

# Constraining the Higgs Potential Shape with Machine Learning

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ML4Jets

07/11/2024



**Berkeley**  
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# Our goal: measure the Higgs potential $V(\phi)$

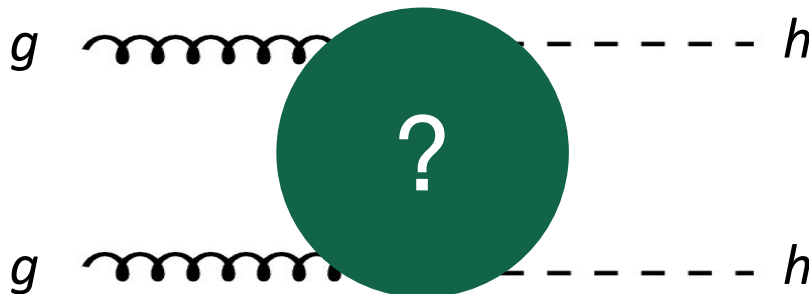
$$-m^2(\phi^\dagger\phi) + \lambda(\phi^\dagger\phi)^2 + \sum_i \frac{c_i}{\Lambda^{d_i-4}} \mathcal{O}_i$$

SM higgs

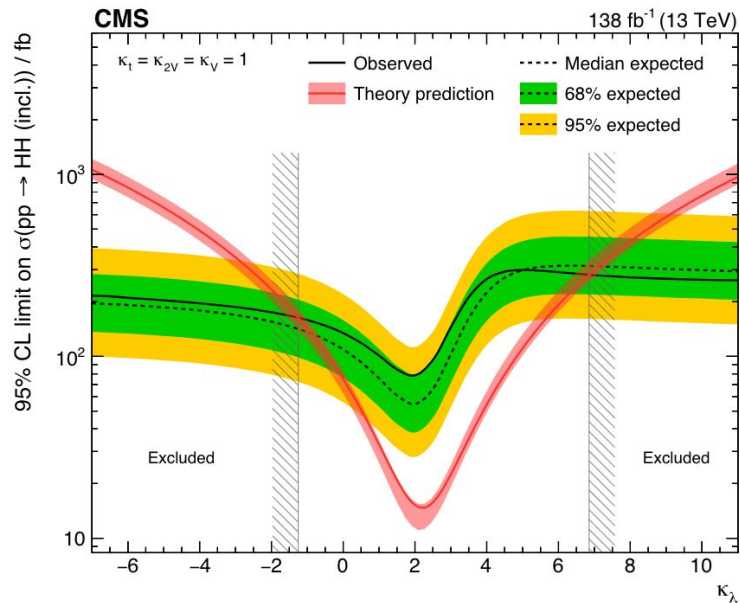
BSM Higgs

(SMEFT parameterization)

Concretely, we want to observe **dihiggs production** at the LHC

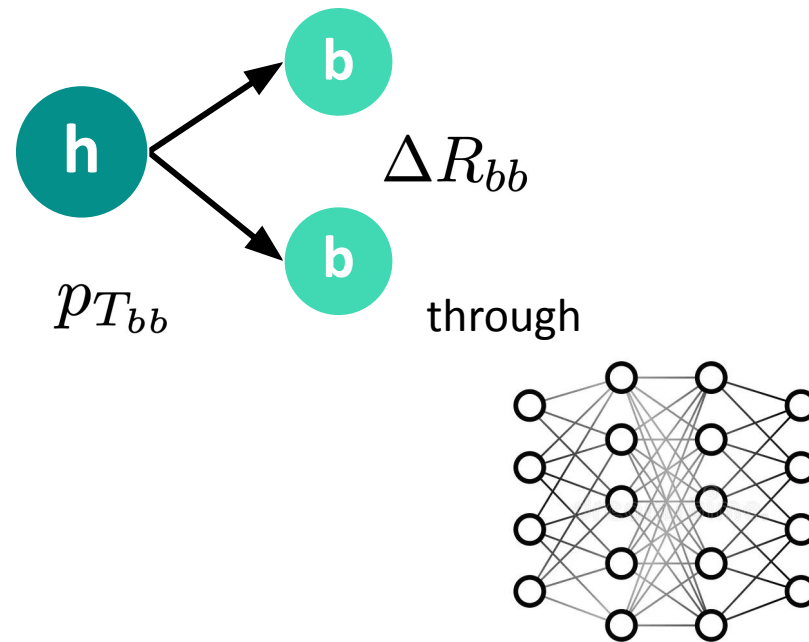


## Previous work: cut and count



CMS 2022

## This work: + kinematic information



The test statistic  $q$  now includes shape information

$$q(c|D) = q_{\text{rate}}(c|D) + q_{\text{shape}}(c|D)$$

$(c_\phi, c_{\phi d}, c_{t\phi})$  Data

Likelihood ratio of  
**data | BSM hypothesis**  
to  
**data | SM hypothesis**

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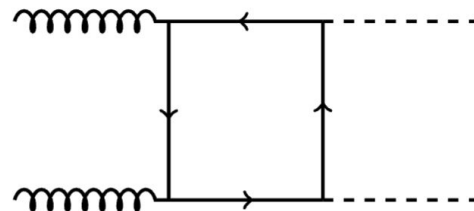
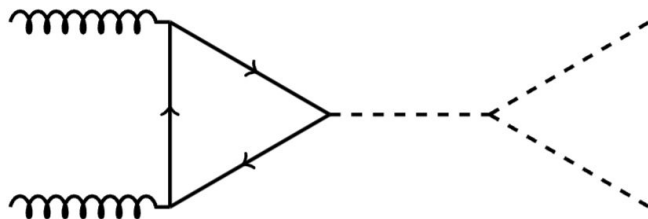
Likelihood ratio for shapes of  
kinematic distributions  
(machine learning)

# SMEFT Operators in Detail

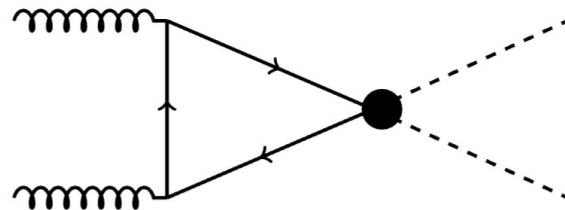
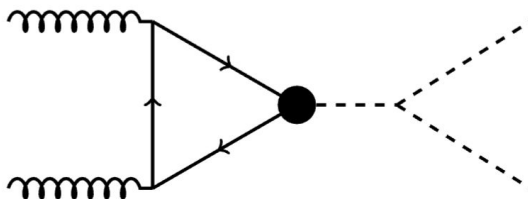
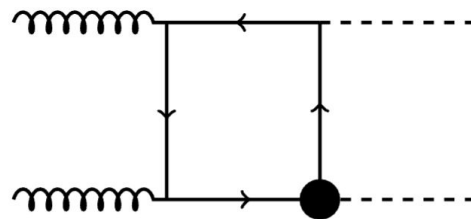
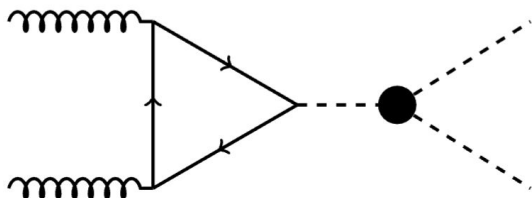
Symbol	Operator	Meaning
$\mathcal{O}_\phi$	$(\phi^\dagger \phi - \frac{v^2}{2})^3$	trilinear coupling
$\mathcal{O}_{\phi d}$	$\partial_\mu (\phi^\dagger \phi) \partial^\mu (\phi^\dagger \phi)$	dynamical coupling
$\mathcal{O}_{t\phi}$	$(\phi^\dagger \phi - \frac{v^2}{2}) \bar{Q} t \tilde{\phi} + \text{h.c.}$	top-Yukawa coupling

