

4.2 Neutrino Oscillations

4.2.1 Three Flavors of Neutrinos

$$\begin{aligned} \mathcal{L} \supset & \sum_{\alpha=e,\mu,\tau} \left[\bar{\nu}_{\alpha L} i \not{\partial} \nu_{\alpha L} \right. \\ & + \frac{g}{\sqrt{2}} \left(W^{\mu+} \bar{\nu}_{\alpha L} \gamma_{\mu} e_{\alpha L} + h.c. \right) \\ & \left. + \frac{g}{2 \cos \theta_w} Z^{\mu} \bar{\nu}_{\alpha L} \gamma_{\mu} \nu_{\alpha L} \right] \\ & - \frac{1}{2} \sum_{\alpha, \beta} \underbrace{m_{\alpha\beta}}_{\text{in general off-diagonal}} \bar{\nu}_{\alpha L}^c \nu_{\beta L} + h.c. \end{aligned}$$

Diagonalize $m_{\alpha\beta}$

$$\underbrace{\nu_{\alpha L}}_{\text{flavor eigenstates}} = \underbrace{U_{\alpha j}}_{\text{unitary } 3 \times 3 \text{ matrix}} \underbrace{\nu_{j L}}_{\text{mass eigenstates}}$$

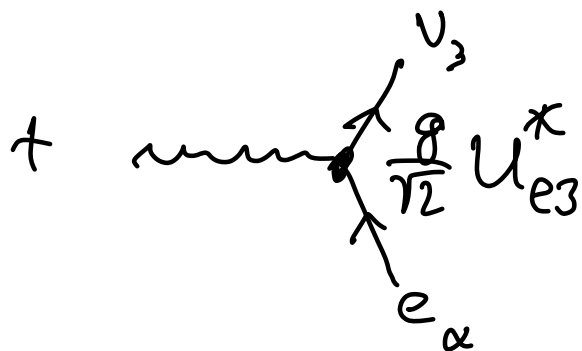
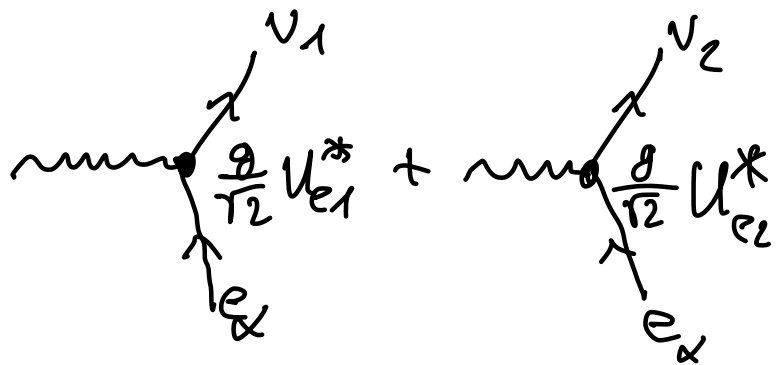
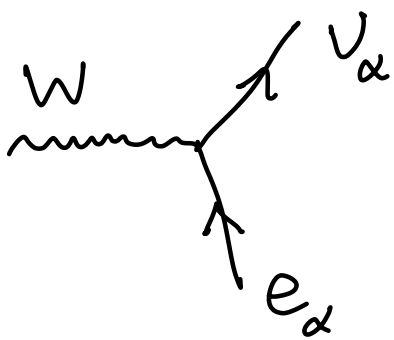
In the mass basis because $U^\dagger U = 1$

$$\mathcal{L} = \sum_j \left[\overline{\nu}_{jL} i \not{\partial} \nu_{jL} \right.$$

$$+ \frac{g}{\sqrt{2}} \sum_j W^{\mu\nu} \overline{\nu}_{jL} U_{\alpha j}^* \gamma_\mu e_{\alpha L} + \text{h.c.} \Big]$$

$$+ \frac{g}{2 \cos \theta_w} Z^\mu \overline{\nu}_{jL} \gamma_\mu \nu_{jL} \Big]$$

$$- \left(\frac{1}{2} \sum_j m_j \overline{\nu}_{jL}^c \nu_{jL} + \text{h.c.} \right)$$



4.2.2 Neutrino Oscillations

A CC interaction produces a superposition

$$|\nu_\alpha\rangle = \sum_j U_{\alpha j}^* |\nu_j\rangle$$

$$\left[\begin{array}{l} \nu_\alpha = U_{\alpha j} \nu_j \\ |\nu_\alpha\rangle = \nu_\alpha^\dagger |0\rangle = U_{\alpha j}^* \nu_j^\dagger |0\rangle \\ = U_{\alpha j}^* |\nu_j\rangle \end{array} \right.$$

