



ENERGY RECOVERY LINACS

Virtual Beam Power for a multitude of Application

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Advanced Accelerator Physics Course 19 November 2024, Spa/Belgium

Content

Energy Recovery Linacs – Why and How?

storage ring versus linac (real \leftrightarrow virtual power, equilibrium \leftrightarrow control) the ERL principle and its promises

History

first idea, first tests, first projects

Applications

multi-user light sources, collider, cooler, compact sources, ...

Challenges

electron source, SRF technology, beam losses at the example of the Berlin Energy Recovery Linac Project bERLinPro

Storage ring \leftrightarrow linac / virtual \leftrightarrow real power



synchrotron radiation source, collider

 $P_{\text{virtual}}[W] = E[eV] \cdot I[A]$

 $\boldsymbol{E}_{stored}[J] = \boldsymbol{E}[eV] \cdot \boldsymbol{I}[A] \cdot \boldsymbol{T}_{rev}[s]$

e.g. BESSY II, 3rd generation light source

1.7 GeV, 300 mA = 510 MW virtual beam power, thereof ca. 90 kW used synchrotron radiation power (and only 408 J stored energy)

free electron laser, collider, fixed target

 $P_{\text{real}}[W] = E[eV] \cdot I[A]$

e.g. European XFEL, 1 Å hard X-ray source 17.5 GeV, 0.027 mA = 475 kW real beam power, ca. 100 GW peak power in 100 fs, 10 x 2700 pps, used FEL power ca. 300 W



Andreas Jankowiak, ERL Lecture, Advanced Accelerator Physics Course, CAS, Spa / Belgium, 19.11.2024

Storage ring – governed by equilibrium processes



"damping" by synchrotron radiation

Storage ring – governed by equilibrium processes

emission of photon at position with dispersion (e.g. in dipole, where transversal position is energy dependent) electron starts oscillating around dispersion orbit → emittance increase



"heating" by synchrotron radiation

Emittance is defined by an **equilibrium** between these two processes (damping and heating). As there is no "vertical dispersion" in storage rings, normally we have "flat" beams with vertical emittance being 1/100 or less of horizontal. Similar processes defines energy-spread (and pulse length).

Linac – governed by adiabatic damping and control



Storage ring versus Linac





adiabatic damping + control



"virtual" (internal) power

real (external) power

Beam emittance – single pass machine ↔ storage ring



(up to some 100 ps) to reduce Touschek and IBS scattering!

Energy Recovery Linacs – The idea

- high average ("virtual") beam power (up to A, many GeV)
- many user stations
- beam parameter defined by equilibrium
- typical long bunches (20 ps 200 ps)

- outstanding beam parameter
- single pass experiments
- high flexibility
- low number of user stations
- limited average beam power (<<mA)



Energy Recovery Linacs – The idea

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Energy recovery (nothing spooky and not a perpetuum mobile)

e.g. "electron cooler" for ion beams, first devices in the 70ies



Energy recovery in RF-fields – braking the DC limit

RF linear accelerator



The Energy Recovery Linac Principle



ERLs are in favor of superconducting RF

normal conducting (Cu) RF

(typical S/C-Band, ~2 – 6 GHz)

$\Delta E \sim 1 \text{ MV/m} / P_{RF} \sim 15 \text{ kW/m} (CW)$

MAMI C 4.90 GHz, 35cell

(in short structures 210 kW/m reached = 3.8 MV/m) pulsed operation allows ~ 50 MV/m, but duty cycle reduced by 1/50² = 0.4 ‰

cw high current operation hampered by limited HOM damping capabilities (efficiency needs long structures with many cells, apertures typical only 10-20mm)

super conducting (Nb) RF

(L-Band, $\sim 1 - 2 \text{ GHz})$

∆E ~ 20 MV/m / P_{RF} ~ 20 W/m (CW) (JLAB upgrade: 19.2 MV/m)



large apertures (70mm+) and low number of cells allows efficient HOM damping

SC RF allows to built an ERL "compact" (high gradient) for high current cw operation (large apertures, strong HOM damping) Wall plug power consumption shifts from RF to Cryo (2K efficiency ~ 1/1000) **ERL is not necessarily a "green machine"**



First idea: M. Tigner, Nuovo Cimento 37 (1965) 1228

A Possible Apparatus for Electron Clashing-Beam Experiments (*).