# These operators result in a zoo of diagrams...

SM diagrams



SMEFT diagrams



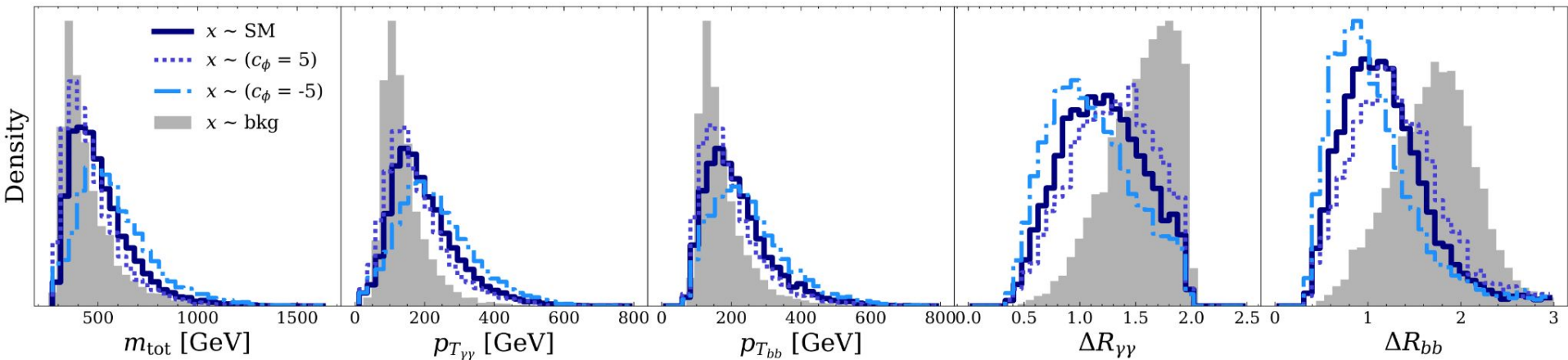


# ...whose inclusion changes the shapes of kinematic features

Production channel:  $hh \rightarrow bb\gamma\gamma$

Simulation pipeline: MadGraph (SMEFT@NLO model)  $\rightarrow$  Pythia  $\rightarrow$  Delphes

Collider setup: HL-LHC (14 TeV, 3/ab), S/B  $\approx$  0.3



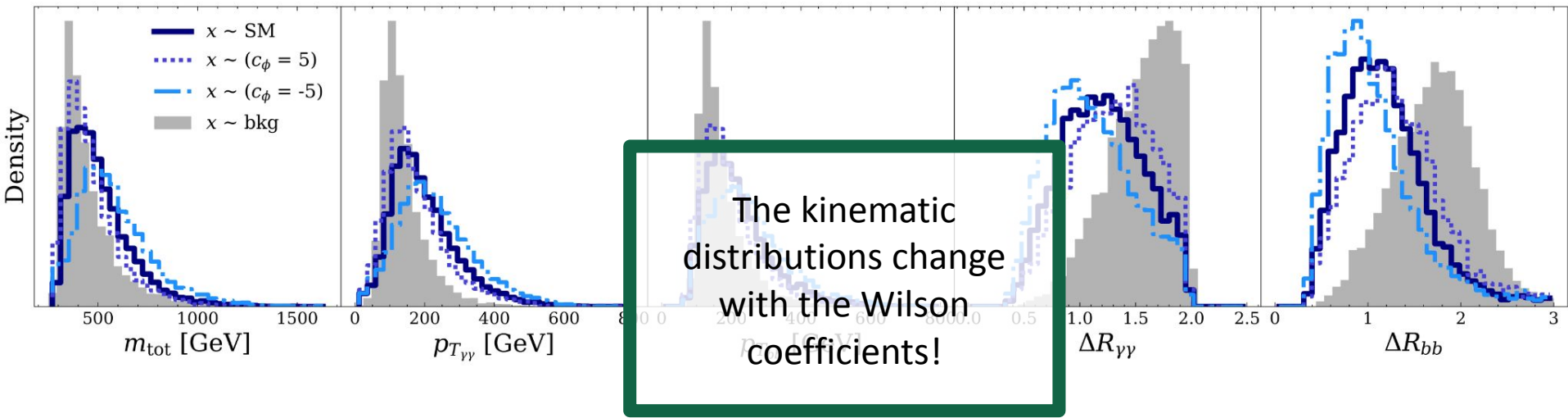
\*\*see backups for full background breakdown

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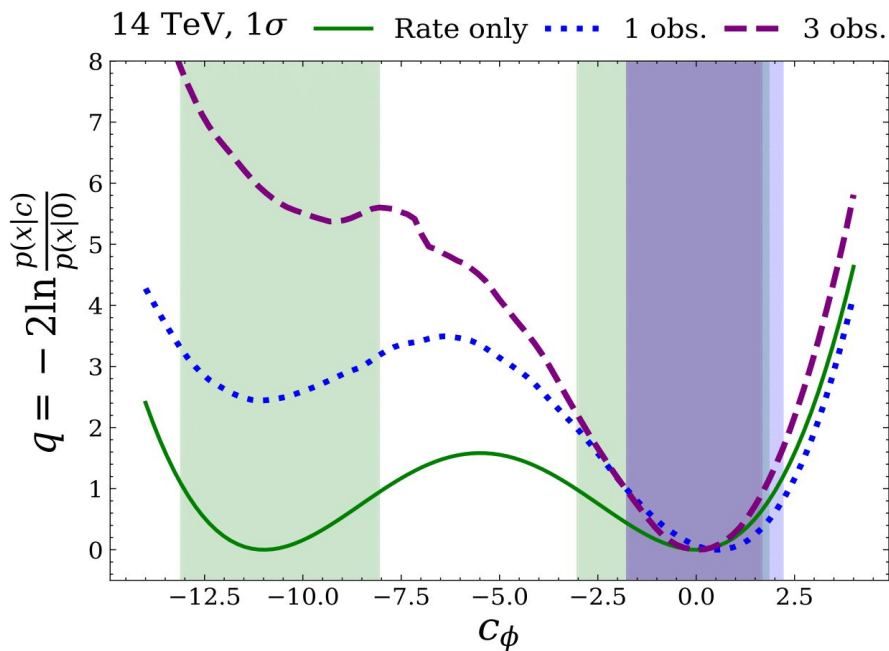
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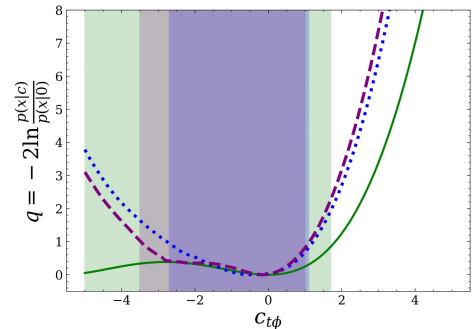
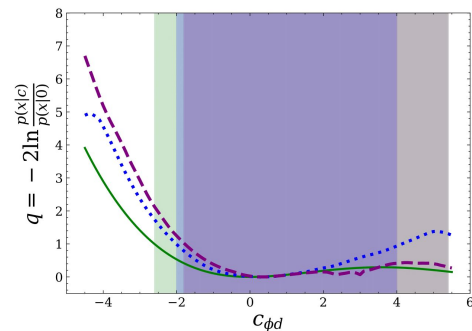
# 1D coefficient recovery on a SM test set

Shape-informed likelihood ratios allow for tighter confidence intervals than in the rate-only case – more information is better!



