

# Application of machine learning techniques to search dark matter with ANAIS-112

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Universidad Zaragoza

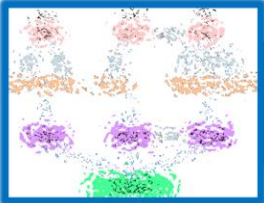


# Outline



Introduction to dark matter

The ANAIS–112 experiment

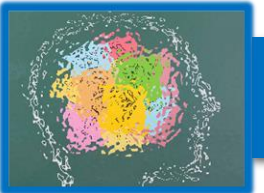


Improving filtering protocols with Machine Learning

Annual modulation results with 6 years



Summary and outlook

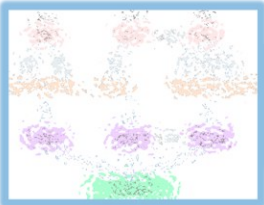


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Summary and outlook

# Dark matter in the universe

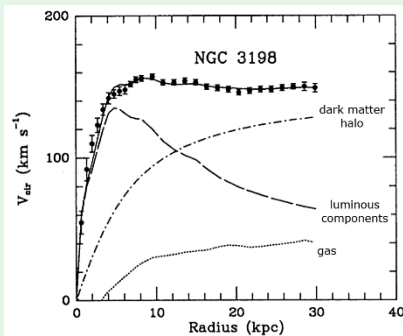
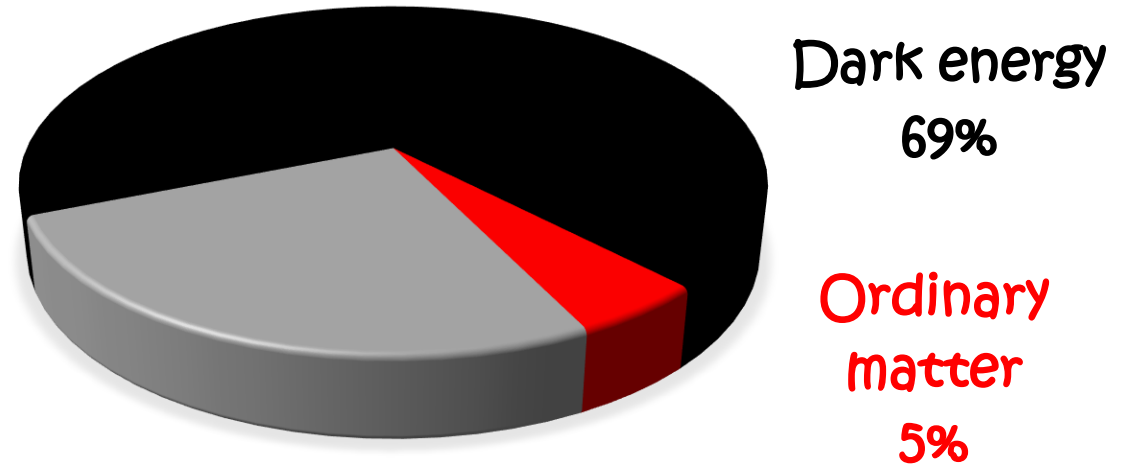
Planck's satellite (2018)

A large fraction of the Universe budget is not explained within the Standard Model

Results consistent with  $\Lambda$ CDM standard model

But... dark matter nature still **unknown!**

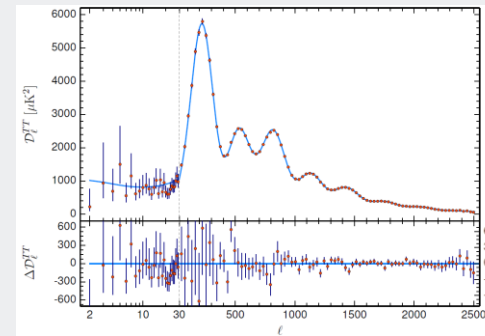
Dark matter  
26%



Galactic scale



Galactic cluster scale

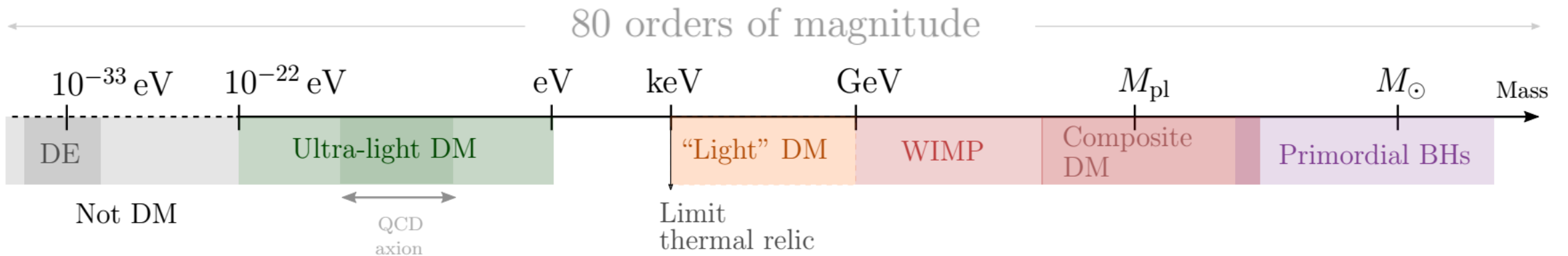


Cosmological scale

Numerous hints at different scales

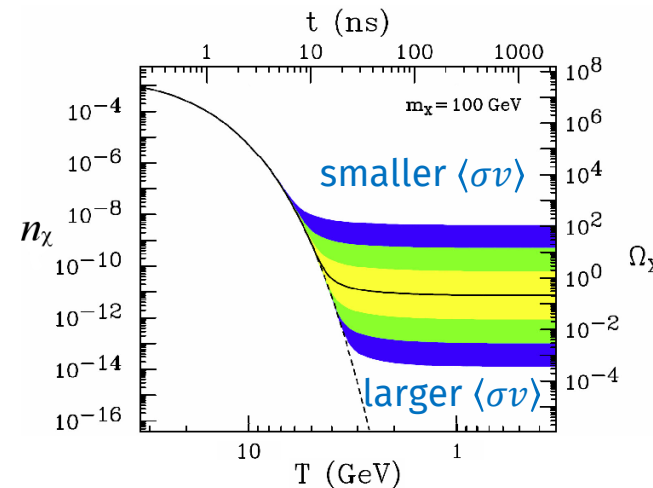
# Dark matter candidates

A plethora of **DM candidates** beyond the SM: non-zero-mass, electrically neutral, stable particles having a very low interaction probability with baryonic matter



*E. G. M. Ferreira, arXiv:2005.03254 (2021)*

**Thermal WIMPs:** produced at the early Universe via a freeze-out mechanism when SM and DM particles were in thermal equilibrium, producing a constant relic density, reproduced for a wide range of masses from 1 eV to 120 TeV

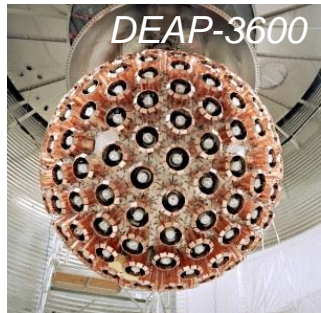


$$\langle\sigma_{ann}v\rangle_f \approx 3 \times 10^{-26} \text{ cm}^3 \text{ s}^{-1}$$

$$\sigma_{ann} \propto \frac{g^4}{m_\chi^2}$$

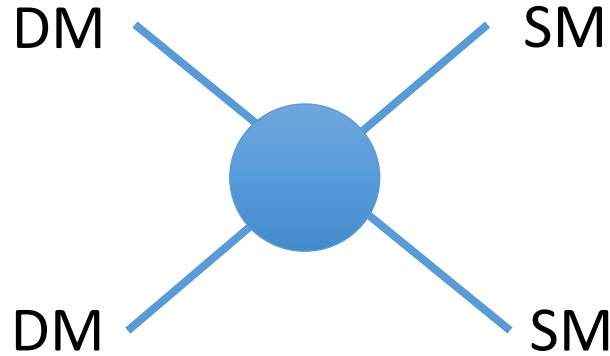
# Dark matter detection

Different **complementary** strategies for detection

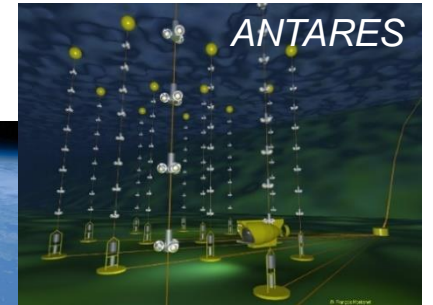
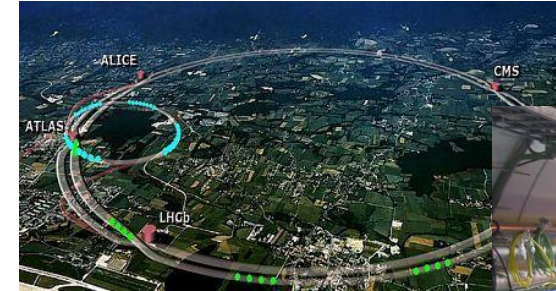


Direct detection (**shake it**)

Production at colliders (**make it**)



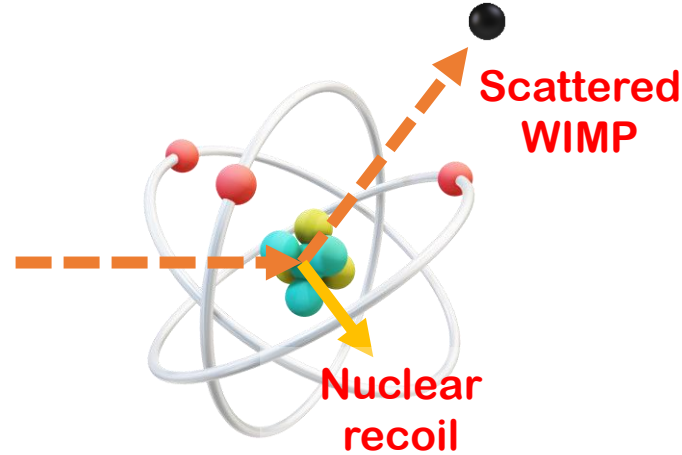
Indirect detection (**break it**)



# Dark matter direct detection

## Nuclear recoils

WIMPs with masses of 10-100 GeV would produce nuclear recoils with energies of 1-100 keV



## Signals:

- ➔ Heat (phonons/bubbles)
- ➔ Light (scintillation)
- ➔ Charge (ionization)

## Detection rate

$$R = \int_{E_{th}}^{E_{max}} dE_R \varepsilon(E_R) \frac{\rho_0}{m_W} \frac{M_{det}}{m_N} \int_{v_{min}}^{v_{max}} v f(\vec{v}) \frac{d\sigma_{WN}}{dE_R}(\vec{v}, E_R) d\vec{v}$$

### Experimental setup

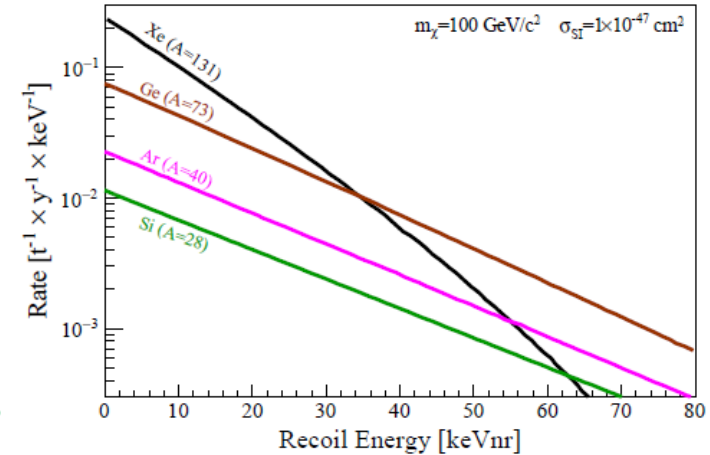
- ➔ Target nuclei (sensitive to spin-(in)dependent coupling)
- ➔ Detector mass
- ➔ Energy threshold

