

# Tuning Ramond-Ramond flux for AdS3 strings

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## Maximally supersymmetric AdS3 backgrounds

These backgrounds have 16 susys (half of  $AdS_5 \times S^5$ ):

$$AdS_3 \times S^3 \times T^4, \quad AdS_3 \times S^3 \times K3, \quad AdS_3 \times S^3 \times S^3 \times S^1$$

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In this talk I will focus on the **planar spectrum** of

$$AdS_3 \times S^3 \times T^4$$

which is actually a **family of backgrounds**.

## Isometries and spectrum

The  $AdS_3$  isometries are  $\mathfrak{so}(2, 2) \cong \mathfrak{su}(1, 1)^{\oplus 2} \cong \mathfrak{sl}(2, \mathbb{R})^{\oplus 2}$  with generators

$$\mathbf{L}_m, \quad m = 0, \pm 1, \quad \tilde{\mathbf{L}}_{\dot{m}}, \quad \dot{m} = 0, \pm 1.$$

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It will also be convenient to consider the **lightcone energy**

$$\mathbf{H}_{\text{tot}} = \mathbf{E}_{\text{tot}} - \mathbf{J}_{\text{tot}}, \quad \mathbf{J}_{\text{tot}} = \mathbf{J}^{+-} + \tilde{\mathbf{J}}^{+-}$$

## “Simplest” AdS3 backgrounds: pure NSNS backgrounds

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In this case, there is only the metric  $G^{\mu\nu}$  and Kalb-Ramond field  $B^{\mu\nu}$  so that

$$H = dB = \text{vol}(AdS_3) + \text{vol}(S^3)$$

The only (interesting) parameter is  $k = 1, 2, 3, 4, \dots$ , which becomes the string tension.

In units where  $R_{AdS_3} = R_{S^3} = 1$ , the tension is

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**The classical string action is that of a WZW model.** [Giveon, Kutasov, Seiberg '98] [...]

## Pure NSNS backgrounds as WZW models ( $k > 1$ )

$AdS_3 \times S^3 \times T^4$  can be realised as a WZW model in the RNS formalism, based on

$\left( \mathfrak{sl}(2, \mathbb{R})_{k+2} \oplus \mathfrak{su}(2)_{k-2} \right)^{\oplus 2}$  Kač-Moody representations, plus free fermions

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The short-string spectrum is generated by the modes of the Kač-Moody currents (and of the free fermions and free  $T^4$  bosons) on a **reference state**  $|\ell_0, j_0\rangle$ ,  $\ell_0 \in \mathbb{R}$ ,  $j_0 \in \mathbb{N}$ . Schematically

$$|\Psi_{\{n_j, \tilde{n}_j\}}\rangle = \left( \alpha_{-n_1} \cdots \alpha_{-n_r} |\ell_0, j_0\rangle \right) \otimes \left( \tilde{\alpha}_{-\tilde{n}_1} \cdots \tilde{\alpha}_{-\tilde{n}_s} |\tilde{\ell}_0, j_0\rangle \right)$$

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The Virasoro constraint gives a quadratic equation for  $\ell_0 = \tilde{\ell}_0$ , so that [Maldacena, Ooguri '00] [...]

$$\mathbf{E}_{\text{tot}} |\Psi_{\{n_j, \tilde{n}_j\}}\rangle = \sqrt{\left(j_0 + \frac{1}{2}\right)^2 + 2k(n_1 + \cdots + n_r + \tilde{n}_1 + \cdots + \tilde{n}_s)} |\Psi_{\{n_j, \tilde{n}_j\}}\rangle$$

## Spectrum for pure-NSNS backgrounds

For a state of the form

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This is **highly degenerate** as it does not depend on the individual  $n_j$ .

It is also much simpler than what happens for RR backgrounds like  $AdS_5$  and  $AdS_4$ .

(There is also a “long string” continuum spectrum.)

## Mixed-flux backgrounds

It is possible to **continuously turn on a RR flux for fixed  $k$** , e.g. by switching on an axion in the F1-NS5 system. [O-Sax, Stefanski '18]



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This gives a IIB background with the same metric but fluxes

$$H = dB = q (\text{vol}(AdS_3) + \text{vol}(S^3)) , \quad F_3 = \sqrt{1 - q^2} (\text{vol}(AdS_3) + \text{vol}(S^3)) .$$

where  $0 < q \leq 1$  is a new parameter. The tension is now larger

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It is convenient to use **two parameters**,  $h \geq 0$  and  $k \in \mathbb{N}$

$$h = \sqrt{1 - q^2} T, \quad \frac{k}{2\pi} = qT, \quad T = \sqrt{h^2 + \frac{k^2}{4\pi^2}} .$$

## Mixed and pure-RR background

We expect that turning on  $h > 0$  will lift the degeneracies of the spectrum, and give rather intricate expression for the energies (like for  $AdS_5 \times S^5$ ).

