



2 Legs 0 1 eleeleer Received the eleveres 0 В R RR Loops 2000 RV V VV (мрі) 2 Meson A Baryon Antibaryon



Introduction & Overview

Fixed-Order pQCD State of the Art: NNLO (\rightarrow N³LO)

Resummation extends range of applicability: multi-scale problems MCs: Showers, MPI, Hadronization → Explicit collider studies Hadronization corrections, UE, IR sensitivity, tuning, measurement calibrations, detector response, ...

1. Can use off-the-shelf (LL) showers, e.g. with $MiNNLO_{PS}$

Based on POWHEG-Box ⊕ Analytical Resummation ⊕ NNLO normalisation Best you can do with LL showers but approximate; depends on some auxiliary scales & choices

2. This talk: VinciaNNLO

Based on nested shower-style phase-space generation with 2nd-order MECs
True NNLO matching (shower matches NNLO point by point) → Expect small matching systematics
So far only worked out for colour-singlet decays
Also developing extensions of the shower LL → NLL → NNLL (with L. Scyboz, B. El Menoufi)

Why go beyond Fixed-Order perturbation theory?

Simple example of a multi-scale observable:

- For an arbitrary hard process ($Q_{hard} \gg 1 \text{ GeV}$)
- Calculation of the fraction of events that pass a jet veto
 - (i.e., **no additional jets** resolved above Q_{veto}):

$$\frac{\text{LO}}{1} - \overline{\alpha_s(L^2 + L + F_1)} + \overline{\alpha_s^2(L^4 + L^3 + L^2 + L + F_2)} + \dots$$
$$L \propto \ln(Q_{\text{veto}}^2 / Q_{\text{hard}}^2)$$
$$\left(\text{Logs arise from integrals over propagators } \propto \frac{1}{q^2}\right)$$

The Case for Combining Fixed-Order Calculations with Resummations



Resummation extends domain of validity of perturbative calculations

Perturbation Theory as a Markov Chain

Stochastic differential evolution in "hardness" scale

 $d\sigma$ for generic observable "O", expressed as a Markov chain:

$$\frac{d\sigma}{dO} = \int d\Phi_0 \underbrace{|M_{Born}^{LO}|^2 (1 + F_{NLO} + ...)}_{Born-Level} \underbrace{\mathcal{S}(\Phi_0, O)}_{Shower}$$

(In general, the Fixed-Order matching coefficients M and F are **local** = functions of Φ_0)

A Simple FSR Shower

With only (iterated) $n \rightarrow n + 1$ kernels



NB: partition of phase space and branching probabilities onto different terms not shown here

Peter Skands

Branching Kernels (for single branchings)

Most bremsstrahlung is driven by **divergent propagators** \rightarrow simple universal structure, independent of process details

Amplitudes *factorise* in singular limits



Collinear limits → DGLAP splitting kernels:

$$|\mathcal{M}_{F+1}(\ldots,a,b,\ldots)|^2 \xrightarrow{a||b} g_s^2 \mathcal{C} \frac{P(z)}{2(p_a \cdot p_b)} |\mathcal{M}_F(\ldots,a+b,\ldots)|^2$$

Soft limits $(E_g/Q \rightarrow 0) \rightarrow dipole$ factors (same as classical):

$$|\mathcal{M}_{F+1}(\ldots,i,j,k\ldots)|^2 \stackrel{j_g \to 0}{\to} g_s^2 \mathcal{C} \frac{(p_i \cdot p_k)}{(p_i \cdot p_j)(p_j \cdot p_k)} |\mathcal{M}_F(\ldots,i,k,\ldots)|^2$$

These limits are not independent; they overlap in phase space. How to treat the two consistently has given rise to **many** individual approaches: **Angular ordering, angular vetos, dipoles, global antennae, sector antennae**, ...

DGLAP, Dipoles, Antennae



Note: this is (intentionally) oversimplified. Many subtleties (recoil strategies, gluon parents, global vs sector, colour factors, initial-state partons, mass terms) not shown.

VinciaNNLO



Idea: Use (nested) Shower Markov Chain as NNLO Phase-Space Generator

Harnesses the power of showers as efficient phase-space generators for QCD Pre-weighted with the (leading) QCD singular structures = soft/collinear poles



Different from conventional Fixed-Order phase-space generation (eg VEGAS)



VinciaNNLO



Continue shower afterwards ...

