Extending the SM with Vector-Like Quarks: consequences for CKM unitarity and CP violation

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Based on:

[2207.14235], [2111.15401] and **[2210.14248]**

Motivation

- \bullet A fourth chiral generation of quarks is ruled out, but the quark sector can be extended with VLQs.
- VLQs take part in many models from GUTs, to the Nelson-Barr solutions to the strong CP problem. They have a rich phenomenology that can be used to try to explain several types of anomalies/ tensions.
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را **Cabibbo Angle Anomaly (CAA):** The independent determinations of |*Vus*| (semi-leptonic kaon decays), the ratio $|V_{us}/V_{ud}|$ (kaon and pion leptonic decays) and $|V_{ud}|$ (β decays) are not in agreement with each other within the framework of the CKM unitary of SM (discrepancy of \sim 3 σ). These values fit best to the relation

$$
|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 - \Delta^2, \quad \Delta \approx 0.04
$$

- Extensions with VLQs iso-singlets naturally introduce deviations to CKM unitarity.
- **CP Violation:** The introduction of VLQs allows for extra Yukawa couplings and bare mass terms. In principle, this means more physical phases which could lead to the enhancement of CP violation in the quark sector.

Solving the CAA with an up-type VLQ iso-singlet

Introducing a **Q=2/3 VLQ iso-singlet** T =T_L+T_R with mass m_τ leads to:

$$
\begin{aligned}\n\bullet \quad -\mathcal{L}_Y &= y_{ij}^u \overline{Q_{iL}'} \tilde{\Phi} u_{jR}' + y_{i4}^u \overline{Q_{iL}'} \tilde{\Phi} T_R' + y_{ij}^d \overline{Q_{iL}'} \Phi d_{jR}' + M_i^u \overline{T}_L' u_{iR}' + M_4^u \overline{T}_L' T_R' + h.c.\n\end{aligned}\n\quad\n\text{Bare mass terms}\n\quad\n\begin{aligned}\n m_{u,d} &= v y_{u,d} \\
 M_u &= \begin{pmatrix} m_u \\ M_u \end{pmatrix} \}^3_1\n\end{aligned}
$$

$$
\bullet \quad -\mathcal{L}_m = \begin{pmatrix} \overline{u}'_L & \overline{T}'_L \end{pmatrix} \mathcal{M}_u \begin{pmatrix} u'_R \\ T'_R \end{pmatrix} + \overline{d}'_L m_d d'_R + h.c.
$$

Both T_{L} and T_{R} have the same quantum numbers as u_{R} .

In the physical basis we get **non-unitary mixing** and **tree-level FCNCs**:

$$
\begin{aligned}\n\bullet \quad & \mathcal{L}_W = -\frac{g}{\sqrt{2}} W^+ \left(\overline{u}_L \quad \overline{T}_L \right) V_{\text{CKM}} \, \gamma_\mu d_L + h.c. \longrightarrow \text{Non-Unitary 4x3 CKM matrix} \\
\bullet \quad & \mathcal{L}_Z = \frac{g}{2c_W} Z^\mu \left[\left(\overline{u}_L \quad \overline{T}_L \right) F_u \gamma_\mu \begin{pmatrix} u_L \\ T_L \end{pmatrix} - \overline{d}_L \gamma_\mu d_L - 2s_W^2 J_{\text{EM}}^\mu \right] \xrightarrow{Z \sim \mathcal{M}} \begin{cases}\n\overline{u}_i \\
\overline{u}_j\n\end{cases} \text{Tree-level FCNCs} \\
\bullet \quad -\mathcal{L}_h = \frac{h}{v} \left[\left(\overline{u}_L \quad \overline{T}_L \right) F_u \begin{pmatrix} D_u & 0 \\ 0 & m_T \end{pmatrix} \begin{pmatrix} u_R \\ T_R \end{pmatrix} + \overline{d}_L D_d d_R \right] + h.c. \end{aligned}
$$

Bare mass terms

Solving the CAA with an up-type VLQ iso-singlet

The mixing can be parametrized as:

