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# Unifying Quark and Lepton Flavor Observables through Modular Symmetry

Antonio Marrone, University of Bari and INFN-Bari

based on the work: Gui-Jun Ding, Eligio Lisi, A. M., S. T. Petcov, arXiv:2409.15823



**Modular Symmetries** introduced in the contest of the flavour problem by F. Feruglio (arXiv:1706.08749)

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The modular group acts on the modulus as follows  $\tau \rightarrow \gamma\tau = \frac{a\tau + b}{c\tau + d}$ ,  $\text{Im}(\tau) > 0$  where  $\gamma \in SL(2, \mathbb{Z}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{Z}, ac - bd = 1 \right\}$

Consider the “Principal congruence group of level N”

$$\Gamma(N) = \left\{ \gamma \in SL(2, \mathbb{Z}) \mid \gamma = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}$$



The Quotient group gives the “Finite Modular Group”

$$\Gamma_N \equiv SL(2, \mathbb{Z}) / \pm \Gamma(N) \text{ or } \Gamma'_N \equiv SL(2, \mathbb{Z}) / \Gamma(N)$$

$N$	2	3	4	5
$\Gamma_N$	$S_3$	$A_4$	$S_4$	$A_5$
$\Gamma'_N$	$S_3$	$A'_4 \equiv T'$	$S'_4$	$A'_5$

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Fields transform as

$$\phi(\tau) \rightarrow (c\tau + d)^{-k_\phi} \rho_\phi(\gamma) \phi(\tau)$$

Weights

Irreps of  $\Gamma_N$  ( $\Gamma'_N$ )

Yukawa couplings are in multiplets transforming as

$$Y(\tau) \rightarrow (c\tau + d)^{k_Y} \rho_Y(\gamma) Y(\tau)$$



SUSY Superpotential  $W \sim \sum \left( Y_{I_1 I_2 \dots I_n} \phi_{I_1} \phi_{I_2} \dots \phi_{I_n} \right)_1$

is invariant under the modular symmetry if

$$\left\{ \begin{array}{l} k_Y = k_{\phi_1} + k_{\phi_2} + \dots + k_{\phi_n} \\ \rho_Y \otimes \rho_{\phi_1} \otimes \rho_{\phi_2} \otimes \dots \otimes \rho_{\phi_n} \supset \mathbf{1} \end{array} \right.$$



## Model based on the $2O$ group

The group  $2O$ , the binary octahedral group, is a group of order 48 closely related to the octahedral group  $O$ , the group of rotational symmetries of a cube (or an octahedron). Specifically,  $2O$  is the double cover of the octahedral group and is isomorphic to  $S_4$

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### Particle content of the model

Three  $SU(2)$  lepton doublets  $L_i = \begin{pmatrix} \nu_i \\ \ell_i \end{pmatrix}$   $i = e, \mu, \tau$

Three right-handed fermions  $E^c = (e^c, \mu^c, \tau^c)$  that are  $SU(2)$  singlets

Three right-handed neutrinos  $N_i^c$   $i = 1, 2, 3$  that are  $SU(2)$  singlets

Six right-handed quarks  $U^c = (u^c, c^c, t^c)$  and  $D^c = (d^c, s^c, b^c)$  that are  $SU(2)$  singlets

Three  $SU(2)$  quark doublets  $Q_i = \begin{pmatrix} u_i \\ d_i \end{pmatrix}$   $u_i = u, c, t$   $d_i = d, s, b$

Two Higgs fields,  $H_u$  and  $H_d$  that are invariant singlets of 2O with zero modular weights.

### Representation under the 2O modular group and weights

$$L \sim \mathbf{3}, \quad E_D^c \equiv (e^c, \mu^c) \sim \hat{\mathbf{2}}', \quad \tau^c \sim \mathbf{1}', \quad N^c \sim \mathbf{3}$$

$$k_L = -1, \quad k_{E_D^c} = 6, \quad k_{\tau^c} = 5, \quad k_{N^c} = 1$$

$$Q_D \equiv (Q_1, Q_2)^T \sim \mathbf{2}, \quad Q_3 \sim \mathbf{1}', \quad U_D^c \equiv (u^c, c^c) \sim \hat{\mathbf{2}}'$$

$$t^c \sim \mathbf{1}', \quad D_D^c \equiv (d^c, s^c) \sim \mathbf{2}, \quad b^c \sim \mathbf{1}'$$

$$k_{Q_D} = 3 - k_{U_D^c} = k_{Q_3} = 6 - k_{t^c} = 6 - k_{D_D^c} = -k_{b^c}$$



The Lagrangian of the model contains the most general superpotential with all possible singlets under  $2O$  built with the modular forms organized in various multiplets of different weights

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$$\mathcal{W}_\nu = g H_u (N^c L)_1 + \Lambda (N^c N^c)_2 Y_2^{(2)} \quad \text{neutrinos}$$

$$\mathcal{W}_E = g_1^E (E_D^c L)_{\hat{2}'} Y_{\hat{2}'}^{(5)} H_d + g_2^E (E_D^c L)_{\hat{4}} Y_{\hat{4}}^{(5)} H_d + g_3^E (\tau^c L)_{3'} Y_{3'}^{(4)} H_d \quad \text{charged leptons}$$

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$$\mathcal{W}_d = g_1^d (D_D^c Q_D)_1 Y_1^{(6)} H_d + g_2^d (D_D^c Q_D)_{1'} Y_{1'}^{(6)} H_d + g_3^d (D_D^c Q_D)_2 Y_2^{(6)} H_d + g_4^d (D_D^c Q_3)_2 Y_2^{(6)} H_d + g_5^d (b^c Q_3)_1 H_d \quad \text{down - quarks}$$

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Light neutrino mass matrix is derived by the seesaw formula

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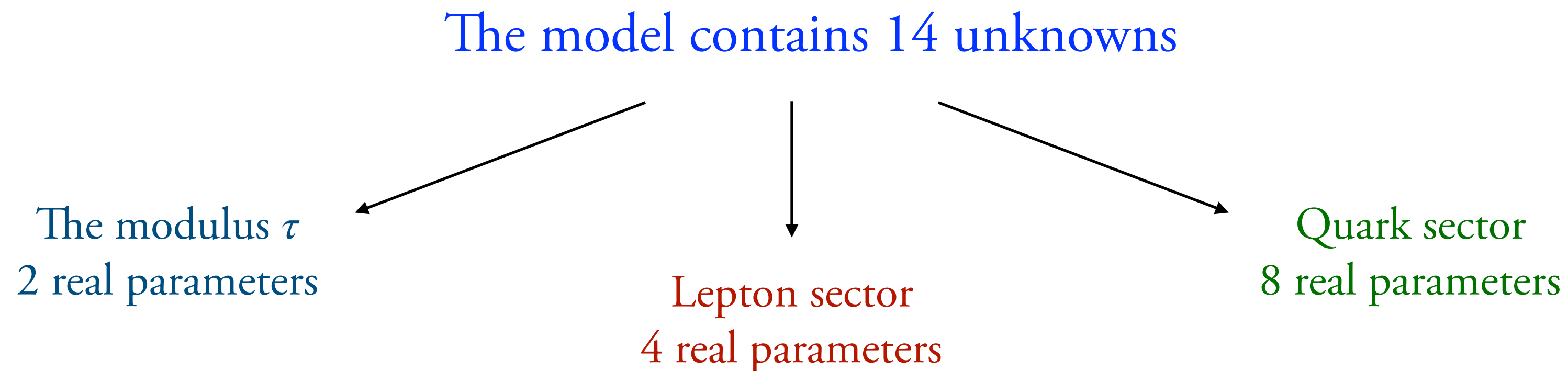
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Minimal model in the modular form literature so far





## Experimental inputs and model parameters

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Model should satisfy 18 experimental constraints (only Normal Ordering of neutrino masses allowed)

$$O_i = \begin{cases} \sin^2 \theta_{12}, \sin^2 \theta_{23}, \sin^2 \theta_{13} & i = 1, 2, 3 & \nu \text{ mixing,} \\ \delta m^2, \Delta m^2 & i = 4, 5 & \nu \text{ masses,} \\ r_{e\mu}, r_{\mu\tau}, m_\tau & i = 6, 7, 8 & \text{charged lepton masses,} \\ \theta_{12}^q, \theta_{23}^q, \theta_{13}^q, \delta_{CP}^q & i = 9, 10, 11, 12 & \text{quark mixing,} \\ r_{uc}, r_{ct}, r_{ds}, r_{sb}, m_t, m_b & i = 13, \dots, 18 & \text{quark masses.} \end{cases}$$

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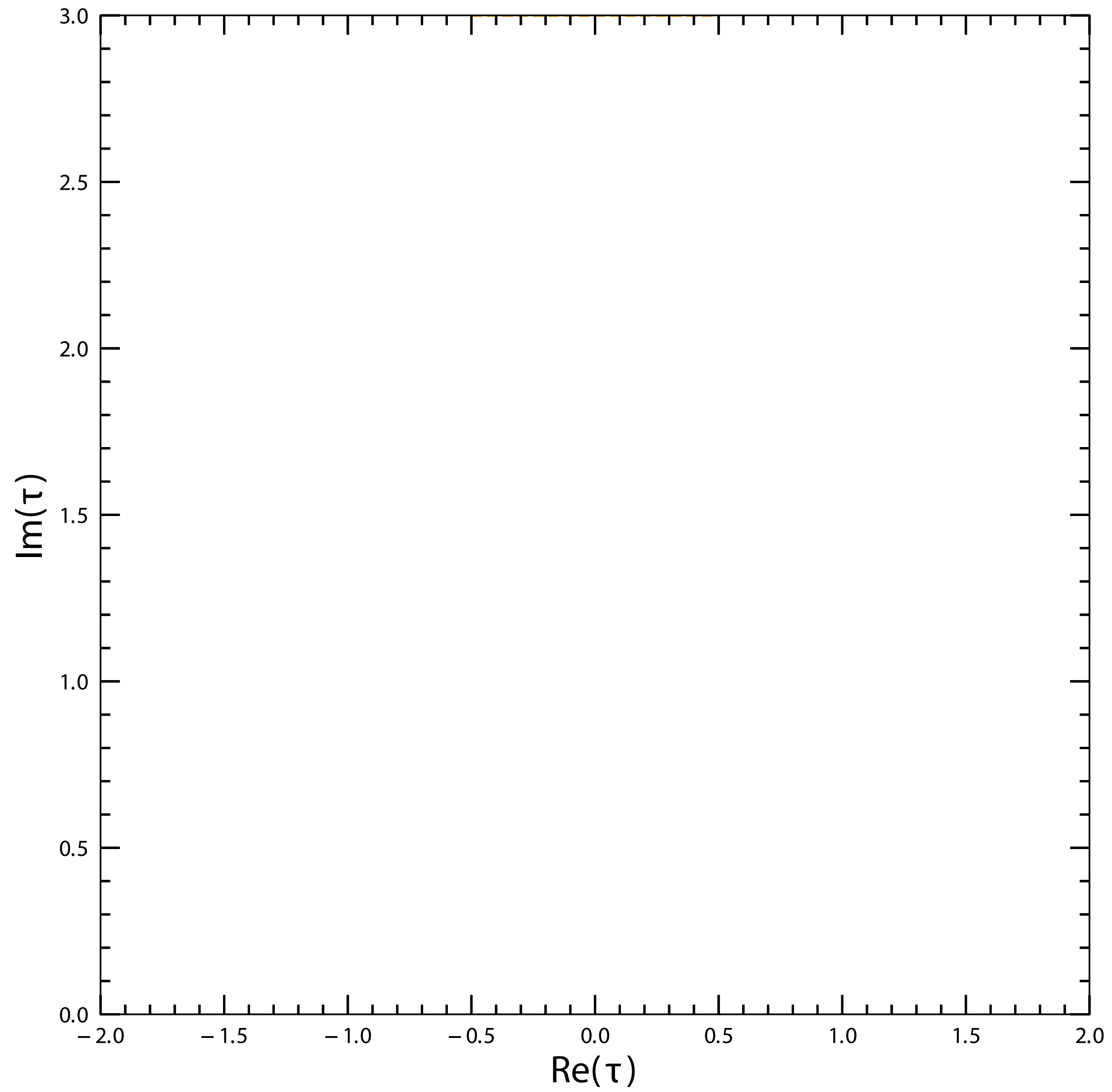
The Lagrangian of the model contains 14 real parameters, 6 for leptons, 10 for quarks, with  $\tau$  in common

$$\mathbf{P}_{\text{leptons}} = \left( \tau, g_1^E v_d, \frac{g_2^E}{g_1^E}, \frac{g_3^E}{g_1^E}, \frac{g v_u}{\sqrt{\Lambda}} \right),$$

$$\mathbf{P}_{\text{quarks}} = \left( \tau, g_1^u v_u, \frac{g_2^u}{g_1^u}, \frac{g_3^u}{g_1^u}, g_1^d v_d, \frac{g_2^d}{g_1^d}, \frac{g_3^d}{g_1^d}, \frac{g_4^d}{g_1^d}, \frac{g_5^d}{g_1^d} \right)$$



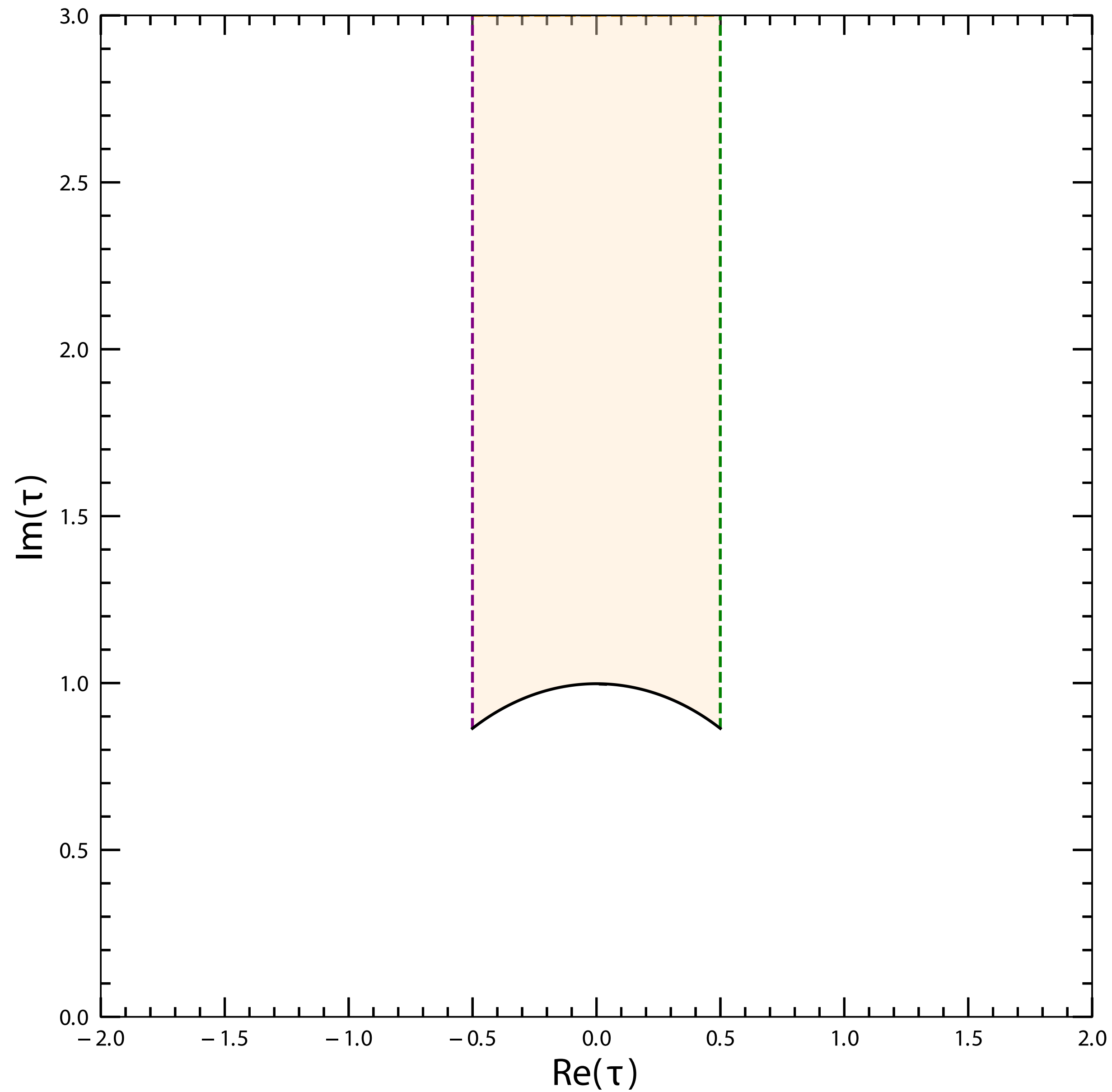
Allowed regions in the  $\tau$  plane  
(contours at  $1\sigma, 2\sigma, 3\sigma$ )



