

# Elliptic integrable models and their spectra from the superconformal indices

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Southampton

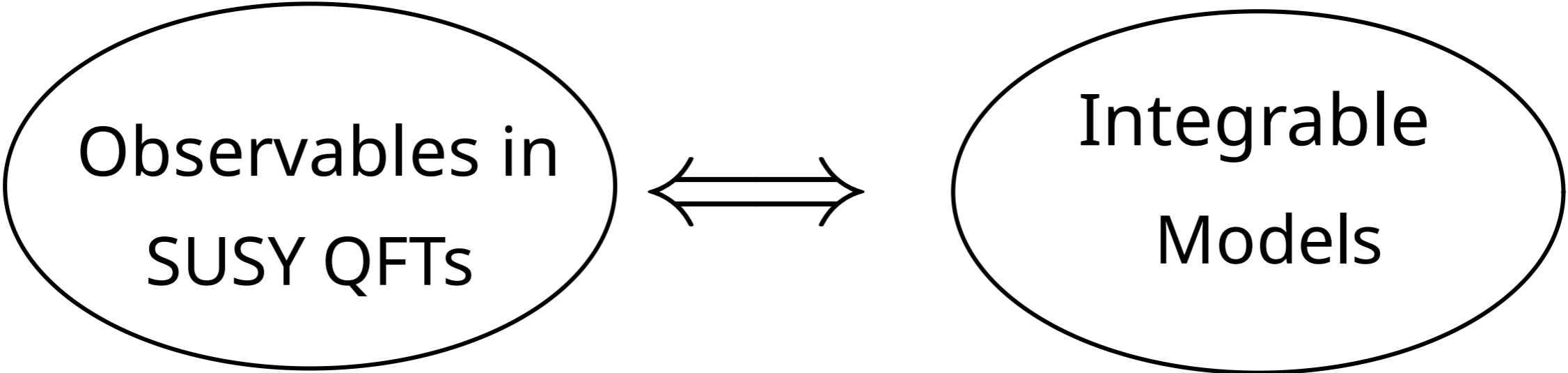
based on works with S.Razamat, H.-C. Kim and B.Nazzari

2407.08776, 2305.09718, 2106.08335



# General Setting

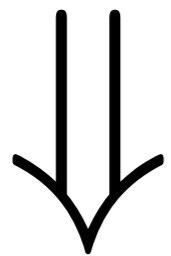
Main idea is in the relation



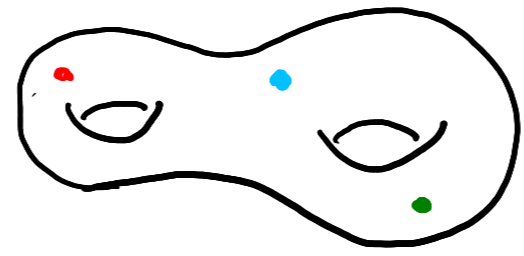
In the spirit of BPS/CFT ([Nekrasov-Shatashvili et al.](#))

# Particular Setting

6d SCFT



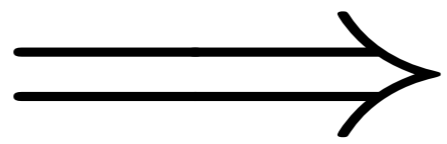
compactification on the punctured Riemann surface



Allows one to write index for any, even non-Lagrangian compactification (index bootstrap)

