



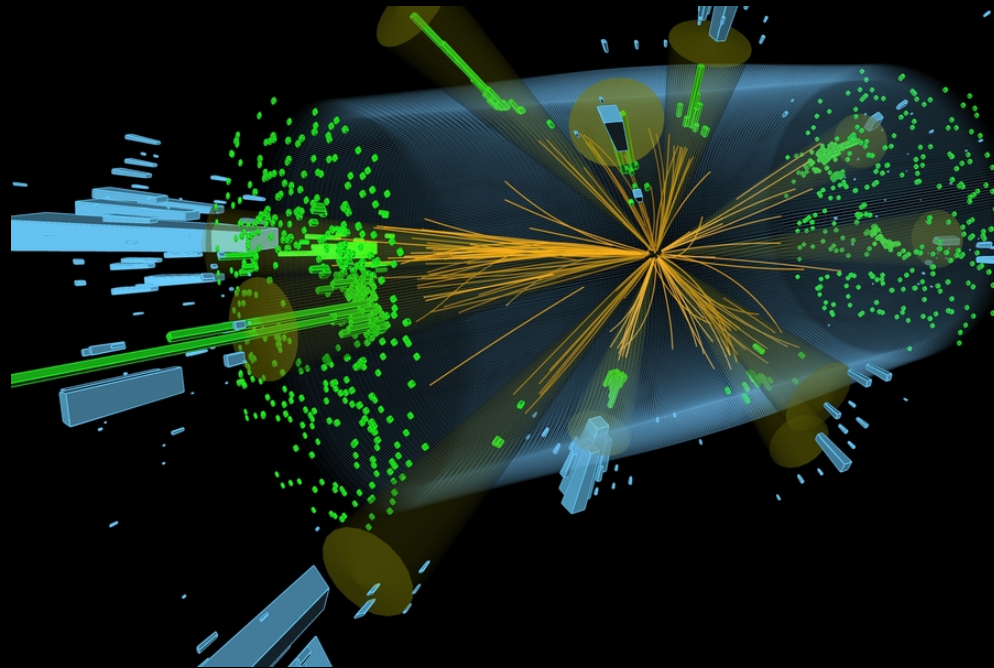
Theoretical Prospects for Jet Substructure

ALICE Meeting at Wright Lab - Yale University

Bianka Meçaj - Yale University

Jets are emergent phenomena in QCD

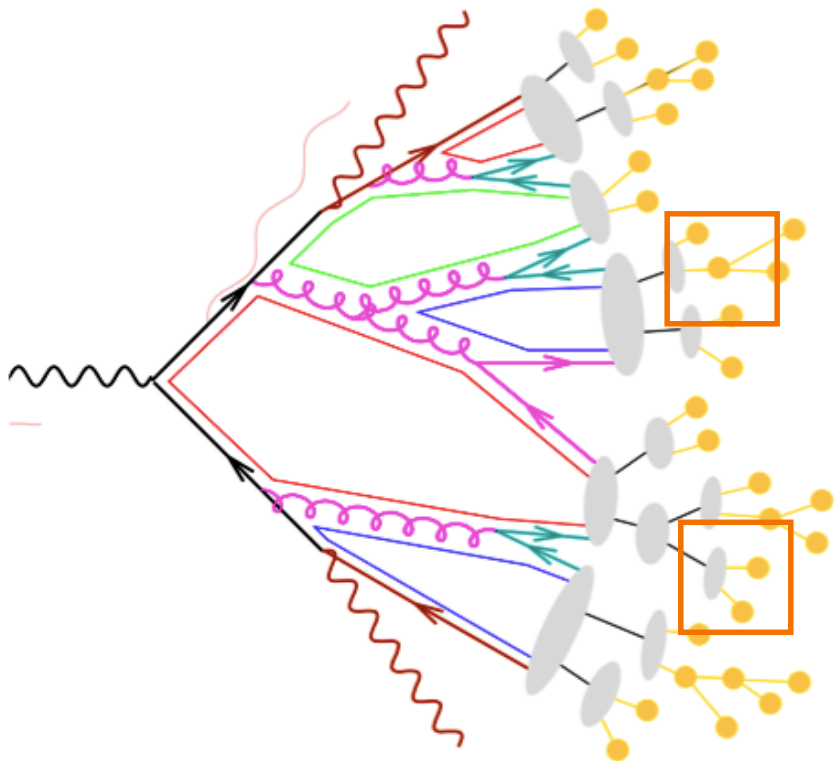
Almost every LHC event contains jets



Jets are reconstructed using jet algorithms (anti- k_T)

Cacciari, Salam 2006
Salam, Soyez 2007

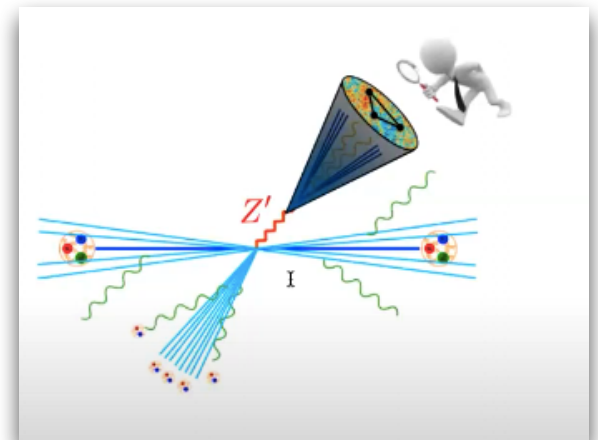
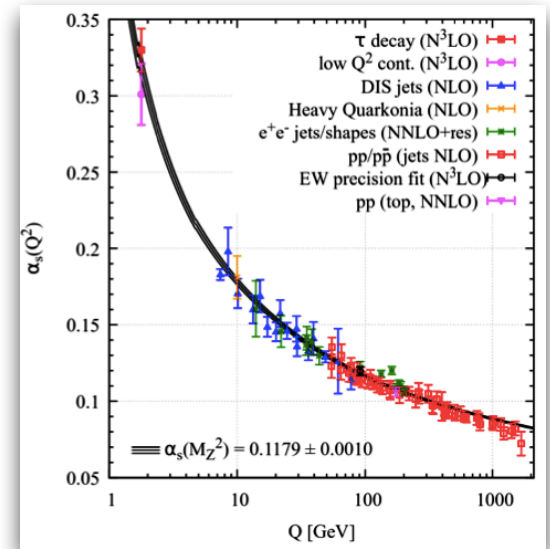
How can we learn the most about underlying physics from the reconstructed jets?



QCD precision studies

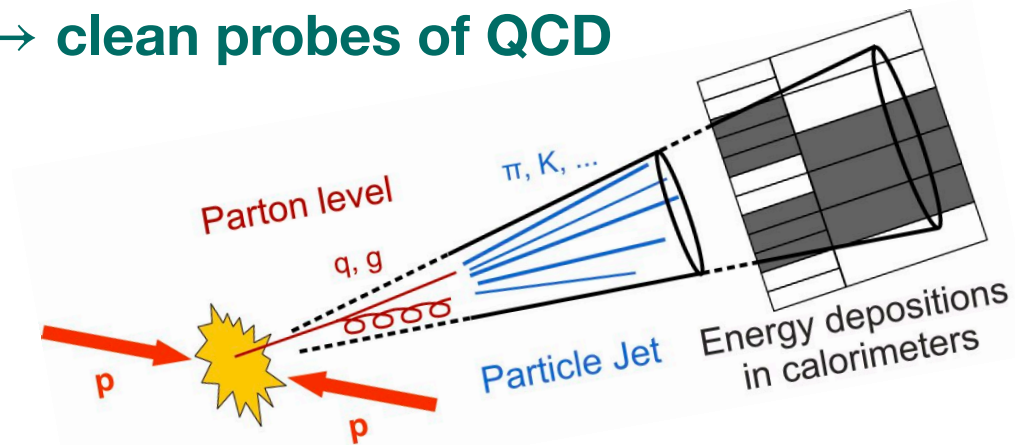


New Physics searches



Jet substructure

- ◆ Study the internal structure of a jet → theoretical analysis and measurements of kinematic properties
- ◆ Underlying Physics and intrinsic properties are imprinted in jet substructure → clean probes of QCD



Robust Jet Substructure Observables!

Event Shapes

- **Weighted cross-sections: distribution of outgoing particles/charges**

$$\sigma_{\omega}(q) = \sum_X (2\pi)^4 \delta^{(4)}(q - k_X) \omega(X) |\langle X | O(0) | 0 \rangle|^2$$



Local operator that creates the state $|X\rangle$ with momentum k_X

Event Shapes

- **Weighted cross-sections: distribution of outgoing particles/charges**

$$\sigma_{\omega}(q) = \sum_X (2\pi)^4 \delta^{(4)}(q - k_X) \omega(X) |\langle X | O(0) | 0 \rangle|^2$$

Weight factor depends on the measurement

Local operator that creates the state $|X\rangle$ with momentum k_X

Event Shapes

- **Weighted cross-sections: distribution of outgoing particles/charges**

$$\sigma_\omega(q) = \sum_X (2\pi)^4 \delta^{(4)}(q - k_X) E_{\{X\}} |\langle X | O(0) | 0 \rangle|^2$$

Weight factor depends on the measurement

For $\omega(X)$ weighted energy this expression gives the distribution of energy inside the jet.

