

# **Multi-Messenger Probes of Primordial Black Holes formed in First-Order Phase Transitions**

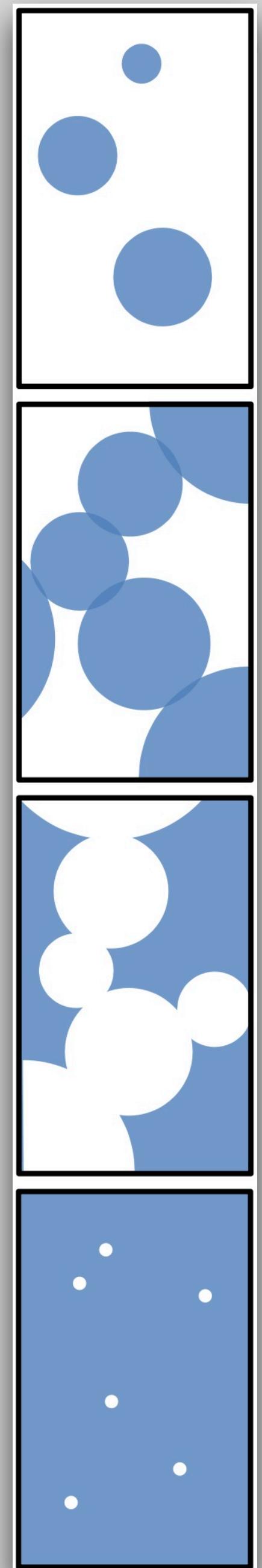
The Mitchell Conference  
May 24 2024

**Presenter: Cash Hauptmann**  
University of Nebraska, Lincoln

**In collaboration with B. Dutta, P. Huang, A. Thompson**

# Content

- Particle Model
  - ▶ Minimal requirements
  - ▶ first-order phase transitions (FOPTs)
- Primordial black hole (PBH) formation
  - ▶ trapping particles in the false vacuum
  - ▶ PBH constraints
- Gravitational waves (GW) production
  - ▶ peak signals from FOPTs
- Multi-messenger probes
  - ▶ PBH constraints + GW probes



# Particle Model

# Particle Model Requirements

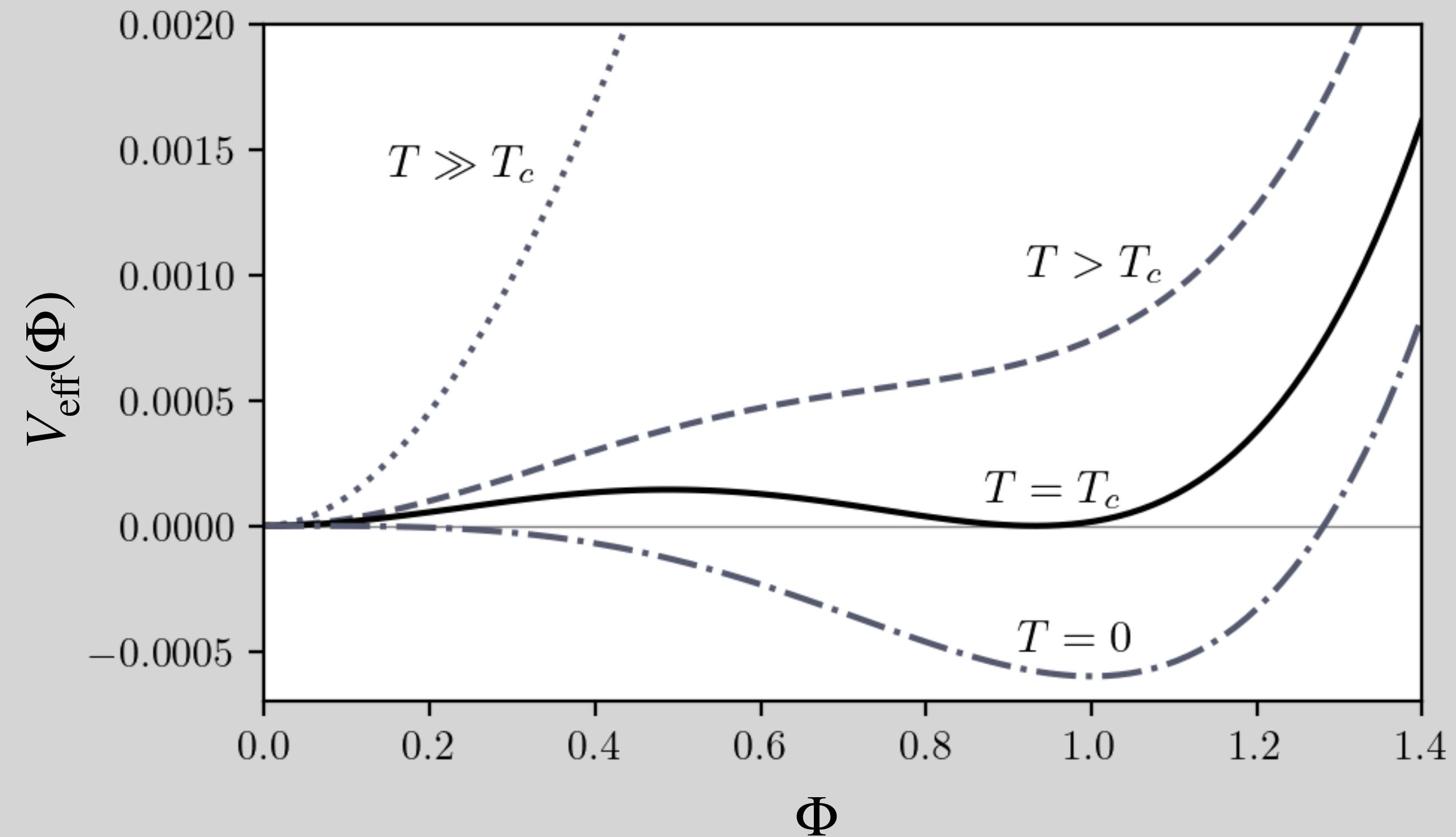
## Symmetry-breaking scalar + Yukawa fermion

- A scalar field  $\Phi$  acquires some nonzero vacuum expectation value  $\langle\Phi\rangle$  through thermal and radiative effects

$$V_{\text{eff}}(\Phi) = \lambda\Phi^4 + V(\Phi, T)$$

- A fermionic field  $\chi$  gains mass through a Yukawa interaction with  $\Phi$

$$\mathcal{L} \supset -Y\Phi\bar{\chi}\chi$$

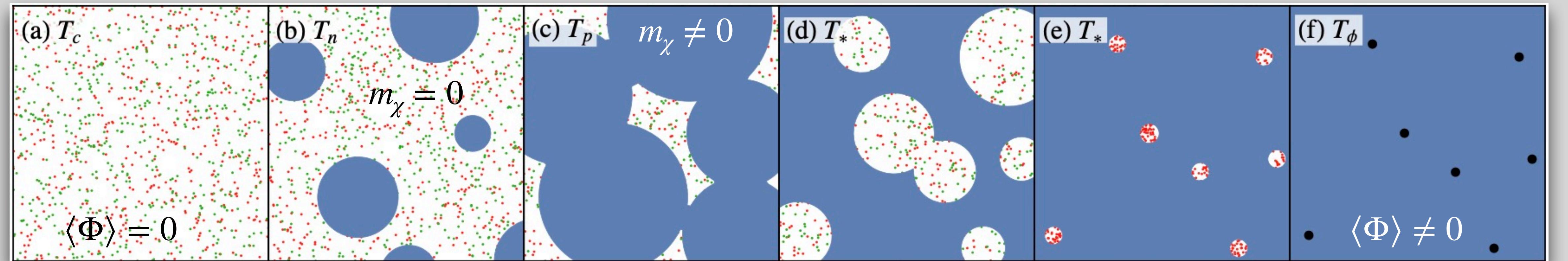


→ This talk uses conformal  $B - L$  models

# **Primordial Black Hole (PBH) Formation Mechanism**

# Primordial Black Hole (PBH) Formation in first-order phase transitions (FOPTs)

- In FOPTs, stochastic quantum tunneling is responsible for  $\langle \Phi \rangle = 0 \rightarrow \langle \Phi \rangle \neq 0$
- When tunneling occurs, bubbles of the *new, “true” vacuum nucleate and expand*
- Particles may be unable to penetrate bubble walls into the true vacuum
- As the FOPT completes, trapped particles may form PBHs

