

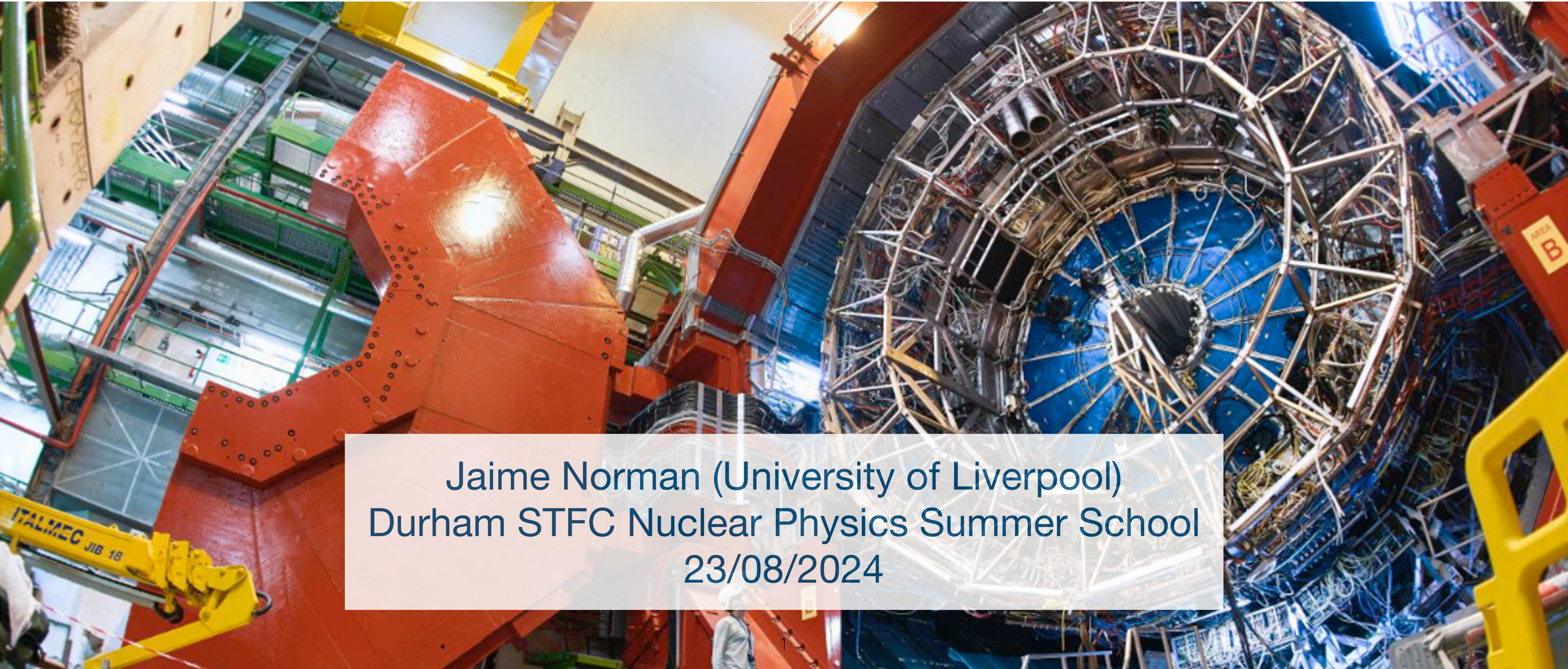
ALICE

QCD at high energy density, heavy-ion physics, and the ALICE experiment



UNIVERSITY OF
LIVERPOOL

Lecture 2/2



Jaime Norman (University of Liverpool)
Durham STFC Nuclear Physics Summer School
23/08/2024

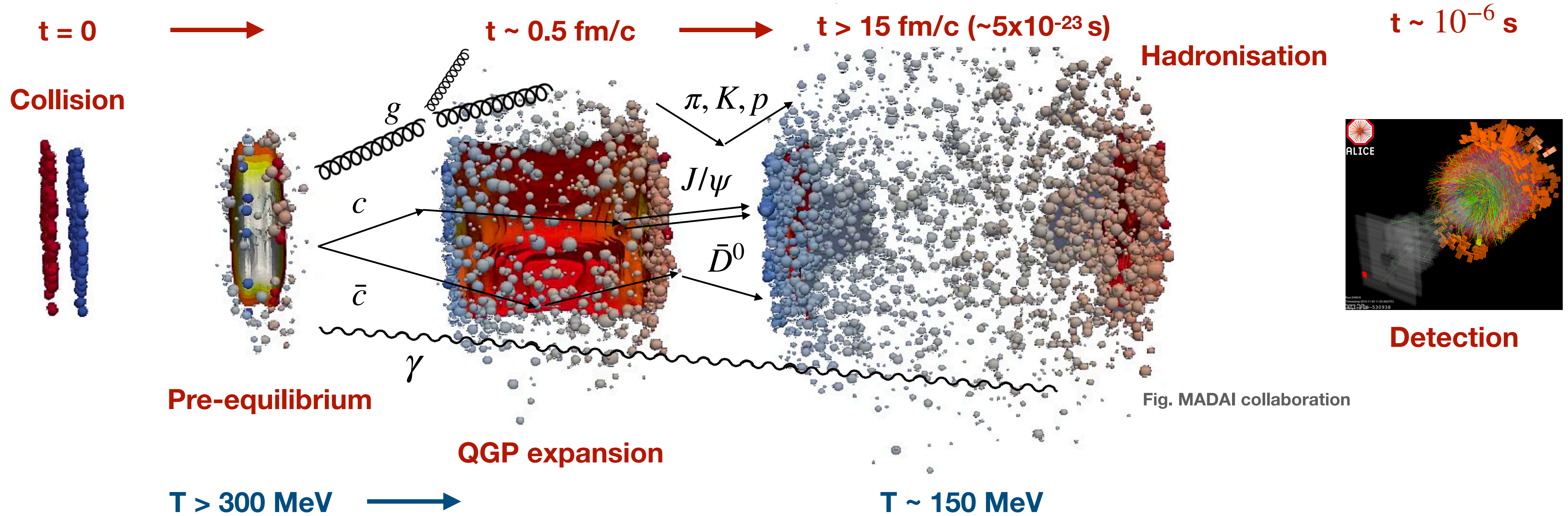
Overview

- **ALICE (A Large Ion Collider Experiment)** is the experiment at the LHC dedicated to studying the deconfined state of QCD known as the Quark-Gluon Plasma (QGP)
- In these lectures I want to give an overview of heavy-ion physics
 - Basic concepts of QCD, the QGP and heavy-ion physics (including collider physics)
 - The ALICE experiment
 - **How do we probe the QGP? What have we discovered?**
 - **Future plans of heavy-ion physics at the LHC**



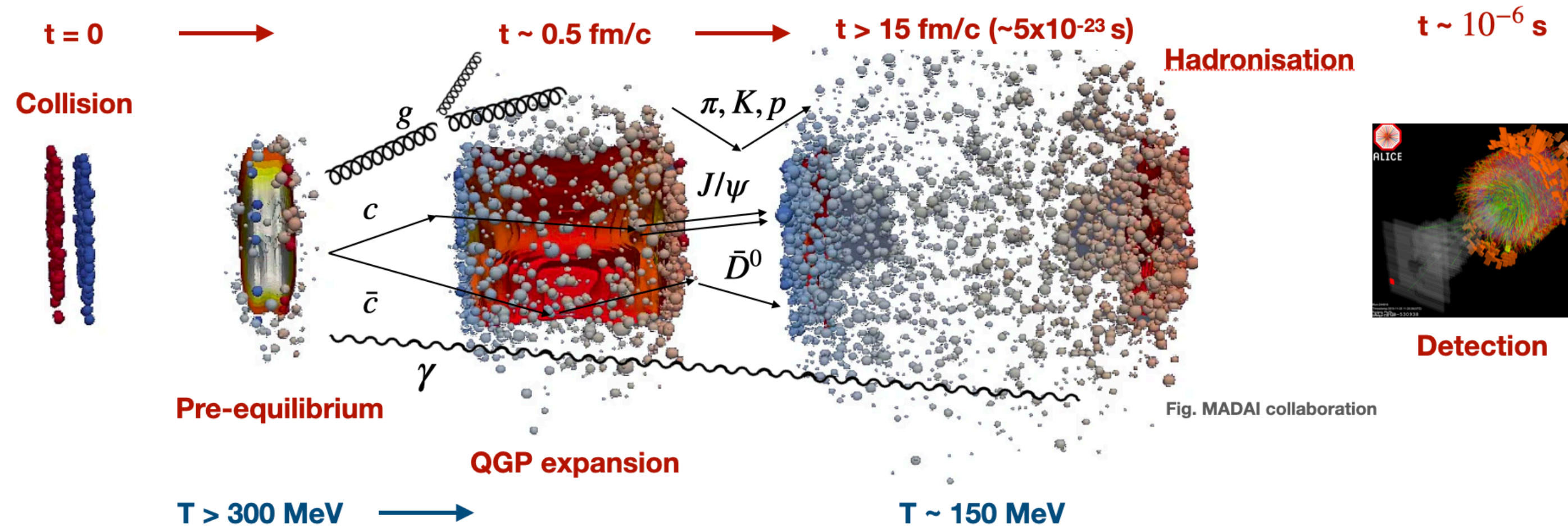
Today!

Anatomy of a heavy ion collision



Many ways to quantitatively characterise the QGP

Characterisation of the QGP created in heavy-ion collisions



Thermodynamics, collectivity, global properties ('Macroscopic')

- Temperature and energy density (direct photons,
- Collectivity, flow (azimuthal anisotropy, long-range correlations)
- Lifetime, size

Partonic interactions ('Microscopic')

- Partonic energy loss (jet quenching)
- Heavy-flavour energy loss and diffusion
- Microscopic structure of QGP

Flavour equilibrium, Hadronisation

- Hadron flavour composition/chemistry
- Strangeness enhancement
- Hadronisation mechanisms (Baryon/meson ratios)

Small systems

- QCD measurements
- Limit of QGP formation (QGP-like effects)

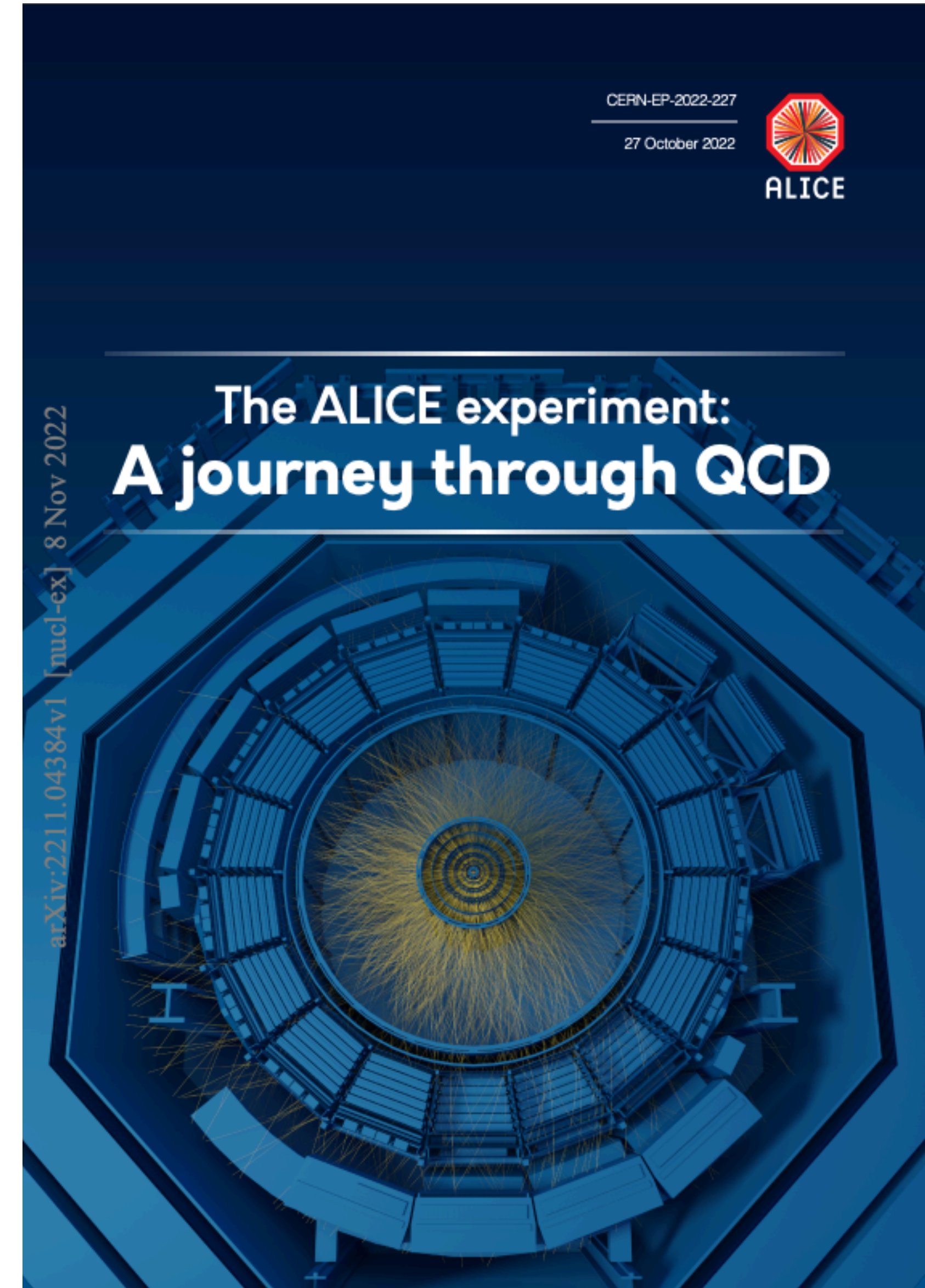
Further reading

Eur.Phys.J.C 84 (2024) 8, 813

The physics shown here is really just a snapshot of results from **ALICE** at the LHC!

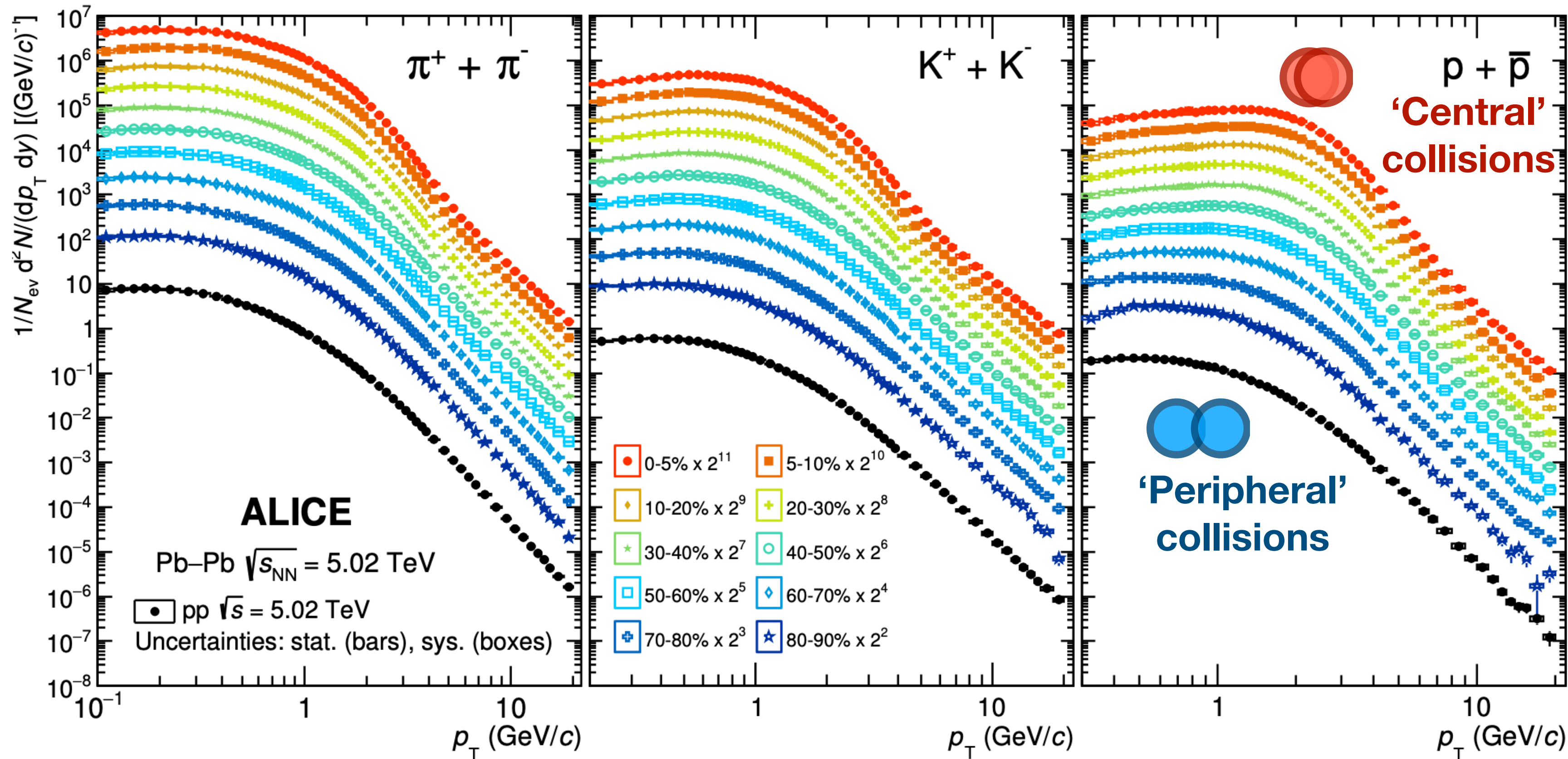


- **> 400 ALICE papers from Run 1 and 2 of the LHC**
- Recent review paper (published last week!) summarises the wealth of physics from this period



Particle spectra

- Measurement of particle spectra ‘starting point’ of many measurements

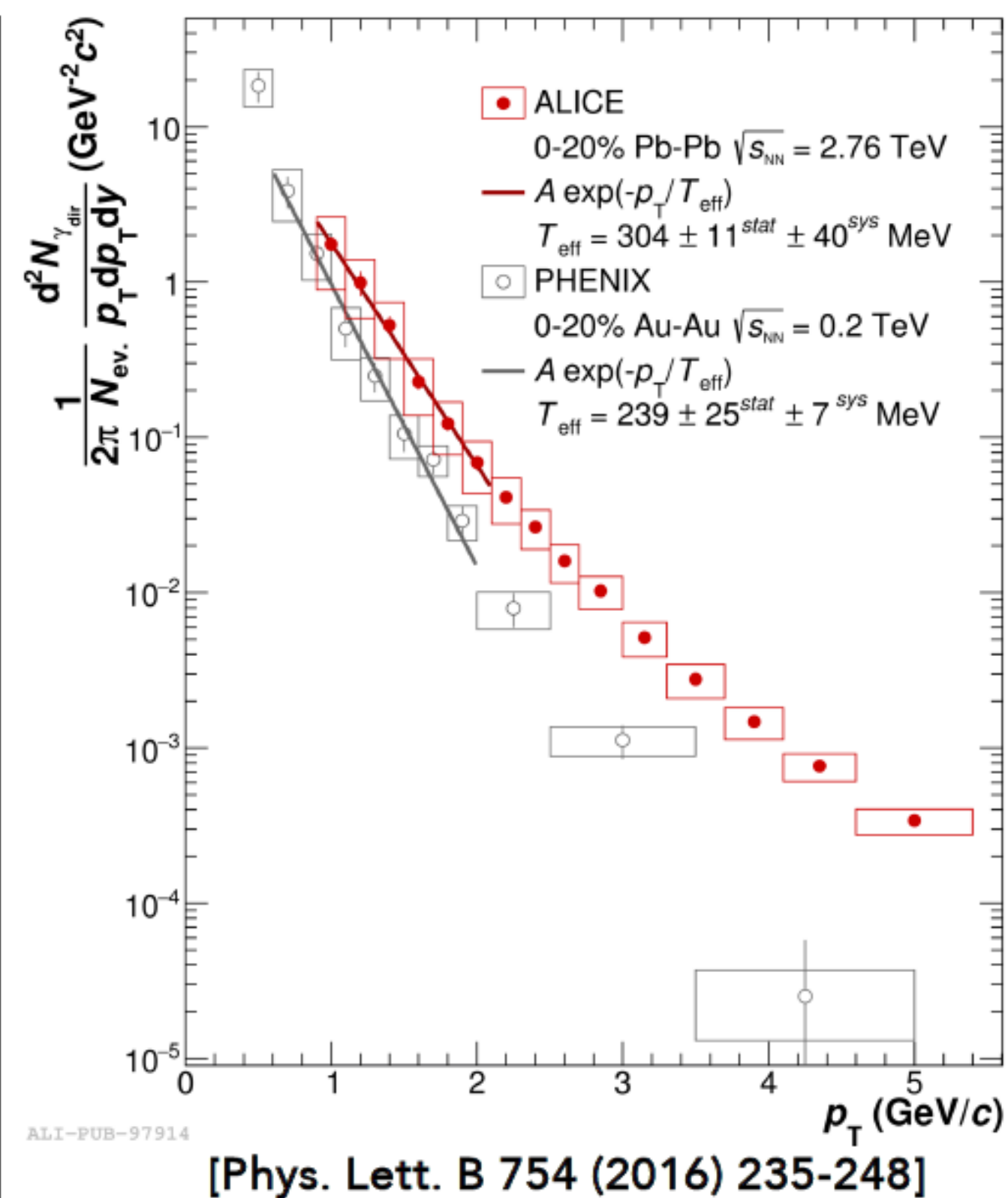
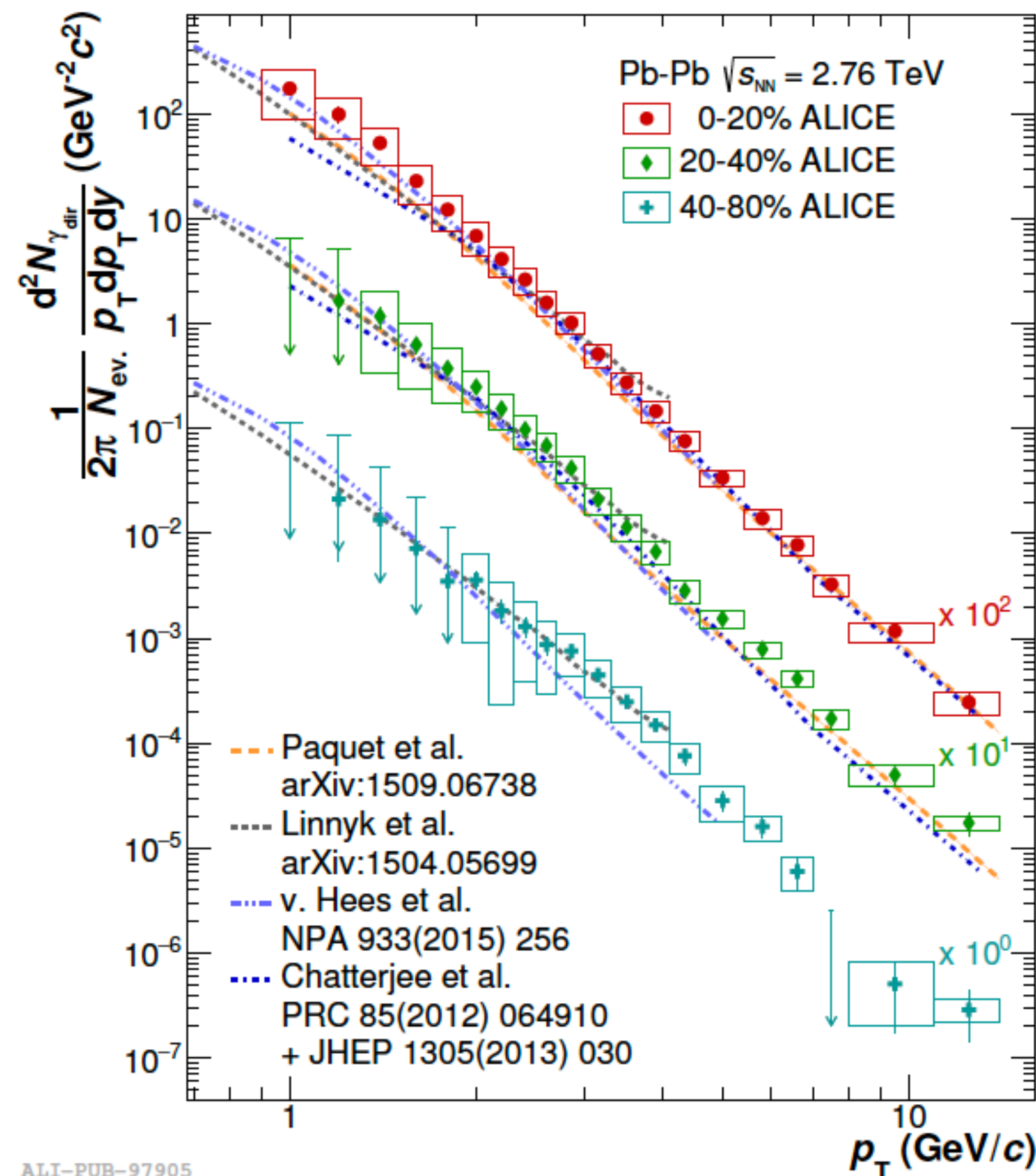


e.g. measure...

- Yields with respect to pp collisions
- Yields vs reaction plane
- Yields vs centrality
- Total ‘integrated’ particle yields
- Ratios of different particle yields
- ...

Initial temperature of the QGP

- **Direct photons (not from hadron decays)** emitted at different stages of the collision
 - initial hard scattering produces *prompt photons*, while black body radiation from QGP produces *thermal photons*
- **Thermal photons give access to the initial temperature of the system created in heavy-ion collision.**



$$T_{eff} = 304 \pm 11 \pm 40 \text{ MeV}$$

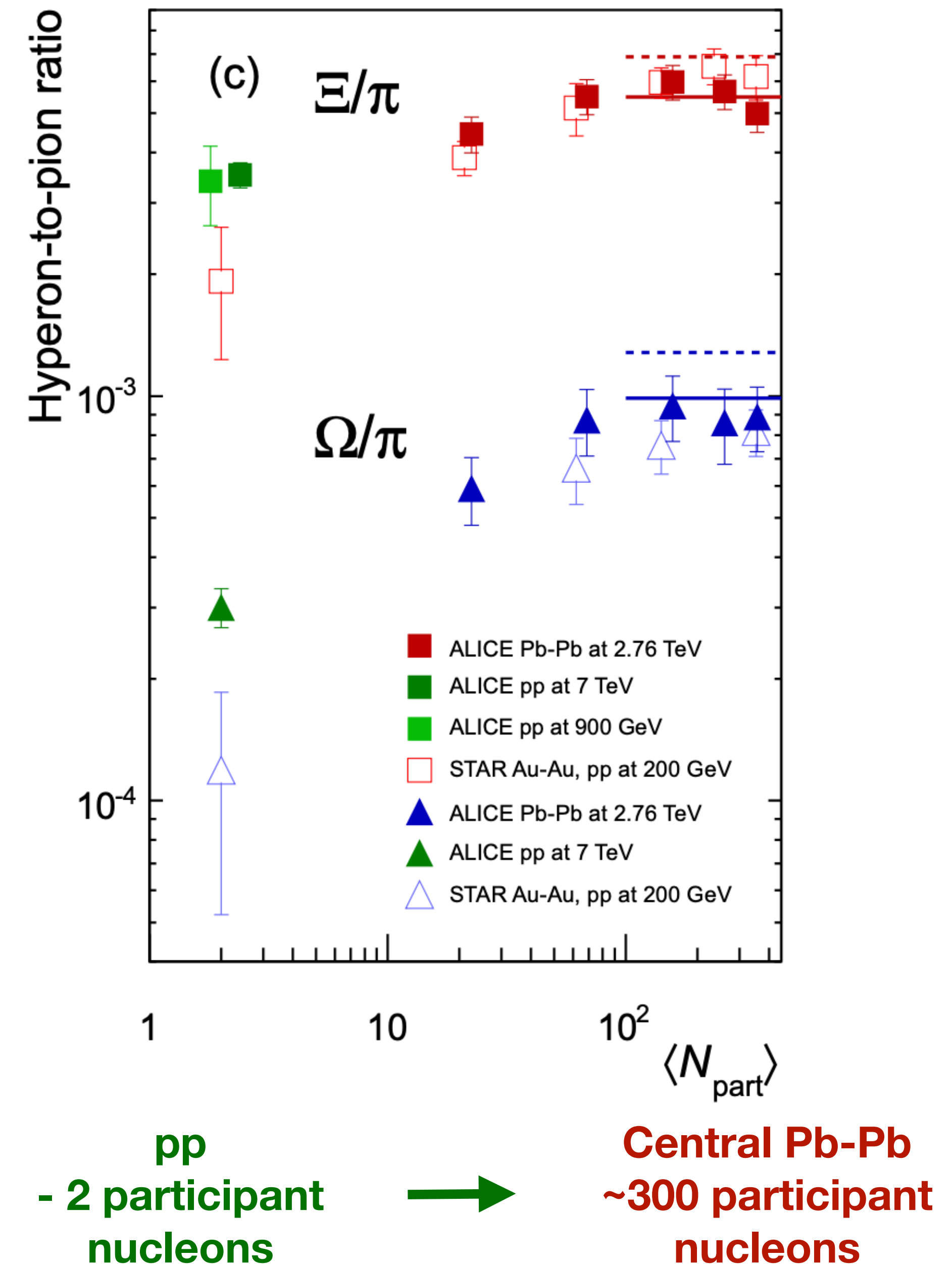
- Temperature higher than critical temperature ~ 150 MeV
- (Note - effective temperature not exact as radiation 'blue-shifted' due to expansion of system)

Strangeness enhancement

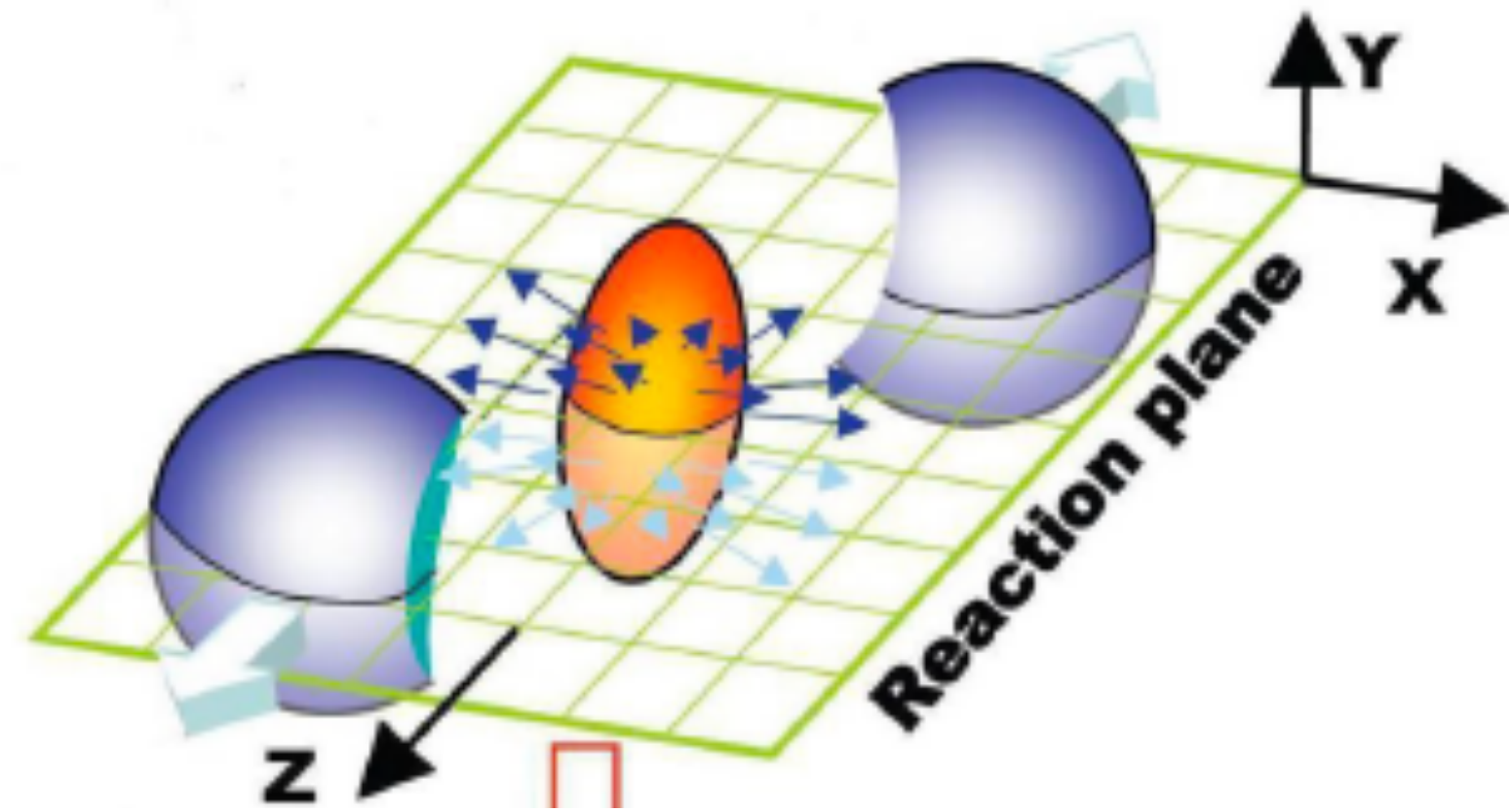
- **pp collisions:** Suppression of strangeness production (locality of strangeness conservation)
- **Heavy-ion collisions:** abundant thermal production of $s\bar{s}$ quarks due to equilibrated, deconfined phase
- Production of multi-strange hadrons Ξ (dss) and Ω (sss) most sensitive to strangeness production of a system

Significant strangeness enhancement seen in heavy-ion collisions → deconfinement!