Local operator that creates the state $|X\rangle$ with momentum k_X

$E_{\{X\}}$ are different permutations for all $\{X\}$ final states

Event Shapes

- **Weighted cross-sections: distribution of outgoing particles/charges**

$$\sigma_\omega(q) = \sum_X (2\pi)^4 \delta^{(4)}(q - k_X) E_{\{X\}} |\langle X | O(0) | 0 \rangle|^2$$

Weight factor depends on the measurement

Local operator that creates the state $|X\rangle$

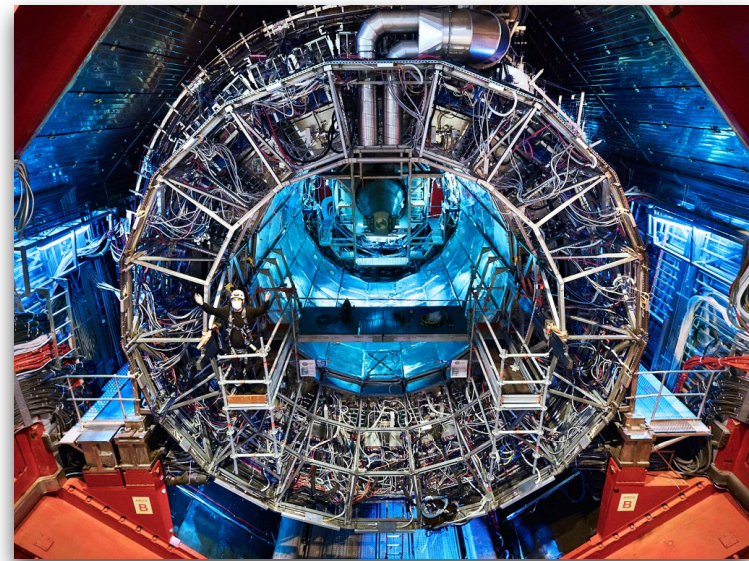
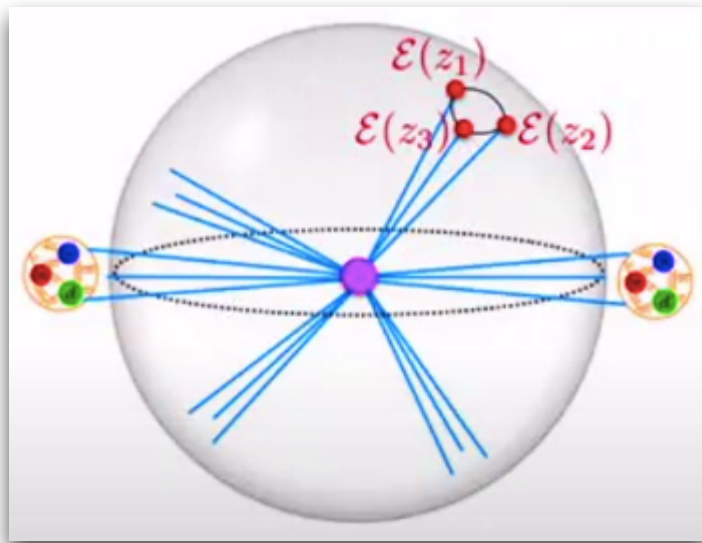
$E_{\{X\}}$ are different permutations for all $\{X\}$ final states

For $\omega(X)$ weighted energy this expression gives the distribution of energy inside the jet.

- **Reformulate such event shape distributions with correlation functions!**

Energy Correlators

Energy Correlators describe the calorimeter cells at infinity on the celestial sphere



$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) \dots \varepsilon(\vec{n}_n) | \Psi \rangle$$

Energy Flow Inside the Jet

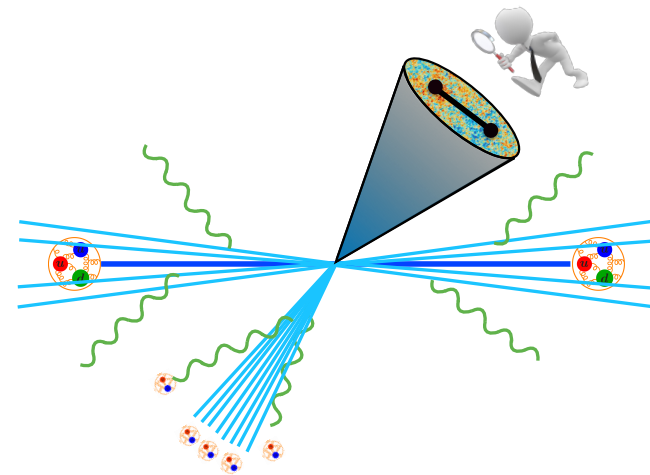
- Distribution of energy inside the jet is described by correlation functions of the energy flow operators \Rightarrow Energy Correlators.

[Basham, Brown, Ellis, Love]

$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) \dots \varepsilon(\vec{n}_n) | \Psi \rangle$$

$$\mathcal{E}(\vec{n}) = \lim_{r \rightarrow \infty} \int_0^{\infty} dt r^2 n^i T_{0i}(t, r\vec{n})$$

Defined from first principles in QFT!

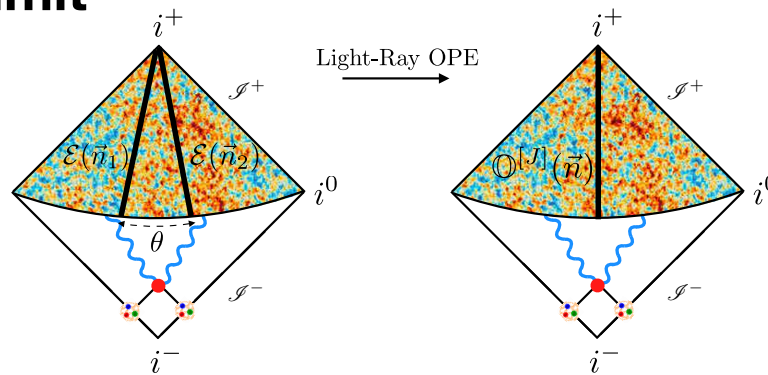


Any physics dynamics will be imprinted in the energy distributions inside the jet.

Scaling Behavior

Energy correlators inside high energy jets at the LHC

⇒ small angle limit



Corresponds to $\omega(X) = E_i E_j$

$$\sigma_\omega(q) = \sum_X (2\pi)^4 \delta^{(4)}(q - k_X) \omega(X) |\langle X | O(0) | 0 \rangle|^2$$

- Energy correlators admit an OPE:

$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) | \Psi \rangle \sim \sum \theta^{r_i} \mathcal{O}_i(\vec{n}_1)$$

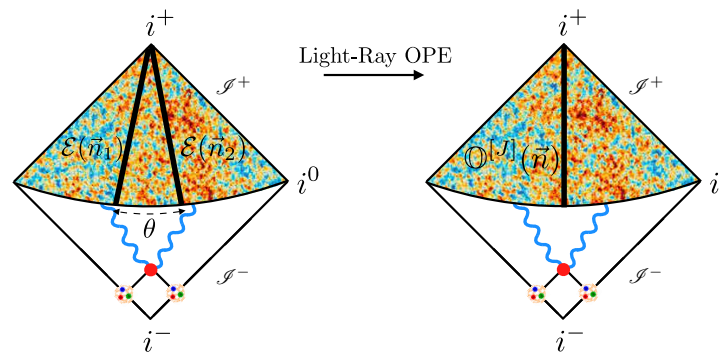
[Hofman, Maldacena]

[Chang, Kologlu, Kravchuk, Simmons Duffin, Zhiboedov]

Scaling Behavior

Energy correlators inside high energy jets at the LHC

⇒ small angle limit



- Energy correlators admit an OPE:

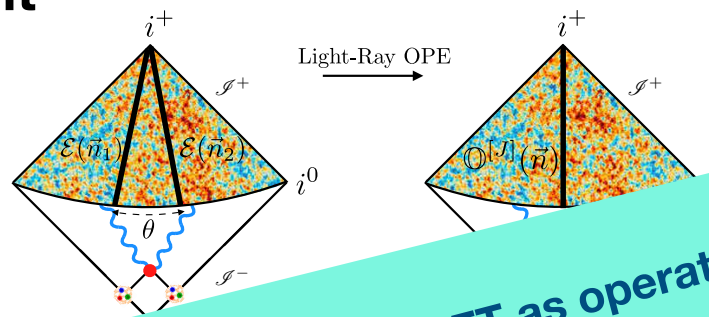
$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) | \Psi \rangle \sim \sum \theta^{\gamma_i} \mathcal{O}_i(\vec{n}_1)$$

⇒ Use LHC jets to test the leading QCD operators in this expansion

Scaling Behavior

Energy correlators inside high energy jets at the LHC

⇒ small angle limit



Universal scaling behavior in QFT as operators are brought together!

- Energy

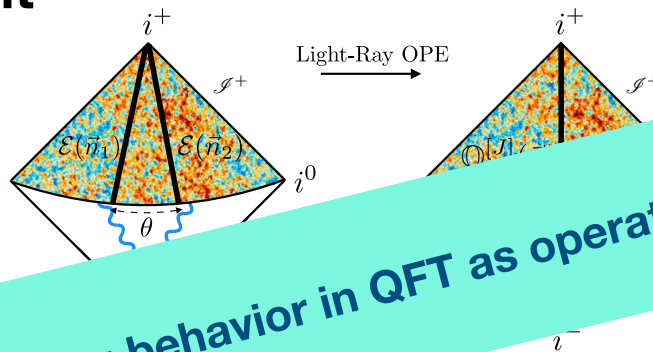
$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) | \Psi \rangle \sim \sum \theta^{r_i} \mathcal{O}_i(\vec{n}_1)$$

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Scaling Behavior

Energy correlators inside high energy jets at the LHC

⇒ small angle limit

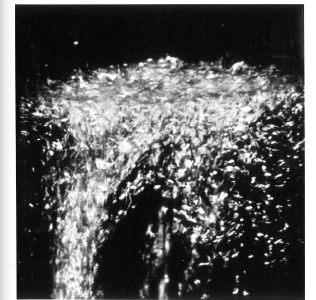
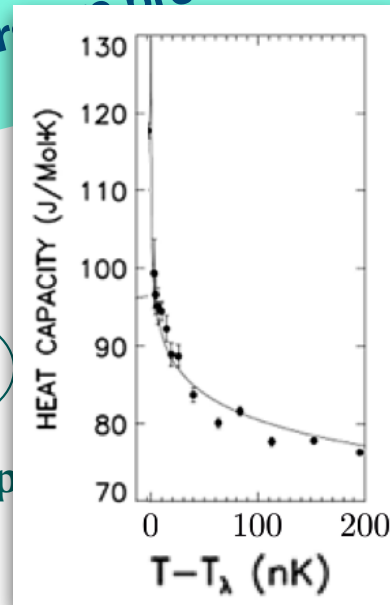


- Energy correlators

Universal scaling behavior in QFT as operator expansion brought together!

