



UNIVERSITÄT
HEIDELBERG
ZUKUNFT
SEIT 1386

ML4Jets 2024, Paris
07.11.2024

How to Unfold Top Decays

*Luigi Favaro¹, Roman Kogler², Alexander Paasch³,
Sofia Palacios Schweitzer¹, Tilman Plehn¹, Dennis Schwarz⁴*

SPONSORED BY THE



Federal Ministry
of Education
and Research

- 1 - Institut für theoretische Physik, Universität Heidelberg
- 2 - Deutsches Elektronen-Synchrotron DESY, Hamburg
- 3 - Institut für Experimentalphysik, Universität Hamburg
- 4 - Institut für Hochenergiephysik, Österreichische Akademie der Wissenschaften, Wien

with Anja Butter

What I am not going to talk about

1. Coolness of ML-based unfolding

You find yourself in the unfolding session at ML4Jets

2. Methodology of generative unfolding

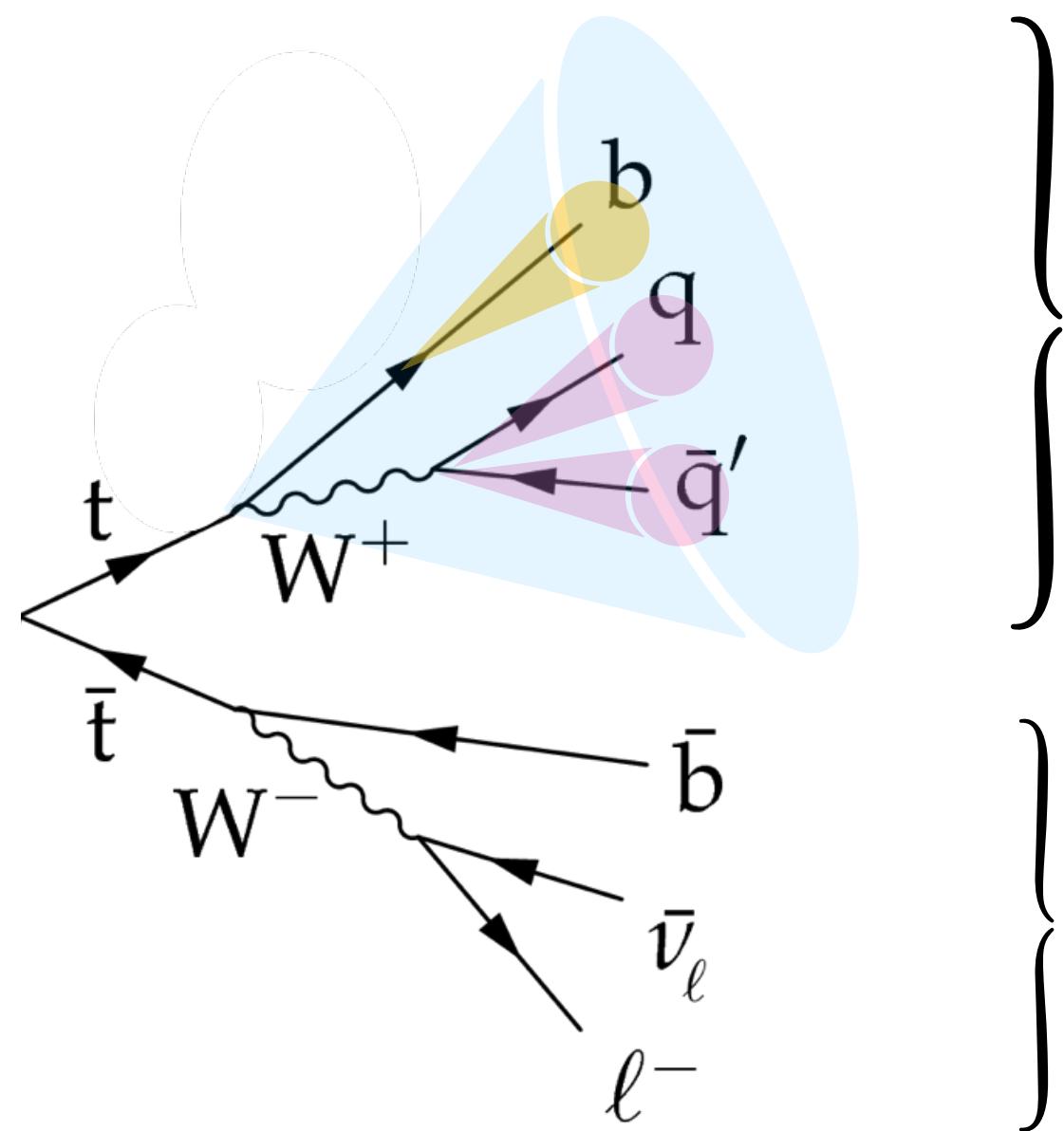
Nathan's overview talk

3. Generative Models (in particular CFMs)

Timo's talk, Jonas' talk, Luigi's talk, Dmitrii's talk ...

Boosted top decays

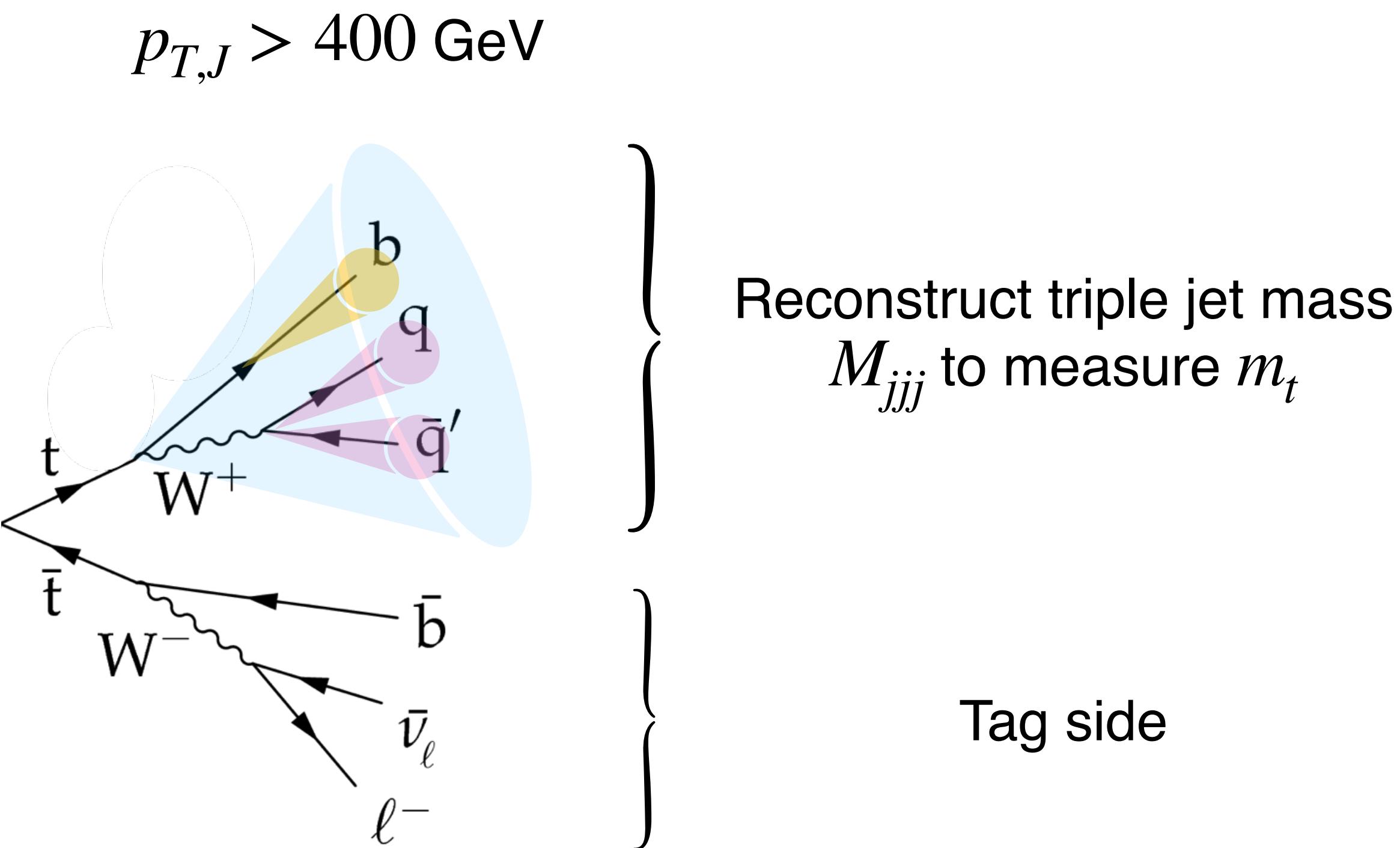
$p_{T,J} > 400 \text{ GeV}$



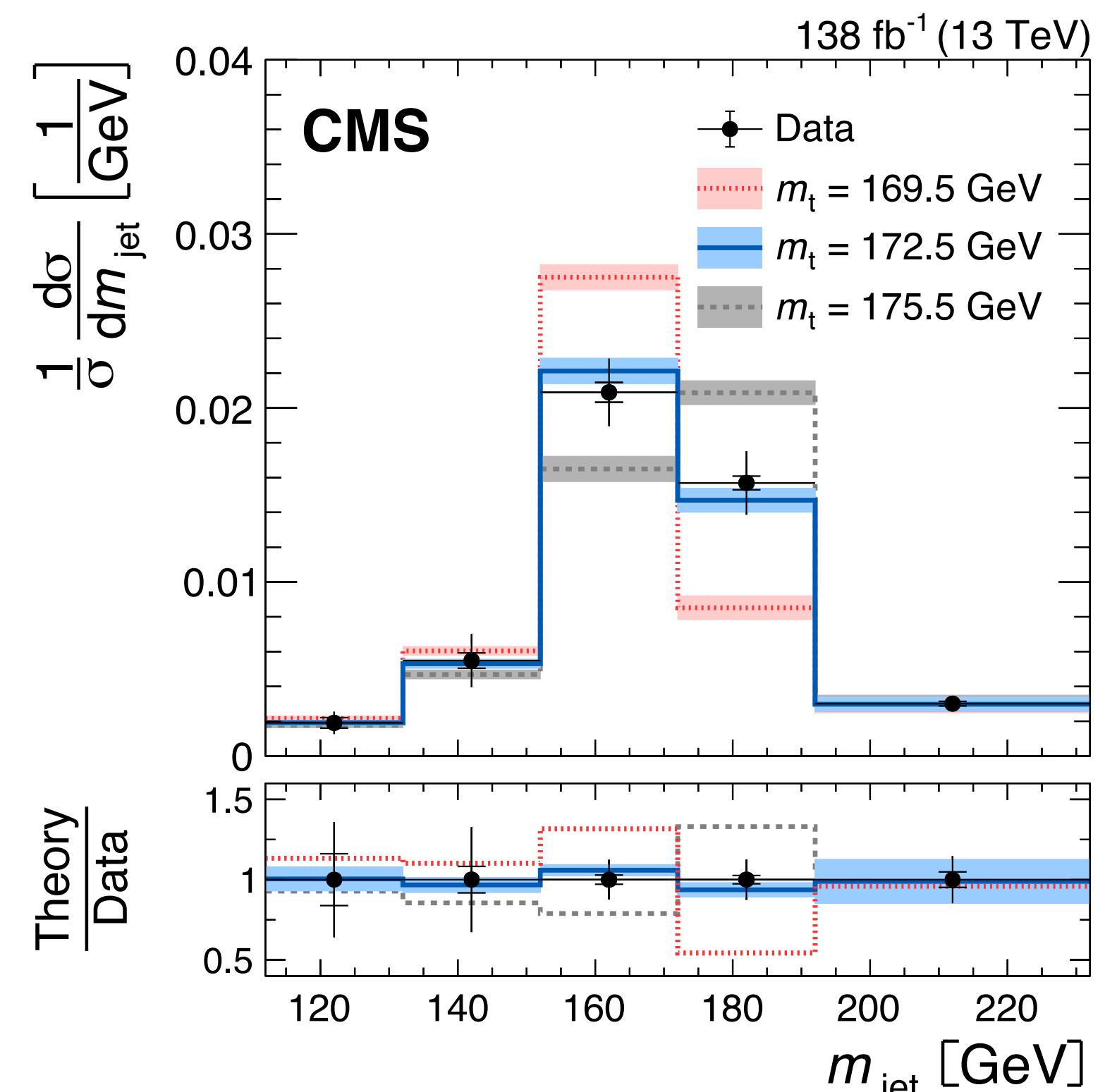
} Reconstruct triple jet mass
 M_{jjj} to measure m_t

} Tag side

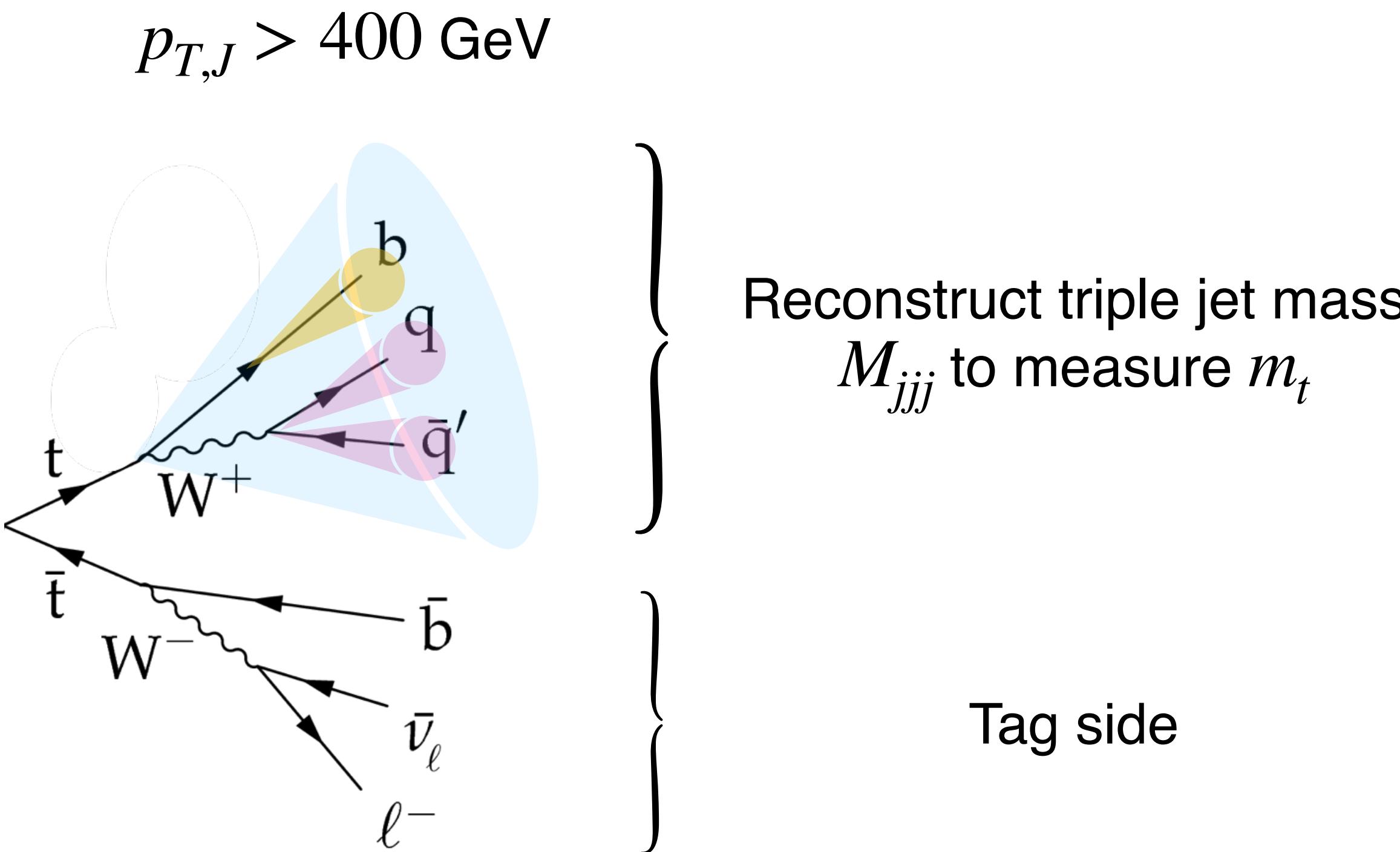
Boosted top decays



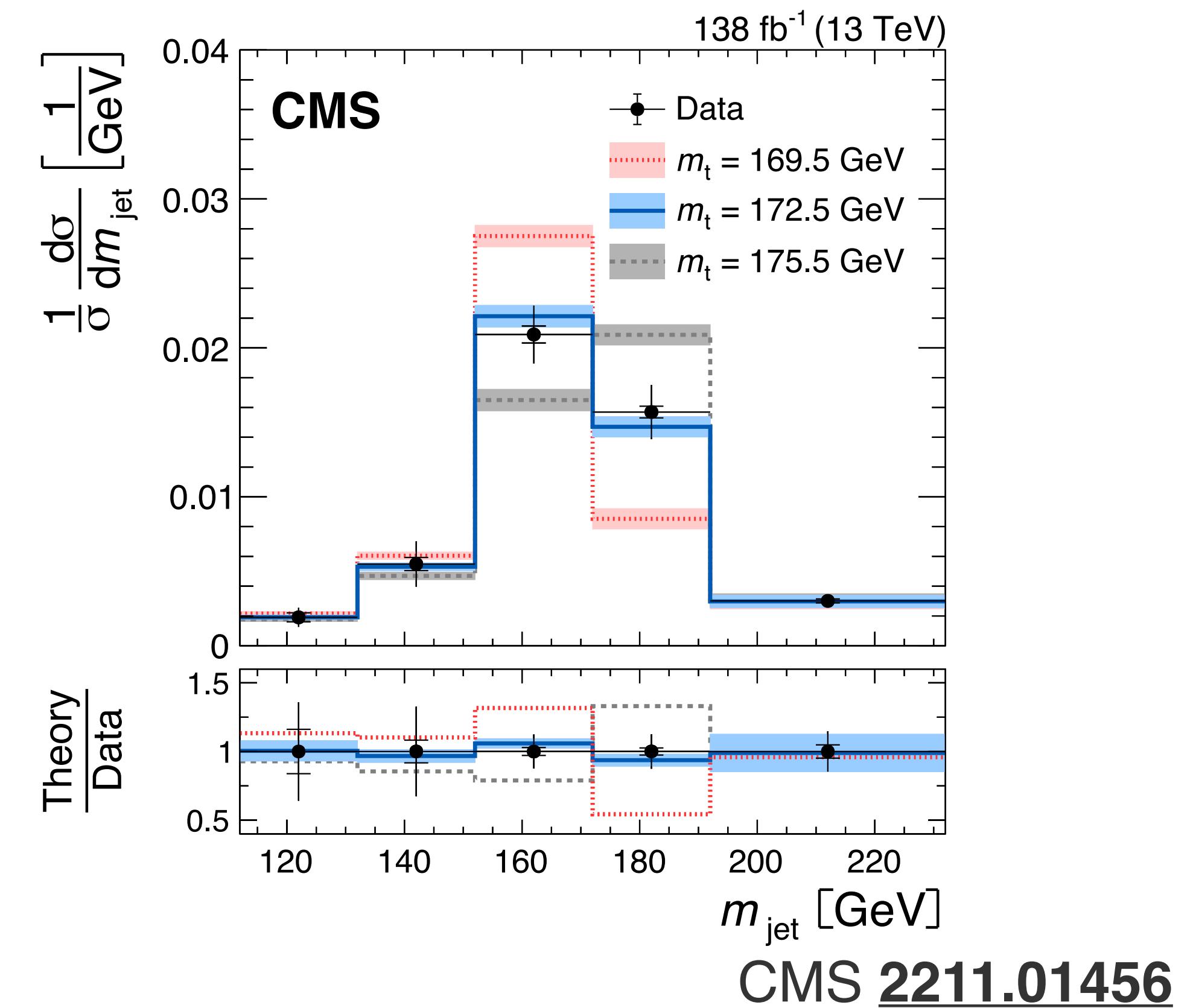
Previously done in CMS with TUnfold
(classical binned unfolding algorithm)



Boosted top decays



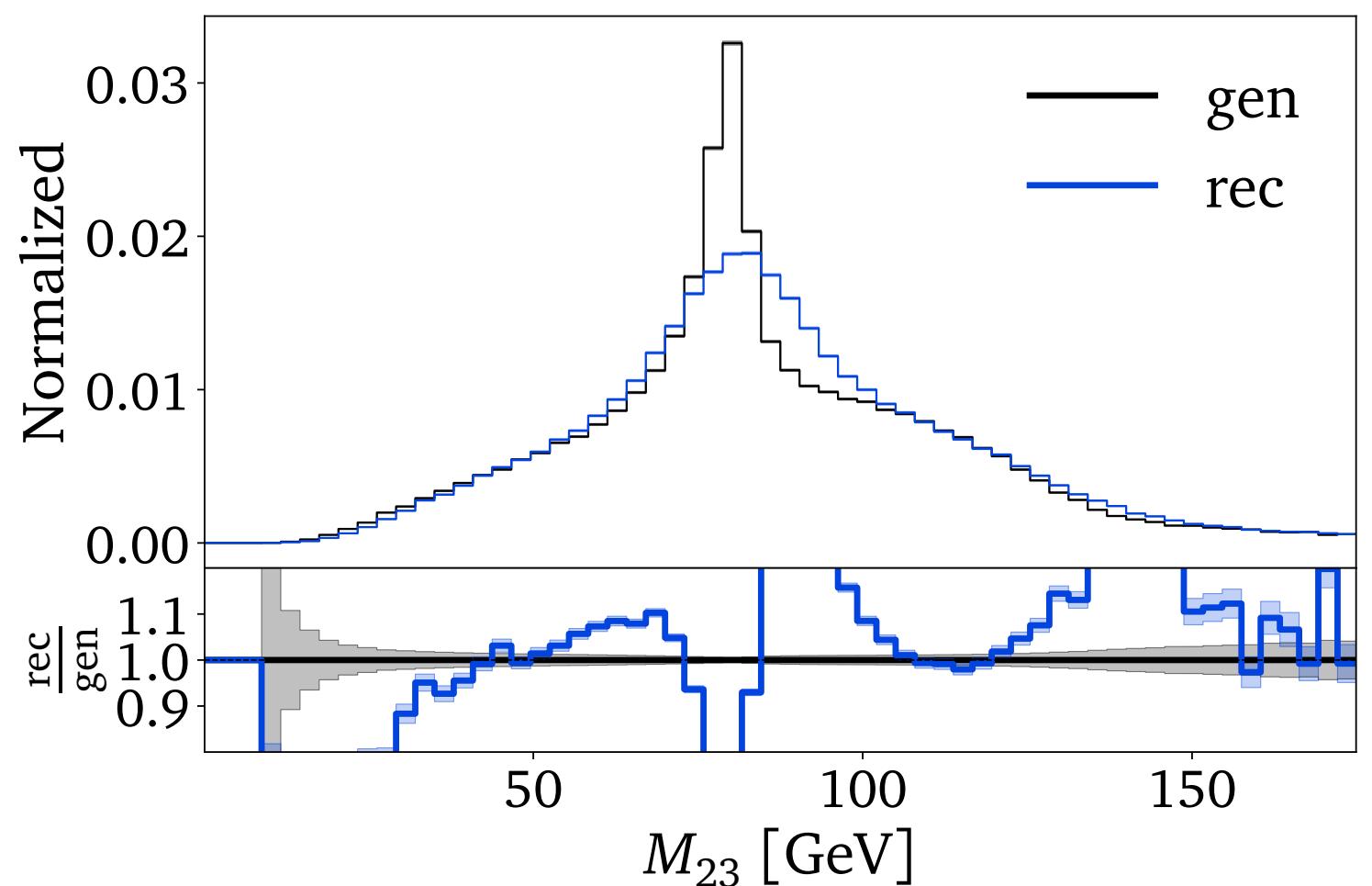
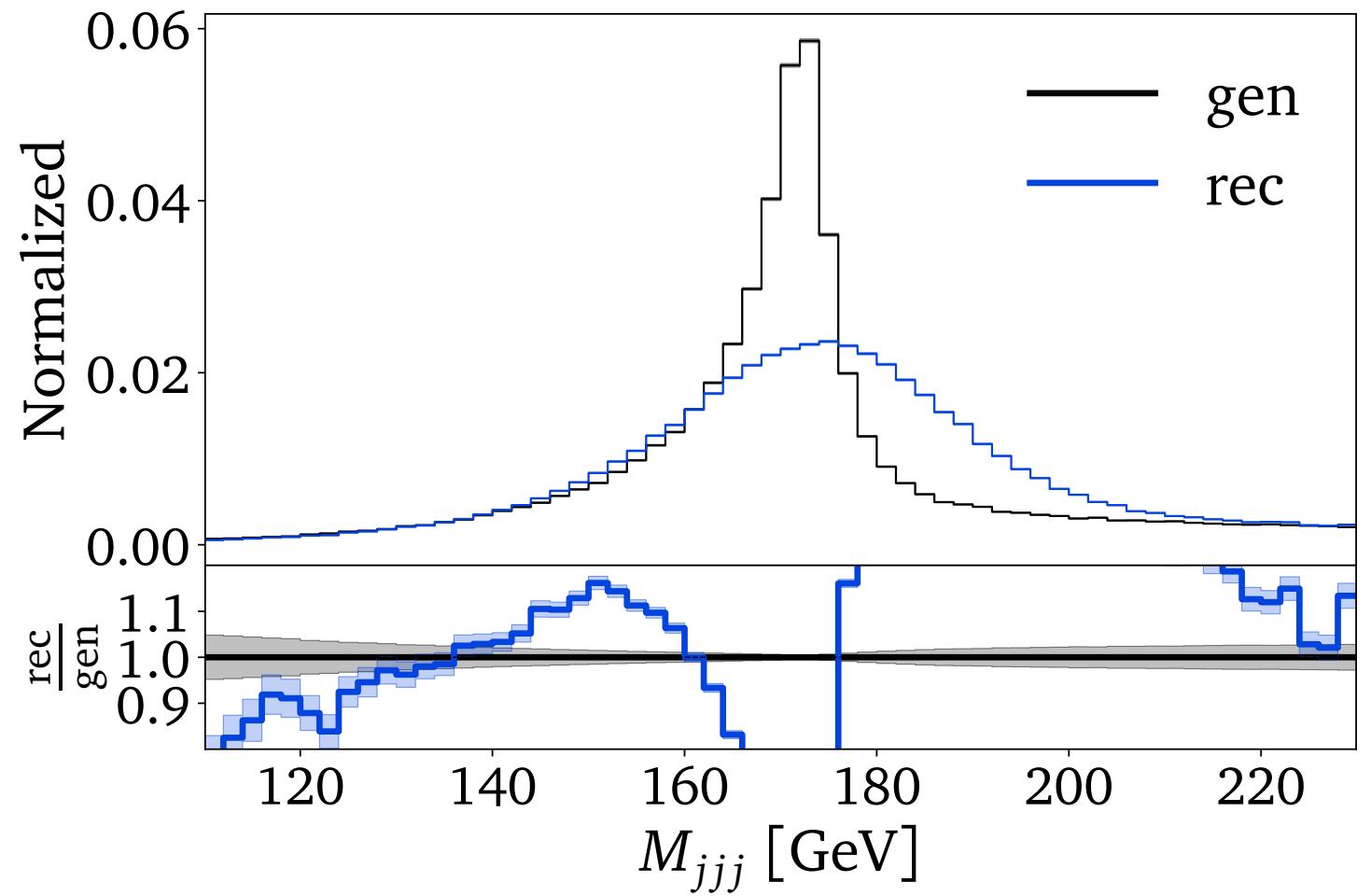
Previously done in CMS with TUnfold
(classical binned unfolding algorithm)



BUT leading uncertainty: choice of m_t in simulation + no access to full phase space
→ Could generative unfolding help?