Consistent results at low energy (RHIC) and high energy (LHC) → enhancement dependent only on participant nucleons/final state multiplicity

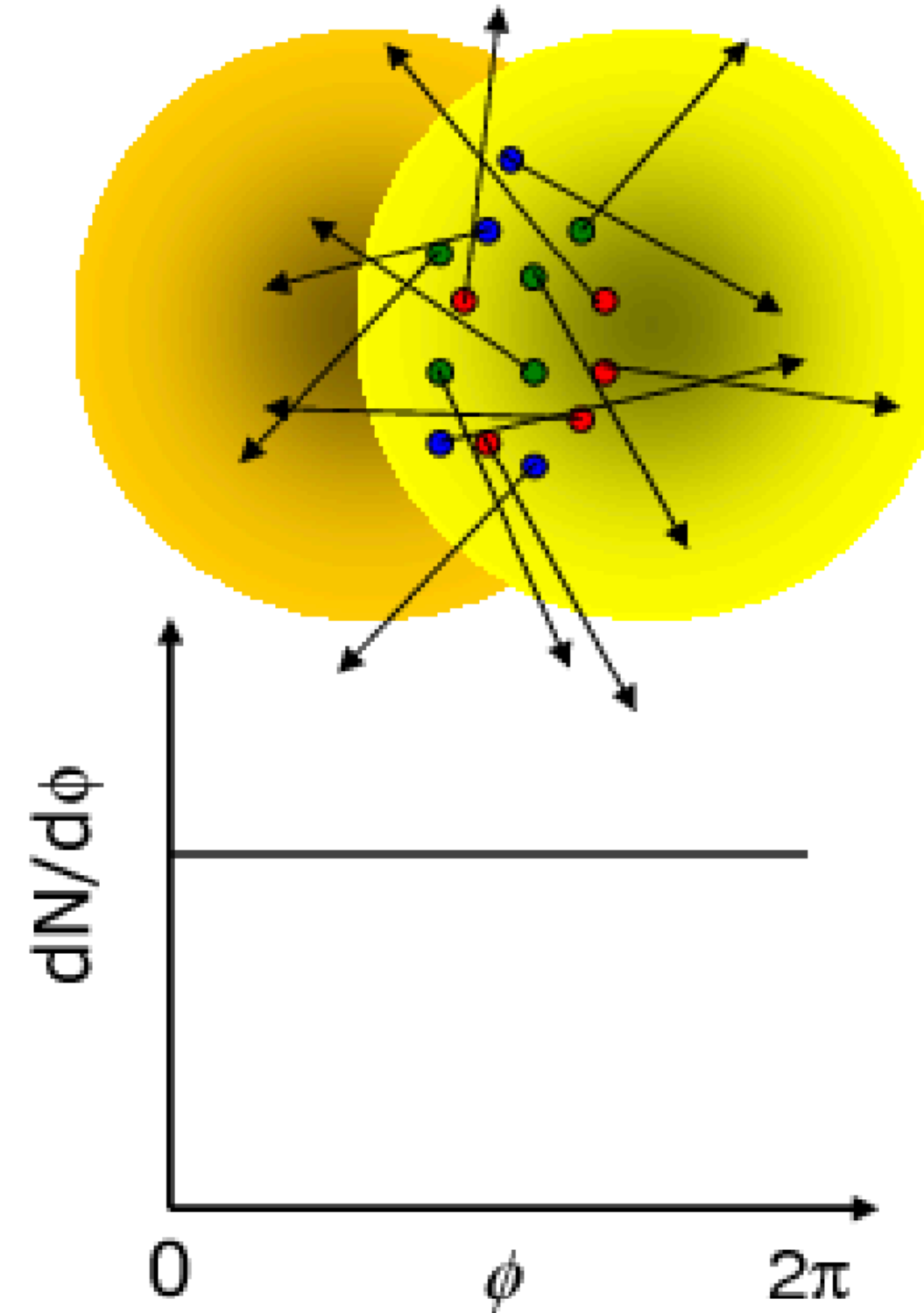


Probing how the QGP 'liquid' flows

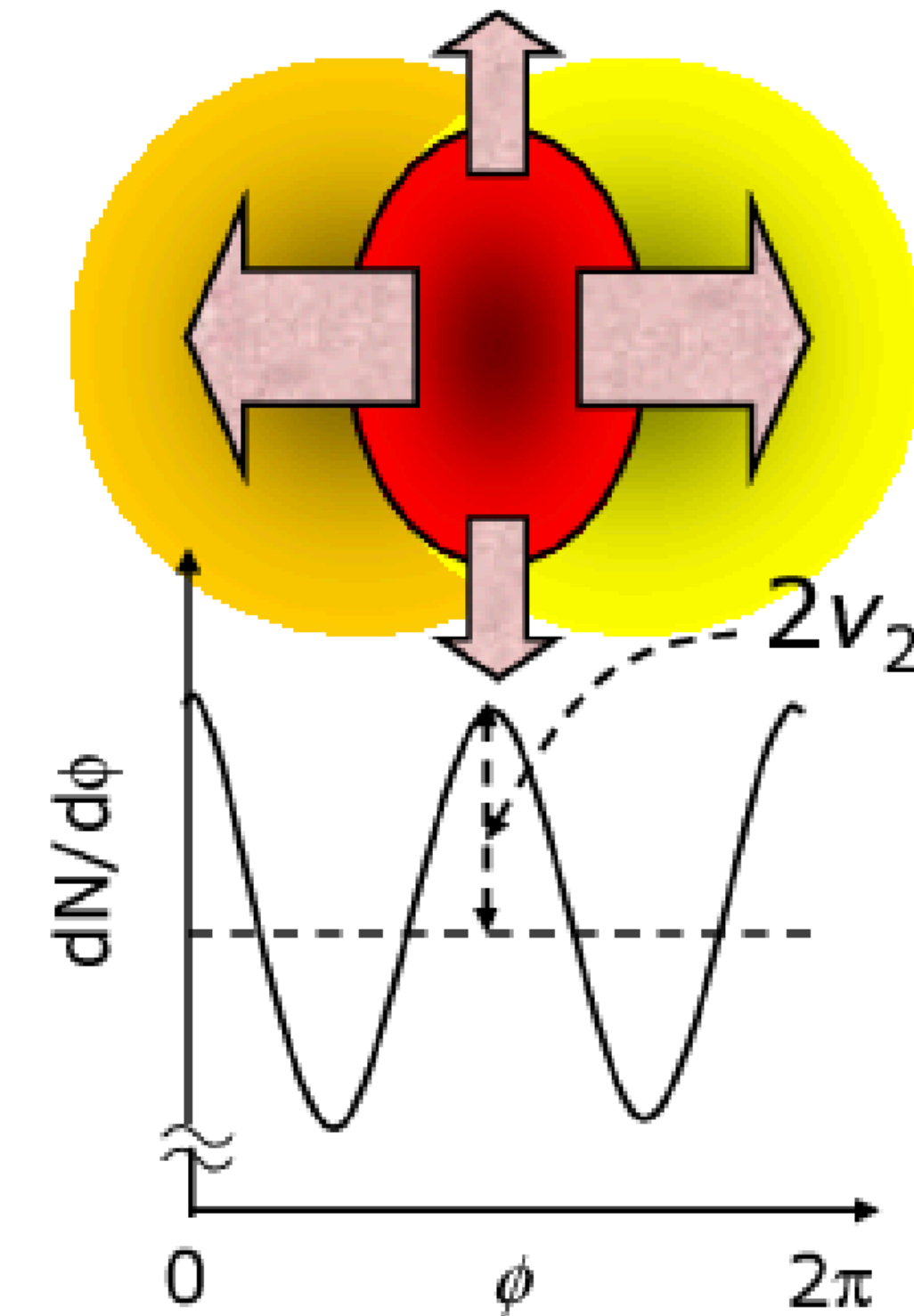


$$\frac{dN}{d\phi} \propto \sum_{n=1}^{\infty} 2v_n(p_T) \cos(n(\phi - \Psi_n))$$

a) Mean free path \gg system size

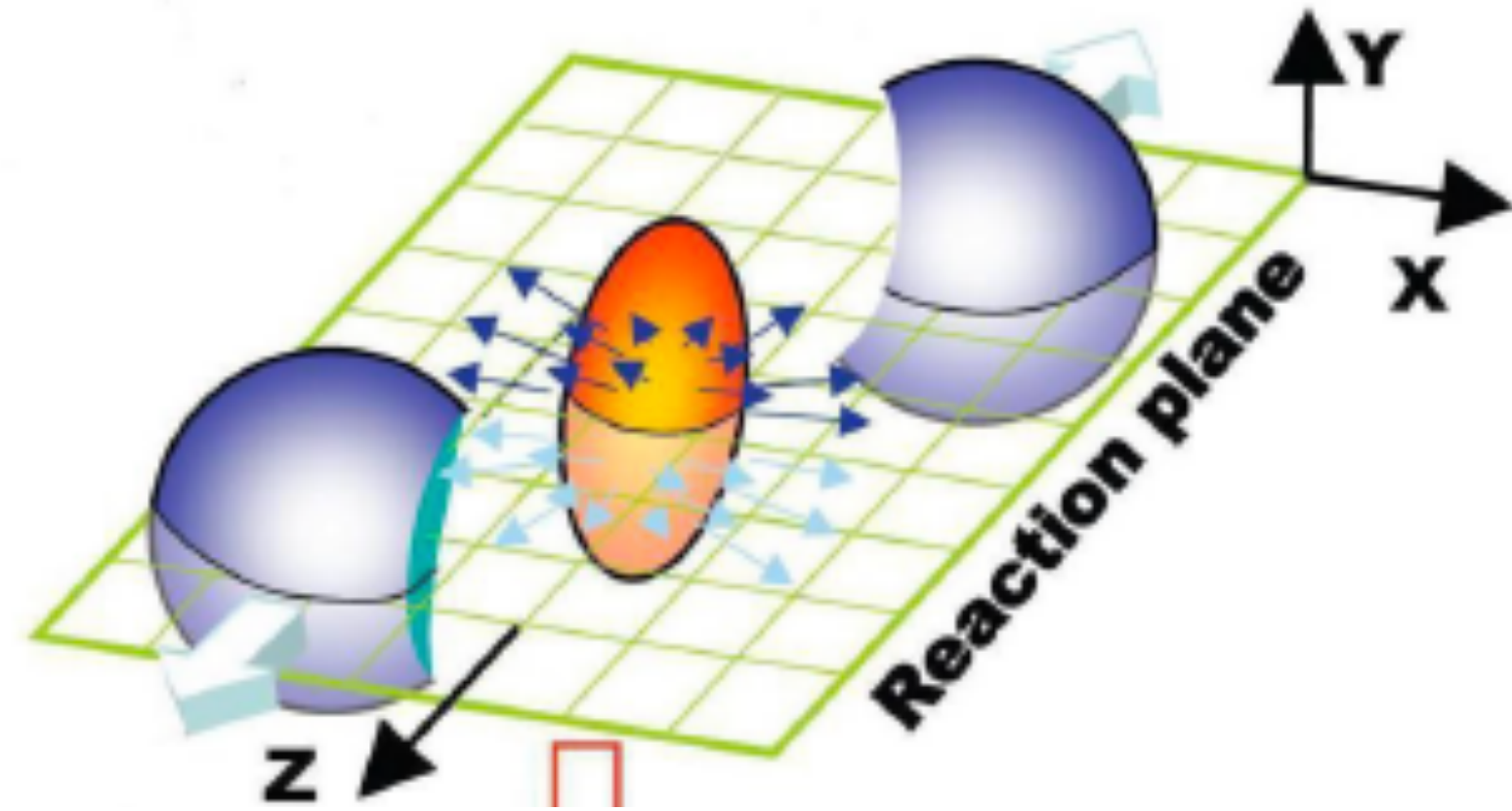


b) Mean free path \ll system size

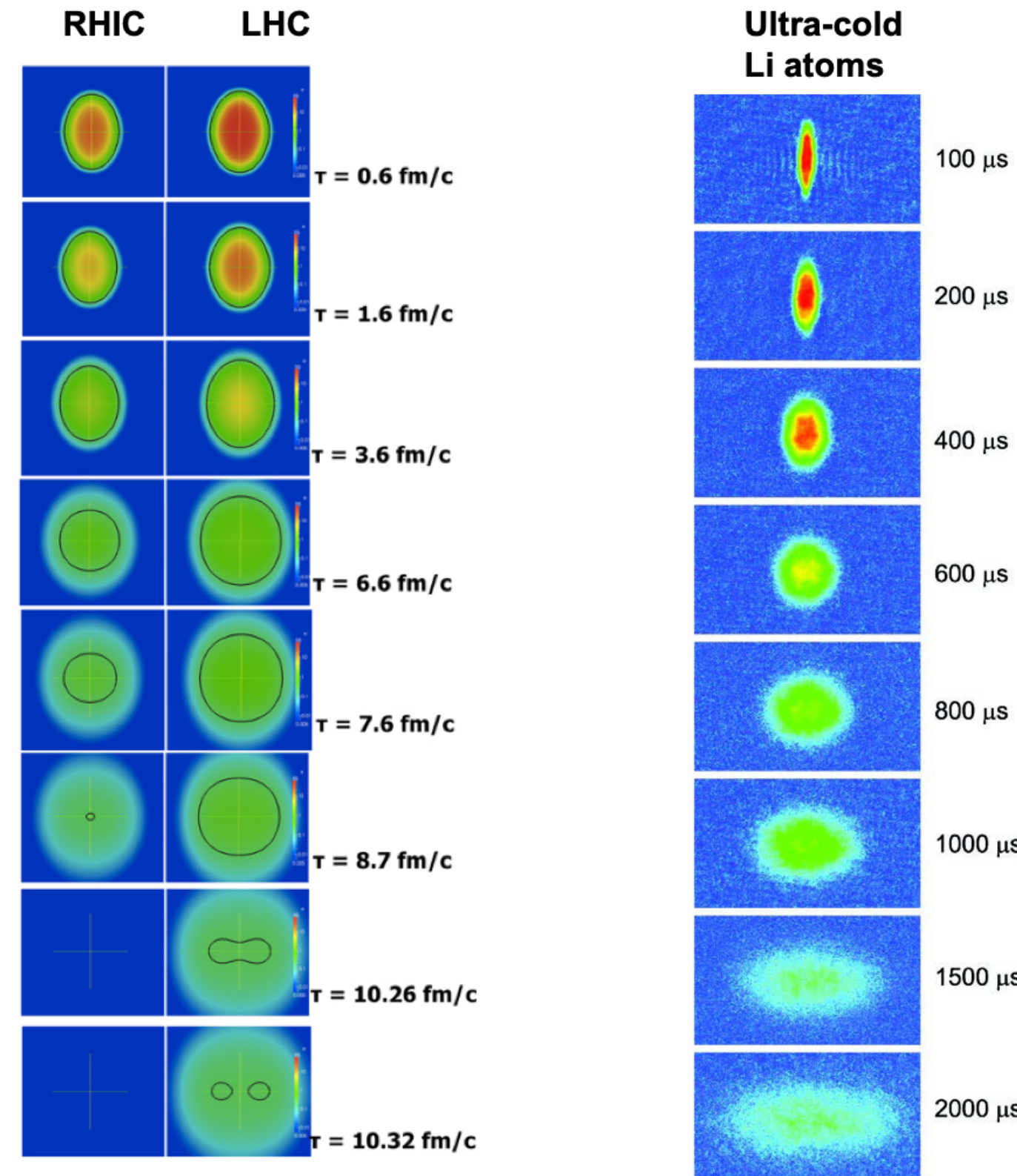


- Due to pressure gradients **spatial anisotropy** in collision translates to **momentum anisotropy**
 - Can be characterised by anisotropic flow coefficients v_n - expand azimuthal momentum in a Fourier series
- Magnitude of momentum anisotropy relates to how much 'flow' builds up
 - *how are constituents coupled?* Fundamental properties such as viscosity can be determined from data

Probing how the QGP 'liquid' flows



$$\frac{dN}{d\phi} \propto \sum_{n=1}^{\infty} 2v_n(p_T) \cos(n(\phi - \Psi_n))$$

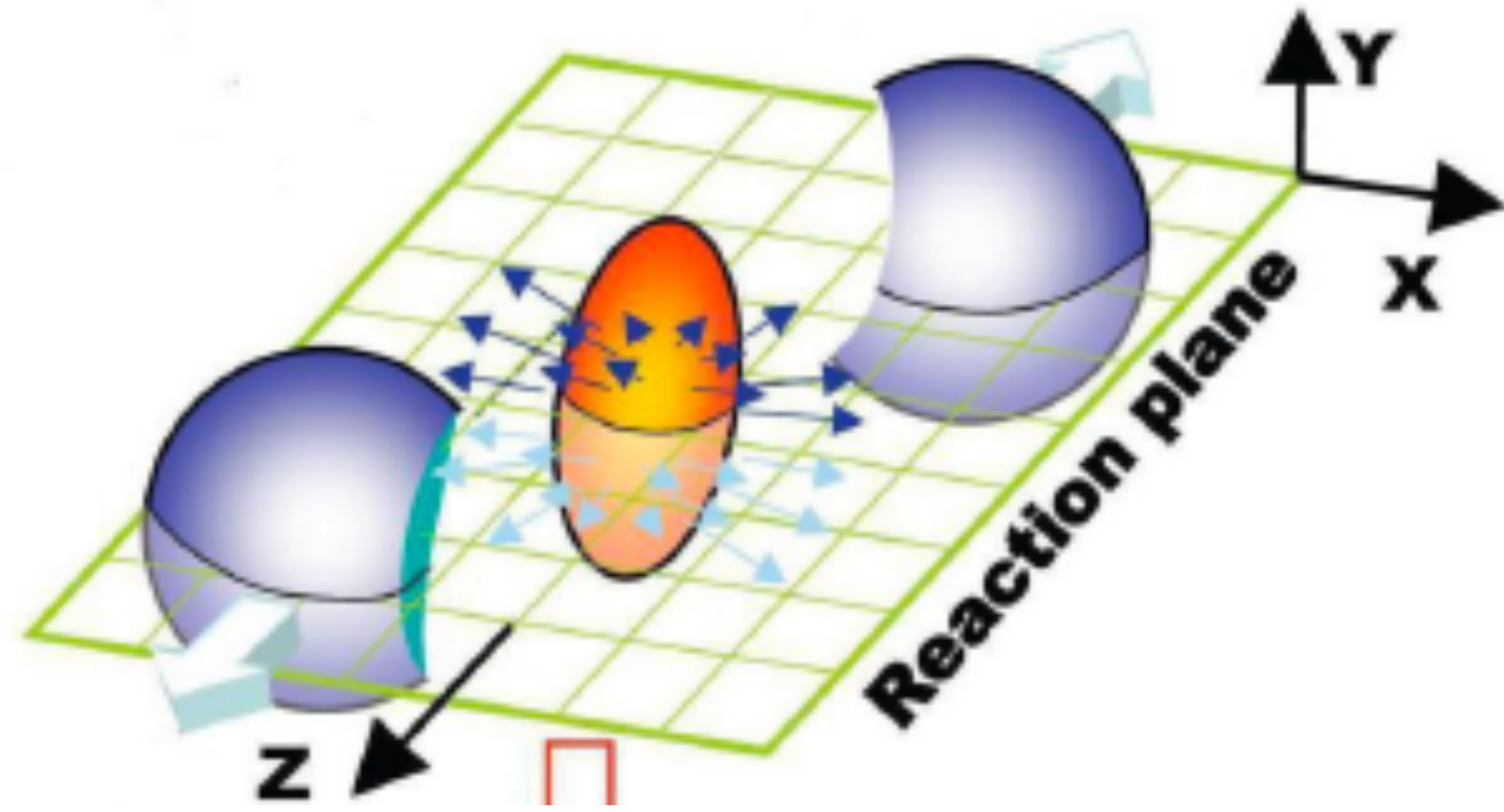


Similar expansion pattern to ultra-cold ($\sim 10^{-6}$ K) lithium atoms

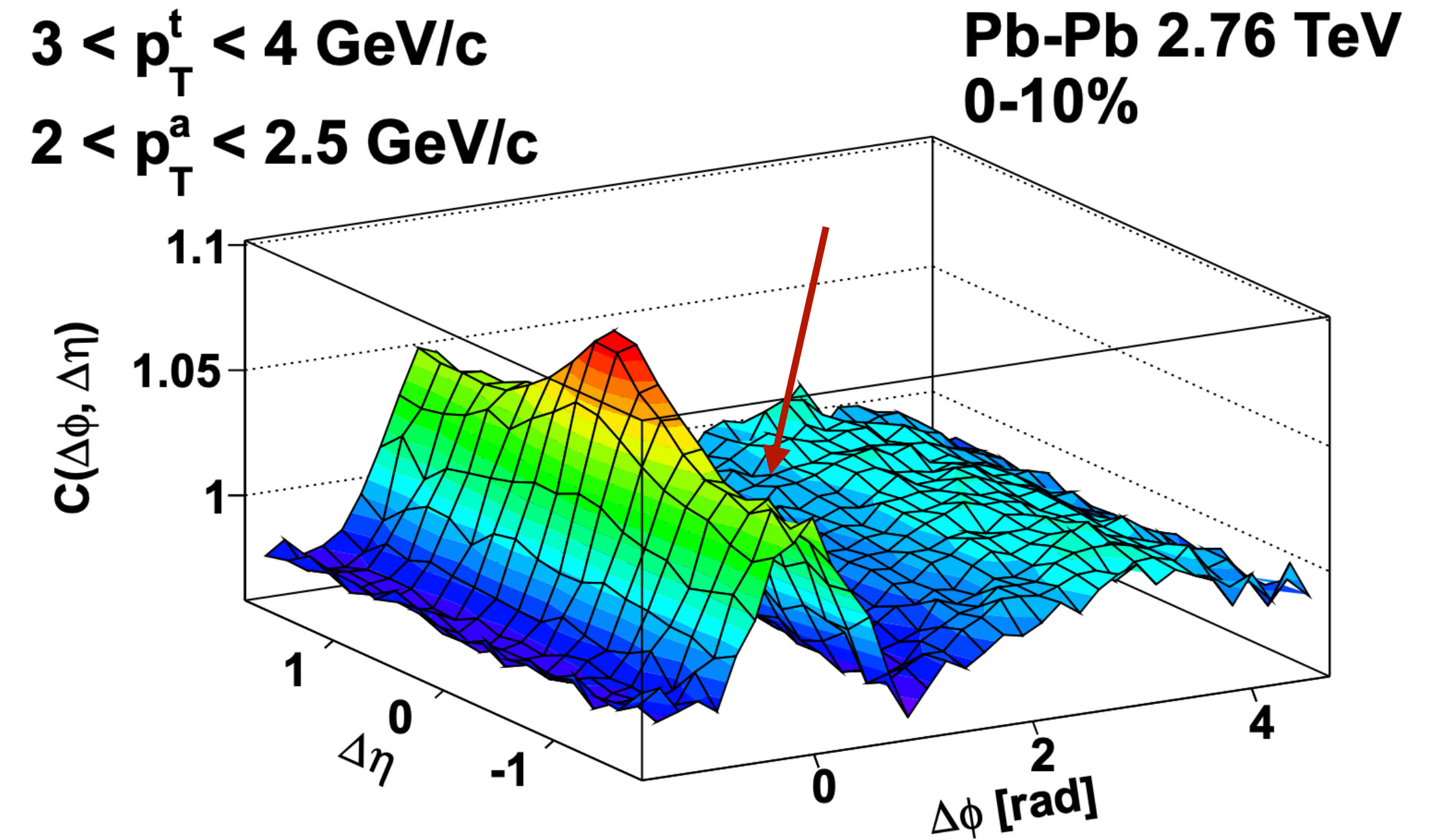
Striking similarity despite factor $\sim 10^{18}$ difference in temperature!

- Due to pressure gradients **spatial anisotropy** in collision translates to **momentum anisotropy**
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Probing how the QGP 'liquid' flows



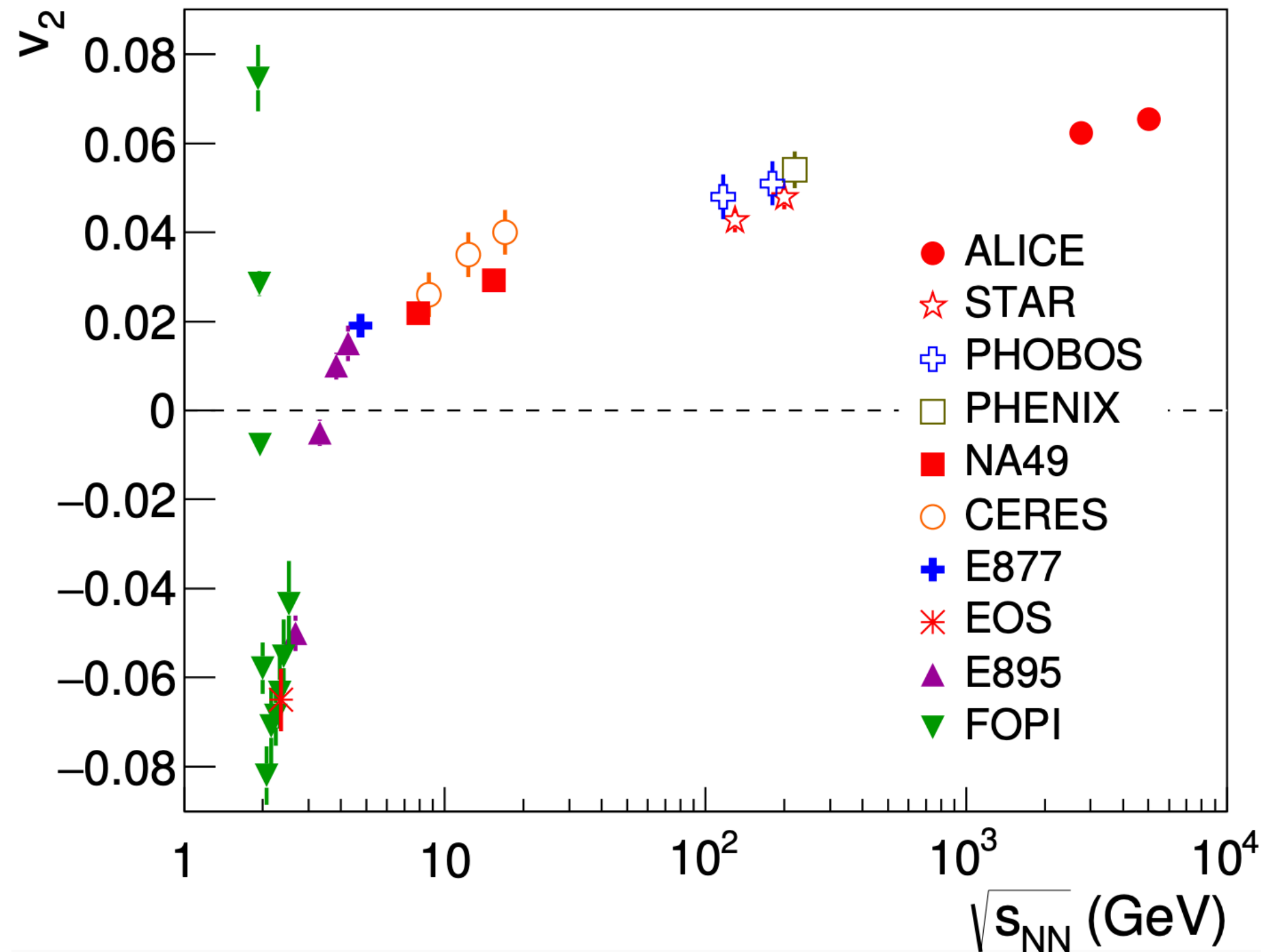
$$\frac{dN}{d\phi} \propto \sum_{n=1}^{\infty} 2v_n(p_T) \cos(n(\phi - \Psi_n))$$



- Can also probe collective effects via two particle correlations (correlation between 'trigger' and 'associated' particles)
- Long-range correlations over wide η range ('ridge') understood to be due to flow of system

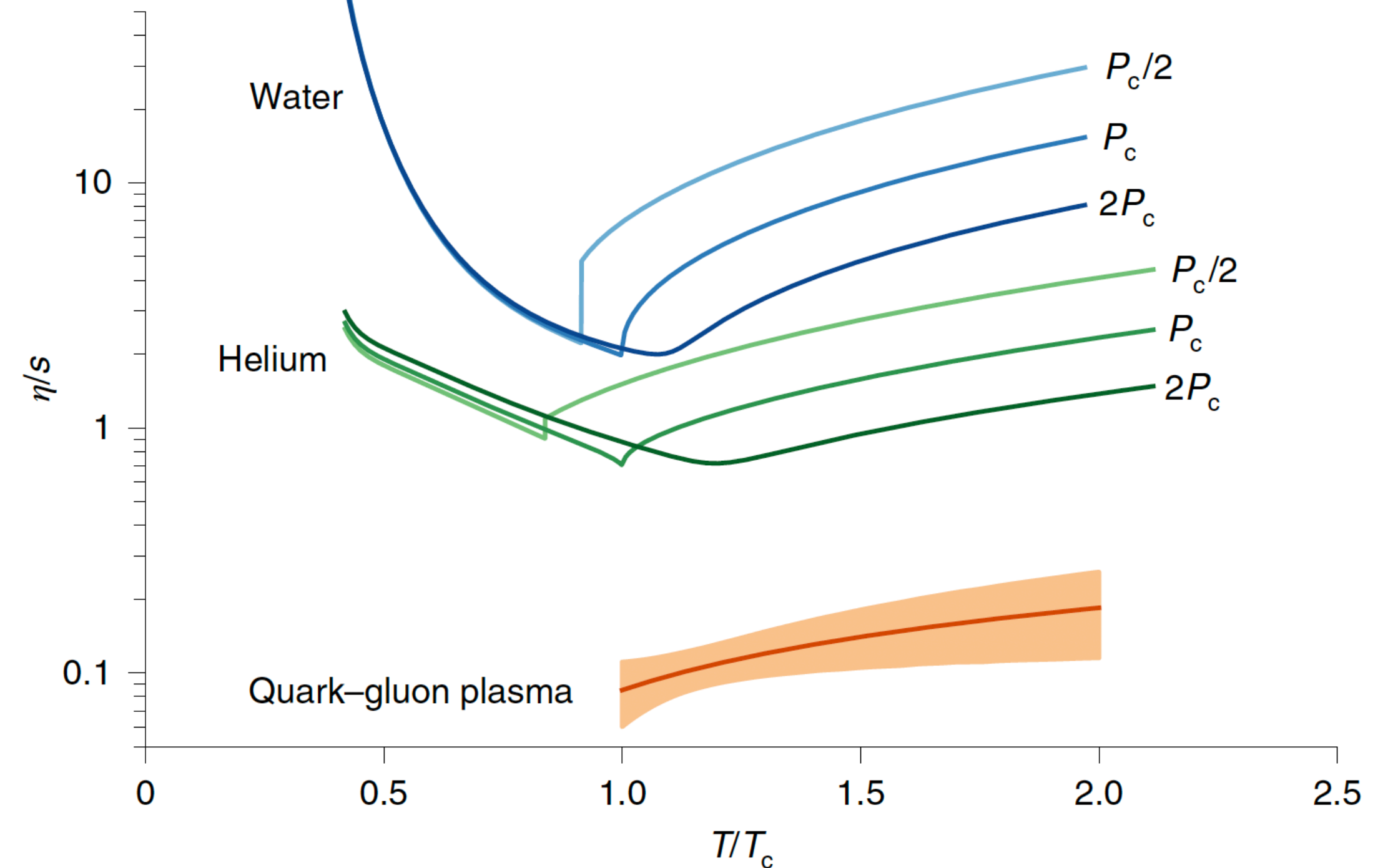
Probing how the QGP 'liquid' flows - elliptic flow v_2

