

# Baryon Number Violation Involving Tauons

[JH & Dima Watkins, 2405.18478]

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# Why baryon number violation?

- Tests prediction/symmetry of Standard Model:

$$\underbrace{U(1)_B}_{\text{BNV}} \times \underbrace{U(1)_L}_{\text{LNV}} \times \underbrace{U(1)_{L_\mu - L_\tau} \times U(1)_{L_\mu + L_\tau - 2L_e}}_{\text{LFV}}$$

- Testable signatures in many extensions (GUTs, SUSY,...).
- Needed for spontaneous generation of matter asymmetry.

BNV is special: *most sensitive* probe of new physics because it probes decay of **stable** [*sic*] matter!

(LNV & LFV have to use **unstable** particles.)

[Recent review: Perez, Pocar, JH *et al.*, arXiv:2208.00010]

See also talks by  
Vasja Susič &  
Patrick Stengel.

# Best example: proton decay

- First suggested in GUTs, predict  $\tau_p \sim m_X^4/m_p^5$ .

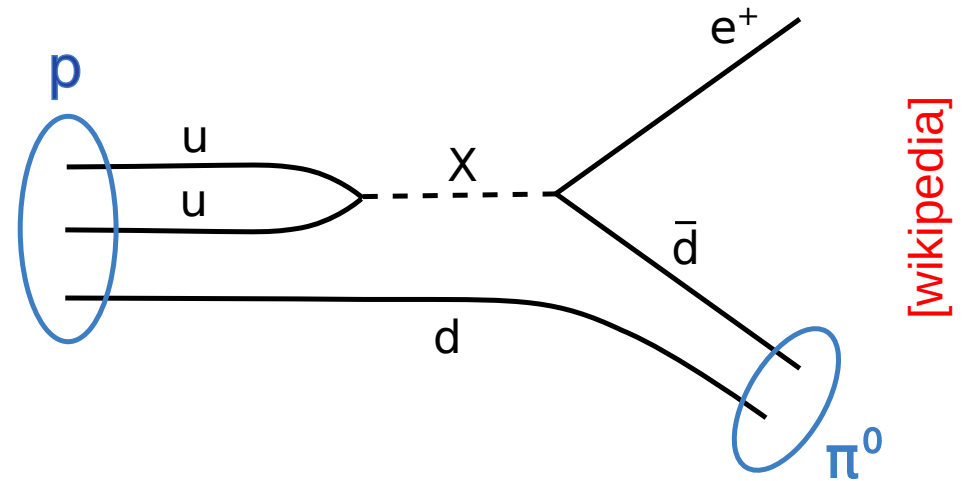
[Pati & Salam '73; Georgi & Glashow, '74]

- Violates  $\Delta B = \Delta L = \Delta L_e = 1$ .
- *All matter* contains protons, easy to observe for long times.
- Current best: [Super-KamiokaNDE](#).

- 50k ton water tank & 13k PMTs for [Cherenkov](#) radiation.
- Running for two decades, observing  $10^{35}$  protons:

$$\tau(p \rightarrow e^+ \pi^0) > 10^{34} \text{ yr.} \quad [\text{Super-K, 2010.16098}]$$

- Implies  $m_X > 10^{15} \text{ GeV!}$

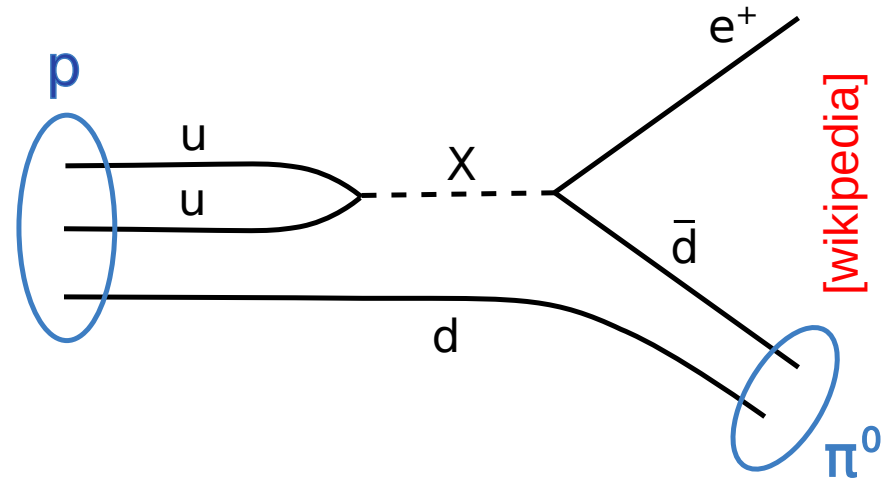


# Best example: proton decay

- Super-Kamiokande:

$$\tau(p \rightarrow e^+ \pi^0) > 10^{34} \text{ yr.}$$

$$m_X > 10^{15} \text{ GeV!}$$



- Similarly:

$$\tau(p \rightarrow \mu^+ \pi^0) \gtrsim 10^{34} \text{ yr}, \quad \tau(n \rightarrow \bar{\nu}_{e,\mu,\tau} \pi^0) \gtrsim 10^{33} \text{ yr.} \quad [\text{Super-K, '13}]$$

What about  $\tau^+$ ?

