



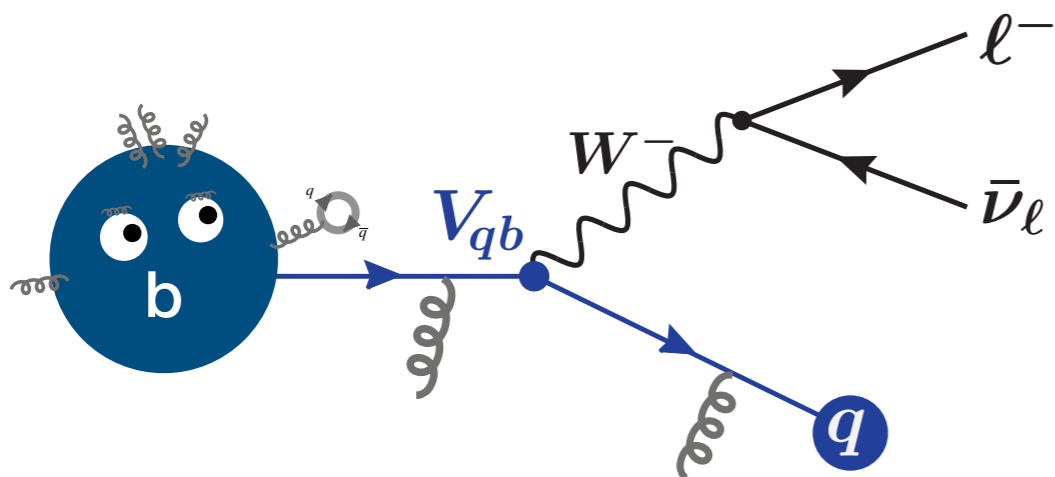
Semileptonic Measurements from Belle and Belle II

DISCRETE 2024

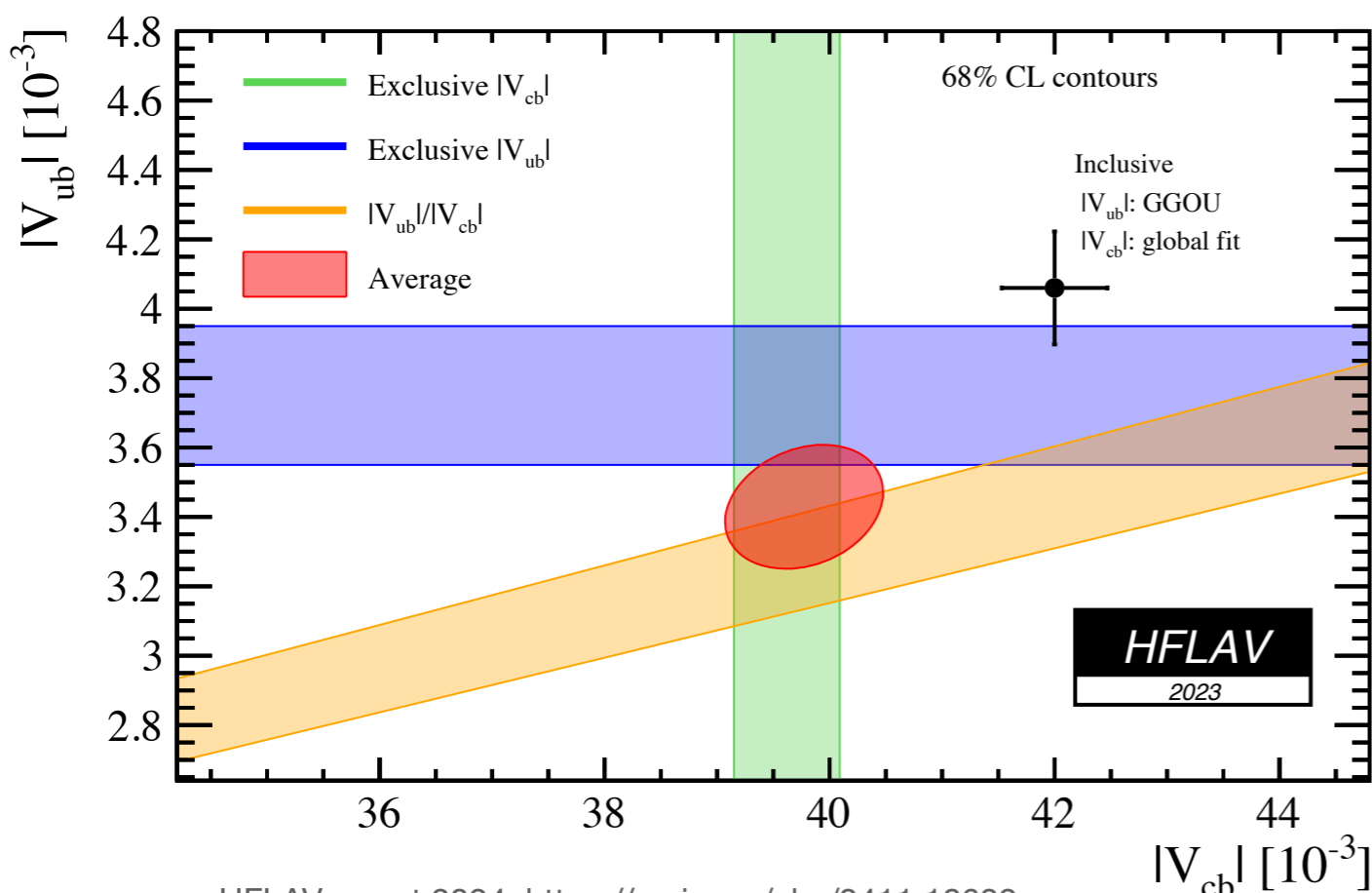
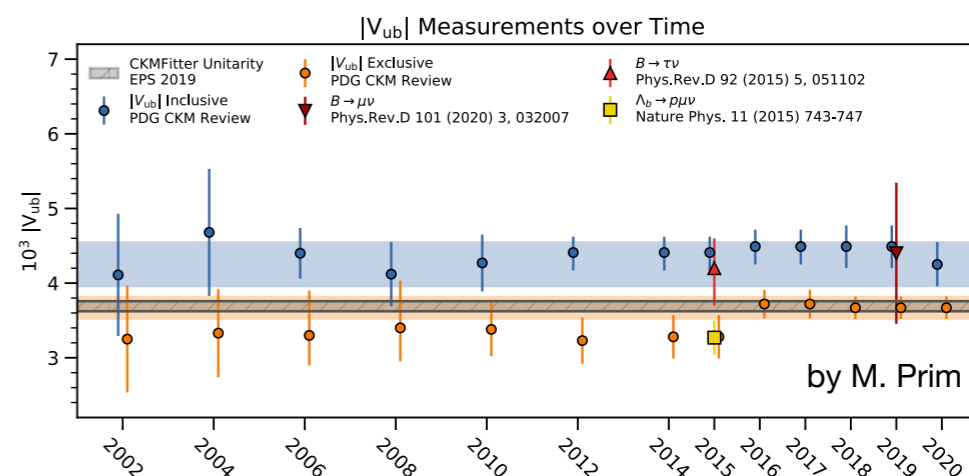
Florian Bernlochner

Puzzles...

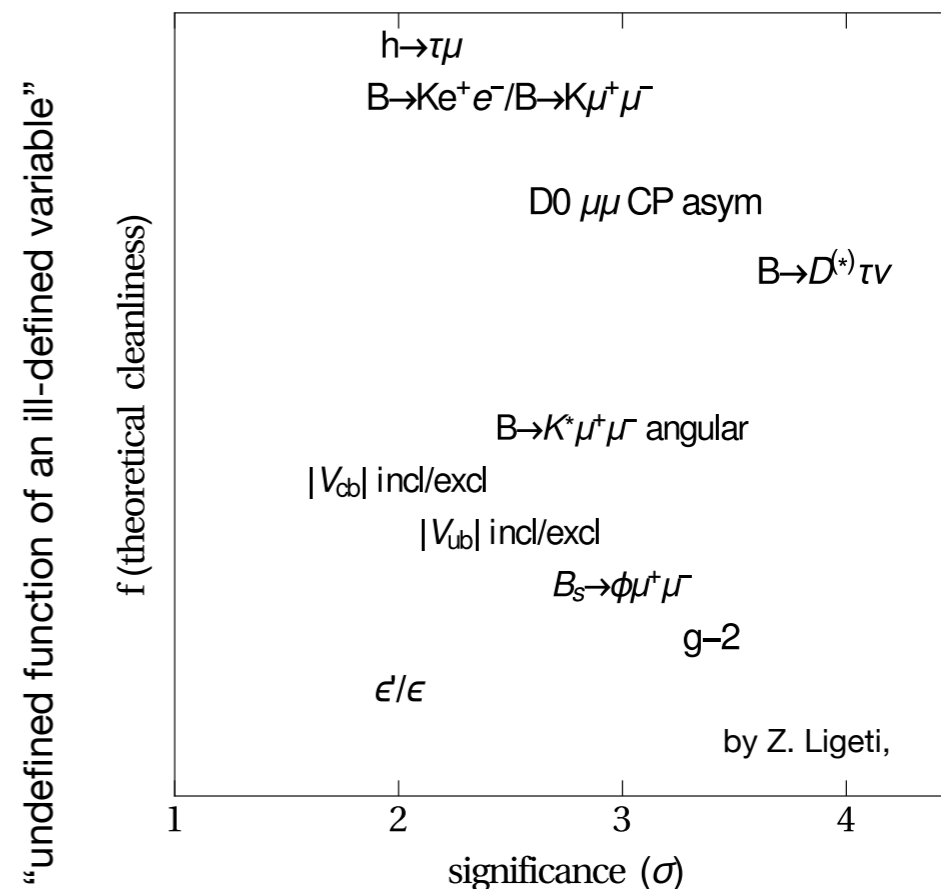
It may look cute, but that might be deceiving...



... Long-standing discrepancy since more than two decades



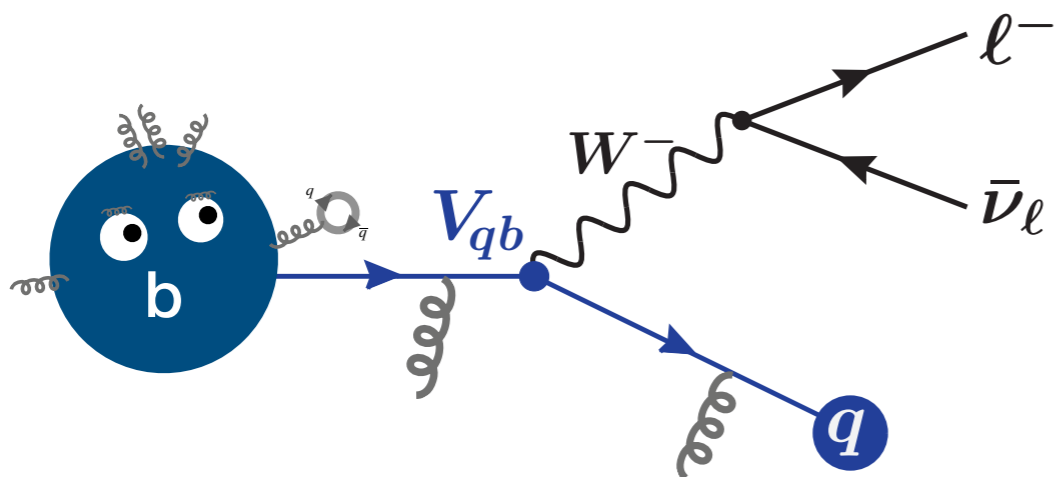
HFLAV report 2024, <https://arxiv.org/abs/2411.18639>



<https://arxiv.org/pdf/1704.02938>

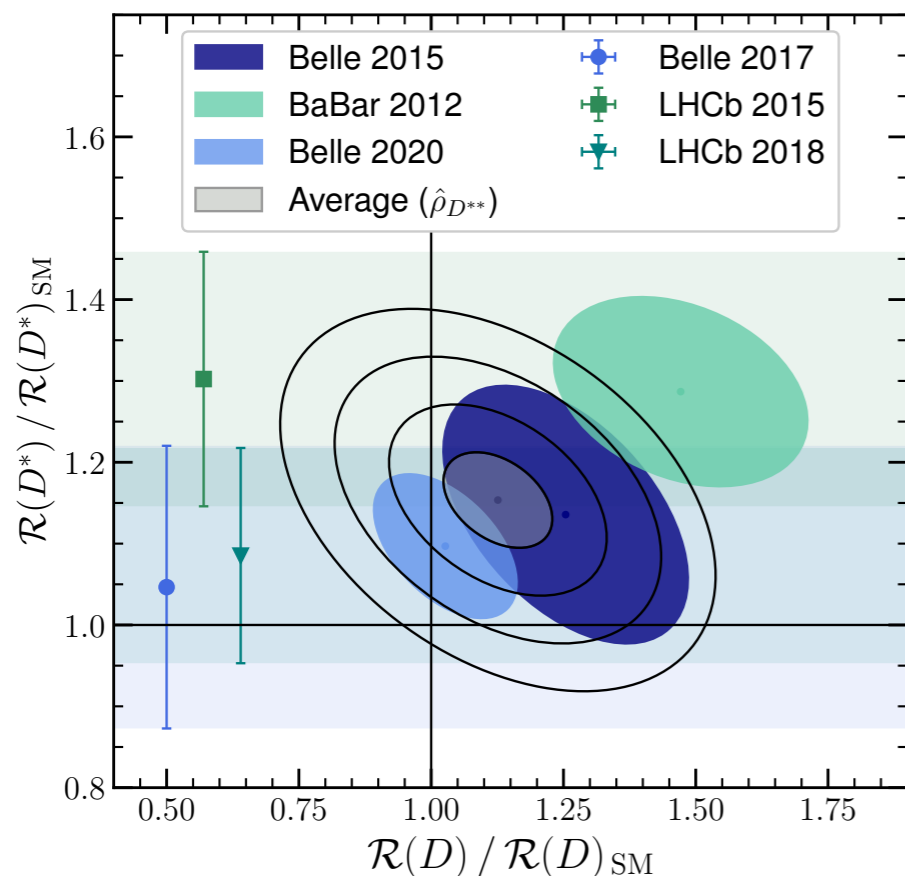
Puzzles...

It may look cute, but that might be deceiving...



$$R = \frac{b \rightarrow q \tau \bar{\nu}_\tau}{b \rightarrow q \ell \bar{\nu}_\ell}$$

$\ell = e, \mu$



Obs.	Current World Av./Data	Current SM Prediction	Significance
$\mathcal{R}(D)$	0.340 ± 0.030	0.299 ± 0.003	1.2σ
$\mathcal{R}(D^*)$	0.295 ± 0.014	0.258 ± 0.005	2.5σ
$P_\tau(D^*)$	$-0.38 \pm 0.51^{+0.21}_{-0.16}$	-0.501 ± 0.011	0.2σ
$F_{L,\tau}(D^*)$	$0.60 \pm 0.08 \pm 0.04$	0.455 ± 0.006	1.6σ
$\mathcal{R}(J/\psi)$	$0.71 \pm 0.17 \pm 0.18$	0.2582 ± 0.0038	1.8σ
$\mathcal{R}(\pi)$	1.05 ± 0.51	0.641 ± 0.016	0.8σ
$\mathcal{R}(D)$	0.337 ± 0.030	0.299 ± 0.003	1.3σ
$\mathcal{R}(D^*)$	0.298 ± 0.014	0.258 ± 0.005	2.5σ

SL Analysis Methods

The question of **tagging**:

At e^+e^- -B-Factories we can leverage the known initial collision kinematics

E.g. if just one final state particle is missing, then with $Y = X\ell$

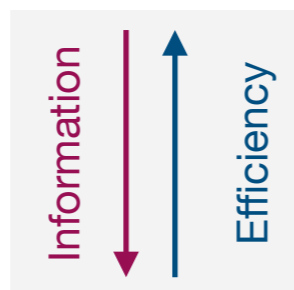
$$\cos \theta_{BY} = \frac{2E_B E_Y - m_B^2 - m_Y^2}{2|\mathbf{p}_B||\mathbf{p}_Y|} \in [-1,1]$$

Can gain even more information, if we reconstruct

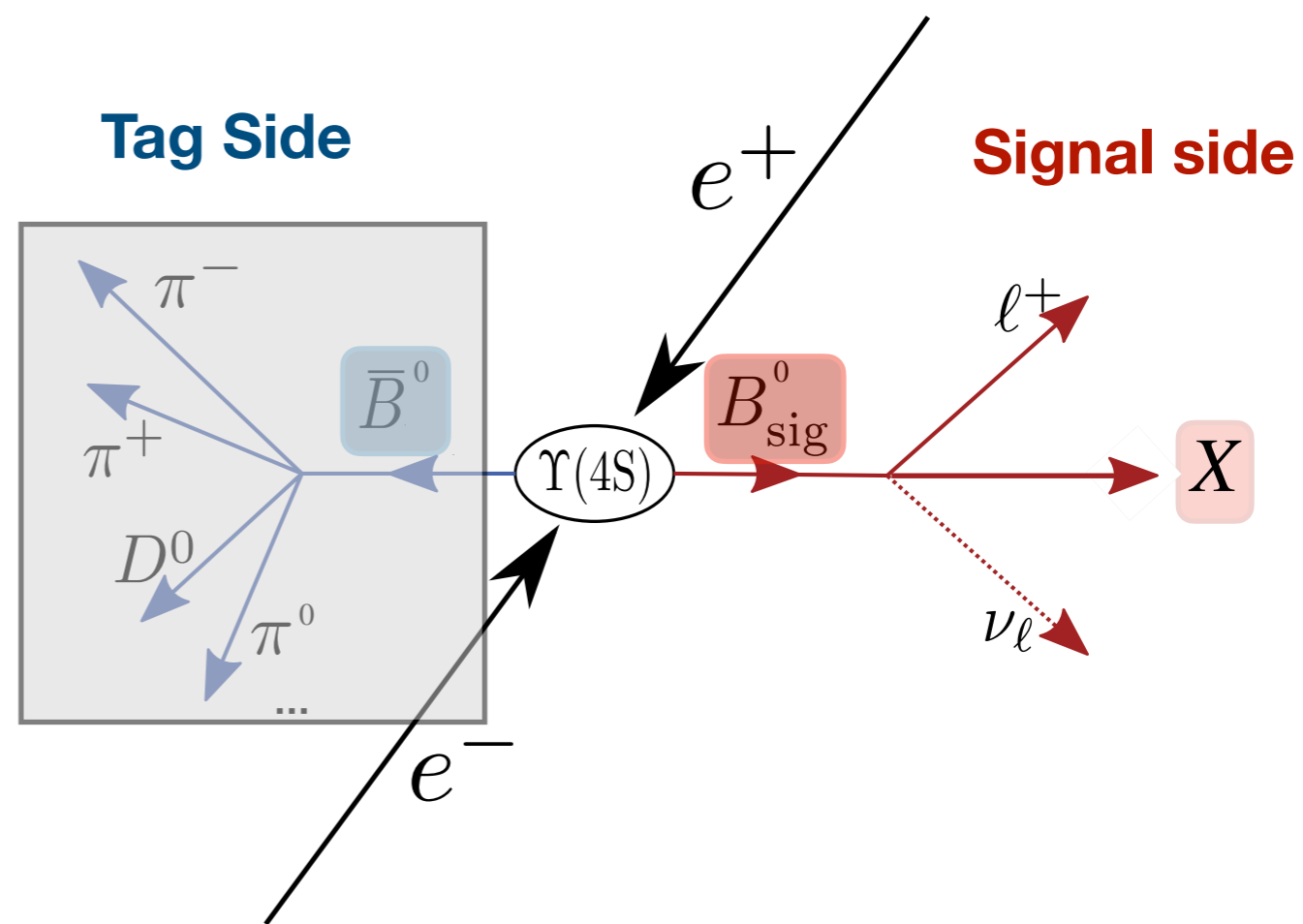
second B decay $\hat{=}$ **tagging**

Idea comes in many flavors:

- Inclusive tagging
- SL tagging
- Hadronic tagging



e.g. with **hadronic tagging** the full event kinematics but not the neutrino is reconstructed

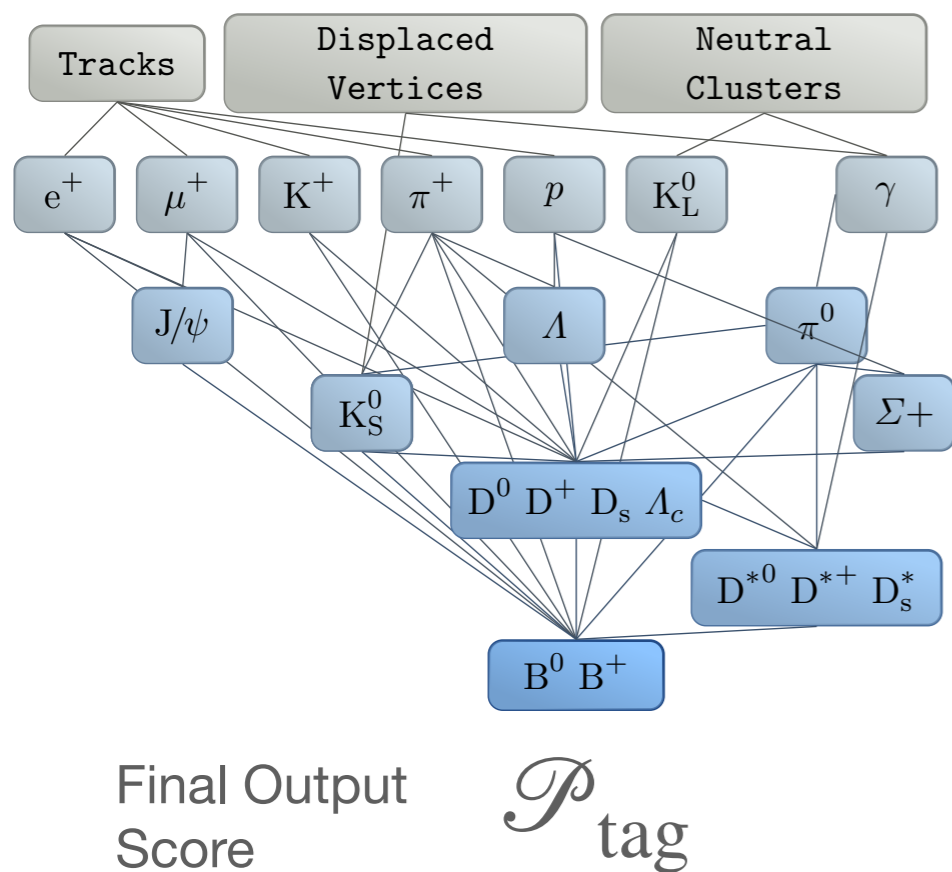


$$M_\nu^2 \simeq M_{\text{miss}}^2 = \left(p_{e^+e^-} - p_{B_{\text{tag}}} - p_X - p_\ell \right)^2$$

Exclusive Tagging in a nutshell

From
arXiv:2008.06096 [hep-ex]

5



Reconstruct B-Mesons in **several stages**:

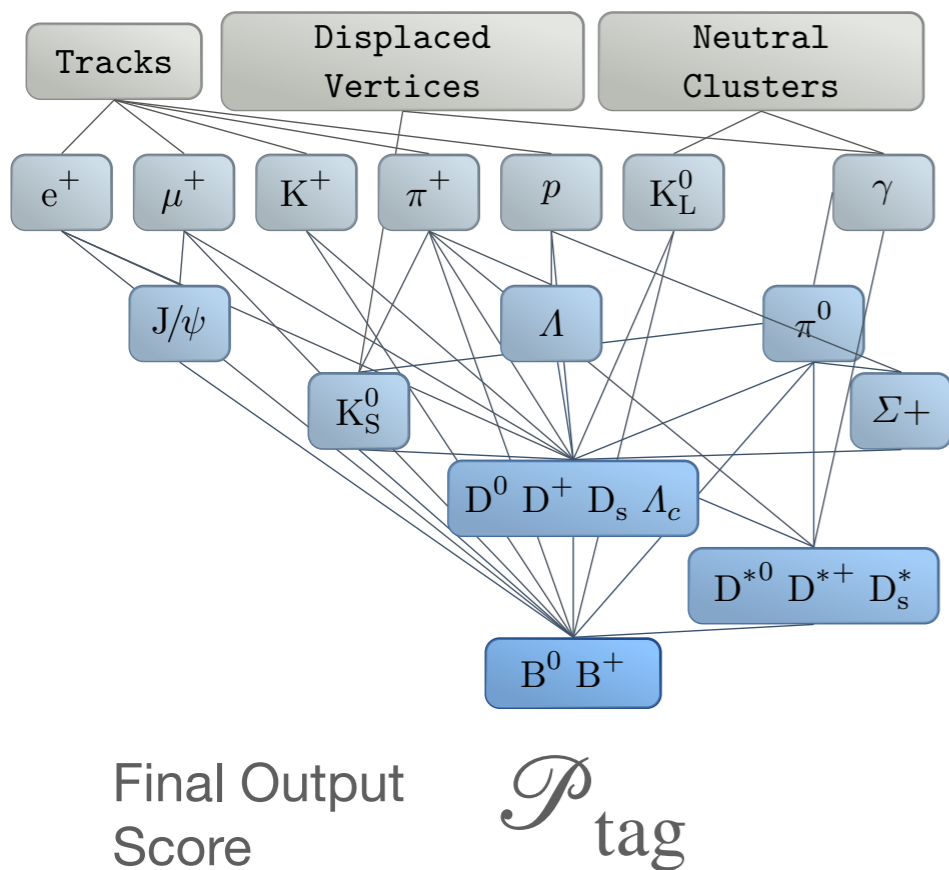
start with detector stable particles; then progress to **simple composite states**; combine the **composite states** to **build more complexity**

Each **stage** trains a **Boosted Decision Tree (BDT)** to identify good combinations;

each stage's BDT output is used as input for the next stage
+ **all kinematic information**
+ **(particle identification scores)**
+ **vertex fit probabilities**

Exclusive Tagging in a nutshell

From arXiv:2008.06096 [hep-ex]

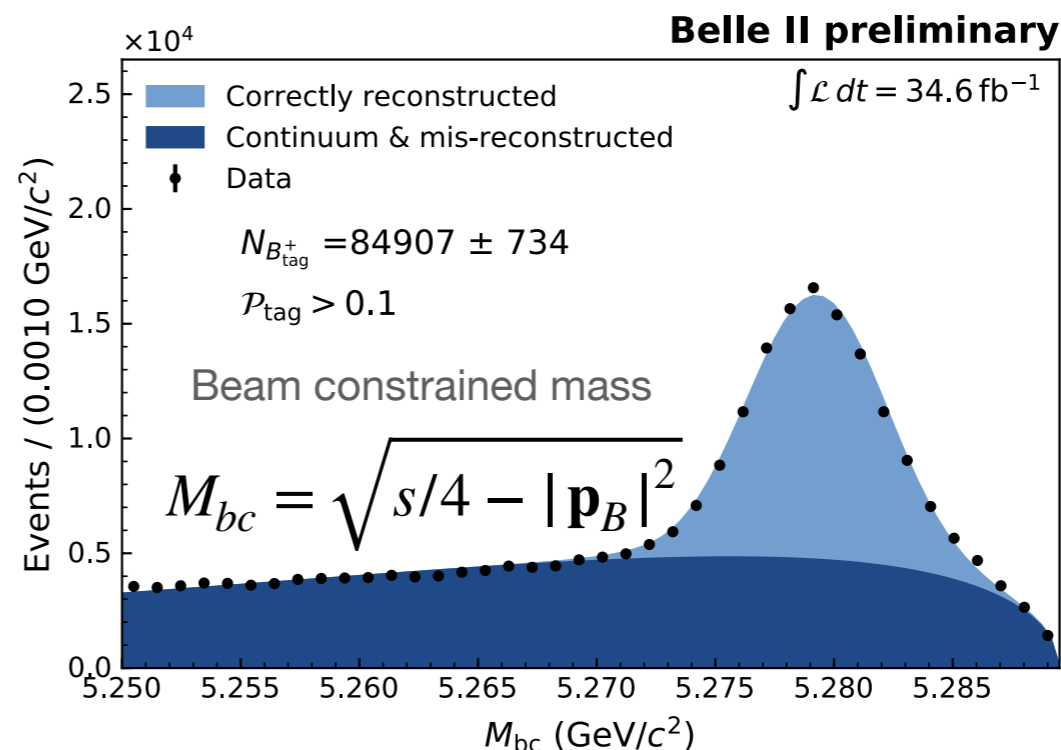
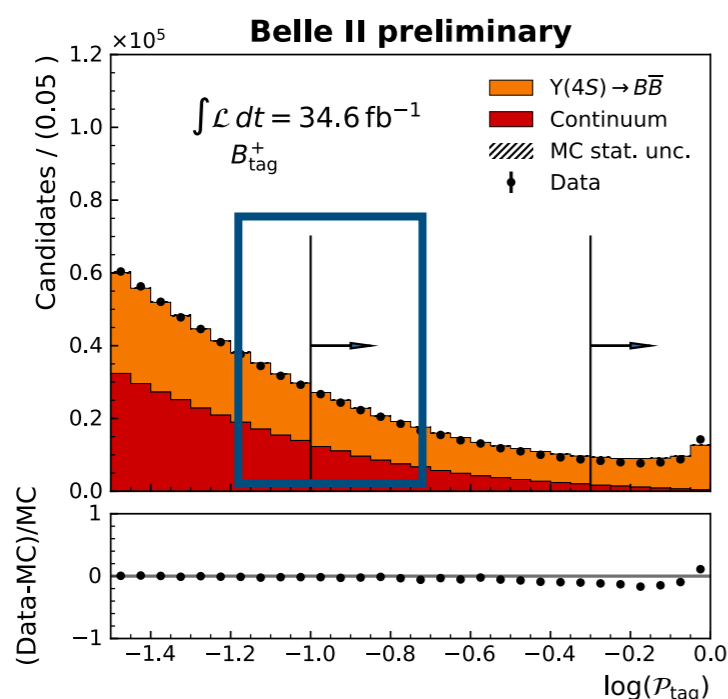


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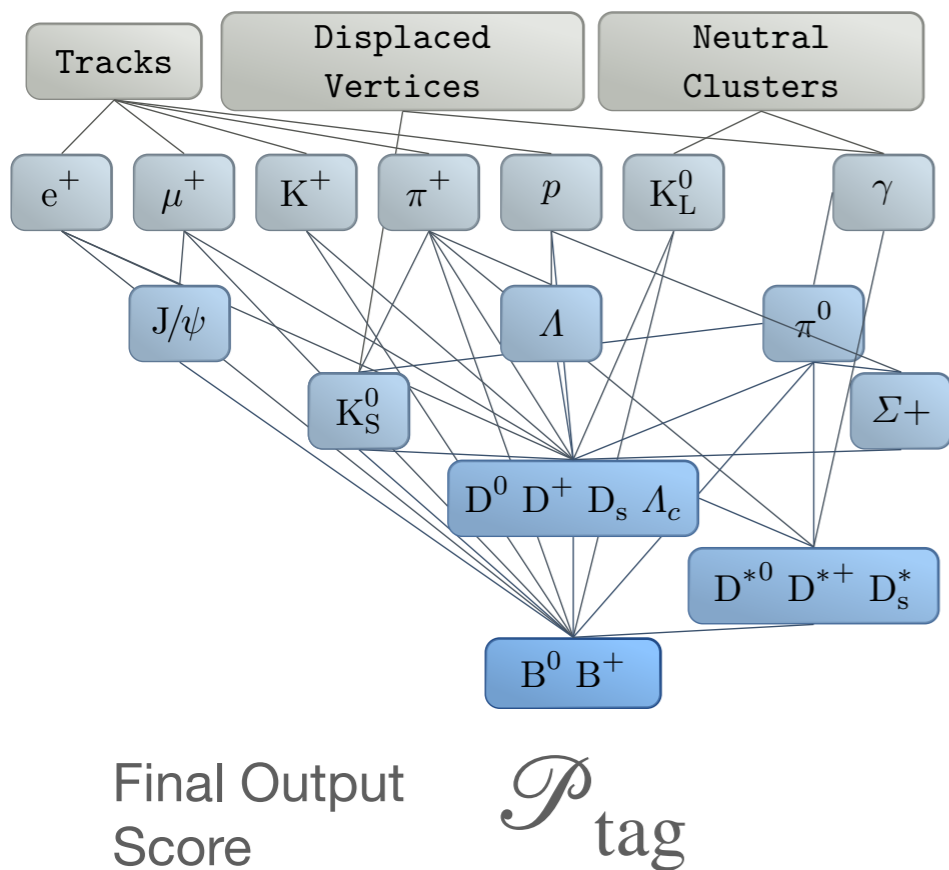
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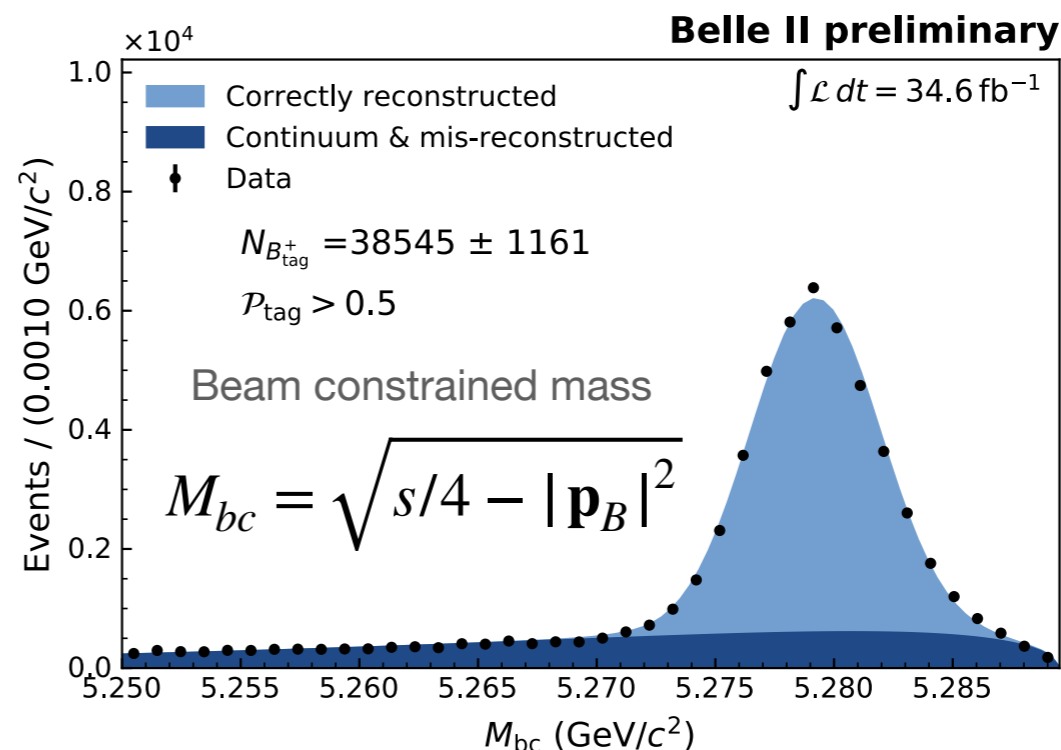
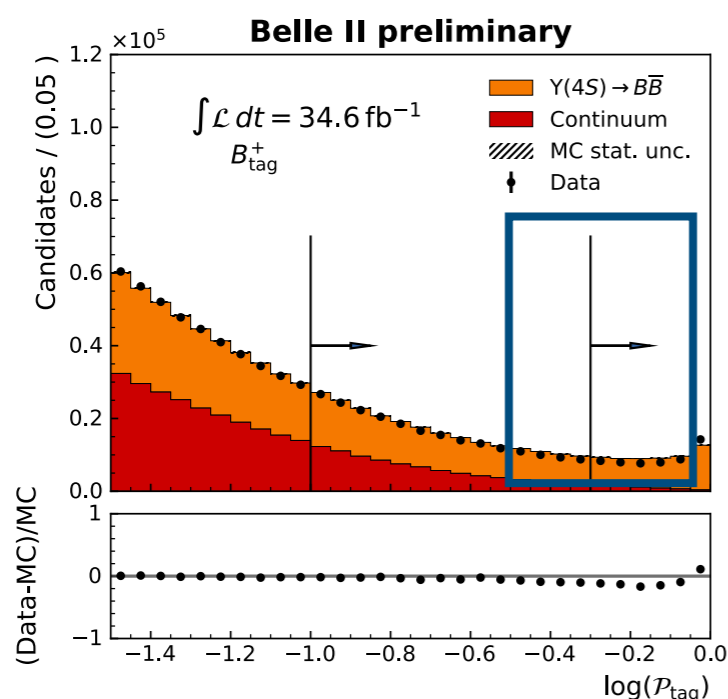


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Recent Results Overview

Inclusive

1.

Measurements of Lepton **Mass squared moments** in **inclusive** $B \rightarrow X_c \ell \bar{\nu}_\ell$ Decays with the Belle II Experiment [Phys. Rev. D 107, 072002, arXiv:2205.06372]

2.

First Measurement of $\mathcal{R}(X_{c/\ell})$ as an Inclusive Test of the $b \rightarrow c \tau \bar{\nu}_\tau$ Anomaly [Phys.Rev.Lett. 132 (2024) 21, 211804, arXiv:2311.07248]

3.

First **Simultaneous** Determination of **Inclusive** and **Exclusive** $|V_{ub}|$ [Phys.Rev.Lett. 131 (2023) 21, 211801, arXiv:2303.17309]

4.

Determination of $|V_{cb}|$ using $\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell$ with **Belle II**, [Phys Rev D. 108, 092013, arXiv:2310.01170]

5.

Test of **light-lepton universality** in **angular asymmetries** of hadronically tagged $B^0 \rightarrow D^{*-} \{e^+, \mu^+\} \nu$ decays at Belle II, [Phys.Rev.Lett. 131 (2023) 18, 181801, arXiv:2308.02023]

6.

Measurement of **Angular Coefficients** of $B \rightarrow D^* \ell \bar{\nu}_\ell$, Implications on $|V_{cb}|$ and Tests of Lepton Flavor Universality, [Phys.Rev.Lett. 133 (2024) 13, 131801, arXiv: 2310.20286]

7.

A test of **lepton flavor universality** with a measurement of $\mathcal{R}(D^*)$ using hadronic B tagging at the Belle II experiment, [Phys.Rev.D 110 (2024) 7, 072020, 2401.02840]

8.

Determination of $|V_{ub}|$ from simultaneous measurements of untagged $B^0 \rightarrow \pi^- \ell \bar{\nu}_\ell$ and $B^+ \rightarrow \rho^0 \ell \bar{\nu}_\ell$ decays [Submitted to PRD, arXiv:2407.17403]

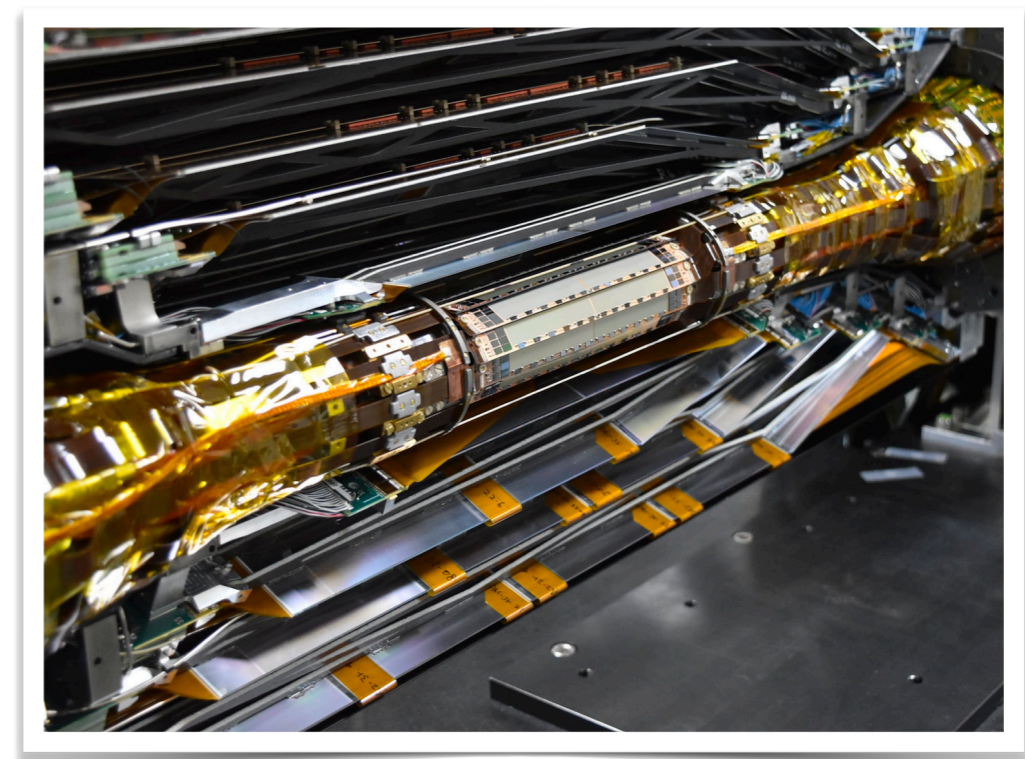
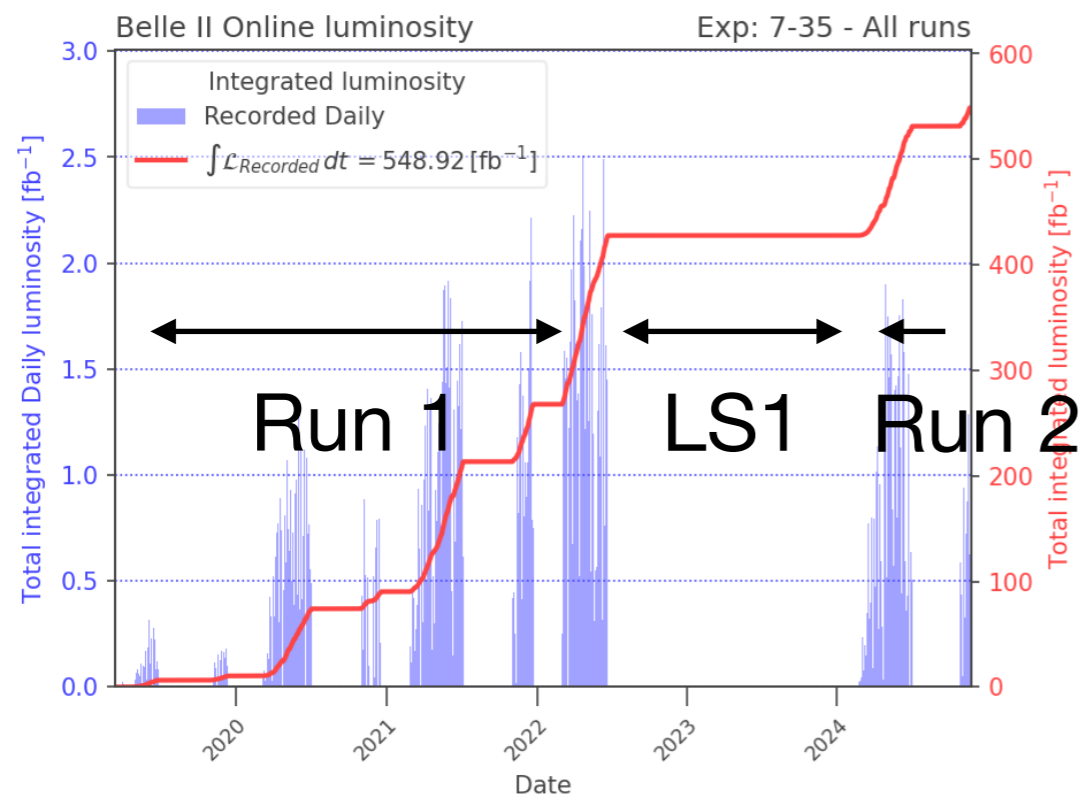
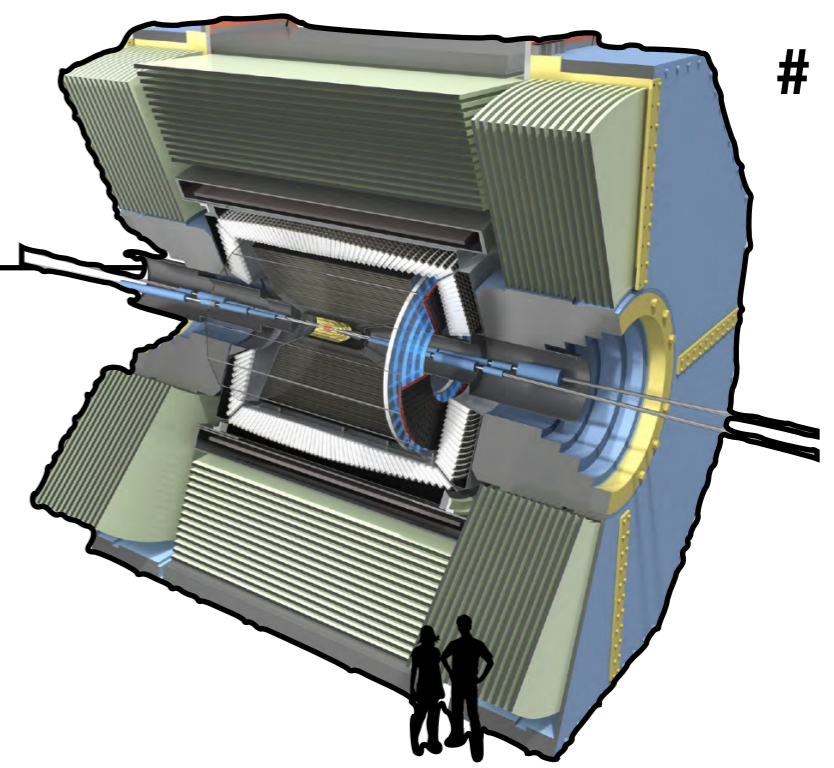
Exclusive

+ more, e.g. arXiv:2210.04224v2, arXiv:2301.07529, arXiv:2311.07248, arXiv:2211.09833

Belle II Status

Run 2 of experiment started Jan 29th 2024

Collected ca. 0.55/ab = BaBar



Current status:

Sudden beam losses of unknown origin hinder the collider to reach stable operations

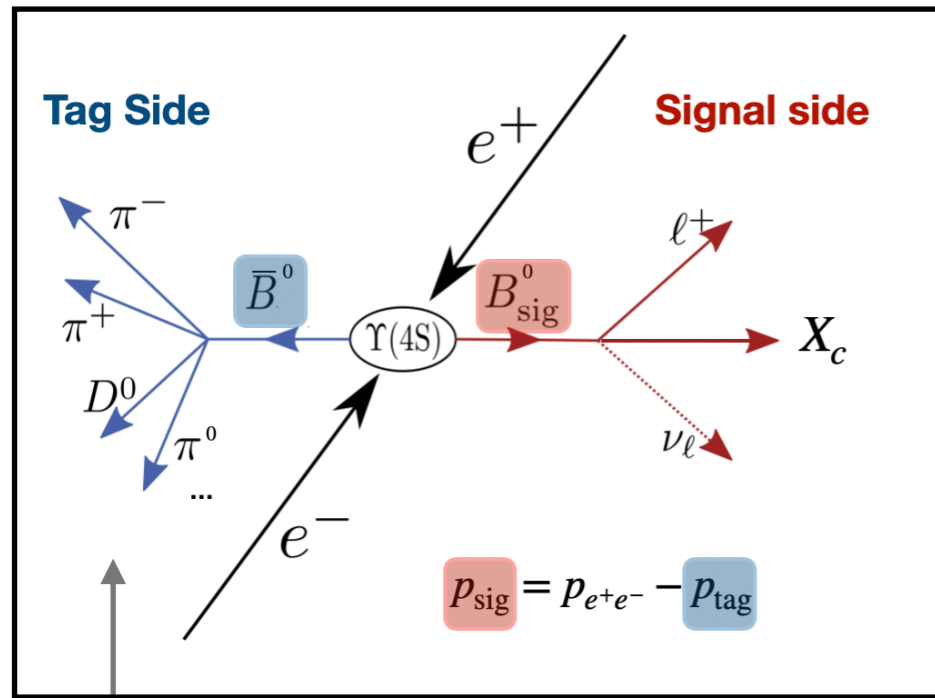
→ Devoting significant fraction of running time for machine studies to understand instabilities

1.

