

Application of *generative models* for full-detector, whole-event simulated event generation and jet background subtraction in heavy ion collisions

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c: University of Colorado Boulder

d: Columbia University

ML4Jets

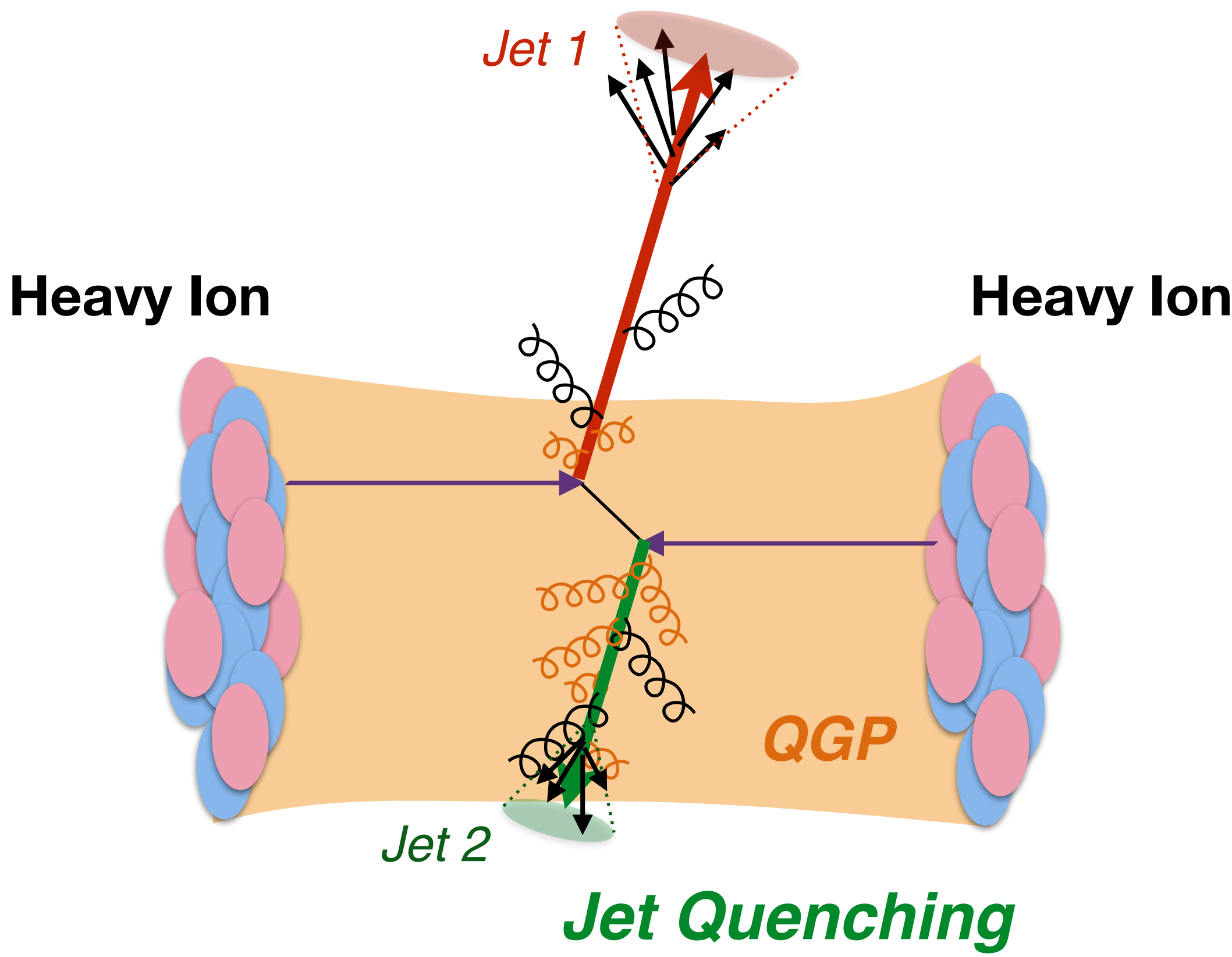
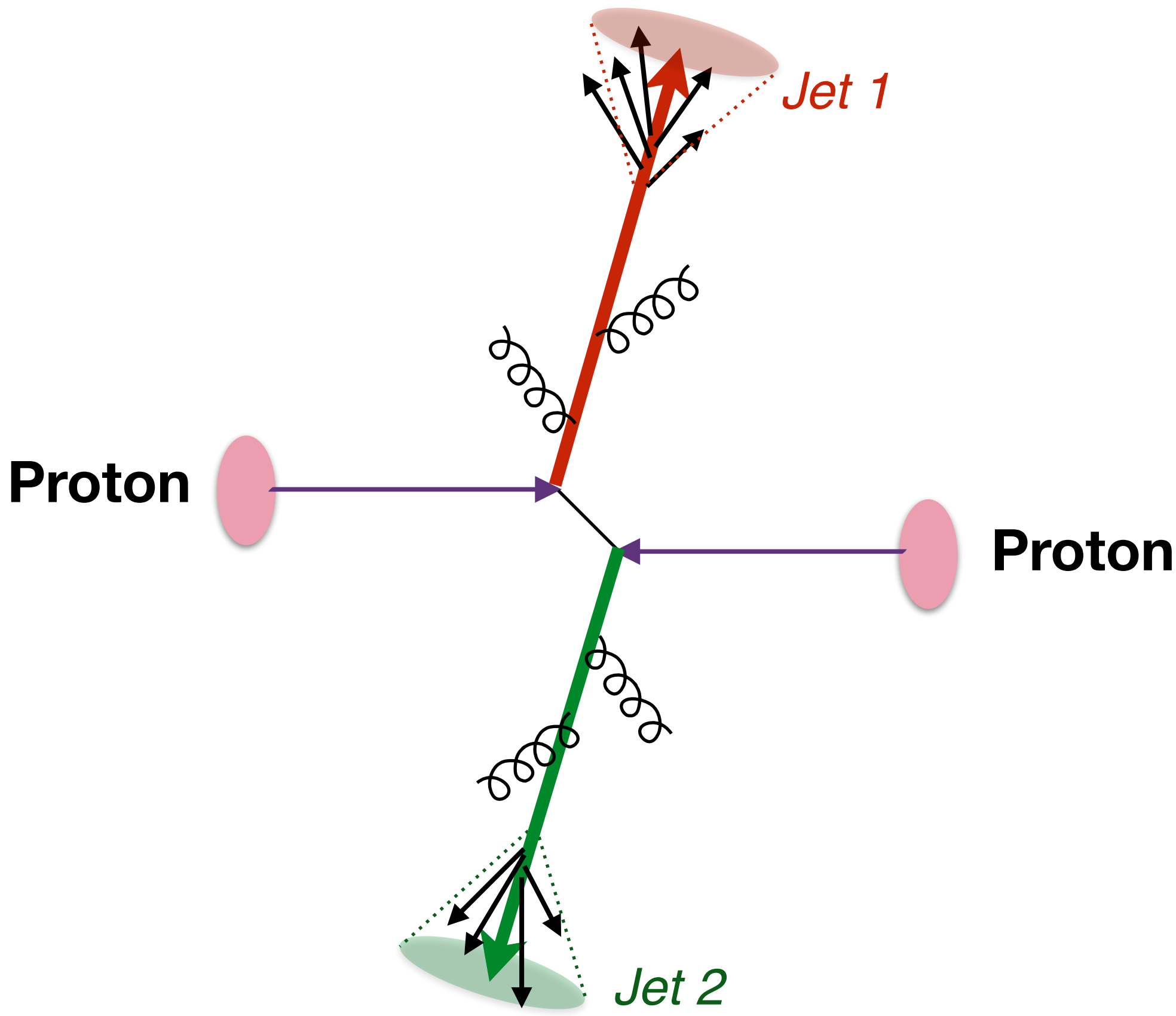
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LPNHE, Paris, France



Jets in Heavy Ion Collisions (1)

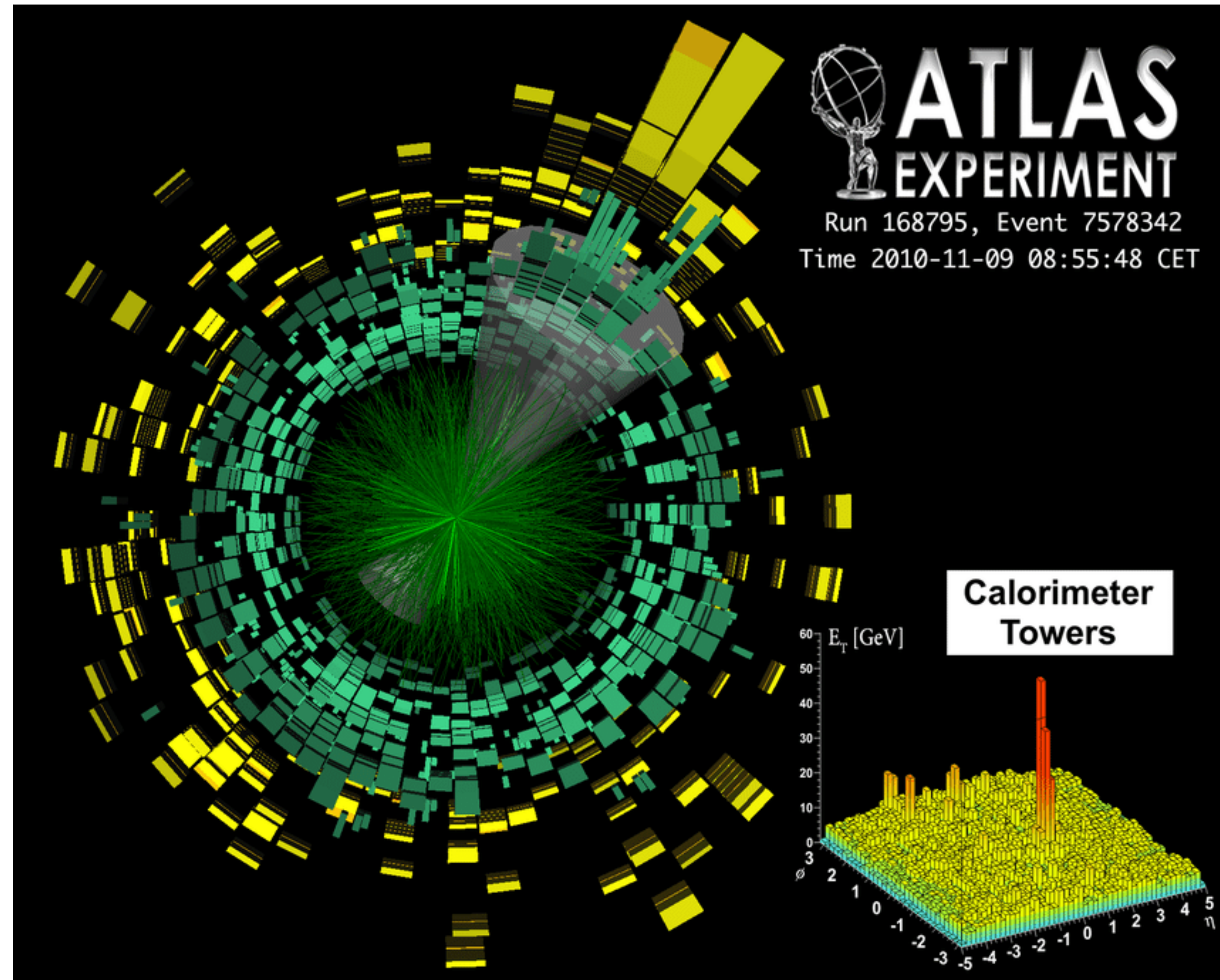
- Jet quenching**: a phenomenon of jet energy loss and redistribution that happens when a parton go through a hot and dense **quark gluon plasma (QGP)** created by the heavy ion collisions



Jets in Heavy Ion Collisions (2)

- MC events with jets in heavy ion collisions
 - ➔ **Pythia jets (signal)** are embedded into minimum-bias heavy-ion MC events e.g. **HIJING (background)**
 - ➔ this bulk medium has properties such as collective motion, e.g. flow

Topic 1: HIJING simulation event generation using diffusion model



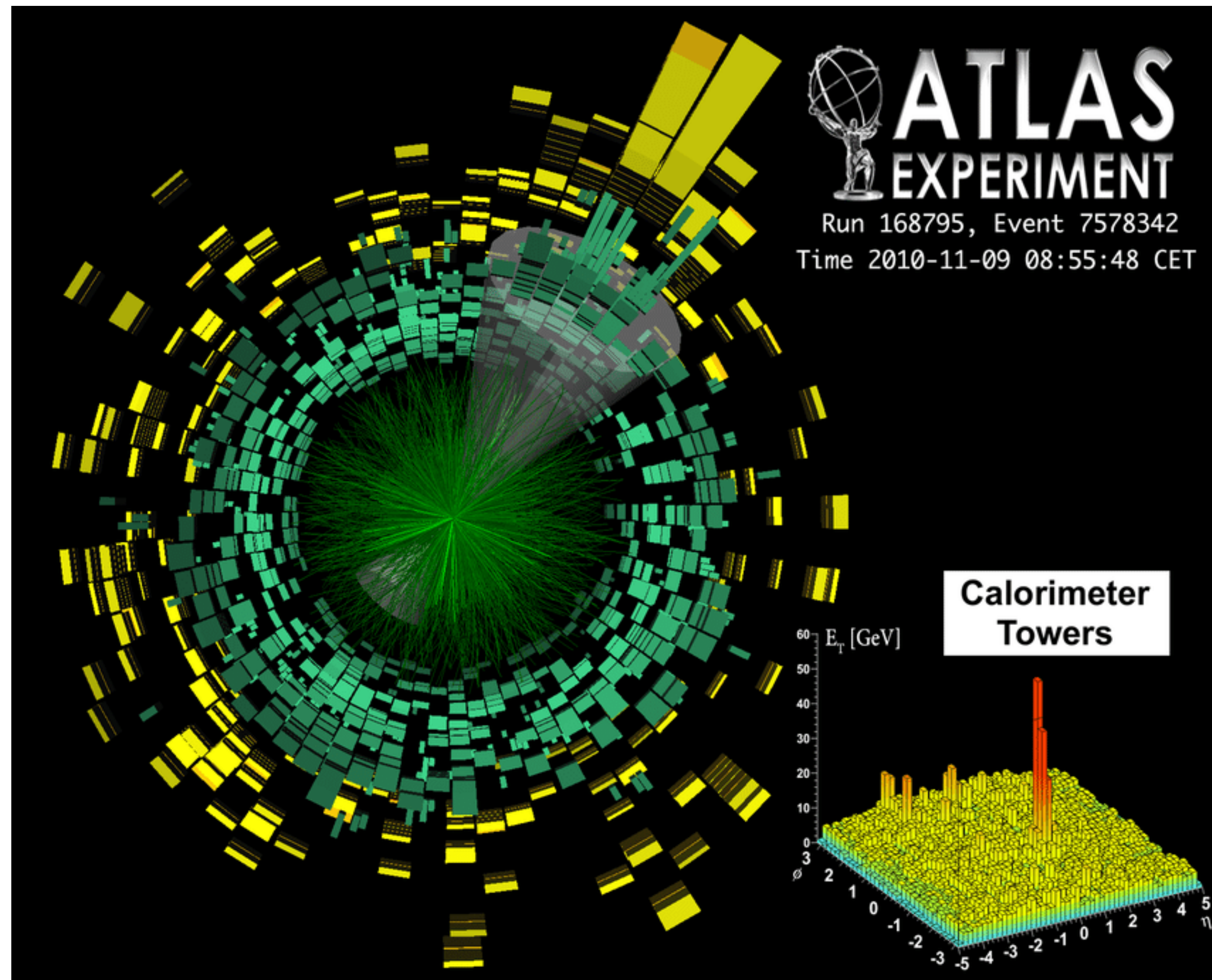
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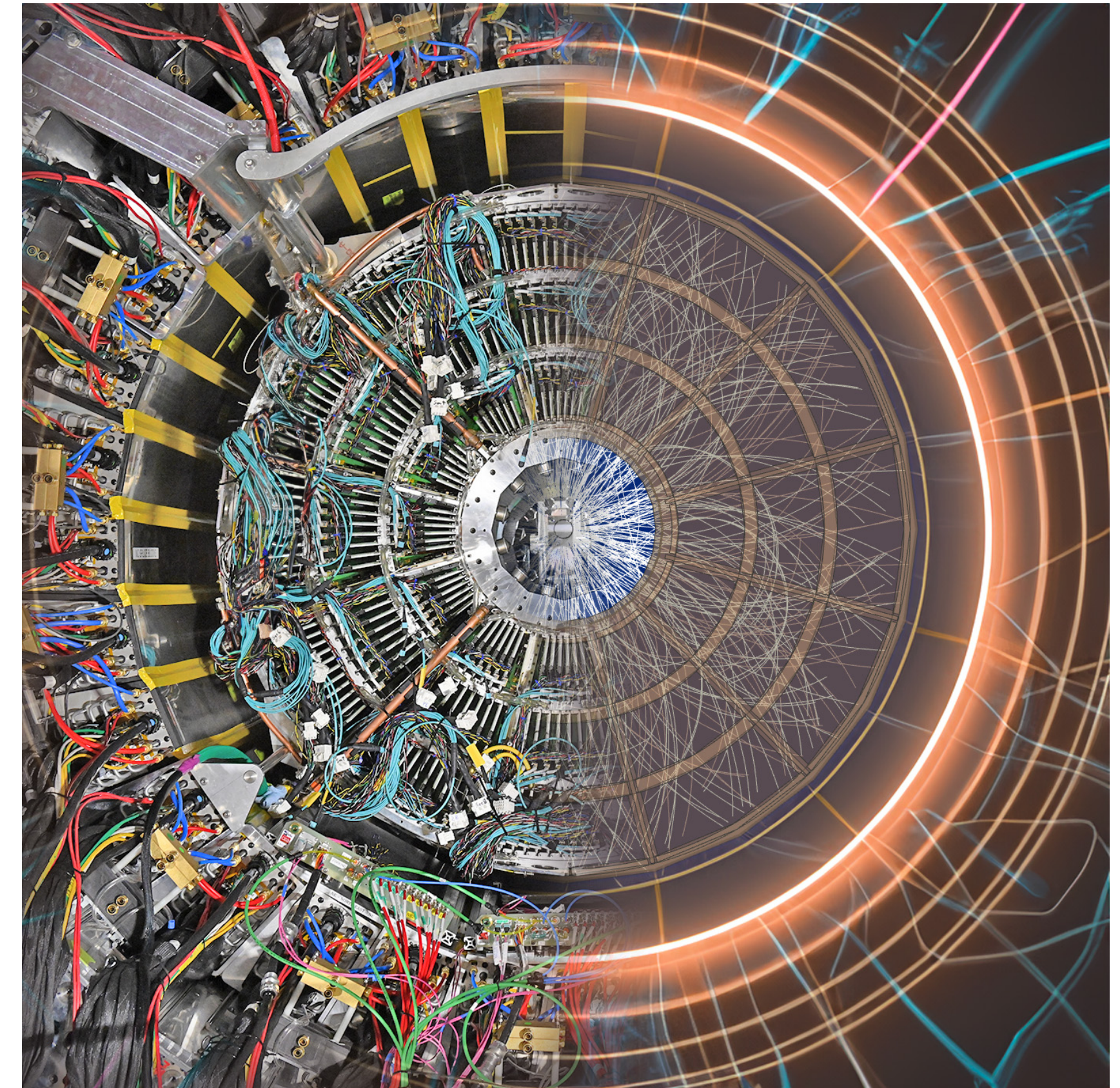
- The huge amount of **combinatoric background** produced from multiple nucleon-nucleon collisions has to be **estimated** and **subtracted** from **jet reconstruction**

Topic 2: Jet background subtraction using cycleGAN model



Simulations of Relativistic Heavy Ion Collisions

- $O(1000)$ particles in one nuclear collision event
+ *thousands shower steps* per particle
- ➔ Simulation of the interaction of particles with detectors is **high complexity and computationally intensive work**

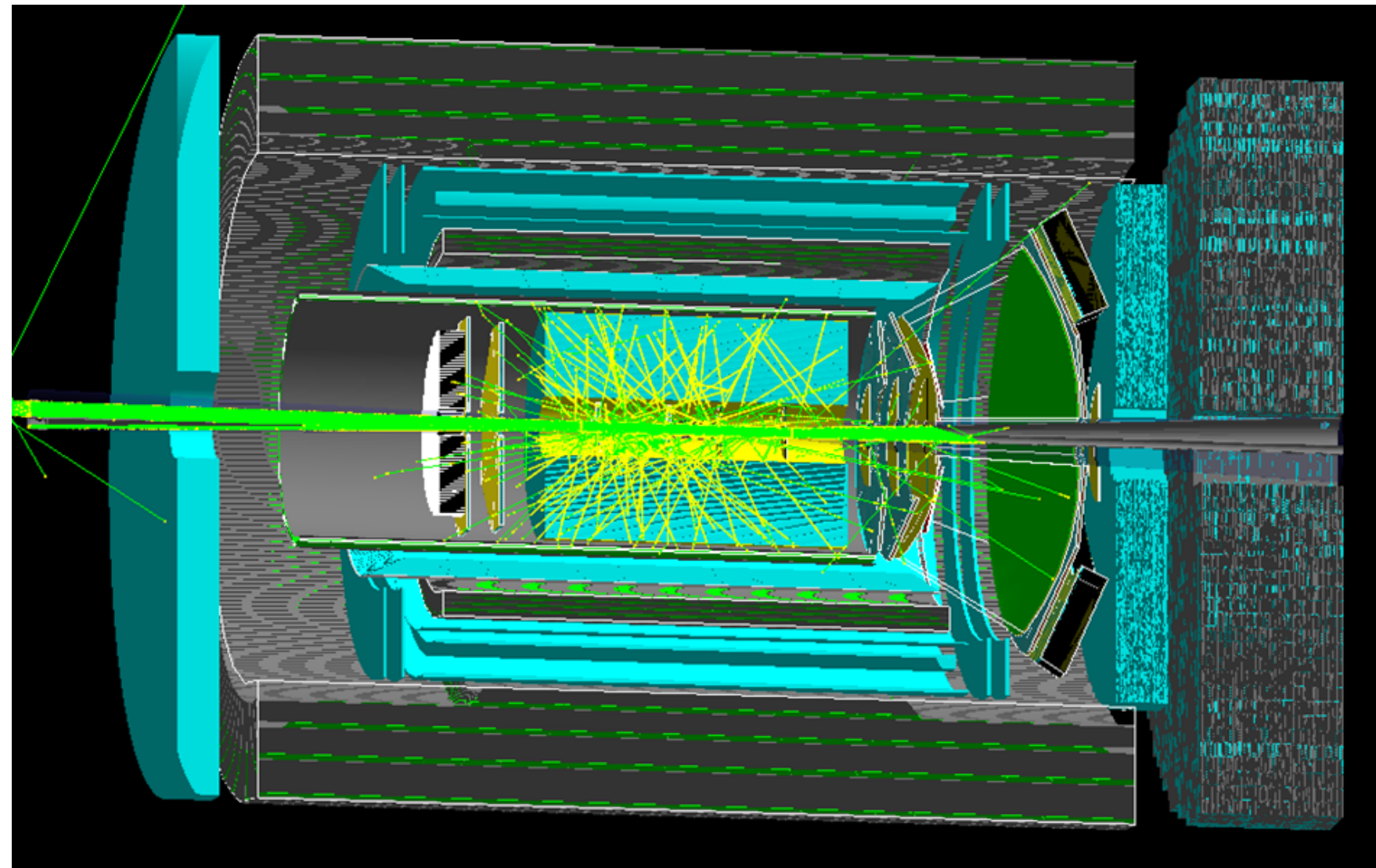


sPHENIX TPC

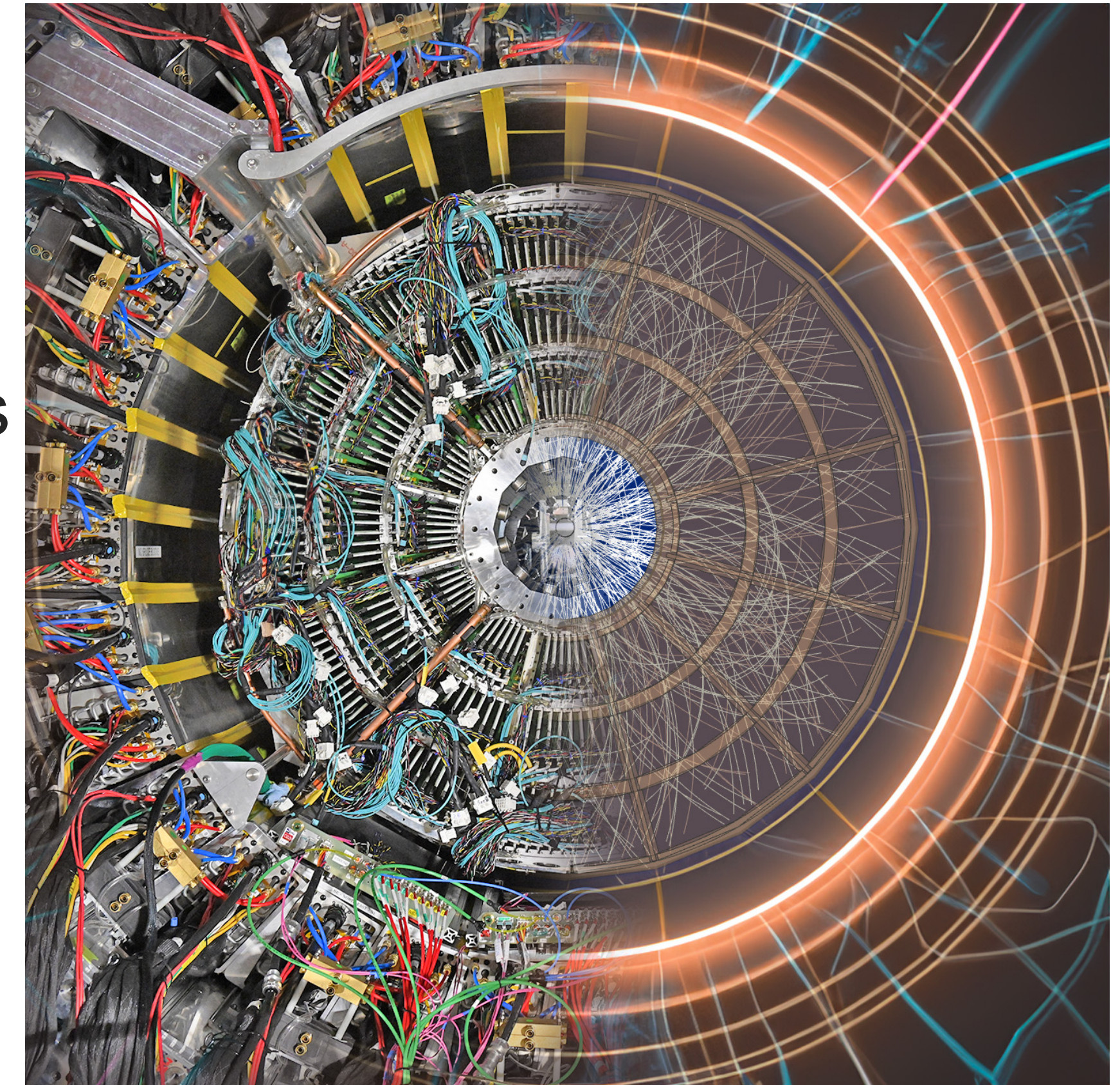
Phys. Rev. C 110, 034912

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- *Electron-Ion Collider* will need a large amount of simulations of full detector with both physics and machine background



