

# FLAVOR (MODELS) IN FINITE UNIFIED THEORIES

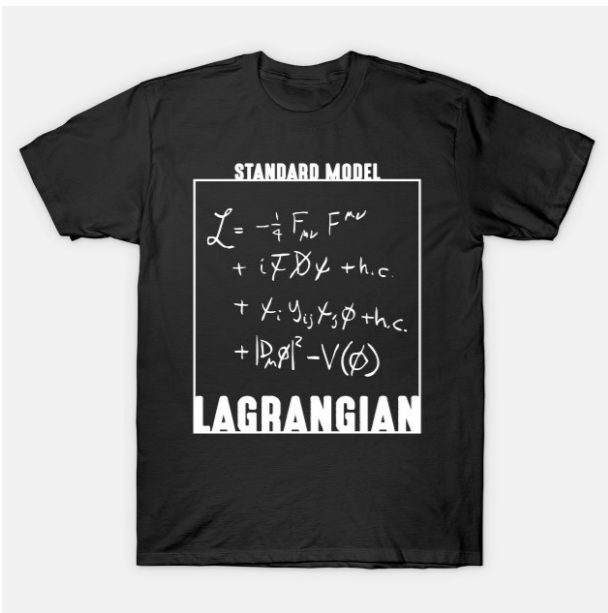
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# WHAT PART OF

$$\begin{aligned}
 & -\frac{1}{2}\partial_\nu g_\mu^a \partial_\nu g_\mu^a - g_s f^{abc} \partial_\mu g_\nu^b g_\mu^c g_\nu^c - \frac{1}{4}g_s^2 f^{abc} f^{ade} g_\mu^b g_\nu^c g_\mu^d g_\nu^e + \frac{1}{2}ig_s^2 (\bar{q}_i \gamma^\mu q^i) g_\mu \\
 & \bar{G}^a \partial^2 G^a + g_s f^{abc} \partial_\mu G^a G^b g_\mu^c - \partial_\nu W_\mu^+ \partial_\nu W_\mu^- - M^2 W_\mu^+ W_\mu^- - \frac{1}{2}\partial_\nu Z_\mu^0 \partial_\nu Z_\mu^0 - \frac{1}{2c_w^2} M^2 Z_\mu^0 Z_\mu^0 - \\
 & \frac{1}{2}\partial_\mu A_\nu \partial_\mu A_\nu - \frac{1}{2}\partial_\mu H \partial_\mu H - \frac{1}{2}m_H^2 H^2 - \partial_\mu \phi^+ \partial_\mu \phi^- - M^2 \phi^+ \phi^- - \frac{1}{2}\partial_\mu \phi^0 \partial_\mu \phi^0 - \\
 & \frac{1}{2c_w^2} M \phi^0 \phi^0 - \beta_h \left[ \frac{2M^2}{g^2} + \frac{2M}{g} H + \frac{1}{2}(H^2 + \phi^0 \phi^0 + 2\phi^+ \phi^-) \right] + \frac{2M}{g^2} \alpha_h - ig_{c_w} [\partial_\nu Z_\mu^0 (W_\mu^+ W_\nu^- - \\
 & W_\nu^+ W_\mu^-) - Z_\nu^0 (W_\mu^+ \partial_\nu W_\mu^- - W_\mu^- \partial_\nu W_\mu^+) + Z_\nu^0 (W_\nu^+ \partial_\mu W_\mu^- - W_\mu^- \partial_\nu W_\mu^+)] - ig_{s_w} \partial_\nu A_\mu (W_\mu^+ W_\nu^- - \\
 & W_\nu^+ W_\mu^-) - A_\nu (W_\mu^+ \partial_\nu W_\mu^- - W_\mu^- \partial_\nu W_\mu^+) + A_\mu (W_\nu^+ \partial_\nu W_\mu^- - W_\nu^- \partial_\nu W_\mu^+) - \frac{1}{2}g^2 W_\mu^+ W_\mu^- W_\nu^+ W_\nu^- + \\
 & \frac{1}{2}g^2 W_\mu^+ W_\nu^- W_\mu^+ W_\nu^- + g^2 c_w^2 (Z_\mu^0 W_\mu^+ Z_\mu^0 W_\nu^- - Z_\mu^0 Z_\mu^0 W_\nu^+ W_\nu^-) + g^2 s_w^2 (A_\mu W_\mu^+ A_\nu W_\nu^- - \\
 & A_\mu A_\nu W_\mu^+ W_\nu^-) + g^2 s_w c_w A_\mu Z_\nu^0 (W_\mu^+ W_\nu^- - W_\nu^- W_\mu^-) - 2A_\mu Z_\mu^0 W_\nu^+ W_\nu^- - g\alpha [H^3 + \\
 & H\phi^0 \phi^0 + 2H\phi^+ \phi^-] - \frac{1}{2}g^2 \alpha_h H^4 + (\phi^0)^4 + 4(\phi^+ \phi^-)^2 + 4(\phi^0)^2 \phi^+ \phi^- + 4H^2 \phi^+ \phi^- + \\
 & 2(\phi^0)^2 H^2] - g M W_\mu^+ W_\mu^- H - \frac{1}{2}g \frac{M}{c_w} Z_\mu^0 Z_\mu^0 H - \frac{1}{2}ig [W_\mu^+ (\phi^0 \partial_\mu \phi^- - \phi^- \partial_\mu \phi^0) - W_\mu^- (\phi^0 \partial_\mu \phi^+ - \\
 & \phi^+ \partial_\mu \phi^0)] + \frac{1}{2}g [W_\mu^+ (H \partial_\mu \phi^- - \phi^- \partial_\mu H) - W_\mu^- (H \partial_\mu \phi^+ - \phi^+ \partial_\mu H)] + \frac{1}{2}g \frac{1}{c_w} (Z_\mu^0 (H \partial_\mu \phi^0 - \\
 & \phi^0 \partial_\mu H) + ig \frac{M}{c_w} Z_\mu^0 (W_\mu^+ \phi^- - W_\mu^- \phi^+) + ig_{s_w} M A_\mu (W_\mu^+ \phi^- - W_\mu^- \phi^+) - ig \frac{1-2c_w^2}{2c_w} Z_\mu^0 (\phi^+ \partial_\mu \phi^- - \\
 & \phi^- \partial_\mu \phi^+) + ig_{s_w} A_\mu (\phi^+ \partial_\mu \phi^- - \phi^- \partial_\mu \phi^+) - \frac{1}{2}g^2 W_\mu^+ W_\mu^- H^2 + (\phi^0)^2 + 2\phi^+ \phi^-] - \\
 & \frac{1}{2}g^2 \frac{1}{c_w} Z_\mu^0 Z_\mu^0 [H^2 + (\phi^0)^2 + 2(2s_w^2 - 1)\phi^+ \phi^-] - \frac{1}{2}g^2 \frac{s_w^2}{c_w} Z_\mu^0 \phi^0 (W_\mu^+ \phi^- + W_\mu^- \phi^+) - \\
 & \frac{1}{2}ig^2 \frac{s_w}{c_w} Z_\mu^0 H (W_\mu^+ \phi^- - W_\mu^- \phi^+) + \frac{1}{2}g^2 s_w A_\mu \phi^0 (W_\mu^+ \phi^- + W_\mu^- \phi^+) + \frac{1}{2}ig^2 s_w A_\mu H (W_\mu^+ \phi^- \\
 & W_\mu^- \phi^+) - g^2 \frac{s_w^2}{c_w} (2c_w^2 - 1) Z_\mu^0 A_\mu \phi^+ \phi^- - g^1 s_w^2 A_\mu A_\mu \phi^+ \phi^- - \bar{e}^\lambda (\gamma^\theta + m_e^\lambda) e^\lambda - \\
 & \bar{\nu}^\lambda \gamma^\theta \nu^\lambda - \bar{u}_j^\lambda (\gamma^\theta + m_u^\lambda) u_j^\lambda - d_j^\lambda (\gamma^\theta + m_d^\lambda) d_j^\lambda + ig_{s_w} A_\mu [-(e^\lambda \gamma^\mu e^\lambda) + \frac{2}{3}(\bar{u}_j^\lambda \gamma^\mu u_j^\lambda) - \\
 & \frac{1}{3}(\bar{d}_j^\lambda \gamma^\mu d_j^\lambda)] + \frac{ig}{4c_w} Z_\mu^0 [(\bar{\nu}^\lambda \gamma^\mu (1 + \gamma^5) \nu^\lambda) + (e^\lambda \gamma^\mu (4s_w^2 - 1 - \gamma^5) e^\lambda) - (\bar{u}_j^\lambda \gamma^\mu (\frac{4}{3}s_w^2 - \\
 & 1 - \gamma^5) u_j^\lambda) + (\bar{d}_j^\lambda \gamma^\mu (1 - \frac{8}{3}s_w^2 - \gamma^5) d_j^\lambda)] + \frac{ig}{2\sqrt{2}} W_\mu^+ [(\nu^\lambda \gamma^\mu (1 + \gamma^5) e^\lambda) - (u_j^\lambda \gamma^\mu (1 + \\
 & \gamma^5) C_{\lambda k} d_k^\lambda)] + \frac{ig}{2\sqrt{2}} W_\mu^- [(e^\lambda \gamma^\mu (1 + \gamma^5) \nu^\lambda) + (\bar{d}_j^\lambda C_{\lambda k} \gamma^\mu (1 + \gamma^5) u_j^\lambda)] + \frac{ig}{2\sqrt{2}} \frac{m_\tau}{M} [-\phi^+ (\bar{\nu}^\lambda (1 - \\
 & \gamma^5) e^\lambda) + \phi^- (e^\lambda (1 + \gamma^5) \nu^\lambda)] - \frac{g}{2} \frac{m_\tau^2}{M} [H (\bar{e}^\lambda e^\lambda) + i\phi^0 (\bar{e}^\lambda \gamma^5 e^\lambda)] + \frac{ig}{2M\sqrt{2}} \phi^+ [-m_\tau^2 (\bar{u}_j^\lambda C_{\lambda k} (1 - \\
 & \gamma^5) d_k^\lambda) + m_\tau^2 (\bar{u}_j^\lambda C_{\lambda k} (1 + \gamma^5) d_k^\lambda)] + \frac{ig}{2M\sqrt{2}} \phi^- [m_\tau^2 (\bar{d}_j^\lambda C_{\lambda k} (1 + \gamma^5) u_j^\lambda) - m_\tau^2 (\bar{d}_j^\lambda C_{\lambda k} (1 - \\
 & \gamma^5) u_j^\lambda)] - \frac{g}{2} \frac{m_\tau^2}{M} H (u_j^\lambda u_j^\lambda) - \frac{g}{2} \frac{m_\tau^2}{M} H (d_j^\lambda d_j^\lambda) + \frac{ig}{2} \frac{m_\tau^2}{M} \phi^0 (\bar{u}_j^\lambda \gamma^5 u_j^\lambda) - \frac{ig}{2} \frac{m_\tau^2}{M} \phi^0 (\bar{d}_j^\lambda \gamma^5 d_j^\lambda) + \\
 & X^+ (\partial^2 - M^2) X^+ + X^- (\partial^2 - M^2) X^- + X^0 (\partial^2 - \frac{M^2}{c_w^2}) X^0 + Y \partial^2 Y + ig_{c_w} W_\mu^+ (\partial_\mu X^0 X^- - \\
 & \partial_\nu X^+ X^0) + ig_{s_w} W_\mu^+ (\partial_\mu \bar{Y} X^- - \partial_\mu X^+ \bar{Y}) + ig_{c_w} W_\mu^- (\partial_\mu X^- X^0 - \partial_\mu \bar{X}^0 X^+) + \\
 & ig_{s_w} W_\mu^- (\partial_\mu X^- Y - \partial_\mu Y X^+) + ig_{c_w} Z_\mu^0 (\partial_\mu X^+ X^+ - \partial_\mu X^- X^-) + ig_{s_w} A_\mu (\partial_\mu X^+ X^+ - \\
 & \partial_\mu \bar{X}^- X^-) - \frac{1}{2}g M [\bar{X}^+ X^+ H + \bar{X}^- X^- H + \frac{1}{c_w} \bar{X}^0 X^0 H] + \frac{1-2c_w^2}{2c_w} ig M [\bar{X}^+ X^0 \phi^+ - \\
 & X^- X^0 \phi^-] + \frac{1}{2c_w} ig M [X^0 X^- \phi^+ - X^0 X^+ \phi^-] + ig M s_w [X^0 X^- \phi^+ - X^0 X^+ \phi^-] + \\
 & \frac{1}{2}ig M \bar{X}^+ X^+ \phi^0 - X^- X^- \phi^0]
 \end{aligned}$$

# DO YOU NOT UNDERSTAND?

+  $\Lambda$ CDM...