Superconformal index (SCI) of 4d IR theory

6d (2,0) theory



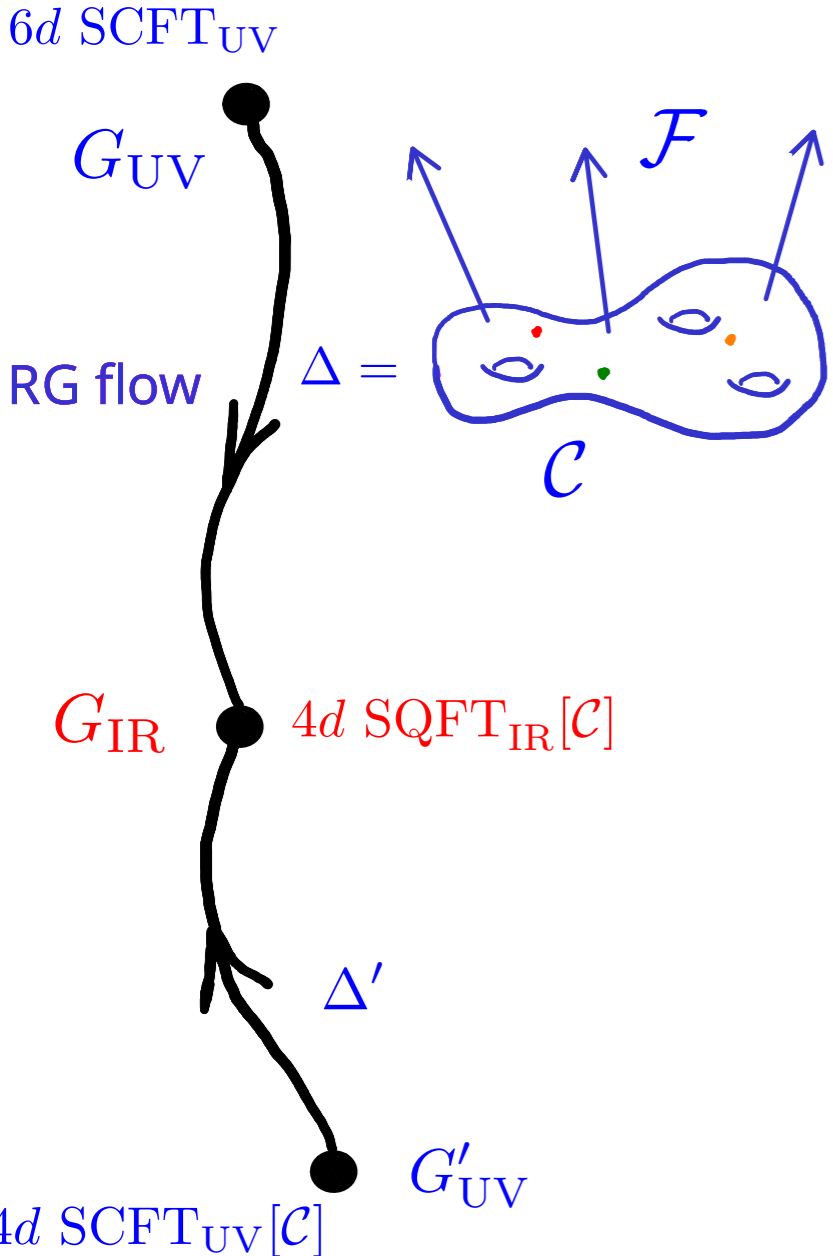
Introduce codim.-2 defect in 4d

Integrable analytic finite-difference operator  $A\Delta O$

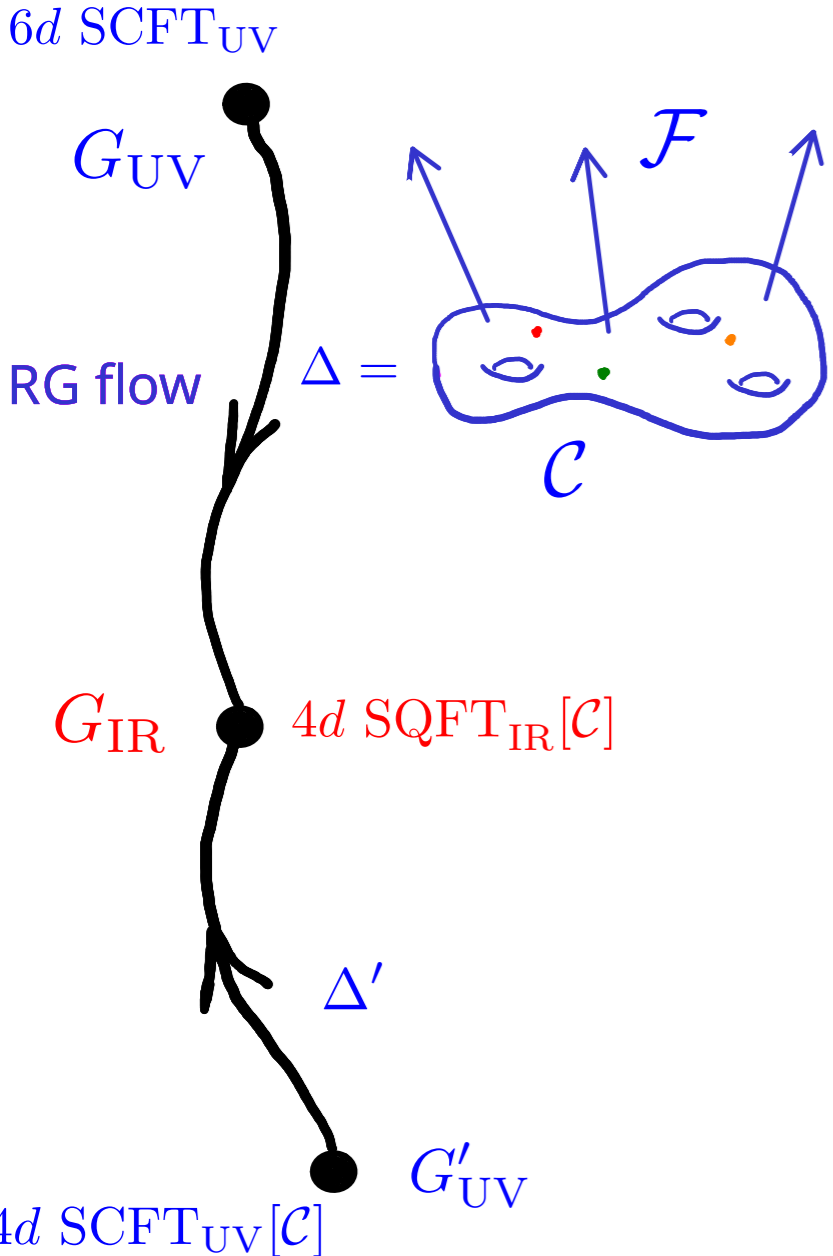
Ruijsenaars-Schneider model

4d  $\mathcal{N} = 1$  SQFTs from 6d SCFTs

- Generalities: talk of Shlomo. Razamat, Sabag, Sela, Zafrir 2203.06880 and many more

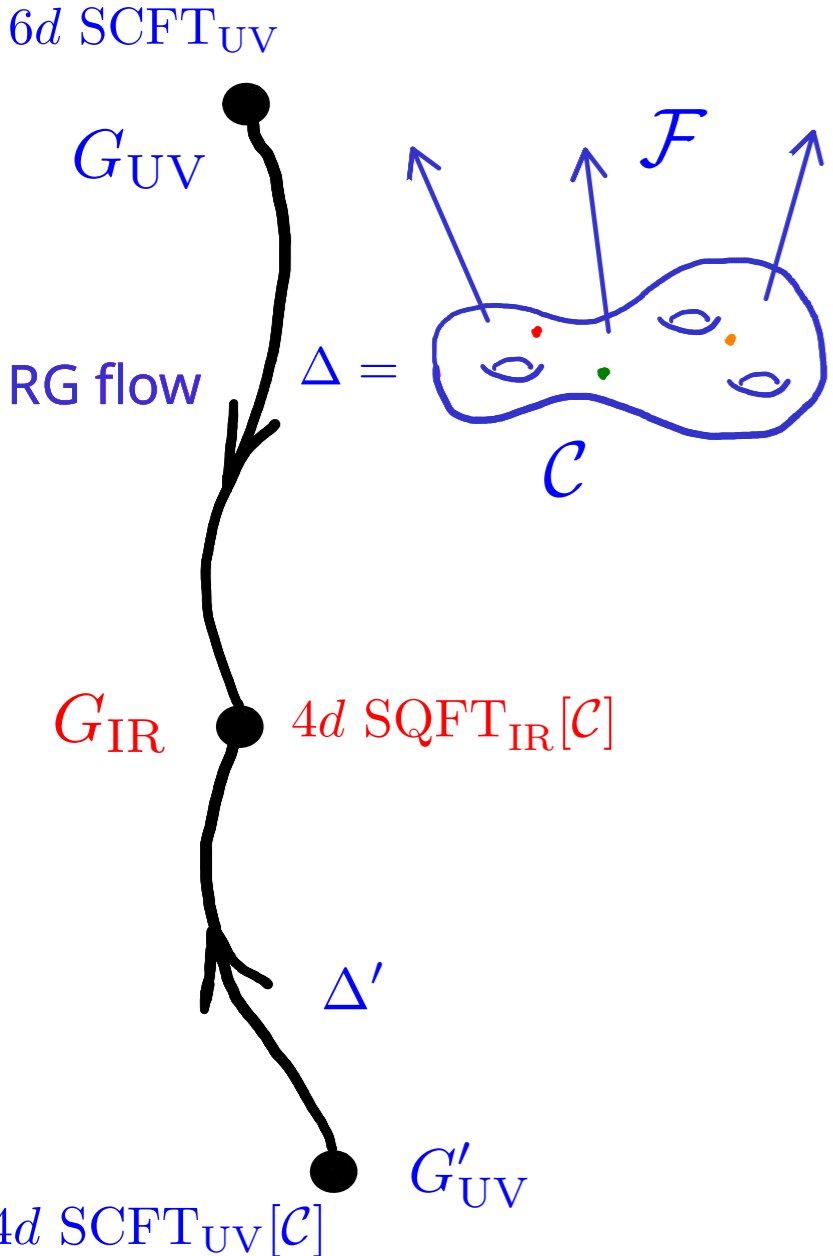


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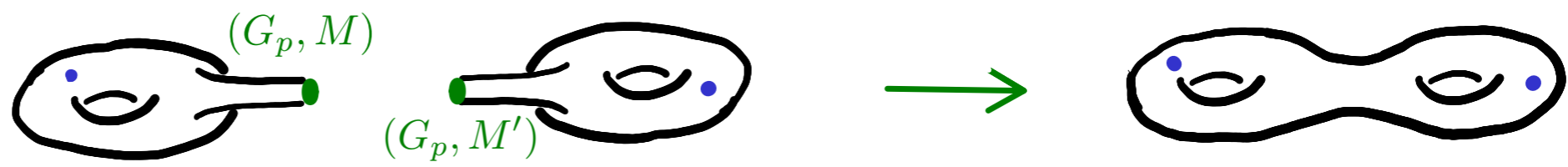
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- Punctures: characterized by the global symmetry  $G_p$  and a set of operators  $M_i$  charged under  $G_{M_i} \subseteq G_p$   
 We assume 6d SCFT has 5d lagrangian QFT compactification.