1 obs:  $\mathcal{M}_{\text{tot}}$

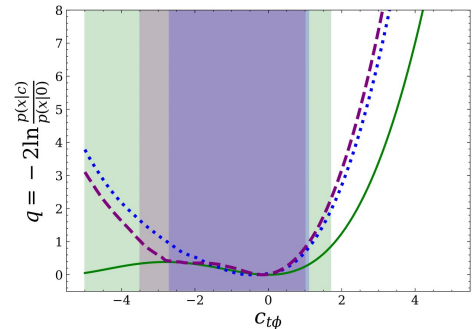
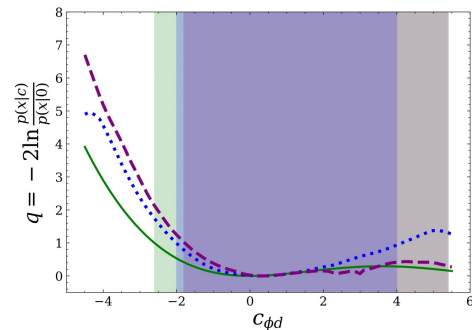
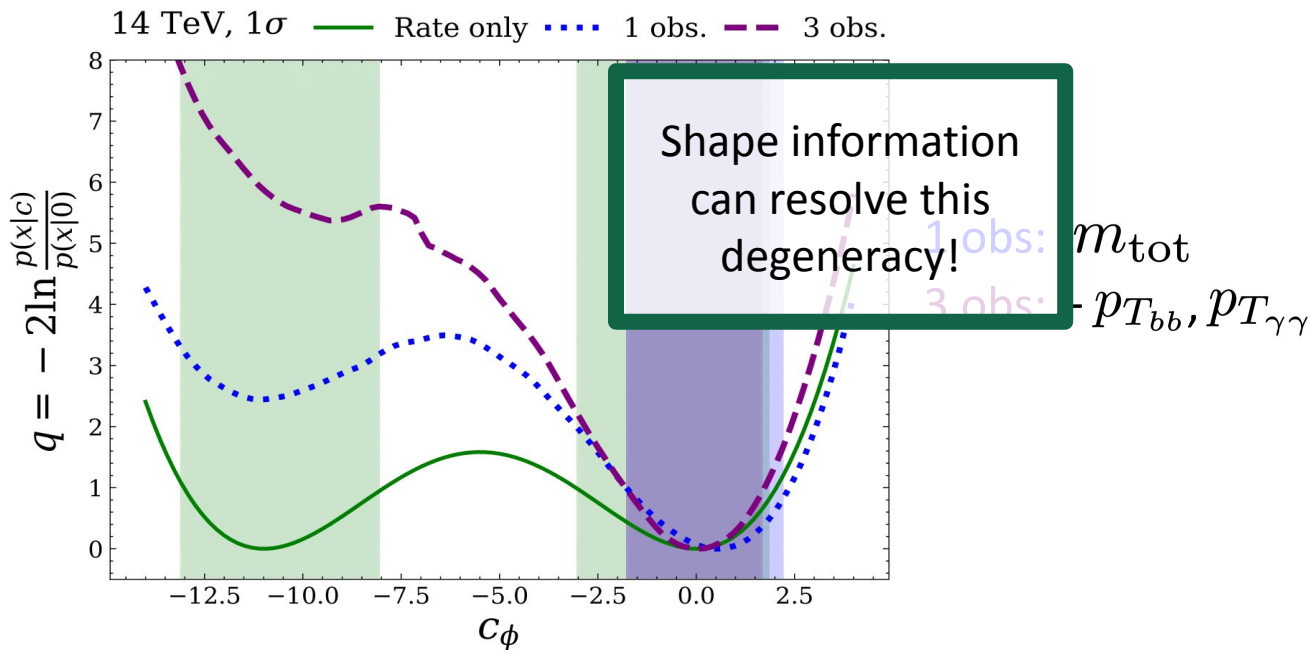
3 obs: +  $p_{T_{bb}}, p_{T_{\gamma\gamma}}$



\*\*see backups for FCC-hh projections

# 1D coefficient recovery on a SM test set

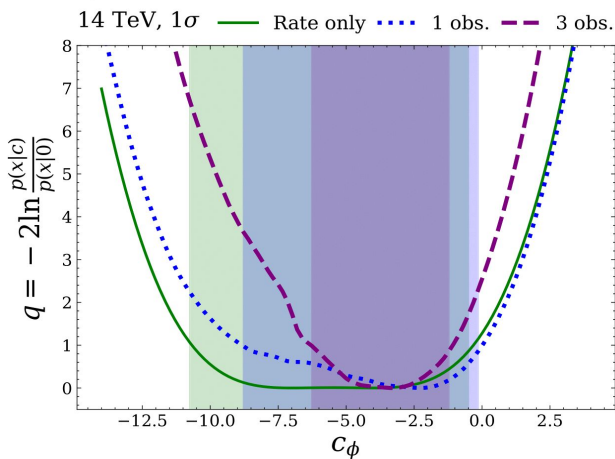
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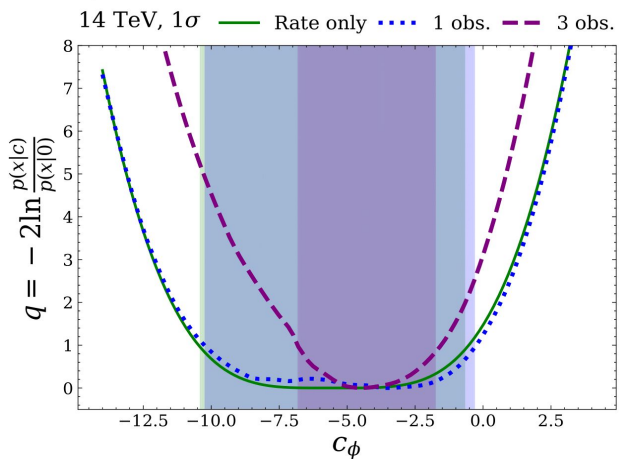
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# 1D coefficient recovery on BSM test sets

Assuming a BSM test set (truth), we construct confidence intervals as before. Sensitivity seems best at the SM.

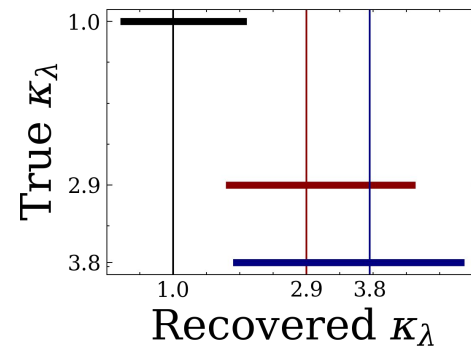
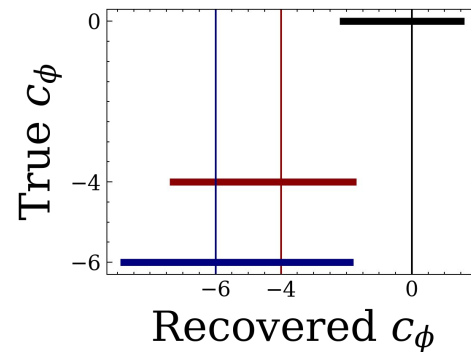


