Lecture 1: An introduction to nuclear astrophysics

Photo credit: NASA

Thursday 15 Aug 2024

Ann-Cecilie Larsen, Department of Physics, University of Oslo, Norway

a.c.larsen@fys.uio.no











A little bit about me 🤗

- 44 years old
- Professor @ the University of Oslo
- Research field: experimental nuclear (astro)physics
- Two boys: Leo (15) and Georg (13) [photo from 2019 [⇐]]
- Dog (Marco)
- Hobbies: running, reading books, movies & series (Star Wars , Dr Who, ...), Nintendo, change tires on the car ...

Overview of the lectures 🔀

- **Today**: A first introduction to nuclear astrophysics, with focus on heavy-element nucleosynthesis
- **Tomorrow**: (Some of the) Needed nuclear-physics input for heavyelement nucleosynthesis, brief intro to experimental methods to indirectly measure neutron-capture rates

How we're gonna do this 🤓



- Please raise your hand and ask questions during the lectures if you have any, don't be shy!
- Slides will be available after the lectures
- Questions for discussion
- GOAL: you will learn something new each lecture and remember something (key points) afterwards ^(C)



[Most of this is stolen from Artemis Spyrou]



Key nuclear properties and concepts 🖍

[Most of this is stolen from Artemis Spyrou]



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Notation and terminology

- Radiative neutron capture reaction: (n,γ) -> the "target" nucleus absorbs a neutron and emits γ rays to get rid of the excess energy (Z equal, A+1)
- Radiative proton capture reaction: (p,γ) -> the "target" nucleus absorbs a proton and emits γ rays (Z+1, A+1)
- Beta decay: either β^- (electron + anti neutrino emission, element Z+1, A equal) or β^+ (positron + neutrino emission, element Z-1, A equal)
- Photodisintegration: (γ,n), (γ,p), (γ,α) -> the inverse of radiative captures
- **Reaction network**: network including many different reactions connecting a set of nuclei, with the aim to reproduce observed elemental and isotopic abundances



Example, nuclear reaction network

The **probability** (**reaction rate**) of a given reaction is essential



From William Fowler, Science (1984)

Fig. 3. Reaction network for nucleosynthesis involving the most important stable and radioactive nuclei with N = 2 to 34 and Z = 2 to 32. Stable nuclei are indicated by solid squares. Radioactive nuclei are indicated by open squares.



Viktor M. Goldschmidt Skrifter Norske Vitenskapsakad. Oslo. I. Mat.-Naturv. Kl. (1937) No. 4.



Element abundances in our solar system 🔅



[Figures from Iliadis' book "Nuclear Physics of Stars"]

From Big Bang until today

- Primordial (Big Bang) nucleosynthesis and atomic formation
- Stellar nucleosynthesis
- Explosive nucleosynthesis
- Heavy-element nucleosynthesis

Figure: NASA/CXC/M.Weiss

"We are star-stuff" 🛟

"The nitrogen in our DNA, the calcium in our teeth, the iron in our blood, the carbon in our apple pies were made in the interiors of collapsing stars.

We are made of star-stuff."

- Carl Sagan

Big Bang: hydrogen, helium, lithium *Stellar and explosive nucleosynthesis*: up to the iron group and (a little bit?) beyond



Primordial (Big Bang) nucleosynthesis

- Production of hydrogen and helium isotopes (and some traces of lithium, possibly some beryllium) ≈10 s–16 min after the Big Bang
- Initially, electron, neutrino and baryon gases in thermal equilibrium, and the fraction of neutrons to protons are $N_n/N_p = \exp[-Q/k_BT]$, where Q = 1293.3 keV is the neutron-proton mass difference
- At freeze-out, $N_n/N_p \approx 1/7$ and nuclear reactions can occur



Nucleosynthesis in stars 🛠







Beryllium-8 disintegrates after $\approx 10^{-16}$ seconds Ω

Fred Hoyle's suggestion:

There must exist a quantum state in ¹²C so that the probability of ⁸Be capturing an alpha particle increases significantly

≈ 1/2500 events, a ¹²C nucleus is born \odot



Carbon-12 (6 protons, 6 neutrons)









Carbon-12 (6 protons, 6 neutrons)

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The 7.68-Mev State in C^{12}

D. N. F. Dunbar, R. E. Pixley, W. A. Wenzel, and W. Whaling Phys. Rev. **92**, 649 – Published 1 November 1953

≈ 1/2500 events, a ¹²C nucleus is born \odot







Carbon-12 (6 protons, 6 neutrons)

PHYSICAL REVIEW JOURNALS ARCHIVE

Published by the American Physical Society

Journals Authors The 7.68-Me^v D. N. F. Dunbar, R. E.

Phys. Rev. 92, 649 -

ON NUCLEAR REACTIONS OCCURRING IN VERY HOT STARS. I. THE SYNTHESIS OF ELEMENTS FROM CARBON TO NICKEL

F. HOYLE*

MOUNT WILSON AND PALOMAR OBSERVATORIES CARNEGIE INSTITUTION OF WASHINGTON CALIFORNIA INSTITUTE OF TECHNOLOGY Received December 22, 1953

≈ 1/2500 events, a ¹²C nucleus is born \bigcirc

Explosive nucleosynthesis

- Core-collapse supernovae
- Thermonuclear supernovae

Produce carbon,oxygen, phosphorus, aluminium, sulphur, titanium, potassium, calcium, ...



Explosive nucleosynthesis

• Core-collapse supernovae



journal homepage: www.elsevier.com/locate/astropart

Gamma rays from supernova remnants

Felix A. Aharonian*

Dublin Institute for Advanced Studies, 31 Fitzwilliam Place, Dublin 2, Ireland Max Planck Institut für Kemphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany



Explosive nucleosynthesis

• Core-collapse supernovae



journal h

Gamma rays from supernova

Felix A. Aharonian*

Dublin Institute for Advanced Studies, 31 Fitzwilliam Place, D Max Planck Institut für Kemphysik, Saupfercheckweg 1, 6911 Another unique feature of this object is the reported γ -ray [64] and X-ray [65] emission lines which associate with ⁴⁴Ti. This radiation component provides direct information about the ejected mass of radioactive titanium, $M_{44\text{Ti}} \approx 2 \times 10^{-4} \text{ M}_{\odot}$. The unusually high content of relativistic electrons in Cas A could have a link to the ejection of large amount of radioactive material, first of all ⁴⁴Ti and ⁵⁶Ni. The decay products of these nuclei provide a vast

«Revival» of white dwarves

Silicon, sulphur, calcium, titanium, cromium, nickel, zink, ...

Back to the element distribution



Figure from Iliadis' book "Nuclear Physics of Stars"

"How Were the Elements from Iron to Uranium Made?"

[Connecting Quarks with the Cosmos: Eleven Science Questions for the New Century; US National Research Council (2003)]



reviews of Modern Phy

VOLUME 29, NUMBER 4

Synthesis of the Elements in

E. MARGARET BURBIDGE, G. R. BURBIDGE, WILLIAM A. FOWLER

Kellogg Radiation Laboratory, California Institute of Techno Mount Wilson and Palomar Observatories, Carnegie Institution of California Institute of Technology, Pasadena, Californ



but perhaps

"The fault, dear Brutus, is not in our stars, But in ourse (Julius Caesar, Act I, Sc

PUBLICATIONS OF THE ASTRONOMICAL SOCIETY OF THE PACIFIC

Vol. 69

June 1957

No. 408

NUCLEAR REACTIONS IN STARS AND NUCLEOGENESIS*

A. G. W. CAMERON Atomic Energy of Canada Limited Chalk River, Ontario

INTRODUCTION

It was once thought that the stars and the interstellar matter had a uniform chemical composition except for some of the lighter elements, which were destroyed by thermonuclear reactions in stellar interiors. This view has caused astronomers and physicists to look for extreme physical conditions in which all the mat-



