

# What the Higgs can tell us about axion-like particles

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Higgs 2024, Uppsala - Nov 8, 2024

# Axions

$$\mathcal{L} = \theta \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

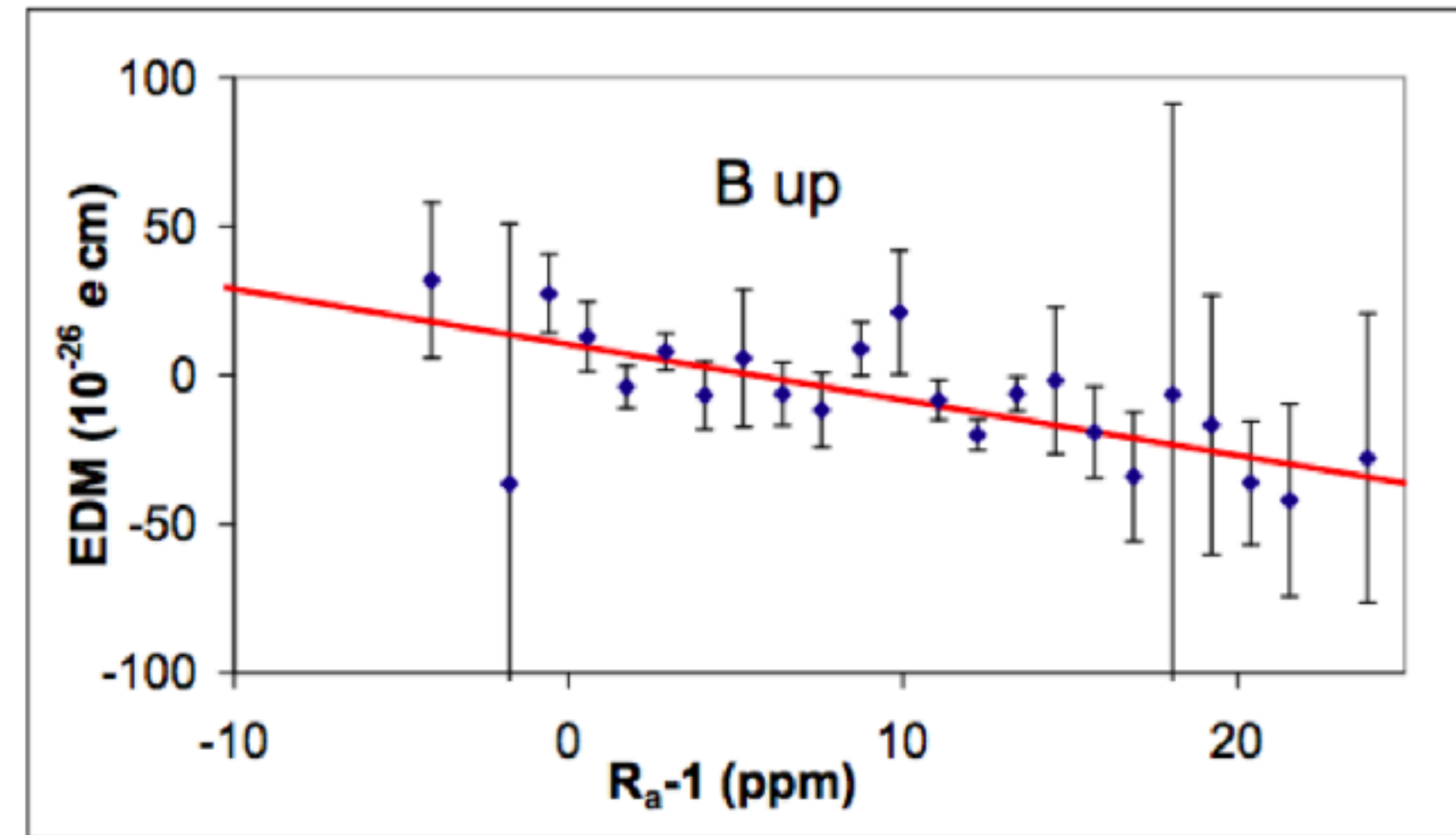
Why is the theta term so small?

$$\mathcal{L} = \left( \theta - \frac{a}{f_a} \right) \frac{\alpha_s}{8\pi} G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Dynamical solution to the strong CP problem

$$m_a f_a = \text{const.}$$

[Baker et al. ([hep-ex/0602020](#))]



Electric dipole moment of the neutron

[Peccei, Quinn ([ref1](#), [ref2](#))]

[Weinberg] [Wilczek]



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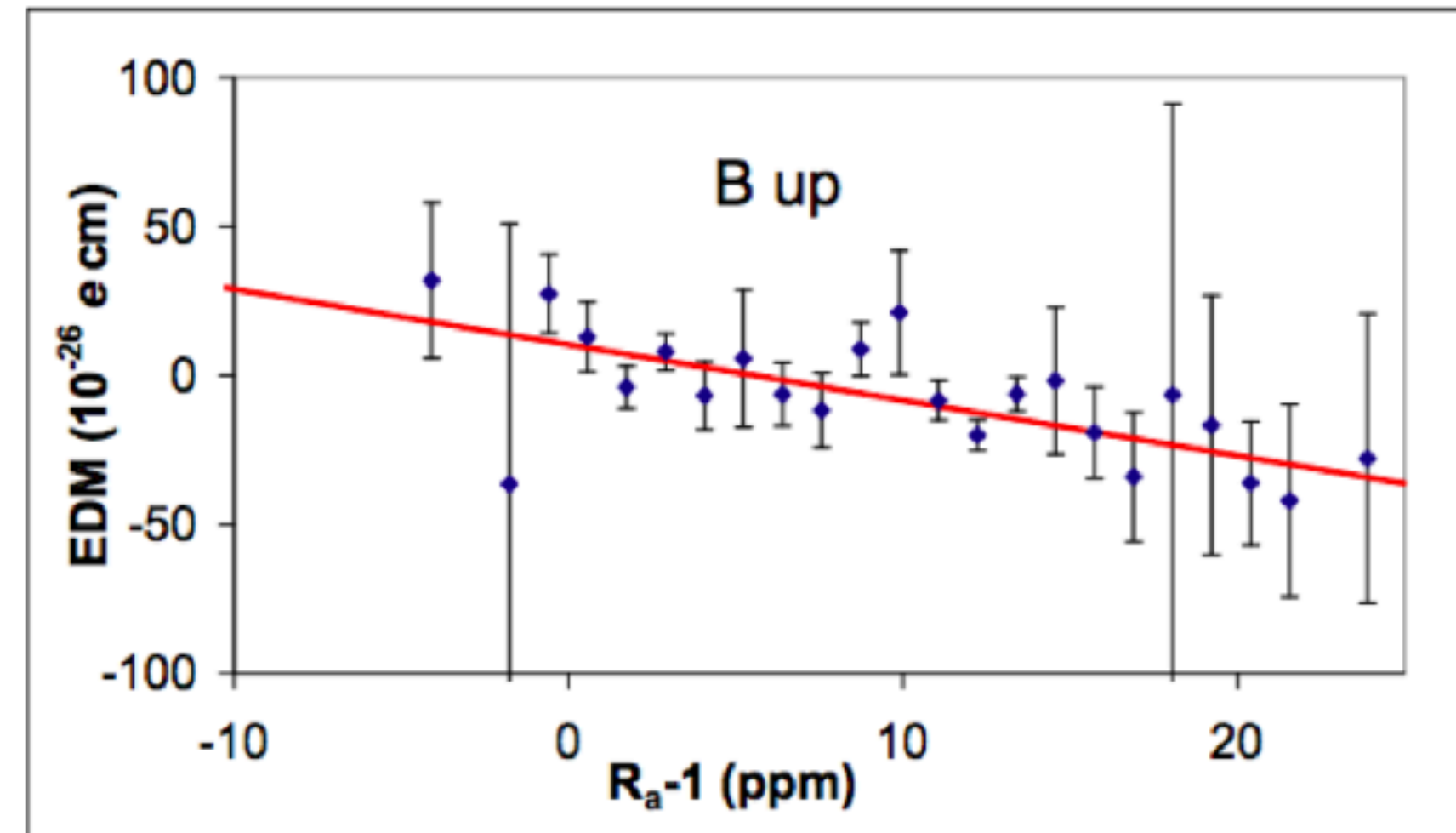
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Dynamical solution to the strong CP problem

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Does not hold for axion-like particles (ALPs)

[Baker et al. ([hep-ex/0602020](#))]



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[Weinberg] [Wilczek]



# Axion-like particles - motivation

A spontaneously broken continuous symmetry gives rise to massless spin-0 fields.

$$V(\phi) = \mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2$$

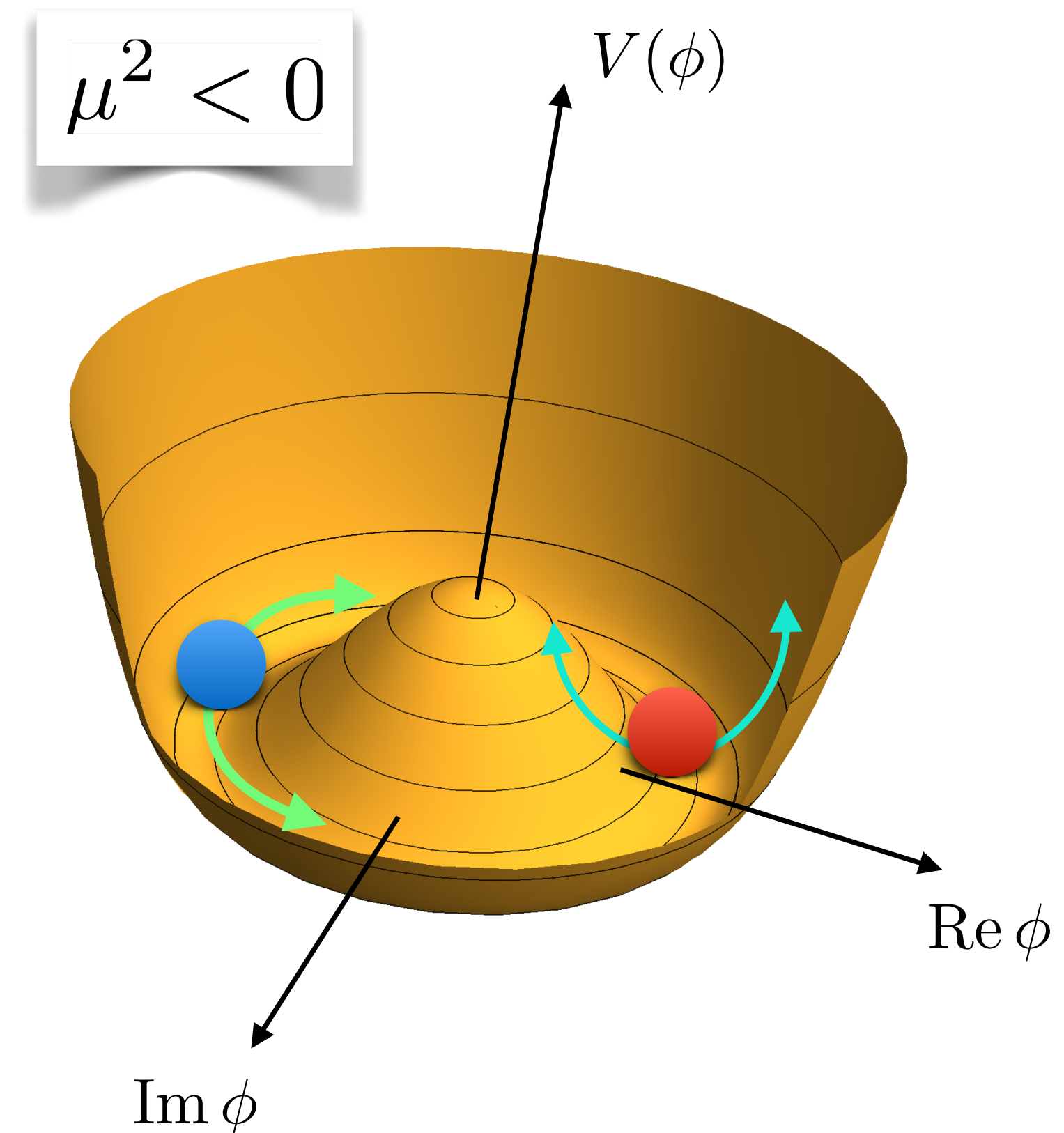
$$\phi = (f + s)e^{ia/f}$$

$$m_s^2 = 4\lambda f^2 = |\mu|^2$$

$$m_a^2 = 0$$

Shift symmetry

$$a \rightarrow a + a_0$$



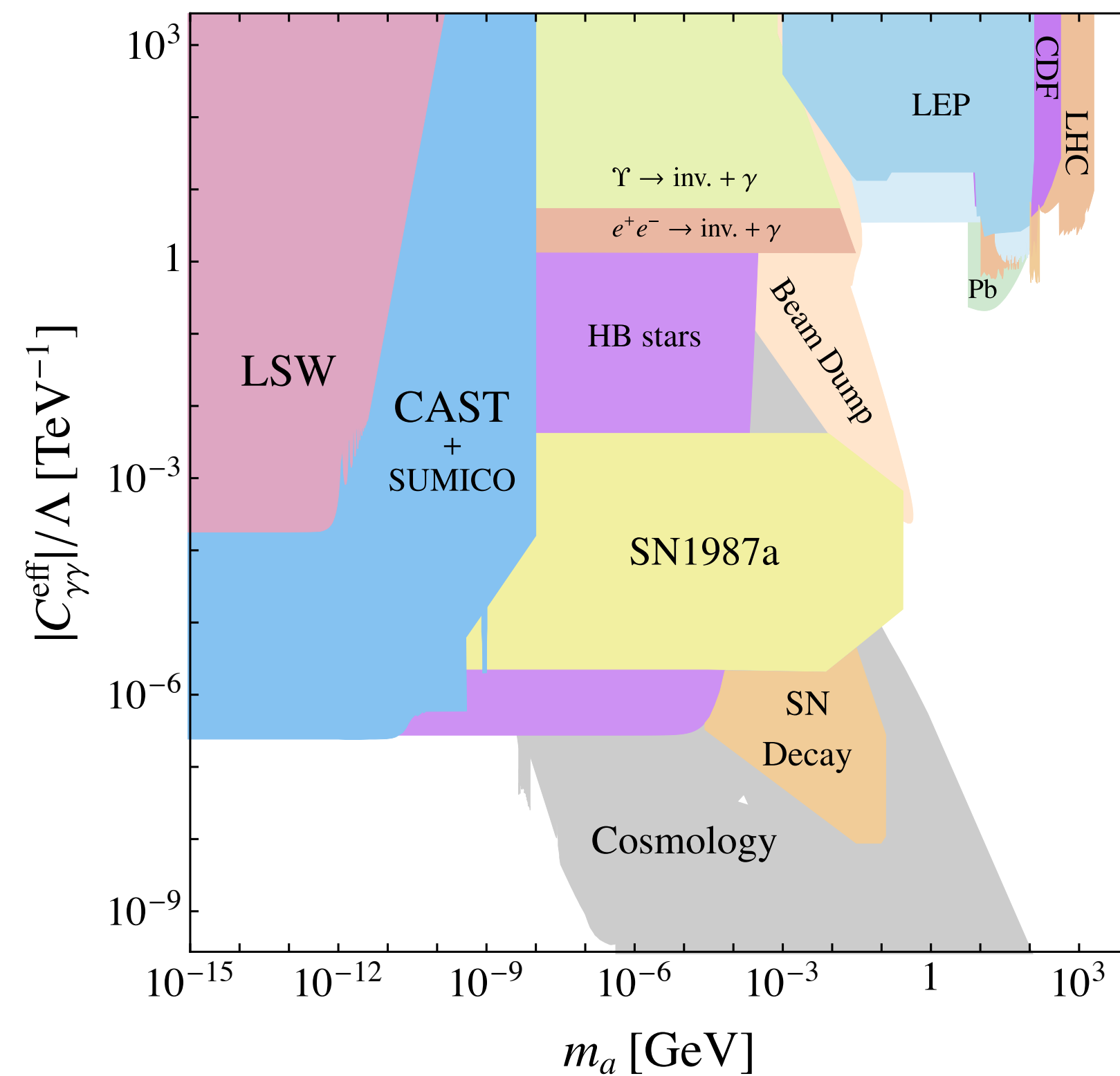
[Bauer (PADUA23)]



# Light BSM particles at the LHC

[Thamm (LHCP24)]

Example: axion-like-particles



ALP mass range covered by the **LHC** interesting in the context of

- Heavy axions
- Pseudo Nambu Goldstone bosons from SUSY, composite Higgs
- g-2

Interplay of experiments/  
observations crucial

# Light BSM particles at the LHC

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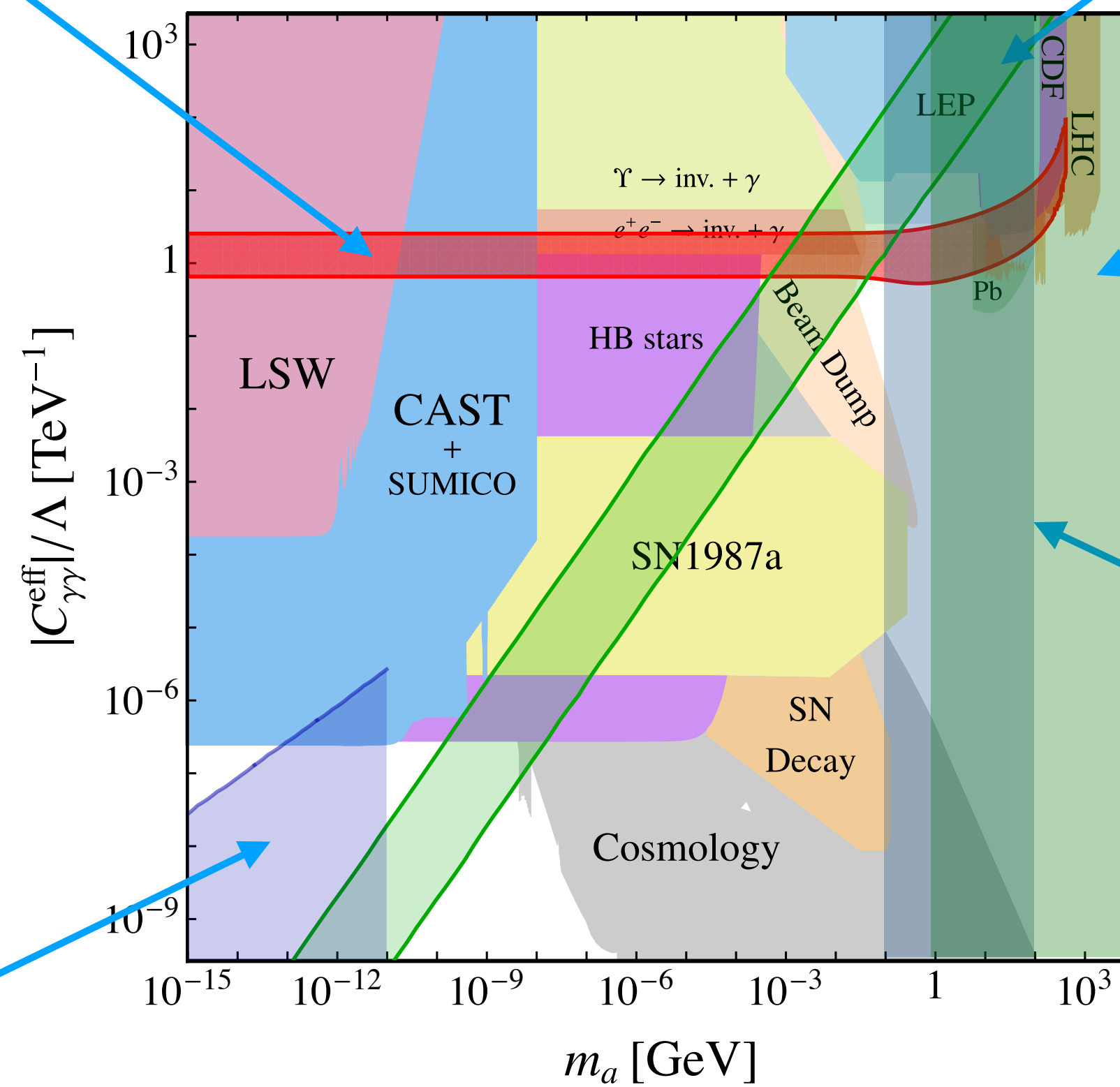
Solves  $(g - 2)_\mu$  anomaly

1708.00443, 1908.00008

QCD axion

9703409, 0009290, 1411.3325, 1504.06084, 1604.01127, 1606.03097

Heavy axion



pNGB in supersymmetric or composite models

0902.1483, 1312.5330, 1702.02152, 2104.11064

DM candidate

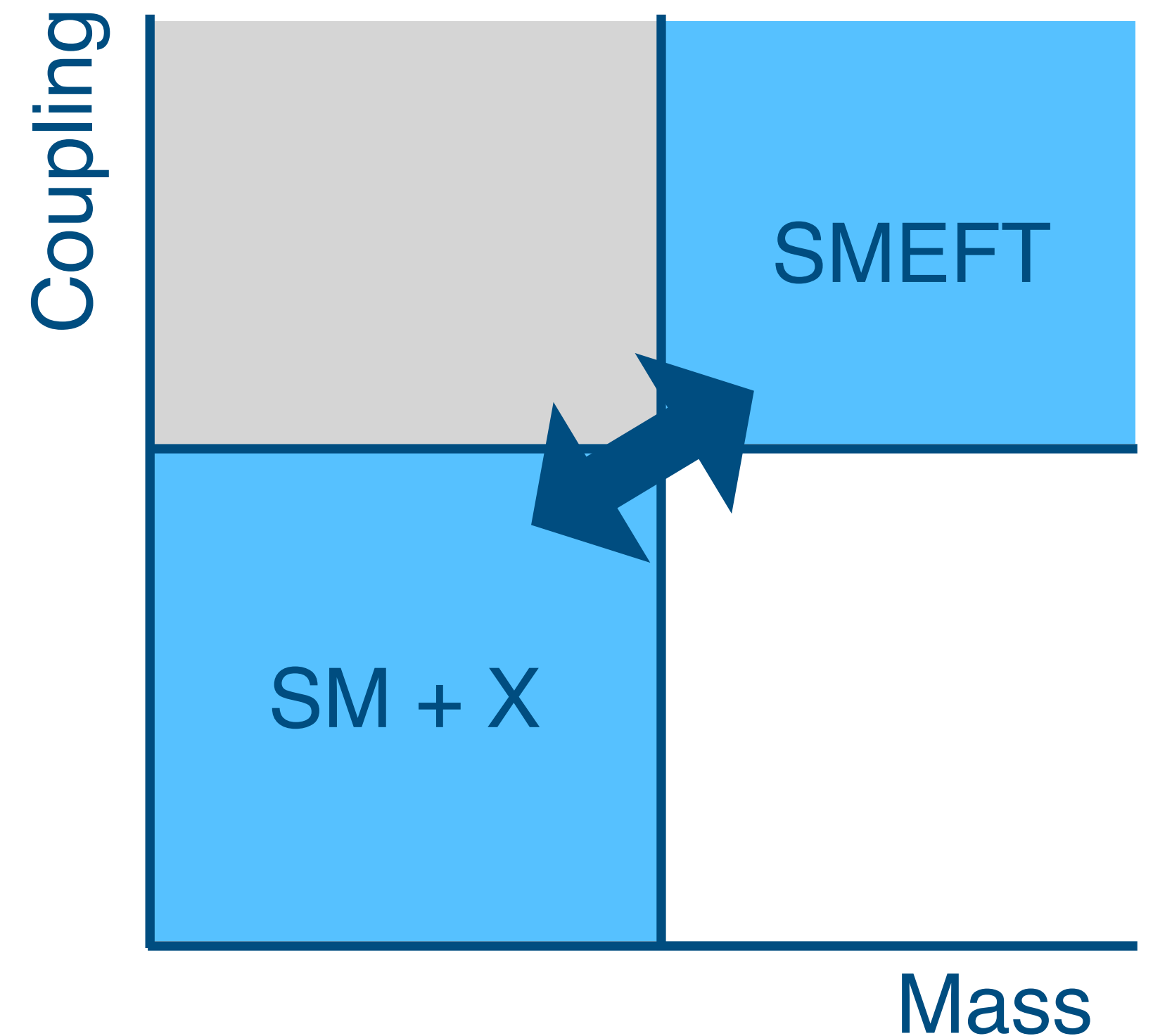
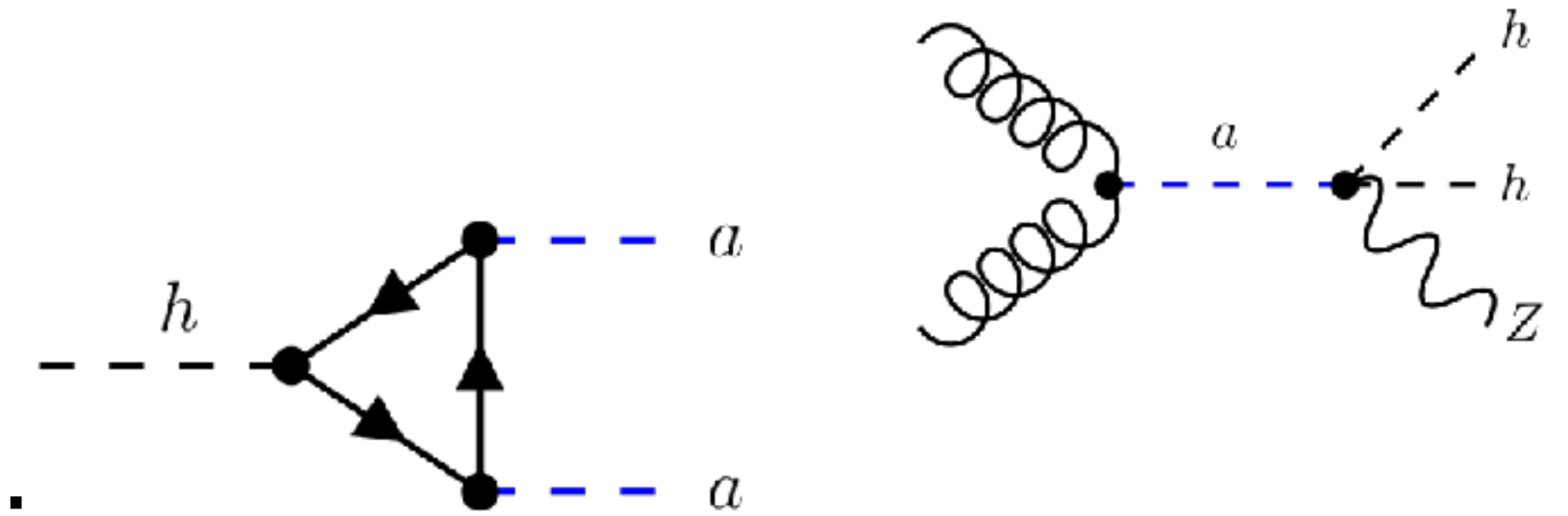
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Interplay of experiments/ observations crucial

# Outline

- Axion-like particle (ALP) EFT
  - Issues with 2D ALP limits
- Higgs decays to ALPs and di-Higgs production
- **Indirect** effect of ALPs on Higgs physics
  - ALP-SMEFT interference
  - Global analysis



# Axion-like particles

EFT with an additional light d.o.f.  
and at dimension 5

- Featured in many BSM scenarios: “Higgs portal” dark matter, composite Higgs models, ...
- Consider a generic ALP with effective Lagrangian