M. TIGNER

Laboratory of Nuclear Studies, Cornell University - Ithaca, N.Y.

(ricevuto il 2 Febbraio 1965)



History – First idea

First idea: M. Tigner, Nuovo Cimento 37 (1965) 1228

Beam energy (GeV)	0.5	3
Length (m)	47	275
Beam current (A)	0.120	0.120
Luminosity (cm ⁻² s ⁻¹)	3.1030	3.1030
RF power to establish accelerating field in about of beam (iN), (1000 MHz operation)	.55	3.3
Refrigerator power (MW)	0.92	5.5
Synchrotron radiation loss in magnets (kW)		14 (30 m) bending radius



- stability issues (need same current in both linacs for efficient energy recovery) solved
- one linac only

Maybe first realisation (1977, without taking attention to it): Reflexotron (two pass linac) for medical application (Chalk River, Canada) S.O. Schreiber, IEEE NS-22 (1975) (3) 1060-1064

History – The Chalk River Reflexotron





Figure 1. The 25 MeV electron accelerator attached to its strongback.

History – First demonstration



MIT Bates Recirculated Linac (2.857GHz, nc, pulsed), 1985



History – A Little Different Concept

D.W. Feldman et al. / Energy recovery in the Los Alamos FEL



Fig. 2. Resonant bridge-coupler cross section.

First facilities – JLAB FEL

G.R. Neil, et al., Nucl. Instr. & Methods A557 (2006) 9.



First facilities – KEK / JAEA ERL FEL

JAEA IR-FEL (starts 1987, JAERI): 500 MHz sc cavities, 15 – 20 MeV, 8 mA \rightarrow 2 kW cw laser power @ 22 μ m at the beginning single pass \rightarrow 2002 upgrade to energy recovery setup



Around 2005: KEK and JAEA proposes ERL based light sources (5 GeV) Decision to built in an common effort: Compact ERL !

Overview on projects and facilities



ERL as next Generation Multi-GeV, Multi-User SR-Source



ERL as next Generation Multi-GeV, Multi-User SR-Source

dump

electron source

Combines the two worlds of storage rings and linacs

- with energy recovery: some 100mA @ many GeV possible
- always "fresh" electrons (no equilibrium)
- \rightarrow small emittance (~ 0.1 μ m rad norm. = 10 pm rad@6GeV)
- \rightarrow very high brilliance
- \rightarrow short pulses (ps down to 10 100 fs)
- free choice of polarisation
- 100% coherence up to hard X-rays
- real multi-user operation at many beam lines
- tailored optics at each ID

Flexible modes of operation (high brilliance, short pulse, different pulse patterns)

adaptable to user requirements!

ERL light source design studies

Cornell ERL



KEK ERL Synchrotron Radiation Synchrotron Radiation GeV ERL (1st stage) Superconducting Main Linac $\mathbf{Superconducting Main Linac}$ **GeV, 100mA, \varepsilon = 17 \text{ pm rad}** $(\varepsilon_{norm} = 0.1 \ \mu m \ (@77pC), 2ps)$

5 GeV, 100mA, ϵ = 8 pm rad

($\varepsilon_{norm} = 0.08 \,\mu m$ (@77pC), 2ps)

Femto Science Facility (FSF)

(multi turn, split linac), A. Matveenko et al.



ERL light source design studies

Cornell ERL

Up to ca. 2011 it seems, that only high energy, high current (~100mA class) ERL could fulfill the need of diffraction limited (transverse coherent) radiation in the nm, down to the Å, wavelength range!

But: The concept of "Multi-Bend-Achromat Lattices" for 4th generation light sources took over!

(near to requested performance, known technology, less risk)

Will there be an "come back" of the ERL for light sources?