EIC CDR

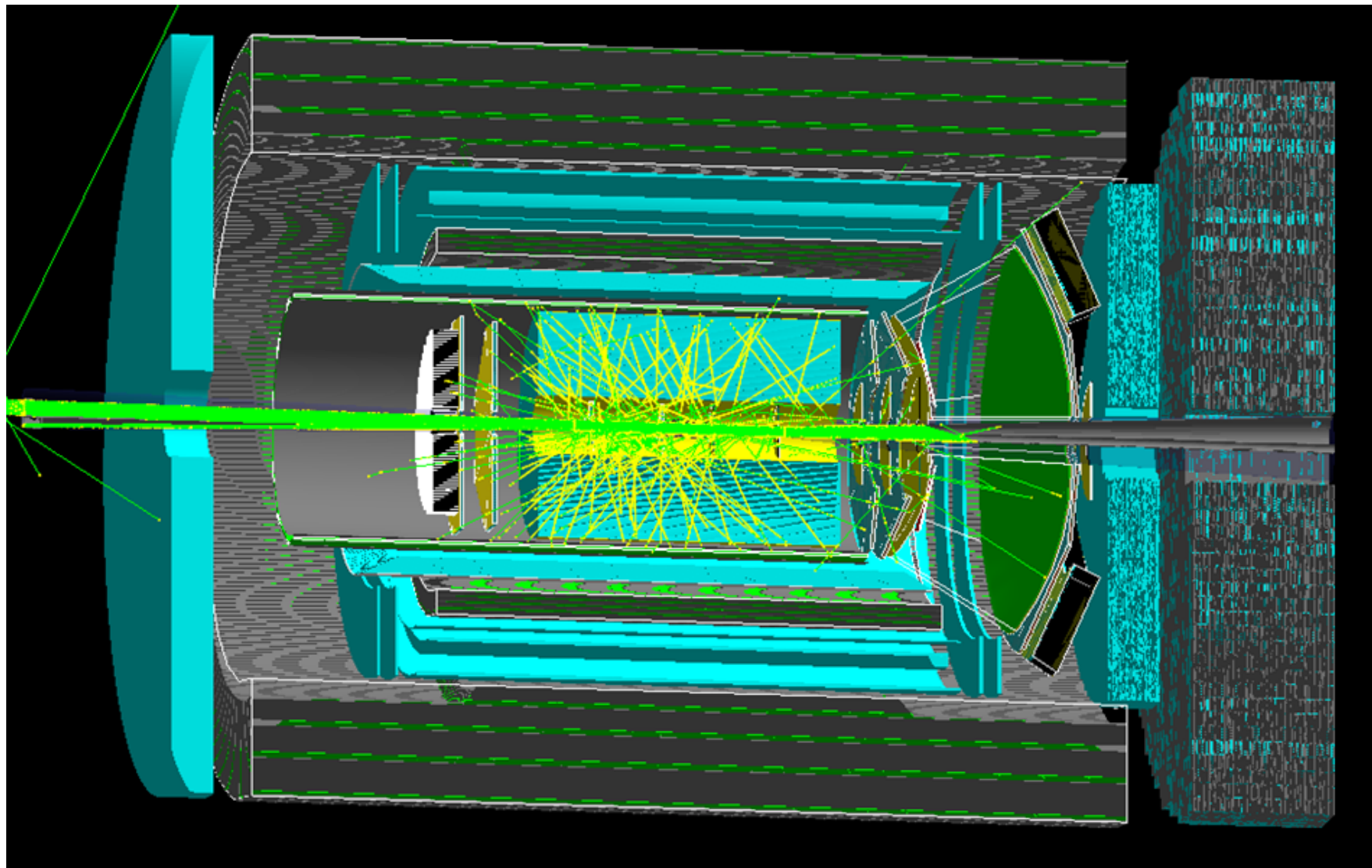


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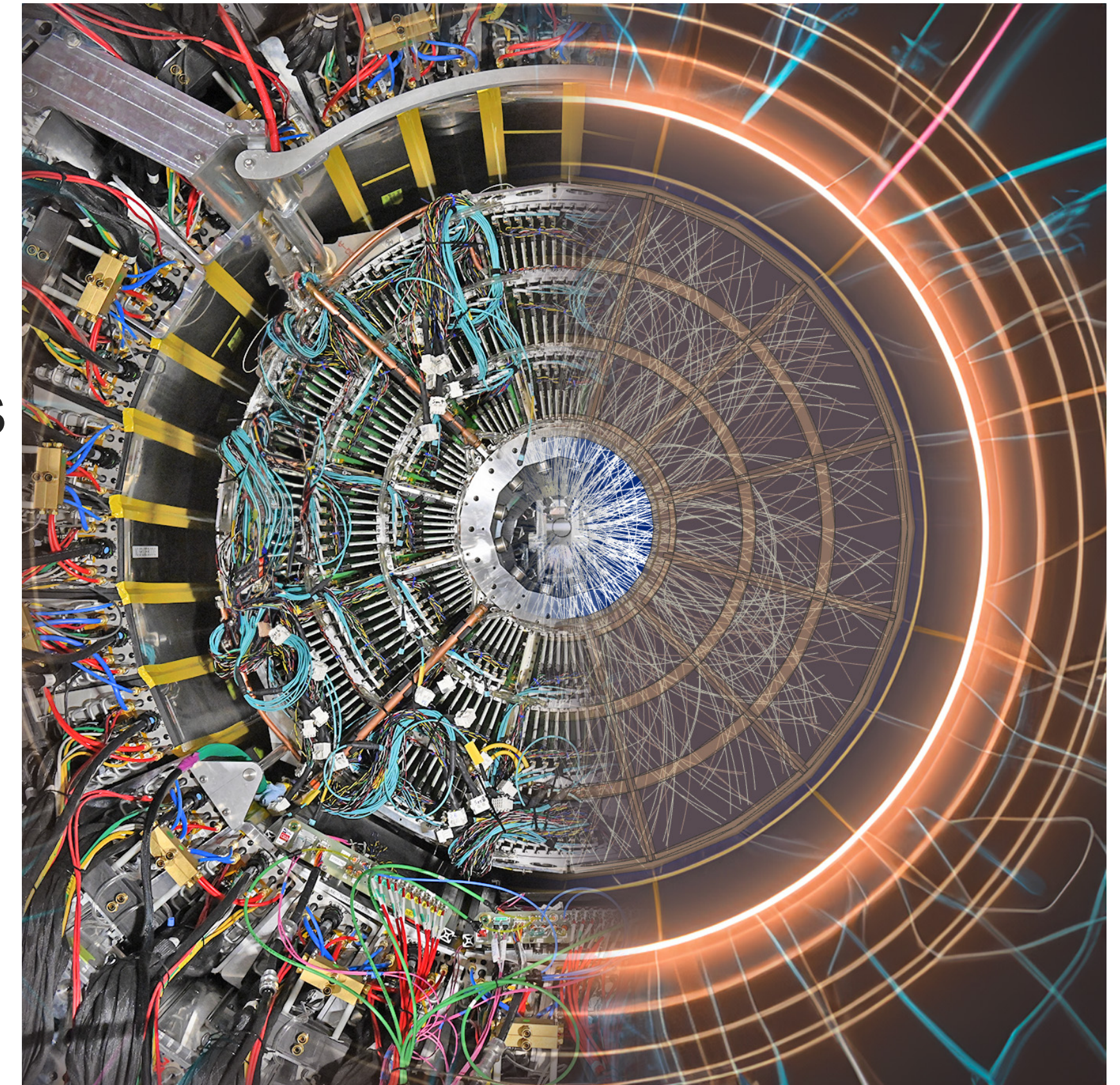
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- ML can speed up and produce large amount of the heavy ion event simulations!



EIC CDR

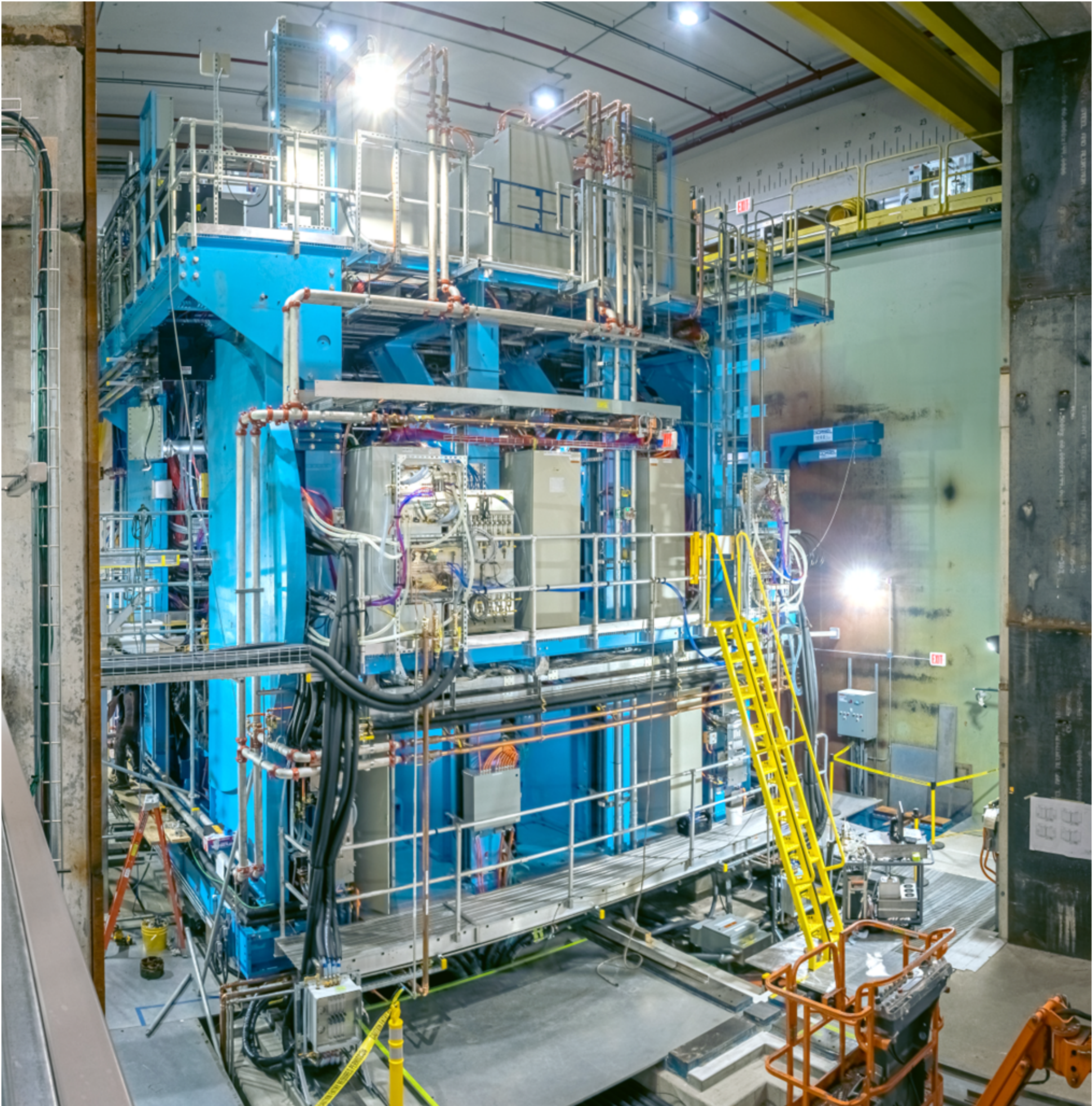


sPHENIX TPC

We introduce **full detector whole-event ML simulations** for heavy ion collisions

Phys. Rev. C 110, 034912

sPHENIX Detector at RHIC



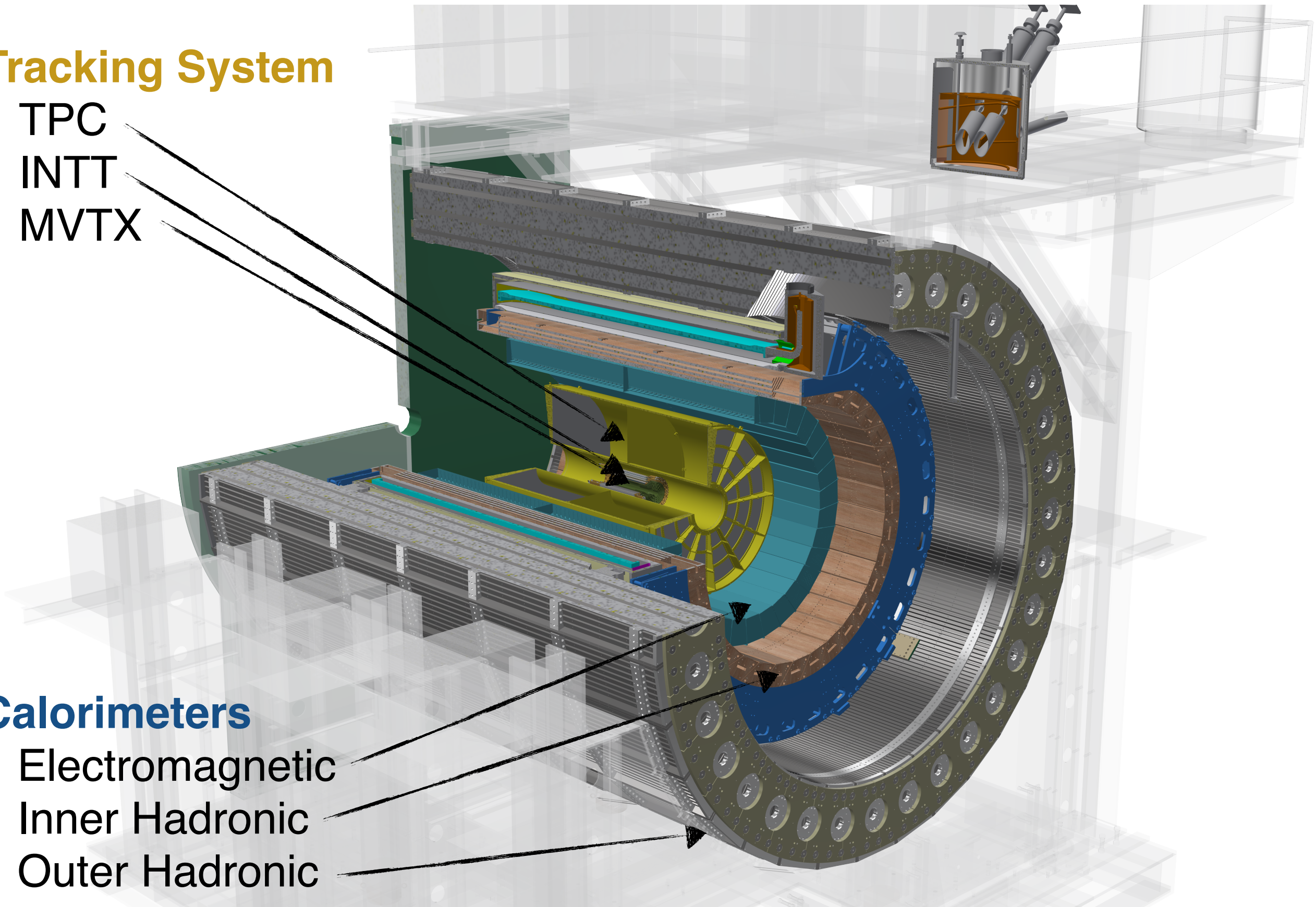
Tracking System

- TPC
- INTT
- MVTX

Calorimeters

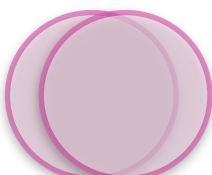
- Electromagnetic
- Inner Hadronic
- Outer Hadronic

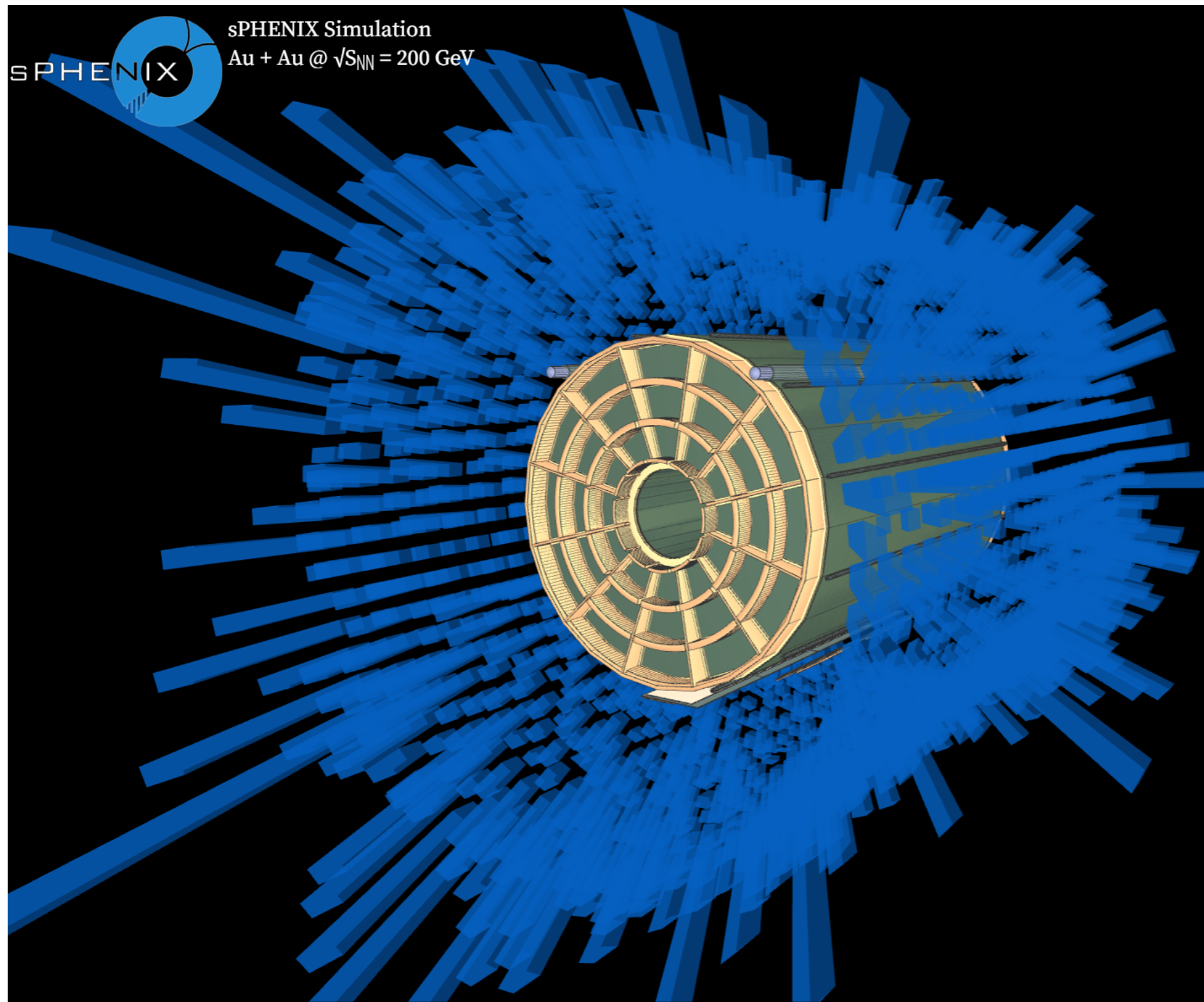
- Data taking began last year!
- High-precision **tracking system** + Hermetic Electromagnetic & Hadronic **calorimeters**



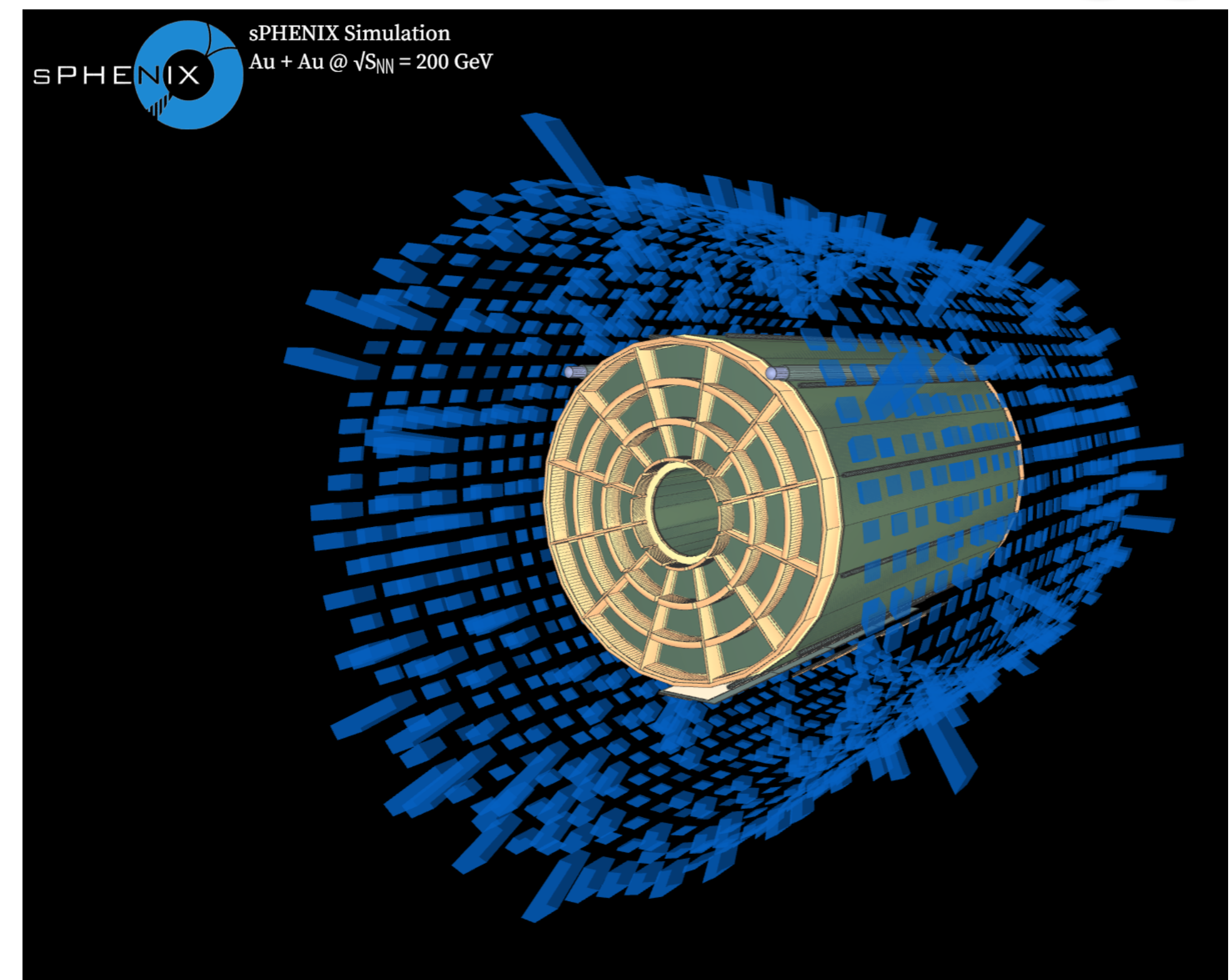
Heavy Ion Collision Event

- **HIJING** Monte Carlo event generator for Au+Au collisions at $\sqrt{s_{NN}}=200$ GeV
- **Geant4** full detector simulation with the sPHENIX geometry

Head-on collision (0-10% Centrality) 

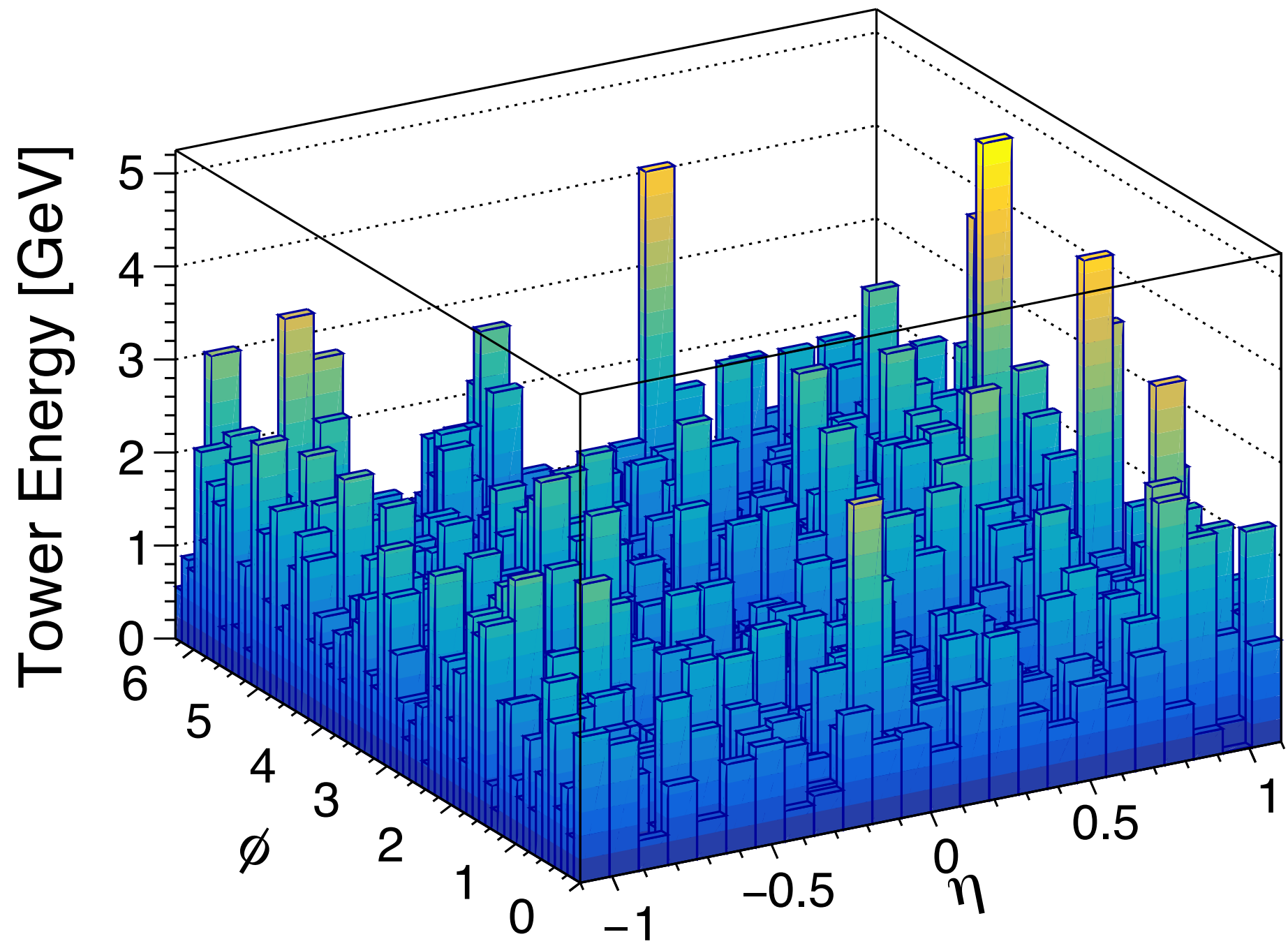


Side collision (40-50% Centrality) 