ALICE: *Phys. Rev. Lett.* 105 (2010) 252302



J. Bernhart, J. Moreland, S. Bass
Nature Physics 15, 1113–1117 (2019)

Bayesian
Parameter
Estimation



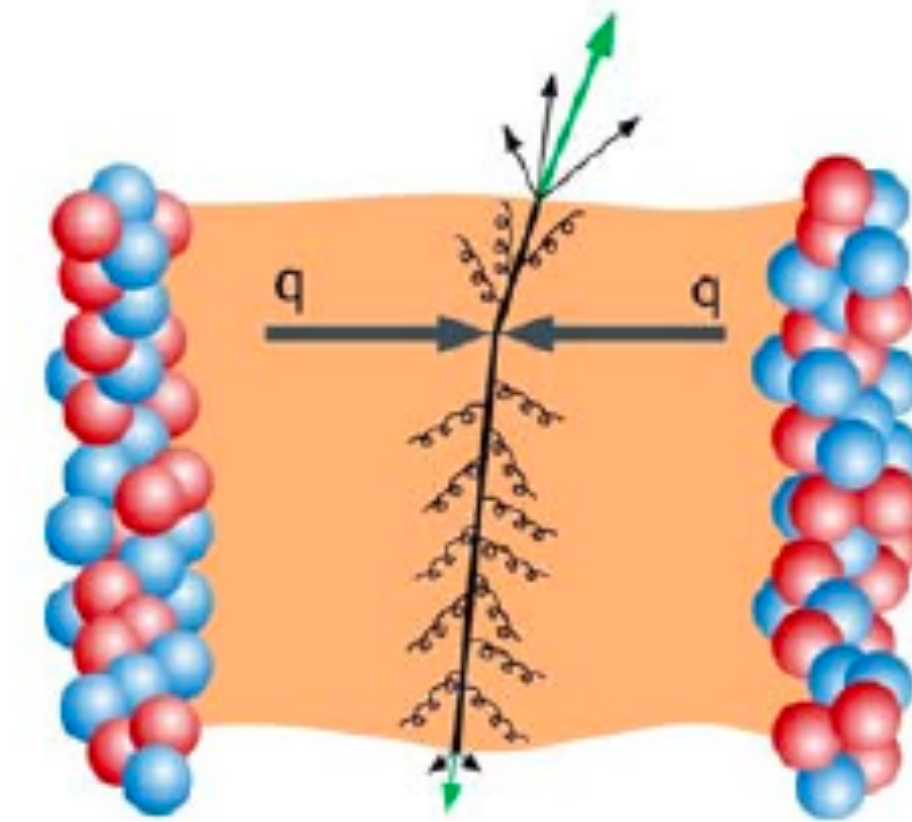
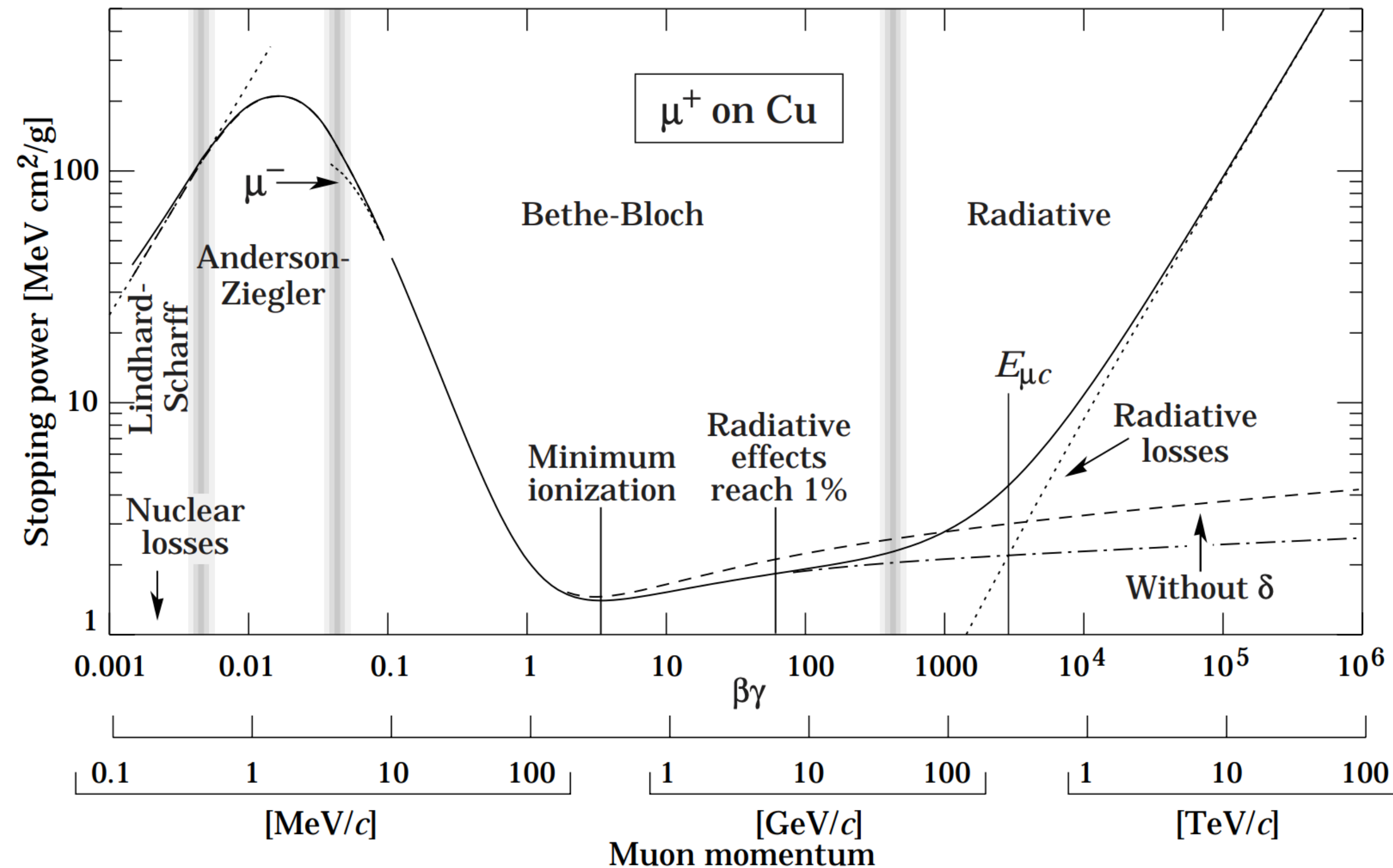
- shear viscosity over entropy density $\eta/s \sim 0.1$
→ **smallest viscosity of any known liquid**

Close to lower limit from AdS/CFT (correspondence between strongly-coupled quantum theories and certain weakly-coupled quantum gravity theories)

$$\eta/s = 1/4\pi \sim 0.08$$

Probing the QGP with jets and heavy-flavour particles

- Out-of equilibrium ‘hard’ probes provide a unique way to probe the medium created
- **Jets** and **heavy-flavour (charm and beauty) particles** - created at the start of the collision, and experience full evolution of the system



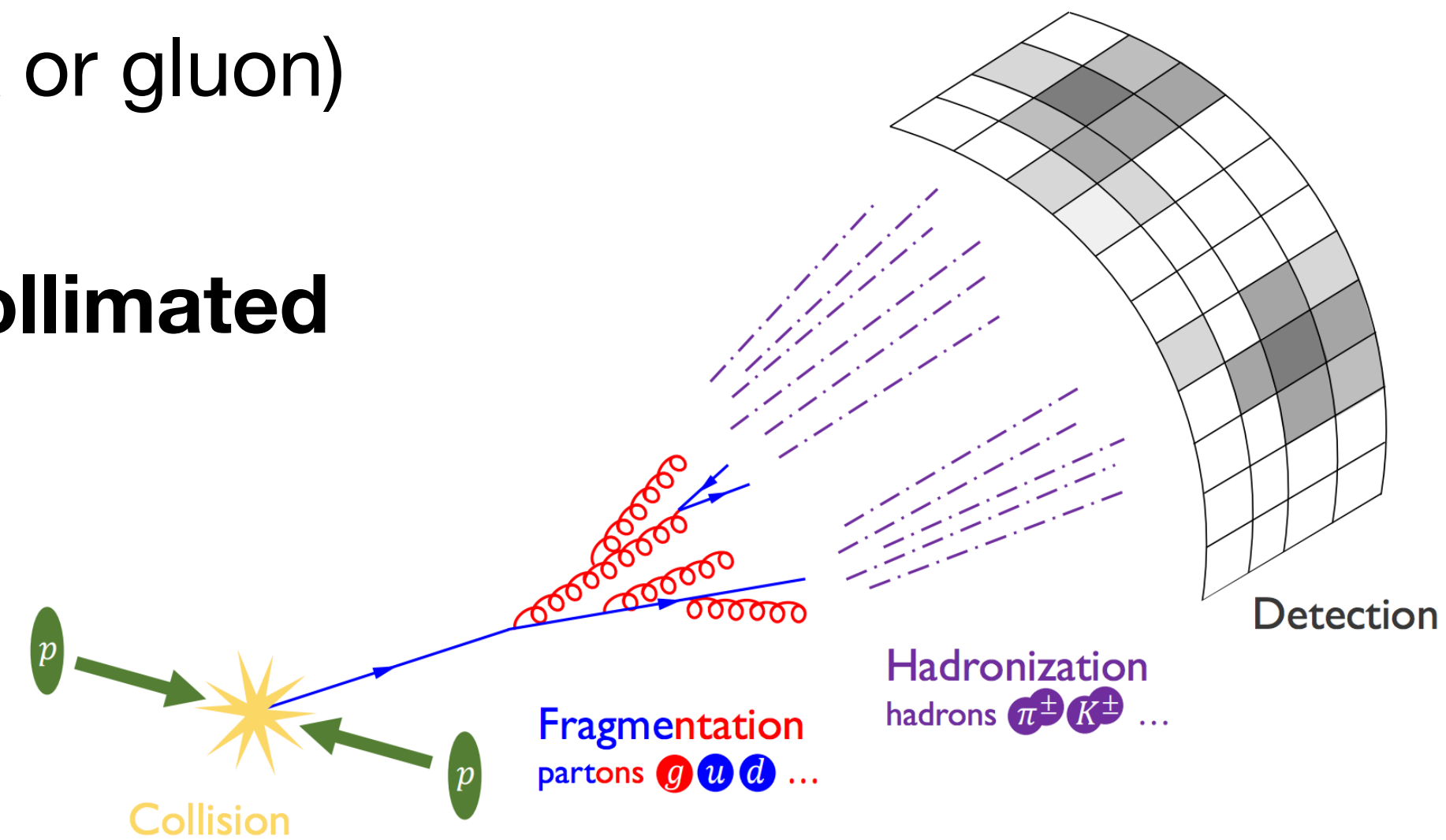
Can we map the ‘Bethe-Bloch curve of QCD matter’?

Jets in heavy-ion collisions

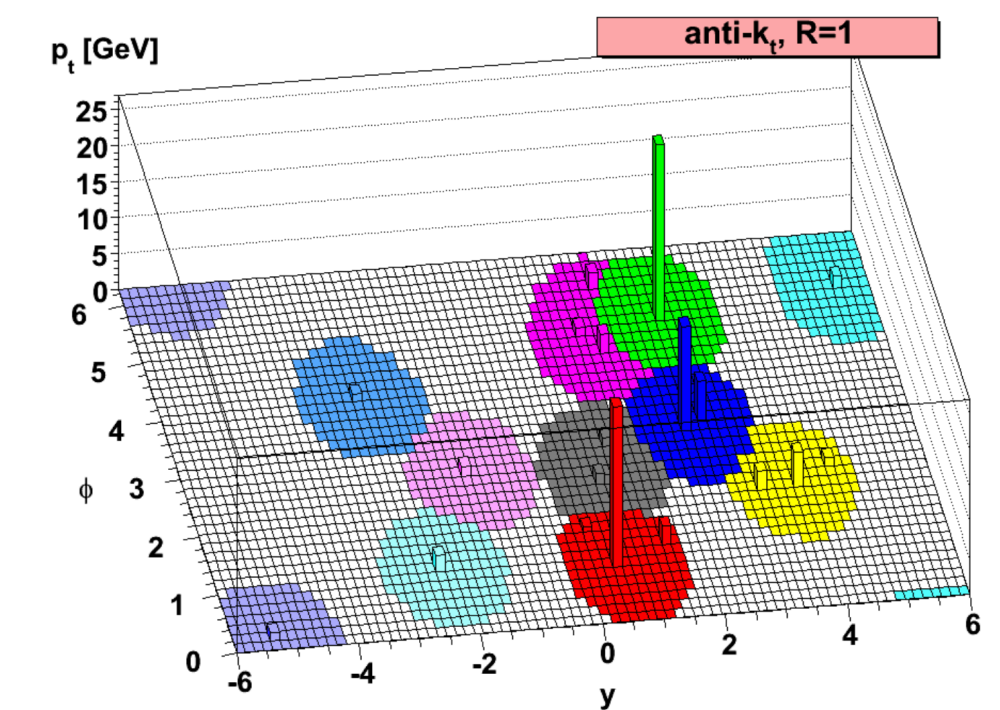
- Evolution of hard parton (quark or gluon)
→ gluon radiation
- Experimentally measured as **collimated spray of hadrons**

Reconstruct jets

→ measure initiating parton



Jet algorithms - precise connection between QCD theory and experiment



e.g. anti- k_T

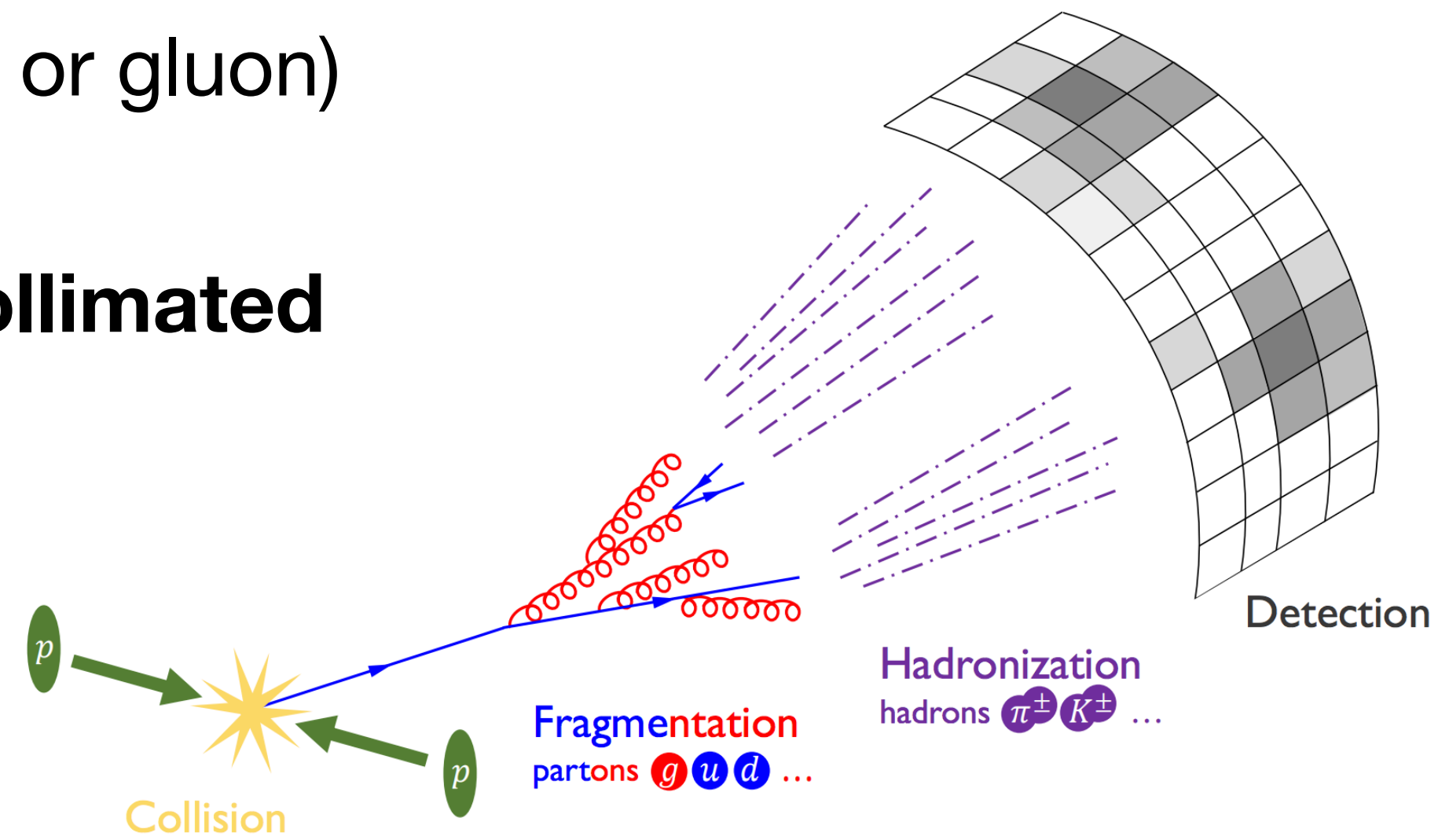
M. Cacciari, G. Salam, G. Soyez, JHEP 04 (2008) 063

Jets in heavy-ion collisions

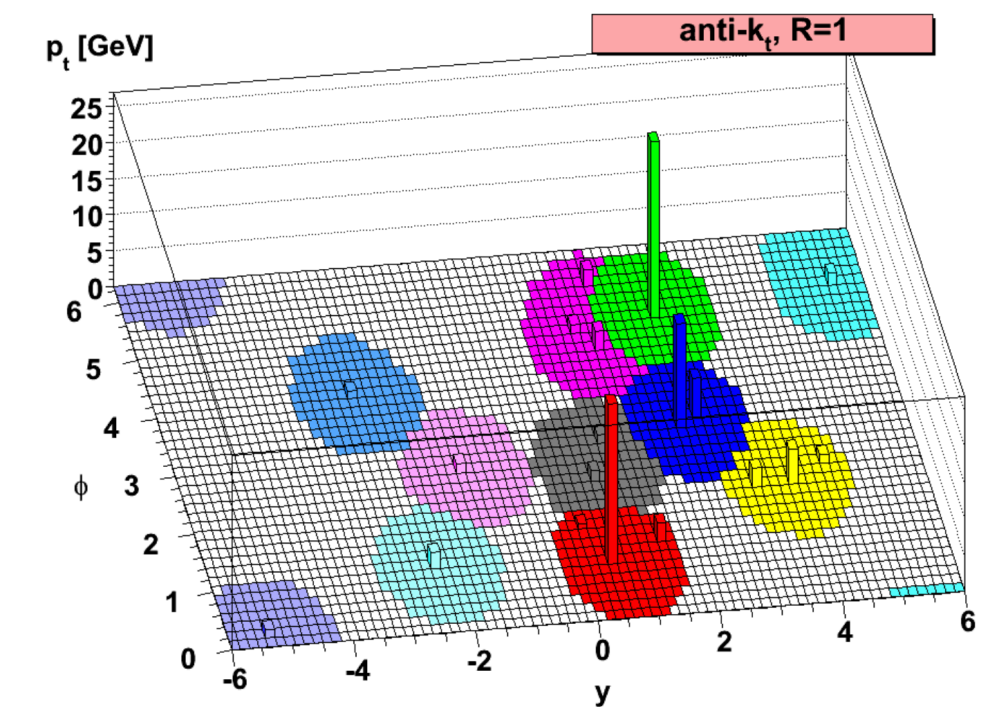
- Evolution of hard parton (quark or gluon) → gluon radiation
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Reconstruct jets

→ **measure initiating parton**



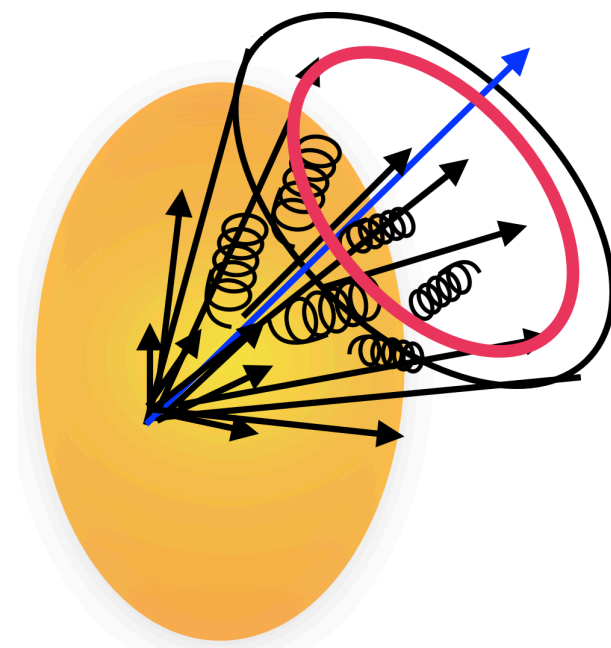
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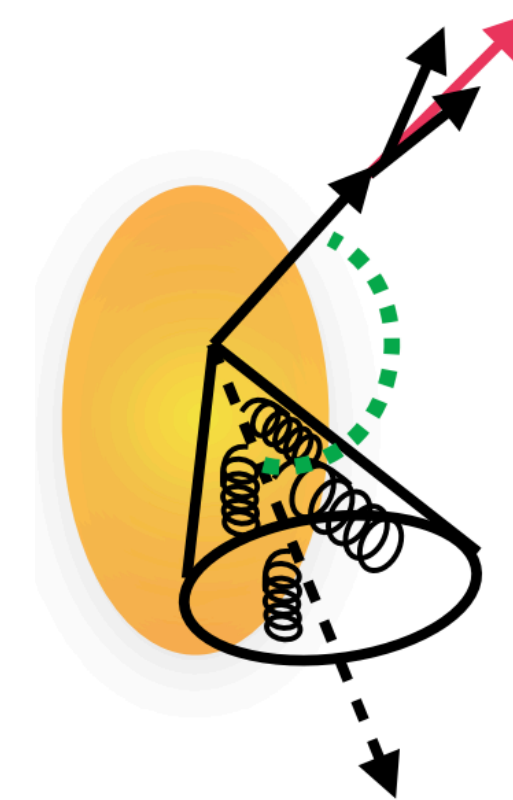
e.g. anti- k_T

M. Cacciari, G. Salam, G. Soyez, JHEP 04 (2008) 063

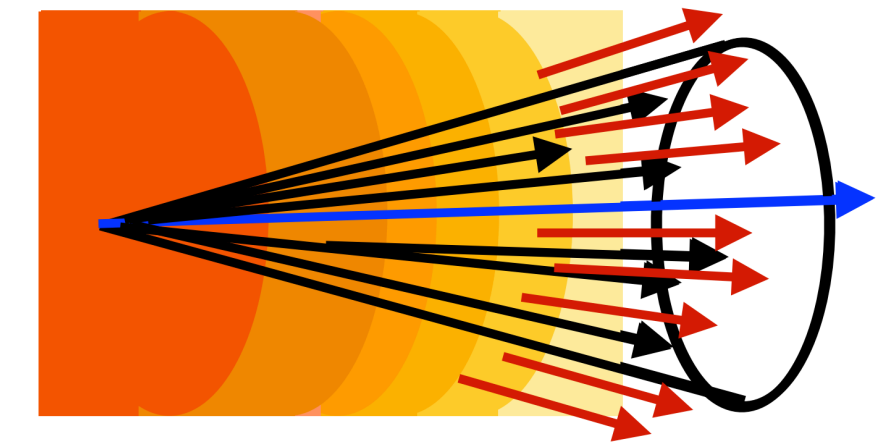
Jets interact with QGP
- manifests in different ways
e.g:



Energy loss - *energy transport* outside jet cone



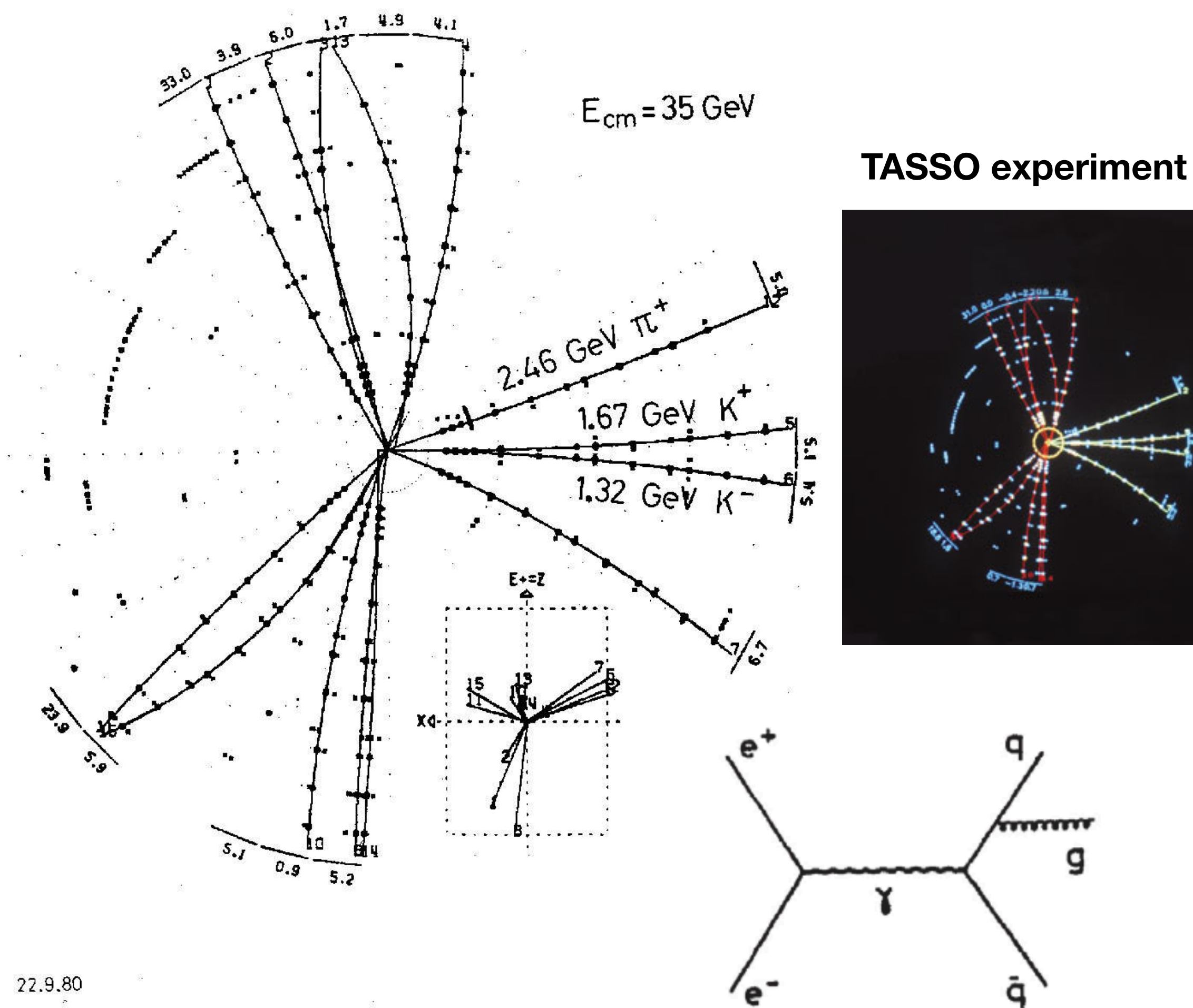
Jet deflection via multiple soft scatters or single hard scatters



Response of medium to (out-of-equilibrium) jet probe - *wake effects*

Jets in elementary particle collisions (e+e-, pp)

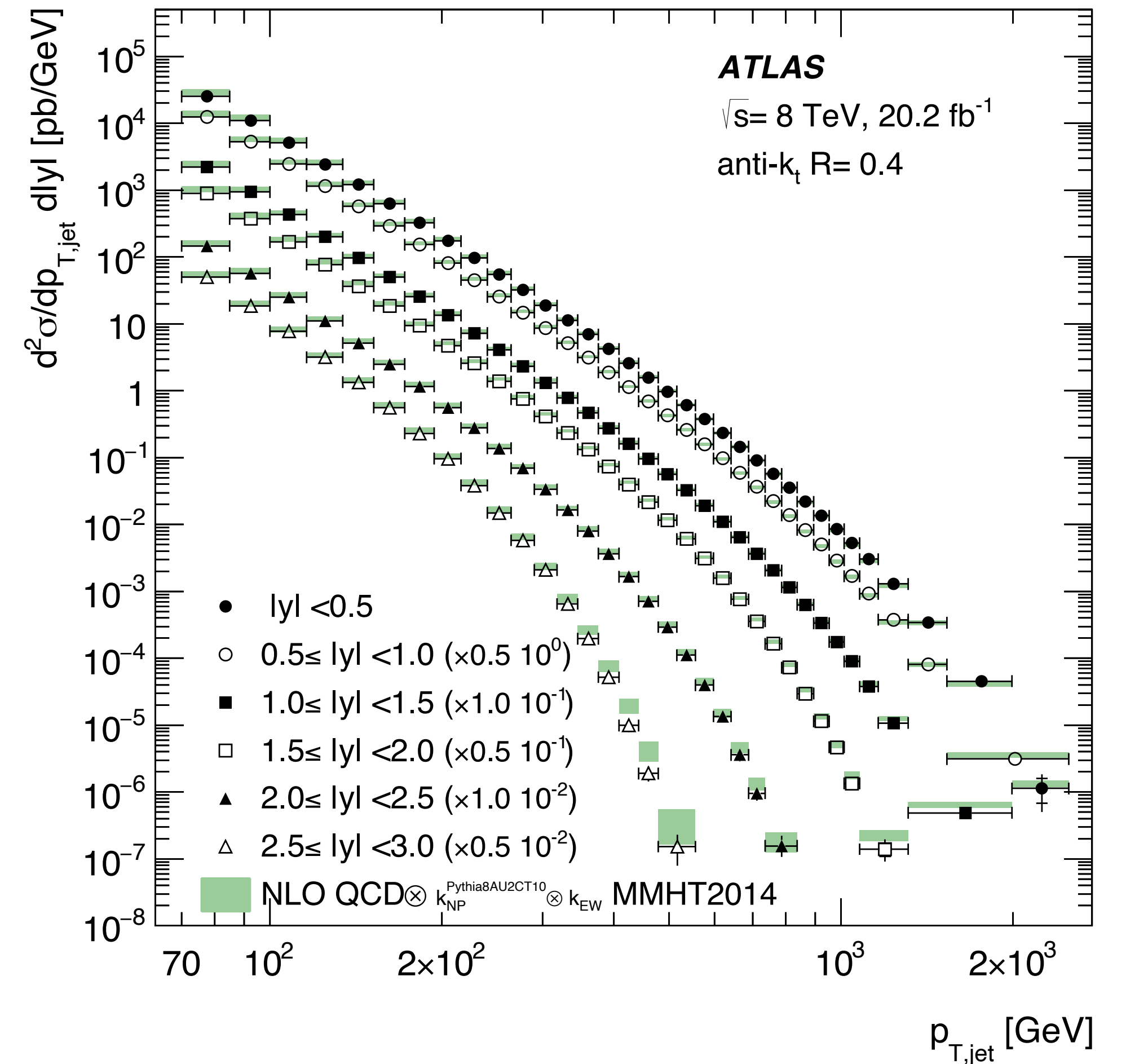
ATLAS: JHEP 09 (2017) 020



22.9.80

Three-jet event provided first direct evidence for gluons (1979)

<https://journals.aps.org/collections/50-years-QCD>



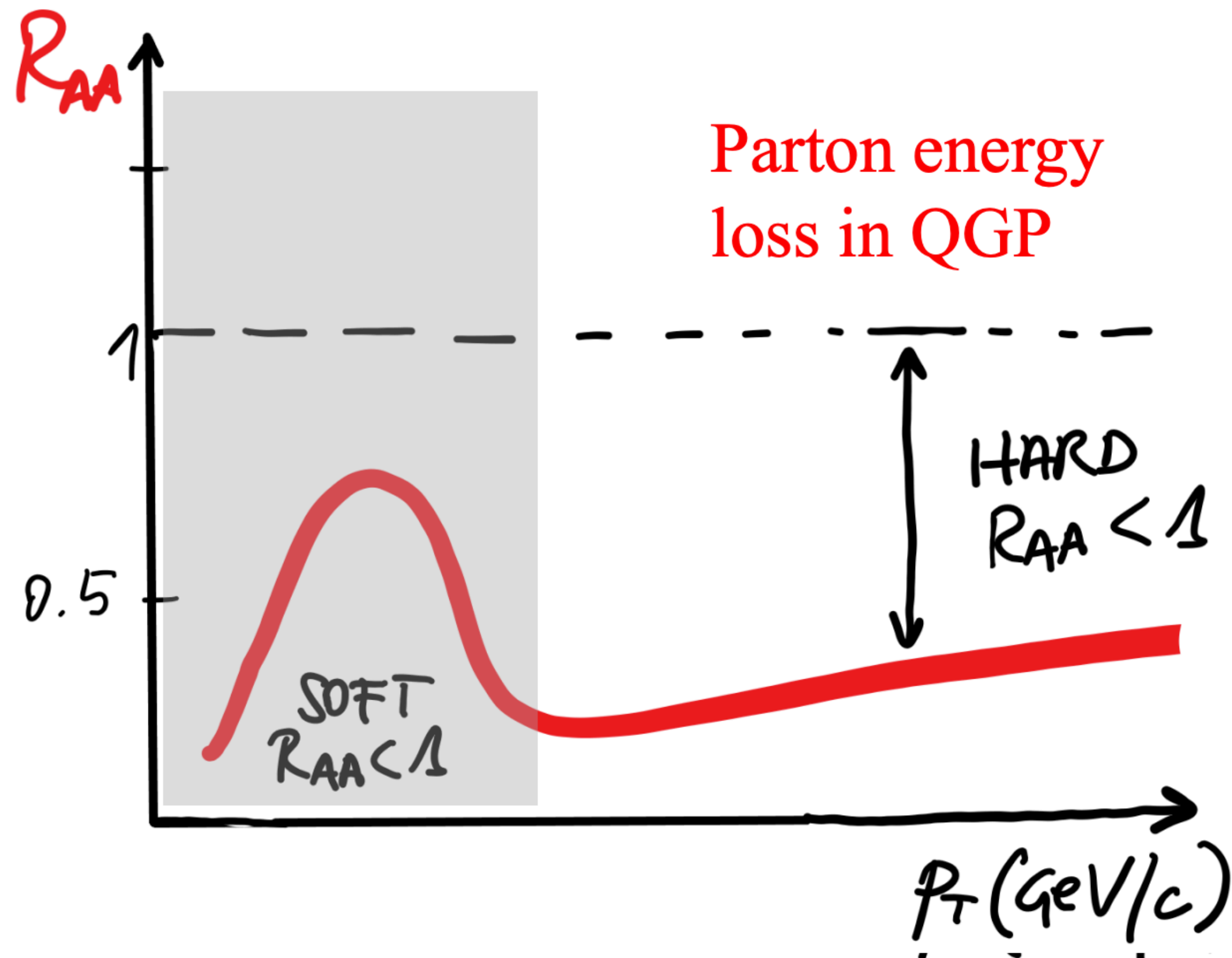
At LHC QCD predictions describe data over 14 orders of magnitude of production cross section

Energy loss in the QGP via nuclear modification factor R_{AA}

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA}/dp_T}{dN_{pp}/dp_T}$$

Asks the question:

How is the production of ‘something’ *different* in collisions of protons/neutrons **within nuclei**, with respect to the **same number of independent proton-proton collisions**?