### Astrophysical parameters

- ➔ Local DM density
- ➔ Velocity distribution

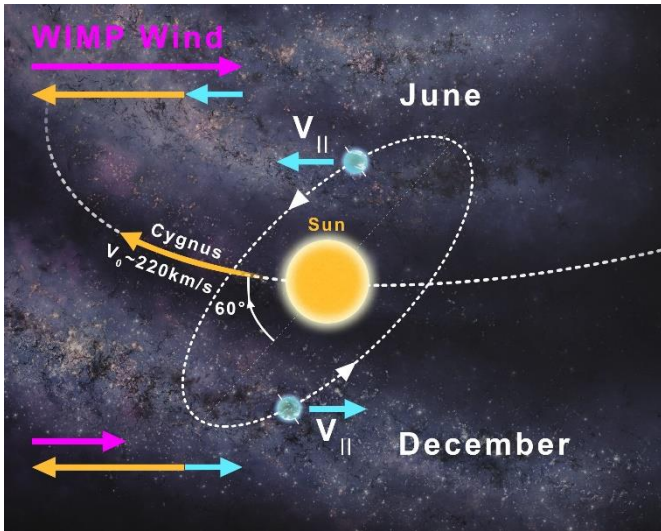
### Theoretical inputs

- ➔ Differential cross section
- ➔ Nuclear uncertainties

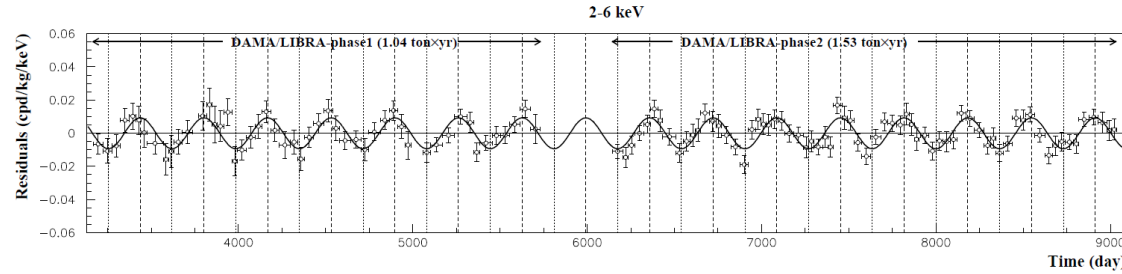


**A DISTINCTIVE  
SIGNATURE IS NEEDED**

# Dark matter annual modulation & DAMA/LIBRA positive signal



## DAMA/NaI and DAMA/LIBRA @LNGS (since 1995)



Cosine behaviour:  
 $T = 1 \text{ y}, \phi = 02/\text{Jun}$

Only at low energy

Single-hit events

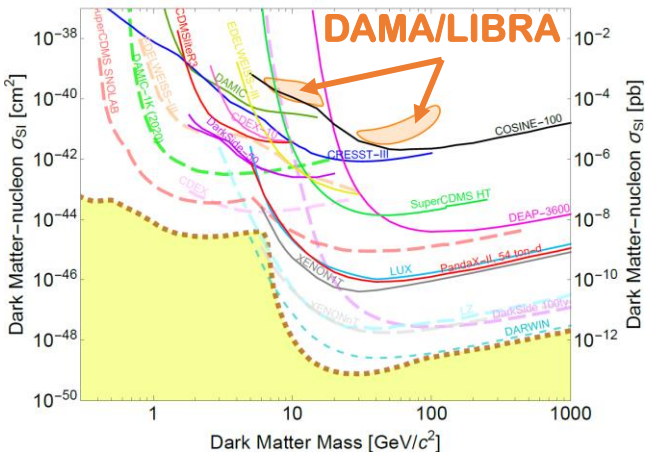
$S_m/S_0 \lesssim 7\%$

*R. Bernabei et al., Nucl. Phys. At. Energy 22 (2021) 329-342*

DAMA/NaI: 100 kg NaI(Tl) [1995-2002]  
DAMA/LIBRA: 250 kg NaI(Tl) [2003-today]

**DAMA clearly observes an annual modulation compatible with DM at more than  $13\sigma$**

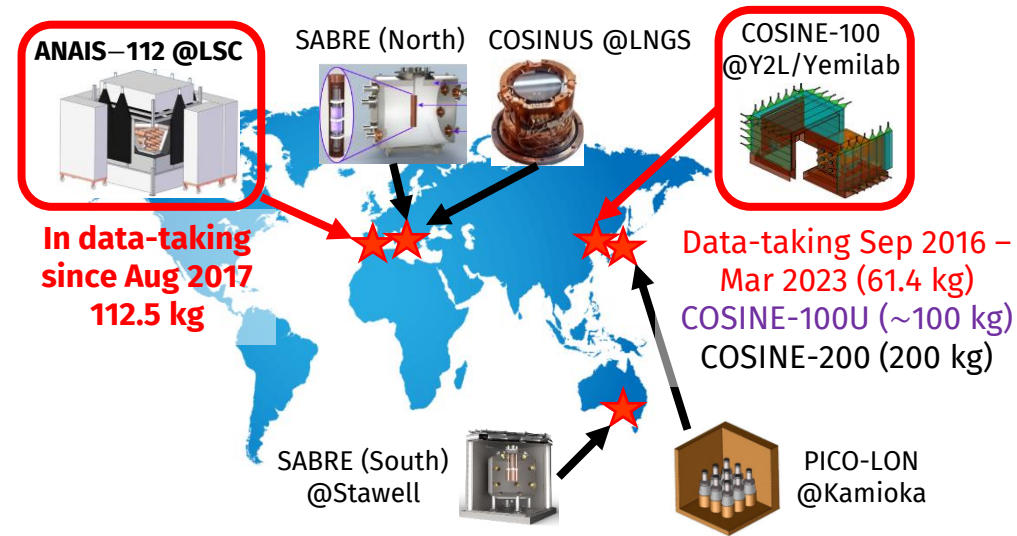
## STRONG TENSION



Other very sensitive experiments do not see the signal, but the comparison is **model dependent**

**A model independent test is needed using the same target**

## Other NaI experiments around the world



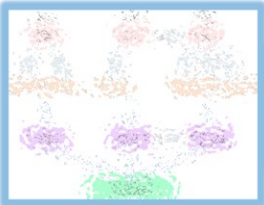


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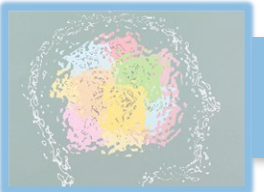


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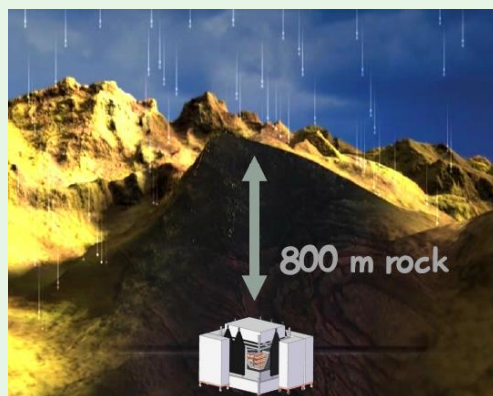
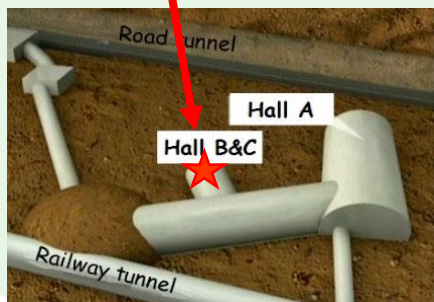
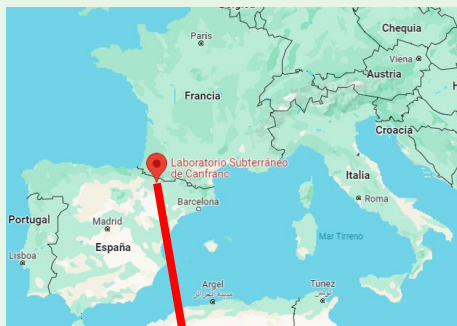
# The ANAIS experiment

**GOAL** ANAIS (*Annual modulation with NaI(Tl) scintillators*) intends to provide a **model independent** test of the signal reported by DAMA/LIBRA, using the **same target and technique**, but different experimental approach and environmental conditions



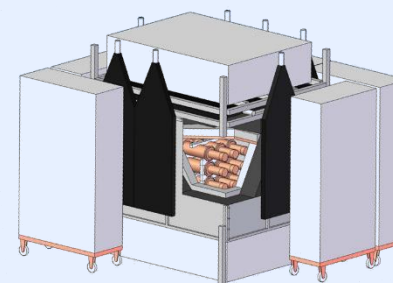
Projected sensitivity:  $3\sigma$  in 5 years data-taking

**WHERE** At the **Canfranc Underground Laboratory**,  
LSC @ SPAIN (under 2450 m.w.e.)



## ANAIS–112 SET-UP

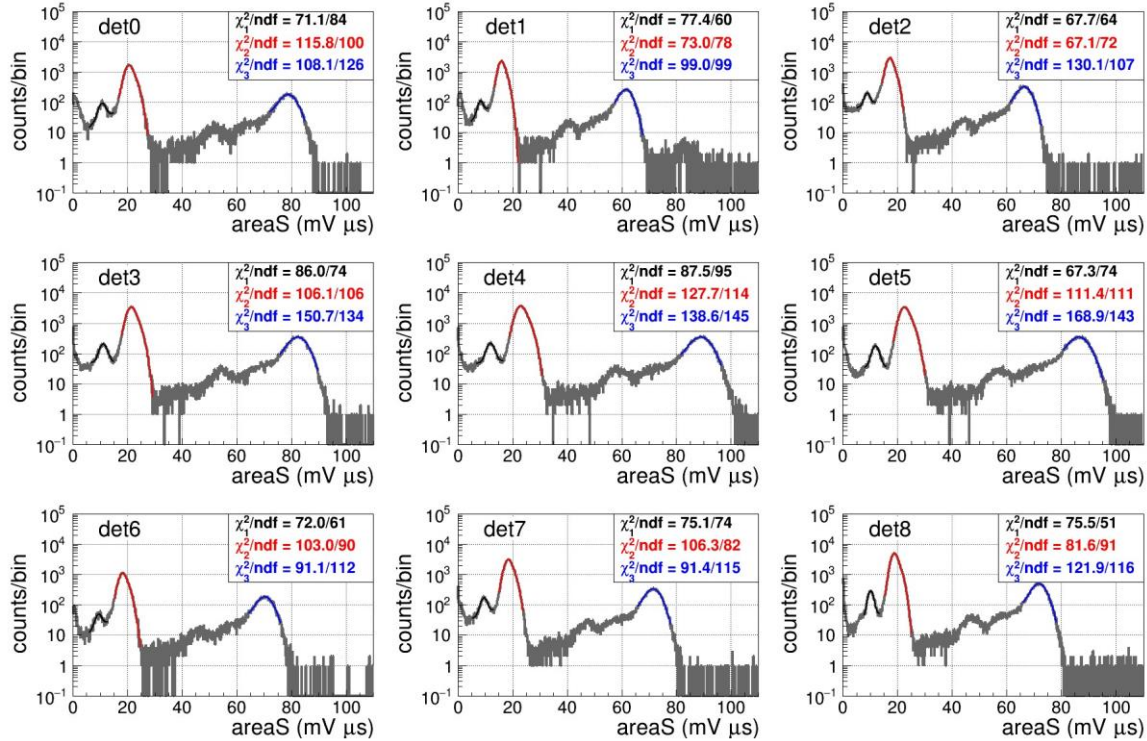
- 9 ultrapure NaI(Tl) crystals 12.5 kg (**112.5 kg**) in  $3 \times 3$
- Cylindrical modules coupled to 2 high QE PMTs (~40%)



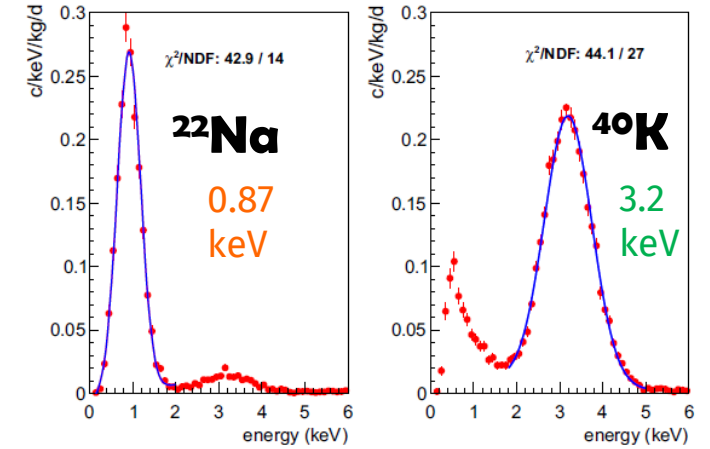
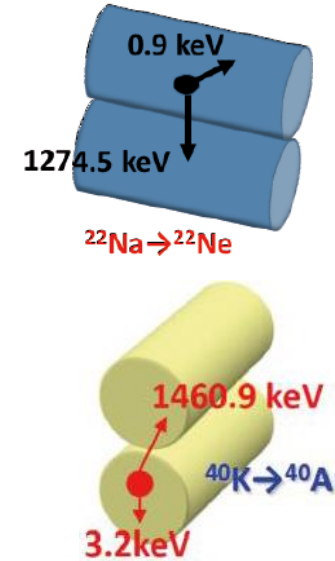
On 3 August 2017, data collection starts

# Low energy calibration

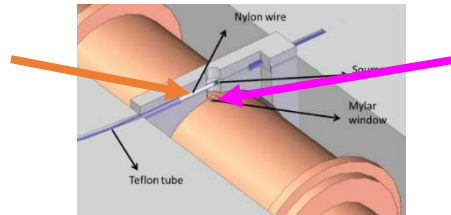
Calibration with external  $^{109}\text{Cd}$  sources (11.9, 22.6 and 88.0 keV) every two weeks for gain correction



Calibration in the ROI [1-6] keV with internal bulk contaminants  $^{22}\text{Na}$  (0.9 keV) and  $^{40}\text{K}$  (3.2 keV) using whole statistics



Guides for  $^{109}\text{Cd}$  sources



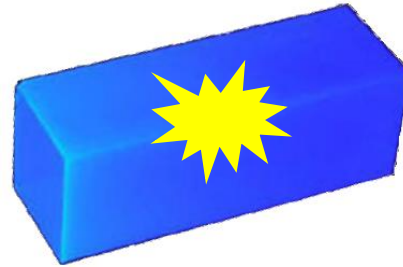
Mylar window

Linear calibration in 2 ranges:

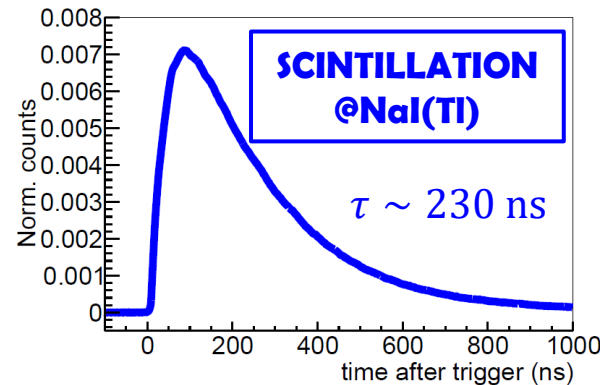
- 1-10 keV [ROI]
- 10-100 keV

# What do we expect to see?

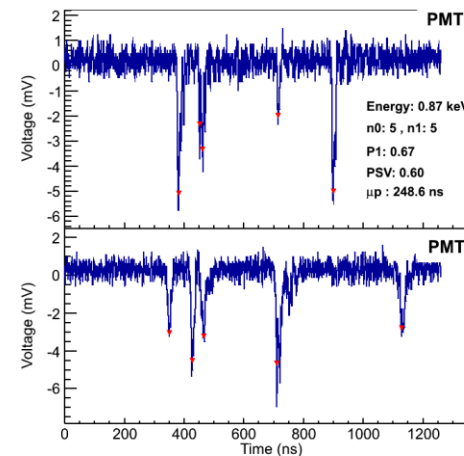
Scintillation light in the NaI(Tl) crystal (bulk)