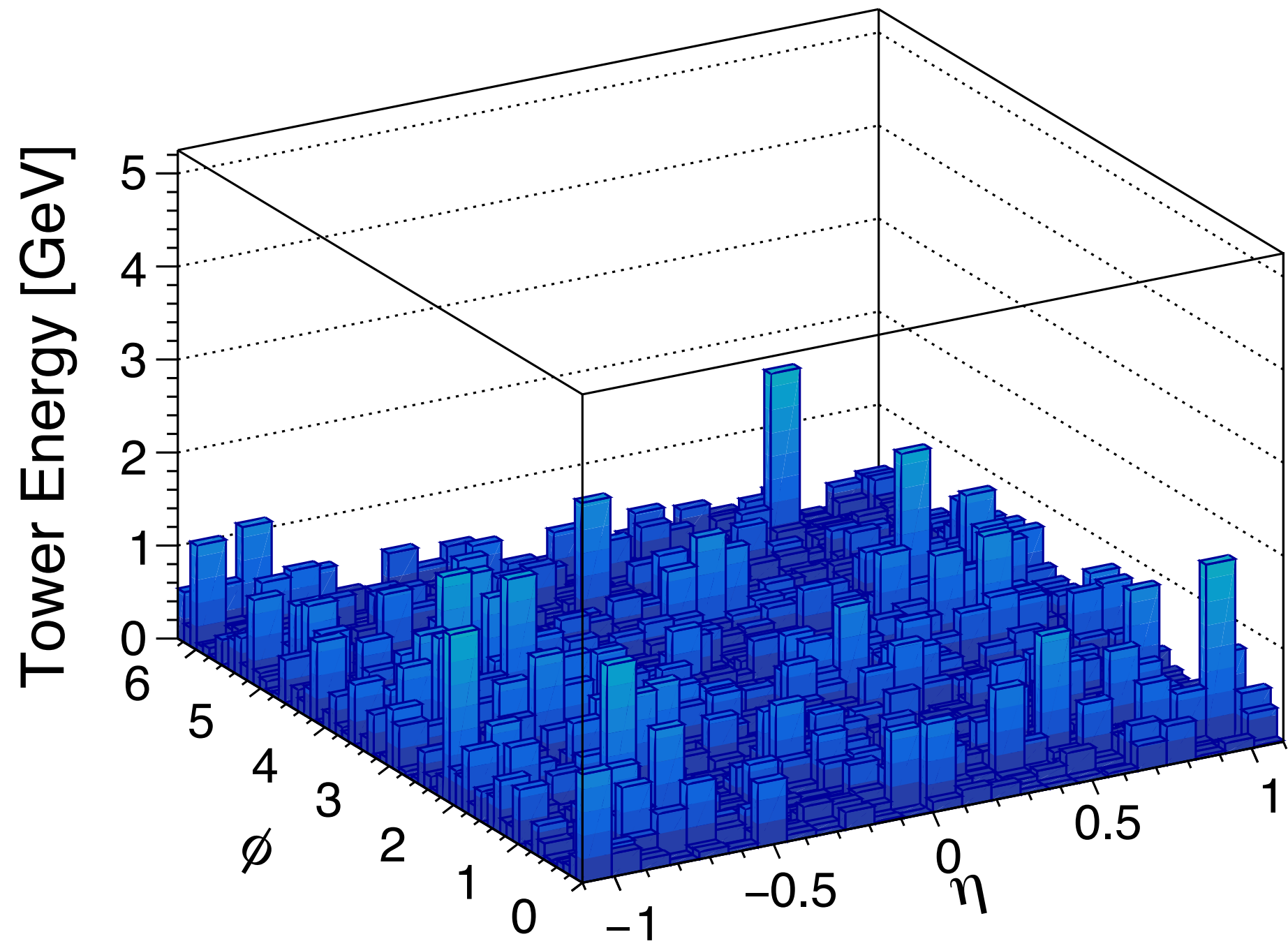


Tower Distributions

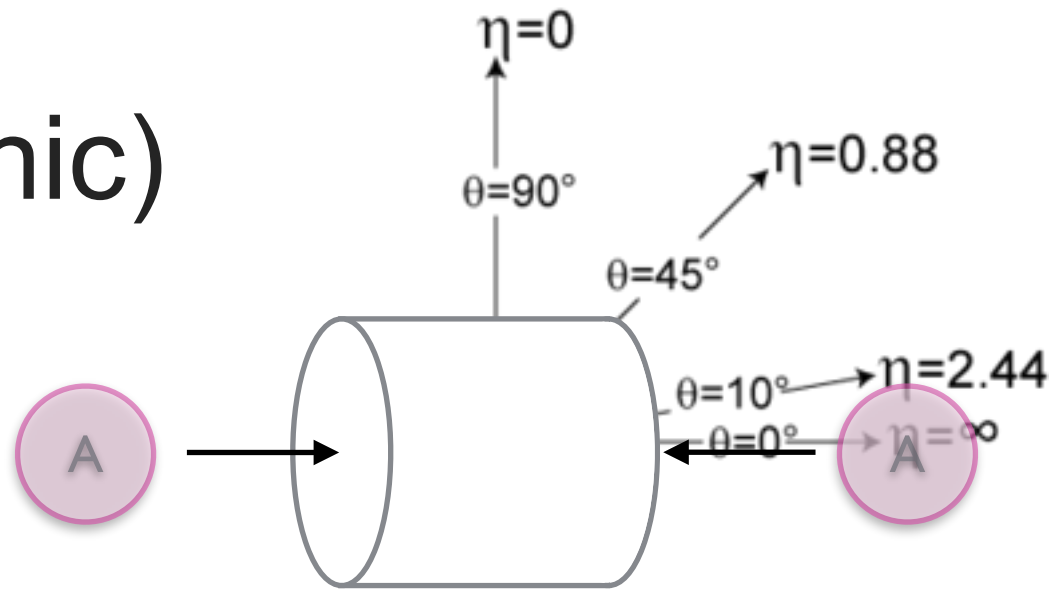
0-10% Centrality 



40-50% Centrality 



- Full calorimeter **towers** (Electromagnetic + Inner hadronic + Outer hadronic)
 - ➔ $-1.1 < \eta < 1.1, \quad 0 < \phi < 2\pi$
 - ➔ (24 x 64) bins in (η, ϕ)



Generative AI: Diffusion Model

- **Diffusion Models:**
text-to-image generation
(e.g. StableDiffusion, Midjourney, Dalle-2)
 - ➔ Popular in industry, yet relatively less used in high-energy physics
 - ➔ known for high fidelity
 - ➔ but, still require improvements for finer details

*Diffusion Model (DALL·E3 by OpenAI) generating a sPHENIX meeting
Note difficulty in generating features such as text*



Generative AI: Diffusion Model

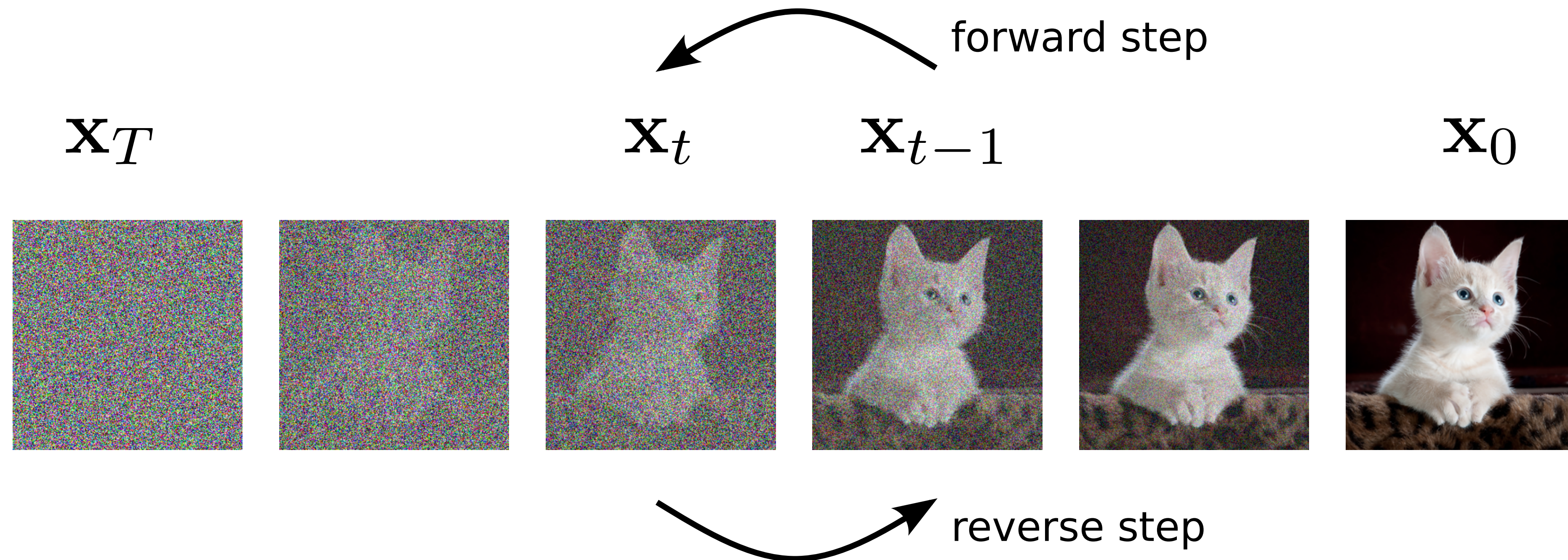
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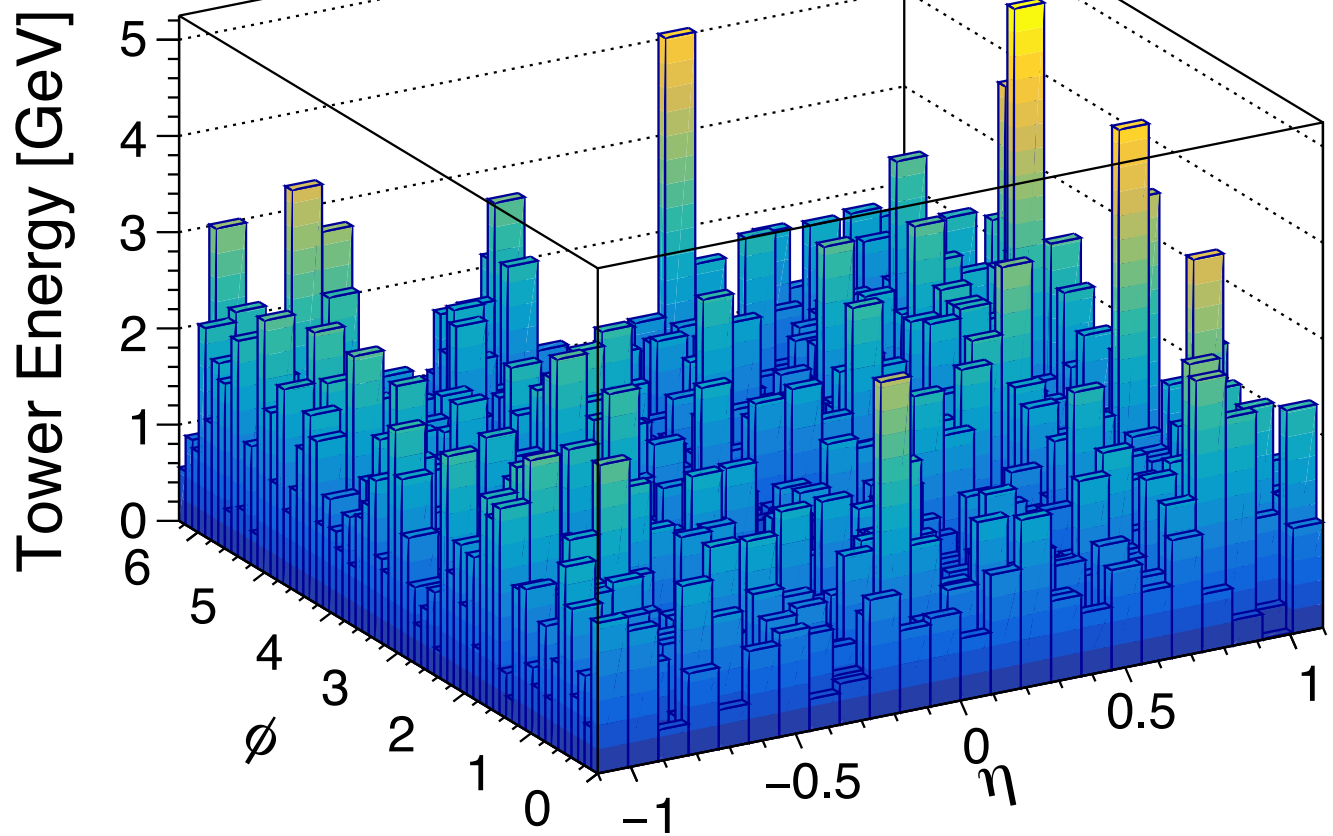
Denoising Diffusion Probabilistic Model (DDPM)

- DDPM provides *high quality data from random noise*
- **Forward** process: add random gaussian noise
- **Reverse** process: use neural network and generate data
- In real application, $O(1,000)$ steps are used

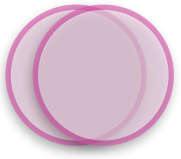


Display of Generated Events

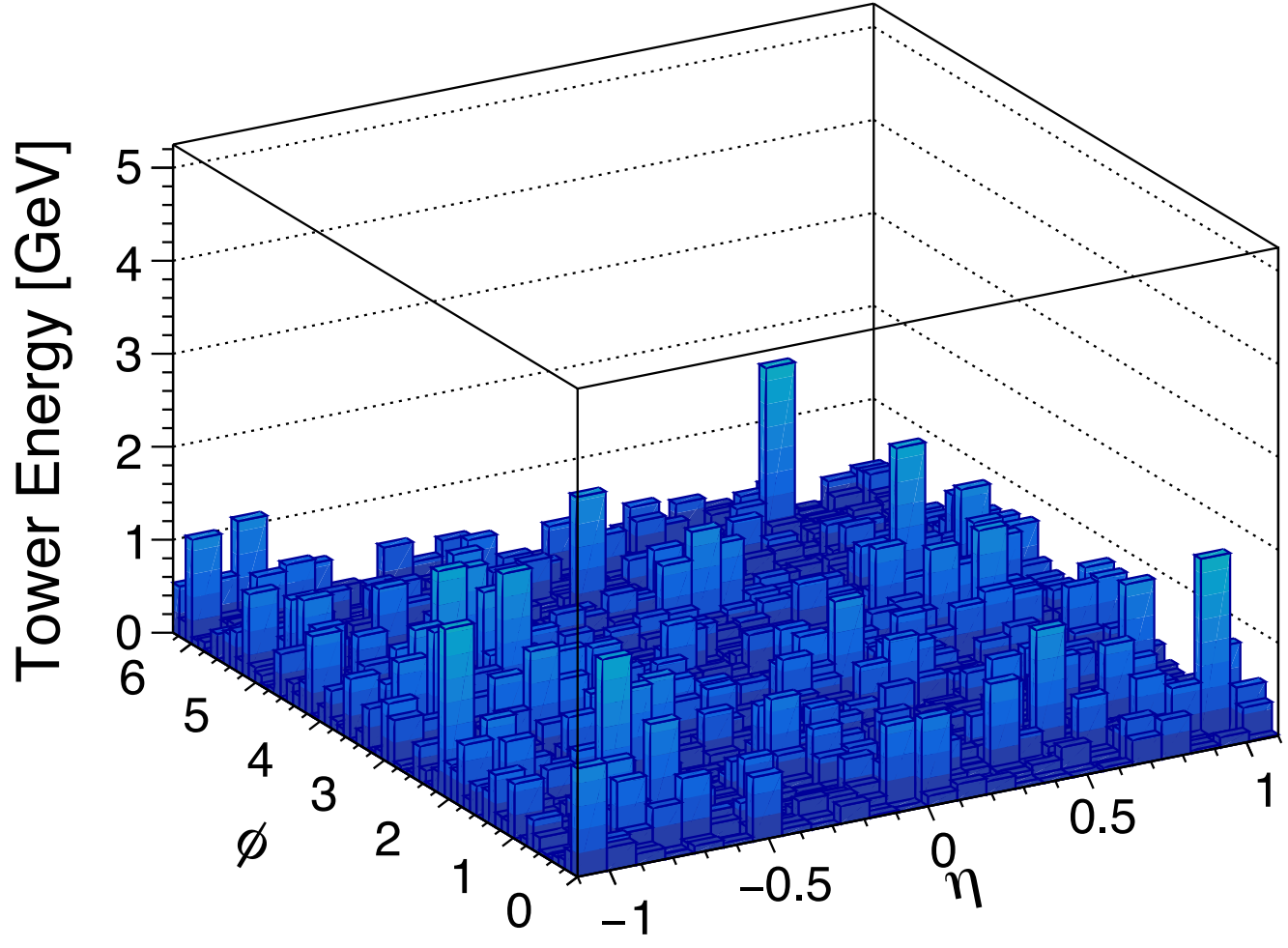
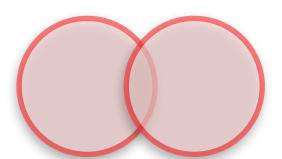
**Training sample
(HIJING+GEANT4)**



**0-10%
Centrality**

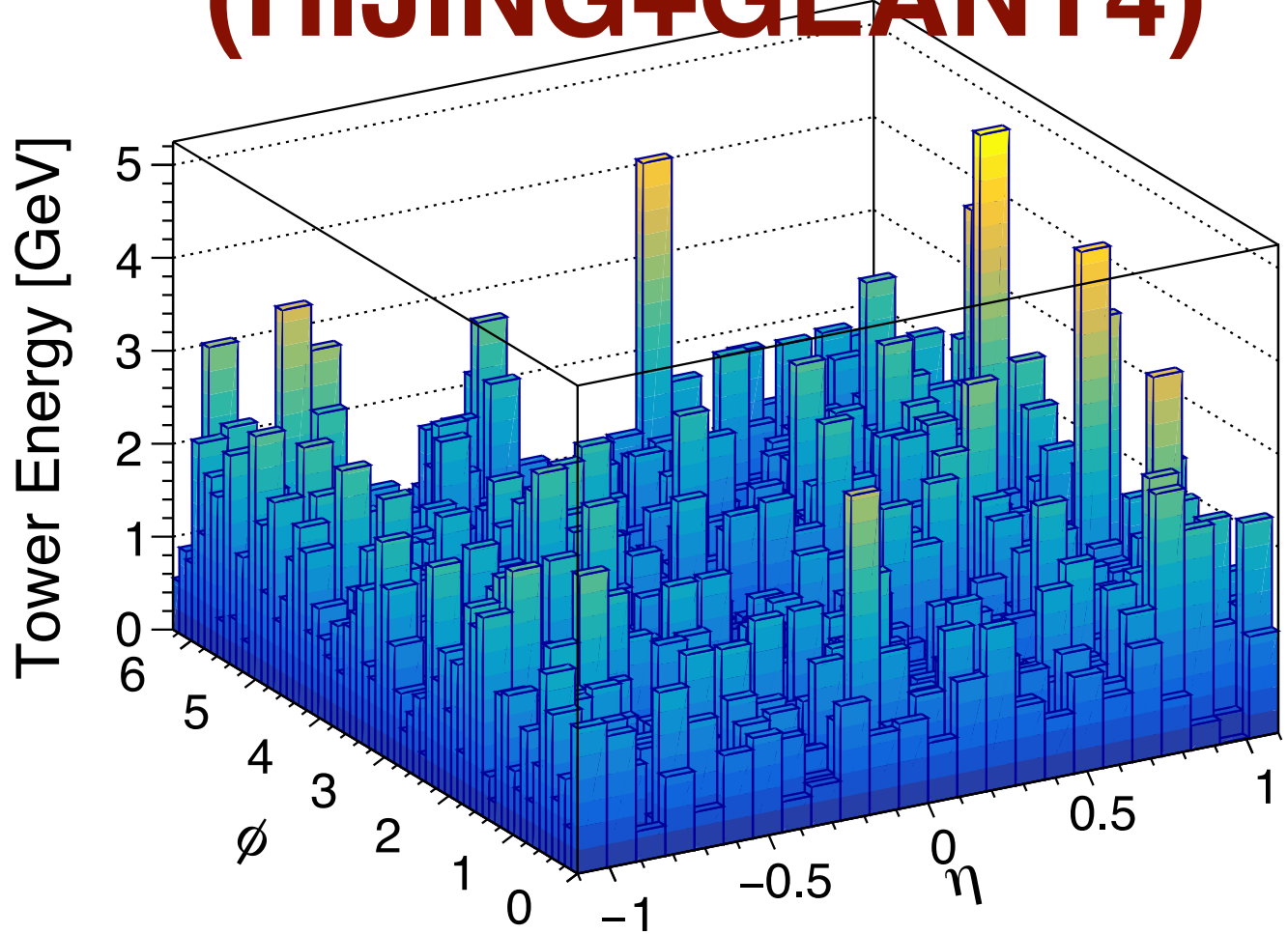


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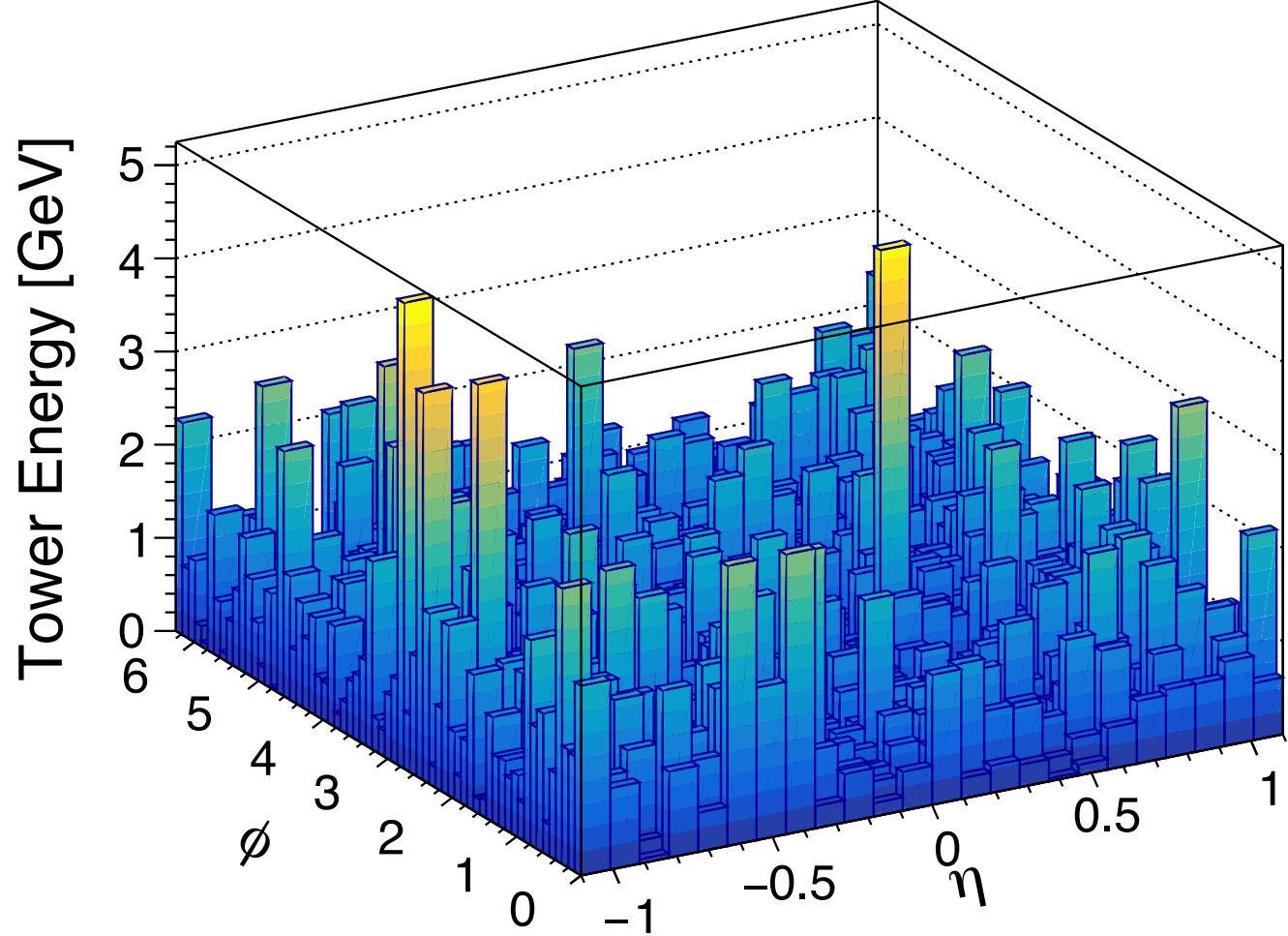


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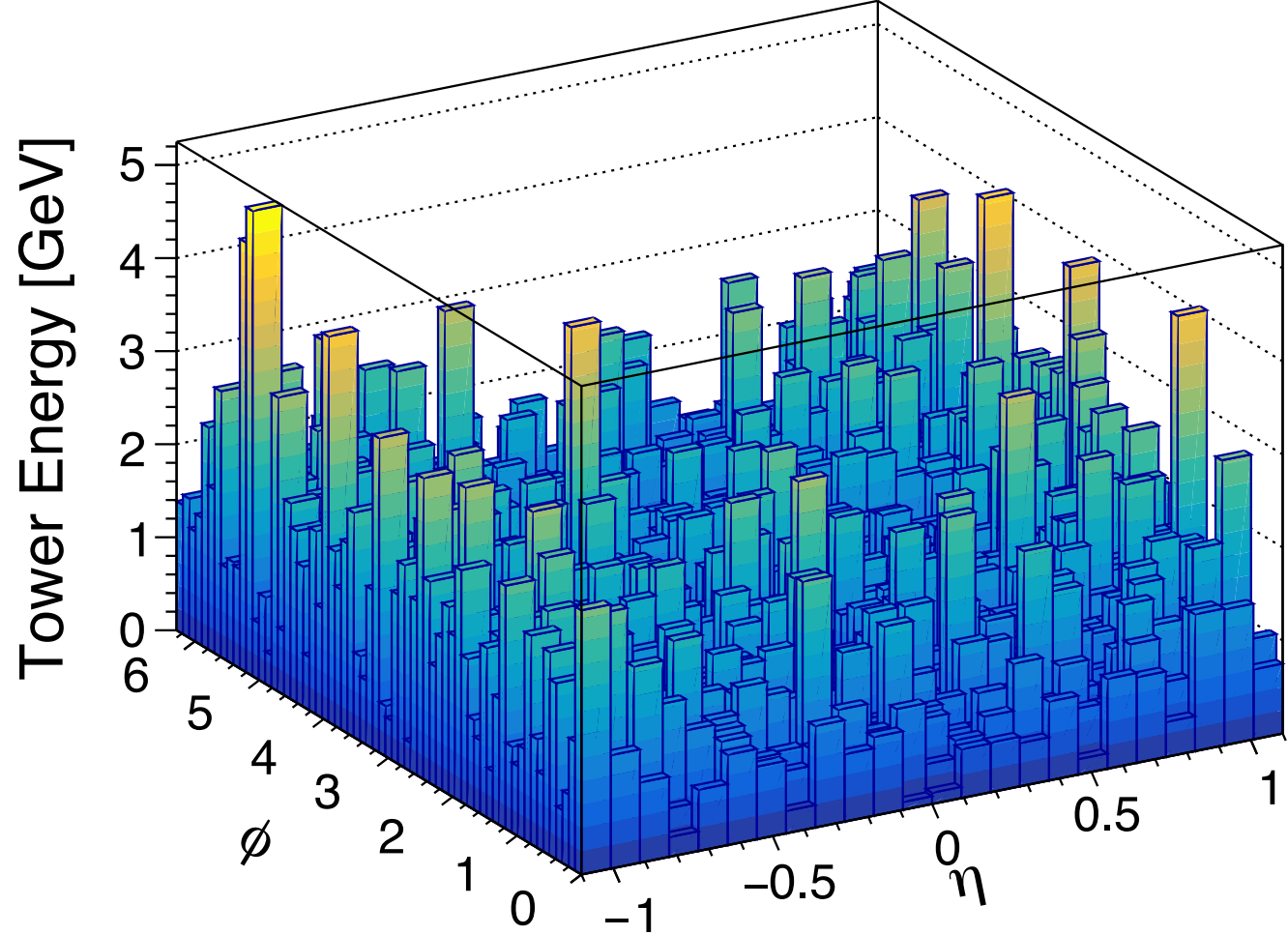
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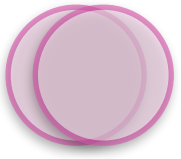
Generated (DDPM)