It is very difficult to compute the spectrum in the RNS formalism. [Cho, Collier, Yin '20]

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There is also a family of **pure-RR backgrounds**, for which

$$k = 0 \quad \Rightarrow \quad B_{\mu\nu} = 0, \quad h > 0$$

They arise from the **D1-D5 system**, and are even harder to study in the RNS formalism.

## Integrability: an alternative way to quantise the string

The classical Green-Schwarz action for  $AdS_3 \times S^3 \times T^4$  is **integrable for any  $h, k$** .

[Cagnazzo, Zarembo '12]

This provides a scheme to quantise the model in lightcone gauge, like for  $AdS_5 \times S^5$ .

[Arutyunov, Frolov, Zamaklar '06] [...]

Using this approach, one may compute the spectrum **for any  $k, h$** , as we shall see.

## Lightcone gauge for the GS string

Schematically we take  $\phi \in S^3$  and  $t \in AdS_3$  to make lighthcone coordinates  $X^\pm$  and set:

$$X^+ = \tau \quad \& \quad \mathcal{P}_- = 1 \quad \text{where} \quad \mathcal{P}_\mu = \frac{\delta \mathcal{S}_{GS}}{\delta(\partial_\tau X^\mu)}$$

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The **worldsheet Hamiltonian**  $\mathbf{H}_{w.s.}$  is precisely  $\mathbf{H}_{tot}$

$$\mathbf{H}_{w.s.} = - \int_0^L d\sigma \mathcal{P}_+ = \mathbf{E}_{tot} - \mathbf{J}_{tot} = \mathbf{H}_{tot}$$

For convenience we split  $\mathbf{H}_{tot} = \mathbf{H} + \tilde{\mathbf{H}} = (\mathbf{L}_0 - \mathbf{J}^{+-}) + (\tilde{\mathbf{L}}_0 - \tilde{\mathbf{J}}^{+-})$ .

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The **worldsheet size**  $L$  is also fixed

$$L = \int_0^L d\sigma \mathcal{P}_- = \mathbf{J}^{+-} + \tilde{\mathbf{J}}^{+-} = \mathbf{J}_{tot}$$



# Symmetries

The full symmetry algebra is  $\mathfrak{psu}(1, 1|2)^{\oplus 2}$ , with BPS bound

$$\mathbf{H} \equiv \mathbf{L}_0 - \mathbf{J}^{+-} \geq 0, \quad \tilde{\mathbf{H}} \equiv \tilde{\mathbf{L}}_0 - \tilde{\mathbf{J}}^{+-} \geq 0 \quad \Rightarrow \quad \mathbf{H}_{tot} \geq 0$$

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The  $AdS_3 \times S^3 \times T^4$  Killing spinors fit in  $\mathfrak{psu}(1, 1|2)^{\oplus 2}$  as

$$\mathbf{G}_m^{\alpha A}, \quad m = \pm \frac{1}{2}, \quad \alpha = \pm, \quad \tilde{\mathbf{G}}_{\dot{m}}^{\dot{\alpha} A}, \quad \dot{m} = \pm \frac{1}{2}, \quad \dot{\alpha} = \pm,$$

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**In lightcone gauge, only half of the supercharges survive.**

## Symmetries in lightcone gauge

There are four “left” and four “right” supercharges

$$\mathbf{G}_{+\frac{1}{2}}^{-A}, \mathbf{G}_{-\frac{1}{2}}^{+A}, \quad A = 1, 2,$$

$$\tilde{\mathbf{G}}_{+\frac{1}{2}}^{-A}, \tilde{\mathbf{G}}_{-\frac{1}{2}}^{+A}, \quad A = 1, 2$$

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Their algebra is quite simple when  $\mathbf{J}_{tot} \sim L \rightarrow \infty$

$$\left\{ \mathbf{G}_{-\frac{1}{2}}^{+A}, \mathbf{G}_{+\frac{1}{2}}^{-B} \right\} = \varepsilon^{AB} \mathbf{H}, \quad \left\{ \tilde{\mathbf{G}}_{-\frac{1}{2}}^{\dot{+}A}, \tilde{\mathbf{G}}_{+\frac{1}{2}}^{\dot{-}B} \right\} = \varepsilon^{AB} \tilde{\mathbf{H}},$$

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[Borsato, O-Sax, AS '12] [Lloyd, O-Sax, AS, Stefanski '14]

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The charges  $\mathbf{C} \equiv \mathbf{C}_{-\frac{1}{2}, -\frac{1}{2}}^{++}$  and  $\mathbf{C}^{\dot{-}} \equiv \mathbf{C}_{+\frac{1}{2}, +\frac{1}{2}}^{-\dot{-}}$  are central extensions due to the gauge fixing.

