



Towards a “bottom-up” construction of kinetic theory with spin

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Spin observable probe QGP

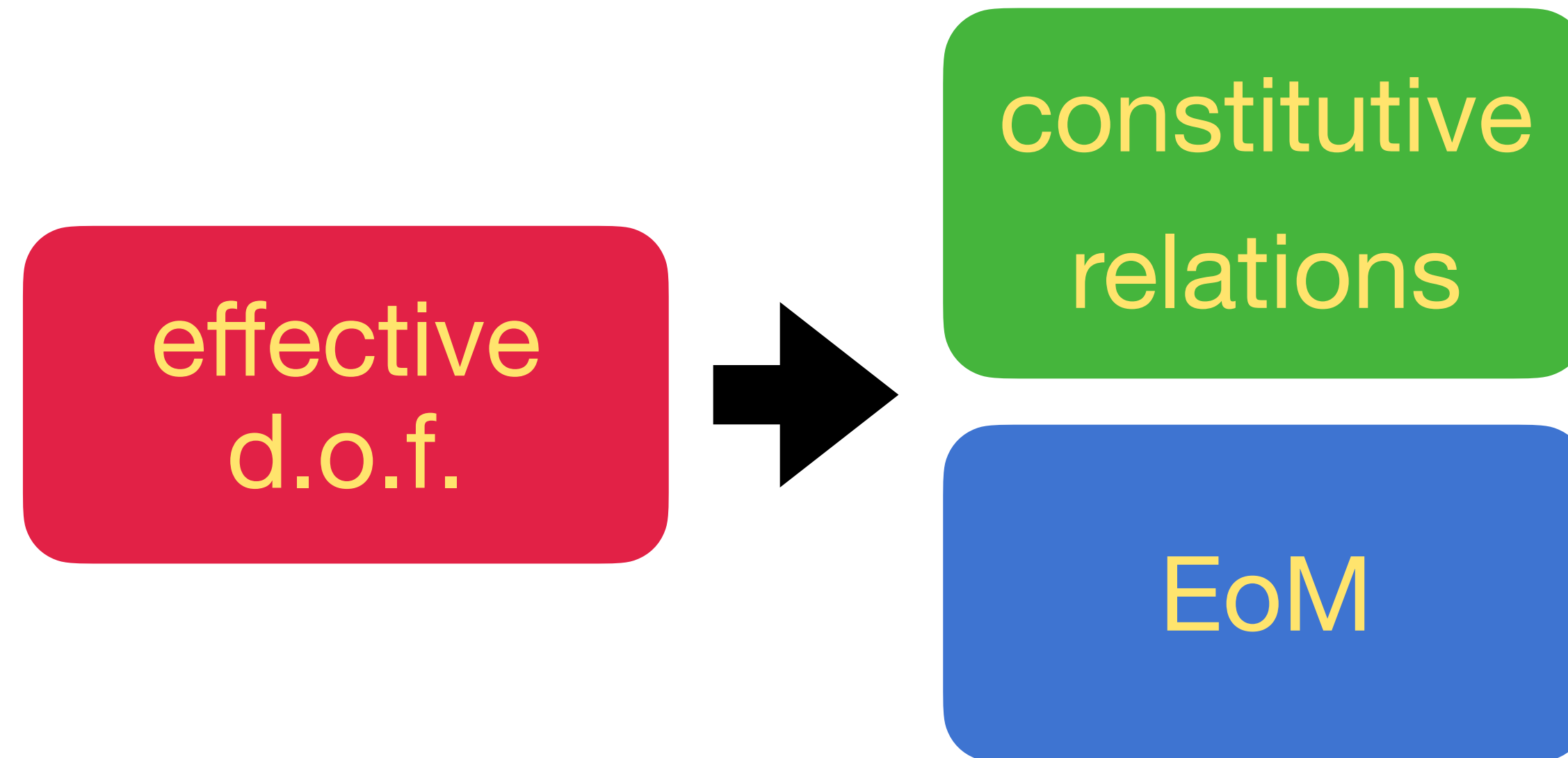
- Heavy-ion collision (HIC) creates QCD matter in extreme condition
- Spin observables in HIC
- Informative Λ hyperon polarization *Xin-Nian Wang, Zuo-Tang Liang, PRL05'; Becattini et al, Annals Phys 13'*
- Vector mesons (K^* , ϕ , J/ψ) *e.g. STAR, 2204.02302; ALICE PRL 20', 2204.10171*
- an important way to probe the properties of QGP

Quantum Kinetic Theory

- Kinetic theory is an **effective description** of many-body system with spin
- “Top-down”: start from a microscopic theory and derive the EoM
- Modern view of **effective theory** by Landau:
 - Low-energy (IR) dynamics can be written down without details in the UV.
- Eg. hydrodynamics
- Can we construct the kinetic theory in a similar way?