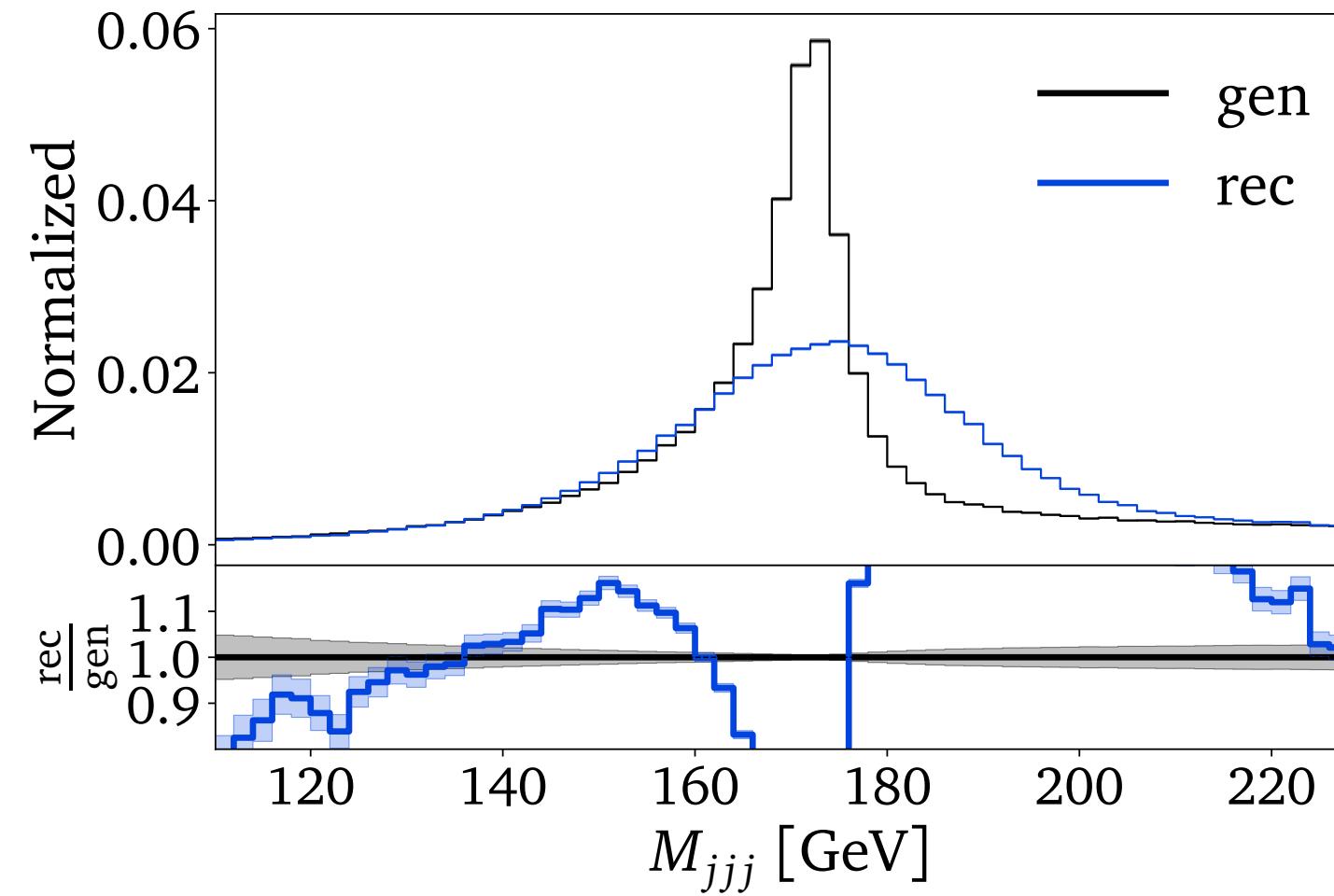
Challenging aspects of top - unfolding

1. Multiresonant phase space

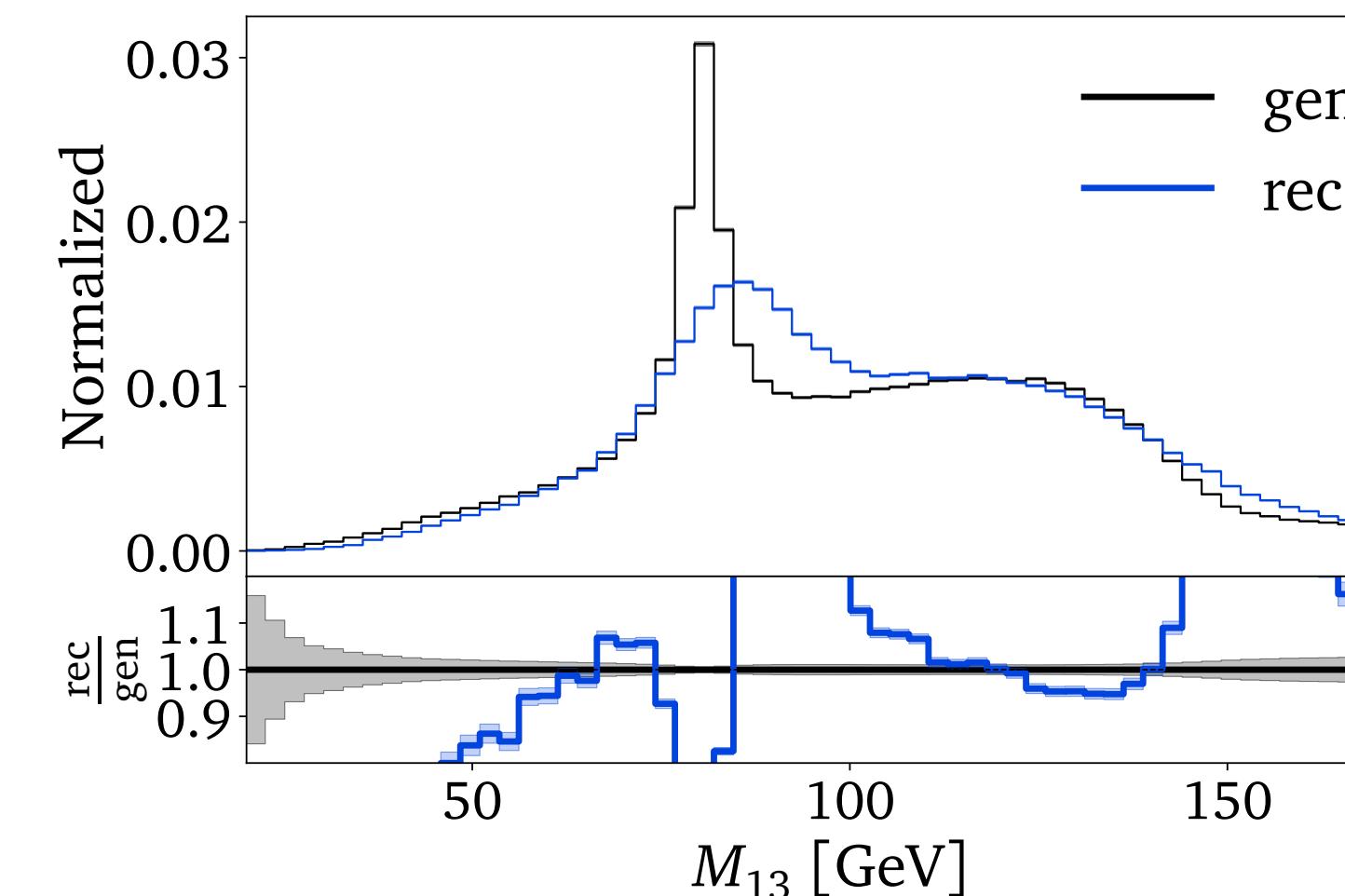
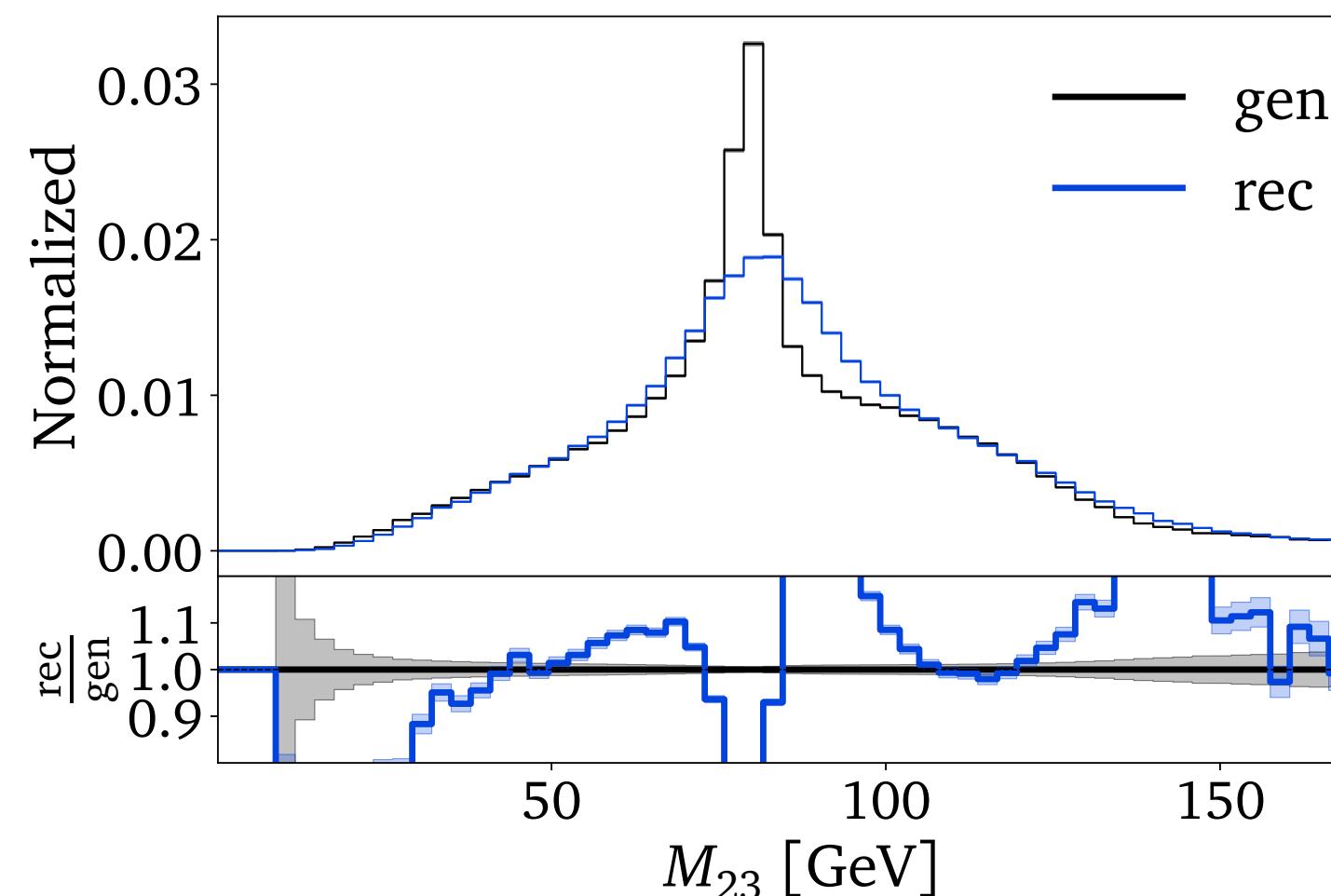
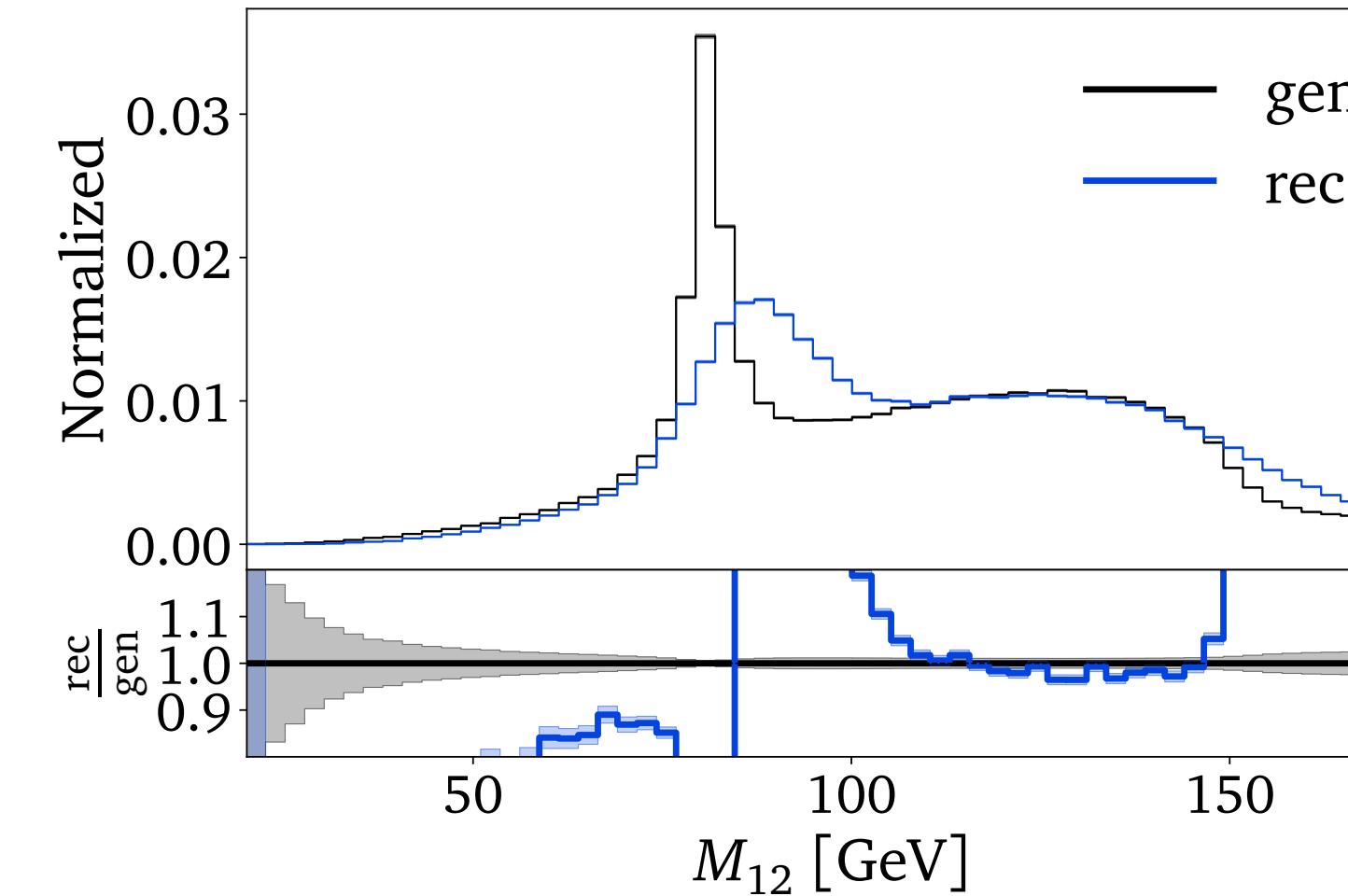


