

# Search for Boosted Top Quarks at CDF

## Outline

1. Introduction and Motivation
2. Data Selection & Jet Calibration
3. Boosted Top Signals
4. Results
5. Conclusions



Representing the CDF Collaboration

### Key Players:

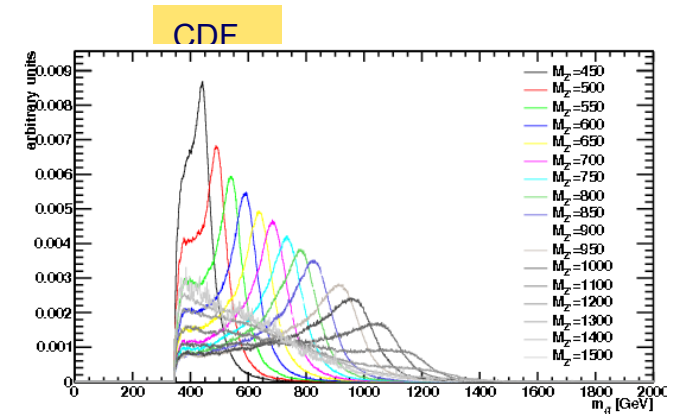
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Weizmann Institute of Science  
&  
Pekka K. Sinervo, FRSC  
University of Toronto

# Boosted Top Quarks

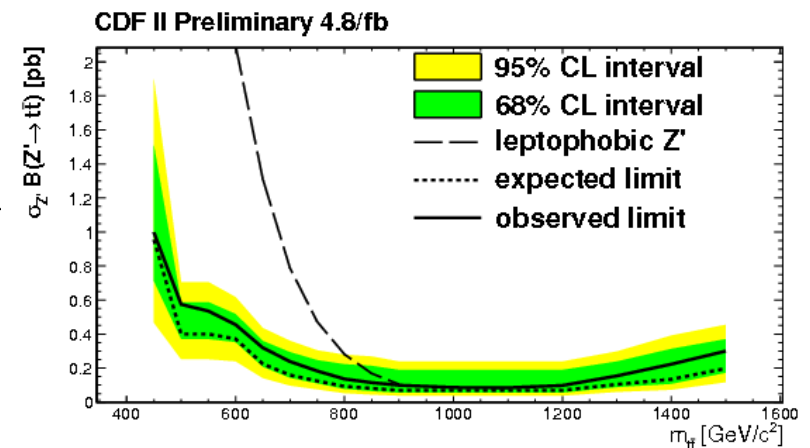
## ■ Boosted top quarks a signature for several new physics models

- Typically looking for resonances that decay to top-antitop pairs
- Searches have focused on “resolved final states”
  - Lepton+jets with b-tagging
  - Best limit is 70 fb at  $m_{tt} \sim 1$  TeV
  - Acceptance is 3.6%
- Limited by acceptance and production rate
  - Exclude leptophilic  $Z'$   $< 900$  GeV/c<sup>2</sup>

## ■ Our focus has been on unresolved final states



CDF, PRD 78, 052006 (2008)



# Strategy for Analysis

## ■ Select high $p_T$ jets in CDF central calorimeter

- Use tower segmentation to measure jet mass
  - Confirm with tracking information
- Employ standard “e-scheme” for mass calculation
  - 4-vector sum over massless towers in jet
  - Four vector sum gives  $(E, p_x, p_y, p_z)$



## ■ Employ Midpoint cone jets

- Best understood in CDF II context
- Compare results with anti- $k_T$  and Midpoint with “search cones” (Midpoint/SC)

N.B. CDF central towers are  $\Delta\eta \times \Delta\phi \sim 0.11 \times 0.26$

# Boosted Objects at Tevatron

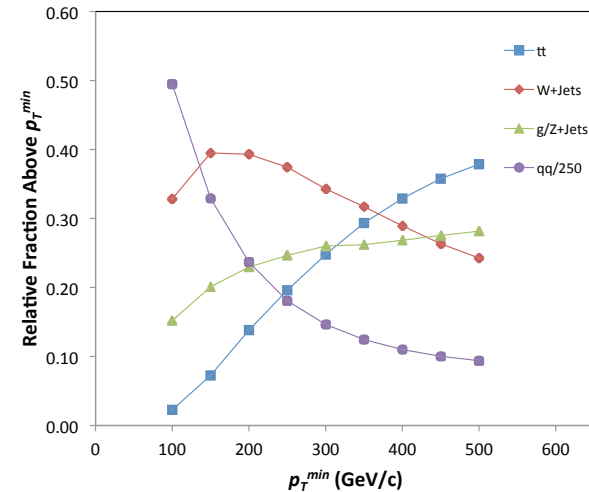
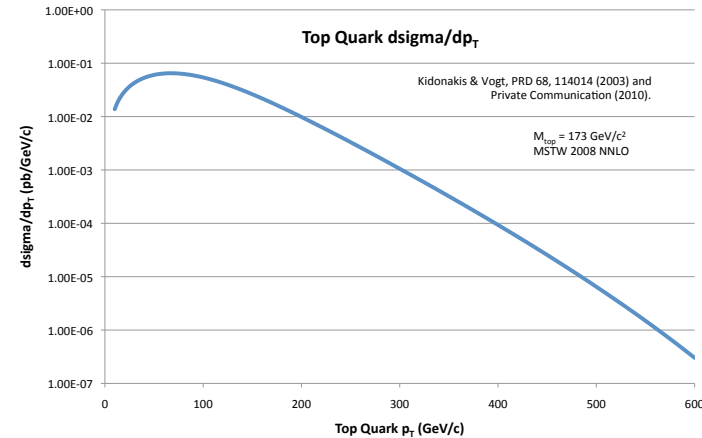
## SM sources for high- $p_T$ objects calculable

- Dominated by light  $q$  & gluons
- Need  $\times 250$  rejection to observe other sources

## Other sources:

- Fraction of top quarks  $\sim 1.5\%$  for  $p_T > 400$  GeV/c
  - Total rate  $4.45 \pm 0.5$  fb (Kidonakis & Vogt)
  - PYTHIA 6.216 rate is 6.4 fb (scaling total cross section to measured world average)
- Expect W/Z production of similar order

Kidonakis & Vogt, PRD 68, 114014 (2003)



PYTHIA 6.4 Calculation

# Data Selection

## ■ Analyzed inclusive jet sample

- Trigger requires  $E_T^{\text{jet}} > 100 \text{ GeV}$
- Analyzed  $5.95 \text{ fb}^{-1}$  sample

## ■ Selected data with focus on high $p_T$ objects

- Kept any event with
  - Jet with  $p_T > 300 \text{ GeV}/c$  and  $|\eta| < 0.7$
  - Used cones of  $R=0.4, 0.7$  and  $1.0$