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Explore the fundamental domain of  $\tau$

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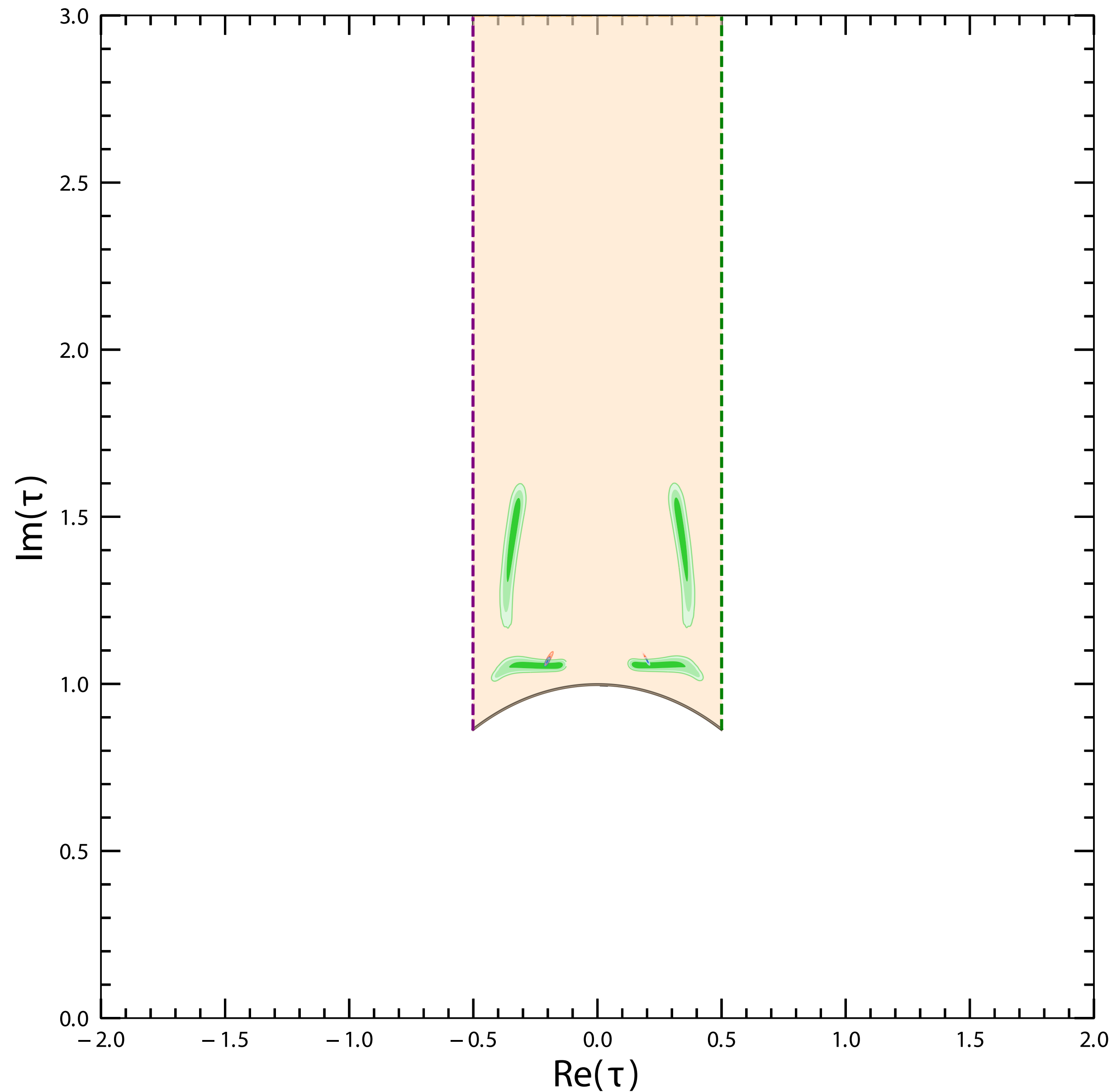
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We perform the analysis in three steps:

Quark only  $\rightarrow$  large green regions

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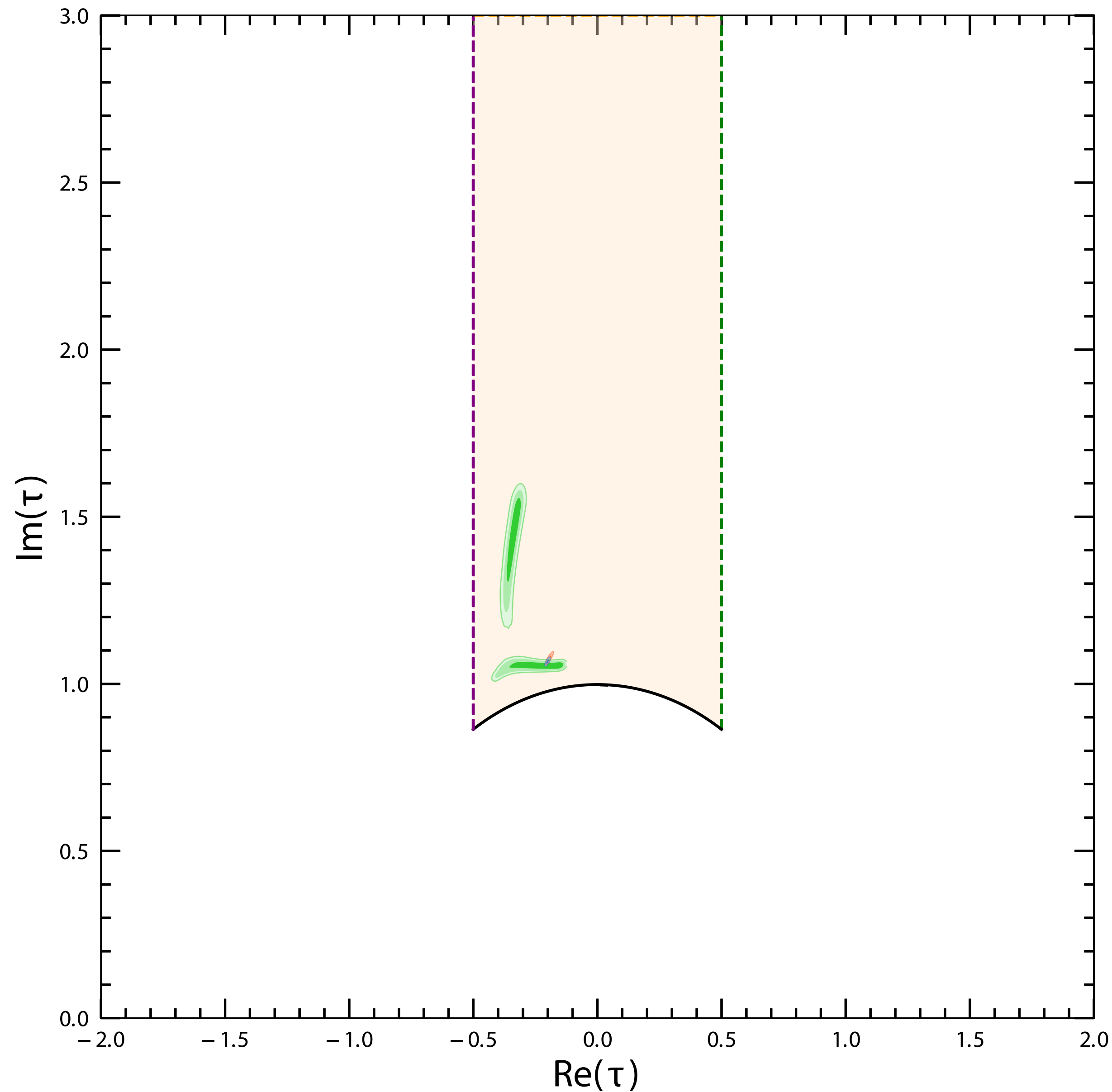
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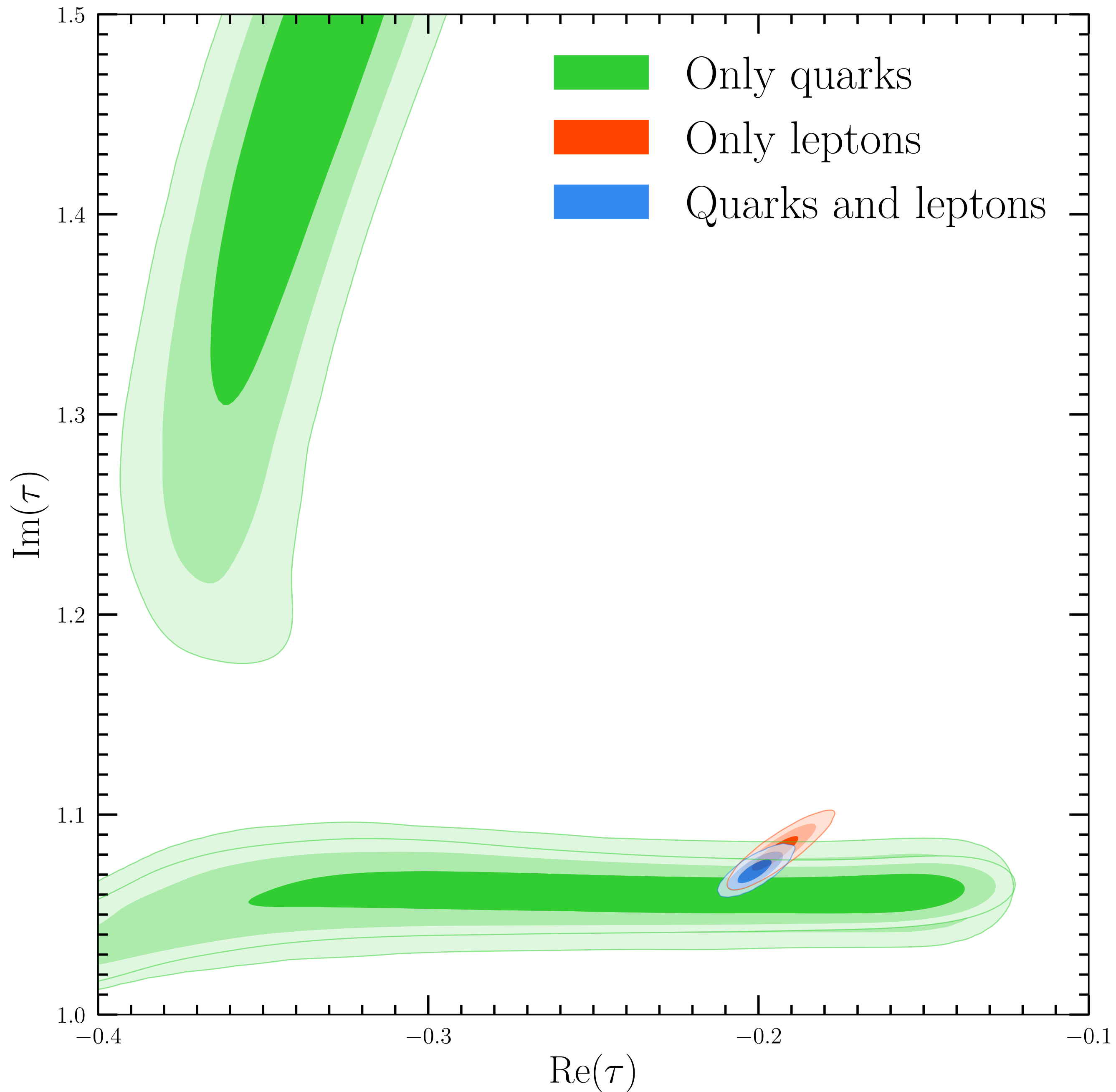
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global CP invariance  $\Rightarrow$  symmetry with respect  
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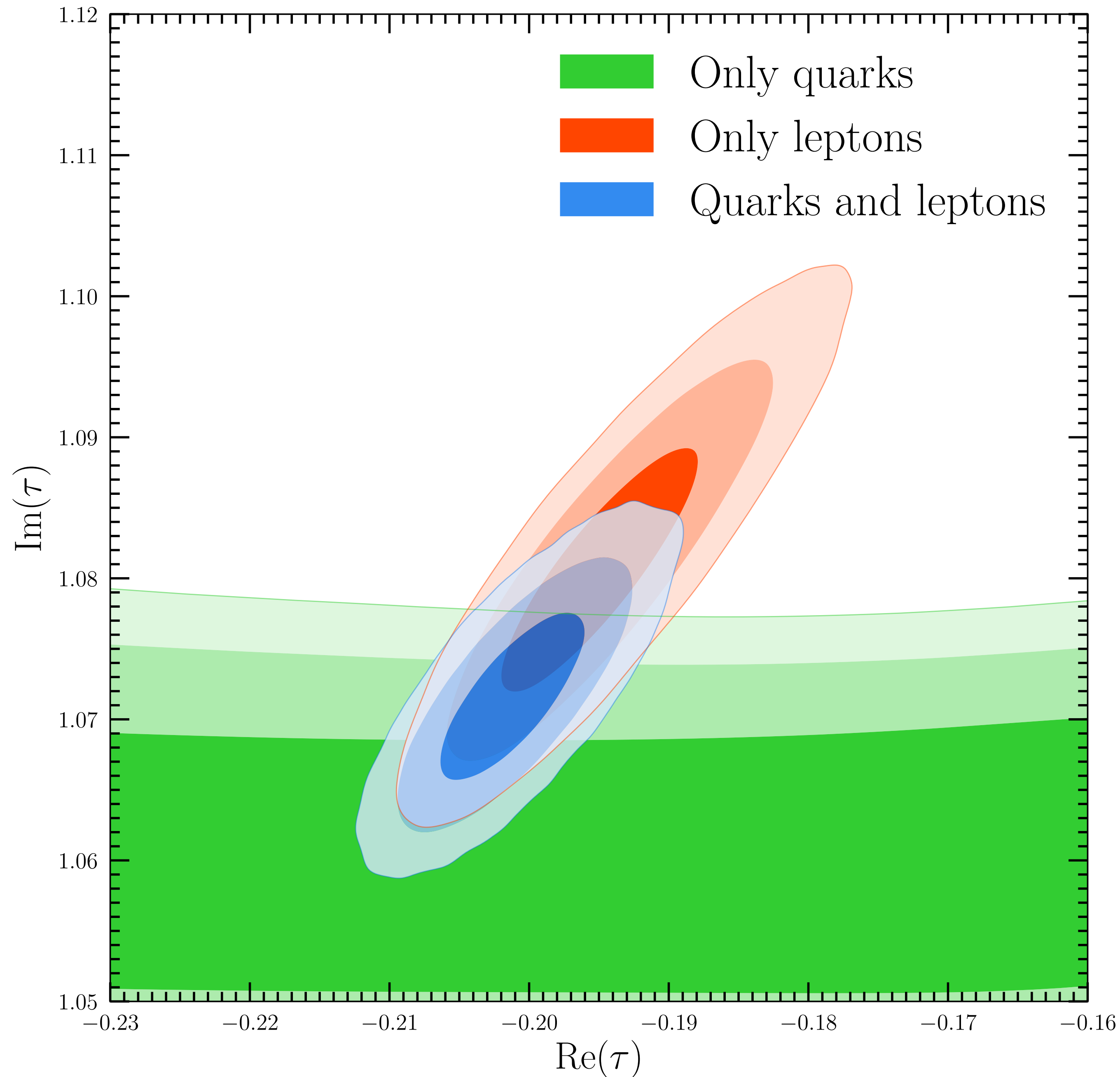
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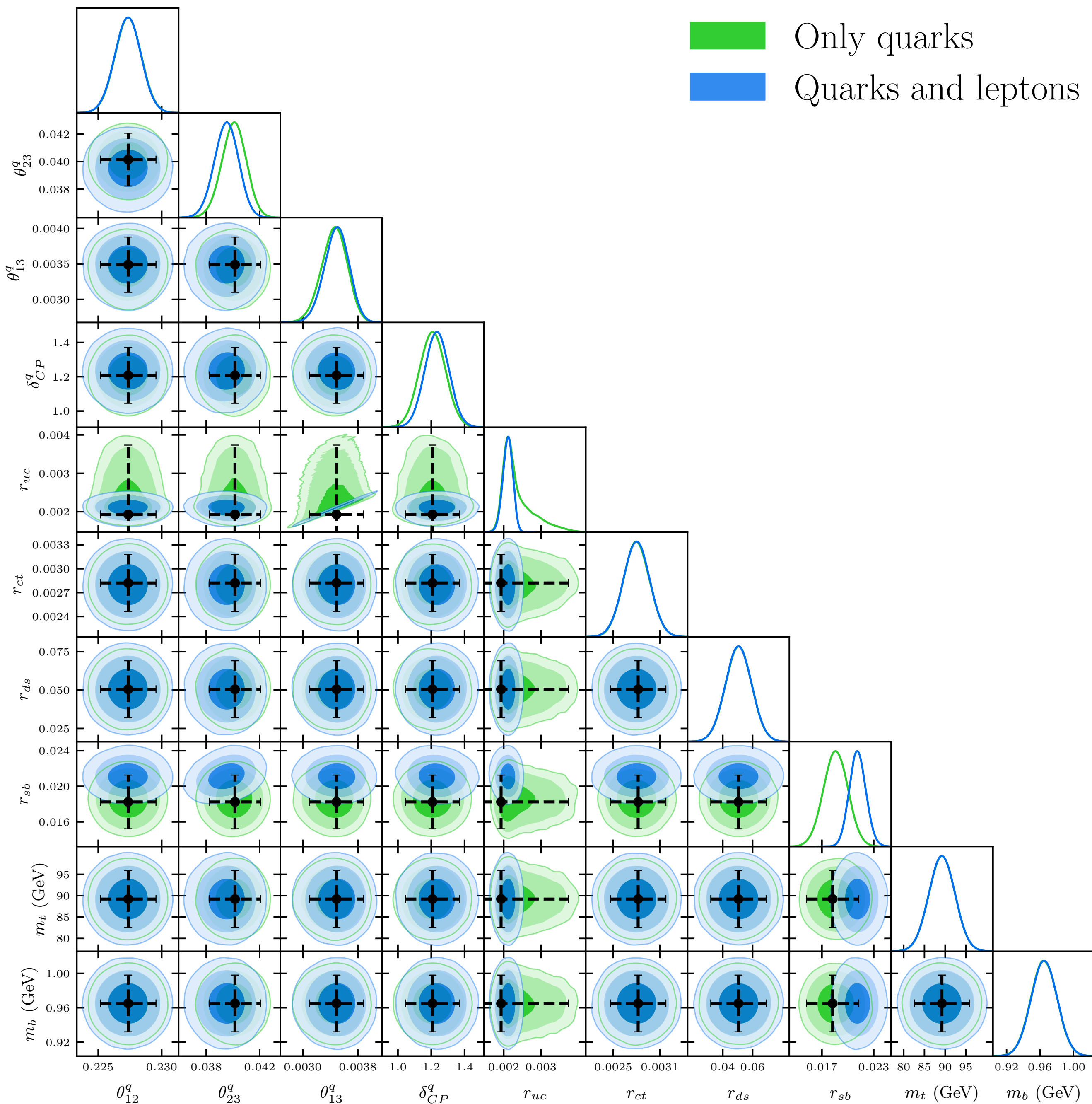
Combined analysis result is not the superposition of the quark and lepton separate allowed regions



