

# Lorentz Group Equivariant Autoencoders

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<https://doi.org/10.1140/epjc/s10052-023-11633-5>, arXiv:2212.07347

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# Outline

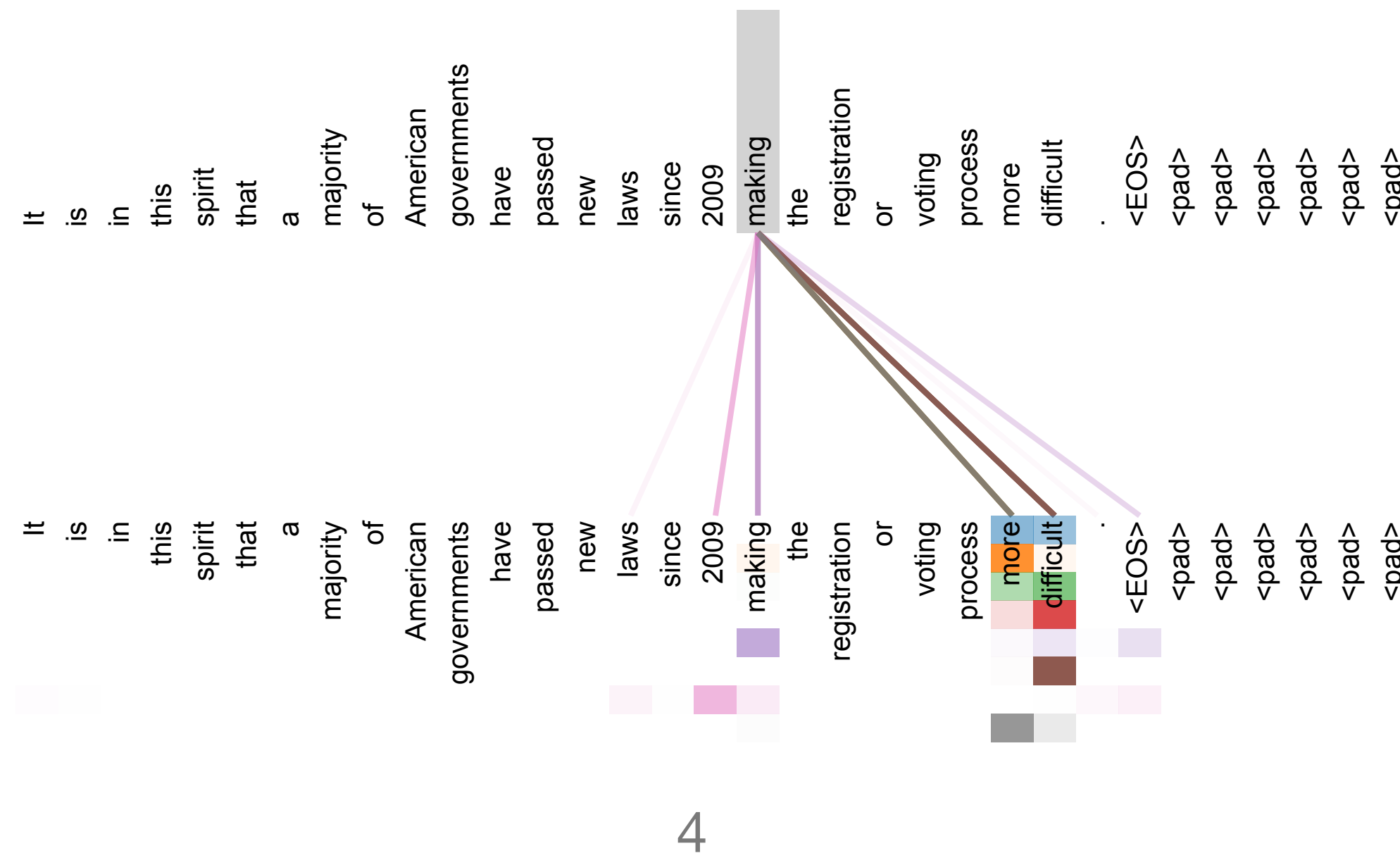
- Overview
- Experimental Results
- Conclusion

# Overview

# Embedding Inductive Biases

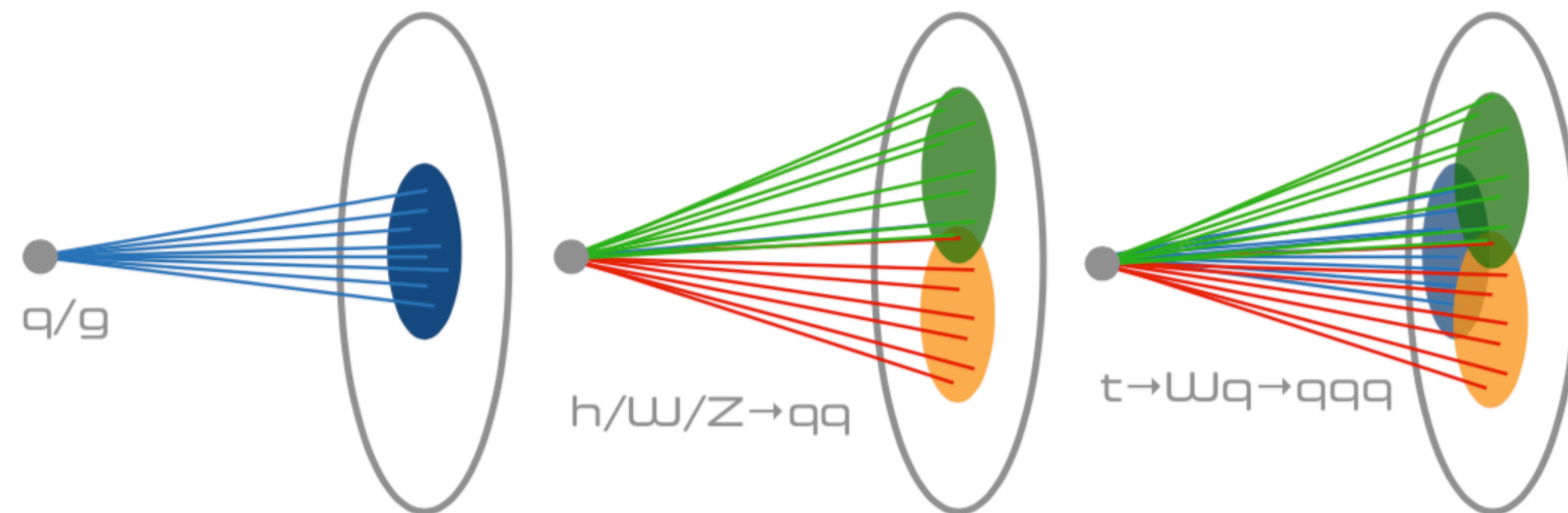
## For Natural Languages

- In deep learning, tailoring algorithms to the structure (and symmetries) of the data has led to groundbreaking performance in terms of **performance**, **interpretability**, and **data efficiency**.
- The self-attention mechanism gives rise to transformers.



# Embedding Inductive Biases For HEP

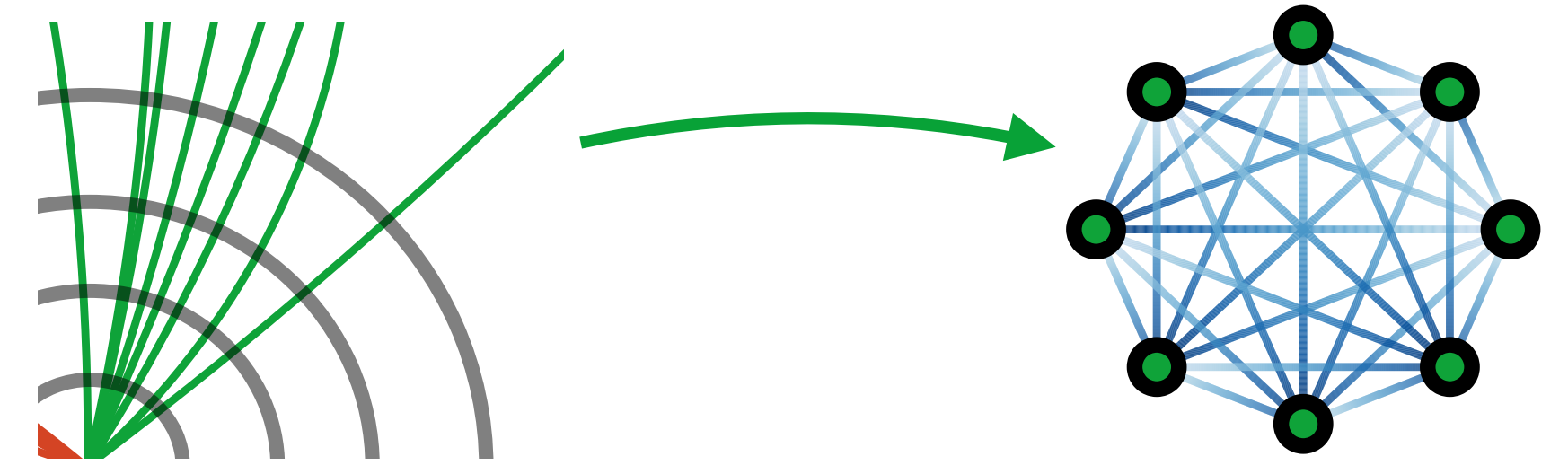
- What about HEP data like jets?



- One possible answer: graph neural networks (GNNs)

# Graph Neural Networks

## In HEP



- GNNs add **inductive biases and symmetries** into the neural network.
  - Mimics the structure of data in HEP: nodes as particles and edges as interactions.
  - Permutational symmetry: graphs have no sense of ordering.
  - Example: ParticleNet [[arXiv:1902.08570](https://arxiv.org/abs/1902.08570)] achieved by-then SOTA performance on jet tagging benchmarks.
- Another fundamental symmetry in HEP: (*approximate*) Lorentz group symmetry.
  - Example: LorentzNet [[arXiv:2201.08187](https://arxiv.org/abs/2201.08187)] and PELICAN [[arXiv:2307.16506](https://arxiv.org/abs/2307.16506)] show the advantages by achieving SOTA performance on jet tagging benchmarks.
  - An ablation study [[arXiv:2208.07814](https://arxiv.org/abs/2208.07814)] done to demonstrate the benefits of Lorentz-symmetry preservation even with detector effects

# Lorentz Group Equivariant Autoencoder (LGAE)

## Lorentz Group Network [[arXiv: 2006.04780](#)]

- Work on the irreducible representations (**irreps**) of the Lorentz group.
  - Examples: Lorentz scalars (e.g. mass) and 4-vectors (e.g. 4-momentum)
  - Input physical quantities and all intermediate features transform properly under the corresponding Lorentz transformation.
- **Graph structure**
  - Nodes as particles.
  - Edges as mutual and self interactions.

# Lorentz Group Network

## Lorentz Group Equivariant Message Passing (LMP) Layers

$$\mathcal{F}_i^{(t+1)} = \text{MixReps} \left( \mathcal{F}_i^{(t)} \oplus \text{CG} \left[ \left( \mathcal{F}_i^{(t)} \right)^{\otimes 2} \right] \oplus \text{CG} \left[ \sum_{j \neq i} f(p_{ij}^2) p_{ij} \otimes \mathcal{F}_j^{(t)} \right] \right).$$

**New node feature**  
**Old node features\***  
**Self interaction**  
**Mutual interaction**  
**Linear mixing**  
 (Only mixing features from the same representation space)  
**Clebsch-Gordan decomposition**  
 $p_{ij} \equiv p_i - p_j$

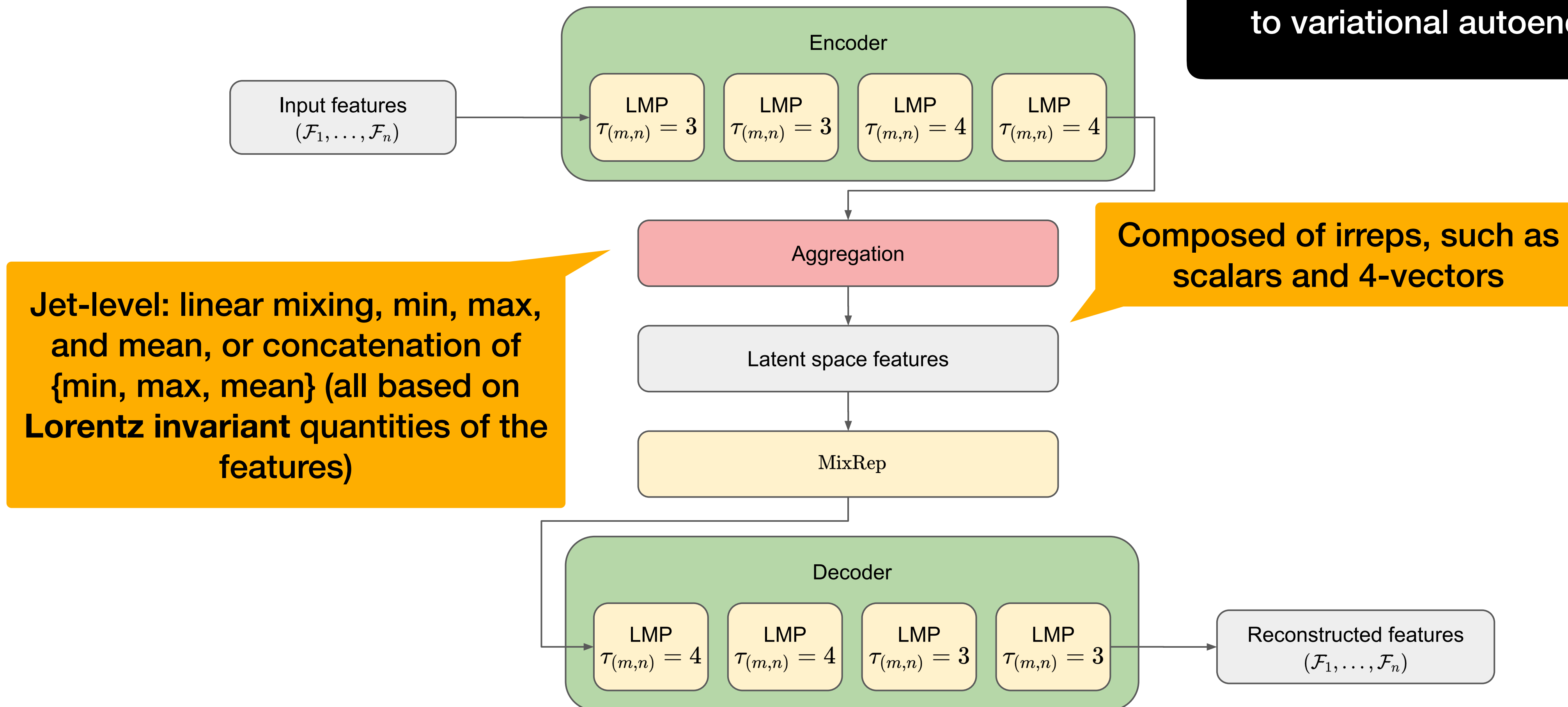
\* Each  $(m, n)$  irrep space in  $\mathcal{F}_i^{(t)}$  contains  $\tau_{(m,n)}^{(t)}$  channels (similar idea with CNNs)



# Lorentz Group Equivariant Autoencoder (LGAE)

## Architecture

Applications: compressions, anomaly detections, data generations (if adapted to variational autoencoders), etc.