Measurements of Lepton **Mass squared moments** in **inclusive $B \rightarrow X_c \ell \bar{\nu}_\ell$**

Decays with the Belle II Experiment [Phys. Rev. D 107, 072002, arXiv:2205.06372]

Key-technique: hadronic tagging



$$p_{sig} = p_{e^+e^-} - p_{tag}$$

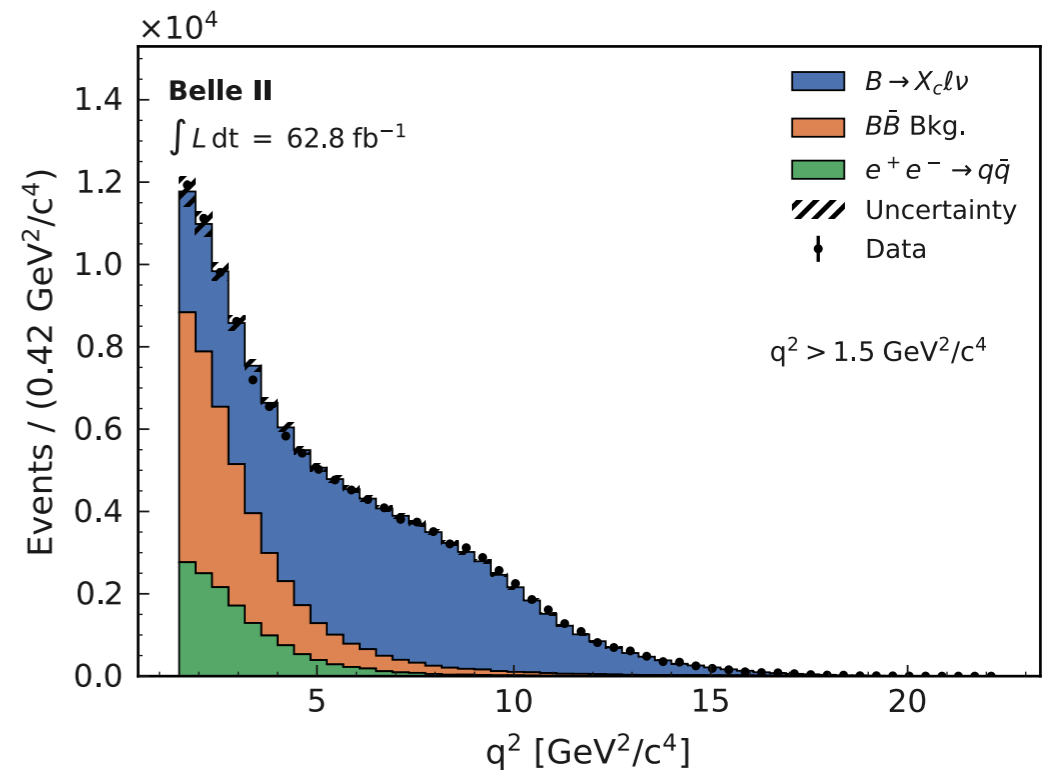
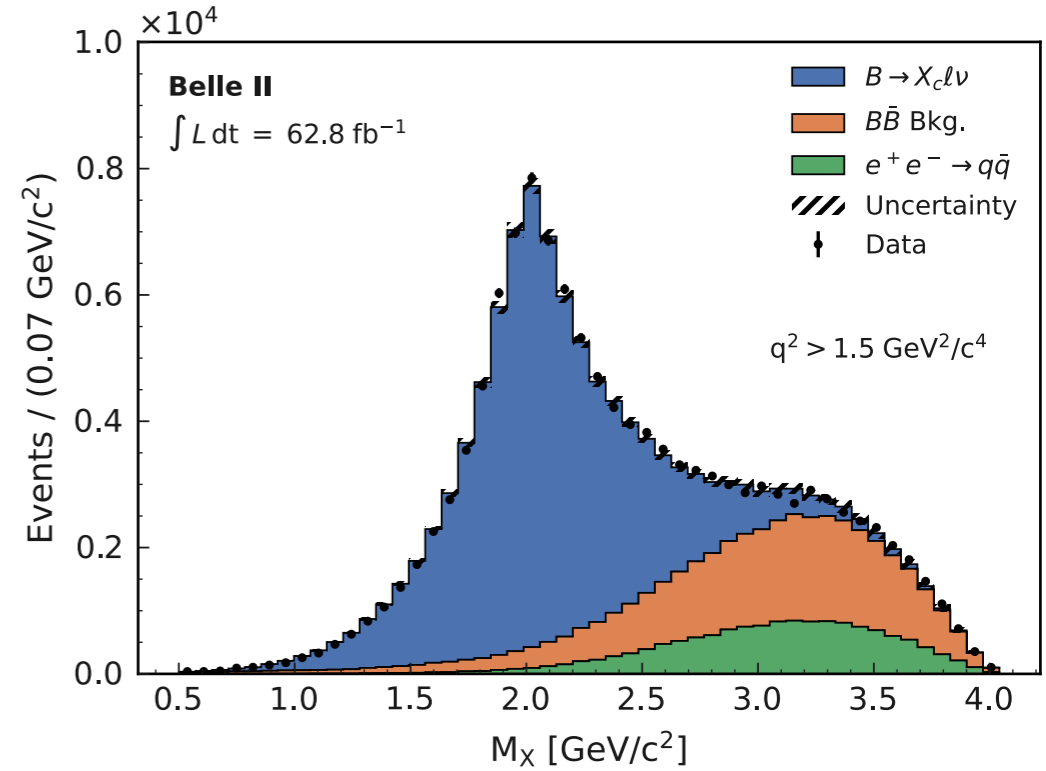
Can identify X_c constituents

$$M_X = \sqrt{(p_{X_c})_\mu (p_{X_c})^\mu}$$

$$q^2 = (p_{sig} - p_{X_c})^2$$

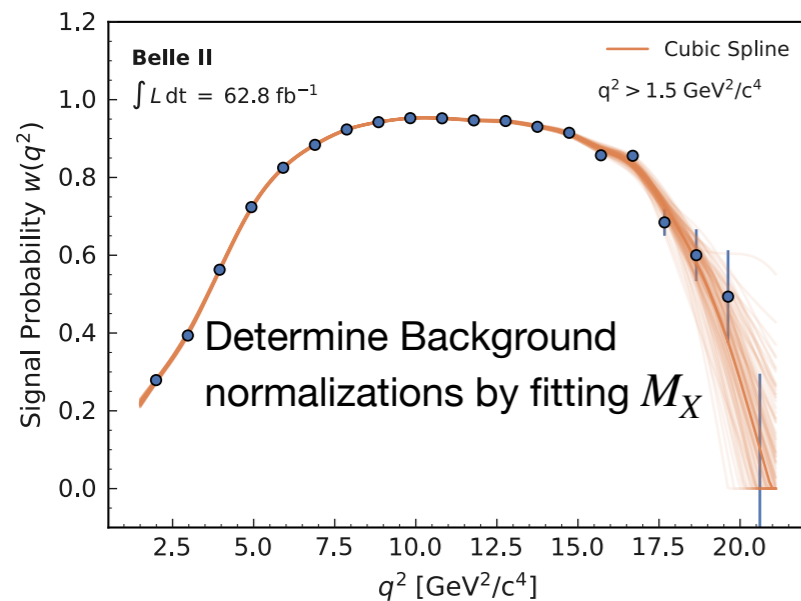
Improved Hadronic Tagging using **Belle II** algorithm (ca. 2 times more efficient)

[Full Event Interpretation, T. Keck et al, Comp. Soft. Big. Sci 3 (2019), arXiv:1807.08680]



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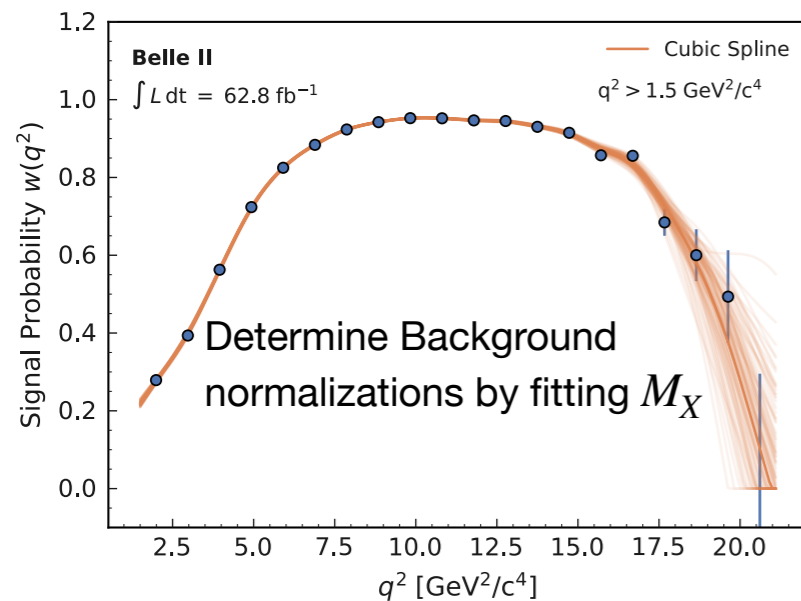
Step #1: Subtract Background

Event-wise **Master-formula**

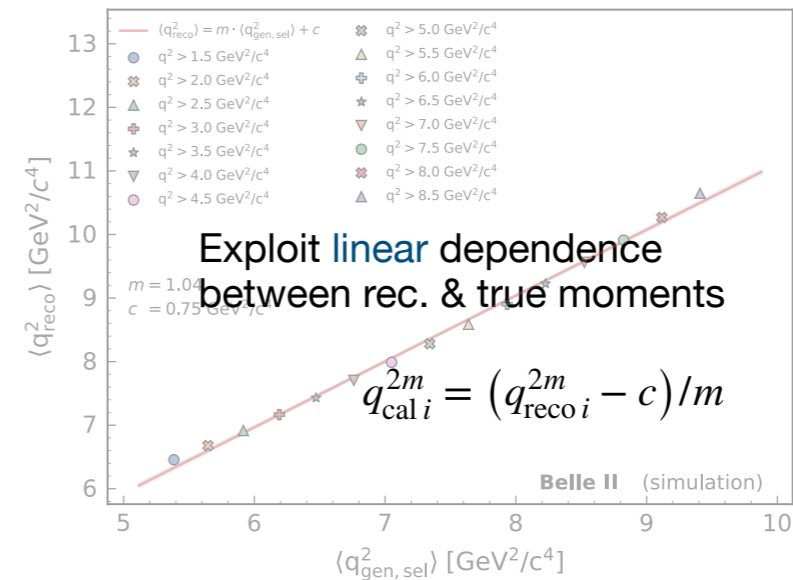
$$\langle q^{2n} \rangle = \frac{\sum_i^{N_{\text{data}}} w(q_i^2) \times q_{\text{calib},i}^{2n}}{\sum_j^{N_{\text{data}}} w(q_j^2)} \times \mathcal{C}_{\text{calib}} \times \mathcal{C}_{\text{gen}}$$

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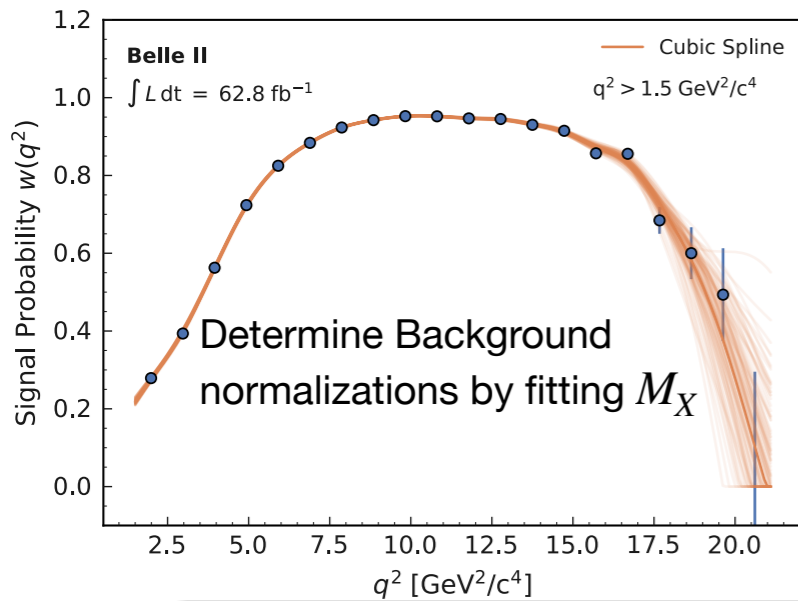
Step #2: Calibrate moment

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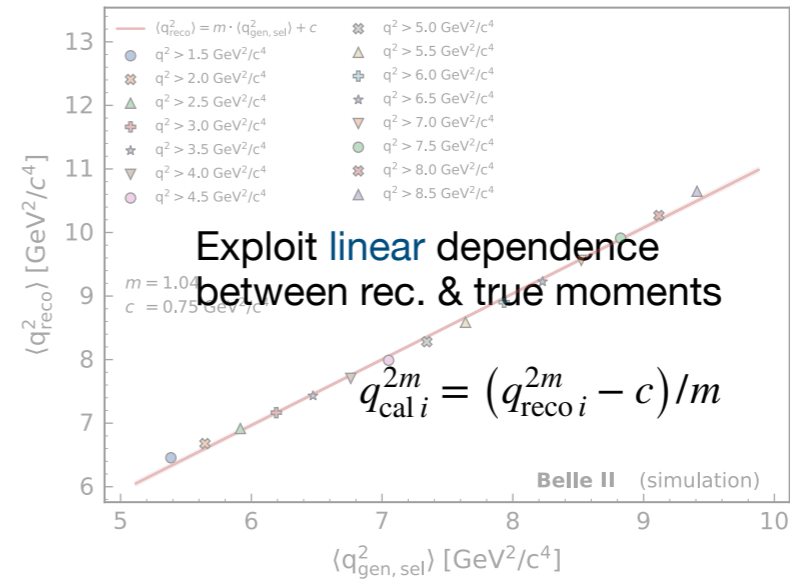
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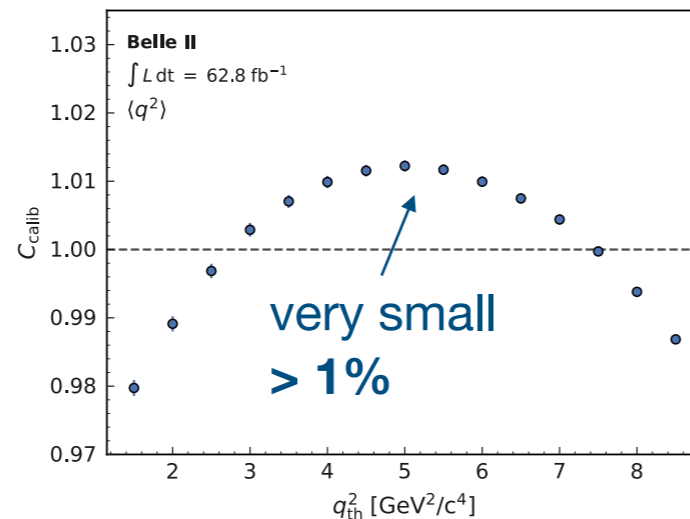


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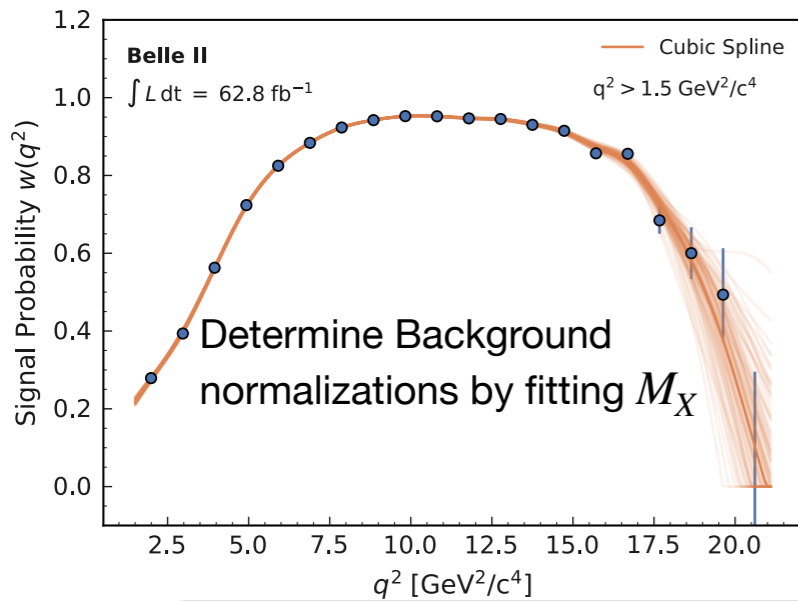
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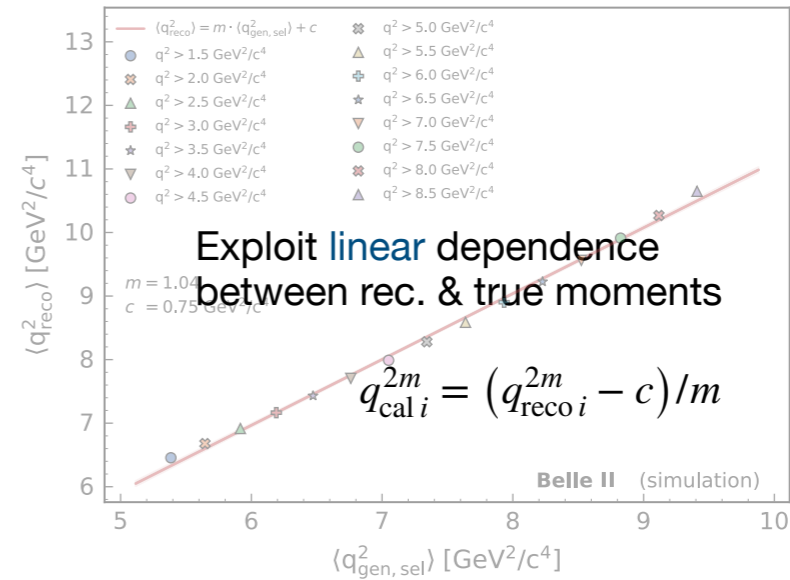


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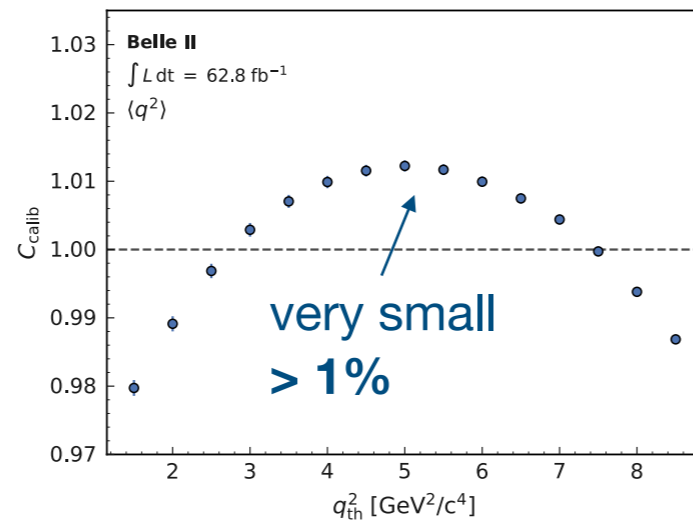


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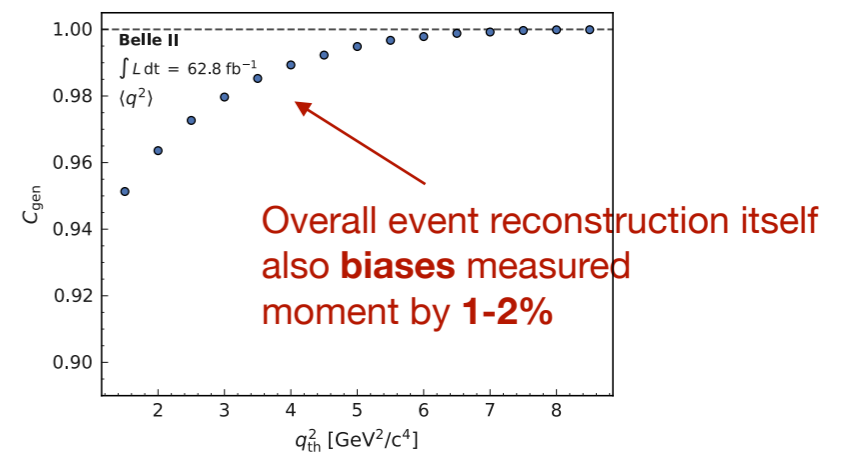
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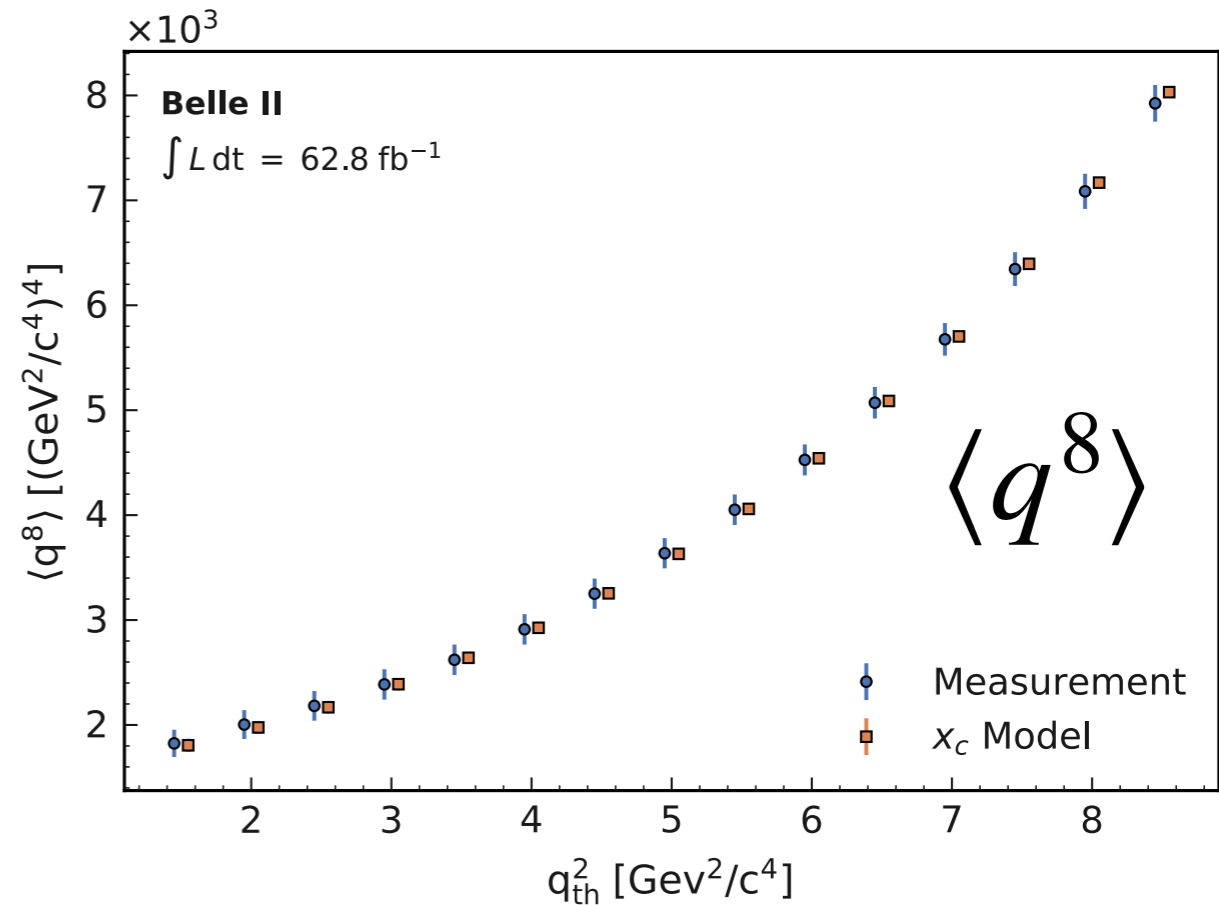
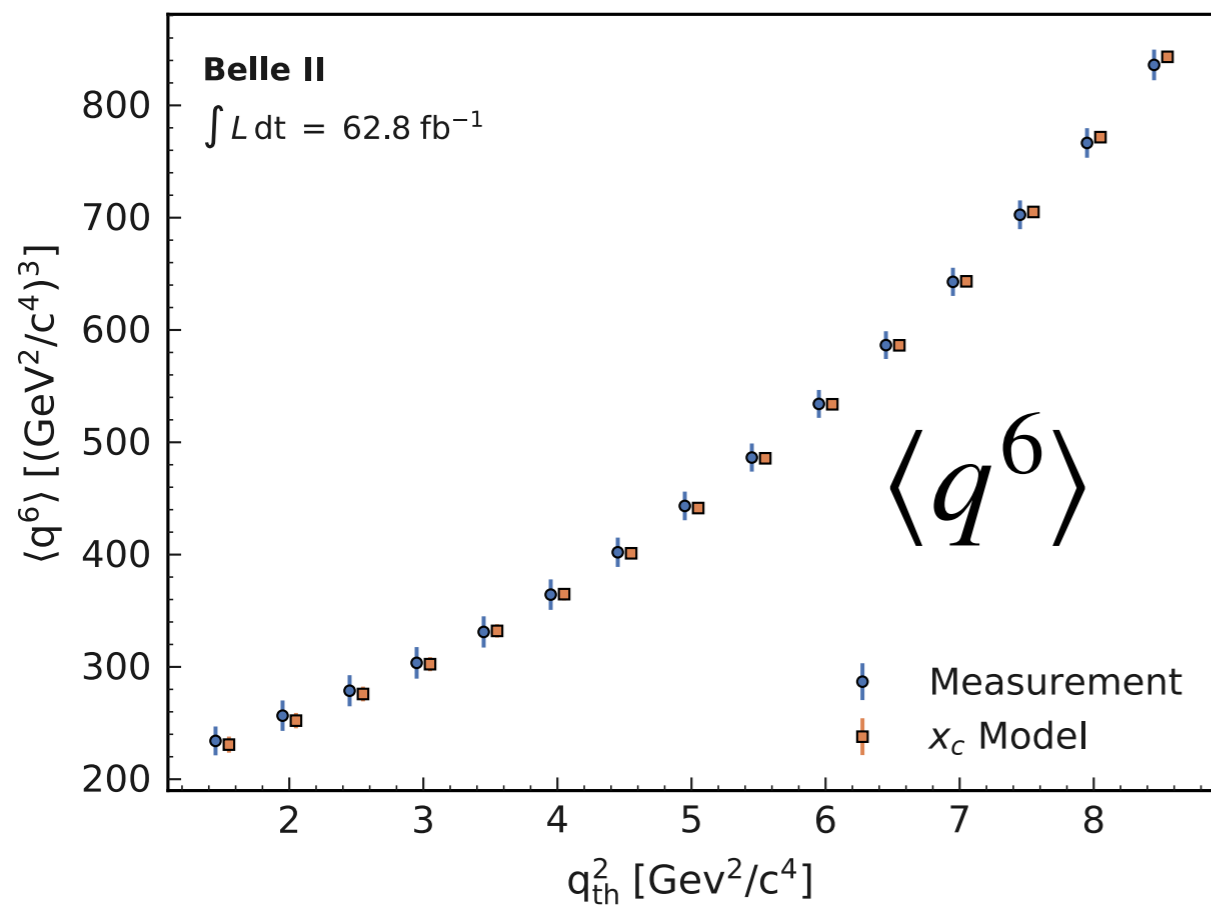
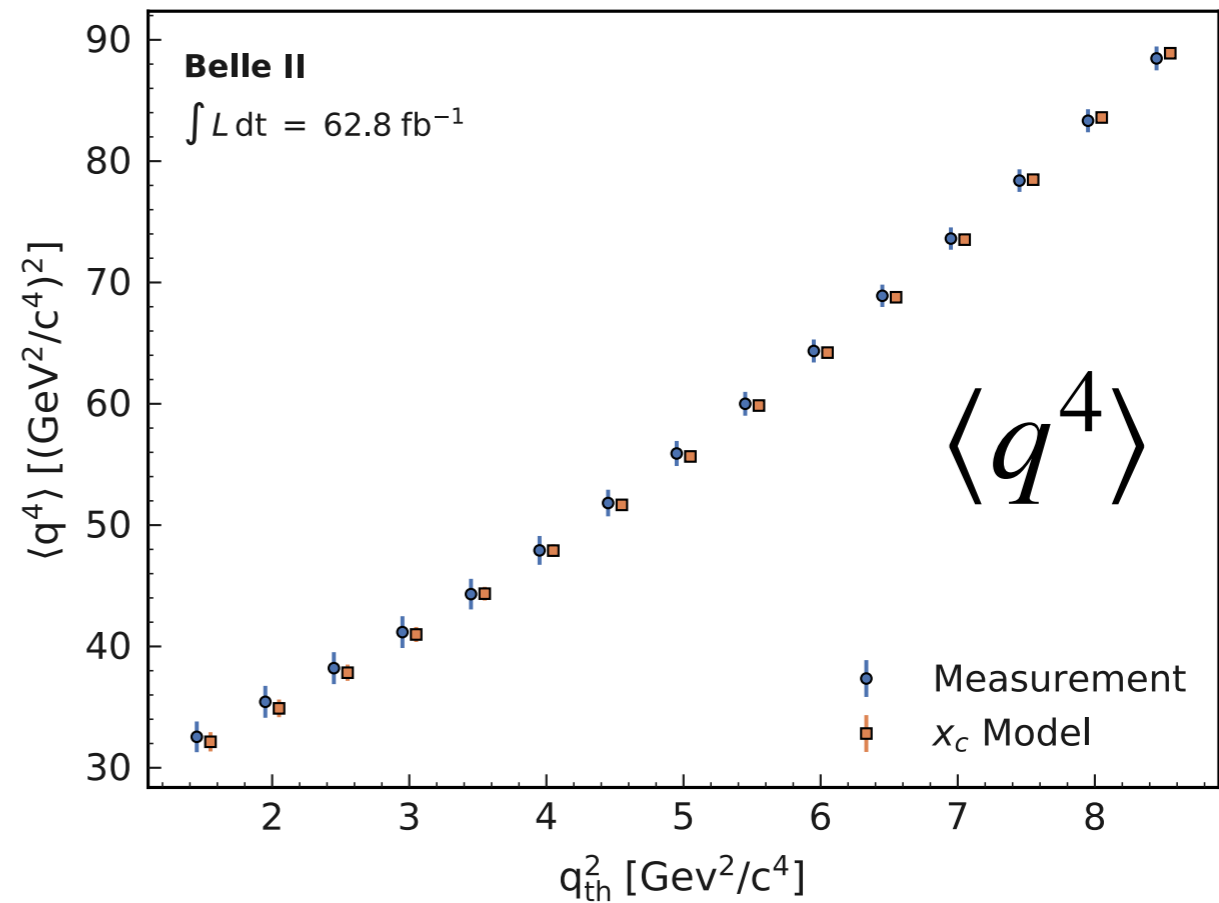
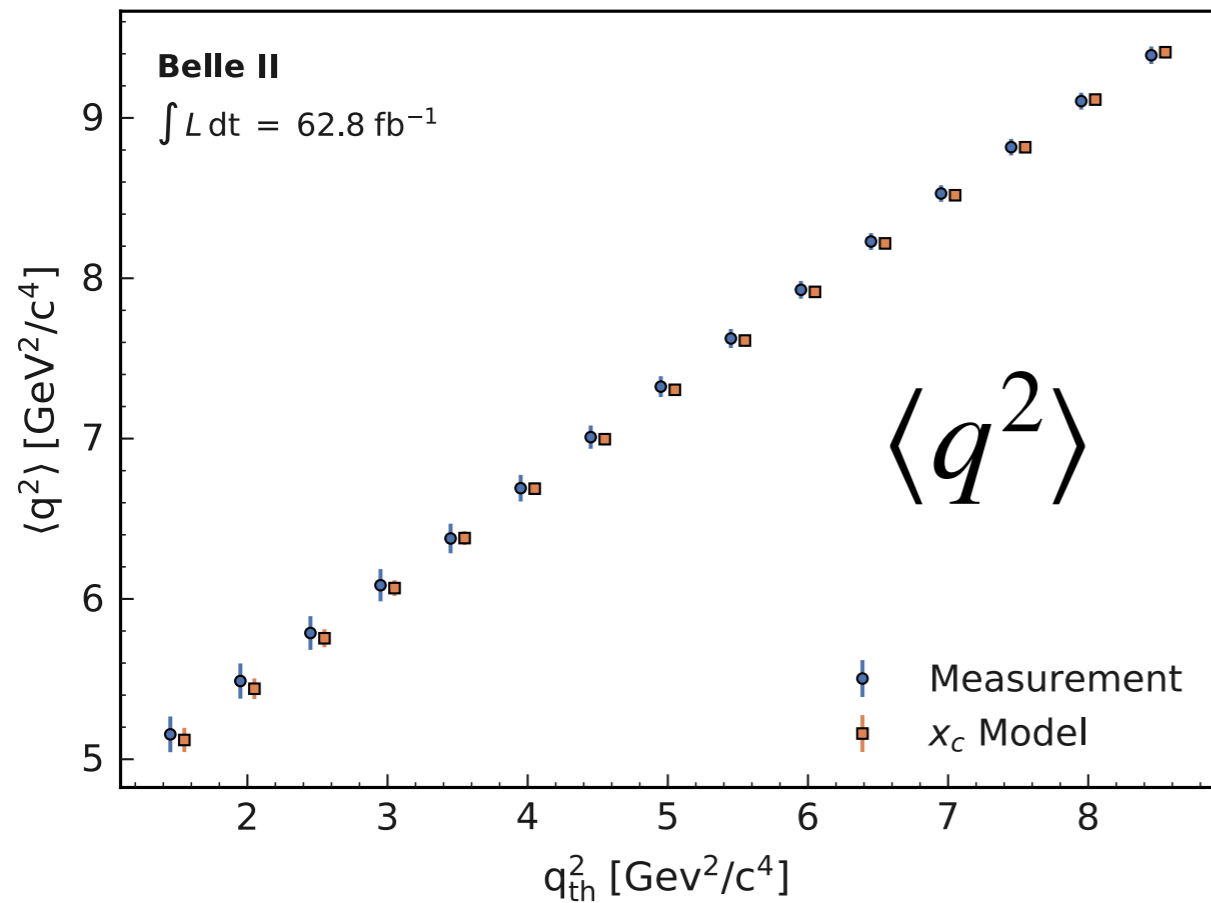
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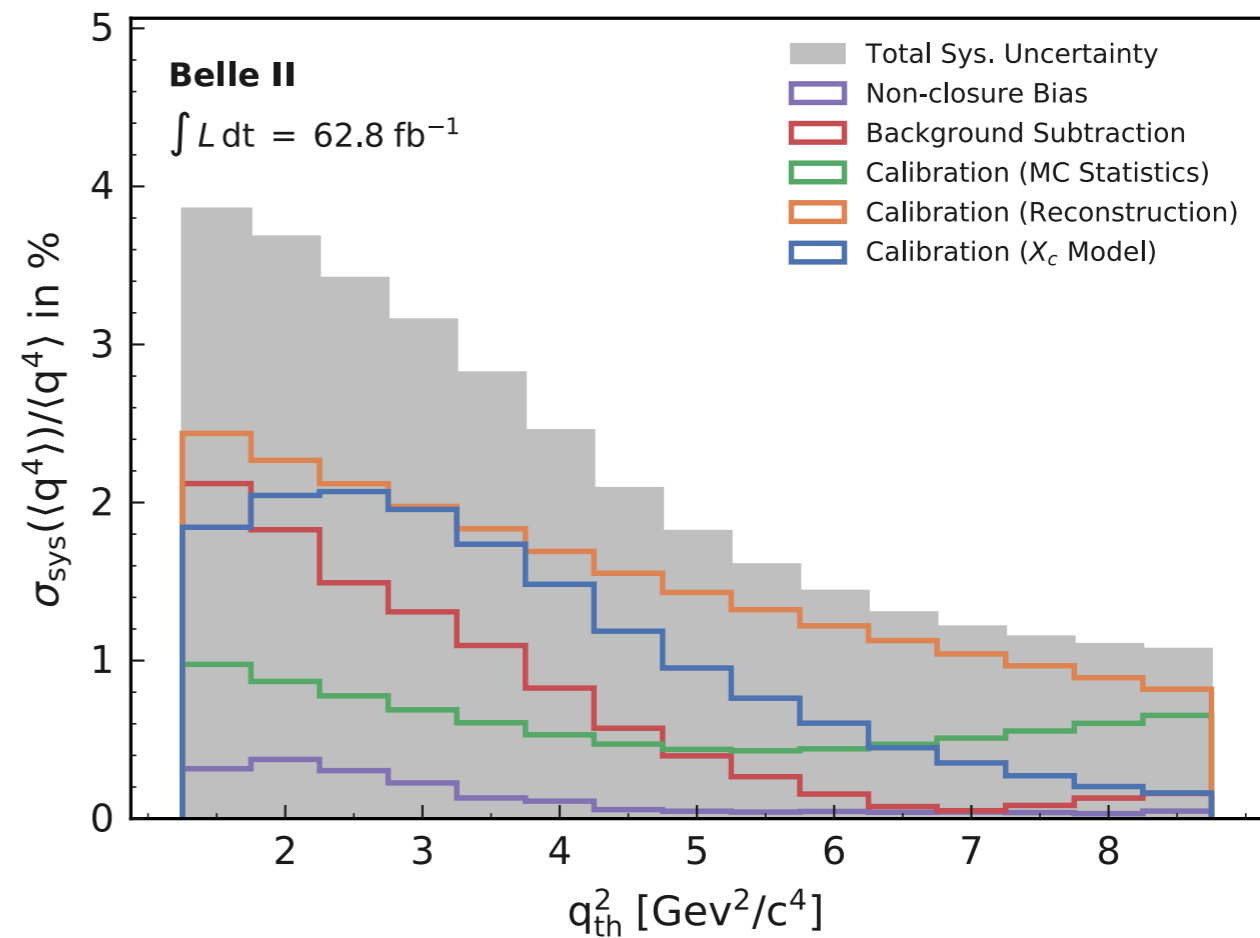
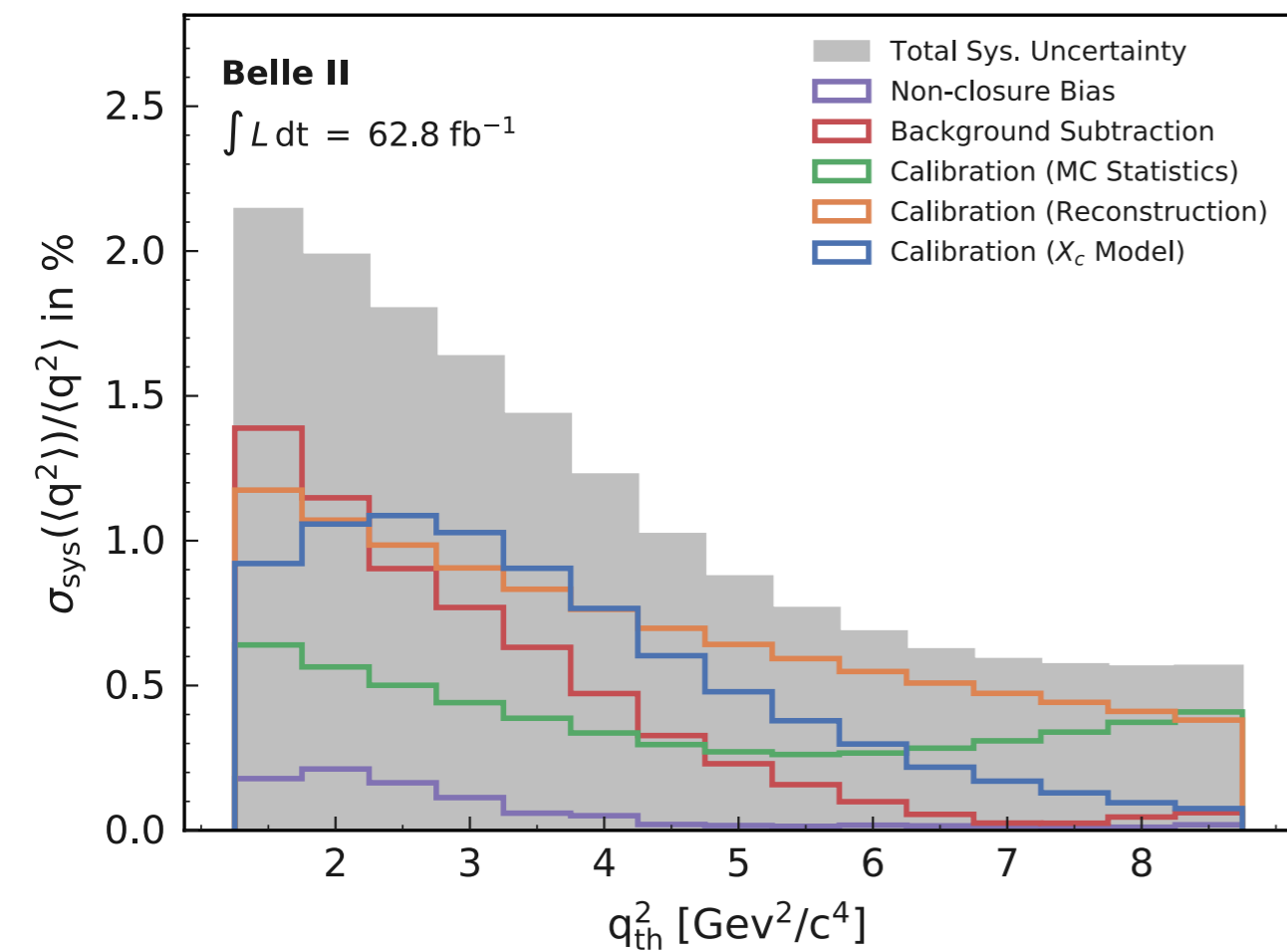
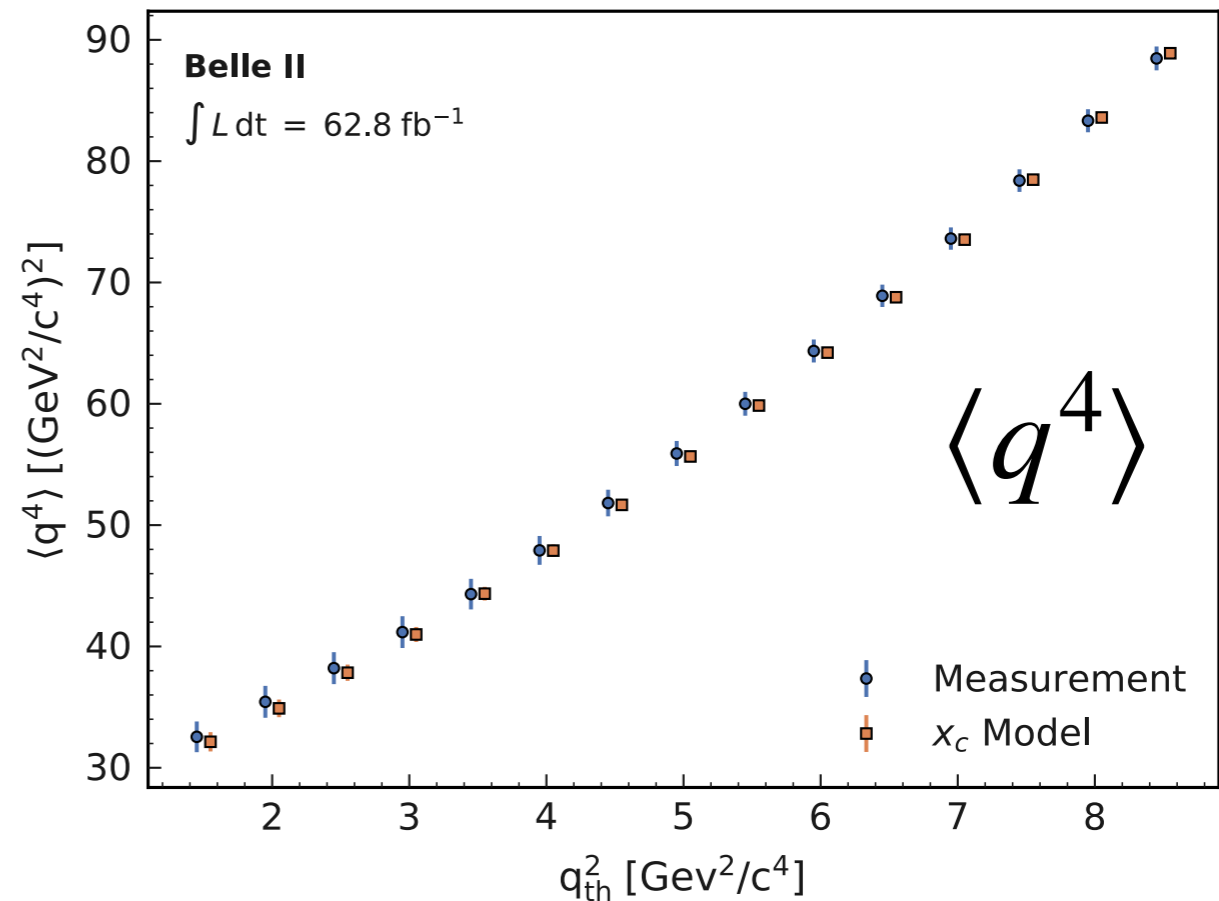
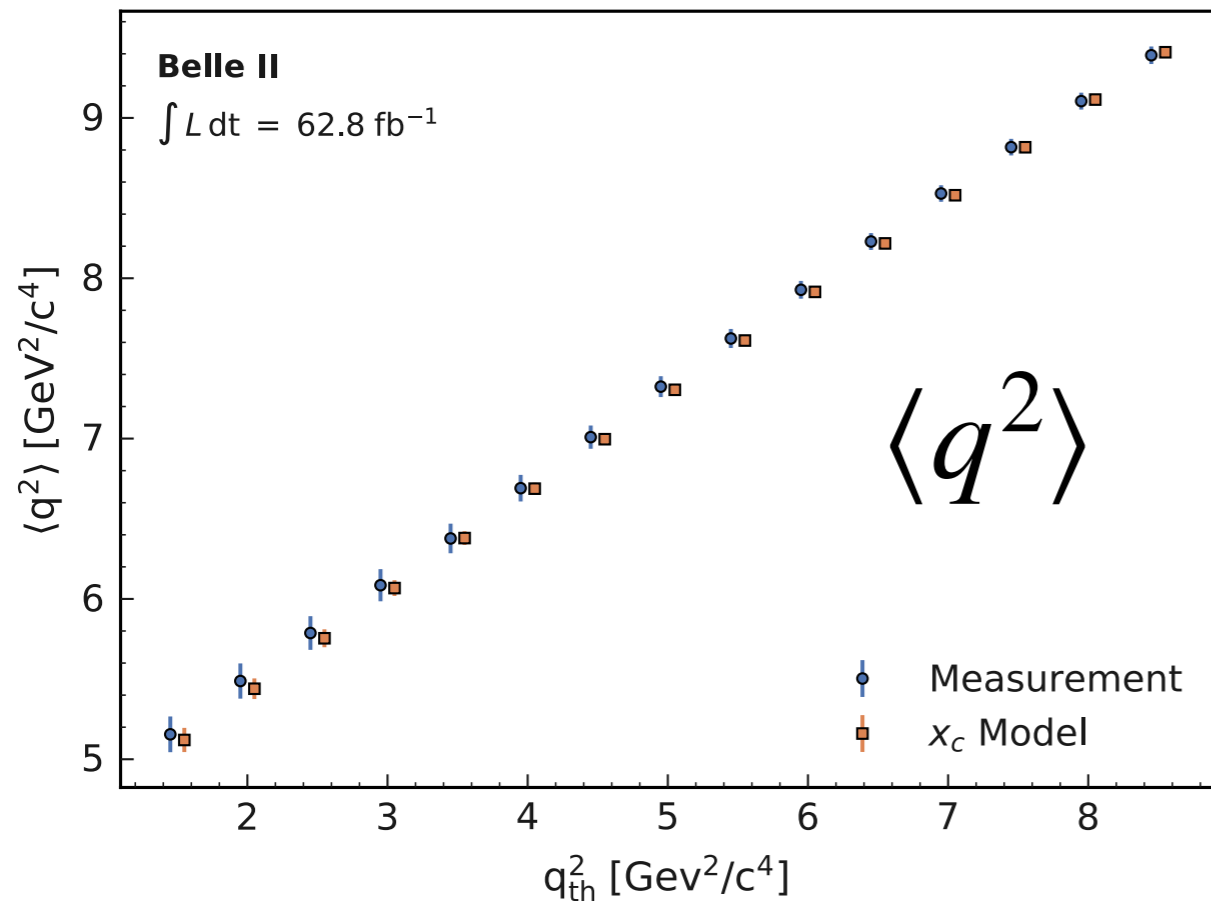
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Step #4: Correct for selection effects