## On the central extensions

If we consider an asymptotic state on the worldsheet (at  $L \rightarrow \infty$ )

$$|p_1, \dots, p_n\rangle_L \equiv A^\dagger(p_1) \cdots A^\dagger(p_n) |0\rangle_L$$

we find

$$\mathbf{C} |p_1, \dots, p_n\rangle_L = \frac{i\hbar}{2} \left( e^{i(p_1 + \dots + p_n)} - 1 \right) |p_1, \dots, p_n\rangle_L$$

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Fundamental excitations of the model (the modes of the transverse  $AdS_3 \times S^3 \times T^4$ ) obey

$$\mathbf{H} \tilde{\mathbf{H}} = \mathbf{C}^\dagger \mathbf{C}$$

This allows to derive an exact dispersion relation  $\omega(p)$

$$\mathbf{H}_{tot} |p_1, \dots, p_n\rangle_L = \sum_{j=1}^n \omega(p_j) |p_1, \dots, p_n\rangle_L.$$

## The dispersion relation

Exact dispersion relation ( $L = \infty$ ): [Hoare, Stepanchuk, Tseytlin '13] [Lloyd, O-Sax, AS, Stefanski '14]

$$\omega(p) = \sqrt{\left(\frac{k}{2\pi}p + \mu\right)^2 + 4h^2 \sin^2\left(\frac{p}{2}\right)},$$

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where  $\mu = 0, 1, \dots, k - 1$  labels the representations.

If  $h = 0$ , it is chiral (WZW model) [Baggio, AS '17] [Dei, AS '18]

$$\omega(p) = \left|\frac{k}{2\pi}p + \mu\right|, \quad \mu = 0, 1, \dots, k - 1.$$

If  $k = 0$ , periodic (like for  $AdS_5 \times S^5$ ) [Borsato, O-Sax, AS '12]

$$\omega(p) = \sqrt{\mu^2 + 4h^2 \sin^2\left(\frac{p}{2}\right)}, \quad \mu \in \mathbb{Z}$$

## S-matrix and spectrum

The symmetries allow to fix an S matrix for worldsheet excitations

$$S A_a^\dagger(p_1) A_b^\dagger(p_2) |0\rangle_\infty = e^{i\Phi(p_1, p_2)} S_{ab}^{cd}(p_1, p_2) A_c^\dagger(p_2) A_d^\dagger(p_4) |0\rangle_\infty$$

The dressing factor  $\Phi(p_1, p_2)$  is hardest to fix.

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The energy spectrum at fixed  $L$  is then fixed by taking

$$e^{ip_i L} \prod_{j=1}^n S(p_i, p_j) = 1, \quad i = 1, \dots, n \quad \Rightarrow \quad p_i = \frac{2\pi\nu_i}{L} + \frac{F_i(\{\nu_j\})}{L^2} + \mathcal{O}(L^{-3}), \quad \nu_i \in \mathbb{Z}$$

and plugging the solutions in

$$H_{tot} = \sum_{i=1}^n \omega(p_i(\nu)) \quad \text{up to "wrapping" corrections}$$

# Results

Spectrum at  $h = 0$  and any  $k \in \mathbb{N}$ , which agrees with the WZW construction. [Dei, AS '18]

Spectrum at  $k = 0$  and any  $h > 0$ , which displays new intriguing features.

[Ekhammar, Volin '21] [Cavaglià, Gromov, Stefanski, Torrielli '21] [Frolov, AS '21] [Brollo, le Plat, AS, Suzuki '23]

S-matrix and dressing factors when  $k > 0$  and  $h > 0$ .