# Lorentz Group Equivariant Autoencoder (LGAE)

## Autoencoders as Anomaly Detectors

- Trained to reconstruct background data.
- The autoencoder has **never** seen signal data.
  - Expect a **worse** reconstruction performance.
  - Use the reconstruction score (e.g. MSE) as an anomaly metric.
- Example: AXOL1TL (Level-1 Trigger at the CMS Experiment)

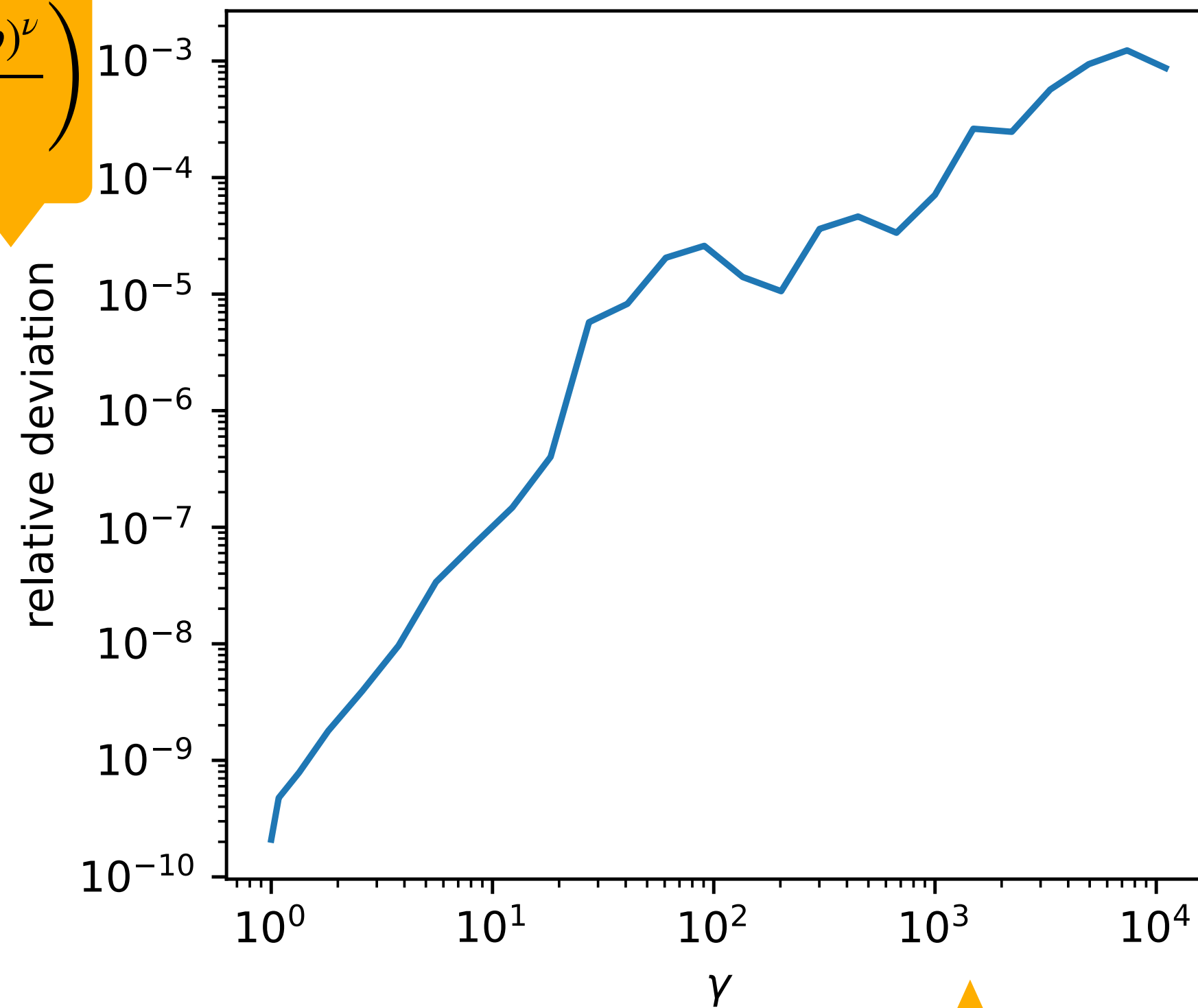
# Experimental Results

# Experiment

## Lorentz Group Equivariance Test

We expect  $\text{LGAE}(\Lambda^\mu_\nu p^\nu) = \Lambda^\mu_\nu \text{LGAE}(p^\nu)$

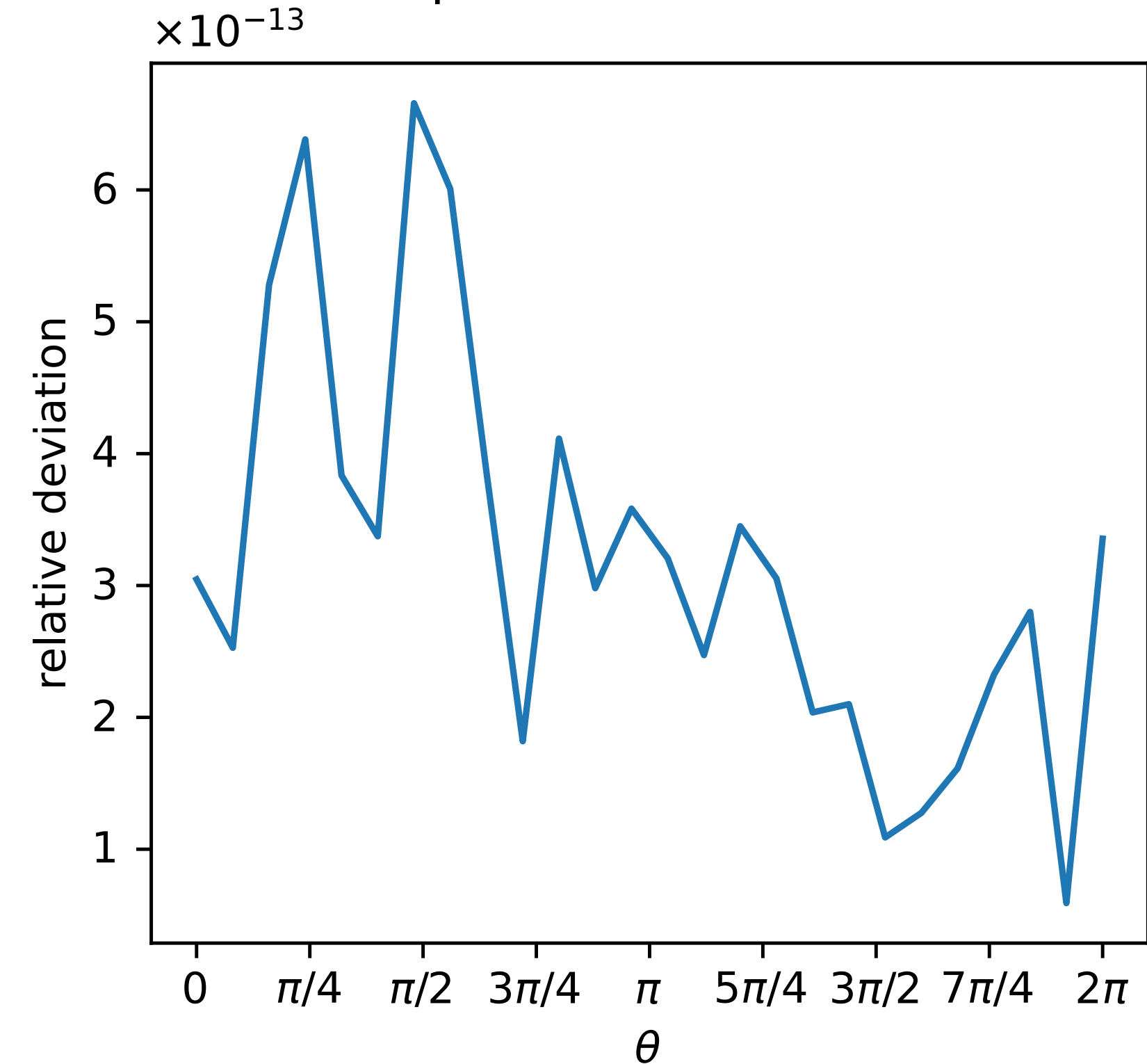
Boost Equivariance Test of 4-Vectors



$v = 0.99999999917553856c$

12

Rotation Equivariance Test of 4-Vectors



Equivariant up to numerical errors

mean  $\left( \frac{\text{LGAE}(\Lambda^\mu_\nu p^\nu) - \Lambda^\mu_\nu \text{LGAE}(p^\nu)}{\Lambda^\mu_\nu \text{LGAE}(p^\nu)} \right)$   
 $p \in J$   
 $\mu \in \{0,1,2,3\}$

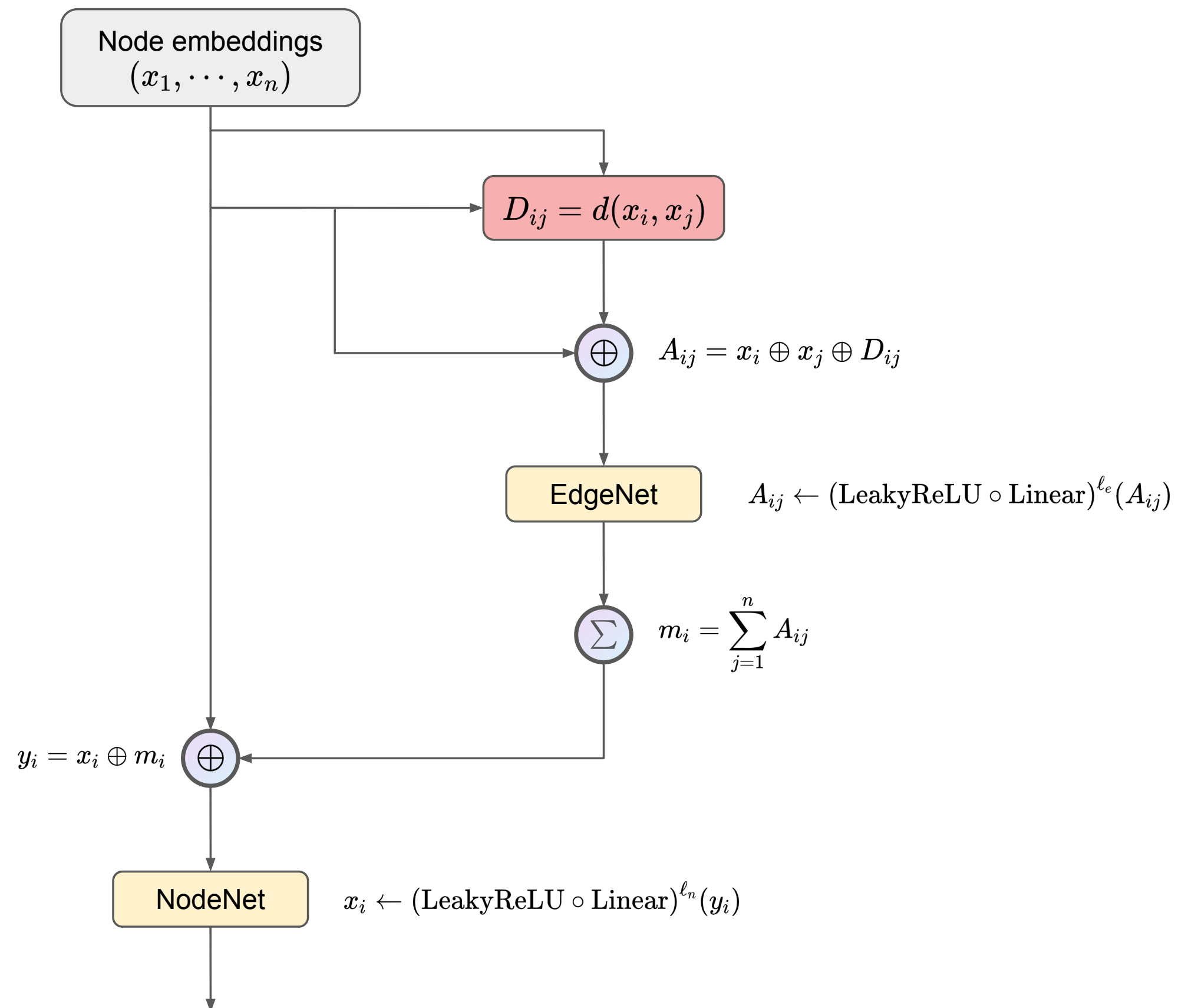
# Description

## Settings

- `JetNet` dataset (Detailed description: <https://jet-net.github.io/jetnet/>)
  - Gluon, top quark, light quark,  $W$  boson, and  $Z$  boson jets with  $\mathcal{O}(1 \text{ TeV})$  transverse momentum, produced in  $13 \text{ TeV}$  proton-proton collisions in a simplified detector, with 170k-180k jets per category.
- Training data: gluon and light quark jets (QCD) from the `JetNet` dataset.
- Signal jets for anomaly detection: top quark,  $W$  boson, and  $Z$  boson jets.
- Baseline models
  - Fully connected message-passing, graph neural network autoencoder (GNNAE) adapted from [\[arXiv:2012.00173\]](#) and [\[arXiv:2111.12849\]](#)
  - Convolutional neural network autoencoder (CNNAE)

