 (37M Events)

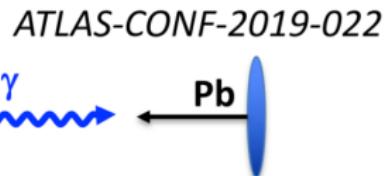
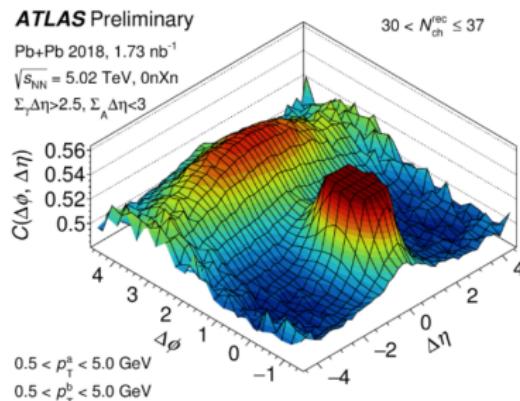
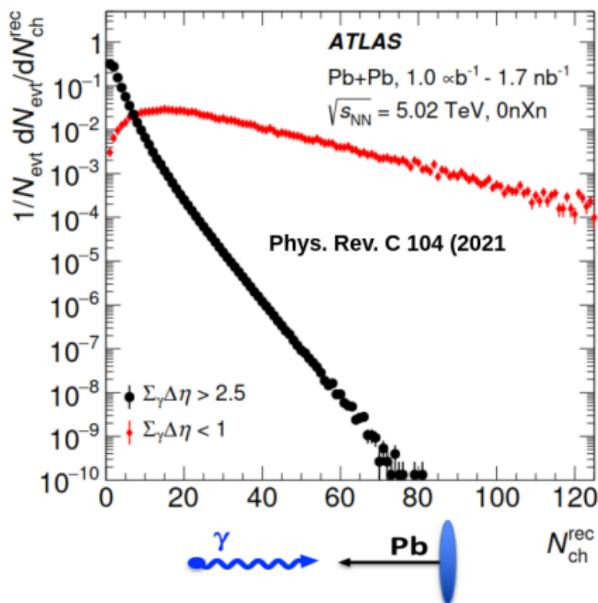
$\langle N_{\text{trk}} \rangle$  (pPb CMS Data) = 2.92



# Recent probes in $\gamma$ Pb; ATLAS at CERN within 5.02 TeV PbPb

## ► Significant non-zero $v_2$

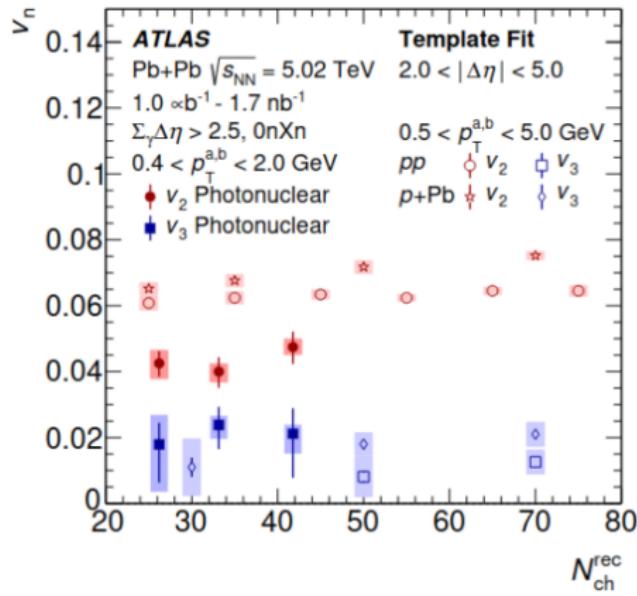
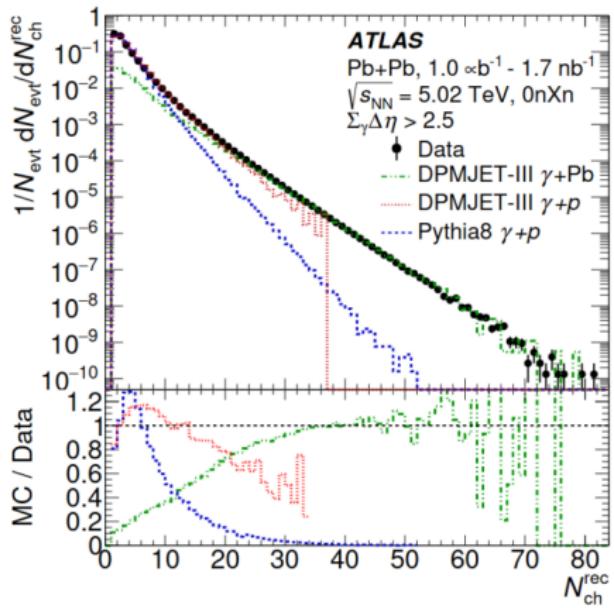
- Subtracting non-flow contribution using template fitting method