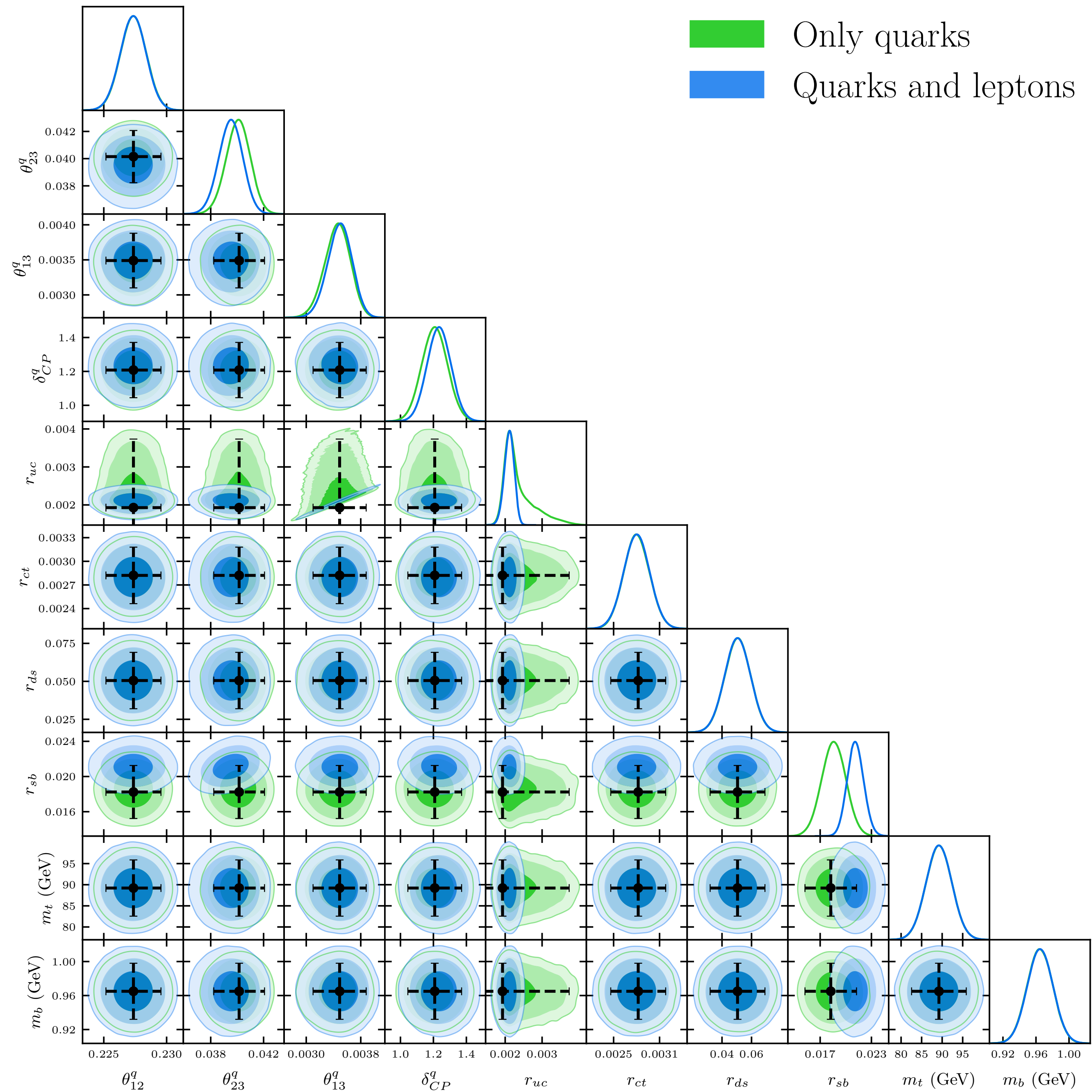
## Observables in the quark sector

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■ Only quarks  
■ Quarks and leptons



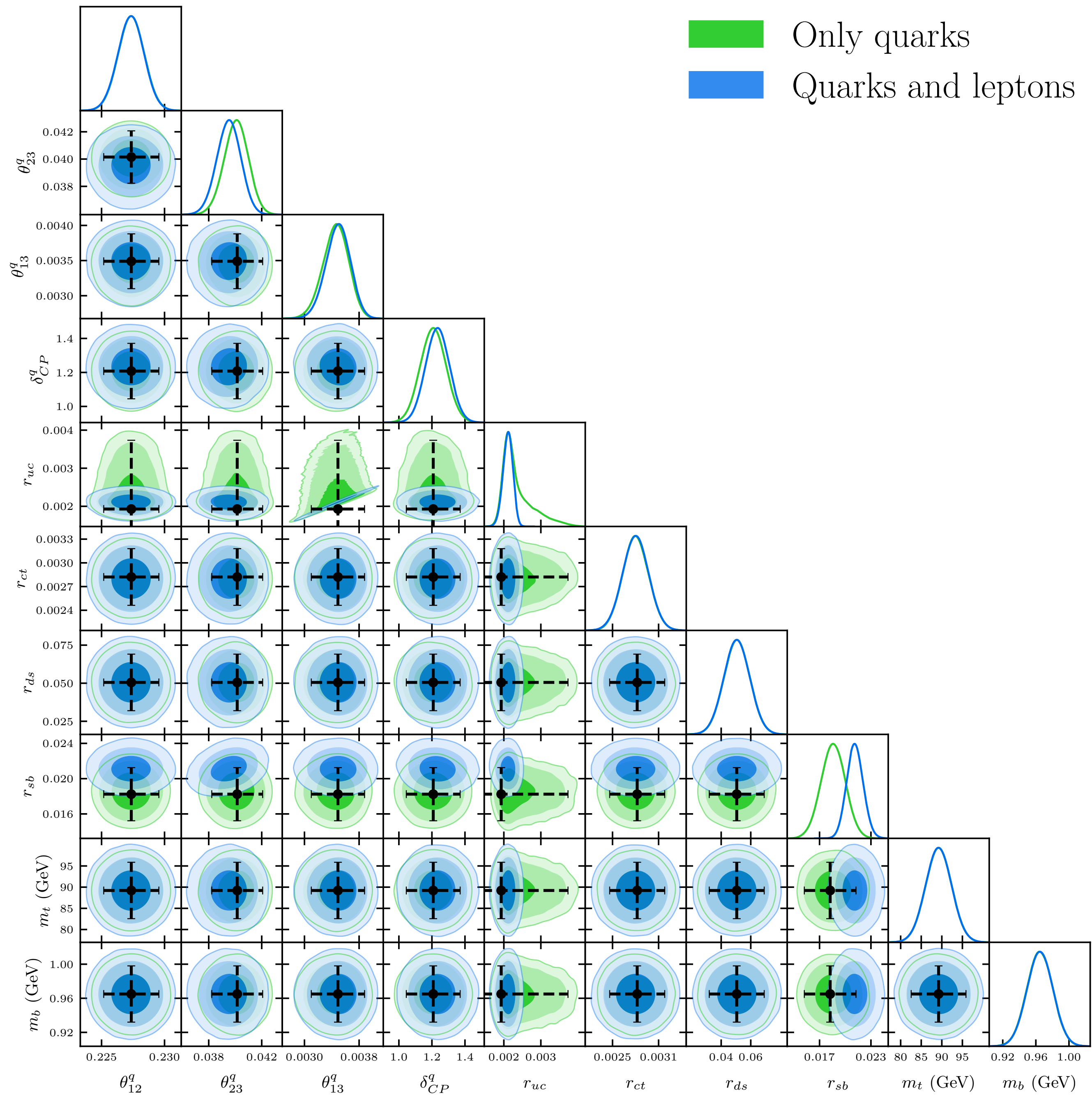
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Points with error bars are the experimental measured values and the  $3\sigma$  errors



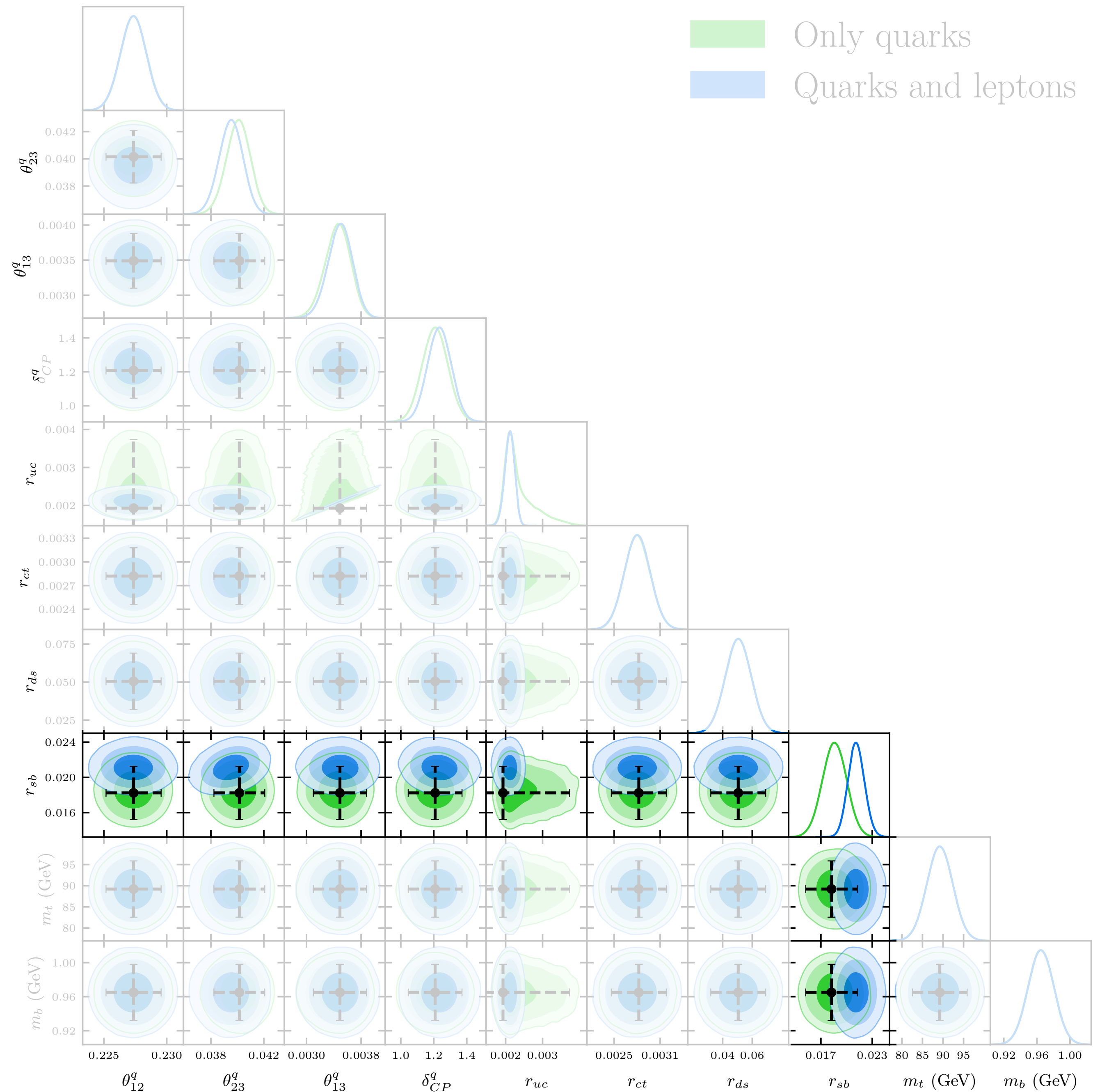
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Quark only analysis (green) in perfect agreement