## ■ Processed 76M events

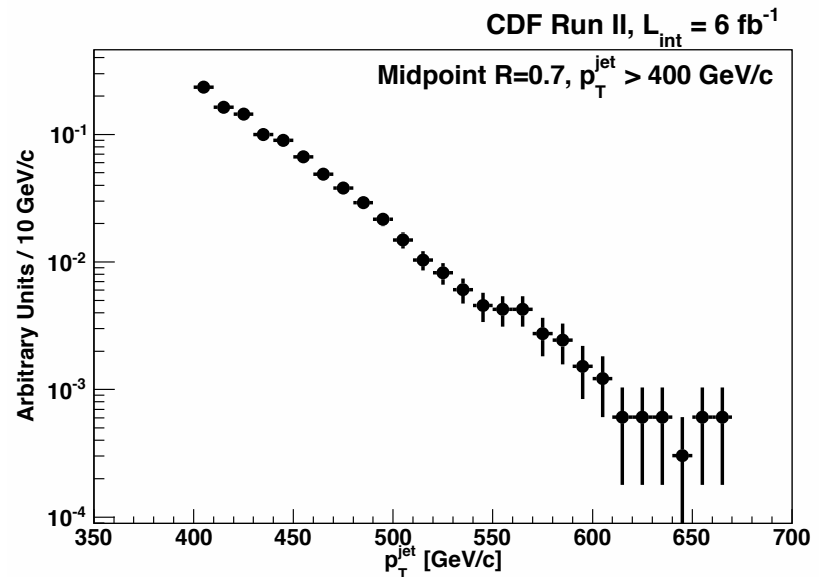
- Selected subsample with
  - $p_T > 400 \text{ GeV}/c$
  - $|\eta| \in (0.1, 0.7)$

## ■ Performed cleaning cuts

- Event vertex, jet quality and loose  $S_{\text{MET}} (< 14)$

$$S_{\text{MET}} \equiv \frac{E_T^{\text{MISS}}}{\sqrt{\sum_{i \text{ towers}} E_T^i}}$$

## ■ Resulted in 2700 events using jets with $R=0.7$



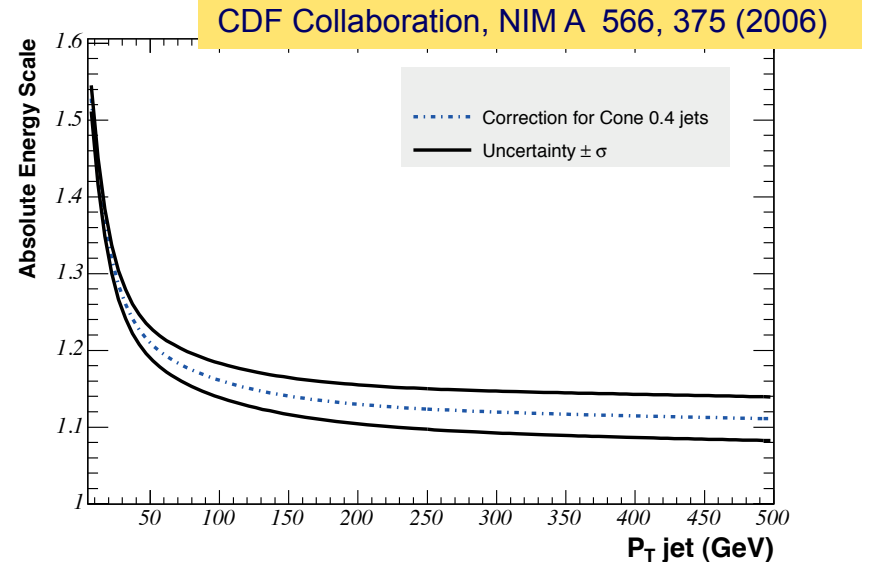
# Jet Mass Corrections

## ■ Corrected jet mass using standard jet corrections

- Further correction needed for multiple interactions (MI)
- Use  $N_{\text{vtx}}=1$  and  $N_{\text{vtx}}>1$  events to determine MI effect

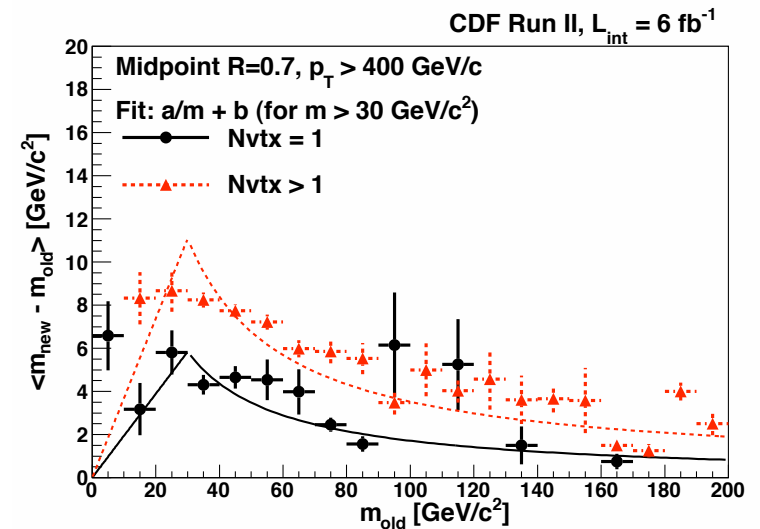
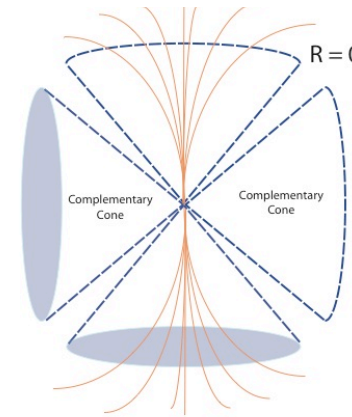
## ■ Investigated other effects:

- Effect of calorimeter inhomogeneity at  $\eta=0$ 
  - Varied pseudorapidity window – no significant changes in mass
- Calorimeter segmentation and jet recombination
  - Varied position of towers (especially azimuth) and corrections for geometry
- Calorimeter response across face of jet
  - Detailed study of tracking/calorimeter response in data and MC/detector simulation
- Jet energy scale vs algorithm (Midpoint, Midpoint/SC, anti- $k_T$ )
  - Saw  $< 1\%$  difference



# Effects of MI and UE

- **Additional contribution from**
  - Underlying Event (UE)
  - Multiple Interactions (MI)
    - Average # interactions  $\sim 3$ /crossing
- **Looked at purely dijet events**
  - Defined cones (same size as jet) at  $90^\circ$  in azimuth (same  $\eta$ )
  - Took towers in cones, and added to leading jet in event
    - Mass shift, on average, is same shift coming from UE and MI
- **Separately measure  $N_{\text{vtx}}=1$  events**
  - Gives UE correction separately