[Peccei, Quinn ([ref1](#), [ref2](#))]  
[[Weinberg](#)] [[Wilczek](#)]

[Brivio et al. ([1701.05379](#))]  
[Bauer et al. ([1708.00443](#))]

- Shift symmetry  $a \rightarrow a + a_0$ , Lagrangian terms:  $\frac{\partial_\mu a}{f_a} (\text{SM})^\mu$

$$\begin{aligned} \mathcal{L}_{\text{eff}}^{D \leq 5} = & \frac{1}{2} (\partial_\mu a)(\partial^\mu a) - \frac{m_{a,0}^2}{2} a^2 + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F c_F \gamma_\mu \psi_F + c_\phi \frac{\partial^\mu a}{f} (\phi^\dagger i \overleftrightarrow{\mathbf{D}}_\mu \phi) \\ & + c_{GG} \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + c_{WW} \frac{\alpha_2}{4\pi} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + c_{BB} \frac{\alpha_1}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu}. \end{aligned}$$



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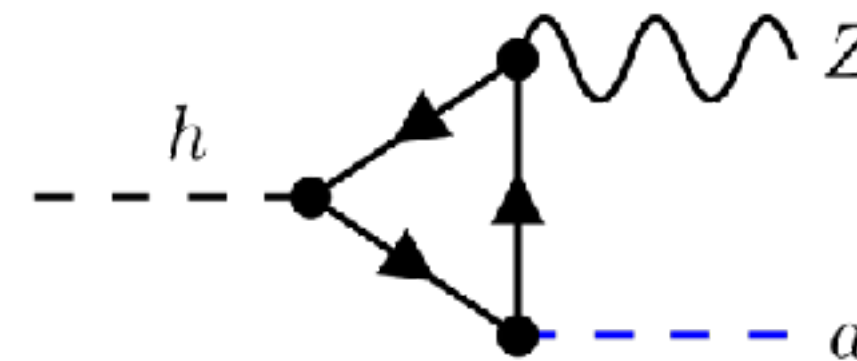
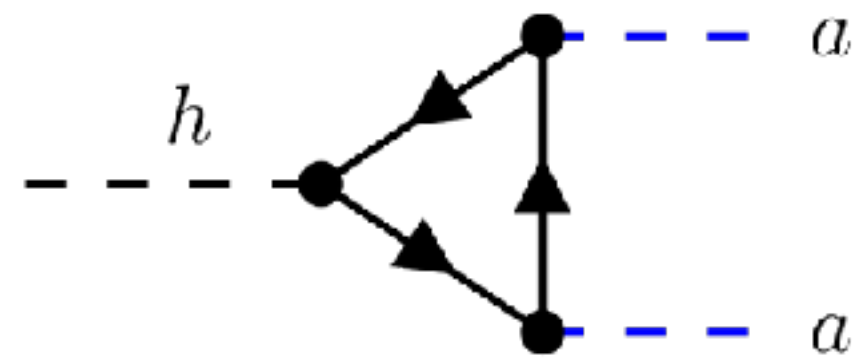
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Redundant

# ALPs and the Higgs

- Higgs-ALP interactions generated at one loop

Exotic Higgs decays could be an interesting signature of ALPs at the LHC



- and at dimensions  $\geq$  six  $h \rightarrow aa$

$$h \rightarrow Za$$

$$\mathcal{L}_{\text{eff}}^{D \geq 6} = \frac{C_{ah}}{f^2} (\partial_\mu a) (\partial^\mu a) \phi^\dagger \phi + \frac{C'_{ah}}{f^2} m_{a,0}^2 a^2 \phi^\dagger \phi + \frac{C_{Zh}^{(7)}}{f^3} (\partial^\mu a) (\phi^\dagger i D_\mu \phi + \text{h.c.}) \phi^\dagger \phi + \dots$$

Breaks ALP shift symmetry  
(suppressed by  $m_{a,0}^2$ )

# ALPs in exotic Higgs decays

[BSM Higgs physics 1 (Tue)]  
[Priscilla Pani]

$$\Gamma(h \rightarrow \text{BSM})$$

$$h \rightarrow Za$$

$$\rightarrow (\ell^+ \ell^-)(\gamma\gamma), (\ell\ell)(\gamma)$$

$$\rightarrow (\ell^+ \ell^-)(\ell'^+ \ell'^-)$$

$$\rightarrow (\ell^+ \ell^-)(E_T^{\text{miss}})$$

$$h \rightarrow aa$$

$$\rightarrow (\gamma\gamma)(\gamma\gamma), (\gamma\gamma)(\gamma)$$

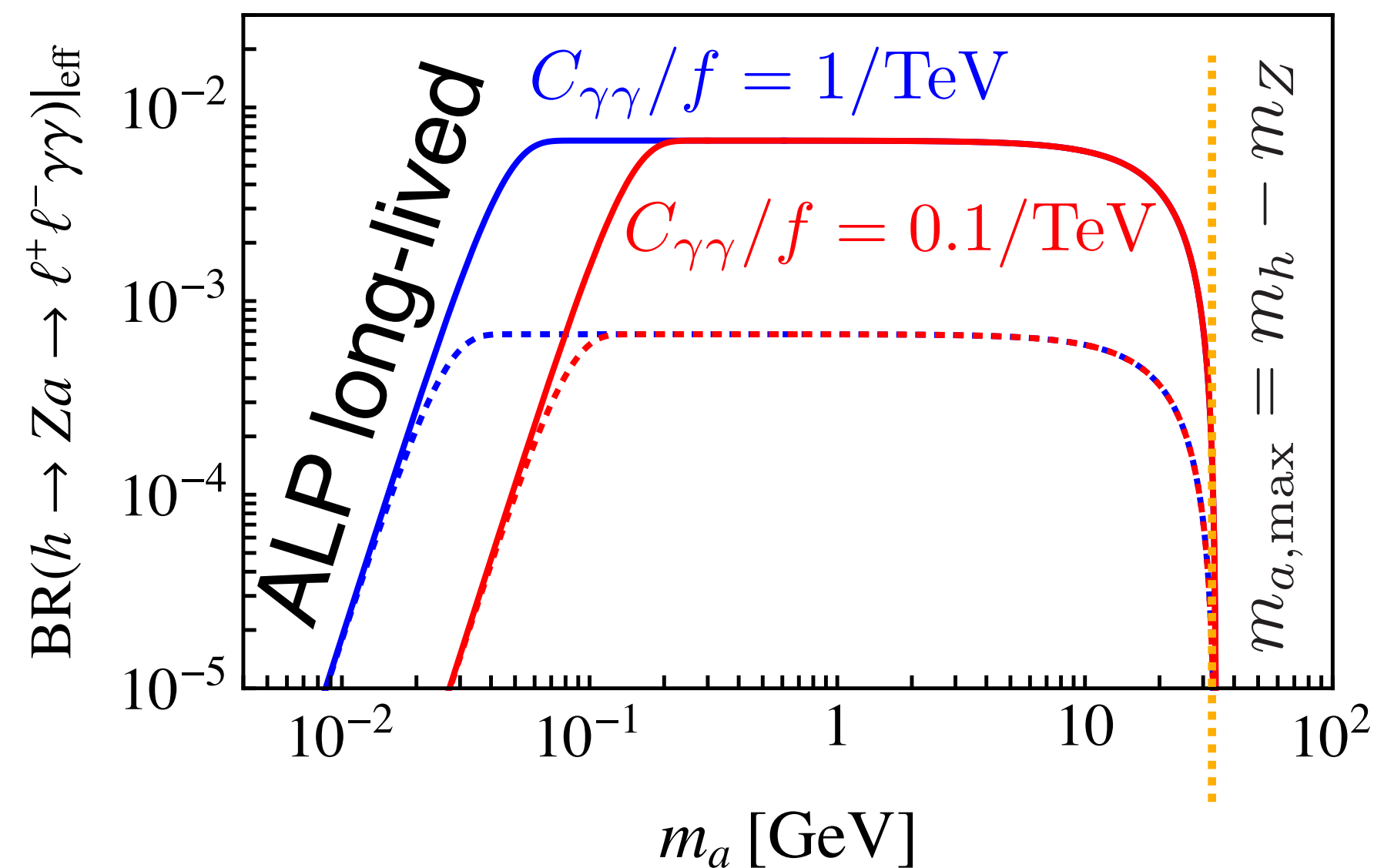
$$\rightarrow (\ell^+ \ell^-)(\gamma\gamma), (\ell\ell)(\gamma)$$

$$\rightarrow (\ell^+ \ell^-)(\ell'^+ \ell'^-)$$

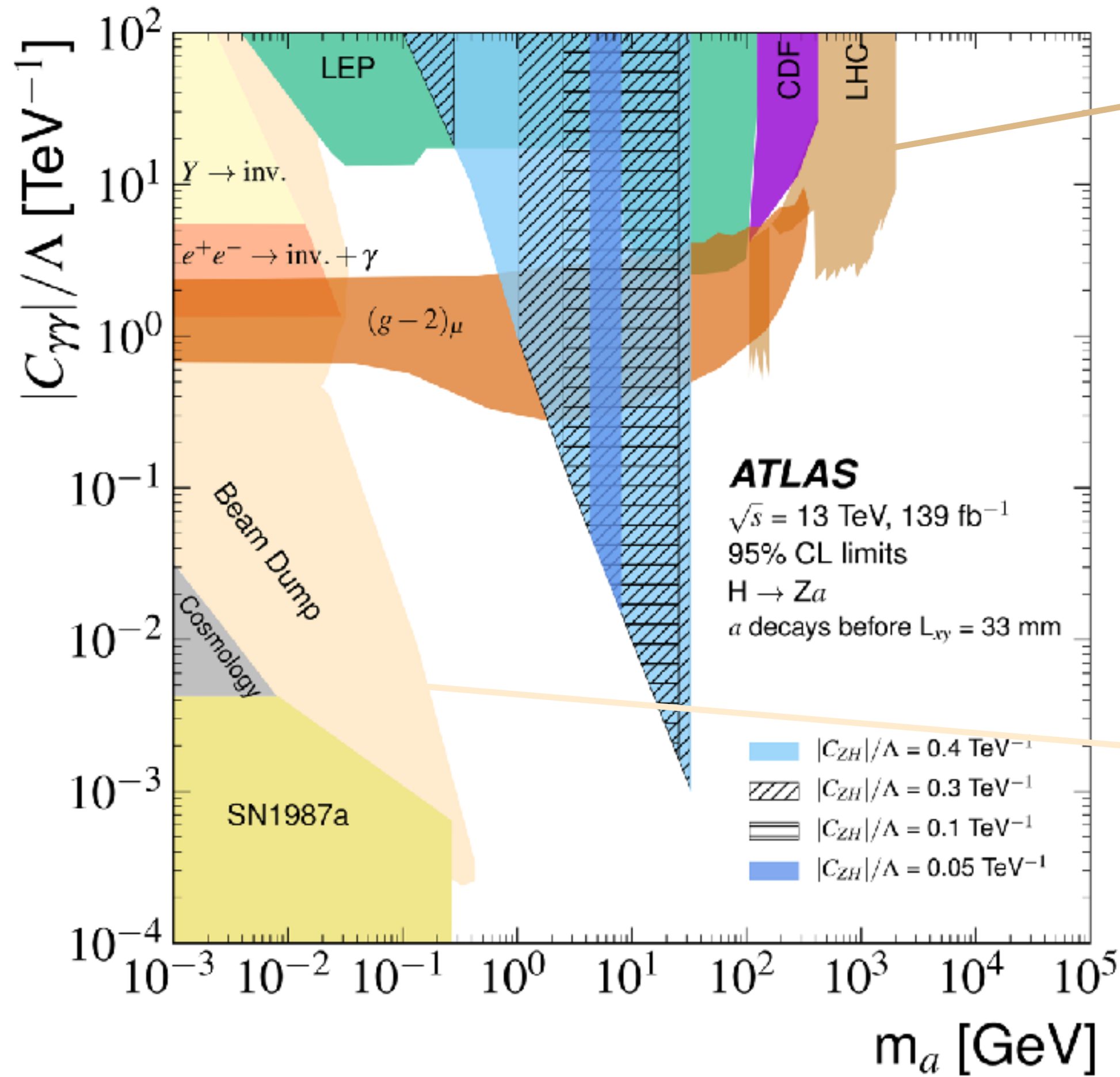
$$\rightarrow (\ell^+ \ell^-)(b\bar{b})$$

$$\rightarrow \dots$$

[Bauer, Neubert, Thamm (1708.00443)]



# 2D ALP bounds



[ATLAS (2312.01942)]

## LHC limits

$$pp \rightarrow a \rightarrow \gamma\gamma$$

Mass-dependent (resonance search)

Assuming  $\text{BR}(a \rightarrow \gamma\gamma) = 100\%$

$\text{BR}(a \rightarrow ZZ)?$

$\text{BR}(a \rightarrow Z\gamma)?$

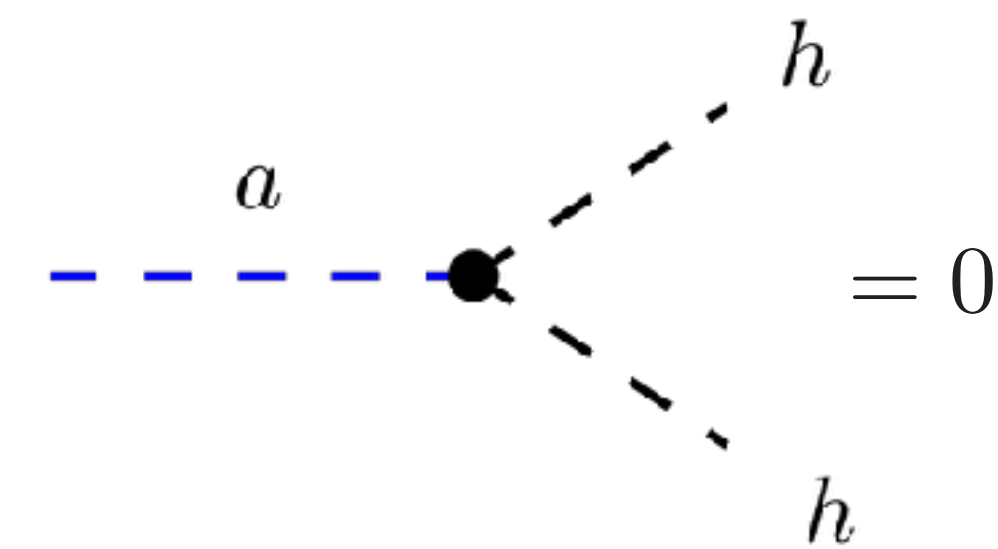
## Beam dump limits

Can be changed (or invalidated) if  
 $a \rightarrow e^+e^-$  decay possible

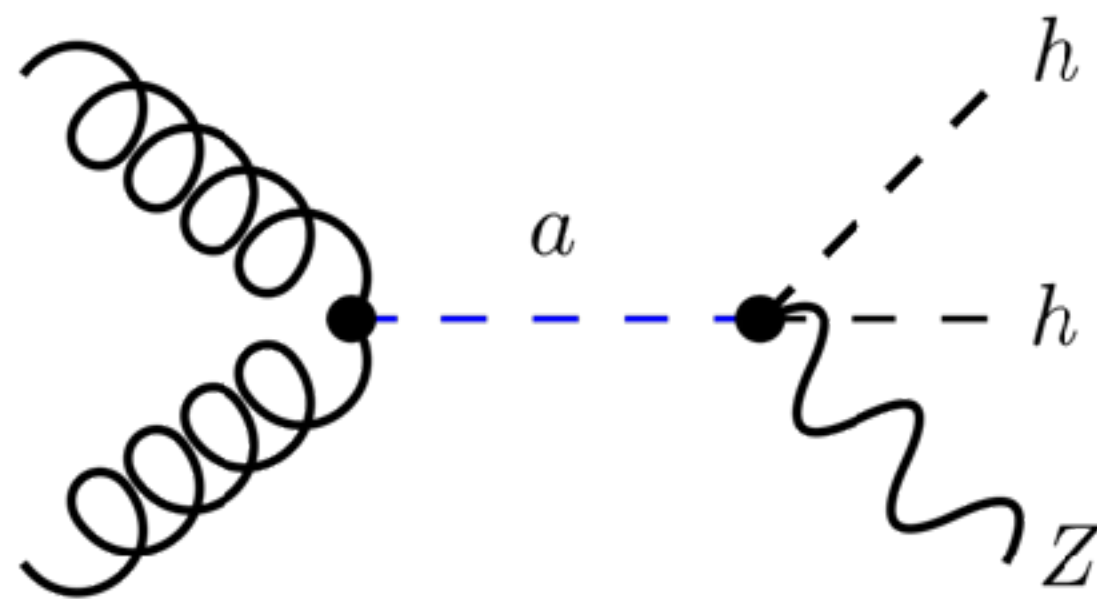


# ALPs in di-Higgs production

[Esser, Madigan, Salas-Bernárdez, Sanz, Ubiali (2404.08062)]

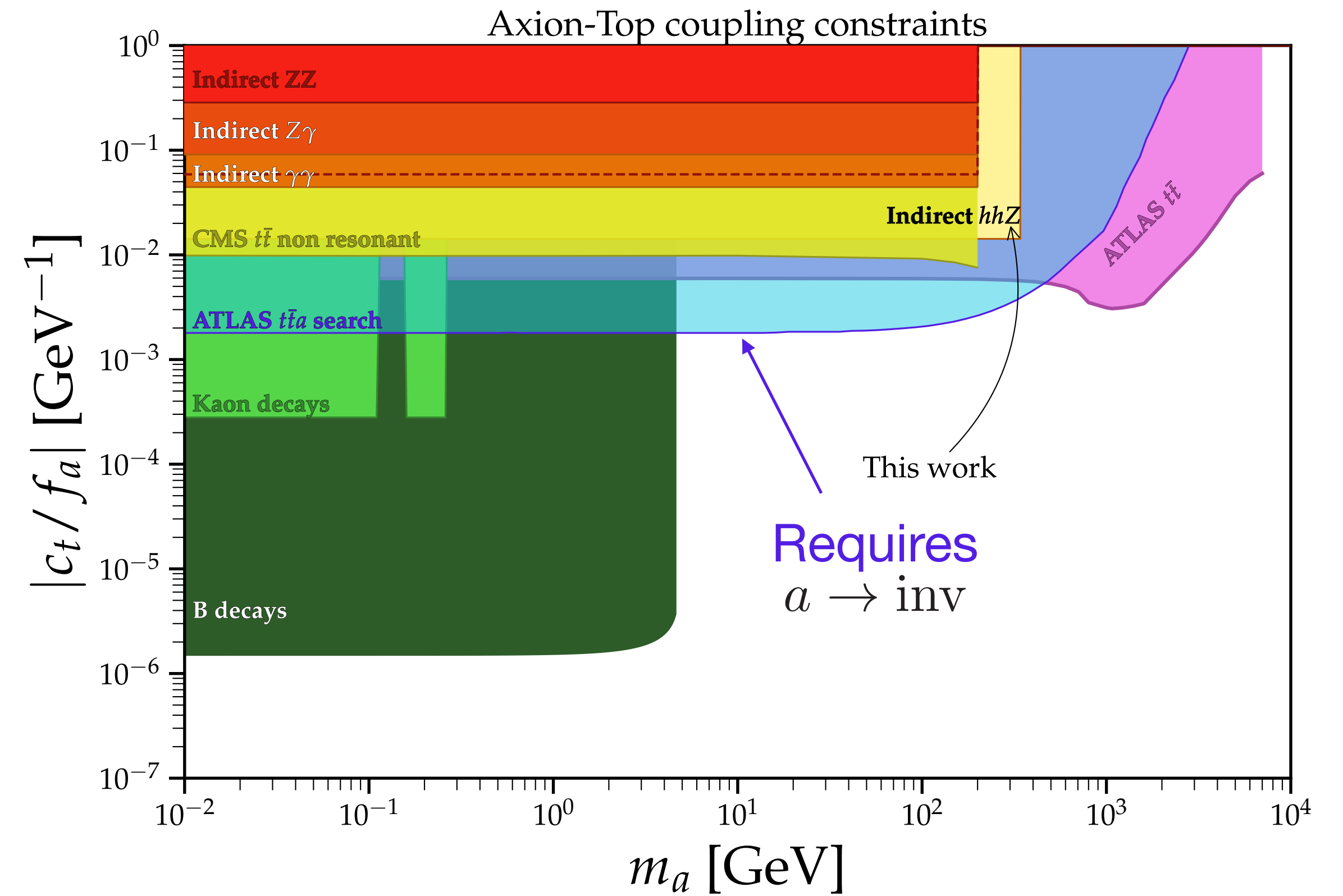
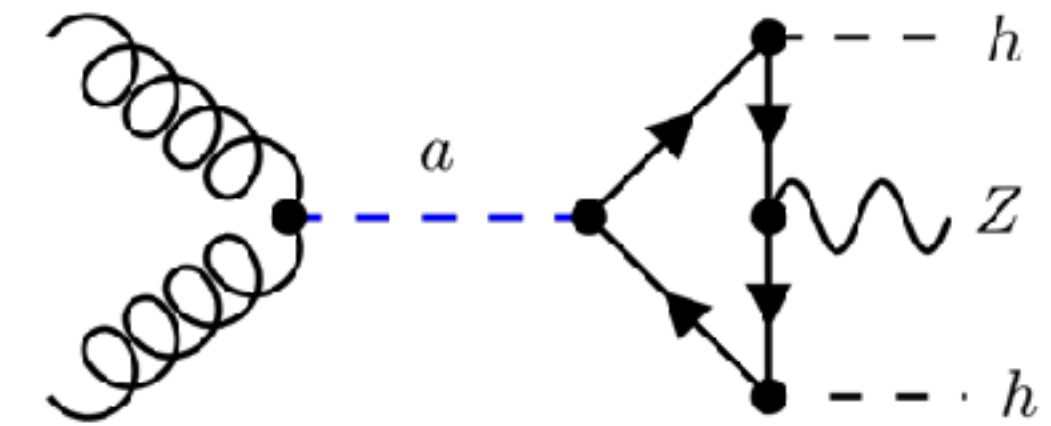


Forbidden  
 $0^- \not\rightarrow 0^+$



di-Higgs + Z  
 allowed

No experimental search for this yet



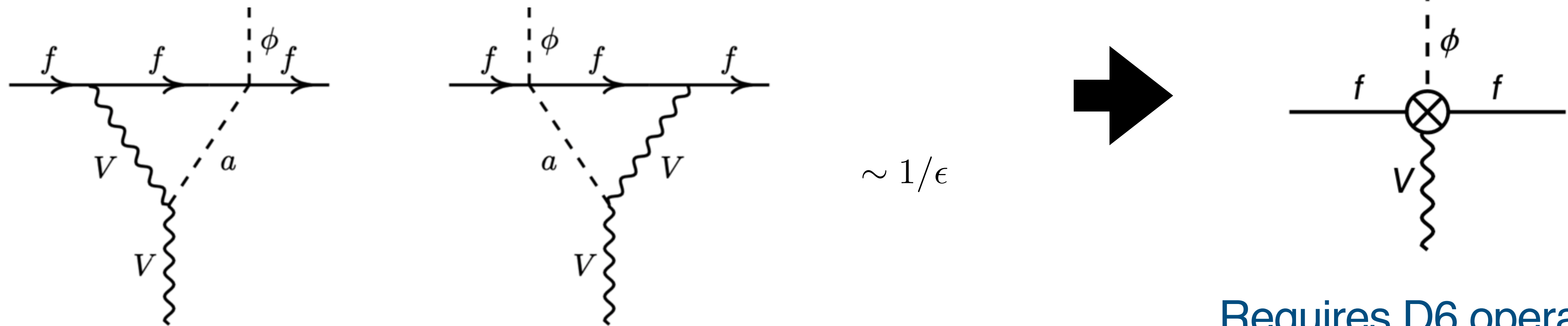
# Indirect effects of ALPs

# Indirect ALP effects

[Marciano, Masiero, Paradisi, Passera ([1607.01022](#))]

[Bauer, Neubert, Thamm ([1704.08207](#))]

- Virtual ALP exchange induces UV-divergent one-loop graphs
- Dimension-6 operators required as counterterms



ALP as a solution for  $g - 2$  discrepancy

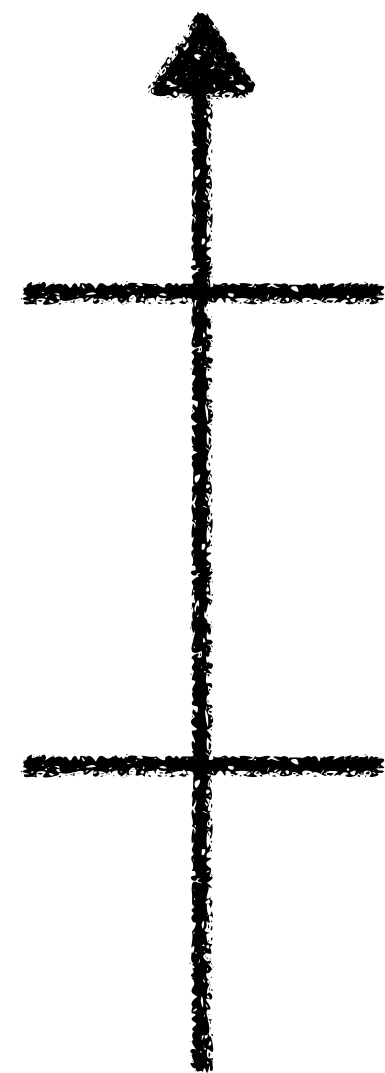
Requires D6 operator as counterterm

**SMEFT!**

# ALP-SMEFT interference

[Galda, Neubert, Renner ([2105.01078](#))]

$$\frac{d}{d \log \mu} C_i^{\text{SMEFT}} - \gamma_{ji}^{\text{SMEFT}} C_j^{\text{SMEFT}} = \frac{S_i}{(4\pi f)^2} \quad S_i \propto (C^{\text{ALP}})^2$$



$$C^{\text{ALP}}(\Lambda) \neq 0, \\ C^{\text{SMEFT}}(\Lambda) = 0$$

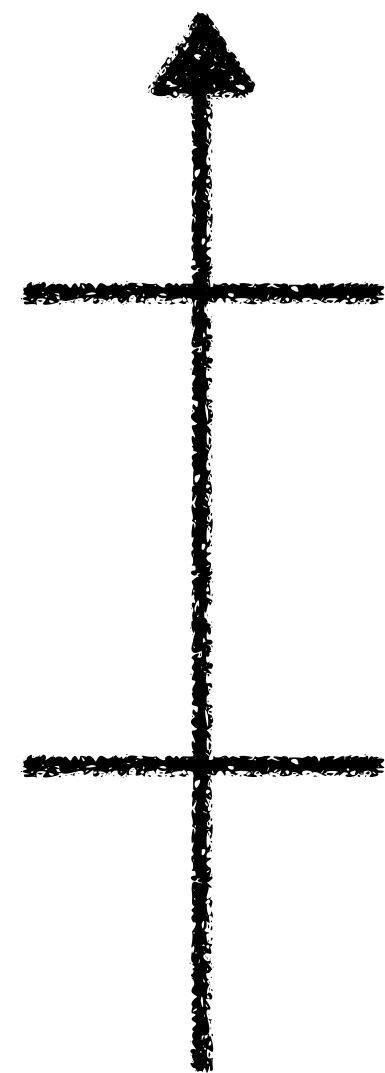
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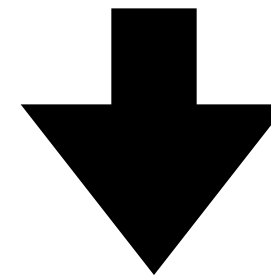
ALP running induces non-zero SMEFT coefficients!

