

NON-PERTURBATIVE AND TOPOLOGICAL ASPECTS OF QCD — 30th May 2024

QCD Instanton Prospects at the LHC

Ynyr Harris



In This Talk

- Present ongoing search for gluon-induced QCD instantons in ATLAS
 - Based on work done by V. V. Khoze *et al.* (see [previous talk](#))
 - Very ATLAS oriented
 - A work in progress! No data will be shown.

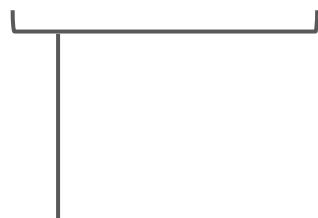
- But it is difficult! Are there other avenues?
 - Diffractive production?
 - Use flavour tagging?
 - Measure the chirality violation?

LHC Phenomenology of QCD Instantons

Following 't Hooft, do perturbation theory in the instanton background

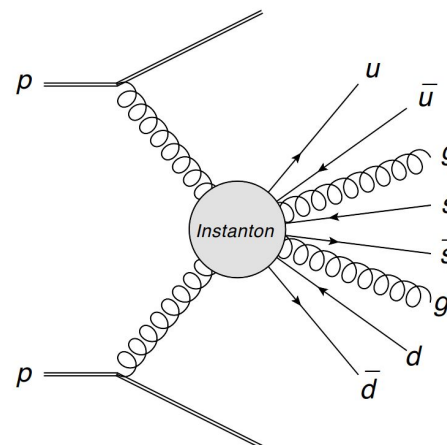
[Khoze *et al.* 1] + [Khoze *et al.* 2, Khoze *et al.* 3, Amoroso *et al.*]

$$\mathcal{A}_{2 \rightarrow n_g + 2N_f} = \int d^4x_0 \int_0^\infty d\rho D(\rho) e^{-S_I} \prod_{i=1}^{n_g+2} A_{\text{LSZ}}^{\text{inst}}(p_i; \rho) \prod_{j=1}^{2N_f} \psi_{\text{LSZ}}^{(0)}(p_j; \rho)$$



Get cross-section via optical theorem

$$\hat{\sigma}(gg \rightarrow X) = \frac{1}{E^2} \text{Im} \mathcal{A}^{I\bar{I}}$$



Predictions for the LHC

$\sqrt{\hat{s}}$ [GeV]	$\hat{\sigma}(gg \rightarrow X)$ [pb]	$\langle 1/\rho \rangle$ [GeV]	$\alpha_S(1/\rho)$	$\langle N_{\text{gluons}} \rangle$
20	2.01×10^6	1.69	0.327	7.81
25	9.49×10^5	1.98	0.306	8.58
30	4.64×10^5	2.27	0.290	9.07
35	2.32×10^5	2.52	0.279	9.61
40	1.25×10^5	2.84	0.267	9.67
50	3.89×10^4	3.38	0.251	10.56
60	1.38×10^4	3.87	0.241	10.89
70	5.45×10^3	4.33	0.232	11.38
80	2.36×10^3	4.85	0.224	11.67
90	1.08×10^3	5.24	0.219	12.31
100	5.44×10^2	5.82	0.213	12.10
110	2.79×10^2	6.21	0.209	12.62
120	1.53×10^2	6.71	0.205	12.77
130	8.56×10^1	7.13	0.201	13.04
140	4.99×10^1	7.57	0.198	13.25
150	3.01×10^1	8.00	0.195	13.45

Table. Partonic cross-section as a function of partonic centre-of-mass energy, $\sqrt{\hat{s}}$, taken from [2104.01861](#).

Theory Inputs to an Experimental Search

Nominal factorisation scale choice, μ_F

Mass spectrum

$\sqrt{\hat{s}}$ [GeV]	$\hat{\sigma}(gg \rightarrow X)$ [pb]	$\langle 1/\rho \rangle$ [GeV]	$\alpha_S(1/\rho)$	$\langle N_{\text{gluons}} \rangle$
20	2.01×10^6	1.69	0.327	7.81
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Mean number of gluons to add to each event

Table. Partonic cross-section as a function of partonic centre-of-mass energy, $\sqrt{\hat{s}}$, taken from [2104.01861](#).

MC Instanton Signal Modelling

- SHERPA 3 for event generation
- RAMBO for phase-space generation
- Final state assembled algorithmically
- Flavour production scheme:
 - Add up to 5 flavours
 - 20 GeV c -production threshold
 - 100 GeV b -production threshold
- NNPDF3.0 NNLO with $\mu_F = 1 / \rho$ scale choice

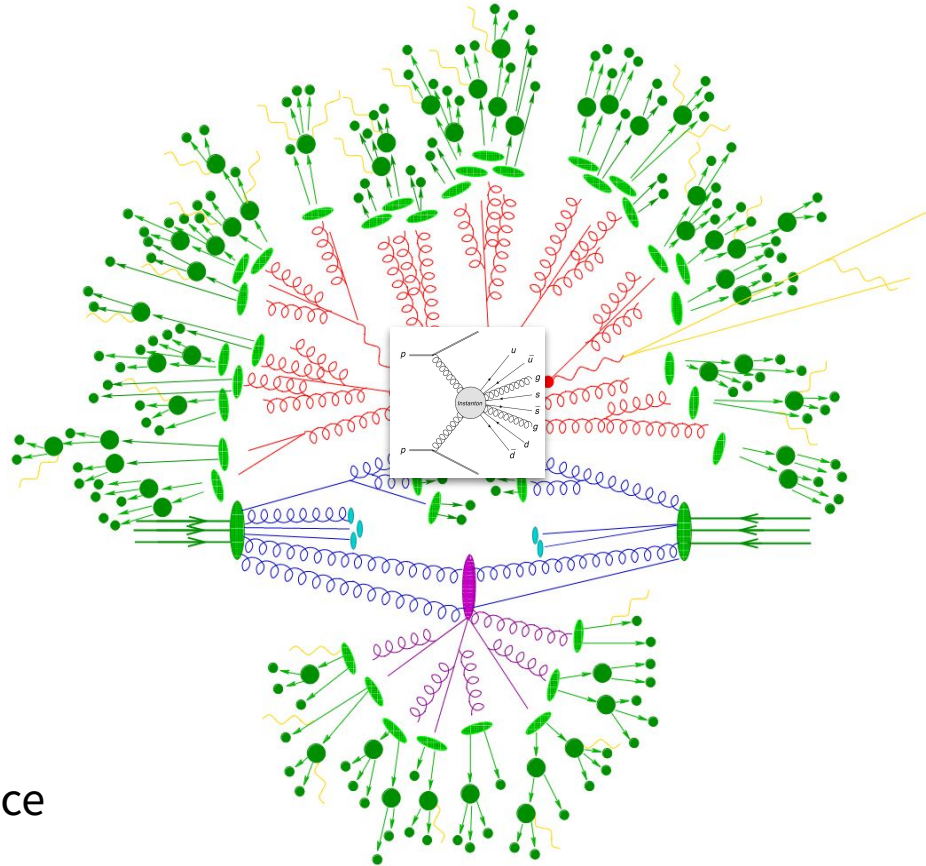
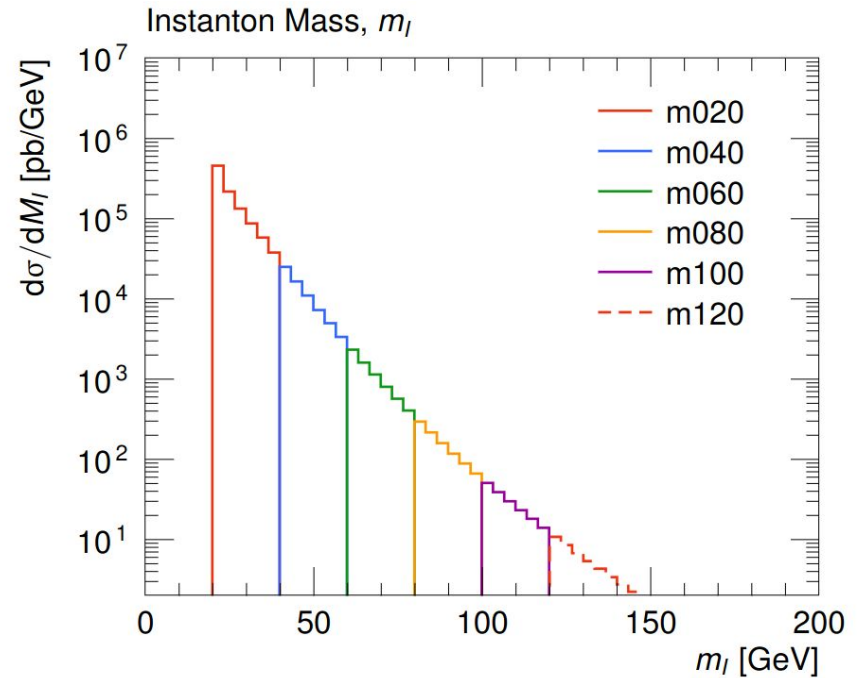
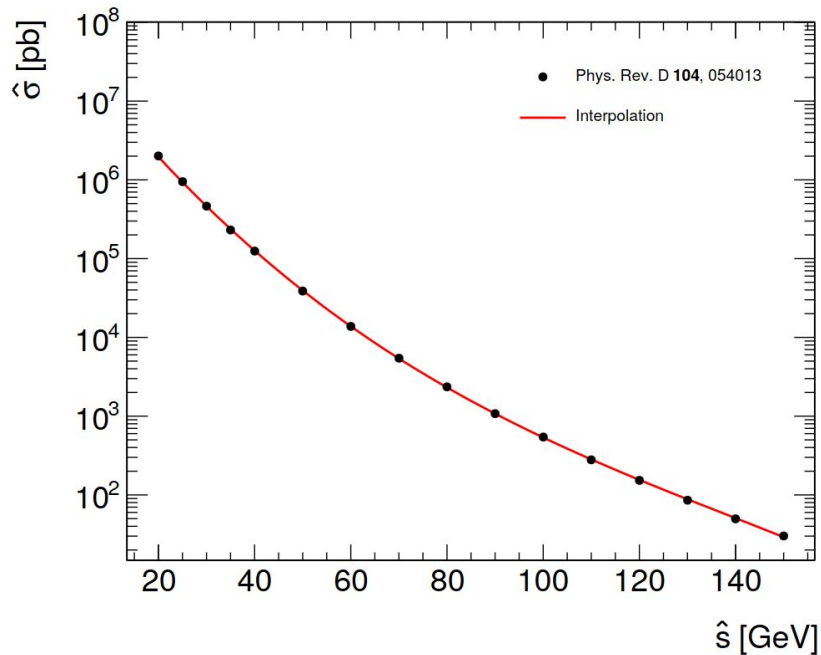


Figure from [Sherpa 1.1 Manual](#) ('t Hooft vertex embedded)

See back-up for a glimpse of Sherpa 3's UE tuning effort

Simulated Mass Spectrum

Interpolate the cross-section data table, generate events with Sherpa in 6 slices



Signal event generator ✓

Soft QCD Background Models

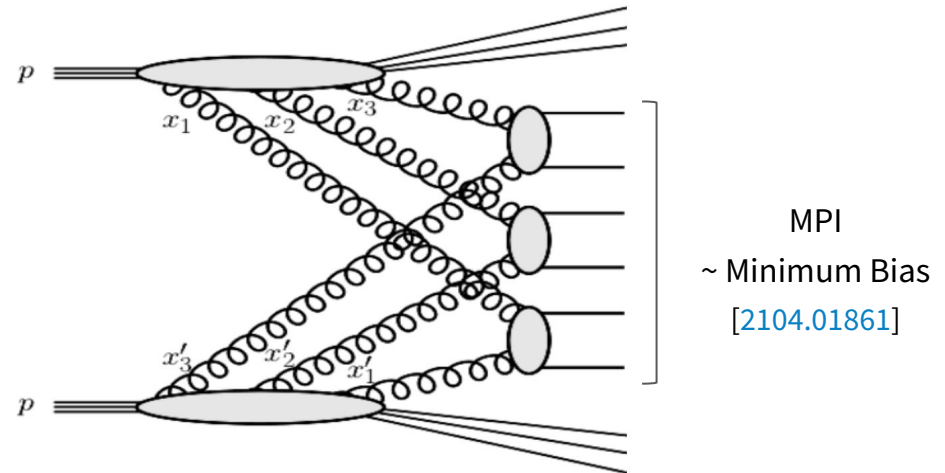
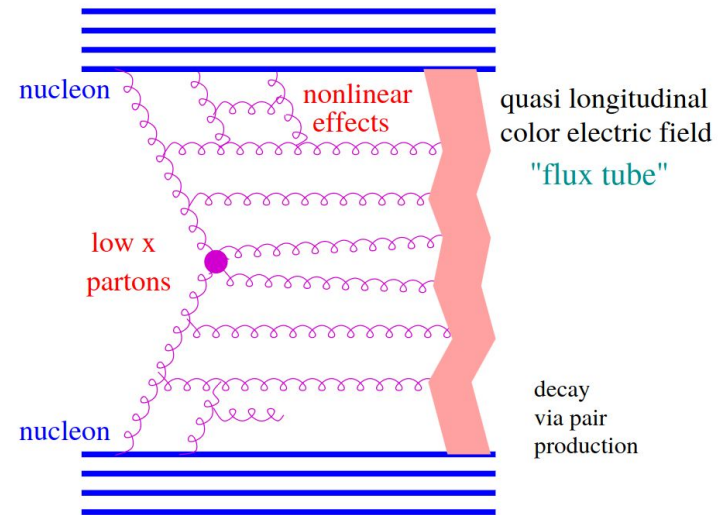
Background: Soft QCD, $\sigma \sim 111 \text{ mb}$

Nominal model: EPOS LHC

Parton-based Gribov Regge theory with collective hadronisation [[1306.0121](#)]

Alternative model: Pythia 8

$2 \rightarrow 2$ scatters with MPI based on the Sjöstrand–van Zijl model [[PRD](#)],
Lund-string fragmentation



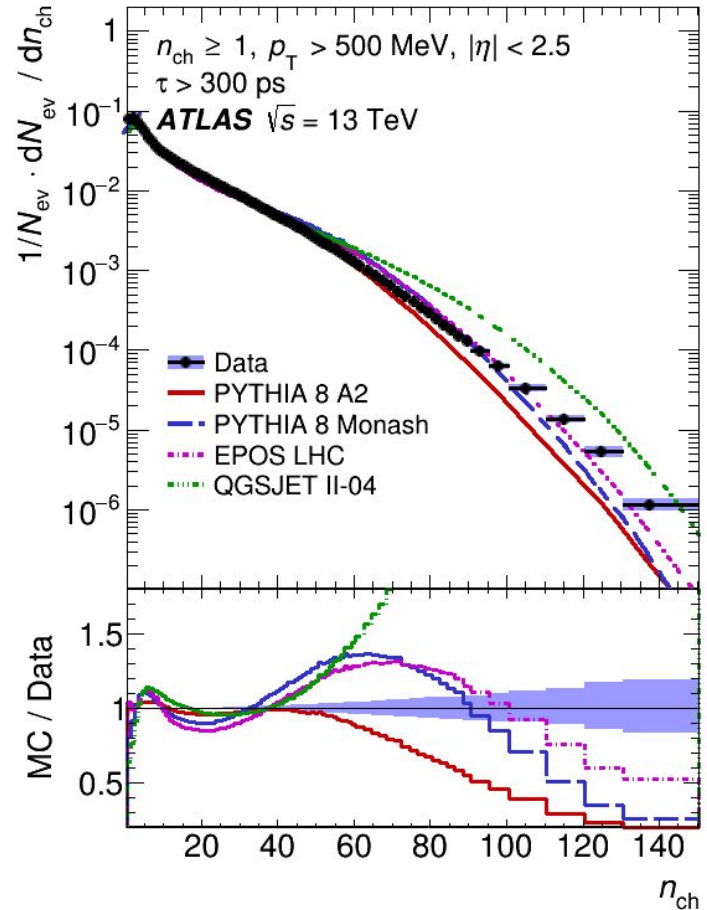
Start With a Past Analysis

Charged-particle distributions in $\sqrt{s} = 13$ TeV pp interactions measured with the ATLAS detector at the LHC

The ATLAS Collaboration

Featuring

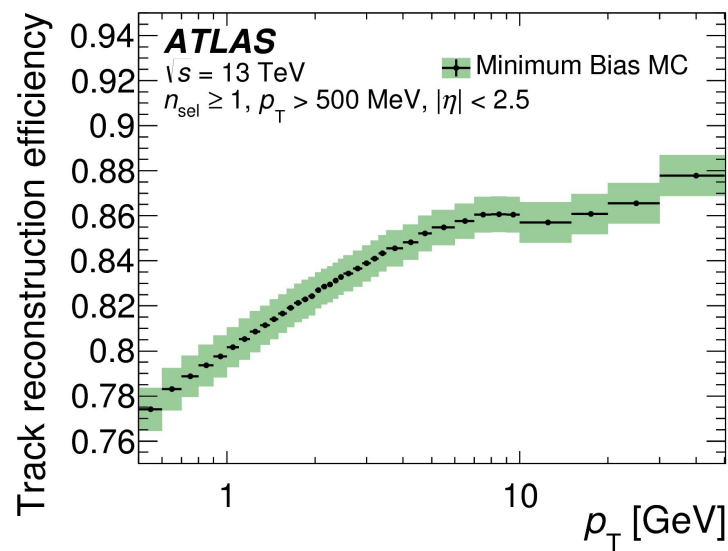
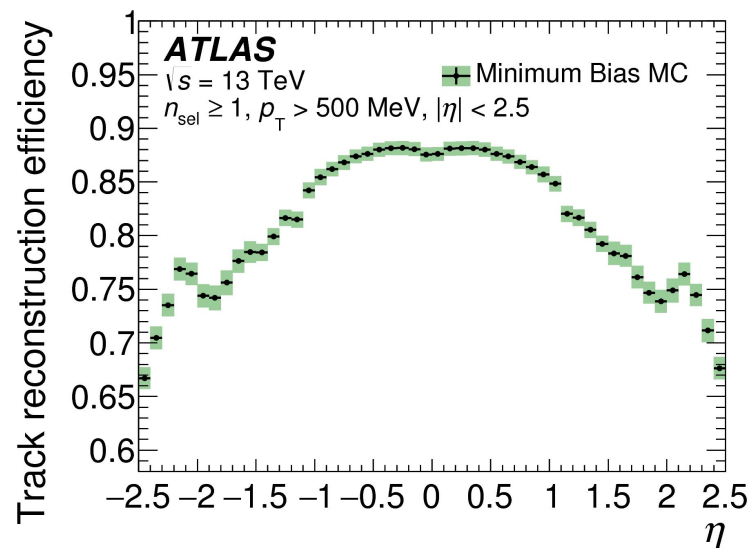
- Low pileup ATLAS data
 - Pile-up values $\langle\mu\rangle \sim 0.035$
 - $1.693 \text{ nb}^{-1} \sim O(10^4)$ instantons
- Minimum Bias triggers
- Charged-particle tracking
- Minimum Bias background models