R. Alon et al., arXiv:1101.3002

Correction  
scales as  $R^4$

# Inter-Jet Energy Calibration

## ■ Jet mass arises from deposition of varying energy per tower

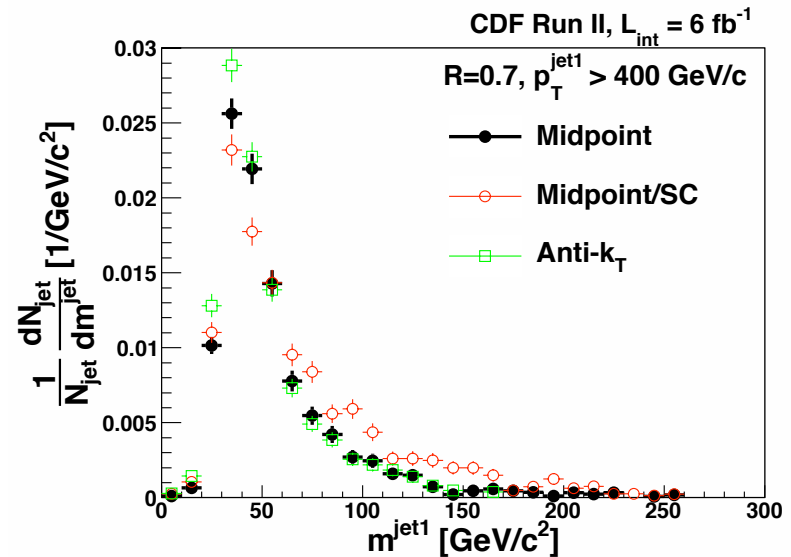
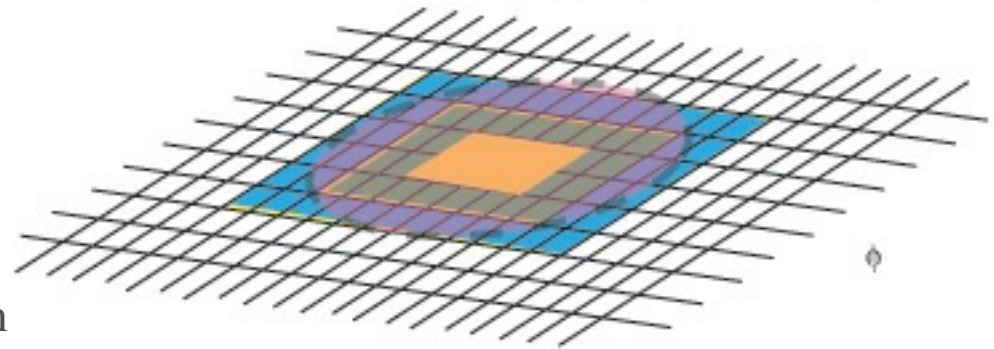
- Performed study to compare momentum flow vs calorimeter energy internal to jet
  - Defined 3 rings and compared observed  $p_T/E_T$  with simulation

## ■ Resulted in constraints on calorimeter relative response

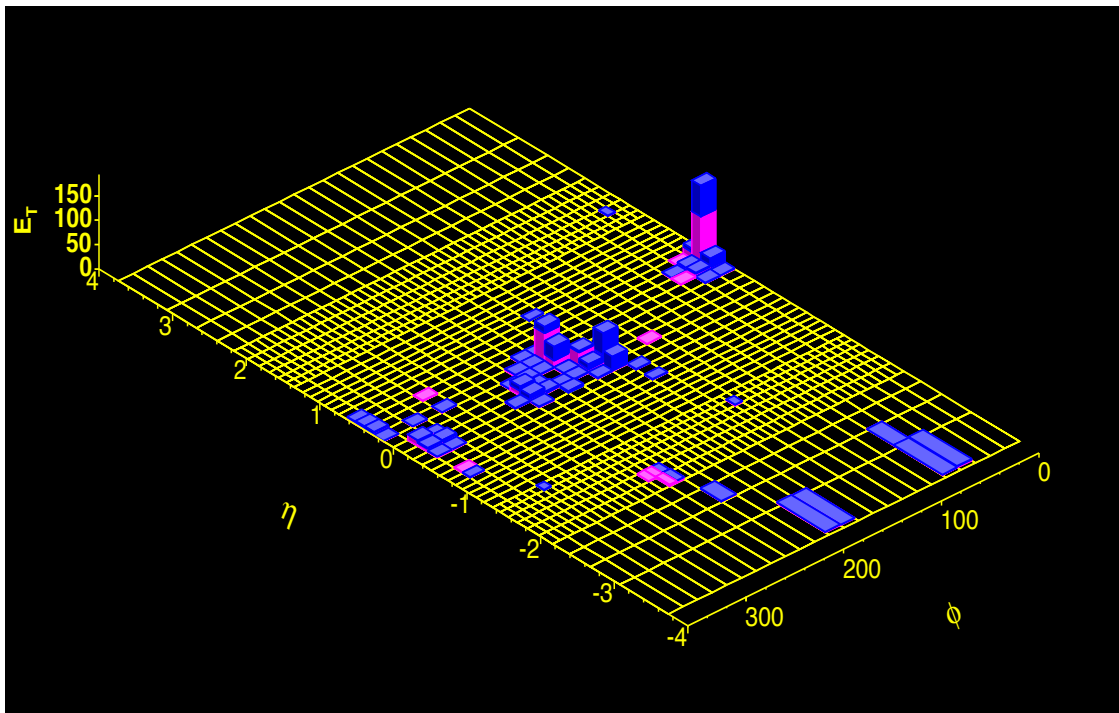
- At  $m^{\text{jet}}=60 \text{ GeV}/c^2$ ,  $\Delta m^{\text{jet}}=1 \text{ GeV}/c^2$
- At  $m^{\text{jet}}=120 \text{ GeV}/c^2$ ,  $\Delta m^{\text{jet}}=10 \text{ GeV}/c^2$

## ■ Largest source of systematic uncertainty

Ring 1  $\Delta\eta \times \Delta\phi = 0.44 \times 0.52$  (yellow)  
Ring 2  $\Delta\eta \times \Delta\phi = 0.88 \times 1.04$  (green)  
Ring 3  $\Delta\eta \times \Delta\phi = 1.32 \times 1.57$  (blue)

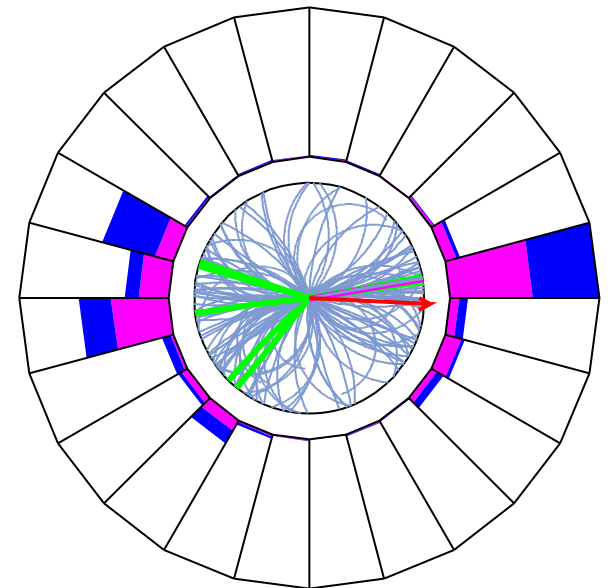


# Typical Event



Run 286857 Event 79179

$p_T$	$\phi$	$m^{\text{jet}}$	$\tau_{-2}$	Pf
387	-3.11	175	0.024	0.66
344	0.09	113	0.019	0.40