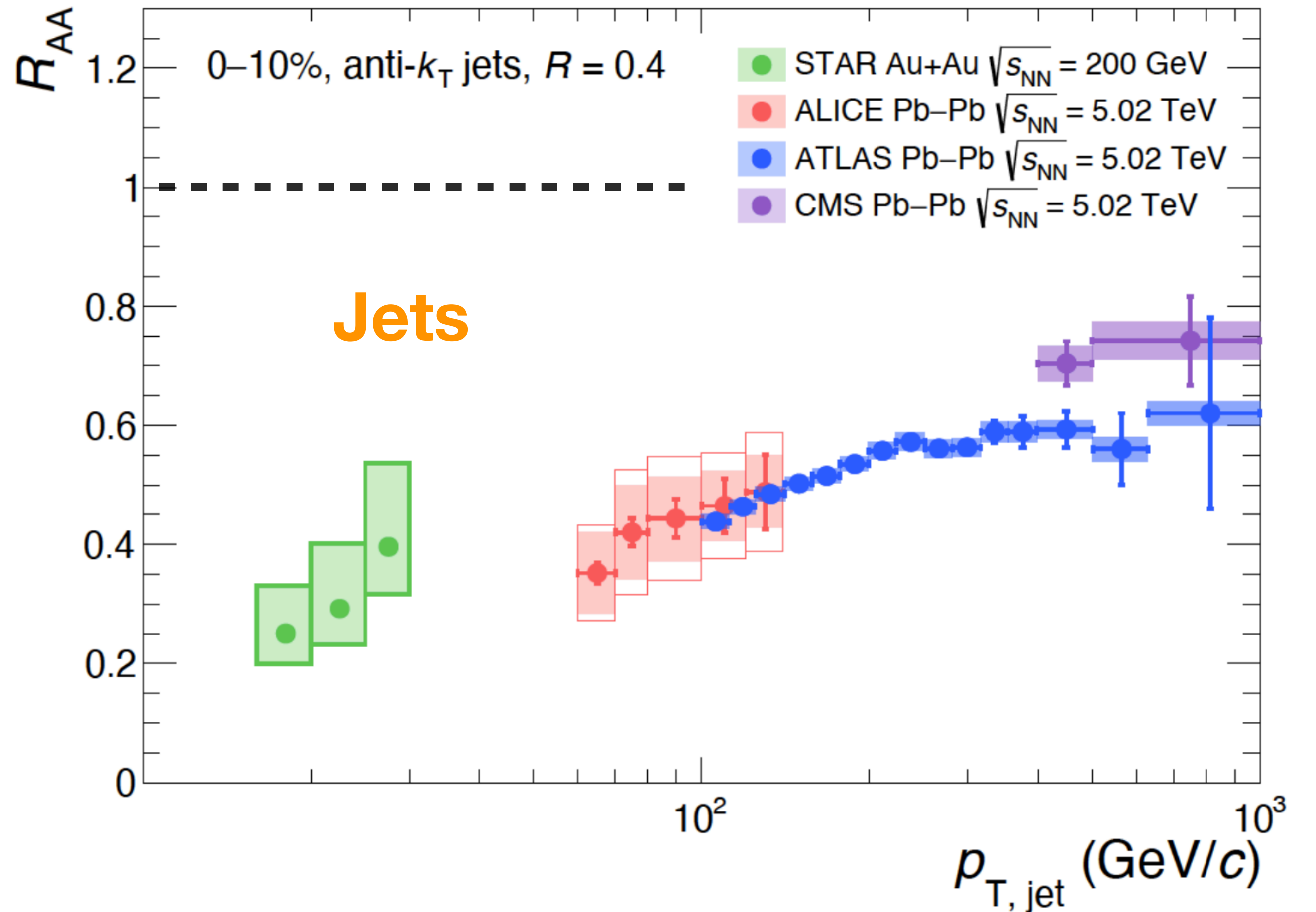
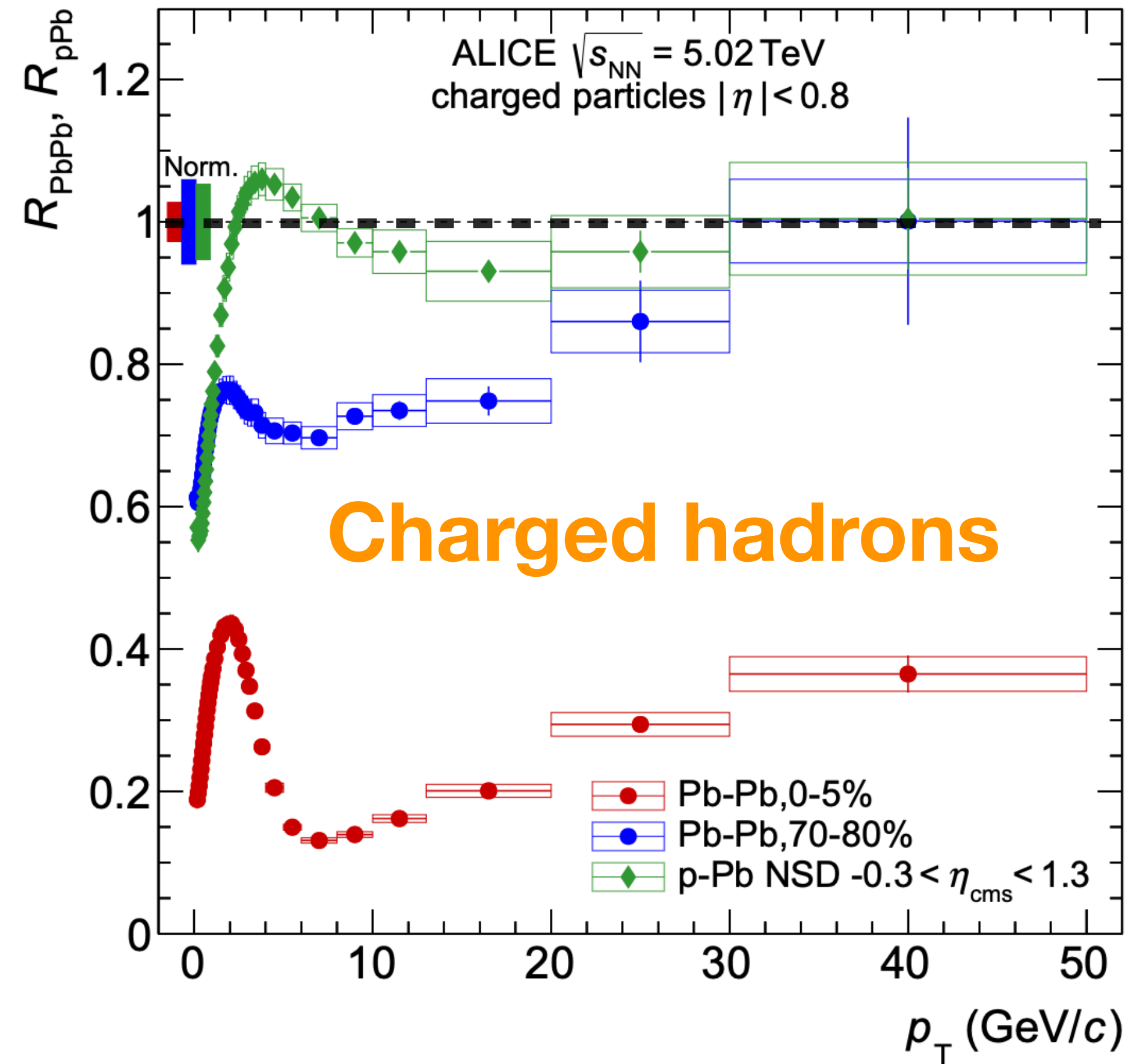


- $R_{AA} = 1$: **no modification** due to presence of QGP
- $R_{AA} < 1$ at high p_T : **suppression** due to presence of QGP
- interpreted as *energy loss* due to partonic interactions

Fig. F. Bellini

Partonic energy loss in the QGP

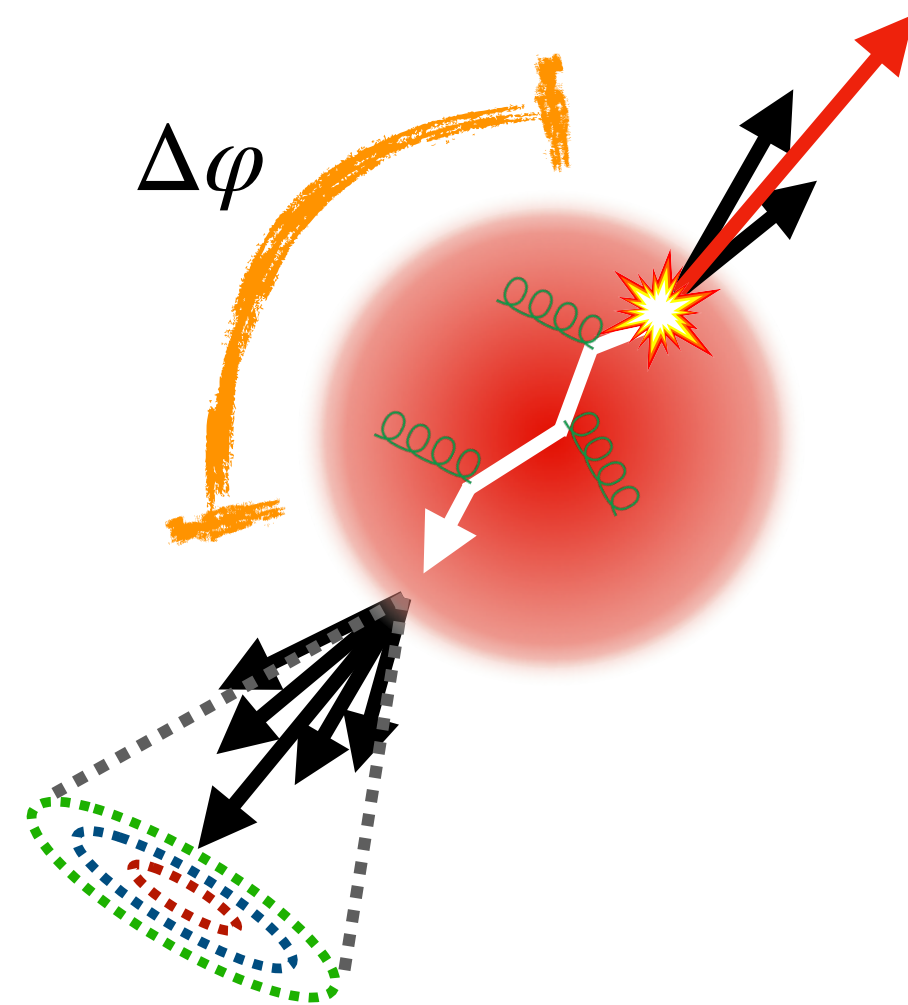
J. Harris, B. Müller, arxiv:2308.05743



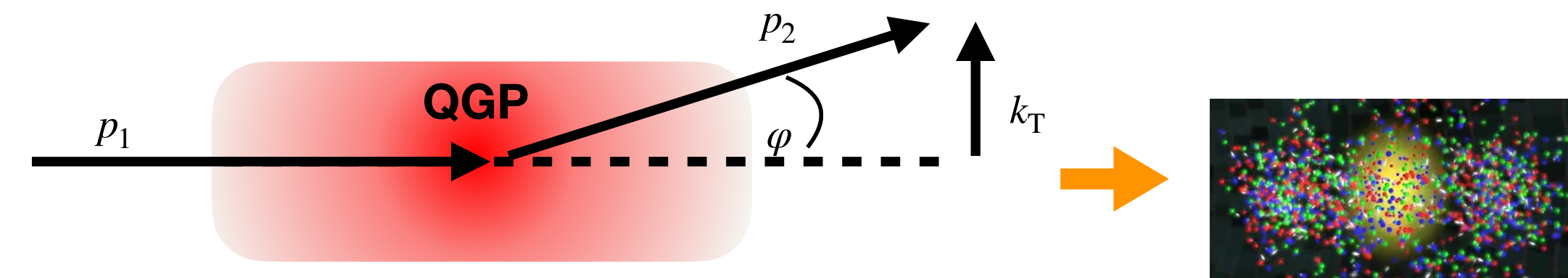
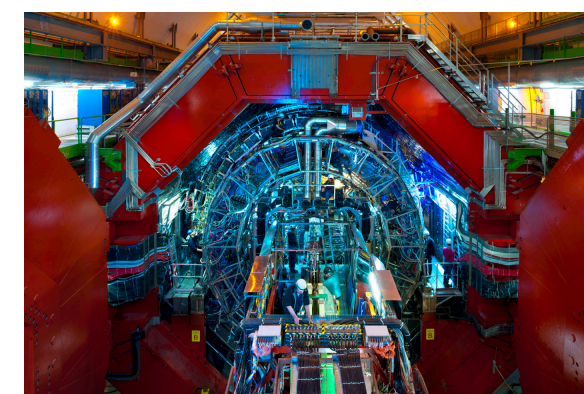
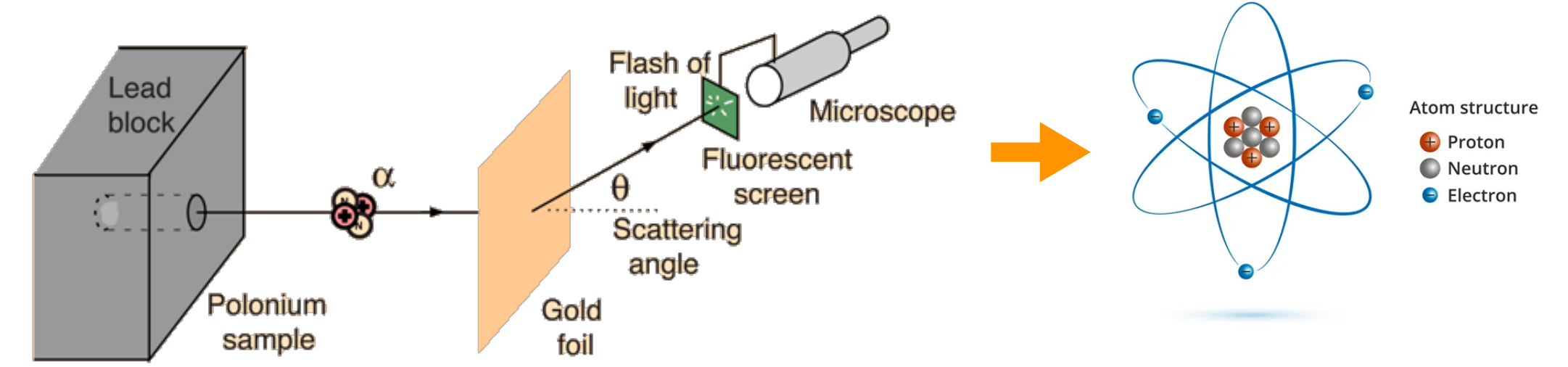
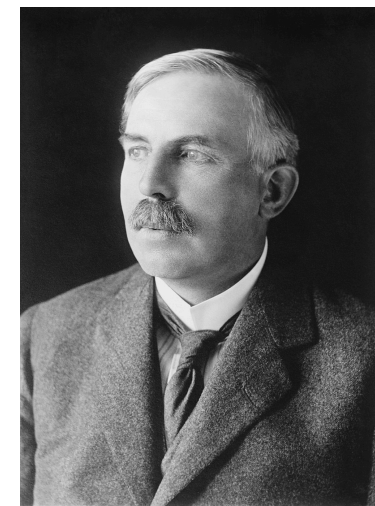
- Significant suppression of high- p_T charged hadrons / jets
→ medium-induced energy loss

Coincidence measurements of jets

- **Can coincidence measurements** resolve short-distance QGP structure?
- Transverse broadening of jet also gives fundamental insight into transport properties of QGP
- Example -> hadron+jet correlation - measure azimuthal angle between high- p_T hadron and jet



how does a strongly-coupled liquid emerges from (weakly-coupled) constituent degrees of freedom?
‘Rutherford-like’ scattering experiment



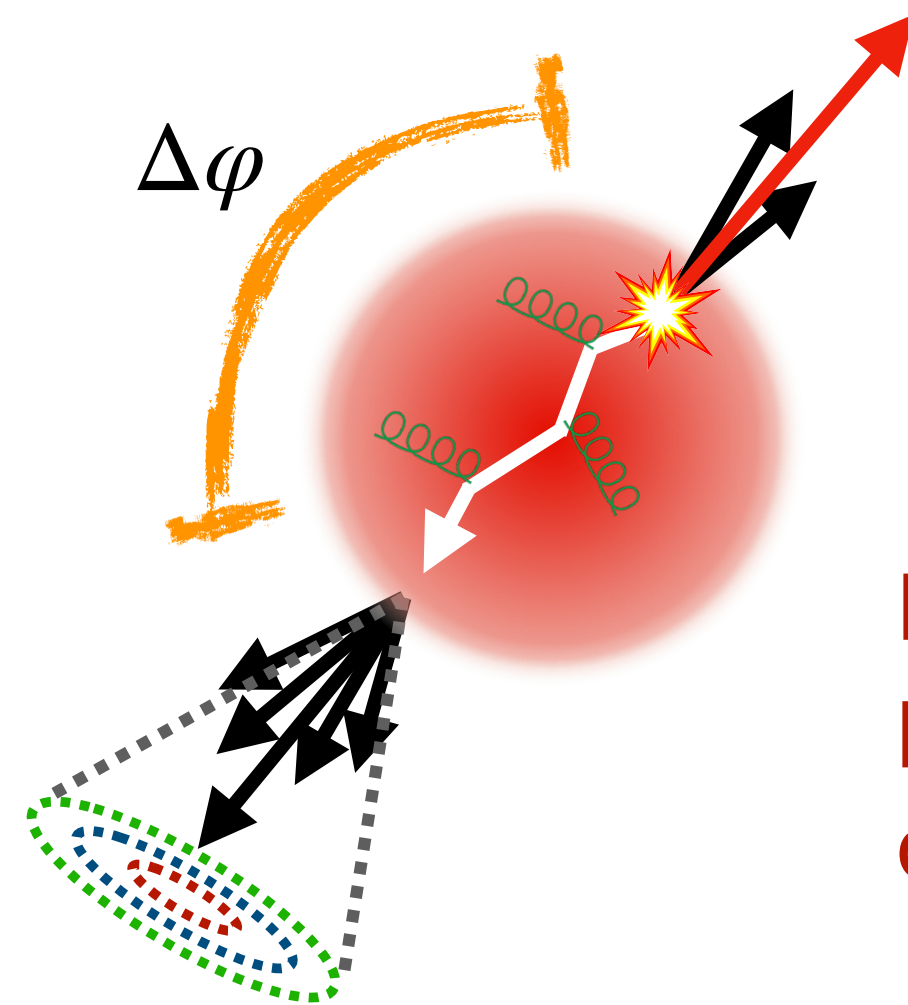
F. D’eramo, M. Lekaveckas, H. Liu, K. Rajagopal, *JHEP* 05 (2013) 031
 F. D’eramo, K. Rajagopal, Y. Yin *JHEP* 01 (2019)

P. Caucal, Y. Mehtar-Tani: *Phys.Rev.D* 106 (2022) 5, L051501
JHEP 09 (2022) 023
Phys.Rev.D 108 (2023) 1, 014008

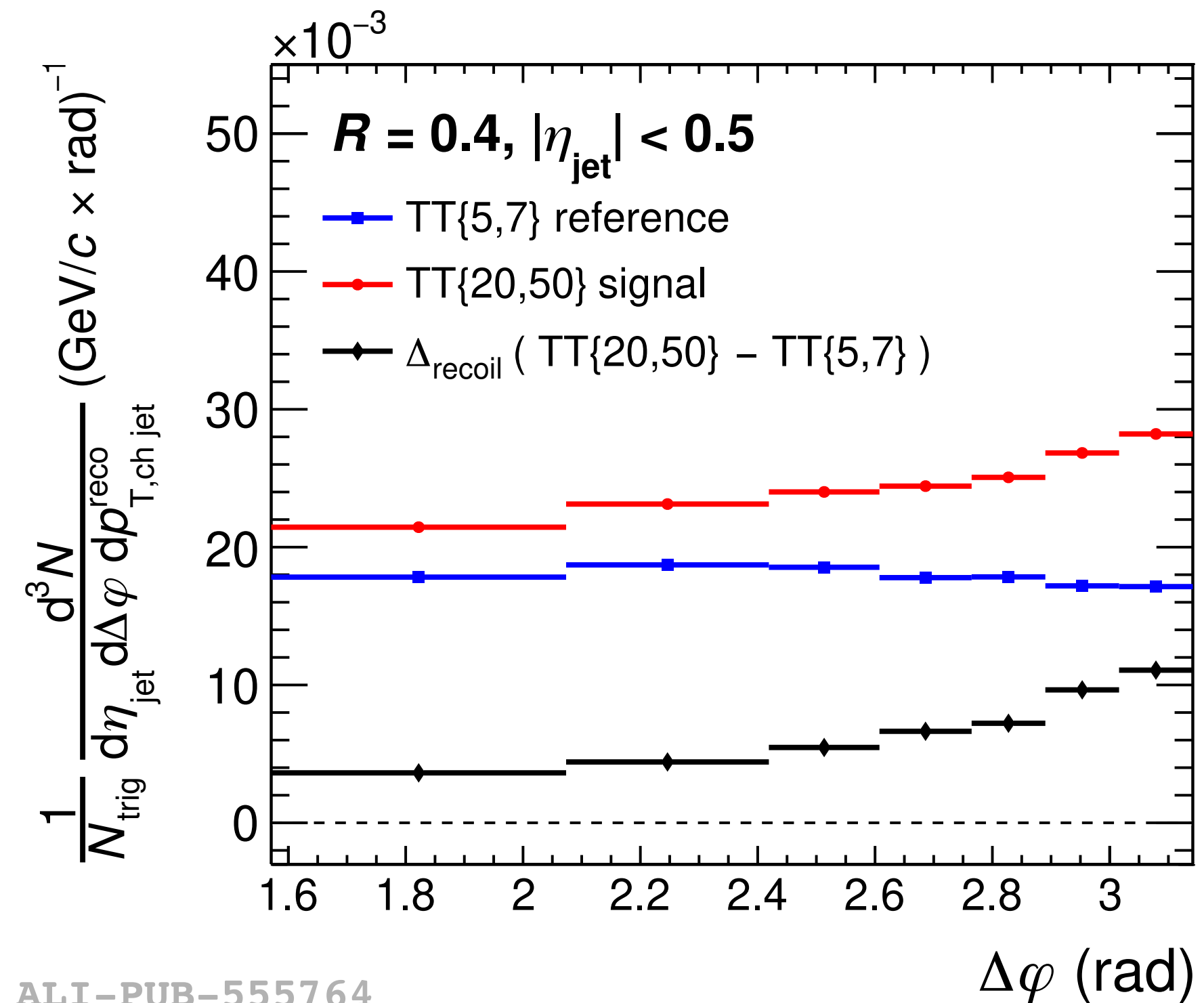
Coincidence measurements of jets

ALICE: Phys.Rev.Lett. 133 (2024) 2, 022301, Phys.Rev.C 110 (2024) 1, 014906

- **Can coincidence measurements** resolve short-distance QGP structure?
- Transverse broadening of jet also gives fundamental insight into transport properties of QGP
- Example -> hadron+jet correlation - measure azimuthal angle between high- p_T hadron and jet



Developed tools to push jet measurements down to low p_T !



ALI-PUB-555764

- **Subtract uncorrelated background:** yield difference between two exclusive trigger track-classed distributions: **'signal'** and **'reference'**:

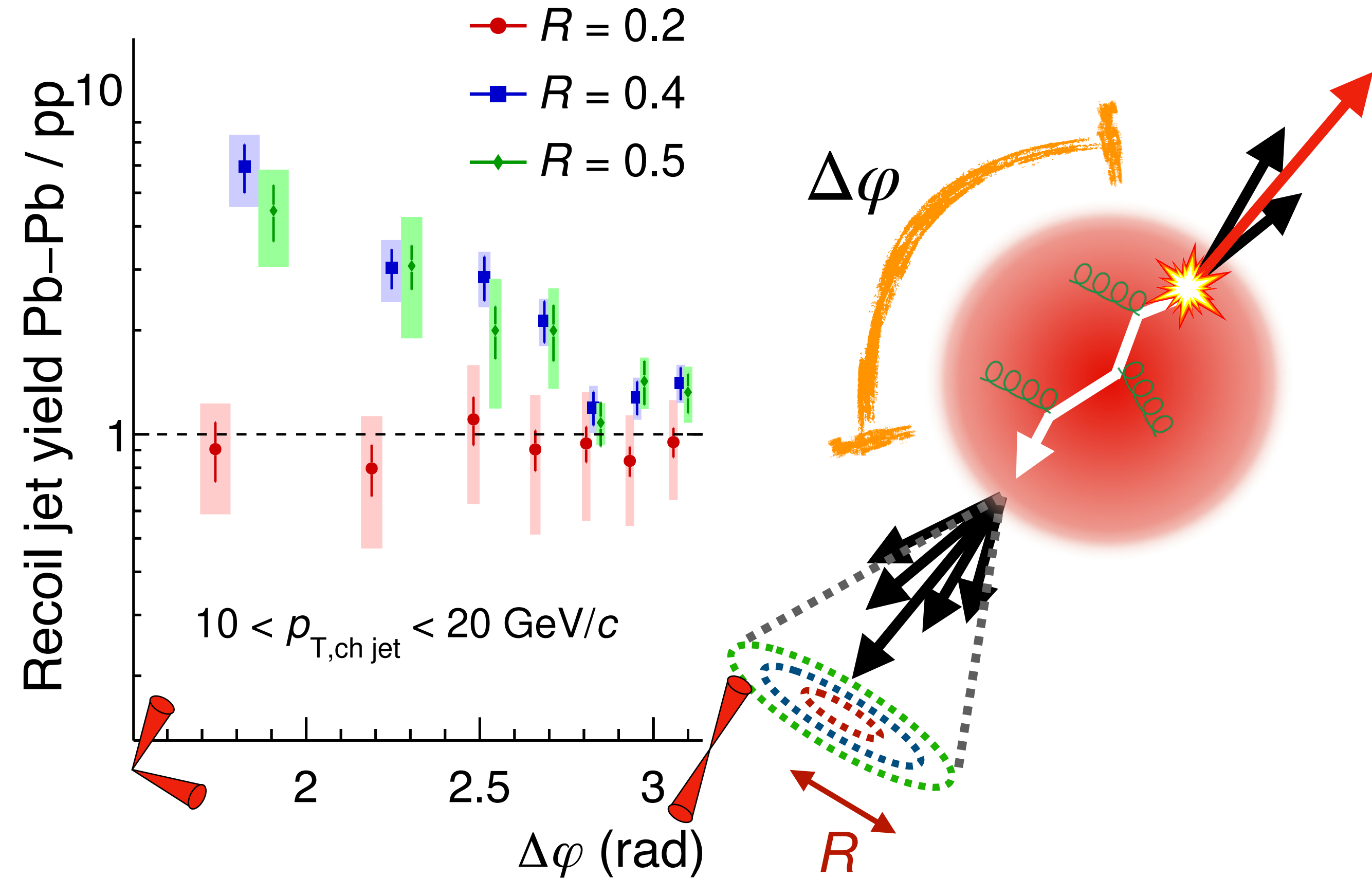
$$\Delta_{\text{recoil}} = \frac{1}{N_{\text{trig}}^{\text{AA}}} \frac{d^3 N_{\text{jet}}^{\text{AA}}}{dp_{T,\text{jet}}^{\text{ch}} d\Delta\phi d\eta_{\text{jet}}} \Big|_{p_{T,\text{trig}} \in \text{TT}_{\text{Sig}}} - c_{\text{Ref}} \cdot \frac{1}{N_{\text{trig}}^{\text{AA}}} \frac{d^3 N_{\text{jet}}^{\text{AA}}}{dp_{T,\text{jet}}^{\text{ch}} d\Delta\phi d\eta_{\text{jet}}} \Big|_{p_{T,\text{trig}} \in \text{TT}_{\text{Ref}}}$$

Coincidence measurements of jets

ALICE: Phys.Rev.Lett. 133 (2024) 2, 022301, Phys.Rev.C 110 (2024) 1, 014906

Significant broadening of $\Delta\varphi$ distribution
 R -dependence and model comparisons
 indicates its due to medium response to jets

- Theory indicates that internal structure of jets may be most sensitive to large-angle scatterings



See also e.g:

γ -jet: CMS: PRL 119, 082301 (2017)

CMS: Phys. Lett. B 785 (2018) 14

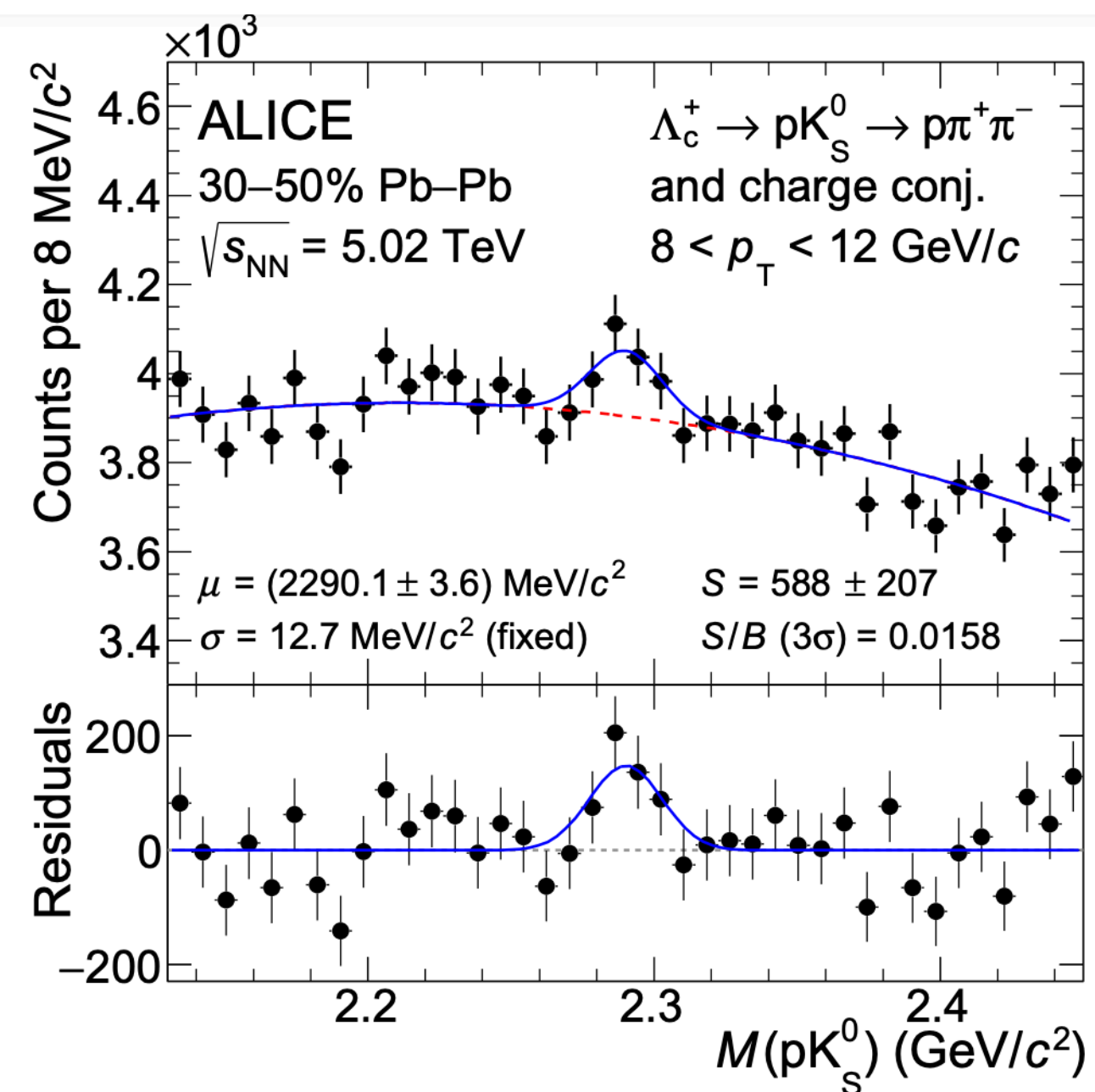
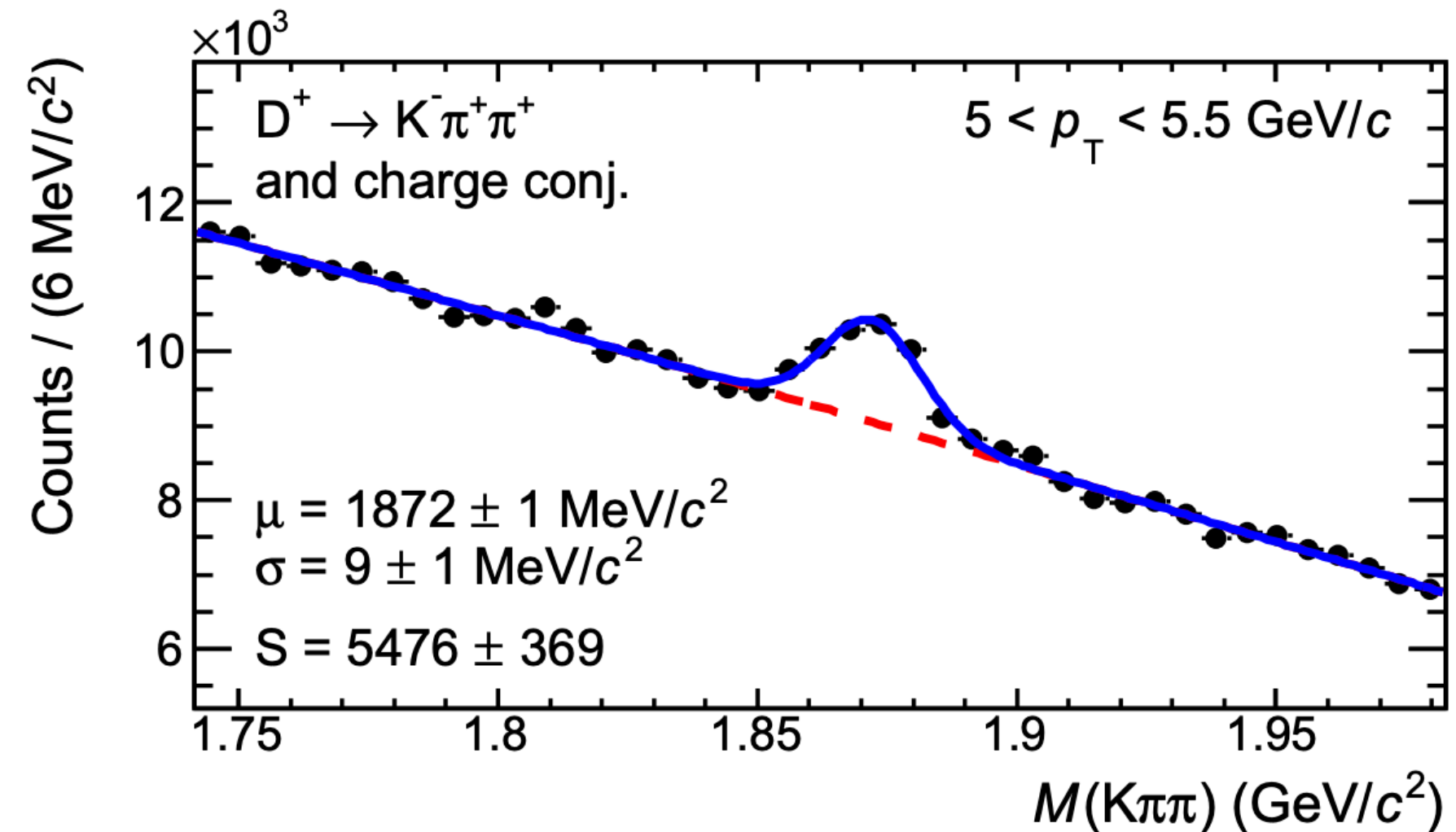
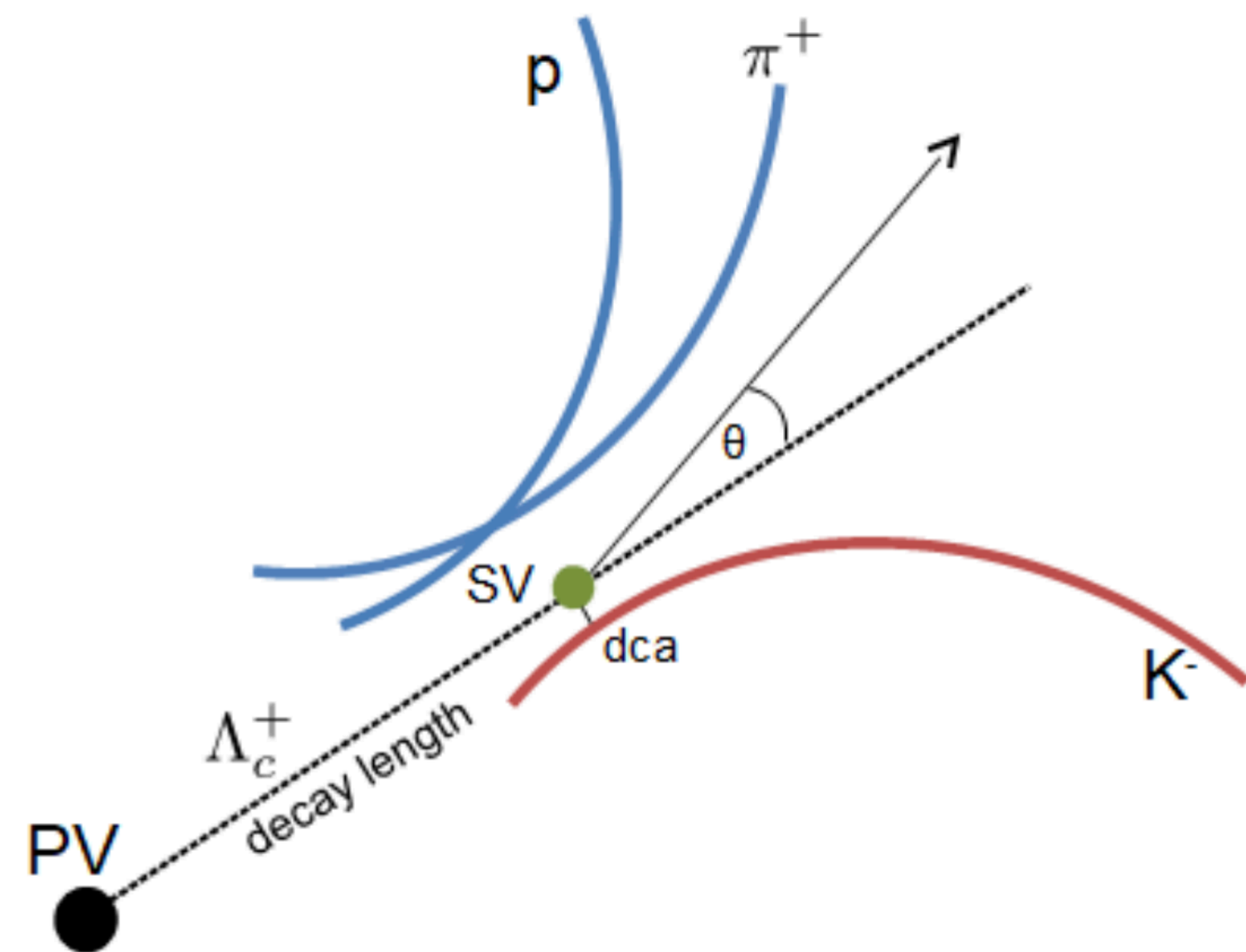
Z-jet: CMS: Phys. Rev. Lett. 119, 082301 (2017)