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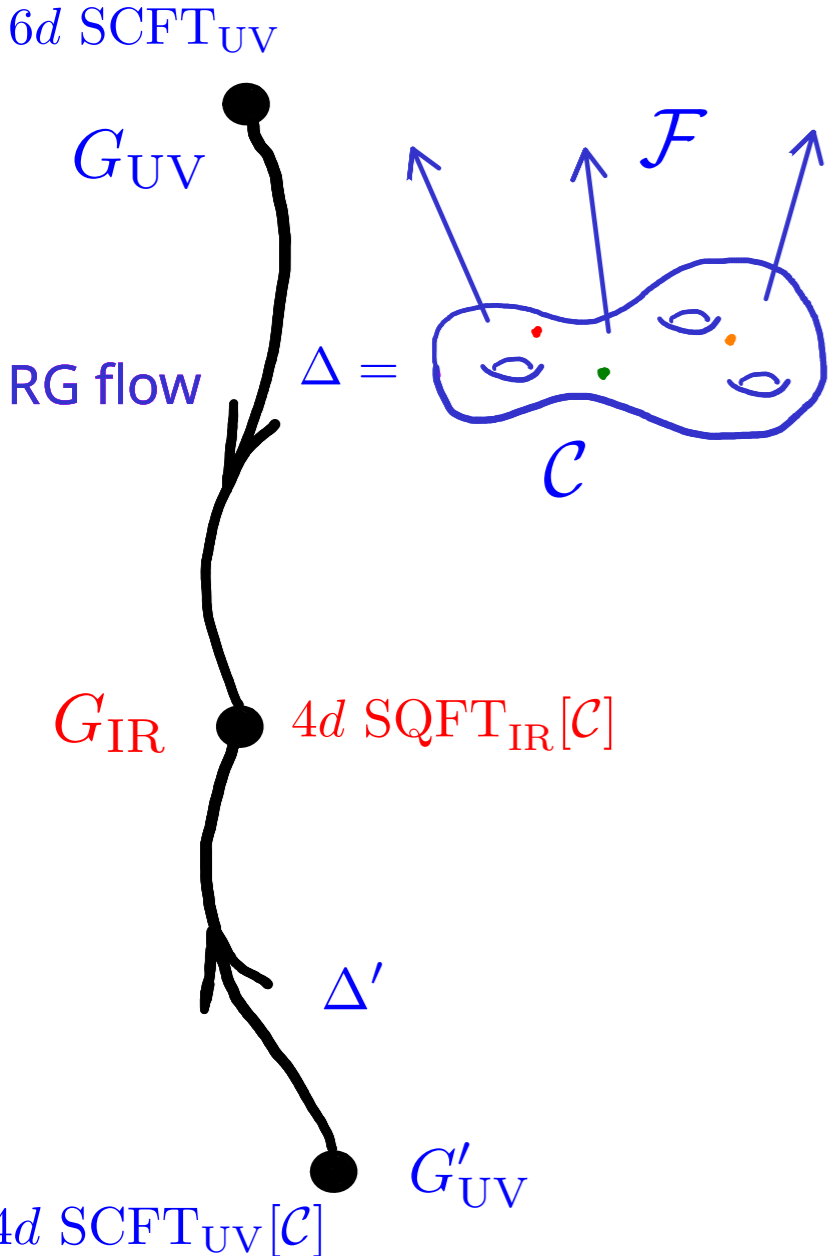


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**We assume 6d SCFT has 5d lagrangian QFT compactification.**

- Gluing two punctures:
  - 1) Identify operators of two punctures  $W \sim M \cdot M'$
  - 2) Gauge puncture global symmetry  $G_p$

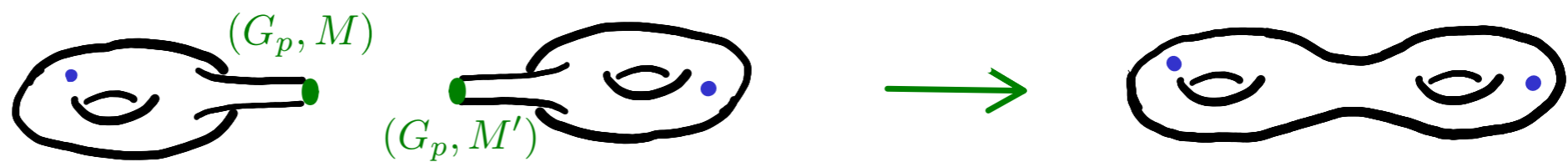


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- Punctures can be closed partially or completely by giving VEV  $\langle \partial_+^k \partial_-^l M_i \rangle \neq 0$   
 Global symmetry is broken to a subgroup

# Superconformal Index

- Given  $4d$  SQFT<sub>IR</sub>[ $\mathcal{C}$ ] put it on  $S^3 \times \mathbb{R}$  and compute superconformal index

$$\mathcal{I}(p, q, \{u\}) = \text{tr}_{S^3} \left[ (-1)^F q^{j_2 - j_1 + \frac{1}{2}R} p^{j_2 + j_1 + \frac{1}{2}R} \prod_{i=1}^{G_{4d}} u_i^{Q_i} \right]$$

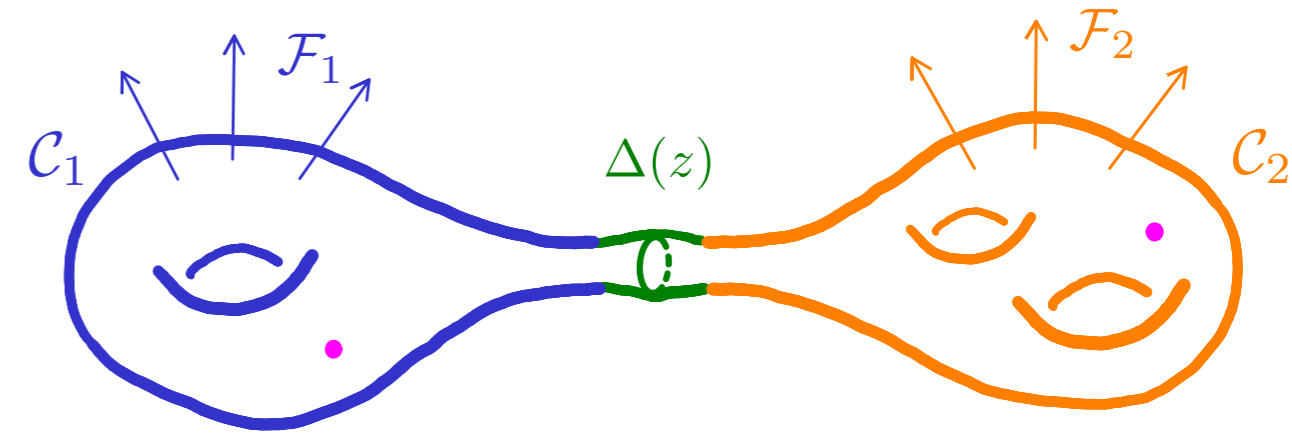
- $(j_1, j_2) \rightarrow$  charges under Cartans of  $Spin(4)$ , corresponding fugacities are  $p$  and  $q$ .
- $R \rightarrow$  charges of  $U(1)$  R-symmetry
- $Q_i \rightarrow$  charges of the Cartan of  $4d$  global symmetry  $G_{4d}$  corresponding fugacities are  $u_i$ 
  - Cartans of  $6d$  global symmetry  $G_{6d}$
  - Cartans of punctures global symmetry  $G_p$
- Using SUSY localization or UV free theory calculation can be reduced to matrix integral in case Lagrangian description is known.