Can we use SMEFT constraints to obtain mass-independent constraints on the ALP Wilson coefficients?

# Aside: different ALP bases

derivative  
basis

$$\mathcal{L}_{\text{SM}+\text{ALP}}^{D\leq 5} = c_{GG} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{a,\mu\nu} + c_{WW} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{A,\mu\nu} + c_{BB} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} + \frac{\partial^\mu a}{f} \sum_F \bar{\psi}_F \mathbf{c}_F \gamma_\mu \psi_F$$

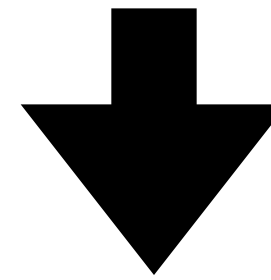


$$\psi_F \rightarrow \psi_F + i \frac{a}{f} \mathbf{c}_F \psi_F$$

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pseudoscalar  
basis

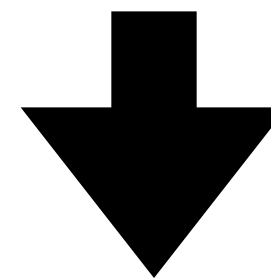
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$$\tilde{Y}_u = i(Y_u c_u - c_Q Y_u), \quad \tilde{Y}_d = i(Y_d c_d - c_Q Y_d), \quad \tilde{Y}_e = i(Y_e c_e - c_L Y_e)$$

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$$\tilde{c}_X = c_X \mathbb{1}_3 \quad \text{Flavor universal}$$

$$\tilde{Y}_u = i(c_u - c_Q)Y_u = -iC_u Y_u, \quad \tilde{Y}_d = i(c_d - c_Q)Y_d = -iC_d Y_d, \quad \tilde{Y}_e = i(c_e - c_L)Y_e = -iC_e Y_e$$



# Aside: different ALP bases

derivative  
basis

Six free parameters in the flavor-universal case

$$C_{GG}, C_{WW}, C_{BB}, C_u, C_d, C_e$$

$\tilde{B}^{\mu\nu}$

pseudoscalar  
basis

$$\mathcal{L}_{\text{SM}+\text{ALP}}^{D\leq 5} = C_{GG} \frac{a}{f} G_{\mu\nu}^a \tilde{G}^{\mu\nu,a} + C_{WW} \frac{a}{f} W_{\mu\nu}^A \tilde{W}^{\mu\nu,A} + C_{BB} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} - \frac{a}{f} \left( \bar{Q} \tilde{H} \tilde{Y}_u u_R + \bar{Q} H \tilde{Y}_d d_R + \bar{L} H \tilde{Y}_e e_R + \text{h.c.} \right)$$

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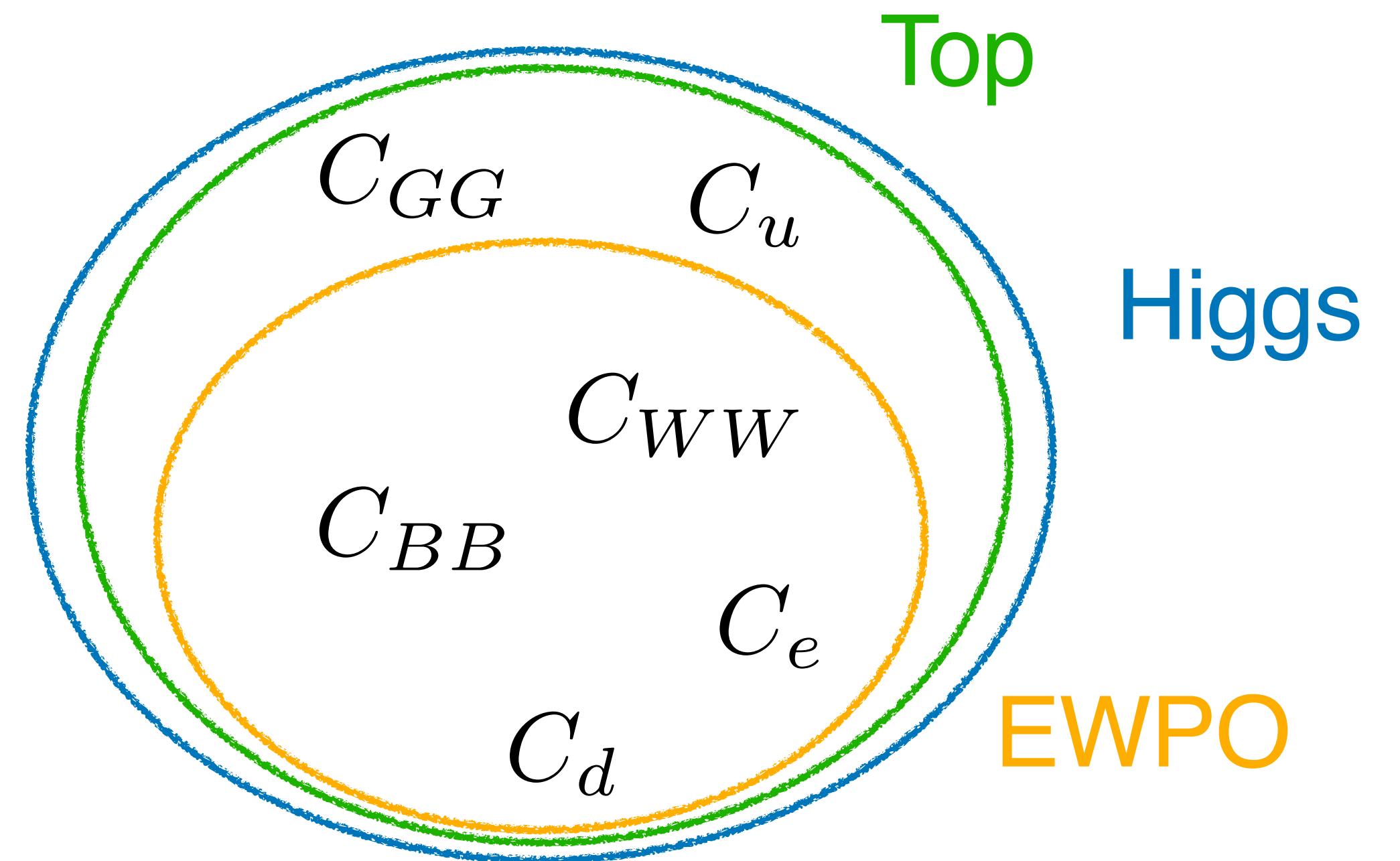
# Exploiting the ALP-SMEFT interference

## Observables used

- Low energy:
  - Electroweak precision observables (EWPO)
  - Parity violation experiments
  - Lepton scattering
- **Higgs** [Falkowski et al. (1706.03783)]
- **Top** [Ellis et al. (2012.02779)]

## Six free parameters

$$C_{GG}, C_{WW}, C_{BB}, C_u, C_d, C_e$$

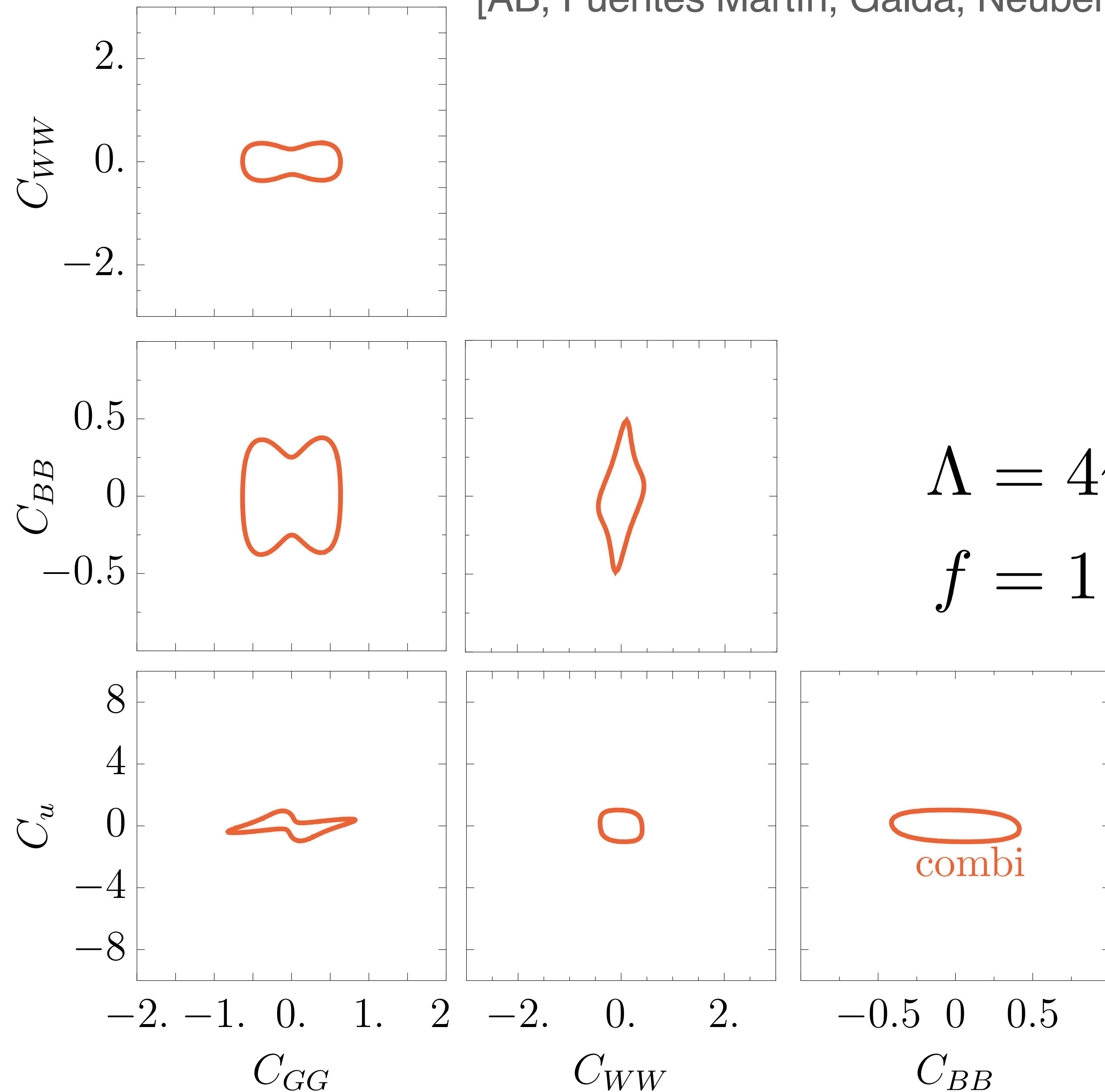


# Limits

[AB, Fuentes Martín, Galda, Neubert ([2307.10372](#))]

## Dominant constraints

- $C_{GG}$  : **Higgs** + Top
- $C_{WW}$  : LE + Higgs
- $C_{BB}$  : low energy
- $C_u$  : low energy
- $C_d$  : low energy
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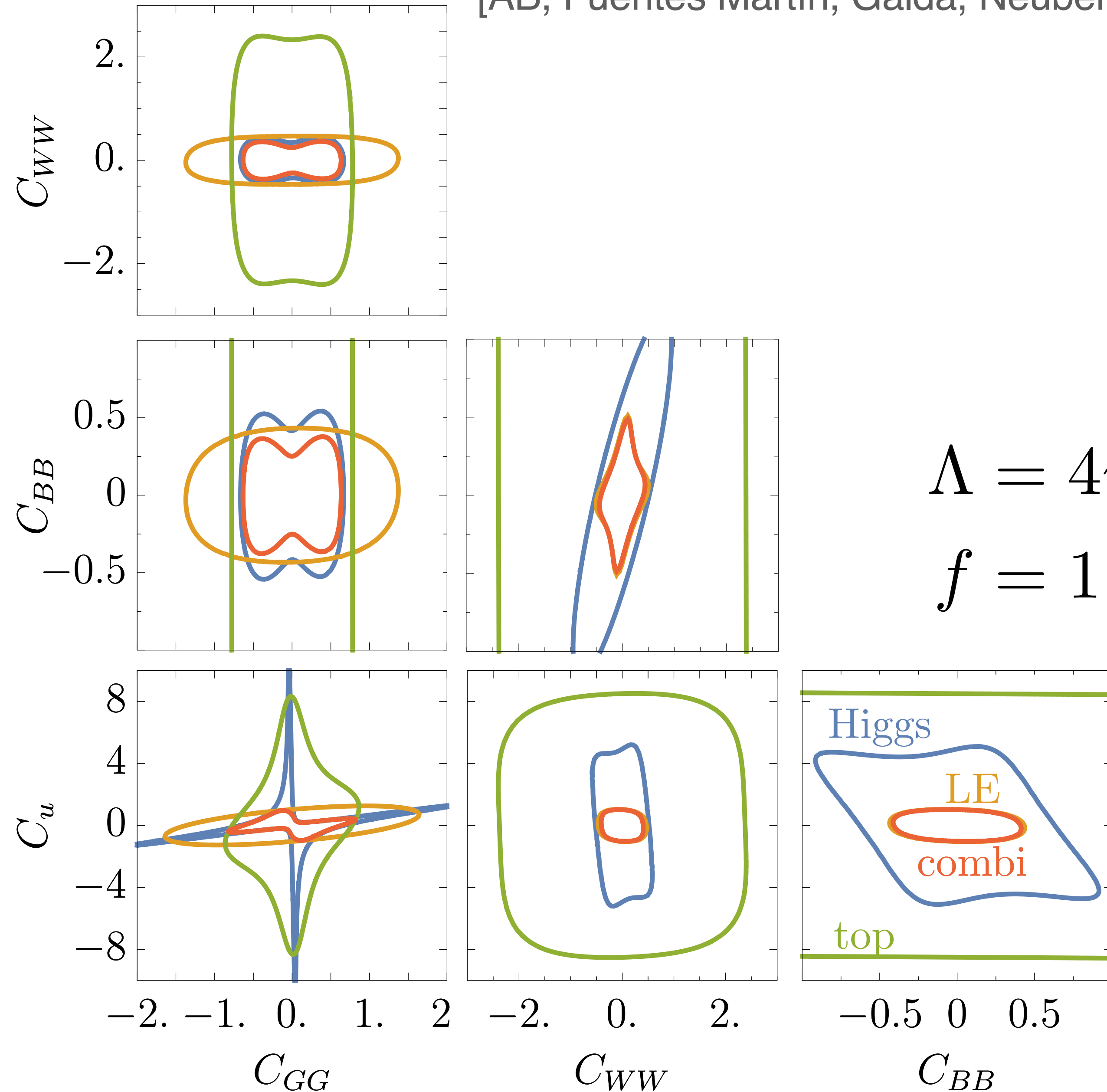


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$$\Lambda = 4\pi f$$

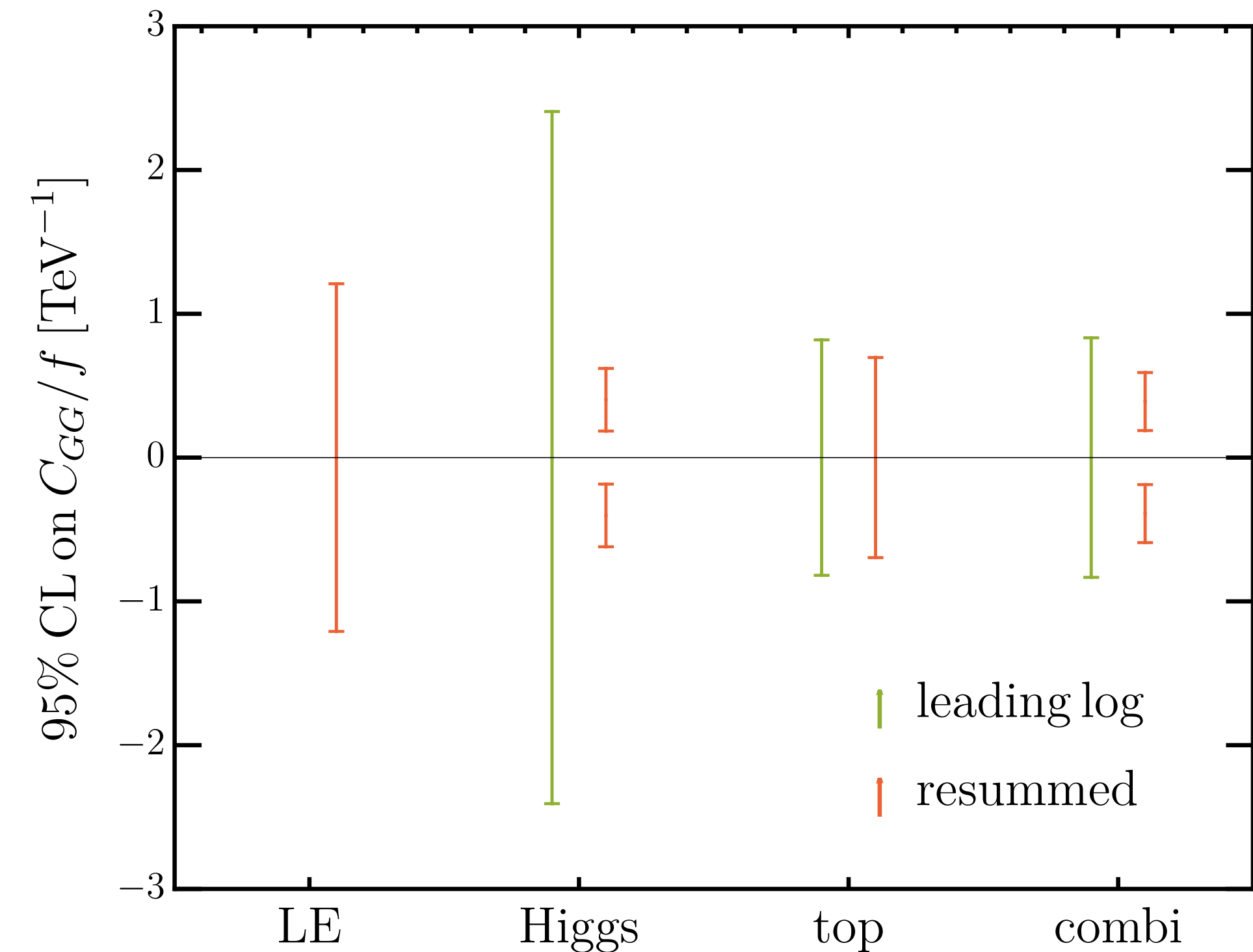
$$f = 1 \text{ TeV}$$

# LL approximation - CGG

$$[C_{uG}]_{33}(\mu) \supset -\frac{25 g_s y_t \alpha_s}{\pi} C_{GG}^2 \ln^2 \frac{\mu}{\Lambda}$$

$$C_{HG}(\mu) \supset \frac{100 \alpha_s^2 \alpha_t}{3} C_{GG}^2 \ln^3 \frac{\mu}{\Lambda}$$

CHG (Higgs-gluon coupling) and CuG (top-gluon coupling) strongly constrained through gluon-fusion Higgs production



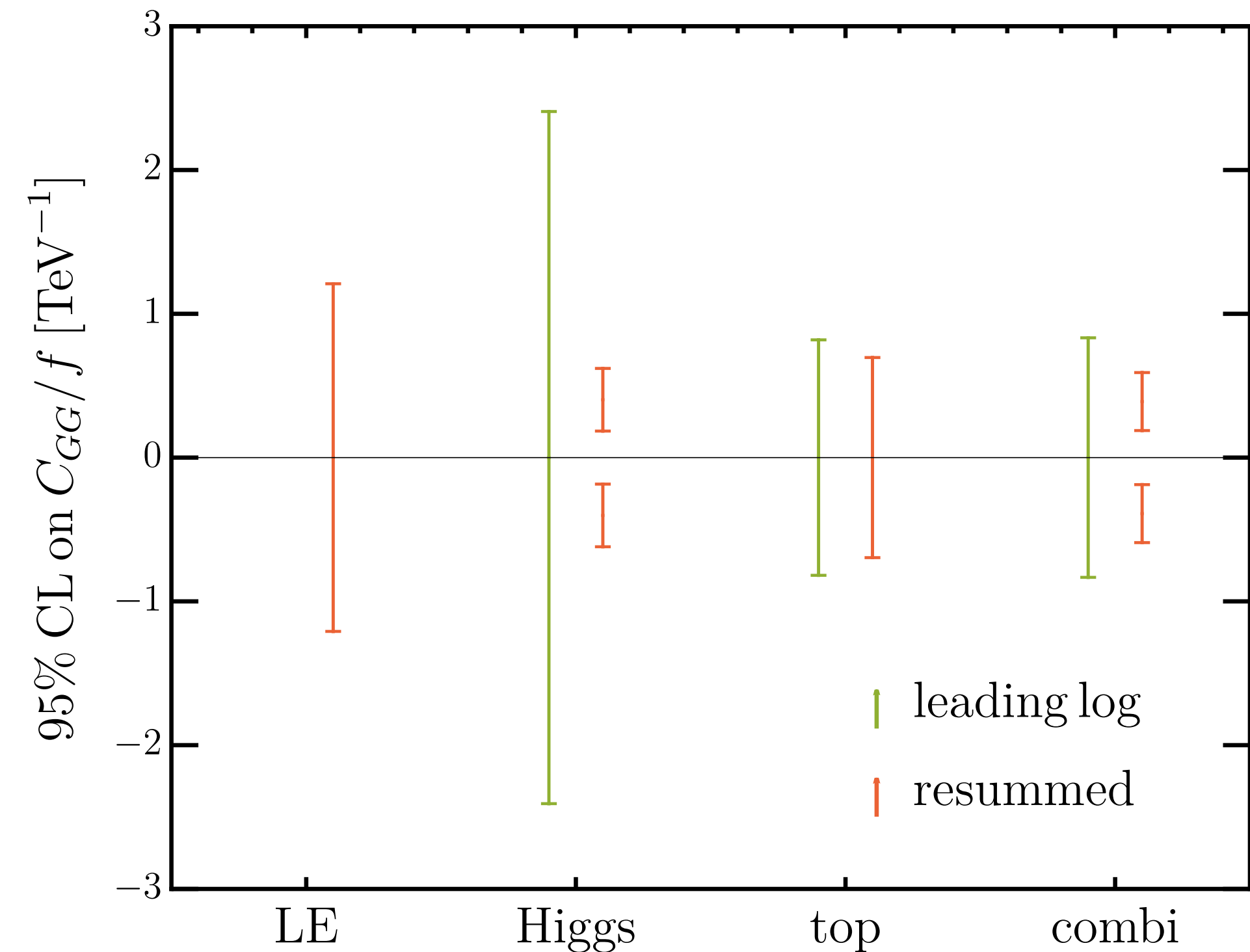
# LL approximation - CGG

(Small) experimental anomaly in CMS  
Higgs STXS causes deviation at 95% CL

$$[C_{uG}]_{33}(\mu) \supset -\frac{25 g_s y_t \alpha_s}{\pi} C_{GG}^2 \ln^2 \frac{\mu}{\Lambda}$$

