# LONGITUDINAL DYNAMICS RECAP

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The CERN Accelerator School

Advanced Accelerator Physics Course Spa, Belgium, 10-22/11/2024

# Summary of the 2 lectures:

- Acceleration methods
- Accelerating structures
- Linac: Phase Stability + Energy-Phase oscillations
- Circular accelerators: Cyclotron / Synchrotron
- Dispersion Effects in Synchrotron, Transition
- Stability and Longitudinal Phase Space Motion
- Hamiltonian
- Stationary Bucket
- Injection Matching

Including selected topics from other CAS lectures :

- Linacs Alessandra Lombardi
- RF Systems Erk Jensen, me
- Timing, Synchronization & Longitudinal Aspects Heiko Damerau
- Electron Beam Dynamics Lenny Rivkin

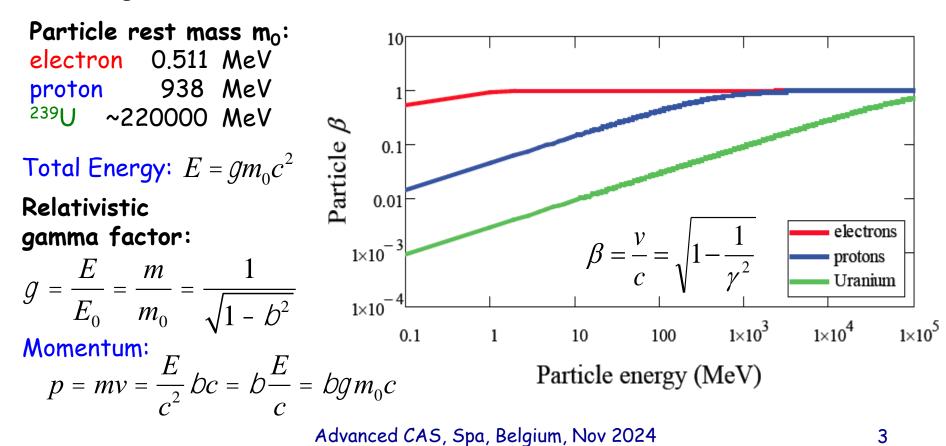
Continued by

- RF Manipulations Helga Timko
- RF Feedbacks Heiko Damerau
- Beam Loading Heiko Damerau
- Beam Instabilities Longitudinal Giovanni Rumolo

### Particle types and acceleration

The accelerating system will depend upon the evolution of the particle velocity:

- electrons reach a constant velocity (~speed of light) at relatively low energy
- heavy particles reach a constant velocity only at very high energy
  - -> need different types of resonators, optimized for different velocities
  - -> the revolution frequency will vary, so the RF frequency will be changing
  - -> magnetic field needs to follow the momentum increase



### **Revolution frequency variation**

The revolution and RF frequency will be changing during acceleration Much more important for lower energies (values are kinetic energy - protons).

<b>PS Booster:</b>	50 MeV (β= 0.314) -> 1.4 GeV (β=0.915)
(pre LS2)	602 kHz -> 1746 kHz => <b>190% frequency increase</b>
(post LS2):	160 MeV (β= 0.520) -> 2 GeV (β=0.948) => <b>95% increase</b>
<b>PS:</b>	1.4 GeV (β=0.915) -> 25.4 GeV (β =0.9994)
(pre LS2)	437 KHz -> 477 kHz => <b>9% increase</b>
(post LS2):	2 GeV (β=0.948) -> 25.4 GeV (β =0.9994) => <b>5% increase</b>
SPS:	25.4 GeV -> 450 GeV (β=0.999998) => <b>0.06% frequency increase</b>
LHC:	450 GeV -> 7 TeV (β= 0.999999991) => only <b>2 10<sup>-6</sup> increase</b>

RF system needs more flexibility in lower energy accelerators.

Question: What about electrons and positrons?

### Acceleration + Energy Gain

#### May the force be with you!

To accelerate, we need a force in the direction of motion!

Newton-Lorentz Forc on a charged particle

Hence, it is necessary (preferably) along the which changes the mc

In relativistic dynami  $E^2 = E_0^2 + p^2 c^2$ 

The rate of energy go  $\frac{dE}{dz} = v$ and the kinetic energy

 $dW = dE = qE_{z}$ 



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h always perpendicular bn => no acceleration

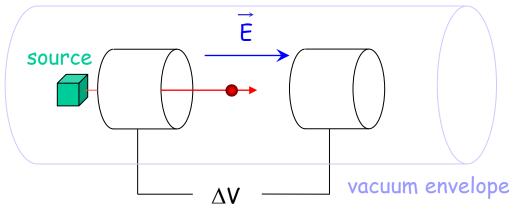
$$\frac{dp}{dt} = qE_z$$

nked by  $dE = c^2 mv / E dp = v dp$ 

z) is then:

- V is a potential -q the charge

### **Electrostatic Acceleration**

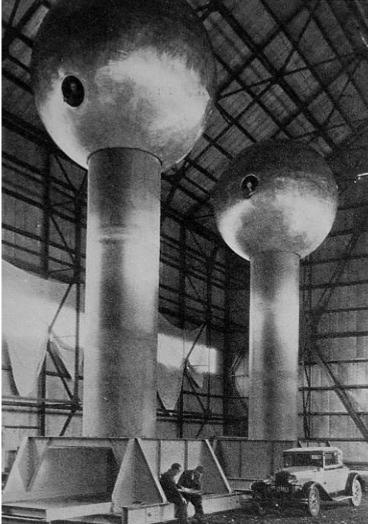


#### Electrostatic Field:

Force: 
$$\vec{F} = \frac{d\vec{p}}{dt} = q \vec{E}$$
  
Energy gain: W =  $q \Delta V$ 

used for first stage of acceleration: particle sources, electron guns, x-ray tubes

Limitation: insulation problems maximum high voltage (~ 10 MV)



Van-de-Graaf generator at MIT

### Methods of Acceleration: Time varying fields

The electrostatic field is limited by insulation, the magnetic field does not accelerate.

Circular machine: DC acceleration impossible since  $\oint \vec{E} \cdot d\vec{s} = 0$ 

From Maxwell's Equations:  $\vec{E} = -\vec{\nabla}\vec{f} - \frac{\partial A}{\partial t}$ 

 $\vec{B} = \vec{M}\vec{H} = \vec{\nabla} \times \vec{A}$  or  $\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t}$ 

The electric field is derived from a scalar potential  $\varphi$  and a vector potential A The time variation of the magnetic field H generates an electric field E

### The solution: => time varying electric fields !

- 1) Induction
- 2) RF frequency fields

Consequence: We can only accelerate bunched beam!

### Acceleration by Induction: The Betatron

It is based on the principle of a transformer: - primary side: large electromagnet - secondary side: electron beam. The ramping magnetic field is used to guide particles on a circular trajectory as well as for acceleration.

B(t)

Injection

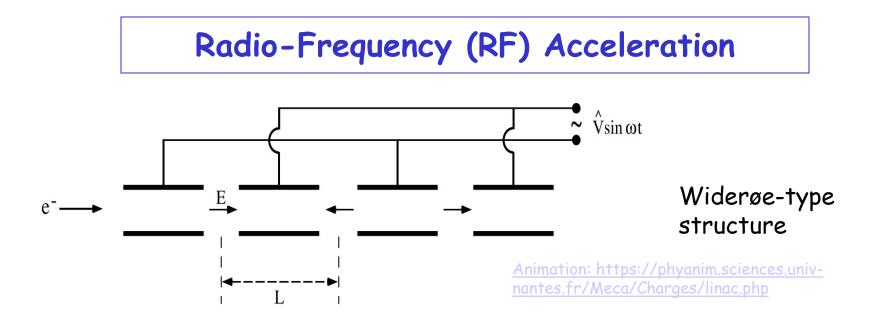
Limited by saturation in iron (~300 MeV e-)

Used in industry and medicine, as they are compact accelerators for electrons

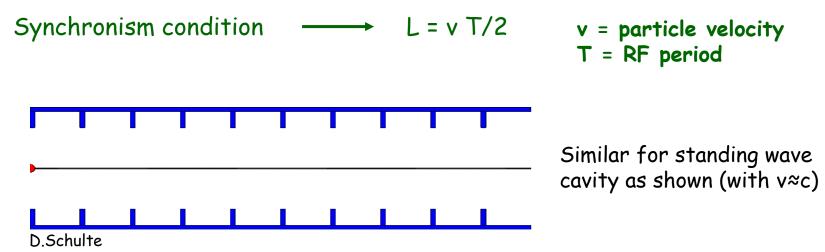


Donald Kerst with the first betatron, invented at the University of Illinois in 1940

side view vacuum beam pipe Extraction iron yoke coil - B. (core) beam B<sub>z</sub> (orbit) top view time В  $B_{\ell}$ 



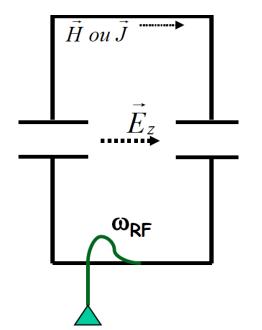
Cylindrical electrodes (drift tubes) separated by gaps and fed by a RF generator, as shown above, lead to an alternating electric field polarity



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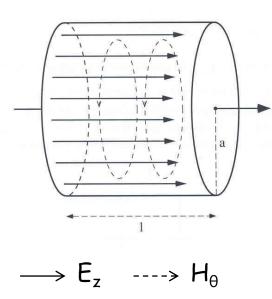
### **Resonant RF Cavities**

- Considering RF acceleration, it is obvious that when particles get high velocities the drift spaces get longer, and one loses on the efficiency.
   The solution consists of using a higher operating frequency.
- The power lost by radiation, due to circulating currents on the electrodes, is proportional to the RF frequency.
  - => The solution consists of enclosing the system in a cavity which resonant frequency matches the RF generator frequency.



- The electromagnetic power is now constrained in the resonant volume
- Each such cavity can be independently powered from the RF generator
- Note however that joule losses will occur in the cavity walls (unless made of superconducting materials)

### The Pill Box Cavity



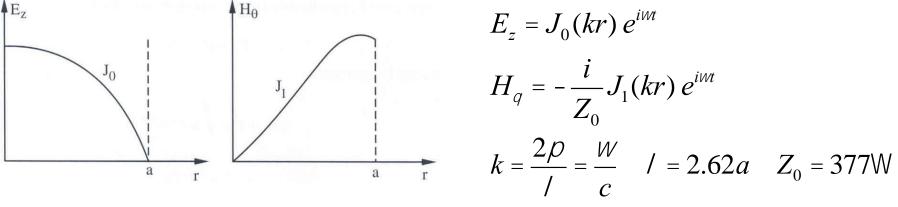
From Maxwell's equations one can derive the wave equations:

$$\nabla^2 A - e_0 m_0 \frac{\partial^2 A}{\partial t^2} = 0 \qquad (A = E \text{ or } H)$$

Solutions for E and H are oscillating modes, at discrete frequencies, of types  $TM_{xyz}$  (transverse magnetic) or  $TE_{xyz}$  (transverse electric).

Indices linked to the number of field knots in polar co-ordinates  $\varphi$ , r and z.

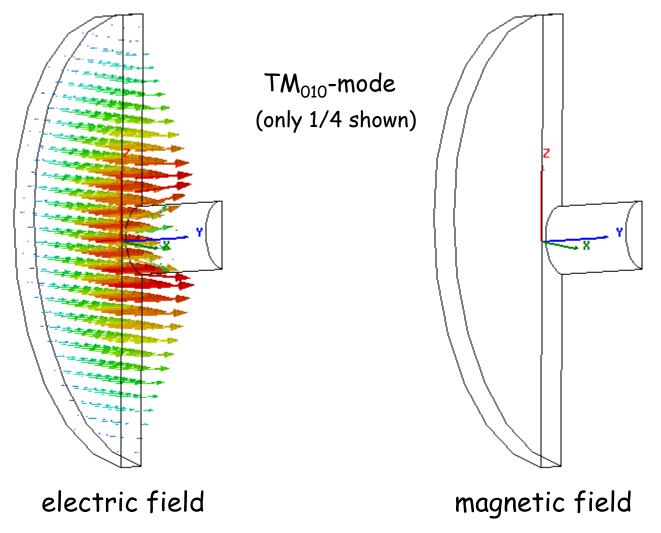
For l<2a the simplest mode,  $TM_{010}$ , has the lowest frequency, and has only two field components:



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### The Pill Box Cavity

One needs a hole for the beam pipe - circular waveguide below cutoff



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### Transit time factor

The accelerating field varies during the passage of the particle => particle does not always see maximum field => effective acceleration smaller

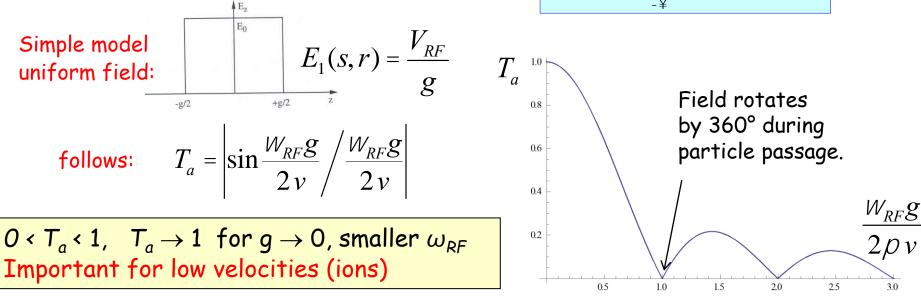
Transit time factor defined as:

$$T_a = \frac{\text{energy gain of particle with } v = bc}{\text{maximum energy gain (particle with } v \rightarrow \infty)}$$

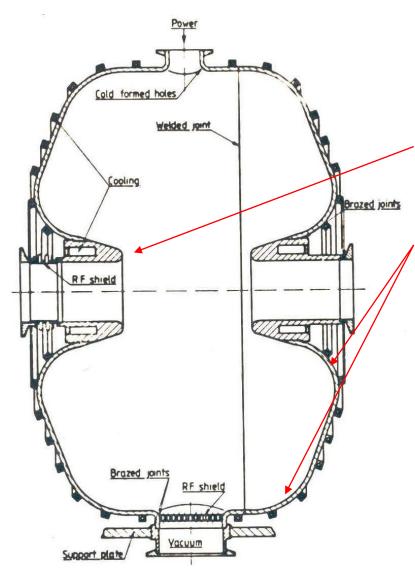
In the general case, the transit time factor is:

for 
$$E(s,r,t) = E_1(s,r) \times E_2(t)$$

$$T_{a} = \frac{\begin{vmatrix} \stackrel{+}{\forall} \\ \stackrel{0}{0} \\ \stackrel{-}{\forall} \\ \stackrel{-}{\forall} \\ \stackrel{+}{\otimes} \\ \stackrel{+}{\otimes} \\ \stackrel{-}{\forall} \\ \stackrel{-}{\otimes} \\ \stackrel{$$



### The Pill Box Cavity (2)



The design of a cavity can be sophisticated in order to improve its performances:

- A nose cone can be introduced in order to concentrate the electric field around the axis
- Round shaping of the corners allows a better distribution of the magnetic field on the surface and a reduction of the Joule losses.

