



HNL and LLP at NA62 (not only) beam-dump

Jan Jerhot

Max Planck Institute for Physics

LPHE seminar; April 22, 2024



European Research Council Established by the European Commission

Introduction: NA62 experiment

Fixed-target experiment at CERN SPS (north area - ECN3 experimental cavern)



Jan Jerhot (MPP)

E DQC

Introduction: NA62 experiment

- Main goal: study of ultra-rare $K^+ \to \pi^+ \nu \bar{\nu}$ decay, yet NA62 covers: broad kaon physics program (precision measurements, LFV/LNV decays, LLP searches) beam-dump physics (LLP searches) program + more exotic searches (neutrino tagging, ..)
- Data-taking period 2016-18 (Run 1): $K^+ \to \pi^+ \nu \bar{\nu}$ analysis of Run 1 data set published,² 2021-LS3(2025): Run 2 ongoing.



²Measurement of the very rare $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay. NA62 Collaboration. JHEP 06 (2021) 0935 [2103 ± 3389] $\rightarrow \pm \pm 5 \sim 0.0$ Jan Jerhot (MPP) HNL and LLP at NA62 beam dump LPHE seminar, April 22, 2024 2 / 31

Introduction: LLPs

Search for New Physics (NP) at intensity frontier with fixed-target experiments:

- Complementary to energy frontier (LHC) and indirect searches (precision measurements, LNV, etc.);
- Smaller masses (typically MeV-GeV scale) but much lower couplings accessible (large statistics);

NP Particle	type	SM portal (dim ≤ 5)	PBC	decay c	hannels ($m \lesssim 1{ m GeV}$)
HNL (N_I)	fermion	$F_{\alpha I}(\bar{L}_{\alpha}H)N_I$	6-8	$\pi\ell, K\ell, \ell_1$	$\ell_2 \nu$
dark photon (A'_{μ})	vector	$-(\epsilon/2\cos\theta_W)F'_{\mu\nu}B^{\mu\nu}$	1-2	ll	$2\pi, 3\pi, 4\pi, 2K, 2K\pi$
dark Higgs (S)	scalar	$(\mu S + \lambda S^2) H^{\dagger} H$	4-5	ll	$2\pi, 4\pi, 2K$
$\mathbf{axion}/\mathbf{ALP}$ (a)	pseudoscalar	$\frac{(C_{VV}/\Lambda)aV_{\mu\nu}\tilde{V}^{\mu\nu}}{(C_{ff}/\Lambda)\partial_{\mu}a\bar{f}\gamma^{\mu}\gamma^{5}f}$	$9,11 \\ 10$	$\gamma\gamma, \ell\ell$	$2\pi\gamma, 3\pi, 4\pi, 2\pi\eta, 2K\pi$

• Dark Sector (SM-DM) portals typically probed:

Introduction: LLPs

Search for New Physics (NP) at intensity frontier with fixed-target experiments:

- Complementary to energy frontier (LHC) and indirect searches (precision measurements, LNV, etc.);
- Smaller masses (typically MeV-GeV scale) but much lower couplings accessible (large statistics);
- Dark Sector (SM-DM) portals typically probed:

NP Particle	type	SM portal (dim ≤ 5)	PBC	decay c	hannels ($m \lesssim 1 { m GeV}$)
HNL (N_I)	fermion	$F_{\alpha I}(\bar{L}_{\alpha}H)N_I$	6-8	$\pi\ell, K\ell, \ell_1$	$\ell_2 \nu$
dark photon (A'_{μ})	vector	$-(\epsilon/2\cos\theta_W)F'_{\mu\nu}B^{\mu\nu}$	1-2	ll	$2\pi, 3\pi, 4\pi, 2K, 2K\pi$
dark Higgs (S)	scalar	$(\mu S + \lambda S^2) H^{\dagger} H$	4-5	ll	$2\pi, 4\pi, 2K$
$\mathbf{axion}/\mathbf{ALP}\ (a)$	pseudoscalar	$(C_{VV}/\Lambda)aV_{\mu\nu}\tilde{V}^{\mu\nu}$	9,11	$\gamma\gamma,~\ell\ell$	$2\pi\gamma, 3\pi, 4\pi, 2\pi\eta, 2K\pi$
		$(C_{ff}/\Lambda)\partial_{\mu}a\bar{f}\gamma^{\mu}\gamma^{5}f$	10		

Two types of direct searches for NP particles at fixed-target experiments:

- NP particle production in SM particle decays reconstruction from both initial and final state particles
- NP particle decay to SM particles reconstruction of original particle from the SM final states

NA62 experiment can do both in two modes of operation - kaon mode and beam-dump $mode_{A,C}$

NA62 experiment in kaon mode

- 400 GeV/c primary p^+ beam impinges Be target, 75 GeV/c secondary beam selected (~ 6% of K^+) using **TAX** collimators
- K^+ decay-in-flight in 60 m long fiducial volume (FV)³;