No auxiliary / unphysical scales

 \Rightarrow expect small matching systematics



Need:

1 Born-Local NNLO ($\mathcal{O}(\alpha_s^2)$) *K*-factors: $k^{\text{NNLO}}(\Phi_0)$

2 NLO ($\mathcal{O}(\alpha_s^2)$) MECs in the first $2 \rightarrow 3$ shower emission: $k_{2\rightarrow 3}^{\text{NLO}}(\Phi_1)$

3 LO ($\mathcal{O}(\alpha_s^2)$) MECs for next (iterated) $2 \rightarrow 3$ shower emission: $k_{3\rightarrow 4}^{\text{LO}}(\Phi_2)$

4 Direct $2 \rightarrow 4$ branchings for unordered sector, with LO ($\mathcal{O}(\alpha_s^2)$) MECs: $k_{2\rightarrow 4}^{\text{LO}}(\Phi_2)$

Based on Sector Antenna Showers Lopez-Villarejo & PS 1109.3608 Brooks, Preuss & PS 2003.00702

Example: $Z \rightarrow q\bar{q}ggg$

Sector antennae Kosower, hep-ph/9710213 hep-ph/0311272 (+ Larkoski & Peskin 0908.2450, 1106.2182)

Divide the *n*-gluon phase space up into *n* non-overlapping sectors

Inside each of which **only the most singular** (~"classical") kernel is allowed to contribute.

Lorentz-invariant sector definitions based on "ARIADNE p_T": Gustafson & Pettersson, NPB 306 (1988) 746

 $p_{\perp j}^2 = \frac{s_{ij}s_{jk}}{s_{ijk}}$ with $s_{ij} \equiv 2(p_i \cdot p_j)$ (+ generalisations for heavy-quark emitters) Brooks, Preuss & PS 2003.00702

→ Unique properties (which are useful for matching):

Clean scale definitions; shower operator is **bijective** & true **Markov chain**

Sectorization:

When 2 is "softest", the **only** contributing history is

2 emitted by 1 and 3 No "sum over histories"

NNLO Matching as a Markov chain



Campbell, Höche, Li, Preuss, PZS, 2108.07133

Focus on hadronic Z decays (for now)

"Two-loop MEC"

$$\langle O \rangle_{\text{Vincia}}^{\text{NNLO+PS}} = \int d\Phi_0 B(\Phi_0) k_0^{\text{NNLO}}(\Phi_0) \mathcal{S}(\Phi_0, O)$$

Ingredients:

- **1** Born-Local NNLO ($\mathcal{O}(\alpha_s^2)$) K-factors: $k^{\text{NNLO}}(\Phi_0)$
- **2** NLO ($\mathcal{O}(\alpha_s^2)$) MECs in the first $2 \rightarrow 3$ shower emission: $k_{2\rightarrow 3}^{\text{NLO}}(\Phi_1)$
- **3** LO ($\mathcal{O}(\alpha_s^2)$) MECs for next (iterated) $2 \rightarrow 3$ shower emission: $k_{3\rightarrow 4}^{\text{LO}}(\Phi_2)$
- ④ Direct 2 → 4 branchings for "unordered sector", with LO ($\mathcal{O}(\alpha_s^2)$) MECs: $k_{2\to4}^{\text{LO}}(\Phi_2)$ $\mathcal{S}(\Phi_n, O) = \mathcal{S}_{+1}(\Phi_n, O) + \mathcal{S}_{+2}(\Phi_n, O)$

Why do we need direct $2 \rightarrow 4$ Branchings?

Iterated MECs not possible with off-the-shelf showers

E.g., strong p_{\perp} -ordering **cuts out** part of the second-order phase space



Example: $Z \rightarrow qgg\bar{q}$

Double-differential distribution in $\frac{p_{\perp_1}}{m_7}$ & $\frac{p_{\perp_2}}{p_{\perp_1}}$



• Weight each Born-level event by local K-factor



Note: requires "Born-local" NNLO subtraction terms

Not an immediate issue: trivial for decays; simple for colour-singlet production.