D-hadron: ALICE: Eur.Phys.J.C 77 (2017) 4, 245

Heavy-flavour measurements

Can reconstruct heavy hadrons via decay products

- Background and charm from decays of B hadrons distinguished from ‘prompt’ charm via displaced vertex
- Signal extracted via fits to invariant mass distribution



Relatively small production cross sections and branching fractions - Machine learning techniques crucial in many cases to separate signal from huge background present in HI collisions

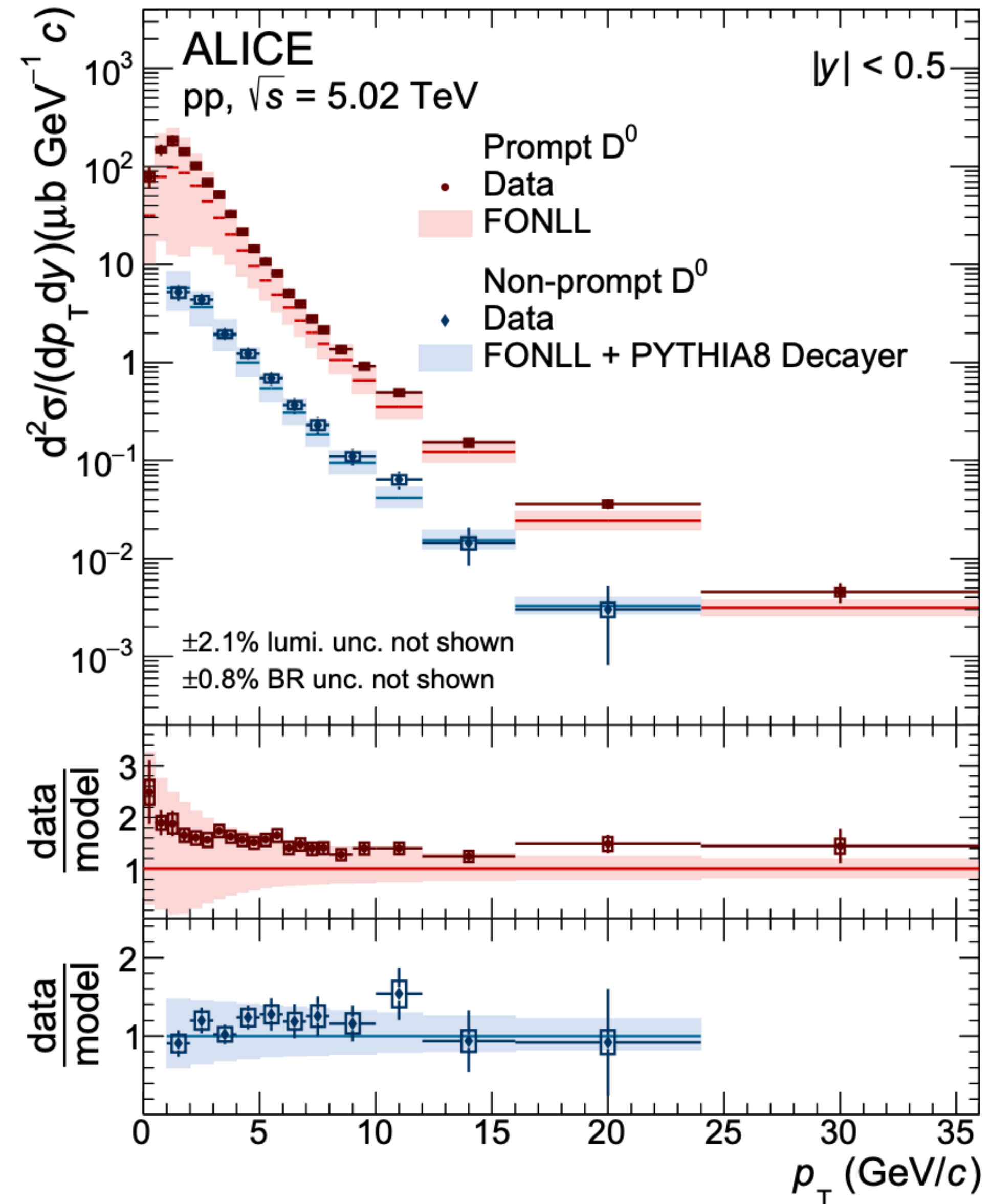
Heavy-flavour measurements - pp collisions

ALICE: JHEP 05 (2021) 220

Can reconstruct heavy hadrons via decay products

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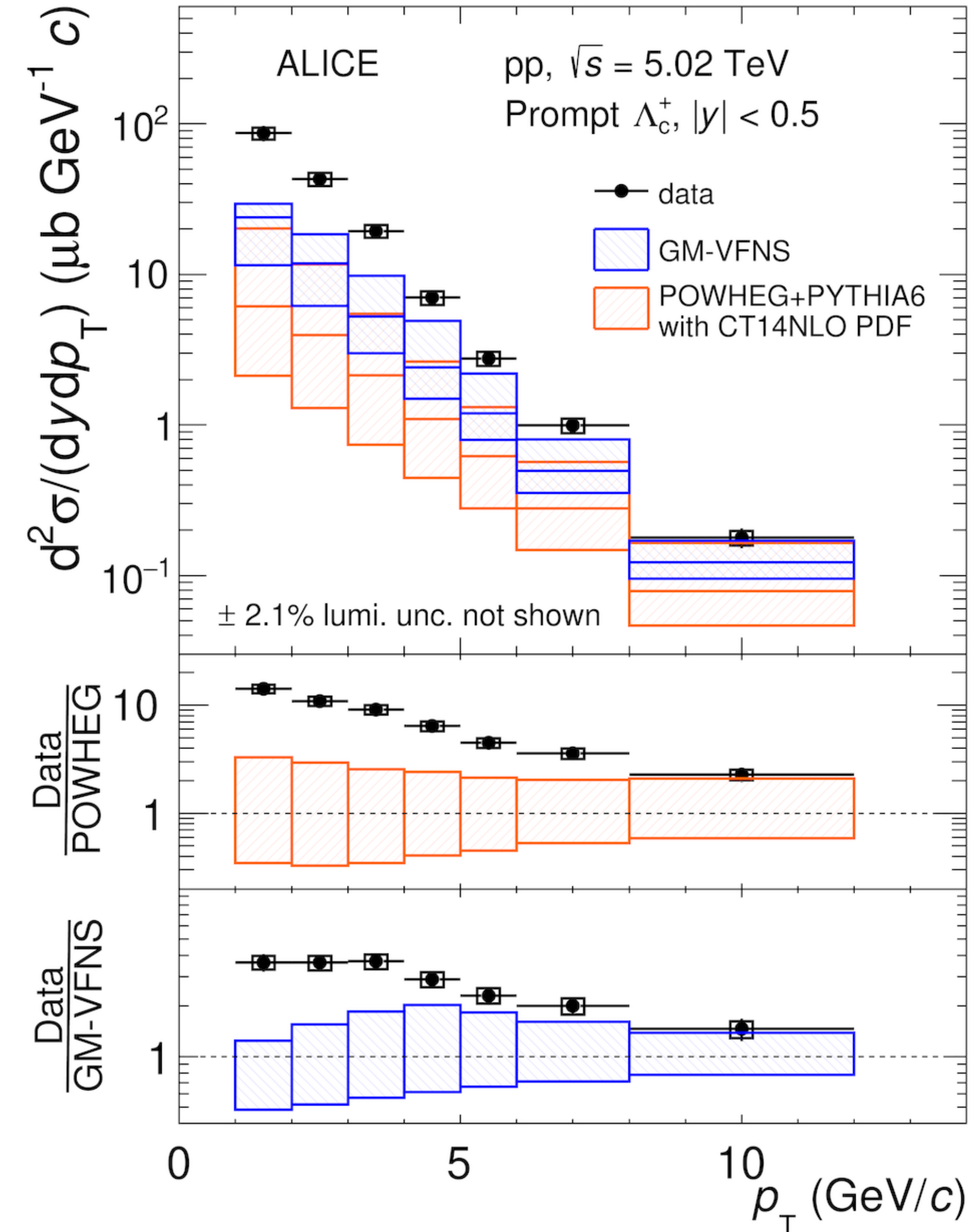
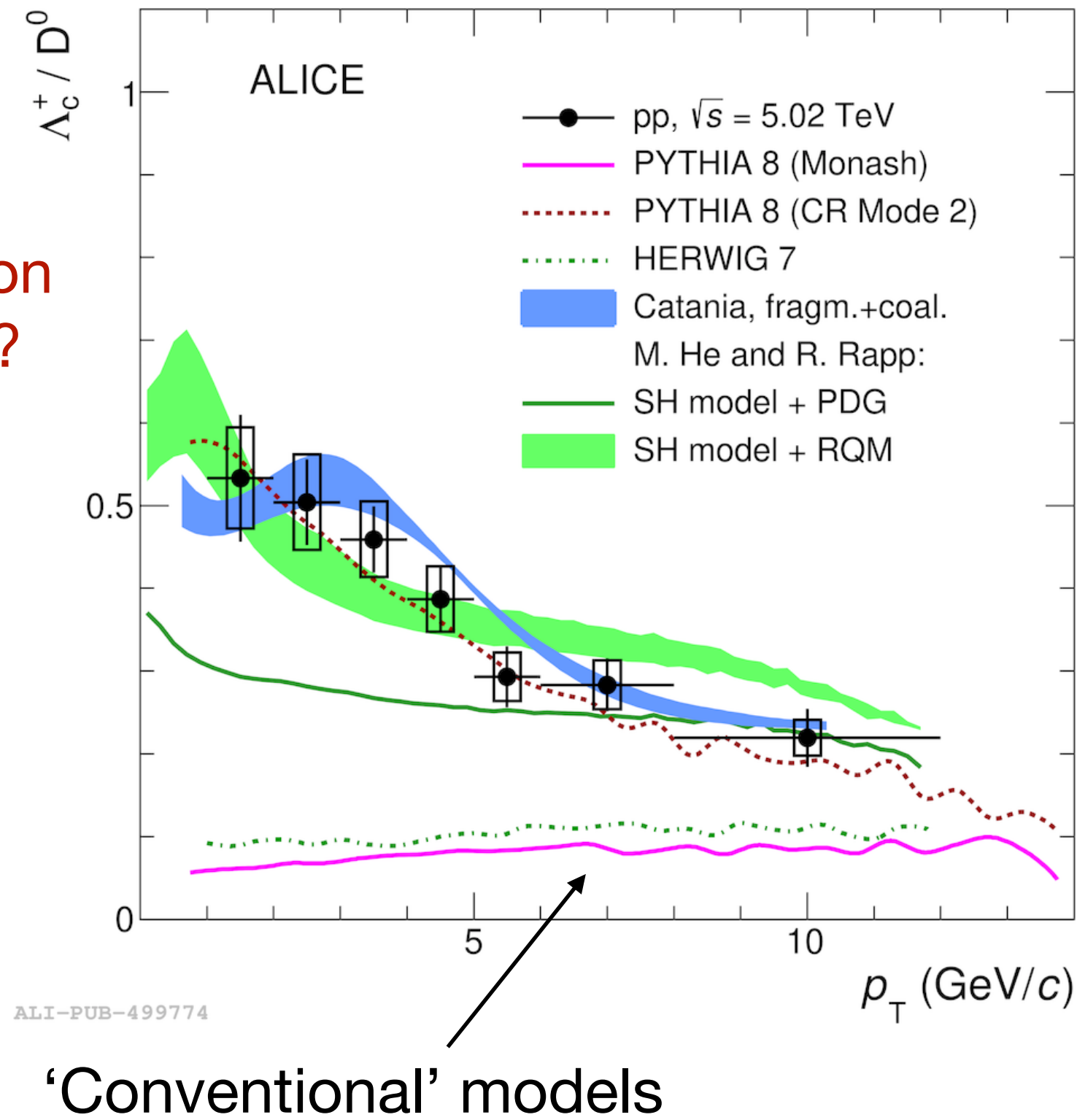
Charm mesons (from c and from beauty decays) described well by pQCD predictions in pp collisions



Heavy-flavour measurements - pp collisions

Modification of hadronisation due to high-density effects?

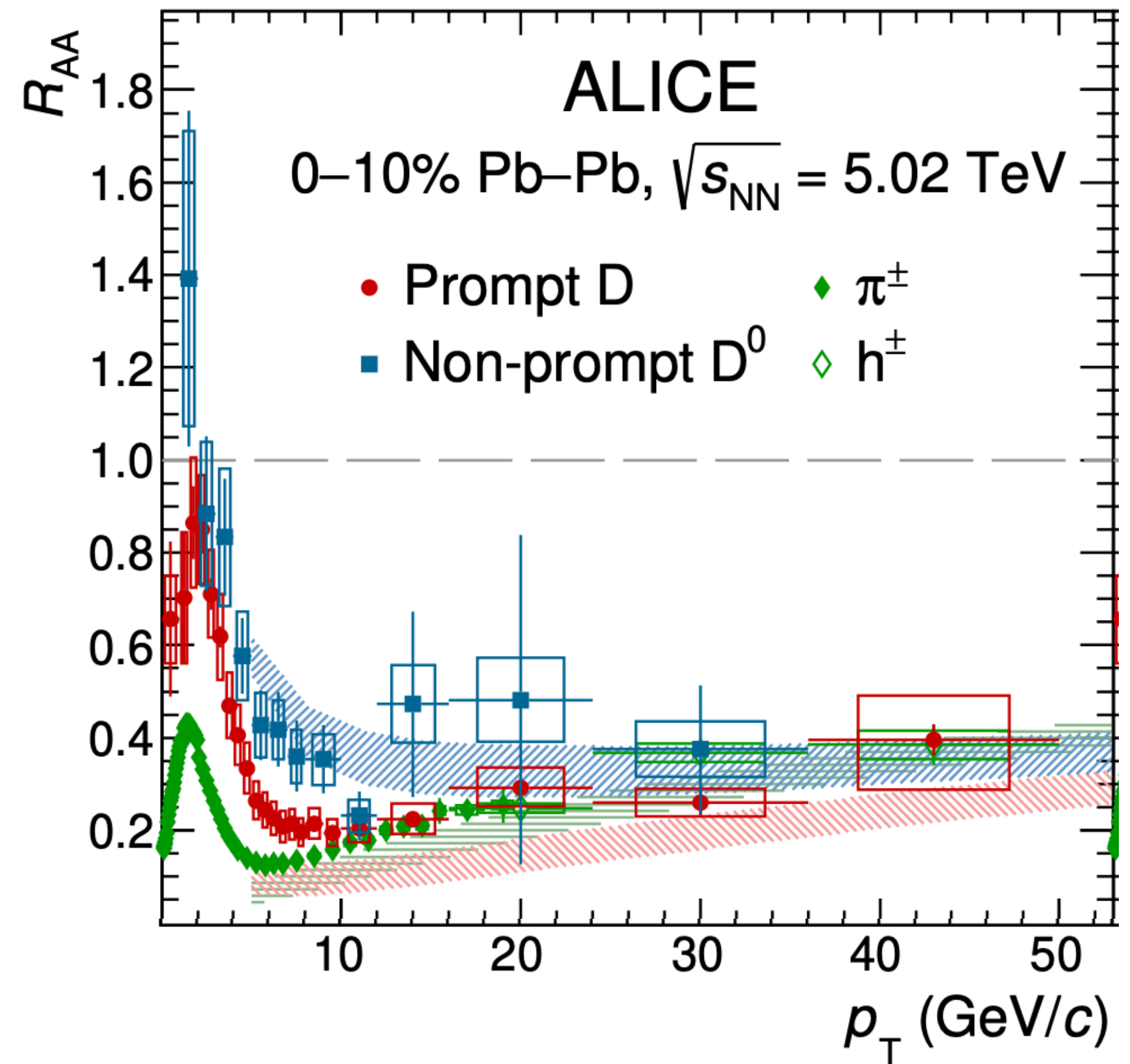
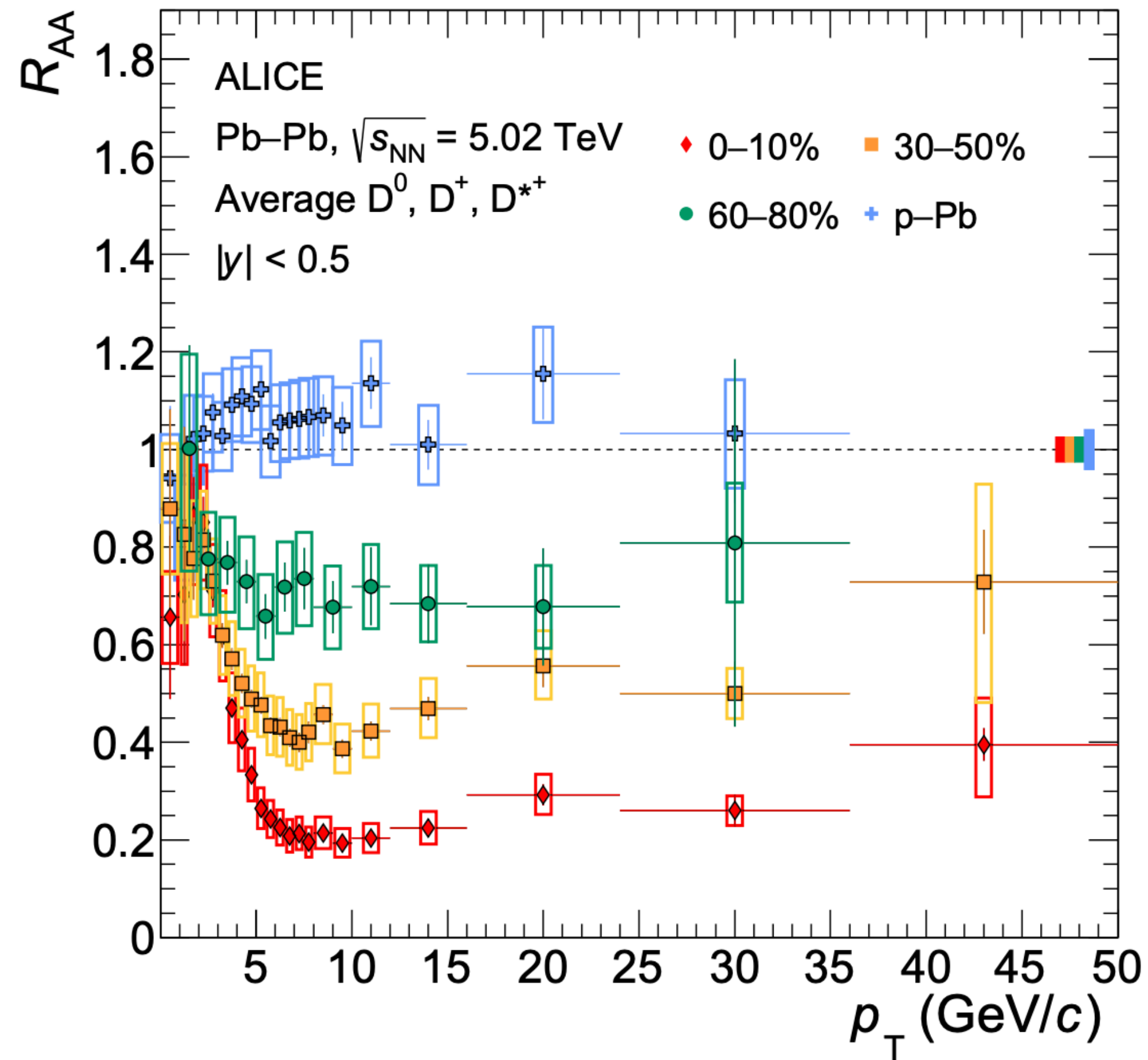
Additional 'undiscovered' baryon states?



Charm **baryon** production **not described** well by these predictions
 → baryon hadronisation not understood in pp collisions!

Heavy-flavour energy loss in the QGP

$$R_{AA}(p_T) = \frac{1}{\langle N_{coll} \rangle} \frac{dN_{AA}/dp_T}{dN_{pp}/dp_T}$$



- D-meson energy loss measurements provide tight constraints on transport properties of QGP medium

Thermal production of hadrons

- Bulk of the QGP consists of thermally-equilibrated light quarks (u, d, s)
 - $T_{QGP} > m_{u,d,s}$ - light quarks can be thermally created

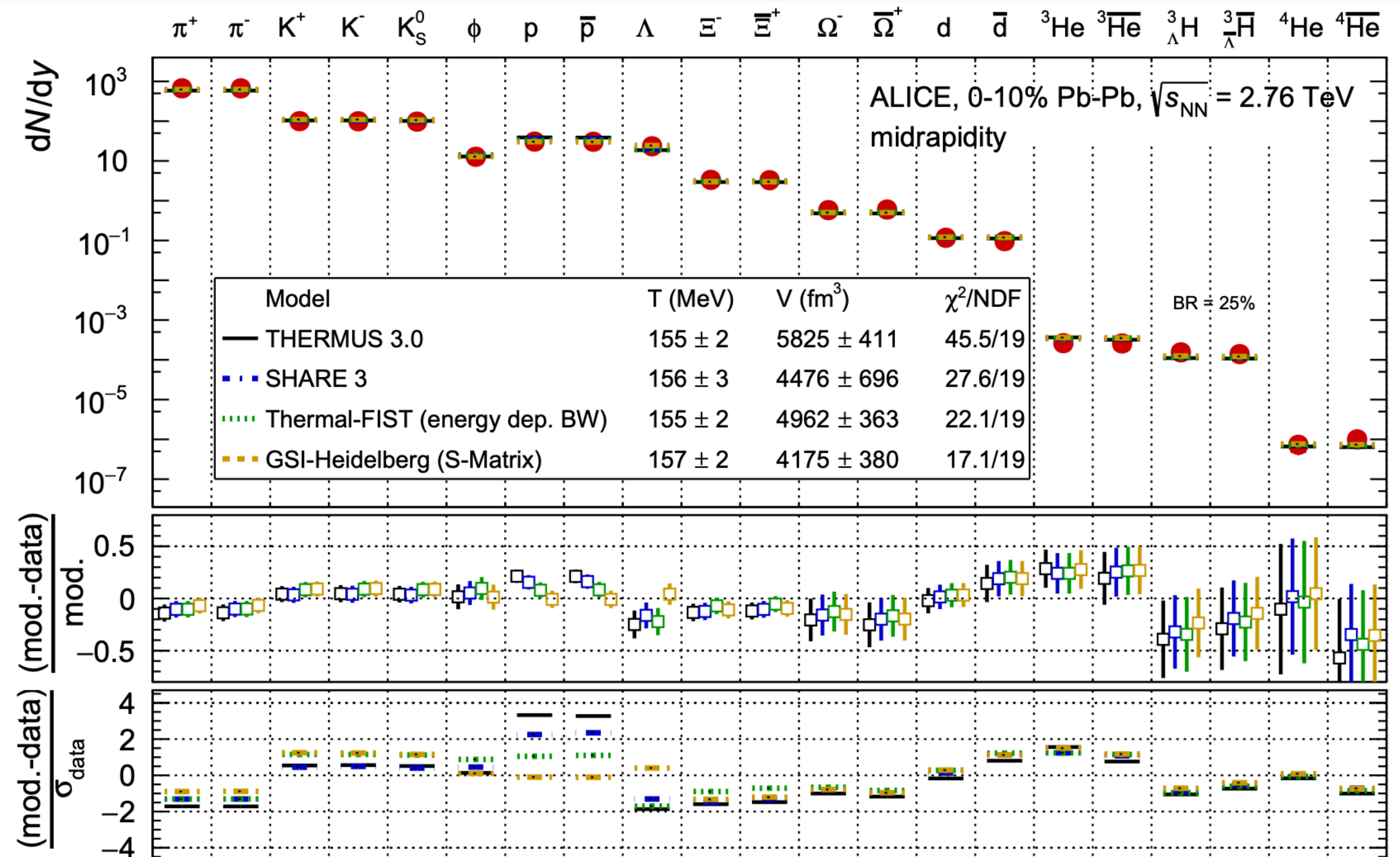
ALICE: [Eur.Phys.J.C 84 \(2024\) 8, 813](#)

- Total production yields of particles gives information about system at freeze-out** (temperature, volume, baryochemical potential μ_B)
- Comparison to statistical hadronisation models confirms thermal production of hadrons

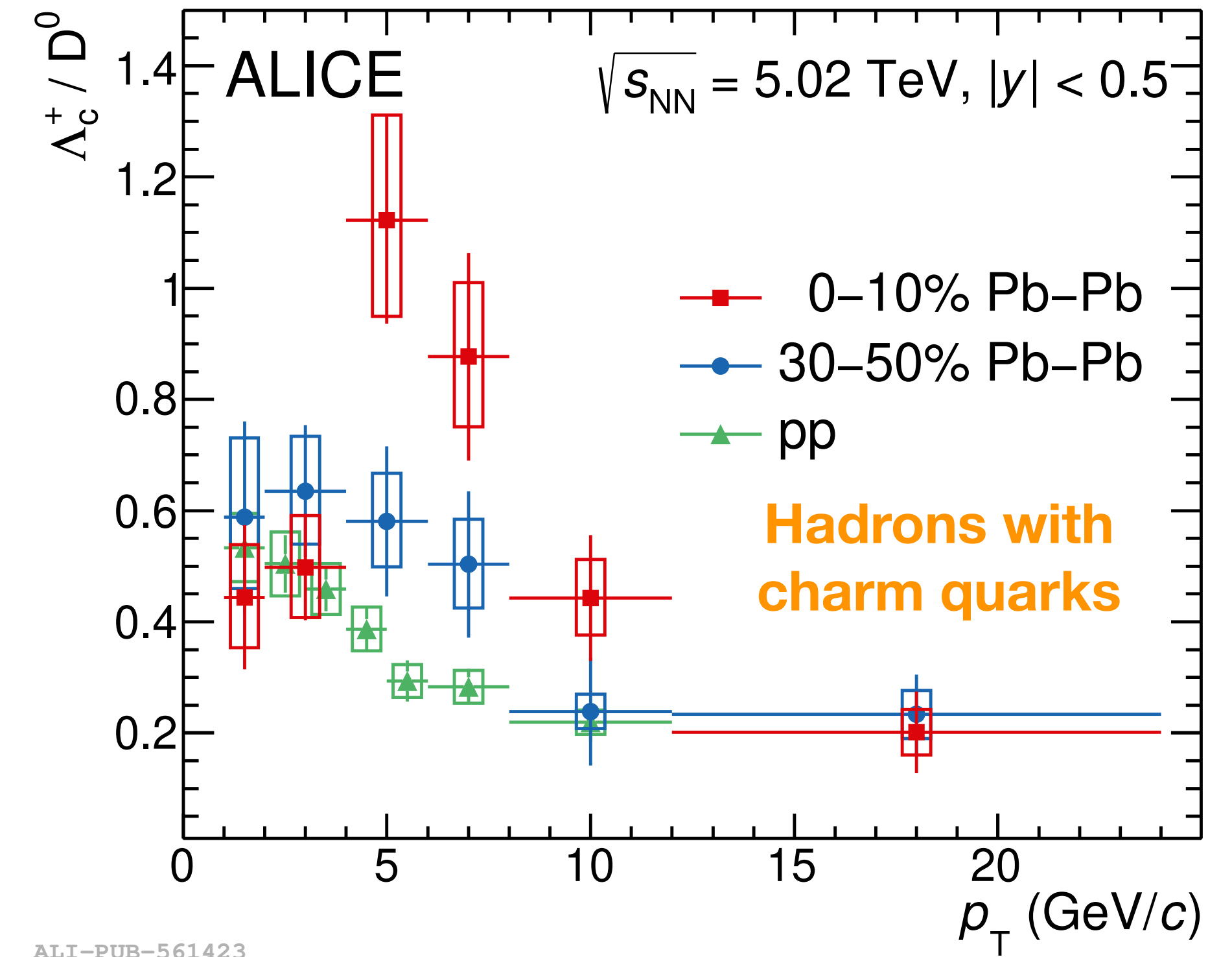
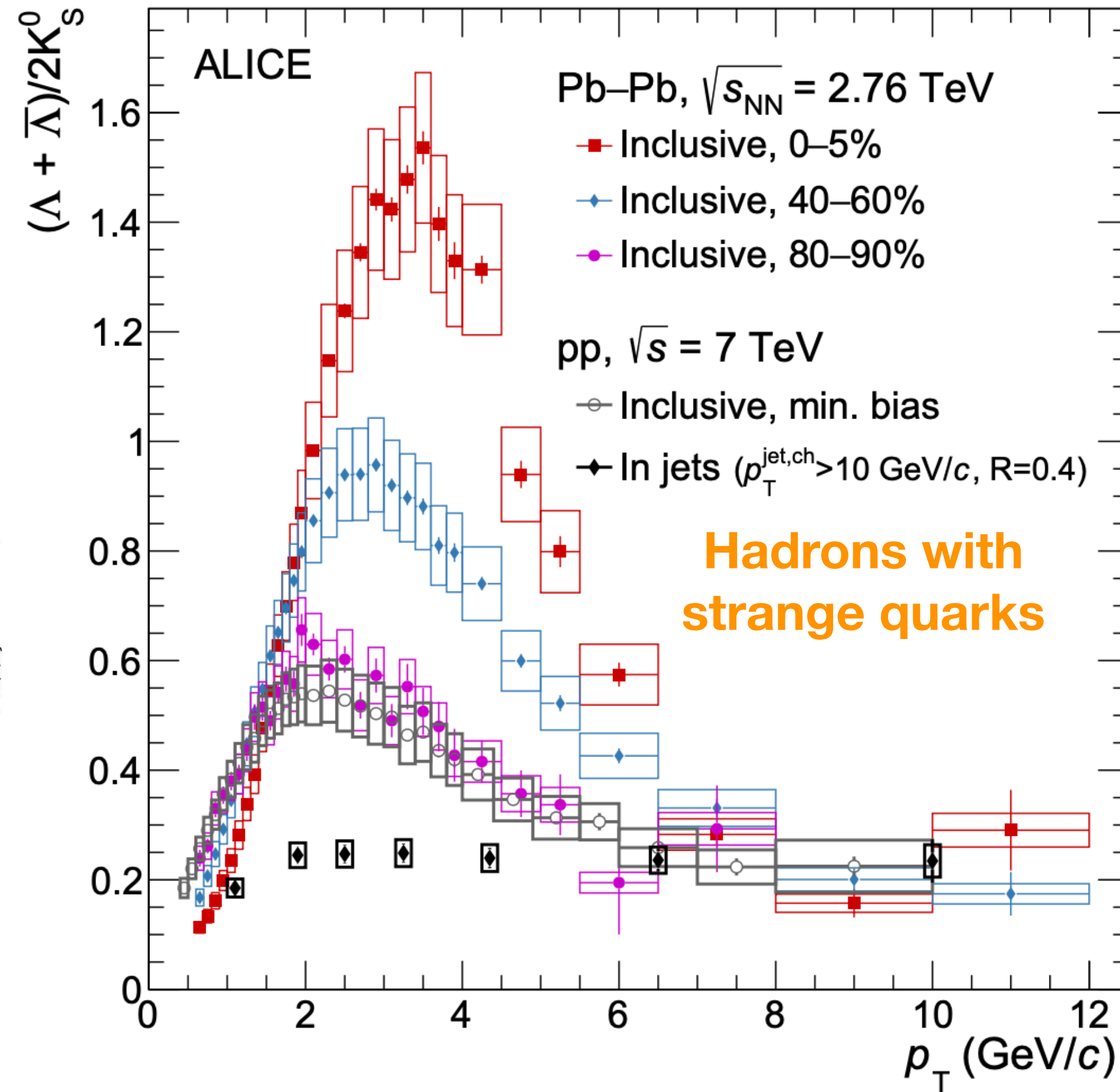
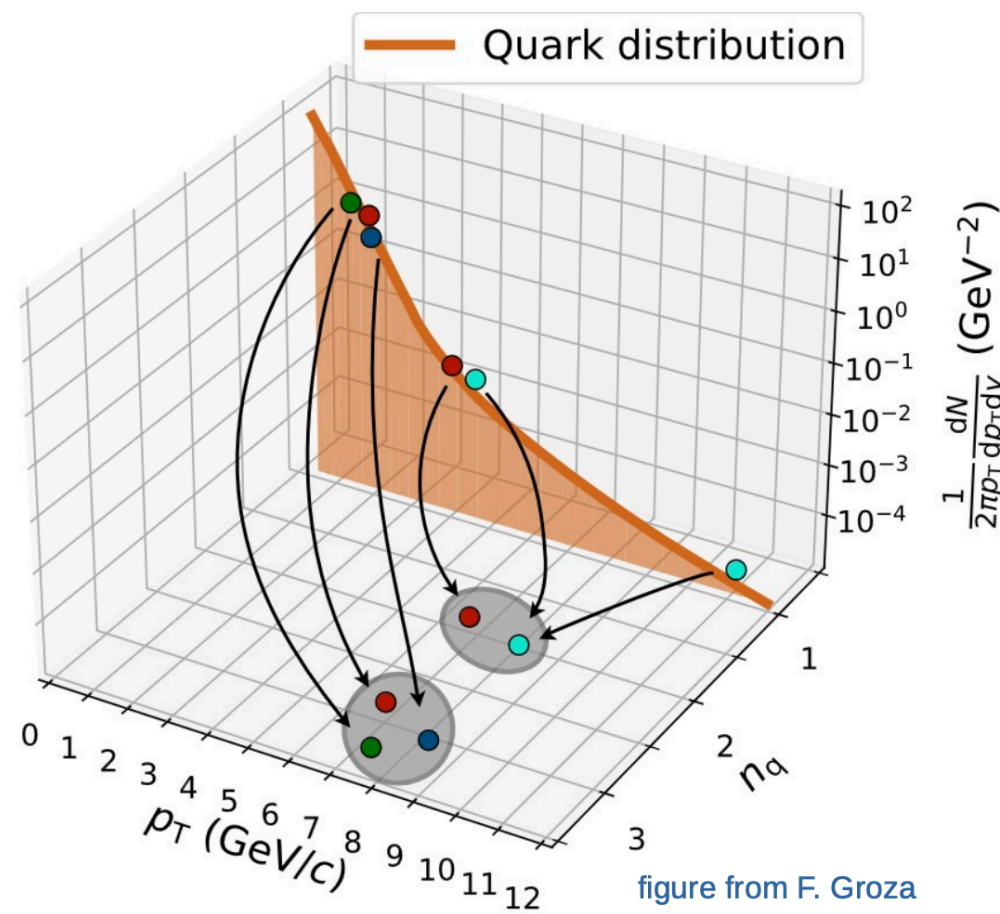
From free parameters of models,
Chemical freeze-out (hadronisation)