1602.01633

A Very Simple Analysis

- No jets, flavour tagging, heavy decays, ... just tracks.
- Good track reconstruction efficiency
- Small systematic uncertainties
- Enough information to capture isotropy
- Simplified analysis design



1602.01633

Discriminating Variables

— Event kinematics

Variable
Track multiplicity
Scalar sum of p_T
Leading track- p_T
Number of high- p_T tracks
Number of low- p_T tracks
Mean p_T
Median p_T
Transverse mass
Transverse mass per track
Mean η
Standard deviation of ϕ
Standard deviation of η
Standard deviation of $\Delta\phi(i, j)$ of all track pairs
Standard deviation of $\Delta\eta(i, j)$ of all track pairs
Mean of $ \Delta\eta(i, j) $ of all track pairs

Variables roughly

proportional to event mass

Variables inspired by

two-particle azimuthal

correlation studies in Heavy

Ion data [eg [2101.10771](#)]

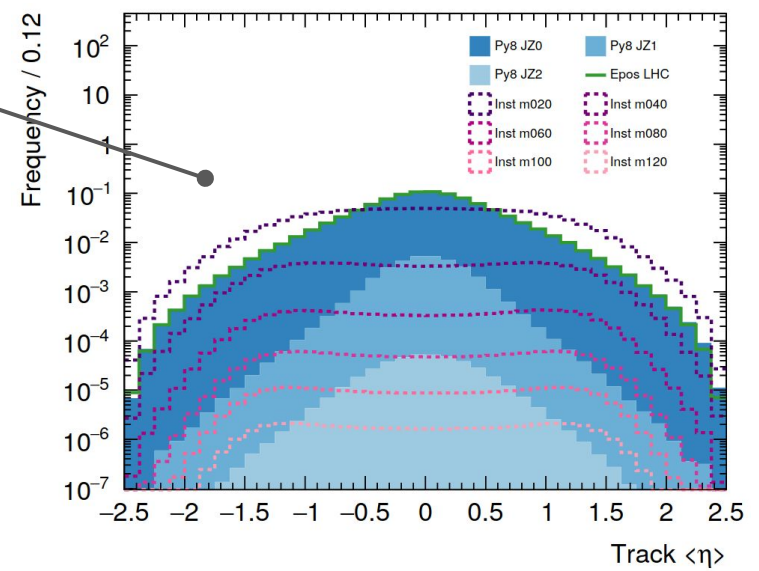
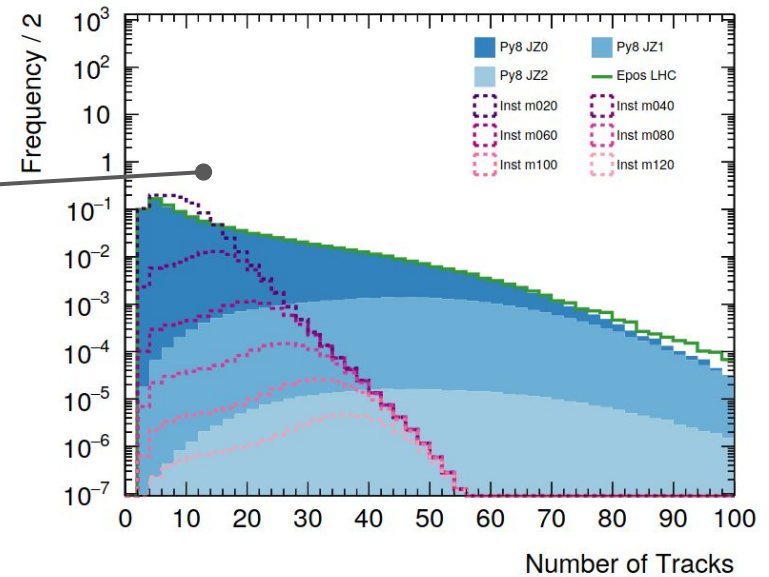
Discriminating Variables

— Event kinematics

Variable

Track multiplicity
 Scalar sum of p_T
 Leading track- p_T
 Number of high- p_T tracks
 Number of low- p_T tracks
 Mean p_T
 Median p_T
 Transverse mass
 Transverse mass per track

Mean η
 Standard deviation of ϕ
 Standard deviation of η
 Standard deviation of $\Delta\phi(i, j)$ of all track pairs
 Standard deviation of $\Delta\eta(i, j)$ of all track pairs
 Mean of $|\Delta\eta(i, j)|$ of all track pairs

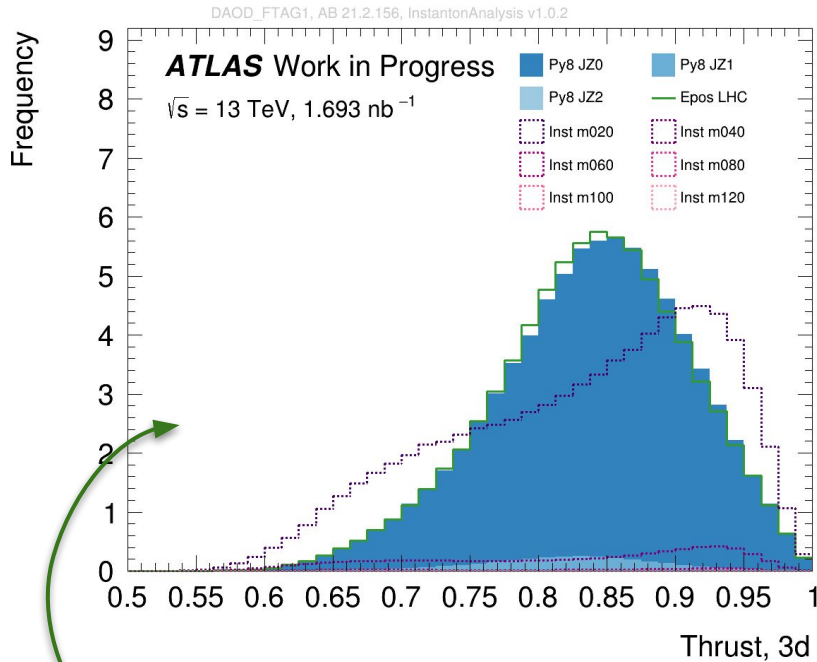


Discriminating Variables

— Event Shapes

Thrust:

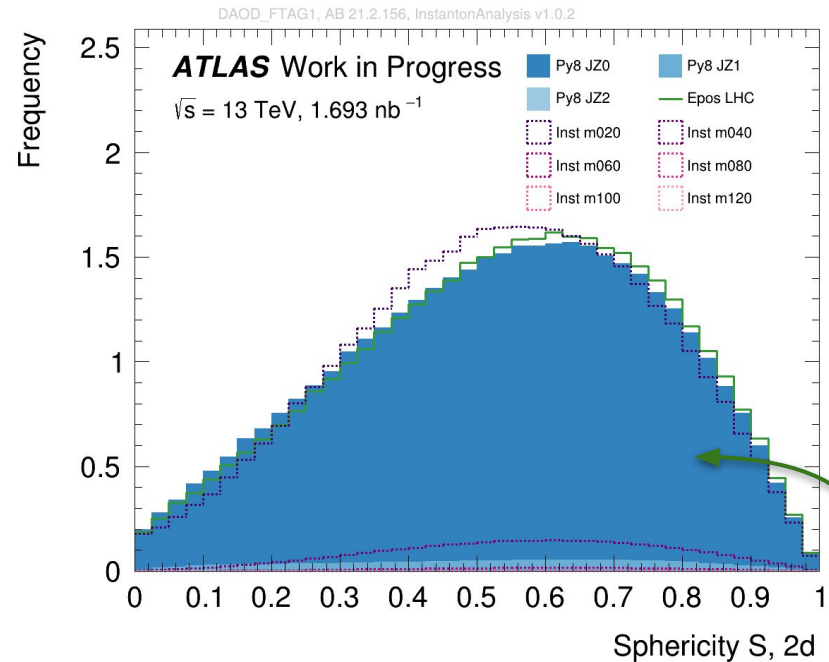
$$T(X) = \max_{e_T} \frac{\sum_i |e_T \cdot x_i|}{\sum_i |x_i|}$$



3d distributions depend on Björken-x combinations and minimum track p_T cut (500 MeV)

Sphericity:

$$S^{\alpha\beta}(X; r) = \frac{\sum_i |x_i|^{r-2} x_i^\alpha x_i^\beta}{\sum_i |x_i|^r}$$



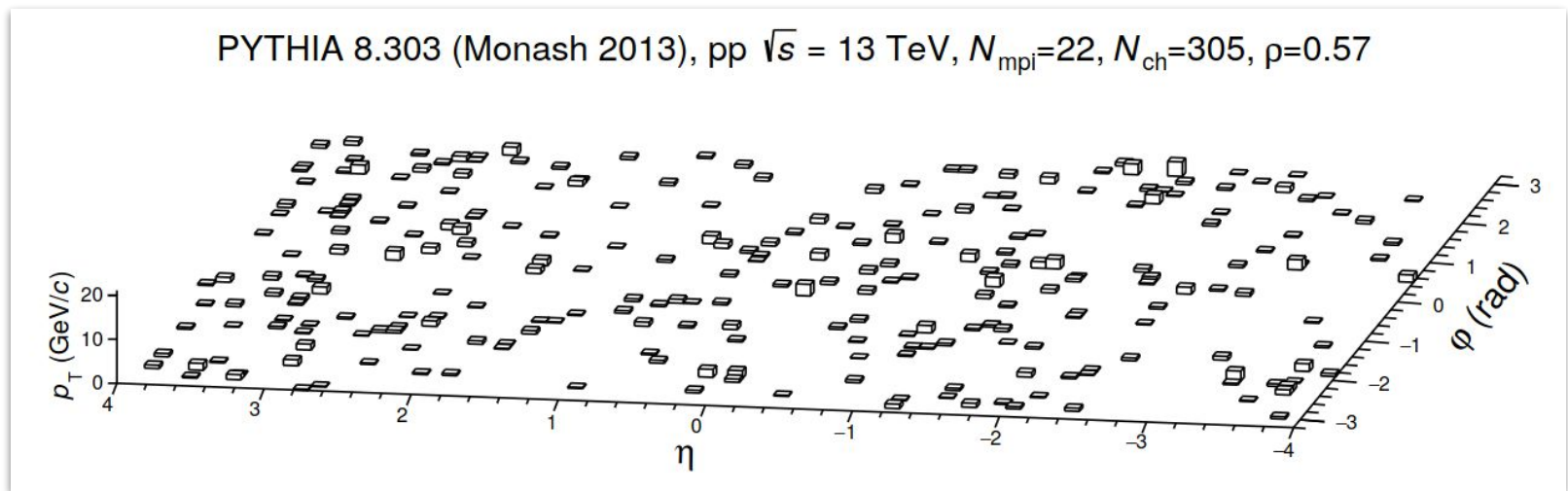
Very similar distributions in 2d (similar features in 3d)

Discriminating Variables

— Flatenicity

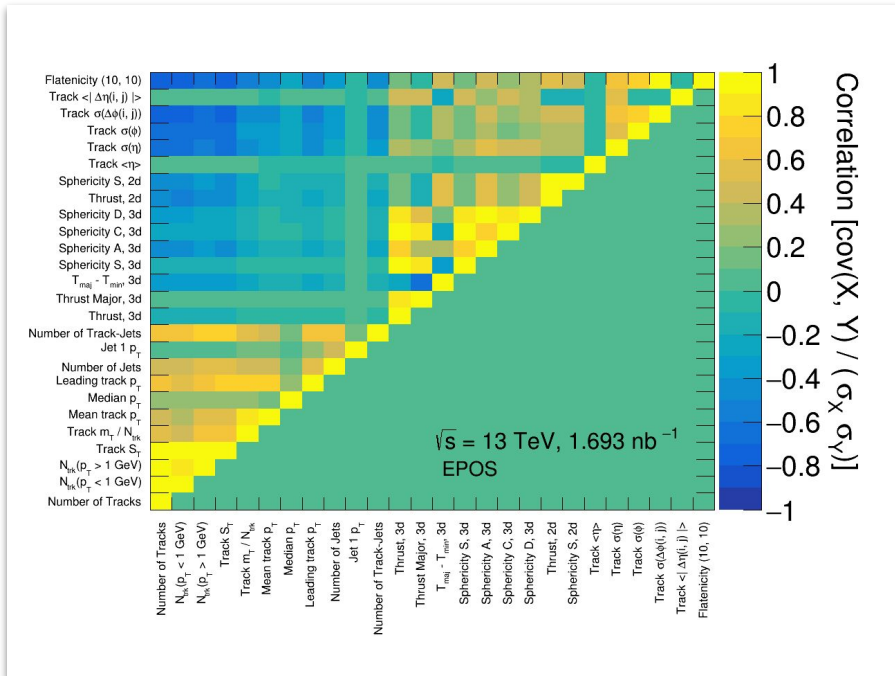
- ‘Flatenicity’ characterises the uniformity of energy deposits in the detector [[2204.13733](#)]
- Sensitive to the number of MPI interactions
- $\eta - \phi$ plane partitioned into a uniform 10 x 10 grid of cells
- Total track pT in each cell calculated...then flatenicity given by

$$\rho = \frac{\sigma_{p_T^{\text{cell}}}}{\langle p_T^{\text{cell}} \rangle}$$

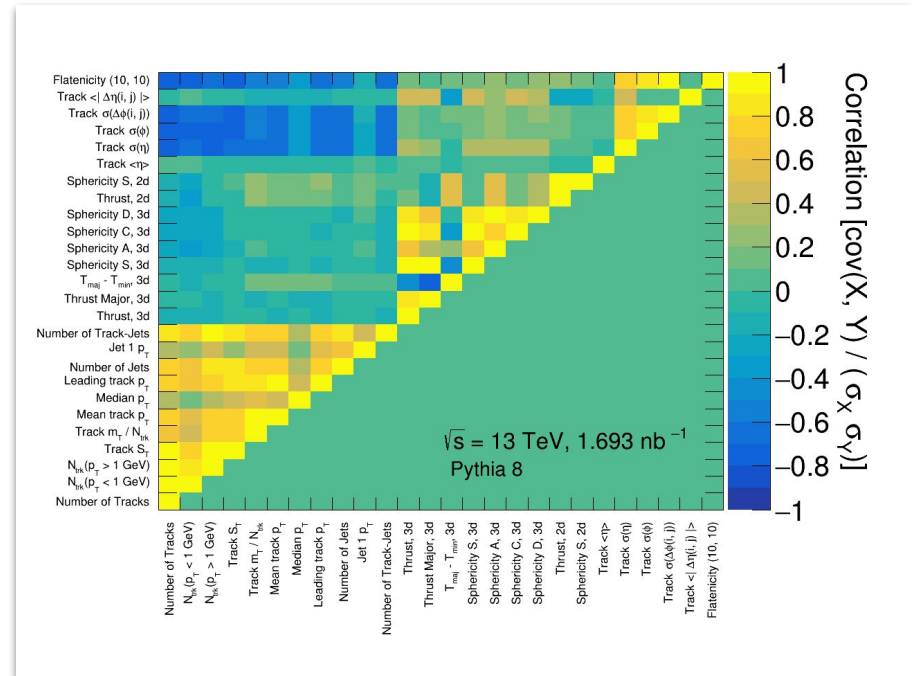


Picture of a uniform ‘MPI’ event taken from [[2204.13733](#)]

Correlation Matrices



EPOS LHC



Pythia 8

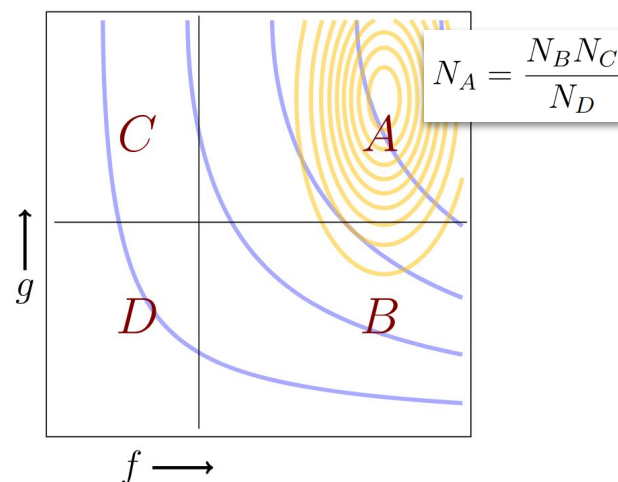
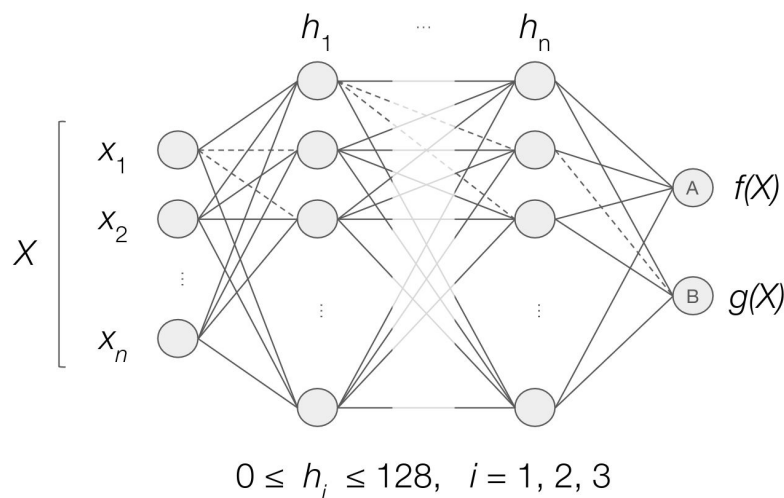
These matrices factorise into two blocks: Event Shapes and non Event-Shapes

For background estimation, find two independent variables for the 'ABCD' method?