**Typical QCD configuration:**

- Dijet with back-to-back recoil
- Recoil jet less massive

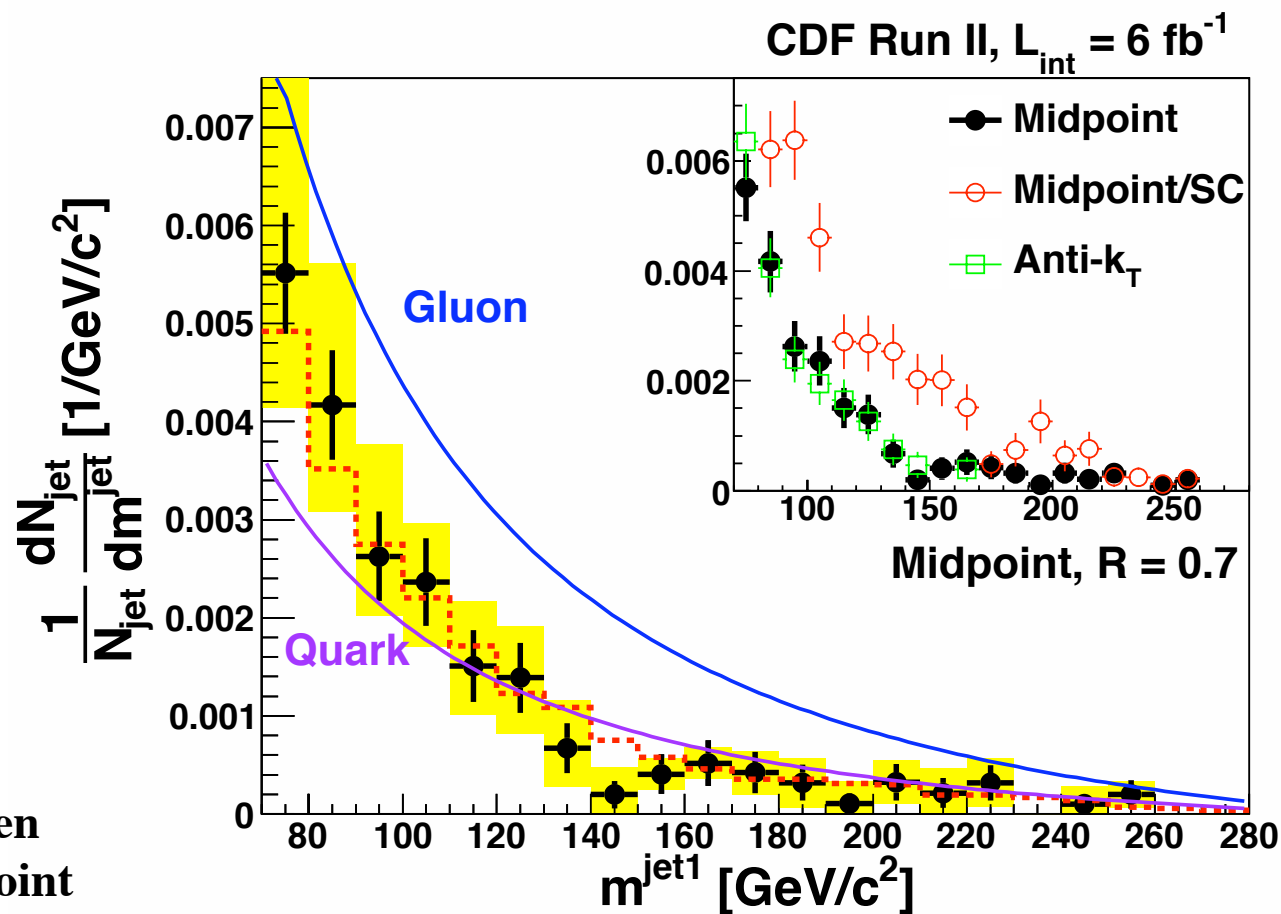
# Jet Substructure – Mass

## ■ Massive jet

- Leading jets with  $m_{\text{jet}} > 70 \text{ GeV}/c^2$
- Perform an “unfolding” correction

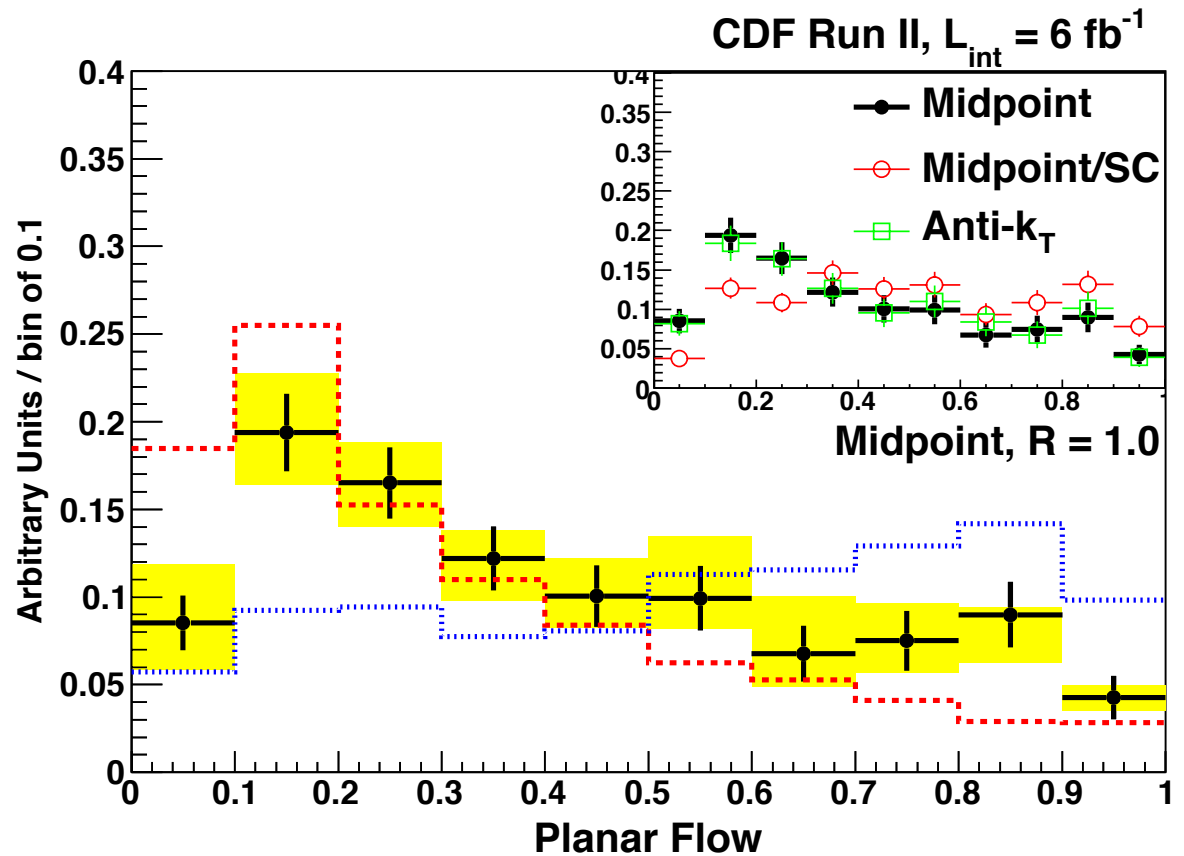
## ■ Agreement consistent with quark jets

- Expect  $\sim 85\%$  of jets to be quark-initiated
- No significant differences between anti- $k_T$  and Midpoint algorithms



# Jet Substructure – Planar Flow

- **Planar Flow is also IR-safe**
  - Low  $P_f \rightarrow$  two-body kinematics
  - Not strongly correlated to  $m_{\text{jet}}$  for high mass
- **Consistent with QCD predictions**
  - See the expected low  $P_f$  peak
  - Contrasts with top quark jets – larger planar flow



