



RF Manipulations I & II

• *Longitudinal Regulation of Beams in Synchrotrons* •

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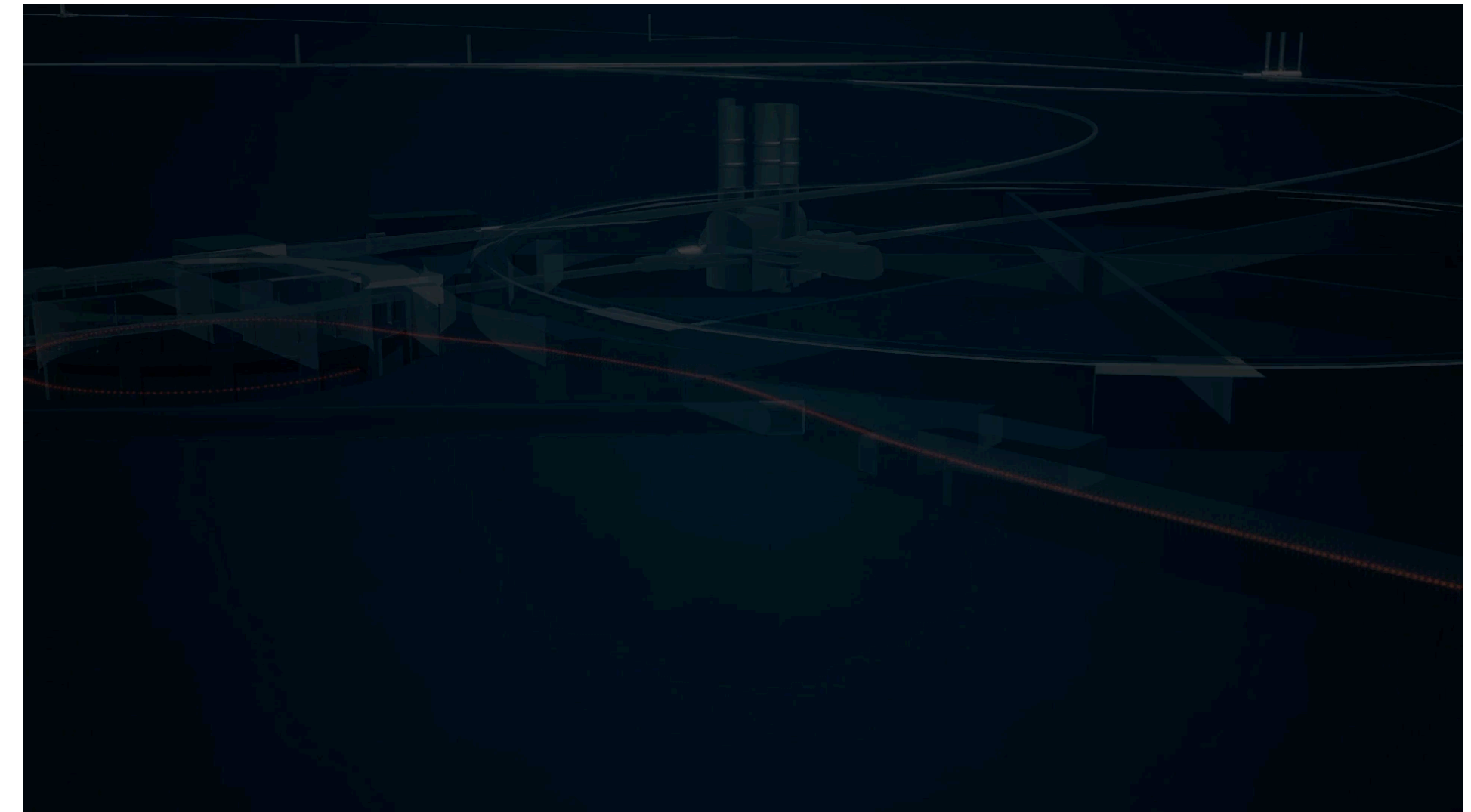
Advanced CAS, Spa, Belgium, 13th November 2024

With many thanks to S. Albright, T. Argyropoulos, H. Damerau, B. Karlsen-Baeck, A. Lasheen, N. Gallou, and I. Karpov

What are RF manipulations and why do them?

RF manipulations ('gymnastics') = manipulations done by changing the RF voltage, phase, frequency, harmonic...

...for regulating the beam longitudinally



Example: CERN proton injector chain

**Proton Synchrotron
Booster (PSB)**



**Proton
Synchrotron (PS)**



**Super Proton
Synchrotron (SPS)**



**Large Hadron
Collider (LHC)**

bunches

1 bunch/ring (4 rings)

2 injections,
6 → 36/48/72 bunches

3-5 injections,
up to 288 bunches

≥20 injections,
up to 2808 bunches

How to make so many bunches out of one?

bucket length

>500 ns

>25 ns

5 ns

2.5 ns

How to make these bunches fit into the bucket?

Contents

Bunch length regulation

- Adiabatic changes
- Rotation
- Splitting, merging

Phase space regulation

- Diffusion, noise injection
- Resonant excitation
- Longitudinal painting
- Debunching

Advanced manipulations

- Momentum slip stacking
- Barrier bucket

Integration in an RF system

- Beam loading
- RF voltage/power limitations
- Designing RF parameters

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Coordinates & notations

Single RF system, no intensity effects

- Frequently used conjugate variables are [1] $(\varphi, \Delta E/\omega_{\text{rev}})$

- Kick and drift equations

$$\dot{\varphi} = \frac{h\omega_{\text{rev}}^2\eta}{\beta_d^2 E_d} \left(\frac{\Delta E}{\omega_{\text{rev}}} \right) = h\omega_{\text{rev}}\eta\delta$$

$$\frac{d}{dt} \left(\frac{\Delta E}{\omega_{\text{rev}}} \right) = \frac{\beta_d^2 E_d}{\omega_{\text{rev}}} \dot{\delta} = \frac{eV}{2\pi} (\sin \varphi - \sin \varphi_d)$$

- Hamiltonian

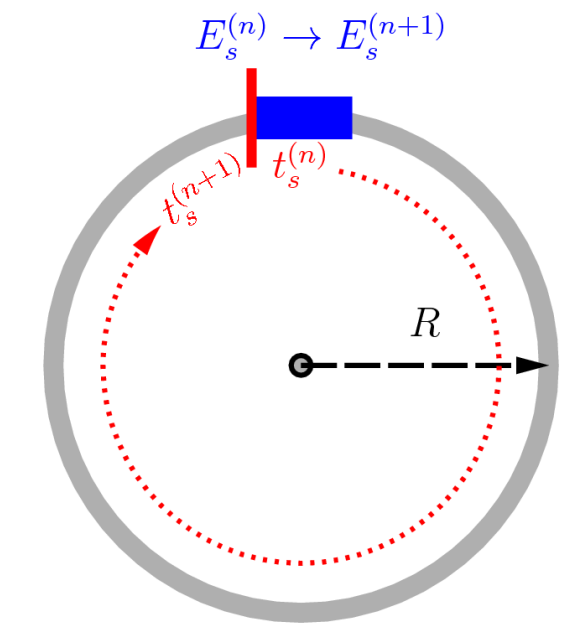
$$H \left(\varphi, \frac{\Delta E}{\omega_{\text{rev}}} \right) = \frac{1}{2} \frac{h\eta\omega_{\text{rev}}}{\beta_d^2 E_d} \left(\frac{\Delta E}{\omega_{\text{rev}}} \right)^2 + \frac{eV}{2\pi} \{ \cos \varphi - \cos \varphi_d + (\varphi - \varphi_d) \sin \varphi_d \}$$

- Subscript 'd' denotes the design particle

- Following the design energy and frequency ramp
- In simple cases, corresponds to the synchronous particle

β_d	relativistic beta from design momentum
$\Delta E = E - E_d$	relative particle energy
$\delta = \frac{\Delta p}{p_d} \simeq \frac{\Delta E}{\beta_d^2 E_d}$	relative momentum offset
$\eta = \eta(\delta) = \eta_0 + \eta_1\delta + \eta_2\delta^2 + \dots$	slippage factor
φ	RF voltage phase at particle arrival
φ_d	phase of design particle
ω_{rev}	angular revolution frequency
e	elementary charge
E	particle energy
E_d	energy of design particle
E	particle energy
h	harmonic number
V	RF voltage amplitude

Coordinates & notations



General kick and drift equations

- For tracking, more convenient conjugate variables are [2] (Δt , ΔE)

- Coordinate transformation: $\varphi = \omega_{\text{rf}} \Delta t + \varphi_{\text{rf}}$
- Kick equation (discrete)

$$\Delta E_{(n+1)} = \Delta E_{(n)} + \sum_{k=1}^{n_{\text{rf}}} qV_{k,(n)} \sin(\omega_{\text{rf},k,(n)} \Delta t_{(n)} + \varphi_{\text{rf},k,(n)}) - (E_{d,(n+1)} - E_{d,(n)}) + E_{\text{other},(n)}$$

Multiple RF systems
in one location

Change of design energy
(magnetic field)

All other effects on energy
(e.g. induced voltage)

- Drift equation (discrete)

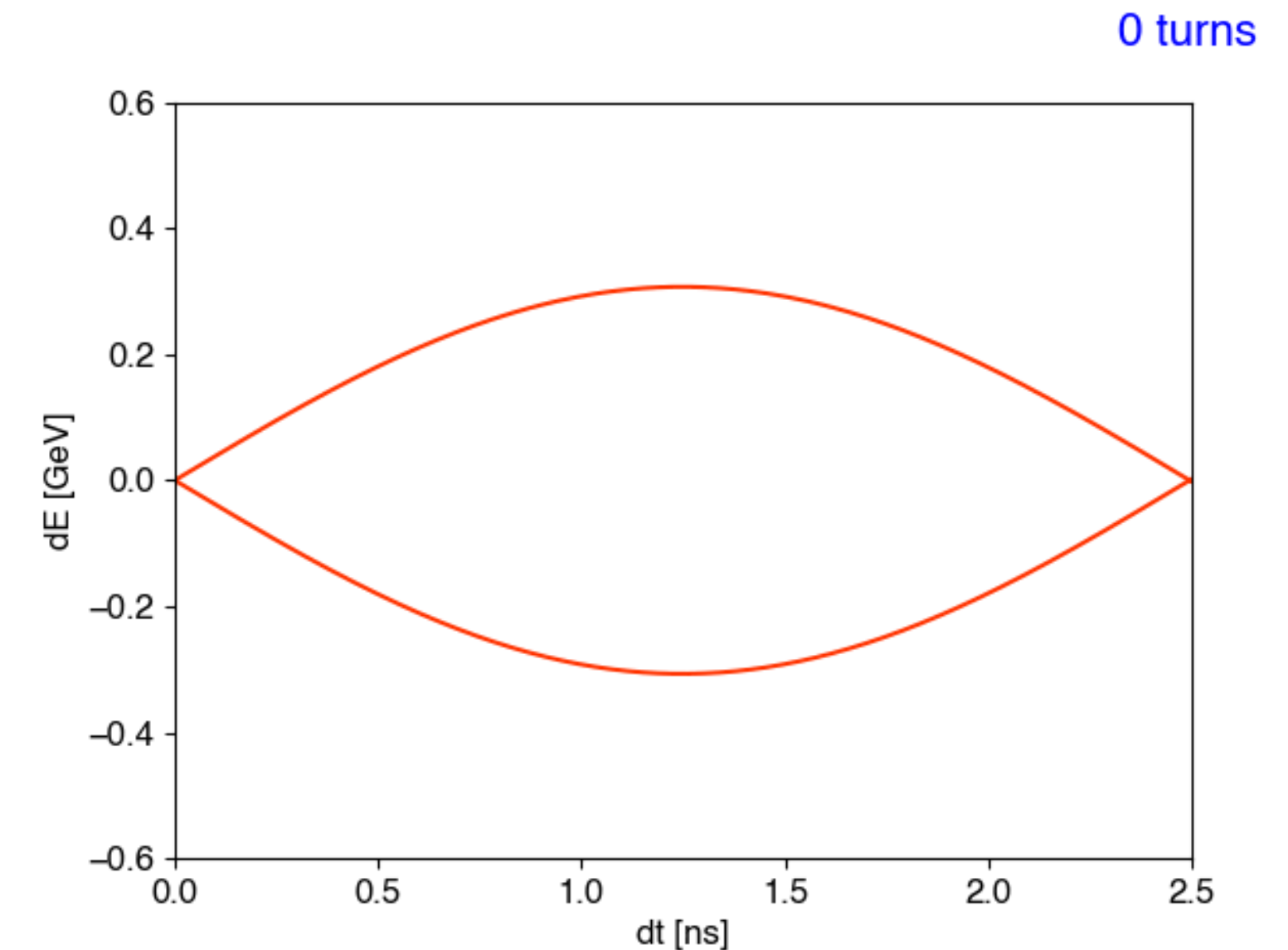
$$\Delta t_{(n+1)} = \Delta t_{(n)} + T_{\text{rev},(n+1)} \frac{\eta_{0,(n+1)} \Delta E_{(n+1)}}{\beta_{d,(n+1)}^2 E_{d,(n+1)}} + \mathcal{O}(\delta^2)$$

- Hamiltonian (continuous)

$$H(\Delta t, \Delta E) = \frac{\eta_0}{2\beta_d^2 E_d} (\Delta E)^2 + \sum_{k=0}^{n_{\text{rf}}-1} \frac{qV_k}{T_{\text{rev}} \omega_{\text{rf}}^k} (\cos(\omega_{\text{rf}}^k \Delta t + \varphi_{\text{rf}}^k) - \cos(\omega_{\text{rf}}^k \Delta t_d + \varphi_{\text{rf}}^k)) + \dot{E}_d (\Delta t - \Delta t_d) + \frac{E_{\text{other}}}{T_{\text{rev}}} (\Delta t - \Delta t_d).$$

$$\Delta t = t - t_d \quad \text{relative particle arrival time}$$

$$t_{d,(n)} \equiv \sum_{k=1}^n T_{\text{rev},(k)} \quad \text{design turn-by-turn arrival time}$$



Example: synchrotron motion of a single particle, single RF, no acceleration, no intensity effects



What happens to the synchronous phase when the energy is first constant, then increased linearly (everything else remains constant)?

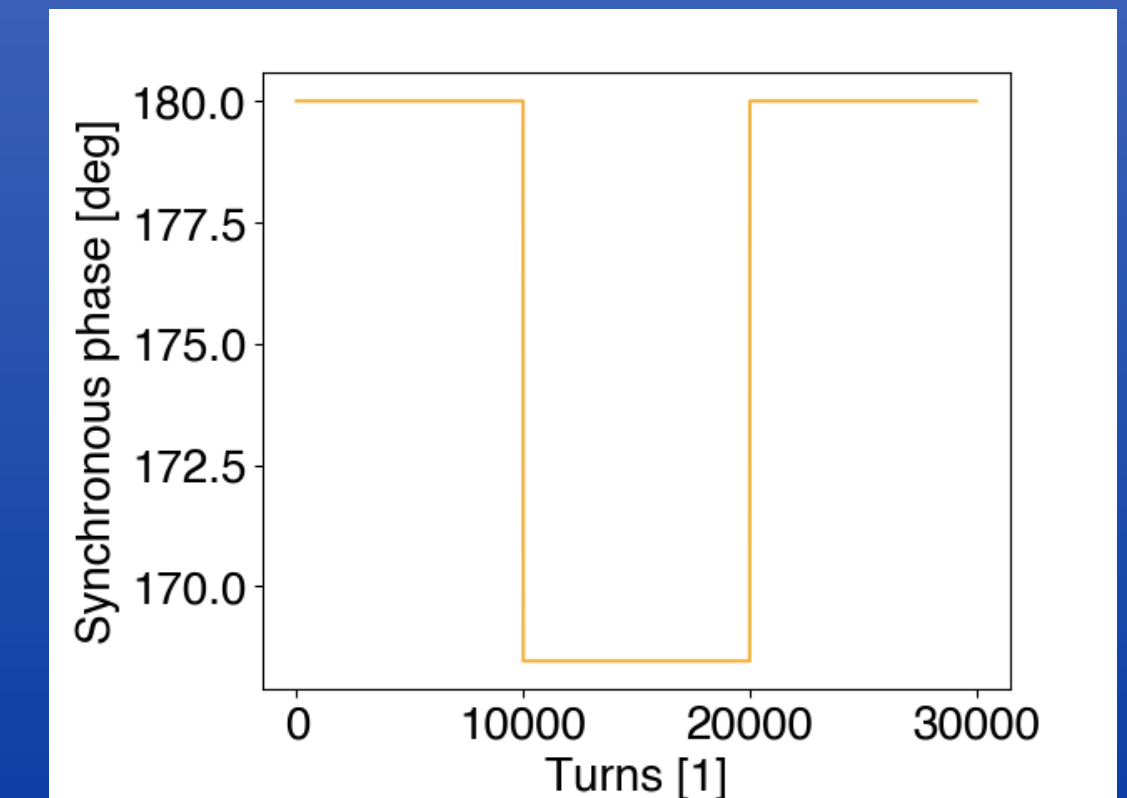
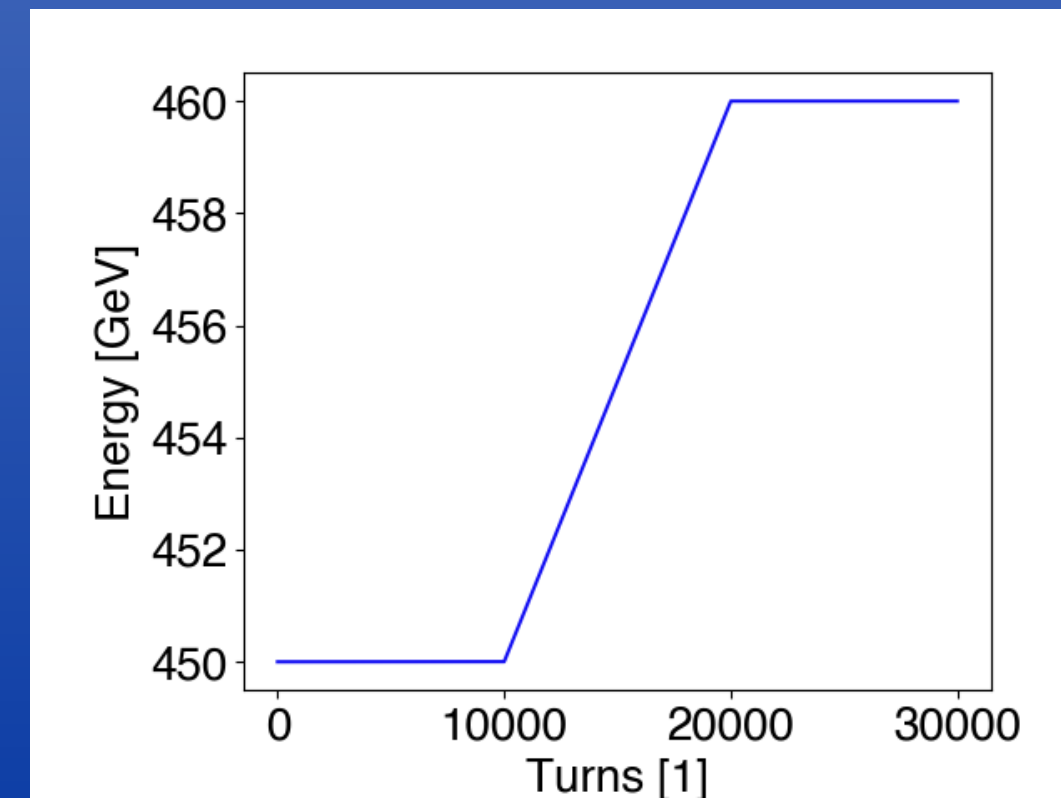


TIPS

For a single RF system, and in the absence of intensity effects, $\sin \varphi_d = \frac{\dot{E}_d T_{\text{rev}}}{qV}$

Solution

- There will be discontinuity in the synchronous phase



Beam observables

Beam profile

- Gaussian: $\lambda(\Delta t) = \lambda_0 e^{-\frac{\Delta t^2}{\sigma_t^2}}$
- Binomial: $\lambda(\Delta t) = \lambda_0 \left(1 - 4 \left(\frac{\Delta t}{\tau_{\text{full}}}\right)^2\right)^{\mu + \frac{1}{2}}$

Bunch length

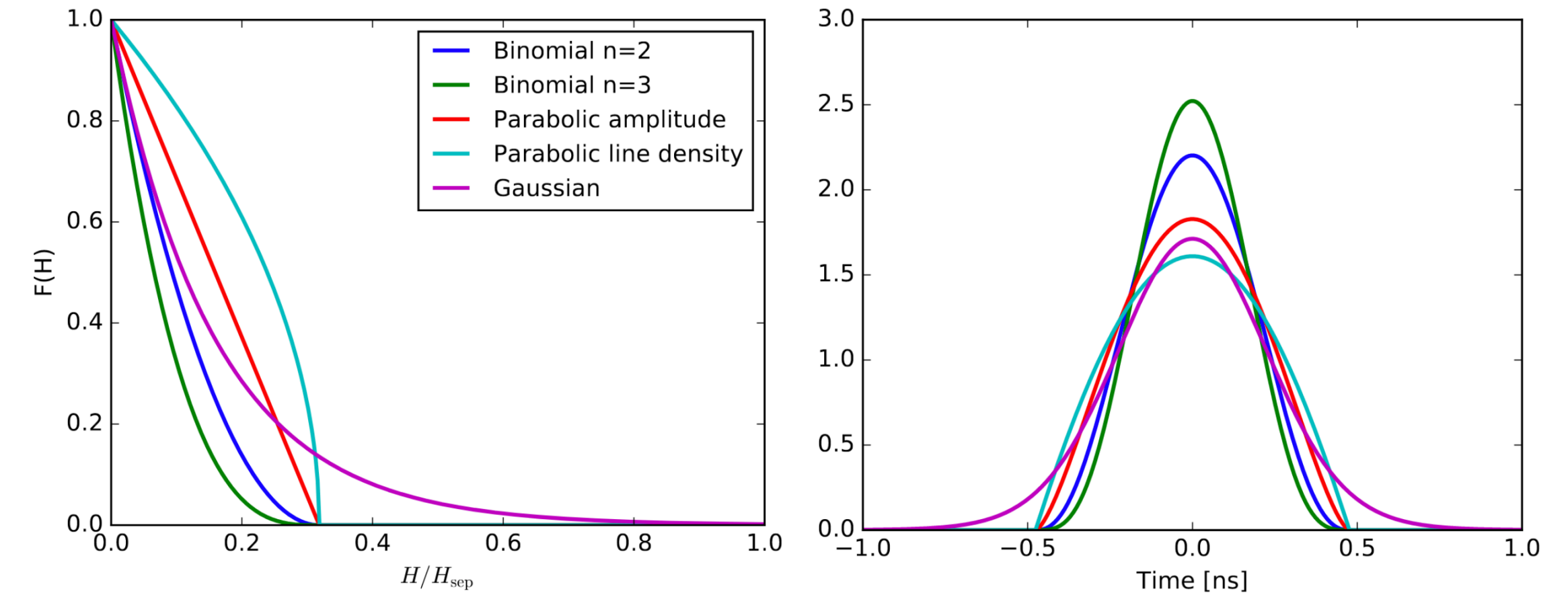
- Full bunch length τ_{full}
- R.m.s. bunch length σ_t
- Four-sigma equivalent FWHM bunch length:

$$\tau_{4\sigma} \equiv \frac{2}{\sqrt{2 \ln 2}} \tau_{\text{FWHM}}$$

Bunch emittance

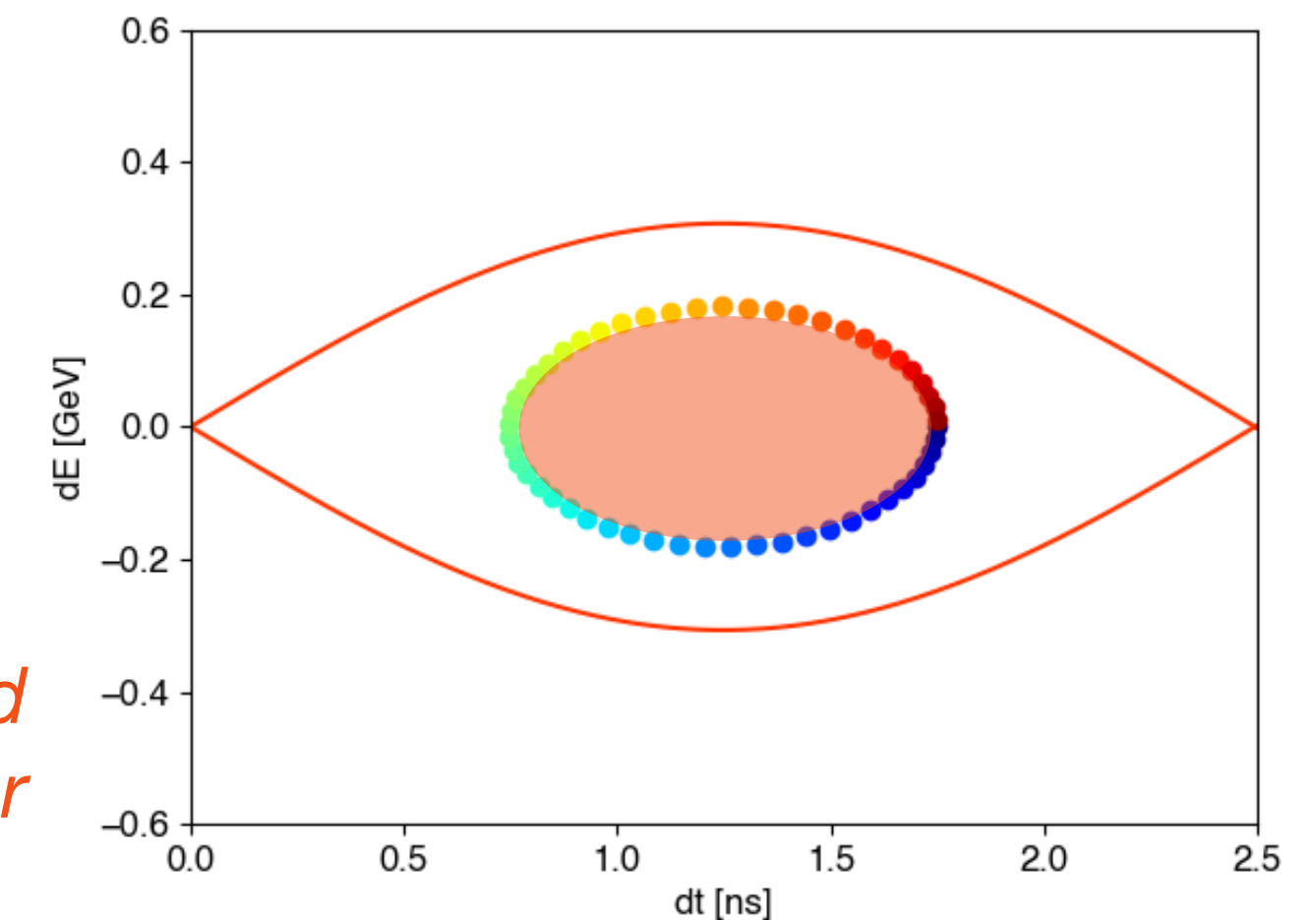
- The area enclosed by the phase-space trajectory at a given bunch length

$$\varepsilon \equiv \oint_{\Delta t = \tau/2} \Delta E(\Delta t) d(\Delta t)$$



Distribution functions (left) and line densities (right) from [3]

270 turns



Emittance determined by a given contour

Adiabatic change of RF voltage

How to do it?

- Slowly in(de)crease the voltage to de(in)crease the bunch length

$$\frac{\tau'}{\tau} = \sqrt[4]{\frac{V}{V'}}$$

- Drawback: already a small decrease requires a large voltage change

What is adiabatic?

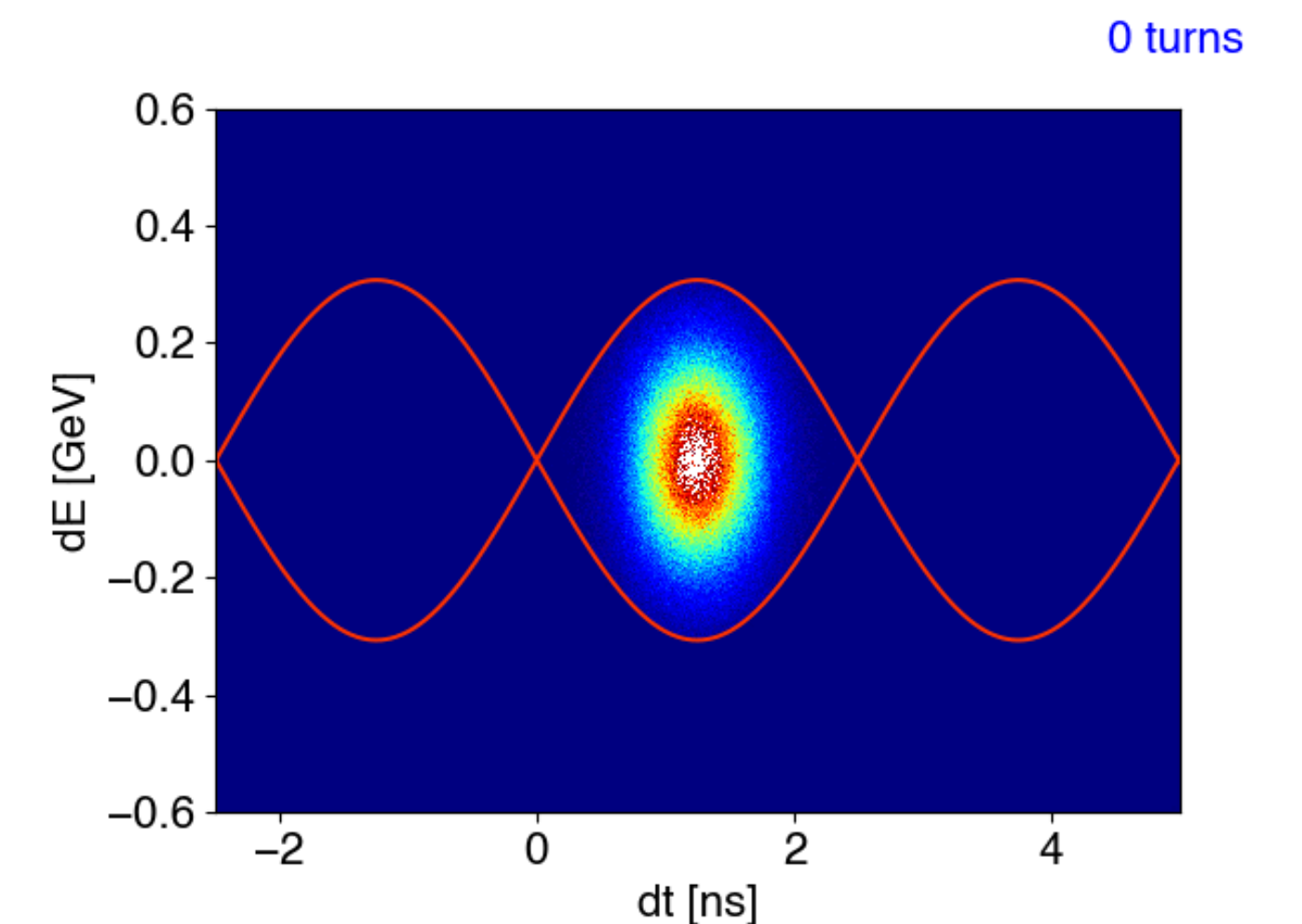
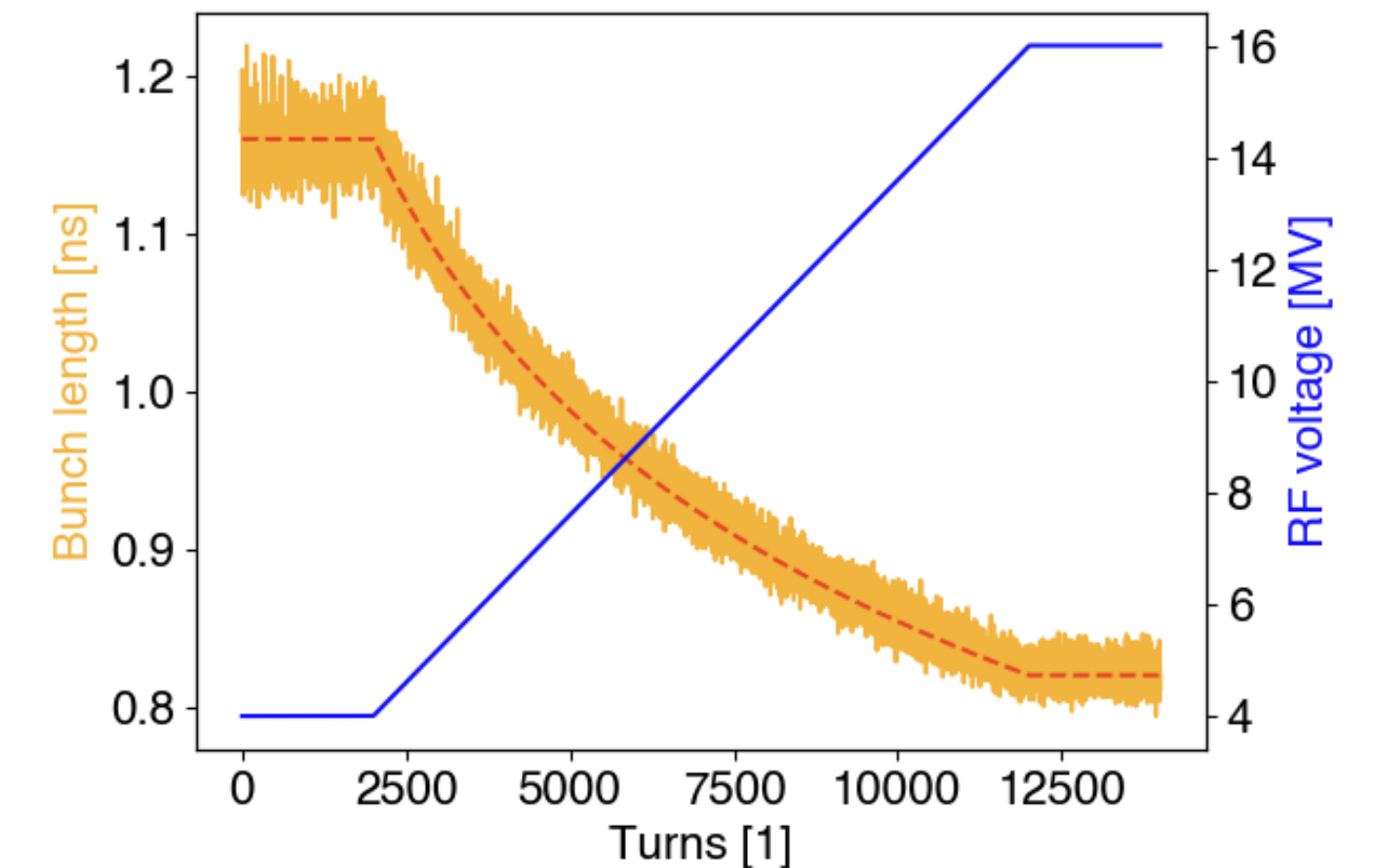
- Has to be slow w.r.t. synchrotron period, adiabaticity parameter:

$$\alpha \equiv \frac{1}{\omega_s^2} \left| \frac{d\omega_s}{dt} \right| \ll 1, \quad (\mathcal{O}(0.1)) \quad \omega_{s,0} = \omega_{\text{rev}} \sqrt{\frac{heV |\eta_0 \cos \varphi_d|}{2\pi\beta_d^2 E_d}}$$

- In our example, the change happens over 10'000 turns, while the synchrotron period decreases from 240 to 120 turns

When is it applied?