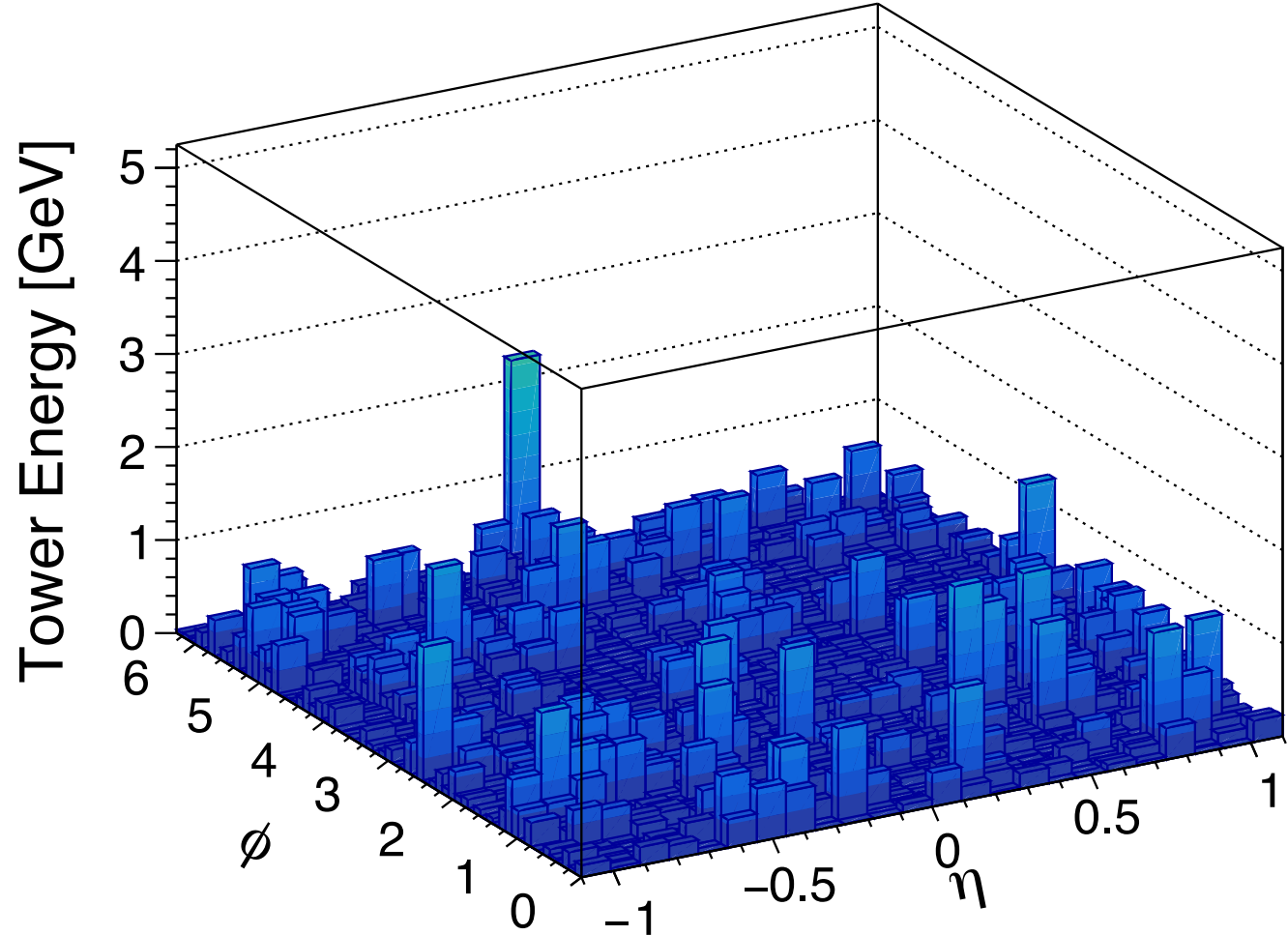
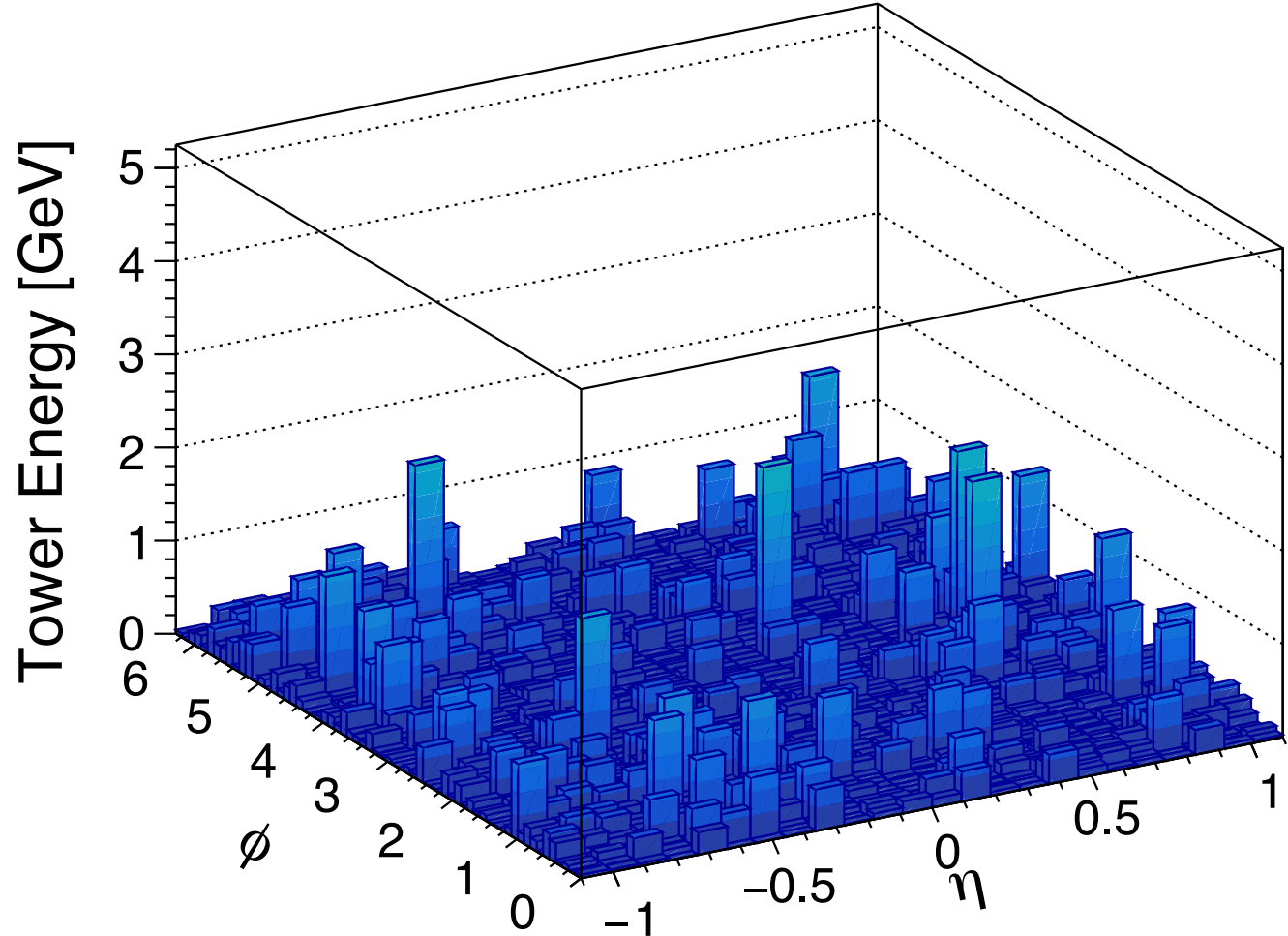
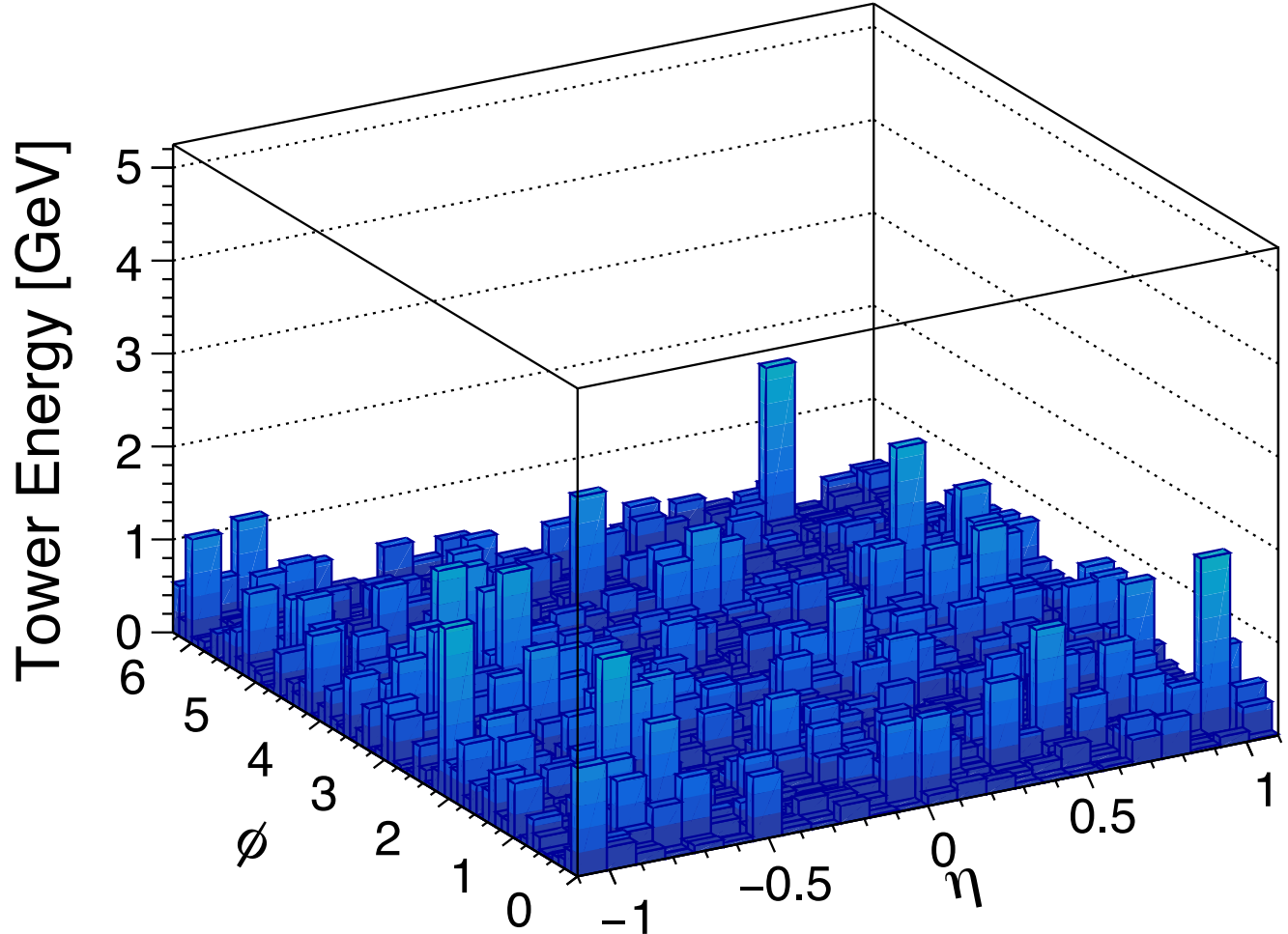
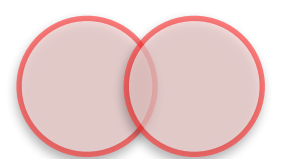
Generated (GAN)



**0-10%
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**40-50%
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Performance: Transverse Energy (0-10%)

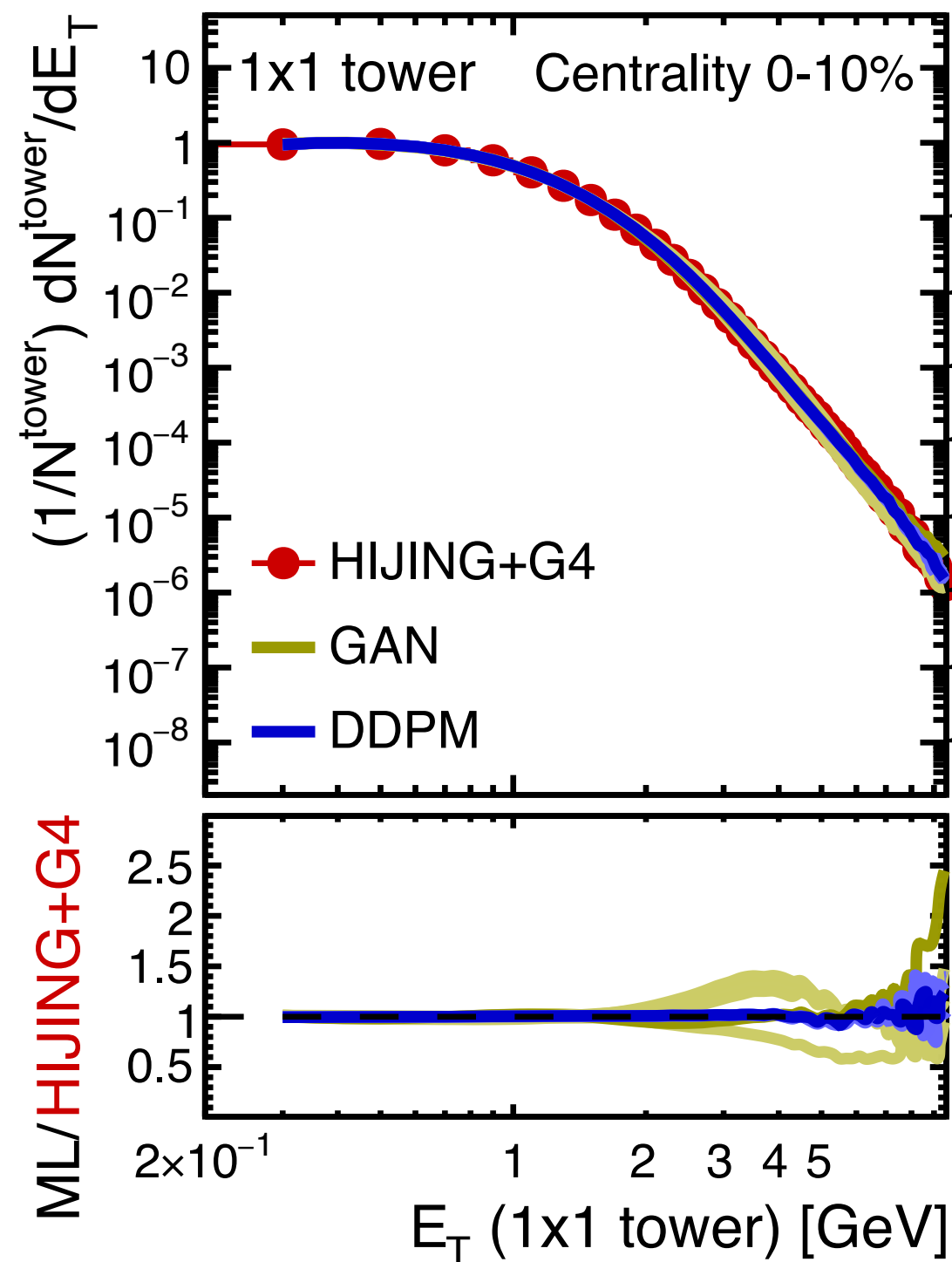
1x1 Tower 

4x4 Tower

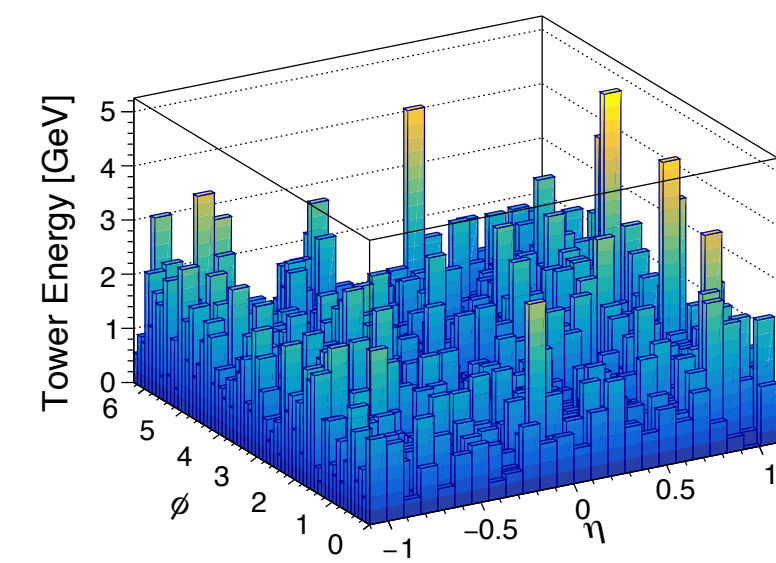
7x7 Tower

11x11 Tower

All Towers



- Each model is retrained 5 times with different random seeds
- **HIJING+Geant4** used as training data (600k events) and testing data (100k events)
- Both **DDPM** and **GAN** reproduce the data distribution where the data are abundant
- **DDPM** outperforms **GAN** in overall distribution w/ great stability and accuracy



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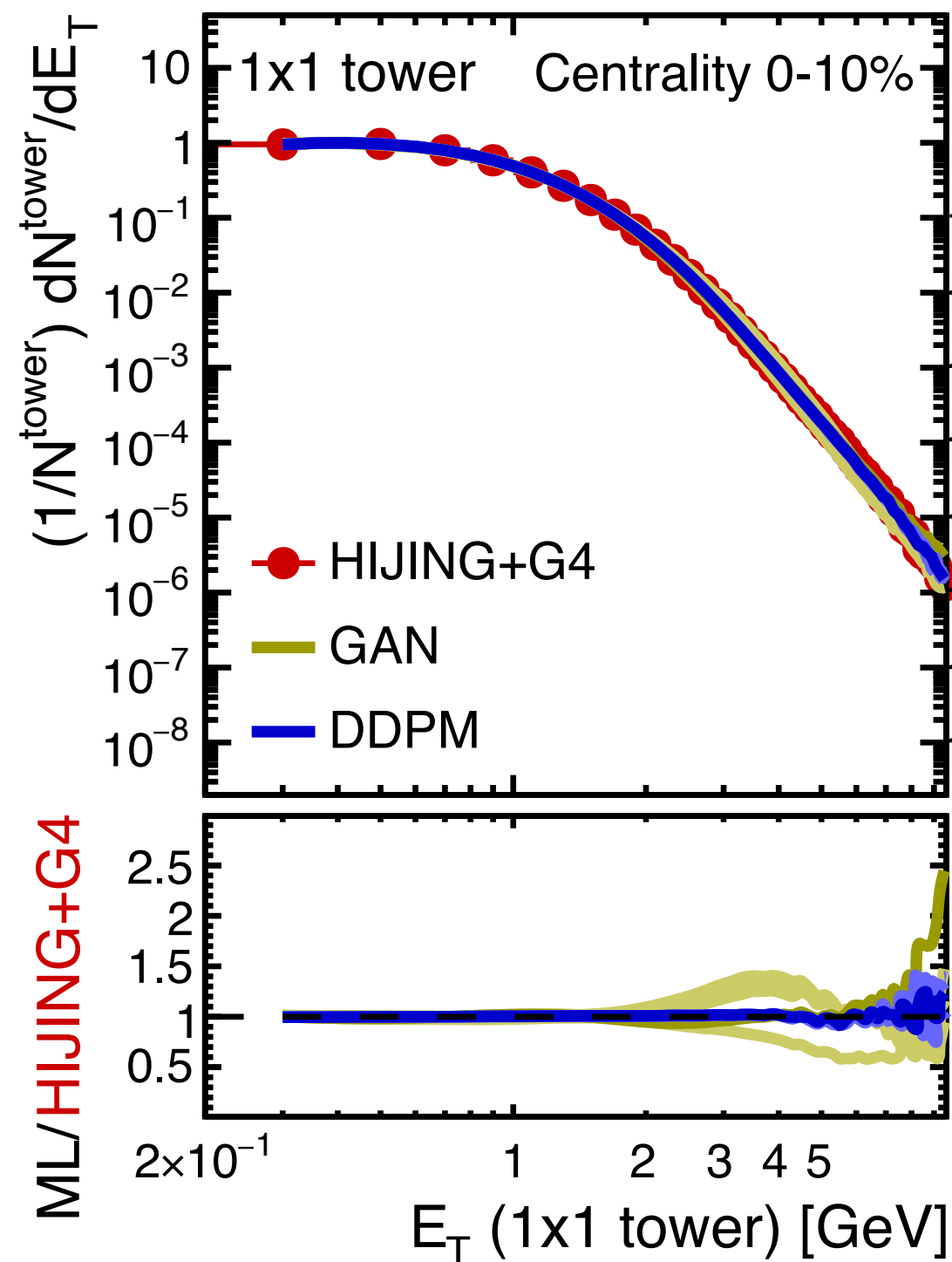
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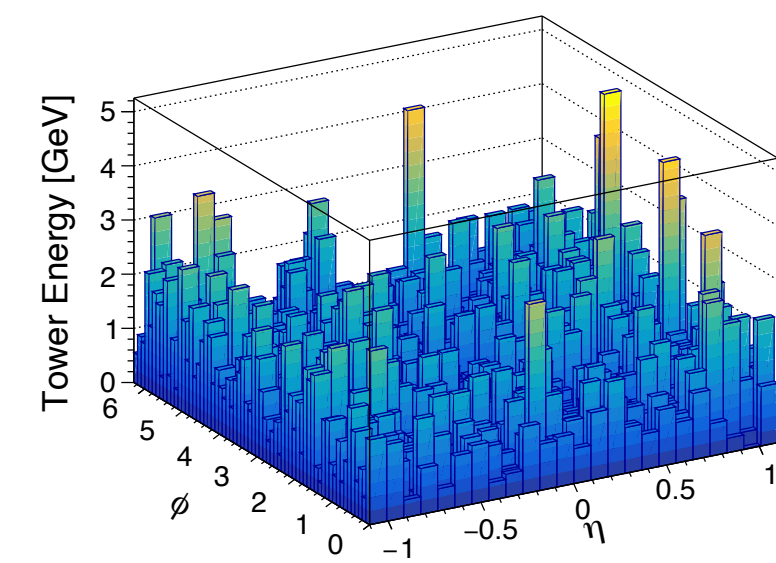
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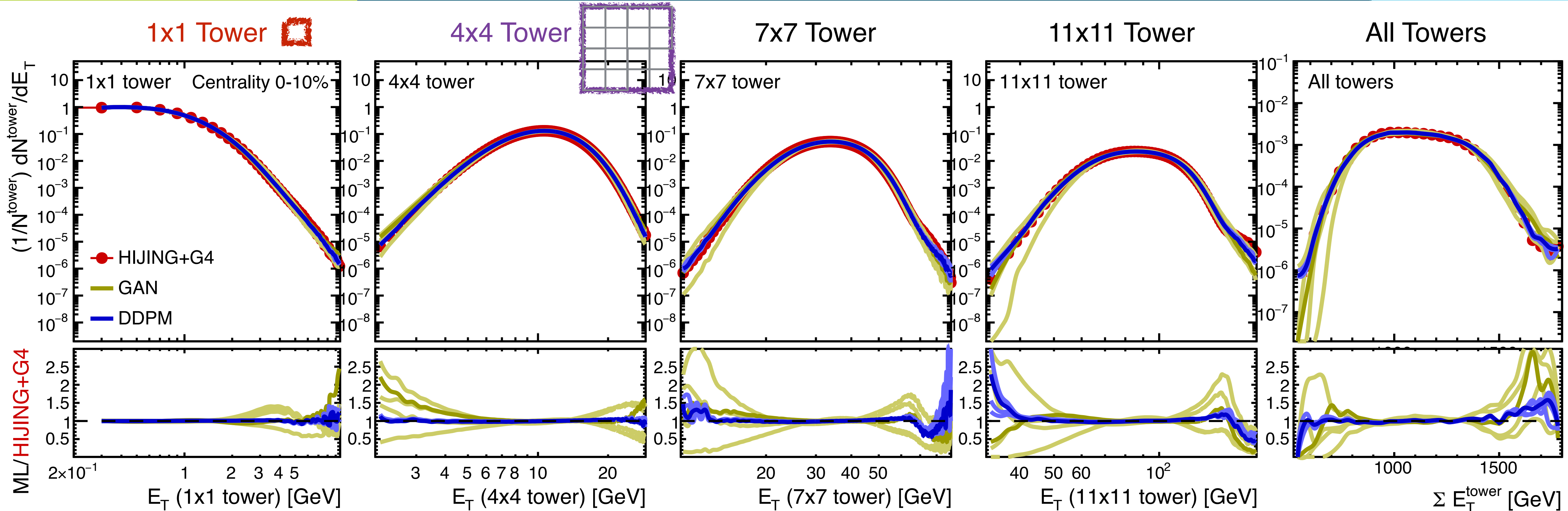
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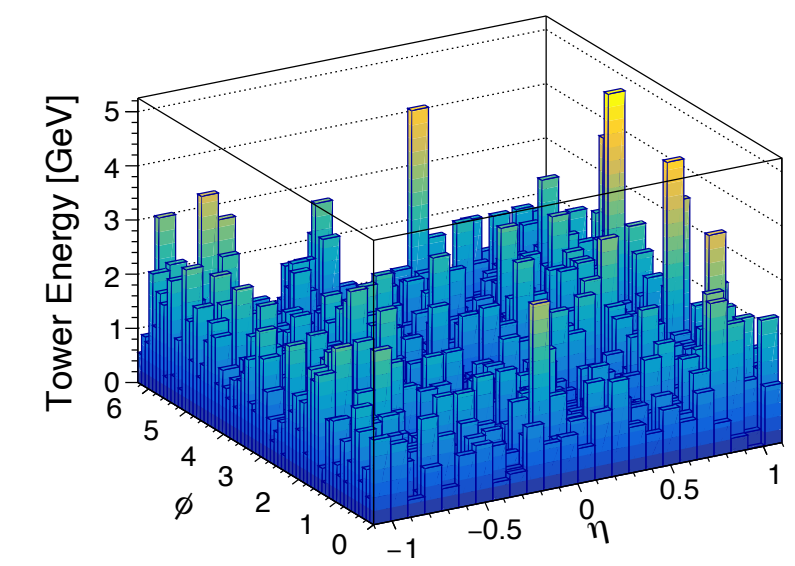
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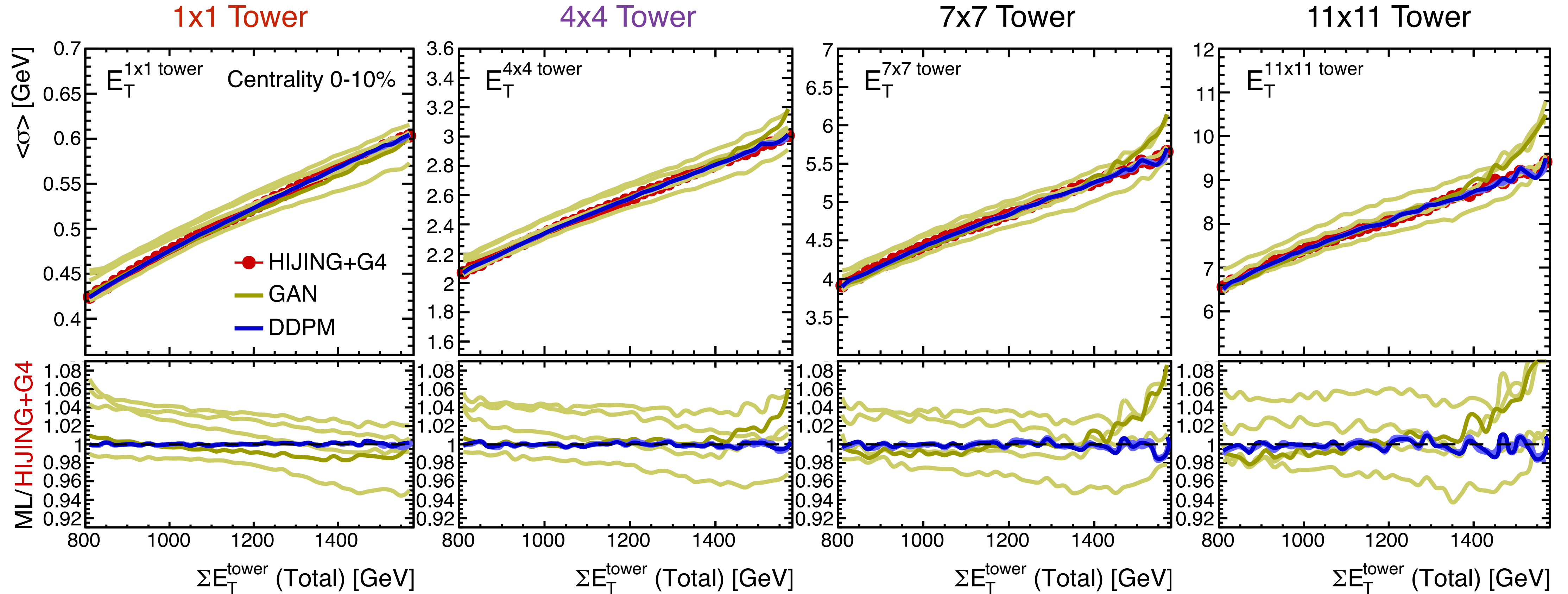
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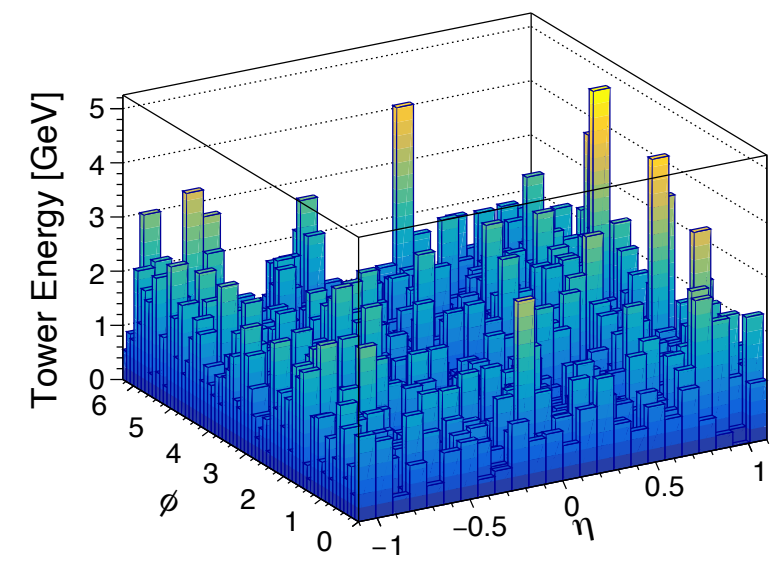
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Performance: Transverse Energy Fluctuation (0-10%)