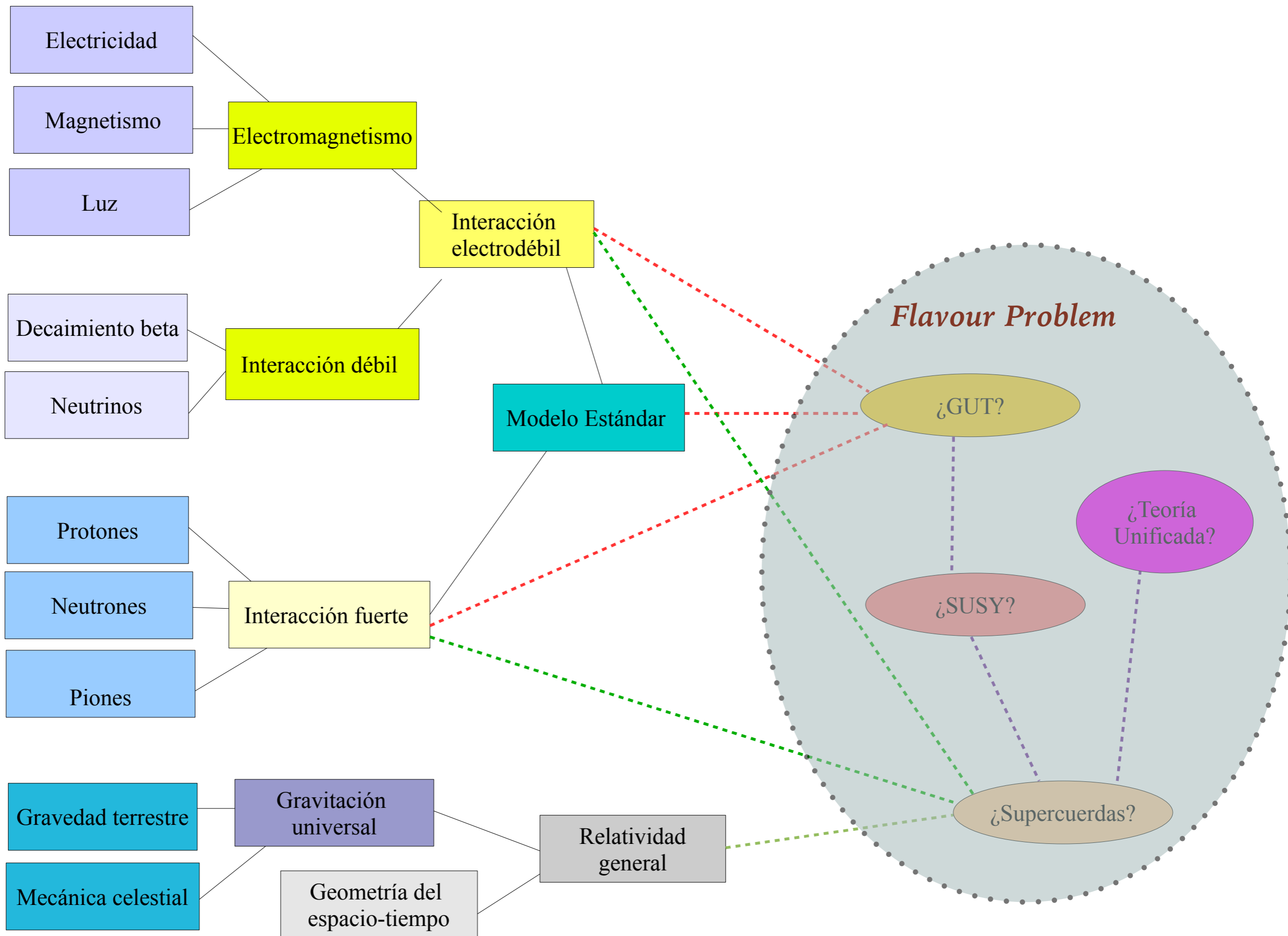


# WHAT'S GOING ON?

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- What happens as we approach the Planck scale?
- What happened at the early Universe?
- How do we go from an effective theory like the SM to a more fundamental one?
- How are the gauge, Yukawa and Higgs sectors related at a more fundamental level?
- Why/how are the elementary particle masses so different?
- Is there more than one Higgs, more scalars?
- What about flavor?
  - **Where is the new physics?**





# FLAVOUR

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## ➤ Interactions that distinguish between different flavours

- why 3 generations?
- why those masses?
- why the gap between neutral and charged fermions
- why the difference between mixing matrices?
- why that amount of CP violation?
- ...

- *Fermion masses*
- *Mixing*
- *CP violation*

### Connections to new/unknown physics

- *Dark matter*
- *Baryogenesis*
- *Leptogenesis*
- *EW phase transition*
- *??*

### Lead to discoveries

- $\Gamma(K_L \rightarrow \mu^+ \mu^-) / \Gamma(K^+ \rightarrow \mu^+ \nu)$  → *charm quark*
- $\Delta m_K$  → *charm mass*
- $\Delta m_B$  → *top mass*
- $\epsilon_K$  → *third generation*
- $\nu$  oscillation →  *$\nu$  mass*

# SOME ASPECTS OF THE FLAVOUR PROBLEM

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- ▶ Quark and charged lepton masses very different, very hierarchical

$$m_u : m_c : m_t \sim 10^{-6} : 10^{-3} : 1$$

$$m_d : m_s : m_b \sim 10^{-4} : 10^{-2} : 1$$

$$m_e : m_\mu : m_\tau \sim 10^{-5} : 10^{-2} : 1$$

- ▶ Neutrino masses unknown, only difference of squared masses.
- ▶ Type of hierarchy (normal or inverted) also unknown
- ▶ Higgs sector under study

- ▶ Quark mixing angles

$$\theta_{12} \approx 13.0^\circ$$

$$\theta_{23} \approx 2.4^\circ$$

$$\theta_{13} \approx 0.2^\circ$$

- ▶ Neutrino mixing angles

$$\Theta_{12} \approx 33.8^\circ$$

$$\Theta_{23} \approx 48.6^\circ$$

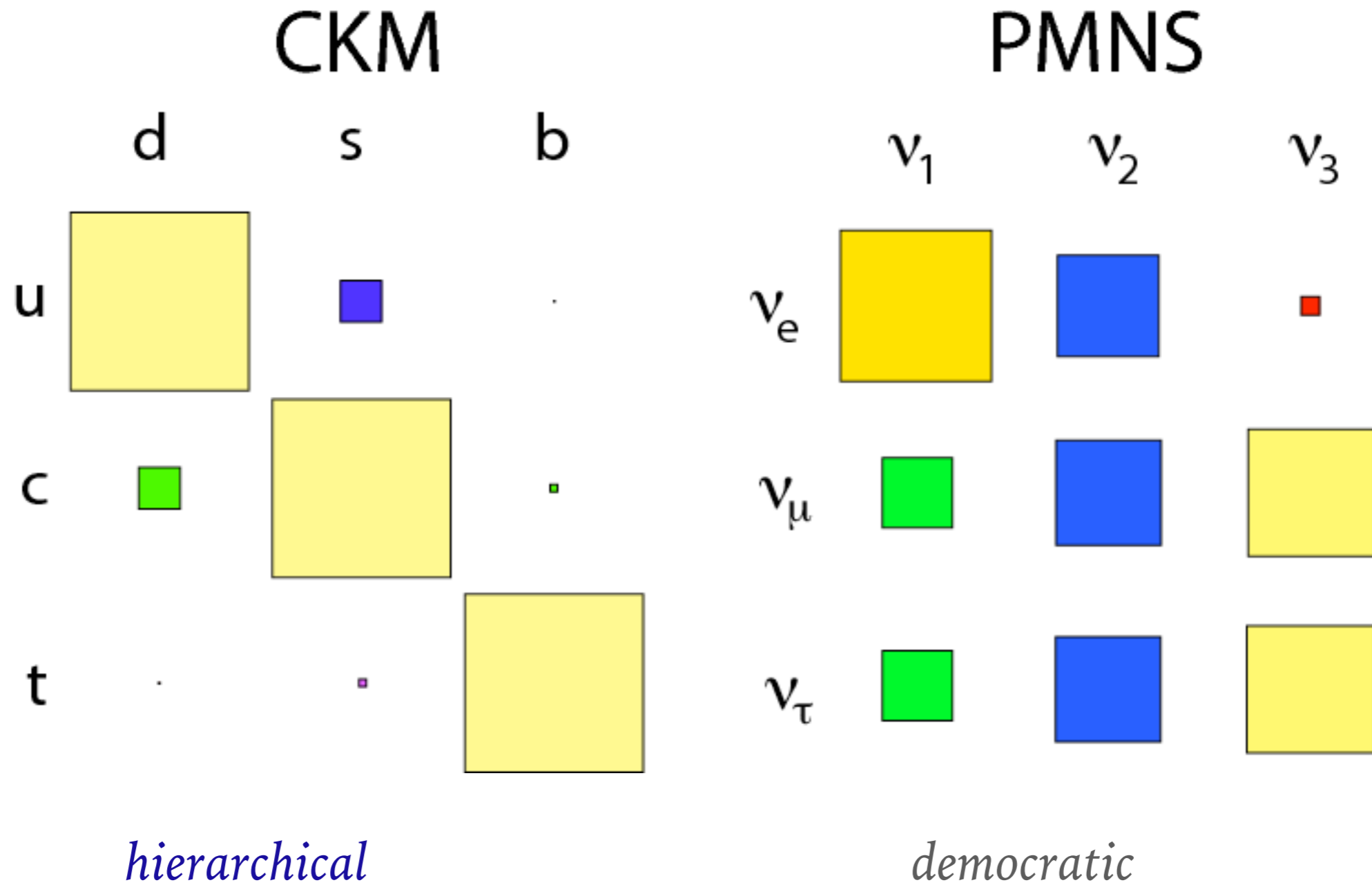
$$\Theta_{13} \approx 8.6^\circ$$

- ▶ Small mixing in quarks, large mixing in neutrinos.  
Very different
- ▶ Is there an underlying symmetry?



# PMNS VS CKM

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# QUARKS, CHARGED LEPTONS AND HIGGS INTERRELATED

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- Yukawa couplings: several orders of magnitude of difference, strong hierarchy

$$\mathcal{L}_Y^{\text{ME}} = Y_{ij}^d \overline{Q_{Li}} \phi D_{Rj} + Y_{ij}^u \overline{Q_{Li}} \tilde{\phi} U_{Rj} + Y_{ij}^e \overline{L_{Li}} \phi E_{Rj} + \text{h.c.}$$

Also neutrinos, but they could acquire mass other ways.

- Higgs sector:

$$\mathcal{L}_\phi^{\text{ME}} = -\mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2 \quad v^2 = -\frac{\mu^2}{\lambda}$$

- hierarchy problem (quadratic radiative corrections)
- limits to perturbative unitarity
- Why  $M_{\text{Higgs}} \sim 125 \text{ GeV}$ ?