- Voltage increase: typically in the acceleration ramp, also for beam stability reasons
- Voltage decrease: e.g. to create continuous beam for fixed target experiments

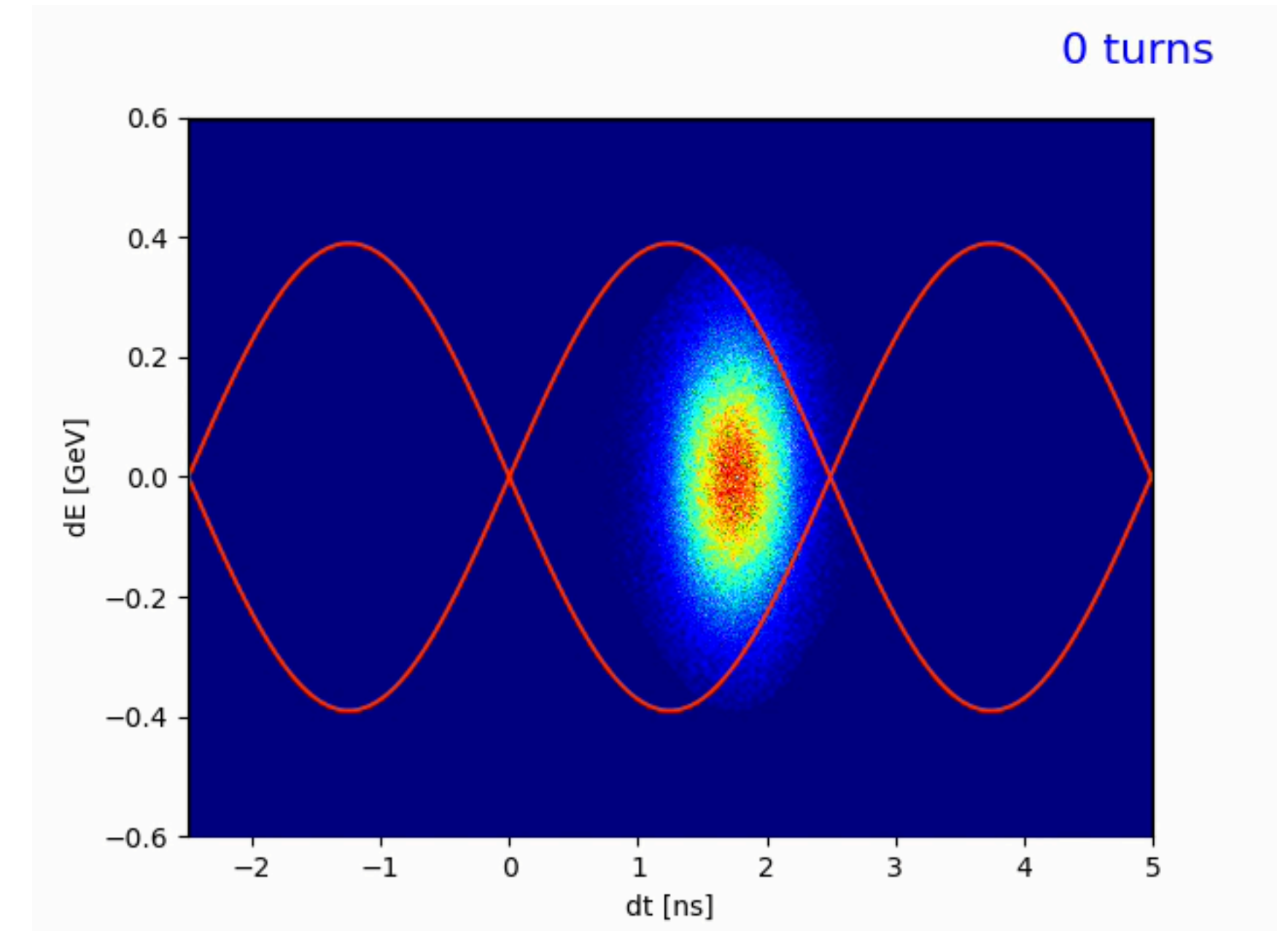


*Example: LHC flat bottom
4 MV → 16 MV results in 1.16 ns → 0.82 ns*

Injection errors

Phase and energy errors

- Usually undesired
 - Use beam phase and frequency loops to counteract it
- Causes beam losses and filamentation
- On rare occasions, can also be used for lengthening the bunch!



Filamentation after injection with phase error

Separatrix and bucket

Unstable fixed point and turning point

- Unstable fixed point (UFP): $(\pi - \varphi_d, 0)$
- Turning point: $(\varphi_u, 0)$
 - Determined by the equation

$$H(\pi - \varphi_d, 0) = H(\varphi_u, 0)$$

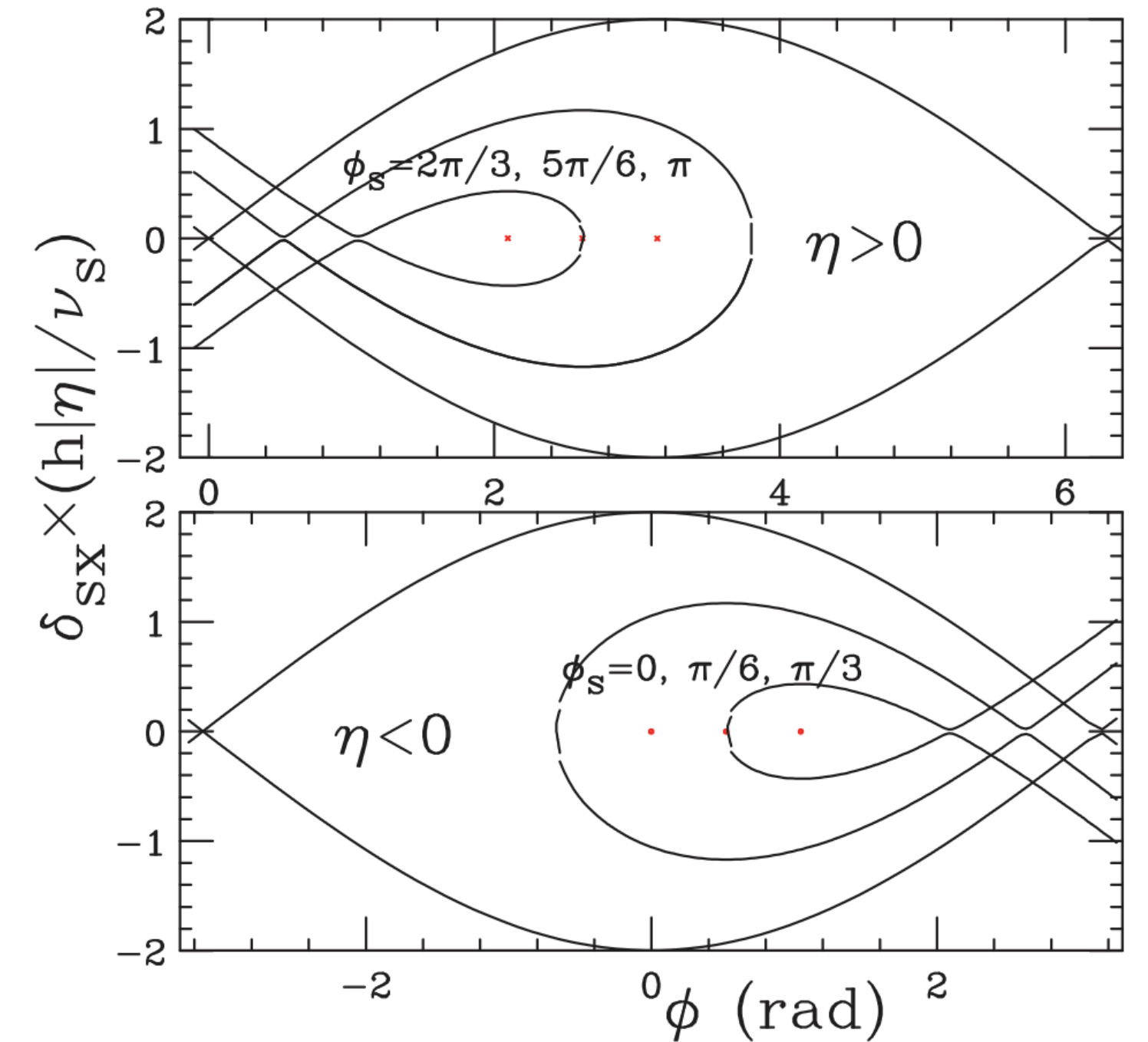
Separatrix

- Determined by the equation $H(\pi - \varphi_d, 0) = H(0, \Delta E_{\text{sep}})$
- No intensity effects:

$$\Delta E_{\text{sep}} = \pm \sqrt{\frac{2\beta_d^2 E_d}{\eta_0} \left\{ \sum_{k=0}^{n_{\text{rf}}-1} \frac{qV_k}{T_{\text{rev}}\omega_{\text{rf}}^k} \left[\cos(\omega_{\text{rf}}^k \Delta t_{\text{ufp}} + \varphi_{\text{rf}}^k) - \cos(\omega_{\text{rf}}^k \Delta t_{\text{sep}} + \varphi_{\text{rf}}^k) \right] + \dot{E}_d (\Delta t_{\text{ufp}} - \Delta t_{\text{sep}}) \right\}}$$

- Using $\varphi_{\text{ufp}} = \pi - \varphi_s$ and $\dot{E}_d = qV \sin \varphi_s / T_{\text{rev}}$

$$\Delta E_{\text{sep}} = \pm \sqrt{\frac{2\beta_d^2 E_d qV}{2\pi h \eta_0} \left\{ \cos(\pi - \varphi_d) - \cos \varphi + (\pi - \varphi_d - \varphi) \sin \varphi_d \right\}}$$



Separatrices for different stable phase values [1]

*Top: above transition energy
Bottom: below transition energy*

Adiabatic change of bucket area

Magnetic ramp

- To avoid beam losses, the bucket area should not decrease
- Make adiabatic changes, avoiding discontinuities in \dot{E}_d
 - Simplest model: parabolic - linear - parabolic energy ramp

- Bucket area in [eVs]:

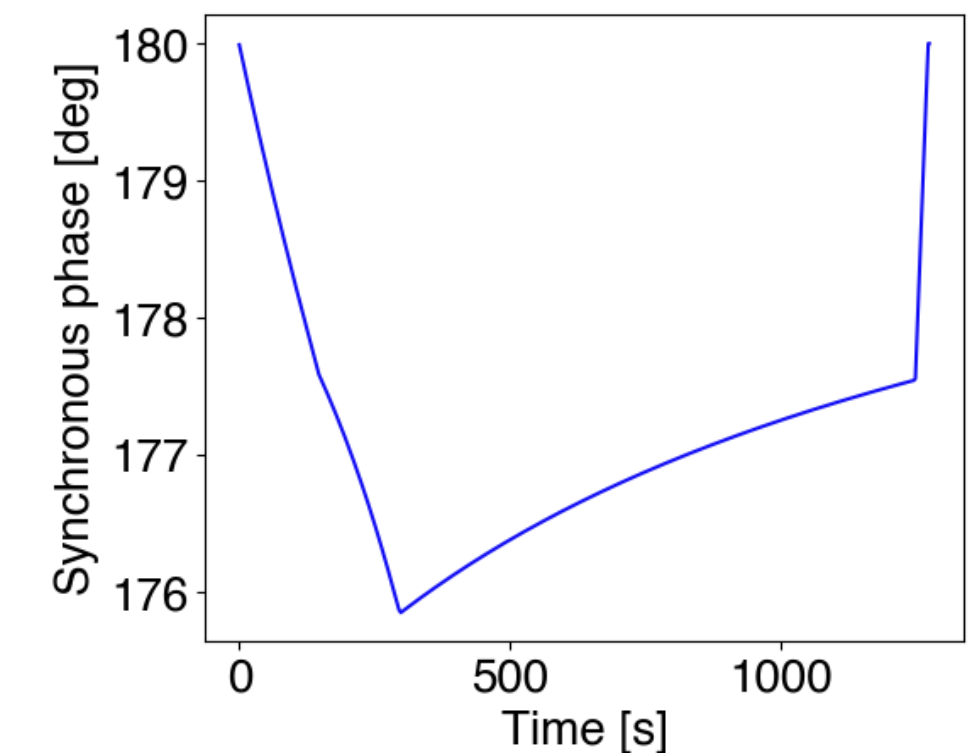
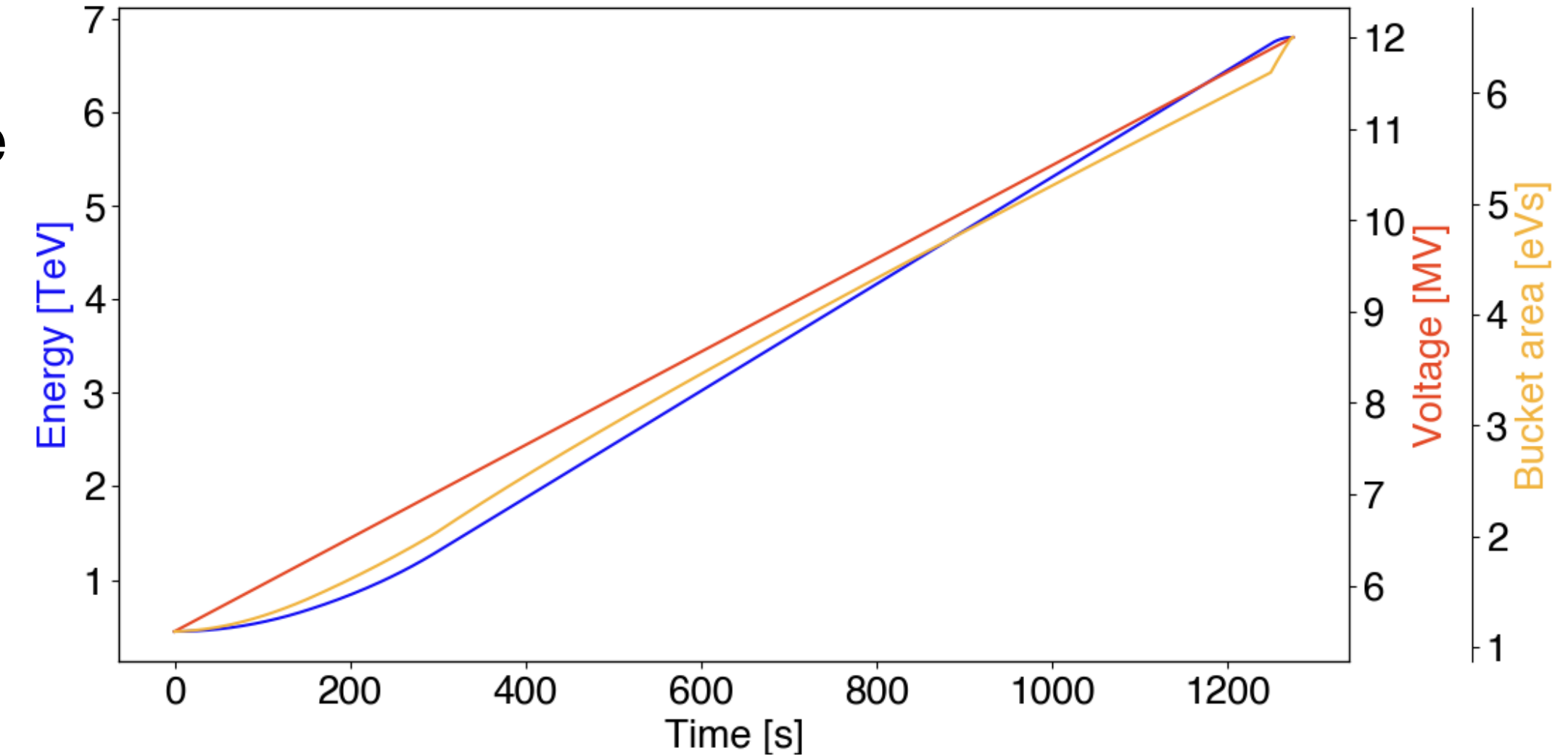
$$A_b = \oint d(\Delta t_{\text{sep}}) \Delta E_{\text{sep}}(\Delta t_{\text{sep}})$$

- Separatrix for single RF system, no intensity effects:

$$\Delta E_{\text{sep}}(\Delta t_{\text{sep}}) = \pm \sqrt{\frac{\beta_d^2 E_d e V_{\text{rf}}}{\pi h |\eta_0|} \left\{ -\cos(\omega_{\text{rf}} \Delta t_{\text{sep}} + \varphi_{\text{rf}}) - (\omega_{\text{rf}} \Delta t_{\text{sep}} + \varphi_{\text{rf}}) \sin \varphi_s - \cos \varphi_s + (\pi - \varphi_s) \sin \varphi_s \right\}}$$

- Bucket area in this case:

$$A_b = \frac{2}{\omega_{\text{rf}}} \sqrt{\frac{\beta_d^2 E_d e V_{\text{rf}}}{\pi h |\eta_0|}} \int_{\pi - \varphi_d}^{\varphi_u} d\varphi \sqrt{\cos(\pi - \varphi_d) - \cos \varphi + (\pi - \varphi_d) \sin \varphi_d - \varphi \sin \varphi_d}$$



Example: LHC parabolic-exponential-linear-parabolic ramp



What happens to the bucket area when the voltage is increased linearly (everything else remains constant)?



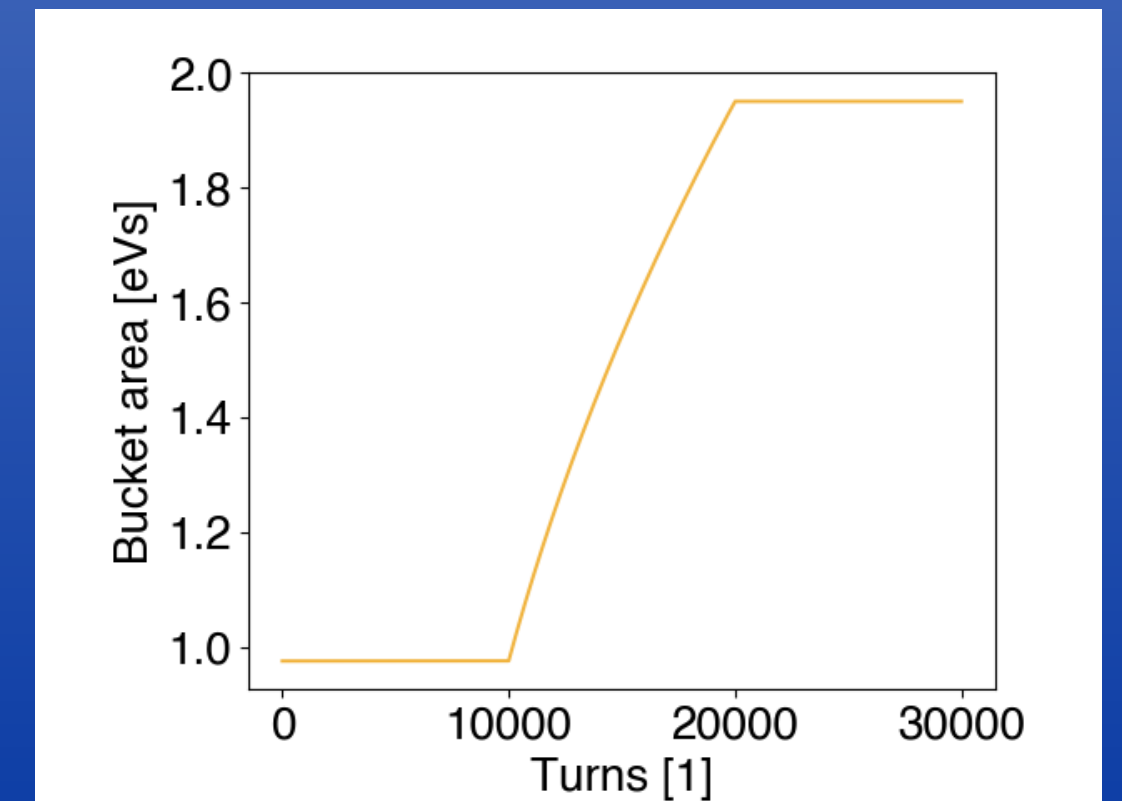
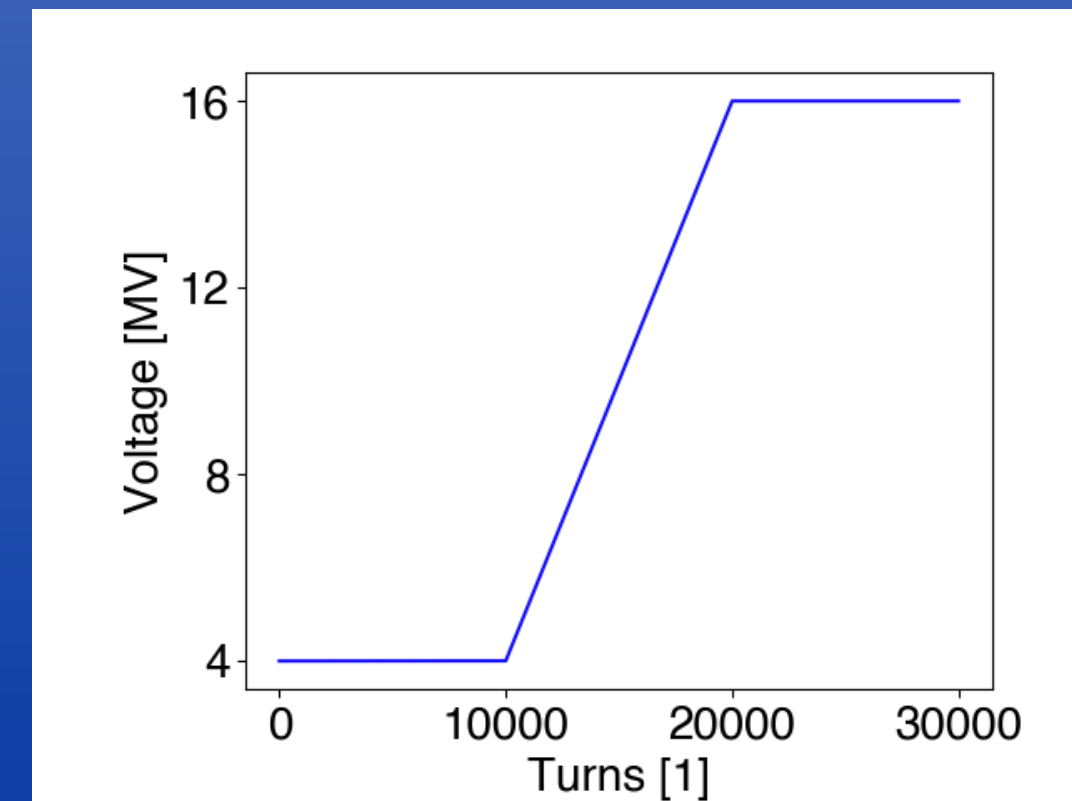
TIPS

For a single RF system, and in the absence of intensity effects, $A_b \propto \sqrt{V_{\text{rf}}}$

Solution

- Assuming phase-space coordinates $(\Delta t, \Delta E)$,

the bucket area is
$$A_b \approx \frac{16}{\omega_{\text{rf}}} \sqrt{\frac{\beta^2 E_d e V_{\text{rf}}}{2\pi h |\eta_0|}}$$



Bunch rotation

What is it?

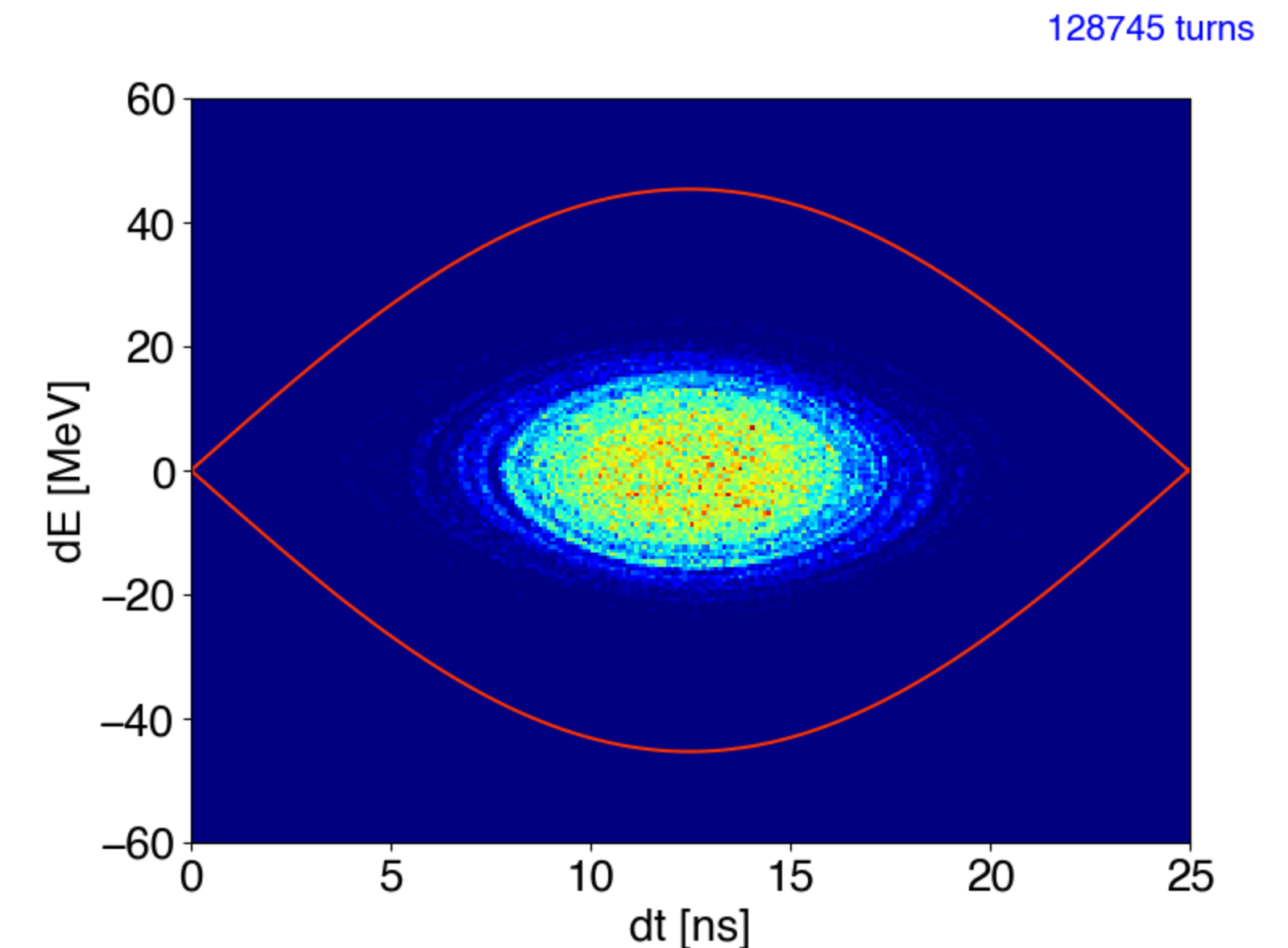
- Bunch rotation is an “exchange” of the longitudinal conjugate variables Δt and ΔE

How is it done?

- Non-adiabatic, large increase in RF voltage, then extract or recapture the beam
- Exchange of energy spread and bunch length happens for the bunch core after $1/4T_s$
 - The larger the tails, the more distortion there is in the bunch halo
 - The optimum extraction time depends on the initial bunch length

Why use it?

- To significantly shorten/lengthen the bunch length/energy spread



Example: PS rotation in double-harmonic RF, before extraction to the SPS

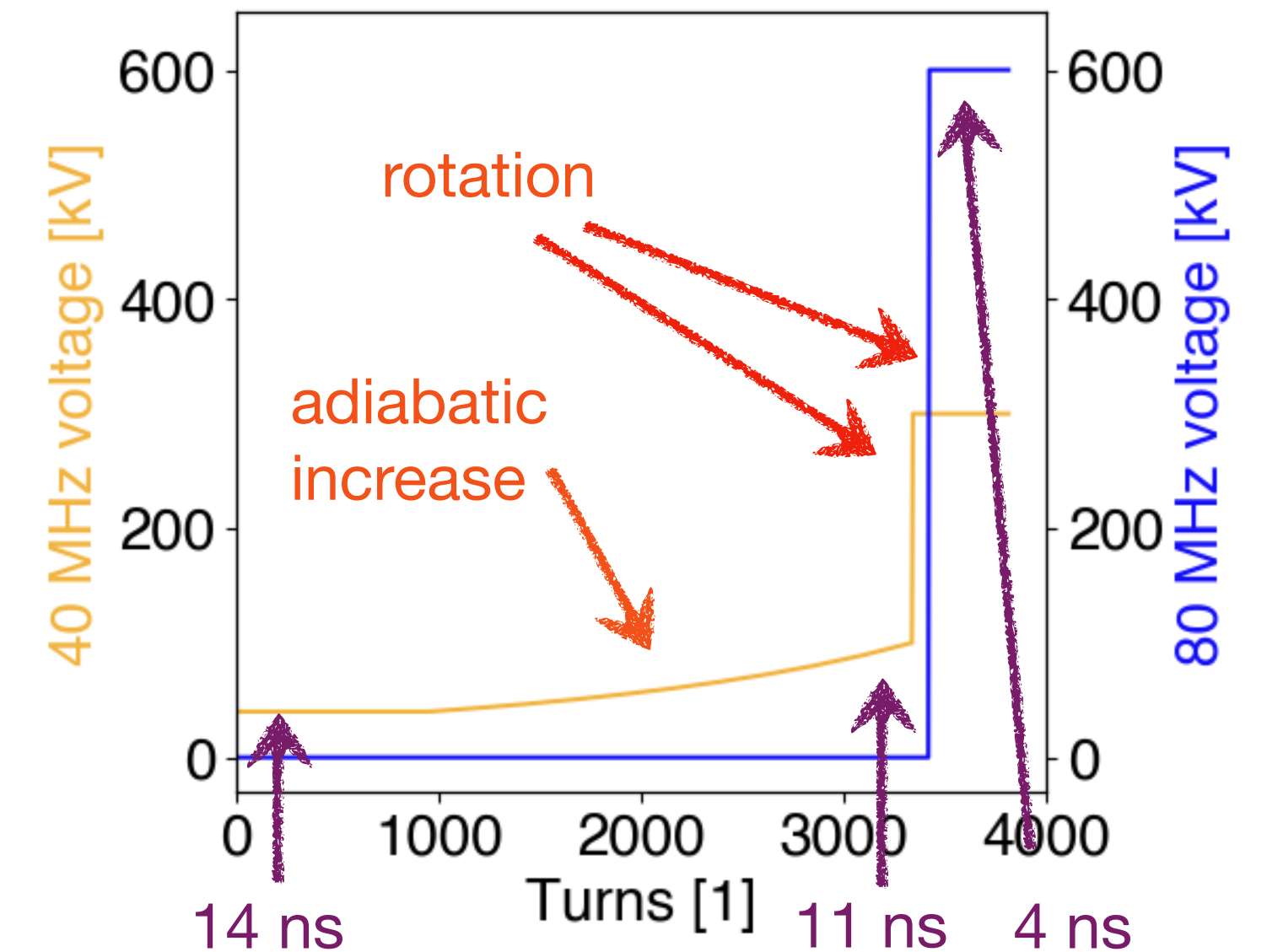
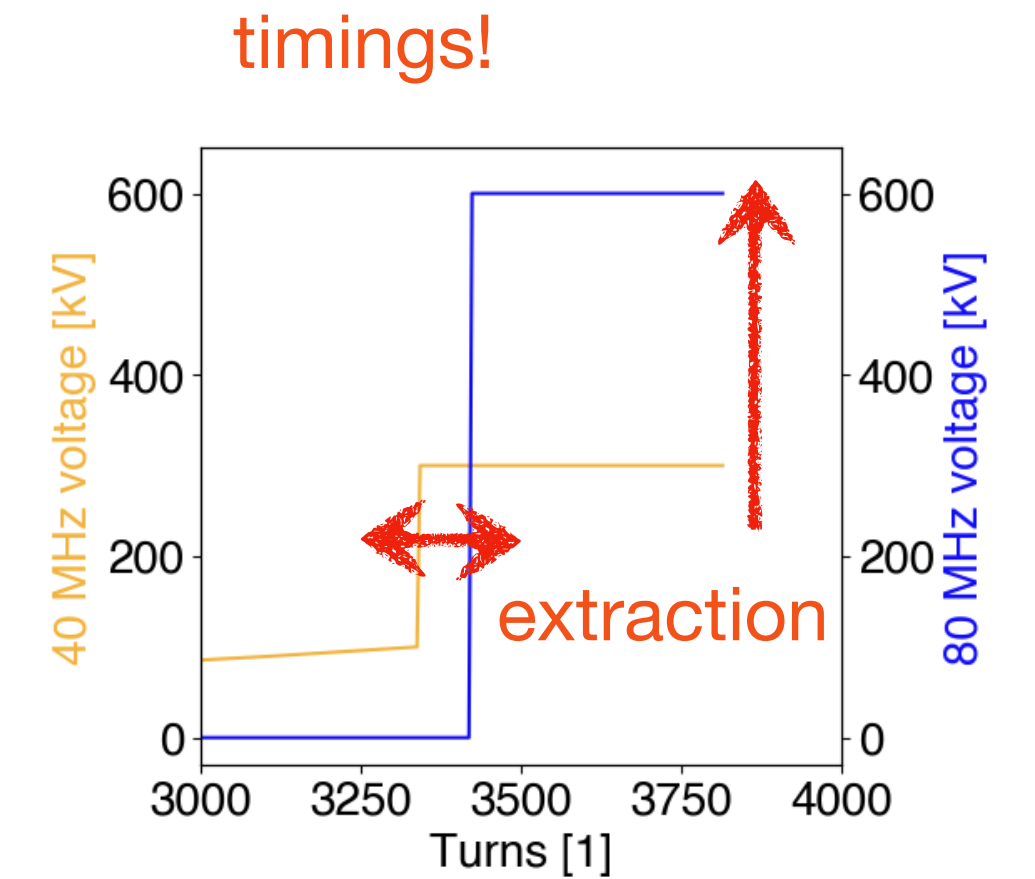
Bunch rotation

Where is it applied?

- The LHC-type proton beam is rotated in the PS before extraction to the SPS
 - To fit the long PS bunches (~4 ns after rotation) into the short (5 ns) SPS bucket
 - Thus, to limit the capture losses upon PS-to-SPS bunch-to-bucket transfer
 - Fine-tuning of timings requires modelling with intensity effects

What does it require from the hardware?

- Capability to significantly increase the voltage within a few machine turns
- Capability to time extraction/recapture within a few machine turns
- Large peak voltage and power during $1/4T_s$
 - In the PS, the 80 MHz cavities cannot capture the beam, but can rotate it



Example: PS rotation in double-harmonic RF, before extraction to the SPS

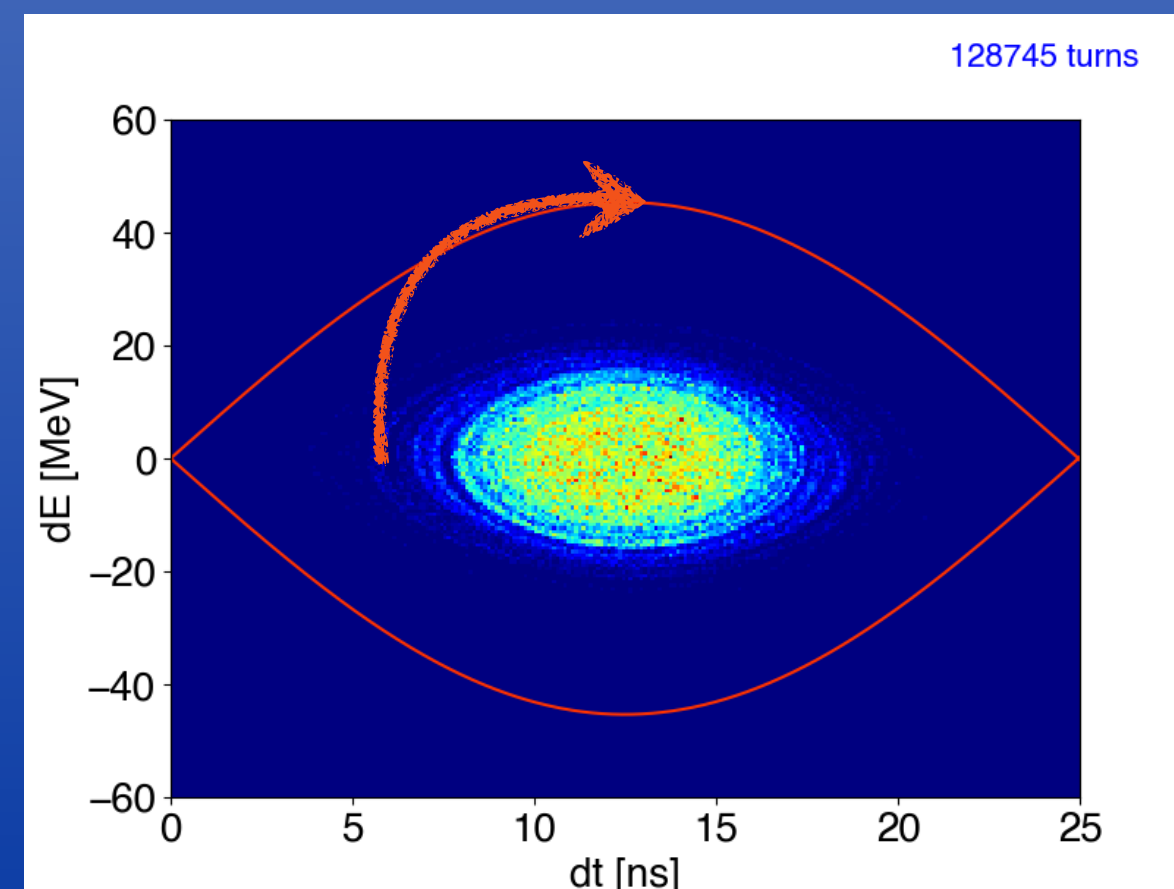


Can you imagine any other way to achieve bunch rotation?



TIPS

You want to move the particles with a large longitudinal coordinate close to the separatrix in energy



Phase jump

How is it done?