Machine Learning Approach: ABCDisCo

Set up two statistically independent classifiers for ABCD-style background estimation

[Kasieczka, Nachman, Schwartz and Shih (2007.14400)]



$$\mathcal{L}[f(X), g(X)] = \mathcal{L}_{\text{cl}}[f(X), y] + \mathcal{L}_{\text{cl}}[g(X), y] + \alpha \mathcal{L}_{\text{dCorr}_{y=0}^2}[f(X), g(X)]$$

Classification terms

**Decorrelation term,
strength α**

Modified loss function

→ Region definitions and background estimation

Deep Neural Network (DNN) Input Variables

Mass variables	Number of tracks	N_{trk}
	Number of tracks above 1 GeV	$N_{\text{trk}}(p_{\text{T}} > 1 \text{ GeV})$
	Number of tracks below 1 GeV	$N_{\text{trk}}(p_{\text{T}} < 1 \text{ GeV})$
	Scalar sum of track p_{T}	S_{T}
	Transverse mass per track	$m_{\text{T}}/N_{\text{trk}}$
	Mean track p_{T}	$\langle p_{\text{T}} \rangle$
	Median track p_{T}	$\text{med } p_{\text{T}}$
	Leading track p_{T}	$\text{max } p_{\text{T}}$
Event Shape variables	3d Thrust	$T, 3d$
	3d Thrust major	$T_{\text{major}}, 3d$
	3d Oblateness	$T_{\text{major}} - T_{\text{minor}}, 3d$
	3d Sphericity	$S, 3d$
	3d Aplanarity	$A, 3d$
	2d Thrust	$T, 2d$
	2d Sphericity	$S, 2d$
Angular variables	Mean pseudorapidity	$\langle \eta \rangle$
	Standard deviation of ϕ	$\sigma(\phi)$
	Standard deviation of η	$\sigma(\eta)$
	Standard deviation of $\Delta\phi(i, j)$ of all track pairs	$\sigma(\Delta\phi(i, j))$
	Mean of $ \Delta\eta(i, j) $ of all track pairs	$\langle \Delta\eta(i, j) \rangle$
	Flatnicity on a 10×10 grid	$F(10, 10)$

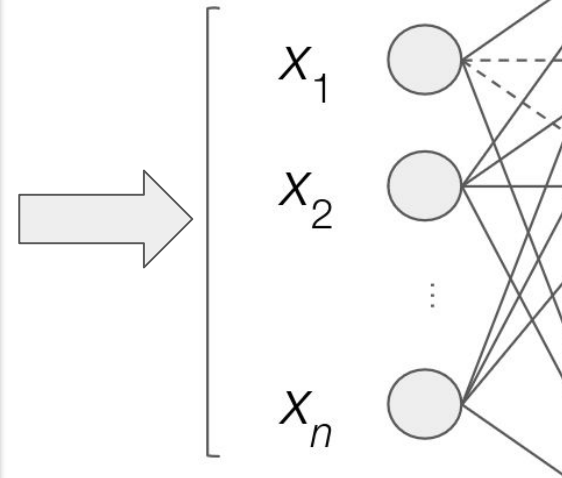
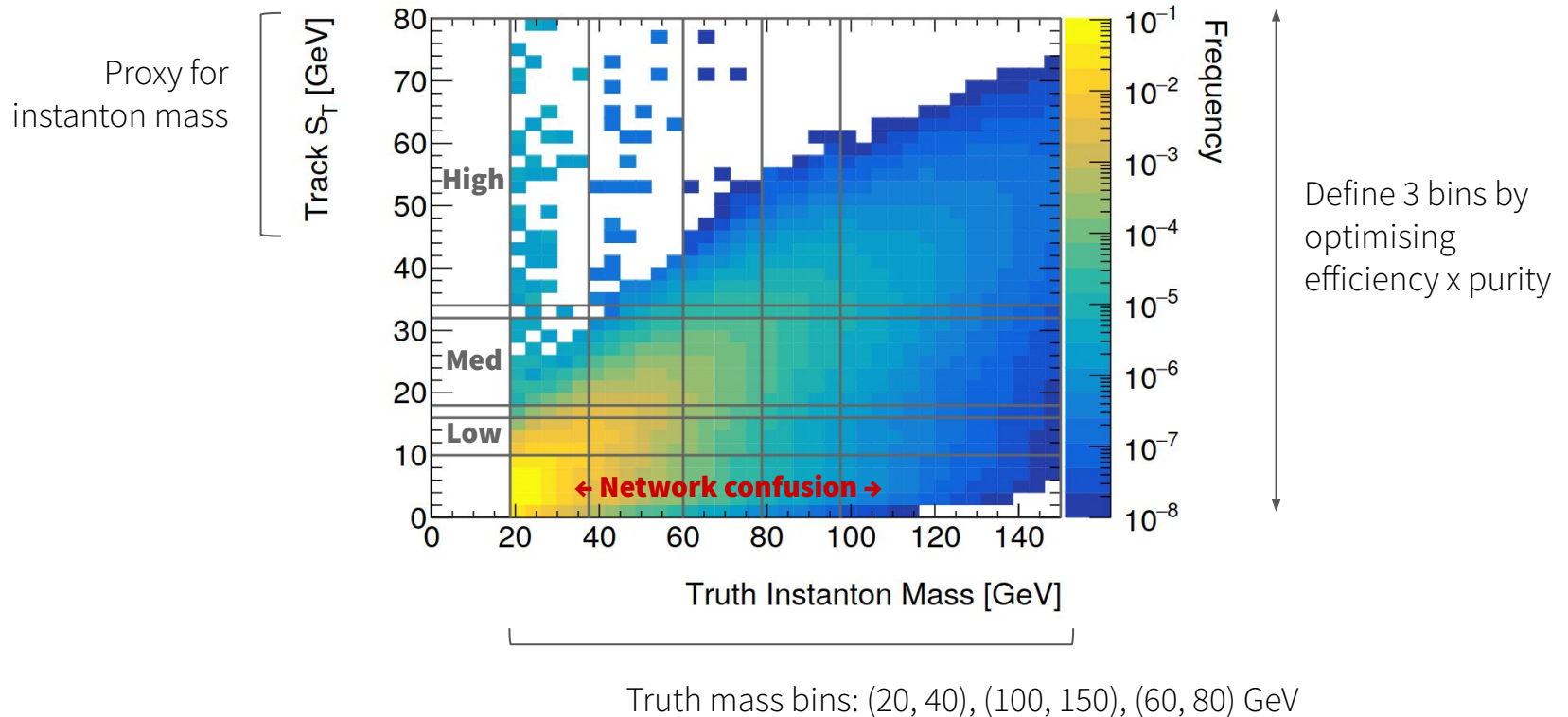


Table. List of our ABCDisCo network input variables.

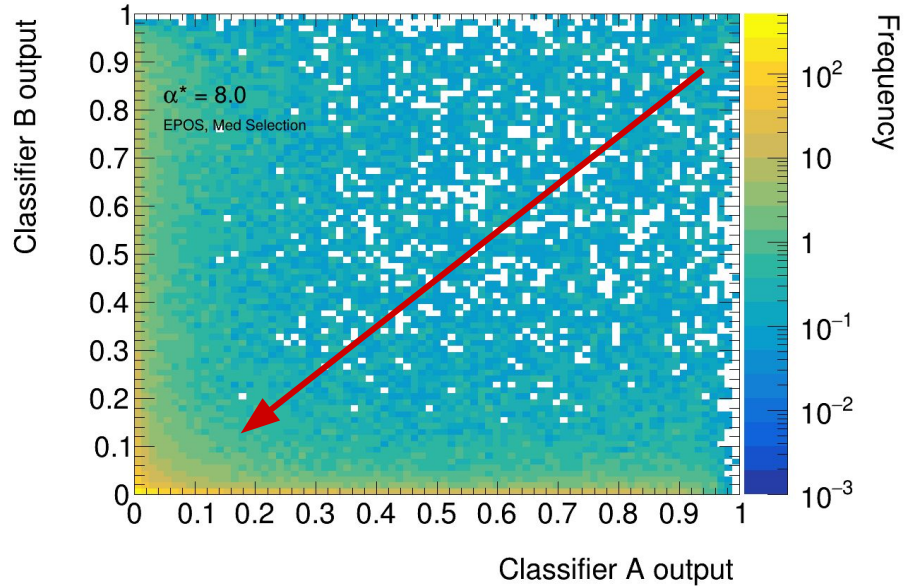
“Mass” Binning



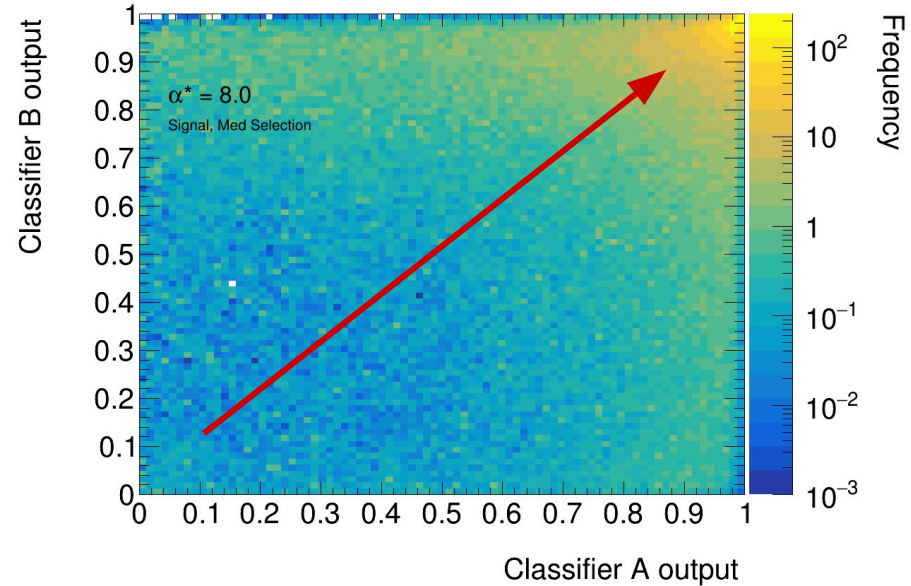
- Principled choice: do the analysis in reconstructed mass bins
 - Decouple somewhat from cross-section modelling
 - Enhance sensitivity with a shape fit
 - Also, it aids the DNN training

Classifier Output Planes (Or 'ABCD' Planes)

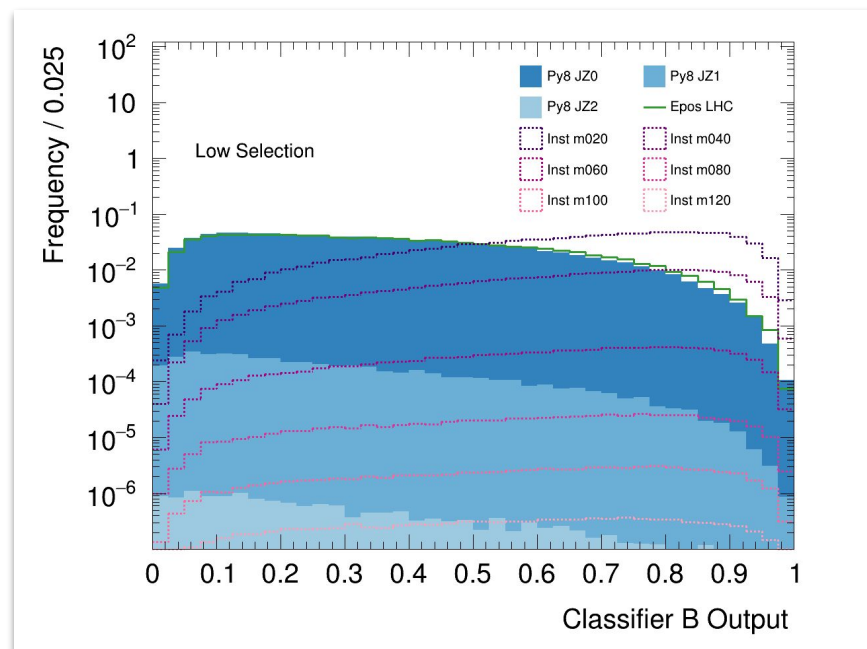
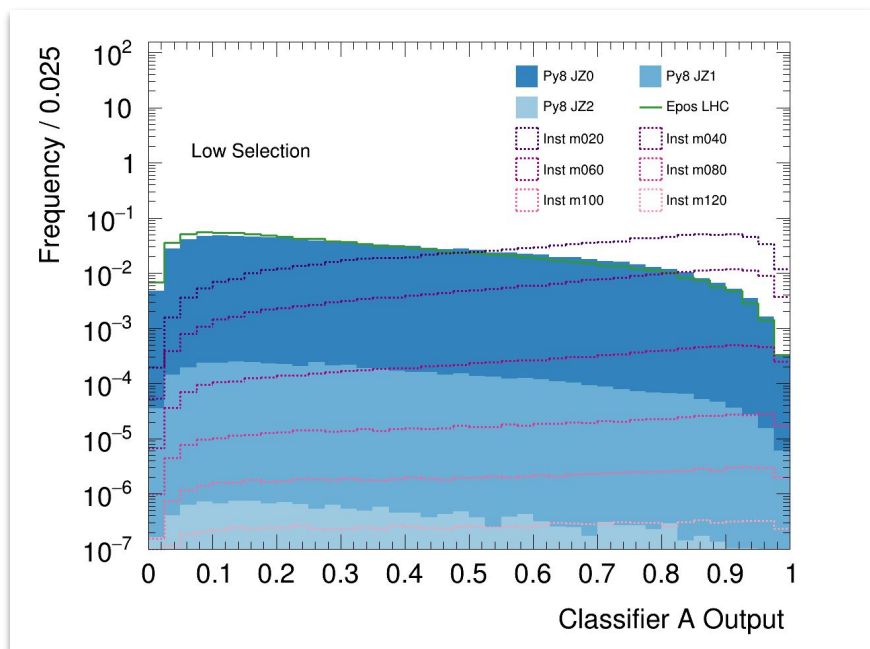
Background (EPOS)



Signal



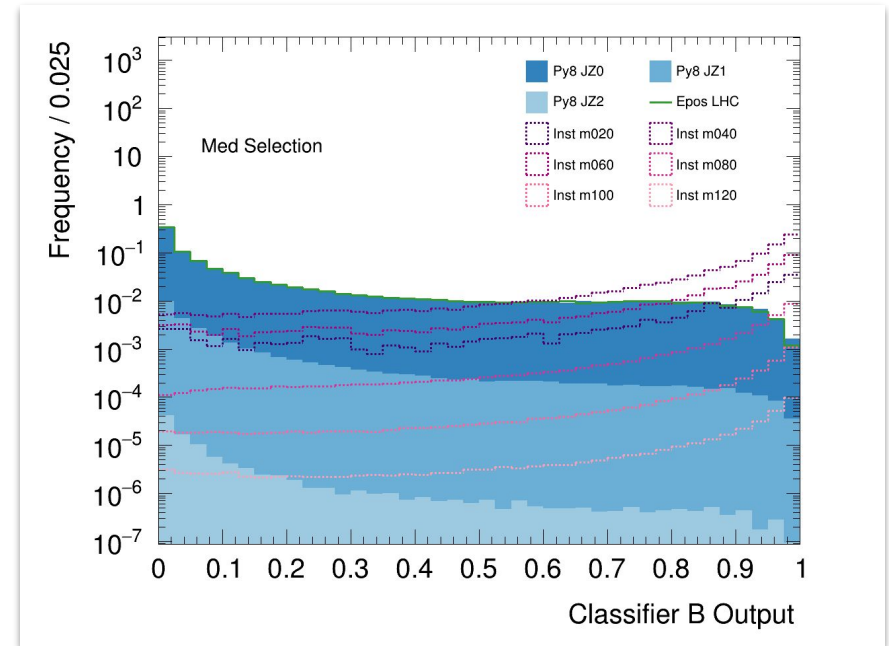
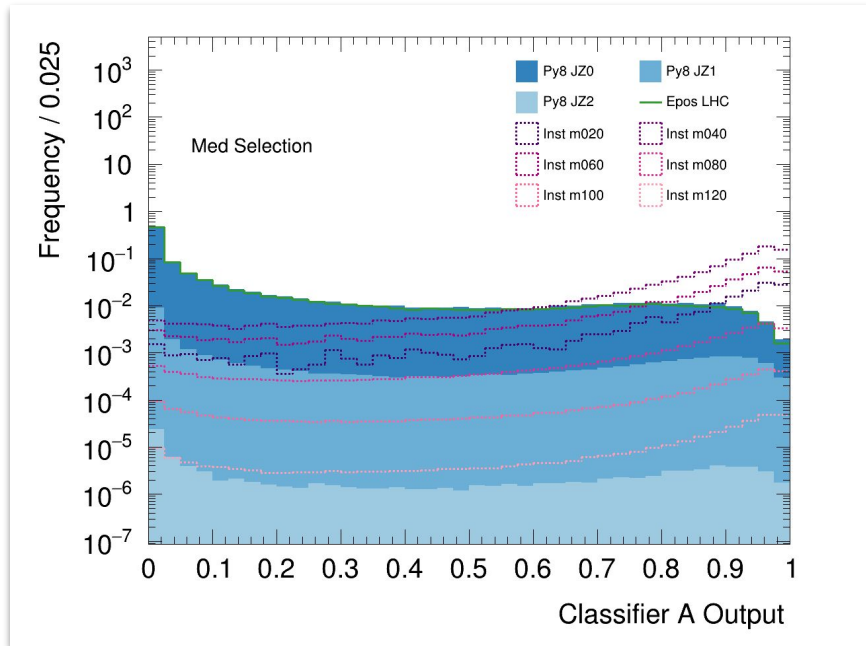
Classifier Output Distributions: Low Mass