$$130 < m_{\text{jet}} < 210 \text{ GeV}/c^2$$

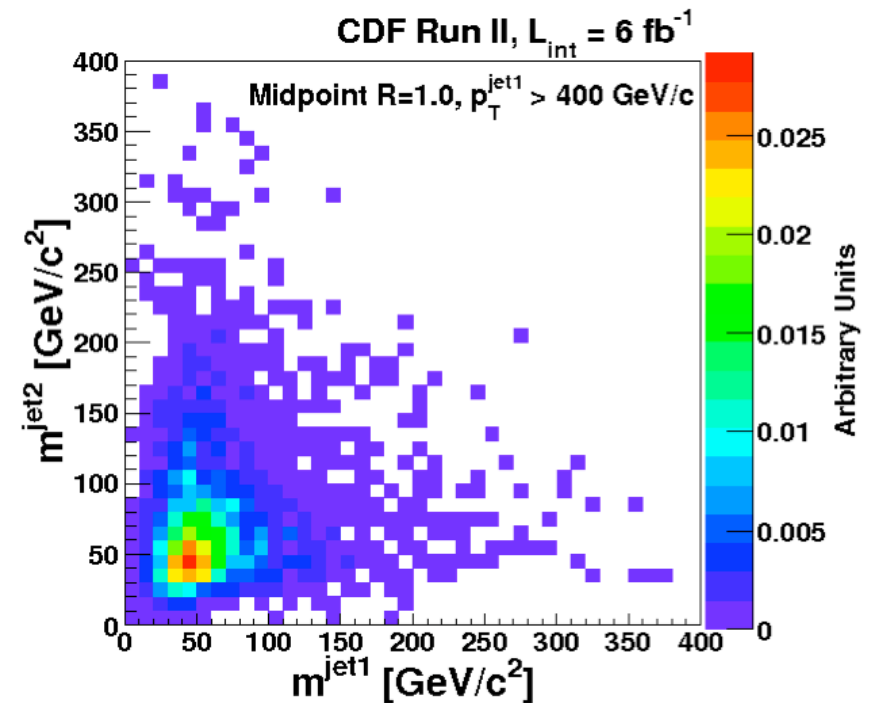
# Summary of Substructure Studies

## ■ Results show:

- High  $p_T$  jets look like QCD light quark jets
  - $m^{\text{jet}}$  good discriminant
  - $1.4 \pm 0.3\%$  of QCD jets have  $m^{\text{jet}} > 140 \text{ GeV}/c^2$
- Internal structure looks “two-body”
  - Angularity & planar flow
- pQCD gives good description of  $m_{\text{jet}}$ 
  - Other substructure measures well-modelled with PYTHIA

## ■ Jet masses are largely uncorrelated

- Recoil jet doesn't know about leading  $m^{\text{jet}}$



# Strategies for Boosted Top

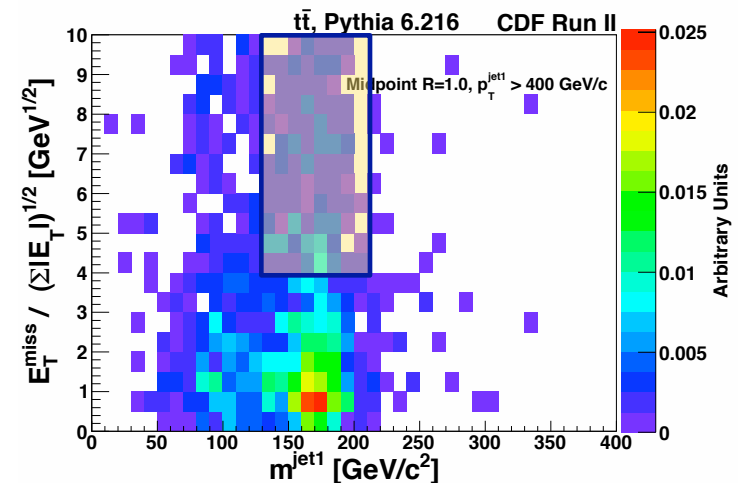
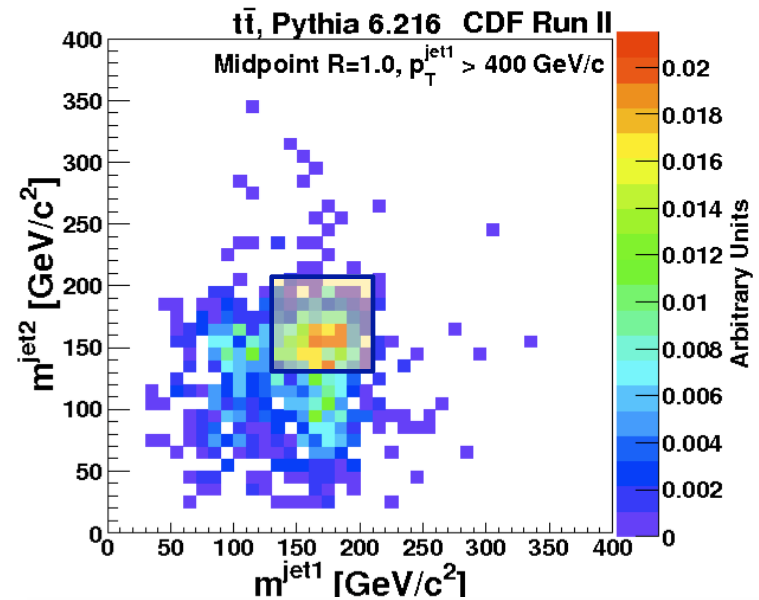
## ■ Two topologies:

1. All hadronic (“1+1”)
  - Two massive jets recoiling ( $\epsilon \sim 11\%$ )
2. Semi-leptonic decay (“SL”)
  - Require  $S_{\text{MET}} > 4$  ( $\epsilon \sim 7\%$ )

## ■ MC predicts $\sim 0.8$ fb

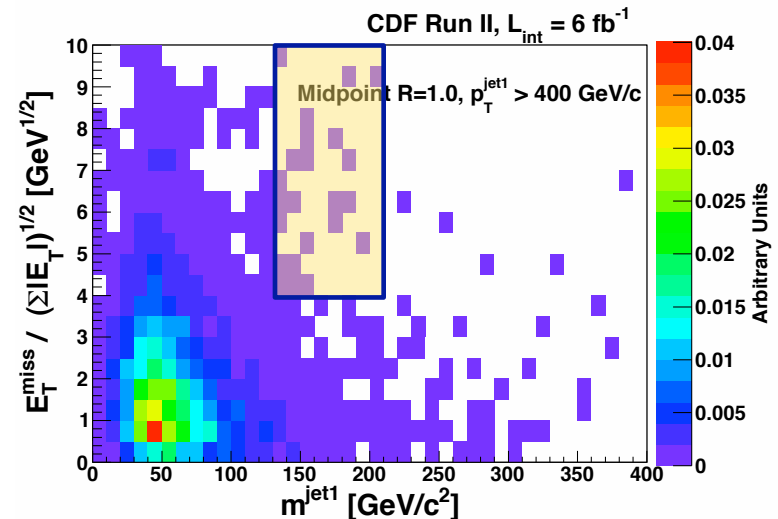
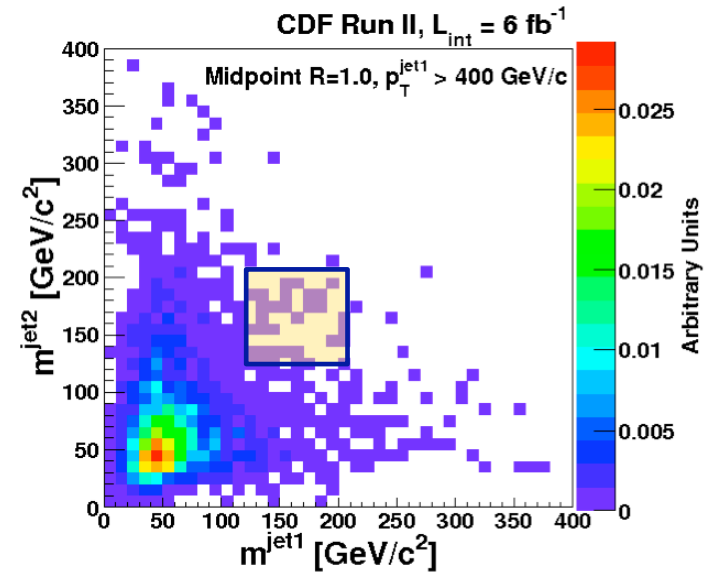
- Divided 60:40 between topologies
  - Highest efficiency channel for top ( $\sim 18\%$ )
- Important handles for background:
  - masses of QCD di-jets not correlated
  - Jet mass and  $S_{\text{MET}}$  not correlated

$$\gamma \sim 2.5$$



# Selection Requirements

- **Keep selection simple**
  - Focus on two separate channels
- **All Hadronic Top (1+1)**
  - Require 2 jets with  $130 < m^{\text{jet}} < 210 \text{ GeV}/c^2$
  - Require  $S_{\text{MET}} < 4$
  - Estimate background using “ABCD” technique
- **Semi-leptonic top (SL)**
  - Require  $4 > S_{\text{MET}} > 10$
  - Require 1 jet with  $130 < m^{\text{jet}} < 210 \text{ GeV}/c^2$
  - Estimate background using “ABCD” technique