$$c_\phi = -4$$



$$c_\phi = -6$$

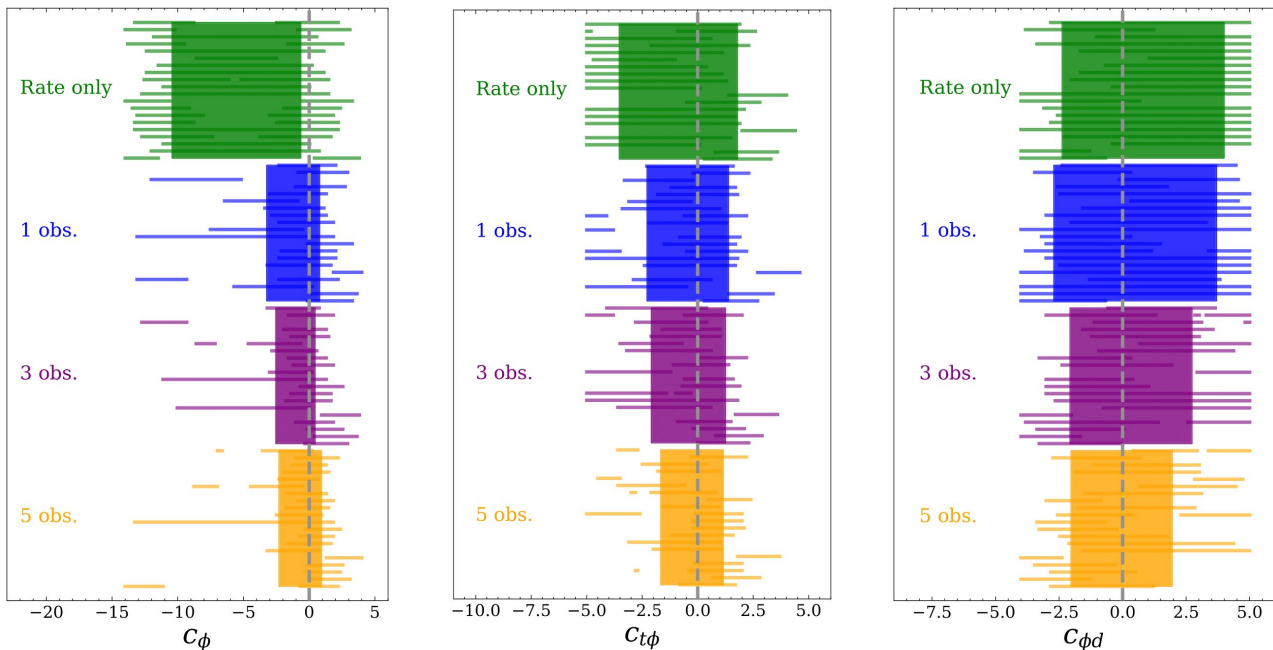
3 observable results  $\rightarrow$



# 1D coefficient recovery on many test sets

Repeating the analysis on many test set instantiations, we see more reliable and precise results by including more information in the likelihood ratio.

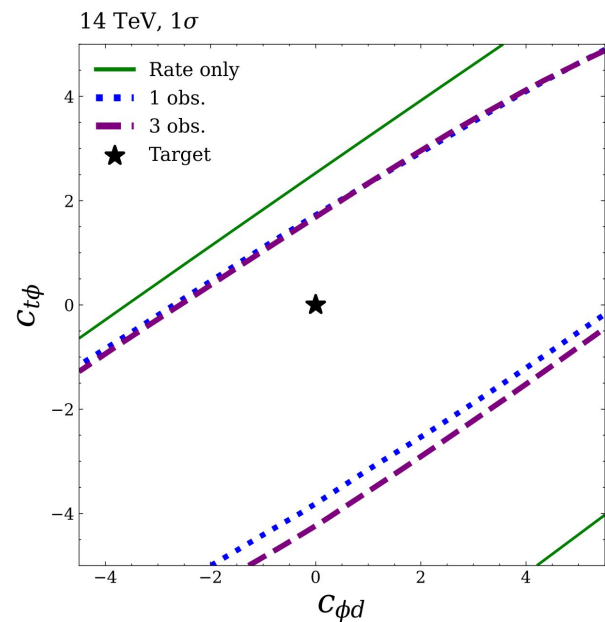
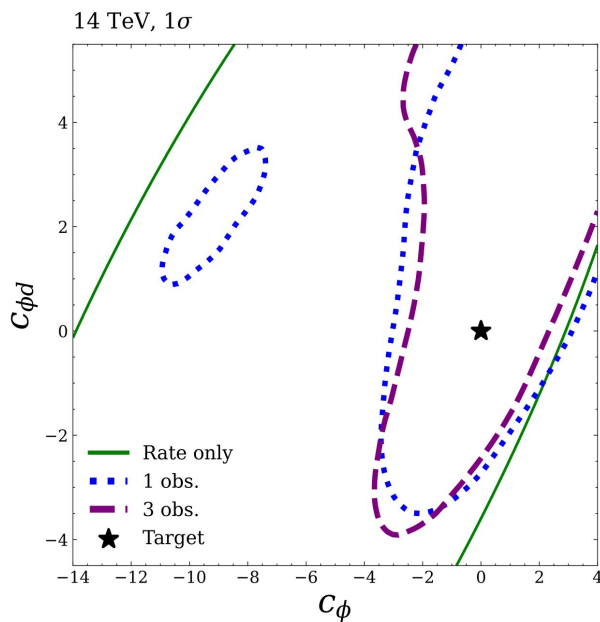
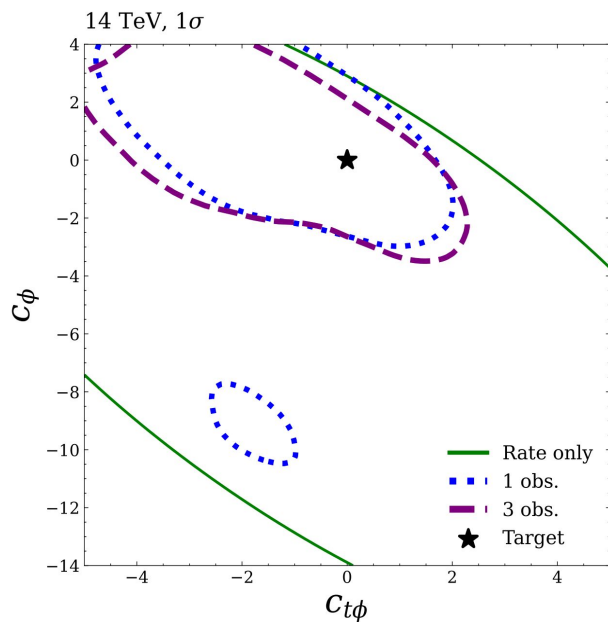
14 TeV,  $1\sigma$  CI



The intervals are *over-conservative*

# 2D coefficient recovery on a SM test set

Shape-informed likelihood ratios are also superior for constraining 2 parameters at once.