# Recent probes in $\gamma$ Pb; ATLAS at CERN within 5.02 TeV PbPb

## ► Significant non-zero $v_2$

- Subtracting non-flow contribution using template fitting method

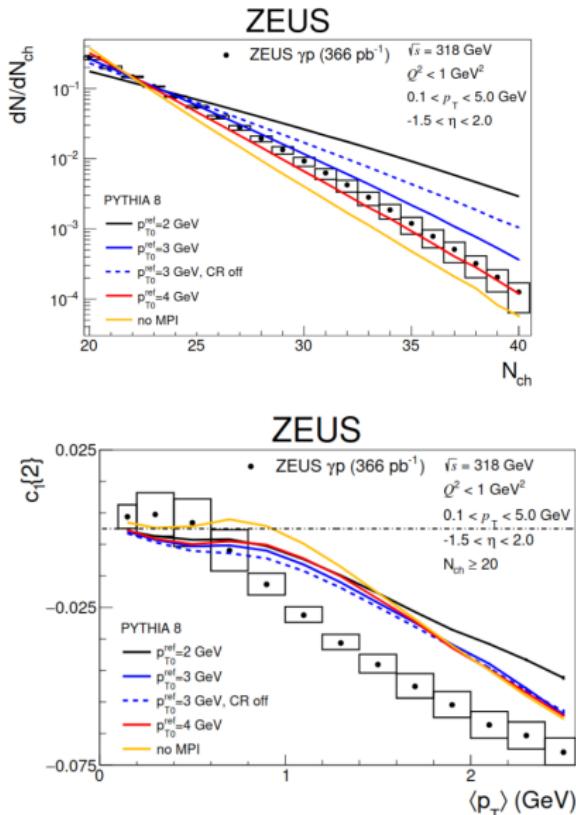


## Photon flux can be modelled with gamma-UPC

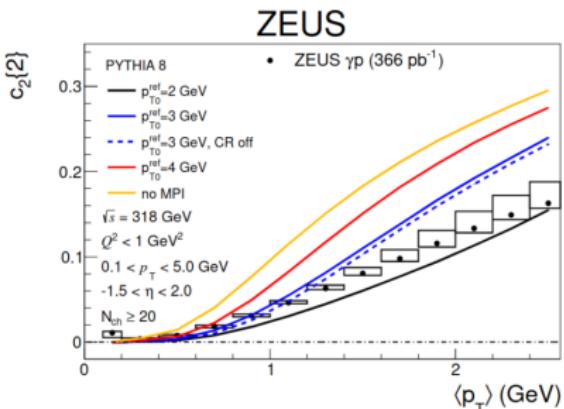
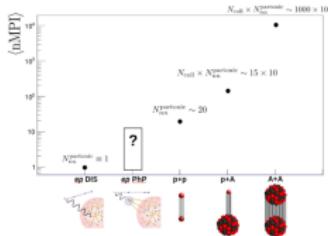
- ▶ **gamma-UPC**: '*Automated generation of exclusive photon-photon processes in ultraperipheral proton and nuclear collisions with varying form factors*'  
H.-S. Shao and D. d'Enterria, JHEP 2209 (2022) 248 [arXiv:2207.03012\[hep-ph\]](https://arxiv.org/abs/2207.03012)
- ▶ Different configurations of nuclei and pp, pA and AA collisions can be set:

Nucleus	$A$	$Z$	$R_A$ [fm]	$a_A$ [fm]	$w_A$
O	16	8	2.608	0.513	-0.051
Ar	40	18	3.766	0.586	-0.161
Ca	40	20	3.766	0.586	-0.161
Kr	78	36	4.5	0.5	0
Xe	129	54	5.36	0.59	0
Pb	208	82	6.624	0.549	0