- K⁺ tagged by **KTAG** and 3-mom. determined by **GTK**;
- Decay products' 3-mom. measured by **STRAW**, time measured by **CHOD** PID given by **LKr**, **MUV1**, **MUV2** and **RICH**;

 μ ID provided by **MUV3**;

• Photons can be vetoed by **LKr** and at large angles by 12 **LAV** stations or by **SAC/IRC** at small angles;

• Overall experimental time resolution reaches $\mathcal{O}(100)$ ps

³The beam and detector of the NA62 experiment at CERN. NA62 Collaboration. 2017 *HNST* **12** P05025, [1703:08501] Jan Jerhot (MPP) HNL and LLP at NA62 beam dump LPHE seminar, April 22, 2024 4 / 31

Search for LLP (escaping detection) in $s \to d$ transition

- DS or ALP with C_{WW}, C_{GG}, C_{qq} can be produced in FCNC decays
- $K^+ \to \pi^+ \nu \bar{\nu}$ has the same signature as $K^+ \to \pi^+ X(X)$ with X escaping (search for an excess in $K_{\pi\nu\nu}$)



⁴Measurement of the very rare $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ decay. NA62 Collaboration. JHEP 06 (2021) 093 \equiv [2103 \equiv 5389] \approx

Search for LLP (escaping detection) in $s \to d$ transition

• Interpretation of $K^+ \to \pi^+ \nu \bar{\nu}$ result in terms of DS and ALP models:



 $\begin{array}{c} 10^{-1} \\ 10^{-2} \\ 10^{-3} \\ 10^{-4} \\ 10^{-4} \\ 10^{-4} \\ 10^{-5} \\ 10^{-6} \\ 1 \\ 50 \\ 100 \\ 150 \\ 200 \\ 250 \\ 300 \\ 350 \\ 400 \\ m_{2} \left[\text{MeV} \right] \end{array}$

Figure: Bound on the dark scalar mixing angle.⁵

• Study in progress: $K^+ \to \pi^+ \pi^0 a$ (ALP escaping)

Figure: Bound on the ALP coupling to up quark.⁶

⁵Measurement of the very rare $K^+ \to \pi^+ \nu \bar{\nu}$ decay. NA62 Collaboration. JHEP 06 (2021) 093, [2103.15389] ⁶New physics searches at kaon and hyperon factories. E. Goudzovski *et al.*. Rept. Prog. Phys. 86 (2023) \mp 016204. $\Im \Im \Im$ Jan Jerhot (MPP) HNL and LLP at NA62 beam dump LPHE seminar, April 22, 2024 6 / 31

Search for LLP (decaying inside FV) in $s \to d$ transition



Reinterpretation of precision measurement $K^+ \to \pi^+ \gamma \gamma$ as $K^+ \to \pi^+ a(a \to \gamma \gamma)$:

⁷Measurement of the $K^+ \rightarrow \pi^+ \gamma \gamma$ decay. NA62 Collaboration. Phys.Lett.B 850 (2024) ±38513; [231±01837] >

Search for LLP (decaying inside FV) in $s \to d$ transition

Reinterpretation of search for the ultra-rare $K^+ \to \pi^+ e^+ e^- e^+ e^-$ decay BR $(K_{\pi 4e}) = 7.2 \times 10^{-11}$:

- no signal observed, BR $(K_{\pi 4e}) < 1.4 \times 10^{-8}$ at 90% CL
- $K^+ \to \pi^+ aa(a \to e^+e^-)$ or $K^+ \to \pi^+ S(S \to A'A', A' \to e^+e^-)$ interpretation
- QCD axion excluded as a possible explanation of the 17 MeV anomaly



Figure: Upper limit at 90% CL on the ALP BR. 8

Figure: Bound on the combined BRs of the decay chain.

⁸Search for K^+ decays into the $\pi^+ e^+ e^- e^+ e^-$ final state. NA62 Collaboration. Phys. Lett. B 846 (2023) 138193, [2307.04579]

Search for LLP in $K^+ \to \ell^+ X$ decay

- Two analyses searching for a spike above the m_{miss}^2 spectra of $K^+ \to e^+ X$ and $K^+ \to \mu^+ X$
- X = HNL (e- or μ -coupled): BR_{K⁺ \to \ell⁺N} = BR_{SM} · $\rho_{\ell}(m_N) \cdot |U_{l4}|^2$
- $K \to eN: m_N \in 144 462 \,\mathrm{MeV}/c^2$ $K \to \mu N: m_N \in 200 - 384 \,\mathrm{MeV}/c^2$
- Reinterpretation for νX with X = S/V
- Search for $K^+ \to \mu^+ \nu_\mu X(X \to \gamma \gamma)$ in progress