Challenging aspects of top - unfolding

1. Multiresonant phase space

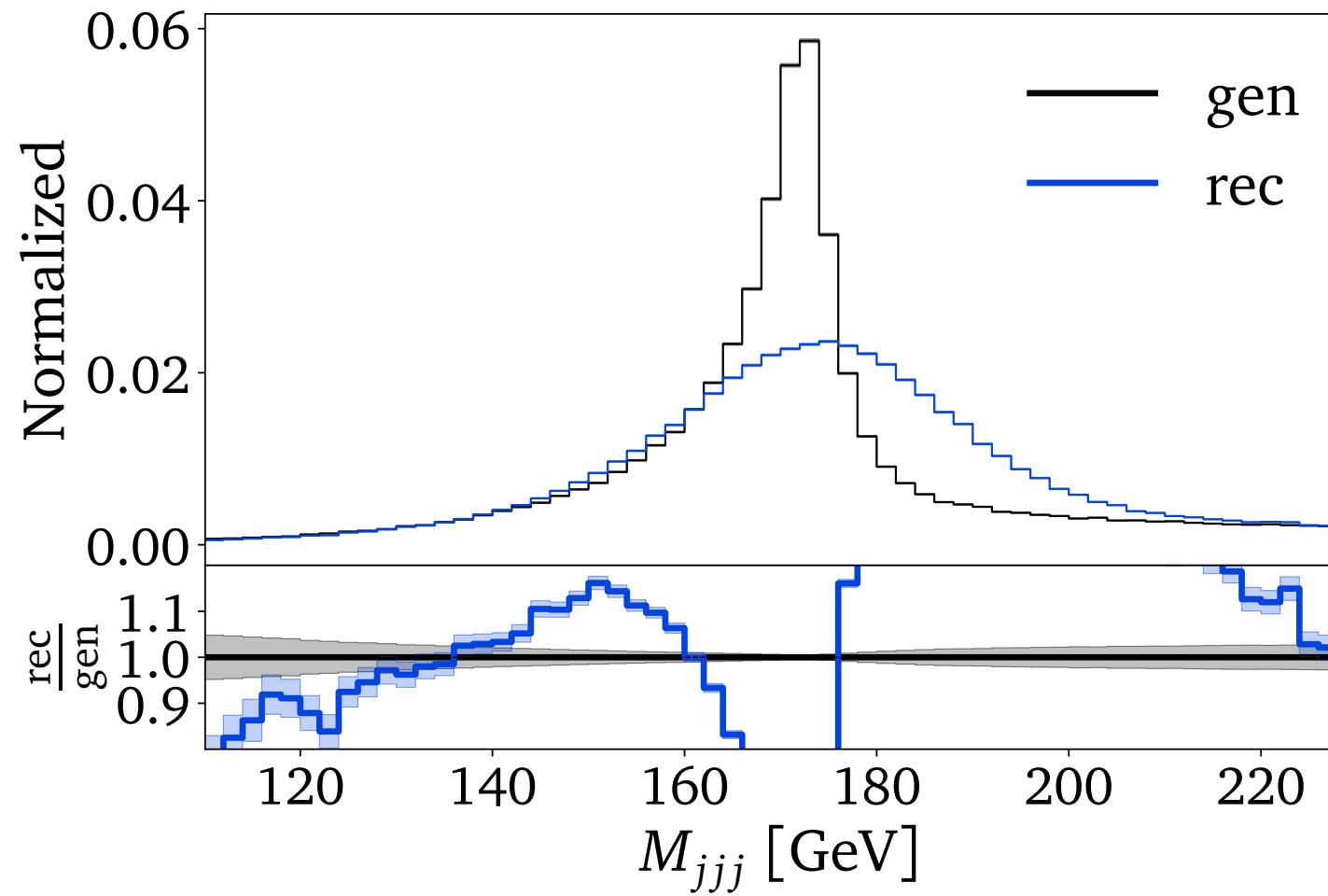


2. Combinatorics

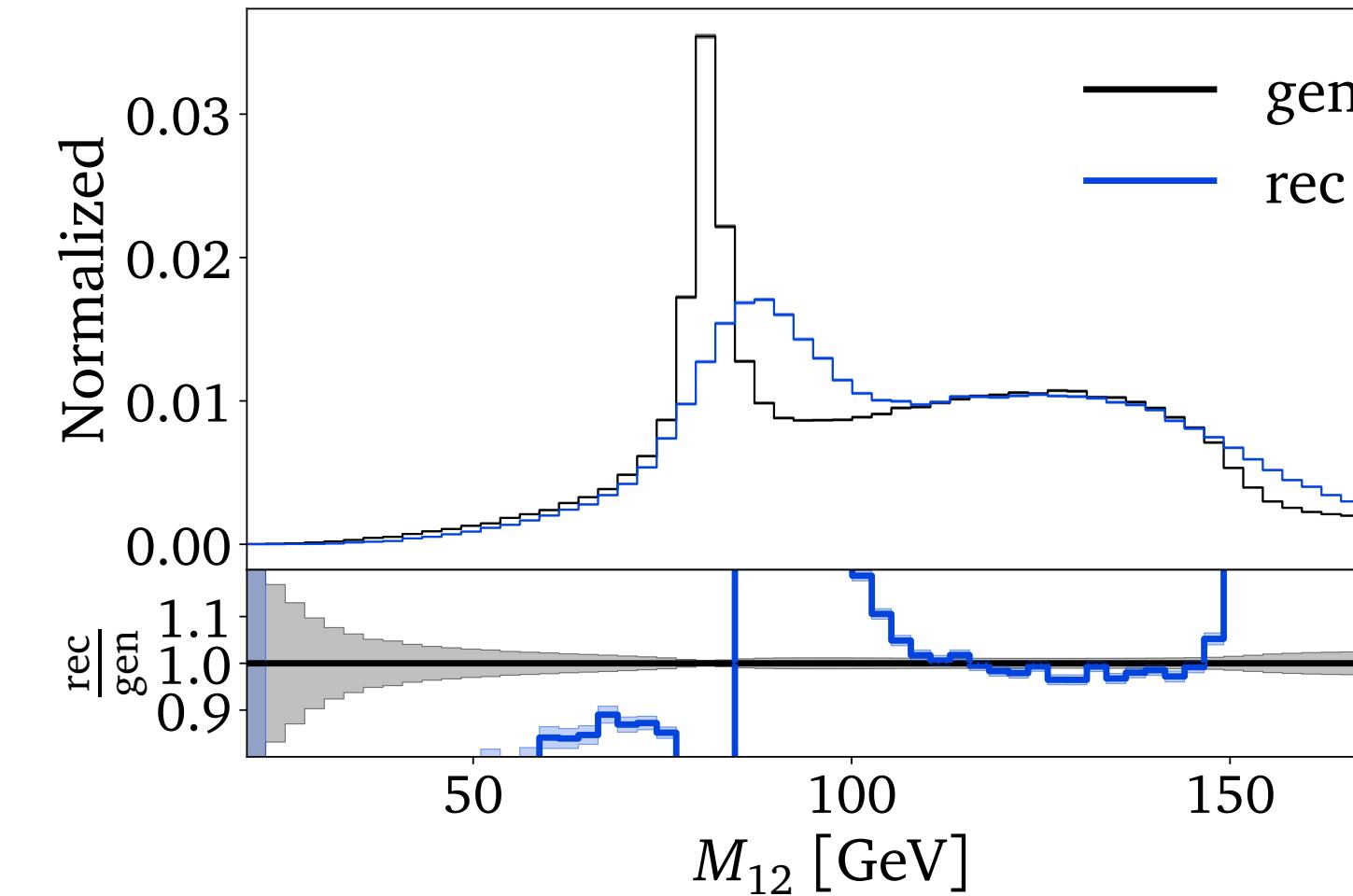


Challenging aspects of top - unfolding

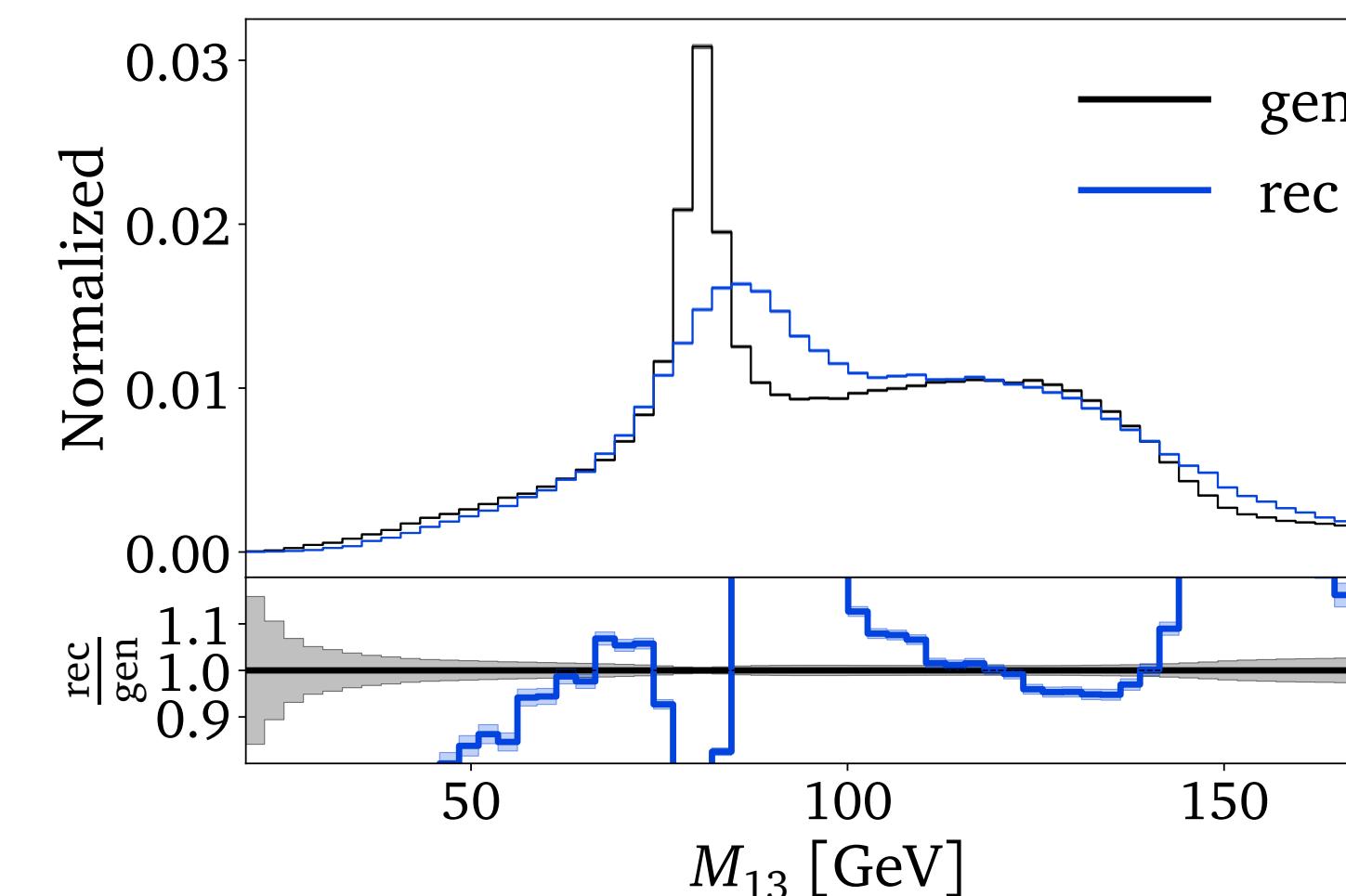
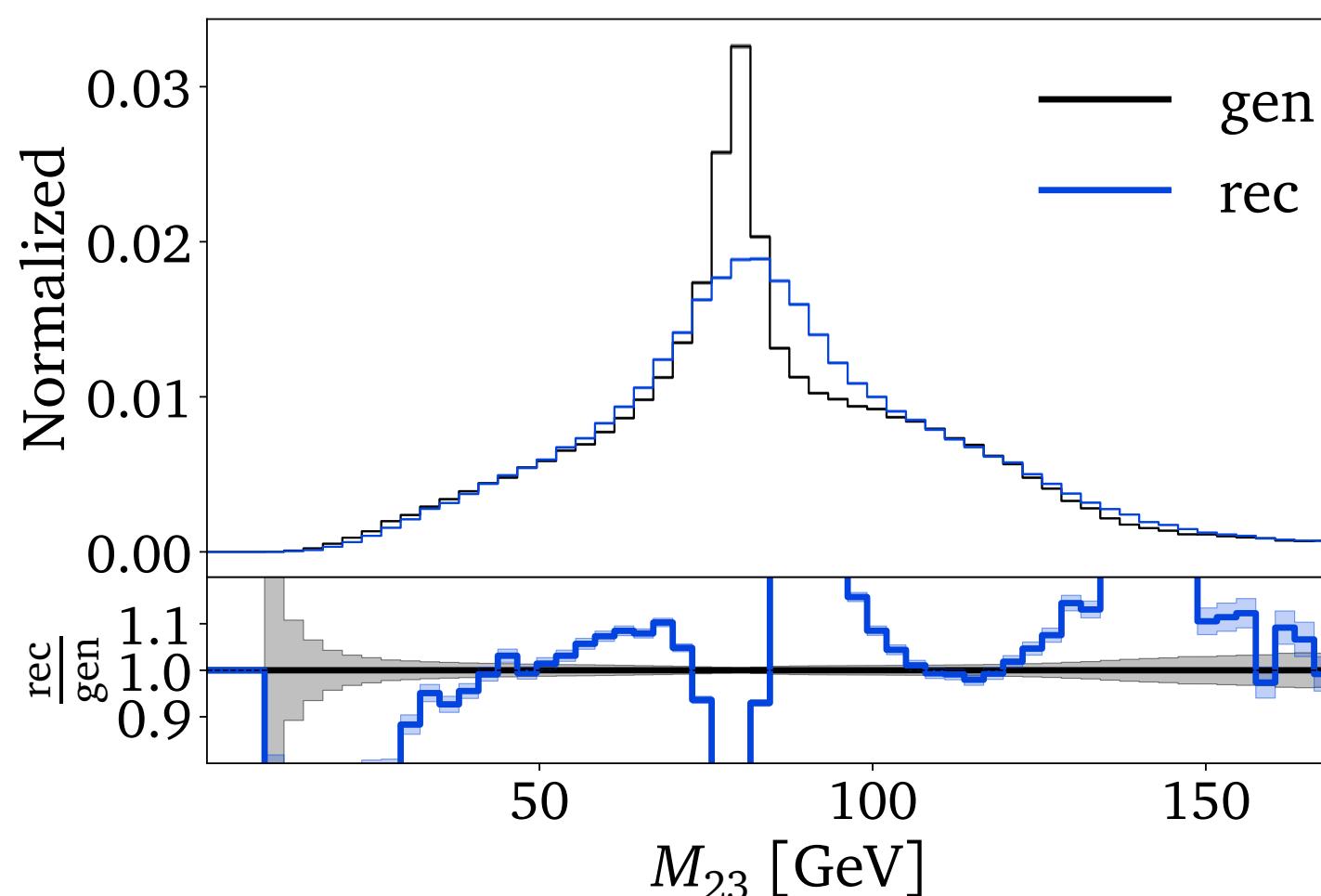
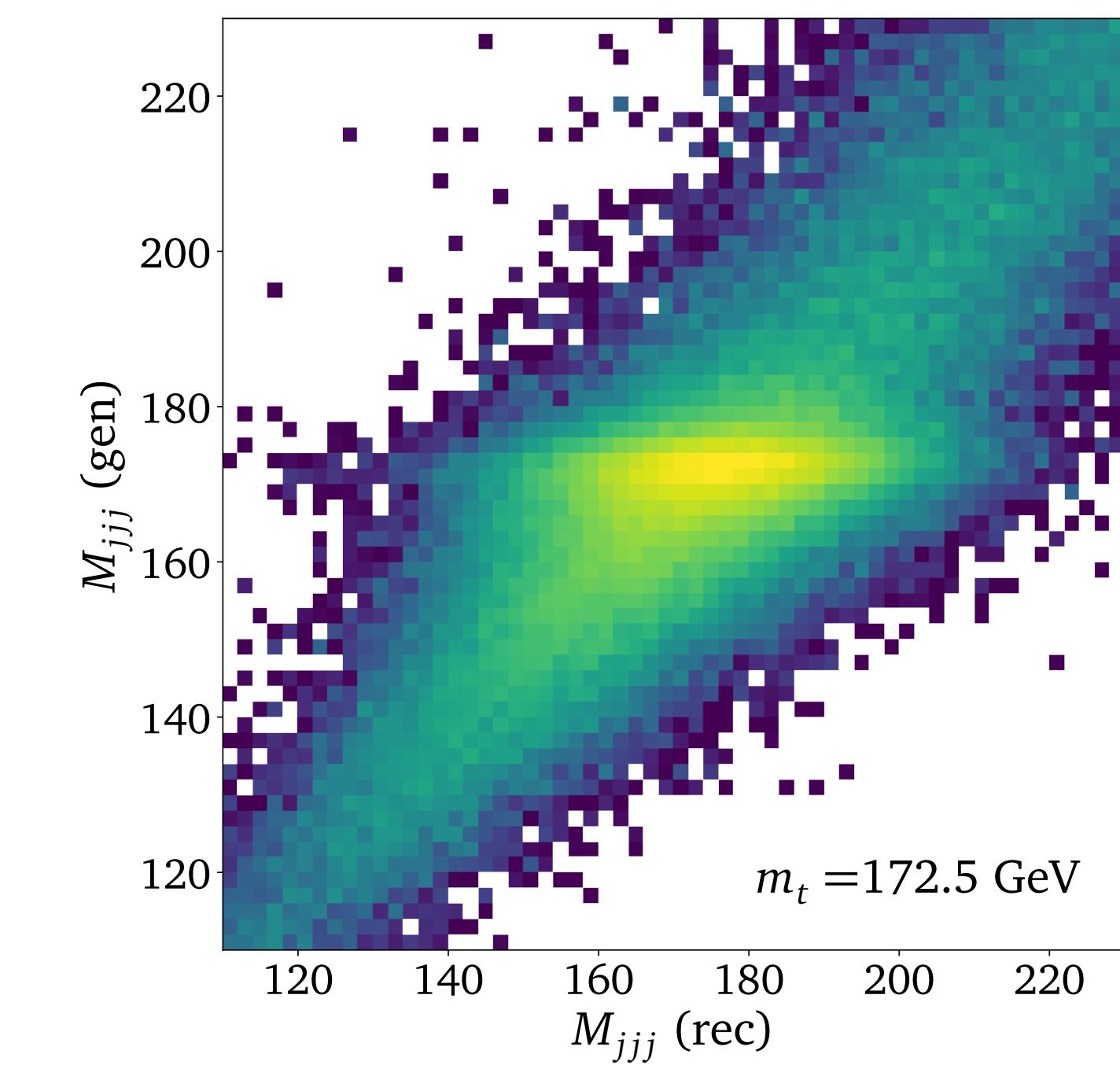
1. Multiresonant phase space



2. Combinatorics

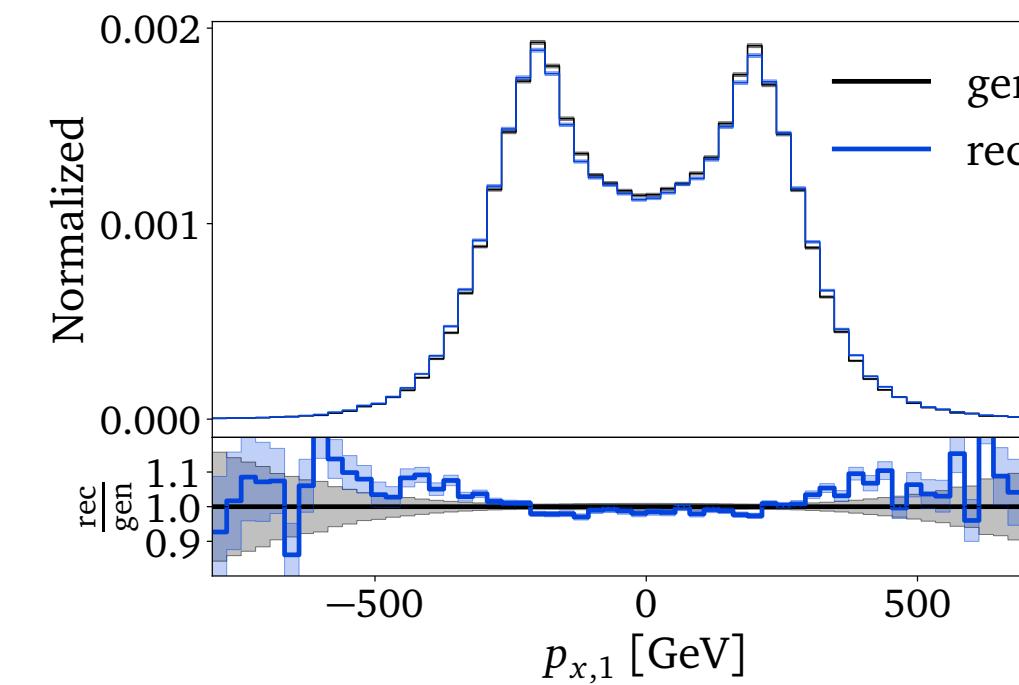
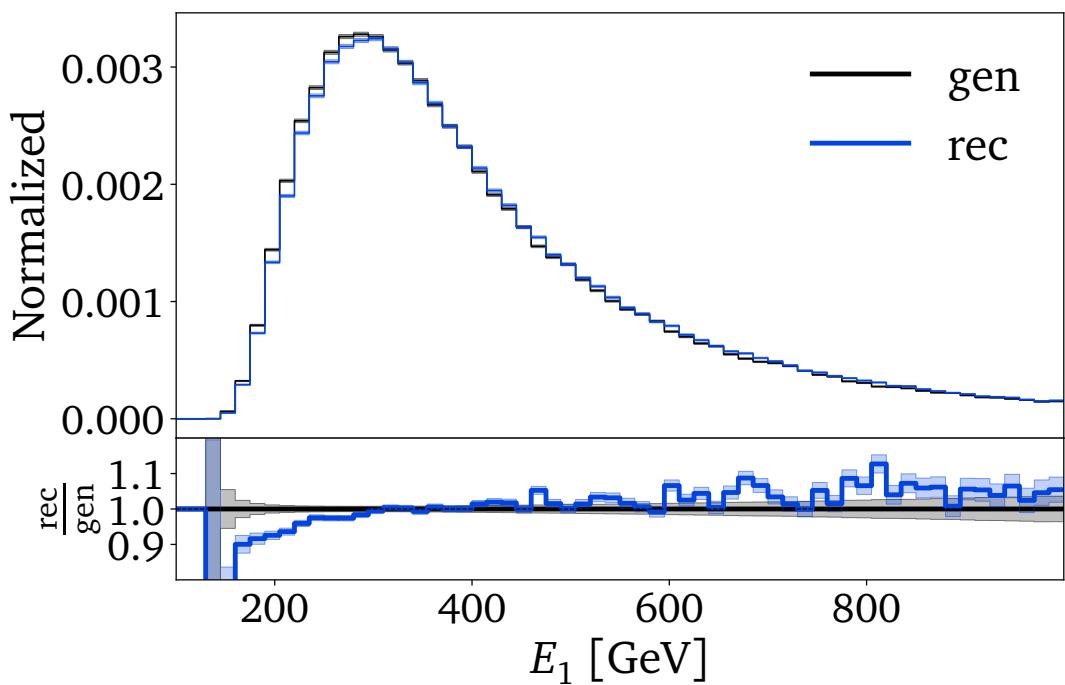


3. Detector Smearing



Choosing the right parametrization

Reco and gen level difference not significantly visible, only in correlations



1. The naive

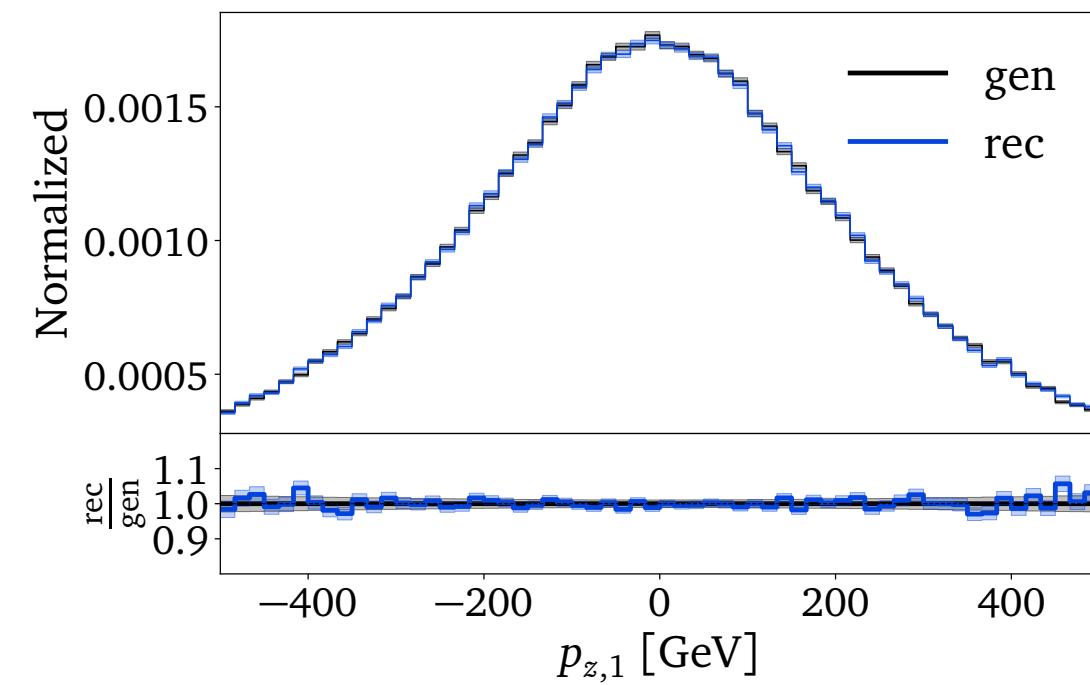
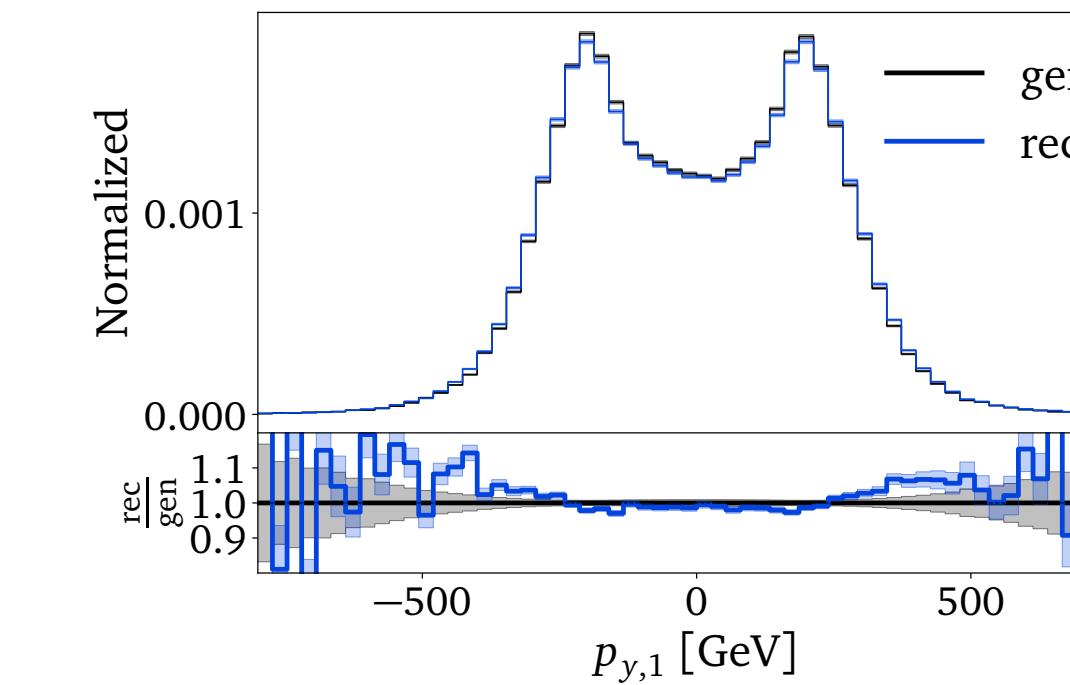
$$\left. \begin{array}{l} p_1 = (E_1, \vec{p}_1) \\ p_2 = (E_2, \vec{p}_2) \\ p_3 = (E_3, \vec{p}_3) \end{array} \right\}$$

$$M_{jjj}(p_1, p_2, p_3)$$

$$M_{ij}(p_i, p_j)$$

12 dimensional correlation

8 dimensional correlation + combinatorics difficult



Choosing the right parametrization

2. The less naive

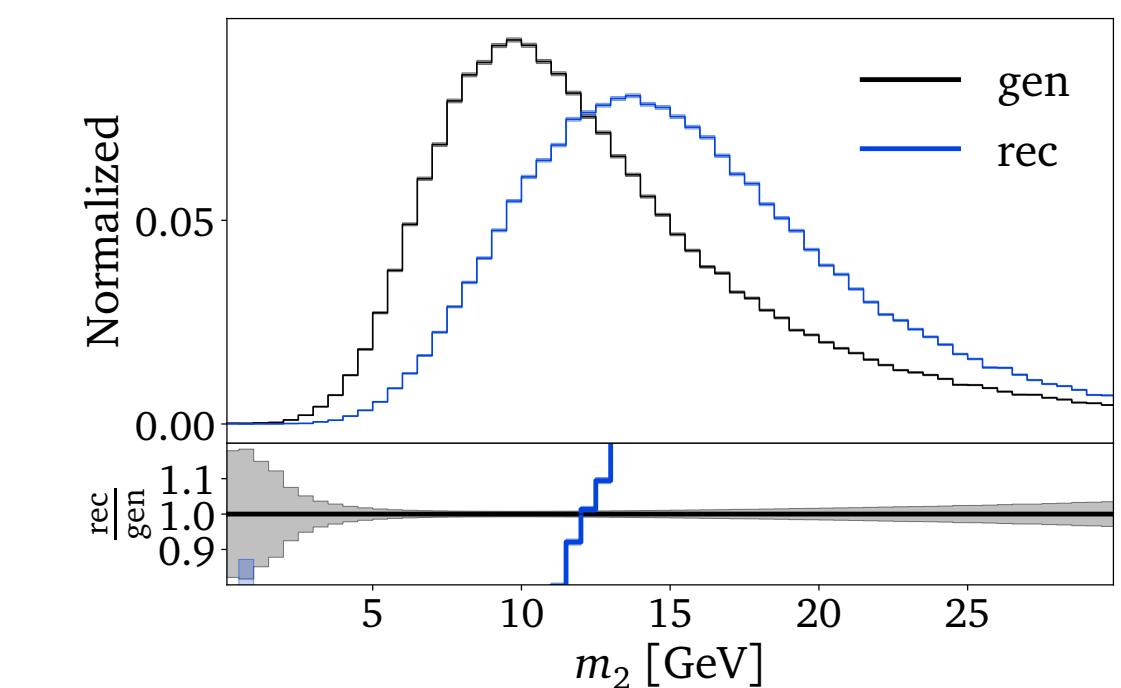
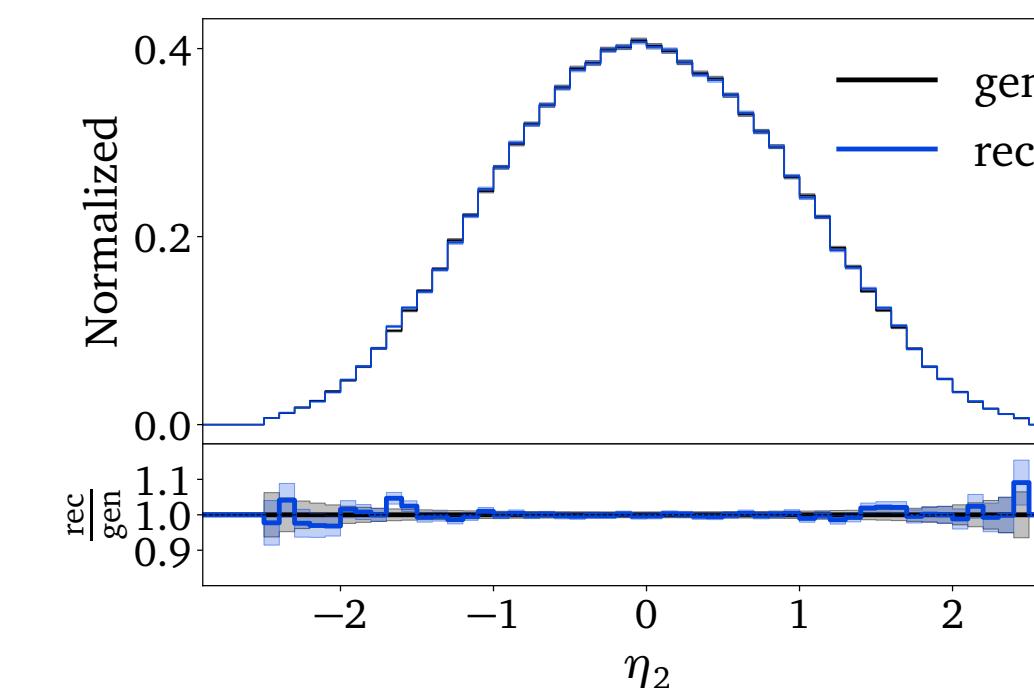
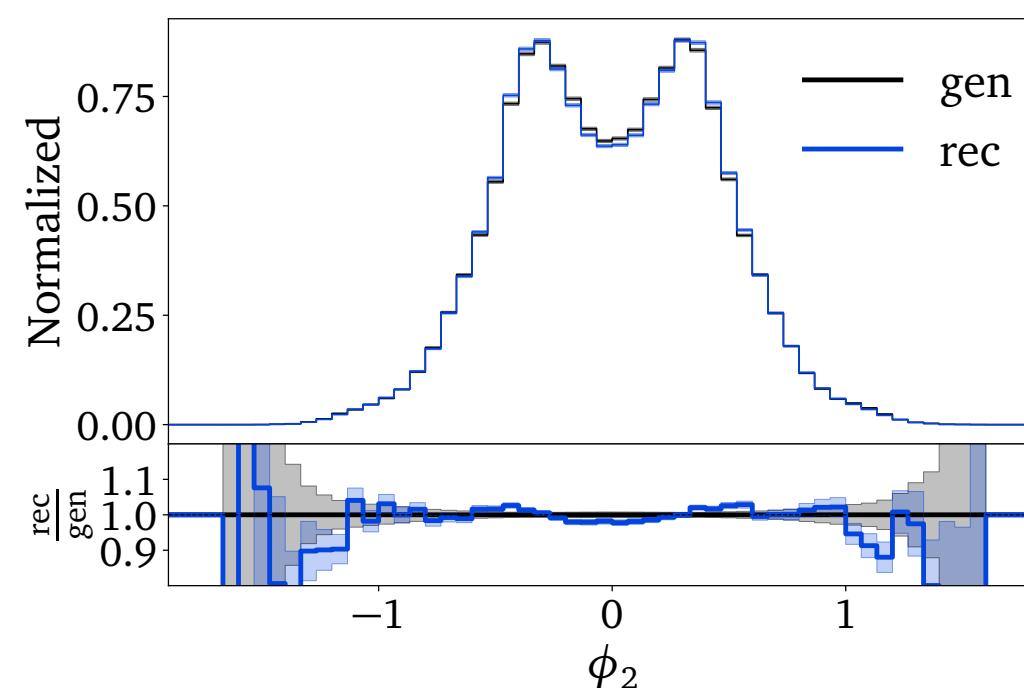
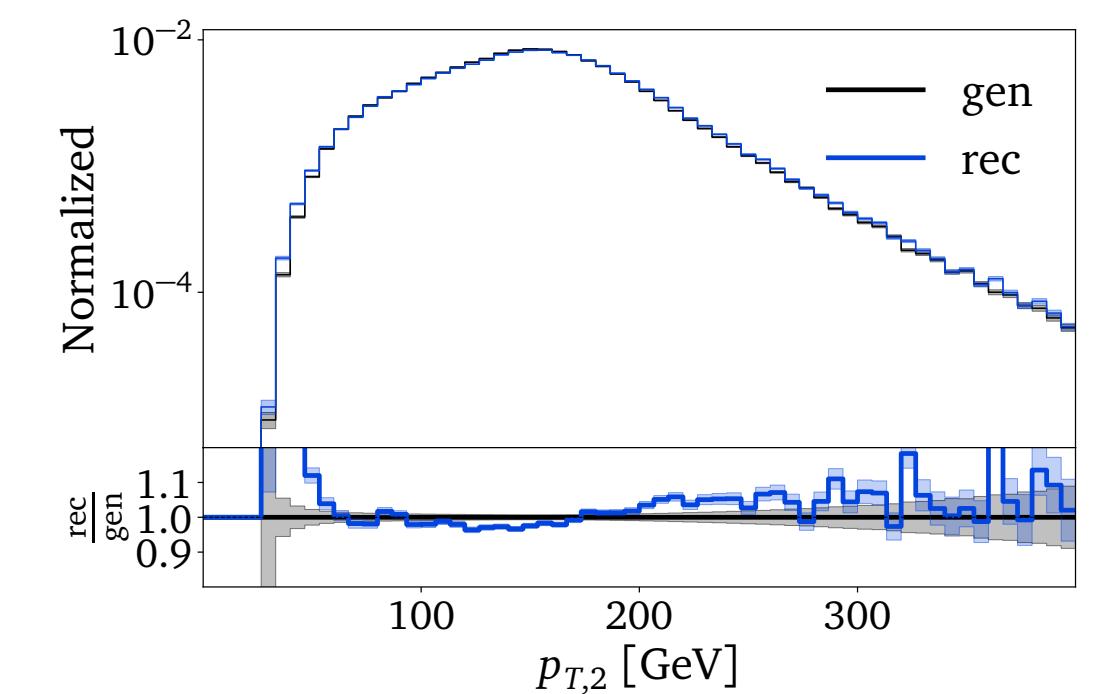
$$\left. \begin{array}{l} p_1 = (p_{T,1}, \phi_1, \eta_1, m_1) \\ p_2 = (p_{T,2}, \phi_2, \eta_2, m_2) \\ p_3 = (p_{T,3}, \phi_3, \eta_3, m_3) \end{array} \right\}$$