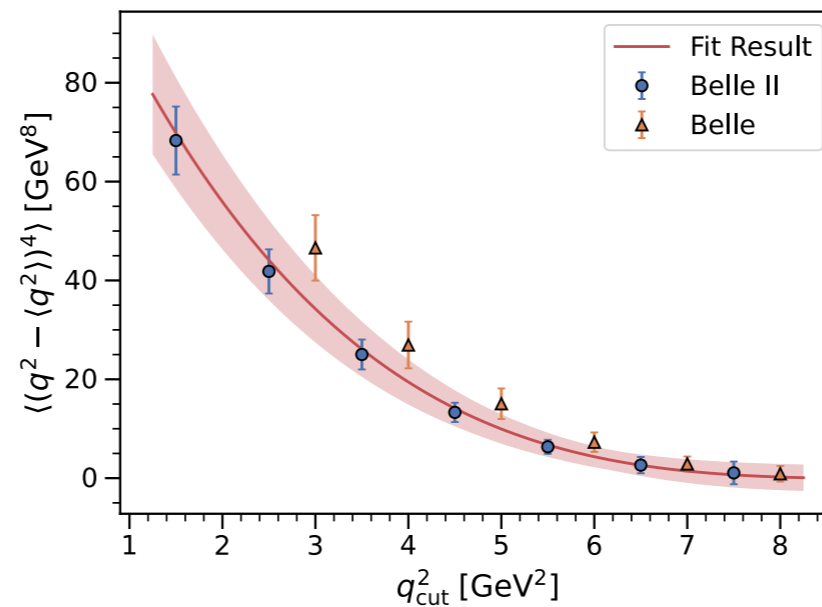
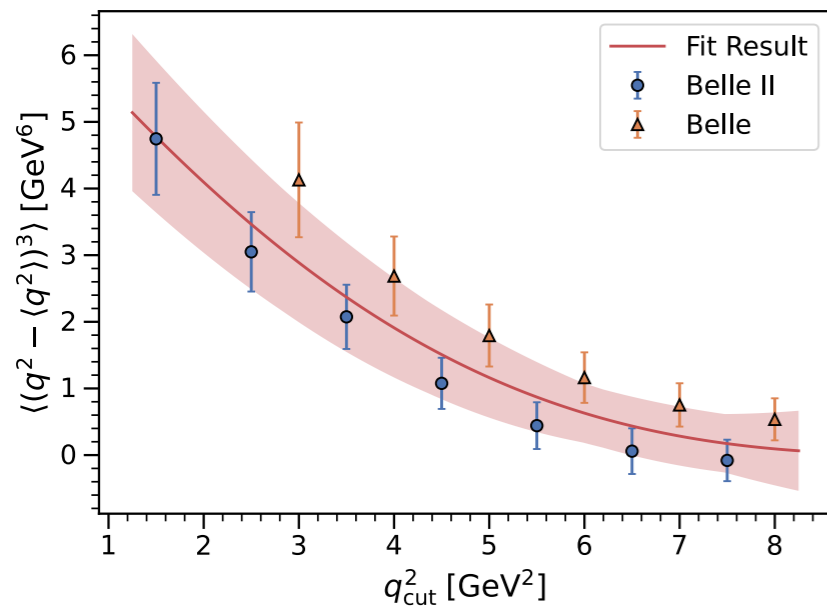
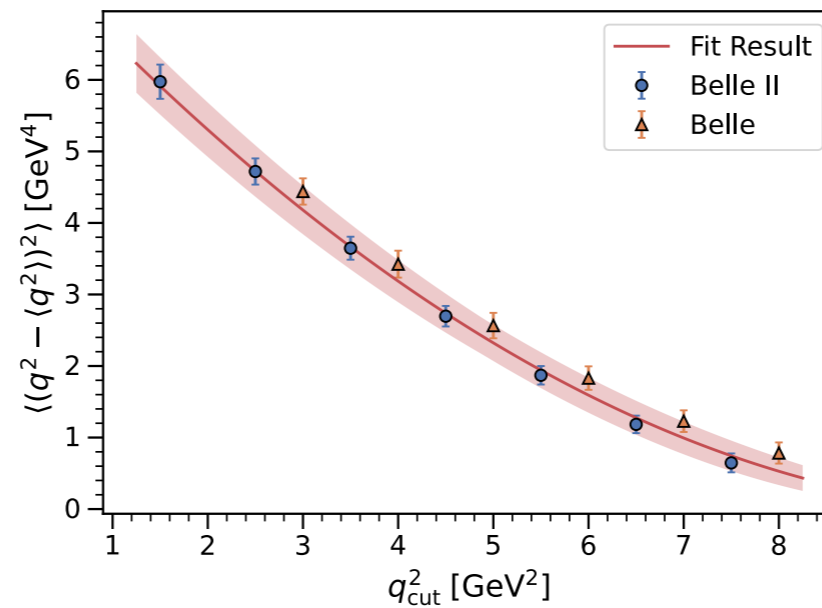
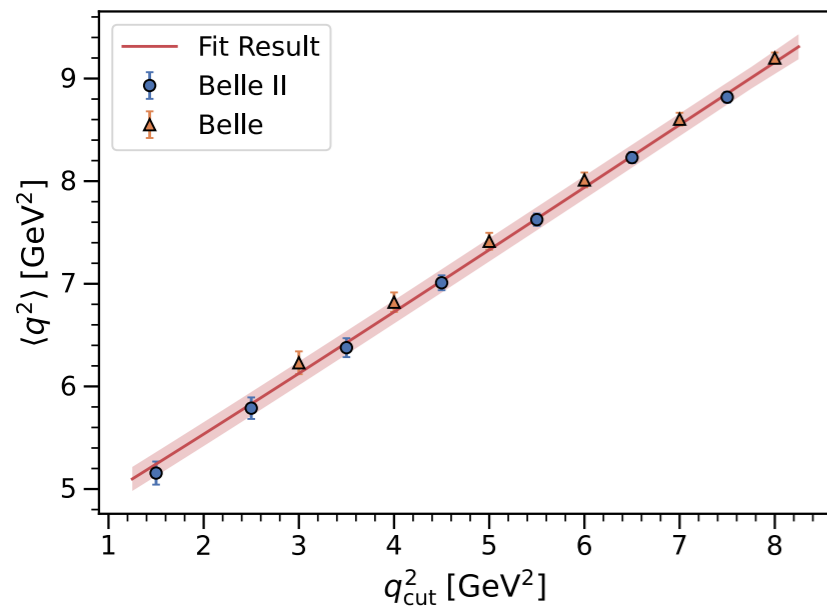




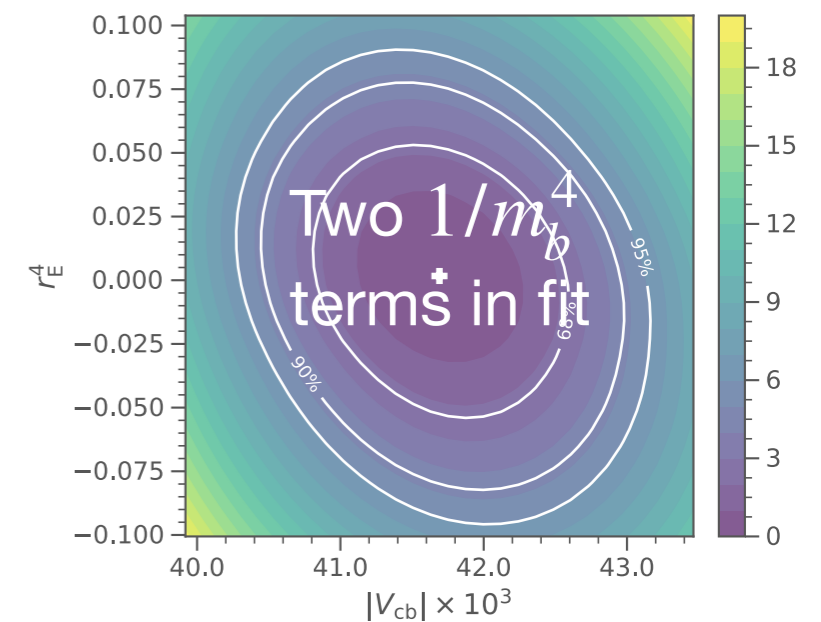
$|V_{cb}|$ from q^2 mom.

F. Bernlochner, M. Fael, K. Olschwesky, E. Persson,
R. Van Tonder, K. Vos, M. Welsch [JHEP 10 (2022) 068, arXiv:2205.10274]

First extraction of $|V_{cb}|$ from q^2 moments:



$\langle (q^2)^n \rangle$	tree	α_s	α_s^2	α_s^3
Partonic	✓	✓		
μ_G^2	✓	✓		
ρ_D^3	✓	✓		
$1/m_b^4$	✓			

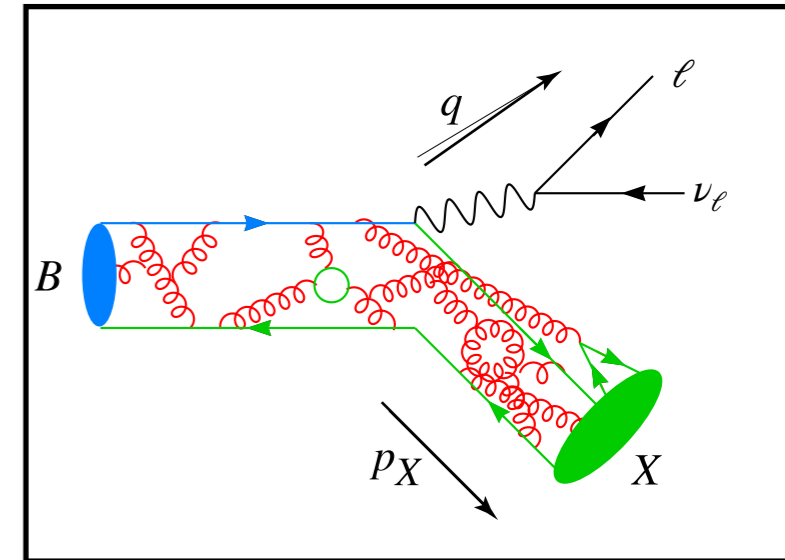


→ $|V_{cb}| = (41.69 \pm 0.59|_{\text{fit}} \pm 0.23|_{\text{h.o.}}) \cdot 10^{-3} = (41.69 \pm 0.63) \cdot 10^{-3}$

Inclusive $B \rightarrow X\tau\bar{\nu}_\tau$ offer a great path to **cross check** anomalous behavior of $R(D^{(*)})$

Observable: $R(X_{\tau/\ell}) = \frac{\mathcal{B}(B \rightarrow X\tau\bar{\nu}_e)}{\mathcal{B}(B \rightarrow X\ell\bar{\nu}_\mu)}$ $\ell = e, \mu$

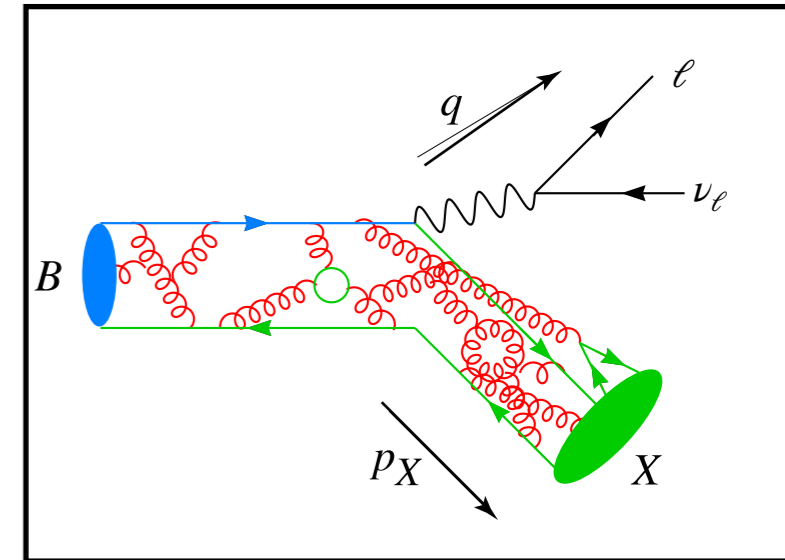
$D, D^*, D^{**}, D^{(*)}\pi, \dots$



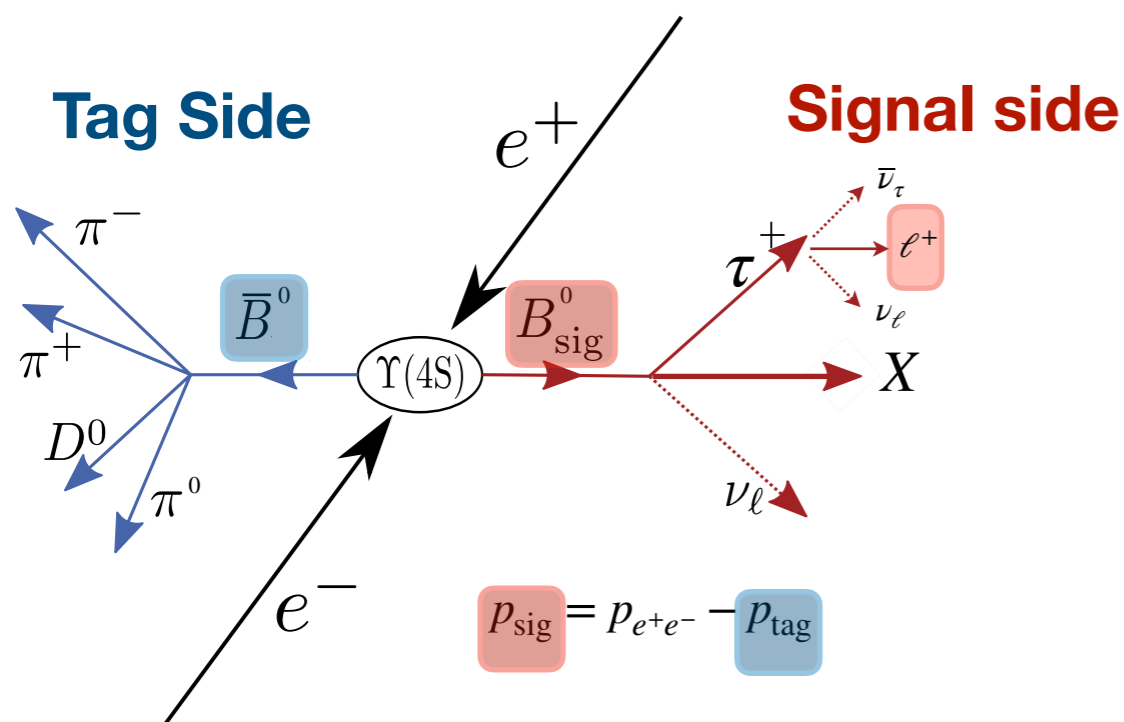
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$D, D^*, D^{**}, D^{(*)}\pi, \dots$



Strategy: Use hadronic tagging to select sample of $B \rightarrow X\tau\bar{\nu}_\ell$ with $\tau \rightarrow \ell\bar{\nu}_\ell\nu_\tau$



Key variables:

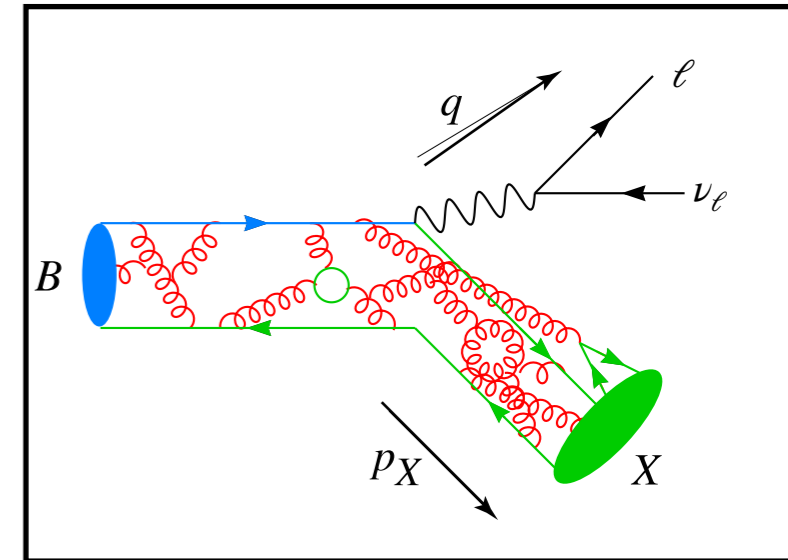
$$p_\ell^B : M_{\text{miss}}^2 = \left(p_{B_{\text{sig}}} - p_X - p_\ell \right)^2$$

[Phys.Rev.Lett. 132 (2024) 21, 211804, arXiv:2311.07248]

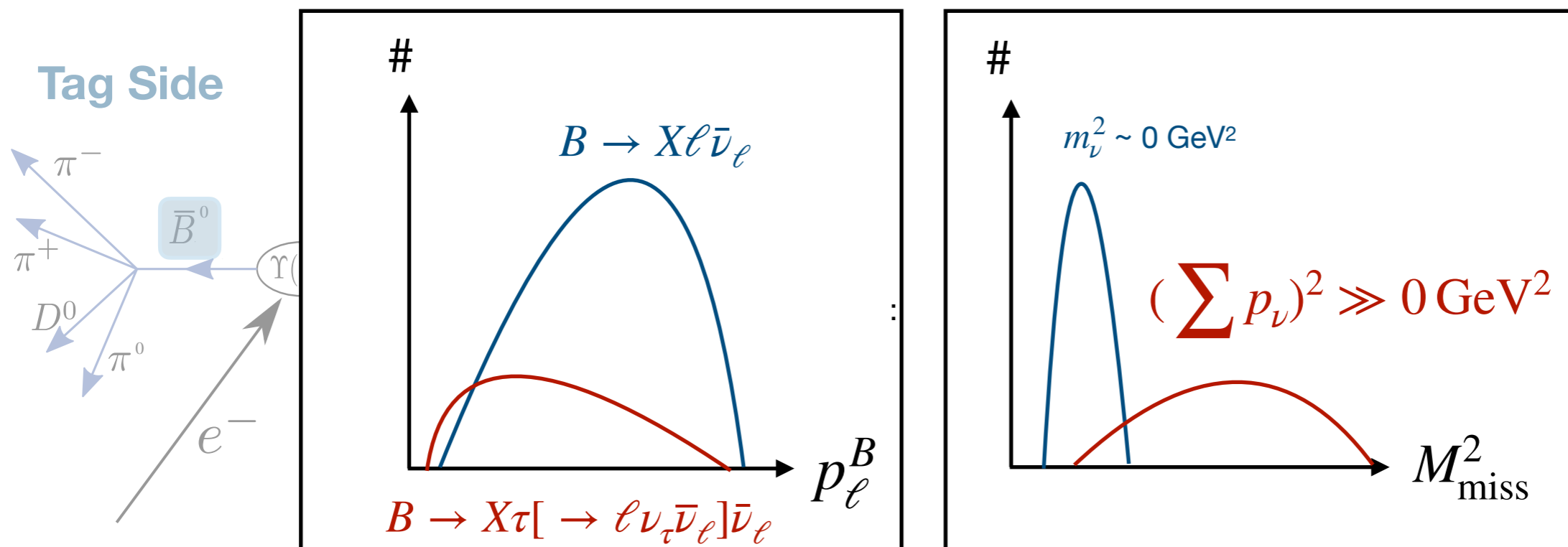
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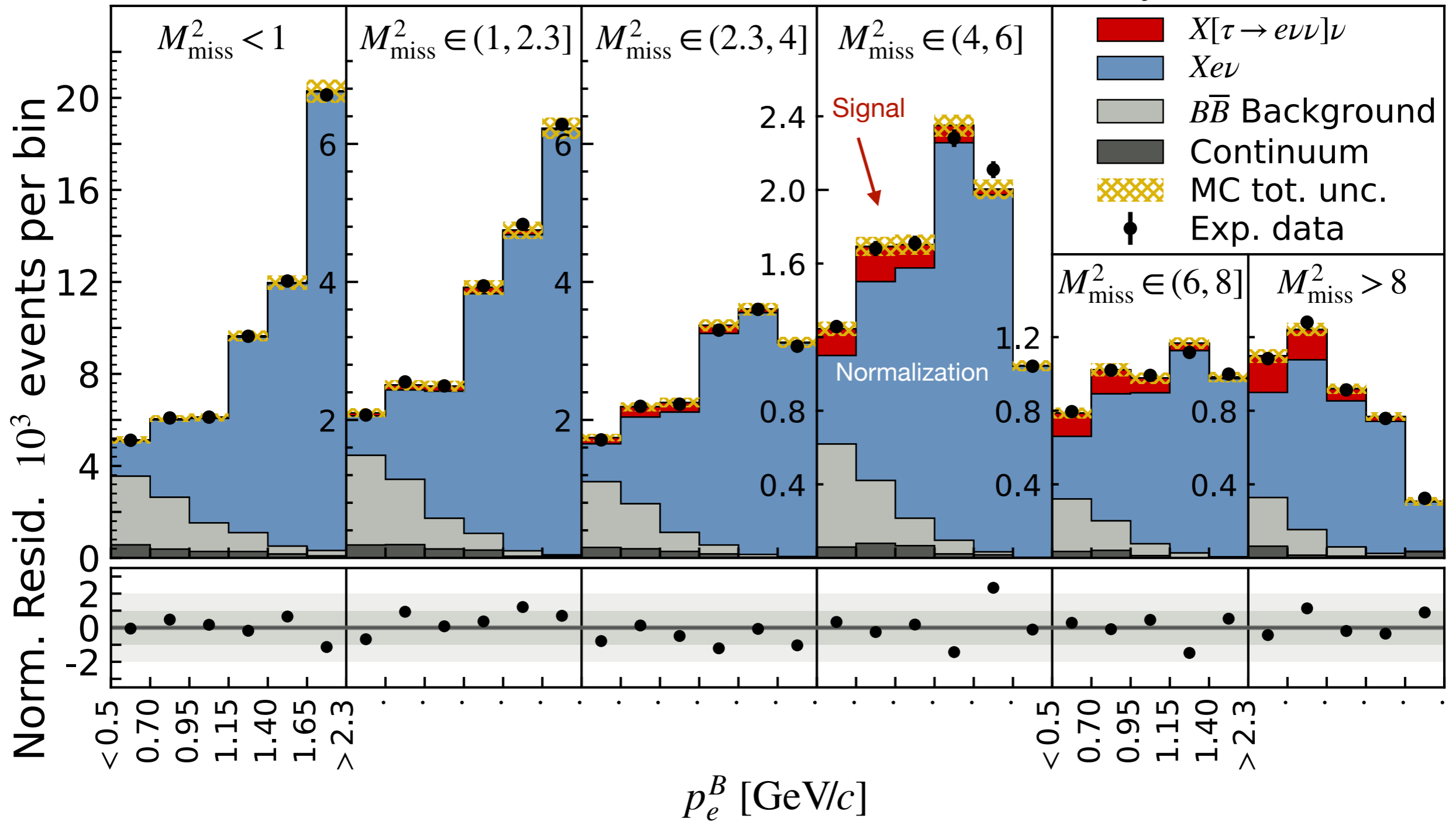


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Belle II

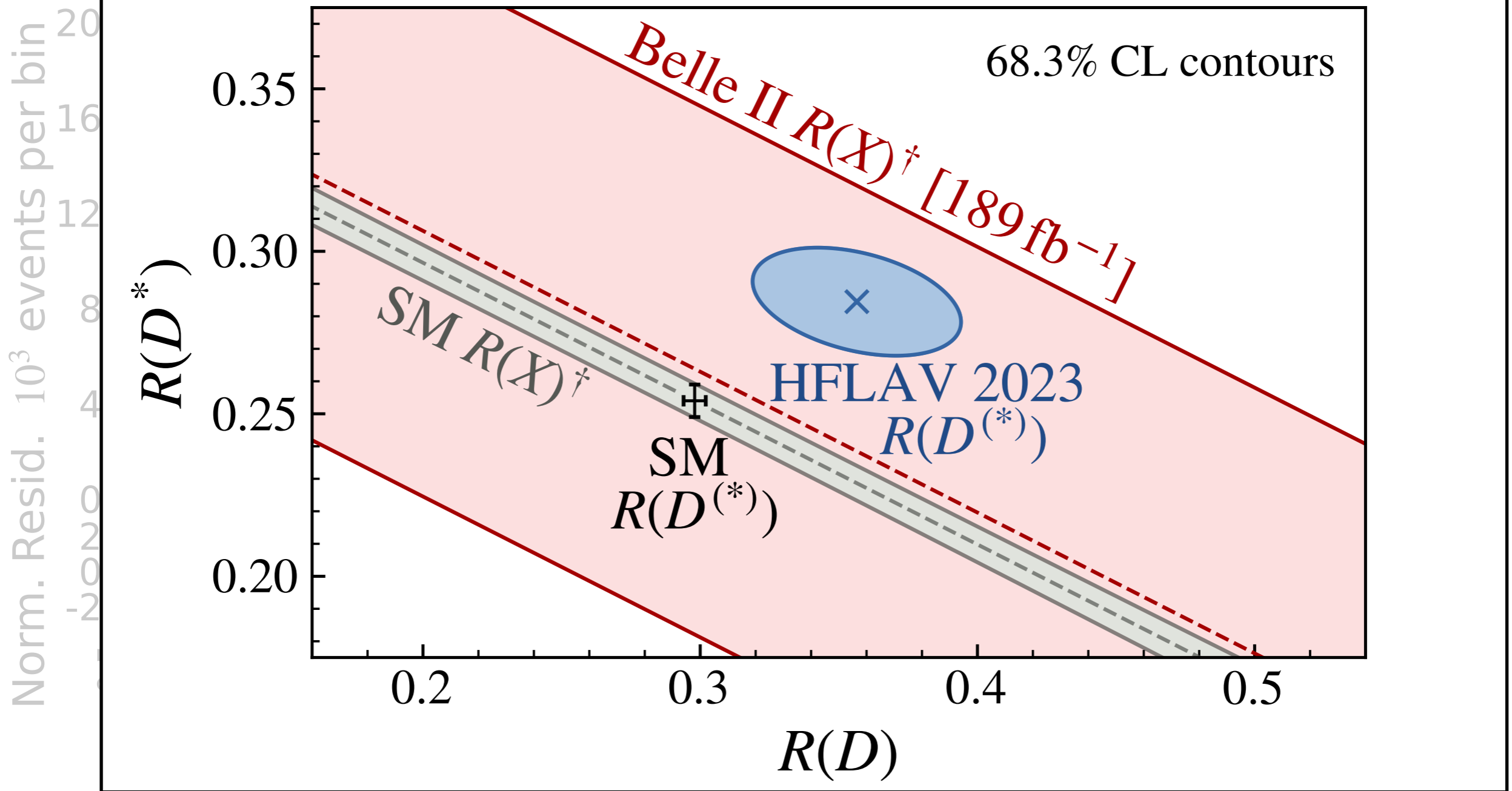
$\int \mathcal{L} dt = 189 \text{ fb}^{-1}$



$$R(X_{\tau/\ell}) = \frac{\mathcal{B}(B \rightarrow X\tau\bar{\nu}_e)}{\mathcal{B}(B \rightarrow X\ell\bar{\nu}_\mu)} = 0.228 \pm 0.016(\text{stat}) \pm 0.036(\text{syst})$$

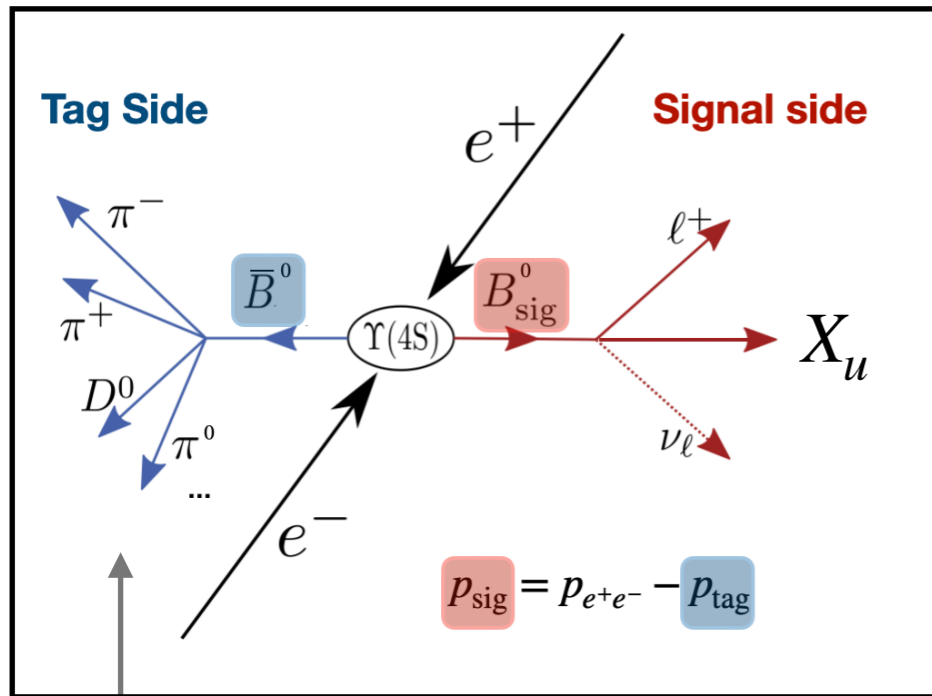
0.223 ± 0.005

† = with expected SM contributions of $D_{(\text{gap})}^{**}, X_u$ removed



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0.223 ± 0.005



Belle I Hadronic Tagging (FR)

ca. factor of 2 less efficient,
but focus on cleaner tags

Hadronic **tagging** just is **fun**:
Capability to identify **kinematic**
and **constituents** of X_u **system**

Charged Tracks Neutral Clusters

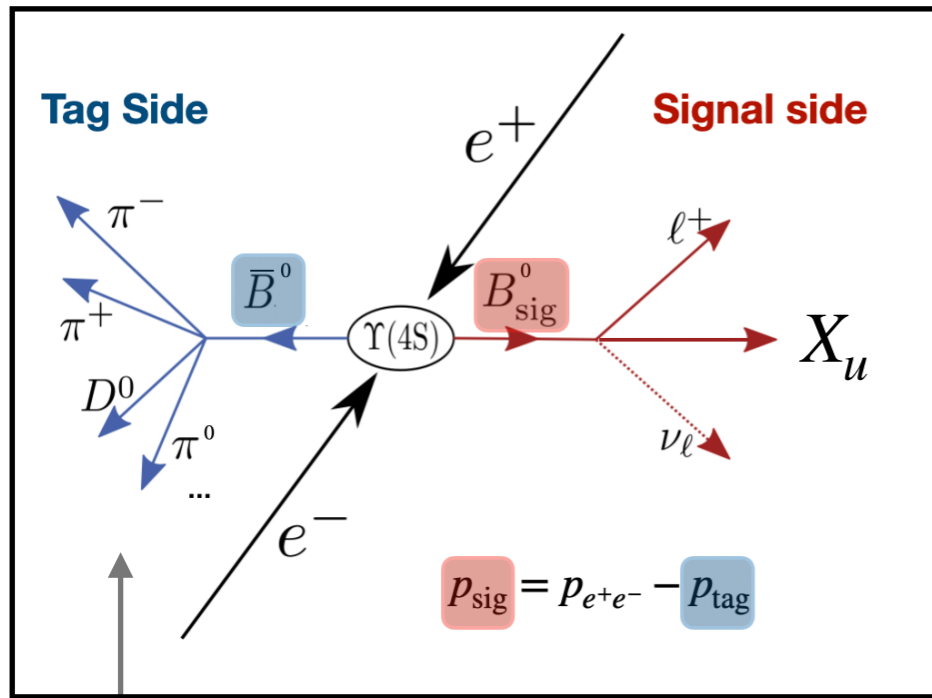
$$p_X = \sum_i \left(\sqrt{m_\pi^2 + |\mathbf{p}_i|^2}, \mathbf{p}_i \right) + \sum_j (E_j, \mathbf{k}_j)$$

$$q^2 = (p_{sig} - p_X)^2$$

$$M_X = \sqrt{(p_X)^\mu (p_X)_\mu}$$

$$m_{miss}^2 = \left(p_{sig} - p_X - p_\ell \right)^2 \approx m_\nu^2 = 0 \text{ GeV}^2$$

But ... this is still a pretty difficult measurement

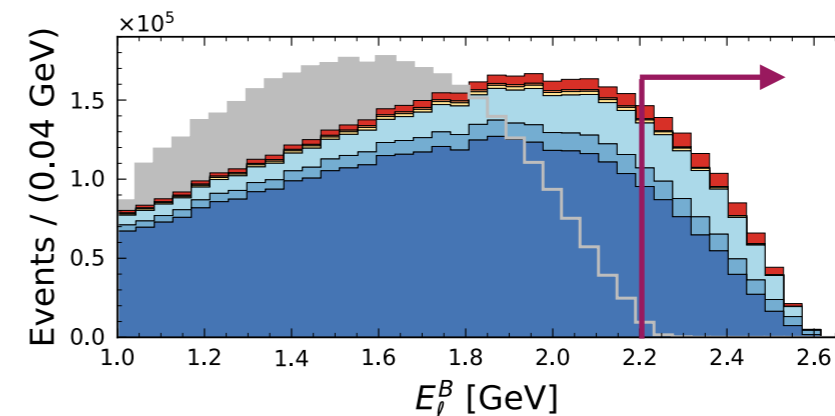
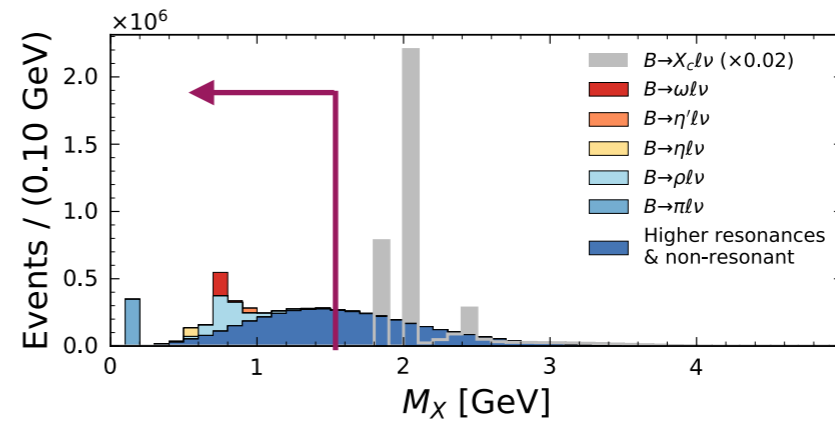


Inclusive $B \rightarrow X_u \ell \bar{\nu}_\ell$ measurements are extremely challenging due to dominant $B \rightarrow X_c \ell \bar{\nu}_\ell$ background

Clean **separation** only possible in certain **kinematic regions**, e.g. **lepton endpoint** or **low M_X**

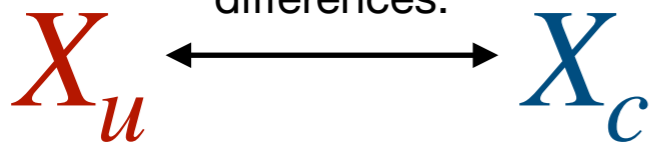
Belle I **Hadronic Tagging (FR)**

ca. **factor of 2 less efficient**, but focus on cleaner tags



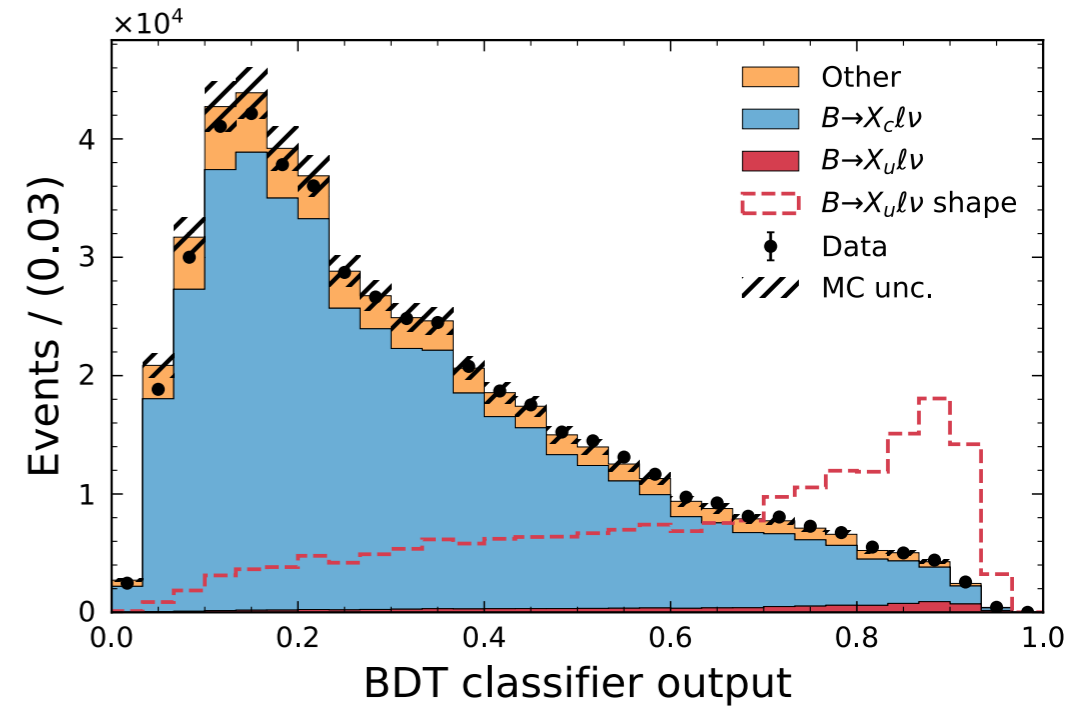
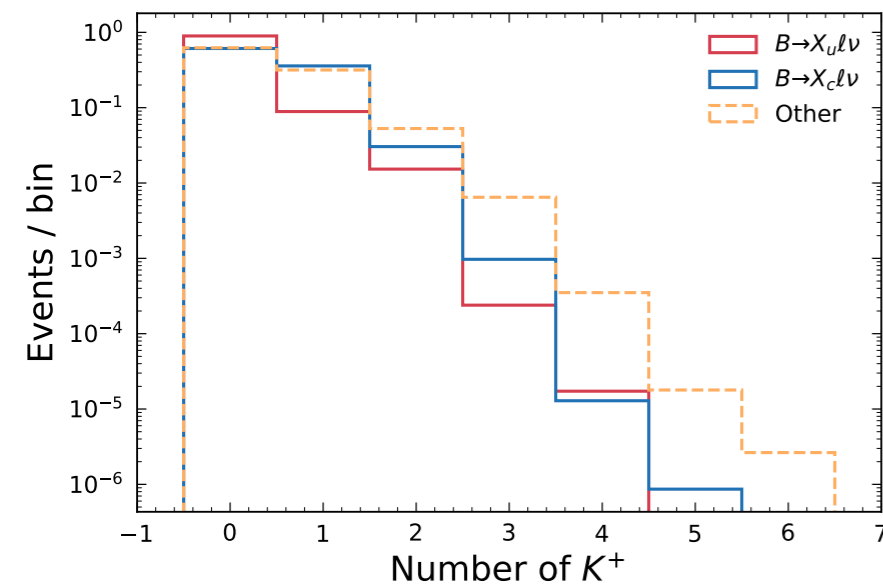
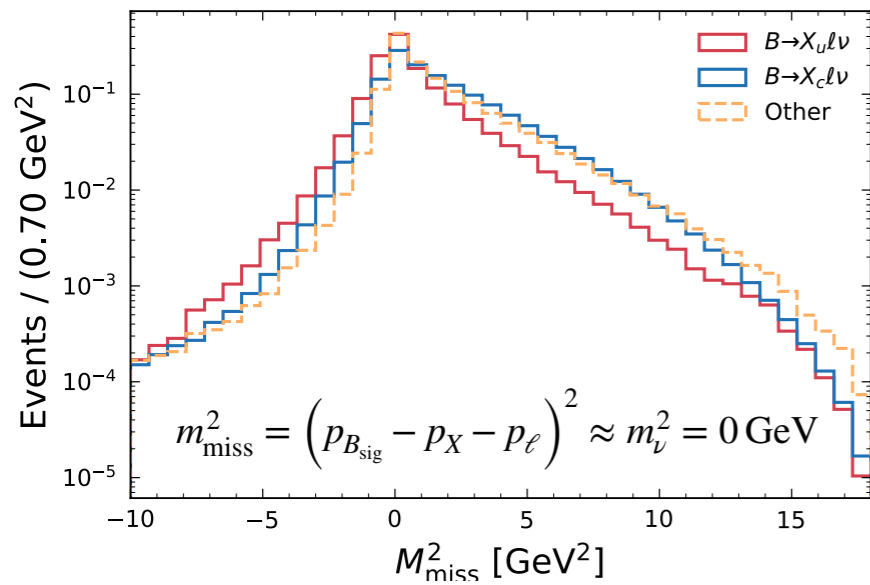
Multivariate Sledgehammer

Can exploit that there are differences:



Higher multiplicity
Often come with charged and neutral **Kaons**
D* decays (slow pions)
(Slightly lower E_e)

Direct cuts on m_X, E_ℓ problematic
(i.e. direct theory / shape-function dependence)