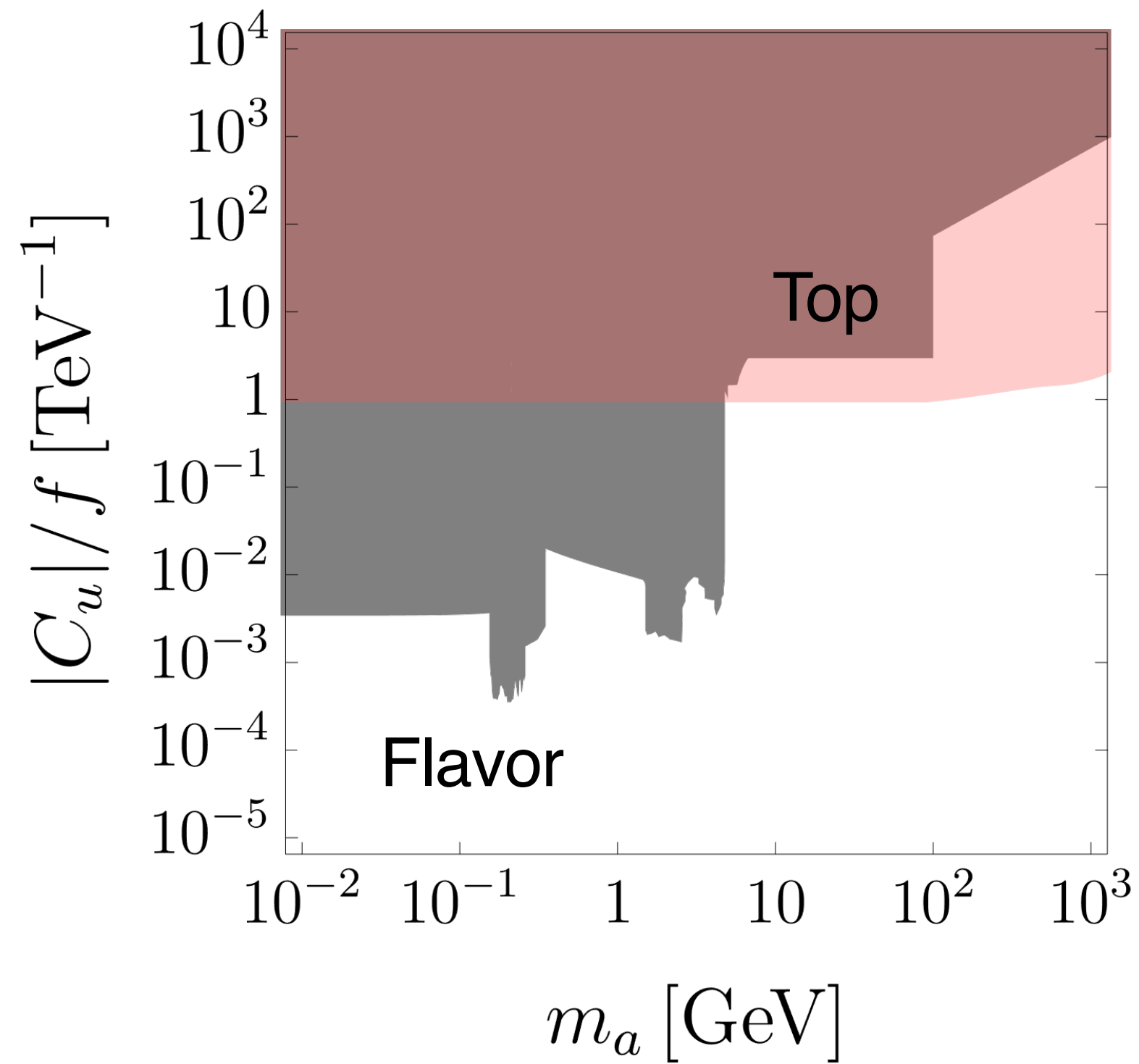
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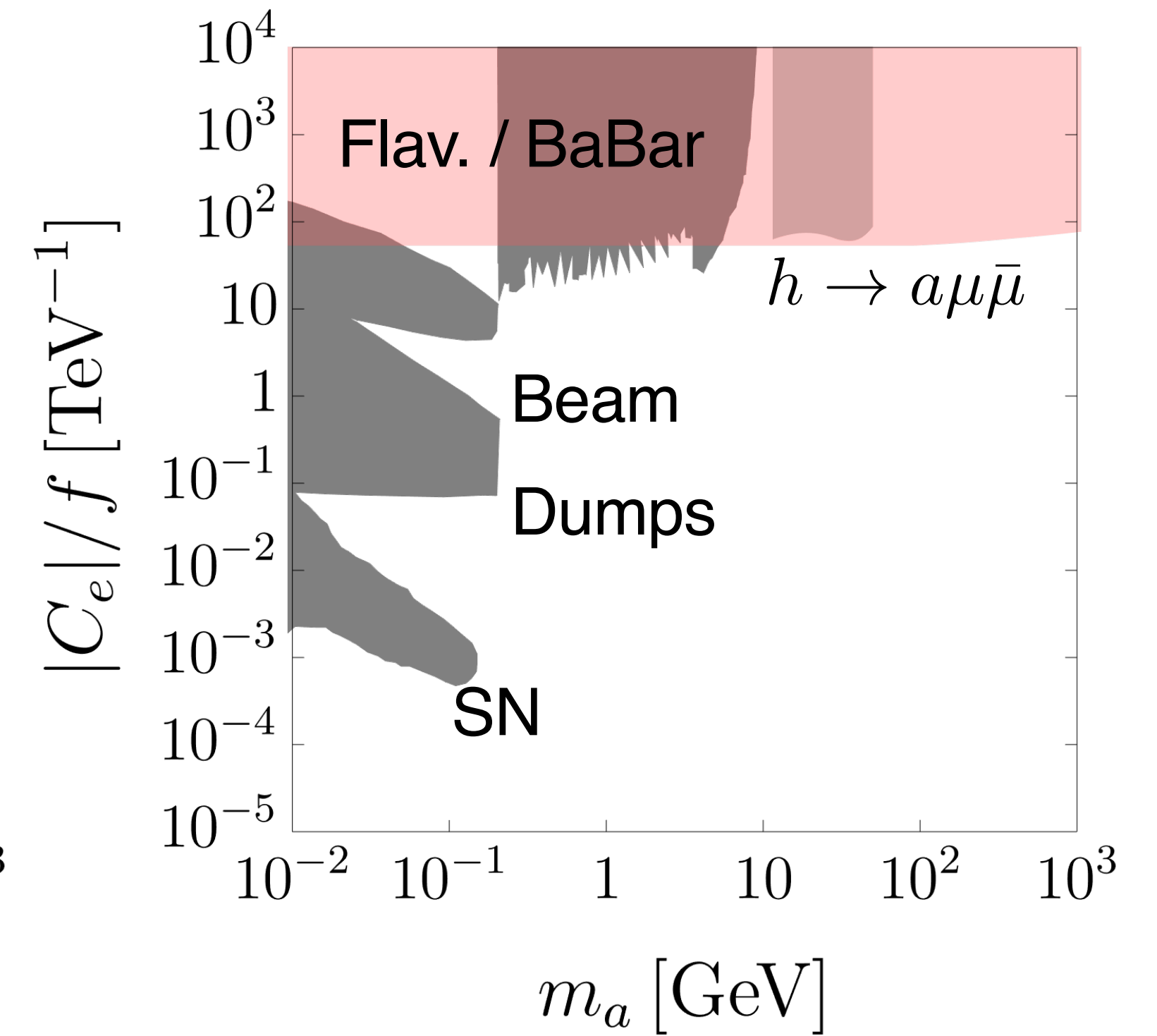
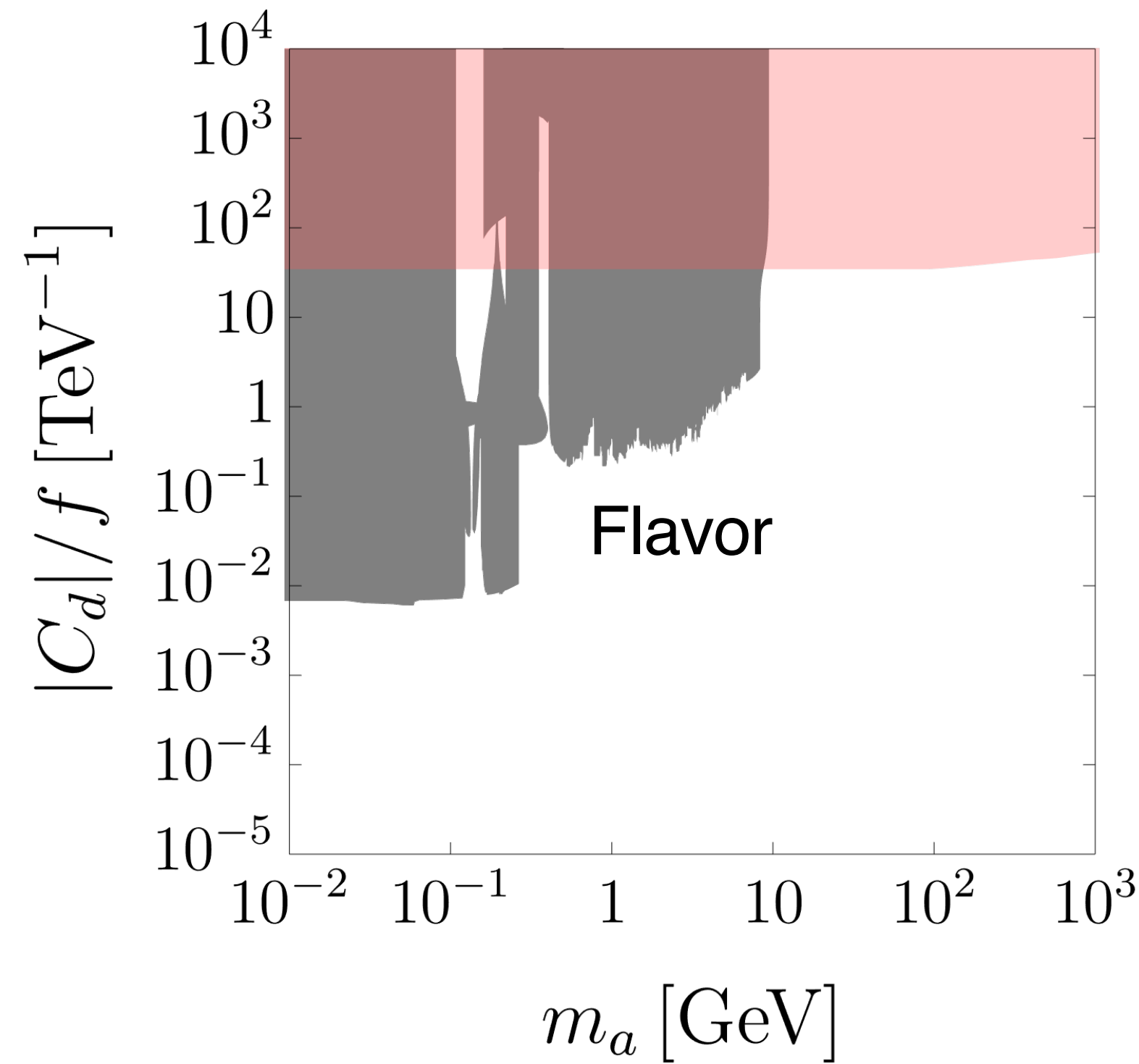


# Comparison with direct bounds - fermions



[Esser, Madigan, Sanz, Ubiali ([2303.17634](#))]

[Bauer, Neubert, Renner, Schnubel, Thamm ([2110.10698](#))]



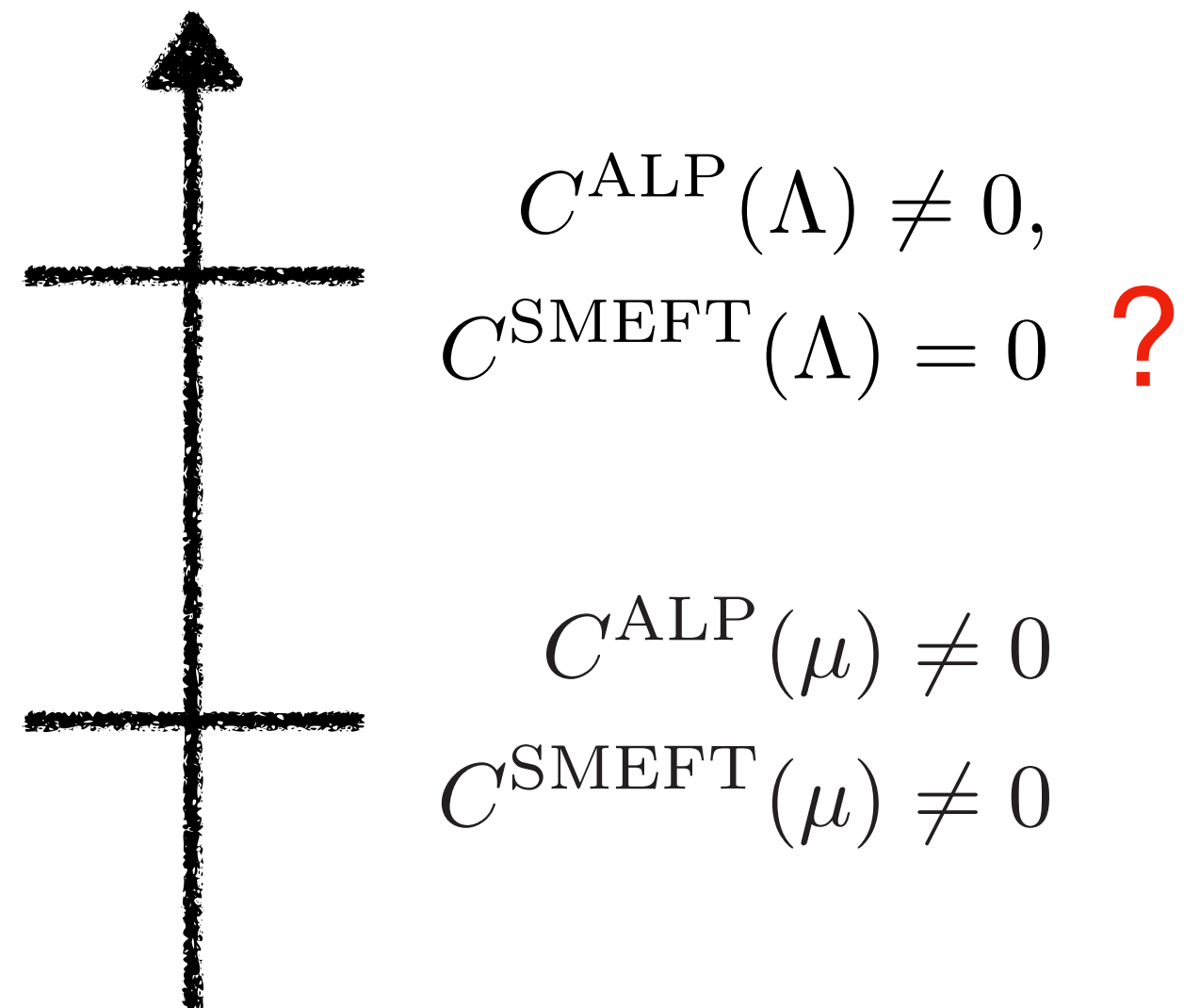
[BaBar ([1406.2980](#))]

[AB, Chala, Spannowski ([2203.14984](#))]

[Lucente, Carena ([2107.12393](#))]

[Essig, Harnik, Kaplan, Toro ([1008.0636](#))]

# Caveats and future directions



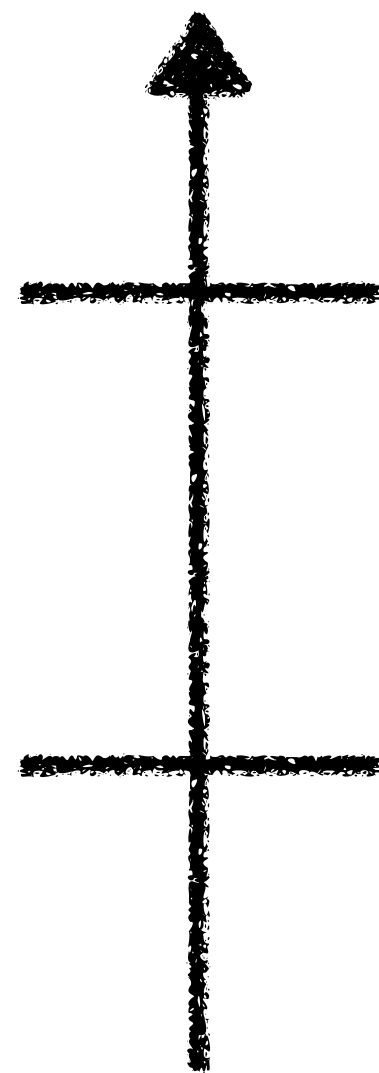
What if  $C^{\text{SMEFT}}$  at the high scale are not zero?

Backup slides on UV model interpretations

# Caveats and future directions

300 MeV ALP

[Bruggisser, Grabitz, Westhoff ([2308.11703](#))]

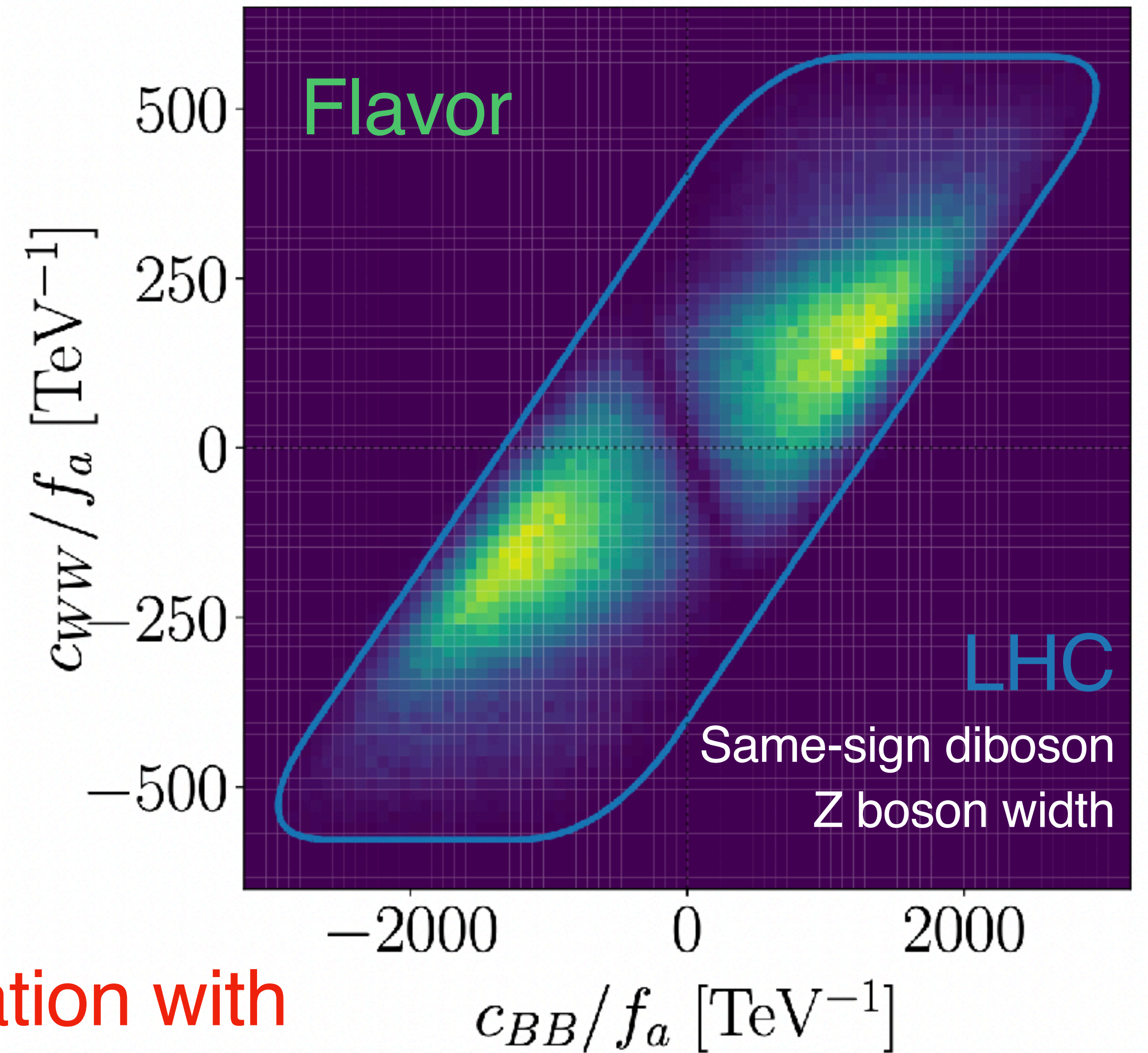


$$C^{\text{ALP}}(\Lambda) \neq 0, \\ C^{\text{SMEFT}}(\Lambda) = 0 \quad ?$$

$$C^{\text{ALP}}(\mu) \neq 0 \\ C^{\text{SMEFT}}(\mu) \neq 0$$

What if  $C^{\text{SMEFT}}$  at the high scale are not zero?

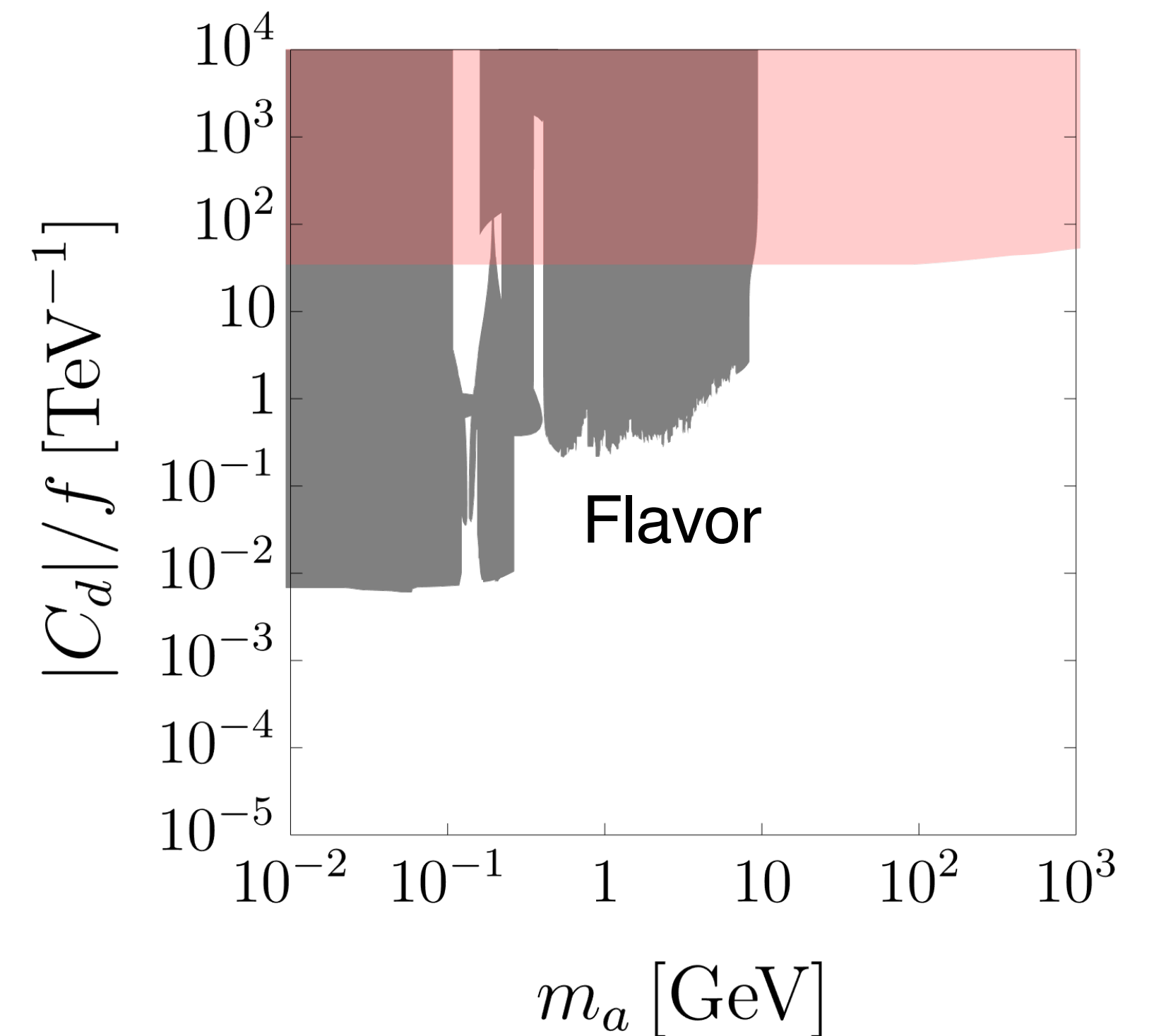
Backup slides on UV model interpretations



Combination with direct global fit efforts

# Conclusions

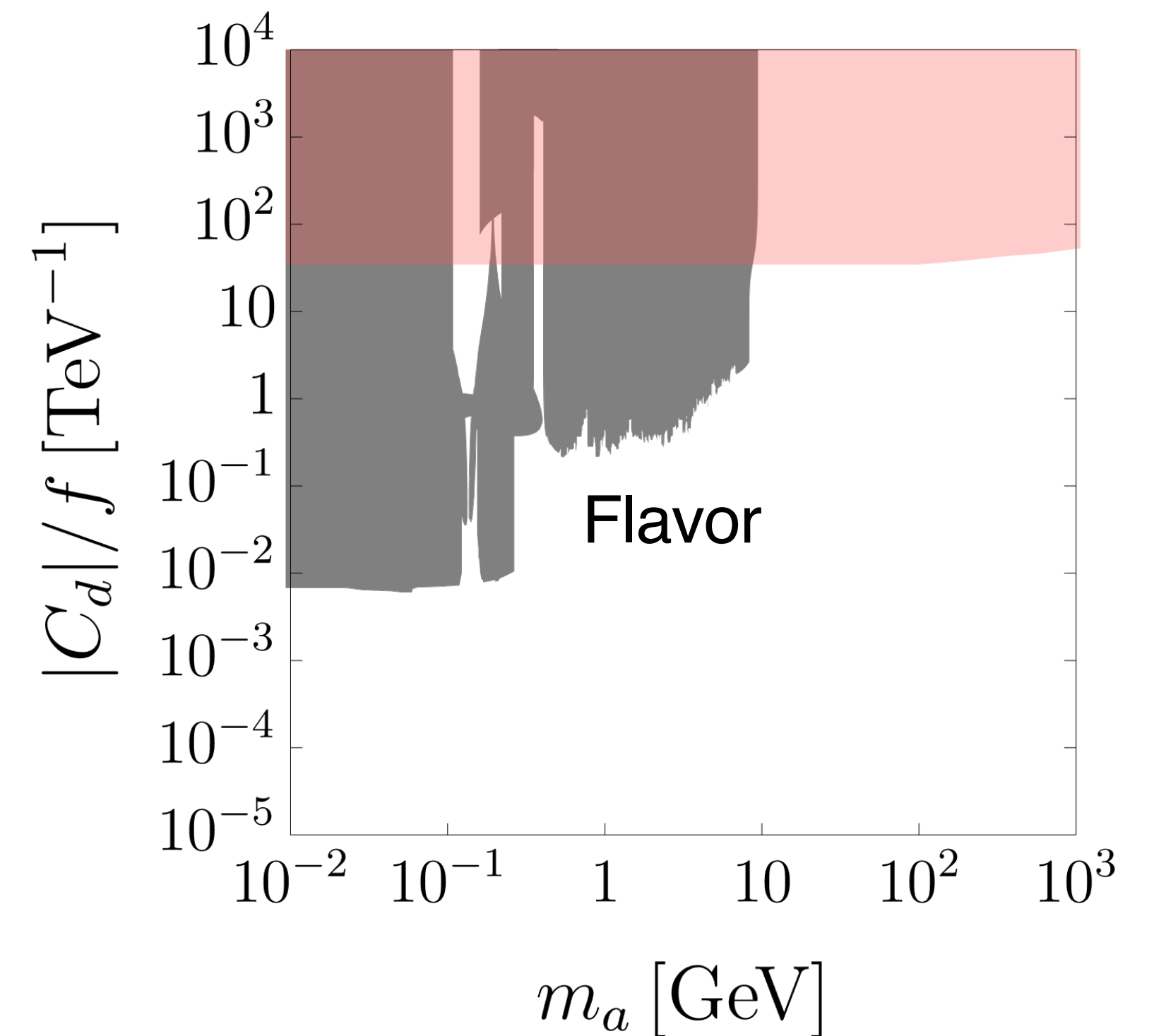
- Higgs and ALPs closely related
- **Higgs decays to ALPs**
- ALP contribution to **di-Higgs production**
- **Indirect ALP limits from Higgs physics** and other EFT analyses
- Future: global analysis of direct and indirect ALP effects





# Conclusions

- Higgs and ALPs closely related
- **Higgs decays to ALPs**
- ALP contribution to **di-Higgs production**
- **Indirect ALP limits from Higgs physics** and other EFT analyses
- Future: global analysis of direct and indirect ALP effects

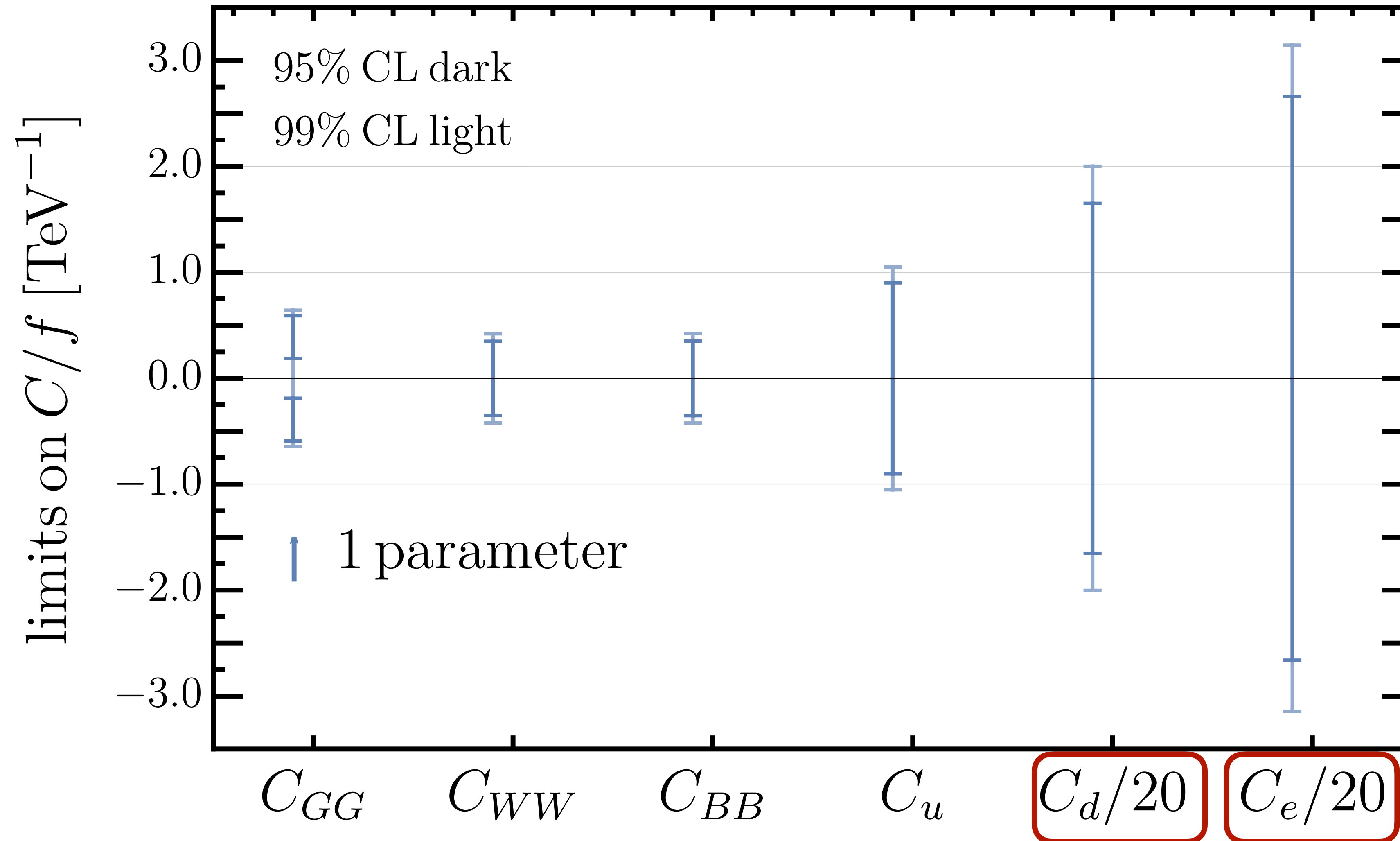


Thank you for your attention!