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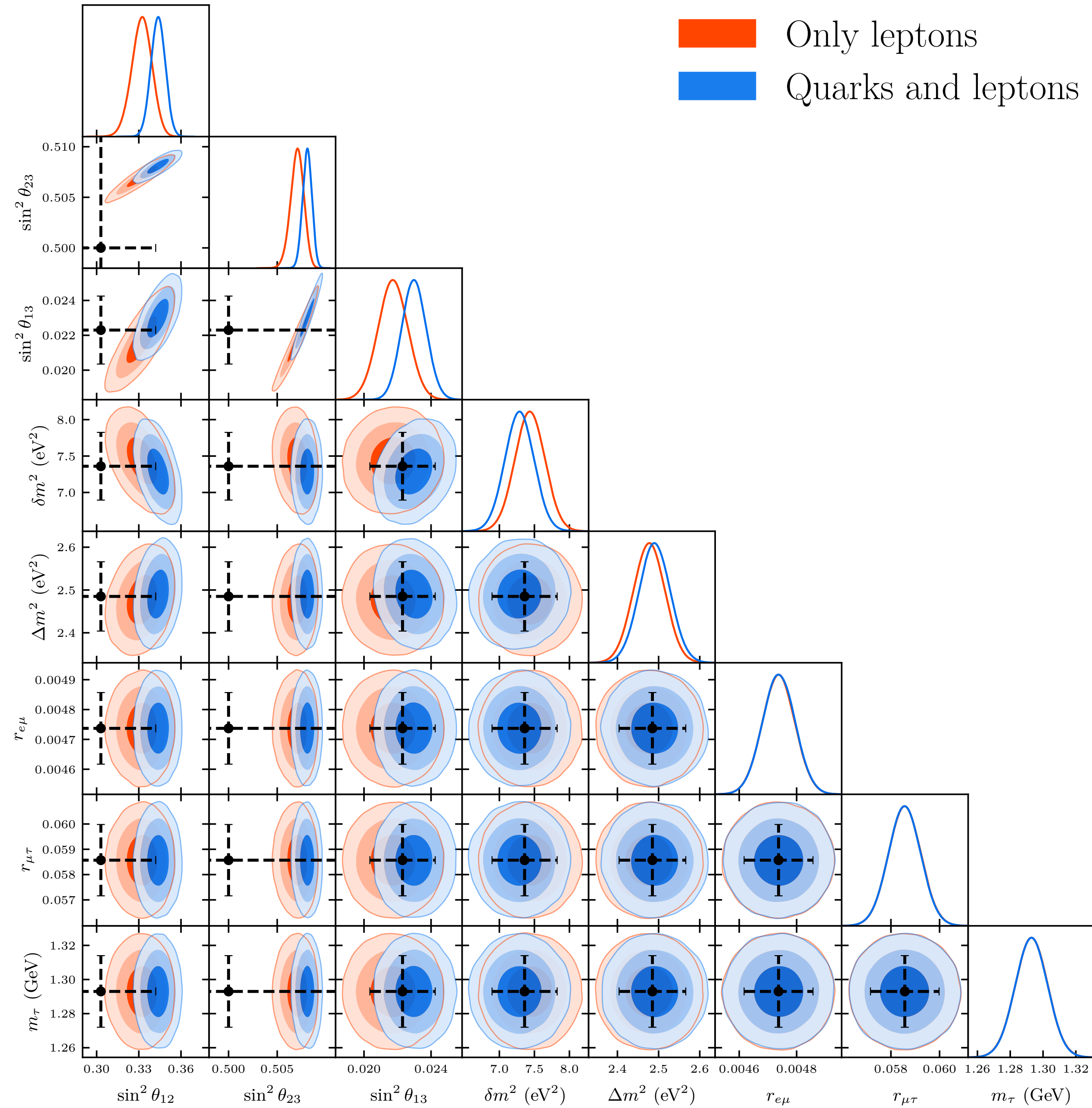
Quark only analysis (green) in perfect agreement

Combined analysis (blue): slight tension ( $3\sigma$ ) for  $r_{sb} = m_s/m_b$

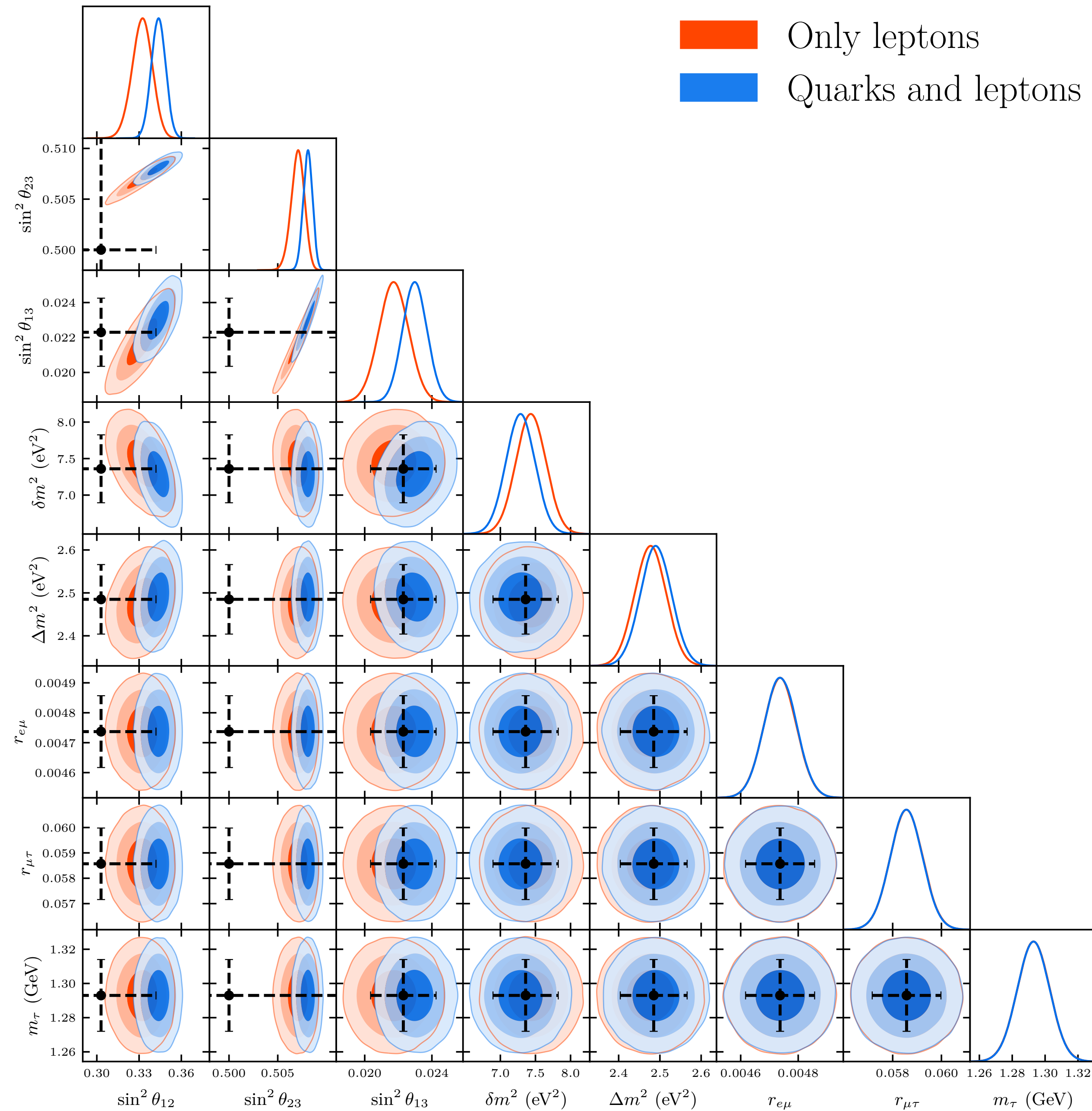


## Observables in the lepton sector

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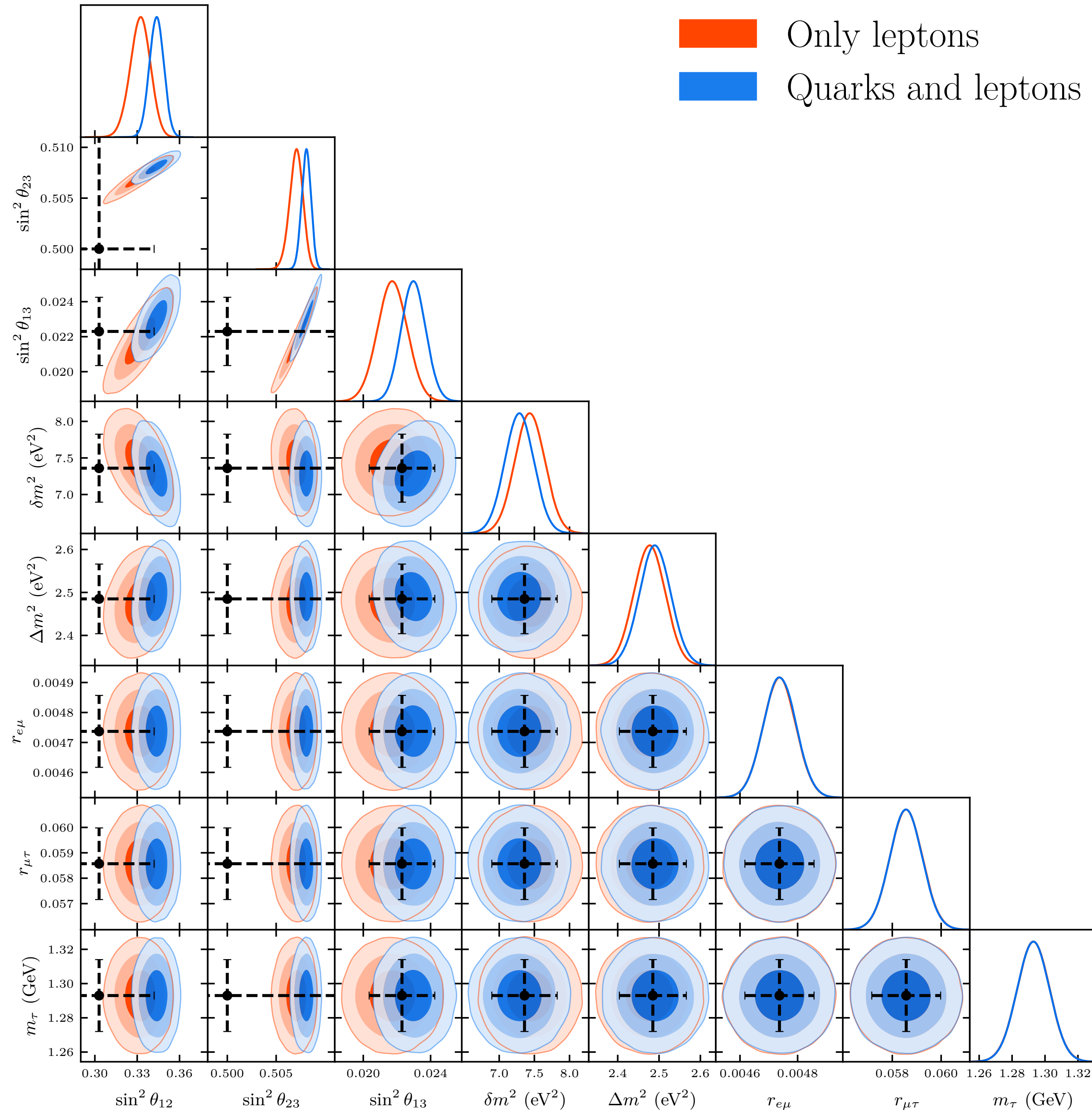


# Observables in the lepton sector



Lepton only (red) and combined (blue) analyses in perfect agreement with experimental results on  $(\Delta m^2, r_{e\mu}, r_{\mu\tau}, m_\tau)$

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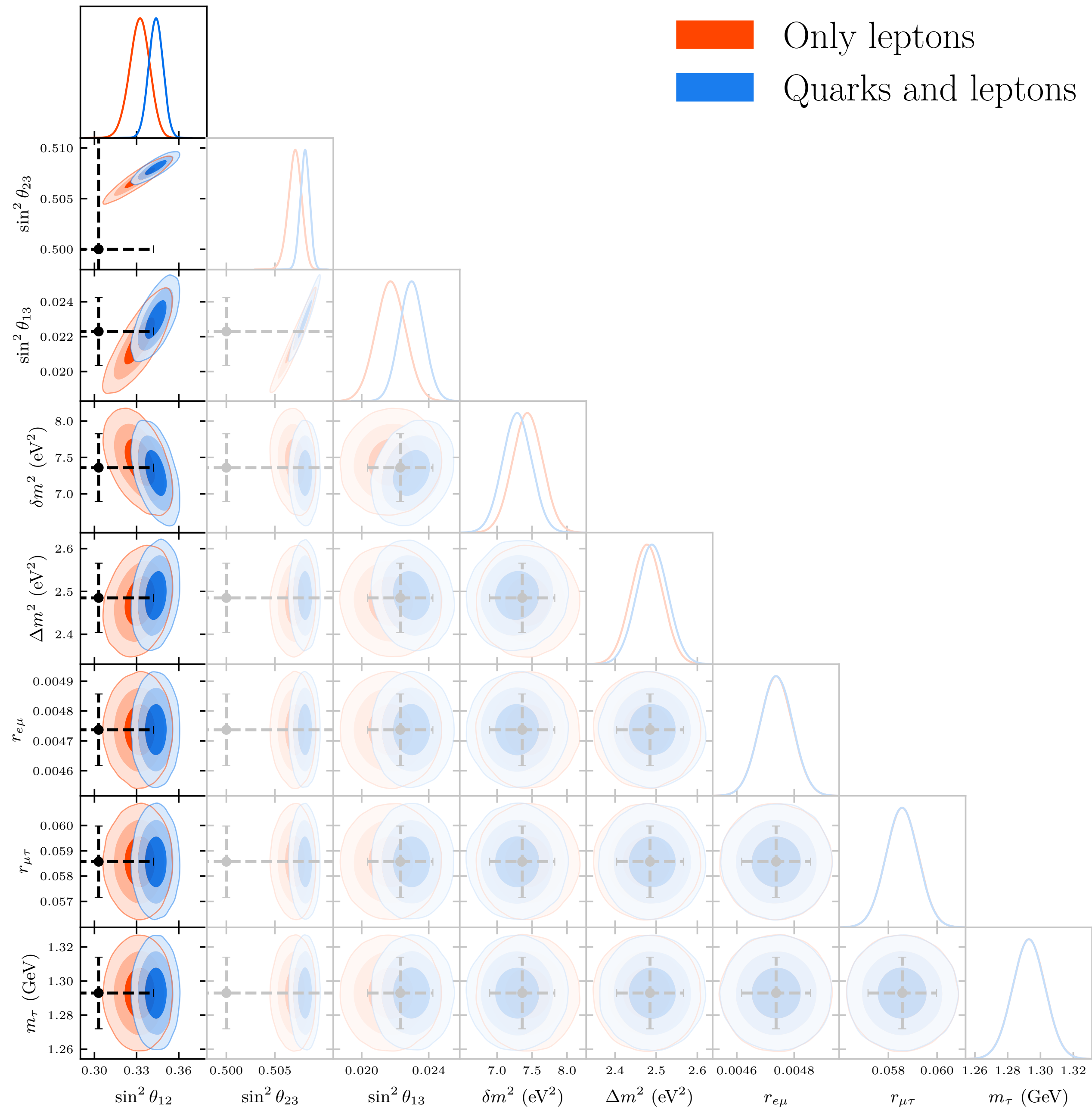


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For the “atmospheric” mixing  $\sin^2 \theta_{23}$ , due to the octant ambiguity still persistent in experimental measurements, we fix the experimental value at 0.5 with the  $1\sigma$  error equal to 1/6 of the  $3\sigma$  allowed region

Given the error on  $\sin^2 \theta_{23}$ ,  $\sigma_{\text{exp}} \sim 0.1$ , the model prediction on the atmospheric mixing angle is well inside the experimentally allowed  $1\sigma$  region

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Slight tension ( $\sim 3\sigma$ ) for  $\sin^2 \theta_{12}$





How good is the Fit?

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**Table 3:** Observables best-fit values and corresponding  $\chi^2$  breakdown values for the combined analysis, lepton-only, and quark-only analyses.

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	Best fit	$\chi^2$ breakdown	Best fit	$\chi^2$ breakdown	Best fit	$\chi^2$ breakdown
$\sin^2 \theta_{12}$	0.344	10.2	0.329	3.99	-	-
$\sin^2 \theta_{23}$	0.508	0.0714	0.506	0.0438	-	-
$\sin^2 \theta_{13}$	0.0231	1.41	0.0219	0.462	-	-
$\delta m^2$ (eV <sup>2</sup> )	$7.28 \times 10^{-5}$	0.246	$7.43 \times 10^{-5}$	0.183	-	-
$\Delta m^2$ (eV <sup>2</sup> )	0.00249	0.0636	0.00248	0.0497	-	-
$r_{e\mu}$	0.00474	$2.60 \times 10^{-5}$	0.00474	$8.18 \times 10^{-6}$	-	-
$r_{\mu\tau}$	0.0586	$4.66 \times 10^{-5}$	0.0586	$4.66 \times 10^{-8}$	-	-
$m_\tau$ (GeV)	1.29	$5.52 \times 10^{-8}$	1.29	$2.94 \times 10^{-9}$	-	-
$\min(\chi^2_{\text{leptons}})$	11.94		4.74		-	
$\theta_{12}^q$	0.227	$5.68 \times 10^{-4}$	-	-	0.227	$1.52 \times 10^{-7}$
$\theta_{13}^q$	0.00351	0.0146	-	-	0.00349	$5.92 \times 10^{-5}$
$\theta_{23}^q$	0.0395	0.948	-	-	0.0402	$2.32 \times 10^{-7}$
$\delta_{CP}^q$	1.23	0.221	-	-	1.21	$1.63 \times 10^{-8}$
$r_{uc}$	0.00212	0.100	-	-	0.00196	0.0024
$r_{ct}$	0.00282	$2.61 \times 10^{-6}$	-	-	0.00282	$2.90 \times 10^{-8}$
$r_{ds}$	0.0505	$5.84 \times 10^{-5}$	-	-	0.0505	$3.08 \times 10^{-8}$
$r_{sb}$	0.0209	7.12	-	-	0.0183	$2.62 \times 10^{-5}$
$m_b$	0.965	$1.67 \times 10^{-7}$	-	-	0.965	$1.99 \times 10^{-8}$
$m_t$	89.2	$9.42 \times 10^{-9}$	-	-	89.2	$9.52 \times 10^{-11}$
$\min(\chi^2_{\text{quarks}})$	8.40		-		0.00248	
$\min(\chi^2_{\text{comb}})$	20.3		-		-	

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Lepton only analysis  $\chi^2$  “small”

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The two observables  $\sin^2 \theta_{12}$  and  $r_{sb}$  contribute with  $\sim 17.3$  units to the total  $\chi^2$ .