+ 9 other variables

Can reject **98.7%** of X_c

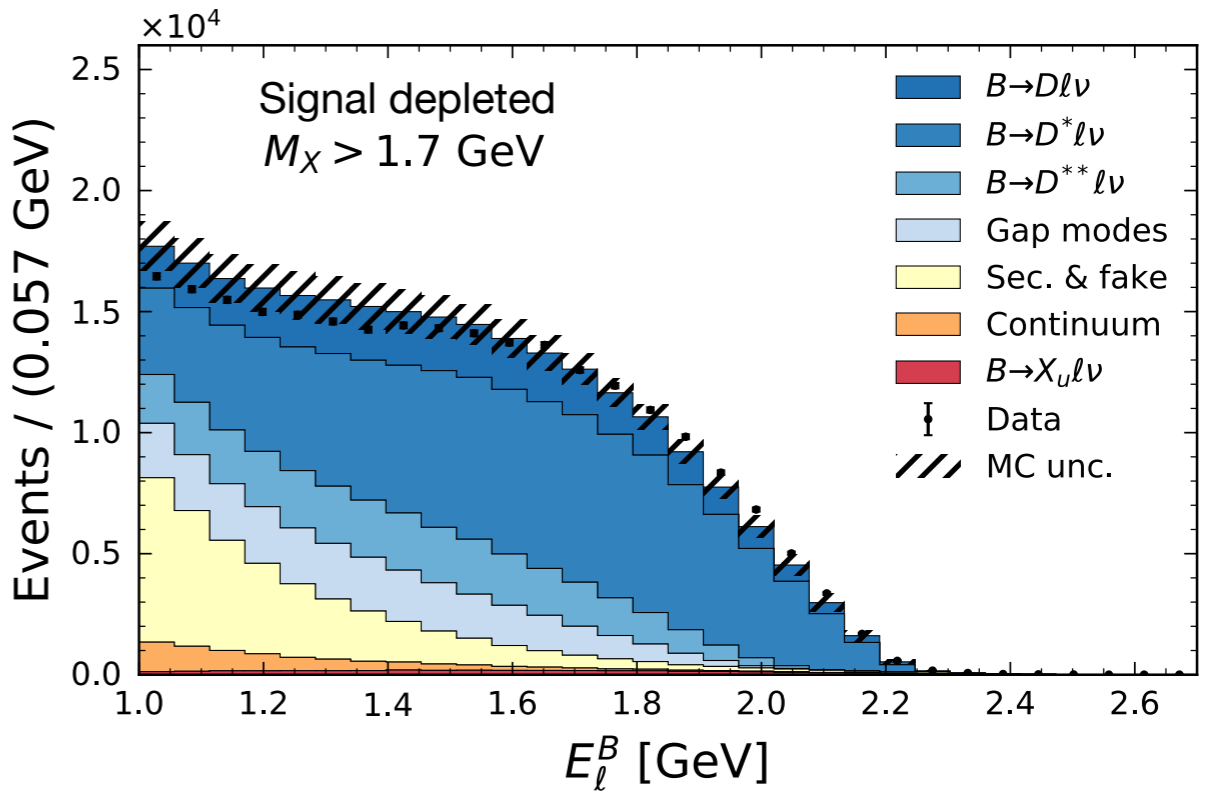
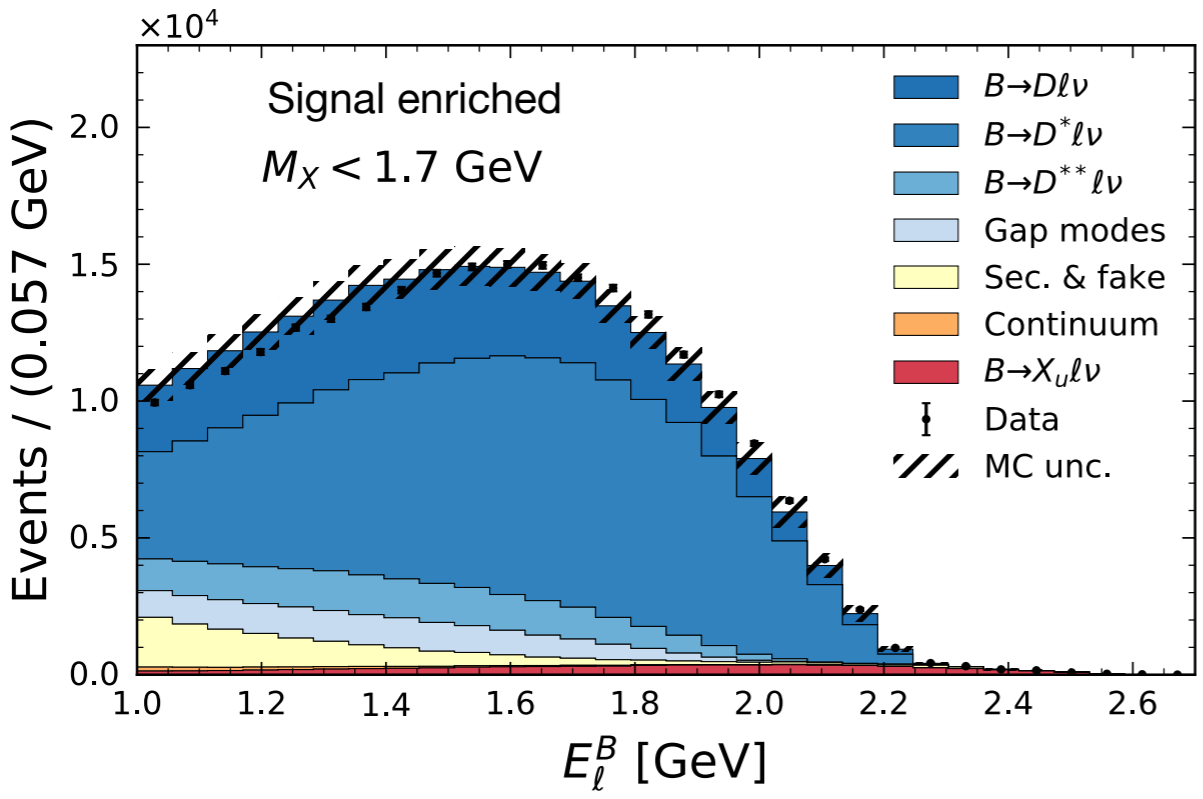
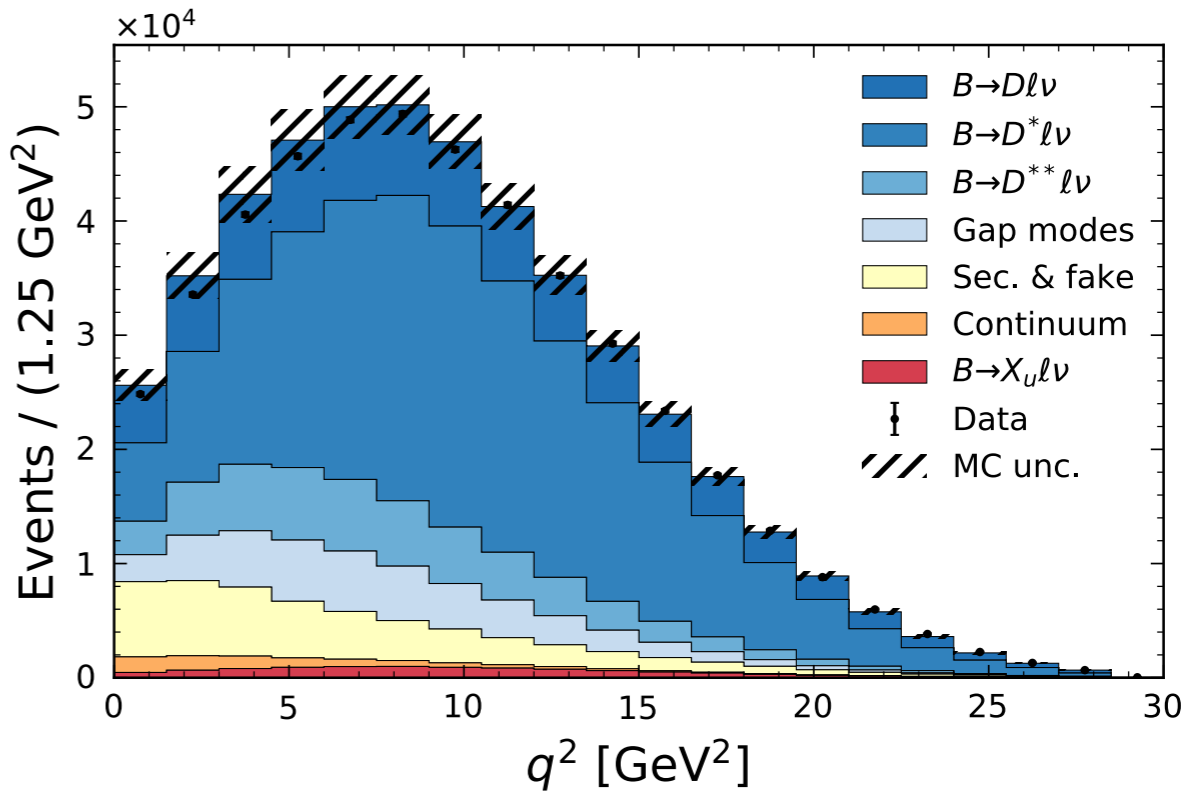
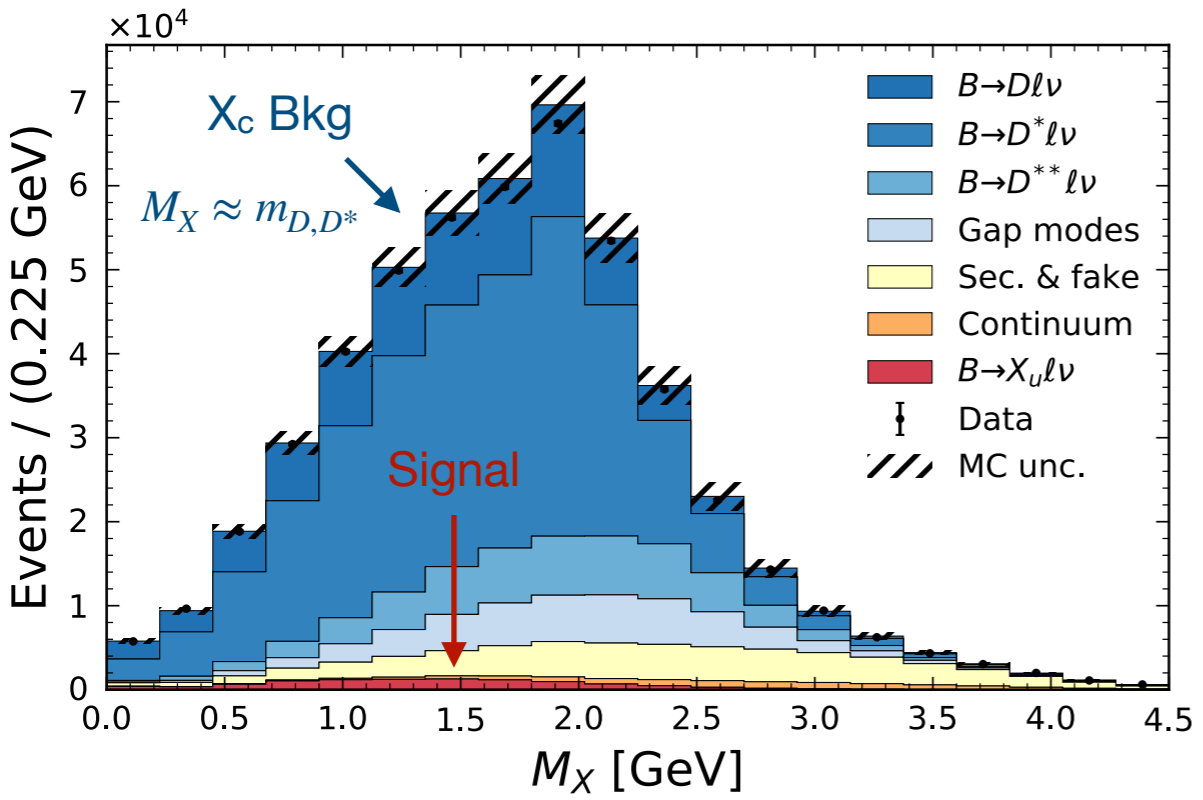
Selection	$B \rightarrow X_u \ell^+ \nu_\ell$	$B \rightarrow X_c \ell^+ \nu_\ell$	Data
$M_{bc} > 5.27 \text{ GeV}$	84.8%	83.8%	80.2%
$\mathcal{O}_{\text{BDT}} > 0.85$	18.5%	1.3%	1.6%
$\mathcal{O}_{\text{BDT}} > 0.83$	21.9%	1.7%	2.1%
$\mathcal{O}_{\text{BDT}} > 0.87$	14.5%	0.9%	1.1%

... and retain **18.5%** of X_u

Before BDT selection

Hadronic Mass $M_X = \sqrt{p_X^2}$

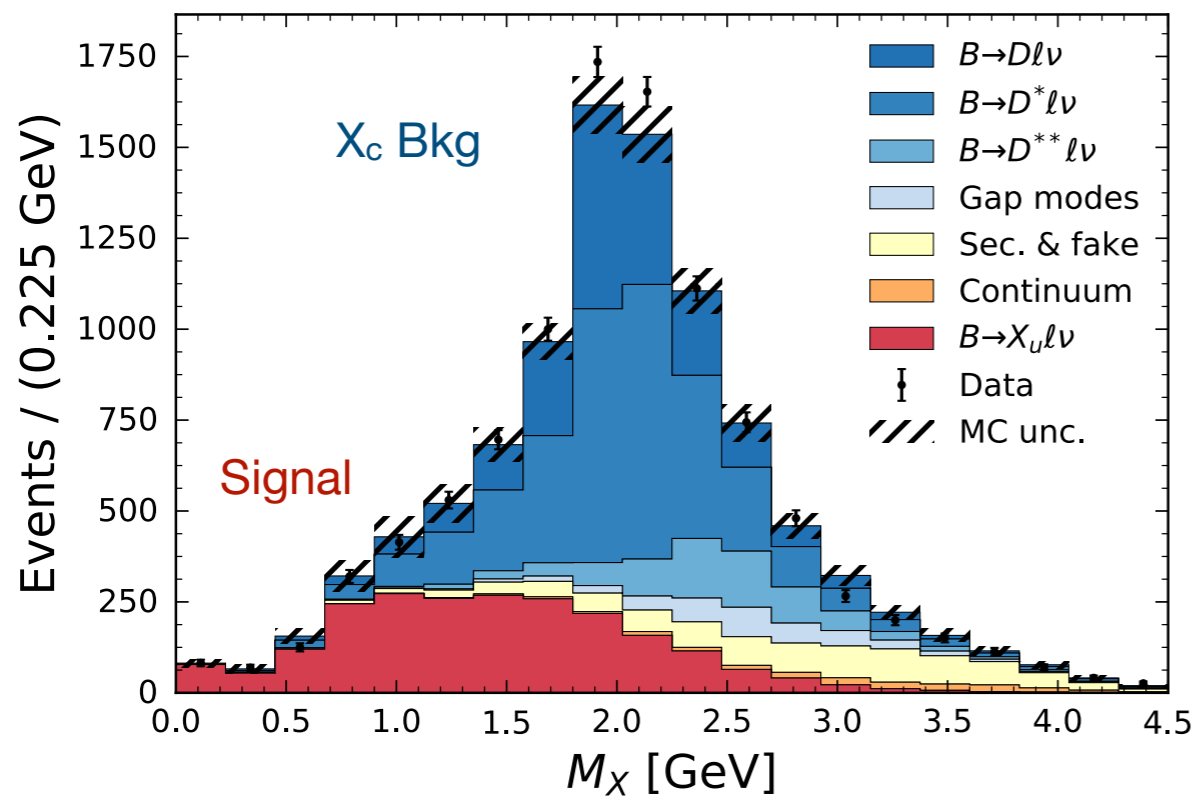
Four-momentum transfer squared $q^2 = (p_B - p_X)^2$



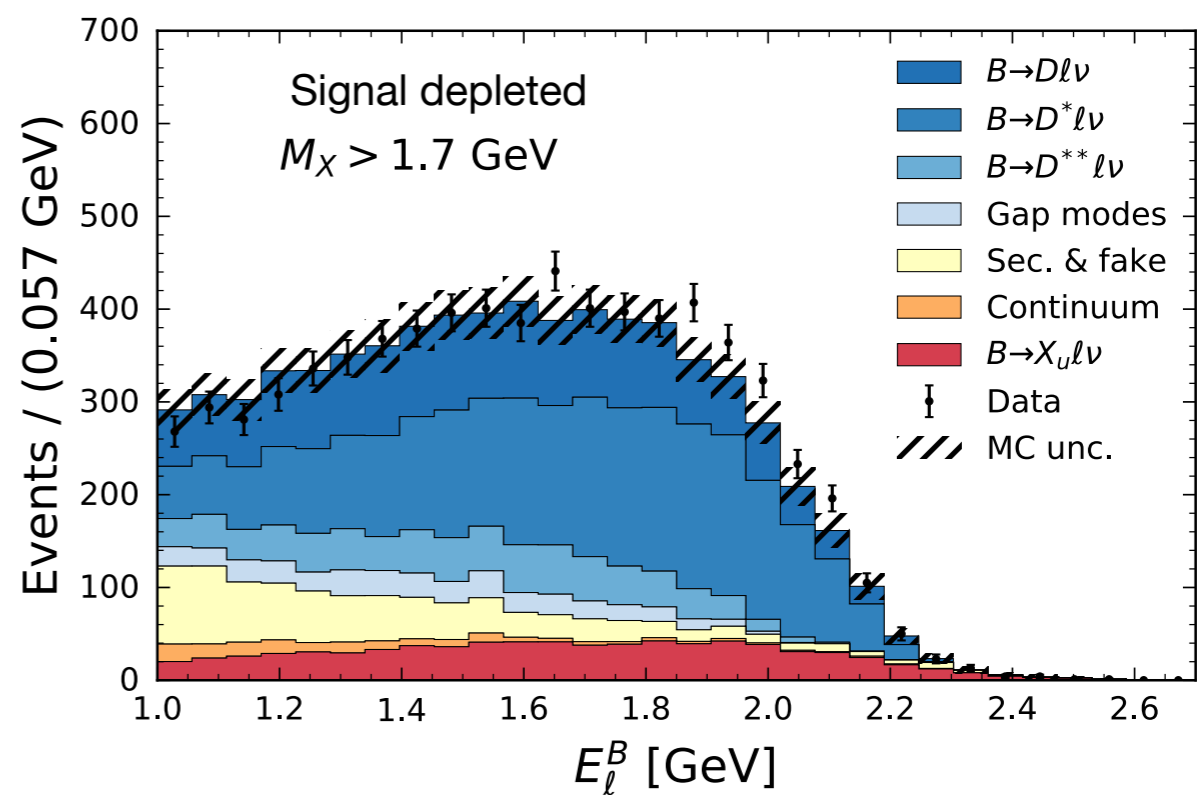
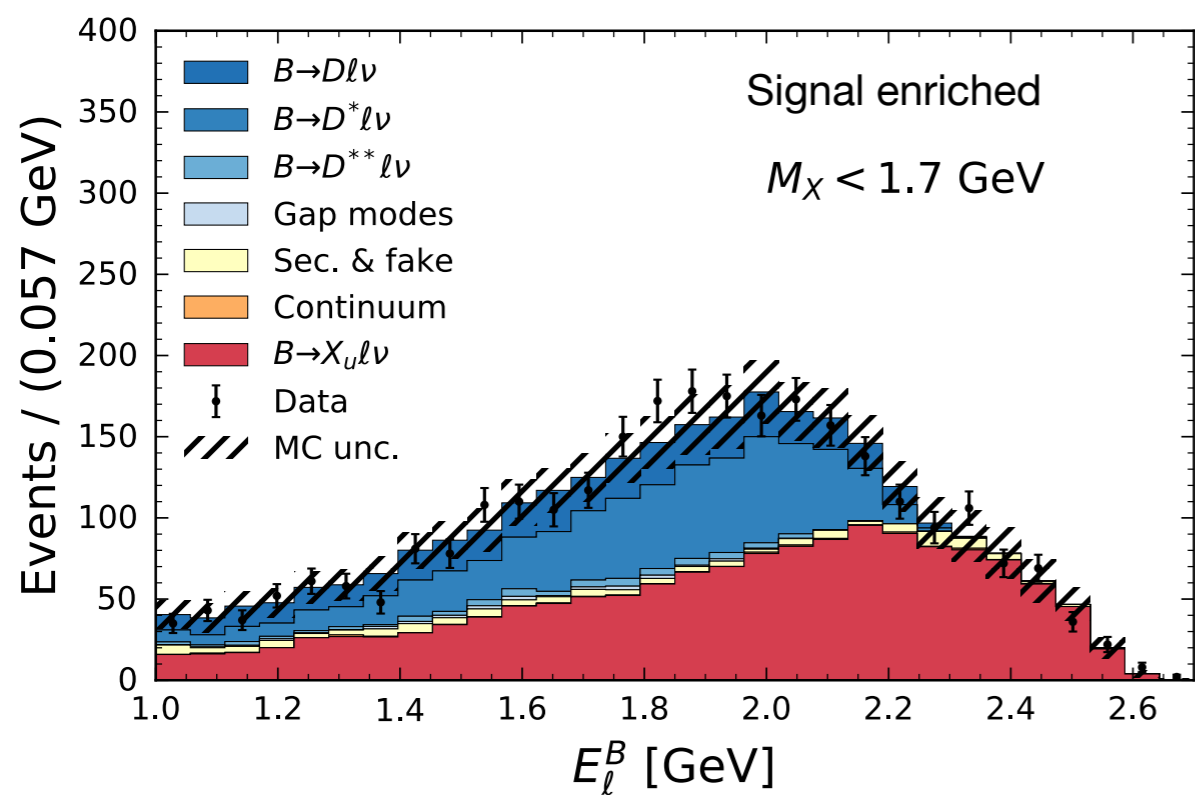
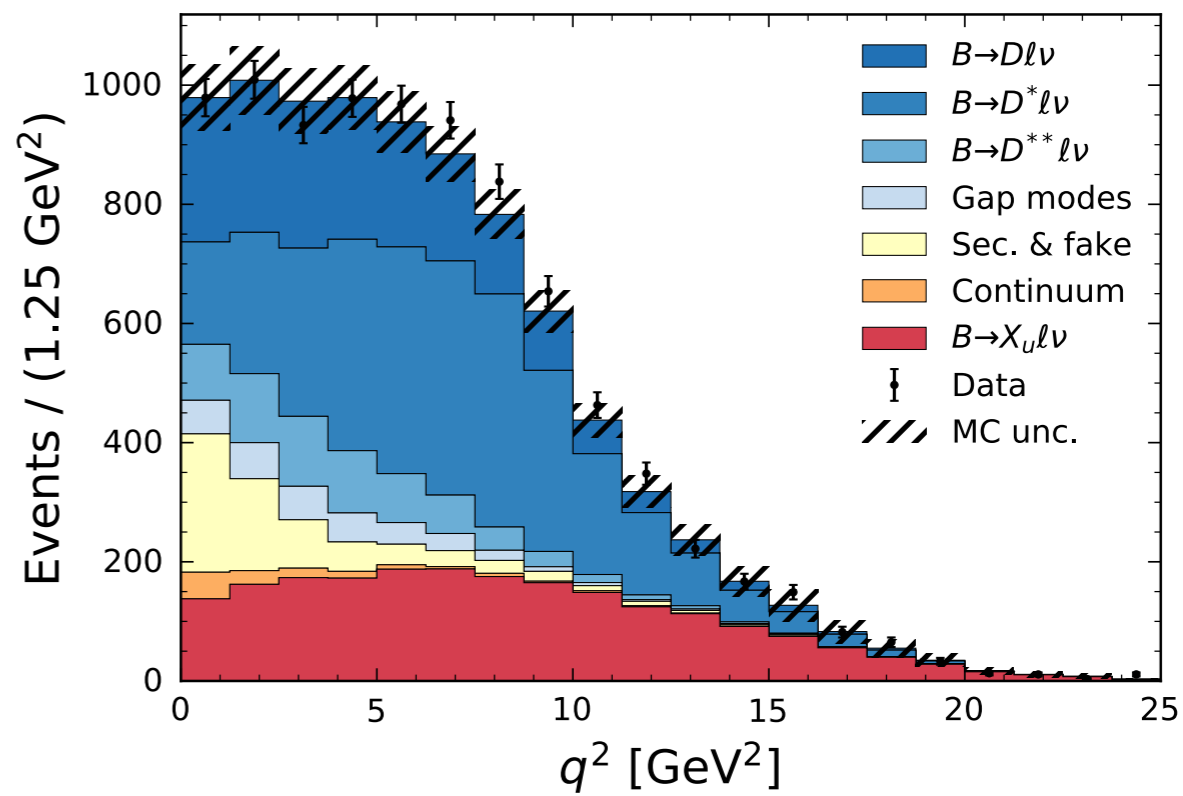
Lepton Energy in signal B rest frame E_ℓ^B

After BDT selection

Hadronic Mass $M_X = \sqrt{p_X^2}$



Four-momentum transfer squared $q^2 = (p_B - p_X)^2$



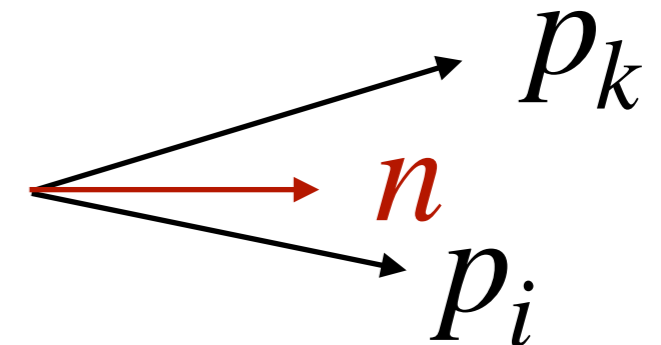
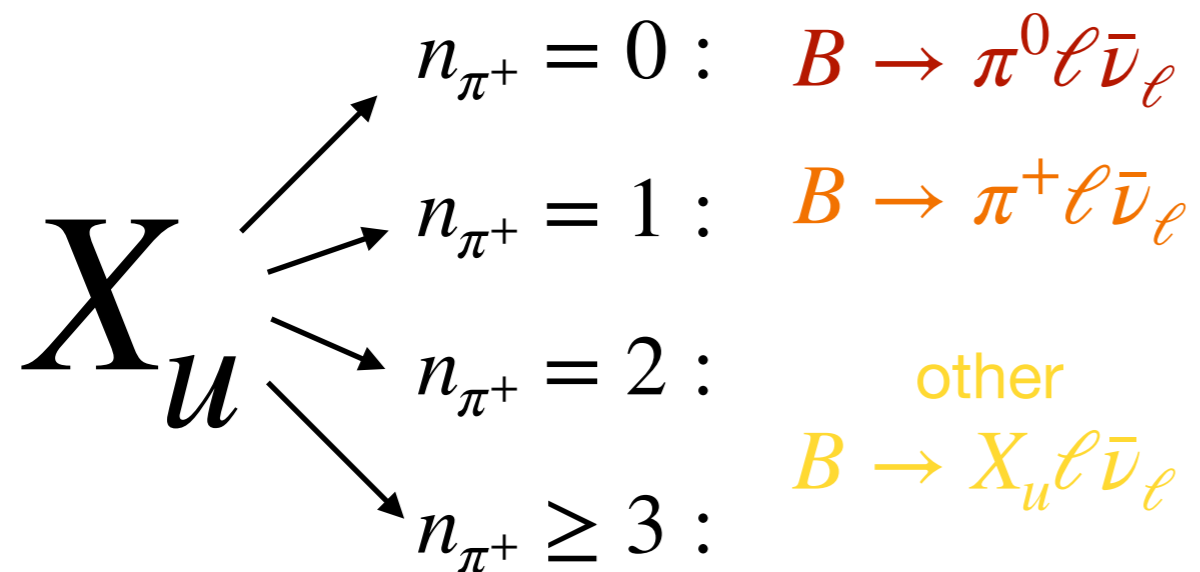
Lepton Energy in
signal B rest frame E_ℓ^B

3.

First **Simultaneous** Determination of **Inclusive** and **Exclusive** $|V_{ub}|$

[Phys.Rev.Lett. 131 (2023) 21, 211801, arXiv:2303.17309]

New Idea: Exploit that **exclusive** X_u final states can be separated using the # of charged pions



Use 'thrust',
expect more collimated system
for $B \rightarrow \pi^0 \ell \bar{\nu}_\ell$ and $B \rightarrow \pi^+ \ell \bar{\nu}_\ell$
than for other processes

$$\max_{|\mathbf{n}|=1} (\sum_i |\mathbf{p}_i \cdot \mathbf{n}| / \sum_i |\mathbf{p}_i|)$$

 q^2

Extraction of **BFs** and $B \rightarrow \pi$ **form factors**, in 2D fit of $q^2 : n_{\pi^+}$

 M_X

Use high M_X to constrain $B \rightarrow X_c \ell \bar{\nu}_\ell$

2D Categories :

For fit link

$$B \rightarrow \pi^0 \ell \bar{\nu}_\ell$$

$$B \rightarrow \pi^+ \ell \bar{\nu}_\ell$$

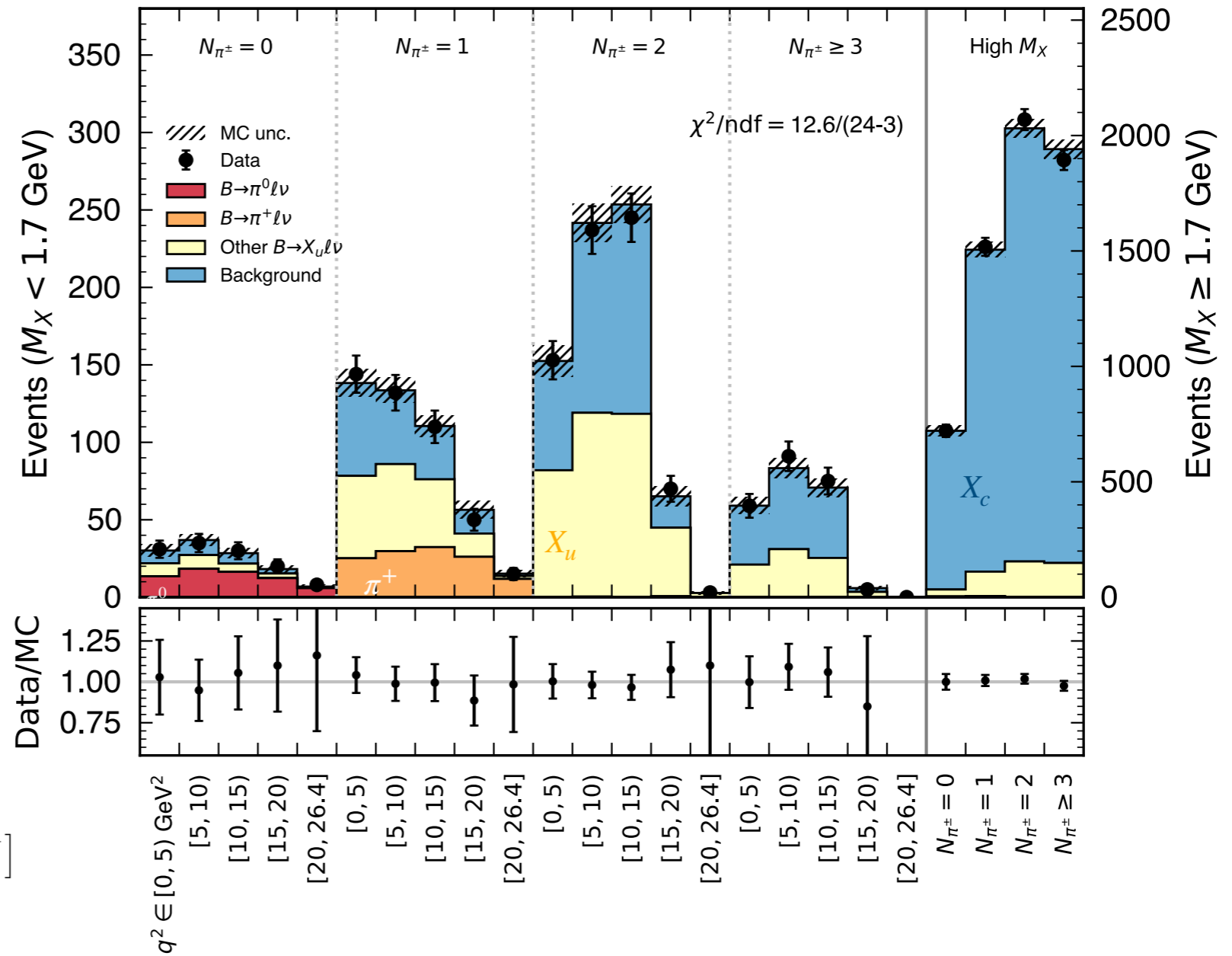
assuming isospin

Float BCL $B \rightarrow \pi$ FF
constrained to **FLAG 2022**

WA [Eur.Phys.J.C 82 (2022) 10, 869]

$$f_+(q^2) = \frac{1}{1 - q^2/m_{B^*}^2} \sum_{n=0}^{N^+-1} a_n^+ \left[z^n - (-1)^{n-N^+} \frac{n}{N^+} z^{N^+} \right]$$

$$f_0(q^2) = \sum_{n=0}^{N^0-1} a_n^0 z^n, \quad (3)$$



→

$$\mathcal{B}(\bar{B}^0 \rightarrow \pi^+ \ell^- \bar{\nu}_\ell) = (1.43 \pm 0.19 \pm 0.13) \times 10^{-4},$$

$$\Delta \mathcal{B}(B \rightarrow X_u \ell \bar{\nu}_\ell) = (1.40 \pm 0.14 \pm 0.23) \times 10^{-3},$$

$\rho = 0.10$

Two sets of results:

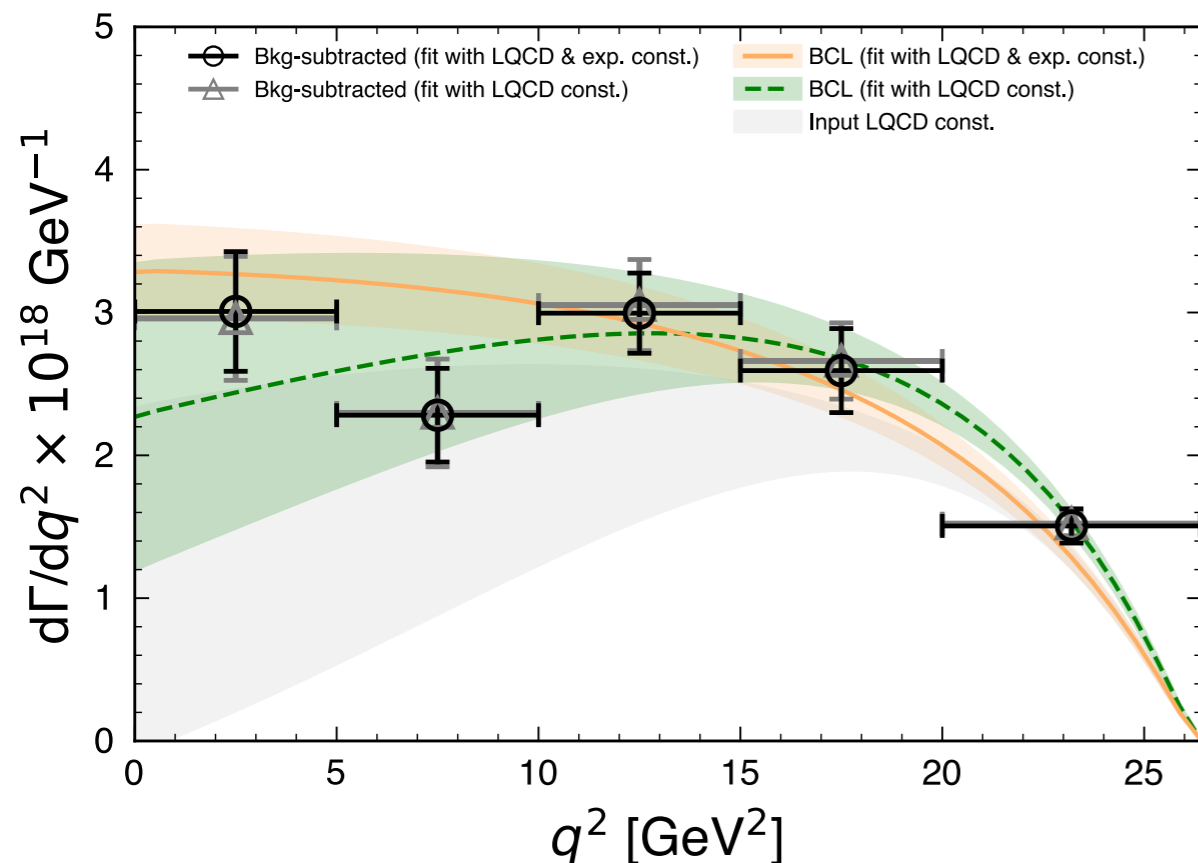
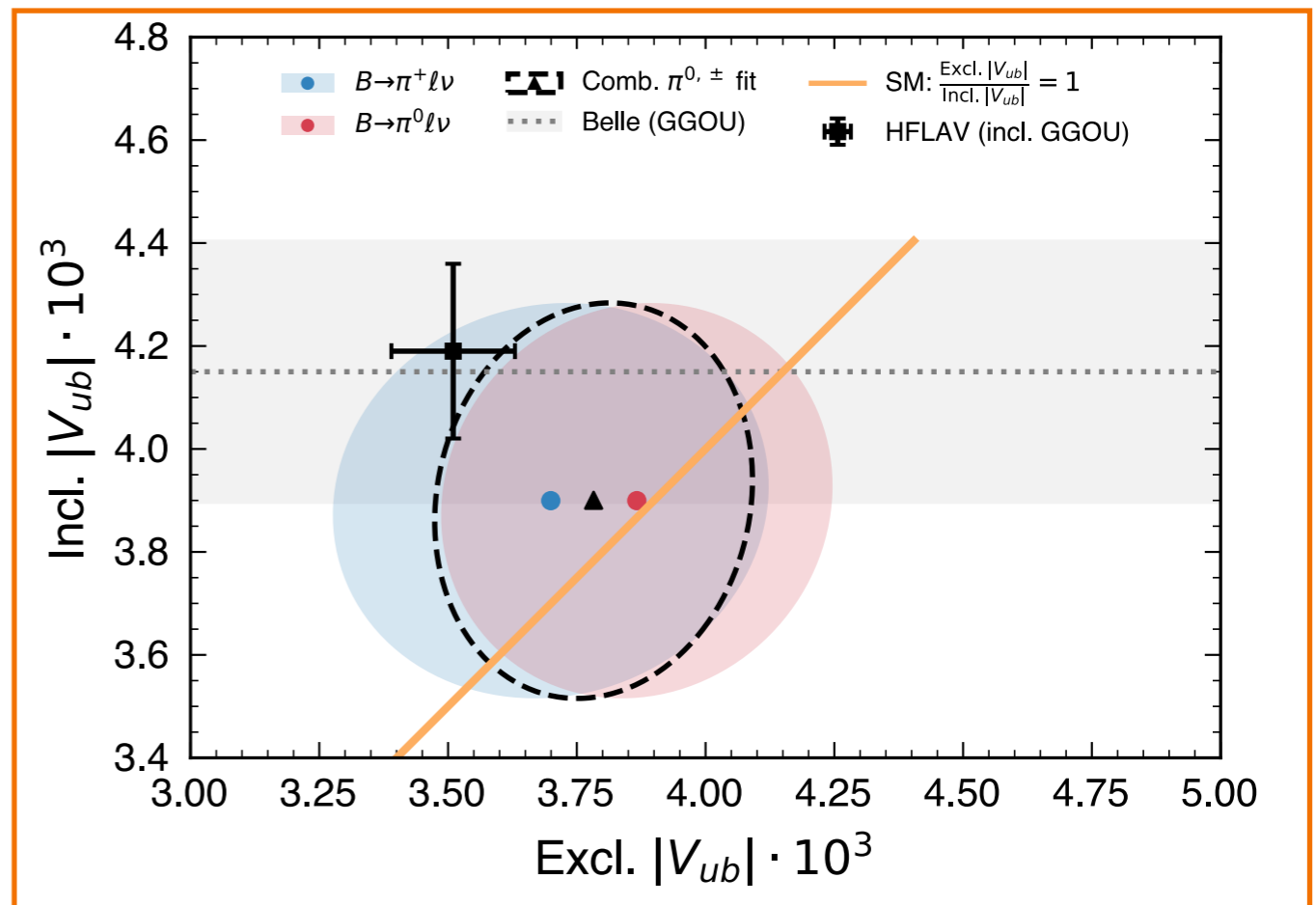
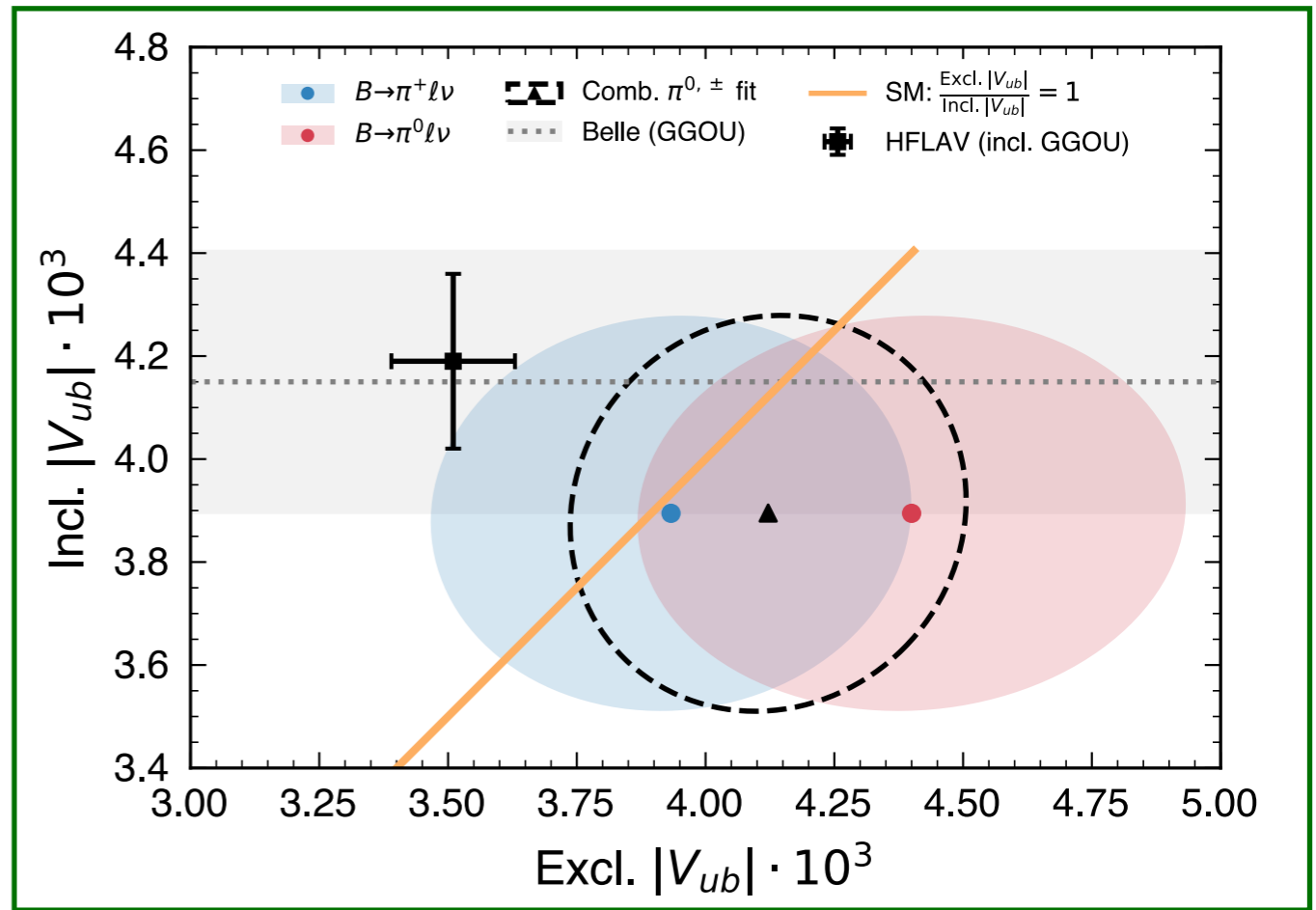
1) FLAG 2022