$$M_{jjj}(p_1, p_2, p_3)$$

$$M_{ij}(p_i, p_j)$$

12 dimensional correlation

8 dimensional correlation + combinatorics difficult



Reco and gen level difference visible

Choosing the right parametrization

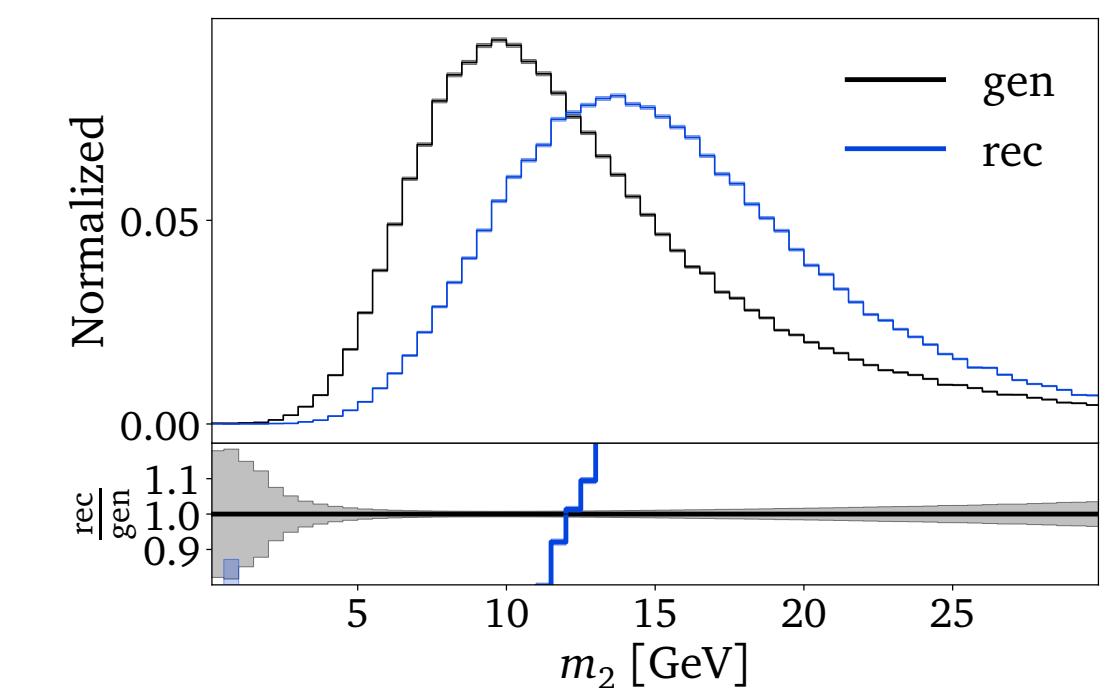
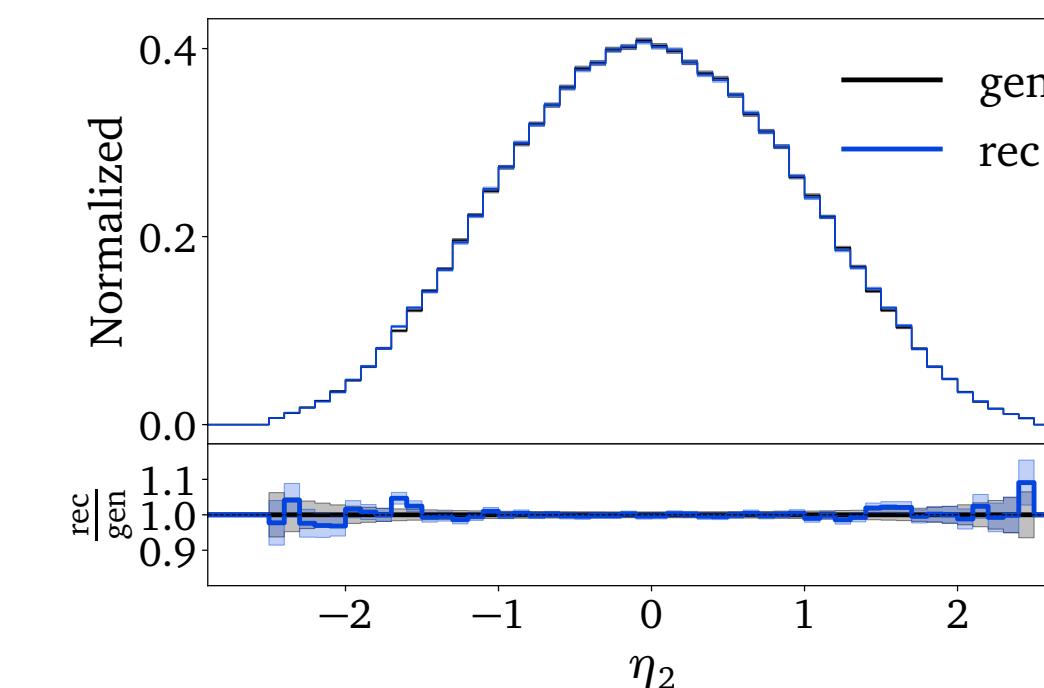
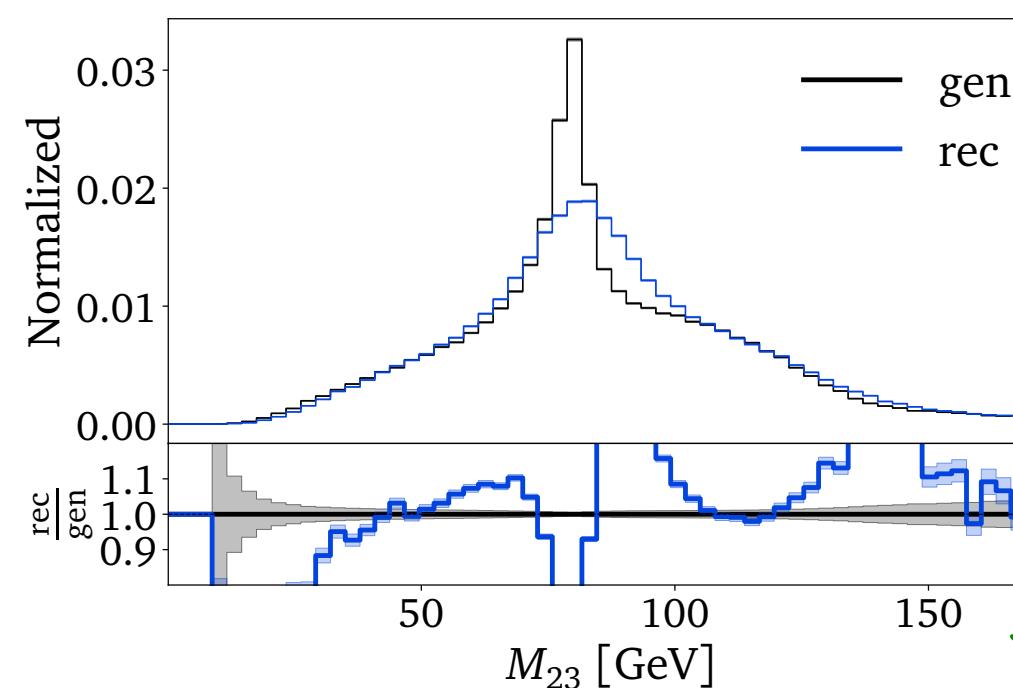
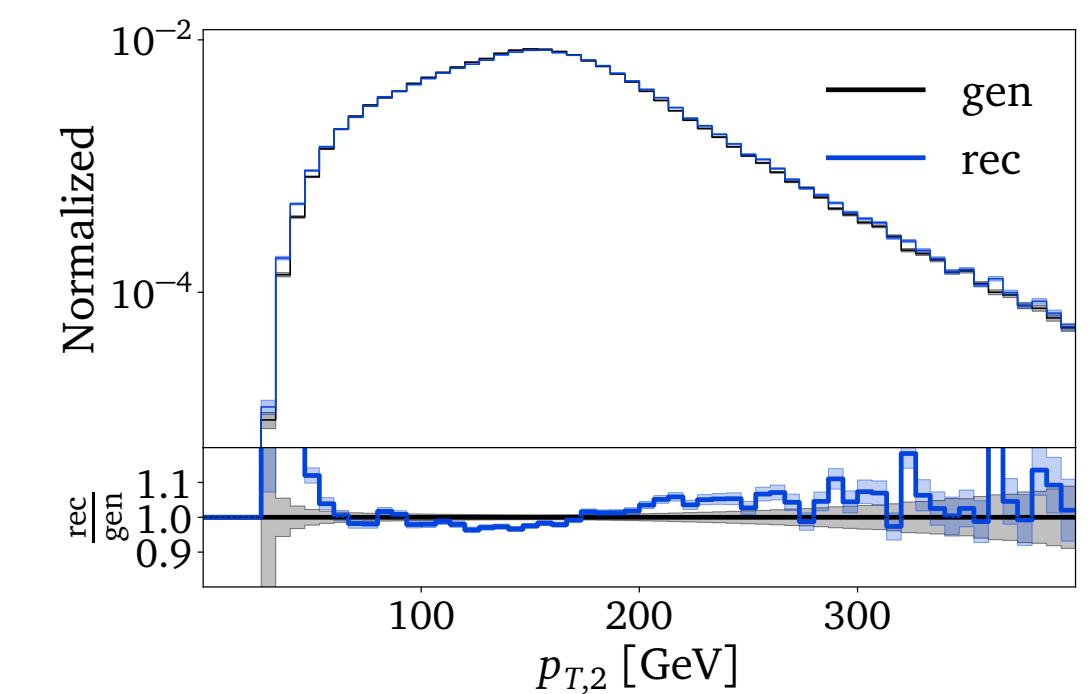
3. The least naive

$$\left. \begin{array}{l} p_1 = (p_{T,1}, M_{12}, \eta_1, m_1) \\ p_2 = (p_{T,2}, M_{23}, \eta_2, m_2) \\ p_3 = (p_{T,3}, M_{13}, \eta_3, m_3) \end{array} \right\}$$

$$M_{jjj}^2 = \sum_{ij, i>j} M_{ij}^2 - \sum_i m_i^2$$

6 dimensional correlation

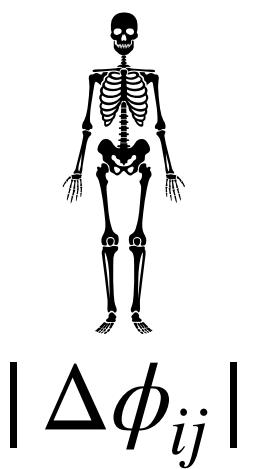
Direct input +
combinatorics simple



Reco and gen level difference
visible

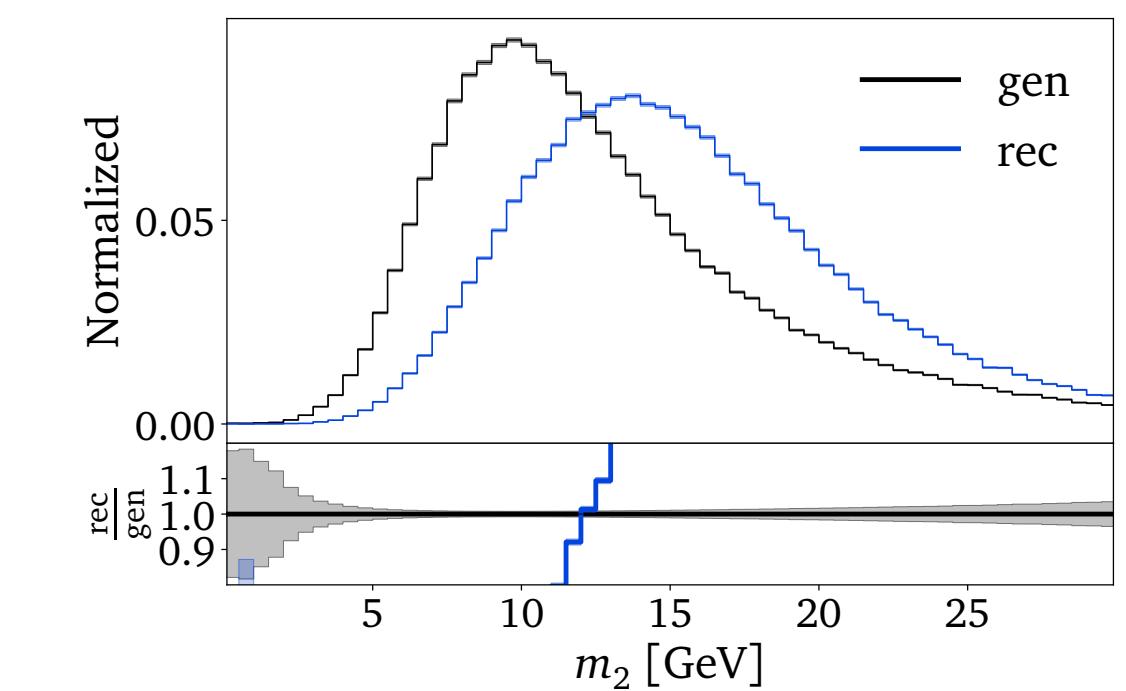
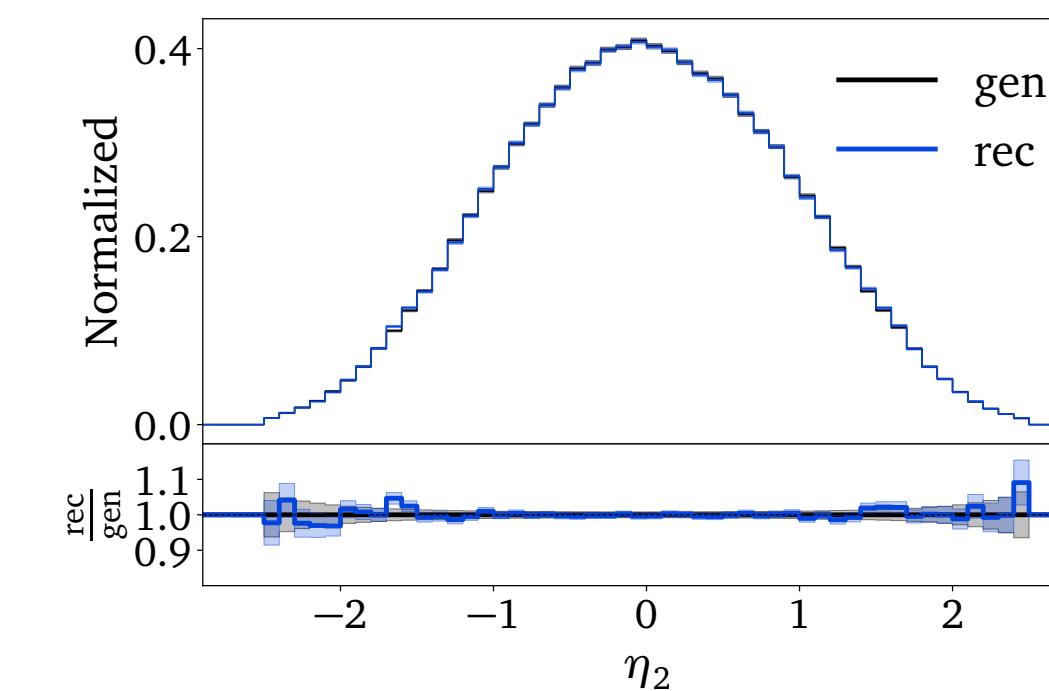
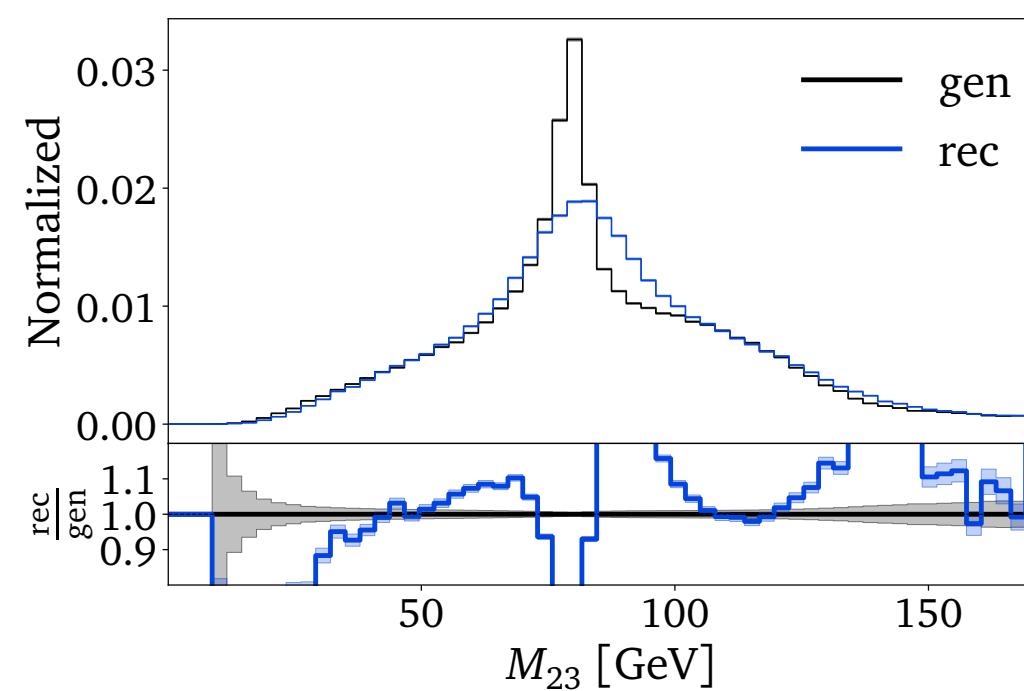
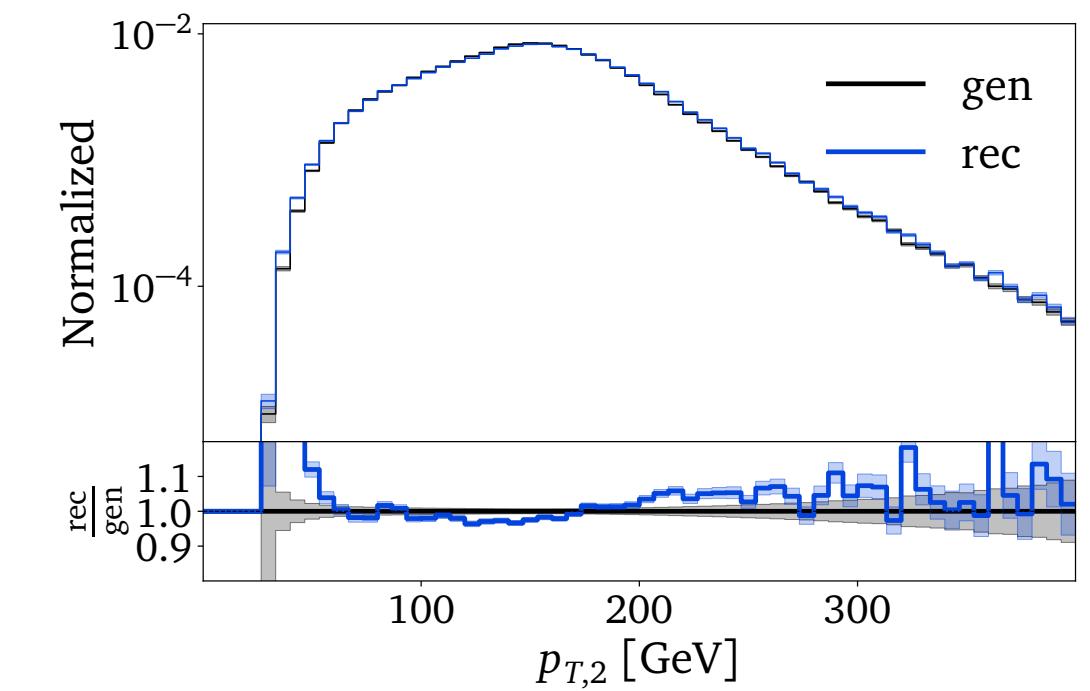
Choosing the right parametrization

3. The least naive



$|\Delta\phi_{ij}|$

$$\left. \begin{array}{l} p_1 = (p_{T,1}, M_{12}, \eta_1, m_1) \\ p_2 = (p_{T,2}, M_{23}, \eta_2, m_2) \\ p_3 = (p_{T,3}, M_{13}, \eta_3, m_3) \end{array} \right\} M_{jjj}^2 = \sum_{ij, i>j} M_{ij}^2 - \sum_i m_i^2$$