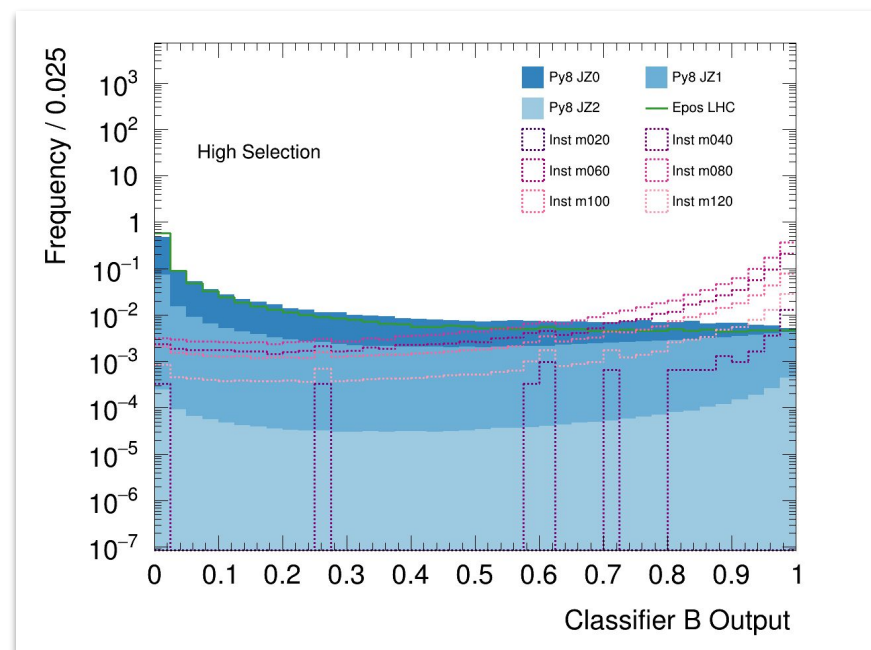
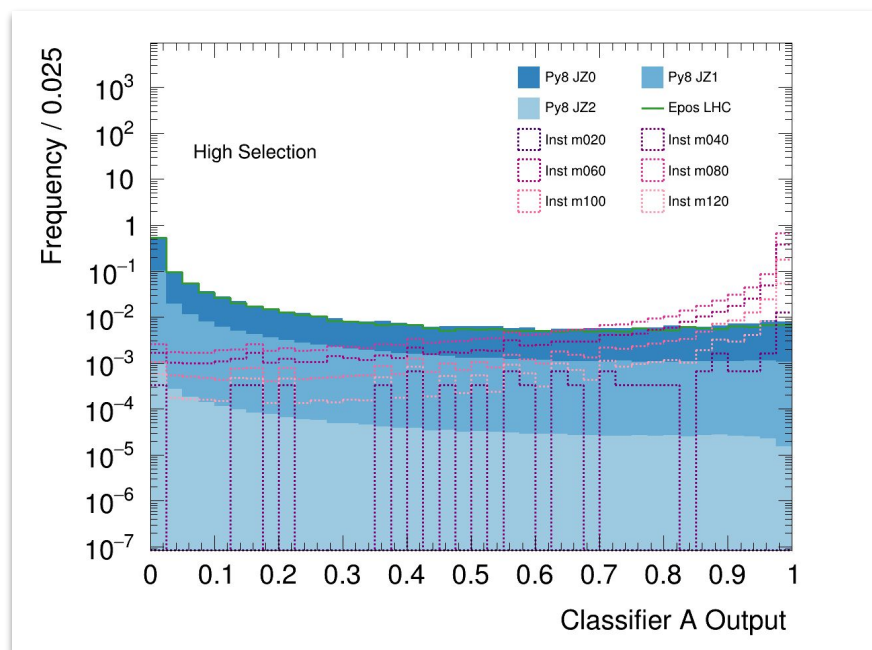
Classifier Output Distributions: Medium Mass

← Background

Signal →

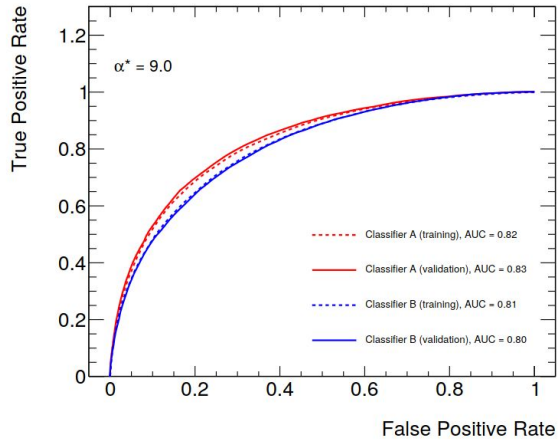


Classifier Output Distributions: High Mass



→ Pretty good! But how good?

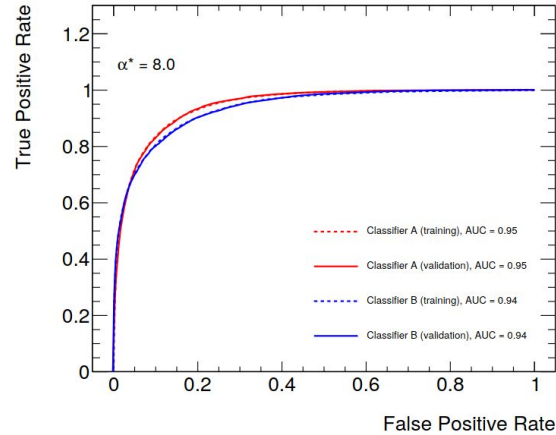
Classifier ROC Curves for Comparison



Low-mass

Optimal $\alpha = 9$

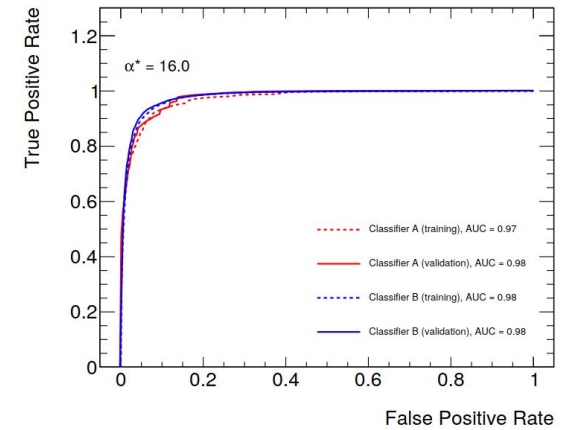
Mean AUC* = 0.82



Medium-mass

Optimal $\alpha = 8$

Mean AUC = 0.95



High-high

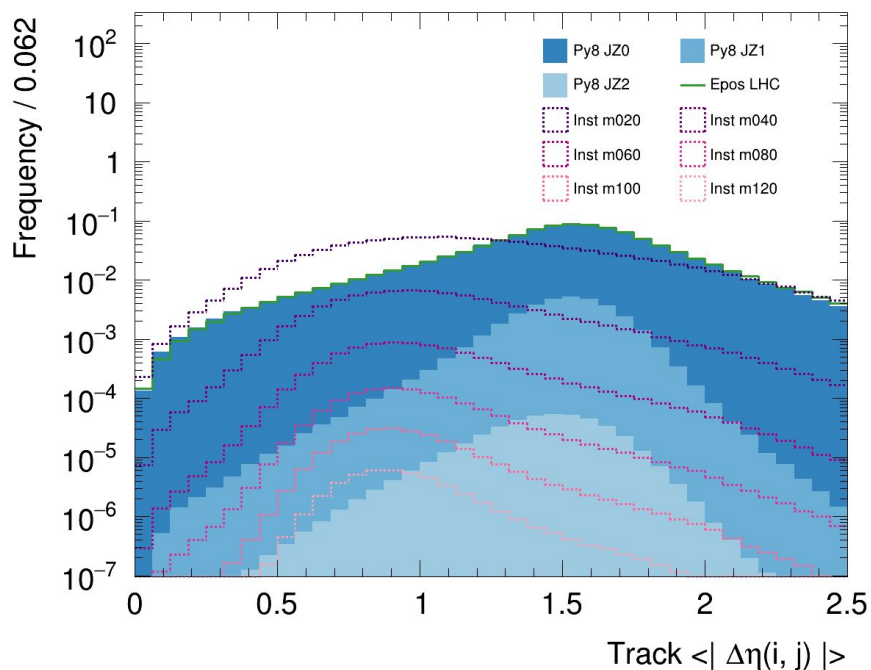
Optimal $\alpha = 16$

Mean AUC = 0.98

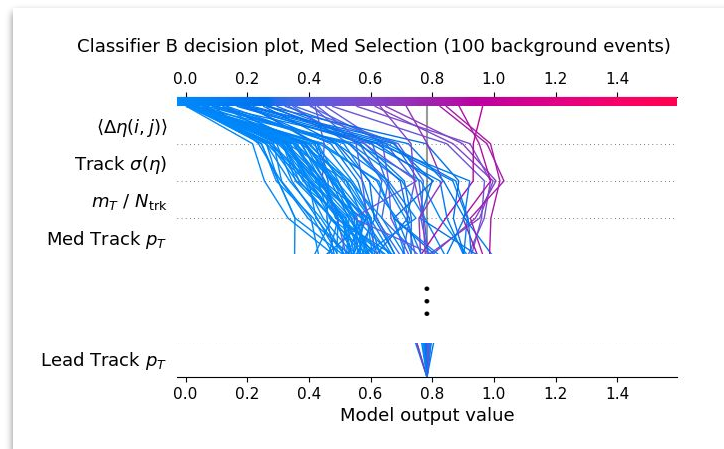
Classification performance improves from low to high-mass

*Area Under the [ROC] Curve (AUC)

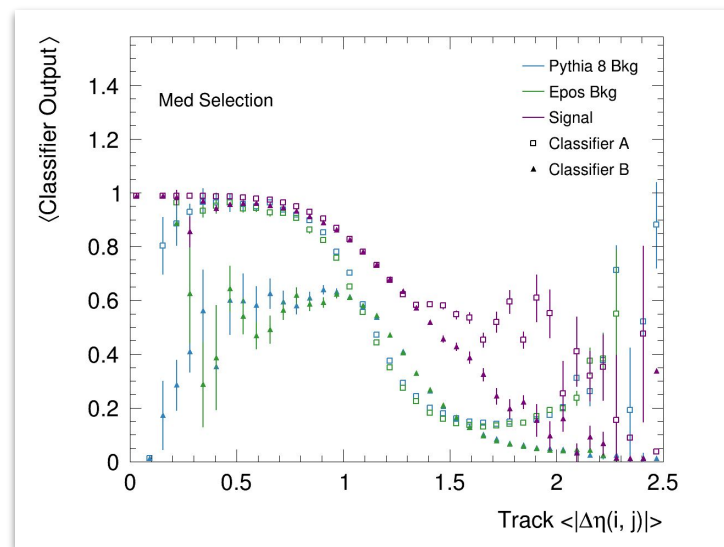
A Particularly Interesting Variable: $\langle |\Delta\eta(i, j)| \rangle$



- Mean magnitude of $\Delta\eta$ over all pairs of tracks
- Immediately important for the classifiers (right)
- **Captures the so-called 'instanton band'**
 - T. Carli et al., 'Soft Bombs' paper (2016)

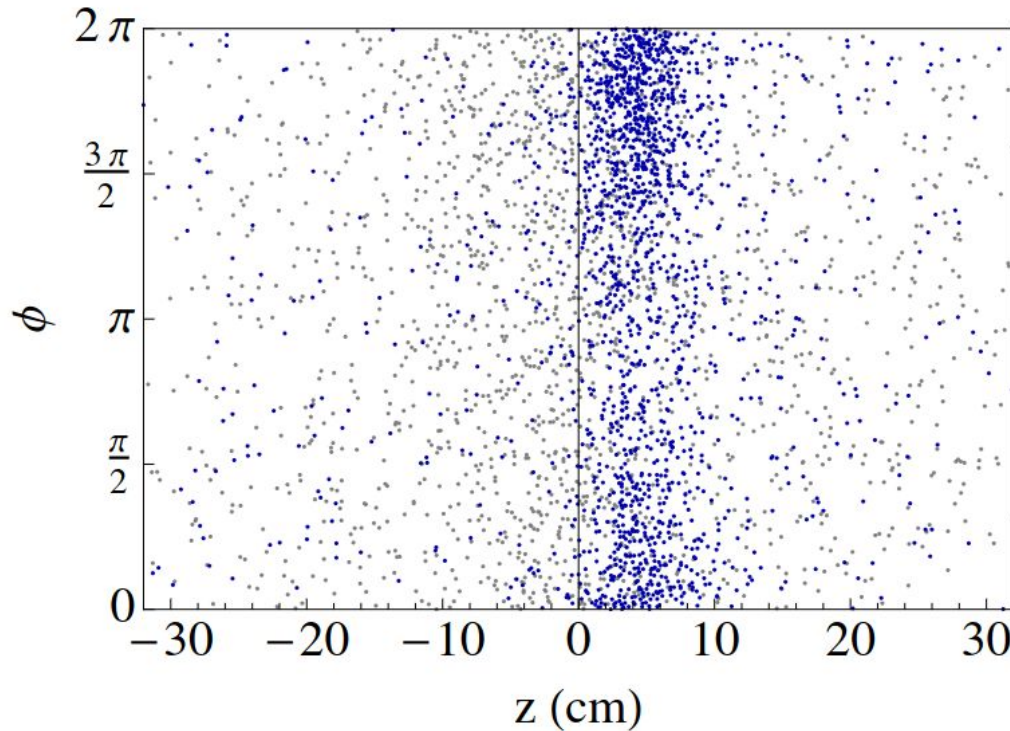


^Network input variable-ranking plot based on SHAP values



^Mean classifier outputs as functions of the input

'Instanton Band' Idea



$$\eta = -\ln\left(\tan\frac{\theta}{2}\right)$$

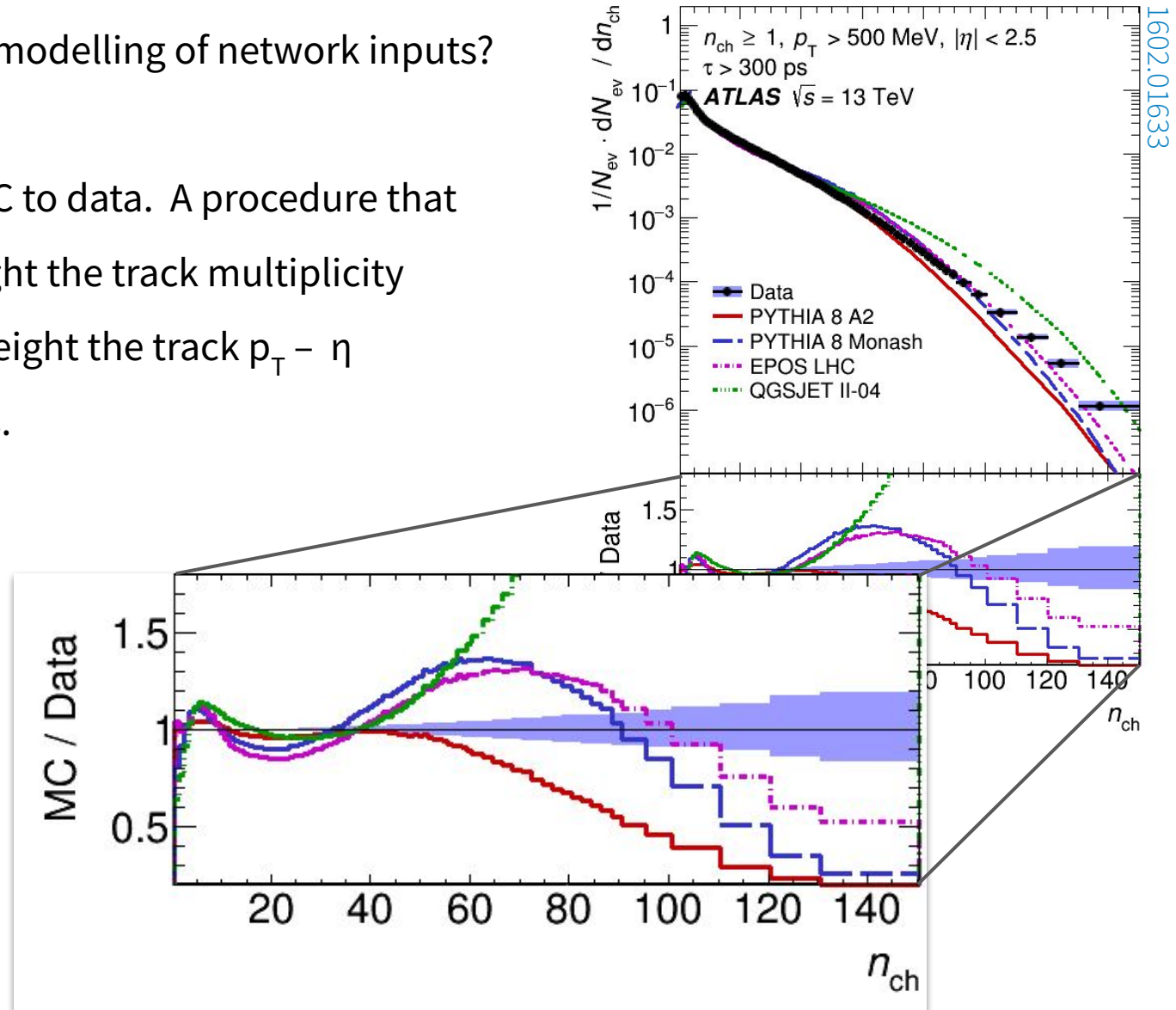
Figure from [1612.00850](#)

- Isotropy in polar angles \rightarrow band in pseudorapidity (or z in the figure)
- Longitudinal boost of the centre of mass \rightarrow displacement along z
- More activity concentrated in the band in signal events!
- The DNNs seek out the 'instanton band' using the $\langle |\Delta\eta(i, j)| \rangle$ variable!

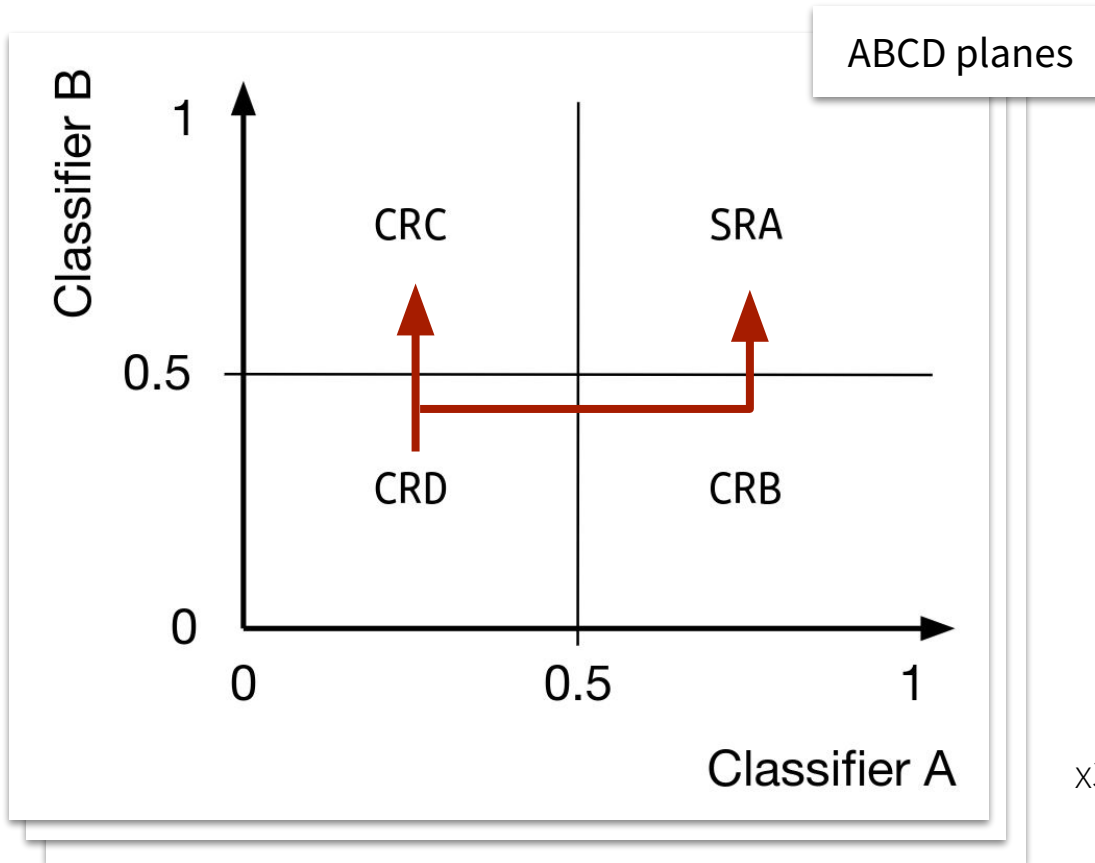
Background Model Reweighting / “Tracking Corrections”

How to deal with mis-modelling of network inputs?

