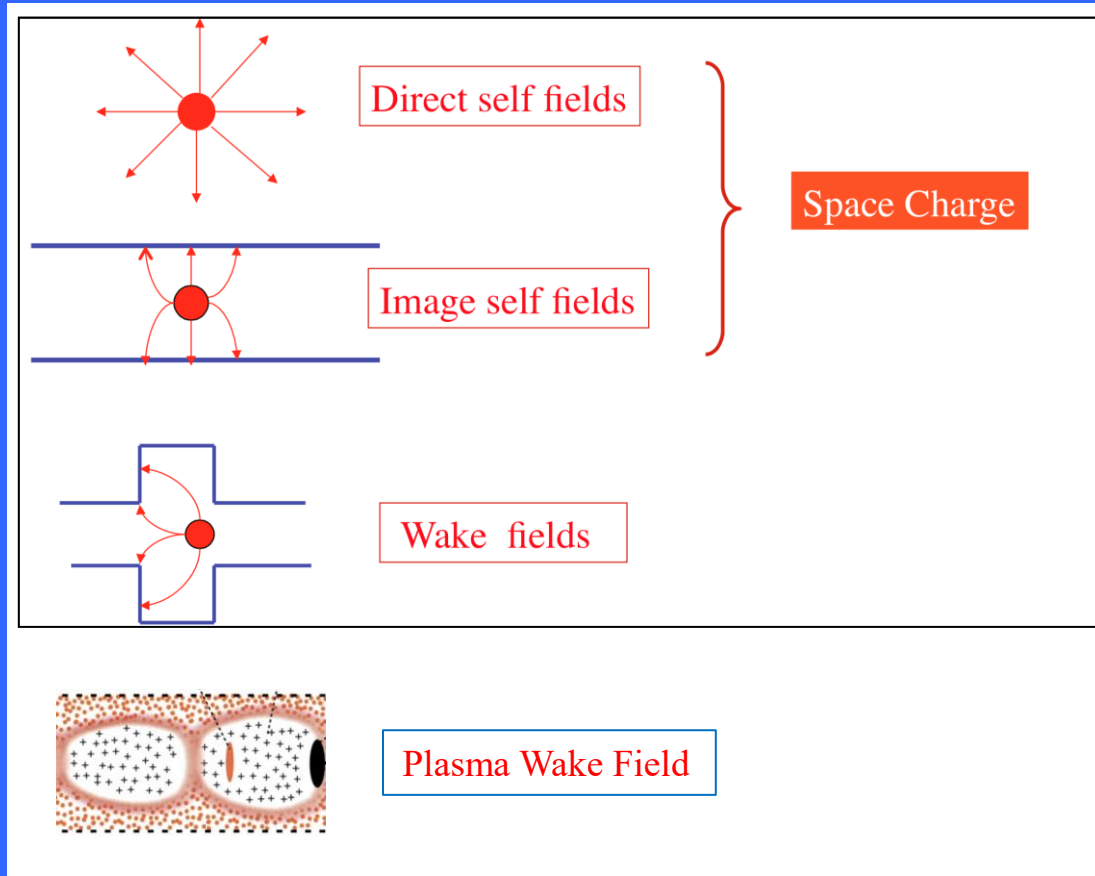


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OUTLINE

- Introduction and Heuristic model
- Basic Concepts
- Beam Break Up in Linear Accelerators
- BNS damping

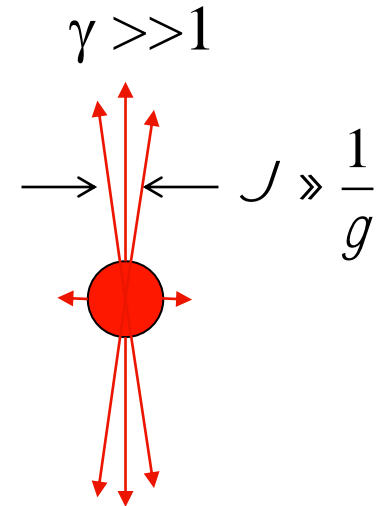
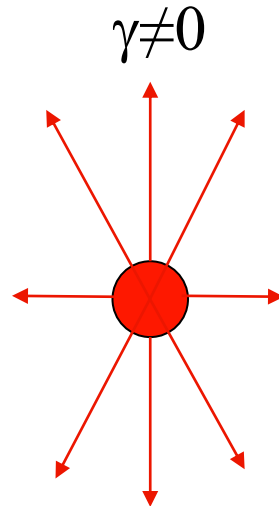
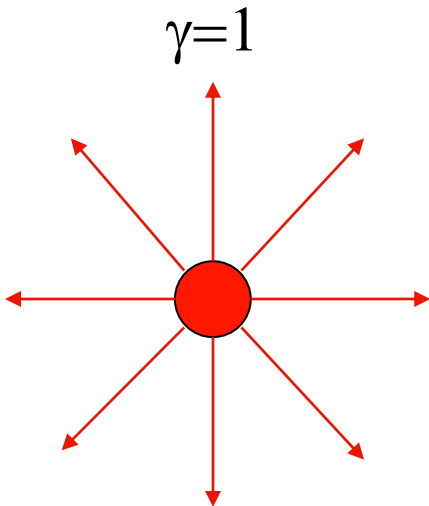
$$g = \frac{1}{\sqrt{1 - b^2}}$$

$$\vec{E} = \frac{q}{4\pi\epsilon_0} \frac{(1 - b^2)}{(1 - b^2 \sin^2 \theta)^{3/2}} \frac{\vec{r}}{r^3}$$

$$\beta = 0 \Rightarrow \vec{E} = \frac{q}{4\pi\epsilon_0} \frac{\vec{r}}{r^3}$$

$$\theta = 0 \Rightarrow E_{\parallel} = \frac{1}{\gamma^2} \frac{q}{4\pi\epsilon_0} \frac{\vec{r}}{r^3} \xrightarrow{\gamma \rightarrow \infty} 0$$

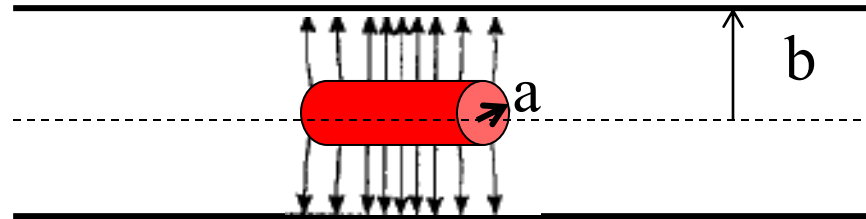
$$\theta = \frac{\pi}{2} \Rightarrow E_{\perp} = \gamma \frac{q}{4\pi\epsilon_0} \frac{\vec{r}}{r^3} \xrightarrow{\gamma \rightarrow \infty} \infty$$



Bunched beam - Circular Perfectly Conducting Pipe

- Beam at Centre- Static Approximation $\gamma \rightarrow \infty$

$$r = \frac{I}{\rho a^2 v}$$



$$j(b) = 0$$

$$E_r = \frac{I}{2\pi\epsilon_0 a^2 v} r \quad \text{for } r \leq a$$

$$E_r = \frac{I}{2\pi\epsilon_0 v} \frac{1}{r} \quad \text{for } r > a$$

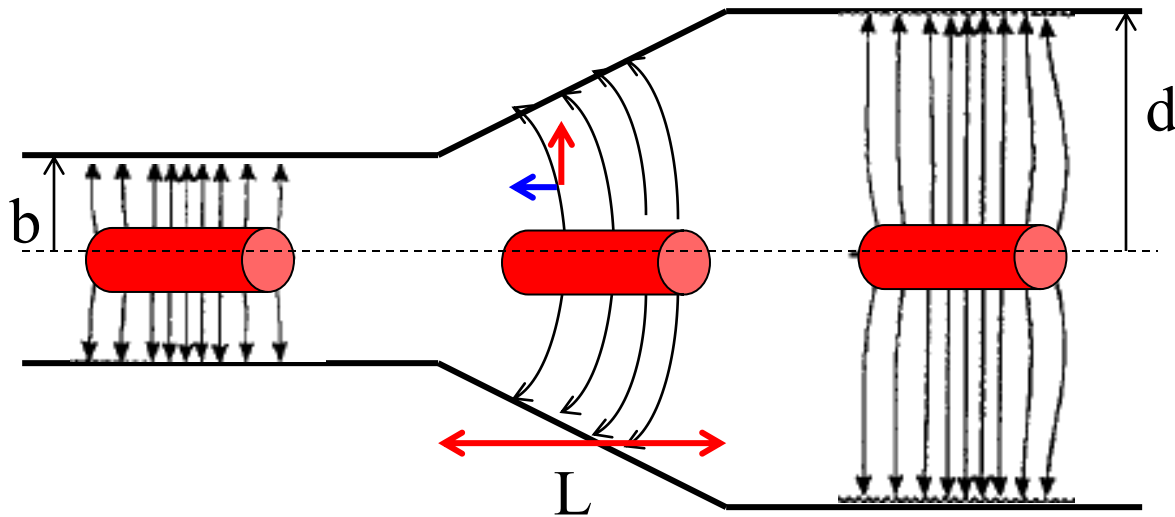
$$B_\theta = \frac{\beta}{c} E_r$$

$$j(r) = \int_r^b E_r(r') dr'$$

$$= \frac{I}{4\pi\epsilon_0 v} \left[2 \ln \frac{b}{a} - \frac{r^2}{a^2} \right] \quad \text{for } r \leq a$$

$$= \frac{I}{2\pi\epsilon_0 v} \ln \frac{b}{r} \quad \text{for } a \leq r \leq b$$

Circular Perfectly Conducting Pipe with Transition



There is a longitudinal $E_z(r,z)$ field in the transition and a test particle experience a voltage given by:

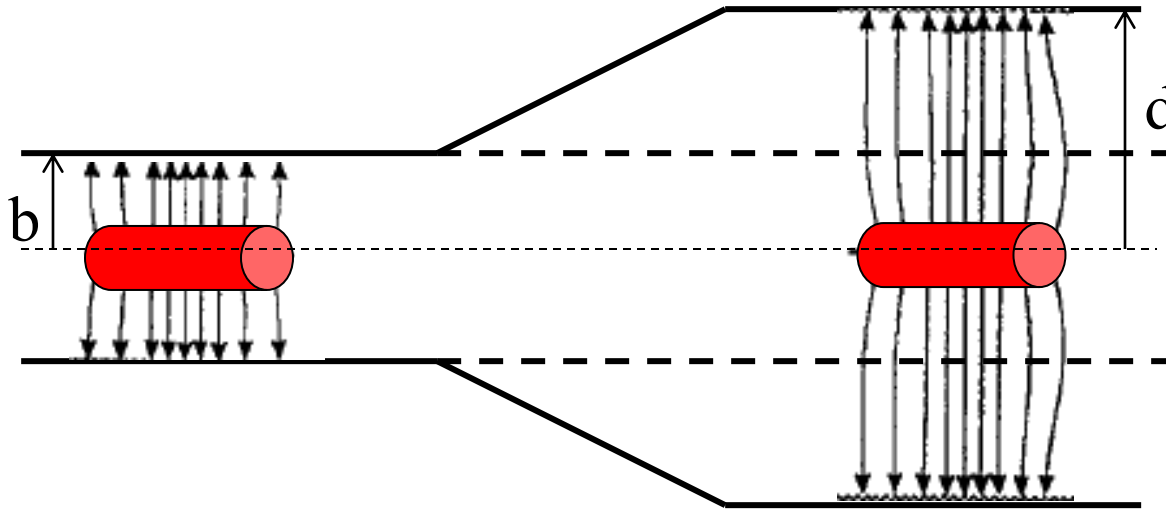
$$V = - \int_0^L E_z(r,z) dz = - (j(r,L) - j(r,0)) = - \frac{I}{2\pi\epsilon_0 v} \ln \frac{d}{b}$$

decelerating if $d > b$


$$P_b = VI = \frac{I^2}{2\pi\epsilon_0 v} \ln \frac{d}{b}$$

Power lost by the beam

For $d > b$ the power is deposited to the energy of the fields: moving from left to right the beam induces the fields in the additional space available