Expected signal



... but at low energy (15 phe/keV)

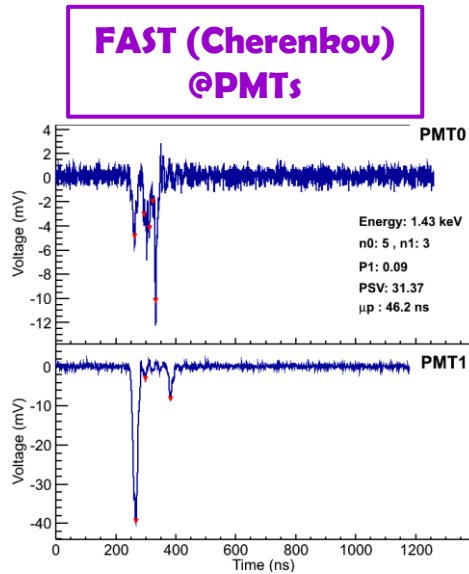


Due to the high light collection, we can see the individual photoelectrons (phe) in each PMT

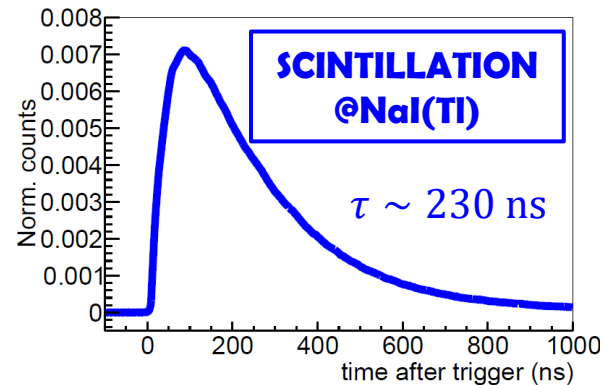
# What do we actually see?

The region of interest (1-6 keV) is dominated by **non-bulk scintillation events**

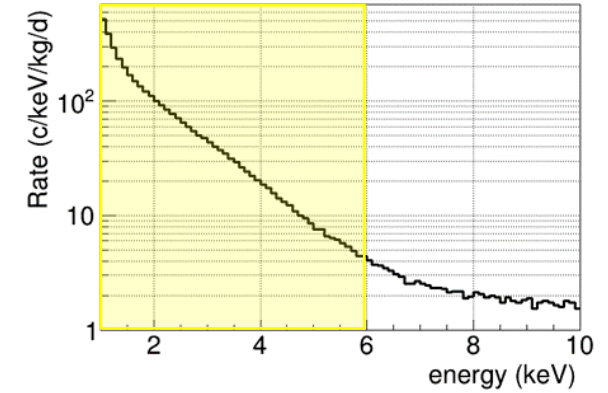
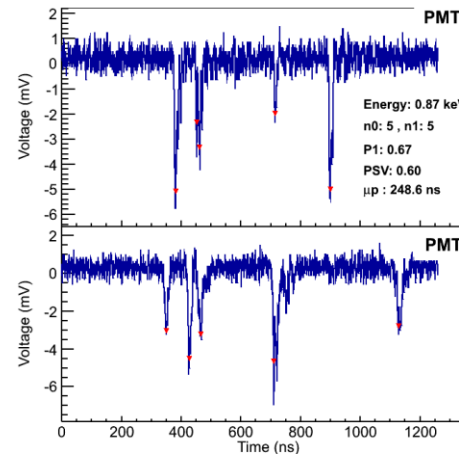
Application of event selection protocols to distinguish scintillation events from noise



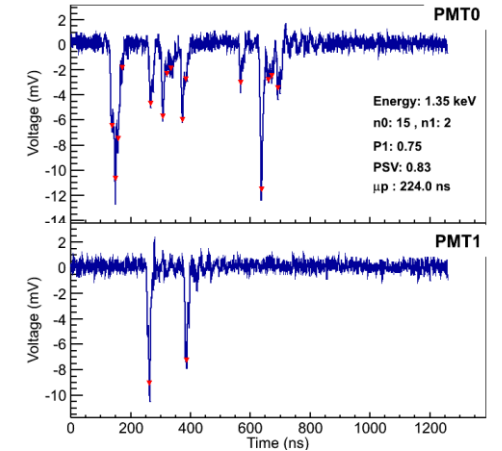
Expected signal



... but at low energy (15 phe/keV)



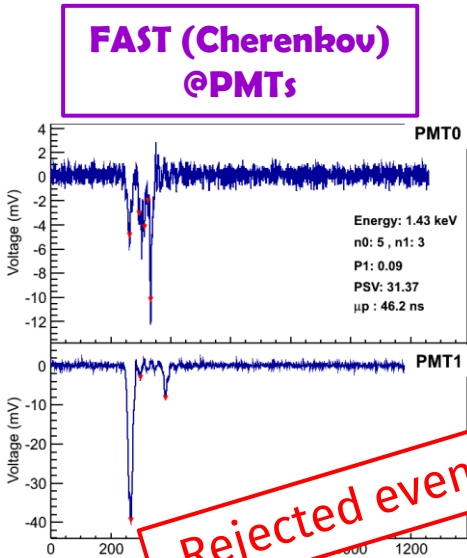
**ASYMMETRIC light-sharing @PMTs**



# ANAIS-112 event selection

The region of interest (1-6 keV) is dominated by **non-bulk scintillation events**

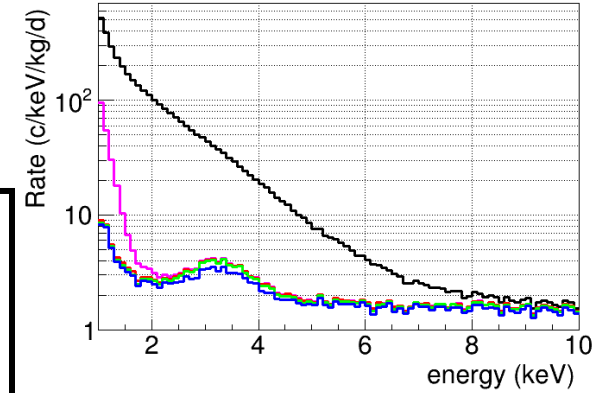
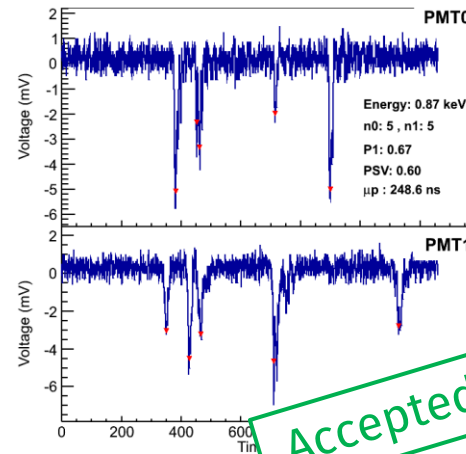
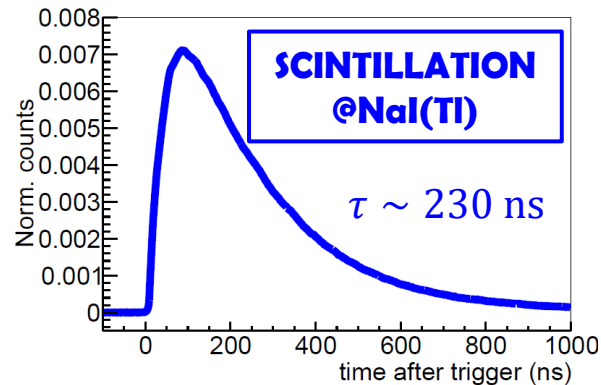
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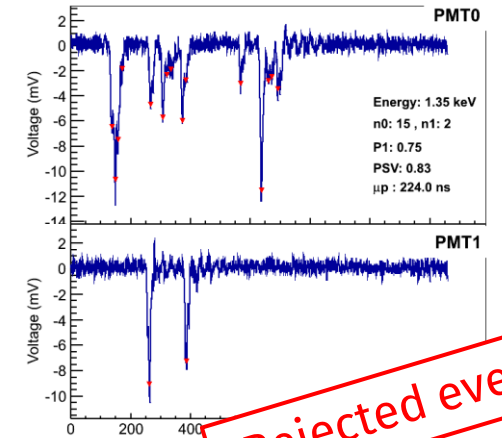
## Standard analysis

- 1) Pulse shape cut to select pulses with NaI(Tl) scintillation constant
- 2) We remove asymmetric events ( $< 2 \text{ keV}_{ee}$ ) with origin in the PMT
- 3) Remove 1 s after a muon passage
- 4) Multiplicity = 1 (single-hit events)

$$P_1 = \frac{\sum_{100 \text{ ns}}^{600 \text{ ns}} A(t)}{\sum_{0 \text{ ns}}^{600 \text{ ns}} A(t)} \quad \mu_p = \frac{\sum_i A_i t_i}{\sum_i A_i} \quad n_0, n_1$$



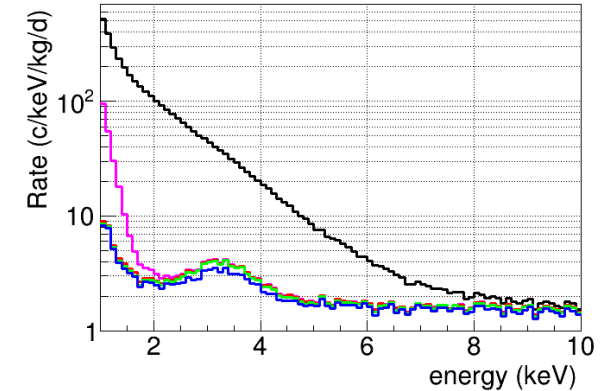
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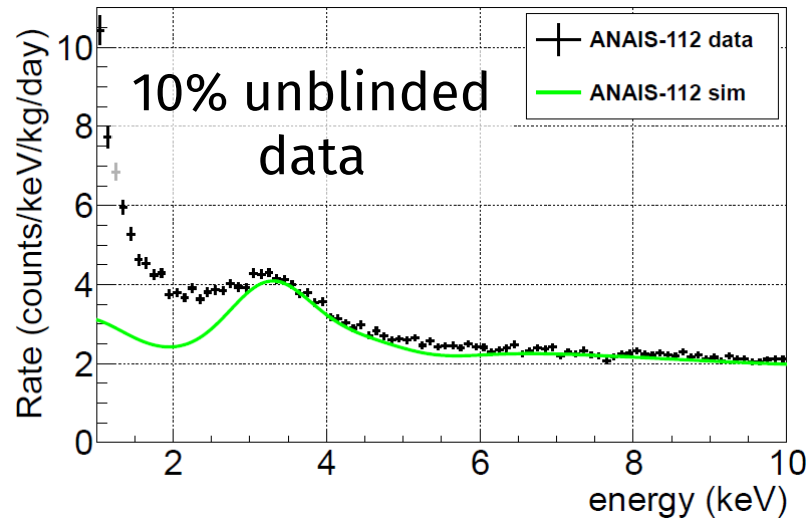
Application of event selection protocols to distinguish scintillation events from noise



Comparing with MC background model...

Strong discrepancy in [1-2] keV

...and very low efficiency at 1 keV (~15%)



The unexplained events <2 keV could be related with:

- background sources not considered in model
- non-bulk scintillation events not rejected

**Machine-learning technique to improve noise rejection in [1-2] keV**

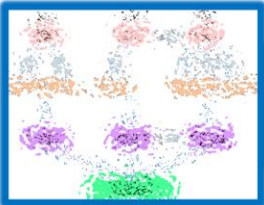
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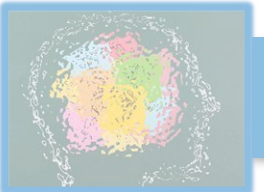


Improving filtering protocols with Machine Learning

Annual modulation results with 6 years



Summary and outlook





# Machine-learning techniques for event selection

## Boosted Decision Tree (BDT)

- Multivariate analysis
- Combination of several weak discriminating variables into a single powerful discriminator
- Two classes: signal-like and noise-like events
- BDT response: from -1 (noise-like) to +1 (signal-like)

$$BDT(\vec{x}_i) = \frac{1}{n_{Trees}} \sum_{j=1}^{n_{Trees}} \ln(\alpha_j) \cdot T_j(\vec{x}_i)$$

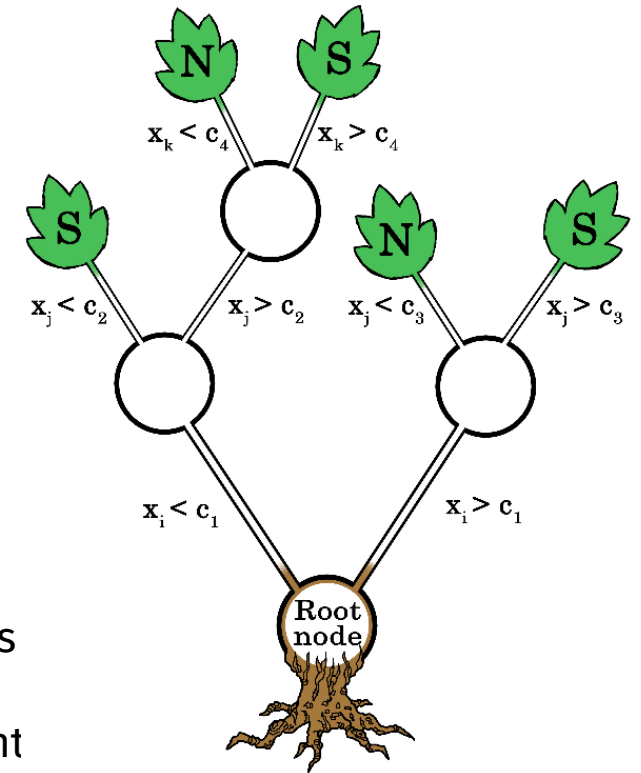
AdaBoost

$n_{Trees}$ : number of trees

$\alpha_j = \frac{1-f_j}{f_j}$ : boost weight

$f_j$ : fraction of misclassified events of the previous tree

$T_j(\vec{x}_i)$ : result of an individual classifier (-1 or +1)