$$T_{chem} \approx 156 \text{ MeV}, \mu_B \approx 0$$

In agreement with lattice QCD calculations shown yesterday



Hadronisation in heavy-ion collisions

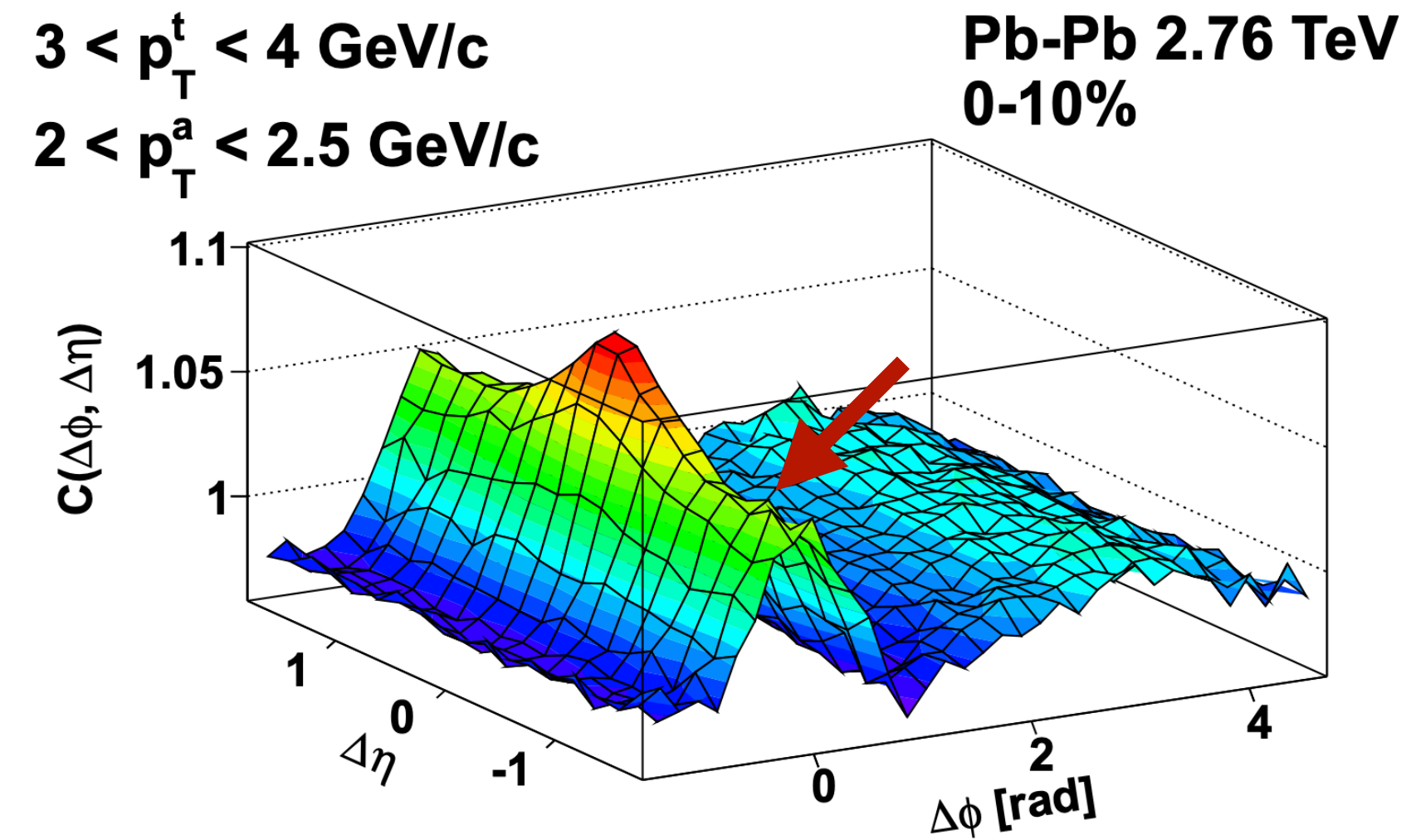


ALI-PUB-561423

- Measurement of baryon-to-meson ratios probe hadronisation mechanisms
- **Enhancement of baryons with respect to pp collisions - coalescence of deconfined quarks rather than usual 'vacuum' fragmentation**

How small can a QGP be?

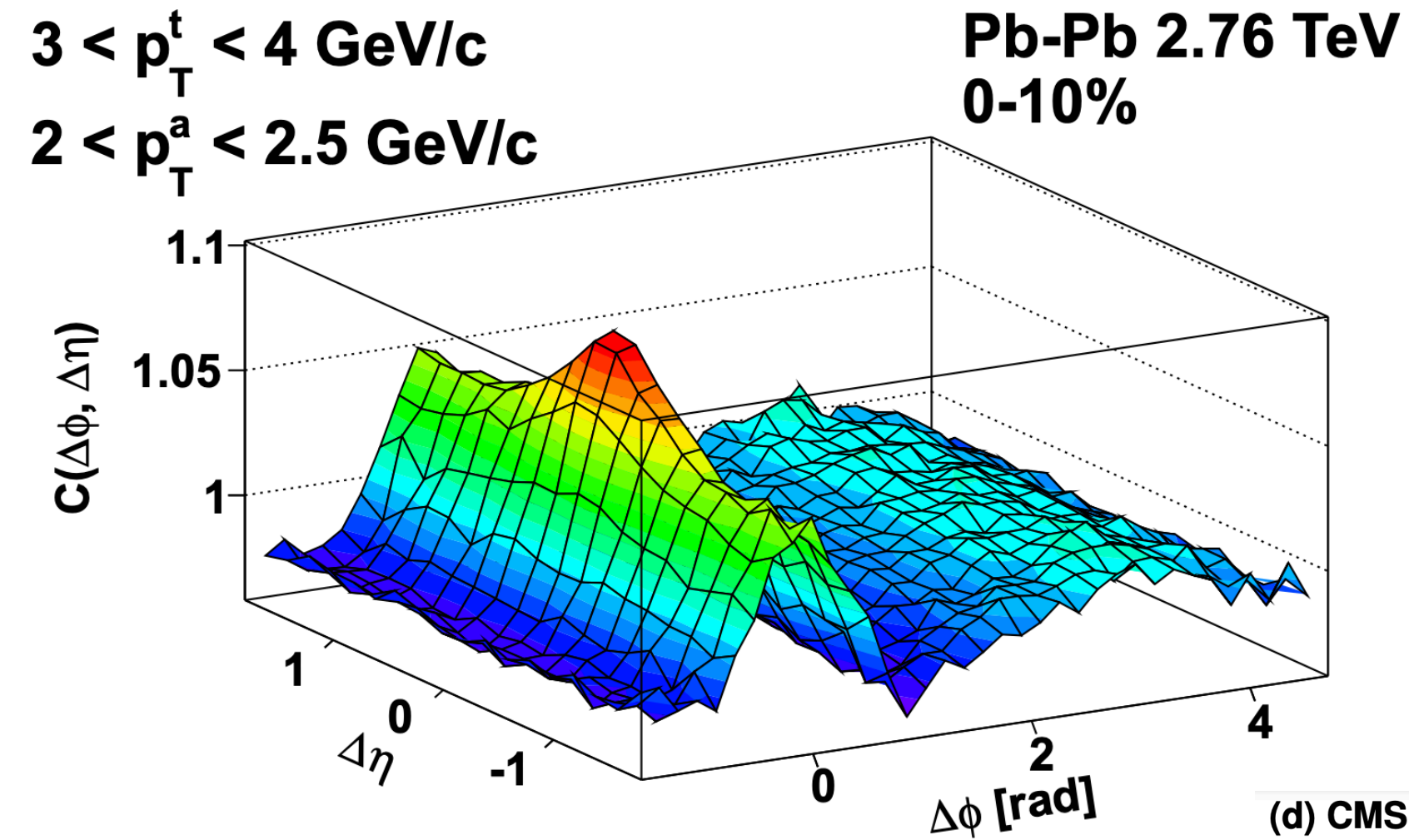
ALICE: Phys. Lett. B 708 (2012) 249-264



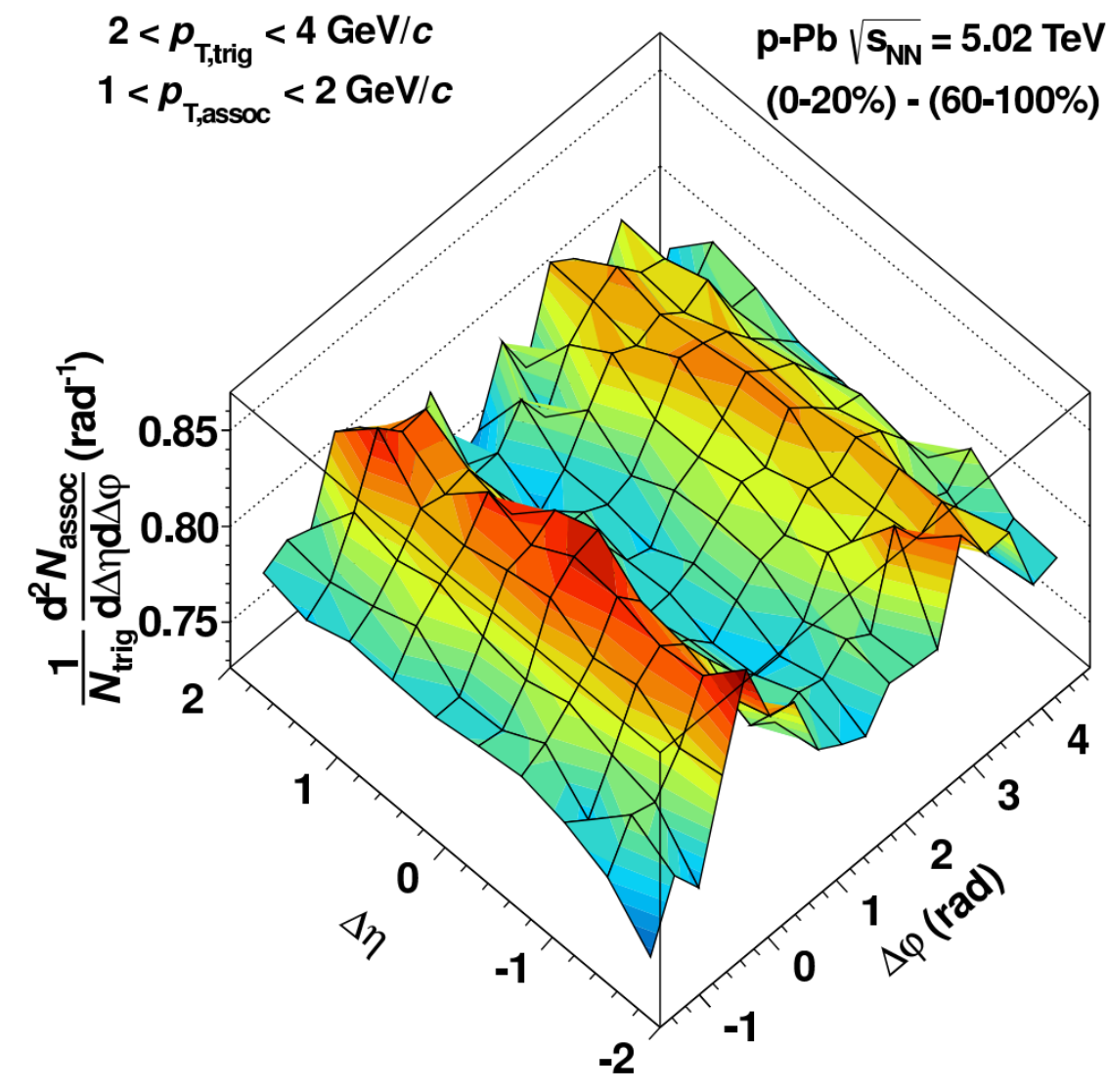
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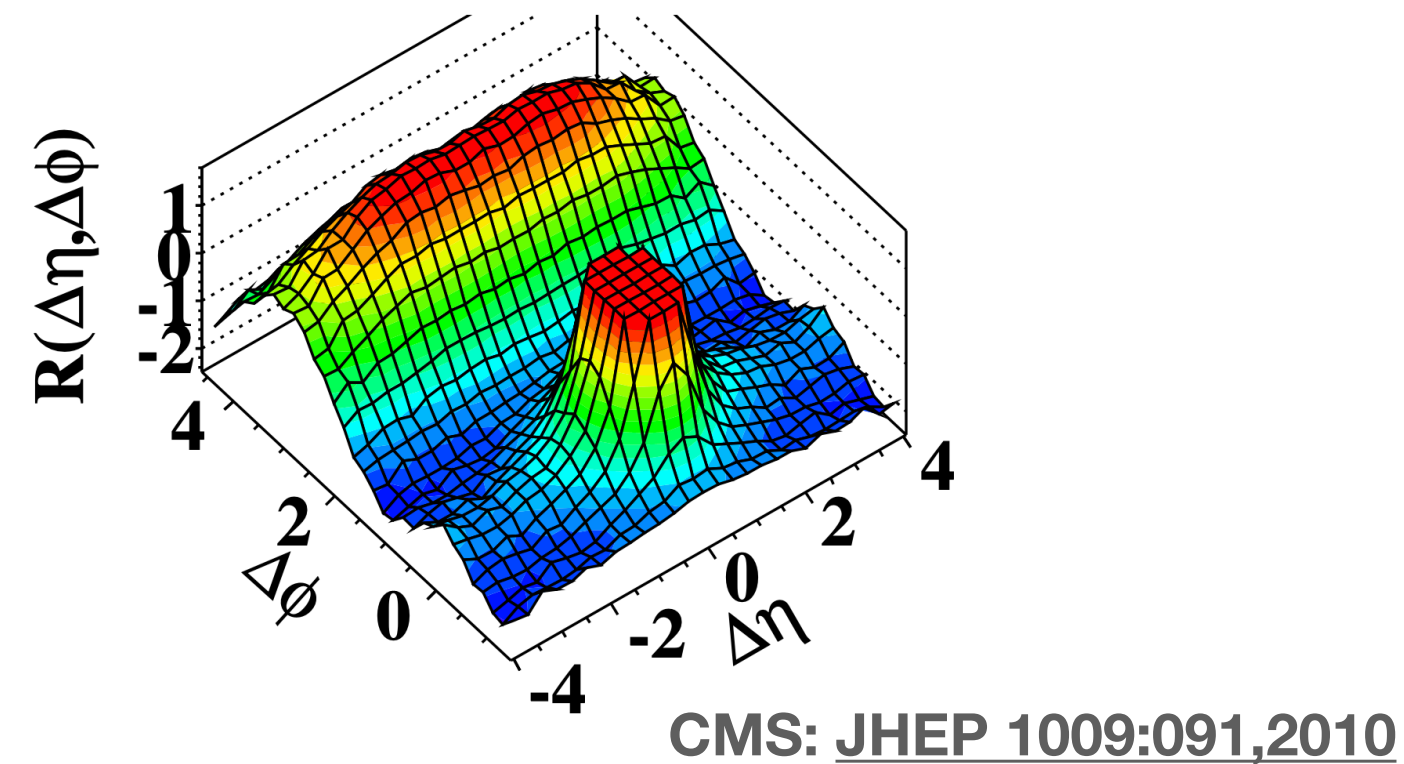


ALICE: *Phys.Lett. B*719 (2013) 29-41



(d) CMS $N \geq 110, 1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$

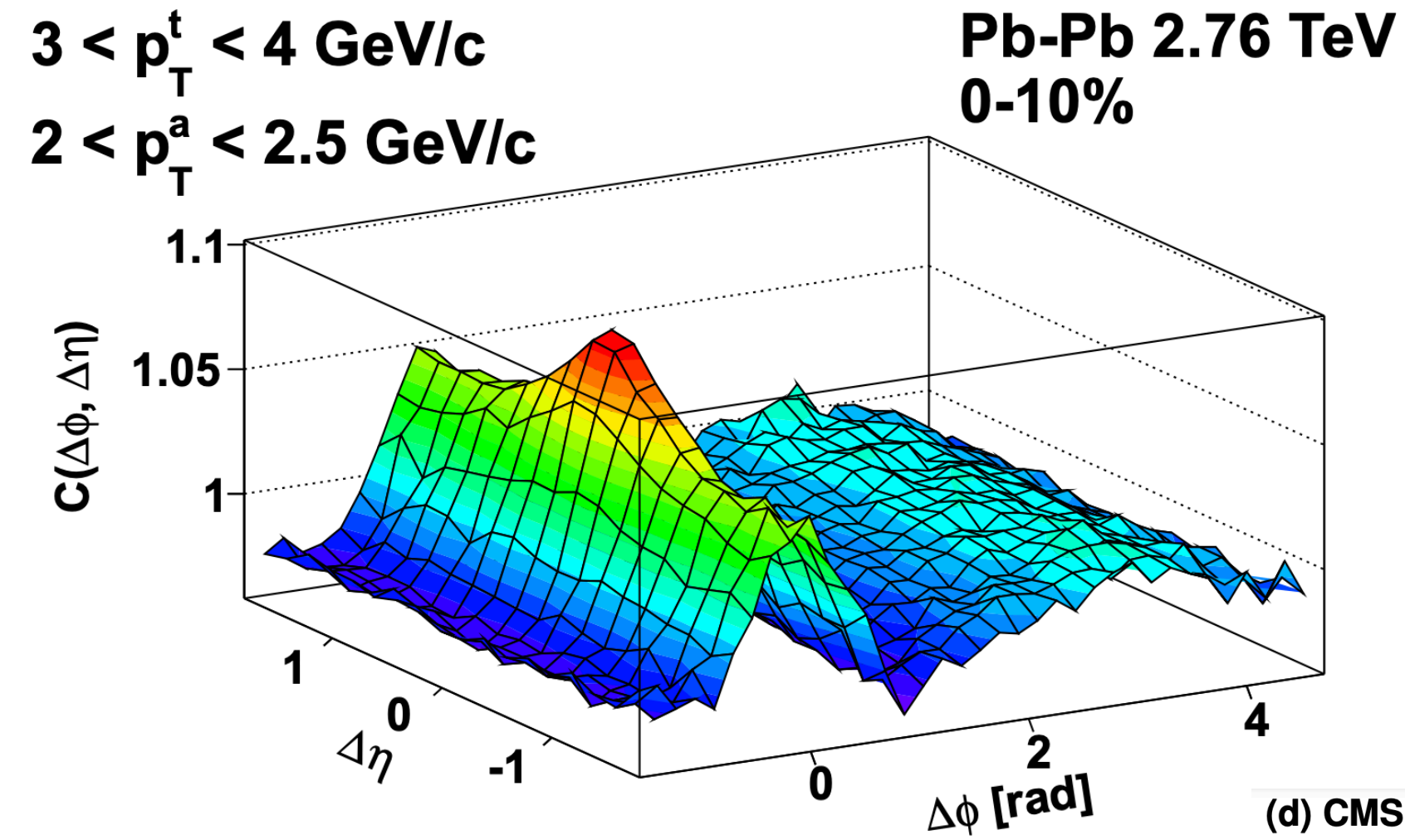
- Long-range correlations over wide η range ('ridge') understood to be due to flow of system



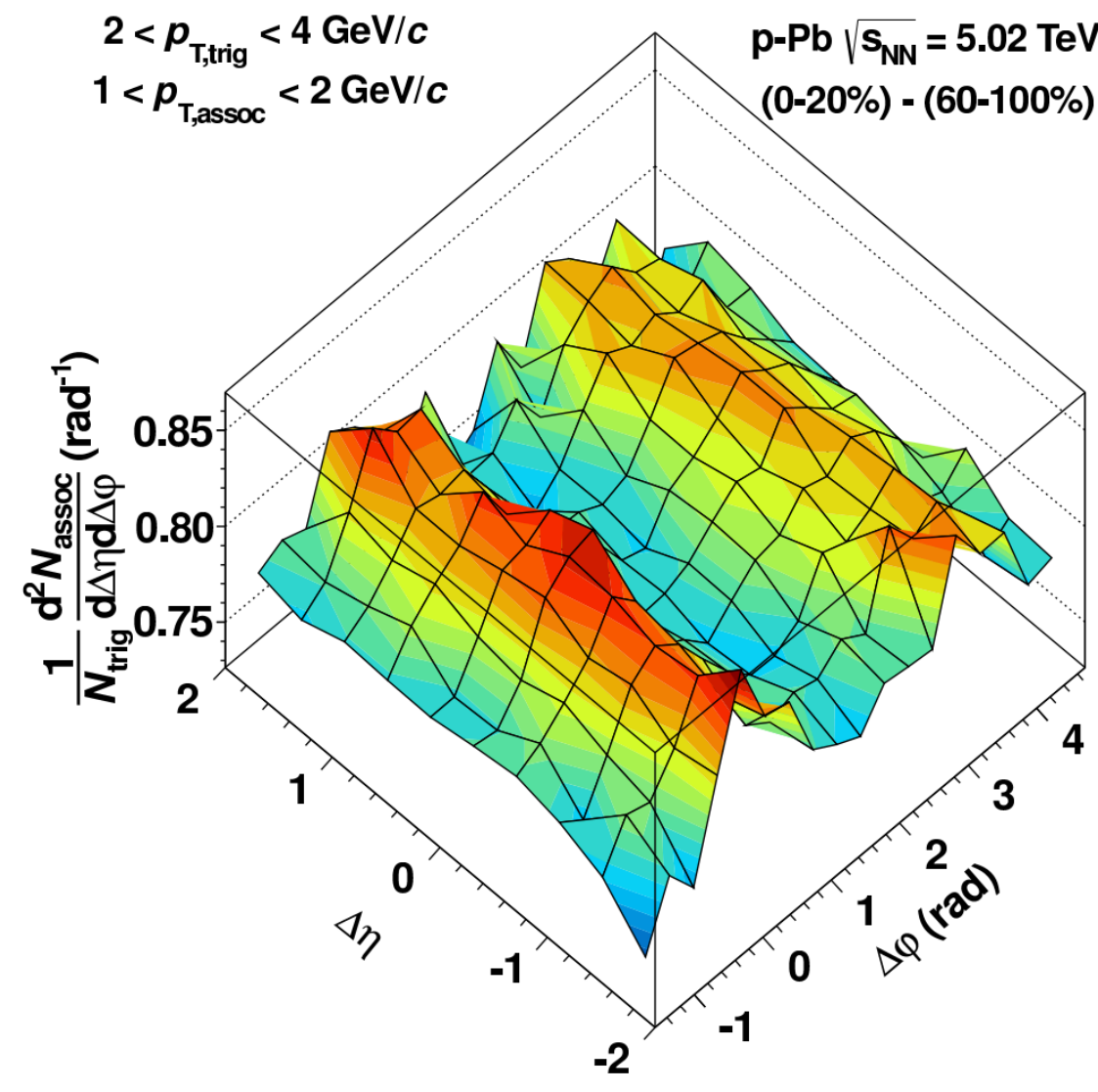
- Ridge also (unexpectedly) seen in p-Pb and high-multiplicity pp collisions (now even HM e+e-!)

How small can a QGP be?

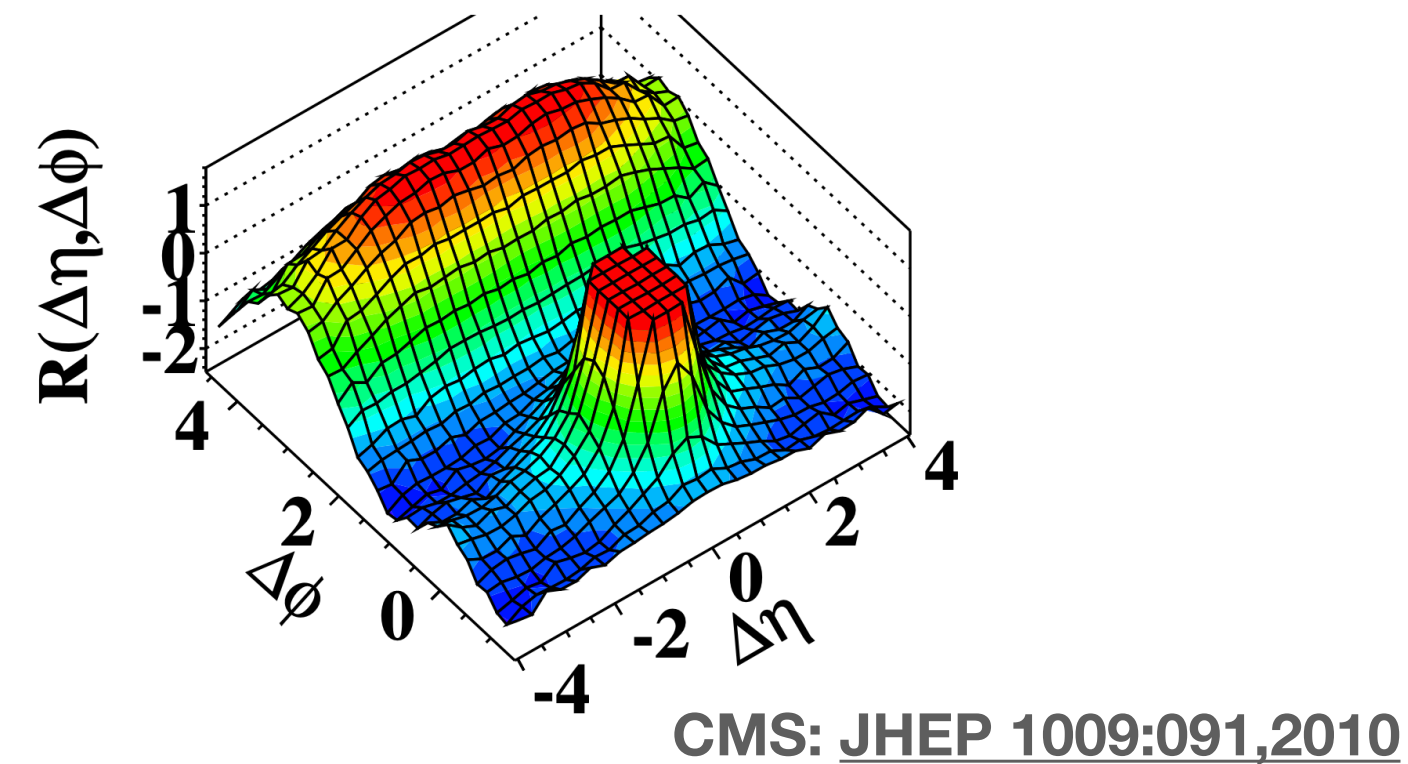
ALICE: *Phys. Lett. B* 708 (2012) 249-264



ALICE: *Phys.Lett. B*719 (2013) 29-41



(d) CMS $N \geq 110, 1.0 \text{ GeV}/c < p_T < 3.0 \text{ GeV}/c$

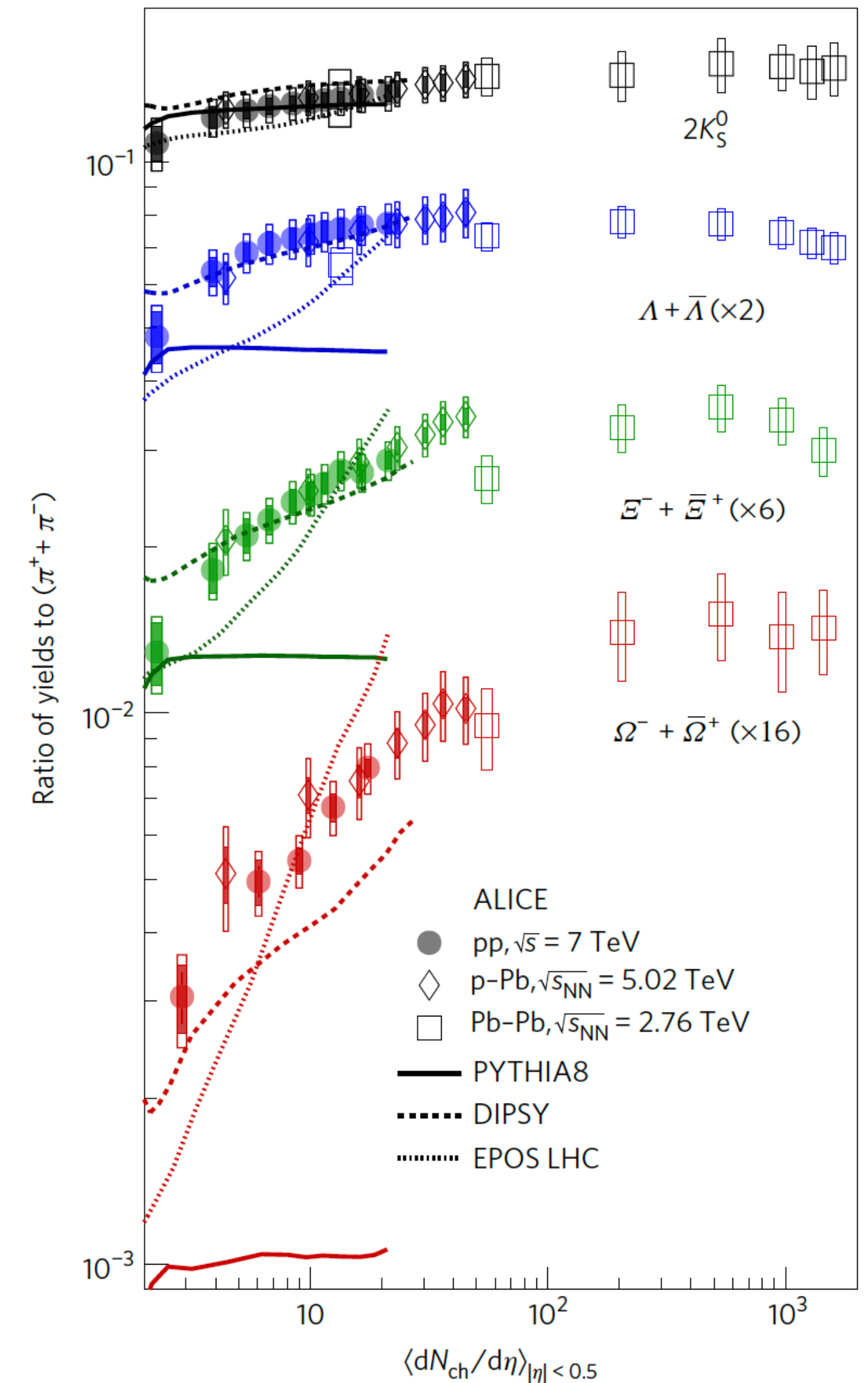


- Ridge also (unexpectedly) seen in p-Pb and high-multiplicity pp collisions (now even HM e+e-!)