Somehow reweight MC to data. A procedure that seems to work: reweight the track multiplicity distribution, then reweight the track $p_T - \eta$ distribution in n_{ch} bins.



The Principle of Our Background Estimation



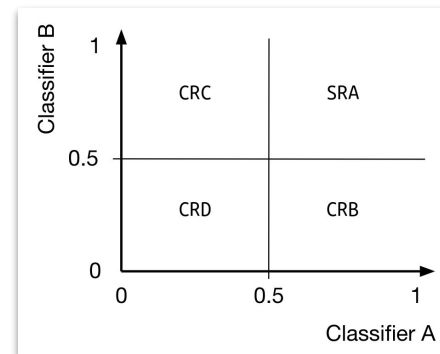
The ABCD equation:

$$N_{BCD} = \frac{N_B \times N_C}{N_D}$$

x3 mass categories

Statistical Analysis: One-Bin Counting Experiment

- Three mass categories, and ABCD regions in each
- Standard ATLAS treatment based on a profile likelihood ratio
 - One-bin cut-and-count analysis in each mass category
 - Simple extension: statistical combination



$$L(\mu, \theta) = P_{\text{SR}} \times P_{\text{CR}} \times C_{\text{syst}}$$

$$= \underbrace{\prod_{i=1}^N \text{Poiss}(n_i | \lambda_i(\mu, \theta))}_{N \text{ SRs}} \times \underbrace{\prod_{j=1}^M \text{Poiss}(m_j | \lambda_j(\mu, \theta))}_{M \text{ CRs}} \times \underbrace{C_{\text{syst}}(\theta^0, \theta)}_{\text{NPs}}$$

N SRs

M CRs

NPs

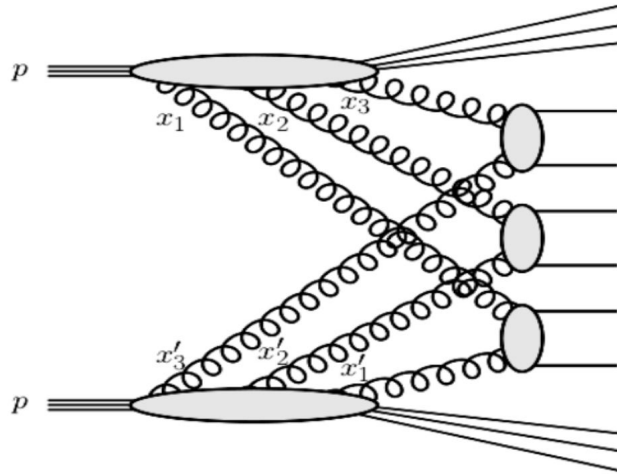
Representing fit parameters and systematic uncertainties

ATLAS Results

< Insert ATLAS results here >

(Spoiler: expected sensitivity is currently not fantastic)

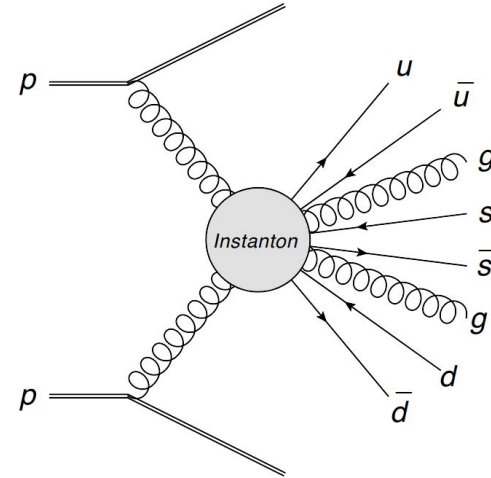
The Main Problem: Irreducible 'Minimum Bias' Background



Minimum Bias background

$$\sigma \sim 111 \text{ mb}$$

VS



Instanton signal

$$\sigma \sim 26 \mu\text{b}$$

$$S / B \sim \mathcal{O}(10^{-5})$$

Signal and background final state kinematics are *very similar*

And the small S / B is difficult to combat (even with our DNNs)

Other Production Modes? Central Exclusive Production...?

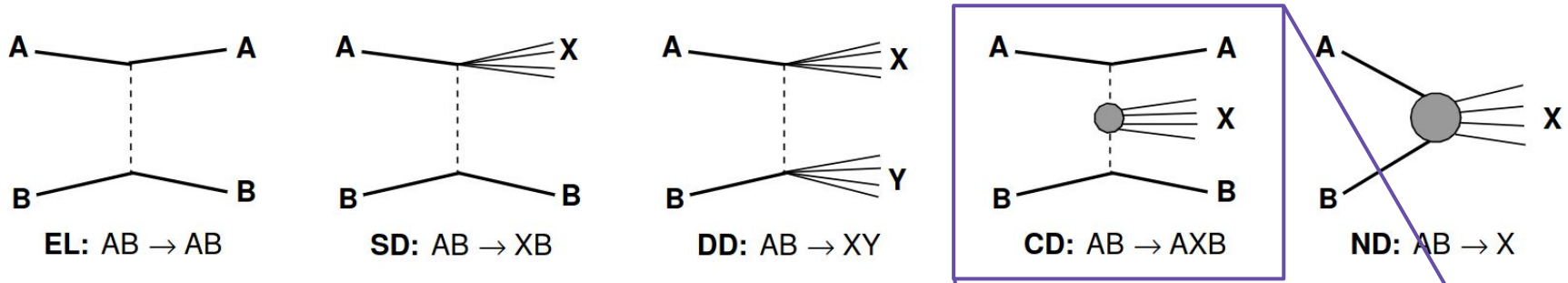
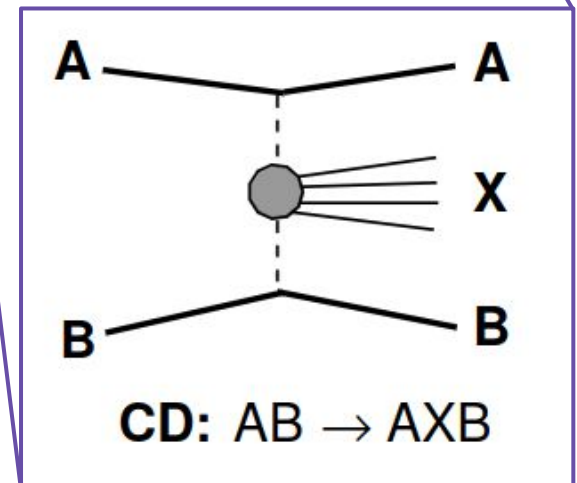


Figure from [K. Černý et al.](#)

- In CEP (CD), interactions are instigated by colour-singlet Pomerons,

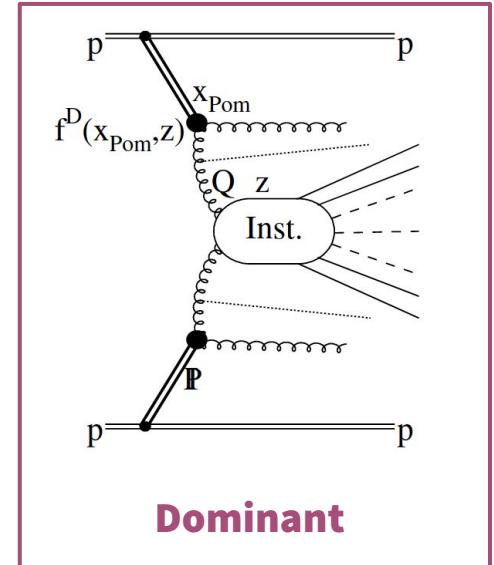
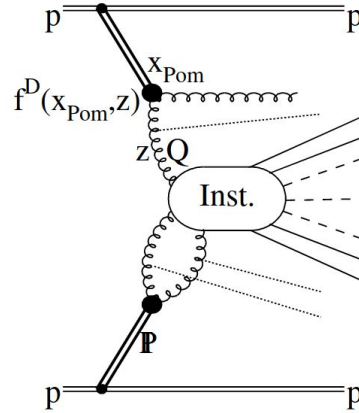
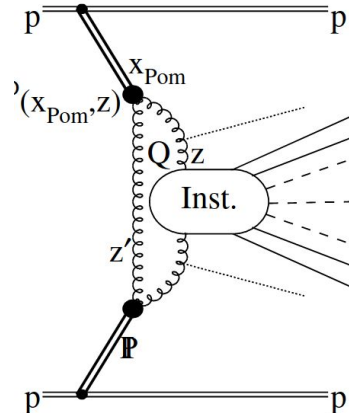
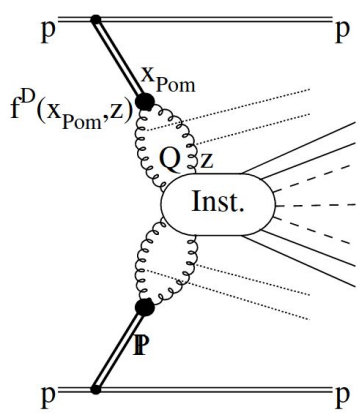
$$pp \rightarrow p + \mathbb{P} + \mathbb{P} + p$$

- Hence less strong emission of particles across the **Rapidity Gap**, and **less MPI background**



Instantons in Diffractive Production

V. V. Khoze *et al.* again [[PRD 104, 054013 \(2021\)](#), [PRD 105, 036008 \(2022\)](#)]



- Same calculational technique as for the $gg \rightarrow X$ cross-section
- Cross-sections of up to 10^5 pb for [very] low masses [with no Q_t cut]

CEP is a Possible Search Avenue For ATLAS

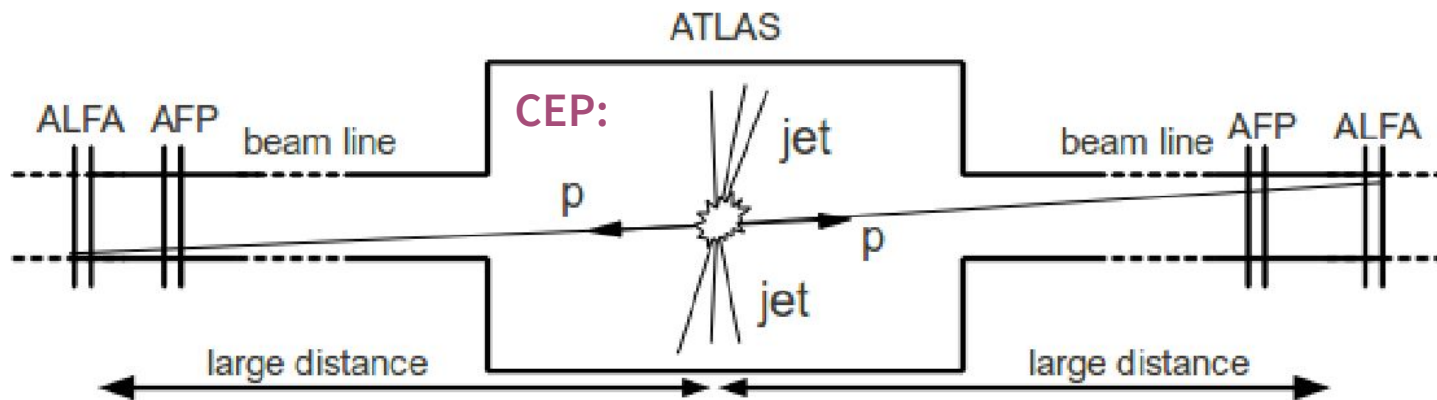
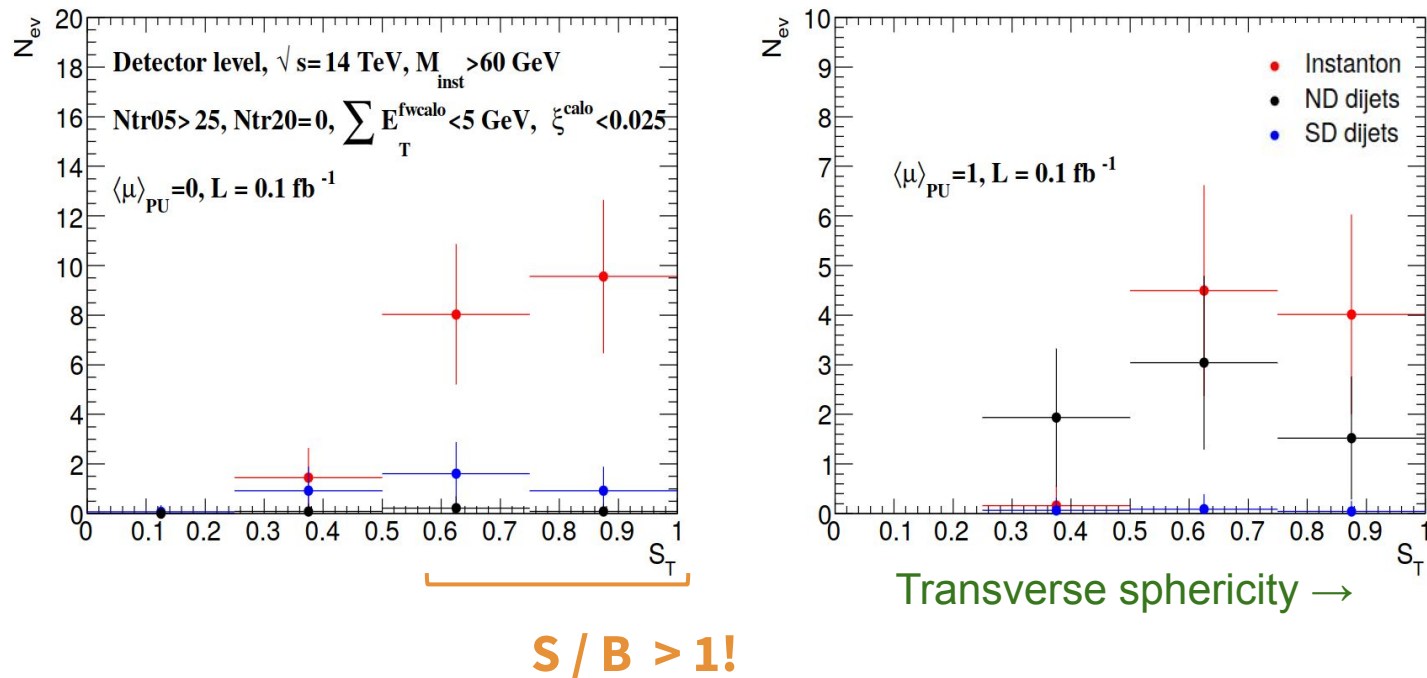


Figure from [M. Trzebiński, CERN Detector Seminar \(2017\)](#)

- ALFA: Absolute Luminosity For Atlas [[JINST 11 P11013](#)]
- AFP: Atlas Forward Proton [[ATLAS-TDR-024](#)]
 - Study performed by Marek Tasevsky [[EPJC 83, 35 \(2023\)](#)]

QCD Instanton Searches With Forward Proton Tags

Tasevsky *et al.* looked at ATLAS sensitivity to $M > 60$ GeV instantons in AFP-tagged events



A very comprehensive study, considering single and double-AFP tags • four luminosity scenarios • multi-parton interactions • pile-up and combinatorial background protons • plus efficiency reductions from detector effects.

A Note on Theory Systematics

- Factorisation scale uncertainty
 - Factor of $2^{\pm 1}$ variations
 - Comparison with alternative choice, $\sqrt{\hat{s}} / N_{\text{gluons}}$
- Renormalisation scale uncertainty
 - Implement custom μ_R reweighting in MC $\frac{(\mu_r^v)^{2b_0} \exp[-4\pi/\alpha_S(\mu_r^v)]}{(\mu_r^0)^{2b_0} \exp[-4\pi/\alpha_S(\mu_r^0)]}$
- Uncertainty on order of α_S
 - Somewhat captured by α_S variations and different PDF sets

In principle, there is an $O(100)$ uncertainty on the instanton cross-section [[2101.02719](#)]

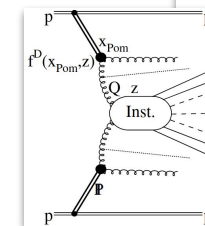
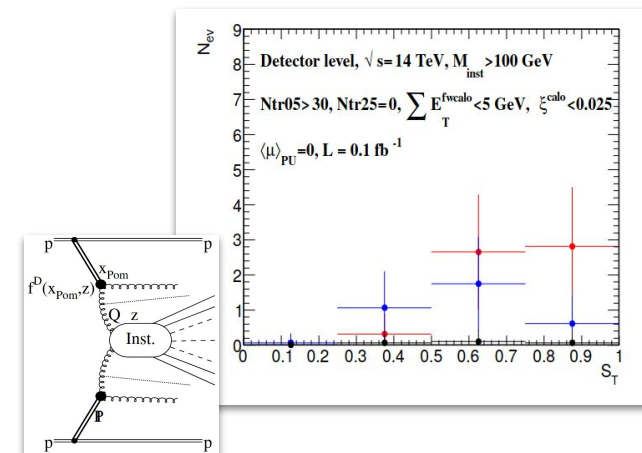
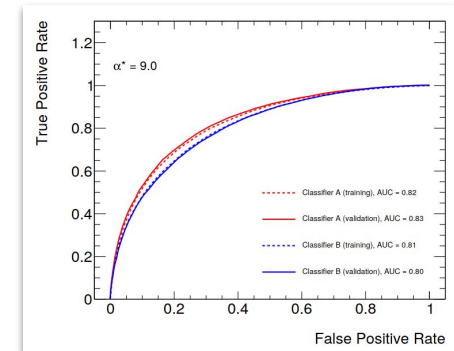
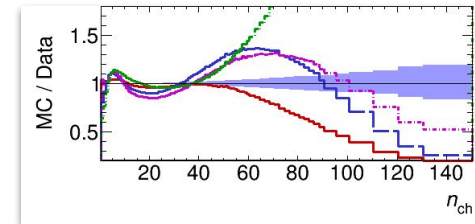
(but this is why we should measure it!)