## Benefits of a true combined Quarks + Leptons Analysis

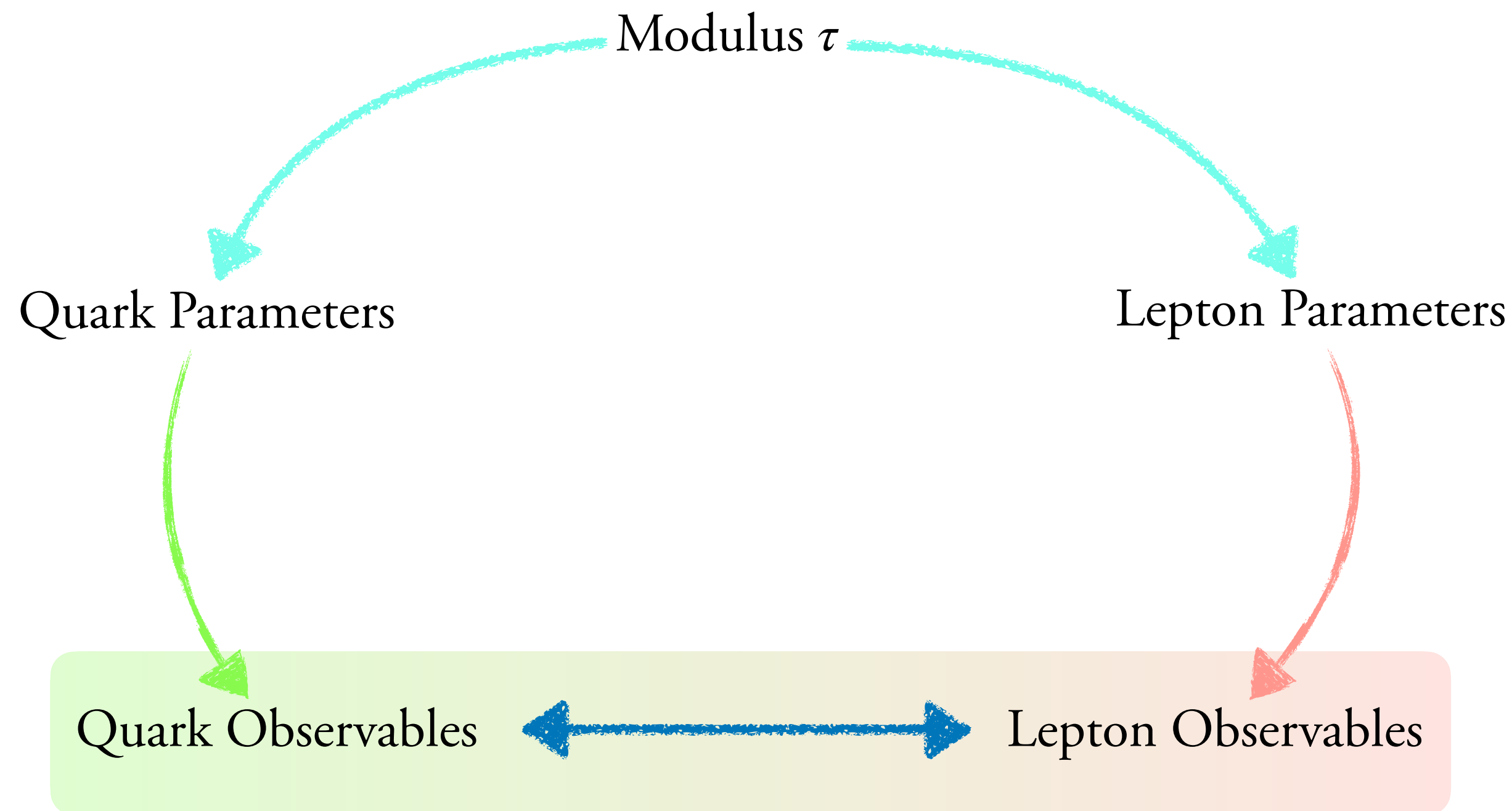
# Benefits of a true combined Quarks + Leptons Analysis

Modulus

$$\mathcal{L}_{\text{mass}} = \mathcal{L}_{\text{mass}} \left( \underbrace{\text{Re}(\tau), \text{Im}(\tau)}_{\text{Leptons}}, \underbrace{g_1^E v_d, \frac{g_2^E}{g_1^E}, \frac{g_3^E}{g_1^E}, \frac{g v_u}{\sqrt{\Lambda}}}_{\text{Leptons}}, \underbrace{g_1 v_u, \frac{g_2^u}{g_1^u}, \frac{g_3^u}{g_1^u}, g_1^d v_d, \frac{g_2^d}{g_1^d}, \frac{g_3^d}{g_1^d}, \frac{g_4^d}{g_1^d}, \frac{g_5^d}{g_1^d}}_{\text{Quarks}} \right)$$

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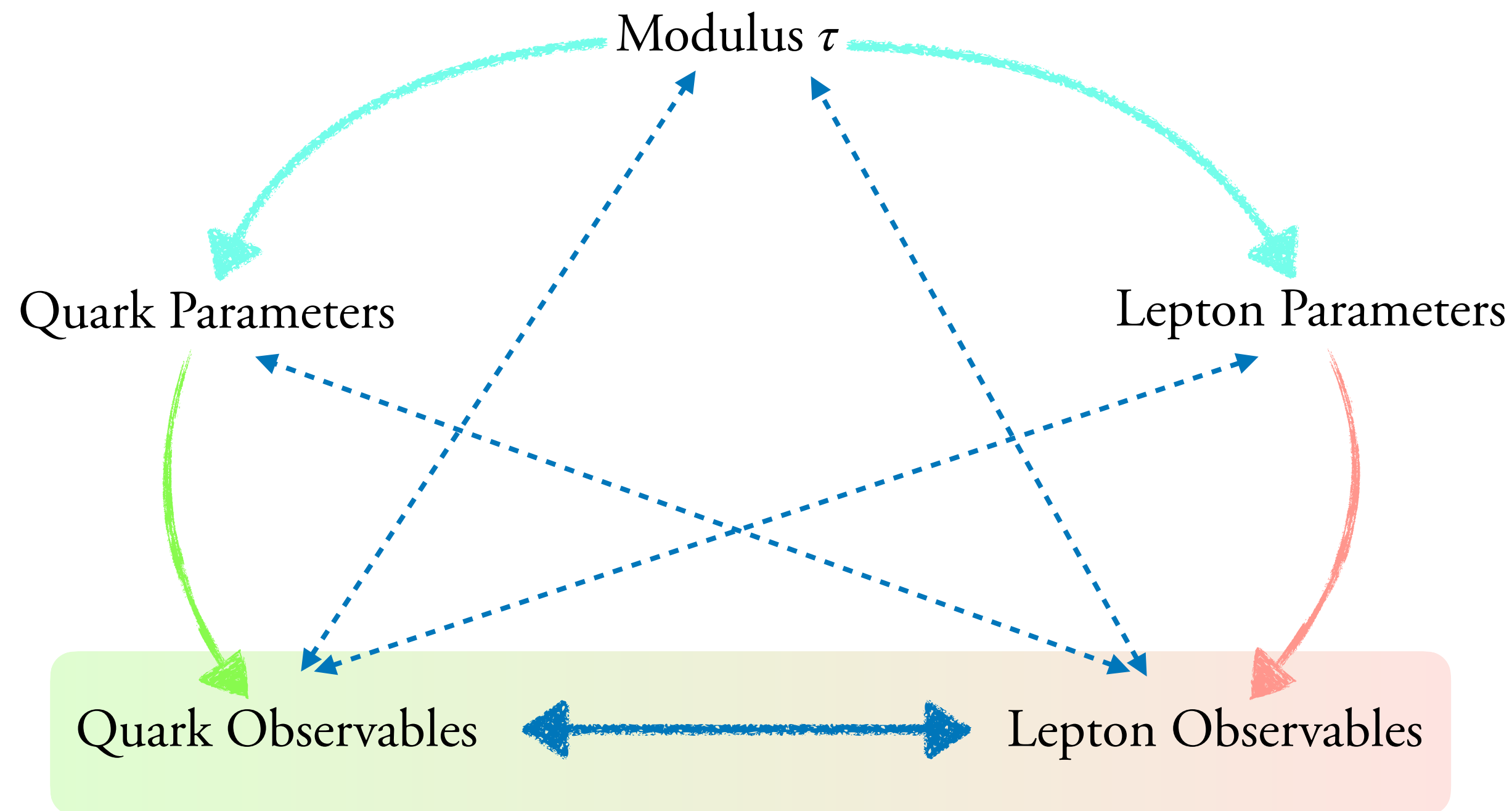


The modulus  $\tau$  a common parameter of the quark and lepton sectors

The parameters of the models in the two sectors are correlated to  $\tau$ . Though their correlation to the model parameters observables of the two sectors become correlated

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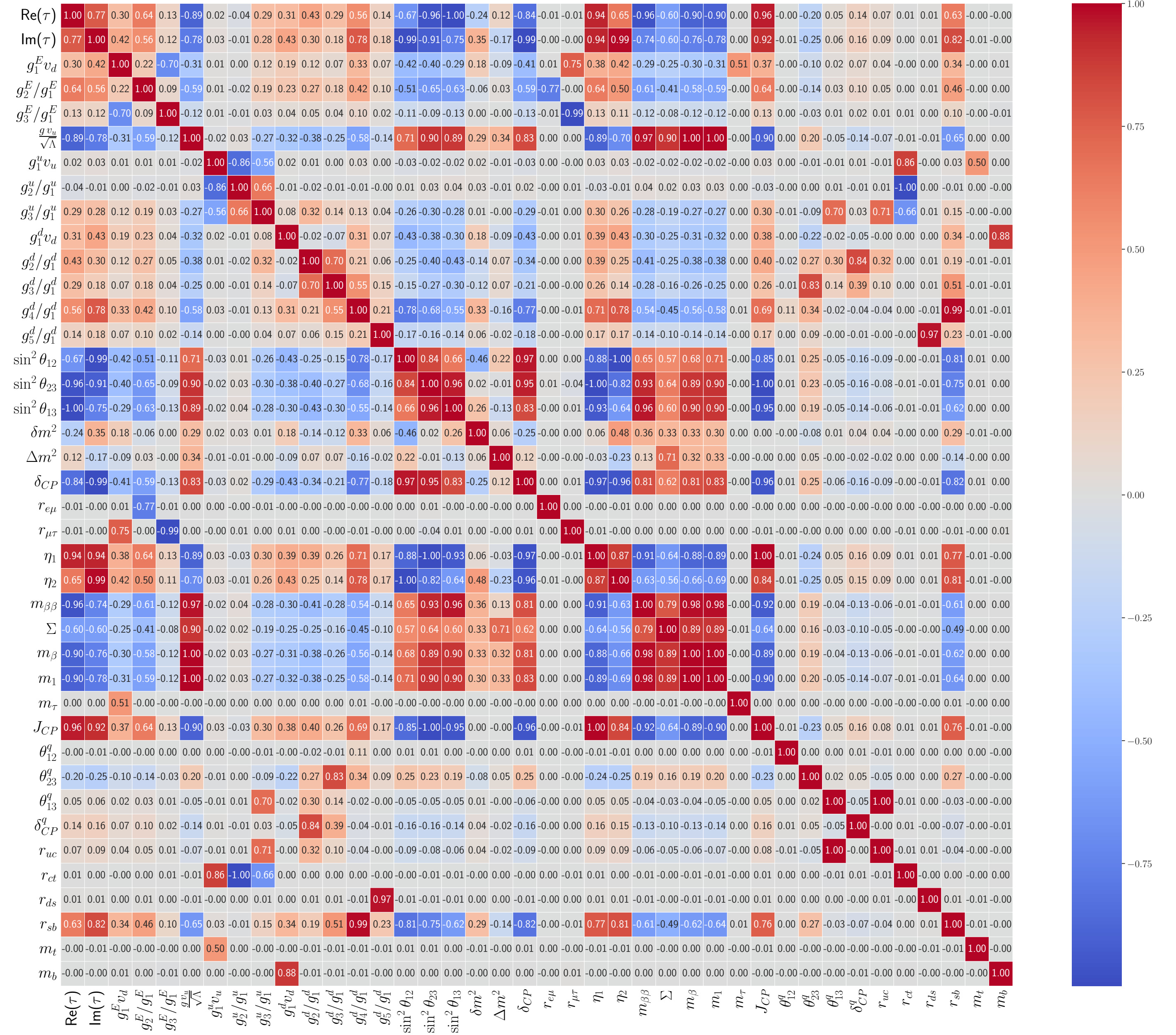
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Actually, correlations can be studied in many different ways