Figure: UL at 90% CL on $|U_{\ell 4}|^2$ from production searches, red: $|U_{e4}|^2$, blue: $|U_{\mu 4}|^{2.9}$ Figure: UL on $BR(K^+ \rightarrow \mu^+ \nu X)$, where X is scalar or vector.¹⁰

NA62 experiment in beam-dump mode

• target removed and TAX closed, KTAG and GTK not used:



-

NA62 experiment in beam-dump mode



Jan Jerhot (MPP)

LPHE seminar, April 22, 2024 10 / 31

NA62 experiment in beam-dump mode



• two trigger lines for charged particles: Q1/20 (≥ 1 hits in CHOD), H2 (> 1 in-time hit in CHOD)

- $N_{\text{POT}} = (1.4 \pm 0.28) \times 10^{17}$ protons on target (POT) collected in 2021; plan: $N_{\text{POT}} = 10^{18}$ in Run 2
- NP searches with ee and $\mu\mu$ final states published;⁴; preliminary result on hadronic decays

 4 NA62 Collaboration JHEP 09 (2023) 035 [2303.08666]; [2312.12055]

Search for LLP decay to $\ell^+\ell^-$ in beam-dump mode (strategy)

An LLP X with $\ell^+\ell^-$ decay can be e.g. a DP, DS or ALP

Search for LLP decay to $\ell^+\ell^-$ in beam-dump mode (strategy)

An LLP X with $\ell^+\ell^-$ decay can be e.g. a DP, DS or ALP \Rightarrow 6 combinations of production and decay channels ($e^+e^-, \mu^+\mu^-$) considered:

- DP: p-Bremsstrahlung production $p + N \rightarrow A' + ..$
- DP: meson-mediated production $p + N \rightarrow P(V) + ..; P \rightarrow A'\gamma \ (P = \{\pi^0, \eta, \eta'\}), V \rightarrow A'P \ (V = \{\rho, \omega, \phi\})$
- ALP/DS: B-meson-mediated production: $B^{\pm,0} \to K^{\pm,0,(\star)}X$

EL OQO

Search for LLP decay to $\ell^+\ell^-$ in beam-dump mode (strategy)

An LLP X with $\ell^+\ell^-$ decay can be e.g. a DP, DS or ALP \Rightarrow 6 combinations of production and decay channels ($e^+e^-, \mu^+\mu^-$) considered:

- DP: *p*-Bremsstrahlung production $p + N \rightarrow A' + ..$
- DP: meson-mediated production $p + N \rightarrow P(V) + ..; P \rightarrow A'\gamma \ (P = \{\pi^0, \eta, \eta'\}), V \rightarrow A'P \ (V = \{\rho, \omega, \phi\})$
- ALP/DS: B-meson-mediated production: $B^{\pm,0} \to K^{\pm,0,(\star)}X$

Search strategy:

- selecting $\ell^+\ell^-$ tracks;
- $\ell^+\ell^-$ vertex reconstructed in FV \Rightarrow reconstruction of p_X and m_X ;
- search for primary production vertex close to TAX (where you expect LLP to be produced);
- blind analysis (signal and control regions defined around primary vertex location kept masked).

Event selection:

- good quality tracks with timing in coincidence with each other and the trigger
- particle ID with LKr $E_{\rm LKr}/p \sim 0 + MUV3$ for μ^{\pm} and $E_{\rm LKr}/p \sim 1 + !MUV3$ for e^{\pm}
- no in-time activity in LAV (and ANTI0 for e)
- extrapolation of di-lepton momentum to TAX: definition of signal region (SR) in terms of primary vertex location CDA_{TAX} and z_{TAX}
 - $\mu\mu$: SR is a box 6 < z_{TAX} < 40 m and CDA_{TAX} < 20 mm;
 - *ee*: SR is an ellipse centered at $z_{\text{TAX}} = 23$ m and CDA_{TAX} = 0;



Search for LLP decay to $\mu^+\mu^-$ in beam-dump mode (acceptance)

Meson-mediated production:

Bremsstrahlung production:



Search for LLP decay to e^+e^- in beam-dump mode (acceptance)

Meson-mediated production:

Bremsstrahlung production:



 ΔT of the tracks suggests two types of background mechanisms:

${\bf Combinatorial:}$

- Background from random superposition of two uncorrelated upstream particles;
- Dominating for $\mu^+\mu^-$

Prompt:

- Background from secondaries of μ interactions with the traversed material (hadron photo-production);
- Dominating for *ee*.



Figure: $X \to \ell^+ \ell^-$ background before LAV veto (SR and CR masked).