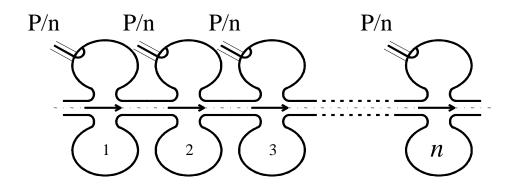
It also prevents from multipactoring effects (e- emission and acceleration).

A good cavity efficiently transforms the RF power into accelerating voltage.

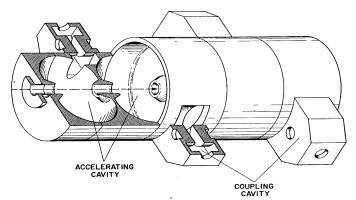
Simulation codes allow precise calculation of the properties.

### **Multi-Cell Cavities**

Acceleration of one cavity limited => distribute power over several cells Each cavity receives P/n Since the field is proportional JP, you get  $\mathring{O}E_i \sqcup n\sqrt{P/n} = \sqrt{n}E_0$ 



Instead of distributing the power from the amplifier, one might as well couple the cavities, such that the power automatically distributes, or have a cavity with many gaps (e.g. drift tube linac).





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### Multi-Cell Cavities - Modes

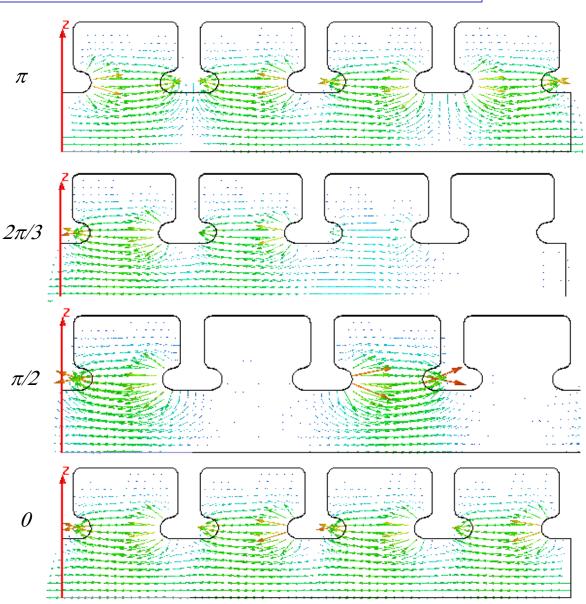
The phase relation between gaps is important!

Coupled harmonic oscillator

=> Modes, named after the phase difference between adjacent cells.

> Different synchronism
 conditions for the cell
 length L and relativistic β

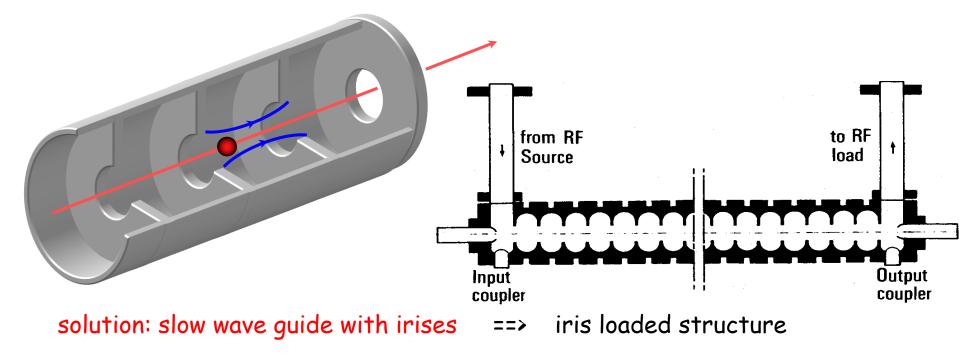
Mode	L
0 (2π)	βλ
π/2	βλ/4
2π/3	βλ/3
Π	βλ/2



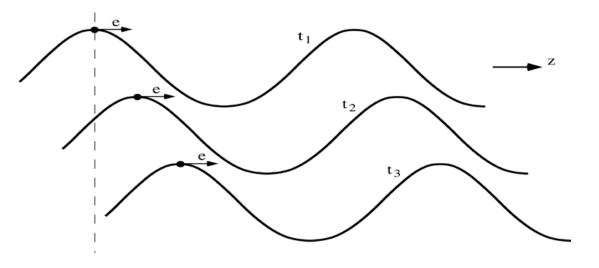
### Disc-Loaded Traveling-Wave Structures

When particles gets ultra-relativistic (v~c) the drift tubes become very long unless the operating frequency is increased. Late 40's the development of radar led to high power transmitters (klystrons) at very high frequencies (3 GHz).

Next came the idea of suppressing the drift tubes using traveling waves. However, to get a continuous acceleration the phase velocity of the wave needs to be adjusted to the particle velocity.



### The Traveling Wave Case



$$E_{z} = E_{0} \cos \left( \mathcal{W}_{RF} t - kz \right)$$

$$k = \frac{\mathcal{W}_{RF}}{v_{j}} \quad \text{wave number}$$

$$z = v(t - t_{0})$$

 $v_{\varphi}$  = phase velocity v = particle velocity

The particle travels along with the wave, and k represents the wave propagation factor.

$$E_{z} = E_{0} \cos \frac{\partial}{\partial} W_{RF} t - W_{RF} \frac{v}{v_{j}} t - f_{0} \frac{1}{\frac{1}{2}}$$

If synchronism satisfied:  $v = v_{\varphi}$  and  $E_z = E_0 \cos f_0$ where  $\Phi_0$  is the RF phase seen by the particle.

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### Cavity Parameters: Quality Factor Q

The total energy stored is

$$W = \iiint_{cavity} \left(\frac{\varepsilon}{2} \left|\vec{E}\right|^2 + \frac{\mu}{2} \left|\vec{H}\right|^2\right) dV.$$

- Quality Factor Q (caused by wall losses) defined as

$$Q_0 = \frac{\omega_0 W}{P_{loss}}$$
 Ratio of stored energy W  
and dissipated power P<sub>loss</sub>  
on the walls in one RF cycle

The Q factor determines the maximum energy the cavity can fill to with a given input power.

Larger Q => less power needed to sustain stored energy.

The Q factor is  $2\pi$  times the number of rf cycles it takes to dissipate the energy stored in the cavity (down by 1/e).

 function of the geometry and the surface resistance of the material: superconducting (niobium) : Q= 10<sup>10</sup> normal conducting (copper) : Q=10<sup>4</sup>

### **Important Parameters of Accelerating Cavities**

- Accelerating voltage  $V_{\rm acc}$ 

$$V_{acc} = \int_{-\infty}^{\infty} E_z e^{-i\frac{\omega z}{\beta c}} dz$$

Measure of the acceleration

- R upon  $\mathbf{Q}$ 

$$\frac{R}{Q} = \frac{|V_{acc}|^2}{2\omega_0 W}$$
Relationship between acceleration independent from material!

#### Attention: Different definitions are used!

#### - Shunt Impedance R

$$R = \frac{|V_{acc}|^2}{2P_{loss}}$$

Relationship between acceleration  $V_{\rm acc}$  and wall losses  ${\rm P}_{\rm loss}$ 

depends on

- material
- cavity mode
- geometry

### Important Parameters of Accelerating Cavities (cont.)

#### - Fill Time $t_F$

- standing wave cavities:

$$P_{loss} = -\frac{dW}{dt} = \frac{\omega_0}{Q} W \quad \begin{array}{l} \text{Exponential decay of the} \\ \text{stored energy W due to losses} \end{array} \quad t_F = \frac{2Q}{\omega_0} \\ \end{array}$$

time for the field to decrease by 1/e after the cavity has been filled measure of how fast the stored energy is dissipated on the wall

Several fill times needed to fill the cavity!

#### - travelling wave cavities:

time needed for the electromagnetic energy to fill the cavity of length L

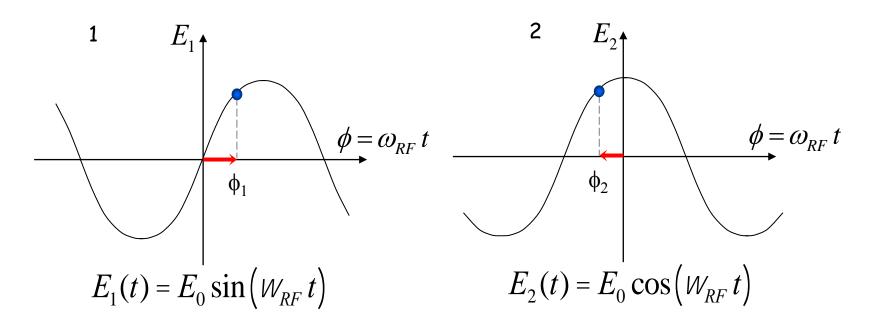
$$t_F = \int_{0}^{L} \frac{dz}{v_g(z)}$$
 velocity at which the energy propagates through the cavity

Cavity is completely filled after 1 fill time!

### **Common Phase Conventions**

- 1. For circular accelerators, the origin of time is taken at the zero crossing of the RF voltage with positive slope
- 2. For linear accelerators, the origin of time is taken at the positive crest of the RF voltage

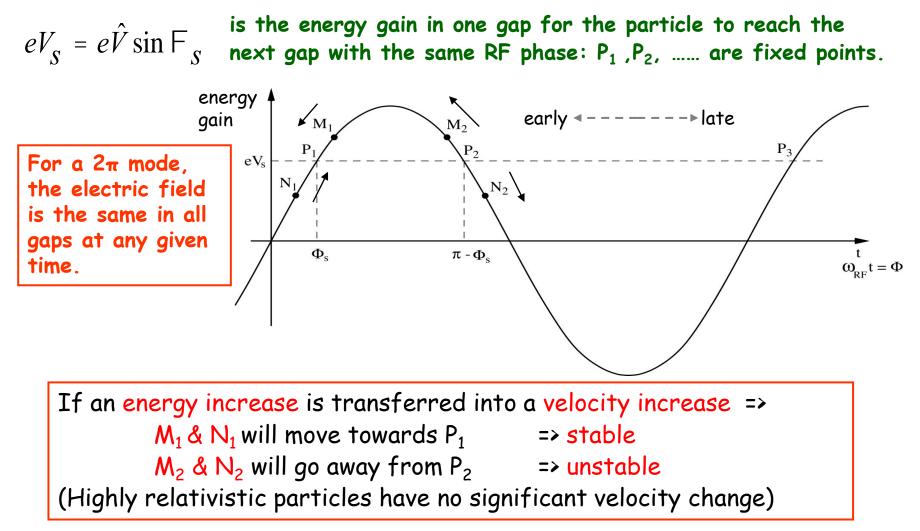
Time t= 0 chosen such that:



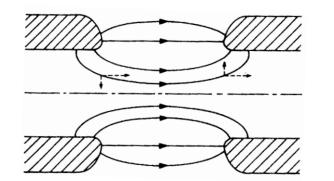
3. I will stick to convention 1 in the following to avoid confusion

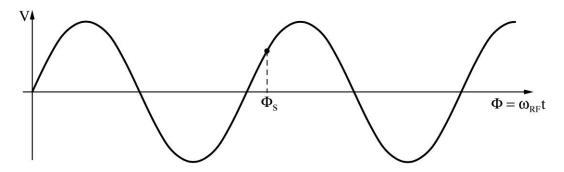
### Principle of Phase Stability (Linac)

Let's consider a succession of accelerating gaps, operating in the  $2\pi$  mode, for which the synchronism condition is fulfilled for a phase  $\Phi_s$ .



### A Consequence of Phase Stability





The divergence of the field is zero according to Maxwell :

 $\nabla \vec{E} = 0 \implies \frac{\partial E_x}{\partial x} + \frac{\partial E_z}{\partial z} = 0 \implies \frac{\partial E_x}{\partial x} = -\frac{\partial E_z}{\partial z}$ 

Transverse fields

- focusing at the entrance and
- defocusing at the exit of the cavity.

Electrostatic case: Energy gain inside the cavity leads to focusing RF case: Field increases during passage => transverse defocusing!