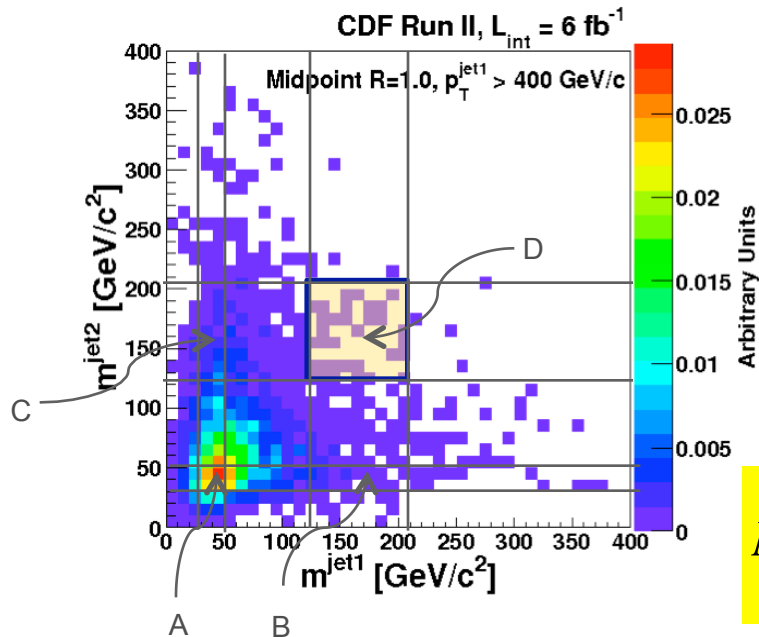
# “Simple” Counting of 1+1

- With  $R=1.0$  cones,  $m^{\text{jet}1}$  and  $m^{\text{jet}2}$  are equally powerful

- Use jet mass (130,210)  $\text{GeV}/c^2$  to define  $t\bar{t}$  candidates
- Expect  $3.0 \pm 0.8$  top quark events to populate this region

- Employ data to estimate backgrounds

- Define mass windows  $m^{\text{jet}} \in (130,210) \text{ GeV}/c^2$   
 $m^{\text{jet}} \in (30,50) \text{ GeV}/c^2$
- Use fact that  $m^{\text{jet}}$  distributions uncorrelated for background
- Signal is region D
- In “1+1” sample, predict  $13 \pm 2.4$  (stat) bkgd events



$$N_D^{\text{Pred}} = N_C \left[ \frac{N_B}{N_A} \right]$$

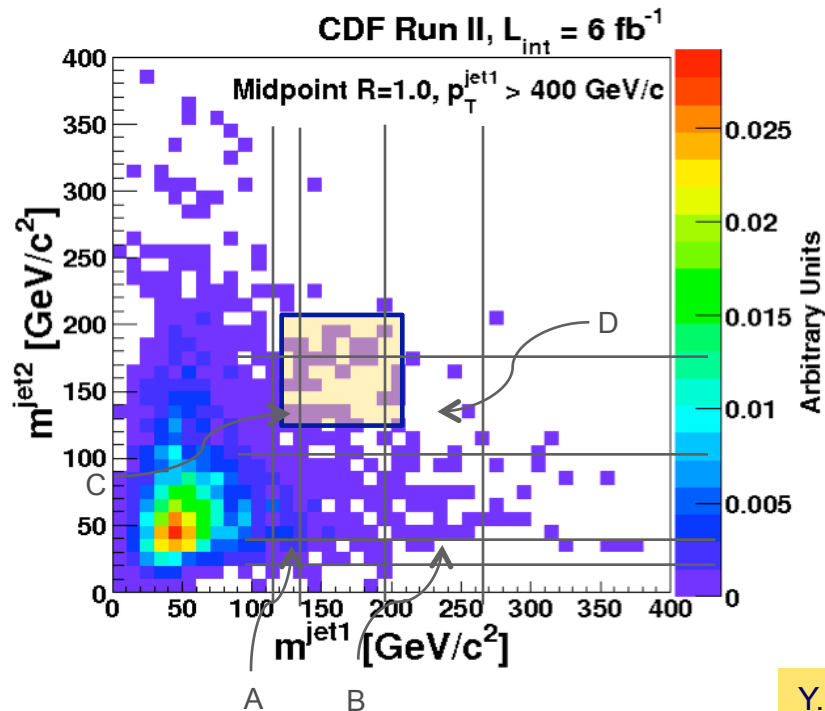
- Observe  $N_D=32$  events

# Investigated $m^{\text{jet}}$ Correlations

- We have been assuming that  $m^{\text{jet1}}$  and  $m^{\text{jet2}}$  are uncorrelated
- Recent MC studies have shown this to be not exact
- NLO effects increase rate of two massive QCD jets
- Quantified by defining  $R_{\text{mass}}$

$$R_{\text{mass}} \equiv \left[ \frac{N_C N_B}{N_A N_D} \right]$$

$$N_D^{\text{pred}} = \left[ \frac{N_C N_B}{N_A R_{\text{mass}}} \right]$$



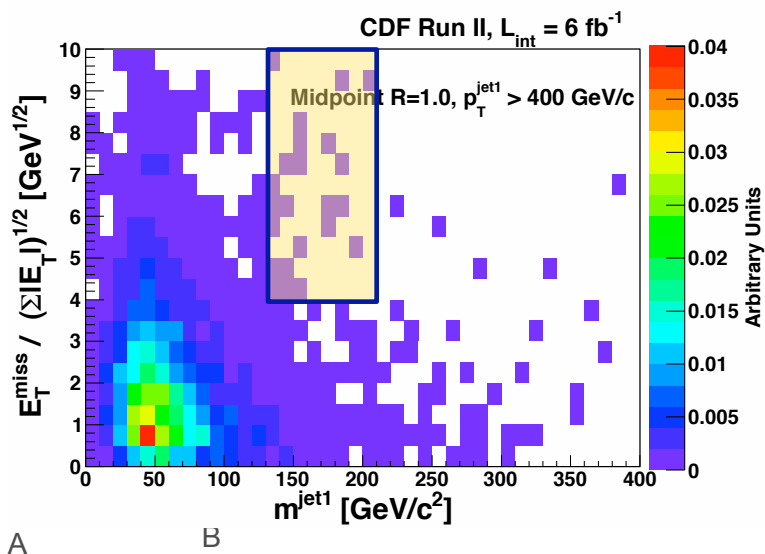
- POWHEG:  $R_{\text{mass}} = 0.89 \pm 0.03$

MC tools	Matching	$R_{\text{mass}}$
Sherpa	Yes	$0.88 \pm 0.03$
MadGraph	Yes	$0.86 \pm 0.04$
MadGraph	No	$0.76 \pm 0.04$
Herwig	No	$0.86 \pm 0.02$