# Puncture Operations for Indices

- Gluing:

$$\mathcal{I}[\mathcal{C}_1 \oplus \mathcal{C}_2, \mathcal{F}_1 + \mathcal{F}_2] = \oint \prod_{i=1}^{\text{rank } G_p} \frac{dz_i}{2\pi i z_i} \Delta(z; u; q, p) \cdot \mathcal{I}[\mathcal{C}_1, \mathcal{F}_1] \cdot \mathcal{I}[\mathcal{C}_2, \mathcal{F}_2]$$



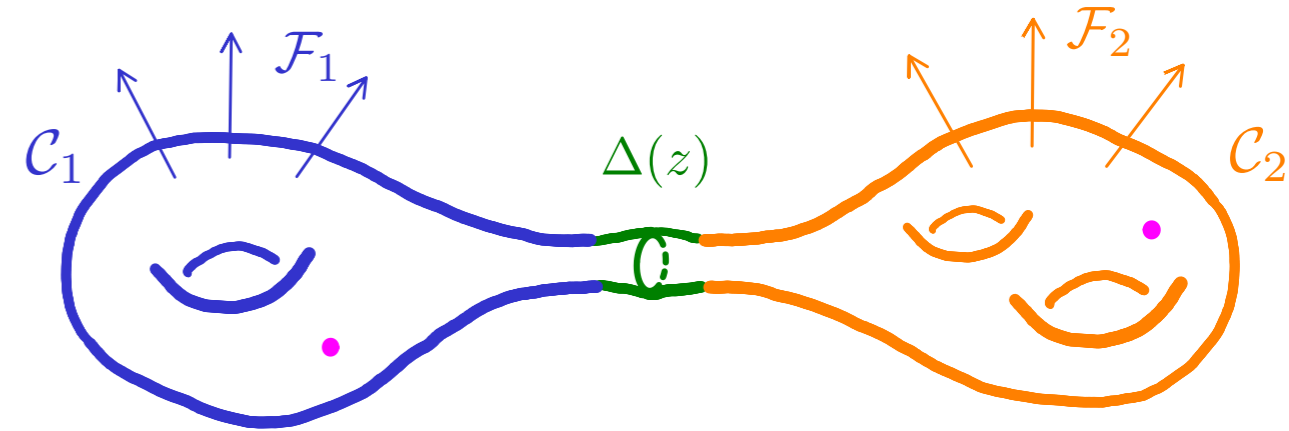
$\Delta(z; u; q, p)$  is **gluing measure**. Depends on the details of gluing and compactification.

Simplest example: vector multiplet contribution  $\prod_{i \neq j}^{\text{rank } G_p} \frac{1}{\Gamma(z_i/z_j; p, q)}$  .

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- **Closing punctures:** Operator  $\hat{O}$  of **charge '-1'** under  $U(1)_u$  global symmetry

Gaiotto, Rastelli, Razamat 1207.3577      **index weight**  $U_{\hat{O}} u^{-1} = q^{j_2^{\hat{O}} - j_1^{\hat{O}} + \frac{1}{2}R^{\hat{O}}} p^{j_2^{\hat{O}} + j_1^{\hat{O}} + \frac{1}{2}R^{\hat{O}}} u^{-1}$

VEV  $\langle \partial_{12}^m \partial_{34}^n \hat{O} \rangle \neq 0$  triggers **RG flow**. Index of IR theory:

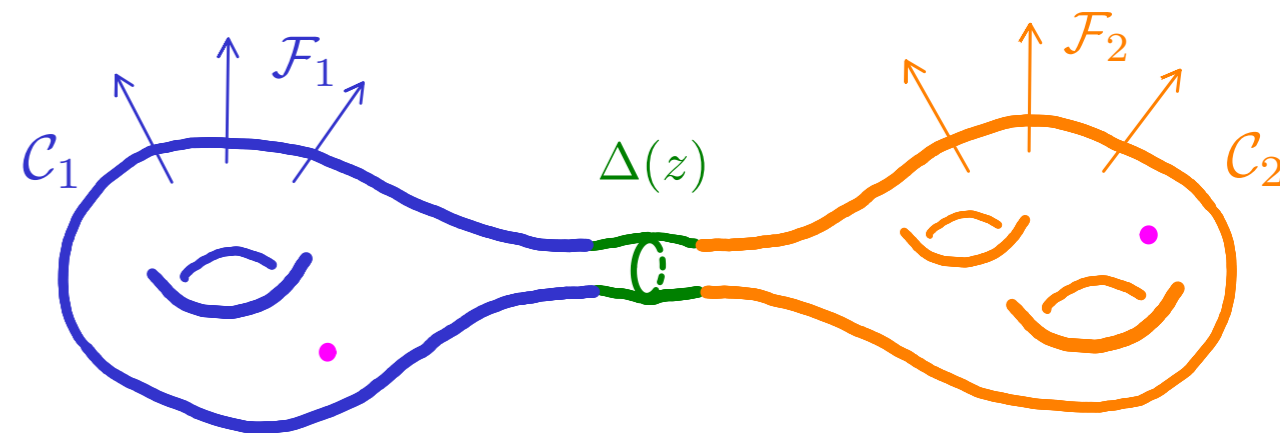
$$\mathcal{I}_{IR} \sim \text{Res}_{u \rightarrow p^m q^n} U_{\hat{O}} \mathcal{I}_{UV}(u)$$

$$m, n \in \mathbb{Z}_{\geq 0}$$

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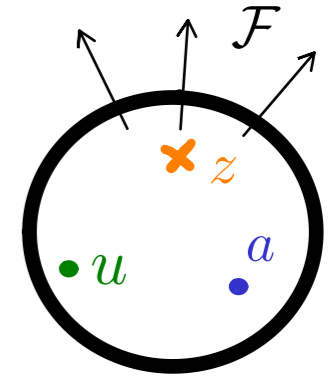
- When  $m \neq 0$  and/or  $n \neq 0$  we physically introduce **codimension-two defect** [Gadde, Gukov 1305.0266](#)

# Integrable Operators from Indices

6

- Working assumptions:

- Ⓘ 5d reduction of 6d SCFT has gauge theory description.
- Ⓙ 4d trinion is Lagrangian theory.