# Model

## Baseline: GNNAE



- Fully connected message passing graph neural network adapted from [arXiv:2012.00173](https://arxiv.org/abs/2012.00173)
- Aggregation
  - Jet-level (**GNNAE-JL**): mean aggregation
    - Permutation invariant
  - Particle-level (**GNNAE-PL**): node-wise linear mixing, based on high-performing PGAE network [[arXiv:2111.12849](https://arxiv.org/abs/2111.12849)]
    - Permutation equivariant

# Model

## Summary of Equivariance of Selected Models

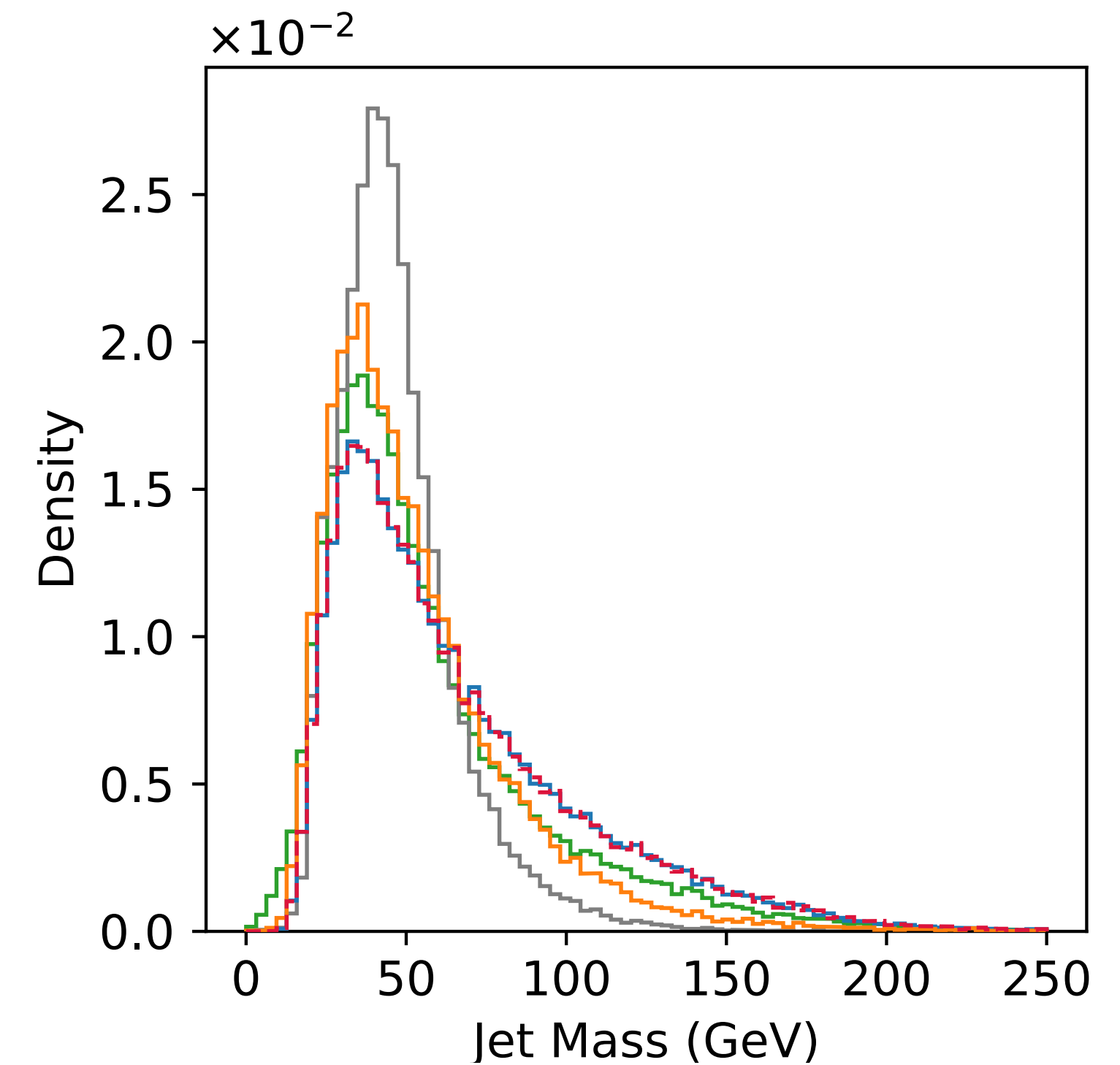
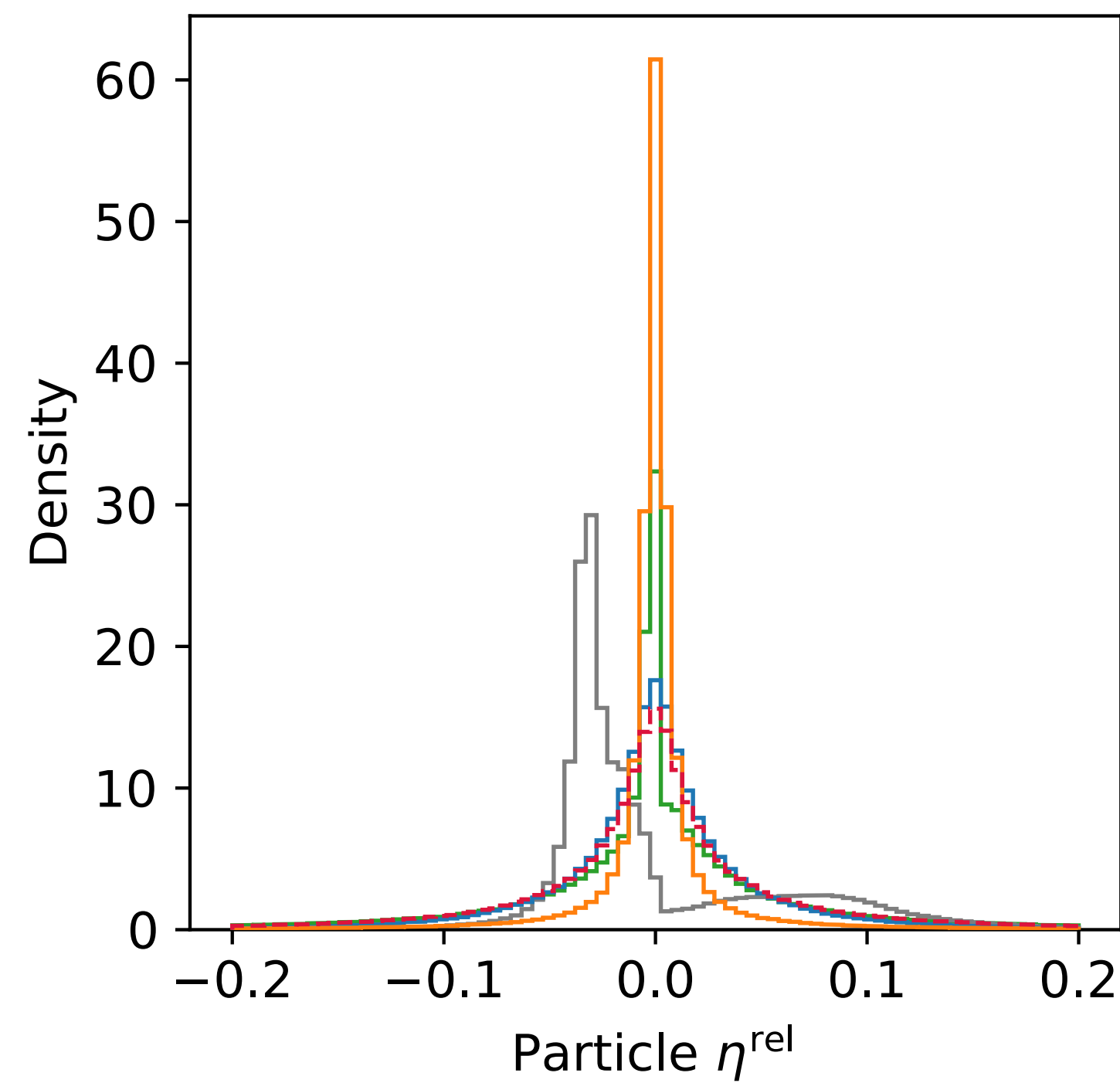
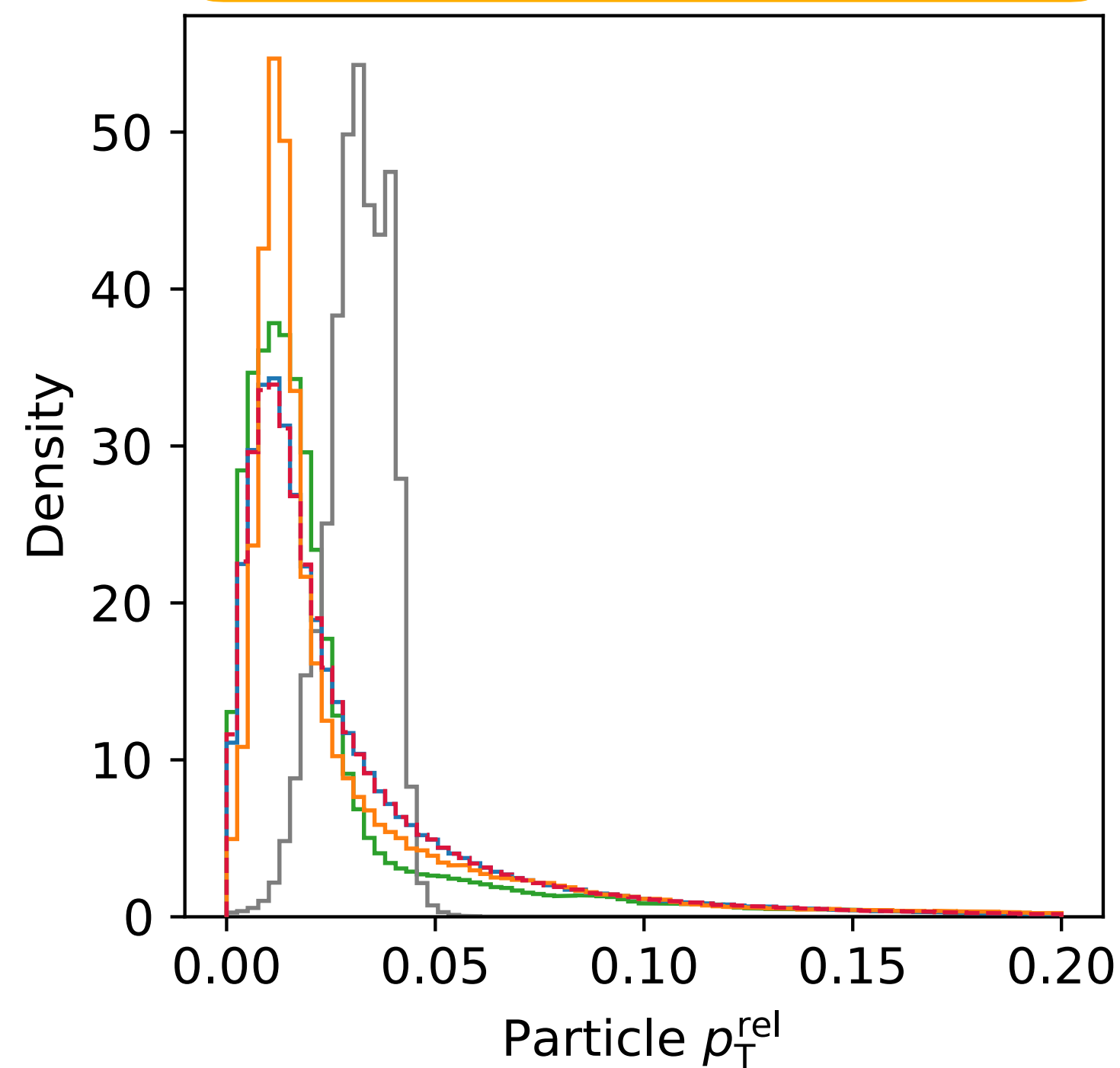
Model	Aggregation	Model's Name	Lorentz Symmetry	Permutation Symmetry
<b>LGAE</b>	Mix	LGAE-Mix	✓ (equivariance)	✗
	Min⊕Max	LGAE-Min-Max	✓ (equivariance)	✓ (invariance)
<b>GNAE</b>	Particle level	GNAE-PL	✗	✓ (equivariance)
	Jet level	GNAE-JL	✗	✓ (invariance)

# Reconstruction

## Particle- and Jet-Level Features

LGAE-Mix has the best reconstruction performance in terms of the particle- and jet-level feature distribution