\*\*see backups for FCC-hh projections

# Summary

*Adding shape information of kinematic observables to cut-and-count analyses can greatly improve their constraining power*

Future investigations

- ❖ More realistic background modeling (with uncertainties)
- ❖ Balancing signal-enhancing cuts and event preservation for classifier testing
- ❖ Expanding the Wilson coefficient space

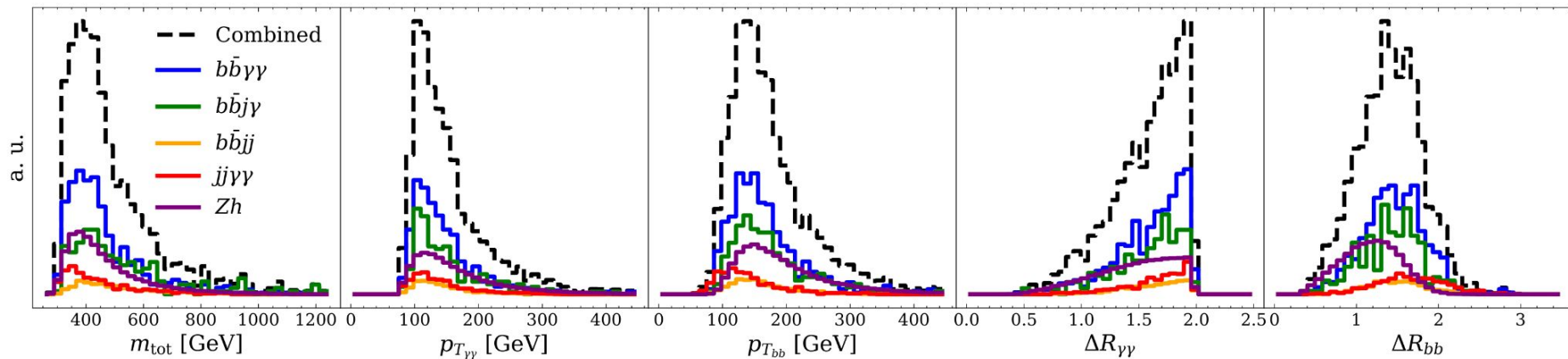


Backup slides

# SMEFT Operators in Detail

Operator	Explicit form
$\mathcal{O}_\phi$	$(\phi^\dagger \phi - \frac{v^2}{2})^3$
$\mathcal{O}_{\phi d}$	$\partial_\mu(\phi^\dagger \phi) \partial^\mu(\phi^\dagger \phi)$
$\mathcal{O}_{\phi D}$	$(\phi^\dagger D^\mu \phi)^\dagger (\phi^\dagger D_\mu \phi)$
$\mathcal{O}_{t\phi}$	$(\phi^\dagger \phi - \frac{v^2}{2}) \bar{Q} t \tilde{\phi} + \text{h.c.}$
$\mathcal{O}_{\phi G}$	$(\phi^\dagger \phi - \frac{v^2}{2}) G_A^{\mu\nu} G_{\mu\nu}^A$
$\mathcal{O}_{tG}$	$ig_s (\bar{Q} \tau^{\mu\nu} T_A t) \tilde{\phi} G_{\mu\nu}^A + \text{h.c.}$

# Relevant background processes for $hh$ production



In this project, we use the  $b\bar{b}\gamma\gamma$  process as a proxy for all backgrounds

Background feature shapes do not vary with the SMEFT coefficients

# The cut flow and event yields

	HL-LHC, 14TeV, $3\text{ab}^{-1}$				Future Collider, 100 TeV, $30\text{ab}^{-1}$			
	Signal		Background		Signal		Background	
	Events	Retention	Events	Retention	Events	Retention	Events	Retention
Start	257	100%	–	–	89,604	100%	–	–
+ tagging & efficiencies	95	37.1%	$4.65 \times 10^4$	100%	29,600	33.0%	$5.16 \times 10^6$	100%
+ kinematic cuts	49	18.9%	$1.43 \times 10^4$	30.8%	11,100	12.3%	$1.58 \times 10^6$	30.6%
+ $m_h$ windows	15	5.89%	$4.09 \times 10^2$	0.88%	3,950	4.40%	$4.02 \times 10^4$	0.78%
+ angular cuts	13	4.92%	$4.37 \times 10^1$	0.094%	3,600	4.02%	$4.34 \times 10^3$	0.084%

S/B  $\approx$  0.30

S/B  $\approx$  0.83

# Mixture models

To learn the likelihood ratio of *mixture models*, e.g.

$$\text{data} = \text{signal (sig)} + \text{(bkg)}$$

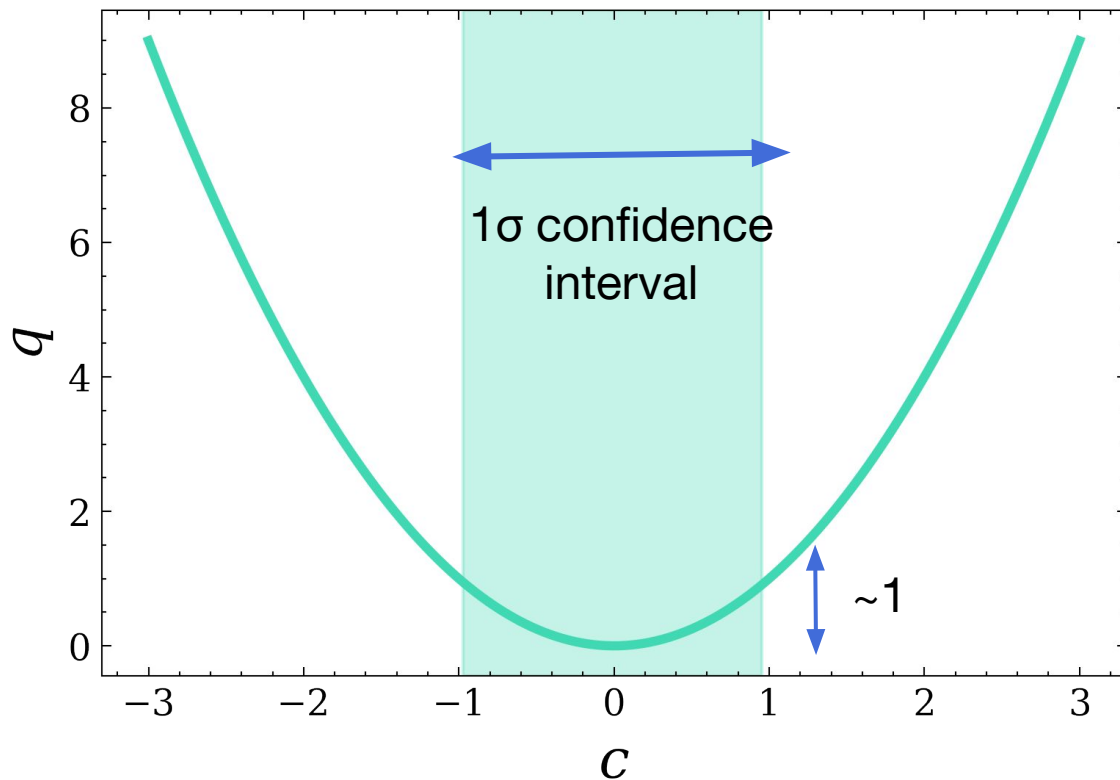
it is useful to decompose the *ratio of mixtures* into *sums of ratios of components*

$$\frac{\text{data 1}}{\text{data 0}} = \left( \frac{\text{sig 0}}{\text{sig 1}} + \frac{\text{bkg 0}}{\text{sig 1}} \right)^{-1} + \left( \frac{\text{sig 0}}{\text{bkg 1}} + \frac{\text{bkg 0}}{\text{bkg 1}} \right)^{-1}$$

These component ratios are much easier for classifiers to learn!

# Constructing confidence intervals from $q$

$$q(c|D) = -2 \ln \left( \frac{p(D|c)}{p(D|c=0)} \right)$$



# Neural networks and training

**Architecture:** Dense Neural Networks with 2 layers of 32 nodes

**Training:** batch size of 1024, weight decay  $1e-4$ , learning rate of  $1e-3$  that reduces if the validation loss stagnates. Train until validation loss stagnates for 20 epochs.