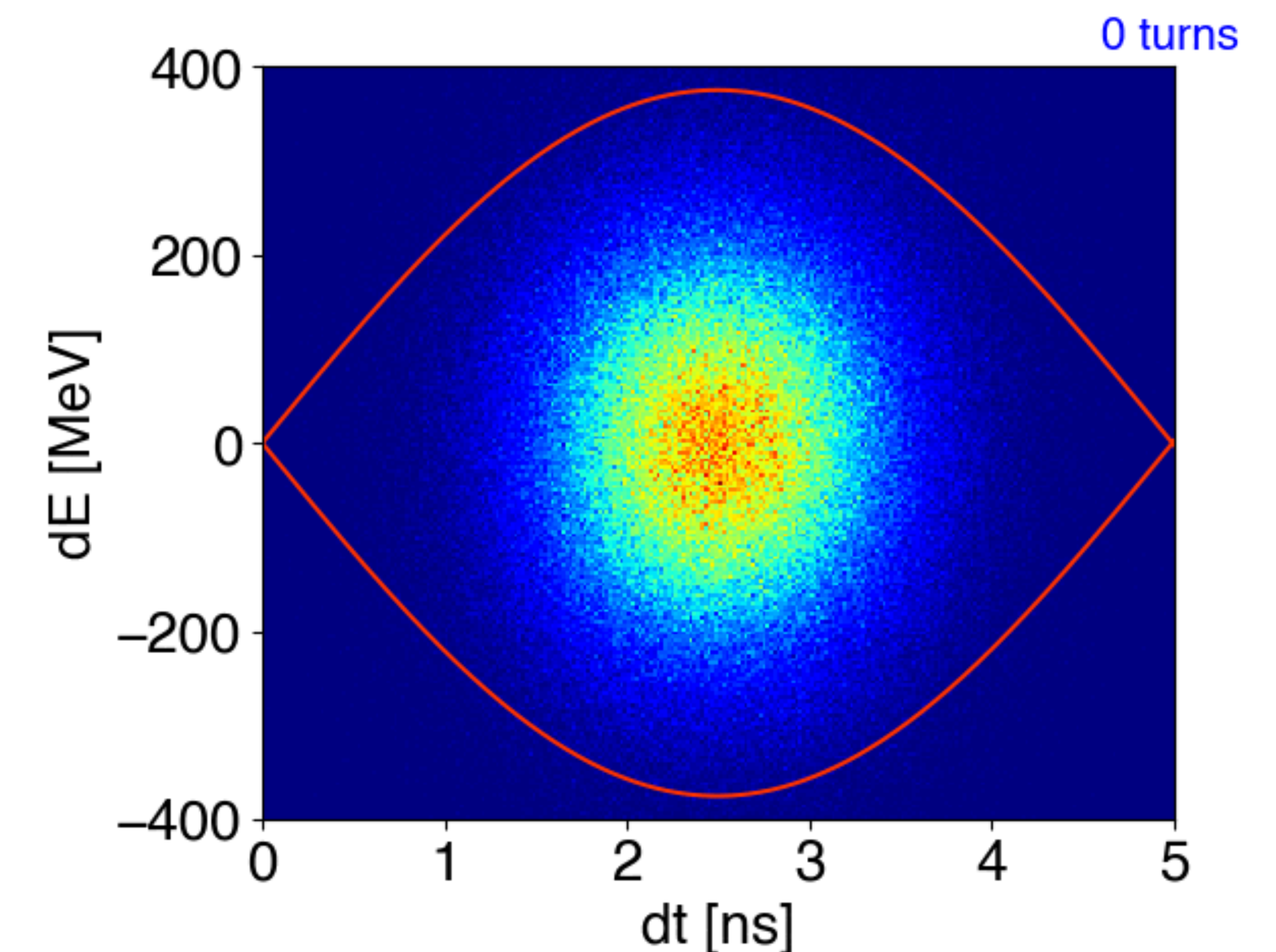
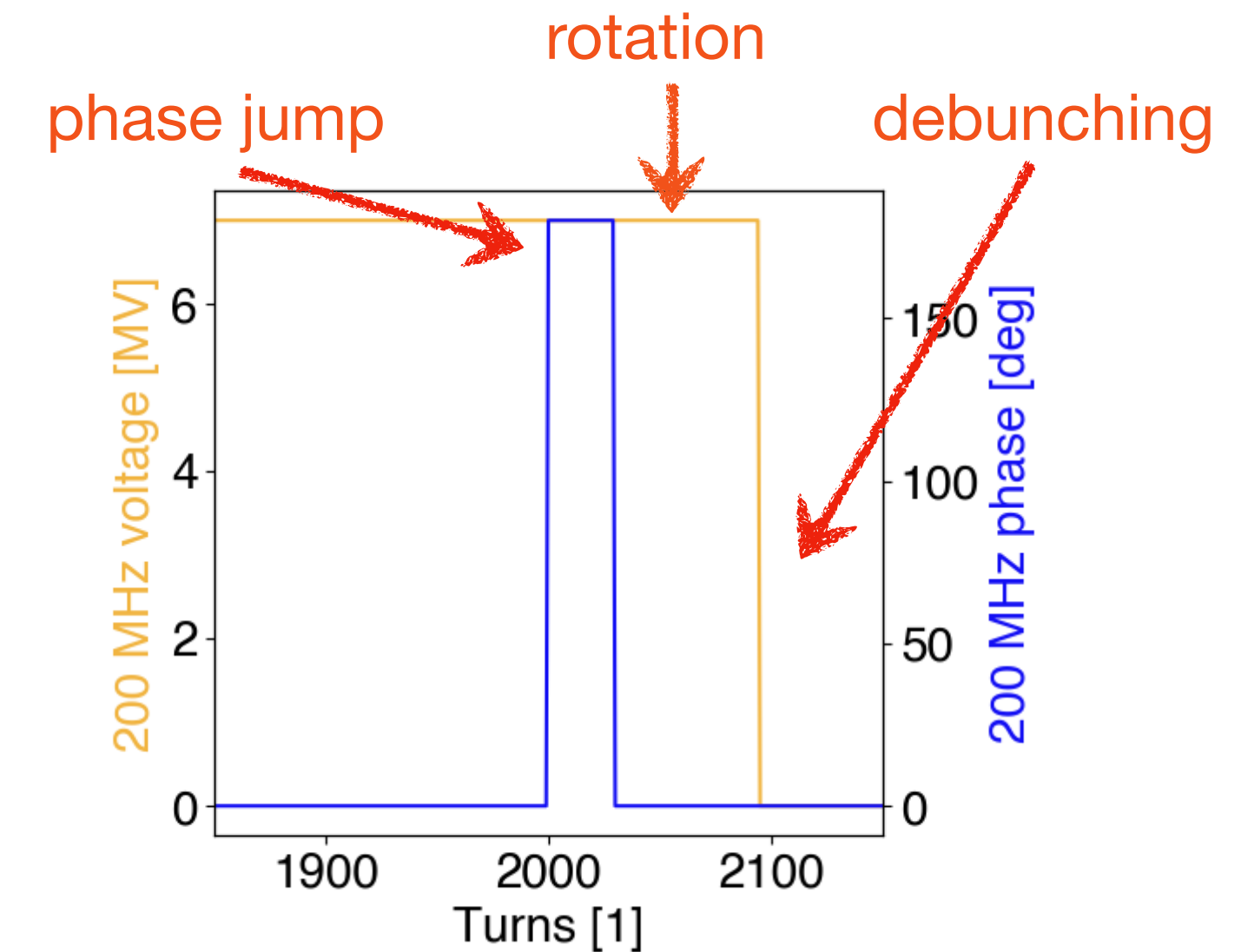
- Jump by 180° to unstable phase and stay for a few turns
 - Duration determines how much the bunch is stretched
- Jump back to stable phase and recapture
 - Need to have sufficient voltage compared to the amount of stretch
 - Let the bunch rotate till momentum spread is maximum $> 1/4T_s$
- Extract or switch RF off for debunching

Where is it used?

- For SPS slow extraction, to create a coasting beam with large dp/p
 - This is then extracted slowly by slices of dp/p

Pros and cons

- Does not require large peak power
- Rotation is $> 1/4T_s$ and increases halo distortion in the distribution



Example: SPS phase jump on flattop for the slow extraction of fixed-target beams

Bunch splitting

What is it?

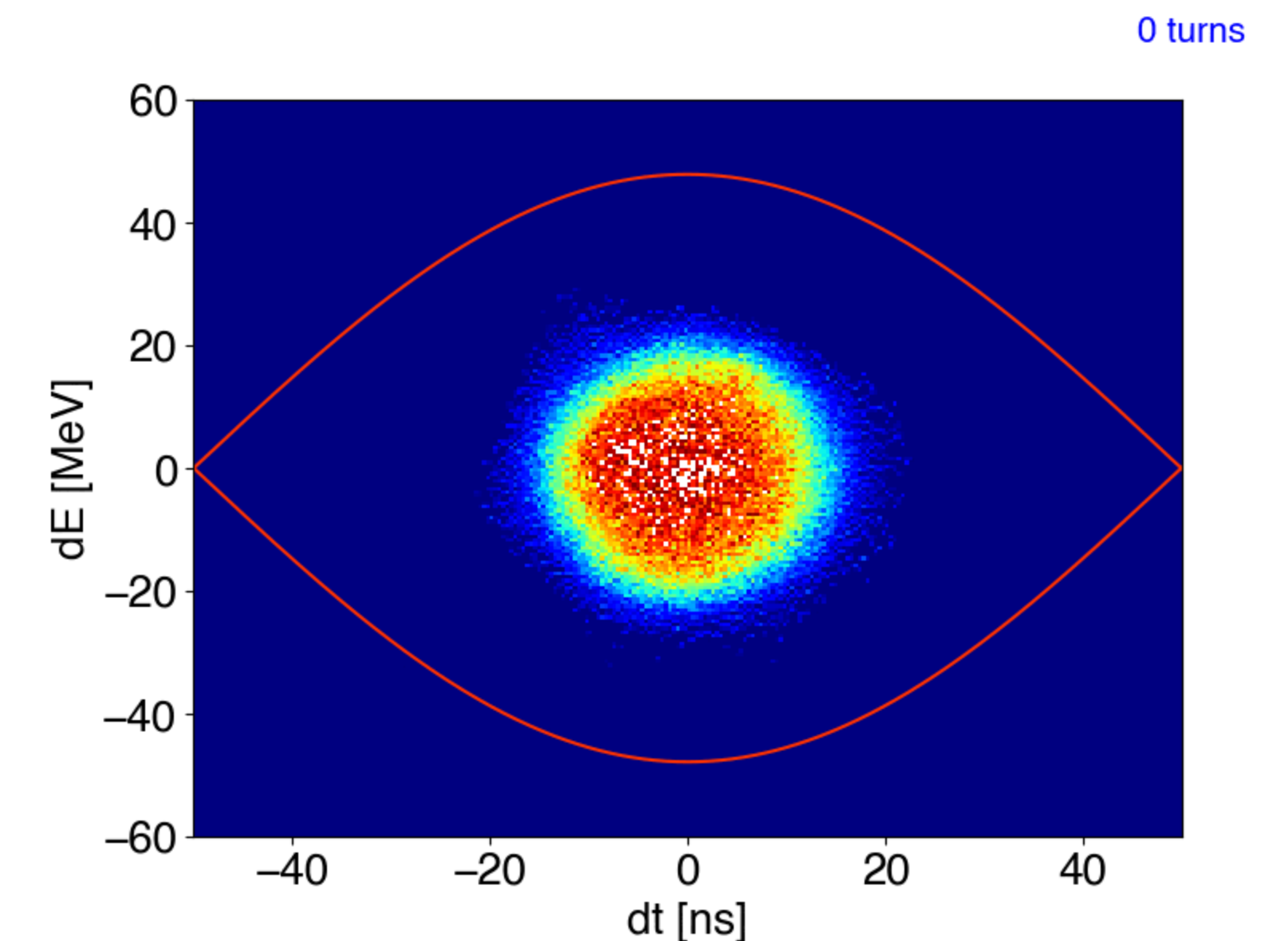
- Split one bunch into two or more bunches
 - Double splitting: 1 → 2 bunches, triple splitting: 1 → 3 bunches, etc.

How is it done?

- Have the bunch fully captured in one RF system
 - For better splitting efficiency, lower the voltage to lengthen the bunch
- Adiabatically increase the voltage of a higher-harmonic system
 - Use the second harmonic for double splitting
- Once split, adiabatically decrease the voltage of the lower-harmonic system

Why use it?

- To increase the number of bunches
- To divide the longitudinal emittance
 - In principle, preserving the total emittance and phase-space distribution



Example: two double splittings at PS flattop

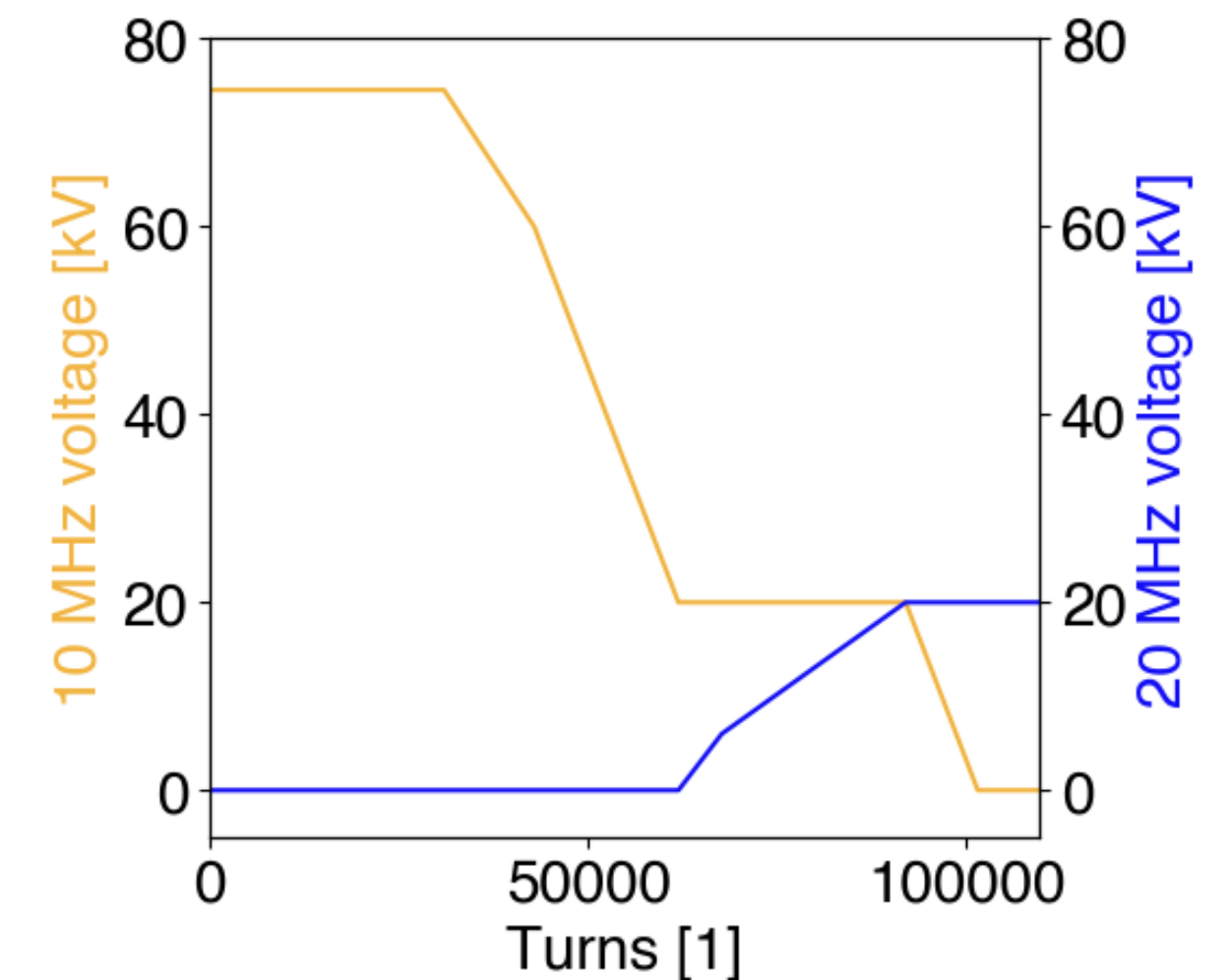
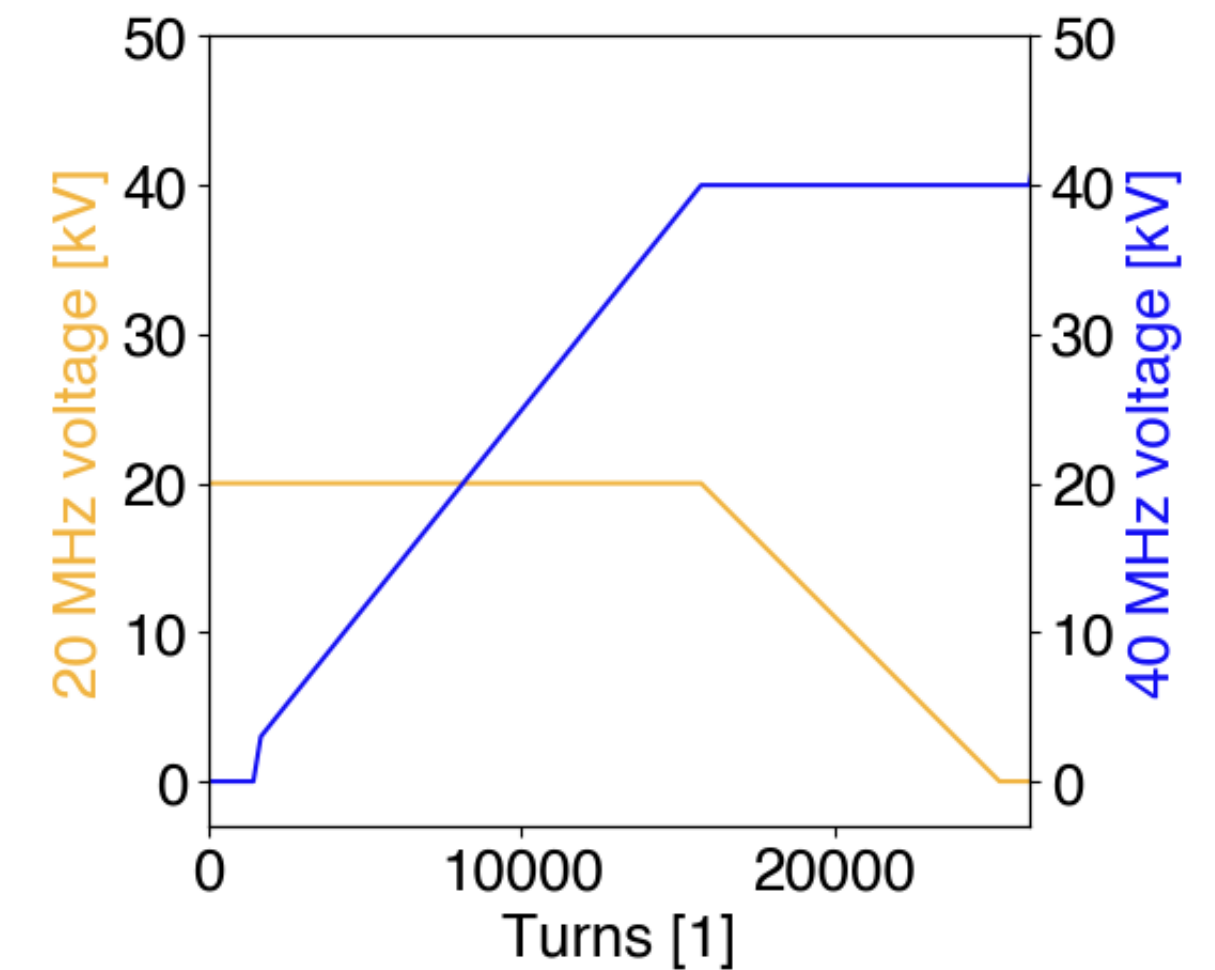
Bunch splitting

Where is it applied?

- In the PS at flattop, the LHC-type beam is double split twice
 - From a 10 MHz RF system, it is transferred to a 40 MHz RF system
 - Creating the '25 ns beam' for the SPS and LHC

What does it require from the hardware?

- Sufficient voltage and power in the higher-harmonic RF system to fully capture the beam without the lower-harmonic system
- Adiabatic voltage changes
- Good control of the relative RF phase between the two systems
 - Stable RF phase for both systems
 - Second RF in bunch lengthening mode, i.e. in phase



Example: two double splittings at PS flattop



How to split one bunch into three?



TIPS

You want to divide the bucket length into three equal pieces

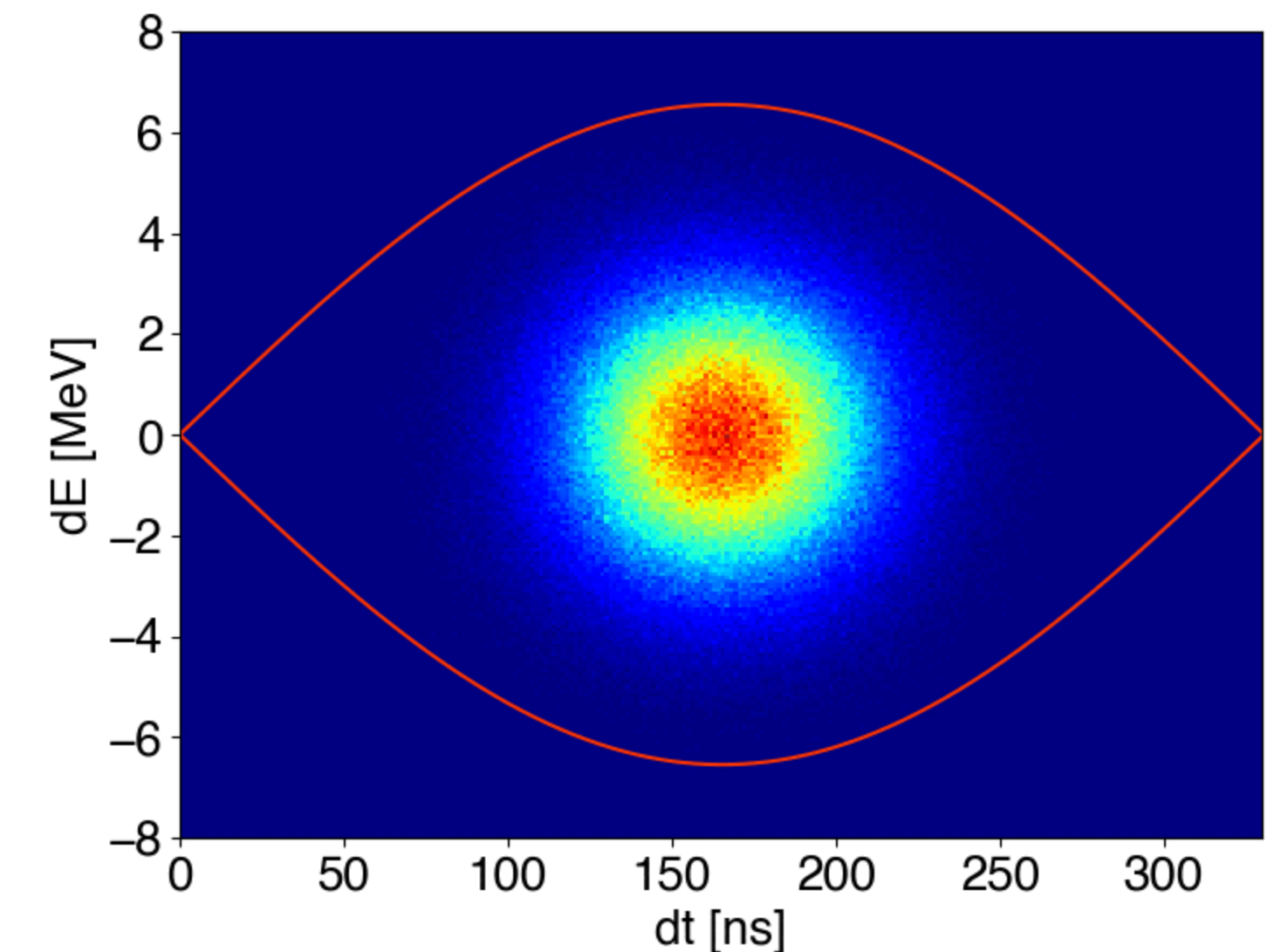
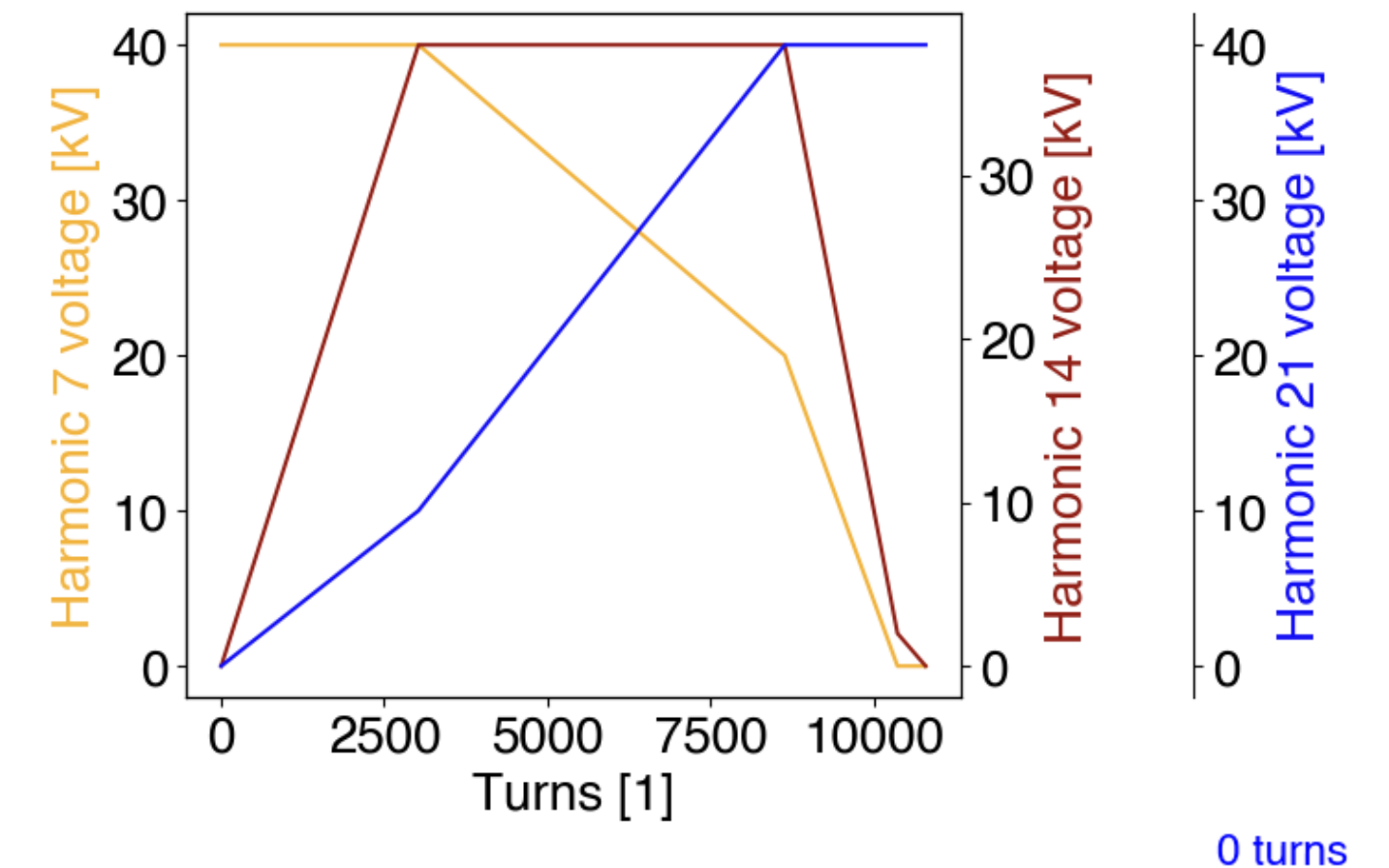
Triple splitting

Where is it applied?

- In the PS at flat bottom, the LHC-type beam is triple split
 - First one batch of 4 bunches, then one batch of 2 bunches is injected on $h = 7$
 - After the splitting, 18 bunches are obtained on $h = 21$

How is it implemented?

- Requires the presence of double and triple harmonic RF systems
 - In the PS, all is done on the broad-band 2.8-10 MHz cavities
 - These are grouped into 3 different groups and controlled at $h = 7$, $h = 14$, $h = 21$; all groups in phase
 - After the splitting, all cavities are tuned on $h = 21$ to accelerate the beam with the full RF voltage
- Requires the fine-adjustment of the timings and voltages to get three equal distributions



Example: triple splitting at PS flat bottom

Bunch merging

What is it?

- The inverse process of bunch splitting; RF cavities in phase

Why use it?

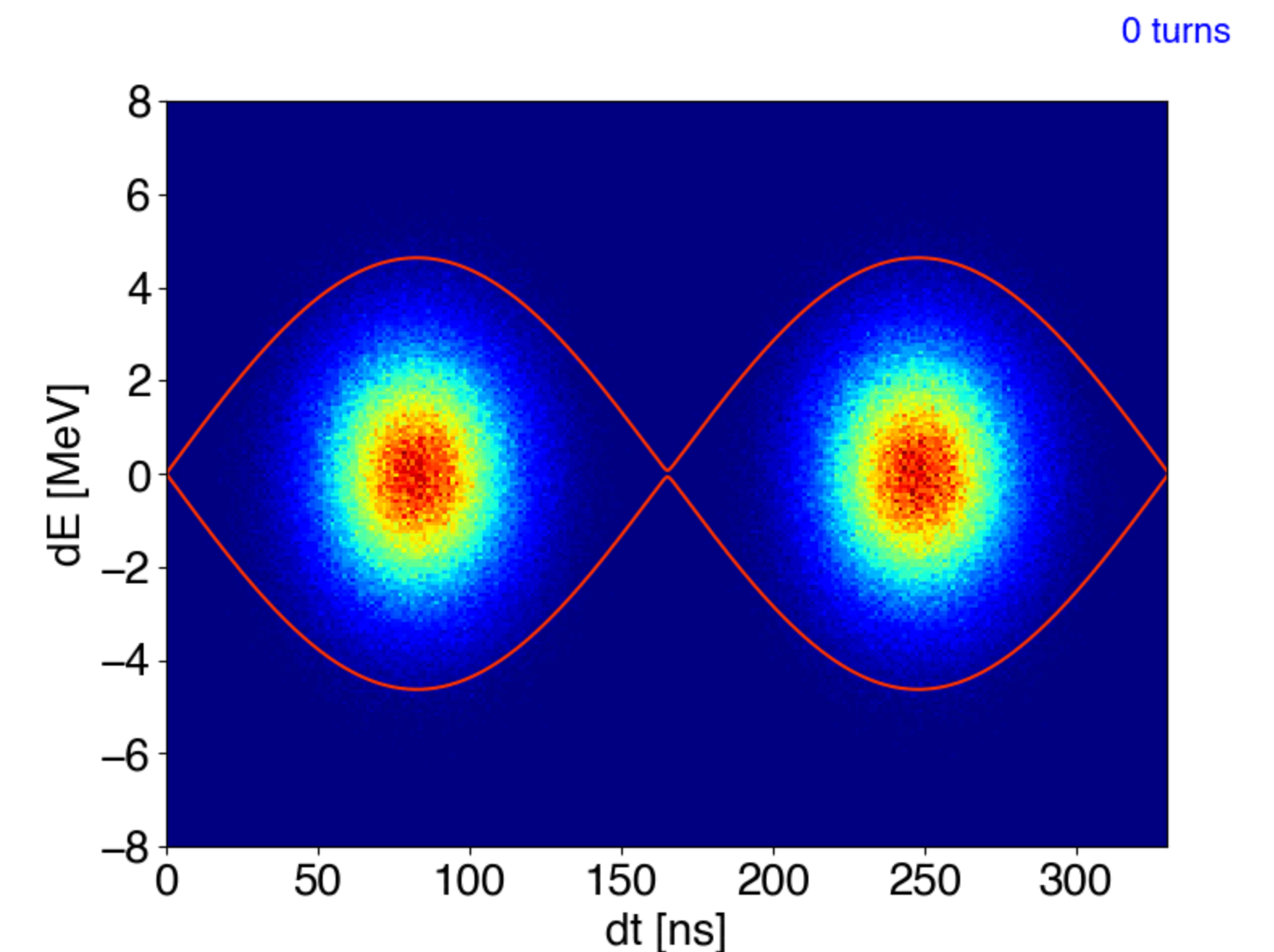
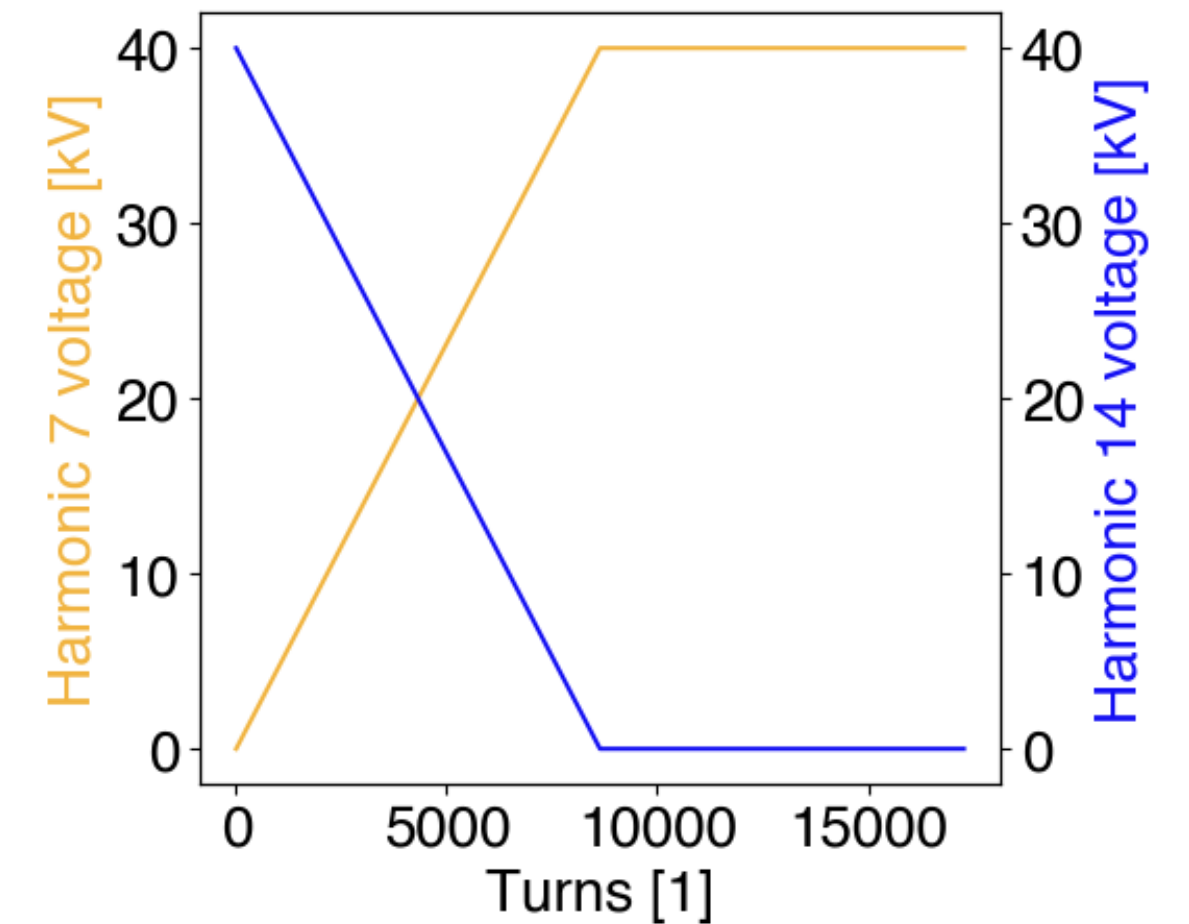
- To add up the bunch intensities into one bunch
 - As the transverse emittance is not affected, the beam brightness increases

What does it require?

- Bunches with equal longitudinal emittance in neighbouring buckets
- Good control of the relative RF phase between the two systems

Where is it applied?

- In the PS for the production of the high-brightness LHC-type beam called 'BCMS' = batch compression, merging, and splitting
 - We'll come back to it



Example: triple splitting at PS flat bottom

Batch compression

What is it?

- Batch compression is continuous, adiabatic reduction of the bunch spacing

How is it done?