$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) | \Psi \rangle \sim \sum \theta^{r_i} \mathcal{O}_i(\vec{n}_1)$$

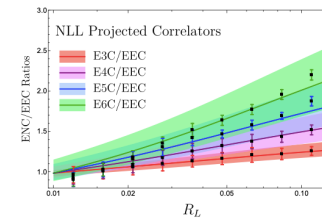
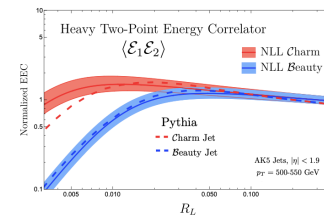
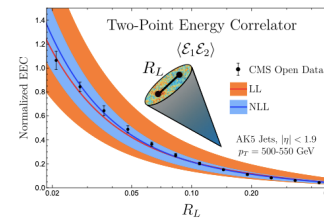
⇒ Use LHC jets to test the leading QCD operator expansion



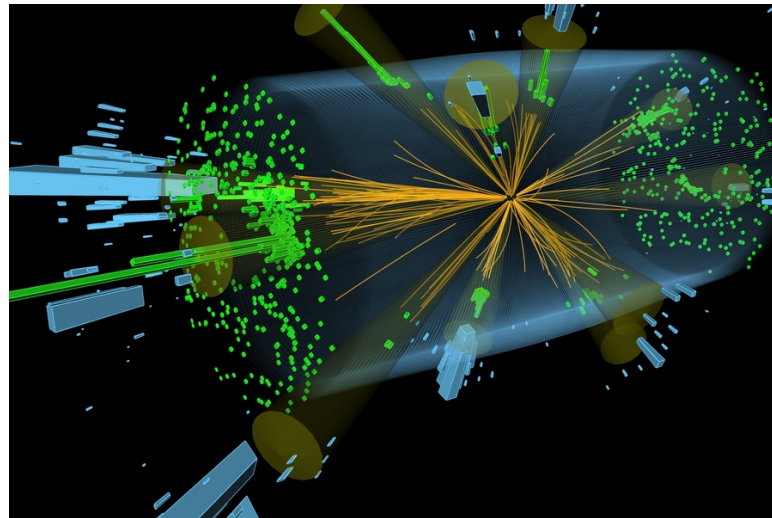
Energy correlators for jet substructure at LHC

Outline

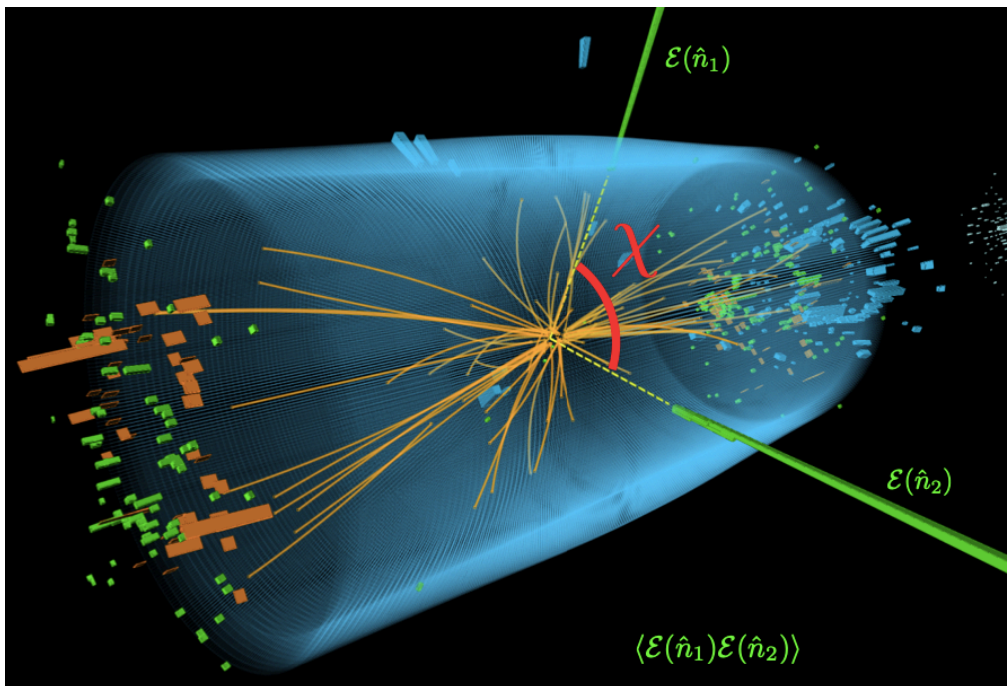
- Massless Energy Correlators
- Massive Energy Correlators
- Higher Point Correlators



Energy Correlators at the LHC (Light quarks)



Energy Correlator as an observable

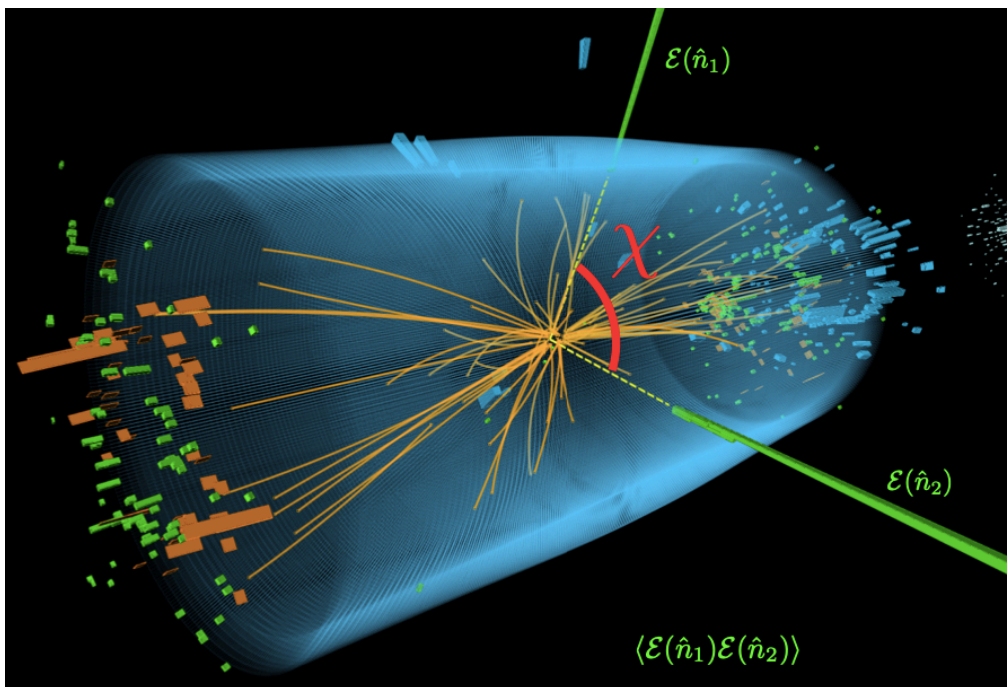


Energy weighted cross sections

$$\text{EEC} = \sum_{i,j} d\sigma \frac{2E_i E_j}{Q^2 \sigma_{\text{tot}}} \delta(\cos \theta_{ij} - \cos \chi)$$

Studied first in e^+e^- by [Basham, Brown, Ellis, Love]

Energy Correlator as an observable



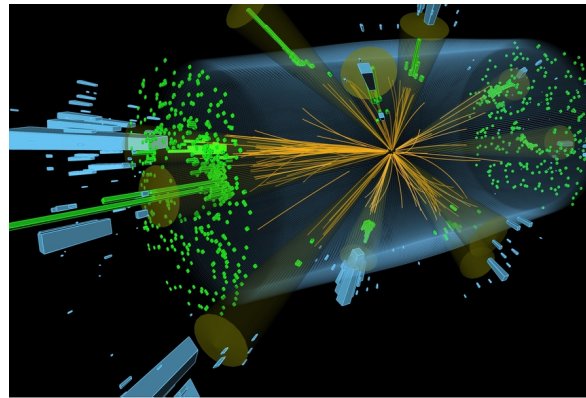
$$\text{EEC} = \sum_{i,j} d\sigma \frac{2E_i E_j}{Q^2 \sigma_{\text{tot}}} \delta(\cos \theta_{ij} - \cos \chi)$$

- Generally one can study EEC for any angle χ
- Most interesting phenomenological case: $\chi \rightarrow 0$ and $\chi \rightarrow \pi$
- Here we study $\chi \rightarrow 0$ case.