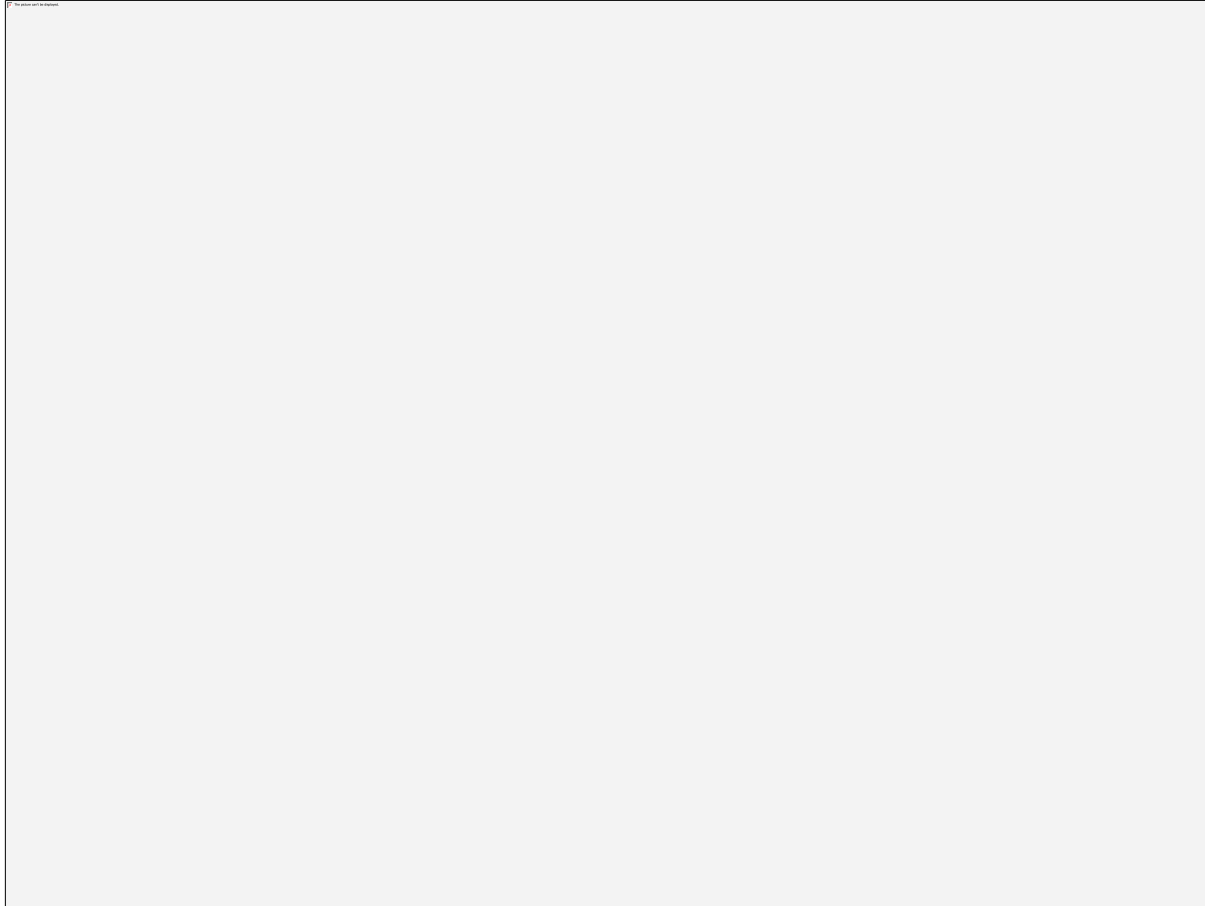
The additional **power passing through the right part of the beam pipe** is obtained by

integrating the Poynting vector through the surface $\Delta S = \pi(d^2 - b^2)$ 

$$P_{em} = \int_{DS} \left(\frac{1}{m} \vec{E} \times \vec{B} \right) \cdot d\vec{S} = \int_b^d \frac{E_r B_J}{m} 2\rho r dr = \frac{I^2}{2\rho e_0 v} \ln \frac{d}{b}$$

Notice that if **$d < b$ the beam gains energy**. If **$d \rightarrow \infty$ the power goes to infinity**, such an unphysical result is nevertheless consistent with the original assumption of an infinite energy beam (**$\gamma \rightarrow \infty$**).

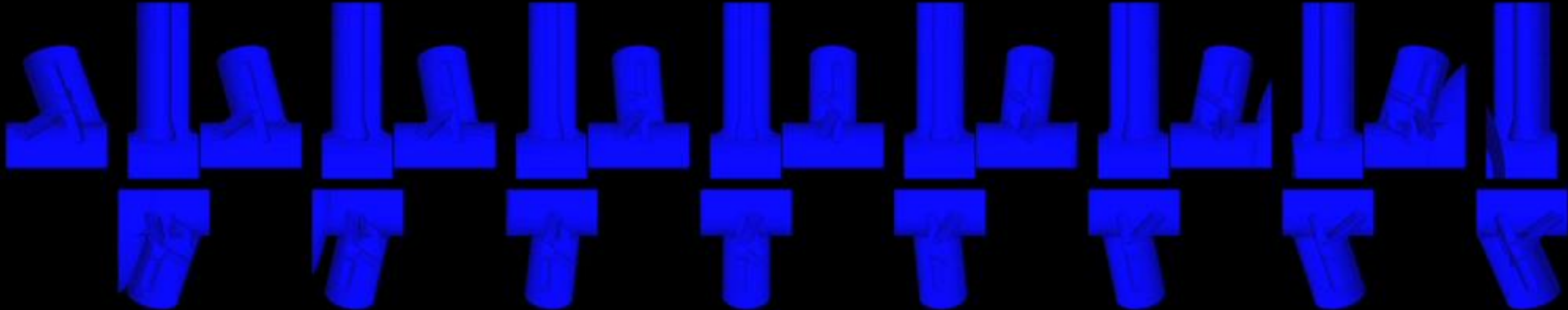
Reflected and Diffracted fiels



CST MICROWAVE STUDIO®



ILC cryomodule of 8 Superconducting RF cavities

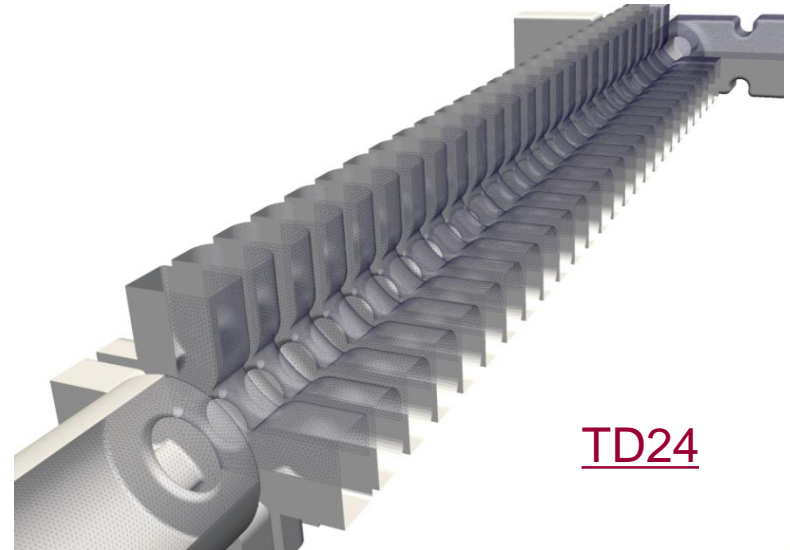
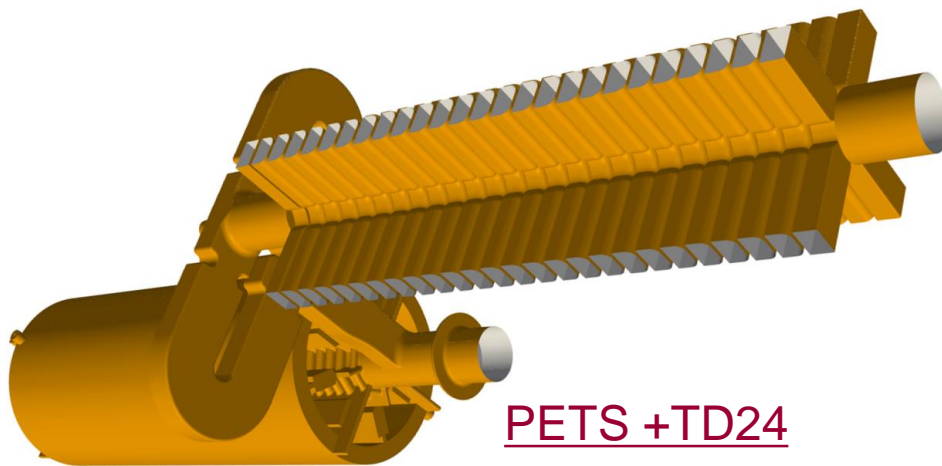
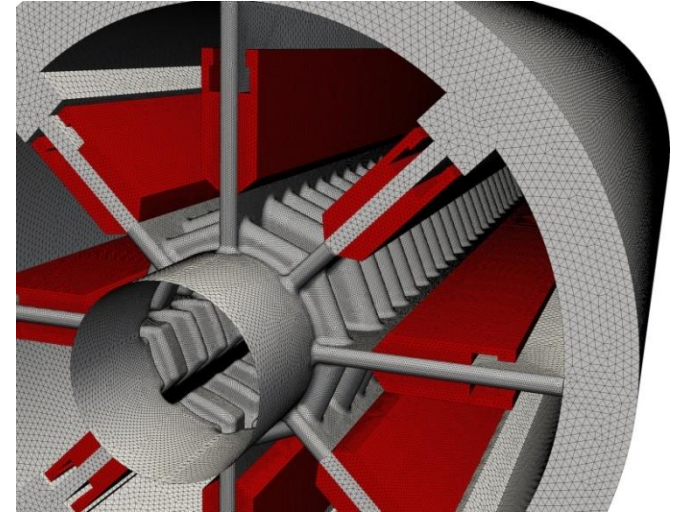
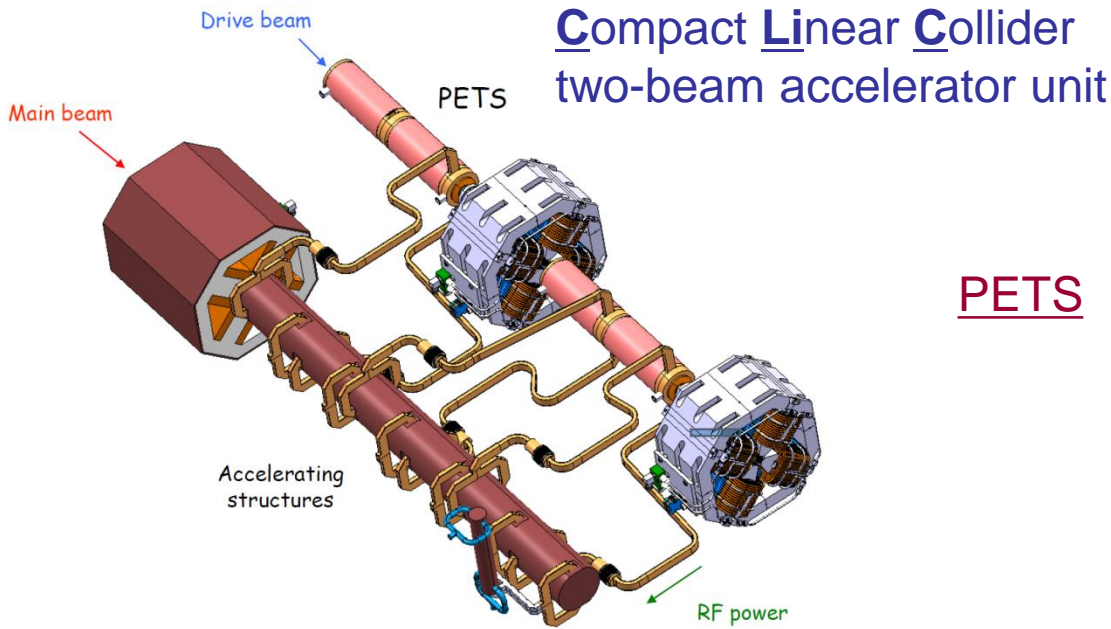