Compression level  $\approx 60\%$





# Reconstruction

## Quantitative Measures

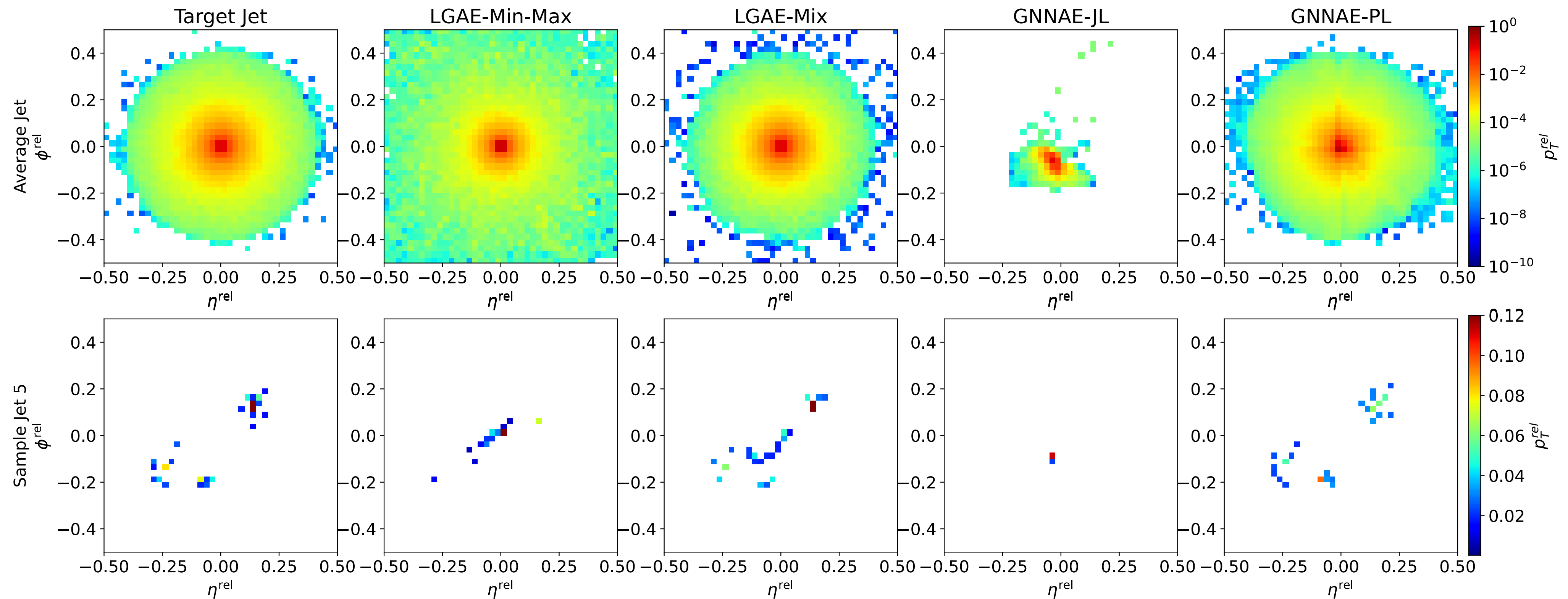
Model	Aggregation	Latent space	Jet mass		Jet $p_T$		Jet $\eta$		Jet $\phi$	
			Median	IQR	Median	IQR	Median	IQR	Median	IQR
LGAE	Min-max	$\tau_{(1/2,1/2)} = 4$ (56.67%)	0.096	0.134	0.097	0.109	$< 10^{-3}$	0.004	$< 10^{-3}$	0.002
		$\tau_{(1/2,1/2)} = 7$ (96.67%)	-0.139	0.287	-0.221	0.609	$< 10^{-3}$	0.021	$< 10^{-3}$	0.007
	Mix	$\tau_{(1/2,1/2)} = 9$ (61.67%)	$< 10^{-3}$	<b>0.003</b>	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$
		$\tau_{(1/2,1/2)} = 13$ (88.33%)	$< 10^{-3}$	<b>0.003</b>	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$	$< 10^{-3}$
GNNAE	Jet-level	$\dim(L) = 45$ (50.00%)	0.326	0.667	0.030	0.088	0.005	0.040	0.001	0.021
		$\dim(L) = 90$ (100.00%)	3.7	2.6	0.030	0.089	0.292	0.433	0.006	0.021
	Particle-level	$\dim(L) = 2 \times 30$ (66.67%)	0.277	0.299	0.037	0.110	0.002	0.010	-0.001	0.005
		$\dim(L) = 3 \times 30$ (100.00%)	0.339	0.244	0.050	0.094	-0.001	0.011	$< 10^{-3}$	0.005
CNNAE	Linear layer	$\dim(L) = 55$ (61.67%)	-0.030	0.042	-0.021	0.017	$< 10^{-3}$	0.017	$< 10^{-3}$	0.003

# Reconstruction

## Reconstruction of Jet Images

Compression level  $\approx 60\%$

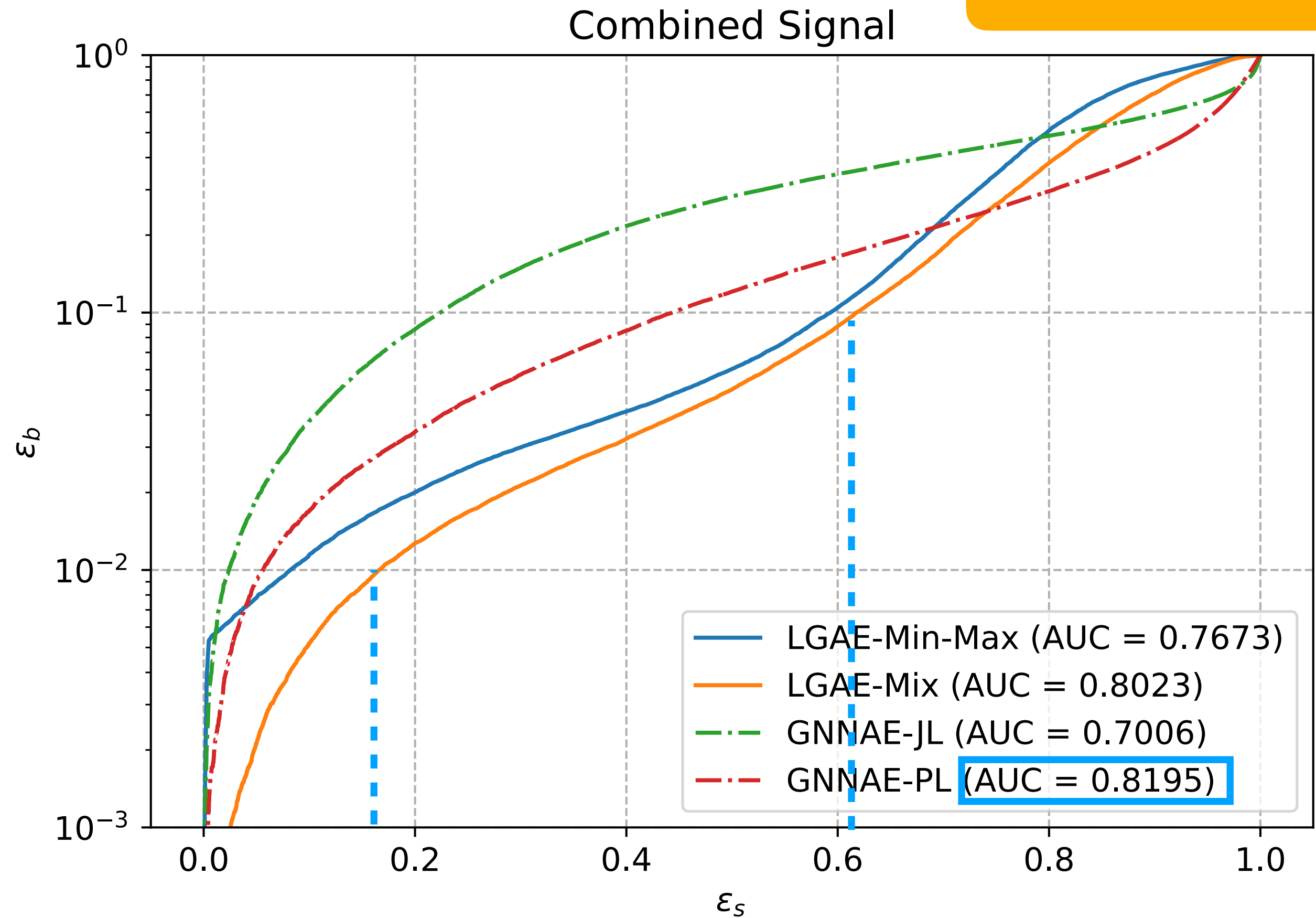
Symmetry is respected even though reconstruction is not ideal