External focusing (solenoid, quadrupole) is then necessary

### Energy-phase Oscillations (Small Amplitude) (1)

- Rate of energy gain for the synchronous particle:

$$\frac{dE_s}{dz} = \frac{dp_s}{dt} = eE_0\sin f_s$$

- Rate of energy gain for a non-synchronous particle, expressed in reduced variables,  $W = W - W_s = E - E_s$  and  $\varphi = \phi - \phi_s$ :

$$\frac{dw}{dz} = eE_0[\sin(\phi_s + \varphi) - \sin\phi_s] \approx eE_0\cos\phi_s.\varphi \quad (small \ \varphi)$$

- Rate of change of the phase with respect to the synchronous one:

$$\frac{d\varphi}{dz} = \omega_{RF} \left( \frac{dt}{dz} - \left( \frac{dt}{dz} \right)_s \right) = \omega_{RF} \left( \frac{1}{v} - \frac{1}{v_s} \right) \cong -\frac{\omega_{RF}}{v_s^2} \left( v - v_s \right)$$

Leads finally to:

$$\frac{d\varphi}{dz} = -\frac{\omega_{RF}}{m_0 v_s^3 \gamma_s^3} W$$

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### Energy-phase Oscillations (Small Amplitude) (2)

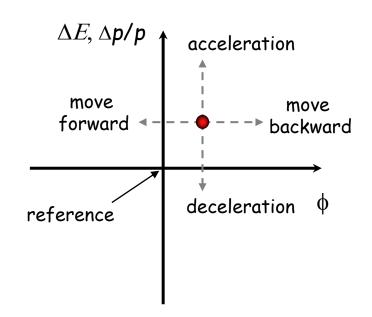
Combining the two 1<sup>st</sup> order equations into a 2<sup>nd</sup> order equation gives the equation of a harmonic oscillator:

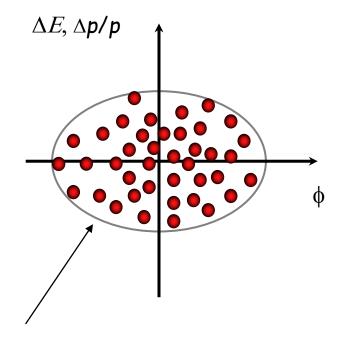
$$\frac{d^{2}\varphi}{dz^{2}} + \Omega_{s}^{2}\varphi = 0 \quad \text{with} \qquad \Omega_{s}^{2} = \frac{eE_{0}\omega_{RF}\cos\phi_{s}}{m_{0}v_{s}^{3}\gamma_{s}^{3}} \quad \text{Slower for higher energy!}$$
Stable harmonic oscillations imply:  
hence:  $\cos\phi_{s} > 0$   
And since acceleration also means:  
 $\sin\phi_{s} > 0$   
You finally get the result for the stable phase range:  
 $0 < \phi_{s} < \frac{\pi}{2}$   
 $0 < \phi_{s} < \frac{\pi}{2}$ 

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### Longitudinal phase space

The energy - phase oscillations can be drawn in phase space:





The particle trajectory in the phase space  $(\Delta p/p, \phi)$  describes its longitudinal motion.

Emittance: phase space area including all the particles

NB: if the emittance contour correspond to a possible orbit in phase space, its shape does not change with time (matched beam)

### Longitudinal Dynamics - Electrons

At relativistic velocity phase oscillations stop - the bunch is frozen longitudinally. => Acceleration can be at the crest of the RF for maximum energy gain.

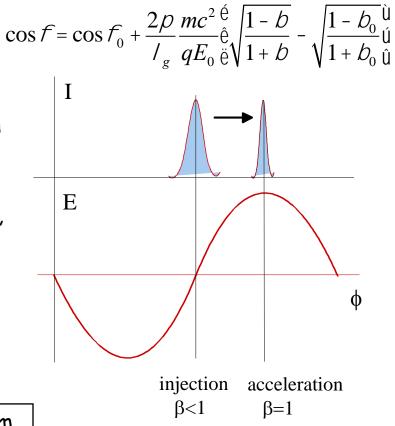
Electrons injected into a TW structure designed for v=c:

- $\rightarrow$  at v=c remain at the injection phase.
- → at v<c will move from injection phase  $\varphi_0$  to an asymptotic phase  $\varphi$ , which depends on gradient  $E_0$  and  $\beta_0$  at injection.

The beam can be injected with an offset in phase, to reach the crest of the wave at  $\beta$ =1

Capture condition, relating gradient  $E_0$  and  $\beta_0$ :

$$E_0 = \frac{3 \frac{2\rho}{l_g} \frac{mc^2 \hat{e}}{q}}{\frac{1 - b_0}{\hat{e}} \hat{u}} \frac{1 - b_0}{1 + b_0} \hat{u}}$$



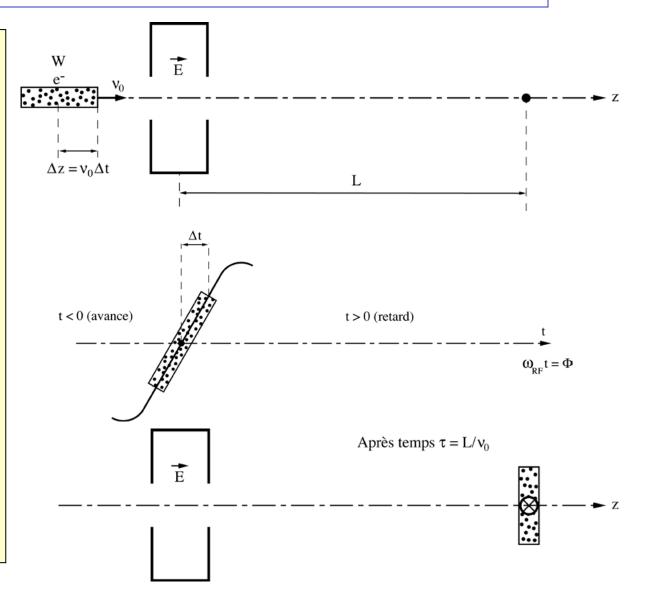
Example:  $\lambda$ =10cm  $\rightarrow$  W<sub>in</sub>=150 keV for E<sub>0</sub>=8 MV/m.

In high current linacs, a bunching and pre-acceleration section up to 4-10 MeV prepares the injection in the TW structure (that occurs already on the crest)

### Bunching with a Pre-buncher

A long bunch coming from the gun enters an RF cavity. The reference particle is the one which has no velocity change. The others get accelerated or decelerated, so the bunch gets an energy and velocity modulation.

After a distance L bunch gets shorter: bunching effect. This short bunch can now be captured more efficiently by a TW structure ( $v_{\phi}$ =c).



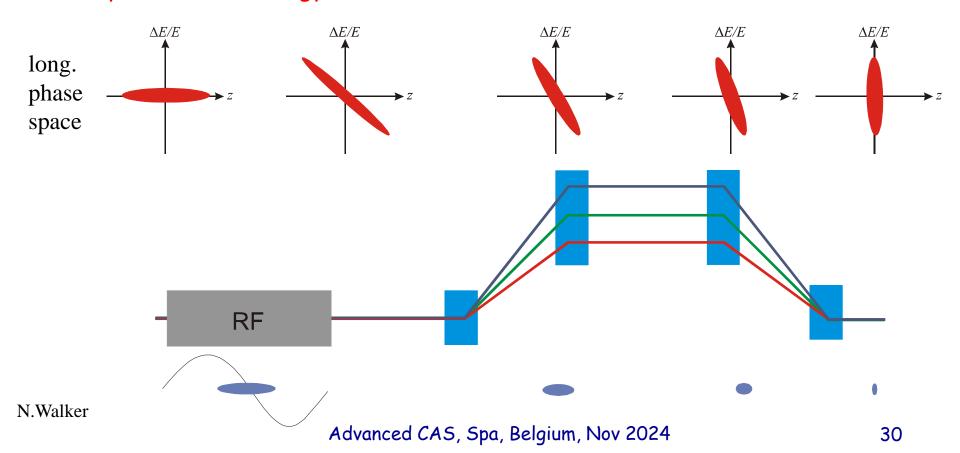
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### **Bunch compression**

At ultra-relativistic energies ( $\gamma \gg 1$ ) the longitudinal motion is frozen. For linear e+/e- colliders, you need very short bunches (few 100-50 $\mu$ m).

Solution: introduce energy/time correlation + a magnetic chicane.

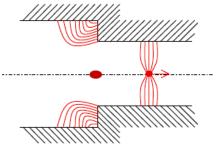
Increases energy spread in the bunch => chromatic effects => compress at low energy before further acceleration to reduce relative  $\Delta E/E$ 



### Longitudinal Wake Fields - Beamloading

Beam induces wake fields in cavities (in general when chamber profile changing) ⇒ decreasing RF field in cavities (beam absorbs RF power when accelerated)

Particles within a bunch see a decreasing field  $\Rightarrow$  energy gain different within the single bunch



Locating bunch off-crest 51 at the best RF phase wakefield 50 minimises energy spread More by 49 Giovanni Rumolo RF MV/M Heiko Damerau 48 Example: Energy gain Total 47 along the bunch in the NLC linac (TW): 46 -2 Ω 2 -4z/σ,

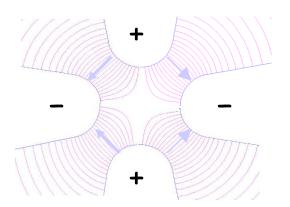
### The Radio-Frequency Quadrupole - RFQ

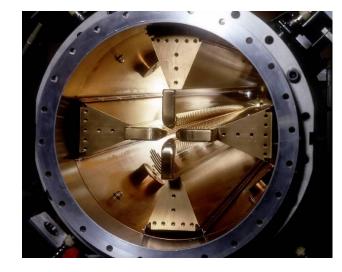
Initial acceleration difficult for protons and ions at low energy (space charge, low  $\beta \Rightarrow$  short cell dimensions, bunching needed)

#### RFQ = Electric quadrupole

focusing channel + bunching + acceleration

Alternating electric quadrupole field gives transverse focusing like magnetic focusing channel. Does not depend on velocity! Ideal at low ß!





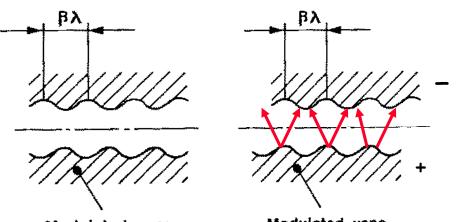
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### The Radio-Frequency Quadrupole - RFQ

The vanes have a <u>longitudinal</u> <u>modulation</u> with period =  $\beta\lambda$ 

# $\rightarrow$ this creates a longitudinal component of the electric field.

The modulation corresponds exactly to a series of RF gaps and can provide acceleration.

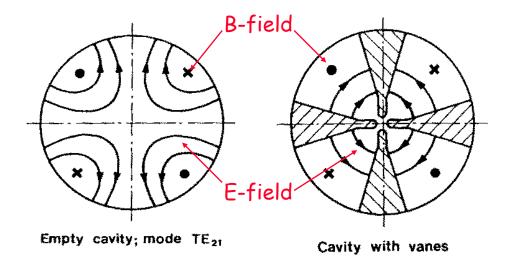


Modulated vane Opposite vanes (180°) Modulated vane Adjacent vanes (90°)

#### **RF Field excitation:**

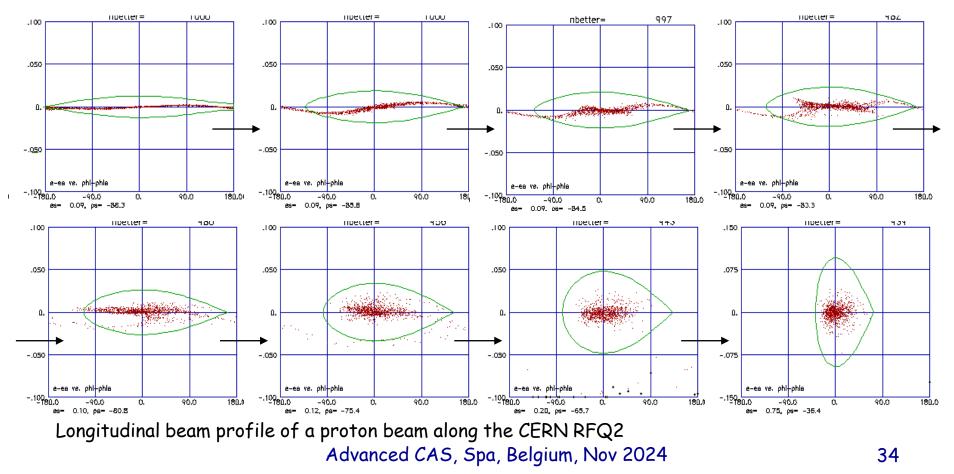
An empty cylindrical cavity can be excited on different modes.

Some of these modes have only transverse electric field (the TE modes), and one uses in particular the "quadrupole" mode, the TE<sub>210</sub>.



## RFQ Design + Longitudinal Phase Space

RFQ design: The <u>modulation period</u> can be slightly adjusted to change the phase of the beam inside the RFQ cells, and the <u>amplitude of the modulation</u> can be changed to change the accelerating gradient  $\rightarrow$  start with some bunching cells, progressively bunch the beam (<u>adiabatic</u> <u>bunching channel</u>), and only in the last cells accelerate.



### Summary up to here...

- Acceleration by electric fields, static fields limited
   time-varying fields
- Synchronous condition needs to be fulfilled for acceleration
- Particles perform oscillation around synchronous phase
- visualize oscillations in phase space
- Electrons are quickly relativistic, speed does not change use traveling wave structures for acceleration
- Protons and ions
  - RFQ for bunching and first acceleration
  - need changing structure geometry

### Summary: Relativity + Energy Gain

Newton-Lorentz Force 
$$\vec{F} = \frac{d\vec{p}}{dt} = e\left(\vec{E} + \vec{v} \quad \vec{B}\right)$$

2<sup>nd</sup> term always perpendicular to motion => no acceleration

**Relativistics** Dynamics  $\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{v^2}} \qquad g = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - b^2}}$  $p = mv = \frac{E}{c^2}bc = b\frac{E}{c} = bgm_0c$  $E^2 = E_0^2 + p^2 c^2 \longrightarrow dE = v dp$  $\frac{dE}{dz} = v \frac{dp}{dz} = \frac{dp}{dt} = eE_z$  $dE = dW = eE_z dz \rightarrow W = e \grave{0} E_z dz$ 

**RF Acceleration**  $E_{z} = \hat{E}_{z} \sin W_{RF} t = \hat{E}_{z} \sin f(t)$   $\hat{O} \quad \hat{E}_{z} \quad dz = \hat{V}$   $W = e\hat{V}\sin\phi$ 