- **GAN** fails to describe fluctuation
- **DDPM** outperforms **GAN** w/ great stability, a few percent-level accuracy



Performance: Transverse Energy (40-50%)

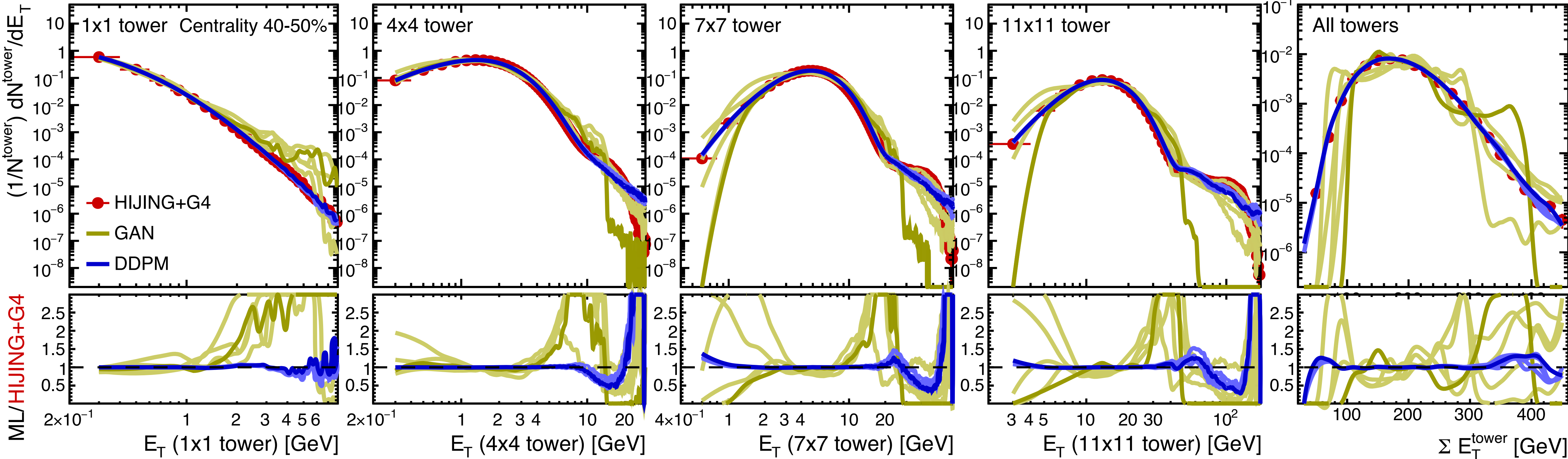
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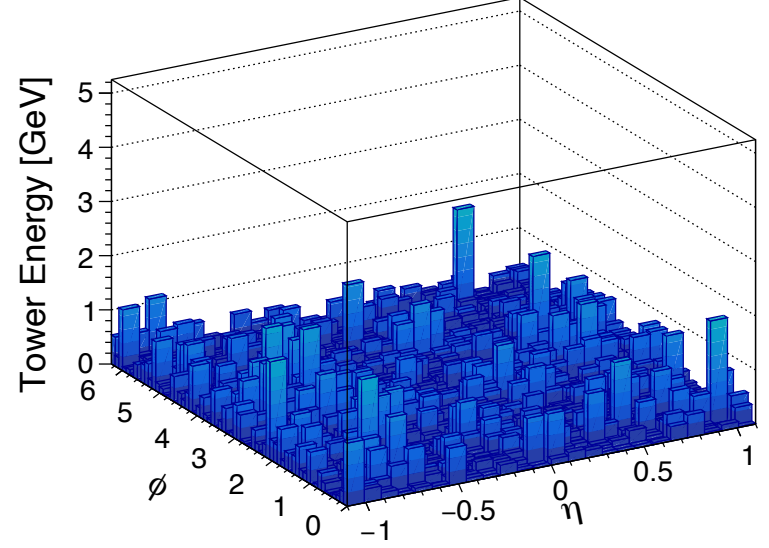
7x7 Tower

11x11 Tower

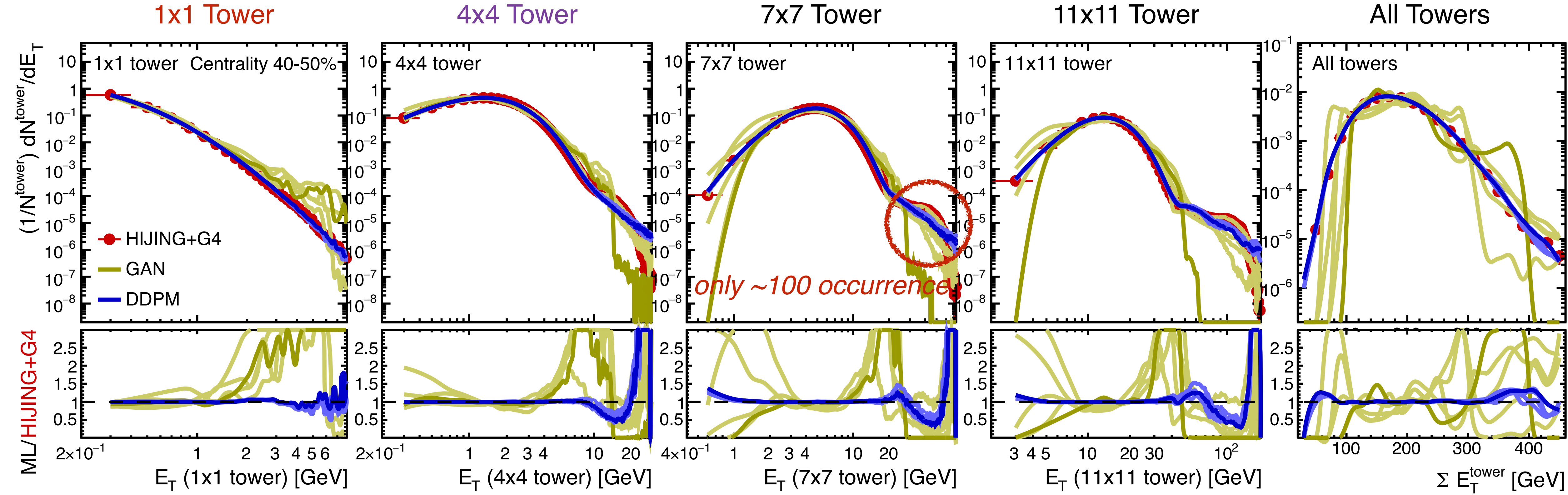
All Towers



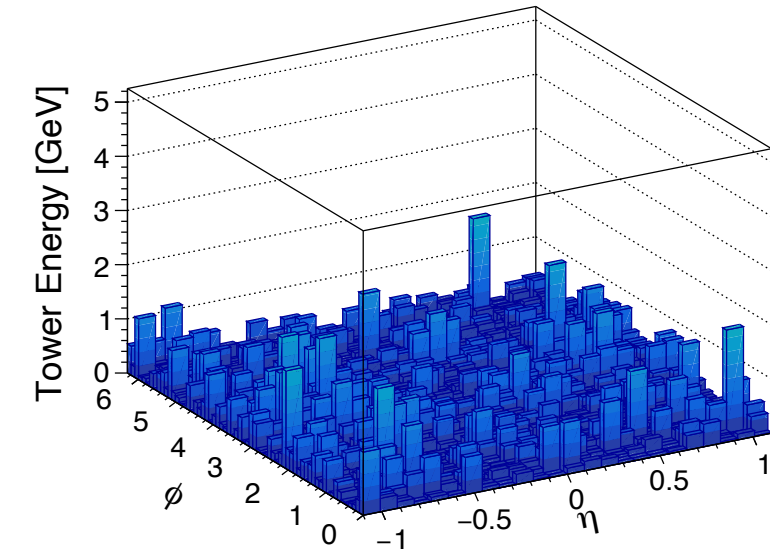
- DDPM outperforms GAN
 - ➔ great stability, good agreement with HIJING+G4 at high probability region



Performance: Transverse Energy (40-50%)



- DDPM outperforms GAN
 - ➔ great stability, good agreement with HIJING+G4 at high probability region
- Non-gaussian rare tail at the high energy region → challenge to reproduce



Trade-off between Training time and Fidelity

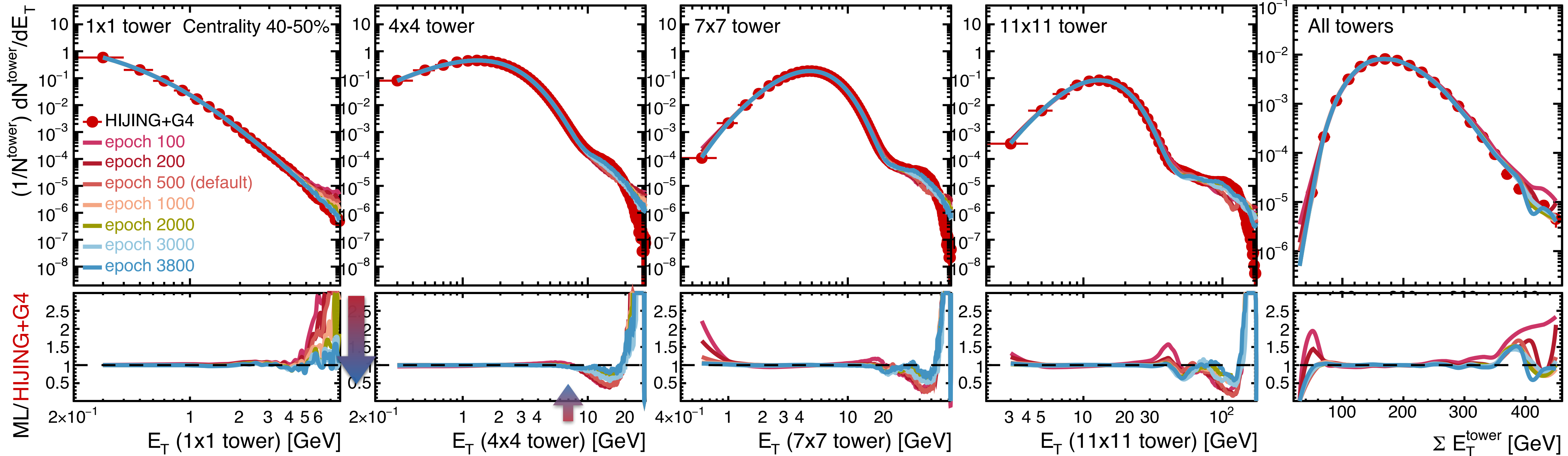
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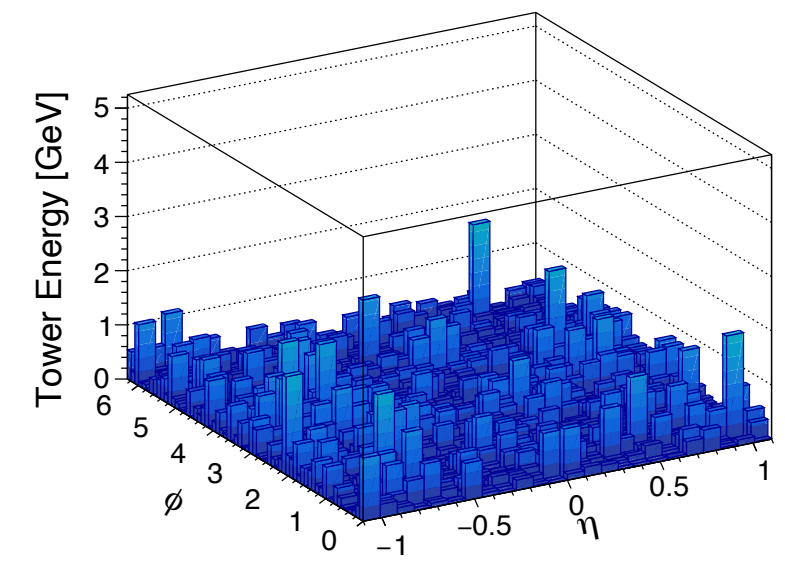
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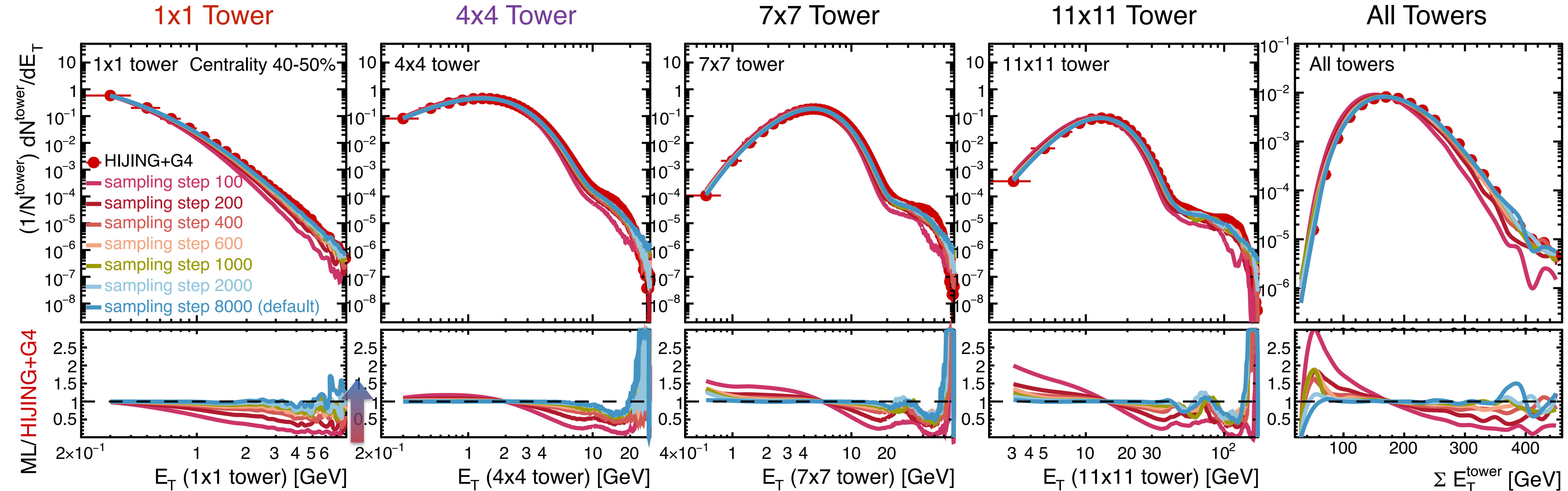
All Towers



- epoch ~ training duration
- **DDPM** models with the **higher epochs** give **better performance!**
 ➔ but, the **higher the epochs**, the *longer the training time*



Trade-off between Generation time and Fidelity



- **DDPM** models with the **higher de-noising steps** give **better performance!**
 - ➔ but, the **higher the de-noising**, the *longer the generation time*

How long does it take to simulate a large sample?

	Generating time	Speedup	CPU/GPU
HIJING + GEANT4 (Conventional)	40 minutes / event	1	Single CPU
DDPM	1.34 s / event	~1,800X	NVIDIA RTX A6000
GAN	0.42 ms / event	~5,700,000X	NVIDIA RTX A6000

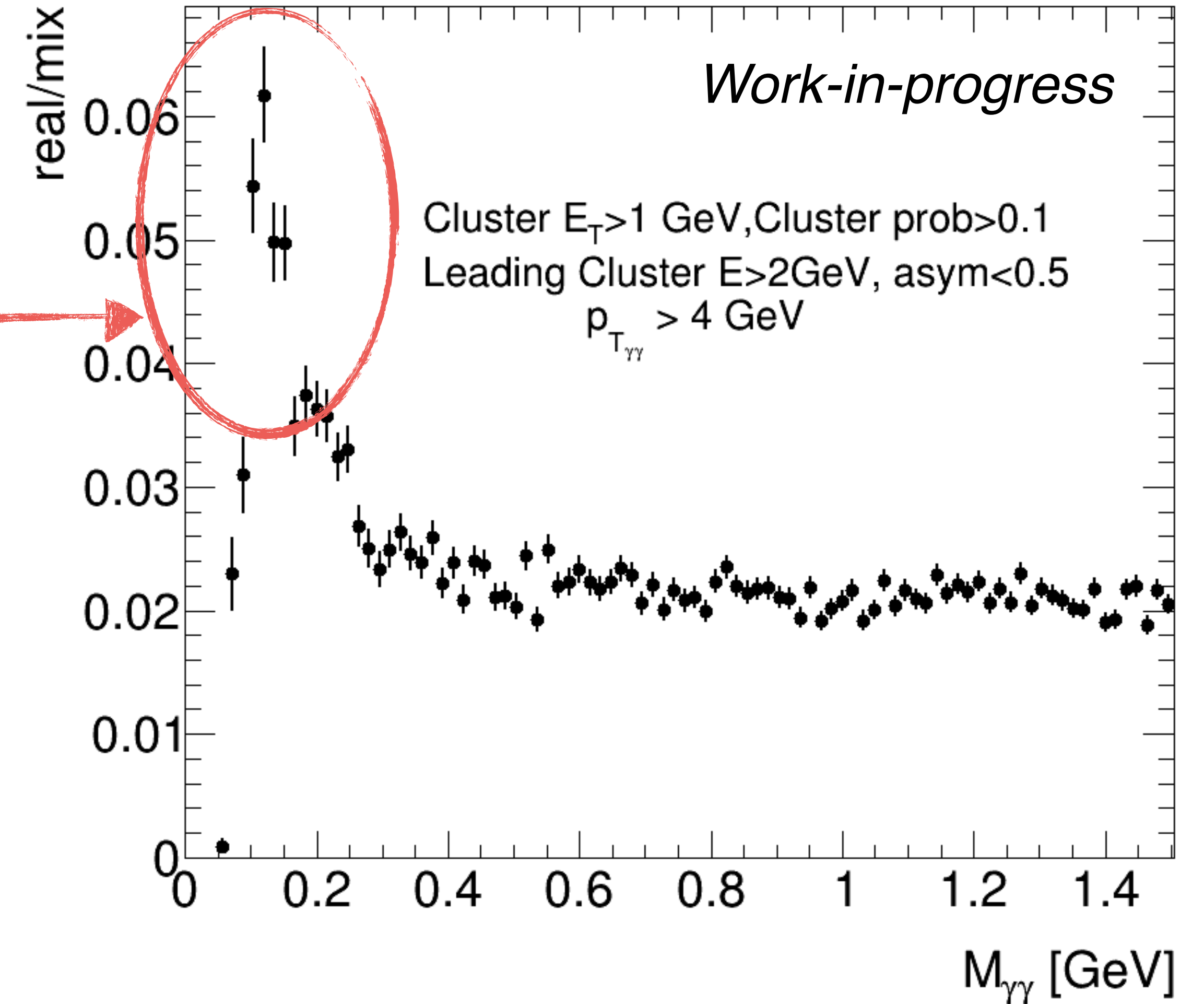
- **GAN** is faster, but the **DDPM** exhibits high fidelity in describing the truth ground (**HIJING+GEANT4**)
- **DDPM** provide a speedup of $O(100)$, considering a 32-core CPU equivalent to a GPU

Application and Future Plan

- We can train the model using a relatively modest number (*at the level of millions*) and then accelerate the production of much larger samples (*at the level of billions*)

π^0 peak reconstructed using simulation samples generated by DDPM

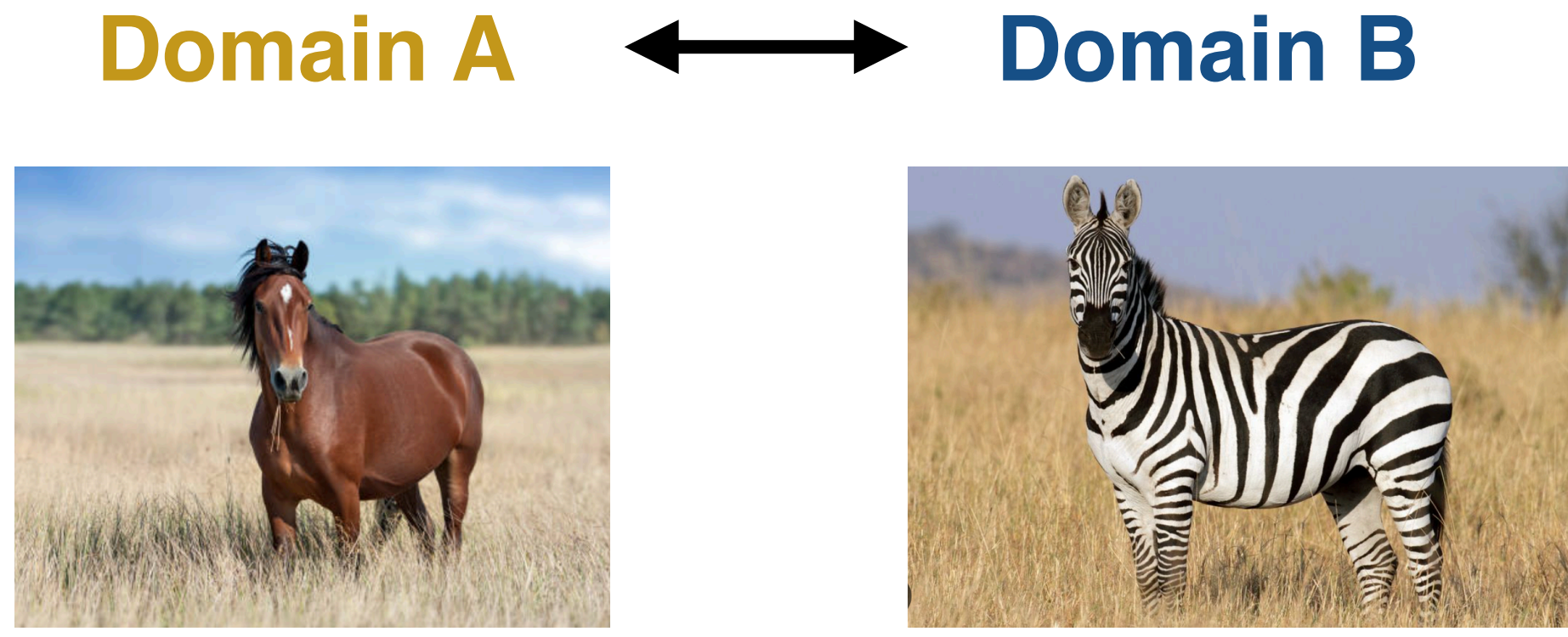
- Can DDPM describe more complex features of heavy ion collisions?
➔ **Resonance, flow** can be reproduced by DDPM!
(work-in-progress)



Jet Background Subtraction using CycleGAN

Cycle-consistent GAN

- Self-supervised learning, Unpaired image-to-image translation
- Minimizing **cycle-consistency loss** in addition to adversarial loss



Adversarial Loss

- $A \rightarrow B \sim B?$
- $B \rightarrow A \sim A?$

Cycle-consistency Loss

- $A \rightarrow B \rightarrow A \sim A?$
- $B \rightarrow A \rightarrow B \sim B?$

Zebras \rightleftarrows Horses



zebra \rightarrow horse

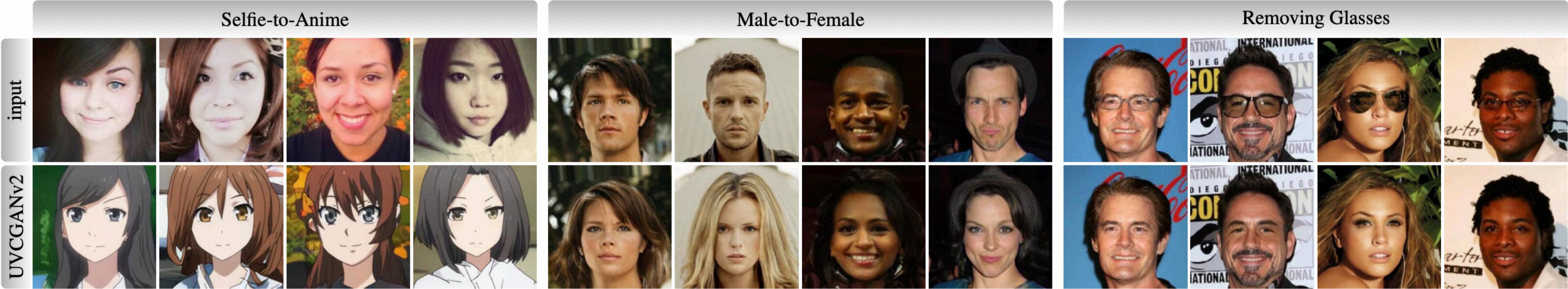


horse \rightarrow zebra

arXiv:1703.10593

UVCGAN

- **UVCGAN** (UNet Vision Transformer cycle-consistent Generative Adversarial Network)
 - ➔ **unpaired** image-to-image translation; bridging gap between simulation and data reference
 - ➔ arXiv:2303.16280 [cs.CV]

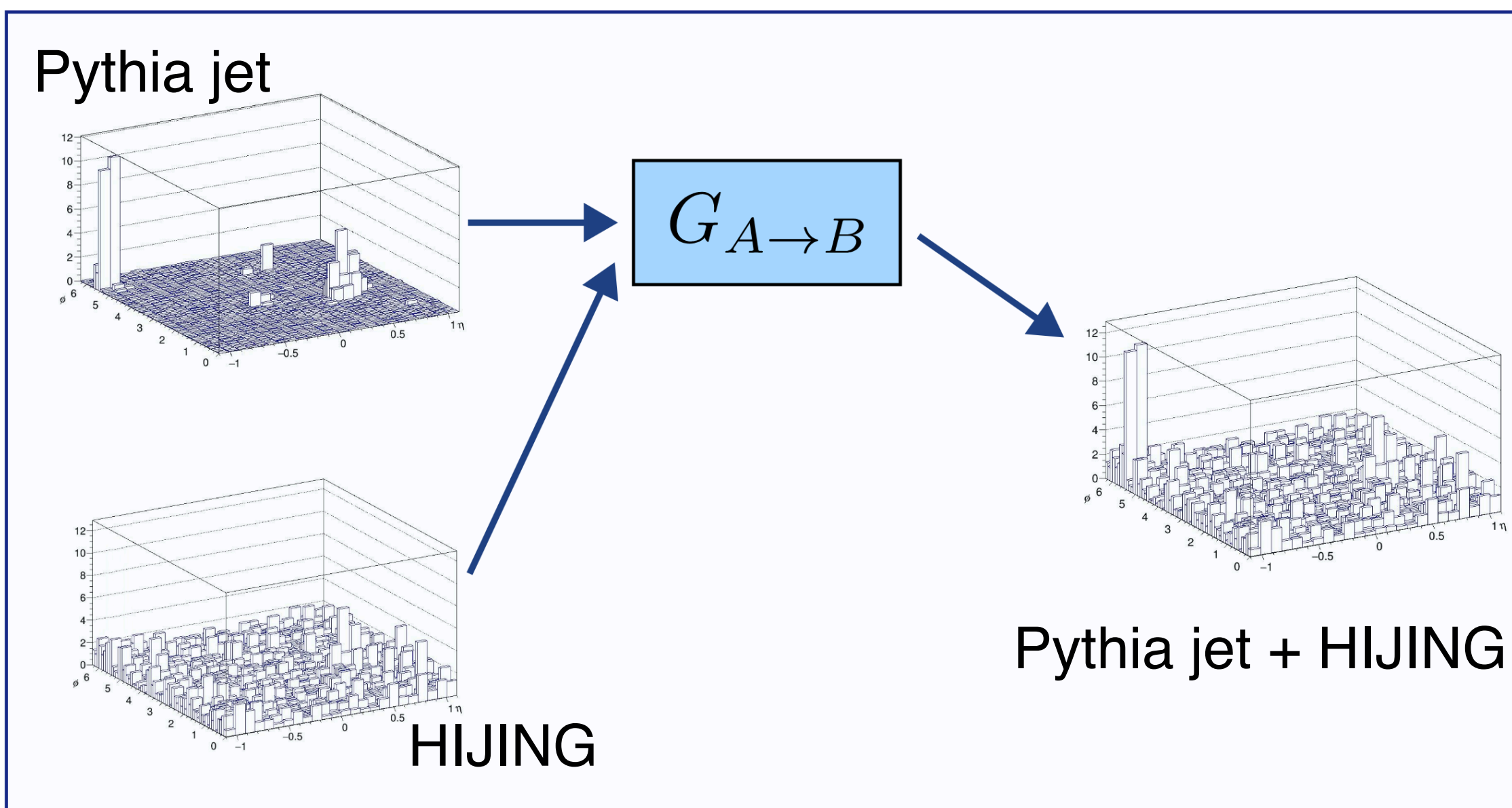


Jet Background Subtraction (1)