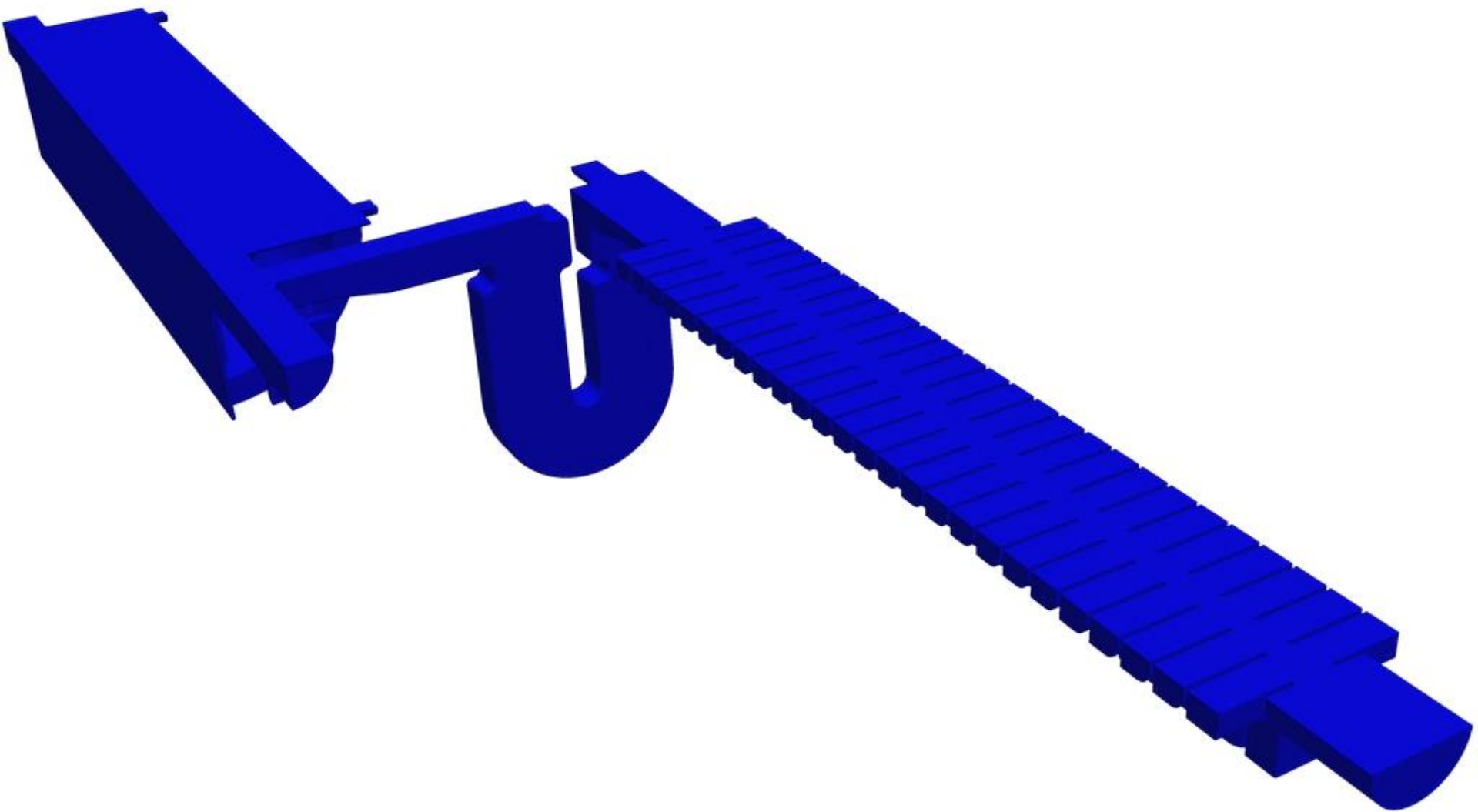
Expanded views of Input and HOM couplers



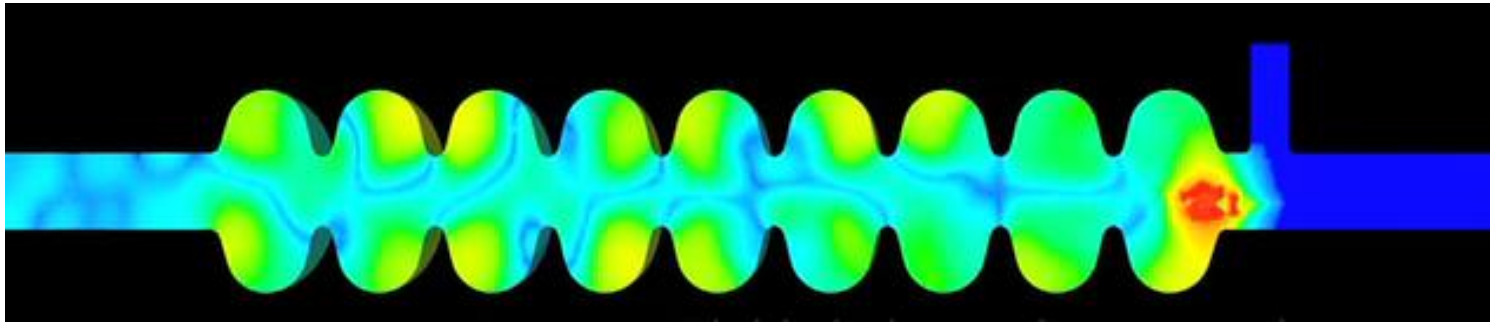
Fields in beam frame moving at speed of light

T3P - CLIC Two-Beam Accelerator

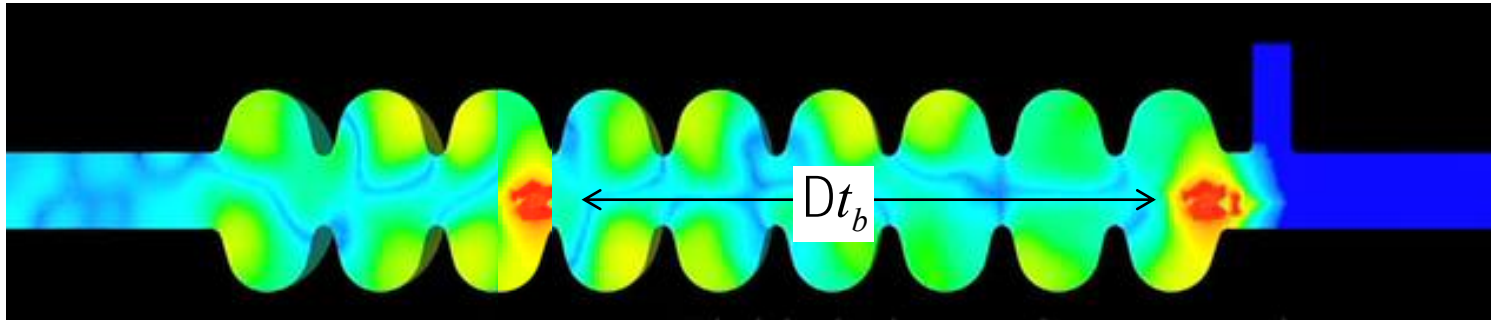




Short Range Wake Fields Effects → head tail effects

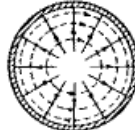

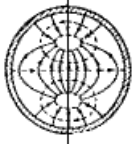

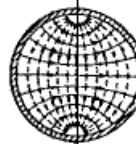
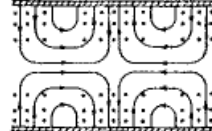
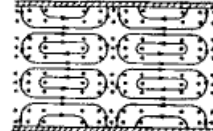

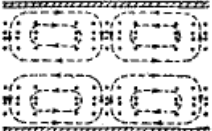
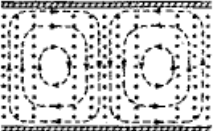


Long Range Wake Fields Effects → multibunch instabilities

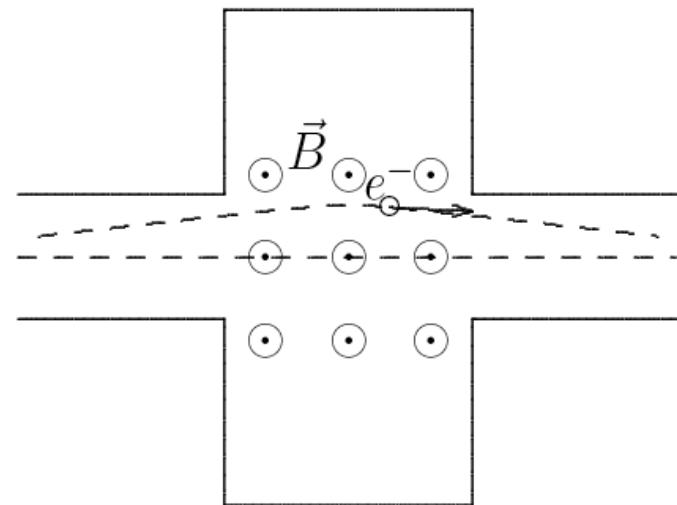
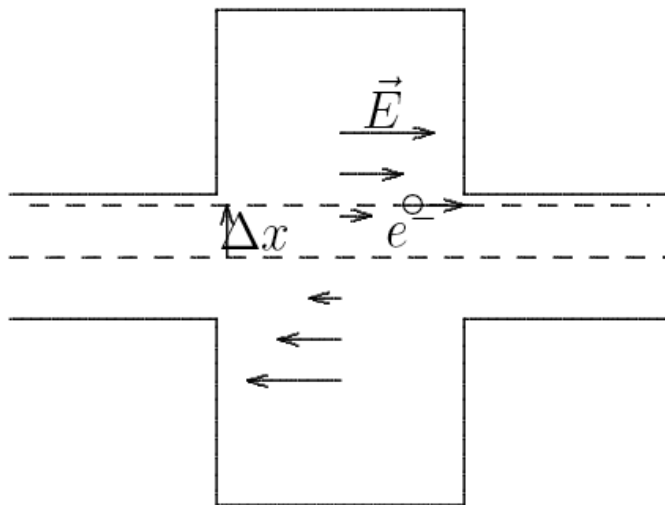


$$Dt_b \approx t = \frac{2Q}{W} \begin{cases} \approx ms \Rightarrow \text{Normal Conducting Cavities} \\ \approx \mu s \Rightarrow \text{Superconducting Cavities} \end{cases}$$

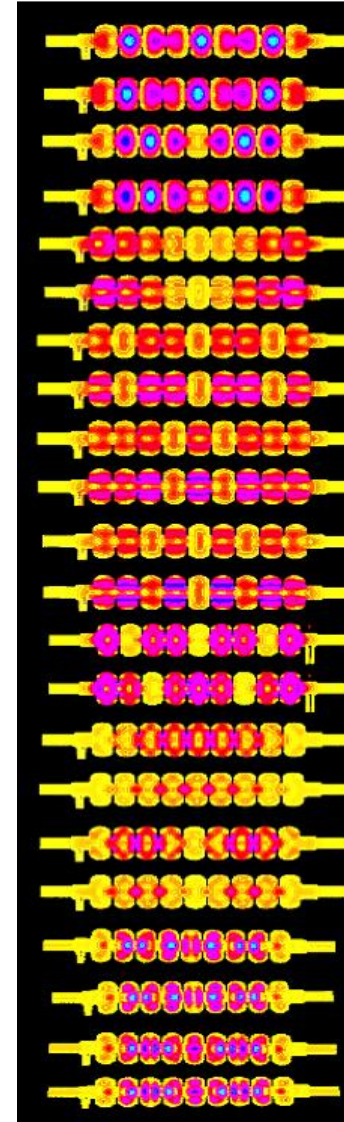
Table 3 Mode Patterns in Circular Waveguide.