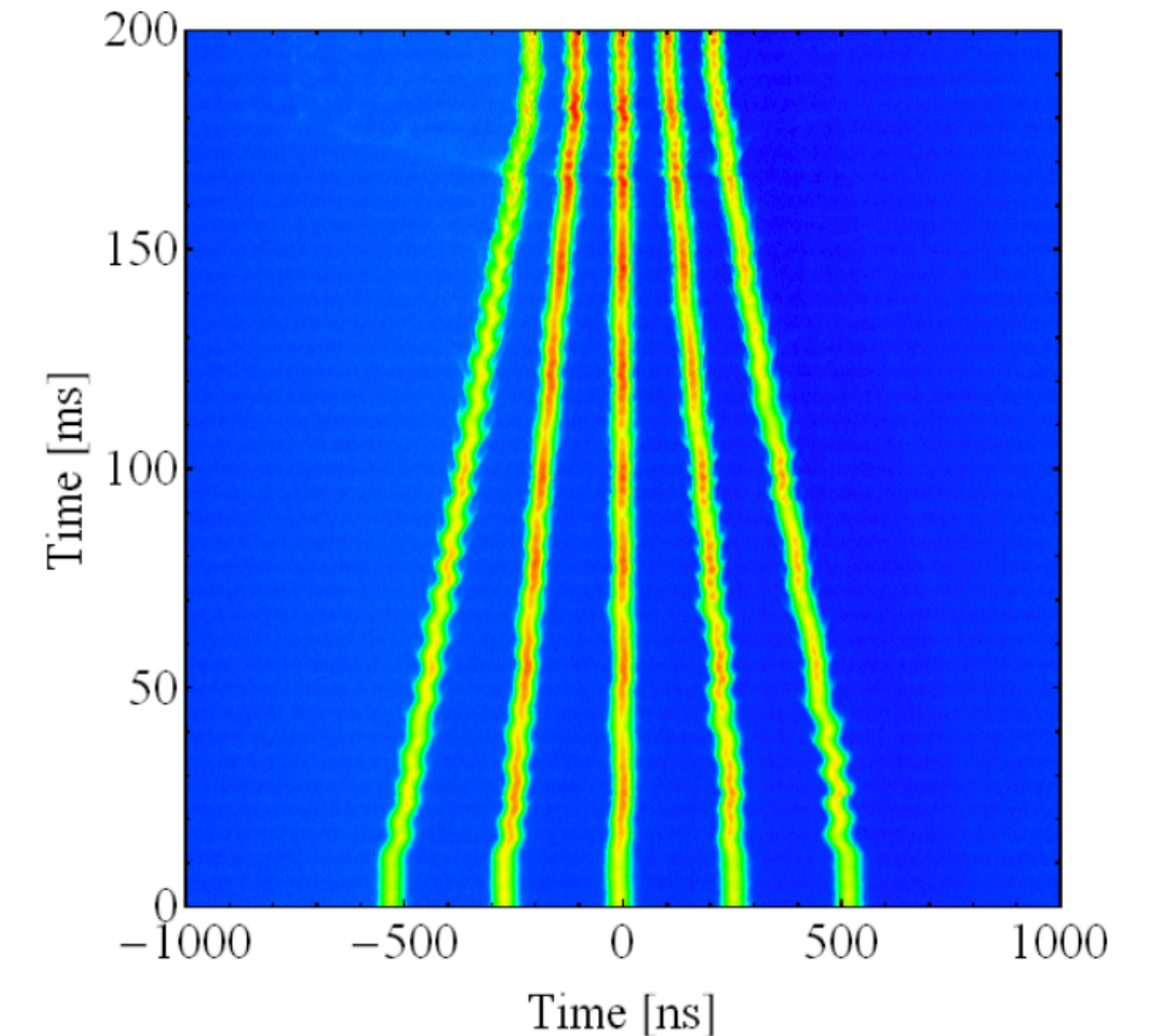
- Step-by-step RF frequency change corresponding to a harmonic change

$$\omega_{\text{rf}} = h\omega_{\text{rev}}$$

- A continuous change in RF frequency would result in a phase slippage and therefore in a bucket slippage
 - Instead, the RF voltages of nearby harmonics are ramped up/down

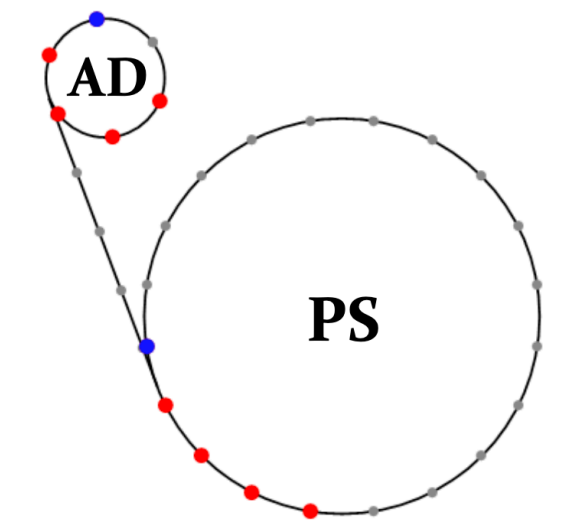
Why use it?

- To bring adjacent bunches closer to each other
 - E.g. in order to match the spacing required in the downstream machine
 - E.g. in order to merge the bunches



PS batch compression from [8]

Batch compression

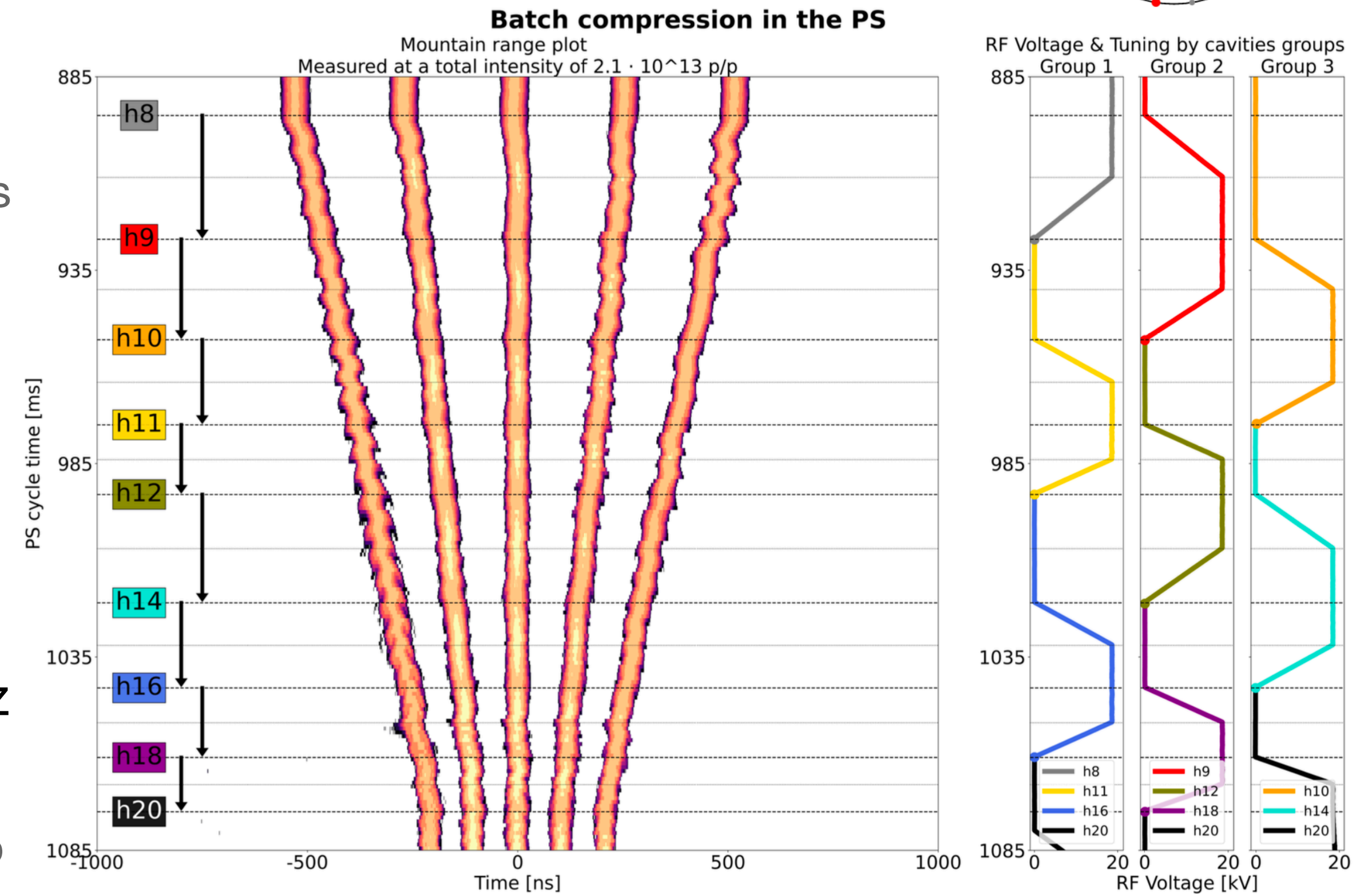


Where is it applied?

- In the PS, to produce the proton beam for the Antiproton Decelerator (AD)
 - This beam is then shot on a target to generate antiprotons
 - The AD is 3x smaller in circumference than the PS, and needs therefore batch compression

What does it require from the hardware?

- Broad-band cavities or many cavities at different harmonics
- E.g. PS main RF system is tuneable from 2.8-10 MHz
 - Nine out of ten cavities are grouped in three groups
 - Two groups are active at a time, while the third is tuned to the next harmonic
 - After compression, 5 bunches are condensed to 1/4 of the circumference



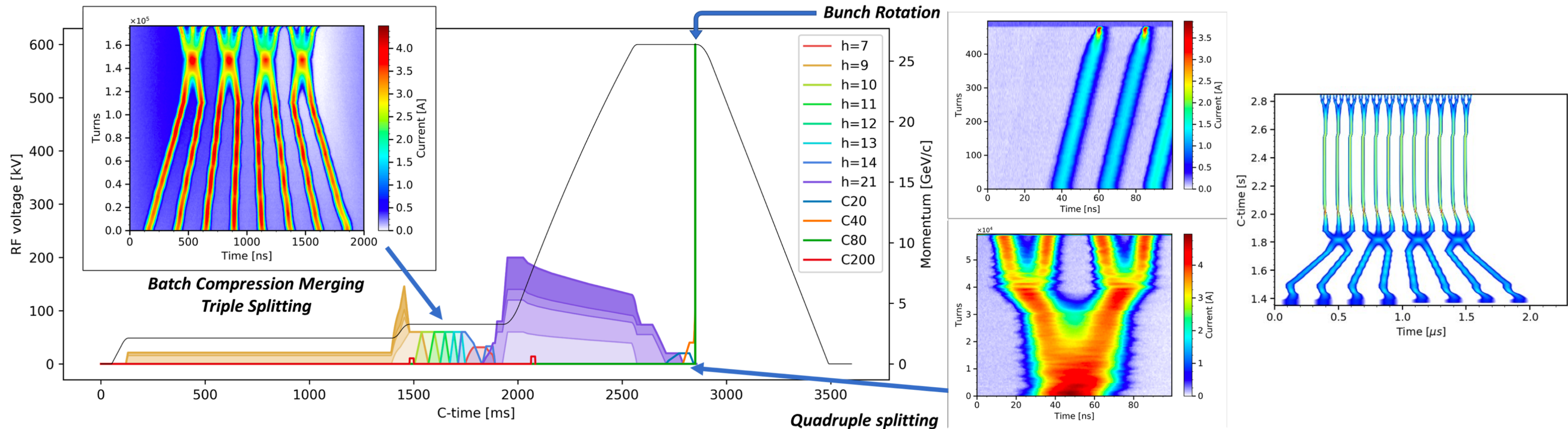
PS batch compression for the AD beam from [8]

$$h_{PS} = 8 \rightarrow 9 \rightarrow 10 \rightarrow 11 \rightarrow 12 \rightarrow 14 \rightarrow 16 \rightarrow 18 \rightarrow 20$$

PS BCMS beam

Batch compression, merging, and splitting

- High-brightness beam for LHC proton physics production



PS momentum and voltage programmes for the BCMS cycle, with the bunch profile evolution as simulated in BLoND, from [9]

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Intermezzo: how can we generate diffusion in phase space?



TIPS

You can make use of the cavity voltage vector

Diffusion in phase space

Diffusion equation in action space

- Hamiltonian of conjugate pair with a perturbation term:

$$\mathcal{H}(\vartheta, \vartheta', t) = H(\vartheta, \vartheta') + \Delta H(\vartheta, t)$$

$$\Delta H(\vartheta, t) = -\frac{\omega_{s,0}}{hV_{\text{acc}} \sin \varphi_s} \int \Delta V(\tilde{\vartheta}, t) d\tilde{\vartheta}$$

- We describe the phase-space distribution in action space $F(J, \psi, t)$

- The equations of motion in action-angle space are

$$\frac{d\psi}{dt} = \frac{\partial \epsilon}{\partial J} = \omega_s(\epsilon)$$

$$\frac{dJ}{dt} = -\frac{\partial \epsilon}{\partial \psi} = 0$$

- RF noise introduces diffusion described by the diffusion coefficient D

- Evolution of the phase-space distribution is stochastic, only described for the ensemble average

$$\partial_t \langle F \rangle (J, t) = \partial_J \{ D \partial_J F(J, t) \}$$

$$D(J) = \frac{1}{2} \left(\frac{\omega_{s,0}^2}{hV_{\text{acc}} \sin \varphi_s} \right)^2 \Re \left\{ \sum_{m=-\infty}^{\infty} m^2 \sum_{k,l=-\infty}^{\infty} \frac{I_{mk}^*(J)}{k} \frac{I_{ml}(J)}{l} 2 \int_0^{\infty} \langle \Delta V_k(t) \Delta V_l^*(t-\tau) \rangle e^{im\omega_s(J)\tau} d\tau \right\}$$

V_{acc}	Nominal accelerating voltage
$\Delta V(\vartheta, t)$	A given realisation of random noise
$K(x)$	Complete elliptic integral of 1st kind
$E(x)$	Complete elliptic integral of 2nd kind

In action-angle variables,

$$J_{\text{sep}} = \frac{8\omega_{s,0}}{\pi h^2}$$

$$J(x) = J_{\text{sep}} (E(x) - (1-x^2)K(x))$$

$$\omega_s(x) = \omega_{s,0} \frac{\pi}{2K(x)}$$

Normalisation

$$\frac{1}{2\pi} \int \int_{\text{sep}} dJ d\psi F(J, \psi, t=0) = 1$$

Fourier expansion coefficients for a plane wave in multipole oscillations:

$$I_{m,k}^*(J) \equiv \frac{1}{2\pi} \int_{-\pi}^{\pi} d\psi e^{ik\varphi(J,\psi) - im\psi}$$

Diffusion for small bunches

Semi-analytic solver on discrete grid [12]

- Matrix iteration over time step Δt $\left(\mathbf{A} + \frac{1}{2}\Delta t\mathbf{B}\right)\vec{F}_{n+1} = \left(\mathbf{A} - \frac{1}{2}\Delta t\mathbf{B}\right)\vec{F}_n$

- Diffusion coefficient for amplitude a and phase noise φ

$$D^{(a,\varphi)}(J) = \frac{1}{2} \left(\frac{\omega_{s,0}^2}{hV_{\text{acc}}} \right)^2 \sum_{-\infty}^{\infty} W_m^{(a,\varphi)} P^{(a,\varphi)}(m\omega_s(J))$$

$$W_m^{(a,\varphi)} \equiv m^2 |I_{mq}(J)|^2 \frac{1}{4} (1 \pm (-1)^m)^2$$

where $m^{(a)} = 2j$ and $m^{(\varphi)} = 2j + 1$

- Distribution and diffusion coefficient discretised over the grid $l \in [0, L - 1]$

$$\vec{F} = \begin{pmatrix} F_0 \\ F_1 \\ \vdots \\ F_{L-1} \end{pmatrix} \quad A = \begin{pmatrix} \frac{1}{3} & \frac{1}{6} & \dots & & \\ & \frac{1}{6} & \frac{2}{3} & \frac{1}{6} & \dots \\ \vdots & & & & \\ & & \dots & \frac{1}{6} & \frac{1}{3} \end{pmatrix} \Delta J$$

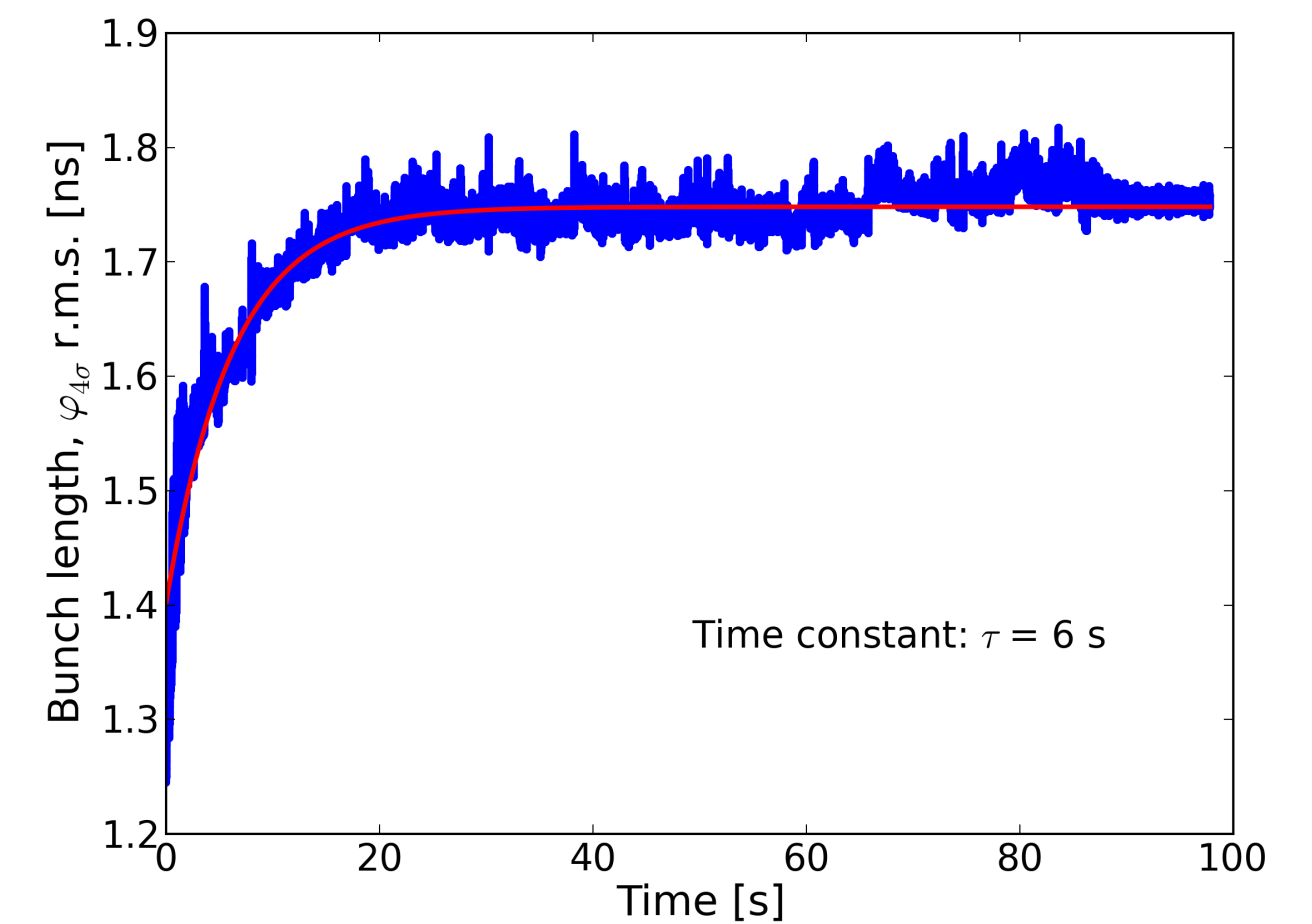
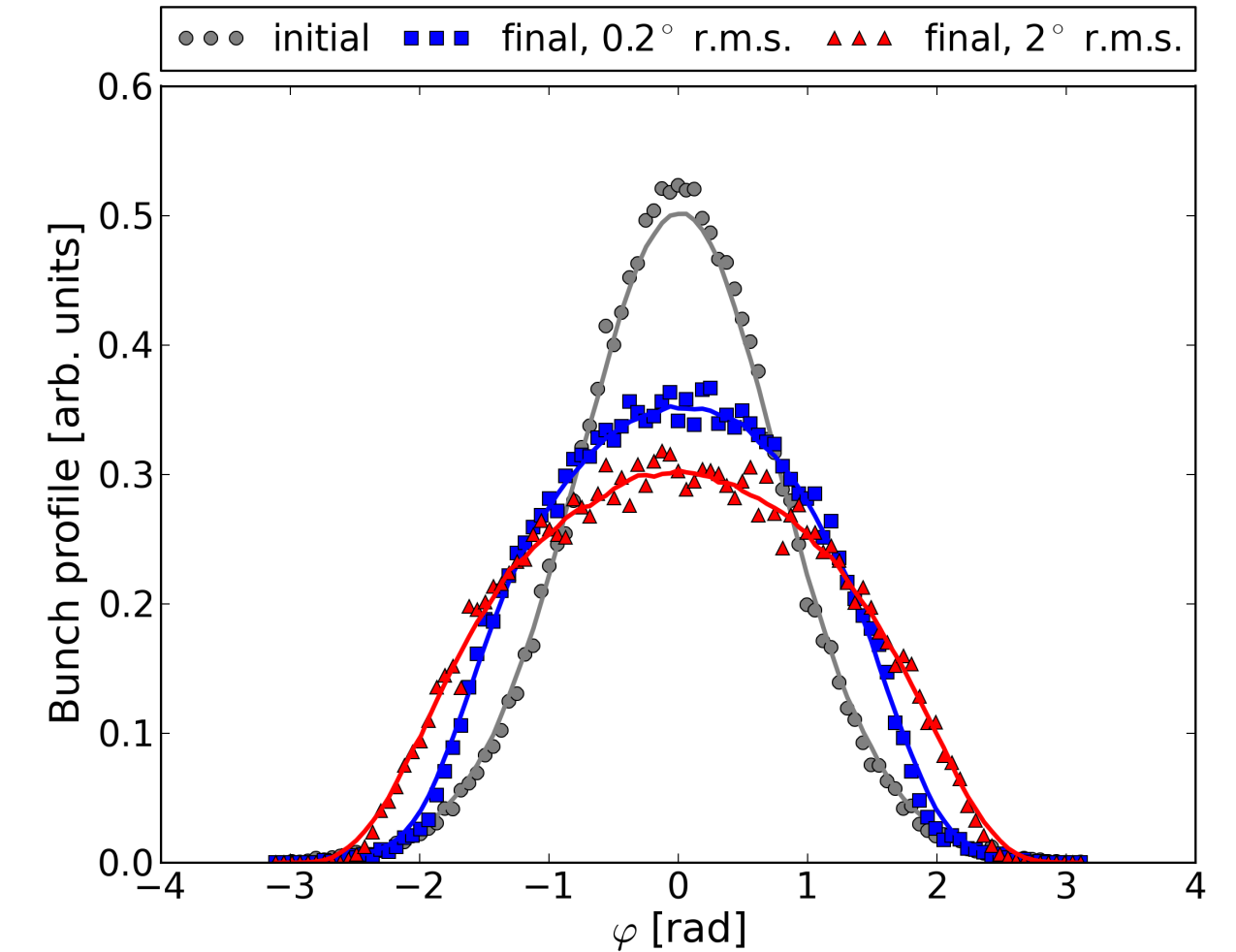
Average over grid $\bar{D}_l = \frac{1}{\Delta J_l} \int_{l-1}^l dJ D(J)$

$$B = \begin{pmatrix} \bar{D}_0 & -\bar{D}_0 & \dots & & \\ & -\bar{D}_{l-1} & \bar{D}_{l-1} + \bar{D}_l & -\bar{D}_l & \dots \\ \vdots & & \dots & -\bar{D}_{L-1} & \bar{D}_{L-1} \end{pmatrix} \frac{1}{\Delta J}$$

Bunch length evolution in short-bunch approximation

- For a given double-sided power spectral density $P_{DS} = \left[\frac{\text{rad}^2}{\text{Hz}} \right], \sigma = [\text{rad}]$

$$\sigma(t) = \sqrt{\sigma_0^2 + \omega_{s,0}^2 P_{DS}(\omega_{s,0}) t}$$



Diffusion due to band-limited white noise

Controlled emittance blow-up

What is it?

- Controlled increase of the phase-space area through noise injection

How is it done?

- Injection of RF (phase) noise, applied on the main RF system
- RF (phase) modulation on a higher-harmonic RF system

Why use it?

- To regulate the bunch length to a desired value
 - E.g. for extraction requirements or RF heating of sensitive equipment
- To counteract loss of Landau damping (LLD) in the energy ramp
 - At injection, there might not be enough bucket area to have a large enough emittance that would be stable at flattop
 - During the ramp, the bucket area increases as the energy (and voltage) increase
 - The bunch length shrinks adiabatically if nothing is done

Intensity threshold for LLD

$$N_{p,\text{th}} = \frac{\pi V_{\text{rf}} \cos \varphi_{s,0} \varphi_{\text{max}}^5}{32qh^2\omega_{\text{rev}}\mu(\mu+1)\chi(y_{\text{max}},\mu)\mathfrak{F}\left(\frac{Z}{n}\right)}$$

Binomial distribution

$$F(H) = F_0 \left(1 - \frac{H}{H_0}\right)^\mu$$

Maximum phase amplitude in distribution

Contains a hypergeometric function ${}_2F_3$

A recent model of loss of Landau damping threshold for a binomial distribution and an effective impedance

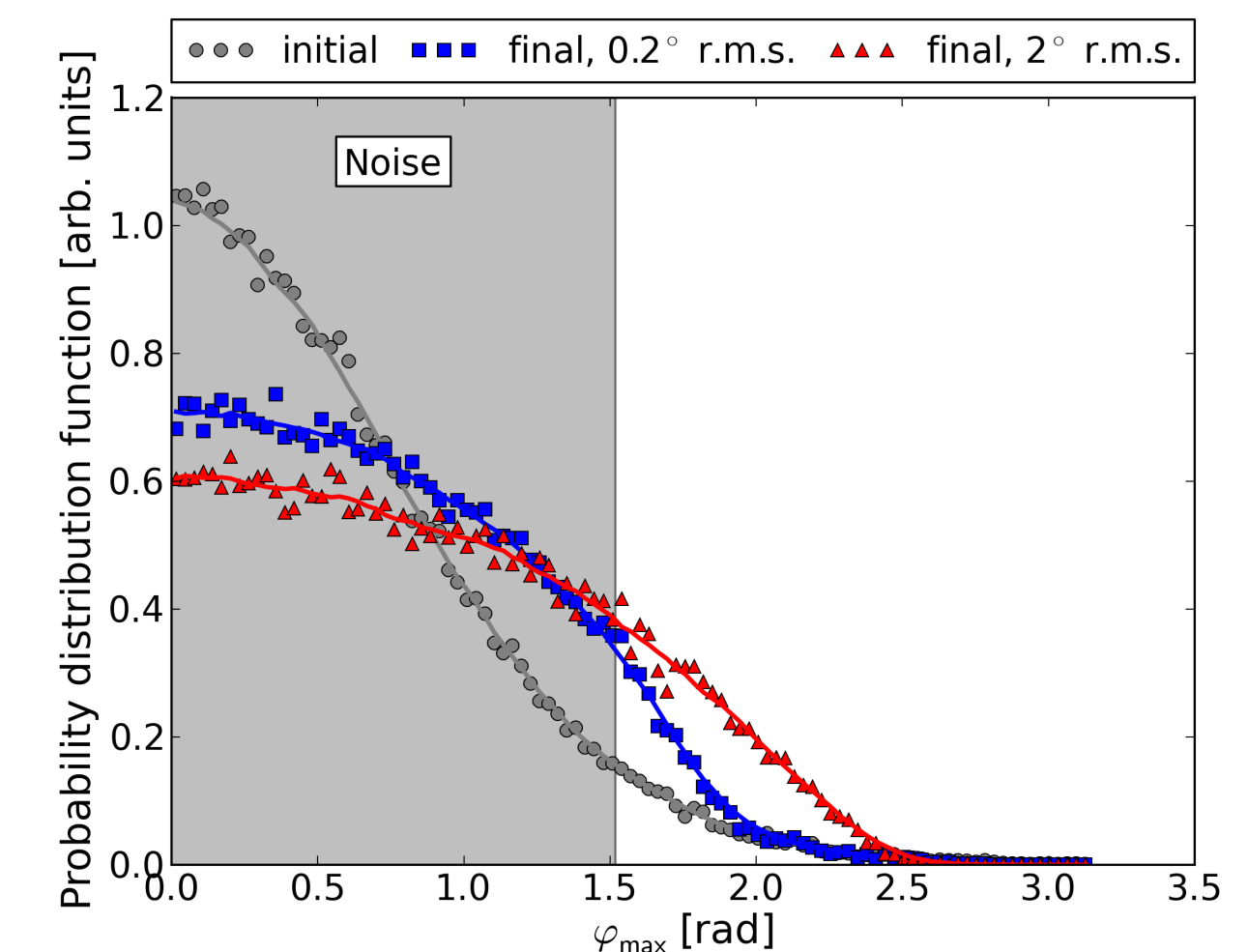
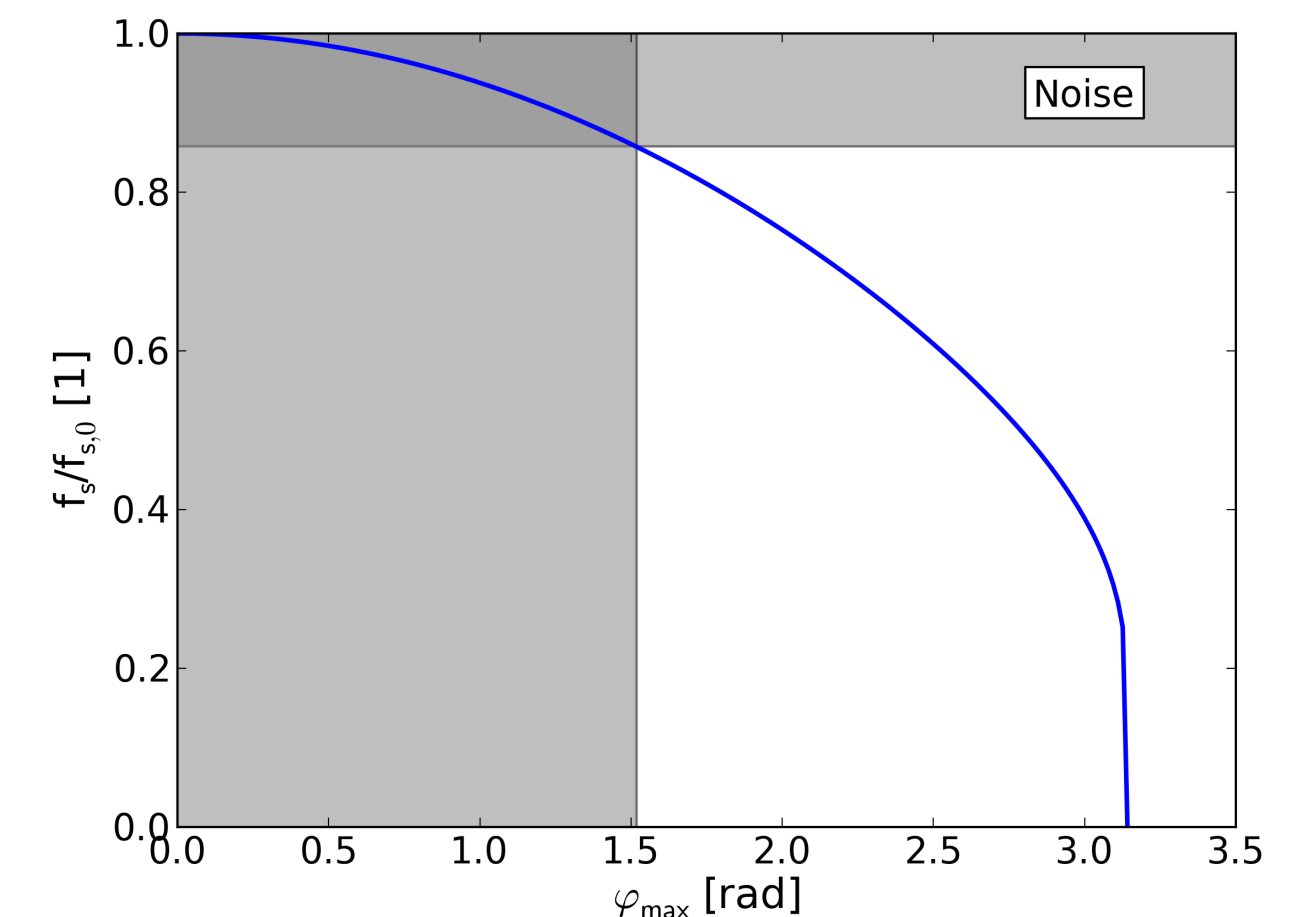
Controlled emittance blow-up

Where is it applied?

- PSB, SPS, and LHC: on main harmonic RF
 - Band-limited phase noise in a frequency band close to the central synchrotron frequency
- PS: on a dedicated 200 MHz RF system at a fixed frequency

What does it require from the hardware?

- Turn-by-turn modulation of the RF phase
 - Can be implemented globally through the beam phase loop
 - Or locally on a given cavity controller
- In the LHC, x6 emittance blow-up is needed (cf. injectors 10-40 %)
 - Noise amplitude is regulated with a bunch length feedback



Diffusion at constant energy due to band-limited phase noise

RF phase noise generation

Generation in time domain by colouring white noise

- Implementation for the SPS and LHC [15]

- Turn-by-turn injection: $\Delta t = T_{\text{rev}}$
- Using a complex Fourier transform: $f_{\text{max}} = 1/\Delta t = f_{\text{rev}}$
- Generate white (carrier) noise in time domain:

$$w_k(t) = e^{2\pi i \text{RAND}_{1,k}} \sqrt{-2 \ln \text{RAND}_{2,k}}$$

- Transform the generated white noise to frequency domain

$$W_l(f) = \text{FFT}[w_k(t)] = \sum_{k=1}^N w_k(t) e^{-2\pi i \frac{kl}{N}}$$

- Colour the spectrum with the desired noise probability density [rad]:

$$\Phi_l(f) = s_l(f) W_l(f), \text{ where } s_l(f) = \sqrt{A S_l^{\text{DB}} f_{\text{max}}}$$

- Transform back to time domain to obtain the RF phase noise

$$\varphi_k(t) = \text{IFFT}[\Phi_l(f)]$$

Considerations

- Frequency is changing along the ramp
 - Need to readjust the spectrum every turn
 - Need to make sure that the final sequence is continuous and has no jumps
- LHC parameters
 - Synchrotron frequency: ~20-50 Hz
 - Noise band $\sim 0.15 f_{s,0}$
 - Resolution needed: ~0.01 Hz (30 points)
 - Revolution frequency: 11245 Hz
 - Need at least **1.1 M points** in the FFT for every single phase generated!