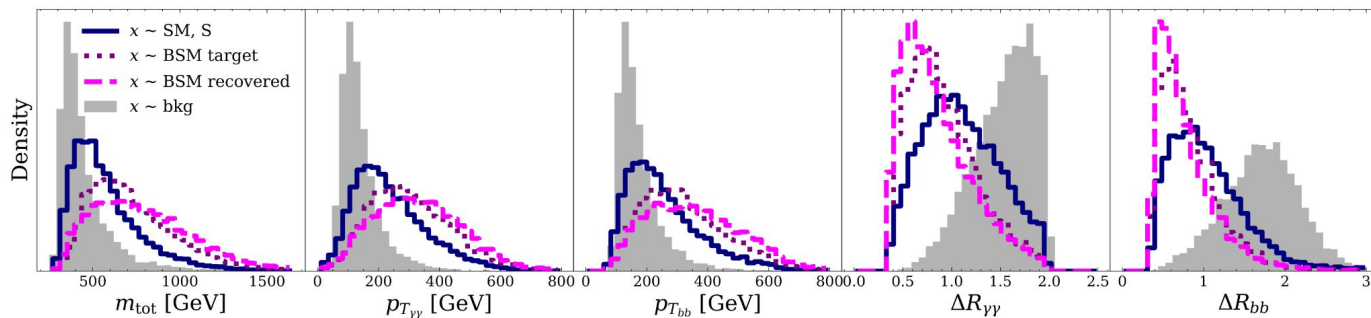
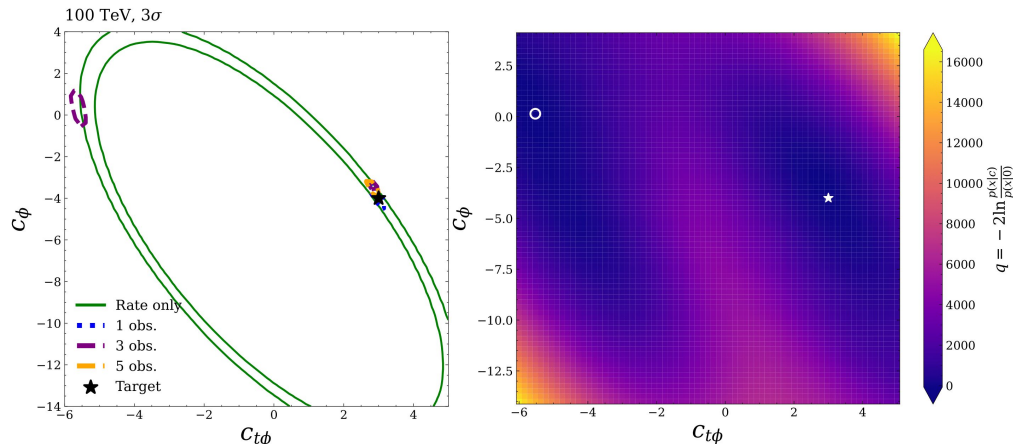
**Train-val split:** 80-20

*To mitigate the stochastic nature of network training, we **ensemble** the outputs of five networks with different initial random seeds.*

# In 2+ dimensions, coefficient recovery is harder

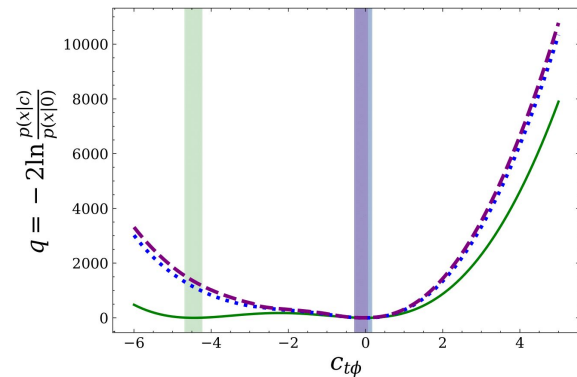
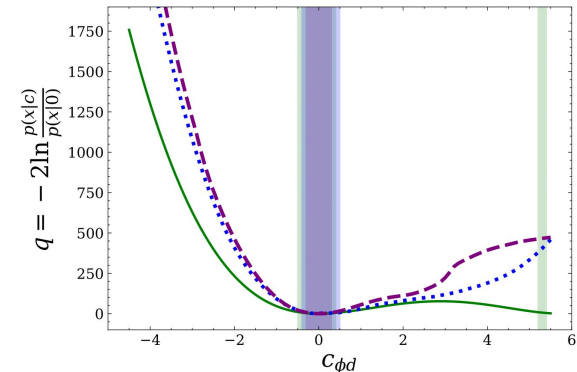
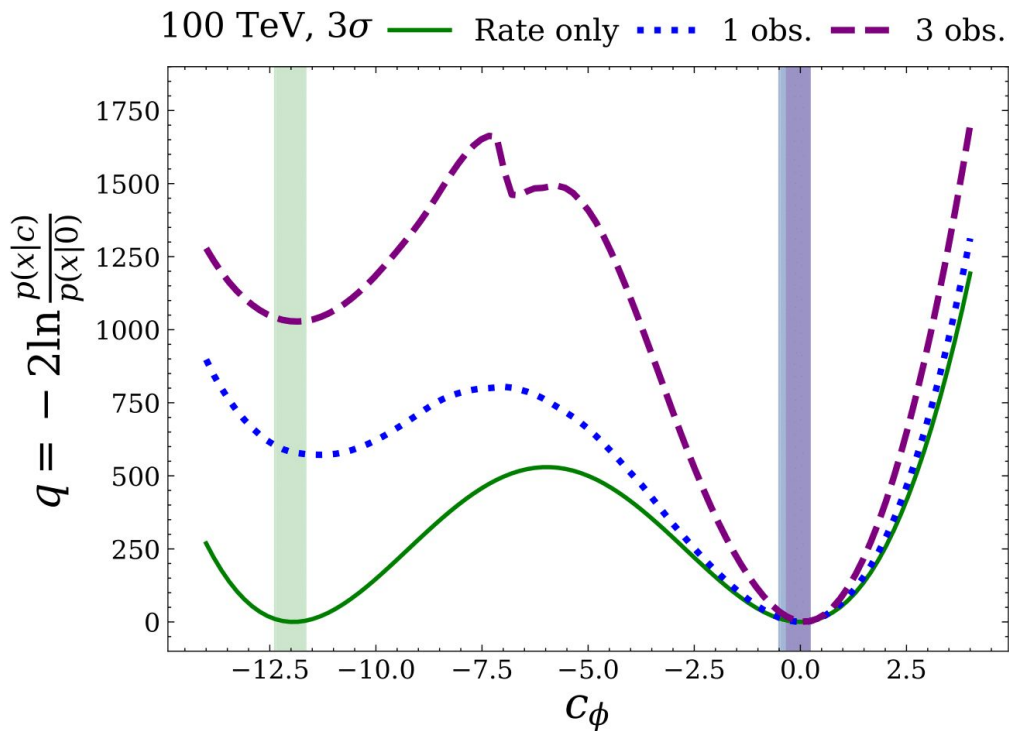
Different BSM values of the coupling can lead to similar kinematic distributions, so the loss landscape becomes multimodal!

Note that the degeneracy is resolved by using all 5 features.