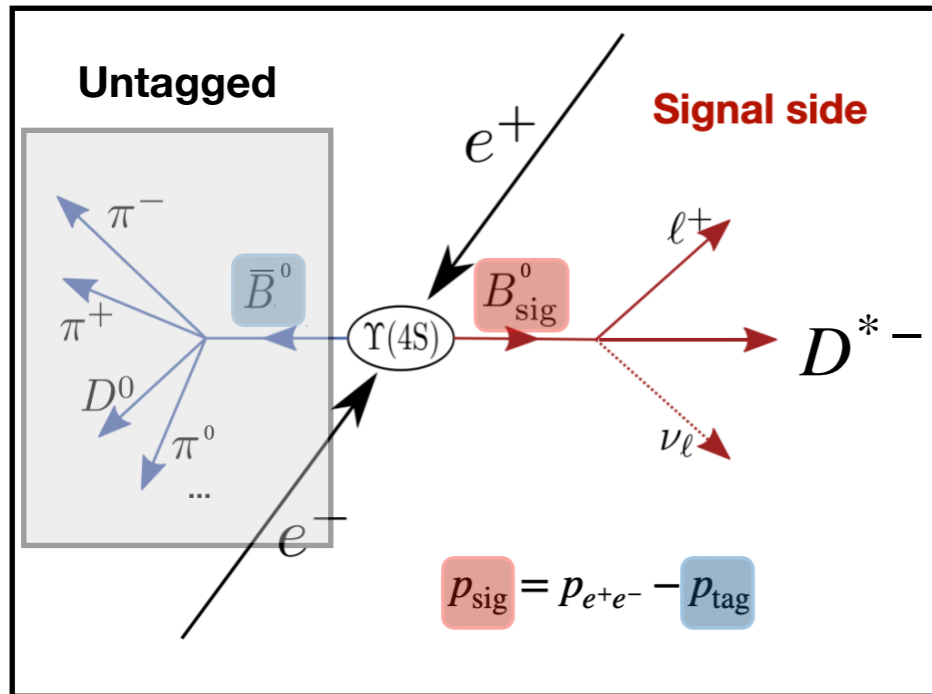
Flavor Lattice Averaging Group

$$|V_{ub}^{\text{excl.}}| / |V_{ub}^{\text{incl.}}| = 1.06 \pm 0.14,$$

2) FLAG 2022 + all experimental information on $B \rightarrow \pi$ FF

$$|V_{ub}^{\text{excl.}}| / |V_{ub}^{\text{incl.}}| = 0.97 \pm 0.12,$$





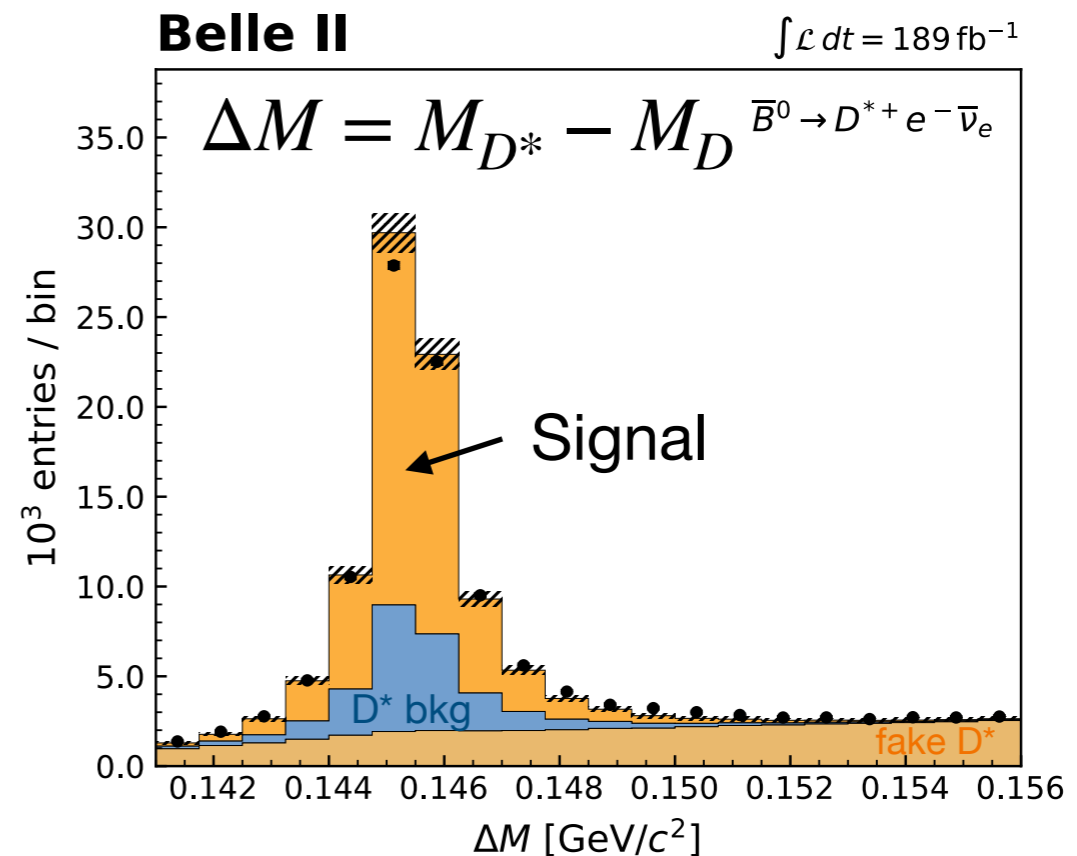
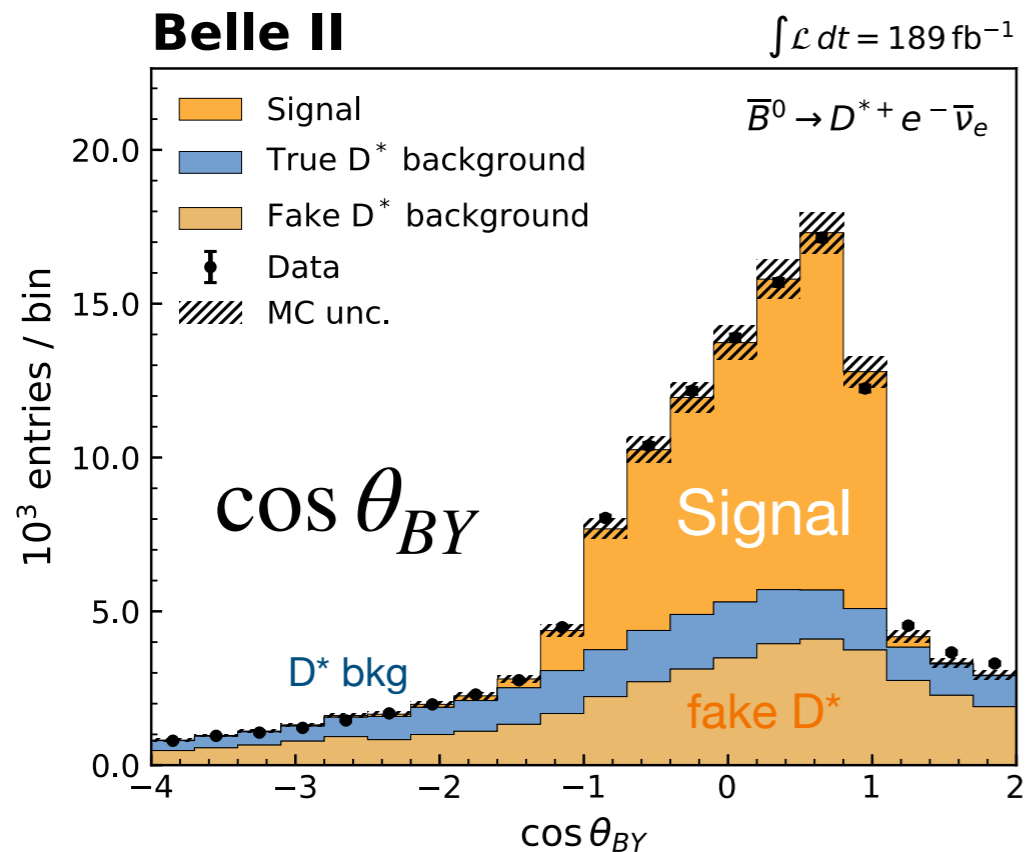
Untagged analysis focussing on experimentally **cleanest mode**:

$$\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell$$

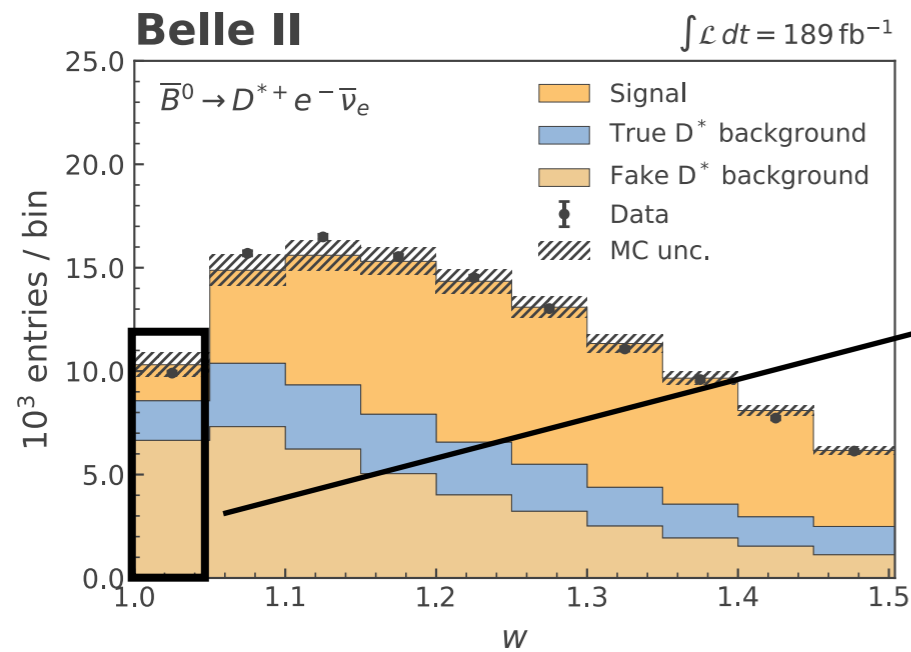
$$\hookrightarrow D^{*+} \rightarrow D^0 \pi^+$$

$$\hookrightarrow D^0 \rightarrow K^- \pi^+$$

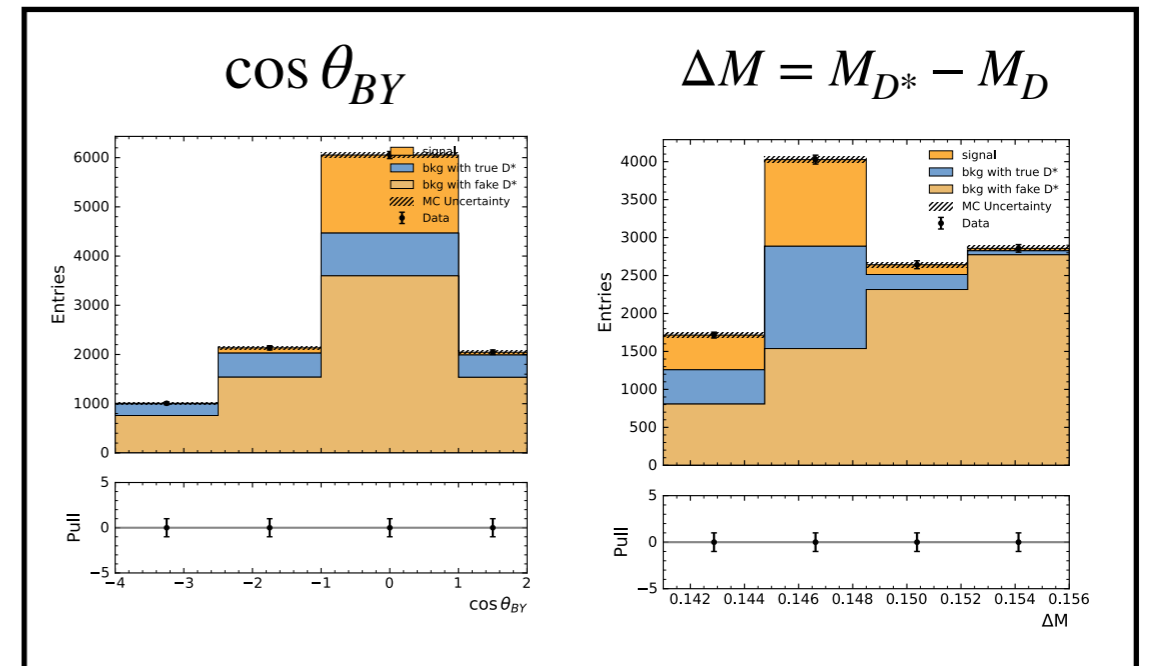
Extraction in **2D fit**:



Determine 1D projections:

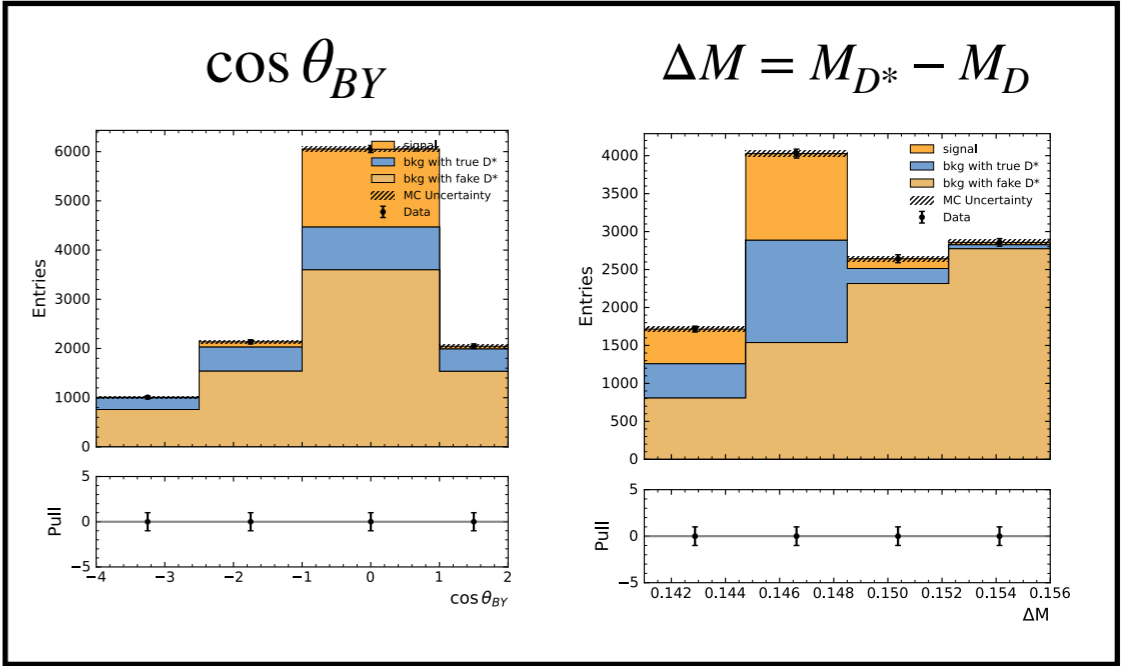
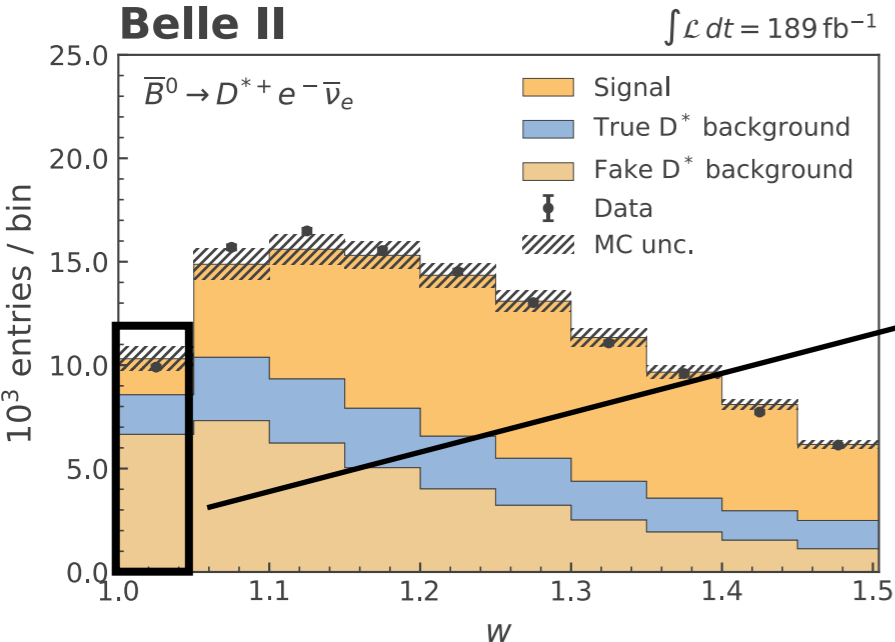


Fit

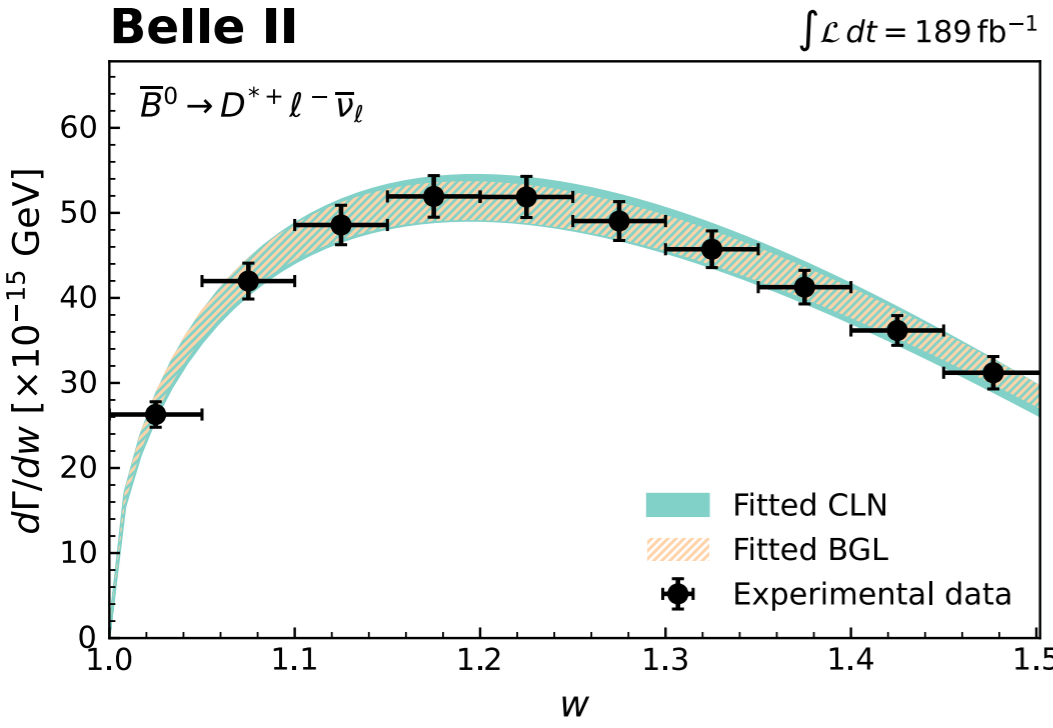


Recoil parameter: $w = \frac{m_B^2 - m_{D^*}^2 - q^2}{2m_B m_{D^*}^2}$

Determine 1D projections:

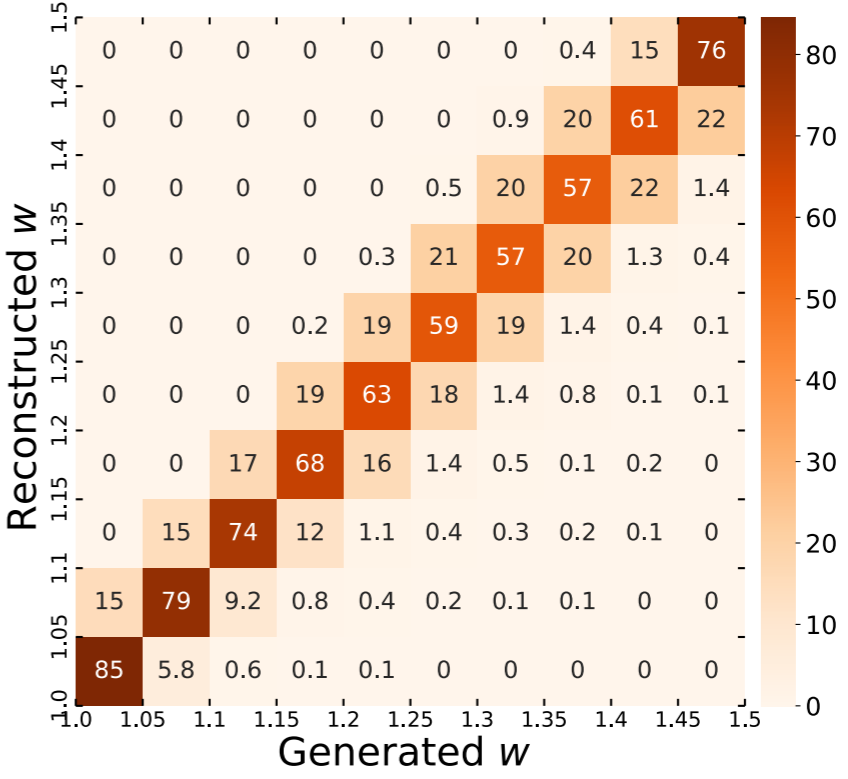


Correct for migration effects:

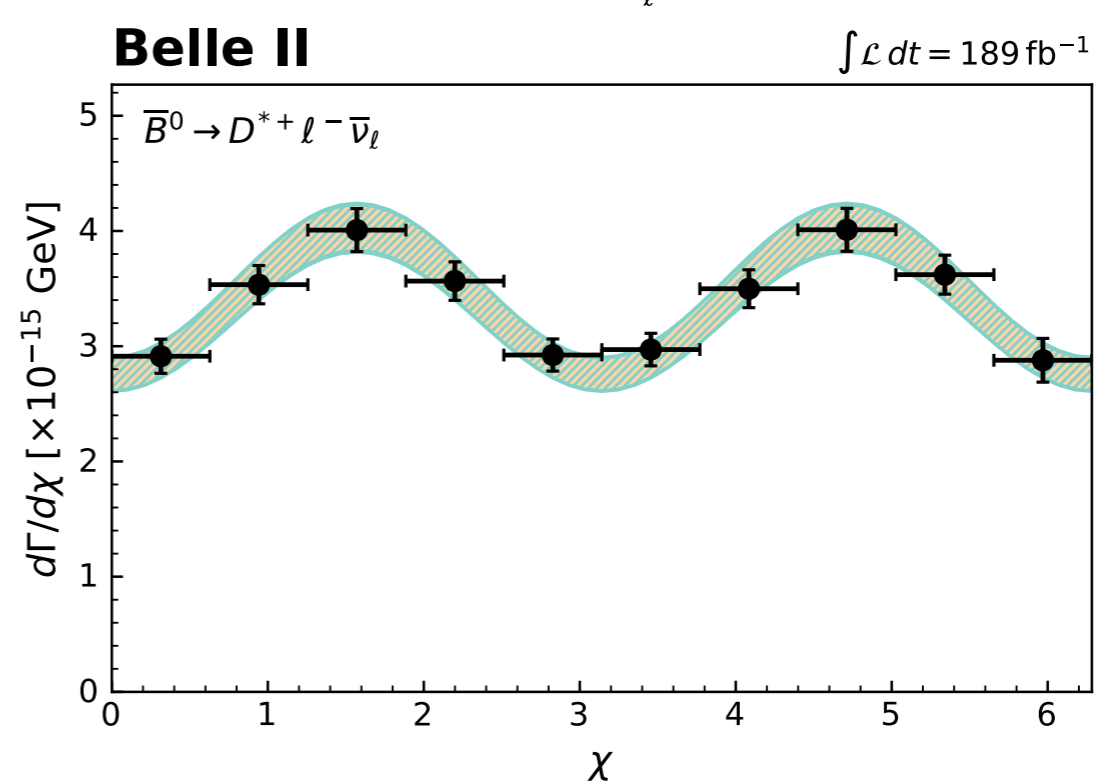
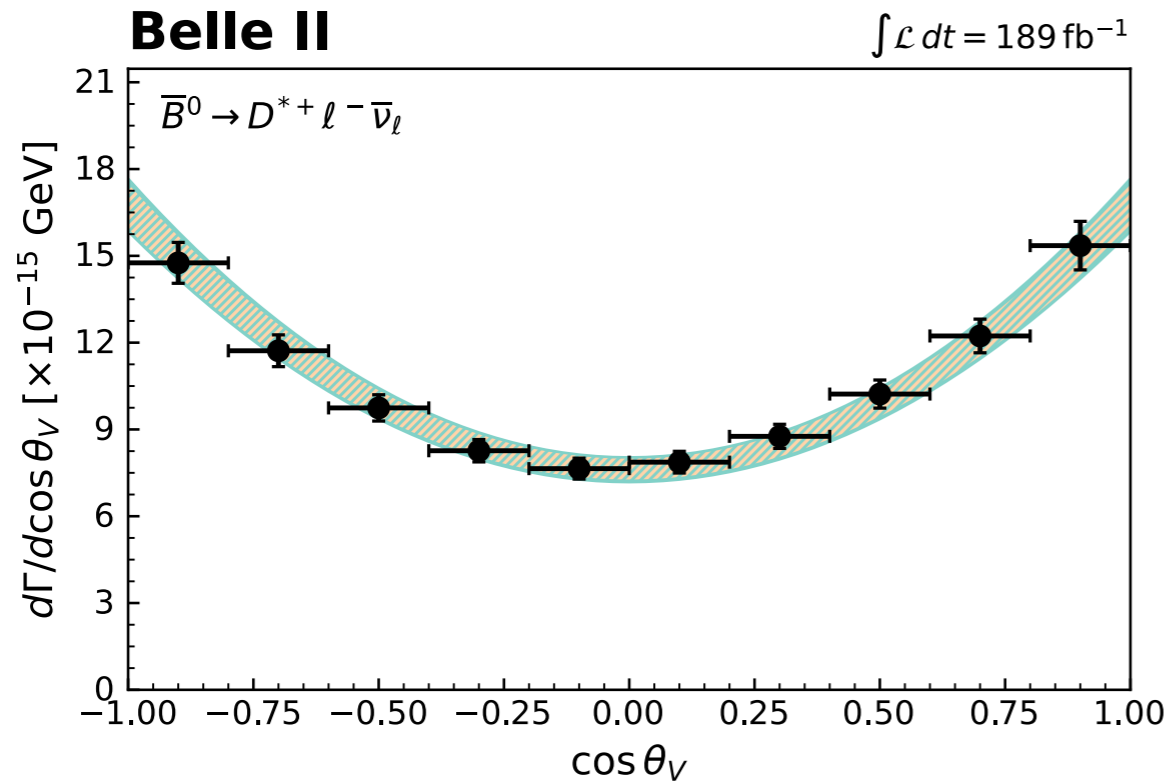
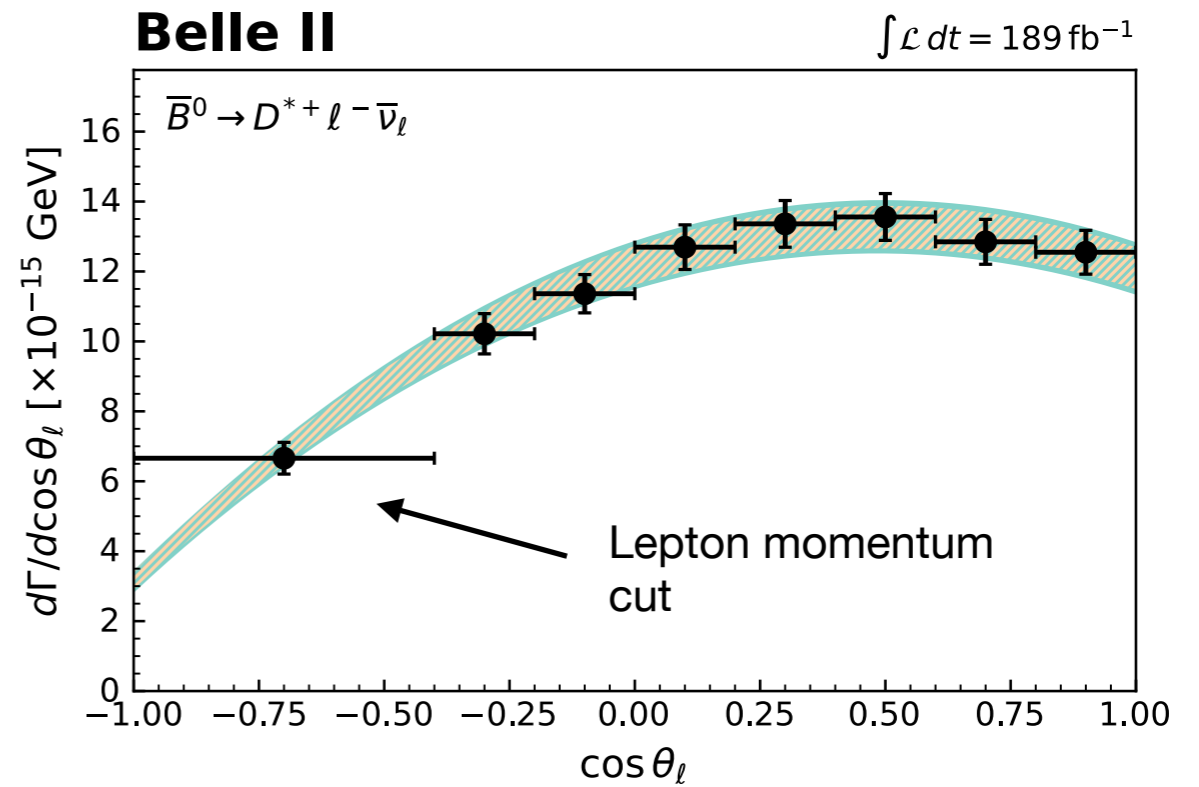
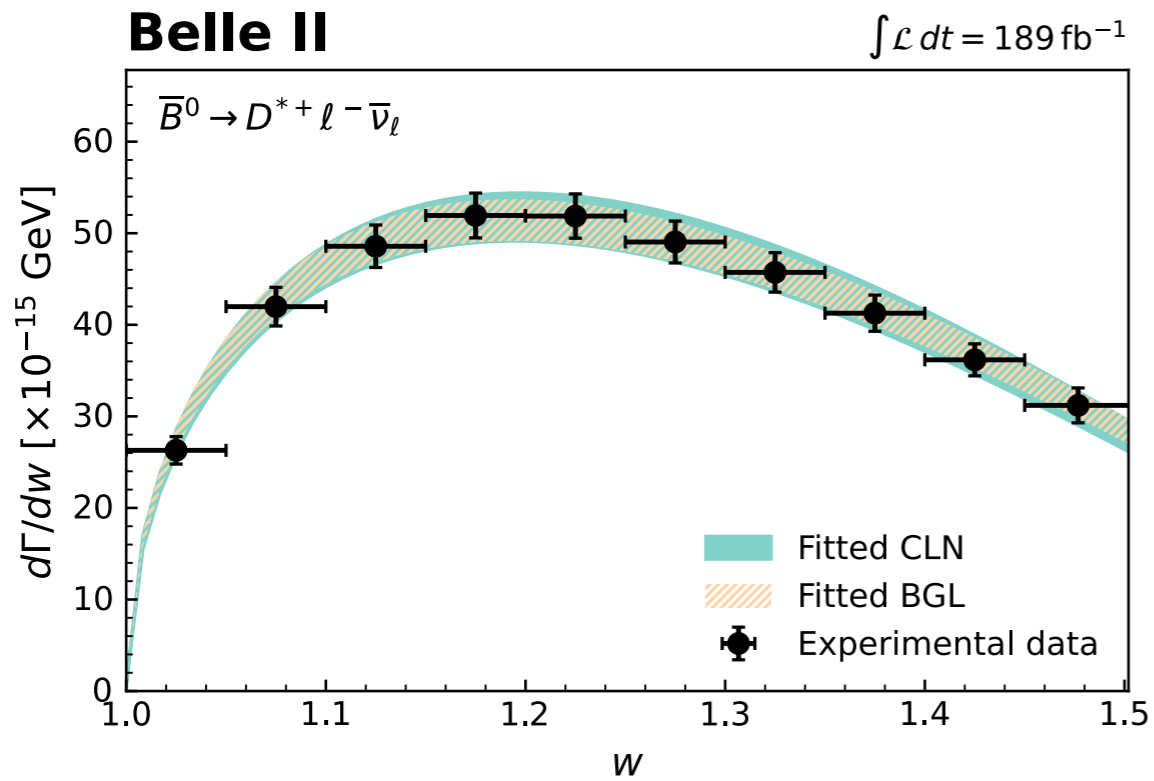


Correct for acceptance & efficiency

“Reco”



“True”



$$|V_{cb}|_{\text{CLN}} = (40.2 \pm 0.3 \pm 0.9 \pm 0.6) \times 10^{-3},$$

$$|V_{cb}|_{\text{BGL}} = (40.6 \pm 0.3 \pm 1.0 \pm 0.6) \times 10^{-3}.$$

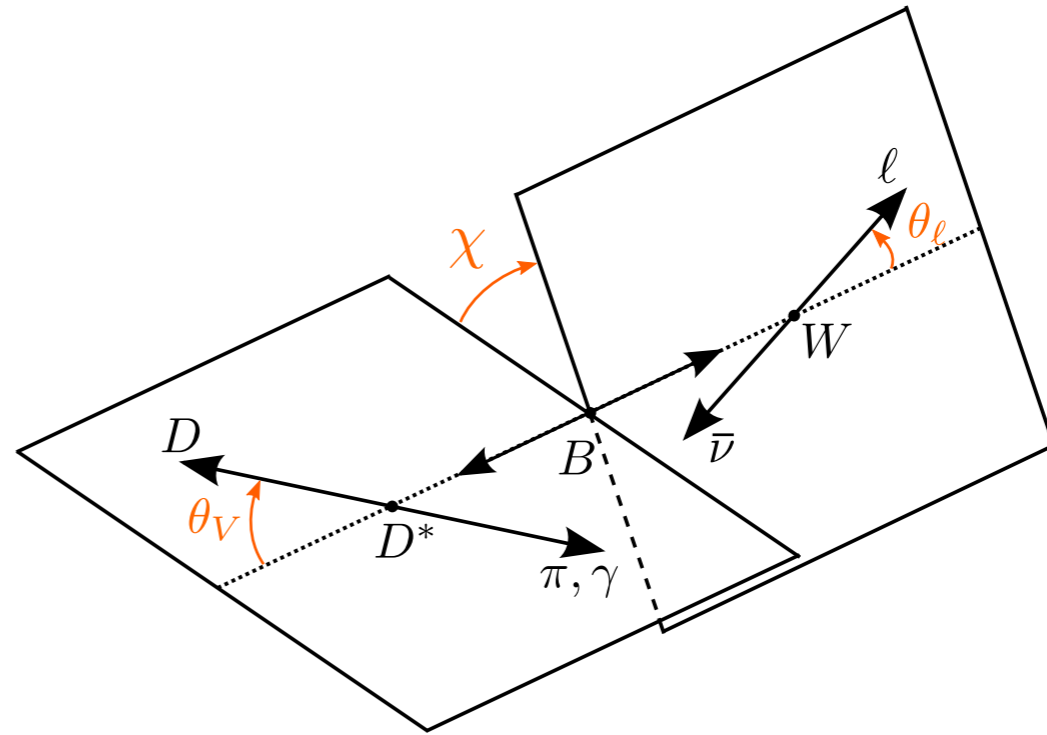


**BGL truncation order
determined using Nested
Hypothesis Test**

(n_a, n_b, n_c)	$ V_{cb} \times 10^3$	ρ_{\max}	χ^2	Ndf	p-value
(1, 1, 2)	40.2 ± 1.1	0.28	40.5	32	14%
(2, 1, 2)	40.1 ± 1.1	0.97	38.6	31	16%
(1, 2, 2)	40.6 ± 1.2	0.57	39.1	31	15%
(1, 1, 3)	40.1 ± 1.1	0.97	40	31	13%
(2, 2, 2)	40.2 ± 1.3	0.99	38.6	30	13%
(1, 3, 2)	39.8 ± 1.3	0.98	37.6	30	16%
(1, 2, 3)	40.5 ± 1.2	0.97	39	30	13%

Construct **asymmetries**:

$$\mathcal{A}(w) = \left(\frac{d\Gamma}{dw} \right)^{-1} \left[\int_0^1 - \int_{-1}^0 \right] dX \frac{d\Gamma}{dw dX},$$



$$A_{\text{FB}} : dX \rightarrow d(\cos \theta_l)$$

$$S_3 : dX \rightarrow d(\cos 2\chi)$$

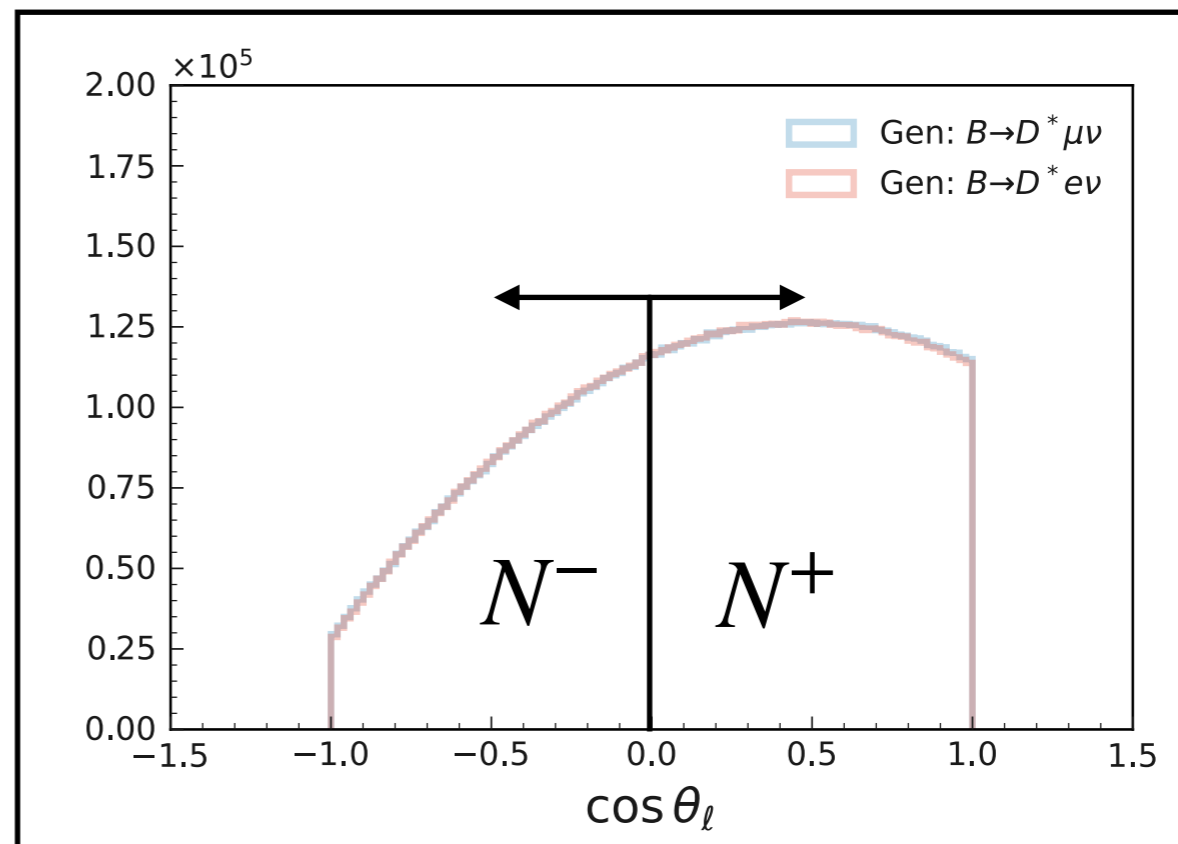
$$S_5 : dX \rightarrow d(\cos \chi \cos \theta_{\nu})$$

$$S_7 : dX \rightarrow d(\sin \chi \cos \theta_{\nu})$$

$$S_9 : dX \rightarrow d(\sin 2\chi)$$

E.g. forward-backward
asymmetry in $\cos \theta_{\ell}$

$$A_{\text{FB}} = \frac{N^+ - N^-}{N^+ + N^-}$$



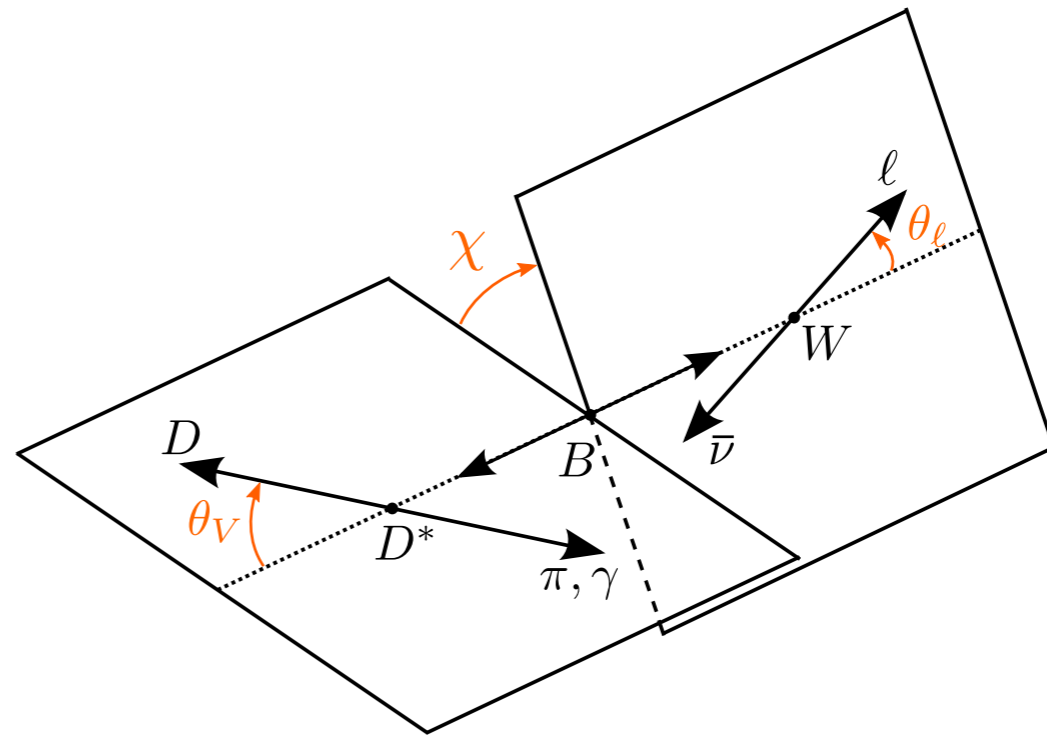
Construct **asymmetries**:

$$\mathcal{A}(w) = \left(\frac{d\Gamma}{dw} \right)^{-1} \left[\int_0^1 - \int_{-1}^0 \right] dX \frac{d\Gamma}{dw dX},$$

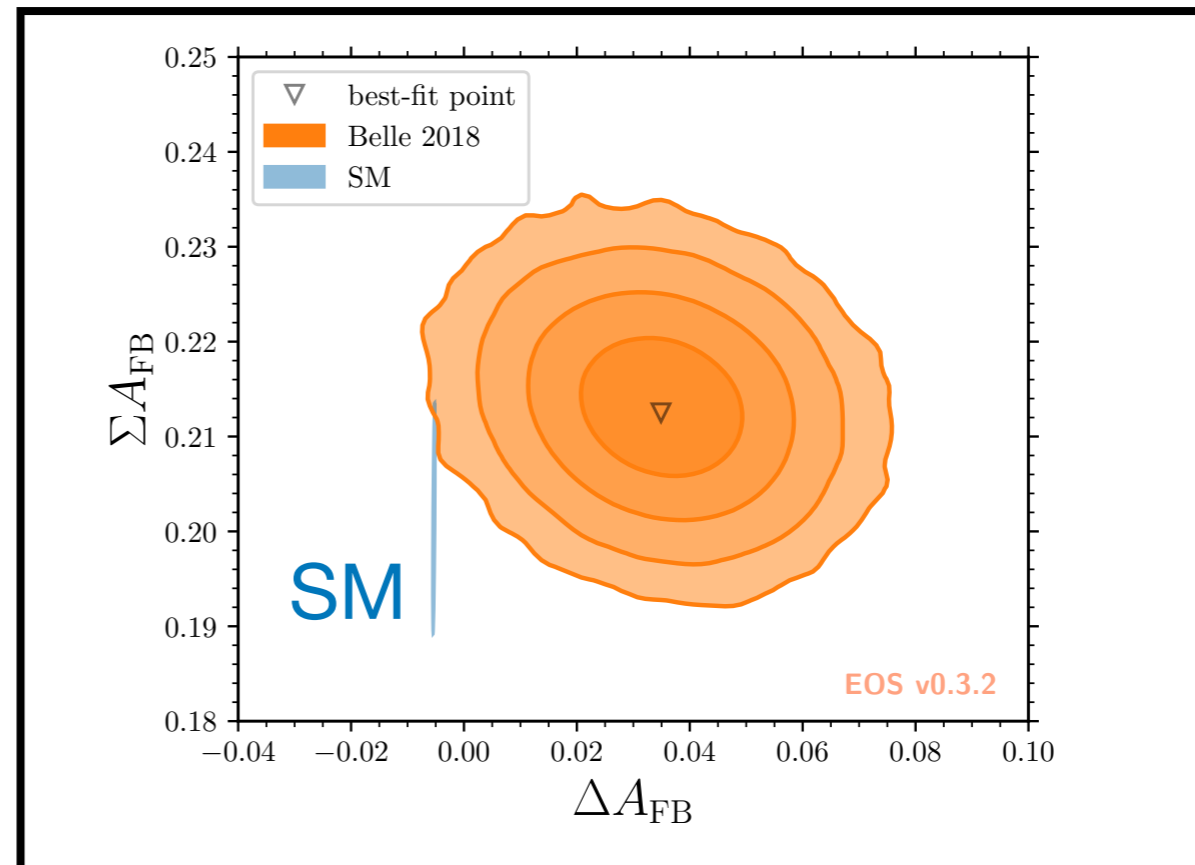
$$\begin{aligned} A_{\text{FB}} &: dX \rightarrow d(\cos \theta_l) \\ S_3 &: dX \rightarrow d(\cos 2\chi) \\ S_5 &: dX \rightarrow d(\cos \chi \cos \theta_V) \\ S_7 &: dX \rightarrow d(\sin \chi \cos \theta_V) \\ S_9 &: dX \rightarrow d(\sin 2\chi) \end{aligned}$$

E.g. forward-backward asymmetry in $\cos \theta_\ell$

$$A_{\text{FB}} = \frac{N^+ - N^-}{N^+ + N^-}$$



Bobeth et al. [*Eur.Phys.J.C* 81 (2021) 11, 984]



$$\mathcal{A}(w) = \left(\frac{d\Gamma}{dw} \right)^{-1} \left[\int_0^1 - \int_{-1}^0 \right] dX \frac{d\Gamma}{dw dX},$$

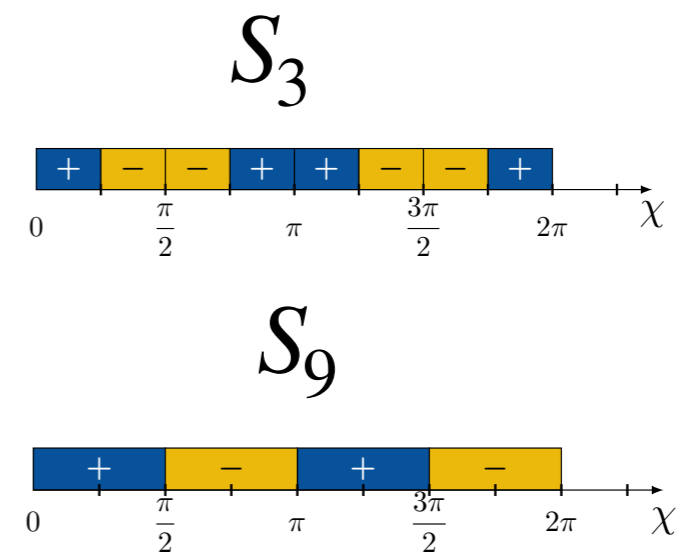
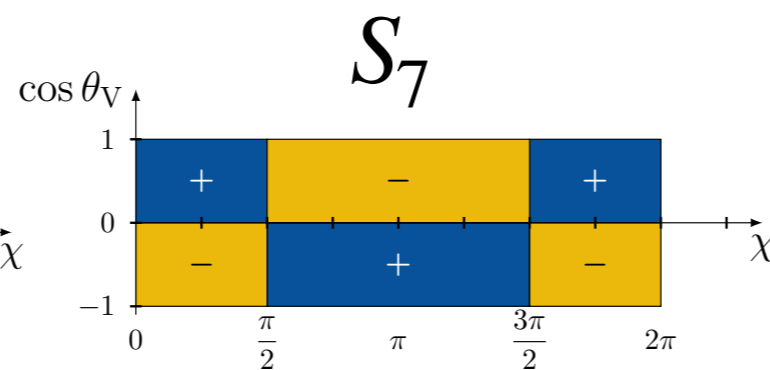
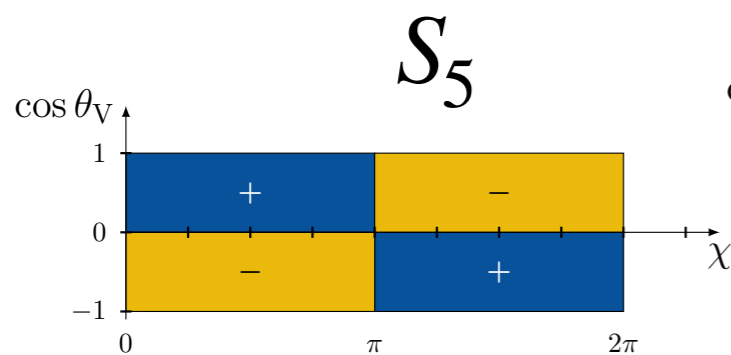
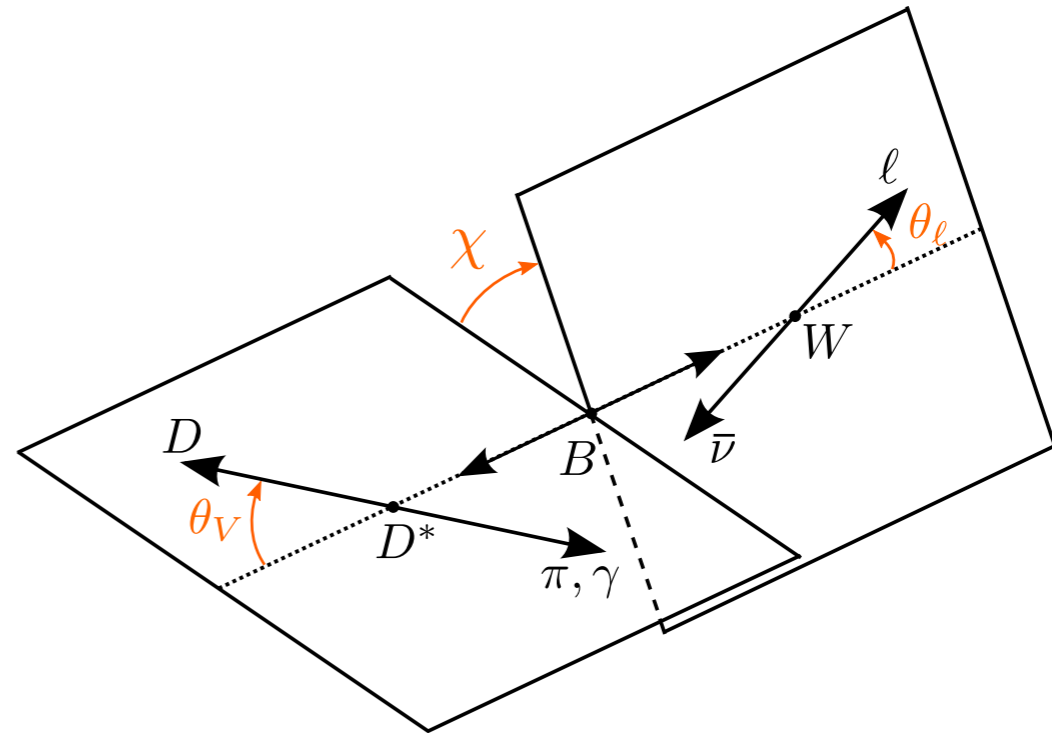
$$A_{\text{FB}} : dX \rightarrow d(\cos \theta_l)$$