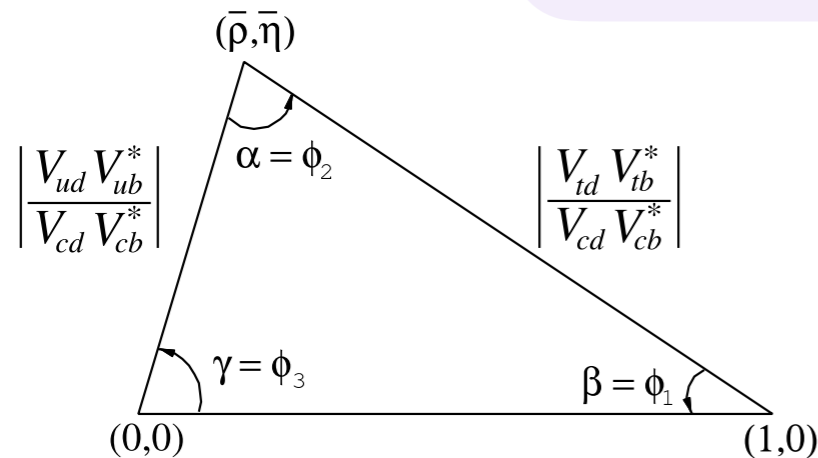
$$V_{CKM} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

*V<sub>CKM</sub> very well determined*

PDG 2023

$$|V_{CKM}| = \begin{pmatrix} 0.97435 \pm 0.00016 & 0.22500 \pm 0.00067 & 0.00369 \pm 0.00011 \\ 0.22486 \pm 0.00067 & 0.97349 \pm 0.00016 & 0.04182^{+0.00085}_{-0.00074} \\ 0.00857^{+0.00020}_{-0.00018} & 0.04110^{+0.00083}_{-0.00072} & 0.999118^{+0.000031}_{-0.000036} \end{pmatrix}$$



$$J = (3.08^{+0.15}_{-0.13}) \times 10^{-5}$$

$$\sin \theta_{12} = 0.22500 \pm 0.00067,$$

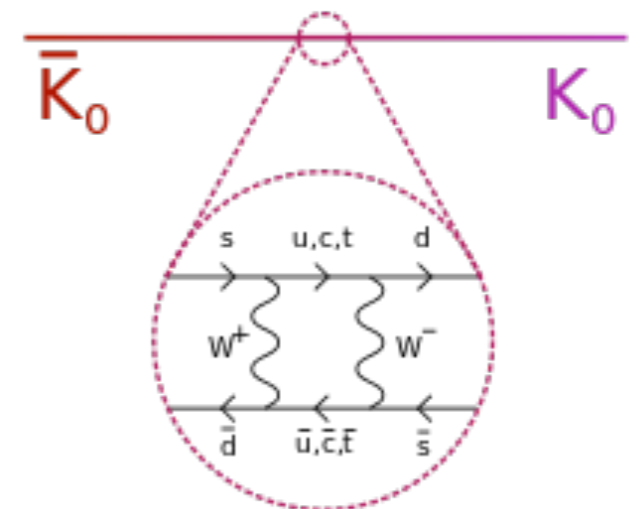
$$\sin \theta_{13} = 0.00369 \pm 0.00011,$$

$$\sin \theta_{23} = 0.04182^{+0.00085}_{-0.00074},$$

$$\delta = 1.144 \pm 0.027.$$

*K, B, B<sub>s</sub>, D processes can be used to study new physics*

**FCNCs very sensitive to BSM**



# PMNS MATRIX PONTECORVO-MAKI-NAKAGAWA-SAKATA

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$$U = \begin{array}{c} \left| \begin{array}{ccc} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{array} \right| \left| \begin{array}{ccc} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{-i\delta} & 0 & c_{13} \end{array} \right| \left| \begin{array}{ccc} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{array} \right| \\ \begin{array}{ccc} \uparrow & & \uparrow \\ \text{atmospheric} & & \text{reactor} & & \text{solar} \end{array} \end{array}$$

- Neutrinos also mix → neutrino oscillations
- Dirac or Majorana
- Three mixing angles and a phase: atmospheric  $\theta_{23}$ , solar  $\theta_{12}$  and reactor  $\theta_{13}$ . Possible also Majorana phases
- Only determined squared mass differences

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

# FERMION AND SCALAR SECTORS

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- ▶ Free parameters in quarks:  
6 masses  $\rightarrow$  Yukawa couplings  
3 mixing angles  
CP violating phase
- ▶ Unitarity  $\rightarrow$  Jarlskog invariants

- ▶ Free parameters in neutrinos:  
6 masses  
3 mixing angles  
CP violating phase  
2 Majorana phases
- ▶ Unitarity?  $\rightarrow$  Also Jarlskog invariants

*Plus Higgs vev and self coupling*

# FLAVOUR SYMMETRIES

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- Flavour symmetries: continuous or discrete?

discrete  
could lead to domain walls

continuous  
breaking may give massless  
Goldstone bosons

- At low energies now discrete preferred. Could be:
  - Residual symmetry from breaking from continuous one
  - From the breaking of a larger discrete group
  - Discrete from the “beginning”

# All the particles we have discovered so far...

			Quarks
			Leptons
			Anti-Quarks
			Anti-Leptons
			Bosons

E. Siegel / Beyond the Galaxy, World Scientific

Only one Higgs boson...

# MULTI-HIGGS MODELS AND FLAVOUR SYMMETRIES

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- 2HDM widely studied, several studies on 3HDM (Branco et al,; King et al, *JHEP* 01 (2014) 052 al, 2014)
- Extra Higgs doublets and discrete symmetries → continuous symmetries
- After minimization of the potential there might be residual symmetries → unphysical quark sector, degenerate masses/zero masses/zeros in  $V_{CKM}$ , e.g.  $S_3$ ,  $S_4$ ,  $A_4$ ,  $\Delta(54)$  all have residual symmetries in 3HDM
- $Z_N$  Abelian symmetries very popular, easier to implement

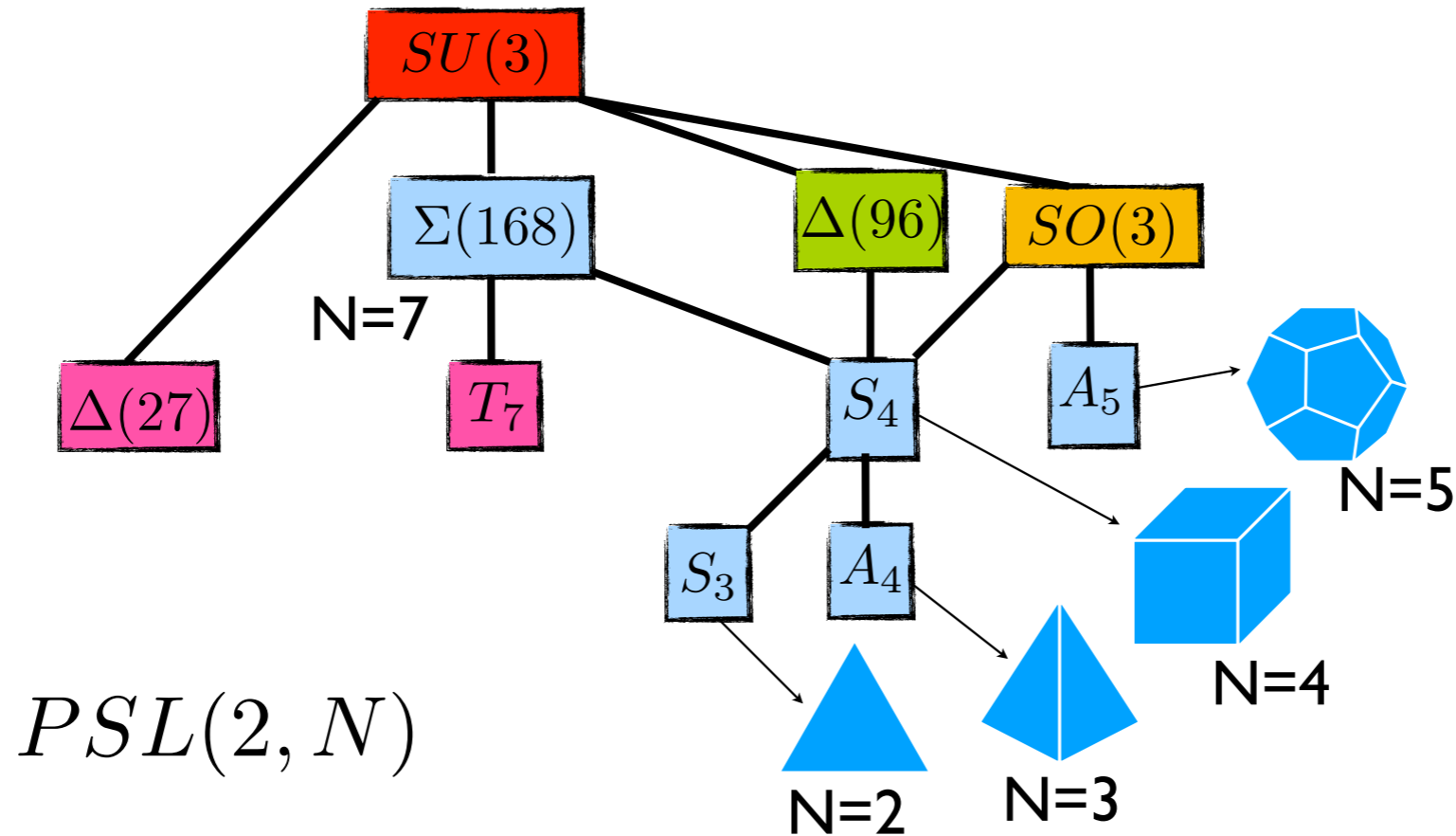
Complicated potential, many new parameters, many “exotic” scalars



• H. Ishimori, T. Kobayashi, H. Ohki, Y. Shimizu, H. Okada, and M. Tanimoto, [1003.3552](#)

• S. F. K., A. Merle, S. Morisi, Y. Shimizu, and M. Tanimoto, [1402.4271](#)

# Non-Abelian Family Symmetry



from Steve King's talk at Modular Invariance Approach to the lepton and quark flavor problem, Mainz, May 2024

## Cyclic Symmetries

$Z_1$	$Z_2$	$Z_3$	$Z_4$	$Z_5$	$Z_6 = Z_3 \times Z_2$	$Z_7$	$Z_8$

Wikipedia, from Juan Camilo's Acosta poster, 9th COMHEP

# MASS MATRICES TEXTURES — TEXTURE ZEROES

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- Zeroes in the mass matrices —> less parameters, underlying symmetries: Fritzsch

*This version excluded already*

$$M_q = \begin{pmatrix} 0 & C_q & 0 \\ C_q^* & 0 & B_q \\ 0 & B_q^* & A_q \end{pmatrix}$$

hierarchical  $A \gg |B| \gg |C|$

- In SM and extensions (no FC right-handed currents) is always possible to simultaneously the  $M_u$  and  $M_d$  to Hermitian or NNI textures

- NNI

$$M'_q = \begin{pmatrix} 0 & C_q & 0 \\ C'_q & 0 & B_q \\ 0 & B'_q & A_q \end{pmatrix}$$

$$B' \neq B, C' \neq C$$

- What works? up and down sector same structure, coming from same dynamics



# ALLOWED TEXTURES

Table 14: The five phenomenologically viable five-zero textures of Hermitian quark mass matrices.

	I	II	III	IV	V
$M_u =$	$\begin{pmatrix} 0 & C_u & 0 \\ C_u^* & B'_u & 0 \\ 0 & 0 & A_u \end{pmatrix}$	$\begin{pmatrix} 0 & C_u & 0 \\ C_u^* & 0 & B_u \\ 0 & B_u^* & A_u \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & D_u \\ 0 & B'_u & 0 \\ D_u^* & 0 & A_u \end{pmatrix}$	$\begin{pmatrix} 0 & C_u & 0 \\ C_u^* & B'_u & B_u \\ 0 & B_u^* & A_u \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & D_u \\ 0 & B'_u & B_u \\ D_u^* & B_u^* & A_u \end{pmatrix}$
$M_d =$	$\begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & B_d \\ 0 & B_d^* & A_d \end{pmatrix}$	$\begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & B_d \\ 0 & B_d^* & A_d \end{pmatrix}$	$\begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & B_d \\ 0 & B_d^* & A_d \end{pmatrix}$	$\begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & 0 \\ 0 & 0 & A_d \end{pmatrix}$	$\begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & 0 \\ 0 & 0 & A_d \end{pmatrix}$

Above textures first found by Ramond et al (1993), still work today if not strongly hierarchical.