Energy Correlators at the LHC

Factorization Formula

[Lee, BM, Moutl]



Can calculate any higher point correlator at the LHC

$$\frac{d\Sigma}{dp_T d\eta dz} = \sum_i \mathcal{H}_i(p_T, \eta, \mu) \otimes \int_0^1 dx x^N \mathcal{F}_{ij}(z, x, p_T R, \mu) \mathcal{J}_j^{[N]}(z, x, \mu)$$

$$z = \frac{1 - \cos \theta_{ij}}{2}$$

$$x = \frac{2E_i}{Q}$$

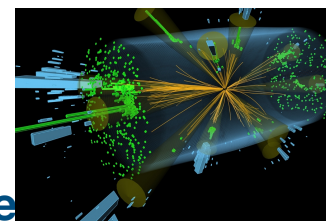
Hard function: includes pdfs

Matching coefficient, jet algorithm

Energy correlator jet function

Two-point energy correlator

The simplest jet substructure observable

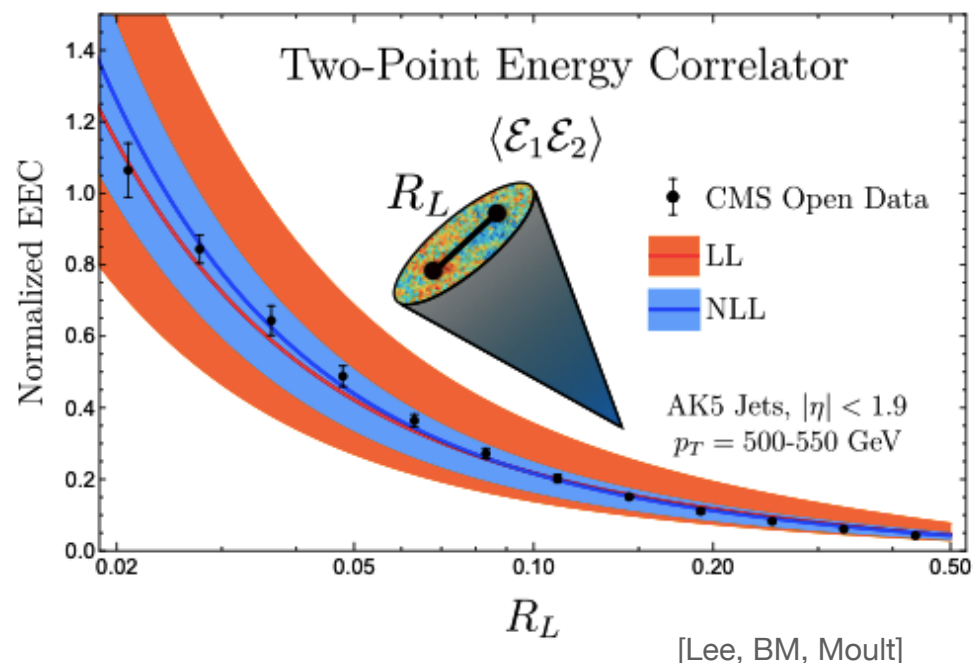


- The complicated LHC environment is described by a simple observable

- Probe the OPE structure of $\langle \varepsilon(\vec{n}_1)\varepsilon(\vec{n}_2) \rangle$

$$\langle \Psi | \varepsilon(\vec{n}_1)\varepsilon(\vec{n}_2) | \Psi \rangle \sim \sum \theta^i \mathcal{O}_i(\vec{n}_1)$$

- A jet substructure observable that can test quantum scaling behavior of operators.



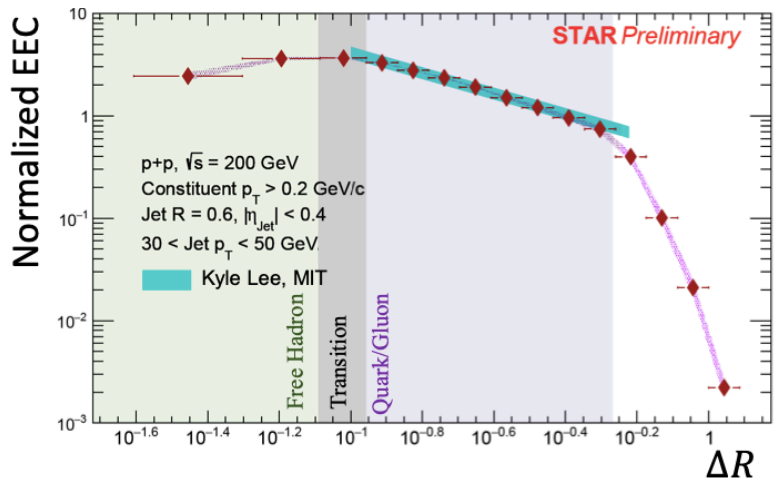
Experimental results



Talk by N.Sahoo and A.Tamis at
HARD PROBES-March 2023



- STAR collaboration $\sqrt{s} = 200\text{GeV}$



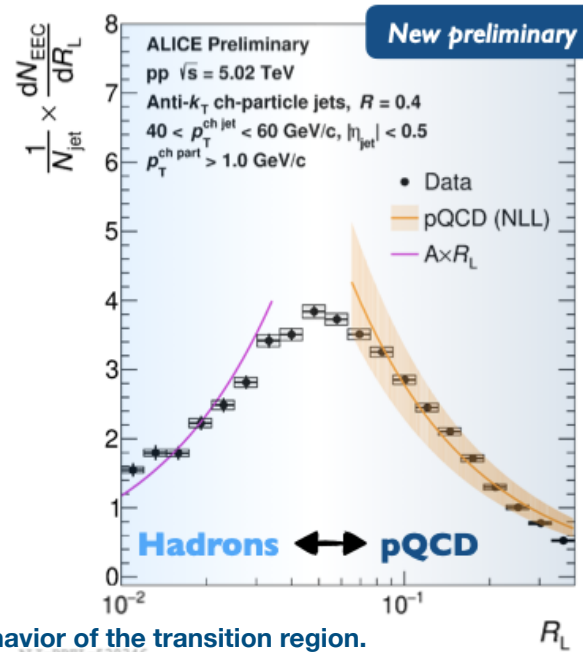
$$\text{Normalized EEC} = \frac{1}{\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{T, \text{jet}}^2}} \frac{d(\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{T, \text{jet}}^2})}{d(\Delta R)}$$

Universal behavior of the transition region.

Direct observation of the transition from free hadrons to quarks/gluons at a universal scaling!

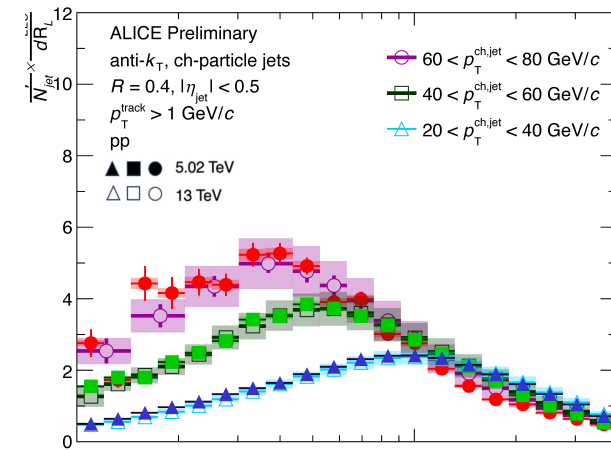


- ALICE collaboration $\sqrt{s} = 5\text{TeV}, 20\text{GeV}, 40\text{GeV}, 60\text{GeV}$



Talk by J.Mulligan and R.Cruz-Torres at HARD PROBES-March 2023

Preliminary results from Ananya Rai



PREL-557542

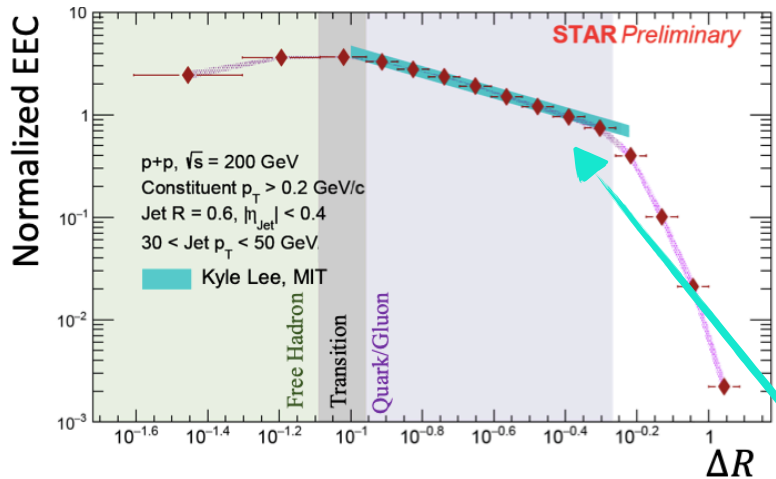


Experimental results



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$$\text{Normalized EEC} = \frac{1}{\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{T,i}^2 p_{T,j}^2}} \frac{d(\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{T,i}^2 p_{T,j}^2})}{d(\Delta R)}$$

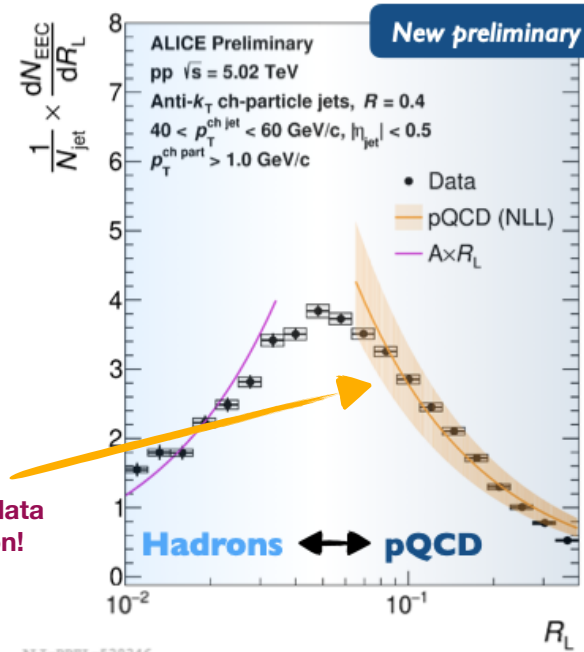
Direct observation of the transition from free hadrons to quarks/gluons at a universal scaling!

Excellent agreement of data with our NLL calculation!