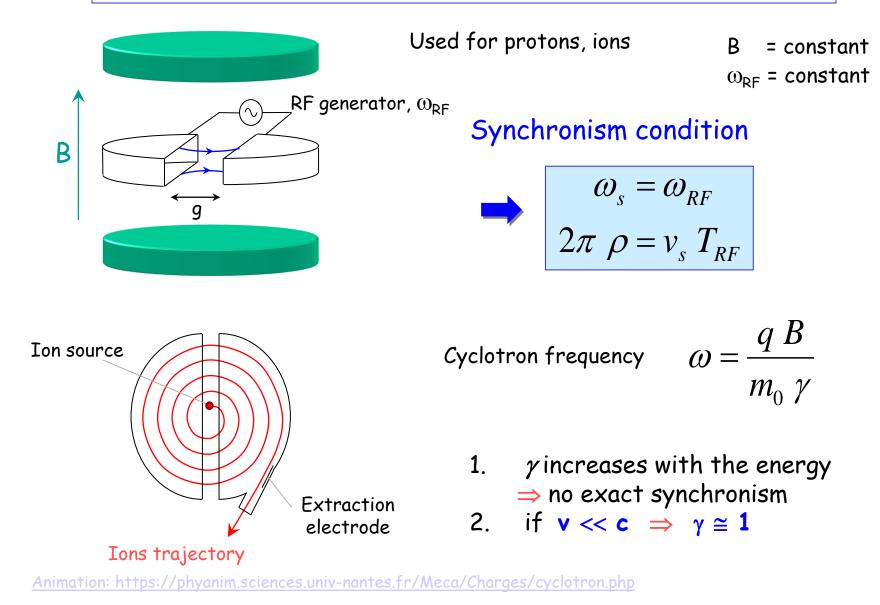
#### (neglecting transit time factor)

The field will change during the passage of the particle through the cavity => effective energy gain is lower

#### **Circular accelerators**

Cyclotron Synchrotron

## Circular accelerators: Cyclotron



## Cyclotron / Synchrocyclotron





CERN 600 MeV synchrocyclotron

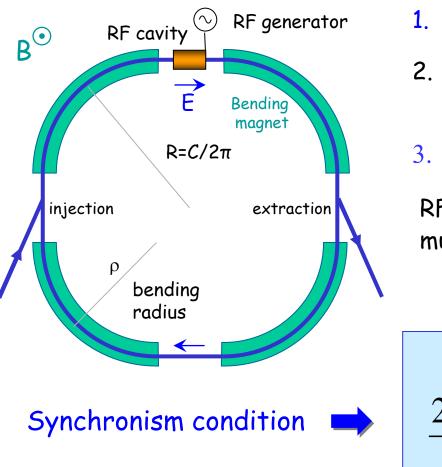
Synchrocyclotron: Same as cyclotron, except a modulation of  $\omega_{\text{RF}}$ 

B = constant  $\gamma \omega_{\text{RF}}$  = constant  $\omega_{\text{RF}}$  decreases with time andition:  $\omega_{\text{RF}}$  (t) =  $\omega_{\text{RF}}$  (t) =  $\frac{q B}{r}$  Al

Allows to go beyond the non-relativistic energies

$$\omega_{s}(t) = \omega_{RF}(t) = \frac{q B}{m_{0} \gamma(t)}$$

## Circular accelerators: The Synchrotron



- 1. Constant orbit during acceleration
- To keep particles on the closed orbit,
   B should increase with time
- 6.  $\omega$  and  $\omega_{RF}$  increase with energy

RF frequency can be multiple of revolution frequency

$$\omega_{RF} = h \alpha$$

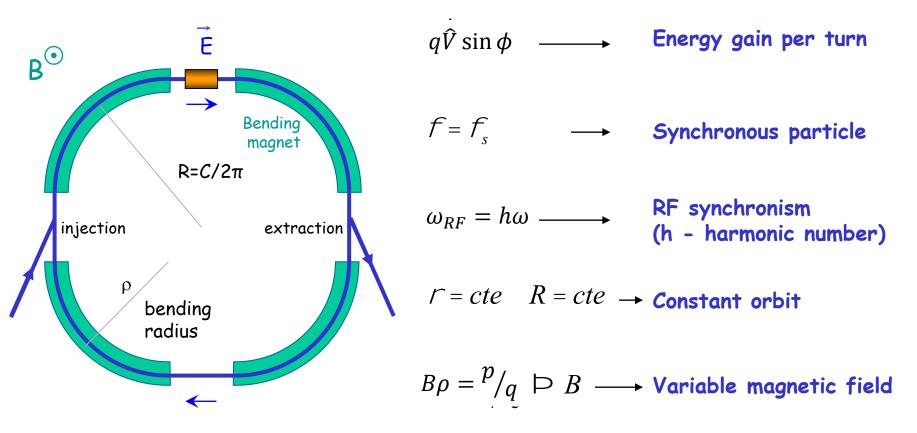
$$T_{s} = h T_{RF}$$
$$\frac{2\pi R}{v_{s}} = h T_{RF}$$

h integer, harmonic number: number of RF cycles per revolution

*h* is the maximum number of bunches in the synchrotron. Normally less bunches due to gaps for kickers, collision constraints,...

# The Synchrotron

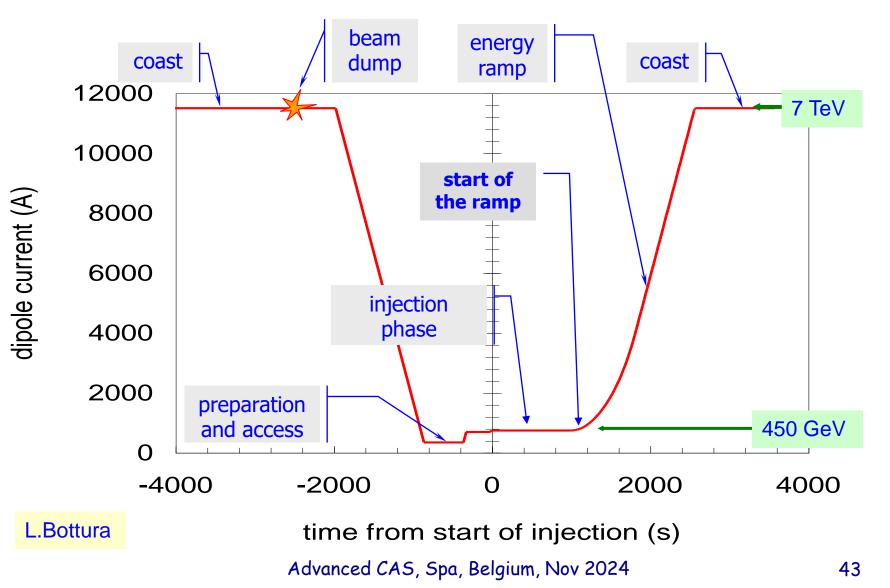
The synchrotron is a synchronous accelerator since there is a synchronous RF phase for which the energy gain fits the increase of the magnetic field at each turn. That implies the following operating conditions:



If v $\approx$ c,  $\omega$  hence  $\omega_{RF}$  remain constant (ultra-relativistic e<sup>-</sup>)

## The Synchrotron - LHC Operation Cycle

The magnetic field (dipole current) is increased during the acceleration.



## The Synchrotron - Energy ramping

Energy ramping by increasing the B field (frequency has to follow v):

$$p = qB\rho \implies_{\rho \text{ const.}} \frac{dp}{dt} = q\dot{B}\rho \implies (\Delta p)_{turn} = q\dot{B}\rho T_r = \frac{2\pi q\rho R\dot{B}}{v}$$

With  $E^2 = E_0^2 + p^2 c^2 \implies DE = v Dp$   $(\Delta E)_{turn} = (\Delta W)_s = 2\pi q \rho R \dot{B} = q \hat{V} \sin \phi_s$ 

#### Synchronous phase $\varphi_s$ changes during energy ramping

$$\sin \phi_s = 2\pi \rho R \frac{\dot{B}}{\hat{V}_{RF}} \implies \phi_s = \arcsin\left(2\pi \rho R\right)$$
• The synchronous phase depends on

- the change of the magnetic field
- and the RF voltage

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 $\phi = \omega_{RF} t$ 

φ<sub>s</sub>

#### The Synchrotron - Frequency change

During the energy ramping, the RF frequency increases to follow the increase of the revolution frequency :

$$\omega = \frac{\omega_{RF}}{h} = \omega(B, R_s)$$

Hence: 
$$\frac{f_{RF}(t)}{h} = \frac{v(t)}{2\pi R_s} = \frac{1}{2\pi} \frac{qc^2}{E_s(t)} \frac{\rho}{R_s} B(t)$$
 (using  $p(t) = qB(t)\rho, E = mc^2$ 

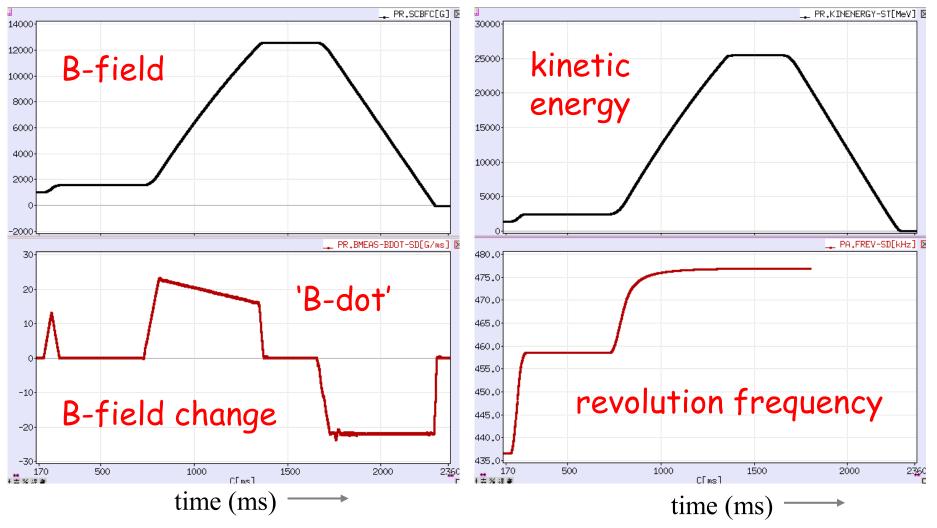
Since  $E^2 = (m_0 c^2)^2 + p^2 c^2$  the RF frequency must follow the variation of the B field with the law

$$\frac{f_{RF}(t)}{h} = \frac{c}{2\pi R_s} \left\{ \frac{B(t)^2}{(m_0 c^2/qc\rho)^2 + B(t)^2} \right\}^{1/2}$$

RF frequency program during acceleration determined by B-field !

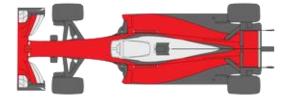
## Example: PS - Field / Frequency change

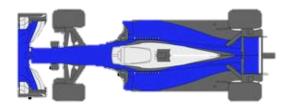
During the energy ramping, the B-field and the revolution frequency increase



## Overtaking in a Formula 1 Race

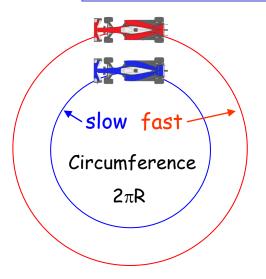






## Overtaking in a Formula 1 Race

## Overtaking in a Formula 1 Race



v=speed of the car R=track physical radius T=revolution period f<sub>r</sub>=revolution frequency A F1 car wants to overtake another car! It will have a

- a different track length due to a 'dispersion orbit'
- and a different velocity.

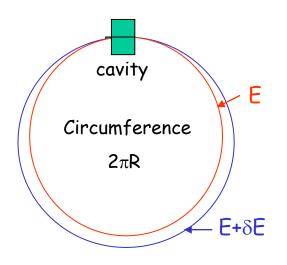
$$T=rac{L}{v}=rac{2\pi R}{v}$$
 and  $f_r=rac{1}{T}=rac{v}{2\pi R}$ 

$$=> \frac{\Delta T}{T} = \frac{\Delta R}{R} - \frac{\Delta v}{v}$$

The winner depends on the relative change in speed compared to the relative change in track length!

If the relative change in speed is larger than the relative change in track length => the red car will win!

## Overtaking in a Synchrotron



A particle with a momentum deviation will have a

- dispersion orbit and a different orbit length
- a different velocity.

As a result of both effects the revolution time changes with a "slip factor  $\eta$ ":

 $\eta = \frac{dT/T}{dp/p}$ 

p=particle momentum

R=synchrotron physical radius

 $f_r$ =revolution frequency

Note: you also find n defined with a minus sign!

Effect from orbit defined by Momentum compaction factor:

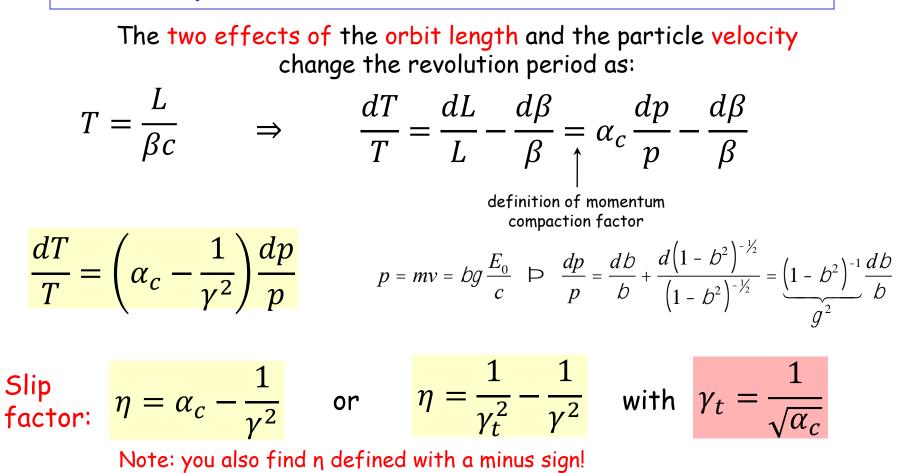
Property of the beam optics: (derivation see appendix )

$$\alpha_c = \frac{1}{L} \int_C \frac{D_x(s)}{\rho(s)} ds_0$$

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 $\alpha_c = \frac{\alpha L}{dp/L}$ 

#### **Dispersion Effects - Revolution Period**

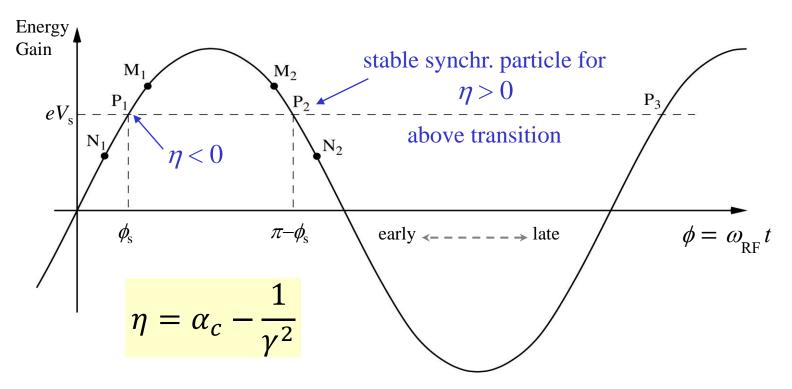


At transition energy,  $\eta = 0$ , the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

## Phase Stability in a Synchrotron

From the definition of  $\eta\,$  it is clear that an increase in momentum gives

- below transition (η < 0) a higher revolution frequency (increase in velocity dominates) while
- above transition ( $\eta > 0$ ) a lower revolution frequency (v  $\approx$  c and longer path) where the momentum compaction (generally > 0) dominates.