➤ But so far the best one is:

$$M_q = \begin{pmatrix} 0 & C_q & 0 \\ C_q^* & B'_q & B_q \\ 0 & B_q^* & A_q \end{pmatrix}$$

# TEXTURES AT HIGH ENERGIES

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- Usually express mass matrices as mass ratios → they remain stable below eW scale, but renormalize above it, depending on model
- From high to low energies they get renormalized as,

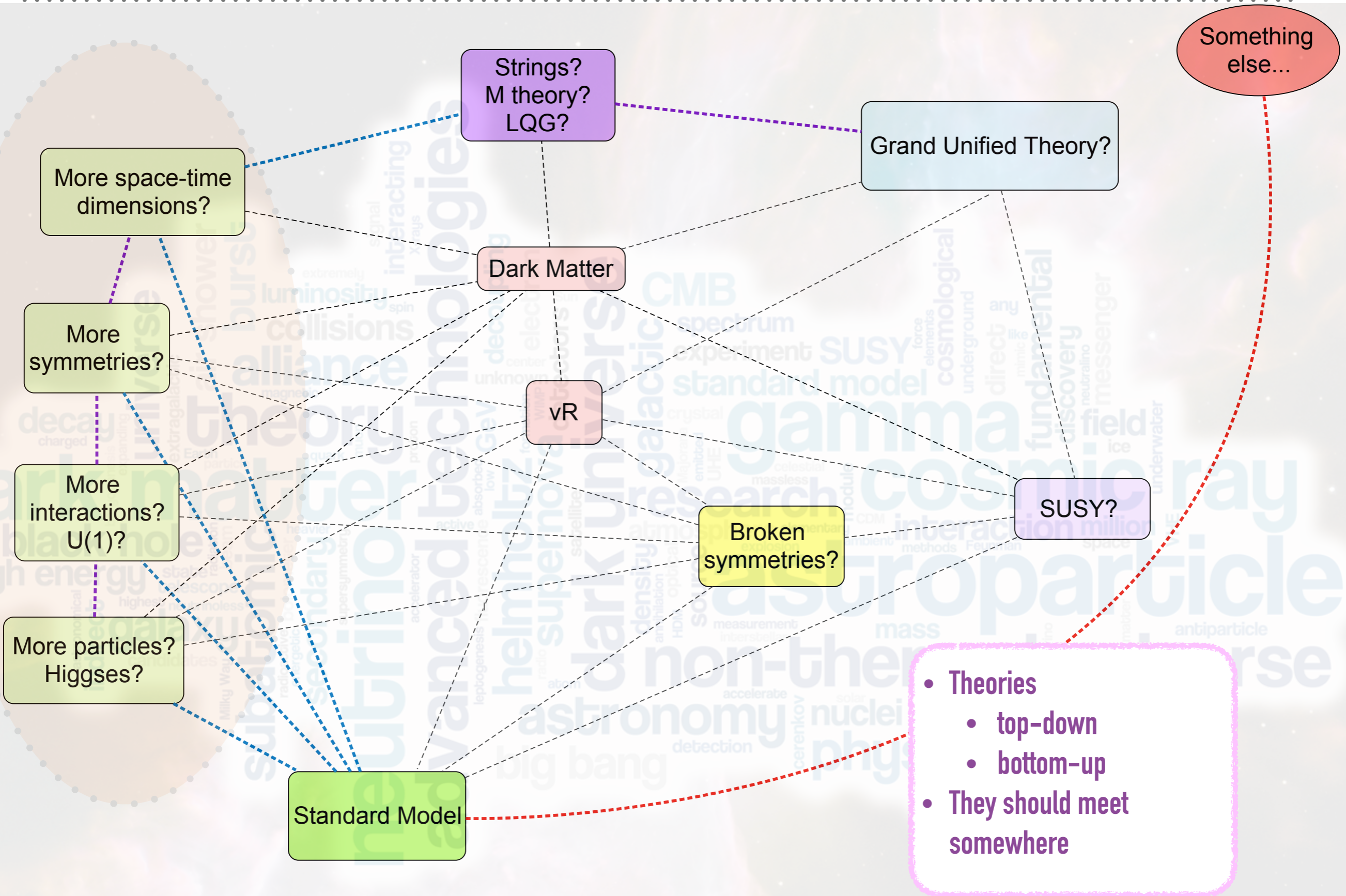
$$M_u(\Lambda_{EW}) \simeq \gamma_u \left[ \begin{pmatrix} 0 & C_u & 0 \\ C_u^* & B'_u & B_u I_t^{C_u} \\ 0 & B_u^* I_t^{C_u} & A_u I_t^{C_u} \end{pmatrix} + \frac{I_t^{C_u} - 1}{A_u} \begin{pmatrix} 0 & 0 & 0 \\ 0 & |B_u|^2 & B_u B'_u \\ 0 & B_u^* B'_u & 0 \end{pmatrix} \right]$$

$$M_d(\Lambda_{EW}) \simeq \gamma_d \left[ \begin{pmatrix} 0 & C_d & 0 \\ C_d^* & B'_d & B_d \\ 0 & B_d^* I_t^{C_d} & A_d I_t^{C_d} \end{pmatrix} + \frac{I_t^{C_d} - 1}{A_u} \begin{pmatrix} 0 & 0 & 0 \\ 0 & B_u B_d^* & A_d B_u \\ 0 & B_u^* B'_d & B_u^* B_d \end{pmatrix} \right]$$

*I's are the one-loop corrections,  $\gamma$  anomalous dimensions, C's coefficients in the running*

- Textures remain, coefficients change, for MSSM there is dependence on soft breaking terms

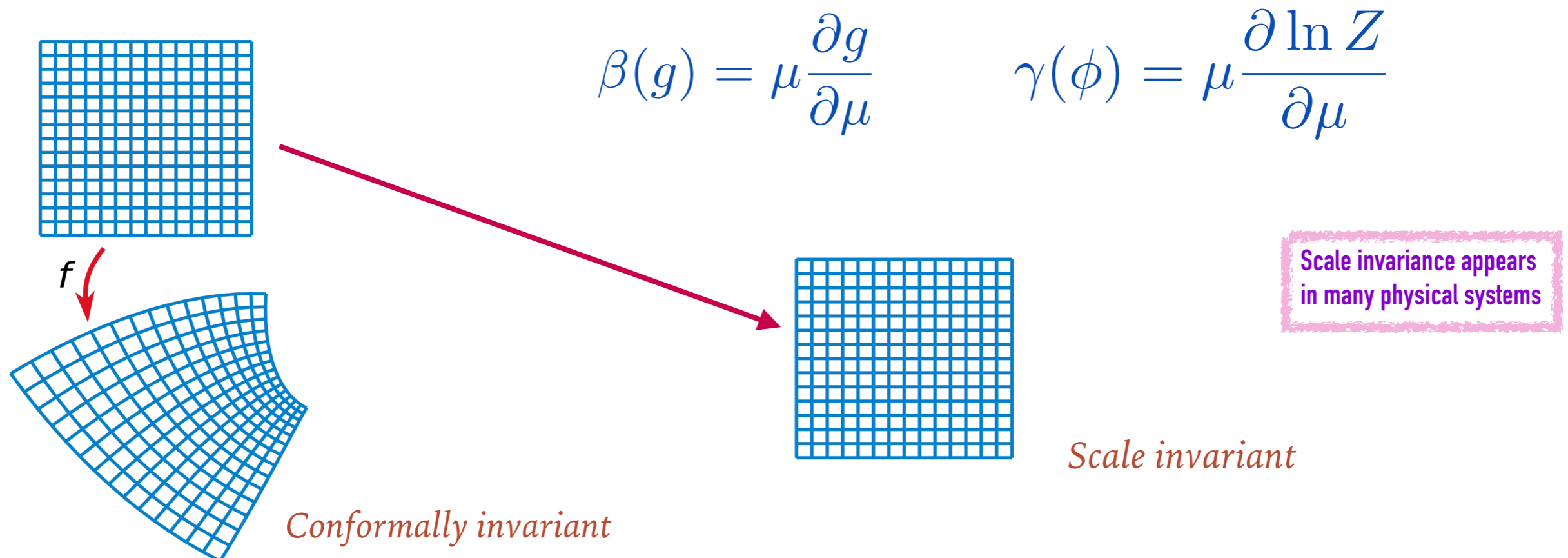
# HOW DO WE GO BEYOND THE SM?



# HOW DO WE MOVE UP (OR DOWN) IN ENERGY?

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- We know how a QFT behaves at different scales through the renormalization group RG
- The theory has the same structure at different energy scales, but the parameters — couplings and masses — change with energy
- Related to scale invariance and conformal invariance



# HOW TO GO BEYOND THE STANDARD MODEL (BSM)?

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- Traditional way  $\Rightarrow$  addition of symmetries

$N=1$  SUSY

- Very effective, but too many free parameters

*Can get messy...*

- Complementary approach

Look for renormalization group invariant relations  
at high energies

GUT  $\Rightarrow$  Planck

- Resulting theory has few free parameters  $\therefore$  very predictive

Relates gauge and Yukawa sector  
Predictions for 3rd generation masses

# RENORMALIZATION GROUP INVARIANTS RGI

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- Search for more fundamental theory  $\Rightarrow$  less parameters

Renormalization Group Invariants (RGI)

$$\Phi(g_1, \dots, g_N) = 0$$

$$\mu d\Phi/d\mu = \sum_{i=1}^N \beta_i \partial\Phi/\partial g_i = 0$$

- Equivalent to solve reduction equations

$$\beta_g (dg_i/dg) = \beta_i$$

$$i = 1, \dots, N$$

- **Reduced theory has only one coupling and its beta function**
- **Reduction  $\rightarrow$  power series solution**
- **Uniqueness of solution can be studied at one-loop**

Zimmermann (1985); Zimmermann, Oehme, Sibold (1984-1985)

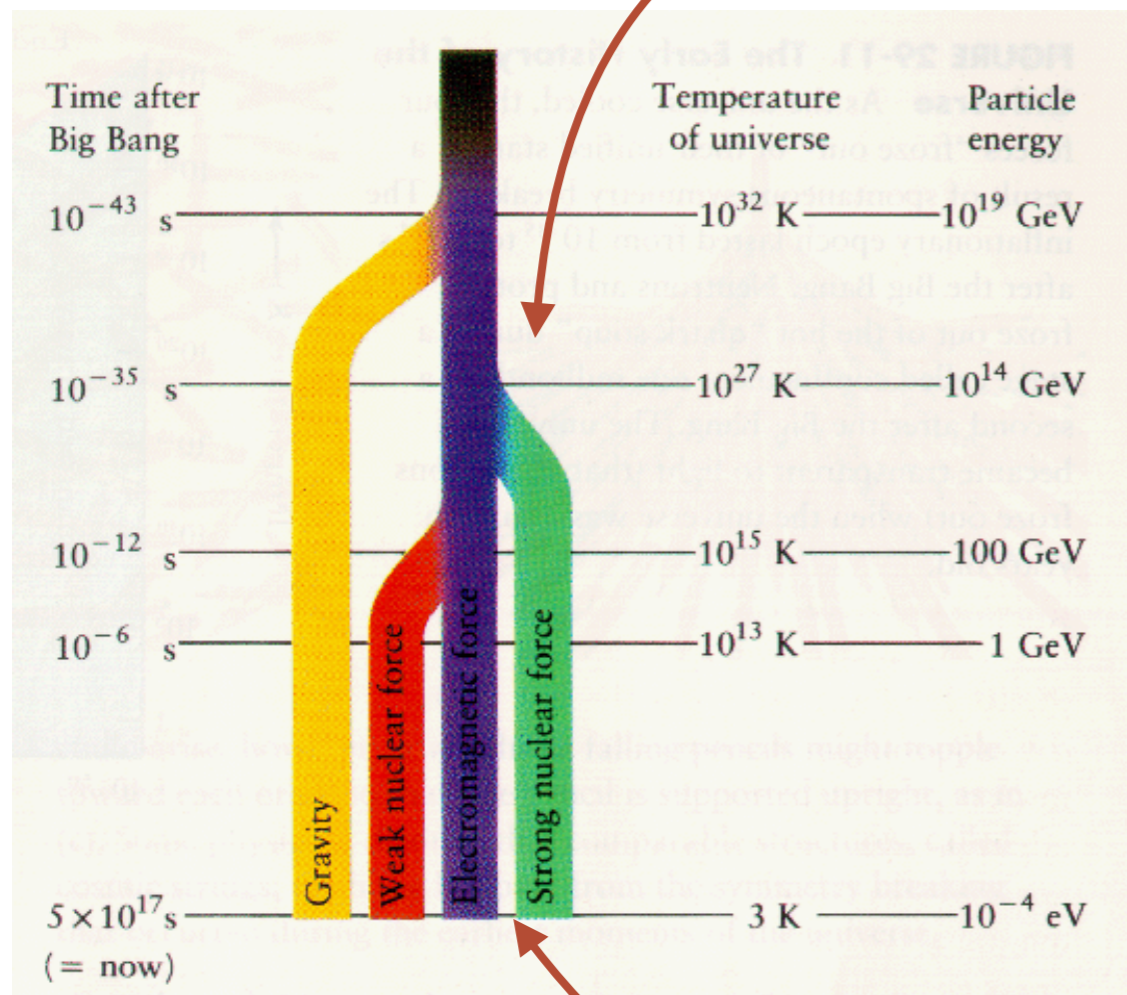
# REDUCTION OF COUPLINGS

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- Couplings related to a primary coupling
  - totally reduced — all couplings depend on one
  - partially reduced — some couplings depend on one
- Can be applied to SUSY and non-SUSY models
- SM analyzed — results now ruled out, still impressive  
Kubo, Sibold, Zimmermann (1984-1987)
- 2HDM analyzed Denner (1990) — now re-analysed:  
possible to have one-loop reduced equations in type II 2HDM at  
a high-scale boundary May Pech, MM, Patellis, Zoupanos (2023)
- Under some conditions SUSY unification models  
**finite =  
absence of  $\infty$  renormalizations**

- Many solutions imply SUSY
- SUSY indispensable for finiteness
- And no... SUSY not excluded experimentally  
but some low energy models are indeed  
excluded

# FINITENESS = SCALE/CONFORMAL INVARIANCE



- All-loop finiteness  $\Rightarrow \beta = 0$   
to all orders in perturbation theory
- Scale/conformal invariance  
Conformal and scale invariant = Yukawa couplings  
Scale invariant = Soft breaking terms  
Do not depend on energy scale  
Based on RGI and reduction of couplings
- Gives UV completion of the QFT
- Reduces greatly the number of free parameters  
 $\Rightarrow$  new symmetries
- Partial reduction  $\Rightarrow$  predictions for 3rd generation masses