Y. Eschel et al., arXiv:1101.2898

# “Simple” Counting for SL

- In case of recoil semileptonic top, use  $m^{\text{jet1}}$  and  $S_{\text{MET}}$ 
  - Assumption is the  $S_{\text{MET}}$  and  $m^{\text{jet1}}$  are uncorrelated
  - Expect  $1.9 \pm 0.5$  top quark events to populate this region



- Employ data to estimate backgrounds

- Use regions  $m^{\text{jet1}} \in (30,50)$  &  $(130,210)$   $\text{GeV}/c^2$
- $S_{\text{MET}} \in (2,3)$  &  $S_{\text{MET}} \in (4,10)$ 
  - In “SL” sample, predict  $31 \pm 8$  (stat) bkgd events

○ Observe  $N_D = 26$  events

Region	$m^{\text{jet1}}$ ( $\text{GeV}/c^2$ )	$S_{\text{MET}}$ ( $\sqrt{\text{GeV}/c^2}$ )	Data (Events)	MC (Events)
A	(30,50)	(2,3)	256	0.01
B	(130,210)	(2,3)	42	1.07
C	(30,50)	(4,10)	191	0.03
D (signal)	(130,210)	(4,10)	26	1.90
Predicted QCD in D			$31.3 \pm 8.1$	

# Uncertainties

- **Background uncertainty ( $\pm 10.2 \text{ GeV}/c^2$  jet mass scale)**
  - $\pm 30\%$  uncertainty
- **Uncertainties on top efficiency (SM production)**
  - Primarily jet energy scale of  $\pm 3\%$  on pT  $\rightarrow$   $\pm 25\%$  on  $\sigma$
- **Background statistics**
  - $\pm 11\%$  from counting
- **Luminosity**
  - $\pm 6\%$  on integrated luminosity
- **$m^{\text{top}}$  uncertainty ( $\pm 2 \text{ GeV}/c^2$ )**
  - $\pm 0.3\%$
- **Overall uncertainties added in quadrature**
  - $\pm 41\%$  overall
- **Incorporated into upper limit calculation**
- **Use a  $CL_s$  frequentist method**
  - Marginalize nuisance parameters
  - Same as used in Higgs and single top searches

# Top Quark Cross Section Limit

- Assume we observe signal + background

- Set upper limit on SM production  $\sigma$  for top quark with  $p_T > 400$  GeV/c

- Observe 58 events with 44+/-8 background

- Calculate 95% CL upper limit using  $CL_s$  method
  - Systematic uncertainties incorporated as a la CDF 8128 (T. Junk)
  - $N_{LIM} = 43.3$  events
- Efficiency from MC
  - 1+1: 11.1%
  - SL: 7.0%

- Upper limit on cross section for  $p_T > 400$  GeV/c

$$\begin{aligned}\sigma_{95\%} &= \frac{N_{LIM}}{\int L dt \epsilon} \\ &= \frac{43.3}{(5.95)(0.182)} = 40 \text{ fb}\end{aligned}$$

- Can also set limit on 1+1 only

- Assume massive ( $m \sim m_{top}$ ) object, pair-produced, decaying hadronically
- Include SM top as background

$$\begin{aligned}\sigma_{95\%} &= \frac{N_{LIM}}{\int L dt \epsilon} \\ &= \frac{30.2}{(5.95)(0.254)} = 20 \text{ fb}\end{aligned}$$

Also  $\sim 3\sigma$  excess above SM top

# Conclusions

## ■ Search for boosted top at Tevatron close to SM rate

- Achieve

$$S / \sqrt{B} \approx 0.75$$

- Set  $\sigma < 40 \text{ fb}$  at 95% CL
- Limited by statistics

## ■ Doesn't take advantage of substructure (aside from $m^{\text{jet}}$ )

- E.g., planar flow cut  $> 0.5$  improves S/N by  $\sim 1.5$
- And haven't used
  - B-tagging
  - For SL, look for isolated charge track

## ■ Next steps

- At Tevatron, can improve statistics by x2
- Tantalizing close to SM
- Ultimately limited by rate

## ■ Real focus are LHC expts

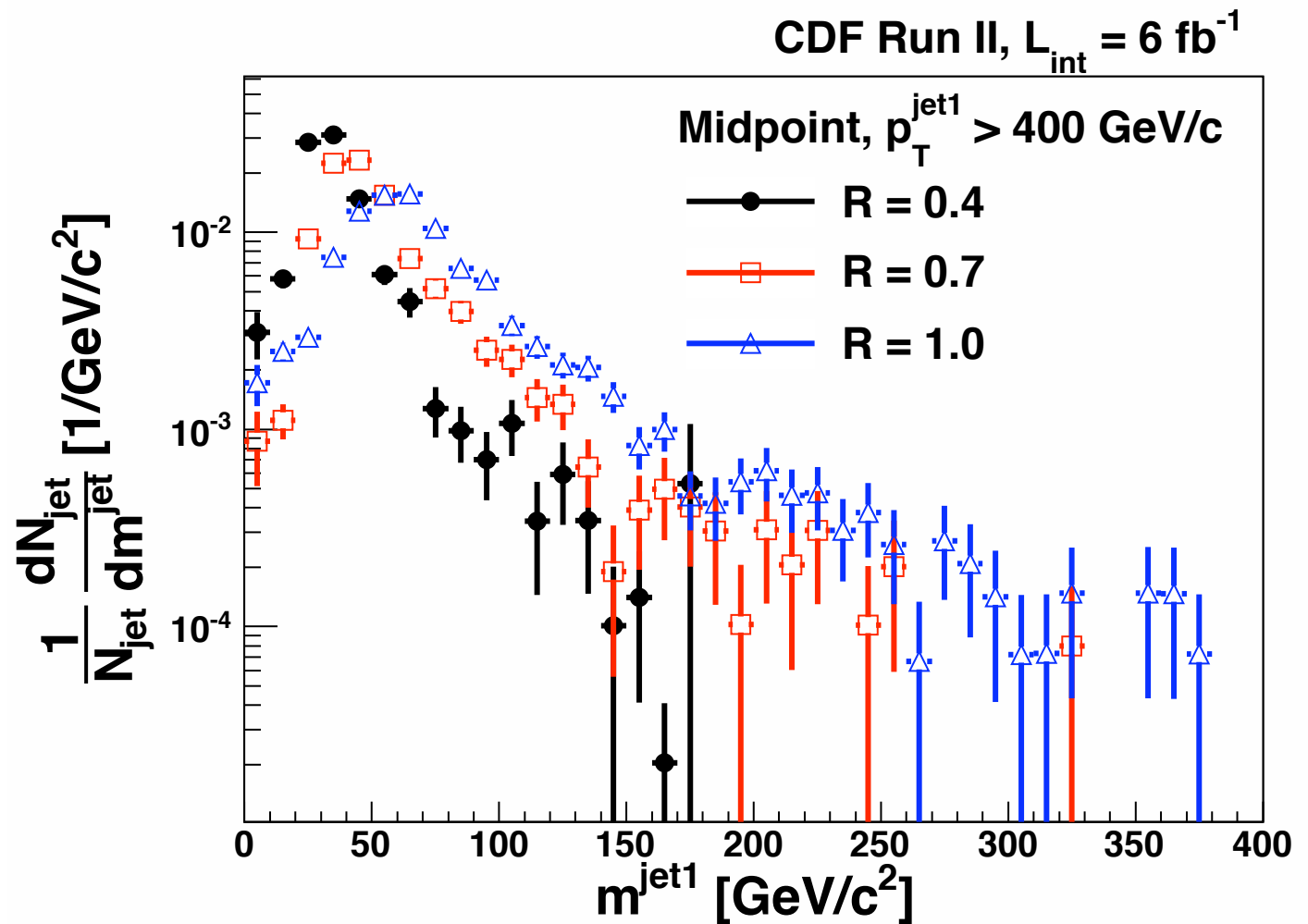
- Now recorded sample with similar # of boosted SM  $t\bar{t}$ 
  - But QCD backgrounds are larger
- Jet substructure is clearly essential tool
  - Fully characterize QCD jets
  - Understand what the best tools are

# BACKUP SLIDES

# Comparison with Cone Size

## ■ Compare

- R=0.4
- R=0.7
- R=1.0



# Jet Algorithms

- **Cone algorithms used for most Tevatron studies**

- Long history – quite separate from  $e^+e^-$  work
- JetClu was CDF reference
  - Required “seed” to initiate
  - Significant IRC sensitivity

- **Midpoint developed to reduce IRC sensitivity**

- Use seeds, but then recluster with seeds “midway” between all jets

Use Fastjet Framework!