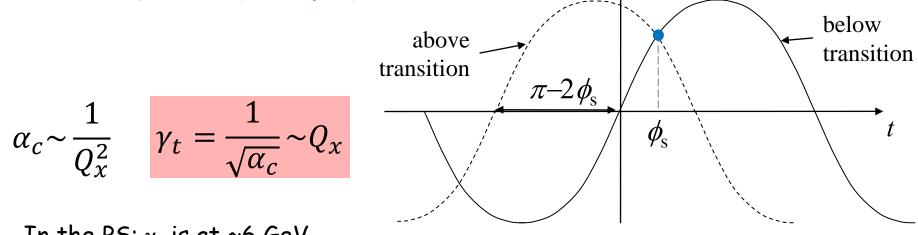


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## **Crossing Transition**

At transition, the velocity change and the path length change with momentum compensate each other. So the revolution frequency there is independent from the momentum deviation.

Crossing transition during acceleration makes the previous stable synchronous phase unstable. The RF system needs to make a rapid change of the RF phase, a 'phase jump'.



In the PS:  $\gamma_t$  is at ~6 GeV In the SPS:  $\gamma_t$ = 22.8, injection at  $\gamma$ =27.7 => no transition crossing! In the LHC:  $\gamma_t$  is at ~55 GeV, also far below injection energy

Transition crossing not needed in leptons machines, why? Advanced CAS, Spa, Belgium, Nov 2024

## **Dynamics: Synchrotron oscillations**

Simple case (no accel.): **B** = const., below transition

The phase of the synchronous particle must therefore be  $\phi_0 = 0$ .

- The particle **B** is accelerated

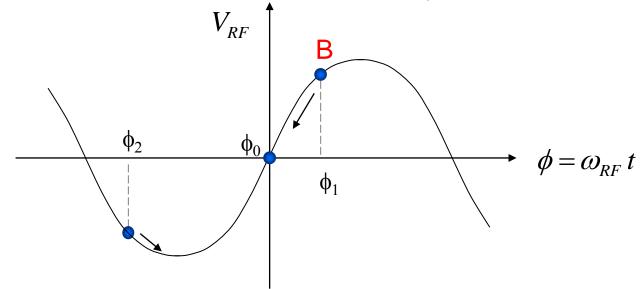
**•**1

**•**<sub>2</sub>

- Below transition, an increase in energy means an increase in revolution frequency

 $\gamma < \gamma_{tr}$ 

- The particle arrives earlier - tends toward  $\phi_0$ 

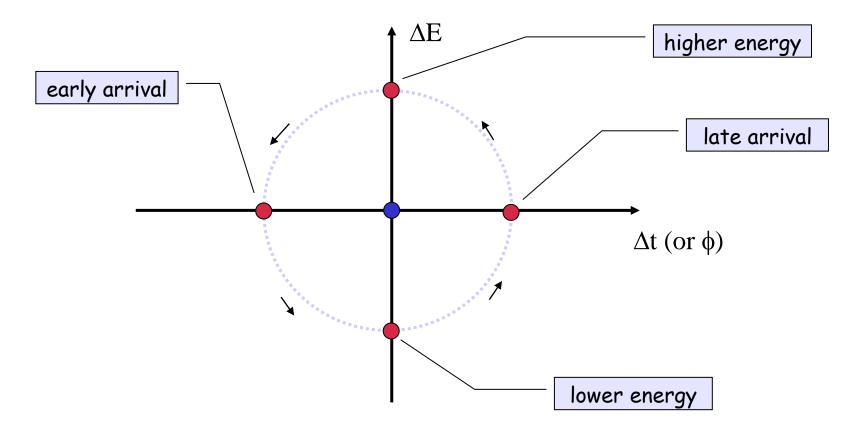


- The particle is decelerated
- decrease in energy decrease in revolution frequency
- The particle arrives later tends toward  $\phi_0$

## Longitudinal Phase Space Motion

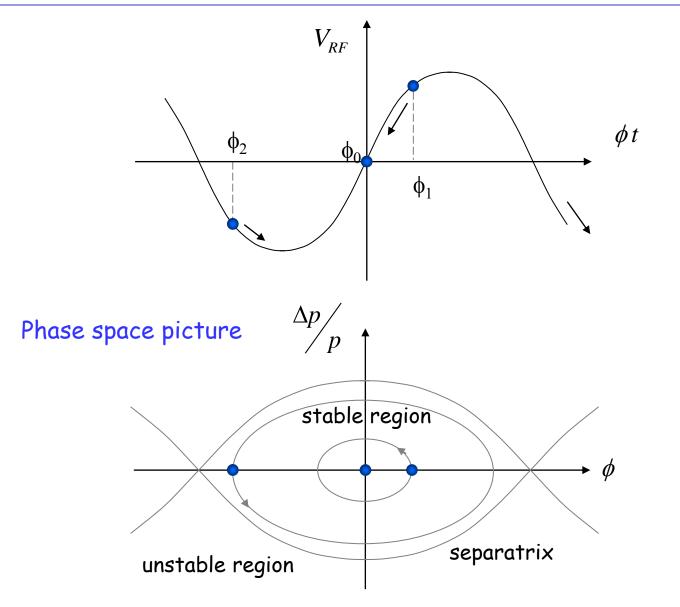
Particle B performs a synchrotron oscillation around the synchronous particle A

Plotting this motion in longitudinal phase space gives:



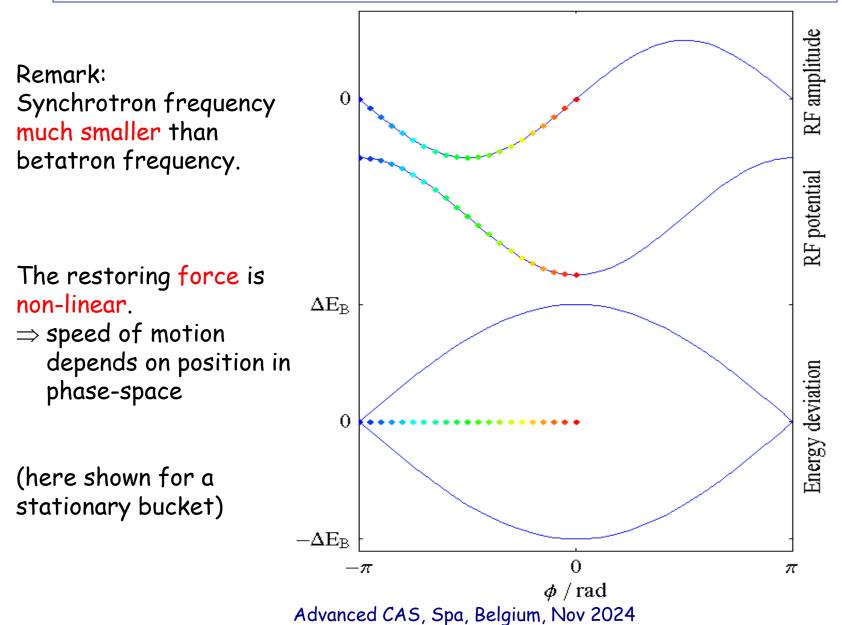
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#### Synchrotron oscillations - No acceleration



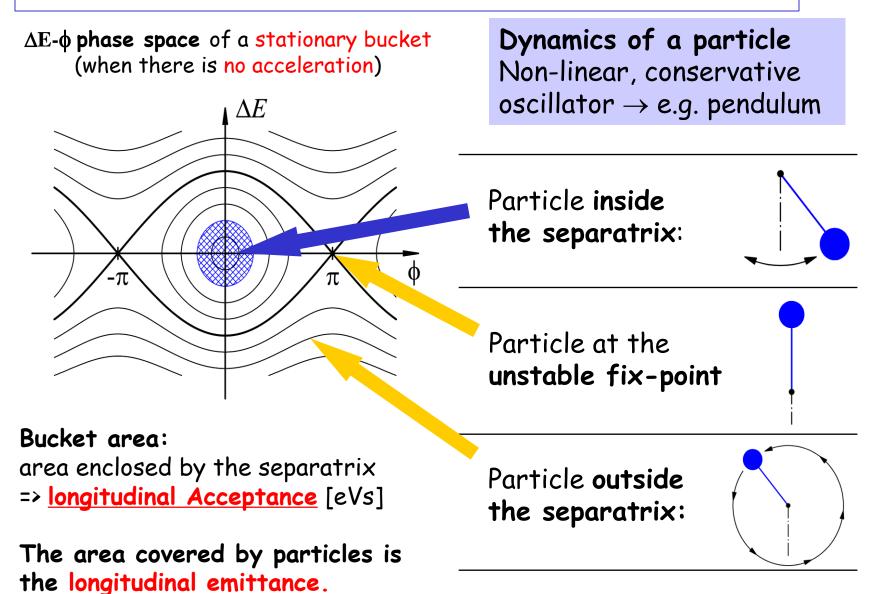
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#### Synchrotron motion in phase space

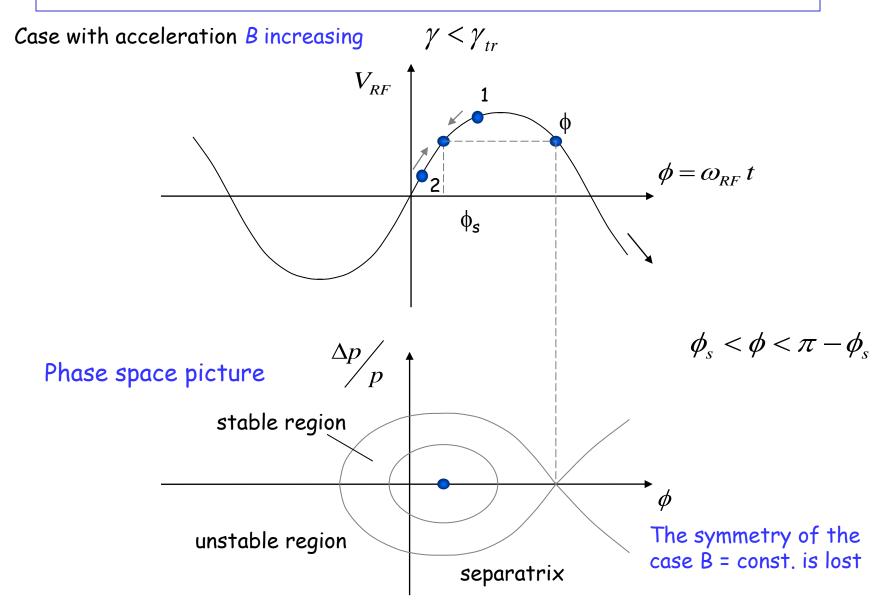


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## Synchrotron motion in phase space

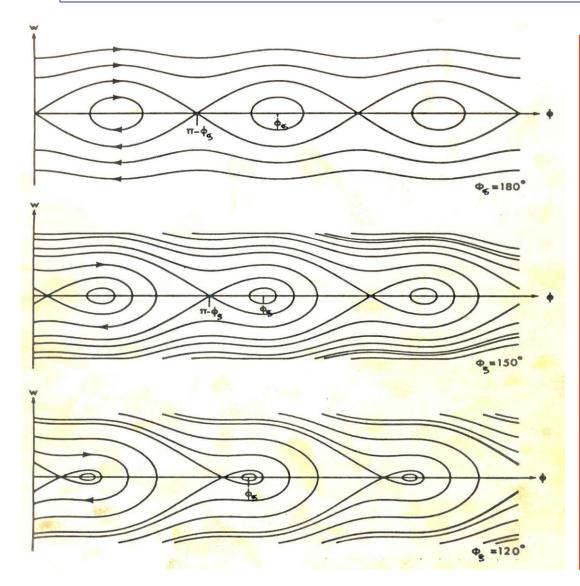


## Synchrotron oscillations (with acceleration)



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### **RF** Acceptance versus Synchronous Phase



The areas of stable motion (closed trajectories) are called "BUCKET". The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for  $\phi_s = 180^\circ$  (or 0°) which means no acceleration.

During acceleration, the buckets get smaller, both in length and energy acceptance.

=> Injection preferably without acceleration.

# Longitudinal Motion with Synchrotron Radiation

Synchrotron radiation energy-loss energy dependant:

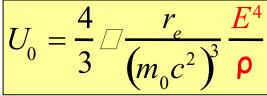
During one period of synchrotron oscillation:

- when the particle is in the upper half-plane, it loses more energy per turn, its energy gradually reduces  $ext{M} \otimes E = U > U_0$ 

- when the particle is in the lower half-plane, it loses less energy per turn, but receives  $U_0$  on the average, so its energy deviation gradually reduces

The phase space trajectory spirals towards the origin (limited by quantum excitations)

=> The synchrotron motion is damped toward an equilibrium bunch length and energy spread.  $\sigma$ 



 $U < U_0$ 

## Longitudinal Dynamics in Synchrotrons

Now we will look more quantitatively at the "synchrotron motion".

The RF acceleration process clearly emphasizes two coupled variables, the energy gained by the particle and the RF phase experienced by the same particle.

Since there is a well defined synchronous particle which has always the same phase  $\phi_s$ , and the nominal energy  $E_0$ , it is sufficient to follow other particles with respect to that particle.