# Photon-proton ( $\gamma p$ ); ZEUS within ep 318 GeV collisions



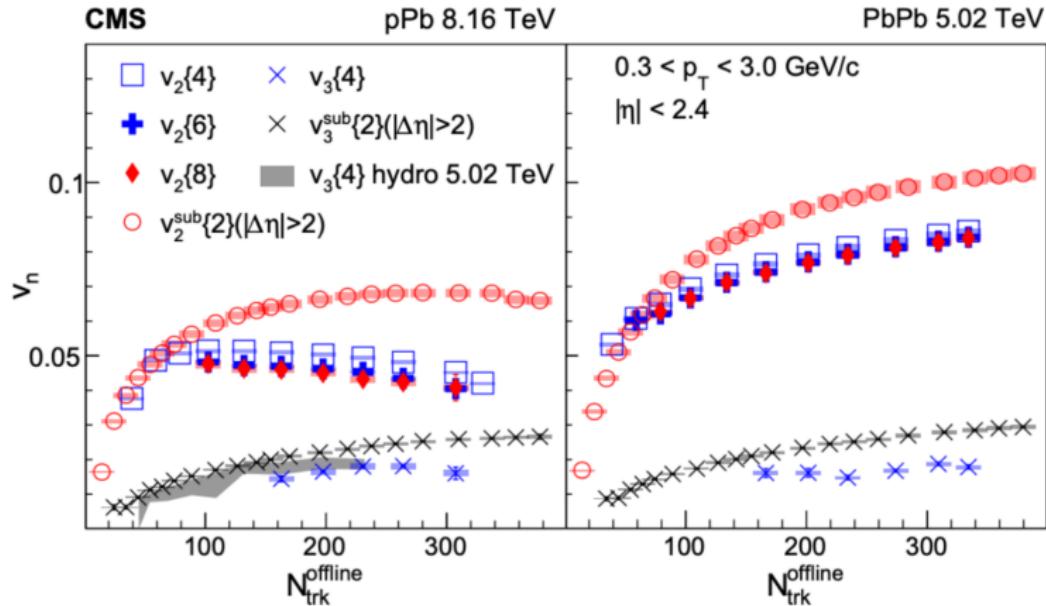
- **No Multiparton Interactions (MPI) scenario disfavored**
  - 4-particle cumulant positive in ep photoproduction and negative in non-central heavy-ion collisions



# Small vs large collision systems

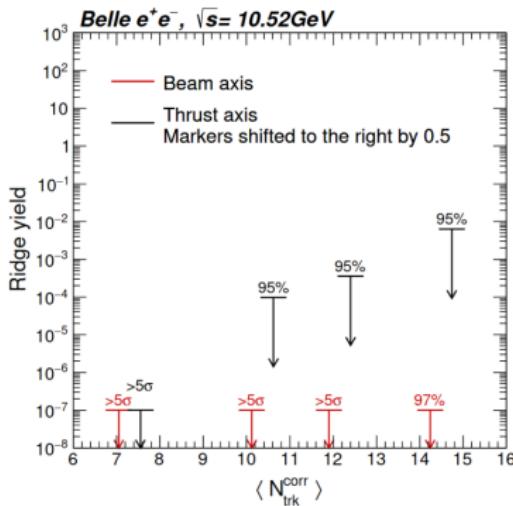
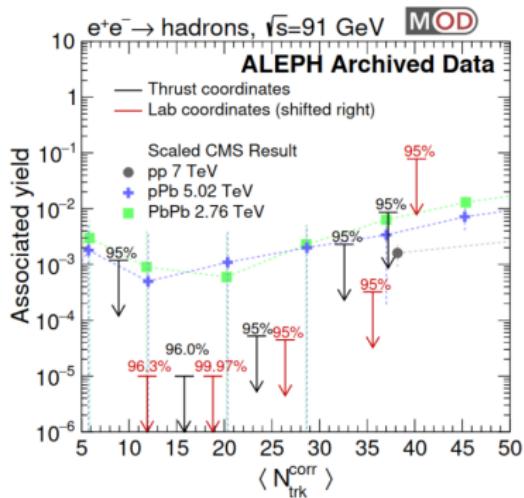
## ► Origin of the ridge in small systems?

- Natural question is whether such signatures persist in even smaller collision systems
- Final state effect?, Pure fluctuations? CGC?



# Recent probes in $e^+e^-$ ; ALEPH (91 GeV) and Belle (10.52 GeV)

- ▶ Confidence limits on associated yield as a function of  $N_{\text{trk}}$  have been set



Phys. Rev. Lett. 123, 212002 (2019)

arXiv:2201.01694 [hep-ex]