Performance of using ML for event selection in: [JCAP11\(2022\)048](#) and [JCAP06\(2023\)E01](#)

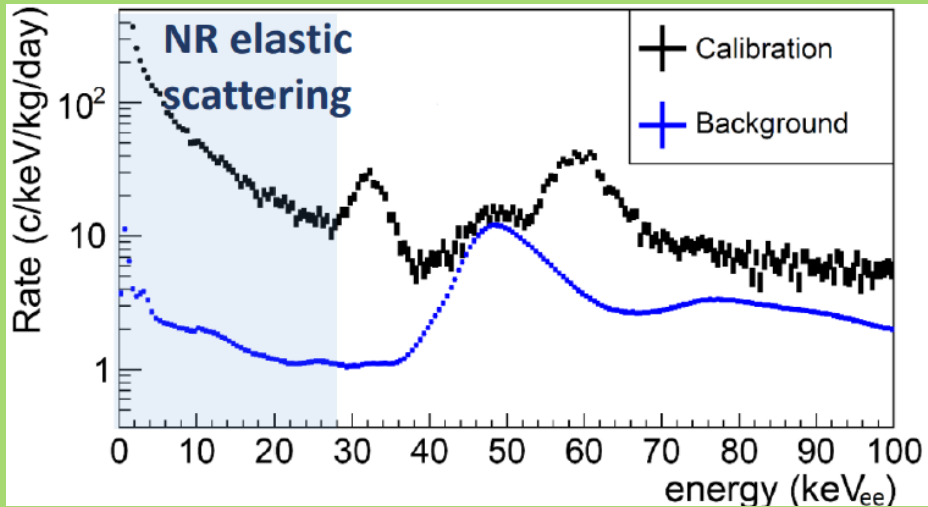
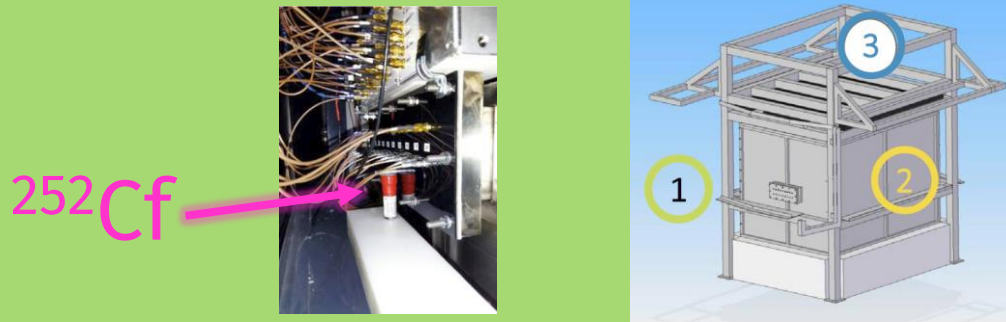
Reanalysis of 3 years data in: [arXiv:2404.17348 \(Apr. 2024\)](#), [Accepted in Comm. Phys.](#)

# Training populations

JCAP11(2022)048

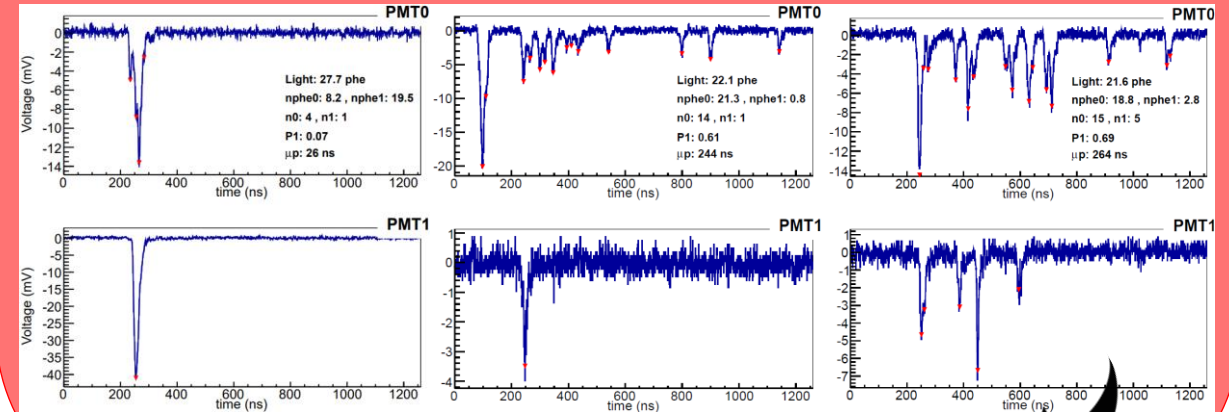
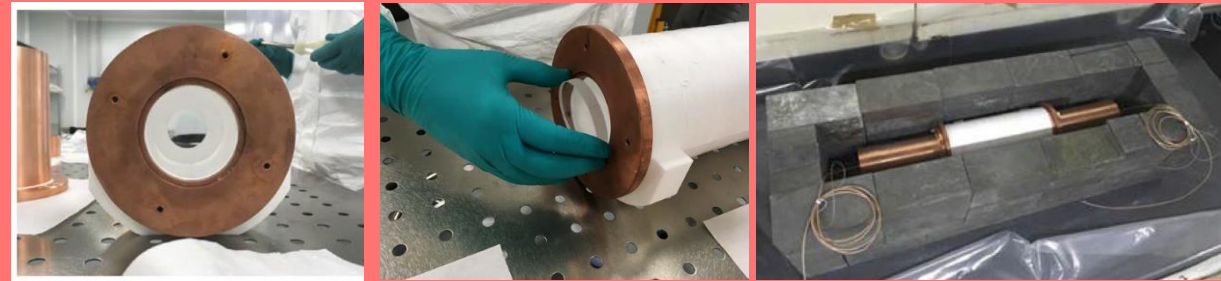
## SIGNAL EVENTS: Neutron calibrations

Seven calibration runs since April 2021 using  $^{252}\text{Cf}$  neutron source at different positions in the ANAIS–112 set-up



## NOISE EVENTS: "Blank" module (No NaI(Tl))

Since 2018 a Blank module (similar to ANAIS–112 modules, but without NaI(Tl) crystal) is taking data with the same DAQ, but in an independent shielding close to ANAIS–112



Not rejected by ANAIS–112 standard cuts

# Training parameters

JCAP11(2022)048

15 discrimination parameters combined in a boosted decision tree instead of the 4 parameters used in the standard analysis

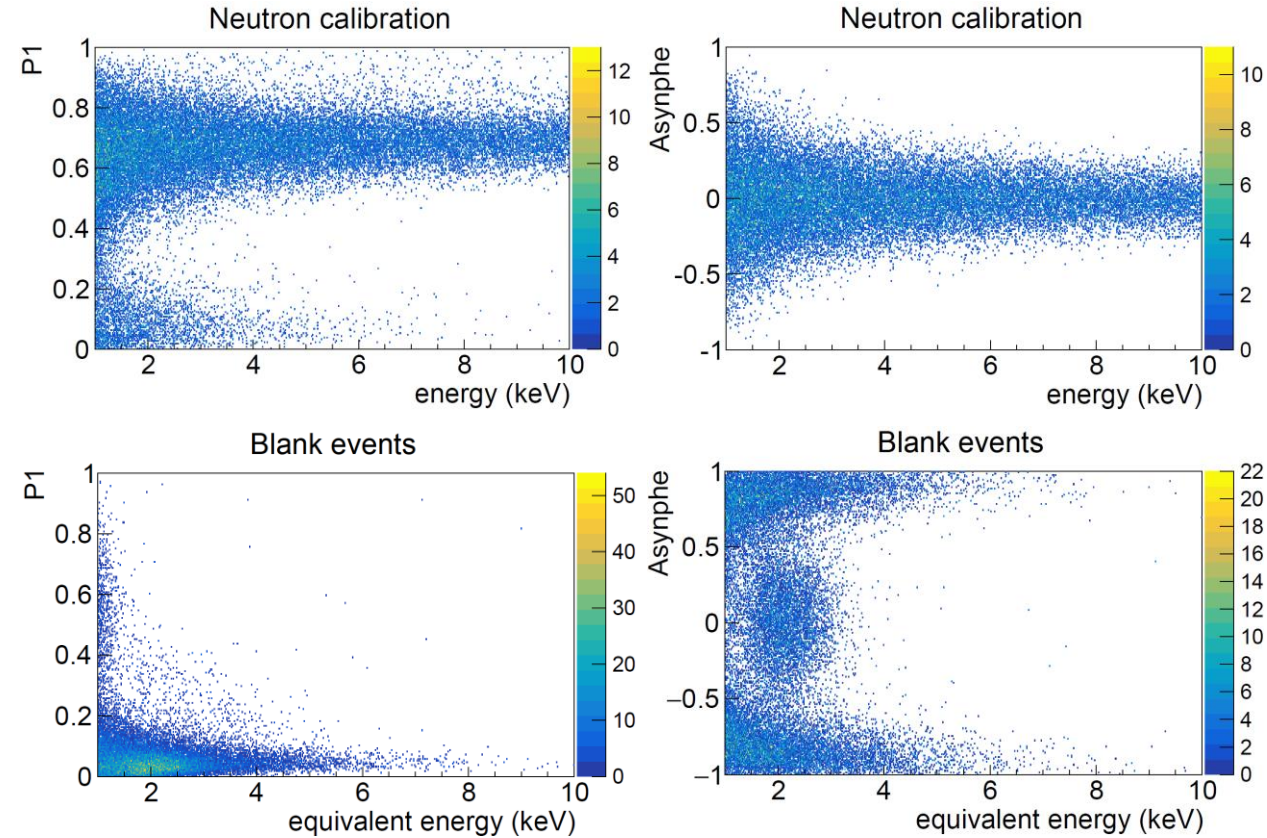
## Standard analysis

$$P_1 = \frac{\sum_{100 \text{ ns}}^{600 \text{ ns}} A(t)}{\sum_{0 \text{ ns}}^{600 \text{ ns}} A(t)} \quad \mu_p = \frac{\sum_i A_i t_i}{\sum_i A_i} \quad n_0, n_1$$

$$P_2 = \frac{\sum_{0 \text{ ns}}^{50 \text{ ns}} A(t)}{\sum_{0 \text{ ns}}^{600 \text{ ns}} A(t)} \quad \text{Asynphe} = \frac{nphe_0 - nphe_1}{nphe_0 + nphe_1}$$

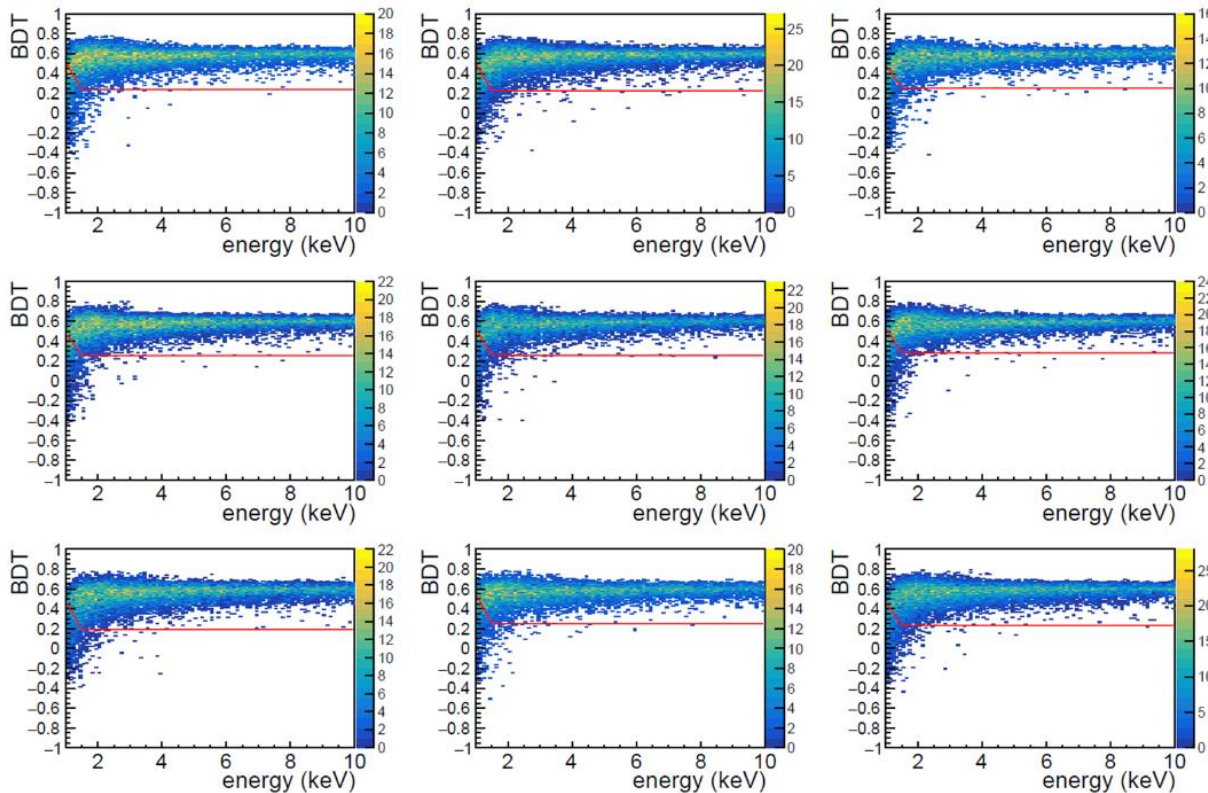
$$CAP_x = \frac{\sum_{0 \text{ ns}}^x A(t)}{\sum_{0 \text{ ns}}^{t_{max}} A(t)}$$