6 GeV, 20/5 mA, ε = 8/40 pm rad (ε_{norm} = 0.1/0.5 μm (@15/4 pC), <1 ps / 10 fs)

ERL as electron part of Electron Ion Collider



ERL as electron part of Electron Ion Collider

e.g. addition of an ERL to an Hadron Collider (Electron-Ion-Collider) 250 GeV polarised protons \leftrightarrow 20GeV polarised electrons, L=10³³⁻³⁴ cm⁻² s⁻¹ (415 mA) ($\beta^*=5$ cm, 6μ m spot size @ IP)

ERL compared to storage ring

- electron beam needs to pass the interaction zone only once
- disturbance of electron beam by proton beam can be up to 20x stronger
- higher number of hadrons/Ions with high density possible
 - \rightarrow drastic increase in luminosity
- higher flexibility in interaction region design
- spin transparency (free choice to arrange spin orientation at IP)

Why ERL and not storage ring? 🛛 🛌

Luminosity

$$\mathbf{I} = \mathbf{f}_{\mathsf{coll}} \cdot \frac{\mathbf{n}_{\mathsf{lon}} \cdot \mathbf{n}_{\mathsf{e}}}{\mathbf{4} \cdot \pi \cdot \varepsilon \cdot \beta} \cdot \mathbf{F}_{\mathsf{HGR}}$$

Limit: beam-beam parameter electrons (!)

$$\xi^{e} = \frac{r_{0,e}}{4\pi} \cdot \frac{n_{lon}}{\gamma_{e}} \cdot \frac{\beta_{e}^{*}}{\epsilon_{lon}} \cdot \frac{\beta_{e}^{*}}{\delta_{lon}^{*}} < 0.1$$

ELR as electron part of Electron Ion Collider



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LHeC

25

7.2

6

1.3

р

7000

1.7

860

3.75

10

7.6

0.0001

None

е

60

0.01

6.4

50

12

0.06

90

ELR as electron cooler



Efficient cooling needs

- $\gamma_{ion} = \gamma_{electron}$, e.g. 100 GeV protons needs 54.5 MeV electrons
- low emittance of electron beam ($\varepsilon_{norm} \sim \mu m rad$) •
- low energy spread of electron beam ($\delta_{E,rel} \sim 0.05\%$)
- high electron beam current

54.5 MV and Ampere class currents not feasible with electrostatic accelerators

ERL cooler needs overlap of (many "short") electron bunches with ("long") ion bunches (LEReC Phase-I project@BNL, 64 m Beam dum up to 2 MeV, gun2dump approved)

DC

gu

warm cavit

for ultra high ion energies **Coherent Electron Cooling** ("stochastic cooling")

- ion beam imprints modulation on electron beam
- modulation on electron beam amplified by FEL
- electron beam acts back on ion beam

Compact ERL for low energy, high luminosity internal target experiments



First

sketch (2009)



MESA @ Mainz University

Multi turn ERL for

1) External beams for precision measurements (weak mixing angle)

E=155 MeV @ 150 μ A, polarized e⁻, L=10³⁹ cm⁻² s⁻¹

2) Pseudo Internal Target (PIT) experiments in Energy Recovery mode (dark photon search)

E=105 MeV @ 1 mA (10mA), L=10³⁵ cm⁻² s⁻¹



Landscape of past, present and future ERL



Application of ERLs

Next generation multi-user light source (diffraction limited, short pulses, ID tailored beam parameters)

High energy electron cooling of bunched proton/ion beams (Energy ~ 100 MeV + high current → rules out VdG or SR)

Ultra high luminosity electron – ion collider (EIC, LHeC) (overcoming beam-beam effect electron ring)

> Compact radiation sources (FEL, Compton sources, next generation lithography)

> > and more ...