“Bottom-up” Methodology

- Main steps



- Eg. hydrodynamics $\varepsilon, u^\mu \rightarrow T^{\mu\nu}[\varepsilon, u] = \varepsilon u^\mu u^\nu + P(\varepsilon)(g^{\mu\nu} + u^\mu u^\nu) + \mathcal{O}(\partial)$
- QKT : spin-average distribution $f(x, p)$, spin distribution $s(x, p)$ (axial vector)
not enough attention has been paid to the constitutive relations in QKT

Constitutive Relation

- Spirit of effective theory: write down all possible terms (obey symmetries) for observable \hat{O} that constructed by f , s , momentum p and the external field ϕ (eg. EM field A_μ , gravity $h_{\mu\nu}$).

$$\hat{O} = \hat{O}[f, s, \phi, p]$$

- Assume $\tau_R^{-1} \ll \partial \ll \Lambda_{\text{eff}}$ (typical energy scale of particles)
- Formulate it up to $\mathcal{O}(\partial)$ & $\mathcal{O}(\phi)$

Constitutive Relation

- Eg. electric current under E :

$$\mathbf{J} = \underline{\mathbf{v}f} + D \nabla f + \underline{(\Delta \mathbf{J})_{\text{ext}}} + \mathcal{O}(\partial^2)$$

$$(\Delta \mathbf{J})_{\text{ext}} = \sigma \mathbf{E} \sim \mathcal{O}(\partial)$$

turn off E , $(\Delta \mathbf{J})_{\text{ext}} = 0$; $\mathbf{v}f + D \nabla f$ still exists, which is a general relation.

- We can separate the constitutive relation into two parts

$$\hat{O}[f, s, \phi, p] = \hat{O}_{\text{dyn}}[f, s, p] + \hat{O}_{\text{ext}}[\phi, p]$$

*Largely inspired by Jingyuan Chen, Dam T. Son,
Annals Phys. 377 (2017)*

dynamical
& general

local/direct
response to ϕ

Spin Current

$$\mathcal{A}^\mu = (\mathbf{v}_\perp^\mu + c_1 n^\mu)(\mathbf{v} \cdot \mathbf{s}) + c_2 s^\mu + c_3 \epsilon^{\mu\nu\rho\sigma} n_\nu v_\rho \partial_\sigma f + (\Delta \mathcal{A})_{\text{ext}}^\mu$$

spin current
parallel to \mathbf{v}

spin charge
density

spin current in
different
directions with \mathbf{v}

momentum and off-
equilibrium generate spin

eg. spin Hall
effect $\mathbf{v} \times \mathbf{E}$

Covariant form: $\mathbf{s} \rightarrow s^\mu$

\mathcal{A}^μ can be derived from the Wigner function
 $W \sim \psi \bar{\psi}$, $\mathcal{A}^\mu \sim \text{Tr}\{\gamma^\mu \gamma^5 W\}$

s^μ has only 3 physical d.o.f.

Gauge fixing: $n_\mu s^\mu = 0$, with a
time-like vector field n^μ

Change of gauge fixing \leftrightarrow redefinition of s^μ ;
 \mathcal{A}^μ should not depend on gauge fixing.

f & s (4 d.o.f.) \rightarrow observables

systematically consider all the
possible effects which can induce spin

Massless EoM as a Heuristic

- left/right-handed distribution f_+, f_-
- the Boltzmann equation

$$\left[\partial_t + \boldsymbol{v} \cdot \partial_{\boldsymbol{x}} + \left(\boldsymbol{F}(\boldsymbol{x}) - \underline{\partial_{\boldsymbol{x}} \Phi_s(\phi)} \right) \cdot \partial_{\boldsymbol{p}} \right] f_s(t, \boldsymbol{x}; \boldsymbol{p}) = 0, \quad (s = \pm)$$