e+e-: Yu-Chen Chen, Moriond '24

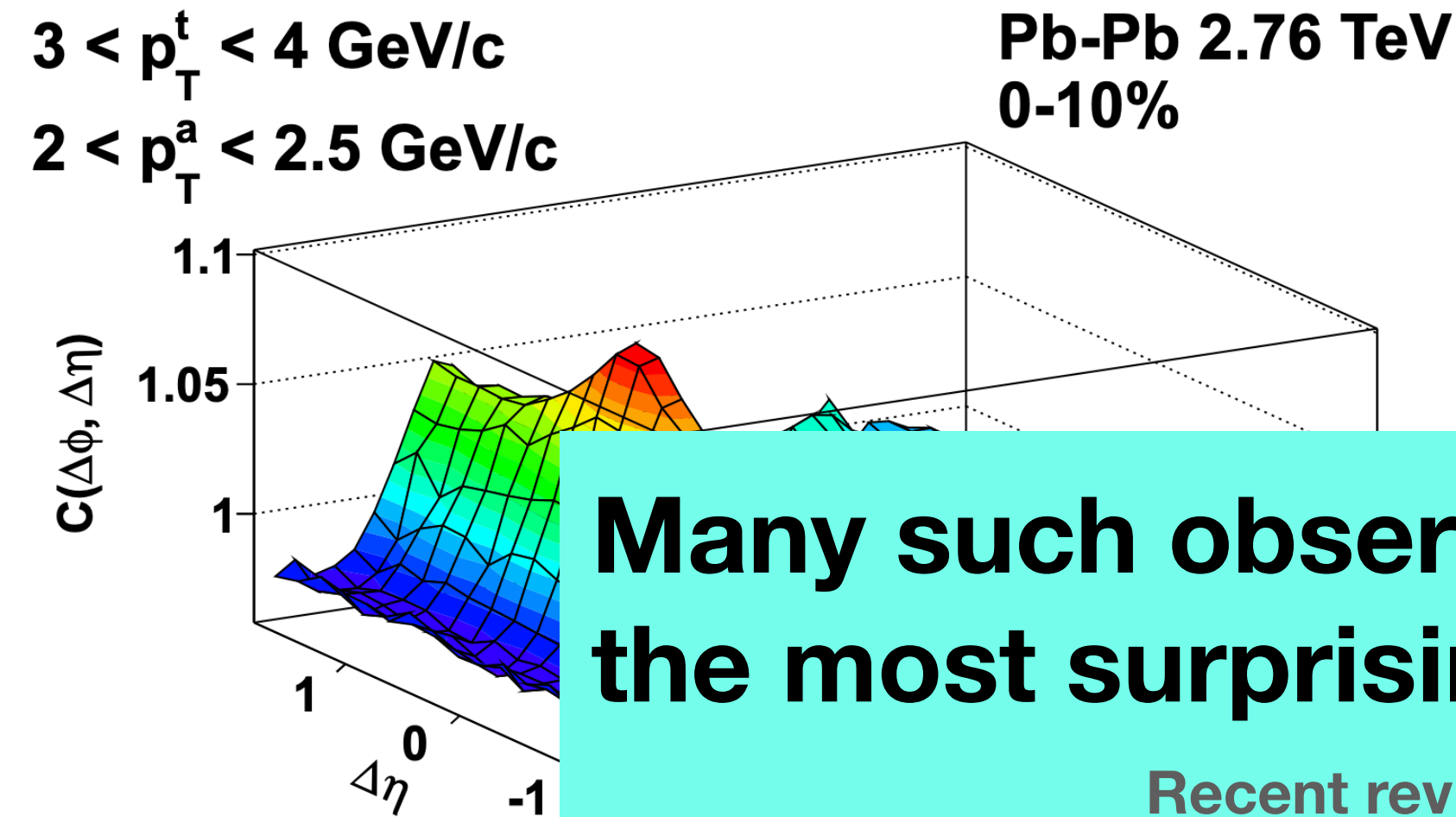
- Many QGP-like effects observed in small system (e.g. strangeness enhancement)

ALICE: *Nature Physics* 13, 535-539 (2017)

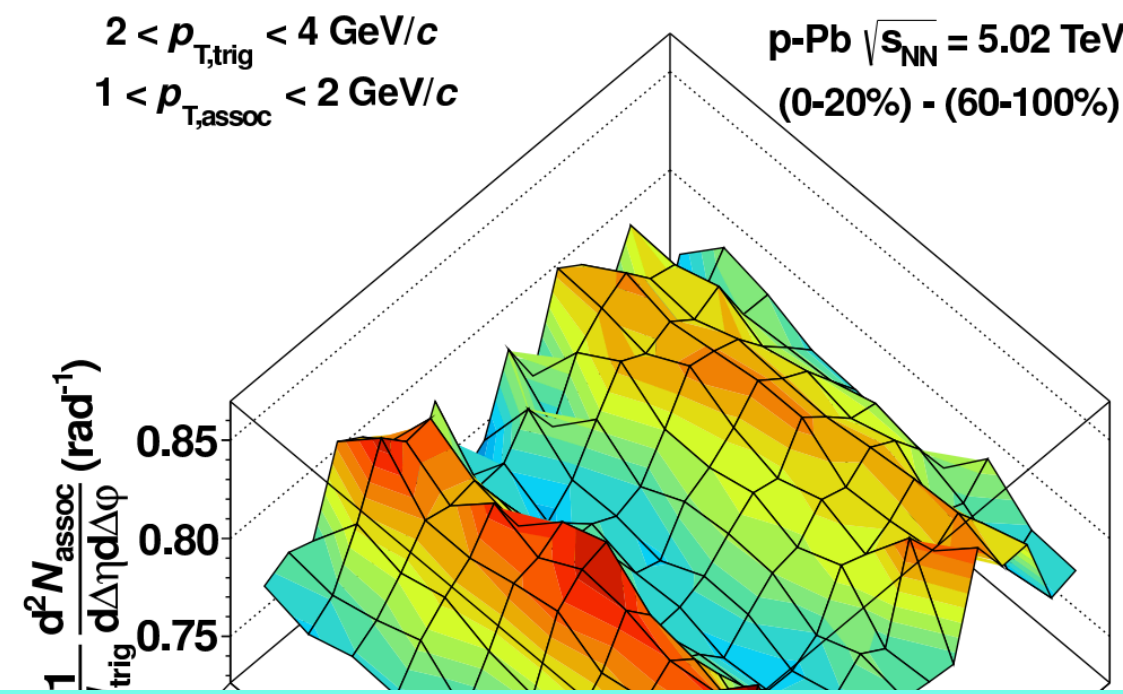


How small can a QGP be?

ALICE: *Phys. Lett. B* 708 (2012) 249-264



ALICE: *Phys.Lett. B*719 (2013) 29-41



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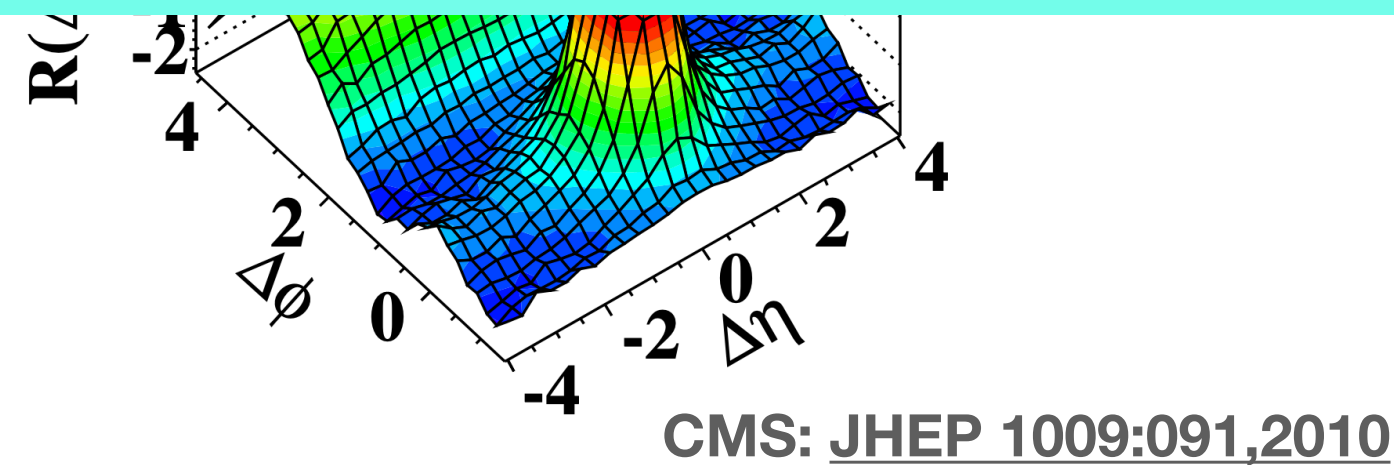
ALICE: *Nature Physics* 13, 535–539 (2017)

Many such observations over past ~decade - one of the most surprising 'discoveries' at the LHC

Recent review: J. Grosse-Oetringhaus, U. Wiedemann, [arxiv:2407.07484](https://arxiv.org/abs/2407.07484)

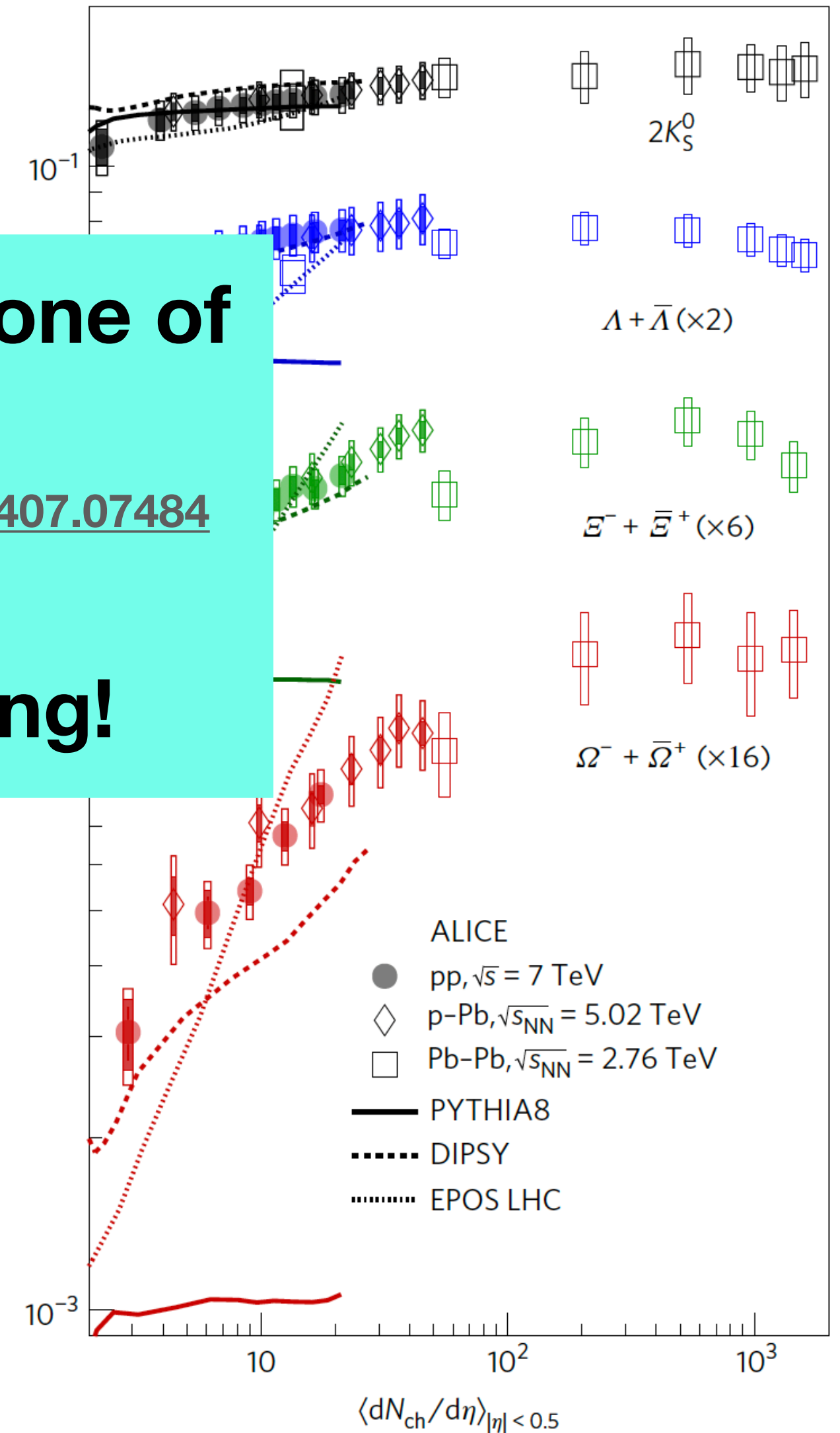
Unified description of particle production and collectivity across collision systems still missing!

- Long-range collectivity over wide η range ('ridge') understood to be due to flow of system



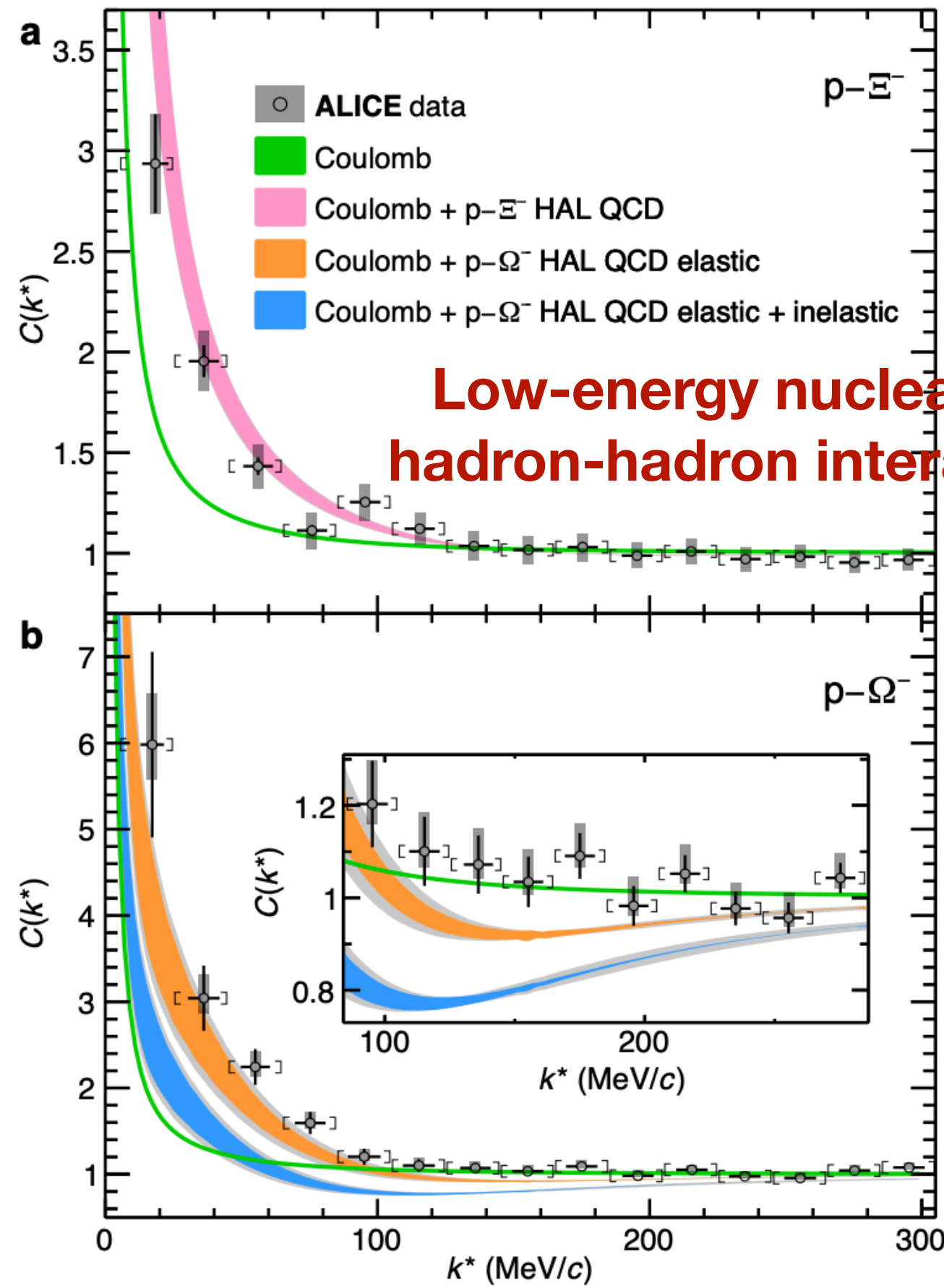
- Ridge also (unexpectedly) seen in p-Pb and high-multiplicity pp collisions (now even HM e+e-!)

e+e-: Yu-Chen Chen, Moriond '24

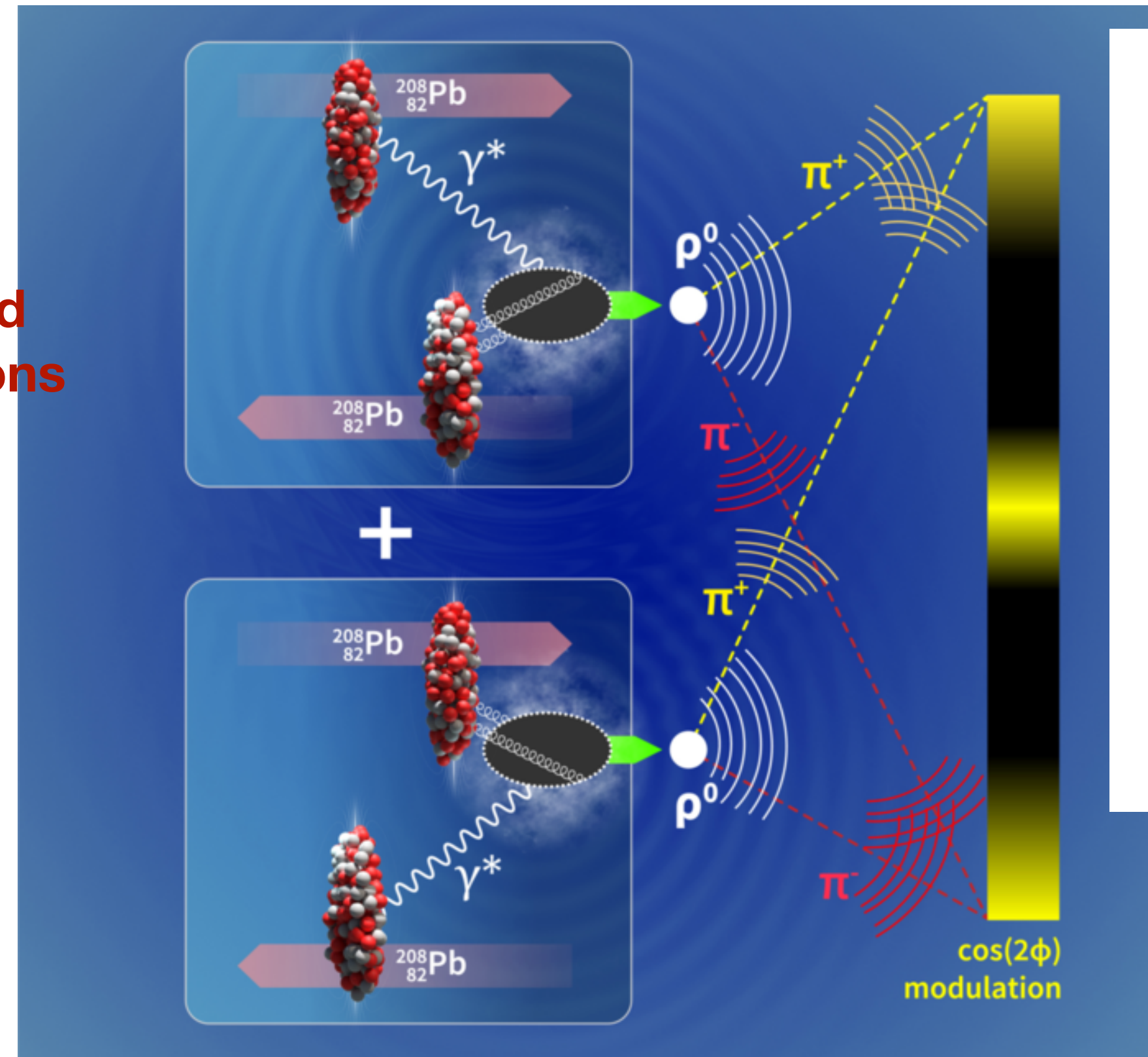


...+ much more...!

ALICE: Nature 588, 232–238 (2020)



Low-energy nuclear and hadron-hadron interactions

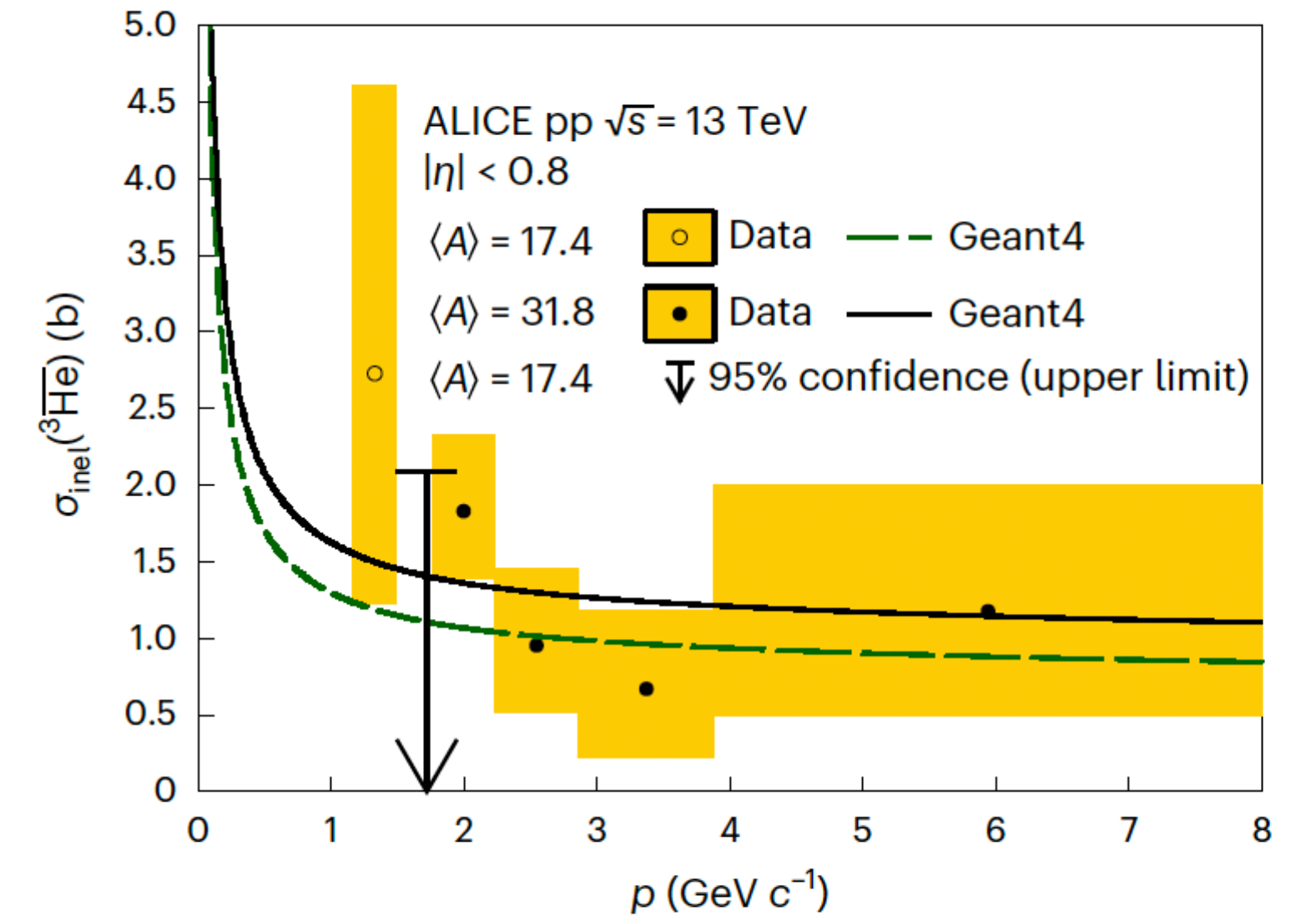


Pb-Pb UPCs as $\gamma-\gamma$ collider

‘Double-slit’ experiment

- <https://home.cern/news/news/physics/alice-does-double-slit>

Light nuclei cross section w. matter cosmic ray/dark matter interactions



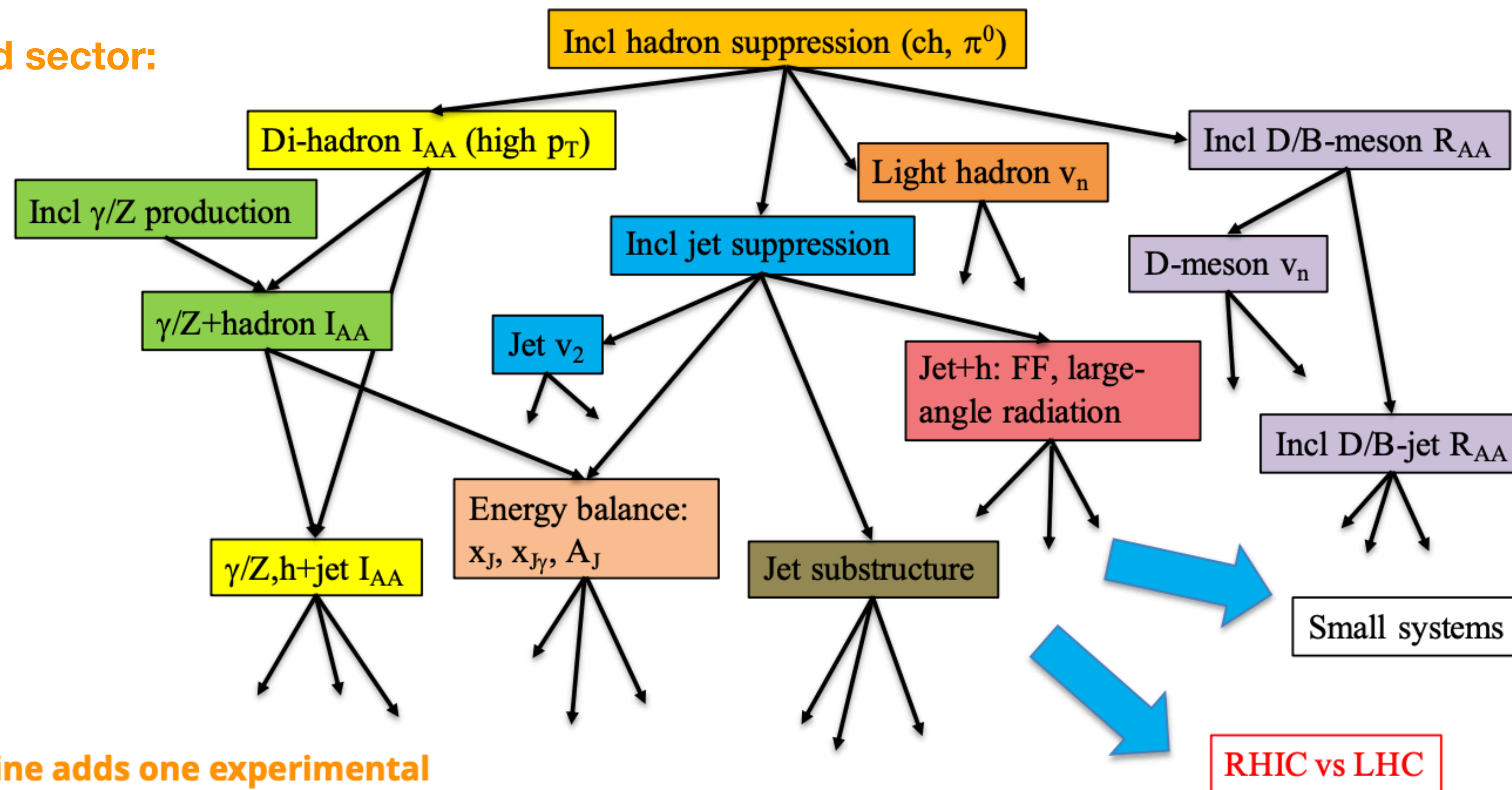
ALICE: Nature Physics 19, 61–71 (2023)

...+ more

Extracting quantitative information from measurements

- O(100s) of measurements/observables/observations from QGP studies over ~25 years of study at RHIC/LHC
- ‘Multi-messenger’, with all measurements sensitive to same underlying physics

e.g. hard sector:



Each line adds one experimental element, from simple to complex

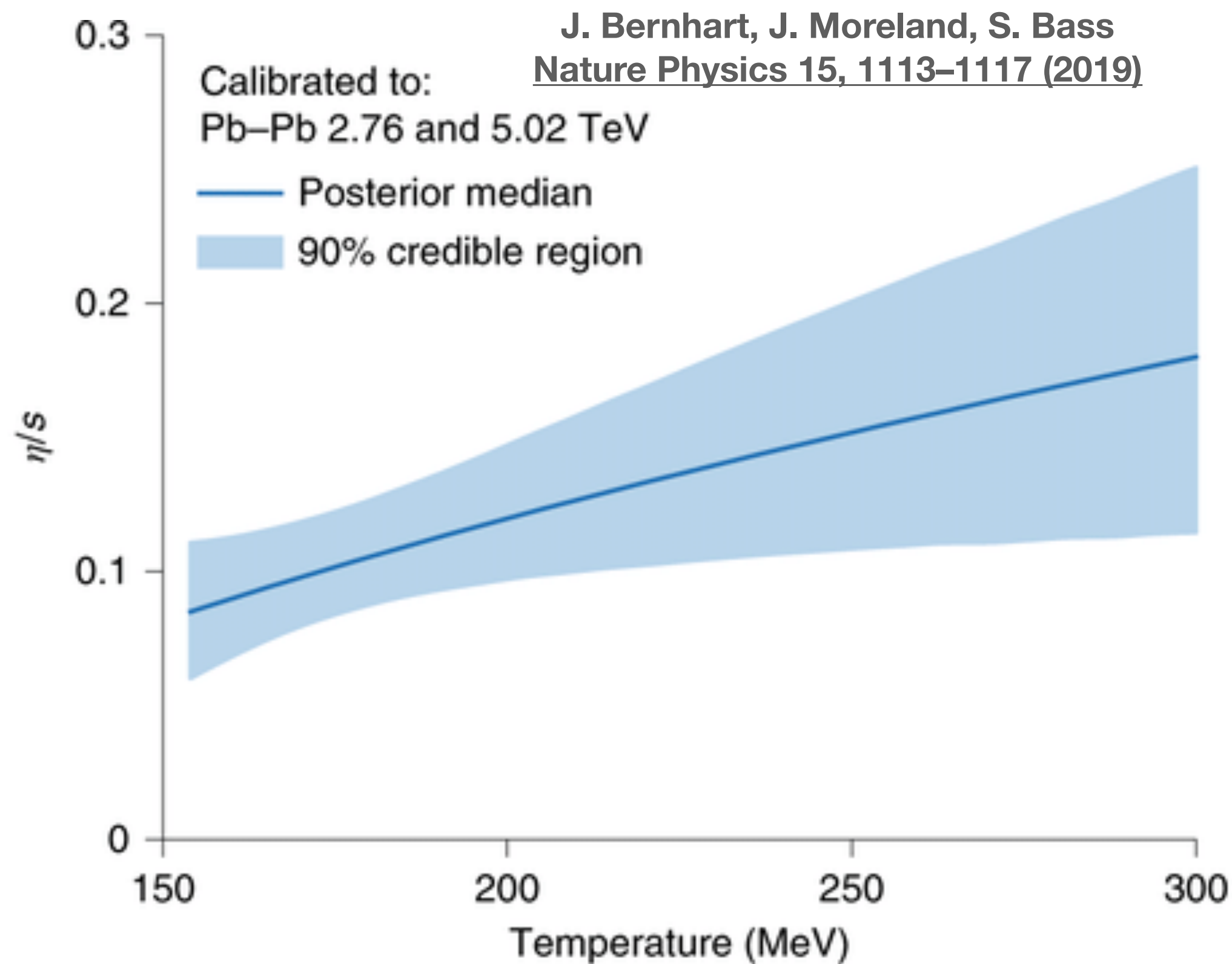
Colors group experimentally related measurements

- How can we optimally utilise these measurements to constrain QGP properties?