Challenges

Electron source: high current, low emittance (100 mA – A cw with $\varepsilon_{norm} < \mu m$ rad) not yet demonstrated (big step forward: Cornells 80 mA, dc gun)

100 mA @ 5 – 15 MeV = 500 – 1500 kW beam loading (coupler, HOM damper, beam dump)

Main-Linac:

100 mA recirculating beam \rightarrow beam break up (BBU), higher order modes (HOM), highest cw-gradients (>15 MV/m) with quality factor > 1010 \rightarrow reduce cryo costs

Beam dynamics / optics:

recirculation, flexible optics, bunch compression schemes = flexibility

Control of beam loss

unwanted beam = dark current from cathode, gun, cavities due to field emission, stray light / halo cathode laser beam, collimation schemes (at low beam energy)

Storage ring: nearly Gaussian distribution ~ pA losses typical ~ 10 nA maximum



no dead mathematician distribution ~ 100 μA losses possible (multi kW and more)

ERL:



The "hummingbird" P. Evtushenko, JLAB

Comparison Storage Ring <-> ERL (used charge / losses)

Let us assume 6000h/a operation @ 1.7 GeV

ERL parameter: (10 MeV dump energy, 10⁻⁶ loss rate)

 $I_{Beam} = 100 \text{ mA}$ $\rightarrow 0.1 \text{ C / s}$ $\rightarrow 2.2 \ 10^6 \text{ C / a} = 1.4 \cdot 10^{25} \text{ electrons / a}$

assume a lossrate of 10⁻⁶

99.9999 mA dumped @ 10 MeV = 1 MW (easily shielded, as mostly Gammas and *no* neutrons)

100 nA dumped @ 1.7 GeV = 170 W → 100 nC / s → 2.16 C / a = 1.34 · 10¹⁹ electrons / a

BESSY II parameter (adjusted for comparison): $(\tau = 15 \text{ h beam lifetime}, \eta_{\text{ini.}} = 90\% \text{ injection eff.},$ $T_{circ} = 800 \text{ ns}$) $I_{Beam} = 100 \text{ mA}$ \rightarrow Q_{Beam} = 80 nC circulating "forever" \rightarrow 80 nC = 0.5 \cdot 10¹² electrons "forever" $\mathbf{I}(t) = \mathbf{I}_0 \cdot \mathbf{e}^{-\frac{t}{\tau}}, \qquad \mathbf{Q}(t) = \mathbf{Q}_0 \cdot \mathbf{e}^{-\frac{t}{\tau}}$ $\dot{Q}(t=0) = -\frac{Q_0}{\tau}, \quad Q_{loss} / s = \frac{Q}{\eta_{eff}} = \frac{Q_0}{\eta_{eff} \cdot \tau}$ losses are governed by lifetime and injection maintaining $I_{Beam} = 100 \text{ mA} / Q_{Beam} = 80 \text{ nC}$ \rightarrow 1.65 pC / s

1.65 pA dumped @ 1.7 GeV = 0,0028 W
→ 1.65 pC / s
→ 35.6 µC / a = 2.2 · 10¹⁴ electrons / a

Demonstrator projects world-wide

cERL, KEK + JAEA



CBETA FFAG ERL, Cornell/BNL



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PERLE@ORSAY (CNRS)

250 MeV, 20 mA (3 turns, 802 MHz)

(TDR 2025, stepwise installation and commissioning till 2031)





STFC, U Liverpool, ESS Bilbao BINP, an-Najah University contribution of iSAS EU project

all based on DC photo electron sources

bERLinPro – Berlin Energy Recovery Linac Project

bERLinPro = Berlin Energy Recovery Linac Project

100 mA / low emittance technology demonstrator (covering key aspects of large scale ERL)



Bunch length (ps)

Beam losses

building ready 2017, first SRF photo gun electrons 2017 (Teststand) Installation infrastructure / warm parts completed 2021 SRF Gun RF tested 2024 / First beam expected 12/2024

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< 2 ps (100 fs @ 10mA)

<< 10⁻⁵ @ 100 mA

First beam tests of the bERLinPro SRF photo-injector







First beam 12/2017



QE map Cu cathode



MAX-BORN

INSTITUT

Jefferson Lab

HZDR

LOMONOSOV MOSCOW STATE UNIVERSITY

First 1.6 cell SRF Gun
with exchangeable high-QE cathode
01/2018 Operation with high QE cathode failed,
as cathode plug "dropped" into Gun cavity ☺

High QE photo cathodes & drive laser development

Drive laser development:

- online control of laser parameters (transverse shape and pulse length)
- tools to monitor photocathode
- high power (> 40 W @ 515 nm) 1.3 GHz laser with 3 to 12 ps pulse length







Photocathode preparation and analysis laboratory up and running since 2015

- insight into growth process with material science studies in parallel
- achieved quantum efficiency of 16.8% at 515 nm (spec is 1%) with CsKSb / recently 8% with Na₂KSb (much higher stability)
- successful transfer from cathode lab to SRF gun
- demonstrated, that cooling of cathode to 120 K do not harm quantum efficiency (unlike prediction by other researchers!)