How to "cook" heavy elements 🔍

Slow neutron-capture (s) process (\approx 50%) **Rapid** neutron-capture (r) process (\approx 50%) p process: proton capture, photodisintegration, vp-process, ... (\sim 0.1-1%)



From *r*-process review: M. Arnould, S. Goriely, and K.Takahashi, Phys. Rep. **450**, 97 (2007)]

Question:

Why neutron capture?

Physics facts:

- No Coulomb barrier! 👍
- Cross sections (≈ probabilities) decrease with neutron energy, opposite to charged-particle reactions (?)
- Free neutrons are perishables (T_{1/2}≈ 10 minutes) ⁽¹/_{1/2}

Heavy-element nucleosynthesis processes – schematic "paths" in the nuclear chart



In addition:

- Rapid proton-capture process (probably not contributing to the observed solar-system abundances)
- Intermediate neutroncapture process (?!)
- ++?

Figure: Andy Sproles, Oak Ridge National Lab $$^{25}_{\ensuremath{\scriptstyle 25}}$

How to "cook" heavy elements 🔍

Slow neutron-capture (s) process (\approx 50%) Rapid neutron-capture (r) process (\approx 50%)

p process: proton capture, photodisintegration, vp-process, ... (~0.1-1%)



Phys. Rep. 450, 97 (2007)

Question:

Why are the *s*- and *r*-process peaks located where they are?

Physics facts:

- The nuclear shell model! 👍
- Signature of different neutron densities and timescales at the astrophysical sites

The *p* process

Review: Arnould & Goriely, Phys. Rep. 384, 1 (2003)

[Rauscher et al., Rep. Prog. Phys. 76, 066201 (2013)] Sr 85 Sr 87 Sr 88 Sr 86 p-process isotopes Rb 85 b 86 **Rb** 8 Kr 83 Kr 79 Kr 82 Kr 84 85 Kr 86 Br 80 Br 79 Br 81 82 Se 81 Se 82 Se 75 Se 76 Se 77 Se 78 Se 79 Se 80 process decav chains s process s/r seed deflection point Ζ (γ, n) nuclei

Secondary process – "peeling off" neutrons on existing heavy nuclei



Favorable astrophysical sites: Explosive O-Ne shell burning of type II supernovae, type la supernovae, ...

But still may open questions! Mo and Ru anomaly, ...

The s process

Direct evidence: spectral lines from technetium in red giant stars -> Tc has no stable isotopes, ⁹⁹Tc produced by β^- decay of ⁹⁹Mo [P. W. Merrill, Science 116, 21 (1952)]

Relatively low neutron density ($\approx 10^7 - 10^8 / \text{cm}^3$), low temperature (0.1-0.4 x 10⁹ K)



W Slow:

One neutron capture per 1-1000 y, in total 100–100 000 y

The s process

Direct evidence: spectral lines from technetium in red giant stars -> Tc has no stable isotopes, ⁹⁹Tc produced by β^- decay of ⁹⁹Mo [P. W. Merrill, Science 116, 21 (1952)]





Slow:

One neutron capture per 1-1000 y, in total 100–100 000 y

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The *r* process





Extremely high neutron density $(10^{20} / \text{cm}^3 \text{ or more})$, maybe (?) high temperature (1-5 x 10⁹K), and **extremely** fast (\approx 1 second) \gg



For many years, supernovae were the favorite site

Astron. & Astrophys. 52, 63-68 (1976)

ASTRONOMY AND ASTROPHYSICS

... but more modern simulations gave too few neutrons...