# Anomaly Detection

## Tagging All Signals (Top, W, and Z Combined)

LGAEs have better  $\epsilon_s$  at given  $\epsilon_b$ 's

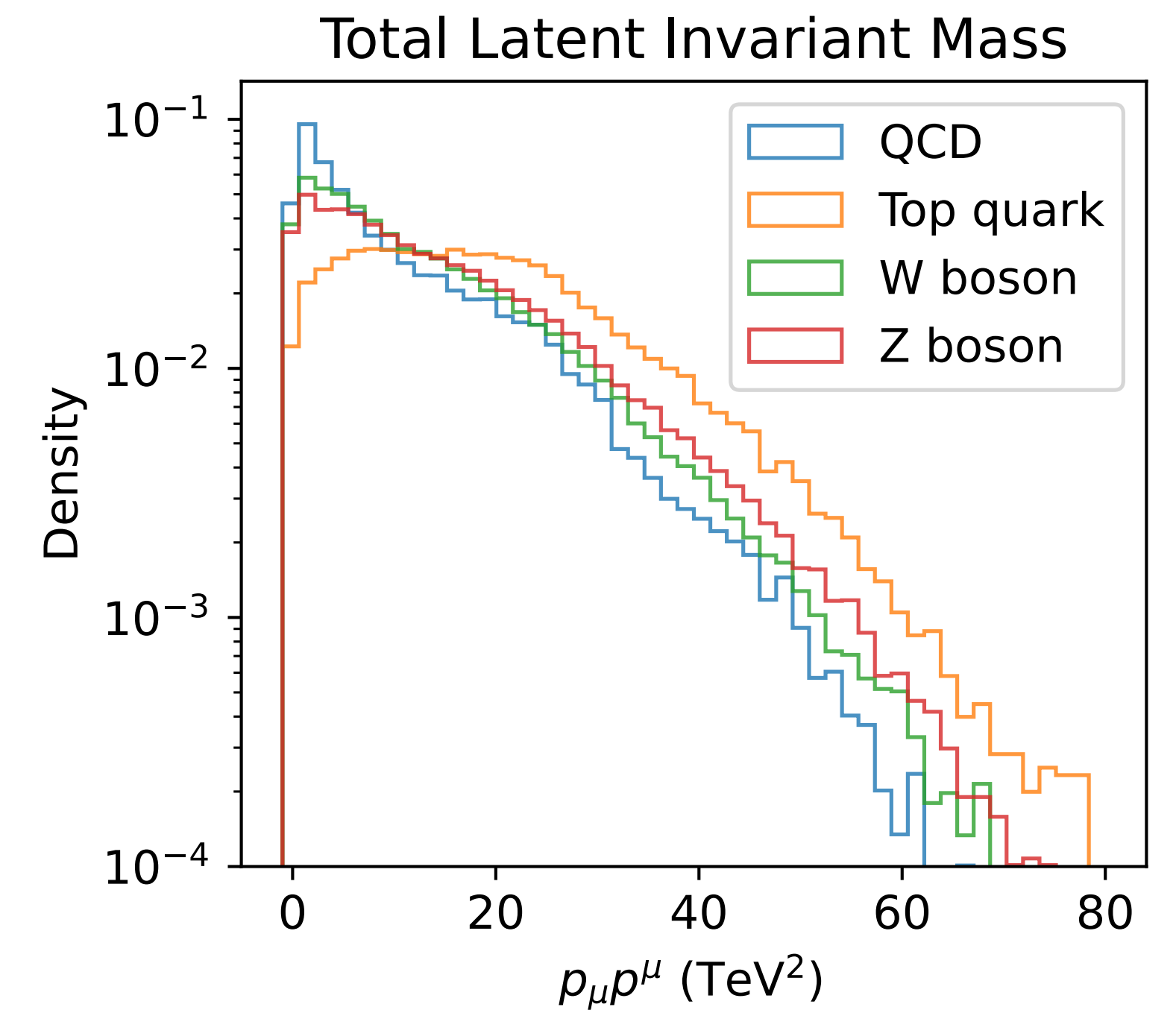
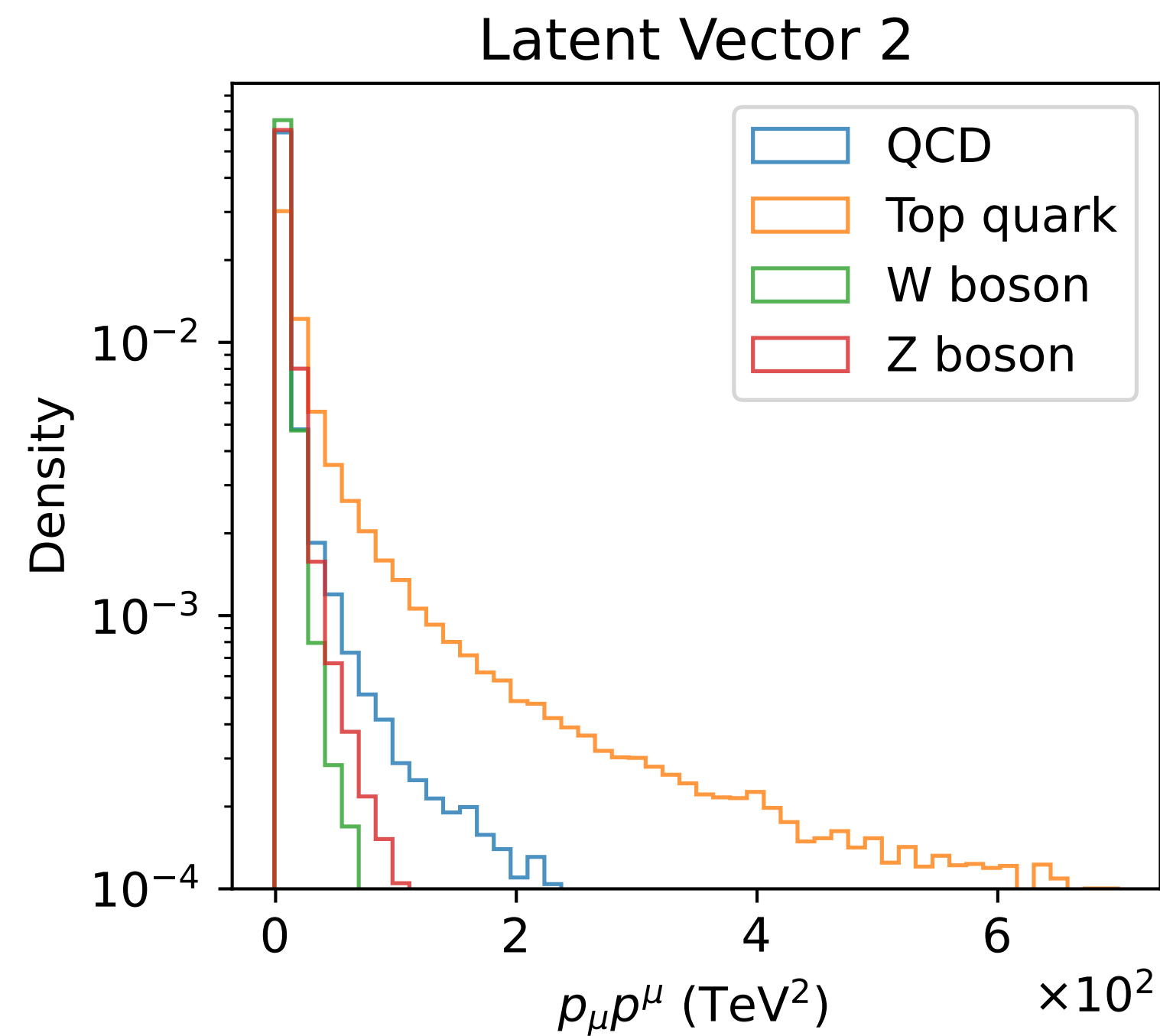
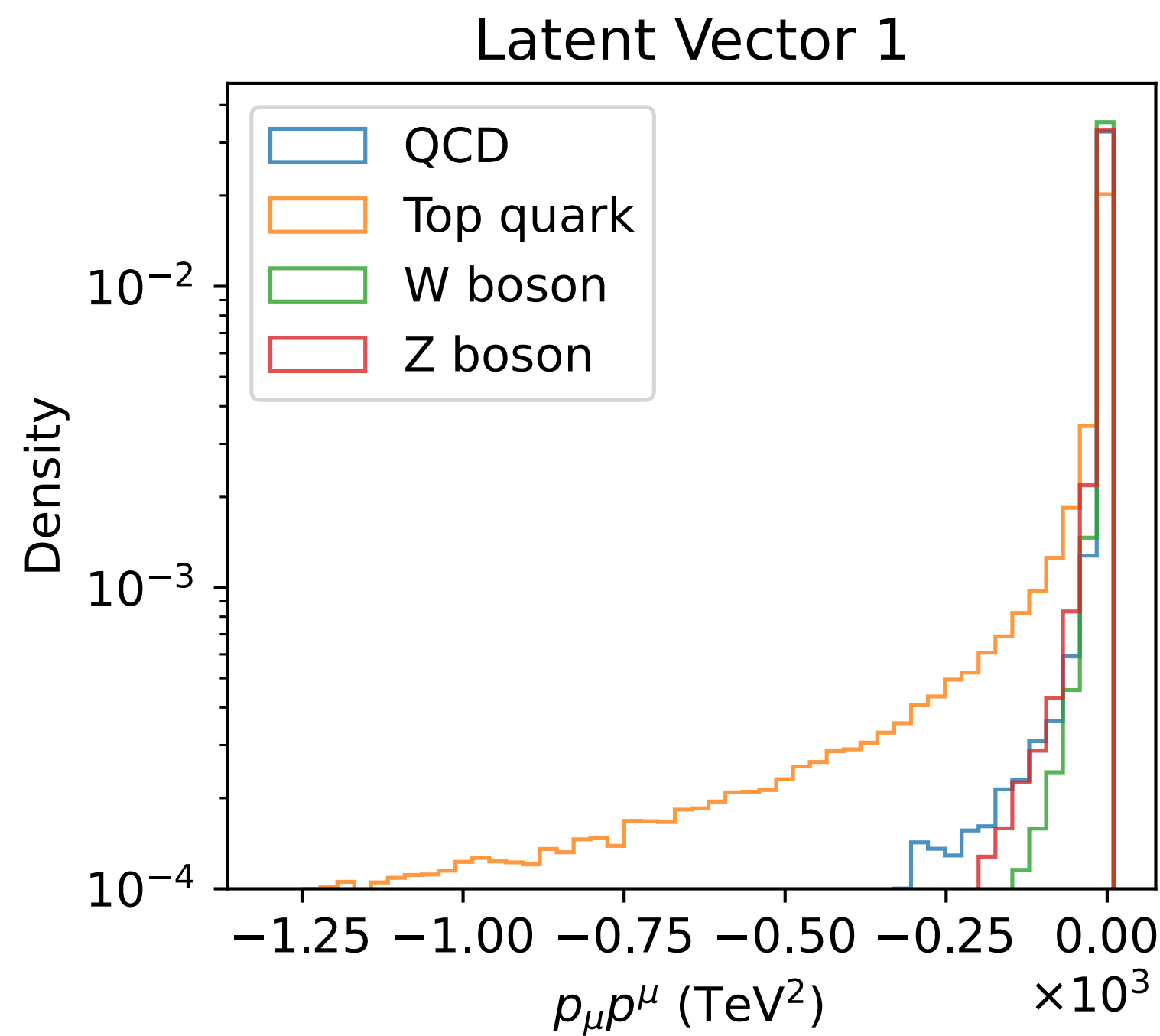


Score: MSE

# Latent Space Analysis

## Distributions of Derived Quantities

The representations are Lorentz scalars and 4-vectors!

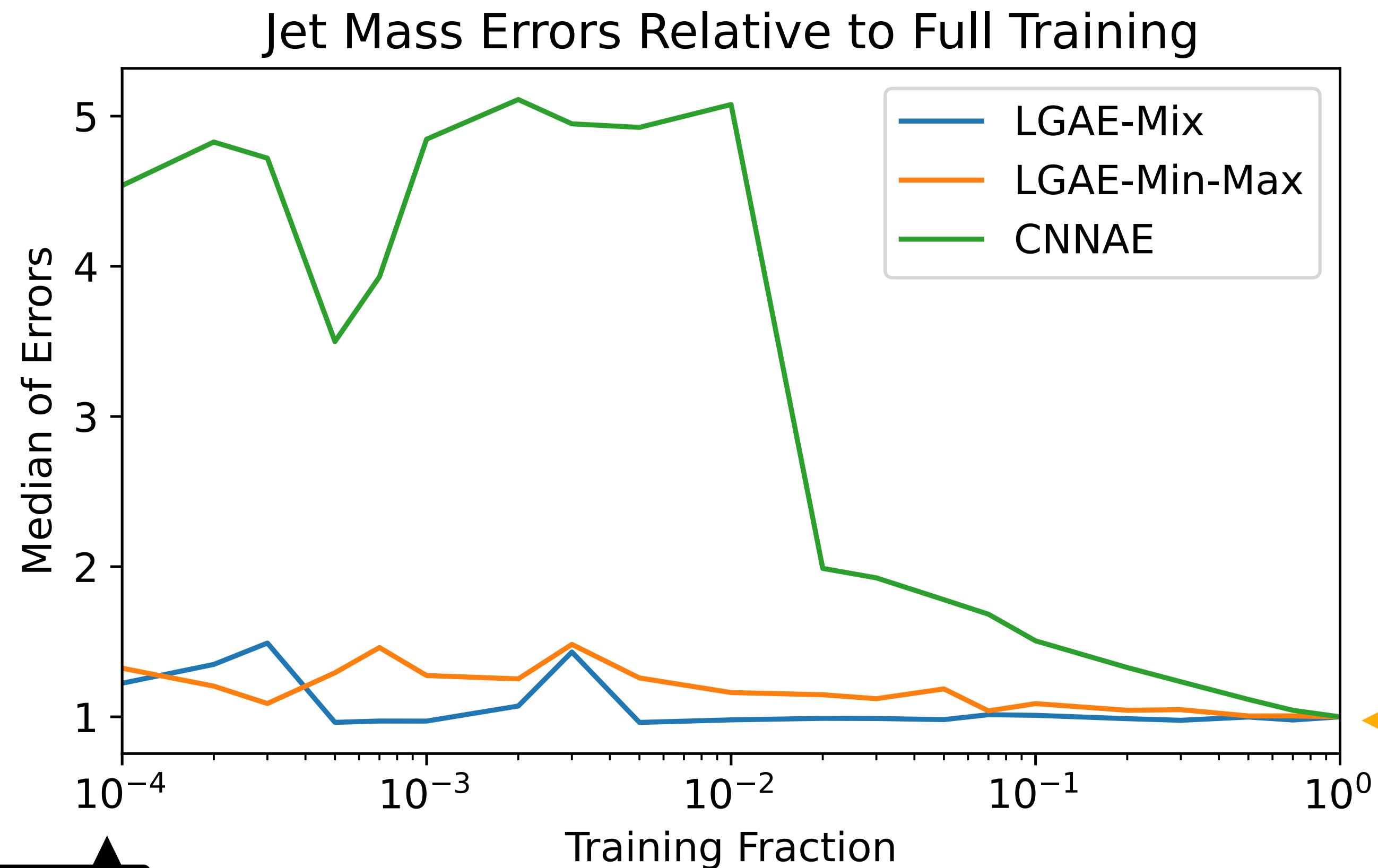


Possibly why top tagging has the best ROC curve

# Data Efficiency

## Generalizability: What If We Train the Model with Less Data?

$$\delta = \left| \frac{M_{\text{reco}} - M_{\text{true}}}{M_{\text{true}}} \right|$$



Normalized by the error with 100% training dataset

@(10) training jets

**Conclusion**

# Conclusion

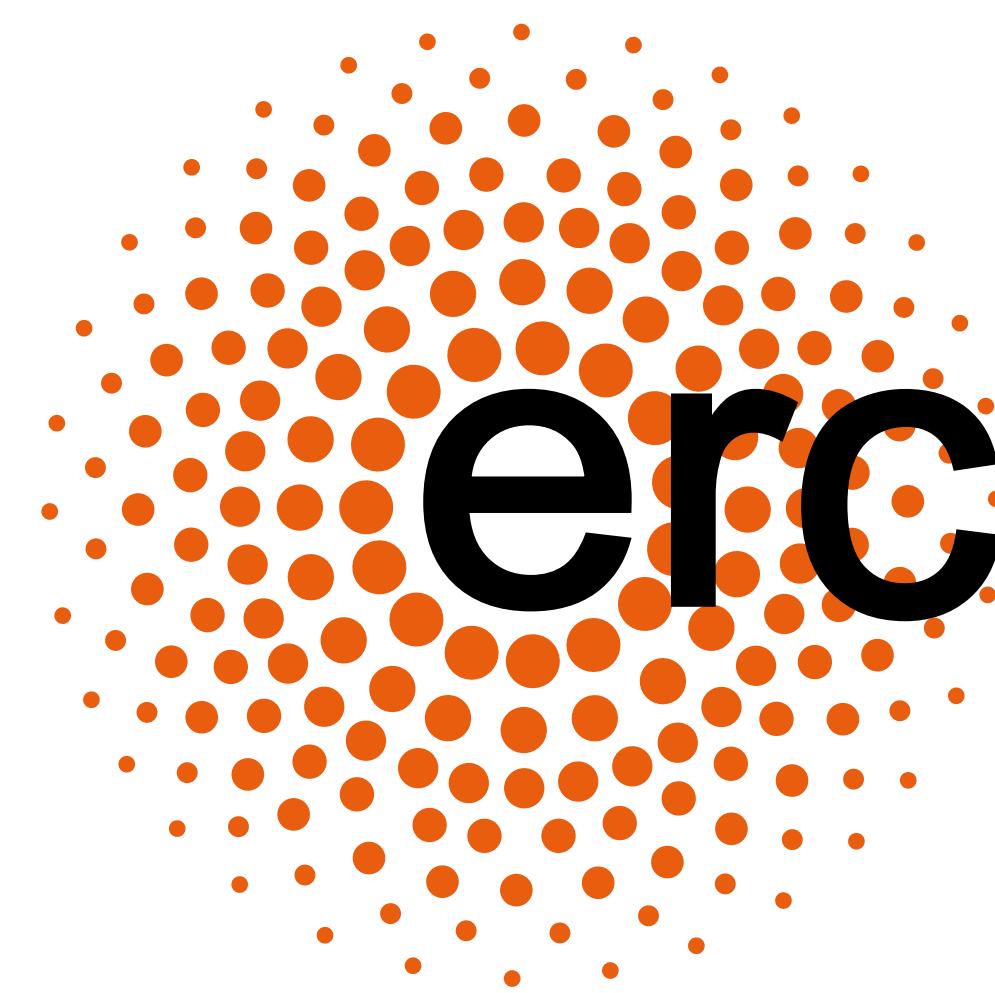
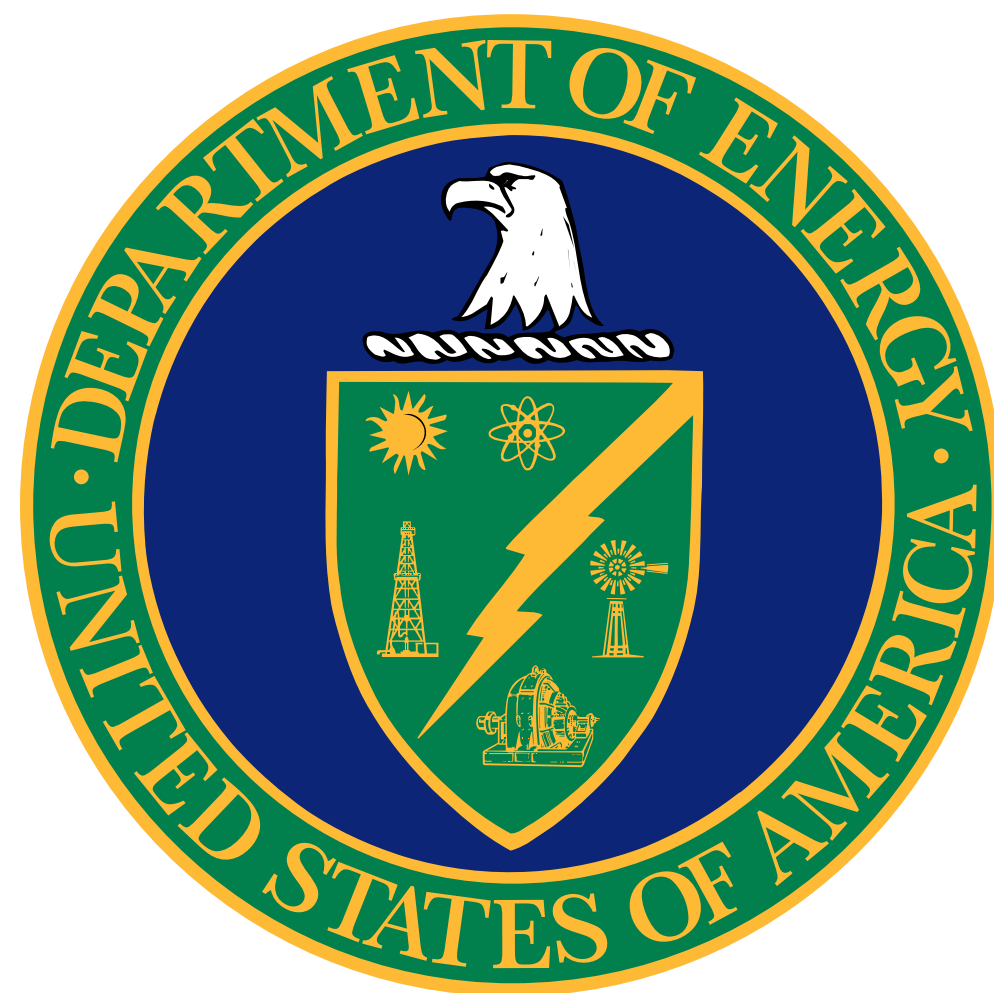
## Takeaways and Next Steps