Auxiliary Unitary	$V = \begin{pmatrix} A_u \\ B_u \end{pmatrix} \begin{matrix} 3 \\ 1 \\ 1 \end{matrix}$	$\begin{matrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & c_{34} & s_{34} \\ 0 & 0 & -s_{34} & c_{34} \end{matrix}$	$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & c_{24} & 0 & s_{24}e^{-i\delta_{24}} \\ 0 & 0 & 1 & 0 & 0 \\ 0 & -s_{24}e^{i\delta_{24}} & 0 & c_{24} \end{pmatrix}$	$\begin{matrix} C_{14} & 0 & 0 & s_{14}e^{-i\delta_{14}} \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ -s_{14}e^{i\delta_{14}} & 0 & 0 & c_{14} \end{matrix}$	
Non-Unitary 4x3 CKM Matrix: CKM Matrix: VCKM = A_u^{\dagger}	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & -s_{34} & c_{34} \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -s_{24}e^{i\delta_{24}} & 0 & c_{24} \\ 0 & -s_{24}e^{i\delta_{24}} & 0 & c_{24} \end{pmatrix}$	$\begin{pmatrix} c_{12} & s_{12} & 0 & 0 \\ -s_{14}e^{i\delta_{14}} & 0 & 0 & c_{14} \\ -s_{12} & c_{12} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}$	$\begin{matrix} 0 & 0 & 0 & 0 \\ -s_{12} & c_{12} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{matrix}$	$\begin{matrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{matrix}$

We have for the first row of the mixing:

$$
|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1 - s_{14}^2 \xrightarrow{\text{CAA}} s_{14} \approx 0.04 \sim \lambda^2
$$

At first glance, a "minimal" solution to the CAA could be: At first glance, a "minimal" solution to the CAA could be: $s_{14} \approx 0.04$ $\delta_{14}, \delta_{24} \longrightarrow$ Factored out We study this case in **Botella et al. [2111.15401].** $s_{24}, s_{34} = 0$ $\delta_{14}, \delta_{24} \longrightarrow$ Factored out

General solution and its parameter space analyzed in **Branco et al. [2103.13409**], but VLQ mass bounds **assume predominant couplings to the third generation**.

Phenomenology: the s14-dominance limit

We assume: ${\rm Br\,}(T\to dW^+)+{\rm Br\,}(T\to uZ)+{\rm Br\,}(T\to uh)\simeq 1\implies m_T>1.15\;{\rm TeV}\;$ **[2405.19862]** Typically **searches assume**: $Br(T \to bW^+) + Br(T \to tZ) + Br(T \to th) \simeq 1 \implies m_T > 1.48 \text{ TeV}$

or to $K_L \rightarrow \pi^0 \overline{\nu} \nu$ or ε'/ε , since $\text{Im}(V_{Td}V_{Ts}^*)=0$

Phenomenology: Kaon Physics

The kaon system imposes the most stringent constraints.

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Phenomenology: the s14-dominance fit

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SM Mixing and CPV from a VLQ model

Consider a minimal solution where the mass matrices take the form (**Branco et al. [2207.14235]**)

$$
M_d = \begin{pmatrix} m_{11}^d & m_{12}^d & 0 \\ m_{21}^d & m_{22}^d & 0 \\ 0 & 0 & m_{33}^d \end{pmatrix}
$$

\n
$$
(m_{ij}^u > 0) \quad M_u = \begin{pmatrix} 0 & 0 & 0 & m_{14}^u \\ 0 & m_{22}^u & m_{23}^u & m_{24}^u e^{i\beta} \\ 0 & m_{32}^u e^{i\alpha} & m_{33}^u & 0 \\ m_{41}^u & 0 & -m_{43}^u e^{i\delta} & M \end{pmatrix}
$$

\n
$$
(m_{33}^u \approx m_t, \quad M \approx m_T, \quad m_{14}^u \gg m_{24}^u)
$$

\n
$$
(m_{33}^u \approx m_t, \quad M \approx m_T, \quad m_{14}^u \gg m_{24}^u)
$$

In the **decoupling limit** we have:

$$
M_u^{\text{eff}} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & m_{22}^u & m_{23}^u \\ 0 & m_{32}^u & m_{33}^u \end{pmatrix} \implies V_{\text{CKM}}^{\text{eff}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}_{up} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{13} & 0 \\ 0 & 0 & 1 \end{pmatrix}_{down} = \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12}c_{23} & c_{23}c_{12} & -s_{23} \\ -s_{23}s_{12} & s_{23}c_{12} & c_{23} \end{pmatrix}
$$

In the **absence of VLQ - SM quark couplings**, we have *mu***=0**, *Vub***=0** and *J***=0**.

We obtain **|***Vub***|<|***Vtd***|=|***Vct***||***Vus***|** which is a crude approximation of what we observe in the SM.