Technological Challenges - high current booster module

- Pre-accelerator for highest beam power: up to 500 kW_{CW}, max. 240 kW/cavity
- Collaboration Cornell University (USA), Jefferson Lab (USA), KEK (Japan)
- Cavity design: Cornell / production: Jefferson Lab (USA) (4 x 2 cell)
- High power RF coupler for up to 120 kW CW
- Coupler production: warm parts FMB (Berlin) / cold part Toshiba/Canon (Japan)



Technological challenges - CW high power klystrons & auxillaries

- Developed at CPI (USA) together with HZB and TRIUMF (Canada)
- + 270 $\rm kW_{CW}$ @ 1.3 GHz at present only available @ HZB, TRIUMF
- Essential part of future SupraLab@HZB infrastructure
- First klystron tested up to max power @ bERLinPro





Technological Challenges – HOM damped, high current linac module



- 3 x 7 cell SC linac module
- 1.3 GHz CW operation
- $\Delta E = 44 \text{ MeV} \sim 20 \text{ MV/m}$
- strong HOM damping with attached waveguide groups

And here we run out of money 🛞

Full design available!

Radiation protection for ERL – shielding neutrons





Fluka calculations (K. Ott, HZB)

50 MeV, 100 mA = 5 MW \rightarrow kW losses easily possible

Andreas Jankowiak, ERL Lecture, Advanced Accelerator Physics Course, C/

bERLinPro building



bERLinPro building



bERLinPro building



bERLinPro Building

FIRE

COUNT



DGI Bauwerk

From an artists view to

bERLinPro Building

view north-west



bERLinPro Building

view south-east



Underground accelerator hall – 12/2016



Underground accelerator hall – machine installation in full swing



Present planning and next steps



05/2024 SRF Gun cool down and RF commissioning (no beam tests) 12/2024 First electrons SRF Gun expected 12/2025 booster module installed From 2026 very versatile SRF Photo Injector (SRF Gun & 3x2cell Booster)

What about re-circulation? We are much too late and run out of money!

bERLinPro – performance parameter (simulations)



ERL Beam Dynamics – Beam Break Up

Beam Break Up: resonant interaction of short & long range cavity wake fields with the generating bunch or subsequent bunches \rightarrow instability & beam loss

e.g. Multibunch BBU: many flavours: cumulative / regenerative, transverse / longitudinal, single-/multi-cavity, single-/multiple-turn



regenerative transverse BBU (single cavity, single turn, one mode):

- bunch passes cavity "off axis" during accelerating passage → induce HOM voltage & transverse kick due to HOM
- 2. after recirculation kick transforms to an offset & HOM damp according to its Q
- 3. bunch passes cavity with varied offset on decelerating passage → induce HOM voltage & transverse kick due to HOM

BBU: HOM excitation exceeds HOM damping \rightarrow kick strength growth up to loss

ERL Beam Dynamics – Beam Break Up

BBU threshold current

$$I_{th} = -\frac{2pc^2}{e\omega_{\lambda} \left(\frac{R}{Q}\right)_{\lambda} Q_{\lambda} m^* \sin(\omega_{\lambda} T_{rec})}$$
valid for:
- m*sin($\omega_{\lambda} T_{rec}$) < 0
- $\omega_{\lambda} \neq n^* \omega_{rf}$

Countermeasures: $m^* = m_{12}\cos^2(\alpha) + (m_{14} + m_{32})\sin(\alpha)\cos(\alpha) + m_{34}\sin^2(\alpha)$