- Adding inductive biases and symmetry has shown to improve NNs in terms of performance, interpretability, and data efficiency.
- We embedded **Lorentz symmetry** into an autoencoder.
- LGAE-Mix model has a **better performance in reconstruction and anomaly detection** (in a HEP context) than the baseline GNNAEs.
- The LGAEs have a **promising interpretability** in latent space and more data efficient.
- Possible future works: further latent space analysis and LorentzNet-based autoencoders.

# Conclusion

## Funding Acknowledgement

- This work was supported by **US DOE** (No. DE-AC02-07CH11359, No. DE-SC0021187, and No. DE-SC0021396), **US NSF** (OAC-2117997), and the **European Research Council** (Grant Agreement No. 772369).





**Backup**

# Lorentz Group

## Irreducible Representations for Small $(j^+, j^-)$

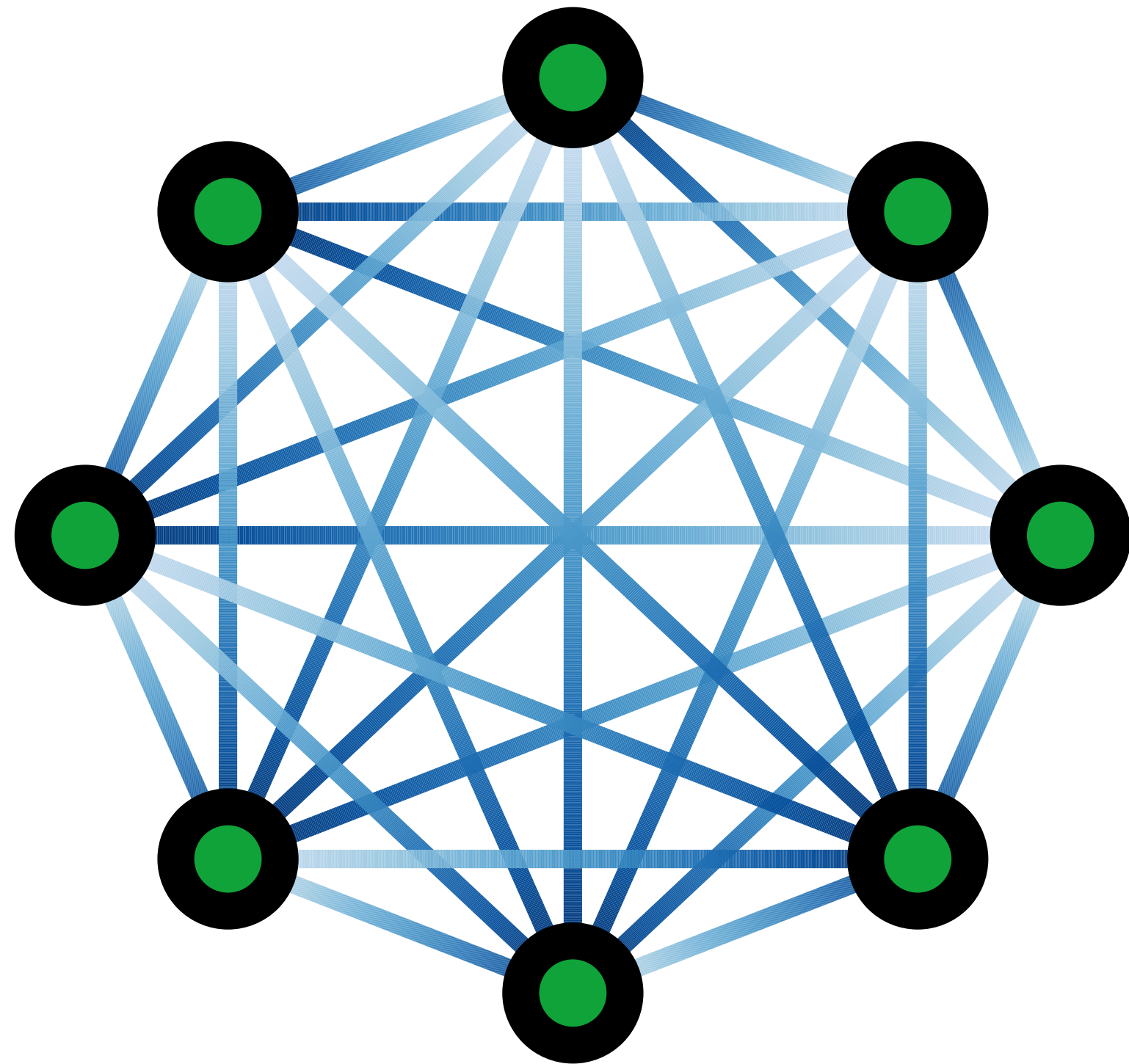
- Classified by two half integers:  $(j^+, j^-)$ .

	$j^+ = 0$	$j^+ = \frac{1}{2}$	$j^+ = 1$	$j^+ = \frac{3}{2}$
$j^- = 0$	<b>Scalar</b> Dimension: 1	<b>Left-handed Weyl spinor</b> Dimension: 2	<b>Self-dual 4-form</b> Dimension: 3	Dimension: 4
$j^- = \frac{1}{2}$	<b>Right-handed Weyl spinor</b> Dimension: 2	<b>4-vector</b> Dimension: 4	Dimension: 6	Dimension: 8
$j^- = 1$	<b>Anti-self-dual 4-form</b> Dimension: 3	Dimension: 6	<b>Traceless symmetric tensor</b> Dimension: 9	Dimension: 12
$j^- = \frac{3}{2}$	Dimension: 4	Dimension: 8	Dimension: 12	Dimension: 16

# Model

## Graph Neural Networks

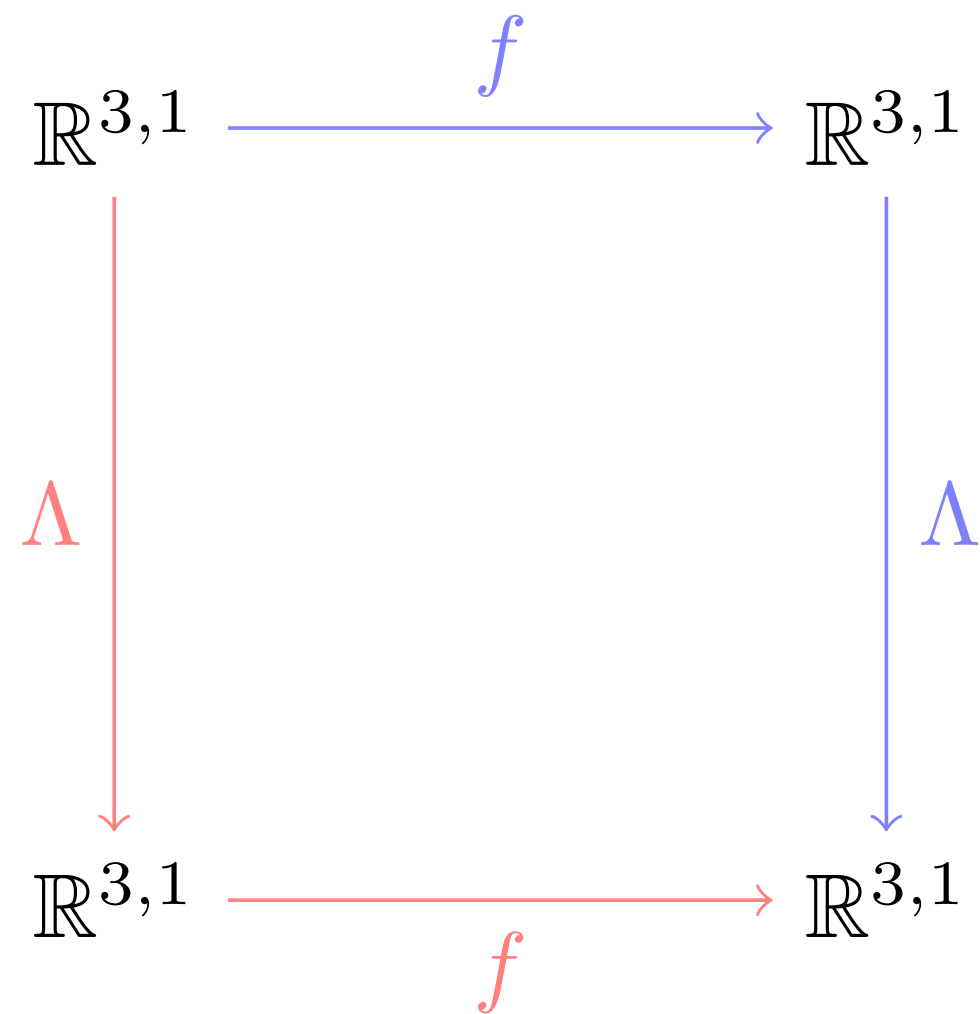
$$p = [E, p_x, p_y, p_z] \equiv [p_T, \eta, \phi, m]$$



- $G = \{V, E\}$ , possibly with global features
- Node features  $\mathbf{v}_i$ : particle 4-momentum
- Edge features  $\mathbf{e}_{ij}$ 
  - distance between particles
  - interactions between particles
- Graph (global) features  $\mathbf{u}$ : jet mass

# Model

## Embedding Lorentz Group Symmetry



$$\Lambda \cdot f(p) = f(\Lambda \cdot p)$$

- Method: **equivariance** with respect to the Lorentz group.
- Common approaches of achieving equivariance
  - Group convolutional kernels: generalization of CNN.
  - Fourier space: decomposition into **irreducible representations** (irreps).
- Advantages of achieving equivariance
  - Data efficiency
  - Interpretability

# Model

## Choices of Aggregation in LGAE

- Linear mixing (LGAE-Mix): concatenate nodes and linearly mix.
  - **Note:** We are imposing a specific order, so it breaks the permutation symmetry.
- Max/Min/Mean pooling.
  - Min/Max with respect to the Lorentz scalars.
  - Can concatenate these, such as  $\min \oplus \max$  and  $\min \oplus \max \oplus \text{mean}$ .

# Experiment

## Settings

- Loss functions

- LGAE-Mix, GNNAE-PL, and CNNAE: MSE

- LGAE-Min-Max and GNNAE-PL: Chamfer loss

$$\mathcal{L}_{\text{chamfer}}(J_1, J_2) = \sum_{p_1 \in J_1} \min_{p_2 \in J_2} |p_1 - p_2|^2 + \sum_{p_2 \in J_2} \min_{p_1 \in J_1} |p_1 - p_2|^2.$$

- Alternatives

- Energy mover distance (EMD) [[arXiv:1902.02346](https://arxiv.org/abs/1902.02346)]: difficult computationally.
- Hungarian loss (our implementation [here](#)): difficult to converge.