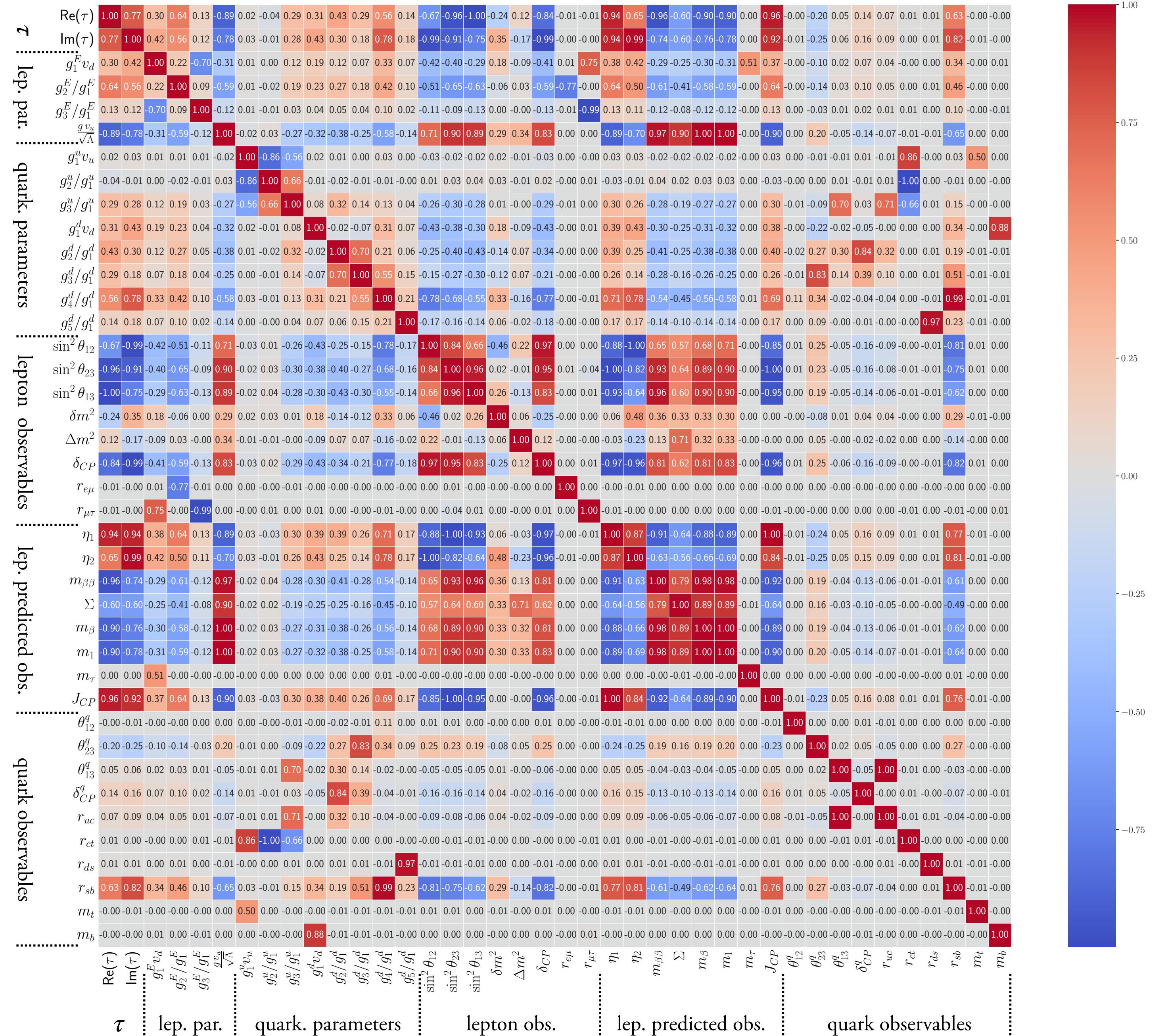


# Correlations



# Correlations

New information from a true combined analysis

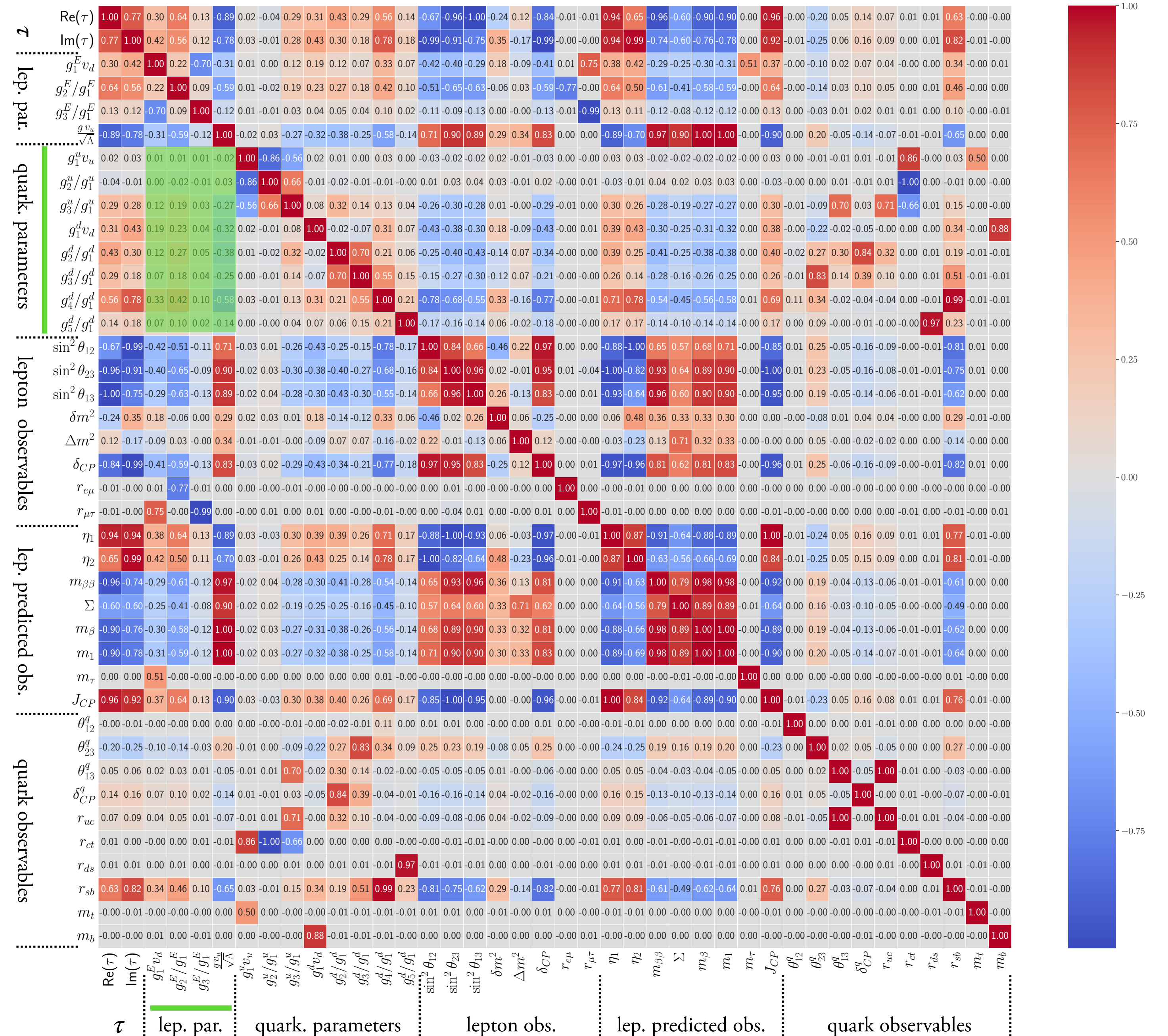




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Correlations between lepton and quark parameters

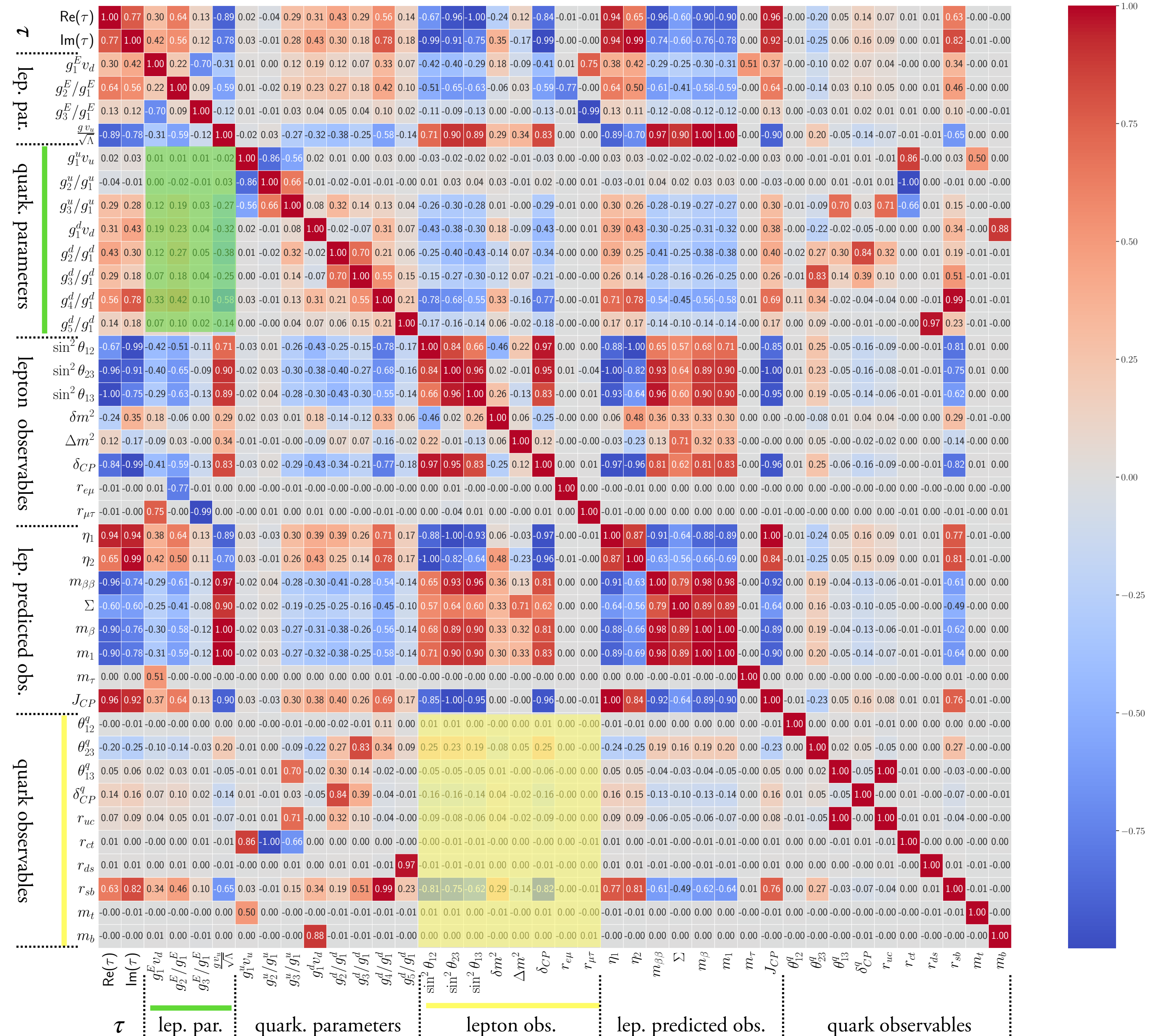


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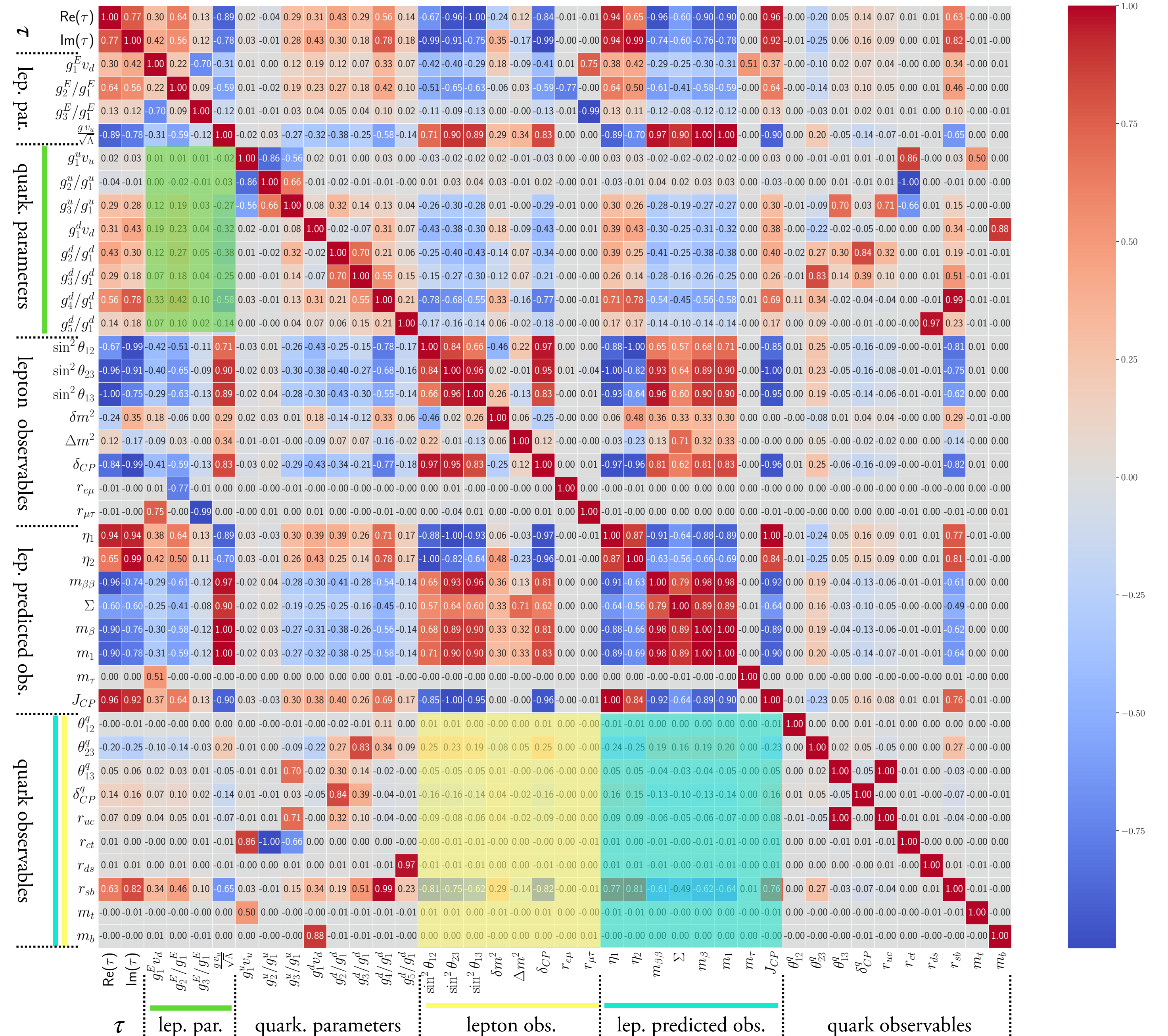
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Correlations between predicted neutrino unknowns and quark observables



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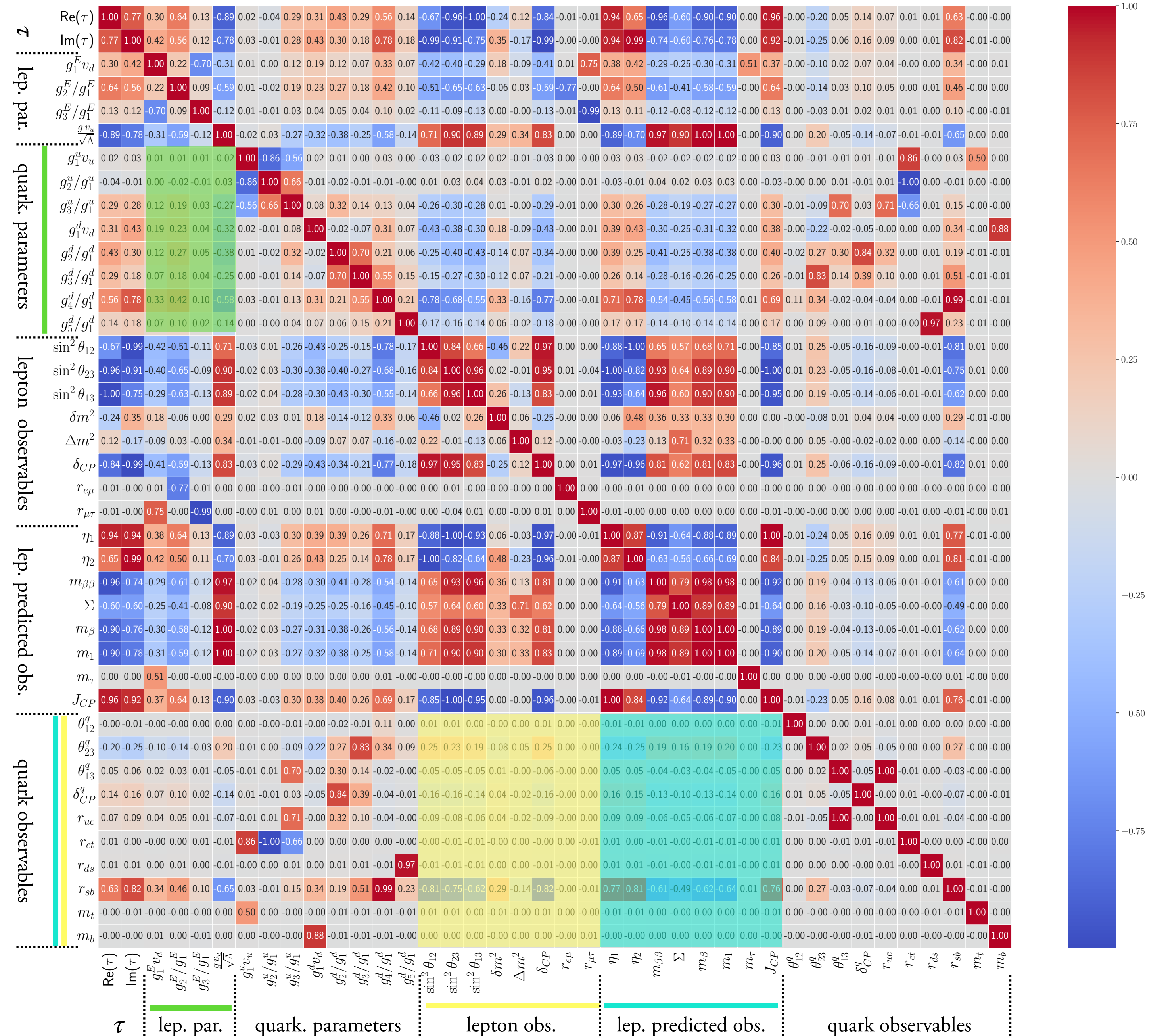
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Tested by possible future experiments





## Example of correlations

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For instance  $\rightarrow$  model parameters most correlated to  $\tau$  in the two sectors