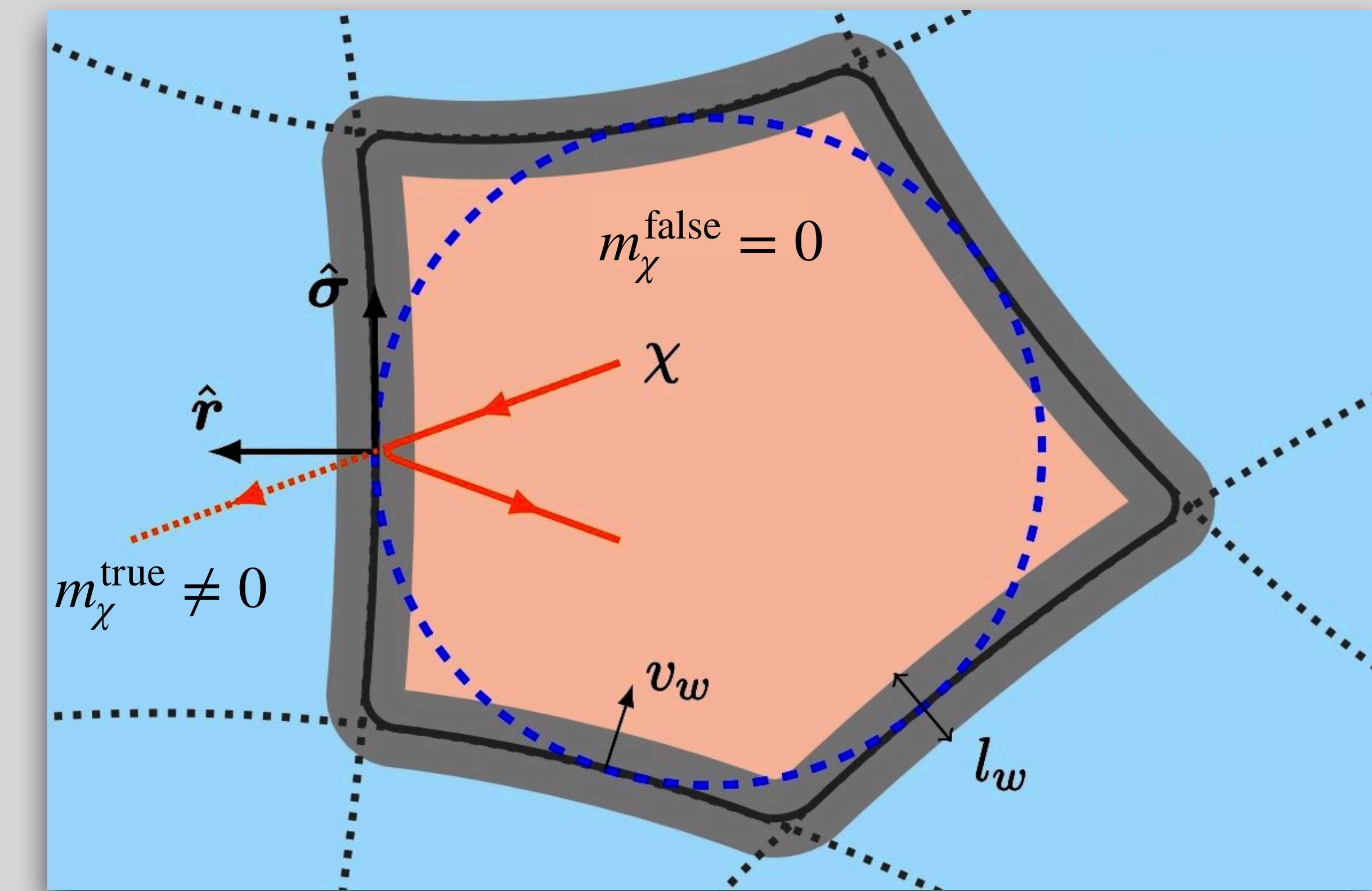


Kawana, Xie [2106.00111]

# Primordial Black Hole (PBH) Formation

## Trapping particles

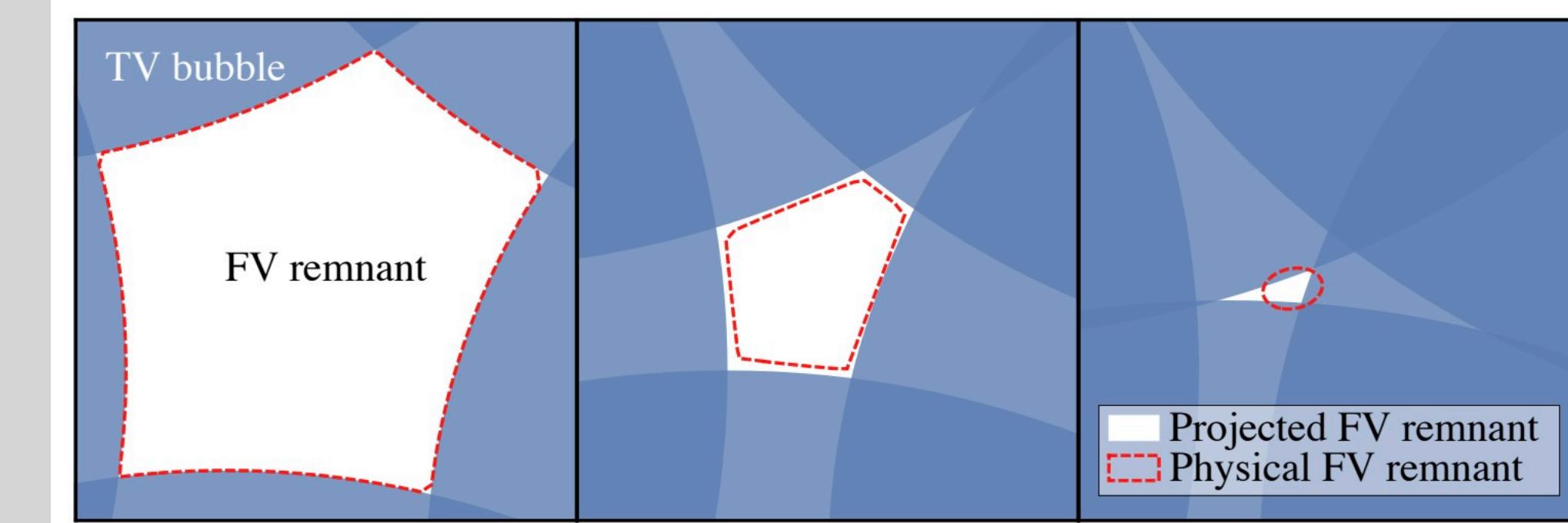
- Energy conservation: particles can cross bubble walls if they have sufficient momentum to overcome their mass gap
- To trap enough massless particles for PBH formation,  $m_\chi^{\text{true}} > p_\chi$
- Approximate trapping condition:  
 $m_\chi^{\text{true}} \gtrsim T_{\text{PT}}$



Baker, Breitbach, Kopp, Mittnacht [2110.00005]

# PBH Distribution

## Collapsing false-vacuum remnants



Lu, Kawana, Xie [2202.03439]

- False-vacuum remnant distribution  $\implies$  **PBH distribution**

$$\rho_{\text{PBH}} = \int dM M \frac{dn_{\text{PBH}}}{dM} = \int dM M \frac{dn_{\text{fv}}}{dR} \left( \frac{dM}{dR} \right)^{-1}$$

false-vacuum  
remnants

spherical PBH

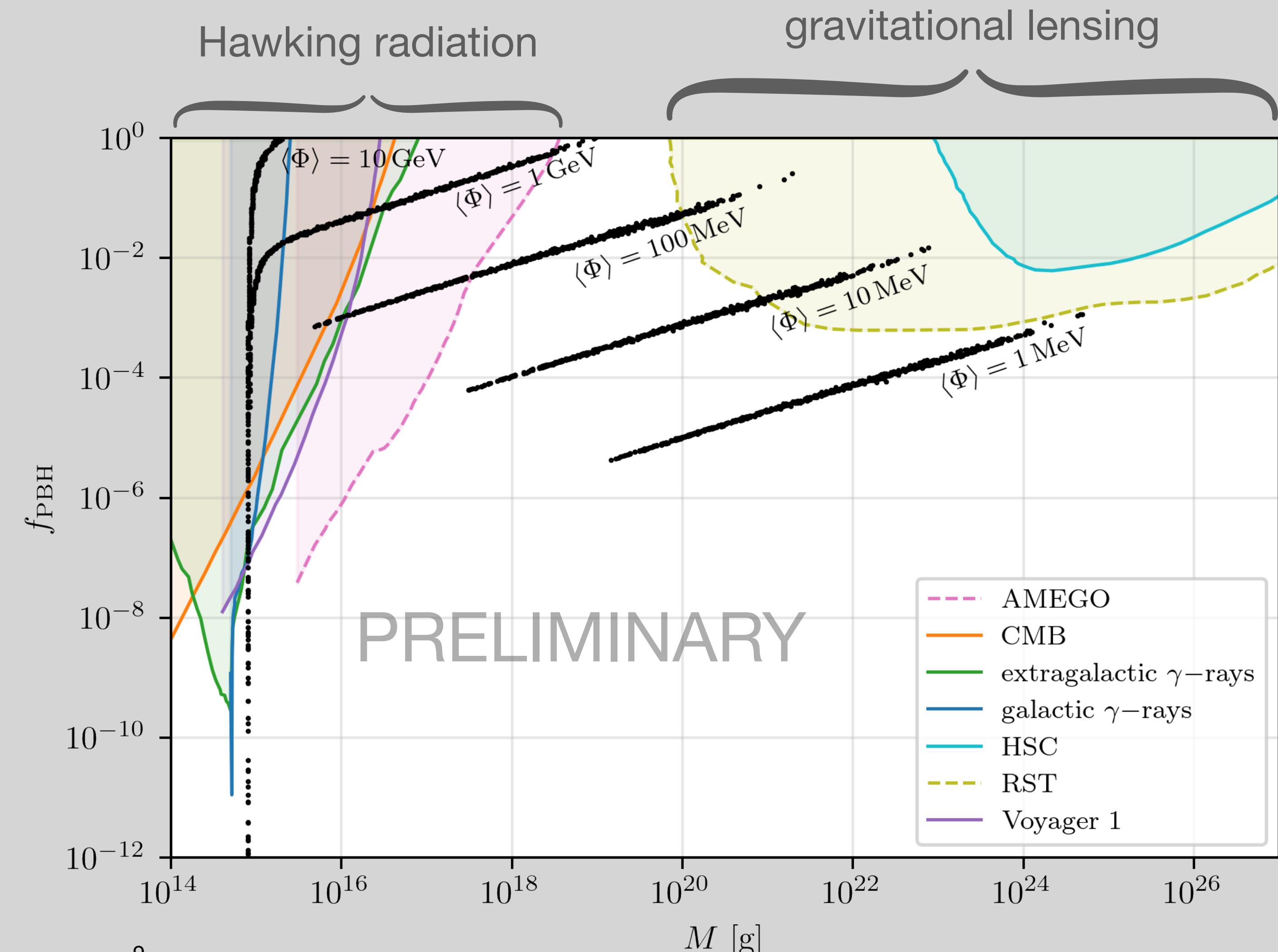
$R$  is the remnant  
“radius” at  $T_{\text{PT}}$

- Models with  $m_\chi^{\text{true}} > T_{\text{PT}}$  are assumed one PBH per false-vacuum remnant