R-process Nucleosynthesis: A Dynamical Model*

W. Hillebrandt¹, K. Takahashi^{1**} and T. Kodama²

¹ Institut für Kernphysik, Technische Hochschule Darmstadt, Schloßgartenstr. 9, D-6100 Darmstadt, Federal Republic of Germany ² Centro Brasileiro de Pesquisas Fisicas, Rio de Janeiro, Brasil

Received March 15, 1976

A core-collapse supernova crash course 💥



Foglizzo T. (2017) Explosion Physics of Core-Collapse Supernovae; <u>https://doi.org/10.1007/978-3-319-21846-5_52</u>

- Thermonuclear fusion reactions stop when reaching the iron group (remember the nuclear bindingenergy curve!)
- Gravity makes the massive star's core contract so much that the density gets extremely high (~2 x the nuclear density)
- All nuclei break down to their constituents and electrons are captured by protons, producing neutrons and neutrinos

The *r* process





Extremely high neutron density $(10^{20} / \text{cm}^3 \text{ or more})$, maybe (?) high temperature (1-5 x 10^9 K), and **extremely** fast (\approx 1 second) \gg

 PRL 109, 251104 (2012)
 PHYSICAL REVIEW LETTERS
 week ending 21 DECEMBER 2012

Charged-Current Weak Interaction Processes in Hot and Dense Matter and its Impact on the Spectra of Neutrinos Emitted from Protoneutron Star Cooling

G. Martínez-Pinedo,^{1,2} T. Fischer,^{2,1} A. Lohs,¹ and L. Huther¹

¹Institut für Kernphysik, Technische Universität Darmstadt, Schlossgartenstraße 2, 64289 Darmstadt, Germany ²GSI Helmholtzzentrum für Schwerioneneforschung, Planckstraße 1, 64291 Darmstadt, Germany (Received 12 May 2012; published 20 December 2012)

We perform three-flavor Boltzmann neutrino transport radiation hydrodynamics simulations covering a period of 3 s after the formation of a protoneutron star in a core-collapse supernova explosion. Our results show that a treatment of charged-current neutrino interactions in hot and dense matter as suggested by Reddy *et al.* [Phys. Rev. D **58**, 013009 (1998)] has a strong impact on the luminosities and spectra of the emitted neutrinos. When compared with simulations that neglect mean-field effects on the neutrino opacities, we find that the luminosities of all neutrino flavors are reduced while the spectral differences between electron neutrinos and antineutrinos are increased. Their magnitude depends on the equation of state and in particular on the symmetry energy at subnuclear densities. These modifications reduce the proton-to-nucleon ratio of the outflow, increasing slightly their entropy. They are expected to have a substantial impact on nucleosynthesis in neutrino-driven winds, even though they do not result in conditions that favor an *r* process. Contrary to previous findings, our results show that the spectra of

he favorite site

ASTRONOMY AND ASTROPHYSICS

o few neutrons...

Federal Republic of Germany

The *r* process





Extremely high neutron density $(10^{20} / \text{cm}^3 \text{ or more})$, maybe (?) high

temperature (1-5 x 10⁹K), and extremely fast (\approx 1 second) \gg

PRL 109, 251104 (2012) PHYSICAL REVIEW LETTERS 21 DECEMBER 2012			
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[A. Arcones and F. Montes, ApJ 731, 5 (2011)]		rmany	
"do not result in conditions that favor an <i>r</i> process"			
[G. Martínez-Pinedo et al, PRL 109 , 251104 (2012)]			
"our notion of supernova nucleosynthesis was shattered			
[HT. Janka, Annu. Rev. Nucl. Part. Sci. 62, 407 (2012)]		33	