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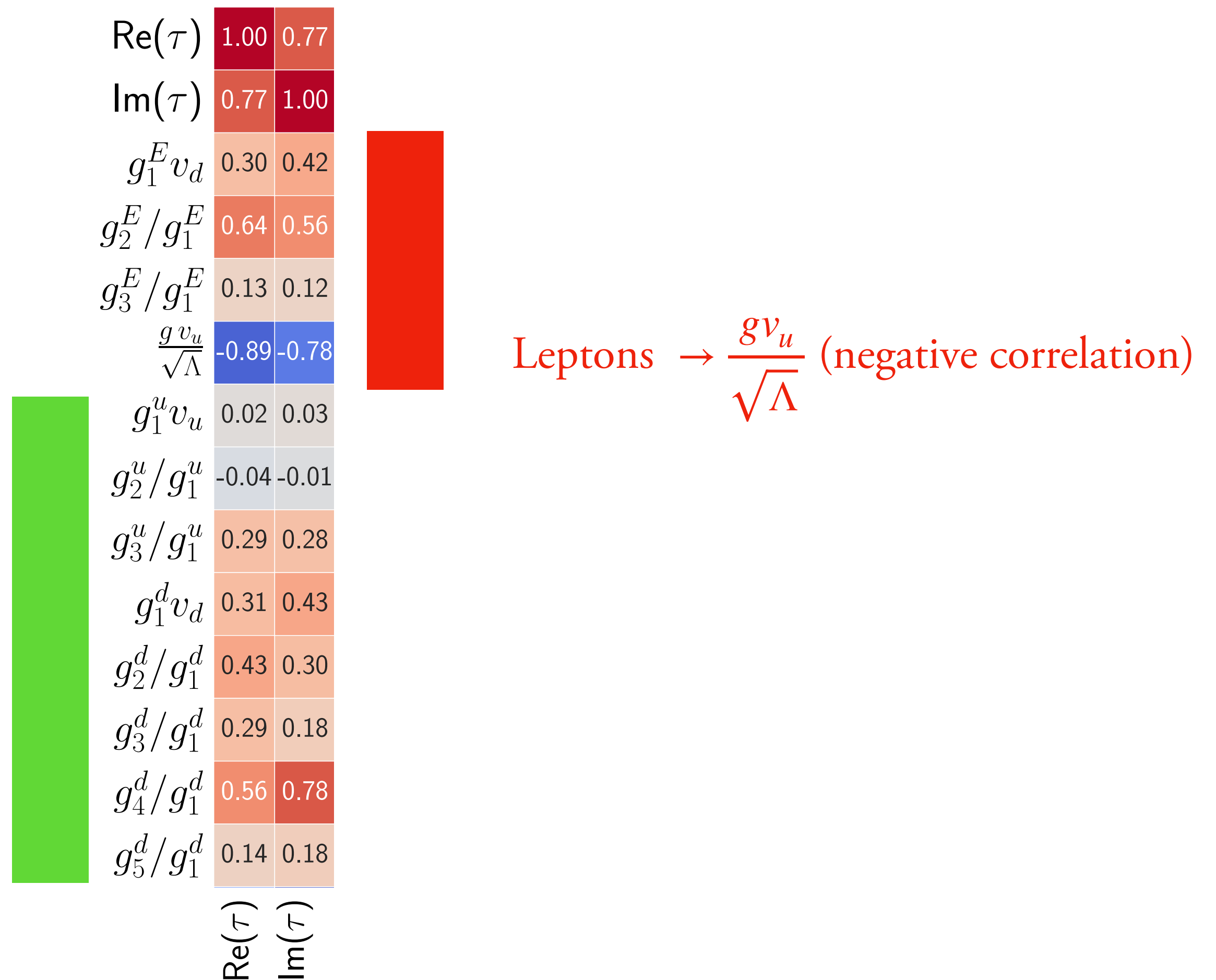
For instance → model parameters most correlated to  $\tau$  in the two sectors

$\text{Re}(\tau)$	1.00	0.77	
$\text{Im}(\tau)$	0.77	1.00	
$g_1^E v_d$	0.30	0.42	■
$g_2^E / g_1^E$	0.64	0.56	
$g_3^E / g_1^E$	0.13	0.12	
$\frac{g v_u}{\sqrt{\Lambda}}$	-0.89	-0.78	
$g_1^u v_u$	0.02	0.03	
$g_2^u / g_1^u$	-0.04	-0.01	■
$g_3^u / g_1^u$	0.29	0.28	
$g_1^d v_d$	0.31	0.43	
$g_2^d / g_1^d$	0.43	0.30	
$g_3^d / g_1^d$	0.29	0.18	
$g_4^d / g_1^d$	0.56	0.78	
$g_5^d / g_1^d$	0.14	0.18	
	$\text{Re}(\tau)$	$\text{Im}(\tau)$	



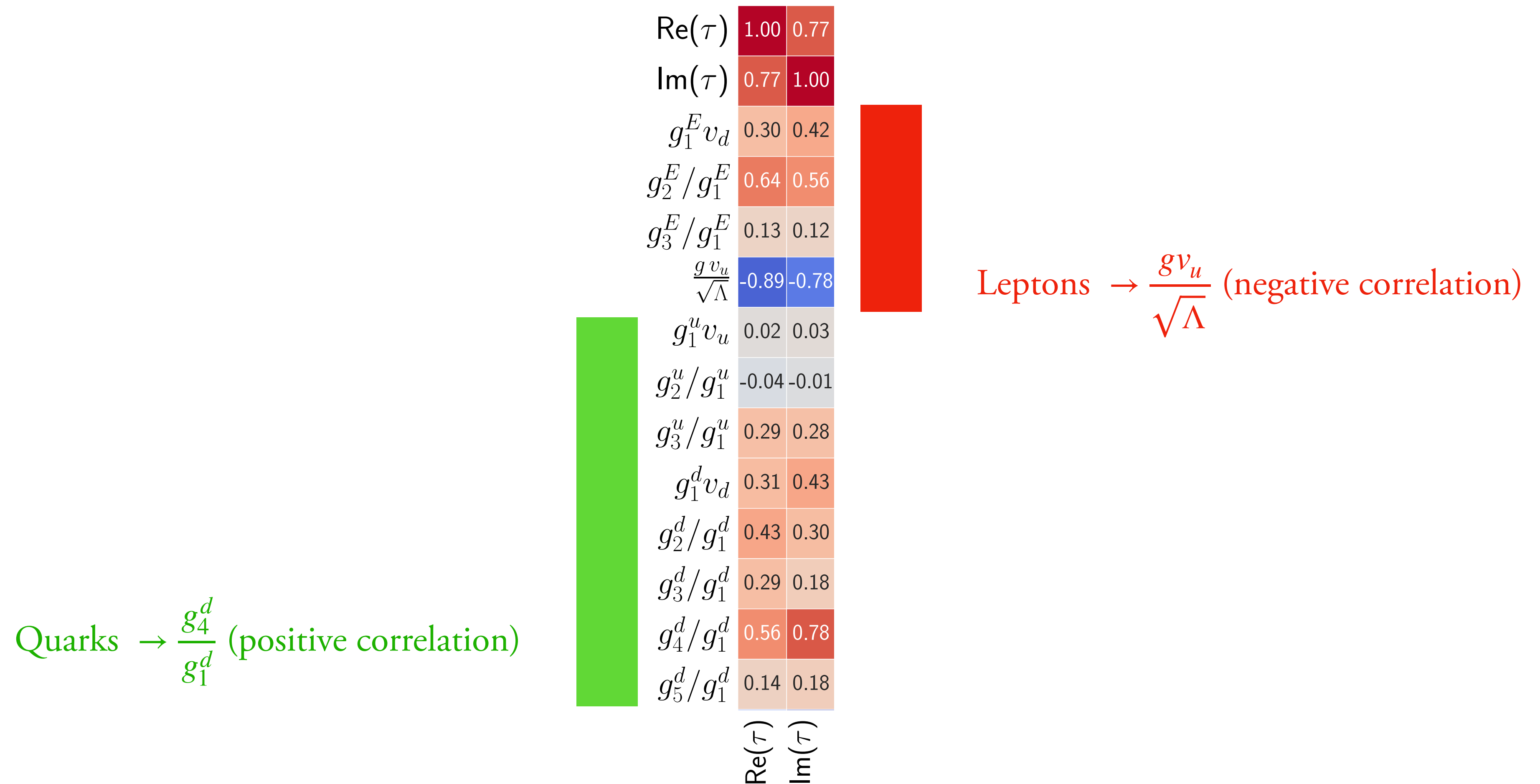
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$\frac{g_4^d}{g_1^d}$  and  $\frac{g v_u}{\sqrt{\Lambda}}$  are, indeed the most (anti)correlated parameters among the two different sectors

$g_1^E v_d$	1.00	0.22	-0.70	-0.31	0.01	0.00	0.12	0.19	0.12	0.07	0.33	0.07
$g_2^E / g_1^E$	0.22	1.00	0.09	-0.59	0.01	-0.02	0.19	0.23	0.27	0.18	0.42	0.10
$g_3^E / g_1^E$	-0.70	0.09	1.00	-0.12	0.01	-0.01	0.03	0.04	0.05	0.04	0.10	0.02
$\frac{g v_u}{\sqrt{\Lambda}}$	-0.31	-0.59	-0.12	1.00	-0.02	0.03	-0.27	-0.32	-0.38	-0.25	-0.58	-0.14
$g_1^u v_u$	0.01	0.01	0.01	-0.02	1.00	-0.86	-0.56	0.02	0.01	0.00	0.03	0.00
$g_2^u / g_1^u$	0.00	-0.02	-0.01	0.03	-0.86	1.00	0.66	-0.01	-0.02	-0.01	-0.01	-0.00
$g_3^u / g_1^u$	0.12	0.19	0.03	-0.27	-0.56	0.66	1.00	0.08	0.32	0.14	0.13	0.04
$g_1^d v_d$	0.19	0.23	0.04	-0.32	0.02	-0.01	0.08	1.00	-0.02	-0.07	0.31	0.07
$g_2^d / g_1^d$	0.12	0.27	0.05	-0.38	0.01	-0.02	0.32	-0.02	1.00	0.70	0.21	0.06
$g_3^d / g_1^d$	0.07	0.18	0.04	-0.25	0.00	-0.01	0.14	-0.07	0.70	1.00	0.55	0.15
$g_4^d / g_1^d$	0.33	0.42	0.10	-0.58	0.03	-0.01	0.13	0.31	0.21	0.55	1.00	0.21
$g_5^d / g_1^d$	0.07	0.10	0.02	-0.14	0.00	-0.00	0.04	0.07	0.06	0.15	0.21	1.00
$g_1^E v_d$												
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## Example of correlations

We can directly verify the correlation among quark and lepton parameters

$\frac{g_4^d}{g_1^d}$  and  $\frac{g v_u}{\sqrt{\Lambda}}$  are, indeed the most (anti)correlated parameters among the two different sectors

We trace back their anti correlation going back to their correlation to the real and imaginary parts of  $\tau$

$g_1^E v_d$	1.00	0.22	-0.70	-0.31	0.01	0.00	0.12	0.19	0.12	0.07	0.33	0.07
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$g_2^u / g_1^u$	0.00	-0.02	-0.01	0.03	-0.86	1.00	0.66	-0.01	-0.02	-0.01	-0.01	-0.00
$g_3^u / g_1^u$	0.12	0.19	0.03	-0.27	-0.56	0.66	1.00	0.08	0.32	0.14	0.13	0.04
$g_1^d v_d$	0.19	0.23	0.04	-0.32	0.02	-0.01	0.08	1.00	-0.02	-0.07	0.31	0.07
$g_2^d / g_1^d$	0.12	0.27	0.05	-0.38	0.01	-0.02	0.32	-0.02	1.00	0.70	0.21	0.06
$g_3^d / g_1^d$	0.07	0.18	0.04	-0.25	0.00	-0.01	0.14	-0.07	0.70	1.00	0.55	0.15
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## Correlation Parameters - Observables

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All neutrino observables are positively correlated to  $\frac{g_{\nu_u}}{\sqrt{\Lambda}}$ , (in particular  $\sin^2 \theta_{12}$  and the other two mixing angles)

$\frac{g_{\nu_u}}{\sqrt{\Lambda}}$	0.71	0.90	0.89	0.29	0.34	0.83	0.00	0.00
$\sin^2 \theta_{12}$								
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$\delta m^2$								
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The quark parameter most correlated to quark observables is  $\frac{g_4^d}{g_1^d}$

$\frac{g_4^d}{g_1^d}$	0.11	0.34	-0.02	-0.04	-0.04	0.00	-0.01	0.99	-0.01	-0.01
	$\theta_{12}^q$	$\theta_{23}^q$	$\theta_{13}^q$	$\delta_{CP}^q$	$r_{uc}$	$r_{ct}$	$r_{ds}$	$r_{sb}$	$m_t$	$m_b$

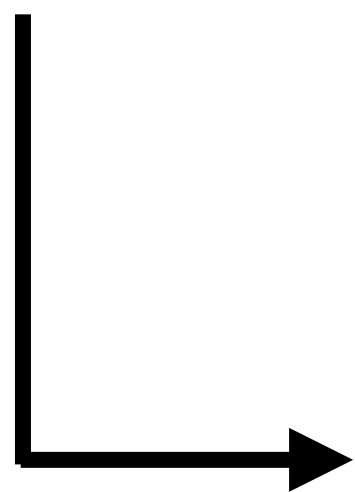
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	$\theta_{12}^q$	$\theta_{23}^q$	$\theta_{13}^q$	$\delta_{CP}^q$	$r_{uc}$	$r_{ct}$	$r_{ds}$	$r_{sb}$	$m_t$	$m_b$



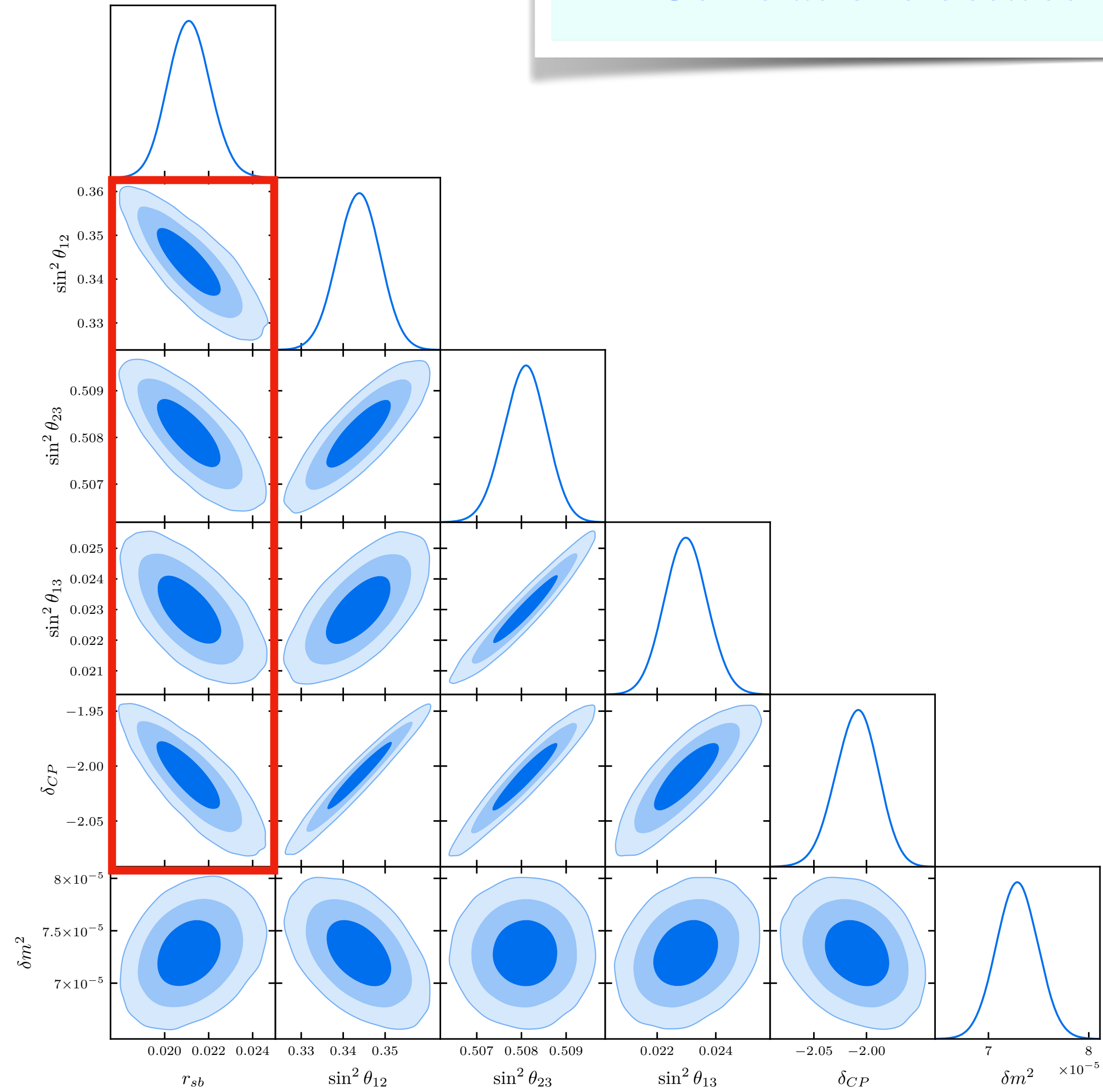
We can presume the presence of a significant anticorrelation between  $r_{sb}$  and all neutrino mixing angles