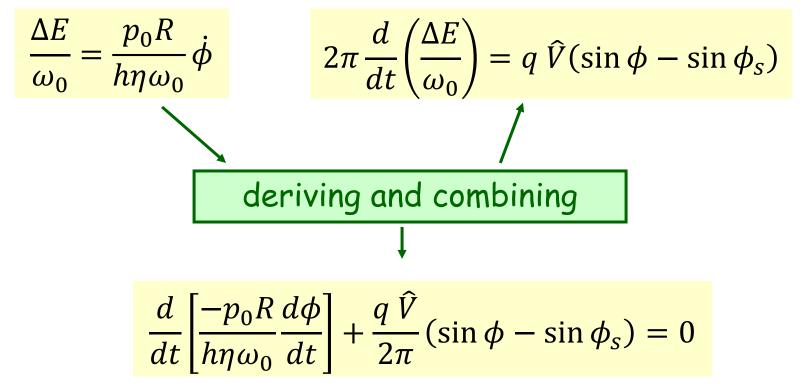
So let's introduce the following reduced variables:

particle RF phase :	$\Delta \phi = \phi - \phi_s$
particle momentum :	∆ <b>p = p − p</b> <sub>0</sub>
particle energy :	$\Delta E = E - E_0$
angular frequency :	$\Delta \omega = \omega - \omega_0$
azimuth orbital angle:	$\Delta \theta = \theta - \theta_s$

Look at difference from synchronous particle

# Equations of Longitudinal Motion

In these reduced variables, the equations of motion are (see Appendix):

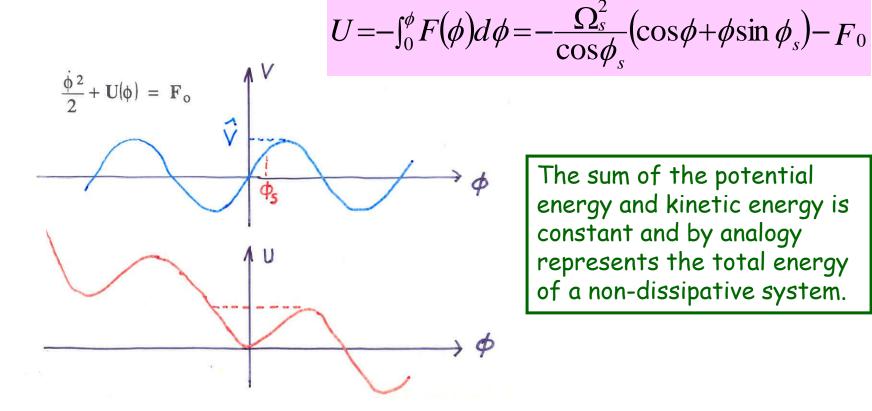


This second order equation is non linear. Moreover the parameters within the bracket are in general slowly varying with time.

We will study some cases in the following...

## **Potential Energy Function**

The longitudinal motion is produced by a force that can be derived from a scalar potential:  $\frac{d^2\phi}{dt^2} = F(\phi)$  $F(\phi)$ 



The sum of the potential energy and kinetic energy is constant and by analogy represents the total energy of a non-dissipative system.

Introducing a new convenient variable, W, leads to the 1<sup>st</sup> order equations:

$$W = \frac{\Delta E}{\omega_0} \qquad \longrightarrow \qquad \frac{d\psi}{dt} = \frac{m_1\omega_0}{p_0R}W$$
$$\frac{dW}{dt} = \frac{e\hat{V}}{2\pi}(\sin\phi - \sin\phi_s)$$

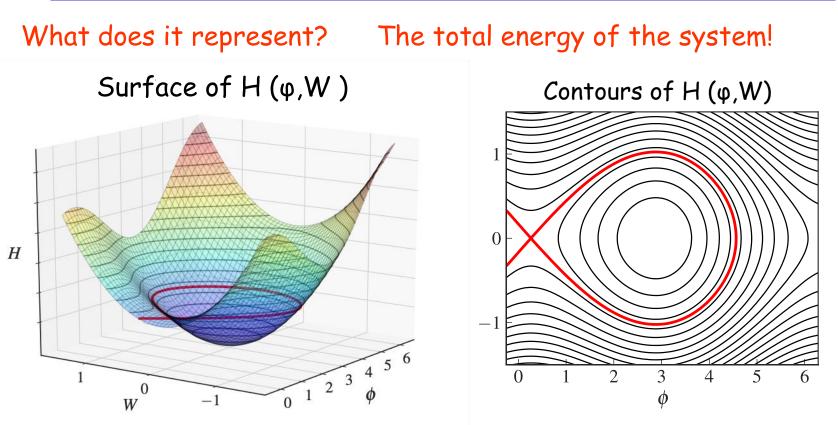
The two variables  $\phi$ , W are canonical since these equations of motion can be derived from a Hamiltonian H( $\phi$ ,W,t):

$$\frac{d\phi}{dt} = \frac{\partial H}{\partial W} \qquad \qquad \frac{dW}{dt} = -\frac{\partial H}{\partial \phi}$$

$$H(\phi, W) = \frac{1}{2} \frac{h\eta\omega_0}{p_0 R} W^2 + \frac{e\hat{V}}{2\pi} [\cos\phi - \cos\phi_s + (\phi - \phi_s)\sin\phi_s]$$

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## Hamiltonian of Longitudinal Motion



Contours of constant H are particle trajectories in phase space! (H is conserved)

Hamiltonian Mechanics can help us understand some fairly complicated dynamics (multiple harmonics, bunch splitting, ...)

## Small Amplitude Oscillations

Let's assume constant parameters R,  $p_0$ ,  $\omega_0$  and  $\eta$ :

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0 \quad \text{with} \quad \Omega_s^2 = \frac{-q\hat{V}_{RF}\eta h\omega_0}{2\pi Rp_0} \cos\phi_s$$

Consider now small phase deviations from the reference particle:  $\sin \phi - \sin \phi_s = \sin (\phi_s + \Delta \phi) - \sin \phi_s \cong \cos \phi_s \Delta \phi$  (for small  $\Delta \phi$ )

and the corresponding linearized motion reduces to a harmonic oscillation:

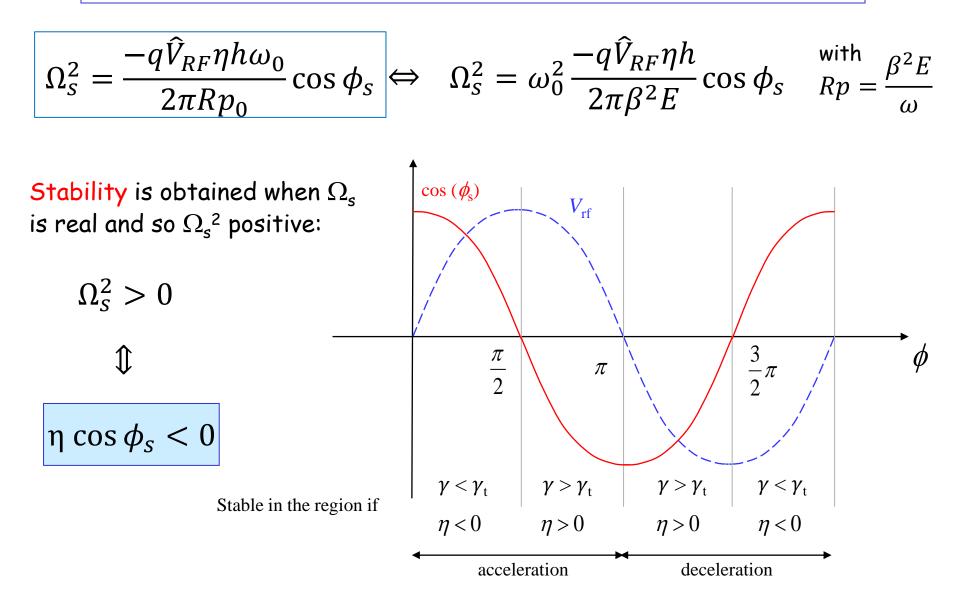
$$\dot{f} + W_s^2 D f = 0$$
 where  $\Omega_s$  is the synchrotron angular frequency.

The synchrotron tune  $v_s$  is the number of synchrotron oscillations per revolution:  $v_s = \Omega_s / \omega_0$ 

Typical values are <<1, as it takes several 10 - 1000 turns per oscillation.

- proton synchrotrons of the order  $10^{\text{-3}}$
- electron storage rings of the order 10<sup>-1</sup>

## Stability condition for $\phi_s$



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For larger phase (or energy) deviations from the reference the second order differential equation is non-linear:

$$\ddot{\phi} + \frac{\Omega_s^2}{\cos\phi_s} (\sin\phi - \sin\phi_s) = 0 \qquad (\Omega_s \text{ as previously defined})$$

Multiplying by  $\phi$  and integrating gives an invariant of the motion:

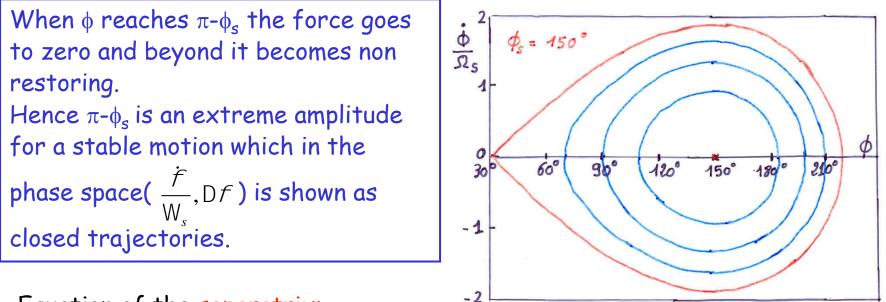
$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} \left(\cos\phi + \phi\sin\phi_s\right) = I$$

which for small amplitudes reduces to:

 $\frac{\dot{f}^2}{2} + W_s^2 \frac{(Df)^2}{2} = I' \qquad \text{(the variable is } \Delta\phi, \text{ and } \phi_s \text{ is constant)}$ 

Similar equations exist for the second variable :  $\Delta E \propto d\phi/dt$ 

#### Large Amplitude Oscillations (2)



Equation of the separatrix:

$$\frac{\phi^2}{2} - \frac{\Omega_s^2}{\cos\phi_s} \left(\cos\phi + \phi\sin\phi_s\right) = -\frac{\Omega_s^2}{\cos\phi_s} \left(\cos(\pi - \phi_s) + (\pi - \phi_s)\sin\phi_s\right)$$

Second value  $\phi_m$  where the separatrix crosses the horizontal axis:

$$\cos\phi_m + \phi_m \sin\phi_s = \cos(\pi - \phi_s) + (\pi - \phi_s) \sin\phi_s$$

## **Energy Acceptance**

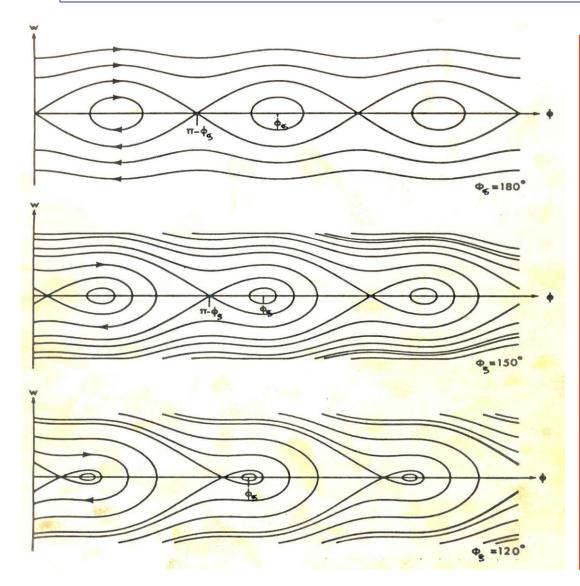
From the equation of motion, it is seen that  $\phi$  reaches an extreme at  $\phi = \phi_s$ . Introducing this value into the equation of the separatrix gives:

$$\dot{f}_{\max}^{2} = 2W_{s}^{2} \left\{ 2 + \left( 2f_{s} - \rho \right) \tan f_{s} \right\}$$
hat translates into an energy acceptance:
$$\left( \frac{\Delta E}{E_{s}} \right)_{\max} = \pm \beta \sqrt{\frac{e\hat{V}}{\pi h\eta E_{s}}} G(\phi_{s})$$

$$G(f_{s}) = \oint 2\cos f_{s} + \left( 2f_{s} - \rho \right) \sin f_{s} i$$

This "RF acceptance" depends strongly on  $\phi_s$  and plays an important role for the capture at injection, and the stored beam lifetime. It's largest for  $\phi_s=0$  and  $\phi_s=\pi$  (no acceleration, depending on  $\eta$ ). It becomes smaller during acceleration, when  $\phi_s$  is changing Need a higher RF voltage for higher acceptance. For the same RF voltage it is smaller for higher harmonics h. Advanced CAS, Spa, Belgium, Nov 2024 71

## **RF** Acceptance versus Synchronous Phase



The areas of stable motion (closed trajectories) are called "BUCKET". The number of circulating buckets is equal to "h".

The phase extension of the bucket is maximum for  $\phi_s = 180^\circ$  (or 0°) which means no acceleration.

During acceleration, the buckets get smaller, both in length and energy acceptance.

=> Injection preferably without acceleration.