# FINITE SU(5) THEORIES — THIRD GENERATION

---

- Prediction for top mass — very clean

$$M_{\text{top}}^{\text{th}} \sim 178 \text{ GeV}$$

1993

Kapetanakis, M.M., Zoupanos

$m_{\text{bot}}$  also predicted, large tan beta

$$M_{\text{top}}^{\text{exp}} = 176 \pm 18 \text{ GeV}$$

1995

$$M_{\text{top}}^{\text{th}} \sim 172.5 \text{ GeV}$$

2007

Heinemeyer, M.M., Zoupanos

$$M_{\text{top}}^{\text{exp}} = 173.1 \pm .09 \text{ GeV} \quad 2013$$

- Prediction for Higgs mass — depends on soft breaking terms, also very restricted

$$M_{\text{Higgs}}^{\text{th}} \sim 121 - 126 \text{ GeV}$$

2008, 2013

Heinemeyer, M.M., Zoupanos

$$M_{\text{Higgs}}^{\text{exp}} = 126 \pm 1 \text{ GeV}$$

2013

# FINITNESS $\Rightarrow$ GAUGE YUKAWA UNIFICATION

Grand Unified SUSY N=1, no gauge anomalies:

$$W = \frac{1}{2} m^{ij} \Phi_i \Phi_j + \frac{1}{6} C^{ijk} \Phi_i \Phi_j \Phi_k$$

$$\beta_g^{(1)} = 0 = \gamma_i^{j(1)}$$

$$\sum_i T(R_i) = 3C_2(G), \quad \frac{1}{2} C_{ipq} C^{jpr} = 2\delta_i^j g^2 C_2(R_i)$$

$T$  Dynkin index of irrep,  $C_2$  Casimir invariant of group

$C_{ijk}$  Yukawa couplings,  $g$  gauge coupling

- Restricts the gauge group
- Relates gauge and Yukawa couplings
- If finite to all orders  $\Rightarrow$  Conformal invariance
- May imply extra symmetries, in this case discrete

- Just analyze one-loop solution
- One-loop finite  $\Rightarrow$  two-loop finite
- Isolated and non-degenerate solution  $\Rightarrow$   
all-loop finite

Lucchesi, Piguet, Sibold

$\beta = 0$  non-renormalization of coupling constants, not complete UV finiteness where field renormalization is absent

# SUSY BREAKING SSB

- Explicit/soft breaking > 100 new free parameters 😞

$$-\mathcal{L}_{\text{SB}} = \frac{1}{6} h^{ijk} \phi_i \phi_j \phi_k + \frac{1}{2} b^{ij} \phi_i \phi_j + \frac{1}{2} (m^2)_i^j \phi^{*i} \phi_j + \frac{1}{2} M \lambda \lambda + \text{H.c.}$$

- SSB can also be restricted through RGI  $\Rightarrow \beta = 0$
- Leads to a sum rule among scalars and gauging masses

$$(m_i^2 + m_j^2 + m_k^2) / M M^\dagger = 1 + \frac{g^2}{16\pi^2} \Delta^{(2)} + O(g^4)$$

- Breaks conformal invariance BUT remains scale invariant!

- one- and two-loop finiteness conditions known
- all-loop finiteness possible

Kazakov, Jack, Jones, Pickering...

- Depends on the gaugino mass  $M$
- Scale invariant but not conformal

Kazakov et al; Jack, Jones et al; Yamada; Hisano, Shifman; Kobayashi, Kubo, Zoupanos

# SU(5) FINITE UNIFIED MODELS

---

The one- and two-loop finiteness conditions imply following matter content:

$$3 \bar{5} + 3 \overline{10} + 4 (5 + \bar{5}) + \overline{24}$$

3 generations, 4 pairs of Higgs doublets one field in the adjoint

- Soft scalar masses obey sum rule
- No proton decay
- At GUT scale finiteness is broken  $\Rightarrow$  MSSM finiteness broken
- Rotation of FUT Higgs sector  $\Rightarrow$  2 Higgs doublets of MSSM maximally coupled to third generations

# SU(5) FUT THIRD GENERATION

---

- Restricted matter spectrum, in particular lots of Higgses
- Relationship between gauge and Yukawa couplings
- Sum rule relating mass of Higgs doublets, soft scalars and unified gaugino Mass

$$g_t^2 = \frac{4}{5} g^2 ; \quad g_{b,\tau}^2 = \frac{3}{5} g^2 ;$$
$$m_{H_u}^2 + 2m_{10}^2 = M^2 ; \quad m_{H_d}^2 - 2m_{10}^2 = -\frac{M^2}{3} ; \quad m_{\frac{5}{5}}^2 + 3m_{10}^2 = \frac{4M^2}{3}$$

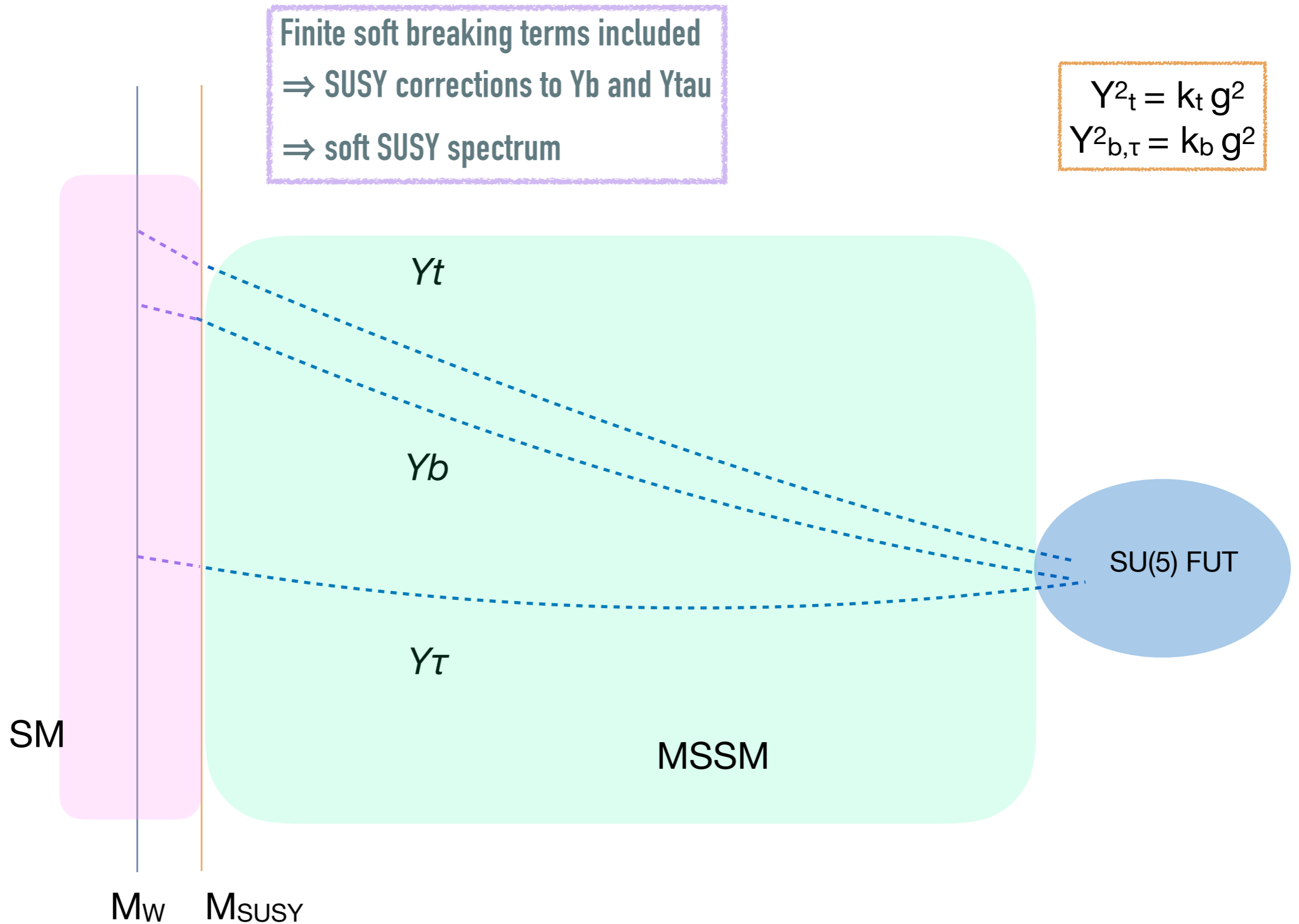
- Yukawa couplings determined in terms of  $g^2$ , soft breaking terms depend on  $M$  and  $m_{10}$

Finite soft breaking terms included

$\Rightarrow$  SUSY corrections to  $Y_b$  and  $Y_\tau$

$\Rightarrow$  soft SUSY spectrum

$$Y_t^2 = k_t g^2$$
$$Y_{b,\tau}^2 = k_b g^2$$



Results confronted to experimental constraints  $\Rightarrow$  gives available parameter space

$$m_t = Y_t v_u \quad v_u / v_d = \tan \beta$$
$$m_{b,\tau} = Y_{b,\tau} v_d \quad v_d = m_\tau^{\text{exp}} / Y_\tau$$

# INTERPLAY HIGH-LOW ENERGIES: SEARCHES AT FUTURE COLLIDERS

## Low energies:

- Radiative eW symmetry breaking
- Include SUSY radiative corrections
- Quark and Higgs masses in experimental range
- Compliance with B physics (not trivial)

## GUT scale, Finiteness gives:

- Relations between gauge-Yukawa couplings
- Sum rule for soft breaking terms
- $\Rightarrow$  Very few free parameters

## Require:

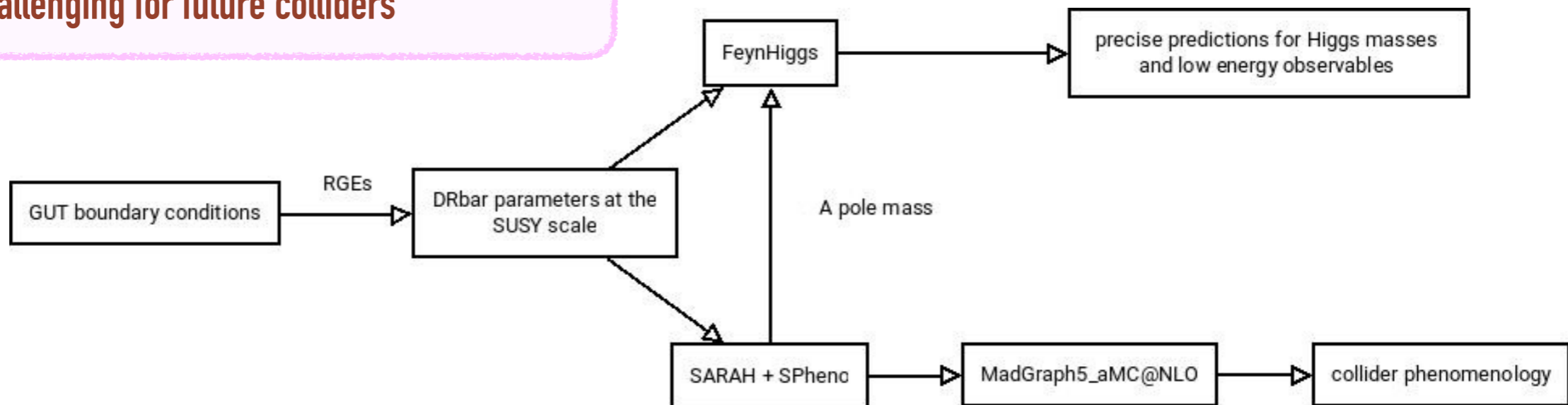
- Absence of proton decay
- Proper unification of gauge couplings
- MSSM