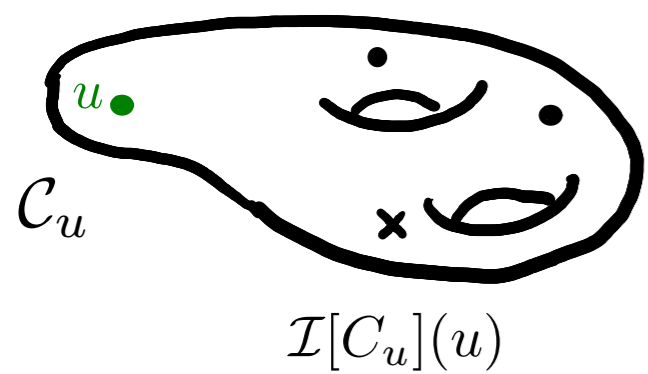
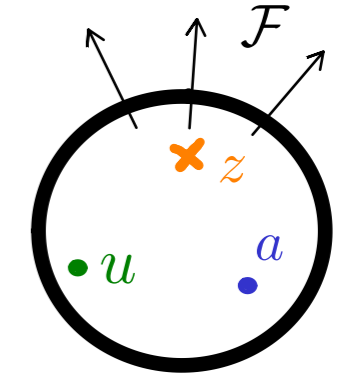


# Integrable Operators from Indices

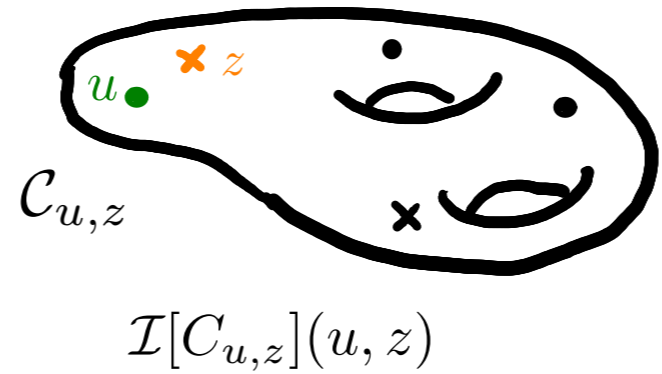
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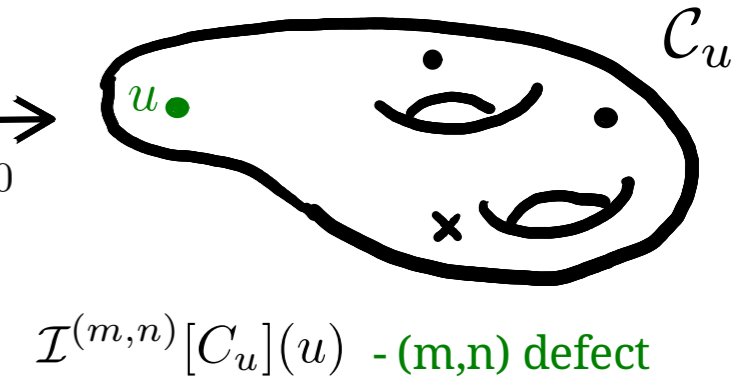
● Main idea: introduce defect into theory by closing puncture.



introduce  $\times z$

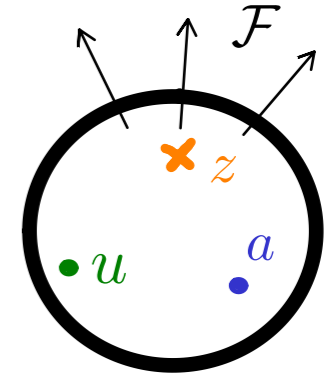


close  $\times z$   
 $\langle \partial_{12}^m \partial_{34}^n \hat{M}_z \rangle \neq 0$

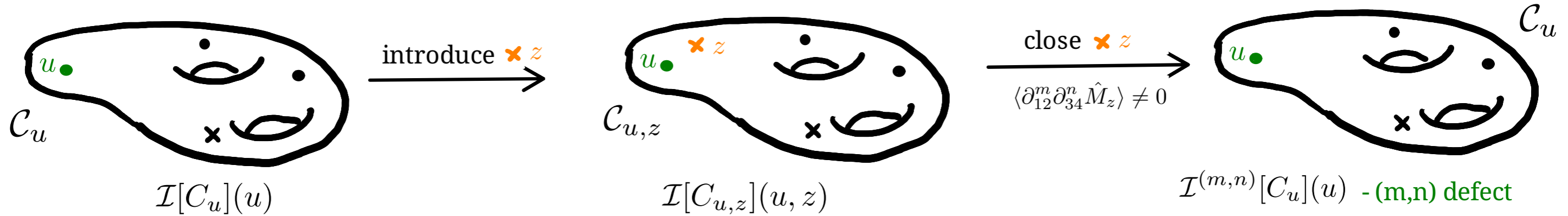


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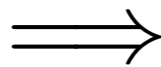
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- Index with (m,n) defect:

$\mathcal{H}_u^{(m,n)}$  - tower of finite difference operators ( $A\Delta O_s$ )

$$\mathcal{I}_{IR}^{(m,n)} \sim \text{Res}_{z \rightarrow p^m q^n U_{\hat{M}_z}} \mathcal{I}[C_{u,z}](u, z)$$