$x = 50, 100, 200, 300, 400, 500, 600, 700, 800 \text{ ns}$

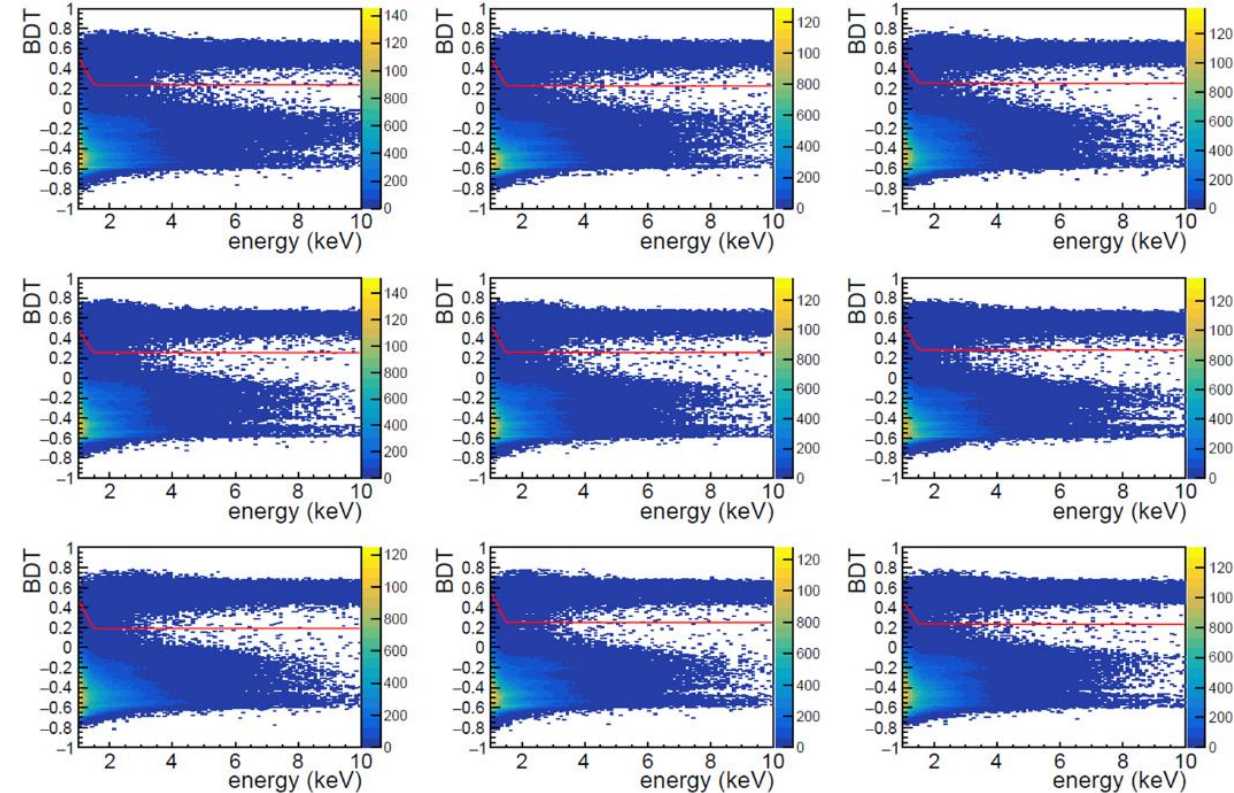


Equivalent energy from LC = 14.5 phe/keV

## Neutron calibration

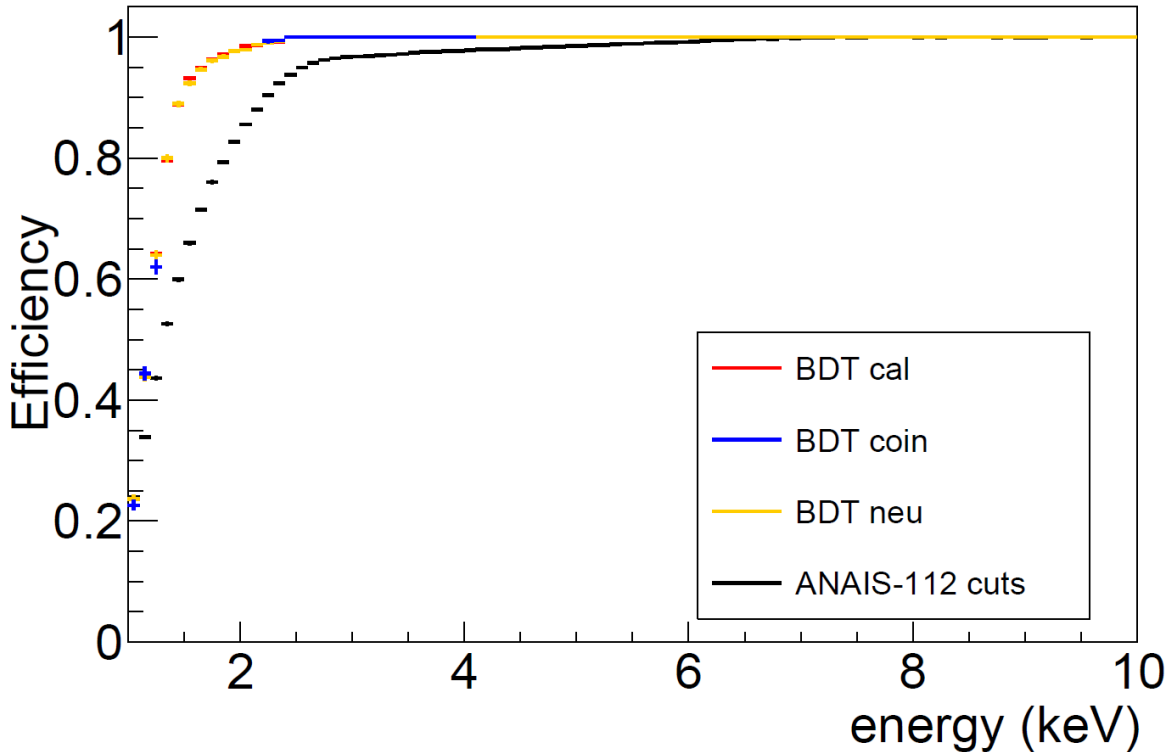


## 10% unblinded 3 years background events



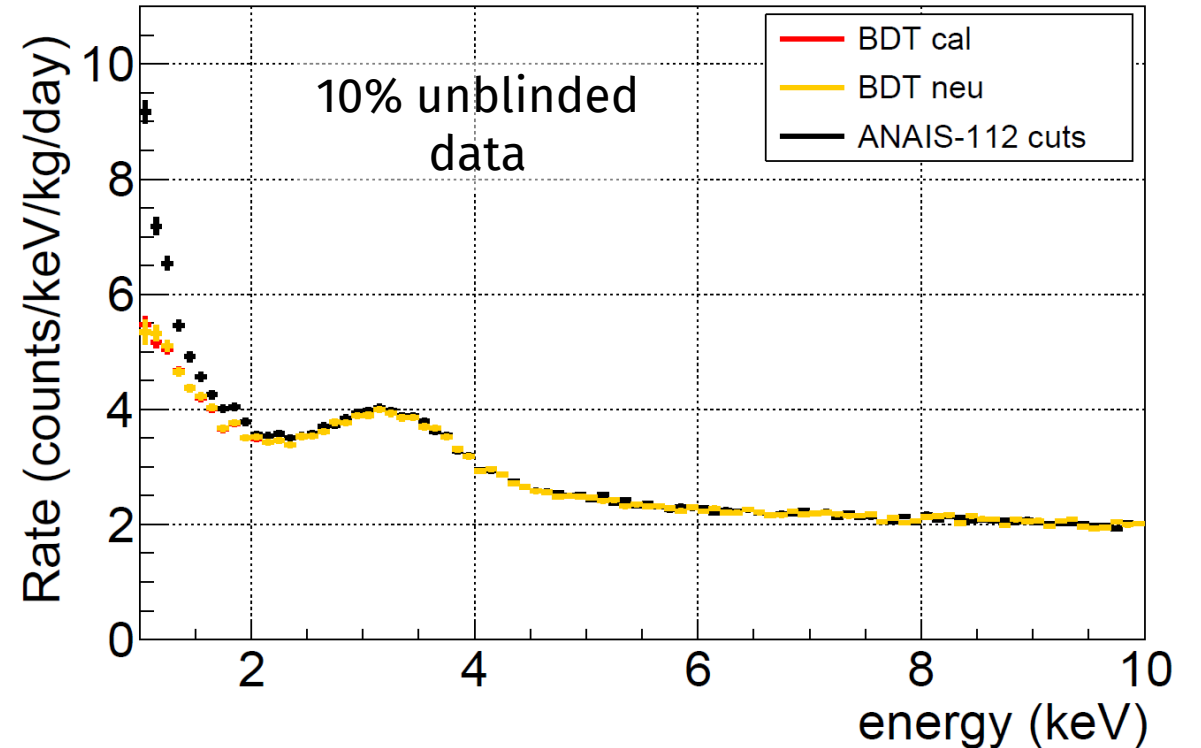
**CUT on BDT parameter applied to background**

### Acceptance efficiency



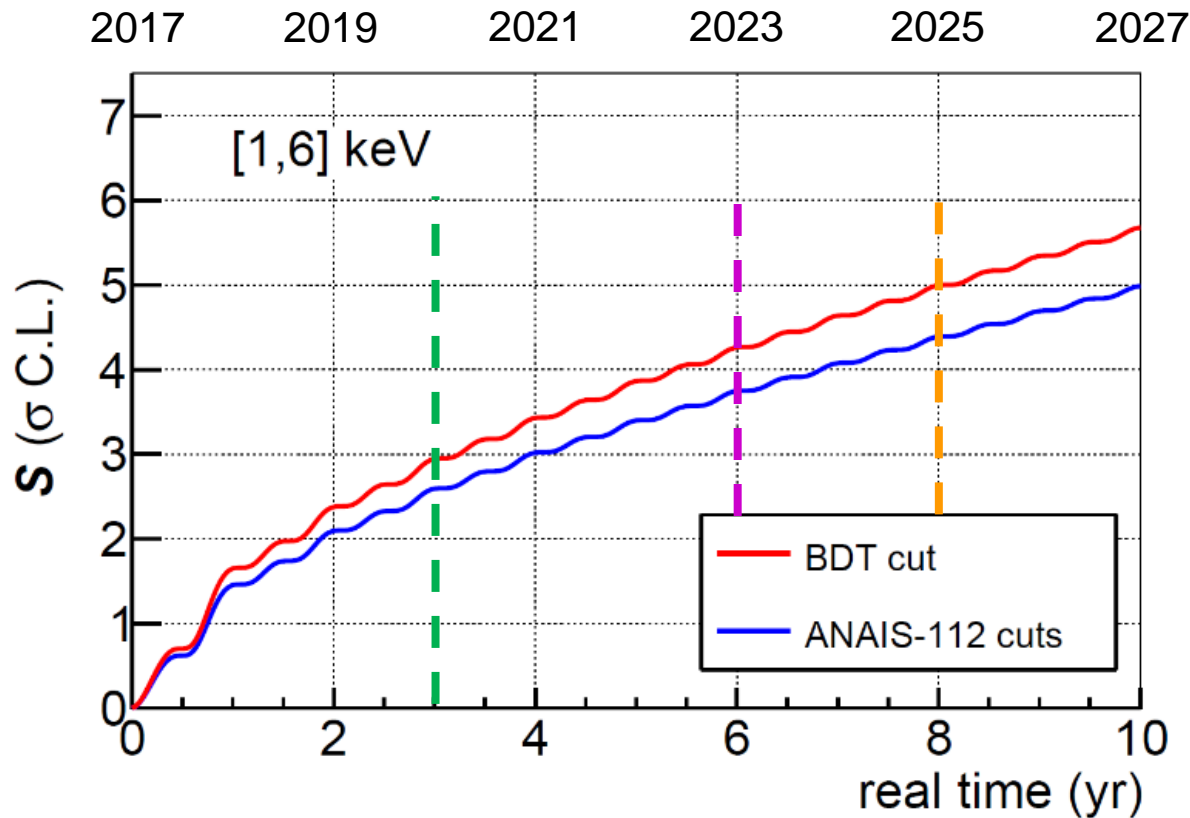
**~30% improvement  
in efficiency in [1-2] keV**

### Efficiency-corrected background



**~18% background  
reduction in [1-2] keV**

Sensitivity to DAMA/LIBRA result as 
$$S = \frac{S_m^{DAMA}}{\sigma(\hat{S}_m)} \propto \sqrt{\frac{M T \varepsilon}{B}}$$



The experimental sensitivity is given by the standard deviation of the modulation amplitude  $\sigma(S_m)$ , that can be estimated from:

- Updated background
- Efficiency estimate and its error
- Live time distribution

**3 $\sigma$  sensitivity with 3 y**

**>4 $\sigma$  sensitivity with 6 y (NOW)**

**5 $\sigma$  sensitivity in late 2025**

Focus on **model independent** analysis searching for modulation

→ In order to better compare with DAMA/LIBRA results

→ use the same energy regions ([1-6] keV, [2-6] keV)

→ Fix period 1 year and phase to June 2<sup>nd</sup>

→ Simultaneous fit of the 9 detectors in 10-day bins. Chi-square minimization:  $\chi^2 = \sum_i (n_i - \mu_i)^2 / \sigma_i^2$ , where the expected number of events  $\mu_i$  for detector  $d$  in time bin  $i$  is given by:

$$\mu_{i,d} = \left[ R_{0,d} \left( 1 + f_d \Phi_{bkg,d}^{MC}(t_i) \right) + S_m \cos(\omega(t_i - t_0)) \right] M_d \Delta E \Delta t$$