Conclusion

- ATLAS search for QCD instantons is underway
 - But struggles with background modelling and rejection

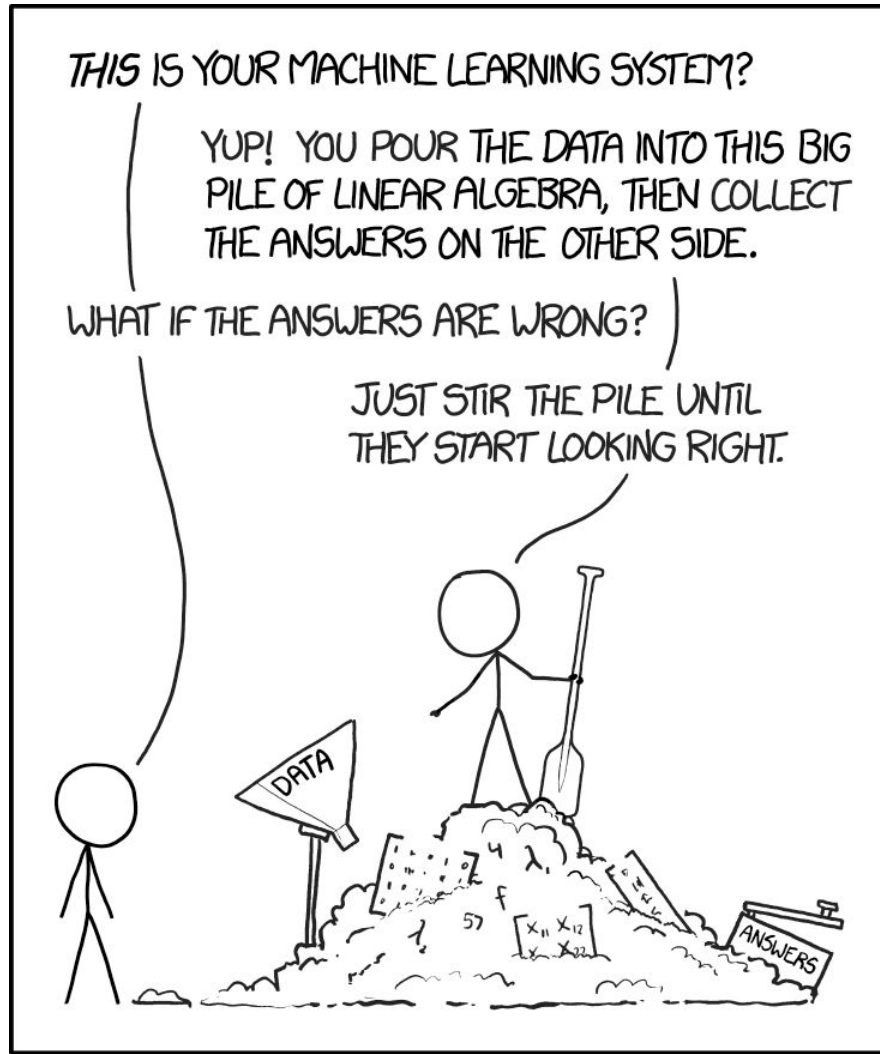
- Can we improve our strategy?
 - Use forward proton tags
 - Use flavour tagging?
 - Target the chirality violation?

► Are there QCD instantons...?

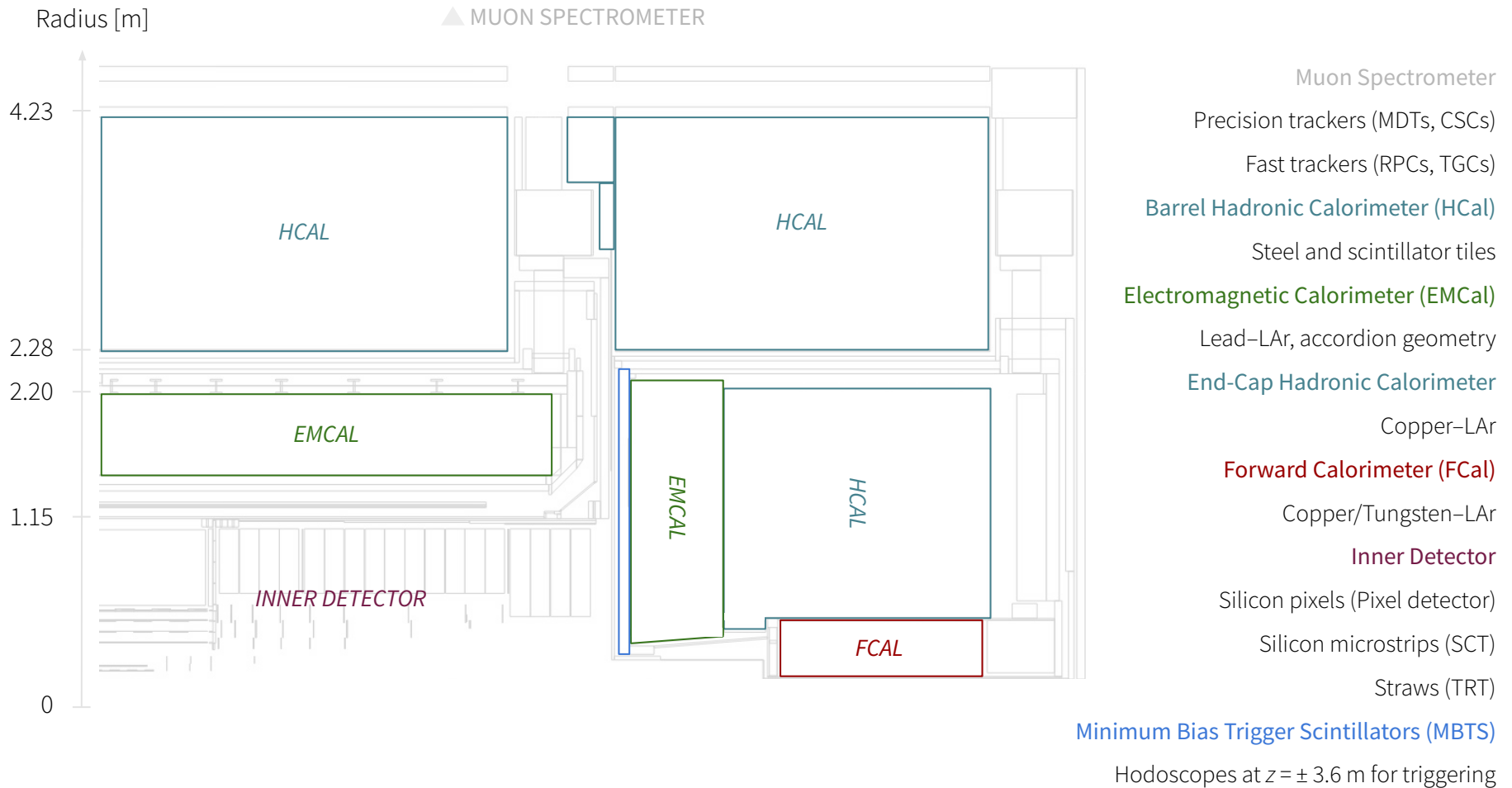


BACKUP

Much of My PhD Experience

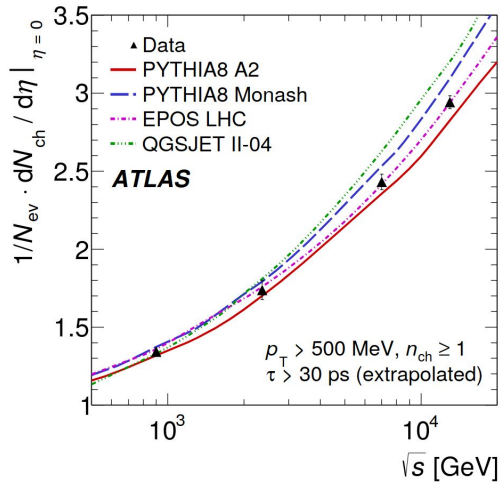


The ATLAS Experiment (A Toroidal LHC Apparatus)



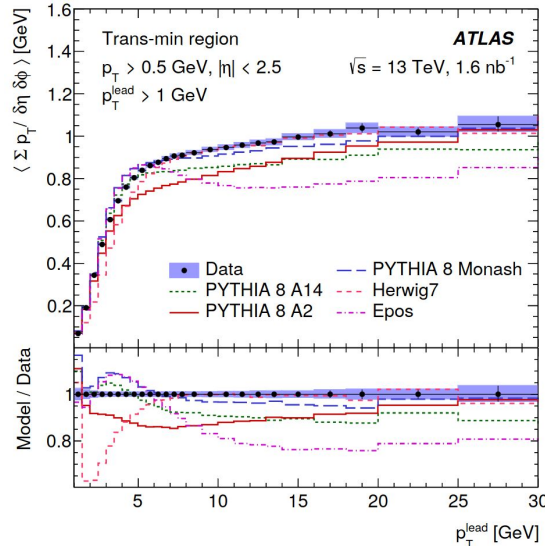
[Detector paper (2008), Higgs boson discovery (2012), 1000 publications (2021)]

Previous ATLAS Soft QCD Measurements



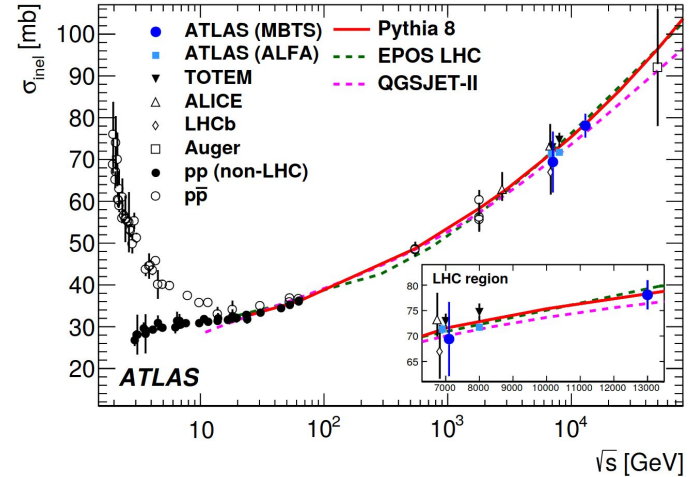
Minimum Bias (MB)

13 TeV, tracks-based: [1602.01633](#)
 13 TeV, 100 MeV tracks-based: [1606.01133](#)
 ≤ 7 TeV, tracks-based: [1012.5104](#), etc.



Underlying Event (UE)

13 TeV, tracks-based: [1701.05390](#)
 13 TeV in Z-events: [1905.09752](#)
 7 TeV with jets: [1406.0392](#), etc.



Total Inelastic pp Cross-Section

@ 13 TeV: [1606.02625](#)
 @ 7 TeV: [1104.0326](#), etc.

Performed for insights into low energy strong interactions

(Inputs to MC event generator tuning, understanding for pileup modelling, etc.)

Tracking Systematics

Impact parameter resolution

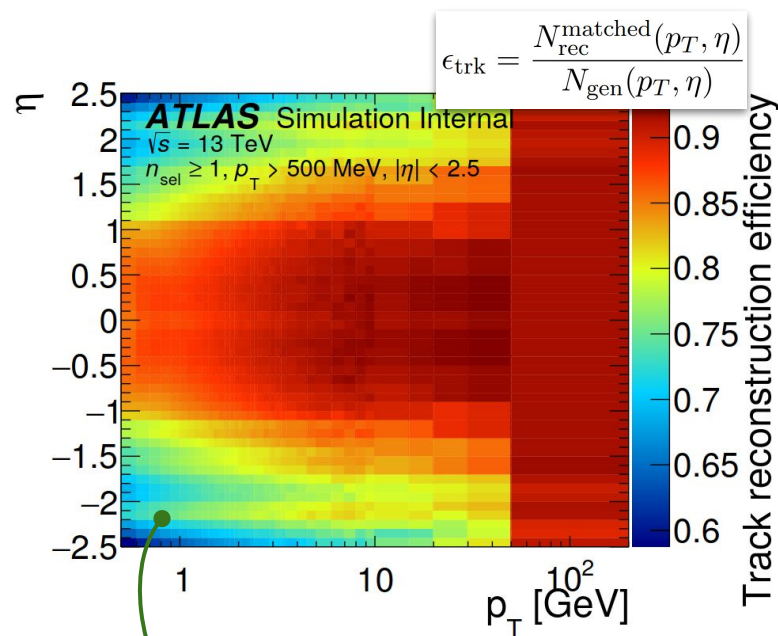
- Smear d0 and z0 within resolution
- + TRK_RES_D0_MEAS
- + TRK_RES_Z0_MEAS

Residual alignment uncertainties

- Use central η - ϕ maps of the residual biases
- + TRK_BIAS_D0_WM
- + TRK_BIAS_Z0_WM
- + TRK_BIAS_QOVERP_SAGITTA_WM

Efficiency uncertainties

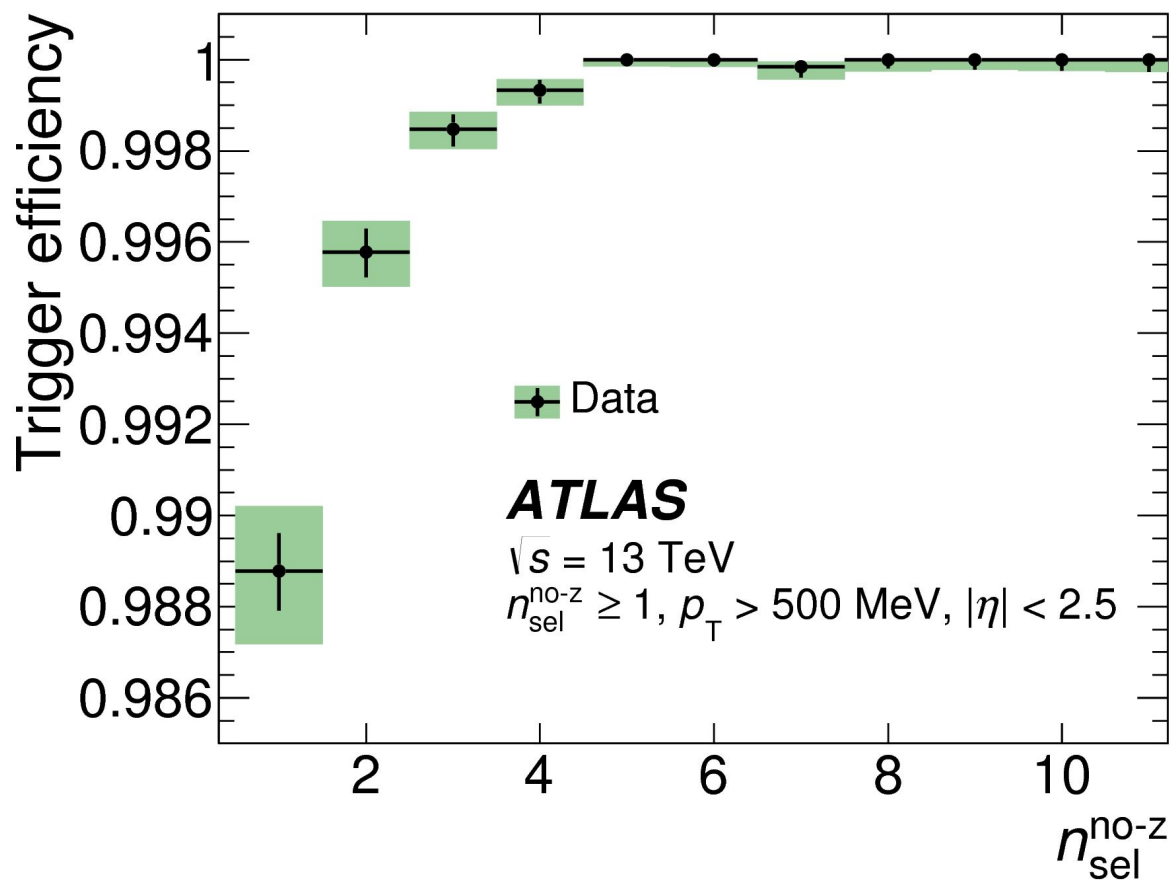
- Account for difference of efficiency in data/MC from material uncertainty, based on truth-matching
- + TRK_EFF_<WP>_OVERALL
- + TRK_EFF_<WP>_IBL
- + TRK_EFF_<WP>_pp0
- + TRK_EFF_<WP>_PHYSMODEL



Make map like this for each material variation, then calculate

$$\sigma_{\text{sys}} = \frac{\epsilon_{\text{trk}}^{\text{default}}(p_T, \eta)}{\epsilon_{\text{trk}}^{\text{varied}}(p_T, \eta)} - 1$$

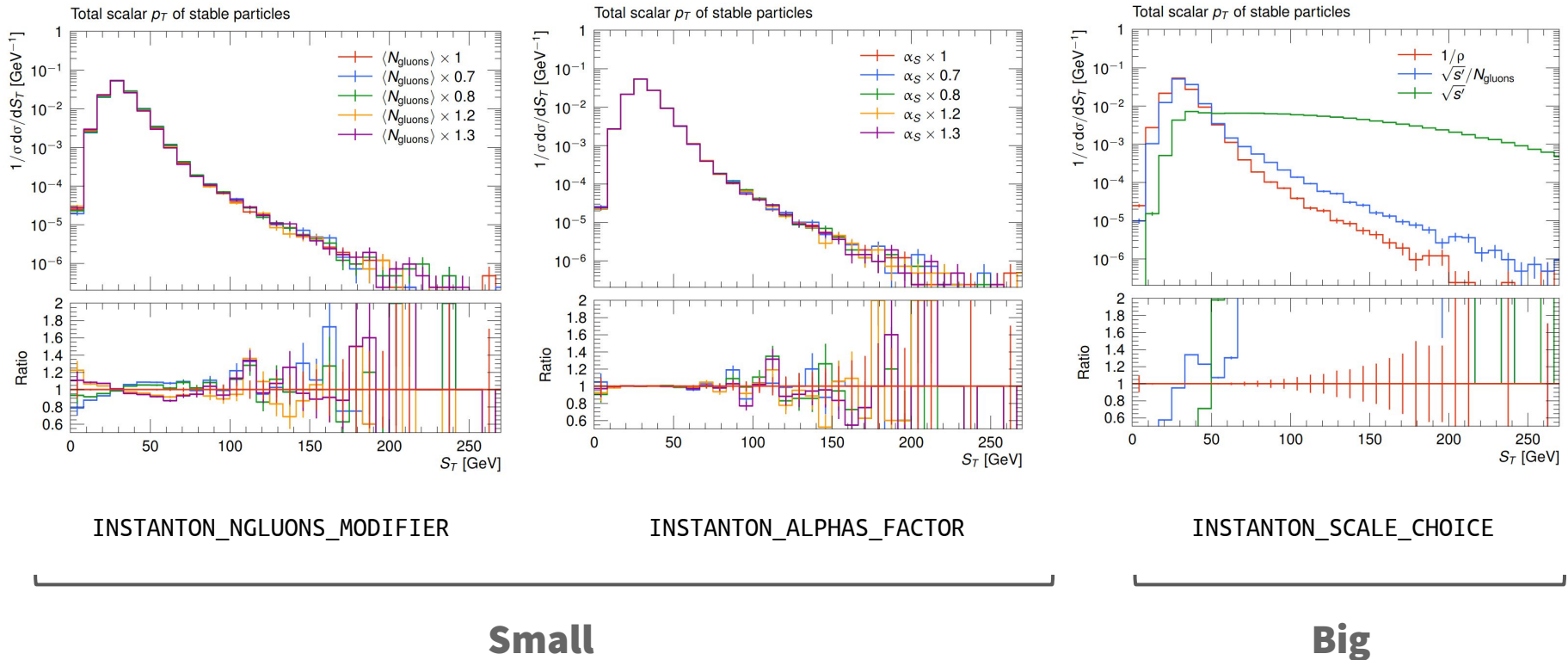
Efficiency of the Single-Arm Minimum Bias Trigger