# Experiment

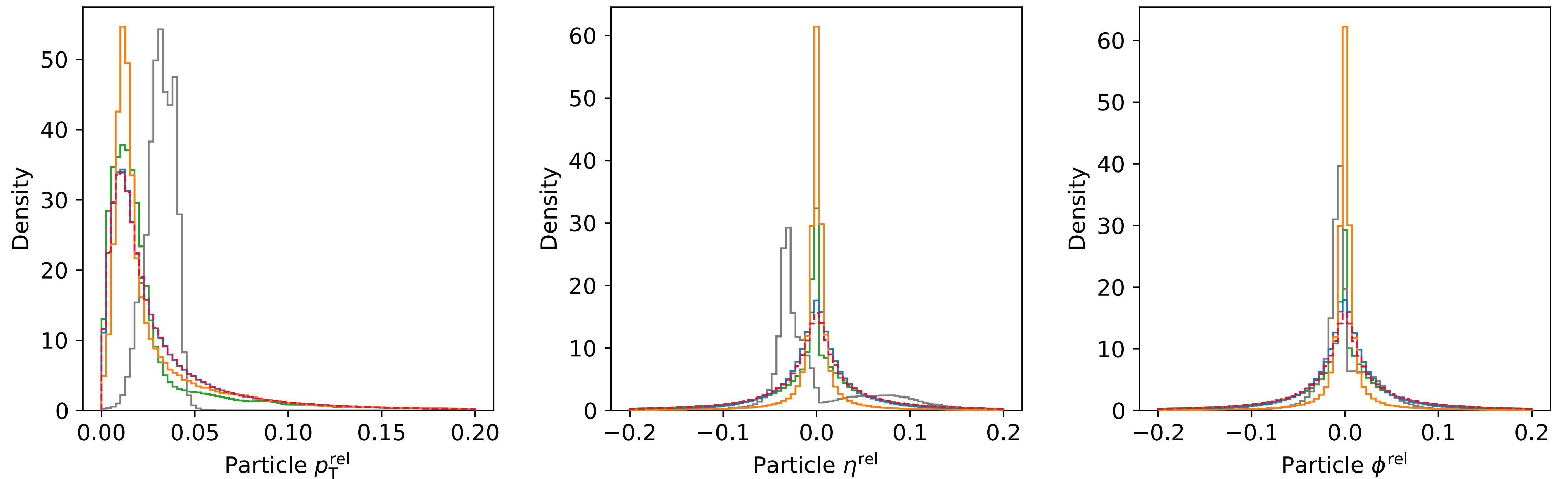
## LGAE Parameters

- Parameters to optimize:  $\tau_{(m,n)}$  of each layer and the latent space.
  - Encoder:  $\{\tau_{(m,n)}^{(t)}\}_{t=1}^4 = (3,3,4,4)$ .
  - Aggregation: {min-max, mix}.
  - Latent space dimension
    - $\tau_{(0,0)} = 1$
    - $\tau_{(1/2,1/2)} \in \{1, \dots, 14\}$
  - Decoder:  $\{\tau_{(m,n)}^{(t)}\}_{t=1}^4 = (3,3,4,4)$ .

# Experiment

## Reconstruction of Particle Features

Compression level:  
 $\approx 60\%$



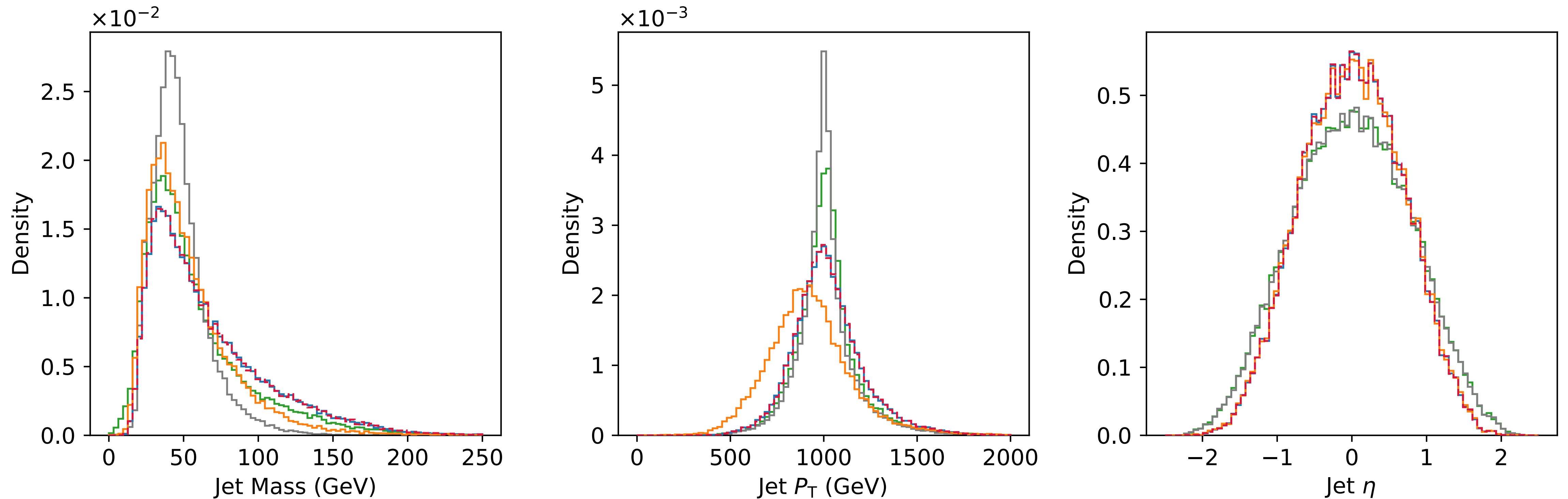
Target LGAE-Min-Max LGAE-Mix GNNAE-JL GNNAE-PL



# Experiment

## Reconstruction of Jet Features

Compression level:  
 $\approx 60\%$

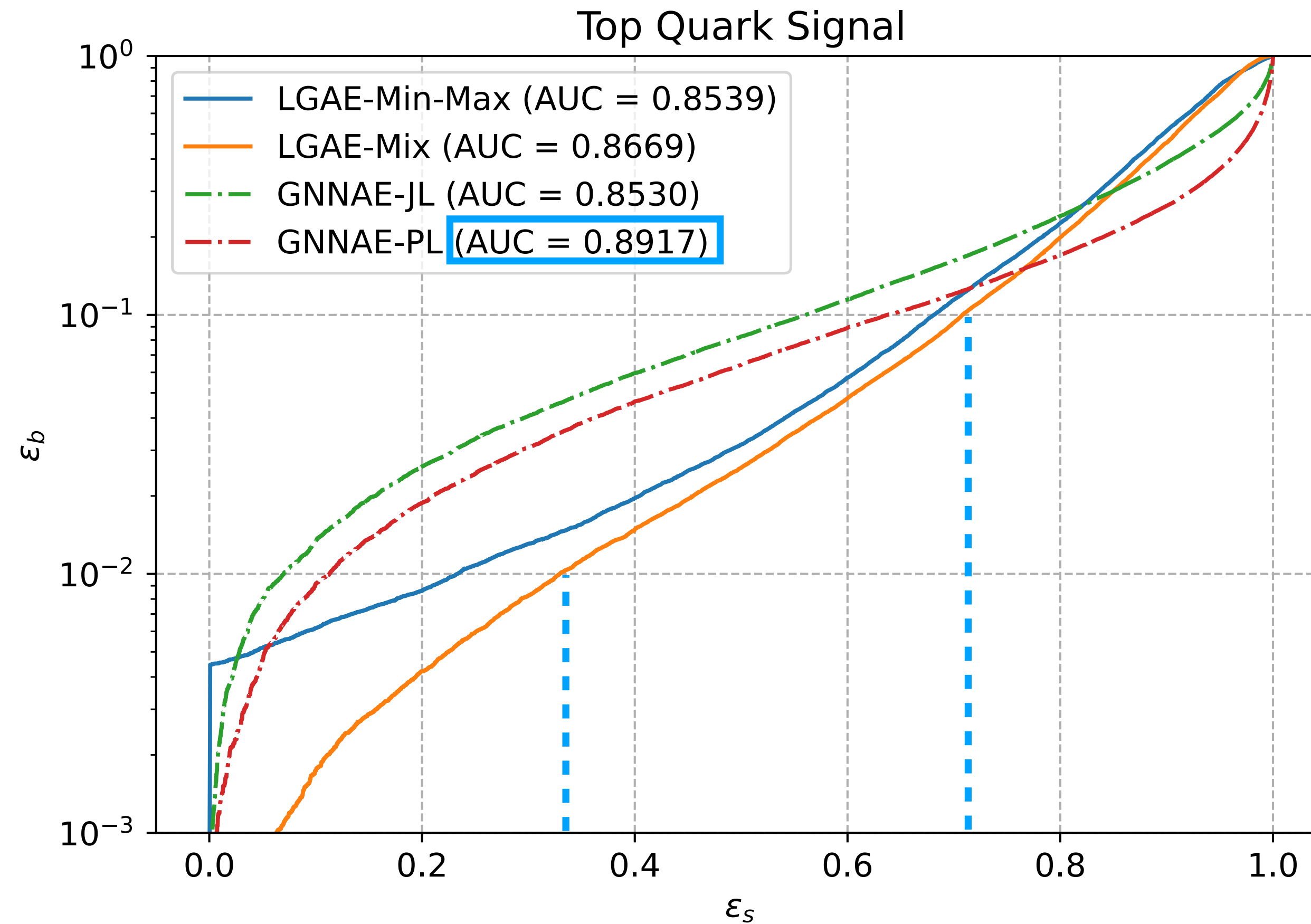


Target LGAE-Min-Max LGAE-Mix GNNAE-JL GNNAE-PL

# Experiment

## Anomaly Detection: Top Tagging

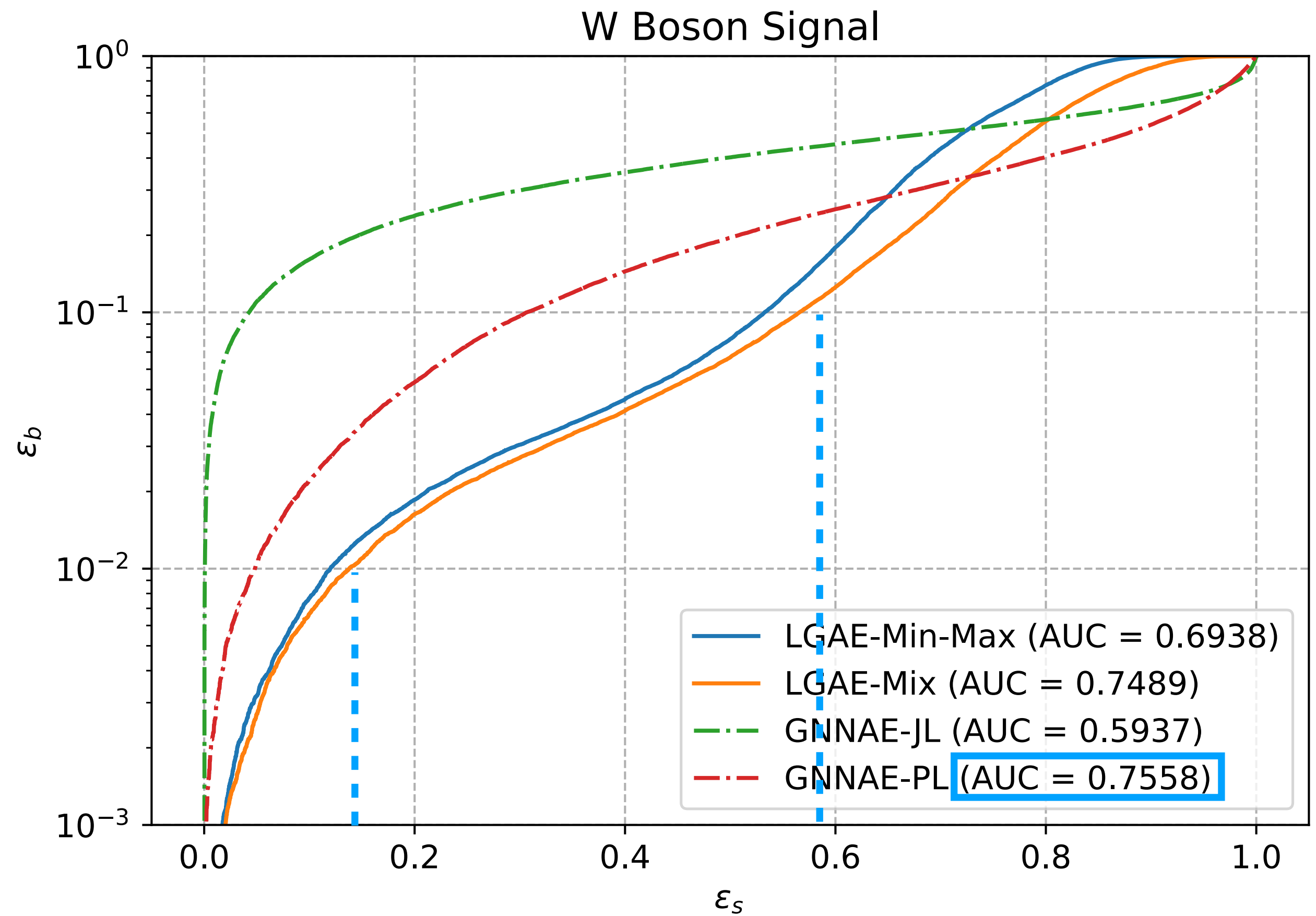
LGAEs have better  $\epsilon_s$  at low  $\epsilon_b$