Talk by J.Mulligan and R.Cruz-Torres
at HARD PROBES-March 2023

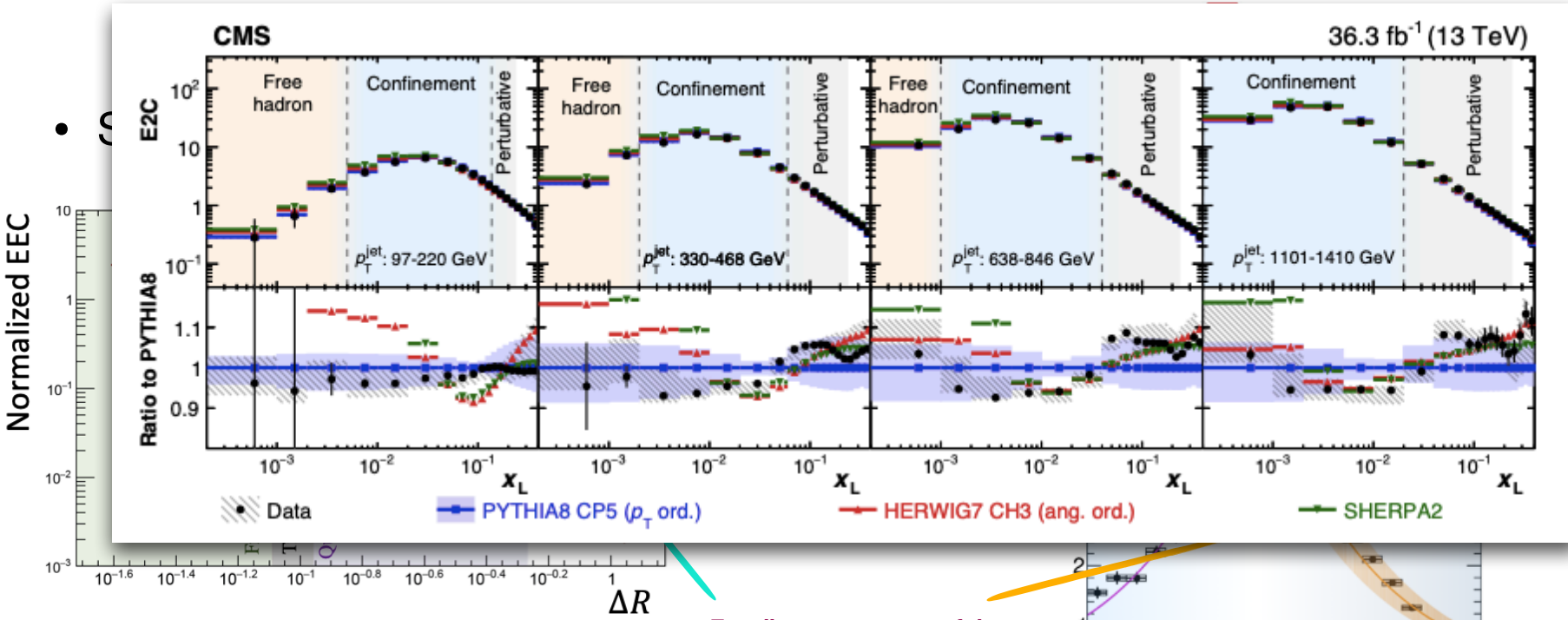
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Universal behavior of the transition region.

Experimental results

an and R.Cruz-Torres
DBES-March 2023

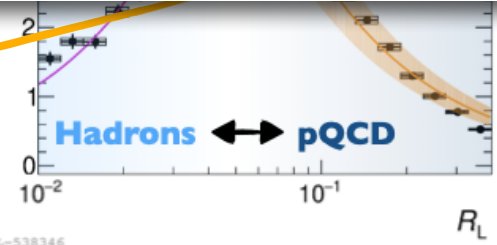


ΔR

Excellent agreement of data with our NLL calculation!

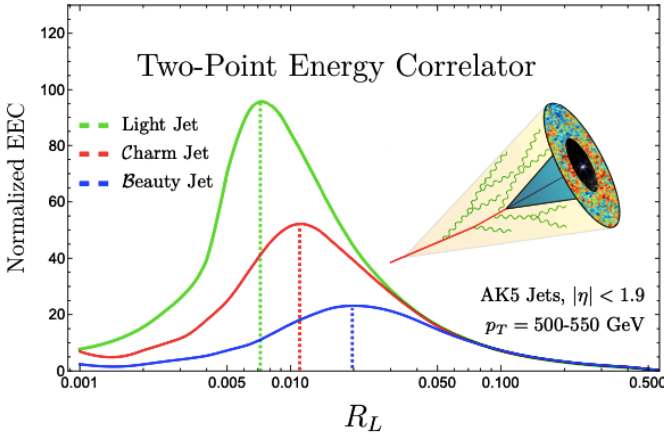
$$\text{Normalized EEC} = \frac{1}{\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{i,\text{jet}}^2}} \frac{d(\sum_{\text{jets}} \sum_{i \neq j} \frac{E_i E_j}{p_{i,\text{jet}}^2})}{d(\Delta R)}$$

Direct observation of the transition from free hadrons to quarks/gluons at a universal scaling!



Universal behavior of the transition region.

Beautiful and Charming Energy Correlators



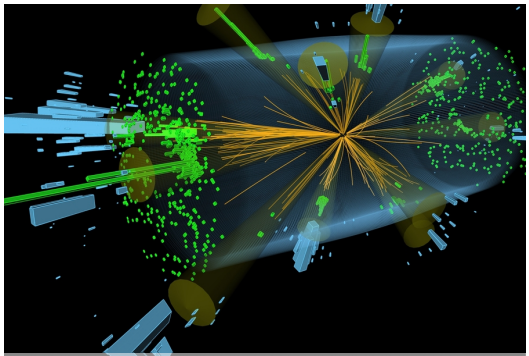
Application on Heavy Jets

Introduce an additional scale

- At the LHC energies there is access to the transition phase from massless to massive behaviour \Rightarrow more complexity
- **Also very interesting!**
 - Can probe intrinsic mass effects of quarks before confinement into hadrons

Factorization theorem

Can compute any higher point correlators on massive quarks at LHC at NLL



Hard function (NNLO)

$$\Sigma^{[N]} \left(R_L, p_T^2, m_Q, \mu \right) = \int_0^1 dx x^N \underbrace{\vec{J}^{[N]} \left(R_L, x, m_Q, \mu \right)}_{\text{Massive Energy Correlator Jet Function (NLO)}} \cdot \underbrace{\vec{H} \left(x, p_T^2, \mu \right)}_{\text{Hard function (NNLO)}}$$

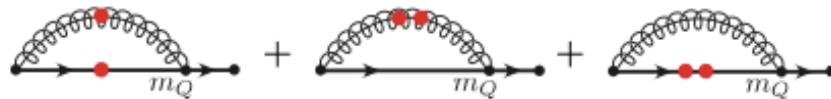
[Craft, Lee, BM, Moulton]

[Czakon, Generet, Mitov, Poncelet; 2021]

$$\mu_H \sim p_T$$

$$\mu_J \sim p_T R$$

Massive Energy Correlator Jet Function (NLO)



Heavy quark jet function

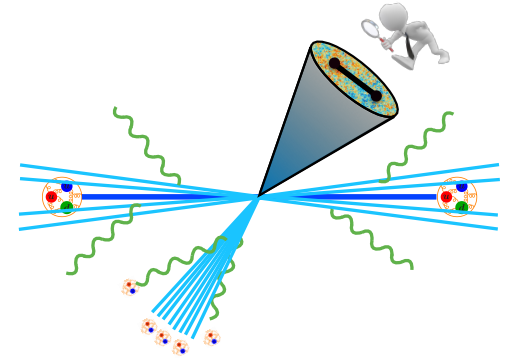
Result

$$J_Q^{EEC}(z, M, \mu) = \delta(z) \left(1 + \frac{\alpha_s C_F}{4\pi} \left[-\left(\gamma_{qq}^{(0)}(3) + \gamma_{gq}^{(0)}(3) \right) \left(\frac{1}{\epsilon_{UV}} + \ln \frac{\mu^2}{M^2} \right) - \frac{19}{6} \right] \right) \\ + \frac{\alpha_s C_F}{\pi} \frac{1}{z} \left[\frac{3}{4} - \frac{5}{2} \delta^2 - \frac{\delta^4}{1 + \delta^2} + 3\delta^3 \arctan \left(\frac{1}{\delta} \right) + \frac{1}{2} \delta^2 (1 - \delta^2) \ln \frac{\delta^2}{1 + \delta^2} \right]$$

The mass should not affect the UV behavior of the jet function.

This can be seen from comparing the UV poles with the light quark jet function.

$$J_q^{EEC} = \delta(z) + \frac{\alpha_s C_F}{4\pi} \left[\delta(z) \left(-\left(\gamma_{qq}^{(0)}(3) + \gamma_{gq}^{(0)}(3) \right) \frac{1}{\epsilon_{UV}} - \frac{37}{3} \right) + 3 \frac{Q^2}{\mu^2} \mathcal{L}_0 \left(\frac{Q^2}{\mu^2} z \right) \right]$$

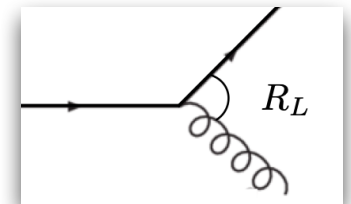


[Craft, Lee, BM, Moutl]

Massive jets

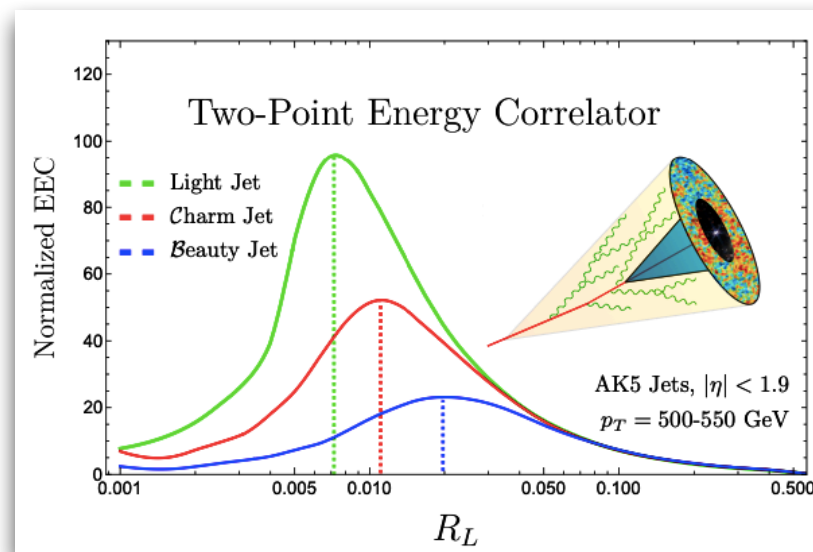
Massive Energy Correlator Jet Function

$$\Sigma^{[N]}(R_L, p_T^2, m_Q, \mu) = \int_0^1 dx x^N \overbrace{\vec{J}^{[N]}(R_L, x, m_Q, \mu)} \cdot \underbrace{\vec{H}(x, p_T^2, \mu)}_{\text{Hard function}}$$



Virtuality $\sim p_T R_L + m_Q^2$

- Formation time changes with the mass of the quark.
- Can clearly see this from the two-point EEC.