Bayesian parameter estimation

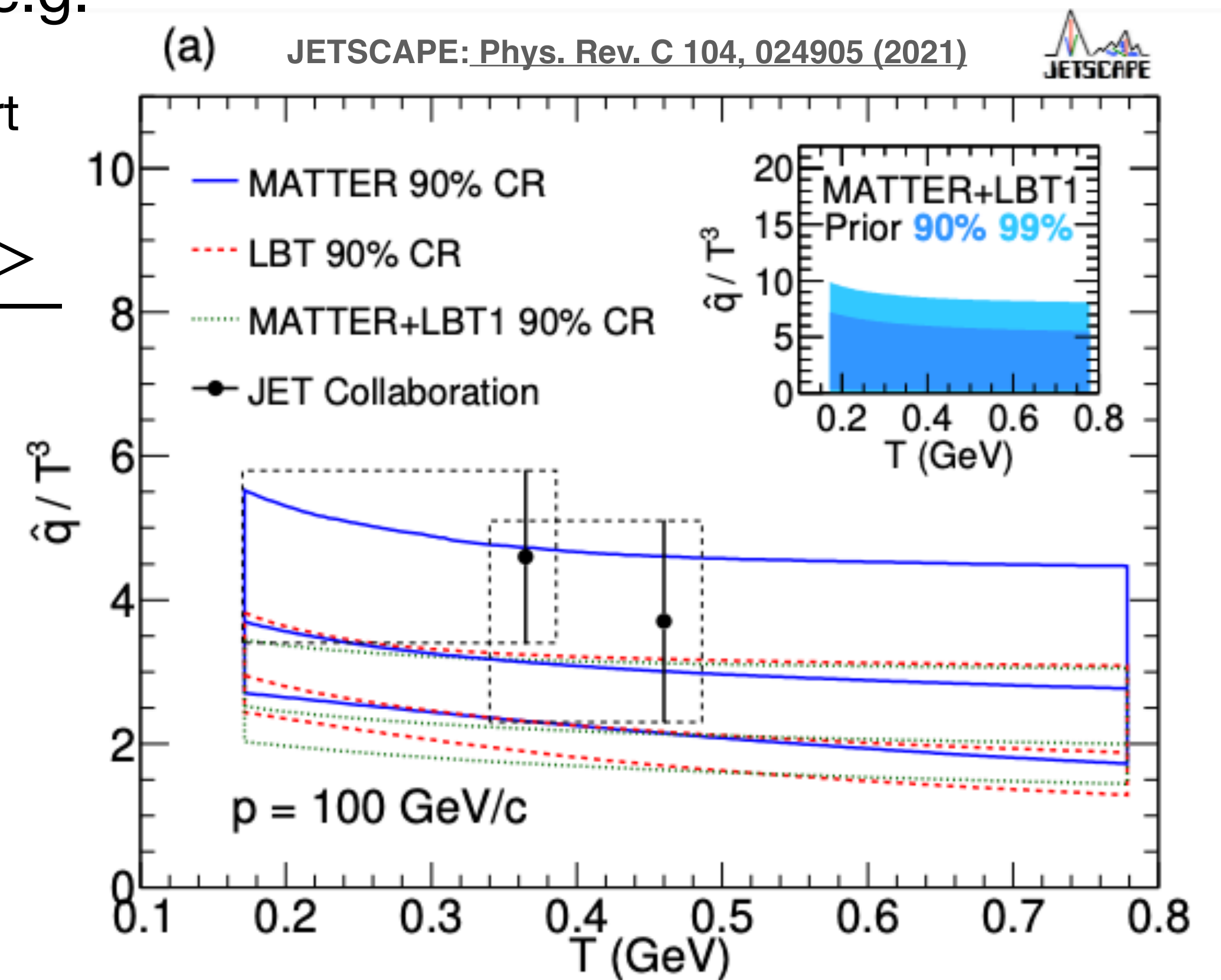
- Bayesian inference parameter estimation to constrain model parameters natural candidate for this task
- Last few years have seen many exciting developments, e.g.

$$P(x|y) \propto P(y|x)P(x)$$



Jet transport coefficient

$$\hat{q} = \frac{d \langle k_{\perp}^2 \rangle}{dL}$$



Combination of elliptic flow measurements @ ALICE

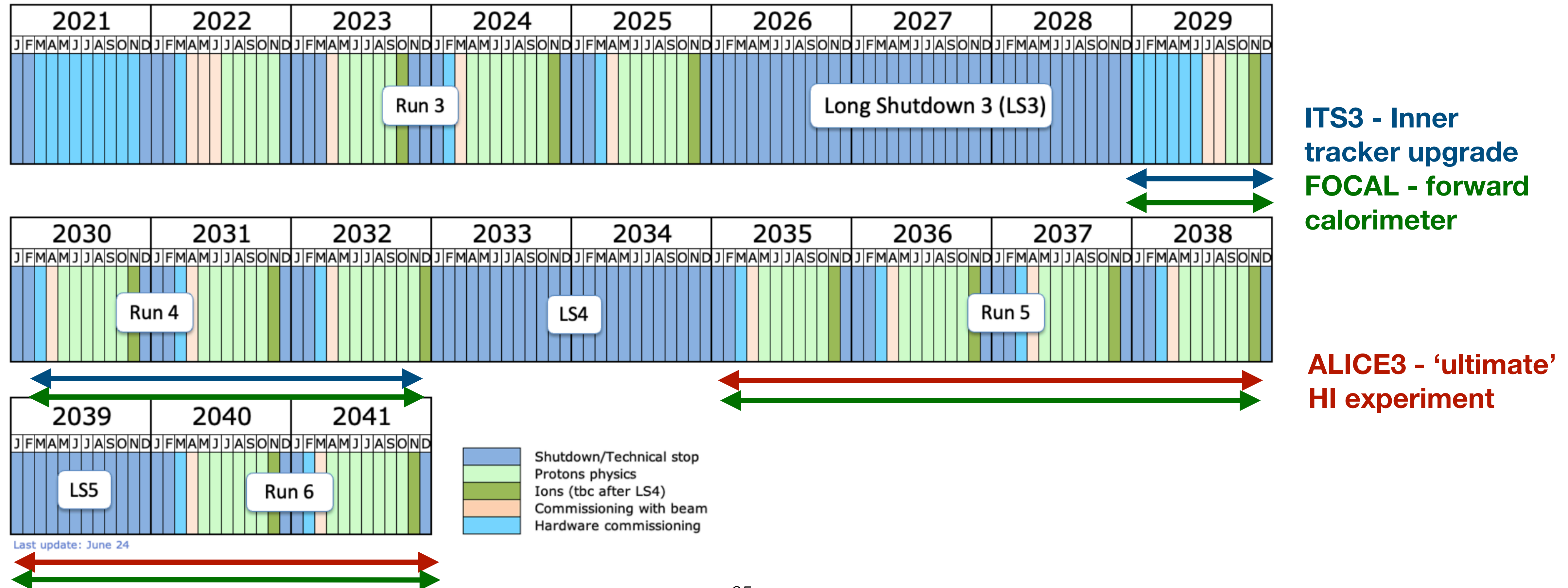
Combination of hadron RAA measurements @
LHC + RHIC

Future upgrades at the LHC

- Current ALICE setup scheduled to run until the end of Run 4 (2032), LHC scheduled to run with heavy-ions until 2041

Longer term LHC schedule

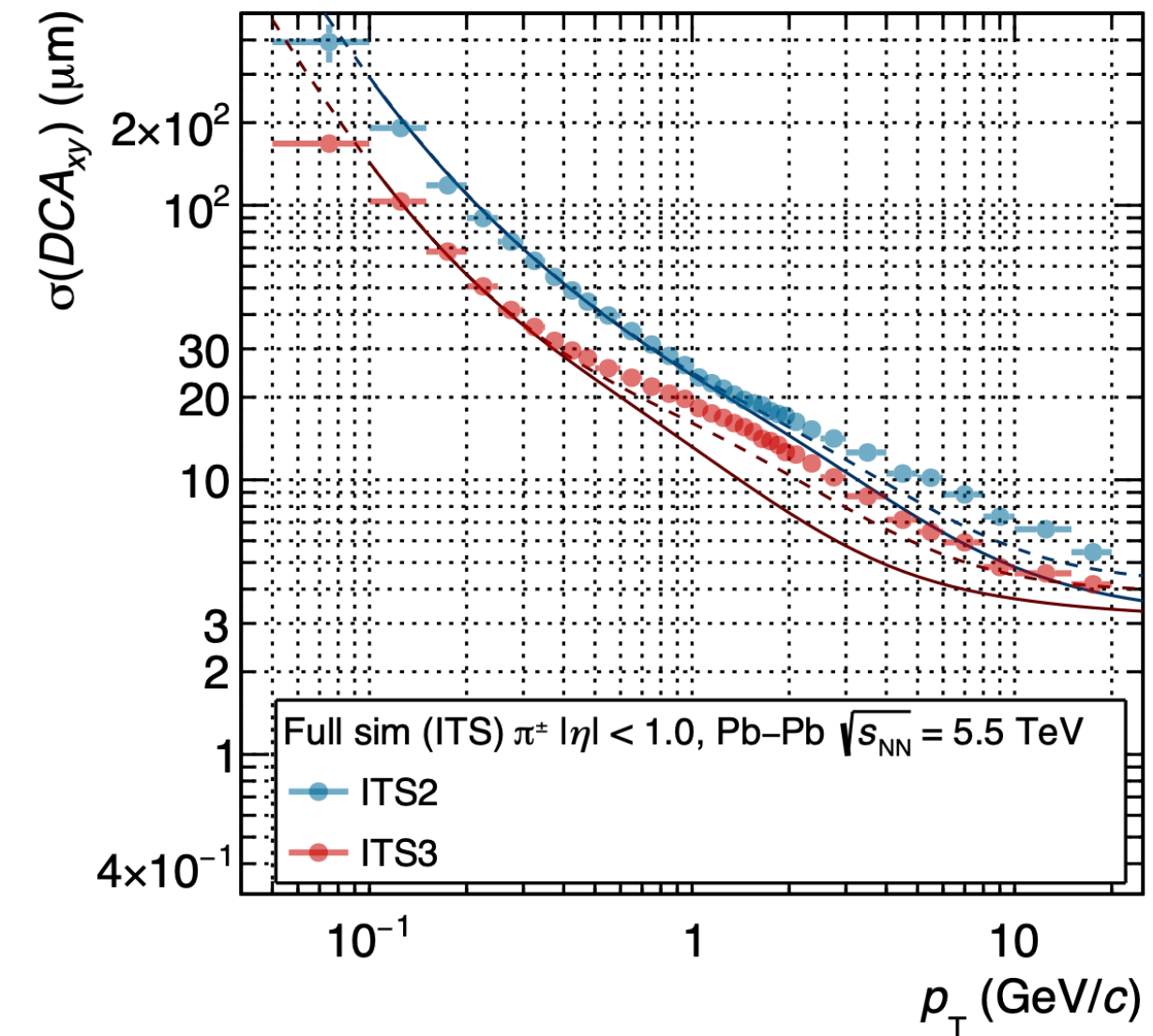
In January 2022, the schedule was updated with long shutdown 3 (LS3) to start in 2026 and to last for 3 years. HL-LHC operations now foreseen out to end 2041.



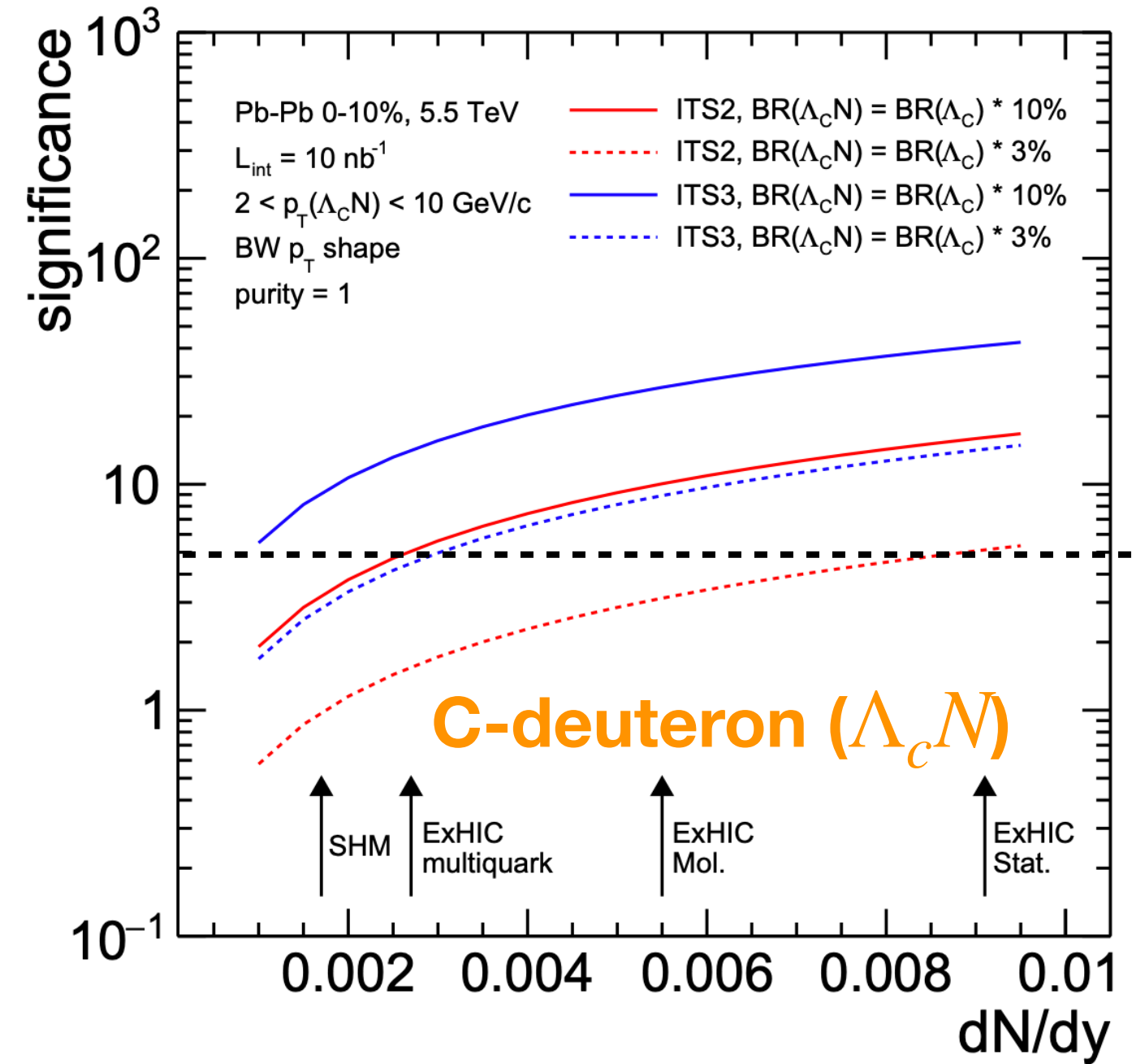
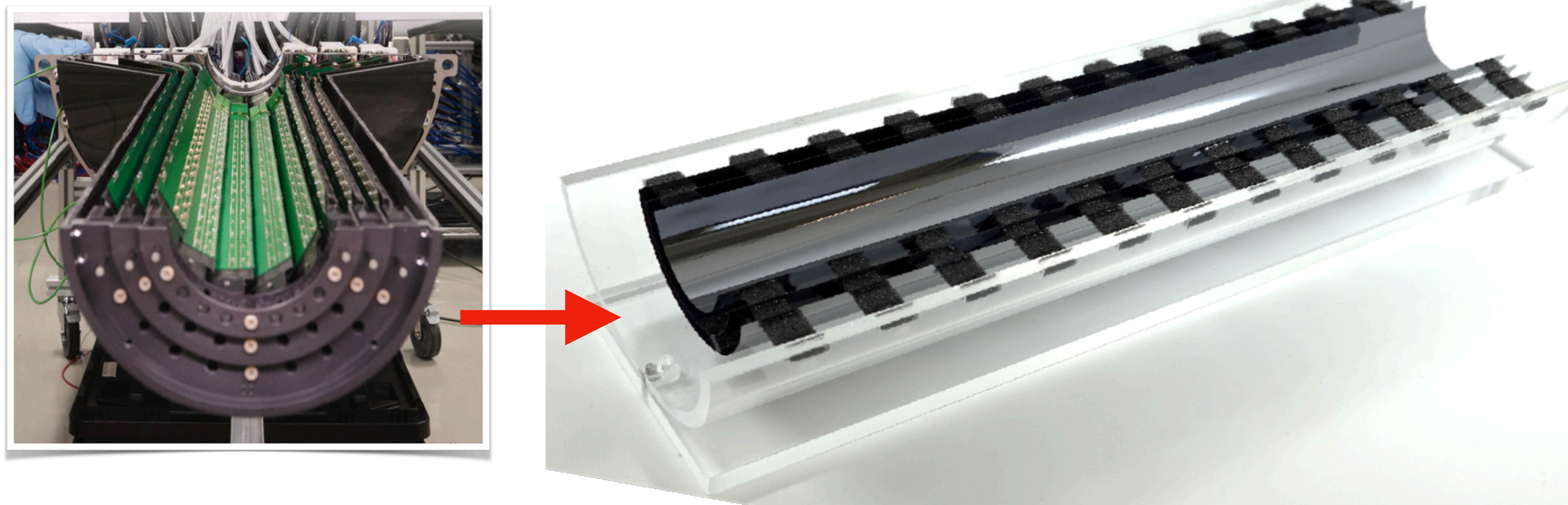
Inner tracker upgrade 'ITS3'

Replacing the ITS2 inner barrel for Run 4 (2029-2032)

- bent, wafer-scale CMOS (MAPS) sensors
 - Extremely low material budget 0.02-0.04% X_0
 - Homogeneous material distribution
- x2 improvement in pointing resolution, large improvement in tracking efficiency at low p_T

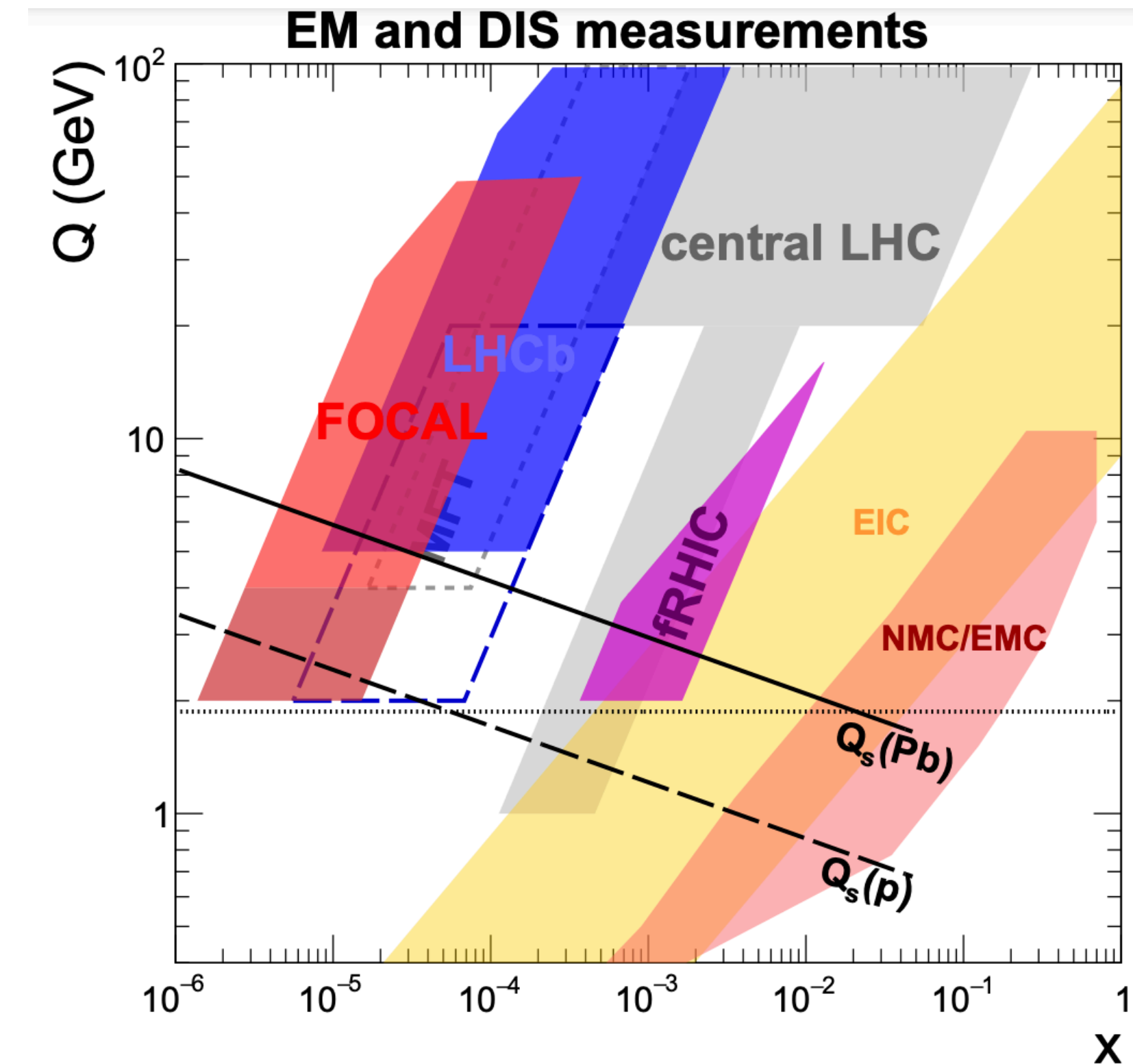
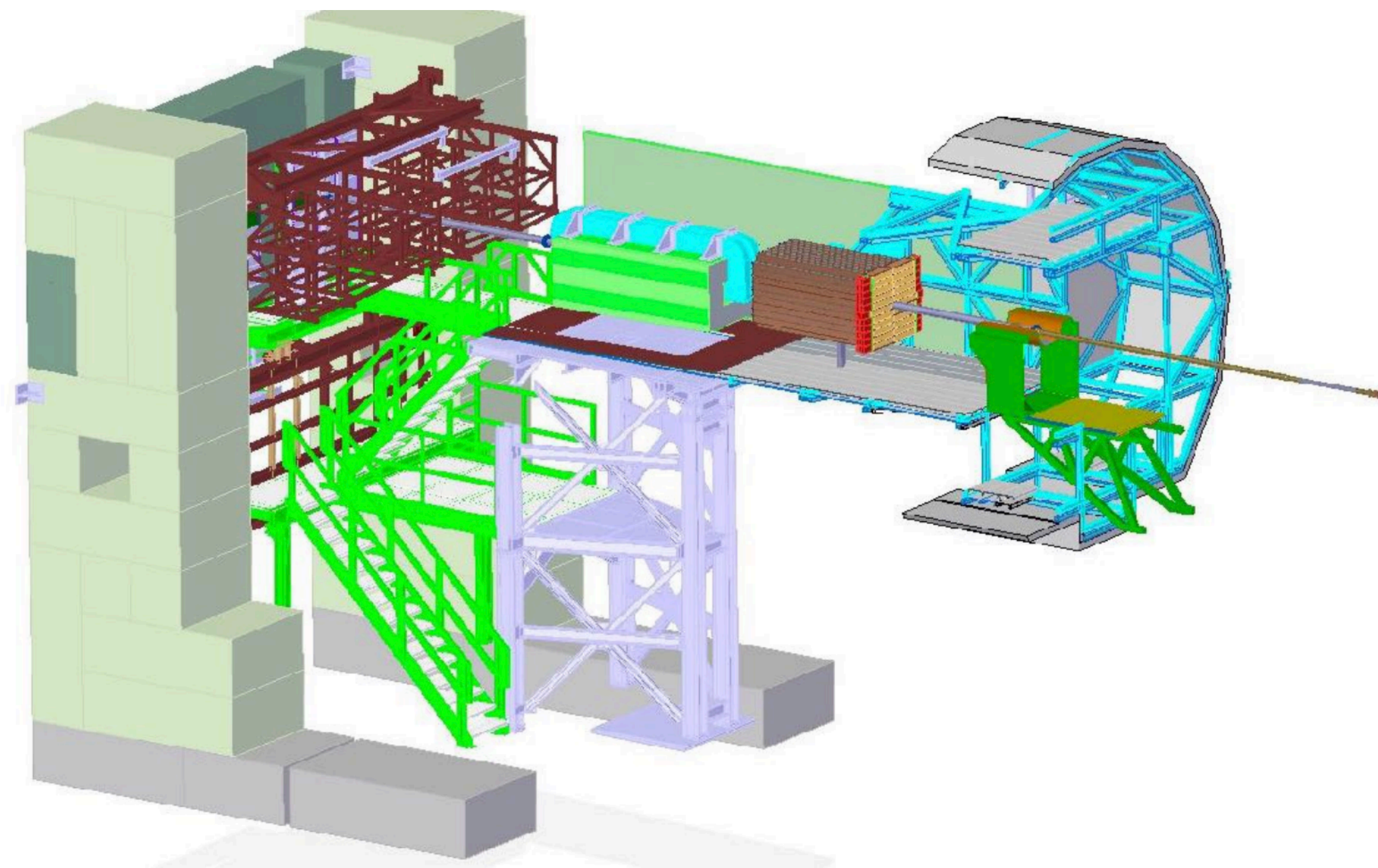


Significant improvements in measurements of charm+beauty hadrons, plus discovery potential for exotic nuclei



Forward Calorimeter 'FOCAL'

- Forward ($3.4 < \eta < 5.8$) EM calorimeter + hadronic calorimeter to be installed for Run 4
- Exciting low-x hadron physics program, complimentary to EIC

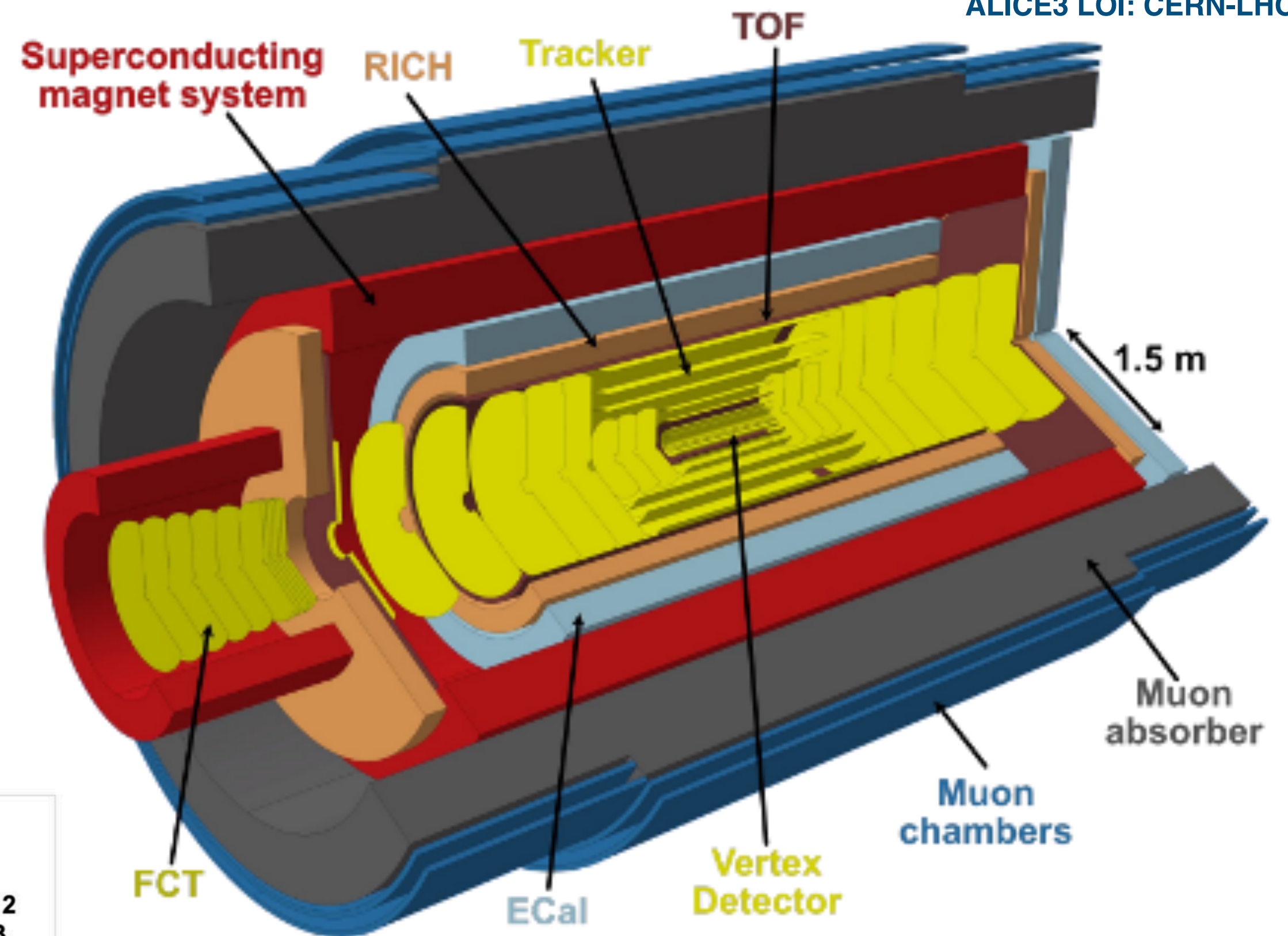


The ultimate heavy-ion experiment - ALICE3

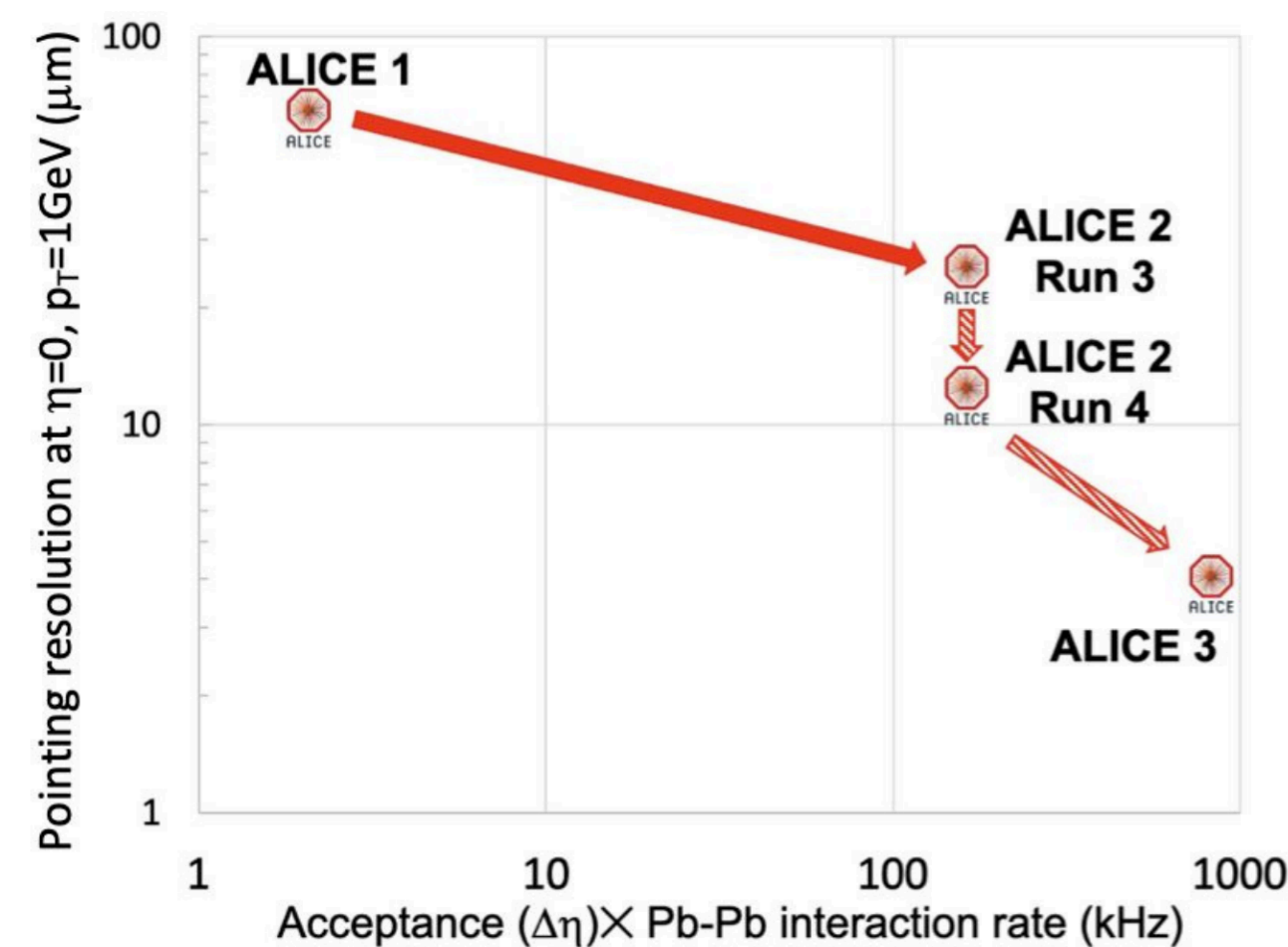
ALICE3 LOI: CERN-LHCC-2022-009

The next-generation heavy-ion experiment for LHC Run 5 and 6 (2035 onwards)

- Novel and innovative detector concept
 - Compact and lightweight all-silicon tracker
 - Retractable vertex detector
 - Extensive particle identification
 - Large acceptance
 - Superconducting magnet system
 - Continuous read-out and online processing



Ultimate performance in terms of acceptance, interaction rate and tracking precision



Precision beauty, charm correlations, exotic charm states and nuclei, dileptons, collectivity...

Summary

- **ALICE (A Large Ion Collider Experiment)** is the experiment at the LHC dedicated to studying the deconfined state of QCD known as the Quark-Gluon Plasma (QGP)
- ALICE has a rich and diverse physics programme and is probing the properties of the QGP with unprecedented accuracy, as well as addressing many topics in QCD and beyond
- **There is a bright (and hot!) future ahead of us**



Further reading:

- [review] ALICE Collaboration, The ALICE experiment - - A journey through QCD, arXiv:2211.04384
- [future] CERN Yellow Report on QCD with heavy-ion beams at the HL-LHC, arXiv:1812.06772
- [future] Letter of intent for ALICE 3: A next generation heavy-ion experiment at the LHC, arXiv:2211.02491

Backup

Jet asymmetry due to the QGP

- Measurement of two high p_T jets in Pb-Pb collisions shows significant asymmetry
- When one jet has a large amount of QGP to travel through, lots of energy lost