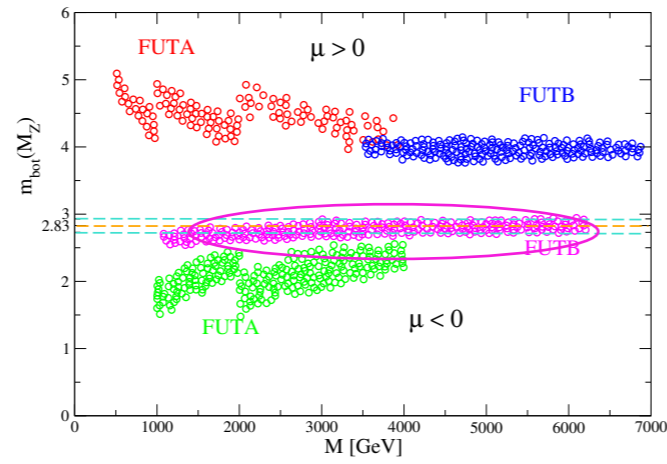
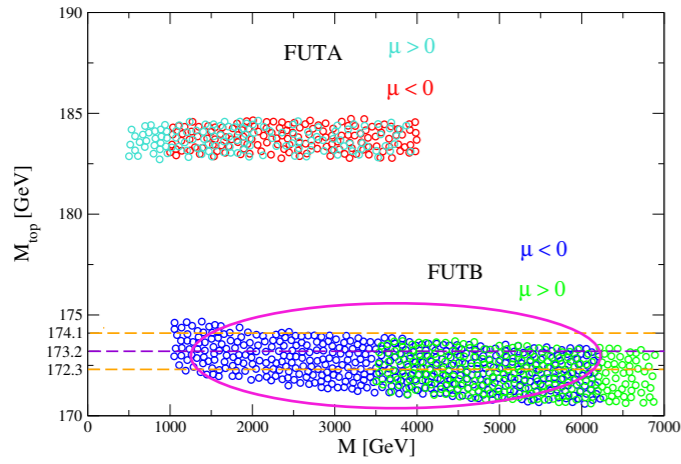
## Large $\tan \beta$

High SUSY spectrum  $> 1$  TeV  
Challenging for future colliders

## B constraints:

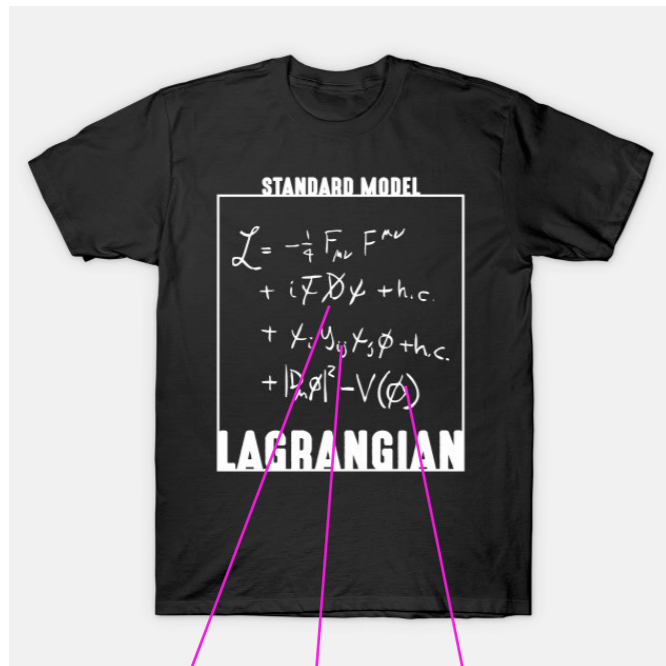
- BR ( $b \rightarrow s\gamma$ )
- BR ( $B_s \rightarrow \mu+\mu^-$ )
- BR ( $B_u \rightarrow \tau\nu$ )  $B_s$
- $\Delta M_{B_s}$  SM/MSSM





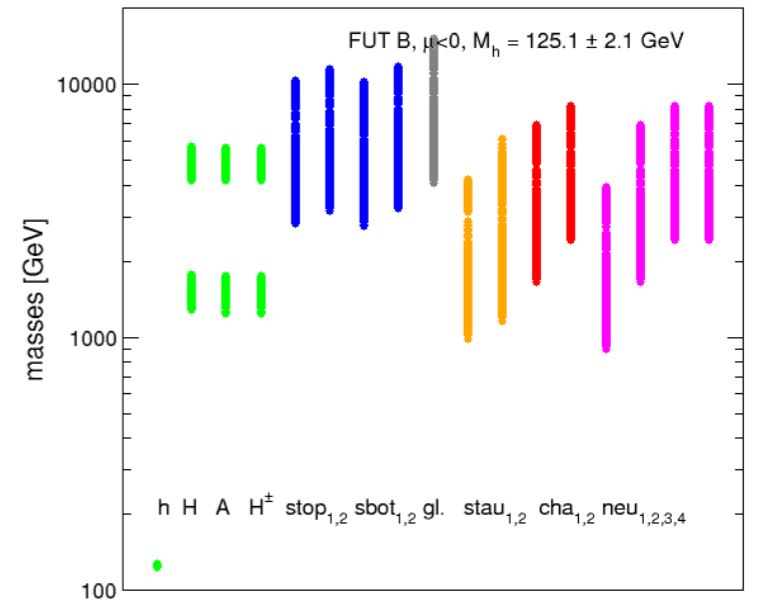
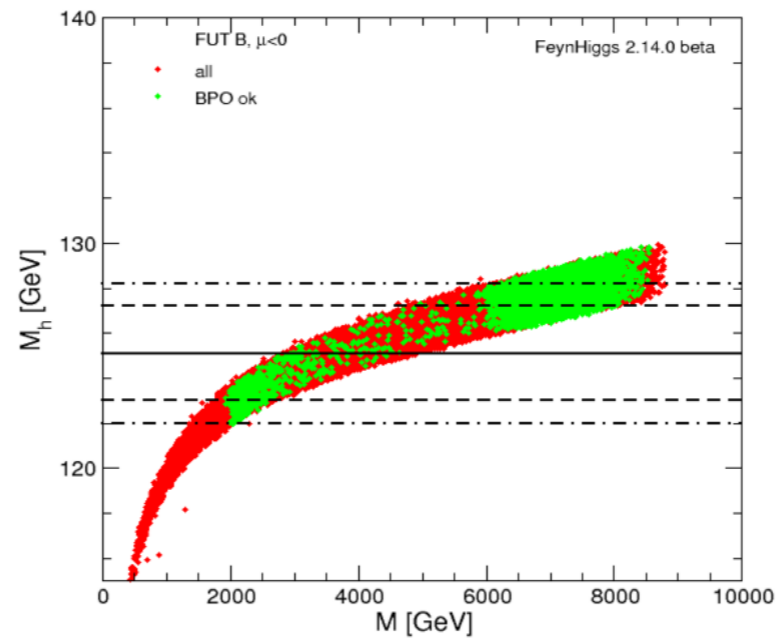
**FUTB — 3rd generation**

1 free parameter in gauge-Yukawa sector  
2 free parameters in soft SUSY breaking



Higgs mass range determined by finiteness, sum rule, B physics constraints and radiative top contributions to Higgs mass  $\Rightarrow$  heavy spectrum

These are now related!





# MANY ASPECTS OF FINITENESS STUDIED

- SU(5) models extensively studied **Rabi et al; Kazakov et al; Quirós et al; MM, Zoupanos et al**
- One coincides with a non-standard Calabi-Yau **MM, Zoupanos**
- Finite string theories and criteria for branes **Ibáñez**
- Models with three generations **Babu, Enkhbat, Gogoladze; MM & Jiménez; Estrada, MM, Patellis, Zoupanos**
- $SU(N)^k$  models finite  $\iff$  3 generations  
only  $SU(3)^3$  compatible with phenomenology **MM, Ma, Zoupanos**
- Relations non-commutative theories and finiteness **Jack, Jones**
- Proof of conformal invariance (dimensionless part) **Kazakov, Bork; MM & Reyes**
- Relation between finiteness and QFT in curved space-time & inflation  
**Elizalde, Odintsov, et al**
- Recent reviews **Heinemeyer, M.M, Tracas, Zoupanos, Phys.Rept. 814 (2019); Fortsch.Phys. 68 (2020)**

# $SU(N)^k - SU(3)^3$

- $SU(N)^k$  models finite  $\Leftrightarrow$  three generations!

$$SU(N)_1 \times SU(N)_2 \times \cdots \times SU(N)_k$$

- Trinification model beta function  $SU(3)^3$

$$b = \left(-\frac{11}{3} + \frac{2}{3}\right)N + n_f \left(\frac{2}{3} + \frac{1}{3}\right) \left(\frac{1}{2}\right)2N = -3N + n_f N.$$

- Finite  $\Leftrightarrow$  3 generations

$$q = \begin{pmatrix} d & u & h \\ d & u & h \\ d & u & h \end{pmatrix} \sim (3, 3^*, 1), \quad q^c = \begin{pmatrix} d^c & d^c & d^c \\ u^c & u^c & u^c \\ h^c & h^c & h^c \end{pmatrix} \sim (3^*, 1, 3),$$

$$\lambda = \begin{pmatrix} N & E^c & \nu \\ E & N^c & e \\ \nu^c & e^c & S \end{pmatrix} \sim (1, 3, 3^*).$$

- Only  $SU(5)$  and  $SU(3)^3$  seem to have phenomenological possibilities so far

MM, Ma, Zoupanos (2004);

Heinemeyer, MM, Ma, Zoupanos (2010)

# 2-LOOP SU(3)<sub>3</sub> FINITE MODEL

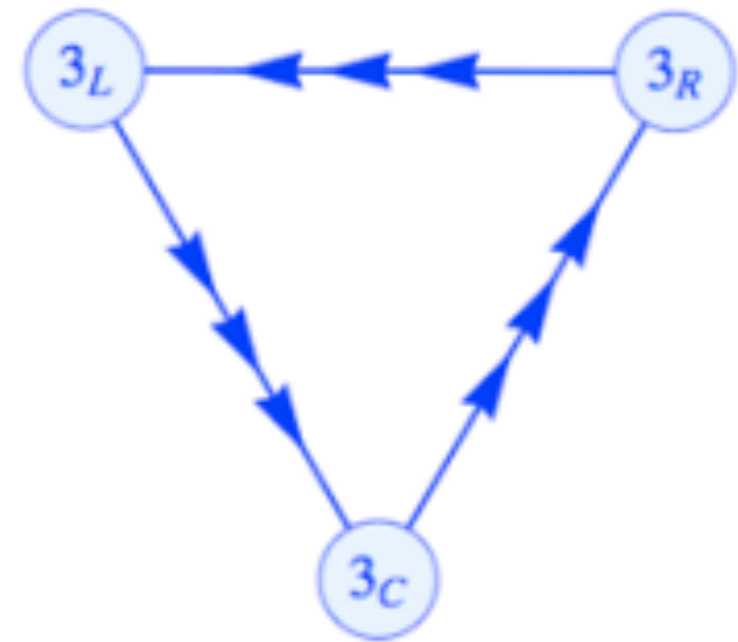
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- 2-loop finite SU(3)<sub>3</sub> trinification model, parametric solution of reduction equations

$$f^2 = r \left( \frac{16}{9} \right) g^2, \quad f'^2 = (1 - r) \left( \frac{8}{3} \right) g^2$$

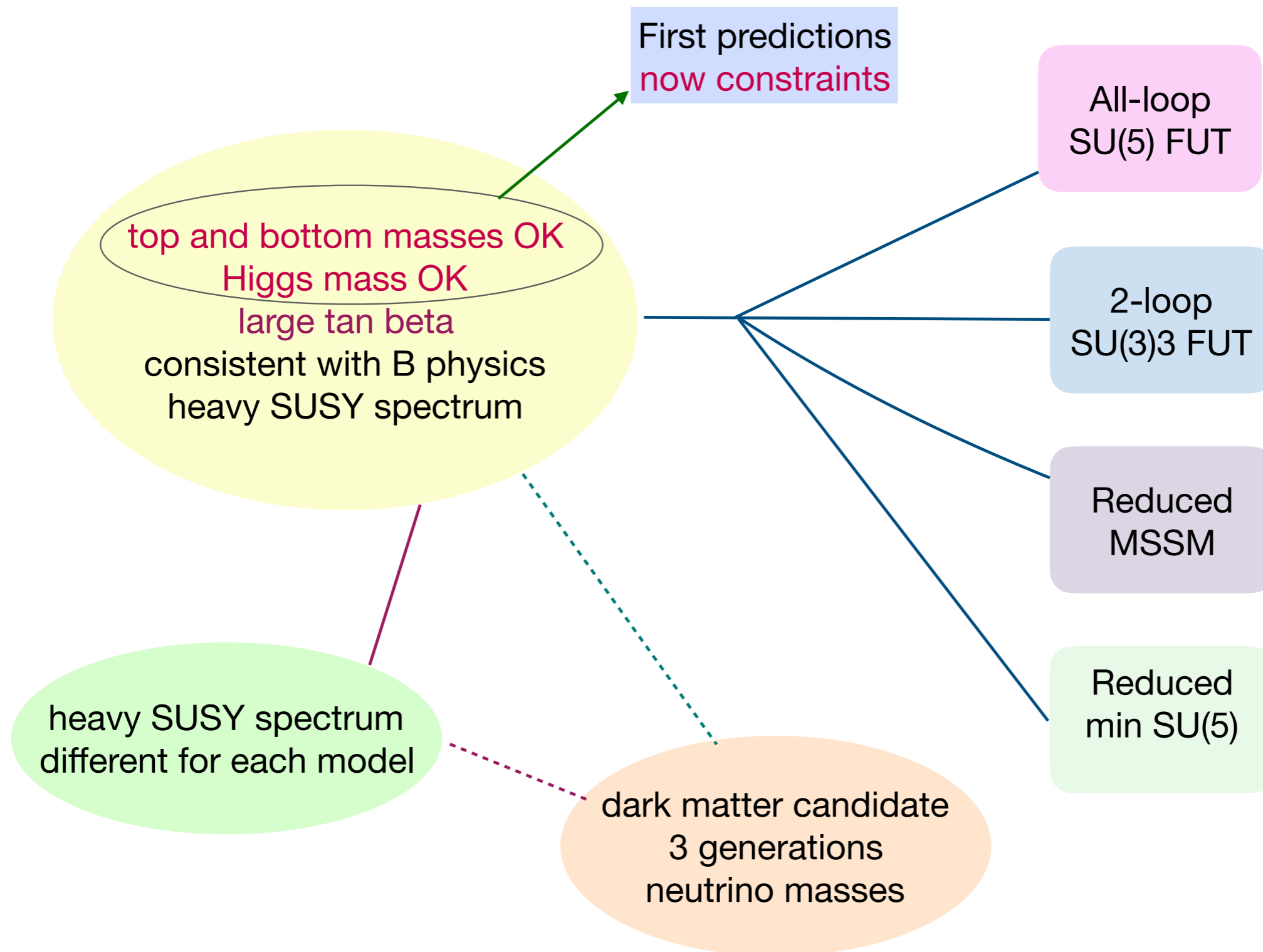
$r$  parameterizes different solutions,  $f$  and  $f'$  are Yukawa couplings for quarks and leptons