$f_{\text{PBH}}$

## Fraction of dark matter comprised of compact objects

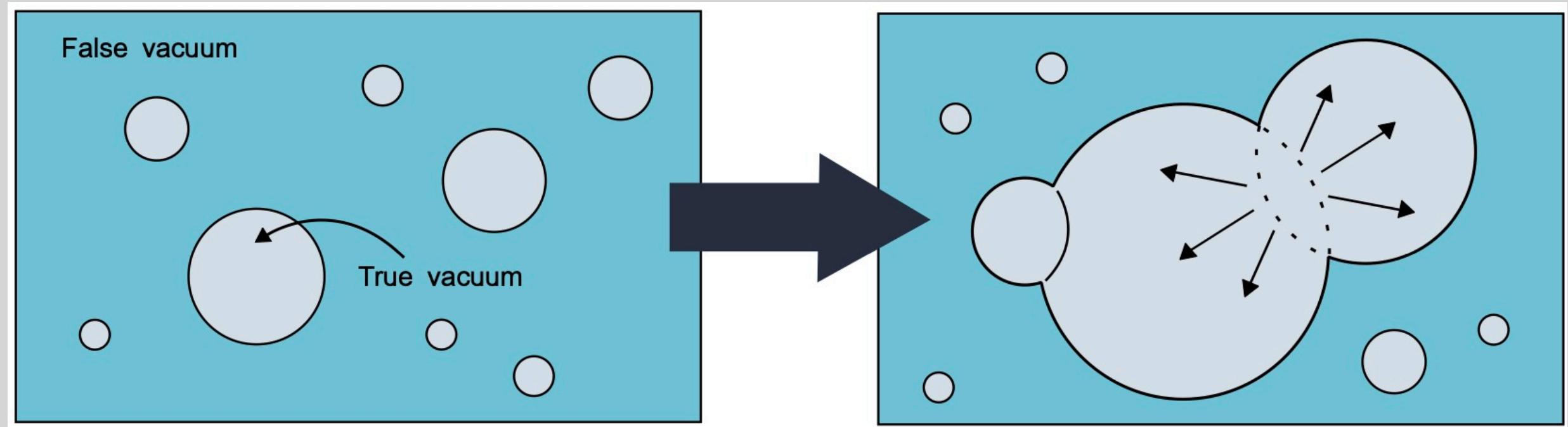
- PBH distributions from **conformal  $B - L$  models**
- $M = \text{initial PBH mass}$
- $$f_{\text{PBH}} \equiv \frac{\Omega_{\text{PBH}}}{\Omega_{\text{DM}}} = \frac{1}{\Omega_{\text{DM}}} \frac{\rho_{\text{PBH}}(t_0)}{\rho_c}$$
- **Different  $f_{\text{PBH}}$  constraints can probe different energy scales**



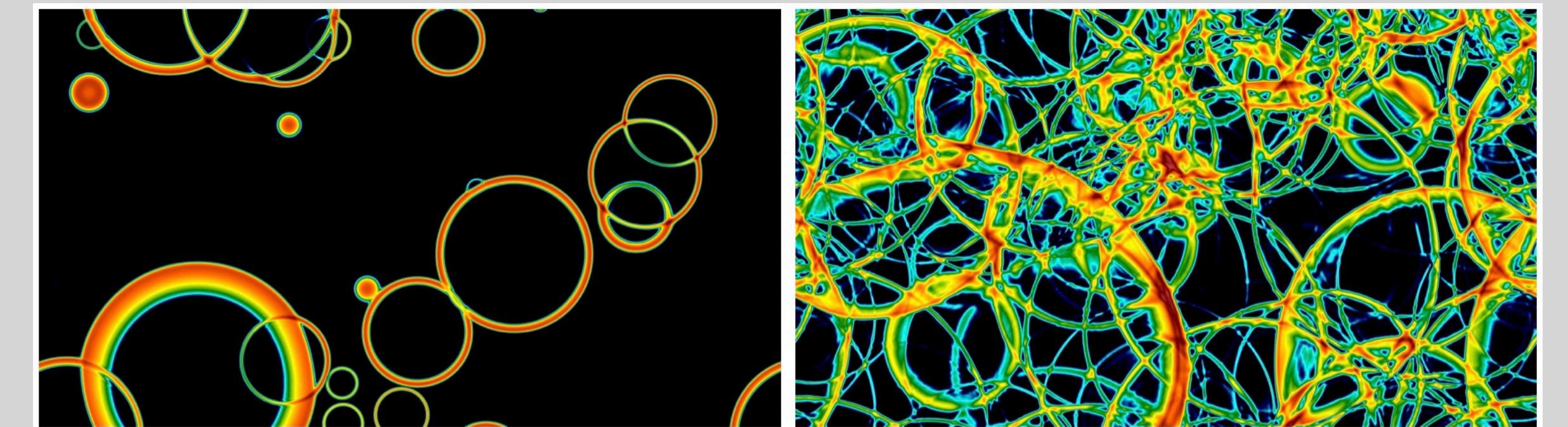
# **Gravitational Waves (GW)**

# Gravitational Waves (GW) Production

- FOPTs produce **stochastic GW backgrounds** mainly due to
  1. sound waves in the plasma
  2. bubble wall collisions
  3. magnetohydrodynamic turbulence
- Wave energies and frequencies are measured
- The next slide shows energies and frequencies of the **peak GW signal** from scanned FOPTs



Weir 2019

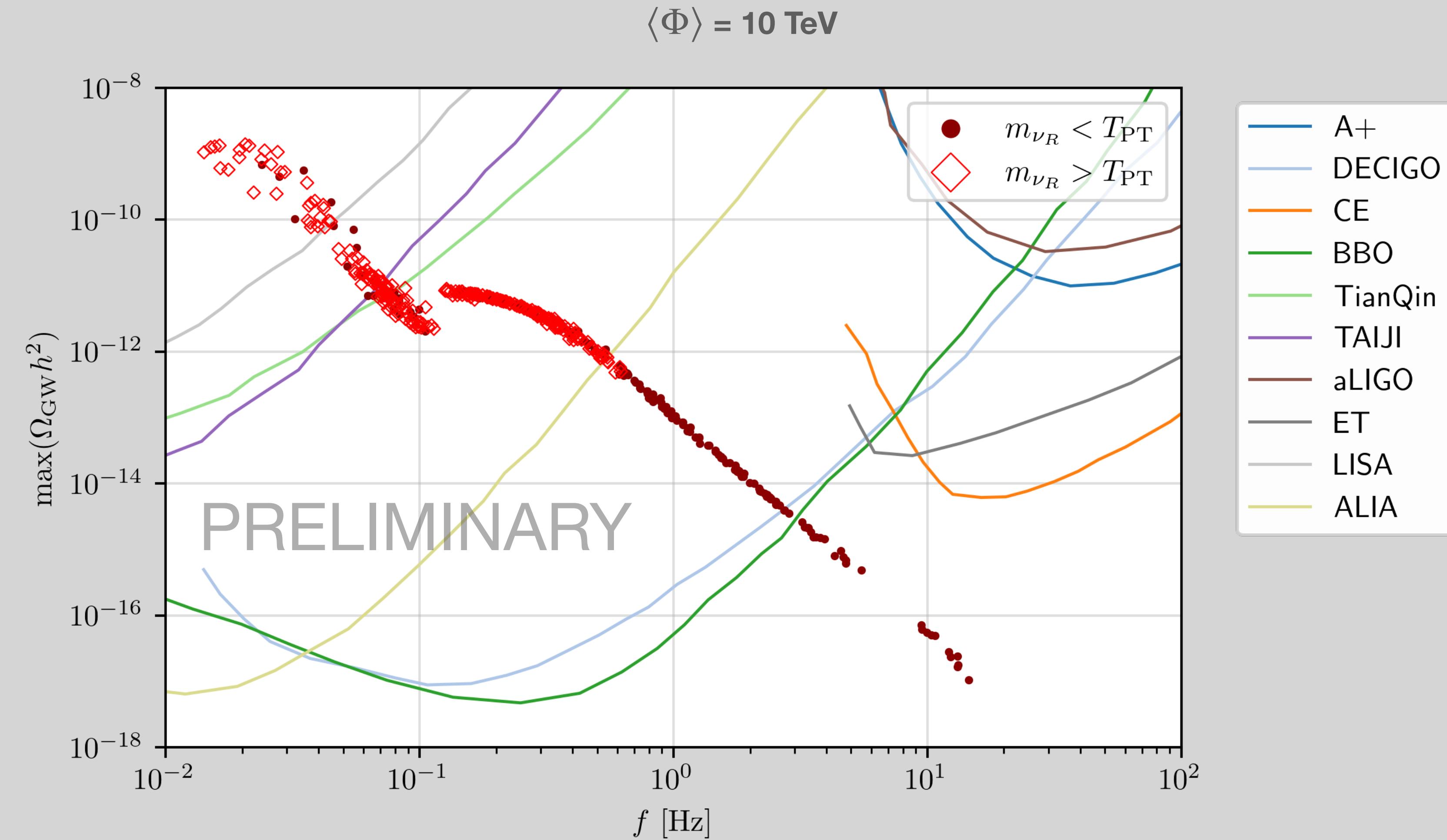


Weir [1705.01783]