SM Mixing and CPV from a VLQ model

In general, the mixing is described by

$$
\mathcal{V}^{\dagger} \approx\n\begin{pmatrix}\nc_{12} & s_{12} & \frac{m_{14}^u m_{43}^u e^{-i\delta}}{m_{1}m_{T}} & \frac{m_{14}^u}{m_{T}} \\
-s_{12} & c_{12} & \frac{m_{23}^u}{m_{1}} & \frac{m_{24}^u}{m_{T}}e^{-i\beta} \\
-c_{12} \frac{m_{14}^u m_{43}^u}{m_{1}m_{T}} e^{i\delta} + s_{12} \frac{m_{23}^u}{m_{1}} & -c_{12} \frac{m_{23}^u}{m_{1}} & 1 & -\frac{m_{t} m_{43}^u}{m_{T}^2} e^{i\delta} \\
-c_{12} \frac{m_{14}^u}{m_{T}} & -c_{12} \frac{m_{23}^u}{m_{T}} e^{i\beta} - s_{12} \frac{m_{14}^u}{m_{T}} & \frac{m_{t} m_{43}}{m_{T}^2} e^{-i\delta} & 1\n\end{pmatrix}\n\qquad\n\begin{pmatrix}\n\mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} \\
\mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} \\
\mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} \\
\mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} \\
\mathbf{S}^{\dagger} & \mathbf{S}^{\dagger} & \mathbf{S}^{\d
$$

and the 3x3 effective mixing reduces to the SM form

$$
V_{\text{CKM}}^{\text{eff}} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix}_{\text{up}} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{12} \end{pmatrix}_{\text{NP}} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{13} & 0 \\ 0 & 0 & 1 \end{pmatrix}_{\text{down}}
$$

CPV $J \approx \lambda \cdot \frac{m^u_{23} m^u_{43}}{m_t^2} \cdot \frac{m^u_{14}}{m_T} \sin \delta \ll 1$

CAA

A **non-zero m^u ¹⁴** coupling, crucial to **address the CAA,** allows for a **non-zero** *mu , Vub* **and** *J.*

The **large VLQ mass** is responsible for the *s12*, *s23* **>>** *s¹³* **hierarchy** and the **CPV suppression** in the SM quark sector *J***<<1**.

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Enhancing CP Violation with VLQs

- We study this **Albergaria et al. [2210.14248]**.
- **Weak Basis Invariants** remain **unchanged under weak-basis transformations** (WBTs) \blacksquare which leave EW currents flavor-diagonal. **CP-odd WBIs point to new sources of CPV**.
- WBTs with one up-type VLQ iso-singlet:

$$
Q'_L \to W_L Q'_L, \quad T'_L \to e^{i\varphi} T'_L, \quad d'_R \to W_R^d d'_R, \quad \begin{pmatrix} u'_R \\ T'_R \end{pmatrix} \to W_R^u \begin{pmatrix} u'_R \\ T'_R \end{pmatrix}
$$

3x3 unitary
3x3 unitary
4x4 unitary

Hermitian "building blocks" (all transforming as $H \to W_L^\dagger H W_L$) \blacksquare

$$
h_d^n = (m_d m_d^{\dagger})^n
$$
\n
$$
h_u^n = (m_u m_u^{\dagger})^n
$$
\n
$$
h_u^{(n)} = m_u (m_u^{\dagger} m_u + M_u^{\dagger} M_u)^{n-1} m_u^{\dagger}
$$

built from the up-sector mass matrix: $\mathcal{M}_u = \begin{pmatrix} m_u \ M_u \end{pmatrix}$

 \bullet More **CP violating phases imply more independent CP-odd WBI**. The WBI of lowest mass dimension is:

$$
\text{tr}\left(\left[h_u, h_d\right] h_u^{(2)}\right) = 2i \sum_{i=1}^3 \sum_{\alpha,\beta=1}^4 m_{d_i}^2 m_{u_\alpha}^4 m_{u_\beta}^2 \text{Im}\left(F_{\alpha\beta}^u V_{\alpha i}^* V_{\beta i}\right) \sim M^8
$$