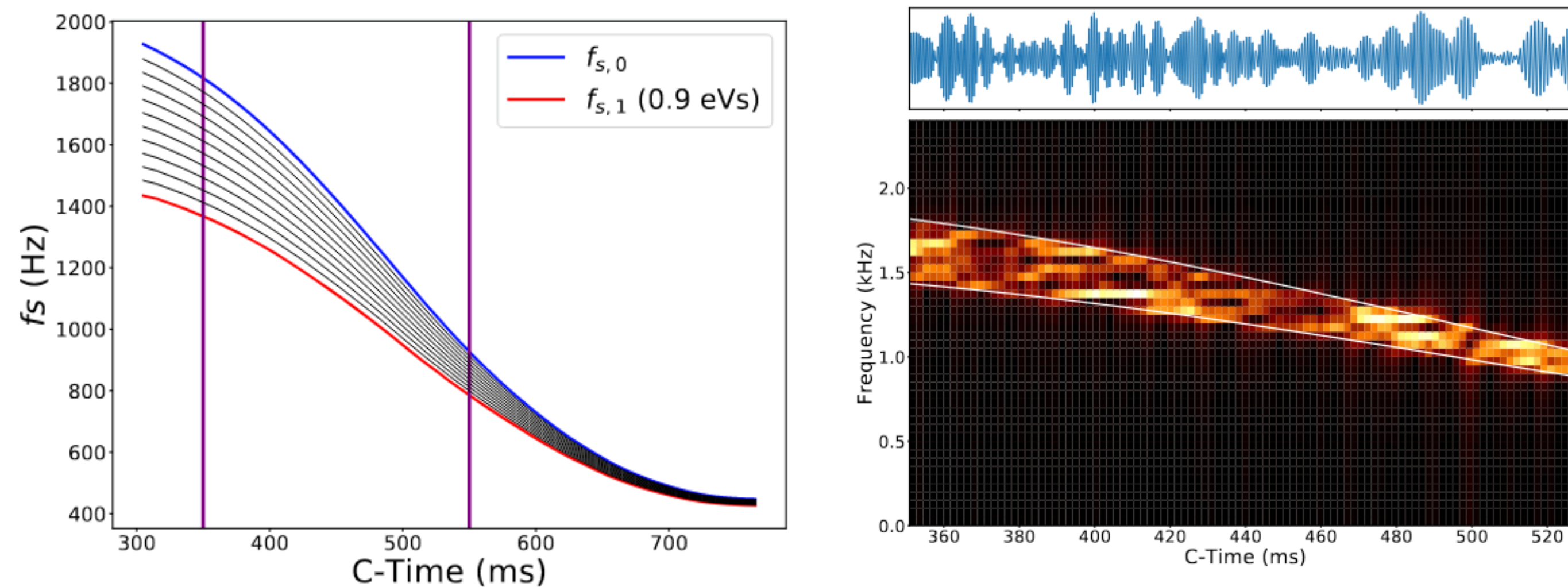
RF phase noise generation

Generation in frequency domain by summing single frequencies

- Sum of RF phase modulation from single sine-waves at different, close frequencies in the desired band

$$\Delta\varphi_{\text{rf},(n)} = A \sin \left(2\pi \sum_{k=0}^n f_{\text{mod},(k)} T_{\text{rev},(k)} \right) + \varphi_{\text{off},(n)}$$

- Used in the PSB operationally, gives a noise spectrum equivalent to the time-domain implementation



*Phase noise generation in frequency domain:
a sum of single-frequency modulations applied in the PSB [16]*

LHC implementation

Injection through the beam phase loop

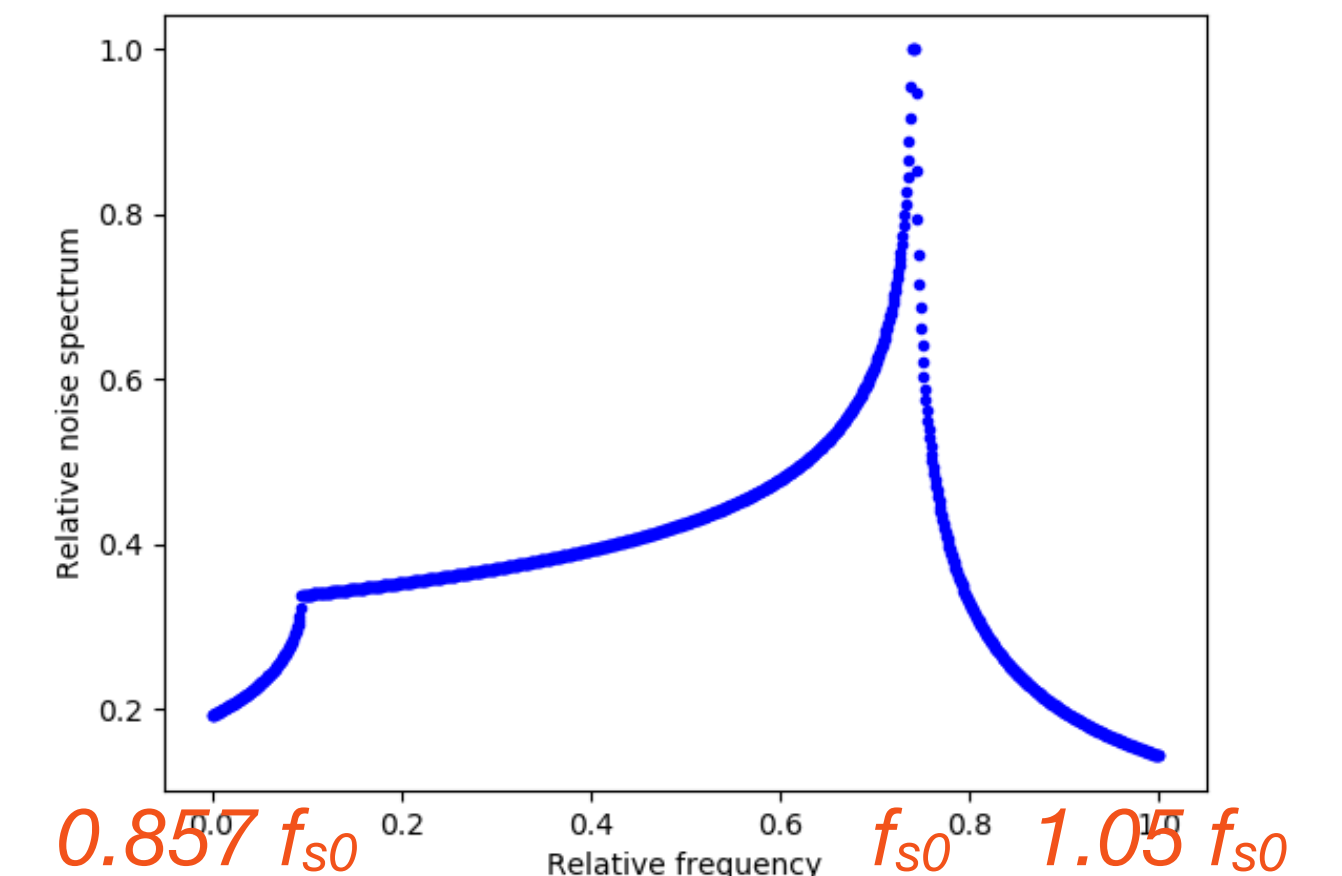
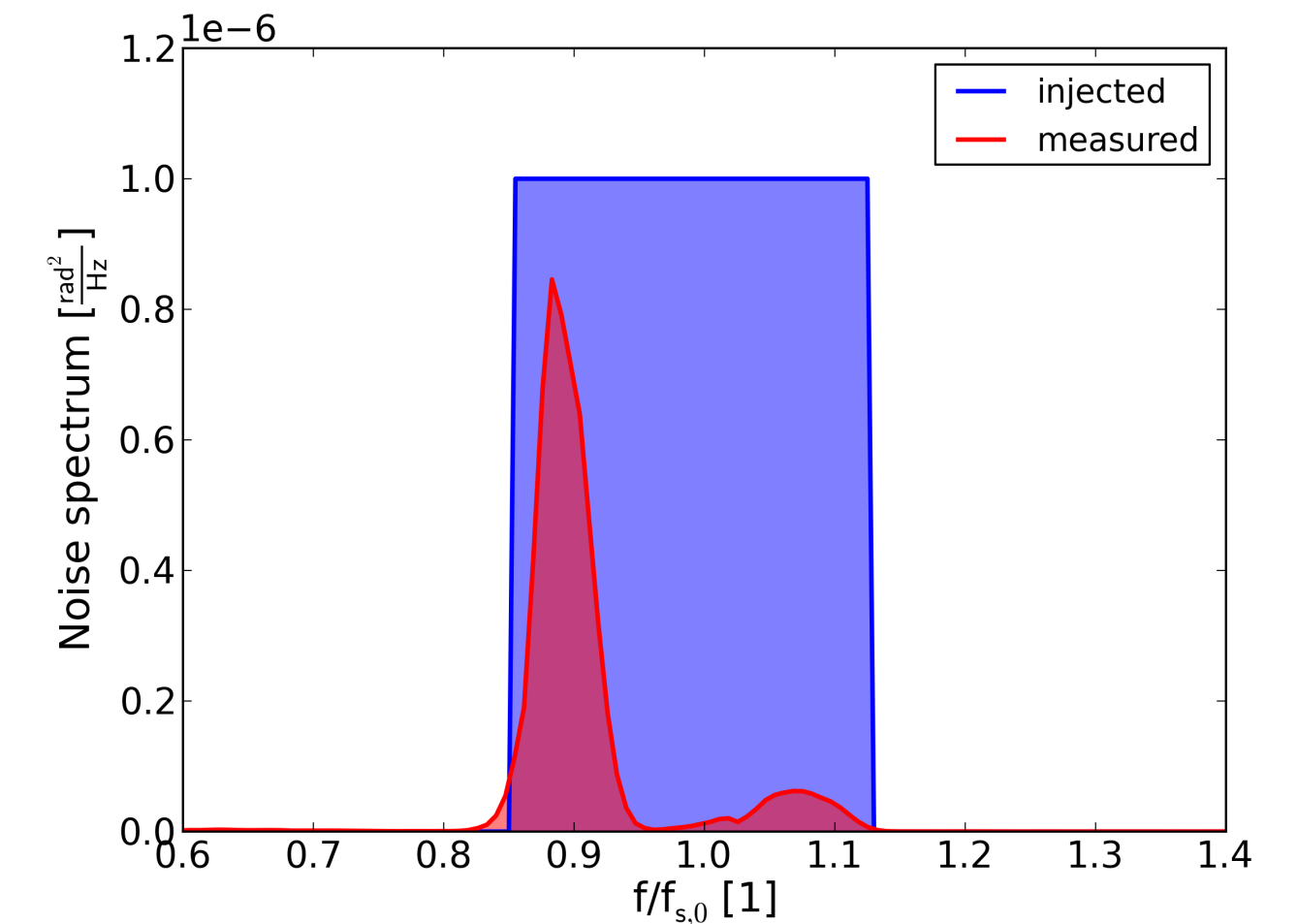
- Designed to damp background RF noise at the central synchrotron frequency
 - To increase beam lifetime from 10s minutes to 10s hours
- Heavily distorts the spectrum of the injected noise
 - In the LHC, the noise is shaped with the beam transfer function (BTF) of a parabolic function to the beam phase loop
 - Assumes a constant 1.25 ns bunch length!

Noise amplitude regulation through bunch length feedback

- Bunch length acquired every 2 s, $\tau_{\text{meas}} \equiv 2/\sqrt{2 \ln 2} \tau_{\text{FWHM}}$
- Noise amplitude iterated through a lossy low-pass filter:

$$x_{n+1} = ax_n + g(t)(\tau_{\text{targ}} - \tau_{\text{meas}})$$

- Memory factor: $a = 0.87$
- Gain: $g(t) = 0.2 \text{ ns}^{-1} [f_{s,0}(t=0)/f_{s,0}(t)]^2$



Top: injected vs measured noise spectrum [17]

Bottom: operational noise spectrum

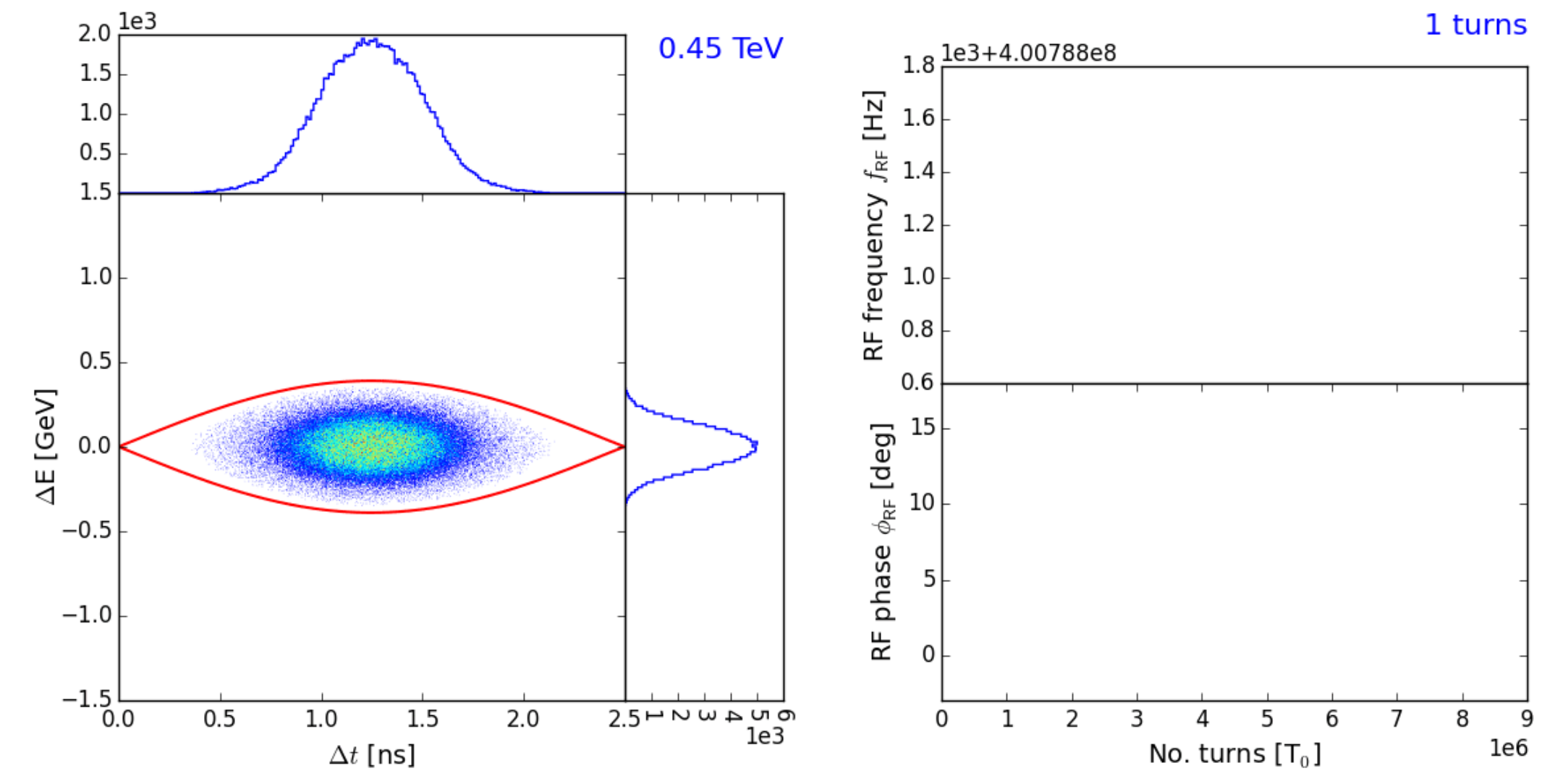
LHC bunch length and shape evolution

Shape transition in the first part of the ramp

- Exact evolution and outcome of each ramp is statistical
 - Depends heavily on initial conditions
 - Bunch length, bunch intensity, phase-space distribution...
- Only average behaviour can be compared to

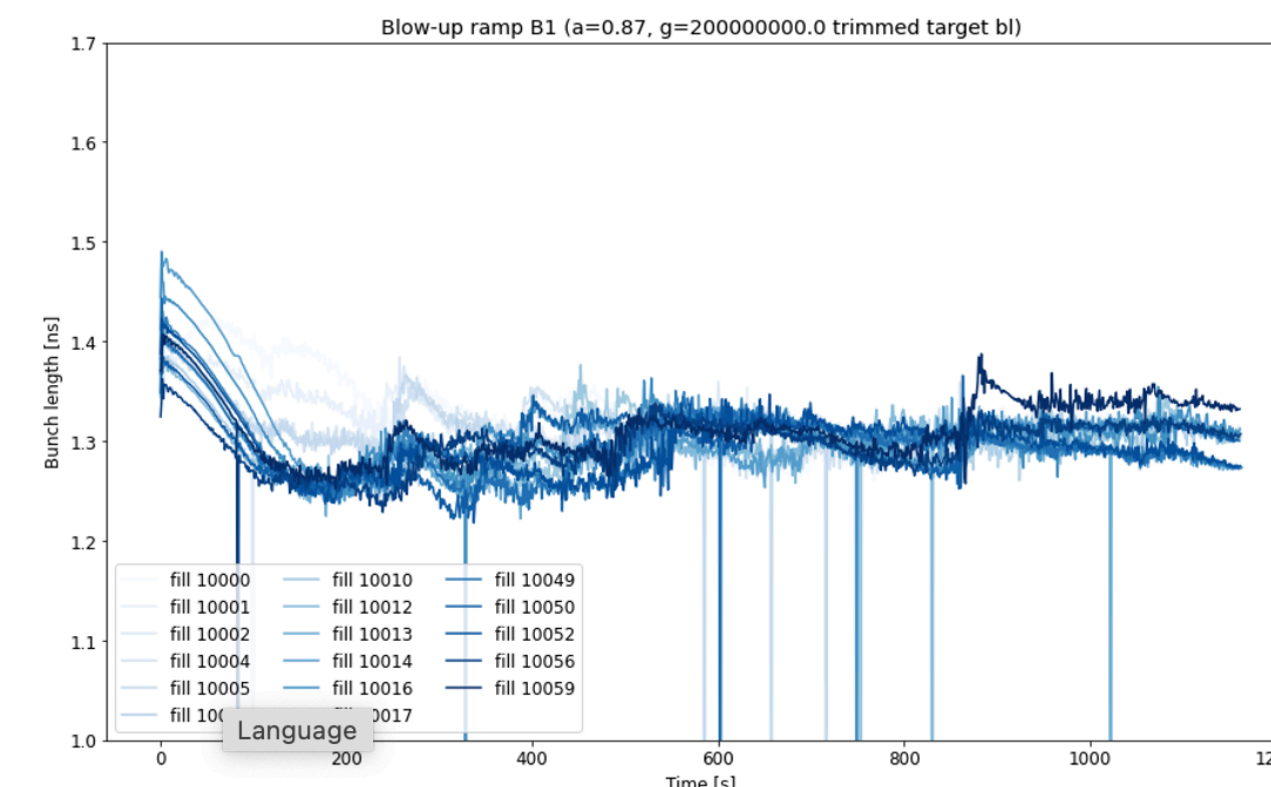
Modelling challenges

- Ramp is 20 minutes, >14 M turns
 - Simulation requires control loops and intensity effects
- Limited to a few bunches
 - In the machine, the noise is regulated through the average bunch length of >2000 bunches!
- Would require ensemble averages over large scans to predict average behaviour



Left: phase-space distribution and longitudinal profile

Right: RF frequency and phase evolution



Bunch length throughout the ramp

Courtesy N. Gallou



Intermezzo: how to shrink the longitudinal emittance?



TIPS

You can make use of a physical phenomenon

Shrinking emittance - synchrotron radiation

In high-energy machines, synchrotron radiation & quantum excitation become significant

- Synchrotron radiation shrinks, quantum excitation blows up the bunch emittance
- Energy loss per turn [19]

$$E_{\text{other},(n)} = -U_0 - \frac{2}{\tau_z} \Delta E_{(n)} + 2 \frac{\sigma_{\Delta E}}{\sqrt{\tau_z}} E_{d,(n)} \text{RANDN}$$

Average energy loss
Difference in energy loss for each particle
Quantum excitation

- Where the average energy loss is:

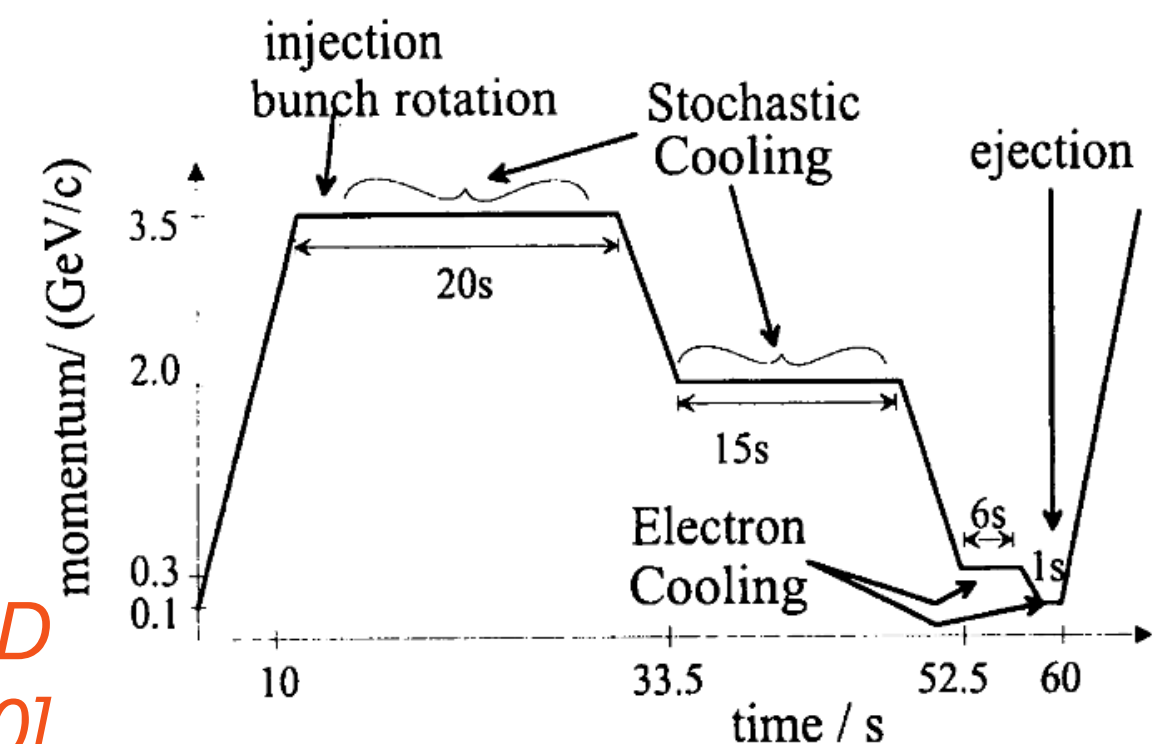
$$U_0 = \frac{4\pi}{3} \frac{r_{\text{cl}}}{m_p^3 c^6} \frac{1}{\rho} E_{d,(n)}^4 \frac{R}{C}$$

ρ	magnet bending radius
$\sigma_{\Delta E}$	equilibrium energy spread
τ_z	damping time [turns]
m_p	particle mass
RANDN	normal random number in (0,1)
$r_{\text{cl}} = \frac{1}{4\pi\epsilon_0} \frac{q^2}{m_p c^2}$	classical particle radius

Shrinking emittance in a controlled manner

- Stochastic cooling
 - Cooling with a pick-up and kicker feedback system
- Electron cooling
 - Thermal exchange of electron-ion plasma
- Laser cooling, ionisation cooling, etc.

Design cycle for the AD deceleration from [20]



Resonant excitation

What is it?

- Single sine-wave modulation injected on the RF phase

$$\Delta\varphi_{\text{rf},(n)} = A_{\text{mod}} \sin\left(2\pi f_{\text{mod}} \sum_{k=0}^n T_{\text{rev},(k)}\right) + \varphi_{\text{off},(n)}$$

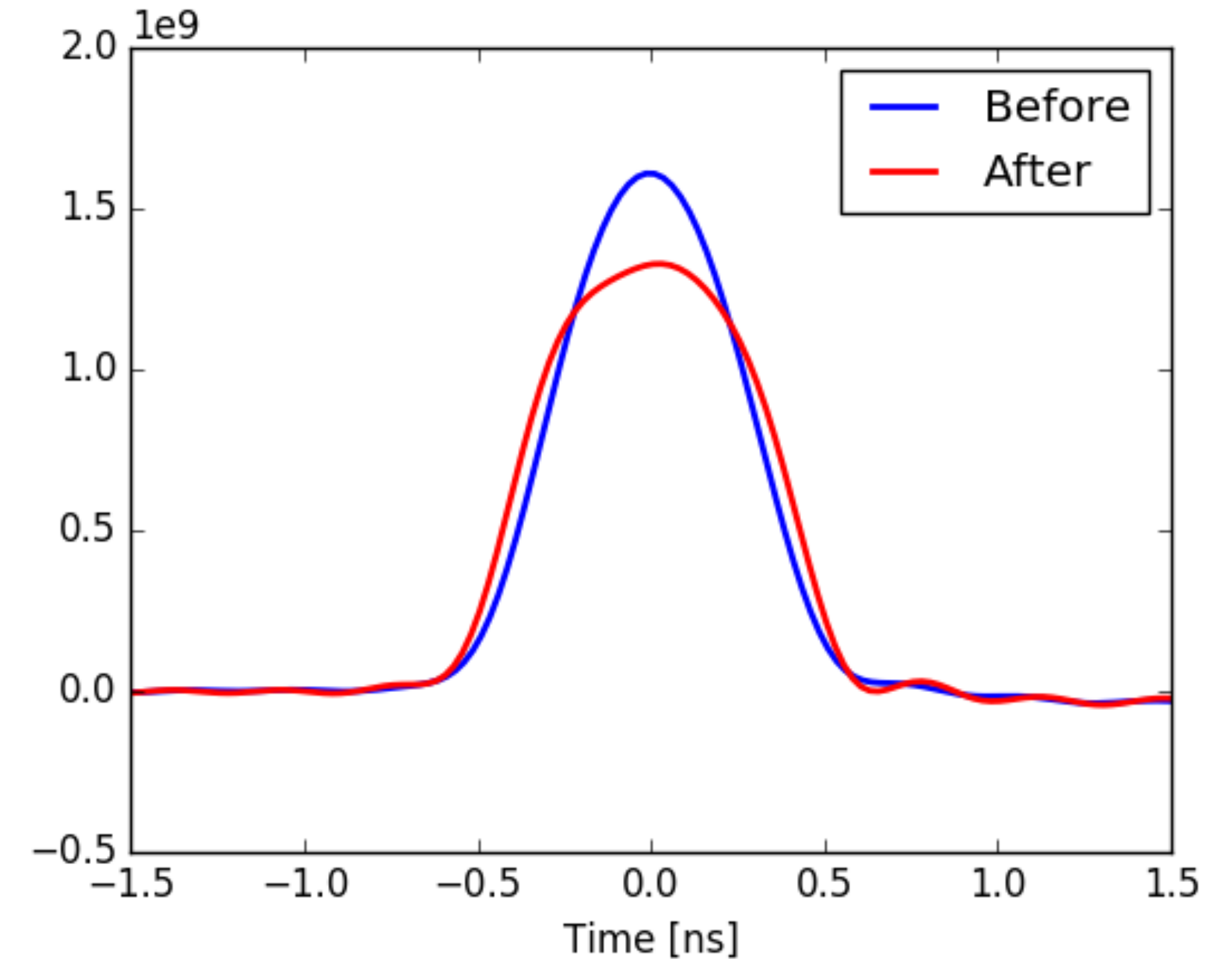
- Results in a resonant change of the bunch profile

How is it done?

- The modulation frequency determines the final bunch length and bunch shape
- The modulation amplitude has to be above a given threshold, but does not influence the bunch length

Why use it?

- Shapes the bunch without generating tails
- A round core can be used to reduce space charge, IBS, etc.



Measured bunch profile in the LHC before and after flattening

Modulation at $0.98f_{s0}$ with 0.6° , resulting in 100-150 ps increase for ~ 1.2 ns bunches

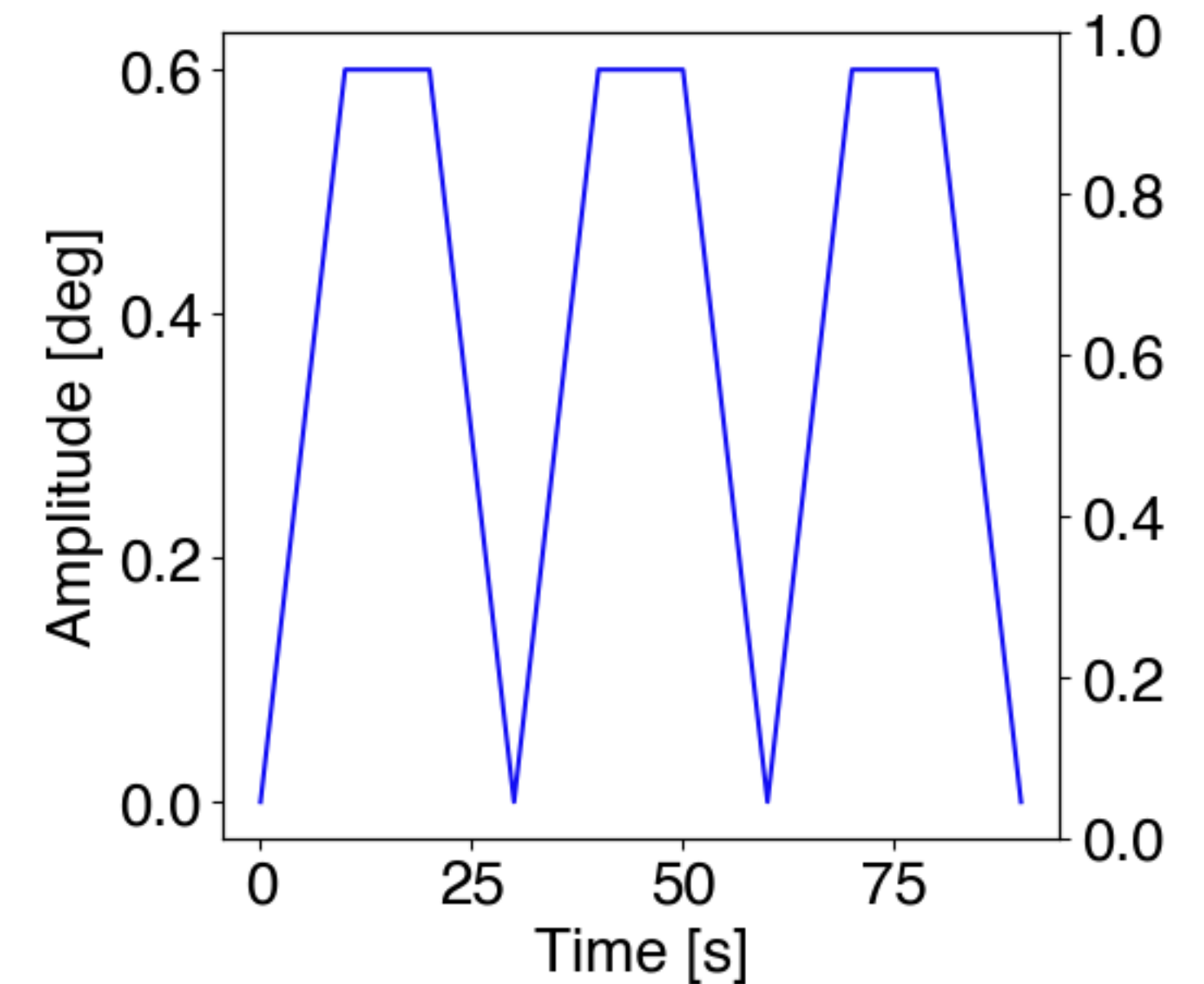
Resonant excitation

Where is it applied?

- In the LHC, during stable beams with protons
 - At 6.8 TeV (flattop), the bunch length is shrinking significantly due to synchrotron radiation
 - With bunch flattening, the luminous region in the experiments is kept about constant

What does it require from the hardware?

- Opening the beam phase loop while injecting the modulation
 - If done too often, or too long, can impact beam lifetime
- Experimentally, the best configuration found is to do three trapezoids in amplitude
 - Once the reshaping happened, subsequent applications of the modulation do not affect the beam anymore

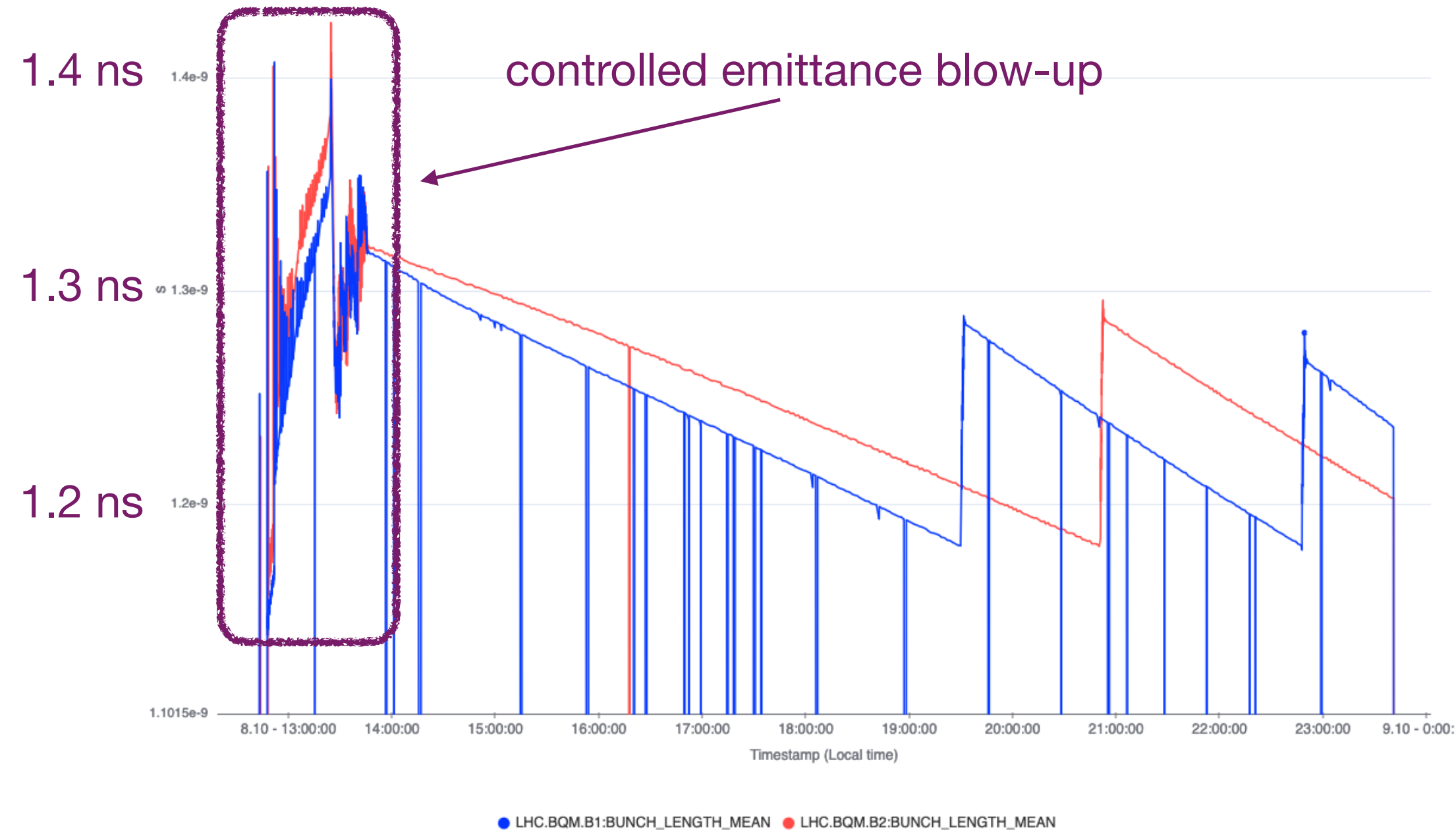


Amplitude function used in the LHC

Regulating the luminous region

When the bunch length decreases

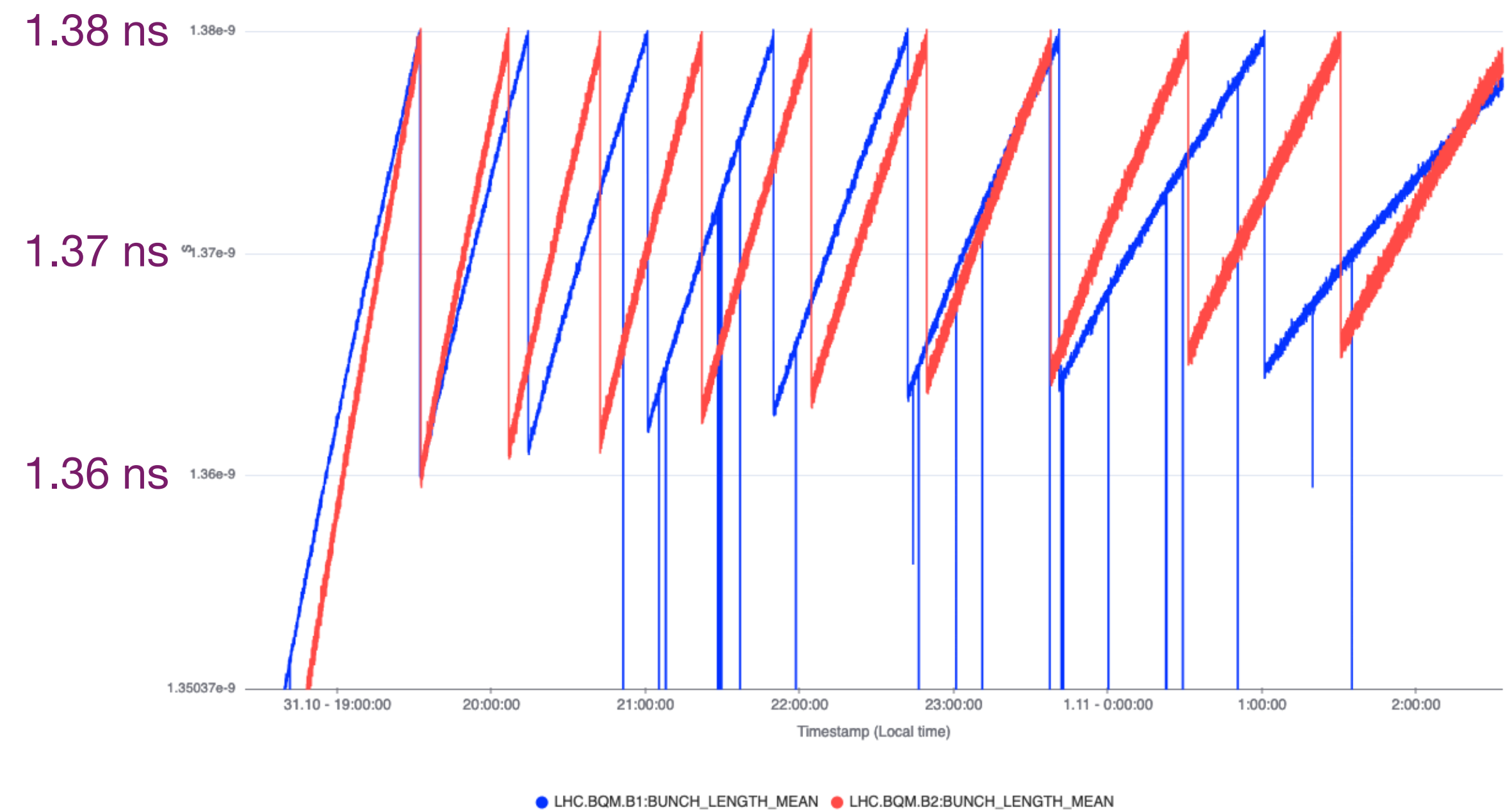
- With resonant excitation
- E.g. LHC protons at 6.8 TeV



Bunch length regulation in the range of 1.18-1.29 ns, with resonant excitation

When the bunch length increases

- With adiabatic voltage steps
- E.g. LHC protons at 2.68 TeV



Bunch length regulation in the range of 1.36-1.38 ns, with steps of 0.5 MV



Bunch-to-bucket transfer: how do I know whether an extracted bunch is matched for a given injection bucket?