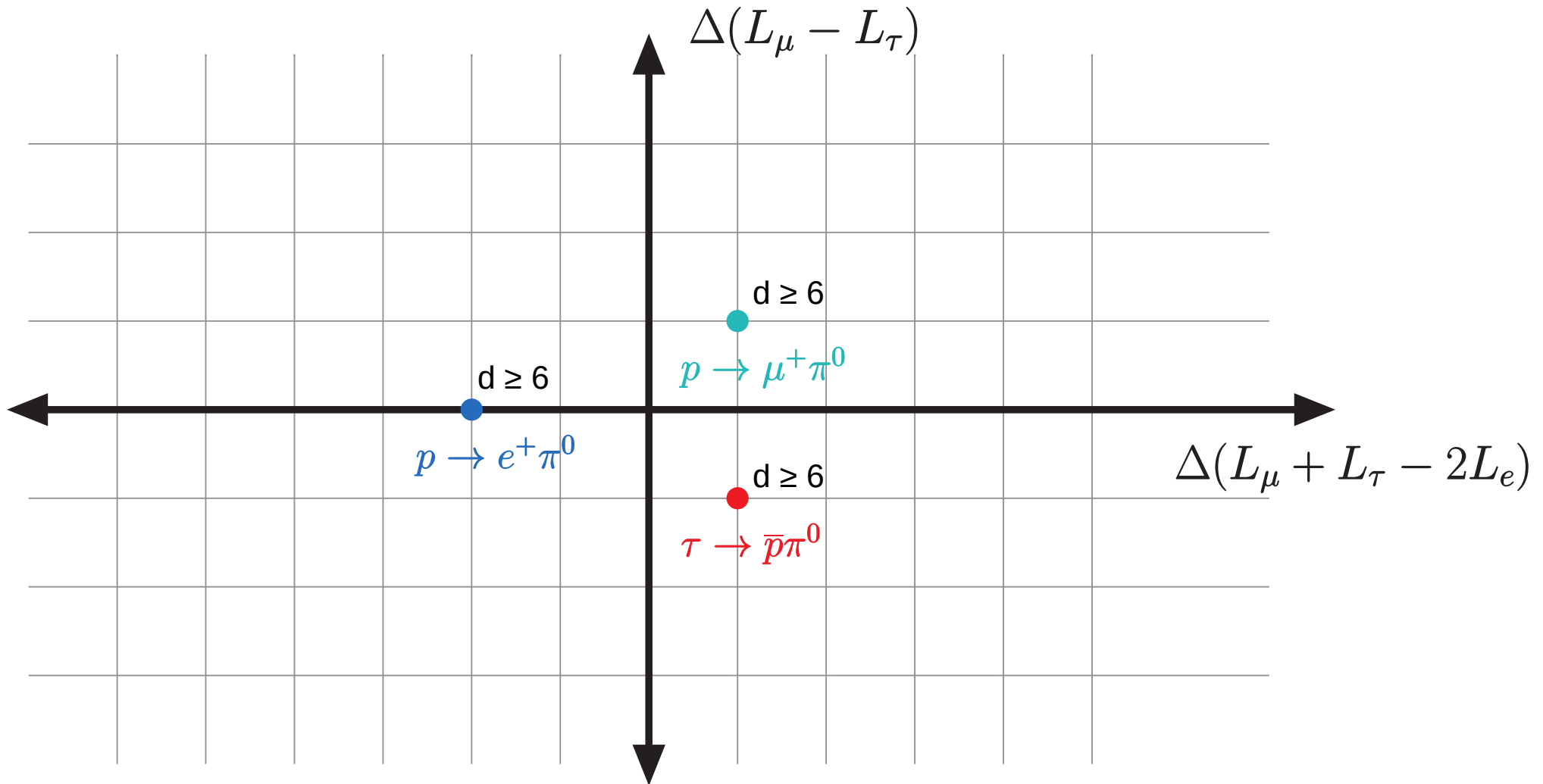
- Tauon heavier than proton:

$$\text{BR}(\tau \rightarrow \bar{p} \pi^0) < 10^{-5} \quad [\text{CLEO, '99}] \quad \Rightarrow \quad m_X > 10^3 \text{ GeV.}$$

- Tauon limits weaker, but probe *different* couplings; could even be dominant  $\Delta B$  channel due to **flavor** symmetry.

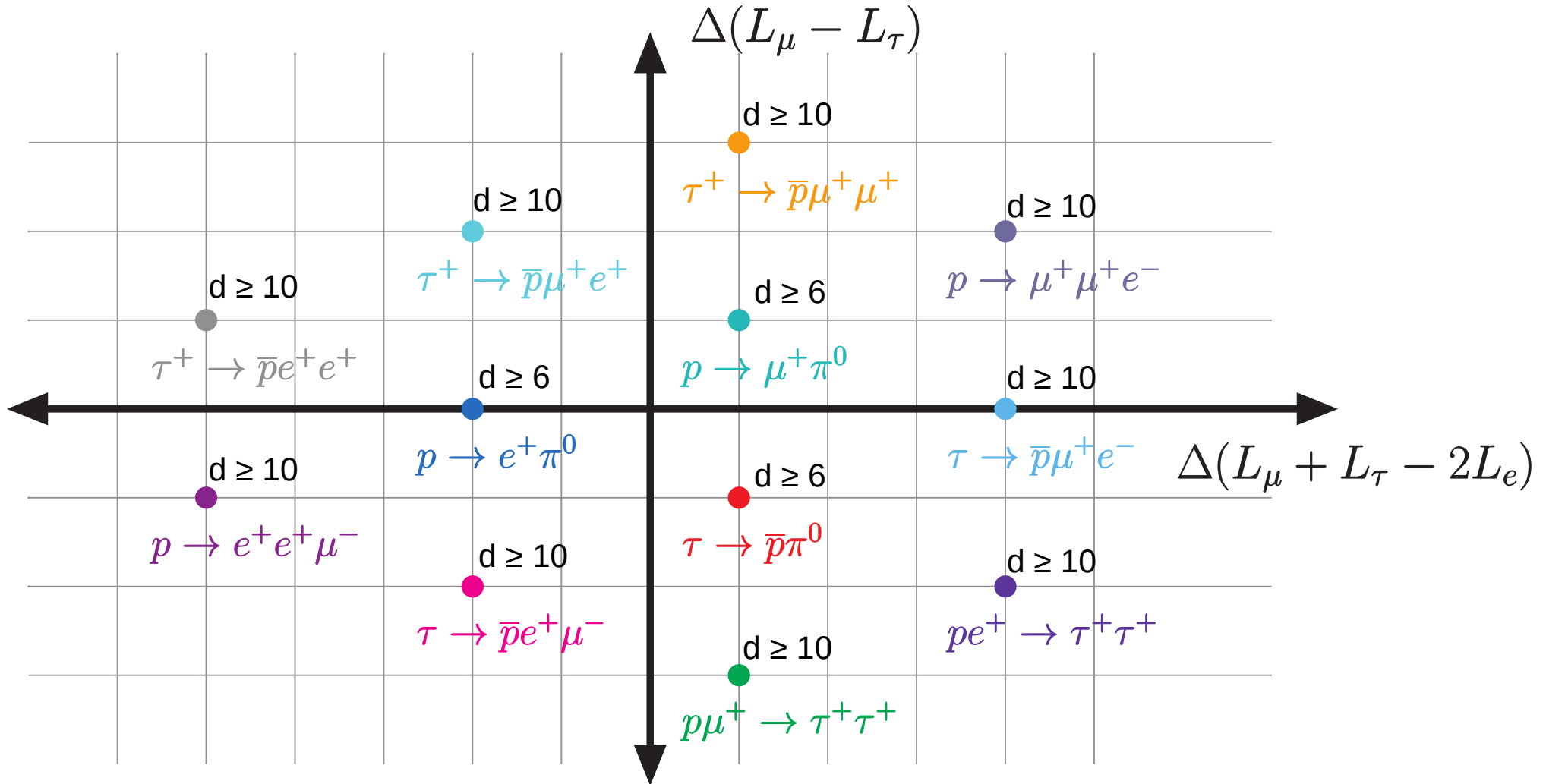
# Proton decay = lepton flavor violation