M. Cacciari, G.P. Salam and G. Soyez,  
Phys. Lett. B641, 57 (2006) [hep-ph/0512210].

- **Cone algorithms had “dark tower” problem**

- Unclustered energy due to split/merge/iteration procedure
- Proposed solution: Midpoint with “search cones”
  - Find jets with cone size  $R/2$
  - Fix jet direction, cluster with size  $R$
- Midpoint/SC was used for various studies 2006-2008

- **Anti- $k_T$  algorithm developed**

- No IR sensitivity
- Still retained many of the benefits of a “cone” algorithm

# MI/UE Corrections

- Looked at how to make MI correction in a variety of ways
  - Looked at mass corrections event-by-event
  - But statistical fluctuations large, event-to-event
  - Chose to develop a parametrized correction
- Note that:
$$\delta m^{jet} \simeq \frac{E_{tower} E_{jet} \Delta R}{m^{jet}}$$
- Expect MI correction to scale with  $R^4$ :
  - Exactly what we see when comparing  $R=0.4$  and  $R=0.7$
- PYTHIA UE agrees well with data – same UE mass correction
- Use that to scale corrections for  $R=1.0$ 
  - Method doesn't work with larger cone because of overlap

# Internal Jet Energy Scale

- **Overall jet energy scale known to 3%**
  - The relative energy scale between rings known to 10-20%, depending on ring
  - Use this to constrain how far energy scale can shift
- **Do first for  $m^{\text{jet}} \sim 60 \text{ GeV}/c^2$  – use average jet profile**
  - Extract from that a limit on how much “Ring 1” energy scale can be off -  $\pm 6\%$
  - Then do the same for  $m_{\text{jet}} \sim 120 \text{ GeV}/c^2$
- **Resulting systematic uncertainty is  $9.6 \text{ GeV}/c^2$** 
  - Conservative estimate – used a very broad energy profile
    - No localized substructure assumed
- **Take this as systematic uncertainty**
  - Could constrain it better using single particle response
  - Note that fixed cone size is an advantage here

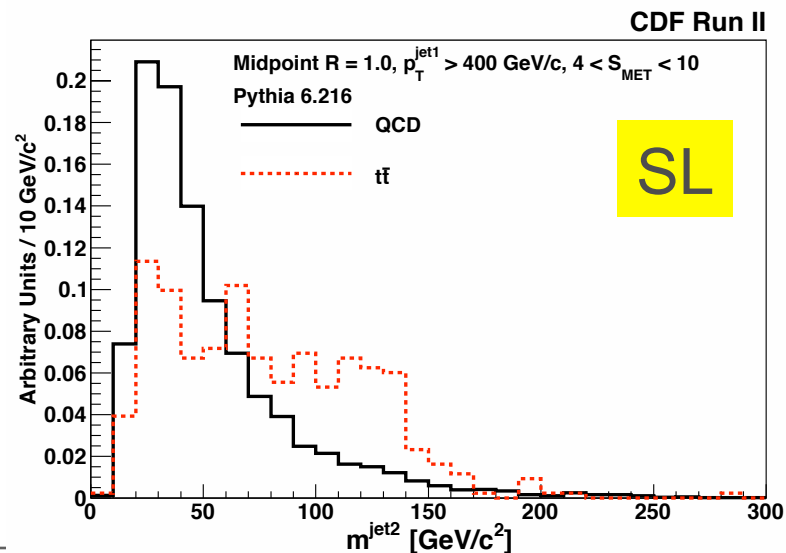
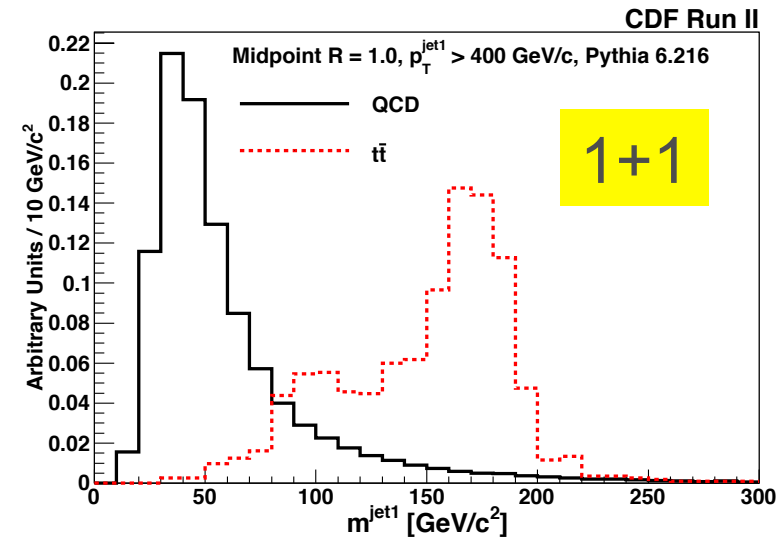
# Reconstruction of Top

## ■ Leading jet in $t\bar{t}$ events has clear top mass peak

- All events between 70 and 210  $\text{GeV}/c^2$  for  $R=1.0$
- See evidence of  $W$  peak
  - $B$  quark jet presumably nearby in those cases
- Clear that higher mass cut gives greater QCD rejection
  - But also start to lose efficiency
- $S_{\text{MET}}$  cut effectively identifies semi-leptonic decays (8%)

## ■ $B$ tagging not used

- Can estimate mis-tags using data  $\rightarrow \sim 0.05\%/\text{jet}$
- But large uncertainty in tagging efficiency in high  $p_T$  jets



# Background Calculations

- Background calculations used “ABCD” technique

- SL

Region	$m^{jet1}$ (GeV/c <sup>2</sup> )	$S_{MET}$ ( $\sqrt{GeV/c^2}$ )	Data (Events)	MC (Events)
A	(30, 50)	(2, 3)	256	0.01
B	(130, 210)	(2, 3)	42	1.07
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D (signal)	(130, 210)	(4, 10)	26	1.90
Predicted QCD in D			$31.3 \pm 8.1$	

- 1+1

Region	$m^{jet1}$ (GeV/c <sup>2</sup> )	$m^{jet2}$ (GeV/c <sup>2</sup> )	Data (Events)	$t\bar{t}$ MC (Events)
A	(30, 50)	(30, 50)	370	0.00
B	(130, 210)	(30, 50)	47	0.08
C	(30, 50)	(130, 210)	102	0.01
D (signal)	(130, 210)	(130, 210)	32	3.03
Predicted QCD in D			$13.0 \pm 2.4$	