Wave Type	TM ₀₁	TM ₀₂	TM ₁₁	TE ₀₁	TE ₁₁
Field distributions in cross-sectional plane, at plane of maximum transverse fields			 Distributions below along this plane		 Distributions below along this plane
Field distributions along guide					
Field components present	E _Z , E _r , H _φ	E _Z , E _r , H _φ	E _Z , E _r , E _φ , H _r , H _φ	H _Z , H _r , E _φ	H _Z , H _r , H _φ , E _r , E _φ

Energy exchange if:
$$\frac{dg}{dt} = \frac{e}{mc} \vec{E} \times \vec{b} = \frac{e}{mc} (E_{//} b_{//} + E_{\perp} b_{\perp}) \neq 0$$

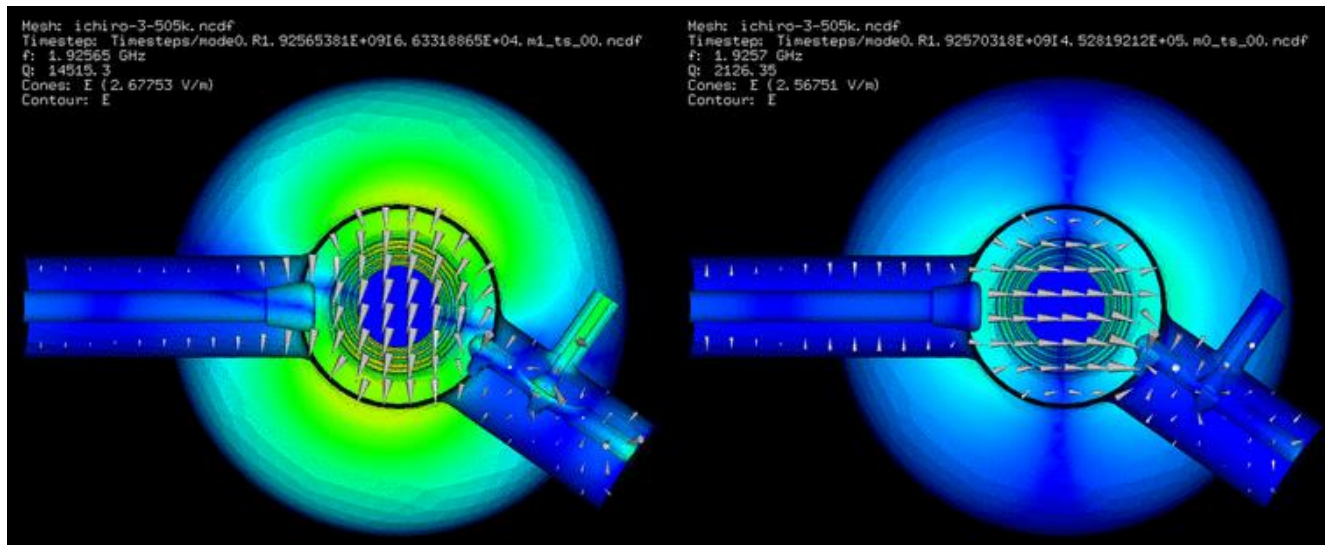


<i>Mode</i>	<i>f</i> [MHz]	<i>(R/Q)*</i> [Ω/cm^n]	<i>Q_{ext}</i>
<i>M: TM010-9</i>	1300.00	1161	$8 \cdot 10^5$
<i>D: TE111-7a</i>	1717.15	5.0	$4 \cdot 10^4$
<i>D: TE111-7b</i>	1717.21	5.0	$5 \cdot 10^4$
<i>D: TE111-8a</i>	1738.12	3.0	$6 \cdot 10^4$
<i>D: TE111-8b</i>	1738.15	3.0	$8 \cdot 10^4$
<i>D: TM110-2a</i>	1882.15	3.4	$6 \cdot 10^3$
<i>D: TM110-2b</i>	1882.47	3.4	$6 \cdot 10^3$
<i>D: TM110-4a</i>	1912.04	4.6	$9 \cdot 10^3$
<i>D: TM110-4b</i>	1912.21	4.6	$1 \cdot 10^4$
<i>D: TM110-5a</i>	1927.10	15.6	$1.5 \cdot 10^4$
<i>D: TM110-5b</i>	1927.16	15.6	$1.5 \cdot 10^4$
<i>D: TM110-6a</i>	1940.25	12.1	$2 \cdot 10^4$
<i>D: TM110-6b</i>	1940.27	12.1	$2 \cdot 10^4$
<i>M: TM011-6</i>	2177.48	192	10^4
<i>M: TM011-7</i>	2182.81	199	10^4
<i>D: 3-rd-1a</i>	2451.07	31.6	$1 \cdot 10^5$
<i>D: 3-rd -1b</i>	2451.15	31.6	$2 \cdot 10^5$
<i>D: 3-rd 1-2a</i>	2457.04	22.2	$5 \cdot 10^4$
<i>D: 3-rd 1-2b</i>	2457.09	22.2	$5 \cdot 10^4$
<i>D: 5-th - 7a</i>	3057.43	0.5	$3 \cdot 10^5$
<i>D: 5-th - 7b</i>	3057.45	0.5	$3 \cdot 10^5$
<i>D: 5-th - 8a</i>	3060.83	0.4	$8 \cdot 10^5$
<i>D: 5-th - 8b</i>	3060.88	0.4	$9 \cdot 10^5$

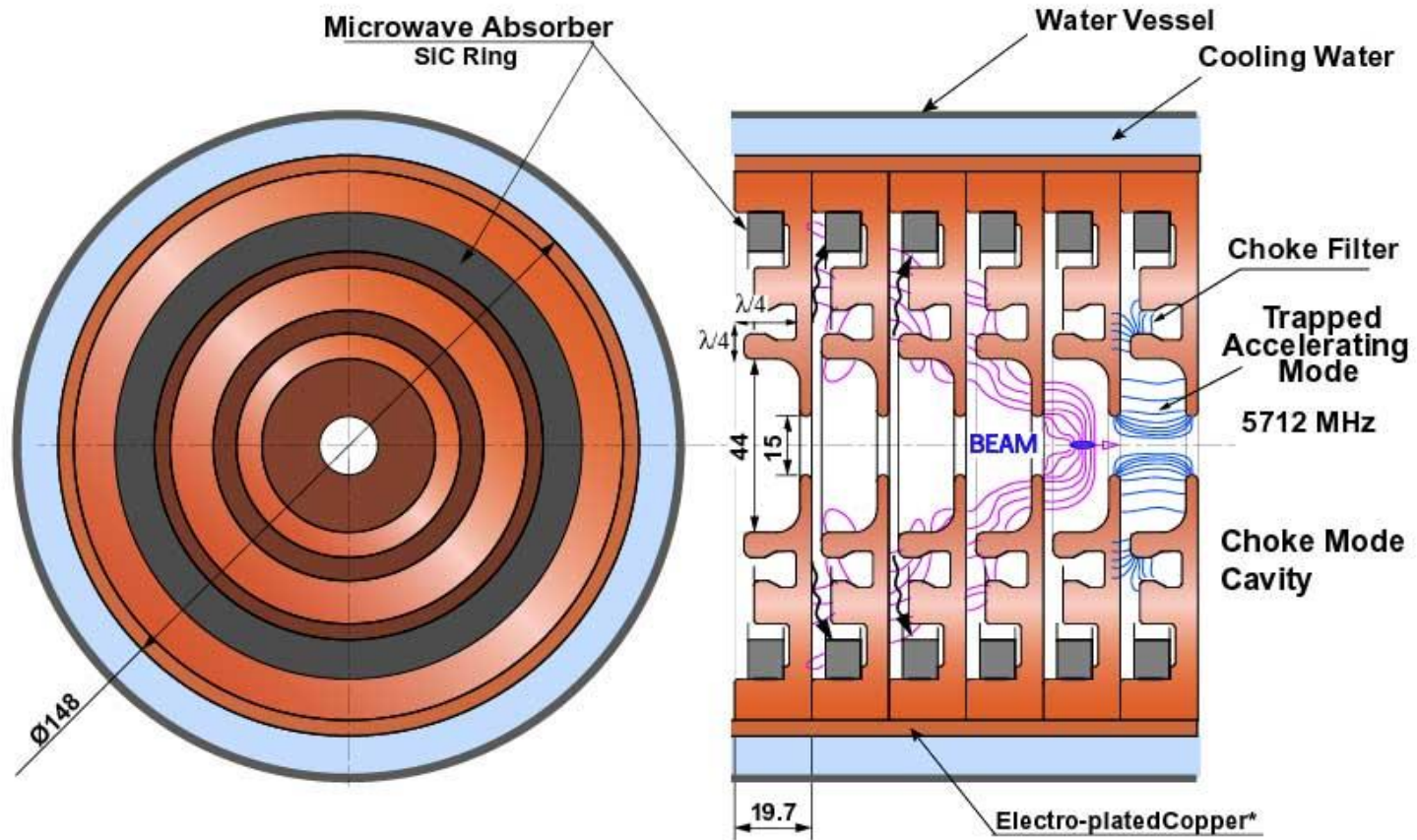




Example of two dipoles overlapping modeling in the TESLA cavity with Omega3P



"Choke Mode Cavity"



Causality and the Catch-Up distance

The induced charges travel with the same particle velocity v .

Since both the particles and the image charges move on parallel paths, in the limit $\mathbf{v} = \mathbf{c}$ they do not interact with each other, no matter how close to the wall the particles are.

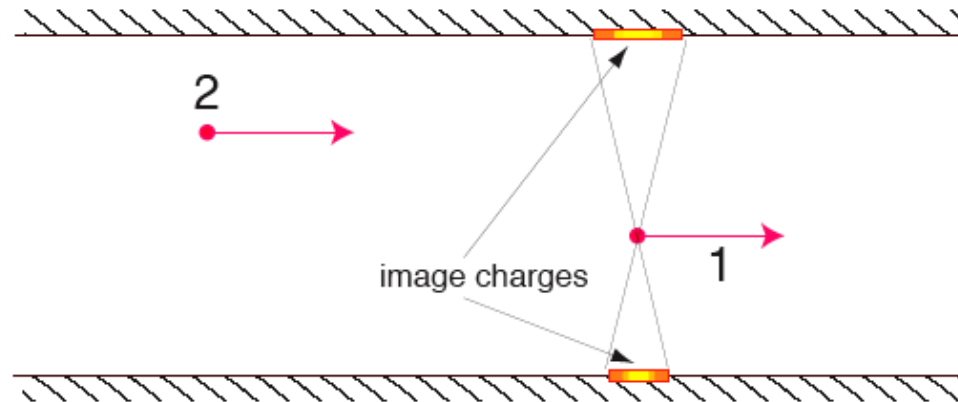


FIGURE 2. Particles traveling inside a perfectly conducting pipe of arbitrary cross section. Shown are the image charges on the wall generated by the leading charge.