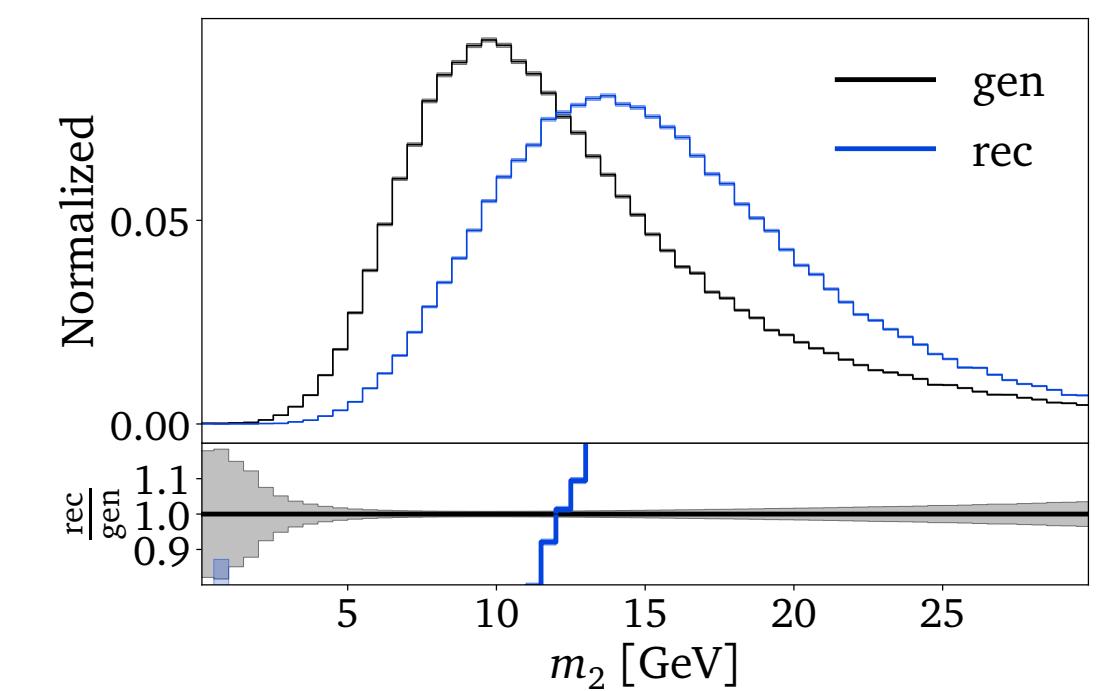
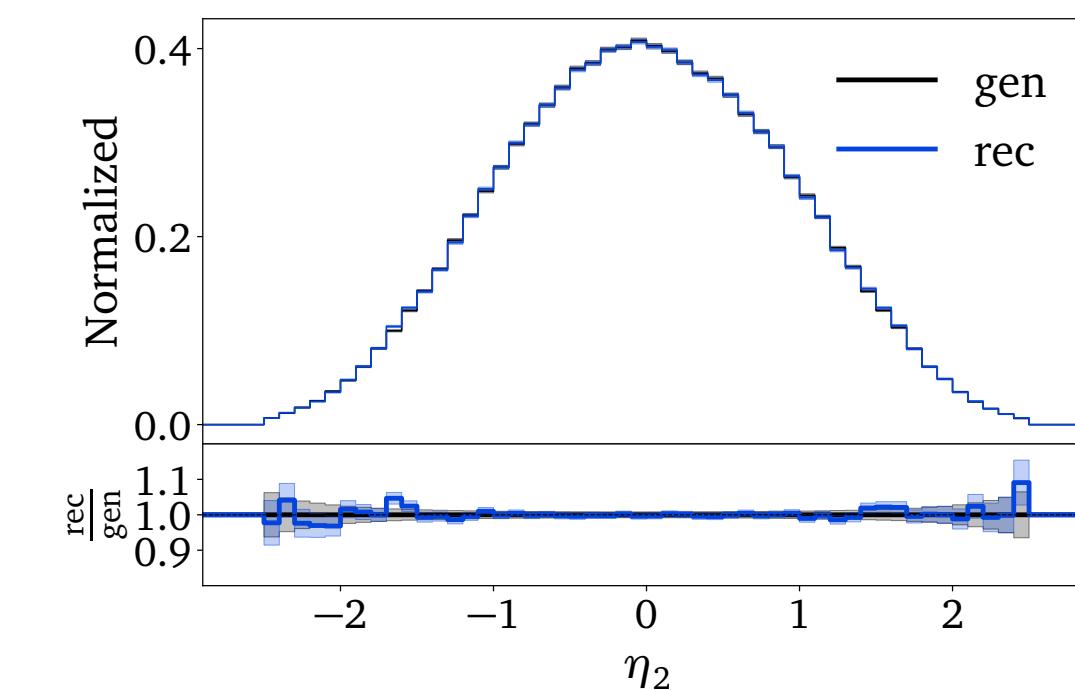
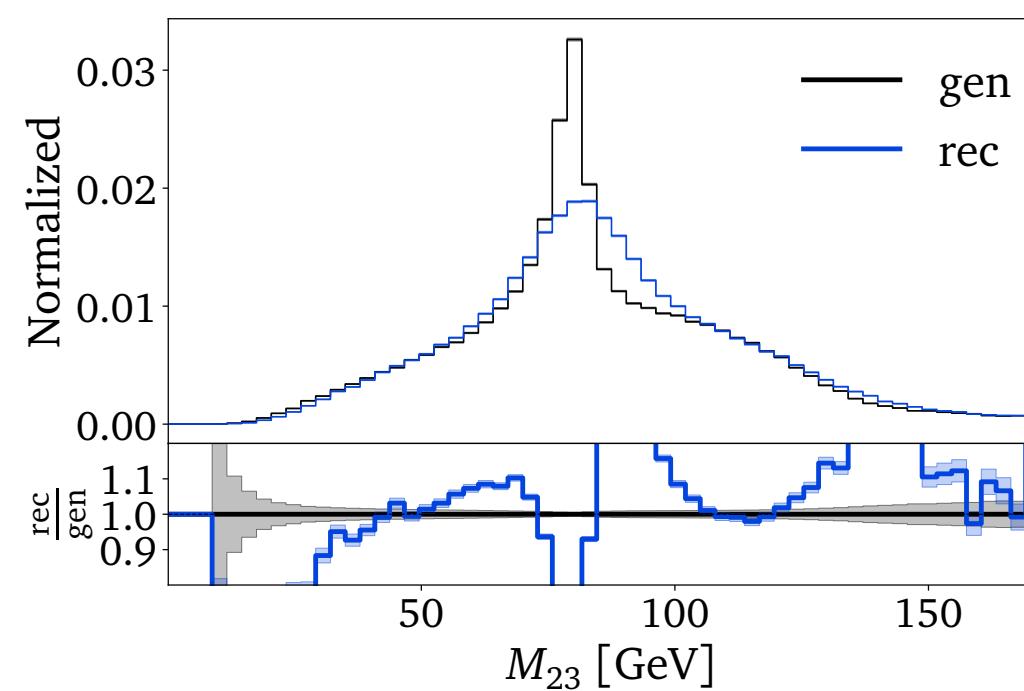
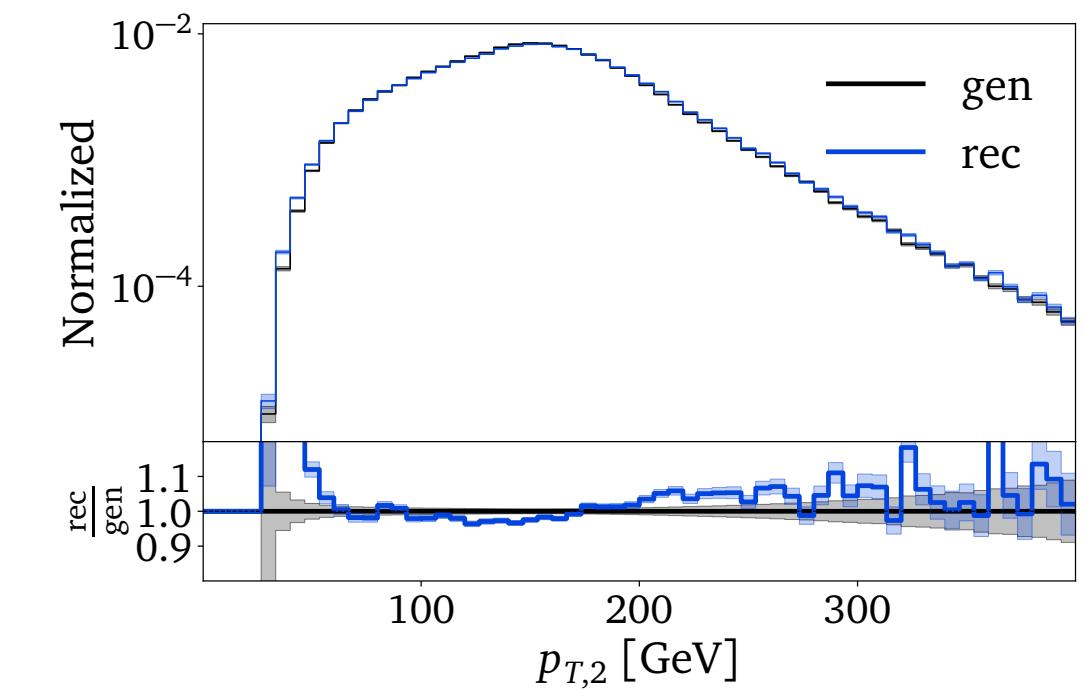


Choosing the right parametrization

3. The least naive



$$\left. \begin{array}{l} p_1 = (p_{T,1}, M_{12}, \eta_1, m_1) \\ p_2 = (p_{T,2}, M_{23}, \eta_2, m_2) \\ p_3 = (p_{T,3}, M_{13}, \eta_3, m_3) \end{array} \right\} M_{jjj}^2 = \sum_{ij, i>j} M_{ij}^2 - \sum_i m_i^2$$



Choosing the right parametrization

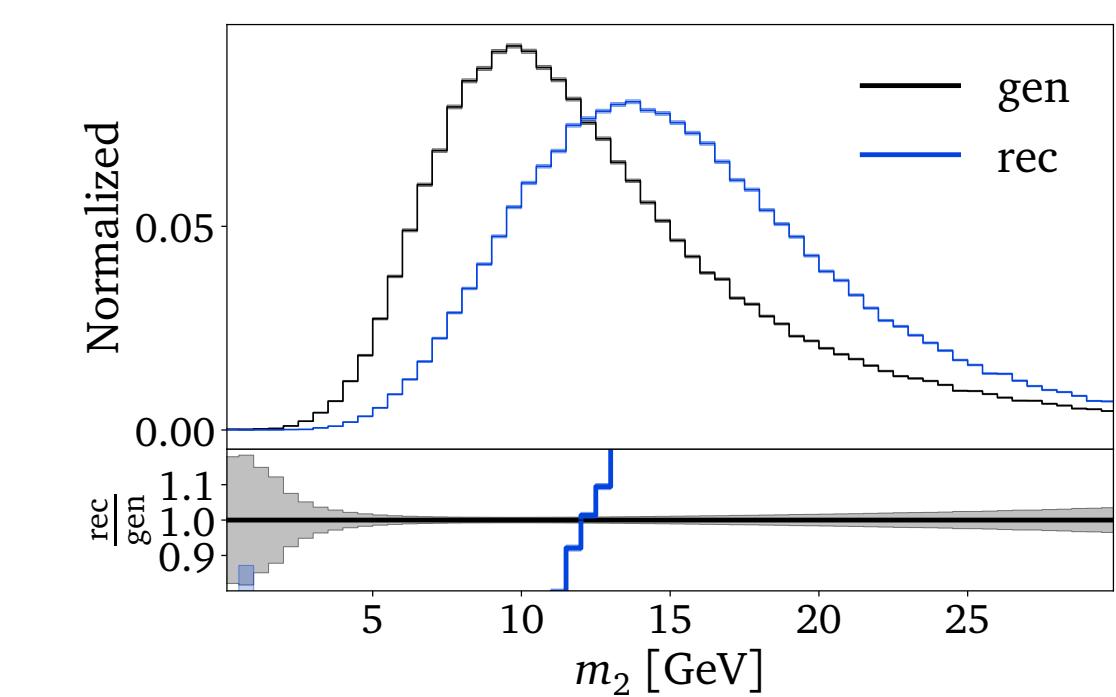
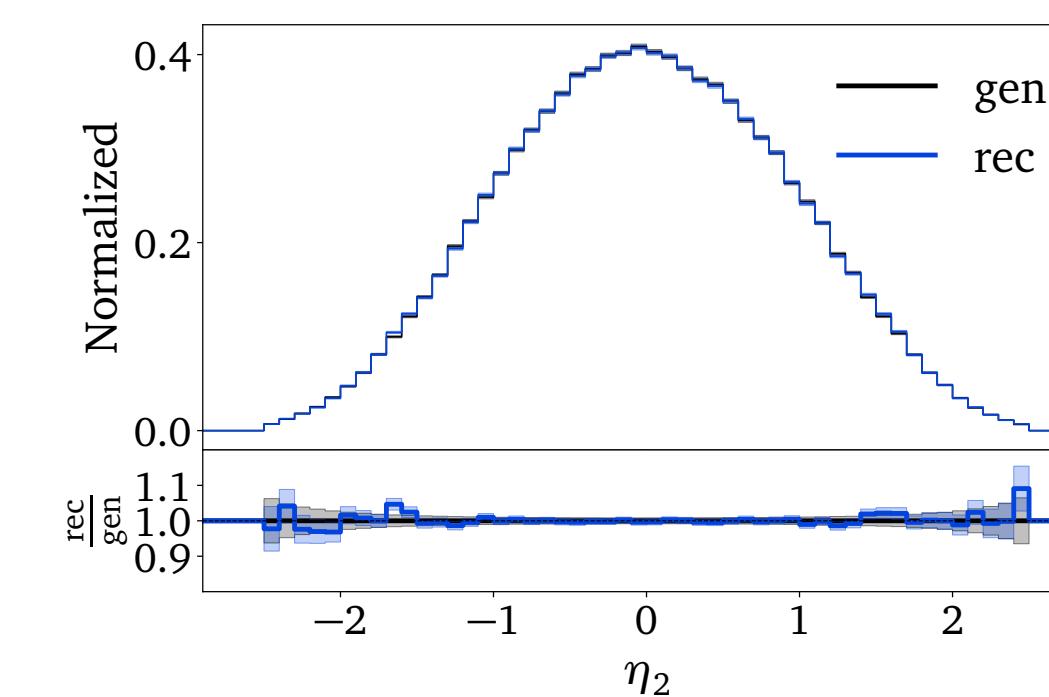
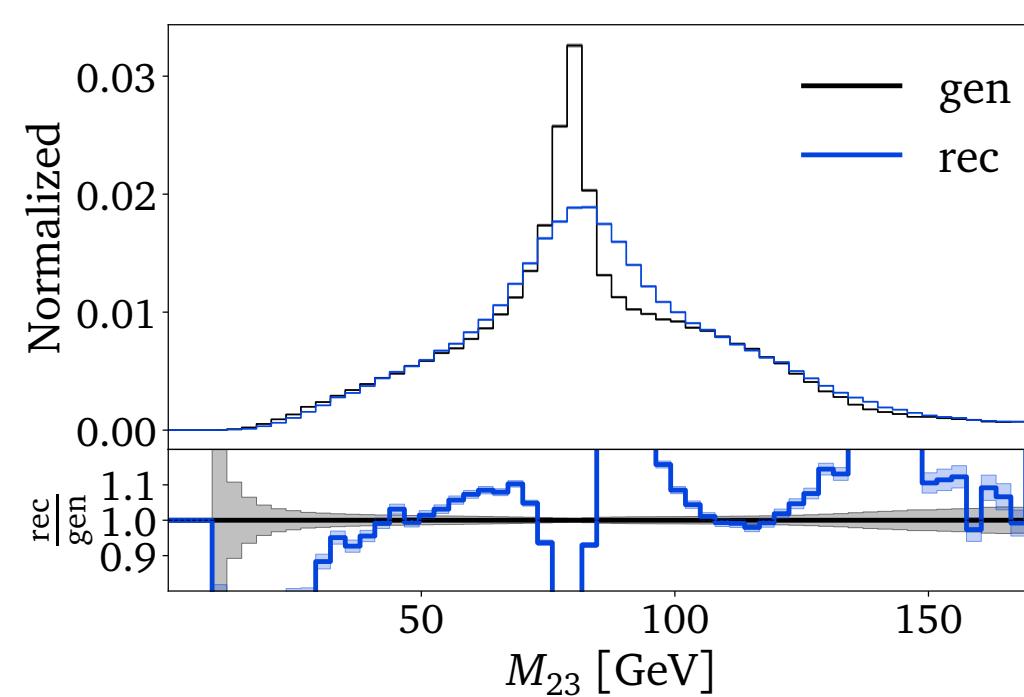
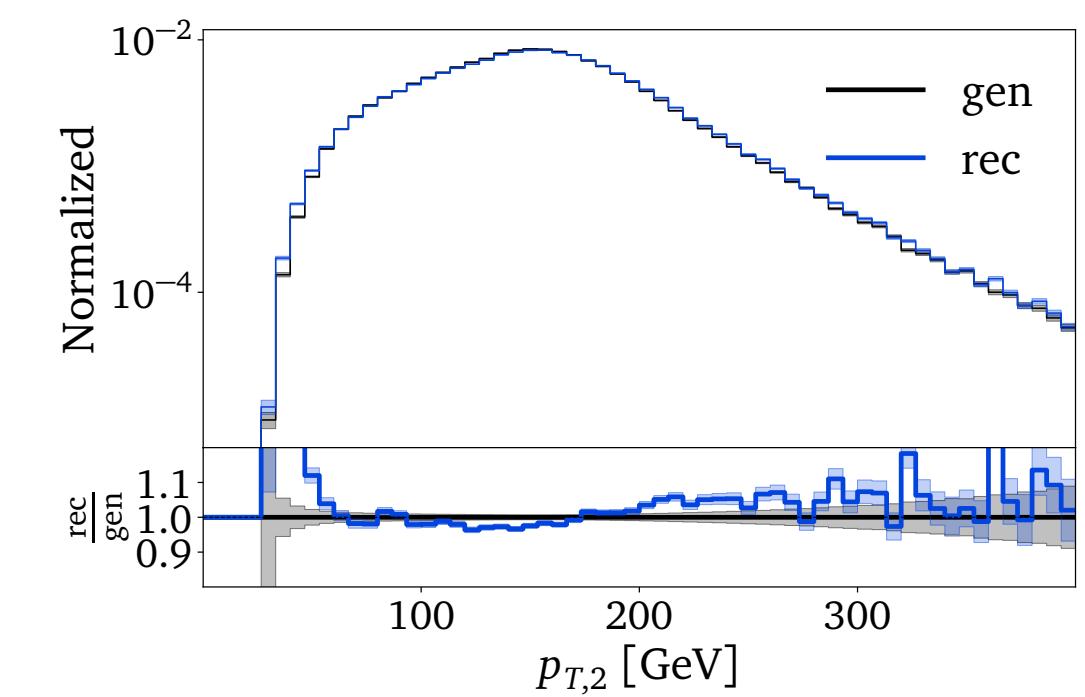
3. The least naive



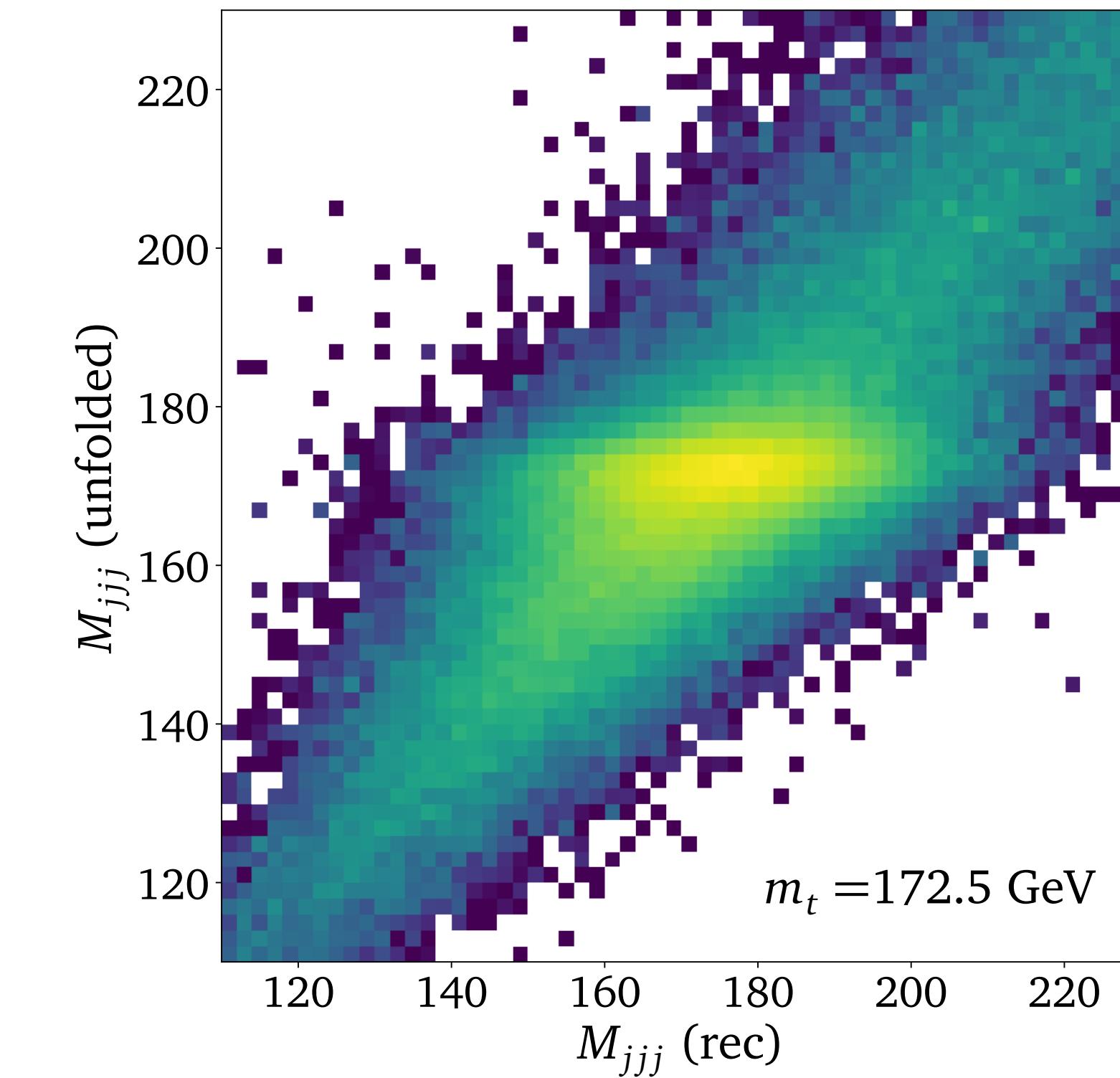
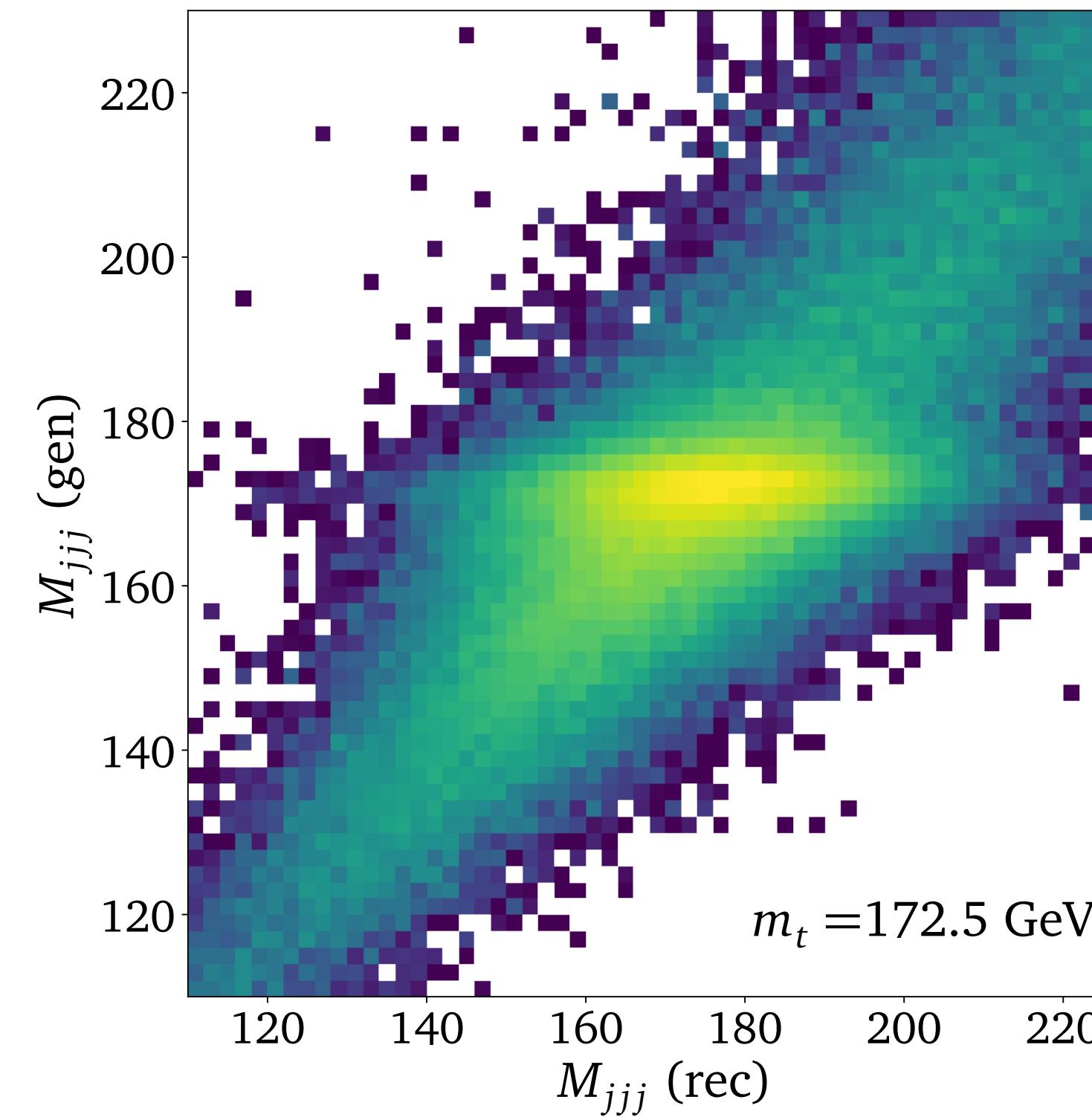
$$\left. \begin{aligned} p_1 &= (p_{T,1}, M_{12}, \eta_1, m_1) \\ p_2 &= (p_{T,2}, M_{23}, \eta_2, m_2) \\ p_3 &= (p_{T,3}, M_{13}, \eta_3, m_3) \end{aligned} \right\}$$

$$M_{jjj}^2 = \sum_{ij, i>j} M_{ij}^2 - \sum_i m_i^2$$

For mass measurement, we only use 6 dimensional subset of phase space to increase network performance