Detection via another CC

interaction maps the time-evolved neutrino state onto $\langle \nu_\beta | = U_{\beta k} \langle \nu_k |$

Amplitude:

$$\mathcal{A} = \langle \nu_\beta | e^{-i\hat{H}t} | \nu_\alpha \rangle$$

$$= \sum_{j, k} \langle \nu_k | U_{\beta k} U_{\alpha j}^* | \nu_j \rangle e^{-iE_j t}$$

$$= \sum_j U_{\beta j} U_{\alpha j}^* e^{-iE_j t}$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = |\mathcal{A}|^2$$

$$= \sum_{j, k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* e^{-i(E_j - E_k)t}$$

More accurately: include evolution in space (typically, neutrino propagation time is not accurately measured, but distance is

$$e^{-i\hat{H}t} \rightarrow e^{-i\hat{H}t + i\hat{p}\hat{x}} \left\{ \begin{array}{l} \text{source-detector} \\ \text{distance} \\ \text{"baseline"} \end{array} \right.$$

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \cdot e^{-i(E_j - E_k)t + i(p_j - p_k)t}$$

Note: neutrinos with different E and p can interfere because the corresponding differences in E and p of their interaction partners in the source and in the detector are smaller than the Heisenberg uncertainties on E and p

Typically, we do not know t precisely
 \rightarrow integrate over it

$$\bar{P}(\nu_\alpha \rightarrow \nu_\beta) \equiv \frac{1}{N} \int_{-\infty}^{\infty} dt P(\nu_\alpha \rightarrow \nu_\beta)$$

\uparrow normalization factor

$$= \frac{1}{N} \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \int_{-\infty}^{\infty} dt e^{-i(E_j - E_k)t + i(p_j - p_k)t}$$

$$\cdot \exp \left[i \left(\sqrt{E^2 - m_j^2} - \sqrt{E^2 - m_k^2} \right) L \right]$$

smallness of
 m_j, m_k

$$= \sum_{j, k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k} \equiv m_j^2 - m_k^2$$

$$\cdot \exp \left[-i \frac{\Delta m_{jk}^2 L}{2E} \right]$$

Consider as toy example a model with only two ν flavors

$$\rightarrow U = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}$$

$$\rightarrow P(\nu_e \rightarrow \nu_\mu)_{2\text{flavor}} = |U_{e1}|^2 |U_{\mu 1}|^2$$

$$+ |U_{e2}|^2 |U_{\mu 2}|^2$$

$$+ U_{e1} U_{\mu 1} U_{e2} U_{\mu 2} \left(e^{-i \frac{\Delta m^2 L}{2E}} + e^{i \frac{\Delta m^2 L}{2E}} \right)$$

$$= 2 \sin^2 \theta \cos^2 \theta - 2 \cos^2 \theta \sin^2 \theta \cos \frac{\Delta m^2 L}{2E}$$

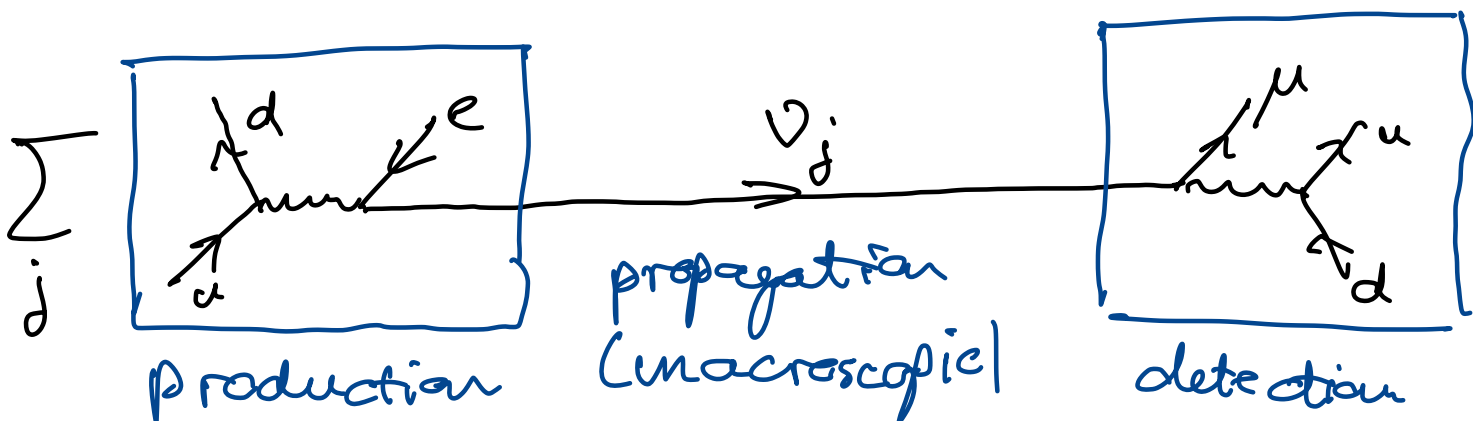
$$= \frac{1}{2} \sin^2 2\theta \left(1 - \cos \frac{\Delta m^2 L}{2E} \right)$$