$$\Delta B = \Delta L = 1$$



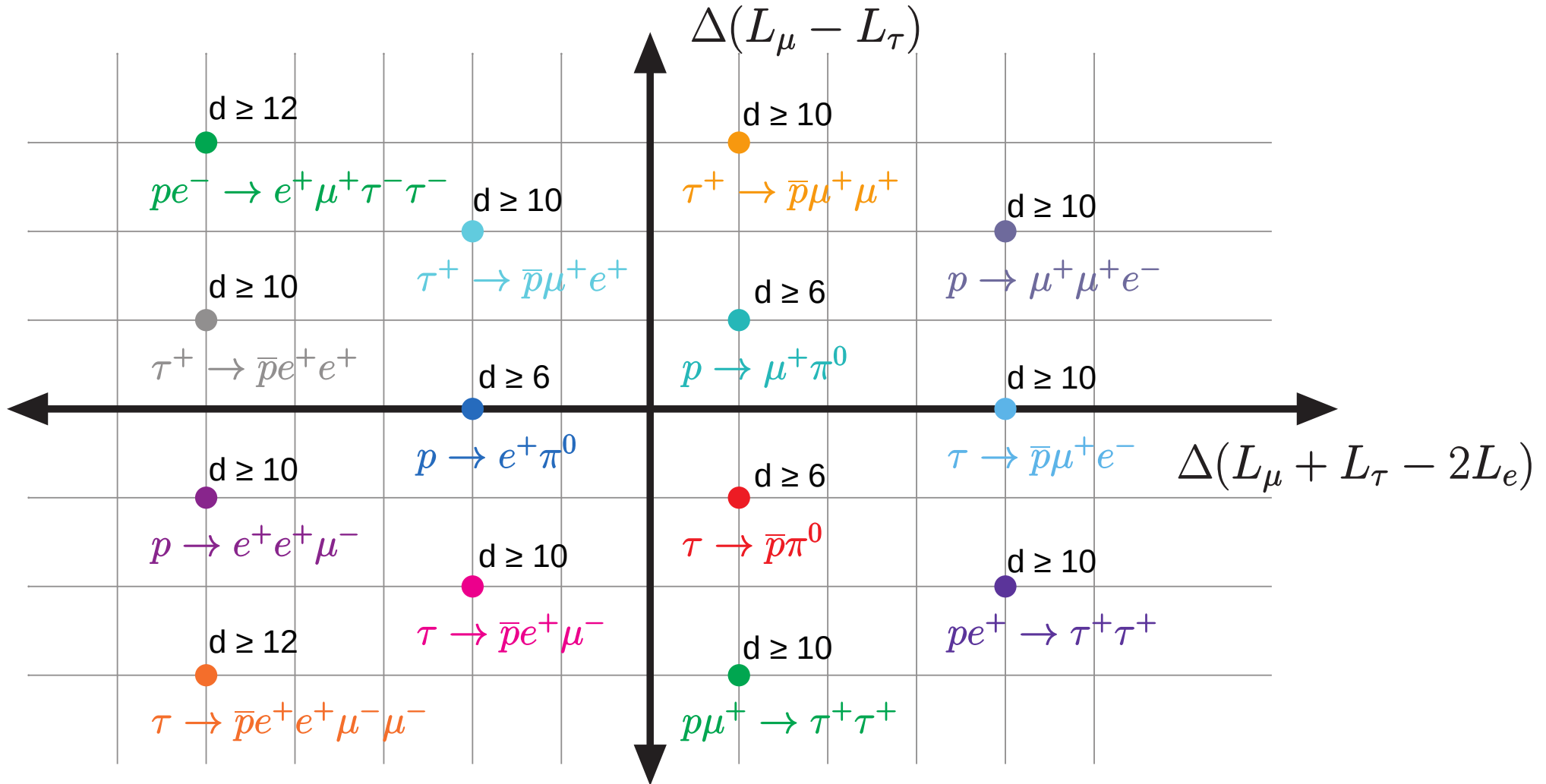
# Proton decay = lepton flavor violation