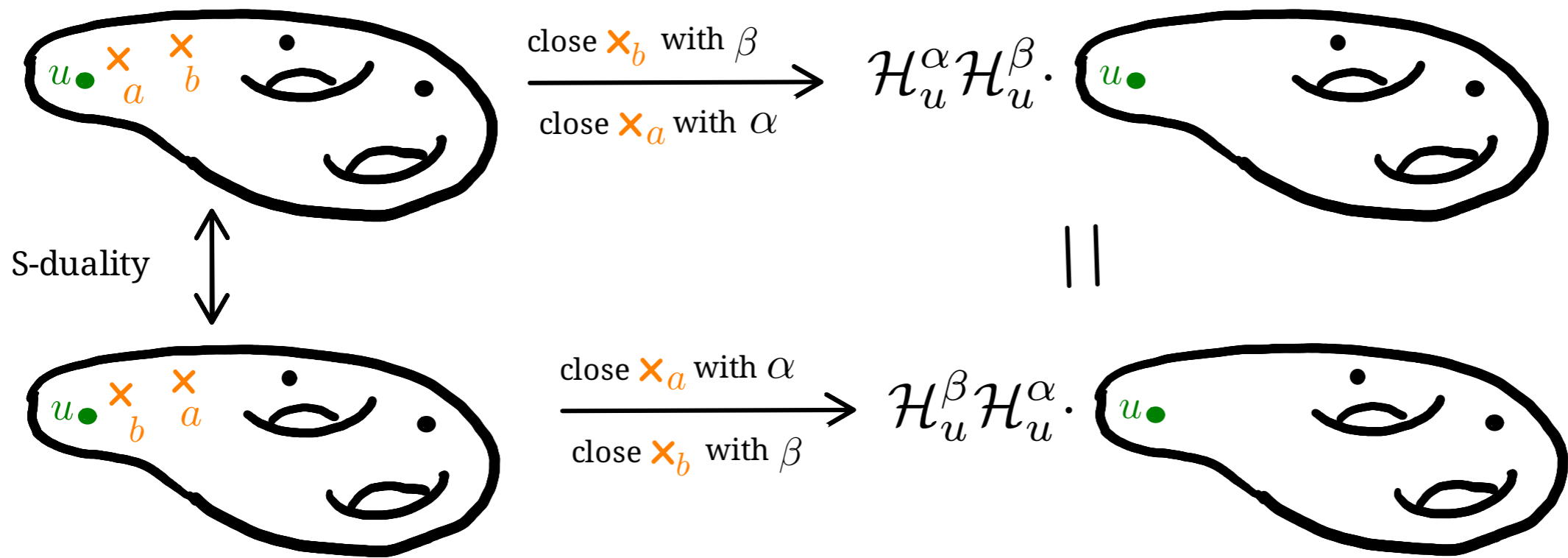


$$\mathcal{I}_{IR}^{(m,n)} \sim \mathcal{H}_u^{(m,n)} \cdot \mathcal{I}[C_{g,s}[u], \mathcal{F}]$$

# Properties of Operators

- Two properties follow **by construction** from 4d S-duality:

①  $A\Delta O_S$  all **commute** with each other  $[\mathcal{H}_u^\alpha, \mathcal{H}_u^\beta] = 0$   $\alpha, \beta$  - labels of operators including  $(m,n)$  and more (puncture closure)  $\implies$  **integrability?**

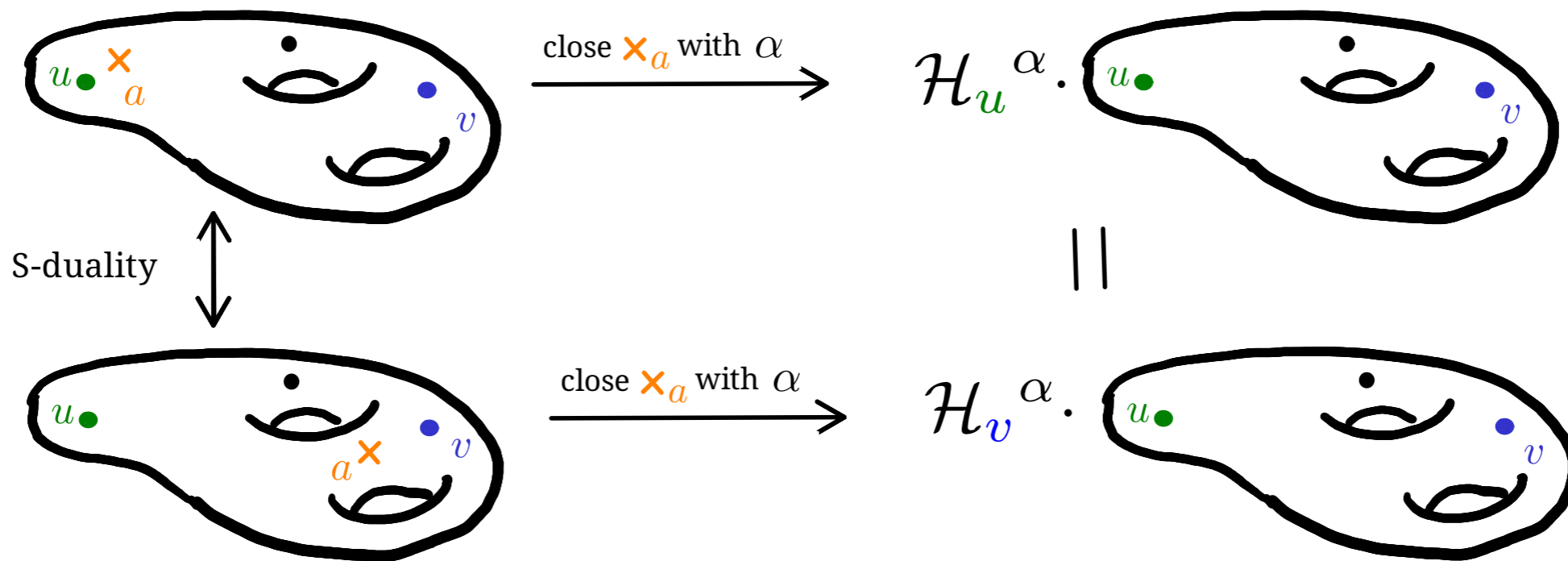


# Properties of Operators

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Ⓐ Indices of 4d  $\mathcal{N} = 1$  theories obtained in certain compactifications are **Kernel Functions** of the corresponding operators:

$$\mathcal{H}_u^\alpha \cdot \mathcal{I}_{g,s}[u, v, \dots] = \mathcal{H}_v^\alpha \cdot \mathcal{I}_{g,s}[u, v, \dots] = \dots$$





# Indices from Spectrum

- Assume **spectrum** of  $\mathcal{H}_x^\alpha$  is known:

$$\mathcal{H}_x^\alpha \cdot \psi_\lambda(\mathbf{x}) = E_{\alpha,\lambda} \psi_\lambda(\mathbf{x})$$

$\lambda$  - eigenstate label. Depends on Hamiltonian (integer, partition etc.)

Eigenfunctions  $\psi_\lambda$  are **orthogonal w.r.t. gluing measure**  $\Delta(x)$  :  $\oint d\mathbf{x} \Delta(\mathbf{x}) \psi_\lambda(\mathbf{x}) \psi_{\lambda'}(\mathbf{x}^{-1}) = \delta_{\lambda,\lambda'}$

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- Natural **ansatz** for Kernel functions of  $\mathcal{H}_x^\alpha$

$$\mathcal{I}(\{\mathbf{x}_j\}) = \sum_{\lambda} C_{\lambda} \prod_{j=1}^s \psi_{\lambda}(\mathbf{x}_j)$$

Compactification on Riemann surface with  $s$  punctures of the same type.

Gaiotto, Rastelli, Razamat 1207.3577

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- Assume  $\lambda$  have **natural ordering** so we can enumerate them:  $\lambda_0 \leq \lambda_1 \leq \lambda_2 \leq \dots$

- Simplest example, **two-punctured surface**  $\mathcal{I}(\mathbf{x}, \mathbf{y}) = \sum_{i=0}^{\infty} C_{\lambda_i} \psi_{\lambda_i}(\mathbf{x}) \psi_{\lambda_i}(\mathbf{y})$

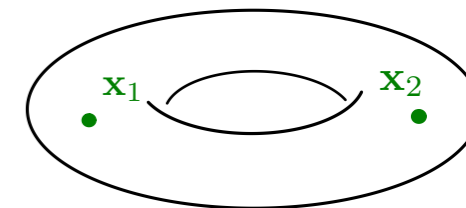
$C_{\lambda_i}$  depends on details of compactification (fluxes, genus)