1602.01633

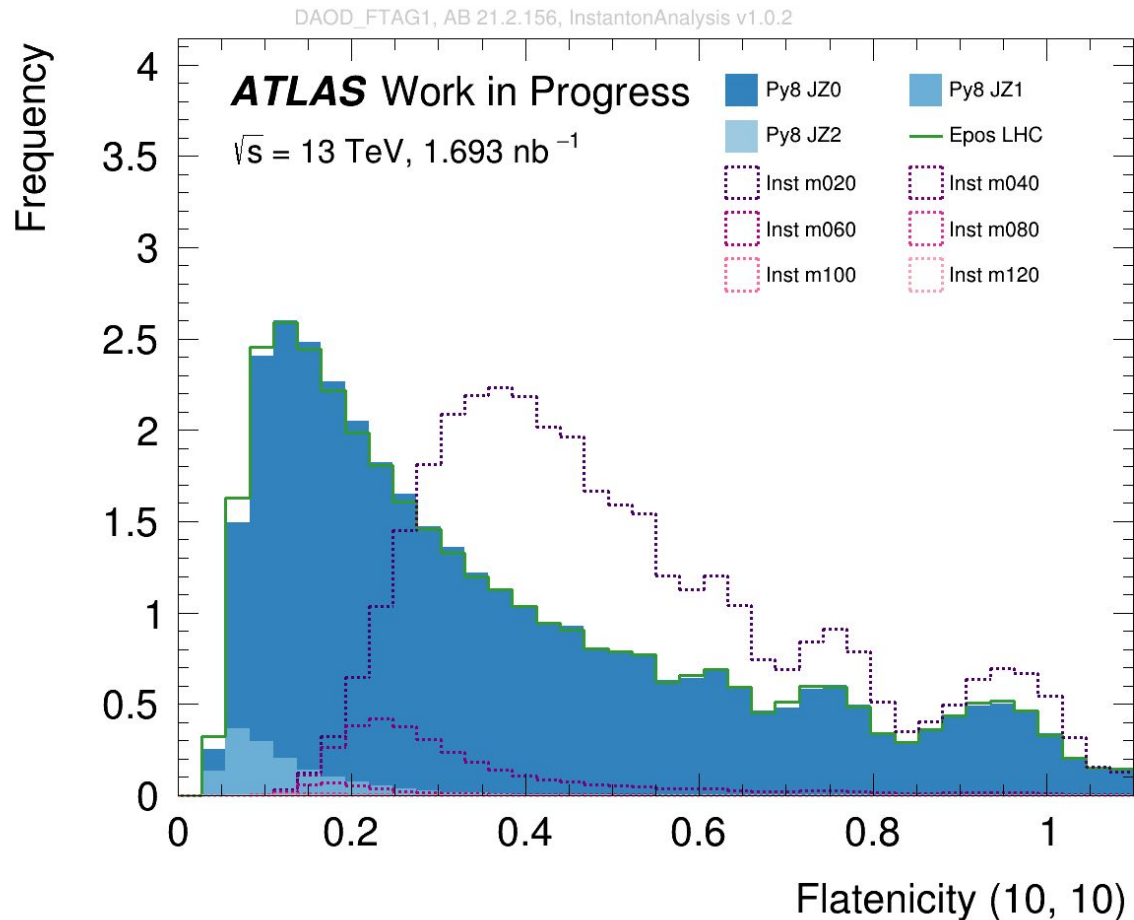
Signal-Modelling Systematics

Assess by performing reasonable variations of the event generation, e.g.



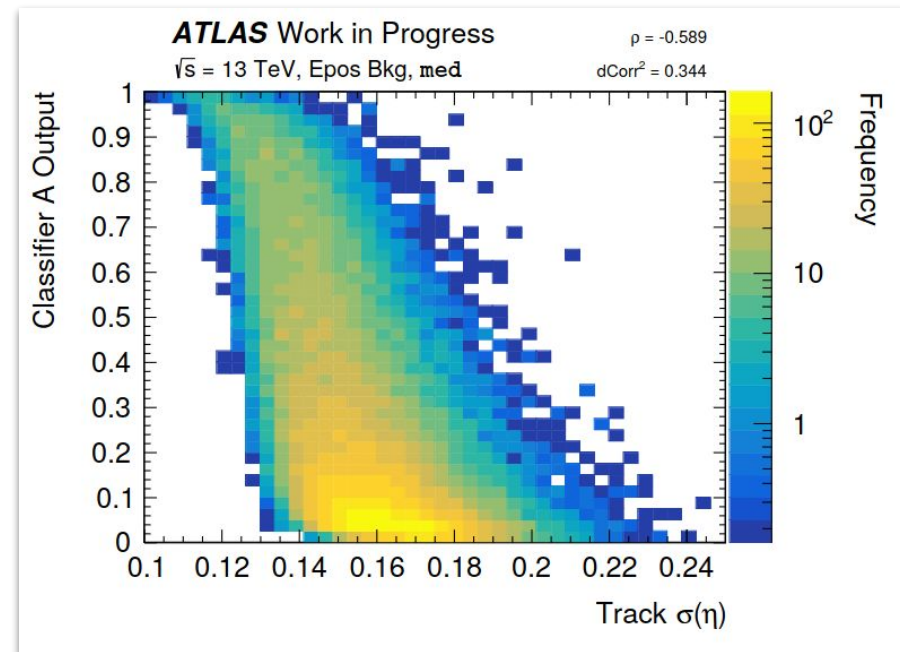
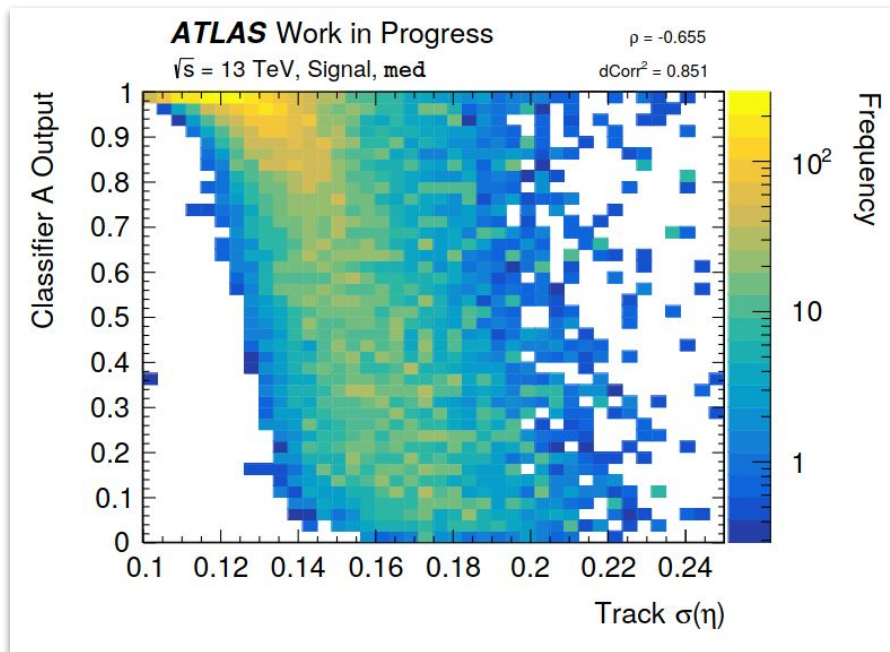
- Not sure how to treat the scale choice variation ($1/\rho \rightarrow \sqrt{s'}/N_{\text{gluons}}$), which is large
 - In principle the signal cross-section and its shape have to be recalculated

Flatenicity Calculated on a 10 x 10 Grid in $\eta \times \phi$



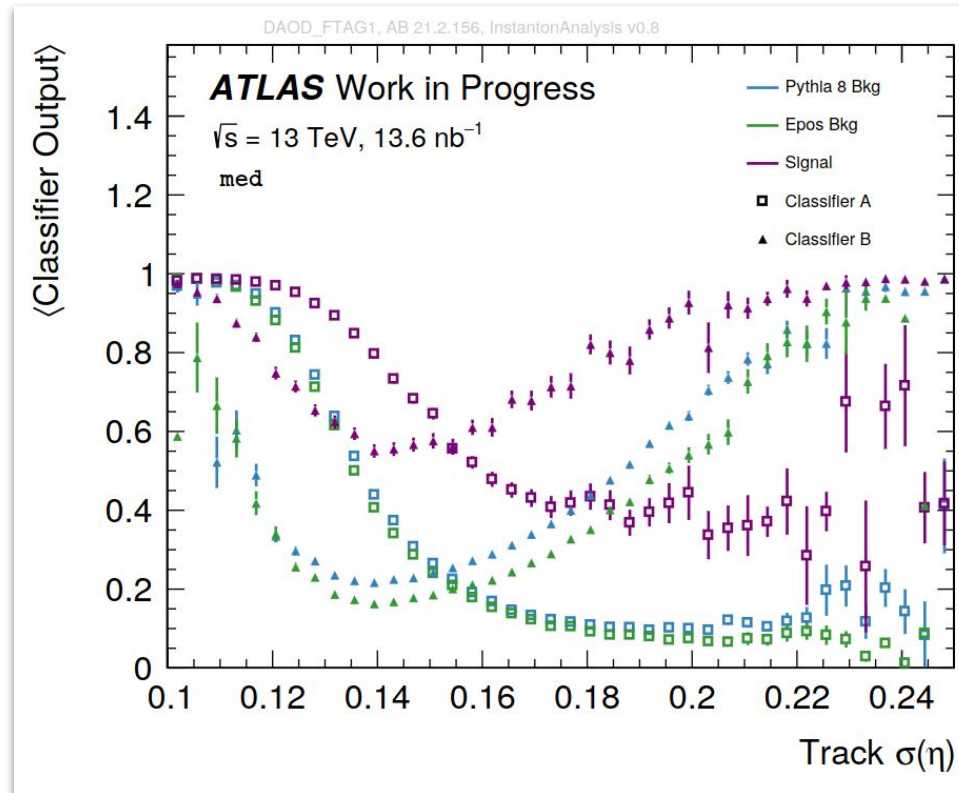
What Does a DNN Learn?

Look at 2d classifier output vs input histograms, e.g.



What Does a DNN Learn?

Even better: look at the profiles of the classifier outputs in classifier inputs, e.g.



- Show that classifiers A and B make different use of the input variables
- Show where discrimination power comes from, e.g. here — edges vs center of track $\sigma(\eta)$

SHERPA UE Tuning: Models

Module	Model parameter
AMISIC	$p_{T,\min}$ $p_{T,0}$ ξ f_{mat} r_1 r_2
Primordial_KPerp	$\langle k_T \rangle$ σ k_T^{max} η α
AHADIC	

Sjöstrand–van Zijl model [[Phys. Rev. D 36, 2019](#)]

$$P_{\text{no}}(p_{T,\min}) = \exp \left(-\frac{1}{\xi \sigma_{\text{ND}}} \int_{p_{T,\min}^2} dp_T^2 \frac{d\hat{\sigma}}{dp_T^2} \right)$$

Transverse momentum regulator

$$p_T^2 \rightarrow p_T^2 + p_{T,0}^2$$

Double Gaussian matter density profile assumption

$$\rho(r) \propto (1 - f_{\text{mat}}) \frac{1}{r_1^3} \exp \left(-\frac{r^2}{r_1^2} \right) + f_{\text{mat}} \frac{1}{r_2^3} \exp \left(-\frac{r^2}{r_2^2} \right)$$

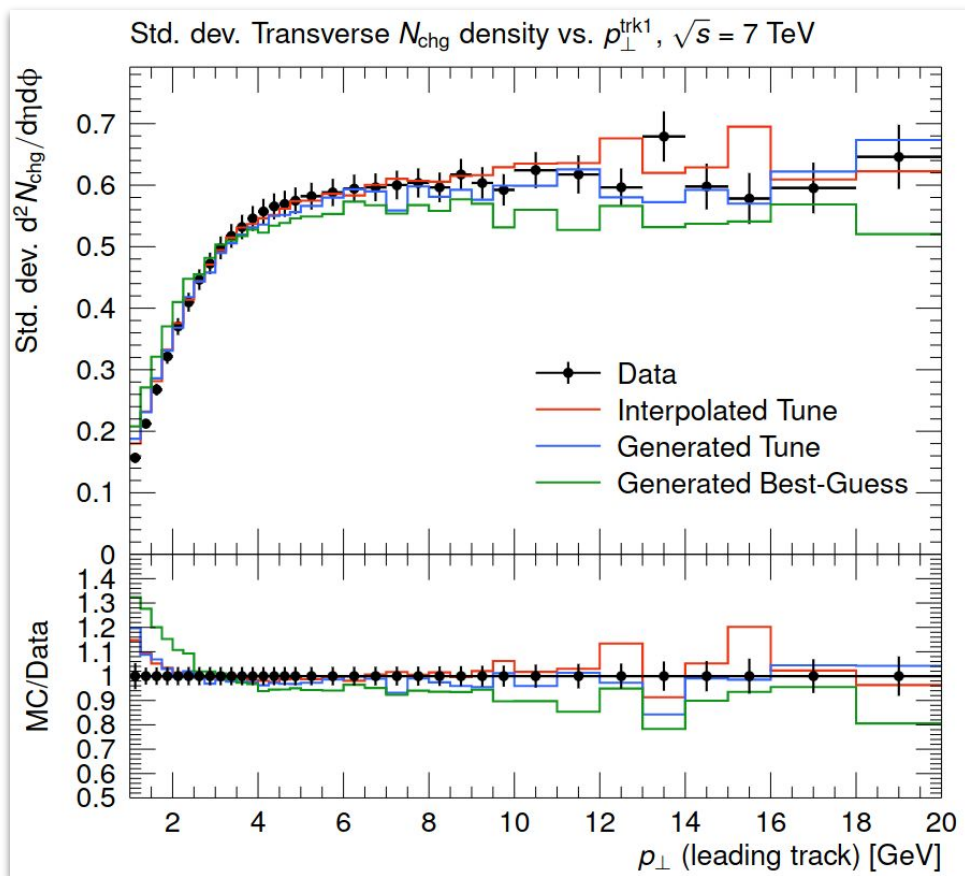
Intrinsic transverse momentum of partons in hadrons

$$\text{Pr}(k_T) \propto \exp \left(-\frac{(k_T - \langle k_T \rangle)^2}{\sigma^2} \right) \times \left[1 - \left(\frac{k_T}{k_T^{\text{max}}} \right)^\eta \right]$$

AHADIC model: *A modified cluster-hadronization model*,
[0311085](#)

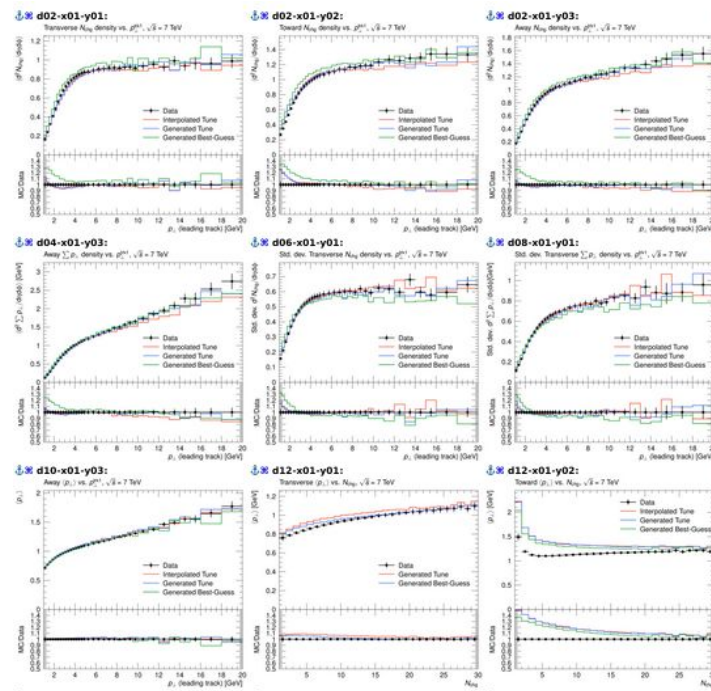
Sherpa 2.2 manual, [1905.09127](#)

SHERPA UE Tuning: 7 TeV Results in Pictures (1/3)

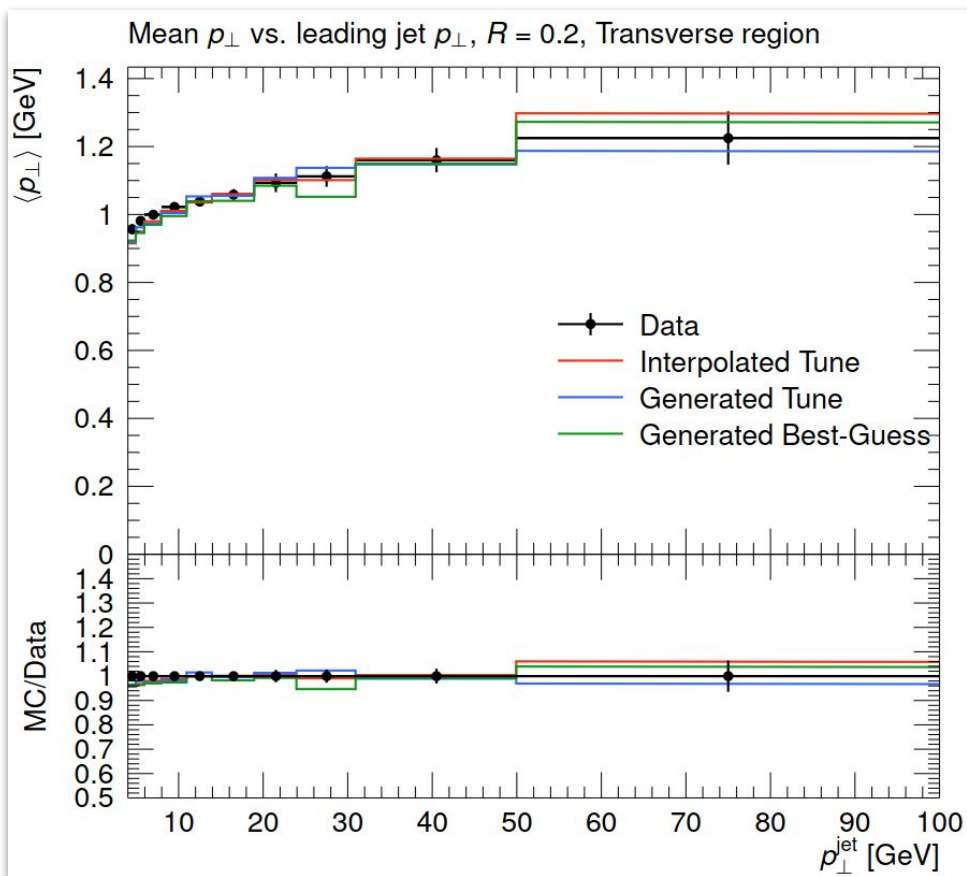


Tracks-based UE at 7 TeV ✓

[[ATLAS_2010_S889472](#), [1012.0791](#)]