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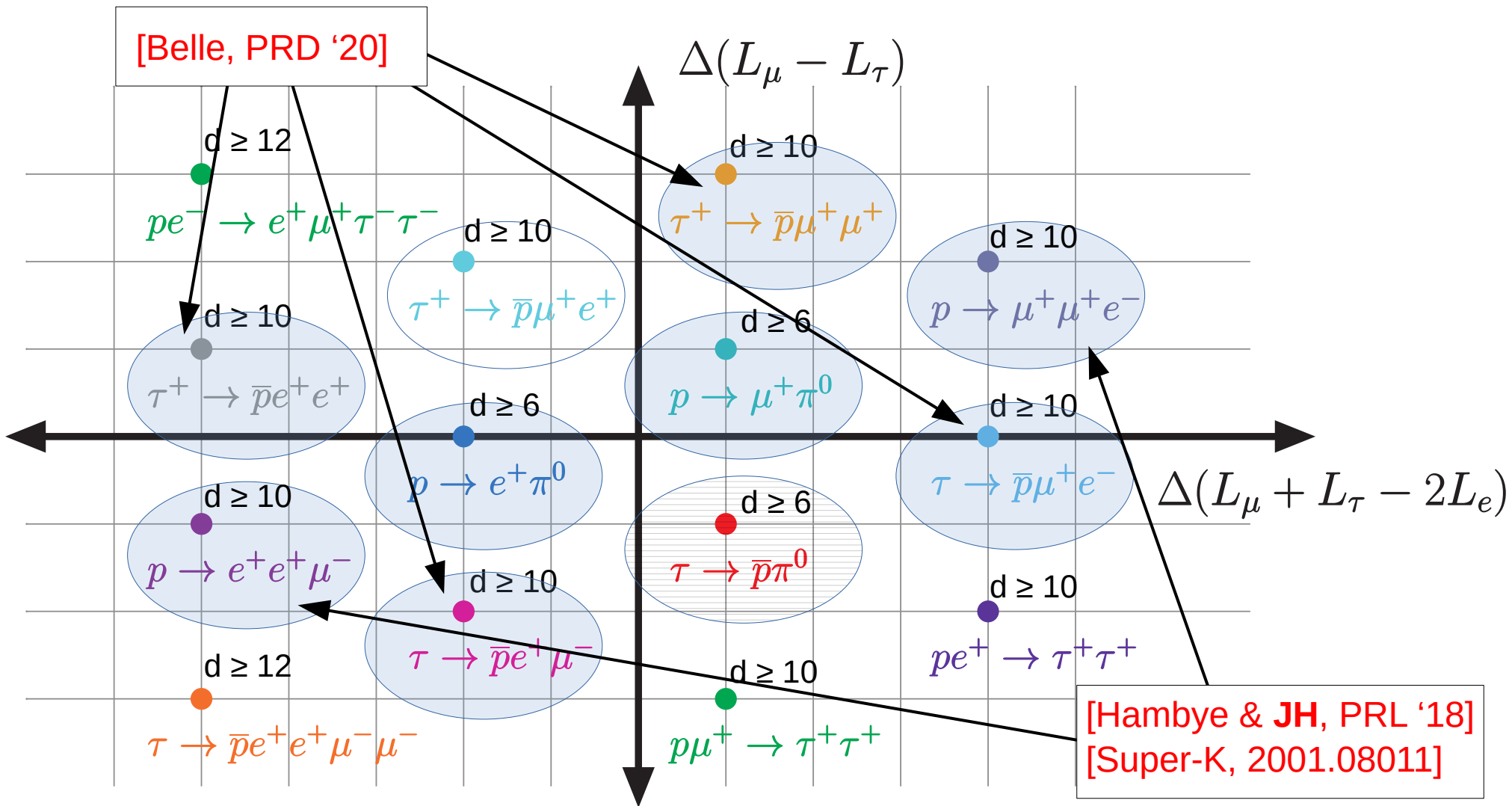
# Proton decay = lepton flavor violation

$$\Delta B = \Delta L = 1$$



Currently being probed: Old results: Doable:

$\Delta B = \Delta L = 1$



$$\tau(p \rightarrow \mu^+ \mu^+ e^-) \simeq 10^{33} \text{yr} \left( \frac{\Lambda}{100 \text{TeV}} \right)^{12}$$

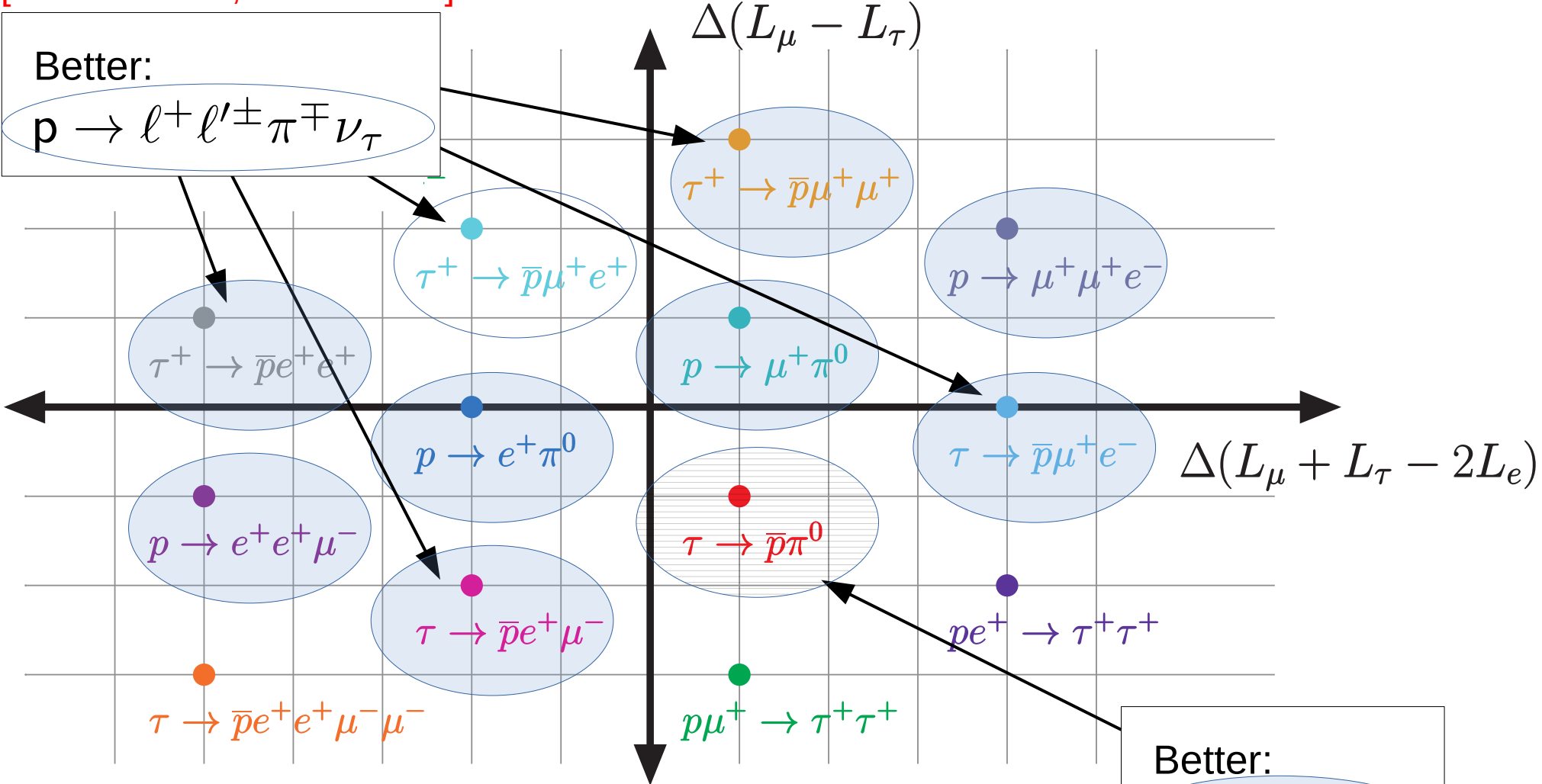


Currently being probed: Old results: Doable:

$\Delta B = \Delta L = 1$

[JH & Watkins, 2405.18478]

Better:  
 $p \rightarrow l^+ l'^{\pm} \pi^{\mp} \nu_{\tau}$



Better:  
 $p \rightarrow \pi^+ \bar{\nu}_{\tau}$

[Marciano, NPB '95]

# $\Delta B = \Delta L_\tau = 1$ operators

- **d=6** operators:  $y^1 duQL_\tau + y^2 QQQQL_\tau + y^3 QQu\tau_R + y^4 duu\tau_R$
- All induce  $\tau^- \rightarrow \bar{p}\pi^0, \bar{p}\eta$ .
- But  $y^1$  and  $y^2$  immediately give  $n \rightarrow \bar{\nu}_\tau\pi^0, \bar{\nu}_\tau\eta$ :  
$$\tau(n \rightarrow \bar{\nu}_\tau\pi^0) \simeq 10^{-8} \text{ s} \left( \frac{10^{-5}}{\text{BR}(\tau \rightarrow \bar{p}\pi^0)} \right) \simeq 10^{33} \text{ yr} \left( \frac{3 \times 10^{-54}}{\text{BR}(\tau \rightarrow \bar{p}\pi^0)} \right)$$
- Neutron decays into **tau neutrinos** give **far** better limits.

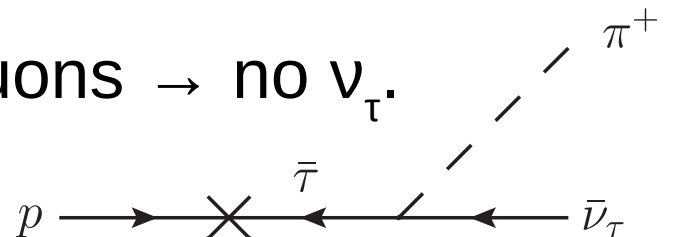
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- Neutron decays into **tau neutrinos** give **far** better limits.

- How about  $y^3$  and  $y^4$ ? Right-handed tauons  $\rightarrow$  no  $\nu_\tau$ .
  - Can still go through off-shell tauon: 

$$\tau(p \rightarrow \pi^+ \bar{\nu}_\tau) \simeq \frac{16\pi(m_p^2 - m_\tau^2)^2}{f_\pi^2 G_F^2 m_p^3 m_\tau^2 \beta^2} |y^3 - y^4|^{-2} \simeq 6 \text{ s} \left( \frac{10^{-5}}{\text{BR}(\tau \rightarrow \bar{p}\pi^0)} \right)$$

[Hou, Nagashima, Soddu, PRD '05]

[see also Crivellin & Hoferichter, PLB '23, for  $p \rightarrow \pi^0 e^+ \nu \nu$  etc, which are weaker.]

# $\Delta B = \Delta L_\tau = 1$ operators

- **d=6** operators:  $y^1 \text{duQL}_\tau + y^2 \text{QQQL}_\tau + y^3 \text{QQu}\tau_R + y^4 \text{duu}\tau_R$
- Set  $y^1 = y^2 = 0$  and  $y^3 = y^4$  to eliminate nucleon decays?
- Chiral perturbation theory: [Claudson, Wise, Hall, '82]

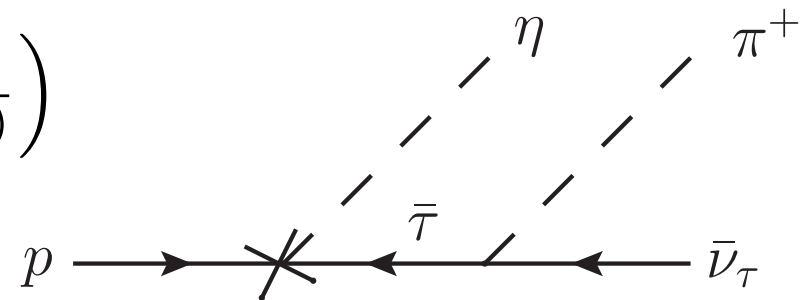
$$y^3 \text{QQu}\tau_R = y^3 \beta \left[ p\tau_R - \frac{i\pi^0}{\sqrt{2}f_\pi} p\tau_R - \frac{i\pi^+}{f_\pi} n\tau_R + \frac{i\eta}{\sqrt{6}f_\pi} p\tau_R + \dots \right]$$

$$y^4 \text{duu}\tau_R = -y^4 \beta \left[ p\tau_R - \frac{i\pi^0}{\sqrt{2}f_\pi} p\tau_R - \frac{i\pi^+}{f_\pi} n\tau_R - \frac{i\sqrt{3}\eta}{\sqrt{2}f_\pi} p\tau_R + \dots \right]$$

- $y^3 = y^4$  only eliminates *two-body* nucleon decays:

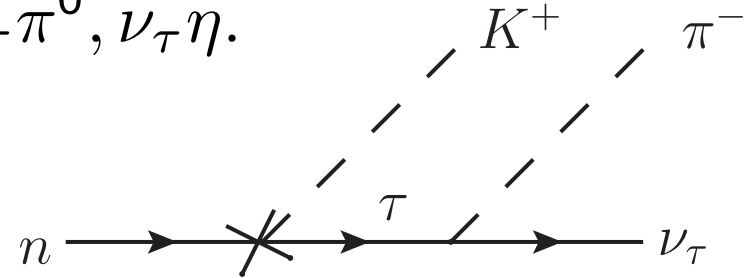
$$\tau(p \rightarrow \eta\pi^+ \bar{\nu}_\tau) \simeq 100 \text{ yr} \left( \frac{10^{-5}}{\text{BR}(\tau \rightarrow \bar{p}\eta)} \right)$$

Only old inclusive limits  
 [JH & Takhistov, PRD '20]  
 could easily be improved by Super-K!