If a particle moves along a straight line with the speed of light, the electromagnetic field of this particle scattered off the boundary discontinuities will not overtake it and, furthermore, will not affect the charges that travel ahead of it.

The field can interact only with the trailing charges in the beam that move behind it.
This constitutes the **principle of causality** in the theory of wake fields

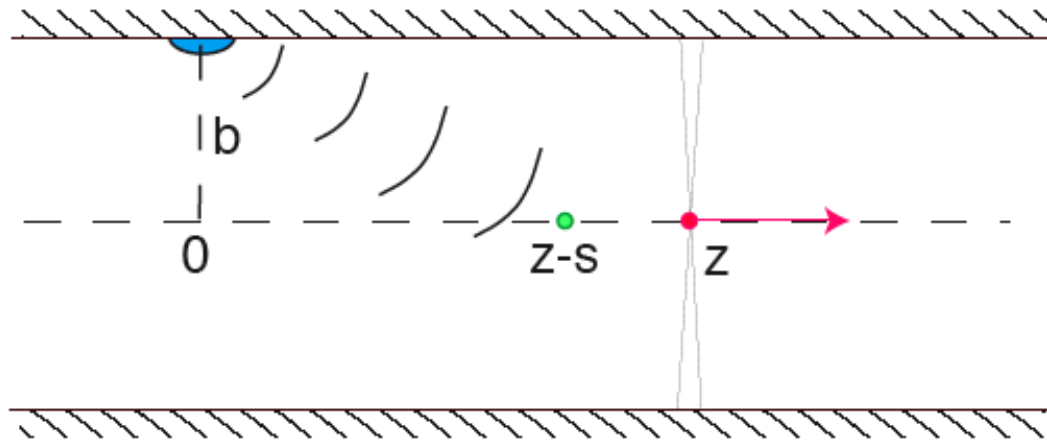
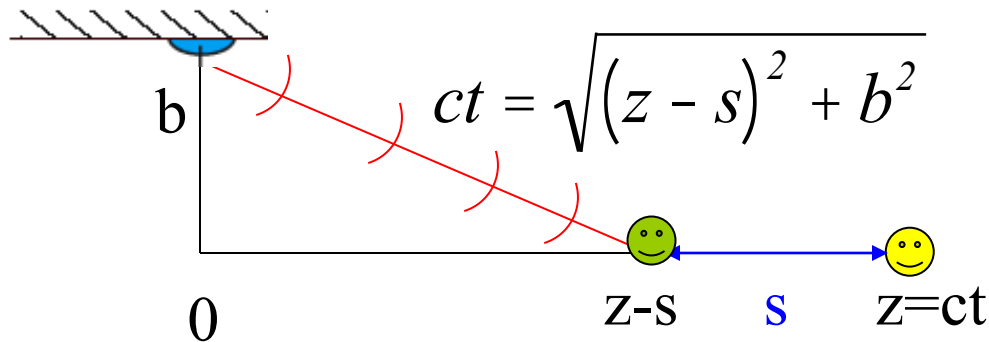


FIGURE 3. A wall discontinuity located at $z = 0$ scatters the electromagnetic field of an ultrarelativistic particle. When the particle moves to location z , the scattered field arrives to point $z - s$.

We can estimate the distance at which the electromagnetic field produced by a leading charge reaches a trailing particles traveling at a distance s behind.



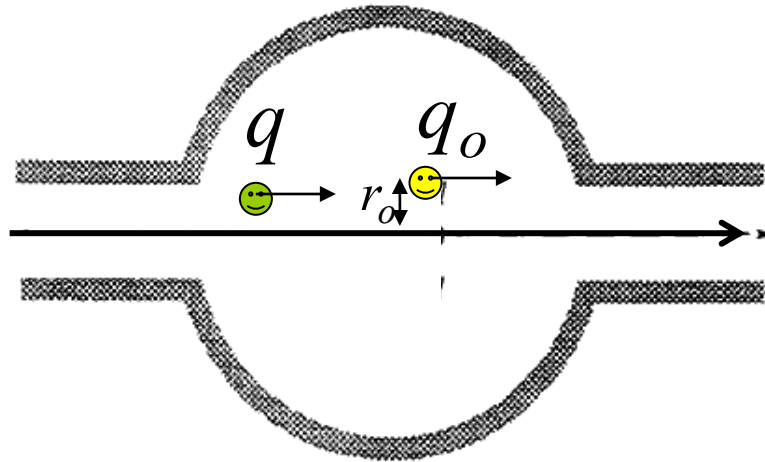
$$z^2 = (z - s)^2 + b^2 \quad \implies \quad z_{\text{catch-up}} \gg \frac{b^2}{2s} \quad \text{for } s \ll b$$

Only after the leading charge has traveled $z_{\text{catch-up}}$ away from the discontinuity, can a particle at point s behind it feel the field generated by the discontinuity.

OUTLINE

- Heuristic model
- **Basic Concepts**
- Beam Break Up in Linear Accelerators
- BNS damping

Wake Potentials



$$\mathbf{F} = q \left[E_z \hat{z} + (E_x - vB_y) \hat{x} + (E_y + vB_x) \hat{y} \right] \circ \mathbf{F}_{\parallel} + \mathbf{F}_{\perp}$$

there can be two effects on the **test charge** :

- 1) a **longitudinal force** which **changes its energy**,
- 2) a **transverse force** which **deflects its trajectory**.

If we consider a device of length L:

the Energy Gain is:

$$U = \int_0^L F_z ds$$

the Transverse Deflecting Kick is:

$$\mathbf{M} = \int_0^L \mathbf{F}_\perp ds$$

These quantities, normalised to the charges, are called *wake-potentials* and are both function of the distance z.

Note that the integration is performed over a given path of the trajectory.

Longitudinal wake potential
[V/C]

$$w_{||} = -\frac{U}{q_o q} = -\frac{V}{q_o}$$

Energy Loss

Transverse wake potential
[V/Cm]

$$w_{\perp} = \frac{1}{r_o} \frac{M}{q_o q}$$

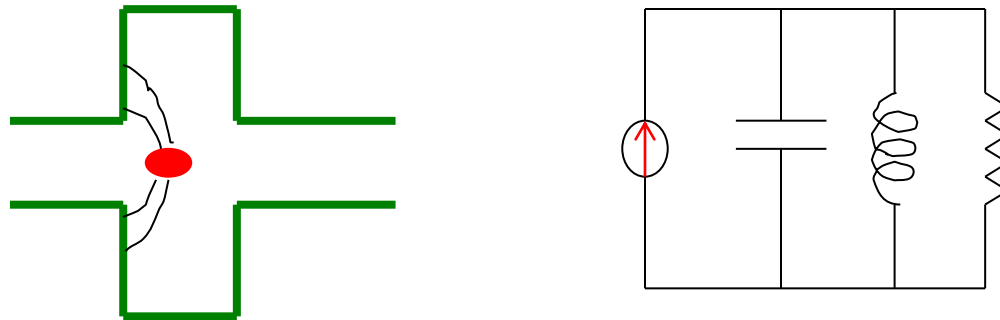
Transverse Kick

The sign minus in the longitudinal wake-potential means that the test charge loses energy when the wake is positive.

Positive transverse wake means that the transverse force is defocusing.

Longitudinal wake potential of a resonant HOM

When a charge crosses a resonant structure, it excites the fundamental mode and high order modes (HOM). Each mode can be treated as an electric RLC circuit loaded by an impulsive current.



Just after the charge passage, the capacitor is charged with a voltage $V_o = q/C$ and the electric field is $E_{so} = V_o/l_o$.

The time evolution of the electric field is governed by the same differential equation of the voltage

$$\ddot{V} + \frac{1}{RC} \dot{V} + \frac{1}{LC} V = \frac{1}{C} \dot{i}$$

The passage of the impulsive current charges only the capacitor, which changes its potential by an amount $V_c(0)$.

This potential will oscillate and decay producing a current flow in the resistor and inductance.

For $t > 0$ the potential satisfy the following equation and initial conditions:

$$\ddot{V} + \frac{1}{RC} \dot{V} + \frac{1}{LC} V = 0$$

$$V(t = 0^+) = \frac{q}{C} = V_0$$

$$\dot{V}(t = 0^+) = \frac{\dot{q}}{C} = \frac{I(0^+)}{C} = \frac{V_0}{RC}$$

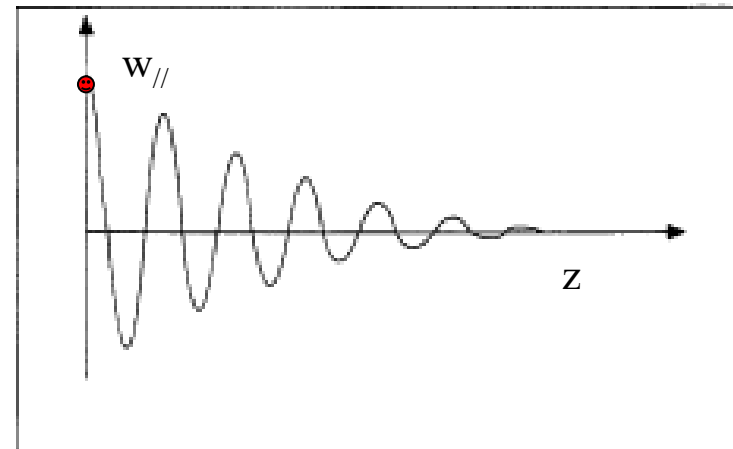
$$V(t) = V_0 e^{-gt} \left[\cos(\bar{W}t) - \frac{g}{\bar{W}} \sin(\bar{W}t) \right]$$

$$\bar{W}^2 = W_r^2 - g^2 \quad 2g = 1/RC \quad W_r^2 = 1/LC$$

putting $z = -ct$ (z is negative behind the charge):

$$w_{//}(z) = \frac{V(z)}{q} = w_0 e^{g z/c} \left[\cos(\bar{W}z / c) + \frac{g}{\bar{W}} \sin(\bar{W}z / c) \right]$$

...but what about the source charge?