Combinatorial background:

- selected single tracks in a data sample orthogonal to the one used for the analysis;
- track pairs are artificially built to emulate a random superposition;
- each track pair weighted to account for the 10 ns time window → independent on the intensity;
- powerful statistical accuracy from combinatorial enhancement;

Combinatorial background:

- selected single tracks in a data sample orthogonal to the one used for the analysis;
- track pairs are artificially built to emulate a random superposition;
- each track pair weighted to account for the 10 ns time window → independent on the intensity;
- powerful statistical accuracy from combinatorial enhancement;

Prompt background:

- muon kinematic distributions extracted from selected single muons in data (backwards MC);
- to correct the spread induced by the backward-forward process (straggling, MS), an unfolding technique is applied to better reproduce the data distributions;
- relative uncertainty of MC expectation $\sim 50\%$.

E SQQ



after LAV veto	$N_{\rm exp}\pm\delta N_{\rm exp}$	$N_{\rm obs}$	$p_{L\leq L_{\rm obs}}$
$\mu^+\mu^-$ outside CR	26.3 ± 3.4	28	0.74
$\mu^+\mu^-$ OCR3	1.70 ± 0.22	2	0.25
$\mu^+\mu^-$ OCR2	0.58 ± 0.07	1	0.44
$\mu^+\mu^-$ OCR1	0.29 ± 0.04	1	0.68

•
$$N_{\text{exp,bkg}}^{\text{CR}}(\mu^+\mu^-) = 0.17 \pm 0.02 \ 90\% \text{CL}$$

• $N_{\text{exp,bkg}}^{\text{SR}}(\mu^+\mu^-) = 0.016 \pm 0.002 \ 90\% \text{CL}$

before LAV, ANTI0 veto	$N_{\rm exp}\pm\delta N_{\rm exp}$	$N_{\rm obs}$	$p_{L\leq L_{\rm obs}}$
e^+e^- PID, OCR	58.9 ± 30.2	81	0.50

•
$$N_{\text{exp,bkg}}^{\text{CR}}(e^+e^-) = 0.0097^{+0.049}_{-0.009}$$
 90%CL

•
$$N_{\text{exp,bkg}}^{\text{SR}}(e^+e^-) = 0.0094^{+0.049}_{-0.009}$$
 90%CL

17 / 31

LPHE seminar, April 22, 2024





Jan Jerhot (MPP)

HNL and LLP at NA62 beam dump

Search for LLP decay to $\mu^+\mu^-$ in beam-dump mode (result)

Interpretation of $A' \to \mu \mu$ analysis as a search for ALP/scalar *a* produced in $B \to K^{(\star)}a$ decay:



Figure: Resulting exclusion @90% CL for (pseudo)scalar a with mass M_a and lifetime τ_a .

Search for LLP decay to e^+e^- in beam-dump mode (result)

Interpretation of $A' \to ee$ analysis as a search for ALP/scalar *a* produced in $B \to K^{(\star)}a$ decay:



Figure: Resulting exclusion @90% CL for (pseudo)scalar a with mass M_a and lifetime τ_a .

Search for LLP decay to hadrons in beam-dump mode (strategy)

• Numerous possibilities for LLP X being a dark photon (DP), dark scalar (DS), axion-like particle (ALP), ..

Search for LLP decay to hadrons in beam-dump mode (strategy)

- Numerous possibilities for LLP X being a dark photon (DP), dark scalar (DS), axion-like particle (ALP), ..
- \Rightarrow numerous production and decay channels:

DP	DS	ALP
$\pi^+\pi^-$	$\pi^+\pi^-$	$\pi^+\pi^-\gamma$
$\pi^+\pi^-\pi^0$		$\pi^+\pi^-\pi^0$
$\pi^+\pi^-\pi^0\pi^0$	$\pi^+\pi^-\pi^0\pi^0$	$\pi^+\pi^-\pi^0\pi^0$
		$\pi^+\pi^-\eta$
K^+K^-	K^+K^-	
$K^+K^-\pi^0$		$K^+K^-\pi^0$

- ALP: Primakoff (on-, off-shell), mixing with $P = \{\pi^0, \eta, \eta'\}, B^{\pm,0} \to K^{\pm,0,(\star)}a$
- DP: Bremsstrahlung, $P \to A'\gamma, V \to A'P$ $(V = \{\rho, \omega, \phi\})$
- DS: $B^{\pm,0} \to K^{\pm,0,(\star)}S$
- Altogether 36 combinations of production and decay channels studied

E SQC

Search for LLP decay to hadrons in beam-dump mode (selection)

2 hadronic track selection:

- 2 good quality tracks in coincidence with each other and the trigger
- BDT particle ID selecting hadrons (calo. and MUV3), RICH used for tagging K
- no in-time activity in LAV, SAV and ANTIO
- decay vertex reconstructed in FV;

Search for LLP decay to hadrons in beam-dump mode (selection)

2 hadronic track selection:

- 2 good quality tracks in coincidence with each other and the trigger
- BDT particle ID selecting hadrons (calo. and MUV3), RICH used for tagging K
- no in-time activity in LAV, SAV and ANTIO
- decay vertex reconstructed in FV;

Search strategy:

- search neutral LKr clusters and reconstruction of γ , π^0 , η based on time and opening angle;
- LLP p reconstructed from final states and extrapolation to TAX



Figure: $A' \to \pi^+\pi^-$ signal MC and definition of control (CR, red) and signal regions (SR, blue).