In general simple if shower kinematics preserve $\Phi_{
m Born}$ variables; otherwise compute "sector jet rates"

The Shower Operator (its 2nd-order expansion)

This is the part that differs most from standard fixed-order methods

Recall: the +1 and +2 phase spaces are generated via nested sequences of shower-style branchings. Each of which produces an **all-orders** expansion!

We expand these to second order and correct them to NNLO



Coefficients of the Perturbative Expansions



Note: shower coefficients not independent — tied together by universality (\rightarrow) and unitarity (\checkmark)! Also: shower "observable" \equiv fully differential rates in each of the (nested) phase spaces

2 & **3** Iterated $2 \rightarrow 3$ Branchings with NNLO Corrections

Key Aspect:

Up to matched order, include process-specific $\mathcal{O}(\alpha_s^2)$ corrections into shower evolution

2 Correct 1st branching to (fully differential) NLO 3-jet rate [Hartgring, Laenen, PZS (2013)]

$$\Delta_{2\to3}^{\mathrm{NLO}}\left(\frac{m_{Z}}{2}, p_{\perp1}\right) = \exp\left\{-\int_{p_{\perp1}}^{\frac{m_{Z}}{2}} \mathrm{d}\Phi_{[0]+1} \frac{|M_{Z\to3}^{\mathrm{LO}}(\Phi_{1})|^{2}}{|M_{Z\to2}^{\mathrm{LO}}(\Phi_{0})|^{2}} k_{Z\to3}^{\mathrm{NLO}}(\Phi_{0}, \Phi_{+1})\right\}$$



Allowing for NLO correction factor $k_{Z\rightarrow 3}^{\text{NLO}}(\Phi_0, \Phi_{+1})$ (will return to this)

 $\textbf{Correct 2^{nd} branching to LO ME} \quad [Giele, Kosower, PZS (2011); Lopez-Villarejo, PZS (2011)] } \Delta_{3 \to 4}^{\text{LO}}(p_{\perp 1}, p_{\perp 2}) = \exp\left\{-\int_{p_{\perp 2}}^{p_{\perp 1}} \mathrm{d}\Phi_{[1]+1} \frac{|M_{Z \to 4}^{\text{LO}}(\Phi_2)|^2}{|M_{Z \to 3}^{\text{LO}}(\Phi_1)|^2}\right\}$



Entirely based on sectorization and (iterated) Matrix-Element Corrections

(Sectorization defines $d\Phi_{[n]+1}$ and allows to use simple ME ratios instead of partial-fractionings)

Caveat: Double-Unresolved Phase-Space Points

Iterated shower branchings are strictly ordered in shower p_T

Not all 4-parton phase-space points can be reached this way!

- In general, strong ordering cuts out part of the double-real phase space
 - ~ double-unresolved regions; no leading logs here but can contain subleading ones

Vice to Virtue: [Li, PZS (2017)]

Divide double-emission phase space into **strongly-ordered** and **unordered** regions (according to the shower ordering variable)

Unordered clusterings ⇔ new direct double branchings

Complementary phase-space regions:

 $d\Phi_{[0]+2} = \Theta(\hat{p}_{\perp 1} - p_{\perp 2})d\Phi_{[0]+1}d\Phi_{[1]+1} + \Theta(\hat{p}_{\perp 1} + p_{\perp 2})d\Phi_{[0]+2}$

Generated by iterated, ordered branchings $\Theta(p_{\perp 1} + p_{\perp 2}) d\Phi_{[0]+2}$ Generated by new direct

 $2 \rightarrow 4$ branchings



Vice to Virtue: Define Ordered and Unordered Phase-Space Sectors

Ordered clusterings ⇔ iterated single branchings Unordered clusterings ⇔ new direct double branchings



Observation: for direct double-branchings, Q_B defines the physical resolution scale

Corresponding Feynman diagram(s) have highly **off-shell** intermediate propagator



Intermediate "clustered" **on-shell** 3-parton state at (C) is merely a convenient stepping stone in phase space ⇒ integrate out

(New: Direct $2 \rightarrow 4$ Double-Branching Generator)

Derived in: Li & PZS, A Framework for Second-Order Showers, PLB 771 (2017) 59



→ First generate physical scale $p_{\perp 2}$, then generate $0 < \hat{p}_{\perp} < p_{\perp 2}$ + two z and φ choices

Validation: combining iterated $2 \rightarrow 3$ and direct $2 \rightarrow 4$ branchings