# Gravitational Waves Signal

## Peaks of GW energy

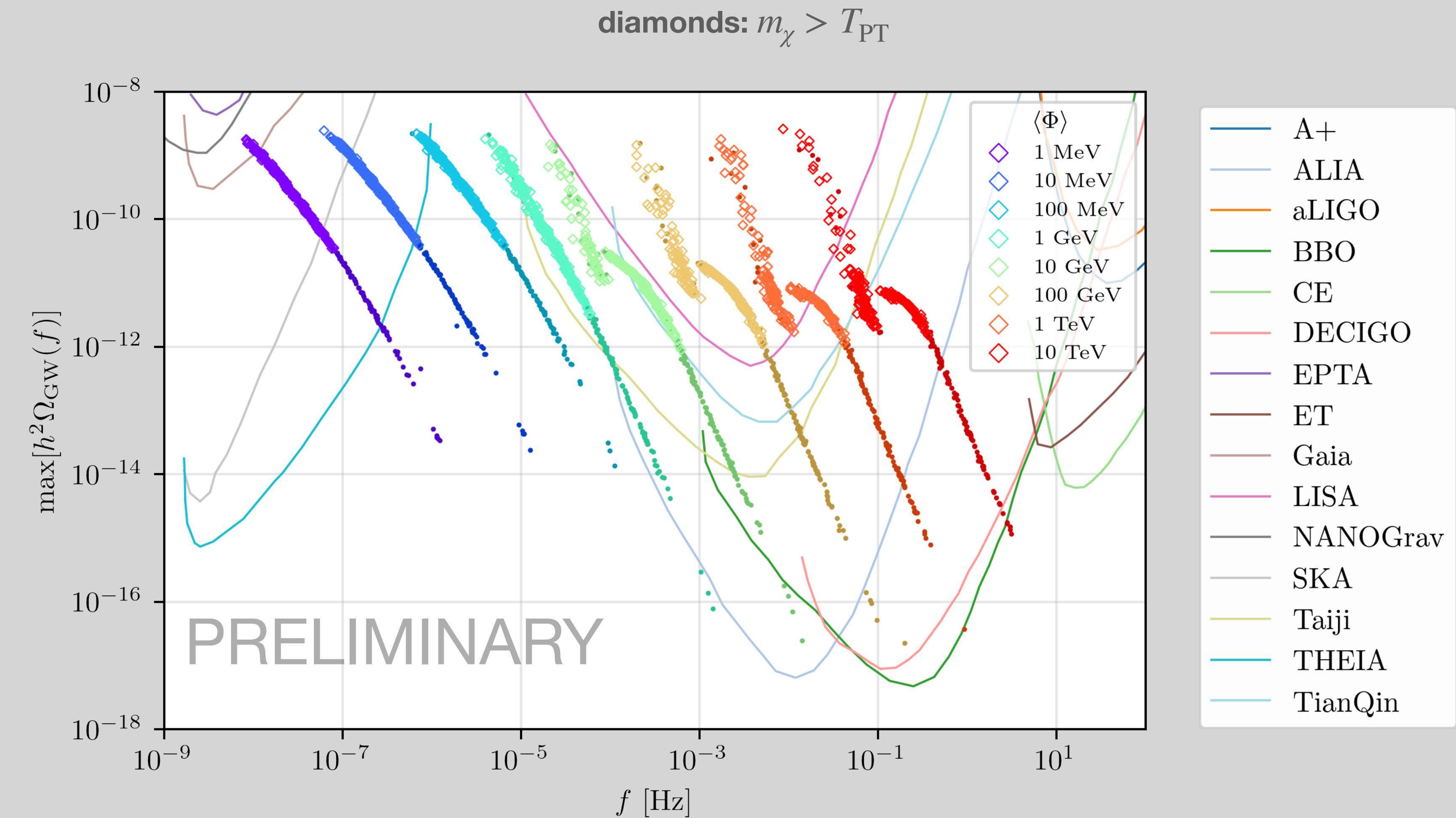
- Red: successful FOPT
- Light red:  $m_\chi > T_{\text{PT}}$  (favorable for PBH formation)
- Colored lines: *projected* experimental sensitivities
- **Discontinuity is not physical**



# Gravitational Waves (GW) Signal

## Peak GW signals

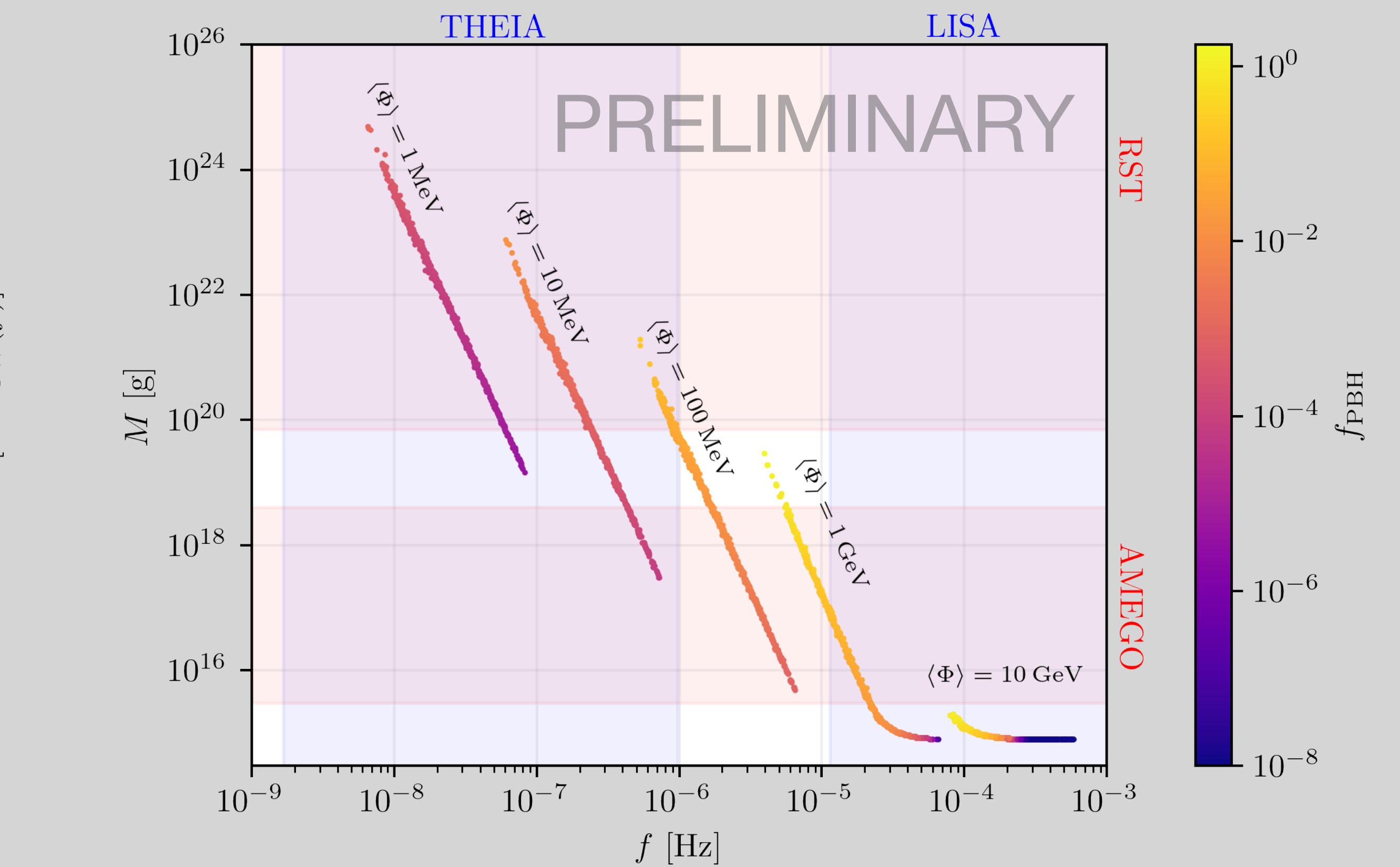
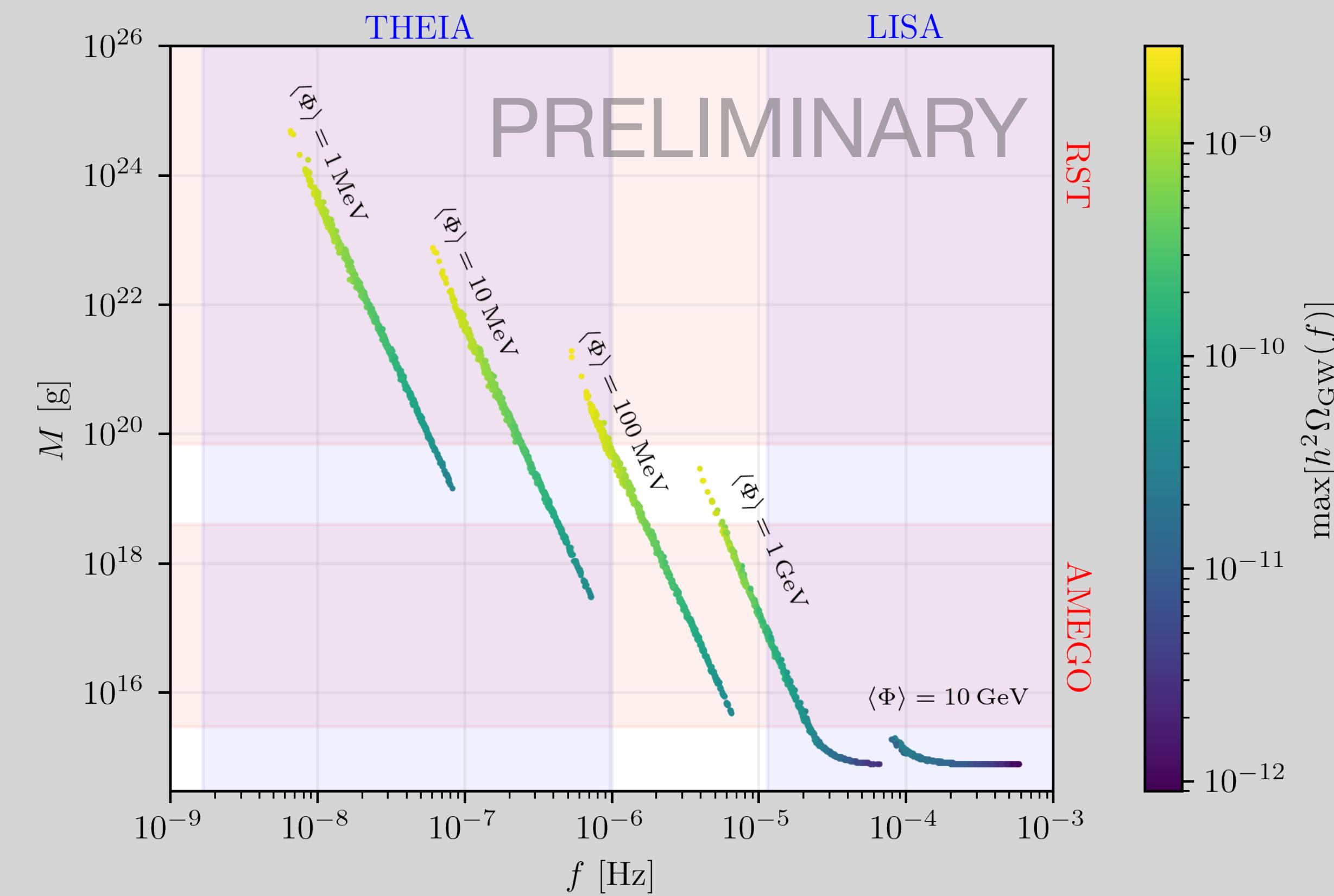
- Point: successful FOPT
- Diamond: favorable for PBH formation
- Colored lines: projected experimental sensitivities
- **Different GW experiments can probe different energy scales**