- Good top and bottom masses with one parameter
- Large  $\tan \beta$ , heavy SUSY spectrum
- Possibility of neutrino masses, consistent with seesaw
- At high energies vector-like down type quarks
- Split-SUSY possible



# GYU FROM REDUCTION OF COUPLINGS AT WORK

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# WHAT NOW? FLAVOR...

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- So far detailed analysis only for third generation
- As mentioned, some 3 generation finite models exist
- SU(5) models some textures given
- SU(3)<sup>3</sup> naturally have 3 generations
- How to do it more systematically?

# GENERAL SUPERPOTENTIAL FOR SU(5) FUTS

- The SU(5) superpotential of possible finite models is

$$\bar{\mathcal{H}}_{ai} = \bar{\mathbf{5}}, \quad \mathcal{H}_a^i = \mathbf{5}, \quad \bar{\Psi}_{a'i} = \bar{\mathbf{5}}, \quad X_{a'}^{ij} = \mathbf{10}, \quad \Sigma_j^i = \mathbf{24}$$

3 generations, 4 pairs of Higgs doublets and one field in the adjoint

$$3 \bar{\mathbf{5}} + 3 \bar{\mathbf{10}} + 4 (\mathbf{5} + \bar{\mathbf{5}}) + \bar{\mathbf{24}}$$

$$\begin{aligned} \mathcal{W}_{SU(5)-R} = & \bar{g}_{a'b'a} \bar{\Psi}_{b'i} X_{a'}^{ij} \bar{\mathcal{H}}_{aj} + \frac{1}{2} g_{a'b'a} \epsilon_{ijklm} X_{a'}^{ij} X_{b'}^{kl} \mathcal{H}_a^m + f_{ab} \bar{\mathcal{H}}_{ai} \Sigma_j^i \mathcal{H}_b^j \\ & + \frac{1}{3!} p \Sigma_j^i \Sigma_k^j \Sigma_i^k + \frac{1}{2} \lambda^{(\Sigma)} \Sigma_j^i \Sigma_i^j + m_{ab} \bar{\mathcal{H}}_{ai} \mathcal{H}_b^i. \end{aligned}$$

$\bar{g}_{ijk}$  = down Yukawa couplings,  $g_{ijk}$  = up Yukawa couplings

# WHAT ABOUT FLAVOR? 3 GENERATIONS

---

- Look for FUT 3 generation models
  - all-loops
  - 2-loops
- Solutions for Yukawa couplings
- Sum rule in SSB
- Check absence of proton decay
- Mass matrices

*Proton decay →  
2 fine tunings*

*Everything OK? then...*

- Rotate to MSSM
- Look again for mass matrices
- Good textures?

# FINITE S3 MODEL

- Solutions to the RE may imply extra symmetries, so far discrete
- There are models with  $A_4$  and  $Q_6$ , Babu et al; MM & Jiménez
- $S_3$ , smallest non-Abelian discrete group, successful at low energies
- Irreps:  $2, 1, 1_A \rightarrow$  Two generations in doublet, third in singlet

Superfields	$\begin{pmatrix} \bar{\Psi}_{1i} \\ \bar{\Psi}_{2i} \end{pmatrix}$	$\bar{\Psi}_{3i}$	$\begin{pmatrix} X_1^{ij} \\ X_2^{ij} \end{pmatrix}$	$X_3^{ij}$	$\begin{pmatrix} \mathcal{H}_1^i \\ \mathcal{H}_2^i \end{pmatrix}$	$\mathcal{H}_3^i$	$\mathcal{H}_4^i$	$\begin{pmatrix} \bar{\mathcal{H}}_{1i} \\ \bar{\mathcal{H}}_{2i} \end{pmatrix}$	$\bar{\mathcal{H}}_{3i}$	$\bar{\mathcal{H}}_{4i}$	$\Sigma^i_j$
Irreducible representations of $S_3$	<b>2</b>	<b>1<sub>S</sub></b>	<b>2</b>	<b>1<sub>S</sub></b>	<b>2</b>	<b>1<sub>S</sub></b>	<b>1<sub>A</sub></b>	<b>2</b>	<b>1<sub>S</sub></b>	<b>1<sub>A</sub></b>	<b>1<sub>S</sub></b>

- Look for all-loop finite model

$Z_n$	$\bar{\Psi}_1$	$\bar{\Psi}_2$	$\bar{\Psi}_3$	$X_1$	$X_2$	$X_3$	$\mathcal{H}_1$	$\mathcal{H}_2$	$\mathcal{H}_3$	$\mathcal{H}_4$	$\bar{\mathcal{H}}_1$	$\bar{\mathcal{H}}_2$	$\bar{\mathcal{H}}_3$	$\bar{\mathcal{H}}_4$	$\Sigma$
$Z_2$	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0
$Z_3$	0	0	1	1	1	2	0	0	1	0	1	1	2	0	0



# S3 MASS MATRICES

$$|g_{113}|^2 = \frac{4}{5}g_5^2, \quad |g_{131}|^2 = \frac{4}{5}g_5^2, \quad |\bar{g}_{113}|^2 = \frac{3}{5}g_5^2, \quad |\bar{g}_{131}|^2 = \frac{3}{5}g_5^2, \quad |\bar{g}_{311}|^2 = \frac{3}{5}g_5^2,$$

$$|f_{11}|^2 = |f_{33}|^2 = 0, \quad |f_{44}|^2 = g_5^2, \quad |p|^2 = \frac{15}{7}g_5^2,$$

Yukawa couplings completely determined!

$$M_u = \begin{pmatrix} g_{113} \langle \mathcal{H}_3^5 \rangle & 0 & g_{131} \langle \mathcal{H}_1^5 \rangle \\ 0 & g_{113} \langle \mathcal{H}_3^5 \rangle & g_{131} \langle \mathcal{H}_2^5 \rangle \\ g_{131} \langle \mathcal{H}_1^5 \rangle & g_{131} \langle \mathcal{H}_2^5 \rangle & 0 \end{pmatrix},$$

$$M_d = \begin{pmatrix} \bar{g}_{113} \langle \bar{\mathcal{H}}_{35} \rangle & 0 & \bar{g}_{131} \langle \bar{\mathcal{H}}_{15} \rangle \\ 0 & \bar{g}_{113} \langle \bar{\mathcal{H}}_{35} \rangle & \bar{g}_{131} \langle \bar{\mathcal{H}}_{25} \rangle \\ \bar{g}_{311} \langle \bar{\mathcal{H}}_{15} \rangle & \bar{g}_{311} \langle \bar{\mathcal{H}}_{25} \rangle & 0 \end{pmatrix}$$

But...too restrictive, two masses almost degenerate

# CYCLIC SYMMETRIES — 3 GENERATIONS

Classification of SU(5) FUT with off-diagonal  $\gamma$  done already

## Coupled to 3 Higgs doublets

$$V_3^{(1)} = \begin{pmatrix} g_{111} \langle \mathcal{H}_1^5 \rangle & g_{123} \langle \mathcal{H}_3^5 \rangle & g_{132} \langle \mathcal{H}_2^5 \rangle \\ g_{213} \langle \mathcal{H}_3^5 \rangle & g_{222} \langle \mathcal{H}_2^5 \rangle & g_{231} \langle \mathcal{H}_1^5 \rangle \\ g_{312} \langle \mathcal{H}_2^5 \rangle & g_{321} \langle \mathcal{H}_1^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}, \quad V_3^{(2)} = \begin{pmatrix} g_{112} \langle \mathcal{H}_2^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & 0 \\ g_{211} \langle \mathcal{H}_1^5 \rangle & g_{223} \langle \mathcal{H}_3^5 \rangle & g_{232} \langle \mathcal{H}_2^5 \rangle \\ 0 & g_{322} \langle \mathcal{H}_2^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}$$

$$V_3^{(3)} = \begin{pmatrix} g_{113} \langle \mathcal{H}_3^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & 0 \\ g_{211} \langle \mathcal{H}_1^5 \rangle & g_{223} \langle \mathcal{H}_3^5 \rangle & g_{232} \langle \mathcal{H}_2^5 \rangle \\ 0 & g_{322} \langle \mathcal{H}_2^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}, \quad V_3^{(4)} = \begin{pmatrix} g_{111} \langle \mathcal{H}_1^5 \rangle & 0 & 0 \\ 0 & g_{223} \langle \mathcal{H}_3^5 \rangle & g_{232} \langle \mathcal{H}_2^5 \rangle \\ 0 & g_{322} \langle \mathcal{H}_2^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}$$

## Coupled to 4 Higgs doublets

$$V_4^{(1)} = \begin{pmatrix} g_{111} \langle \mathcal{H}_1^5 \rangle & g_{124} \langle \mathcal{H}_4^5 \rangle & g_{132} \langle \mathcal{H}_2^5 \rangle \\ g_{214} \langle \mathcal{H}_4^5 \rangle & g_{222} \langle \mathcal{H}_2^5 \rangle & g_{231} \langle \mathcal{H}_1^5 \rangle \\ g_{312} \langle \mathcal{H}_2^5 \rangle & g_{321} \langle \mathcal{H}_1^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}, \quad V_4^{(2)} = \begin{pmatrix} g_{112} \langle \mathcal{H}_2^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & 0 \\ g_{211} \langle \mathcal{H}_1^5 \rangle & g_{222} \langle \mathcal{H}_2^5 \rangle & g_{234} \langle \mathcal{H}_4^5 \rangle \\ 0 & g_{324} \langle \mathcal{H}_4^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}$$

$$V_4^{(3)} = \begin{pmatrix} g_{113} \langle \mathcal{H}_3^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & g_{132} \langle \mathcal{H}_2^5 \rangle \\ g_{211} \langle \mathcal{H}_1^5 \rangle & g_{222} \langle \mathcal{H}_2^5 \rangle & g_{234} \langle \mathcal{H}_4^5 \rangle \\ g_{312} \langle \mathcal{H}_2^5 \rangle & g_{324} \langle \mathcal{H}_4^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}, \quad V_4^{(4)} = \begin{pmatrix} g_{113} \langle \mathcal{H}_3^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & g_{132} \langle \mathcal{H}_2^5 \rangle \\ g_{211} \langle \mathcal{H}_1^5 \rangle & g_{223} \langle \mathcal{H}_3^5 \rangle & g_{234} \langle \mathcal{H}_4^5 \rangle \\ g_{312} \langle \mathcal{H}_2^5 \rangle & g_{324} \langle \mathcal{H}_4^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}$$

# 2-LOOP FINITE MODEL — $V_4^1$

Estrada, MM, Patellis, Zoupanos, Fortschr. Phys. 2024, 24001

$Z_n$	$\bar{\Psi}_1$	$\bar{\Psi}_2$	$\bar{\Psi}_3$	$X_1$	$X_2$	$X_3$	$\mathcal{H}_1$	$\mathcal{H}_2$	$\mathcal{H}_3$	$\mathcal{H}_4$	$\bar{\mathcal{H}}_1$	$\bar{\mathcal{H}}_2$	$\bar{\mathcal{H}}_3$	$\bar{\mathcal{H}}_4$	$\Sigma$
$Z_2$	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0
$Z_8$	4	3	5	0	7	1	0	2	6	1	4	6	2	5	0