Slide adapted from C. Preuss

NNLO

Summary: Shower Markov chain with NNLO Corrections

 $\begin{array}{ll} & \textbf{Orrect 1st (2 \rightarrow 3) branching to (fully differential) NLO 3-jet rate [Hartgring, Laenen, PZS 2013]} \\ & \Delta_{2\rightarrow3}^{\mathrm{NLO}}\left(\frac{m_Z}{2}, p_{\perp 1}\right) = \exp \left\{ -\int_{p_{\perp 1}}^{\frac{m_Z}{2}} \mathrm{d}\Phi_{[0]+1} \frac{|M_{Z\rightarrow3}^{\mathrm{LO}}(\Phi_1)|^2}{|M_{Z\rightarrow2}^{\mathrm{LO}}(\Phi_0)|^2} k_{Z\rightarrow3}^{\mathrm{NLO}}(\Phi_0, \Phi_{+1}) \right\} \begin{array}{c} & \text{Iterated:} \\ & \text{(Ordered)} \\ & \frac{m_Z}{2} \end{array} \right.$

3 Correct 2^{nd} (3 \rightarrow 4) branching to LO ME [Giele, Kosower, PS (2011); Lopez-Villarejo, PZS 2011]

$$\Delta_{3\to4}^{\mathrm{LO}}(p_{\perp1},p_{\perp2}) = \exp\left\{-\int_{p_{\perp2}}^{p_{\perp1}} \mathrm{d}\Phi_{[1]+1}^{\mathrm{Ord}} \frac{|M_{Z\to4}^{\mathrm{LO}}(\Phi_2)|^2}{|M_{Z\to3}^{(0)}(\Phi_1)|^2}\right\}$$

(Ordered)

$$p_{\perp 1} > p_{\perp 2}$$

 $p_{\perp 1} > p_{\perp 2}$
 $p_{\perp 2} > p_{\perp 2}$

Direct:

 $p_{11} < p_{12}$

 m_{Z} (Unordered)

4 Add direct $2 \rightarrow 4$ branching and correct it to LO ME [Li, PZS 2017] $\int \int \int_{-\infty}^{p_{\perp 1}} |M_{Z \rightarrow 4}^{\text{LO}}(\Phi_2)|^2$

$$\Delta_{2 \to 4}^{\mathrm{LO}}(p_{\perp 1}, p_{\perp 2}) = \exp\left\{-\int_{p_{\perp 2}}^{p_{\perp 1}} \mathrm{d}\Phi_{[2]+2}^{\mathrm{Unord}} \frac{|M_{Z \to 4}^{\mathrm{CO}}(\Phi_{2})|^{2}}{|M_{Z \to 2}^{\mathrm{LO}}(\Phi_{0})|^{2}}\right\}$$

Entirely based on MECs and Sectorization

By construction, expansion of extended shower **matches** NNLO singularity structure. **But** shower kernels **do not** define NNLO subtraction terms* (!) p_{12}

Real-Virtual Corrections: NLO MECs (2)

$$k_{2\mapsto3}^{\rm NLO} = (1 + w_{2\mapsto3}^{\rm V})$$

Hartgring, Laenen, PZS (2013) Campbell, Höche, Li, Preuss, PZS, <u>2108.07133</u>

Local correction given by three terms:

$$w_{2\mapsto3}^{V}(\Phi_{0},\Phi_{+1}) = \left(\text{RV}(\Phi_{0},\Phi_{+1}) + \text{I}^{\text{NLO}}(\Phi_{0},\Phi_{+1};t_{1}) \right)$$

$$\text{NLO Born} + \int_{0}^{t_{1}} d\Phi_{+1}' \left(\text{RR}(\Phi_{0},\Phi_{+1},\Phi_{+1}') - \text{S}^{\text{NLO}}(\Phi_{0},\Phi_{+1},\Phi_{+1}') \right) \right) \frac{1}{\text{R}(\Phi_{0},\Phi_{+1})}$$

$$\text{NLO Born} - \left(\text{V}(\Phi_{0}) + \text{I}^{\text{NLO}}(\Phi_{0}) + \int_{0}^{t_{0}} d\Phi_{+1}' \left(\text{R}(\Phi_{0},\Phi_{+1}') - \text{S}^{\text{NLO}}(\Phi_{0},\Phi_{+1}') \right) \right) \frac{1}{\text{B}(\Phi_{0})}$$

$$\text{Shower} + \left(\frac{\alpha_{s}}{2\pi} \log \left(\frac{\kappa_{\text{CMW}}^{2} \mu_{\text{R}}^{2}}{\mu_{\text{R}}^{2}} \right) + \int_{t_{1}}^{t_{0}} d\Phi_{+1}' A_{2\mapsto3}(\Phi_{+1}') k_{2\mapsto3}^{\text{LO}}(\Phi_{0},\Phi_{+1}') \right)$$