Enhancing CP Violation with VLQs

CP violation should depend on dimensionless quantities such as \bullet

$$
I_{\rm SM} = \text{tr}\left[y_u y_u^{\dagger}, y_d y_d^{\dagger}\right]^3 = \frac{\text{tr}\left[\bar{h}_u, \bar{h}_d\right]^3}{v^{12}} \sim 10^{-25} \qquad I_{\rm VLQ} = \frac{\text{tr}\left(\left[\bar{h}_u, \bar{h}_d\right] h_u^{(2)}\right)}{v^6 m_T^2} \qquad I_{\rm VLQ} = \frac{\text{tr}\left(\left[\bar{h}_u^2, \bar{h}_d\right] h_u^{(2)}\right)}{v^8 m_T^2}
$$

 \bullet These WBIs in VLQ extensions can be significantly larger than the SM one:

$$
I_{\rm VLQ} = \frac{{\rm tr}\left(\left[h_u, h_d \right] h_u^{(2)} \right)}{v^6 m_T^2} \simeq 2.02 \times 10^{-10} \qquad \qquad I_{\rm VLQ}' = \frac{{\rm tr}\left(\left[h_u^2, h_d \right] h_u^{(2)} \right)}{v^8 m_T^2} \simeq 1.16 \times 10^{-10}
$$
\n**Important for Baryogenesis?**

With VLQs we can even obtain CP violation in the **limit of extremely high energies** (extreme chiral limit) where m_u = m_c = m_d = m_s =0 and I_{SM} =0 (also pointed out in del Aguila et al. [hep-ph/9703410]).

tr
$$
([h_u, h_d] h_u^{(2)}) = 2i \frac{m_b^2 m_t^2 m_T^2 (m_T^2 - m_t^2) I_{\text{ECL}}}{I_{\text{ECL}} = c_{23} c_{14}^2 c_{24}^2 c_{34} s_{23} s_{24} s_{34} \sin \delta_{\text{ECL}}}
$$

$$
V_{\text{CKM}} = \begin{pmatrix} c_{14} & 0 & 0 \\ -s_{14}s_{24} & c_{23}c_{24} & s_{23}c_{24} \\ -s_{14}c_{24}s_{34}e^{i\delta_{\text{CL}}} & -s_{23}c_{34} - c_{23}s_{24}s_{34}e^{i\delta_{\text{CL}}} & c_{23}c_{34} - s_{23}s_{24}s_{34}e^{i\delta_{\text{CL}}} \\ -s_{14}c_{24}c_{34}e^{i\delta_{\text{CL}}} & s_{23}s_{34} - c_{23}s_{24}c_{34}e^{i\delta_{\text{CL}}} & -c_{23}s_{34} - s_{23}s_{24}c_{34}e^{i\delta_{\text{CL}}} \end{pmatrix}
$$

Summary/Conclusions

- Extension with VLQs can provide very simple solutions to the CAA.
- \bullet The s₁₄-dominance limit is particularly safe in relation to a large variety of pheno. constraints and is related to an unusual decay pattern for the VLQ connected to the most favorable mass bounds for singlets.
- Within the framework of s_{14} -dominance we show how some of the structure of CKM, hierarchies and CPV suppression may emerge from mixing with VLQs.
- The introduction of VLQs to the theory could enhance CP violation in the quark sector and even achieve CP violation at very high energies. This is also a consequence of CKM non-unitarity.

Thank You!

CAA

B. Belfatto and S. Trifinopoulos [2302.14097]

 $|V_{us}|_C = 0.2277(11)$ \sim 3.7 σ

 $|V_{us}|_A = 0.22308(55)$

Best fit:
$$
\delta_{CKM} \approx 1.7 \times 10^{-3}
$$

 $\delta_{CKM} \equiv 1 - |V_{ud}|^2 - |V_{us}|^2 - |V_{ub}|^2$

Neutral Meson Mixings

$$
\Delta m_N^{\rm NP} \simeq \frac{G_F^2 M_W^2 m_N f_N^2 B_N}{6\pi^2} |2\eta_{cT}^N S_{cT} \lambda_c^N \lambda_T^N + 2\eta_{tT}^N S_{tT} \lambda_t^N \lambda_T^N + \eta_{TT}^N S_T (\lambda_T^N)^2|
$$

$$
\lambda_i^K = V_{id} V_{is}^*
$$

$$
\lambda_i^{B_d} = V_{id} V_{ib}^*
$$

$$
\vert\epsilon_{K}^{\mathrm{NP}}\vert\simeq\frac{G_{F}^{2}M_{W}^{2}m_{K}f_{K}^{2}B_{K}\kappa_{\epsilon}}{12\sqrt{2}\pi^{2}\Delta m_{K}}\left|\mathrm{Im}\left[2\eta_{cT}^{K}S_{cT}\lambda_{c}^{K}\lambda_{T}^{K}+2\eta_{tT}^{K}S_{tT}\lambda_{t}^{K}\lambda_{T}^{K}+\eta_{TT}^{K}S_{T}(\lambda_{T}^{K})^{2}\right]\right|=\frac{G_{F}^{2}M_{W}^{2}m_{K}f_{K}^{2}B_{K}\kappa_{\epsilon}}{12\sqrt{2}\pi^{2}\Delta m_{K}}\mathcal{F}\qquad\qquad\lambda_{i}^{B_{s}}=V_{is}V_{ib}^{*}
$$