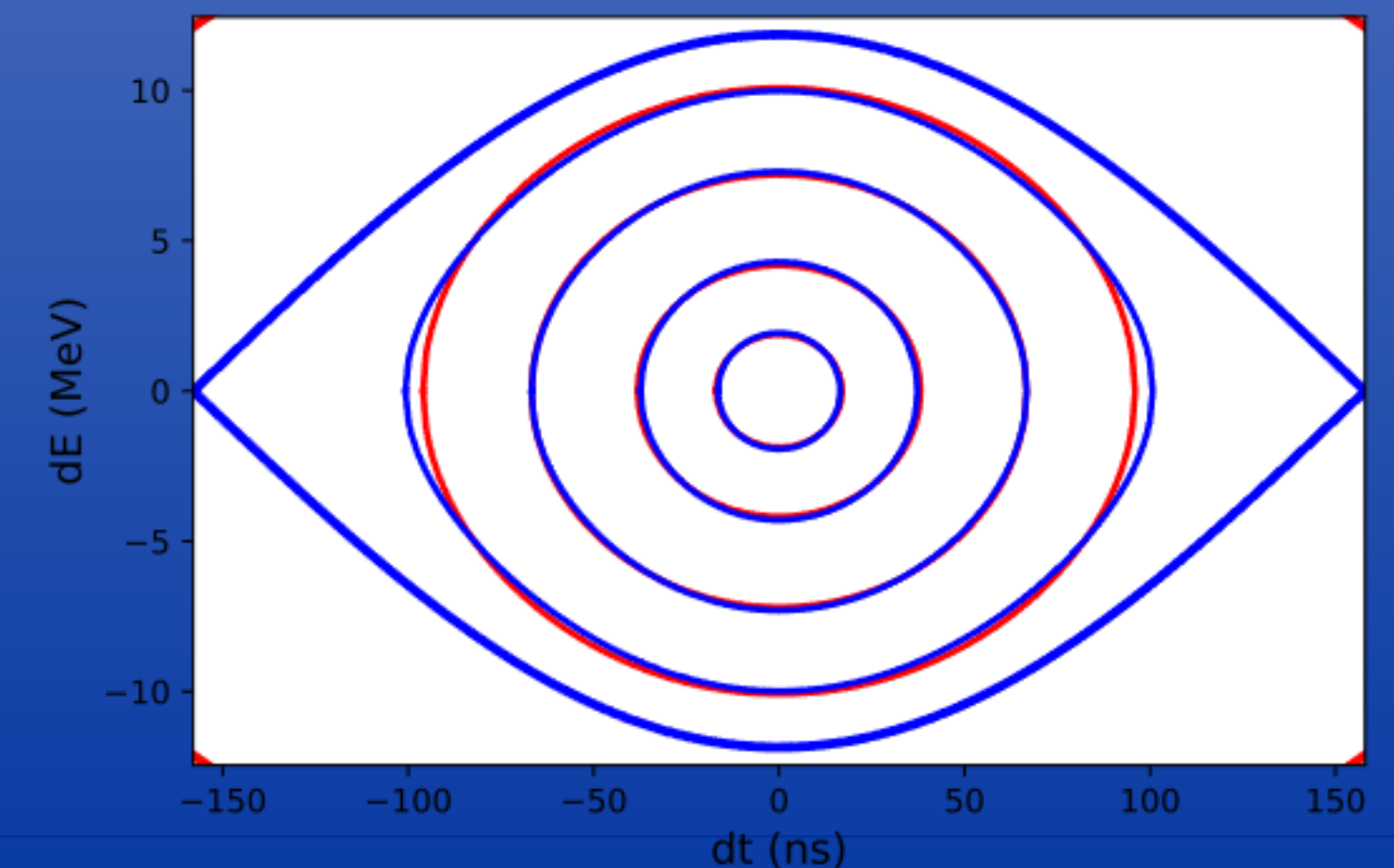
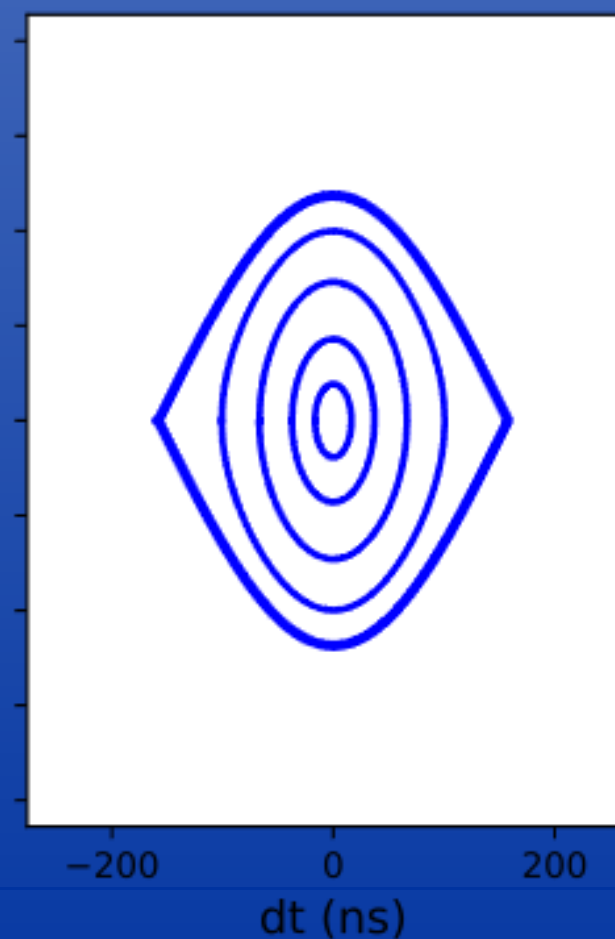
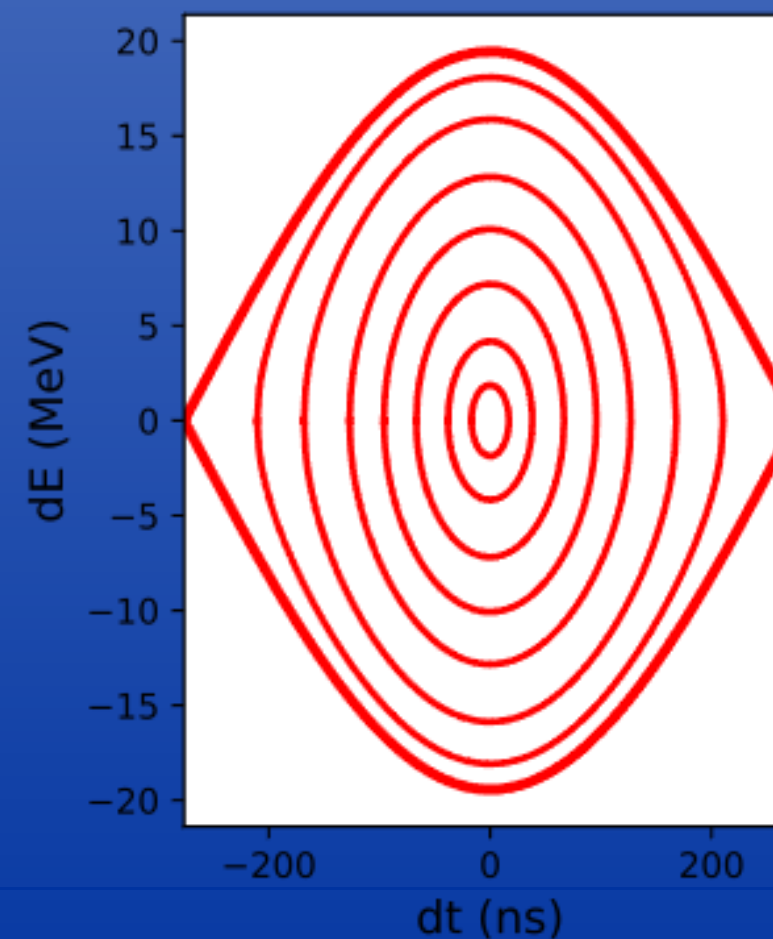


TIPS

A matched bunch does not exhibit oscillations

Example:

PSB-to-PS transfer



Longitudinal painting

What is it?

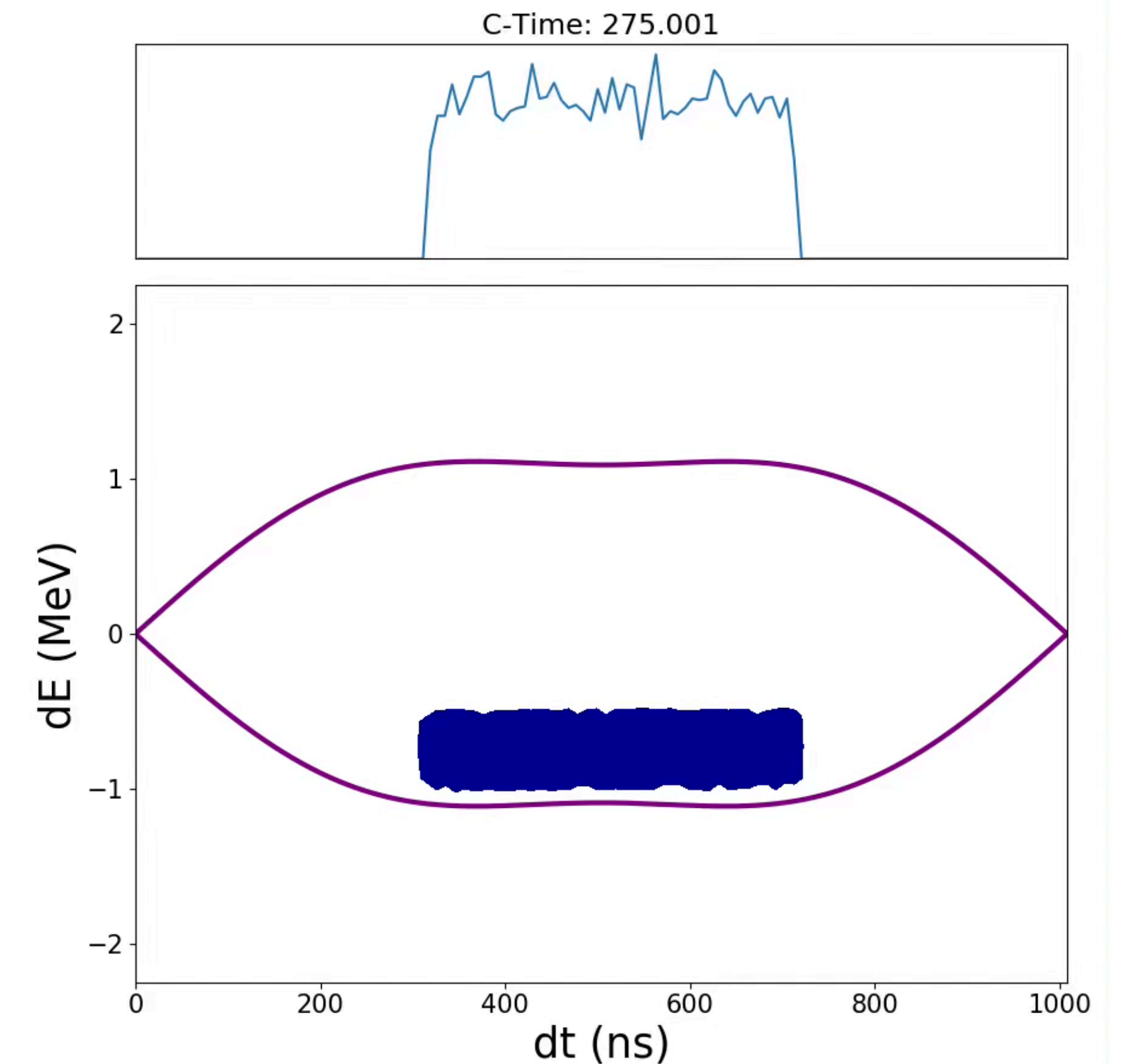
- Filling out of the longitudinal phase space by injection of many micro-bunches
 - Injection over several turns, on different time and energy positions in phase space
 - Filamentation process thereafter

How is it done?

- Injection over tens or hundreds of turns
- Sweeping the energy offset and the length of the arriving batch

Why use it?

- To increase the bunch intensity
- To match the bunch into the injection bucket
- To fill out the phase space for longitudinal stability
 - Reduce space charge effects, for instance



Multi-turn injection and longitudinal painting

Courtesy of S. Albright

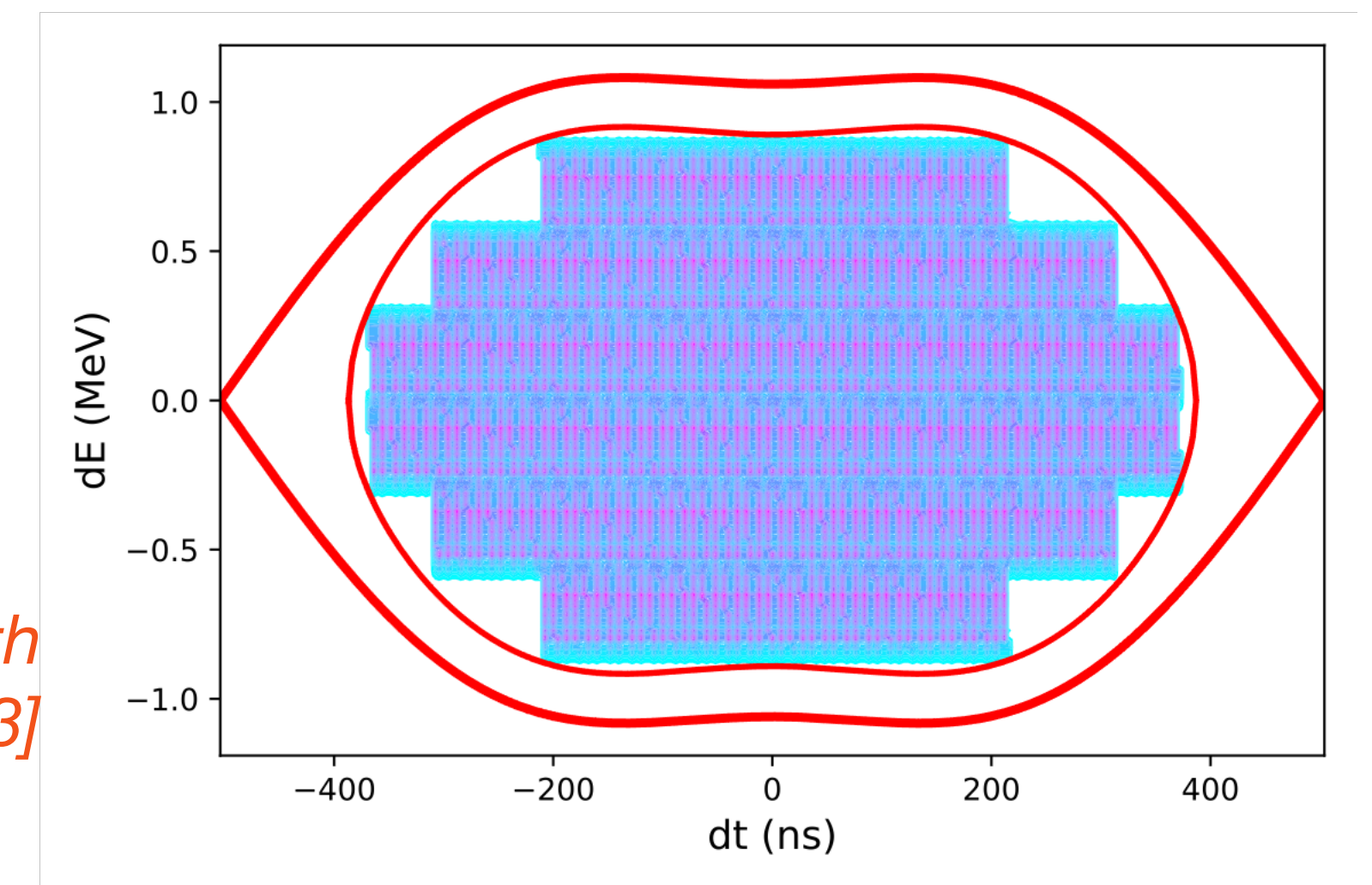
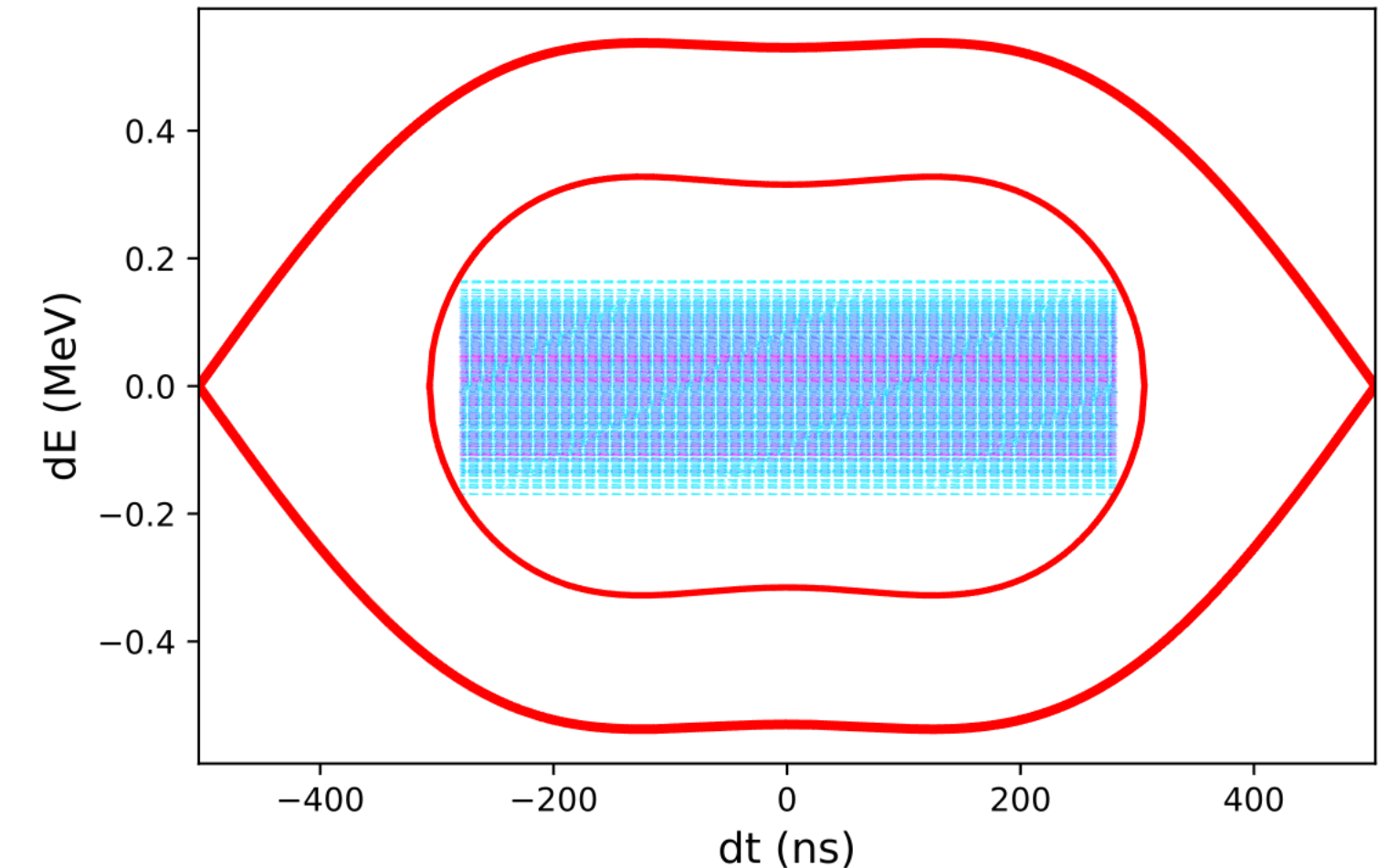
Longitudinal painting

Where is it applied?

- In the PSB at injection for ISOLDE and TOF (highest beam currents)
 - Linac4: 352 MHz vs PSB 1 MHz, so matching is not possible
 - Injecting a large number of micro-bunches

What does it require from the hardware?

- On the Linac4 side
 - Being able to modulate the extraction energy and calibrate it
 - In addition, need to phase the bunches at different energies
 - Being able to chop the bunches as a function of energy offset
- On the PSB side
 - Capture in double harmonic RF
 - Fill all four rings one after the other



The principle of the PSB painting with Linac 4 bunches, from [23]

Debunching

What is it?

- Creation of continuous beam from bunched beam

How is it done?

- Switching off the RF voltage
 - Alternative: use low voltage and counter-phase cavities

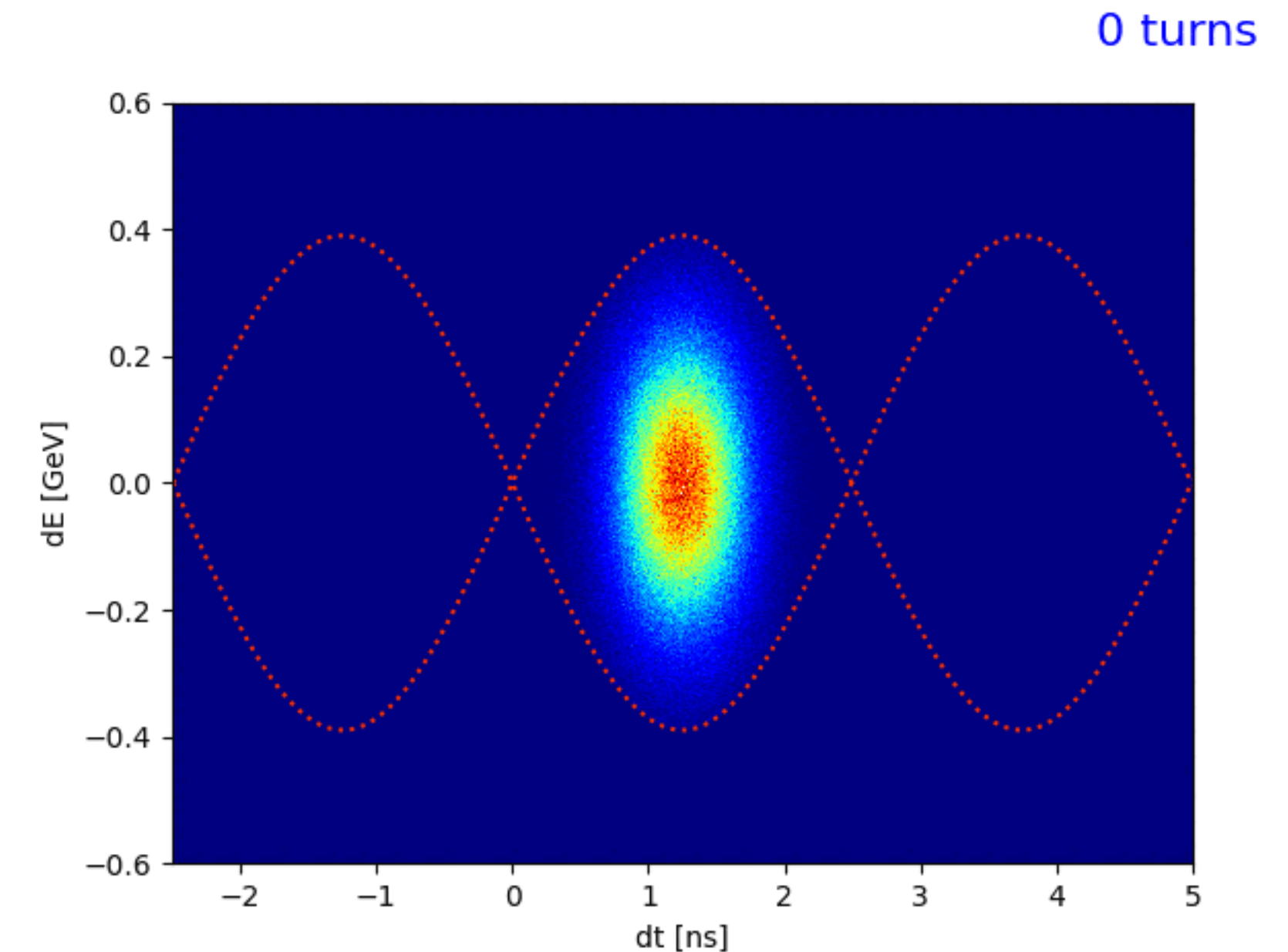
- Debunching time:
$$t_{\text{deb}} = \frac{2\pi}{h\omega_{\text{rev}} |\eta_0| \delta_{\text{max}}}$$

Why use it?

- For experiments that want a continuous beam (e.g. fixed target)
- Combined with re-bunching, to perform a change of harmonic number

Where is it applied?

- SPS fixed-target experiments
- AD change of harmonic number
 - Need good control of RF frequency for capture



How debunching in the LHC would look like over 2000 turns...

(Re-)bunching

What is it?

- Creation of bunched beam from continuous (coasting) beam

How is it done?

- Slowly switching on the RF voltage

- Adiabaticity factor

$$\alpha = 2\pi \frac{1}{\omega_s^2} \frac{d\omega_s}{dt}$$

- Voltage function with constant adiabaticity:

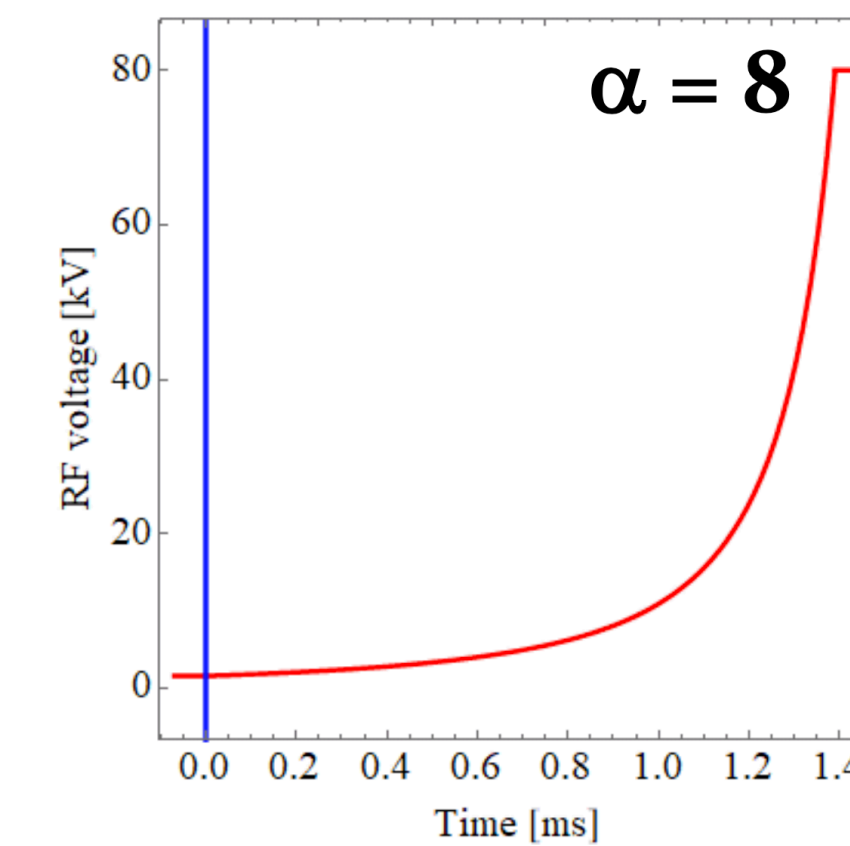
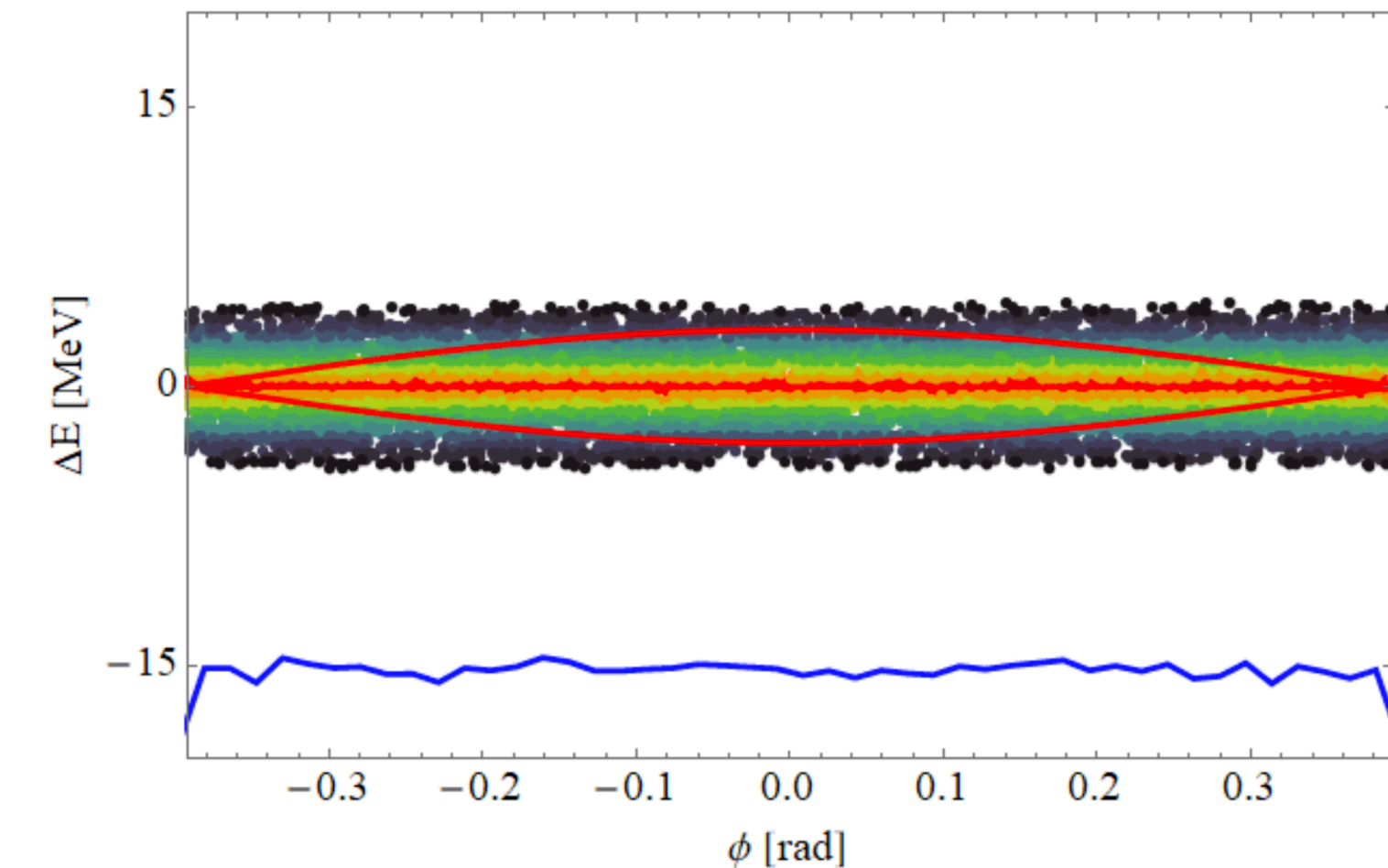
$$V_{\text{rf}}(t) = \frac{V_i}{\left(1 - \frac{t}{\tau} \frac{\sqrt{V_f} - \sqrt{V_i}}{\sqrt{V_f}}\right)^2}$$

Why use it?

- To capture coasting beam

Where is it applied?

- AD beam capture



RF capture of coasting beam

Courtesy of H. Damerou

Contents

Bunch length regulation

- Adiabatic changes
- Rotation
- Splitting, merging

Phase space regulation

- Diffusion, noise injection
- Resonant excitation
- Longitudinal painting
- Debunching

Advanced manipulations

- Momentum slip stacking
- Barrier bucket

Integration in an RF system

- Beam loading
- RF voltage/power limitations
- Designing RF parameters



What is slip stacking?

- A.) A particle accelerator configuration used to store two particle beams with different momenta in the same ring
- B.) A process of combining two bunched beams in a synchrotron into a single beam
- C.) An accumulation technique used at Fermilab to nearly double proton intensity

Momentum slip stacking

What is it?

- Azimuthal slippage of two batches in opposite direction
 - In the same beam pipe, via slightly different momenta

How is it done?

- Capture two beams with two RF systems of slightly different frequency

$$V_{\text{rf}} = V_{\text{rf},1} \sin(\omega_{\text{rf},1}t + \varphi_{\text{rf},1}) + V_{\text{rf},2} \sin(\omega_{\text{rf},2}t - \varphi_{\text{rf},2})$$

- The small frequency difference results in a phase error

$$\Delta\varphi_{\text{rf}} = \frac{2\pi h \Delta\omega_{\text{rf}}}{\omega_{\text{rf},d}}$$

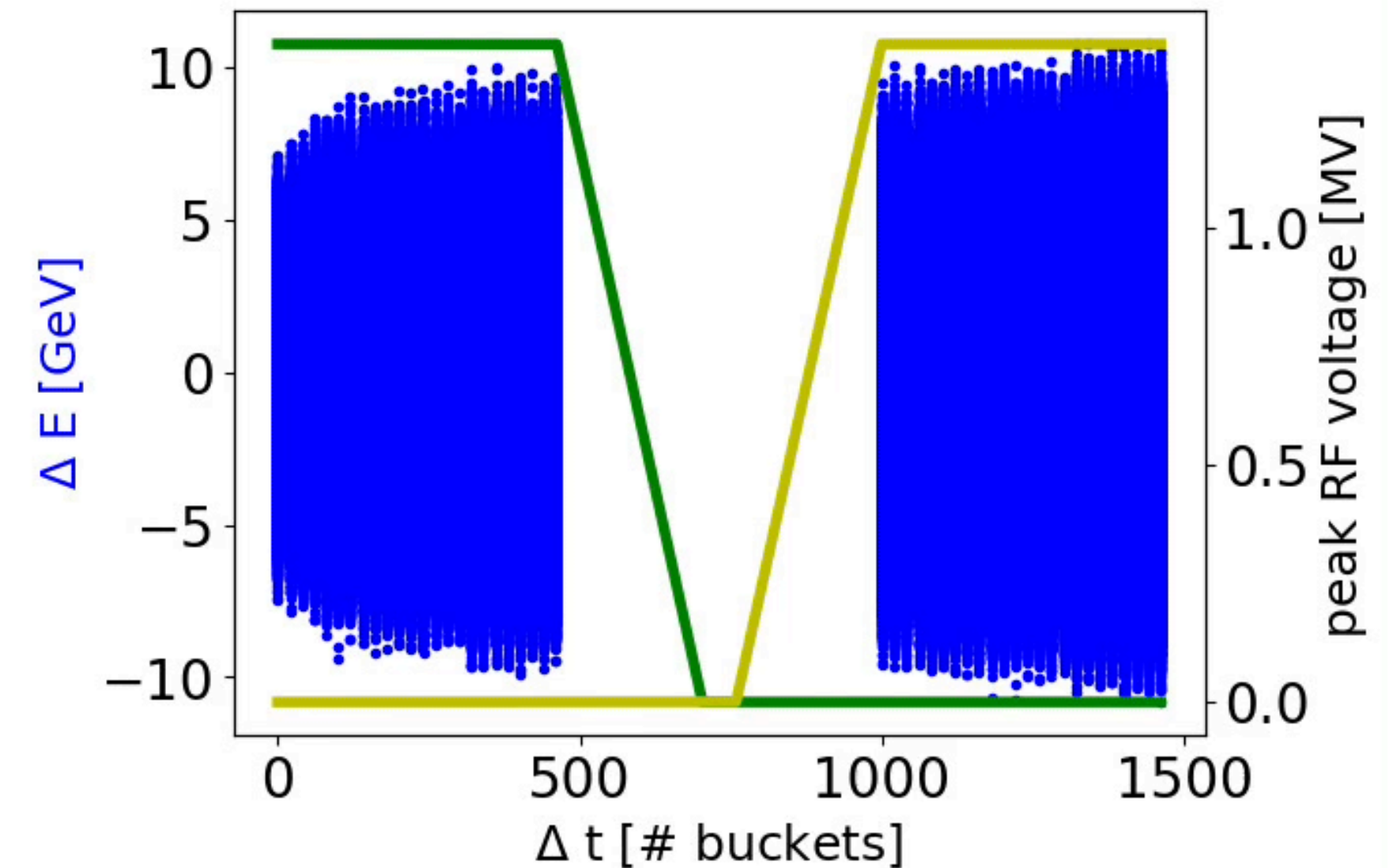
- And at constant magnetic field it translates to a slippage (drift) of

$$\frac{\Delta\omega_{\text{rf}}}{\omega_{\text{rf},d}} = -\eta_0 \frac{\Delta p}{p_d}$$

- Recapture with the full RF system at the desired longitudinal position

Why use it?

- To interleave the batches, i.e. reduce batch spacing
- To merge the batches, i.e. increase the intensity



Simulated momentum slip stacking for ion beams in the SPS

Courtesy of D. Quartullo

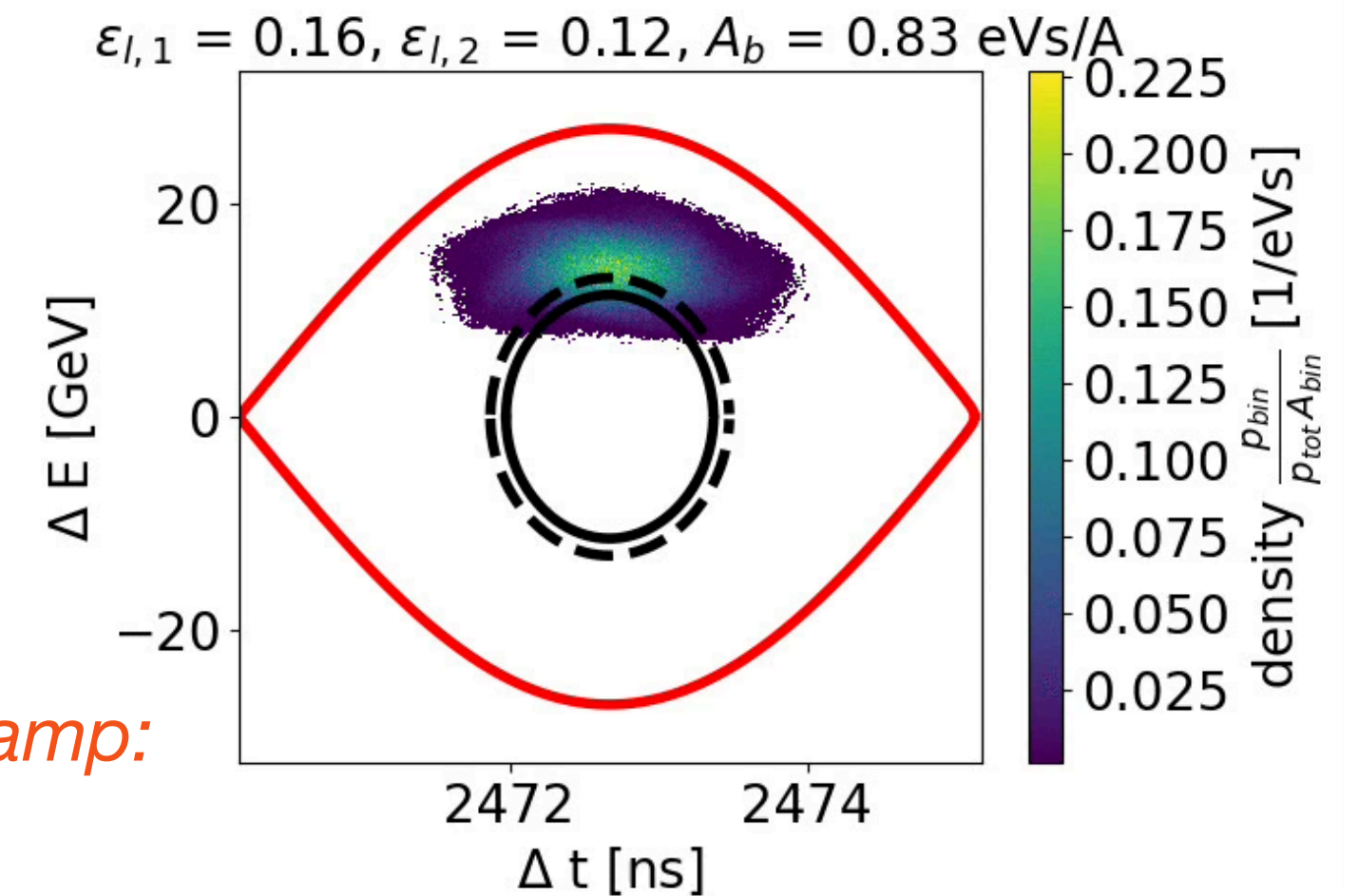
Momentum slip stacking

Where is it applied?