# $\Delta B = -\Delta L_\tau = 1$ operators

- **d=7** operators:  $z^1 \text{dud}\bar{H}\bar{L}_\tau + z^2 \text{QQd}\bar{H}\bar{L}_\tau + z^3 \text{ddQ}\bar{H}\bar{\tau}_R + z^4 \text{dddH}\bar{L}_\tau$
- $z^1$  and  $z^2$  immediately give  $n \rightarrow \nu_\tau \pi^0, \nu_\tau \eta$ .
- $z^3$  and  $z^4$  have **s quark and no  $\nu_\tau$** :



$$\tau(n \rightarrow K^+ \pi^- \nu_\tau) \simeq 7000 \text{ yr} \left( \frac{7 \times 10^{-8}}{\text{BR}(\tau \rightarrow \Lambda \pi^-)} \right)_{z^4=0}$$

$$\tau(n \rightarrow K^+ \pi^- \nu_\tau) \simeq 2 \times 10^5 \text{ yr} \left( \frac{7 \times 10^{-8}}{\text{BR}(\tau \rightarrow \Lambda \pi^-)} \right)_{z^3=0}$$

Only old inclusive limits:  
[JH & Takhistov, PRD '20]

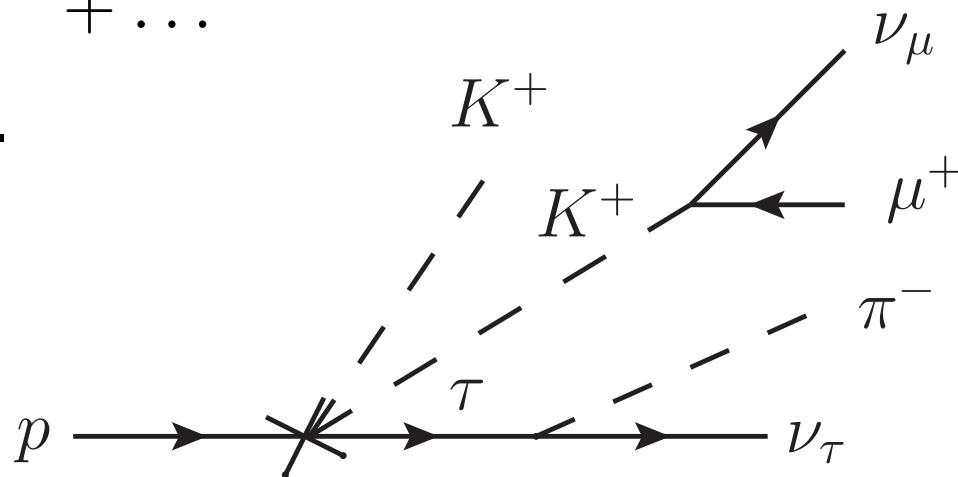
[Belle, '06]

- How about **dss** operator?

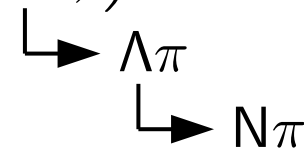
For  $\Delta B$  with top quarks, see talk by  
[Antonio Rodríguez-Sánchez](#).

# $\Delta B = -\Delta L_\tau = 1$ operators

- **d=7** operator:  $dssH\bar{L}_\tau \propto \bar{\tau}\Xi^- + \dots$
- No neutrinos, two s quarks.
- Two-body tau decays but **five-body** nucleon decays!
- Off-shell  $\tau$  and K, double suppression by  $G_F$ :



$$\tau(p \rightarrow K^+ \mu^+ \nu_\mu \pi^- \nu_\tau) \simeq \mathcal{O}(10^{28}) \text{ yr} \left( \frac{10^{-8}}{\text{BR}(\tau \rightarrow \Xi \pi)} \right)$$



[JH & Watkins, 2405.18478]

- $\Delta B$  tau decays most competitive in **hyperon** channels.

New channels for Super-K & Belle II

# More $\Delta B = \Delta L = 1$ operators

- **d=10** operator:  $QQul\bar{l}LH/\Lambda^6 \rightarrow u_L d_L u_R \bar{\tau}_R l_\alpha l_\beta \nu/\Lambda^6$ .
- For  $\{\alpha, \beta\} \in \{e, \mu\}$ : different **lepton flavor** content than d=6, not related to d=6 operators. [Hambye & JH, PRL '18]
- Still proton decays  $p \rightarrow \ell^+ \ell'^{\pm} \pi^{\mp} \nu_\tau$  more sensitive:

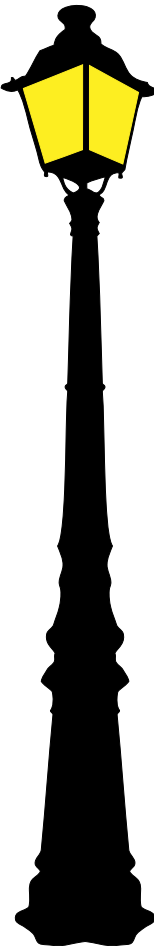
$$\tau(\underbrace{p \rightarrow e_\alpha^+ e_\beta^+ \pi^- \nu_\tau}_{\text{Only old inclusive limits: [JH \& Takhistov, PRD '20]}}) \simeq 2 \times 10^4 \text{ yr} \left( \frac{3 \times 10^{-8}}{\underbrace{\text{BR}(\tau^- \rightarrow p e_\alpha^- e_\beta^-)}_{\text{[Belle, 2010.15361]}}} \right) \text{ [JH \& Watkins, 2405.18478]}$$

- Common pattern:  
Nucleon decays into  $\nu_\tau$  far more sensitive than  $\tau$  decays, but lacking exclusive or inclusive searches at Super-K. Could easily **improve bounds** by orders of magnitude!  
[JH & Takhistov, PRD '20]

# Summary

- $\Delta B = 1$  decays are our most sensitive probe of new physics via clean two-body decays into electrons, muons, neutrinos.
- $\Delta B = 1$  with tauons more involved: [JH & Watkins, 2405.18478]  
 $\tau^- \rightarrow \bar{p}\pi^0$  clean, but can't compete with  
 $p \rightarrow \pi^+ \nu_\tau$  through virtual tau.
- **Finetuning** can't *eliminate* nucleon decays, but can push them into *untested* multi-body channels.
- Don't be discouraged to look for  $\Delta B$  tau decays!
- Be encouraged to **broaden nucleon decay** searches.

Explore every corner of our lamppost!