# Spectrum from Indices

Nazzal, AN, Razamat 2305.09718

- Start with two-punctured surface with some non-zero flux and/or non-zero genus

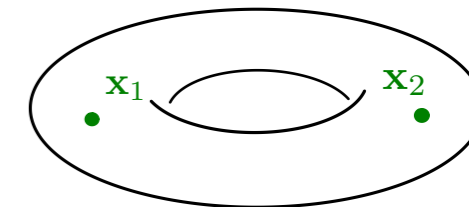
$$\mathcal{I}_1(\mathbf{x}, \mathbf{y}) = \sum_{i=0}^{\infty} C_{\lambda_i} \psi_{\lambda_i}(\mathbf{x}_1) \psi_{\lambda_i}(\mathbf{x}_2)$$



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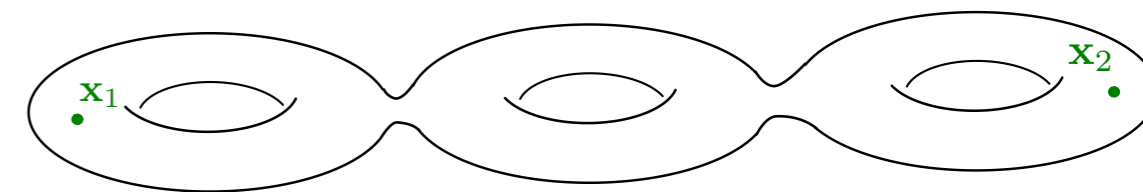
- Start with **two-punctured surface** with some **non-zero flux and/or non-zero genus**



$$\mathcal{I}_1(\mathbf{x}, \mathbf{y}) = \sum_{i=0}^{\infty} C_{\lambda_i} \psi_{\lambda_i}(\mathbf{x}_1) \psi_{\lambda_i}(\mathbf{x}_2)$$

- Glue together n copies**

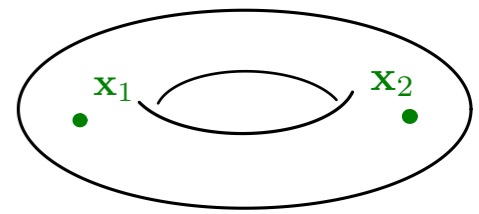
$$\mathcal{I}_n(x_1, x_2) = \sum_{i=0}^{\infty} (C_{\lambda_i})^n \psi_{\lambda_i}(\mathbf{x}_1) \psi_{\lambda_i}(\mathbf{x}_2)$$



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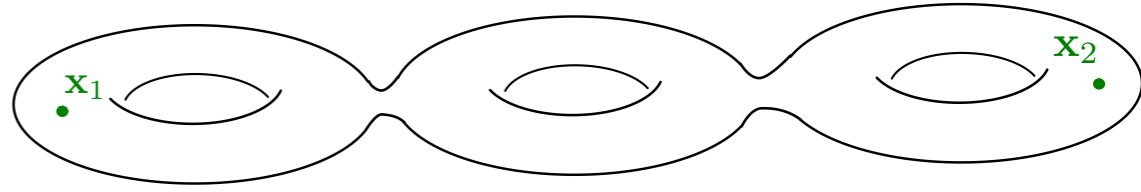
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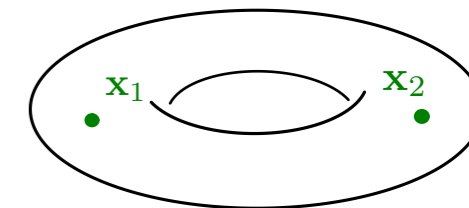
- Consider **series in  $y = pq$  parameter** assuming  $C_{\lambda_i}$  are **ordered**:

$$C_{\lambda_0} = O(1), \quad C_{\lambda_1} = O(y^{n_1}), \quad n_1 > 0 \quad C_{\lambda_2} = O(y^{n_2}), \quad n_2 > n_1, \dots$$

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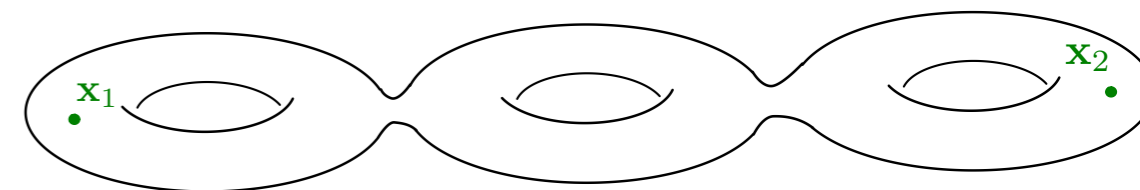
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In practice: take finite n, results are valid up to a fixed order in  $y = pq$

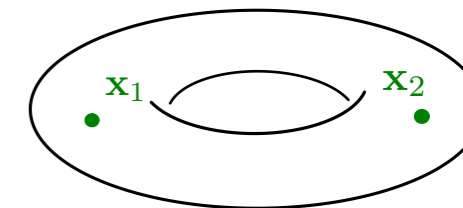
$$C_{\lambda_0} = \lim_{n \rightarrow \infty} \frac{\mathcal{I}_{n+1}(\mathbf{x}_1, \mathbf{x}_2)}{\mathcal{I}_n(\mathbf{x}_1, \mathbf{x}_2)}$$

$$\psi_{\lambda_0}(\mathbf{x}) = \lim_{n \rightarrow \infty} (C_{\lambda_0})^{-n} \mathcal{I}_n(\mathbf{x}, \mathbf{1})$$

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Nazzal, AN, Razamat 2305.09718

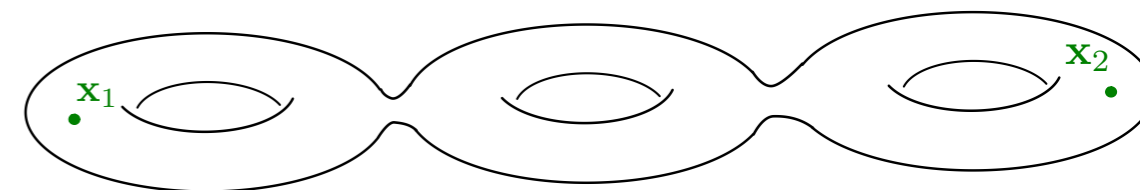
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- Other  $C_{\lambda_i}$  and  $\psi_{\lambda_i}(\mathbf{x})$  can be found similarly using results for the lower states.

$$C_{\lambda_0} = \lim_{n \rightarrow \infty} \frac{\mathcal{I}_{n+1}(\mathbf{x}_1, \mathbf{x}_2)}{\mathcal{I}_n(\mathbf{x}_1, \mathbf{x}_2)}$$

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# Example : Ruijsenaars – Schneider Model

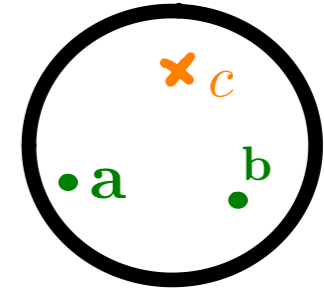
6d (2,0) SCFT  
of  $A_N$  type



4d class S  
theories

- **Punctures** with  $A_N$  symmetry (5d compactification is  $\mathcal{N} = 2$  SU(N+1) SYM).
- **Trinion theory** is free bi-fundamental hypermultiplet:

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# Example : Ruijsenaars – Schneider Model

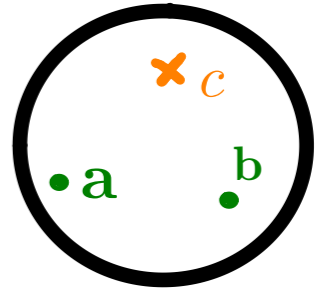
6d (2,0) SCFT  
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4d class S  
theories

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[Ruijsenaars and Schneider '86](#)

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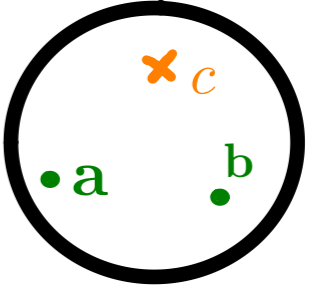
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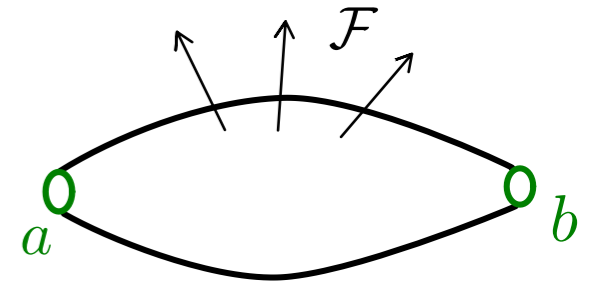
- **Macdonald limit:**  $p \rightarrow 0$  spectrum is known. Eigenfunctions are **Macdonald Polynomials**  
Used for index calculations. Gadde, Rastelli, Razamat, Yan 1110.3740
- **In general case explicit form of the spectrum is not known yet !**

# $A_1$ RS Spectrum from Indices

Kim, AN, Razamat 2407.08776; Nazzal, AN, Razamat 2305.09718;

- Starting point: two-punctured sphere (tube) with flux

$$\mathcal{I}_2 = \Gamma \left( (pq)^{1/4} t^{1/2} a^{\pm 1} b^{\pm 1} \right)$$



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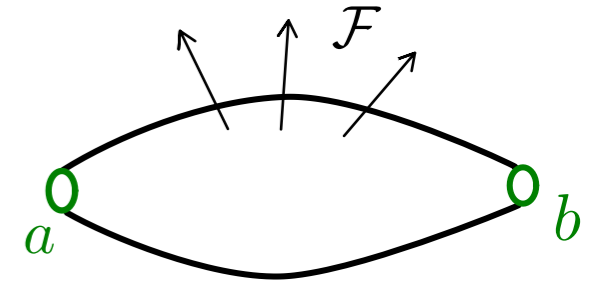
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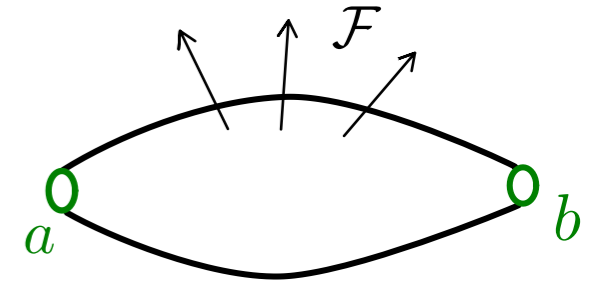
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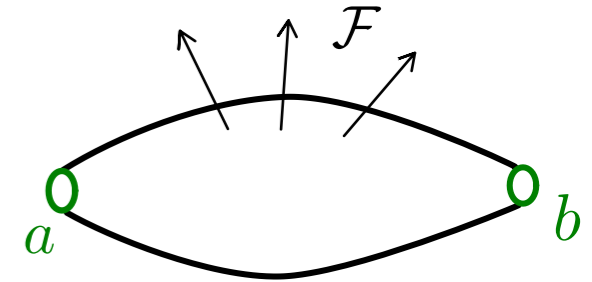
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$$\mathcal{H}_{A_1} \cdot \psi_n(x) = E_n \psi_n(x)$$

Substituting eigenfunctions

$$E_0 = 1 - p + \left(t + \frac{1}{t}\right) \sqrt{pq} - pq + \left(t + \frac{1}{t}\right) p \sqrt{pq} - p^2 + \dots$$

# Ramified Instantons

- Alternative approach for  $A_{N-1}$  RS: ramified instanton partition function [Bullimore, Kim, Koroteev 1412.6081](#);
  - **Theory:**  $5d \mathcal{N} = 1^* SU(N)$  SYM on the  $\Omega$  background  $S^1 \times \mathbb{R}_{\epsilon_1, \epsilon_2}^4$



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- Corresponding **instanton partition function (ramified)**

$$Z_\rho(\{Q_l\}, \{\mu_j\}, Q, m, \epsilon_1, \epsilon_2)$$

$Q$  - instanton parameter of  $5d \mathcal{N} = 1^* SYM$ ;  $Q_l$  - instanton parameter of each  $U(n_l)$  subgroup  $l = 1, \dots, s$ ;  
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- **Nekrasov-Shatashvili limit:**

$$D_\rho = \lim_{\epsilon_2 \rightarrow 0} \frac{Z_\rho}{Z}$$

$Z$  is conventional Nekrasov partition function.

# $A_1$ RS Spectrum from Instantons

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Eigenvalue is the expectation value of the Wilson loop in fundamental representation

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A-model:  $\mu_{1,2}$  solve BAE

(instanton corrections in p)

S - duality

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Hatsuda, Sciarappa, Zakany 1809.10294;  
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Check:

$$\frac{\psi_n^{ind.}(x)}{D_{[1,1]}(x, \mu_{1,2})} \Big|_{\mu_2 = \mu_1^{-1} = \sqrt{tq^n}} = const; \quad E_0^{ind.} = \langle W_{\square}(\mu_{1,2}) \rangle \Big|_{\mu_2 = \mu_1^{-1} = \sqrt{t}}$$

Kim, AN, Razamat  
2407.08776

# More Results

- 6d (2,0) SCFT of  $A_N$  type  $\implies A_N$  Ruijsenaars-Schneider model

Kim, AN, Razamat 2407.08776

**Index:** ground and first excited states for  $N = 1, 2$ ;  $\longleftrightarrow$  **Instantons:** formal eigenfunction + quantization fixed;  
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# More Results

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- Minimal (D,D) conformal matter  $\Rightarrow$  novel  $A_N$  and  $C_2$  generalizations of van Diejen.

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Nazzal, AN, Razamat 2106.08335; Nazzal, AN 2305.09718;

- We proposed a **new method for deriving the perturbative spectrum** of a large class of relativistic elliptic integrable models.
- The **method has been tested** on various models: Ruijsenaars-Schneider, van Diejen and some novel models derived in 6d compactifications.  
In all cases at least **ground state** wavefunction and energy were derived.
- For the cases of  $A_1$  and  $A_2$  Ruijsenaars-Schneider model **results were compared** with the alternative approach of **ramified instantons calculations**.
- Many more things to be considered (see previous slide).

**Thank You for Attention !**