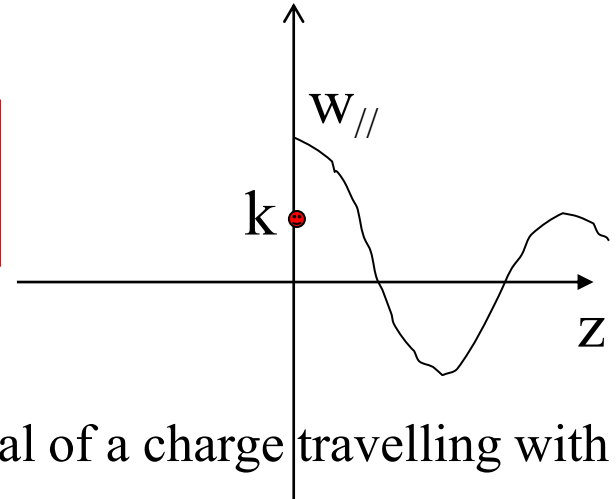
It is also useful to define the **loss factor** as the normalised energy lost by the **source charge q**

$$k = -\frac{U(z=0)}{q_o^2}$$

Although in general the loss factor is given by the longitudinal wake at $z=0$, for charges travelling with the light velocity the longitudinal wake potential is discontinuous at $z=0$

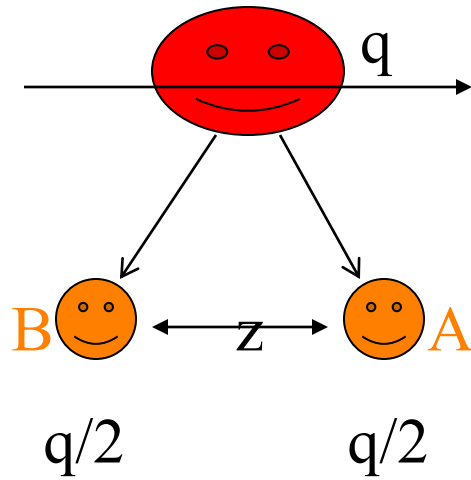
The exact relationship between k and $w(z=0)$ is given by the **beam loading theorem**:

$$k = \frac{w_{//}(z \rightarrow 0)}{2}$$



Causality requires that the longitudinal wake potential of a charge travelling with the velocity of light is discontinuous at the origin.

$$U_o = -q^2 k$$



$$U_A = -q_A^2 k = -\frac{q^2}{4} k$$

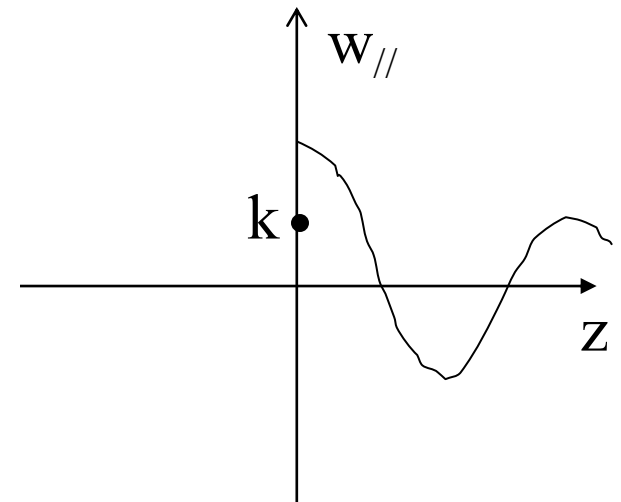
$$\begin{aligned} U_B &= -q_B^2 k - q_A q_B w_{//}(z) \\ &= -\frac{q^2}{4} k - \frac{q^2}{4} w_{//}(z) \end{aligned}$$

$$U_A + U_B = -\frac{q^2}{2} k - \frac{q^2}{4} w_{//}(z)$$

$$z \rightarrow 0 \quad U_o = U_A + U_B$$

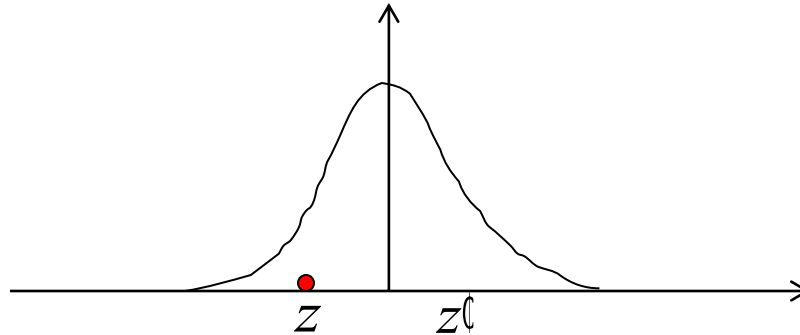
$$q^2 k = \frac{q^2}{2} k + \frac{q^2}{4} w_{//}(0)$$

$$k = \frac{w_{//}(0)}{2}$$



Wake potentials and energy loss of a bunched distribution

When we have a bunch with density $\lambda(z)$, we may wonder what is the amount of energy lost or gained by a single charge e in the beam



To this end we calculate the effect on the charge from the whole bunch by means of the **convolution integral**:

$$U(z) = -e \int_{-\infty}^{\infty} w_{//}(z' - z) \lambda(z') dz'$$

Which allows to define the **wake potential of a distribution**

$$W_{//}(z) = -\frac{U(z)}{qe} = \frac{1}{q} \int_{-\infty}^{\infty} w_{//}(z' - z) \lambda(z') dz'$$

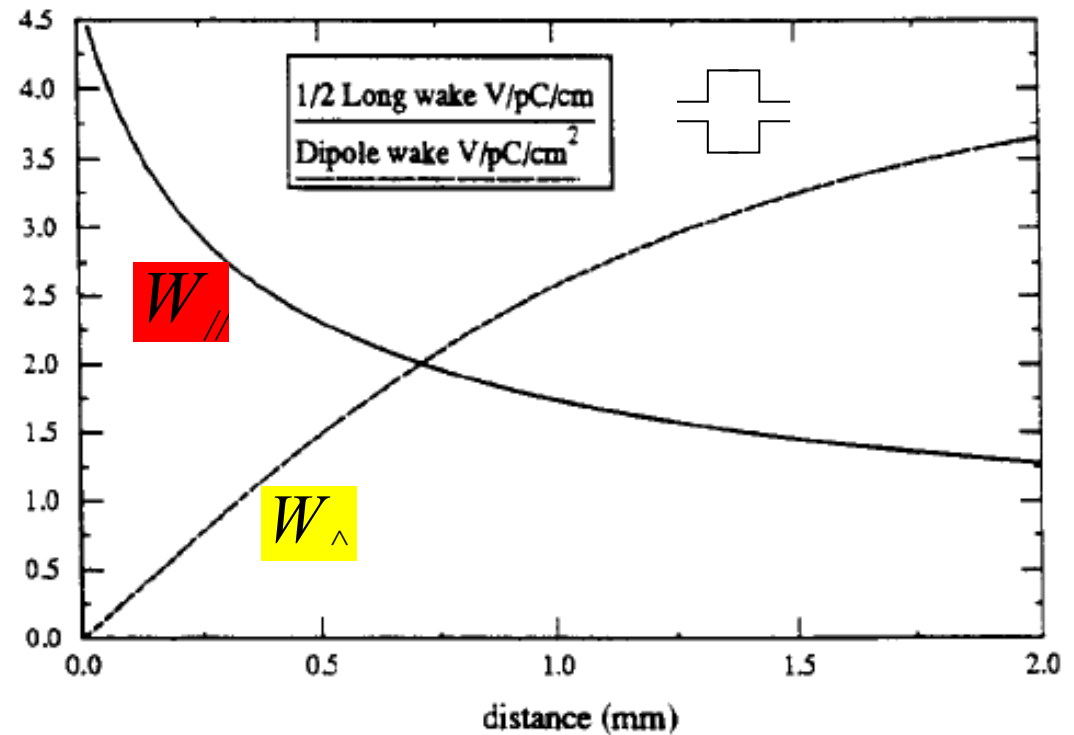
The total energy lost by the bunch is computed summing up the loss of all particles:

$$U_{bunch} = \frac{1}{e} \int_{-\infty}^{\infty} U(z) \lambda(z) dz = -q \int_{-\infty}^{\infty} W_{//}(z) \lambda(z) dz$$

Relationship between transverse and longitudinal forces :
“Panofsky-Wenzel theorem”.

$$\nabla_{\perp} F_{\parallel} = \frac{\partial}{\partial z} F_{\perp}$$

$$\nabla_{\perp} w_{\parallel} = \frac{\partial}{\partial z} w_{\perp}$$



Coupling Impedance

The wake potentials are used for to study the beam dynamics in the time domain ($s=vt$). If we take the equation of motion in the frequency domain, we need the Fourier transform of the wake potentials. Since these quantities have Ohms units are called *coupling impedances*:

Longitudinal impedance (Ω)

$$Z_{//}(W) = \frac{1}{v} \int_{-\infty}^{\infty} w_{//}(z) e^{-i\frac{Wz}{v}} dz$$

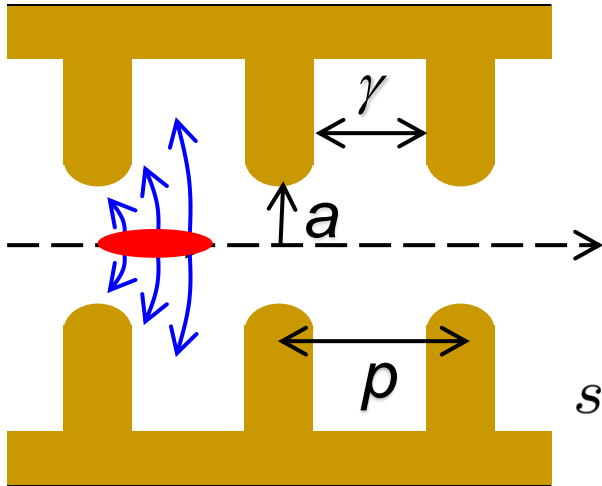
Transverse impedance (Ω/m)

$$Z_{\perp}(W) = \frac{i}{v} \int_{-\infty}^{\infty} w_{\perp}(z) e^{-i\frac{Wz}{v}} dz$$

Z_R is responsible for the energy losses

Z_j defines the phase between the beam response & exciting wake potential

Longitudinal Wakefields of RF Structures



SLAC S-band:

$a \square 11.6 \text{ mm}$

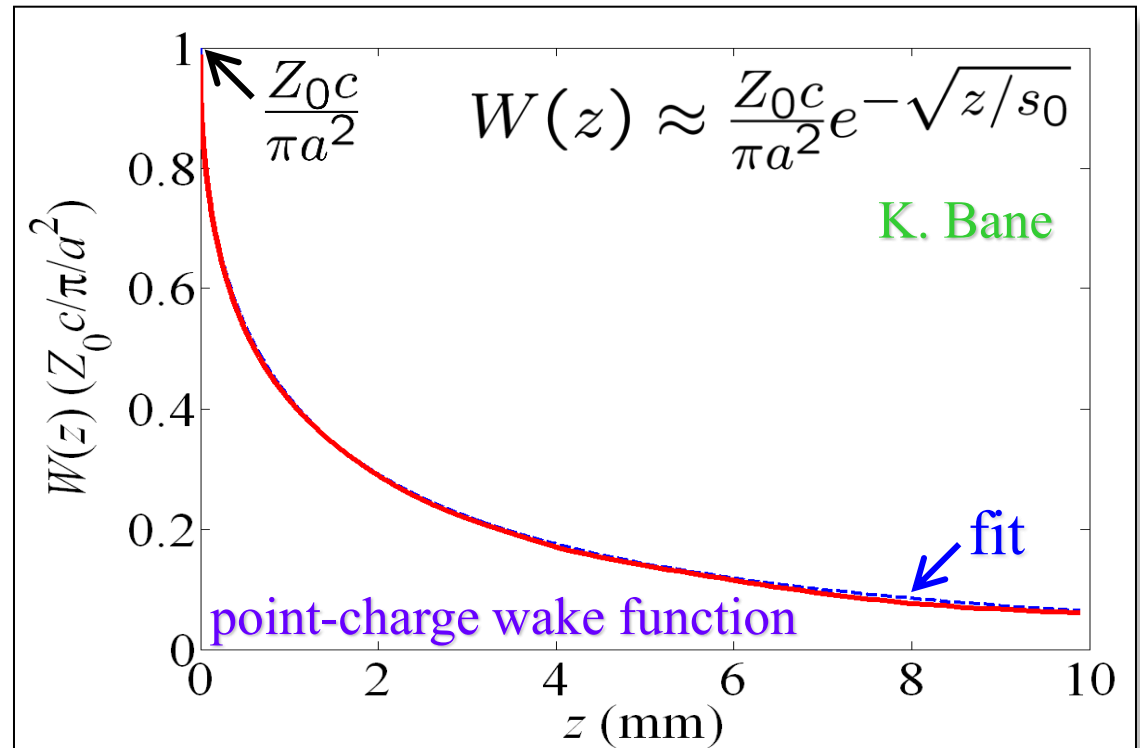
$\gamma \square 29.2 \text{ mm}$

$p \square 35.0 \text{ mm}$

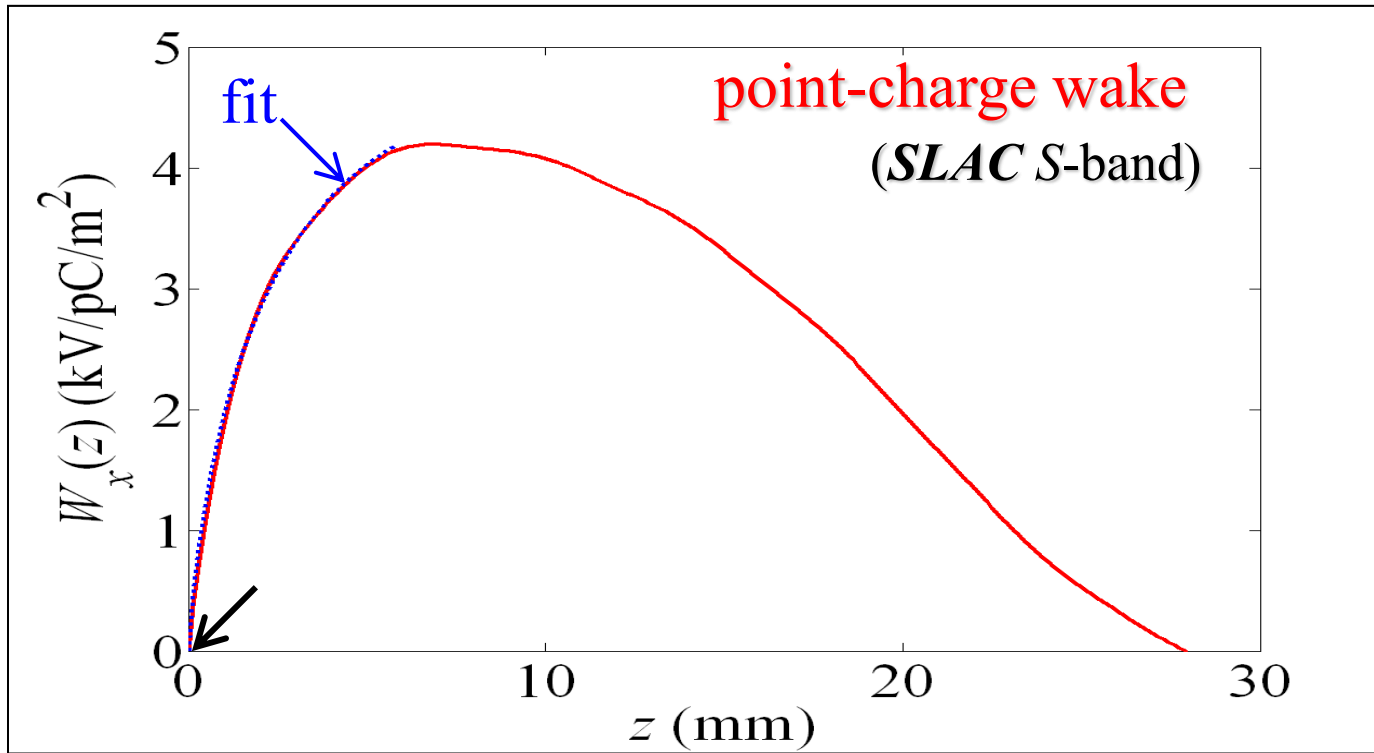
$$s_0 \approx 0.41 \frac{a^{1.8} g^{1.6}}{p^{2.4}}$$



$$W_{//} \propto W^2$$



Transverse Wakefields



SLAC S-band
 $s_1 \square 0.56$ mm
 $a \square 11.6$ mm
 $A \square 1.13$
 $z < \sim 6$ mm

$$W_x \propto W^3$$

transverse point-charge wakefield function and short-range fit:

$$W_x(z) \approx A \frac{4Z_0 c s_1}{\pi a^4} \left[1 - (1 + \sqrt{z/s_1}) e^{-\sqrt{z/s_1}} \right], \quad z < 6 \text{ mm}$$

Energy spread compensation

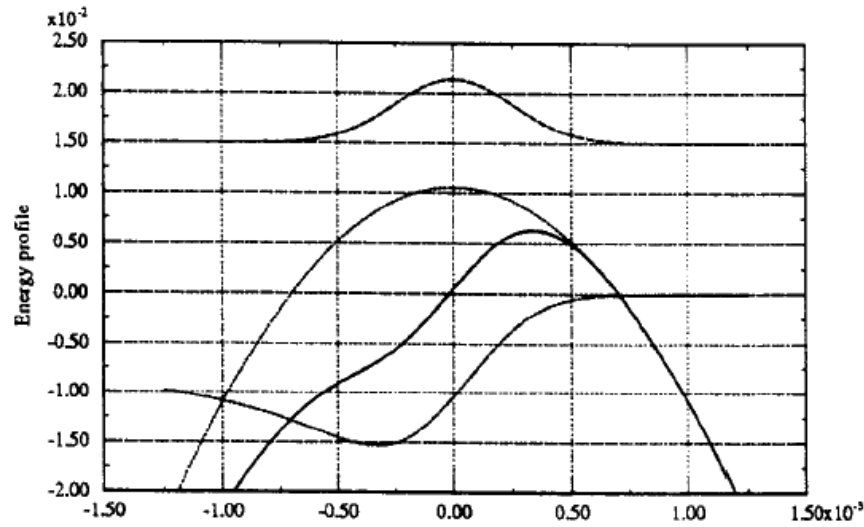


Fig. 5 Energy profile within the bunch sitting on the crest of the rf wave

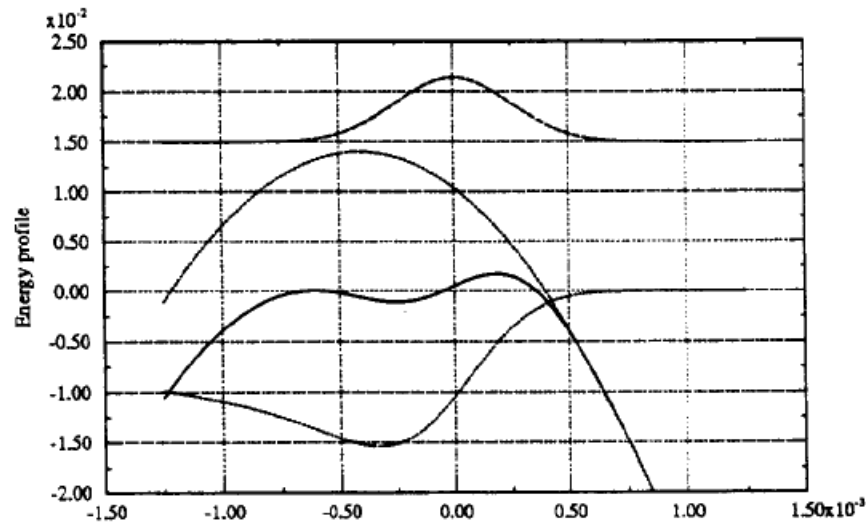


Fig. 6 Energy profile within the bunch after optimization of the rf phase

OUTLINE

- Heuristic model
- Basic Concepts
- **Beam Break Up in Linear Accelerators**
- BNS damping

Beam Break Up

A beam injected off-center in a LINAC, because of the focusing quadrupoles, execute betatron oscillations. The displacement produces a transverse wake field in all the devices crossed during the flight, which deflects the trailing charges.

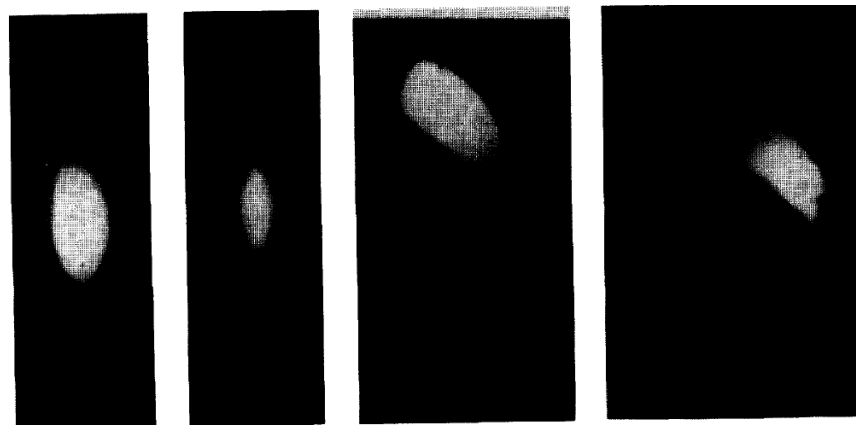
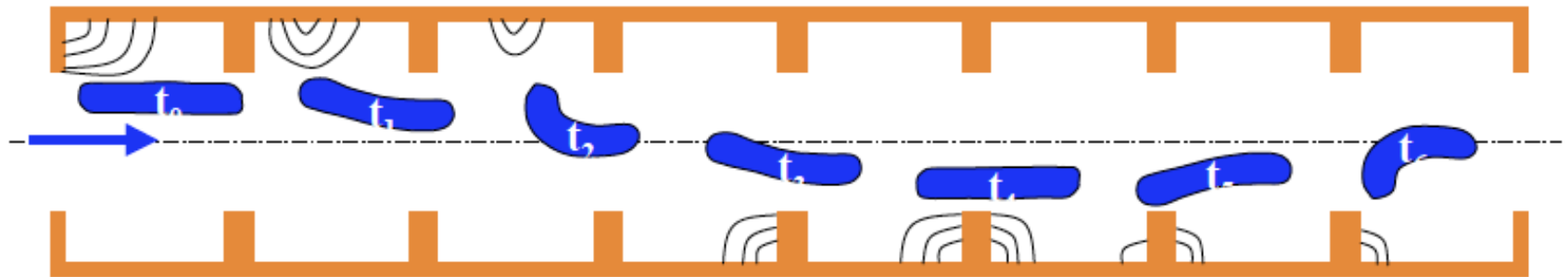
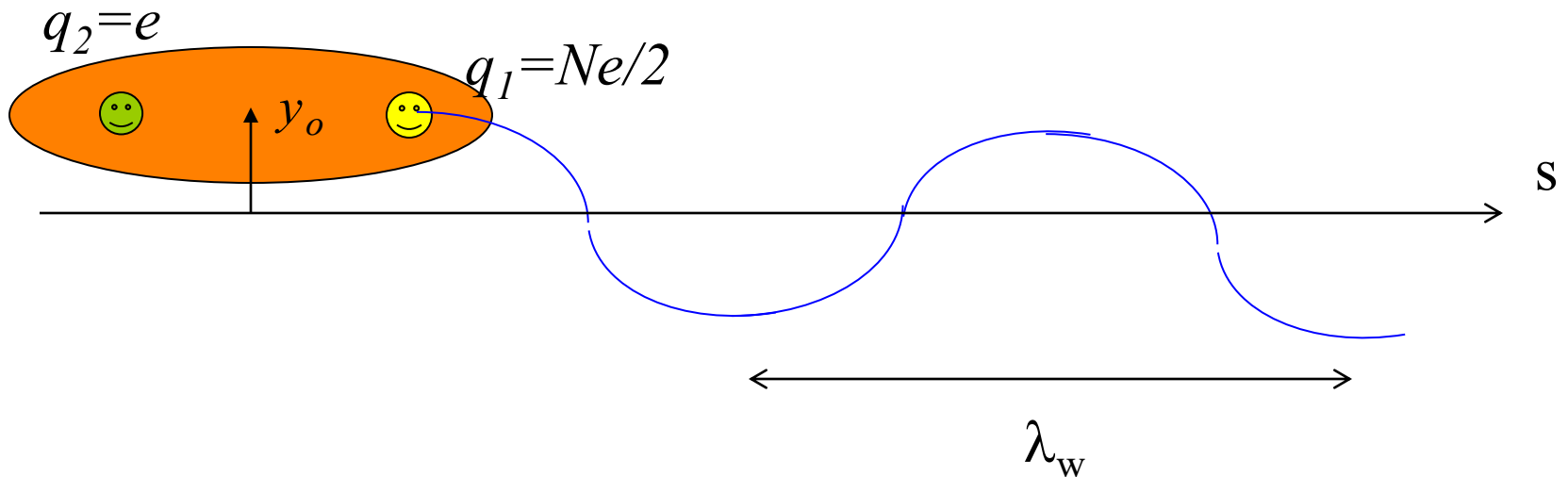


Figure 3.4. Four transverse beam profiles observed at the end of the SLAC linac are shown when the beam was carefully injected and injected with 0.2, 0.5, and 1 mm offsets. The beam sizes σ_x and σ_y are about $120 \mu\text{m}$. (Courtesy John Seeman, 1991.)