Backup



# A global analysis

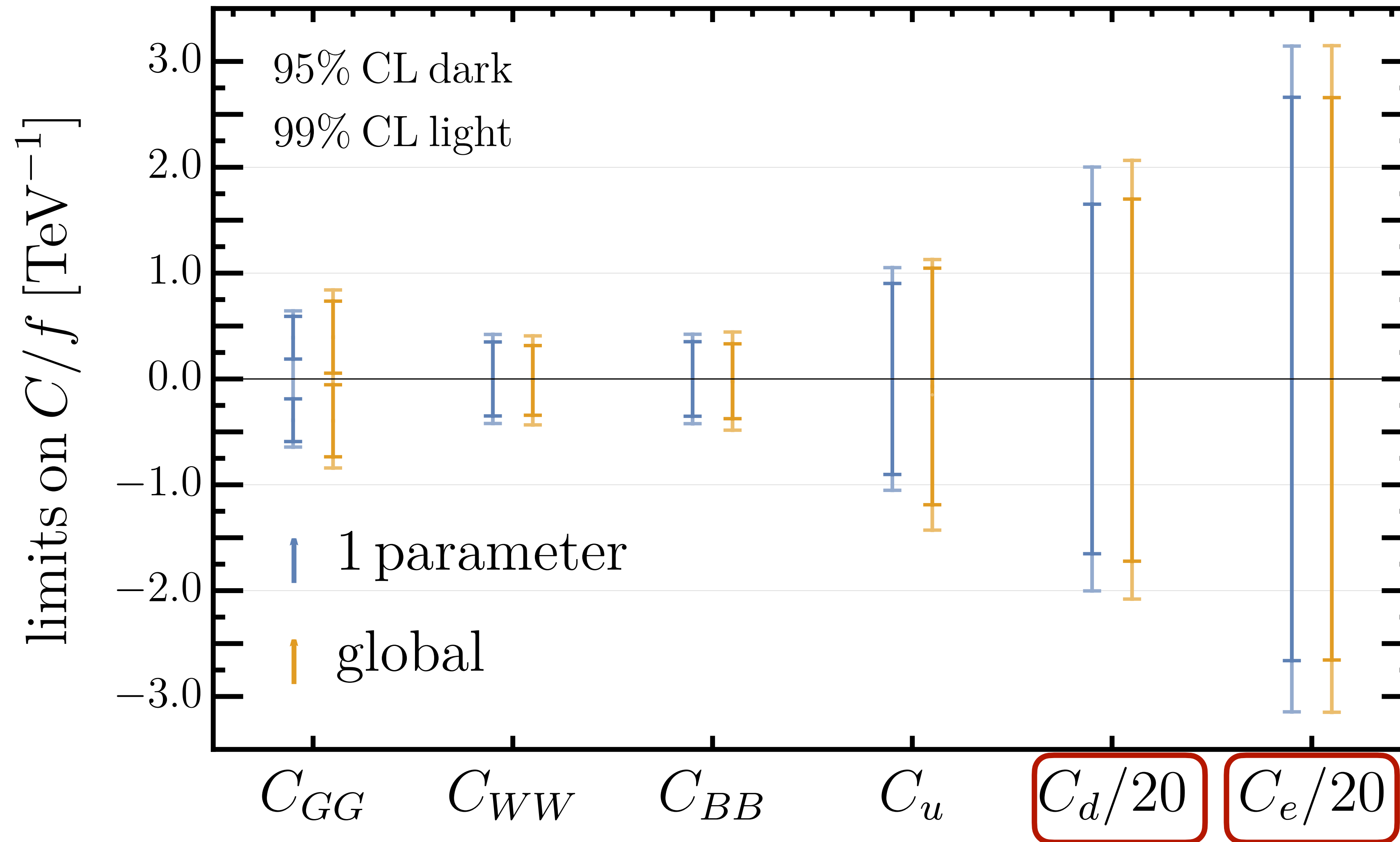


$$\Lambda = 4\pi f$$

$\mathcal{O}(1)$  limits on ALP

couplings for  $f = 1$  TeV

# A global analysis



$$\Lambda = 4\pi f$$

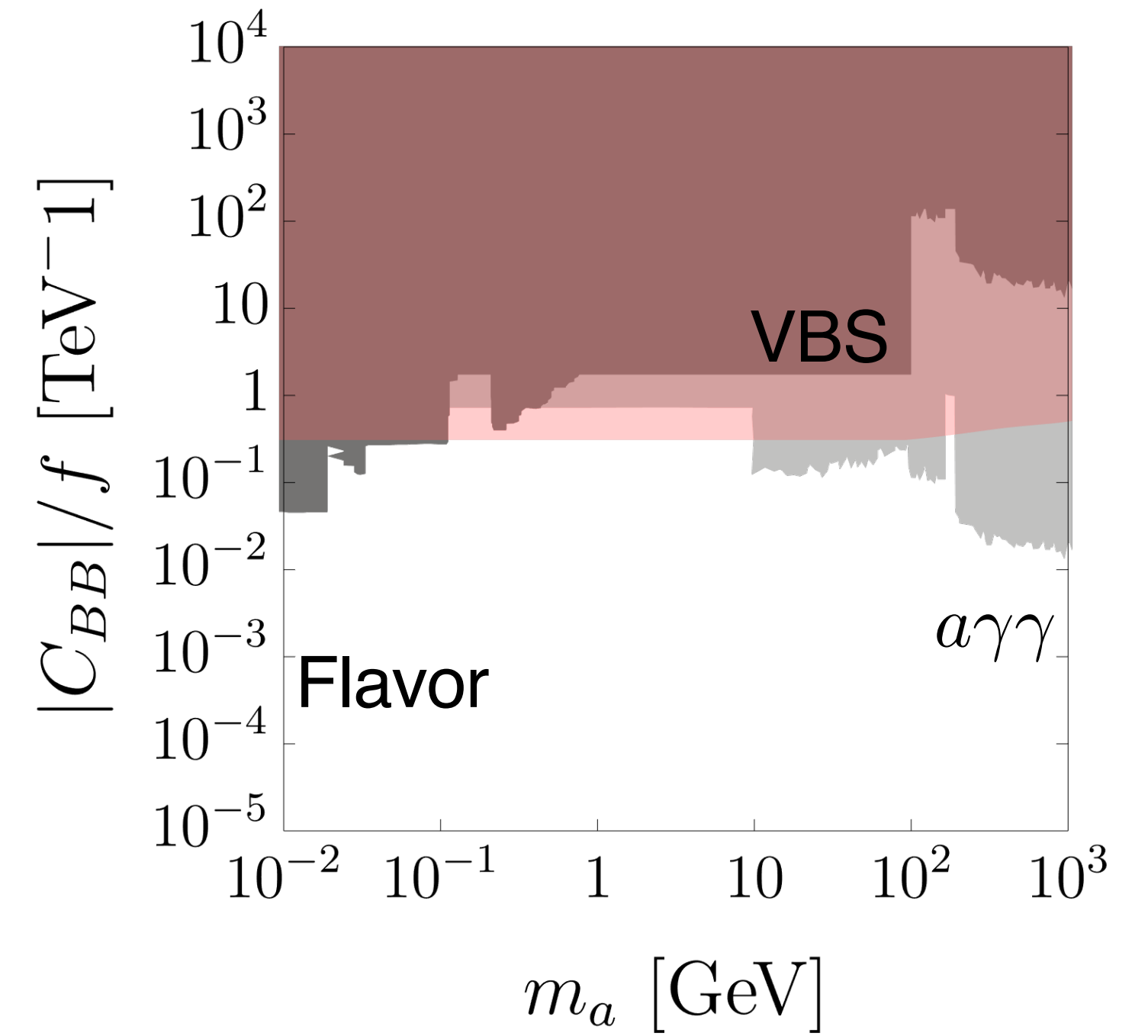
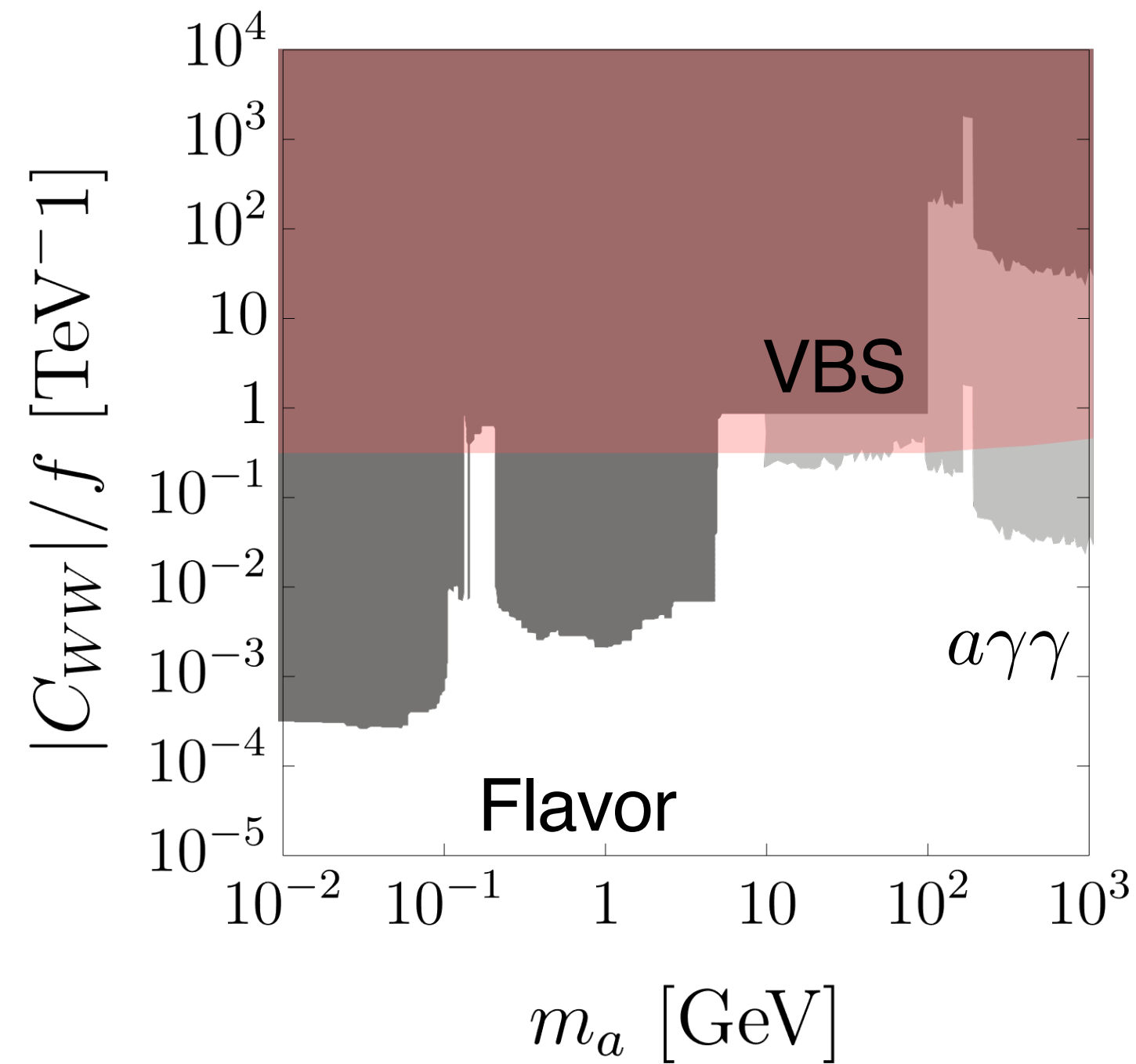
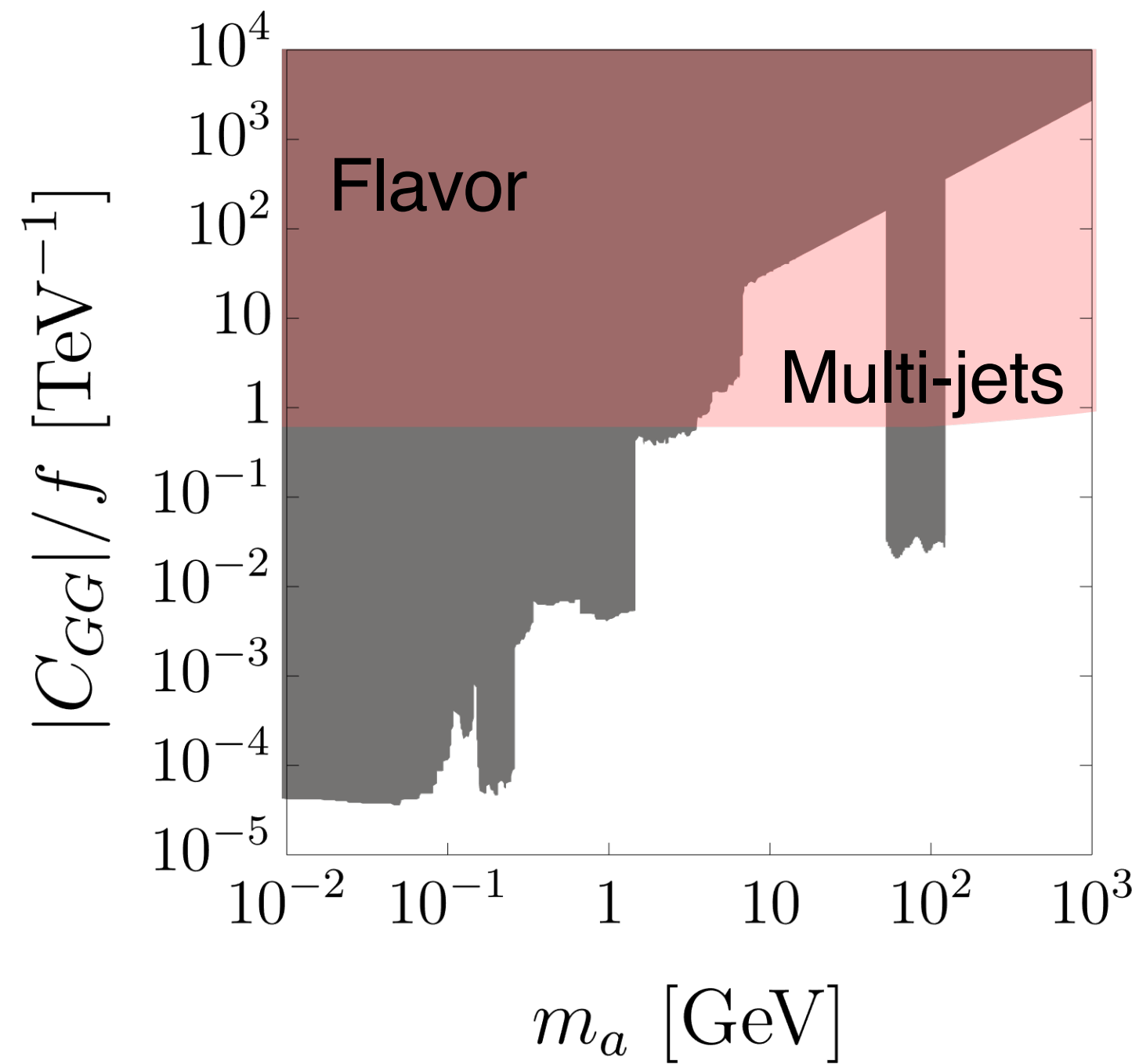
$\mathcal{O}(1)$  limits on ALP

couplings for  $f = 1$  TeV

Interplay between couplings is relatively small

# Comparison with direct bounds

Light gray bounds with additional assumptions



[Mariotti, Redigolo, Sala, Tobiok ([1710.01743](#))]

[Bonilla, Brivio, Machado-Rodríguez, de Trocóniz ([2202.03450](#))]

[Bauer, Neubert, Thamm ([1708.00443](#))]

[Bauer, Neubert, Renner, Schnubel, Thamm ([2110.10698](#))]

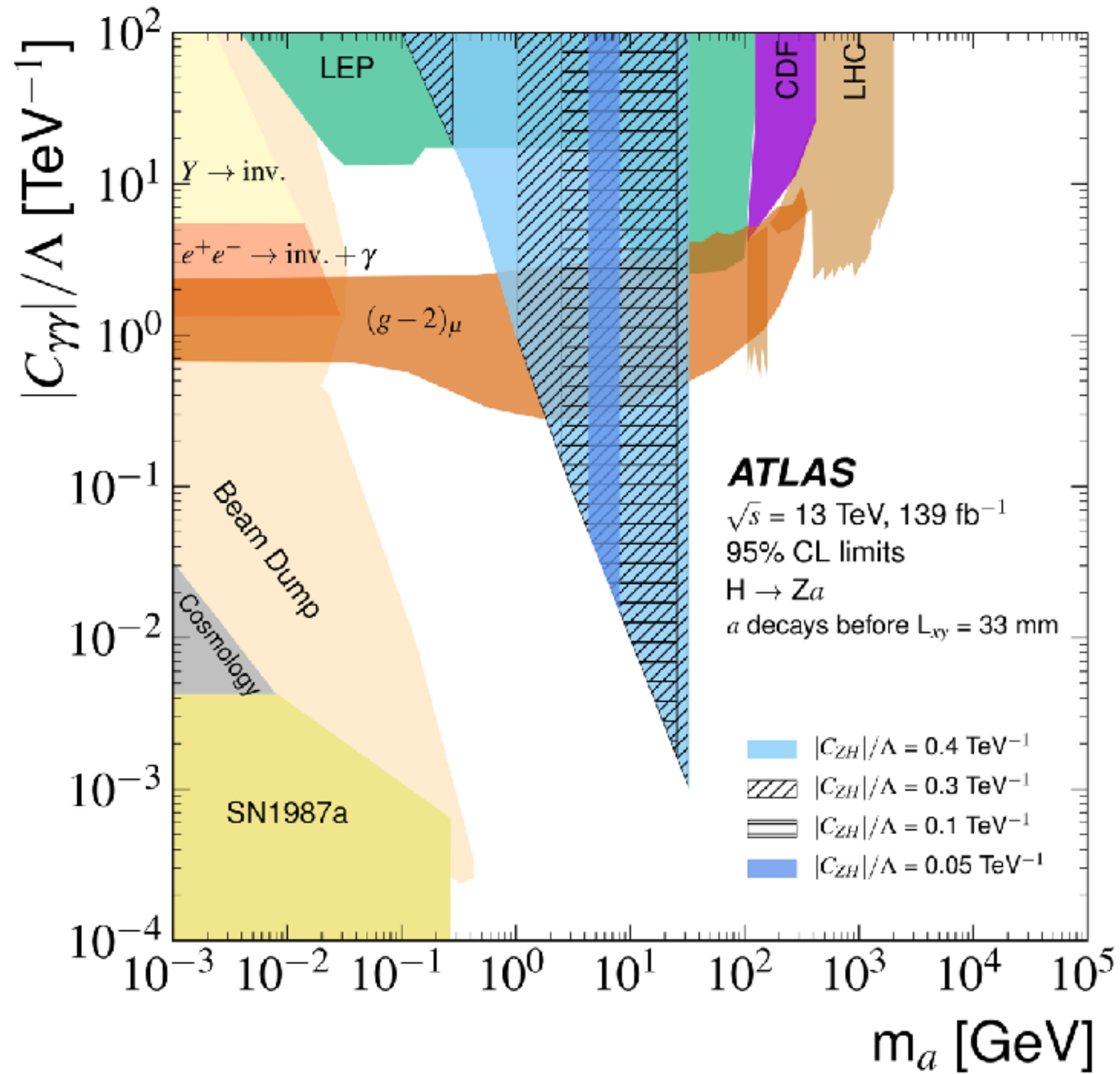
**ALP-SMEFT interference tests unconstrained parameter space**