- SR: ellipse centered at $\{Z_{TAX}, CDA_{TAX}\} = \{23 \text{ m}, 0 \text{ mm}\}$ with semi-axes of 23 m and 40 mm
- CR: CDA_{TAX} < 150 mm and $-7 \text{ m} < Z_{TAX} < 53 \text{ m}$

Search for LLP decay to hadrons in beam-dump mode (acceptance)

- In model-independent case $X \to \pi^+ \pi^-$ (BR_{X $\to\pi^+\pi^-$} = 1): $N_{\exp}(M_X, \Gamma_X) =$ $N_{\text{POT}} \times \chi_{pp \to X}(C_{\text{ref}}) \times P_{\text{rd}} \times A_{\text{acc}} \times A_{\text{trig}}$
- $\chi_{pp \to X}(C_{ref})$: LLP prod. probability for ref. coupling
- $P_{\rm rd}$: probability to reach NA62 FV and decay therein
- $A_{\rm acc} \times A_{\rm trig}$: signal selection and trigger efficiencies



Figure: Left: expected $S \to \pi^+ \pi^-$ yield after full selection, assuming $g_{bs} = 10^{-4}$ and BR = 1. Center: acceptance after full selection for LLPs that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

• Distributions above obtained for all 36 combinations of production and decay channels.

After masking SR and CR and lifting vetoes, two $\pi\pi$ events observed in data:

- 1 event with vertex upstream of FV, vetoed by ANTI0
- 1 event with vertex inside FV, not vetoed by ANTIO, vetoed by LAV

Background estimations with MC:

- combinatorial and neutrino-induced backgrounds: negligible contributions
- prompt background: inelastic interaction of halo muons can produce hadrons
- upstream background: formed by particles that are collected by the GTK achromat

- estimation using data-driven backward MC with measured μ halo + unfolding for correct kinematics
- MC stat. equivalent of $N_{\rm POT} = 1.53 \times 10^{17}$ (exceeding the data stat.)
- $\pi\pi$ outside CR (in ANTI0 acceptance + no vetoes applied):
 - $N_{\text{exp}} = 1.8 \pm 1.4 \text{ vs } N_{\text{obs}} = 1$ (Upstream region)
 - $N_{\text{exp}} = 0.20 \pm 0.15 \text{ vs } N_{\text{obs}} = 1$ (FV)

ELE NOR

- estimation using data-driven backward MC with measured μ halo + unfolding for correct kinematics
- MC stat. equivalent of $N_{\rm POT} = 1.53 \times 10^{17}$ (exceeding the data stat.)
- $\pi\pi$ outside CR (in ANTI0 acceptance + no vetoes applied):
 - $N_{\text{exp}} = 1.8 \pm 1.4 \text{ vs } N_{\text{obs}} = 1$ (Upstream region)
 - $N_{\text{exp}} = 0.20 \pm 0.15 \text{ vs } N_{\text{obs}} = 1$ (FV)
- after applying full selection the prompt background expectations in CR and SR are below 10^{-4} in all channels

Table: Summary of expected number of prompt background events at 68% CL for all studied decay channels in CR and SR after full selection.

Channel	$N_{ m exp,CR} \pm \delta N_{ m exp,CR}$	$N_{ m exp,SR} \pm \delta N_{ m exp,SR}$
$\pi^+\pi^-$	$(5.7^{+18.5}_{-4.7}) \times 10^{-5}$	$(5.5^{+18.0}_{-4.5}) \times 10^{-5}$
$\pi^+\pi^-\gamma$	$(1.7^{+5.3}_{-1.4}) \times 10^{-5}$	$(1.6^{+5.2}_{-1.3}) \times 10^{-5}$
$\pi^+\pi^-\pi^0$	$(1.3^{+4.4}_{-1.0}) \times 10^{-7}$	$(1.2^{+4.3}_{-1.0}) \times 10^{-7}$
$\pi^+\pi^-\pi^0\pi^0$	$(1.6^{+7.6}_{-1.4}) \times 10^{-8}$	$(1.6^{+7.4}_{-1.4}) \times 10^{-8}$
$\pi^+\pi^-\eta$	$(7.3^{+27.0}_{-6.1}) \times 10^{-8}$	$(7.0^{+26.2}_{-5.8}) \times 10^{-8}$
K^+K^-	$(4.7^{+15.7}_{-3.9}) \times 10^{-7}$	$(4.6^{+15.2}_{-3.8}) \times 10^{-7}$
$K^+K^-\pi^0$	$(1.6^{+3.2}_{-1.2}) \times 10^{-9}$	$(1.5^{+3.1}_{-1.2}) \times 10^{-9}$