- Calorimeter η vs ϕ images are generated by UVCGAN for two domains
 - ➔ **A domain**: Pythia and HIJING, separately
 - ➔ **B domain**: Pythia + HIJING
- A-to-B is qualitatively described well

A

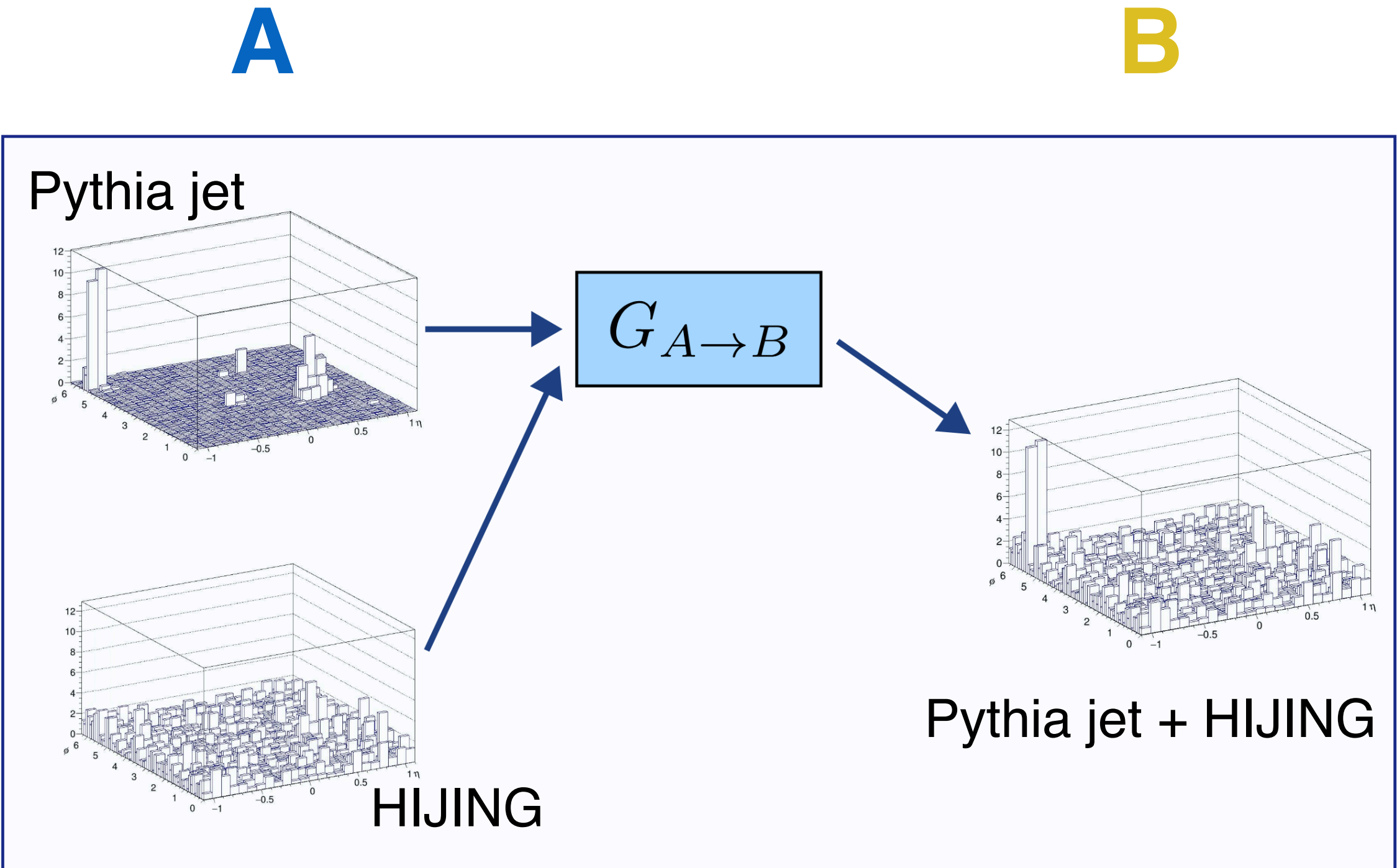
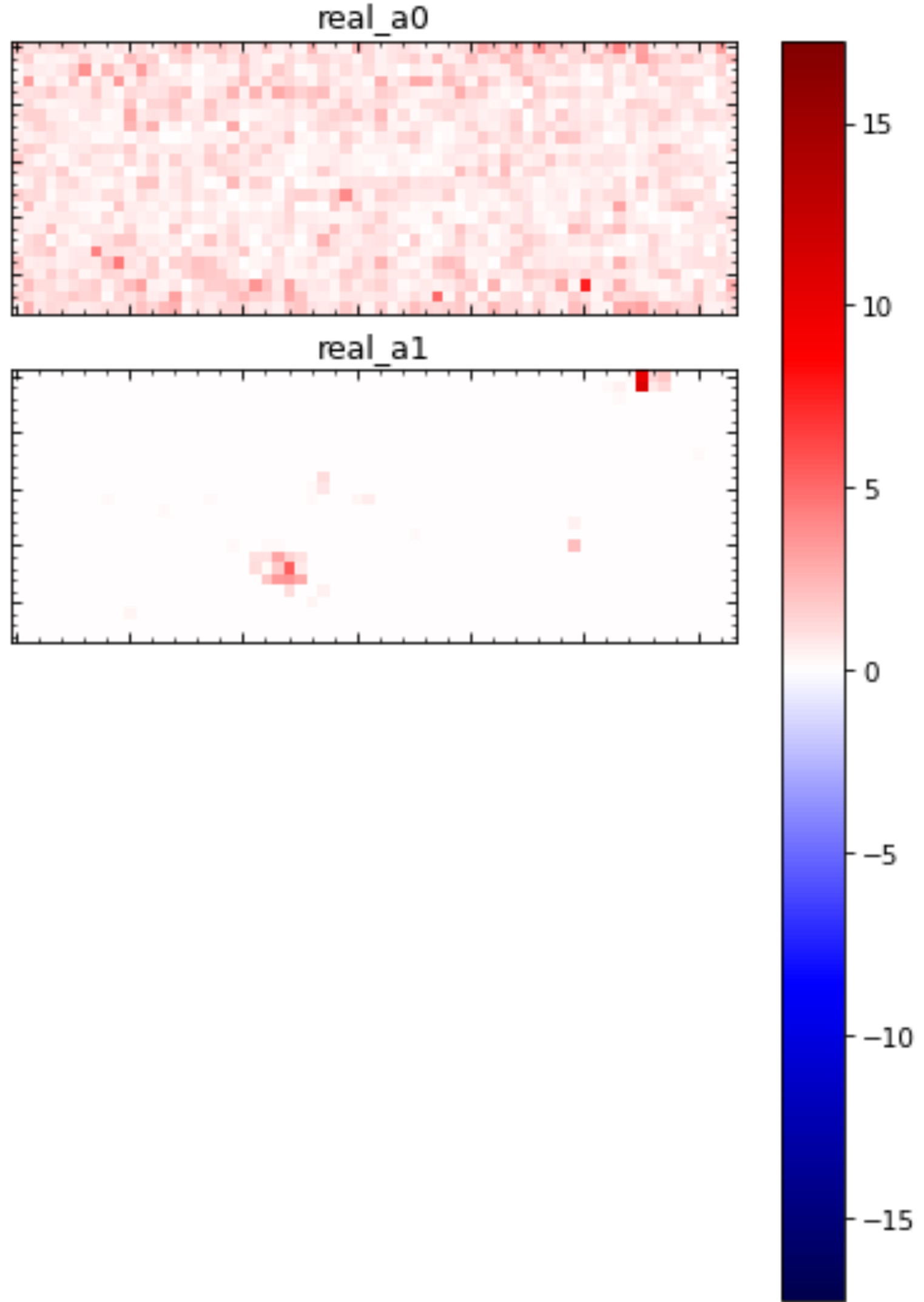
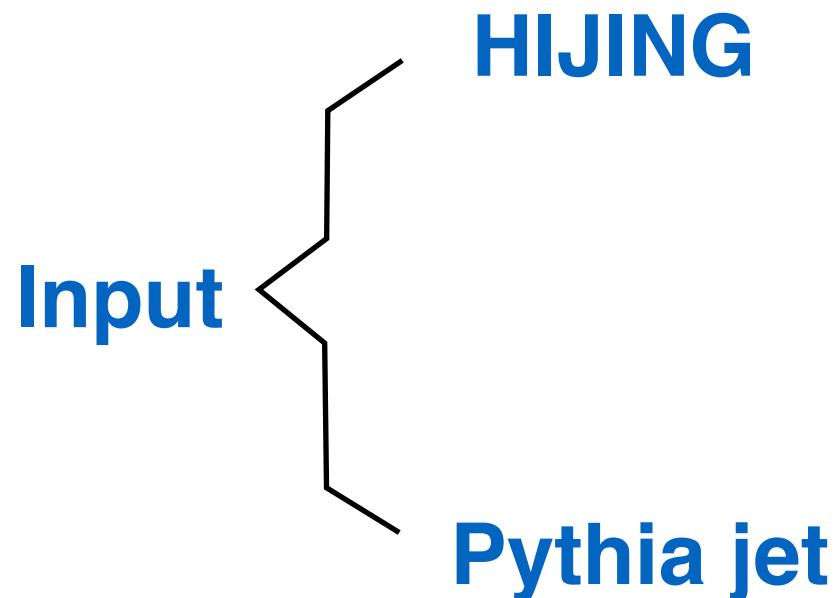
B



Work-in-progress

Jet Background Subtraction (1)

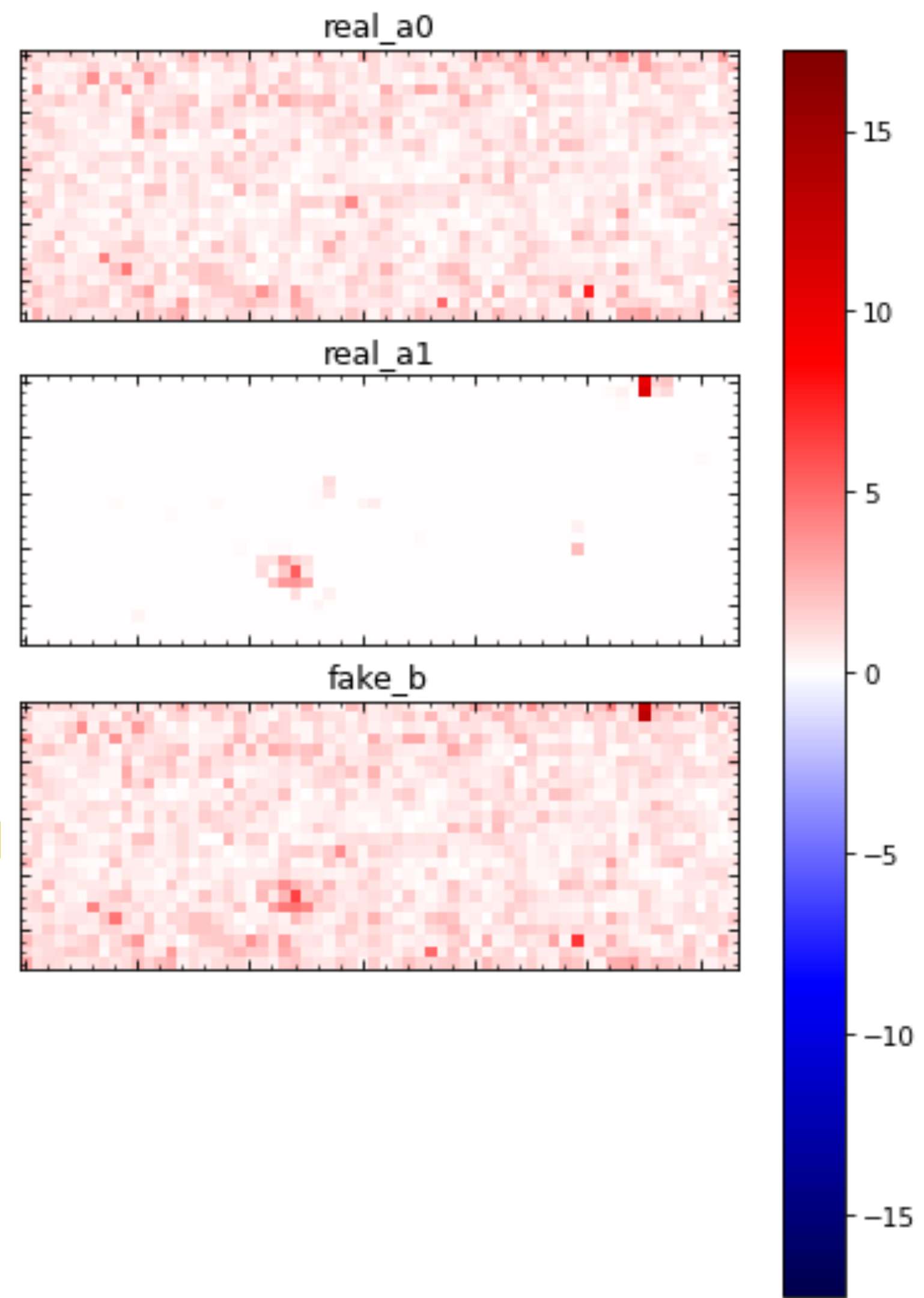
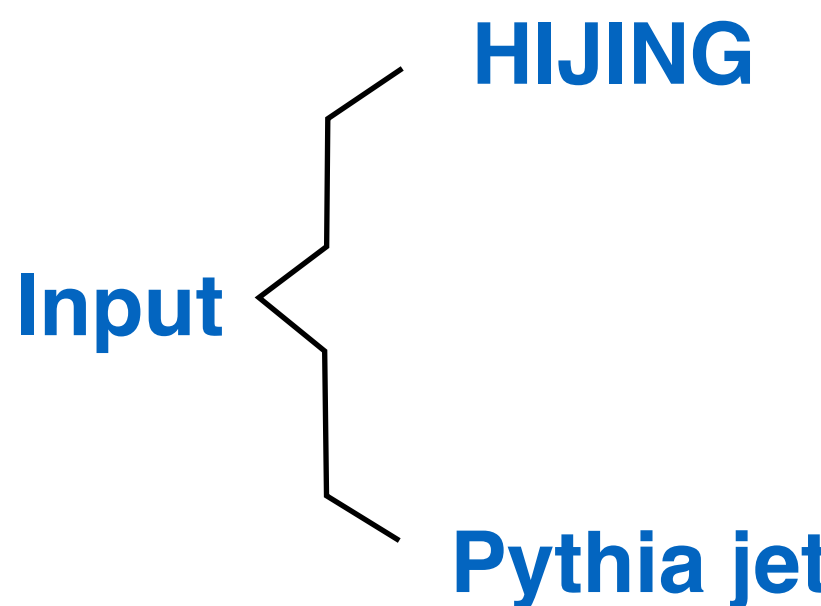
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Work-in-progress

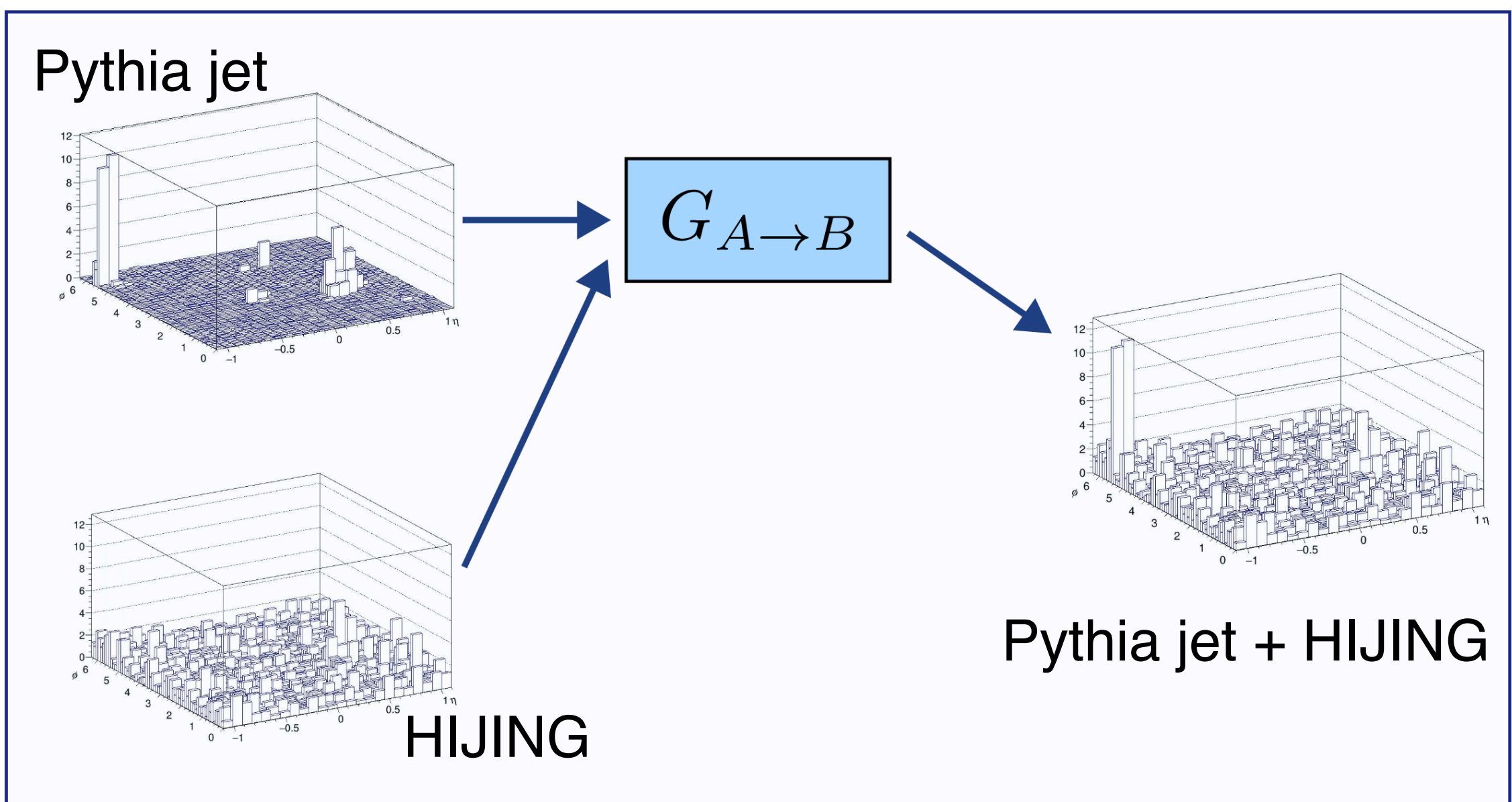
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A

B

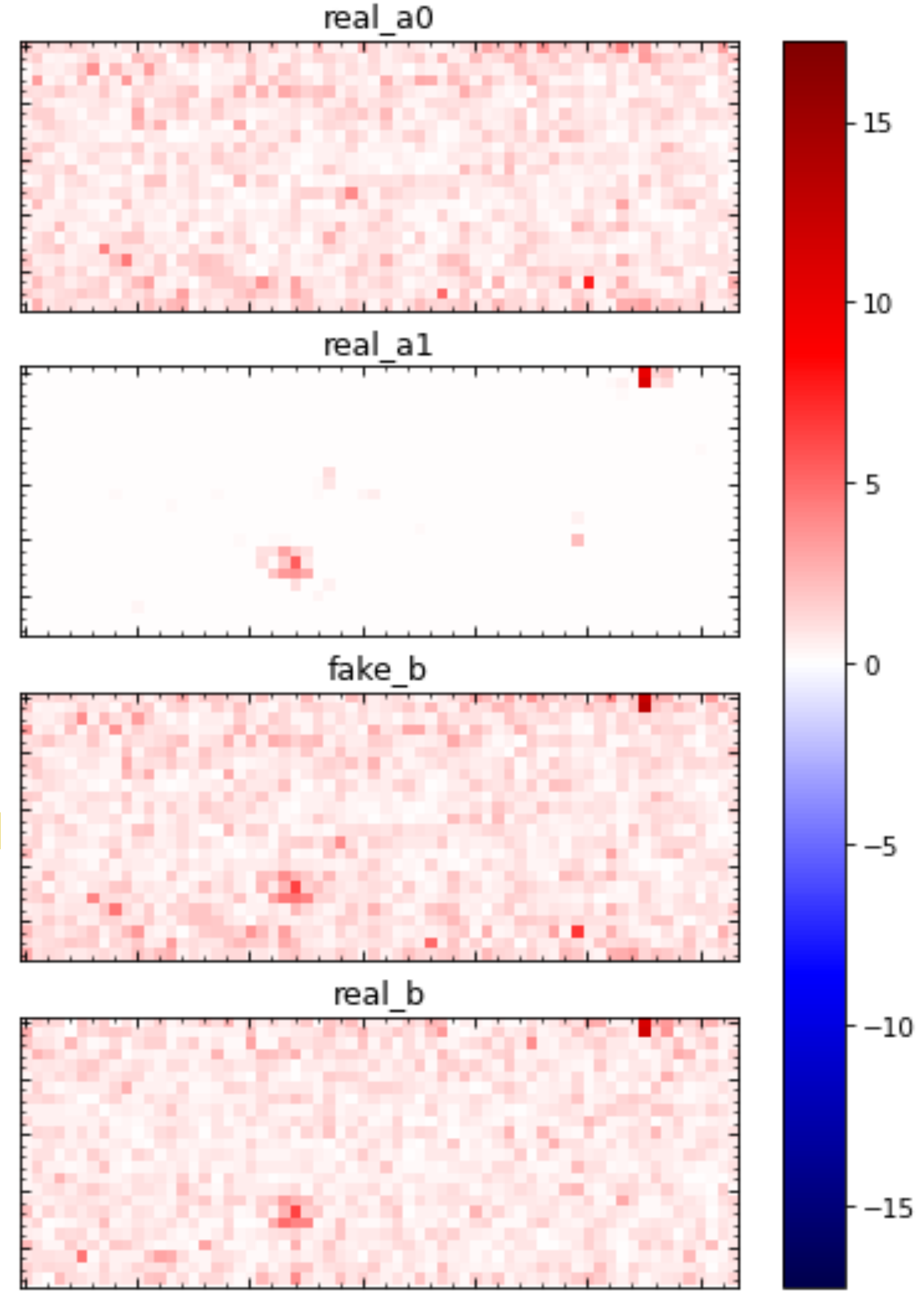
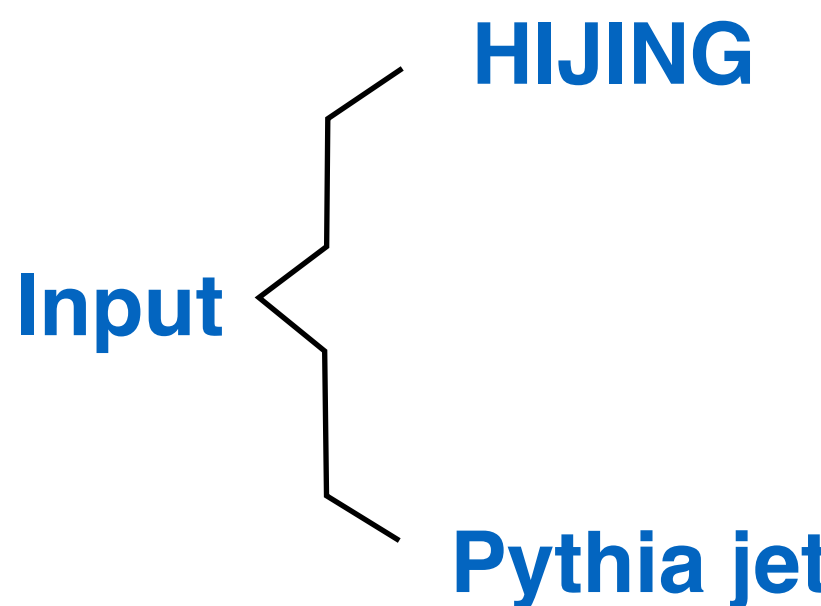


A → B Generated by UVCGAN

Work-in-progress

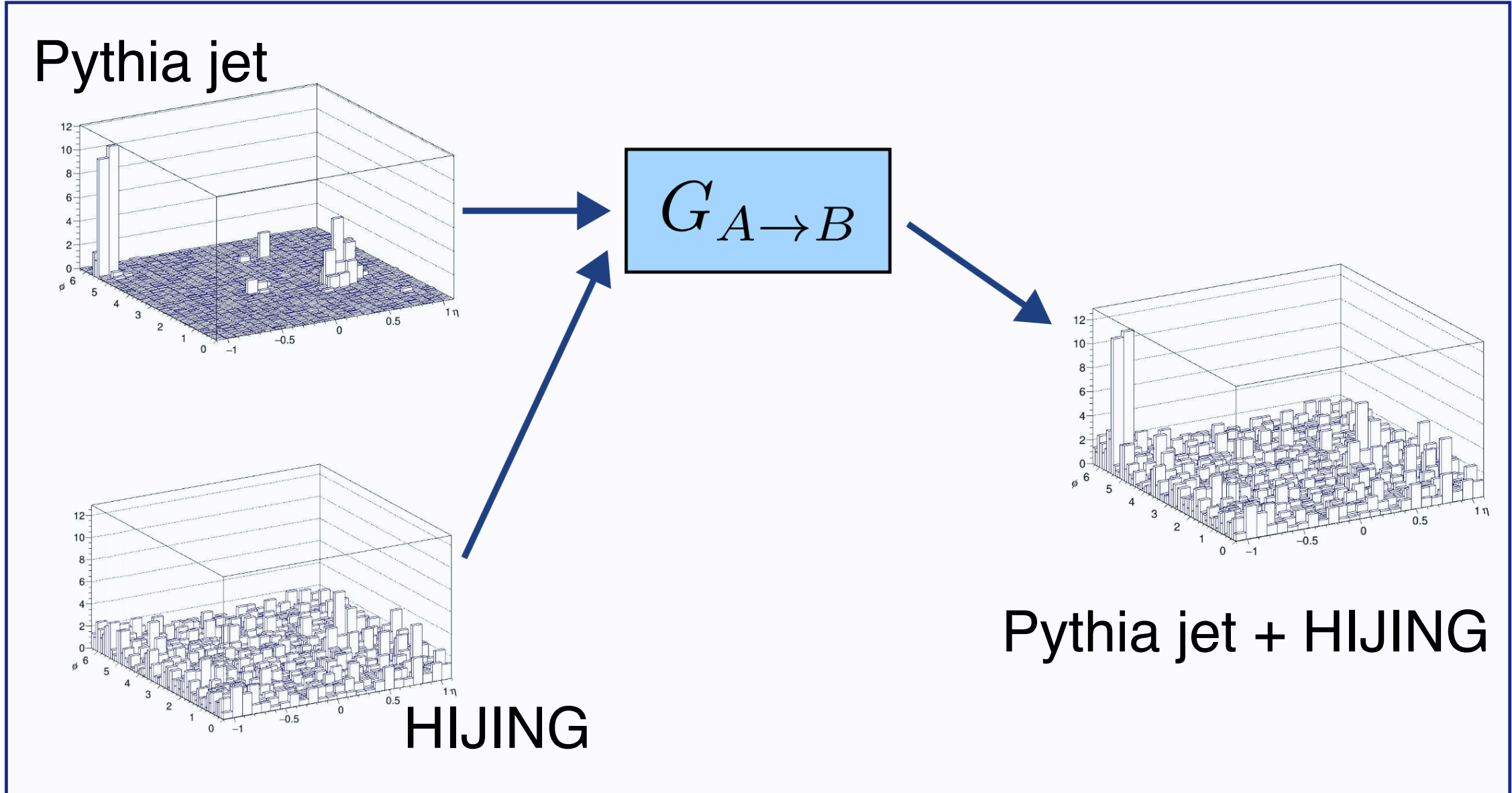
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A