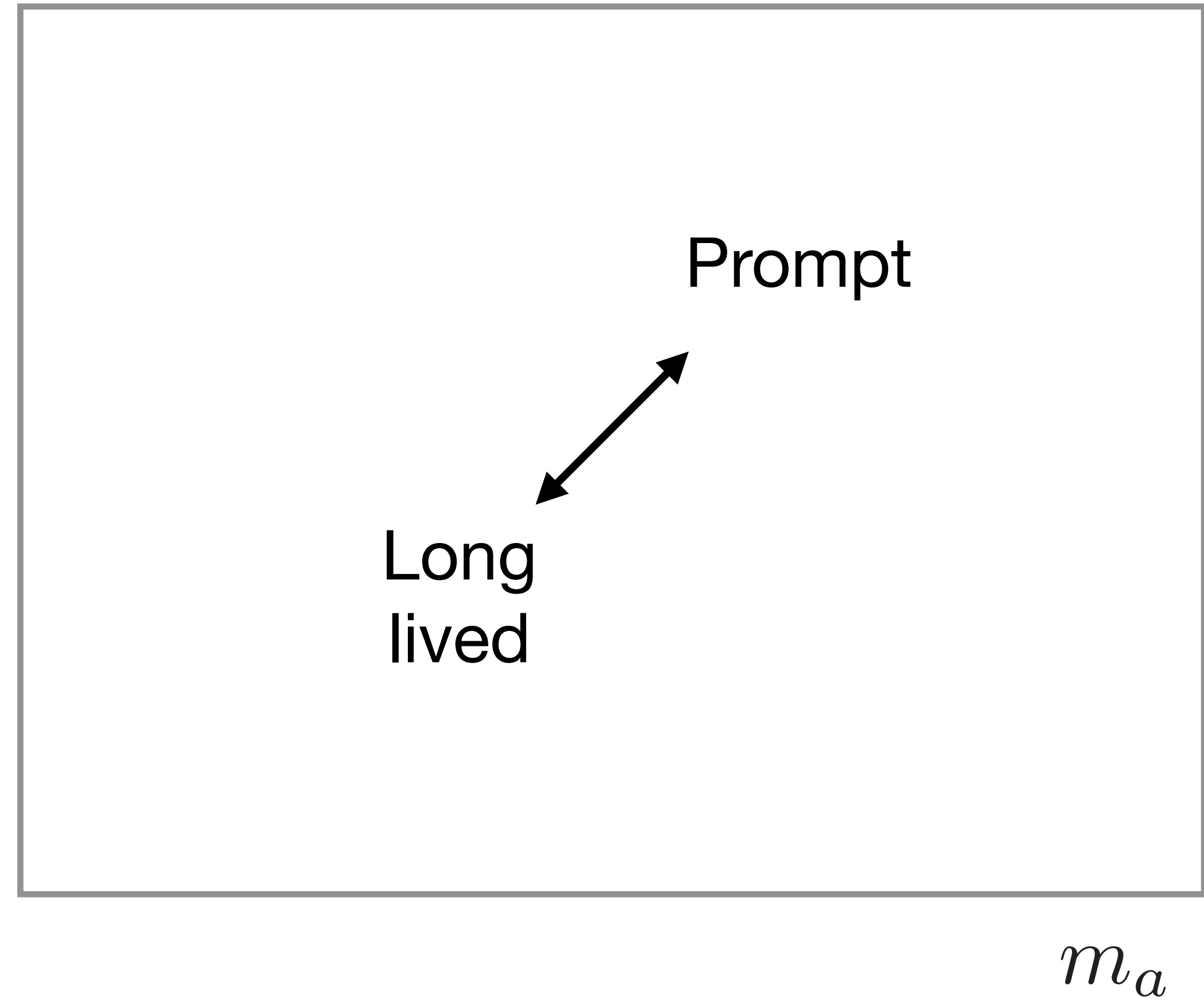




# ALPs at colliders



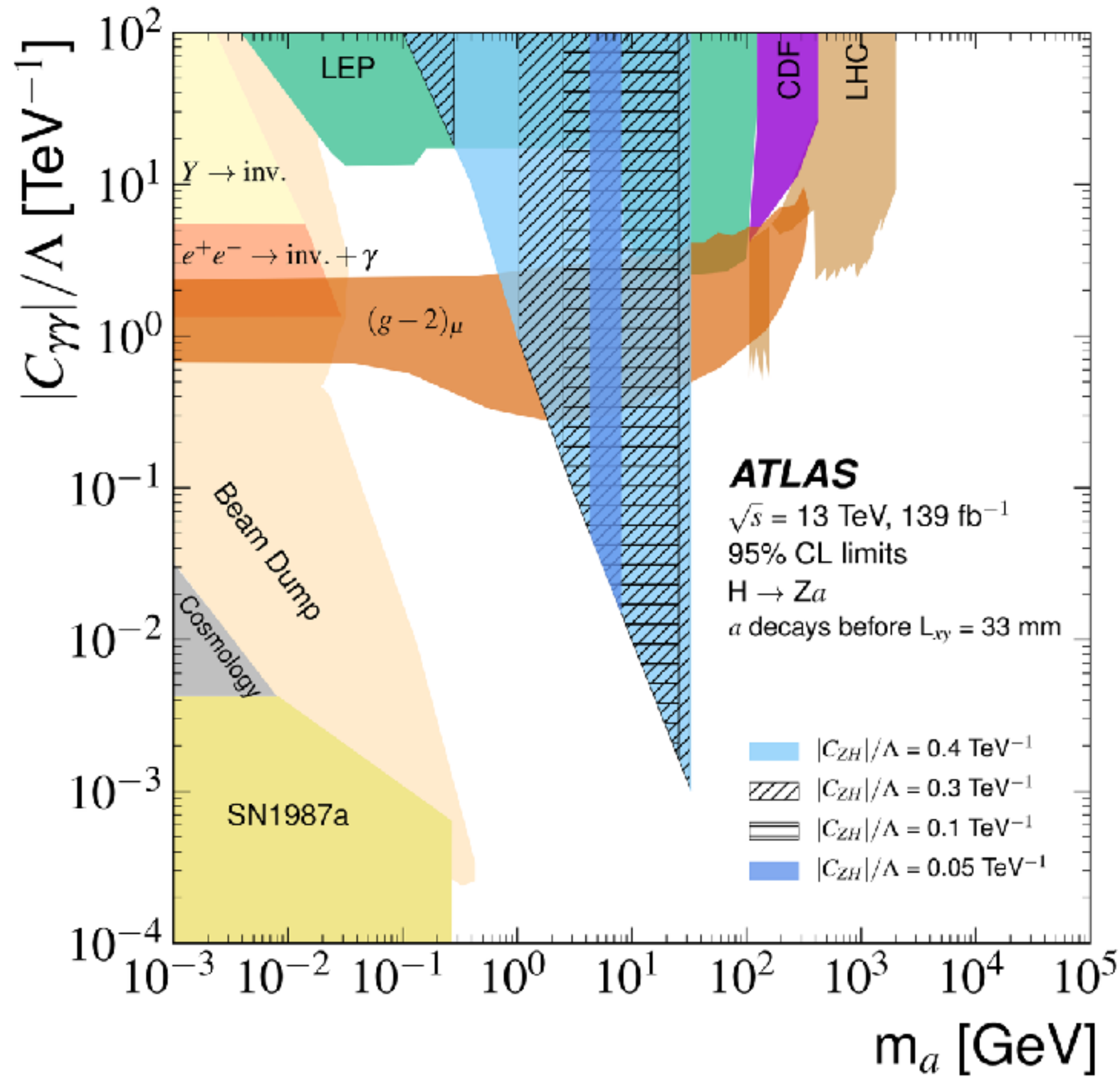
$g_{aXX}$



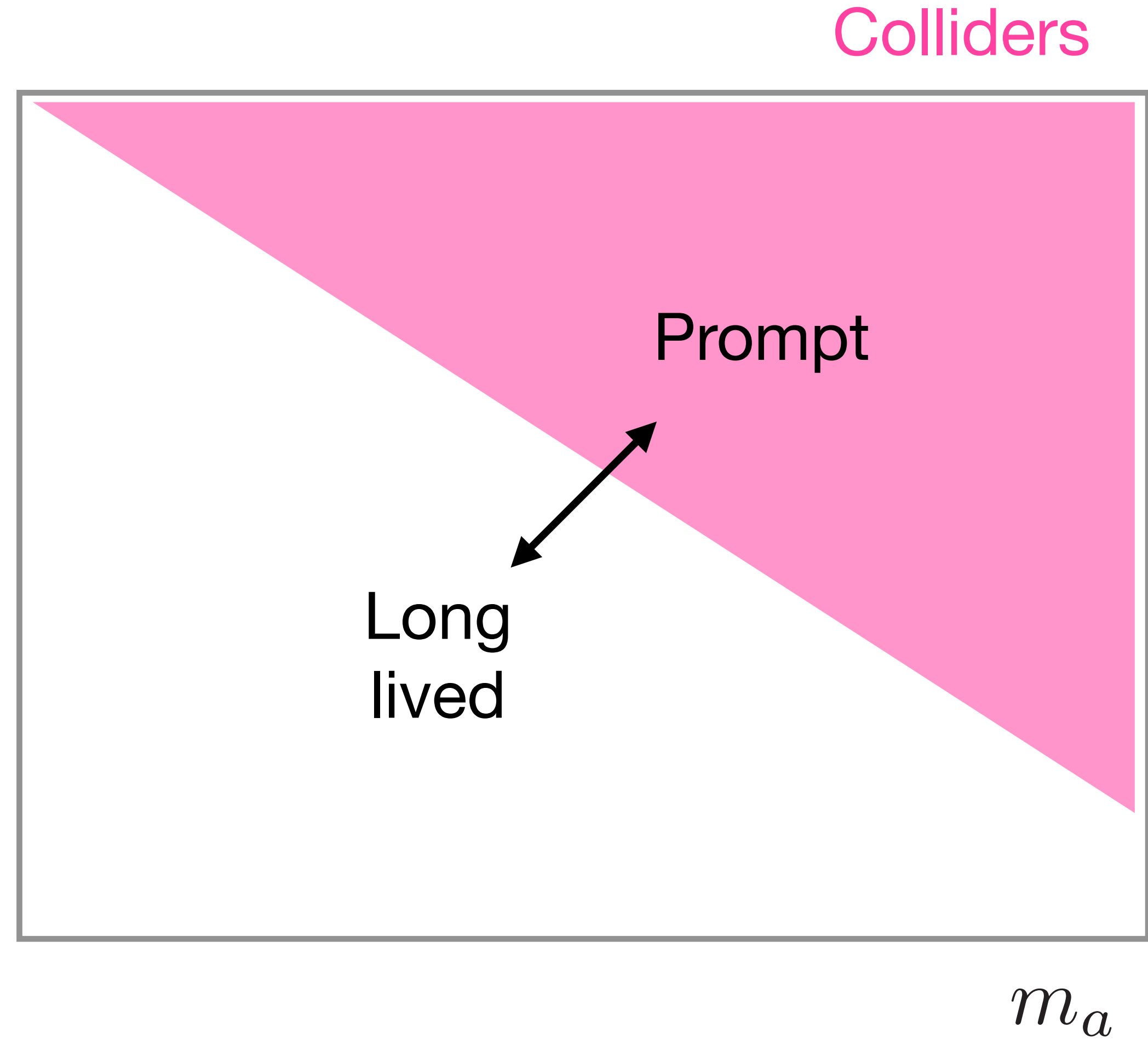
[ATLAS (2312.01942)]



# ALPs at colliders

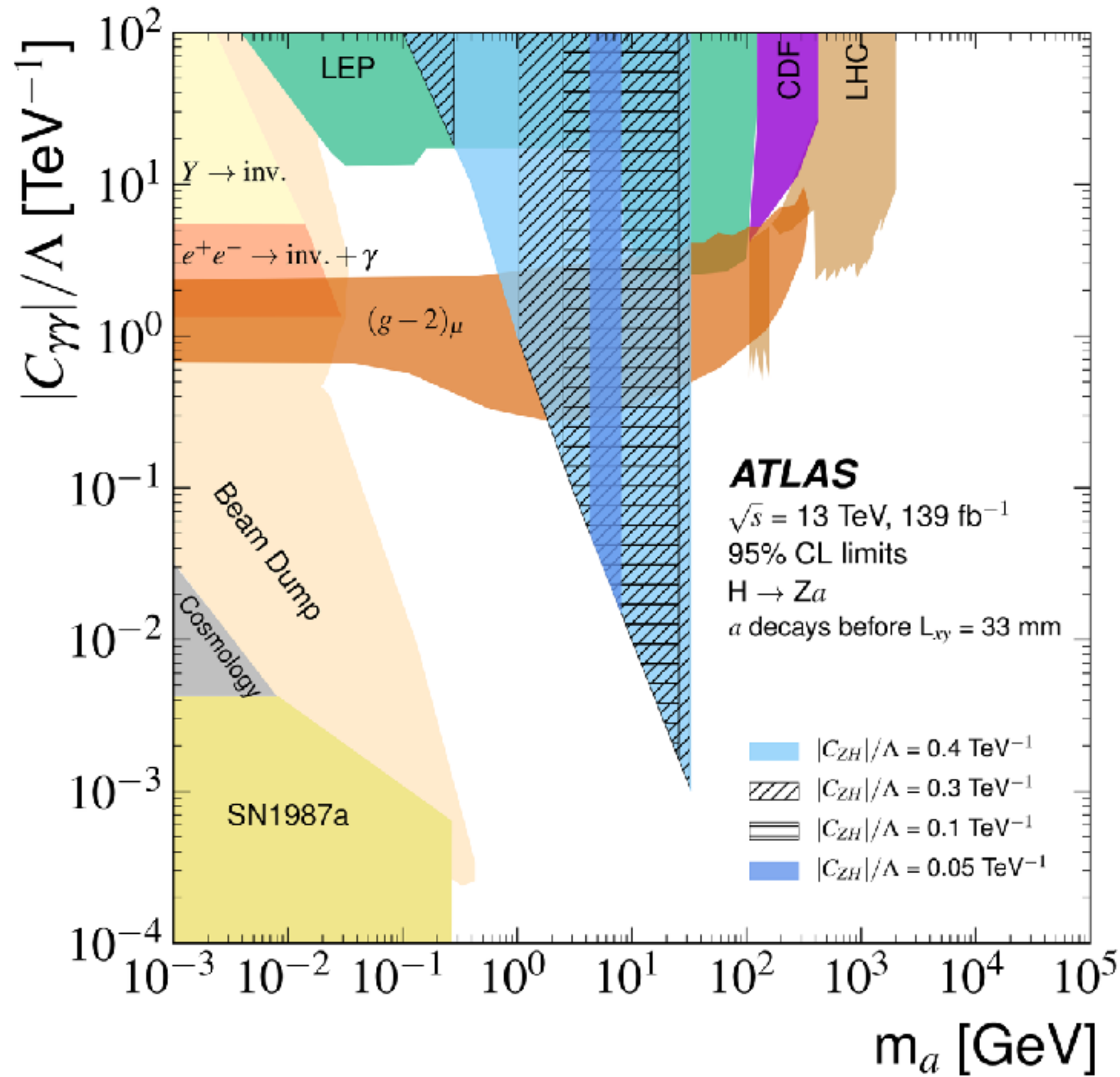


$g_a X X$

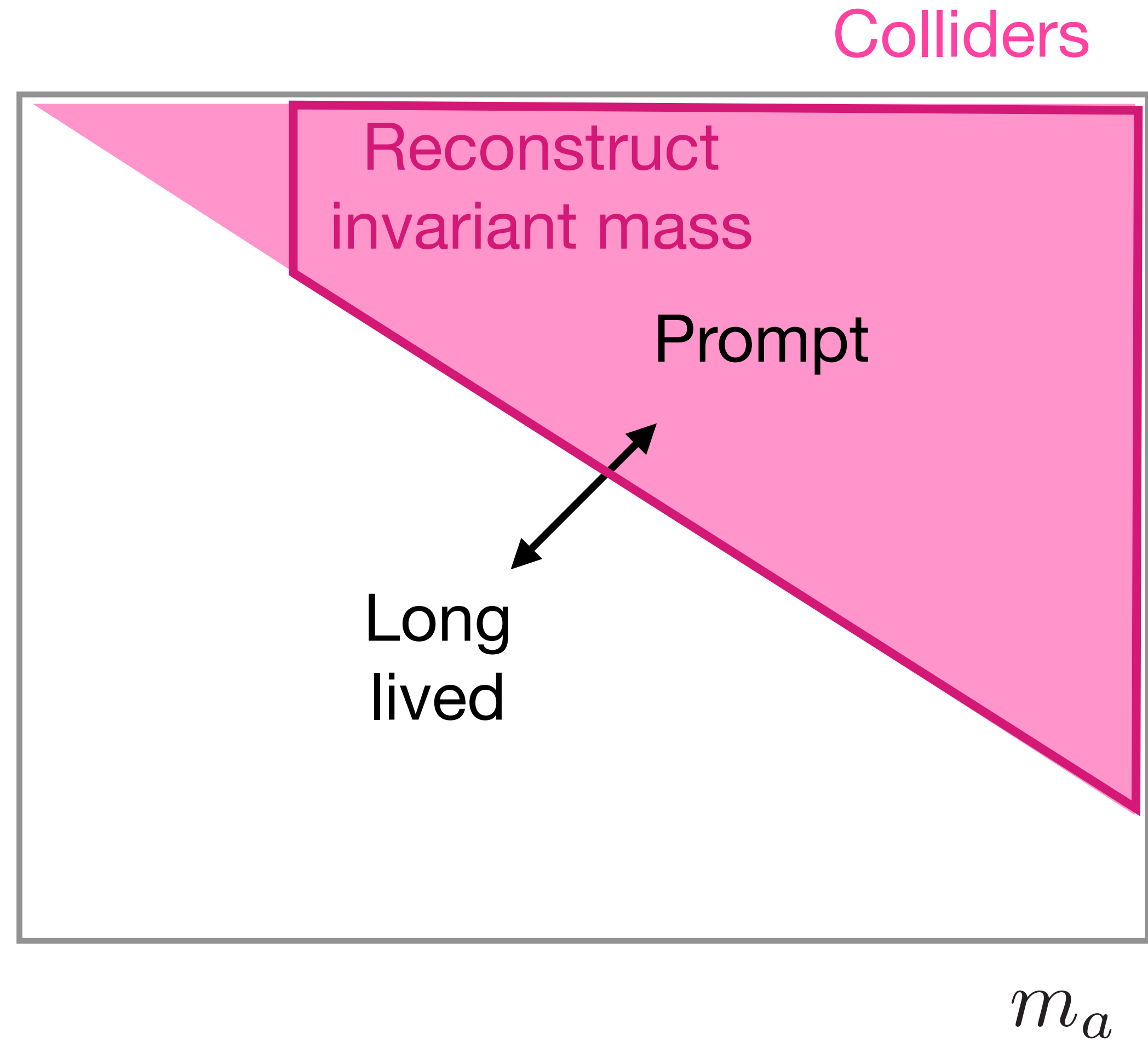


[ATLAS (2312.01942)]

# ALPs at colliders

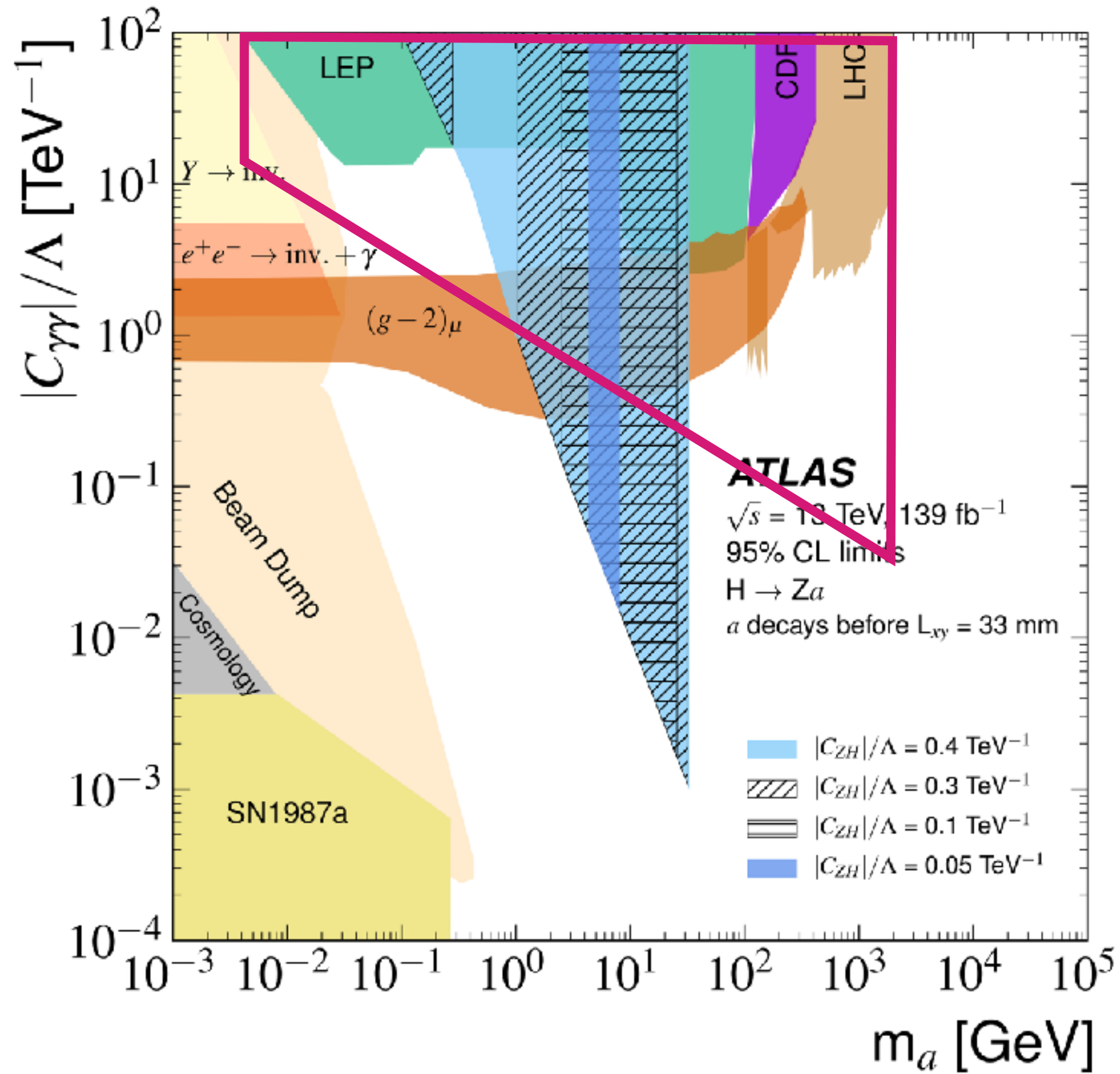


$g_{aXX}$

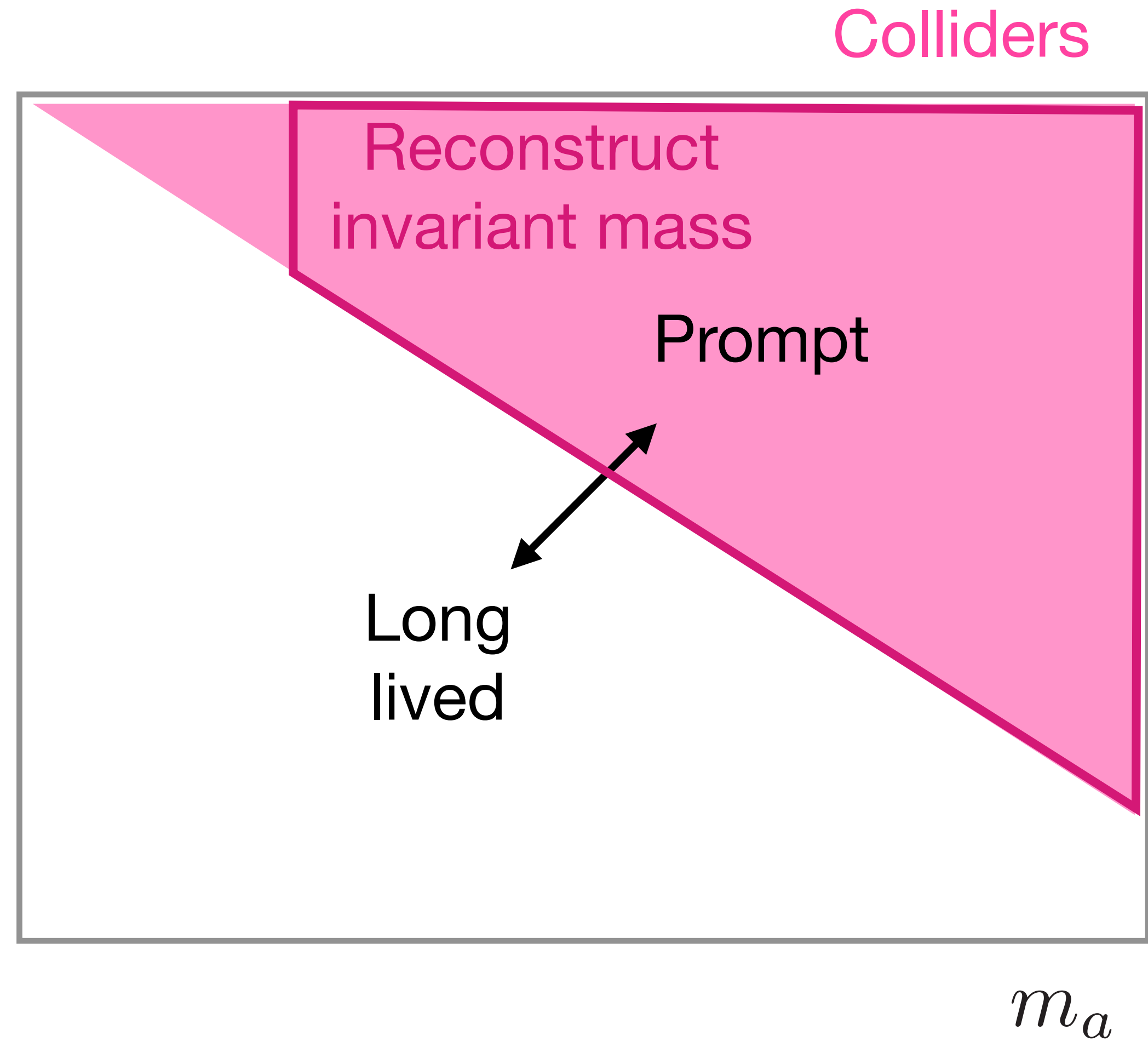


[ATLAS (2312.01942)]

# ALPs at colliders



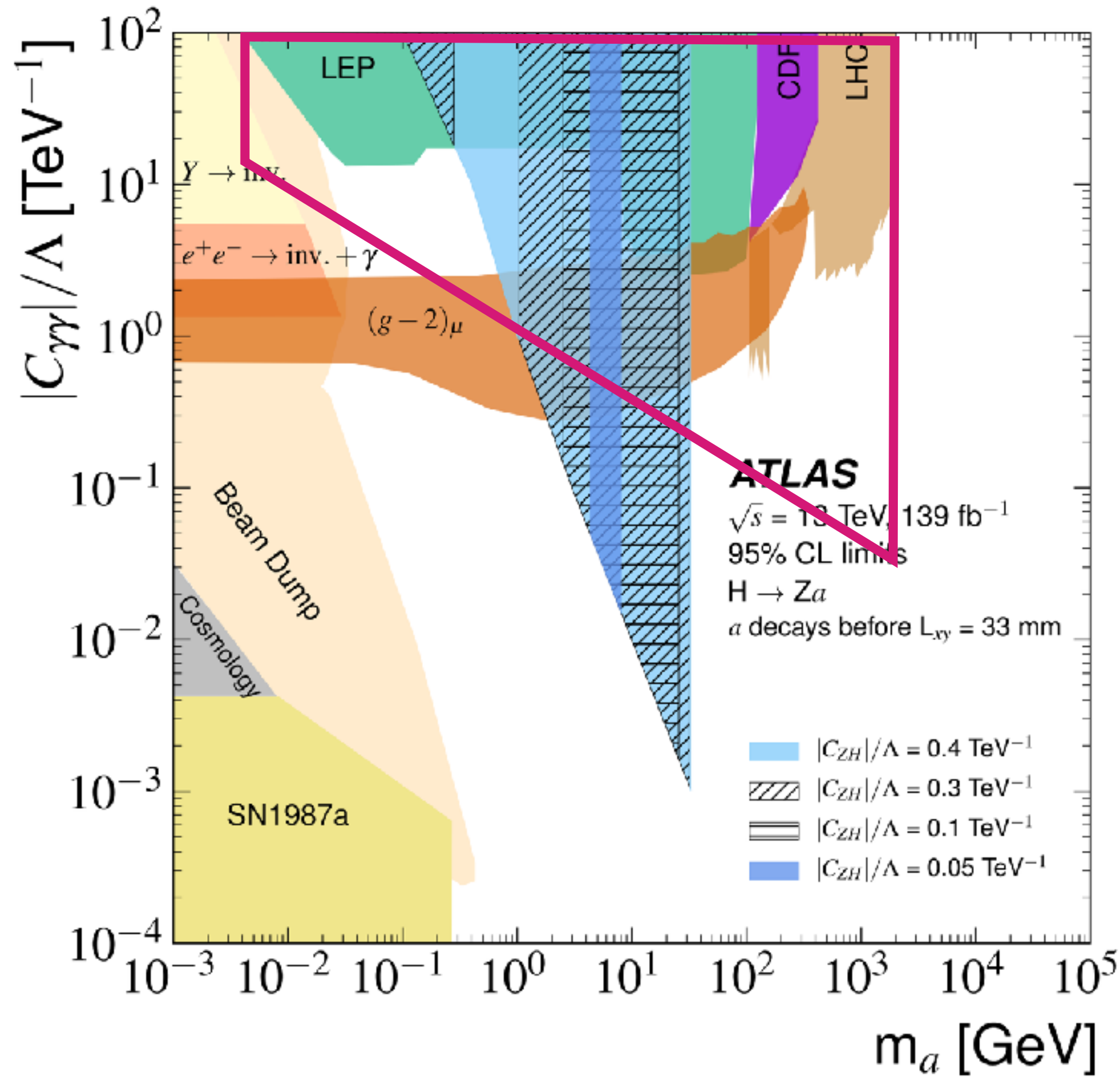
$g_a X X$



[ATLAS (2312.01942)]

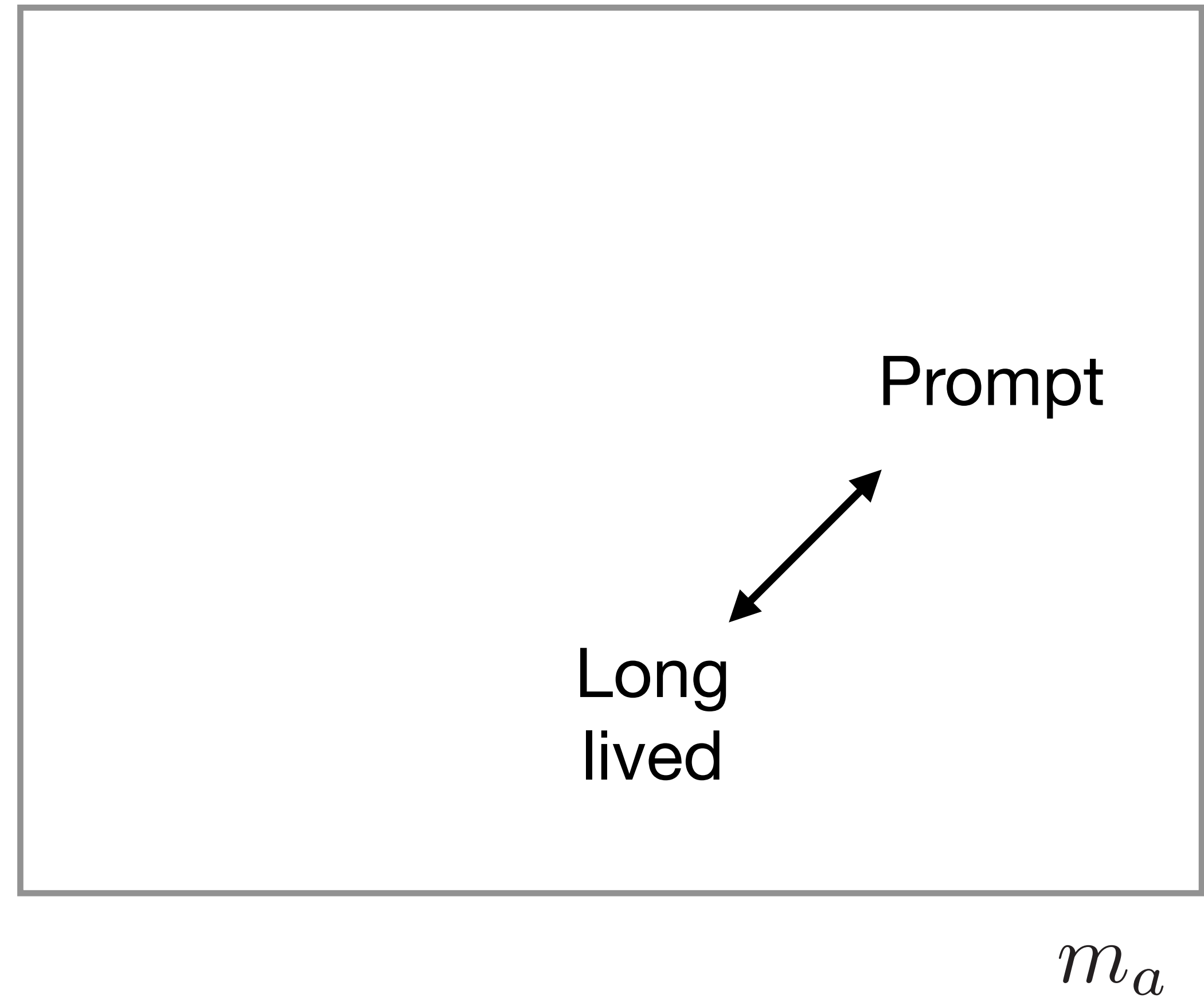


# ALPs at colliders



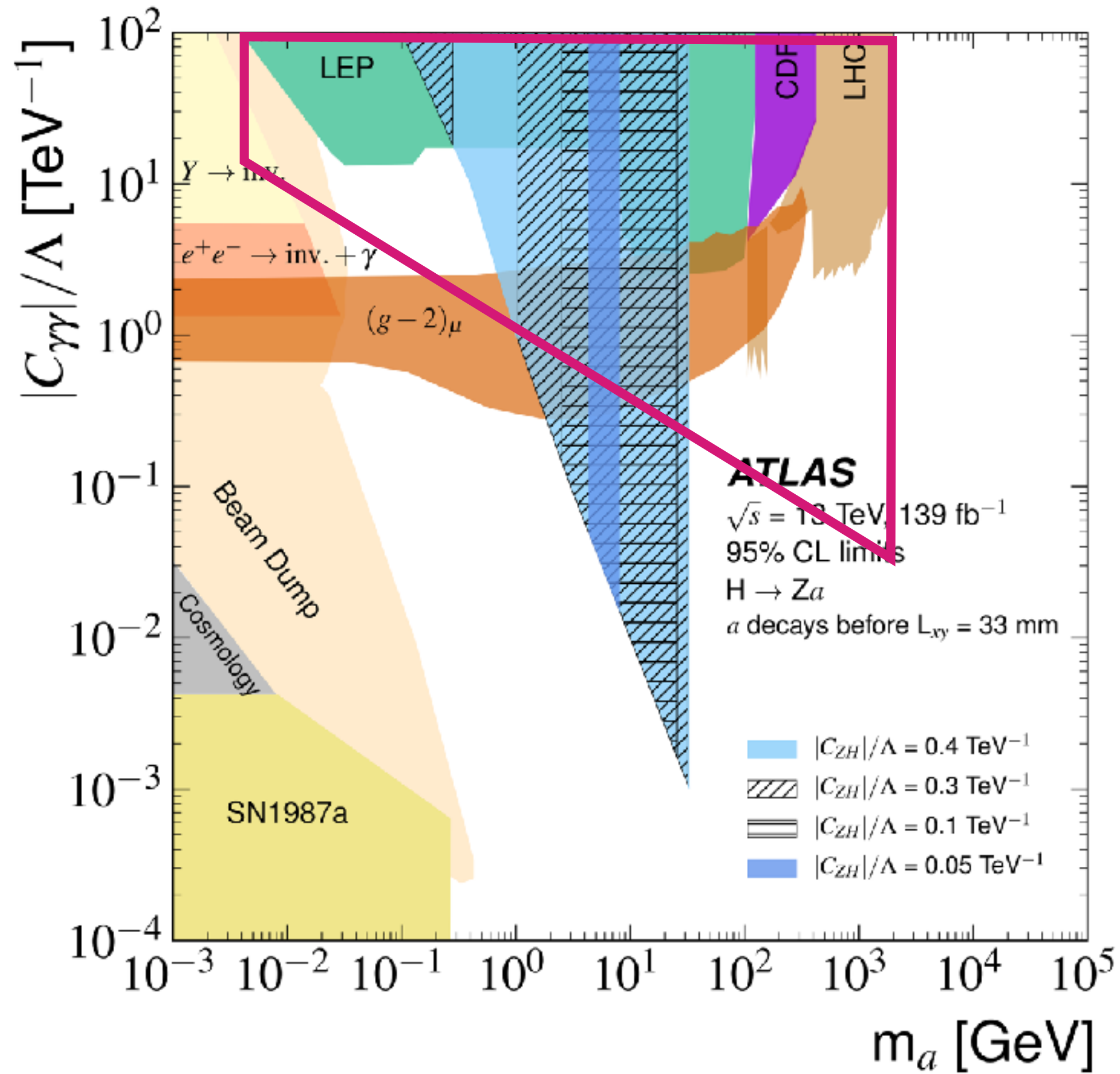
$g_a X X$

Beam dumps



[ATLAS (2312.01942)]