# Multi-Messenger Probes

# Multi-Messenger Probes

## Mixed parameter space



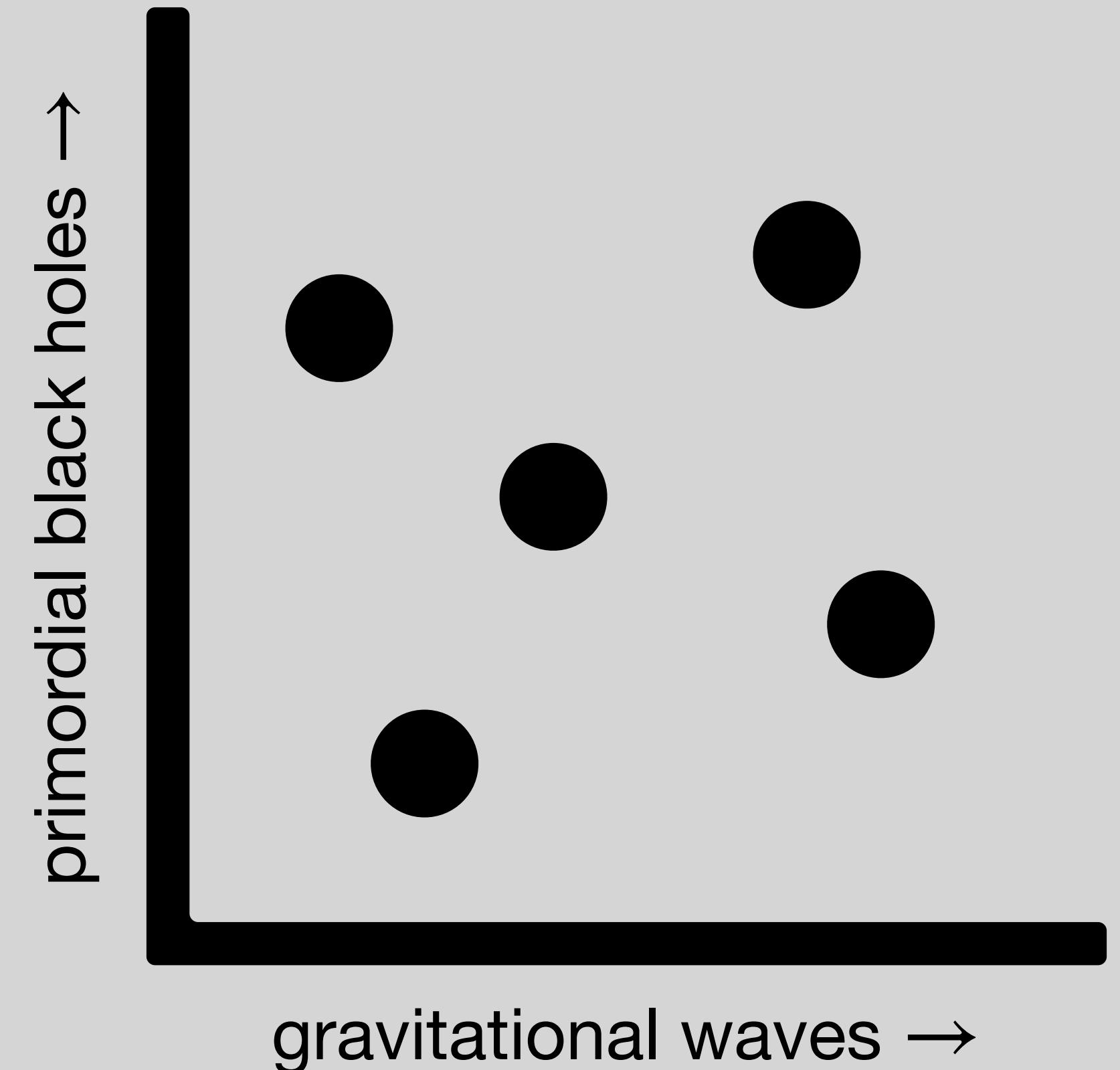
Similar studies:

Marfatia, Tseng [2112.14588]  
Xie [2301.02352]

# Summary

## Multi-messenger probes of PBHs/FOPTs

- Phase transitions occur in any particle physics model with symmetry breaking
- FOPTs can be studied through their **GW production**
- Some models may **produce PBHs** at the end of their FOPT
- Models can be constrained by **GW + PBH probes** (multi-messenger astronomy)



**more**

# Minimal $B - L$ Model

$B - L$ : baryon number minus lepton number

- The SM “accidentally” conserves  $B - L$  in perturbative interactions
- $B - L$  models make the symmetry explicit, introducing a **vector gauge boson**:

$$D_\mu = \partial_\mu - \underbrace{ig_s \lambda_\alpha G_\mu^\alpha}_{\text{SU(3)}_c} - \underbrace{ig \sigma_j W_\mu^j}_{\text{SU(2)}_L \times \text{U(1)}_Y} - \underbrace{ig' Y B_\mu}_{\text{U(1)}_{B-L}} - \underbrace{ig_{B-L} Q_{B-L} Z'_\mu}_{\text{U(1)}_{B-L}}$$

- Symmetry breaking is done through a **scalar field**  $\Phi$

$$V_{\text{eff}}(\Phi) = \lambda_\Phi \Phi^4 + V(\Phi, T)$$

- Anomalies are cancelled when **three right-handed neutrinos (RHNs)** are introduced:

$$\mathcal{L} \supset -\overline{\nu_R^c} Y \nu_R \Phi / 2$$

# Minimal $B - L$ Parameter Space

- Redefine parameters for convenience:

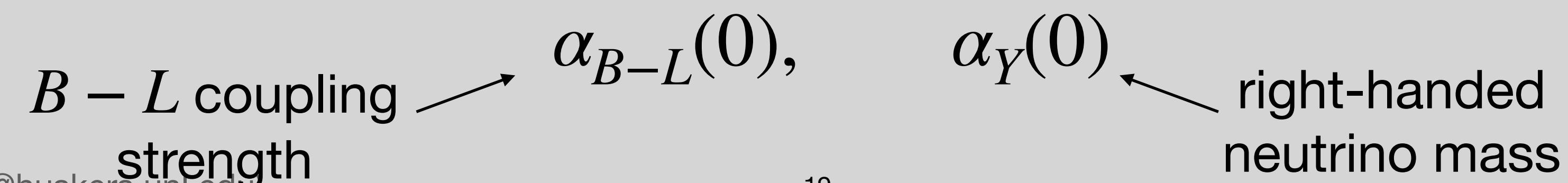
$$\alpha_{B-L} \equiv \frac{g_{B-L}^2}{4\pi} \quad \alpha_Y \equiv \frac{Y^2}{4\pi} \quad \alpha_\lambda \equiv \frac{\lambda_\Phi}{4\pi}$$

- Renormalization requires these parameters to depend on a **renormalization scale  $\mu$**

$$\alpha_{B-L} = \alpha_{B-L}(t) \quad \alpha_Y = \alpha_Y(t) \quad \alpha_\lambda = \alpha_\lambda(t)$$

with  $t \equiv \ln(\max[\Phi, T]/\mu)$ .

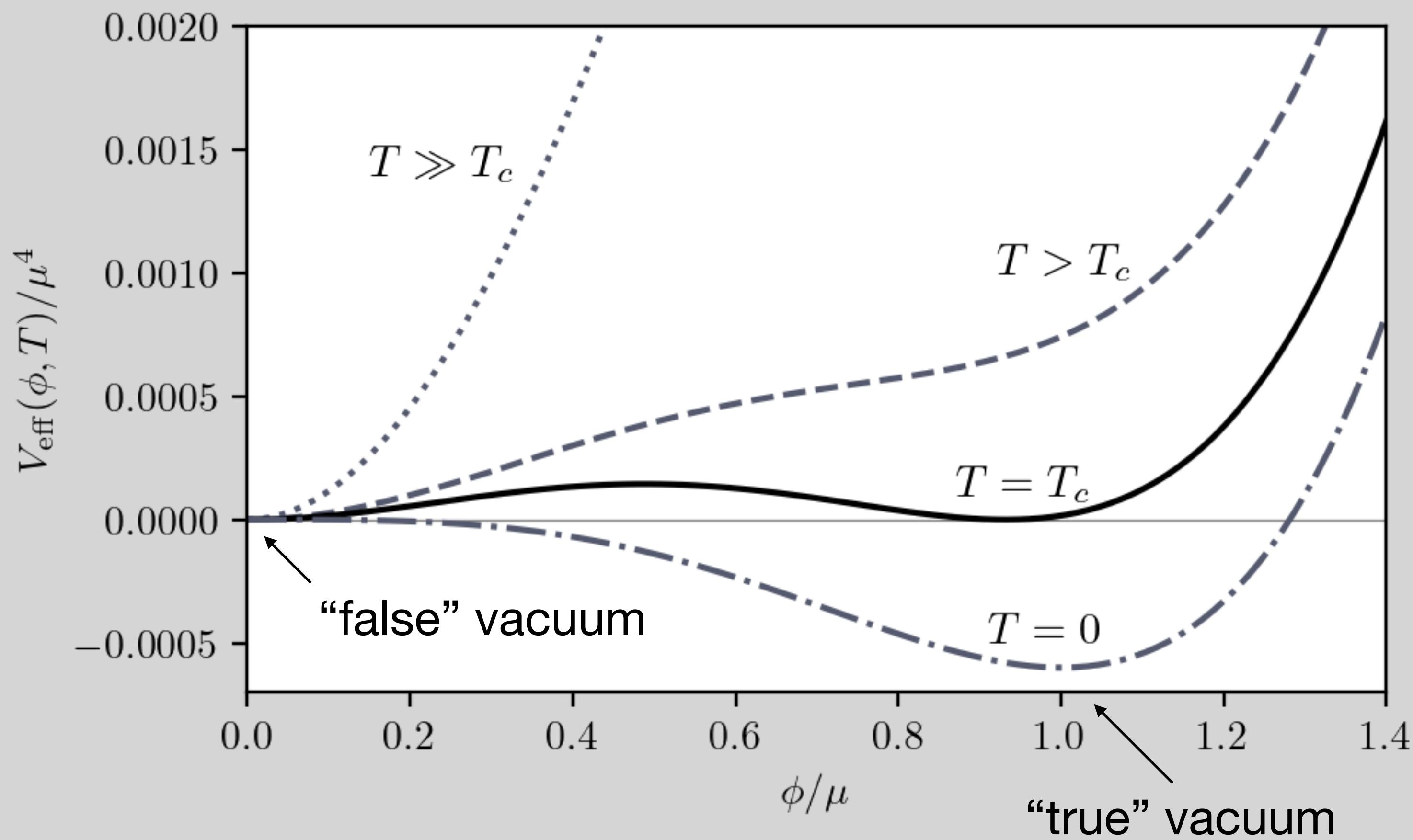
- Solving the RG equations (and therefore the potential) only requires “**two input parameters**” for our theory:



# Minimal $B - L$ Model

## First-order phase transitions

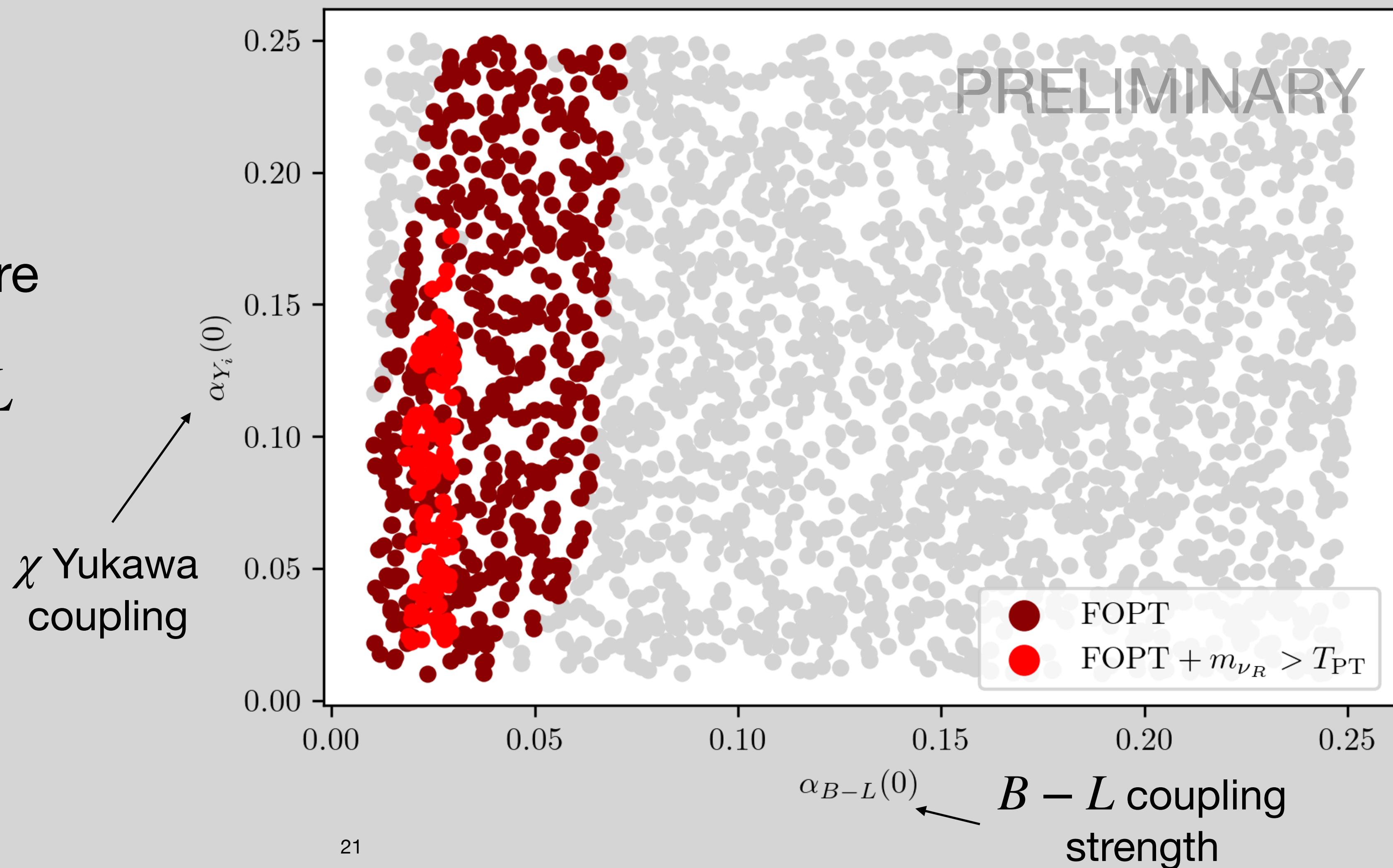
- $V_{\text{eff}}$  has a global minimum at high  $T$
- As  $T$  decreases, new local minima form
- All minima become degenerate at  $T_c$
- **If a barrier in  $V_{\text{eff}}$  separates the minima, quantum tunneling can occur**
  - ▶ This is what makes the phase transition first-order



# Minimal $B - L$ Model

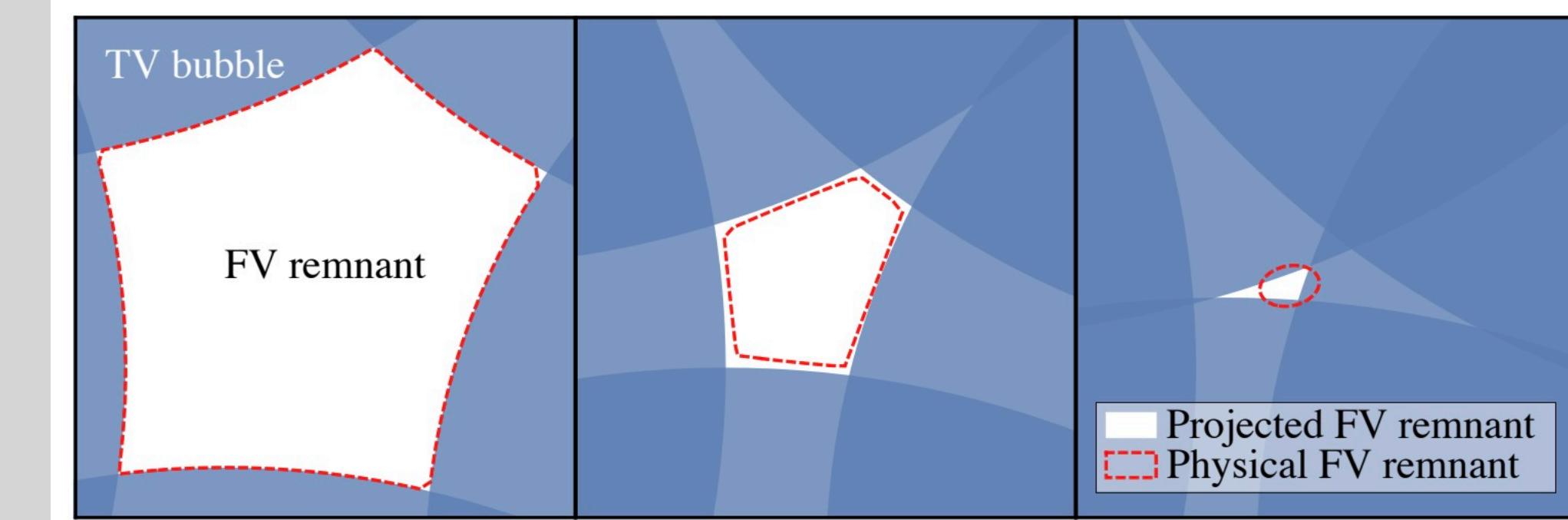
## First-order phase transition scan

- Red: successful FOPT
- Light red:  $\chi$  mass gain is larger than the temperature
- **FOPTs favor small  $B - L$  coupling, but are more agnostic to Yukawa coupling**



# PBH Distribution

## Collapsing false-vacuum remnants



Lu, Kawana, Xie [2202.03439]

- Calculating the nucleation and expansion rates of true-vacuum bubbles allows you to find distribution statistics of false-vacuum remnants:

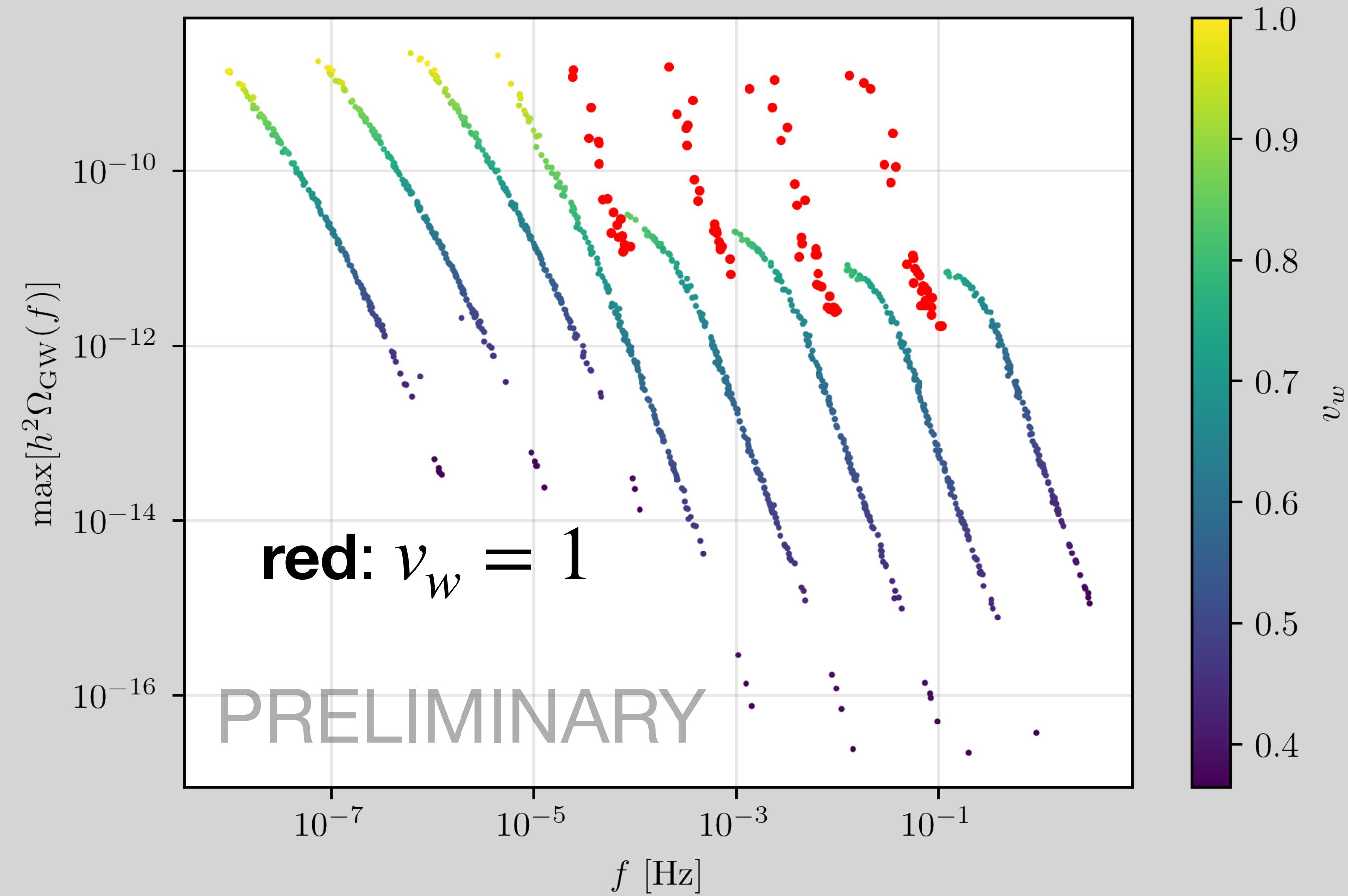
$$\frac{dn_{\text{fv}}}{dR_0} \approx \frac{I_{\text{PT}}^4 \beta^4}{192 v_w^3} e^{(4R_0\beta/v_w) - I_{\text{PT}} e^{R_0\beta/v_w}} \left( 1 - e^{-I_{\text{PT}} e^{R_0\beta/v_w}} \right), \quad \beta/H(T_{\text{PT}}) \gg 1$$

- Models with  $m_\chi^{\text{true}} > T_{\text{PT}}$  are assumed to form one PBH per false-vacuum remnant.
- False-vacuum remnant distribution  $\implies$  **PBH distribution**

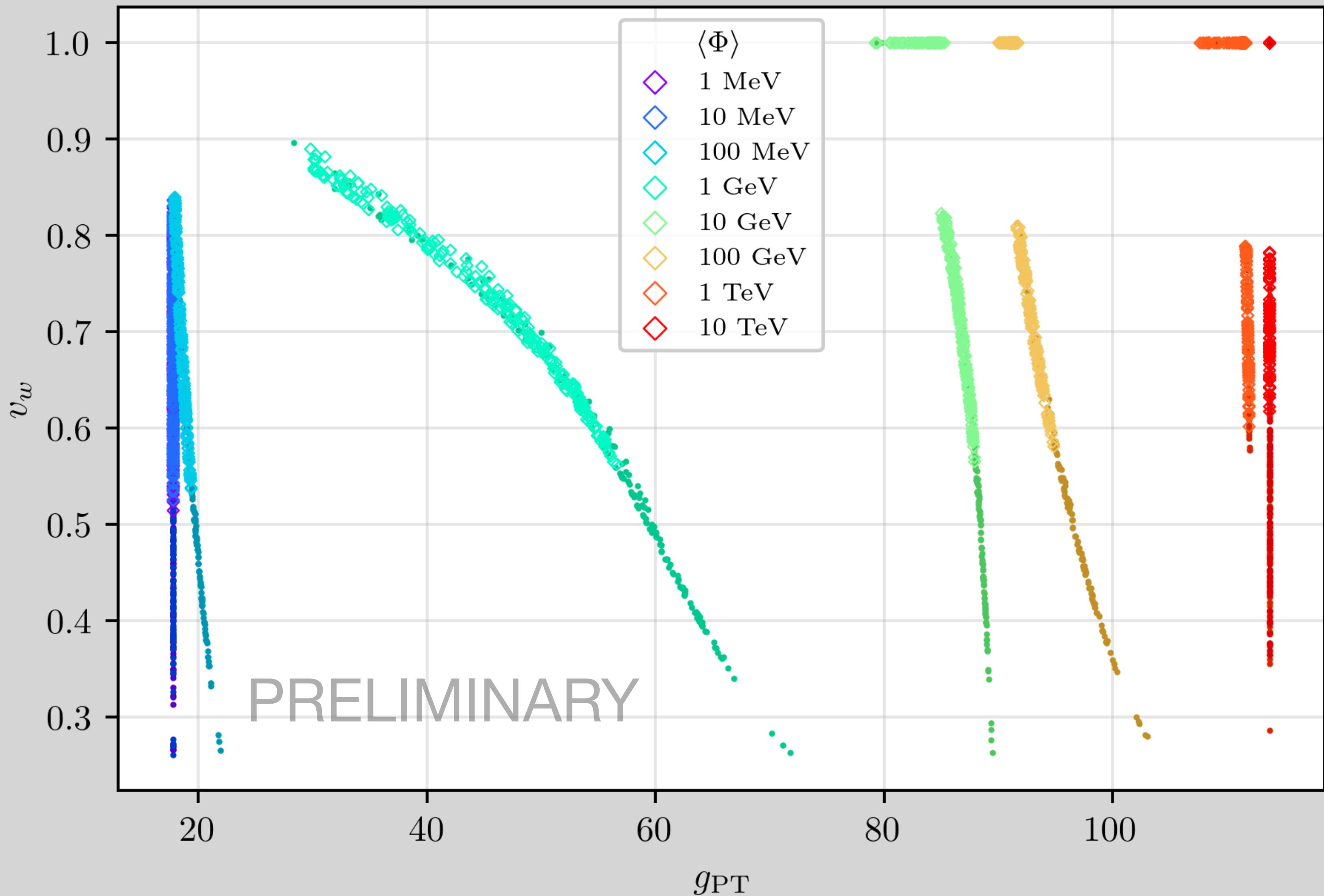
# discontinuity

$$\nu_w = \begin{cases} \sqrt{\frac{\Delta V}{\alpha \rho_r}} & \text{for } \sqrt{\frac{\Delta V}{\alpha \rho_r}} < \nu_J(\alpha) \\ 1 & \text{for } \sqrt{\frac{\Delta V}{\alpha \rho_r}} \geq \nu_J(\alpha) \end{cases}$$