B



A → B Generated by UVCGAN

Reference Pythia + HIJING

Work-in-progress

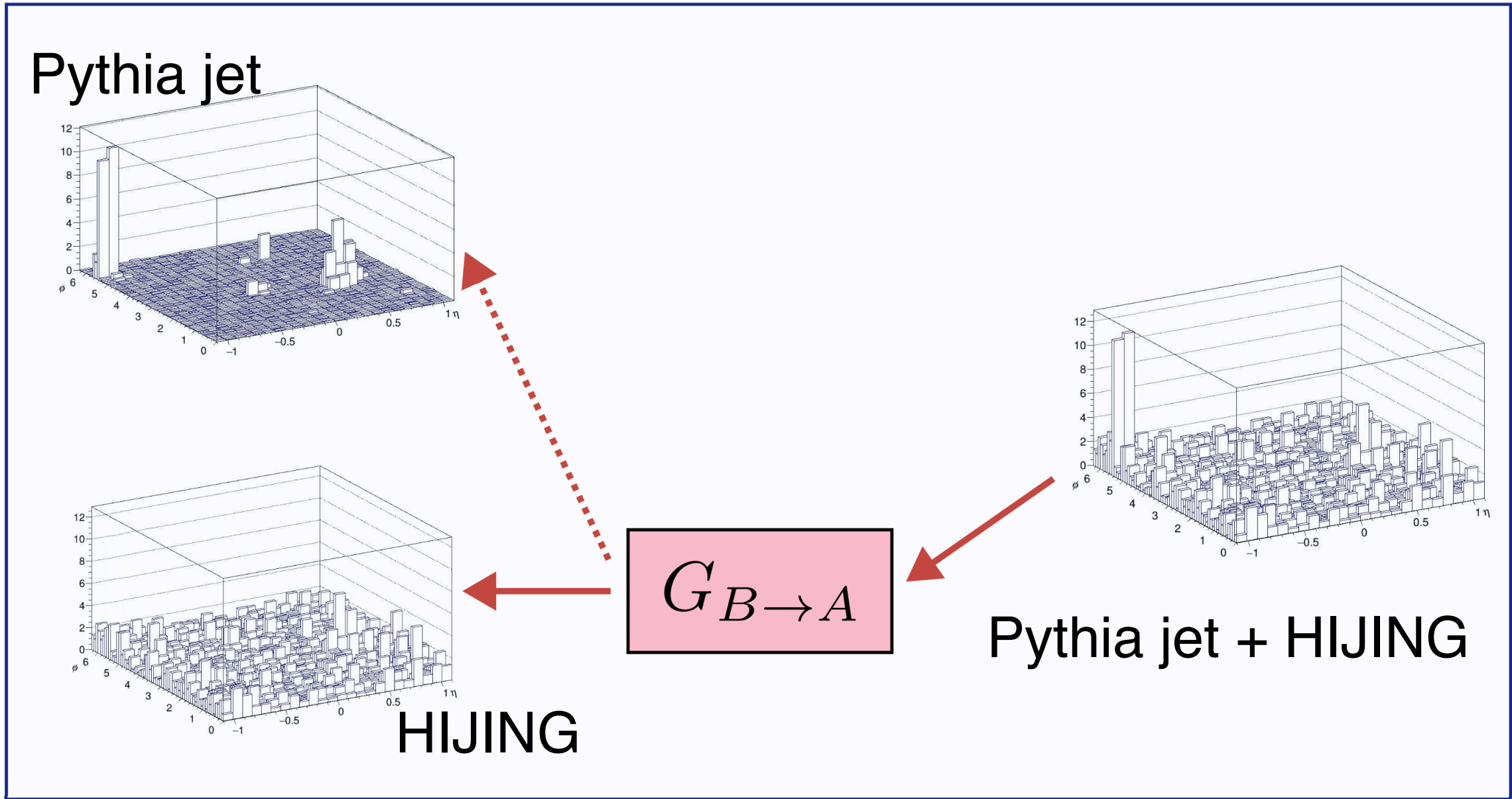
(a) $A \rightarrow B$

Jet Background Subtraction (2)

- **B-to-A (jet background subtraction)** is also qualitatively described well !

A

B

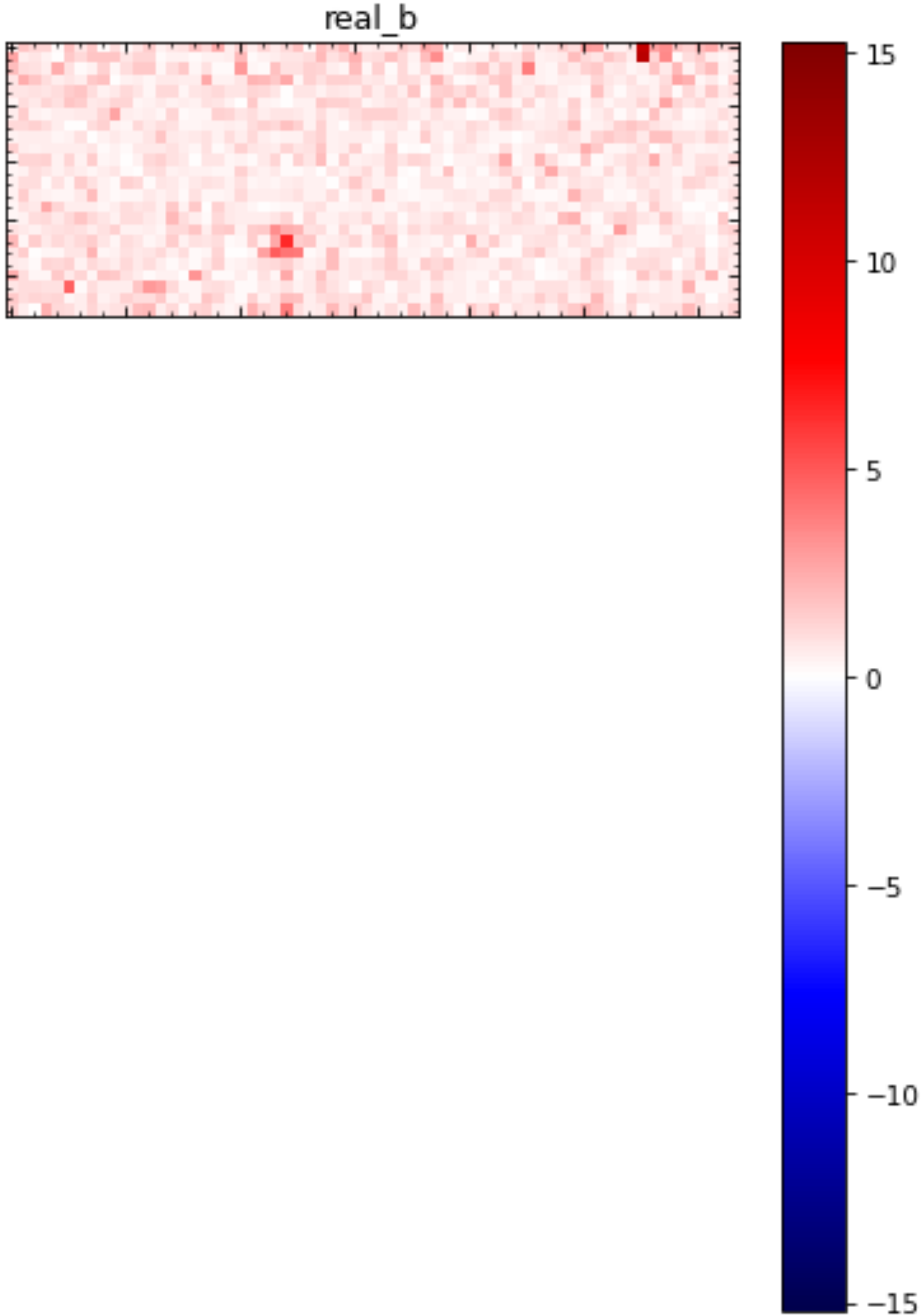


Work-in-progress

Jet Background Subtraction (2)

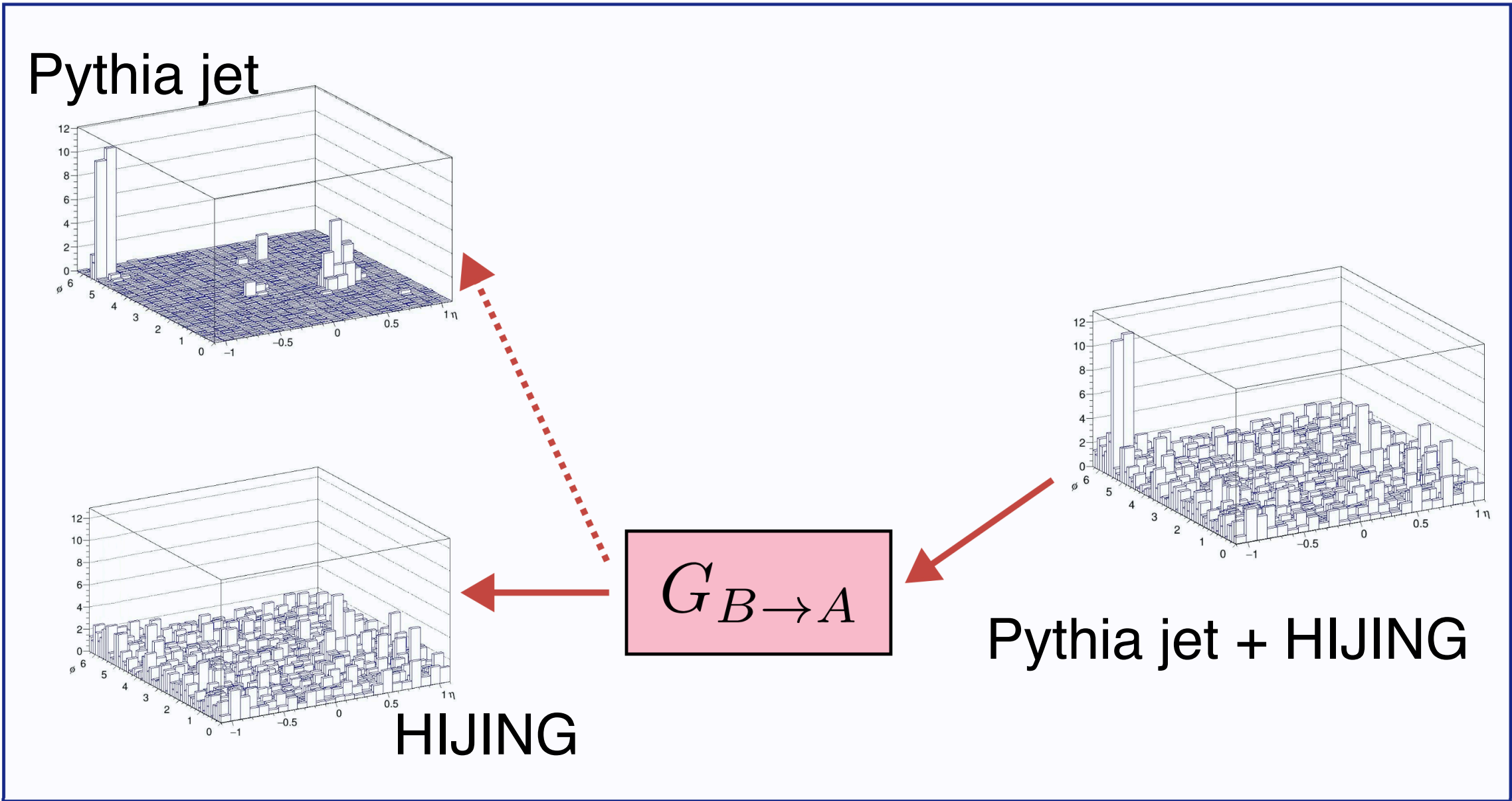
- **B-to-A (jet background subtraction)** is also qualitatively described well !

(Input) **HIJING+Pythia**



A

B

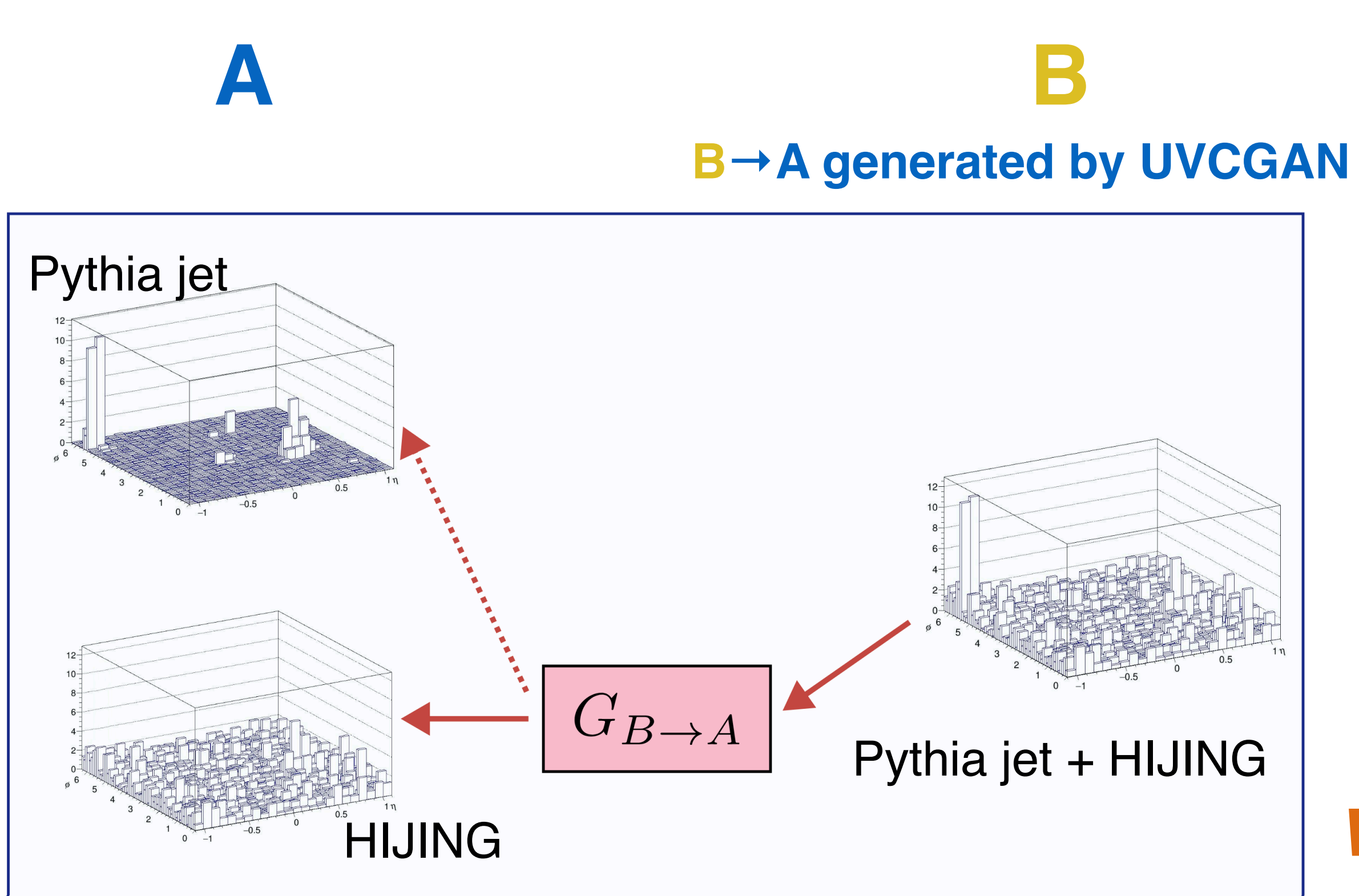
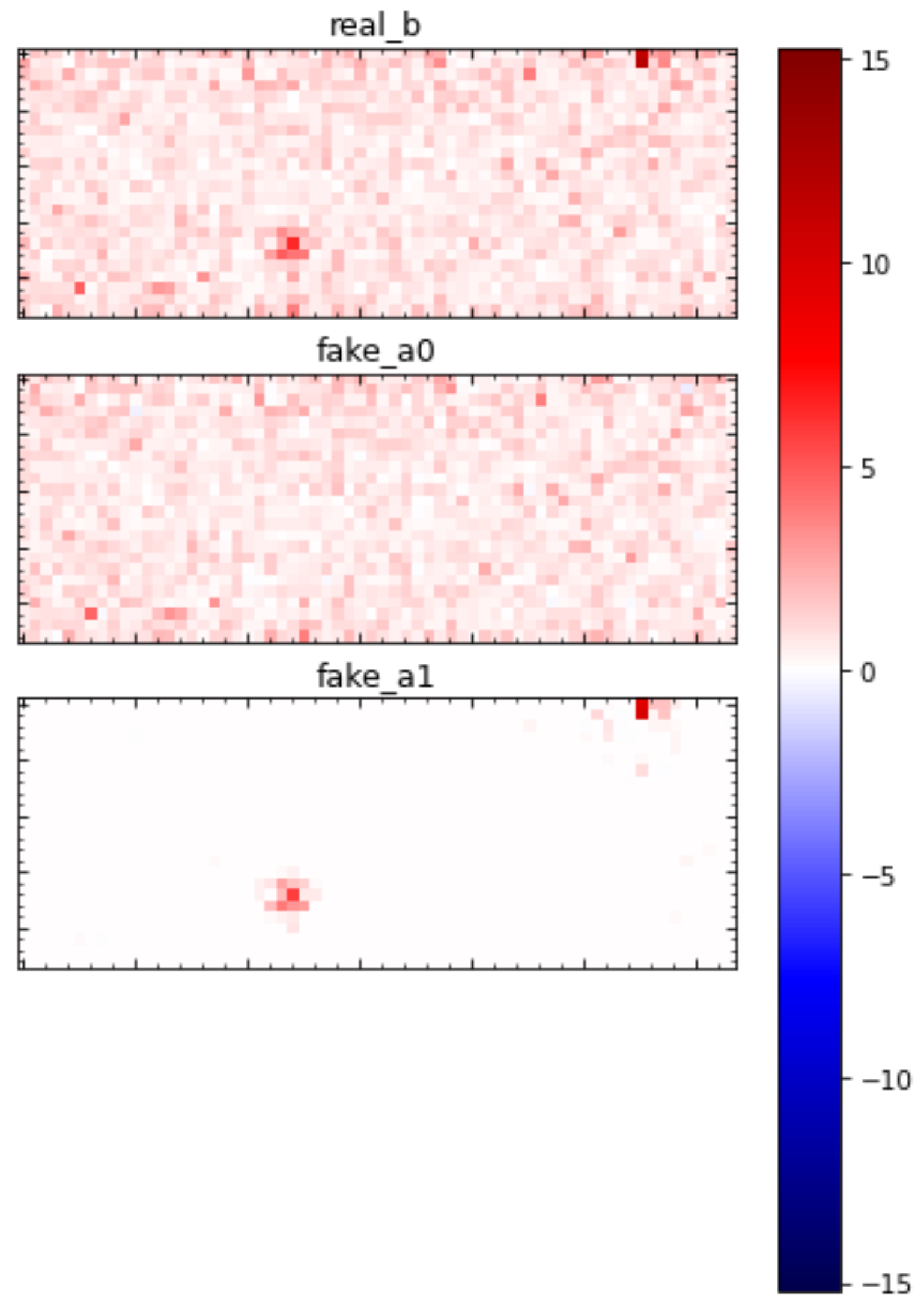


Work-in-progress

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Background (HIJING)

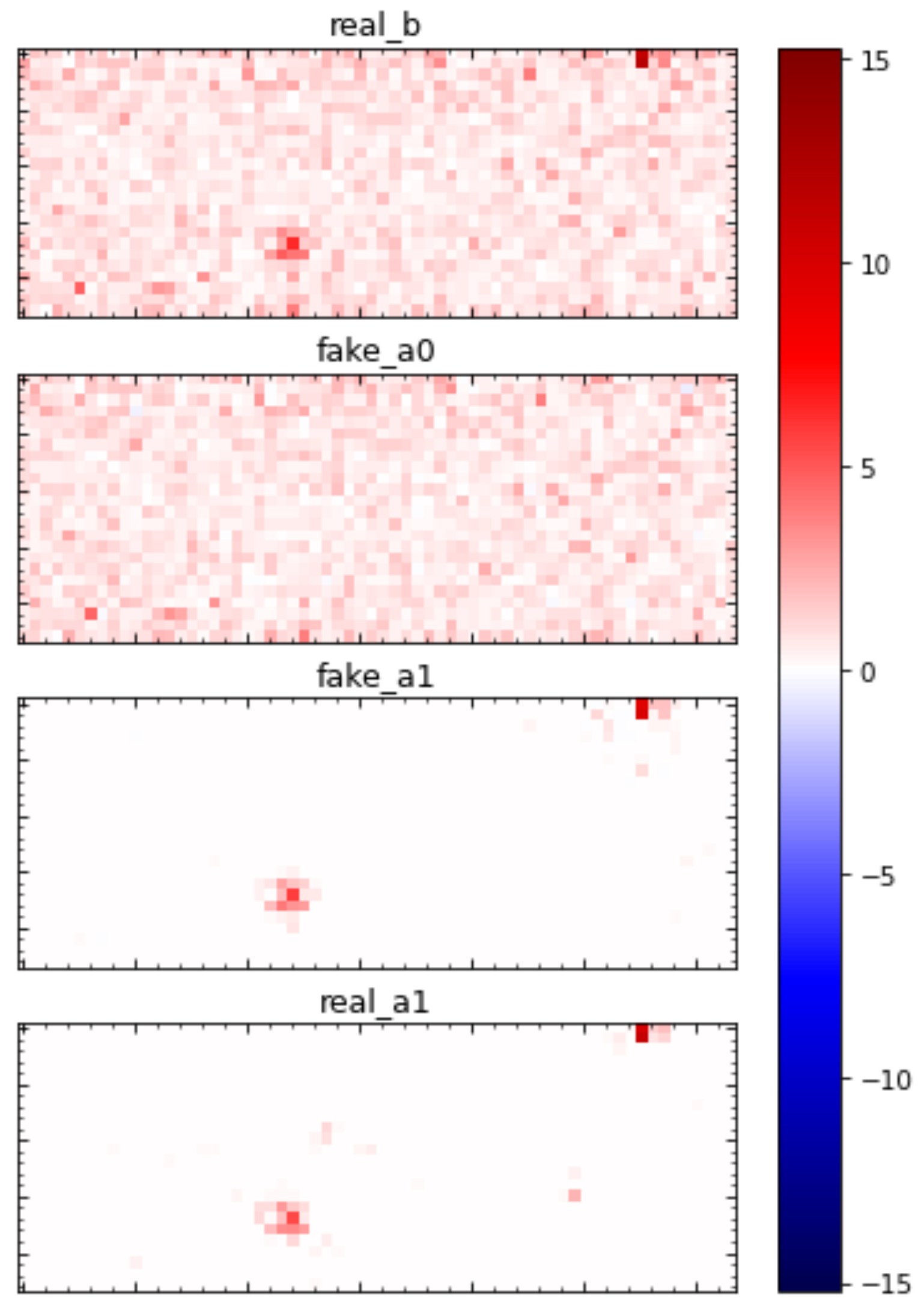
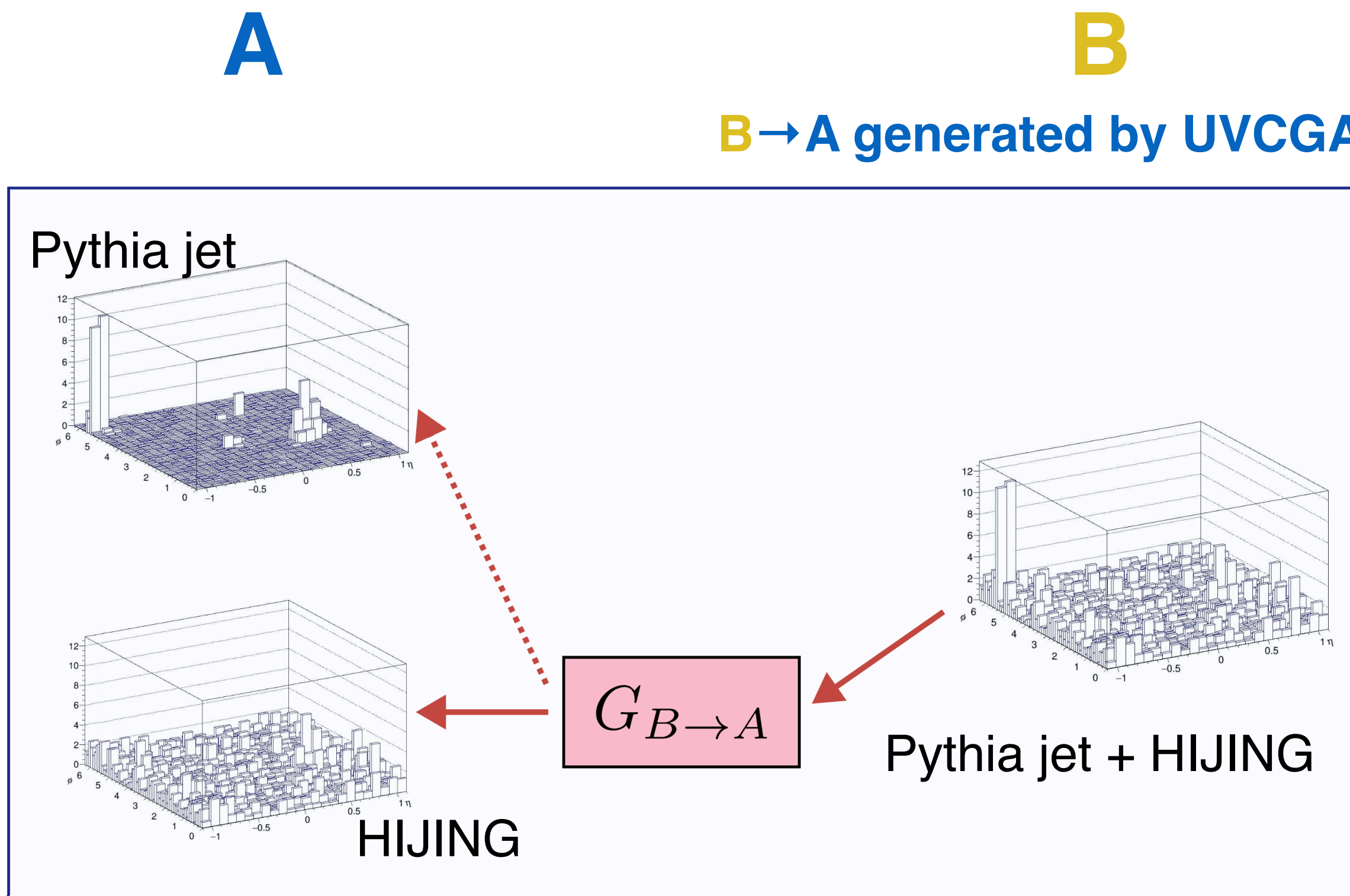
Jets