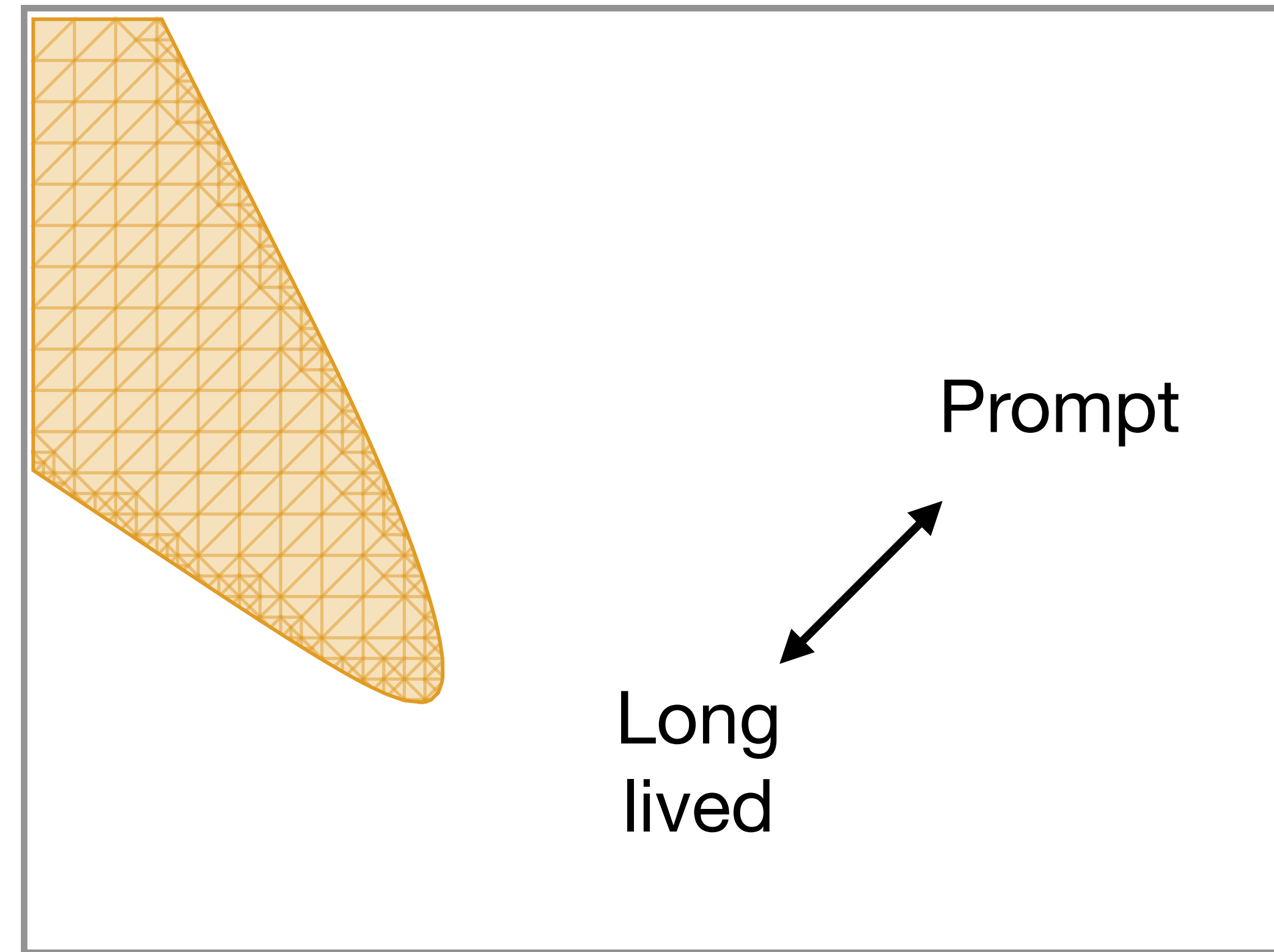
# ALPs at colliders



[ATLAS (2312.01942)]

Beam dumps

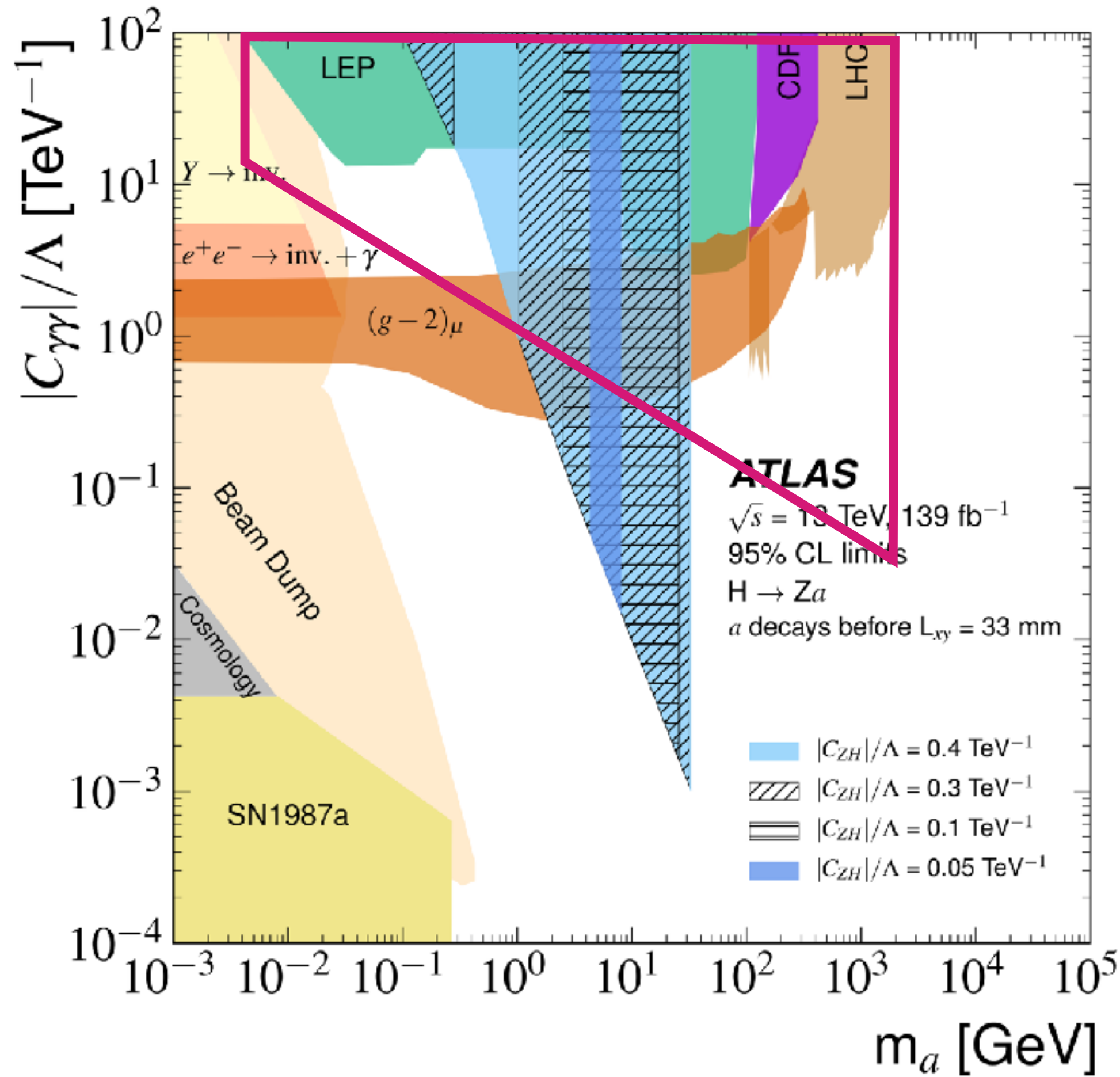
$g_a X X$



Decay length  $L_a$

$$\exp\left(-\frac{L_a}{L_{\text{det}}}\right) = \exp\left(-\frac{\beta c}{\Gamma_a L_{\text{det}}}\right)$$

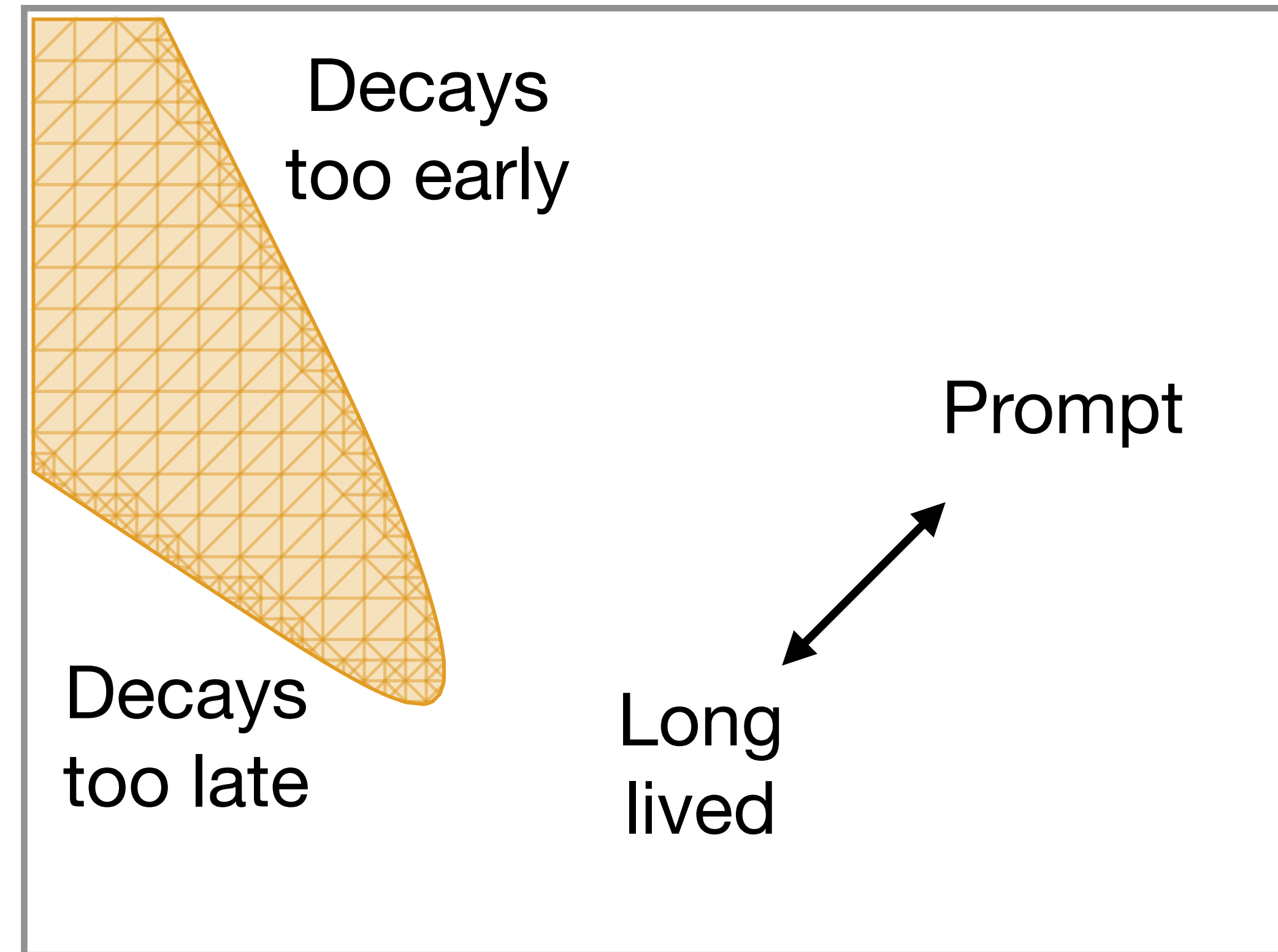
# ALPs at colliders



[ATLAS (2312.01942)]

Beam dumps

$g_a X X$



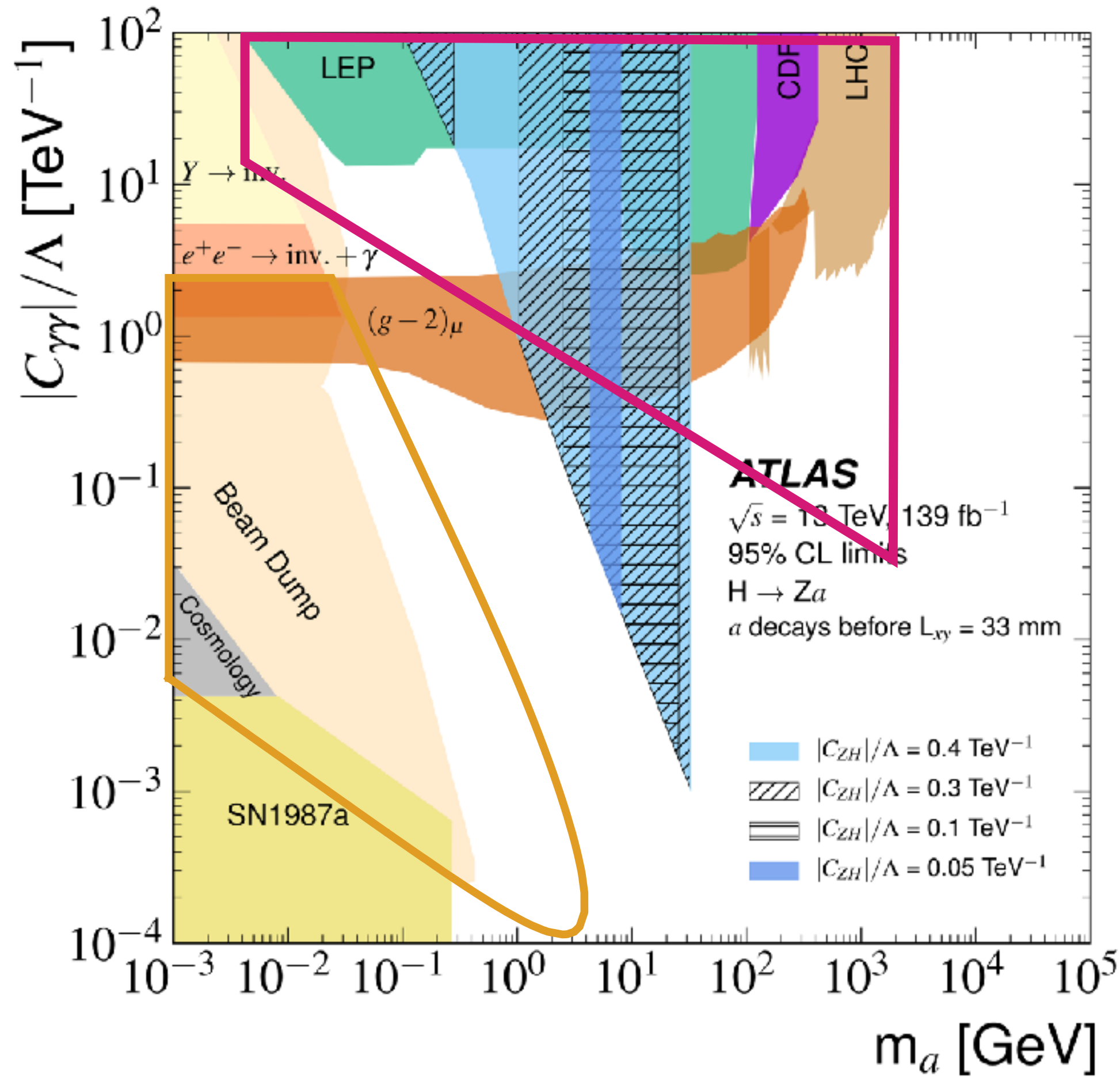
Decay length  $L_a$

$m_a$

$$\exp\left(-\frac{L_a}{L_{\text{det}}}\right) = \exp\left(-\frac{\beta c}{\Gamma_a L_{\text{det}}}\right)$$



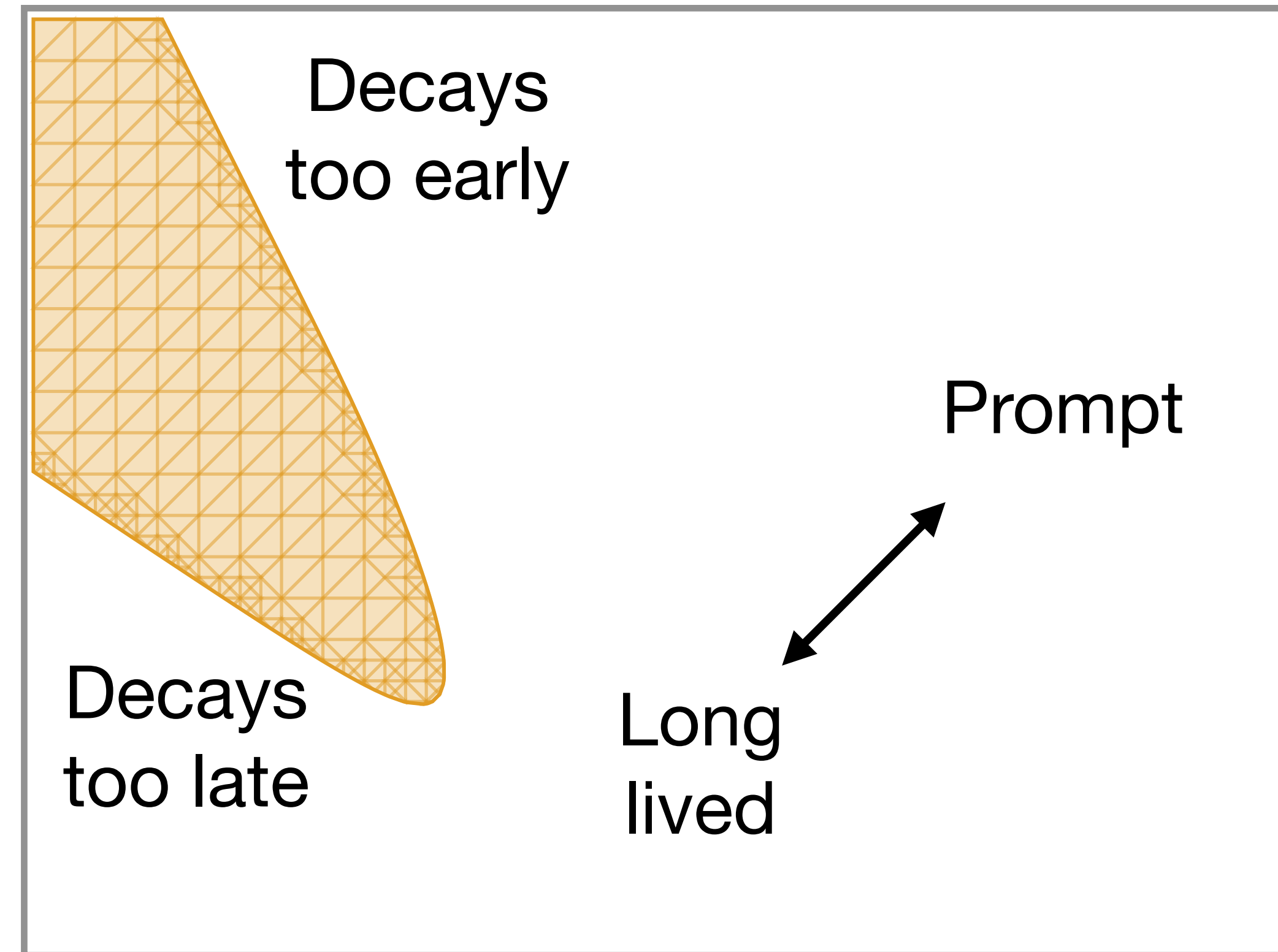
# ALPs at colliders



[ATLAS (2312.01942)]

Beam dumps

$g_a X X$



Decay length  $L_a$

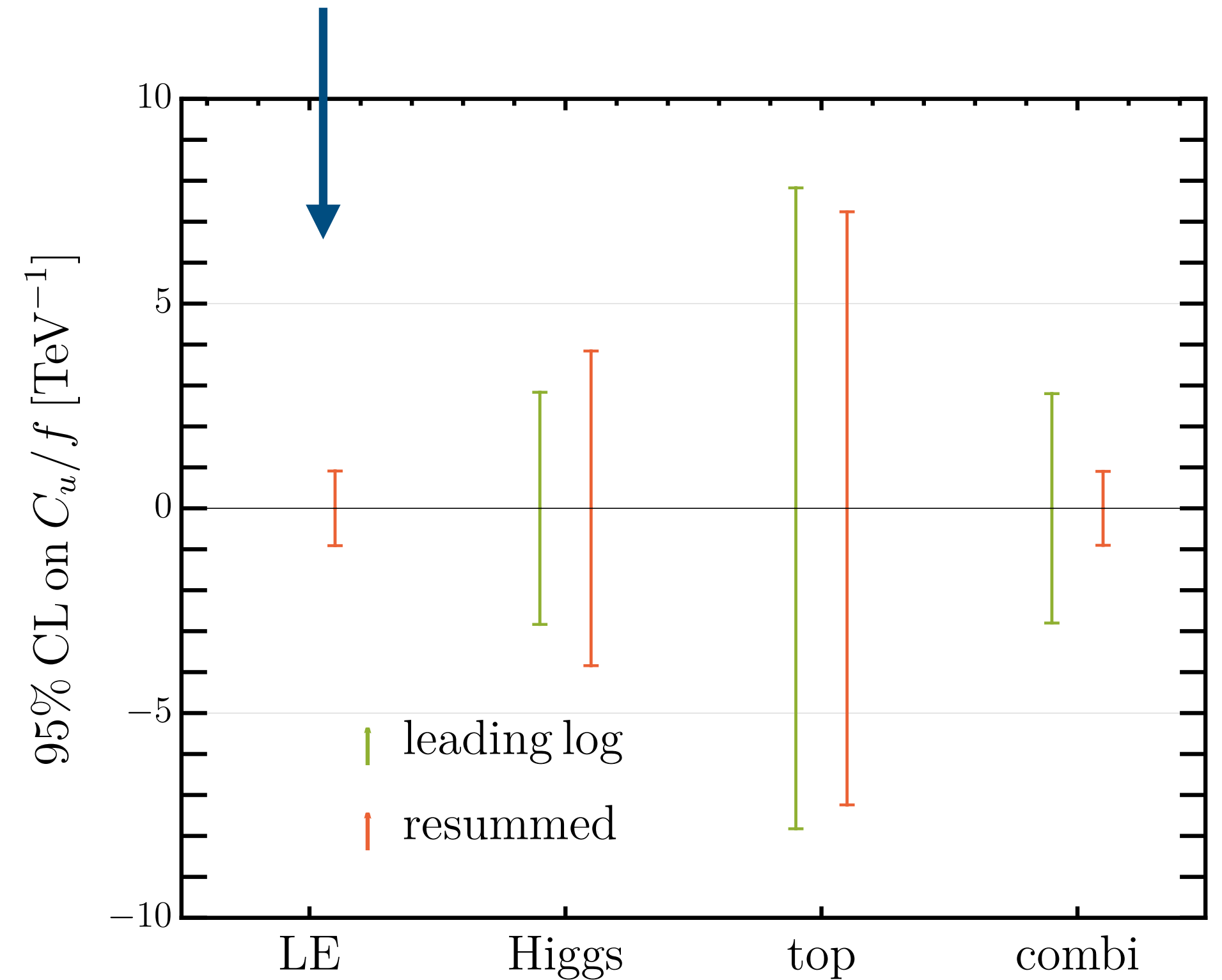
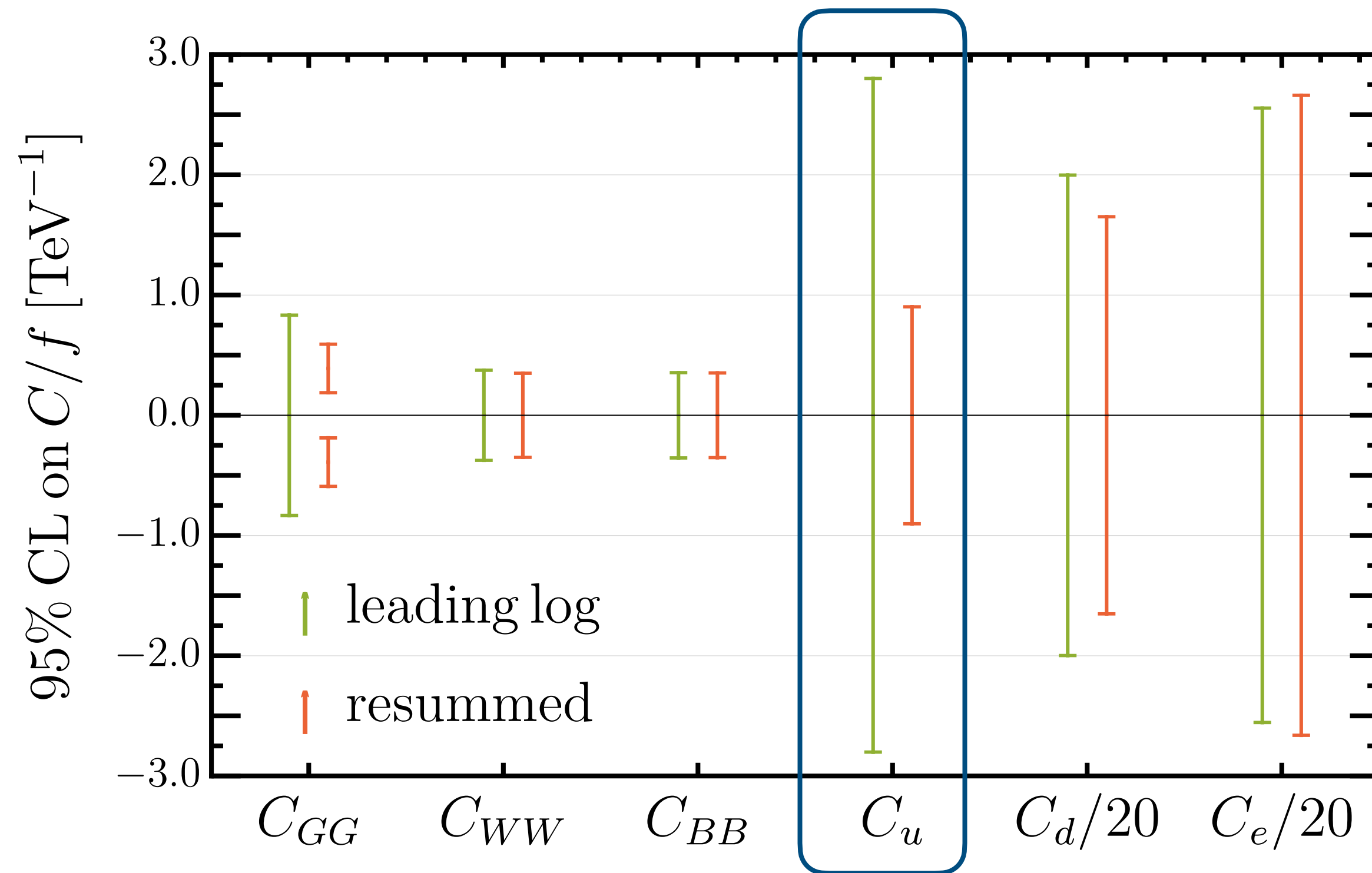
$m_a$

$$\exp\left(-\frac{L_a}{L_{\text{det}}}\right) = \exp\left(-\frac{\beta c}{\Gamma_a L_{\text{det}}}\right)$$