Calculation can be **(semi-)automated**, given a suitable NLO subtraction scheme (C. Preuss had a crucial realisation to separate this from the terms generated by the shower)

Size of the Real-Virtual Correction Factor (2)

$$k_{2\to3}^{\rm NLO} = (1 + w_{2\to3}^{\rm V})$$

studied analytically in detail for $Z \rightarrow q\bar{q}$ in Hartgring, Laenen, PS JHEP 10 (2013) 127



 \Rightarrow now: generalisation & (semi-)automation in VINCIA in form of NLO MECs

Preview: VinciaNNLO for $H \rightarrow b\bar{b}$



Preview: VinciaNNLO for $H \rightarrow b\bar{b}$





For Thrust, NNLO $H \rightarrow b\bar{b}$

LO for $\tau > 1/3$

For D parameter, NNLO $H \rightarrow b\bar{b}$ = LO shower gener Festhilde

corrections over entire range

Preview: VinciaNNLO for $H \rightarrow bb$

Fermilab



VINCIA NNLO+PS: shower as phase-space generator: efficient & no negative weights!

► Looks ~ 5 x faster than EERAD3* (for equivalent unweighted stats)

+ is matched to shower + can be hadronized

Proof of concepts now done for $Z/H \rightarrow q\bar{q}$; work remains for pp (& for NⁿLL accuracy)

* Already quite optimised: uses analytical MEs, "folds" phase space to cancel azimuthally antipodal points, and uses antenna subtraction (\rightarrow smaller # of NLO subtraction terms than Catani-Seymour or FKS).

Summary



Shower-style phase-space generation \otimes $2^{nd}\text{-}order$ MECs

Exploits sectorization \rightarrow defines $d\Phi_{[n]+1}$, unique scales, and allows to use simple ME ratios (instead of sums over partial-fractionings)

Ingredients:

- **1** Born-Local NNLO ($\mathcal{O}(\alpha_s^2)$) *K*-factors: $k^{\text{NNLO}}(\Phi_0)$
- **2** NLO ($\mathcal{O}(\alpha_s^2)$) MECs in the first $2 \to 3$ shower emission: $k_{2\to3}^{\text{NLO}}(\Phi_1)$
- **3** LO ($\mathcal{O}(\alpha_s^2)$) MECs for next (iterated) $2 \rightarrow 3$ shower emission: $k_{3\rightarrow 4}^{\text{LO}}(\Phi_2)$
- **4** Direct $2 \rightarrow 4$ branchings for "unordered sector", with LO ($\mathcal{O}(\alpha_s^2)$) MECs: $k_{2\rightarrow 4}^{\text{LO}}(\Phi_2)$

Elaborate proofs of concept for $Z \rightarrow q\bar{q}$ and $H \rightarrow q\bar{q}$

Now working to make public in **Pythia 8** (with J. Altmann, B. El Menoufi, C. Preuss, L Scyboz) **Outlook:** underlying shower \rightarrow NLL & NNLL; extend to pp, and matching \rightarrow N³LO

Extra Slides

MECs are extremely simple in sector showers

In global antenna subtraction & in conventional dipole/antenna showers:

Total **gluon-collinear DGLAP kernel** is partial-fractioned among neighbouring "sub-antenna functions" → factorially growing number of contributing terms in each phase-space point



 \Rightarrow Sector kernels can be replaced by direct ratios of (colour-ordered) tree-level MEs:

Global shower:
$$A_{IK \to ijk}^{\text{glb}}(i, j, k) \to A_{IK \to ijk}^{\text{glb}} \frac{|M_{n+1}(\dots, i, j, k, \dots)|^2}{\sum_{h \in \text{histories}} A_h |M_n(\dots, I_h, K_h, \dots)|^2} = \frac{\text{complicated}}{\sum_{\substack{\text{Fischer & Prestel} \\ \text{EPJC77(2017)9}}}$$