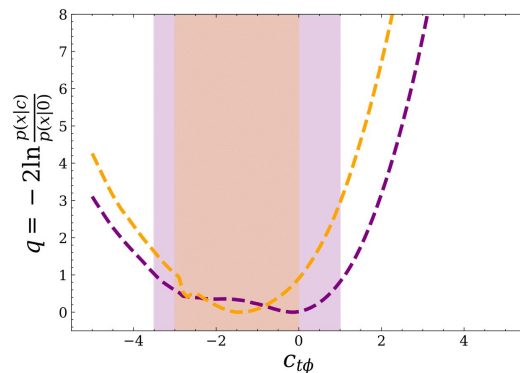
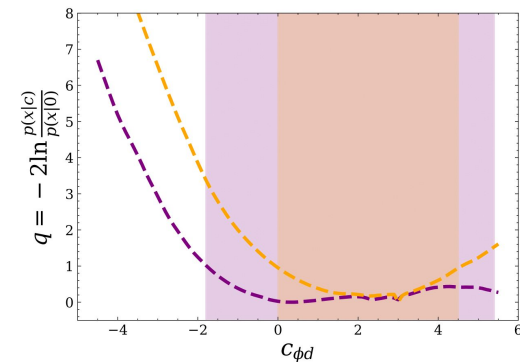
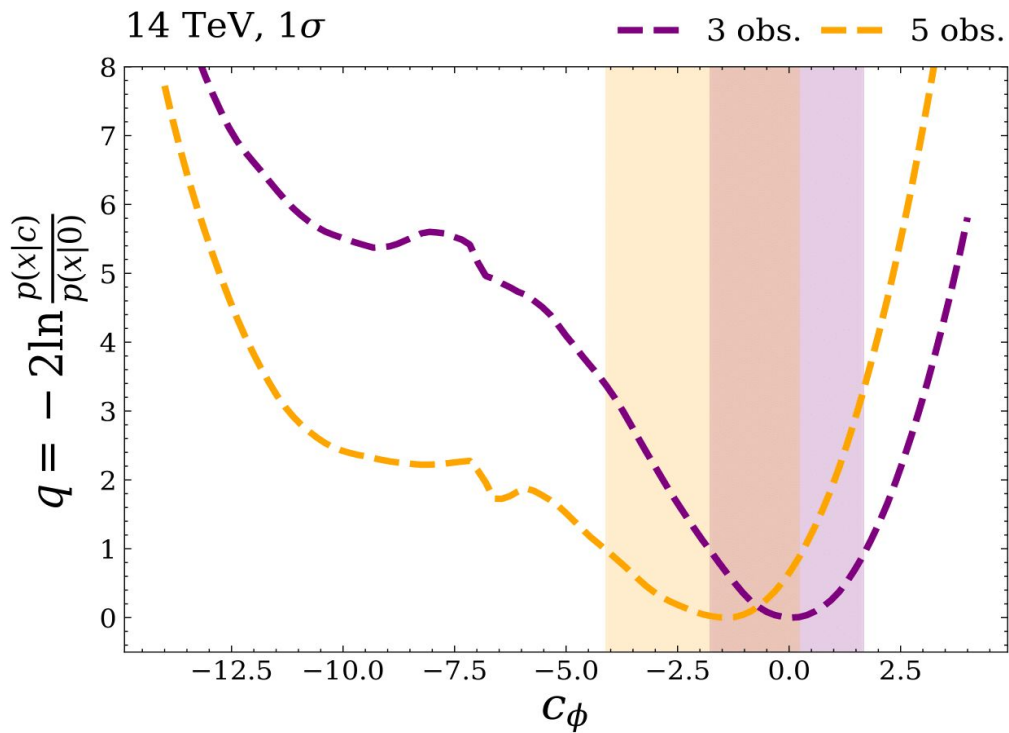




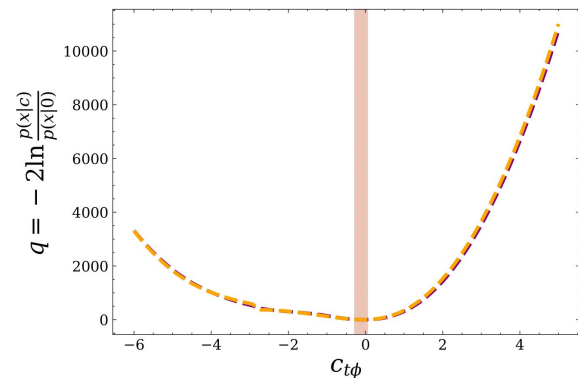
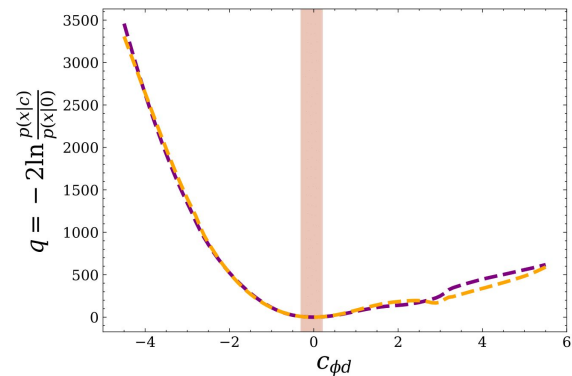
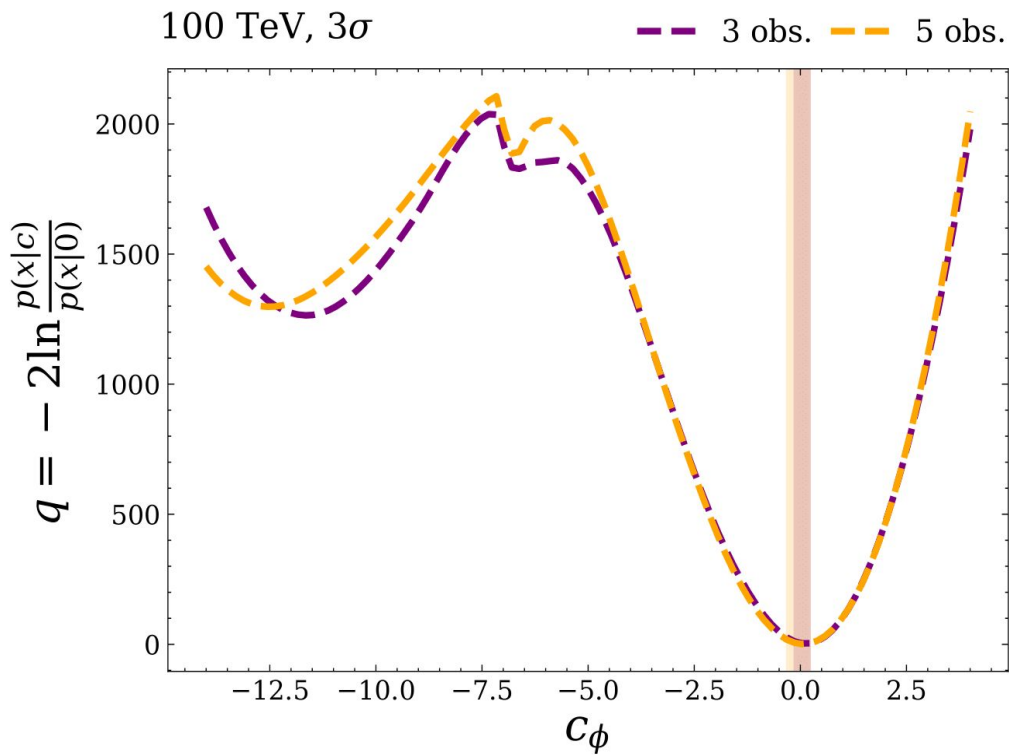
# 100 TeV Results: 1D