$$\nu_J(\alpha) = \frac{1 + \sqrt{3\alpha^2 + 2\alpha}}{\sqrt{3}(1 + \alpha)}$$



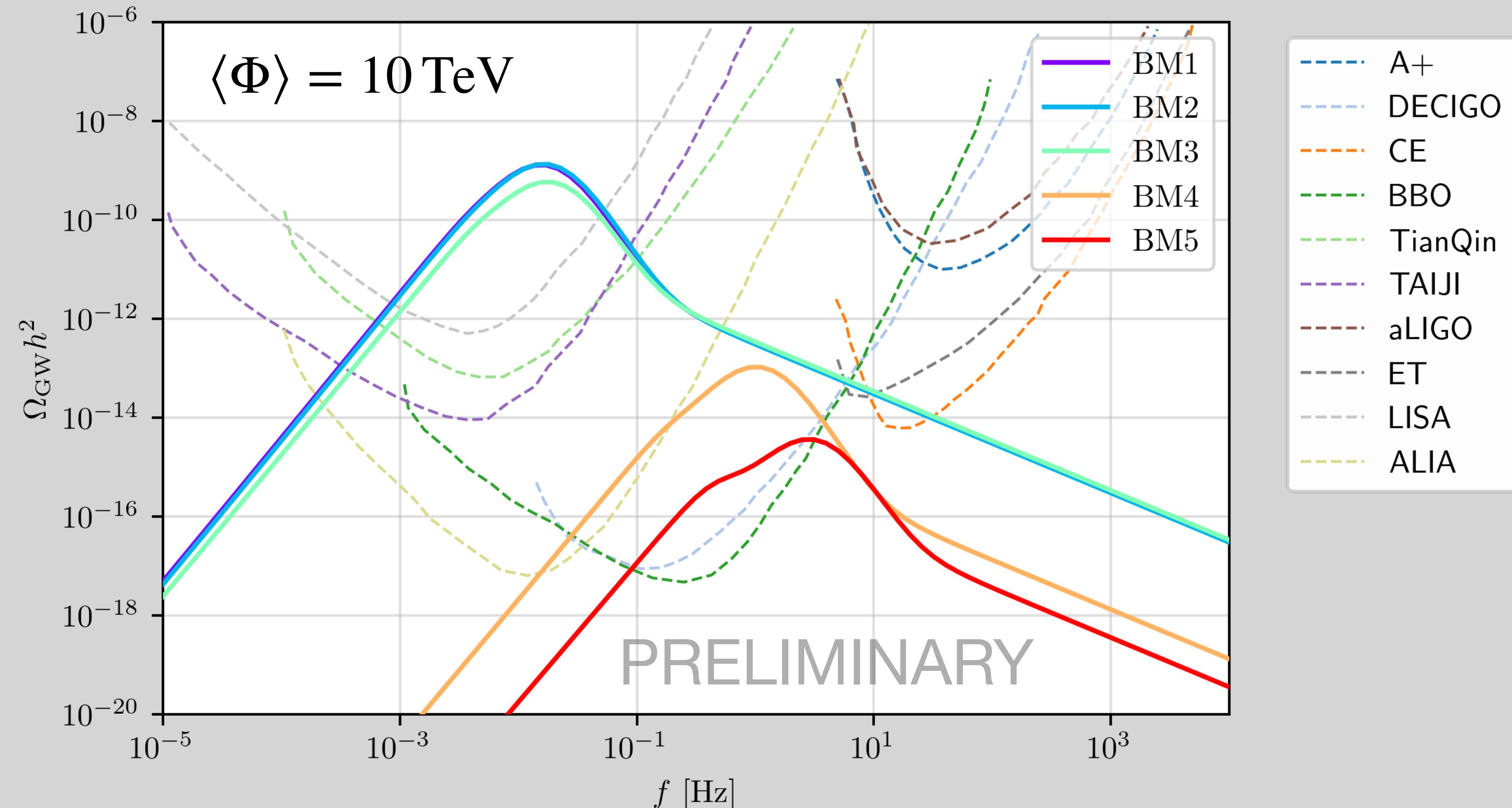
# discontinuity



# Benchmarks

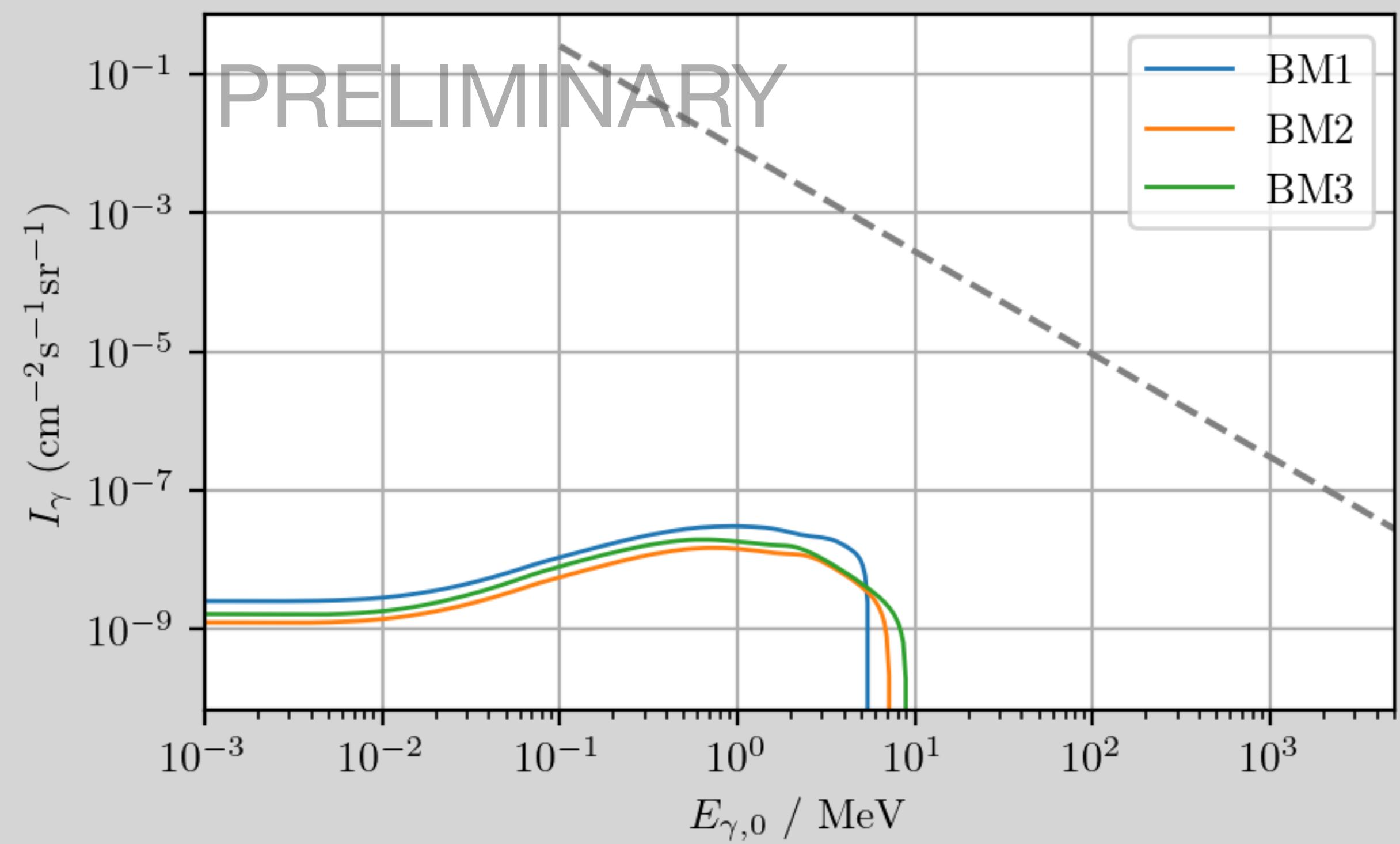
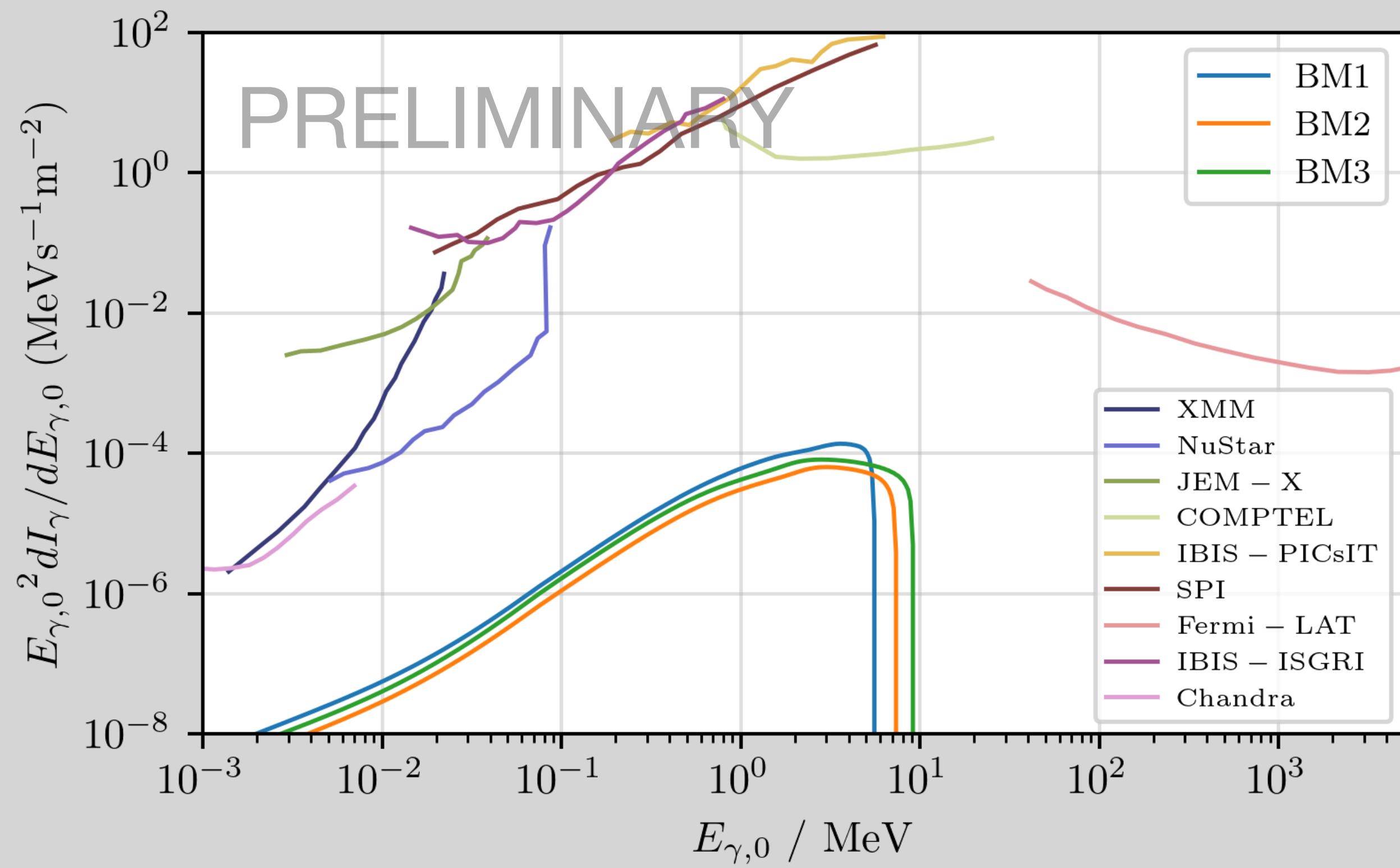
## Gravitational waves

|     | $\alpha_{B-L}(0)$      | $\alpha_{Y_i}(0)$      | $T_{\text{PT}}/\langle \Phi \rangle$ | $\alpha$               | $\beta/H(T_{\text{PT}})$ |
|-----|------------------------|------------------------|--------------------------------------|------------------------|--------------------------|
| BM1 | $1.857 \times 10^{-2}$ | $9.368 \times 10^{-2}$ | $8.694 \times 10^{-2}$               | $7.869 \times 10^{-1}$ | $9.228 \times 10^1$      |
| BM2 | $1.998 \times 10^{-2}$ | $1.149 \times 10^{-1}$ | $8.671 \times 10^{-2}$               | $8.194 \times 10^{-1}$ | $9.660 \times 10^1$      |
| BM3 | $2.332 \times 10^{-2}$ | $1.503 \times 10^{-1}$ | $1.006 \times 10^{-1}$               | $5.451 \times 10^{-1}$ | $9.021 \times 10^1$      |
| BM4 | $3.682 \times 10^{-2}$ | $1.444 \times 10^{-1}$ | $3.075 \times 10^{-1}$               | $4.766 \times 10^{-2}$ | $8.664 \times 10^2$      |
| BM5 | $4.507 \times 10^{-2}$ | $1.421 \times 10^{-1}$ | $3.953 \times 10^{-1}$               | $3.231 \times 10^{-2}$ | $1.460 \times 10^3$      |



# Benchmarks

## Hawking radiation



# Benchmarks

$f_{\text{PBH}}$