•
$$
s_{14} \sim \lambda^2
$$
, $s_{24} = 0$: $\mathcal{F} \approx 2 \eta_{tT}^K S_{tT} s_{12} s_{14}^2 s_{13} s_{23} \sin \delta$

 $s_{14}^{} \sim \lambda^2$, $s_{24}^{} \sim \lambda^4$: $\mathcal{F} \approx 2s_{12} s_{14}^2 \left(\eta_{tT}^K S_{tT} s_{13} s_{23} \sin \delta - \eta_{TT}^K S_{TT} s_{14} s_{24} \sin \delta' \right)$

 $\delta' = \delta_{24} - \delta_{14}$

Kaon Decays

$$
\sum_{\text{Br}(K^+\to\pi^+\overline{\nu}\nu)_{\text{SM}}} \frac{\text{Br}(K^+\to\pi^+\overline{\nu}\nu)}{\text{Br}(K^+\to\pi^+\overline{\nu}\nu)_{\text{SM}}} = \left| \frac{\lambda_c^K X^{\text{NNL}}(x_c) + \lambda_t^K X(x_t) + \lambda_T^K X(x_T) + A_{ds}}{\lambda_c^K X^{\text{NNL}}(x_c) + \lambda_t^K X(x_t)} \right|^2
$$

$$
A_{ds} = \sum_{i,j=c,t,T} V_{is}^* (F^u - I)_{ij} V_{jd} N(x_i, x_j) \simeq -\frac{x_T}{8} c_{14}^2 c_{24}^2 \lambda_T^K
$$

$$
N(x_i, x_j) = \frac{x_i x_j}{8} \left(\frac{\log x_i - \log x_j}{x_i - x_j} \right)
$$

$$
N(x_i, x_i) \equiv \lim_{x_j \to x_i} N(x_i, x_j) = \frac{x_i}{8}
$$

$$
\bullet \quad \left(\frac{\epsilon'}{\epsilon}\right)^{\mathrm{NP}} \simeq \tilde{F}(x_i) \mathrm{Im}(\lambda_T^K) \simeq -\tilde{F}(x_i) c_{12}^2 s_{14} s_{24} \sin \delta'
$$

$$
\tilde{F}(x_T) \equiv F(x_T) - \frac{x_T}{8} (P_X + P_Y + P_Z)
$$

 $F(x_i) = P_0 + P_X X(x_i) + P_Y Y(x_i) + P_Z Z(x_i) + P_E E(x_i)$

Phenomenology: 14**- dominance Fit**

SM Mixing and CPV from a VLQ model

$$
\mathcal{M}_u = \begin{pmatrix}\n0 & 0 & 0 & m_{14}^u \\
0 & m_{22}^u & m_{23}^u & m_{24}^u e^{i\beta} \\
0 & m_{32}^u e^{i\alpha} & m_{33}^u & 0 \\
m_{41}^u & 0 & -m_{43}^u e^{i\delta} & M\n\end{pmatrix}
$$
\n
$$
M_d = \begin{pmatrix}\nm_{11}^d & m_{12}^d & 0 \\
m_{21}^d & m_{22}^d & 0 \\
0 & 0 & m_{33}^d\n\end{pmatrix}
$$
\n
$$
\phi \longrightarrow \phi, \qquad \phi_2 \longrightarrow i\phi_2, \qquad S \longrightarrow -iS,
$$
\n
$$
\overline{Q}_{L1} \longrightarrow -\overline{Q}_{L1}, \qquad \overline{Q}_{L2} \longrightarrow i\overline{Q}_{L2}, \qquad \overline{Q}_{L3} \longrightarrow \overline{Q}_{L3},
$$
\n
$$
U_{R,L} \longrightarrow iU_{R,L} \quad d_{R1} \longrightarrow -d_{R1}, \quad d_{R2} \longrightarrow -d_{R2},
$$