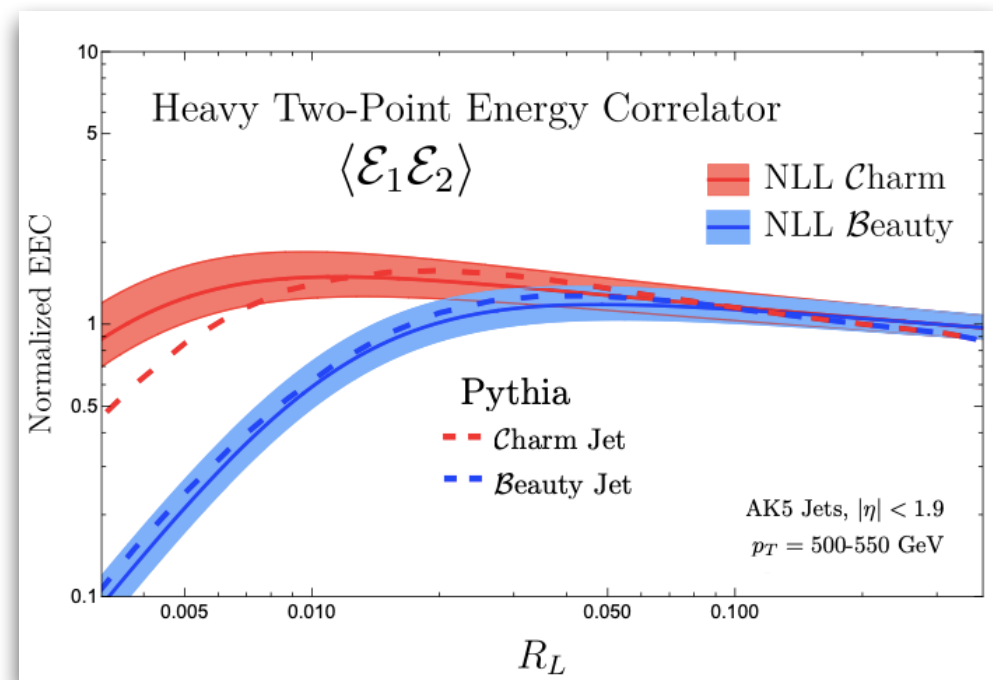


[Craft, Lee, BM, Moulton]

Massive two point correlator

First massive jet substructure observable at NLL

- Scaling behaviour identical to massless case for larger scales.
- A turn-over for $R_L \rightarrow m_Q/p_T$
- The change in the slope is perturbative effect contrary to massless jets:
 $R_L \rightarrow \Lambda_{QCD}/p_T$
- The turn-over region is of interest for improving heavy quark description in parton shower.



[Craft, Lee, BM, Moutl]

Dead-cone effect in QCD

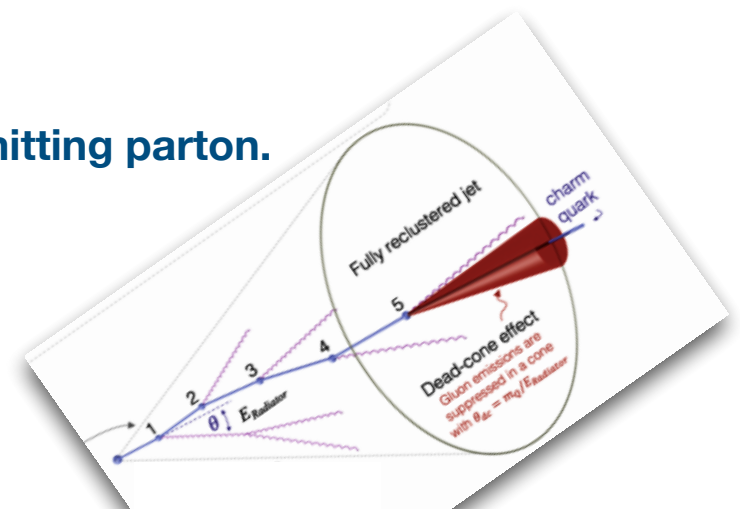
Fundamental phenomena

- Parton-shower pattern depends on the mass of the emitting parton.
- Angular suppression $\propto \frac{M}{E}$.

Observable used for the observation of the dead-cone effect in LHC data

$$R(\theta) = \frac{1}{N^{D^0 \text{ jets}}} \frac{dn^{D^0 \text{ jets}}}{d \ln(1/\theta)} \bigg/ \frac{1}{N^{\text{inclusive jets}}} \frac{dn^{\text{inclusive jets}}}{d \ln(1/\theta)} \bigg|_{k_T, E_{\text{Radiator}}}$$

- Can we observe the dead-cone with EEC?



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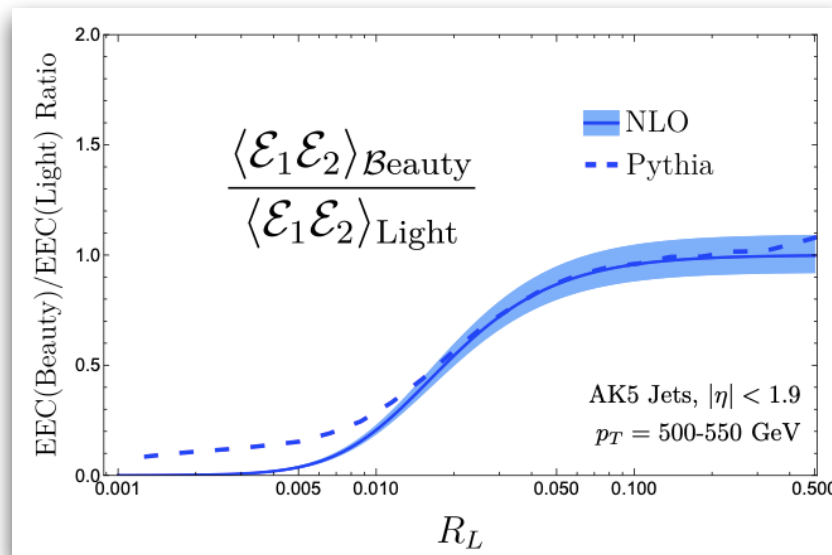
Article | [Open access](#) | Published: 18 May 2022

Direct observation of the dead-cone effect in quantum chromodynamics

[ALICE Collaboration](#)

Intrinsic mass effects

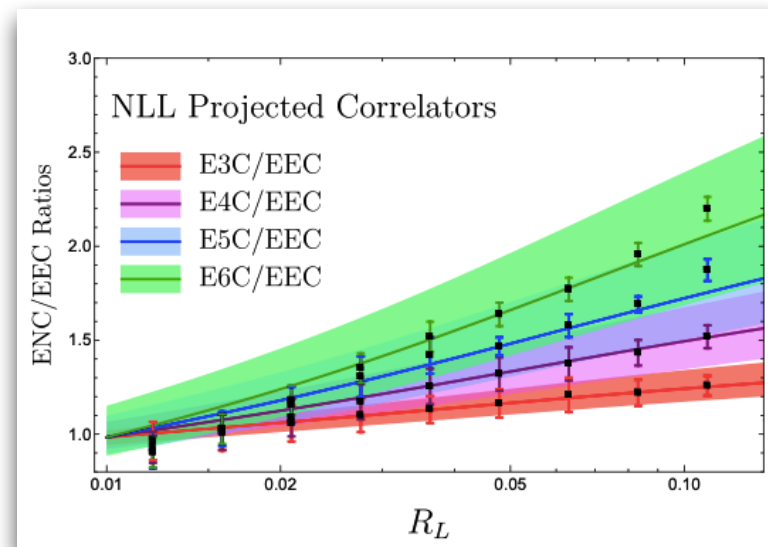
Dead-cone effect



[Craft, Lee, BM, Moulton]

- Ratios of the massive and massless EEC isolate mass (IR) effects.
- A transition region related to the quark mass: perturbatively calculable.
- Excellent agreement with MC.
- Small angle suppression can be interpreted as a dead-cone effect.

Higher point correlators



The light-ray OPE

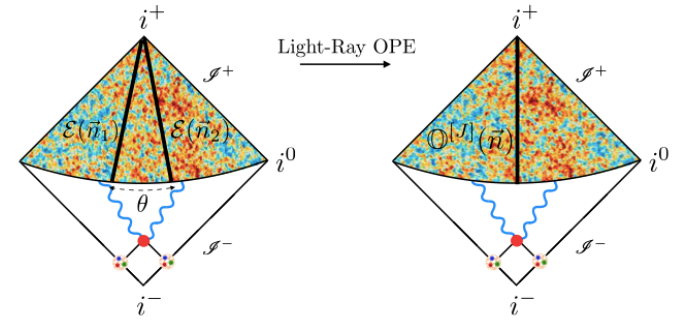
- The leading scaling behavior at the LHC is described by the leading terms in the OPE: **twist two light-ray operators**.
- Light-ray OPE is a rigorous and convergent expansion in CFT.