- Φ_s : energy shift of different spin states generated by external field ϕ

Kinetic Equations

- EoM of f and s^μ

$$v^\mu \partial_\mu f + F_\mu(\phi) \partial_p^\mu f = 0$$

$$v^\nu \partial_\nu s^\mu + \underline{\Pi_\nu^\mu(\phi)} \partial_p^\nu f = 0$$

$F_\mu(\phi)$ is the spin independent force generated by the external field;

$\Pi_\nu^\mu(\phi)$ is an analogy of $\partial_x \Phi_s(\phi)$. (combination of parity odd tensors constructed by the gradient of the external fields)

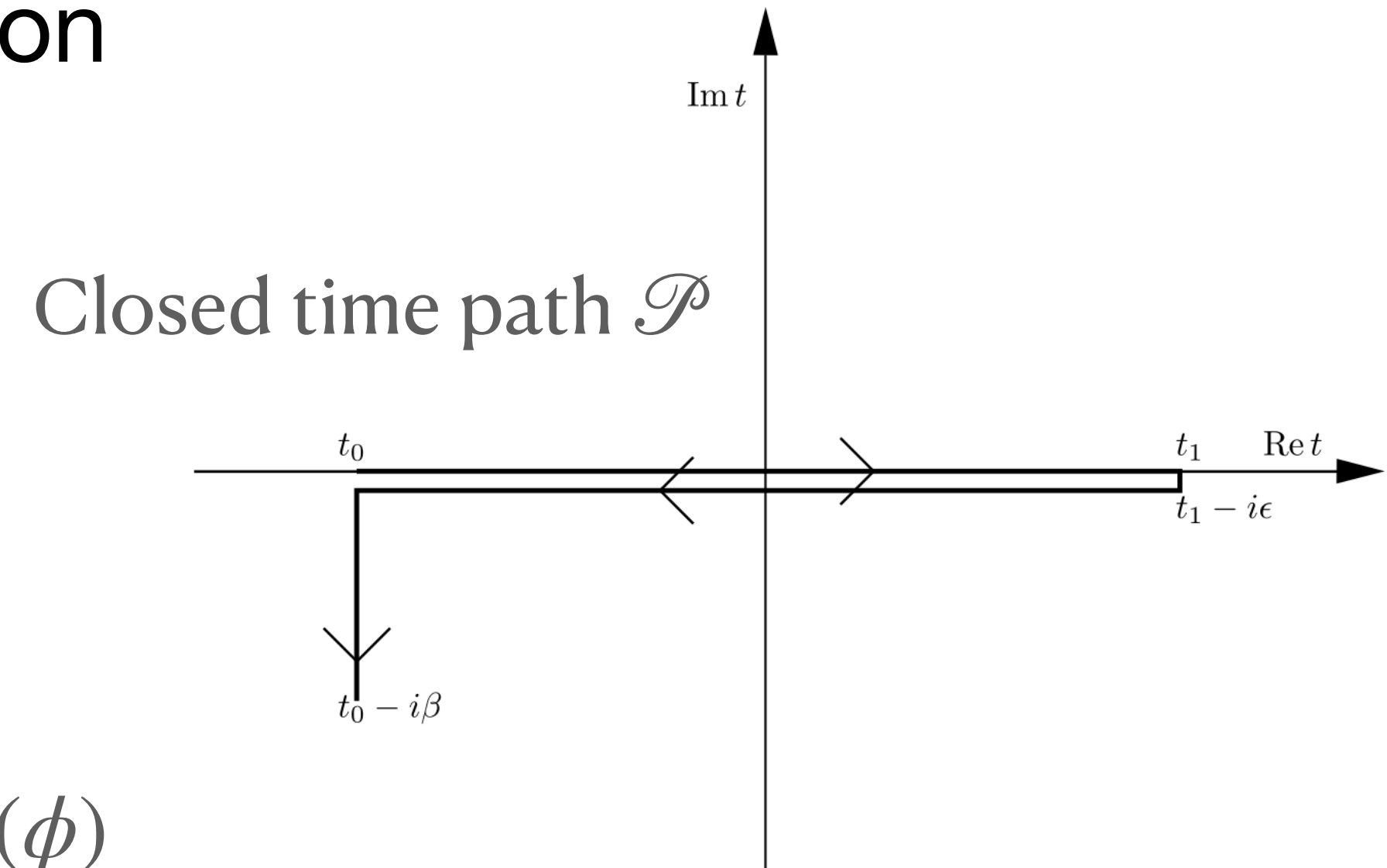
We had ignored the collision terms according to $\tau_R^{-1} \ll \partial \ll T_{\text{eff}}$