Model-Dependence



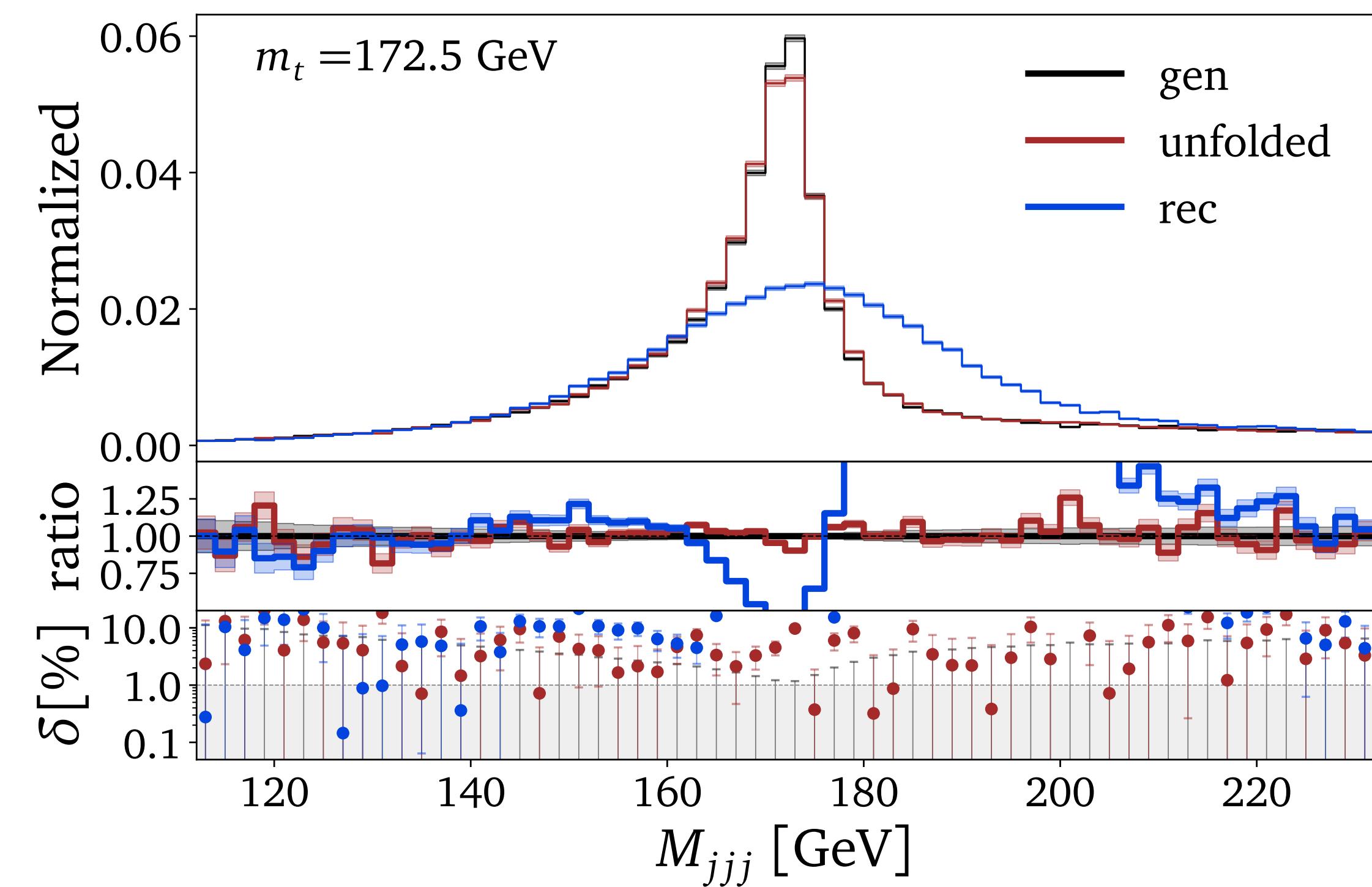
Correct migration learned?

Model-Dependence?

Train with full CMS simulation with
 $m_t = 172.5 \text{ GeV}$

Unfolded distribution of triple jet mass within
 $\mathcal{O}(1\%)$ of truth gen level

BUT: Test data also simulation with
 $m_t = 172.5 \text{ GeV}$



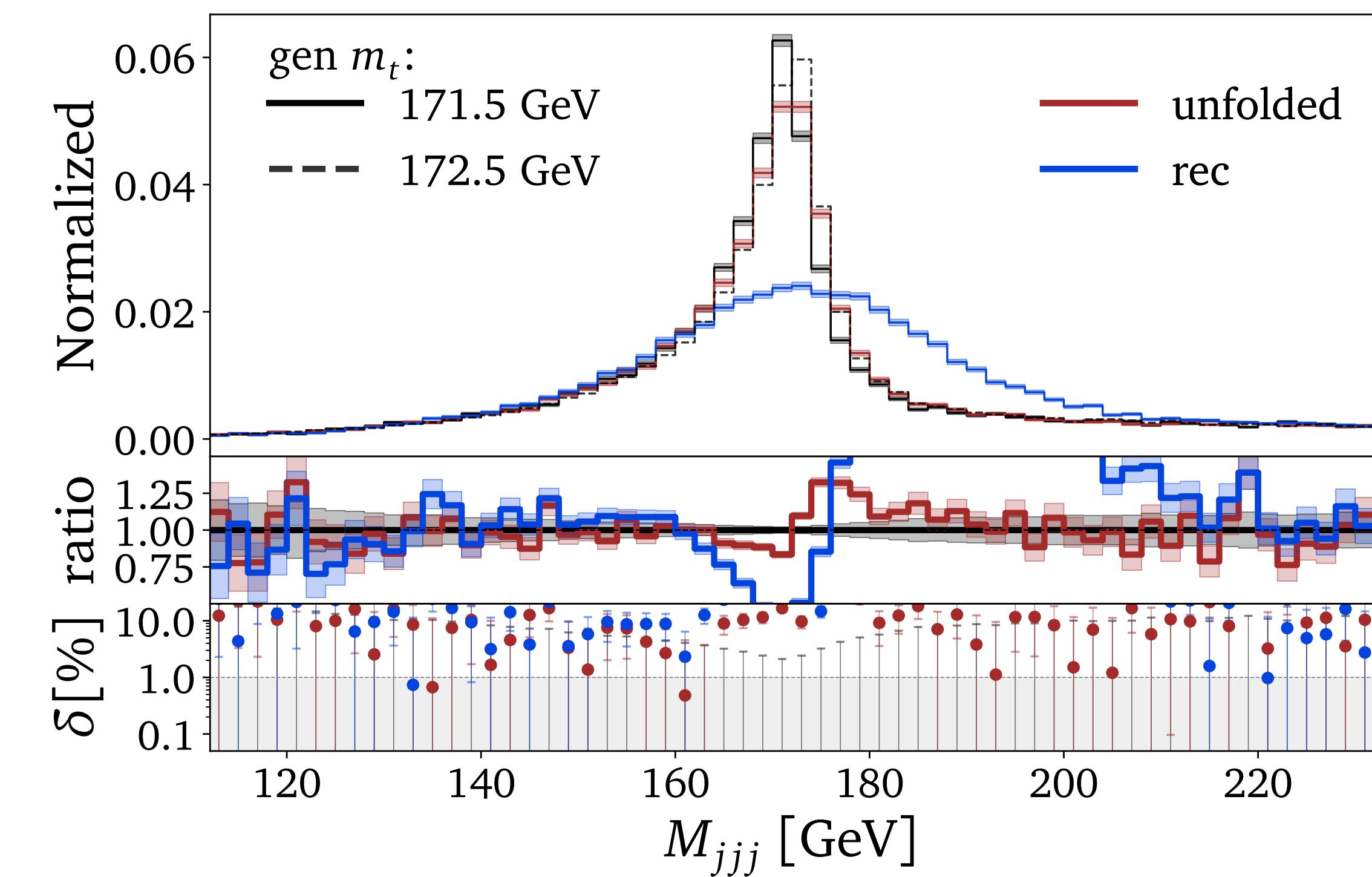
Model-Dependence!

Train with full CMS simulation with
 $m_t = 172.5$ GeV

Unfolded distribution of triple jet mass within
 $\mathcal{O}(1\%)$ of truth gen level

BUT: Test data also simulation with
 $m_t = 172.5$ GeV

For pseudo-data with different top masses :
Algorithm falls back to prior ($m_t = 172.5$ GeV)



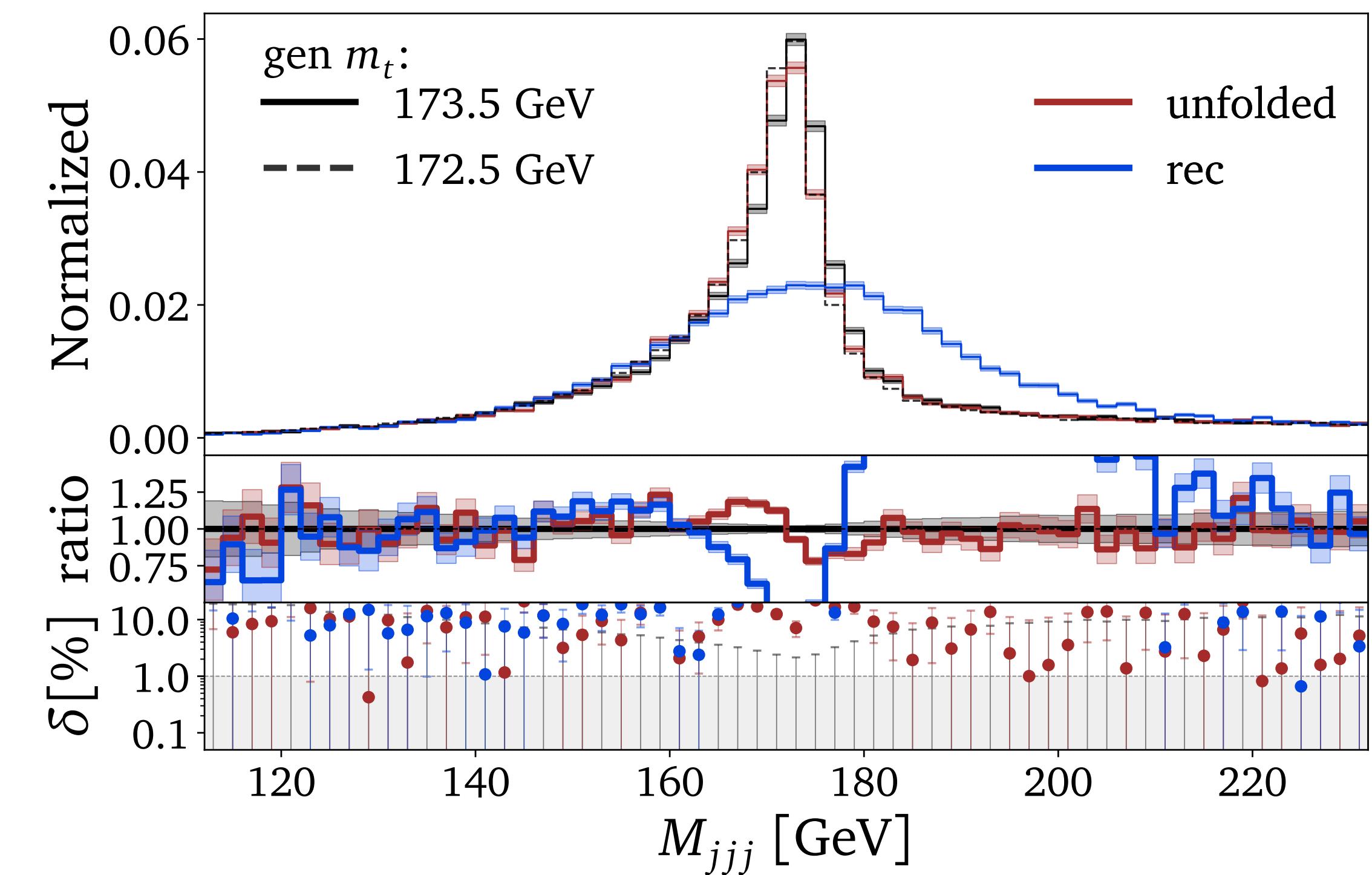
Model-Dependence!

Train with full CMS simulation with
 $m_t = 172.5$ GeV

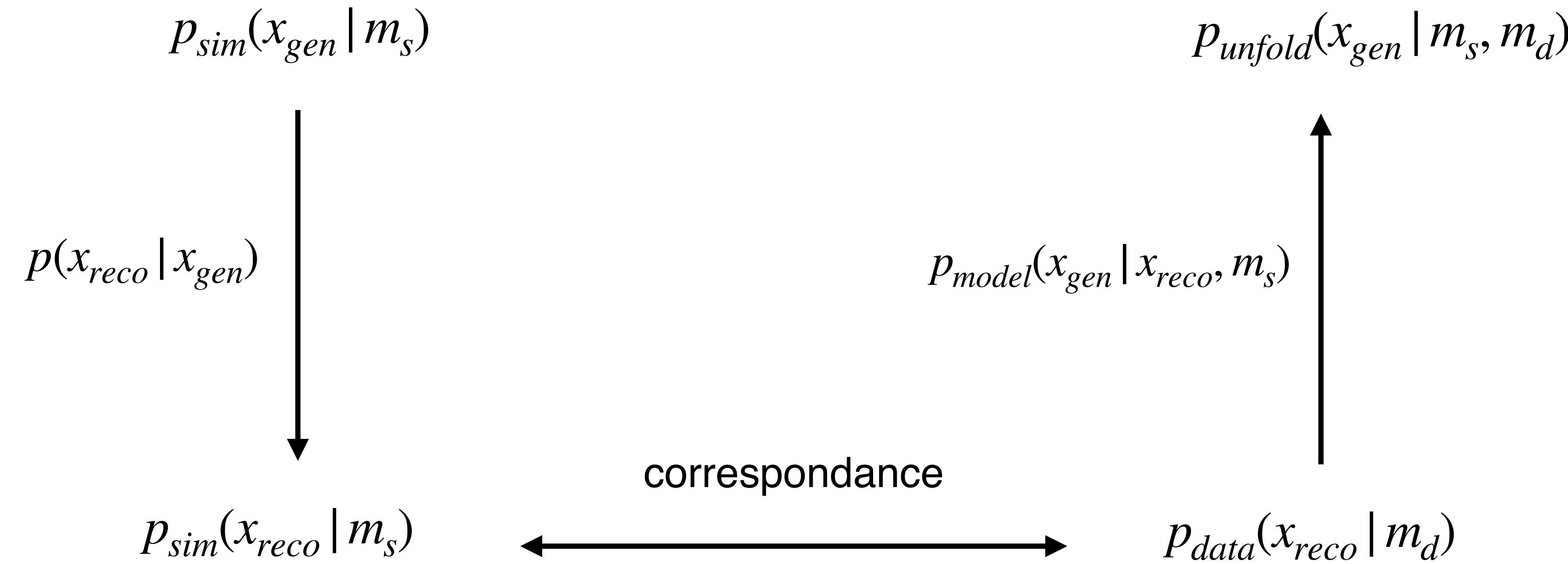
Unfolded distribution of triple jet mass within
 $\mathcal{O}(1\%)$ of truth gen level

BUT: Test data also simulation with
 $m_t = 172.5$ GeV

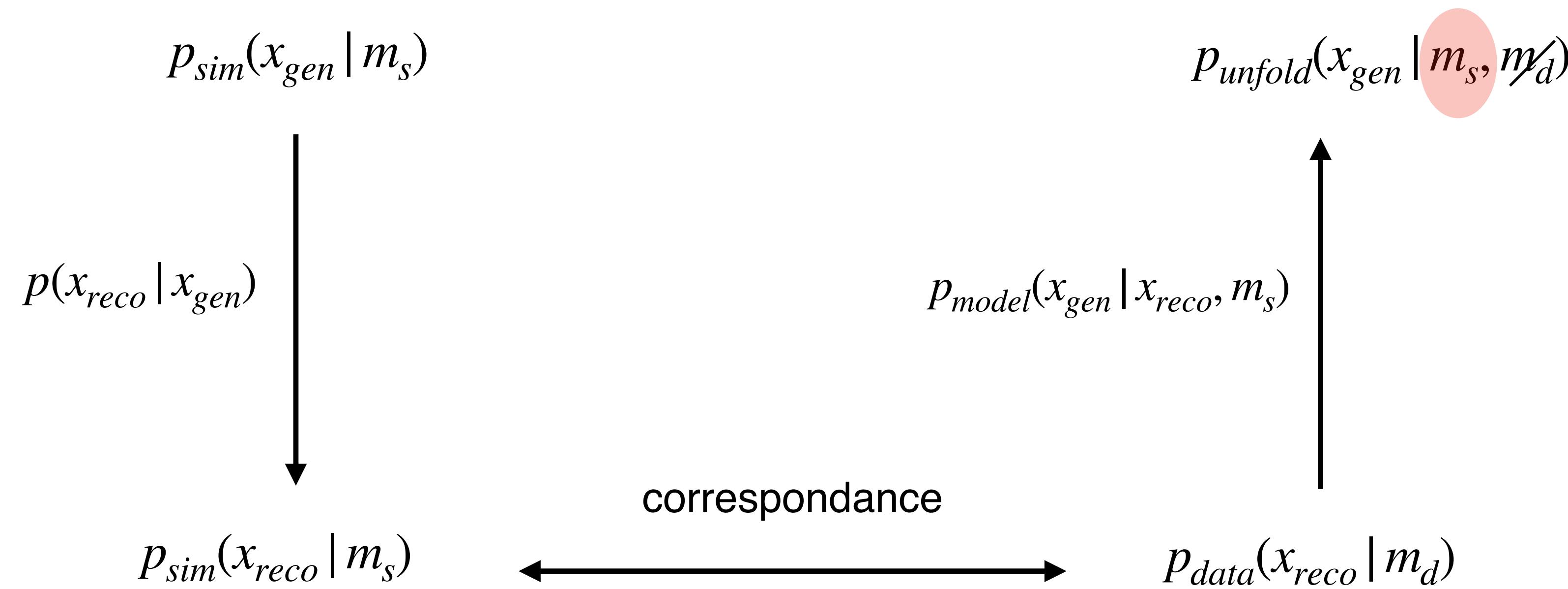
For pseudo-data with different top masses :
Algorithm falls back to prior ($m_t = 172.5$ GeV)



Removing Model-Dependence

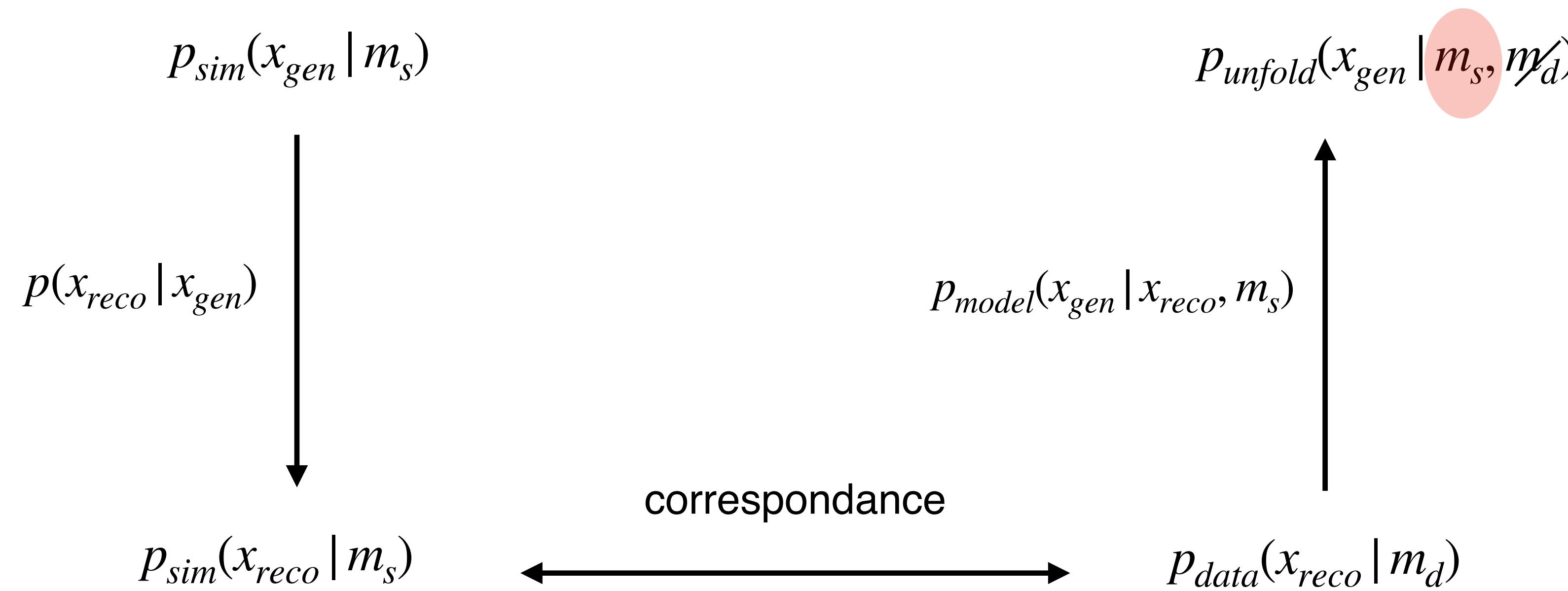


Removing Model-Dependence



→ **Solution: Strengthen m_d dependence, but how?**

Removing Model-Dependence



→ **Solution: Strengthen m_d dependence, but how?**

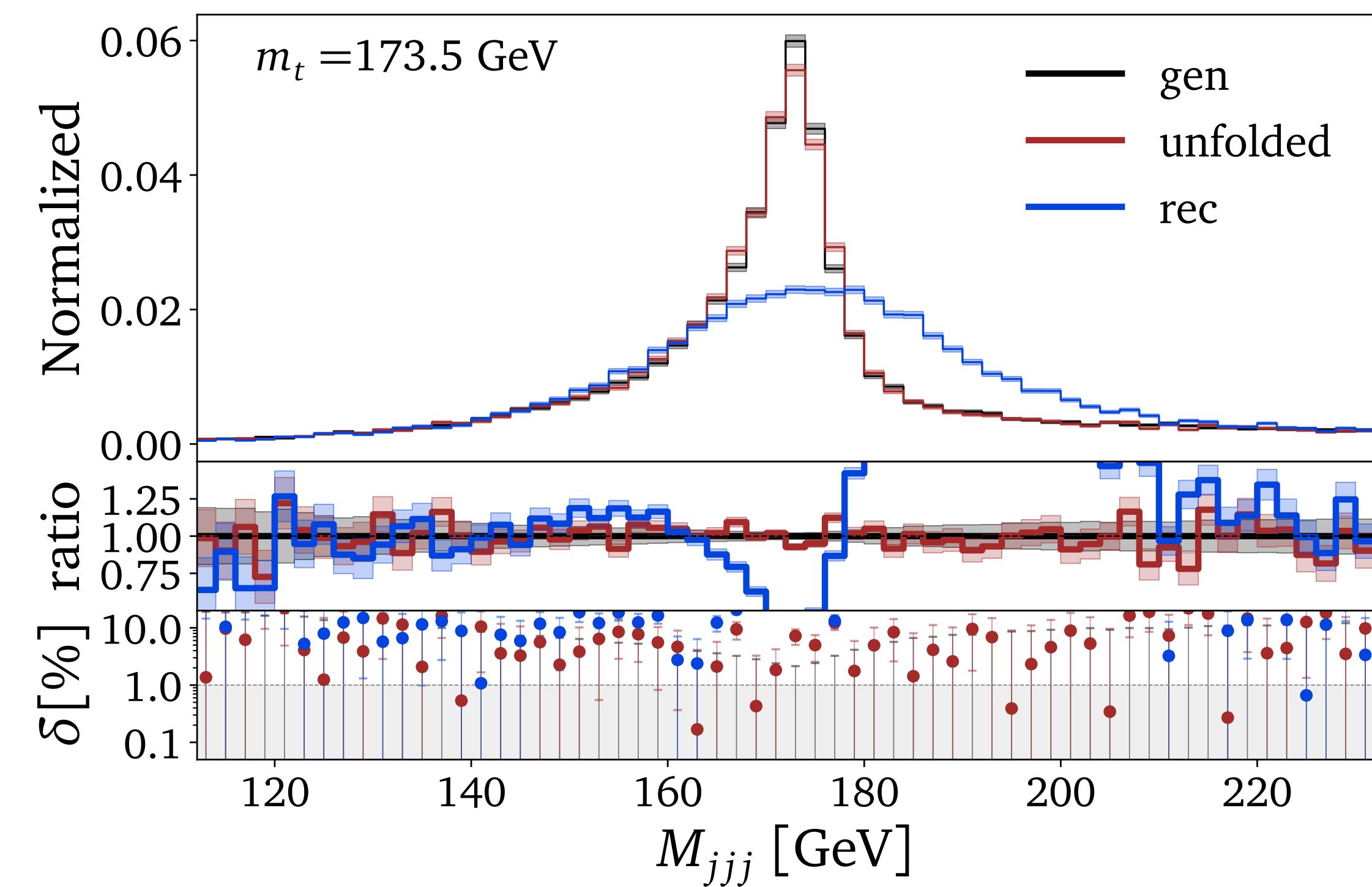
1. Augment training data with simulation from different top masses
2. Estimate batch-wise $m_d \approx \text{weighted-median}(M_{jjj}^{batch})$ on reco level

Removing Model-Dependence!