- 1. cavity design:
 - HOMs: small R/Q, varying ω_{λ} at fixed $\omega_0 \rightarrow$ multi cavity BBU thresholds increase
 - no HOM on a fundamental's harmonics: $\omega_{\lambda} \neq n^* \omega_{rf}$
 - low Q for HOM → HOM dampers (ferrites, waveguides, ...)
- 2. recirculator beam optics:
 - for $\alpha = 0$ & uncoupled beam transport $\rightarrow m^* = m_{12} = (\beta_1 \beta_2)^{1/2} \sin(\Delta \phi_x)$ \rightarrow stable for $\Delta \phi = n\pi$
 - $\operatorname{adjust} \operatorname{sin}(\omega_{\lambda} T_{rec}) = 0$ for worst HOM large path length change \rightarrow inpractical \otimes



- E. Pozdeyev et al.: Multipass beam breakup in energy recovery linacs, NIM-A 557 (2006) 176–188
- G. Hoffstaetter et al.: Beam-breakup instability theory for energy recovery linacs, PRST-AB 7, 054401 (2004)

G. Hoffstaetter et al.: Recirculating beam-breakup thresholds for polarized higher-order modes with optical coupling, PRST-AB 10, 044401 (2007)

Andreas Jankowiak, ERL Lecture, Advanced Accelerator Physics Course, CAS, Spa / Belgium, 19.11.2024

Y. Petenev

ERL Beam Dynamics – Unwanted Beam



ERL Beam Dynamics – Unwanted Beam

Amount:

not reliably predictable for most sources •

Loss positions:

with initial beam parameter (place of origin, momenta) loss position along • the machine can be calculated for the various generation processes \rightarrow loss probability (to be weighted with unknown loss current \otimes)

source	generating process	loss positions	amount
Halo	scattered Particles		
	stray light – laser halo		(
Dark Current	field emission gun cath & plug		(
	field emission booster & linac		(

UBW 2012: https://indico.helmholtz-berlin.de/conferenceDisplay.py?confld=2

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ERL Beam Dynamics – Unwanted Beam / Halo

- Halo: 1. residual gas scattering
 - 2. intra beam scattering \rightarrow Touschek losses

3. laser stray light from cathode



Beam halo modeling:

particle distribution from ASTRA.

red – active beam particles,

blue – passive halo particles, green – particles lost in collimators.

Initial distribution on the cathode in

- a) x-y plane,
- *b) x-t* plane.

Particle distribution after the merger section in

- c) x-z plane,
- d) p_z -z plane.

→ Collimation of large fraction of halo particles, but not 100%.

bERLinpro: one testing collimator in the merger section

ERL Beam Dynamics – Unwanted Beam / field emission

Dark Current: field emission from gun cathode

Field Emission from gun cathode

- Fowler Nordheim: $\varphi = 1.9 \text{ eV}$, $\beta = 200$, $E_{max} = 30 \text{ MV/m}$
- tracking trough merger incl. SC of reference bunch
- x-y apertures in booster & merger \rightarrow loss distribution





ERL Beam Dynamics – Unwanted Beam / field emission

Dark Current: field emission from gun cathode





Summary

Energy Recovery Linacs can provide high current, high quality beams for single-pass-experiments in flexible setups

multi user light sources, **collider**, cooler, compact sources, ...

cw superconducting RF is the enabling technology

high gradient, large apertures

many challenges to be addressed

low emittance/high current sources, HOM damped cavities (BBU), flexible bunch compression, control of unwanted beam, optimising SRF efficiency (high gradient, high Q0)

ongoing, worldwide effort to push ERL technology cERL, BNL ERL, CBETA Cornell Injector + FFAG ERL, JLAB ERL-FEL, **Bejing University & IHEP**, NovoERL BINP, MESA, S-DALINAC ERL, PERLE@ORSAY, LHeC, FCCeh

Thanks to many of my colleagues providing me data and information! Special thanks to my colleague Michael Abo-Bakr for transparencies on beam dynamic issues.

Some historical facts taken from G. Kraffts talk "What is an ERL, and why there might be one in your future", ERL Symposium, DPG Frühjahrstagung Darmstadt, 03/2016

Many thanks for your attention!

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XXX + Its all about teamwork!