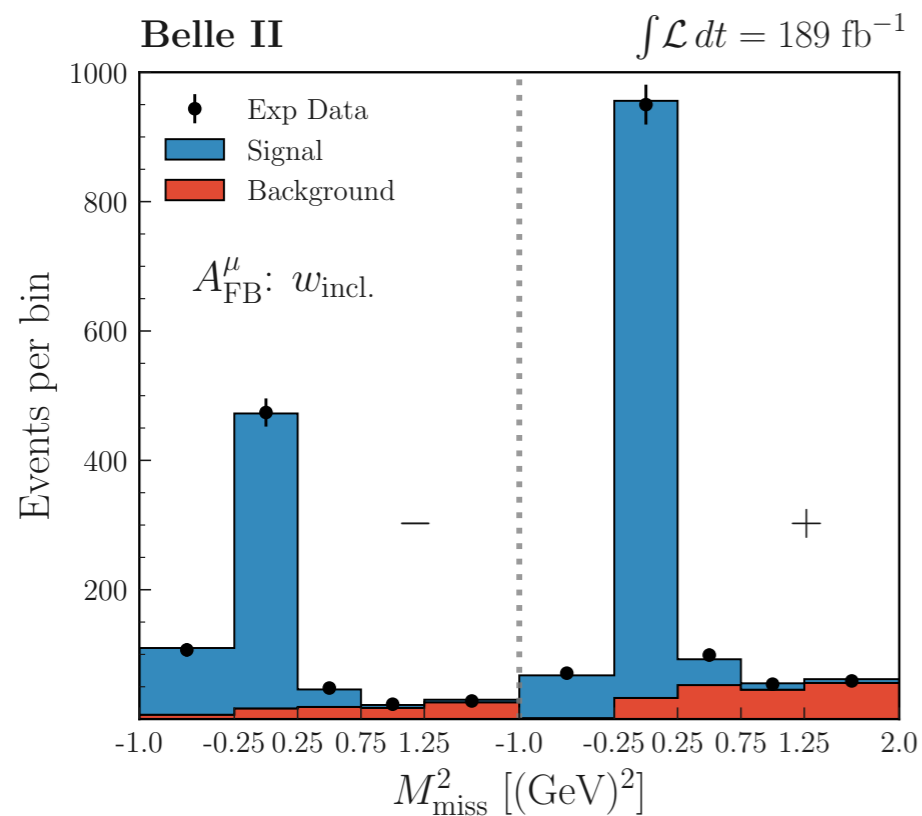
$$S_3 : dX \rightarrow d(\cos 2\chi)$$

$$S_5 : dX \rightarrow d(\cos \chi \cos \theta_V)$$

$$S_7 : dX \rightarrow d(\sin \chi \cos \theta_V)$$

$$S_9 : dX \rightarrow d(\sin 2\chi)$$



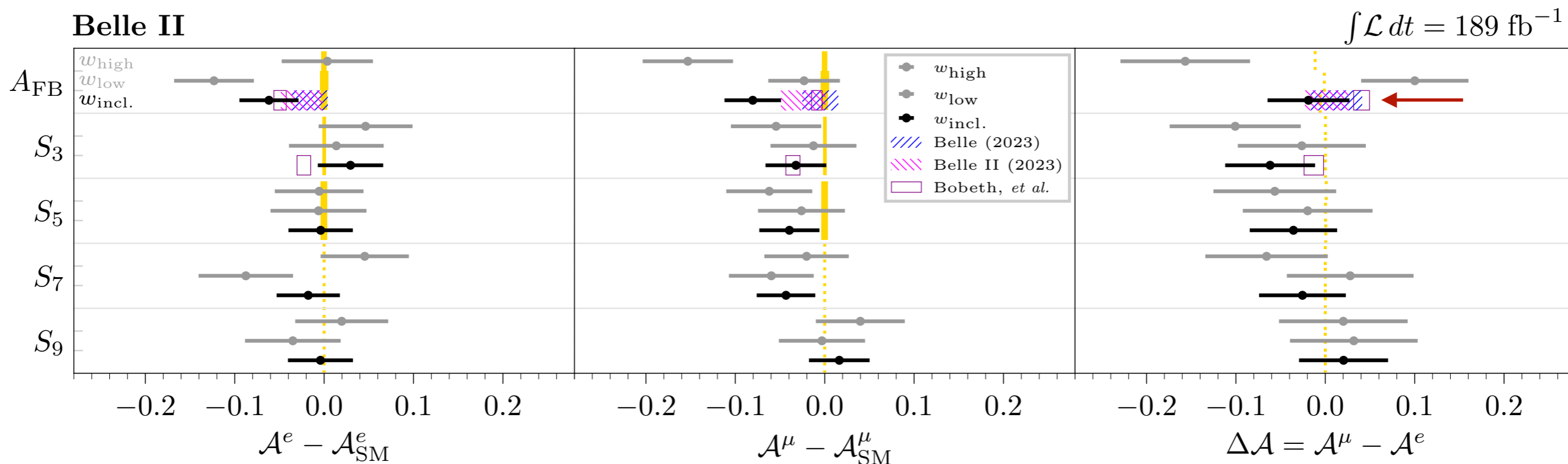


Can also split these **asymmetries** further into w bins :

$$w \in [1, w_{\text{max}}]$$

$$w \in [1, 1.275]$$

$$w \in [1.275, w_{\text{max}}]$$



Full angular information of $B \rightarrow D^* \ell \bar{\nu}_\ell$ can be encoded into **12 coefficients** :

$$\frac{d\Gamma}{dq^2 d\cos\theta_V d\cos\theta_\ell d\chi} = \frac{G_F^2 |V_{cb}|^2 m_B^3}{2\pi^4} \times \left\{ \begin{aligned} & J_{1s} \sin^2 \theta_V + J_{1c} \cos^2 \theta_V \\ & + (J_{2s} \sin^2 \theta_V + J_{2c} \cos^2 \theta_V) \cos 2\theta_\ell \\ & + J_3 \sin^2 \theta_V \sin^2 \theta_\ell \cos 2\chi \\ & + J_4 \sin 2\theta_V \sin 2\theta_\ell \cos \chi + J_5 \sin 2\theta_V \sin \theta_\ell \cos \chi \\ & + (J_{6s} \sin^2 \theta_V + J_{6c} \cos^2 \theta_V) \cos \theta_\ell \\ & + J_7 \sin 2\theta_V \sin \theta_\ell \sin \chi + J_8 \sin 2\theta_V \sin 2\theta_\ell \sin \chi \\ & + J_9 \sin^2 \theta_V \sin^2 \theta_\ell \sin 2\chi \end{aligned} \right\}.$$

Each of these coefficients is a function of $q^2 \sim w$



With some smart folding, one can “easily” determine them

Based on the ideas of:

JHEP 05 (2013) 043

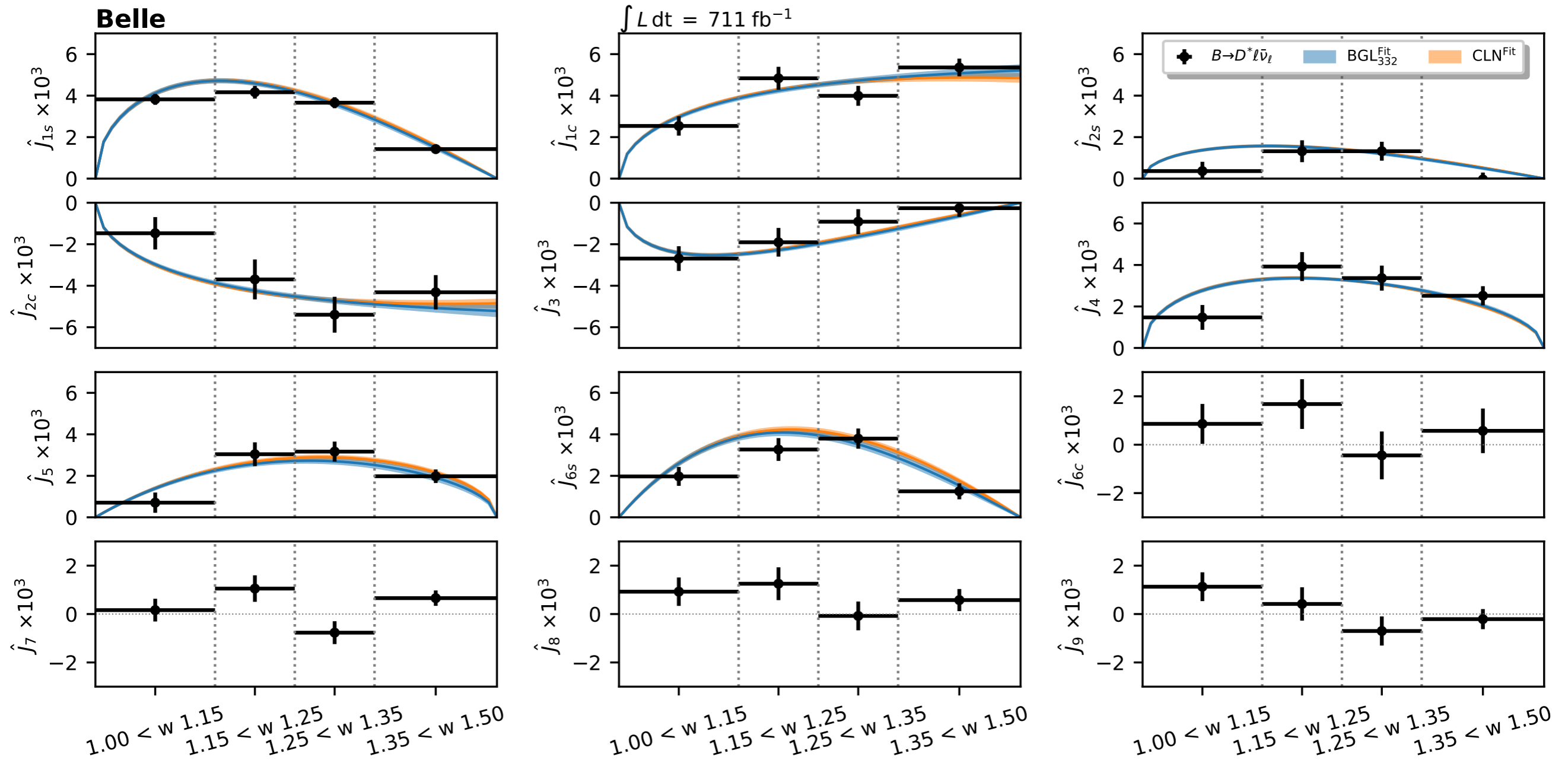
JHEP 05 (2013) 137

Phys. Rev. D 90, 094003 (2014)

<http://cds.cern.ch/record/1605179>

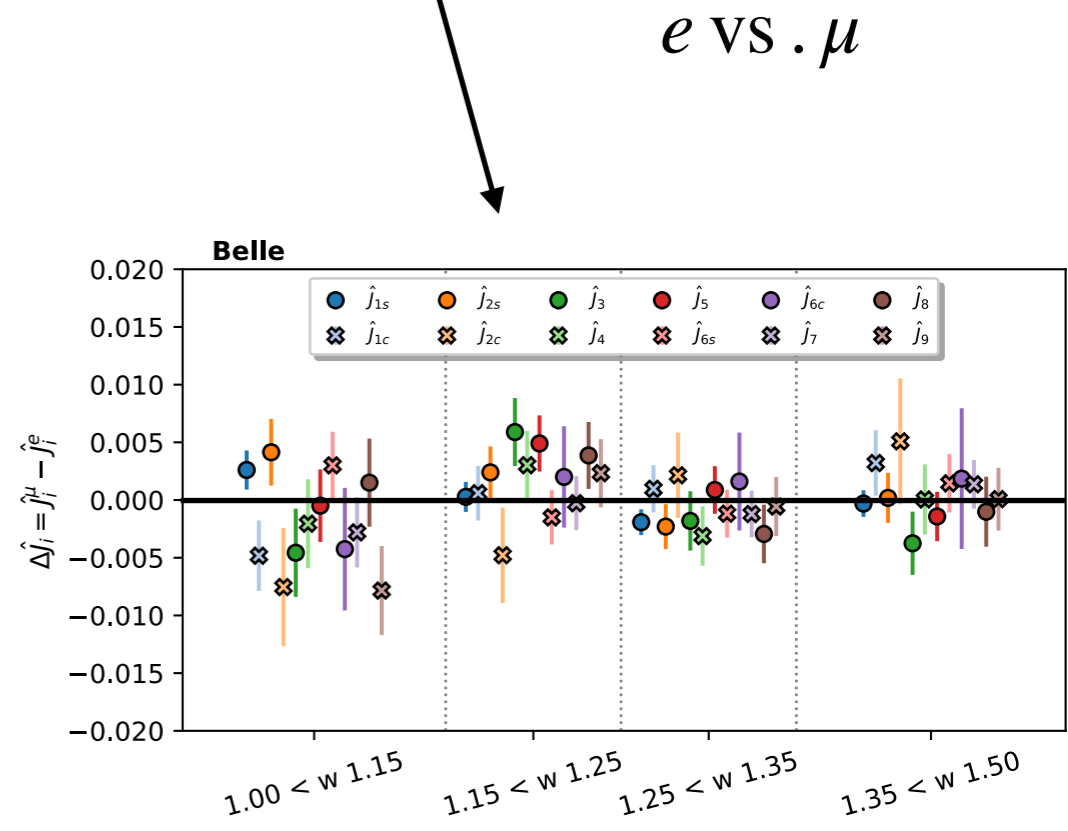
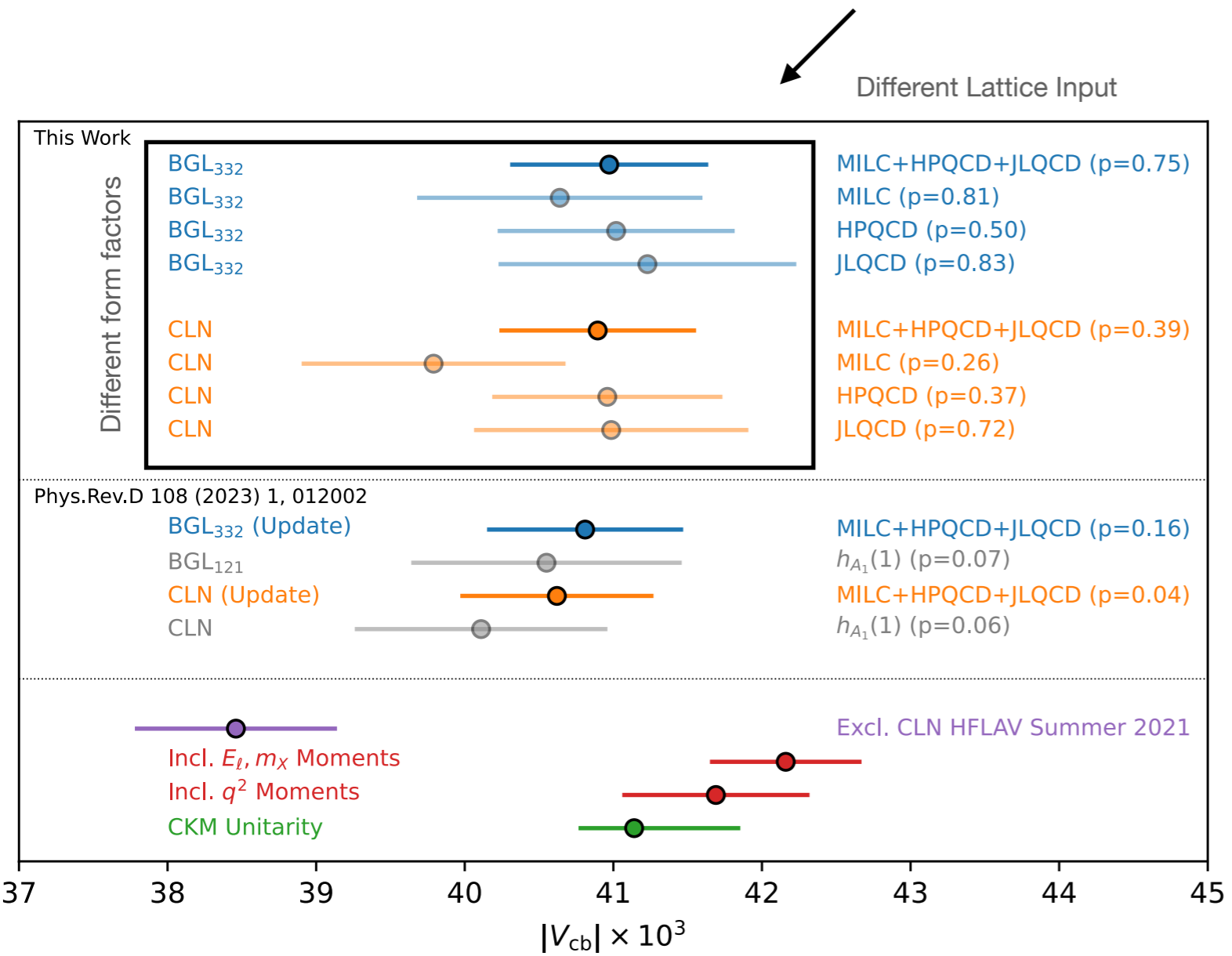
8 Coefficients relevant in massless limit & SM

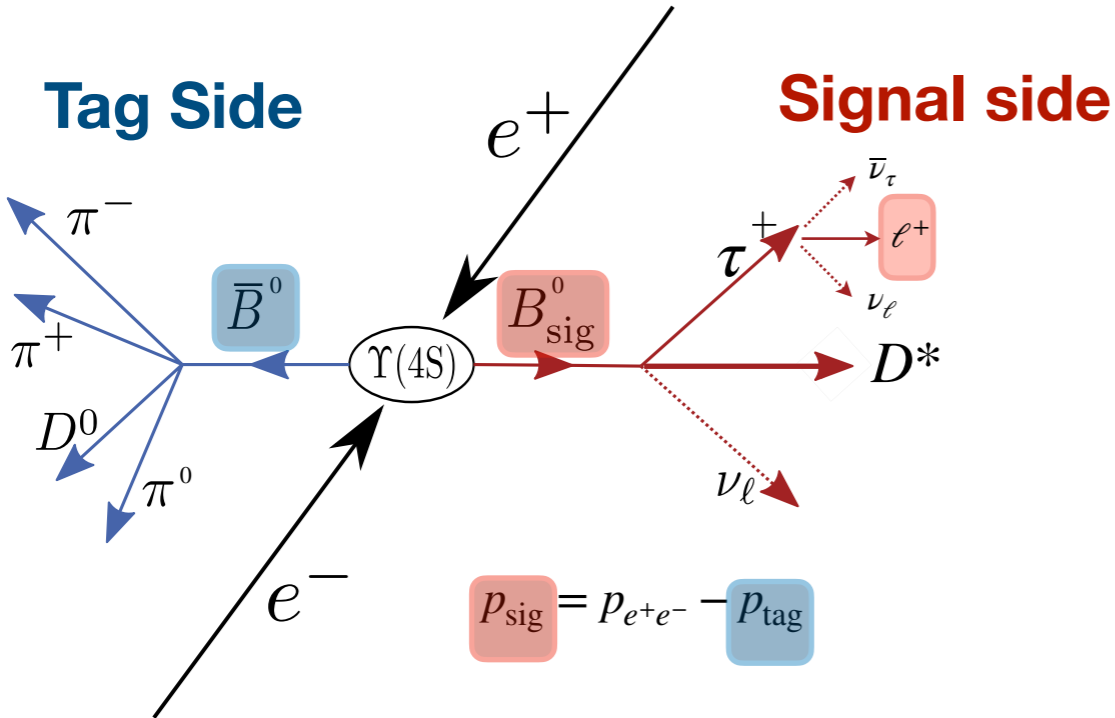
Full angular information of $B \rightarrow D^* \ell \bar{\nu}_\ell$ can be encoded into **12 coefficients** :



Full angular information of $B \rightarrow D^* \ell \bar{\nu}_\ell$ can be encoded into **12 coefficients** :

Can be analyzed to determine $|V_{cb}|$ & test **Lepton Flavor Universality**

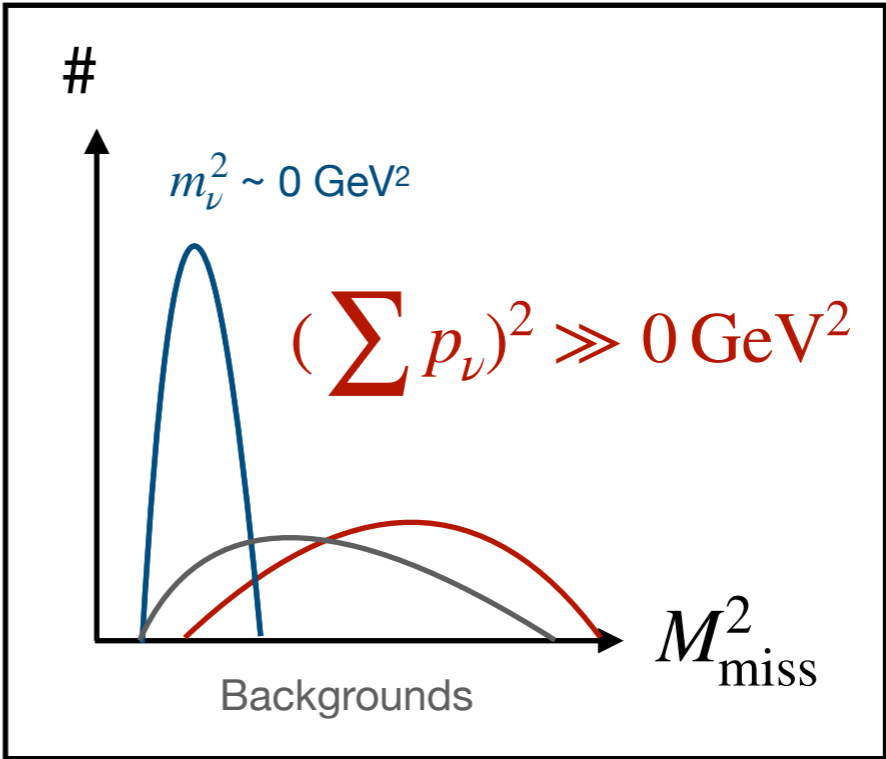
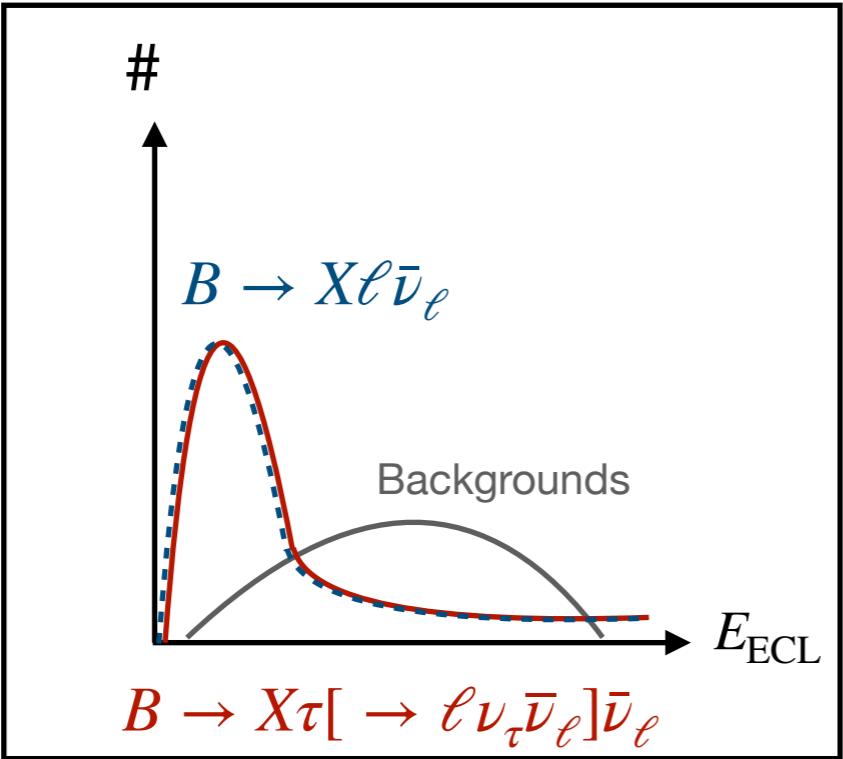


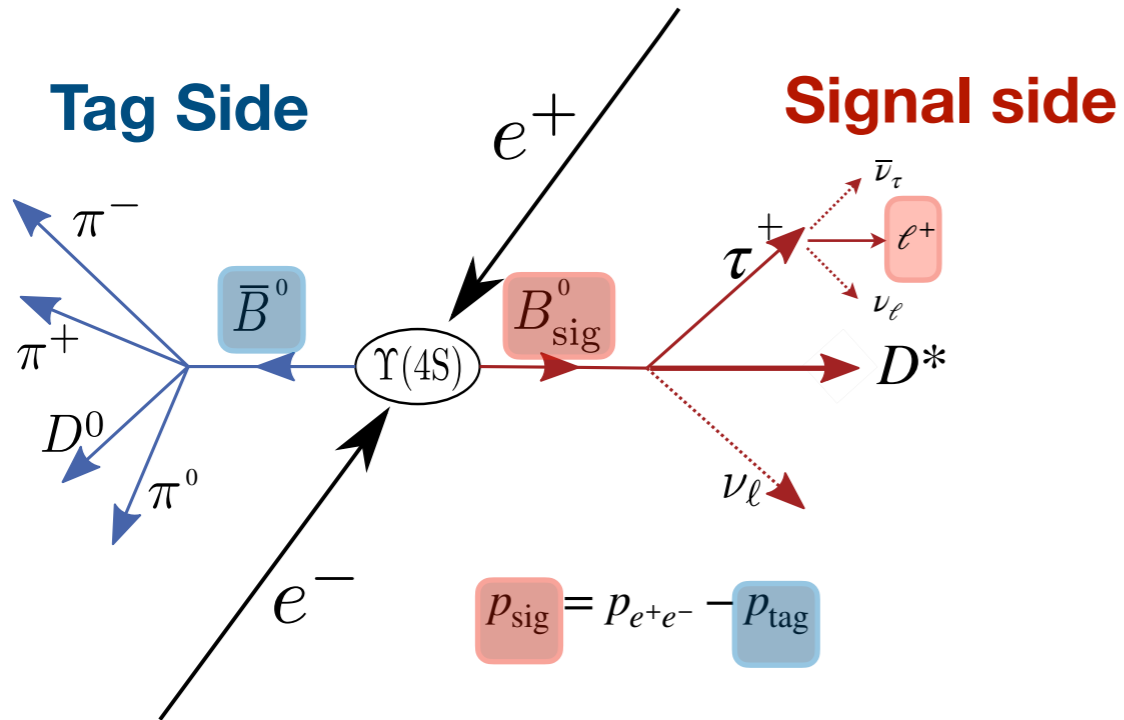


Key variables:

$$E_{\text{ECL}} \quad : \quad M_{\text{miss}}^2 = \left(p_{B_{\text{sig}}} - p_X - p_\ell \right)^2$$

Unassigned neutral energy depositions in calorimeter





Key variables:

$$E_{\text{ECL}} : M_{\text{miss}}^2 = \left(p_{B_{\text{sig}}} - p_X - p_\ell \right)^2$$

Signal enhanced region of $M_{\text{miss}}^2 \in [1.5, 6] \text{ GeV}^2$

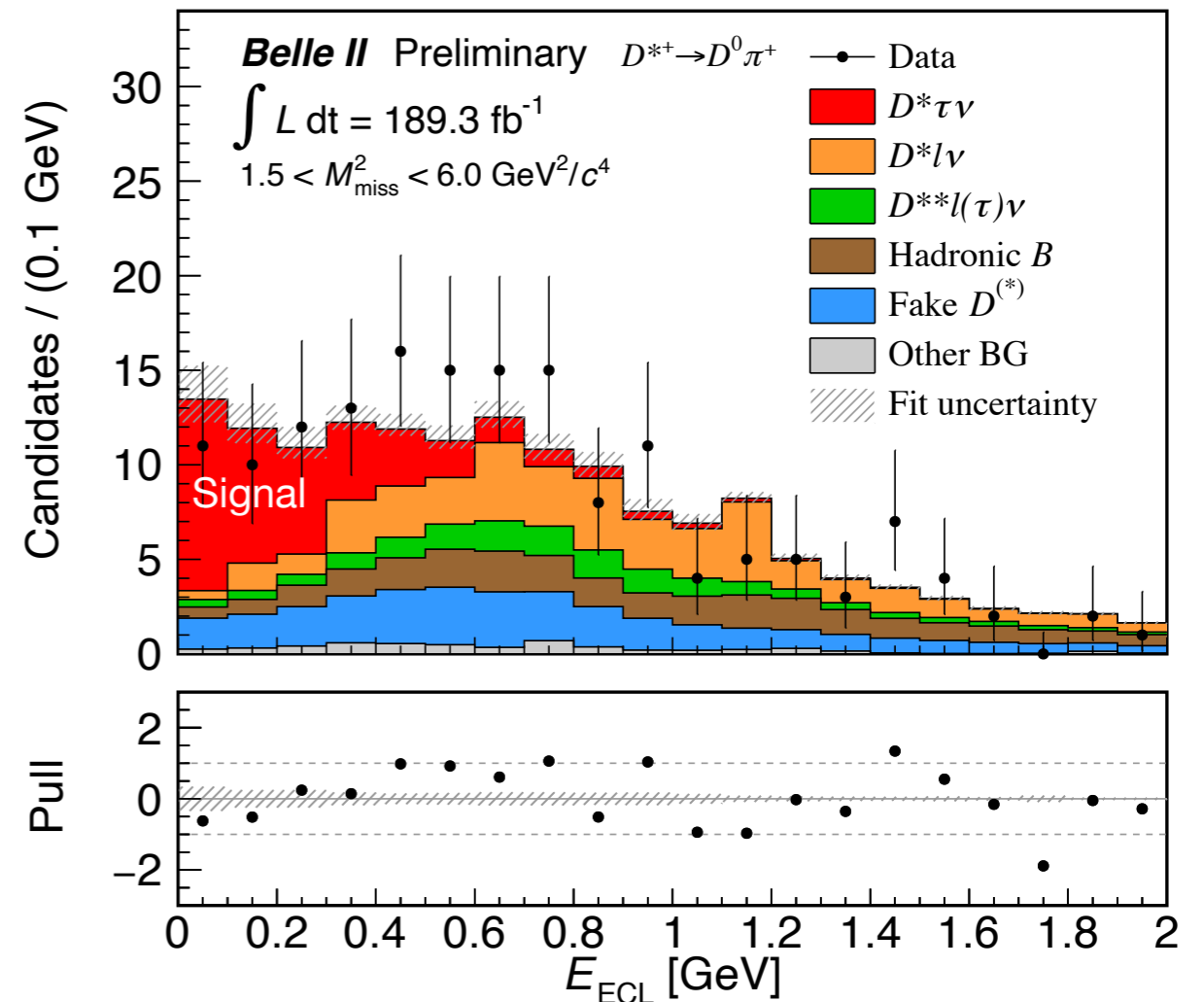
2D likelihood fit:

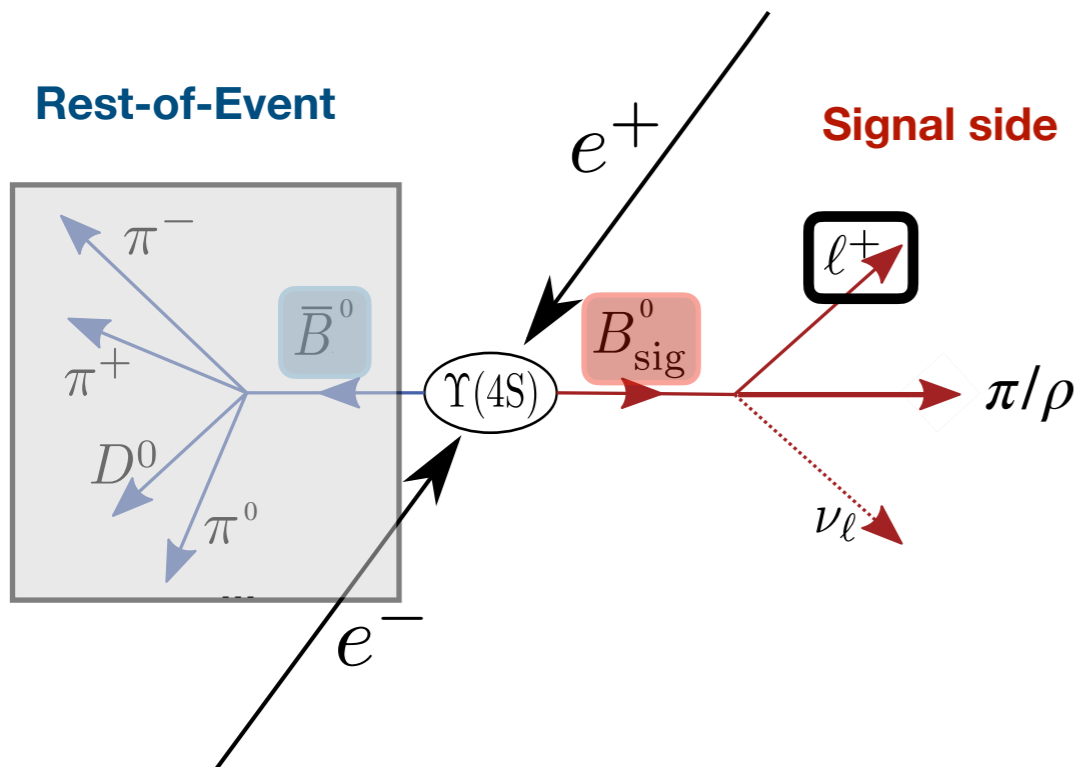
SM expectation: 0.249 ± 0.002

$$R(D^*) = 0.262^{+0.041}_{-0.039}(\text{stat})^{+0.035}_{-0.032}(\text{syst}),$$

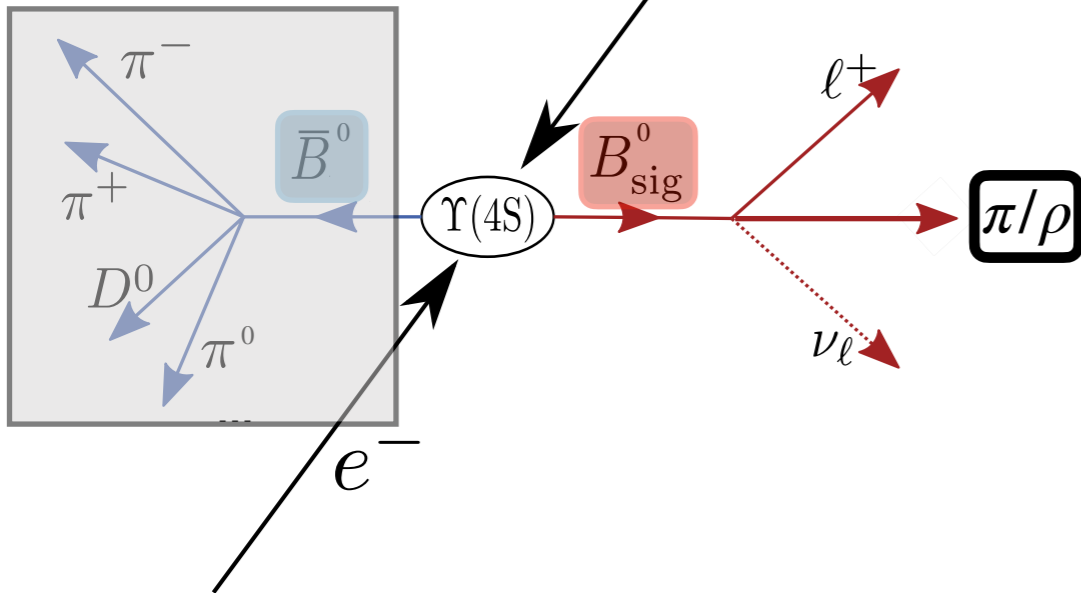
Dominant Systematic Errors

Source	Uncertainty
PDF shapes	+9.1% -8.3%
Simulation sample size	+7.5% -7.5%
$\bar{B} \rightarrow D^{**} \ell^- \bar{\nu}_\ell$ branching fractions	+4.8% -3.5%
Fixed backgrounds	+2.7% -2.3%
Hadronic B decay branching fractions	+2.1% -2.1%
Reconstruction efficiency	+2.0% -2.0%
Kernel density estimation	+2.0% -0.8%

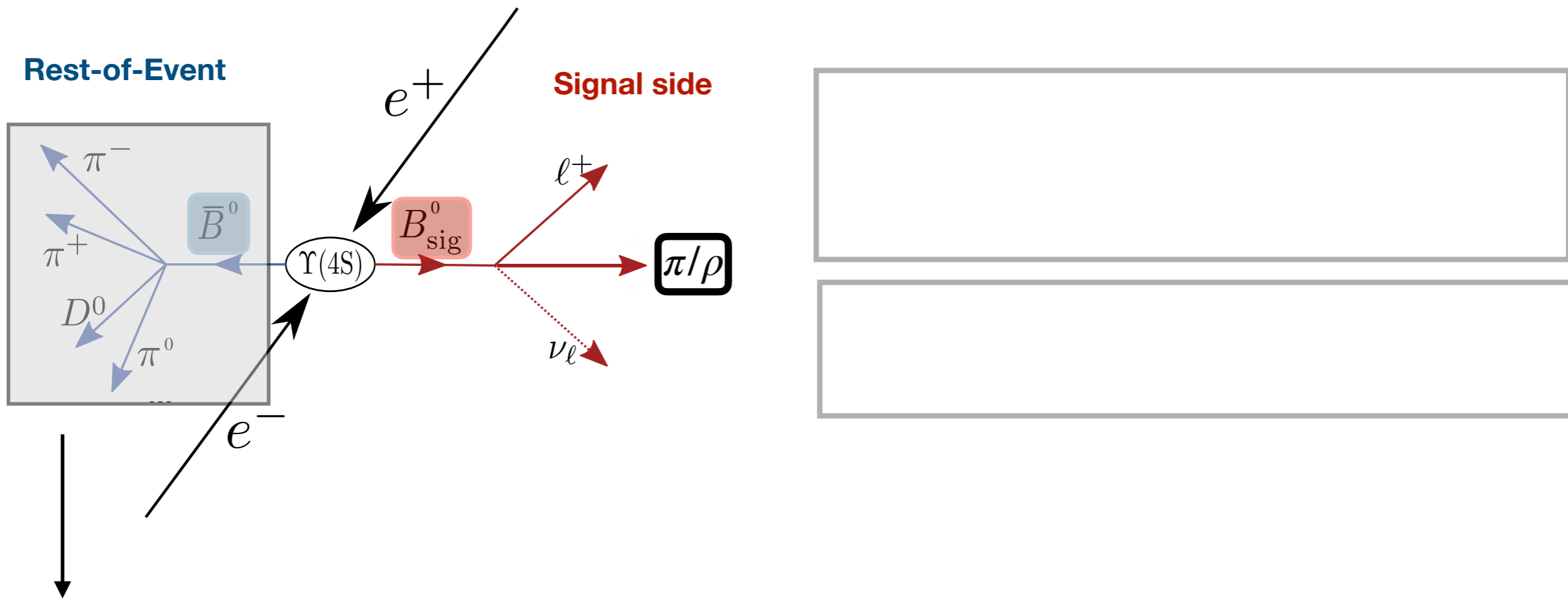




Rest-of-Event

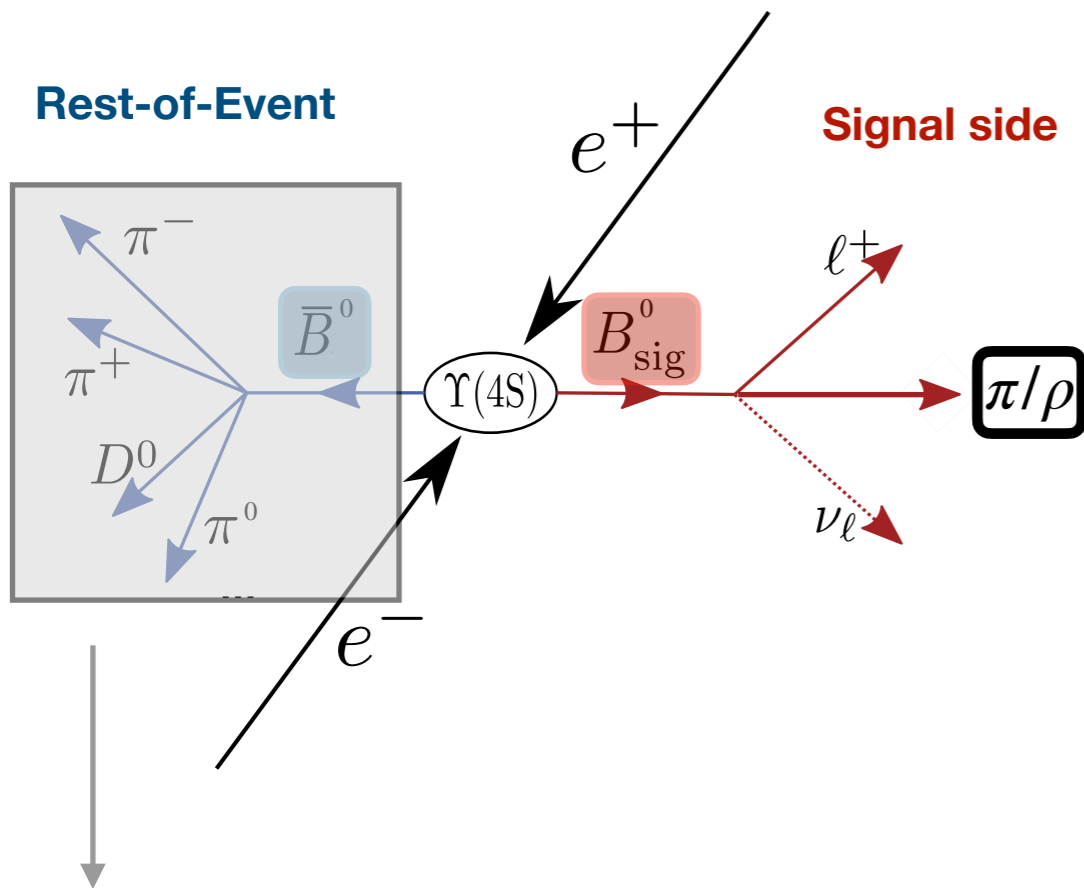


Reconstruct tracks consistent with pions and construct ρ^0 candidates



Reconstruct **Rest-of-Event** particles
 to estimate neutrino kinematics &

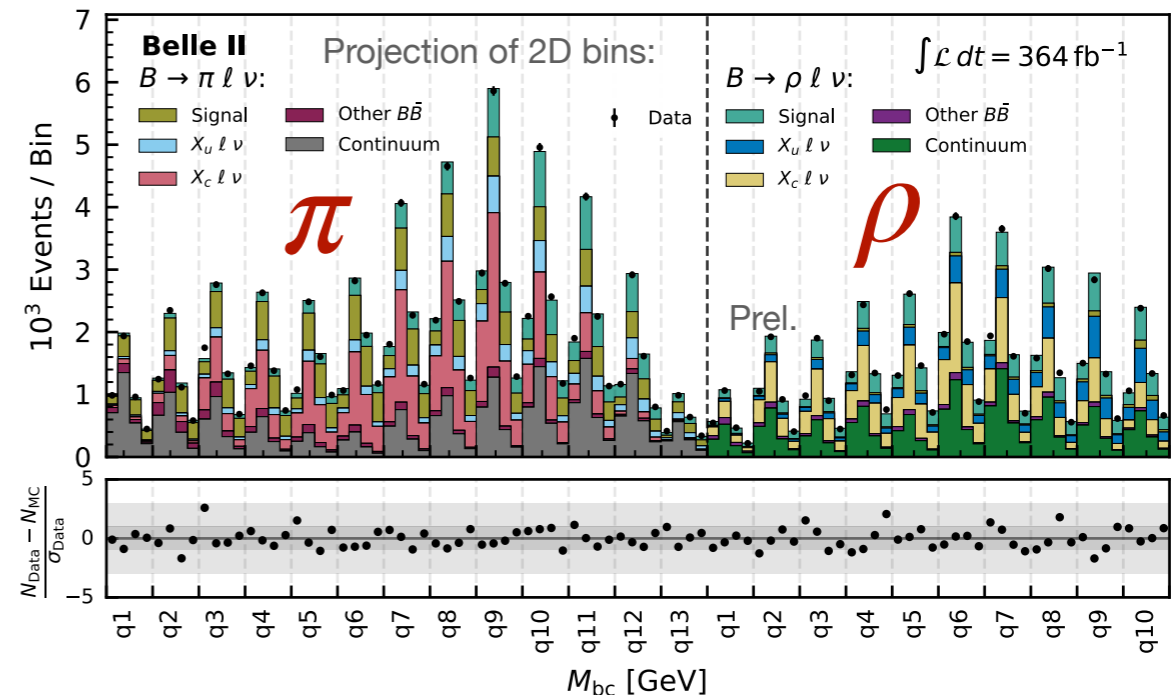
$$\text{reconstruct } q^2 = \left(p_{B_{\text{sig}}} - p_{\pi/\rho} \right)^2$$



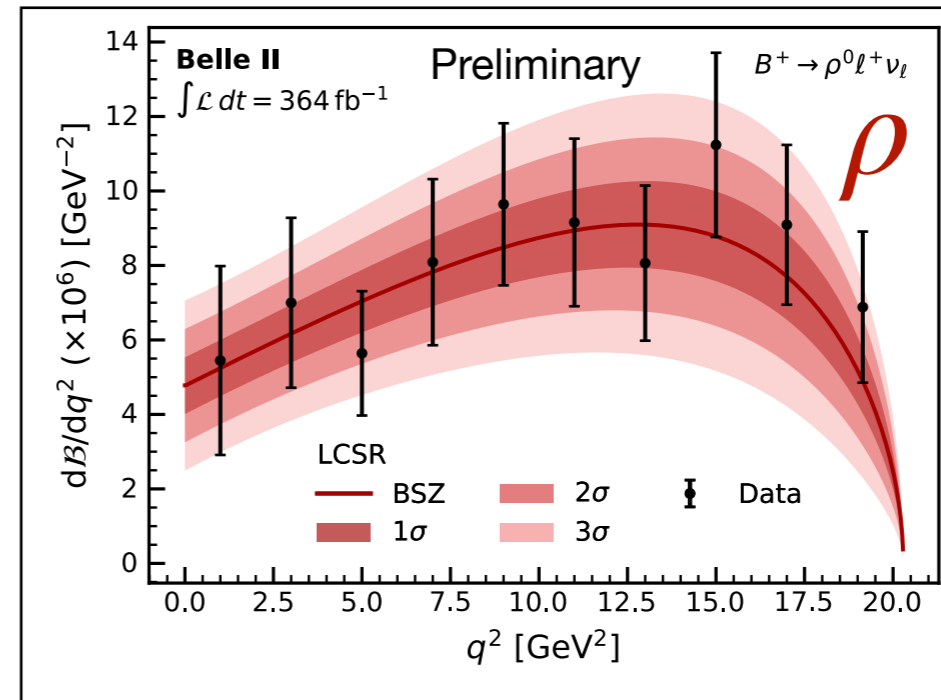
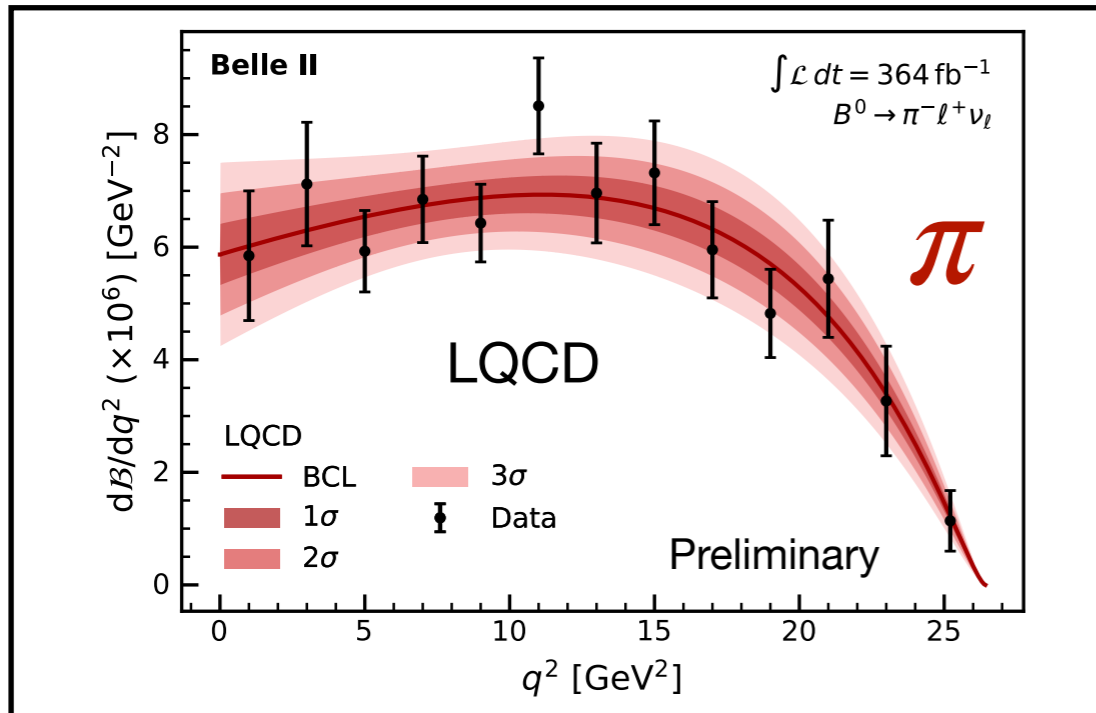
$$\Delta E = E_B^* - E_{\text{beam}}^* = E_B^* - \frac{\sqrt{s}}{2},$$

$$M_{\text{bc}} = \sqrt{E_{\text{beam}}^{*2} - |\vec{p}_B^*|^2} = \sqrt{\left(\frac{\sqrt{s}}{2}\right)^2 - |\vec{p}_B^*|^2}$$

Use 2D fit to $M_{\text{bc}} : \Delta E$ to determine **simultaneously** π & ρ signal yields & use forward folding to account for detector effects etc.