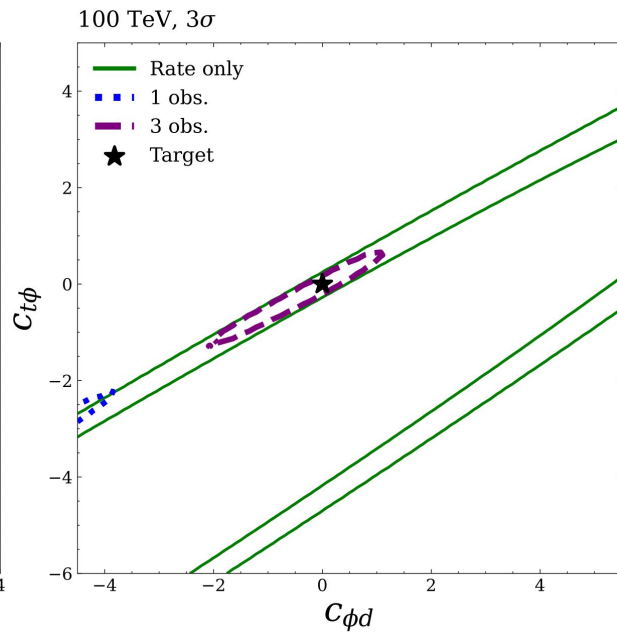
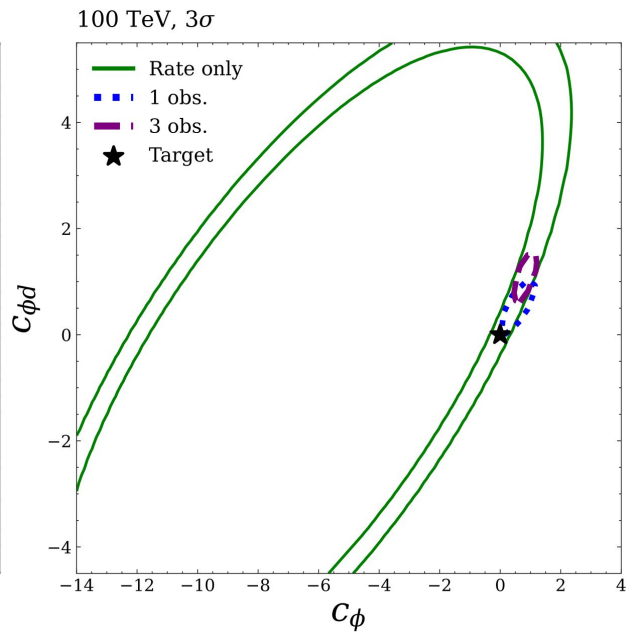
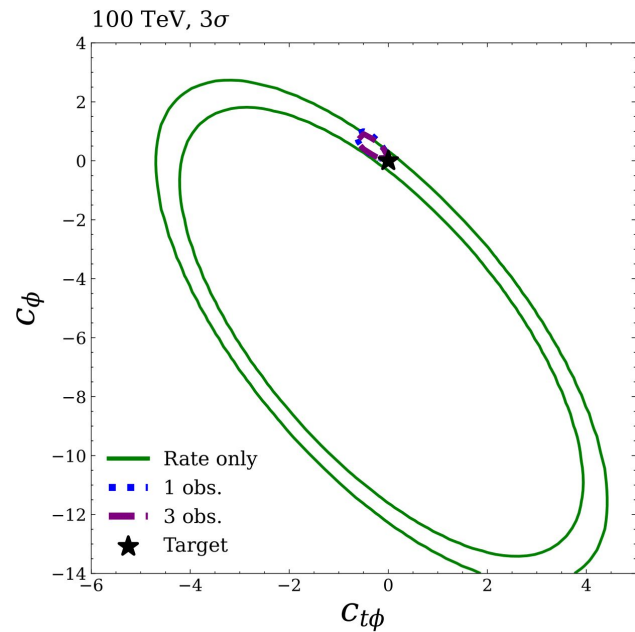
# 14 TeV Results: 1D, 5 observables



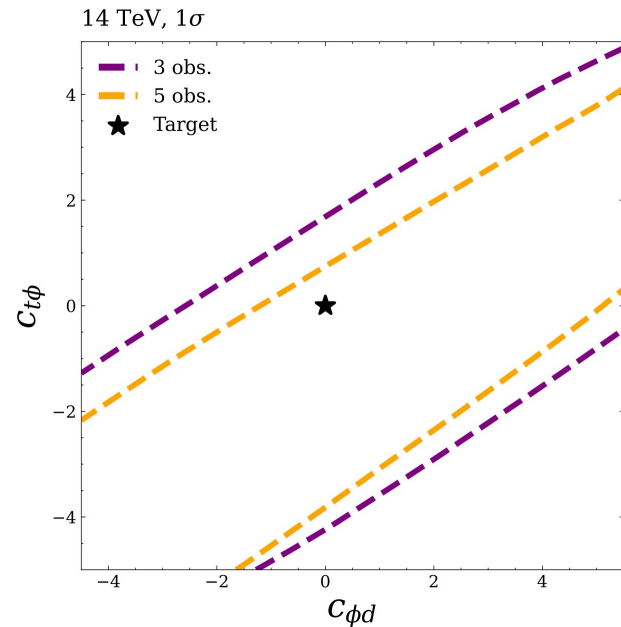
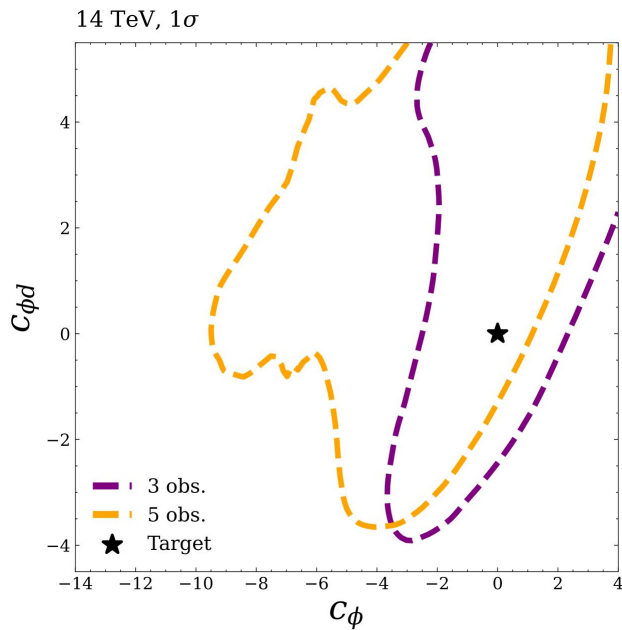
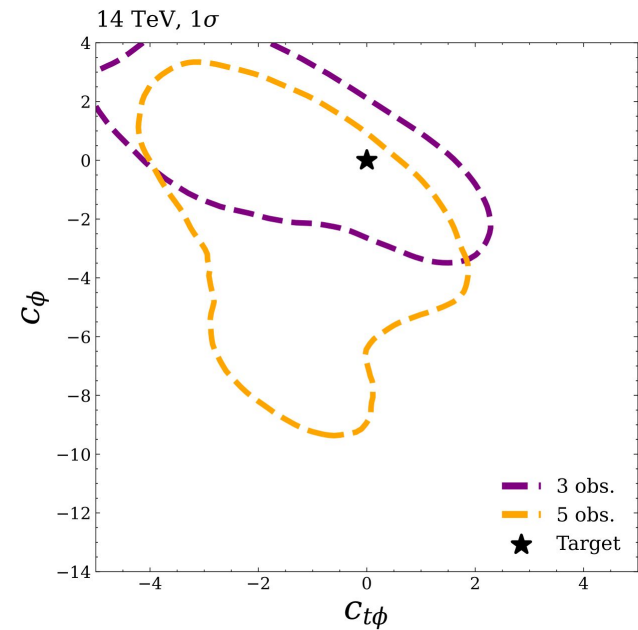
# 100 TeV Results: 1D, 5 observables



# 100 TeV Results: 2D



# 14 TeV Results: 2D, 5 observables



# 100 TeV Results: 2D, 5 observables