In order to understand the effect, we consider a simple model with only two charges $q_1=Ne/2$ (leading = half bunch) and $q_2=e$ (trailing = single charge).



the leading charge executes free betatron oscillations:

$$y_1(s) = \hat{y}_1 \cos\left(\frac{\omega_y}{c} s\right); \quad \frac{\omega_y}{c} = \frac{2\rho}{l_w}$$

the test charge, at a distance z behind, over a length L_w experiences a deflecting force proportional to the displacement y_1 , and dependent on the distance z :

$$M(r_0, z) = \int_0^{L_w} F_{\perp} ds = \langle F_{\perp}(r_0, z) \rangle L_w \quad \Rightarrow \quad \langle F_{\perp}(z, y_1) \rangle = \frac{Ne^2}{2L_w} w_{\perp}(z) y_1(s)$$

$w_{\perp}(z) = \frac{1}{r_0} \frac{M(r_0, z)}{q^2}$

This force drives the motion of the test charge:

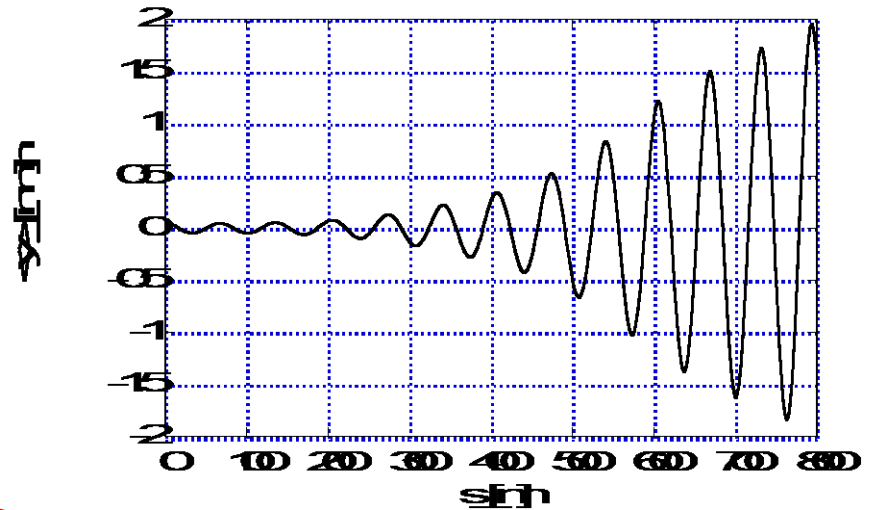
betatron equation of motion with coherent force

$$y_2'' + \left(\frac{\omega_y}{c} \right)^2 y_2 = \frac{1}{\beta^2 E_0} \langle F_{\perp}(z, y_1) \rangle = \frac{Ne^2 w_{\perp}(z)}{2\beta^2 E_0 L_w} \hat{y}_1 \cos\left(\frac{\omega_y}{c} s \right)$$

This is the typical equation of an harmonic oscillator driven at the resonant frequency. The solution is given by the superposition of the “free” oscillation and a “driven” oscillation which, being driven at the resonant frequency, grows linearly with s .

$$y_2(s) = \hat{y}_2 \cos\left(\frac{\omega_y}{c} s\right) + y_2^{driven}$$

$$y_2^{driven} = \frac{cNe^2 w_\wedge(z)}{4W_y E_o L_w} \hat{y}_1 \sin\left(\frac{\omega_y}{c} s\right)$$



continuous growth

At the end of the LINAC of length L_L , the oscillation amplitude is grown by :

$$\left(\frac{D\hat{y}_2}{\hat{y}_2} \right)_{\max} = \frac{cNe^2 w_\wedge(z) L_L}{4W_y E_o L_w}$$

OUTLINE

- Heuristic model
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- **BNS damping**

Balakin-Novokhatsky-Smirnov Damping

The BBU instability is quite harmful and hard to take under control even at high energy with a strong focusing, and after a careful injection and steering.

A simple method to cure it has been proposed observing that the strong oscillation amplitude of the bunch tail is mainly due to the **“resonant” driving**.

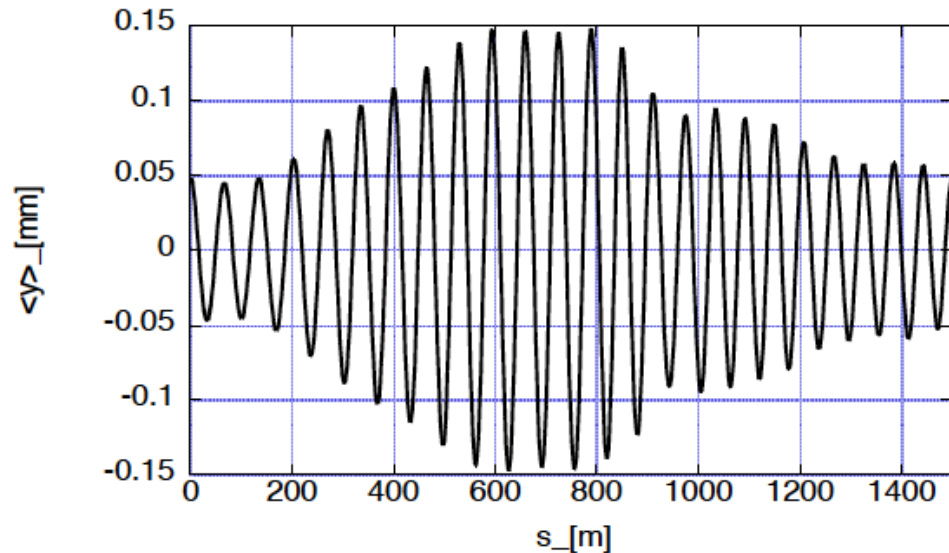
If the tail and the head move with a different frequency, this effect can be significantly removed.

Let us assume that the tail oscillates with a frequency $\omega_y + \Delta\omega_y$, the equation of motion reads:

$$y_2'' + \left(\frac{W_y + DW_y}{c} \right)^2 y_2 = \frac{Ne^2 w_\wedge(z)}{2b^2 E_o L_w} \hat{y}_1 \cos\left(\frac{W_y}{c} s \right)$$

the solution of which is:

$$y_2(s) = \hat{y}_2 \cos\left(\frac{\omega_y + \Delta\omega_y}{c} s\right) + \frac{c^2 N e^2 w_{\perp}(z)}{4\omega_y \Delta\omega_y E_o L_w} \hat{y}_1 \left[\cos\left(\frac{\omega_y}{c} s\right) - \cos\left(\frac{\omega_y + \Delta\omega_y}{c} s\right) \right]$$



$$y_2(s) = \hat{y}_2 \cos\left(\frac{\omega_y + \Delta\omega_y}{c} s\right) + \frac{c^2 Ne^2 w_{\perp}(z)}{4\omega_y \Delta\omega_y E_o L_w} \hat{y}_1 \left[\cos\left(\frac{\omega_y}{c} s\right) - \cos\left(\frac{\omega_y + \Delta\omega_y}{c} s\right) \right]$$

by a suitable choice of $\Delta\omega_y$, it is possible to fully depress the oscillations of the tail.

$$\hat{y}_2 = \hat{y}_1$$

$$\frac{c^2 Ne^2 w_{\perp}(z)}{4\omega_y \Delta\omega_y E_o L_w} = 1 \quad \Rightarrow$$

$$y_2(s) = \hat{y}_1 \cos\left(\frac{\omega_y}{c} s\right) = y_1(s)$$

$$\Delta\omega_y = \frac{c^2 Ne^2 w_{\perp}(z)}{4\omega_y E_o L_w}$$

- Creating a correlated energy distribution along the bunch which, because of the chromaticity, induces a spread in the betatron frequencies. An energy spread correlated with the longitudinal position is attainable with the external accelerating voltage, or with the wake fields.

$$\frac{Dw_y}{w_y} = -\frac{DE}{E}$$

More general model including charge distribution and acceleration

$$\frac{\partial}{\partial s} \left[\gamma(s) \frac{\partial y(z, s)}{\partial s} \right] + k_y^2(s) \gamma(s) y(z, s) = - \frac{e^2 N_p}{m_0 c^2 L_w} \int_z^{\infty} y(s, z') w_{\perp}(z' - z) \lambda(z') dz'$$

$$y(L_L) = y_m \sqrt{\frac{\gamma_i}{6\pi\gamma_f}} \eta^{-1/6} \exp\left[\frac{3\sqrt{3}}{4} \eta^{1/3}\right] \cos\left[k_y L_L - \frac{3}{4} \eta^{1/3} + \frac{\pi}{12}\right]$$

$$\eta = \frac{e^2 N_p}{k_y (dE_0 / ds)} \frac{w_{\perp 0}}{L_w} \ln\left(\frac{\gamma_f}{\gamma_i}\right)$$

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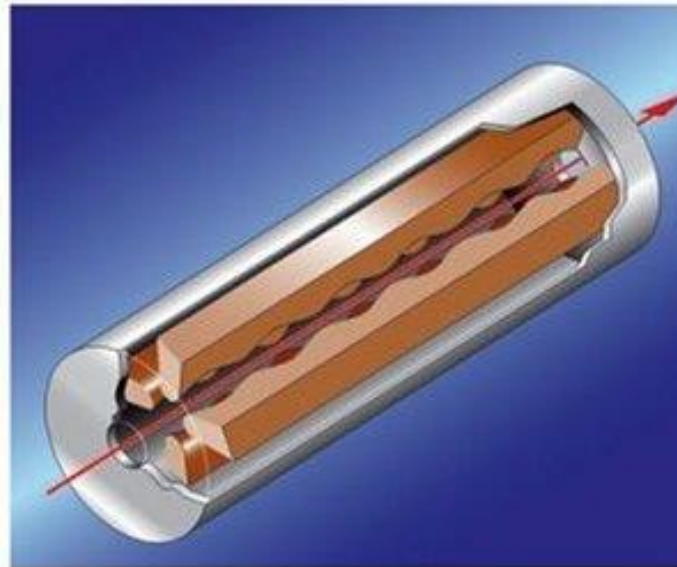
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