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week ending PHYSICAL REVIEW LETTERS PRL 109, 251104 (2012) 21 DECEMBER 2012 he favorite site Charged-Current Weak Interaction Processes in Hot and Dense Matter and its Impact on the Spectra of Neutrinos Emitted from Protoneutron Star Cooling ASTRONOMY AND G. Martínez-Pinedo,^{1,2} T. Fischer,^{2,1} A. Lohs,¹ and L. Huther¹ ASTROPHYSICS Also, it was (and still is!) very difficult to actually o few neutrons... make the star explode in the simulations! "...does not yield heavy r-process nuclei..." [A. Arcones and F. Montes, ApJ 731, 5 (2011)] rmany "...do not result in conditions that favor an r process..." [G. Martínez-Pinedo et al, PRL **109**, 251104 (2012)] "...our notion of supernova nucleosynthesis was shattered..." [H.-T. Janka, Annu. Rev. Nucl. Part. Sci. 62, 407 (2012)] 34



Available online at www.sciencedirect.com



Physics Reports 442 (2007) 237-268

PHYSICS REPORTS

www.elsevier.com/locate/physrep

Where, oh where has the *r*-process gone?

Y.-Z. Qian^{a,*}, G.J. Wasserburg^b

^a School of Physics and Astronomy, University of Minnesota, Minneapolis, MN 55455, USA The Lunatic Asylum Division of Geological and Planetary Sciences, California Institute of Technology, Pasadena, CA 91125, USA

> Available online 16 February 2007 editor: G.E. Brown





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Where, oh where has the *r*-process gone?

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Appendix A. The parable of the key: a story for Hans

Y.-Z.Q. was heavily involved with teaching in the fall of 2006. In trying to meet the deadline for this volume, G.J.W. traveled to Minneapolis to work on the manuscript. Bad weather conditions left him in Denver overnight. To get some rest, he went out to a more or less nearby Radisson Hotel and caught a few hours' sleep before returning to the Denver Airport early next morning for the remainder of the trip to Minneapolis. Y.-Z.Q. had arranged for him to stay at the Radisson Hotel near the University of Minnesota and met him at the hotel. Upon checking in and getting a card key, they went up to the seventh floor to find Room 729. They searched the seventh floor intensely but could not find a room with that number. G.J.W. was getting quite exhausted so they asked a chambermaid where the room was. She

Problems, unknown *r*-process site 🤥

- We don't know the initial conditions (density, temperature, neutron flux, ...)
- Because we don't know the conditions, we don't know exactly which nuclear-physics input is (most) relevant

In particular: will there be an equilibrium between neutron capture (n,γ) and photodisintegration (γ,n) processes?

If *yes*, masses (and hence neutron separation energies) and beta-decay rates are most important

If *no*, neutron-capture rates (and fission rates) are also important => much more complicated reaction network!

$(n,\gamma)-(\gamma,n)$ equilibrium: to be or not to be \square

- Near and at the neutron drip line, the neutron separation energies are very low (~keV range)
- In the *r* process, both (n,γ) and (γ,n) are faster than β⁻ decay
- BUT: there is a strong interplay between temperature, neutron density, and nuclear-physics properties (capture cross sections!) that must be considered



$(n,\gamma)-(\gamma,n)$ equilibrium: to be or not to be 44



$(n,\gamma)-(\gamma,n)$ equilibrium: to be or not to be 44



Alternative sites for the *r* process: neutrino-driven wind from a newborn neutron star



Equilibrium between (n,γ) and (γ,n) most of the time, only moderately neutron rich

Alternative sites for the *r* process: neutrino-driven wind from a newborn neutron star



THE ASTROPHYSICAL JOURNAL, 433:229–246, 1994 September 20 © 1994. The American Astronomical Society. All rights reserved. Printed in U.S.A.