- 3 upstream background subcomponents observed in the control sample in the $Z_{\text{VTX}} - m_{\pi\pi}$ plane:
 - 19 upstream interactions
 - 2 $K_S \to \pi^+ \pi^-$ candidates
 - 8 $K^+ \to \pi^+ \pi^+ \pi^-$ (6 identified as $\pi^+ \pi^-$, 2 $\pi^+ \pi^- \gamma$)



Figure: Events not in ANTI0 acceptance or not vetoed by ANTI0 in $Z_{\rm VTX}$ – invariant mass plane. Solid lines indicate the FV. Dashed lines indicate the K_S 3σ mass window.
- 3 upstream background subcomponents observed in the control sample in the $Z_{\text{VTX}} - m_{\pi\pi}$ plane:
 - 19 upstream interactions
 - 2 $K_S \to \pi^+ \pi^-$ candidates
 - 8 $K^+ \to \pi^+ \pi^+ \pi^-$ (6 identified as $\pi^+ \pi^-$, 2 $\pi^+ \pi^- \gamma$)
- upstream interactions vetoed by ANTI0 acceptance and vertex location



Figure: Events not in ANTI0 acceptance or not vetoed by ANTI0 in $Z_{\rm VTX}$ – invariant mass plane. Solid lines indicate the FV. Dashed lines indicate the K_S 3σ mass window.

- 3 upstream background subcomponents observed in the control sample in the $Z_{\text{VTX}} - m_{\pi\pi}$ plane:
 - 19 upstream interactions
 - 2 $K_S \to \pi^+ \pi^-$ candidates
 - 8 $K^+ \to \pi^+ \pi^+ \pi^-$ (6 identified as $\pi^+ \pi^-$, 2 $\pi^+ \pi^- \gamma$)
- upstream interactions vetoed by ANTI0 acceptance and vertex location
- for $K_S \ 3\sigma$ window (±5.7 MeV/ c^2) around m_{K_S} kept masked



Figure: Events not in ANTI0 acceptance or not vetoed by ANTI0 in $Z_{\rm VTX}$ – invariant mass plane. Solid lines indicate the FV. Dashed lines indicate the K_S 3σ mass window.

- 3 upstream background subcomponents observed in the control sample in the $Z_{\text{VTX}} - m_{\pi\pi}$ plane:
 - 19 upstream interactions
 - 2 $K_S \to \pi^+ \pi^-$ candidates
 - 8 $K^+ \to \pi^+ \pi^+ \pi^-$ (6 identified as $\pi^+ \pi^-$, 2 $\pi^+ \pi^- \gamma$)
- upstream interactions vetoed by ANTI0 acceptance and vertex location
- for $K_S \ 3\sigma$ window (±5.7 MeV/ c^2) around m_{K_S} kept masked
- K^+ -induced background simulated using selected single track K^+ forced to decay as $K \to \pi^+ \pi^+ \pi^-$ in the FV



Figure: Events not in ANTI0 acceptance or not vetoed by ANTI0 in $Z_{\rm VTX}$ – invariant mass plane. Solid lines indicate the FV. Dashed lines indicate the K_S 3σ mass window.

• Result outside CR/SR before ANTI0 acceptance:

Channel	$N_{\rm exp}\pm\delta N_{\rm exp}$	$N_{ m obs}$
$\pi^+\pi^-$	5.6 ± 2.8	6
$\pi^+\pi^-\gamma$	2.4 ± 1.2	2

• Result outside CR/SR after ANTI0 acceptance:

Channel	$N_{ m exp} \pm \delta N_{ m exp}$	$N_{\rm obs}$
$\pi^+\pi^-$	0.68 ± 0.34	1
$\pi^+\pi^-\gamma$	0.31 ± 0.16	0

• Background expectation in SR and CR:

Channel	$N_{ m exp,CR} \pm \delta N_{ m exp,CR}$	$N_{\rm exp,SR}\pm\delta N_{\rm exp,SR}$
$\pi^+\pi^-$	0.013 ± 0.007	0.007 ± 0.005
$\pi^+\pi^-\gamma$	0.031 ± 0.016	0.007 ± 0.004



Figure: Obtained background distribution from $K_{3\pi}$ in the primary vertex Z and CDA plane before applying ANTIO acceptance.

• Simulation performed also for K_{e4} and $K_{\mu4}$ decays \Rightarrow negligible contributions

Table: Summary of total expected number of background events at 68% CL for all studied decay channels in CR and SR after full selection. Needed number of observed events $N_{\rm obs}$ for *p*-value more than 5σ from background-only hypothesis in SR and SR+CR (global significance, flat background in $m_{\rm inv}$ assumption).