Measured differential branching fractions as a function of q^2 :



Fit results with Lattice QCD (LQCD) and/or light cone sum rules (LCSR):

$$B^0 \rightarrow \pi^+ \ell^- \bar{\nu}_\ell:$$

$$|V_{ub}|_{\text{LQCD}} = (3.93 \pm 0.09_{\text{stat}} \pm 0.13_{\text{syst}} \pm 0.19_{\text{theo}}) \times 10^{-3}$$

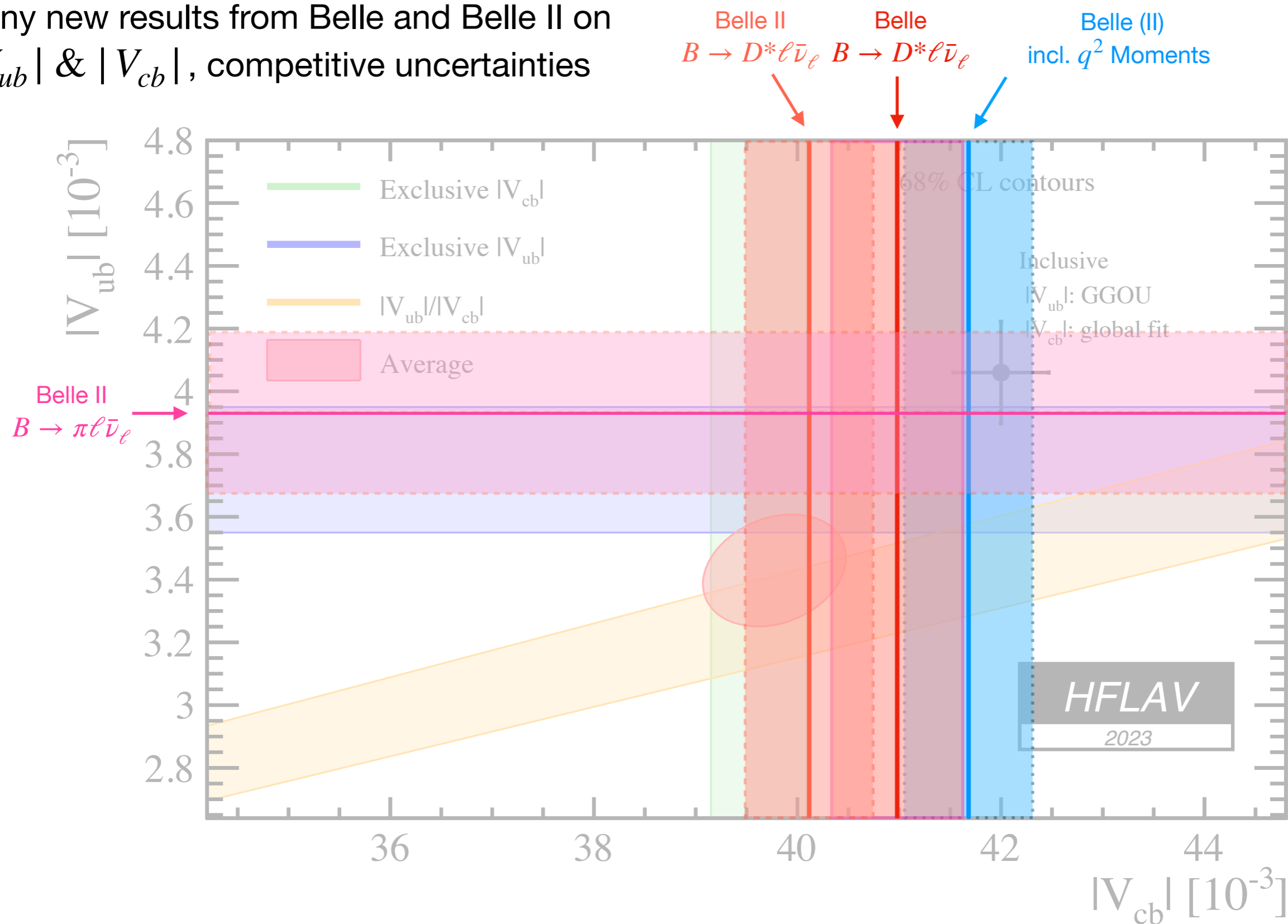
$$|V_{ub}|_{\text{+LCSR}} = (3.73 \pm 0.07_{\text{stat}} \pm 0.07_{\text{syst}} \pm 0.16_{\text{theo}}) \times 10^{-3}$$

$$B^- \rightarrow \rho^0 \ell^- \bar{\nu}_\ell:$$

$$|V_{ub}|_{\text{LCSR}} = (3.19 \pm 0.12_{\text{stat}} \pm 0.17_{\text{syst}} \pm 0.26_{\text{theo}}) \times 10^{-3}$$

Summary & Conclusion

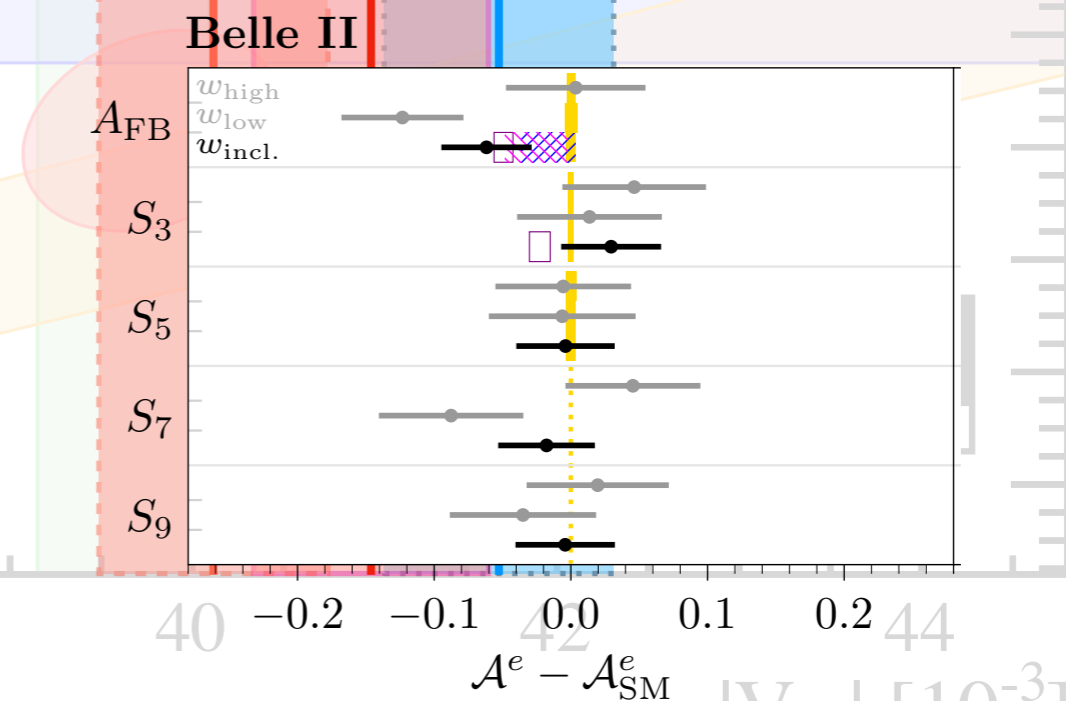
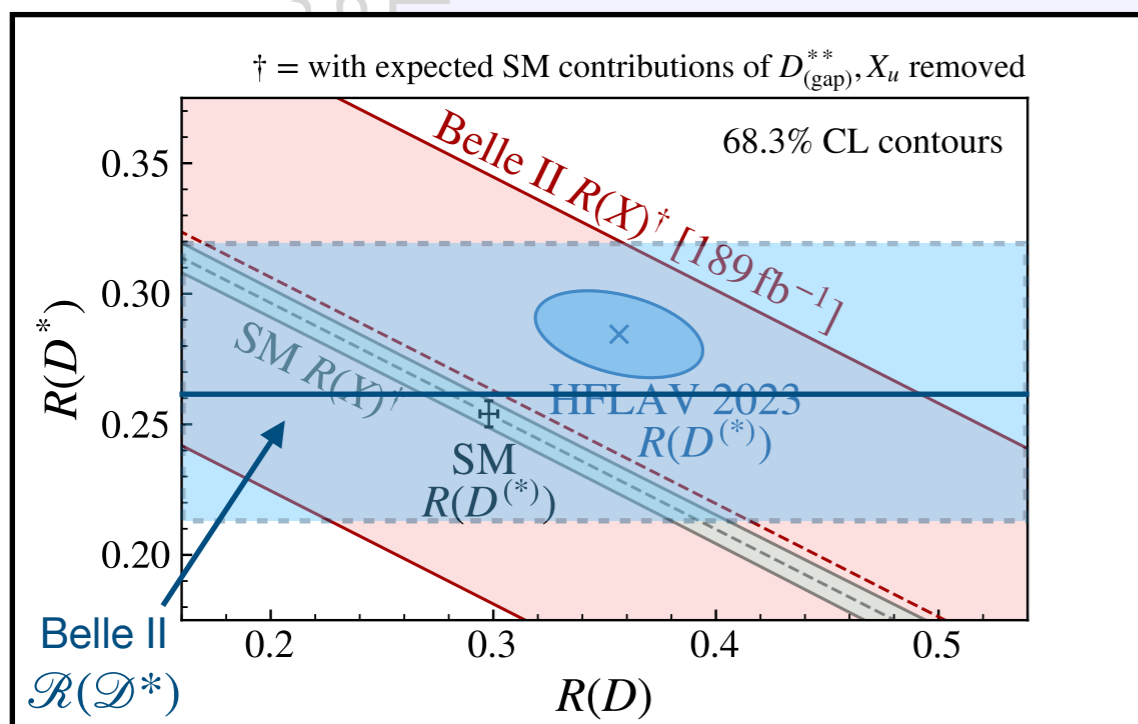
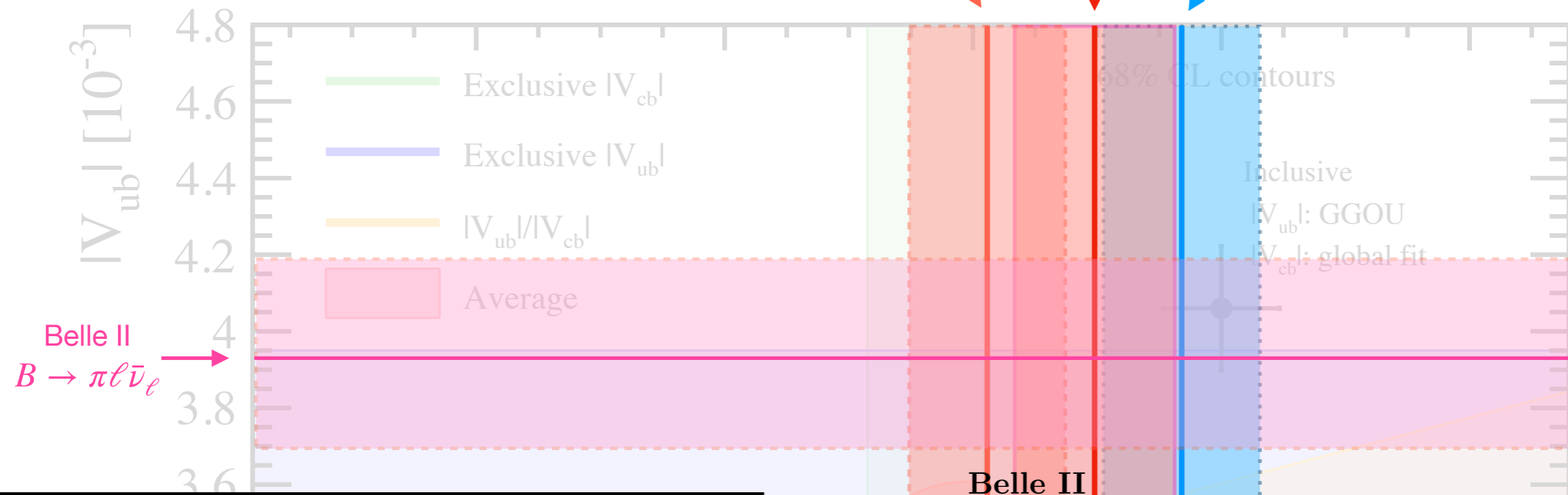
Many new results from Belle and Belle II on $|V_{ub}|$ & $|V_{cb}|$, competitive uncertainties



Summary & Conclusion

Many new results from Belle and Belle II on $|V_{ub}|$ & $|V_{cb}|$, competitive uncertainties

Belle II $B \rightarrow D^* \ell \bar{\nu}_\ell$ Belle $B \rightarrow D^* \ell \bar{\nu}_\ell$ Belle (II) incl. q^2 Moments



Many new results from Belle and Belle II on LFU!



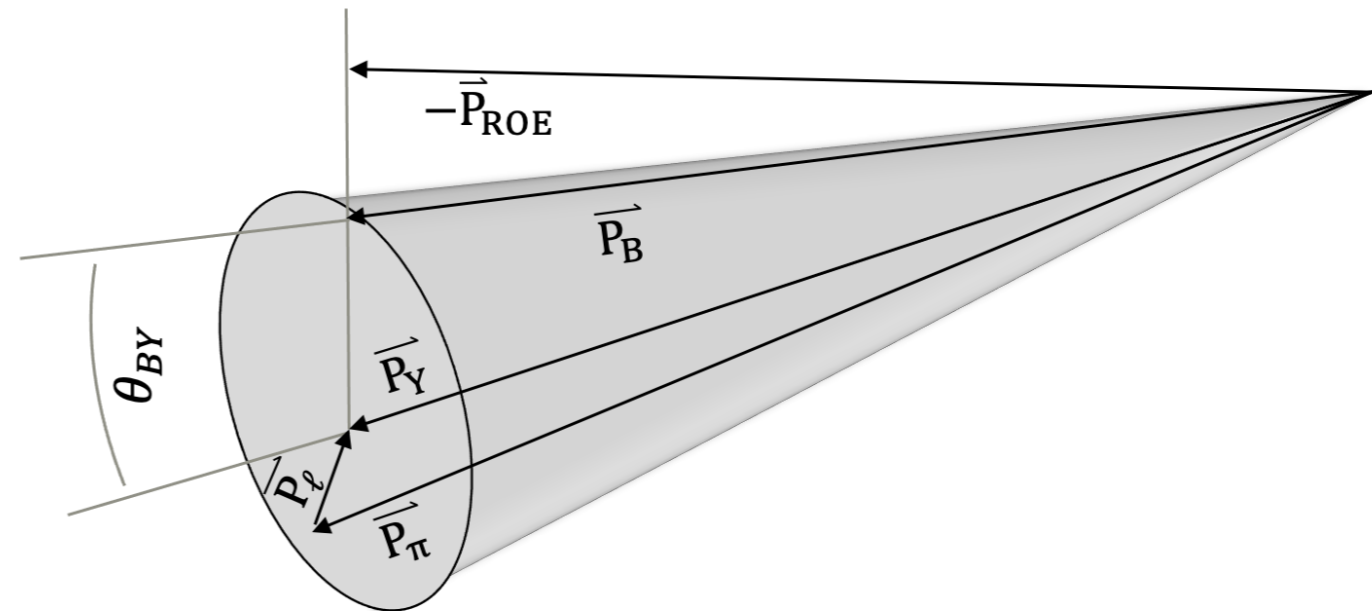
More Information

q^2 Reconstruction Methods

Can exploit that the B meson lies on a **cone**, whose opening angle is fully determined by properties of visible particles:

$$\cos \theta_{BY} = \frac{2E_B E_Y - m_B^2 - m_Y^2}{2|\mathbf{p}_B||\mathbf{p}_Y|}$$

\uparrow
 $Y = \pi\ell / \rho\ell$



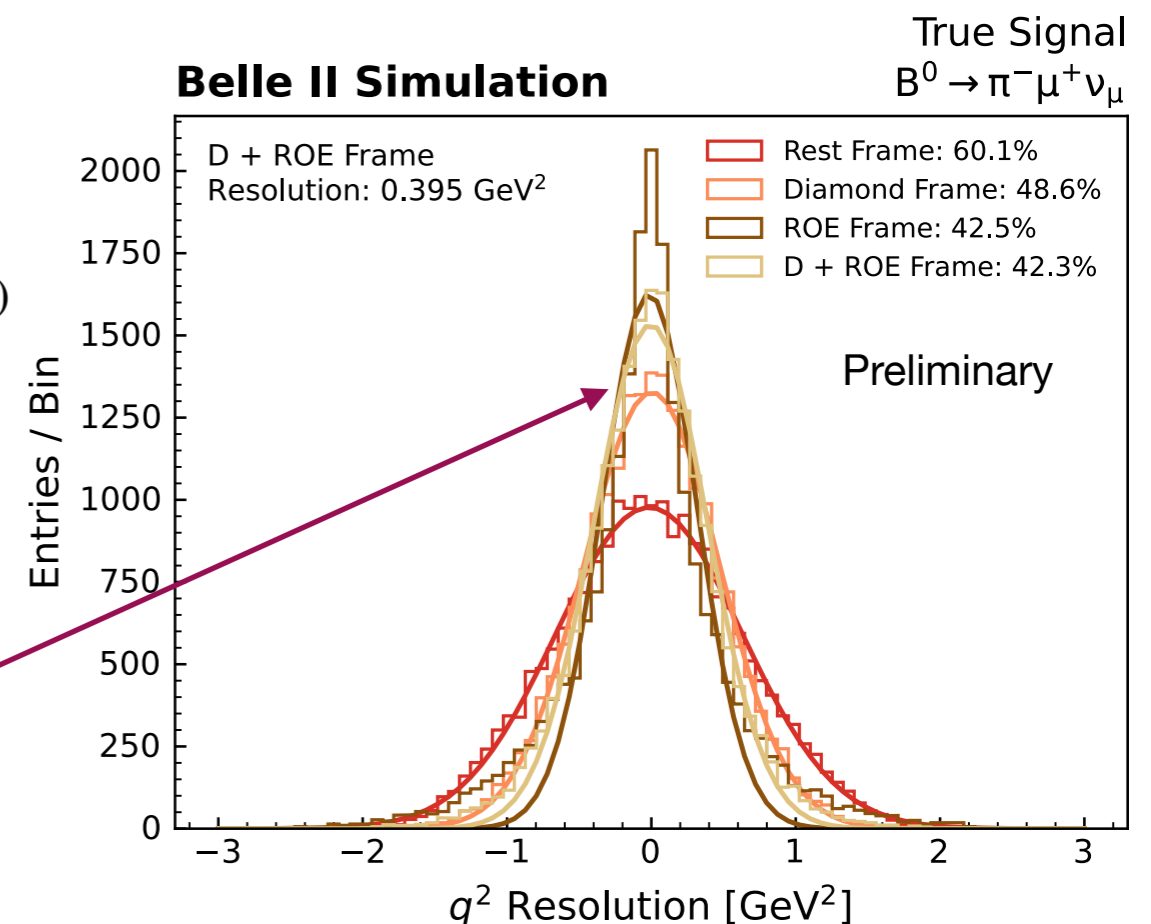
Can use this to estimate B meson direction building a weighted average on the cone

$$(E^B, p_B^x, p_B^y, p_B^z) = (\sqrt{s}/2, |\mathbf{p}_B| \sin \theta_{BY} \cos \phi, |\mathbf{p}_B| \sin \theta_{BY} \sin \phi, |\mathbf{p}_B| \cos \theta_{BY})$$

with weights according to $w_i = \sin^2 \theta_i$ with θ denoting the polar angle

(following the angular distribution of $\Upsilon(4S) \rightarrow B\bar{B}$)

One can also **combine** both estimates



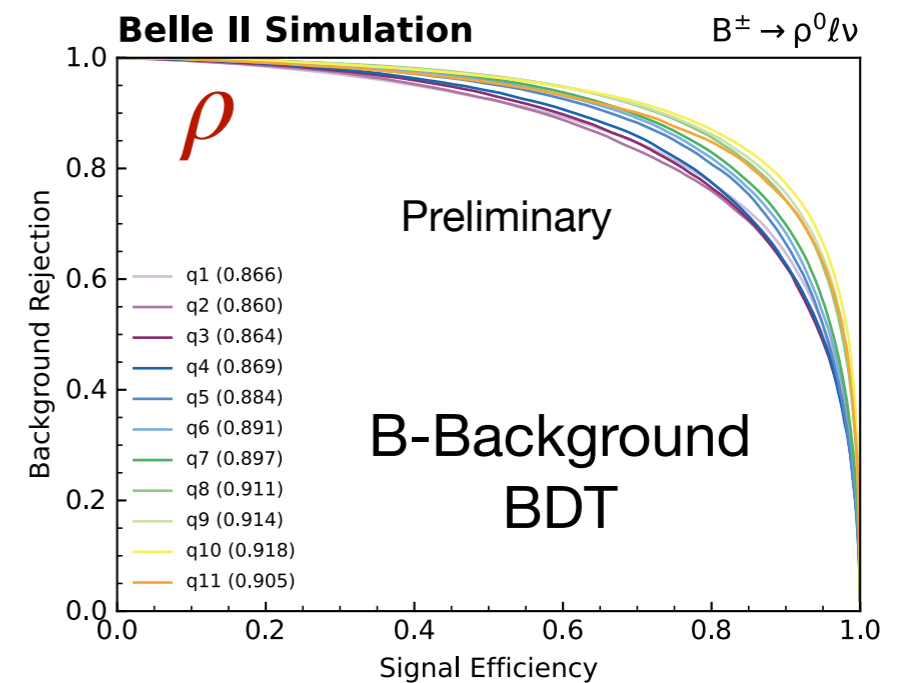
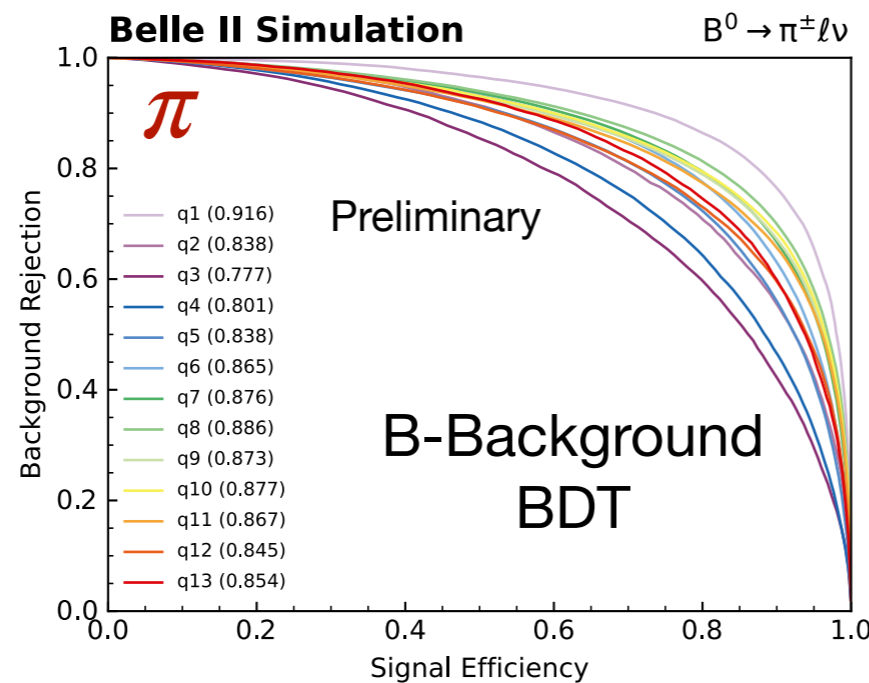
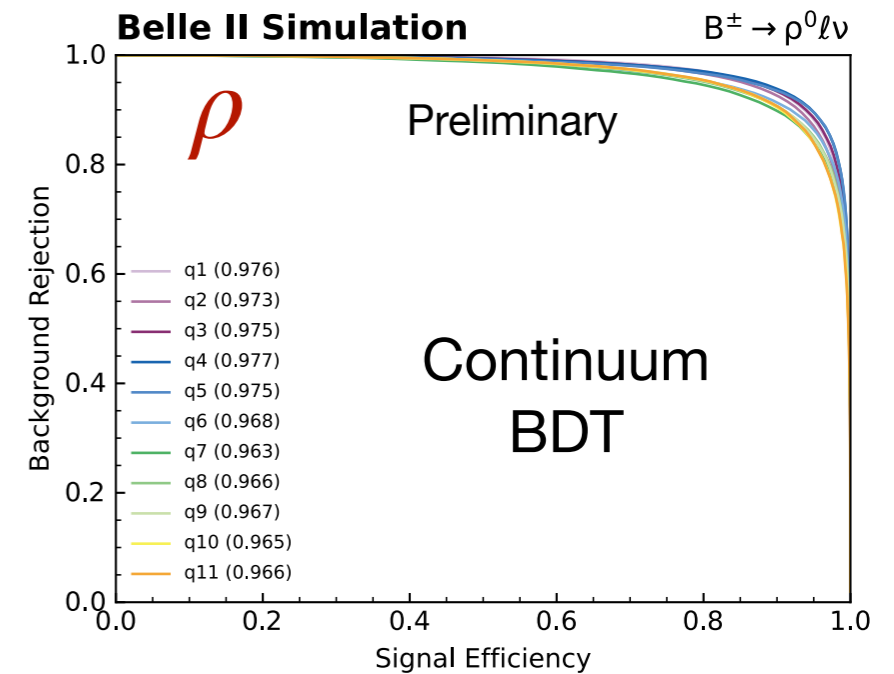
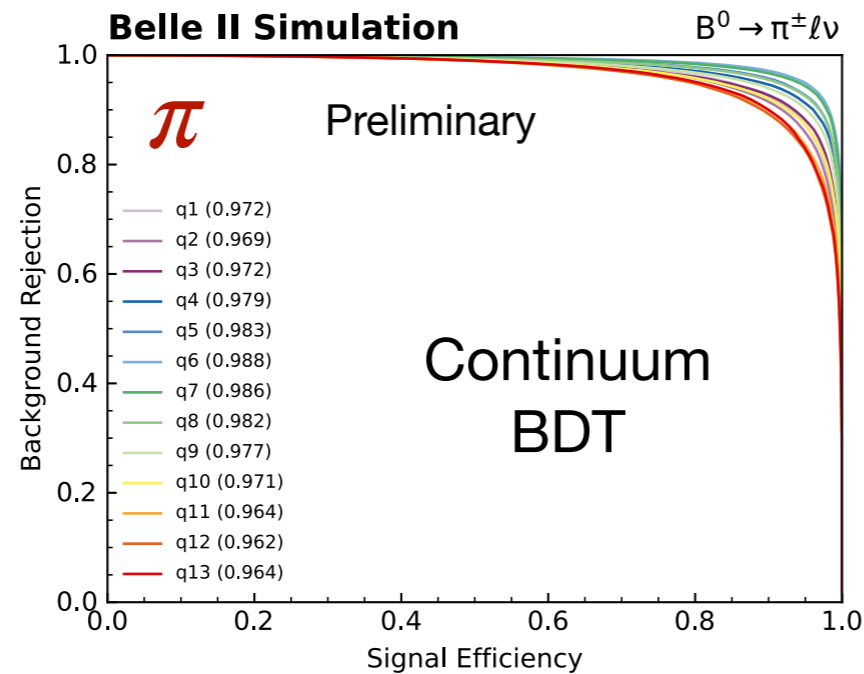
Multivariate Sledgehammer

ROC curves:



Optimize selection
For each bin
maximizing FOM

$$\frac{N_{\text{sig}}}{\sqrt{N_{\text{sig}} + N_{\text{bkg}}}},$$



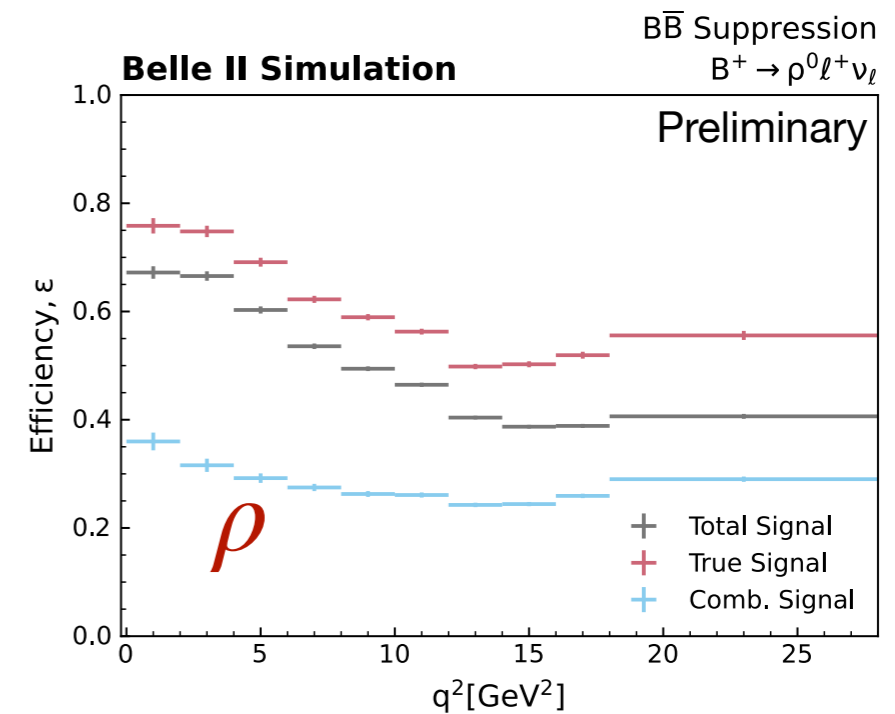
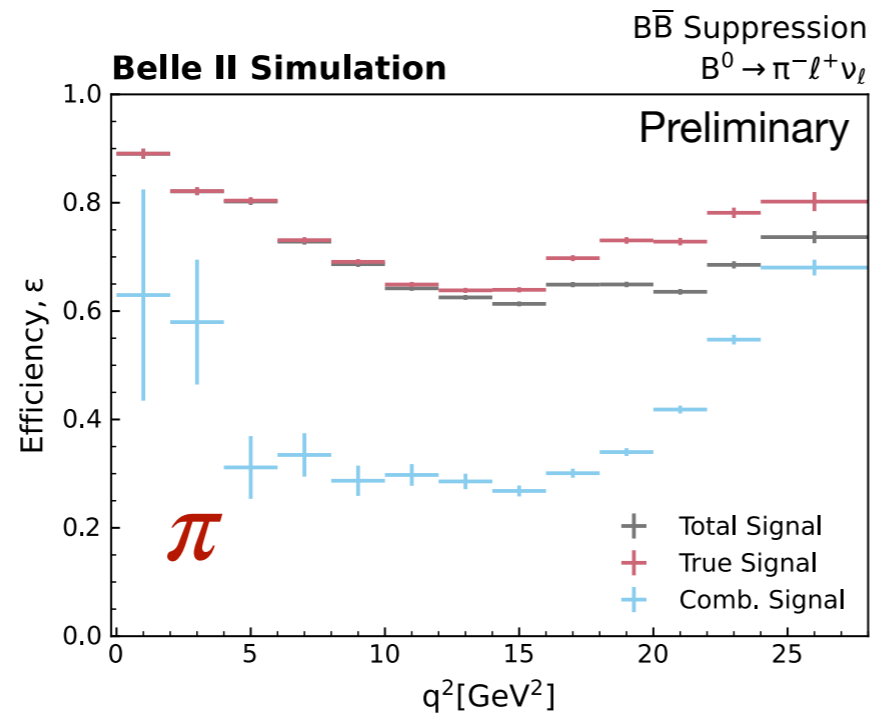
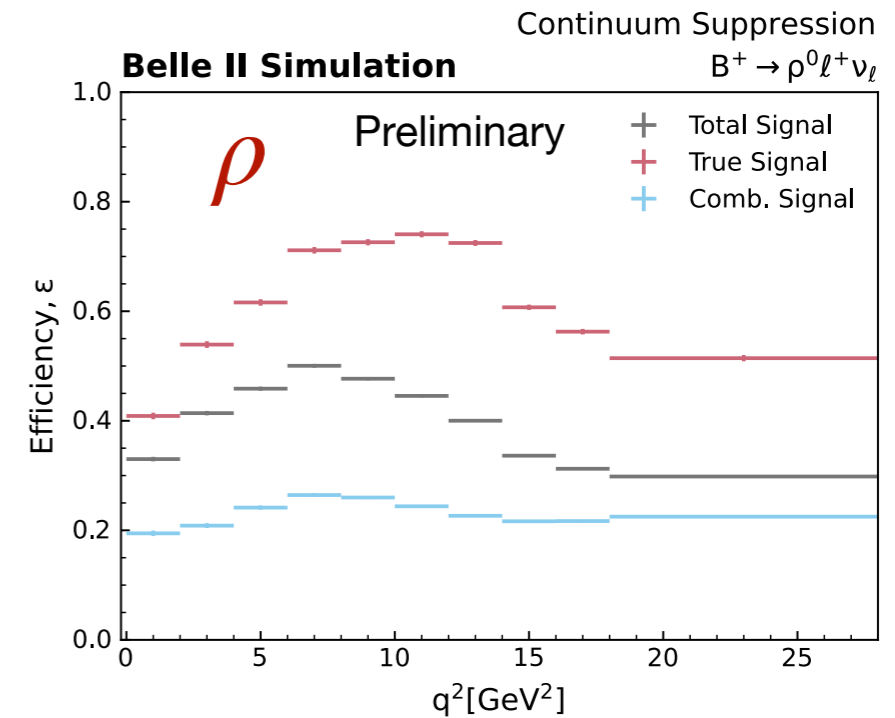
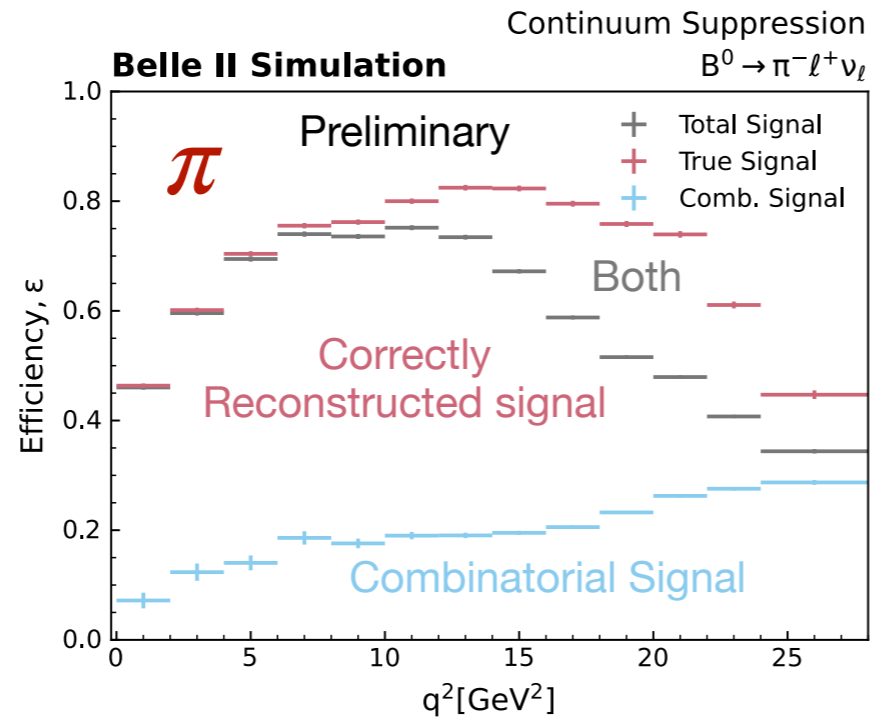
Multivariate Sledgehammer

ROC curves:



Optimize selection
For each bin
maximizing FOM

$$\frac{N_{\text{sig}}}{\sqrt{N_{\text{sig}} + N_{\text{bkg}}}},$$



0 \approx

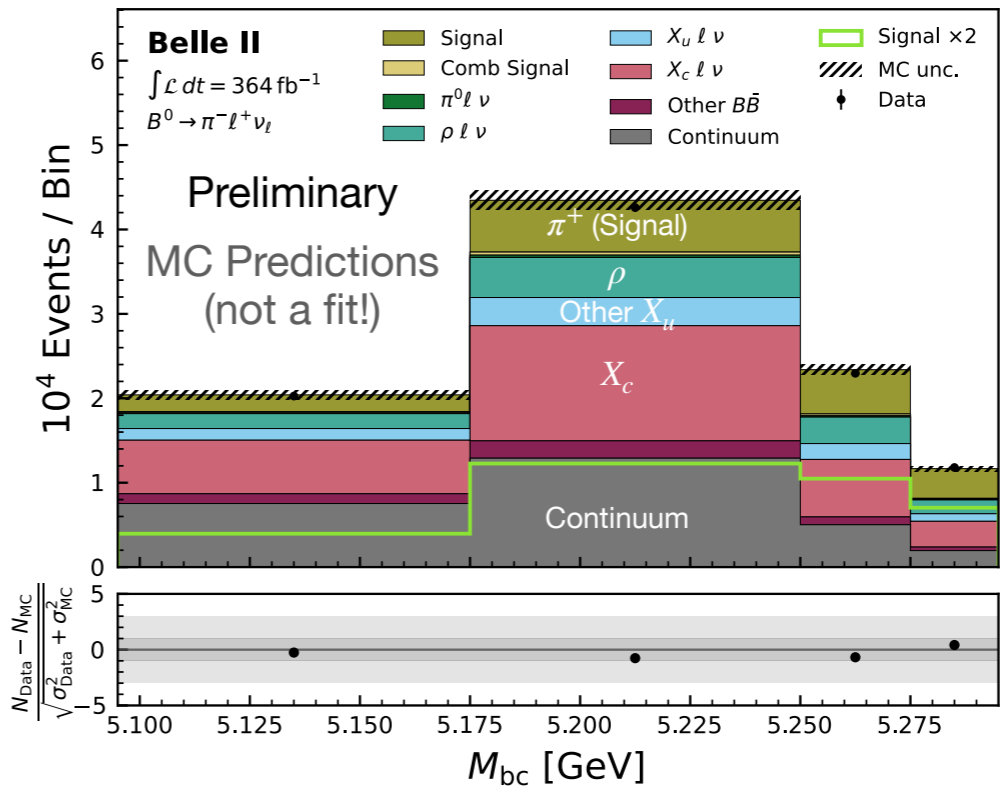
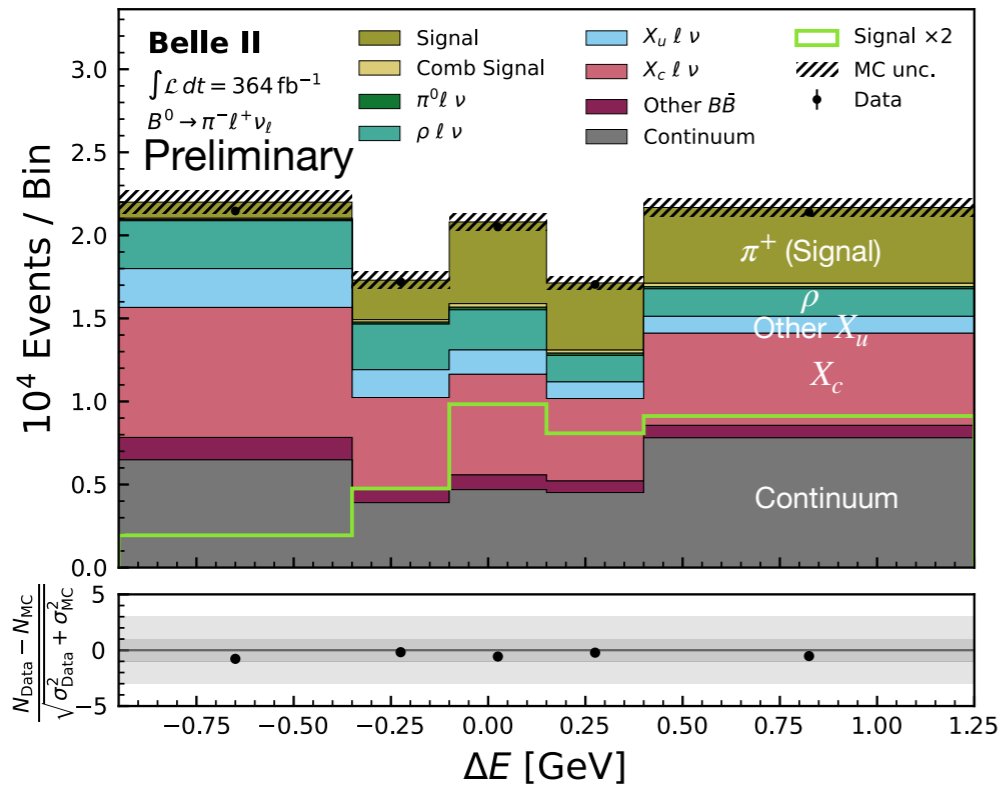
$$\Delta E = E_B^* - E_{\text{beam}}^* = E_B^* - \frac{\sqrt{s}}{2},$$

$$E_B^* = E_\ell^* + E_{\pi/\rho}^* + E_{\text{miss}}^*$$

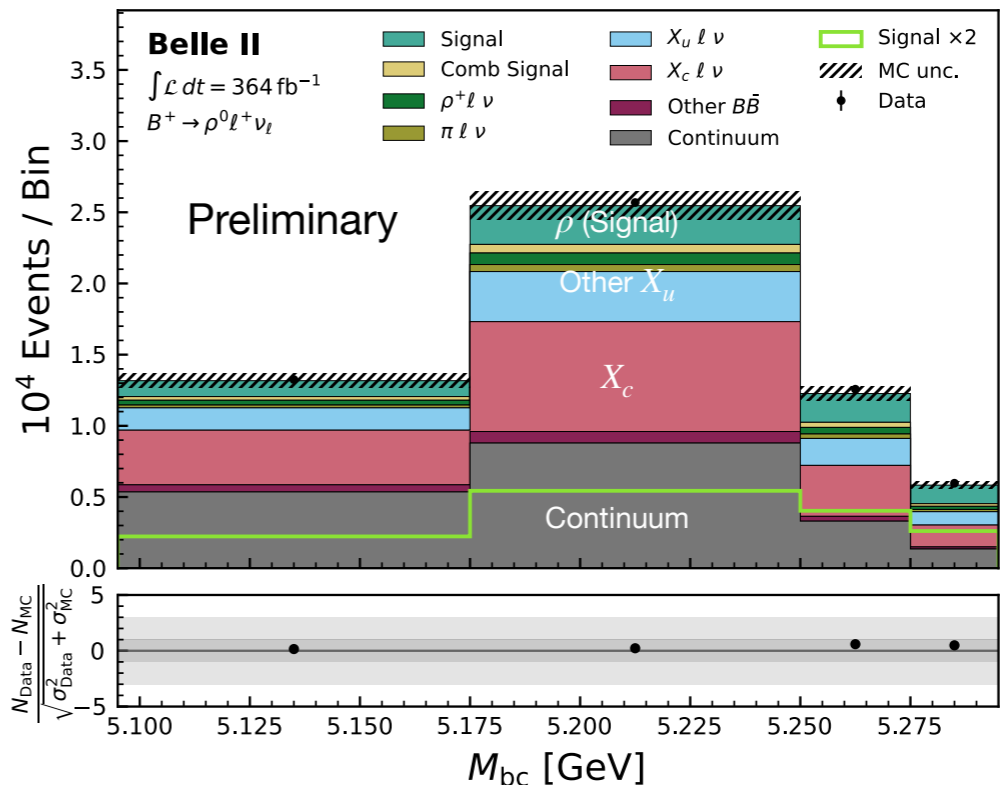
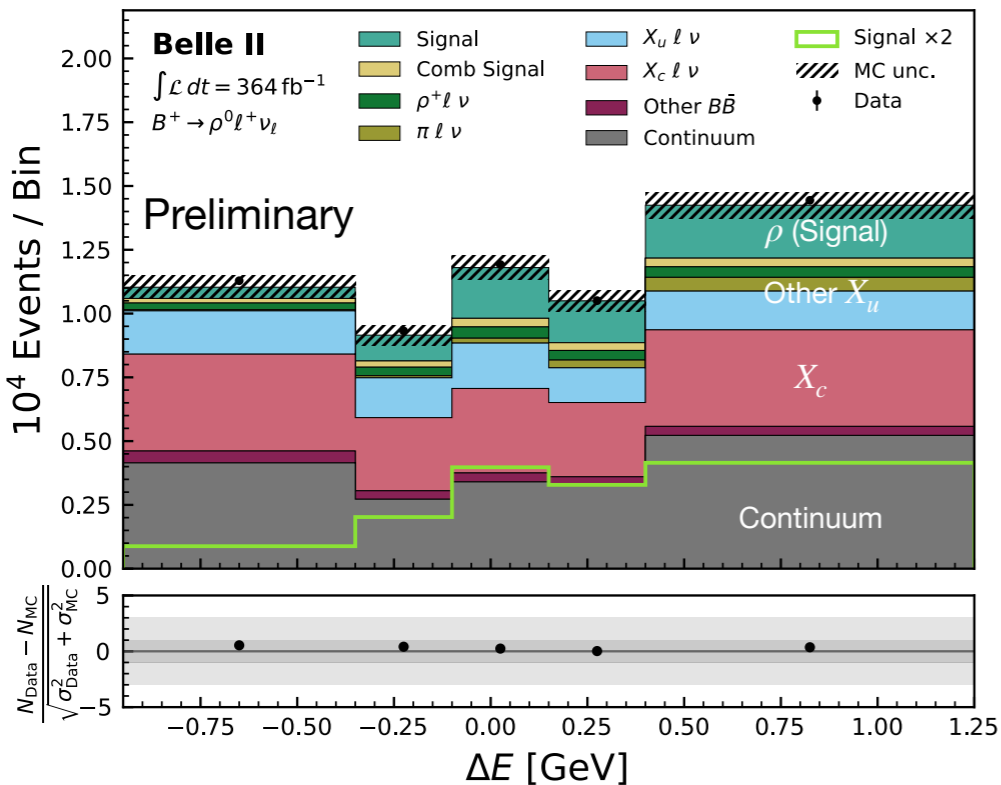
$$M_{\text{bc}} = \sqrt{E_{\text{beam}}^{*2} - |\vec{p}_B^*|^2} = \sqrt{\left(\frac{\sqrt{s}}{2}\right)^2 - |\vec{p}_B^*|^2} \approx m_B$$

$$\vec{p}_B^* = \vec{p}_\ell^* + \vec{p}_{\pi/\rho}^* + \vec{p}_{\text{miss}}^*$$