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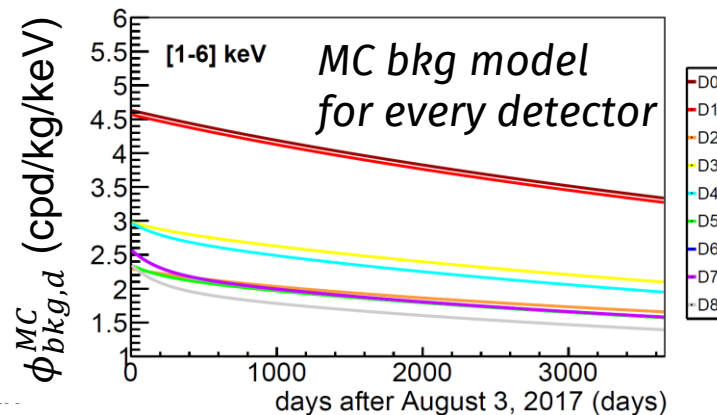
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Constant background  
(long-lived isotopes  
and residual noise)

Decaying background, modeled by MC

Modulation signal  
(fixed period and phase)



**19 free parameters:  $R_{0,d}, f_d, S_m$**



# Improved 3-year results [1-6] keV

2.5 $\sigma$   $\rightarrow$  2.8 $\sigma$

PRD103(2021)102005

arXiv:2404.17348

Null hyp  $\chi^2/\text{nfd}$ : 1075.81/972 [ $p_{\text{val}}=0.011$ ]

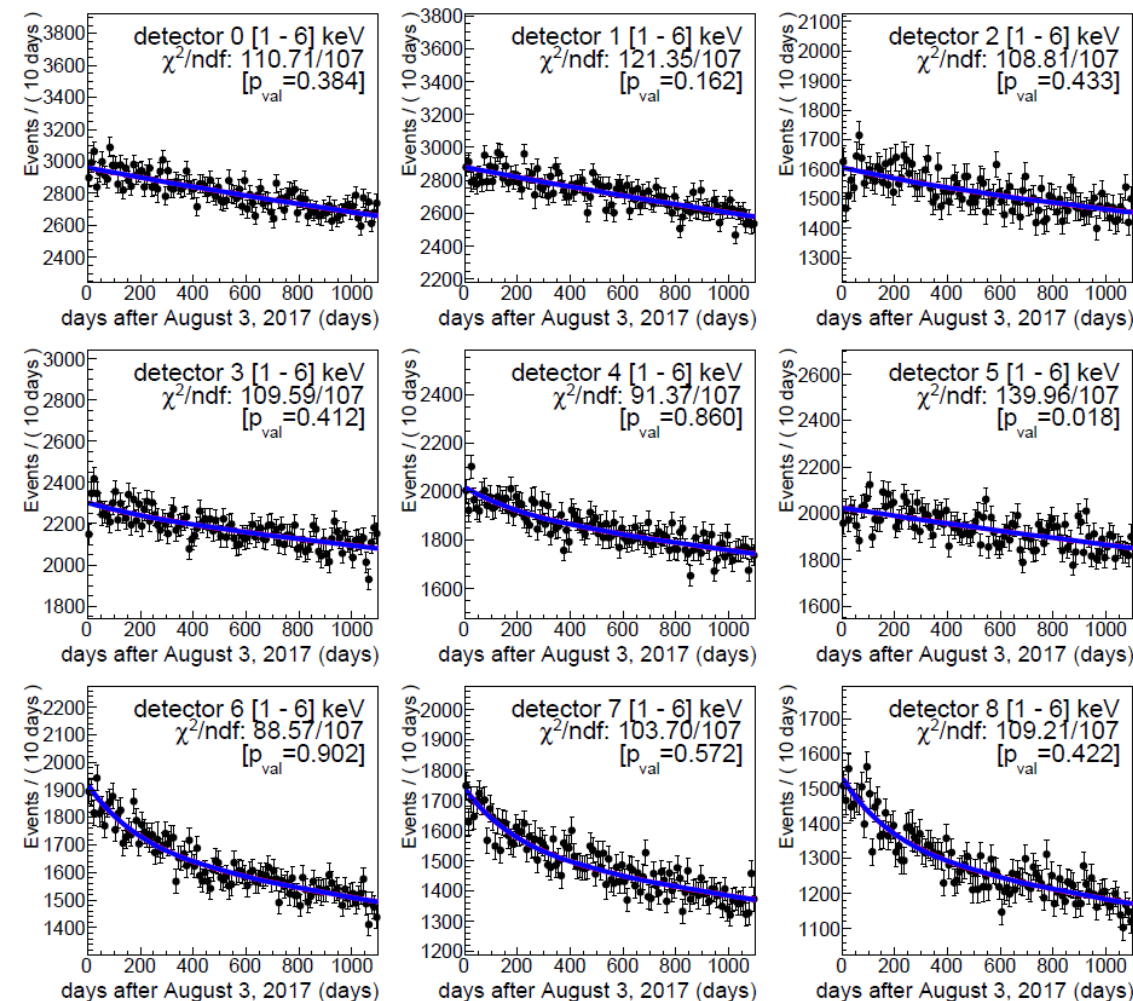
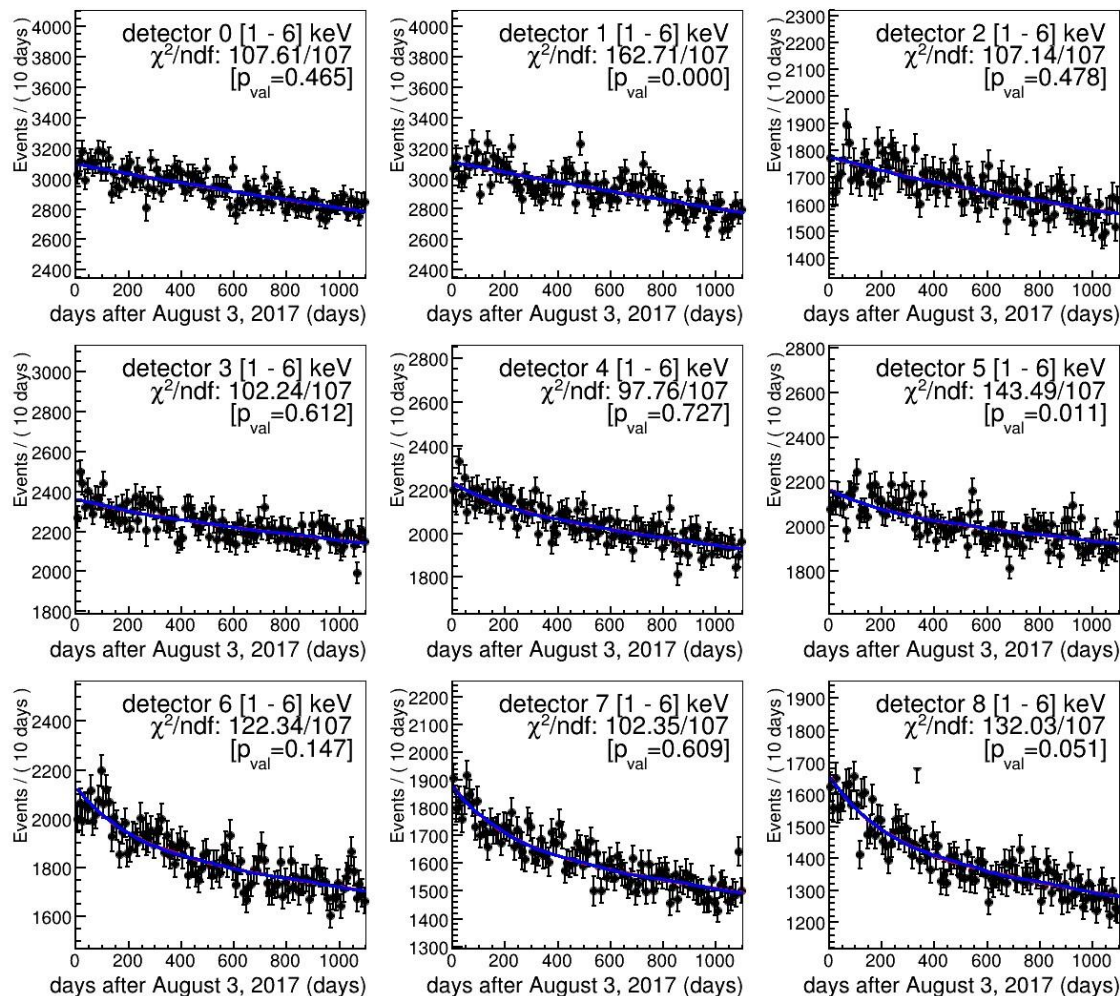
Mod hyp  $\chi^2/\text{nfd}$ : 1075.15/971 [ $p_{\text{val}}=0.011$ ]

$S_m = (-0.0034 \pm 0.0042)$  (cpd/kg/keV)

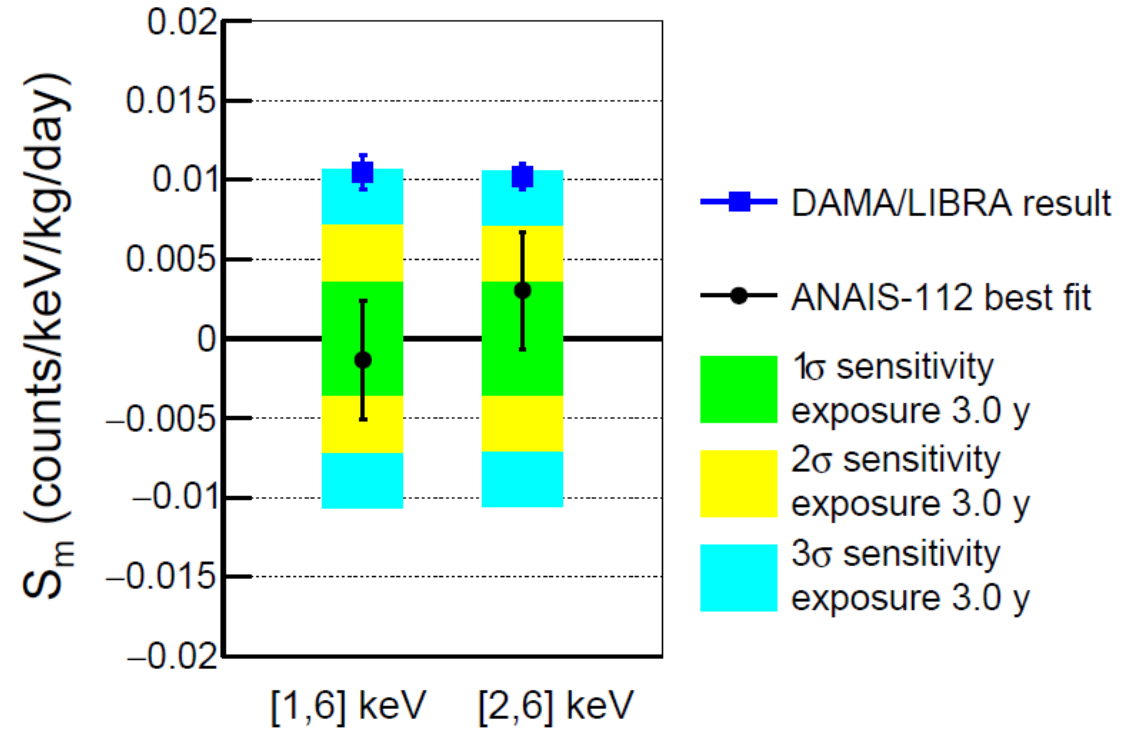
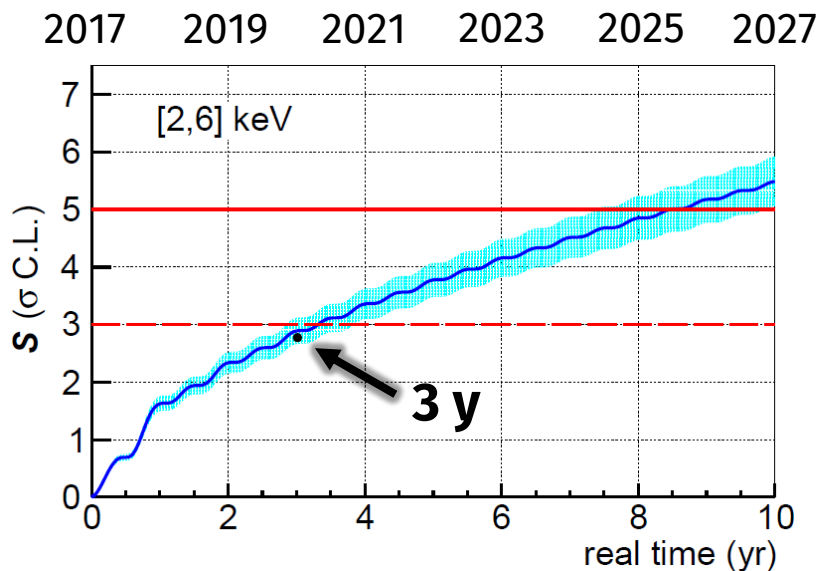
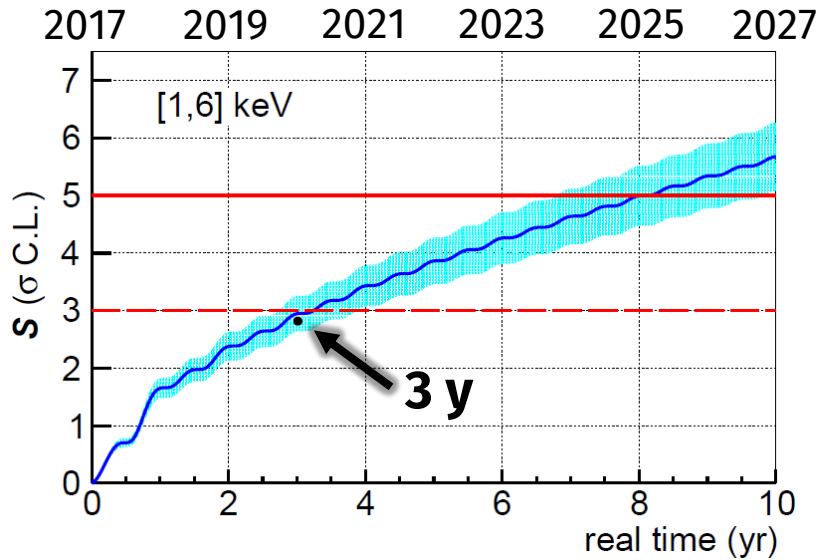
Null hyp  $\chi^2/\text{nfd}$ : 982.20/972 [ $p_{\text{val}}=0.403$ ]