# LL approximation

Strongest bound from low energy

Absent at LL order



$$C_i^{\text{SMEFT}}(\mu) \approx \frac{S_i}{(4\pi f)^2} \log\left(\frac{\mu}{\Lambda}\right)$$

# LL approximation - Cu

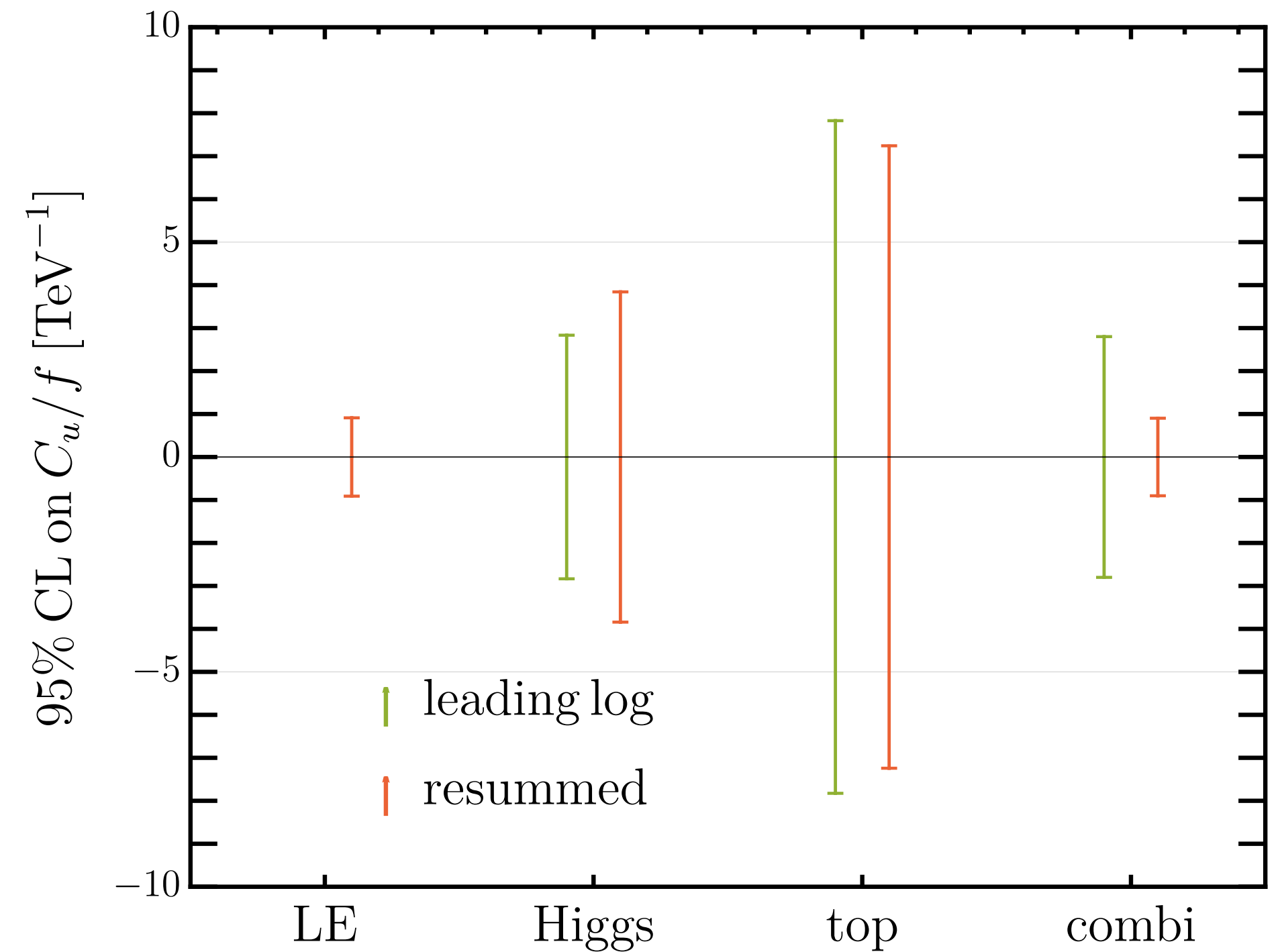
$$\frac{d}{d \ln \mu} C_{HD} = \left( \frac{3 \alpha_t}{\pi} + \frac{3 \lambda}{8 \pi^2} \right) C_{HD} + \frac{6 \alpha_t}{\pi} [C_{Hq}^{(1)}]_{33} - \frac{6 \alpha_t}{\pi} [C_{Hu}]_{33}$$

$$\frac{d}{d \ln \mu} [C_{Hq}^{(1)}]_{33} = -\pi \alpha_t C_u^2 + \dots$$

$$\frac{d}{d \ln \mu} [C_{Hu}]_{33} = 2\pi \alpha_t C_u^2 + \dots$$

$$C_{HD}(\mu) = -9 \alpha_t^2 C_u^2 \ln^2 \frac{\mu}{\Lambda}$$

CHD strongly constrained from measurement of W boson mass



# Reinterpreting the limits for UV axion models

Matching a UV model onto an EFT would lead to additional SMEFT operators. What is the influence of those?

[Arias-Aragón, Quevillon, Smith ([2211.04489](#))]

## KSVZ

[Kim-Shifman-Vainshtein-Zakharov ([1979](#), [1980](#))]

Vector-like quark + Scalar singlet

Boson-philic ALP

## DFSZ

[Dine-Fischler-Srednicki-Zhitnitsky ([1980](#), [1981](#))]

2HDM + Scalar singlet

Fermion-philic ALP

# KSVZ model

[Kim-Shifman-Vainshtein-Zakharov (1979, 1980)]

$$\mathcal{L}_{\text{KSVZ}} = \mathcal{L}_{\text{SM}} + |\partial_\mu S|^2 + \bar{Q} i \not{D} Q - y_Q (S \bar{Q}_L Q_R + \text{h.c.}) \\ + \mu_S |S|^2 - \frac{\lambda_S}{2} |S|^4 - \lambda_{SH} |S|^2 (H^\dagger H) + \mathcal{L}_{Qq}$$

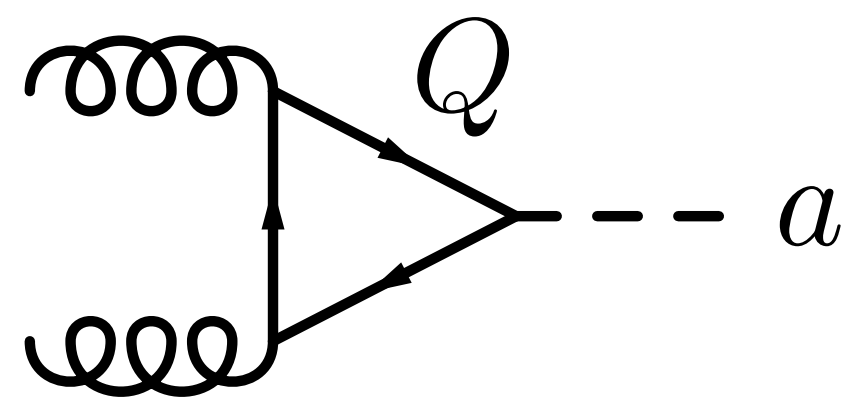
VLQ decay

$$\mathcal{L}_{Qq} = -y_q^p \bar{q}_L^p H Q_R + \text{h.c.}$$

$$Q_{L,R} \sim (\mathbf{3}, \mathbf{1})_{-1/3}$$

Vector-like quark  $Q$

Singlet scalar  $S$   $S(x) = \frac{1}{\sqrt{2}} [f + \rho(x)] e^{\frac{ia(x)}{f}}$ ,



Heavy particles  $Q$  and  $\rho$

$$M_Q = y_Q f / \sqrt{2}, \quad M_\rho^2 = \lambda_S f^2$$

Integrate out



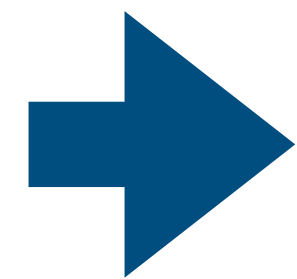
# KSVZ model - EFT

$$\mathcal{L}_{Qq} = -y_q^p \bar{q}_L^p H Q_R + \text{h.c.}$$

$$\mathcal{L}_{\text{EFT}} \supset +\frac{1}{2}(\partial_\mu a)^2 - \frac{1}{2}m_a^2 a^2 \left[ -\frac{\alpha_s}{8\pi} \frac{a}{f} G_{\mu\nu}^A \tilde{G}^{\mu\nu A} - \frac{1}{3} \frac{\alpha_Y}{4\pi} \frac{a}{f} B_{\mu\nu} \tilde{B}^{\mu\nu} \right]$$

$$- \frac{\lambda_{SH}^2 f^2}{2M_\rho^4} Q_{H\Box} + \frac{y_q^p y_q^{r*}}{2M_Q^2} \left( \mathbf{Y}_d^{rs} [Q_{dH}]^{ps} - \frac{1}{2} [Q_{Hq}^{(1)}]^{pr} - \frac{1}{2} [Q_{Hq}^{(3)}]^{pr} + \text{h.c.} \right)$$

At scale  $\Lambda$ : **ALP couplings** and **SMEFT contributions**



Limits on  $f$  can be obtained for fixed CGG and CBB from  
one-parameter ALP fit

Additional Limits on scalar parameters and portal

$$\lambda_S^2 f / \lambda_{SH} > 2.8 \text{ TeV}$$

$$|y_q / M_Q| < 0.1 \text{ TeV}^{-1}$$

# DFSZ model

Two-Higgs doublet model + scalar singlet

$$S(x) = \frac{1}{\sqrt{2}} [f + \rho(x)] e^{\frac{ia(x)}{f}},$$

Two options for relation to SM Yukawas

$$\begin{aligned} \mathcal{L}_{\text{DFSZ}} \supset & |D_\mu H_1|^2 + |D_\mu H_2|^2 + |\partial_\mu S|^2 - (\bar{q} \tilde{H}_1 \mathbf{\Gamma}_u u_R + \bar{q} H_2 \mathbf{\Gamma}_d d_R + \boxed{\bar{\ell} H_i \mathbf{\Gamma}_e e_R} + \text{h.c.}) \\ & - m_1^2 |H_1|^2 - m_2^2 |H_2|^2 - \frac{\lambda_1}{2} |H_1|^4 - \frac{\lambda_2}{2} |H_2|^4 - \lambda_3 |H_1|^2 |H_2|^2 - \lambda_4 |H_1^\dagger H_2|^2 \\ & + \mu_S |S|^2 - \frac{\lambda_S}{2} |S|^4 - \lambda_{SH_1} |S|^2 |H_1|^2 - \lambda_{SH_2} |S|^2 |H_2|^2 - \lambda_{SH_{12}} [(H_1^\dagger H_2) S^2 + \text{h.c.}] \end{aligned}$$

Heavy particles  $\Phi$  and  $\rho$

# DFSZ model - EFT

$$|C_u|/f < 1/\text{TeV}$$

$$C_u = -2s_\alpha^2$$

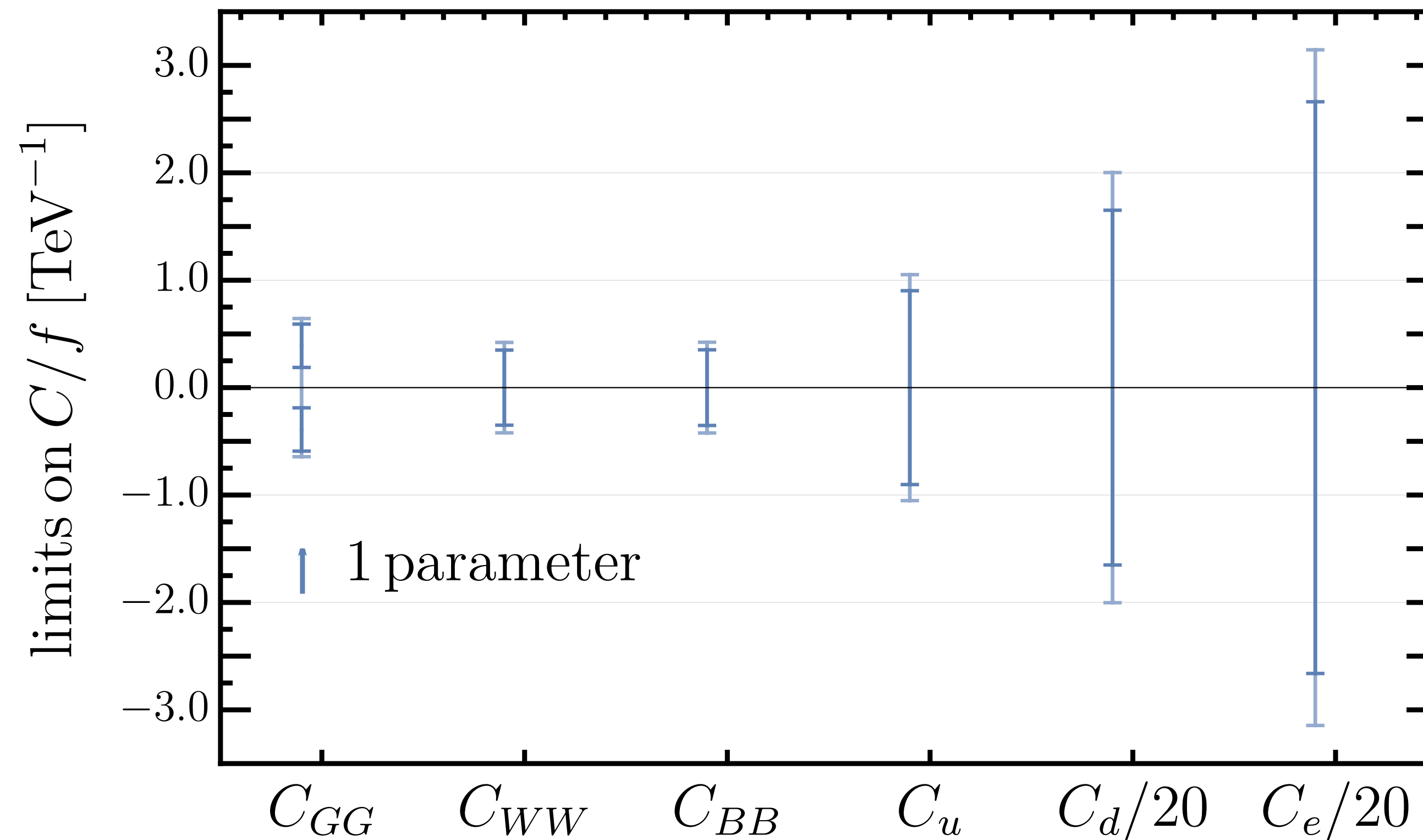
$$C_d = -2c_\alpha^2$$

**DFSZ I**  $C_e = -2s_\alpha^2$

**DFSZ II**  $C_e = -2c_\alpha^2$

Mixing angle  $\alpha$

$$\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = R(\alpha) \begin{pmatrix} H \\ \Phi \end{pmatrix}$$



# DFSZ model - EFT

Mixing angle  $\alpha$

$$|C_u|/f < 1/\text{TeV}$$

$$C_u = -2s_\alpha^2$$

$$C_d = -2c_\alpha^2$$

DFSZ I

$$C_e = -2s_\alpha^2$$

DFSZ II

$$C_e = -2c_\alpha^2$$

$$\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = R(\alpha) \begin{pmatrix} H \\ \Phi \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}_{\text{EFT}} \supset & -\frac{C_{\psi H}}{M_\Phi^2} (t_\alpha [\mathbf{Y}_u]^{pr} [Q_{uH}]^{pr} - t_\alpha^{-1} [\mathbf{Y}_d]^{pr} [Q_{dH}]^{pr} - \eta_\alpha [\mathbf{Y}_e]^{pr} [Q_{eH}]^{pr} + \text{h.c.}) \\ & -\frac{[\mathbf{Y}_u^*]^{sr} [\mathbf{Y}_u]^{pt} t_\alpha^2}{M_\Phi^2} \left( \frac{1}{6} [Q_{qu}^{(1)}]^{prst} + [Q_{qu}^{(8)}]^{prst} \right) -\frac{[\mathbf{Y}_d^*]^{sr} [\mathbf{Y}_d]^{pt} t_\alpha^{-2}}{M_\Phi^2} \left( \frac{1}{6} [Q_{qd}^{(1)}]^{prst} + [Q_{qd}^{(8)}]^{prst} \right) \\ & -\frac{[\mathbf{Y}_e^*]^{sr} [\mathbf{Y}_e]^{pt} \eta_\alpha^2}{2M_\Phi^2} [Q_{le}]^{prst} -\frac{1}{M_\Phi^2} \left( [\mathbf{Y}_u]^{pr} [\mathbf{Y}_d]^{st} [Q_{quqd}^{(1)}]^{prst} - [\mathbf{Y}_u]^{st} [\mathbf{Y}_e]^{pr} t_\alpha \eta_\alpha [Q_{lequ}^{(1)}]^{prst} \right. \\ & \left. - [\mathbf{Y}_d^*]^{st} [\mathbf{Y}_e]^{pr} t_\alpha^{-1} \eta_\alpha [Q_{ledq}]^{prst} + \text{h.c.} \right) + \frac{C_H}{M_\Phi^2} Q_H - \frac{\lambda_{SH}^2 f^2}{2M_\rho^4} Q_{H\Box}, \end{aligned}$$

Yukawa  
suppressed

# DFSZ model - EFT

Mixing angle  $\alpha$

$$|C_u|/f < 1/\text{TeV}$$

$$C_u = -2s_\alpha^2$$

$$C_d = -2c_\alpha^2$$

DFSZ I

$$C_e = -2s_\alpha^2$$

DFSZ II

$$C_e = -2c_\alpha^2$$

$$\begin{pmatrix} H_1 \\ H_2 \end{pmatrix} = R(\alpha) \begin{pmatrix} H \\ \Phi \end{pmatrix}$$

$$\begin{aligned} \mathcal{L}_{\text{EFT}} \supset & -\frac{C_{\psi H}}{M_\Phi^2} (t_\alpha [\mathbf{Y}_u]^{pr} [Q_{uH}]^{pr} - t_\alpha^{-1} [\mathbf{Y}_d]^{pr} [Q_{dH}]^{pr} - \eta_\alpha [\mathbf{Y}_e]^{pr} [Q_{eH}]^{pr} + \text{h.c.}) \\ & -\frac{[\mathbf{Y}_u^*]^{sr} [\mathbf{Y}_u]^{pt} t_\alpha^2}{M_\Phi^2} \left( \frac{1}{6} [Q_{qu}^{(1)}]^{prst} + [Q_{qu}^{(8)}]^{prst} \right) -\frac{[\mathbf{Y}_d^*]^{sr} [\mathbf{Y}_d]^{pt} t_\alpha^{-2}}{M_\Phi^2} \left( \frac{1}{6} [Q_{qd}^{(1)}]^{prst} + [Q_{qd}^{(8)}]^{prst} \right) \\ & -\frac{[\mathbf{Y}_e^*]^{sr} [\mathbf{Y}_e]^{pt} \eta_\alpha^2}{2M_\Phi^2} [Q_{le}]^{prst} -\frac{1}{M_\Phi^2} \left( [\mathbf{Y}_u]^{pr} [\mathbf{Y}_d]^{st} [Q_{quqd}^{(1)}]^{prst} - [\mathbf{Y}_u]^{st} [\mathbf{Y}_e]^{pr} t_\alpha \eta_\alpha [Q_{lequ}^{(1)}]^{prst} \right. \\ & \left. - [\mathbf{Y}_d^*]^{st} [\mathbf{Y}_e]^{pr} t_\alpha^{-1} \eta_\alpha [Q_{ledq}]^{prst} + \text{h.c.} \right) + \frac{C_H}{M_\Phi^2} Q_H - \frac{\lambda_{SH}^2 f^2}{2M_\rho^4} Q_{H\Box}, \end{aligned}$$

Yukawa  
suppressed

ALP couplings and SMEFT operators depend on same parameters  $\alpha$  and  $f$

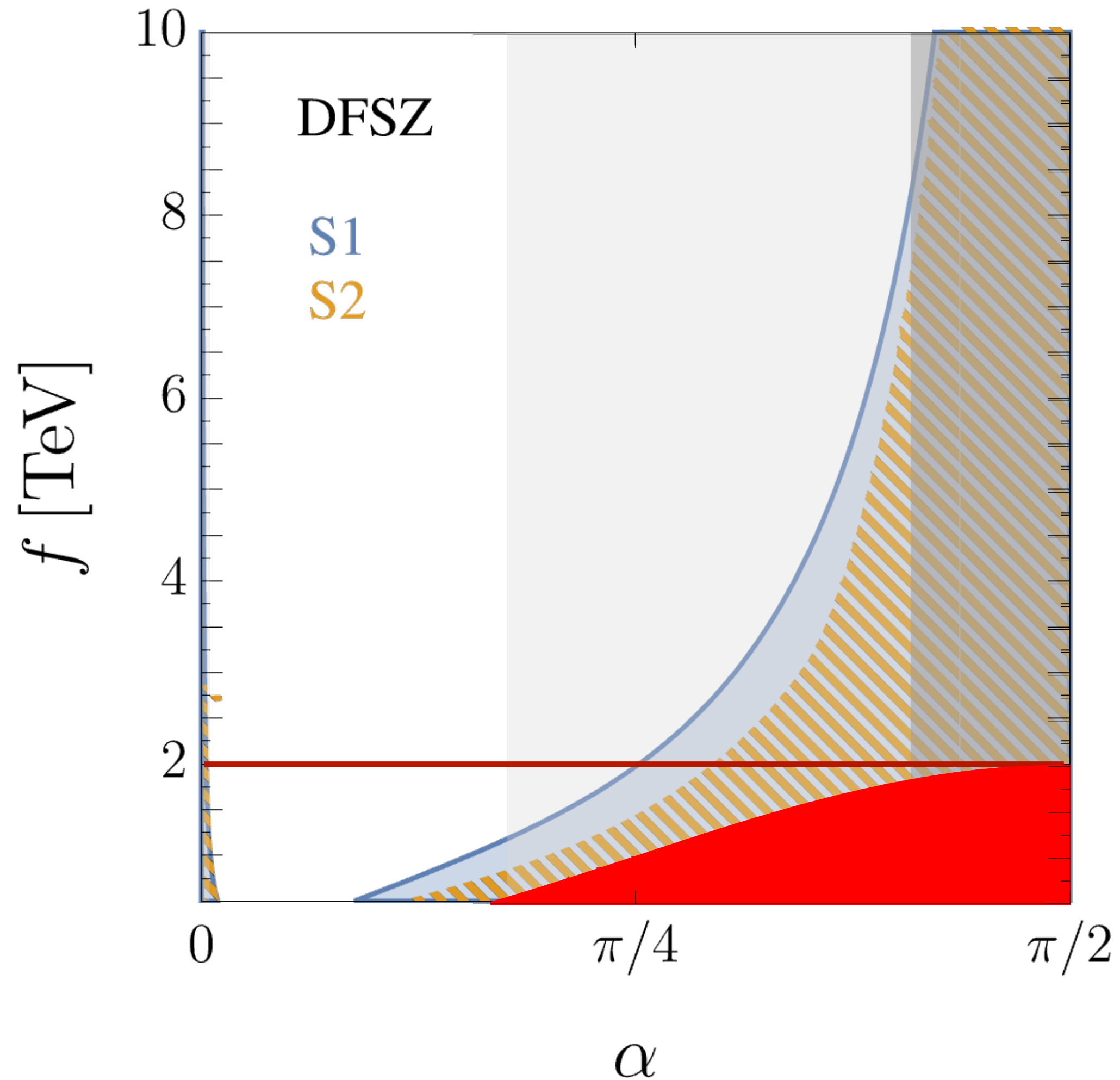


# DFSZ models - results

$$C_u = -2s_\alpha^2$$

$$|C_u|/f < 1/\text{TeV}$$

$$\Gamma_u^{33} \gtrsim 1 \quad \Gamma_u^{33} \gtrsim 3$$



S1: negligible scalar parameters  
 S2: profiling of scalar parameters

Limits on  $f$  dominated by  
 SMEFT contributions