Train with full CMS simulation with
 $m_t = [172.5 \text{ GeV}, 169.5 \text{ GeV}, 175.5 \text{ GeV}]$

Test by unfolding simulation with
 $m_t = 171.5 \text{ GeV} \& 173.5 \text{ GeV}$

Unfolded distribution of triple jet mass within
 $\mathcal{O}(1\%)$ of truth gen level **without bias**

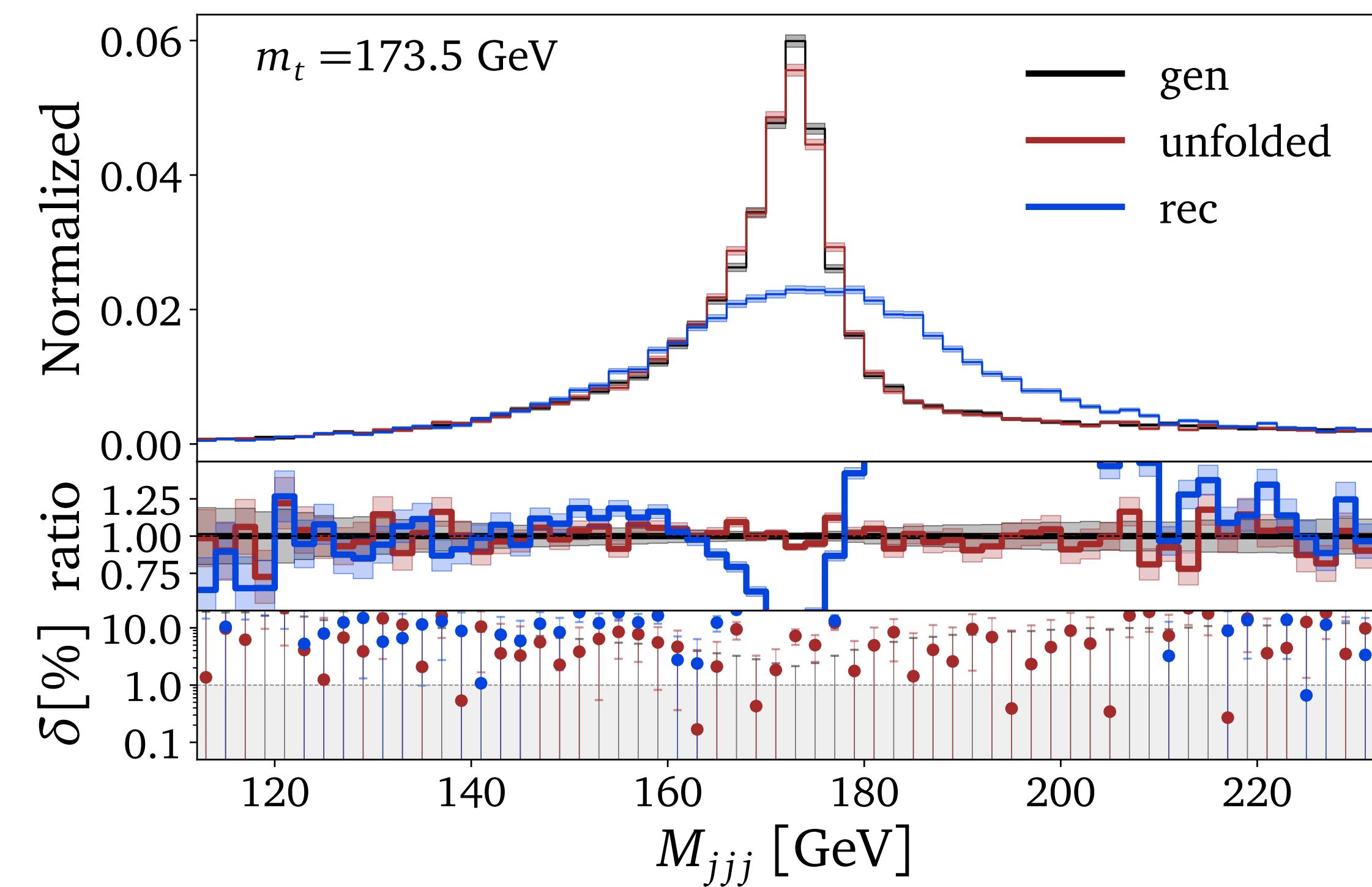


Removing Model-Dependence!

Train with full CMS simulation with
 $m_t = [172.5 \text{ GeV}, 169.5 \text{ GeV}, 175.5 \text{ GeV}]$

Test by unfolding simulation with
 $m_t = 171.5 \text{ GeV} \& 173.5 \text{ GeV}$

Unfolded distribution of triple jet mass within
 $\mathcal{O}(1\%)$ of truth gen level **without bias**



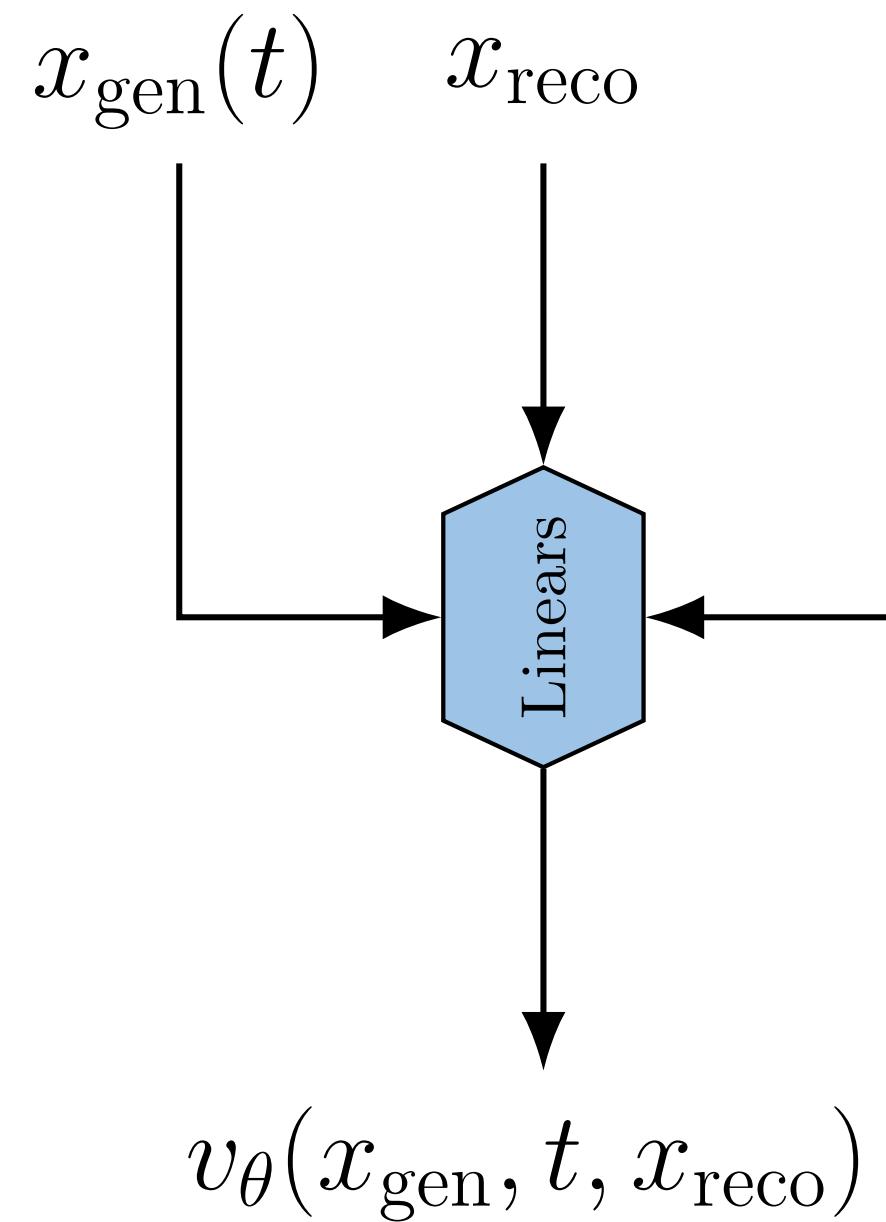
ML task becomes much harder

Removing Model-Dependence!

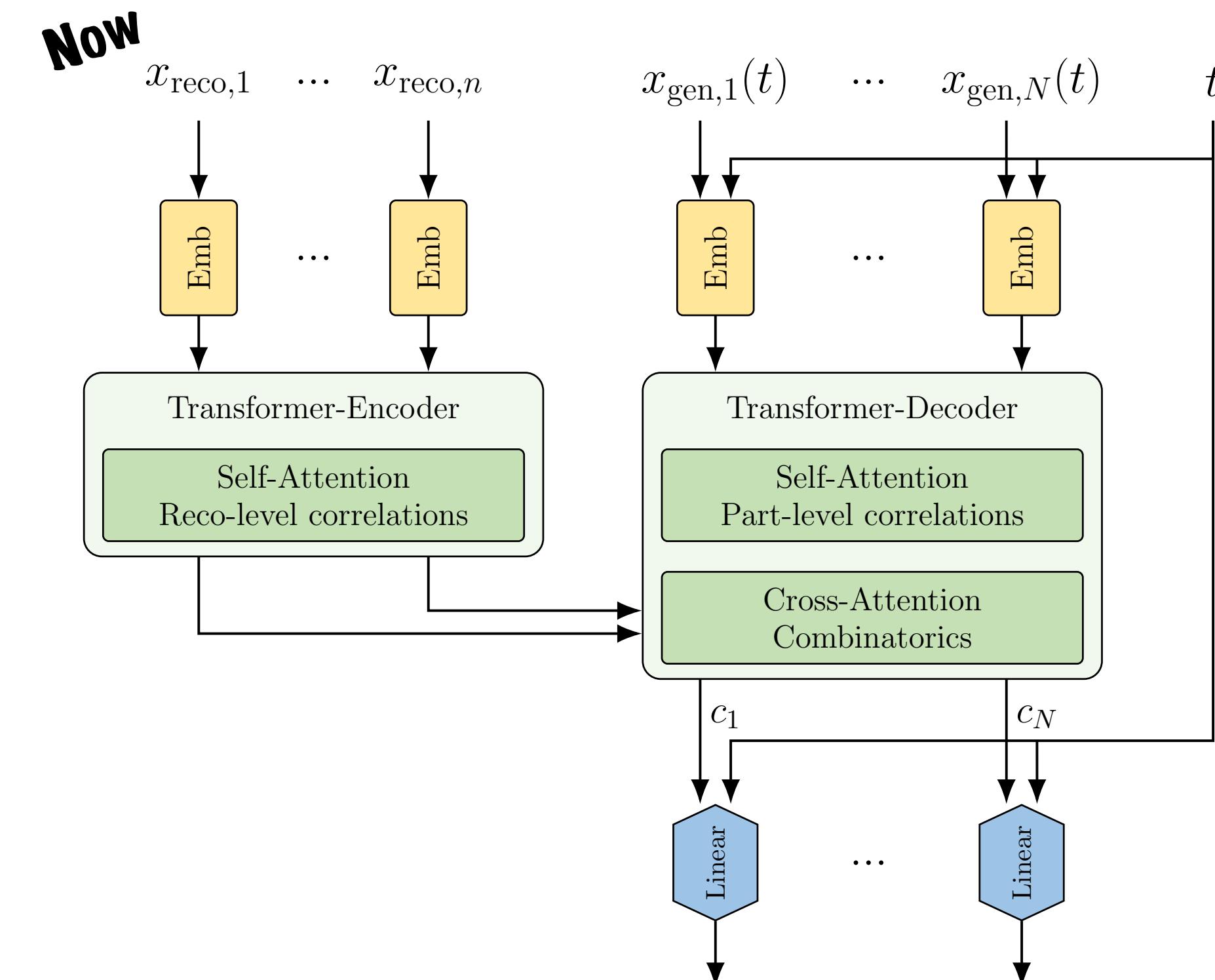


ML task becomes much harder

Before



vs



$$v_\theta(x_{\text{gen}}(t), t, x_{\text{reco}}) = (v_\theta(c_1, t), \dots, v_\theta(c_N, t))$$

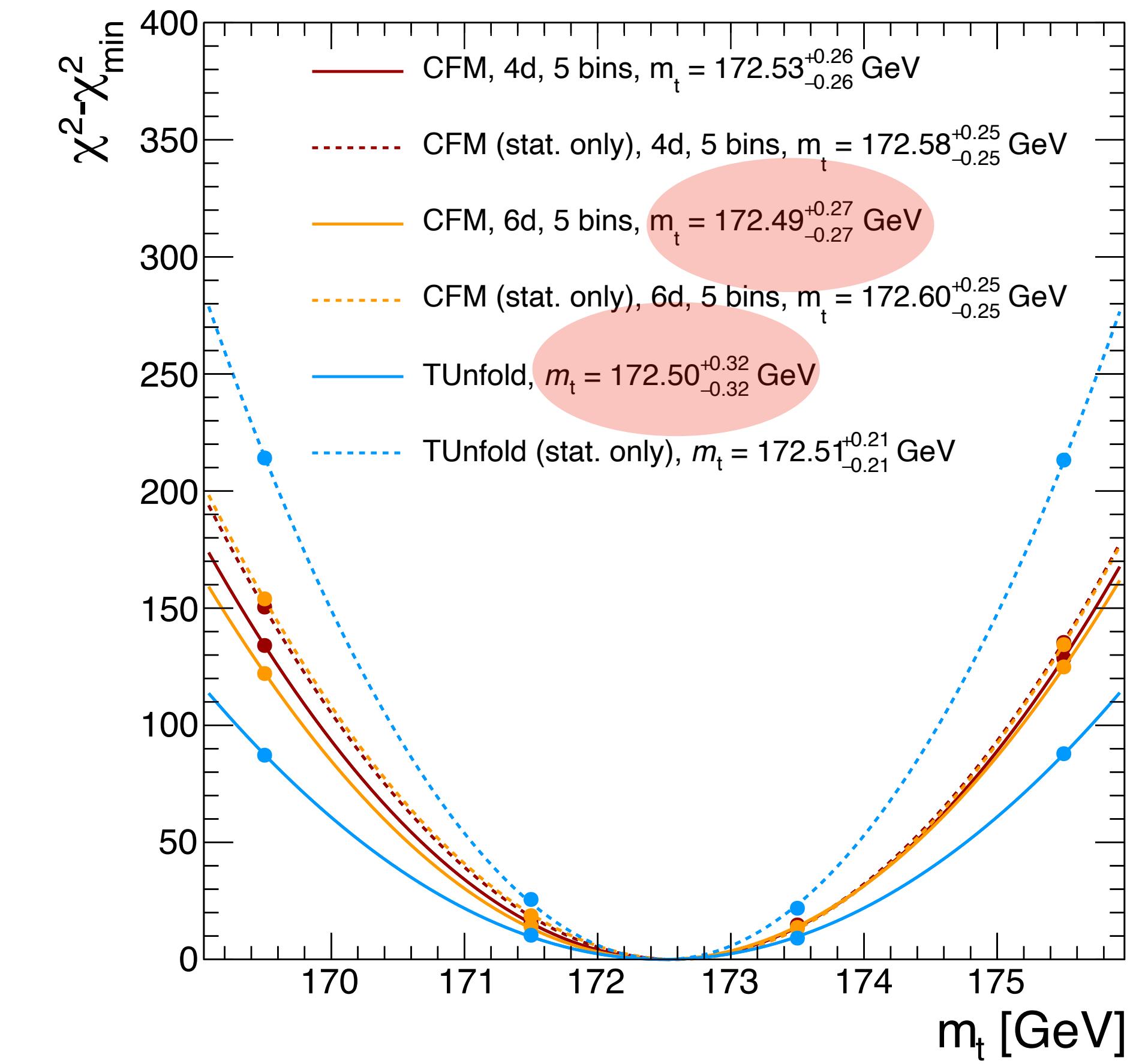
Mass Measurement

For a fixed top mass:

Choose subset of test data of 41000 reco level events

Unfolded 1000 bootstrapped replicas

Estimate covariance matrix and mean by 1000 different unfolded distributions



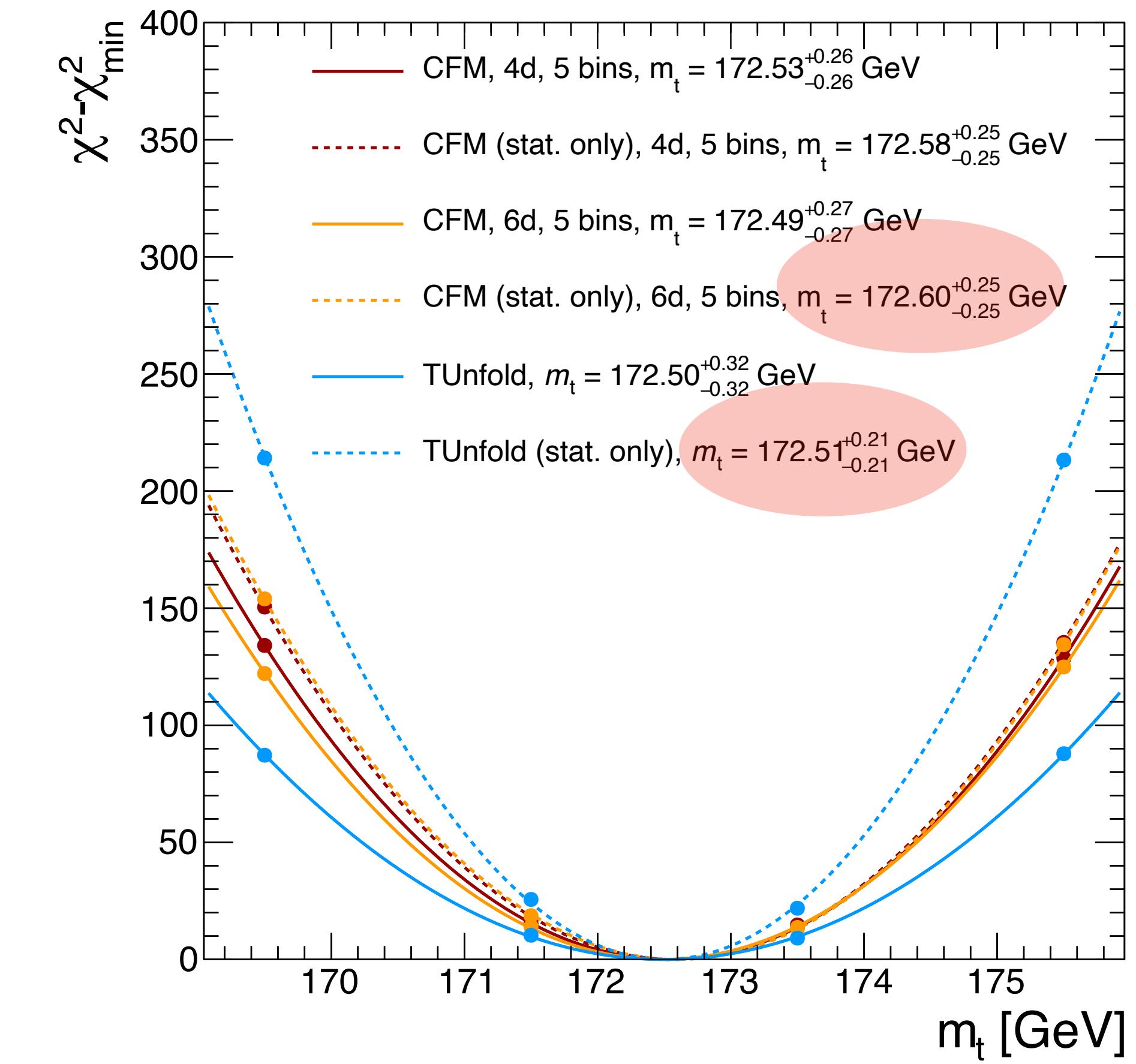
Mass Measurement

For a fixed top mass:

Choose subset of test data of 41000 reco level events

Unfolded 1000 bootstrapped replicas

Estimate covariance matrix and mean by 1000 different unfolded distributions



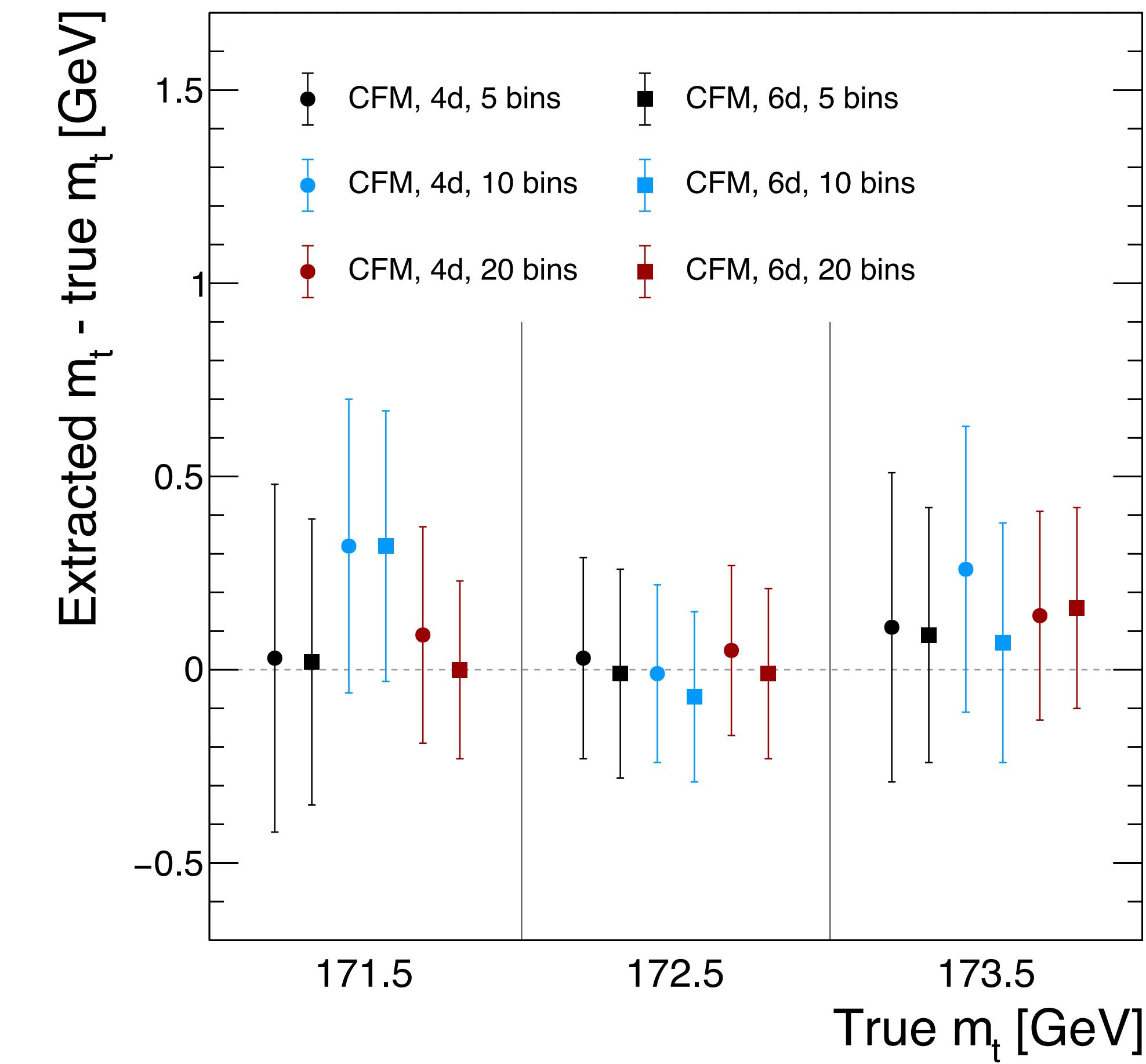
Mass Measurement

For a fixed top mass:

Choose subset of test data of 41000 reco level events

Unfolded 1000 bootstrapped replicas

Estimate covariance matrix and mean by 1000 different unfolded distributions



→ Reliably unfold triple jet mass without bias

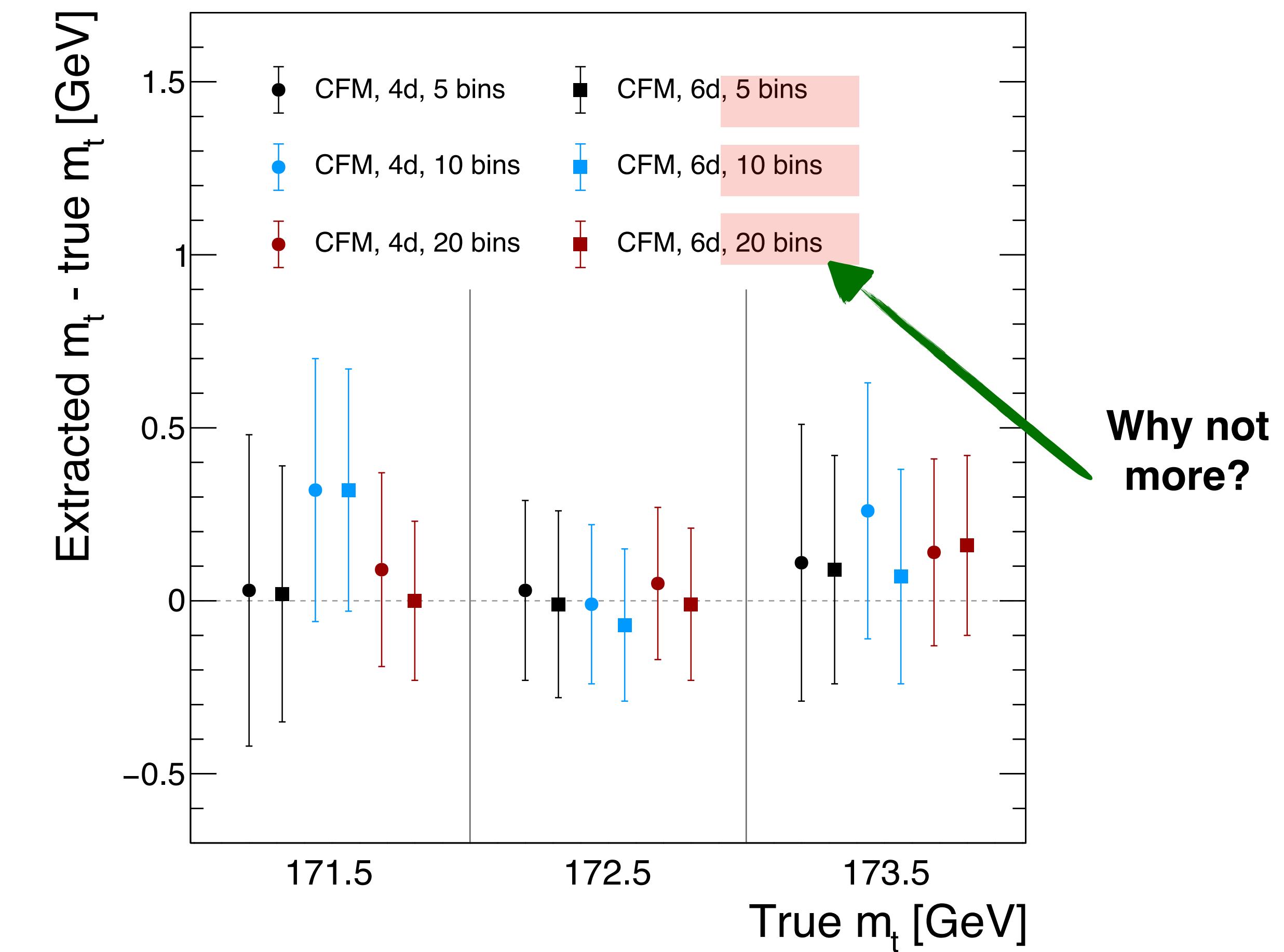
Mass Measurement

For a fixed top mass:

Choose subset of test data of 41000 reco level events

Unfolded 1000 bootstrapped replicas

Estimate covariance matrix and mean by 1000 different unfolded distributions



→ Reliably unfold triple jet mass without bias

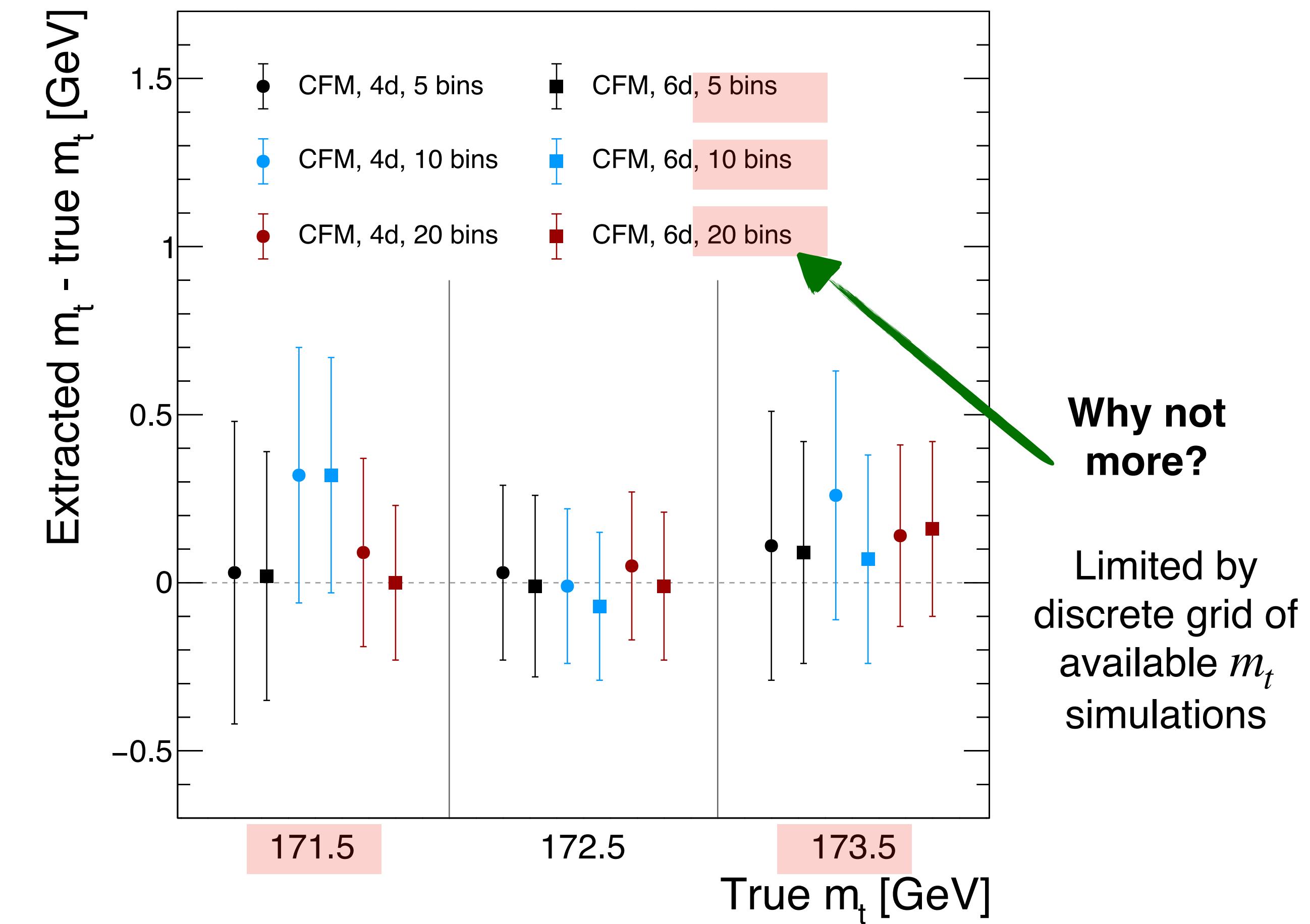
Mass Measurement

For a fixed top mass:

Choose subset of test data of 41000 reco level events

Unfolded 1000 bootstrapped replicas

Estimate covariance matrix and mean by 1000 different unfolded distributions

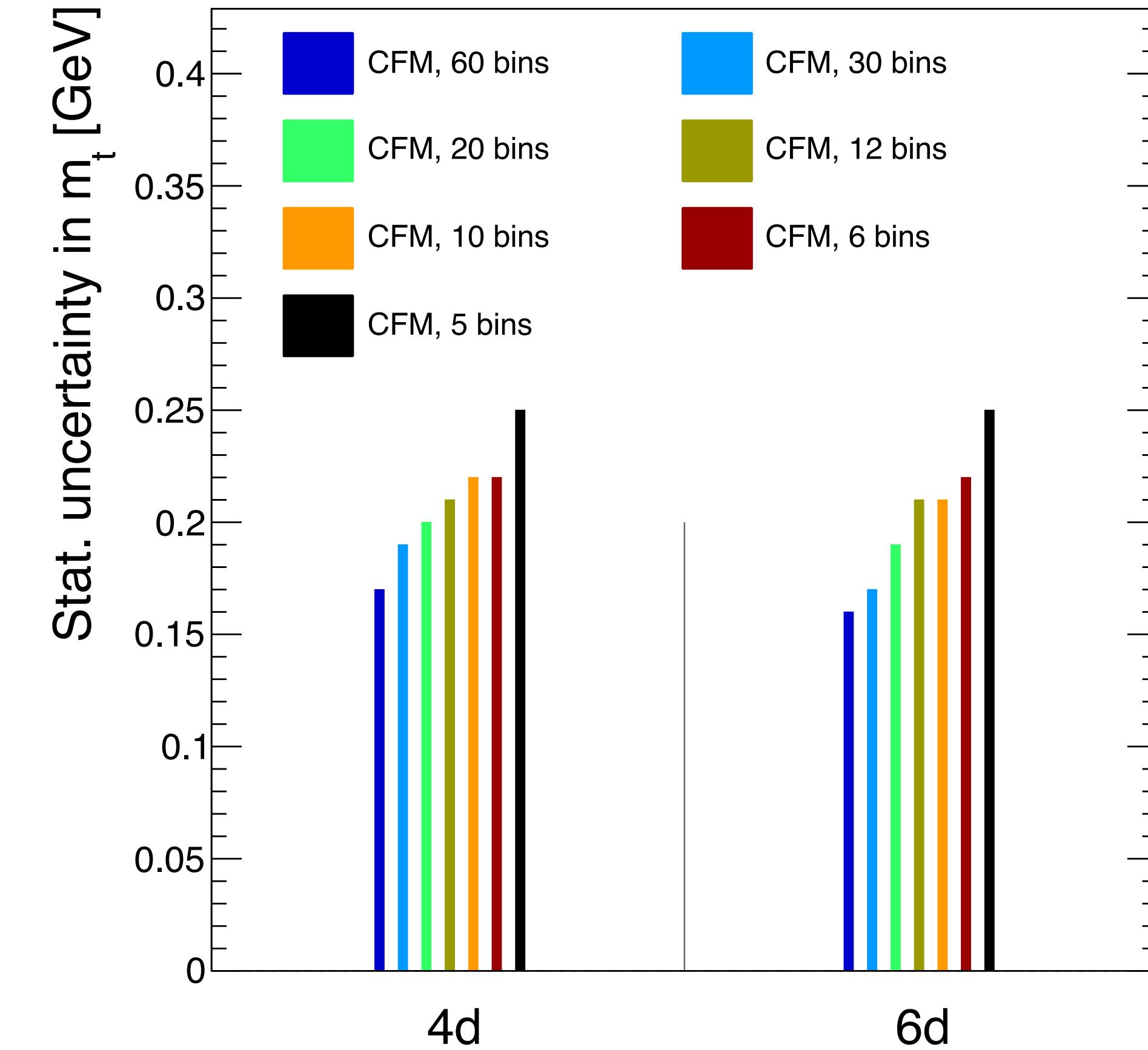


→ Reliably unfold triple jet mass without bias

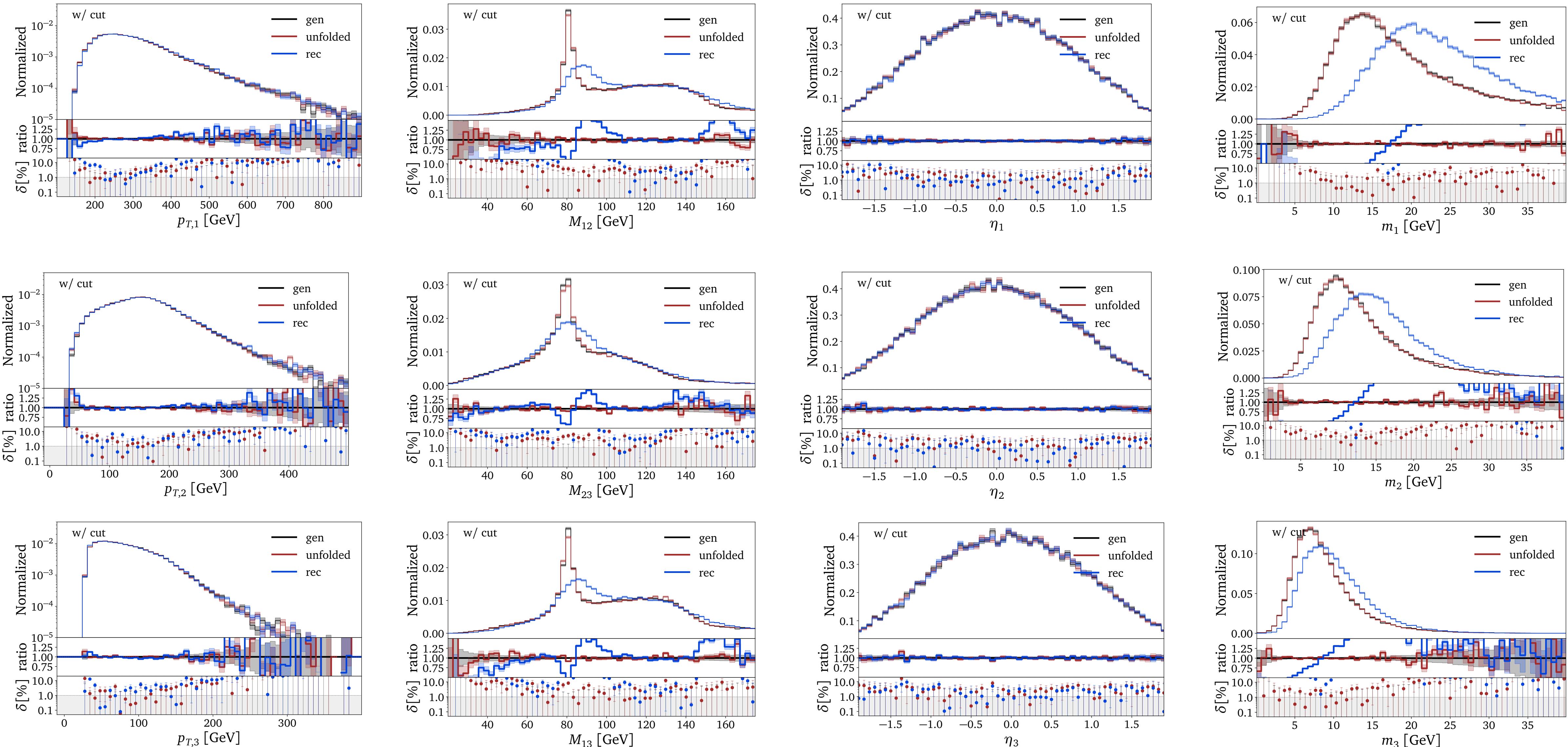
Mass Measurement

For $m_t = 172.5$ GeV, we have a close grid of available simulations (± 1 GeV)

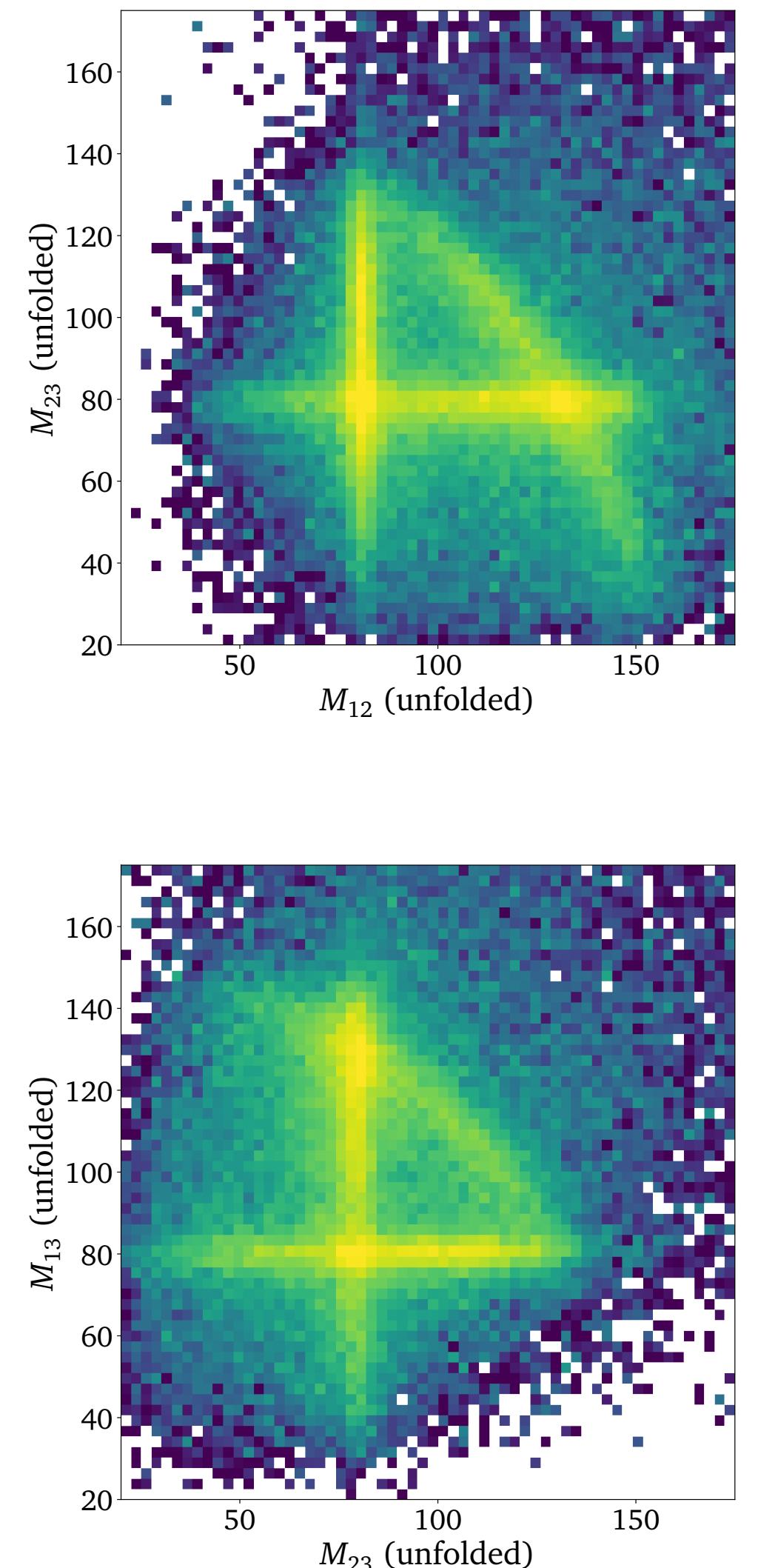
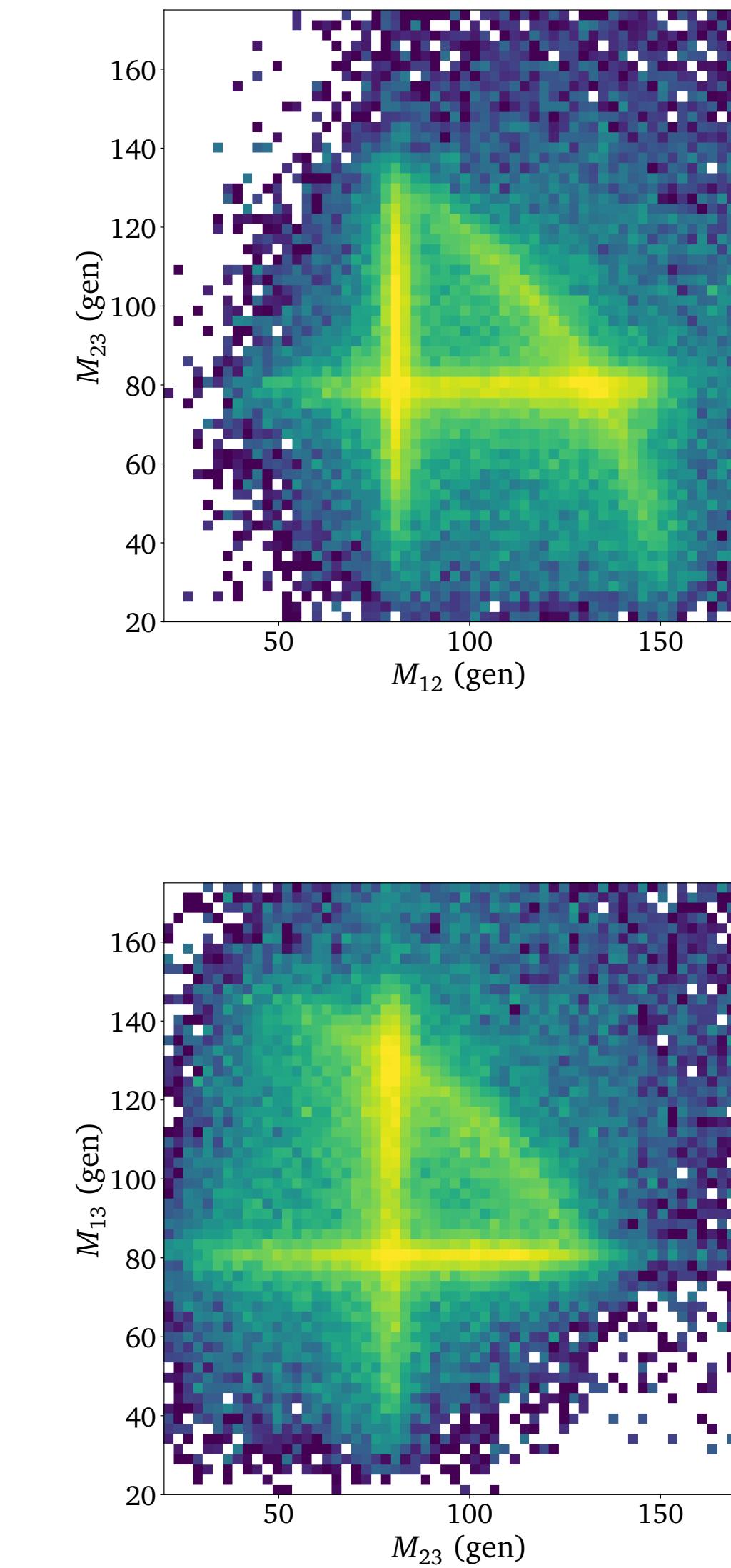
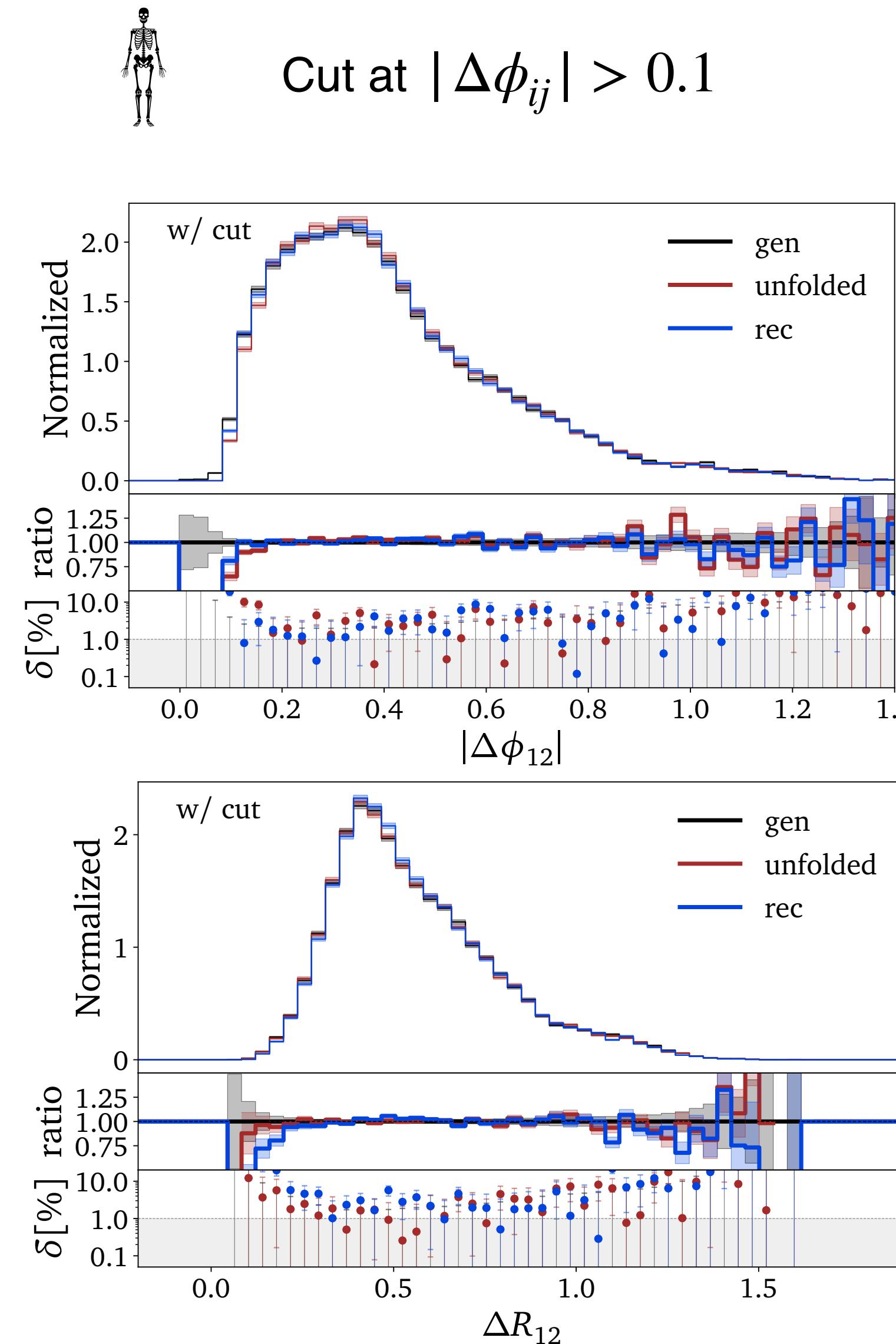
Statistical uncertainty for 60 bins decreases by 36%



Full Phase Space Unfolding (12d)



Full Phase Space Unfolding (12d) - Correlations



And now what?

Generative machine learning allows for unbinned,
high dimensional unfolding

Unbiased networks can enhance precision in e.g.
top mass measurement

Crucial step to build generative unfolding into
existing LHC analysis

Proposal of analysis pipeline:

1. Event Selection
2. Jet calibration
3. Unfold subset
4. Measure top mass
5. Resimulate
6. Unfold full phasespace

And now what?

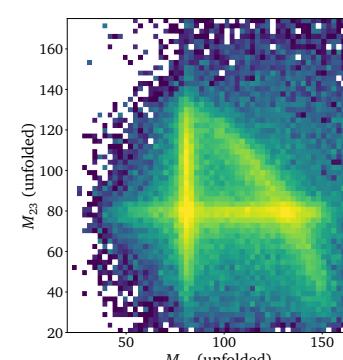
Generative machine learning allows for unbinned, high dimensional unfolding

Unbiased networks can enhance precision in e.g. top mass measurement

Crucial step to build generative unfolding into existing LHC analysis

Proposal of analysis pipeline:

1. Event Selection
2. Jet calibration
3. Unfold subset
4. Measure top mass
5. Resimulate
6. Unfold full phasespace



Are there any questions?