Channel	$N_{\mathrm{exp,CR}} \pm \delta N_{\mathrm{exp,CR}}$	$N_{\mathrm{exp,SR}} \pm \delta N_{\mathrm{exp,SR}}$	$N_{ m obs,SR}^{p>5\sigma}$	$N_{ m obs,SR+CR}^{p>5\sigma}$
$\pi^+\pi^-$	0.013 ± 0.007	0.007 ± 0.005	3	4
$\pi^+\pi^-\gamma$	0.031 ± 0.016	0.007 ± 0.004	3	5
$\pi^+\pi^-\pi^0$	$(1.3^{+4.4}_{-1.0}) \times 10^{-7}$	$(1.2^{+4.3}_{-1.0}) \times 10^{-7}$	1	1
$\pi^+\pi^-\pi^0\pi^0$	$(1.6^{+7.6}_{-1.4}) \times 10^{-8}$	$(1.6^{+7.4}_{-1.4}) \times 10^{-8}$	1	1
$\pi^+\pi^-\eta$	$(7.3^{+27.0}_{-6.1}) \times 10^{-8}$	$(7.0^{+26.2}_{-5.8}) \times 10^{-8}$	1	1
K^+K^-	$(4.7^{+15.7}_{-3.9}) \times 10^{-7}$	$(4.6^{+15.2}_{-3.8}) \times 10^{-7}$	1	2
$K^+K^-\pi^0$	$(1.6^{+3.2}_{-1.2}) \times 10^{-9}$	$(1.5^{+3.1}_{-1.2}) \times 10^{-9}$	1	1

• background-free hypothesis **not only** at $N_{\text{POT}} = 1.4 \times 10^{17}$ but also in the future full Run 2 dataset of $N_{\text{POT}} = 10^{18}$

== ~~~~

Search for LLP decay to hadrons in beam-dump mode (result)

0 events observed in all control and signal regions



Figure: The observed 90% CL exclusion contours in BC4 (left) and BC11 (right) benchmarks together with the expected $\pm 1\sigma$ and $\pm 2\sigma$ bands (theory uncertainty not included). Public tool ALPINIST used for the combination of the results from the individual production and decay channels.⁵ No standalone 90% CL exclusion for BC1 (dark photon).

Jan Jerhot (MPP)

⁵ALPINIST: Axion-Like Particles In Numerous Interactions Simulated and Tabulated. JHEP 07 (2022) 0945 [2201.05170]]

Future prospects

- Data-taking ongoing, new BD sample collected in 2023, 10^{18} POT in beam-dump mode expected by the LHC LS3 \Rightarrow improvement in all channels shown before;
- Searches for LLPs decaying into semi-leptonic or di-gamma final states are in progress \Rightarrow interesting perspectives on ALPs and HNLs ($\gamma\gamma$ sensitivity estimated with a toy MC):



Summary

- NA62 is a multipurpose experiment allowing search for LLP in beam-dump mode and in kaon decays;
- Searches for LLP in $K^+ \to \pi^+$, $K^+ \to \mu^+$ and $K^+ \to e^+$ decays using 2016-2018 dataset were presented;
- Blind analyses to search for LLP decays $X \to \ell^+ \ell^-$ and $X \to$ hadrons have been performed on the data collected in 2021;
- New regions of LLP parametric spaces were probed with no NP signal observed;
- Several new searches for LLPs in kaon decays and semi-leptonic or di-gamma final state decays in beam-dump mode are in progress.

313 900

Summary

- NA62 is a multipurpose experiment allowing search for LLP in beam-dump mode and in kaon decays;
- Searches for LLP in $K^+ \to \pi^+$, $K^+ \to \mu^+$ and $K^+ \to e^+$ decays using 2016-2018 dataset were presented;
- Blind analyses to search for LLP decays $X \to \ell^+ \ell^-$ and $X \to$ hadrons have been performed on the data collected in 2021;
- New regions of LLP parametric spaces were probed with no NP signal observed;
- Several new searches for LLPs in kaon decays and semi-leptonic or di-gamma final state decays in beam-dump mode are in progress.

Thank you for your attention!