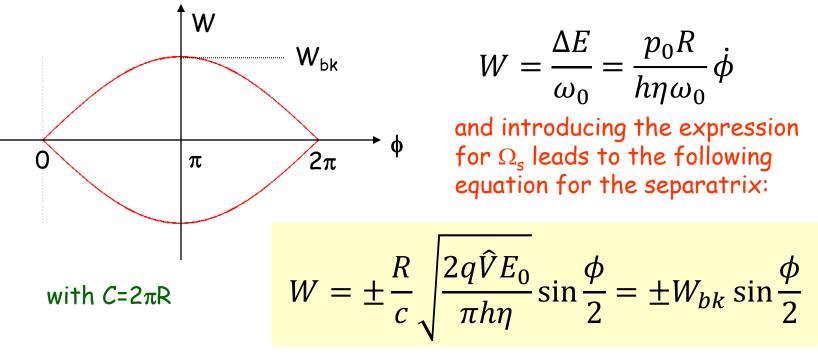
#### Stationnary Bucket - Separatrix

This is the case  $sin\phi_s=0$  (no acceleration) which means  $\phi_s=0$  or  $\pi$ . The equation of the separatrix for  $\phi_s=\pi$  (above transition) becomes:

$$\frac{\dot{\phi}^2}{2} + \Omega_s^2 \cos \phi = \Omega_s^2$$

$$\frac{\dot{\phi}^2}{2} = 2\Omega_s^2 \sin^2 \frac{\phi}{2}$$

Replacing the phase derivative by the (canonical) variable W:



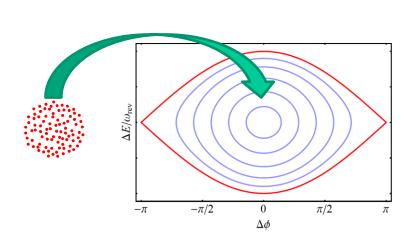
Setting  $\phi = \pi$  in the previous equation gives the height of the stationary bucket:

$$W_{bk} = \frac{R}{c} \sqrt{\frac{2q\hat{V}E_0}{\pi h|\eta|}}$$
  
The bucket area is:  $A_{bk} = 2\int_0^{2\pi} W d\phi$   
Since:  $\int_0^{2\pi} \sin \frac{\phi}{2} d\phi = 4$   
one gets:  $A_{bk} = 8 W_{bk} = \frac{8R}{c} \sqrt{\frac{2q\hat{V}E_0}{\pi h|\eta|}} \longrightarrow W_{bk} = \frac{A_{bk}}{8}$ 

For an accelerating bucket, this area gets reduced by a factor depending on  $\Phi_s$ :

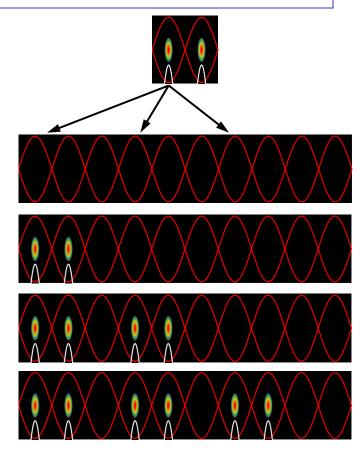
$$\alpha(\phi_s) \approx \frac{1 - \sin \phi_s}{1 + \sin \phi_s}$$

# Bunch-to-bucket transfer



Bunch from sending accelerator

into the bucket of receiving



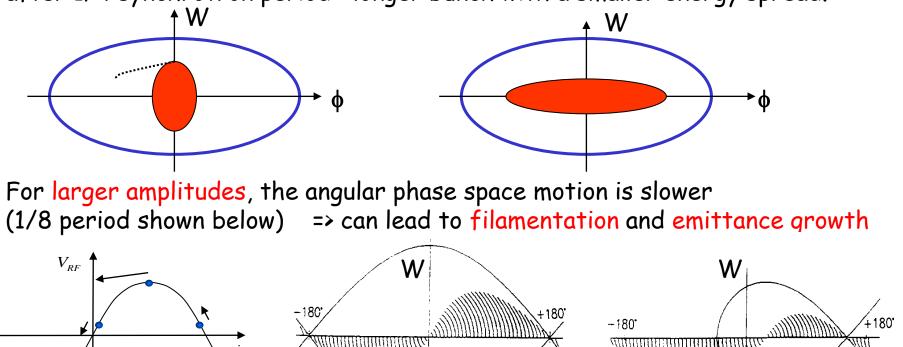
#### Advantages:

- $\rightarrow$  Particles always subject to longitudinal focusing
- $\rightarrow$  No need for RF capture of de-bunched beam in receiving accelerator
- $\rightarrow$  No particles at unstable fixed point
- $\rightarrow$  Time structure of beam preserved during transfer

# Bunch Transfer - Effect of a Mismatch

When you transfer the bunch from one RF system to another, the shape of the phase space and the bunch need to match.

Mismatch example: Injected bunch: short length and large energy spread after 1/4 synchrotron period: longer bunch with a smaller energy spread.



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stationary bucket

restoring force is non-linear

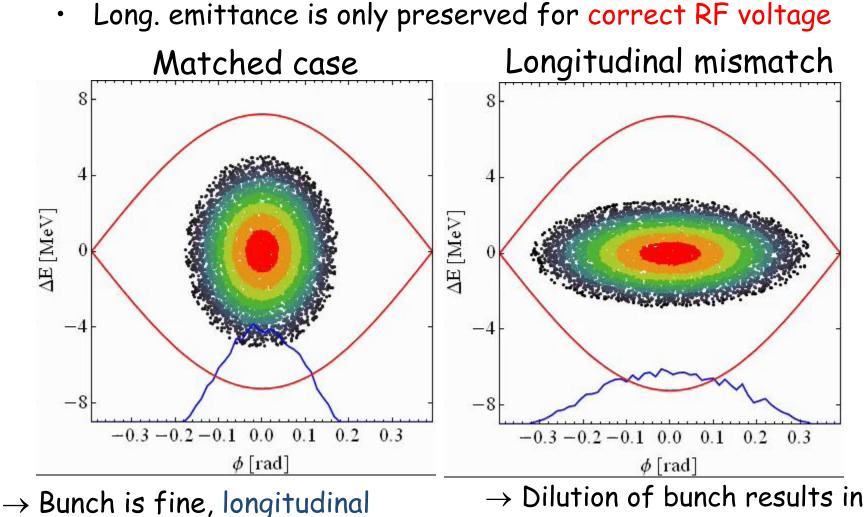
φ

accelerating bucket

φ

W.Pirkl

# Effect of a Mismatch (2)



emittance remains constant

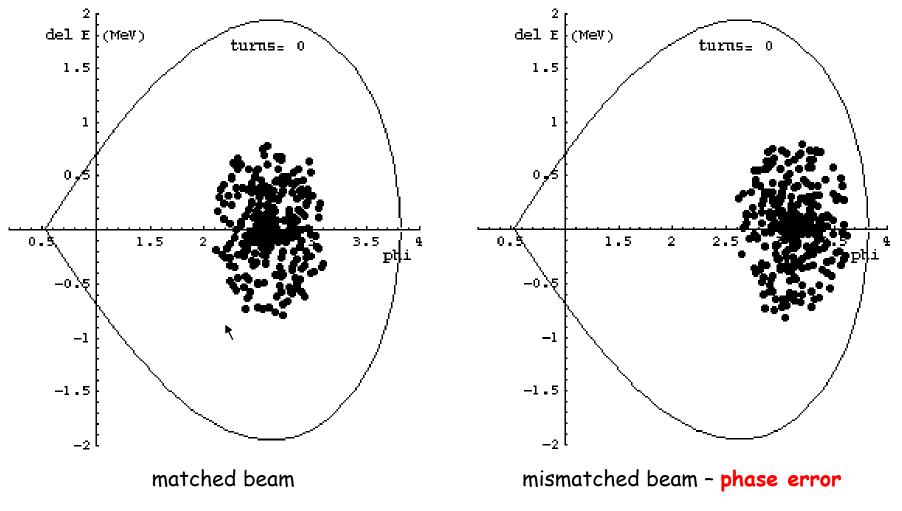
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increase of long. emittance

# Effect of a Mismatch (3)

Evolution of an injected beam for the first 100 turns.

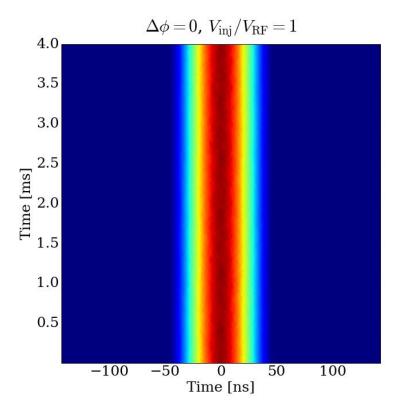
For a mismatched transfer, the emittance increases (right).



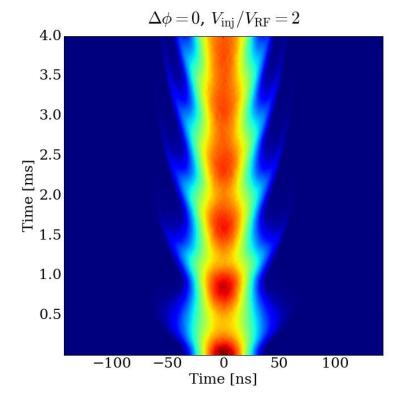
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### Longitudinal matching - Beam profile

# Matched case



# Longitudinal mismatch

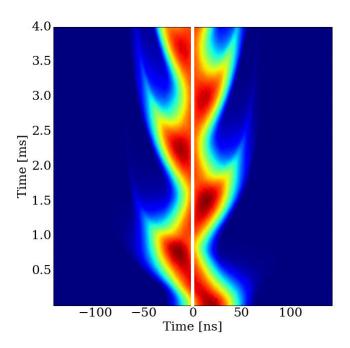


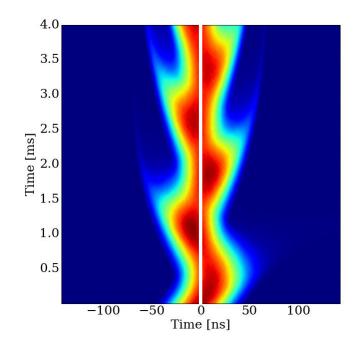
#### → Bunch is fine, longitudinal emittance remains constant

 $\rightarrow$  Dilution of bunch results in increase of long. emittance

# Matching quiz!

• Find the difference!





- $\rightarrow~\text{-45}^\circ$  phase error at injection
- $\rightarrow$  Can be easily corrected by bucket phase

- $\rightarrow$  Equivalent energy error
- → Phase does not help: requires beam energy change

# Phase Space Tomography

1. 1.23

0.23

[A] 0.75 0.1

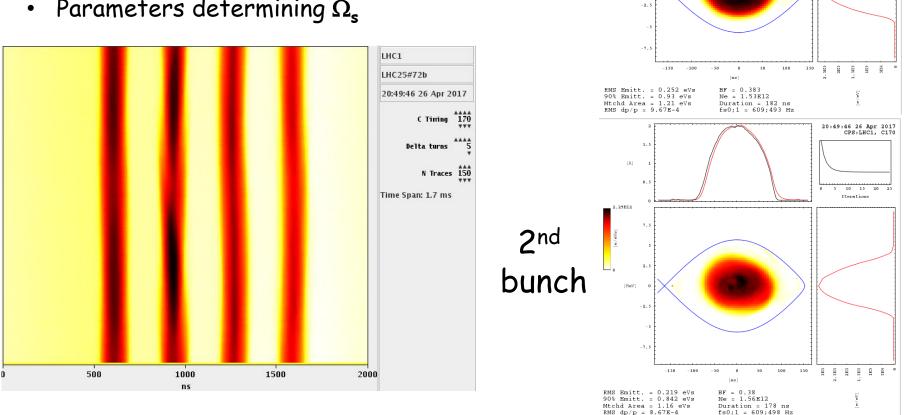
7E12

**1**st

bunch

We can reconstruct the phase space distribution of the beam.

- Longitudinal bunch profiles over • a number of turns
- Parameters determining  $\Omega_s$



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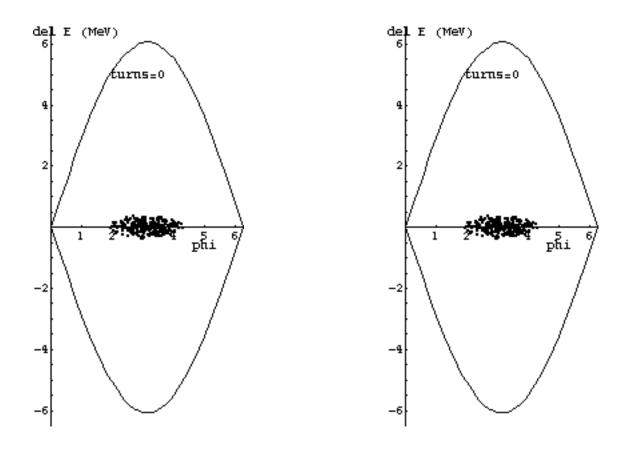
20:49:46 26 Apr 2017 CPS:LHC1, C170

10 15 20

Iterations

Phase space motion can be used to make short bunches.

Start with a long bunch and extract or recapture when it's short.

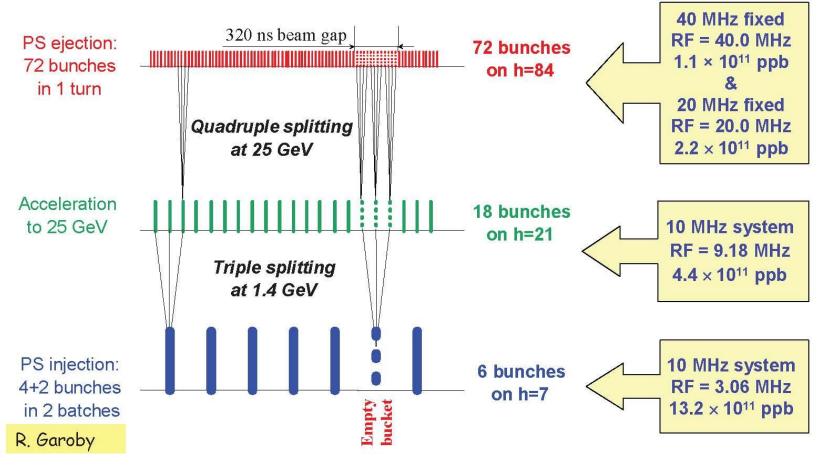


initial beam

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# Generating a 25ns LHC Bunch Train in the PS

- Longitudinal bunch splitting (basic principle)
  - Reduce voltage on principal RF harmonic and simultaneously rise voltage on multiple harmonics (adiabatically with correct phase, etc.)