Spin Current from QFT

- Confirm the QKT by field theory calculation
- Schwinger-Keldysh formalism

$$W \sim \int_{\mathcal{P}} \mathcal{D}\psi \psi(x_+) \bar{\psi}(x_-) e^{iS[\psi, \phi]}$$

$$\mathcal{A}^\mu \sim \text{Tr}\{\gamma^\mu \gamma^5 W\} \quad \text{Expand it up to } \mathcal{O}(\partial) \text{ \& } \mathcal{O}(\phi)$$



$$W_\phi = W_0 + G_R \phi + L_\phi \phi, \quad \phi = A_\mu, h_{\mu\nu}$$

Equilibrium + Retarded Correlation + Gauge link

Non-locality

The retarded correlation function is non-local (can depend on the perturbation earlier than t)

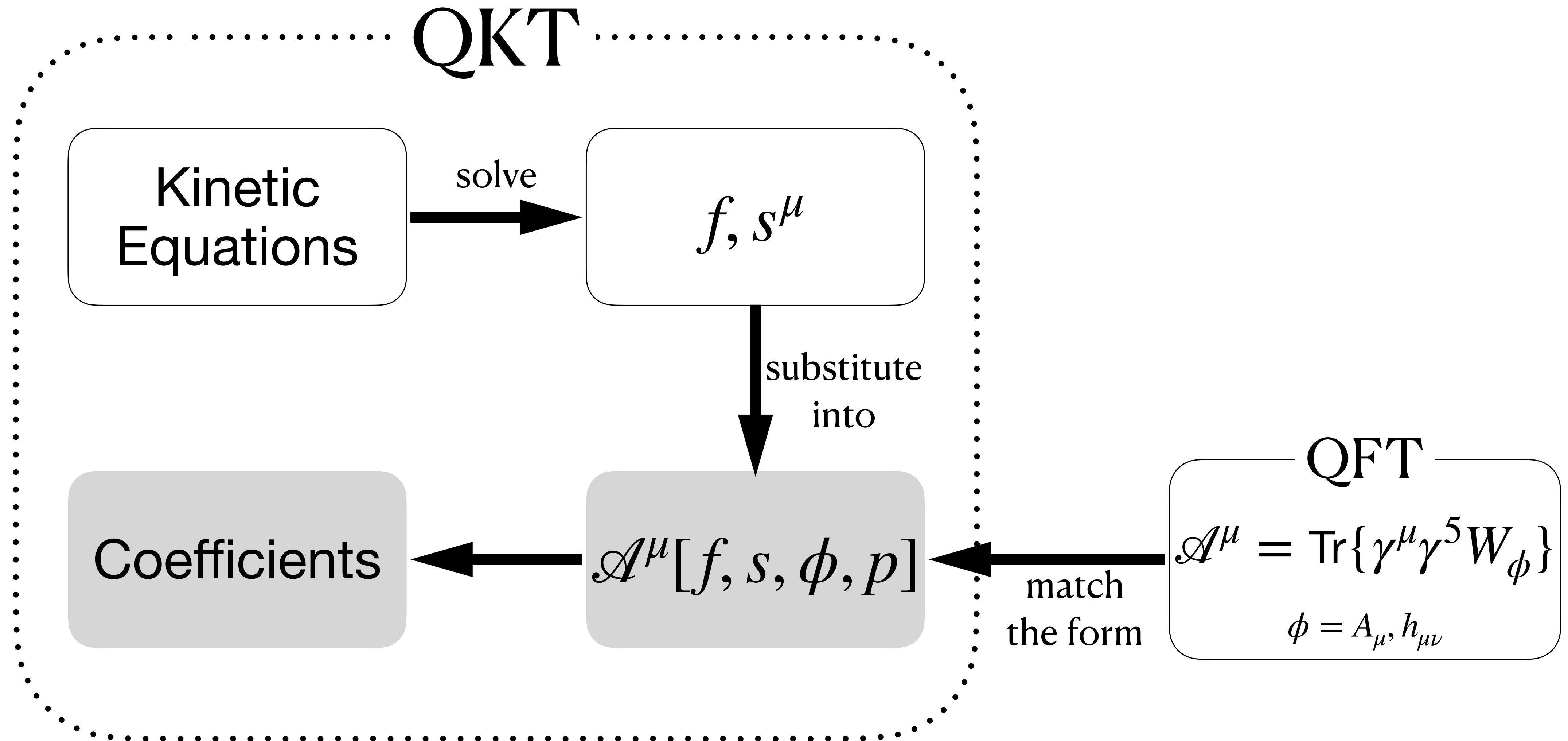
$$G_R \sim \langle \dots \rangle \theta(t - t_0) \rightarrow \frac{(\dots)}{(p^0)^2 - E_p + i\epsilon p^0} \quad f, s^\mu \sim \frac{(\dots)}{\omega - \mathbf{k} \cdot \mathbf{v} + i\epsilon}$$