Work-in-progress

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Work-in-progress

(b) $B \rightarrow A$

Summary and Conclusion

- Generative AI Simulations of high energy nuclear experiments
 - ➔ **highly complex** and **computationally intensive**
 - ➔ both fidelity and speed is important
 - ➔ *Generative AI can speed up and produce large amount of the heavy ion event simulations!*

Phys. Rev. C 110, 034912

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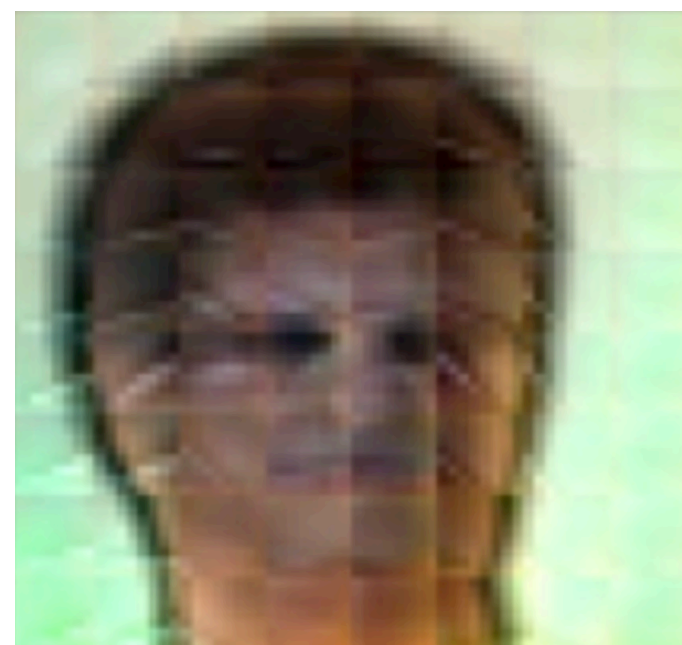
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- For the first time, a **self-supervised generative model** is used for **jet background subtraction** in heavy ion collisions; *cycle-consistent GAN for image-to-image translation*
 - ➔ *can bridge gap between the data and simulation*
 - ➔ *first look is very promising. Stay in tune!*

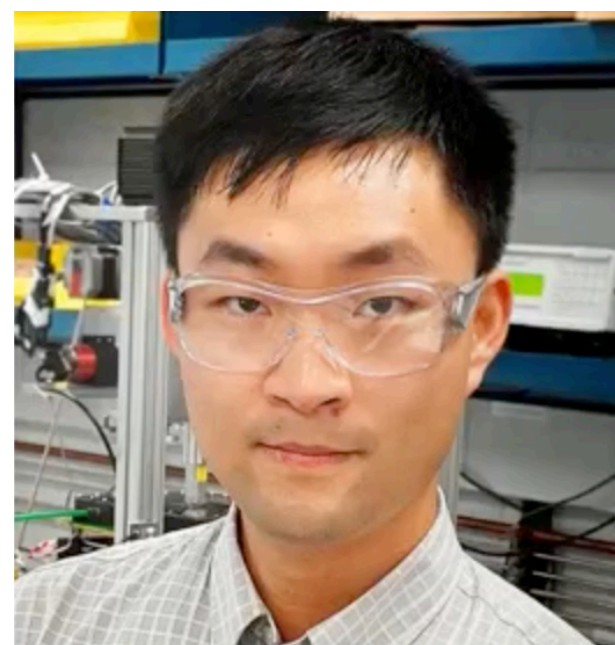
Our Team



Yeonju Go



Dmitrii Torbunov



Jin Huang



Yihui Ren



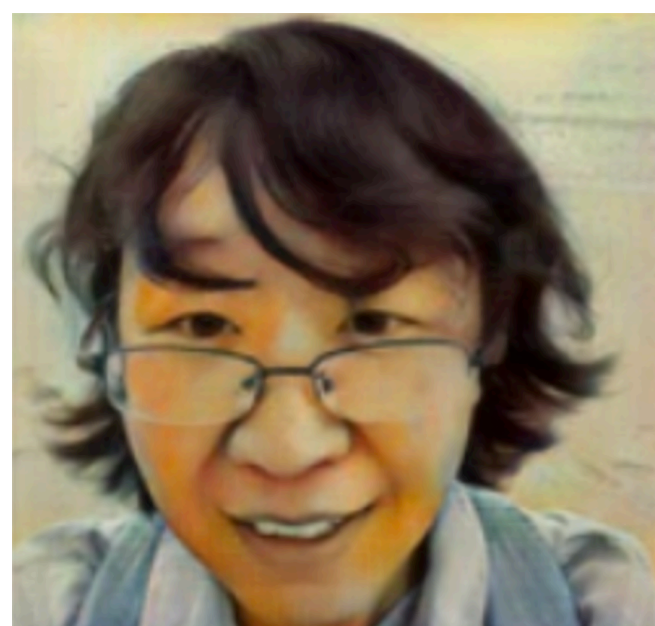
Dennis Perepelitsa



Shuhang Li



Tim Rinn



Yi Huang



Haiwang Yu



Shinjae Yoo



Meifeng Lin



Brett Viren

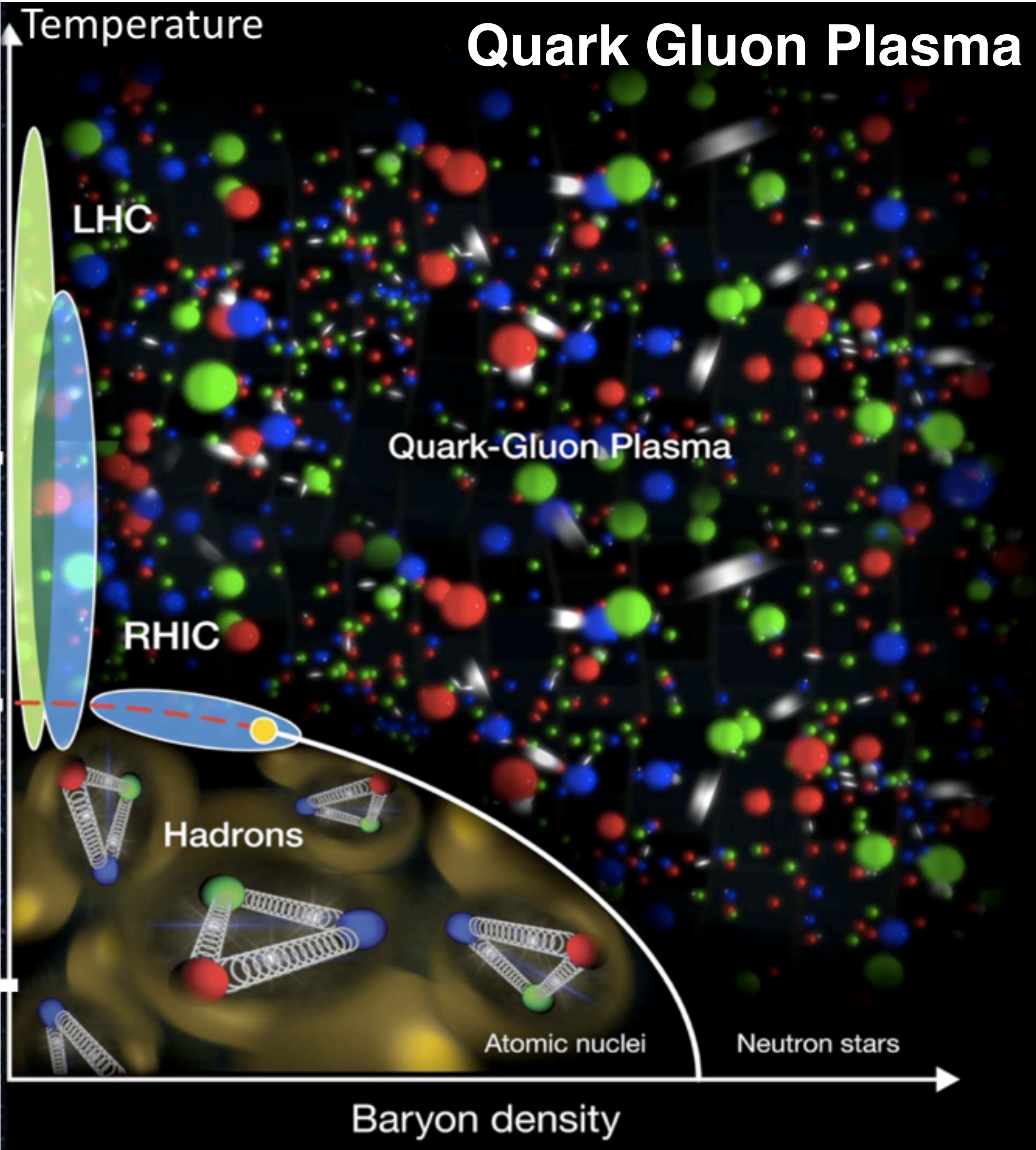
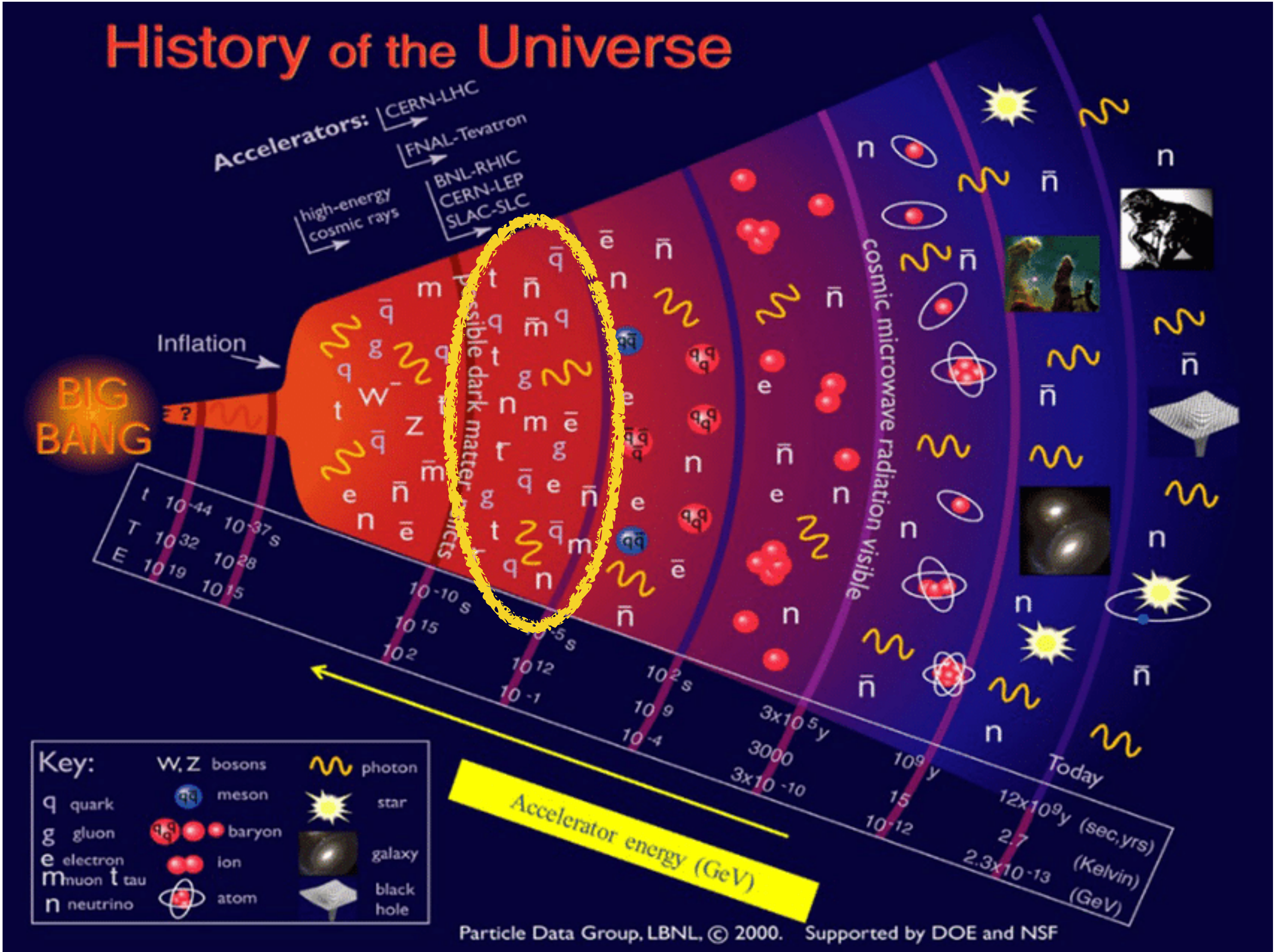
- Contacts:
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- *The LDRD Program at Brookhaven National Laboratory, sponsored by DOE's Office of Science under Contract DE-SC0012704, supported this work.*
- *We thank the sPHENIX collaboration for access to the simulated dataset, which was used in the training and validation of our algorithm.*

BACKUP

Early Universe and Quark Gluon Plasma

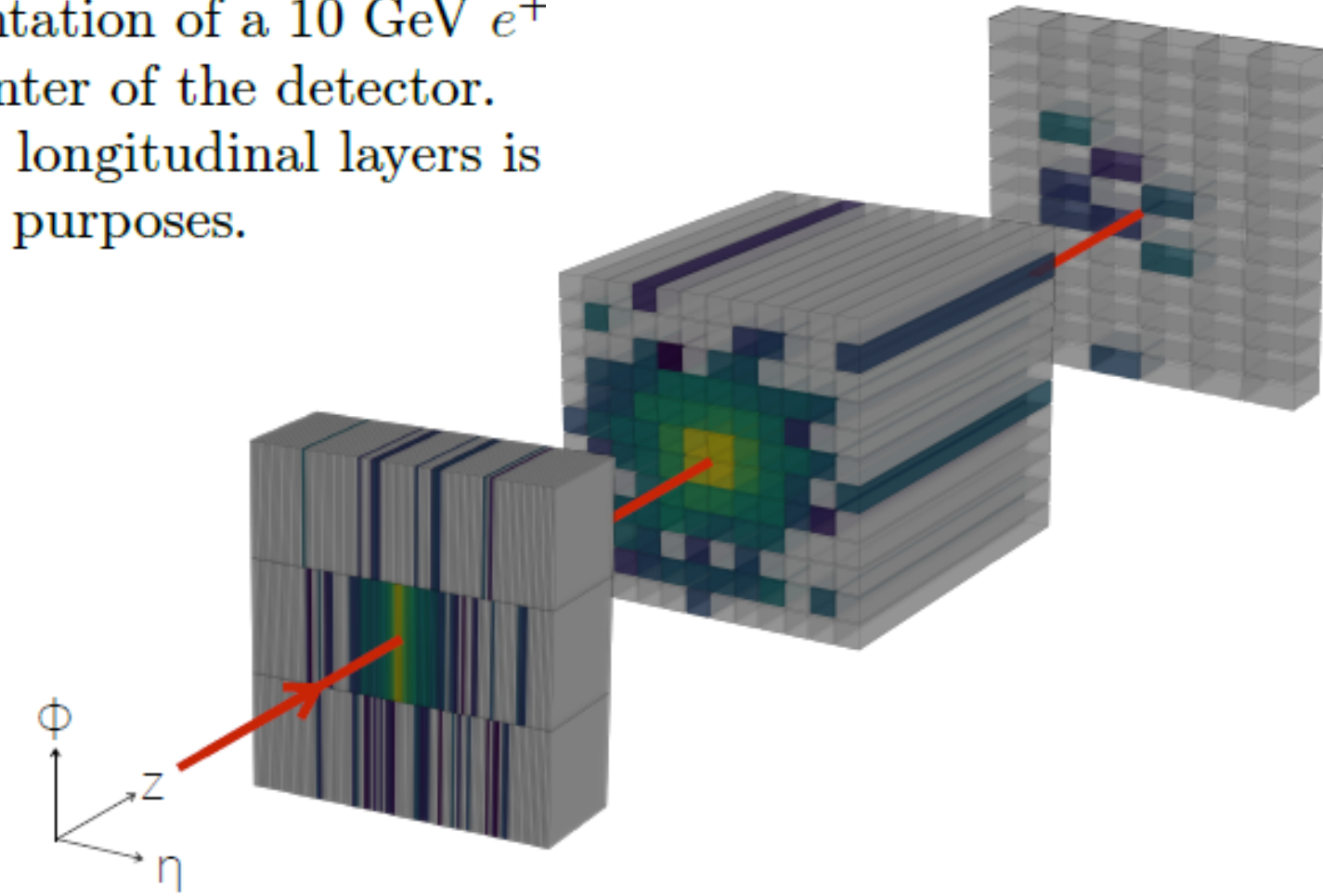


Generative AI

- **Generative Adversarial Networks (GAN)**

- ➔ actively used in high energy physics
(e.g. [arXiv:1712.1032](#), [arXiv:2209.07559](#),
EPJC 80 (2020) 688, [arXiv:2210.14245](#))

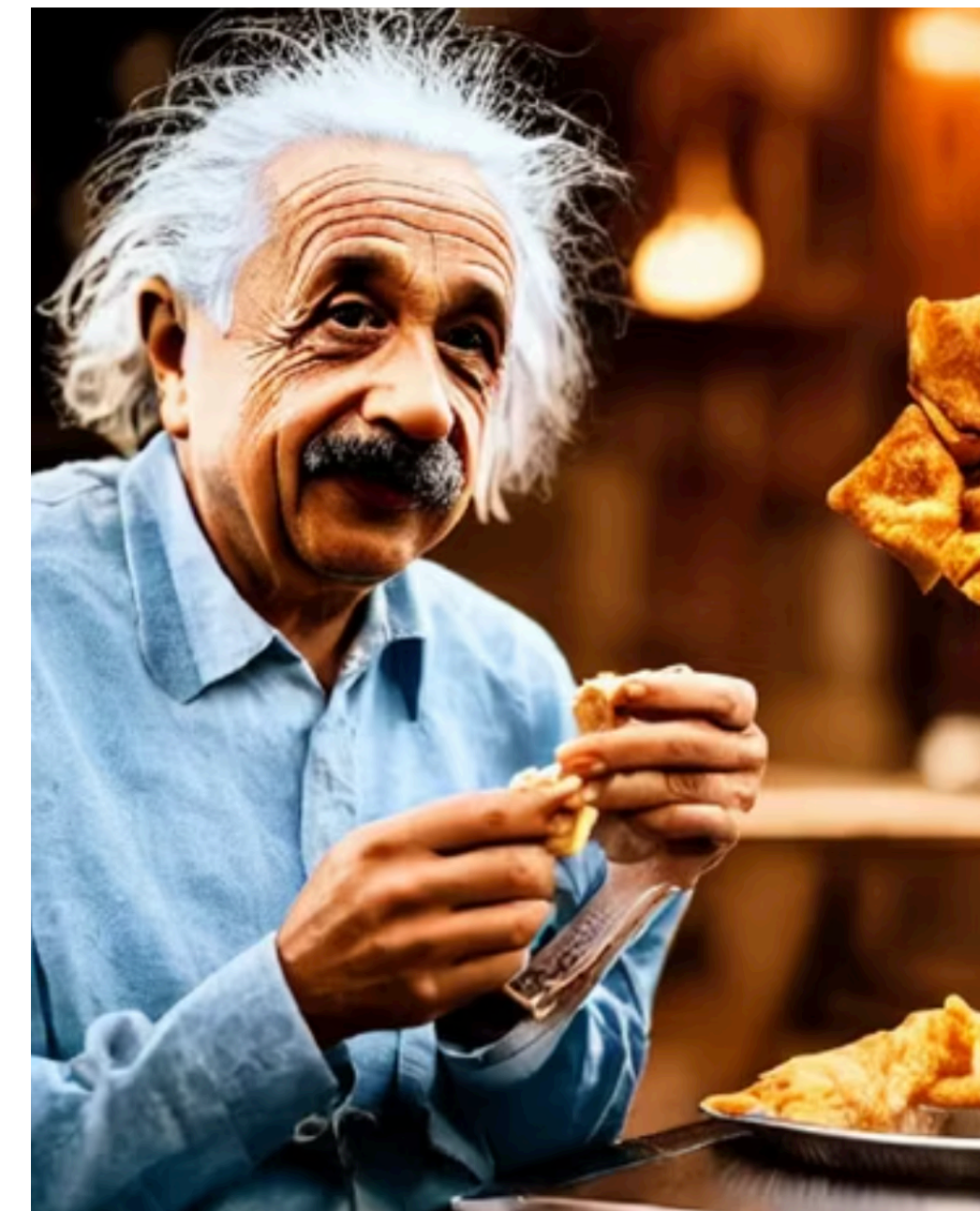
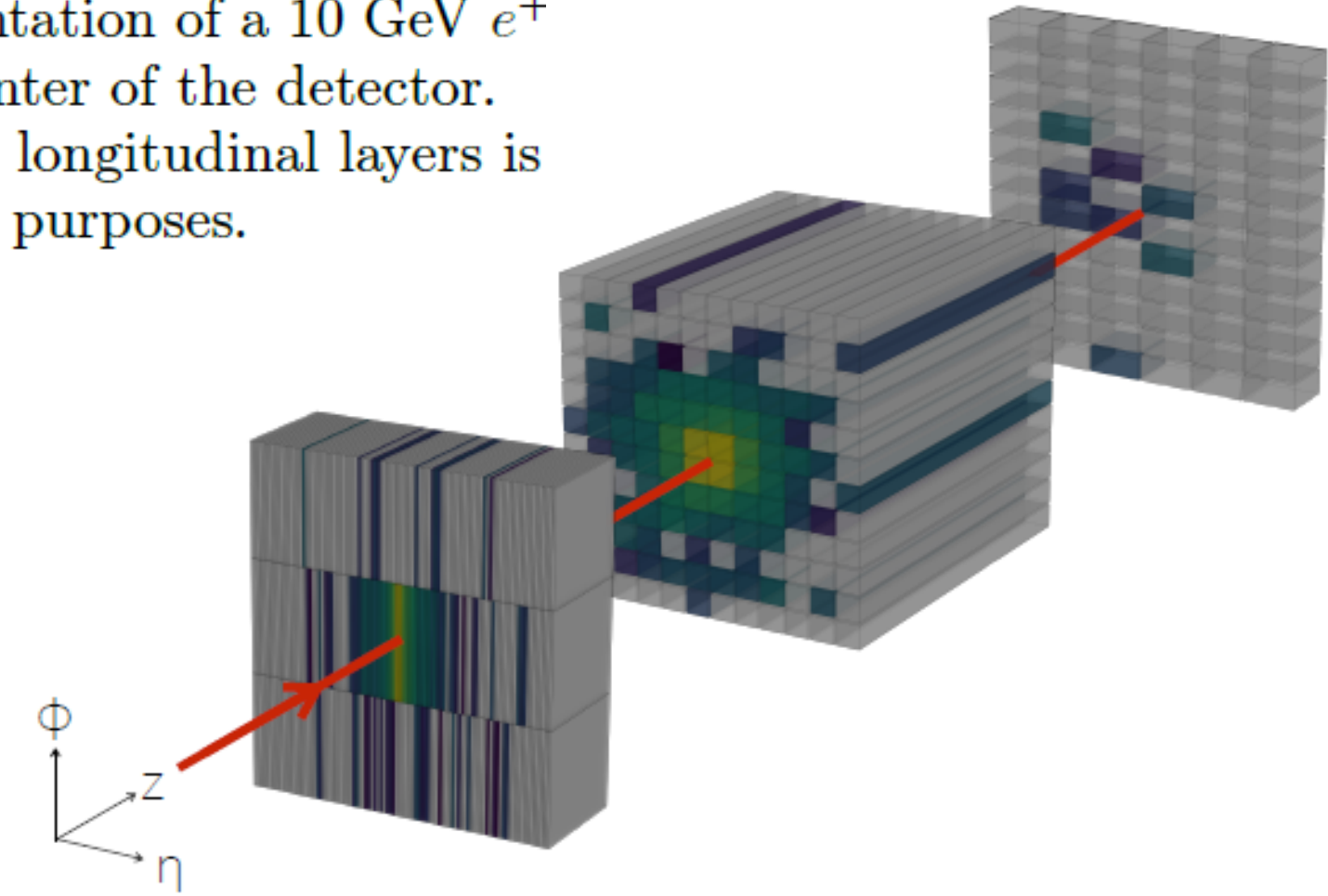
FIG. 2: Three-dimensional representation of a 10 GeV e^+ incident perpendicular to the center of the detector. Not-to-scale separation among the longitudinal layers is added for visualization purposes.



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- **Diffusion Models:** text-to-image generation in industry
(e.g. StableDiffusion, Midjourney, Dalle-2)

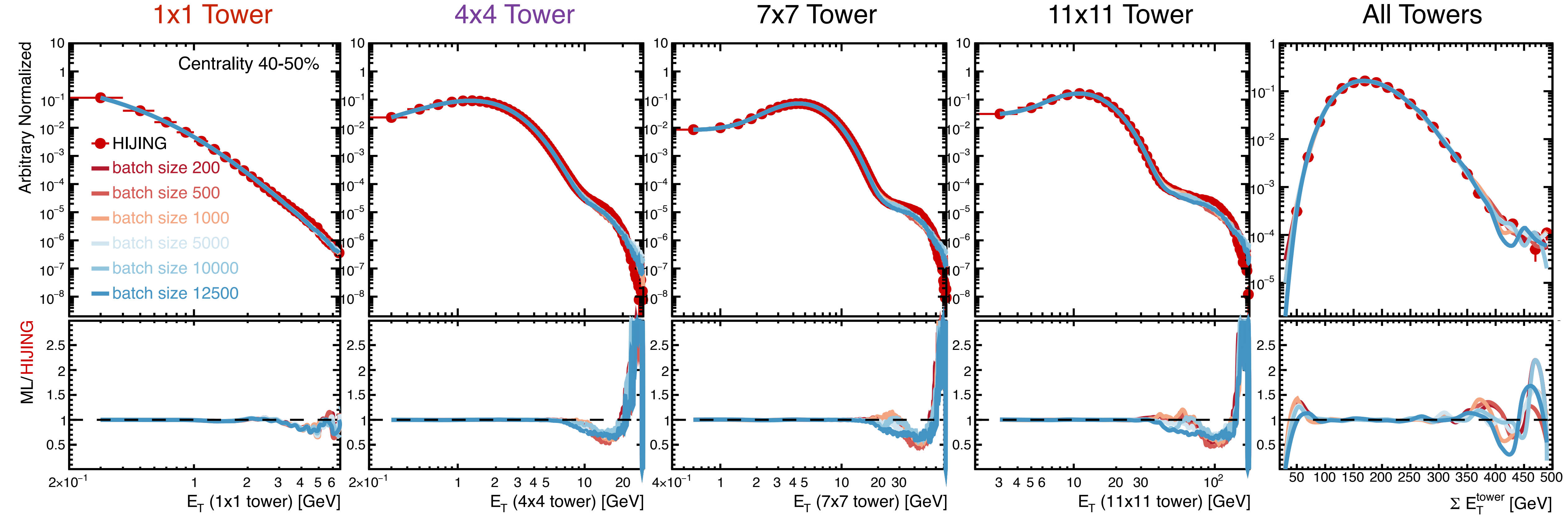
FIG. 2: Three-dimensional representation of a 10 GeV e^+ incident perpendicular to the center of the detector. Not-to-scale separation among the longitudinal layers is added for visualization purposes.



DDPM Configuration

- number of diffusion steps T : default 8000 / variation [1000, 16000]
- variance schedule β_t : default 0.1 / variation [0.02, 0.2]
- training batch size: default 128 / variation [100, 12500]
- training steps per epoch: default 2000
- epoch: default 4000 / variation [100, 4000]
- training with the Adam optimizer with learning rate 10^{-4}
- trained with 600,000 events per each centrality bin
- tested with 100,000 events per each centrality bin
- neural network architecture (U-ResNet + Attention)
- depth/width of the model
 - ➔ U-Net encoder-decoder stage, channels per stage: 32, 64, 128
each of which comprised of two ResNet blocks

Batch Size Dependence



- Batch size not only introduces different random seeds and but also changes variance schedule (β_t)