Sector shower: $A_{IK \to ijk}^{\text{sct}}(i, j, k) \to \frac{|M_{n+1}(\dots, i, j, k, \dots)|^2}{|M_n(\dots, I, K, \dots)|^2} = \frac{\text{simple}}{\sum_{\substack{\text{Lopez-Villarejo & PZS JHEP 11 (2011) 150}}}$

Note: can just use ME also in denominator, not shower kernel, since we matched at previous order "already"

Colour MECs

Sector kernels can be replaced by ratios of (colour-ordered) tree-level MEs:

Global shower:
$$A_{IK \to ijk}^{\text{glb}}(i, j, k) \to A_{IK \to ijk}^{\text{glb}} \frac{|M_{n+1}(\dots, i, j, k, \dots)|^2}{\sum_{h \in \text{histories}} A_h |M_n(\dots, I_h, K_h, \dots)|^2} = \frac{\text{complicated}}{\frac{[\text{Fischer & Prestel}]}{1706.06218]}}$$

Sector shower: $A_{IK \to ijk}^{\text{sct}}(i, j, k) \to \frac{|M_{n+1}(\dots, i, j, k, \dots)|^2}{|M_n(\dots, I, K, \dots)|^2} = \text{simple} [\text{Lopez-Villarejo & PS 1109.3608}]$

Can also incorporate (fixed-order) sub-leading colour effects by "colour MECs":

[Giele, Kosower, PS, 1102.2126]

$$w_{\rm col} = \frac{\sum_{\alpha,\beta} \mathcal{M}_{\alpha} \mathcal{M}_{\beta}^*}{\sum_{\alpha} |\mathcal{M}_{\alpha}|^2}$$

Example: $Z \rightarrow q\bar{q} + 2g$

$$\begin{split} P_{\text{MEC}} &= w_{\text{col}} \frac{A_4^0(1_q, 3_g, 4_g, 2_{\bar{q}})}{A_3^0(\widetilde{13}_q, \widetilde{34}_g, 2_{\bar{q}})} \theta(p_{\perp, 134}^2 < p_{\perp, 243}^2) + w_{\text{col}} \frac{A_4^0(1_q, 3_g, 4_g, 2_{\bar{q}})}{A_3^0(1_q, \widetilde{34}_g, \widetilde{23}_{\bar{q}})} \theta(p_{\perp, 243}^2 < p_{\perp, 134}^2) \\ w_{\text{col}} &= \frac{A_4^0(1, 3, 4, 2) + A_4^0(1, 4, 3, 2) - \frac{1}{N_{\text{C}}^2} \widetilde{A}_4^0(1, 3, 4, 2)}{A_4^0(1, 3, 4, 2) + A_4^0(1, 4, 3, 2)} \end{split}$$

Colour-Ordered Projectors

Better: use smooth projectors [Frixione et al. 0709.2092]

$$\operatorname{RR}(\Phi_3, \Phi_{+1}') = \sum_j \frac{C_{ijk}}{\sum_m C_{\ell mn}} \operatorname{RR}(\Phi_3, \Phi_{ijk}^{\operatorname{ant}}), \quad C_{ijk} = A_{IK \mapsto ijk} \operatorname{R}(\Phi_3)$$

- But: antenna-subtraction term not positive-definite!
- To render this well-defined, need to work on colour-ordered level

$$\mathrm{RR} = \mathcal{C} \sum_{\alpha} \mathrm{RR}^{(\alpha)} - \frac{\mathcal{C}}{N_{\mathrm{C}}^2} \sum_{\beta} \mathrm{RR}^{(\beta)} \pm \dots$$

• Different colour factors enter with different sign, but no sign changes within one term

$$\mathcal{C}\left[\frac{C_{ijk}}{\sum\limits_{m}C_{\ell mn}}\frac{\mathrm{RR}^{(\alpha)}(\Phi_3,\Phi^{\mathrm{ant}}_{ijk})}{\mathrm{R}(\Phi_3)}-A_{IK\mapsto ijk}\right]$$

⇒ Numerically better behaved, uses standard antenna-subtraction terms