$v^\mu \partial_\mu$ in Fourier space

- The non-locality of linear response is absorbed by the distributions.

non-locality of G_R $\xrightarrow{\text{absorbed by}}$ non-locality of f, s

Confirm QKT by QFT



Match the response to EM field

Using QED action in SK formalism

$$\mathcal{A}^\mu = (v_\perp^\mu + c_1 n^\mu)(v \cdot s) + c_2 s^\mu + c_3 \epsilon^{\mu\nu\rho\sigma} n_\nu v_\rho \partial_\sigma f + (\Delta \mathcal{A})_{\text{ext}}^\mu$$

$$c_1 = 1, \quad c_2 = \frac{m^2}{(n \cdot p)^2}, \quad c_3 = \frac{1}{n \cdot p}$$

there are several terms in $(\Delta \mathcal{A})_{\text{ext}}^\mu$, eg. $\frac{f_0(p)}{(n \cdot p)^2} \tilde{F}^{\mu\nu} v_\nu^\perp \sim \mathbf{v} \times \mathbf{E}$ (spin Hall effect)

where $f_0(p)$ is the original distribution.

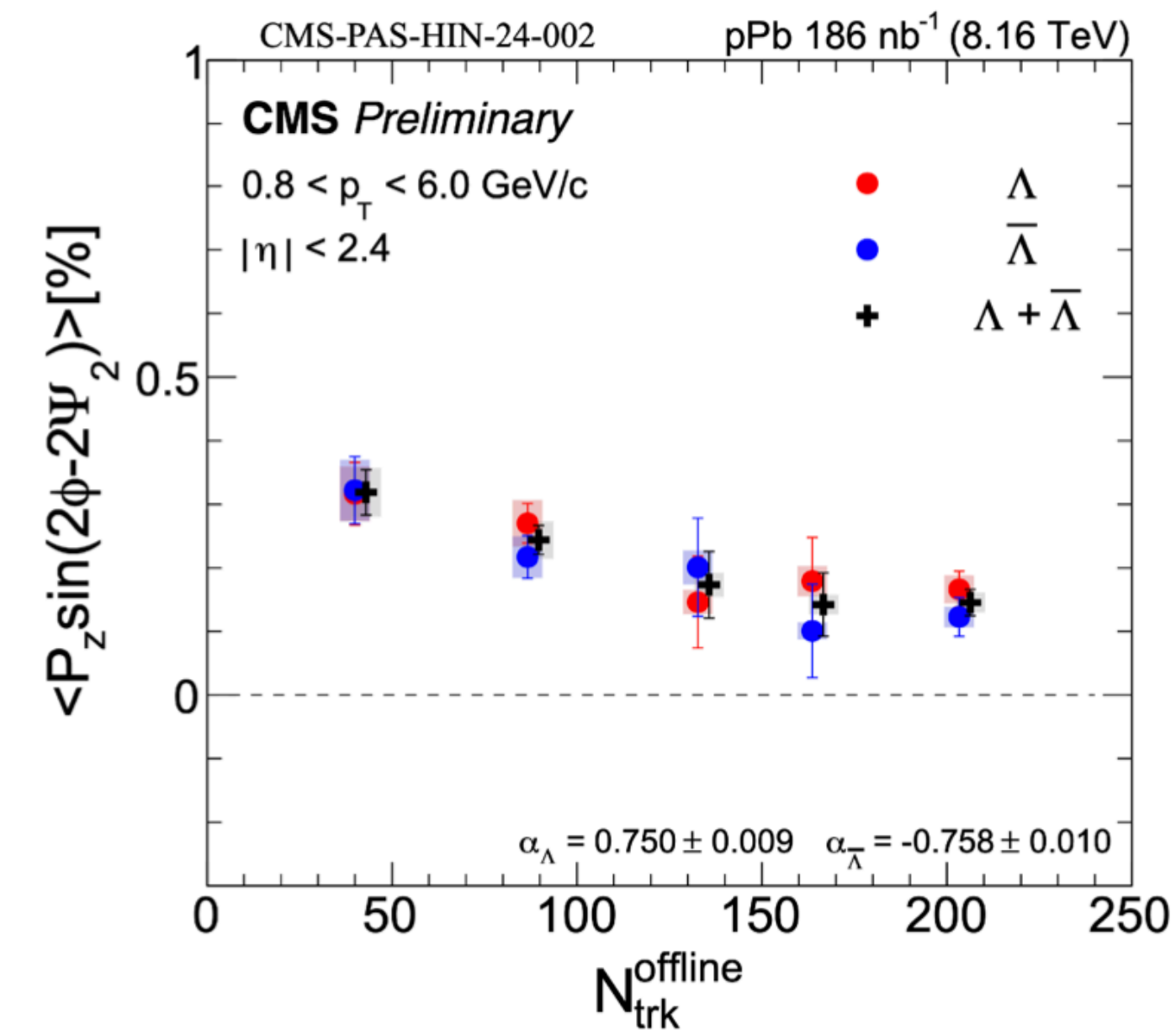
Spin generation in off-equilibrium

- Momentum and off-equilibrium distribution generate spin polarization (spin-motion correlation)

$$\mathcal{A} \propto \mathbf{v} \times \partial f \quad \epsilon^{\mu\nu\rho\sigma} n_\nu v_\rho \partial_\sigma f$$

- Differential polarization is present even without hydro. flow.