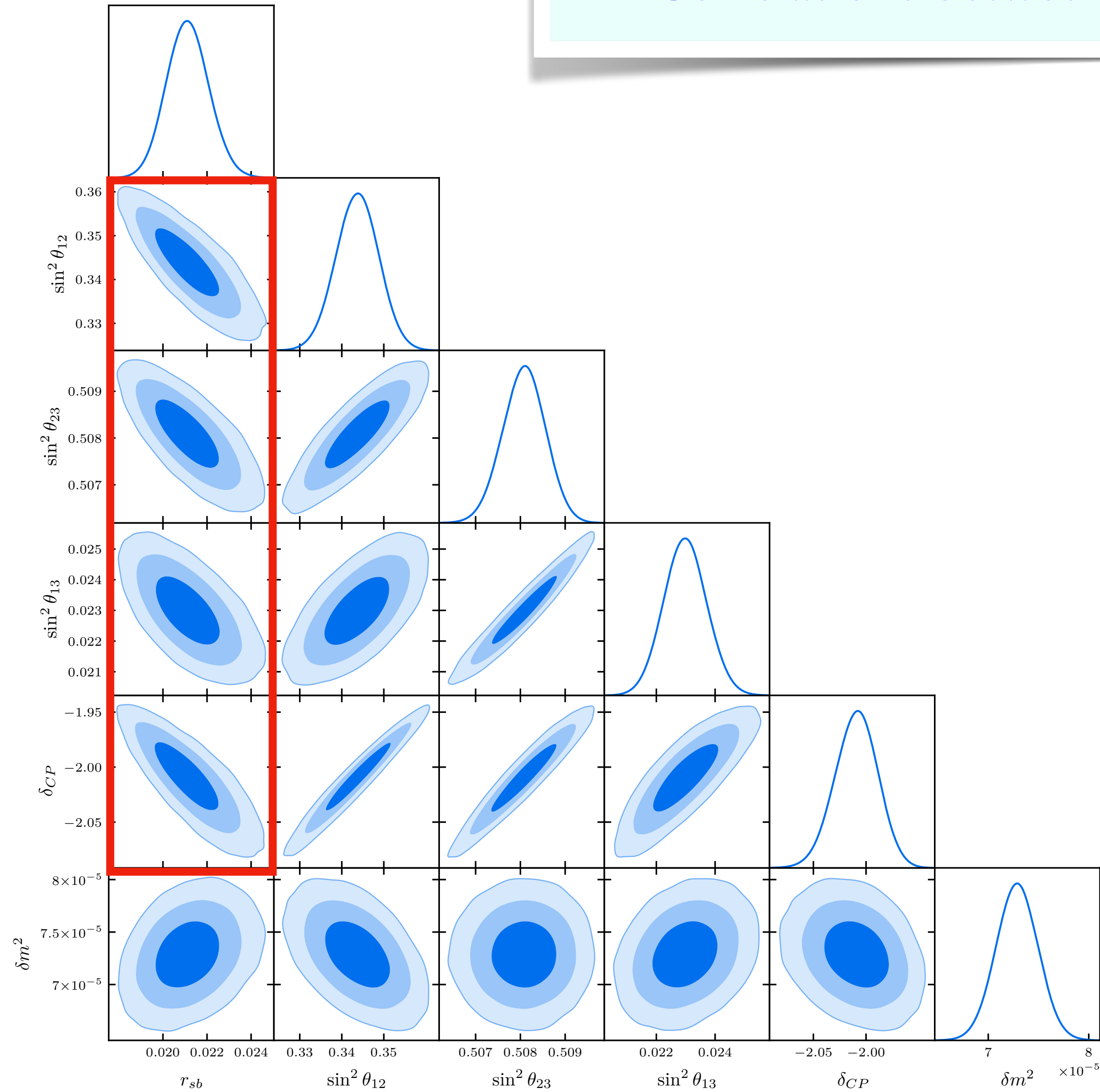
## Correlations between quark and lepton observables

# Correlations between quark and lepton observables

Significant anticorrelation between  $r_{sb}$  and  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{23}$ ,  $\sin^2 \theta_{13}$ ,  $\delta_{CP}$



# Correlations between quark and lepton observables



Significant anticorrelation between  $r_{sb}$  and  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{23}$ ,  $\sin^2 \theta_{13}$ ,  $\delta_{CP}$

$\theta_{12}^q$	0.01	0.01	0.00	-0.00	0.00	0.01	0.00	-0.00
$\theta_{23}^q$	0.25	0.23	0.19	-0.08	0.05	0.25	0.00	-0.00
$\theta_{13}^q$	-0.05	-0.05	-0.05	0.01	-0.00	-0.06	-0.00	0.00
$\delta_{CP}^q$	-0.16	-0.16	-0.14	0.04	-0.02	-0.16	-0.00	0.00
$r_{uc}$	-0.09	-0.08	-0.06	0.04	-0.02	-0.09	-0.00	0.00
$r_{ct}$	-0.00	-0.01	-0.01	-0.00	0.00	-0.00	0.00	-0.01
$r_{ds}$	-0.01	-0.01	-0.01	0.00	0.00	-0.01	-0.00	0.00
$r_{sb}$	-0.81	-0.75	-0.62	0.29	-0.14	-0.82	0.00	-0.01
$m_t$	0.01	0.01	0.00	-0.01	-0.00	0.01	0.00	-0.00
$m_b$	0.00	0.00	0.00	-0.00	0.00	0.00	-0.00	0.01
	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\sin^2 \theta_{13}$	$\delta m^2$	$\Delta m^2$	$\delta_{CP}$	$r_{e\mu}$	$r_{\mu\tau}$

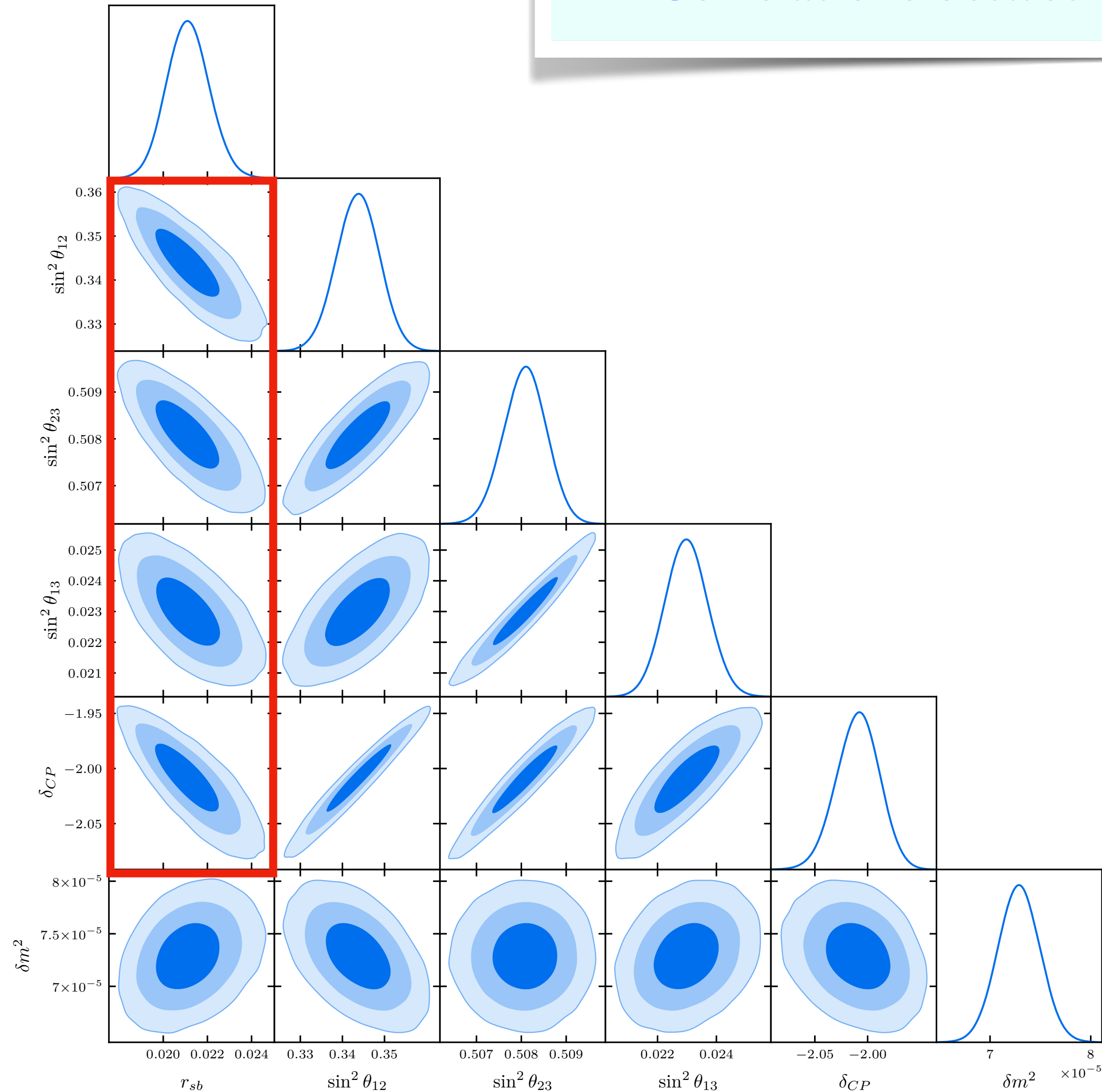
Going back to the results of the fit we understand how the  $3\sigma$  tension on the values of  $\sin^2 \theta_{12}$  predicted by the model (0.344) and the measured one (0.303) induces a new tension on  $r_{sb}$  in the combined fit



Overestimating  $\sin^2 \theta_{12}$  at  $3\sigma$  causes an underestimation of  $r_{sb}$  at  $\sim 2.7\sigma$



# Correlations between quark and lepton observables



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	$\sin^2 \theta_{12}$	$\sin^2 \theta_{23}$	$\sin^2 \theta_{13}$	$\delta m^2$	$\Delta m^2$	$\delta_{CP}$	$r_{e\mu}$	$r_{\mu\tau}$

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The existence of correlations of this type among lepton and quark observables may be a strong indication in favor of modular flavour symmetry in particle physics



# Conclusions

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Combined Analysis: Quark and lepton observables studied together in modular symmetry-based flavor model

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The model, based on the  $2O$  group, describes 22 observables with 14 real parameters, including a single complex modulus

Successfully describes quark masses, CKM mixing angles, and lepton flavor data.

Tensions with data:  $\sin^2 \theta_{12}, r_{sb}$  at  $\sim 3\sigma$  from the experimental value  $\rightarrow$  JUNO and other experiments will critically test predictions, especially  $\sin^2 \theta_{12}$

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Correlations between quark and lepton observables

Experimental Predictions for  $\delta_{CP}$ , the Majorana phases,  $m_1, \Sigma, m_{\beta\beta}, m_\beta$

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### Predictive Power

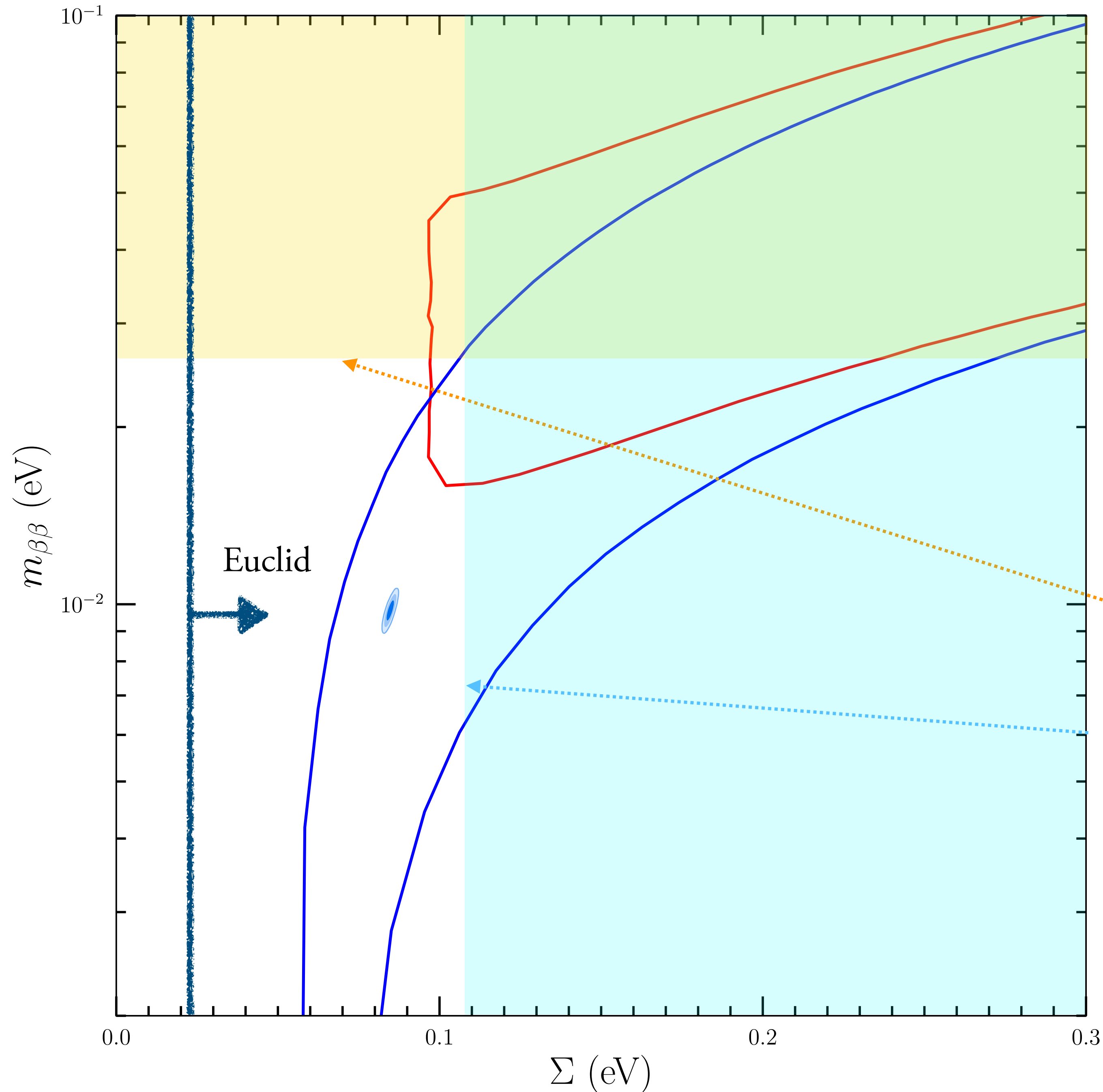
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Experimental Predictions for  $\delta_{CP}$ , the Majorana phases,  $m_1, \Sigma, m_{\beta\beta}, m_\beta$

Modular symmetries offer a unified and predictive framework, possibly setting the stage for advancing our understanding of flavor physics

# Backup Slides





## Predicted $\nu$ observables

$\delta_{CP}$  not included as input since the measurement still not robust

The model predicts  $\delta_{CP} \sim -0.64\pi$ , to be compared to  $\delta_{CP} \sim -\pi/2$  preferred by global analyses

$m_\beta$  a factor  $\sim 20$  below the KATRIN upper limit,  $m_\beta < 0.45$  eV at 90% C.L. (talk by M. Schlösser @NOW2024)

$m_{\beta\beta}$  a factor from 4 to 12 below the next generation projects (Cupid, LEGEND, nEXO) depending on the Nuclear Matrix Element

$\Sigma$  below the present cosmological bounds (at the level of  $\sim 0.12$  eV, depending on the analysis) Planck Collaboration: Aghanim, N. et al. 2020, A&A, 641, A6, [Erratum: A&A 652, C4 (2021)]

To be tested by Euclid in combination with other cosmological and astrophysical dataset ( $\Sigma > 0.023$  eV)

Euclid preparation. Sensitivity to neutrino parameters arXiv:2405.06047