Mod hyp  $\chi^2/\text{nfd}$ : 982.07/971 [ $p_{\text{val}}=0.395$ ]

$S_m = (-0.0013 \pm 0.0037)$  (cpd/kg/keV)



# 3-year annual modulation with BDT cut



Best fit modulation amplitudes **compatible with zero** at  $\sim 1\sigma$

Best fit **incompatible with DAMA/LIBRA** at 3.2 (1.9)  $\sigma$  for [1-6] ([2-6]) keV

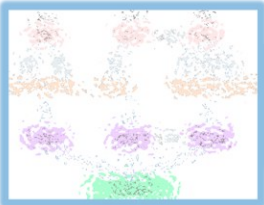
**Sensitivity with 3 years data:  $2.8\sigma$  for [1-6] and [2-6] keV**

# Outline



Introduction to dark matter

The ANAIS–112 experiment

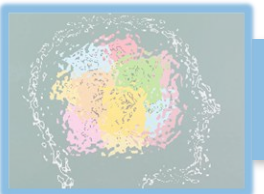


Improving filtering protocols with Machine Learning

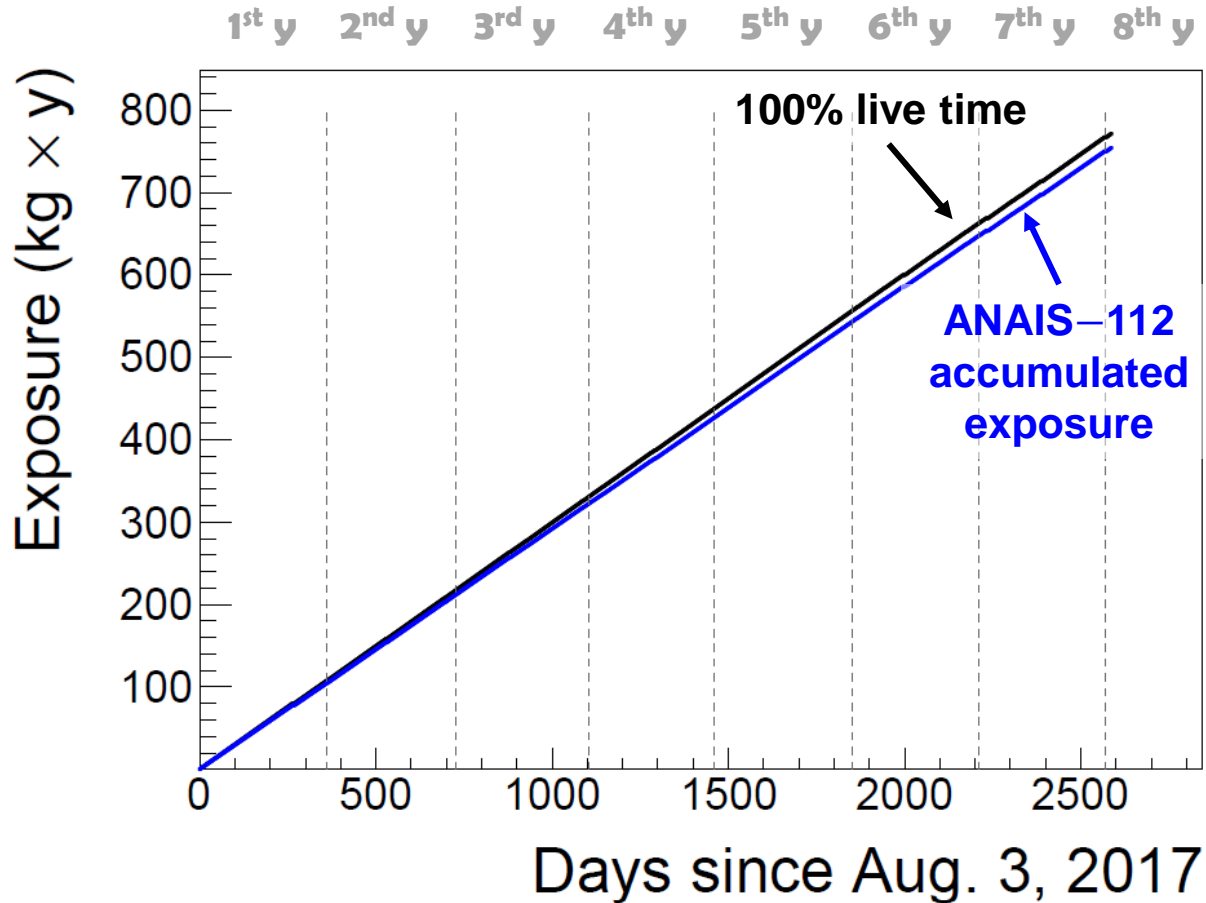
Annual modulation results with 6 years



Summary and outlook



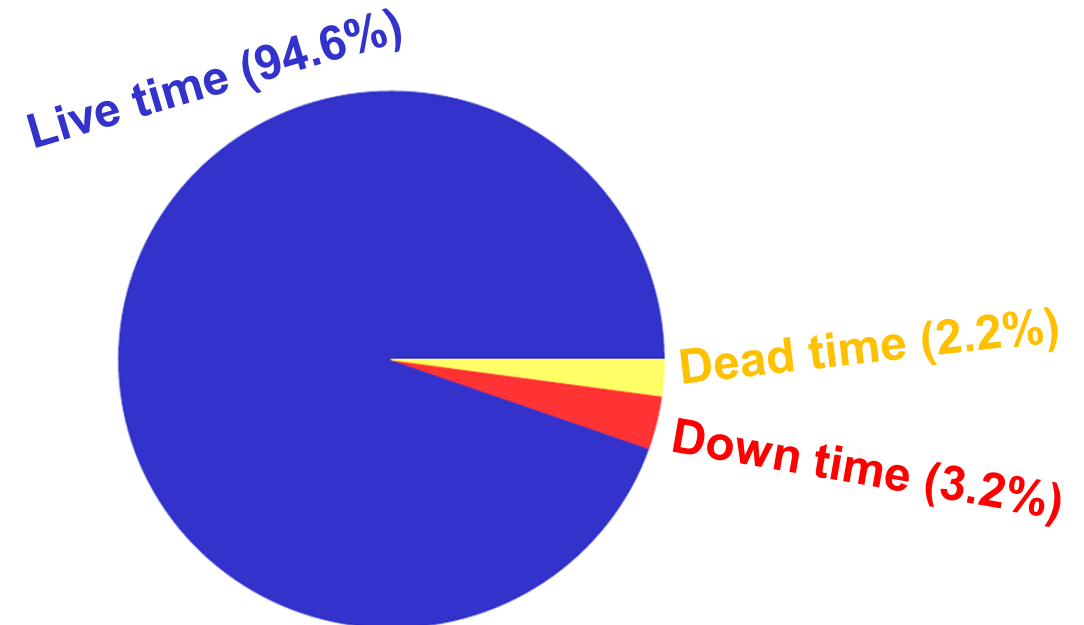
# Data-taking overview



**About 95% of live time**

## ANAIS-112 accumulated exposure

**754.89 kg×y  
@ September 2, 2024**



Seven-year exposure has already been completed this August

# Annual modulation results with 6 years

642.05 kg × y

[1-6] keV:  $4.2\sigma$

Null hyp  $\chi^2/\text{ndf}$ : 699.60/639 [ $p_{\text{val}}=0.048$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 699.53/638 [ $p_{\text{val}}=0.046$ ]

$S_m = (0.0007 \pm 0.0025)$  (cpd/kg/keV)

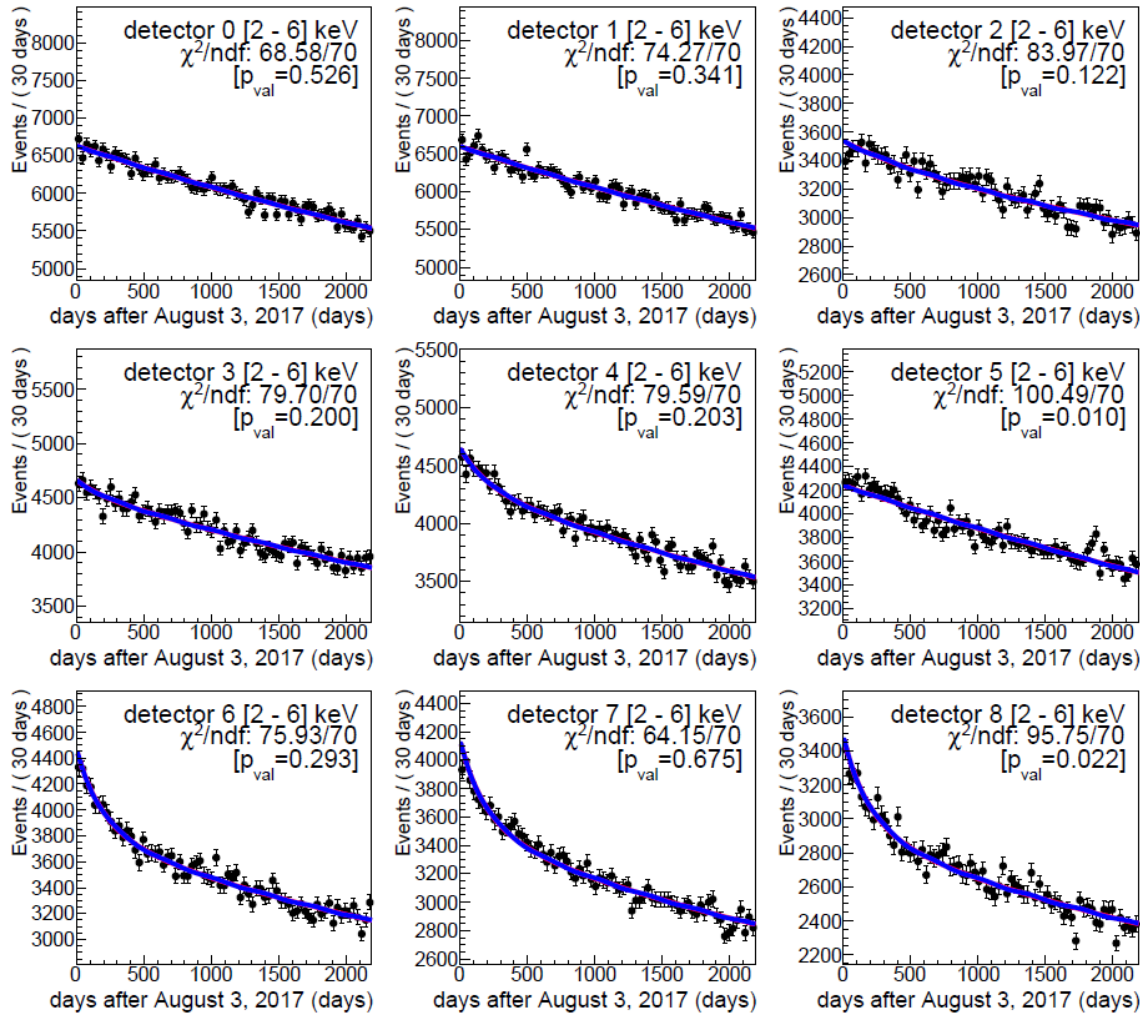
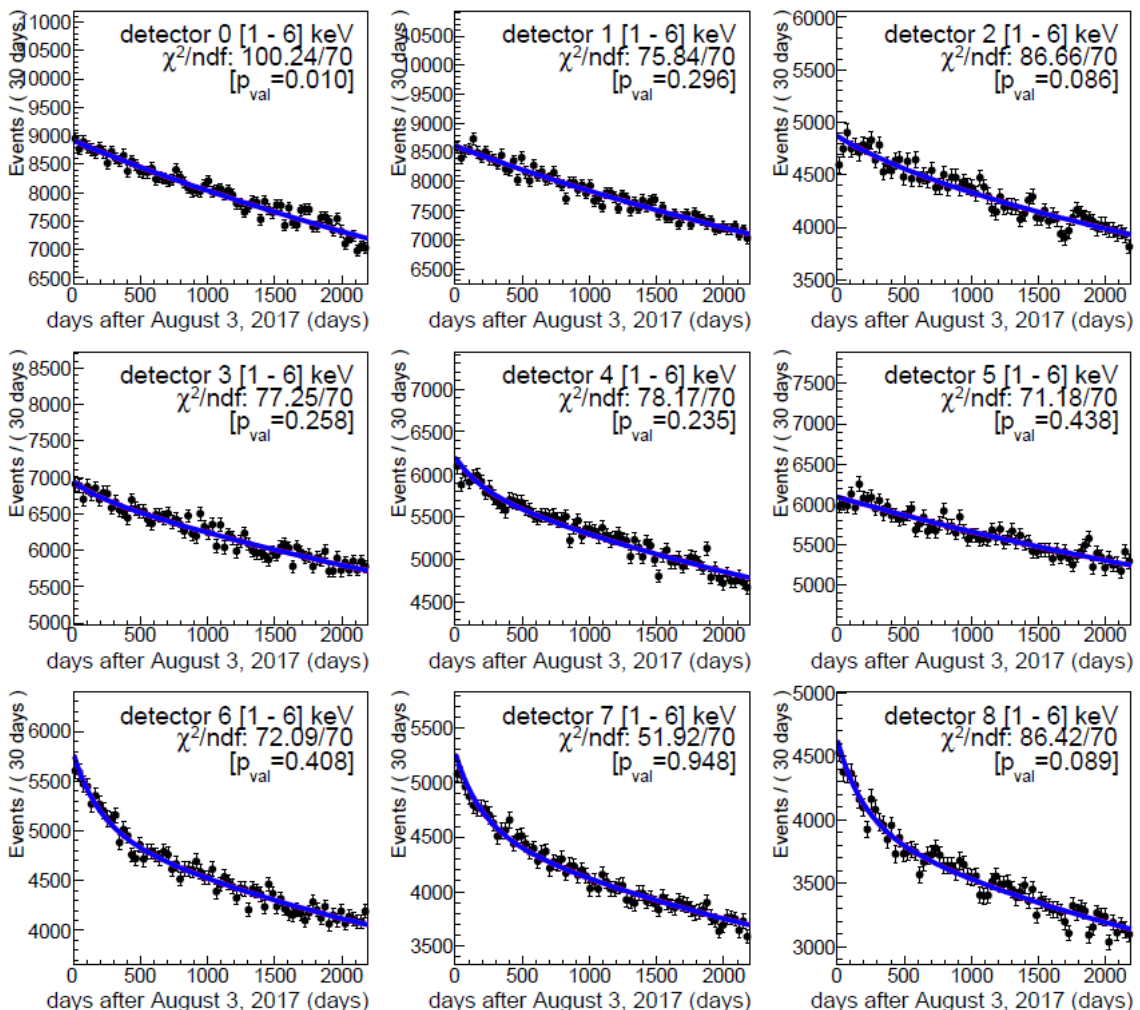
[2-6] keV:  $4.1\sigma$