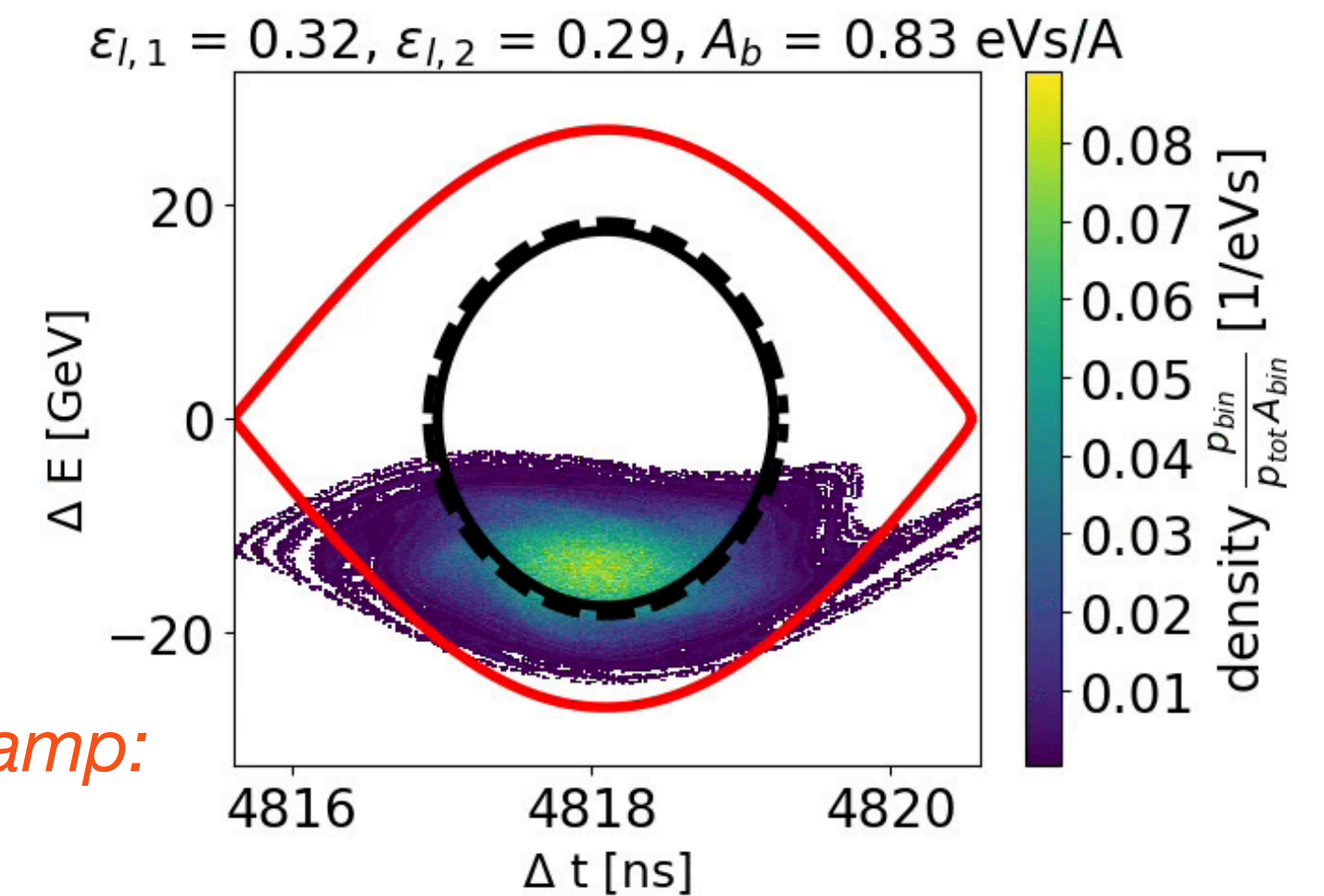
- In the SPS for the 50 ns spaced ion beam production
 - Two 100 ns spaced batches are interleaved on an intermediate momentum plateau

What does it require from the hardware?

- The ability to control the RF cavities in two groups
 - In voltage, phase, and frequency
 - For LIU-SPS, implemented in the Long Shutdown 2
- Sufficient voltage in the two groups during slippage
- Sufficient total voltage for recapture
- Sufficient aperture in the beam pipe
- The timings for the exact voltage and frequency programs are very intricate
 - For the SPS, designed with particle tracking simulation scans



*Re-capture and ramp:
first bunch*



*Re-capture and ramp:
last bunch*

Coalescing

What is it?

- A bunch rotation of many bunches, merging them together

How is it done?

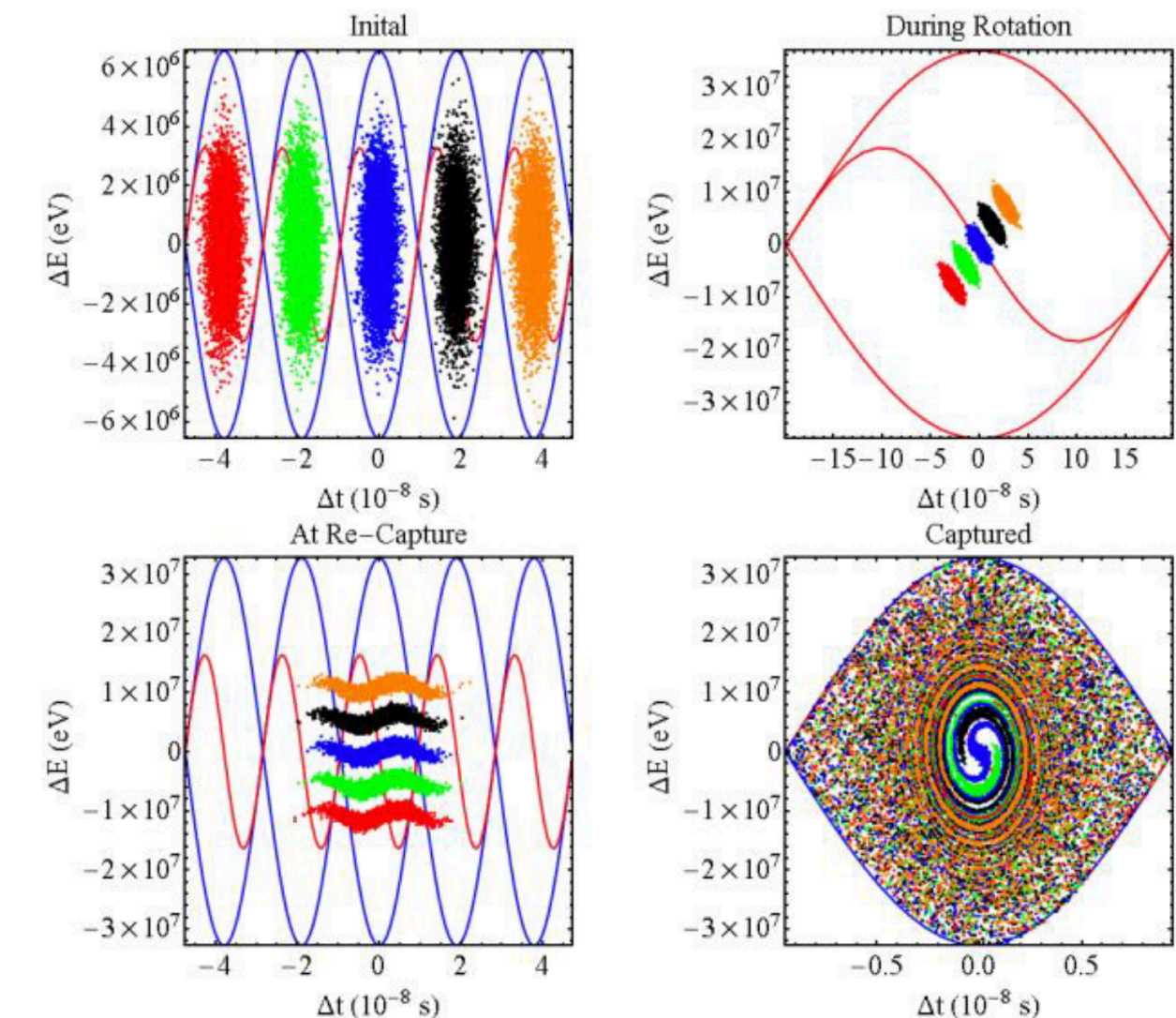
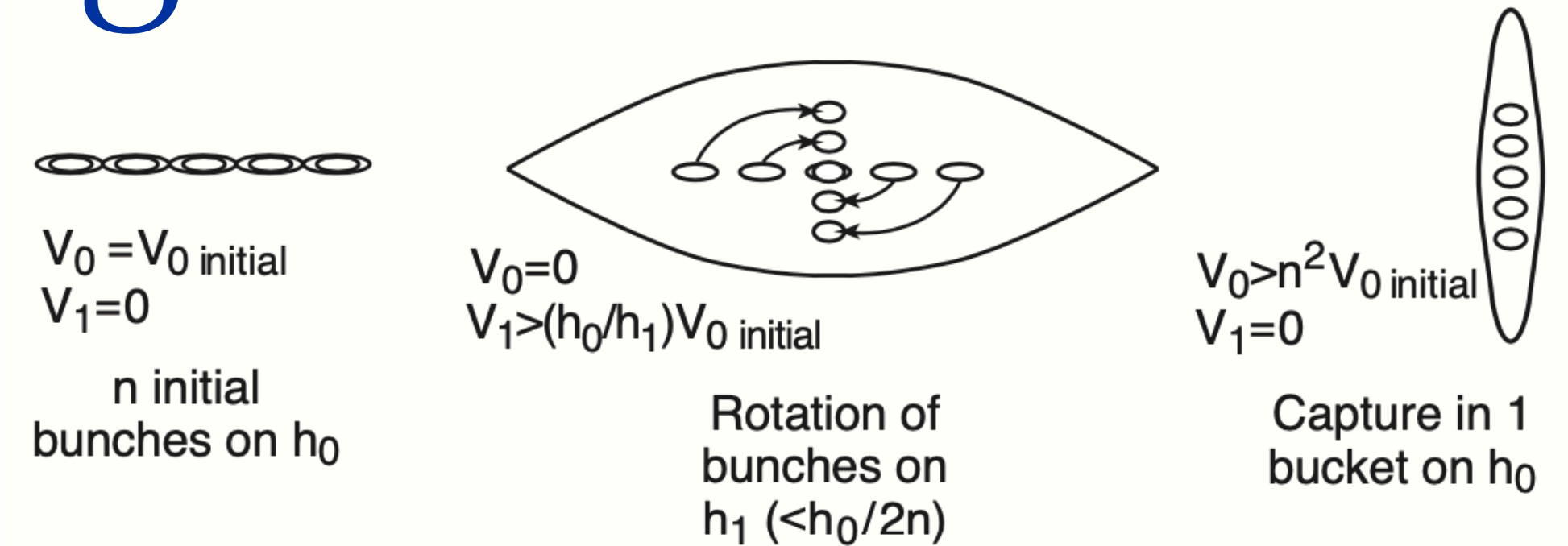
- Via a non-adiabatic voltage increase at a harmonic that captures all bunches to be merged
 - Finally, the bunches are recaptured on the initial harmonic, with a much higher voltage

Why use it?

- Can significantly increase the bunch intensity
 - Also increases the longitudinal emittance

Where is it applied?

- At the Fermilab main injector with protons



Top: sketch of coalescing from [27]

Bottom: coalescing in Fermilab from [28]

Barrier bucket

What is it?

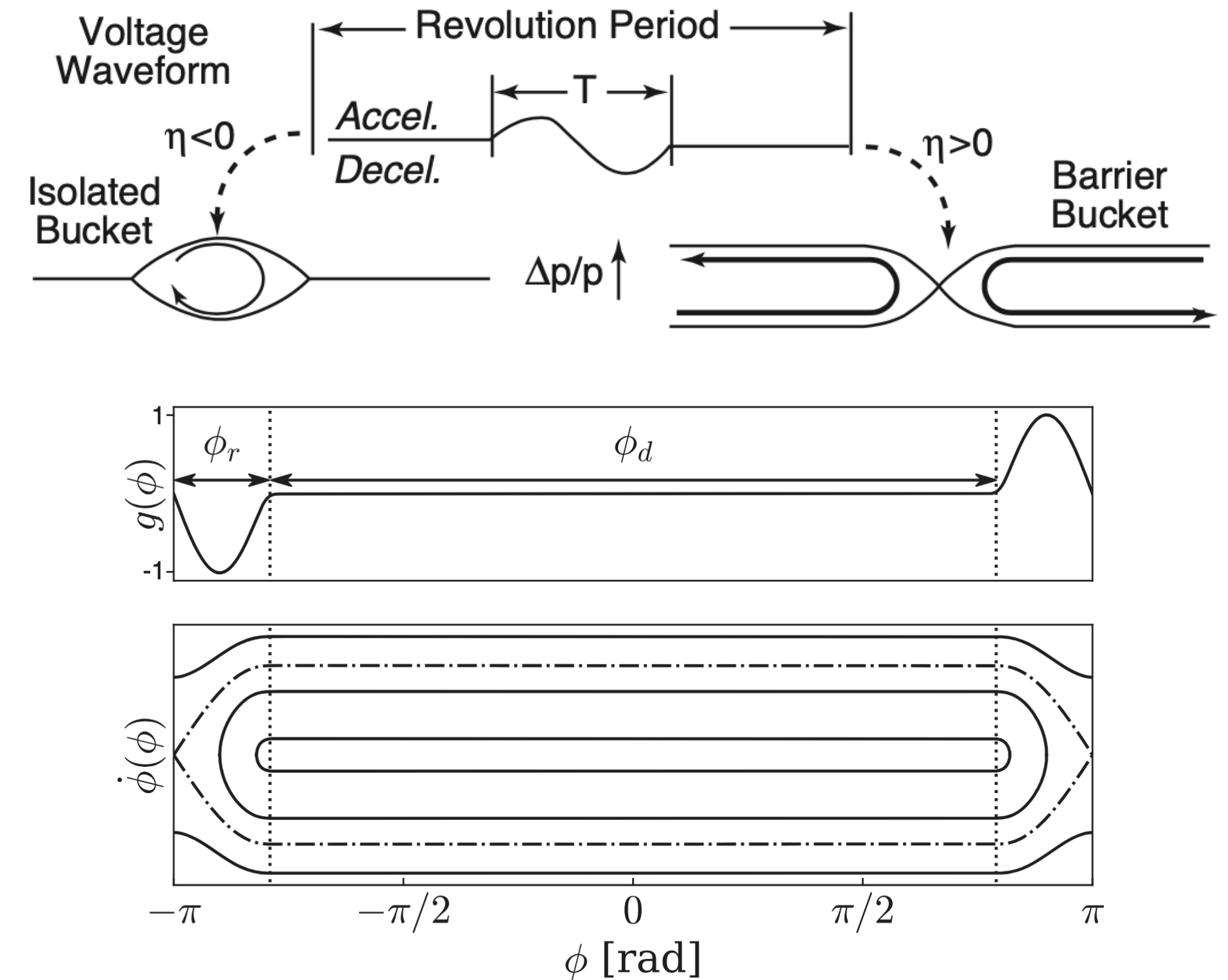
- A non-sinusoidal RF bucket that is stretched longitudinally

How is it done?

- Single voltage pulse per turn, with same or opposite polarity
 - Results in an isolated or barrier bucket

Why use it?

- To create coasting beam within the potential well
- Can stretch or compress the bunch by moving the RF phase



Top: sketch of isolated and barrier bucket from [27]

Bottom: potential well for barrier bucket from [29]

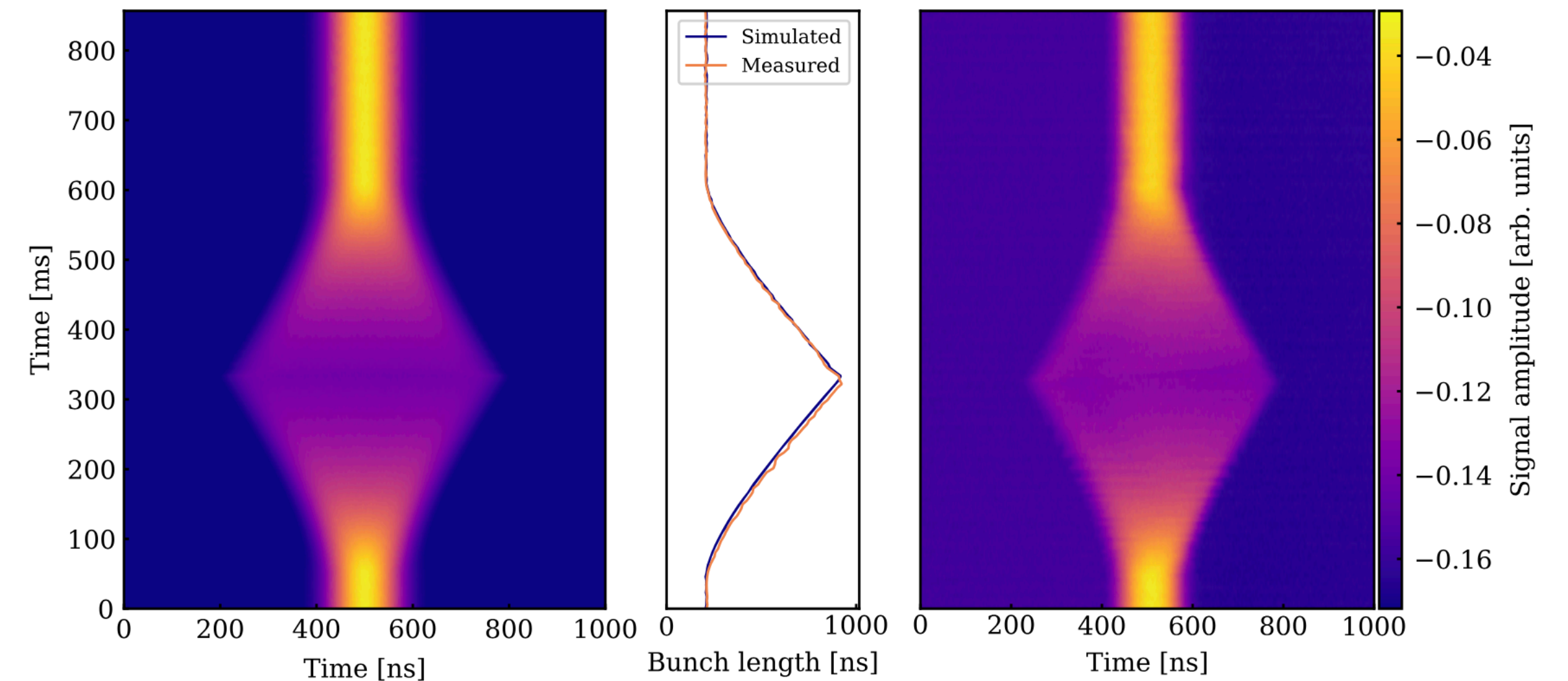
Barrier bucket

Where is it applied?

- In the PS, to generate beams for SPS fixed target use
 - Accelerated through the SPS
- Advantage w.r.t. coasting beam: leaving a kicker gap with no beam
 - Significantly reduces beam losses and irradiation

What does it require from the hardware?

- A broad-band RF systems
 - Intrinsically, the peak voltage is limited
 - In the PS, Finemet® cavities that are usable from 400 kHz -10 MHz



Slowly stretching and compressing the batch with the barrier-bucket mechanism [29]

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- Barrier bucket

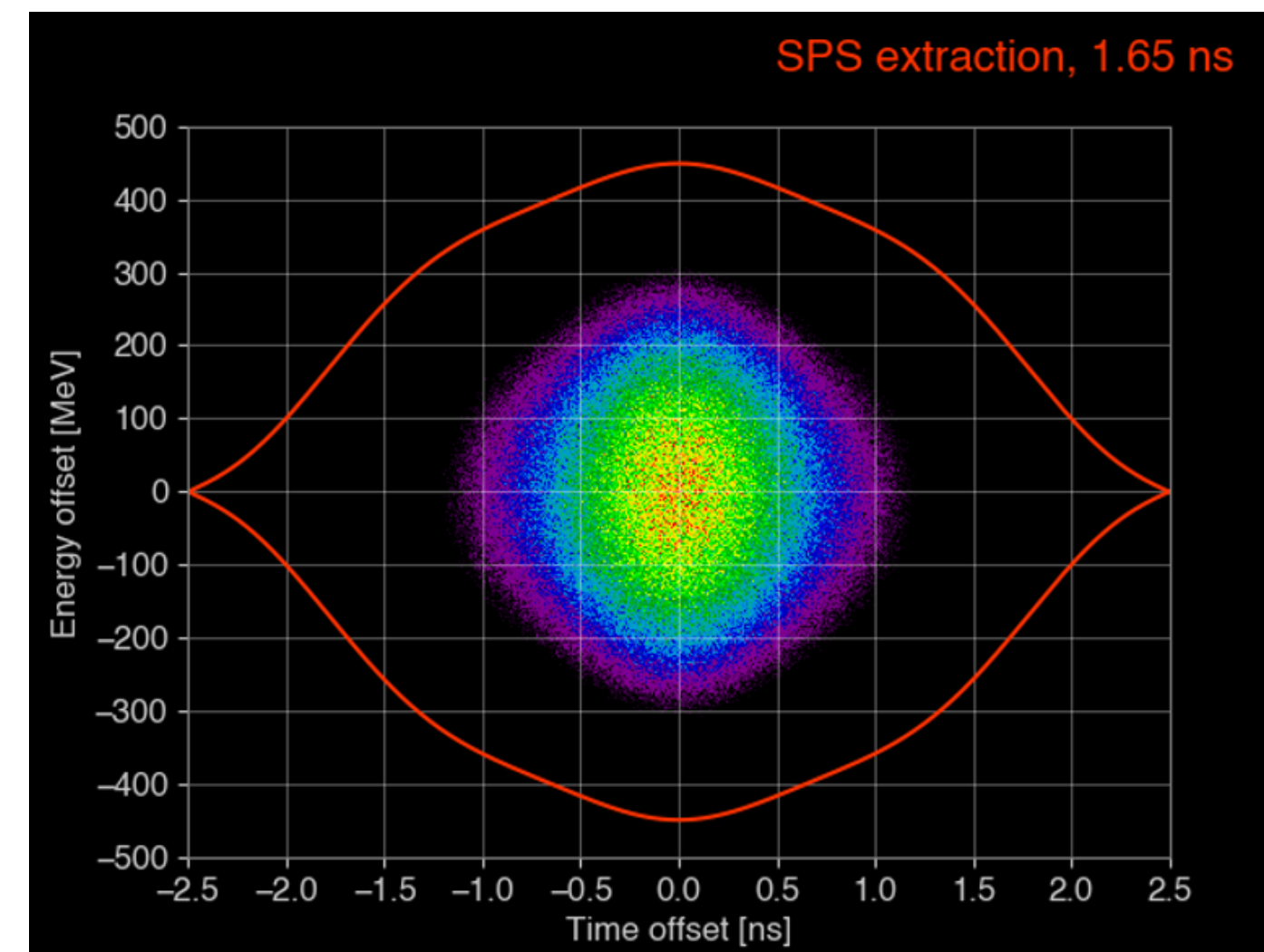
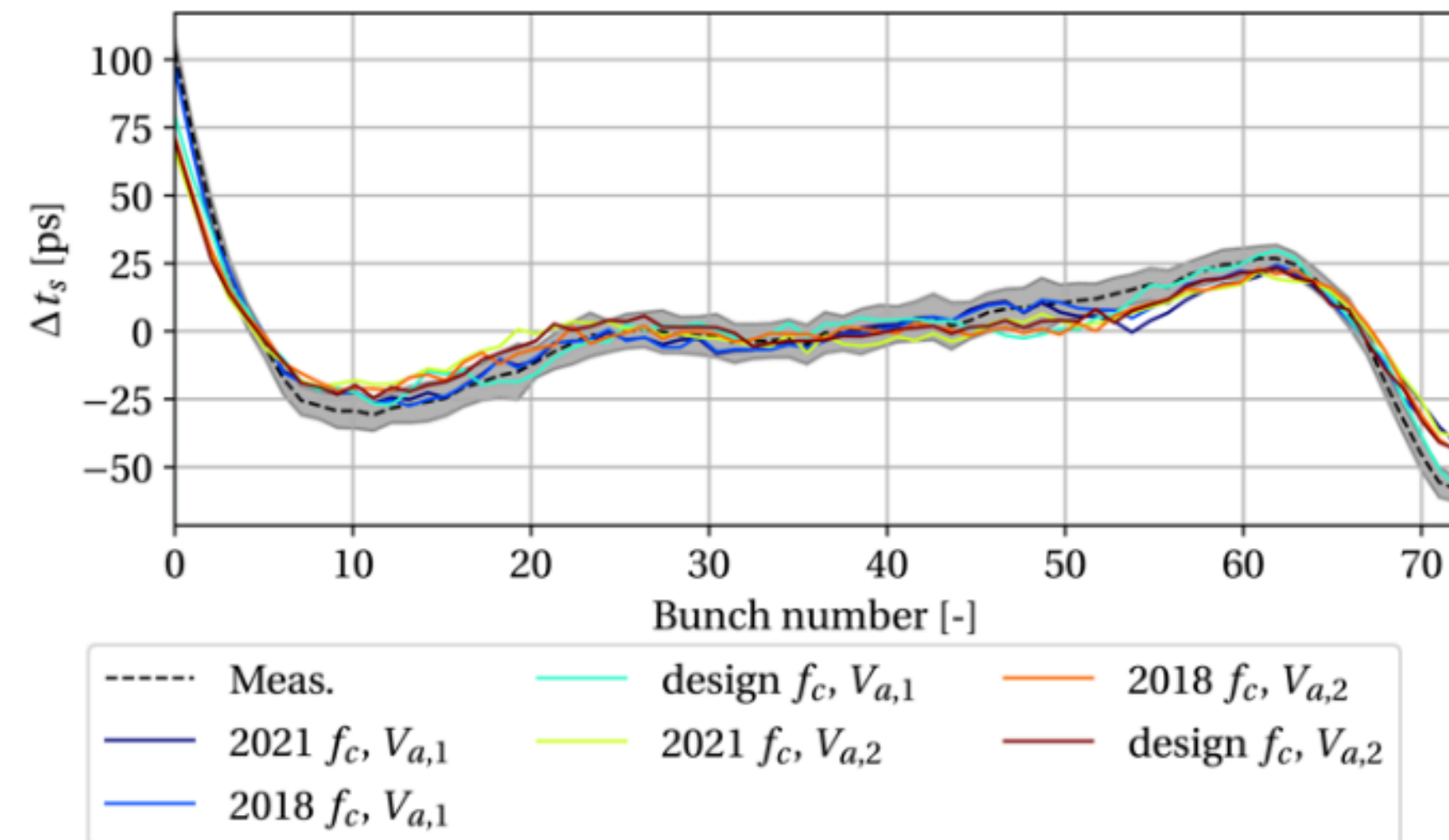
Integration in an RF system

- Beam loading
- RF voltage/power limitations
- Designing RF parameters

Beam-loading compensation

SPS case: normal-conducting, travelling-wave cavities at 200 MHz and 800 MHz

- The cavity impedance is reduced by a one-turn delay feedback (OTFB)
 - Strong beam-loading pattern
- Beam stability required controlled emittance blow-up to maximum acceptable emittance
 - Maximum bunch length determined by the LHC bucket (half the size); LIU design for HL-LHC: (1.65 ± 0.15) ns
 - Maximum momentum spread determined by flattop RF voltages (10+2 MV) in the presence of beam loading: 5.32×10^{-4}



LIU baseline at SPS extraction

$V_{200} = 10$ MV

$V_{800} = 2$ MV

$\tau = 1.65$ ns

Bunch-by-bunch longitudinal offset from beam loading

Courtesy of B. Karlsen-Bæck

Beam-loading compensation

LHC case: super-conducting, standing-wave cavities at 400 MHz

- Eight cavities per beam
- One klystron of 300 kW generating the RF power per cavity
 - For HL-LHC, these klystrons will be upgraded to 350 kW klystrons

The cavity impedance is reduced by a direct RF feedback

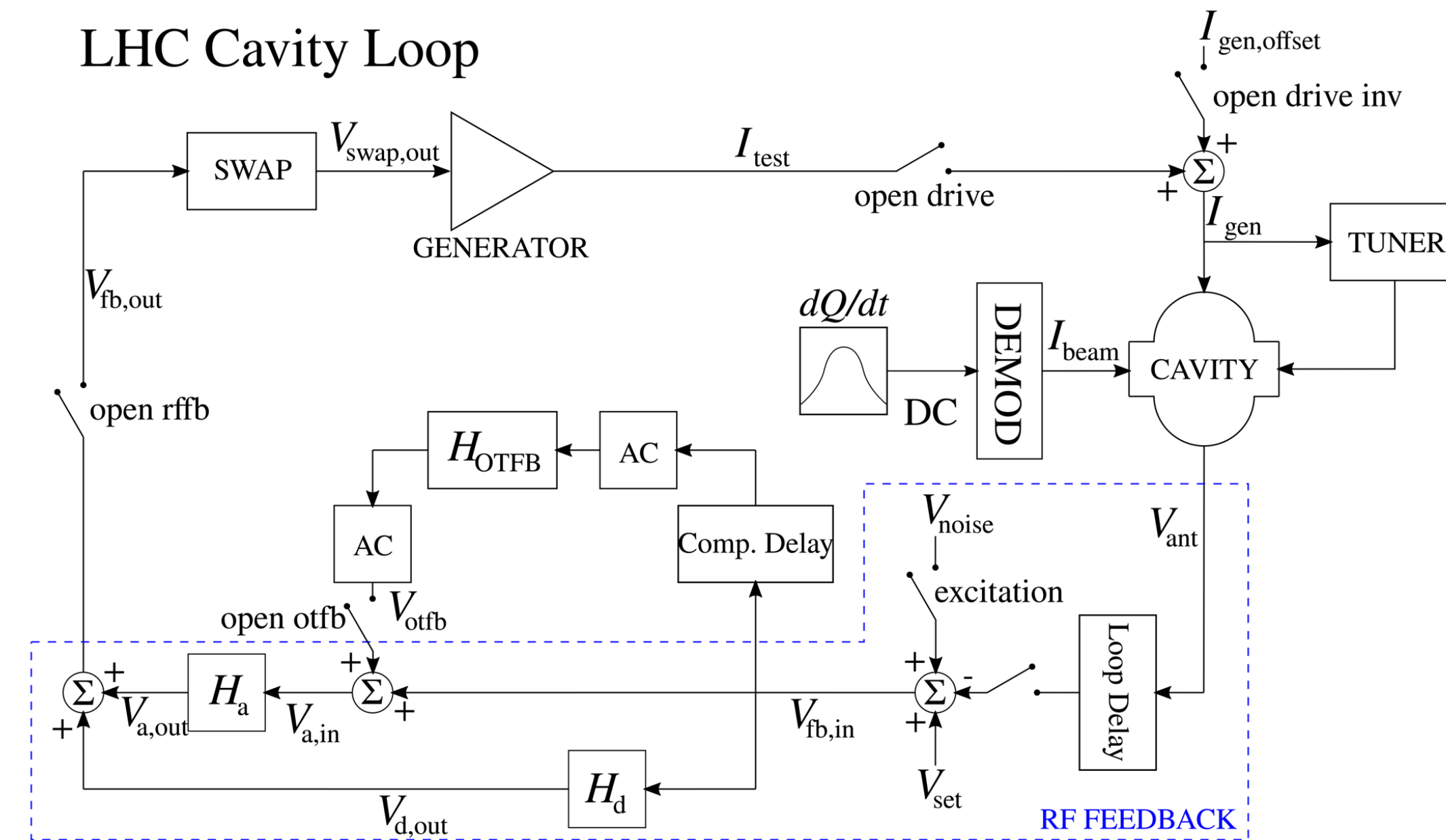
- Analog high-pass branch: gain G_a , delay τ_a

$$y^{(n)} = \left[1 - \frac{T_s}{\tau_a} \right] y^{(n-1)} + G_a (x^{(n)} - x^{(n-1)})$$

- Digital low-pass branch: gain G_d , delay τ_d

$$y^{(n)} = \left[1 - \frac{T_s}{\tau_d} \right] y^{(n-1)} + G_a G_d e^{i\Delta\phi_{ad}} \frac{T_s}{\tau_d} x^{(n-1)}$$

- One-turn delay feedback (comb filter) boosts the analog gain
- Tuning and clamping loops



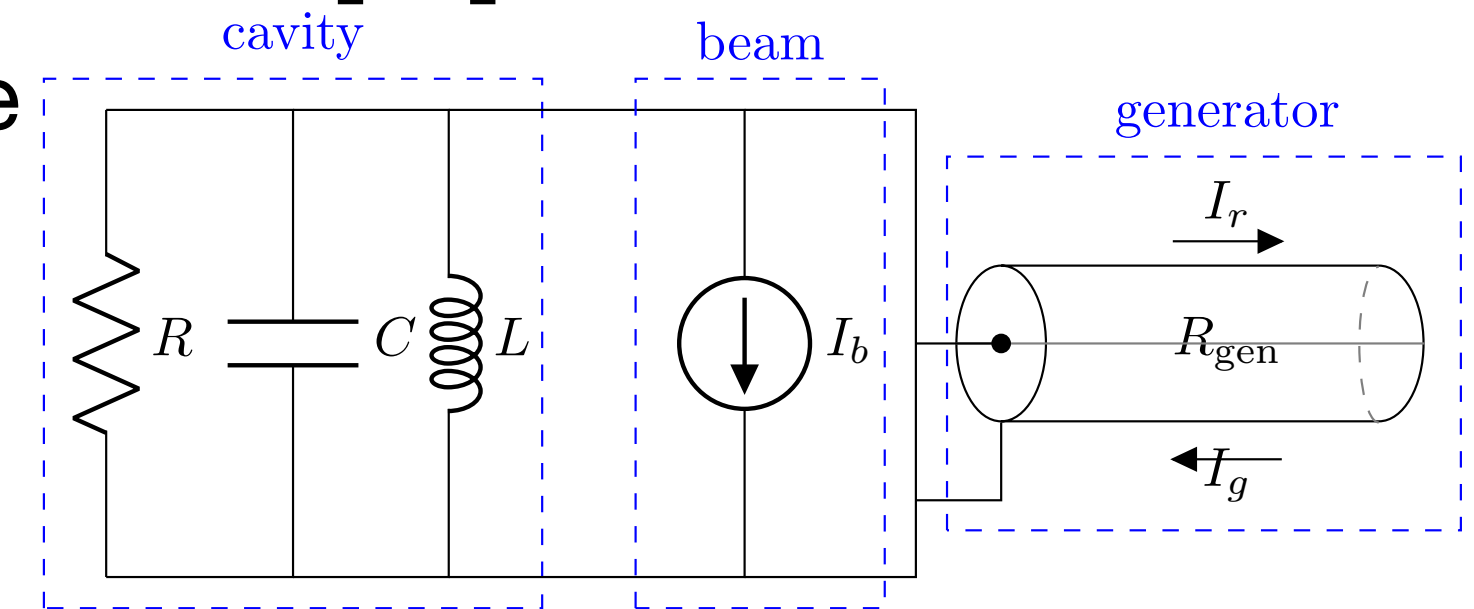
Model of the LHC cavity controller as in [30]

Half-detuning scheme

Cavity-transmitter-beam interaction can be described using a circuit model [31]

- Cavity: RLC circuit, beam: current source, generator: transmission line

$$I_{\text{gen}}(t) = \frac{V_{\text{ant}}(t)}{2R/Q} \left(\frac{1}{Q_L} - 2i \frac{\Delta\omega}{\omega} \right) + \frac{dV_{\text{ant}}(t)}{dt} \frac{1}{\omega R/Q} + \frac{1}{2} I_{b,\text{rf}}(t)$$



Cavity tune chosen usually to minimise the RF power

- Without beam, and for a given cavity Q_L , the frequency is tuned to the centre of the resonance ω_r
- At LHC injection, we require the $\vec{V}_{\text{ant}} = \text{const.}$

- Then the power becomes:

$$P_{\text{gen}} = \frac{1}{8} R/Q Q_L \left(\frac{V_{\text{ant}}}{R/Q} \frac{1}{Q_L} + \cancel{\Re(I_{b,\text{rf}})} \right)^2 + \frac{1}{8} R/Q Q_L \left(-2 \frac{V_{\text{ant}}}{R/Q} \frac{\Delta\omega}{\omega_r} + \Im(I_{b,\text{rf}}) \right)^2$$

- And its minimum average value is [32]:

$$P_{\text{gen}} = \frac{1}{8} \frac{V_{\text{ant}}^2}{R/Q Q_L} + \frac{1}{32} R/Q Q_L I_{b,\text{rf}}^2 = \frac{1}{8} V_{\text{ant}} I_{b,\text{rf}}, \text{ with the optimum detuning of } \Delta\omega_{\text{HD}} = \frac{1}{4} R/Q \frac{I_{b,\text{rf}}}{V_{\text{ant}}} \omega_r$$