$$\langle \Psi | \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) | \Psi \rangle = \sum c_i \theta^{\gamma_i} \mathcal{O}_i(\vec{n}_1)$$

$$\langle \varepsilon(\vec{n}_1) \varepsilon(\vec{n}_2) \dots \varepsilon(\vec{n}_k) \rangle = \frac{1}{R_L^2} \left\{ f_q^{[k]}(u_i, v_i) \mathbb{O}_q^{[k+1]}(\vec{n}_1) + f_g^{[k]}(u_i, v_i) \mathbb{O}_g^{[k+1]}(\vec{n}_1) \right\} + \mathcal{O}(R_L^0)$$

$$u_i = \left(\frac{x_{i_1 i_2} x_{i_3 i_4}}{x_{i_1 i_3} x_{i_2 i_4}} \right)^2 \quad v_i = \left(\frac{x_{i_1 i_2} x_{i_3 i_4}}{x_{i_1 i_4} x_{i_2 i_3}} \right)^2$$

$$\vec{\mathbb{O}}^{[J]} = \left(\mathbb{O}_q^{[J]}, \mathbb{O}_g^{[J]} \right)^T = \lim_{r \rightarrow \infty} r^2 \int_0^\infty dt \vec{\mathcal{O}}^{[J]}(t, r\vec{n})$$



$$\mathcal{O}_q^{[J]} = \frac{1}{2^J} \bar{\psi} \gamma^+ (iD^+)^{J-1} \psi,$$

$$\mathcal{O}_g^{[J]} = -\frac{1}{2^J} F_a^{\mu+} (iD^+)^{J-2} F_a^{\mu+}$$

Leading twist light-ray OPE

Control scaling at leading power

- Twist-2 operators in QCD are characterized by a spin J and transverse spin $j=0,2$.
- They can be transformed to a twist-2 light-ray operator vector parametrized by J

$$\mathcal{O}_q^{[J]} = \frac{1}{2^J} \bar{\psi} \gamma^+ (iD^+)^{J-1} \psi,$$

$$\mathcal{O}_g^{[J]} = -\frac{1}{2^J} F_a^{\mu+} (iD^+)^{J-2} F_a^{\mu+}$$

$$\mathcal{O}_{\bar{g},\lambda}^{[J]} = -\frac{1}{2^J} F_a^{\mu+} (iD^+)^{J-2} F_a^{\nu+} \epsilon_{\lambda,\mu} \epsilon_{\lambda,\nu}$$

$$\xrightarrow{\lim_{r \rightarrow \infty} r^2 \int_0^\infty dt}$$

$$\vec{\mathbb{O}}^{[J]}(\vec{n}) =$$

$$\begin{bmatrix} \mathbb{O}_q^{[J]}(\vec{n}) \\ \mathbb{O}_g^{[J]}(\vec{n}) \\ \mathbb{O}_{\bar{g},+}^{[J]}(\vec{n}) \\ \mathbb{O}_{\bar{g},-}^{[J]}(\vec{n}) \end{bmatrix}$$

Leading twist light-ray OPE

Control scaling at leading power

- Twist-2 operators in QCD are characterized by a spin J and transverse spin $j=0,2$.
- They can be transformed to a twist-2 light-ray operator vector parametrized by J

$$\mathcal{O}_q^{[J]} = \frac{1}{2^J} \bar{\psi} \gamma^+ (iD^+)^{J-1} \psi,$$

$$\mathcal{O}_g^{[J]} = -\frac{1}{2^J} F_a^{\mu+} (iD^+)^{J-2} F_a^{\mu+}$$

$$\mathcal{O}_{\bar{g},\lambda}^{[J]} = -\frac{1}{2^J} F_a^{\mu+} (iD^+)^{J-2} F_a^{\nu+} \epsilon_{\lambda,\mu} \epsilon_{\lambda,\nu}$$

$$\lim_{r \rightarrow \infty} r^2 \int_0^\infty dt$$

$$\vec{\mathbb{O}}^{[J]}(\vec{n}) =$$

$$\begin{bmatrix} \mathbb{O}_q^{[J]}(\vec{n}) \\ \mathbb{O}_g^{[J]}(\vec{n}) \\ \mathbb{O}_{\bar{g},+}^{[J]}(\vec{n}) \\ \mathbb{O}_{\bar{g},-}^{[J]}(\vec{n}) \end{bmatrix}$$

Unpolarized

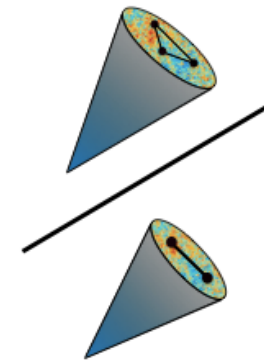
Polarized

Unpolarized Scaling

LHC scenario

- Probe the unpolarized spin $j = 0$ operators
- The leading scaling behavior is determined by the anomalous dimension $\gamma(N + 1)$ for an operator of spin $N + 1$.

→ can isolate the anomalous dimensions!

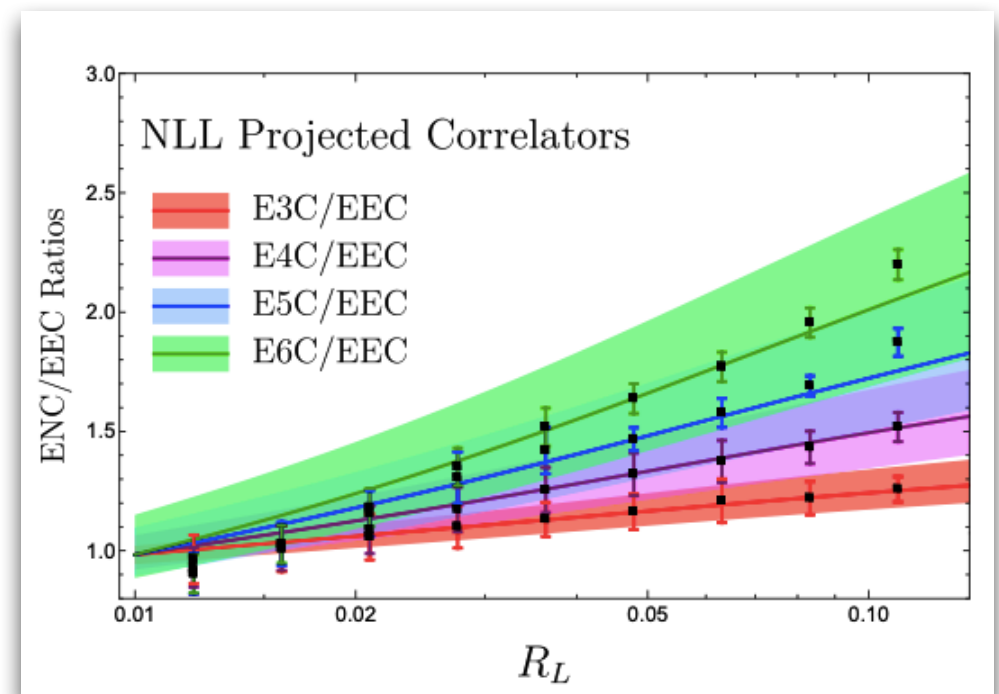


$$\frac{\langle \mathcal{E}_1 \mathcal{E}_2 \cdots \mathcal{E}_{J-1} \rangle}{\langle \mathcal{E}_1 \mathcal{E}_2 \rangle} \sim \frac{\langle \mathbb{O}^{[J]} \rangle}{\langle \mathbb{O}^{[3]} \rangle}$$

The jet spectrum

Higher-point correlators

- Asymptotic energy flux directly probes the spectrum of (twist-2) lightray operators at the quantum level
- Ratio of the higher-point correlators with the two-point isolates anomalous scaling!
- The anomalous scaling behavior depends on N (slope increases with N)
- First hand probe of the anomalous dimensions of QCD operators.



[Lee, BM, Moutl]

[Chen, Moutl, Zhang, Zhu]

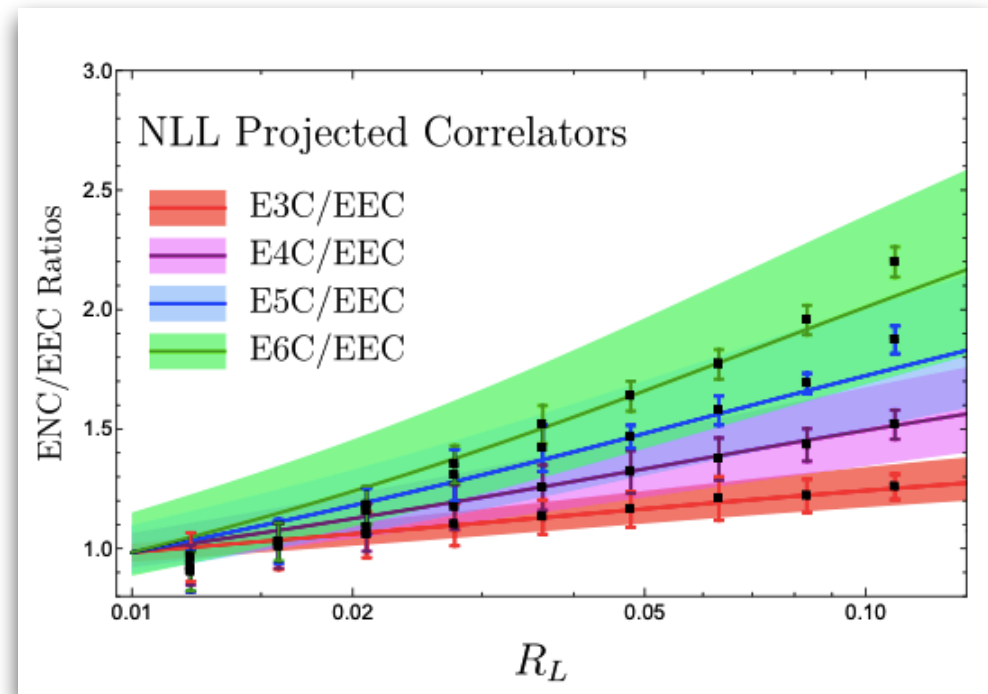
The jet spectrum

Higher-point correlators

- Non-perturbative effects cancel in the ratio
- A clean measurement of strong coupling

$$\theta^{\gamma} \rightarrow \exp\left(\frac{\hat{\gamma}}{2\beta_0} \ln \frac{\alpha_s(\theta Q)}{\alpha_s(Q)}\right)$$