Null hyp  $\chi^2/\text{ndf}$ : 723.68/639 [ $p_{\text{val}}=0.011$ ]

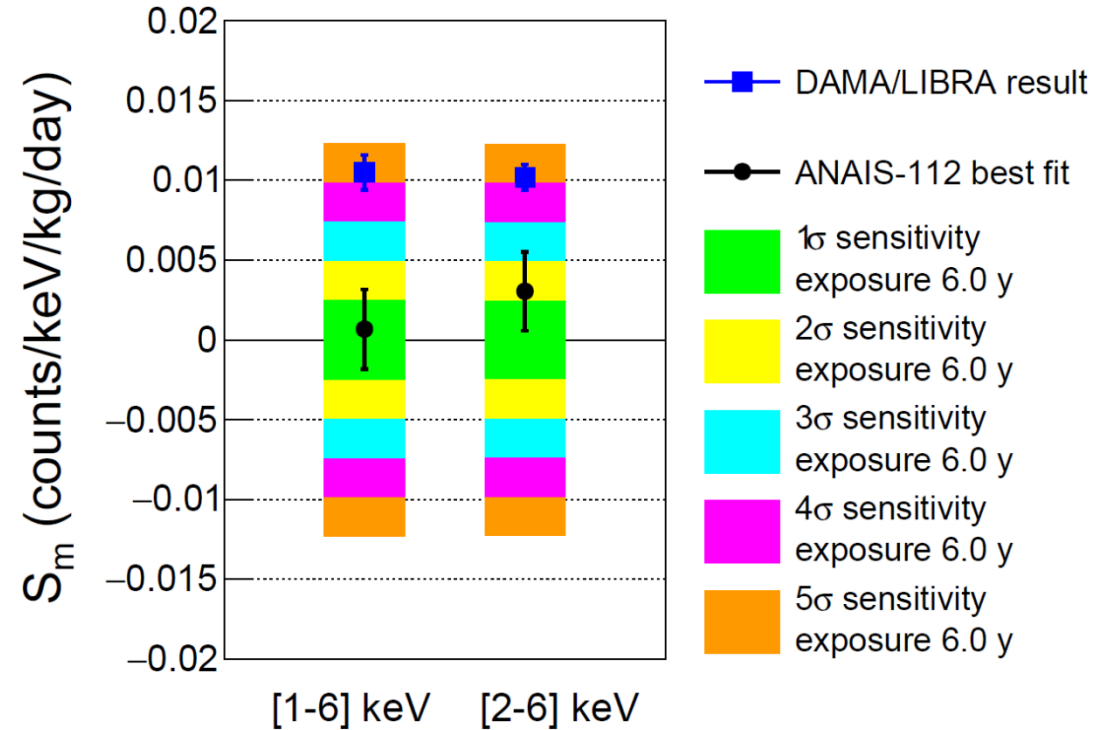
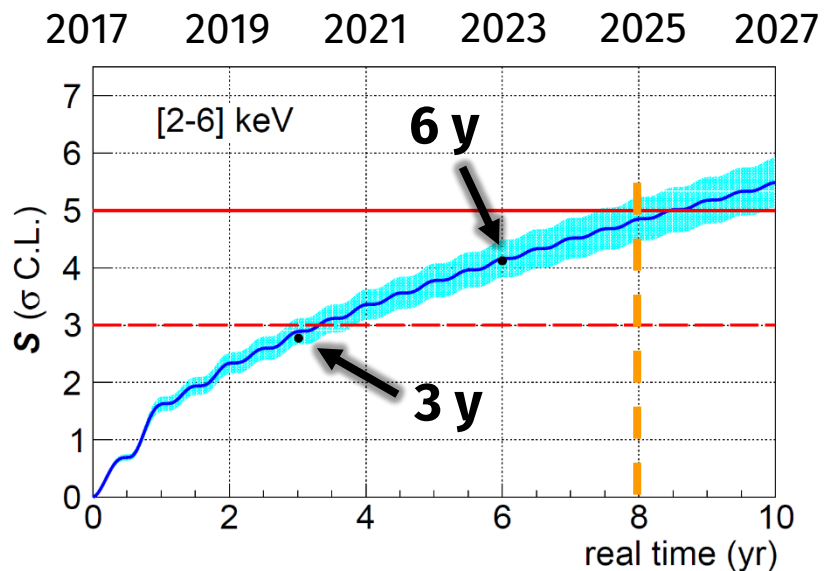
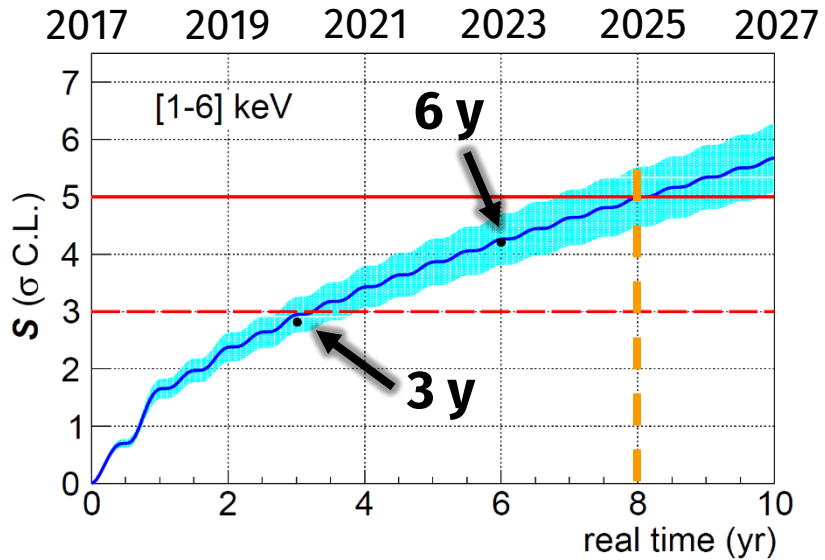
Mod hyp  $\chi^2/\text{ndf}$ : 722.17/638 [ $p_{\text{val}}=0.011$ ]

$S_m = (0.0030 \pm 0.0025)$  (cpd/kg/keV)

P  
R  
E  
L  
I  
M  
I  
N  
A  
R  
Y



# Annual modulation results with 6 years



PRELIMINARY

Best fit modulation amplitudes **compatible with zero** at  $\sim 1\sigma$

Best fit **incompatible with DAMA/LIBRA** at 3.9 (2.9)  $\sigma$  for [1-6] ([2-6]) keV

**Sensitivity with 6 years data: 4.2 (4.1)  $\sigma$  for [1-6] ([2-6]) keV**

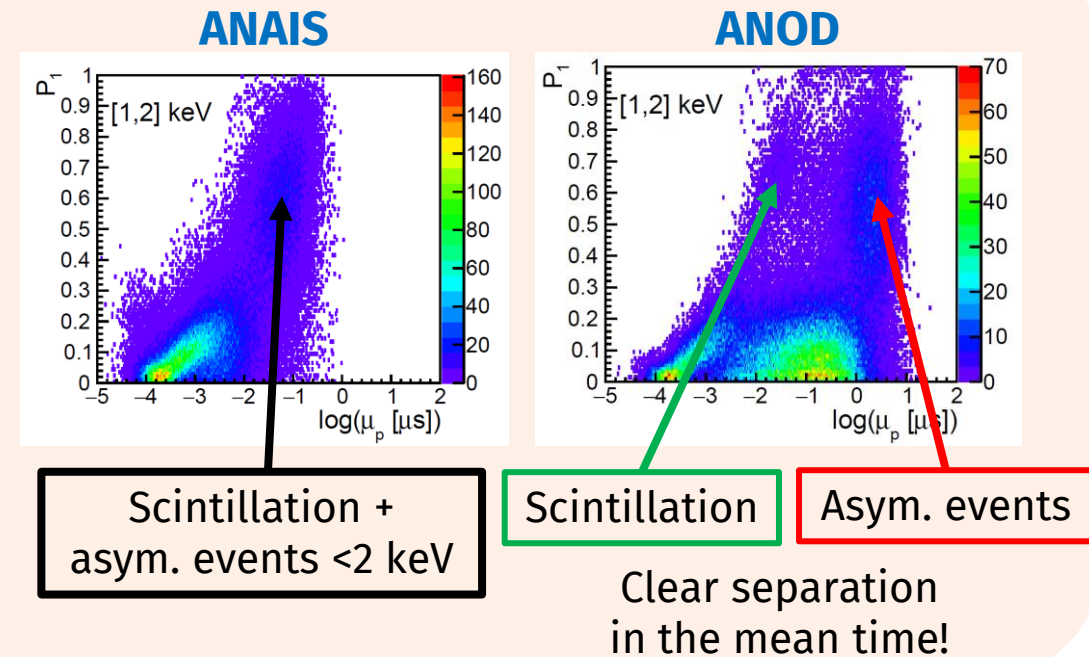
**5 $\sigma$  sensitivity in late 2025**

# Next steps

## New parallel DAQ system in ANAIS–112

To better understand (and eventually remove) anomalous events appearing at low energy with asymmetric light-sharing

- Extending the digitization window from 1.25 to **8  $\mu\text{s}$**  and free of dead time (**ANOD**, Anais NO Dead time)
- ANOD is working smoothly since winter 2023 (CAEN DT5730, 8 channels)
- By now, only 4 crystals (8 PMTs) are readout, but **very promising results!** We have acquired a VX2730 CAEN card (32 channels, 14 bit, 500 MS/s, memory 83 MS/ch) that will allow to digitize the 9 detectors + blank module



## Improving the background model

Understanding the background evolution is essential for the modulation fit

- Using the full non-blinded information [9 detectors, >7 years]
- Adding full PMT description + surface components
- **Multiparametric fit** to the different components present in the bkg model

## Improving ML training populations

Simulating pulses through the response function of ANAIS–112 detectors

## ANAIS+

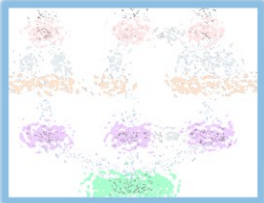
Replacing the PMTs by SiPMs (at low T)

# Outline



Introduction to dark matter

The ANAIS–112 experiment

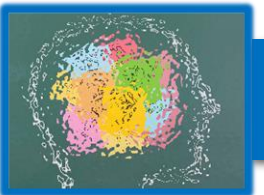


Improving filtering protocols with Machine Learning

Annual modulation results with 6 years



Summary and outlook





# Summary and outlook

- ANAIS–112 is leading the international efforts in the **independent test** of the DAMA/LIBRA signal, working properly after 7 years of data-taking
  - Low-energy event selection and sensitivity have been improved with **machine-learning techniques**
  - Preliminary results for **6 years**: ANAIS–112 is compatible with the absence of modulation and incompatible with the DAMA/LIBRA signal at  $4\sigma$  ( $3\sigma$ ) in [1-6] keV ([2-6] keV), for a sensitivity of  $4.2\sigma$  ( $4.1\sigma$ ) at [1-6] keV ([2-6] keV)
  - **$5\sigma$  sensitivity in late 2025**
- 
- **New parallel DAQ** in ANAIS working since winter 2023 for 4 crystals. Promising results for improving PSD event selection. 9 crystals + blank at the end of the year
  - Plan to improve our **background model** with the accumulated exposure
  - ANAIS–112/COSINE–100 working to combine results. Preliminary results in presented this summer in IDM 2024
- 
- **Open Data Policy**: ANAIS–112 3-year annual modulation analysis and the reanalysis can be downloaded at <https://www.origins-cluster.de/odsl/dark-matter-data-center/available-datasets/anais>. 6 years in the near future

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# Thank you for your attention!

## ANAIS research team

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Física de Altas Energías  
Universidad Zaragoza



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# Backup

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# Annual modulation results with 5 years

537.44  
kg × y

[1-6] keV:  $3.8\sigma$

Null hyp  $\chi^2/\text{ndf}$ : 558.21/531 [ $p_{\text{val}}=0.200$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 557.96/530 [ $p_{\text{val}}=0.194$ ]

$S_m = (0.0014 \pm 0.0028)$  (cpd/kg/keV)

[2-6] keV:  $3.7\sigma$

Null hyp  $\chi^2/\text{ndf}$ : 566.38/531 [ $p_{\text{val}}=0.139$ ]

Mod hyp  $\chi^2/\text{ndf}$ : 565.00/530 [ $p_{\text{val}}=0.142$ ]

$S_m = (0.0032 \pm 0.0027)$  (cpd/kg/keV)

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Y