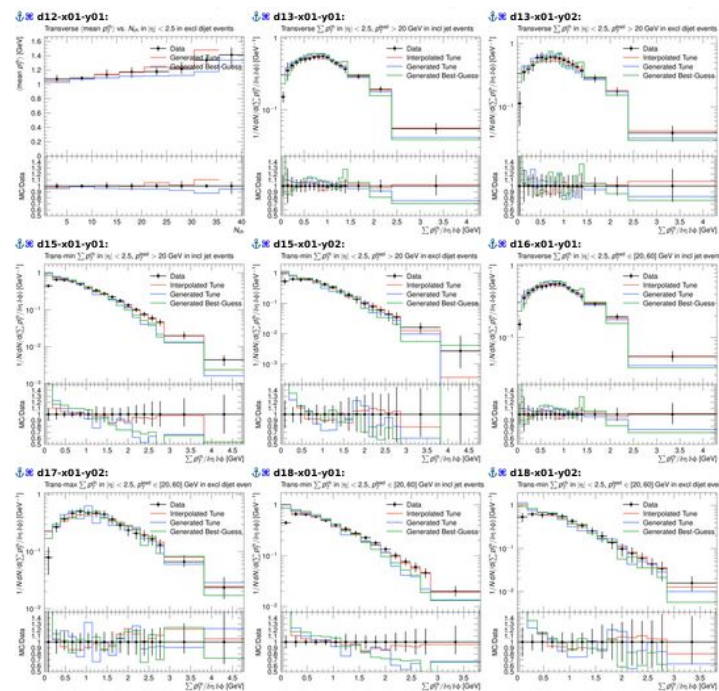


SHERPA UE Tuning: 7 TeV Results in Pictures (2/3)

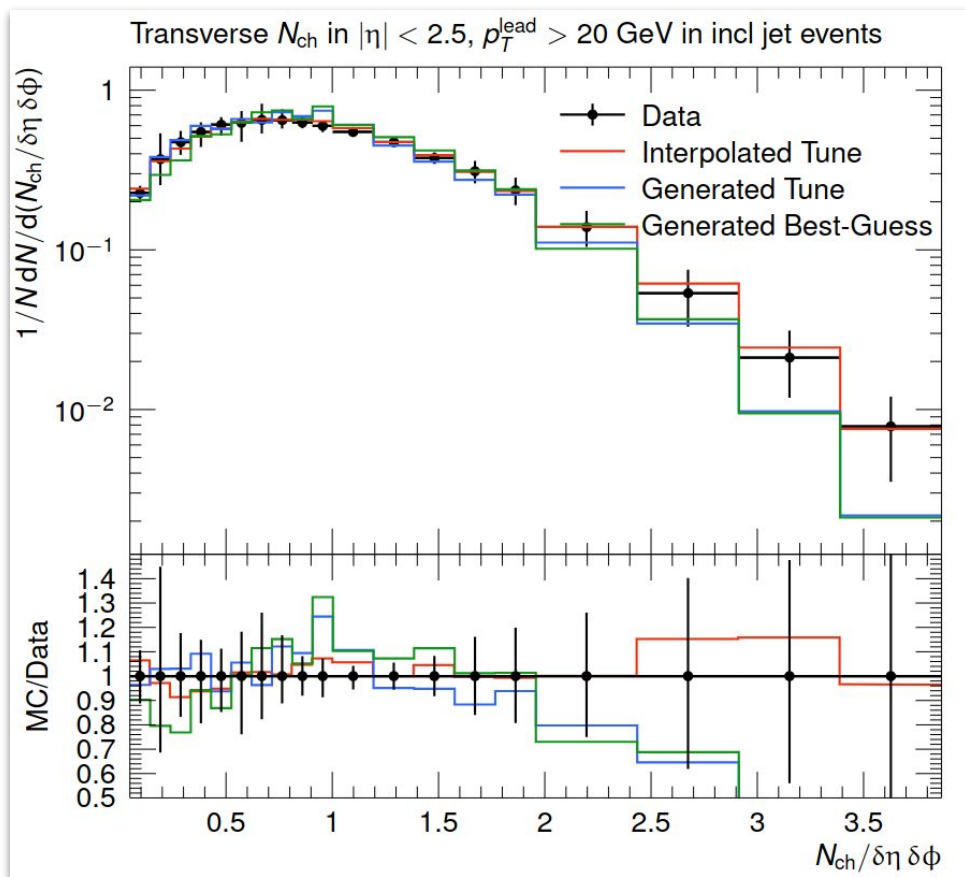


UE at 7 TeV with track-jets ✓

[[ATLAS_2012_I1125575,1208.0563](#)]

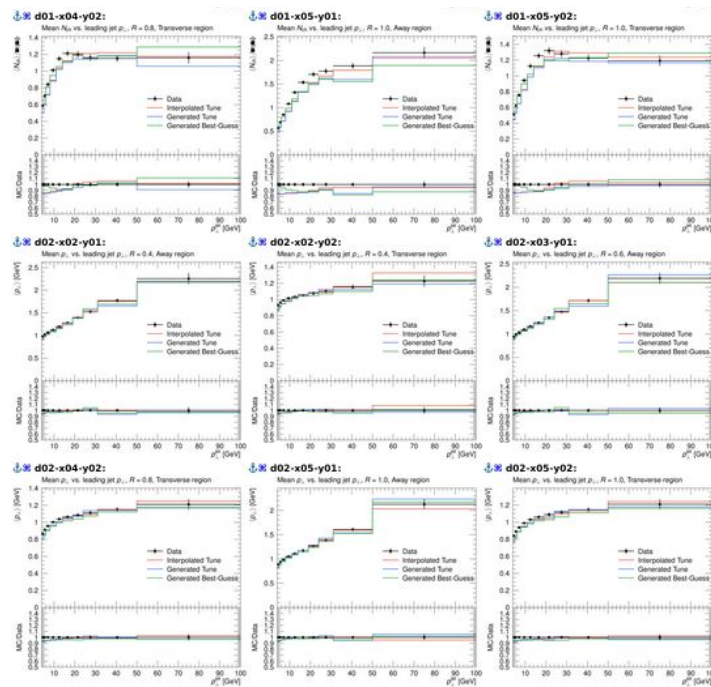


SHERPA UE Tuning: 7 TeV Results in Pictures (3/3)



UE at 7 TeV with leading jet ✓

[[ATLAS_2014_I1298811](#), [1406.0392](#)]



Fitted SHERPA UE Parameters

Parameter	Prior Value	Scan Range	Tuned Value	Relative Change
AMISIC::PT_0(ref)	2.5	0.1 – 1	0.716 ± 0.008	–71.4%
AMISIC::PT_Min(ref)	3	2.5 – 5	4.260 ± 0.009	+42.0%
AMISIC::SIGMA_ND_NORM	0.4	0.4 – 1	0.458 ± 0.003	+14.5%
AMISIC::MATTER_FRACTION1	0.5	0.1 – 0.4	0.187 ± 0.003	–62.6%
AMISIC::RADIUS1	0.4	0.0 – 0.5	0.157 ± 0.002	–60.8%
AMISIC::RADIUS2	1	0.8 – 1.5	0.890 ± 0.005	–11.0%
AMISIC::Eta	0.16	—	0.16	
INTRINSIC_KPERP::MEAN	0	0 – 1.2	1.004 ± 0.009	$+\infty\%$
INTRINSIC_KPERP::SIGMA	1.5	0.5 – 3	1.10 ± 0.03	–27%
INTRINSIC_KPERP::MAX	3	2 – 5	2.69 ± 0.03	–10%
INTRINSIC_KPERP::CUT_EXPO	5	3 – 6	5.12 ± 0.04	+2%
AHADIC::ALPHA_B	2.5	—	15	
AHADIC::BETA_B	0.25	—	0.9	
AHADIC::GAMMA_B	0.5	—	15	

Search at High- Q^2 by the H1 Collaboration — MC Samples

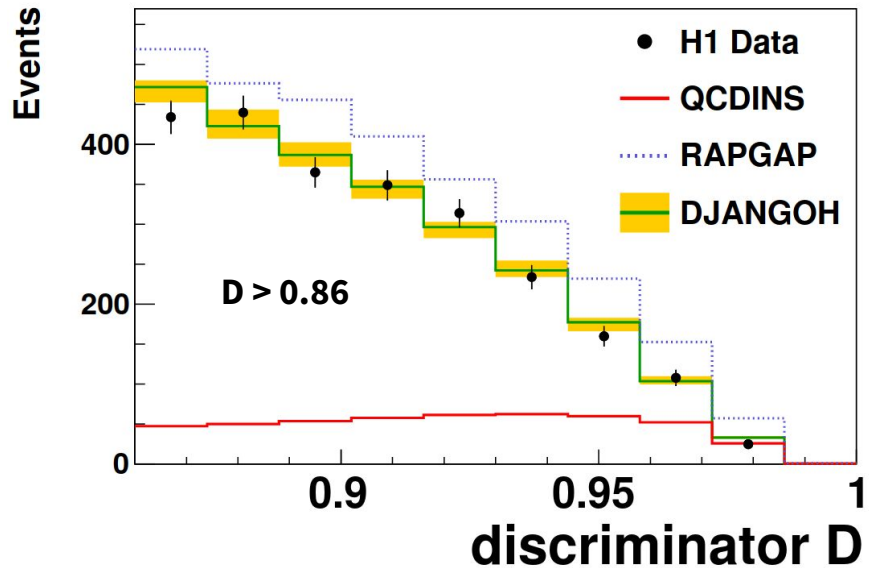
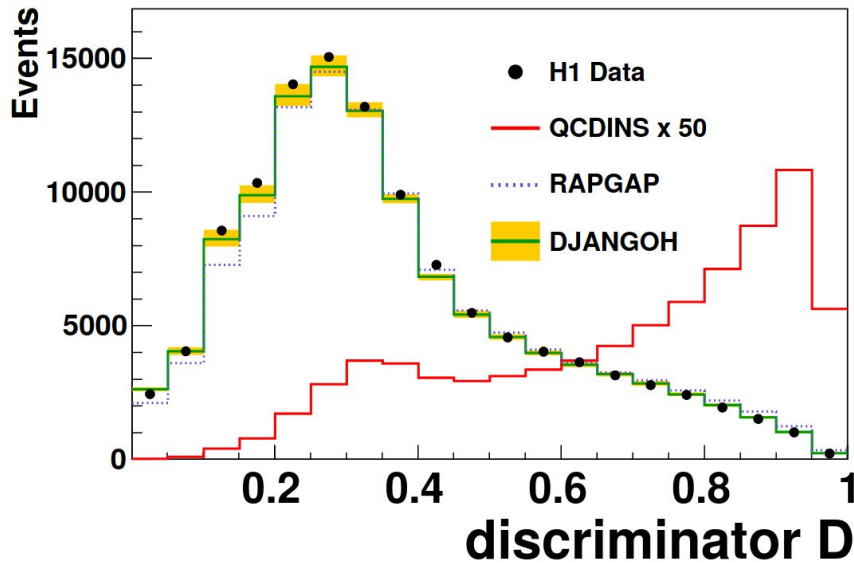
Phys. J. C 76 (2016) 7, 381

The background is modelled using the RAPGAP and DJANGO Monte Carlo programs. The RAPGAP Monte Carlo program [32] incorporates the $\mathcal{O}(\alpha_s)$ QCD matrix elements and models higher order parton emissions to all orders in α_s using the concept of parton showers [33] based on the leading-logarithm DGLAP equations [34], where QCD radiation can occur before and after the hard subprocess. An alternative treatment of the perturbative phase is implemented in DJANGO [35] which uses the Colour Dipole Model [36] with QCD matrix element corrections as implemented in ARIADNE [37]. In both MC generators hadronisation is modelled with the LUND string fragmentation [38,39] using the ALEPH tune [40]. QED radiation and electroweak effects are simulated using the HERACLES [41] program, which is interfaced to the RAPGAP and DJANGO event generators. The parton density functions of the proton are taken from the CTEQ6L set [42].

QCDINS [11,43] is a Monte Carlo package to simulate QCD instanton-induced scattering processes in DIS. The hard process generator is embedded in the HERWIG [44] program and is implemented as explained in section 2. The number of flavours is set to $n_f = 3$. Outside the allowed region defined by Q'^2_{\min} and x'_{\min} the instanton cross section is set to zero. The CTEQ5L [45] parton density functions are employed [5]. Besides the hard instanton subprocess, subleading QCD emissions are simulated in the leading-logarithm approximation, using the coherent branching algorithm implemented in HERWIG. The hadronisation is performed according to the Lund string fragmentation.

Search at High- Q^2 by the H1 Collaboration

Phys. J. C 76 (2016) 7, 381

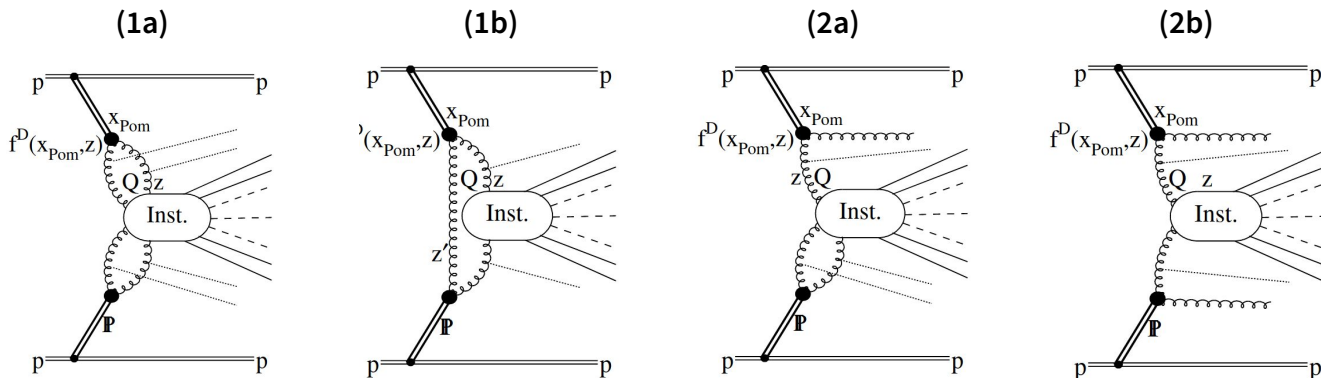


No evidence for QCD Instantons found, but predictions challenged for the first time.

Possibly not the final word from the HERA data...

Cross-sections of Central Instanton Production

V. V. Khoze et al, *Central Instanton Production*, [2111.02159](#)



M_{inst} [GeV]	$d\sigma_{pp}^{(1a)}$ [pb]	$d\sigma_{pp}^{(1b)}$ [pb]	$d\sigma_{pp}^{(2a)}$ [pb]	$d\sigma_{pp}^{(2b)}$ [pb]	$d\sigma_{pp}^{(2b)}, Q_t > 20\text{GeV}$
15	13.3	$4.56 \cdot 10^4$	$3.72 \cdot 10^3$	$1.83 \cdot 10^5$	-
35	$6 \cdot 10^{-3}$	$1.69 \cdot 10^2$	8.10	$2.28 \cdot 10^3$	$1.99 \cdot 10^{-3}$
55	$3.82 \cdot 10^{-5}$	3.27	$1.19 \cdot 10^{-1}$	$8.96 \cdot 10^1$	$2.95 \cdot 10^{-3}$
75	$8.8 \cdot 10^{-7}$	$1.61 \cdot 10^{-1}$	$4.72 \cdot 10^{-3}$	7.06	$1.70 \cdot 10^{-3}$
95	$4.27 \cdot 10^{-8}$	$1.38 \cdot 10^{-2}$	$3.42 \cdot 10^{-4}$	$8.58 \cdot 10^{-1}$	$7.26 \cdot 10^{-4}$
115	$3.37 \cdot 10^{-9}$	$1.74 \cdot 10^{-3}$	$3.68 \cdot 10^{-5}$	$1.39 \cdot 10^{-1}$	$2.80 \cdot 10^{-4}$
135	$3.77 \cdot 10^{-10}$	$2.86 \cdot 10^{-4}$	$5.29 \cdot 10^{-6}$	$2.75 \cdot 10^{-2}$	$1.04 \cdot 10^{-4}$

Table 1: Instanton cross-sections at the 14 TeV LHC. The differential cross-sections for the process in Figs.1a, 1b and 2a, 2b, given by Eqs. (5.1) and (5.2), are computed for a range of instanton masses M_{inst} .

QCD Instanton Searches With Forward Proton Tags

$(\langle\mu\rangle, \mathcal{L}[\text{fb}^{-1}])$	$M_{\text{inst}} > 60 \text{ GeV}$	$M_{\text{inst}} > 100 \text{ GeV}$
(0, 0.1)	19.0/(0.4+3.5)	5.8/(0.2+3.5)
(1.0, 0.1)	8.7/(6.5+0.2)	3.2/(4.7+0.2)
(2.0, 1.0)	52.2/(58.1+2.5)	15.4/(55.3+2.2)
(5.0, 10.0)	56.2/(205.6+13.3)	23.8/(137.1+7.6)

Table 1 Summary of event yields after applying cuts in Eq. (9) and Eq. (10) for the single-tag search approach for $M_{\text{inst}} > 60 \text{ GeV}$ and $M_{\text{inst}} > 100 \text{ GeV}$, respectively, and for four luminosity scenarios $(\langle\mu\rangle, \mathcal{L})$. For each scenario, a ratio of number of signal to background events, $N_S/(N_{\text{ND}} + N_{\text{SD}})$, is shown.