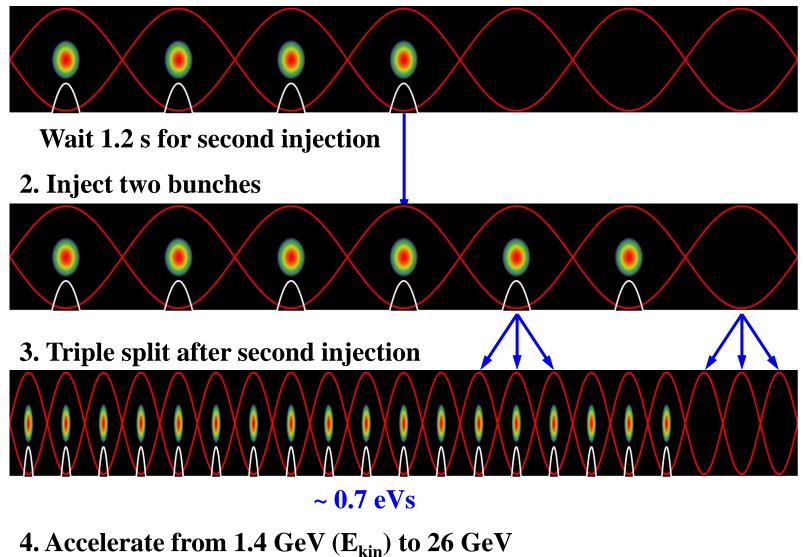


Use double splitting at 25 GeV to generate 50ns bunch trains instead

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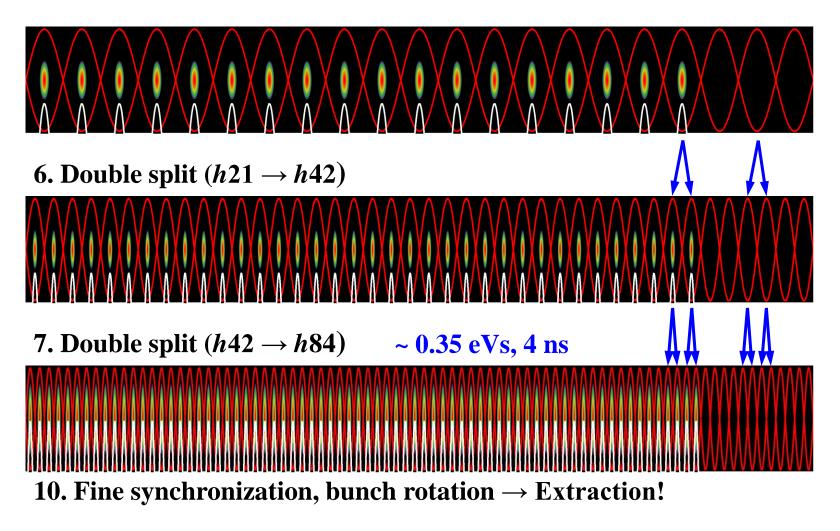
# Production of the LHC 25 ns beam

1. Inject four bunches ~ 180 ns, 1.3 eVs

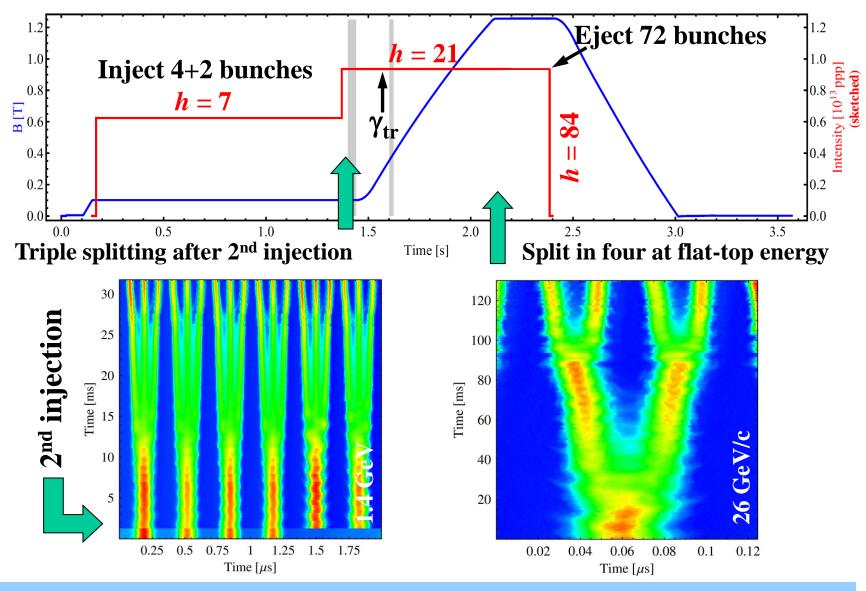


# Production of the LHC 25 ns beam

5. During acceleration: longitudinal emittance blow-up: 0.7 – 1.3 eVs

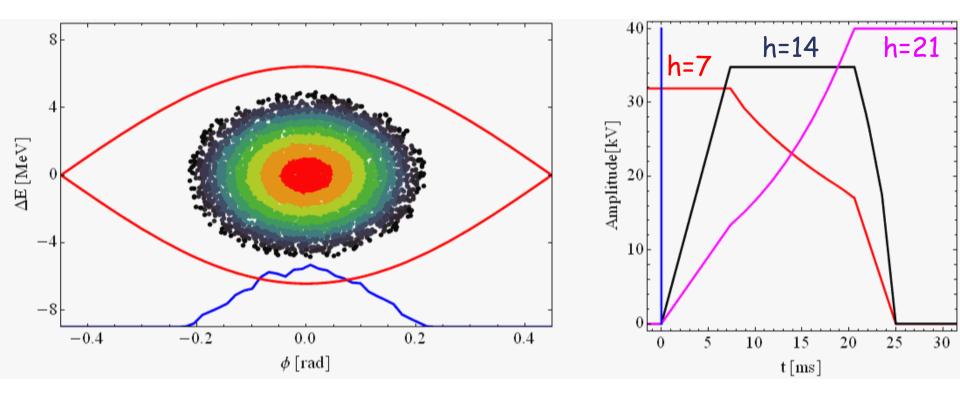


### The LHC25 (ns) cycle in the PS



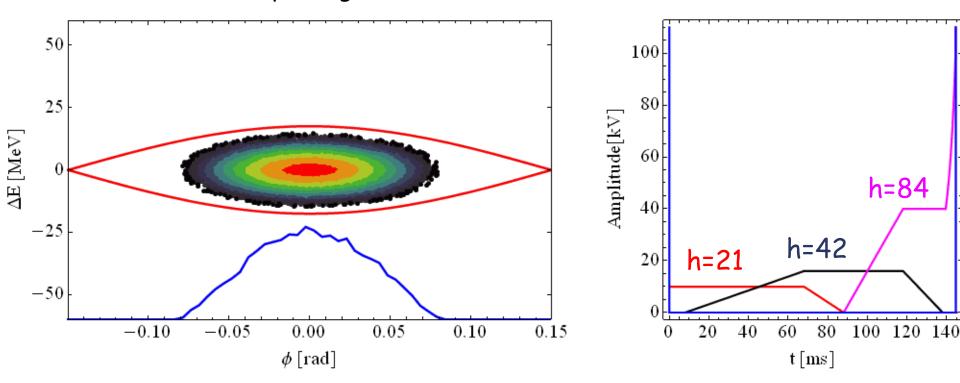
 $\rightarrow$  Each bunch from the Booster divided by 12  $\rightarrow$  6  $\times$  3  $\times$  2  $\times$  2 = 72

# Triple splitting in the PS



# Two times double splitting in the PS

Two times double splitting and bunch rotation:



- Bunch is divided twice using RF systems at
   h = 21/42 (10/20 MHz) and h = 42/84 (20/40 MHz)
- Bunch rotation: first part h84 only + h168 (80 MHz) for final part

### Summary



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And CERN Accelerator Schools (CAS) Proceedings In particular: <u>https://arxiv.org/abs/2011.02932</u> Longitudinal Beam Dynamics in Circular Accelerators

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- Roland Garoby
- Chris Warsop

# Velocity, Energy and Momentum

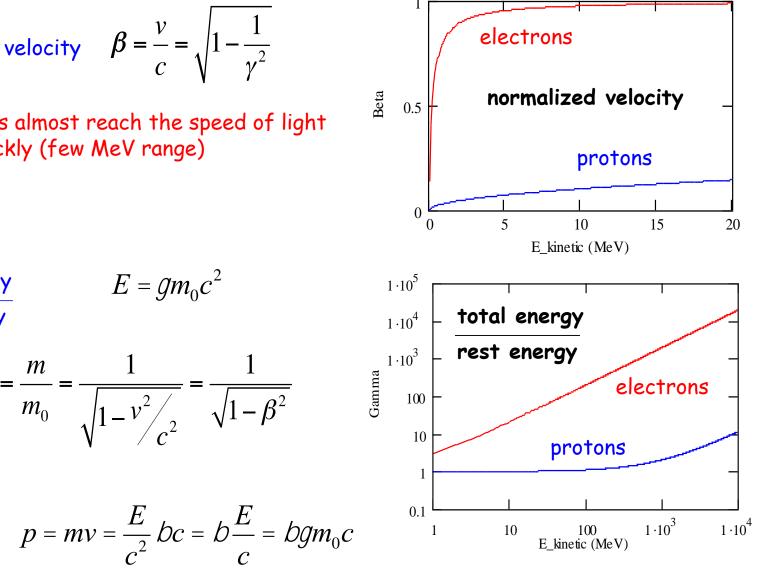
normalized velocity 
$$\beta = \frac{v}{c} = \sqrt{1 - \frac{1}{\gamma^2}}$$
  
=> electrons almost reach the speed of light very quickly (few MeV range)

total energy rest energy

$$E = gm_0c^2$$

$$\gamma = \frac{E}{E_0} = \frac{m}{m_0} = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{1}{\sqrt{1 - \beta^2}}$$

Momentum



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#### **Derivation: Momentum Compaction Factor**

$$\alpha_{c} = \frac{p}{L} \frac{dL}{dp} \qquad \qquad ds_{0} = r dQ \\ ds = (r + x) dQ$$

#### The elementary path difference from the two orbits is: definit

definition of dispersion  $D_x$ 

$$\frac{dl}{ds_0} = \frac{ds - ds_0}{ds_0} = \frac{x}{r} \stackrel{\downarrow}{=} \frac{D_x}{r} \frac{dp}{p}$$

$$S \xrightarrow{p+dp} S_0 \xrightarrow{p} d\theta$$

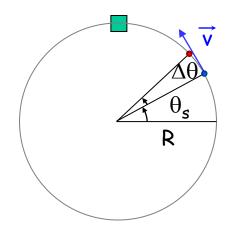
leading to the total change in the circumference:

$$dL = \underset{C}{\flat} dl = \grave{0} \frac{x}{r} ds_0 = \grave{0} \frac{D_x}{r} \frac{dp}{p} ds_0$$

$$\alpha_{c} = \frac{1}{L} \int_{C} \frac{D_{x}(s)}{\rho(s)} ds_{0}$$
 With  $\rho = \infty$  in  
straight sections  $\alpha_{c} = \frac{\langle D_{x} \rangle_{m}}{R}$  the  
magnetic matrix  $\alpha_{c} = \frac{\langle D_{x} \rangle_{m}}{R}$ 

< >m means that
the average is
considered over
the bending
magnet only

# Appendix: First Energy-Phase Equation

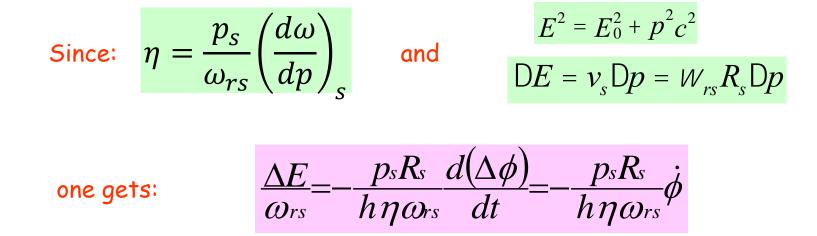


$$f_{RF} = hf_r \implies \mathsf{D}f = -h\mathsf{D}q \quad with \quad q = \int W \, dt$$

particle ahead arrives earlier => smaller RF phase

For a given particle with respect to the reference one:

$$\Delta \omega_{-} = \frac{d}{dt} (\Delta \theta) = -\frac{1}{h} \frac{d}{dt} (\Delta \phi) = -\frac{1}{h} \frac{d\phi}{dt}$$



### Appendix: Second Energy-Phase Equation

The rate of energy gained by a particle is:

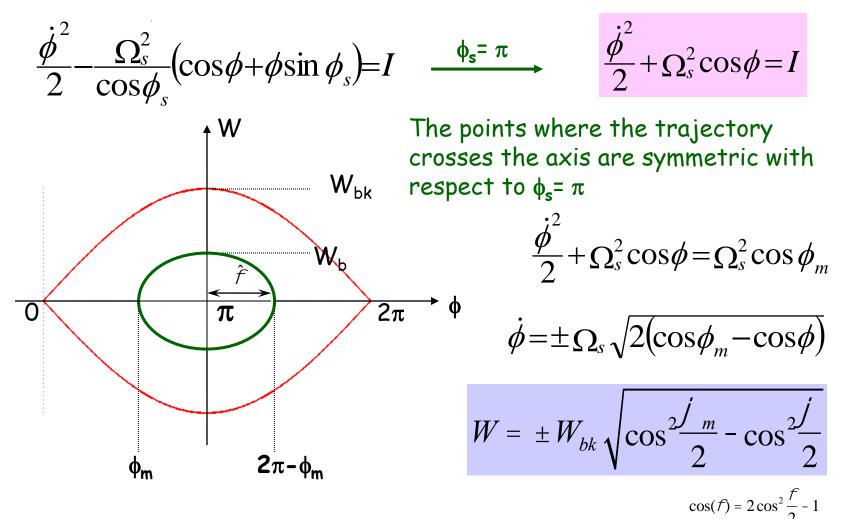
$$\frac{dE}{dt} = q \ \hat{V} \sin \phi \frac{\omega_r}{2\pi}$$

The difference of energy gain with respect to the reference particle  $\Delta E = E - E_0$  leads to the second energy-phase equation:

$$2\pi \frac{d}{dt} \left( \frac{\Delta E}{\omega_0} \right) = q \, \hat{V}(\sin \phi - \sin \phi_s)$$

### Bunch Matching into a Stationary Bucket

A particle trajectory inside the separatrix is described by the equation:



# Bunch Matching into a Stationary Bucket (2)

Setting  $\phi = \pi$  in the previous formula allows to calculate the bunch height:

This formula shows that for a given bunch energy spread the proper matching of a shorter bunch ( $\phi_m$  close to  $\pi$ ,  $\hat{f}$  small) will require a bigger RF acceptance, hence a higher voltage

For small oscillation amplitudes the equation of the ellipse reduces to:

$$W = \frac{A_{bk}}{16} \sqrt{\hat{f}^2 - (Df)^2} \longrightarrow \left(\frac{16W}{A_{bk}\hat{f}}\right)^2 + \left(\frac{Df}{\hat{f}}\right)^2 = 1$$

Ellipse area is called longitudinal emittance

$$A_b = \frac{\rho}{16} A_{bk} \hat{f}^2$$