Backup

# Standard Model Effective Field Theory

- EFT with Majorana neutrinos: [Weinberg, '79 & '80]

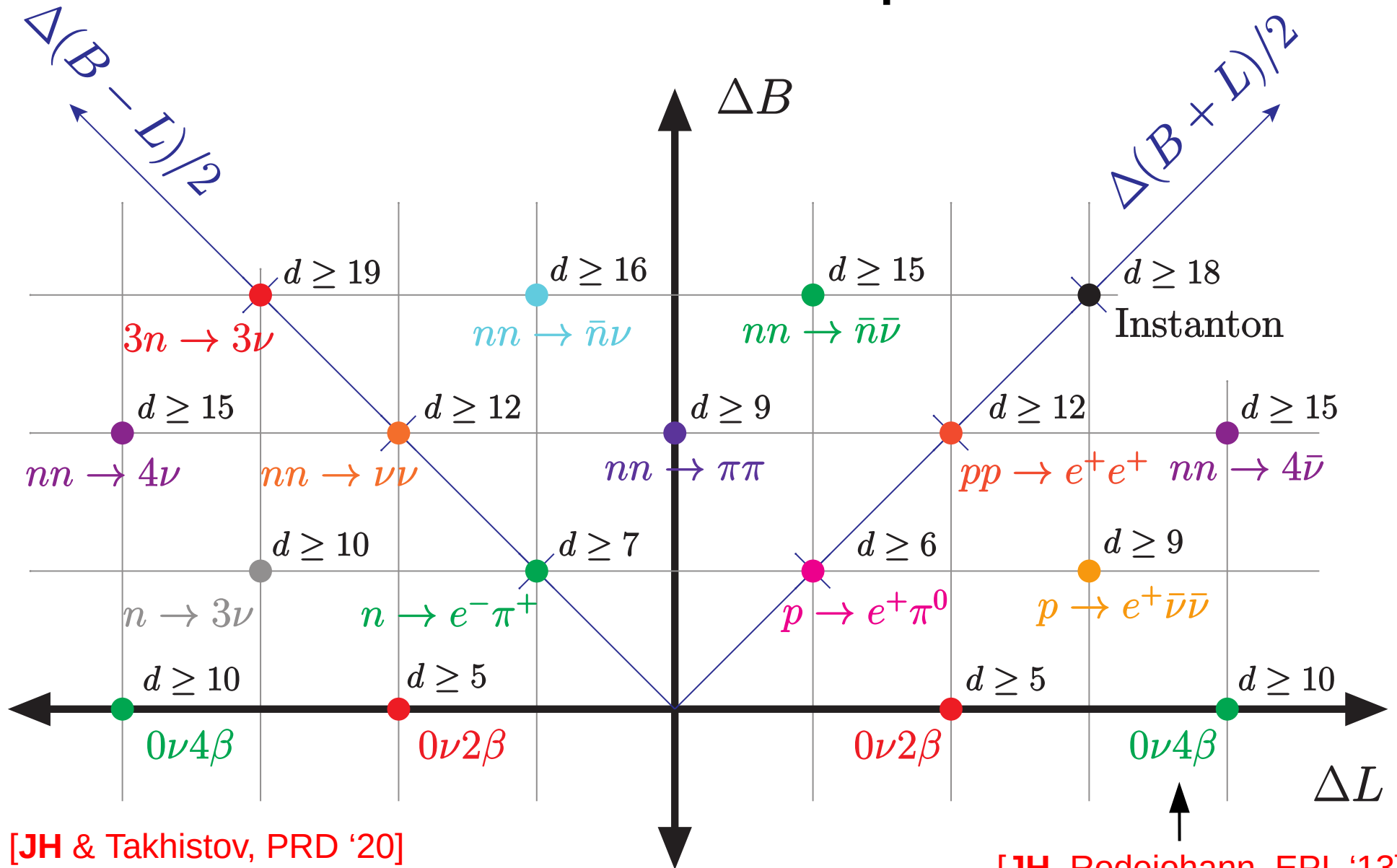
$$L = L_{\text{SM}} + \frac{LLHH}{\Lambda} + \sum_j \frac{\mathcal{O}_j}{\Lambda^2} + \sum_j \frac{\mathcal{O}'_j}{\Lambda^3} + \sum_j \frac{\mathcal{O}''_j}{\Lambda^4} + \dots$$

$\Delta L = 2$        $\Delta B = \Delta L = 1$        $\Delta B = -\Delta L = 1$

- $d_{\min} \geq \frac{9}{2}|\Delta B| + \frac{3}{2}|\Delta L|$ . [Kobach '16; Helset, Kobach, '19]
- BNV sensitive to  $d \gg 6$ , unlike any other experiment.
- $\Delta B$  dominated by  $d = 6$ , unless forbidden by **symmetry!**  
[Weinberg, '80]
- Some symmetry/hierarchy **has to exist**, otherwise

$$\Lambda \sim \langle H \rangle^2 / M_\nu \sim 10^{14} \text{ GeV} \longrightarrow \text{Fast proton decay!}$$