- Can be observed at the high energies at the LHC at high precision



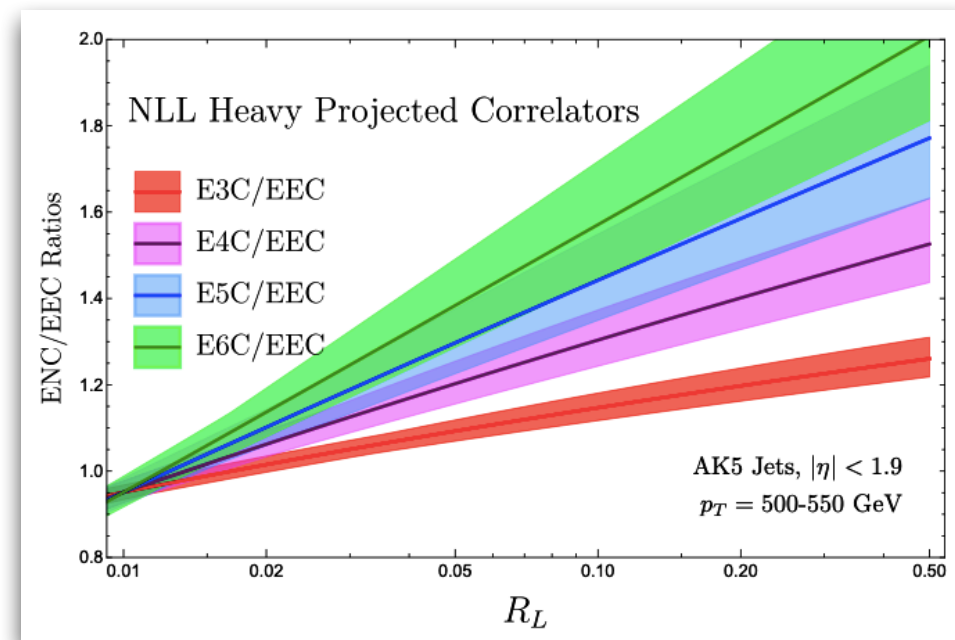
[Lee, BM, Moul]t

[Chen, Moul, Zhang, Zhu]

Heavy Projected Energy Correlators

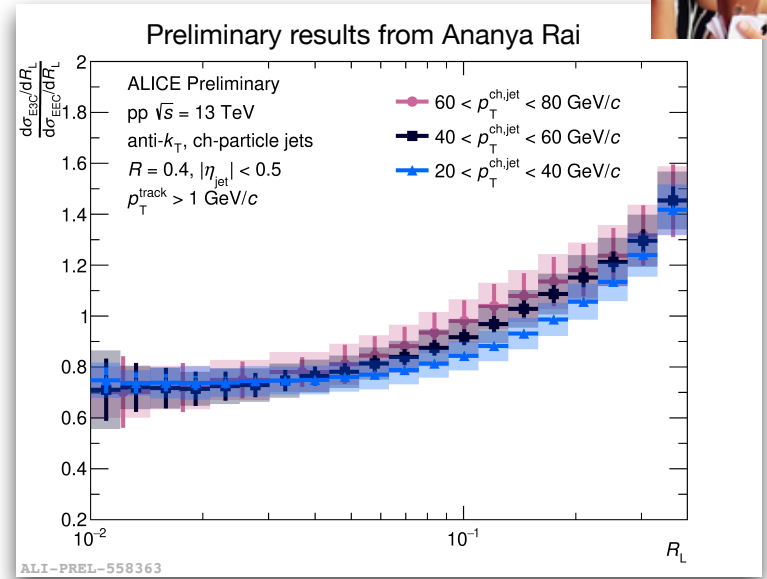
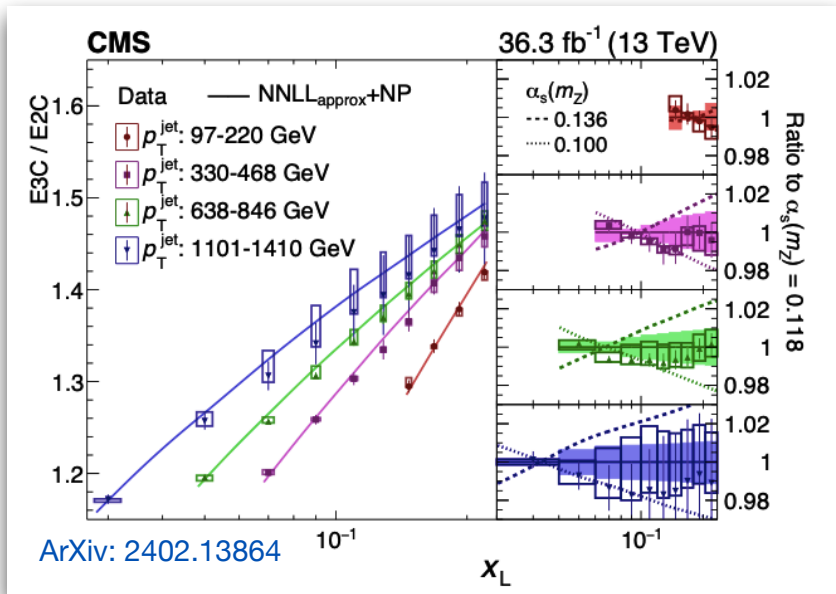
Resolve the UV scaling behaviour

- Ratios of higher point correlators with the two point EEC are independent of IR effects, including quark mass.
- The exact behavior as the massless case.
- Non-trivial cross check of the factorization theorem!
- Anomalous dimensions should not be affected by the IR physics.



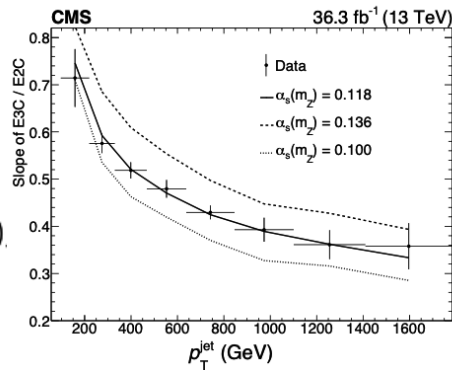
[Craft, Lee, BM, Moulton]

Experimental Results



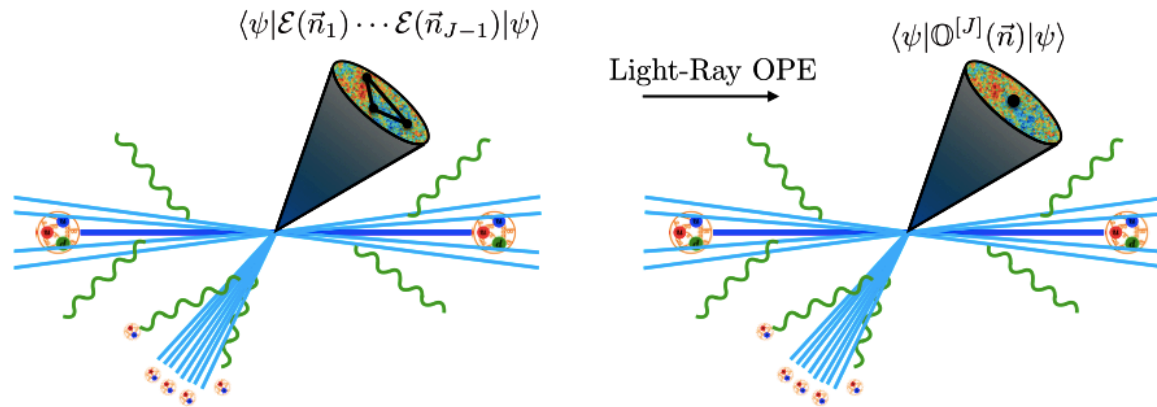
**Extraction
of the strong coupling**

$$\alpha_s(m_Z) = 0.1229^{+0.0014}_{-0.0012} (\text{stat})^{+0.0030}_{-0.0033} (\text{theo})^{+0.0023}_{-0.0036} (\text{exp}).$$



Jet substructure from first principles!

- Energy correlator is a jet substructure observable defined from first principles in QFT
⇒ No ambiguity between what is measured and the theory calculation.



- Formalism can be applied for any conserved charge for LHC processes.
- No jet grooming or pruning is needed to extract the final results, pure QFT calculation!
- Not sensitive to soft and wide angle radiations.

Applications of these results

- **Precision measurements: α_s measurement**
- **Jet modeling in MC simulations: heavy flavours**
- **Precision in parton showers: “reference resummation” for testing DGLAP finite moments.**
- **Understand properties of the QGP: multi-scale problem too, global properties of plasma.**

[Andres, Dominguez, Kunnawalkam Elayawalli, Holguin, Marquet, Moul, ...]

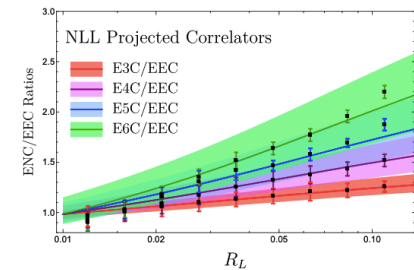
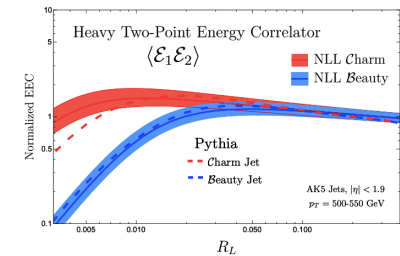
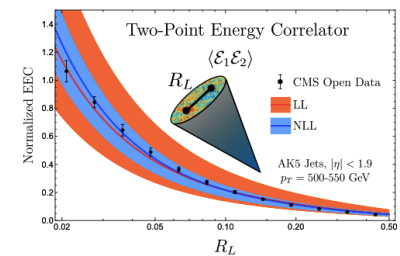
Conclusions

- Factorization formula for calculating energy correlators for jet substructure at the LHC.

$$\frac{d\Sigma}{dp_T d\eta dz} = \sum_i \mathcal{H}_i(p_T z, \eta, \mu) \otimes \int_0^1 dx x^N \mathcal{F}_{ij}(z, x, p_T R, \mu) J_j^{[N]}(z, x, \mu)$$

- Intrinsic mass effects of strongly interacting elementary particles.

- Higher-point correlators can be calculated for LHC and probe anomalous scaling dimension of QCD operators.



Thank You!