ELE NOR

Backup slides

Jan Jerhot (MPP)

HNL and LLP at NA62 beam dump

LPHE seminar, April 22, 2024

E ► < E ► E E • 9 < @

Search for $A' \to \mu \mu$ - details on observed event



Jan Jerhot (MPP)

HNL and LLP at NA62 beam dump

LPHE seminar, April 22, 2024 1 / backup

MC: DP (Brems) $\rightarrow \pi^+\pi^-$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 2 / backup

MC: DP (Brems) $\rightarrow 3\pi$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 3 / backup

MC: DP (Brems) $\rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 4 / backup

MC: DP (Brems) $\rightarrow K^+ K^{-1}$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 5 / backup

MC: DP (Brems) $\rightarrow K^+ K^- \pi^0$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 6 / backup

MC: DP (Meson decay) $\rightarrow \pi^+\pi^-$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 7 / backup

MC: DP (Meson decay) $\rightarrow 3\pi$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 8 / backup

MC: DP (Meson decay) $\rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $\epsilon = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 9 / backup

MC: DS (B meson decay) $\rightarrow \pi^+\pi^-$



Figure: Left: expected yield after full selection, assuming $g_{bs} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 10 / backup

MC: DS (B meson decay) $\rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $g_{bs} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 11 / backup

MC: DS (B meson decay) $\rightarrow K^+K^-$



Figure: Left: expected yield after full selection, assuming $g_{bs} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 12 / backup

MC: ALP (Primakoff) $\rightarrow \pi^+\pi^-\gamma$



Figure: Left: expected yield after full selection, assuming $C_{\gamma\gamma}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 13 / backup

MC: ALP (Primakoff) $\rightarrow 3\pi$



Figure: Left: expected yield after full selection, assuming $C_{\gamma\gamma}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 14 / backup

MC: ALP (Primakoff) $\rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $C_{\gamma\gamma}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 15 / backup

MC: ALP (Primakoff) $\rightarrow \pi^+\pi^-\eta$



Figure: Left: expected yield after full selection, assuming $C_{\gamma\gamma}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 16 / backup

MC: ALP (Primakoff) $\rightarrow K^+ K^- \pi^0$



Figure: Left: expected yield after full selection, assuming $C_{\gamma\gamma}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 17 / backup

MC: ALP (B meson decay) $\rightarrow \pi^+\pi^-\gamma$



Figure: Left: expected yield after full selection, assuming $C_{bs}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 18 / backup

MC: ALP (B meson decay) $\rightarrow 3\pi$



Figure: Left: expected yield after full selection, assuming $C_{bs}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 19 / backup

MC: ALP (B meson decay) $\rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $C_{bs}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 20 / backup

MC: ALP (B meson decay) $\rightarrow \pi^+\pi^-\eta$



Figure: Left: expected yield after full selection, assuming $C_{bs}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 21 / backup

MC: ALP (B meson decay) $\rightarrow K^+ K^- \pi^{0}$



Figure: Left: expected yield after full selection, assuming $C_{bs}/\Lambda = 10^{-4} \text{ GeV}^{-1}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 22 / backup

MC: ALP $(\pi^0 \text{ mixing}) \rightarrow \pi^+ \pi^- \gamma$



Figure: Left: expected yield after full selection, assuming $\theta_{a\pi^0} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 23 / backup

MC: ALP $(\pi^0 \text{ mixing}) \to 3\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\pi^0} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 24 / backup

MC: ALP $(\pi^0 \text{ mixing}) \to 4\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\pi^0} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 25 / backup

MC: ALP $(\pi^0 \text{ mixing}) \rightarrow \pi^+ \pi^- \eta$



Figure: Left: expected yield after full selection, assuming $\theta_{a\pi^0} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 26 / backup
MC: ALP $(\pi^0 \text{ mixing}) \to K^+ K^- \pi^0$



Figure: Left: expected yield after full selection, assuming $\theta_{a\pi^0} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 27 / backup

MC: ALP $(\eta \text{ mixing}) \rightarrow \pi^+ \pi^- \gamma$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 28 / backup

MC: ALP $(\eta \text{ mixing}) \rightarrow 3\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 29 / backup

MC: ALP $(\eta \text{ mixing}) \rightarrow 4\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 30 / backup

MC: ALP $(\eta \text{ mixing}) \rightarrow \pi^+ \pi^- \eta$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 31 / backup

MC: ALP $(\eta \text{ mixing}) \to K^+ K^- \pi^0$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 32 / backup

MC: ALP $(\eta' \text{ mixing}) \rightarrow \pi^+ \pi^- \gamma$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta'} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 33 / backup

MC: ALP $(\eta' \text{ mixing}) \to 3\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta'} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 34 / backup

MC: ALP $(\eta' \text{ mixing}) \to 4\pi$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta'} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 35 / backup

MC: ALP $(\eta' \text{ mixing}) \rightarrow \pi^+ \pi^- \eta$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta'} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 36 / backup

MC: ALP $(\eta' \text{ mixing}) \to K^+ K^- \pi^{0'}$



Figure: Left: expected yield after full selection, assuming $\theta_{a\eta'} = 10^{-4}$ and BR = 1. Center: acceptance for events that reached the FV and decayed therein. Right: Mass resolution of the reconstructed LLP.

LPHE seminar, April 22, 2024 37 / backup