Full-detuning scheme

Once the beam is in the machine, we can let the phase slip and only keep $|\vec{V}_{\text{ant}}| = \text{const.}$

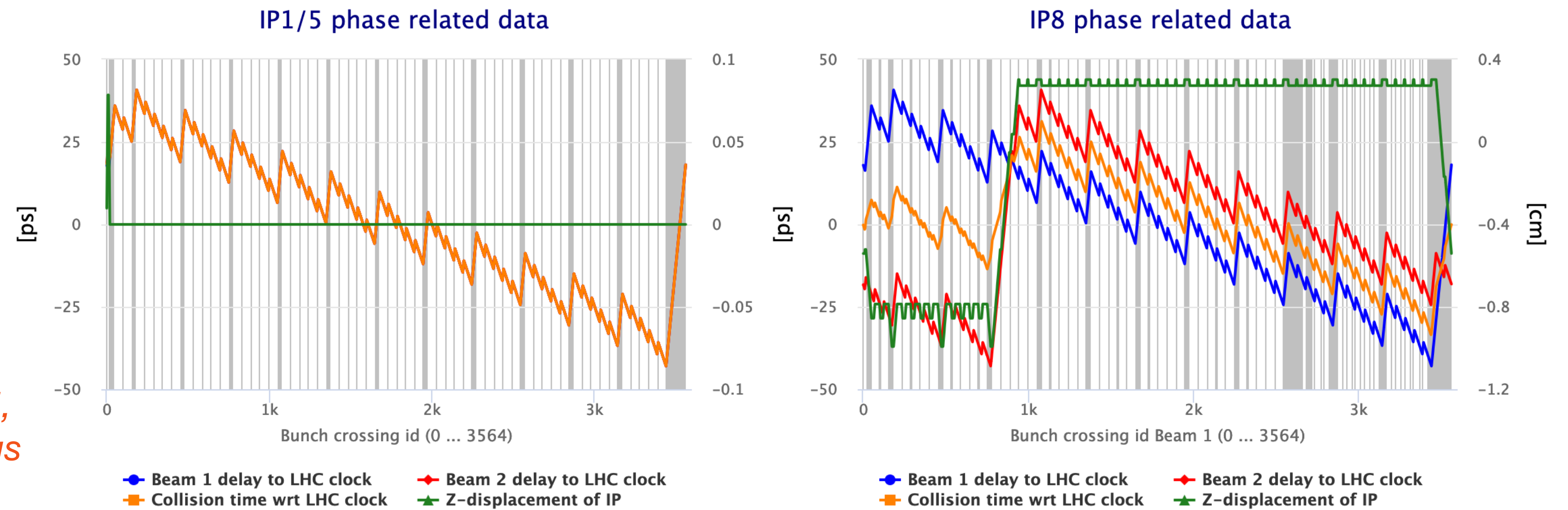
$$P_{\text{gen}} = \frac{1}{8} \frac{V_{\text{ant}}^2}{R/Q Q_L} + \frac{1}{2} R/Q Q_L \left(\frac{V_{\text{ant}}}{R/Q} \frac{\dot{\phi}}{\omega_r} - \frac{V_{\text{ant}}}{R/Q} \frac{\Delta\omega}{\omega_r} + \frac{1}{2} \Im(e^{-j\phi} I_{b,\text{rf}}) \right)^2$$

- Now we have a “knob” to compensate the bunch-by-bunch variation of the beam current along the ring via $\dot{\phi}$
- Abracadabra: the minimum voltage becomes the same as if there was no beam loading!

$$P_{\text{gen}} \approx \frac{1}{8} \frac{V_{\text{ant}}^2}{R/Q Q_L}$$

Impact of full detuning on the LHC experiments:

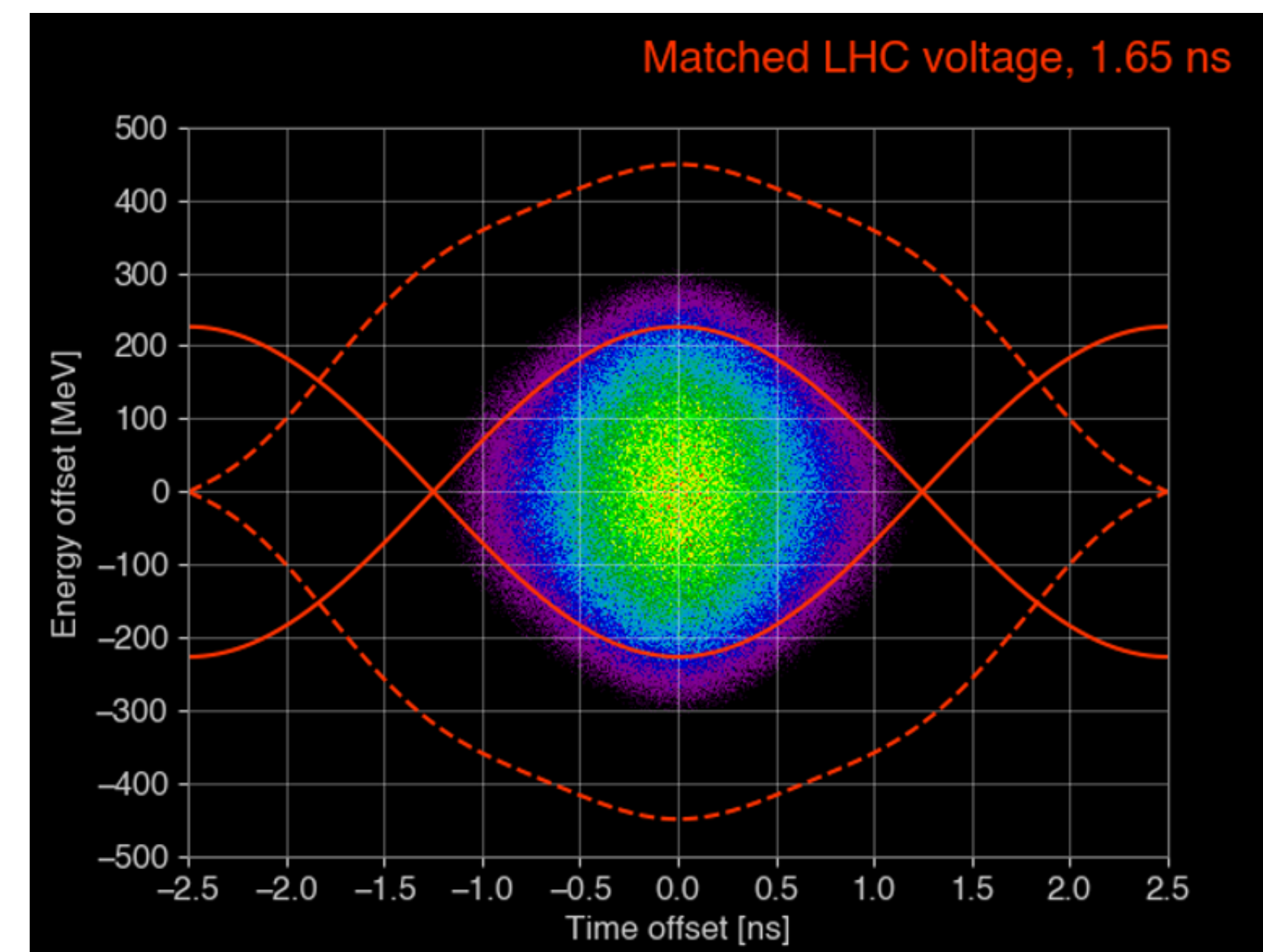
*Left: delay in collision time in IP1/5,
Right: displacement of the luminous spot in IP2/8*



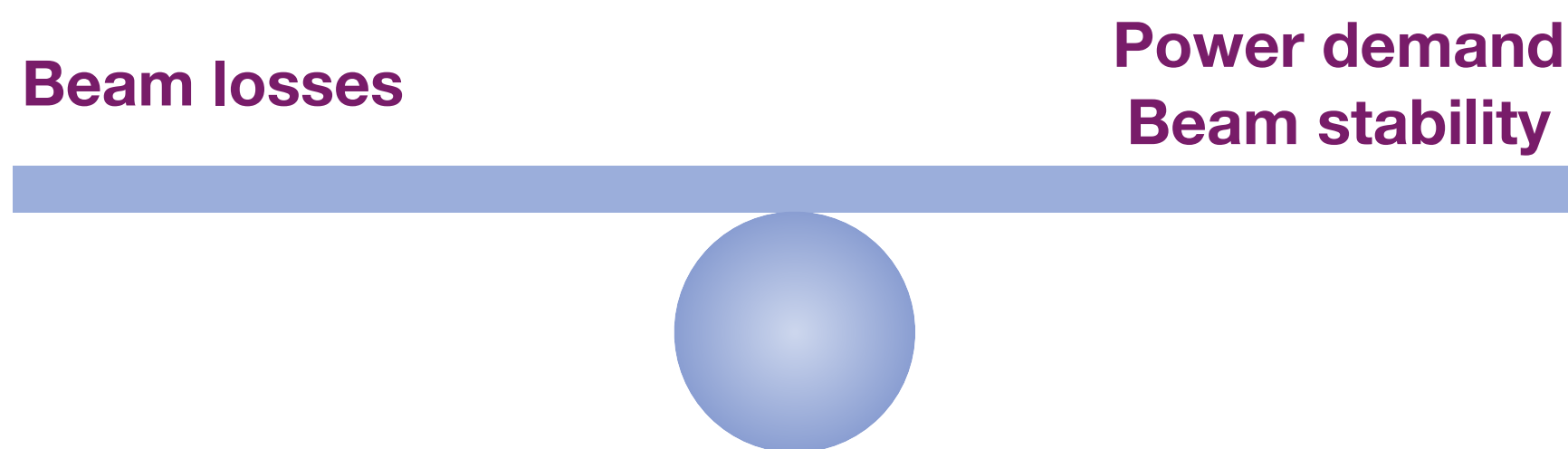
RF voltage and power limitations

HL-LHC optimum voltage at injection?

- The SPS bunches are long for the LHC bucket
 - Using a matched voltage is detrimental for capture losses
- In addition, the SPS beam loading pattern introduced a bunch-by-bunch phase offset, making the situation worse
 - Injection phase and energy errors are kept to a minimum (± 60 MeV, $\pm 10^\circ$)
- To reduce beam losses, a larger-than-matched voltage is used
- On the other hand, we need to limit the capture voltage
 - Most power is used for beam-loading compensation (> 60-70 %)
 - Mismatch not beneficial for undamped oscillations observed at flat bottom



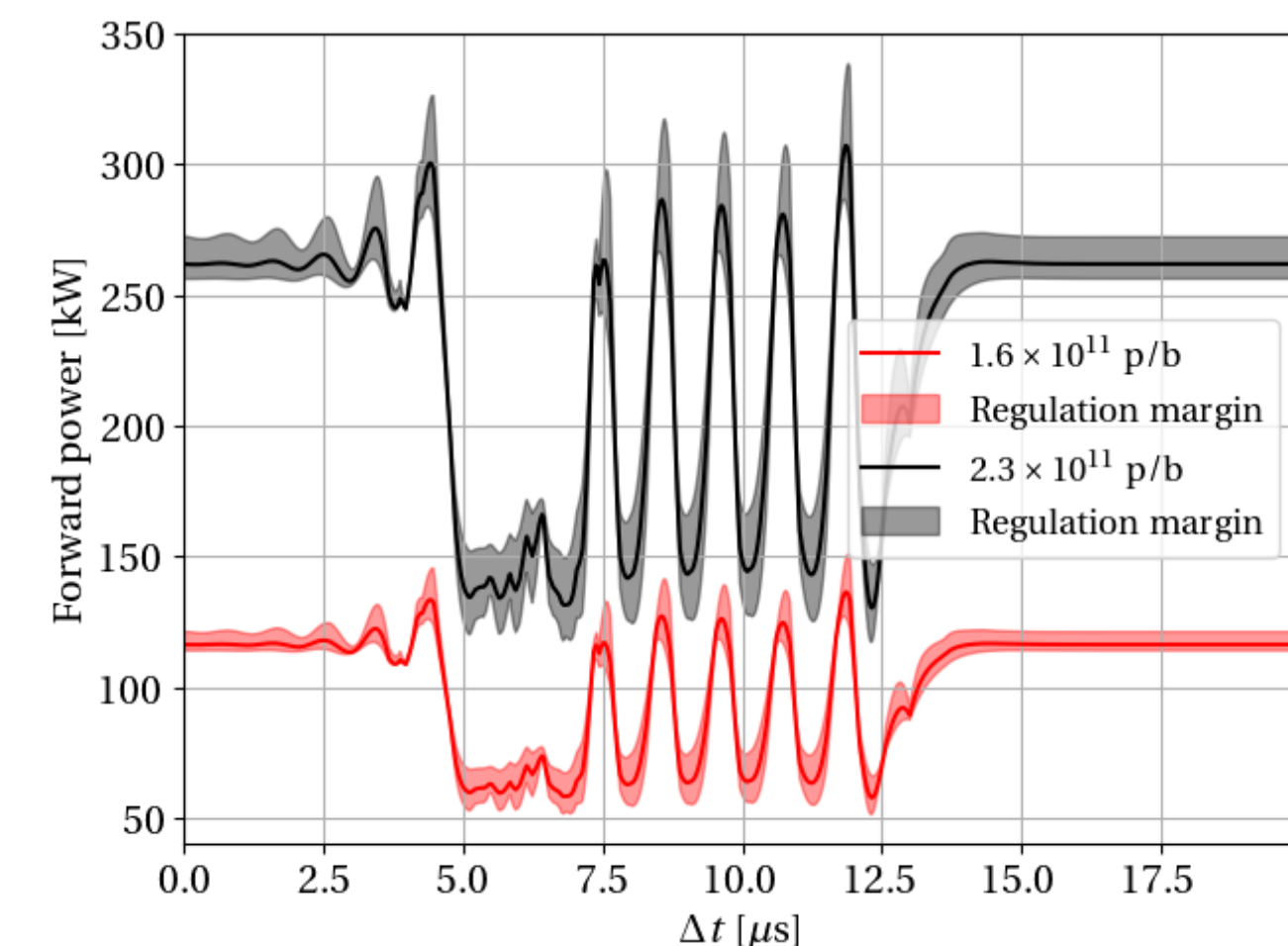
Why using a matched LHC capture voltage doesn't work for SPS bunches



RF voltage and power limitations

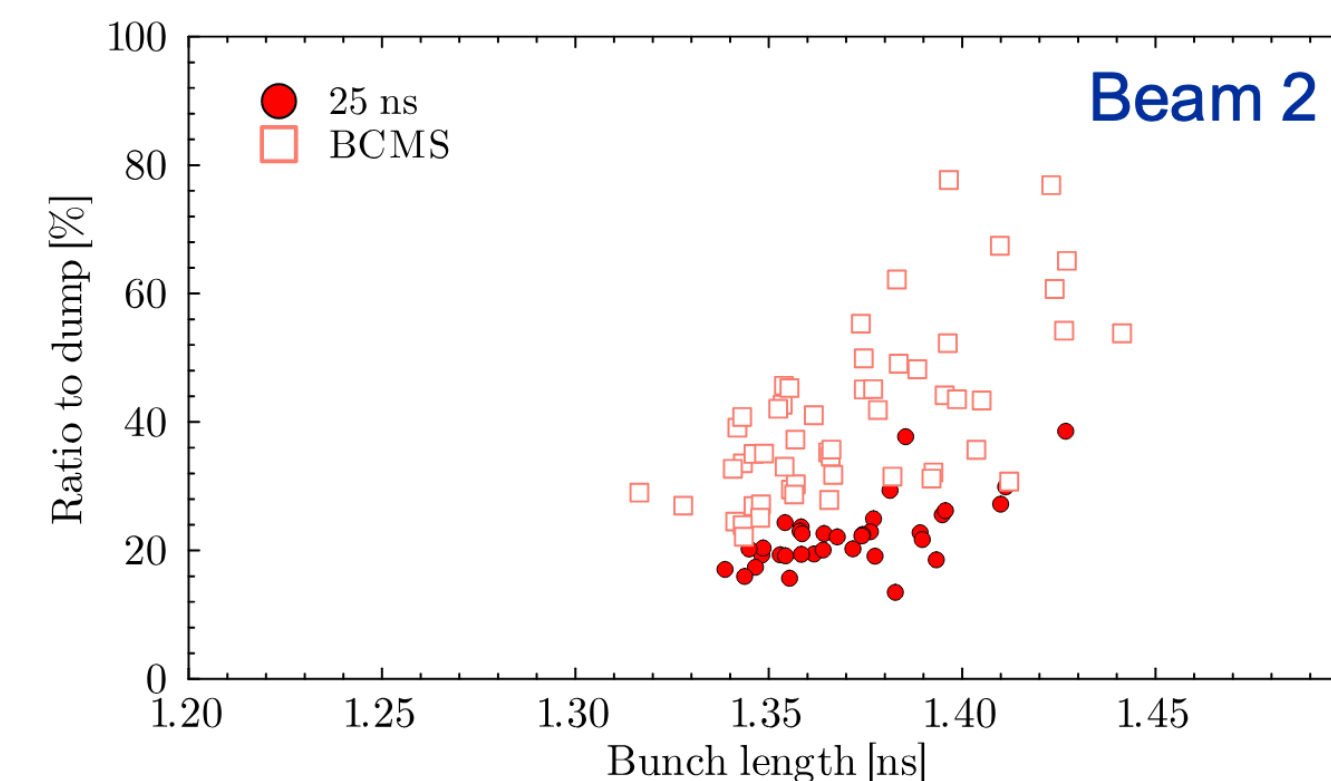
HL-LHC beam loading at injection

- Equally spaced bunches arriving from the SPS
 - Require using the half-detuning scheme
 - For HL-LHC beam currents, $I_{b,rf} = 2.2$ A, the average power is close to 300 kW, and the peak power exceeds 315 kW in the best case
 - Need of high-efficiency klystrons



The complexity of beam losses

- Immediate capture losses determined by SPS-to-LHC transfer
- Blow-up along the flat bottom due to intra-beam scattering and RF noise
 - Debunching from the halo population
- Filling 15-20 batches, some batches spend only 5 minutes on flat bottom, while others may spend 1 hour
 - Injection and abort gap cleaning on
 - Bottleneck for HL-LHC: start-of-ramp losses



Top: simulated power
Bottom: start-of-ramp losses

Courtesy of B. Karlsen-Baek



If you were given the momentum programme of a machine, how would you design the RF voltage programme?



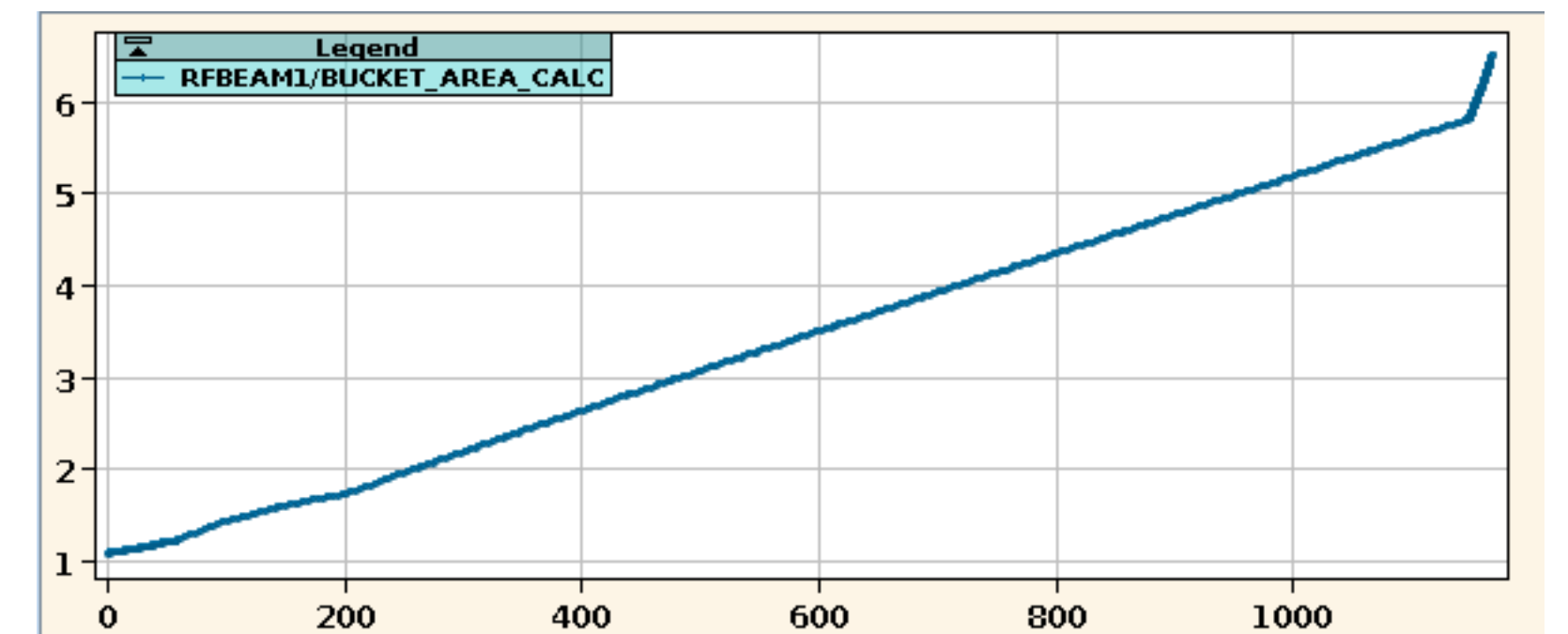
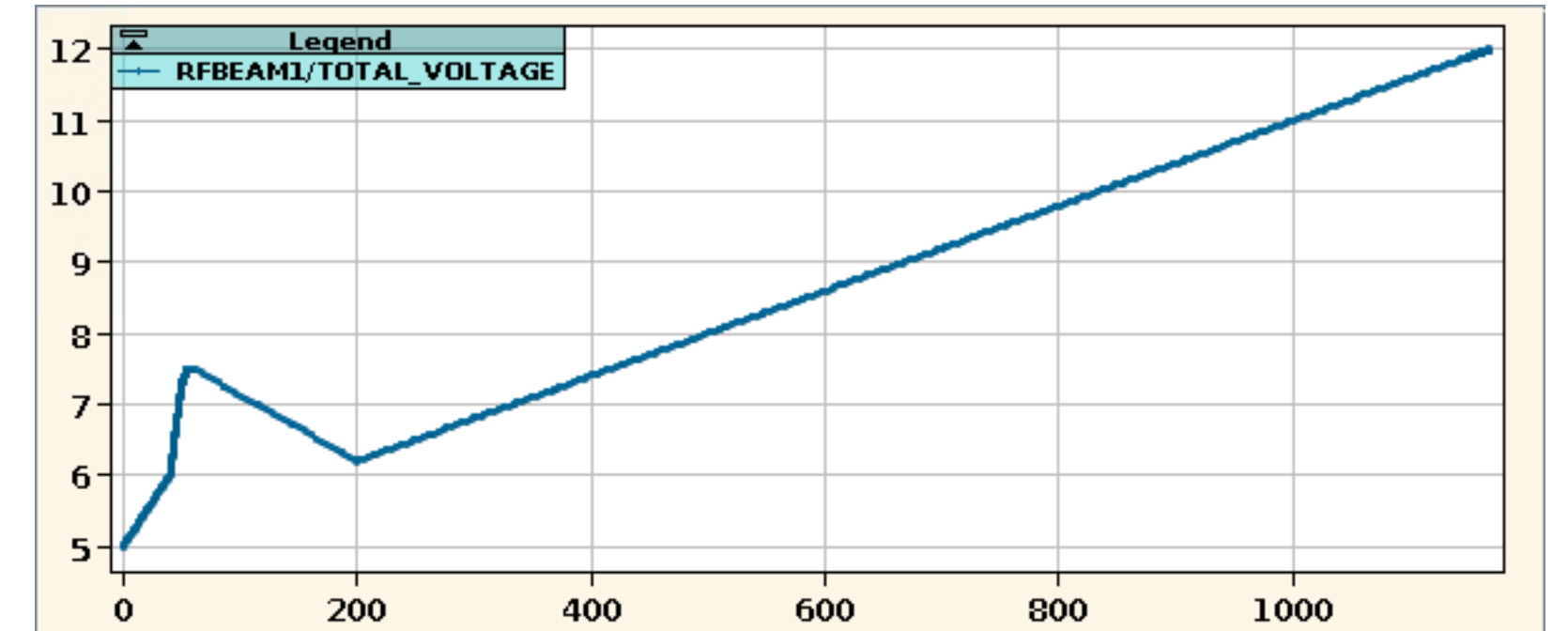
TIPS

For different machines, different criteria should be considered

Designing the RF cycle

Example: LHC voltage design

- Operational momentum programme: Parabolic-Exponential-Linear-Parabolic (PELP)
 - Ramp rate of superconducting magnets limited, overall ramp takes ~20 minutes
 - Using a linear voltage ramp ensures a monotonic increase in bucket area
 - The blow-up with a constant target bunch length ensures beam stability through a constant filling factor
- Experimental ramp: Parabolic-Parabolic-Linear-Parabolic (PPLP)
 - Gain: 110 s
 - Using a linear voltage ramp would decrease the bucket area at the start of ramp and thus create losses
 - Voltage shaping applied to keep a monotonic increase in bucket area



PPLP voltage (top) and bucket area (bottom)

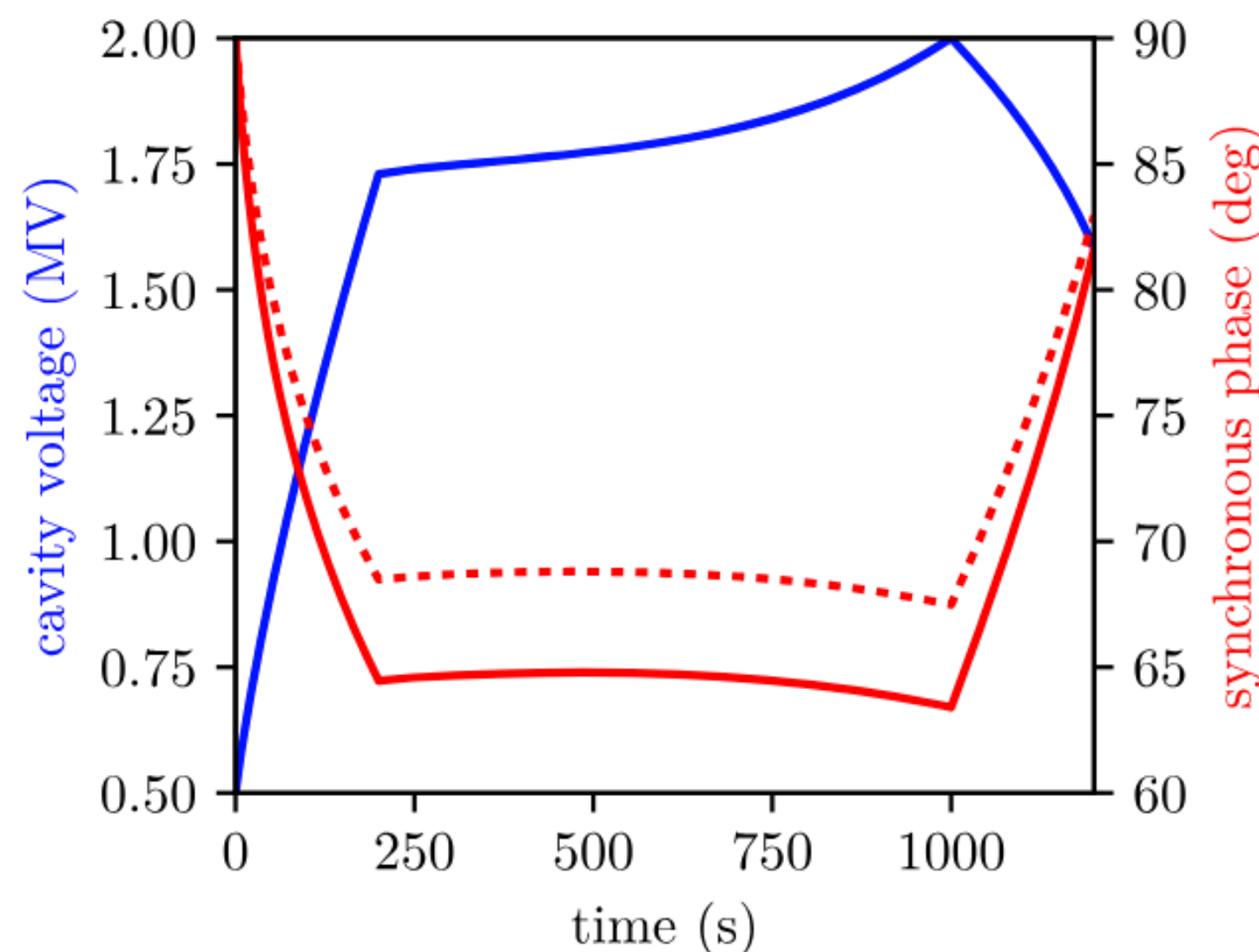
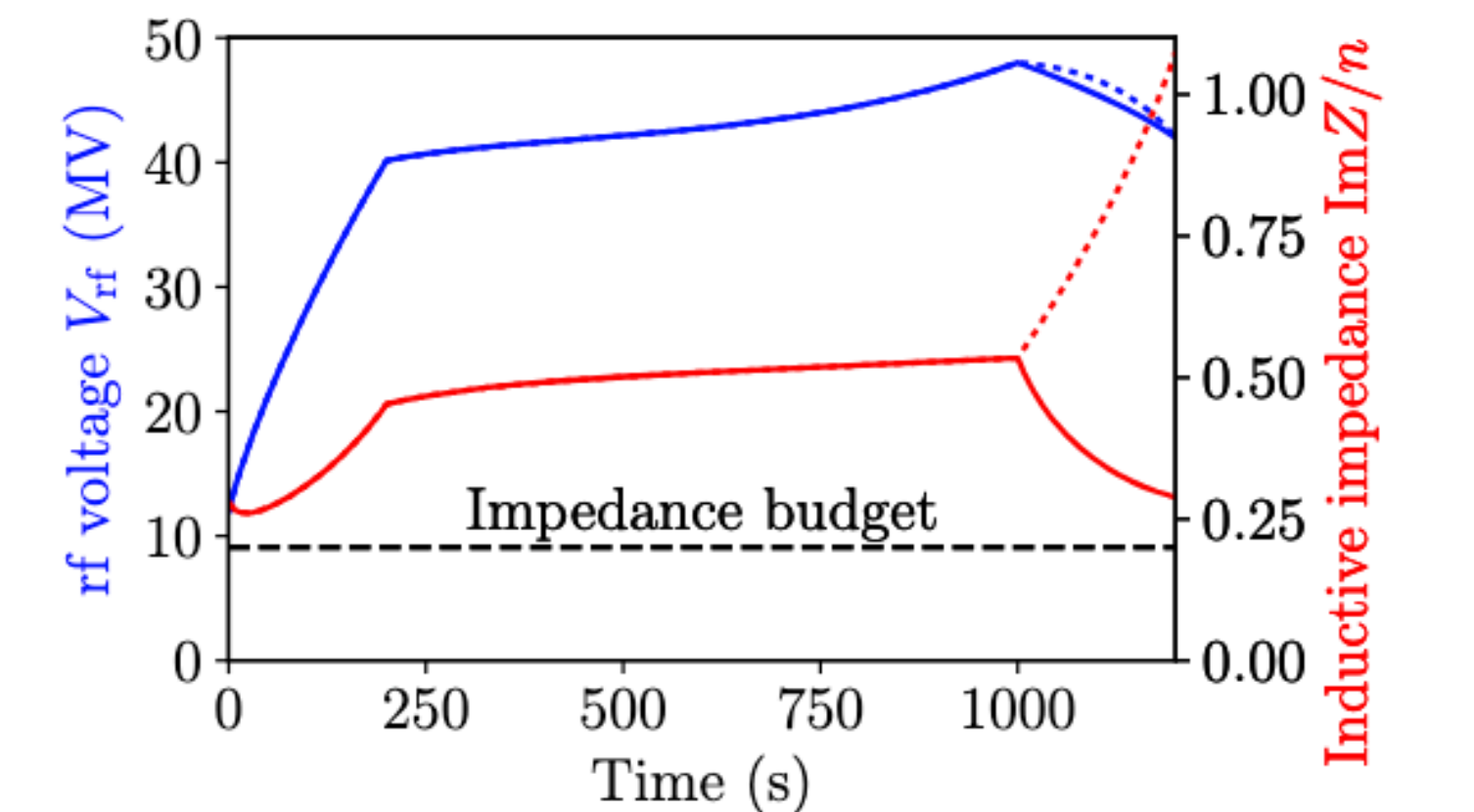
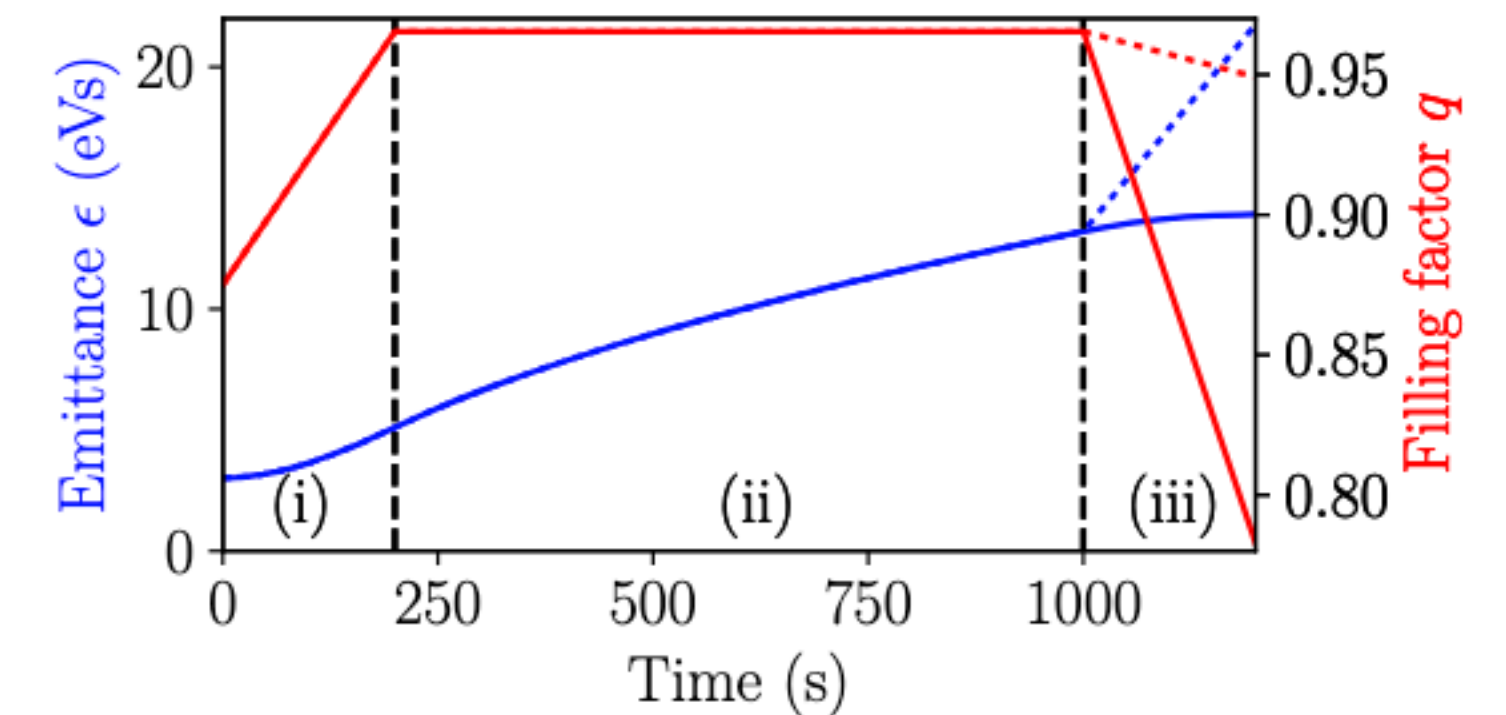
Bucket area

$$A_b = \frac{2}{\omega_{\text{rf}}} \sqrt{\frac{\beta_d^2 E_d e V_{\text{rf}}}{\pi h |\eta_0|}} \int_{\pi - \varphi_d}^{\varphi_u} d\varphi \sqrt{\cos(\pi - \varphi_d) - \cos \varphi + (\pi - \varphi_d) \sin \varphi_d - \varphi \sin \varphi_d}$$

Designing the RF system

What are the considerations to be taken into account e.g. for the FCC?

- Keep ~constant filling factor to counteract loss of Landau damping
 - Similar to LHC, controlled emittance blow-up keeping constant bunch length
- At flattop, need an extra blow-up to counteract the fast SR damping
- Cavities similar to LHC design, with 2 MV maximum field
 - Maximum is actually not reached at flattop but during the ramp



RF voltage design for FCC from [34]

Synchronous phase of the beam (red solid line) and the synchronous particle (red dotted line)

Cycle design for FCC from [35]

*Top: emittance and filling factor
Bottom: total voltage and impedance budget for Landau damping*

Summary

Bunch length regulation

- Adiabatic changes
- Rotation
- Splitting, merging

Phase space regulation

- Diffusion, noise injection
- Resonant excitation
- Longitudinal painting
- Debunching

Advanced manipulations

- Momentum slip stacking
- Barrier bucket

Integration in an RF system

- Beam loading
- RF voltage/power limitations
- Designing RF parameters

Thank you for your attention!