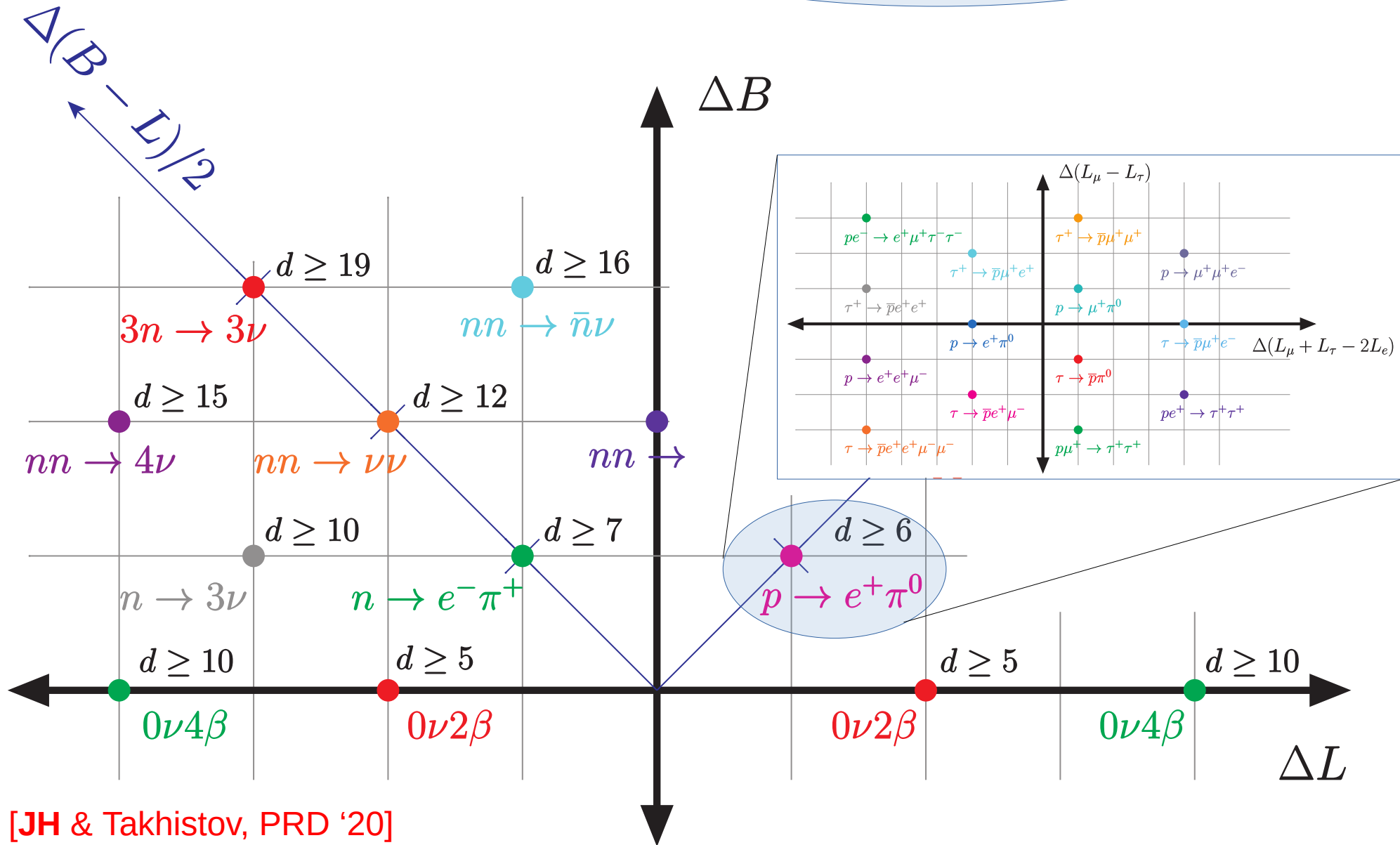
# BNV landscape



[JH & Takhistov, PRD '20]

[JH, Rodejohann, EPL '13]  
[NEMO-3, PRL '17]

$$U(1)_B \times U(1)_L \times U(1)_{L_\mu - L_\tau} \times U(1)_{L_\mu + L_\tau - 2L_e}.$$



[JH & Takhistov, PRD '20]

# All 38 two-body nucleon decay modes

Channel	$\Gamma^{-1}/10^{30}$ yr	Year
$p \rightarrow e^+ + \gamma$	41000	2018
$p \rightarrow e^+ + \pi^0$	16000	2016
$p \rightarrow e^+ + \eta$	10000	2017
$p \rightarrow e^+ + \rho^0$	720	2017
$p \rightarrow e^+ + \omega$	1600	2017
$p \rightarrow e^+ + K^0$	1000	2005
$p \rightarrow e^+ + K^{*,0}$	84	1999
$p \rightarrow \mu^+ + \gamma$	21000	2018
$p \rightarrow \mu^+ + \pi^0$	7700	2016
$p \rightarrow \mu^+ + \eta$	4700	2017
$p \rightarrow \mu^+ + \rho^0$	570	2017
$p \rightarrow \mu^+ + \omega$	2800	2017
$p \rightarrow \mu^+ + K^0$	1600	2012
$p \rightarrow \nu + \pi^+$	390	2013
$p \rightarrow \nu + \rho^+$	162	1999
$p \rightarrow \nu + K^+$	5900	2014
$p \rightarrow \nu + K^{*,+}$	130	2007

$n \rightarrow e^- + \pi^+$	65	1988
$n \rightarrow e^- + \rho^+$	62	1988
$n \rightarrow e^- + K^+$	32	1991
$n \rightarrow e^- + K^{*,+}$		
$n \rightarrow e^+ + \pi^-$	5300	2017
$n \rightarrow e^+ + \rho^-$	217	1999
$n \rightarrow e^+ + K^-$	17	1999
$n \rightarrow e^+ + K^{*,-}$		
$n \rightarrow \mu^- + \pi^+$	49	1988
$n \rightarrow \mu^- + \rho^+$	7	1988
$n \rightarrow \mu^- + K^+$	57	1991
$n \rightarrow \mu^+ + \pi^-$	3500	2017
$n \rightarrow \mu^+ + \rho^-$	228	1999
$n \rightarrow \mu^+ + K^-$	26	1999
$n \rightarrow \nu + \gamma$	550	2015
$n \rightarrow \nu + \pi^0$	1100	2013
$n \rightarrow \nu + \eta$	158	1999
$n \rightarrow \nu + \rho^0$	19	1988
$n \rightarrow \nu + \omega$	108	1999
$n \rightarrow \nu + K^0$	130	2005
$n \rightarrow \nu + K^{*,0}$	78	1999

Many of these limits are  
decades old.

[JH & Takhistov, PRD '20]

# Underground detectors

	Super-K	JUNO	Hyper-K	DUNE
<b>Location</b>	Japan	China	Japan	USA
<b>Geometry</b>	Cylinder 42m height × 39m diameter	Sphere 35.4m diameter	Cylinder 60m height × 74m diameter	Cuboid (4 modules) 58.2m × 14.0m × 12.0m
<b>Detector Material</b>	Water	LABs	Water	Liquid Argon
<b>Working Principle</b>	Cherenkov	Scintillation	Cherenkov	Scintillation
<b>Fiducial Mass</b>	22.5kt	20kt	187kt	40kt
<b># protons</b>	$8 \times 10^{33}$	$7 \times 10^{33}$	$6 \times 10^{34}$	$1 \times 10^{34}$
<b># neutrons</b>	$6 \times 10^{33}$	$5 \times 10^{33}$	$5 \times 10^{34}$	$1 \times 10^{34}$
<b>Approx. Start Year</b>	1996	2024	2027	2028

[adapted from Dreiner ++, 2403.18502]

- **Hyper-K** has generically the best sensitivity, will probe up to

$$\tau_p(p \rightarrow e^+ \pi^0) \sim 10^{35} \text{ yr.} \quad [\text{Hyper-K, 2203.02029}]$$

- JUNO & DUNE could be useful for specific channels.