$$= \underbrace{\sin^2 2\theta}_{\substack{\text{mixing angle} \\ \text{determines} \\ \text{osc. amplitude}}} \cdot \underbrace{\sin^2 \frac{\Delta m^2 L}{4E}}_{\substack{\Delta m^2 \text{ determines} \\ \text{the oscillation length}}} \\ L_{\text{osc}} = \frac{4\pi E}{\Delta m^2}$$

$$\Delta m_{31}^2 \sim 2 \cdot 10^{-3} \text{ eV}^2 \rightarrow L_{\text{osc}} \sim 1 \text{ km} \\ (\text{at } E \sim \text{MeV})$$

$$\Delta m_{21}^2 \sim 8 \cdot 10^{-5} \text{ eV}^2 \rightarrow L_{\text{osc}} \sim 60 \text{ km} \\ (\text{at } E \sim \text{MeV})$$

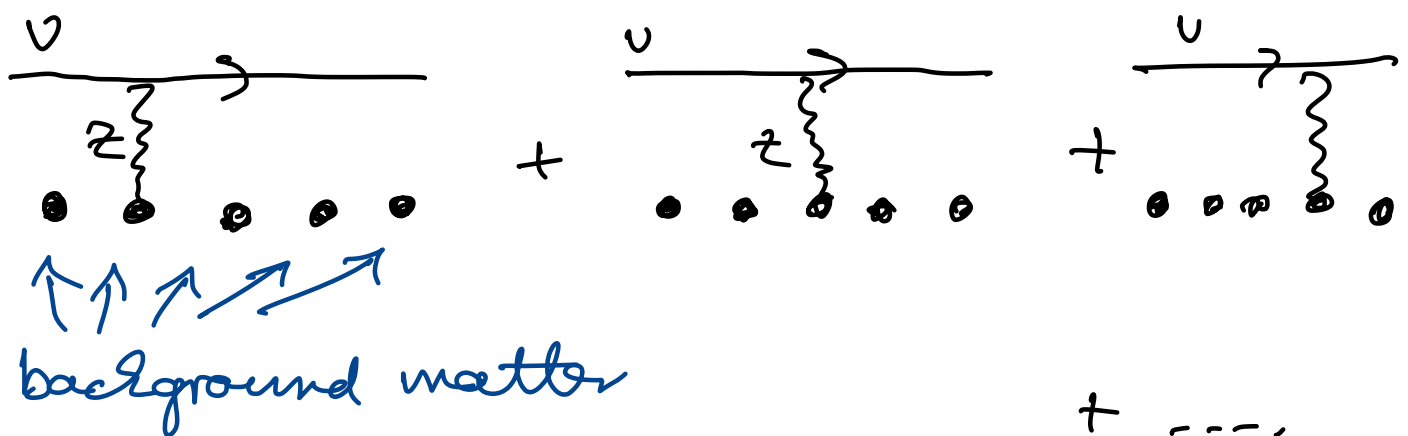
Note: in QFT, ν oscillation can be described as a Feynman diagram



- treat external states as wave packets (with plane waves, no interference would be possible)
- approximate ν propagator at large L
- calculate

4.2.3 Neutrino Oscillations in Matter

Coherent forward scattering
(exchange of W or Z boson without exchange of momentum)