We find the following symmetries  $\Rightarrow$   
 parametric relations among couplings  $\Rightarrow$  2-loop solution

*up-type  
Yukawa*

$$|g_{124}|^2 = |g_{214}|^2 = \frac{4}{5}g_5^2, \quad |g_{222}|^2 = \frac{2}{5}g_5^2, \quad |g_{231}|^2 = |g_{321}|^2 = \frac{1}{10}(8g_5^2 - 5|g_{111}|^2),$$

$$|g_{333}|^2 = \frac{6}{5}g_5^2, \quad |\bar{g}_{111}|^2 = |\bar{g}_{124}|^2 = \frac{3}{20}(8g_5^2 - 5|g_{111}|^2),$$

*down-type  
Yukawa*

$$|\bar{g}_{214}|^2 = \frac{3}{4}|g_{111}|^2, \quad |\bar{g}_{222}|^2 = |\bar{g}_{231}|^2 = \frac{3}{10}g_5^2, \quad |\bar{g}_{321}|^2 = -\frac{3}{20}(2g_5^2 - 5|g_{111}|^2),$$

$$|\bar{g}_{333}|^2 = \frac{9}{10}g_5^2, \quad |f_{22}|^2 = \frac{3}{4}g_5^2, \quad |f_{33}|^2 = \frac{g_5^2}{4}, \quad |p|^2 = \frac{15}{7}g_5^2,$$

$$|g_{132}|^2 = |g_{312}|^2 = |\bar{g}_{132}|^2 = |\bar{g}_{312}|^2 = |f_{11}|^2 = |f_{44}|^2 = 0.$$

By imposing the positivity condition to the squared norm of the couplings, we find the following constraint for  $|g_{111}|^2$ :

$$\frac{2}{5}g_5^2 \leq |g_{111}|^2 \leq \frac{8}{5}g_5^2.$$

*evaluating at the end points  
implies more symmetry = more zeroes*

# EXAMPLE OF SOLUTIONS

---

- Many solutions, depend on the free parameter  $|g_{111}|^2$
- Taking the Yukawa values at extreme points in inequality  $\rightarrow$  more zeroes, more symmetry?

$$|g_{111}|^2 = \frac{2}{5}g_5^2$$

leads to

$$M_u = \begin{pmatrix} g_{111} \langle \mathcal{H}_1^5 \rangle & g_{124} \langle \mathcal{H}_4^5 \rangle & 0 \\ g_{214} \langle \mathcal{H}_4^5 \rangle & g_{222} \langle \mathcal{H}_2^5 \rangle & g_{231} \langle \mathcal{H}_1^5 \rangle \\ 0 & g_{321} \langle \mathcal{H}_1^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix}, \quad M_d = \begin{pmatrix} \bar{g}_{111} \langle \bar{\mathcal{H}}_{15} \rangle & \bar{g}_{124} \langle \bar{\mathcal{H}}_{45} \rangle & 0 \\ \bar{g}_{214} \langle \bar{\mathcal{H}}_{45} \rangle & \bar{g}_{222} \langle \bar{\mathcal{H}}_{25} \rangle & \bar{g}_{231} \langle \bar{\mathcal{H}}_{15} \rangle \\ 0 & 0 & \bar{g}_{333} \langle \bar{\mathcal{H}}_{15} \rangle \end{pmatrix}$$

- $M_u$  compatible with phenomenology, but  $M_d$ ?
- RGE analysis and sum rule might change a bad structure into a good/bad one

Cakir, Solmaz Xin (2008); Zhang, Zhou (2008)

$Z_n$	$\bar{\Psi}_1$	$\bar{\Psi}_2$	$\bar{\Psi}_3$	$X_1$	$X_2$	$X_3$	$\mathcal{H}_1$	$\mathcal{H}_2$	$\mathcal{H}_3$	$\mathcal{H}_4$	$\bar{\mathcal{H}}_1$	$\bar{\mathcal{H}}_2$	$\bar{\mathcal{H}}_3$	$\bar{\mathcal{H}}_4$	$\Sigma$
$Z_2$	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0
$Z_3$	0	2	0	0	2	0	1	1	0	0	1	1	0	0	0
$Z_4$	3	3	2	3	3	2	2	3	0	2	2	3	0	2	0

- We find the following symmetries  $\Rightarrow$  isolated solution  
unique relation among couplings  $\Rightarrow$  all-loop finite solution

$$|g_{114}|^2 = |g_{121}|^2 = |g_{211}|^2 = |g_{232}|^2 = |g_{322}|^2 = |g_{333}|^2 = \frac{4}{5}g_5^2$$

$$|\bar{g}_{114}|^2 = |\bar{g}_{121}|^2 = |\bar{g}_{211}|^2 = |\bar{g}_{232}|^2 = |\bar{g}_{322}|^2 = |\bar{g}_{333}|^2 = \frac{3}{5}g_5^2 \quad ,$$

$$|f_{33}|^2 = |f_{44}|^2 = \frac{1}{2}g_5^2 \quad , \quad |p|^2 = \frac{15}{7}g_5^2 \quad .$$

- For the SSB  $\Rightarrow$  sum rule  $\Rightarrow$  3 free parameters

$$m_{\tilde{\psi}_1}^2 = m_{\tilde{\psi}_3}^2 = \frac{1}{6}(-MM^\dagger + 9m_{H_3}^2) \quad , \quad m_{\tilde{\psi}_2}^2 = \frac{1}{6}(-MM^\dagger - 6m_{H_1}^2 + 15m_{H_3}^2) \quad ,$$

$$m_{\tilde{\chi}_1}^2 = m_{\tilde{\chi}_3}^2 = \frac{1}{2}(MM^\dagger - m_{H_3}^2) \quad , \quad m_{\tilde{\chi}_2}^2 = \frac{1}{2}(MM^\dagger - 2m_{H_1}^2 + m_{H_3}^2) \quad ,$$

$$m_{\bar{H}_1}^2 = m_{\bar{H}_2}^2 = \frac{1}{3}(2MM^\dagger + 3m_{H_1}^2 - 6m_{H_3}^2) \quad , \quad m_{\bar{H}_3}^2 = m_{\bar{H}_4}^2 = \frac{1}{3}(2MM^\dagger - 3m_{H_3}^2) \quad ,$$

$$m_{H_2}^2 = m_{H_1}^2 \quad ; \quad m_{H_4}^2 = m_{H_3}^2 \quad , \quad m_{\phi_\Sigma}^2 = \frac{1}{3}MM^\dagger \quad . \quad (89)$$

# ALL-LOOP FINITE MASS MATRICES

Estrada, MM, Patellis, Zoupanos, Fortschr. Phys. 2024, 24001

- It is possible to find the minimum amount of phases — rephasing invariants
- The mass matrices are then:

$$M_u = \begin{pmatrix} g_{114} \langle \mathcal{H}_4^5 \rangle & g_{121} \langle \mathcal{H}_1^5 \rangle & 0 \\ g_{211} \langle \mathcal{H}_1^5 \rangle & 0 & g_{232} \langle \mathcal{H}_2^5 \rangle \\ 0 & g_{322} \langle \mathcal{H}_2^5 \rangle & g_{333} \langle \mathcal{H}_3^5 \rangle \end{pmatrix} = \frac{2}{\sqrt{5}} g_5 \begin{pmatrix} \langle \mathcal{H}_4^5 \rangle & \langle \mathcal{H}_1^5 \rangle & 0 \\ \langle \mathcal{H}_1^5 \rangle & 0 & \langle \mathcal{H}_2^5 \rangle \\ 0 & \langle \mathcal{H}_2^5 \rangle & e^{i\phi_3} \langle \mathcal{H}_3^5 \rangle \end{pmatrix},$$

$$M_d = \begin{pmatrix} \bar{g}_{114} \langle \bar{\mathcal{H}}_{45} \rangle & \bar{g}_{121} \langle \bar{\mathcal{H}}_{15} \rangle & 0 \\ \bar{g}_{211} \langle \bar{\mathcal{H}}_{15} \rangle & 0 & \bar{g}_{232} \langle \bar{\mathcal{H}}_{25} \rangle \\ 0 & \bar{g}_{322} \langle \bar{\mathcal{H}}_{25} \rangle & \bar{g}_{333} \langle \bar{\mathcal{H}}_{35} \rangle \end{pmatrix} = \sqrt{\frac{3}{5}} g_5 \begin{pmatrix} \langle \bar{\mathcal{H}}_{45} \rangle & \langle \bar{\mathcal{H}}_{15} \rangle & 0 \\ e^{i\bar{\phi}_1} \langle \bar{\mathcal{H}}_{15} \rangle & 0 & \langle \bar{\mathcal{H}}_{25} \rangle \\ 0 & e^{i\bar{\phi}_2} \langle \bar{\mathcal{H}}_{25} \rangle & e^{i\bar{\phi}_3} \langle \bar{\mathcal{H}}_{35} \rangle \end{pmatrix}.$$

- After the rotation in the Higgs sector to the MSSM basis:

Same solution as FUTB for 3rd generation! we know it works...

$$M_u = \frac{2}{\sqrt{5}} g_5 \begin{pmatrix} \tilde{\alpha}_4 & \tilde{\alpha}_1 & 0 \\ \tilde{\alpha}_1 & 0 & \tilde{\alpha}_2 \\ 0 & \tilde{\alpha}_2 & e^{i\phi_3} \tilde{\alpha}_3 \end{pmatrix} \langle \mathcal{K}_3^5 \rangle,$$

$$M_d = \sqrt{\frac{3}{5}} g_5 \begin{pmatrix} \tilde{\beta}_4 & \tilde{\beta}_1 & 0 \\ e^{i\bar{\phi}_1} \tilde{\beta}_1 & 0 & \tilde{\beta}_2 \\ 0 & e^{i\bar{\phi}_2} \tilde{\beta}_2 & e^{i\bar{\phi}_3} \tilde{\beta}_3 \end{pmatrix} \langle \bar{\mathcal{K}}_{35} \rangle.$$

$\alpha_i, \beta_i$  refer to the rotation angles in up and down sectors respectively,

$$\Sigma \beta_i = \Sigma \alpha_i = 1$$

# FINALLY, HOW MANY FREE PARAMETERS?

**GUT scale 89 free parameters**  
Yukawa couplings, soft breaking terms, phases,  
vev's of the Higgs fields

**After Finiteness solutions**  
**33 free parameters**

Require doublet-triplet splitting, rotation to MSSM  
basis with constraints over angles, rephasing  
invariants

**Low energies:**

radiative electroweak breaking, fix  $m_{\tau}^{\text{exp}}$  and SM vev give  $\tan\beta$

**$\Rightarrow$  12 parameters left:**

The soft breaking terms, the phases, and the rotation angles

$\phi_1, \phi_2, \phi_3, \phi_4, \alpha_1, \alpha_2, \alpha_3, \beta_1, \beta_2, \beta_3, M, \mu$

Only one phase is observable

**$\Rightarrow \phi_{\text{obs}}, \alpha_1, \alpha_2, \alpha_3, \beta_1, \beta_2, \beta_3, M, \mu$**

**only 9 parameters left to fit masses and mixing angles**

# WHAT ABOUT NEUTRINO MASSES, DARK MATTER, ETC?

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- ▶ **SU(5) models:**  
Cold DM  
LSP is neutralino  
⇒ overabundance
- ▶ Neutrino masses may be incorporated by breaking R symmetry ⇒ gravitino Dark Matter
- ▶ Other mechanisms?  
thermal inflation?
- ▶ g-2 like in SM

- ▶ **SU(3)<sup>3</sup> models:**  
 $\nu_R$  are present
- ▶ Neutrino masses may be generated by seesaw or radiatively
- ▶ Depending on the breaking of SU(3)<sup>3</sup>  
DM may be neutralino (or scalar?)
- ▶ Neutralino DM overabundance

**Flavor Structure may change the above!**



# CONCLUSIONS AND OUTLOOK

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- Reduction of couplings finiteness powerful principle implies Gauge Yukawa Unification
- Conformal or scale invariant theory
- SSB terms satisfy a sum rule among soft scalars
- SSB same as anomaly mediated scenario
- Finiteness reduces greatly number of free parameters completely finite theories SU(5)
- Very predictive

- Flavor 3 generation models
  - 2-loops: Yukawa couplings determined within a range
  - All-loops: Yukawa couplings completely determined
- Leads to viable mass textures
- Drastic reduction in number of free parameters
- Free parameters come from Higgs sector, SSB and phases
- More fundamental theory?

How can we restrict phases? CP violation?  
Higgs sector? Flavor processes?  
Dark matter? Inflation? Baryogenesis?

*Thank you!*