Surprising p-Pb results



CMS at SQM 24'

Summary

- Effective “bottom-up” construction of QKT with spin
- D.o.f.: f & s , 4 in total, less than traditional QKT;
- Observables: constitutive relations, systematically consider all the possible effects which can induce spin.
- The EoMs are simple to solve.
- Can be used in off-equilibrium system

THANK YOU!

Match the response to EM field

$$(\Delta \mathcal{A})_{\text{ext}}^{\mu} = (d_1 v_{\perp}^{\perp} + d_2 n_{\nu}) \tilde{F}^{\mu\nu} + d_3 (v_{\perp}^2 \Delta^{\mu\nu} - v_{\perp}^{\mu} v_{\perp}^{\nu}) \tilde{F}_{\nu\rho} n^{\rho} + d_4 n^{\mu} \tilde{F}_{\nu\rho} v_{\perp}^{\nu} n^{\rho}$$

$$\tilde{F}^{\mu\nu} = \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$$

Linear response: $d_1 = \frac{f_0(p)}{(n \cdot p)^2}$, $d_2 = 0$, $d_3 = \frac{f_0(p)}{(n \cdot p)^2}$, $d_4 = 0$

$$f = \frac{i F_{\mu\nu} v^{\mu} \partial_p^{\nu} f_0}{v \cdot q + i\epsilon}, \quad s^{\mu} = \frac{i \Pi_{\nu}^{\mu} \partial_p^{\nu} f_0}{v \cdot q + i\epsilon}$$

$$\Pi_{\nu}^{\mu} = \frac{1}{2n \cdot p} \tilde{F}^{\mu\rho} n_{\rho} q_{\nu} \partial_p^{\nu} f_0(p)$$