π



ρ



Going Hybrid : MC for $B \rightarrow X_u \ell \bar{\nu}_\ell$

Exclusive make-up of $B \rightarrow X_u \ell \bar{\nu}_\ell$:

\mathcal{B}	Value B^+	Value B^0
$B \rightarrow \pi \ell^+ \nu_\ell$ ^{a,e}	$(7.8 \pm 0.3) \times 10^{-5}$	$(1.5 \pm 0.06) \times 10^{-4}$
$B \rightarrow \eta \ell^+ \nu_\ell$ ^{b,e}	$(3.9 \pm 0.5) \times 10^{-5}$	-
$B \rightarrow \eta' \ell^+ \nu_\ell$ ^{b,e}	$(2.3 \pm 0.8) \times 10^{-5}$	-
$B \rightarrow \omega \ell^+ \nu_\ell$ ^{c,e}	$(1.2 \pm 0.1) \times 10^{-4}$	-
$B \rightarrow \rho \ell^+ \nu_\ell$ ^{c,e}	$(1.6 \pm 0.1) \times 10^{-4}$	$(2.9 \pm 0.2) \times 10^{-4}$
$B \rightarrow X_u \ell^+ \nu_\ell$ ^{d,e}	$(2.2 \pm 0.3) \times 10^{-3}$	$(2.0 \pm 0.3) \times 10^{-3}$

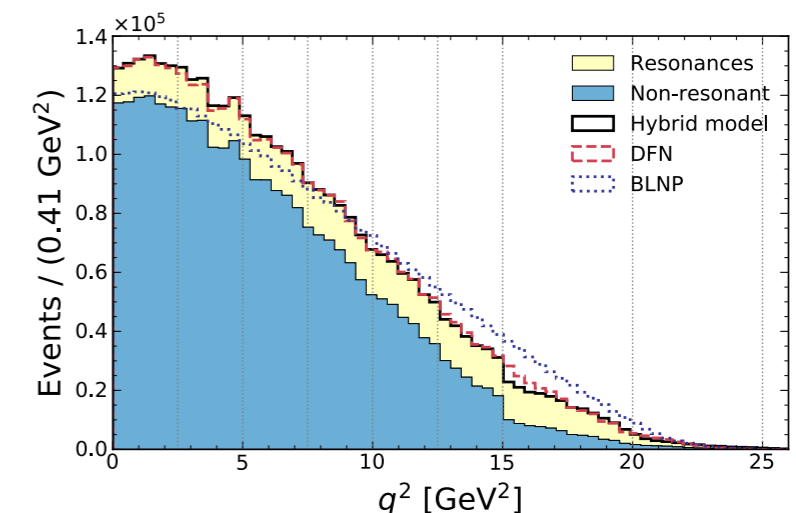
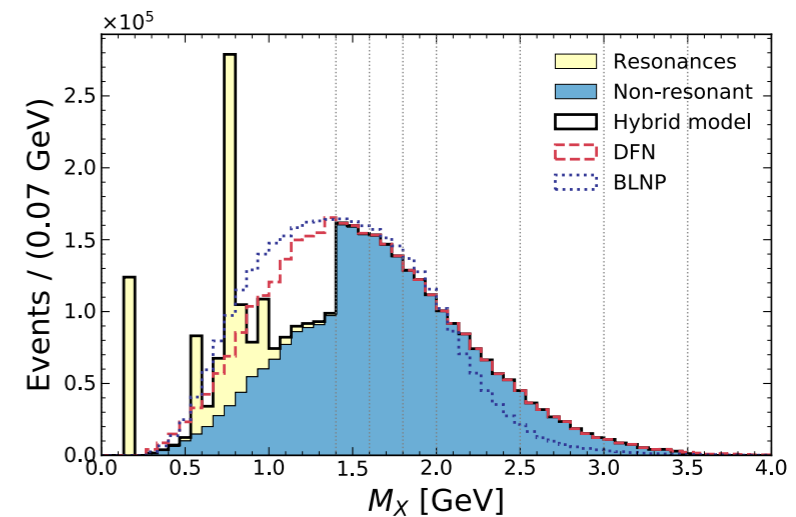
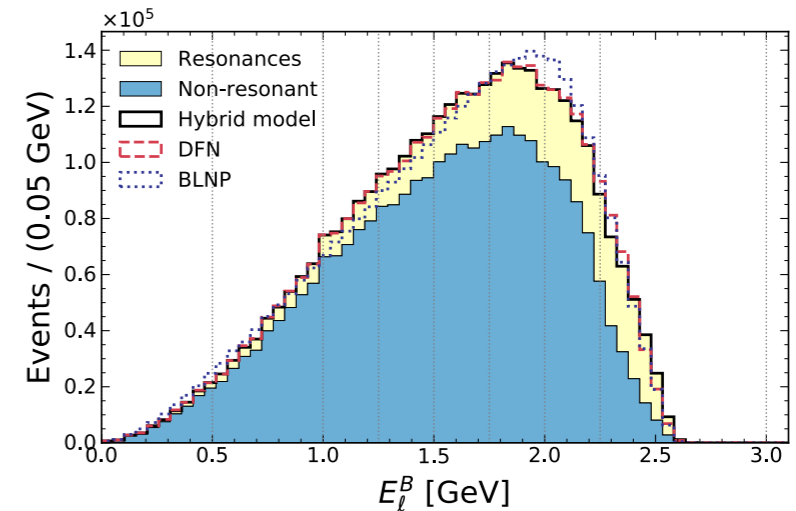
Hybrid = Combining exclusive & inclusive predictions

$$\Delta \mathcal{B}_{ijk}^{\text{incl}} = \Delta \mathcal{B}_{ijk}^{\text{excl}} + w_{ijk} \times \Delta \mathcal{B}_{ijk}^{\text{incl}},$$

$$q^2 = [0, 2.5, 5, 7.5, 10, 12.5, 15, 20, 25] \text{ GeV}^2,$$

$$E_\ell^B = [0, 0.5, 1, 1.25, 1.5, 1.75, 2, 2.25, 3] \text{ GeV},$$

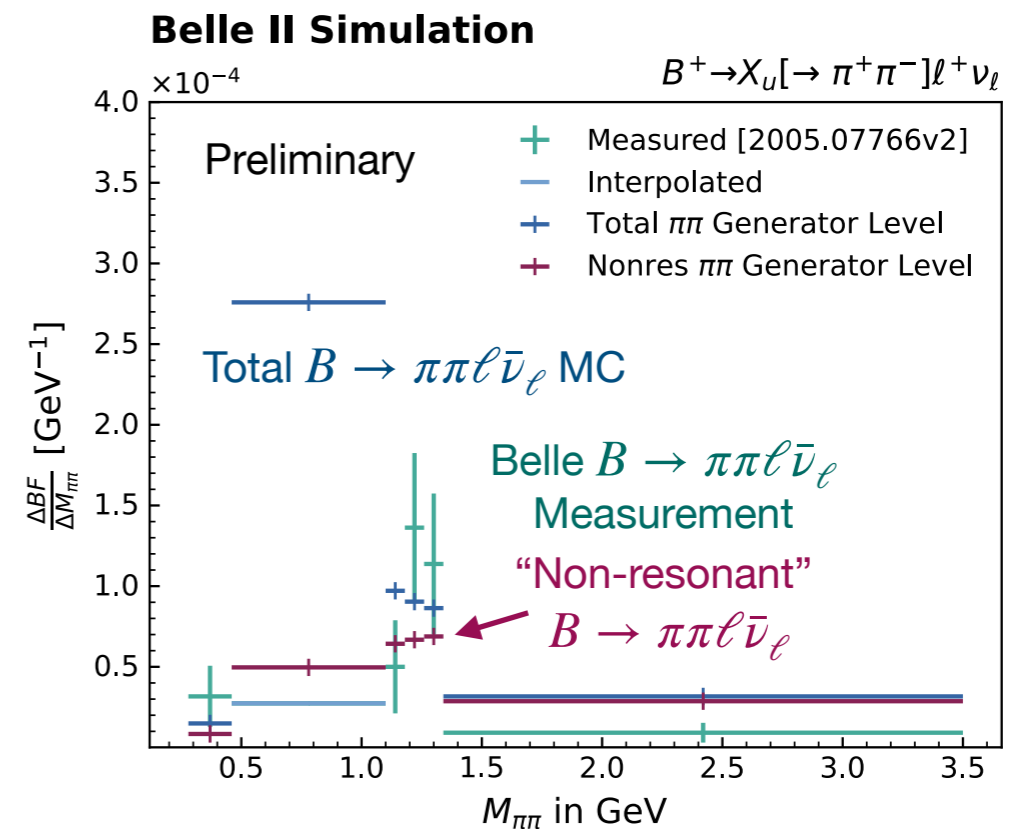
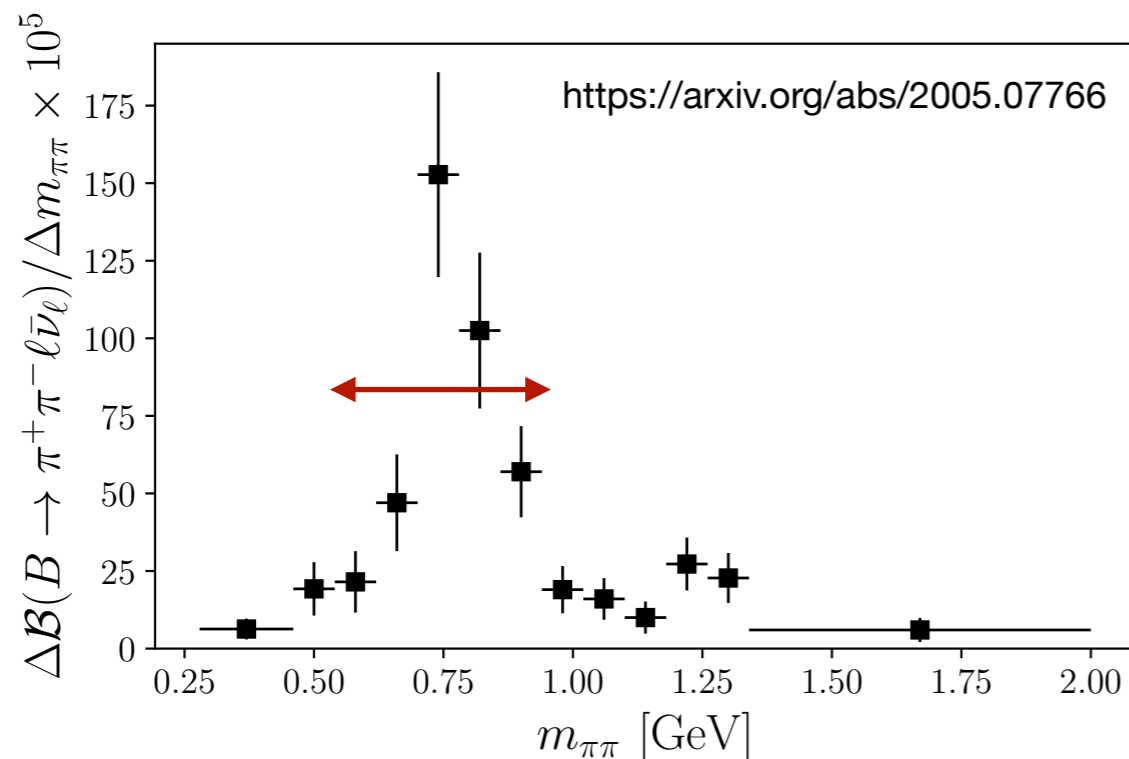
$$M_X = [0, 1.4, 1.6, 1.8, 2, 2.5, 3, 3.5] \text{ GeV}.$$



A word on “Non-resonant” $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ Background

“Non-resonant” $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ = everything that is not $B \rightarrow \rho\ell\bar{\nu}_\ell$

Use Belle measurement of $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ to estimate contamination using a simple linear model; fit distribution with **coarse** binning and vary within uncertainties.

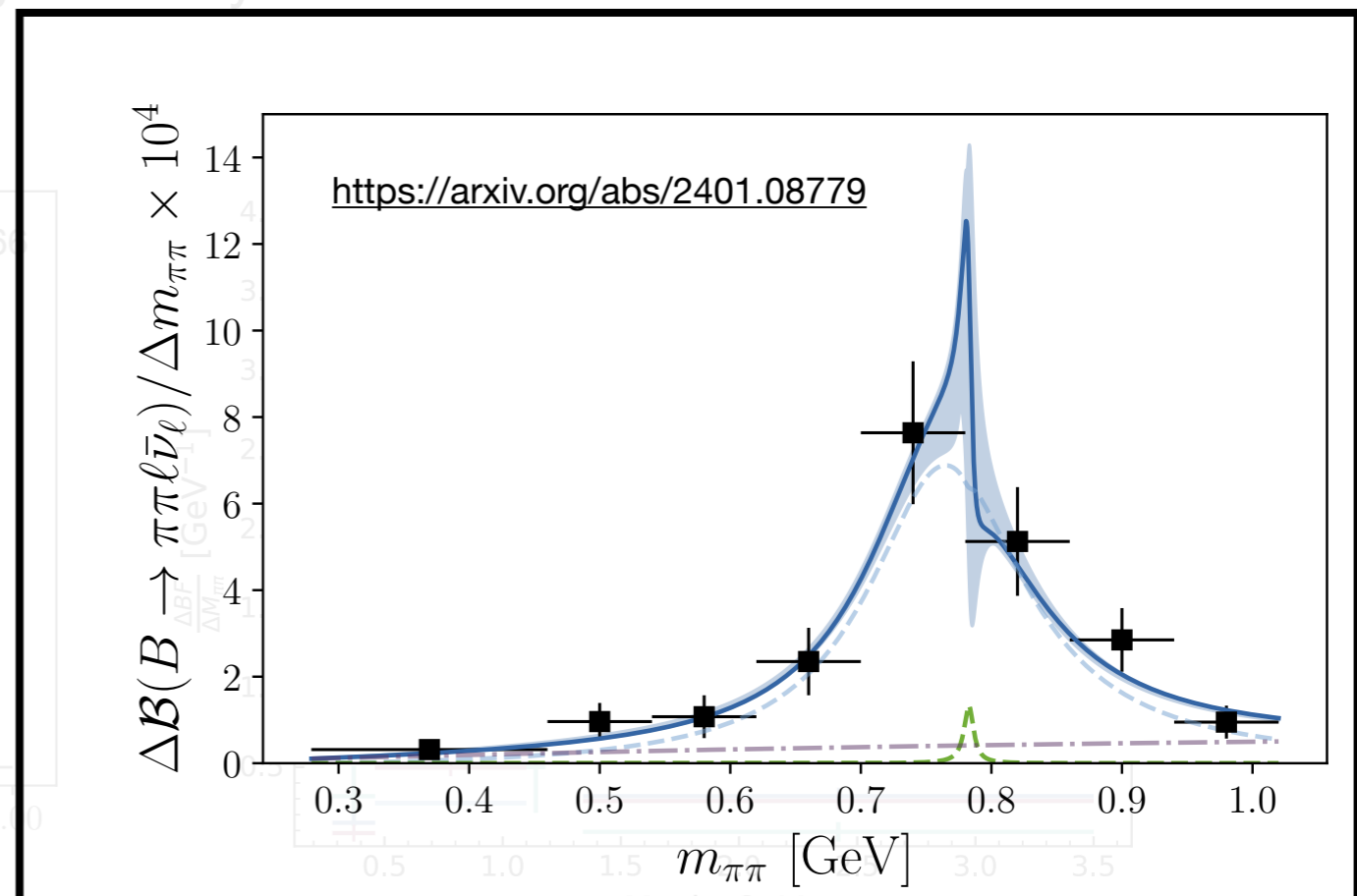
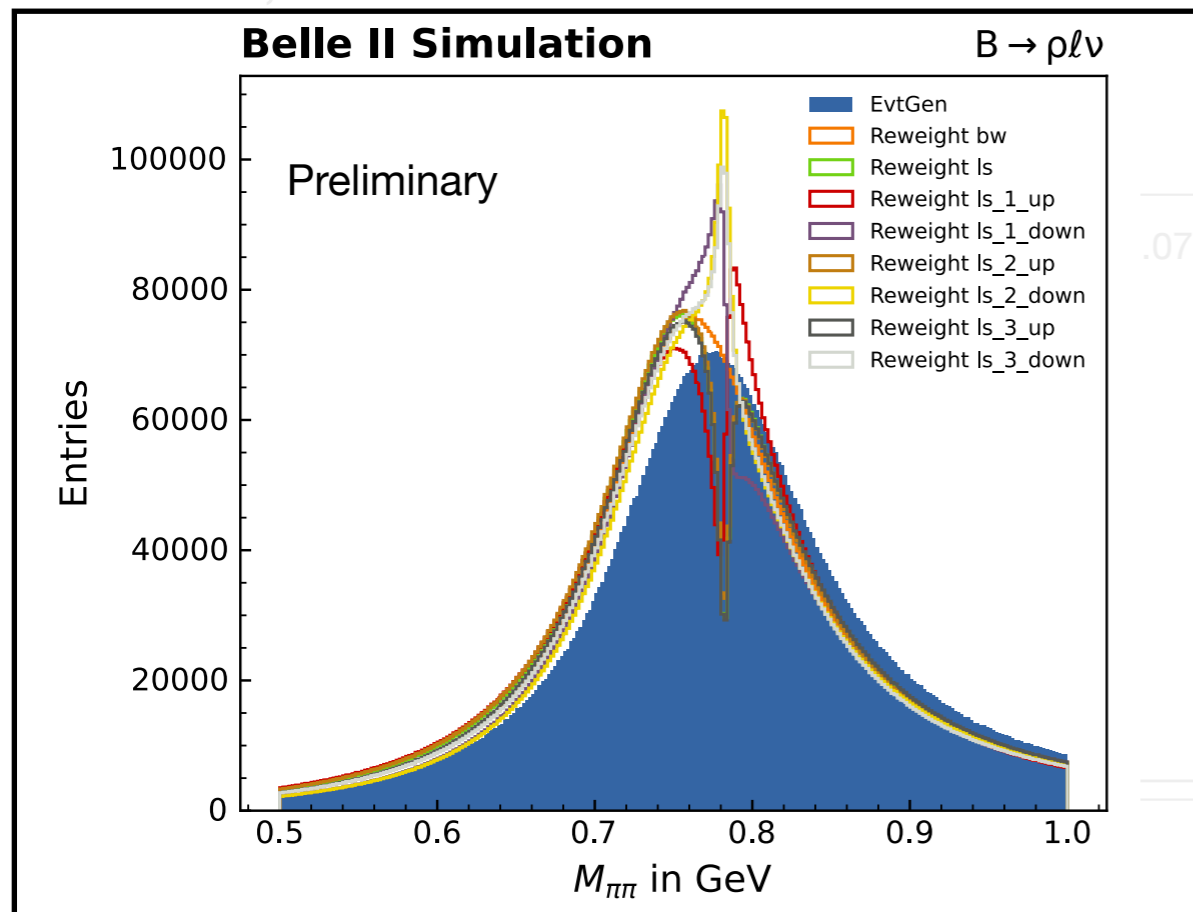


A word on “Non-resonant” $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ Background

“Non-resonant” $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ = everything that is not $B \rightarrow \rho\ell\bar{\nu}_\ell$

Also correct for chosen ρ mass in the simulation; add uncertainties due to ρ - ω interference from fit to Belle $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ spectrum from <https://arxiv.org/abs/2401.08779>

Use Belle measurement of $B \rightarrow \pi\pi\ell\bar{\nu}_\ell$ to estimate uncertainty using a simple linear model; fit distribution with **coarse** binning and vary within uncertainties.



Systematic Uncertainties

Largest uncertainties:

Continuum modelling
simulated sample size

“non-resonant” $\pi\pi$ (for ρ) & X_u modelling

Physics constraints (N_{BB} , f_{+0} , isospin assumptions)

...

Depending on the bin systematically or statistically limited ; more data will help to reduce this further

ρ

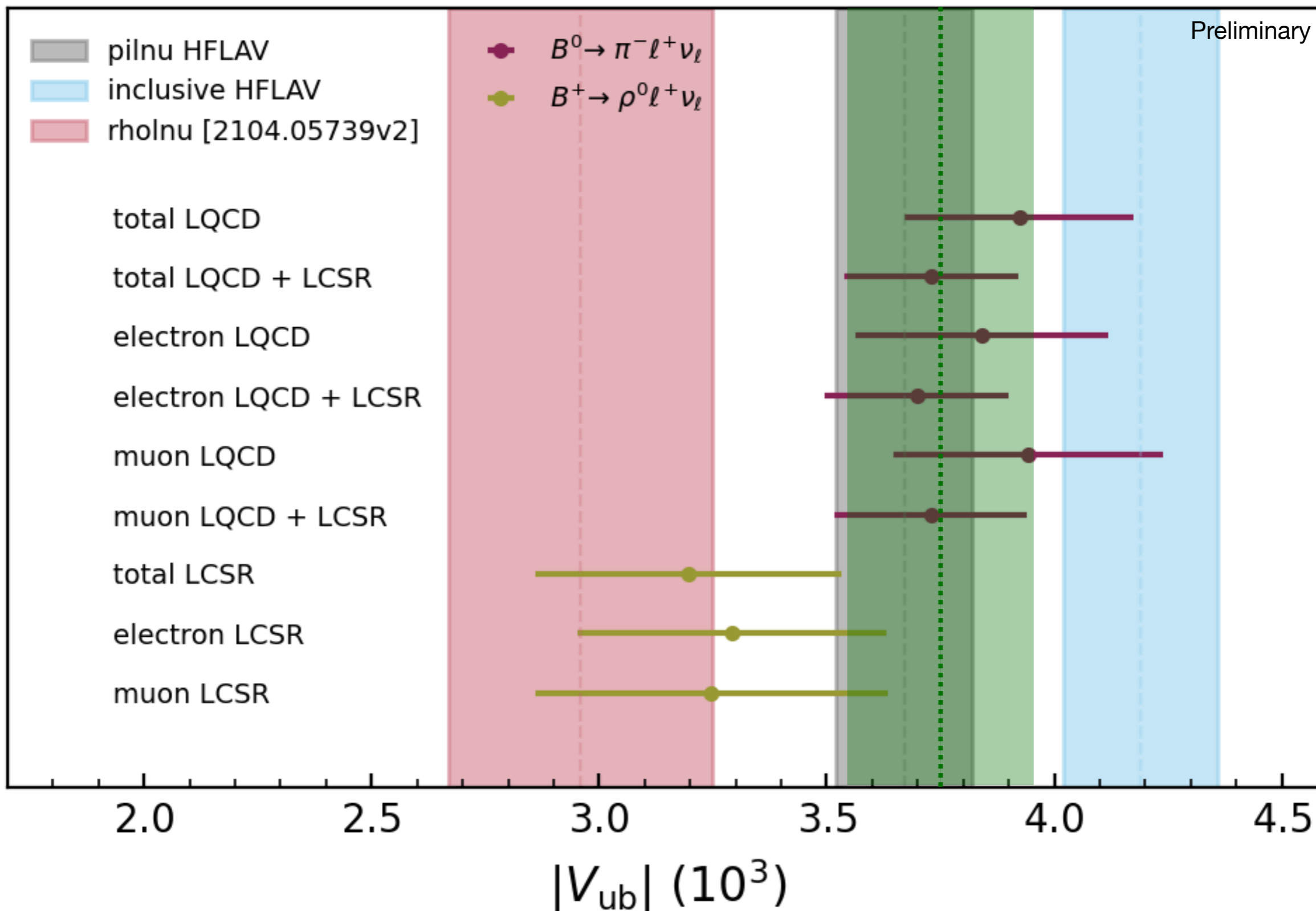
Source	$B^+ \rightarrow \rho^0 \ell^+ \nu_\ell$									
	q1	q2	q3	q4	q5	q6	q7	q8	q9	q10
Detector effects	2.8	2.0	1.6	1.1	1.7	1.9	2.4	1.4	1.4	1.6
Beam energy	2.1	1.9	1.9	1.5	1.3	1.1	1.0	0.9	0.8	0.5
Simulated sample size	14.1	7.8	7.4	6.3	6.3	5.2	6.4	5.6	6.2	7.3
BDT efficiency	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6	1.6
Physics constraints	2.8	2.8	2.8	2.8	2.8	2.8	2.8	2.8	2.8	2.8
Signal model	0.7	0.2	0.2	0.2	0.3	0.4	0.5	0.3	1.8	2.4
ρ lineshape	1.7	1.6	2.0	1.0	1.9	1.8	1.4	0.9	1.6	1.7
Nonresonant $B \rightarrow \pi\pi\ell\nu_\ell$	5.6	6.3	6.7	8.6	9.3	10.7	10.1	7.0	7.8	11.8
DFN parameters	3.6	5.5	4.1	3.5	1.1	1.2	2.7	1.7	1.9	2.3
$B \rightarrow X_u \ell \nu_\ell$ model	1.7	3.0	3.8	5.0	5.8	6.1	6.3	1.9	7.2	12.4
$B \rightarrow X_c \ell \nu_\ell$ model	1.8	1.9	1.7	1.1	1.4	1.7	0.9	0.9	1.9	2.6
Continuum	31.5	24.3	17.0	19.6	13.2	14.8	16.0	16.6	15.2	18.7
Total systematic	35.6	27.5	21.0	23.5	18.8	20.5	21.6	19.4	20.2	27.0
Statistical	30.0	17.5	20.8	14.4	12.4	13.6	14.1	10.4	12.2	11.8
Total	46.6	32.6	29.6	27.6	22.6	24.6	25.8	22.0	23.6	29.5

π

Source	$B^0 \rightarrow \pi^- \ell^+ \nu_\ell$												
	q1	q2	q3	q4	q5	q6	q7	q8	q9	q10	q11	q12	q13
Detector effects	2.0	0.9	1.1	1.0	1.0	1.1	1.1	1.0	0.9	1.2	2.3	4.1	5.8
Beam energy	0.6	0.8	0.7	0.8	0.7	0.6	0.6	0.6	0.5	0.5	0.5	0.6	0.7
Simulated sample size	4.7	3.8	3.3	3.2	3.2	2.9	3.8	3.7	4.0	4.5	5.9	8.0	13.6
BDT efficiency	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3	1.3
Physics constraints	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9	2.9
Signal model	0.1	0.1	0.2	0.1	0.0	0.2	0.2	0.4	0.3	0.8	0.9	0.2	4.9
ρ lineshape	0.1	0.1	0.3	0.3	0.2	0.1	0.3	0.1	0.3	0.1	0.2	0.2	0.6
Nonresonant $B \rightarrow \pi\pi\ell\nu_\ell$	0.5	0.6	0.4	0.4	0.5	1.0	1.2	1.0	0.8	1.8	1.2	2.3	14.3
DFN parameters	0.8	0.4	1.5	1.6	1.4	1.7	1.2	0.1	0.7	1.2	2.9	3.5	3.7
$B \rightarrow X_u \ell \nu_\ell$ model	0.2	0.4	0.3	0.4	0.2	0.9	1.1	1.2	1.0	1.3	1.6	0.7	8.7
$B \rightarrow X_c \ell \nu_\ell$ model	1.4	2.0	1.7	1.3	1.3	1.4	1.8	1.6	1.3	1.4	1.1	0.5	1.7
Continuum	15.1	11.3	7.6	7.1	5.8	5.7	8.1	8.3	9.6	10.4	14.5	23.8	34.4
Total systematic	16.4	12.6	9.3	8.7	7.7	7.7	10.0	9.9	11.1	12.2	16.6	26.0	41.6
Statistical	11.0	8.8	7.9	7.0	7.5	6.4	7.9	7.7	9.1	10.7	9.6	14.6	22.6
Total	19.7	15.4	12.2	11.2	10.7	10.0	12.7	12.6	14.4	16.3	19.1	29.8	47.3

Summary

$$|V_{ub}| = (3.75 \pm 0.06_{\text{exp}} \pm 0.19_{\text{theo}}) \times 10^{-3},$$



How can we measure these coefficients?

Step 1: bin up phase-space in $q^2 \sim w$ in however many bins you can afford

Step 2: Determine the # of signal events in specific phase-space regions

The coefficients are related to a weighted sum of events in a given q^2 bin

$$J_i = \frac{1}{N_i} \sum_{j=1}^8 \sum_{k,l=1}^4 \eta_{ij}^\chi \eta_{ik}^{\theta_\ell} \eta_{il}^{\theta_V} \left[\chi^i \otimes \theta_\ell^j \otimes \theta_V^k \right]$$

Normalization
Factor

Weights

Phase space region

J_i	η_i^χ	$\eta_i^{\theta_\ell}$	$\eta_i^{\theta_V}$	normalization N_i
J_{1s}	{+}	{+, a, a, +}	{-, c, c, -}	$2\pi(1)2$
J_{1c}	{+}	{+, a, a, +}	{+, d, d, +}	$2\pi(1)(2/5)$
J_{2s}	{+}	{-, b, b, -}	{-, c, c, -}	$2\pi(-2/3)2$
J_{2c}	{+}	{-, b, b, -}	{+, d, d, +}	$2\pi(-2/3)(2/5)$
J_3	{+, -, -, +, +, -, -, +}	{+}	{+}	$4(4/3)^2$
J_4	{+, +, -, -, -, -, +, +}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_5	{+, +, -, -, -, -, +, +}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_{6s}	{+}	{+, +, -, -}	{-, c, c, -}	$2\pi(1)2$
J_{6c}	{+}	{+, +, -, -}	{+, d, d, +}	$2\pi(1)(2/5)$
J_7	{+, +, +, +, -, -, -, -}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_8	{+, +, +, +, -, -, -, -}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_9	{+, +, -, -, +, +, -, -}	{+}	{+}	$4(4/3)^2$

$$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, d = 1 - 4\sqrt{2}/5$$

E.g. for J_3 : **Split** χ into **2 Regions**

$$'+' : \chi \in [0, \pi/4], [3/4\pi, 5/4\pi], [7/4\pi, 2\pi]$$

\tilde{N}_+

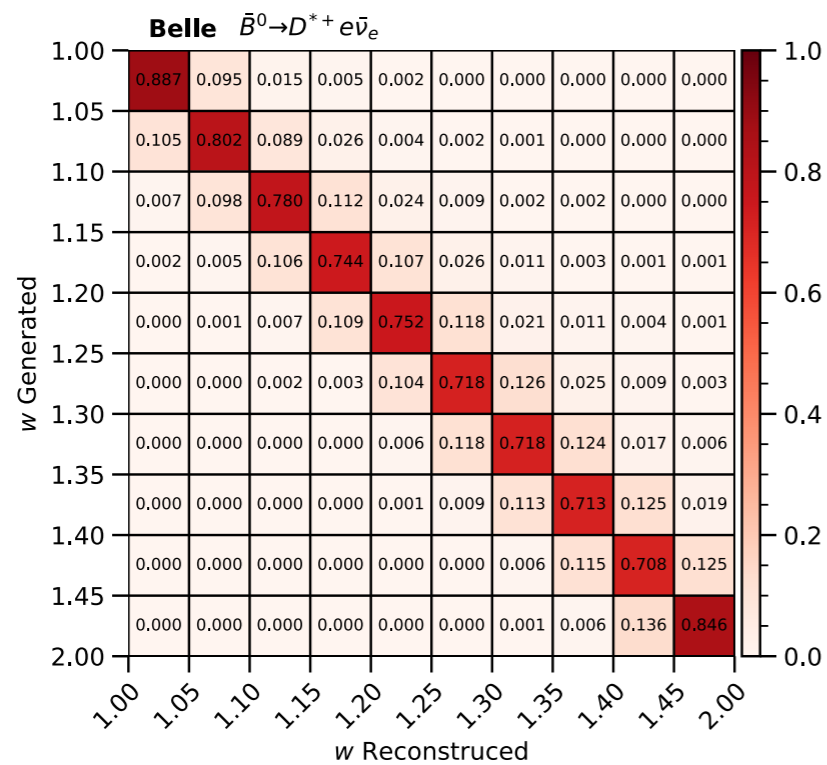
$$'-' : \chi \in [\pi/4, 3/4\pi], [5/4\pi, 7/4\pi]$$

\tilde{N}_-

Step 3: Reverse Migration and Acceptance Effects

Resolution effects: events with a given “true” value of $\{q^2, \cos \theta_\ell, \cos \theta_V, \chi\}$ can fall into different reconstructed bins

E.g. w migration matrix



[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) [hep-ex]

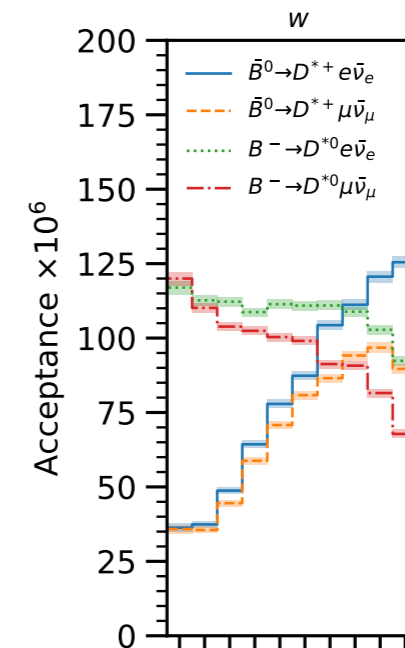
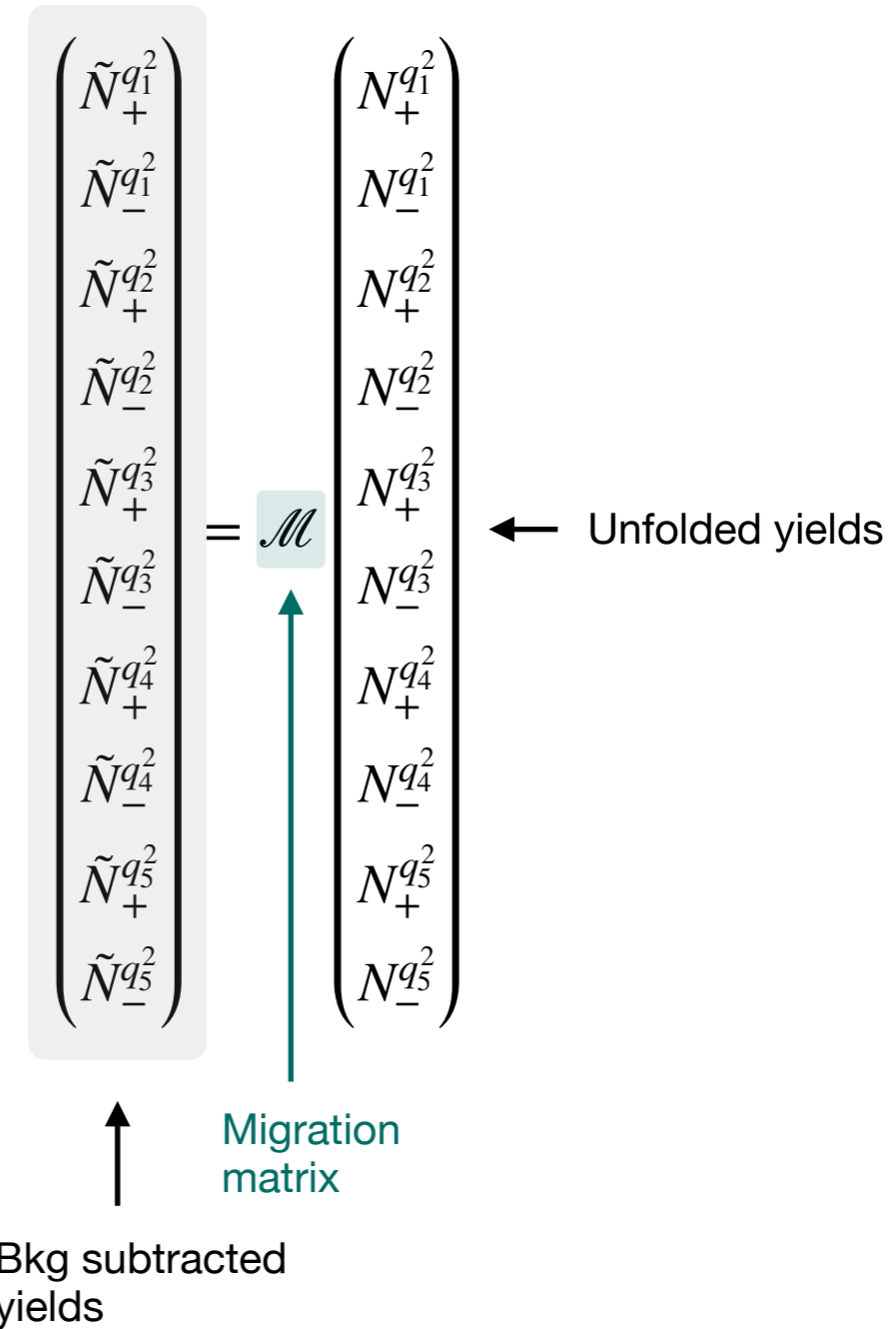
Acceptance x Efficiency Corrections:

$$N_+^{q_i^2} \cdot e_{\text{eff},+,q_i^2}^{-1} = n_+^{q_i^2}$$

$$N_-^{q_i^2} \cdot e_{\text{eff},-,q_i^2}^{-1} = n_-^{q_i^2}$$

Unfolded yields

← Acceptance / Eff. corrected yields



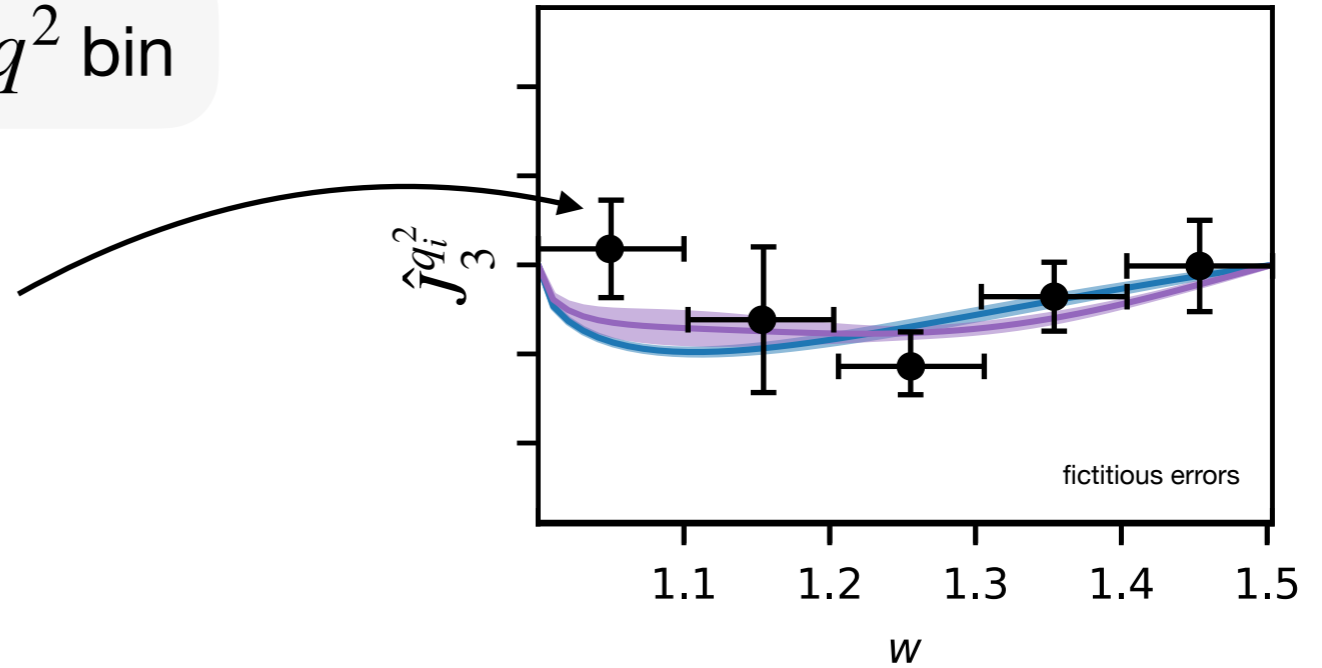
[arXiv:2301.07529](https://arxiv.org/abs/2301.07529) [hep-ex]

Step 4: Calculate J_i for a given w/q^2 bin

$$\frac{n_+^{q_i^2}}{n_-^{q_i^2}} \rightarrow \hat{J}_3^{q_i^2} = \frac{1}{\Gamma} \times \frac{n_+^{q_i^2} - n_-^{q_i^2}}{4(4/3)^2}$$

Normalization

$$\Gamma = \frac{8}{9}\pi \left(3 \sum_i J_{1c}^{q_i^2} + 6 \sum_i J_{1s}^{q_i^2} - \sum_i J_{2c}^{q_i^2} - 2 \sum_i J_{2s}^{q_i^2} \right)$$



More **involved** for the **other** coefficients: need full experimental covariance between all measured w/q^2 bins and coefficients (statistical overlap, systematics)

SM:

$$\{ J_{1s}^{q_i^2}, J_{1c}^{q_i^2}, J_{2s}^{q_i^2}, J_{2c}^{q_i^2}, J_3^{q_i^2}, J_4^{q_i^2}, J_5^{q_i^2}, J_{6s}^{q_i^2} \}$$

e.g. **5 x 8 = 40 coefficients**

or full thing (SM + NP)

with **5 x 12 = 60 coefficients**

J_i	η_i^x	$\eta_i^{\theta_e}$	$\eta_i^{\theta_V}$	normalization N_i
J_{1s}	{+}	{+, a, a, +}	{-, c, c, -}	$2\pi(1)2$
J_{1c}	{+}	{+, a, a, +}	{+, d, d, +}	$2\pi(1)(2/5)$
J_{2s}	{+}	{-, b, b, -}	{-, c, c, -}	$2\pi(-2/3)2$
J_{2c}	{+}	{-, b, b, -}	{+, d, d, +}	$2\pi(-2/3)(2/5)$
J_3	{+, -, -, +, +, -, -, +}	{+}	{+}	$4(4/3)^2$
J_4	{+, +, -, -, -, -, +, +}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_5	{+, +, -, -, -, -, +, +}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_{6s}	{+}	{+, +, -, -}	{-, c, c, -}	$2\pi(1)2$
J_{6c}	{+}	{+, +, -, -}	{+, d, d, +}	$2\pi(1)(2/5)$
J_7	{+, +, +, +, -, -, -, -}	{+}	{+, +, -, -}	$4(\pi/2)(4/3)$
J_8	{+, +, +, +, -, -, -, -}	{+, +, -, -}	{+, +, -, -}	$4(4/3)^2$
J_9	{+, +, -, -, +, +, -, -}	{+}	{+}	$4(4/3)^2$

$$a = 1 - 1/\sqrt{2}, b = a\sqrt{2}, c = 2\sqrt{2} - 1, d = 1 - 4\sqrt{2}/5$$