[Lloyd, O-Sax, AS, Stefanski '14] [Frolov, Polvara, AS '23] [O-Sax, Riabchenko, Stefanski '23] [Frolov, Polvara, AS '24]

## Weak-tension limit

Recall that the string tension is

$$T = \sqrt{\frac{k^2}{4\pi^2} + h^2}$$

Tensionless limit(s):

- $k = 0$  and  $h \ll 1$ , related to the D1-D5 system of branes
- $k = 1$  and  $h \ll 1$ , related to the symmetric-orbifold CFT

## Weak tension at $k = 1$

At  $k = 1$  and  $h = 0$  the CFT dual is the **symmetric-orbifold CFT of  $T^4$** :  $N$ -fold tensor product of a free theory, symmetrised under  $S_N$ .

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This is a  $\mathcal{N} = (4, 4)$  theory (4 bosons and fermions). In the sector with a cycle of length  $L$  there is a **susy BPS state**  $|0\rangle_L$  with  $\mathbf{J}_{tot}|0\rangle_L = L|0\rangle_L$ . We have

$$|\Psi_{\{n_i, \tilde{n}_i\}}\rangle = \alpha_{-\frac{n_1}{L}}^{A_1 \dot{A}_1} \cdots \chi_{+\frac{1}{2} - \frac{n_r}{L}}^{-\dot{A}_r} \cdots \chi_{-\frac{1}{2} - \frac{n_s}{L}}^{+\dot{A}_s} \cdots (\text{anti-chiral}) |0\rangle_L$$

subject to the physical state condition

[Lunin, Mathur '01] [...]

$$\sum n_i - \sum \tilde{n}_i = 0 \text{ mod } L$$

## Weak tension at $k = 1$ : energy at $h = 0$

$$|\Psi_{\{n_i, \tilde{n}_i\}}\rangle = \alpha_{-\frac{n_1}{L}}^{A_1 \dot{A}_1} \cdots \chi_{+\frac{1}{2} - \frac{n_r}{L}}^{-\dot{A}_r} \cdots \chi_{-\frac{1}{2} - \frac{n_s}{L}}^{+\dot{A}_s} \cdots (\text{anti-chiral}) |0\rangle_L$$

the lighcone energy from the symmetric orbifold CFT is

$$H_{tot} = \sum_j \frac{n_j}{L} + \sum_j \frac{\tilde{n}_j}{L}$$

We can reproduce this spectrum by **setting  $k = 1$  and  $h = 0$**  in  $\omega(p)$

$$\omega(p) = \frac{1}{2\pi} |p|, \quad H_{tot} = \sum_j \omega(p_j)$$

with

$$p_j = \frac{2\pi\nu_j}{L} \quad \sum p_j = 0 \text{ mod } 2\pi$$

## Weak tension at $k = 1$ : turning on $h > 0$

We want  $\{G^{+A}(z), \tilde{G}^{\dagger B}(\bar{z})\} \neq 0$  in the deformed theory

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The marginal operator that corresponds to turning RR flux comes from the  $L = 2$  sector:

$$S \rightarrow S + \lambda \int dzd\bar{z} \mathcal{D}_{(2)}(z, \bar{z})$$

where  $\lambda \ll 1$  is a deformation parameter such that  $h(\lambda) = c_0 \lambda + \mathcal{O}(\lambda^2)$  for  $h \ll 1$ .

## Weak tension at $k = 1$ : representations at $h > 0$

In the deformed theory we expect e.g.

$$\tilde{\mathbf{G}}_{-1/2}^{\dagger B} \alpha_{-\frac{n}{L}}^{A\dot{A}} |0\rangle_L = c(n, L) \varepsilon^{BA} \psi_{+\frac{1}{2} - \frac{n}{L+1}}^{-\dot{A}} |0\rangle_{L+1}, \quad c(n, L) = \mathcal{O}(\lambda),$$

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which only makes sense as  $L \rightarrow \infty$ .

The coefficients  $c(n, L)$  should give the representations of [Lloyd, O-Sax, AS, Stefanski '14] in the limit

$$L \rightarrow \infty, \quad n \rightarrow \infty, \quad p = \frac{2\pi n}{L} \text{ fixed}$$

## Weak tension at $k = 1$ : computing the representation coefficients

$$c(n, L) = \oint d\bar{\zeta} \lambda \int dzd\bar{z} \left\langle \mathcal{V}_{(L+1)}^{(\chi-n)}(\infty) \tilde{\mathbf{G}}(\bar{\zeta}) \mathcal{D}_{(2)}(z, \bar{z}) \mathcal{V}_{(L)}^{(\alpha-n)}(0) \right\rangle$$



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- [AS, Frolov '24] corrected the match, reproduces [Lloyd, O-Sax, Stefanski, Sfondrini '14] at

$$h(\lambda) = \lambda + \mathcal{O}(\lambda^2)$$

## Weak tension at $k = 0$ (the D1-D5 system)

For worldsheet integrability we know

$$H_{tot} = \sum_{j=1}^n \sqrt{\mu_j^2 + 4h^2 \sin^2(p_j/2)} + \text{corrections}$$

with momentum  $p_j = 2\pi\nu_j/L + \text{corrections}$ .