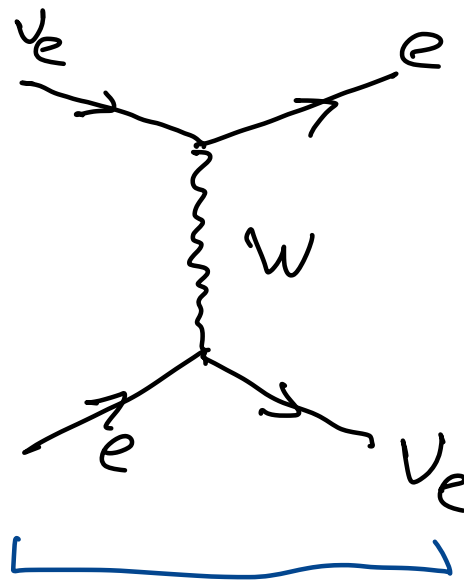
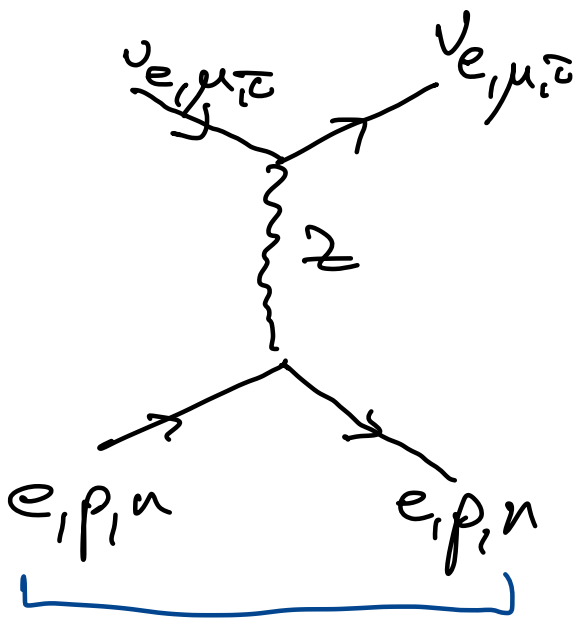
As initial and final quantum states in coherent forward scattering are identical, need to sum coherently

over all of the above diagrams.

[Analogy: photon traveling through matter]

$$\mathcal{M} \sim n G_F$$

\uparrow Fermi constant
 \uparrow matter density



$$\mathcal{L}_{\text{eff}} = \frac{G_F}{\sqrt{2}} [\bar{e} \gamma^\mu (1-\gamma^5) \nu_e] [\bar{\nu}_e \gamma_\mu (1-\gamma^5) e]$$

Fierz
tricks \rightarrow

$$= \frac{G_F}{\sqrt{2}} [\bar{e} \gamma^\mu (1-\gamma^5) e] [\bar{\nu}_e \gamma_\mu (1-\gamma^5) \nu_e]$$

Take expectation value of the electron current:

$$\begin{aligned} \mathcal{L}_{\text{eff}} &= \frac{G_F}{\sqrt{2}} \left\langle \bar{e} \gamma^\mu (1 - \gamma^5) e \right\rangle \left[\bar{\nu}_e \gamma_\mu (1 - \gamma^5) \nu_e \right] \\ &= \begin{cases} n_e & \text{for } \mu = 0 \\ 0 & \text{for } \mu = 1, 2, 3 \end{cases} \\ &= \underbrace{\sqrt{2} G_F n_e}_{V_{CC} \text{ (MSW potential)}} \bar{\nu}_{eL} \gamma^0 \nu_{eL} \end{aligned}$$

In the derivation of $P(\nu_e \rightarrow \nu_s)$, we had $e^{i p L}$

$$\phi = \hat{p} \cdot L = \sqrt{(\hat{H} - \hat{V})^2 - \hat{M}^2}$$

$\begin{matrix} \uparrow & & \uparrow & & \uparrow \\ \text{2x2 matrix} & & \text{2x2 matrix} & & \text{2x2 matrix} \\ \text{(in 2-flavor approximation)} & & & & \end{matrix}$

$$\begin{pmatrix} V_{CC} & 0 \\ 0 & 0 \end{pmatrix}$$

Diagonalize $\hat{H} - \frac{\hat{M}^2}{2E} - \hat{V}$

in flavor basis \rightarrow

$$= E \cdot \mathbb{1}_{2 \times 2} - U \begin{pmatrix} \frac{m_1^2}{2E} & \\ & \frac{m_2^2}{2E} \end{pmatrix} U^\dagger - \begin{pmatrix} V_{cc} & 0 \\ 0 & 0 \end{pmatrix}$$

Eigenvalues:

$$\lambda_{1,2} = \pm \frac{1}{2} \sqrt{(V_{cc} - \underbrace{\Delta \cos 2\theta}_{\Delta m^2 / 2E})^2 + \Delta^2 \sin^2 \theta}$$

Effective mixing angle (the angle that parameterizes the unitary 2×2 matrix that diagonalizes the above matrix):

$$\sin 2\theta_{\text{eff}} = \frac{\Delta \sin 2\theta}{\sqrt{(V_{cc} - \Delta \cos 2\theta)^2 + \Delta^2 \sin^2 2\theta}}$$

- If $V_{cc} \ll \Delta \Rightarrow$ recover vacuum case $\theta_{\text{eff}} = \theta$

- If $V_{cc} \gg \Delta \Rightarrow \theta_{eff} \rightarrow 0$, oscillations suppressed
- If $V_{cc} = \Delta \cos 2\theta \Rightarrow \sin 2\theta_{eff} = 1$
"MSW resonance"