# Experiment

## Anomaly Detection: W Tagging

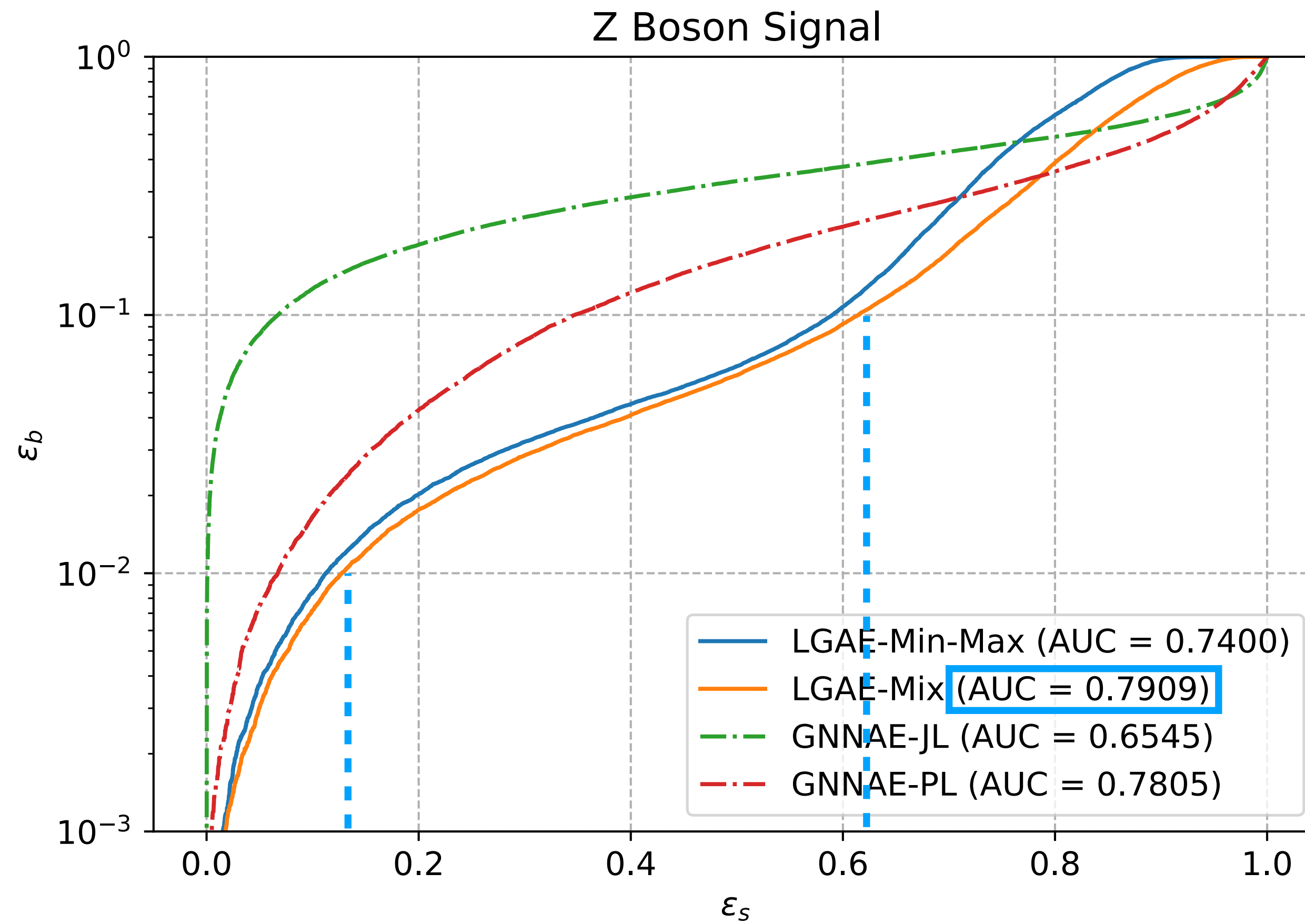
LGAEs have better  $\epsilon_s$  at low  $\epsilon_b$



# Experiment

## Anomaly Detection: Z Tagging

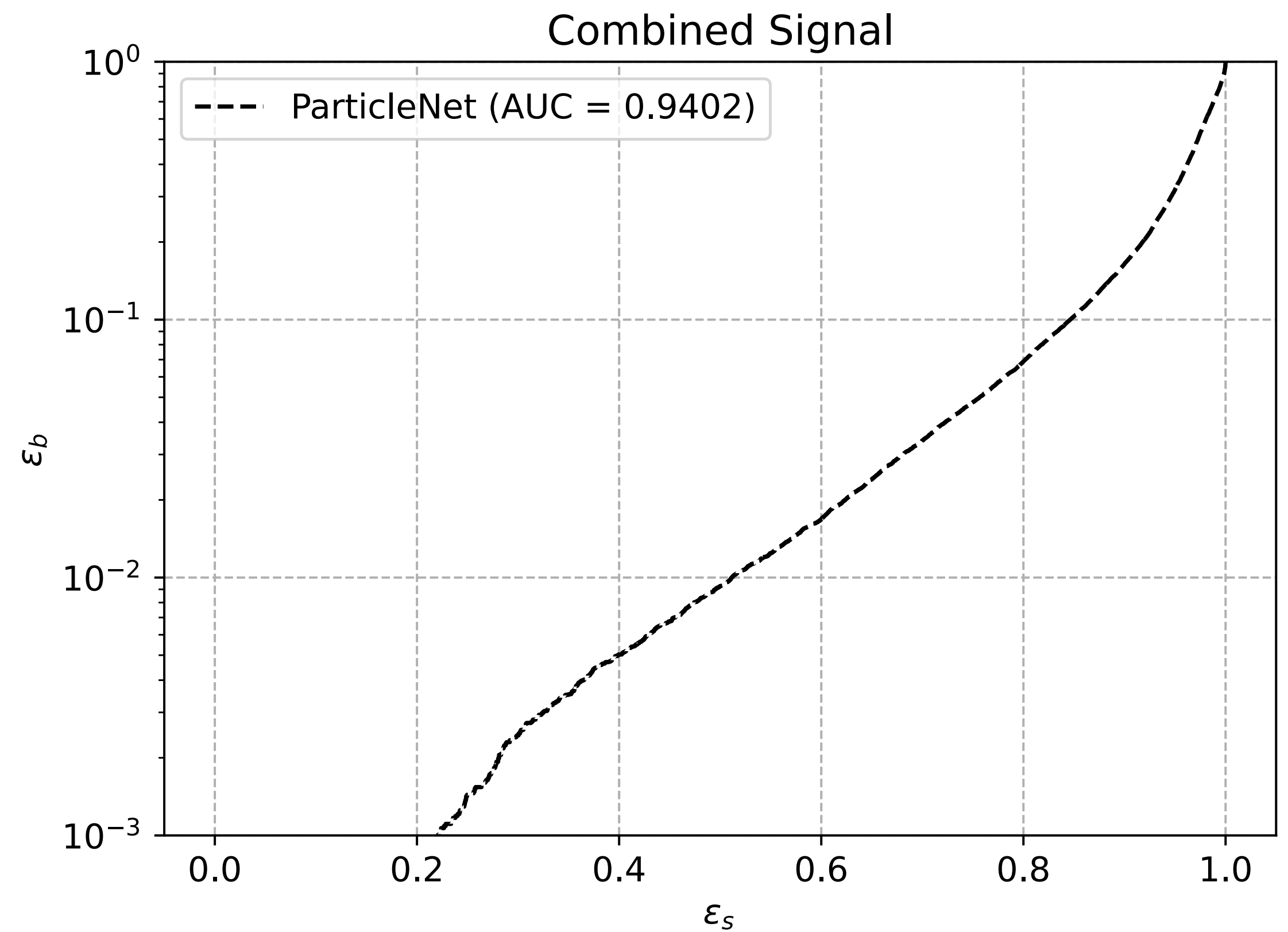
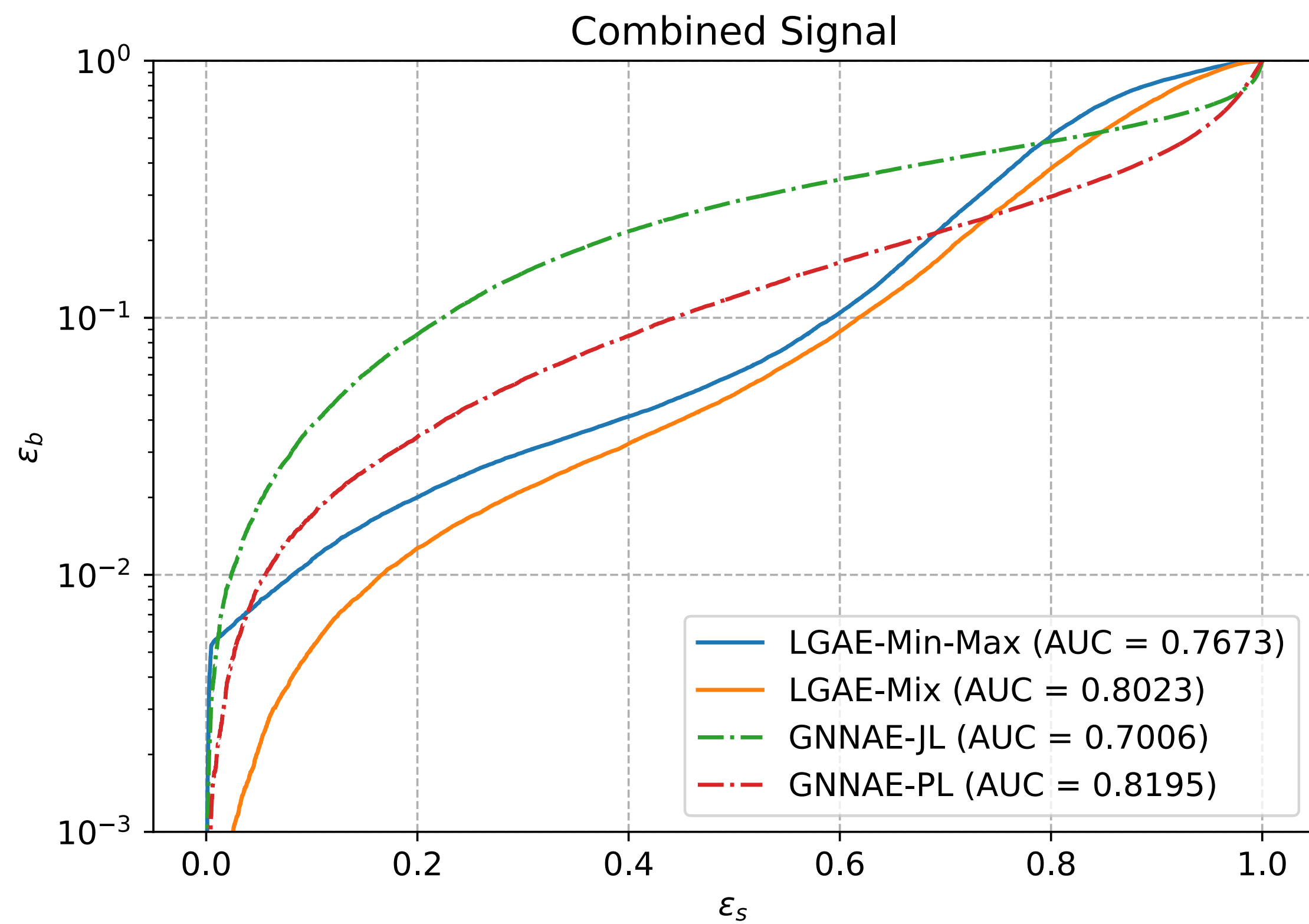
LGAEs have better  $\epsilon_s$  at low  $\epsilon_b$



# Experiment

## Anomaly Detection: ParticleNet

Not as good as the SOTA supervised model, as expected

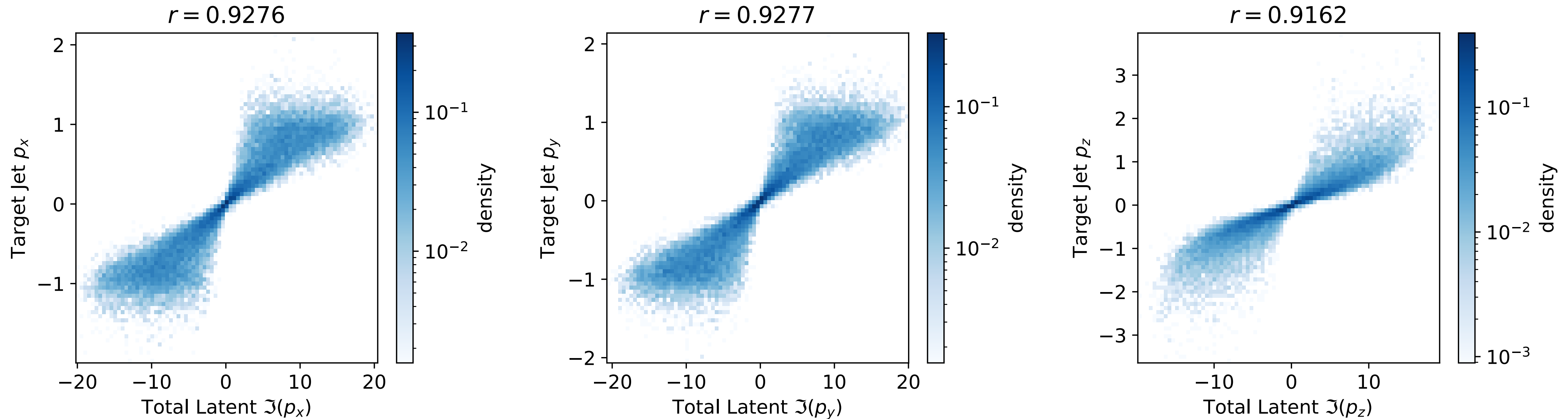


# Experiment

## Latent Space Analysis: Correlations

Jet 3-momentum encoded in the total latent 4-vector

Model: LGAE-Mix with 2 latent 4-vectors



No other strong correlations found



Possibly new useful quantities?