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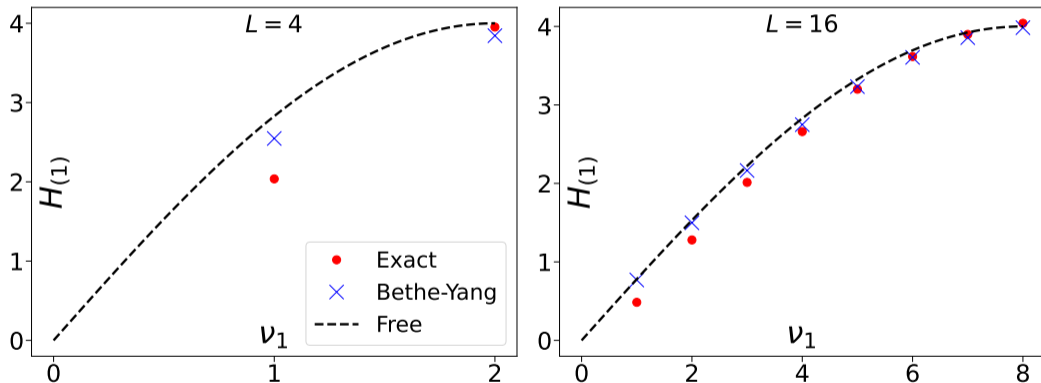
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Expand the exact answer

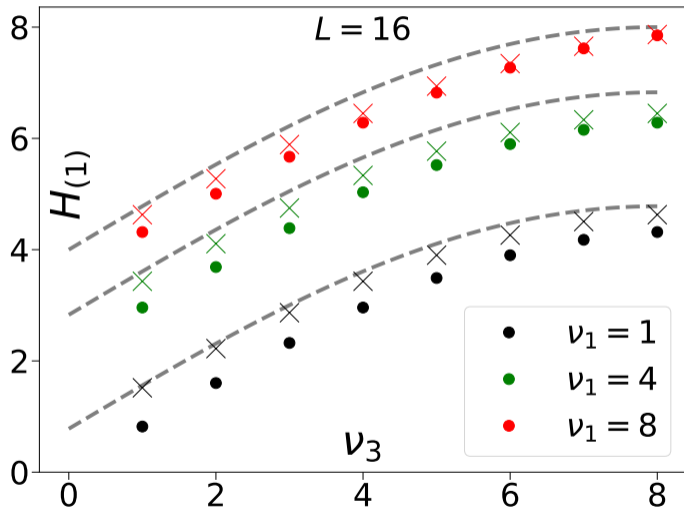
$$H_{tot}(\nu_1, \dots, \nu_n) = h H_{(1)} + \mathcal{O}(h^2), \quad H_{(1)} \approx \sum_{j=1}^n 2 \left| \sin \left( \frac{\pi\nu_j}{L} \right) \right| + \text{corrections}$$

## Weak tension at $k = 0$ : numerical results

Two excitations with  $\mu = 0$  and  $p_1 = -p_2$ , ie  $\nu_1 = -\nu_2$ . [Brollo, le Plat, AS, Suzuki '23]



# Weak tension at $k = 0$ : Four excitations, $p_1 = -p_2$ , $p_3 = -p_4$



# Summary

## Pure-NSNS (max $B_{\mu\nu}$ )

- $\mathfrak{sl}(2) \oplus \mathfrak{su}(2)$  WZW model
- From F1-NS5 system
- Partially known dual
- Quantised tension  $k \in \mathbb{N}$
- Simple, degenerate spectrum
- Can do integrability too

## Mixed-flux case

- Hardest case
- From generic setup
- Both  $h > 0$  and  $k \in \mathbb{N}$
- Dual known for  $k = 1$
- Nondegenerate spectrum
- “Only” S-matrix so far

## Pure-RR, $B_{\mu\nu} = 0$

- Most similar to AdS5
- From D1-D5 system
- Continuous tension  $h > 0$
- Nondegenerate spectrum
- Spectrum known
- Weak-tension dual?

[see Seibold, AS '24 for a review and references]





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