THE r-PROCESS AND NEUTRINO-HEATED SUPERNOVA EJECTA

S. E. WOOSLEY,^{1,2} J. R. WILSON,² G. J. MATHEWS,² R. D. HOFFMAN,¹ AND B. S. MEYER³ Received 1993 October 22; accepted 1994 March 24

ABSTRACT

As a neutron star is formed by the collapse of the iron core of a massive star, its Kelvin-Helmholtz evolution is characterized by the release of gravitational binding energy as neutrinos. The interaction of these neutrinos with heated material above the neutron star generates a hot bubble in an atmosphere that is nearly in hydrostatic equilibrium and heated, after ~10 s, to an entropy of $S/N_A k \ge 400$. The neutron-to-proton ratio for material moving outward through this bubble is set by the balance between neutrino and antineutrino capture on nucleons. Because the electron antineutrino spectrum at this time is hotter than the electron neutrino spectrum, the bubble is neutron-rich (0.38 $\le Y_e \le 0.47$). Previous work using a schematic model has shown that these conditions are well suited to the production of heavy elements by the *r*-process. In this paper

Where can we find lots of neutrons? 🧐

Leftover after a supernova: black hole or **neutron star**

THE ASTROPHYSICAL JOURNAL, 213:225-233, 1977 April 1 © 1977. The American Astronomical Society. All rights reserved. Printed in U.S.A.

THE DECOMPRESSION OF COLD NEUTRON STAR MATTER

JAMES M. LATTIMER The University of Texas; and The Enrico Fermi Institute, University of Chicago FRED MACKIE AND D. G. RAVENHALL The University of Illinois

AND

D. N. SCHRAMM The Enrico Fermi Institute, University of Chicago Received 1976 August 16

ABSTRACT

The composition of expanding, initially cold, neutron star matter is examined. A semiempirical mass formula for nuclear matter is developed. Under the assumption that the matter occupies its lowest energy state, the four equilibrium conditions which determine the composition of the



First *live* observation of the *r* process in 2017



Neutron-star merger observed live! 17 Aug 2017 by Adv. LIGO & Adv. Virgo [Abbott et al., Phys. Rev. Lett. **119**, 161101 (2017)]

"Afterglow" consistent with *r*-process nucleosynthesis

[Kasen et al., Nature **551**, 80 (2017), E. Pian et al., Nature **551**, 67(2017) +++]





Neutron-star merger observed live! 17 Aug 2017 by Adv. LIGO & Adv. Virgo [Abbott et al., Phys. Rev. Lett. **119**, 161101 (2017)]

"Afterglow" consistent with *r*-process nucleosynthesis

[Kasen et al., Nature **551**, 80 (2017), E. Pian et al., Nature **551**, 67(2017) +++]

M.R. Drout et al., Science 358, 1570 (2017)

... So now we understand everything?



Jonas Lippuner, Skynet https://jonaslippuner.com/research/skynet/

Note: for *one* astrophysical trajectory

Maybe not





Calculations with "Skynet" 😊 [Pogliano & Larsen, Phys. Rev. C 108, 025807 (2023)]

Difficult stuff...



A big bunch of differential equations!!

We need a reaction network of about 5000 nuclei and their possible (\approx 50 000) reactions – most of the nuclei are very short-lived!

These nuclei are very hard to create in the lab...

Snapshots, reaction network, neutron-star collision trajectory Mumpower, Surman, McLaughlin, Aprahamian, Prog.Part. Nucl. Phys. 86, 86 (2016)

Radiative neutron-capture reactions



(Wolfenstein-)Hauser-Feshbach theory -> "compound nucleus" picture of Bohr [W. Hauser and H. Feshbach, Phys. Rev. 87, 366 (1952)]



Radiative neutron-capture reactions

Summary – key points 📣

- Three main processes for heavy-element nucleosynthesis: *s*, *r*, *p* process
- The *p* process is a secondary process; seed nuclei from the *s* and *r* processes; likely takes place in SN Ia and SN II (O-Ne shell)
- The *s* process deals with nuclei close to the valley of stability; astrophysical sites: AGB stars and massive stars (>8[÷])
- The *r* process involves highly neutron-rich nuclei; one astrophysical site (neutron-star mergers) has recently been confirmed
- To calculate **radiative-capture reaction rates** that cannot be measured directly, one needs an optical-model potential, the **nuclear level density**, and the **gamma-ray strength function**

